

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 144486867</b>	Statement Date: 03/02/2022 AMENDED Document ID: 2116 UM6 GF29	2021
	Vijay Bodepudi 620 Illinois Ct Apt 7 El Segundo, CA 90245-3444  RECIPIENT'S TIN: XXX-XX-4074		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	9,060,047.13	11,203,115.02	0.00	2,045,048.12	-98,019.77
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>9,060,047.13</b>	<b>11,203,115.02</b>	<b>0.00</b>	<b>2,045,048.12</b>	<b>-98,019.77</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>9,060,047.13</b>	<b>11,203,115.02</b>	<b>0.00</b>	<b>2,045,048.12</b>	<b>-98,019.77</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 144486867

**Summary Information**

2021

(continued)

03/02/2022 AMENDED

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.35
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	122.28
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

03/02/2022 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/07/2021 CALL \$135.00 / CUSIP: / Symbol:							
12 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	8.000	639.98	05/03/21	640.00	...	-0.02	1 of 12 - Option sale to close-call 25
	2.000	159.99	05/03/21	160.00	...	-0.01	2 of 12 - Option sale to close-call 25
	1.000	80.00	05/03/21	80.00	...	0.00	3 of 12 - Option sale to close-call 25
	1.000	80.00	05/03/21	80.00	...	0.00	4 of 12 - Option sale to close-call 25
	1.000	81.00	05/03/21	77.00	...	4.00	5 of 12 - Option sale to close-call 25
	1.000	81.00	05/03/21	77.00	...	4.00	6 of 12 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/07/2021 CALL \$135.00 / CUSIP: / Symbol: (cont'd)							
	10.000	809.98	05/03/21	770.00	...	39.98	7 of 12 - Option sale to close-call <sup>25</sup>
	50.000	3,999.88	05/03/21	3,900.00	...	99.88	8 of 12 - Option sale to close-call <sup>25</sup>
	100.000	7,999.76	05/03/21	7,800.00	...	199.76	9 of 12 - Option sale to close-call <sup>25</sup>
	54.000	4,373.87	05/03/21	4,158.00	...	215.87	10 of 12 - Option sale to close-call <sup>25</sup>
	54.000	4,373.87	05/03/21	4,158.00	...	215.87	11 of 12 - Option sale to close-call <sup>25</sup>
	80.000	6,479.79	05/03/21	6,160.00	...	319.79	12 of 12 - Option sale to close-call <sup>25</sup>
05/03/21	362.000	29,159.12	Various	28,060.00	...	1,099.12	Total of 12 transactions
AAPL 09/10/2021 PUT \$149.00 / CUSIP: / Symbol:							
6 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	25.000	124.94	09/10/21	875.00	...	-750.06	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	5.00	09/10/21	65.02	...	-60.02	2 of 6 - Option sale to close-put <sup>25</sup>
	2.000	9.99	09/10/21	36.00	...	-26.01	3 of 6 - Option sale to close-put <sup>25</sup>
	2.000	10.00	09/10/21	36.00	...	-26.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	5.00	09/10/21	17.00	...	-12.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	5.00	09/10/21	14.00	...	-9.00	6 of 6 - Option sale to close-put <sup>25</sup>
09/10/21	32.000	159.93	Various	1,043.02	...	-883.09	Total of 6 transactions
09/10/21	1.000	4.98	09/10/21	35.00	30.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>164.91</b>		<b>1,078.02</b>	<b>30.02 W</b>	<b>-883.09</b>	
AAPL 10/29/2021 PUT \$150.00 / CUSIP: / Symbol:							
7 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	10/28/21	108.00	...	191.98	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	300.00	10/28/21	108.00	...	192.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	300.00	10/28/21	108.00	...	192.00	3 of 7 - Option sale to close-put <sup>25</sup>
	5.000	1,499.98	10/28/21	540.00	...	959.98	4 of 7 - Option sale to close-put <sup>25</sup>
	7.000	2,099.97	10/28/21	756.00	...	1,343.97	5 of 7 - Option sale to close-put <sup>25</sup>
	11.000	3,299.96	10/28/21	1,188.00	...	2,111.96	6 of 7 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/29/2021 PUT \$150.00 / CUSIP: / Symbol: (cont'd)							
	21.000	6,299.92	10/28/21	2,268.00	...	4,031.92	7 of 7 - Option sale to close-put <sup>25</sup>
10/29/21	47.000	14,099.81	Various	5,076.00	...	9,023.81	Total of 7 transactions
AAPL 12/10/2021 PUT \$155.00 / CUSIP: / Symbol:							
6 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	16.000	1,231.96	12/02/21	2,096.00	...	-864.04	1 of 6 - Option sale to close-put <sup>25</sup>
	8.000	615.98	12/02/21	1,048.00	...	-432.02	2 of 6 - Option sale to close-put <sup>25</sup>
	3.000	230.99	12/02/21	555.02	...	-324.03	3 of 6 - Option sale to close-put <sup>25</sup>
	5.000	384.99	12/02/21	655.00	...	-270.01	4 of 6 - Option sale to close-put <sup>25</sup>
	2.000	153.99	12/02/21	370.02	...	-216.03	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	77.00	12/02/21	131.00	...	-54.00	6 of 6 - Option sale to close-put <sup>25</sup>
12/03/21	35.000	2,694.91	Various	4,855.04	...	-2,160.13	Total of 6 transactions
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	2.000	153.98	12/02/21	262.00	108.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	230.98	12/02/21	393.00	162.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
12/03/21	5.000	384.96	Various	655.00	270.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,079.87</b>		<b>5,510.04</b>	<b>270.04 W</b>	<b>-2,160.13</b>	
AAPL 12/17/2021 PUT \$170.00 / CUSIP: / Symbol:							
5 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	25.000	1,874.94	12/10/21	3,575.00	...	-1,700.06	1 of 5 - Option sale to close-put <sup>25</sup>
	10.000	749.98	12/10/21	2,130.03	...	-1,380.05	2 of 5 - Option sale to close-put <sup>25</sup>
	19.000	1,424.95	12/10/21	2,736.00	...	-1,311.05	3 of 5 - Option sale to close-put <sup>25</sup>
	20.000	1,499.95	12/10/21	2,560.00	...	-1,060.05	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	75.00	12/10/21	144.00	...	-69.00	5 of 5 - Option sale to close-put <sup>25</sup>
12/13/21	75.000	5,624.82	Various	11,145.03	...	-5,520.21	Total of 5 transactions
12/13/21	10.000	749.97	12/10/21	1,440.00	690.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>6,374.79</b>		<b>12,585.03</b>	<b>690.03 W</b>	<b>-5,520.21</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABNB 06/04/2021 CALL \$142.00 / CUSIP: / Symbol:							
<i>11 transactions for 06/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	345.00	05/28/21	315.00	...	30.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	379.98	05/28/21	330.00	...	49.98	2 of 11 - Option sale to close-call <sup>25</sup>
	2.000	689.99	05/28/21	630.00	...	59.99	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	380.00	05/28/21	287.00	...	93.00	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	380.00	05/28/21	287.00	...	93.00	5 of 11 - Option sale to close-call <sup>25</sup>
	4.000	1,519.98	05/28/21	1,320.02	...	199.96	6 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,899.98	05/28/21	1,545.02	...	354.96	7 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,899.98	05/28/21	1,470.00	...	429.98	8 of 11 - Option sale to close-call <sup>25</sup>
	22.000	7,589.91	05/28/21	6,930.00	...	659.91	9 of 11 - Option sale to close-call <sup>25</sup>
	8.000	3,039.96	05/28/21	2,296.00	...	743.96	10 of 11 - Option sale to close-call <sup>25</sup>
	10.000	3,799.96	05/28/21	2,750.00	...	1,049.96	11 of 11 - Option sale to close-call <sup>25</sup>
06/01/21	60.000	21,924.74	Various	18,160.04	...	3,764.70	Total of 11 transactions
06/01/21	10.000	3,449.96	05/28/21	3,600.00	150.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>25,374.70</b>		<b>21,760.04</b>	<b>150.04 W</b>	<b>3,764.70</b>	

AEHR 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:

*5 transactions for 09/28/21. Total proceeds and cost reported to the IRS.*

	5.000	614.98	09/24/21	1,100.00	...	-485.02	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	614.99	09/24/21	1,100.00	...	-485.01	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	491.99	09/24/21	940.00	...	-448.01	3 of 5 - Option sale to close-call <sup>25</sup>
	10.000	1,229.97	09/27/21	2,230.00	...	-1,000.03	4 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AEHR 10/15/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	10.000	1,229.97	09/27/21	2,230.00	...	-1,000.03	5 of 5 - Option sale to close-call <sup>25</sup>
09/28/21	34.000	4,181.90	Various	7,600.00	...	-3,418.10	Total of 5 transactions
AFRM 11/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	15.000	6,149.93	11/16/21	5,550.00	...	599.93	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	7,649.93	11/16/21	5,550.00	...	2,099.93	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	30.000	13,799.86	Various	11,100.00	...	2,699.86	Total of 2 transactions
AMC 06/04/2021 PUT \$30.00 / CUSIP: / Symbol:							
15 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	353.98	06/02/21	438.02	...	-84.04	1 of 15 - Option sale to close-put <sup>25</sup>
	6.000	707.98	06/02/21	786.00	...	-78.02	2 of 15 - Option sale to close-put <sup>25</sup>
	6.000	707.98	06/02/21	786.00	...	-78.02	3 of 15 - Option sale to close-put <sup>25</sup>
	6.000	707.98	06/02/21	786.00	...	-78.02	4 of 15 - Option sale to close-put <sup>25</sup>
	6.000	707.98	06/02/21	786.00	...	-78.02	5 of 15 - Option sale to close-put <sup>25</sup>
	2.000	235.99	06/02/21	292.02	...	-56.03	6 of 15 - Option sale to close-put <sup>25</sup>
	4.000	475.98	06/02/21	524.00	...	-48.02	7 of 15 - Option sale to close-put <sup>25</sup>
	4.000	475.98	06/02/21	524.00	...	-48.02	8 of 15 - Option sale to close-put <sup>25</sup>
	3.000	359.98	06/02/21	393.00	...	-33.02	9 of 15 - Option sale to close-put <sup>25</sup>
	3.000	359.98	06/02/21	393.00	...	-33.02	10 of 15 - Option sale to close-put <sup>25</sup>
	1.000	117.99	06/02/21	146.01	...	-28.02	11 of 15 - Option sale to close-put <sup>25</sup>
	1.000	118.00	06/02/21	146.02	...	-28.02	12 of 15 - Option sale to close-put <sup>25</sup>
	1.000	118.00	06/02/21	146.02	...	-28.02	13 of 15 - Option sale to close-put <sup>25</sup>
	1.000	118.00	06/02/21	146.00	...	-28.00	14 of 15 - Option sale to close-put <sup>25</sup>
	1.000	118.00	06/02/21	146.00	...	-28.00	15 of 15 - Option sale to close-put <sup>25</sup>
06/02/21	48.000	5,683.80	Various	6,438.09	...	-754.29	Total of 15 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 PUT \$30.00 / CUSIP: / Symbol: (cont'd)							
6 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	2.000	235.98	06/02/21	262.00	13.01 W	-13.01	1 of 6 - Option sale to close-put <sup>25</sup>
	5.000	589.98	06/02/21	655.00	52.02 W	-13.00	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	117.98	06/02/21	131.00	13.02 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	117.98	06/02/21	131.00	13.02 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	118.00	06/02/21	131.00	13.00 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	2.000	235.98	06/02/21	262.00	26.02 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
06/02/21	12.000	1,415.90	Various	1,572.00	130.09 W	-26.01	Total of 6 transactions
	<b>Security total:</b>	<b>7,099.70</b>		<b>8,010.09</b>	<b>130.09 W</b>	<b>-780.30</b>	

AMC 10/29/2021 CALL \$36.00 / CUSIP: / Symbol:

33 transactions for 10/29/21. Total proceeds and cost reported to the IRS.

	2.000	78.00	10/29/21	88.06	...	-10.06	1 of 33 - Option sale to close-call <sup>25</sup>
	1.000	39.00	10/29/21	44.03	...	-5.03	2 of 33 - Option sale to close-call <sup>25</sup>
	1.000	39.00	10/29/21	44.01	...	-5.01	3 of 33 - Option sale to close-call <sup>25</sup>
	1.000	39.00	10/29/21	44.01	...	-5.01	4 of 33 - Option sale to close-call <sup>25</sup>
	1.000	42.00	10/29/21	40.02	...	1.98	5 of 33 - Option sale to close-call <sup>25</sup>
	1.000	42.00	10/29/21	40.02	...	1.98	6 of 33 - Option sale to close-call <sup>25</sup>
	1.000	42.00	10/29/21	40.00	...	2.00	7 of 33 - Option sale to close-call <sup>25</sup>
	1.000	41.99	10/29/21	39.01	...	2.98	8 of 33 - Option sale to close-call <sup>25</sup>
	1.000	41.99	10/29/21	39.00	...	2.99	9 of 33 - Option sale to close-call <sup>25</sup>
	2.000	83.99	10/29/21	80.02	...	3.97	10 of 33 - Option sale to close-call <sup>25</sup>
	1.000	39.00	10/29/21	34.00	...	5.00	11 of 33 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/29/2021 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
	1.000	39.99	10/29/21	34.01	...	5.98	12 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.02	...	5.98	13 of 33 - Option sale to close-call <sub>25</sub>
	1.000	39.99	10/29/21	34.00	...	5.99	14 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.01	...	5.99	15 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.01	...	5.99	16 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.01	...	5.99	17 of 33 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	42.01	...	5.99	18 of 33 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	42.01	...	5.99	19 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.00	...	6.00	20 of 33 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	34.00	...	6.00	21 of 33 - Option sale to close-call <sub>25</sub>
	1.000	42.00	10/29/21	35.00	...	7.00	22 of 33 - Option sale to close-call <sub>25</sub>
	1.000	47.98	10/29/21	38.00	...	9.98	23 of 33 - Option sale to close-call <sub>25</sub>
	2.000	79.99	10/29/21	68.04	...	11.95	24 of 33 - Option sale to close-call <sub>25</sub>
	2.000	79.99	10/29/21	68.00	...	11.99	25 of 33 - Option sale to close-call <sub>25</sub>
	3.000	143.99	10/29/21	129.03	...	14.96	26 of 33 - Option sale to close-call <sub>25</sub>
	3.000	119.99	10/29/21	102.04	...	17.95	27 of 33 - Option sale to close-call <sub>25</sub>
	5.000	194.98	10/29/21	170.00	...	24.98	28 of 33 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/29/2021 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
	6.000	233.98	10/29/21	204.00	...	29.98	29 of 33 - Option sale to close-call <sub>25</sub>
	8.000	319.97	10/29/21	272.00	...	47.97	30 of 33 - Option sale to close-call <sub>25</sub>
	4.000	191.99	10/29/21	136.00	...	55.99	31 of 33 - Option sale to close-call <sub>25</sub>
	9.000	377.97	10/29/21	315.00	...	62.97	32 of 33 - Option sale to close-call <sub>25</sub>
	25.000	1,199.93	10/29/21	850.00	...	349.93	33 of 33 - Option sale to close-call <sub>25</sub>
10/29/21	92.000	3,976.71	Various	3,275.37	...	701.34	Total of 33 transactions
	44 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	39.98	10/29/21	56.01	16.03 W	0.00	1 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	50.01	10.01 W	0.00	2 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	50.01	10.01 W	0.00	3 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	50.03	10.03 W	0.00	4 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	56.01	16.01 W	0.00	5 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.00	10/29/21	56.01	16.01 W	0.00	6 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.98	10/29/21	46.00	5.02 W	0.00	7 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.98	10/29/21	63.00	22.02 W	0.00	8 of 44 - Option sale to close-call <sub>25</sub>
	1.000	40.99	10/29/21	46.01	5.02 W	0.00	9 of 44 - Option sale to close-call <sub>25</sub>
	1.000	41.00	10/29/21	46.00	5.00 W	0.00	10 of 44 - Option sale to close-call <sub>25</sub>
	1.000	41.00	10/29/21	85.01	44.01 W	0.00	11 of 44 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/29/2021 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
	1.000	42.00	10/29/21	46.00	4.00 W	0.00	12 of 44 - Option sale to close-call <sub>25</sub>
	1.000	42.00	10/29/21	56.01	14.01 W	0.00	13 of 44 - Option sale to close-call <sub>25</sub>
	1.000	42.00	10/29/21	56.02	14.02 W	0.00	14 of 44 - Option sale to close-call <sub>25</sub>
	1.000	42.00	10/29/21	78.01	36.01 W	0.00	15 of 44 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	48.01	0.01 W	0.00	16 of 44 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	48.01	0.01 W	0.00	17 of 44 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	48.02	0.02 W	0.00	18 of 44 - Option sale to close-call <sub>25</sub>
	1.000	48.00	10/29/21	70.01	22.01 W	0.00	19 of 44 - Option sale to close-call <sub>25</sub>
	2.000	79.98	10/29/21	112.03	32.05 W	0.00	20 of 44 - Option sale to close-call <sub>25</sub>
	2.000	79.99	10/29/21	100.05	20.06 W	0.00	21 of 44 - Option sale to close-call <sub>25</sub>
	2.000	81.99	10/29/21	92.01	10.02 W	0.00	22 of 44 - Option sale to close-call <sub>25</sub>
	2.000	81.99	10/29/21	106.00	24.01 W	0.00	23 of 44 - Option sale to close-call <sub>25</sub>
	2.000	83.99	10/29/21	112.01	28.02 W	0.00	24 of 44 - Option sale to close-call <sub>25</sub>
	2.000	83.99	10/29/21	112.02	28.03 W	0.00	25 of 44 - Option sale to close-call <sub>25</sub>
	2.000	84.00	10/29/21	92.01	8.01 W	0.00	26 of 44 - Option sale to close-call <sub>25</sub>
	2.000	84.00	10/29/21	100.02	16.02 W	0.00	27 of 44 - Option sale to close-call <sub>25</sub>
	2.000	95.99	10/29/21	96.03	0.04 W	0.00	28 of 44 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/29/2021 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
	2.000	96.00	10/29/21	96.02	0.02 W	0.00	29 of 44 - Option sale to close-call 25
	3.000	122.98	10/29/21	189.01	66.03 W	0.00	30 of 44 - Option sale to close-call 25
	3.000	125.99	10/29/21	153.02	27.03 W	0.00	31 of 44 - Option sale to close-call 25
	3.000	143.99	10/29/21	144.03	0.04 W	0.00	32 of 44 - Option sale to close-call 25
	5.000	154.98	10/29/21	265.00	110.02 W	0.00	33 of 44 - Option sale to close-call 25
	4.000	163.99	10/29/21	340.02	176.03 W	0.00	34 of 44 - Option sale to close-call 25
	4.000	167.99	10/29/21	224.03	56.04 W	0.00	35 of 44 - Option sale to close-call 25
	4.000	167.99	10/29/21	312.03	144.04 W	0.00	36 of 44 - Option sale to close-call 25
	4.000	191.99	10/29/21	280.04	88.05 W	0.00	37 of 44 - Option sale to close-call 25
	5.000	204.98	10/29/21	285.02	80.04 W	0.00	38 of 44 - Option sale to close-call 25
	5.000	204.99	10/29/21	320.01	115.02 W	0.00	39 of 44 - Option sale to close-call 25
	5.000	209.99	10/29/21	265.00	55.01 W	0.00	40 of 44 - Option sale to close-call 25
	5.000	209.99	10/29/21	375.02	165.03 W	0.00	41 of 44 - Option sale to close-call 25
	6.000	245.98	10/29/21	318.00	72.02 W	0.00	42 of 44 - Option sale to close-call 25
	6.000	245.98	10/29/21	378.02	132.04 W	0.00	43 of 44 - Option sale to close-call 25
	10.000	419.97	10/29/21	530.00	110.03 W	0.00	44 of 44 - Option sale to close-call 25
10/29/21	111.000	4,638.63	Various	6,450.64	1,812.01 W	0.00	Total of 44 transactions
	<b>Security total:</b>	<b>8,615.34</b>		<b>9,726.01</b>	<b>1,812.01 W</b>	<b>701.34</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
27 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	3.000	329.99	11/02/21	390.05	...	-60.06	1 of 27 - Option sale to close-call 25
	2.000	219.99	11/02/21	262.05	...	-42.06	2 of 27 - Option sale to close-call 25
	2.000	219.99	11/02/21	260.05	...	-40.06	3 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	143.02	...	-33.02	4 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.02	...	-20.02	5 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.02	...	-20.02	6 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.02	...	-20.02	7 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.01	...	-20.01	8 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.01	...	-20.01	9 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.01	...	-20.01	10 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.01	...	-20.01	11 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	130.01	...	-20.01	12 of 27 - Option sale to close-call 25
	2.000	219.99	11/02/21	236.04	...	-16.05	13 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	118.02	...	-8.02	14 of 27 - Option sale to close-call 25
	1.000	110.00	11/02/21	118.00	...	-8.00	15 of 27 - Option sale to close-call 25
	1.000	112.00	11/02/21	109.02	...	2.98	16 of 27 - Option sale to close-call 25
	4.000	447.99	11/02/21	436.02	...	11.97	17 of 27 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	4.000	447.99	11/02/21	436.02	...	11.97	18 of 27 - Option sale to close-call <sub>25</sub>
	7.000	783.98	11/02/21	763.02	...	20.96	19 of 27 - Option sale to close-call <sub>25</sub>
	8.000	895.98	11/02/21	872.03	...	23.95	20 of 27 - Option sale to close-call <sub>25</sub>
	7.000	769.98	11/02/21	742.02	...	27.96	21 of 27 - Option sale to close-call <sub>25</sub>
	15.000	1,679.96	11/02/21	1,650.04	...	29.92	22 of 27 - Option sale to close-call <sub>25</sub>
	11.000	1,231.97	11/02/21	1,199.03	...	32.94	23 of 27 - Option sale to close-call <sub>25</sub>
	13.000	1,455.96	11/02/21	1,417.04	...	38.92	24 of 27 - Option sale to close-call <sub>25</sub>
	17.000	1,903.95	11/02/21	1,853.04	...	50.91	25 of 27 - Option sale to close-call <sub>25</sub>
	36.000	3,959.91	11/02/21	3,816.10	...	143.81	26 of 27 - Option sale to close-call <sub>25</sub>
	37.000	4,069.89	11/02/21	3,478.00	...	591.89	27 of 27 - Option sale to close-call <sub>25</sub>
11/02/21	180.000	19,959.52	Various	19,338.72	...	620.80	Total of 27 transactions
	65 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	109.98	11/02/21	136.00	26.02 W	0.00	1 of 65 - Option sale to close-call <sub>25</sub>
	1.000	109.99	11/02/21	136.00	26.01 W	0.00	2 of 65 - Option sale to close-call <sub>25</sub>
	1.000	109.99	11/02/21	136.00	26.01 W	0.00	3 of 65 - Option sale to close-call <sub>25</sub>
	1.000	109.99	11/02/21	149.00	39.01 W	0.00	4 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	123.00	13.00 W	0.00	5 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	123.00	13.00 W	0.00	6 of 65 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	110.00	11/02/21	136.00	26.00 W	0.00	7 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	136.00	26.00 W	0.00	8 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.00	39.00 W	0.00	9 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.01	39.01 W	0.00	10 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.01	39.01 W	0.00	11 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.01	39.01 W	0.00	12 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.01	39.01 W	0.00	13 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	149.02	39.02 W	0.00	14 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.00	11/02/21	162.01	52.01 W	0.00	15 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.98	11/02/21	123.00	12.02 W	0.00	16 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.99	11/02/21	162.01	51.02 W	0.00	17 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.99	11/02/21	162.01	51.02 W	0.00	18 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.99	11/02/21	162.01	51.02 W	0.00	19 of 65 - Option sale to close-call <sub>25</sub>
	1.000	110.99	11/02/21	175.01	64.02 W	0.00	20 of 65 - Option sale to close-call <sub>25</sub>
	1.000	111.00	11/02/21	162.01	51.01 W	0.00	21 of 65 - Option sale to close-call <sub>25</sub>
	1.000	111.00	11/02/21	162.01	51.01 W	0.00	22 of 65 - Option sale to close-call <sub>25</sub>
	1.000	111.00	11/02/21	162.01	51.01 W	0.00	23 of 65 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	111.00	11/02/21	162.01	51.01 W	0.00	24 of 65 - Option sale to close-call 25
	1.000	111.00	11/02/21	162.01	51.01 W	0.00	25 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	136.00	24.00 W	0.00	26 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	136.02	24.02 W	0.00	27 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.01	36.01 W	0.00	28 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.01	36.01 W	0.00	29 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.01	36.01 W	0.00	30 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.01	36.01 W	0.00	31 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.01	36.01 W	0.00	32 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.02	36.02 W	0.00	33 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.02	36.02 W	0.00	34 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	148.02	36.02 W	0.00	35 of 65 - Option sale to close-call 25
	1.000	112.00	11/02/21	161.02	49.02 W	0.00	36 of 65 - Option sale to close-call 25
	2.000	219.98	11/02/21	324.02	104.04 W	0.00	37 of 65 - Option sale to close-call 25
	2.000	219.99	11/02/21	272.01	52.02 W	0.00	38 of 65 - Option sale to close-call 25
	2.000	219.99	11/02/21	298.02	78.03 W	0.00	39 of 65 - Option sale to close-call 25
	2.000	219.99	11/02/21	298.02	78.03 W	0.00	40 of 65 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	2.000	221.99	11/02/21	324.03	102.04 W	0.00	41 of 65 - Option sale to close-call 25
	2.000	223.99	11/02/21	272.03	48.04 W	0.00	42 of 65 - Option sale to close-call 25
	2.000	223.99	11/02/21	296.04	72.05 W	0.00	43 of 65 - Option sale to close-call 25
	2.000	223.99	11/02/21	298.04	74.05 W	0.00	44 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	408.01	78.02 W	0.00	45 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	408.01	78.02 W	0.00	46 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	408.02	78.03 W	0.00	47 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	447.02	117.03 W	0.00	48 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	447.02	117.03 W	0.00	49 of 65 - Option sale to close-call 25
	3.000	329.99	11/02/21	447.03	117.04 W	0.00	50 of 65 - Option sale to close-call 25
	3.000	332.99	11/02/21	486.03	153.04 W	0.00	51 of 65 - Option sale to close-call 25
	3.000	335.99	11/02/21	444.04	108.05 W	0.00	52 of 65 - Option sale to close-call 25
	4.000	439.98	11/02/21	492.00	52.02 W	0.00	53 of 65 - Option sale to close-call 25
	4.000	439.98	11/02/21	544.02	104.04 W	0.00	54 of 65 - Option sale to close-call 25
	4.000	443.98	11/02/21	492.00	48.02 W	0.00	55 of 65 - Option sale to close-call 25
	4.000	443.98	11/02/21	492.00	48.02 W	0.00	56 of 65 - Option sale to close-call 25
	5.000	549.98	11/02/21	615.00	65.02 W	0.00	57 of 65 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 11/05/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	8.000	887.97	11/02/21	984.00	96.03 W	0.00	58 of 65 - Option sale to close-call <sub>25</sub>
	9.000	989.97	11/02/21	1,107.00	117.03 W	0.00	59 of 65 - Option sale to close-call <sub>25</sub>
	11.000	1,220.97	11/02/21	1,353.00	132.03 W	0.00	60 of 65 - Option sale to close-call <sub>25</sub>
	13.000	1,442.96	11/02/21	1,599.00	156.04 W	0.00	61 of 65 - Option sale to close-call <sub>25</sub>
	14.000	1,553.96	11/02/21	1,722.00	168.04 W	0.00	62 of 65 - Option sale to close-call <sub>25</sub>
	15.000	1,649.96	11/02/21	1,845.00	195.04 W	0.00	63 of 65 - Option sale to close-call <sub>25</sub>
	17.000	1,886.96	11/02/21	2,091.00	204.04 W	0.00	64 of 65 - Option sale to close-call <sub>25</sub>
	36.000	3,995.90	11/02/21	4,428.00	432.10 W	0.00	65 of 65 - Option sale to close-call <sub>25</sub>
11/02/21	220.000	24,361.27	Various	28,983.72	4,622.45 W	0.00	Total of 65 transactions
	<b>Security total:</b>	<b>44,320.79</b>		<b>48,322.44</b>	<b>4,622.45 W</b>	<b>620.80</b>	
AMC 12/10/2021 CALL \$40.00 / CUSIP: / Symbol:							
11 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	15.00	12/08/21	14.00	...	1.00	1 of 11 - Option sale to close-call <sub>25</sub>
	2.000	29.99	12/08/21	28.00	...	1.99	2 of 11 - Option sale to close-call <sub>25</sub>
	7.000	104.98	12/08/21	98.00	...	6.98	3 of 11 - Option sale to close-call <sub>25</sub>
	9.000	134.98	12/08/21	126.00	...	8.98	4 of 11 - Option sale to close-call <sub>25</sub>
	10.000	149.98	12/08/21	140.00	...	9.98	5 of 11 - Option sale to close-call <sub>25</sub>
	14.000	209.97	12/08/21	196.00	...	13.97	6 of 11 - Option sale to close-call <sub>25</sub>
	15.000	224.97	12/08/21	210.00	...	14.97	7 of 11 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 12/10/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	19.000	284.95	12/08/21	266.00	...	18.95	8 of 11 - Option sale to close-call <sub>25</sub>
	22.000	329.95	12/08/21	308.00	...	21.95	9 of 11 - Option sale to close-call <sub>25</sub>
	27.000	404.94	12/08/21	378.00	...	26.94	10 of 11 - Option sale to close-call <sub>25</sub>
	42.000	629.91	12/08/21	588.00	...	41.91	11 of 11 - Option sale to close-call <sub>25</sub>
12/08/21	168.000	2,519.62	Various	2,352.00	...	167.62	Total of 11 transactions
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol:							
52 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	6.000	215.98	04/28/21	2,766.12	...	-2,550.14	1 of 52 - Option sale to close-call <sub>25</sub>
	4.000	143.98	04/28/21	2,492.13	...	-2,348.15	2 of 52 - Option sale to close-call <sub>25</sub>
	5.000	179.99	04/28/21	2,305.11	...	-2,125.12	3 of 52 - Option sale to close-call <sub>25</sub>
	3.000	107.99	04/28/21	1,383.07	...	-1,275.08	4 of 52 - Option sale to close-call <sub>25</sub>
	3.000	107.99	04/28/21	1,383.06	...	-1,275.07	5 of 52 - Option sale to close-call <sub>25</sub>
	7.000	251.98	04/28/21	1,456.07	...	-1,204.09	6 of 52 - Option sale to close-call <sub>25</sub>
	2.000	71.99	04/28/21	1,214.05	...	-1,142.06	7 of 52 - Option sale to close-call <sub>25</sub>
	2.000	71.99	04/28/21	1,162.06	...	-1,090.07	8 of 52 - Option sale to close-call <sub>25</sub>
	2.000	71.99	04/28/21	1,162.05	...	-1,090.06	9 of 52 - Option sale to close-call <sub>25</sub>
	6.000	215.98	04/28/21	1,248.06	...	-1,032.08	10 of 52 - Option sale to close-call <sub>25</sub>
	6.000	215.99	04/28/21	1,248.06	...	-1,032.07	11 of 52 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	24.000	863.94	04/28/21	1,872.12	...	-1,008.18	12 of 52 - Option sale to close-call <sub>25</sub>
	2.000	71.99	04/28/21	1,070.03	...	-998.04	13 of 52 - Option sale to close-call <sub>25</sub>
	5.000	179.98	04/28/21	1,040.05	...	-860.07	14 of 52 - Option sale to close-call <sub>25</sub>
	2.000	71.99	04/28/21	922.03	...	-850.04	15 of 52 - Option sale to close-call <sub>25</sub>
	20.000	719.95	04/28/21	1,560.10	...	-840.15	16 of 52 - Option sale to close-call <sub>25</sub>
	13.000	467.96	04/28/21	1,183.10	...	-715.14	17 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	607.02	...	-571.02	18 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	607.01	...	-571.01	19 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	581.01	...	-545.01	20 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	581.00	...	-545.00	21 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	581.00	...	-545.00	22 of 52 - Option sale to close-call <sub>25</sub>
	1.000	35.98	04/28/21	535.01	...	-499.03	23 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	535.02	...	-499.02	24 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	535.01	...	-499.01	25 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	532.00	...	-496.00	26 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	461.01	...	-425.01	27 of 52 - Option sale to close-call <sub>25</sub>
	1.000	36.00	04/28/21	461.01	...	-425.01	28 of 52 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	461.01	...	-425.01	29 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	461.00	...	-425.00	30 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	461.00	...	-425.00	31 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	461.00	...	-425.00	32 of 52 - Option sale to close-call 25
	10.000	359.97	04/28/21	780.05	...	-420.08	33 of 52 - Option sale to close-call 25
	8.000	287.97	04/28/21	632.04	...	-344.07	34 of 52 - Option sale to close-call 25
	2.000	71.99	04/28/21	416.04	...	-344.05	35 of 52 - Option sale to close-call 25
	2.000	71.99	04/28/21	416.02	...	-344.03	36 of 52 - Option sale to close-call 25
	2.000	72.00	04/28/21	416.01	...	-344.01	37 of 52 - Option sale to close-call 25
	2.000	72.00	04/28/21	416.00	...	-344.00	38 of 52 - Option sale to close-call 25
	6.000	215.98	04/28/21	474.03	...	-258.05	39 of 52 - Option sale to close-call 25
	6.000	215.98	04/28/21	474.03	...	-258.05	40 of 52 - Option sale to close-call 25
	5.000	179.98	04/28/21	390.03	...	-210.05	41 of 52 - Option sale to close-call 25
	5.000	179.98	04/28/21	390.02	...	-210.04	42 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	230.01	...	-194.01	43 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	230.00	...	-194.00	44 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	208.02	...	-172.02	45 of 52 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	208.01	...	-172.01	46 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	207.03	...	-171.03	47 of 52 - Option sale to close-call 25
	4.000	143.99	04/28/21	312.02	...	-168.03	48 of 52 - Option sale to close-call 25
	3.000	107.98	04/28/21	234.01	...	-126.03	49 of 52 - Option sale to close-call 25
	6.000	215.99	04/28/21	336.01	...	-120.02	50 of 52 - Option sale to close-call 25
	1.000	36.00	04/28/21	79.01	...	-43.01	51 of 52 - Option sale to close-call 25
	2.000	71.98	04/28/21	112.01	...	-40.03	52 of 52 - Option sale to close-call 25
04/28/21	196.000	7,055.42	Various	40,287.78	...	-33,232.36	Total of 52 transactions
	182 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	17.000	611.95	04/28/21	1,479.08	663.10 W	-204.03	1 of 182 - Option sale to close-call 25
	1.000	35.98	04/28/21	153.00	117.02 W	0.00	2 of 182 - Option sale to close-call 25
	1.000	35.99	04/28/21	175.00	139.01 W	0.00	3 of 182 - Option sale to close-call 25
	1.000	35.99	04/28/21	221.00	185.01 W	0.00	4 of 182 - Option sale to close-call 25
	1.000	35.99	04/28/21	531.01	495.02 W	0.00	5 of 182 - Option sale to close-call 25
	1.000	36.00	04/28/21	175.00	139.00 W	0.00	6 of 182 - Option sale to close-call 25
	1.000	36.00	04/28/21	175.02	139.02 W	0.00	7 of 182 - Option sale to close-call 25
	1.000	36.00	04/28/21	192.01	156.01 W	0.00	8 of 182 - Option sale to close-call 25
	1.000	36.00	04/28/21	197.00	161.00 W	0.00	9 of 182 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	197.01	161.01 W	0.00	10 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	204.02	168.02 W	0.00	11 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	221.00	185.00 W	0.00	12 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	221.00	185.00 W	0.00	13 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	221.00	185.00 W	0.00	14 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	221.00	185.00 W	0.00	15 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	224.00	188.00 W	0.00	16 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	224.00	188.00 W	0.00	17 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	226.00	190.00 W	0.00	18 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	226.01	190.01 W	0.00	19 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.00	302.00 W	0.00	20 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.00	302.00 W	0.00	21 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.00	302.00 W	0.00	22 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.00	302.00 W	0.00	23 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.01	302.01 W	0.00	24 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	338.01	302.01 W	0.00	25 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	341.00	305.00 W	0.00	26 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	341.00	305.00 W	0.00	27 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	341.01	305.01 W	0.00	28 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	367.01	331.01 W	0.00	29 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	406.00	370.00 W	0.00	30 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	406.00	370.00 W	0.00	31 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	406.00	370.00 W	0.00	32 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	406.01	370.01 W	0.00	33 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	406.01	370.01 W	0.00	34 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.00	392.00 W	0.00	35 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.00	392.00 W	0.00	36 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.00	392.00 W	0.00	37 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.01	392.01 W	0.00	38 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.01	392.01 W	0.00	39 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	428.01	392.01 W	0.00	40 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	455.00	419.00 W	0.00	41 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	457.00	421.00 W	0.00	42 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	457.00	421.00 W	0.00	43 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	457.00	421.00 W	0.00	44 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	457.01	421.01 W	0.00	45 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	457.01	421.01 W	0.00	46 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	457.01	421.01 W	0.00	47 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	458.00	422.00 W	0.00	48 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	458.00	422.00 W	0.00	49 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	458.01	422.01 W	0.00	50 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	477.00	441.00 W	0.00	51 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	480.01	444.01 W	0.00	52 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	480.01	444.01 W	0.00	53 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	480.01	444.01 W	0.00	54 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	484.01	448.01 W	0.00	55 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	499.00	463.00 W	0.00	56 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	502.01	466.01 W	0.00	57 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	502.01	466.01 W	0.00	58 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	502.01	466.01 W	0.00	59 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	526.00	490.00 W	0.00	60 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	526.00	490.00 W	0.00	61 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	526.01	490.01 W	0.00	62 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	528.00	492.00 W	0.00	63 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/28/21	531.01	495.01 W	0.00	64 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	531.01	495.01 W	0.00	65 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	548.00	512.00 W	0.00	66 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	548.00	512.00 W	0.00	67 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	548.01	512.01 W	0.00	68 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	552.01	516.01 W	0.00	69 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	574.01	538.01 W	0.00	70 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	577.00	541.00 W	0.00	71 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	577.00	541.00 W	0.00	72 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	577.01	541.01 W	0.00	73 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.00	04/28/21	603.01	567.01 W	0.00	74 of 182 - Option sale to close-call <sup>25</sup>
	1.000	36.98	04/28/21	204.01	167.03 W	0.00	75 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	192.02	155.02 W	0.00	76 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	205.01	168.01 W	0.00	77 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	214.00	177.00 W	0.00	78 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	214.01	177.01 W	0.00	79 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	445.00	408.00 W	0.00	80 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	445.00	408.00 W	0.00	81 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	37.00	04/28/21	445.00	408.00 W	0.00	82 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	445.01	408.01 W	0.00	83 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	445.01	408.01 W	0.00	84 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	445.01	408.01 W	0.00	85 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	516.00	479.00 W	0.00	86 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	519.01	482.01 W	0.00	87 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	519.01	482.01 W	0.00	88 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	519.01	482.01 W	0.00	89 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	565.00	528.00 W	0.00	90 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	565.00	528.00 W	0.00	91 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	565.01	528.01 W	0.00	92 of 182 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/28/21	591.01	554.01 W	0.00	93 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	350.01	278.02 W	0.00	94 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	384.02	312.03 W	0.00	95 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	676.01	604.02 W	0.00	96 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	812.02	740.03 W	0.00	97 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	960.02	888.03 W	0.00	98 of 182 - Option sale to close-call <sup>25</sup>
	2.000	71.99	04/28/21	1,154.04	1,082.05 W	0.00	99 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	2.000	71.99	04/28/21	1,154.05	1,082.06 W	0.00	100 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	306.00	234.00 W	0.00	101 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	350.00	278.00 W	0.00	102 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	408.00	336.00 W	0.00	103 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	408.01	336.01 W	0.00	104 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	856.03	784.03 W	0.00	105 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	914.03	842.03 W	0.00	106 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	1,004.03	932.03 W	0.00	107 of 182 - Option sale to close-call <sup>25</sup>
	2.000	72.00	04/28/21	1,062.03	990.03 W	0.00	108 of 182 - Option sale to close-call <sup>25</sup>
	2.000	73.99	04/28/21	410.03	336.04 W	0.00	109 of 182 - Option sale to close-call <sup>25</sup>
	2.000	74.00	04/28/21	384.00	310.00 W	0.00	110 of 182 - Option sale to close-call <sup>25</sup>
	2.000	74.00	04/28/21	890.03	816.03 W	0.00	111 of 182 - Option sale to close-call <sup>25</sup>
	2.000	74.00	04/28/21	1,038.03	964.03 W	0.00	112 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	663.01	555.02 W	0.00	113 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	663.01	555.02 W	0.00	114 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,014.02	906.03 W	0.00	115 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,101.01	993.02 W	0.00	116 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,218.03	1,110.04 W	0.00	117 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	3.000	107.99	04/28/21	1,284.03	1,176.04 W	0.00	118 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,284.04	1,176.05 W	0.00	119 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,371.05	1,263.06 W	0.00	120 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,371.06	1,263.07 W	0.00	121 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,452.02	1,344.03 W	0.00	122 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,656.03	1,548.04 W	0.00	123 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,722.04	1,614.05 W	0.00	124 of 182 - Option sale to close-call <sup>25</sup>
	3.000	107.99	04/28/21	1,809.06	1,701.07 W	0.00	125 of 182 - Option sale to close-call <sup>25</sup>
	3.000	110.99	04/28/21	1,335.04	1,224.05 W	0.00	126 of 182 - Option sale to close-call <sup>25</sup>
	3.000	110.99	04/28/21	1,335.05	1,224.06 W	0.00	127 of 182 - Option sale to close-call <sup>25</sup>
	3.000	110.99	04/28/21	1,773.05	1,662.06 W	0.00	128 of 182 - Option sale to close-call <sup>25</sup>
	1.000	140.00	04/28/21	182.00	42.00 W	0.00	129 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.98	04/28/21	1,064.03	920.05 W	0.00	130 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.98	04/28/21	2,000.06	1,856.08 W	0.00	131 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.98	04/28/21	2,476.11	2,332.13 W	0.00	132 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	884.01	740.02 W	0.00	133 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	896.01	752.02 W	0.00	134 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	1,352.02	1,208.03 W	0.00	135 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	4.000	143.99	04/28/21	1,364.02	1,220.03 W	0.00	136 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	1,532.05	1,388.06 W	0.00	137 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	1,624.03	1,480.04 W	0.00	138 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	1,832.03	1,688.04 W	0.00	139 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	2,104.06	1,960.07 W	0.00	140 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	2,192.07	2,048.08 W	0.00	141 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	2,272.08	2,128.09 W	0.00	142 of 182 - Option sale to close-call <sup>25</sup>
	4.000	143.99	04/28/21	2,360.09	2,216.10 W	0.00	143 of 182 - Option sale to close-call <sup>25</sup>
	4.000	147.99	04/28/21	2,260.08	2,112.09 W	0.00	144 of 182 - Option sale to close-call <sup>25</sup>
	4.000	147.99	04/28/21	2,428.10	2,280.11 W	0.00	145 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.98	04/28/21	1,105.02	925.04 W	0.00	146 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.98	04/28/21	1,120.02	940.04 W	0.00	147 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.98	04/28/21	2,030.05	1,850.07 W	0.00	148 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.98	04/28/21	2,285.09	2,105.11 W	0.00	149 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.98	04/28/21	2,290.05	2,110.07 W	0.00	150 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.99	04/28/21	1,120.02	940.03 W	0.00	151 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.99	04/28/21	1,690.04	1,510.05 W	0.00	152 of 182 - Option sale to close-call <sup>25</sup>
	5.000	179.99	04/28/21	1,705.04	1,525.05 W	0.00	153 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	5.000	179.99	04/28/21	2,140.07	1,960.08 W	0.00	154 of 182 - Option sale to close-call <sup>25</sup>
	5.000	184.99	04/28/21	2,225.08	2,040.09 W	0.00	155 of 182 - Option sale to close-call <sup>25</sup>
	6.000	215.98	04/28/21	2,028.03	1,812.05 W	0.00	156 of 182 - Option sale to close-call <sup>25</sup>
	6.000	215.98	04/28/21	2,436.05	2,220.07 W	0.00	157 of 182 - Option sale to close-call <sup>25</sup>
	6.000	215.98	04/28/21	2,568.07	2,352.09 W	0.00	158 of 182 - Option sale to close-call <sup>25</sup>
	6.000	215.98	04/28/21	2,742.10	2,526.12 W	0.00	159 of 182 - Option sale to close-call <sup>25</sup>
	6.000	215.99	04/28/21	1,326.02	1,110.03 W	0.00	160 of 182 - Option sale to close-call <sup>25</sup>
	6.000	221.99	04/28/21	2,670.09	2,448.10 W	0.00	161 of 182 - Option sale to close-call <sup>25</sup>
	7.000	251.98	04/28/21	1,344.03	1,092.05 W	0.00	162 of 182 - Option sale to close-call <sup>25</sup>
	7.000	251.98	04/28/21	1,428.05	1,176.07 W	0.00	163 of 182 - Option sale to close-call <sup>25</sup>
	7.000	251.99	04/28/21	1,225.02	973.03 W	0.00	164 of 182 - Option sale to close-call <sup>25</sup>
	7.000	258.98	04/28/21	1,435.05	1,176.07 W	0.00	165 of 182 - Option sale to close-call <sup>25</sup>
	8.000	295.98	04/28/21	424.00	128.02 W	0.00	166 of 182 - Option sale to close-call <sup>25</sup>
	8.000	295.98	04/28/21	1,536.04	1,240.06 W	0.00	167 of 182 - Option sale to close-call <sup>25</sup>
	9.000	323.97	04/28/21	1,377.00	1,053.03 W	0.00	168 of 182 - Option sale to close-call <sup>25</sup>
	9.000	323.98	04/28/21	1,575.03	1,251.05 W	0.00	169 of 182 - Option sale to close-call <sup>25</sup>
	11.000	395.97	04/28/21	1,683.00	1,287.03 W	0.00	170 of 182 - Option sale to close-call <sup>25</sup>
	12.000	431.97	04/28/21	2,448.09	2,016.12 W	0.00	171 of 182 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 04/30/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	14.000	503.96	04/28/21	2,142.00	1,638.04 W	0.00	172 of 182 - Option sale to close-call <sup>25</sup>
	14.000	503.97	04/28/21	2,450.04	1,946.07 W	0.00	173 of 182 - Option sale to close-call <sup>25</sup>
	14.000	517.97	04/28/21	2,688.07	2,170.10 W	0.00	174 of 182 - Option sale to close-call <sup>25</sup>
	4.000	559.98	04/28/21	728.00	168.02 W	0.00	175 of 182 - Option sale to close-call <sup>25</sup>
	4.000	559.99	04/28/21	896.02	336.03 W	0.00	176 of 182 - Option sale to close-call <sup>25</sup>
	6.000	839.98	04/28/21	1,092.00	252.02 W	0.00	177 of 182 - Option sale to close-call <sup>25</sup>
	10.000	1,399.97	04/28/21	1,820.00	420.03 W	0.00	178 of 182 - Option sale to close-call <sup>25</sup>
	11.000	1,539.97	04/28/21	2,002.00	462.03 W	0.00	179 of 182 - Option sale to close-call <sup>25</sup>
	14.000	1,959.96	04/28/21	2,548.00	588.04 W	0.00	180 of 182 - Option sale to close-call <sup>25</sup>
	109.000	3,923.73	04/28/21	6,322.00	2,398.27 W	0.00	181 of 182 - Option sale to close-call <sup>25</sup>
	109.000	4,032.73	04/28/21	8,175.27	4,142.54 W	0.00	182 of 182 - Option sale to close-call <sup>25</sup>
04/28/21	740.000	32,039.19	Various	179,020.91	146,777.69 W	-204.03	Total of 182 transactions
	<b>Security total:</b>	<b>39,094.61</b>		<b>219,308.69</b>	<b>146,777.69 W</b>	<b>-33,436.39</b>	
AMD 05/07/2021 CALL \$85.00 / CUSIP: / Symbol:							
22 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	177.98	04/28/21	176.00	...	1.98	1 of 22 - Option sale to close-call <sup>25</sup>
	1.000	177.98	04/28/21	176.00	...	1.98	2 of 22 - Option sale to close-call <sup>25</sup>
	1.000	177.98	04/28/21	176.00	...	1.98	3 of 22 - Option sale to close-call <sup>25</sup>
	1.000	178.00	04/28/21	176.00	...	2.00	4 of 22 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 05/07/2021 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	1.000	178.99	04/28/21	176.00	...	2.99	5 of 22 - Option sale to close-call 25
	2.000	355.98	04/28/21	352.00	...	3.98	6 of 22 - Option sale to close-call 25
	3.000	533.98	04/28/21	528.00	...	5.98	7 of 22 - Option sale to close-call 25
	3.000	533.98	04/28/21	528.00	...	5.98	8 of 22 - Option sale to close-call 25
	2.000	357.99	04/28/21	352.00	...	5.99	9 of 22 - Option sale to close-call 25
	5.000	889.98	04/28/21	880.00	...	9.98	10 of 22 - Option sale to close-call 25
	5.000	889.98	04/28/21	880.00	...	9.98	11 of 22 - Option sale to close-call 25
	6.000	1,067.98	04/28/21	1,056.00	...	11.98	12 of 22 - Option sale to close-call 25
	29.000	5,161.92	04/28/21	5,104.00	...	57.92	13 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	14 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	15 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	16 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	17 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	18 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	19 of 22 - Option sale to close-call 25
	10.000	1,779.97	04/28/21	1,720.00	...	59.97	20 of 22 - Option sale to close-call 25
	40.000	7,119.88	04/28/21	7,040.00	...	79.88	21 of 22 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 05/07/2021 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	30.000	5,339.92	04/28/21	5,160.00	...	179.92	22 of 22 - Option sale to close-call <sub>25</sub>
04/29/21	200.000	35,602.31	Various	34,800.00	...	802.31	Total of 22 transactions
AMD 06/04/2021 CALL \$83.00 / CUSIP: / Symbol:							
17 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	48.000	2,207.89	06/01/21	6,096.11	...	-3,888.22	1 of 17 - Option sale to close-call <sub>25</sub>
	23.000	1,011.94	06/01/21	3,634.11	...	-2,622.17	2 of 17 - Option sale to close-call <sub>25</sub>
	20.000	879.95	06/01/21	3,160.10	...	-2,280.15	3 of 17 - Option sale to close-call <sub>25</sub>
	21.000	965.95	06/01/21	2,037.05	...	-1,071.10	4 of 17 - Option sale to close-call <sub>25</sub>
	7.000	321.98	06/01/21	966.02	...	-644.04	5 of 17 - Option sale to close-call <sub>25</sub>
	5.000	219.98	06/01/21	790.02	...	-570.04	6 of 17 - Option sale to close-call <sub>25</sub>
	10.000	459.97	06/01/21	970.02	...	-510.05	7 of 17 - Option sale to close-call <sub>25</sub>
	5.000	229.98	06/01/21	485.02	...	-255.04	8 of 17 - Option sale to close-call <sub>25</sub>
	5.000	229.98	06/01/21	485.01	...	-255.03	9 of 17 - Option sale to close-call <sub>25</sub>
	2.000	92.00	06/01/21	254.00	...	-162.00	10 of 17 - Option sale to close-call <sub>25</sub>
	3.000	137.98	06/01/21	291.01	...	-153.03	11 of 17 - Option sale to close-call <sub>25</sub>
	1.000	43.98	06/01/21	158.00	...	-114.02	12 of 17 - Option sale to close-call <sub>25</sub>
	1.000	43.98	06/01/21	158.00	...	-114.02	13 of 17 - Option sale to close-call <sub>25</sub>
	2.000	91.98	06/01/21	194.00	...	-102.02	14 of 17 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/04/2021 CALL \$83.00 / CUSIP: / Symbol: (cont'd)							
	2.000	91.98	06/01/21	194.00	...	-102.02	15 of 17 - Option sale to close-call <sup>25</sup>
	1.000	45.98	06/01/21	97.00	...	-51.02	16 of 17 - Option sale to close-call <sup>25</sup>
	1.000	46.00	06/01/21	97.00	...	-51.00	17 of 17 - Option sale to close-call <sup>25</sup>
06/01/21	157.000	7,121.50	Various	20,066.47	...	-12,944.97	Total of 17 transactions
	6 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	93.000	4,277.79	06/01/21	12,834.21	4,600.23 W	-3,956.19	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	46.00	06/01/21	77.00	31.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	10.000	459.98	06/01/21	770.00	310.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	39.000	1,793.91	06/01/21	3,003.00	1,209.09 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	57.000	2,621.87	06/01/21	6,099.00	3,477.13 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	93.000	4,277.79	06/01/21	9,951.00	5,673.21 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
06/01/21	293.000	13,477.34	Various	32,734.21	15,300.68 W	-3,956.19	Total of 6 transactions
	<b>Security total:</b>	<b>20,598.84</b>		<b>52,800.68</b>	<b>15,300.68 W</b>	<b>-16,901.16</b>	
AMD 11/05/2021 CALL \$138.00 / CUSIP: / Symbol:							
	20 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	60.000	7,019.84	11/04/21	9,420.15	...	-2,400.31	1 of 20 - Option sale to close-call <sup>25</sup>
	20.000	2,439.94	11/04/21	4,000.11	...	-1,560.17	2 of 20 - Option sale to close-call <sup>25</sup>
	40.000	4,679.89	11/04/21	5,600.11	...	-920.22	3 of 20 - Option sale to close-call <sup>25</sup>
	25.000	2,924.93	11/04/21	3,500.06	...	-575.13	4 of 20 - Option sale to close-call <sup>25</sup>
	10.000	1,169.97	11/04/21	1,570.03	...	-400.06	5 of 20 - Option sale to close-call <sup>25</sup>
	5.000	584.98	11/04/21	785.01	...	-200.03	6 of 20 - Option sale to close-call <sup>25</sup>
	3.000	350.99	11/04/21	546.03	...	-195.04	7 of 20 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 11/05/2021 CALL \$138.00 / CUSIP: / Symbol: (cont'd)							
	5.000	584.98	11/04/21	700.01	...	-115.03	8 of 20 - Option sale to close-call 25
	8.000	967.97	11/04/21	1,080.05	...	-112.08	9 of 20 - Option sale to close-call 25
	5.000	609.99	11/04/21	700.01	...	-90.02	10 of 20 - Option sale to close-call 25
	1.000	117.00	11/04/21	182.00	...	-65.00	11 of 20 - Option sale to close-call 25
	1.000	117.00	11/04/21	182.00	...	-65.00	12 of 20 - Option sale to close-call 25
	4.000	483.99	11/04/21	540.03	...	-56.04	13 of 20 - Option sale to close-call 25
	3.000	362.99	11/04/21	405.02	...	-42.03	14 of 20 - Option sale to close-call 25
	3.000	365.99	11/04/21	405.02	...	-39.03	15 of 20 - Option sale to close-call 25
	2.000	241.98	11/04/21	270.01	...	-28.03	16 of 20 - Option sale to close-call 25
	2.000	241.99	11/04/21	270.02	...	-28.03	17 of 20 - Option sale to close-call 25
	1.000	121.00	11/04/21	135.02	...	-14.02	18 of 20 - Option sale to close-call 25
	1.000	121.00	11/04/21	135.01	...	-14.01	19 of 20 - Option sale to close-call 25
	1.000	121.00	11/04/21	135.00	...	-14.00	20 of 20 - Option sale to close-call 25
11/04/21	200.000	23,627.42	Various	30,560.70	...	-6,933.28	Total of 20 transactions
	14 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	102.00	11/04/21	189.00	87.00 W	0.00	1 of 14 - Option sale to close-call 25
	1.000	102.00	11/04/21	189.00	87.00 W	0.00	2 of 14 - Option sale to close-call 25
	1.000	112.98	11/04/21	157.00	44.02 W	0.00	3 of 14 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 11/05/2021 CALL \$138.00 / CUSIP: / Symbol: (cont'd)							
	1.000	112.99	11/04/21	157.00	44.01 W	0.00	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	113.00	11/04/21	157.00	44.00 W	0.00	5 of 14 - Option sale to close-call <sup>25</sup>
	2.000	225.99	11/04/21	314.01	88.02 W	0.00	6 of 14 - Option sale to close-call <sup>25</sup>
	3.000	305.99	11/04/21	567.02	261.03 W	0.00	7 of 14 - Option sale to close-call <sup>25</sup>
	4.000	451.98	11/04/21	628.01	176.03 W	0.00	8 of 14 - Option sale to close-call <sup>25</sup>
	5.000	609.98	11/04/21	820.00	210.02 W	0.00	9 of 14 - Option sale to close-call <sup>25</sup>
	6.000	677.98	11/04/21	942.02	264.04 W	0.00	10 of 14 - Option sale to close-call <sup>25</sup>
	10.000	1,129.97	11/04/21	1,570.03	440.06 W	0.00	11 of 14 - Option sale to close-call <sup>25</sup>
	20.000	2,079.94	11/04/21	4,180.05	2,100.11 W	0.00	12 of 14 - Option sale to close-call <sup>25</sup>
	75.000	7,649.81	11/04/21	11,025.00	3,375.19 W	0.00	13 of 14 - Option sale to close-call <sup>25</sup>
	120.000	12,239.69	11/04/21	19,680.00	7,440.31 W	0.00	14 of 14 - Option sale to close-call <sup>25</sup>
11/04/21	250.000	25,914.30	Various	40,575.14	14,660.84 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>49,541.72</b>		<b>71,135.84</b>	<b>14,660.84 W</b>	<b>-6,933.28</b>	
AMZN 04/30/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	2.000	8,399.94	04/30/21	6,600.00	...	1,799.94	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	10,669.93	04/30/21	6,600.00	...	4,069.93	2 of 2 - Option sale to close-call <sup>25</sup>
04/30/21	4.000	19,069.87	Various	13,200.00	...	5,869.87	Total of 2 transactions
AMZN 04/30/2021 CALL \$3,505.00 / CUSIP: / Symbol:							
04/30/21	4.000	16,099.90	04/30/21	9,600.00	...	6,499.90	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol:							
96 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	33.98	11/02/21	70.00	36.02 W	0.00	1 of 96 - Option sale to close-call 25
	1.000	33.98	11/02/21	88.01	54.03 W	0.00	2 of 96 - Option sale to close-call 25
	1.000	33.98	11/02/21	124.02	90.04 W	0.00	3 of 96 - Option sale to close-call 25
	1.000	33.98	11/02/21	142.01	108.03 W	0.00	4 of 96 - Option sale to close-call 25
	1.000	33.98	11/02/21	142.02	108.04 W	0.00	5 of 96 - Option sale to close-call 25
	1.000	33.98	11/02/21	160.03	126.05 W	0.00	6 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	88.00	54.01 W	0.00	7 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	88.01	54.02 W	0.00	8 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	106.00	72.01 W	0.00	9 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	106.01	72.02 W	0.00	10 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	142.01	108.02 W	0.00	11 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	142.01	108.02 W	0.00	12 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	142.02	108.03 W	0.00	13 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	142.02	108.03 W	0.00	14 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	142.02	108.03 W	0.00	15 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	160.00	126.01 W	0.00	16 of 96 - Option sale to close-call 25
	1.000	33.99	11/02/21	160.01	126.02 W	0.00	17 of 96 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.99	11/02/21	160.02	126.03 W	0.00	18 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.00	36.00 W	0.00	19 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.00	36.00 W	0.00	20 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.00	36.00 W	0.00	21 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.00	36.00 W	0.00	22 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.00	36.00 W	0.00	23 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	70.01	36.01 W	0.00	24 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.00	54.00 W	0.00	25 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.00	54.00 W	0.00	26 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.00	54.00 W	0.00	27 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.00	54.00 W	0.00	28 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.01	54.01 W	0.00	29 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.01	54.01 W	0.00	30 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.01	54.01 W	0.00	31 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	88.02	54.02 W	0.00	32 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	106.00	72.00 W	0.00	33 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	106.00	72.00 W	0.00	34 of 96 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/02/21	106.00	72.00 W	0.00	35 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.01	72.01 W	0.00	36 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.01	72.01 W	0.00	37 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.01	72.01 W	0.00	38 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.01	72.01 W	0.00	39 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.02	72.02 W	0.00	40 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	106.02	72.02 W	0.00	41 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.00	90.00 W	0.00	42 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.00	90.00 W	0.00	43 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.00	90.00 W	0.00	44 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	45 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	46 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	47 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	48 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	49 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.01	90.01 W	0.00	50 of 96 - Option sale to close-call <sub>25</sub>
	1.000	34.00	11/02/21	124.02	90.02 W	0.00	51 of 96 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/02/21	124.02	90.02 W	0.00	52 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	124.02	90.02 W	0.00	53 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.00	108.00 W	0.00	54 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.00	108.00 W	0.00	55 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.00	108.00 W	0.00	56 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.01	108.01 W	0.00	57 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.01	108.01 W	0.00	58 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.01	108.01 W	0.00	59 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.02	108.02 W	0.00	60 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	142.04	108.04 W	0.00	61 of 96 - Option sale to close-call 25
	1.000	34.00	11/02/21	160.00	126.00 W	0.00	62 of 96 - Option sale to close-call 25
	2.000	67.98	11/02/21	176.01	108.03 W	0.00	63 of 96 - Option sale to close-call 25
	2.000	67.99	11/02/21	140.00	72.01 W	0.00	64 of 96 - Option sale to close-call 25
	2.000	67.99	11/02/21	140.00	72.01 W	0.00	65 of 96 - Option sale to close-call 25
	2.000	67.99	11/02/21	140.01	72.02 W	0.00	66 of 96 - Option sale to close-call 25
	2.000	67.99	11/02/21	140.01	72.02 W	0.00	67 of 96 - Option sale to close-call 25
	2.000	67.99	11/02/21	176.01	108.02 W	0.00	68 of 96 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	67.99	11/02/21	176.02	108.03 W	0.00	69 of 96 - Option sale to close-call <sub>25</sub>
	2.000	67.99	11/02/21	212.02	144.03 W	0.00	70 of 96 - Option sale to close-call <sub>25</sub>
	2.000	67.99	11/02/21	248.02	180.03 W	0.00	71 of 96 - Option sale to close-call <sub>25</sub>
	2.000	67.99	11/02/21	248.03	180.04 W	0.00	72 of 96 - Option sale to close-call <sub>25</sub>
	2.000	67.99	11/02/21	284.03	216.04 W	0.00	73 of 96 - Option sale to close-call <sub>25</sub>
	2.000	68.00	11/02/21	212.02	144.02 W	0.00	74 of 96 - Option sale to close-call <sub>25</sub>
	2.000	68.00	11/02/21	212.03	144.03 W	0.00	75 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	156.00	54.01 W	0.00	76 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	156.00	54.01 W	0.00	77 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	210.01	108.02 W	0.00	78 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	210.01	108.02 W	0.00	79 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	264.02	162.03 W	0.00	80 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	264.02	162.03 W	0.00	81 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	318.03	216.04 W	0.00	82 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	372.04	270.05 W	0.00	83 of 96 - Option sale to close-call <sub>25</sub>
	3.000	101.99	11/02/21	426.05	324.06 W	0.00	84 of 96 - Option sale to close-call <sub>25</sub>
	4.000	135.98	11/02/21	280.01	144.03 W	0.00	85 of 96 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	135.99	11/02/21	208.00	72.01 W	0.00	86 of 96 - Option sale to close-call <sub>25</sub>
	4.000	135.99	11/02/21	208.00	72.01 W	0.00	87 of 96 - Option sale to close-call <sub>25</sub>
	4.000	135.99	11/02/21	280.01	144.02 W	0.00	88 of 96 - Option sale to close-call <sub>25</sub>
	4.000	135.99	11/02/21	280.01	144.02 W	0.00	89 of 96 - Option sale to close-call <sub>25</sub>
	5.000	169.98	11/02/21	350.02	180.04 W	0.00	90 of 96 - Option sale to close-call <sub>25</sub>
	6.000	203.98	11/02/21	420.02	216.04 W	0.00	91 of 96 - Option sale to close-call <sub>25</sub>
	6.000	203.98	11/02/21	528.04	324.06 W	0.00	92 of 96 - Option sale to close-call <sub>25</sub>
	6.000	203.99	11/02/21	312.00	108.01 W	0.00	93 of 96 - Option sale to close-call <sub>25</sub>
	8.000	271.98	11/02/21	416.00	144.02 W	0.00	94 of 96 - Option sale to close-call <sub>25</sub>
	9.000	305.97	11/02/21	630.03	324.06 W	0.00	95 of 96 - Option sale to close-call <sub>25</sub>
	25.000	849.92	11/02/21	1,300.00	450.08 W	0.00	96 of 96 - Option sale to close-call <sub>25</sub>
11/02/21	200.000	6,799.29	Various	17,259.10	10,459.81 W	0.00	Total of 96 transactions
92 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	44.000	659.90	11/04/21	2,068.42	...	-1,408.52	1 of 92 - Option sale to close-call <sub>25</sub>
	4.000	59.99	11/04/21	364.09	...	-304.10	2 of 92 - Option sale to close-call <sub>25</sub>
	6.000	89.98	11/04/21	282.06	...	-192.08	3 of 92 - Option sale to close-call <sub>25</sub>
	6.000	89.99	11/04/21	282.05	...	-192.06	4 of 92 - Option sale to close-call <sub>25</sub>
	5.000	74.99	11/04/21	235.05	...	-160.06	5 of 92 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	5.000	74.99	11/04/21	235.04	...	-160.05	6 of 92 - Option sale to close-call 25
	2.000	30.00	11/04/21	182.05	...	-152.05	7 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	163.05	...	-148.05	8 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	163.03	...	-148.03	9 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	163.03	...	-148.03	10 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	163.01	...	-148.01	11 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	163.00	...	-148.00	12 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	145.04	...	-130.05	13 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	145.03	...	-130.04	14 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.04	...	-130.04	15 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.04	...	-130.04	16 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.04	...	-130.04	17 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.04	...	-130.04	18 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.04	...	-130.04	19 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	145.02	...	-130.03	20 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	145.02	...	-130.03	21 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.03	...	-130.03	22 of 92 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	11/04/21	145.03	...	-130.03	23 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.02	...	-130.02	24 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.02	...	-130.02	25 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.02	...	-130.02	26 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	145.01	...	-130.01	27 of 92 - Option sale to close-call 25
	4.000	59.98	11/04/21	188.04	...	-128.06	28 of 92 - Option sale to close-call 25
	4.000	59.99	11/04/21	188.04	...	-128.05	29 of 92 - Option sale to close-call 25
	2.000	29.99	11/04/21	146.05	...	-116.06	30 of 92 - Option sale to close-call 25
	2.000	29.99	11/04/21	146.04	...	-116.05	31 of 92 - Option sale to close-call 25
	2.000	30.00	11/04/21	146.02	...	-116.02	32 of 92 - Option sale to close-call 25
	2.000	33.99	11/04/21	146.05	...	-112.06	33 of 92 - Option sale to close-call 25
	2.000	33.99	11/04/21	146.04	...	-112.05	34 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	141.05	...	-96.06	35 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	141.04	...	-96.05	36 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	141.03	...	-96.04	37 of 92 - Option sale to close-call 25
	4.000	59.99	11/04/21	152.06	...	-92.07	38 of 92 - Option sale to close-call 25
	4.000	59.99	11/04/21	152.06	...	-92.07	39 of 92 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	59.99	11/04/21	152.06	...	-92.07	40 of 92 - Option sale to close-call 25
	4.000	59.99	11/04/21	152.06	...	-92.07	41 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	91.04	...	-76.04	42 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	91.02	...	-76.02	43 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	91.01	...	-76.01	44 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	114.04	...	-69.05	45 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	114.03	...	-69.04	46 of 92 - Option sale to close-call 25
	3.000	44.99	11/04/21	114.03	...	-69.04	47 of 92 - Option sale to close-call 25
	2.000	30.00	11/04/21	94.05	...	-64.05	48 of 92 - Option sale to close-call 25
	2.000	30.00	11/04/21	94.04	...	-64.04	49 of 92 - Option sale to close-call 25
	2.000	29.99	11/04/21	94.02	...	-64.03	50 of 92 - Option sale to close-call 25
	2.000	30.00	11/04/21	94.01	...	-64.01	51 of 92 - Option sale to close-call 25
	2.000	29.99	11/04/21	92.03	...	-62.04	52 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	73.04	...	-58.05	53 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	73.03	...	-58.04	54 of 92 - Option sale to close-call 25
	1.000	14.99	11/04/21	73.02	...	-58.03	55 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	73.02	...	-58.02	56 of 92 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	11/04/21	73.02	...	-58.02	57 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.02	...	-58.02	58 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.02	...	-58.02	59 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.01	...	-58.01	60 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.01	...	-58.01	61 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.01	...	-58.01	62 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	73.01	...	-58.01	63 of 92 - Option sale to close-call <sub>25</sub>
	1.000	17.00	11/04/21	73.03	...	-56.03	64 of 92 - Option sale to close-call <sub>25</sub>
	1.000	17.00	11/04/21	73.02	...	-56.02	65 of 92 - Option sale to close-call <sub>25</sub>
	1.000	16.98	11/04/21	72.03	...	-55.05	66 of 92 - Option sale to close-call <sub>25</sub>
	2.000	29.99	11/04/21	76.04	...	-46.05	67 of 92 - Option sale to close-call <sub>25</sub>
	2.000	29.99	11/04/21	74.03	...	-44.04	68 of 92 - Option sale to close-call <sub>25</sub>
	2.000	29.99	11/04/21	74.02	...	-44.03	69 of 92 - Option sale to close-call <sub>25</sub>
	1.000	14.98	11/04/21	47.01	...	-32.03	70 of 92 - Option sale to close-call <sub>25</sub>
	1.000	14.98	11/04/21	47.01	...	-32.03	71 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	47.02	...	-32.02	72 of 92 - Option sale to close-call <sub>25</sub>
	1.000	15.00	11/04/21	47.02	...	-32.02	73 of 92 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	11/04/21	47.02	...	-32.02	74 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	47.01	...	-32.01	75 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	47.01	...	-32.01	76 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	47.01	...	-32.01	77 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	47.01	...	-32.01	78 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	46.01	...	-31.01	79 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.02	...	-23.02	80 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.01	...	-23.01	81 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.01	...	-23.01	82 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.00	...	-23.00	83 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.00	...	-23.00	84 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	38.00	...	-23.00	85 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	37.01	...	-22.01	86 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	37.01	...	-22.01	87 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	37.01	...	-22.01	88 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	37.01	...	-22.01	89 of 92 - Option sale to close-call 25
	1.000	15.00	11/04/21	37.01	...	-22.01	90 of 92 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	11/04/21	37.01	...	-22.01	91 of 92 - Option sale to close-call <sup>25</sup>
	1.000	15.00	11/04/21	37.01	...	-22.01	92 of 92 - Option sale to close-call <sup>25</sup>
11/04/21	200.000	3,013.49	Various	12,061.90	...	-9,048.41	Total of 92 transactions
	216 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	18.00	11/04/21	36.01	18.01 W	0.00	1 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.01	19.01 W	0.00	2 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.01	19.01 W	0.00	3 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.01	19.01 W	0.00	4 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.02	19.02 W	0.00	5 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.02	19.02 W	0.00	6 of 216 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/04/21	37.02	19.02 W	0.00	7 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.98	11/04/21	70.02	45.04 W	0.00	8 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	35.00	10.01 W	0.00	9 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	70.01	45.02 W	0.00	10 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	70.01	45.02 W	0.00	11 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	70.01	45.02 W	0.00	12 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	70.01	45.02 W	0.00	13 of 216 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/04/21	70.02	45.03 W	0.00	14 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	24.99	11/04/21	70.02	45.03 W	0.00	15 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	16 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	17 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	18 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	19 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	20 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	21 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	34.01	9.01 W	0.00	22 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	35.00	10.00 W	0.00	23 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	35.00	10.00 W	0.00	24 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	35.00	10.00 W	0.00	25 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	35.01	10.01 W	0.00	26 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	35.02	10.02 W	0.00	27 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	36.01	11.01 W	0.00	28 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	36.02	11.02 W	0.00	29 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	36.02	11.02 W	0.00	30 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	69.03	44.03 W	0.00	31 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	70.01	45.01 W	0.00	32 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/04/21	70.01	45.01 W	0.00	33 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	70.01	45.01 W	0.00	34 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	70.01	45.01 W	0.00	35 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	70.02	45.02 W	0.00	36 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	70.02	45.02 W	0.00	37 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	88.01	63.01 W	0.00	38 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	88.02	63.02 W	0.00	39 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	88.04	63.04 W	0.00	40 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.01	117.01 W	0.00	41 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.02	117.02 W	0.00	42 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.02	117.02 W	0.00	43 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.02	117.02 W	0.00	44 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.02	117.02 W	0.00	45 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.02	117.02 W	0.00	46 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.03	117.03 W	0.00	47 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.03	117.03 W	0.00	48 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.03	117.03 W	0.00	49 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	50 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	51 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	52 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	53 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	54 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	142.04	117.04 W	0.00	55 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	160.00	135.00 W	0.00	56 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	160.01	135.01 W	0.00	57 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	160.03	135.03 W	0.00	58 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	160.03	135.03 W	0.00	59 of 216 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/04/21	160.05	135.05 W	0.00	60 of 216 - Option sale to close-call <sup>25</sup>
	1.000	26.99	11/04/21	36.01	9.02 W	0.00	61 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/04/21	36.00	9.00 W	0.00	62 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/04/21	36.00	9.00 W	0.00	63 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/04/21	36.00	9.00 W	0.00	64 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/04/21	36.00	9.00 W	0.00	65 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	72.01	44.02 W	0.00	66 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	90.03	62.04 W	0.00	67 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.00	116.01 W	0.00	68 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	27.99	11/04/21	144.01	116.02 W	0.00	69 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.01	116.02 W	0.00	70 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.03	116.04 W	0.00	71 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.03	116.04 W	0.00	72 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.03	116.04 W	0.00	73 of 216 - Option sale to close-call <sup>25</sup>
	1.000	27.99	11/04/21	144.03	116.04 W	0.00	74 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	36.01	8.01 W	0.00	75 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	72.01	44.01 W	0.00	76 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	72.01	44.01 W	0.00	77 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	90.01	62.01 W	0.00	78 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.02	116.02 W	0.00	79 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.02	116.02 W	0.00	80 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.02	116.02 W	0.00	81 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.03	116.03 W	0.00	82 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.03	116.03 W	0.00	83 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.03	116.03 W	0.00	84 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.04	116.04 W	0.00	85 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	144.04	116.04 W	0.00	86 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	28.00	11/04/21	162.00	134.00 W	0.00	87 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	162.01	134.01 W	0.00	88 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	162.03	134.03 W	0.00	89 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	162.03	134.03 W	0.00	90 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/04/21	162.05	134.05 W	0.00	91 of 216 - Option sale to close-call <sup>25</sup>
	1.000	28.98	11/04/21	72.01	43.03 W	0.00	92 of 216 - Option sale to close-call <sup>25</sup>
	1.000	35.98	11/04/21	45.00	9.02 W	0.00	93 of 216 - Option sale to close-call <sup>25</sup>
	2.000	35.98	11/04/21	74.02	38.04 W	0.00	94 of 216 - Option sale to close-call <sup>25</sup>
	1.000	35.99	11/04/21	153.02	117.03 W	0.00	95 of 216 - Option sale to close-call <sup>25</sup>
	1.000	35.99	11/04/21	171.02	135.03 W	0.00	96 of 216 - Option sale to close-call <sup>25</sup>
	2.000	35.99	11/04/21	74.04	38.05 W	0.00	97 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	99.03	63.03 W	0.00	98 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	99.03	63.03 W	0.00	99 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.00	117.00 W	0.00	100 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.00	117.00 W	0.00	101 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.01	117.01 W	0.00	102 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.01	117.01 W	0.00	103 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.01	117.01 W	0.00	104 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	11/04/21	153.01	117.01 W	0.00	105 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.02	117.02 W	0.00	106 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.02	117.02 W	0.00	107 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.02	117.02 W	0.00	108 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	109 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	110 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	111 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	112 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	113 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.03	117.03 W	0.00	114 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.04	117.04 W	0.00	115 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.04	117.04 W	0.00	116 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.04	117.04 W	0.00	117 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	153.04	117.04 W	0.00	118 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.00	135.00 W	0.00	119 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.00	135.00 W	0.00	120 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.01	135.01 W	0.00	121 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.01	135.01 W	0.00	122 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	11/04/21	171.02	135.02 W	0.00	123 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.03	135.03 W	0.00	124 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.03	135.03 W	0.00	125 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.05	135.05 W	0.00	126 of 216 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/04/21	171.05	135.05 W	0.00	127 of 216 - Option sale to close-call <sup>25</sup>
	2.000	36.00	11/04/21	72.03	36.03 W	0.00	128 of 216 - Option sale to close-call <sup>25</sup>
	2.000	36.00	11/04/21	74.01	38.01 W	0.00	129 of 216 - Option sale to close-call <sup>25</sup>
	2.000	36.00	11/04/21	74.02	38.02 W	0.00	130 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.98	11/04/21	140.03	90.05 W	0.00	131 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	68.01	18.02 W	0.00	132 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	68.02	18.03 W	0.00	133 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	70.03	20.04 W	0.00	134 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	72.03	22.04 W	0.00	135 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	140.03	90.04 W	0.00	136 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	140.03	90.04 W	0.00	137 of 216 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/04/21	176.04	126.05 W	0.00	138 of 216 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/04/21	72.02	22.02 W	0.00	139 of 216 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/04/21	140.02	90.02 W	0.00	140 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	50.00	11/04/21	140.05	90.05 W	0.00	141 of 216 - Option sale to close-call <sup>25</sup>
	2.000	53.98	11/04/21	72.01	18.03 W	0.00	142 of 216 - Option sale to close-call <sup>25</sup>
	3.000	53.98	11/04/21	111.02	57.04 W	0.00	143 of 216 - Option sale to close-call <sup>25</sup>
	3.000	53.99	11/04/21	111.02	57.03 W	0.00	144 of 216 - Option sale to close-call <sup>25</sup>
	3.000	53.99	11/04/21	111.04	57.05 W	0.00	145 of 216 - Option sale to close-call <sup>25</sup>
	2.000	55.98	11/04/21	144.03	88.05 W	0.00	146 of 216 - Option sale to close-call <sup>25</sup>
	2.000	55.99	11/04/21	72.01	16.02 W	0.00	147 of 216 - Option sale to close-call <sup>25</sup>
	2.000	55.99	11/04/21	144.01	88.02 W	0.00	148 of 216 - Option sale to close-call <sup>25</sup>
	2.000	55.99	11/04/21	180.03	124.04 W	0.00	149 of 216 - Option sale to close-call <sup>25</sup>
	2.000	56.00	11/04/21	144.02	88.02 W	0.00	150 of 216 - Option sale to close-call <sup>25</sup>
	2.000	71.98	11/04/21	90.00	18.02 W	0.00	151 of 216 - Option sale to close-call <sup>25</sup>
	2.000	71.98	11/04/21	90.01	18.03 W	0.00	152 of 216 - Option sale to close-call <sup>25</sup>
	2.000	71.98	11/04/21	90.01	18.03 W	0.00	153 of 216 - Option sale to close-call <sup>25</sup>
	2.000	71.99	11/04/21	162.01	90.02 W	0.00	154 of 216 - Option sale to close-call <sup>25</sup>
	2.000	71.99	11/04/21	306.04	234.05 W	0.00	155 of 216 - Option sale to close-call <sup>25</sup>
	4.000	71.99	11/04/21	148.03	76.04 W	0.00	156 of 216 - Option sale to close-call <sup>25</sup>
	4.000	71.99	11/04/21	148.03	76.04 W	0.00	157 of 216 - Option sale to close-call <sup>25</sup>
	2.000	72.00	11/04/21	162.01	90.01 W	0.00	158 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	72.00	11/04/21	198.03	126.03 W	0.00	159 of 216 - Option sale to close-call <sup>25</sup>
	2.000	72.00	11/04/21	198.03	126.03 W	0.00	160 of 216 - Option sale to close-call <sup>25</sup>
	2.000	72.00	11/04/21	306.04	234.04 W	0.00	161 of 216 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/04/21	105.02	30.03 W	0.00	162 of 216 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/04/21	105.02	30.03 W	0.00	163 of 216 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/04/21	105.03	30.04 W	0.00	164 of 216 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/04/21	108.03	33.04 W	0.00	165 of 216 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/04/21	108.03	33.04 W	0.00	166 of 216 - Option sale to close-call <sup>25</sup>
	3.000	77.98	11/04/21	108.02	30.04 W	0.00	167 of 216 - Option sale to close-call <sup>25</sup>
	3.000	80.99	11/04/21	108.01	27.02 W	0.00	168 of 216 - Option sale to close-call <sup>25</sup>
	3.000	80.99	11/04/21	108.02	27.03 W	0.00	169 of 216 - Option sale to close-call <sup>25</sup>
	3.000	83.98	11/04/21	216.03	132.05 W	0.00	170 of 216 - Option sale to close-call <sup>25</sup>
	3.000	83.99	11/04/21	108.01	24.02 W	0.00	171 of 216 - Option sale to close-call <sup>25</sup>
	3.000	83.99	11/04/21	216.04	132.05 W	0.00	172 of 216 - Option sale to close-call <sup>25</sup>
	5.000	89.99	11/04/21	185.03	95.04 W	0.00	173 of 216 - Option sale to close-call <sup>25</sup>
	5.000	89.99	11/04/21	185.04	95.05 W	0.00	174 of 216 - Option sale to close-call <sup>25</sup>
	4.000	99.98	11/04/21	140.04	40.06 W	0.00	175 of 216 - Option sale to close-call <sup>25</sup>
	4.000	99.98	11/04/21	140.04	40.06 W	0.00	176 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	99.98	11/04/21	140.04	40.06 W	0.00	177 of 216 - Option sale to close-call <sup>25</sup>
	4.000	99.98	11/04/21	140.04	40.06 W	0.00	178 of 216 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/04/21	144.02	44.03 W	0.00	179 of 216 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/04/21	352.08	252.09 W	0.00	180 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.98	11/04/21	135.01	27.03 W	0.00	181 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.98	11/04/21	135.01	27.03 W	0.00	182 of 216 - Option sale to close-call <sup>25</sup>
	4.000	107.98	11/04/21	144.02	36.04 W	0.00	183 of 216 - Option sale to close-call <sup>25</sup>
	4.000	107.98	11/04/21	144.02	36.04 W	0.00	184 of 216 - Option sale to close-call <sup>25</sup>
	4.000	107.98	11/04/21	144.02	36.04 W	0.00	185 of 216 - Option sale to close-call <sup>25</sup>
	4.000	107.98	11/04/21	144.02	36.04 W	0.00	186 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.99	11/04/21	243.02	135.03 W	0.00	187 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.99	11/04/21	243.03	135.04 W	0.00	188 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.99	11/04/21	459.06	351.07 W	0.00	189 of 216 - Option sale to close-call <sup>25</sup>
	3.000	107.99	11/04/21	459.07	351.08 W	0.00	190 of 216 - Option sale to close-call <sup>25</sup>
	4.000	107.99	11/04/21	144.02	36.03 W	0.00	191 of 216 - Option sale to close-call <sup>25</sup>
	6.000	107.99	11/04/21	222.04	114.05 W	0.00	192 of 216 - Option sale to close-call <sup>25</sup>
	4.000	111.99	11/04/21	288.04	176.05 W	0.00	193 of 216 - Option sale to close-call <sup>25</sup>
	4.000	111.99	11/04/21	288.05	176.06 W	0.00	194 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	5.000	139.98	11/04/21	180.03	40.05 W	0.00	195 of 216 - Option sale to close-call <sup>25</sup>
	5.000	139.98	11/04/21	450.08	310.10 W	0.00	196 of 216 - Option sale to close-call <sup>25</sup>
	4.000	143.99	11/04/21	324.02	180.03 W	0.00	197 of 216 - Option sale to close-call <sup>25</sup>
	4.000	143.99	11/04/21	324.02	180.03 W	0.00	198 of 216 - Option sale to close-call <sup>25</sup>
	4.000	143.99	11/04/21	324.03	180.04 W	0.00	199 of 216 - Option sale to close-call <sup>25</sup>
	9.000	161.98	11/04/21	333.07	171.09 W	0.00	200 of 216 - Option sale to close-call <sup>25</sup>
	5.000	179.99	11/04/21	405.04	225.05 W	0.00	201 of 216 - Option sale to close-call <sup>25</sup>
	5.000	179.99	11/04/21	405.05	225.06 W	0.00	202 of 216 - Option sale to close-call <sup>25</sup>
	6.000	215.98	11/04/21	594.07	378.09 W	0.00	203 of 216 - Option sale to close-call <sup>25</sup>
	6.000	215.99	11/04/21	594.06	378.07 W	0.00	204 of 216 - Option sale to close-call <sup>25</sup>
	9.000	224.98	11/04/21	324.05	99.07 W	0.00	205 of 216 - Option sale to close-call <sup>25</sup>
	8.000	287.98	11/04/21	648.05	360.07 W	0.00	206 of 216 - Option sale to close-call <sup>25</sup>
	8.000	287.98	11/04/21	648.07	360.09 W	0.00	207 of 216 - Option sale to close-call <sup>25</sup>
	9.000	323.97	11/04/21	405.02	81.05 W	0.00	208 of 216 - Option sale to close-call <sup>25</sup>
	15.000	374.97	11/04/21	540.08	165.11 W	0.00	209 of 216 - Option sale to close-call <sup>25</sup>
	17.000	611.95	11/04/21	765.04	153.09 W	0.00	210 of 216 - Option sale to close-call <sup>25</sup>
	19.000	683.95	11/04/21	855.05	171.10 W	0.00	211 of 216 - Option sale to close-call <sup>25</sup>
	44.000	791.89	11/04/21	1,628.31	836.42 W	0.00	212 of 216 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 11/05/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	30.000	1,079.93	11/04/21	1,350.07	270.14 W	0.00	213 of 216 - Option sale to close-call <sup>25</sup>
	59.000	1,474.87	11/04/21	2,124.28	649.41 W	0.00	214 of 216 - Option sale to close-call <sup>25</sup>
	59.000	2,123.86	11/04/21	2,655.14	531.28 W	0.00	215 of 216 - Option sale to close-call <sup>25</sup>
	147.000	5,291.64	11/04/21	6,615.00	1,323.36 W	0.00	216 of 216 - Option sale to close-call <sup>25</sup>
11/04/21	800.000	23,938.80	Various	46,743.93	22,805.13 W	0.00	Total of 216 transactions
	<b>Security total:</b>	<b>33,751.58</b>		<b>76,064.93</b>	<b>33,264.94 W</b>	<b>-9,048.41</b>	
ANY 11/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	19.000	2,127.94	10/29/21	2,318.00	...	-190.06	1 of 4 - Option sale to close-call <sup>25</sup>
	8.000	895.97	10/29/21	976.00	...	-80.03	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	559.98	10/29/21	610.00	...	-50.02	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	559.98	10/29/21	610.00	...	-50.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/29/21	37.000	4,143.87	Various	4,514.00	...	-370.13	Total of 4 transactions
APPS 05/07/2021 CALL \$75.00 / CUSIP: / Symbol:							
23 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	5.000	24.99	05/03/21	2,125.04	...	-2,100.05	1 of 23 - Option sale to close-call <sup>25</sup>
	16.000	79.96	05/03/21	1,760.00	...	-1,680.04	2 of 23 - Option sale to close-call <sup>25</sup>
	15.000	74.96	05/03/21	1,650.00	...	-1,575.04	3 of 23 - Option sale to close-call <sup>25</sup>
	3.000	14.99	05/03/21	1,275.04	...	-1,260.05	4 of 23 - Option sale to close-call <sup>25</sup>
	4.000	19.99	05/03/21	1,280.02	...	-1,260.03	5 of 23 - Option sale to close-call <sup>25</sup>
	12.000	59.97	05/03/21	1,320.00	...	-1,260.03	6 of 23 - Option sale to close-call <sup>25</sup>
	11.000	54.97	05/03/21	1,210.00	...	-1,155.03	7 of 23 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$75.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	05/03/21	740.04	...	-735.04	8 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	635.02	...	-630.02	9 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	635.02	...	-630.02	10 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	530.02	...	-525.02	11 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	530.01	...	-525.01	12 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	530.01	...	-525.01	13 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	530.00	...	-525.00	14 of 23 - Option sale to close-call <sub>25</sub>
	3.000	14.99	05/03/21	330.00	...	-315.01	15 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	215.01	...	-210.01	16 of 23 - Option sale to close-call <sub>25</sub>
	2.000	10.00	05/03/21	220.00	...	-210.00	17 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	18 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	19 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	20 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	21 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	22 of 23 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	110.00	...	-105.00	23 of 23 - Option sale to close-call <sub>25</sub>
05/06/21	85.000	424.82	Various	16,175.23	...	-15,750.41	Total of 23 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$75.00 / CUSIP: / Symbol: (cont'd)							
28 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	05/03/21	215.00	210.02 W	0.00	1 of 28 - Option sale to close-call 25
	1.000	4.98	05/03/21	530.02	525.04 W	0.00	2 of 28 - Option sale to close-call 25
	1.000	4.99	05/03/21	110.00	105.01 W	0.00	3 of 28 - Option sale to close-call 25
	1.000	4.99	05/03/21	215.01	210.02 W	0.00	4 of 28 - Option sale to close-call 25
	1.000	4.99	05/03/21	215.01	210.02 W	0.00	5 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	215.00	210.00 W	0.00	6 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	320.00	315.00 W	0.00	7 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	320.01	315.01 W	0.00	8 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	320.02	315.02 W	0.00	9 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	320.02	315.02 W	0.00	10 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	320.02	315.02 W	0.00	11 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	425.00	420.00 W	0.00	12 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	425.01	420.01 W	0.00	13 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	425.02	420.02 W	0.00	14 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	425.02	420.02 W	0.00	15 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	425.02	420.02 W	0.00	16 of 28 - Option sale to close-call 25
	1.000	5.00	05/03/21	530.02	525.02 W	0.00	17 of 28 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$75.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	05/03/21	530.02	525.02 W	0.00	18 of 28 - Option sale to close-call <sub>25</sub>
	1.000	5.00	05/03/21	635.04	630.04 W	0.00	19 of 28 - Option sale to close-call <sub>25</sub>
	2.000	9.99	05/03/21	850.02	840.03 W	0.00	20 of 28 - Option sale to close-call <sub>25</sub>
	3.000	14.98	05/03/21	330.00	315.02 W	0.00	21 of 28 - Option sale to close-call <sub>25</sub>
	4.000	19.99	05/03/21	440.00	420.01 W	0.00	22 of 28 - Option sale to close-call <sub>25</sub>
	4.000	19.99	05/03/21	860.01	840.02 W	0.00	23 of 28 - Option sale to close-call <sub>25</sub>
	5.000	24.98	05/03/21	1,600.02	1,575.04 W	0.00	24 of 28 - Option sale to close-call <sub>25</sub>
	5.000	24.98	05/03/21	1,600.04	1,575.06 W	0.00	25 of 28 - Option sale to close-call <sub>25</sub>
	5.000	24.99	05/03/21	1,075.01	1,050.02 W	0.00	26 of 28 - Option sale to close-call <sub>25</sub>
	6.000	29.97	05/03/21	1,290.02	1,260.05 W	0.00	27 of 28 - Option sale to close-call <sub>25</sub>
	12.000	59.97	05/03/21	1,320.00	1,260.03 W	0.00	28 of 28 - Option sale to close-call <sub>25</sub>
05/06/21	65.000	324.77	Various	16,285.38	15,960.61 W	0.00	Total of 28 transactions
	<b>Security total:</b>	<b>749.59</b>		<b>32,460.61</b>	<b>15,960.61 W</b>	<b>-15,750.41</b>	
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol:							
	35 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	6.000	689.98	05/03/21	3,840.07	...	-3,150.09	1 of 35 - Option sale to close-call <sub>25</sub>
	5.000	574.99	05/03/21	2,725.07	...	-2,150.08	2 of 35 - Option sale to close-call <sub>25</sub>
	3.000	344.99	05/03/21	2,385.05	...	-2,040.06	3 of 35 - Option sale to close-call <sub>25</sub>
	3.000	344.98	05/03/21	2,340.05	...	-1,995.07	4 of 35 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	3.000	344.99	05/03/21	1,920.06	...	-1,575.07	5 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,590.04	...	-1,360.05	6 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,590.04	...	-1,360.05	7 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,590.04	...	-1,360.05	8 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,560.05	...	-1,330.06	9 of 35 - Option sale to close-call 25
	2.000	229.98	05/03/21	1,560.03	...	-1,330.05	10 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,310.04	...	-1,080.05	11 of 35 - Option sale to close-call 25
	1.000	114.98	05/03/21	795.03	...	-680.05	12 of 35 - Option sale to close-call 25
	1.000	114.98	05/03/21	795.03	...	-680.05	13 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.05	...	-680.05	14 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	795.03	...	-680.04	15 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.04	...	-680.04	16 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	795.02	...	-680.03	17 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.02	...	-680.02	18 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.02	...	-680.02	19 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.02	...	-680.02	20 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	795.02	...	-680.02	21 of 35 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	1.000	114.98	05/03/21	780.02	...	-665.04	22 of 35 - Option sale to close-call 25
	1.000	114.98	05/03/21	780.01	...	-665.03	23 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	780.02	...	-665.03	24 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	780.02	...	-665.03	25 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.03	...	-665.03	26 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.02	...	-665.02	27 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	870.04	...	-640.05	28 of 35 - Option sale to close-call 25
	2.000	229.99	05/03/21	790.02	...	-560.03	29 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	645.03	...	-530.03	30 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	645.01	...	-530.02	31 of 35 - Option sale to close-call 25
	1.000	114.99	05/03/21	645.00	...	-530.01	32 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	395.01	...	-280.01	33 of 35 - Option sale to close-call 25
	1.000	115.00	05/03/21	395.01	...	-280.01	34 of 35 - Option sale to close-call 25
	7.000	804.98	05/03/21	910.00	...	-105.02	35 of 35 - Option sale to close-call 25
05/03/21	64.000	7,359.68	Various	40,336.06	...	-32,976.38	Total of 35 transactions
	91 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	1.000	109.98	04/30/21	500.00	390.02 W	0.00	1 of 91 - Option sale to close-call 25
	1.000	109.98	04/30/21	500.00	390.02 W	0.00	2 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	1.000	109.98	04/30/21	500.01	390.03 W	0.00	3 of 91 - Option sale to close-call 25
	1.000	109.98	04/30/21	500.01	390.03 W	0.00	4 of 91 - Option sale to close-call 25
	1.000	109.98	04/30/21	500.01	390.03 W	0.00	5 of 91 - Option sale to close-call 25
	1.000	110.00	04/30/21	500.00	390.00 W	0.00	6 of 91 - Option sale to close-call 25
	1.000	110.00	04/30/21	500.01	390.01 W	0.00	7 of 91 - Option sale to close-call 25
	1.000	110.00	04/30/21	500.01	390.01 W	0.00	8 of 91 - Option sale to close-call 25
	1.000	110.00	04/30/21	500.01	390.01 W	0.00	9 of 91 - Option sale to close-call 25
	1.000	124.98	04/30/21	500.00	375.02 W	0.00	10 of 91 - Option sale to close-call 25
	2.000	219.98	04/30/21	1,000.01	780.03 W	0.00	11 of 91 - Option sale to close-call 25
	2.000	219.98	04/30/21	1,000.01	780.03 W	0.00	12 of 91 - Option sale to close-call 25
	2.000	219.99	04/30/21	500.00	280.01 W	0.00	13 of 91 - Option sale to close-call 25
	3.000	329.98	04/30/21	1,500.02	1,170.04 W	0.00	14 of 91 - Option sale to close-call 25
	3.000	329.99	04/30/21	1,500.02	1,170.03 W	0.00	15 of 91 - Option sale to close-call 25
	3.000	374.99	04/30/21	1,125.01	750.02 W	0.00	16 of 91 - Option sale to close-call 25
	4.000	439.99	04/30/21	1,000.00	560.01 W	0.00	17 of 91 - Option sale to close-call 25
	5.000	624.99	04/30/21	1,875.01	1,250.02 W	0.00	18 of 91 - Option sale to close-call 25
	6.000	659.98	04/30/21	3,000.04	2,340.06 W	0.00	19 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	9.000	989.97	04/30/21	4,500.05	3,510.08 W	0.00	20 of 91 - Option sale to close-call 25
	9.000	1,124.97	04/30/21	3,375.03	2,250.06 W	0.00	21 of 91 - Option sale to close-call 25
	15.000	1,874.96	04/30/21	5,625.04	3,750.08 W	0.00	22 of 91 - Option sale to close-call 25
	19.000	2,374.95	04/30/21	7,125.05	4,750.10 W	0.00	23 of 91 - Option sale to close-call 25
	20.000	2,499.95	04/30/21	5,000.00	2,500.05 W	0.00	24 of 91 - Option sale to close-call 25
	31.000	3,874.91	04/30/21	7,750.00	3,875.09 W	0.00	25 of 91 - Option sale to close-call 25
	1.000	109.98	05/03/21	650.02	540.04 W	0.00	26 of 91 - Option sale to close-call 25
	1.000	109.98	05/03/21	650.03	540.05 W	0.00	27 of 91 - Option sale to close-call 25
	1.000	109.99	05/03/21	760.02	650.03 W	0.00	28 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	610.00	500.00 W	0.00	29 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	610.01	500.01 W	0.00	30 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	610.01	500.01 W	0.00	31 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	635.02	525.02 W	0.00	32 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	650.01	540.01 W	0.00	33 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	650.01	540.01 W	0.00	34 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	650.02	540.02 W	0.00	35 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	650.02	540.02 W	0.00	36 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	1.000	110.00	05/03/21	650.03	540.03 W	0.00	37 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	650.03	540.03 W	0.00	38 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	745.02	635.02 W	0.00	39 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.01	650.01 W	0.00	40 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.01	650.01 W	0.00	41 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.01	650.01 W	0.00	42 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.02	650.02 W	0.00	43 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.02	650.02 W	0.00	44 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.02	650.02 W	0.00	45 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.03	650.03 W	0.00	46 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.03	650.03 W	0.00	47 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.04	650.04 W	0.00	48 of 91 - Option sale to close-call 25
	1.000	110.00	05/03/21	760.05	650.05 W	0.00	49 of 91 - Option sale to close-call 25
	1.000	114.98	05/03/21	630.01	515.03 W	0.00	50 of 91 - Option sale to close-call 25
	1.000	114.99	05/03/21	780.01	665.02 W	0.00	51 of 91 - Option sale to close-call 25
	1.000	114.99	05/03/21	780.01	665.02 W	0.00	52 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	630.00	515.00 W	0.00	53 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	1.000	115.00	05/03/21	630.01	515.01 W	0.00	54 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	765.02	650.02 W	0.00	55 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.02	665.02 W	0.00	56 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.02	665.02 W	0.00	57 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.03	665.03 W	0.00	58 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.03	665.03 W	0.00	59 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.04	665.04 W	0.00	60 of 91 - Option sale to close-call 25
	1.000	115.00	05/03/21	780.05	665.05 W	0.00	61 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	720.00	500.01 W	0.00	62 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	800.01	580.02 W	0.00	63 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	1,020.01	800.02 W	0.00	64 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	1,240.02	1,020.03 W	0.00	65 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	1,300.03	1,080.04 W	0.00	66 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	1,520.03	1,300.04 W	0.00	67 of 91 - Option sale to close-call 25
	2.000	219.99	05/03/21	1,520.04	1,300.05 W	0.00	68 of 91 - Option sale to close-call 25
	2.000	220.00	05/03/21	720.01	500.01 W	0.00	69 of 91 - Option sale to close-call 25
	2.000	229.98	05/03/21	840.02	610.04 W	0.00	70 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	2.000	229.99	05/03/21	760.01	530.02 W	0.00	71 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	760.01	530.02 W	0.00	72 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,280.03	1,050.04 W	0.00	73 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,560.03	1,330.04 W	0.00	74 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,560.03	1,330.04 W	0.00	75 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,560.03	1,330.04 W	0.00	76 of 91 - Option sale to close-call 25
	2.000	229.99	05/03/21	1,560.04	1,330.05 W	0.00	77 of 91 - Option sale to close-call 25
	3.000	329.98	05/03/21	1,530.02	1,200.04 W	0.00	78 of 91 - Option sale to close-call 25
	3.000	329.98	05/03/21	1,860.04	1,530.06 W	0.00	79 of 91 - Option sale to close-call 25
	3.000	329.99	05/03/21	1,950.03	1,620.04 W	0.00	80 of 91 - Option sale to close-call 25
	3.000	329.99	05/03/21	1,950.04	1,620.05 W	0.00	81 of 91 - Option sale to close-call 25
	3.000	329.99	05/03/21	2,280.04	1,950.05 W	0.00	82 of 91 - Option sale to close-call 25
	3.000	344.99	05/03/21	2,340.04	1,995.05 W	0.00	83 of 91 - Option sale to close-call 25
	4.000	439.98	05/03/21	3,040.05	2,600.07 W	0.00	84 of 91 - Option sale to close-call 25
	4.000	439.99	05/03/21	3,040.05	2,600.06 W	0.00	85 of 91 - Option sale to close-call 25
	5.000	549.99	05/03/21	3,800.06	3,250.07 W	0.00	86 of 91 - Option sale to close-call 25
	5.000	574.98	05/03/21	2,650.05	2,075.07 W	0.00	87 of 91 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/07/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	6.000	659.98	05/03/21	3,720.05	3,060.07 W	0.00	88 of 91 - Option sale to close-call 25
	6.000	659.98	05/03/21	3,900.06	3,240.08 W	0.00	89 of 91 - Option sale to close-call 25
	9.000	989.97	05/03/21	5,850.08	4,860.11 W	0.00	90 of 91 - Option sale to close-call 25
	11.000	1,209.96	05/03/21	5,610.06	4,400.10 W	0.00	91 of 91 - Option sale to close-call 25
05/03/21	279.000	32,413.96	Various	138,782.11	106,368.15 W	0.00	Total of 91 transactions
	<b>Security total:</b>	<b>39,773.64</b>		<b>179,118.17</b>	<b>106,368.15 W</b>	<b>-32,976.38</b>	
APPS 05/21/2021 CALL \$60.00 / CUSIP: / Symbol:							
20 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	1.000	154.98	05/19/21	135.00	...	19.98	1 of 20 - Option sale to close-call 25
	1.000	154.99	05/19/21	135.00	...	19.99	2 of 20 - Option sale to close-call 25
	1.000	154.99	05/19/21	135.00	...	19.99	3 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	4 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	5 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	6 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	7 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	8 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	9 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	10 of 20 - Option sale to close-call 25
	1.000	155.00	05/19/21	135.00	...	20.00	11 of 20 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/21/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	2.000	309.98	05/19/21	270.00	...	39.98	12 of 20 - Option sale to close-call <sub>25</sub>
	2.000	309.99	05/19/21	270.00	...	39.99	13 of 20 - Option sale to close-call <sub>25</sub>
	3.000	464.98	05/19/21	405.00	...	59.98	14 of 20 - Option sale to close-call <sub>25</sub>
	4.000	619.99	05/19/21	540.00	...	79.99	15 of 20 - Option sale to close-call <sub>25</sub>
	5.000	774.99	05/19/21	675.00	...	99.99	16 of 20 - Option sale to close-call <sub>25</sub>
	7.000	1,084.97	05/19/21	945.00	...	139.97	17 of 20 - Option sale to close-call <sub>25</sub>
	4.000	699.98	05/19/21	540.00	...	159.98	18 of 20 - Option sale to close-call <sub>25</sub>
	10.000	1,549.97	05/19/21	1,350.00	...	199.97	19 of 20 - Option sale to close-call <sub>25</sub>
	5.000	1,069.98	05/19/21	675.00	...	394.98	20 of 20 - Option sale to close-call <sub>25</sub>
05/19/21	53.000	8,589.79	Various	7,155.00	...	1,434.79	Total of 20 transactions
	17 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	1.000	349.99	05/19/21	135.00	...	214.99	1 of 17 - Option sale to close-call <sub>25</sub>
	1.000	349.99	05/19/21	135.00	...	214.99	2 of 17 - Option sale to close-call <sub>25</sub>
	1.000	349.99	05/19/21	135.00	...	214.99	3 of 17 - Option sale to close-call <sub>25</sub>
	1.000	349.99	05/19/21	135.00	...	214.99	4 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	135.00	...	215.00	5 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	135.00	...	215.00	6 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	135.00	...	215.00	7 of 17 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 05/21/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	350.00	05/19/21	135.00	...	215.00	8 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	135.00	...	215.00	9 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	135.00	...	215.00	10 of 17 - Option sale to close-call <sub>25</sub>
	1.000	349.98	05/19/21	110.00	...	239.98	11 of 17 - Option sale to close-call <sub>25</sub>
	1.000	350.00	05/19/21	110.00	...	240.00	12 of 17 - Option sale to close-call <sub>25</sub>
	2.000	699.99	05/19/21	270.00	...	429.99	13 of 17 - Option sale to close-call <sub>25</sub>
	3.000	1,049.99	05/19/21	405.00	...	644.99	14 of 17 - Option sale to close-call <sub>25</sub>
	4.000	1,399.98	05/19/21	540.00	...	859.98	15 of 17 - Option sale to close-call <sub>25</sub>
	7.000	2,449.97	05/19/21	945.00	...	1,504.97	16 of 17 - Option sale to close-call <sub>25</sub>
	7.000	2,449.97	05/19/21	770.00	...	1,679.97	17 of 17 - Option sale to close-call <sub>25</sub>
05/20/21	35.000	12,249.84	Various	4,500.00	...	7,749.84	Total of 17 transactions
	<b>Security total:</b>	<b>20,839.63</b>		<b>11,655.00</b>	...	<b>9,184.63</b>	
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol:							
	25 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	4.000	219.99	06/03/21	880.08	...	-660.09	1 of 25 - Option sale to close-call <sub>25</sub>
	6.000	329.98	06/03/21	990.05	...	-660.07	2 of 25 - Option sale to close-call <sub>25</sub>
	3.000	164.99	06/03/21	675.05	...	-510.06	3 of 25 - Option sale to close-call <sub>25</sub>
	3.000	164.99	06/03/21	510.02	...	-345.03	4 of 25 - Option sale to close-call <sub>25</sub>
	2.000	110.00	06/03/21	450.04	...	-340.04	5 of 25 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol: (cont'd)							
	3.000	164.99	06/03/21	495.04	...	-330.05	6 of 25 - Option sale to close-call 25
	2.000	109.99	06/03/21	440.03	...	-330.04	7 of 25 - Option sale to close-call 25
	2.000	110.00	06/03/21	440.02	...	-330.02	8 of 25 - Option sale to close-call 25
	2.000	109.99	06/03/21	390.05	...	-280.06	9 of 25 - Option sale to close-call 25
	2.000	109.99	06/03/21	380.02	...	-270.03	10 of 25 - Option sale to close-call 25
	5.000	274.98	06/03/21	525.01	...	-250.03	11 of 25 - Option sale to close-call 25
	2.000	109.99	06/03/21	340.03	...	-230.04	12 of 25 - Option sale to close-call 25
	2.000	109.99	06/03/21	330.03	...	-220.04	13 of 25 - Option sale to close-call 25
	1.000	54.99	06/03/21	225.02	...	-170.03	14 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	225.02	...	-170.02	15 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	220.02	...	-165.02	16 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	200.02	...	-145.02	17 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	195.01	...	-140.01	18 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	170.01	...	-115.01	19 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	165.02	...	-110.02	20 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	165.02	...	-110.02	21 of 25 - Option sale to close-call 25
	1.000	55.00	06/03/21	165.01	...	-110.01	22 of 25 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol: (cont'd)							
	2.000	110.00	06/03/21	210.01	...	-100.01	23 of 25 - Option sale to close-call <sub>25</sub>
	1.000	85.00	06/03/21	85.00	...	0.00	24 of 25 - Option sale to close-call <sub>25</sub>
	1.000	85.00	06/03/21	85.00	...	0.00	25 of 25 - Option sale to close-call <sub>25</sub>
06/03/21	51.000	2,864.86	Various	8,955.63	...	-6,090.77	Total of 25 transactions
	57 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	4.000	219.99	06/03/21	680.03	230.02 W	-230.02	1 of 57 - Option sale to close-call <sub>25</sub>
	1.000	54.98	06/03/21	115.00	60.02 W	0.00	2 of 57 - Option sale to close-call <sub>25</sub>
	1.000	54.99	06/03/21	145.00	90.01 W	0.00	3 of 57 - Option sale to close-call <sub>25</sub>
	1.000	54.99	06/03/21	145.01	90.02 W	0.00	4 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	85.02	30.02 W	0.00	5 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	115.02	60.02 W	0.00	6 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	145.02	90.02 W	0.00	7 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	145.02	90.02 W	0.00	8 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	175.02	120.02 W	0.00	9 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	200.02	145.02 W	0.00	10 of 57 - Option sale to close-call <sub>25</sub>
	1.000	55.00	06/03/21	205.02	150.02 W	0.00	11 of 57 - Option sale to close-call <sub>25</sub>
	1.000	84.98	06/03/21	85.00	0.02 W	0.00	12 of 57 - Option sale to close-call <sub>25</sub>
	2.000	109.98	06/03/21	170.01	60.03 W	0.00	13 of 57 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol: (cont'd)							
	2.000	109.98	06/03/21	290.01	180.03 W	0.00	14 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	170.01	60.02 W	0.00	15 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	230.00	120.01 W	0.00	16 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	230.02	120.03 W	0.00	17 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	290.01	180.02 W	0.00	18 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	290.03	180.04 W	0.00	19 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	350.04	240.05 W	0.00	20 of 57 - Option sale to close-call 25
	2.000	109.99	06/03/21	400.02	290.03 W	0.00	21 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	170.00	60.00 W	0.00	22 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	230.03	120.03 W	0.00	23 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	290.02	180.02 W	0.00	24 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	290.03	180.03 W	0.00	25 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	350.02	240.02 W	0.00	26 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	350.02	240.02 W	0.00	27 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	350.03	240.03 W	0.00	28 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	400.02	290.02 W	0.00	29 of 57 - Option sale to close-call 25
	2.000	110.00	06/03/21	410.04	300.04 W	0.00	30 of 57 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol: (cont'd)							
	3.000	164.98	06/03/21	345.01	180.03 W	0.00	31 of 57 - Option sale to close-call 25
	3.000	164.98	06/03/21	435.02	270.04 W	0.00	32 of 57 - Option sale to close-call 25
	3.000	164.99	06/03/21	345.00	180.01 W	0.00	33 of 57 - Option sale to close-call 25
	3.000	164.99	06/03/21	435.01	270.02 W	0.00	34 of 57 - Option sale to close-call 25
	3.000	164.99	06/03/21	435.03	270.04 W	0.00	35 of 57 - Option sale to close-call 25
	4.000	219.98	06/03/21	460.02	240.04 W	0.00	36 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	340.01	120.02 W	0.00	37 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	460.01	240.02 W	0.00	38 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	580.02	360.03 W	0.00	39 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	580.04	360.05 W	0.00	40 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	700.05	480.06 W	0.00	41 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	800.07	580.08 W	0.00	42 of 57 - Option sale to close-call 25
	4.000	219.99	06/03/21	820.06	600.07 W	0.00	43 of 57 - Option sale to close-call 25
	6.000	329.98	06/03/21	870.03	540.05 W	0.00	44 of 57 - Option sale to close-call 25
	6.000	329.99	06/03/21	690.02	360.03 W	0.00	45 of 57 - Option sale to close-call 25
	4.000	339.99	06/03/21	340.01	0.02 W	0.00	46 of 57 - Option sale to close-call 25
	7.000	384.98	06/03/21	595.00	210.02 W	0.00	47 of 57 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/04/2021 CALL \$68.00 / CUSIP: / Symbol: (cont'd)							
	7.000	384.98	06/03/21	805.02	420.04 W	0.00	48 of 57 - Option sale to close-call 25
	7.000	384.98	06/03/21	1,015.09	630.11 W	0.00	49 of 57 - Option sale to close-call 25
	7.000	384.98	06/03/21	1,225.11	840.13 W	0.00	50 of 57 - Option sale to close-call 25
	9.000	494.97	06/03/21	765.06	270.09 W	0.00	51 of 57 - Option sale to close-call 25
	9.000	494.98	06/03/21	1,035.09	540.11 W	0.00	52 of 57 - Option sale to close-call 25
	10.000	549.98	06/03/21	850.00	300.02 W	0.00	53 of 57 - Option sale to close-call 25
	9.000	764.97	06/03/21	765.03	0.06 W	0.00	54 of 57 - Option sale to close-call 25
	9.000	764.98	06/03/21	765.00	0.02 W	0.00	55 of 57 - Option sale to close-call 25
	14.000	769.96	06/03/21	1,190.00	420.04 W	0.00	56 of 57 - Option sale to close-call 25
	10.000	849.97	06/03/21	850.00	0.03 W	0.00	57 of 57 - Option sale to close-call 25
06/03/21	212.000	12,649.35	Various	25,996.35	13,116.98 W	-230.02	Total of 57 transactions
	<b>Security total:</b>	<b>15,514.21</b>		<b>34,951.98</b>	<b>13,116.98 W</b>	<b>-6,320.79</b>	

APPS 06/11/2021 CALL \$70.00 / CUSIP: / Symbol:

17 transactions for 06/07/21. Total proceeds and cost reported to the IRS.

	1.000	309.98	06/07/21	115.00	...	194.98	1 of 17 - Option sale to close-call 25
	1.000	309.98	06/07/21	115.00	...	194.98	2 of 17 - Option sale to close-call 25
	1.000	309.98	06/07/21	115.00	...	194.98	3 of 17 - Option sale to close-call 25
	1.000	309.99	06/07/21	115.00	...	194.99	4 of 17 - Option sale to close-call 25
	2.000	619.99	06/07/21	250.00	...	369.99	5 of 17 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 06/11/2021 CALL \$70.00 / CUSIP: / Symbol: (cont'd)							
	2.000	619.99	06/07/21	250.00	...	369.99	6 of 17 - Option sale to close-call <sup>25</sup>
	2.000	619.98	06/07/21	230.00	...	389.98	7 of 17 - Option sale to close-call <sup>25</sup>
	2.000	619.98	06/07/21	230.00	...	389.98	8 of 17 - Option sale to close-call <sup>25</sup>
	2.000	619.98	06/07/21	230.00	...	389.98	9 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	375.00	...	554.98	10 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	345.00	...	584.98	11 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	345.00	...	584.98	12 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	345.00	...	584.98	13 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	345.00	...	584.98	14 of 17 - Option sale to close-call <sup>25</sup>
	3.000	929.98	06/07/21	345.00	...	584.98	15 of 17 - Option sale to close-call <sup>25</sup>
	6.000	1,859.98	06/07/21	960.00	...	899.98	16 of 17 - Option sale to close-call <sup>25</sup>
	24.000	7,439.91	06/07/21	3,840.00	...	3,599.91	17 of 17 - Option sale to close-call <sup>25</sup>
06/07/21	62.000	19,219.62	Various	8,550.00	...	10,669.62	Total of 17 transactions
APPS 12/31/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	11.000	109.97	12/28/21	385.00	...	-275.03	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	89.97	12/28/21	315.00	...	-225.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/21	20.000	199.94	Various	700.00	...	-500.06	Total of 2 transactions
ATNF 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/09/21	30.000	1,949.93	04/08/21	1,650.00	...	299.93	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVDL 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	12.000	1,799.96	10/14/21	3,600.00	...	-1,800.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	150.00	10/15/21	330.00	...	-180.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	13.000	1,949.96	Various	3,930.00	...	-1,980.04	Total of 2 transactions
AYX 11/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
9 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	734.99	11/02/21	695.00	...	39.99	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.99	11/02/21	695.00	...	39.99	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.99	11/02/21	695.00	...	39.99	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.99	11/02/21	695.00	...	39.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	735.00	11/02/21	695.00	...	40.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	735.00	11/02/21	695.00	...	40.00	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,469.99	11/02/21	1,390.00	...	79.99	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,469.99	11/02/21	1,390.00	...	79.99	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	7,199.94	11/03/21	5,150.00	...	2,049.94	9 of 9 - Option sale to close-call <sup>25</sup>
11/03/21	20.000	14,549.88	Various	12,100.00	...	2,449.88	Total of 9 transactions
BA 05/07/2021 CALL \$240.00 / CUSIP: / Symbol:							
16 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	75.000	10,499.80	05/03/21	15,675.00	...	-5,175.20	1 of 16 - Option sale to close-call <sup>25</sup>
	36.000	5,039.90	05/03/21	7,308.00	...	-2,268.10	2 of 16 - Option sale to close-call <sup>25</sup>
	28.000	3,919.93	05/03/21	5,096.00	...	-1,176.07	3 of 16 - Option sale to close-call <sup>25</sup>
	20.000	2,799.95	05/03/21	3,720.00	...	-920.05	4 of 16 - Option sale to close-call <sup>25</sup>
	10.000	1,399.97	05/03/21	1,980.00	...	-580.03	5 of 16 - Option sale to close-call <sup>25</sup>
	9.000	1,259.98	05/03/21	1,827.00	...	-567.02	6 of 16 - Option sale to close-call <sup>25</sup>
	6.000	839.98	05/03/21	1,218.00	...	-378.02	7 of 16 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 05/07/2021 CALL \$240.00 / CUSIP: / Symbol: (cont'd)							
	3.000	419.99	05/03/21	606.00	...	-186.01	8 of 16 - Option sale to close-call <sup>25</sup>
	2.000	279.99	05/03/21	404.00	...	-124.01	9 of 16 - Option sale to close-call <sup>25</sup>
	2.000	279.99	05/03/21	404.00	...	-124.01	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	141.98	05/03/21	241.01	...	-99.03	11 of 16 - Option sale to close-call <sup>25</sup>
	2.000	279.99	05/03/21	362.00	...	-82.01	12 of 16 - Option sale to close-call <sup>25</sup>
	2.000	279.99	05/03/21	356.00	...	-76.01	13 of 16 - Option sale to close-call <sup>25</sup>
	1.000	140.00	05/03/21	203.00	...	-63.00	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	140.00	05/03/21	201.00	...	-61.00	15 of 16 - Option sale to close-call <sup>25</sup>
	1.000	140.00	05/03/21	178.00	...	-38.00	16 of 16 - Option sale to close-call <sup>25</sup>
05/03/21	199.000	27,861.44	Various	39,779.01	...	-11,917.57	Total of 16 transactions
05/03/21	2.000	279.99	05/03/21	406.00	63.01 W	-63.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>28,141.43</b>		<b>40,185.01</b>	<b>63.01 W</b>	<b>-11,980.57</b>	
BA 06/04/2021 CALL \$255.00 / CUSIP: / Symbol:							
17 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	265.99	06/01/21	335.00	69.01 W	0.00	1 of 17 - Option sale to close-call <sup>25</sup>
	1.000	265.99	06/01/21	335.00	69.01 W	0.00	2 of 17 - Option sale to close-call <sup>25</sup>
	1.000	265.99	06/01/21	404.00	138.01 W	0.00	3 of 17 - Option sale to close-call <sup>25</sup>
	1.000	266.00	06/01/21	335.00	69.00 W	0.00	4 of 17 - Option sale to close-call <sup>25</sup>
	1.000	266.00	06/01/21	404.00	138.00 W	0.00	5 of 17 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/04/2021 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	1.000	266.00	06/01/21	404.01	138.01 W	0.00	6 of 17 - Option sale to close-call <sub>25</sub>
	1.000	266.00	06/01/21	404.01	138.01 W	0.00	7 of 17 - Option sale to close-call <sub>25</sub>
	1.000	266.00	06/01/21	473.01	207.01 W	0.00	8 of 17 - Option sale to close-call <sub>25</sub>
	1.000	266.00	06/01/21	473.01	207.01 W	0.00	9 of 17 - Option sale to close-call <sub>25</sub>
	2.000	531.99	06/01/21	670.00	138.01 W	0.00	10 of 17 - Option sale to close-call <sub>25</sub>
	2.000	531.99	06/01/21	808.01	276.02 W	0.00	11 of 17 - Option sale to close-call <sub>25</sub>
	2.000	531.99	06/01/21	808.01	276.02 W	0.00	12 of 17 - Option sale to close-call <sub>25</sub>
	3.000	797.99	06/01/21	1,005.00	207.01 W	0.00	13 of 17 - Option sale to close-call <sub>25</sub>
	5.000	1,329.98	06/01/21	1,675.00	345.02 W	0.00	14 of 17 - Option sale to close-call <sub>25</sub>
	5.000	1,329.98	06/01/21	2,020.02	690.04 W	0.00	15 of 17 - Option sale to close-call <sub>25</sub>
	5.000	1,329.98	06/01/21	2,020.02	690.04 W	0.00	16 of 17 - Option sale to close-call <sub>25</sub>
	7.000	1,861.97	06/01/21	2,345.00	483.03 W	0.00	17 of 17 - Option sale to close-call <sub>25</sub>
06/01/21	40.000	10,639.84	Various	14,918.10	4,278.26 W	0.00	Total of 17 transactions
	18 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	2.000	699.99	06/01/21	844.03	...	-144.04	1 of 18 - Option sale to close-call <sub>25</sub>
	1.000	350.00	06/01/21	490.02	...	-140.02	2 of 18 - Option sale to close-call <sub>25</sub>
	1.000	350.00	06/01/21	490.01	...	-140.01	3 of 18 - Option sale to close-call <sub>25</sub>
	2.000	699.99	06/01/21	832.04	...	-132.05	4 of 18 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/04/2021 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	1.000	349.99	06/01/21	447.01	...	-97.02	5 of 18 - Option sale to close-call 25
	1.000	354.99	06/01/21	447.02	...	-92.03	6 of 18 - Option sale to close-call 25
	1.000	355.00	06/01/21	447.01	...	-92.01	7 of 18 - Option sale to close-call 25
	1.000	349.99	06/01/21	422.02	...	-72.03	8 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	422.02	...	-72.02	9 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	422.02	...	-72.02	10 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	421.02	...	-71.02	11 of 18 - Option sale to close-call 25
	1.000	349.99	06/01/21	416.03	...	-66.04	12 of 18 - Option sale to close-call 25
	1.000	349.99	06/01/21	416.01	...	-66.02	13 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	416.00	...	-66.00	14 of 18 - Option sale to close-call 25
	1.000	349.99	06/01/21	412.01	...	-62.02	15 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	412.02	...	-62.02	16 of 18 - Option sale to close-call 25
	1.000	349.99	06/01/21	352.01	...	-2.02	17 of 18 - Option sale to close-call 25
	1.000	350.00	06/01/21	352.01	...	-2.01	18 of 18 - Option sale to close-call 25
06/02/21	20.000	7,009.91	Various	8,460.31	...	-1,450.40	Total of 18 transactions
	25 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	350.00	06/01/21	447.02	97.02 W	0.00	1 of 25 - Option sale to close-call 25
	1.000	350.99	06/01/21	447.01	96.02 W	0.00	2 of 25 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/04/2021 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	1.000	350.99	06/01/21	447.02	96.03 W	0.00	3 of 25 - Option sale to close-call 25
	1.000	350.99	06/01/21	473.01	122.02 W	0.00	4 of 25 - Option sale to close-call 25
	1.000	350.99	06/01/21	516.01	165.02 W	0.00	5 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	378.01	27.01 W	0.00	6 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	378.01	27.01 W	0.00	7 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	447.00	96.00 W	0.00	8 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	447.01	96.01 W	0.00	9 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	473.00	122.00 W	0.00	10 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	473.01	122.01 W	0.00	11 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	516.01	165.01 W	0.00	12 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	542.01	191.01 W	0.00	13 of 25 - Option sale to close-call 25
	1.000	351.00	06/01/21	542.01	191.01 W	0.00	14 of 25 - Option sale to close-call 25
	2.000	699.99	06/01/21	894.02	194.03 W	0.00	15 of 25 - Option sale to close-call 25
	2.000	699.99	06/01/21	894.03	194.04 W	0.00	16 of 25 - Option sale to close-call 25
	2.000	701.99	06/01/21	808.01	106.02 W	0.00	17 of 25 - Option sale to close-call 25
	2.000	701.99	06/01/21	894.03	192.04 W	0.00	18 of 25 - Option sale to close-call 25
	2.000	701.99	06/01/21	946.01	244.02 W	0.00	19 of 25 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BA 06/04/2021 CALL \$255.00 / CUSIP: / Symbol: (cont'd)							
	2.000	701.99	06/01/21	946.02	244.03 W	0.00	20 of 25 - Option sale to close-call <sup>25</sup>
	2.000	701.99	06/01/21	946.02	244.03 W	0.00	21 of 25 - Option sale to close-call <sup>25</sup>
	2.000	701.99	06/01/21	946.02	244.03 W	0.00	22 of 25 - Option sale to close-call <sup>25</sup>
	2.000	701.99	06/01/21	946.02	244.03 W	0.00	23 of 25 - Option sale to close-call <sup>25</sup>
	2.000	709.99	06/01/21	894.02	184.03 W	0.00	24 of 25 - Option sale to close-call <sup>25</sup>
	3.000	1,052.99	06/01/21	1,419.02	366.03 W	0.00	25 of 25 - Option sale to close-call <sup>25</sup>
06/02/21	37.000	12,989.85	Various	17,059.36	4,069.51 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>30,639.60</b>		<b>40,437.77</b>	<b>8,347.77 W</b>	<b>-1,450.40</b>	
BA 09/10/2021 CALL \$215.00 / CUSIP: / Symbol:							
	8 transactions for 09/10/21. Total proceeds and cost reported to the IRS.						
	2.000	41.99	09/09/21	350.01	...	-308.02	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	62.98	09/09/21	276.00	...	-213.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	09/09/21	176.01	...	-155.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	09/09/21	175.02	...	-154.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.00	09/09/21	175.01	...	-154.01	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	41.98	09/09/21	184.00	...	-142.02	6 of 8 - Option sale to close-call <sup>25</sup>
	5.000	744.98	09/09/21	525.00	...	219.98	7 of 8 - Option sale to close-call <sup>25</sup>
	10.000	1,489.97	09/09/21	1,050.00	...	439.97	8 of 8 - Option sale to close-call <sup>25</sup>
09/10/21	25.000	2,444.90	Various	2,911.05	...	-466.15	Total of 8 transactions
	3 transactions for 09/10/21. Total proceeds and cost reported to the IRS.						
	1.000	20.99	09/09/21	105.00	84.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	21.98	09/09/21	105.00	83.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	65.98	09/09/21	315.00	249.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/10/21	5.000	108.95	Various	525.00	416.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,553.85</b>		<b>3,436.05</b>	<b>416.05 W</b>	<b>-466.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 11/05/2021 CALL \$212.50 / CUSIP: / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	230.00	11/03/21	224.00	...	6.00	1 of 3 - Option sale to close-call <sup>25</sup>
	24.000	5,519.92	11/03/21	5,208.00	...	311.92	2 of 3 - Option sale to close-call <sup>25</sup>
	25.000	5,749.92	11/03/21	5,425.00	...	324.92	3 of 3 - Option sale to close-call <sup>25</sup>
11/03/21	50.000	11,499.84	Various	10,857.00	...	642.84	Total of 3 transactions
BA 11/05/2021 CALL \$215.00 / CUSIP: / Symbol:							
6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	257.98	11/01/21	245.00	...	12.98	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	515.98	11/01/21	490.00	...	25.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	515.98	11/01/21	490.00	...	25.98	3 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,547.98	11/01/21	1,470.00	...	77.98	4 of 6 - Option sale to close-call <sup>25</sup>
	14.000	3,611.95	11/01/21	3,430.00	...	181.95	5 of 6 - Option sale to close-call <sup>25</sup>
	25.000	6,474.91	11/01/21	6,125.00	...	349.91	6 of 6 - Option sale to close-call <sup>25</sup>
11/01/21	50.000	12,924.78	Various	12,250.00	...	674.78	Total of 6 transactions
BB 04/30/2021 CALL \$8.50 / CUSIP: / Symbol:							
13 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	7.000	629.98	04/27/21	840.04	...	-210.06	1 of 13 - Option sale to close-call <sup>25</sup>
	10.000	899.97	04/27/21	1,100.03	...	-200.06	2 of 13 - Option sale to close-call <sup>25</sup>
	3.000	269.99	04/27/21	360.03	...	-90.04	3 of 13 - Option sale to close-call <sup>25</sup>
	8.000	719.98	04/27/21	800.00	...	-80.02	4 of 13 - Option sale to close-call <sup>25</sup>
	3.000	269.99	04/27/21	330.02	...	-60.03	5 of 13 - Option sale to close-call <sup>25</sup>
	3.000	269.99	04/27/21	330.02	...	-60.03	6 of 13 - Option sale to close-call <sup>25</sup>
	2.000	180.00	04/27/21	240.00	...	-60.00	7 of 13 - Option sale to close-call <sup>25</sup>
	2.000	179.99	04/27/21	220.02	...	-40.03	8 of 13 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BB 04/30/2021 CALL \$8.50 / CUSIP: / Symbol: (cont'd)							
	1.000	89.98	04/27/21	120.00	...	-30.02	9 of 13 - Option sale to close-call <sub>25</sub>
	1.000	90.00	04/27/21	120.01	...	-30.01	10 of 13 - Option sale to close-call <sub>25</sub>
	1.000	90.00	04/27/21	110.01	...	-20.01	11 of 13 - Option sale to close-call <sub>25</sub>
	1.000	90.00	04/27/21	110.01	...	-20.01	12 of 13 - Option sale to close-call <sub>25</sub>
	2.000	179.99	04/27/21	200.00	...	-20.01	13 of 13 - Option sale to close-call <sub>25</sub>
04/27/21	44.000	3,959.86	Various	4,880.19	...	-920.33	Total of 13 transactions
	12 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	10.000	899.98	04/27/21	1,000.00	20.00 W	-80.02	1 of 12 - Option sale to close-call <sub>25</sub>
	2.000	179.98	04/27/21	200.00	20.02 W	0.00	2 of 12 - Option sale to close-call <sub>25</sub>
	2.000	179.98	04/27/21	200.00	20.02 W	0.00	3 of 12 - Option sale to close-call <sub>25</sub>
	2.000	180.00	04/27/21	220.00	40.00 W	0.00	4 of 12 - Option sale to close-call <sub>25</sub>
	2.000	180.00	04/27/21	220.01	40.01 W	0.00	5 of 12 - Option sale to close-call <sub>25</sub>
	3.000	269.98	04/27/21	300.00	30.02 W	0.00	6 of 12 - Option sale to close-call <sub>25</sub>
	3.000	269.98	04/27/21	300.00	30.02 W	0.00	7 of 12 - Option sale to close-call <sub>25</sub>
	3.000	269.98	04/27/21	300.00	30.02 W	0.00	8 of 12 - Option sale to close-call <sub>25</sub>
	3.000	269.99	04/27/21	330.02	60.03 W	0.00	9 of 12 - Option sale to close-call <sub>25</sub>
	7.000	629.98	04/27/21	770.02	140.04 W	0.00	10 of 12 - Option sale to close-call <sub>25</sub>
	9.000	809.97	04/27/21	900.00	90.03 W	0.00	11 of 12 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BB 04/30/2021 CALL \$8.50 / CUSIP: / Symbol: (cont'd)							
	10.000	899.97	04/27/21	1,000.00	100.03 W	0.00	12 of 12 - Option sale to close-call <sub>25</sub>
04/27/21	56.000	5,039.79	Various	5,740.05	620.24 W	-80.02	Total of 12 transactions
	<b>Security total:</b>	<b>8,999.65</b>		<b>10,620.24</b>	<b>620.24 W</b>	<b>-1,000.35</b>	
BBBY 06/04/2021 CALL \$31.00 / CUSIP: / Symbol:							
	12 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	1,199.99	06/02/21	725.00	...	474.99	1 of 12 - Option sale to close-call <sub>25</sub>
	1.000	944.99	06/02/21	305.00	...	639.99	2 of 12 - Option sale to close-call <sub>25</sub>
	1.000	944.99	06/02/21	305.00	...	639.99	3 of 12 - Option sale to close-call <sub>25</sub>
	1.000	954.98	06/02/21	305.00	...	649.98	4 of 12 - Option sale to close-call <sub>25</sub>
	1.000	1,199.98	06/02/21	298.00	...	901.98	5 of 12 - Option sale to close-call <sub>25</sub>
	1.000	1,199.99	06/02/21	292.00	...	907.99	6 of 12 - Option sale to close-call <sub>25</sub>
	2.000	1,889.98	06/02/21	610.00	...	1,279.98	7 of 12 - Option sale to close-call <sub>25</sub>
	2.000	1,889.98	06/02/21	610.00	...	1,279.98	8 of 12 - Option sale to close-call <sub>25</sub>
	2.000	1,889.98	06/02/21	610.00	...	1,279.98	9 of 12 - Option sale to close-call <sub>25</sub>
	2.000	1,889.99	06/02/21	610.00	...	1,279.99	10 of 12 - Option sale to close-call <sub>25</sub>
	3.000	2,834.97	06/02/21	915.00	...	1,919.97	11 of 12 - Option sale to close-call <sub>25</sub>
	7.000	8,399.94	06/02/21	2,086.00	...	6,313.94	12 of 12 - Option sale to close-call <sub>25</sub>
06/02/21	24.000	25,239.76	Various	7,671.00	...	17,568.76	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 06/04/2021 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
<i>45 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	429.99	06/02/21	810.00	380.01 W	0.00	1 of 45 - Option sale to close-call 25
	1.000	429.99	06/02/21	810.00	380.01 W	0.00	2 of 45 - Option sale to close-call 25
	1.000	430.00	06/02/21	810.00	380.00 W	0.00	3 of 45 - Option sale to close-call 25
	1.000	430.00	06/02/21	1,189.00	759.00 W	0.00	4 of 45 - Option sale to close-call 25
	1.000	430.00	06/02/21	1,190.01	760.01 W	0.00	5 of 45 - Option sale to close-call 25
	2.000	859.99	06/02/21	2,380.01	1,520.02 W	0.00	6 of 45 - Option sale to close-call 25
	3.000	1,289.99	06/02/21	2,430.00	1,140.01 W	0.00	7 of 45 - Option sale to close-call 25
	1.000	429.98	06/03/21	585.00	155.02 W	0.00	8 of 45 - Option sale to close-call 25
	1.000	429.98	06/03/21	585.00	155.02 W	0.00	9 of 45 - Option sale to close-call 25
	1.000	429.98	06/03/21	585.00	155.02 W	0.00	10 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	730.00	300.01 W	0.00	11 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	820.00	390.01 W	0.00	12 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	965.01	535.02 W	0.00	13 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,110.01	680.02 W	0.00	14 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,120.01	690.02 W	0.00	15 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,300.02	870.03 W	0.00	16 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,410.02	980.03 W	0.00	17 of 45 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 06/04/2021 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
	1.000	429.99	06/03/21	1,490.01	1,060.02 W	0.00	18 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,500.00	1,070.01 W	0.00	19 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,645.03	1,215.04 W	0.00	20 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,680.01	1,250.02 W	0.00	21 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,725.02	1,295.03 W	0.00	22 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,800.04	1,370.05 W	0.00	23 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	1,880.03	1,450.04 W	0.00	24 of 45 - Option sale to close-call 25
	1.000	429.99	06/03/21	2,060.01	1,630.02 W	0.00	25 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	665.00	235.00 W	0.00	26 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,110.00	680.00 W	0.00	27 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,120.01	690.01 W	0.00	28 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,120.02	690.02 W	0.00	29 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,300.02	870.02 W	0.00	30 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,345.00	915.00 W	0.00	31 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,489.00	1,059.00 W	0.00	32 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,490.01	1,060.01 W	0.00	33 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,490.01	1,060.01 W	0.00	34 of 45 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 06/04/2021 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
	1.000	430.00	06/03/21	1,724.00	1,294.00 W	0.00	35 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,725.01	1,295.01 W	0.00	36 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,725.01	1,295.01 W	0.00	37 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,879.00	1,449.00 W	0.00	38 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,880.01	1,450.01 W	0.00	39 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	1,880.01	1,450.01 W	0.00	40 of 45 - Option sale to close-call 25
	1.000	430.00	06/03/21	2,060.01	1,630.01 W	0.00	41 of 45 - Option sale to close-call 25
	2.000	859.99	06/03/21	1,170.00	310.01 W	0.00	42 of 45 - Option sale to close-call 25
	2.000	859.99	06/03/21	1,460.00	600.01 W	0.00	43 of 45 - Option sale to close-call 25
	2.000	859.99	06/03/21	1,930.01	1,070.02 W	0.00	44 of 45 - Option sale to close-call 25
	5.000	2,149.98	06/03/21	2,925.00	775.02 W	0.00	45 of 45 - Option sale to close-call 25
06/03/21	55.000	23,649.70	Various	64,096.37	40,446.67 W	0.00	Total of 45 transactions
	18 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	27.000	2,834.93	06/03/21	6,156.00	...	-3,321.07	1 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,858.02	...	-1,753.02	2 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,858.01	...	-1,753.01	3 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,678.04	...	-1,573.04	4 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,677.00	...	-1,572.00	5 of 18 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BBBY 06/04/2021 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
	1.000	105.00	06/03/21	1,598.05	...	-1,493.05	6 of 18 - Option sale to close-call 25
	5.000	524.98	06/03/21	1,915.02	...	-1,390.04	7 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,478.02	...	-1,373.02	8 of 18 - Option sale to close-call 25
	1.000	104.99	06/03/21	1,098.03	...	-993.04	9 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	1,098.02	...	-993.02	10 of 18 - Option sale to close-call 25
	7.000	734.97	06/03/21	1,596.00	...	-861.03	11 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	918.01	...	-813.01	12 of 18 - Option sale to close-call 25
	2.000	209.99	06/03/21	766.01	...	-556.02	13 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	618.01	...	-513.01	14 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	383.02	...	-278.02	15 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	383.02	...	-278.02	16 of 18 - Option sale to close-call 25
	1.000	105.00	06/03/21	383.02	...	-278.02	17 of 18 - Option sale to close-call 25
	1.000	104.98	06/03/21	228.00	...	-123.02	18 of 18 - Option sale to close-call 25
06/04/21	55.000	5,774.84	Various	25,689.30	...	-19,914.46	Total of 18 transactions
	<b>Security total:</b>	<b>54,664.30</b>		<b>97,456.67</b>	<b>40,446.67 W</b>	<b>-2,345.70</b>	
BBBY 10/08/2021 CALL \$15.00 / CUSIP: / Symbol:							
12 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	22.000	637.95	10/05/21	1,958.05	...	-1,320.10	1 of 12 - Option sale to close-call 25
	14.000	405.97	10/05/21	1,246.03	...	-840.06	2 of 12 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 10/08/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	11.000	318.97	10/05/21	1,089.02	...	-770.05	3 of 12 - Option sale to close-call <sup>25</sup>
	11.000	318.97	10/05/21	968.03	...	-649.06	4 of 12 - Option sale to close-call <sup>25</sup>
	9.000	260.97	10/05/21	792.02	...	-531.05	5 of 12 - Option sale to close-call <sup>25</sup>
	5.000	144.99	10/05/21	445.01	...	-300.02	6 of 12 - Option sale to close-call <sup>25</sup>
	5.000	144.99	10/05/21	445.01	...	-300.02	7 of 12 - Option sale to close-call <sup>25</sup>
	3.000	86.99	10/05/21	297.01	...	-210.02	8 of 12 - Option sale to close-call <sup>25</sup>
	2.000	58.00	10/05/21	178.01	...	-120.01	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/05/21	99.00	...	-70.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/05/21	89.00	...	-60.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/05/21	89.00	...	-60.00	12 of 12 - Option sale to close-call <sup>25</sup>
10/06/21	85.000	2,464.80	Various	7,695.19	...	-5,230.39	Total of 12 transactions
	<i>7 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	37.00	10/05/21	68.00	31.00 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	37.00	10/05/21	68.00	31.00 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	3.000	110.99	10/05/21	204.00	93.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	11.000	406.98	10/05/21	748.00	341.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	22.000	813.95	10/05/21	1,496.00	682.05 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	22.000	813.95	10/05/21	1,496.00	682.05 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	25.000	924.94	10/05/21	1,700.00	775.06 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/06/21	85.000	3,144.81	Various	5,780.00	2,635.19 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>5,609.61</b>		<b>13,475.19</b>	<b>2,635.19 W</b>	<b>-5,230.39</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 10/08/2021 CALL \$16.00 / CUSIP: / Symbol:							
<i>10 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	1,106.97	09/30/21	1,278.03	...	-171.06	1 of 10 - Option sale to close-call <sup>25</sup>
	7.000	860.98	09/30/21	945.00	...	-84.02	2 of 10 - Option sale to close-call <sup>25</sup>
	2.000	245.99	09/30/21	284.02	...	-38.03	3 of 10 - Option sale to close-call <sup>25</sup>
	2.000	245.98	09/30/21	270.00	...	-24.02	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	122.99	09/30/21	142.02	...	-19.03	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	122.99	09/30/21	142.01	...	-19.02	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	123.00	09/30/21	142.02	...	-19.02	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	123.00	09/30/21	142.01	...	-19.01	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	123.00	09/30/21	135.00	...	-12.00	9 of 10 - Option sale to close-call <sup>25</sup>
	5.000	614.99	09/30/21	600.01	...	14.98	10 of 10 - Option sale to close-call <sup>25</sup>
10/01/21	30.000	3,689.89	Various	4,080.12	...	-390.23	Total of 10 transactions
<i>5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	34.000	4,181.90	09/30/21	4,590.00	168.04 W	-240.06	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	122.98	09/30/21	135.00	12.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	122.98	09/30/21	135.00	12.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	245.98	09/30/21	270.00	24.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	245.98	09/30/21	270.00	24.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/01/21	40.000	4,919.82	Various	5,400.00	240.12 W	-240.06	Total of 5 transactions
	<b>Security total:</b>	<b>8,609.71</b>		<b>9,480.12</b>	<b>240.12 W</b>	<b>-630.29</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 11/05/2021 CALL \$14.50 / CUSIP: / Symbol:							
23 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	69.00	11/01/21	63.00	...	6.00	1 of 23 - Option sale to close-call 25
	1.000	69.00	11/01/21	63.00	...	6.00	2 of 23 - Option sale to close-call 25
	1.000	69.00	11/01/21	63.00	...	6.00	3 of 23 - Option sale to close-call 25
	2.000	137.99	11/01/21	126.00	...	11.99	4 of 23 - Option sale to close-call 25
	2.000	137.99	11/01/21	126.00	...	11.99	5 of 23 - Option sale to close-call 25
	1.000	90.00	11/01/21	73.00	...	17.00	6 of 23 - Option sale to close-call 25
	1.000	90.00	11/01/21	73.00	...	17.00	7 of 23 - Option sale to close-call 25
	1.000	90.00	11/01/21	72.00	...	18.00	8 of 23 - Option sale to close-call 25
	1.000	96.98	11/01/21	73.00	...	23.98	9 of 23 - Option sale to close-call 25
	1.000	97.00	11/01/21	65.00	...	32.00	10 of 23 - Option sale to close-call 25
	2.000	179.99	11/01/21	146.00	...	33.99	11 of 23 - Option sale to close-call 25
	2.000	179.99	11/01/21	146.00	...	33.99	12 of 23 - Option sale to close-call 25
	2.000	193.98	11/01/21	146.00	...	47.98	13 of 23 - Option sale to close-call 25
	2.000	193.98	11/01/21	146.00	...	47.98	14 of 23 - Option sale to close-call 25
	4.000	359.99	11/01/21	292.00	...	67.99	15 of 23 - Option sale to close-call 25
	5.000	449.99	11/01/21	325.00	...	124.99	16 of 23 - Option sale to close-call 25
	23.000	1,586.94	11/01/21	1,449.00	...	137.94	17 of 23 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 11/05/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	14.000	1,259.96	11/01/21	1,022.00	...	237.96	18 of 23 - Option sale to close-call <sup>25</sup>
	11.000	1,066.97	11/01/21	803.00	...	263.97	19 of 23 - Option sale to close-call <sup>25</sup>
	100.000	7,099.76	11/01/21	6,300.00	...	799.76	20 of 23 - Option sale to close-call <sup>25</sup>
	33.000	3,200.91	11/01/21	2,310.00	...	890.91	21 of 23 - Option sale to close-call <sup>25</sup>
	50.000	4,749.87	11/01/21	3,650.00	...	1,099.87	22 of 23 - Option sale to close-call <sup>25</sup>
	70.000	6,299.83	11/01/21	4,410.00	...	1,889.83	23 of 23 - Option sale to close-call <sup>25</sup>
11/01/21	330.000	27,769.12	Various	21,942.00	...	5,827.12	Total of 23 transactions
BBIG 09/10/2021 PUT \$9.00 / CUSIP: / Symbol:							
3 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	32.000	159.93	09/08/21	960.00	...	-800.07	1 of 3 - Option sale to close-put <sup>25</sup>
	9.000	44.98	09/09/21	171.00	...	-126.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	5.00	09/09/21	44.02	...	-39.02	3 of 3 - Option sale to close-put <sup>25</sup>
09/09/21	42.000	209.91	Various	1,175.02	...	-965.11	Total of 3 transactions
09/09/21	1.000	4.98	09/08/21	30.00	25.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>214.89</b>		<b>1,205.02</b>	<b>25.02 W</b>	<b>-965.11</b>	
BGFV 01/21/2022 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	149.99	12/15/21	210.00	...	-60.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.99	12/15/21	180.00	...	-30.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/21	2.000	299.98	Various	390.00	...	-90.02	Total of 2 transactions
BGFV 09/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	4.000	699.99	09/09/21	780.00	...	-80.01	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	1,399.97	09/09/21	1,440.00	...	-40.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/21	12.000	2,099.96	Various	2,220.00	...	-120.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BGFV 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	5.000	3,999.96	11/02/21	3,750.00	...	249.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	4,099.96	11/02/21	3,750.00	...	349.96	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	10.000	8,099.92	Various	7,500.00	...	599.92	Total of 2 transactions
11/03/21	10.000	8,999.93	11/02/21	7,100.00	...	1,899.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>17,099.85</b>		<b>14,600.00</b>	...	<b>2,499.85</b>	
BGFV 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	6.000	4,139.96	11/03/21	4,020.00	...	119.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	3,899.97	11/03/21	3,350.00	...	549.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	11.000	8,039.93	Various	7,370.00	...	669.93	Total of 2 transactions
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1,519.99	11/03/21	640.00	...	879.99	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	6,079.96	11/03/21	2,680.00	...	3,399.96	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	7,499.95	11/03/21	3,350.00	...	4,149.95	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	10.000	15,099.90	Various	6,670.00	...	8,429.90	Total of 3 transactions
	<b>Security total:</b>	<b>23,139.83</b>		<b>14,040.00</b>	...	<b>9,099.83</b>	
BGFV 11/19/2021 CALL \$29.00 / CUSIP: / Symbol:							
7 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	34.000	2,889.91	11/17/21	5,610.08	...	-2,720.17	1 of 7 - Option sale to close-call <sup>25</sup>
	5.000	424.99	11/17/21	725.02	...	-300.03	2 of 7 - Option sale to close-call <sup>25</sup>
	4.000	339.99	11/17/21	580.02	...	-240.03	3 of 7 - Option sale to close-call <sup>25</sup>
	5.000	424.98	11/17/21	625.01	...	-200.03	4 of 7 - Option sale to close-call <sup>25</sup>
	16.000	1,599.96	11/17/21	1,760.00	...	-160.04	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	85.00	11/17/21	180.00	...	-95.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	85.00	11/17/21	170.00	...	-85.00	7 of 7 - Option sale to close-call <sup>25</sup>
11/18/21	66.000	5,849.83	Various	9,650.13	...	-3,800.30	Total of 7 transactions
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	10.000	999.97	11/16/21	1,550.00	550.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	40.000	3,999.90	11/16/21	6,200.00	2,200.10 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/18/21	50.000	4,999.87	Various	7,750.00	2,750.13 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BGFV 11/19/2021 CALL \$29.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>10,849.70</b>		<b>17,400.13</b>	<b>2,750.13 W</b>	<b>-3,800.30</b>	
BGFV 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	<i>20 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	609.99	11/04/21	1,900.01	1,290.02 W	0.00	1 of 20 - Option sale to close-call 25
	1.000	609.99	11/04/21	1,930.01	1,320.02 W	0.00	2 of 20 - Option sale to close-call 25
	1.000	619.99	11/04/21	1,980.02	1,360.03 W	0.00	3 of 20 - Option sale to close-call 25
	1.000	619.99	11/04/21	2,010.02	1,390.03 W	0.00	4 of 20 - Option sale to close-call 25
	2.000	1,219.98	11/04/21	3,800.02	2,580.04 W	0.00	5 of 20 - Option sale to close-call 25
	2.000	1,219.98	11/04/21	3,800.03	2,580.05 W	0.00	6 of 20 - Option sale to close-call 25
	2.000	1,219.98	11/04/21	3,860.03	2,640.05 W	0.00	7 of 20 - Option sale to close-call 25
	2.000	1,219.99	11/04/21	3,860.02	2,640.03 W	0.00	8 of 20 - Option sale to close-call 25
	2.000	1,239.99	11/04/21	3,960.04	2,720.05 W	0.00	9 of 20 - Option sale to close-call 25
	2.000	1,239.99	11/04/21	3,960.05	2,720.06 W	0.00	10 of 20 - Option sale to close-call 25
	2.000	1,239.99	11/04/21	4,020.03	2,780.04 W	0.00	11 of 20 - Option sale to close-call 25
	2.000	1,239.99	11/04/21	4,020.05	2,780.06 W	0.00	12 of 20 - Option sale to close-call 25
	5.000	3,099.97	11/04/21	5,750.00	2,650.03 W	0.00	13 of 20 - Option sale to close-call 25
	5.000	3,099.97	11/04/21	5,900.00	2,800.03 W	0.00	14 of 20 - Option sale to close-call 25
	5.000	3,099.97	11/04/21	8,200.03	5,100.06 W	0.00	15 of 20 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BGFV 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	5.000	3,099.97	11/04/21	8,350.03	5,250.06 W	0.00	16 of 20 - Option sale to close-call 25
	10.000	6,099.95	11/04/21	18,000.10	11,900.15 W	0.00	17 of 20 - Option sale to close-call 25
	10.000	6,199.95	11/04/21	12,900.00	6,700.05 W	0.00	18 of 20 - Option sale to close-call 25
	10.000	6,199.95	11/04/21	16,300.05	10,100.10 W	0.00	19 of 20 - Option sale to close-call 25
	10.000	6,199.95	11/04/21	18,800.15	12,600.20 W	0.00	20 of 20 - Option sale to close-call 25
11/04/21	80.000	49,399.53	Various	133,300.69	83,901.16 W	0.00	Total of 20 transactions
	14 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	4.000	1,359.98	11/04/21	7,840.10	...	-6,480.12	1 of 14 - Option sale to close-call 25
	4.000	1,279.98	11/04/21	7,360.08	...	-6,080.10	2 of 14 - Option sale to close-call 25
	2.000	639.99	11/04/21	4,440.07	...	-3,800.08	3 of 14 - Option sale to close-call 25
	2.000	639.99	11/04/21	4,440.06	...	-3,800.07	4 of 14 - Option sale to close-call 25
	2.000	639.99	11/04/21	4,316.05	...	-3,676.06	5 of 14 - Option sale to close-call 25
	14.000	4,479.95	11/04/21	7,700.00	...	-3,220.05	6 of 14 - Option sale to close-call 25
	1.000	320.00	11/04/21	2,250.05	...	-1,930.05	7 of 14 - Option sale to close-call 25
	1.000	320.00	11/04/21	2,220.03	...	-1,900.03	8 of 14 - Option sale to close-call 25
	1.000	320.00	11/04/21	2,158.03	...	-1,838.03	9 of 14 - Option sale to close-call 25
	1.000	320.00	11/04/21	2,158.03	...	-1,838.03	10 of 14 - Option sale to close-call 25
	1.000	319.99	11/04/21	1,978.02	...	-1,658.03	11 of 14 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BGFV 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	340.00	11/04/21	1,960.02	...	-1,620.02	12 of 14 - Option sale to close-call <sup>25</sup>
	10.000	3,199.96	11/04/21	4,580.00	...	-1,380.04	13 of 14 - Option sale to close-call <sup>25</sup>
	5.000	1,599.98	11/04/21	2,290.00	...	-690.02	14 of 14 - Option sale to close-call <sup>25</sup>
11/05/21	49.000	15,779.81	Various	55,690.54	...	-39,910.73	Total of 14 transactions
	8 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	319.98	11/04/21	2,020.03	1,700.05 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/04/21	1,990.03	1,670.03 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/04/21	2,020.03	1,700.03 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/04/21	2,020.03	1,700.03 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/04/21	3,980.05	3,340.06 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/04/21	3,980.06	3,340.07 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/04/21	4,040.04	3,400.05 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	6.000	1,919.98	11/04/21	11,040.12	9,120.14 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
11/05/21	16.000	5,119.93	Various	31,090.39	25,970.46 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>70,299.27</b>		<b>220,081.62</b>	<b>109,871.62 W</b>	<b>-39,910.73</b>	
BIDU 06/04/2021 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	30.000	10,499.89	06/01/21	13,950.00	...	-3,450.11	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	699.99	06/01/21	700.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/21	32.000	11,199.88	Various	14,650.00	...	-3,450.12	Total of 2 transactions
BIDU 09/24/2021 PUT \$160.00 / CUSIP: / Symbol:							
	4 transactions for 09/21/21. Total proceeds and cost reported to the IRS.						
	1.000	504.99	09/20/21	580.00	75.01 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	505.00	09/20/21	580.00	75.00 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,009.99	09/20/21	1,150.00	140.01 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	10.000	5,049.95	09/20/21	5,850.00	800.05 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
09/21/21	14.000	7,069.93	Various	8,160.00	1,090.07 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 09/24/2021 PUT \$160.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	276.99	09/22/21	298.01	...	-21.02	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	499.99	09/22/21	510.01	...	-10.02	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	249.99	09/22/21	247.01	...	2.98	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	250.00	09/22/21	247.00	...	3.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	250.00	09/22/21	242.00	...	8.00	5 of 7 - Option sale to close-put <sup>25</sup>
	2.000	553.98	09/22/21	510.02	...	43.96	6 of 7 - Option sale to close-put <sup>25</sup>
	2.000	553.99	09/22/21	510.02	...	43.97	7 of 7 - Option sale to close-put <sup>25</sup>
09/23/21	10.000	2,634.94	Various	2,564.07	...	70.87	Total of 7 transactions
09/23/21	10.000	2,339.96	09/22/21	3,170.05	498.05 W	-332.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>12,044.83</b>		<b>13,894.12</b>	<b>1,588.12 W</b>	<b>-261.17</b>	
BIIB 06/18/2021 CALL \$450.00 / CUSIP: / Symbol:							
8 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	2.000	939.99	06/07/21	1,620.00	340.01 W	-340.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	469.99	06/07/21	910.00	440.01 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	469.99	06/07/21	1,034.00	564.01 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	470.00	06/07/21	1,024.00	554.00 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	470.00	06/07/21	1,024.00	554.00 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	470.00	06/07/21	1,034.00	564.00 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	939.99	06/07/21	2,044.00	1,104.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	939.99	06/07/21	2,044.00	1,104.01 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/10/21	11.000	5,169.95	Various	10,734.00	5,224.05 W	-340.00	Total of 8 transactions
8 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/07/21	2,724.01	...	-2,714.02	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	9.99	06/07/21	2,724.01	...	-2,714.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/07/21	1,374.01	...	-1,369.02	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	06/07/21	1,374.00	...	-1,369.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	06/07/21	1,364.00	...	-1,359.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	5.00	06/07/21	1,364.00	...	-1,359.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/07/21	1,239.01	...	-1,234.02	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/07/21	1,139.01	...	-1,134.02	8 of 8 - Option sale to close-call <sup>25</sup>
06/17/21	10.000	49.95	Various	13,302.05	...	-13,252.10	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BIIB 06/18/2021 CALL \$450.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,219.90</b>		<b>24,036.05</b>		<b>5,224.05 W</b>	<b>-13,592.10</b>
BITF 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
12 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
1.000		157.00	11/02/21	155.00	...	2.00	1 of 12 - Option sale to close-call <sup>25</sup>
1.000		160.00	11/02/21	155.00	...	5.00	2 of 12 - Option sale to close-call <sup>25</sup>
4.000		627.99	11/02/21	620.00	...	7.99	3 of 12 - Option sale to close-call <sup>25</sup>
5.000		784.98	11/02/21	775.00	...	9.98	4 of 12 - Option sale to close-call <sup>25</sup>
4.000		639.99	11/02/21	620.00	...	19.99	5 of 12 - Option sale to close-call <sup>25</sup>
11.000		1,726.96	11/02/21	1,705.00	...	21.96	6 of 12 - Option sale to close-call <sup>25</sup>
14.000		2,197.96	11/02/21	2,170.00	...	27.96	7 of 12 - Option sale to close-call <sup>25</sup>
15.000		2,354.95	11/02/21	2,325.00	...	29.95	8 of 12 - Option sale to close-call <sup>25</sup>
15.000		2,399.95	11/02/21	2,325.00	...	74.95	9 of 12 - Option sale to close-call <sup>25</sup>
15.000		2,399.95	11/02/21	2,325.00	...	74.95	10 of 12 - Option sale to close-call <sup>25</sup>
15.000		2,399.96	11/02/21	2,325.00	...	74.96	11 of 12 - Option sale to close-call <sup>25</sup>
100.000		15,799.71	11/02/21	14,400.00	...	1,399.71	12 of 12 - Option sale to close-call <sup>25</sup>
11/02/21	200.000	31,649.40	Various	29,900.00	...	1,749.40	Total of 12 transactions
BKKT 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
6 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
60.000		3,899.86	10/22/21	5,700.00	...	-1,800.14	1 of 6 - Option sale to close-call <sup>25</sup>
19.000		1,234.95	10/22/21	1,995.05	...	-760.10	2 of 6 - Option sale to close-call <sup>25</sup>
60.000		3,899.86	10/22/21	4,500.00	...	-600.14	3 of 6 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BKKT 11/19/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	11.000	714.97	10/22/21	1,155.03	...	-440.06	4 of 6 - Option sale to close-call <sup>25</sup>
	9.000	584.98	10/22/21	945.02	...	-360.04	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	65.00	10/22/21	105.02	...	-40.02	6 of 6 - Option sale to close-call <sup>25</sup>
10/22/21	160.000	10,399.62	Various	14,400.12	...	-4,000.50	Total of 6 transactions
	3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	1.000	64.98	10/22/21	95.00	30.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	19.000	1,234.95	10/22/21	1,805.00	570.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	20.000	1,299.95	10/22/21	1,900.00	600.05 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/22/21	40.000	2,599.88	Various	3,800.00	1,200.12 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>12,999.50</b>		<b>18,200.12</b>	<b>1,200.12 W</b>	<b>-4,000.50</b>	

BKKT 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:

22 transactions for 10/25/21. Total proceeds and cost reported to the IRS.

	1.000	241.00	10/25/21	165.00	...	76.00	1 of 22 - Option sale to close-call <sup>25</sup>
	2.000	481.99	10/25/21	370.00	...	111.99	2 of 22 - Option sale to close-call <sup>25</sup>
	3.000	722.99	10/25/21	555.00	...	167.99	3 of 22 - Option sale to close-call <sup>25</sup>
	3.000	722.99	10/25/21	555.00	...	167.99	4 of 22 - Option sale to close-call <sup>25</sup>
	3.000	722.99	10/25/21	555.00	...	167.99	5 of 22 - Option sale to close-call <sup>25</sup>
	3.000	722.99	10/25/21	555.00	...	167.99	6 of 22 - Option sale to close-call <sup>25</sup>
	3.000	722.99	10/25/21	555.00	...	167.99	7 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,204.98	10/25/21	925.00	...	279.98	8 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,204.98	10/25/21	825.00	...	379.98	9 of 22 - Option sale to close-call <sup>25</sup>
	10.000	2,409.97	10/25/21	1,850.00	...	559.97	10 of 22 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BKKT 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	10.000	2,409.97	10/25/21	1,850.00	...	559.97	11 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,999.97	10/25/21	1,425.00	...	574.97	12 of 22 - Option sale to close-call <sup>25</sup>
	8.000	3,199.96	10/25/21	2,280.00	...	919.96	13 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,849.98	10/25/21	825.00	...	1,024.98	14 of 22 - Option sale to close-call <sup>25</sup>
	5.000	1,874.98	10/25/21	825.00	...	1,049.98	15 of 22 - Option sale to close-call <sup>25</sup>
	10.000	2,849.96	10/25/21	1,650.00	...	1,199.96	16 of 22 - Option sale to close-call <sup>25</sup>
	10.000	2,849.96	10/25/21	1,650.00	...	1,199.96	17 of 22 - Option sale to close-call <sup>25</sup>
	28.000	6,747.91	10/25/21	5,180.00	...	1,567.91	18 of 22 - Option sale to close-call <sup>25</sup>
	24.000	5,783.92	10/25/21	3,960.00	...	1,823.92	19 of 22 - Option sale to close-call <sup>25</sup>
	37.000	14,799.85	10/25/21	10,545.00	...	4,254.85	20 of 22 - Option sale to close-call <sup>25</sup>
	40.000	11,399.86	10/25/21	6,600.00	...	4,799.86	21 of 22 - Option sale to close-call <sup>25</sup>
	40.000	14,999.84	10/25/21	4,600.00	...	10,399.84	22 of 22 - Option sale to close-call <sup>25</sup>
10/25/21	260.000	79,924.03	Various	48,300.00	...	31,624.03	Total of 22 transactions
BYND 05/14/2021 CALL \$110.00 / CUSIP: / Symbol:							
7 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	584.99	05/07/21	318.00	...	266.99	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	1,169.98	05/07/21	636.00	...	533.98	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	1,169.99	05/07/21	636.00	...	533.99	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	1,754.98	05/07/21	954.00	...	800.98	4 of 7 - Option sale to close-call <sup>25</sup>
	3.000	1,754.98	05/07/21	954.00	...	800.98	5 of 7 - Option sale to close-call <sup>25</sup>
	6.000	3,509.97	05/07/21	1,908.00	...	1,601.97	6 of 7 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BYND 05/14/2021 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
05/07/21	8.000	4,679.95	05/07/21	2,544.00	...	2,135.95	7 of 7 - Option sale to close-call <sup>25</sup>
	25.000	14,624.84	Various	7,950.00	...	6,674.84	Total of 7 transactions
	12 transactions for 05/13/21. Total proceeds and cost reported to the IRS.						
	5.000	44.98	05/07/21	4,355.01	...	-4,310.03	1 of 12 - Option sale to close-call <sup>25</sup>
	12.000	107.97	05/07/21	3,840.00	...	-3,732.03	2 of 12 - Option sale to close-call <sup>25</sup>
	4.000	35.98	05/07/21	3,484.01	...	-3,448.03	3 of 12 - Option sale to close-call <sup>25</sup>
	3.000	26.99	05/07/21	2,613.01	...	-2,586.02	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	17.99	05/07/21	1,742.01	...	-1,724.02	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	8.99	05/07/21	871.02	...	-862.03	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/07/21	871.02	...	-862.02	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/07/21	871.00	...	-862.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/07/21	796.00	...	-787.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/07/21	729.00	...	-720.00	10 of 12 - Option sale to close-call <sup>25</sup>
	2.000	17.99	05/07/21	640.00	...	-622.01	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	9.00	05/07/21	460.00	...	-451.00	12 of 12 - Option sale to close-call <sup>25</sup>
05/13/21	34.000	305.89	Various	21,272.08	...	-20,966.19	Total of 12 transactions
	5 transactions for 05/13/21. Total proceeds and cost reported to the IRS.						
	5.000	44.99	05/07/21	1,590.00	309.00 W	-1,236.01	1 of 5 - Option sale to close-call <sup>25</sup>
	11.000	98.97	05/07/21	5,060.00	4,059.02 W	-902.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	8.98	05/07/21	460.00	451.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	8.98	05/07/21	460.00	451.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 05/14/2021 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
	7.000	62.98	05/07/21	3,220.00	3,157.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
05/13/21	25.000	224.90	Various	10,790.00	8,427.08 W	-2,138.02	Total of 5 transactions
	<b>Security total:</b>	<b>15,155.63</b>		<b>40,012.08</b>	<b>8,427.08 W</b>	<b>-16,429.37</b>	
BYND 05/21/2021 CALL \$108.00 / CUSIP: / Symbol:							
4 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	31.000	3,099.92	05/20/21	3,472.00	...	-372.08	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	299.99	05/20/21	303.00	...	-3.01	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	629.99	05/20/21	560.00	...	69.99	3 of 4 - Option sale to close-call <sup>25</sup>
	29.000	3,653.91	05/20/21	3,248.00	...	405.91	4 of 4 - Option sale to close-call <sup>25</sup>
05/21/21	68.000	7,683.81	Various	7,583.00	...	100.81	Total of 4 transactions
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol:							
45 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	49.000	1,567.86	10/27/21	2,793.00	...	-1,225.14	1 of 45 - Option sale to close-call <sup>25</sup>
	12.000	383.97	10/27/21	1,476.06	...	-1,092.09	2 of 45 - Option sale to close-call <sup>25</sup>
	4.000	127.99	10/27/21	856.03	...	-728.04	3 of 45 - Option sale to close-call <sup>25</sup>
	4.000	127.99	10/27/21	800.04	...	-672.05	4 of 45 - Option sale to close-call <sup>25</sup>
	4.000	127.99	10/27/21	800.04	...	-672.05	5 of 45 - Option sale to close-call <sup>25</sup>
	6.000	191.99	10/27/21	738.04	...	-546.05	6 of 45 - Option sale to close-call <sup>25</sup>
	3.000	95.99	10/27/21	600.03	...	-504.04	7 of 45 - Option sale to close-call <sup>25</sup>
	3.000	95.99	10/27/21	600.03	...	-504.04	8 of 45 - Option sale to close-call <sup>25</sup>
	2.000	64.00	10/27/21	508.04	...	-444.04	9 of 45 - Option sale to close-call <sup>25</sup>
	3.000	95.99	10/27/21	477.03	...	-381.04	10 of 45 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	2.000	63.99	10/27/21	412.03	...	-348.04	11 of 45 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	400.03	...	-336.04	12 of 45 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	400.02	...	-336.03	13 of 45 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	400.02	...	-336.03	14 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	254.02	...	-222.02	15 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	214.03	...	-182.03	16 of 45 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	246.02	...	-182.03	17 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	214.02	...	-182.02	18 of 45 - Option sale to close-call <sub>25</sub>
	2.000	64.00	10/27/21	246.02	...	-182.02	19 of 45 - Option sale to close-call <sub>25</sub>
	2.000	64.00	10/27/21	246.02	...	-182.02	20 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	214.01	...	-182.01	21 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	214.01	...	-182.01	22 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	214.01	...	-182.01	23 of 45 - Option sale to close-call <sub>25</sub>
	2.000	64.00	10/27/21	246.01	...	-182.01	24 of 45 - Option sale to close-call <sub>25</sub>
	2.000	64.00	10/27/21	246.01	...	-182.01	25 of 45 - Option sale to close-call <sub>25</sub>
	7.000	223.98	10/27/21	399.00	...	-175.02	26 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	206.02	...	-174.02	27 of 45 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	32.00	10/27/21	206.00	...	-174.00	28 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	200.01	...	-168.01	29 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	200.00	...	-168.00	30 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	159.02	...	-127.02	31 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	159.00	...	-127.00	32 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	156.01	...	-124.01	33 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	156.00	...	-124.00	34 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.03	...	-116.03	35 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.02	...	-116.02	36 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.02	...	-116.02	37 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.01	...	-116.01	38 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.01	...	-116.01	39 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	148.00	...	-116.00	40 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.01	...	-91.01	41 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.00	...	-91.00	42 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.00	...	-91.00	43 of 45 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.00	...	-91.00	44 of 45 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	32.00	10/27/21	123.00	...	-91.00	45 of 45 - Option sale to close-call <sub>25</sub>
10/28/21	140.000	4,479.69	Various	17,158.78	...	-12,679.09	Total of 45 transactions
		80 transactions for 10/28/21. Total proceeds and cost reported to the IRS.					
	1.000	31.99	10/27/21	123.01	91.02 W	0.00	1 of 80 - Option sale to close-call <sub>25</sub>
	1.000	31.99	10/27/21	123.02	91.03 W	0.00	2 of 80 - Option sale to close-call <sub>25</sub>
	1.000	31.99	10/27/21	189.02	157.03 W	0.00	3 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	98.00	66.00 W	0.00	4 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	98.00	66.00 W	0.00	5 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	98.00	66.00 W	0.00	6 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.00	91.00 W	0.00	7 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.01	91.01 W	0.00	8 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.01	91.01 W	0.00	9 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	123.02	91.02 W	0.00	10 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	131.00	99.00 W	0.00	11 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	131.01	99.01 W	0.00	12 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	134.00	102.00 W	0.00	13 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	134.02	102.02 W	0.00	14 of 80 - Option sale to close-call <sub>25</sub>
	1.000	32.00	10/27/21	175.00	143.00 W	0.00	15 of 80 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	32.00	10/27/21	175.01	143.01 W	0.00	16 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	181.00	149.00 W	0.00	17 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	181.02	149.02 W	0.00	18 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	189.01	157.01 W	0.00	19 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	189.01	157.01 W	0.00	20 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	189.01	157.01 W	0.00	21 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	189.02	157.02 W	0.00	22 of 80 - Option sale to close-call 25
	1.000	32.00	10/27/21	229.02	197.02 W	0.00	23 of 80 - Option sale to close-call 25
	1.000	34.98	10/27/21	76.00	41.02 W	0.00	24 of 80 - Option sale to close-call 25
	1.000	34.98	10/27/21	101.00	66.02 W	0.00	25 of 80 - Option sale to close-call 25
	1.000	34.98	10/27/21	116.00	81.02 W	0.00	26 of 80 - Option sale to close-call 25
	1.000	34.98	10/27/21	117.00	82.02 W	0.00	27 of 80 - Option sale to close-call 25
	1.000	34.98	10/27/21	117.00	82.02 W	0.00	28 of 80 - Option sale to close-call 25
	1.000	34.99	10/27/21	101.00	66.01 W	0.00	29 of 80 - Option sale to close-call 25
	1.000	34.99	10/27/21	109.00	74.01 W	0.00	30 of 80 - Option sale to close-call 25
	1.000	34.99	10/27/21	159.01	124.02 W	0.00	31 of 80 - Option sale to close-call 25
	1.000	35.00	10/27/21	76.00	41.00 W	0.00	32 of 80 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.00	10/27/21	101.00	66.00 W	0.00	33 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	109.00	74.00 W	0.00	34 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	112.00	77.00 W	0.00	35 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	112.02	77.02 W	0.00	36 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	157.02	122.02 W	0.00	37 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	159.00	124.00 W	0.00	38 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	167.01	132.01 W	0.00	39 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	167.02	132.02 W	0.00	40 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	167.02	132.02 W	0.00	41 of 80 - Option sale to close-call <sub>25</sub>
	1.000	35.00	10/27/21	207.02	172.02 W	0.00	42 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	196.01	132.02 W	0.00	43 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	196.01	132.02 W	0.00	44 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	350.01	286.02 W	0.00	45 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	350.02	286.03 W	0.00	46 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	362.02	298.03 W	0.00	47 of 80 - Option sale to close-call <sub>25</sub>
	2.000	63.99	10/27/21	458.03	394.04 W	0.00	48 of 80 - Option sale to close-call <sub>25</sub>
	2.000	64.00	10/27/21	196.01	132.01 W	0.00	49 of 80 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	2.000	64.00	10/27/21	350.02	286.02 W	0.00	50 of 80 - Option sale to close-call 25
	2.000	69.98	10/27/21	202.01	132.03 W	0.00	51 of 80 - Option sale to close-call 25
	2.000	69.98	10/27/21	232.01	162.03 W	0.00	52 of 80 - Option sale to close-call 25
	2.000	69.99	10/27/21	202.01	132.02 W	0.00	53 of 80 - Option sale to close-call 25
	2.000	69.99	10/27/21	318.01	248.02 W	0.00	54 of 80 - Option sale to close-call 25
	2.000	70.00	10/27/21	306.01	236.01 W	0.00	55 of 80 - Option sale to close-call 25
	2.000	70.00	10/27/21	314.03	244.03 W	0.00	56 of 80 - Option sale to close-call 25
	2.000	70.00	10/27/21	414.03	344.03 W	0.00	57 of 80 - Option sale to close-call 25
	3.000	95.99	10/27/21	402.02	306.03 W	0.00	58 of 80 - Option sale to close-call 25
	3.000	95.99	10/27/21	525.02	429.03 W	0.00	59 of 80 - Option sale to close-call 25
	3.000	95.99	10/27/21	525.02	429.03 W	0.00	60 of 80 - Option sale to close-call 25
	3.000	104.99	10/27/21	225.00	120.01 W	0.00	61 of 80 - Option sale to close-call 25
	3.000	104.99	10/27/21	336.01	231.02 W	0.00	62 of 80 - Option sale to close-call 25
	4.000	127.99	10/27/21	700.03	572.04 W	0.00	63 of 80 - Option sale to close-call 25
	4.000	127.99	10/27/21	700.03	572.04 W	0.00	64 of 80 - Option sale to close-call 25
	4.000	127.99	10/27/21	756.02	628.03 W	0.00	65 of 80 - Option sale to close-call 25
	4.000	139.98	10/27/21	404.01	264.03 W	0.00	66 of 80 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	4.000	139.99	10/27/21	612.02	472.03 W	0.00	67 of 80 - Option sale to close-call 25
	6.000	209.98	10/27/21	606.02	396.04 W	0.00	68 of 80 - Option sale to close-call 25
	6.000	209.98	10/27/21	702.01	492.03 W	0.00	69 of 80 - Option sale to close-call 25
	6.000	209.99	10/27/21	918.03	708.04 W	0.00	70 of 80 - Option sale to close-call 25
	6.000	209.99	10/27/21	1,002.03	792.04 W	0.00	71 of 80 - Option sale to close-call 25
	7.000	244.98	10/27/21	532.00	287.02 W	0.00	72 of 80 - Option sale to close-call 25
	8.000	279.97	10/27/21	608.00	328.03 W	0.00	73 of 80 - Option sale to close-call 25
	9.000	314.98	10/27/21	1,053.03	738.05 W	0.00	74 of 80 - Option sale to close-call 25
	10.000	349.97	10/27/21	1,530.05	1,180.08 W	0.00	75 of 80 - Option sale to close-call 25
	13.000	454.97	10/27/21	1,313.03	858.06 W	0.00	76 of 80 - Option sale to close-call 25
	17.000	594.96	10/27/21	1,292.00	697.04 W	0.00	77 of 80 - Option sale to close-call 25
	18.000	629.95	10/27/21	2,106.04	1,476.09 W	0.00	78 of 80 - Option sale to close-call 25
	18.000	629.96	10/27/21	1,368.00	738.04 W	0.00	79 of 80 - Option sale to close-call 25
	29.000	1,014.93	10/27/21	2,204.00	1,189.07 W	0.00	80 of 80 - Option sale to close-call 25
10/28/21	260.000	8,919.22	Various	30,744.00	21,824.78 W	0.00	Total of 80 transactions
	<b>Security total:</b>	<b>13,398.91</b>		<b>47,902.78</b>	<b>21,824.78 W</b>	<b>-12,679.09</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$95.00 / CUSIP: / Symbol:							
<i>34 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	230.98	10/22/21	185.00	...	45.98	1 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	2 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	3 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	4 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	5 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	6 of 34 - Option sale to close-call 25
	1.000	230.98	10/22/21	185.00	...	45.98	7 of 34 - Option sale to close-call 25
	1.000	230.99	10/22/21	185.00	...	45.99	8 of 34 - Option sale to close-call 25
	1.000	230.99	10/22/21	185.00	...	45.99	9 of 34 - Option sale to close-call 25
	1.000	231.00	10/22/21	185.00	...	46.00	10 of 34 - Option sale to close-call 25
	1.000	231.00	10/22/21	185.00	...	46.00	11 of 34 - Option sale to close-call 25
	1.000	231.00	10/22/21	185.00	...	46.00	12 of 34 - Option sale to close-call 25
	1.000	239.00	10/22/21	185.00	...	54.00	13 of 34 - Option sale to close-call 25
	1.000	241.98	10/22/21	185.00	...	56.98	14 of 34 - Option sale to close-call 25
	1.000	242.98	10/22/21	185.00	...	57.98	15 of 34 - Option sale to close-call 25
	2.000	461.98	10/22/21	370.00	...	91.98	16 of 34 - Option sale to close-call 25
	2.000	461.98	10/22/21	370.00	...	91.98	17 of 34 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$95.00 / CUSIP: / Symbol: (cont'd)							
	2.000	461.98	10/22/21	370.00	...	91.98	18 of 34 - Option sale to close-call 25
	2.000	461.98	10/22/21	370.00	...	91.98	19 of 34 - Option sale to close-call 25
	2.000	461.99	10/22/21	370.00	...	91.99	20 of 34 - Option sale to close-call 25
	2.000	477.99	10/22/21	370.00	...	107.99	21 of 34 - Option sale to close-call 25
	2.000	477.99	10/22/21	370.00	...	107.99	22 of 34 - Option sale to close-call 25
	3.000	692.98	10/22/21	555.00	...	137.98	23 of 34 - Option sale to close-call 25
	3.000	692.99	10/22/21	555.00	...	137.99	24 of 34 - Option sale to close-call 25
	3.000	716.99	10/22/21	555.00	...	161.99	25 of 34 - Option sale to close-call 25
	3.000	716.99	10/22/21	555.00	...	161.99	26 of 34 - Option sale to close-call 25
	4.000	955.98	10/22/21	740.00	...	215.98	27 of 34 - Option sale to close-call 25
	5.000	1,154.98	10/22/21	925.00	...	229.98	28 of 34 - Option sale to close-call 25
	4.000	971.98	10/22/21	740.00	...	231.98	29 of 34 - Option sale to close-call 25
	6.000	1,385.98	10/22/21	1,110.00	...	275.98	30 of 34 - Option sale to close-call 25
	5.000	1,214.98	10/22/21	925.00	...	289.98	31 of 34 - Option sale to close-call 25
	7.000	1,672.97	10/22/21	1,295.00	...	377.97	32 of 34 - Option sale to close-call 25
	11.000	2,540.96	10/22/21	2,035.00	...	505.96	33 of 34 - Option sale to close-call 25
	17.000	4,113.94	10/22/21	3,145.00	...	968.94	34 of 34 - Option sale to close-call 25
10/22/21	100.000	23,593.41	Various	18,500.00	...	5,093.41	Total of 34 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/29/2021 CALL \$95.00 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	221.00	10/25/21	198.00	...	23.00	1 of 10 - Option sale to close-call <sub>25</sub>
	1.000	222.98	10/25/21	198.00	...	24.98	2 of 10 - Option sale to close-call <sub>25</sub>
	1.000	221.00	10/25/21	196.00	...	25.00	3 of 10 - Option sale to close-call <sub>25</sub>
	1.000	221.00	10/25/21	196.00	...	25.00	4 of 10 - Option sale to close-call <sub>25</sub>
	2.000	441.99	10/25/21	392.00	...	49.99	5 of 10 - Option sale to close-call <sub>25</sub>
	3.000	662.99	10/25/21	594.00	...	68.99	6 of 10 - Option sale to close-call <sub>25</sub>
	5.000	1,104.97	10/25/21	990.00	...	114.97	7 of 10 - Option sale to close-call <sub>25</sub>
	6.000	1,325.98	10/25/21	1,188.00	...	137.98	8 of 10 - Option sale to close-call <sub>25</sub>
	30.000	6,689.90	10/25/21	5,940.00	...	749.90	9 of 10 - Option sale to close-call <sub>25</sub>
	50.000	10,899.84	10/25/21	9,900.00	...	999.84	10 of 10 - Option sale to close-call <sub>25</sub>
10/25/21	100.000	22,011.65	Various	19,792.00	...	2,219.65	Total of 10 transactions
	<b>Security total:</b>	<b>45,605.06</b>		<b>38,292.00</b>	...	<b>7,313.06</b>	

BYND 11/12/2021 CALL \$80.00 / CUSIP: / Symbol:

*14 transactions for 11/11/21. Total proceeds and cost reported to the IRS.*

	12.000	2,183.97	11/11/21	3,144.10	...	-960.13	1 of 14 - Option sale to close-call <sub>25</sub>
	9.000	1,637.97	11/11/21	2,448.08	...	-810.11	2 of 14 - Option sale to close-call <sub>25</sub>
	6.000	1,091.98	11/11/21	1,572.06	...	-480.08	3 of 14 - Option sale to close-call <sub>25</sub>
	5.000	909.99	11/11/21	1,325.05	...	-415.06	4 of 14 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 11/12/2021 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
	7.000	1,273.98	11/11/21	1,589.04	...	-315.06	5 of 14 - Option sale to close-call 25
	3.000	545.99	11/11/21	816.03	...	-270.04	6 of 14 - Option sale to close-call 25
	3.000	545.99	11/11/21	795.04	...	-249.05	7 of 14 - Option sale to close-call 25
	2.000	363.99	11/11/21	520.03	...	-156.04	8 of 14 - Option sale to close-call 25
	2.000	363.99	11/11/21	444.02	...	-80.03	9 of 14 - Option sale to close-call 25
	5.000	909.99	11/11/21	990.01	...	-80.02	10 of 14 - Option sale to close-call 25
	1.000	206.98	11/11/21	260.01	...	-53.03	11 of 14 - Option sale to close-call 25
	1.000	180.00	11/11/21	167.00	...	13.00	12 of 14 - Option sale to close-call 25
	2.000	359.99	11/11/21	334.01	...	25.98	13 of 14 - Option sale to close-call 25
	25.000	4,549.93	11/11/21	4,075.00	...	474.93	14 of 14 - Option sale to close-call 25
11/11/21	83.000	15,124.74	Various	18,479.48	...	-3,354.74	Total of 14 transactions
	16 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	3.000	545.99	11/11/21	837.02	97.01 W	-194.02	1 of 16 - Option sale to close-call 25
	2.000	359.99	11/11/21	478.01	118.02 W	0.00	2 of 16 - Option sale to close-call 25
	2.000	363.98	11/11/21	558.01	194.03 W	0.00	3 of 16 - Option sale to close-call 25
	3.000	509.99	11/11/21	837.02	327.03 W	0.00	4 of 16 - Option sale to close-call 25
	3.000	530.98	11/11/21	837.02	306.04 W	0.00	5 of 16 - Option sale to close-call 25
	3.000	539.99	11/11/21	732.01	192.02 W	0.00	6 of 16 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BYND 11/12/2021 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
	6.000	749.98	11/11/21	894.00	144.02 W	0.00	7 of 16 - Option sale to close-call <sup>25</sup>
	5.000	884.98	11/11/21	1,395.03	510.05 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	5.000	899.99	11/11/21	1,075.00	175.01 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>
	6.000	1,079.98	11/11/21	1,674.04	594.06 W	0.00	10 of 16 - Option sale to close-call <sup>25</sup>
	9.000	1,529.97	11/11/21	2,511.05	981.08 W	0.00	11 of 16 - Option sale to close-call <sup>25</sup>
	12.000	2,159.96	11/11/21	3,348.06	1,188.10 W	0.00	12 of 16 - Option sale to close-call <sup>25</sup>
	18.000	2,249.94	11/11/21	2,682.00	432.06 W	0.00	13 of 16 - Option sale to close-call <sup>25</sup>
	16.000	2,879.95	11/11/21	3,904.05	1,024.10 W	0.00	14 of 16 - Option sale to close-call <sup>25</sup>
	31.000	3,874.92	11/11/21	4,619.00	744.08 W	0.00	15 of 16 - Option sale to close-call <sup>25</sup>
	31.000	5,579.91	11/11/21	7,564.08	1,984.17 W	0.00	16 of 16 - Option sale to close-call <sup>25</sup>
11/11/21	155.000	24,740.50	Various	33,945.40	9,010.88 W	-194.02	Total of 16 transactions
	<b>Security total:</b>	<b>39,865.24</b>		<b>52,424.88</b>	<b>9,010.88 W</b>	<b>-3,548.76</b>	
BYND 12/03/2021 CALL \$72.00 / CUSIP: / Symbol:							
	3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	2.000	319.99	11/30/21	242.00	...	77.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	479.99	11/30/21	363.00	...	116.99	2 of 3 - Option sale to close-call <sup>25</sup>
	21.000	3,359.94	11/30/21	2,541.00	...	818.94	3 of 3 - Option sale to close-call <sup>25</sup>
12/01/21	26.000	4,159.92	Various	3,146.00	...	1,013.92	Total of 3 transactions
BYND 12/10/2021 CALL \$66.50 / CUSIP: / Symbol:							
	2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	4.000	1,499.98	12/06/21	968.00	...	531.98	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	2,249.98	12/06/21	1,452.00	...	797.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	10.000	3,749.96	Various	2,420.00	...	1,329.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAN 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>11 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	110.00	11/08/21	68.00	...	42.00	1 of 11 - Option sale to close-call <sup>25</sup>
	2.000	219.99	11/08/21	136.00	...	83.99	2 of 11 - Option sale to close-call <sup>25</sup>
	2.000	219.99	11/08/21	136.00	...	83.99	3 of 11 - Option sale to close-call <sup>25</sup>
	8.000	879.98	11/08/21	544.00	...	335.98	4 of 11 - Option sale to close-call <sup>25</sup>
	10.000	1,099.97	11/08/21	680.00	...	419.97	5 of 11 - Option sale to close-call <sup>25</sup>
	10.000	1,099.98	11/08/21	680.00	...	419.98	6 of 11 - Option sale to close-call <sup>25</sup>
	20.000	2,199.95	11/08/21	1,360.00	...	839.95	7 of 11 - Option sale to close-call <sup>25</sup>
	20.000	2,199.95	11/08/21	1,360.00	...	839.95	8 of 11 - Option sale to close-call <sup>25</sup>
	27.000	2,969.93	11/08/21	1,836.00	...	1,133.93	9 of 11 - Option sale to close-call <sup>25</sup>
	50.000	5,699.87	11/08/21	3,500.00	...	2,199.87	10 of 11 - Option sale to close-call <sup>25</sup>
	50.000	5,699.87	11/08/21	3,500.00	...	2,199.87	11 of 11 - Option sale to close-call <sup>25</sup>
11/08/21	200.000	22,399.48	Various	13,800.00	...	8,599.48	Total of 11 transactions
<i>6 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	99.00	11/16/21	55.00	...	44.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	99.00	11/16/21	55.00	...	44.00	2 of 6 - Option sale to close-call <sup>25</sup>
	9.000	890.98	11/16/21	495.00	...	395.98	3 of 6 - Option sale to close-call <sup>25</sup>
	25.000	2,474.93	11/16/21	1,375.00	...	1,099.93	4 of 6 - Option sale to close-call <sup>25</sup>
	25.000	2,474.94	11/16/21	1,375.00	...	1,099.94	5 of 6 - Option sale to close-call <sup>25</sup>
	34.000	3,365.91	11/16/21	1,870.00	...	1,495.91	6 of 6 - Option sale to close-call <sup>25</sup>
11/16/21	95.000	9,404.76	Various	5,225.00	...	4,179.76	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAN 11/19/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	2.000	162.00	11/16/21	94.00	...	68.00	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	404.99	11/16/21	245.00	...	159.99	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	404.98	11/16/21	235.00	...	169.98	3 of 5 - Option sale to close-call <sup>25</sup>
	43.000	3,482.89	11/16/21	2,021.00	...	1,461.89	4 of 5 - Option sale to close-call <sup>25</sup>
	45.000	3,644.89	11/16/21	2,115.00	...	1,529.89	5 of 5 - Option sale to close-call <sup>25</sup>
11/17/21	100.000	8,099.75	Various	4,710.00	...	3,389.75	Total of 5 transactions
	<b>Security total:</b>	<b>39,903.99</b>		<b>23,735.00</b>	...	<b>16,168.99</b>	

CCIV 05/28/2021 CALL \$20.00 / CUSIP: / Symbol:

44 transactions for 05/25/21. Total proceeds and cost reported to the IRS.

	1.000	72.98	05/25/21	57.00	...	15.98	1 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	2 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	3 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	4 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	5 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	6 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	7 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	8 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	9 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	10 of 44 - Option sale to close-call <sup>25</sup>
	1.000	72.98	05/25/21	57.00	...	15.98	11 of 44 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 05/28/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	72.98	05/25/21	57.00	...	15.98	12 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.98	05/25/21	57.00	...	15.98	13 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.98	05/25/21	57.00	...	15.98	14 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.98	05/25/21	57.00	...	15.98	15 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	56.00	...	16.00	16 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	54.00	...	18.00	17 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	51.00	...	21.00	18 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	51.00	...	21.00	19 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	51.00	...	21.00	20 of 44 - Option sale to close-call <sub>25</sub>
	1.000	72.00	05/25/21	51.00	...	21.00	21 of 44 - Option sale to close-call <sub>25</sub>
	1.000	78.00	05/25/21	57.00	...	21.00	22 of 44 - Option sale to close-call <sub>25</sub>
	1.000	78.00	05/25/21	57.00	...	21.00	23 of 44 - Option sale to close-call <sub>25</sub>
	10.000	709.97	05/25/21	680.00	...	29.97	24 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	25 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	26 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	27 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	28 of 44 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 05/28/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	145.98	05/25/21	114.00	...	31.98	29 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	30 of 44 - Option sale to close-call <sub>25</sub>
	2.000	145.98	05/25/21	114.00	...	31.98	31 of 44 - Option sale to close-call <sub>25</sub>
	2.000	144.00	05/25/21	112.00	...	32.00	32 of 44 - Option sale to close-call <sub>25</sub>
	2.000	144.00	05/25/21	112.00	...	32.00	33 of 44 - Option sale to close-call <sub>25</sub>
	3.000	218.98	05/25/21	171.00	...	47.98	34 of 44 - Option sale to close-call <sub>25</sub>
	3.000	215.99	05/25/21	162.00	...	53.99	35 of 44 - Option sale to close-call <sub>25</sub>
	3.000	215.99	05/25/21	153.00	...	62.99	36 of 44 - Option sale to close-call <sub>25</sub>
	4.000	287.99	05/25/21	216.00	...	71.99	37 of 44 - Option sale to close-call <sub>25</sub>
	5.000	364.98	05/25/21	285.00	...	79.98	38 of 44 - Option sale to close-call <sub>25</sub>
	7.000	510.98	05/25/21	399.00	...	111.98	39 of 44 - Option sale to close-call <sub>25</sub>
	9.000	701.98	05/25/21	513.00	...	188.98	40 of 44 - Option sale to close-call <sub>25</sub>
	20.000	1,559.94	05/25/21	1,140.00	...	419.94	41 of 44 - Option sale to close-call <sub>25</sub>
	30.000	2,159.91	05/25/21	1,620.00	...	539.91	42 of 44 - Option sale to close-call <sub>25</sub>
	50.000	3,599.88	05/25/21	2,850.00	...	749.88	43 of 44 - Option sale to close-call <sub>25</sub>
	56.000	4,087.86	05/25/21	3,192.00	...	895.86	44 of 44 - Option sale to close-call <sub>25</sub>
05/25/21	241.000	17,627.01	Various	13,686.00	...	3,941.01	Total of 44 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CCXI 10/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1,563.99	10/07/21	720.00	...	843.99	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	6,255.96	10/07/21	2,880.00	...	3,375.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/21	5.000	7,819.95	Various	3,600.00	...	4,219.95	Total of 2 transactions
CCXI 10/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/11/21	2.000	2,105.97	10/08/21	1,060.00	...	1,045.97	Option sale to close-call <sup>25</sup>
CCXI 10/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
5 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	290.00	10/13/21	150.00	...	140.00	1 of 5 - Option sale to close-call <sup>25</sup>
	12.000	3,479.95	10/13/21	2,280.00	...	1,199.95	2 of 5 - Option sale to close-call <sup>25</sup>
	15.000	4,349.94	10/13/21	2,550.00	...	1,799.94	3 of 5 - Option sale to close-call <sup>25</sup>
	13.000	3,769.95	10/13/21	1,950.00	...	1,819.95	4 of 5 - Option sale to close-call <sup>25</sup>
	17.000	4,929.94	10/13/21	2,550.00	...	2,379.94	5 of 5 - Option sale to close-call <sup>25</sup>
10/14/21	58.000	16,819.78	Various	9,480.00	...	7,339.78	Total of 5 transactions
CCXI 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	2.000	2,619.97	10/08/21	1,280.00	...	1,339.97	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	5,549.96	10/08/21	3,200.00	...	2,349.96	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	6,199.95	10/08/21	3,200.00	...	2,999.95	3 of 3 - Option sale to close-call <sup>25</sup>
10/11/21	12.000	14,369.88	Various	7,680.00	...	6,689.88	Total of 3 transactions
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	1,179.99	10/22/21	1,100.00	...	79.99	1 of 2 - Option sale to close-call <sup>25</sup>
	18.000	10,619.90	10/22/21	9,900.00	...	719.90	2 of 2 - Option sale to close-call <sup>25</sup>
10/25/21	20.000	11,799.89	Various	11,000.00	...	799.89	Total of 2 transactions
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	10.000	4,999.95	10/22/21	5,400.00	400.05 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	4,999.95	10/22/21	5,400.00	400.05 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	10.000	4,999.95	10/25/21	5,900.00	900.05 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	4,999.96	10/25/21	6,300.05	1,300.09 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	40.000	19,999.81	Various	23,000.05	3,000.24 W	0.00	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCXI 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
12 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	6.000	2,579.97	10/27/21	3,360.03	...	-780.06	1 of 12 - Option sale to close-call <sup>25</sup>
	3.000	1,289.99	10/27/21	1,920.04	...	-630.05	2 of 12 - Option sale to close-call <sup>25</sup>
	4.000	1,719.98	10/27/21	2,200.04	...	-480.06	3 of 12 - Option sale to close-call <sup>25</sup>
	2.000	859.99	10/27/21	1,280.02	...	-420.03	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	859.99	10/27/21	1,240.03	...	-380.04	5 of 12 - Option sale to close-call <sup>25</sup>
	2.000	859.99	10/27/21	1,240.03	...	-380.04	6 of 12 - Option sale to close-call <sup>25</sup>
	2.000	859.99	10/27/21	1,120.01	...	-260.02	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	429.99	10/27/21	640.02	...	-210.03	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	429.99	10/27/21	560.00	...	-130.01	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	430.00	10/27/21	560.01	...	-130.01	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	430.00	10/27/21	530.01	...	-100.01	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	430.00	10/27/21	510.00	...	-80.00	12 of 12 - Option sale to close-call <sup>25</sup>
10/28/21	26.000	11,179.88	Various	15,160.24	...	-3,980.36	Total of 12 transactions
5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	5.000	2,149.98	10/27/21	2,550.03	80.01 W	-320.04	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	429.99	10/27/21	600.01	170.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	859.99	10/27/21	1,200.02	340.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	1,719.98	10/27/21	2,040.02	320.04 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	7.000	3,009.97	10/27/21	4,200.06	1,190.09 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/28/21	19.000	8,169.91	Various	10,590.14	2,100.19 W	-320.04	Total of 5 transactions
	<b>Security total:</b>	<b>65,519.37</b>		<b>67,430.43</b>	<b>5,100.43 W</b>	<b>3,189.37</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCXI 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	451.99	10/12/21	907.02	455.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	452.98	10/12/21	680.00	227.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,807.98	10/12/21	2,720.00	912.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,807.98	10/12/21	3,632.02	1,824.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/13/21	10.000	4,520.93	Various	7,939.04	3,418.11 W	0.00	Total of 4 transactions
13 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	1,059.99	11/09/21	1,812.02	...	-752.03	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	529.99	11/09/21	906.01	...	-376.02	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	530.00	11/09/21	906.01	...	-376.01	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	530.00	11/09/21	904.03	...	-374.03	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	529.99	11/09/21	450.00	...	79.99	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	529.99	11/09/21	450.00	...	79.99	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	530.00	11/09/21	450.00	...	80.00	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	529.99	11/09/21	440.00	...	89.99	8 of 13 - Option sale to close-call <sup>25</sup>
	2.000	1,059.99	11/09/21	900.00	...	159.99	9 of 13 - Option sale to close-call <sup>25</sup>
	2.000	1,059.99	11/09/21	900.00	...	159.99	10 of 13 - Option sale to close-call <sup>25</sup>
	4.000	2,119.98	11/09/21	1,800.00	...	319.98	11 of 13 - Option sale to close-call <sup>25</sup>
	5.000	2,649.98	11/09/21	2,250.00	...	399.98	12 of 13 - Option sale to close-call <sup>25</sup>
	8.000	4,239.96	11/09/21	3,600.00	...	639.96	13 of 13 - Option sale to close-call <sup>25</sup>
11/10/21	30.000	15,899.85	Various	15,768.07	...	131.78	Total of 13 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCXI 11/19/2021 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>20,420.78</b>		<b>23,707.11</b>	<b>3,418.11 W</b>	<b>131.78</b>	
CCXI 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	8.000	1,359.97	10/15/21	2,080.00	720.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	40.000	6,799.88	10/15/21	11,000.00	4,200.12 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/18/21	48.000	8,159.85	Various	13,080.00	4,920.15 W	0.00	Total of 2 transactions
5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	2.000	289.99	10/22/21	720.01	430.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	7.000	1,014.98	10/22/21	2,135.02	1,120.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	8.000	1,159.97	10/22/21	2,440.02	1,280.05 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	8.000	1,159.98	10/22/21	2,320.03	1,160.05 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	25.000	3,624.93	10/22/21	7,625.08	4,000.15 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/22/21	50.000	7,249.85	Various	15,240.16	7,990.31 W	0.00	Total of 5 transactions
11/03/21	2.000	459.98	11/03/21	750.01	290.03 W	0.00	Option sale to close-call <sup>25</sup>
16 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	249.99	11/03/21	350.01	100.02 W	0.00	1 of 16 - Option sale to close-call <sup>25</sup>
	1.000	249.99	11/03/21	375.01	125.02 W	0.00	2 of 16 - Option sale to close-call <sup>25</sup>
	1.000	250.00	11/03/21	355.01	105.01 W	0.00	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	250.00	11/03/21	360.01	110.01 W	0.00	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	250.00	11/03/21	360.01	110.01 W	0.00	5 of 16 - Option sale to close-call <sup>25</sup>
	1.000	250.00	11/03/21	375.01	125.01 W	0.00	6 of 16 - Option sale to close-call <sup>25</sup>
	2.000	499.99	11/03/21	720.03	220.04 W	0.00	7 of 16 - Option sale to close-call <sup>25</sup>
	2.000	499.99	11/03/21	750.01	250.02 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	2.000	499.99	11/03/21	750.01	250.02 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCXI 11/19/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	2.000	499.99	11/03/21	860.02	360.03 W	0.00	10 of 16 - Option sale to close-call <sub>25</sub>
	3.000	749.99	11/03/21	1,125.02	375.03 W	0.00	11 of 16 - Option sale to close-call <sub>25</sub>
	3.000	749.99	11/03/21	1,125.02	375.03 W	0.00	12 of 16 - Option sale to close-call <sub>25</sub>
	6.000	1,499.98	11/03/21	2,250.03	750.05 W	0.00	13 of 16 - Option sale to close-call <sub>25</sub>
	6.000	1,499.98	11/03/21	2,250.04	750.06 W	0.00	14 of 16 - Option sale to close-call <sub>25</sub>
	7.000	1,749.98	11/03/21	2,520.04	770.06 W	0.00	15 of 16 - Option sale to close-call <sub>25</sub>
	12.000	2,999.96	11/03/21	4,500.07	1,500.11 W	0.00	16 of 16 - Option sale to close-call <sub>25</sub>
11/05/21	51.000	12,749.82	Various	19,025.35	6,275.53 W	0.00	Total of 16 transactions
	18 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	12.000	2,399.96	11/05/21	5,340.11	...	-2,940.15	1 of 18 - Option sale to close-call <sub>25</sub>
	7.000	1,399.98	11/05/21	3,010.06	...	-1,610.08	2 of 18 - Option sale to close-call <sub>25</sub>
	6.000	1,199.98	11/05/21	2,670.06	...	-1,470.08	3 of 18 - Option sale to close-call <sub>25</sub>
	3.000	599.99	11/05/21	1,335.03	...	-735.04	4 of 18 - Option sale to close-call <sub>25</sub>
	3.000	599.99	11/05/21	1,335.02	...	-735.03	5 of 18 - Option sale to close-call <sub>25</sub>
	2.000	399.99	11/05/21	1,000.03	...	-600.04	6 of 18 - Option sale to close-call <sub>25</sub>
	2.000	399.99	11/05/21	890.02	...	-490.03	7 of 18 - Option sale to close-call <sub>25</sub>
	2.000	399.99	11/05/21	890.02	...	-490.03	8 of 18 - Option sale to close-call <sub>25</sub>
	2.000	399.99	11/05/21	890.02	...	-490.03	9 of 18 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CCXI 11/19/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	2.000	399.99	11/05/21	860.04	...	-460.05	10 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	445.02	...	-245.02	11 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	445.01	...	-245.01	12 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	445.01	...	-245.01	13 of 18 - Option sale to close-call <sup>25</sup>
	2.000	399.99	11/05/21	640.00	...	-240.01	14 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	430.01	...	-230.01	15 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	430.01	...	-230.01	16 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	425.01	...	-225.01	17 of 18 - Option sale to close-call <sup>25</sup>
	1.000	200.00	11/05/21	420.02	...	-220.02	18 of 18 - Option sale to close-call <sup>25</sup>
11/09/21	50.000	9,999.84	Various	21,900.50	...	-11,900.66	Total of 18 transactions
	<b>Security total:</b>	<b>38,619.34</b>		<b>69,996.02</b>	<b>19,476.02 W</b>	<b>-11,900.66</b>	
CHPT 10/01/2021 CALL \$22.00 / CUSIP: / Symbol:							
9 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	23.00	09/28/21	22.00	...	1.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	46.00	09/28/21	44.00	...	2.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	28.98	09/28/21	22.00	...	6.98	3 of 9 - Option sale to close-call <sup>25</sup>
	4.000	115.98	09/28/21	88.00	...	27.98	4 of 9 - Option sale to close-call <sup>25</sup>
	5.000	144.98	09/28/21	110.00	...	34.98	5 of 9 - Option sale to close-call <sup>25</sup>
	5.000	144.98	09/28/21	110.00	...	34.98	6 of 9 - Option sale to close-call <sup>25</sup>
	5.000	144.98	09/28/21	110.00	...	34.98	7 of 9 - Option sale to close-call <sup>25</sup>
	72.000	1,655.84	09/28/21	1,584.00	...	71.84	8 of 9 - Option sale to close-call <sup>25</sup>
	55.000	1,594.88	09/28/21	1,210.00	...	384.88	9 of 9 - Option sale to close-call <sup>25</sup>
09/29/21	150.000	3,899.62	Various	3,300.00	...	599.62	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHPT 10/15/2021 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	37.000	3,736.91	10/11/21	2,553.00	...	1,183.91	1 of 2 - Option sale to close-call <sup>25</sup>
	38.000	3,989.89	10/11/21	2,622.00	...	1,367.89	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/21	75.000	7,726.80	Various	5,175.00	...	2,551.80	Total of 2 transactions
CLSK 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
05/21/21	37.000	4,624.90	05/20/21	4,255.00	...	369.90	Option sale to close-call <sup>25</sup>
CLSK 05/21/2021 CALL \$17.50 / CUSIP: / Symbol:							
6 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	3.000	899.99	05/07/21	885.00	...	14.99	1 of 6 - Option sale to close-call <sup>25</sup>
	10.000	2,999.96	05/07/21	2,950.00	...	49.96	2 of 6 - Option sale to close-call <sup>25</sup>
	12.000	3,599.96	05/07/21	3,540.00	...	59.96	3 of 6 - Option sale to close-call <sup>25</sup>
	18.000	5,615.94	05/07/21	5,310.00	...	305.94	4 of 6 - Option sale to close-call <sup>25</sup>
	7.000	2,183.97	05/07/21	1,820.00	...	363.97	5 of 6 - Option sale to close-call <sup>25</sup>
	7.000	2,239.97	05/07/21	1,820.00	...	419.97	6 of 6 - Option sale to close-call <sup>25</sup>
05/07/21	57.000	17,539.79	Various	16,325.00	...	1,214.79	Total of 6 transactions
CODX 11/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
28 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	135.00	11/11/21	125.00	...	10.00	1 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	125.00	...	10.00	2 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	120.00	...	15.00	3 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	120.00	...	15.00	4 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	120.00	...	15.00	5 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	120.00	...	15.00	6 of 28 - Option sale to close-call <sup>25</sup>
	1.000	135.00	11/11/21	120.00	...	15.00	7 of 28 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CODX 11/19/2021 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	135.00	11/11/21	120.00	...	15.00	8 of 28 - Option sale to close-call <sub>25</sub>
	1.000	135.00	11/11/21	120.00	...	15.00	9 of 28 - Option sale to close-call <sub>25</sub>
	1.000	135.00	11/11/21	120.00	...	15.00	10 of 28 - Option sale to close-call <sub>25</sub>
	1.000	140.00	11/11/21	125.00	...	15.00	11 of 28 - Option sale to close-call <sub>25</sub>
	1.000	144.99	11/11/21	125.00	...	19.99	12 of 28 - Option sale to close-call <sub>25</sub>
	1.000	145.00	11/11/21	125.00	...	20.00	13 of 28 - Option sale to close-call <sub>25</sub>
	2.000	269.99	11/11/21	240.00	...	29.99	14 of 28 - Option sale to close-call <sub>25</sub>
	4.000	539.99	11/11/21	500.00	...	39.99	15 of 28 - Option sale to close-call <sub>25</sub>
	3.000	419.99	11/11/21	375.00	...	44.99	16 of 28 - Option sale to close-call <sub>25</sub>
	5.000	674.98	11/11/21	625.00	...	49.98	17 of 28 - Option sale to close-call <sub>25</sub>
	5.000	674.98	11/11/21	625.00	...	49.98	18 of 28 - Option sale to close-call <sub>25</sub>
	6.000	809.98	11/11/21	750.00	...	59.98	19 of 28 - Option sale to close-call <sub>25</sub>
	4.000	539.99	11/11/21	480.00	...	59.99	20 of 28 - Option sale to close-call <sub>25</sub>
	9.000	1,214.97	11/11/21	1,125.00	...	89.97	21 of 28 - Option sale to close-call <sub>25</sub>
	6.000	809.98	11/11/21	720.00	...	89.98	22 of 28 - Option sale to close-call <sub>25</sub>
	8.000	1,079.98	11/11/21	960.00	...	119.98	23 of 28 - Option sale to close-call <sub>25</sub>
	7.000	1,014.98	11/11/21	875.00	...	139.98	24 of 28 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CODX 11/19/2021 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	16.000	2,159.94	11/11/21	2,000.00	...	159.94	25 of 28 - Option sale to close-call <sup>25</sup>
	21.000	2,939.94	11/11/21	2,625.00	...	314.94	26 of 28 - Option sale to close-call <sup>25</sup>
	16.000	2,319.96	11/11/21	2,000.00	...	319.96	27 of 28 - Option sale to close-call <sup>25</sup>
	25.000	3,624.93	11/11/21	3,125.00	...	499.93	28 of 28 - Option sale to close-call <sup>25</sup>
11/12/21	150.000	20,874.57	Various	18,610.00	...	2,264.57	Total of 28 transactions
CODX 12/10/2021 CALL \$9.00 / CUSIP: / Symbol:							
7 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	2.000	19.99	12/02/21	90.01	...	-70.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	9.99	12/02/21	50.01	...	-40.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	9.99	12/02/21	50.00	...	-40.01	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	10.00	12/02/21	50.01	...	-40.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	10.00	12/02/21	50.00	...	-40.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	10.00	12/02/21	50.00	...	-40.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	10.00	12/02/21	50.00	...	-40.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/07/21	8.000	79.97	Various	390.03	...	-310.06	Total of 7 transactions
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	6.000	59.98	12/02/21	180.00	60.01 W	-60.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	49.98	12/02/21	150.00	100.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	11.000	109.96	Various	330.00	160.03 W	-60.01	Total of 2 transactions
	<b>Security total:</b>	<b>189.93</b>		<b>720.03</b>	<b>160.03 W</b>	<b>-370.07</b>	
CODX 12/31/2021 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	4.000	363.98	12/27/21	112.00	...	251.98	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	363.98	12/27/21	112.00	...	251.98	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	371.99	12/27/21	112.00	...	259.99	3 of 5 - Option sale to close-call <sup>25</sup>
	16.000	1,487.96	12/27/21	448.00	...	1,039.96	4 of 5 - Option sale to close-call <sup>25</sup>
	20.000	1,859.95	12/27/21	560.00	...	1,299.95	5 of 5 - Option sale to close-call <sup>25</sup>
12/28/21	48.000	4,447.86	Various	1,344.00	...	3,103.86	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 09/24/2021 CALL \$267.50 / CUSIP: / Symbol:							
6 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	1,349.98	09/23/21	500.00	...	849.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,349.98	09/23/21	500.00	...	849.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,349.98	09/23/21	500.00	...	849.98	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	3,199.97	09/23/21	1,000.00	...	2,199.97	4 of 6 - Option sale to close-call <sup>25</sup>
	5.000	5,499.96	09/23/21	1,235.00	...	4,264.96	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	5,999.95	09/23/21	1,235.00	...	4,764.95	6 of 6 - Option sale to close-call <sup>25</sup>
09/24/21	15.000	18,749.82	Various	4,970.00	...	13,779.82	Total of 6 transactions
CROX 11/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	3.000	1,829.98	10/21/21	1,950.02	...	-120.04	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,219.99	10/21/21	1,180.01	...	39.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,219.99	10/21/21	1,120.01	...	99.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/22/21	7.000	4,269.96	Various	4,250.04	...	19.92	Total of 3 transactions
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	3.000	1,919.98	10/21/21	1,950.00	20.01 W	-10.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,279.99	10/21/21	1,340.00	60.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,919.98	10/21/21	2,190.00	270.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/22/21	8.000	5,119.95	Various	5,480.00	350.04 W	-10.01	Total of 3 transactions
	<b>Security total:</b>	<b>9,389.91</b>		<b>9,730.04</b>	<b>350.04 W</b>	<b>9.91</b>	
DKNG 11/05/2021 CALL \$47.00 / CUSIP: / Symbol:							
12 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	55.000	8,359.84	10/29/21	8,635.00	...	-275.16	1 of 12 - Option sale to close-call <sup>25</sup>
	22.000	3,343.94	10/29/21	3,454.00	...	-110.06	2 of 12 - Option sale to close-call <sup>25</sup>
	20.000	3,039.94	10/29/21	3,140.00	...	-100.06	3 of 12 - Option sale to close-call <sup>25</sup>
	19.000	2,887.94	10/29/21	2,983.00	...	-95.06	4 of 12 - Option sale to close-call <sup>25</sup>
	18.000	2,735.94	10/29/21	2,826.00	...	-90.06	5 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 11/05/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	14.000	2,127.95	10/29/21	2,198.00	...	-70.05	6 of 12 - Option sale to close-call <sup>25</sup>
	13.000	1,975.95	10/29/21	2,041.00	...	-65.05	7 of 12 - Option sale to close-call <sup>25</sup>
	8.000	1,215.97	10/29/21	1,256.00	...	-40.03	8 of 12 - Option sale to close-call <sup>25</sup>
	4.000	607.98	10/29/21	628.00	...	-20.02	9 of 12 - Option sale to close-call <sup>25</sup>
	3.000	455.98	10/29/21	471.00	...	-15.02	10 of 12 - Option sale to close-call <sup>25</sup>
	2.000	303.98	10/29/21	314.00	...	-10.02	11 of 12 - Option sale to close-call <sup>25</sup>
	2.000	303.98	10/29/21	314.00	...	-10.02	12 of 12 - Option sale to close-call <sup>25</sup>
10/29/21	180.000	27,359.39	Various	28,260.00	...	-900.61	Total of 12 transactions
DKNG 12/10/2021 PUT \$32.00 / CUSIP: / Symbol:							
17 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	42.98	12/08/21	24.00	...	18.98	1 of 17 - Option sale to close-put <sup>25</sup>
	1.000	43.98	12/08/21	24.00	...	19.98	2 of 17 - Option sale to close-put <sup>25</sup>
	2.000	85.98	12/08/21	48.00	...	37.98	3 of 17 - Option sale to close-put <sup>25</sup>
	2.000	85.98	12/08/21	48.00	...	37.98	4 of 17 - Option sale to close-put <sup>25</sup>
	2.000	85.98	12/08/21	48.00	...	37.98	5 of 17 - Option sale to close-put <sup>25</sup>
	2.000	85.98	12/08/21	48.00	...	37.98	6 of 17 - Option sale to close-put <sup>25</sup>
	2.000	85.99	12/08/21	48.00	...	37.99	7 of 17 - Option sale to close-put <sup>25</sup>
	2.000	87.98	12/08/21	48.00	...	39.98	8 of 17 - Option sale to close-put <sup>25</sup>
	2.000	87.98	12/08/21	48.00	...	39.98	9 of 17 - Option sale to close-put <sup>25</sup>
	4.000	171.98	12/08/21	96.00	...	75.98	10 of 17 - Option sale to close-put <sup>25</sup>
	5.000	214.98	12/08/21	120.00	...	94.98	11 of 17 - Option sale to close-put <sup>25</sup>
	5.000	219.98	12/08/21	120.00	...	99.98	12 of 17 - Option sale to close-put <sup>25</sup>
	8.000	343.97	12/08/21	192.00	...	151.97	13 of 17 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 12/10/2021 PUT \$32.00 / CUSIP: / Symbol: (cont'd)							
	10.000	429.98	12/08/21	240.00	...	189.98	14 of 17 - Option sale to close-put <sup>25</sup>
	14.000	601.96	12/08/21	336.00	...	265.96	15 of 17 - Option sale to close-put <sup>25</sup>
	15.000	644.96	12/08/21	360.00	...	284.96	16 of 17 - Option sale to close-put <sup>25</sup>
	18.000	773.96	12/08/21	432.00	...	341.96	17 of 17 - Option sale to close-put <sup>25</sup>
12/09/21	95.000	4,094.60	Various	2,280.00	...	1,814.60	Total of 17 transactions
	8 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	1.000	131.00	12/09/21	33.00	...	98.00	1 of 8 - Option sale to close-put <sup>25</sup>
	7.000	419.98	12/09/21	189.00	...	230.98	2 of 8 - Option sale to close-put <sup>25</sup>
	10.000	1,169.97	12/09/21	270.00	...	899.97	3 of 8 - Option sale to close-put <sup>25</sup>
	20.000	1,679.95	12/09/21	540.00	...	1,139.95	4 of 8 - Option sale to close-put <sup>25</sup>
	12.000	1,571.96	12/09/21	396.00	...	1,175.96	5 of 8 - Option sale to close-put <sup>25</sup>
	12.000	1,571.97	12/09/21	396.00	...	1,175.97	6 of 8 - Option sale to close-put <sup>25</sup>
	15.000	1,754.96	12/09/21	495.00	...	1,259.96	7 of 8 - Option sale to close-put <sup>25</sup>
	63.000	3,779.85	12/09/21	1,701.00	...	2,078.85	8 of 8 - Option sale to close-put <sup>25</sup>
12/10/21	140.000	12,079.64	Various	4,020.00	...	8,059.64	Total of 8 transactions
	<b>Security total:</b>	<b>16,174.24</b>		<b>6,300.00</b>	...	<b>9,874.24</b>	
DVAX 12/17/2021 CALL \$13.00 / CUSIP: / Symbol:							
	9 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	5.000	649.98	12/16/21	875.00	...	-225.02	1 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	2 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	3 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	4 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	5 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	6 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	7 of 9 - Option sale to close-call <sup>25</sup>
	5.000	649.98	12/16/21	875.00	...	-225.02	8 of 9 - Option sale to close-call <sup>25</sup>
	4.000	519.98	12/16/21	700.00	...	-180.02	9 of 9 - Option sale to close-call <sup>25</sup>
12/17/21	44.000	5,719.82	Various	7,700.00	...	-1,980.18	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBON 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	5.000	304.98	03/12/21	700.00	...	-395.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	121.99	03/12/21	280.00	...	-158.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	182.99	03/17/21	483.02	...	-300.03	3 of 3 - Option sale to close-call <sup>25</sup>
03/19/21	10.000	609.96	Various	1,463.02	...	-853.06	Total of 3 transactions
03/19/21	3.000	182.98	03/12/21	420.00	237.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>792.94</b>		<b>1,883.02</b>	<b>237.02 W</b>	<b>-853.06</b>	

EBON 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:

3 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	4.000	379.98	03/23/21	508.00	...	-128.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.98	03/23/21	127.00	...	-32.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	97.98	03/23/21	127.00	...	-29.02	3 of 3 - Option sale to close-call <sup>25</sup>
03/26/21	6.000	572.94	Various	762.00	...	-189.06	Total of 3 transactions

EBON 06/18/2021 CALL \$2.50 / CUSIP: / Symbol:

14 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	34.000	3,399.91	06/01/21	3,570.00	...	-170.09	1 of 14 - Option sale to close-call <sup>25</sup>
	8.000	799.98	06/01/21	960.07	...	-160.09	2 of 14 - Option sale to close-call <sup>25</sup>
	8.000	807.98	06/01/21	952.04	...	-144.06	3 of 14 - Option sale to close-call <sup>25</sup>
	12.000	1,199.97	06/01/21	1,320.04	...	-120.07	4 of 14 - Option sale to close-call <sup>25</sup>
	4.000	399.99	06/01/21	480.04	...	-80.05	5 of 14 - Option sale to close-call <sup>25</sup>
	2.000	199.99	06/01/21	240.04	...	-40.05	6 of 14 - Option sale to close-call <sup>25</sup>
	2.000	199.99	06/01/21	240.03	...	-40.04	7 of 14 - Option sale to close-call <sup>25</sup>
	2.000	201.99	06/01/21	228.01	...	-26.02	8 of 14 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBON 06/18/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	1.000	100.00	06/01/21	120.02	...	-20.02	9 of 14 - Option sale to close-call 25
	1.000	100.00	06/01/21	120.00	...	-20.00	10 of 14 - Option sale to close-call 25
	1.000	100.00	06/01/21	115.02	...	-15.02	11 of 14 - Option sale to close-call 25
	1.000	100.00	06/01/21	76.00	...	24.00	12 of 14 - Option sale to close-call 25
	8.000	799.98	06/01/21	608.00	...	191.98	13 of 14 - Option sale to close-call 25
	11.000	1,099.97	06/01/21	836.00	...	263.97	14 of 14 - Option sale to close-call 25
06/01/21	95.000	9,509.75	Various	9,865.31	...	-355.56	Total of 14 transactions
	19 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	23.000	2,299.94	06/01/21	2,530.06	100.05 W	-130.07	1 of 19 - Option sale to close-call 25
	4.000	399.98	06/01/21	420.00	15.02 W	-5.00	2 of 19 - Option sale to close-call 25
	1.000	99.98	06/01/21	110.00	10.02 W	0.00	3 of 19 - Option sale to close-call 25
	1.000	99.99	06/01/21	110.01	10.02 W	0.00	4 of 19 - Option sale to close-call 25
	1.000	100.00	06/01/21	105.00	5.00 W	0.00	5 of 19 - Option sale to close-call 25
	1.000	100.00	06/01/21	110.00	10.00 W	0.00	6 of 19 - Option sale to close-call 25
	1.000	100.00	06/01/21	115.00	15.00 W	0.00	7 of 19 - Option sale to close-call 25
	1.000	100.00	06/01/21	115.02	15.02 W	0.00	8 of 19 - Option sale to close-call 25
	2.000	199.98	06/01/21	210.00	10.02 W	0.00	9 of 19 - Option sale to close-call 25
	2.000	199.99	06/01/21	220.01	20.02 W	0.00	10 of 19 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBON 06/18/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	2.000	199.99	06/01/21	220.02	20.03 W	0.00	11 of 19 - Option sale to close-call <sup>25</sup>
	2.000	199.99	06/01/21	230.02	30.03 W	0.00	12 of 19 - Option sale to close-call <sup>25</sup>
	2.000	199.99	06/01/21	230.03	30.04 W	0.00	13 of 19 - Option sale to close-call <sup>25</sup>
	4.000	399.98	06/01/21	460.02	60.04 W	0.00	14 of 19 - Option sale to close-call <sup>25</sup>
	8.000	799.97	06/01/21	920.04	120.07 W	0.00	15 of 19 - Option sale to close-call <sup>25</sup>
	12.000	1,199.96	06/01/21	1,260.00	60.04 W	0.00	16 of 19 - Option sale to close-call <sup>25</sup>
	12.000	1,199.97	06/01/21	1,260.00	60.03 W	0.00	17 of 19 - Option sale to close-call <sup>25</sup>
	12.000	1,199.97	06/01/21	1,320.03	120.06 W	0.00	18 of 19 - Option sale to close-call <sup>25</sup>
	24.000	2,399.94	06/01/21	2,520.00	120.06 W	0.00	19 of 19 - Option sale to close-call <sup>25</sup>
06/01/21	115.000	11,499.62	Various	12,465.26	830.57 W	-135.07	Total of 19 transactions
	<b>Security total:</b>	<b>21,009.37</b>		<b>22,330.57</b>	<b>830.57 W</b>	<b>-490.63</b>	
EBON 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
5 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	20.000	639.95	06/01/21	640.00	...	-0.05	1 of 5 - Option sale to close-call <sup>25</sup>
	8.000	255.98	06/01/21	256.00	...	-0.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/01/21	32.00	...	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/01/21	32.00	...	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	32.00	06/01/21	32.00	...	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/01/21	31.000	991.93	Various	992.00	...	-0.07	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EH 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	424.99	05/25/21	210.00	...	214.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	849.99	05/25/21	420.00	...	429.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/21	3.000	1,274.98	Various	630.00	...	644.98	Total of 2 transactions
20 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	398.00	05/25/21	310.00	...	88.00	1 of 20 - Option sale to close-call <sup>25</sup>
	1.000	398.00	05/25/21	300.00	...	98.00	2 of 20 - Option sale to close-call <sup>25</sup>
	1.000	499.98	05/25/21	245.00	...	254.98	3 of 20 - Option sale to close-call <sup>25</sup>
	1.000	499.98	05/25/21	210.00	...	289.98	4 of 20 - Option sale to close-call <sup>25</sup>
	1.000	499.98	05/25/21	210.00	...	289.98	5 of 20 - Option sale to close-call <sup>25</sup>
	1.000	499.99	05/25/21	210.00	...	289.99	6 of 20 - Option sale to close-call <sup>25</sup>
	1.000	499.99	05/25/21	210.00	...	289.99	7 of 20 - Option sale to close-call <sup>25</sup>
	1.000	500.00	05/25/21	210.00	...	290.00	8 of 20 - Option sale to close-call <sup>25</sup>
	1.000	500.00	05/25/21	210.00	...	290.00	9 of 20 - Option sale to close-call <sup>25</sup>
	5.000	1,989.98	05/25/21	1,550.00	...	439.98	10 of 20 - Option sale to close-call <sup>25</sup>
	4.000	1,591.98	05/25/21	1,060.00	...	531.98	11 of 20 - Option sale to close-call <sup>25</sup>
	2.000	999.99	05/25/21	420.00	...	579.99	12 of 20 - Option sale to close-call <sup>25</sup>
	5.000	1,989.98	05/25/21	1,250.00	...	739.98	13 of 20 - Option sale to close-call <sup>25</sup>
	4.000	1,591.98	05/25/21	840.00	...	751.98	14 of 20 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EH 06/18/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	5.000	1,989.98	05/25/21	1,225.00	...	764.98	15 of 20 - Option sale to close-call <sup>25</sup>
	5.000	2,499.97	05/25/21	1,225.00	...	1,274.97	16 of 20 - Option sale to close-call <sup>25</sup>
	21.000	8,357.91	05/25/21	6,279.00	...	2,078.91	17 of 20 - Option sale to close-call <sup>25</sup>
	9.000	4,508.95	05/25/21	2,205.00	...	2,303.95	18 of 20 - Option sale to close-call <sup>25</sup>
	8.000	3,999.96	05/25/21	1,680.00	...	2,319.96	19 of 20 - Option sale to close-call <sup>25</sup>
	9.000	4,499.95	05/25/21	1,890.00	...	2,609.95	20 of 20 - Option sale to close-call <sup>25</sup>
05/28/21	86.000	38,316.55	Various	21,739.00	...	16,577.55	Total of 20 transactions
	<b>Security total:</b>	<b>39,591.53</b>		<b>22,369.00</b>	...	<b>17,222.53</b>	
ENTX 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
	3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	3.000	107.99	09/16/21	105.00	...	2.99	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	184.98	09/16/21	140.00	...	44.98	2 of 3 - Option sale to close-call <sup>25</sup>
	45.000	1,619.90	09/16/21	1,260.00	...	359.90	3 of 3 - Option sale to close-call <sup>25</sup>
09/17/21	53.000	1,912.87	Various	1,505.00	...	407.87	Total of 3 transactions
EXPR 10/22/2021 CALL \$4.50 / CUSIP: / Symbol:							
	2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	100.000	0.00	10/21/21	1,000.00	...	-1,000.00	1 of 2 - Option expiration- long call
	100.000	0.00	10/21/21	1,000.00	...	-1,000.00	2 of 2 - Option expiration- long call
10/22/21	200.000	0.00	Various	2,000.00	...	-2,000.00	Total of 2 transactions
EXPR 11/05/2021 CALL \$4.00 / CUSIP: / Symbol:							
	9 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	1.000	75.00	11/02/21	35.00	...	40.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	75.00	11/02/21	35.00	...	40.00	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	149.99	11/02/21	70.00	...	79.99	3 of 9 - Option sale to close-call <sup>25</sup>
	3.000	224.99	11/02/21	105.00	...	119.99	4 of 9 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPR 11/05/2021 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	8.000	599.98	11/02/21	280.00	...	319.98	5 of 9 - Option sale to close-call <sup>25</sup>
	10.000	749.98	11/02/21	350.00	...	399.98	6 of 9 - Option sale to close-call <sup>25</sup>
	40.000	2,999.90	11/02/21	1,400.00	...	1,599.90	7 of 9 - Option sale to close-call <sup>25</sup>
	50.000	3,499.88	11/02/21	1,750.00	...	1,749.88	8 of 9 - Option sale to close-call <sup>25</sup>
	100.000	8,499.75	11/02/21	3,500.00	...	4,999.75	9 of 9 - Option sale to close-call <sup>25</sup>
11/03/21	215.000	16,874.47	Various	7,525.00	...	9,349.47	Total of 9 transactions
F 05/21/2021 CALL \$13.00 / CUSIP: / Symbol:							
30 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	34.000	1,053.92	05/21/21	1,054.08	...	-0.16	1 of 30 - Option sale to close-call <sup>25</sup>
	20.000	619.95	05/21/21	620.05	...	-0.10	2 of 30 - Option sale to close-call <sup>25</sup>
	17.000	526.96	05/21/21	527.04	...	-0.08	3 of 30 - Option sale to close-call <sup>25</sup>
	5.000	154.98	05/21/21	155.03	...	-0.05	4 of 30 - Option sale to close-call <sup>25</sup>
	7.000	216.98	05/21/21	217.02	...	-0.04	5 of 30 - Option sale to close-call <sup>25</sup>
	5.000	154.99	05/21/21	155.01	...	-0.02	6 of 30 - Option sale to close-call <sup>25</sup>
	1.000	31.00	05/21/21	31.01	...	-0.01	7 of 30 - Option sale to close-call <sup>25</sup>
	1.000	31.00	05/21/21	31.00	...	0.00	8 of 30 - Option sale to close-call <sup>25</sup>
	1.000	31.00	05/21/21	31.00	...	0.00	9 of 30 - Option sale to close-call <sup>25</sup>
	1.000	31.00	05/21/21	31.00	...	0.00	10 of 30 - Option sale to close-call <sup>25</sup>
	2.000	62.00	05/21/21	62.00	...	0.00	11 of 30 - Option sale to close-call <sup>25</sup>
	2.000	62.00	05/21/21	62.00	...	0.00	12 of 30 - Option sale to close-call <sup>25</sup>
	1.000	33.98	05/21/21	31.00	...	2.98	13 of 30 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 05/21/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.00	05/21/21	8.00	...	6.00	14 of 30 - Option sale to close-call <sub>25</sub>
	1.000	14.00	05/21/21	8.00	...	6.00	15 of 30 - Option sale to close-call <sub>25</sub>
	1.000	14.00	05/21/21	8.00	...	6.00	16 of 30 - Option sale to close-call <sub>25</sub>
	1.000	14.00	05/21/21	8.00	...	6.00	17 of 30 - Option sale to close-call <sub>25</sub>
	5.000	69.99	05/21/21	40.00	...	29.99	18 of 30 - Option sale to close-call <sub>25</sub>
	8.000	111.98	05/21/21	64.00	...	47.98	19 of 30 - Option sale to close-call <sub>25</sub>
	9.000	125.98	05/21/21	72.00	...	53.98	20 of 30 - Option sale to close-call <sub>25</sub>
	12.000	167.97	05/21/21	96.00	...	71.97	21 of 30 - Option sale to close-call <sub>25</sub>
	15.000	209.97	05/21/21	120.00	...	89.97	22 of 30 - Option sale to close-call <sub>25</sub>
	15.000	209.97	05/21/21	120.00	...	89.97	23 of 30 - Option sale to close-call <sub>25</sub>
	25.000	774.95	05/21/21	675.00	...	99.95	24 of 30 - Option sale to close-call <sub>25</sub>
	75.000	2,324.84	05/21/21	2,025.00	...	299.84	25 of 30 - Option sale to close-call <sub>25</sub>
	89.000	1,245.81	05/21/21	712.00	...	533.81	26 of 30 - Option sale to close-call <sub>25</sub>
	93.000	1,301.81	05/21/21	744.00	...	557.81	27 of 30 - Option sale to close-call <sub>25</sub>
	50.000	1,849.89	05/21/21	400.00	...	1,449.89	28 of 30 - Option sale to close-call <sub>25</sub>
	100.000	3,099.78	05/21/21	800.00	...	2,299.78	29 of 30 - Option sale to close-call <sub>25</sub>
	100.000	3,399.78	05/21/21	800.00	...	2,599.78	30 of 30 - Option sale to close-call <sub>25</sub>
05/21/21	697.000	17,958.48	Various	9,707.24	...	8,251.24	Total of 30 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 05/21/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
7 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	5.000	154.99	05/21/21	155.01	0.01 W	-0.01	1 of 7 - Option sale to close-call <sup>25</sup>
	5.000	154.98	05/21/21	155.01	0.03 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	5.000	154.99	05/21/21	155.00	0.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	5.000	154.99	05/21/21	155.00	0.01 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	5.000	154.99	05/21/21	155.00	0.01 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	20.000	619.95	05/21/21	620.00	0.05 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	58.000	1,797.86	05/21/21	1,798.00	0.14 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
05/21/21	103.000	3,192.75	Various	3,193.02	0.26 W	-0.01	Total of 7 transactions
	<b>Security total:</b>	<b>21,151.23</b>		<b>12,900.26</b>	<b>0.26 W</b>	<b>8,251.23</b>	

F 05/28/2021 CALL \$13.50 / CUSIP: / Symbol:

25 transactions for 05/21/21. Total proceeds and cost reported to the IRS.

	4.000	131.99	05/21/21	132.00	...	-0.01	1 of 25 - Option sale to close-call <sup>25</sup>
	1.000	33.00	05/21/21	33.00	...	0.00	2 of 25 - Option sale to close-call <sup>25</sup>
	1.000	34.00	05/21/21	33.00	...	1.00	3 of 25 - Option sale to close-call <sup>25</sup>
	4.000	135.99	05/21/21	132.05	...	3.94	4 of 25 - Option sale to close-call <sup>25</sup>
	6.000	203.99	05/21/21	198.06	...	5.93	5 of 25 - Option sale to close-call <sup>25</sup>
	3.000	104.99	05/21/21	99.00	...	5.99	6 of 25 - Option sale to close-call <sup>25</sup>
	8.000	271.98	05/21/21	264.04	...	7.94	7 of 25 - Option sale to close-call <sup>25</sup>
	4.000	139.99	05/21/21	132.00	...	7.99	8 of 25 - Option sale to close-call <sup>25</sup>
	5.000	174.99	05/21/21	165.00	...	9.99	9 of 25 - Option sale to close-call <sup>25</sup>
	11.000	373.98	05/21/21	363.06	...	10.92	10 of 25 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 05/28/2021 CALL \$13.50 / CUSIP: / Symbol: (cont'd)							
	11.000	373.98	05/21/21	363.00	...	10.98	11 of 25 - Option sale to close-call <sub>25</sub>
	12.000	407.97	05/21/21	396.00	...	11.97	12 of 25 - Option sale to close-call <sub>25</sub>
	6.000	209.98	05/21/21	198.00	...	11.98	13 of 25 - Option sale to close-call <sub>25</sub>
	8.000	279.98	05/21/21	264.00	...	15.98	14 of 25 - Option sale to close-call <sub>25</sub>
	8.000	279.98	05/21/21	264.00	...	15.98	15 of 25 - Option sale to close-call <sub>25</sub>
	9.000	314.97	05/21/21	297.00	...	17.97	16 of 25 - Option sale to close-call <sub>25</sub>
	19.000	645.96	05/21/21	627.04	...	18.92	17 of 25 - Option sale to close-call <sub>25</sub>
	11.000	384.97	05/21/21	363.00	...	21.97	18 of 25 - Option sale to close-call <sub>25</sub>
	12.000	419.97	05/21/21	396.00	...	23.97	19 of 25 - Option sale to close-call <sub>25</sub>
	12.000	419.97	05/21/21	396.00	...	23.97	20 of 25 - Option sale to close-call <sub>25</sub>
	29.000	985.93	05/21/21	957.00	...	28.93	21 of 25 - Option sale to close-call <sub>25</sub>
	15.000	524.97	05/21/21	495.00	...	29.97	22 of 25 - Option sale to close-call <sub>25</sub>
	16.000	559.97	05/21/21	528.00	...	31.97	23 of 25 - Option sale to close-call <sub>25</sub>
	17.000	594.96	05/21/21	561.00	...	33.96	24 of 25 - Option sale to close-call <sub>25</sub>
	99.000	3,365.78	05/21/21	3,267.00	...	98.78	25 of 25 - Option sale to close-call <sub>25</sub>
05/21/21	331.000	11,374.24	Various	10,923.25	...	450.99	Total of 25 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 05/28/2021 CALL \$13.50 / CUSIP: / Symbol: (cont'd)							
<i>11 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	18.000	593.96	05/21/21	594.00	0.03 W	-0.01	1 of 11 - Option sale to close-call 25
	4.000	131.99	05/21/21	132.02	0.03 W	0.00	2 of 11 - Option sale to close-call 25
	4.000	131.99	05/21/21	132.03	0.04 W	0.00	3 of 11 - Option sale to close-call 25
	4.000	131.99	05/21/21	132.04	0.05 W	0.00	4 of 11 - Option sale to close-call 25
	5.000	164.98	05/21/21	165.00	0.02 W	0.00	5 of 11 - Option sale to close-call 25
	6.000	197.98	05/21/21	198.00	0.02 W	0.00	6 of 11 - Option sale to close-call 25
	6.000	197.98	05/21/21	198.02	0.04 W	0.00	7 of 11 - Option sale to close-call 25
	6.000	197.98	05/21/21	198.04	0.06 W	0.00	8 of 11 - Option sale to close-call 25
	8.000	263.98	05/21/21	264.02	0.04 W	0.00	9 of 11 - Option sale to close-call 25
	11.000	362.97	05/21/21	363.03	0.06 W	0.00	10 of 11 - Option sale to close-call 25
	27.000	890.94	05/21/21	891.00	0.06 W	0.00	11 of 11 - Option sale to close-call 25
05/21/21	99.000	3,266.74	Various	3,267.20	0.45 W	-0.01	Total of 11 transactions
	<b>Security total:</b>	<b>14,640.98</b>		<b>14,190.45</b>	<b>0.45 W</b>	<b>450.98</b>	

F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol:

*37 transactions for 09/29/21. Total proceeds and cost reported to the IRS.*

	24.000	287.94	09/28/21	576.06	...	-288.12	1 of 37 - Option sale to close-call 25
	26.000	311.94	09/28/21	520.06	...	-208.12	2 of 37 - Option sale to close-call 25
	15.000	179.97	09/28/21	300.03	...	-120.06	3 of 37 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	4.000	47.99	09/28/21	168.03	...	-120.04	4 of 37 - Option sale to close-call <sub>25</sub>
	5.000	59.98	09/28/21	160.03	...	-100.05	5 of 37 - Option sale to close-call <sub>25</sub>
	8.000	95.98	09/28/21	192.02	...	-96.04	6 of 37 - Option sale to close-call <sub>25</sub>
	3.000	35.99	09/28/21	123.04	...	-87.05	7 of 37 - Option sale to close-call <sub>25</sub>
	2.000	24.00	09/28/21	110.03	...	-86.03	8 of 37 - Option sale to close-call <sub>25</sub>
	2.000	24.00	09/28/21	110.03	...	-86.03	9 of 37 - Option sale to close-call <sub>25</sub>
	3.000	35.99	09/28/21	117.03	...	-81.04	10 of 37 - Option sale to close-call <sub>25</sub>
	2.000	23.99	09/28/21	82.02	...	-58.03	11 of 37 - Option sale to close-call <sub>25</sub>
	7.000	83.98	09/28/21	140.02	...	-56.04	12 of 37 - Option sale to close-call <sub>25</sub>
	2.000	23.99	09/28/21	78.02	...	-54.03	13 of 37 - Option sale to close-call <sub>25</sub>
	2.000	23.99	09/28/21	78.01	...	-54.02	14 of 37 - Option sale to close-call <sub>25</sub>
	1.000	12.00	09/28/21	64.05	...	-52.05	15 of 37 - Option sale to close-call <sub>25</sub>
	1.000	12.00	09/28/21	60.02	...	-48.02	16 of 37 - Option sale to close-call <sub>25</sub>
	1.000	12.00	09/28/21	55.04	...	-43.04	17 of 37 - Option sale to close-call <sub>25</sub>
	1.000	12.00	09/28/21	55.03	...	-43.03	18 of 37 - Option sale to close-call <sub>25</sub>
	1.000	12.00	09/28/21	55.00	...	-43.00	19 of 37 - Option sale to close-call <sub>25</sub>
	2.000	23.99	09/28/21	64.01	...	-40.02	20 of 37 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	4.000	47.98	09/28/21	80.01	...	-32.03	21 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	42.01	...	-30.01	22 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	23 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	24 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	25 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	26 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	27 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	28 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.02	...	-29.02	29 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	41.01	...	-29.01	30 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	39.00	...	-27.00	31 of 37 - Option sale to close-call 25
	1.000	11.98	09/28/21	32.01	...	-20.03	32 of 37 - Option sale to close-call 25
	1.000	11.98	09/28/21	32.00	...	-20.02	33 of 37 - Option sale to close-call 25
	1.000	11.98	09/28/21	32.00	...	-20.02	34 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	32.01	...	-20.01	35 of 37 - Option sale to close-call 25
	1.000	12.00	09/28/21	32.00	...	-20.00	36 of 37 - Option sale to close-call 25
	2.000	24.00	09/28/21	40.01	...	-16.01	37 of 37 - Option sale to close-call 25
09/29/21	133.000	1,595.64	Various	3,796.78	...	-2,201.14	Total of 37 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
79 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	19.000	227.95	09/28/21	532.04	192.06 W	-112.03	1 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	35.00	23.00 W	0.00	2 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.01	25.01 W	0.00	3 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	4 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	5 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	6 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	7 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	8 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	37.02	25.02 W	0.00	9 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	50.00	38.00 W	0.00	10 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	50.03	38.03 W	0.00	11 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	50.04	38.04 W	0.00	12 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	55.02	43.02 W	0.00	13 of 79 - Option sale to close-call 25
	1.000	12.00	09/28/21	59.05	47.05 W	0.00	14 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	15 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	16 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	17 of 79 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	18 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	19 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	20 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	25.00	9.02 W	0.00	21 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	29.02	13.04 W	0.00	22 of 79 - Option sale to close-call 25
	1.000	15.98	09/28/21	47.03	31.05 W	0.00	23 of 79 - Option sale to close-call 25
	1.000	15.99	09/28/21	25.00	9.01 W	0.00	24 of 79 - Option sale to close-call 25
	1.000	15.99	09/28/21	29.02	13.03 W	0.00	25 of 79 - Option sale to close-call 25
	1.000	15.99	09/28/21	29.02	13.03 W	0.00	26 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	29.00	13.00 W	0.00	27 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	32.00	16.00 W	0.00	28 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.01	18.01 W	0.00	29 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	30 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	31 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	32 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	33 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	34 of 79 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	35 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	34.02	18.02 W	0.00	36 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	38.00	22.00 W	0.00	37 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	38.03	22.03 W	0.00	38 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	38.03	22.03 W	0.00	39 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	38.04	22.04 W	0.00	40 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	43.02	27.02 W	0.00	41 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	47.00	31.00 W	0.00	42 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	47.03	31.03 W	0.00	43 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	47.04	31.04 W	0.00	44 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	52.02	36.02 W	0.00	45 of 79 - Option sale to close-call 25
	1.000	16.00	09/28/21	56.05	40.05 W	0.00	46 of 79 - Option sale to close-call 25
	1.000	20.98	09/28/21	25.00	4.02 W	0.00	47 of 79 - Option sale to close-call 25
	1.000	20.98	09/28/21	25.00	4.02 W	0.00	48 of 79 - Option sale to close-call 25
	1.000	20.98	09/28/21	25.00	4.02 W	0.00	49 of 79 - Option sale to close-call 25
	2.000	23.99	09/28/21	70.01	46.02 W	0.00	50 of 79 - Option sale to close-call 25
	2.000	23.99	09/28/21	74.02	50.03 W	0.00	51 of 79 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	2.000	24.00	09/28/21	70.01	46.01 W	0.00	52 of 79 - Option sale to close-call 25
	2.000	24.00	09/28/21	74.02	50.02 W	0.00	53 of 79 - Option sale to close-call 25
	2.000	24.00	09/28/21	100.03	76.03 W	0.00	54 of 79 - Option sale to close-call 25
	2.000	24.00	09/28/21	100.03	76.03 W	0.00	55 of 79 - Option sale to close-call 25
	2.000	31.98	09/28/21	50.00	18.02 W	0.00	56 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	58.01	26.02 W	0.00	57 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	58.01	26.02 W	0.00	58 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	64.00	32.01 W	0.00	59 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	68.01	36.02 W	0.00	60 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	76.02	44.03 W	0.00	61 of 79 - Option sale to close-call 25
	2.000	31.99	09/28/21	76.02	44.03 W	0.00	62 of 79 - Option sale to close-call 25
	2.000	32.00	09/28/21	64.01	32.01 W	0.00	63 of 79 - Option sale to close-call 25
	2.000	32.00	09/28/21	68.02	36.02 W	0.00	64 of 79 - Option sale to close-call 25
	2.000	32.00	09/28/21	94.03	62.03 W	0.00	65 of 79 - Option sale to close-call 25
	2.000	32.00	09/28/21	94.03	62.03 W	0.00	66 of 79 - Option sale to close-call 25
	3.000	35.99	09/28/21	105.02	69.03 W	0.00	67 of 79 - Option sale to close-call 25
	3.000	35.99	09/28/21	111.03	75.04 W	0.00	68 of 79 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 10/01/2021 CALL \$14.50 / CUSIP: / Symbol: (cont'd)							
	3.000	47.98	09/28/21	75.00	27.02 W	0.00	69 of 79 - Option sale to close-call <sup>25</sup>
	3.000	47.99	09/28/21	96.01	48.02 W	0.00	70 of 79 - Option sale to close-call <sup>25</sup>
	3.000	47.99	09/28/21	102.02	54.03 W	0.00	71 of 79 - Option sale to close-call <sup>25</sup>
	4.000	47.99	09/28/21	148.02	100.03 W	0.00	72 of 79 - Option sale to close-call <sup>25</sup>
	4.000	63.99	09/28/21	100.00	36.01 W	0.00	73 of 79 - Option sale to close-call <sup>25</sup>
	4.000	63.99	09/28/21	136.01	72.02 W	0.00	74 of 79 - Option sale to close-call <sup>25</sup>
	5.000	104.98	09/28/21	125.00	20.02 W	0.00	75 of 79 - Option sale to close-call <sup>25</sup>
	10.000	159.97	09/28/21	250.00	90.03 W	0.00	76 of 79 - Option sale to close-call <sup>25</sup>
	19.000	303.96	09/28/21	475.00	171.04 W	0.00	77 of 79 - Option sale to close-call <sup>25</sup>
	32.000	511.92	09/28/21	736.00	224.08 W	0.00	78 of 79 - Option sale to close-call <sup>25</sup>
	54.000	863.87	09/28/21	1,026.00	162.13 W	0.00	79 of 79 - Option sale to close-call <sup>25</sup>
09/29/21	248.000	3,791.19	Various	7,020.20	3,116.98 W	-112.03	Total of 79 transactions
	<b>Security total:</b>	<b>5,386.83</b>		<b>10,816.98</b>	<b>3,116.98 W</b>	<b>-2,313.17</b>	
F 10/15/2021 CALL \$15.50 / CUSIP: / Symbol:							
5 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	93.000	1,208.80	10/12/21	2,511.00	...	-1,302.20	1 of 5 - Option sale to close-call <sup>25</sup>
	90.000	1,169.81	10/12/21	2,430.00	...	-1,260.19	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	129.98	10/12/21	270.00	...	-140.02	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	51.99	10/12/21	108.00	...	-56.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	13.00	10/12/21	55.04	...	-42.04	5 of 5 - Option sale to close-call <sup>25</sup>
10/13/21	198.000	2,573.58	Various	5,374.04	...	-2,800.46	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/15/2021 CALL \$15.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	12.98	10/12/21	27.00	14.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	12.98	10/12/21	41.02	28.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/21	2.000	25.96	Various	68.02	42.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,599.54</b>		<b>5,442.06</b>	<b>42.06 W</b>	<b>-2,800.46</b>	
F 10/29/2021 CALL \$16.50 / CUSIP: / Symbol:							
17 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	22.98	10/29/21	21.00	...	1.98	1 of 17 - Option sale to close-call <sup>25</sup>
	1.000	23.00	10/29/21	21.00	...	2.00	2 of 17 - Option sale to close-call <sup>25</sup>
	1.000	23.00	10/29/21	21.00	...	2.00	3 of 17 - Option sale to close-call <sup>25</sup>
	1.000	23.00	10/29/21	21.00	...	2.00	4 of 17 - Option sale to close-call <sup>25</sup>
	1.000	23.00	10/29/21	21.00	...	2.00	5 of 17 - Option sale to close-call <sup>25</sup>
	1.000	24.00	10/29/21	21.00	...	3.00	6 of 17 - Option sale to close-call <sup>25</sup>
	4.000	95.99	10/29/21	84.00	...	11.99	7 of 17 - Option sale to close-call <sup>25</sup>
	9.000	215.98	10/29/21	189.00	...	26.98	8 of 17 - Option sale to close-call <sup>25</sup>
	17.000	407.96	10/29/21	357.00	...	50.96	9 of 17 - Option sale to close-call <sup>25</sup>
	27.000	620.94	10/29/21	567.00	...	53.94	10 of 17 - Option sale to close-call <sup>25</sup>
	21.000	503.95	10/29/21	441.00	...	62.95	11 of 17 - Option sale to close-call <sup>25</sup>
	21.000	503.95	10/29/21	441.00	...	62.95	12 of 17 - Option sale to close-call <sup>25</sup>
	69.000	1,586.85	10/29/21	1,449.00	...	137.85	13 of 17 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$16.50 / CUSIP: / Symbol: (cont'd)							
	79.000	1,816.83	10/29/21	1,659.00	...	157.83	14 of 17 - Option sale to close-call <sub>25</sub>
	53.000	1,271.89	10/29/21	1,113.00	...	158.89	15 of 17 - Option sale to close-call <sub>25</sub>
	74.000	1,775.84	10/29/21	1,554.00	...	221.84	16 of 17 - Option sale to close-call <sub>25</sub>
	120.000	2,759.74	10/29/21	2,520.00	...	239.74	17 of 17 - Option sale to close-call <sub>25</sub>
10/29/21	500.000	11,698.90	Various	10,500.00	...	1,198.90	Total of 17 transactions
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol:							
46 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	120.000	1,919.75	10/28/21	3,360.51	...	-1,440.76	1 of 46 - Option sale to close-call <sub>25</sub>
	23.000	367.95	10/28/21	1,035.20	...	-667.25	2 of 46 - Option sale to close-call <sub>25</sub>
	20.000	319.96	10/28/21	900.17	...	-580.21	3 of 46 - Option sale to close-call <sub>25</sub>
	18.000	287.96	10/28/21	810.16	...	-522.20	4 of 46 - Option sale to close-call <sub>25</sub>
	10.000	159.98	10/28/21	620.11	...	-460.13	5 of 46 - Option sale to close-call <sub>25</sub>
	16.000	255.96	10/28/21	672.14	...	-416.18	6 of 46 - Option sale to close-call <sub>25</sub>
	62.000	991.86	10/28/21	1,364.29	...	-372.43	7 of 46 - Option sale to close-call <sub>25</sub>
	11.000	175.98	10/28/21	495.09	...	-319.11	8 of 46 - Option sale to close-call <sub>25</sub>
	12.000	191.97	10/28/21	504.11	...	-312.14	9 of 46 - Option sale to close-call <sub>25</sub>
	10.000	159.98	10/28/21	430.09	...	-270.11	10 of 46 - Option sale to close-call <sub>25</sub>
	10.000	159.98	10/28/21	420.09	...	-260.11	11 of 46 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	7.000	111.98	10/28/21	301.06	...	-189.08	12 of 46 - Option sale to close-call <sub>25</sub>
	13.000	207.97	10/28/21	377.05	...	-169.08	13 of 46 - Option sale to close-call <sub>25</sub>
	14.000	223.97	10/28/21	392.10	...	-168.13	14 of 46 - Option sale to close-call <sub>25</sub>
	12.000	191.97	10/28/21	360.05	...	-168.08	15 of 46 - Option sale to close-call <sub>25</sub>
	10.000	159.98	10/28/21	290.04	...	-130.06	16 of 46 - Option sale to close-call <sub>25</sub>
	2.000	32.00	10/28/21	158.01	...	-126.01	17 of 46 - Option sale to close-call <sub>25</sub>
	4.000	63.99	10/28/21	180.04	...	-116.05	18 of 46 - Option sale to close-call <sub>25</sub>
	4.000	63.99	10/28/21	168.05	...	-104.06	19 of 46 - Option sale to close-call <sub>25</sub>
	12.000	191.97	10/28/21	288.05	...	-96.08	20 of 46 - Option sale to close-call <sub>25</sub>
	2.000	32.00	10/28/21	124.03	...	-92.03	21 of 46 - Option sale to close-call <sub>25</sub>
	7.000	111.99	10/28/21	203.03	...	-91.04	22 of 46 - Option sale to close-call <sub>25</sub>
	7.000	111.99	10/28/21	203.03	...	-91.04	23 of 46 - Option sale to close-call <sub>25</sub>
	3.000	47.99	10/28/21	135.03	...	-87.04	24 of 46 - Option sale to close-call <sub>25</sub>
	7.000	111.99	10/28/21	196.05	...	-84.06	25 of 46 - Option sale to close-call <sub>25</sub>
	3.000	47.99	10/28/21	129.03	...	-81.04	26 of 46 - Option sale to close-call <sub>25</sub>
	3.000	47.99	10/28/21	129.03	...	-81.04	27 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	96.02	...	-80.02	28 of 46 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	10/28/21	96.01	...	-80.01	29 of 46 - Option sale to close-call <sub>25</sub>
	11.000	175.98	10/28/21	253.05	...	-77.07	30 of 46 - Option sale to close-call <sub>25</sub>
	5.000	79.99	10/28/21	140.03	...	-60.04	31 of 46 - Option sale to close-call <sub>25</sub>
	2.000	32.00	10/28/21	86.02	...	-54.02	32 of 46 - Option sale to close-call <sub>25</sub>
	2.000	31.99	10/28/21	84.03	...	-52.04	33 of 46 - Option sale to close-call <sub>25</sub>
	2.000	32.00	10/28/21	84.03	...	-52.03	34 of 46 - Option sale to close-call <sub>25</sub>
	2.000	32.00	10/28/21	84.03	...	-52.03	35 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	62.00	...	-46.00	36 of 46 - Option sale to close-call <sub>25</sub>
	3.000	47.99	10/28/21	84.01	...	-36.02	37 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	45.01	...	-29.01	38 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	45.01	...	-29.01	39 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	45.01	...	-29.01	40 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	45.01	...	-29.01	41 of 46 - Option sale to close-call <sub>25</sub>
	1.000	15.99	10/28/21	43.01	...	-27.02	42 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	43.01	...	-27.01	43 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	43.00	...	-27.00	44 of 46 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	29.00	...	-13.00	45 of 46 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	10/28/21	23.00	...	-7.00	46 of 46 - Option sale to close-call <sub>25</sub>
10/28/21	461.000	7,375.03	Various	15,675.93	...	-8,300.90	Total of 46 transactions
	80 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	5.000	79.98	10/28/21	225.03	116.04 W	-29.01	1 of 80 - Option sale to close-call <sub>25</sub>
	1.000	16.00	10/28/21	45.00	29.00 W	0.00	2 of 80 - Option sale to close-call <sub>25</sub>
	1.000	16.99	10/28/21	34.00	17.01 W	0.00	3 of 80 - Option sale to close-call <sub>25</sub>
	1.000	16.99	10/28/21	51.00	34.01 W	0.00	4 of 80 - Option sale to close-call <sub>25</sub>
	1.000	16.99	10/28/21	51.01	34.02 W	0.00	5 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	26.00	9.00 W	0.00	6 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	34.00	17.00 W	0.00	7 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	34.00	17.00 W	0.00	8 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	45.00	28.00 W	0.00	9 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	48.01	31.01 W	0.00	10 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	48.01	31.01 W	0.00	11 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	48.01	31.01 W	0.00	12 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	48.01	31.01 W	0.00	13 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	51.00	34.00 W	0.00	14 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	57.00	40.00 W	0.00	15 of 80 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	1.000	17.00	10/28/21	62.00	45.00 W	0.00	16 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	65.00	48.00 W	0.00	17 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	68.01	51.01 W	0.00	18 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	68.02	51.02 W	0.00	19 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	85.01	68.01 W	0.00	20 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	85.02	68.02 W	0.00	21 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	91.01	74.01 W	0.00	22 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	91.02	74.02 W	0.00	23 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	96.01	79.01 W	0.00	24 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	96.02	79.02 W	0.00	25 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	99.01	82.01 W	0.00	26 of 80 - Option sale to close-call <sub>25</sub>
	1.000	17.00	10/28/21	99.02	82.02 W	0.00	27 of 80 - Option sale to close-call <sub>25</sub>
	2.000	33.98	10/28/21	90.01	56.03 W	0.00	28 of 80 - Option sale to close-call <sub>25</sub>
	2.000	33.98	10/28/21	90.01	56.03 W	0.00	29 of 80 - Option sale to close-call <sub>25</sub>
	2.000	33.98	10/28/21	90.01	56.03 W	0.00	30 of 80 - Option sale to close-call <sub>25</sub>
	2.000	33.98	10/28/21	114.01	80.03 W	0.00	31 of 80 - Option sale to close-call <sub>25</sub>
	2.000	33.99	10/28/21	68.00	34.01 W	0.00	32 of 80 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	34.00	10/28/21	102.01	68.01 W	0.00	33 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	124.03	90.03 W	0.00	34 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	130.03	96.03 W	0.00	35 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	136.01	102.01 W	0.00	36 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	148.01	114.01 W	0.00	37 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	158.01	124.01 W	0.00	38 of 80 - Option sale to close-call 25
	2.000	34.00	10/28/21	164.01	130.01 W	0.00	39 of 80 - Option sale to close-call 25
	3.000	47.99	10/28/21	135.02	87.03 W	0.00	40 of 80 - Option sale to close-call 25
	3.000	50.99	10/28/21	144.02	93.03 W	0.00	41 of 80 - Option sale to close-call 25
	4.000	67.98	10/28/21	180.03	112.05 W	0.00	42 of 80 - Option sale to close-call 25
	4.000	67.99	10/28/21	180.02	112.03 W	0.00	43 of 80 - Option sale to close-call 25
	4.000	67.99	10/28/21	192.03	124.04 W	0.00	44 of 80 - Option sale to close-call 25
	5.000	84.99	10/28/21	155.02	70.03 W	0.00	45 of 80 - Option sale to close-call 25
	7.000	118.98	10/28/21	217.03	98.05 W	0.00	46 of 80 - Option sale to close-call 25
	10.000	169.97	10/28/21	450.04	280.07 W	0.00	47 of 80 - Option sale to close-call 25
	10.000	169.98	10/28/21	270.02	100.04 W	0.00	48 of 80 - Option sale to close-call 25
	10.000	169.98	10/28/21	450.07	280.09 W	0.00	49 of 80 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	10.000	169.98	10/28/21	570.05	400.07 W	0.00	50 of 80 - Option sale to close-call 25
	10.000	169.98	10/28/21	620.07	450.09 W	0.00	51 of 80 - Option sale to close-call 25
	10.000	169.98	10/28/21	650.09	480.11 W	0.00	52 of 80 - Option sale to close-call 25
	11.000	186.98	10/28/21	286.03	99.05 W	0.00	53 of 80 - Option sale to close-call 25
	11.000	186.98	10/28/21	528.07	341.09 W	0.00	54 of 80 - Option sale to close-call 25
	12.000	203.97	10/28/21	408.00	204.03 W	0.00	55 of 80 - Option sale to close-call 25
	12.000	203.97	10/28/21	540.05	336.08 W	0.00	56 of 80 - Option sale to close-call 25
	12.000	203.97	10/28/21	540.08	336.11 W	0.00	57 of 80 - Option sale to close-call 25
	12.000	203.97	10/28/21	612.03	408.06 W	0.00	58 of 80 - Option sale to close-call 25
	14.000	237.97	10/28/21	434.07	196.10 W	0.00	59 of 80 - Option sale to close-call 25
	16.000	271.96	10/28/21	720.10	448.14 W	0.00	60 of 80 - Option sale to close-call 25
	18.000	305.96	10/28/21	864.12	558.16 W	0.00	61 of 80 - Option sale to close-call 25
	20.000	319.95	10/28/21	900.13	580.18 W	0.00	62 of 80 - Option sale to close-call 25
	20.000	339.96	10/28/21	960.13	620.17 W	0.00	63 of 80 - Option sale to close-call 25
	22.000	373.94	10/28/21	880.05	506.11 W	0.00	64 of 80 - Option sale to close-call 25
	22.000	373.95	10/28/21	990.11	616.16 W	0.00	65 of 80 - Option sale to close-call 25
	23.000	390.95	10/28/21	1,104.15	713.20 W	0.00	66 of 80 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	25.000	424.95	10/28/21	1,125.11	700.16 W	0.00	67 of 80 - Option sale to close-call 25
	26.000	441.94	10/28/21	598.00	156.06 W	0.00	68 of 80 - Option sale to close-call 25
	26.000	441.94	10/28/21	728.06	286.12 W	0.00	69 of 80 - Option sale to close-call 25
	29.000	492.94	10/28/21	1,305.12	812.18 W	0.00	70 of 80 - Option sale to close-call 25
	40.000	679.92	10/28/21	1,080.08	400.16 W	0.00	71 of 80 - Option sale to close-call 25
	57.000	968.88	10/28/21	2,565.25	1,596.37 W	0.00	72 of 80 - Option sale to close-call 25
	61.000	1,036.86	10/28/21	2,074.00	1,037.14 W	0.00	73 of 80 - Option sale to close-call 25
	61.000	1,036.87	10/28/21	2,440.14	1,403.27 W	0.00	74 of 80 - Option sale to close-call 25
	62.000	1,053.85	10/28/21	1,550.14	496.29 W	0.00	75 of 80 - Option sale to close-call 25
	62.000	1,053.86	10/28/21	1,364.00	310.14 W	0.00	76 of 80 - Option sale to close-call 25
	77.000	1,308.84	10/28/21	3,080.16	1,771.32 W	0.00	77 of 80 - Option sale to close-call 25
	99.000	1,682.79	10/28/21	3,366.00	1,683.21 W	0.00	78 of 80 - Option sale to close-call 25
	135.000	2,294.71	10/28/21	3,780.28	1,485.57 W	0.00	79 of 80 - Option sale to close-call 25
	197.000	3,348.59	10/28/21	4,531.00	1,182.41 W	0.00	80 of 80 - Option sale to close-call 25
10/28/21	1,327.000	22,527.06	Various	46,832.35	24,276.28 W	-29.01	Total of 80 transactions
	<b>Security total:</b>	<b>29,902.09</b>		<b>62,508.28</b>	<b>24,276.28 W</b>	<b>-8,329.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol:							
<i>43 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
34.000		169.93	10/28/21	1,360.08	...	-1,190.15	1 of 43 - Option sale to close-call 25
18.000		89.96	10/28/21	1,260.12	...	-1,170.16	2 of 43 - Option sale to close-call 25
16.000		79.97	10/28/21	1,120.10	...	-1,040.13	3 of 43 - Option sale to close-call 25
10.000		49.98	10/28/21	850.09	...	-800.11	4 of 43 - Option sale to close-call 25
9.000		44.98	10/28/21	765.08	...	-720.10	5 of 43 - Option sale to close-call 25
10.000		49.98	10/28/21	700.08	...	-650.10	6 of 43 - Option sale to close-call 25
9.000		44.98	10/28/21	621.05	...	-576.07	7 of 43 - Option sale to close-call 25
8.000		39.98	10/28/21	560.06	...	-520.08	8 of 43 - Option sale to close-call 25
8.000		39.98	10/28/21	560.05	...	-520.07	9 of 43 - Option sale to close-call 25
6.000		29.99	10/28/21	420.05	...	-390.06	10 of 43 - Option sale to close-call 25
6.000		29.99	10/28/21	414.04	...	-384.05	11 of 43 - Option sale to close-call 25
10.000		49.98	10/28/21	400.03	...	-350.05	12 of 43 - Option sale to close-call 25
5.000		24.99	10/28/21	350.02	...	-325.03	13 of 43 - Option sale to close-call 25
4.000		19.99	10/28/21	336.03	...	-316.04	14 of 43 - Option sale to close-call 25
9.000		44.98	10/28/21	360.03	...	-315.05	15 of 43 - Option sale to close-call 25
4.000		19.99	10/28/21	280.04	...	-260.05	16 of 43 - Option sale to close-call 25
4.000		19.99	10/28/21	280.03	...	-260.04	17 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	3.000	14.99	10/28/21	255.06	...	-240.07	18 of 43 - Option sale to close-call <sub>25</sub>
	16.000	79.97	10/28/21	320.00	...	-240.03	19 of 43 - Option sale to close-call <sub>25</sub>
	15.000	74.97	10/28/21	300.00	...	-225.03	20 of 43 - Option sale to close-call <sub>25</sub>
	13.000	64.97	10/28/21	260.00	...	-195.03	21 of 43 - Option sale to close-call <sub>25</sub>
	5.000	24.99	10/28/21	200.01	...	-175.02	22 of 43 - Option sale to close-call <sub>25</sub>
	11.000	54.98	10/28/21	220.00	...	-165.02	23 of 43 - Option sale to close-call <sub>25</sub>
	11.000	54.98	10/28/21	220.00	...	-165.02	24 of 43 - Option sale to close-call <sub>25</sub>
	2.000	10.00	10/28/21	170.02	...	-160.02	25 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.99	10/28/21	160.02	...	-140.03	26 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.99	10/28/21	160.02	...	-140.03	27 of 43 - Option sale to close-call <sub>25</sub>
	9.000	44.98	10/28/21	180.00	...	-135.02	28 of 43 - Option sale to close-call <sub>25</sub>
	2.000	10.00	10/28/21	140.02	...	-130.02	29 of 43 - Option sale to close-call <sub>25</sub>
	2.000	10.00	10/28/21	140.01	...	-130.01	30 of 43 - Option sale to close-call <sub>25</sub>
	8.000	39.98	10/28/21	160.00	...	-120.02	31 of 43 - Option sale to close-call <sub>25</sub>
	7.000	34.98	10/28/21	140.00	...	-105.02	32 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	85.03	...	-80.03	33 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	85.03	...	-80.03	34 of 43 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	10/28/21	85.02	...	-80.02	35 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	85.00	...	-80.00	36 of 43 - Option sale to close-call <sub>25</sub>
	5.000	24.99	10/28/21	100.00	...	-75.01	37 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	78.00	...	-73.00	38 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	70.02	...	-65.02	39 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	70.02	...	-65.02	40 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	70.02	...	-65.02	41 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.99	10/28/21	80.00	...	-60.01	42 of 43 - Option sale to close-call <sub>25</sub>
	2.000	10.00	10/28/21	40.00	...	-30.00	43 of 43 - Option sale to close-call <sub>25</sub>
10/28/21	301.000	1,504.39	Various	14,510.28	...	-13,005.89	Total of 43 transactions
43 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	10/28/21	20.00	15.02 W	0.00	1 of 43 - Option sale to close-call <sub>25</sub>
	1.000	4.98	10/28/21	55.00	50.02 W	0.00	2 of 43 - Option sale to close-call <sub>25</sub>
	1.000	4.98	10/28/21	55.00	50.02 W	0.00	3 of 43 - Option sale to close-call <sub>25</sub>
	1.000	4.98	10/28/21	55.00	50.02 W	0.00	4 of 43 - Option sale to close-call <sub>25</sub>
	1.000	4.98	10/28/21	70.01	65.03 W	0.00	5 of 43 - Option sale to close-call <sub>25</sub>
	1.000	4.98	10/28/21	70.01	65.03 W	0.00	6 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	35.02	30.02 W	0.00	7 of 43 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	10/28/21	52.02	47.02 W	0.00	8 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	60.00	55.00 W	0.00	9 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	70.00	65.00 W	0.00	10 of 43 - Option sale to close-call <sub>25</sub>
	1.000	5.00	10/28/21	70.02	65.02 W	0.00	11 of 43 - Option sale to close-call <sub>25</sub>
	2.000	9.99	10/28/21	110.01	100.02 W	0.00	12 of 43 - Option sale to close-call <sub>25</sub>
	3.000	14.98	10/28/21	60.00	45.02 W	0.00	13 of 43 - Option sale to close-call <sub>25</sub>
	3.000	14.98	10/28/21	210.04	195.06 W	0.00	14 of 43 - Option sale to close-call <sub>25</sub>
	3.000	14.99	10/28/21	105.02	90.03 W	0.00	15 of 43 - Option sale to close-call <sub>25</sub>
	3.000	14.99	10/28/21	156.03	141.04 W	0.00	16 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.98	10/28/21	88.00	68.02 W	0.00	17 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.98	10/28/21	88.00	68.02 W	0.00	18 of 43 - Option sale to close-call <sub>25</sub>
	4.000	19.98	10/28/21	220.02	200.04 W	0.00	19 of 43 - Option sale to close-call <sub>25</sub>
	5.000	24.99	10/28/21	110.00	85.01 W	0.00	20 of 43 - Option sale to close-call <sub>25</sub>
	5.000	24.99	10/28/21	275.01	250.02 W	0.00	21 of 43 - Option sale to close-call <sub>25</sub>
	6.000	29.98	10/28/21	330.03	300.05 W	0.00	22 of 43 - Option sale to close-call <sub>25</sub>
	7.000	34.99	10/28/21	259.01	224.02 W	0.00	23 of 43 - Option sale to close-call <sub>25</sub>
	9.000	44.97	10/28/21	198.00	153.03 W	0.00	24 of 43 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	10.000	49.97	10/28/21	220.00	170.03 W	0.00	25 of 43 - Option sale to close-call 25
	10.000	49.97	10/28/21	550.04	500.07 W	0.00	26 of 43 - Option sale to close-call 25
	10.000	49.97	10/28/21	550.05	500.08 W	0.00	27 of 43 - Option sale to close-call 25
	10.000	49.98	10/28/21	700.07	650.09 W	0.00	28 of 43 - Option sale to close-call 25
	12.000	59.97	10/28/21	660.05	600.08 W	0.00	29 of 43 - Option sale to close-call 25
	15.000	74.96	10/28/21	1,050.09	975.13 W	0.00	30 of 43 - Option sale to close-call 25
	15.000	74.97	10/28/21	825.06	750.09 W	0.00	31 of 43 - Option sale to close-call 25
	16.000	79.97	10/28/21	592.03	512.06 W	0.00	32 of 43 - Option sale to close-call 25
	17.000	84.96	10/28/21	340.00	255.04 W	0.00	33 of 43 - Option sale to close-call 25
	17.000	84.97	10/28/21	595.04	510.07 W	0.00	34 of 43 - Option sale to close-call 25
	17.000	84.97	10/28/21	884.07	799.10 W	0.00	35 of 43 - Option sale to close-call 25
	18.000	89.96	10/28/21	630.04	540.08 W	0.00	36 of 43 - Option sale to close-call 25
	18.000	89.96	10/28/21	936.08	846.12 W	0.00	37 of 43 - Option sale to close-call 25
	27.000	134.94	10/28/21	945.06	810.12 W	0.00	38 of 43 - Option sale to close-call 25
	27.000	134.94	10/28/21	1,404.12	1,269.18 W	0.00	39 of 43 - Option sale to close-call 25
	34.000	169.92	10/28/21	748.00	578.08 W	0.00	40 of 43 - Option sale to close-call 25
	45.000	224.91	10/28/21	1,665.10	1,440.19 W	0.00	41 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 10/29/2021 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	52.000	259.89	10/28/21	1,040.00	780.11 W	0.00	42 of 43 - Option sale to close-call <sup>25</sup>
	61.000	304.87	10/28/21	1,220.00	915.13 W	0.00	43 of 43 - Option sale to close-call <sup>25</sup>
10/28/21	500.000	2,498.72	Various	18,376.15	15,877.43 W	0.00	Total of 43 transactions
	<b>Security total:</b>	<b>4,003.11</b>		<b>32,886.43</b>	<b>15,877.43 W</b>	<b>-13,005.89</b>	
F 10/29/2021 PUT \$17.00 / CUSIP: / Symbol:							
27 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	25.000	49.93	10/29/21	125.12	...	-75.19	1 of 27 - Option sale to close-put <sup>25</sup>
	11.000	21.98	10/29/21	55.04	...	-33.06	2 of 27 - Option sale to close-put <sup>25</sup>
	9.000	17.98	10/29/21	45.04	...	-27.06	3 of 27 - Option sale to close-put <sup>25</sup>
	8.000	15.98	10/29/21	40.04	...	-24.06	4 of 27 - Option sale to close-put <sup>25</sup>
	7.000	13.98	10/29/21	35.04	...	-21.06	5 of 27 - Option sale to close-put <sup>25</sup>
	5.000	9.99	10/29/21	25.02	...	-15.03	6 of 27 - Option sale to close-put <sup>25</sup>
	4.000	7.99	10/29/21	20.02	...	-12.03	7 of 27 - Option sale to close-put <sup>25</sup>
	3.000	5.99	10/29/21	15.02	...	-9.03	8 of 27 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	12.01	...	-8.02	9 of 27 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	10.01	...	-6.02	10 of 27 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	10.01	...	-6.02	11 of 27 - Option sale to close-put <sup>25</sup>
	2.000	4.00	10/29/21	10.02	...	-6.02	12 of 27 - Option sale to close-put <sup>25</sup>
	2.000	4.00	10/29/21	10.01	...	-6.01	13 of 27 - Option sale to close-put <sup>25</sup>
	2.000	4.00	10/29/21	10.01	...	-6.01	14 of 27 - Option sale to close-put <sup>25</sup>
	2.000	4.00	10/29/21	10.01	...	-6.01	15 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	6.03	...	-4.03	16 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	6.01	...	-4.01	17 of 27 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 10/29/2021 PUT \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	3.99	10/29/21	8.00	...	-4.01	18 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	6.00	...	-4.00	19 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.02	...	-3.02	20 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.02	...	-3.02	21 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.01	...	-3.01	22 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.01	...	-3.01	23 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.00	...	-3.00	24 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.00	...	-3.00	25 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.00	...	-3.00	26 of 27 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.00	...	-3.00	27 of 27 - Option sale to close-put <sup>25</sup>
10/29/21	99.000	197.78	Various	498.52	...	-300.74	Total of 27 transactions
	23 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	1.98	10/29/21	3.00	1.02 W	0.00	1 of 23 - Option sale to close-put <sup>25</sup>
	1.000	1.98	10/29/21	4.00	2.02 W	0.00	2 of 23 - Option sale to close-put <sup>25</sup>
	1.000	1.98	10/29/21	5.01	3.03 W	0.00	3 of 23 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	4.00	2.00 W	0.00	4 of 23 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	4.02	2.02 W	0.00	5 of 23 - Option sale to close-put <sup>25</sup>
	1.000	2.00	10/29/21	5.00	3.00 W	0.00	6 of 23 - Option sale to close-put <sup>25</sup>
	2.000	3.98	10/29/21	6.00	2.02 W	0.00	7 of 23 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	6.00	2.01 W	0.00	8 of 23 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	6.00	2.01 W	0.00	9 of 23 - Option sale to close-put <sup>25</sup>
	2.000	3.99	10/29/21	8.01	4.02 W	0.00	10 of 23 - Option sale to close-put <sup>25</sup>
	2.000	4.00	10/29/21	8.01	4.01 W	0.00	11 of 23 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 10/29/2021 PUT \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	4.00	10/29/21	8.01	4.01 W	0.00	12 of 23 - Option sale to close-put <sub>25</sub>
	2.000	4.00	10/29/21	8.02	4.02 W	0.00	13 of 23 - Option sale to close-put <sub>25</sub>
	3.000	5.99	10/29/21	12.01	6.02 W	0.00	14 of 23 - Option sale to close-put <sub>25</sub>
	3.000	5.99	10/29/21	15.01	9.02 W	0.00	15 of 23 - Option sale to close-put <sub>25</sub>
	5.000	9.99	10/29/21	15.00	5.01 W	0.00	16 of 23 - Option sale to close-put <sub>25</sub>
	5.000	9.99	10/29/21	20.01	10.02 W	0.00	17 of 23 - Option sale to close-put <sub>25</sub>
	7.000	13.98	10/29/21	28.02	14.04 W	0.00	18 of 23 - Option sale to close-put <sub>25</sub>
	11.000	21.98	10/29/21	44.02	22.04 W	0.00	19 of 23 - Option sale to close-put <sub>25</sub>
	18.000	35.96	10/29/21	72.04	36.08 W	0.00	20 of 23 - Option sale to close-put <sub>25</sub>
	42.000	83.90	10/29/21	168.09	84.19 W	0.00	21 of 23 - Option sale to close-put <sub>25</sub>
	43.000	85.90	10/29/21	129.00	43.10 W	0.00	22 of 23 - Option sale to close-put <sub>25</sub>
	44.000	87.91	10/29/21	132.00	44.09 W	0.00	23 of 23 - Option sale to close-put <sub>25</sub>
10/29/21	201.000	401.48	Various	710.28	308.80 W	0.00	Total of 23 transactions
	<b>Security total:</b>	<b>599.26</b>		<b>1,208.80</b>	<b>308.80 W</b>	<b>-300.74</b>	
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol:							
22 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	2.000	543.99	04/29/21	530.01	...	13.98	1 of 22 - Option sale to close-call <sub>25</sub>
	3.000	815.99	04/29/21	792.01	...	23.98	2 of 22 - Option sale to close-call <sub>25</sub>
	1.000	279.98	04/29/21	236.00	...	43.98	3 of 22 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	280.00	04/29/21	236.00	...	44.00	4 of 22 - Option sale to close-call <sub>25</sub>
	1.000	280.00	04/29/21	236.00	...	44.00	5 of 22 - Option sale to close-call <sub>25</sub>
	7.000	1,903.98	04/29/21	1,848.02	...	55.96	6 of 22 - Option sale to close-call <sub>25</sub>
	2.000	559.98	04/29/21	472.01	...	87.97	7 of 22 - Option sale to close-call <sub>25</sub>
	2.000	559.99	04/29/21	472.01	...	87.98	8 of 22 - Option sale to close-call <sub>25</sub>
	18.000	4,895.94	04/29/21	4,770.06	...	125.88	9 of 22 - Option sale to close-call <sub>25</sub>
	3.000	839.99	04/29/21	708.01	...	131.98	10 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.02	...	219.96	11 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.02	...	219.96	12 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.02	...	219.96	13 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.02	...	219.96	14 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.02	...	219.96	15 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.01	...	219.97	16 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.01	...	219.97	17 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,399.98	04/29/21	1,180.01	...	219.97	18 of 22 - Option sale to close-call <sub>25</sub>
	5.000	1,359.98	04/29/21	1,075.02	...	284.96	19 of 22 - Option sale to close-call <sub>25</sub>
	4.000	1,603.98	04/29/21	1,264.00	...	339.98	20 of 22 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	16.000	6,415.93	04/29/21	5,056.00	...	1,359.93	21 of 22 - Option sale to close-call <sup>25</sup>
	65.000	26,324.73	04/29/21	20,540.00	...	5,784.73	22 of 22 - Option sale to close-call <sup>25</sup>
04/29/21	170.000	57,864.30	Various	47,675.28	...	10,189.02	Total of 22 transactions
	8 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	3.000	740.99	04/29/21	804.00	63.01 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	5.000	1,234.98	04/29/21	1,240.00	5.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	10.000	2,469.97	04/29/21	2,970.00	500.03 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	15.000	3,704.95	04/29/21	4,740.00	1,035.05 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	16.000	3,951.95	04/29/21	4,288.00	336.05 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	15.000	4,079.95	04/29/21	4,245.05	165.10 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	20.000	4,939.93	04/29/21	5,960.00	1,020.07 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	31.000	7,656.90	04/29/21	8,308.00	651.10 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
04/29/21	115.000	28,779.62	Various	32,555.05	3,775.43 W	0.00	Total of 8 transactions
	55 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	8.000	943.98	04/30/21	1,184.12	...	-240.14	1 of 55 - Option sale to close-call <sup>25</sup>
	6.000	707.98	04/30/21	888.09	...	-180.11	2 of 55 - Option sale to close-call <sup>25</sup>
	4.000	471.99	04/30/21	588.05	...	-116.06	3 of 55 - Option sale to close-call <sup>25</sup>
	3.000	353.99	04/30/21	441.05	...	-87.06	4 of 55 - Option sale to close-call <sup>25</sup>
	3.000	353.99	04/30/21	441.04	...	-87.05	5 of 55 - Option sale to close-call <sup>25</sup>
	2.000	235.99	04/30/21	296.06	...	-60.07	6 of 55 - Option sale to close-call <sup>25</sup>
	2.000	235.99	04/30/21	272.03	...	-36.04	7 of 55 - Option sale to close-call <sup>25</sup>
	2.000	235.99	04/30/21	266.04	...	-30.05	8 of 55 - Option sale to close-call <sup>25</sup>
	1.000	118.00	04/30/21	148.01	...	-30.01	9 of 55 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	118.00	04/30/21	148.01	...	-30.01	10 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	148.01	...	-30.01	11 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	148.01	...	-30.01	12 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	134.01	...	-16.01	13 of 55 - Option sale to close-call <sub>25</sub>
	6.000	707.98	04/30/21	720.05	...	-12.07	14 of 55 - Option sale to close-call <sub>25</sub>
	2.000	235.99	04/30/21	240.03	...	-4.04	15 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	120.03	...	-2.03	16 of 55 - Option sale to close-call <sub>25</sub>
	1.000	151.00	04/30/21	150.01	...	0.99	17 of 55 - Option sale to close-call <sub>25</sub>
	2.000	302.00	04/30/21	300.02	...	1.98	18 of 55 - Option sale to close-call <sub>25</sub>
	3.000	452.99	04/30/21	450.03	...	2.96	19 of 55 - Option sale to close-call <sub>25</sub>
	1.000	150.98	04/30/21	145.00	...	5.98	20 of 55 - Option sale to close-call <sub>25</sub>
	1.000	150.98	04/30/21	145.00	...	5.98	21 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	112.01	...	5.99	22 of 55 - Option sale to close-call <sub>25</sub>
	1.000	118.00	04/30/21	112.00	...	6.00	23 of 55 - Option sale to close-call <sub>25</sub>
	1.000	151.00	04/30/21	145.00	...	6.00	24 of 55 - Option sale to close-call <sub>25</sub>
	9.000	1,358.97	04/30/21	1,350.09	...	8.88	25 of 55 - Option sale to close-call <sub>25</sub>
	1.000	150.98	04/30/21	141.00	...	9.98	26 of 55 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	150.98	04/30/21	141.00	...	9.98	27 of 55 - Option sale to close-call 25
	1.000	151.00	04/30/21	141.00	...	10.00	28 of 55 - Option sale to close-call 25
	1.000	118.00	04/30/21	107.05	...	10.95	29 of 55 - Option sale to close-call 25
	2.000	301.98	04/30/21	290.00	...	11.98	30 of 55 - Option sale to close-call 25
	2.000	301.99	04/30/21	290.00	...	11.99	31 of 55 - Option sale to close-call 25
	1.000	118.00	04/30/21	105.00	...	13.00	32 of 55 - Option sale to close-call 25
	1.000	118.00	04/30/21	105.00	...	13.00	33 of 55 - Option sale to close-call 25
	3.000	353.99	04/30/21	336.05	...	17.94	34 of 55 - Option sale to close-call 25
	3.000	452.99	04/30/21	435.00	...	17.99	35 of 55 - Option sale to close-call 25
	3.000	452.99	04/30/21	435.00	...	17.99	36 of 55 - Option sale to close-call 25
	5.000	589.98	04/30/21	570.02	...	19.96	37 of 55 - Option sale to close-call 25
	2.000	301.99	04/30/21	282.00	...	19.99	38 of 55 - Option sale to close-call 25
	4.000	603.99	04/30/21	580.00	...	23.99	39 of 55 - Option sale to close-call 25
	5.000	759.98	04/30/21	725.00	...	34.98	40 of 55 - Option sale to close-call 25
	10.000	1,509.97	04/30/21	1,450.00	...	59.97	41 of 55 - Option sale to close-call 25
	5.000	589.99	04/30/21	525.00	...	64.99	42 of 55 - Option sale to close-call 25
	11.000	1,660.97	04/30/21	1,595.00	...	65.97	43 of 55 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	2.000	311.98	04/30/21	244.00	...	67.98	44 of 55 - Option sale to close-call 25
	12.000	1,811.96	04/30/21	1,740.00	...	71.96	45 of 55 - Option sale to close-call 25
	15.000	2,264.95	04/30/21	2,175.00	...	89.95	46 of 55 - Option sale to close-call 25
	20.000	2,539.95	04/30/21	2,440.00	...	99.95	47 of 55 - Option sale to close-call 25
	15.000	2,264.96	04/30/21	2,115.00	...	149.96	48 of 55 - Option sale to close-call 25
	25.000	3,249.94	04/30/21	3,050.00	...	199.94	49 of 55 - Option sale to close-call 25
	19.000	2,241.95	04/30/21	1,995.00	...	246.95	50 of 55 - Option sale to close-call 25
	54.000	6,371.86	04/30/21	5,670.00	...	701.86	51 of 55 - Option sale to close-call 25
	59.000	6,961.84	04/30/21	6,195.00	...	766.84	52 of 55 - Option sale to close-call 25
	23.000	3,587.93	04/30/21	2,806.00	...	781.93	53 of 55 - Option sale to close-call 25
	25.000	3,899.93	04/30/21	3,050.00	...	849.93	54 of 55 - Option sale to close-call 25
	50.000	7,999.85	04/30/21	6,100.00	...	1,899.85	55 of 55 - Option sale to close-call 25
04/30/21	444.000	60,340.66	Various	54,924.92	...	5,415.74	Total of 55 transactions
	59 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	1.000	117.98	04/30/21	120.03	2.05 W	0.00	1 of 59 - Option sale to close-call 25
	1.000	118.00	04/30/21	125.00	7.00 W	0.00	2 of 59 - Option sale to close-call 25
	1.000	118.00	04/30/21	125.01	7.01 W	0.00	3 of 59 - Option sale to close-call 25
	1.000	127.00	04/30/21	147.00	20.00 W	0.00	4 of 59 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	127.00	04/30/21	147.01	20.01 W	0.00	5 of 59 - Option sale to close-call 25
	1.000	127.00	04/30/21	152.00	25.00 W	0.00	6 of 59 - Option sale to close-call 25
	1.000	127.00	04/30/21	180.01	53.01 W	0.00	7 of 59 - Option sale to close-call 25
	1.000	127.00	04/30/21	180.01	53.01 W	0.00	8 of 59 - Option sale to close-call 25
	1.000	131.98	04/30/21	147.01	15.03 W	0.00	9 of 59 - Option sale to close-call 25
	1.000	131.98	04/30/21	147.01	15.03 W	0.00	10 of 59 - Option sale to close-call 25
	1.000	132.00	04/30/21	161.01	29.01 W	0.00	11 of 59 - Option sale to close-call 25
	1.000	132.00	04/30/21	175.01	43.01 W	0.00	12 of 59 - Option sale to close-call 25
	1.000	132.00	04/30/21	175.01	43.01 W	0.00	13 of 59 - Option sale to close-call 25
	1.000	132.00	04/30/21	175.01	43.01 W	0.00	14 of 59 - Option sale to close-call 25
	1.000	151.00	04/30/21	156.01	5.01 W	0.00	15 of 59 - Option sale to close-call 25
	2.000	253.98	04/30/21	282.00	28.02 W	0.00	16 of 59 - Option sale to close-call 25
	2.000	253.99	04/30/21	302.01	48.02 W	0.00	17 of 59 - Option sale to close-call 25
	2.000	253.99	04/30/21	304.01	50.02 W	0.00	18 of 59 - Option sale to close-call 25
	2.000	253.99	04/30/21	304.02	50.03 W	0.00	19 of 59 - Option sale to close-call 25
	2.000	253.99	04/30/21	330.02	76.03 W	0.00	20 of 59 - Option sale to close-call 25
	2.000	253.99	04/30/21	332.03	78.04 W	0.00	21 of 59 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	2.000	253.99	04/30/21	360.04	106.05 W	0.00	22 of 59 - Option sale to close-call 25
	2.000	255.99	04/30/21	276.00	20.01 W	0.00	23 of 59 - Option sale to close-call 25
	2.000	259.99	04/30/21	322.02	62.03 W	0.00	24 of 59 - Option sale to close-call 25
	2.000	263.98	04/30/21	294.01	30.03 W	0.00	25 of 59 - Option sale to close-call 25
	2.000	263.99	04/30/21	320.03	56.04 W	0.00	26 of 59 - Option sale to close-call 25
	2.000	263.99	04/30/21	350.05	86.06 W	0.00	27 of 59 - Option sale to close-call 25
	3.000	353.99	04/30/21	375.04	21.05 W	0.00	28 of 59 - Option sale to close-call 25
	3.000	380.98	04/30/21	414.00	33.02 W	0.00	29 of 59 - Option sale to close-call 25
	3.000	380.99	04/30/21	441.03	60.04 W	0.00	30 of 59 - Option sale to close-call 25
	3.000	380.99	04/30/21	456.02	75.03 W	0.00	31 of 59 - Option sale to close-call 25
	3.000	380.99	04/30/21	498.02	117.03 W	0.00	32 of 59 - Option sale to close-call 25
	3.000	395.98	04/30/21	522.03	126.05 W	0.00	33 of 59 - Option sale to close-call 25
	3.000	395.99	04/30/21	522.03	126.04 W	0.00	34 of 59 - Option sale to close-call 25
	4.000	507.99	04/30/21	608.02	100.03 W	0.00	35 of 59 - Option sale to close-call 25
	4.000	507.99	04/30/21	660.02	152.03 W	0.00	36 of 59 - Option sale to close-call 25
	4.000	507.99	04/30/21	716.03	208.04 W	0.00	37 of 59 - Option sale to close-call 25
	4.000	511.99	04/30/21	608.01	96.02 W	0.00	38 of 59 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	4.000	527.99	04/30/21	696.04	168.05 W	0.00	39 of 59 - Option sale to close-call 25
	5.000	634.98	04/30/21	690.00	55.02 W	0.00	40 of 59 - Option sale to close-call 25
	5.000	634.99	04/30/21	680.01	45.02 W	0.00	41 of 59 - Option sale to close-call 25
	5.000	634.99	04/30/21	705.00	70.01 W	0.00	42 of 59 - Option sale to close-call 25
	5.000	754.98	04/30/21	780.03	25.05 W	0.00	43 of 59 - Option sale to close-call 25
	6.000	761.98	04/30/21	1,080.05	318.07 W	0.00	44 of 59 - Option sale to close-call 25
	6.000	761.99	04/30/21	990.04	228.05 W	0.00	45 of 59 - Option sale to close-call 25
	6.000	761.99	04/30/21	1,074.05	312.06 W	0.00	46 of 59 - Option sale to close-call 25
	6.000	767.98	04/30/21	912.02	144.04 W	0.00	47 of 59 - Option sale to close-call 25
	6.000	791.98	04/30/21	882.03	90.05 W	0.00	48 of 59 - Option sale to close-call 25
	6.000	791.98	04/30/21	1,050.07	258.09 W	0.00	49 of 59 - Option sale to close-call 25
	7.000	888.98	04/30/21	1,162.04	273.06 W	0.00	50 of 59 - Option sale to close-call 25
	8.000	1,015.98	04/30/21	1,216.02	200.04 W	0.00	51 of 59 - Option sale to close-call 25
	9.000	1,187.97	04/30/21	1,575.10	387.13 W	0.00	52 of 59 - Option sale to close-call 25
	10.000	1,269.97	04/30/21	1,380.00	110.03 W	0.00	53 of 59 - Option sale to close-call 25
	10.000	1,269.97	04/30/21	1,410.00	140.03 W	0.00	54 of 59 - Option sale to close-call 25
	10.000	1,269.97	04/30/21	1,800.08	530.11 W	0.00	55 of 59 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	9.000	1,358.97	04/30/21	1,404.06	45.09 W	0.00	56 of 59 - Option sale to close-call <sub>25</sub>
	11.000	1,396.97	04/30/21	1,826.06	429.09 W	0.00	57 of 59 - Option sale to close-call <sub>25</sub>
	18.000	2,285.94	04/30/21	2,538.00	252.06 W	0.00	58 of 59 - Option sale to close-call <sub>25</sub>
	18.000	2,285.96	04/30/21	2,736.06	450.10 W	0.00	59 of 59 - Option sale to close-call <sub>25</sub>
04/30/21	246.000	31,780.18	Various	38,495.39	6,715.21 W	0.00	Total of 59 transactions
	<b>Security total:</b>	<b>178,764.76</b>		<b>173,650.64</b>	<b>10,490.64 W</b>	<b>15,604.76</b>	

FB 04/30/2021 CALL \$330.00 / CUSIP: / Symbol:

40 transactions for 04/29/21. Total proceeds and cost reported to the IRS.

	87.000	19,139.73	04/29/21	20,967.00	...	-1,827.27	1 of 40 - Option sale to close-call <sub>25</sub>
	65.000	14,299.79	04/29/21	14,820.00	...	-520.21	2 of 40 - Option sale to close-call <sub>25</sub>
	28.000	6,159.90	04/29/21	6,384.00	...	-224.10	3 of 40 - Option sale to close-call <sub>25</sub>
	2.000	439.99	04/29/21	524.01	...	-84.02	4 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	283.04	...	-63.04	5 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	283.03	...	-63.03	6 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	283.02	...	-63.02	7 of 40 - Option sale to close-call <sub>25</sub>
	3.000	659.99	04/29/21	684.00	...	-24.01	8 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	228.00	...	-8.00	9 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	228.00	...	-8.00	10 of 40 - Option sale to close-call <sub>25</sub>
	1.000	220.00	04/29/21	228.00	...	-8.00	11 of 40 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$330.00 / CUSIP: / Symbol: (cont'd)							
	1.000	220.00	04/29/21	228.00	...	-8.00	12 of 40 - Option sale to close-call <sub>25</sub>
	1.000	267.98	04/29/21	206.00	...	61.98	13 of 40 - Option sale to close-call <sub>25</sub>
	1.000	267.98	04/29/21	206.00	...	61.98	14 of 40 - Option sale to close-call <sub>25</sub>
	1.000	269.98	04/29/21	207.00	...	62.98	15 of 40 - Option sale to close-call <sub>25</sub>
	1.000	269.98	04/29/21	207.00	...	62.98	16 of 40 - Option sale to close-call <sub>25</sub>
	1.000	269.98	04/29/21	207.00	...	62.98	17 of 40 - Option sale to close-call <sub>25</sub>
	1.000	270.00	04/29/21	207.00	...	63.00	18 of 40 - Option sale to close-call <sub>25</sub>
	1.000	269.99	04/29/21	205.00	...	64.99	19 of 40 - Option sale to close-call <sub>25</sub>
	1.000	270.00	04/29/21	205.00	...	65.00	20 of 40 - Option sale to close-call <sub>25</sub>
	1.000	277.98	04/29/21	206.00	...	71.98	21 of 40 - Option sale to close-call <sub>25</sub>
	1.000	280.00	04/29/21	206.00	...	74.00	22 of 40 - Option sale to close-call <sub>25</sub>
	1.000	280.00	04/29/21	206.00	...	74.00	23 of 40 - Option sale to close-call <sub>25</sub>
	1.000	280.00	04/29/21	206.00	...	74.00	24 of 40 - Option sale to close-call <sub>25</sub>
	2.000	535.98	04/29/21	412.00	...	123.98	25 of 40 - Option sale to close-call <sub>25</sub>
	2.000	539.98	04/29/21	414.00	...	125.98	26 of 40 - Option sale to close-call <sub>25</sub>
	2.000	539.98	04/29/21	412.00	...	127.98	27 of 40 - Option sale to close-call <sub>25</sub>
	2.000	539.99	04/29/21	412.00	...	127.99	28 of 40 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/30/2021 CALL \$330.00 / CUSIP: / Symbol: (cont'd)							
	2.000	539.99	04/29/21	410.00	...	129.99	29 of 40 - Option sale to close-call <sup>25</sup>
	2.000	555.98	04/29/21	412.00	...	143.98	30 of 40 - Option sale to close-call <sup>25</sup>
	2.000	555.98	04/29/21	412.00	...	143.98	31 of 40 - Option sale to close-call <sup>25</sup>
	3.000	809.99	04/29/21	615.00	...	194.99	32 of 40 - Option sale to close-call <sup>25</sup>
	4.000	1,071.99	04/29/21	828.00	...	243.99	33 of 40 - Option sale to close-call <sup>25</sup>
	7.000	1,875.98	04/29/21	1,442.00	...	433.98	34 of 40 - Option sale to close-call <sup>25</sup>
	9.000	2,411.96	04/29/21	1,854.00	...	557.96	35 of 40 - Option sale to close-call <sup>25</sup>
	10.000	2,779.96	04/29/21	2,060.00	...	719.96	36 of 40 - Option sale to close-call <sup>25</sup>
	18.000	4,859.94	04/29/21	3,708.00	...	1,151.94	37 of 40 - Option sale to close-call <sup>25</sup>
	26.000	6,967.90	04/29/21	5,356.00	...	1,611.90	38 of 40 - Option sale to close-call <sup>25</sup>
	100.000	27,299.66	04/29/21	25,500.00	...	1,799.66	39 of 40 - Option sale to close-call <sup>25</sup>
	84.000	23,519.70	04/29/21	17,304.00	...	6,215.70	40 of 40 - Option sale to close-call <sup>25</sup>
04/29/21	479.000	120,918.23	Various	109,165.10	...	11,753.13	Total of 40 transactions
	5 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	1.000	219.98	04/29/21	241.00	21.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	219.98	04/29/21	262.00	42.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	219.98	04/29/21	262.01	42.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	219.98	04/29/21	262.02	42.04 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	879.98	04/29/21	964.00	84.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
04/29/21	8.000	1,759.90	Various	1,991.03	231.13 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>122,678.13</b>		<b>111,156.13</b>	<b>231.13 W</b>	<b>11,753.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/08/2021 PUT \$320.00 / CUSIP: / Symbol:							
10 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	1.000	429.99	10/04/21	410.00	...	19.99	1 of 10 - Option sale to close-put <sup>25</sup>
	2.000	859.99	10/04/21	820.00	...	39.99	2 of 10 - Option sale to close-put <sup>25</sup>
	2.000	961.99	10/04/21	920.00	...	41.99	3 of 10 - Option sale to close-put <sup>25</sup>
	1.000	445.00	10/04/21	390.00	...	55.00	4 of 10 - Option sale to close-put <sup>25</sup>
	2.000	859.99	10/04/21	794.00	...	65.99	5 of 10 - Option sale to close-put <sup>25</sup>
	1.000	481.00	10/04/21	390.00	...	91.00	6 of 10 - Option sale to close-put <sup>25</sup>
	3.000	1,334.99	10/04/21	1,170.00	...	164.99	7 of 10 - Option sale to close-put <sup>25</sup>
	5.000	2,149.98	10/04/21	1,950.00	...	199.98	8 of 10 - Option sale to close-put <sup>25</sup>
	8.000	3,559.96	10/04/21	3,176.00	...	383.96	9 of 10 - Option sale to close-put <sup>25</sup>
	19.000	9,138.91	10/04/21	7,752.00	...	1,386.91	10 of 10 - Option sale to close-put <sup>25</sup>
10/04/21	44.000	20,221.80	Various	17,772.00	...	2,449.80	Total of 10 transactions
FB 10/29/2021 PUT \$325.00 / CUSIP: / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	674.99	10/26/21	590.00	...	84.99	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	2,699.98	10/26/21	2,460.00	...	239.98	2 of 3 - Option sale to close-put <sup>25</sup>
	6.000	4,049.97	10/26/21	3,690.00	...	359.97	3 of 3 - Option sale to close-put <sup>25</sup>
10/26/21	11.000	7,424.94	Various	6,740.00	...	684.94	Total of 3 transactions
FOSL 06/04/2021 CALL \$15.00 / CUSIP: / Symbol:							
9 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	104.98	06/02/21	74.00	...	30.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	104.98	06/02/21	74.00	...	30.98	2 of 9 - Option sale to close-call <sup>25</sup>
	5.000	424.98	06/02/21	370.00	...	54.98	3 of 9 - Option sale to close-call <sup>25</sup>
	3.000	314.98	06/02/21	222.00	...	92.98	4 of 9 - Option sale to close-call <sup>25</sup>
	5.000	524.98	06/02/21	370.00	...	154.98	5 of 9 - Option sale to close-call <sup>25</sup>
	10.000	1,049.97	06/02/21	740.00	...	309.97	6 of 9 - Option sale to close-call <sup>25</sup>
	20.000	1,799.95	06/02/21	1,480.00	...	319.95	7 of 9 - Option sale to close-call <sup>25</sup>
	30.000	2,549.92	06/02/21	2,220.00	...	329.92	8 of 9 - Option sale to close-call <sup>25</sup>
	25.000	2,624.93	06/02/21	1,850.00	...	774.93	9 of 9 - Option sale to close-call <sup>25</sup>
06/02/21	100.000	9,499.67	Various	7,400.00	...	2,099.67	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 04/30/2021 CALL \$67.50 / CUSIP: / Symbol:							
5 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	5.000	1,029.98	04/22/21	1,500.02	470.04 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	7.000	1,399.98	04/22/21	1,757.00	357.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	7.000	1,441.98	04/22/21	2,142.02	700.04 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	38.000	7,827.88	04/22/21	9,690.00	1,862.12 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	43.000	8,857.86	04/22/21	10,793.00	1,935.14 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
04/22/21	100.000	20,557.68	Various	25,882.04	5,324.36 W	0.00	Total of 5 transactions
16 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	19.000	5,072.94	04/23/21	5,928.06	...	-855.12	1 of 16 - Option sale to close-call <sup>25</sup>
	5.000	1,334.98	04/23/21	1,815.03	...	-480.05	2 of 16 - Option sale to close-call <sup>25</sup>
	10.000	2,659.97	04/23/21	3,120.03	...	-460.06	3 of 16 - Option sale to close-call <sup>25</sup>
	5.000	1,329.98	04/23/21	1,785.04	...	-455.06	4 of 16 - Option sale to close-call <sup>25</sup>
	10.000	2,659.97	04/23/21	3,100.07	...	-440.10	5 of 16 - Option sale to close-call <sup>25</sup>
	9.000	2,402.97	04/23/21	2,808.03	...	-405.06	6 of 16 - Option sale to close-call <sup>25</sup>
	9.000	2,393.96	04/23/21	2,655.06	...	-261.10	7 of 16 - Option sale to close-call <sup>25</sup>
	5.000	1,329.98	04/23/21	1,535.03	...	-205.05	8 of 16 - Option sale to close-call <sup>25</sup>
	2.000	533.99	04/23/21	736.01	...	-202.02	9 of 16 - Option sale to close-call <sup>25</sup>
	3.000	797.99	04/23/21	921.02	...	-123.03	10 of 16 - Option sale to close-call <sup>25</sup>
	2.000	531.99	04/23/21	620.01	...	-88.02	11 of 16 - Option sale to close-call <sup>25</sup>
	2.000	531.99	04/23/21	574.01	...	-42.02	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	266.00	04/23/21	307.01	...	-41.01	13 of 16 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 04/30/2021 CALL \$67.50 / CUSIP: / Symbol: (cont'd)							
	1.000	266.00	04/23/21	307.01	...	-41.01	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	266.00	04/23/21	296.01	...	-30.01	15 of 16 - Option sale to close-call <sup>25</sup>
	1.000	266.00	04/23/21	287.01	...	-21.01	16 of 16 - Option sale to close-call <sup>25</sup>
04/23/21	85.000	22,644.71	Various	26,794.44	...	-4,149.73	Total of 16 transactions
	3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	15.000	4,004.95	04/23/21	4,695.05	552.08 W	-138.02	1 of 3 - Option sale to close-call <sup>25</sup>
	10.000	2,659.96	04/23/21	3,130.03	470.07 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	13.000	3,457.95	04/23/21	4,069.04	611.09 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/23/21	38.000	10,122.86	Various	11,894.12	1,633.24 W	-138.02	Total of 3 transactions
	<b>Security total:</b>	<b>53,325.25</b>		<b>64,570.60</b>	<b>6,957.60 W</b>	<b>-4,287.75</b>	
FSLY 10/22/2021 CALL \$50.00 / CUSIP: / Symbol:							
	5 transactions for 10/20/21. Total proceeds and cost reported to the IRS.						
	1.000	184.00	10/19/21	131.00	...	53.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	183.98	10/19/21	126.00	...	57.98	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	551.98	10/19/21	393.00	...	158.98	3 of 5 - Option sale to close-call <sup>25</sup>
	9.000	1,655.97	10/19/21	1,134.00	...	521.97	4 of 5 - Option sale to close-call <sup>25</sup>
	11.000	2,430.96	10/19/21	1,441.00	...	989.96	5 of 5 - Option sale to close-call <sup>25</sup>
10/20/21	25.000	5,006.89	Various	3,225.00	...	1,781.89	Total of 5 transactions
FSLY 10/29/2021 CALL \$50.00 / CUSIP: / Symbol:							
	15 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	11.000	604.97	10/29/21	1,287.00	...	-682.03	1 of 15 - Option sale to close-call <sup>25</sup>
	10.000	549.97	10/29/21	1,170.00	...	-620.03	2 of 15 - Option sale to close-call <sup>25</sup>
	7.000	384.98	10/29/21	819.00	...	-434.02	3 of 15 - Option sale to close-call <sup>25</sup>
	4.000	219.98	10/29/21	468.00	...	-248.02	4 of 15 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 10/29/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	3.000	164.98	10/29/21	351.00	...	-186.02	5 of 15 - Option sale to close-call <sup>25</sup>
	3.000	164.98	10/29/21	351.00	...	-186.02	6 of 15 - Option sale to close-call <sup>25</sup>
	2.000	109.98	10/29/21	234.00	...	-124.02	7 of 15 - Option sale to close-call <sup>25</sup>
	2.000	109.98	10/29/21	234.00	...	-124.02	8 of 15 - Option sale to close-call <sup>25</sup>
	2.000	111.98	10/29/21	234.00	...	-122.02	9 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	11 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	12 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	13 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	14 of 15 - Option sale to close-call <sup>25</sup>
	1.000	54.98	10/29/21	117.00	...	-62.02	15 of 15 - Option sale to close-call <sup>25</sup>
10/29/21	50.000	2,751.68	Various	5,850.00	...	-3,098.32	Total of 15 transactions
FSR 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
6 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	6.000	1,439.98	03/04/21	1,668.00	...	-228.02	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,439.98	03/04/21	1,668.00	...	-228.02	2 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,439.98	03/04/21	1,668.00	...	-228.02	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	479.99	03/04/21	556.00	...	-76.01	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	479.99	03/04/21	556.00	...	-76.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	240.00	03/04/21	227.00	...	13.00	6 of 6 - Option sale to close-call <sup>25</sup>
03/04/21	23.000	5,519.92	Various	6,343.00	...	-823.08	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 03/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	190.00	02/26/21	150.00	...	40.00	1 of 2 - Option sale to close-call <sup>25</sup>
	30.000	5,699.90	02/26/21	4,740.00	...	959.90	2 of 2 - Option sale to close-call <sup>25</sup>
02/26/21	31.000	5,889.90	Various	4,890.00	...	999.90	Total of 2 transactions
FSR 03/12/2021 CALL \$22.50 / CUSIP: / Symbol:							
03/08/21	30.000	5,159.91	03/04/21	4,890.00	...	269.91	Option sale to close-call <sup>25</sup>
FSR 04/16/2021 CALL \$15.50 / CUSIP: / Symbol:							
9 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	12.000	0.00	04/09/21	768.00	...	-768.00	1 of 9 - Option expiration- long call
	5.000	0.00	04/09/21	320.00	...	-320.00	2 of 9 - Option expiration- long call
	5.000	0.00	04/09/21	320.00	...	-320.00	3 of 9 - Option expiration- long call
	3.000	0.00	04/09/21	192.00	...	-192.00	4 of 9 - Option expiration- long call
	2.000	0.00	04/09/21	128.00	...	-128.00	5 of 9 - Option expiration- long call
	2.000	0.00	04/09/21	128.00	...	-128.00	6 of 9 - Option expiration- long call
	1.000	0.00	04/09/21	64.00	...	-64.00	7 of 9 - Option expiration- long call
	7.000	0.00	04/12/21	203.00	...	-203.00	8 of 9 - Option expiration- long call
	7.000	0.00	04/12/21	203.00	...	-203.00	9 of 9 - Option expiration- long call
04/16/21	44.000	0.00	Various	2,326.00	...	-2,326.00	Total of 9 transactions
FSR 04/23/2021 CALL \$13.50 / CUSIP: / Symbol:							
10 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	04/19/21	42.00	...	58.00	1 of 10 - Option sale to close-call <sup>25</sup>
	6.000	599.98	04/19/21	252.00	...	347.98	2 of 10 - Option sale to close-call <sup>25</sup>
	5.000	719.98	04/19/21	210.00	...	509.98	3 of 10 - Option sale to close-call <sup>25</sup>
	5.000	719.98	04/19/21	190.00	...	529.98	4 of 10 - Option sale to close-call <sup>25</sup>
	5.000	719.99	04/19/21	190.00	...	529.99	5 of 10 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 04/23/2021 CALL \$13.50 / CUSIP: / Symbol: (cont'd)							
	13.000	1,299.96	04/19/21	546.00	...	753.96	6 of 10 - Option sale to close-call 25
	10.000	1,439.97	04/19/21	410.00	...	1,029.97	7 of 10 - Option sale to close-call 25
	20.000	2,339.94	04/19/21	840.00	...	1,499.94	8 of 10 - Option sale to close-call 25
	30.000	2,999.92	04/19/21	1,260.00	...	1,739.92	9 of 10 - Option sale to close-call 25
	25.000	3,599.93	04/19/21	1,050.00	...	2,549.93	10 of 10 - Option sale to close-call 25
04/20/21	120.000	14,539.65	Various	4,990.00	...	9,549.65	Total of 10 transactions
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol:							
81 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	1.000	46.98	04/21/21	40.00	...	6.98	1 of 81 - Option sale to close-call 25
	1.000	46.98	04/21/21	40.00	...	6.98	2 of 81 - Option sale to close-call 25
	1.000	46.98	04/21/21	40.00	...	6.98	3 of 81 - Option sale to close-call 25
	1.000	46.98	04/21/21	40.00	...	6.98	4 of 81 - Option sale to close-call 25
	1.000	47.00	04/21/21	40.00	...	7.00	5 of 81 - Option sale to close-call 25
	1.000	47.98	04/21/21	40.00	...	7.98	6 of 81 - Option sale to close-call 25
	1.000	47.98	04/21/21	40.00	...	7.98	7 of 81 - Option sale to close-call 25
	1.000	48.00	04/21/21	40.00	...	8.00	8 of 81 - Option sale to close-call 25
	1.000	48.98	04/21/21	40.00	...	8.98	9 of 81 - Option sale to close-call 25
	1.000	48.98	04/21/21	40.00	...	8.98	10 of 81 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	48.98	04/21/21	40.00	...	8.98	11 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	12 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	13 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	14 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	15 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	16 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	17 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	18 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	19 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	20 of 81 - Option sale to close-call <sub>25</sub>
	1.000	48.98	04/21/21	40.00	...	8.98	21 of 81 - Option sale to close-call <sub>25</sub>
	1.000	51.98	04/21/21	40.00	...	11.98	22 of 81 - Option sale to close-call <sub>25</sub>
	1.000	52.00	04/21/21	40.00	...	12.00	23 of 81 - Option sale to close-call <sub>25</sub>
	1.000	52.00	04/21/21	40.00	...	12.00	24 of 81 - Option sale to close-call <sub>25</sub>
	1.000	52.00	04/21/21	40.00	...	12.00	25 of 81 - Option sale to close-call <sub>25</sub>
	2.000	93.98	04/21/21	80.00	...	13.98	26 of 81 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/21/21	80.00	...	13.99	27 of 81 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	95.98	04/21/21	80.00	...	15.98	28 of 81 - Option sale to close-call 25
	2.000	95.98	04/21/21	80.00	...	15.98	29 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	30 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	31 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	32 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	33 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	34 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	35 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	36 of 81 - Option sale to close-call 25
	2.000	97.98	04/21/21	80.00	...	17.98	37 of 81 - Option sale to close-call 25
	3.000	140.98	04/21/21	120.00	...	20.98	38 of 81 - Option sale to close-call 25
	3.000	140.99	04/21/21	120.00	...	20.99	39 of 81 - Option sale to close-call 25
	2.000	103.98	04/21/21	80.00	...	23.98	40 of 81 - Option sale to close-call 25
	2.000	103.98	04/21/21	80.00	...	23.98	41 of 81 - Option sale to close-call 25
	2.000	103.98	04/21/21	80.00	...	23.98	42 of 81 - Option sale to close-call 25
	2.000	103.98	04/21/21	80.00	...	23.98	43 of 81 - Option sale to close-call 25
	2.000	103.98	04/21/21	80.00	...	23.98	44 of 81 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	3.000	143.98	04/21/21	120.00	...	23.98	45 of 81 - Option sale to close-call <sub>25</sub>
	2.000	104.00	04/21/21	80.00	...	24.00	46 of 81 - Option sale to close-call <sub>25</sub>
	3.000	146.98	04/21/21	120.00	...	26.98	47 of 81 - Option sale to close-call <sub>25</sub>
	4.000	187.98	04/21/21	160.00	...	27.98	48 of 81 - Option sale to close-call <sub>25</sub>
	4.000	187.99	04/21/21	160.00	...	27.99	49 of 81 - Option sale to close-call <sub>25</sub>
	5.000	234.99	04/21/21	200.00	...	34.99	50 of 81 - Option sale to close-call <sub>25</sub>
	4.000	195.98	04/21/21	160.00	...	35.98	51 of 81 - Option sale to close-call <sub>25</sub>
	4.000	195.98	04/21/21	160.00	...	35.98	52 of 81 - Option sale to close-call <sub>25</sub>
	4.000	195.98	04/21/21	160.00	...	35.98	53 of 81 - Option sale to close-call <sub>25</sub>
	4.000	195.98	04/21/21	160.00	...	35.98	54 of 81 - Option sale to close-call <sub>25</sub>
	3.000	155.99	04/21/21	120.00	...	35.99	55 of 81 - Option sale to close-call <sub>25</sub>
	5.000	239.98	04/21/21	200.00	...	39.98	56 of 81 - Option sale to close-call <sub>25</sub>
	6.000	281.98	04/21/21	240.00	...	41.98	57 of 81 - Option sale to close-call <sub>25</sub>
	5.000	244.98	04/21/21	200.00	...	44.98	58 of 81 - Option sale to close-call <sub>25</sub>
	6.000	293.98	04/21/21	240.00	...	53.98	59 of 81 - Option sale to close-call <sub>25</sub>
	8.000	375.97	04/21/21	320.00	...	55.97	60 of 81 - Option sale to close-call <sub>25</sub>
	8.000	375.97	04/21/21	320.00	...	55.97	61 of 81 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	5.000	259.99	04/21/21	200.00	...	59.99	62 of 81 - Option sale to close-call <sub>25</sub>
	7.000	342.98	04/21/21	280.00	...	62.98	63 of 81 - Option sale to close-call <sub>25</sub>
	8.000	391.97	04/21/21	320.00	...	71.97	64 of 81 - Option sale to close-call <sub>25</sub>
	8.000	391.97	04/21/21	320.00	...	71.97	65 of 81 - Option sale to close-call <sub>25</sub>
	8.000	391.97	04/21/21	320.00	...	71.97	66 of 81 - Option sale to close-call <sub>25</sub>
	9.000	431.97	04/21/21	360.00	...	71.97	67 of 81 - Option sale to close-call <sub>25</sub>
	6.000	311.99	04/21/21	240.00	...	71.99	68 of 81 - Option sale to close-call <sub>25</sub>
	9.000	440.97	04/21/21	360.00	...	80.97	69 of 81 - Option sale to close-call <sub>25</sub>
	11.000	527.97	04/21/21	440.00	...	87.97	70 of 81 - Option sale to close-call <sub>25</sub>
	10.000	489.97	04/21/21	400.00	...	89.97	71 of 81 - Option sale to close-call <sub>25</sub>
	10.000	489.98	04/21/21	400.00	...	89.98	72 of 81 - Option sale to close-call <sub>25</sub>
	8.000	415.98	04/21/21	320.00	...	95.98	73 of 81 - Option sale to close-call <sub>25</sub>
	11.000	538.97	04/21/21	440.00	...	98.97	74 of 81 - Option sale to close-call <sub>25</sub>
	12.000	587.97	04/21/21	480.00	...	107.97	75 of 81 - Option sale to close-call <sub>25</sub>
	15.000	719.96	04/21/21	600.00	...	119.96	76 of 81 - Option sale to close-call <sub>25</sub>
	13.000	675.97	04/21/21	520.00	...	155.97	77 of 81 - Option sale to close-call <sub>25</sub>
	18.000	881.95	04/21/21	720.00	...	161.95	78 of 81 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/23/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	40.000	1,959.91	04/21/21	1,560.00	...	399.91	79 of 81 - Option sale to close-call <sup>25</sup>
	69.000	3,587.84	04/21/21	2,760.00	...	827.84	80 of 81 - Option sale to close-call <sup>25</sup>
	80.000	4,159.80	04/21/21	3,200.00	...	959.80	81 of 81 - Option sale to close-call <sup>25</sup>
04/21/21	500.000	24,948.01	Various	19,960.00	...	4,988.01	Total of 81 transactions
FUBO 04/30/2021 CALL \$20.00 / CUSIP: / Symbol:							
7 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	130.00	04/22/21	123.00	...	7.00	1 of 7 - Option sale to close-call <sup>25</sup>
	7.000	909.98	04/22/21	861.00	...	48.98	2 of 7 - Option sale to close-call <sup>25</sup>
	10.000	1,379.97	04/22/21	1,230.00	...	149.97	3 of 7 - Option sale to close-call <sup>25</sup>
	33.000	4,289.91	04/22/21	4,059.00	...	230.91	4 of 7 - Option sale to close-call <sup>25</sup>
	59.000	7,787.84	04/22/21	7,257.00	...	530.84	5 of 7 - Option sale to close-call <sup>25</sup>
	36.000	4,967.90	04/22/21	4,428.00	...	539.90	6 of 7 - Option sale to close-call <sup>25</sup>
	54.000	7,451.85	04/22/21	6,642.00	...	809.85	7 of 7 - Option sale to close-call <sup>25</sup>
04/22/21	200.000	26,917.45	Various	24,600.00	...	2,317.45	Total of 7 transactions
FUBO 04/30/2021 CALL \$21.00 / CUSIP: / Symbol:							
4 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	7.000	1,007.98	04/26/21	966.00	...	41.98	1 of 4 - Option sale to close-call <sup>25</sup>
	8.000	1,151.98	04/26/21	1,104.00	...	47.98	2 of 4 - Option sale to close-call <sup>25</sup>
	18.000	2,591.95	04/26/21	2,484.00	...	107.95	3 of 4 - Option sale to close-call <sup>25</sup>
	37.000	5,327.89	04/26/21	5,106.00	...	221.89	4 of 4 - Option sale to close-call <sup>25</sup>
04/26/21	70.000	10,079.80	Various	9,660.00	...	419.80	Total of 4 transactions
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	5.000	669.98	04/26/21	690.00	20.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	70.000	9,309.81	04/26/21	9,660.00	350.19 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	75.000	9,979.79	Various	10,350.00	370.21 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/30/2021 CALL \$21.00 / CUSIP: / Symbol: (cont'd)							
31 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	141.98	04/26/21	136.00	...	5.98	1 of 31 - Option sale to close-call 25
	1.000	146.00	04/26/21	134.00	...	12.00	2 of 31 - Option sale to close-call 25
	1.000	142.00	04/26/21	125.00	...	17.00	3 of 31 - Option sale to close-call 25
	1.000	142.00	04/26/21	125.00	...	17.00	4 of 31 - Option sale to close-call 25
	1.000	158.00	04/26/21	141.00	...	17.00	5 of 31 - Option sale to close-call 25
	1.000	158.00	04/26/21	141.00	...	17.00	6 of 31 - Option sale to close-call 25
	1.000	158.98	04/26/21	136.00	...	22.98	7 of 31 - Option sale to close-call 25
	2.000	315.99	04/26/21	272.00	...	43.99	8 of 31 - Option sale to close-call 25
	4.000	583.99	04/26/21	536.02	...	47.97	9 of 31 - Option sale to close-call 25
	3.000	425.98	04/26/21	375.00	...	50.98	10 of 31 - Option sale to close-call 25
	3.000	473.99	04/26/21	423.01	...	50.98	11 of 31 - Option sale to close-call 25
	14.000	1,987.96	04/26/21	1,904.00	...	83.96	12 of 31 - Option sale to close-call 25
	5.000	794.98	04/26/21	680.00	...	114.98	13 of 31 - Option sale to close-call 25
	15.000	2,189.95	04/26/21	2,025.04	...	164.91	14 of 31 - Option sale to close-call 25
	9.000	1,430.97	04/26/21	1,224.00	...	206.97	15 of 31 - Option sale to close-call 25
	13.000	2,053.96	04/26/21	1,768.00	...	285.96	16 of 31 - Option sale to close-call 25
	25.000	3,724.93	04/26/21	3,375.07	...	349.86	17 of 31 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/30/2021 CALL \$21.00 / CUSIP: / Symbol: (cont'd)							
	25.000	3,799.93	04/26/21	3,375.07	...	424.86	18 of 31 - Option sale to close-call <sub>25</sub>
	55.000	8,029.85	04/26/21	7,590.00	...	439.85	19 of 31 - Option sale to close-call <sub>25</sub>
	20.000	3,199.94	04/26/21	2,720.00	...	479.94	20 of 31 - Option sale to close-call <sub>25</sub>
	1.000	113.00	04/27/21	93.00	...	20.00	21 of 31 - Option sale to close-call <sub>25</sub>
	1.000	113.00	04/27/21	93.00	...	20.00	22 of 31 - Option sale to close-call <sub>25</sub>
	2.000	226.00	04/27/21	186.00	...	40.00	23 of 31 - Option sale to close-call <sub>25</sub>
	3.000	350.99	04/27/21	279.00	...	71.99	24 of 31 - Option sale to close-call <sub>25</sub>
	8.000	903.97	04/27/21	744.00	...	159.97	25 of 31 - Option sale to close-call <sub>25</sub>
	7.000	818.98	04/27/21	651.00	...	167.98	26 of 31 - Option sale to close-call <sub>25</sub>
	10.000	1,129.97	04/27/21	930.00	...	199.97	27 of 31 - Option sale to close-call <sub>25</sub>
	28.000	3,163.92	04/27/21	2,604.00	...	559.92	28 of 31 - Option sale to close-call <sub>25</sub>
	20.000	2,439.94	04/27/21	1,860.00	...	579.94	29 of 31 - Option sale to close-call <sub>25</sub>
	30.000	3,659.92	04/27/21	2,790.00	...	869.92	30 of 31 - Option sale to close-call <sub>25</sub>
	90.000	10,529.77	04/27/21	8,370.00	...	2,159.77	31 of 31 - Option sale to close-call <sub>25</sub>
04/27/21	400.000	53,508.84	Various	45,805.21	...	7,703.63	Total of 31 transactions
	<b>Security total:</b>	<b>73,568.43</b>		<b>65,815.21</b>	<b>370.21 W</b>	<b>8,123.43</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol:							
<i>43 transactions for 04/21/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	679.98	04/21/21	885.03	...	-205.05	1 of 43 - Option sale to close-call 25
	5.000	679.98	04/21/21	885.03	...	-205.05	2 of 43 - Option sale to close-call 25
	4.000	543.99	04/21/21	708.04	...	-164.05	3 of 43 - Option sale to close-call 25
	3.000	407.99	04/21/21	531.04	...	-123.05	4 of 43 - Option sale to close-call 25
	9.000	1,223.97	04/21/21	1,332.05	...	-108.08	5 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	354.04	...	-82.05	6 of 43 - Option sale to close-call 25
	4.000	543.99	04/21/21	592.02	...	-48.03	7 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	177.01	...	-41.01	8 of 43 - Option sale to close-call 25
	3.000	407.99	04/21/21	444.03	...	-36.04	9 of 43 - Option sale to close-call 25
	3.000	407.99	04/21/21	444.02	...	-36.03	10 of 43 - Option sale to close-call 25
	3.000	407.99	04/21/21	444.01	...	-36.02	11 of 43 - Option sale to close-call 25
	2.000	271.98	04/21/21	296.02	...	-24.04	12 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.03	...	-24.04	13 of 43 - Option sale to close-call 25
	2.000	271.98	04/21/21	296.01	...	-24.03	14 of 43 - Option sale to close-call 25
	2.000	271.98	04/21/21	296.01	...	-24.03	15 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	16 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	17 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	271.99	04/21/21	296.01	...	-24.02	18 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	19 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	20 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	21 of 43 - Option sale to close-call 25
	2.000	271.99	04/21/21	296.01	...	-24.02	22 of 43 - Option sale to close-call 25
	1.000	135.98	04/21/21	148.00	...	-12.02	23 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	24 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	25 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	26 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	27 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	28 of 43 - Option sale to close-call 25
	1.000	135.99	04/21/21	148.01	...	-12.02	29 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	148.02	...	-12.02	30 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	148.01	...	-12.01	31 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	148.01	...	-12.01	32 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	148.01	...	-12.01	33 of 43 - Option sale to close-call 25
	1.000	136.00	04/21/21	148.01	...	-12.01	34 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	136.00	04/21/21	148.00	...	-12.00	35 of 43 - Option sale to close-call <sub>25</sub>
	1.000	136.00	04/21/21	148.00	...	-12.00	36 of 43 - Option sale to close-call <sub>25</sub>
	1.000	136.00	04/21/21	148.00	...	-12.00	37 of 43 - Option sale to close-call <sub>25</sub>
	1.000	136.00	04/21/21	148.00	...	-12.00	38 of 43 - Option sale to close-call <sub>25</sub>
	1.000	135.99	04/21/21	127.02	...	8.97	39 of 43 - Option sale to close-call <sub>25</sub>
	1.000	136.00	04/21/21	127.01	...	8.99	40 of 43 - Option sale to close-call <sub>25</sub>
	2.000	271.99	04/21/21	254.02	...	17.97	41 of 43 - Option sale to close-call <sub>25</sub>
	2.000	271.99	04/21/21	254.01	...	17.98	42 of 43 - Option sale to close-call <sub>25</sub>
	4.000	543.99	04/21/21	508.03	...	35.96	43 of 43 - Option sale to close-call <sub>25</sub>
04/21/21	90.000	12,239.60	Various	13,690.67	...	-1,451.07	Total of 43 transactions
	43 transactions for 04/21/21. Total proceeds and cost reported to the IRS.						
	2.000	159.98	04/20/21	268.00	108.02 W	0.00	1 of 43 - Option sale to close-call <sub>25</sub>
	2.000	159.99	04/20/21	326.02	166.03 W	0.00	2 of 43 - Option sale to close-call <sub>25</sub>
	3.000	239.98	04/20/21	402.00	162.02 W	0.00	3 of 43 - Option sale to close-call <sub>25</sub>
	3.000	239.99	04/20/21	489.02	249.03 W	0.00	4 of 43 - Option sale to close-call <sub>25</sub>
	4.000	319.98	04/20/21	652.01	332.03 W	0.00	5 of 43 - Option sale to close-call <sub>25</sub>
	5.000	399.99	04/20/21	815.01	415.02 W	0.00	6 of 43 - Option sale to close-call <sub>25</sub>
	6.000	479.98	04/20/21	804.00	324.02 W	0.00	7 of 43 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	6.000	479.99	04/20/21	978.02	498.03 W	0.00	8 of 43 - Option sale to close-call 25
	7.000	559.98	04/20/21	938.00	378.02 W	0.00	9 of 43 - Option sale to close-call 25
	8.000	639.97	04/20/21	1,072.00	432.03 W	0.00	10 of 43 - Option sale to close-call 25
	9.000	719.97	04/20/21	1,206.00	486.03 W	0.00	11 of 43 - Option sale to close-call 25
	10.000	799.97	04/20/21	1,340.00	540.03 W	0.00	12 of 43 - Option sale to close-call 25
	11.000	879.97	04/20/21	1,474.00	594.03 W	0.00	13 of 43 - Option sale to close-call 25
	17.000	1,359.96	04/20/21	2,278.00	918.04 W	0.00	14 of 43 - Option sale to close-call 25
	17.000	1,359.96	04/20/21	2,278.00	918.04 W	0.00	15 of 43 - Option sale to close-call 25
	1.000	137.98	04/21/21	171.00	33.02 W	0.00	16 of 43 - Option sale to close-call 25
	1.000	137.99	04/21/21	150.01	12.02 W	0.00	17 of 43 - Option sale to close-call 25
	1.000	138.00	04/21/21	150.01	12.01 W	0.00	18 of 43 - Option sale to close-call 25
	1.000	138.00	04/21/21	171.00	33.00 W	0.00	19 of 43 - Option sale to close-call 25
	1.000	138.00	04/21/21	171.00	33.00 W	0.00	20 of 43 - Option sale to close-call 25
	1.000	138.00	04/21/21	200.01	62.01 W	0.00	21 of 43 - Option sale to close-call 25
	2.000	275.98	04/21/21	342.01	66.03 W	0.00	22 of 43 - Option sale to close-call 25
	2.000	275.99	04/21/21	342.00	66.01 W	0.00	23 of 43 - Option sale to close-call 25
	2.000	275.99	04/21/21	342.01	66.02 W	0.00	24 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	275.99	04/21/21	342.01	66.02 W	0.00	25 of 43 - Option sale to close-call 25
	2.000	275.99	04/21/21	400.03	124.04 W	0.00	26 of 43 - Option sale to close-call 25
	3.000	413.98	04/21/21	513.01	99.03 W	0.00	27 of 43 - Option sale to close-call 25
	3.000	413.99	04/21/21	513.01	99.02 W	0.00	28 of 43 - Option sale to close-call 25
	3.000	413.99	04/21/21	513.01	99.02 W	0.00	29 of 43 - Option sale to close-call 25
	3.000	413.99	04/21/21	600.03	186.04 W	0.00	30 of 43 - Option sale to close-call 25
	4.000	551.99	04/21/21	600.02	48.03 W	0.00	31 of 43 - Option sale to close-call 25
	4.000	551.99	04/21/21	600.02	48.03 W	0.00	32 of 43 - Option sale to close-call 25
	4.000	551.99	04/21/21	684.01	132.02 W	0.00	33 of 43 - Option sale to close-call 25
	4.000	551.99	04/21/21	684.01	132.02 W	0.00	34 of 43 - Option sale to close-call 25
	4.000	551.99	04/21/21	800.03	248.04 W	0.00	35 of 43 - Option sale to close-call 25
	5.000	689.98	04/21/21	855.02	165.04 W	0.00	36 of 43 - Option sale to close-call 25
	5.000	689.99	04/21/21	855.01	165.02 W	0.00	37 of 43 - Option sale to close-call 25
	5.000	689.99	04/21/21	855.02	165.03 W	0.00	38 of 43 - Option sale to close-call 25
	5.000	689.99	04/21/21	1,000.02	310.03 W	0.00	39 of 43 - Option sale to close-call 25
	5.000	689.99	04/21/21	1,000.02	310.03 W	0.00	40 of 43 - Option sale to close-call 25
	8.000	1,103.98	04/21/21	1,368.02	264.04 W	0.00	41 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/07/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	9.000	1,241.97	04/21/21	1,539.02	297.05 W	0.00	42 of 43 - Option sale to close-call <sub>25</sub>
	10.000	1,379.97	04/21/21	1,710.03	330.06 W	0.00	43 of 43 - Option sale to close-call <sub>25</sub>
04/21/21	210.000	22,599.33	Various	32,790.48	10,191.15 W	0.00	Total of 43 transactions
	<b>Security total:</b>	<b>34,838.93</b>		<b>46,481.15</b>	<b>10,191.15 W</b>	<b>-1,451.07</b>	
FUBO 05/14/2021 CALL \$25.00 / CUSIP: / Symbol:							
21 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	143.00	04/27/21	142.00	...	1.00	1 of 21 - Option sale to close-call <sub>25</sub>
	1.000	143.00	04/27/21	142.00	...	1.00	2 of 21 - Option sale to close-call <sub>25</sub>
	1.000	142.98	04/27/21	141.00	...	1.98	3 of 21 - Option sale to close-call <sub>25</sub>
	1.000	142.98	04/27/21	141.00	...	1.98	4 of 21 - Option sale to close-call <sub>25</sub>
	2.000	285.99	04/27/21	284.00	...	1.99	5 of 21 - Option sale to close-call <sub>25</sub>
	2.000	285.98	04/27/21	282.00	...	3.98	6 of 21 - Option sale to close-call <sub>25</sub>
	5.000	714.98	04/27/21	710.00	...	4.98	7 of 21 - Option sale to close-call <sub>25</sub>
	2.000	285.99	04/27/21	280.00	...	5.99	8 of 21 - Option sale to close-call <sub>25</sub>
	3.000	428.99	04/27/21	423.00	...	5.99	9 of 21 - Option sale to close-call <sub>25</sub>
	3.000	428.99	04/27/21	423.00	...	5.99	10 of 21 - Option sale to close-call <sub>25</sub>
	4.000	571.99	04/27/21	564.00	...	7.99	11 of 21 - Option sale to close-call <sub>25</sub>
	4.000	571.99	04/27/21	564.00	...	7.99	12 of 21 - Option sale to close-call <sub>25</sub>
	5.000	714.98	04/27/21	705.00	...	9.98	13 of 21 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 05/14/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	7.000	1,000.98	04/27/21	987.00	...	13.98	14 of 21 - Option sale to close-call <sup>25</sup>
	8.000	1,143.97	04/27/21	1,128.00	...	15.97	15 of 21 - Option sale to close-call <sup>25</sup>
	9.000	1,286.97	04/27/21	1,269.00	...	17.97	16 of 21 - Option sale to close-call <sup>25</sup>
	9.000	1,286.97	04/27/21	1,269.00	...	17.97	17 of 21 - Option sale to close-call <sup>25</sup>
	20.000	2,859.95	04/27/21	2,840.00	...	19.95	18 of 21 - Option sale to close-call <sup>25</sup>
	13.000	1,858.96	04/27/21	1,833.00	...	25.96	19 of 21 - Option sale to close-call <sup>25</sup>
	37.000	5,290.89	04/27/21	5,254.00	...	36.89	20 of 21 - Option sale to close-call <sup>25</sup>
	83.000	11,868.77	04/27/21	11,703.00	...	165.77	21 of 21 - Option sale to close-call <sup>25</sup>
04/27/21	220.000	31,459.30	Various	31,084.00	...	375.30	Total of 21 transactions
FUBO 11/12/2021 PUT \$32.00 / CUSIP: / Symbol:							
7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	33.000	7,556.89	11/09/21	7,788.00	...	-231.11	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	257.03	...	-28.05	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	250.03	...	-21.05	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	250.02	...	-21.04	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	250.02	...	-21.04	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.99	11/09/21	250.03	...	-21.04	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	236.00	...	-7.02	7 of 7 - Option sale to close-put <sup>25</sup>
11/09/21	39.000	8,930.78	Various	9,281.13	...	-350.35	Total of 7 transactions
8 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	228.98	11/09/21	243.01	14.03 W	0.00	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	228.98	11/09/21	243.01	14.03 W	0.00	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	228.99	11/09/21	236.00	7.01 W	0.00	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	228.99	11/09/21	243.01	14.02 W	0.00	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	228.99	11/09/21	250.02	21.03 W	0.00	5 of 8 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 11/12/2021 PUT \$32.00 / CUSIP: / Symbol: (cont'd)							
	2.000	457.98	11/09/21	472.00	14.02 W	0.00	6 of 8 - Option sale to close-put <sup>25</sup>
	2.000	457.98	11/09/21	472.00	14.02 W	0.00	7 of 8 - Option sale to close-put <sup>25</sup>
	2.000	457.98	11/09/21	486.02	28.04 W	0.00	8 of 8 - Option sale to close-put <sup>25</sup>
11/09/21	11.000	2,518.87	Various	2,645.07	126.20 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>11,449.65</b>		<b>11,926.20</b>	<b>126.20 W</b>	<b>-350.35</b>	
GM 11/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
	7 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	99.000	7,325.76	11/17/21	7,326.00	...	-0.24	1 of 7 - Option sale to close-call <sup>25</sup>
	40.000	2,959.90	11/17/21	2,960.00	...	-0.10	2 of 7 - Option sale to close-call <sup>25</sup>
	27.000	1,997.93	11/17/21	1,998.00	...	-0.07	3 of 7 - Option sale to close-call <sup>25</sup>
	18.000	1,331.95	11/17/21	1,332.00	...	-0.05	4 of 7 - Option sale to close-call <sup>25</sup>
	8.000	591.97	11/17/21	592.00	...	-0.03	5 of 7 - Option sale to close-call <sup>25</sup>
	6.000	443.98	11/17/21	444.00	...	-0.02	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	148.00	11/17/21	148.00	...	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
11/17/21	200.000	14,799.49	Various	14,800.00	...	-0.51	Total of 7 transactions
GME 05/28/2021 PUT \$200.00 / CUSIP: / Symbol:							
	3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	2.000	1,819.98	05/25/21	1,790.00	...	29.98	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	2,729.97	05/25/21	2,685.00	...	44.97	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	4,549.96	05/25/21	4,475.00	...	74.96	3 of 3 - Option sale to close-put <sup>25</sup>
05/25/21	10.000	9,099.91	Various	8,950.00	...	149.91	Total of 3 transactions
GME 11/05/2021 CALL \$207.50 / CUSIP: / Symbol:							
	8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	4.000	2,339.98	11/01/21	2,988.06	...	-648.08	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1,169.99	11/01/21	1,494.03	...	-324.04	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	584.99	11/01/21	722.05	...	-137.06	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	585.00	11/01/21	722.05	...	-137.05	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1,169.99	11/01/21	1,254.04	...	-84.05	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1,599.99	11/01/21	1,560.00	...	39.99	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	1,814.99	11/01/21	1,701.00	...	113.99	7 of 8 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GME 11/05/2021 CALL \$207.50 / CUSIP: / Symbol: (cont'd)							
11/01/21	5.000	3,024.97	11/01/21	2,835.00	...	189.97	8 of 8 - Option sale to close-call <sup>25</sup>
	20.000	12,289.90	Various	13,276.23	...	-986.33	Total of 8 transactions
	16 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	594.98	11/01/21	855.02	260.04 W	0.00	1 of 16 - Option sale to close-call <sup>25</sup>
	1.000	594.98	11/01/21	855.02	260.04 W	0.00	2 of 16 - Option sale to close-call <sup>25</sup>
	1.000	604.99	11/01/21	827.04	222.05 W	0.00	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	604.99	11/01/21	827.04	222.05 W	0.00	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	799.99	11/01/21	1,080.01	280.02 W	0.00	5 of 16 - Option sale to close-call <sup>25</sup>
	1.000	799.99	11/01/21	1,080.01	280.02 W	0.00	6 of 16 - Option sale to close-call <sup>25</sup>
	1.000	799.99	11/01/21	1,100.00	300.01 W	0.00	7 of 16 - Option sale to close-call <sup>25</sup>
	1.000	799.99	11/01/21	1,100.00	300.01 W	0.00	8 of 16 - Option sale to close-call <sup>25</sup>
	2.000	1,209.99	11/01/21	1,464.03	254.04 W	0.00	9 of 16 - Option sale to close-call <sup>25</sup>
	2.000	1,209.99	11/01/21	1,704.02	494.03 W	0.00	10 of 16 - Option sale to close-call <sup>25</sup>
	2.000	1,599.98	11/01/21	1,914.01	314.03 W	0.00	11 of 16 - Option sale to close-call <sup>25</sup>
	2.000	1,599.99	11/01/21	2,154.01	554.02 W	0.00	12 of 16 - Option sale to close-call <sup>25</sup>
	4.000	2,419.98	11/01/21	3,408.04	988.06 W	0.00	13 of 16 - Option sale to close-call <sup>25</sup>
	4.000	3,199.98	11/01/21	4,308.02	1,108.04 W	0.00	14 of 16 - Option sale to close-call <sup>25</sup>
	4.000	3,199.98	11/01/21	4,388.00	1,188.02 W	0.00	15 of 16 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GME 11/05/2021 CALL \$207.50 / CUSIP: / Symbol: (cont'd)							
	4.000	3,199.98	11/01/21	4,388.00	1,188.02 W	0.00	16 of 16 - Option sale to close-call <sup>25</sup>
11/01/21	32.000	23,239.77	Various	31,452.27	8,212.50 W	0.00	Total of 16 transactions
	<b>Security total:</b>	<b>35,529.67</b>		<b>44,728.50</b>	<b>8,212.50 W</b>	<b>-986.33</b>	
GOEV 11/19/2021 CALL \$9.50 / CUSIP: / Symbol:							
6 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	75.00	11/16/21	30.00	...	45.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	11/16/21	30.00	...	45.00	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	299.98	11/16/21	150.00	...	149.98	3 of 6 - Option sale to close-call <sup>25</sup>
	18.000	1,349.95	11/16/21	540.00	...	809.95	4 of 6 - Option sale to close-call <sup>25</sup>
	20.000	1,499.95	11/16/21	680.00	...	819.95	5 of 6 - Option sale to close-call <sup>25</sup>
	40.000	2,639.90	11/16/21	1,360.00	...	1,279.90	6 of 6 - Option sale to close-call <sup>25</sup>
11/16/21	85.000	5,939.78	Various	2,790.00	...	3,149.78	Total of 6 transactions
12 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	110.00	11/17/21	95.00	...	15.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	110.00	11/17/21	95.00	...	15.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	110.00	11/17/21	95.00	...	15.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	110.00	11/17/21	95.00	...	15.00	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	219.99	11/17/21	190.00	...	29.99	5 of 12 - Option sale to close-call <sup>25</sup>
	2.000	220.00	11/17/21	190.00	...	30.00	6 of 12 - Option sale to close-call <sup>25</sup>
	6.000	659.98	11/17/21	570.00	...	89.98	7 of 12 - Option sale to close-call <sup>25</sup>
	6.000	659.98	11/17/21	570.00	...	89.98	8 of 12 - Option sale to close-call <sup>25</sup>
	12.000	1,319.97	11/17/21	1,140.00	...	179.97	9 of 12 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOEV 11/19/2021 CALL \$9.50 / CUSIP: / Symbol: (cont'd)							
	8.000	879.98	11/17/21	680.00	...	199.98	10 of 12 - Option sale to close-call <sub>25</sub>
	24.000	2,639.93	11/17/21	2,280.00	...	359.93	11 of 12 - Option sale to close-call <sub>25</sub>
	24.000	2,639.93	11/17/21	2,280.00	...	359.93	12 of 12 - Option sale to close-call <sub>25</sub>
11/17/21	88.000	9,679.76	Various	8,280.00	...	1,399.76	Total of 12 transactions
	<b>Security total:</b>	<b>15,619.54</b>		<b>11,070.00</b>	...	<b>4,549.54</b>	
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	28 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	277.00	10/29/21	273.00	...	4.00	1 of 28 - Option sale to close-call <sub>25</sub>
	4.000	1,107.98	10/29/21	1,092.02	...	15.96	2 of 28 - Option sale to close-call <sub>25</sub>
	3.000	929.99	10/29/21	912.03	...	17.96	3 of 28 - Option sale to close-call <sub>25</sub>
	1.000	299.98	10/29/21	273.00	...	26.98	4 of 28 - Option sale to close-call <sub>25</sub>
	1.000	310.00	10/29/21	278.01	...	31.99	5 of 28 - Option sale to close-call <sub>25</sub>
	1.000	310.00	10/29/21	278.00	...	32.00	6 of 28 - Option sale to close-call <sub>25</sub>
	1.000	310.00	10/29/21	278.00	...	32.00	7 of 28 - Option sale to close-call <sub>25</sub>
	1.000	310.00	10/29/21	278.00	...	32.00	8 of 28 - Option sale to close-call <sub>25</sub>
	1.000	310.00	10/29/21	278.00	...	32.00	9 of 28 - Option sale to close-call <sub>25</sub>
	1.000	284.98	10/29/21	245.00	...	39.98	10 of 28 - Option sale to close-call <sub>25</sub>
	1.000	284.99	10/29/21	245.00	...	39.99	11 of 28 - Option sale to close-call <sub>25</sub>
	1.000	284.99	10/29/21	245.00	...	39.99	12 of 28 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	285.00	10/29/21	245.00	...	40.00	13 of 28 - Option sale to close-call <sub>25</sub>
	1.000	285.00	10/29/21	245.00	...	40.00	14 of 28 - Option sale to close-call <sub>25</sub>
	1.000	285.00	10/29/21	245.00	...	40.00	15 of 28 - Option sale to close-call <sub>25</sub>
	1.000	285.00	10/29/21	245.00	...	40.00	16 of 28 - Option sale to close-call <sub>25</sub>
	1.000	285.00	10/29/21	245.00	...	40.00	17 of 28 - Option sale to close-call <sub>25</sub>
	2.000	599.98	10/29/21	546.01	...	53.97	18 of 28 - Option sale to close-call <sub>25</sub>
	1.000	309.99	10/29/21	245.00	...	64.99	19 of 28 - Option sale to close-call <sub>25</sub>
	2.000	569.99	10/29/21	490.00	...	79.99	20 of 28 - Option sale to close-call <sub>25</sub>
	3.000	929.99	10/29/21	834.01	...	95.98	21 of 28 - Option sale to close-call <sub>25</sub>
	3.000	854.98	10/29/21	735.00	...	119.98	22 of 28 - Option sale to close-call <sub>25</sub>
	6.000	1,859.97	10/29/21	1,668.03	...	191.94	23 of 28 - Option sale to close-call <sub>25</sub>
	3.000	929.99	10/29/21	735.00	...	194.99	24 of 28 - Option sale to close-call <sub>25</sub>
	4.000	1,239.98	10/29/21	980.00	...	259.98	25 of 28 - Option sale to close-call <sub>25</sub>
	12.000	3,419.94	10/29/21	2,940.00	...	479.94	26 of 28 - Option sale to close-call <sub>25</sub>
	17.000	4,708.94	10/29/21	4,080.00	...	628.94	27 of 28 - Option sale to close-call <sub>25</sub>
	25.000	7,499.91	10/29/21	6,825.08	...	674.83	28 of 28 - Option sale to close-call <sub>25</sub>
10/29/21	100.000	29,368.57	Various	25,978.19	...	3,390.38	Total of 28 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	3.000	830.99	10/29/21	1,008.02	177.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,107.98	10/29/21	1,240.00	132.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,107.98	10/29/21	1,240.00	132.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	42.000	11,633.86	10/29/21	13,020.00	1,386.14 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/29/21	53.000	14,680.81	Various	16,508.02	1,827.21 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>44,049.38</b>		<b>42,486.21</b>	<b>1,827.21 W</b>	<b>3,390.38</b>	
GRPN 03/05/2021 CALL \$43.00 / CUSIP: / Symbol:							
02/26/21	28.000	6,019.90	02/26/21	5,880.00	...	139.90	Option sale to close-call <sup>25</sup>
GRPN 10/01/2021 CALL \$25.00 / CUSIP: / Symbol:							
10 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	27.000	1,025.94	09/27/21	1,782.00	...	-756.06	1 of 10 - Option sale to close-call <sup>25</sup>
	19.000	721.96	09/27/21	1,292.00	...	-570.04	2 of 10 - Option sale to close-call <sup>25</sup>
	13.000	493.97	09/27/21	884.00	...	-390.03	3 of 10 - Option sale to close-call <sup>25</sup>
	12.000	455.97	09/27/21	816.00	...	-360.03	4 of 10 - Option sale to close-call <sup>25</sup>
	10.000	379.98	09/27/21	680.00	...	-300.02	5 of 10 - Option sale to close-call <sup>25</sup>
	7.000	265.98	09/27/21	469.00	...	-203.02	6 of 10 - Option sale to close-call <sup>25</sup>
	7.000	265.99	09/27/21	469.00	...	-203.01	7 of 10 - Option sale to close-call <sup>25</sup>
	4.000	151.99	09/27/21	272.00	...	-120.01	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	38.00	09/27/21	68.00	...	-30.00	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	38.00	09/27/21	59.00	...	-21.00	10 of 10 - Option sale to close-call <sup>25</sup>
09/28/21	101.000	3,837.78	Various	6,791.00	...	-2,953.22	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRPN 11/12/2021 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	18.000	1,709.95	11/05/21	2,646.00	...	-936.05	1 of 4 - Option sale to close-call <sup>25</sup>
	6.000	587.98	11/05/21	1,062.02	...	-474.04	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	783.98	11/05/21	1,176.00	...	-392.02	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	391.99	11/05/21	708.01	...	-316.02	4 of 4 - Option sale to close-call <sup>25</sup>
11/05/21	36.000	3,473.90	Various	5,592.03	...	-2,118.13	Total of 4 transactions
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	18.000	1,709.95	11/05/21	2,646.00	208.01 W	-728.04	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	569.98	11/05/21	882.00	312.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	24.000	2,279.93	Various	3,528.00	520.03 W	-728.04	Total of 2 transactions
	<b>Security total:</b>	<b>5,753.83</b>		<b>9,120.03</b>	<b>520.03 W</b>	<b>-2,846.17</b>	
GWH 10/15/2021 PUT \$17.50 / CUSIP: / Symbol:							
8 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	8.000	799.96	10/12/21	1,200.00	...	-400.04	1 of 8 - Option sale to close-put <sup>25</sup>
	5.000	499.99	10/12/21	815.00	...	-315.01	2 of 8 - Option sale to close-put <sup>25</sup>
	2.000	199.99	10/12/21	330.00	...	-130.01	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	100.00	10/12/21	165.00	...	-65.00	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	100.00	10/12/21	165.00	...	-65.00	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	100.00	10/12/21	165.00	...	-65.00	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	100.00	10/12/21	150.00	...	-50.00	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	100.00	10/12/21	150.00	...	-50.00	8 of 8 - Option sale to close-put <sup>25</sup>
10/13/21	20.000	1,999.94	Various	3,140.00	...	-1,140.06	Total of 8 transactions
HIMS 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
25 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	12.000	179.97	05/21/21	360.00	...	-180.03	1 of 25 - Option sale to close-call <sup>25</sup>
	5.000	74.98	05/21/21	225.01	...	-150.03	2 of 25 - Option sale to close-call <sup>25</sup>
	10.000	149.97	05/21/21	300.00	...	-150.03	3 of 25 - Option sale to close-call <sup>25</sup>
	2.000	29.99	05/21/21	150.03	...	-120.04	4 of 25 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 05/21/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	2.000	29.99	05/21/21	150.02	...	-120.03	5 of 25 - Option sale to close-call 25
	1.000	14.99	05/21/21	75.01	...	-60.02	6 of 25 - Option sale to close-call 25
	2.000	29.99	05/21/21	90.01	...	-60.02	7 of 25 - Option sale to close-call 25
	2.000	29.99	05/21/21	90.01	...	-60.02	8 of 25 - Option sale to close-call 25
	2.000	29.99	05/21/21	90.01	...	-60.02	9 of 25 - Option sale to close-call 25
	1.000	14.99	05/21/21	75.00	...	-60.01	10 of 25 - Option sale to close-call 25
	1.000	15.00	05/21/21	75.01	...	-60.01	11 of 25 - Option sale to close-call 25
	1.000	15.00	05/21/21	75.01	...	-60.01	12 of 25 - Option sale to close-call 25
	1.000	15.00	05/21/21	75.00	...	-60.00	13 of 25 - Option sale to close-call 25
	1.000	15.00	05/21/21	60.01	...	-45.01	14 of 25 - Option sale to close-call 25
	1.000	14.99	05/21/21	45.02	...	-30.03	15 of 25 - Option sale to close-call 25
	1.000	14.98	05/21/21	45.00	...	-30.02	16 of 25 - Option sale to close-call 25
	1.000	14.98	05/21/21	45.00	...	-30.02	17 of 25 - Option sale to close-call 25
	2.000	29.98	05/21/21	60.00	...	-30.02	18 of 25 - Option sale to close-call 25
	1.000	14.99	05/21/21	45.00	...	-30.01	19 of 25 - Option sale to close-call 25
	2.000	29.99	05/21/21	60.00	...	-30.01	20 of 25 - Option sale to close-call 25
	1.000	14.98	05/21/21	30.00	...	-15.02	21 of 25 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 05/21/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	14.98	05/21/21	30.00	...	-15.02	22 of 25 - Option sale to close-call <sub>25</sub>
	1.000	14.98	05/21/21	30.00	...	-15.02	23 of 25 - Option sale to close-call <sub>25</sub>
	1.000	14.98	05/21/21	30.00	...	-15.02	24 of 25 - Option sale to close-call <sub>25</sub>
	1.000	14.98	05/21/21	30.00	...	-15.02	25 of 25 - Option sale to close-call <sub>25</sub>
05/21/21	56.000	839.66	Various	2,340.15	...	-1,500.49	Total of 25 transactions
	17 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	1.000	14.98	05/21/21	30.00	15.02 W	0.00	1 of 17 - Option sale to close-call <sub>25</sub>
	1.000	14.99	05/21/21	60.00	45.01 W	0.00	2 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	45.00	30.00 W	0.00	3 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	45.00	30.00 W	0.00	4 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	45.00	30.00 W	0.00	5 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	60.00	45.00 W	0.00	6 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	60.00	45.00 W	0.00	7 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	60.01	45.01 W	0.00	8 of 17 - Option sale to close-call <sub>25</sub>
	1.000	15.00	05/21/21	60.01	45.01 W	0.00	9 of 17 - Option sale to close-call <sub>25</sub>
	2.000	29.99	05/21/21	60.00	30.01 W	0.00	10 of 17 - Option sale to close-call <sub>25</sub>
	2.000	29.99	05/21/21	90.01	60.02 W	0.00	11 of 17 - Option sale to close-call <sub>25</sub>
	2.000	29.99	05/21/21	120.01	90.02 W	0.00	12 of 17 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 05/21/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	2.000	29.99	05/21/21	120.02	90.03 W	0.00	13 of 17 - Option sale to close-call <sub>25</sub>
	4.000	59.99	05/21/21	120.00	60.01 W	0.00	14 of 17 - Option sale to close-call <sub>25</sub>
	5.000	74.98	05/21/21	225.02	150.04 W	0.00	15 of 17 - Option sale to close-call <sub>25</sub>
	8.000	119.98	05/21/21	240.00	120.02 W	0.00	16 of 17 - Option sale to close-call <sub>25</sub>
	10.000	149.97	05/21/21	300.00	150.03 W	0.00	17 of 17 - Option sale to close-call <sub>25</sub>
05/21/21	44.000	659.85	Various	1,740.08	1,080.23 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>1,499.51</b>		<b>4,080.23</b>	<b>1,080.23 W</b>	<b>-1,500.49</b>	
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
29 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	9.000	359.97	05/28/21	1,080.02	...	-720.05	1 of 29 - Option sale to close-call <sub>25</sub>
	9.000	359.98	05/28/21	990.03	...	-630.05	2 of 29 - Option sale to close-call <sub>25</sub>
	3.000	119.98	05/28/21	720.04	...	-600.06	3 of 29 - Option sale to close-call <sub>25</sub>
	3.000	119.98	05/28/21	720.04	...	-600.06	4 of 29 - Option sale to close-call <sub>25</sub>
	2.000	79.99	05/28/21	638.05	...	-558.06	5 of 29 - Option sale to close-call <sub>25</sub>
	4.000	159.98	05/28/21	640.02	...	-480.04	6 of 29 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	359.01	...	-319.01	7 of 29 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	359.01	...	-319.01	8 of 29 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	359.01	...	-319.01	9 of 29 - Option sale to close-call <sub>25</sub>
	7.000	279.98	05/28/21	560.00	...	-280.02	10 of 29 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	05/28/21	319.03	...	-279.03	11 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.02	...	-279.02	12 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.02	...	-279.02	13 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.00	...	-279.00	14 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.00	...	-279.00	15 of 29 - Option sale to close-call 25
	4.000	159.99	05/28/21	420.01	...	-260.02	16 of 29 - Option sale to close-call 25
	4.000	159.99	05/28/21	420.01	...	-260.02	17 of 29 - Option sale to close-call 25
	4.000	159.99	05/28/21	420.01	...	-260.02	18 of 29 - Option sale to close-call 25
	1.000	39.99	05/28/21	240.03	...	-200.04	19 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	240.01	...	-200.01	20 of 29 - Option sale to close-call 25
	1.000	39.99	05/28/21	200.02	...	-160.03	21 of 29 - Option sale to close-call 25
	1.000	39.99	05/28/21	200.01	...	-160.02	22 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	200.01	...	-160.01	23 of 29 - Option sale to close-call 25
	2.000	79.99	05/28/21	210.00	...	-130.01	24 of 29 - Option sale to close-call 25
	1.000	39.99	05/28/21	160.00	...	-120.01	25 of 29 - Option sale to close-call 25
	1.000	40.00	05/28/21	160.01	...	-120.01	26 of 29 - Option sale to close-call 25
	1.000	39.98	05/28/21	110.00	...	-70.02	27 of 29 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	05/28/21	105.00	...	-65.00	28 of 29 - Option sale to close-call <sub>25</sub>
	1.000	40.00	06/01/21	90.00	...	-50.00	29 of 29 - Option sale to close-call <sub>25</sub>
06/01/21	69.000	2,759.76	Various	11,195.42	...	-8,435.66	Total of 29 transactions
	68 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	11.000	439.97	05/28/21	880.00	200.01 W	-240.02	1 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.98	05/28/21	80.00	40.02 W	0.00	2 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.99	05/28/21	120.00	80.01 W	0.00	3 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.99	05/28/21	160.01	120.02 W	0.00	4 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.99	05/28/21	200.02	160.03 W	0.00	5 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.99	05/28/21	279.00	239.01 W	0.00	6 of 68 - Option sale to close-call <sub>25</sub>
	1.000	39.99	05/28/21	279.02	239.03 W	0.00	7 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	80.00	40.00 W	0.00	8 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	119.00	79.00 W	0.00	9 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	120.00	80.00 W	0.00	10 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	120.02	80.02 W	0.00	11 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	159.00	119.00 W	0.00	12 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	159.00	119.00 W	0.00	13 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	159.00	119.00 W	0.00	14 of 68 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	05/28/21	159.01	119.01 W	0.00	15 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	159.01	119.01 W	0.00	16 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	160.01	120.01 W	0.00	17 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	160.01	120.01 W	0.00	18 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	160.01	120.01 W	0.00	19 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	160.02	120.02 W	0.00	20 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.00	159.00 W	0.00	21 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.00	159.00 W	0.00	22 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.00	159.00 W	0.00	23 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.01	159.01 W	0.00	24 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.01	159.01 W	0.00	25 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	199.02	159.02 W	0.00	26 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	200.01	160.01 W	0.00	27 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	239.00	199.00 W	0.00	28 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	239.00	199.00 W	0.00	29 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	239.00	199.00 W	0.00	30 of 68 - Option sale to close-call <sub>25</sub>
	1.000	40.00	05/28/21	239.01	199.01 W	0.00	31 of 68 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	05/28/21	239.01	199.01 W	0.00	32 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	239.02	199.02 W	0.00	33 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.00	239.00 W	0.00	34 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.00	239.00 W	0.00	35 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.01	239.01 W	0.00	36 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.01	239.01 W	0.00	37 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.02	239.02 W	0.00	38 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	279.02	239.02 W	0.00	39 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.01	279.01 W	0.00	40 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.01	279.01 W	0.00	41 of 68 - Option sale to close-call 25
	1.000	40.00	05/28/21	319.01	279.01 W	0.00	42 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	238.01	158.02 W	0.00	43 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	238.01	158.02 W	0.00	44 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	240.01	160.02 W	0.00	45 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	318.02	238.03 W	0.00	46 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	398.03	318.04 W	0.00	47 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	478.03	398.04 W	0.00	48 of 68 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	2.000	79.99	05/28/21	478.04	398.05 W	0.00	49 of 68 - Option sale to close-call 25
	2.000	79.99	05/28/21	558.04	478.05 W	0.00	50 of 68 - Option sale to close-call 25
	2.000	80.00	05/28/21	238.00	158.00 W	0.00	51 of 68 - Option sale to close-call 25
	2.000	80.00	05/28/21	398.03	318.03 W	0.00	52 of 68 - Option sale to close-call 25
	2.000	81.99	05/28/21	160.00	78.01 W	0.00	53 of 68 - Option sale to close-call 25
	2.000	82.00	05/28/21	160.00	78.00 W	0.00	54 of 68 - Option sale to close-call 25
	3.000	119.98	05/28/21	357.01	237.03 W	0.00	55 of 68 - Option sale to close-call 25
	3.000	119.98	05/28/21	477.03	357.05 W	0.00	56 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	240.00	120.01 W	0.00	57 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	360.01	240.02 W	0.00	58 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	480.02	360.03 W	0.00	59 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	480.02	360.03 W	0.00	60 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	600.03	480.04 W	0.00	61 of 68 - Option sale to close-call 25
	3.000	119.99	05/28/21	600.03	480.04 W	0.00	62 of 68 - Option sale to close-call 25
	4.000	159.99	05/28/21	480.02	320.03 W	0.00	63 of 68 - Option sale to close-call 25
	5.000	199.98	05/28/21	400.00	200.02 W	0.00	64 of 68 - Option sale to close-call 25
	5.000	199.99	05/28/21	600.02	400.03 W	0.00	65 of 68 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIMS 06/18/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	6.000	245.98	05/28/21	480.00	234.02 W	0.00	66 of 68 - Option sale to close-call <sub>25</sub>
	7.000	279.97	05/28/21	560.00	280.03 W	0.00	67 of 68 - Option sale to close-call <sub>25</sub>
	30.000	1,199.93	05/28/21	2,400.00	1,200.07 W	0.00	68 of 68 - Option sale to close-call <sub>25</sub>
06/01/21	157.000	6,289.55	Various	21,747.73	15,218.16 W	-240.02	Total of 68 transactions
	<b>Security total:</b>	<b>9,049.31</b>		<b>32,943.15</b>	<b>15,218.16 W</b>	<b>-8,675.68</b>	
HYLN 04/30/2021 CALL \$10.00 / CUSIP: / Symbol:							
39 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	76.98	04/27/21	64.00	...	12.98	1 of 39 - Option sale to close-call <sub>25</sub>
	1.000	66.98	04/27/21	40.00	...	26.98	2 of 39 - Option sale to close-call <sub>25</sub>
	1.000	66.99	04/27/21	40.00	...	26.99	3 of 39 - Option sale to close-call <sub>25</sub>
	1.000	66.99	04/27/21	40.00	...	26.99	4 of 39 - Option sale to close-call <sub>25</sub>
	1.000	67.00	04/27/21	40.00	...	27.00	5 of 39 - Option sale to close-call <sub>25</sub>
	1.000	67.00	04/27/21	40.00	...	27.00	6 of 39 - Option sale to close-call <sub>25</sub>
	1.000	67.00	04/27/21	40.00	...	27.00	7 of 39 - Option sale to close-call <sub>25</sub>
	1.000	67.00	04/27/21	40.00	...	27.00	8 of 39 - Option sale to close-call <sub>25</sub>
	1.000	72.00	04/27/21	40.00	...	32.00	9 of 39 - Option sale to close-call <sub>25</sub>
	1.000	72.00	04/27/21	40.00	...	32.00	10 of 39 - Option sale to close-call <sub>25</sub>
	1.000	72.00	04/27/21	40.00	...	32.00	11 of 39 - Option sale to close-call <sub>25</sub>
	2.000	133.98	04/27/21	80.00	...	53.98	12 of 39 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLN 04/30/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	2.000	133.98	04/27/21	80.00	...	53.98	13 of 39 - Option sale to close-call <sub>25</sub>
	2.000	133.99	04/27/21	80.00	...	53.99	14 of 39 - Option sale to close-call <sub>25</sub>
	2.000	134.00	04/27/21	80.00	...	54.00	15 of 39 - Option sale to close-call <sub>25</sub>
	2.000	144.00	04/27/21	80.00	...	64.00	16 of 39 - Option sale to close-call <sub>25</sub>
	2.000	144.00	04/27/21	80.00	...	64.00	17 of 39 - Option sale to close-call <sub>25</sub>
	3.000	200.99	04/27/21	120.00	...	80.99	18 of 39 - Option sale to close-call <sub>25</sub>
	3.000	215.99	04/27/21	120.00	...	95.99	19 of 39 - Option sale to close-call <sub>25</sub>
	8.000	615.97	04/27/21	512.00	...	103.97	20 of 39 - Option sale to close-call <sub>25</sub>
	4.000	267.98	04/27/21	160.00	...	107.98	21 of 39 - Option sale to close-call <sub>25</sub>
	4.000	287.99	04/27/21	160.00	...	127.99	22 of 39 - Option sale to close-call <sub>25</sub>
	10.000	769.97	04/27/21	640.00	...	129.97	23 of 39 - Option sale to close-call <sub>25</sub>
	10.000	769.97	04/27/21	640.00	...	129.97	24 of 39 - Option sale to close-call <sub>25</sub>
	5.000	334.98	04/27/21	200.00	...	134.98	25 of 39 - Option sale to close-call <sub>25</sub>
	5.000	334.99	04/27/21	200.00	...	134.99	26 of 39 - Option sale to close-call <sub>25</sub>
	6.000	401.98	04/27/21	240.00	...	161.98	27 of 39 - Option sale to close-call <sub>25</sub>
	6.000	401.99	04/27/21	240.00	...	161.99	28 of 39 - Option sale to close-call <sub>25</sub>
	6.000	401.99	04/27/21	240.00	...	161.99	29 of 39 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLN 04/30/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	7.000	468.98	04/27/21	280.00	...	188.98	30 of 39 - Option sale to close-call <sup>25</sup>
	7.000	503.98	04/27/21	280.00	...	223.98	31 of 39 - Option sale to close-call <sup>25</sup>
	8.000	575.98	04/27/21	320.00	...	255.98	32 of 39 - Option sale to close-call <sup>25</sup>
	10.000	669.97	04/27/21	400.00	...	269.97	33 of 39 - Option sale to close-call <sup>25</sup>
	10.000	719.97	04/27/21	400.00	...	319.97	34 of 39 - Option sale to close-call <sup>25</sup>
	18.000	1,295.95	04/27/21	720.00	...	575.95	35 of 39 - Option sale to close-call <sup>25</sup>
	33.000	2,210.92	04/27/21	1,320.00	...	890.92	36 of 39 - Option sale to close-call <sup>25</sup>
	71.000	5,466.83	04/27/21	4,544.00	...	922.83	37 of 39 - Option sale to close-call <sup>25</sup>
	31.000	2,231.92	04/27/21	1,240.00	...	991.92	38 of 39 - Option sale to close-call <sup>25</sup>
	100.000	9,799.75	04/27/21	6,200.00	...	3,599.75	39 of 39 - Option sale to close-call <sup>25</sup>
04/27/21	388.000	30,534.93	Various	20,120.00	...	10,414.93	Total of 39 transactions
HYLN 04/30/2021 CALL \$8.50 / CUSIP: / Symbol:							
3 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	64.000	3,199.85	04/21/21	3,008.00	...	191.85	1 of 3 - Option sale to close-call <sup>25</sup>
	43.000	2,235.89	04/21/21	2,021.00	...	214.89	2 of 3 - Option sale to close-call <sup>25</sup>
	93.000	4,835.78	04/21/21	4,371.00	...	464.78	3 of 3 - Option sale to close-call <sup>25</sup>
04/21/21	200.000	10,271.52	Various	9,400.00	...	871.52	Total of 3 transactions
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol:							
50 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	25.000	1,124.94	05/03/21	1,975.06	...	-850.12	1 of 50 - Option sale to close-call <sup>25</sup>
	17.000	764.95	05/03/21	1,343.04	...	-578.09	2 of 50 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	17.000	764.96	05/03/21	1,343.04	...	-578.08	3 of 50 - Option sale to close-call 25
	13.000	584.96	05/03/21	1,027.03	...	-442.07	4 of 50 - Option sale to close-call 25
	12.000	539.97	05/03/21	948.03	...	-408.06	5 of 50 - Option sale to close-call 25
	12.000	539.97	05/03/21	948.03	...	-408.06	6 of 50 - Option sale to close-call 25
	10.000	449.98	05/03/21	790.02	...	-340.04	7 of 50 - Option sale to close-call 25
	9.000	404.97	05/03/21	711.02	...	-306.05	8 of 50 - Option sale to close-call 25
	9.000	404.97	05/03/21	711.02	...	-306.05	9 of 50 - Option sale to close-call 25
	4.000	179.99	05/03/21	372.02	...	-192.03	10 of 50 - Option sale to close-call 25
	5.000	224.99	05/03/21	395.01	...	-170.02	11 of 50 - Option sale to close-call 25
	3.000	134.98	05/03/21	279.02	...	-144.04	12 of 50 - Option sale to close-call 25
	4.000	179.98	05/03/21	316.01	...	-136.03	13 of 50 - Option sale to close-call 25
	4.000	179.98	05/03/21	316.01	...	-136.03	14 of 50 - Option sale to close-call 25
	4.000	179.98	05/03/21	316.01	...	-136.03	15 of 50 - Option sale to close-call 25
	4.000	179.98	05/03/21	316.01	...	-136.03	16 of 50 - Option sale to close-call 25
	4.000	179.98	05/03/21	316.01	...	-136.03	17 of 50 - Option sale to close-call 25
	3.000	134.98	05/03/21	237.01	...	-102.03	18 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	186.02	...	-96.03	19 of 50 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	2.000	90.00	05/03/21	186.00	...	-96.00	20 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	184.02	...	-94.03	21 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	184.01	...	-94.02	22 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	184.01	...	-94.02	23 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	172.01	...	-82.02	24 of 50 - Option sale to close-call 25
	2.000	89.98	05/03/21	158.01	...	-68.03	25 of 50 - Option sale to close-call 25
	2.000	89.98	05/03/21	158.01	...	-68.03	26 of 50 - Option sale to close-call 25
	2.000	89.99	05/03/21	158.01	...	-68.02	27 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	28 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	29 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	30 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	31 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	32 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	33 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	34 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	35 of 50 - Option sale to close-call 25
	1.000	45.00	05/03/21	93.00	...	-48.00	36 of 50 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.99	05/03/21	92.00	...	-47.01	37 of 50 - Option sale to close-call <sub>25</sub>
	1.000	45.00	05/03/21	92.00	...	-47.00	38 of 50 - Option sale to close-call <sub>25</sub>
	1.000	45.00	05/03/21	92.00	...	-47.00	39 of 50 - Option sale to close-call <sub>25</sub>
	1.000	45.00	05/03/21	92.00	...	-47.00	40 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.99	05/03/21	86.01	...	-41.02	41 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.99	05/03/21	86.00	...	-41.01	42 of 50 - Option sale to close-call <sub>25</sub>
	1.000	45.00	05/03/21	86.00	...	-41.00	43 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.98	05/03/21	79.01	...	-34.03	44 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.98	05/03/21	79.00	...	-34.02	45 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.98	05/03/21	79.00	...	-34.02	46 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.98	05/03/21	79.00	...	-34.02	47 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.98	05/03/21	79.00	...	-34.02	48 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.99	05/03/21	79.00	...	-34.01	49 of 50 - Option sale to close-call <sub>25</sub>
	1.000	44.99	05/03/21	79.00	...	-34.01	50 of 50 - Option sale to close-call <sub>25</sub>
05/03/21	200.000	8,999.26	Various	16,245.52	...	-7,246.26	Total of 50 transactions
	39 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	1.000	44.99	05/03/21	79.00	34.01 W	0.00	1 of 39 - Option sale to close-call <sub>25</sub>
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	2 of 39 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	3 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	4 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	5 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	6 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	7 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	62.00	17.00 W	0.00	8 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	9 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	10 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	11 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	12 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	13 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	14 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	15 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	16 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	17 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	18 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	19 of 39 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	20 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	21 of 39 - Option sale to close-call 25
	1.000	45.00	05/03/21	79.00	34.00 W	0.00	22 of 39 - Option sale to close-call 25
	2.000	89.99	05/03/21	158.00	68.01 W	0.00	23 of 39 - Option sale to close-call 25
	2.000	89.99	05/03/21	158.01	68.02 W	0.00	24 of 39 - Option sale to close-call 25
	2.000	89.99	05/03/21	158.01	68.02 W	0.00	25 of 39 - Option sale to close-call 25
	2.000	90.00	05/03/21	124.00	34.00 W	0.00	26 of 39 - Option sale to close-call 25
	2.000	90.00	05/03/21	124.00	34.00 W	0.00	27 of 39 - Option sale to close-call 25
	2.000	90.00	05/03/21	158.00	68.00 W	0.00	28 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	248.00	68.01 W	0.00	29 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	248.00	68.01 W	0.00	30 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	248.00	68.01 W	0.00	31 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	248.00	68.01 W	0.00	32 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	248.00	68.01 W	0.00	33 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	316.01	136.02 W	0.00	34 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	316.01	136.02 W	0.00	35 of 39 - Option sale to close-call 25
	4.000	179.99	05/03/21	316.01	136.02 W	0.00	36 of 39 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/07/2021 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	10.000	449.98	05/03/21	620.00	170.02 W	0.00	37 of 39 - Option sale to close-call <sub>25</sub>
	75.000	3,374.82	05/03/21	4,650.00	1,275.18 W	0.00	38 of 39 - Option sale to close-call <sub>25</sub>
	84.000	3,779.80	05/03/21	5,208.00	1,428.20 W	0.00	39 of 39 - Option sale to close-call <sub>25</sub>
05/03/21	235.000	10,574.48	Various	15,165.05	4,590.57 W	0.00	Total of 39 transactions
	<b>Security total:</b>	<b>19,573.74</b>		<b>31,410.57</b>	<b>4,590.57 W</b>	<b>-7,246.26</b>	
IQ 05/21/2021 CALL \$13.50 / CUSIP: / Symbol:							
28 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	1.000	70.00	05/18/21	37.00	...	33.00	1 of 28 - Option sale to close-call <sub>25</sub>
	1.000	70.00	05/18/21	37.00	...	33.00	2 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	3 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	4 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	5 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	6 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	7 of 28 - Option sale to close-call <sub>25</sub>
	1.000	89.98	05/18/21	37.00	...	52.98	8 of 28 - Option sale to close-call <sub>25</sub>
	1.000	90.00	05/18/21	37.00	...	53.00	9 of 28 - Option sale to close-call <sub>25</sub>
	1.000	90.00	05/18/21	37.00	...	53.00	10 of 28 - Option sale to close-call <sub>25</sub>
	1.000	93.99	05/18/21	37.00	...	56.99	11 of 28 - Option sale to close-call <sub>25</sub>
	1.000	94.00	05/18/21	37.00	...	57.00	12 of 28 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/21/2021 CALL \$13.50 / CUSIP: / Symbol: (cont'd)							
	3.000	209.99	05/18/21	111.00	...	98.99	13 of 28 - Option sale to close-call <sub>25</sub>
	2.000	179.98	05/18/21	74.00	...	105.98	14 of 28 - Option sale to close-call <sub>25</sub>
	2.000	179.98	05/18/21	74.00	...	105.98	15 of 28 - Option sale to close-call <sub>25</sub>
	2.000	179.99	05/18/21	74.00	...	105.99	16 of 28 - Option sale to close-call <sub>25</sub>
	2.000	188.00	05/18/21	74.00	...	114.00	17 of 28 - Option sale to close-call <sub>25</sub>
	3.000	269.98	05/18/21	111.00	...	158.98	18 of 28 - Option sale to close-call <sub>25</sub>
	3.000	269.98	05/18/21	111.00	...	158.98	19 of 28 - Option sale to close-call <sub>25</sub>
	3.000	269.99	05/18/21	111.00	...	158.99	20 of 28 - Option sale to close-call <sub>25</sub>
	3.000	281.99	05/18/21	111.00	...	170.99	21 of 28 - Option sale to close-call <sub>25</sub>
	4.000	359.98	05/18/21	148.00	...	211.98	22 of 28 - Option sale to close-call <sub>25</sub>
	6.000	539.98	05/18/21	222.00	...	317.98	23 of 28 - Option sale to close-call <sub>25</sub>
	18.000	1,259.95	05/18/21	666.00	...	593.95	24 of 28 - Option sale to close-call <sub>25</sub>
	20.000	1,399.95	05/18/21	740.00	...	659.95	25 of 28 - Option sale to close-call <sub>25</sub>
	20.000	1,399.95	05/18/21	740.00	...	659.95	26 of 28 - Option sale to close-call <sub>25</sub>
	14.000	1,259.97	05/18/21	518.00	...	741.97	27 of 28 - Option sale to close-call <sub>25</sub>
	18.000	1,619.95	05/18/21	666.00	...	953.95	28 of 28 - Option sale to close-call <sub>25</sub>
05/19/21	135.000	10,917.48	Various	4,995.00	...	5,922.48	Total of 28 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 05/28/2021 CALL \$13.50 / CUSIP: / Symbol:							
9 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	72.98	05/19/21	53.00	...	19.98	1 of 9 - Option sale to close-call <sup>25</sup>
	4.000	243.99	05/19/21	212.00	...	31.99	2 of 9 - Option sale to close-call <sup>25</sup>
	12.000	731.97	05/19/21	636.00	...	95.97	3 of 9 - Option sale to close-call <sup>25</sup>
	16.000	975.96	05/19/21	848.00	...	127.96	4 of 9 - Option sale to close-call <sup>25</sup>
	8.000	583.97	05/19/21	424.00	...	159.97	5 of 9 - Option sale to close-call <sup>25</sup>
	16.000	975.96	05/19/21	736.00	...	239.96	6 of 9 - Option sale to close-call <sup>25</sup>
	17.000	1,240.96	05/19/21	901.00	...	339.96	7 of 9 - Option sale to close-call <sup>25</sup>
	18.000	1,313.95	05/19/21	954.00	...	359.95	8 of 9 - Option sale to close-call <sup>25</sup>
	52.000	3,171.88	05/19/21	2,756.00	...	415.88	9 of 9 - Option sale to close-call <sup>25</sup>
05/20/21	144.000	9,311.62	Various	7,520.00	...	1,791.62	Total of 9 transactions
IRNT 09/17/2021 CALL \$26.00 / CUSIP: / Symbol:							
7 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1,299.98	09/15/21	300.00	...	999.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	09/15/21	285.00	...	1,014.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	09/15/21	380.00	...	1,059.99	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	09/15/21	380.00	...	1,059.99	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	09/15/21	380.00	...	1,059.99	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	09/15/21	300.00	...	1,139.99	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	2,599.97	09/15/21	600.00	...	1,999.97	7 of 7 - Option sale to close-call <sup>25</sup>
09/16/21	8.000	10,959.89	Various	2,625.00	...	8,334.89	Total of 7 transactions
IRNT 09/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/17/21	10.000	99.97	09/16/21	4,100.00	...	-4,000.03	Option sale to close-call <sup>25</sup>
IRNT 09/24/2021 CALL \$40.00 / CUSIP: / Symbol:							
8 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	2.000	439.99	09/17/21	1,160.02	...	-720.03	1 of 8 - Option sale to close-call <sup>25</sup>
	2.000	439.99	09/17/21	1,120.02	...	-680.03	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	439.99	09/17/21	930.02	...	-490.03	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	220.00	09/17/21	670.02	...	-450.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	220.00	09/17/21	620.01	...	-400.01	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	220.00	09/17/21	580.01	...	-360.01	6 of 8 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRNT 09/24/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	220.00	09/17/21	555.00	...	-335.00	7 of 8 - Option sale to close-call <sup>25</sup>
	10.000	2,199.96	09/17/21	1,300.00	...	899.96	8 of 8 - Option sale to close-call <sup>25</sup>
09/20/21	20.000	4,399.93	Various	6,935.10	...	-2,535.17	Total of 8 transactions
	10 transactions for 09/20/21. Total proceeds and cost reported to the IRS.						
	1.000	149.98	09/17/21	360.00	210.02 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	150.00	09/17/21	540.00	390.00 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	150.00	09/17/21	640.01	490.01 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	150.00	09/17/21	690.02	540.02 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	155.00	09/17/21	590.02	435.02 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	299.99	09/17/21	900.01	600.02 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	309.99	09/17/21	1,100.01	790.02 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	3.000	449.98	09/17/21	1,800.01	1,350.03 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	4.000	619.99	09/17/21	2,360.01	1,740.02 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	9.000	1,349.97	09/17/21	3,240.00	1,890.03 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
09/20/21	25.000	3,784.90	Various	12,220.09	8,435.19 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>8,184.83</b>		<b>19,155.19</b>	<b>8,435.19 W</b>	<b>-2,535.17</b>	
IRNT 10/08/2021 CALL \$13.00 / CUSIP: / Symbol:							
	2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	15.000	1,289.96	10/05/21	2,475.00	...	-1,185.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	86.00	10/05/21	169.00	...	-83.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/06/21	16.000	1,375.96	Various	2,644.00	...	-1,268.04	Total of 2 transactions
10/06/21	5.000	429.98	10/05/21	825.00	79.00 W	-316.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,805.94</b>		<b>3,469.00</b>	<b>79.00 W</b>	<b>-1,584.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRNT 10/22/2021 CALL \$10.00 / CUSIP: / Symbol:							
26 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	10/19/21	35.00	...	64.98	1 of 26 - Option sale to close-call 25
	1.000	99.98	10/19/21	35.00	...	64.98	2 of 26 - Option sale to close-call 25
	1.000	99.98	10/19/21	35.00	...	64.98	3 of 26 - Option sale to close-call 25
	1.000	99.98	10/19/21	35.00	...	64.98	4 of 26 - Option sale to close-call 25
	1.000	99.98	10/19/21	35.00	...	64.98	5 of 26 - Option sale to close-call 25
	1.000	100.98	10/19/21	35.00	...	65.98	6 of 26 - Option sale to close-call 25
	1.000	100.99	10/19/21	35.00	...	65.99	7 of 26 - Option sale to close-call 25
	1.000	100.99	10/19/21	35.00	...	65.99	8 of 26 - Option sale to close-call 25
	1.000	101.00	10/19/21	35.00	...	66.00	9 of 26 - Option sale to close-call 25
	1.000	109.98	10/19/21	35.00	...	74.98	10 of 26 - Option sale to close-call 25
	1.000	109.98	10/19/21	35.00	...	74.98	11 of 26 - Option sale to close-call 25
	1.000	109.98	10/19/21	35.00	...	74.98	12 of 26 - Option sale to close-call 25
	1.000	109.98	10/19/21	35.00	...	74.98	13 of 26 - Option sale to close-call 25
	2.000	201.98	10/19/21	70.00	...	131.98	14 of 26 - Option sale to close-call 25
	3.000	299.98	10/19/21	105.00	...	194.98	15 of 26 - Option sale to close-call 25
	3.000	302.98	10/19/21	105.00	...	197.98	16 of 26 - Option sale to close-call 25
	4.000	439.98	10/19/21	140.00	...	299.98	17 of 26 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRNT 10/22/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	5.000	499.98	10/19/21	175.00	...	324.98	18 of 26 - Option sale to close-call <sub>25</sub>
	5.000	499.98	10/19/21	175.00	...	324.98	19 of 26 - Option sale to close-call <sub>25</sub>
	6.000	599.98	10/19/21	210.00	...	389.98	20 of 26 - Option sale to close-call <sub>25</sub>
	6.000	629.98	10/19/21	210.00	...	419.98	21 of 26 - Option sale to close-call <sub>25</sub>
	7.000	699.98	10/19/21	245.00	...	454.98	22 of 26 - Option sale to close-call <sub>25</sub>
	10.000	999.97	10/19/21	350.00	...	649.97	23 of 26 - Option sale to close-call <sub>25</sub>
	11.000	1,099.97	10/19/21	385.00	...	714.97	24 of 26 - Option sale to close-call <sub>25</sub>
	11.000	1,099.97	10/19/21	385.00	...	714.97	25 of 26 - Option sale to close-call <sub>25</sub>
	14.000	1,539.96	10/19/21	490.00	...	1,049.96	26 of 26 - Option sale to close-call <sub>25</sub>
10/20/21	100.000	10,258.47	Various	3,500.00	...	6,758.47	Total of 26 transactions
IRNT 10/29/2021 CALL \$11.00 / CUSIP: / Symbol:							
27 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	159.98	10/27/21	135.00	...	24.98	1 of 27 - Option sale to close-call <sub>25</sub>
	1.000	159.98	10/27/21	135.00	...	24.98	2 of 27 - Option sale to close-call <sub>25</sub>
	1.000	159.98	10/27/21	135.00	...	24.98	3 of 27 - Option sale to close-call <sub>25</sub>
	1.000	159.98	10/27/21	135.00	...	24.98	4 of 27 - Option sale to close-call <sub>25</sub>
	1.000	159.98	10/27/21	135.00	...	24.98	5 of 27 - Option sale to close-call <sub>25</sub>
	1.000	159.98	10/27/21	135.00	...	24.98	6 of 27 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRNT 10/29/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	159.98	10/27/21	135.00	...	24.98	7 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	8 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	9 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	10 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	11 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	12 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	13 of 27 - Option sale to close-call 25
	1.000	159.98	10/27/21	135.00	...	24.98	14 of 27 - Option sale to close-call 25
	2.000	319.98	10/27/21	270.00	...	49.98	15 of 27 - Option sale to close-call 25
	3.000	479.98	10/27/21	405.00	...	74.98	16 of 27 - Option sale to close-call 25
	4.000	639.98	10/27/21	540.00	...	99.98	17 of 27 - Option sale to close-call 25
	4.000	639.99	10/27/21	540.00	...	99.99	18 of 27 - Option sale to close-call 25
	5.000	799.98	10/27/21	675.00	...	124.98	19 of 27 - Option sale to close-call 25
	5.000	799.98	10/27/21	675.00	...	124.98	20 of 27 - Option sale to close-call 25
	5.000	799.99	10/27/21	675.00	...	124.99	21 of 27 - Option sale to close-call 25
	5.000	799.99	10/27/21	675.00	...	124.99	22 of 27 - Option sale to close-call 25
	7.000	1,119.98	10/27/21	945.00	...	174.98	23 of 27 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IRNT 10/29/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	8.000	1,279.97	10/27/21	1,080.00	...	199.97	24 of 27 - Option sale to close-call 25
	8.000	1,279.98	10/27/21	1,080.00	...	199.98	25 of 27 - Option sale to close-call 25
	15.000	2,399.95	10/27/21	2,025.00	...	374.95	26 of 27 - Option sale to close-call 25
	15.000	2,399.95	10/27/21	2,025.00	...	374.95	27 of 27 - Option sale to close-call 25
10/27/21	100.000	15,999.42	Various	13,500.00	...	2,499.42	Total of 27 transactions
JMIA 05/07/2021 CALL \$32.00 / CUSIP: / Symbol:							
40 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	12.000	1,259.97	05/03/21	1,872.03	...	-612.06	1 of 40 - Option sale to close-call 25
	10.000	1,049.97	05/03/21	1,560.04	...	-510.07	2 of 40 - Option sale to close-call 25
	10.000	1,049.97	05/03/21	1,560.03	...	-510.06	3 of 40 - Option sale to close-call 25
	10.000	1,049.97	05/03/21	1,560.03	...	-510.06	4 of 40 - Option sale to close-call 25
	10.000	1,049.97	05/03/21	1,560.03	...	-510.06	5 of 40 - Option sale to close-call 25
	9.000	944.98	05/03/21	1,404.02	...	-459.04	6 of 40 - Option sale to close-call 25
	7.000	734.98	05/03/21	1,092.02	...	-357.04	7 of 40 - Option sale to close-call 25
	6.000	629.98	05/03/21	936.02	...	-306.04	8 of 40 - Option sale to close-call 25
	6.000	629.98	05/03/21	936.02	...	-306.04	9 of 40 - Option sale to close-call 25
	6.000	629.98	05/03/21	936.02	...	-306.04	10 of 40 - Option sale to close-call 25
	6.000	629.99	05/03/21	936.01	...	-306.02	11 of 40 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 05/07/2021 CALL \$32.00 / CUSIP: / Symbol: (cont'd)							
	6.000	629.99	05/03/21	936.01	...	-306.02	12 of 40 - Option sale to close-call 25
	5.000	524.99	05/03/21	780.01	...	-255.02	13 of 40 - Option sale to close-call 25
	5.000	524.99	05/03/21	780.01	...	-255.02	14 of 40 - Option sale to close-call 25
	4.000	419.99	05/03/21	624.01	...	-204.02	15 of 40 - Option sale to close-call 25
	4.000	419.99	05/03/21	624.01	...	-204.02	16 of 40 - Option sale to close-call 25
	4.000	419.99	05/03/21	624.01	...	-204.02	17 of 40 - Option sale to close-call 25
	4.000	419.99	05/03/21	624.01	...	-204.02	18 of 40 - Option sale to close-call 25
	3.000	314.98	05/03/21	510.01	...	-195.03	19 of 40 - Option sale to close-call 25
	3.000	314.99	05/03/21	468.01	...	-153.02	20 of 40 - Option sale to close-call 25
	3.000	314.99	05/03/21	468.01	...	-153.02	21 of 40 - Option sale to close-call 25
	2.000	209.99	05/03/21	312.01	...	-102.02	22 of 40 - Option sale to close-call 25
	2.000	209.99	05/03/21	312.01	...	-102.02	23 of 40 - Option sale to close-call 25
	2.000	210.00	05/03/21	312.01	...	-102.01	24 of 40 - Option sale to close-call 25
	2.000	210.00	05/03/21	312.00	...	-102.00	25 of 40 - Option sale to close-call 25
	1.000	104.98	05/03/21	170.00	...	-65.02	26 of 40 - Option sale to close-call 25
	1.000	105.00	05/03/21	170.00	...	-65.00	27 of 40 - Option sale to close-call 25
	1.000	104.99	05/03/21	156.00	...	-51.01	28 of 40 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 05/07/2021 CALL \$32.00 / CUSIP: / Symbol: (cont'd)							
	1.000	104.99	05/03/21	156.00	...	-51.01	29 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	30 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	31 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	32 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	33 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	34 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	35 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	36 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	37 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	38 of 40 - Option sale to close-call <sup>25</sup>
	1.000	105.00	05/03/21	156.00	...	-51.00	39 of 40 - Option sale to close-call <sup>25</sup>
	1.000	104.99	05/03/21	151.00	...	-46.01	40 of 40 - Option sale to close-call <sup>25</sup>
05/03/21	156.000	16,379.56	Various	24,401.40	...	-8,021.84	Total of 40 transactions
	3 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	168.000	17,471.57	05/03/21	22,512.00	3,720.31 W	-1,320.12	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	519.98	05/03/21	670.00	150.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	27.000	2,807.93	05/03/21	3,618.00	810.07 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/03/21	200.000	20,799.48	Various	26,800.00	4,680.40 W	-1,320.12	Total of 3 transactions
	<b>Security total:</b>	<b>37,179.04</b>		<b>51,201.40</b>	<b>4,680.40 W</b>	<b>-9,341.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIND 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
22 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	375.98	11/05/21	175.00	...	200.98	1 of 22 - Option sale to close-call C 25
	2.000	741.99	11/05/21	350.00	...	391.99	2 of 22 - Option sale to close-call C 25
	1.000	589.98	11/05/21	170.00	...	419.98	3 of 22 - Option sale to close-call C 25
	1.000	589.98	11/05/21	170.00	...	419.98	4 of 22 - Option sale to close-call C 25
	1.000	589.98	11/05/21	170.00	...	419.98	5 of 22 - Option sale to close-call C 25
	1.000	589.98	11/05/21	170.00	...	419.98	6 of 22 - Option sale to close-call C 25
	1.000	589.98	11/05/21	170.00	...	419.98	7 of 22 - Option sale to close-call C 25
	1.000	589.99	11/05/21	170.00	...	419.99	8 of 22 - Option sale to close-call C 25
	3.000	1,112.99	11/05/21	525.00	...	587.99	9 of 22 - Option sale to close-call C 25
	2.000	999.99	11/05/21	340.01	...	659.98	10 of 22 - Option sale to close-call C 25
	2.000	1,179.98	11/05/21	340.00	...	839.98	11 of 22 - Option sale to close-call C 25
	5.000	1,864.98	11/05/21	875.00	...	989.98	12 of 22 - Option sale to close-call C 25
	3.000	1,769.98	11/05/21	510.00	...	1,259.98	13 of 22 - Option sale to close-call C 25
	3.000	1,769.98	11/05/21	510.00	...	1,259.98	14 of 22 - Option sale to close-call C 25
	3.000	1,769.99	11/05/21	510.00	...	1,259.99	15 of 22 - Option sale to close-call C 25
	7.000	2,610.97	11/05/21	1,225.00	...	1,385.97	16 of 22 - Option sale to close-call C 25
	12.000	4,475.95	11/05/21	2,100.00	...	2,375.95	17 of 22 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIND 11/19/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	9.000	5,309.95	11/05/21	1,575.00	...	3,734.95	18 of 22 - Option sale to close-call <sup>25</sup> C
	20.000	7,419.92	11/05/21	3,500.00	...	3,919.92	19 of 22 - Option sale to close-call <sup>25</sup> C
	11.000	6,489.95	11/05/21	1,870.00	...	4,619.95	20 of 22 - Option sale to close-call <sup>25</sup> C
	13.000	7,669.93	11/05/21	2,210.00	...	5,459.93	21 of 22 - Option sale to close-call <sup>25</sup> C
	67.000	33,499.69	11/05/21	11,390.00	...	22,109.69	22 of 22 - Option sale to close-call <sup>25</sup> C
11/08/21	169.000	82,602.11	Various	29,025.01	...	53,577.10	Total of 22 transactions
KPLT 10/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	63.000	5,354.84	10/11/21	5,670.00	...	-315.16	1 of 3 - Option sale to close-call <sup>25</sup> C
	7.000	594.98	10/11/21	630.00	...	-35.02	2 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	10/11/21	85.00	...	0.00	3 of 3 - Option sale to close-call <sup>25</sup> C
10/12/21	71.000	6,034.82	Various	6,385.00	...	-350.18	Total of 3 transactions
KPLT 10/15/2021 CALL \$6.00 / CUSIP: / Symbol:							
7 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	10.000	799.98	09/23/21	1,050.00	...	-250.02	1 of 7 - Option sale to close-call <sup>25</sup> C
	9.000	719.98	09/23/21	945.00	...	-225.02	2 of 7 - Option sale to close-call <sup>25</sup> C
	4.000	319.99	09/23/21	420.00	...	-100.01	3 of 7 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	09/23/21	90.00	...	-10.00	4 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	399.98	09/24/21	475.00	...	-75.02	5 of 7 - Option sale to close-call <sup>25</sup> C
	4.000	319.99	09/24/21	360.00	...	-40.01	6 of 7 - Option sale to close-call <sup>25</sup> C
	4.000	319.99	09/27/21	280.00	...	39.99	7 of 7 - Option sale to close-call <sup>25</sup> C
09/28/21	37.000	2,959.91	Various	3,620.00	...	-660.09	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KPLT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
31 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	65.00	11/09/21	45.00	...	20.00	1 of 31 - Option sale to close-call 25
	1.000	76.00	11/09/21	50.00	...	26.00	2 of 31 - Option sale to close-call 25
	1.000	89.00	11/09/21	50.00	...	39.00	3 of 31 - Option sale to close-call 25
	2.000	152.00	11/09/21	100.00	...	52.00	4 of 31 - Option sale to close-call 25
	1.000	100.00	11/09/21	40.00	...	60.00	5 of 31 - Option sale to close-call 25
	1.000	100.00	11/09/21	40.00	...	60.00	6 of 31 - Option sale to close-call 25
	1.000	100.00	11/09/21	40.00	...	60.00	7 of 31 - Option sale to close-call 25
	5.000	324.99	11/09/21	225.00	...	99.99	8 of 31 - Option sale to close-call 25
	2.000	199.99	11/09/21	80.00	...	119.99	9 of 31 - Option sale to close-call 25
	8.000	519.98	11/09/21	360.00	...	159.98	10 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	11 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	12 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	13 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	14 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	15 of 31 - Option sale to close-call 25
	3.000	299.98	11/09/21	120.00	...	179.98	16 of 31 - Option sale to close-call 25
	10.000	649.98	11/09/21	450.00	...	199.98	17 of 31 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KPLT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	8.000	519.97	11/09/21	280.00	...	239.97	18 of 31 - Option sale to close-call <sup>25</sup>
	4.000	399.98	11/09/21	160.00	...	239.98	19 of 31 - Option sale to close-call <sup>25</sup>
	4.000	399.98	11/09/21	160.00	...	239.98	20 of 31 - Option sale to close-call <sup>25</sup>
	12.000	911.97	11/09/21	600.00	...	311.97	21 of 31 - Option sale to close-call <sup>25</sup>
	6.000	599.98	11/09/21	240.00	...	359.98	22 of 31 - Option sale to close-call <sup>25</sup>
	15.000	1,139.96	11/09/21	750.00	...	389.96	23 of 31 - Option sale to close-call <sup>25</sup>
	24.000	1,559.94	11/09/21	1,080.00	...	479.94	24 of 31 - Option sale to close-call <sup>25</sup>
	10.000	999.97	11/09/21	400.00	...	599.97	25 of 31 - Option sale to close-call <sup>25</sup>
	15.000	1,499.96	11/09/21	600.00	...	899.96	26 of 31 - Option sale to close-call <sup>25</sup>
	35.000	3,114.91	11/09/21	1,750.00	...	1,364.91	27 of 31 - Option sale to close-call <sup>25</sup>
	70.000	5,319.83	11/09/21	3,500.00	...	1,819.83	28 of 31 - Option sale to close-call <sup>25</sup>
	62.000	4,029.85	11/09/21	2,170.00	...	1,859.85	29 of 31 - Option sale to close-call <sup>25</sup>
	38.000	3,799.90	11/09/21	1,520.00	...	2,279.90	30 of 31 - Option sale to close-call <sup>25</sup>
	64.000	5,695.84	11/09/21	3,200.00	...	2,495.84	31 of 31 - Option sale to close-call <sup>25</sup>
11/09/21	418.000	34,168.86	Various	18,610.00	...	15,558.86	Total of 31 transactions
KVSB 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	160.00	11/04/21	125.00	...	35.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	160.00	11/04/21	125.00	...	35.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	160.00	11/04/21	125.00	...	35.00	3 of 7 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KVSB 11/19/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	2.000	319.99	11/04/21	250.00	...	69.99	4 of 7 - Option sale to close-call <sup>25</sup>
	10.000	1,599.97	11/04/21	1,250.00	...	349.97	5 of 7 - Option sale to close-call <sup>25</sup>
	40.000	6,399.89	11/04/21	5,400.00	...	999.89	6 of 7 - Option sale to close-call <sup>25</sup>
	60.000	9,599.83	11/04/21	8,100.00	...	1,499.83	7 of 7 - Option sale to close-call <sup>25</sup>
11/05/21	115.000	18,399.68	Various	15,375.00	...	3,024.68	Total of 7 transactions
11/05/21	2.000	319.99	11/05/21	320.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>18,719.67</b>		<b>15,695.00</b>	<b>0.01 W</b>	<b>3,024.68</b>	

LAZR 04/23/2021 CALL \$17.00 / CUSIP: / Symbol:

34 transactions for 04/21/21. Total proceeds and cost reported to the IRS.

	6.000	1,229.98	04/21/21	2,040.04	...	-810.06	1 of 34 - Option sale to close-call <sup>25</sup> C
	4.000	819.98	04/21/21	1,360.03	...	-540.05	2 of 34 - Option sale to close-call <sup>25</sup> C
	7.000	1,434.97	04/21/21	1,855.02	...	-420.05	3 of 34 - Option sale to close-call <sup>25</sup> C
	3.000	614.98	04/21/21	1,020.02	...	-405.04	4 of 34 - Option sale to close-call <sup>25</sup> C
	3.000	614.99	04/21/21	1,020.02	...	-405.03	5 of 34 - Option sale to close-call <sup>25</sup> C
	3.000	614.99	04/21/21	1,020.02	...	-405.03	6 of 34 - Option sale to close-call <sup>25</sup> C
	3.000	614.99	04/21/21	1,020.02	...	-405.03	7 of 34 - Option sale to close-call <sup>25</sup> C
	5.000	1,049.98	04/21/21	1,325.02	...	-275.04	8 of 34 - Option sale to close-call <sup>25</sup> C
	5.000	1,049.98	04/21/21	1,325.02	...	-275.04	9 of 34 - Option sale to close-call <sup>25</sup> C
	2.000	409.99	04/21/21	680.01	...	-270.02	10 of 34 - Option sale to close-call <sup>25</sup> C
	2.000	409.99	04/21/21	668.03	...	-258.04	11 of 34 - Option sale to close-call <sup>25</sup> C
	2.000	409.99	04/21/21	668.02	...	-258.03	12 of 34 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LAZR 04/23/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	409.99	04/21/21	668.02	...	-258.03	13 of 34 - Option sale to close-call C <sub>25</sub>
	2.000	409.99	04/21/21	668.01	...	-258.02	14 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.98	04/21/21	340.01	...	-135.03	15 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.98	04/21/21	340.01	...	-135.03	16 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.99	04/21/21	340.02	...	-135.03	17 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.99	04/21/21	340.02	...	-135.03	18 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.99	04/21/21	340.01	...	-135.02	19 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	205.00	04/21/21	340.01	...	-135.01	20 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	205.00	04/21/21	334.01	...	-129.01	21 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	205.00	04/21/21	334.01	...	-129.01	22 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	205.00	04/21/21	325.02	...	-120.02	23 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	205.00	04/21/21	315.02	...	-110.02	24 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.98	04/21/21	200.00	...	4.98	25 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.98	04/21/21	200.00	...	4.98	26 of 34 - Option sale to close-call C <sub>25</sub>
	1.000	204.98	04/21/21	200.00	...	4.98	27 of 34 - Option sale to close-call C <sub>25</sub>
	2.000	409.98	04/21/21	400.00	...	9.98	28 of 34 - Option sale to close-call C <sub>25</sub>
	3.000	614.98	04/21/21	600.00	...	14.98	29 of 34 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LAZR 04/23/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	6.000	1,229.98	04/21/21	1,200.00	...	29.98	30 of 34 - Option sale to close-call C 25
	6.000	1,229.98	04/21/21	1,200.00	...	29.98	31 of 34 - Option sale to close-call C 25
	7.000	1,434.98	04/21/21	1,400.00	...	34.98	32 of 34 - Option sale to close-call C 25
	7.000	1,434.98	04/21/21	1,400.00	...	34.98	33 of 34 - Option sale to close-call C 25
	7.000	1,434.98	04/21/21	1,400.00	...	34.98	34 of 34 - Option sale to close-call C 25
04/21/21	100.000	20,549.52	Various	26,885.44	...	-6,335.92	Total of 34 transactions
							24 transactions for 04/21/21. Total proceeds and cost reported to the IRS.
	1.000	194.98	04/21/21	310.00	115.02 W	0.00	1 of 24 - Option sale to close-call C 25
	1.000	199.98	04/21/21	265.00	65.02 W	0.00	2 of 24 - Option sale to close-call C 25
	1.000	199.98	04/21/21	265.00	65.02 W	0.00	3 of 24 - Option sale to close-call C 25
	1.000	199.98	04/21/21	265.00	65.02 W	0.00	4 of 24 - Option sale to close-call C 25
	1.000	199.99	04/21/21	334.00	134.01 W	0.00	5 of 24 - Option sale to close-call C 25
	1.000	200.00	04/21/21	325.02	125.02 W	0.00	6 of 24 - Option sale to close-call C 25
	1.000	200.00	04/21/21	340.02	140.02 W	0.00	7 of 24 - Option sale to close-call C 25
	1.000	200.00	04/21/21	340.02	140.02 W	0.00	8 of 24 - Option sale to close-call C 25
	2.000	399.98	04/21/21	668.01	268.03 W	0.00	9 of 24 - Option sale to close-call C 25
	2.000	399.99	04/21/21	668.01	268.02 W	0.00	10 of 24 - Option sale to close-call C 25
	2.000	399.99	04/21/21	668.01	268.02 W	0.00	11 of 24 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LAZR 04/23/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	3.000	599.99	04/21/21	1,002.01	402.02 W	0.00	12 of 24 - Option sale to close-call C <sup>25</sup>
	3.000	599.99	04/21/21	1,020.01	420.02 W	0.00	13 of 24 - Option sale to close-call C <sup>25</sup>
	3.000	599.99	04/21/21	1,020.01	420.02 W	0.00	14 of 24 - Option sale to close-call C <sup>25</sup>
	5.000	1,029.98	04/21/21	1,325.00	295.02 W	0.00	15 of 24 - Option sale to close-call C <sup>25</sup>
	5.000	1,029.98	04/21/21	1,325.00	295.02 W	0.00	16 of 24 - Option sale to close-call C <sup>25</sup>
	6.000	1,199.98	04/21/21	1,590.00	390.02 W	0.00	17 of 24 - Option sale to close-call C <sup>25</sup>
	6.000	1,199.98	04/21/21	2,040.02	840.04 W	0.00	18 of 24 - Option sale to close-call C <sup>25</sup>
	7.000	1,399.97	04/21/21	2,380.02	980.05 W	0.00	19 of 24 - Option sale to close-call C <sup>25</sup>
	7.000	1,399.98	04/21/21	1,855.00	455.02 W	0.00	20 of 24 - Option sale to close-call C <sup>25</sup>
	9.000	1,799.96	04/21/21	3,060.03	1,260.07 W	0.00	21 of 24 - Option sale to close-call C <sup>25</sup>
	9.000	1,799.97	04/21/21	2,385.00	585.03 W	0.00	22 of 24 - Option sale to close-call C <sup>25</sup>
	12.000	2,399.96	04/21/21	3,180.00	780.04 W	0.00	23 of 24 - Option sale to close-call C <sup>25</sup>
	12.000	2,399.96	04/21/21	3,180.00	780.04 W	0.00	24 of 24 - Option sale to close-call C <sup>25</sup>
04/21/21	101.000	20,254.56	Various	29,810.19	9,555.63 W	0.00	Total of 24 transactions
	<b>Security total:</b>	<b>40,804.08</b>		<b>56,695.63</b>	<b>9,555.63 W</b>	<b>-6,335.92</b>	
LCID 10/01/2021 PUT \$27.00 / CUSIP: / Symbol:							
17 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	1.000	142.00	09/29/21	65.00	...	77.00	1 of 17 - Option sale to close-put <sup>25</sup> C
	1.000	142.00	09/29/21	65.00	...	77.00	2 of 17 - Option sale to close-put <sup>25</sup> C
	1.000	142.00	09/29/21	65.00	...	77.00	3 of 17 - Option sale to close-put <sup>25</sup> C
	1.000	142.00	09/29/21	65.00	...	77.00	4 of 17 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/01/2021 PUT \$27.00 / CUSIP: / Symbol: (cont'd)							
	1.000	142.00	09/29/21	65.00	...	77.00	5 of 17 - Option sale to close-put <sup>25</sup> C
	1.000	142.00	09/29/21	65.00	...	77.00	6 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	7 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	8 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	9 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	10 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	11 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	283.99	09/29/21	130.00	...	153.99	12 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	284.00	09/29/21	130.00	...	154.00	13 of 17 - Option sale to close-put <sup>25</sup> C
	2.000	323.98	09/29/21	130.00	...	193.98	14 of 17 - Option sale to close-put <sup>25</sup> C
	3.000	485.98	09/29/21	195.00	...	290.98	15 of 17 - Option sale to close-put <sup>25</sup> C
	5.000	709.99	09/29/21	325.00	...	384.99	16 of 17 - Option sale to close-put <sup>25</sup> C
	20.000	3,339.94	09/29/21	1,300.00	...	2,039.94	17 of 17 - Option sale to close-put <sup>25</sup> C
09/30/21	50.000	7,699.83	Various	3,250.00	...	4,449.83	Total of 17 transactions
LCID 10/29/2021 CALL \$35.00 / CUSIP: / Symbol:							
36 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	181.98	10/28/21	133.00	...	48.98	1 of 36 - Option sale to close-call <sup>25</sup> C
	1.000	181.98	10/28/21	133.00	...	48.98	2 of 36 - Option sale to close-call <sup>25</sup> C
	1.000	181.98	10/28/21	133.00	...	48.98	3 of 36 - Option sale to close-call <sup>25</sup> C
	1.000	181.98	10/28/21	133.00	...	48.98	4 of 36 - Option sale to close-call <sup>25</sup> C
	1.000	181.98	10/28/21	133.00	...	48.98	5 of 36 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/29/2021 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	1.000	182.00	10/28/21	133.00	...	49.00	6 of 36 - Option sale to close-call C 25
	1.000	182.00	10/28/21	133.00	...	49.00	7 of 36 - Option sale to close-call C 25
	1.000	185.00	10/28/21	129.00	...	56.00	8 of 36 - Option sale to close-call C 25
	1.000	181.99	10/28/21	123.00	...	58.99	9 of 36 - Option sale to close-call C 25
	1.000	181.99	10/28/21	123.00	...	58.99	10 of 36 - Option sale to close-call C 25
	1.000	181.99	10/28/21	123.00	...	58.99	11 of 36 - Option sale to close-call C 25
	1.000	182.00	10/28/21	123.00	...	59.00	12 of 36 - Option sale to close-call C 25
	1.000	181.98	10/28/21	117.00	...	64.98	13 of 36 - Option sale to close-call C 25
	2.000	363.98	10/28/21	266.00	...	97.98	14 of 36 - Option sale to close-call C 25
	2.000	363.99	10/28/21	266.00	...	97.99	15 of 36 - Option sale to close-call C 25
	3.000	545.98	10/28/21	399.00	...	146.98	16 of 36 - Option sale to close-call C 25
	3.000	554.99	10/28/21	387.00	...	167.99	17 of 36 - Option sale to close-call C 25
	3.000	554.99	10/28/21	387.00	...	167.99	18 of 36 - Option sale to close-call C 25
	3.000	545.99	10/28/21	369.00	...	176.99	19 of 36 - Option sale to close-call C 25
	4.000	727.98	10/28/21	532.00	...	195.98	20 of 36 - Option sale to close-call C 25
	4.000	727.98	10/28/21	532.00	...	195.98	21 of 36 - Option sale to close-call C 25
	4.000	727.98	10/28/21	532.00	...	195.98	22 of 36 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/29/2021 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	4.000	727.99	10/28/21	532.00	...	195.99	23 of 36 - Option sale to close-call C <sup>25</sup>
	3.000	554.99	10/28/21	351.00	...	203.99	24 of 36 - Option sale to close-call C <sup>25</sup>
	5.000	924.98	10/28/21	645.00	...	279.98	25 of 36 - Option sale to close-call C <sup>25</sup>
	5.000	924.98	10/28/21	645.00	...	279.98	26 of 36 - Option sale to close-call C <sup>25</sup>
	5.000	909.98	10/28/21	585.00	...	324.98	27 of 36 - Option sale to close-call C <sup>25</sup>
	6.000	1,109.98	10/28/21	774.00	...	335.98	28 of 36 - Option sale to close-call C <sup>25</sup>
	6.000	1,091.98	10/28/21	702.00	...	389.98	29 of 36 - Option sale to close-call C <sup>25</sup>
	7.000	1,294.98	10/28/21	903.00	...	391.98	30 of 36 - Option sale to close-call C <sup>25</sup>
	8.000	1,479.98	10/28/21	1,032.00	...	447.98	31 of 36 - Option sale to close-call C <sup>25</sup>
	12.000	2,219.96	10/28/21	1,548.00	...	671.96	32 of 36 - Option sale to close-call C <sup>25</sup>
	12.000	2,183.96	10/28/21	1,404.00	...	779.96	33 of 36 - Option sale to close-call C <sup>25</sup>
	12.000	2,183.96	10/28/21	1,404.00	...	779.96	34 of 36 - Option sale to close-call C <sup>25</sup>
	13.000	2,365.95	10/28/21	1,521.00	...	844.95	35 of 36 - Option sale to close-call C <sup>25</sup>
	18.000	3,275.94	10/28/21	2,106.00	...	1,169.94	36 of 36 - Option sale to close-call C <sup>25</sup>
10/28/21	157.000	28,732.32	Various	19,491.00	...	9,241.32	Total of 36 transactions
LCID 10/29/2021 PUT \$33.00 / CUSIP: / Symbol:							
20 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	40.000	2,799.90	10/28/21	3,840.10	...	-1,040.20	1 of 20 - Option sale to close-put <sup>25</sup> C
	50.000	3,499.88	10/28/21	4,450.13	...	-950.25	2 of 20 - Option sale to close-put <sup>25</sup> C
	29.000	2,029.93	10/28/21	2,784.07	...	-754.14	3 of 20 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/29/2021 PUT \$33.00 / CUSIP: / Symbol: (cont'd)							
	16.000	1,119.96	10/28/21	1,728.08	...	-608.12	4 of 20 - Option sale to close-put <sup>25</sup> C
	9.000	629.98	10/28/21	972.05	...	-342.07	5 of 20 - Option sale to close-put <sup>25</sup> C
	6.000	419.99	10/28/21	576.02	...	-156.03	6 of 20 - Option sale to close-put <sup>25</sup> C
	5.000	349.99	10/28/21	480.01	...	-130.02	7 of 20 - Option sale to close-put <sup>25</sup> C
	4.000	279.99	10/28/21	384.01	...	-104.02	8 of 20 - Option sale to close-put <sup>25</sup> C
	2.000	139.99	10/28/21	240.02	...	-100.03	9 of 20 - Option sale to close-put <sup>25</sup> C
	2.000	140.00	10/28/21	240.02	...	-100.02	10 of 20 - Option sale to close-put C <sub>25</sub>
	2.000	139.99	10/28/21	216.03	...	-76.04	11 of 20 - Option sale to close-put C <sub>25</sub>
	2.000	140.00	10/28/21	216.01	...	-76.01	12 of 20 - Option sale to close-put C <sub>25</sub>
	6.000	419.97	10/28/21	492.00	...	-72.03	13 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	120.03	...	-50.03	14 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	120.02	...	-50.02	15 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	108.03	...	-38.03	16 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	108.02	...	-38.02	17 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	108.02	...	-38.02	18 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	108.02	...	-38.02	19 of 20 - Option sale to close-put C <sub>25</sub>
	1.000	70.00	10/28/21	108.01	...	-38.01	20 of 20 - Option sale to close-put C <sub>25</sub>
10/28/21	180.000	12,599.57	Various	17,398.70	...	-4,799.13	Total of 20 transactions
	22 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	1.000	69.98	10/28/21	96.00	26.02 W	0.00	1 of 22 - Option sale to close-put <sup>25</sup> C
	1.000	69.98	10/28/21	96.00	26.02 W	0.00	2 of 22 - Option sale to close-put <sup>25</sup> C
	1.000	69.98	10/28/21	96.00	26.02 W	0.00	3 of 22 - Option sale to close-put <sup>25</sup> C
	1.000	69.98	10/28/21	96.01	26.03 W	0.00	4 of 22 - Option sale to close-put <sup>25</sup> C
	1.000	69.98	10/28/21	108.00	38.02 W	0.00	5 of 22 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LCID 10/29/2021 PUT \$33.00 / CUSIP: / Symbol: (cont'd)							
	1.000	69.98	10/28/21	108.01	38.03 W	0.00	6 of 22 - Option sale to close-put <sup>25</sup> C
	1.000	69.99	10/28/21	96.00	26.01 W	0.00	7 of 22 - Option sale to close-put <sup>25</sup> C
	2.000	139.98	10/28/21	192.01	52.03 W	0.00	8 of 22 - Option sale to close-put <sup>25</sup> C
	2.000	139.99	10/28/21	216.01	76.02 W	0.00	9 of 22 - Option sale to close-put <sup>25</sup> C
	2.000	170.00	10/28/21	246.02	76.02 W	0.00	10 of 22 - Option sale to close-put <sup>25</sup> C
	2.000	173.99	10/28/21	198.00	24.01 W	0.00	11 of 22 - Option sale to close-put <sup>25</sup> C
	2.000	173.99	10/28/21	222.01	48.02 W	0.00	12 of 22 - Option sale to close-put <sup>25</sup> C
	5.000	424.99	10/28/21	495.00	70.01 W	0.00	13 of 22 - Option sale to close-put <sup>25</sup> C
	6.000	509.99	10/28/21	666.02	156.03 W	0.00	14 of 22 - Option sale to close-put <sup>25</sup> C
	6.000	521.98	10/28/21	594.00	72.02 W	0.00	15 of 22 - Option sale to close-put <sup>25</sup> C
	9.000	629.97	10/28/21	864.02	234.05 W	0.00	16 of 22 - Option sale to close-put <sup>25</sup> C
	16.000	1,359.96	10/28/21	1,584.00	224.04 W	0.00	17 of 22 - Option sale to close-put <sup>25</sup> C
	16.000	1,359.96	10/28/21	1,776.04	416.08 W	0.00	18 of 22 - Option sale to close-put <sup>25</sup> C
	16.000	1,391.96	10/28/21	1,584.00	192.04 W	0.00	19 of 22 - Option sale to close-put <sup>25</sup> C
	29.000	2,464.93	10/28/21	2,871.00	406.07 W	0.00	20 of 22 - Option sale to close-put <sup>25</sup> C
	50.000	4,249.87	10/28/21	4,600.00	350.13 W	0.00	21 of 22 - Option sale to close-put <sup>25</sup> C
	50.000	4,249.87	10/28/21	4,950.00	700.13 W	0.00	22 of 22 - Option sale to close-put <sup>25</sup> C
10/28/21	220.000	18,451.30	Various	21,754.15	3,302.85 W	0.00	Total of 22 transactions
	<b>Security total:</b>	<b>31,050.87</b>		<b>39,152.85</b>	<b>3,302.85 W</b>	<b>-4,799.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LCID 11/19/2021 PUT \$43.00 / CUSIP: / Symbol:							
5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	21.000	1,280.95	11/15/21	5,040.00	...	-3,759.05	1 of 5 - Option sale to close-put <sup>25</sup> C
	8.000	487.97	11/15/21	1,920.00	...	-1,432.03	2 of 5 - Option sale to close-put <sup>25</sup> C
	7.000	426.98	11/15/21	1,680.00	...	-1,253.02	3 of 5 - Option sale to close-put <sup>25</sup> C
	6.000	365.98	11/15/21	1,440.00	...	-1,074.02	4 of 5 - Option sale to close-put <sup>25</sup> C
	3.000	182.98	11/15/21	720.00	...	-537.02	5 of 5 - Option sale to close-put <sup>25</sup> C
11/16/21	45.000	2,744.86	Various	10,800.00	...	-8,055.14	Total of 5 transactions
LCID 11/19/2021 PUT \$44.00 / CUSIP: / Symbol:							
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	63.00	11/18/21	57.00	...	6.00	1 of 3 - Option sale to close-put <sup>25</sup> C
	70.000	4,199.83	11/18/21	4,060.00	...	139.83	2 of 3 - Option sale to close-put <sup>25</sup> C
	69.000	4,346.83	11/18/21	4,002.00	...	344.83	3 of 3 - Option sale to close-put <sup>25</sup> C
11/18/21	140.000	8,609.66	Various	8,119.00	...	490.66	Total of 3 transactions
LCID 11/26/2021 PUT \$47.00 / CUSIP: / Symbol:							
5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	4.000	447.99	11/19/21	844.00	396.01 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup> C
	5.000	559.98	11/19/21	1,550.01	990.03 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup> C
	5.000	559.99	11/19/21	1,055.00	495.01 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup> C
	10.000	1,119.97	11/19/21	3,100.03	1,980.06 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup> C
	20.000	2,239.94	11/19/21	4,220.00	1,980.06 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup> C
11/22/21	44.000	4,927.87	Various	10,769.04	5,841.17 W	0.00	Total of 5 transactions
14 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	7.000	405.98	11/19/21	2,800.04	...	-2,394.06	1 of 14 - Option sale to close-put <sup>25</sup> C
	6.000	347.98	11/19/21	1,806.03	...	-1,458.05	2 of 14 - Option sale to close-put <sup>25</sup> C
	3.000	173.99	11/19/21	1,191.02	...	-1,017.03	3 of 14 - Option sale to close-put <sup>25</sup> C
	4.000	231.99	11/19/21	1,204.01	...	-972.02	4 of 14 - Option sale to close-put <sup>25</sup> C
	2.000	116.00	11/19/21	800.01	...	-684.01	5 of 14 - Option sale to close-put <sup>25</sup> C
	6.000	347.98	11/19/21	936.00	...	-588.02	6 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	498.03	...	-440.03	7 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	498.03	...	-440.03	8 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	498.02	...	-440.02	9 of 14 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LCID 11/26/2021 PUT \$47.00 / CUSIP: / Symbol: (cont'd)							
	1.000	58.00	11/19/21	451.01	...	-393.01	10 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	399.02	...	-341.02	11 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	399.02	...	-341.02	12 of 14 - Option sale to close-put <sup>25</sup> C
	1.000	58.00	11/19/21	399.01	...	-341.01	13 of 14 - Option sale to close-put <sup>25</sup> C
	2.000	115.99	11/19/21	312.00	...	-196.01	14 of 14 - Option sale to close-put <sup>25</sup> C
11/23/21	37.000	2,145.91	Various	12,191.25	...	-10,045.34	Total of 14 transactions
	7 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	1.000	57.98	11/19/21	301.00	243.02 W	0.00	1 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.98	11/19/21	301.00	243.02 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.98	11/19/21	400.00	342.02 W	0.00	3 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.98	11/19/21	400.01	342.03 W	0.00	4 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.98	11/19/21	400.01	342.03 W	0.00	5 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.99	11/19/21	301.00	243.01 W	0.00	6 of 7 - Option sale to close-put <sup>25</sup> C
	1.000	57.99	11/19/21	310.00	252.01 W	0.00	7 of 7 - Option sale to close-put <sup>25</sup> C
11/23/21	7.000	405.88	Various	2,413.02	2,007.14 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>7,479.66</b>		<b>25,373.31</b>	<b>7,848.31 W</b>	<b>-10,045.34</b>	
LCID 12/03/2021 PUT \$50.00 / CUSIP: / Symbol:							
	5 transactions for 11/30/21. Total proceeds and cost reported to the IRS.						
	20.000	2,199.95	11/29/21	3,380.00	...	-1,180.05	1 of 5 - Option sale to close-put <sup>25</sup> C
	4.000	439.99	11/29/21	916.00	...	-476.01	2 of 5 - Option sale to close-put <sup>25</sup> C
	2.000	219.99	11/29/21	458.00	...	-238.01	3 of 5 - Option sale to close-put <sup>25</sup> C
	2.000	219.99	11/29/21	458.00	...	-238.01	4 of 5 - Option sale to close-put <sup>25</sup> C
	1.000	110.00	11/29/21	169.00	...	-59.00	5 of 5 - Option sale to close-put <sup>25</sup> C
11/30/21	29.000	3,189.92	Various	5,381.00	...	-2,191.08	Total of 5 transactions
LCID 12/03/2021 PUT \$55.00 / CUSIP: / Symbol:							
12/02/21	16.000	8,847.92	12/01/21	4,160.00	...	4,687.92	Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 12/10/2021 PUT \$50.00 / CUSIP: / Symbol:							
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	495.00	12/02/21	450.00	...	45.00	1 of 3 - Option sale to close-put <sup>25</sup> C
	2.000	989.99	12/02/21	900.00	...	89.99	2 of 3 - Option sale to close-put <sup>25</sup> C
	4.000	1,979.98	12/02/21	1,800.00	...	179.98	3 of 3 - Option sale to close-put <sup>25</sup> C
12/03/21	7.000	3,464.97	Various	3,150.00	...	314.97	Total of 3 transactions
LYFT 11/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
6 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	177.98	11/03/21	173.00	...	4.98	1 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	177.98	11/03/21	173.00	...	4.98	2 of 6 - Option sale to close-call <sup>25</sup> C
	4.000	711.98	11/03/21	692.00	...	19.98	3 of 6 - Option sale to close-call <sup>25</sup> C
	5.000	889.98	11/03/21	865.00	...	24.98	4 of 6 - Option sale to close-call <sup>25</sup> C
	19.000	3,381.94	11/03/21	3,287.00	...	94.94	5 of 6 - Option sale to close-call <sup>25</sup> C
	20.000	3,559.94	11/03/21	3,460.00	...	99.94	6 of 6 - Option sale to close-call <sup>25</sup> C
11/03/21	50.000	8,899.80	Various	8,650.00	...	249.80	Total of 6 transactions
MRAM 12/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	180.00	12/06/21	100.00	...	80.00	1 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	899.98	12/06/21	525.00	...	374.98	2 of 7 - Option sale to close-call <sup>25</sup> C
	7.000	1,294.98	12/06/21	735.00	...	559.98	3 of 7 - Option sale to close-call <sup>25</sup> C
	8.000	1,479.98	12/06/21	840.00	...	639.98	4 of 7 - Option sale to close-call <sup>25</sup> C
	12.000	2,159.96	12/06/21	1,260.00	...	899.96	5 of 7 - Option sale to close-call <sup>25</sup> C
	12.000	2,159.97	12/06/21	1,260.00	...	899.97	6 of 7 - Option sale to close-call <sup>25</sup> C
	12.000	2,219.96	12/06/21	1,260.00	...	959.96	7 of 7 - Option sale to close-call <sup>25</sup> C
12/07/21	57.000	10,394.83	Various	5,980.00	...	4,414.83	Total of 7 transactions
MRNA 10/01/2021 PUT \$380.00 / CUSIP: / Symbol:							
2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	3.000	1,064.98	09/29/21	1,590.00	...	-525.02	1 of 2 - Option sale to close-put <sup>25</sup> C
	2.000	709.98	09/29/21	1,060.00	...	-350.02	2 of 2 - Option sale to close-put <sup>25</sup> C
09/30/21	5.000	1,774.96	Various	2,650.00	...	-875.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 10/15/2021 CALL \$335.00 / CUSIP: / Symbol:							
6 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	1,999.97	10/14/21	1,725.00	...	274.97	1 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	764.99	10/14/21	480.00	...	284.99	2 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	764.99	10/14/21	480.00	...	284.99	3 of 6 - Option sale to close-call <sup>25</sup> C
	2.000	1,529.99	10/14/21	960.00	...	569.99	4 of 6 - Option sale to close-call <sup>25</sup> C
	6.000	4,589.97	10/14/21	2,880.00	...	1,709.97	5 of 6 - Option sale to close-call <sup>25</sup> C
	5.000	3,824.97	10/14/21	1,725.00	...	2,099.97	6 of 6 - Option sale to close-call <sup>25</sup> C
10/15/21	20.000	13,474.88	Various	8,250.00	...	5,224.88	Total of 6 transactions
MRNA 10/29/2021 PUT \$315.00 / CUSIP: / Symbol:							
6 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	10.000	4,999.96	10/22/21	6,900.05	...	-1,900.09	1 of 6 - Option sale to close-put <sup>25</sup> C
	9.000	4,499.95	10/22/21	5,940.05	...	-1,440.10	2 of 6 - Option sale to close-put <sup>25</sup> C
	4.000	1,999.98	10/22/21	2,760.02	...	-760.04	3 of 6 - Option sale to close-put <sup>25</sup> C
	3.000	1,499.98	10/22/21	2,070.02	...	-570.04	4 of 6 - Option sale to close-put <sup>25</sup> C
	3.000	1,499.99	10/22/21	2,070.01	...	-570.02	5 of 6 - Option sale to close-put <sup>25</sup> C
	1.000	500.00	10/22/21	660.01	...	-160.01	6 of 6 - Option sale to close-put <sup>25</sup> C
10/22/21	30.000	14,999.86	Various	20,400.16	...	-5,400.30	Total of 6 transactions
5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	589.99	10/22/21	670.00	80.01 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup> C
	4.000	2,359.98	10/22/21	2,800.00	440.02 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup> C
	6.000	3,539.97	10/22/21	4,200.00	660.03 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup> C
	9.000	5,309.95	10/22/21	6,030.00	720.05 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup> C
	10.000	5,899.95	10/22/21	7,000.00	1,100.05 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup> C
10/22/21	30.000	17,699.84	Various	20,700.00	3,000.16 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>32,699.70</b>		<b>41,100.16</b>	<b>3,000.16 W</b>	<b>-5,400.30</b>	
MRNA 11/05/2021 PUT \$220.00 / CUSIP: / Symbol:							
16 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	97.98	11/05/21	87.00	...	10.98	1 of 16 - Option sale to close-put <sup>25</sup> C
	1.000	97.98	11/05/21	87.00	...	10.98	2 of 16 - Option sale to close-put <sup>25</sup> C
	1.000	97.98	11/05/21	87.00	...	10.98	3 of 16 - Option sale to close-put <sup>25</sup> C
	1.000	97.98	11/05/21	87.00	...	10.98	4 of 16 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MRNA 11/05/2021 PUT \$220.00 / CUSIP: / Symbol: (cont'd)							
	2.000	195.98	11/05/21	174.00	...	21.98	5 of 16 - Option sale to close-put <sup>25</sup> C
	14.000	1,245.96	11/05/21	1,218.00	...	27.96	6 of 16 - Option sale to close-put <sup>25</sup> C
	4.000	379.99	11/05/21	348.00	...	31.99	7 of 16 - Option sale to close-put <sup>25</sup> C
	3.000	293.98	11/05/21	261.00	...	32.98	8 of 16 - Option sale to close-put <sup>25</sup> C
	3.000	284.99	11/05/21	249.00	...	35.99	9 of 16 - Option sale to close-put <sup>25</sup> C
	4.000	391.98	11/05/21	348.00	...	43.98	10 of 16 - Option sale to close-put <sup>25</sup> C
	5.000	489.98	11/05/21	435.00	...	54.98	11 of 16 - Option sale to close-put <sup>25</sup> C
	6.000	587.98	11/05/21	522.00	...	65.98	12 of 16 - Option sale to close-put <sup>25</sup> C
	7.000	664.98	11/05/21	581.00	...	83.98	13 of 16 - Option sale to close-put <sup>25</sup> C
	8.000	783.97	11/05/21	696.00	...	87.97	14 of 16 - Option sale to close-put <sup>25</sup> C
	16.000	1,519.95	11/05/21	1,296.00	...	223.95	15 of 16 - Option sale to close-put <sup>25</sup> C
	24.000	2,351.93	11/05/21	1,944.00	...	407.93	16 of 16 - Option sale to close-put <sup>25</sup> C
11/05/21	100.000	9,583.59	Various	8,420.00	...	1,163.59	Total of 16 transactions
MRNA 11/05/2021 PUT \$285.00 / CUSIP: / Symbol:							
11/04/21	10.000	7,399.94	11/04/21	5,750.00	...	1,649.94	Option sale to close-put <sup>25</sup> C
MRNA 11/05/2021 PUT \$290.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	2.000	1,239.98	11/04/21	860.00	...	379.98	1 of 3 - Option sale to close-put <sup>25</sup> C
	8.000	4,959.95	11/04/21	3,440.00	...	1,519.95	2 of 3 - Option sale to close-put <sup>25</sup> C
	10.000	6,499.94	11/04/21	4,300.00	...	2,199.94	3 of 3 - Option sale to close-put <sup>25</sup> C
11/04/21	20.000	12,699.87	Various	8,600.00	...	4,099.87	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 11/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
<i>10 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	370.00	11/15/21	370.00	...	0.00	1 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	374.98	11/15/21	370.00	...	4.98	2 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	375.00	11/15/21	370.01	...	4.99	3 of 10 - Option sale to close-call <sup>25</sup> C
	2.000	749.98	11/15/21	740.01	...	9.97	4 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	374.99	11/15/21	365.00	...	9.99	5 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	375.00	11/15/21	365.01	...	9.99	6 of 10 - Option sale to close-call <sup>25</sup> C
	5.000	1,874.98	11/15/21	1,850.02	...	24.96	7 of 10 - Option sale to close-call <sup>25</sup> C
	3.000	1,124.99	11/15/21	1,095.01	...	29.98	8 of 10 - Option sale to close-call <sup>25</sup> C
	4.000	1,499.98	11/15/21	1,460.00	...	39.98	9 of 10 - Option sale to close-call <sup>25</sup> C
	13.000	4,874.94	11/15/21	4,810.06	...	64.88	10 of 10 - Option sale to close-call <sup>25</sup> C
11/15/21	32.000	11,994.84	Various	11,795.12	...	199.72	Total of 10 transactions
<i>2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	739.99	11/15/21	740.00	0.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup> C
	25.000	9,249.89	11/15/21	9,250.00	0.11 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup> C
11/15/21	27.000	9,989.88	Various	9,990.00	0.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>21,984.72</b>		<b>21,785.12</b>	<b>0.12 W</b>	<b>199.72</b>	

MRNA 11/19/2021 CALL \$245.00 / CUSIP: / Symbol:

*35 transactions for 11/17/21. Total proceeds and cost reported to the IRS.*

	1.000	414.98	11/17/21	376.00	...	38.98	1 of 35 - Option sale to close-call <sup>25</sup> C
	1.000	415.00	11/17/21	376.00	...	39.00	2 of 35 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 11/19/2021 CALL \$245.00 / CUSIP: / Symbol: (cont'd)							
	1.000	429.99	11/17/21	380.00	...	49.99	3 of 35 - Option sale to close-call C 25
	1.000	429.99	11/17/21	380.00	...	49.99	4 of 35 - Option sale to close-call C 25
	1.000	429.99	11/17/21	380.00	...	49.99	5 of 35 - Option sale to close-call C 25
	1.000	429.99	11/17/21	380.00	...	49.99	6 of 35 - Option sale to close-call C 25
	1.000	430.00	11/17/21	380.00	...	50.00	7 of 35 - Option sale to close-call C 25
	1.000	429.98	11/17/21	376.00	...	53.98	8 of 35 - Option sale to close-call C 25
	1.000	409.98	11/17/21	350.00	...	59.98	9 of 35 - Option sale to close-call C 25
	1.000	409.98	11/17/21	350.00	...	59.98	10 of 35 - Option sale to close-call C 25
	1.000	409.98	11/17/21	350.00	...	59.98	11 of 35 - Option sale to close-call C 25
	1.000	409.98	11/17/21	350.00	...	59.98	12 of 35 - Option sale to close-call C 25
	2.000	829.98	11/17/21	752.00	...	77.98	13 of 35 - Option sale to close-call C 25
	2.000	831.98	11/17/21	752.00	...	79.98	14 of 35 - Option sale to close-call C 25
	2.000	859.99	11/17/21	760.00	...	99.99	15 of 35 - Option sale to close-call C 25
	2.000	819.98	11/17/21	700.00	...	119.98	16 of 35 - Option sale to close-call C 25
	2.000	819.98	11/17/21	700.00	...	119.98	17 of 35 - Option sale to close-call C 25
	2.000	819.98	11/17/21	700.00	...	119.98	18 of 35 - Option sale to close-call C 25
	2.000	819.98	11/17/21	700.00	...	119.98	19 of 35 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 11/19/2021 CALL \$245.00 / CUSIP: / Symbol: (cont'd)							
	2.000	819.98	11/17/21	700.00	...	119.98	20 of 35 - Option sale to close-call C <sub>25</sub>
	2.000	819.98	11/17/21	700.00	...	119.98	21 of 35 - Option sale to close-call C <sub>25</sub>
	2.000	819.98	11/17/21	700.00	...	119.98	22 of 35 - Option sale to close-call C <sub>25</sub>
	2.000	819.98	11/17/21	700.00	...	119.98	23 of 35 - Option sale to close-call C <sub>25</sub>
	1.000	479.99	11/17/21	350.00	...	129.99	24 of 35 - Option sale to close-call C <sub>25</sub>
	2.000	829.98	11/17/21	698.00	...	131.98	25 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,659.98	11/17/21	1,504.00	...	155.98	26 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,659.98	11/17/21	1,504.00	...	155.98	27 of 35 - Option sale to close-call C <sub>25</sub>
	3.000	1,244.98	11/17/21	1,050.00	...	194.98	28 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,719.98	11/17/21	1,520.00	...	199.98	29 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,719.98	11/17/21	1,520.00	...	199.98	30 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,719.98	11/17/21	1,504.00	...	215.98	31 of 35 - Option sale to close-call C <sub>25</sub>
	6.000	2,489.97	11/17/21	2,256.00	...	233.97	32 of 35 - Option sale to close-call C <sub>25</sub>
	5.000	2,149.98	11/17/21	1,900.00	...	249.98	33 of 35 - Option sale to close-call C <sub>25</sub>
	5.000	2,149.98	11/17/21	1,880.00	...	269.98	34 of 35 - Option sale to close-call C <sub>25</sub>
	4.000	1,919.98	11/17/21	1,396.00	...	523.98	35 of 35 - Option sale to close-call C <sub>25</sub>
11/17/21	80.000	33,876.39	Various	29,374.00	...	4,502.39	Total of 35 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MVIS 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
6 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	219.99	03/04/21	200.00	...	19.99	1 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	220.00	03/04/21	200.00	...	20.00	2 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	220.00	03/04/21	200.00	...	20.00	3 of 6 - Option sale to close-call <sup>25</sup> C
	3.000	659.98	03/04/21	606.00	...	53.98	4 of 6 - Option sale to close-call <sup>25</sup> C
	5.000	1,099.98	03/04/21	1,010.00	...	89.98	5 of 6 - Option sale to close-call <sup>25</sup> C
	17.000	3,739.95	03/04/21	3,434.00	...	305.95	6 of 6 - Option sale to close-call <sup>25</sup> C
03/04/21	28.000	6,159.90	Various	5,650.00	...	509.90	Total of 6 transactions
MVIS 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	11.000	2,254.96	02/26/21	1,980.00	...	274.96	1 of 2 - Option sale to close-call <sup>25</sup> C
	22.000	4,509.93	02/26/21	3,960.00	...	549.93	2 of 2 - Option sale to close-call <sup>25</sup> C
03/01/21	33.000	6,764.89	Various	5,940.00	...	824.89	Total of 2 transactions
MVIS 05/21/2021 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	1.000	212.00	04/21/21	185.00	...	27.00	1 of 3 - Option sale to close-call <sup>25</sup> C
	3.000	635.99	04/21/21	555.00	...	80.99	2 of 3 - Option sale to close-call <sup>25</sup> C
	40.000	8,479.87	04/21/21	7,680.00	...	799.87	3 of 3 - Option sale to close-call <sup>25</sup> C
04/21/21	44.000	9,327.86	Various	8,420.00	...	907.86	Total of 3 transactions
MVIS 11/05/2021 CALL \$8.50 / CUSIP: / Symbol:							
7 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	22.000	3,189.94	10/28/21	3,300.00	...	-110.06	1 of 7 - Option sale to close-call <sup>25</sup> C
	18.000	2,609.94	10/28/21	2,700.00	...	-90.06	2 of 7 - Option sale to close-call <sup>25</sup> C
	4.000	579.98	10/28/21	600.00	...	-20.02	3 of 7 - Option sale to close-call <sup>25</sup> C
	4.000	579.98	10/28/21	600.00	...	-20.02	4 of 7 - Option sale to close-call <sup>25</sup> C
	1.000	144.98	10/28/21	150.00	...	-5.02	5 of 7 - Option sale to close-call <sup>25</sup> C
	1.000	144.98	10/28/21	150.00	...	-5.02	6 of 7 - Option sale to close-call <sup>25</sup> C
	50.000	7,499.86	10/28/21	7,500.00	...	-0.14	7 of 7 - Option sale to close-call <sup>25</sup> C
10/28/21	100.000	14,749.66	Various	15,000.00	...	-250.34	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
<i>14 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	409.98	10/25/21	865.01	455.03 W	0.00	1 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.98	10/25/21	880.02	470.04 W	0.00	2 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	675.00	265.01 W	0.00	3 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	740.01	330.02 W	0.00	4 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	815.01	405.02 W	0.00	5 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	815.01	405.02 W	0.00	6 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	955.02	545.03 W	0.00	7 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	1,005.02	595.03 W	0.00	8 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	1,005.02	595.03 W	0.00	9 of 14 - Option sale to close-call C <sub>25</sub>
	5.000	409.99	10/25/21	1,005.03	595.04 W	0.00	10 of 14 - Option sale to close-call C <sub>25</sub>
	10.000	819.98	10/25/21	1,630.03	810.05 W	0.00	11 of 14 - Option sale to close-call C <sub>25</sub>
	25.000	2,049.93	10/25/21	4,075.07	2,025.14 W	0.00	12 of 14 - Option sale to close-call C <sub>25</sub>
	25.000	2,049.93	10/25/21	5,025.14	2,975.21 W	0.00	13 of 14 - Option sale to close-call C <sub>25</sub>
	50.000	4,099.87	10/25/21	5,500.00	1,400.13 W	0.00	14 of 14 - Option sale to close-call C <sub>25</sub>
10/27/21	160.000	13,119.59	Various	24,990.39	11,870.80 W	0.00	Total of 14 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
46 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	169.99	11/12/21	138.03	...	31.96	1 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.03	...	31.97	2 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.03	...	31.97	3 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.03	...	31.97	4 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.03	...	31.97	5 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.03	...	31.97	6 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.02	...	31.98	7 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.01	...	31.99	8 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.01	...	31.99	9 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	138.01	...	31.99	10 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	137.01	...	32.99	11 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	128.01	...	41.99	12 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	113.01	...	56.99	13 of 46 - Option sale to close-call C 25
	1.000	170.00	11/12/21	110.03	...	59.97	14 of 46 - Option sale to close-call C 25
	2.000	339.99	11/12/21	276.04	...	63.95	15 of 46 - Option sale to close-call C 25
	2.000	339.99	11/12/21	276.04	...	63.95	16 of 46 - Option sale to close-call C 25
	2.000	339.99	11/12/21	276.03	...	63.96	17 of 46 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	160.00	11/12/21	89.00	...	71.00	18 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.98	11/12/21	90.00	...	79.98	19 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.98	11/12/21	90.00	...	79.98	20 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.98	11/12/21	90.00	...	79.98	21 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.98	11/12/21	90.00	...	79.98	22 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.98	11/12/21	90.00	...	79.98	23 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	169.99	11/12/21	90.00	...	79.99	24 of 46 - Option sale to close-call C <sub>25</sub>
	1.000	170.00	11/12/21	90.00	...	80.00	25 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	639.99	11/12/21	552.04	...	87.95	26 of 46 - Option sale to close-call C <sub>25</sub>
	2.000	339.99	11/12/21	220.03	...	119.96	27 of 46 - Option sale to close-call C <sub>25</sub>
	2.000	339.99	11/12/21	220.02	...	119.97	28 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	679.98	11/12/21	552.05	...	127.93	29 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	679.99	11/12/21	552.05	...	127.94	30 of 46 - Option sale to close-call C <sub>25</sub>
	2.000	339.98	11/12/21	180.00	...	159.98	31 of 46 - Option sale to close-call C <sub>25</sub>
	5.000	849.98	11/12/21	685.05	...	164.93	32 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	679.99	11/12/21	512.03	...	167.96	33 of 46 - Option sale to close-call C <sub>25</sub>
	6.000	1,019.98	11/12/21	828.07	...	191.91	34 of 46 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	4.000	679.99	11/12/21	452.05	...	227.94	35 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	679.99	11/12/21	340.00	...	339.99	36 of 46 - Option sale to close-call C <sub>25</sub>
	4.000	679.99	11/12/21	340.00	...	339.99	37 of 46 - Option sale to close-call C <sub>25</sub>
	5.000	924.98	11/12/21	450.00	...	474.98	38 of 46 - Option sale to close-call C <sub>25</sub>
	5.000	924.98	11/12/21	450.00	...	474.98	39 of 46 - Option sale to close-call C <sub>25</sub>
	10.000	1,699.97	11/12/21	850.00	...	849.97	40 of 46 - Option sale to close-call C <sub>25</sub>
	10.000	1,849.97	11/12/21	900.00	...	949.97	41 of 46 - Option sale to close-call C <sub>25</sub>
	15.000	2,774.95	11/12/21	1,350.00	...	1,424.95	42 of 46 - Option sale to close-call C <sub>25</sub>
	15.000	2,774.95	11/12/21	1,350.00	...	1,424.95	43 of 46 - Option sale to close-call C <sub>25</sub>
	19.000	3,229.94	11/12/21	1,710.00	...	1,519.94	44 of 46 - Option sale to close-call C <sub>25</sub>
	23.000	3,909.93	11/12/21	2,070.00	...	1,839.93	45 of 46 - Option sale to close-call C <sub>25</sub>
	30.000	5,099.91	11/12/21	2,700.00	...	2,399.91	46 of 46 - Option sale to close-call C <sub>25</sub>
11/15/21	205.000	35,549.27	Various	20,678.79	...	14,870.48	Total of 46 transactions
	23 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	1.000	159.98	11/12/21	180.01	20.03 W	0.00	1 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	2 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	3 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	4 of 23 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	5 of 23 - Option sale to close-call C 25
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	6 of 23 - Option sale to close-call C 25
	1.000	159.98	11/12/21	208.01	48.03 W	0.00	7 of 23 - Option sale to close-call C 25
	1.000	159.99	11/12/21	208.00	48.01 W	0.00	8 of 23 - Option sale to close-call C 25
	1.000	159.99	11/12/21	208.01	48.02 W	0.00	9 of 23 - Option sale to close-call C 25
	1.000	160.00	11/12/21	208.01	48.01 W	0.00	10 of 23 - Option sale to close-call C 25
	1.000	161.00	11/12/21	208.01	47.01 W	0.00	11 of 23 - Option sale to close-call C 25
	2.000	319.98	11/12/21	360.01	40.03 W	0.00	12 of 23 - Option sale to close-call C 25
	2.000	319.98	11/12/21	416.01	96.03 W	0.00	13 of 23 - Option sale to close-call C 25
	2.000	319.98	11/12/21	416.02	96.04 W	0.00	14 of 23 - Option sale to close-call C 25
	2.000	319.98	11/12/21	416.02	96.04 W	0.00	15 of 23 - Option sale to close-call C 25
	2.000	319.99	11/12/21	360.01	40.02 W	0.00	16 of 23 - Option sale to close-call C 25
	4.000	639.98	11/12/21	832.03	192.05 W	0.00	17 of 23 - Option sale to close-call C 25
	4.000	639.98	11/12/21	832.03	192.05 W	0.00	18 of 23 - Option sale to close-call C 25
	5.000	799.98	11/12/21	915.04	115.06 W	0.00	19 of 23 - Option sale to close-call C 25
	5.000	799.98	11/12/21	1,040.03	240.05 W	0.00	20 of 23 - Option sale to close-call C 25
	5.000	799.99	11/12/21	990.03	190.04 W	0.00	21 of 23 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCTY 11/19/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	5.000	804.98	11/12/21	1,040.03	235.05 W	0.00	22 of 23 - Option sale to close-call C 25
	6.000	959.98	11/12/21	1,248.05	288.07 W	0.00	23 of 23 - Option sale to close-call C 25
11/15/21	55.000	8,805.62	Various	11,125.41	2,319.79 W	0.00	Total of 23 transactions
	<b>Security total:</b>	<b>57,474.48</b>		<b>56,794.59</b>	<b>14,190.59 W</b>	<b>14,870.48</b>	
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol:							
82 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	44.000	6,247.87	04/30/21	7,172.00	...	-924.13	1 of 82 - Option sale to close-call C 25
	15.000	2,129.96	04/30/21	2,445.00	...	-315.04	2 of 82 - Option sale to close-call C 25
	14.000	1,987.95	04/30/21	2,282.00	...	-294.05	3 of 82 - Option sale to close-call C 25
	14.000	1,987.96	04/30/21	2,282.00	...	-294.04	4 of 82 - Option sale to close-call C 25
	12.000	1,703.96	04/30/21	1,956.00	...	-252.04	5 of 82 - Option sale to close-call C 25
	12.000	1,703.97	04/30/21	1,956.00	...	-252.03	6 of 82 - Option sale to close-call C 25
	9.000	1,277.98	04/30/21	1,467.00	...	-189.02	7 of 82 - Option sale to close-call C 25
	7.000	993.98	04/30/21	1,141.00	...	-147.02	8 of 82 - Option sale to close-call C 25
	6.000	851.98	04/30/21	978.00	...	-126.02	9 of 82 - Option sale to close-call C 25
	23.000	3,265.94	04/30/21	3,381.00	...	-115.06	10 of 82 - Option sale to close-call C 25
	20.000	2,839.95	04/30/21	2,940.00	...	-100.05	11 of 82 - Option sale to close-call C 25
	4.000	567.99	04/30/21	652.00	...	-84.01	12 of 82 - Option sale to close-call C 25
	2.000	283.99	04/30/21	326.00	...	-42.01	13 of 82 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	2.000	283.99	04/30/21	326.00	...	-42.01	14 of 82 - Option sale to close-call C <sub>25</sub>
	7.000	993.98	04/30/21	1,029.00	...	-35.02	15 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	16 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	17 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	18 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	19 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	20 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	21 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	22 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	23 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	142.00	04/30/21	163.00	...	-21.00	24 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	160.00	...	3.98	25 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	160.00	...	3.98	26 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	160.00	...	3.98	27 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	164.98	04/30/21	160.00	...	4.98	28 of 82 - Option sale to close-call C <sub>25</sub>
	2.000	327.98	04/30/21	320.00	...	7.98	29 of 82 - Option sale to close-call C <sub>25</sub>
	2.000	327.98	04/30/21	320.00	...	7.98	30 of 82 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	3.000	491.98	04/30/21	480.00	...	11.98	31 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	32 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	33 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	34 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	35 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	36 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	37 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	38 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	39 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	40 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	41 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	42 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	43 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	44 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	45 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	46 of 82 - Option sale to close-call C <sub>25</sub>
	1.000	163.98	04/30/21	151.00	...	12.98	47 of 82 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	3.000	494.98	04/30/21	480.00	...	14.98	48 of 82 - Option sale to close-call C 25
	3.000	494.98	04/30/21	480.00	...	14.98	49 of 82 - Option sale to close-call C 25
	1.000	166.00	04/30/21	147.00	...	19.00	50 of 82 - Option sale to close-call C 25
	1.000	166.00	04/30/21	147.00	...	19.00	51 of 82 - Option sale to close-call C 25
	1.000	166.00	04/30/21	147.00	...	19.00	52 of 82 - Option sale to close-call C 25
	1.000	166.00	04/30/21	147.00	...	19.00	53 of 82 - Option sale to close-call C 25
	6.000	983.98	04/30/21	960.00	...	23.98	54 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	55 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	56 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	57 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	58 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	59 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	60 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	61 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	62 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	63 of 82 - Option sale to close-call C 25
	2.000	327.98	04/30/21	302.00	...	25.98	64 of 82 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	6.000	989.98	04/30/21	960.00	...	29.98	65 of 82 - Option sale to close-call C <sub>25</sub>
	2.000	331.99	04/30/21	294.00	...	37.99	66 of 82 - Option sale to close-call C <sub>25</sub>
	3.000	491.98	04/30/21	453.00	...	38.98	67 of 82 - Option sale to close-call C <sub>25</sub>
	3.000	491.98	04/30/21	453.00	...	38.98	68 of 82 - Option sale to close-call C <sub>25</sub>
	10.000	1,639.97	04/30/21	1,600.00	...	39.97	69 of 82 - Option sale to close-call C <sub>25</sub>
	9.000	1,484.97	04/30/21	1,440.00	...	44.97	70 of 82 - Option sale to close-call C <sub>25</sub>
	9.000	1,484.97	04/30/21	1,440.00	...	44.97	71 of 82 - Option sale to close-call C <sub>25</sub>
	16.000	2,623.95	04/30/21	2,560.00	...	63.95	72 of 82 - Option sale to close-call C <sub>25</sub>
	5.000	819.98	04/30/21	755.00	...	64.98	73 of 82 - Option sale to close-call C <sub>25</sub>
	5.000	819.99	04/30/21	755.00	...	64.99	74 of 82 - Option sale to close-call C <sub>25</sub>
	4.000	663.99	04/30/21	588.00	...	75.99	75 of 82 - Option sale to close-call C <sub>25</sub>
	8.000	1,311.97	04/30/21	1,208.00	...	103.97	76 of 82 - Option sale to close-call C <sub>25</sub>
	27.000	4,427.92	04/30/21	4,320.00	...	107.92	77 of 82 - Option sale to close-call C <sub>25</sub>
	6.000	995.98	04/30/21	882.00	...	113.98	78 of 82 - Option sale to close-call C <sub>25</sub>
	11.000	1,803.97	04/30/21	1,661.00	...	142.97	79 of 82 - Option sale to close-call C <sub>25</sub>
	9.000	1,493.97	04/30/21	1,323.00	...	170.97	80 of 82 - Option sale to close-call C <sub>25</sub>
	29.000	4,755.91	04/30/21	4,379.00	...	376.91	81 of 82 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	25.000	4,149.92	04/30/21	3,675.00	...	474.92	82 of 82 - Option sale to close-call C <sup>25</sup>
04/30/21	450.000	69,529.12	Various	70,250.00	...	-720.88	Total of 82 transactions
NKE 10/01/2021 PUT \$149.00 / CUSIP: / Symbol:							
6 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	6.000	1,199.98	09/24/21	1,410.00	...	-210.02	1 of 6 - Option sale to close-put <sup>25</sup> C
	10.000	1,999.97	09/24/21	2,200.00	...	-200.03	2 of 6 - Option sale to close-put <sup>25</sup> C
	2.000	399.99	09/24/21	470.00	...	-70.01	3 of 6 - Option sale to close-put <sup>25</sup> C
	1.000	200.00	09/24/21	235.00	...	-35.00	4 of 6 - Option sale to close-put <sup>25</sup> C
	1.000	200.00	09/24/21	235.00	...	-35.00	5 of 6 - Option sale to close-put <sup>25</sup> C
	10.000	1,999.96	09/24/21	1,920.00	...	79.96	6 of 6 - Option sale to close-put <sup>25</sup> C
09/27/21	30.000	5,999.90	Various	6,470.00	...	-470.10	Total of 6 transactions
NKLA 04/23/2021 CALL \$11.00 / CUSIP: / Symbol:							
41 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	88.00	04/22/21	69.00	...	19.00	1 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	88.00	04/22/21	68.00	...	20.00	2 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	88.00	04/22/21	68.00	...	20.00	3 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	88.00	04/22/21	68.00	...	20.00	4 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	88.00	04/22/21	68.00	...	20.00	5 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	90.98	04/22/21	69.00	...	21.98	6 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/22/21	68.00	...	23.00	7 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	91.98	04/22/21	68.00	...	23.98	8 of 41 - Option sale to close-call C <sup>25</sup>
	1.000	92.00	04/22/21	68.00	...	24.00	9 of 41 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/23/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	92.00	04/22/21	68.00	...	24.00	10 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	11 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	12 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	13 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	14 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	15 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	16 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	17 of 41 - Option sale to close-call C <sub>25</sub>
	1.000	92.00	04/22/21	68.00	...	24.00	18 of 41 - Option sale to close-call C <sub>25</sub>
	2.000	175.99	04/22/21	136.00	...	39.99	19 of 41 - Option sale to close-call C <sub>25</sub>
	2.000	175.99	04/22/21	136.00	...	39.99	20 of 41 - Option sale to close-call C <sub>25</sub>
	2.000	176.00	04/22/21	136.00	...	40.00	21 of 41 - Option sale to close-call C <sub>25</sub>
	2.000	176.00	04/22/21	136.00	...	40.00	22 of 41 - Option sale to close-call C <sub>25</sub>
	2.000	183.99	04/22/21	136.00	...	47.99	23 of 41 - Option sale to close-call C <sub>25</sub>
	3.000	263.99	04/22/21	207.00	...	56.99	24 of 41 - Option sale to close-call C <sub>25</sub>
	3.000	263.98	04/22/21	204.00	...	59.98	25 of 41 - Option sale to close-call C <sub>25</sub>
	3.000	272.99	04/22/21	204.00	...	68.99	26 of 41 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 04/23/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	5.000	439.99	04/22/21	340.00	...	99.99	27 of 41 - Option sale to close-call C <sub>25</sub>
	6.000	527.98	04/22/21	414.00	...	113.98	28 of 41 - Option sale to close-call C <sub>25</sub>
	5.000	459.98	04/22/21	340.00	...	119.98	29 of 41 - Option sale to close-call C <sub>25</sub>
	7.000	615.98	04/22/21	476.00	...	139.98	30 of 41 - Option sale to close-call C <sub>25</sub>
	8.000	703.98	04/22/21	544.00	...	159.98	31 of 41 - Option sale to close-call C <sub>25</sub>
	8.000	735.96	04/22/21	544.00	...	191.96	32 of 41 - Option sale to close-call C <sub>25</sub>
	12.000	1,055.97	04/22/21	816.00	...	239.97	33 of 41 - Option sale to close-call C <sub>25</sub>
	14.000	1,273.97	04/22/21	966.00	...	307.97	34 of 41 - Option sale to close-call C <sub>25</sub>
	19.000	1,747.95	04/22/21	1,292.00	...	455.95	35 of 41 - Option sale to close-call C <sub>25</sub>
	25.000	2,199.93	04/22/21	1,725.00	...	474.93	36 of 41 - Option sale to close-call C <sub>25</sub>
	22.000	2,001.94	04/22/21	1,496.00	...	505.94	37 of 41 - Option sale to close-call C <sub>25</sub>
	28.000	2,463.93	04/22/21	1,904.00	...	559.93	38 of 41 - Option sale to close-call C <sub>25</sub>
	35.000	3,219.91	04/22/21	2,380.00	...	839.91	39 of 41 - Option sale to close-call C <sub>25</sub>
	39.000	3,548.90	04/22/21	2,652.00	...	896.90	40 of 41 - Option sale to close-call C <sub>25</sub>
	50.000	4,399.88	04/22/21	3,450.00	...	949.88	41 of 41 - Option sale to close-call C <sub>25</sub>
04/22/21	320.000	28,719.14	Various	21,860.00	...	6,859.14	Total of 41 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
<i>10 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	93.00	04/23/21	92.00	...	1.00	1 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	04/23/21	92.00	...	1.00	2 of 10 - Option sale to close-call C <sub>25</sub>
	2.000	185.99	04/23/21	184.02	...	1.97	3 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	04/23/21	90.01	...	2.99	4 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	04/23/21	87.00	...	6.00	5 of 10 - Option sale to close-call C <sub>25</sub>
	2.000	185.99	04/23/21	174.00	...	11.99	6 of 10 - Option sale to close-call C <sub>25</sub>
	3.000	278.99	04/23/21	261.00	...	17.99	7 of 10 - Option sale to close-call C <sub>25</sub>
	5.000	464.99	04/23/21	435.00	...	29.99	8 of 10 - Option sale to close-call C <sub>25</sub>
	11.000	1,022.97	04/23/21	957.00	...	65.97	9 of 10 - Option sale to close-call C <sub>25</sub>
	15.000	1,394.95	04/23/21	1,305.00	...	89.95	10 of 10 - Option sale to close-call C <sub>25</sub>
04/23/21	42.000	3,905.88	Various	3,677.03	...	228.85	Total of 10 transactions
<i>113 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	90.98	04/23/21	94.00	3.02 W	0.00	1 of 113 - Option sale to close-call C <sub>25</sub>
	1.000	90.98	04/23/21	97.01	6.03 W	0.00	2 of 113 - Option sale to close-call C <sub>25</sub>
	1.000	90.98	04/23/21	97.02	6.04 W	0.00	3 of 113 - Option sale to close-call C <sub>25</sub>
	1.000	90.99	04/23/21	94.00	3.01 W	0.00	4 of 113 - Option sale to close-call C <sub>25</sub>
	1.000	90.99	04/23/21	96.00	5.01 W	0.00	5 of 113 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	90.99	04/23/21	97.01	6.02 W	0.00	6 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	97.01	6.02 W	0.00	7 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	99.01	8.02 W	0.00	8 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	100.02	9.03 W	0.00	9 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	103.01	12.02 W	0.00	10 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	103.01	12.02 W	0.00	11 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	90.99	04/23/21	105.01	14.02 W	0.00	12 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	13 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	14 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	15 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	16 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	17 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	94.00	3.00 W	0.00	18 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	97.00	6.00 W	0.00	19 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	97.01	6.01 W	0.00	20 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	97.01	6.01 W	0.00	21 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	97.01	6.01 W	0.00	22 of 113 - Option sale to close-call C <sup>25</sup>
	1.000	91.00	04/23/21	97.01	6.01 W	0.00	23 of 113 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	91.00	04/23/21	99.01	8.01 W	0.00	24 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.01	9.01 W	0.00	25 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.01	9.01 W	0.00	26 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.01	9.01 W	0.00	27 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.01	9.01 W	0.00	28 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.02	9.02 W	0.00	29 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.03	9.03 W	0.00	30 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	100.04	9.04 W	0.00	31 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	102.01	11.01 W	0.00	32 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	102.01	11.01 W	0.00	33 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	102.02	11.02 W	0.00	34 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.01	12.01 W	0.00	35 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.01	12.01 W	0.00	36 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.02	12.02 W	0.00	37 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.03	12.03 W	0.00	38 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.03	12.03 W	0.00	39 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	103.04	12.04 W	0.00	40 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.00	04/23/21	105.01	14.01 W	0.00	41 of 113 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	91.00	04/23/21	105.02	14.02 W	0.00	42 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.99	04/23/21	97.00	5.01 W	0.00	43 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.99	04/23/21	97.00	5.01 W	0.00	44 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.99	04/23/21	106.03	14.04 W	0.00	45 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	91.99	04/23/21	106.04	14.05 W	0.00	46 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	97.00	5.00 W	0.00	47 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	97.00	5.00 W	0.00	48 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	97.00	5.00 W	0.00	49 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	97.00	5.00 W	0.00	50 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	106.01	14.01 W	0.00	51 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	106.01	14.01 W	0.00	52 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.00	04/23/21	106.03	14.03 W	0.00	53 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	04/23/21	96.00	3.01 W	0.00	54 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	04/23/21	102.02	9.03 W	0.00	55 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	04/23/21	104.01	11.02 W	0.00	56 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	04/23/21	104.02	11.03 W	0.00	57 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	04/23/21	104.02	11.03 W	0.00	58 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	95.00	2.00 W	0.00	59 of 113 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	93.00	04/23/21	95.00	2.00 W	0.00	60 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	95.00	2.00 W	0.00	61 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	95.01	2.01 W	0.00	62 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	95.01	2.01 W	0.00	63 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	96.02	3.02 W	0.00	64 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	96.02	3.02 W	0.00	65 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	96.03	3.03 W	0.00	66 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	98.02	5.02 W	0.00	67 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	98.03	5.03 W	0.00	68 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	98.03	5.03 W	0.00	69 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	102.02	9.02 W	0.00	70 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	102.02	9.02 W	0.00	71 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	104.01	11.01 W	0.00	72 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	104.01	11.01 W	0.00	73 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	104.03	11.03 W	0.00	74 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	104.04	11.04 W	0.00	75 of 113 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	04/23/21	104.05	11.05 W	0.00	76 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.98	04/23/21	188.00	6.02 W	0.00	77 of 113 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	2.000	181.98	04/23/21	188.00	6.02 W	0.00	78 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.98	04/23/21	188.00	6.02 W	0.00	79 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.98	04/23/21	188.00	6.02 W	0.00	80 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	188.00	6.01 W	0.00	81 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	194.02	12.03 W	0.00	82 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	200.03	18.04 W	0.00	83 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	204.03	22.04 W	0.00	84 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	206.04	24.05 W	0.00	85 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	181.99	04/23/21	210.04	28.05 W	0.00	86 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	183.99	04/23/21	194.01	10.02 W	0.00	87 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	183.99	04/23/21	194.01	10.02 W	0.00	88 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	183.99	04/23/21	194.01	10.02 W	0.00	89 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	183.99	04/23/21	194.01	10.02 W	0.00	90 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	183.99	04/23/21	212.05	28.06 W	0.00	91 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	185.99	04/23/21	190.02	4.03 W	0.00	92 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	185.99	04/23/21	190.02	4.03 W	0.00	93 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	185.99	04/23/21	190.02	4.03 W	0.00	94 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	185.99	04/23/21	196.06	10.07 W	0.00	95 of 113 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	2.000	185.99	04/23/21	208.05	22.06 W	0.00	96 of 113 - Option sale to close-call <sup>25</sup> C
	2.000	185.99	04/23/21	208.06	22.07 W	0.00	97 of 113 - Option sale to close-call <sup>25</sup> C
	3.000	272.99	04/23/21	297.03	24.04 W	0.00	98 of 113 - Option sale to close-call <sup>25</sup> C
	3.000	275.99	04/23/21	291.01	15.02 W	0.00	99 of 113 - Option sale to close-call <sup>25</sup> C
	3.000	278.99	04/23/21	285.02	6.03 W	0.00	100 of 113 - Option sale to close-call <sup>25</sup> C
	4.000	363.98	04/23/21	384.02	20.04 W	0.00	101 of 113 - Option sale to close-call <sup>25</sup> C
	4.000	367.98	04/23/21	388.01	20.03 W	0.00	102 of 113 - Option sale to close-call <sup>25</sup> C
	4.000	371.99	04/23/21	380.03	8.04 W	0.00	103 of 113 - Option sale to close-call <sup>25</sup> C
	5.000	459.98	04/23/21	470.00	10.02 W	0.00	104 of 113 - Option sale to close-call <sup>25</sup> C
	5.000	459.98	04/23/21	485.02	25.04 W	0.00	105 of 113 - Option sale to close-call <sup>25</sup> C
	5.000	464.99	04/23/21	475.03	10.04 W	0.00	106 of 113 - Option sale to close-call <sup>25</sup> C
	5.000	464.99	04/23/21	475.04	10.05 W	0.00	107 of 113 - Option sale to close-call <sup>25</sup> C
	6.000	551.99	04/23/21	582.02	30.03 W	0.00	108 of 113 - Option sale to close-call <sup>25</sup> C
	6.000	551.99	04/23/21	582.02	30.03 W	0.00	109 of 113 - Option sale to close-call <sup>25</sup> C
	6.000	557.98	04/23/21	570.03	12.05 W	0.00	110 of 113 - Option sale to close-call <sup>25</sup> C
	7.000	636.98	04/23/21	658.00	21.02 W	0.00	111 of 113 - Option sale to close-call <sup>25</sup> C
	11.000	1,000.96	04/23/21	1,034.00	33.04 W	0.00	112 of 113 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)	13.000	1,182.95	04/23/21	1,222.00	39.05 W	0.00	113 of 113 - Option sale to close-call <sup>25</sup> C
04/23/21	208.000	19,093.22	Various	20,270.80	1,177.58 W	0.00	Total of 113 transactions
	1.000	114.99	04/23/21	98.05	...	16.94	1 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	115.00	04/23/21	98.03	...	16.97	2 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	114.99	04/23/21	98.01	...	16.98	3 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	98.04	...	18.96	4 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	98.01	...	18.99	5 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	116.99	04/23/21	92.03	...	24.96	6 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	92.03	...	24.97	7 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	92.02	...	24.98	8 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	90.03	...	26.97	9 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	90.02	...	26.98	10 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	90.02	...	26.98	11 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	89.01	...	27.99	12 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	89.01	...	27.99	13 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	89.00	...	28.00	14 of 27 - Option sale to close-call <sup>25</sup> C
	1.000	117.00	04/23/21	89.00	...	28.00	15 of 27 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 04/30/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	1.000	117.00	04/23/21	89.00	...	28.00	16 of 27 - Option sale to close-call C <sub>25</sub>
	1.000	116.99	04/23/21	87.00	...	29.99	17 of 27 - Option sale to close-call C <sub>25</sub>
	2.000	233.99	04/23/21	196.07	...	37.92	18 of 27 - Option sale to close-call C <sub>25</sub>
	2.000	233.99	04/23/21	184.07	...	49.92	19 of 27 - Option sale to close-call C <sub>25</sub>
	2.000	233.99	04/23/21	178.03	...	55.96	20 of 27 - Option sale to close-call C <sub>25</sub>
	2.000	233.99	04/23/21	178.03	...	55.96	21 of 27 - Option sale to close-call C <sub>25</sub>
	2.000	233.99	04/23/21	178.03	...	55.96	22 of 27 - Option sale to close-call C <sub>25</sub>
	3.000	350.99	04/23/21	267.03	...	83.96	23 of 27 - Option sale to close-call C <sub>25</sub>
	4.000	467.99	04/23/21	356.04	...	111.95	24 of 27 - Option sale to close-call C <sub>25</sub>
	5.000	584.99	04/23/21	445.05	...	139.94	25 of 27 - Option sale to close-call C <sub>25</sub>
	5.000	584.99	04/23/21	445.04	...	139.95	26 of 27 - Option sale to close-call C <sub>25</sub>
	6.000	701.99	04/23/21	534.05	...	167.94	27 of 27 - Option sale to close-call C <sub>25</sub>
04/26/21	50.000	5,843.86	Various	4,529.75	...	1,314.11	Total of 27 transactions
	<b>Security total:</b>	<b>28,842.96</b>		<b>28,477.58</b>	<b>1,177.58 W</b>	<b>1,542.96</b>	
NKLA 05/14/2021 CALL \$10.50 / CUSIP: / Symbol:							
	18 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	29.000	2,899.92	05/07/21	3,393.00	...	-493.08	1 of 18 - Option sale to close-call C <sub>25</sub>
	27.000	2,699.93	05/07/21	3,159.00	...	-459.07	2 of 18 - Option sale to close-call C <sub>25</sub>
	26.000	2,599.93	05/07/21	3,042.00	...	-442.07	3 of 18 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 05/14/2021 CALL \$10.50 / CUSIP: / Symbol: (cont'd)							
	3.000	299.98	05/07/21	402.01	...	-102.03	4 of 18 - Option sale to close-call C <sub>25</sub>
	2.000	199.99	05/07/21	268.01	...	-68.02	5 of 18 - Option sale to close-call C <sub>25</sub>
	2.000	199.99	05/07/21	268.01	...	-68.02	6 of 18 - Option sale to close-call C <sub>25</sub>
	4.000	399.99	05/07/21	468.00	...	-68.01	7 of 18 - Option sale to close-call C <sub>25</sub>
	3.000	299.98	05/07/21	351.00	...	-51.02	8 of 18 - Option sale to close-call C <sub>25</sub>
	3.000	299.98	05/07/21	351.00	...	-51.02	9 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	99.98	05/07/21	134.01	...	-34.03	10 of 18 - Option sale to close-call C <sub>25</sub>
	2.000	199.98	05/07/21	234.00	...	-34.02	11 of 18 - Option sale to close-call C <sub>25</sub>
	2.000	199.98	05/07/21	234.00	...	-34.02	12 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	100.00	05/07/21	134.01	...	-34.01	13 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	100.00	05/07/21	134.01	...	-34.01	14 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	99.98	05/07/21	117.00	...	-17.02	15 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	99.98	05/07/21	117.00	...	-17.02	16 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	99.98	05/07/21	117.00	...	-17.02	17 of 18 - Option sale to close-call C <sub>25</sub>
	1.000	99.98	05/07/21	117.00	...	-17.02	18 of 18 - Option sale to close-call C <sub>25</sub>
05/07/21	110.000	10,999.55	Various	13,040.06	...	-2,040.51	Total of 18 transactions
	3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	3.000	299.98	05/07/21	351.00	51.02 W	0.00	1 of 3 - Option sale to close-call C <sub>25</sub>
	3.000	299.98	05/07/21	351.00	51.02 W	0.00	2 of 3 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 05/14/2021 CALL \$10.50 / CUSIP: / Symbol: (cont'd)							
	4.000	399.98	05/07/21	468.00	68.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup> C
05/07/21	10.000	999.94	Various	1,170.00	170.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>11,999.49</b>		<b>14,210.06</b>	<b>170.06 W</b>	<b>-2,040.51</b>	
NKLA 05/28/2021 CALL \$12.00 / CUSIP: / Symbol:							
15 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	8.000	463.97	05/25/21	576.03	...	-112.06	1 of 15 - Option sale to close-call <sup>25</sup> C
	16.000	927.96	05/25/21	1,040.00	...	-112.04	2 of 15 - Option sale to close-call <sup>25</sup> C
	6.000	347.98	05/25/21	432.02	...	-84.04	3 of 15 - Option sale to close-call <sup>25</sup> C
	5.000	289.98	05/25/21	360.02	...	-70.04	4 of 15 - Option sale to close-call <sup>25</sup> C
	3.000	173.99	05/25/21	216.01	...	-42.02	5 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	89.03	...	-31.03	6 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	86.01	...	-28.01	7 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	79.01	...	-21.01	8 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	79.01	...	-21.01	9 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	79.00	...	-21.00	10 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	79.00	...	-21.00	11 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	79.00	...	-21.00	12 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	72.00	...	-14.00	13 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	58.00	05/25/21	72.00	...	-14.00	14 of 15 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 05/28/2021 CALL \$12.00 / CUSIP: / Symbol: (cont'd)	1.000	58.00	05/25/21	72.00	...	-14.00	15 of 15 - Option sale to close-call C <sub>25</sub>
05/25/21	48.000	2,783.88	Various	3,410.14	...	-626.26	Total of 15 transactions
	31.000	1,797.90	05/25/21	2,015.00	175.08 W	-42.02	1 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	57.98	05/25/21	68.01	10.03 W	0.00	2 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	57.99	05/25/21	61.00	3.01 W	0.00	3 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	57.99	05/25/21	65.00	7.01 W	0.00	4 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	57.99	05/25/21	65.00	7.01 W	0.00	5 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.00	14.00 W	0.00	6 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.00	14.00 W	0.00	7 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.00	14.00 W	0.00	8 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.01	14.01 W	0.00	9 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.01	14.01 W	0.00	10 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	72.01	14.01 W	0.00	11 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	75.03	17.03 W	0.00	12 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	79.01	21.01 W	0.00	13 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	05/25/21	82.03	24.03 W	0.00	14 of 15 - Option sale to close-call C <sub>25</sub>
	4.000	231.99	05/25/21	260.00	28.01 W	0.00	15 of 15 - Option sale to close-call C <sub>25</sub>
05/25/21	48.000	2,783.84	Various	3,202.11	376.25 W	-42.02	Total of 15 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 05/28/2021 CALL \$12.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,567.72</b>		<b>6,612.25</b>	<b>376.25 W</b>	<b>-668.28</b>	
NKLA 10/29/2021 CALL \$10.50 / CUSIP: / Symbol:							
<i>23 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
1.000		76.98	10/25/21	73.00	...	3.98	1 of 23 - Option sale to close-call C 25
1.000		76.98	10/25/21	73.00	...	3.98	2 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	3 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	4 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	5 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	6 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	7 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	8 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	9 of 23 - Option sale to close-call C 25
1.000		86.98	10/25/21	69.00	...	17.98	10 of 23 - Option sale to close-call C 25
1.000		101.98	10/25/21	72.00	...	29.98	11 of 23 - Option sale to close-call C 25
1.000		102.98	10/25/21	69.00	...	33.98	12 of 23 - Option sale to close-call C 25
1.000		102.98	10/25/21	69.00	...	33.98	13 of 23 - Option sale to close-call C 25
2.000		174.00	10/25/21	138.00	...	36.00	14 of 23 - Option sale to close-call C 25
24.000		1,847.94	10/25/21	1,752.00	...	95.94	15 of 23 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 10/29/2021 CALL \$10.50 / CUSIP: / Symbol: (cont'd)							
	25.000	1,924.94	10/25/21	1,825.00	...	99.94	16 of 23 - Option sale to close-call C <sub>25</sub>
	3.000	308.98	10/25/21	207.00	...	101.98	17 of 23 - Option sale to close-call C <sub>25</sub>
	5.000	524.99	10/25/21	360.00	...	164.99	18 of 23 - Option sale to close-call C <sub>25</sub>
	10.000	869.98	10/25/21	690.00	...	179.98	19 of 23 - Option sale to close-call C <sub>25</sub>
	49.000	3,772.88	10/25/21	3,577.00	...	195.88	20 of 23 - Option sale to close-call C <sub>25</sub>
	30.000	2,609.92	10/25/21	2,070.00	...	539.92	21 of 23 - Option sale to close-call C <sub>25</sub>
	45.000	4,634.88	10/25/21	3,105.00	...	1,529.88	22 of 23 - Option sale to close-call C <sub>25</sub>
	94.000	9,869.75	10/25/21	6,768.00	...	3,101.75	23 of 23 - Option sale to close-call C <sub>25</sub>
10/26/21	300.000	27,696.00	Various	21,400.00	...	6,296.00	Total of 23 transactions
NKLA 11/05/2021 CALL \$13.00 / CUSIP: / Symbol:							
20 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	60.000	7,859.82	11/04/21	7,860.16	...	-0.34	1 of 20 - Option sale to close-call C <sub>25</sub>
	5.000	654.99	11/04/21	655.04	...	-0.05	2 of 20 - Option sale to close-call C <sub>25</sub>
	9.000	1,178.98	11/04/21	1,179.03	...	-0.05	3 of 20 - Option sale to close-call C <sub>25</sub>
	4.000	523.99	11/04/21	524.02	...	-0.03	4 of 20 - Option sale to close-call C <sub>25</sub>
	4.000	523.99	11/04/21	524.02	...	-0.03	5 of 20 - Option sale to close-call C <sub>25</sub>
	4.000	523.99	11/04/21	524.02	...	-0.03	6 of 20 - Option sale to close-call C <sub>25</sub>
	1.000	131.00	11/04/21	131.02	...	-0.02	7 of 20 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 11/05/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	131.00	11/04/21	131.02	...	-0.02	8 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	131.02	...	-0.02	9 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	127.02	...	3.98	10 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	127.01	...	3.99	11 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	127.00	...	4.00	12 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	127.00	...	4.00	13 of 20 - Option sale to close-call C 25
	1.000	131.00	11/04/21	127.00	...	4.00	14 of 20 - Option sale to close-call C 25
	1.000	130.99	11/04/21	121.02	...	9.97	15 of 20 - Option sale to close-call C 25
	4.000	523.98	11/04/21	484.00	...	39.98	16 of 20 - Option sale to close-call C 25
	3.000	509.99	11/04/21	387.00	...	122.99	17 of 20 - Option sale to close-call C 25
	5.000	849.99	11/04/21	645.00	...	204.99	18 of 20 - Option sale to close-call C 25
	42.000	7,139.87	11/04/21	5,418.00	...	1,721.87	19 of 20 - Option sale to close-call C 25
	50.000	8,499.85	11/04/21	6,450.00	...	2,049.85	20 of 20 - Option sale to close-call C 25
11/04/21	199.000	29,968.43	Various	25,799.40	...	4,169.03	Total of 20 transactions
	24 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	128.00	11/04/21	134.00	6.00 W	0.00	1 of 24 - Option sale to close-call C 25
	1.000	128.00	11/04/21	134.00	6.00 W	0.00	2 of 24 - Option sale to close-call C 25
	1.000	128.00	11/04/21	134.00	6.00 W	0.00	3 of 24 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 11/05/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	130.98	11/04/21	131.00	0.02 W	0.00	4 of 24 - Option sale to close-call C 25
	1.000	130.98	11/04/21	134.00	3.02 W	0.00	5 of 24 - Option sale to close-call C 25
	1.000	130.98	11/04/21	134.00	3.02 W	0.00	6 of 24 - Option sale to close-call C 25
	1.000	130.98	11/04/21	134.00	3.02 W	0.00	7 of 24 - Option sale to close-call C 25
	1.000	130.99	11/04/21	137.01	6.02 W	0.00	8 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	137.00	6.00 W	0.00	9 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	137.00	6.00 W	0.00	10 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	137.00	6.00 W	0.00	11 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	137.01	6.01 W	0.00	12 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	140.00	9.00 W	0.00	13 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	140.00	9.00 W	0.00	14 of 24 - Option sale to close-call C 25
	1.000	131.00	11/04/21	140.00	9.00 W	0.00	15 of 24 - Option sale to close-call C 25
	2.000	255.99	11/04/21	268.00	12.01 W	0.00	16 of 24 - Option sale to close-call C 25
	2.000	261.99	11/04/21	280.01	18.02 W	0.00	17 of 24 - Option sale to close-call C 25
	4.000	523.98	11/04/21	536.00	12.02 W	0.00	18 of 24 - Option sale to close-call C 25
	4.000	523.98	11/04/21	536.00	12.02 W	0.00	19 of 24 - Option sale to close-call C 25
	5.000	639.98	11/04/21	670.00	30.02 W	0.00	20 of 24 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 11/05/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	5.000	654.98	11/04/21	670.00	15.02 W	0.00	21 of 24 - Option sale to close-call C <sub>25</sub>
	5.000	654.98	11/04/21	670.02	15.04 W	0.00	22 of 24 - Option sale to close-call C <sub>25</sub>
	9.000	1,178.97	11/04/21	1,206.00	27.03 W	0.00	23 of 24 - Option sale to close-call C <sub>25</sub>
	60.000	7,859.84	11/04/21	8,040.00	180.16 W	0.00	24 of 24 - Option sale to close-call C <sub>25</sub>
11/04/21	111.000	14,510.60	Various	14,916.05	405.45 W	0.00	Total of 24 transactions
	<b>Security total:</b>	<b>44,479.03</b>		<b>40,715.45</b>	<b>405.45 W</b>	<b>4,169.03</b>	
NKLA 11/05/2021 CALL \$14.00 / CUSIP: / Symbol:							
26 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	175.000	874.64	11/05/21	6,125.00	...	-5,250.36	1 of 26 - Option sale to close-call C <sub>25</sub>
	88.000	439.82	11/05/21	3,520.00	...	-3,080.18	2 of 26 - Option sale to close-call C <sub>25</sub>
	11.000	54.98	11/05/21	770.03	...	-715.05	3 of 26 - Option sale to close-call C <sub>25</sub>
	10.000	49.98	11/05/21	700.03	...	-650.05	4 of 26 - Option sale to close-call C <sub>25</sub>
	4.000	19.99	11/05/21	280.02	...	-260.03	5 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	6 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	7 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	8 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	9 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	10 of 26 - Option sale to close-call C <sub>25</sub>
	1.000	49.98	11/05/21	40.00	...	9.98	11 of 26 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 11/05/2021 CALL \$14.00 / CUSIP: / Symbol: (cont'd)							
	1.000	49.98	11/05/21	40.00	...	9.98	12 of 26 - Option sale to close-call C <sup>25</sup>
	1.000	49.98	11/05/21	40.00	...	9.98	13 of 26 - Option sale to close-call C <sup>25</sup>
	2.000	99.98	11/05/21	80.00	...	19.98	14 of 26 - Option sale to close-call C <sup>25</sup>
	2.000	99.98	11/05/21	80.00	...	19.98	15 of 26 - Option sale to close-call C <sup>25</sup>
	2.000	99.98	11/05/21	80.00	...	19.98	16 of 26 - Option sale to close-call C <sup>25</sup>
	3.000	149.98	11/05/21	120.00	...	29.98	17 of 26 - Option sale to close-call C <sup>25</sup>
	5.000	249.98	11/05/21	200.00	...	49.98	18 of 26 - Option sale to close-call C <sup>25</sup>
	8.000	399.97	11/05/21	320.00	...	79.97	19 of 26 - Option sale to close-call C <sup>25</sup>
	19.000	949.95	11/05/21	760.00	...	189.95	20 of 26 - Option sale to close-call C <sup>25</sup>
	20.000	999.95	11/05/21	800.00	...	199.95	21 of 26 - Option sale to close-call C <sup>25</sup>
	27.000	1,349.94	11/05/21	1,080.00	...	269.94	22 of 26 - Option sale to close-call C <sup>25</sup>
	28.000	1,399.93	11/05/21	1,120.00	...	279.93	23 of 26 - Option sale to close-call C <sup>25</sup>
	49.000	2,449.88	11/05/21	1,960.00	...	489.88	24 of 26 - Option sale to close-call C <sup>25</sup>
	57.000	2,849.87	11/05/21	2,280.00	...	569.87	25 of 26 - Option sale to close-call C <sup>25</sup>
	57.000	2,849.87	11/05/21	2,280.00	...	569.87	26 of 26 - Option sale to close-call C <sup>25</sup>
11/05/21	575.000	15,788.51	Various	22,875.08	...	-7,086.57	Total of 26 transactions
	3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	4.000	19.98	11/05/21	160.00	140.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup> C
	10.000	49.97	11/05/21	400.00	350.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 11/05/2021 CALL \$14.00 / CUSIP: / Symbol: (cont'd)							
	11.000	54.97	11/05/21	440.00	385.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup> C
11/05/21	25.000	124.92	Various	1,000.00	875.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>15,913.43</b>		<b>23,875.08</b>	<b>875.08 W</b>	<b>-7,086.57</b>	
NKLA 12/31/2021 CALL \$10.00 / CUSIP: / Symbol:							
6 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	139.99	12/23/21	65.00	...	74.99	1 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	139.99	12/23/21	65.00	...	74.99	2 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	140.00	12/23/21	65.00	...	75.00	3 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	140.00	12/23/21	65.00	...	75.00	4 of 6 - Option sale to close-call <sup>25</sup> C
	2.000	279.99	12/23/21	130.00	...	149.99	5 of 6 - Option sale to close-call <sup>25</sup> C
	4.000	559.99	12/23/21	260.00	...	299.99	6 of 6 - Option sale to close-call <sup>25</sup> C
12/27/21	10.000	1,399.96	Various	650.00	...	749.96	Total of 6 transactions
NNOX 04/09/2021 CALL \$46.00 / CUSIP: / Symbol:							
04/08/21	30.000	629.93	04/07/21	4,200.00	...	-3,570.07	Option sale to close-call <sup>25</sup> C
NNOX 04/09/2021 CALL \$47.00 / CUSIP: / Symbol:							
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	10.000	199.97	04/06/21	1,950.00	...	-1,750.03	1 of 4 - Option sale to close-call <sup>25</sup> C
	8.000	159.98	04/06/21	1,560.00	...	-1,400.02	2 of 4 - Option sale to close-call <sup>25</sup> C
	1.000	20.00	04/06/21	370.02	...	-350.02	3 of 4 - Option sale to close-call <sup>25</sup> C
	1.000	20.00	04/06/21	370.02	...	-350.02	4 of 4 - Option sale to close-call <sup>25</sup> C
04/08/21	20.000	399.95	Various	4,250.04	...	-3,850.09	Total of 4 transactions
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	04/06/21	195.00	175.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup> C
	1.000	19.98	04/06/21	195.00	175.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup> C
04/08/21	2.000	39.96	Various	390.00	350.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>439.91</b>		<b>4,640.04</b>	<b>350.04 W</b>	<b>-3,850.09</b>	
NVAX 04/30/2021 CALL \$237.50 / CUSIP: / Symbol:							
8 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	10.000	1,009.97	04/30/21	7,200.00	...	-6,190.03	1 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	1,069.98	04/30/21	720.00	...	349.98	2 of 8 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 04/30/2021 CALL \$237.50 / CUSIP: / Symbol: (cont'd)							
	1.000	1,074.99	04/30/21	720.00	...	354.99	3 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	1,074.99	04/30/21	720.00	...	354.99	4 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	1,074.99	04/30/21	720.00	...	354.99	5 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	1,099.98	04/30/21	720.00	...	379.98	6 of 8 - Option sale to close-call <sup>25</sup> C
	2.000	2,149.98	04/30/21	1,440.00	...	709.98	7 of 8 - Option sale to close-call <sup>25</sup> C
	3.000	3,224.98	04/30/21	2,160.00	...	1,064.98	8 of 8 - Option sale to close-call <sup>25</sup> C
04/30/21	20.000	11,779.86	Various	14,400.00	...	-2,620.14	Total of 8 transactions
NVAX 10/08/2021 CALL \$170.00 / CUSIP: / Symbol:							
5 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	4.000	743.99	10/06/21	1,680.02	...	-936.03	1 of 5 - Option sale to close-call <sup>25</sup> C
	2.000	371.99	10/06/21	634.01	...	-262.02	2 of 5 - Option sale to close-call <sup>25</sup> C
	3.000	557.99	10/06/21	786.01	...	-228.02	3 of 5 - Option sale to close-call <sup>25</sup> C
	1.000	186.00	10/06/21	396.02	...	-210.02	4 of 5 - Option sale to close-call <sup>25</sup> C
	1.000	185.99	10/06/21	247.00	...	-61.01	5 of 5 - Option sale to close-call <sup>25</sup> C
10/07/21	11.000	2,045.96	Various	3,743.06	...	-1,697.10	Total of 5 transactions
3 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	270.98	10/06/21	420.00	149.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup> C
	3.000	1,004.99	10/06/21	1,050.00	45.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup> C
	7.000	2,344.97	10/06/21	2,835.00	490.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup> C
10/07/21	11.000	3,620.94	Various	4,305.00	684.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>5,666.90</b>		<b>8,048.06</b>	<b>684.06 W</b>	<b>-1,697.10</b>	
NVAX 10/08/2021 CALL \$180.00 / CUSIP: / Symbol:							
8 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	2.000	1,279.99	10/01/21	1,710.03	...	-430.04	1 of 8 - Option sale to close-call <sup>25</sup> C
	3.000	1,919.98	10/01/21	2,265.01	...	-345.03	2 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	639.98	10/01/21	880.03	...	-240.05	3 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	639.98	10/01/21	880.01	...	-240.03	4 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	644.98	10/01/21	880.02	...	-235.04	5 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	599.99	10/01/21	755.03	...	-155.04	6 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	640.00	10/01/21	555.00	...	85.00	7 of 8 - Option sale to close-call <sup>25</sup> C
	1.000	639.99	10/04/21	980.01	...	-340.02	8 of 8 - Option sale to close-call <sup>25</sup> C
10/04/21	11.000	7,004.89	Various	8,905.14	...	-1,900.25	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVAX 10/08/2021 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 10/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	574.98	10/01/21	900.00	325.02 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	574.99	10/01/21	900.02	325.03 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	574.99	10/01/21	1,025.01	450.02 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	574.99	10/01/21	1,025.02	450.03 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	575.00	10/01/21	700.00	125.00 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	575.00	10/01/21	1,025.01	450.01 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	699.98	10/01/21	900.00	200.02 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup> C
	2.000	1,149.98	10/01/21	1,800.00	650.02 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup> C
	3.000	1,799.98	10/01/21	3,075.02	1,275.04 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup> C
	6.000	3,449.97	10/01/21	5,400.00	1,950.03 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup> C
10/04/21	18.000	10,549.86	Various	16,750.08	6,200.22 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>17,554.75</b>		<b>25,655.22</b>	<b>6,200.22 W</b>	<b>-1,900.25</b>	
NVAX 10/15/2021 CALL \$175.00 / CUSIP: / Symbol:							
<i>3 transactions for 10/12/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	1,255.97	10/11/21	2,920.00	...	-1,664.03	1 of 3 - Option sale to close-call <sup>25</sup> C
	4.000	627.98	10/11/21	1,840.00	...	-1,212.02	2 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	157.00	10/11/21	310.00	...	-153.00	3 of 3 - Option sale to close-call <sup>25</sup> C
10/12/21	13.000	2,040.95	Various	5,070.00	...	-3,029.05	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/22/2021 CALL \$120.00 / CUSIP: / Symbol:							
6 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	1,949.99	10/20/21	1,375.00	...	574.99	1 of 6 - Option sale to close-call <sup>25</sup> C
	2.000	3,719.98	10/20/21	2,750.00	...	969.98	2 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	2,199.97	10/20/21	1,105.00	...	1,094.97	3 of 6 - Option sale to close-call <sup>25</sup> C
	1.000	2,249.97	10/20/21	1,105.00	...	1,144.97	4 of 6 - Option sale to close-call <sup>25</sup> C
	3.000	5,579.96	10/20/21	4,380.00	...	1,199.96	5 of 6 - Option sale to close-call <sup>25</sup> C
	3.000	5,849.96	10/20/21	3,315.00	...	2,534.96	6 of 6 - Option sale to close-call <sup>25</sup> C
10/21/21	11.000	21,549.83	Various	14,030.00	...	7,519.83	Total of 6 transactions
NVAX 10/22/2021 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	8.000	2,559.97	10/14/21	4,000.00	...	-1,440.03	1 of 2 - Option sale to close-call <sup>25</sup> C
	2.000	639.99	10/14/21	1,000.00	...	-360.01	2 of 2 - Option sale to close-call <sup>25</sup> C
10/15/21	10.000	3,199.96	Various	5,000.00	...	-1,800.04	Total of 2 transactions
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol:							
14 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	439.98	10/27/21	351.02	...	88.96	1 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	439.99	10/27/21	351.02	...	88.97	2 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	439.99	10/27/21	346.03	...	93.96	3 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	439.99	10/27/21	346.02	...	93.97	4 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	439.99	10/27/21	346.02	...	93.97	5 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	440.00	10/27/21	346.03	...	93.97	6 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	440.00	10/27/21	346.03	...	93.97	7 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	440.00	10/27/21	346.03	...	93.97	8 of 14 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
	1.000	440.00	10/27/21	346.01	...	93.99	9 of 14 - Option sale to close-call C 25
	1.000	440.00	10/27/21	346.01	...	93.99	10 of 14 - Option sale to close-call C 25
	1.000	439.99	10/27/21	336.01	...	103.98	11 of 14 - Option sale to close-call C 25
	1.000	439.99	10/27/21	336.01	...	103.98	12 of 14 - Option sale to close-call C 25
	1.000	439.99	10/27/21	336.01	...	103.98	13 of 14 - Option sale to close-call C 25
	2.000	879.99	10/27/21	672.02	...	207.97	14 of 14 - Option sale to close-call C 25
10/27/21	15.000	6,599.90	Various	5,150.27	...	1,449.63	Total of 14 transactions
	39 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	424.99	10/27/21	560.00	135.01 W	0.00	1 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	570.02	145.03 W	0.00	2 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	570.02	145.03 W	0.00	3 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	570.02	145.03 W	0.00	4 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	570.02	145.03 W	0.00	5 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	575.01	150.02 W	0.00	6 of 39 - Option sale to close-call C 25
	1.000	424.99	10/27/21	575.01	150.02 W	0.00	7 of 39 - Option sale to close-call C 25
	1.000	425.00	10/27/21	575.01	150.01 W	0.00	8 of 39 - Option sale to close-call C 25
	1.000	429.98	10/27/21	560.00	130.02 W	0.00	9 of 39 - Option sale to close-call C 25
	1.000	429.98	10/27/21	560.00	130.02 W	0.00	10 of 39 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
	1.000	429.98	10/27/21	560.00	130.02 W	0.00	11 of 39 - Option sale to close-call C <sub>25</sub>
	1.000	429.98	10/27/21	560.00	130.02 W	0.00	12 of 39 - Option sale to close-call C <sub>25</sub>
	1.000	440.00	10/27/21	575.01	135.01 W	0.00	13 of 39 - Option sale to close-call C <sub>25</sub>
	1.000	440.00	10/27/21	575.01	135.01 W	0.00	14 of 39 - Option sale to close-call C <sub>25</sub>
	1.000	440.00	10/27/21	575.01	135.01 W	0.00	15 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	16 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	17 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	18 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	19 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	20 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	21 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	22 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	23 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	24 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	25 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	26 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	27 of 39 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
	2.000	849.98	10/27/21	1,120.00	270.02 W	0.00	28 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,140.01	290.03 W	0.00	29 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.98	10/27/21	1,140.01	290.03 W	0.00	30 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.99	10/27/21	1,150.02	300.03 W	0.00	31 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	849.99	10/27/21	1,150.02	300.03 W	0.00	32 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	33 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	34 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	35 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	36 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	37 of 39 - Option sale to close-call C <sub>25</sub>
	2.000	879.99	10/27/21	1,150.02	270.03 W	0.00	38 of 39 - Option sale to close-call C <sub>25</sub>
	4.000	1,719.98	10/27/21	2,240.00	520.02 W	0.00	39 of 39 - Option sale to close-call C <sub>25</sub>
10/27/21	65.000	27,889.45	Various	36,810.32	8,920.87 W	0.00	Total of 39 transactions
	15 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	14.000	2,449.96	10/27/21	3,108.00	...	-658.04	1 of 15 - Option sale to close-call C <sub>25</sub>
	13.000	2,274.96	10/27/21	2,886.00	...	-611.04	2 of 15 - Option sale to close-call C <sub>25</sub>
	3.000	524.99	10/27/21	1,065.00	...	-540.01	3 of 15 - Option sale to close-call C <sub>25</sub>
	14.000	2,449.96	10/27/21	2,814.00	...	-364.04	4 of 15 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
	2.000	349.99	10/27/21	672.03	...	-322.04	5 of 15 - Option sale to close-call C 25
	2.000	349.99	10/27/21	656.05	...	-306.06	6 of 15 - Option sale to close-call C 25
	2.000	349.99	10/27/21	556.00	...	-206.01	7 of 15 - Option sale to close-call C 25
	3.000	524.99	10/27/21	690.00	...	-165.01	8 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	328.03	...	-153.03	9 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	328.03	...	-153.03	10 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	278.00	...	-103.00	11 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	278.00	...	-103.00	12 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	278.00	...	-103.00	13 of 15 - Option sale to close-call C 25
	1.000	175.00	10/27/21	225.00	...	-50.00	14 of 15 - Option sale to close-call C 25
	1.000	174.99	10/28/21	206.00	...	-31.01	15 of 15 - Option sale to close-call C 25
10/28/21	60.000	10,499.82	Various	14,368.14	...	-3,868.32	Total of 15 transactions
	21 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	1.000	174.98	10/27/21	336.01	161.03 W	0.00	1 of 21 - Option sale to close-call C 25
	1.000	174.99	10/27/21	302.02	127.03 W	0.00	2 of 21 - Option sale to close-call C 25
	1.000	174.99	10/27/21	302.02	127.03 W	0.00	3 of 21 - Option sale to close-call C 25
	1.000	175.00	10/27/21	287.01	112.01 W	0.00	4 of 21 - Option sale to close-call C 25
	1.000	175.00	10/27/21	287.03	112.03 W	0.00	5 of 21 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
	1.000	175.00	10/27/21	302.01	127.01 W	0.00	6 of 21 - Option sale to close-call C 25
	1.000	175.00	10/27/21	336.01	161.01 W	0.00	7 of 21 - Option sale to close-call C 25
	1.000	175.00	10/27/21	336.01	161.01 W	0.00	8 of 21 - Option sale to close-call C 25
	1.000	175.00	10/27/21	362.03	187.03 W	0.00	9 of 21 - Option sale to close-call C 25
	1.000	249.99	10/27/21	336.02	86.03 W	0.00	10 of 21 - Option sale to close-call C 25
	1.000	250.00	10/27/21	336.01	86.01 W	0.00	11 of 21 - Option sale to close-call C 25
	1.000	250.00	10/27/21	351.01	101.01 W	0.00	12 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	574.04	224.05 W	0.00	13 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	604.04	254.05 W	0.00	14 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	672.03	322.04 W	0.00	15 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	672.03	322.04 W	0.00	16 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	672.03	322.04 W	0.00	17 of 21 - Option sale to close-call C 25
	2.000	349.99	10/27/21	724.04	374.05 W	0.00	18 of 21 - Option sale to close-call C 25
	2.000	499.99	10/27/21	672.03	172.04 W	0.00	19 of 21 - Option sale to close-call C 25
	2.000	499.99	10/27/21	702.03	202.04 W	0.00	20 of 21 - Option sale to close-call C 25
	2.000	499.99	10/27/21	702.03	202.04 W	0.00	21 of 21 - Option sale to close-call C 25
10/28/21	30.000	5,924.86	Various	9,867.49	3,942.63 W	0.00	Total of 21 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 10/29/2021 CALL \$147.00 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	449.99	10/29/21	772.05	...	-322.06	1 of 10 - Option sale to close-call <sup>25</sup> C
	2.000	449.99	10/29/21	720.04	...	-270.05	2 of 10 - Option sale to close-call <sup>25</sup> C
	2.000	449.99	10/29/21	720.04	...	-270.05	3 of 10 - Option sale to close-call <sup>25</sup> C
	2.000	449.99	10/29/21	622.05	...	-172.06	4 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	225.00	10/29/21	386.03	...	-161.03	5 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	224.99	10/29/21	360.01	...	-135.02	6 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	225.00	10/29/21	360.01	...	-135.01	7 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	225.00	10/29/21	326.01	...	-101.01	8 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	225.00	10/29/21	311.03	...	-86.03	9 of 10 - Option sale to close-call <sup>25</sup> C
	1.000	225.00	10/29/21	311.01	...	-86.01	10 of 10 - Option sale to close-call <sup>25</sup> C
10/29/21	14.000	3,149.95	Various	4,888.28	...	-1,738.33	Total of 10 transactions
	<b>Security total:</b>	<b>54,063.98</b>		<b>71,084.50</b>	<b>12,863.50 W</b>	<b>-4,157.02</b>	
NVAX 11/05/2021 CALL \$145.00 / CUSIP: / Symbol:							
<i>3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,689.99	10/22/21	1,160.00	...	529.99	1 of 3 - Option sale to close-call <sup>25</sup> C
	20.000	16,899.87	10/22/21	13,500.00	...	3,399.87	2 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	844.99	10/26/21	600.00	...	244.99	3 of 3 - Option sale to close-call <sup>25</sup> C
10/27/21	23.000	19,434.85	Various	15,260.00	...	4,174.85	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVAX 11/05/2021 CALL \$160.00 / CUSIP: / Symbol:							
<i>15 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	539.98	10/28/21	675.00	135.02 W	0.00	1 of 15 - Option sale to close-call C 25
	3.000	1,619.98	10/28/21	2,025.00	405.02 W	0.00	2 of 15 - Option sale to close-call C 25
	4.000	2,119.97	10/28/21	2,700.00	580.03 W	0.00	3 of 15 - Option sale to close-call C 25
	5.000	2,674.98	10/28/21	3,375.00	700.02 W	0.00	4 of 15 - Option sale to close-call C 25
	5.000	2,699.97	10/28/21	3,375.00	675.03 W	0.00	5 of 15 - Option sale to close-call C 25
	6.000	3,209.97	10/28/21	4,050.00	840.03 W	0.00	6 of 15 - Option sale to close-call C 25
	7.000	3,779.97	10/28/21	4,725.00	945.03 W	0.00	7 of 15 - Option sale to close-call C 25
	9.000	4,814.95	10/28/21	6,075.00	1,260.05 W	0.00	8 of 15 - Option sale to close-call C 25
	2.000	1,069.99	10/29/21	1,210.01	140.02 W	0.00	9 of 15 - Option sale to close-call C 25
	3.000	1,604.98	10/29/21	1,800.01	195.03 W	0.00	10 of 15 - Option sale to close-call C 25
	3.000	1,604.99	10/29/21	1,800.02	195.03 W	0.00	11 of 15 - Option sale to close-call C 25
	4.000	2,139.98	10/29/21	2,400.02	260.04 W	0.00	12 of 15 - Option sale to close-call C 25
	4.000	2,139.98	10/29/21	2,420.02	280.04 W	0.00	13 of 15 - Option sale to close-call C 25
	5.000	2,674.97	10/29/21	3,000.03	325.06 W	0.00	14 of 15 - Option sale to close-call C 25
	9.000	4,814.96	10/29/21	5,445.05	630.09 W	0.00	15 of 15 - Option sale to close-call C 25
10/29/21	70.000	37,509.62	Various	45,075.16	7,565.54 W	0.00	Total of 15 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/05/2021 CALL \$160.00 / CUSIP: / Symbol: (cont'd)							
<i>23 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	779.99	10/29/21	695.01	...	84.98	1 of 23 - Option sale to close-call C 25
	1.000	779.99	10/29/21	685.02	...	94.97	2 of 23 - Option sale to close-call C 25
	1.000	844.99	10/29/21	620.01	...	224.98	3 of 23 - Option sale to close-call C 25
	1.000	844.99	10/29/21	620.01	...	224.98	4 of 23 - Option sale to close-call C 25
	1.000	844.98	10/29/21	615.01	...	229.97	5 of 23 - Option sale to close-call C 25
	3.000	2,339.97	10/29/21	2,085.02	...	254.95	6 of 23 - Option sale to close-call C 25
	5.000	3,899.97	10/29/21	3,450.02	...	449.95	7 of 23 - Option sale to close-call C 25
	2.000	1,689.99	10/29/21	1,240.02	...	449.97	8 of 23 - Option sale to close-call C 25
	2.000	1,689.98	10/29/21	1,230.02	...	459.96	9 of 23 - Option sale to close-call C 25
	3.000	2,339.98	10/29/21	1,845.03	...	494.95	10 of 23 - Option sale to close-call C 25
	4.000	3,119.98	10/29/21	2,460.04	...	659.94	11 of 23 - Option sale to close-call C 25
	3.000	2,534.98	10/29/21	1,860.03	...	674.95	12 of 23 - Option sale to close-call C 25
	1.000	1,434.99	10/29/21	615.01	...	819.98	13 of 23 - Option sale to close-call C 25
	1.000	1,779.98	10/29/21	550.00	...	1,229.98	14 of 23 - Option sale to close-call C 25
	1.000	1,779.99	10/29/21	550.00	...	1,229.99	15 of 23 - Option sale to close-call C 25
	2.000	2,869.98	10/29/21	1,230.02	...	1,639.96	16 of 23 - Option sale to close-call C 25
	2.000	2,869.98	10/29/21	1,100.00	...	1,769.98	17 of 23 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/05/2021 CALL \$160.00 / CUSIP: / Symbol: (cont'd)							
	2.000	3,689.98	10/29/21	1,240.02	...	2,449.96	18 of 23 - Option sale to close-call C <sub>25</sub>
	2.000	3,689.97	10/29/21	1,230.03	...	2,459.94	19 of 23 - Option sale to close-call C <sub>25</sub>
	3.000	5,534.96	10/29/21	1,860.03	...	3,674.93	20 of 23 - Option sale to close-call C <sub>25</sub>
	3.000	5,534.97	10/29/21	1,860.03	...	3,674.94	21 of 23 - Option sale to close-call C <sub>25</sub>
	3.000	5,339.96	10/29/21	1,650.00	...	3,689.96	22 of 23 - Option sale to close-call C <sub>25</sub>
	6.000	8,609.94	10/29/21	3,300.00	...	5,309.94	23 of 23 - Option sale to close-call C <sub>25</sub>
11/01/21	53.000	64,844.49	Various	32,590.38	...	32,254.11	Total of 23 transactions
	13 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2,331.97	11/01/21	1,220.00	...	1,111.97	1 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,338.98	11/01/21	1,220.00	...	1,118.98	2 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,338.99	11/01/21	1,220.00	...	1,118.99	3 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,299.97	11/01/21	840.00	...	1,459.97	4 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,338.99	11/01/21	840.00	...	1,498.99	5 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,999.97	11/01/21	1,220.00	...	1,779.97	6 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,999.98	11/01/21	1,220.00	...	1,779.98	7 of 13 - Option sale to close-call C <sub>25</sub>
	1.000	2,999.97	11/01/21	1,185.00	...	1,814.97	8 of 13 - Option sale to close-call C <sub>25</sub>
	2.000	4,677.97	11/01/21	2,440.00	...	2,237.97	9 of 13 - Option sale to close-call C <sub>25</sub>
	3.000	7,016.95	11/01/21	3,660.00	...	3,356.95	10 of 13 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/05/2021 CALL \$160.00 / CUSIP: / Symbol: (cont'd)							
	12.000	27,599.83	11/01/21	10,080.00	...	17,519.83	11 of 13 - Option sale to close-call C <sup>25</sup>
	1.000	2,599.97	11/02/21	2,155.00	...	444.97	12 of 13 - Option sale to close-call C <sup>25</sup>
	9.000	23,399.86	11/02/21	19,395.00	...	4,004.86	13 of 13 - Option sale to close-call C <sup>25</sup>
11/02/21	35.000	85,943.40	Various	46,695.00	...	39,248.40	Total of 13 transactions
	<b>Security total:</b>	<b>188,297.51</b>		<b>124,360.54</b>	<b>7,565.54 W</b>	<b>71,502.51</b>	
NVAX 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
	3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	2.000	1,449.98	11/09/21	1,400.00	...	49.98	1 of 3 - Option sale to close-call C <sup>25</sup>
	3.000	2,159.98	11/09/21	2,100.00	...	59.98	2 of 3 - Option sale to close-call C <sup>25</sup>
	9.000	6,479.95	11/09/21	6,300.00	...	179.95	3 of 3 - Option sale to close-call C <sup>25</sup>
11/10/21	14.000	10,089.91	Various	9,800.00	...	289.91	Total of 3 transactions
	11 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	1.000	279.99	11/09/21	660.00	380.01 W	0.00	1 of 11 - Option sale to close-call C <sup>25</sup>
	1.000	280.00	11/09/21	645.00	365.00 W	0.00	2 of 11 - Option sale to close-call C <sup>25</sup>
	1.000	280.00	11/09/21	650.00	370.00 W	0.00	3 of 11 - Option sale to close-call C <sup>25</sup>
	1.000	280.00	11/09/21	660.00	380.00 W	0.00	4 of 11 - Option sale to close-call C <sup>25</sup>
	1.000	280.00	11/09/21	680.00	400.00 W	0.00	5 of 11 - Option sale to close-call C <sup>25</sup>
	1.000	280.00	11/09/21	690.00	410.00 W	0.00	6 of 11 - Option sale to close-call C <sup>25</sup>
	4.000	1,119.98	11/09/21	2,720.00	1,600.02 W	0.00	7 of 11 - Option sale to close-call C <sup>25</sup>
	6.000	1,679.98	11/09/21	4,200.00	2,520.02 W	0.00	8 of 11 - Option sale to close-call C <sup>25</sup>
	9.000	2,519.97	11/09/21	6,210.00	3,690.03 W	0.00	9 of 11 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/19/2021 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	279.99	11/10/21	320.00	40.01 W	0.00	10 of 11 - Option sale to close-call C <sub>25</sub>
	1.000	280.00	11/10/21	560.00	280.00 W	0.00	11 of 11 - Option sale to close-call C <sub>25</sub>
11/10/21	27.000	7,559.91	Various	17,995.00	10,435.09 W	0.00	Total of 11 transactions
	23 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	4.000	1,879.98	11/17/21	3,640.02	...	-1,760.04	1 of 23 - Option sale to close-call C <sub>25</sub>
	2.000	939.99	11/17/21	1,940.03	...	-1,000.04	2 of 23 - Option sale to close-call C <sub>25</sub>
	2.000	939.99	11/17/21	1,840.01	...	-900.02	3 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.99	11/17/21	970.03	...	-500.04	4 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.99	11/17/21	970.02	...	-500.03	5 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	470.00	11/17/21	970.02	...	-500.02	6 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	470.00	11/17/21	970.02	...	-500.02	7 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.99	11/17/21	960.01	...	-490.02	8 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.99	11/17/21	960.01	...	-490.02	9 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	470.00	11/17/21	960.00	...	-490.00	10 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.98	11/17/21	920.01	...	-450.03	11 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.98	11/17/21	920.01	...	-450.03	12 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.98	11/17/21	920.00	...	-450.02	13 of 23 - Option sale to close-call C <sub>25</sub>
	1.000	469.98	11/17/21	920.00	...	-450.02	14 of 23 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVAX 11/19/2021 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	469.98	11/17/21	920.00	...	-450.02	15 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	910.00	...	-440.00	16 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	890.01	...	-420.01	17 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	890.00	...	-420.00	18 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	880.00	...	-410.00	19 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	469.99	11/17/21	875.00	...	-405.01	20 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	790.00	...	-320.00	21 of 23 - Option sale to close-call C <sup>25</sup>
	4.000	1,879.98	11/17/21	2,040.00	...	-160.02	22 of 23 - Option sale to close-call C <sup>25</sup>
	1.000	469.99	11/17/21	550.01	...	-80.02	23 of 23 - Option sale to close-call C <sup>25</sup>
11/17/21	31.000	14,569.78	Various	26,605.21	...	-12,035.43	Total of 23 transactions
	8 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	1.000	469.98	11/17/21	930.00	460.02 W	0.00	1 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	469.98	11/17/21	930.00	460.02 W	0.00	2 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	469.98	11/17/21	930.00	460.02 W	0.00	3 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	469.98	11/17/21	930.01	460.03 W	0.00	4 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	469.99	11/17/21	920.00	450.01 W	0.00	5 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	469.99	11/17/21	920.00	450.01 W	0.00	6 of 8 - Option sale to close-call C <sup>25</sup>
	1.000	470.00	11/17/21	920.00	450.00 W	0.00	7 of 8 - Option sale to close-call C <sup>25</sup>
	2.000	939.98	11/17/21	1,860.01	920.03 W	0.00	8 of 8 - Option sale to close-call C <sup>25</sup>
11/17/21	9.000	4,229.88	Various	8,340.02	4,110.14 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>36,449.48</b>		<b>62,740.23</b>	<b>14,545.23 W</b>	<b>-11,745.52</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	5.000	4,674.96	11/02/21	3,375.00	...	1,299.96	1 of 5 - Option sale to close-call <sup>25</sup> C
	5.000	4,724.96	11/02/21	3,375.00	...	1,349.96	2 of 5 - Option sale to close-call <sup>25</sup> C
	4.000	4,159.96	11/02/21	2,700.00	...	1,459.96	3 of 5 - Option sale to close-call <sup>25</sup> C
	5.000	5,199.96	11/02/21	3,375.00	...	1,824.96	4 of 5 - Option sale to close-call <sup>25</sup> C
	6.000	6,239.95	11/02/21	4,050.00	...	2,189.95	5 of 5 - Option sale to close-call <sup>25</sup> C
11/02/21	25.000	24,999.79	Various	16,875.00	...	8,124.79	Total of 5 transactions
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	3.000	2,714.97	11/02/21	2,025.00	...	689.97	1 of 4 - Option sale to close-call <sup>25</sup> C
	3.000	2,714.97	11/02/21	2,025.00	...	689.97	2 of 4 - Option sale to close-call <sup>25</sup> C
	2.000	2,593.97	11/02/21	1,350.00	...	1,243.97	3 of 4 - Option sale to close-call <sup>25</sup> C
	3.000	3,890.97	11/02/21	2,025.00	...	1,865.97	4 of 4 - Option sale to close-call <sup>25</sup> C
11/03/21	11.000	11,914.88	Various	7,425.00	...	4,489.88	Total of 4 transactions
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	4.000	2,799.98	11/02/21	2,700.00	...	99.98	1 of 2 - Option sale to close-call <sup>25</sup> C
	10.000	6,999.94	11/02/21	6,750.00	...	249.94	2 of 2 - Option sale to close-call <sup>25</sup> C
11/04/21	14.000	9,799.92	Various	9,450.00	...	349.92	Total of 2 transactions
4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	699.99	11/03/21	735.00	35.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup> C
	1.000	699.99	11/03/21	1,025.00	325.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup> C
	2.000	1,399.99	11/03/21	1,470.00	70.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup> C
	2.000	1,399.99	11/03/21	1,470.00	70.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup> C
11/04/21	6.000	4,199.96	Various	4,700.00	500.04 W	0.00	Total of 4 transactions
9 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	609.99	11/03/21	1,540.01	...	-930.02	1 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	305.00	11/03/21	770.01	...	-465.01	2 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	305.00	11/03/21	770.01	...	-465.01	3 of 9 - Option sale to close-call <sup>25</sup> C
	24.000	7,319.91	11/04/21	14,400.00	...	-7,080.09	4 of 9 - Option sale to close-call <sup>25</sup> C
	19.000	5,794.93	11/04/21	12,065.00	...	-6,270.07	5 of 9 - Option sale to close-call <sup>25</sup> C
	16.000	4,879.94	11/04/21	10,880.00	...	-6,000.06	6 of 9 - Option sale to close-call <sup>25</sup> C
	9.000	2,744.96	11/04/21	6,120.00	...	-3,375.04	7 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	304.98	11/04/21	1,390.01	...	-1,085.03	8 of 9 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 11/19/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	305.00	11/04/21	635.00	...	-330.00	9 of 9 - Option sale to close-call <sup>25</sup> C
11/05/21	74.000	22,569.71	Various	48,570.04	...	-26,000.33	Total of 9 transactions
11/05/21	1.000	305.00	11/03/21	1,060.01	755.01 W	0.00	Option sale to close-call <sup>25</sup> C
	<b>Security total:</b>	<b>73,789.26</b>		<b>88,080.05</b>	<b>1,255.05 W</b>	<b>-13,035.74</b>	
NVAX 11/26/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	7.000	9,099.94	10/27/21	10,290.00	...	-1,190.06	1 of 2 - Option sale to close-call <sup>25</sup> C
	3.000	3,899.97	10/27/21	4,410.00	...	-510.03	2 of 2 - Option sale to close-call <sup>25</sup> C
10/27/21	10.000	12,999.91	Various	14,700.00	...	-1,700.09	Total of 2 transactions
NVAX 11/26/2021 CALL \$180.00 / CUSIP: / Symbol:							
6 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	799.98	11/16/21	505.00	...	294.98	1 of 6 - Option sale to close-call <sup>25</sup> C
	2.000	1,599.98	11/16/21	1,010.00	...	589.98	2 of 6 - Option sale to close-call <sup>25</sup> C
	6.000	4,799.96	11/16/21	3,030.00	...	1,769.96	3 of 6 - Option sale to close-call <sup>25</sup> C
	6.000	4,799.96	11/16/21	3,030.00	...	1,769.96	4 of 6 - Option sale to close-call <sup>25</sup> C
	7.000	5,599.96	11/16/21	3,535.00	...	2,064.96	5 of 6 - Option sale to close-call <sup>25</sup> C
	18.000	14,399.88	11/16/21	9,090.00	...	5,309.88	6 of 6 - Option sale to close-call <sup>25</sup> C
11/17/21	40.000	31,999.72	Various	20,200.00	...	11,799.72	Total of 6 transactions
NVAX 12/10/2021 CALL \$160.00 / CUSIP: / Symbol:							
4 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1,999.98	12/07/21	1,250.00	...	749.98	1 of 4 - Option sale to close-call <sup>25</sup> C
	1.000	1,999.98	12/07/21	1,249.00	...	750.98	2 of 4 - Option sale to close-call <sup>25</sup> C
	2.000	3,999.97	12/07/21	2,500.00	...	1,499.97	3 of 4 - Option sale to close-call <sup>25</sup> C
	6.000	11,999.92	12/07/21	7,494.00	...	4,505.92	4 of 4 - Option sale to close-call <sup>25</sup> C
12/08/21	10.000	19,999.85	Various	12,493.00	...	7,506.85	Total of 4 transactions
NVAX 12/17/2021 CALL \$175.00 / CUSIP: / Symbol:							
12/14/21	6.000	4,079.96	12/13/21	6,540.00	2,460.04 W	0.00	Option sale to close-call <sup>25</sup> C
3 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	2.000	2,549.97	12/15/21	2,680.01	...	-130.04	1 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	1,249.98	12/15/21	1,340.01	...	-90.03	2 of 3 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 12/17/2021 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
	3.000	3,824.97	12/15/21	3,420.02	...	404.95	3 of 3 - Option sale to close-call <sup>25</sup> C
12/16/21	6.000	7,624.92	Various	7,440.04	...	184.88	Total of 3 transactions
	<b>Security total:</b>	<b>11,704.88</b>		<b>13,980.04</b>	<b>2,460.04 W</b>	<b>184.88</b>	
NVAX 12/23/2021 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	1.000	429.99	12/21/21	2,490.00	...	-2,060.01	1 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	429.99	12/21/21	2,300.00	...	-1,870.01	2 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	429.99	12/21/21	1,460.00	...	-1,030.01	3 of 3 - Option sale to close-call <sup>25</sup> C
12/22/21	3.000	1,289.97	Various	6,250.00	...	-4,960.03	Total of 3 transactions
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	7.000	3,009.97	12/21/21	10,220.00	1,030.00 W	-6,180.03	1 of 2 - Option sale to close-call <sup>25</sup> C
	1.000	430.00	12/21/21	1,460.00	1,030.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup> C
12/22/21	8.000	3,439.97	Various	11,680.00	2,060.00 W	-6,180.03	Total of 2 transactions
	<b>Security total:</b>	<b>4,729.94</b>		<b>17,930.00</b>	<b>2,060.00 W</b>	<b>-11,140.06</b>	
NVAX 12/23/2021 CALL \$240.00 / CUSIP: / Symbol:							
12/22/21	1.000	15.98	12/20/21	620.00	...	-604.02	Option sale to close-call <sup>25</sup> C
NVAX 12/31/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	4.000	31.98	12/28/21	3,080.00	...	-3,048.02	1 of 2 - Option sale to close-call <sup>25</sup> C
	1.000	8.00	12/29/21	918.02	...	-910.02	2 of 2 - Option sale to close-call <sup>25</sup> C
12/31/21	5.000	39.98	Various	3,998.02	...	-3,958.04	Total of 2 transactions
12/31/21	1.000	6.98	12/28/21	770.00	763.02 W	0.00	Option sale to close-call <sup>25</sup> C
	<b>Security total:</b>	<b>46.96</b>		<b>4,768.02</b>	<b>763.02 W</b>	<b>-3,958.04</b>	
NVDA 05/21/2021 CALL \$607.50 / CUSIP: / Symbol:							
17 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	3.000	263.99	05/21/21	1,572.02	...	-1,308.03	1 of 17 - Option sale to close-call <sup>25</sup> C
	3.000	263.99	05/21/21	1,395.02	...	-1,131.03	2 of 17 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 05/21/2021 CALL \$607.50 / CUSIP: / Symbol: (cont'd)							
	3.000	263.99	05/21/21	1,311.02	...	-1,047.03	3 of 17 - Option sale to close-call C 25
	13.000	1,143.96	05/21/21	2,093.00	...	-949.04	4 of 17 - Option sale to close-call C 25
	2.000	175.99	05/21/21	1,020.02	...	-844.03	5 of 17 - Option sale to close-call C 25
	2.000	176.00	05/21/21	930.00	...	-754.00	6 of 17 - Option sale to close-call C 25
	2.000	176.00	05/21/21	794.01	...	-618.01	7 of 17 - Option sale to close-call C 25
	2.000	176.00	05/21/21	732.01	...	-556.01	8 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	406.00	...	-318.00	9 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	397.01	...	-309.01	10 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	397.01	...	-309.01	11 of 17 - Option sale to close-call C 25
	3.000	263.99	05/21/21	432.00	...	-168.01	12 of 17 - Option sale to close-call C 25
	2.000	175.99	05/21/21	288.00	...	-112.01	13 of 17 - Option sale to close-call C 25
	2.000	175.99	05/21/21	288.00	...	-112.01	14 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	144.00	...	-56.00	15 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	144.00	...	-56.00	16 of 17 - Option sale to close-call C 25
	1.000	88.00	05/21/21	144.00	...	-56.00	17 of 17 - Option sale to close-call C 25
05/21/21	43.000	3,783.89	Various	12,487.12	...	-8,703.23	Total of 17 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 05/21/2021 CALL \$607.50 / CUSIP: / Symbol: (cont'd)							
<i>13 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	120.00	05/21/21	319.00	199.00 W	0.00	1 of 13 - Option sale to close-call C 25
	1.000	120.00	05/21/21	365.00	245.00 W	0.00	2 of 13 - Option sale to close-call C 25
	2.000	239.99	05/21/21	620.00	380.01 W	0.00	3 of 13 - Option sale to close-call C 25
	2.000	239.99	05/21/21	620.00	380.01 W	0.00	4 of 13 - Option sale to close-call C 25
	2.000	239.99	05/21/21	650.00	410.01 W	0.00	5 of 13 - Option sale to close-call C 25
	2.000	239.99	05/21/21	712.01	472.02 W	0.00	6 of 13 - Option sale to close-call C 25
	2.000	239.99	05/21/21	928.01	688.02 W	0.00	7 of 13 - Option sale to close-call C 25
	2.000	240.00	05/21/21	712.01	472.01 W	0.00	8 of 13 - Option sale to close-call C 25
	2.000	240.00	05/21/21	838.00	598.00 W	0.00	9 of 13 - Option sale to close-call C 25
	3.000	359.99	05/21/21	1,173.01	813.02 W	0.00	10 of 13 - Option sale to close-call C 25
	3.000	359.99	05/21/21	1,257.01	897.02 W	0.00	11 of 13 - Option sale to close-call C 25
	3.000	359.99	05/21/21	1,434.01	1,074.02 W	0.00	12 of 13 - Option sale to close-call C 25
	15.000	1,799.96	05/21/21	4,185.00	2,385.04 W	0.00	13 of 13 - Option sale to close-call C 25
05/21/21	40.000	4,799.88	Various	13,813.06	9,013.18 W	0.00	Total of 13 transactions
	<b>Security total:</b>	<b>8,583.77</b>		<b>26,300.18</b>	<b>9,013.18 W</b>	<b>-8,703.23</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 05/21/2021 PUT \$600.00 / CUSIP: / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	20.000	1,799.95	05/21/21	1,800.00	...	-0.05	1 of 3 - Option sale to close-put <sup>25</sup> C
	6.000	551.98	05/21/21	540.00	...	11.98	2 of 3 - Option sale to close-put <sup>25</sup> C
	19.000	1,747.95	05/21/21	1,710.00	...	37.95	3 of 3 - Option sale to close-put <sup>25</sup> C
05/21/21	45.000	4,099.88	Various	4,050.00	...	49.88	Total of 3 transactions
NVDA 10/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	12.000	4,079.95	10/29/21	3,960.00	...	119.95	1 of 3 - Option sale to close-call <sup>25</sup> C
	13.000	4,419.94	10/29/21	4,290.00	...	129.94	2 of 3 - Option sale to close-call <sup>25</sup> C
	25.000	10,049.89	10/29/21	6,175.00	...	3,874.89	3 of 3 - Option sale to close-call <sup>25</sup> C
10/29/21	50.000	18,549.78	Various	14,425.00	...	4,124.78	Total of 3 transactions
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol:							
52 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	31.99	11/12/21	946.05	...	-914.06	1 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	658.05	...	-642.05	2 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	658.01	...	-642.01	3 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	522.03	...	-506.03	4 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	518.03	...	-502.03	5 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	516.04	...	-500.04	6 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	516.03	...	-500.03	7 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	516.03	...	-500.03	8 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	516.03	...	-500.03	9 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	516.02	...	-500.02	10 of 52 - Option sale to close-put <sup>25</sup> C
	3.000	47.98	11/12/21	531.04	...	-483.06	11 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	473.02	...	-457.02	12 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	473.01	...	-457.01	13 of 52 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	473.00	...	-457.00	14 of 52 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	15.99	11/12/21	470.05	...	-454.06	15 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	470.05	...	-454.06	16 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	470.03	...	-454.04	17 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	470.02	...	-454.03	18 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	470.02	...	-454.03	19 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.03	...	-454.03	20 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.03	...	-454.03	21 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.02	...	-454.02	22 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.02	...	-454.02	23 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.02	...	-454.02	24 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	470.02	...	-454.02	25 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	456.03	...	-440.05	26 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	456.01	...	-440.01	27 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	449.01	...	-433.01	28 of 52 - Option sale to close-put C <sub>25</sub>
	2.000	31.99	11/12/21	382.03	...	-350.04	29 of 52 - Option sale to close-put C <sub>25</sub>
	21.000	335.94	11/12/21	672.00	...	-336.06	30 of 52 - Option sale to close-put C <sub>25</sub>
	19.000	303.95	11/12/21	608.00	...	-304.05	31 of 52 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	191.02	...	-175.02	32 of 52 - Option sale to close-put C <sub>25</sub>
	10.000	159.97	11/12/21	320.00	...	-160.03	33 of 52 - Option sale to close-put C <sub>25</sub>
	8.000	127.98	11/12/21	256.00	...	-128.02	34 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.03	...	-56.03	35 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.02	...	-56.02	36 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.01	...	-56.01	37 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.01	...	-56.01	38 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.01	...	-56.01	39 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	16.00	11/12/21	72.00	...	-56.00	40 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	233.98	11/12/21	220.00	...	13.98	41 of 52 - Option sale to close-put C <sub>25</sub>
	2.000	467.99	11/12/21	440.00	...	27.99	42 of 52 - Option sale to close-put C <sub>25</sub>
	3.000	701.99	11/12/21	660.00	...	41.99	43 of 52 - Option sale to close-put C <sub>25</sub>
	4.000	935.99	11/12/21	880.00	...	55.99	44 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	247.98	11/12/21	187.00	...	60.98	45 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	247.98	11/12/21	187.00	...	60.98	46 of 52 - Option sale to close-put C <sub>25</sub>
	1.000	247.98	11/12/21	187.00	...	60.98	47 of 52 - Option sale to close-put C <sub>25</sub>
	5.000	1,169.98	11/12/21	1,075.00	...	94.98	48 of 52 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)	5.000	1,169.98	11/12/21	1,075.00	...	94.98	49 of 52 - Option sale to close-put C <sub>25</sub>
	3.000	800.98	11/12/21	675.00	...	125.98	50 of 52 - Option sale to close-put C <sub>25</sub>
	7.000	1,868.98	11/12/21	1,575.00	...	293.98	51 of 52 - Option sale to close-put C <sub>25</sub>
	7.000	1,742.98	11/12/21	1,302.00	...	440.98	52 of 52 - Option sale to close-put C <sub>25</sub>
11/12/21	138.000	11,404.52	Various	25,687.88	...	-14,283.36	Total of 52 transactions
	165 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	3.000	47.99	11/12/21	531.04	322.03 W	-161.02	1 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	58.01	42.03 W	0.00	2 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	126.00	110.02 W	0.00	3 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	295.01	279.03 W	0.00	4 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	362.01	346.03 W	0.00	5 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.98	11/12/21	460.02	444.04 W	0.00	6 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	58.01	42.02 W	0.00	7 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	126.00	110.01 W	0.00	8 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	177.01	161.02 W	0.00	9 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	225.00	209.01 W	0.00	10 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	225.01	209.02 W	0.00	11 of 165 - Option sale to close-put C <sub>25</sub>
	1.000	15.99	11/12/21	236.02	220.03 W	0.00	12 of 165 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	15.99	11/12/21	295.00	279.01 W	0.00	13 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	14 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	15 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	16 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	17 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	18 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	295.01	279.02 W	0.00	19 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	362.01	346.02 W	0.00	20 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	362.02	346.03 W	0.00	21 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	388.01	372.02 W	0.00	22 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	388.01	372.02 W	0.00	23 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	388.03	372.04 W	0.00	24 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	388.03	372.04 W	0.00	25 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	391.01	375.02 W	0.00	26 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	434.03	418.04 W	0.00	27 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	440.02	424.03 W	0.00	28 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	440.04	424.05 W	0.00	29 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	440.04	424.05 W	0.00	30 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	15.99	11/12/21	456.02	440.03 W	0.00	31 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	456.02	440.03 W	0.00	32 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	461.01	445.02 W	0.00	33 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	487.02	471.03 W	0.00	34 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	502.02	486.03 W	0.00	35 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	15.99	11/12/21	642.04	626.05 W	0.00	36 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	161.01	145.01 W	0.00	37 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	225.00	209.00 W	0.00	38 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	225.01	209.01 W	0.00	39 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	225.01	209.01 W	0.00	40 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	236.01	220.01 W	0.00	41 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	295.00	279.00 W	0.00	42 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	295.01	279.01 W	0.00	43 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	295.01	279.01 W	0.00	44 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	295.01	279.01 W	0.00	45 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	295.02	279.02 W	0.00	46 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	298.01	282.01 W	0.00	47 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	335.01	319.01 W	0.00	48 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	335.03	319.03 W	0.00	49 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.01	346.01 W	0.00	50 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.01	346.01 W	0.00	51 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.01	346.01 W	0.00	52 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.01	346.01 W	0.00	53 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	54 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	55 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	56 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	57 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	58 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.02	346.02 W	0.00	59 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	362.03	346.03 W	0.00	60 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	365.00	349.00 W	0.00	61 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	365.01	349.01 W	0.00	62 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	368.01	352.01 W	0.00	63 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	388.01	372.01 W	0.00	64 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	388.01	372.01 W	0.00	65 of 165 - Option sale to close-put <sup>25</sup>
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	66 of 165 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	67 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	68 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	69 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	70 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	71 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.02	372.02 W	0.00	72 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	388.03	372.03 W	0.00	73 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	391.00	375.00 W	0.00	74 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	391.01	375.01 W	0.00	75 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.01	398.01 W	0.00	76 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.01	398.01 W	0.00	77 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	78 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	79 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	80 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	81 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	82 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	83 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	84 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	85 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.02	398.02 W	0.00	86 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.03	398.03 W	0.00	87 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.04	398.04 W	0.00	88 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	414.04	398.04 W	0.00	89 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	417.00	401.00 W	0.00	90 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	417.01	401.01 W	0.00	91 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	417.02	401.02 W	0.00	92 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	433.01	417.01 W	0.00	93 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	434.01	418.01 W	0.00	94 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	435.01	419.01 W	0.00	95 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.01	424.01 W	0.00	96 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	97 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	98 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	99 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	100 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	101 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	102 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	103 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.02	424.02 W	0.00	104 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	440.03	424.03 W	0.00	105 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	443.00	427.00 W	0.00	106 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	443.01	427.01 W	0.00	107 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	443.02	427.02 W	0.00	108 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	109 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	110 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	111 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	112 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	113 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.02	440.02 W	0.00	114 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.03	440.03 W	0.00	115 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.05	440.05 W	0.00	116 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	456.05	440.05 W	0.00	117 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	459.00	443.00 W	0.00	118 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	459.01	443.01 W	0.00	119 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	459.02	443.02 W	0.00	120 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	11/12/21	486.04	470.04 W	0.00	121 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	502.03	486.03 W	0.00	122 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	502.04	486.04 W	0.00	123 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	504.01	488.01 W	0.00	124 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	504.04	488.04 W	0.00	125 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	506.03	490.03 W	0.00	126 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	571.01	555.01 W	0.00	127 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	571.04	555.04 W	0.00	128 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	597.01	581.01 W	0.00	129 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	597.04	581.04 W	0.00	130 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	623.01	607.01 W	0.00	131 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	623.04	607.04 W	0.00	132 of 165 - Option sale to close-put <sup>25</sup> C
	1.000	16.00	11/12/21	642.01	626.01 W	0.00	133 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.98	11/12/21	252.00	220.02 W	0.00	134 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	450.00	418.01 W	0.00	135 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	450.01	418.02 W	0.00	136 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	450.01	418.02 W	0.00	137 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	730.02	698.03 W	0.00	138 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	2.000	31.99	11/12/21	782.03	750.04 W	0.00	139 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	886.04	854.05 W	0.00	140 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	920.03	888.04 W	0.00	141 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	31.99	11/12/21	972.04	940.05 W	0.00	142 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	32.00	11/12/21	590.02	558.02 W	0.00	143 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	32.00	11/12/21	834.04	802.04 W	0.00	144 of 165 - Option sale to close-put <sup>25</sup> C
	2.000	32.00	11/12/21	918.05	886.05 W	0.00	145 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.98	11/12/21	378.00	330.02 W	0.00	146 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.98	11/12/21	675.01	627.03 W	0.00	147 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.99	11/12/21	675.01	627.02 W	0.00	148 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.99	11/12/21	885.02	837.03 W	0.00	149 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.99	11/12/21	1,380.05	1,332.06 W	0.00	150 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.99	11/12/21	1,458.06	1,410.07 W	0.00	151 of 165 - Option sale to close-put <sup>25</sup> C
	3.000	47.99	11/12/21	1,506.07	1,458.08 W	0.00	152 of 165 - Option sale to close-put <sup>25</sup> C
	4.000	63.99	11/12/21	232.02	168.03 W	0.00	153 of 165 - Option sale to close-put <sup>25</sup> C
	6.000	95.97	11/12/21	252.00	156.03 W	0.00	154 of 165 - Option sale to close-put <sup>25</sup> C
	6.000	95.97	11/12/21	2,604.07	2,508.10 W	0.00	155 of 165 - Option sale to close-put <sup>25</sup> C
	6.000	95.98	11/12/21	756.00	660.02 W	0.00	156 of 165 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$297.50 / CUSIP: / Symbol: (cont'd)							
	6.000	95.98	11/12/21	966.07	870.09 W	0.00	157 of 165 - Option sale to close-put <sup>25</sup> C
	6.000	95.99	11/12/21	756.00	660.01 W	0.00	158 of 165 - Option sale to close-put <sup>25</sup> C
	7.000	111.97	11/12/21	581.00	469.03 W	0.00	159 of 165 - Option sale to close-put <sup>25</sup> C
	7.000	111.97	11/12/21	945.05	833.08 W	0.00	160 of 165 - Option sale to close-put <sup>25</sup> C
	7.000	111.98	11/12/21	763.03	651.05 W	0.00	161 of 165 - Option sale to close-put <sup>25</sup> C
	7.000	111.98	11/12/21	2,079.04	1,967.06 W	0.00	162 of 165 - Option sale to close-put <sup>25</sup> C
	7.000	111.98	11/12/21	2,569.06	2,457.08 W	0.00	163 of 165 - Option sale to close-put <sup>25</sup> C
	9.000	143.97	11/12/21	1,134.00	990.03 W	0.00	164 of 165 - Option sale to close-put <sup>25</sup> C
	9.000	143.97	11/12/21	2,025.03	1,881.06 W	0.00	165 of 165 - Option sale to close-put <sup>25</sup> C
11/12/21	267.000	4,271.10	Various	83,269.24	78,837.12 W	-161.02	Total of 165 transactions
	<b>Security total:</b>	<b>15,675.62</b>		<b>108,957.12</b>	<b>78,837.12 W</b>	<b>-14,444.38</b>	

NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol:

68 transactions for 11/12/21. Total proceeds and cost reported to the IRS.

3.000	143.99	11/12/21	255.10	...	-111.11	1 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	95.04	...	-47.04	2 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	95.04	...	-47.04	3 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	95.02	...	-47.02	4 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	95.02	...	-47.02	5 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	95.02	...	-47.02	6 of 68 - Option sale to close-put <sup>25</sup> C
3.000	143.99	11/12/21	183.08	...	-39.09	7 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	85.01	...	-37.01	8 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	85.00	...	-37.00	9 of 68 - Option sale to close-put <sup>25</sup> C
1.000	48.00	11/12/21	84.01	...	-36.01	10 of 68 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	47.99	11/12/21	68.04	...	-20.05	11 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	143.99	11/12/21	159.08	...	-15.09	12 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.04	...	-13.04	13 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.04	...	-13.04	14 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.03	...	-13.03	15 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.03	...	-13.03	16 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.03	...	-13.03	17 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.03	...	-13.03	18 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	61.01	...	-13.01	19 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	95.99	11/12/21	106.05	...	-10.06	20 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	53.02	...	-5.02	21 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	53.01	...	-5.01	22 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	51.05	...	-3.05	23 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	51.03	...	-3.03	24 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	51.02	...	-3.02	25 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	51.01	...	-3.01	26 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	48.04	...	-0.04	27 of 68 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.98	11/12/21	35.01	...	0.97	28 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	71.99	11/12/21	70.01	...	1.98	29 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	107.98	11/12/21	105.01	...	2.97	30 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	47.99	11/12/21	44.03	...	3.96	31 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	44.04	...	3.96	32 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	36.00	11/12/21	31.00	...	5.00	33 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	44.00	11/12/21	34.00	...	10.00	34 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	44.00	11/12/21	34.00	...	10.00	35 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	46.00	11/12/21	35.02	...	10.98	36 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	46.00	11/12/21	35.02	...	10.98	37 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	46.00	11/12/21	35.02	...	10.98	38 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	46.00	11/12/21	35.01	...	10.99	39 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	46.00	11/12/21	35.00	...	11.00	40 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	33.02	...	14.98	41 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	107.99	11/12/21	93.01	...	14.98	42 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	48.00	11/12/21	33.01	...	14.99	43 of 68 - Option sale to close-put C <sub>25</sub>
	1.000	44.00	11/12/21	28.01	...	15.99	44 of 68 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	2.000	92.00	11/12/21	70.03	...	21.97	45 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	87.99	11/12/21	64.02	...	23.97	46 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	131.99	11/12/21	102.05	...	29.94	47 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	95.99	11/12/21	66.04	...	29.95	48 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	95.99	11/12/21	66.04	...	29.95	49 of 68 - Option sale to close-put C <sub>25</sub>
	2.000	87.99	11/12/21	56.02	...	31.97	50 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	137.99	11/12/21	105.01	...	32.98	51 of 68 - Option sale to close-put C <sub>25</sub>
	4.000	183.99	11/12/21	140.02	...	43.97	52 of 68 - Option sale to close-put C <sub>25</sub>
	4.000	183.99	11/12/21	140.02	...	43.97	53 of 68 - Option sale to close-put C <sub>25</sub>
	4.000	183.99	11/12/21	140.02	...	43.97	54 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	143.99	11/12/21	99.06	...	44.93	55 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	143.99	11/12/21	99.06	...	44.93	56 of 68 - Option sale to close-put C <sub>25</sub>
	3.000	131.99	11/12/21	84.06	...	47.93	57 of 68 - Option sale to close-put C <sub>25</sub>
	5.000	219.99	11/12/21	170.06	...	49.93	58 of 68 - Option sale to close-put C <sub>25</sub>
	5.000	229.99	11/12/21	175.04	...	54.95	59 of 68 - Option sale to close-put C <sub>25</sub>
	4.000	175.99	11/12/21	112.05	...	63.94	60 of 68 - Option sale to close-put C <sub>25</sub>
	15.000	539.97	11/12/21	465.03	...	74.94	61 of 68 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	5.000	219.99	11/12/21	140.07	...	79.92	62 of 68 - Option sale to close-put C <sub>25</sub>
	5.000	219.99	11/12/21	140.06	...	79.93	63 of 68 - Option sale to close-put C <sub>25</sub>
	8.000	367.98	11/12/21	280.04	...	87.94	64 of 68 - Option sale to close-put C <sub>25</sub>
	8.000	367.98	11/12/21	280.04	...	87.94	65 of 68 - Option sale to close-put C <sub>25</sub>
	9.000	413.98	11/12/21	315.04	...	98.94	66 of 68 - Option sale to close-put C <sub>25</sub>
	4.000	191.98	11/12/21	84.00	...	107.98	67 of 68 - Option sale to close-put C <sub>25</sub>
	12.000	551.97	11/12/21	420.05	...	131.92	68 of 68 - Option sale to close-put C <sub>25</sub>
11/12/21	173.000	7,747.57	Various	6,858.05	...	889.52	Total of 68 transactions
	210 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	27.00	11/12/21	65.00	38.00 W	0.00	1 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.00	11/12/21	65.00	38.00 W	0.00	2 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.00	11/12/21	89.01	62.01 W	0.00	3 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.00	11/12/21	113.00	86.00 W	0.00	4 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.00	11/12/21	113.00	86.00 W	0.00	5 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.99	11/12/21	76.00	48.01 W	0.00	6 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.99	11/12/21	113.00	85.01 W	0.00	7 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.99	11/12/21	124.01	96.02 W	0.00	8 of 210 - Option sale to close-put C <sub>25</sub>
	1.000	27.99	11/12/21	124.02	96.03 W	0.00	9 of 210 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	28.00	11/12/21	52.00	24.00 W	0.00	10 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	52.00	24.00 W	0.00	11 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	52.00	24.00 W	0.00	12 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	52.00	24.00 W	0.00	13 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	76.00	48.00 W	0.00	14 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	76.00	48.00 W	0.00	15 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	100.00	72.00 W	0.00	16 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	100.00	72.00 W	0.00	17 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	100.01	72.01 W	0.00	18 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	100.01	72.01 W	0.00	19 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	124.01	96.01 W	0.00	20 of 210 - Option sale to close-put <sup>25</sup>
	1.000	28.00	11/12/21	124.01	96.01 W	0.00	21 of 210 - Option sale to close-put <sup>25</sup>
	1.000	32.98	11/12/21	137.02	104.04 W	0.00	22 of 210 - Option sale to close-put <sup>25</sup>
	1.000	32.99	11/12/21	127.00	94.01 W	0.00	23 of 210 - Option sale to close-put <sup>25</sup>
	1.000	32.99	11/12/21	137.01	104.02 W	0.00	24 of 210 - Option sale to close-put <sup>25</sup>
	1.000	32.99	11/12/21	137.01	104.02 W	0.00	25 of 210 - Option sale to close-put <sup>25</sup>
	1.000	32.99	11/12/21	137.03	104.04 W	0.00	26 of 210 - Option sale to close-put <sup>25</sup>
	1.000	33.00	11/12/21	78.00	45.00 W	0.00	27 of 210 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)								
	1.000	33.00	11/12/21	78.00	45.00 W	0.00	28 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	33.00	11/12/21	102.01	69.01 W	0.00	29 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	33.00	11/12/21	113.01	80.01 W	0.00	30 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	33.00	11/12/21	120.01	87.01 W	0.00	31 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	33.00	11/12/21	126.01	93.01 W	0.00	32 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	33.00	11/12/21	127.00	94.00 W	0.00	33 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	35.98	11/12/21	48.00	12.02 W	0.00	34 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	35.98	11/12/21	48.00	12.02 W	0.00	35 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	35.98	11/12/21	48.00	12.02 W	0.00	36 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	35.98	11/12/21	110.01	74.03 W	0.00	37 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	35.98	11/12/21	120.01	84.03 W	0.00	38 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	48.00	12.00 W	0.00	39 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	68.00	32.00 W	0.00	40 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	68.00	32.00 W	0.00	41 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	92.01	56.01 W	0.00	42 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	97.01	61.01 W	0.00	43 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	97.02	61.02 W	0.00	44 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	36.00	11/12/21	97.03	61.03 W	0.00	45 of 210 - Option sale to close-put <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	11/12/21	107.03	71.03 W	0.00	46 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	110.01	74.01 W	0.00	47 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	120.01	84.01 W	0.00	48 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	121.00	85.00 W	0.00	49 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	121.01	85.01 W	0.00	50 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.02	95.02 W	0.00	51 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.02	95.02 W	0.00	52 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.02	95.02 W	0.00	53 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.03	95.03 W	0.00	54 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.04	95.04 W	0.00	55 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	131.04	95.04 W	0.00	56 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	133.01	97.01 W	0.00	57 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	134.00	98.00 W	0.00	58 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	134.01	98.01 W	0.00	59 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	144.02	108.02 W	0.00	60 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	144.02	108.02 W	0.00	61 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	144.04	108.04 W	0.00	62 of 210 - Option sale to close-put <sup>25</sup> C
	1.000	36.00	11/12/21	144.04	108.04 W	0.00	63 of 210 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)								
	1.000	43.98	11/12/21	84.01	40.03 W	0.00	64 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.98	11/12/21	84.01	40.03 W	0.00	65 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.98	11/12/21	84.02	40.04 W	0.00	66 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.98	11/12/21	97.03	53.05 W	0.00	67 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.99	11/12/21	56.01	12.02 W	0.00	68 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.99	11/12/21	71.03	27.04 W	0.00	69 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.99	11/12/21	74.02	30.03 W	0.00	70 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.99	11/12/21	84.02	40.03 W	0.00	71 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	43.99	11/12/21	84.03	40.04 W	0.00	72 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	49.01	5.01 W	0.00	73 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	55.00	11.00 W	0.00	74 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	55.00	11.00 W	0.00	75 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	56.01	12.01 W	0.00	76 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	74.01	30.01 W	0.00	77 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	74.02	30.02 W	0.00	78 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	74.05	30.05 W	0.00	79 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	76.01	32.01 W	0.00	80 of 210 - Option sale to close-put <sup>25</sup>	C
	1.000	44.00	11/12/21	76.02	32.02 W	0.00	81 of 210 - Option sale to close-put <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.00	11/12/21	84.01	40.01 W	0.00	82 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	84.03	40.03 W	0.00	83 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	94.03	50.03 W	0.00	84 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	97.01	53.01 W	0.00	85 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	97.02	53.02 W	0.00	86 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	97.02	53.02 W	0.00	87 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	107.01	63.01 W	0.00	88 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	108.00	64.00 W	0.00	89 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	108.01	64.01 W	0.00	90 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.02	74.02 W	0.00	91 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.02	74.02 W	0.00	92 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.02	74.02 W	0.00	93 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.03	74.03 W	0.00	94 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.04	74.04 W	0.00	95 of 210 - Option sale to close-put <sup>25</sup>
	1.000	44.00	11/12/21	118.04	74.04 W	0.00	96 of 210 - Option sale to close-put <sup>25</sup>
	1.000	46.00	11/12/21	79.01	33.01 W	0.00	97 of 210 - Option sale to close-put <sup>25</sup>
	1.000	47.99	11/12/21	71.03	23.04 W	0.00	98 of 210 - Option sale to close-put <sup>25</sup>
	1.000	47.99	11/12/21	95.03	47.04 W	0.00	99 of 210 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	48.00	11/12/21	71.03	23.03 W	0.00	100 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	53.99	11/12/21	130.01	76.02 W	0.00	101 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	53.99	11/12/21	178.01	124.02 W	0.00	102 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.98	11/12/21	152.00	96.02 W	0.00	103 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	104.00	48.01 W	0.00	104 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	104.00	48.01 W	0.00	105 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	152.00	96.01 W	0.00	106 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	152.01	96.02 W	0.00	107 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	200.02	144.03 W	0.00	108 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	55.99	11/12/21	248.02	192.03 W	0.00	109 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	56.00	11/12/21	104.00	48.00 W	0.00	110 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	56.00	11/12/21	104.00	48.00 W	0.00	111 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	56.00	11/12/21	200.01	144.01 W	0.00	112 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	56.00	11/12/21	200.02	144.02 W	0.00	113 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	65.98	11/12/21	274.03	208.05 W	0.00	114 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	65.99	11/12/21	226.02	160.03 W	0.00	115 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	65.99	11/12/21	240.03	174.04 W	0.00	116 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	66.00	11/12/21	144.01	78.01 W	0.00	117 of 210 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	2.000	66.00	11/12/21	156.02	90.02 W	0.00	118 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	66.00	11/12/21	206.02	140.02 W	0.00	119 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	66.00	11/12/21	240.02	174.02 W	0.00	120 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.98	11/12/21	96.01	24.03 W	0.00	121 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.98	11/12/21	220.02	148.04 W	0.00	122 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.99	11/12/21	194.01	122.02 W	0.00	123 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.99	11/12/21	194.04	122.05 W	0.00	124 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.99	11/12/21	214.04	142.05 W	0.00	125 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.99	11/12/21	220.02	148.03 W	0.00	126 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	71.99	11/12/21	240.03	168.04 W	0.00	127 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	124.01	52.01 W	0.00	128 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	136.02	64.02 W	0.00	129 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	220.01	148.01 W	0.00	130 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	220.02	148.02 W	0.00	131 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	220.04	148.04 W	0.00	132 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	72.00	11/12/21	288.05	216.05 W	0.00	133 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	80.99	11/12/21	267.02	186.03 W	0.00	134 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	80.99	11/12/21	339.03	258.04 W	0.00	135 of 210 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	3.000	83.98	11/12/21	300.01	216.03 W	0.00	136 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	83.99	11/12/21	156.00	72.01 W	0.00	137 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	83.99	11/12/21	228.01	144.02 W	0.00	138 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	83.99	11/12/21	300.02	216.03 W	0.00	139 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	98.01	10.02 W	0.00	140 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	112.03	24.04 W	0.00	141 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	112.03	24.04 W	0.00	142 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	188.05	100.06 W	0.00	143 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	194.02	106.03 W	0.00	144 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	87.99	11/12/21	194.04	106.05 W	0.00	145 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	88.00	11/12/21	152.05	64.05 W	0.00	146 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	91.99	11/12/21	158.02	66.03 W	0.00	147 of 210 - Option sale to close-put <sup>25</sup> C
	2.000	92.00	11/12/21	110.02	18.02 W	0.00	148 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	98.98	11/12/21	195.01	96.03 W	0.00	149 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	98.98	11/12/21	339.03	240.05 W	0.00	150 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	98.98	11/12/21	381.04	282.06 W	0.00	151 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	98.99	11/12/21	216.03	117.04 W	0.00	152 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	98.99	11/12/21	309.03	210.04 W	0.00	153 of 210 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	3.000	98.99	11/12/21	339.03	240.04 W	0.00	154 of 210 - Option sale to close-put <sup>25</sup>
	3.000	98.99	11/12/21	360.04	261.05 W	0.00	155 of 210 - Option sale to close-put <sup>25</sup>
	3.000	98.99	11/12/21	360.05	261.06 W	0.00	156 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.98	11/12/21	330.04	222.06 W	0.00	157 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	186.04	78.05 W	0.00	158 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	204.03	96.04 W	0.00	159 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	291.06	183.07 W	0.00	160 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	330.05	222.06 W	0.00	161 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	330.06	222.07 W	0.00	162 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	363.07	255.08 W	0.00	163 of 210 - Option sale to close-put <sup>25</sup>
	3.000	107.99	11/12/21	402.06	294.07 W	0.00	164 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.98	11/12/21	324.08	192.10 W	0.00	165 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	147.05	15.06 W	0.00	166 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	165.04	33.05 W	0.00	167 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	168.05	36.06 W	0.00	168 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	168.05	36.06 W	0.00	169 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	228.07	96.08 W	0.00	170 of 210 - Option sale to close-put <sup>25</sup>
	3.000	131.99	11/12/21	252.07	120.08 W	0.00	171 of 210 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	3.000	131.99	11/12/21	291.06	159.07 W	0.00	172 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	134.99	11/12/21	445.02	310.03 W	0.00	173 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	134.99	11/12/21	445.03	310.04 W	0.00	174 of 210 - Option sale to close-put <sup>25</sup> C
	3.000	137.99	11/12/21	237.04	99.05 W	0.00	175 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	139.98	11/12/21	260.00	120.02 W	0.00	176 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	139.98	11/12/21	500.02	360.04 W	0.00	177 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	139.98	11/12/21	500.03	360.05 W	0.00	178 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	139.99	11/12/21	380.02	240.03 W	0.00	179 of 210 - Option sale to close-put <sup>25</sup> C
	4.000	143.99	11/12/21	248.03	104.04 W	0.00	180 of 210 - Option sale to close-put <sup>25</sup> C
	6.000	161.98	11/12/21	534.03	372.05 W	0.00	181 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	164.98	11/12/21	565.04	400.06 W	0.00	182 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	164.99	11/12/21	510.04	345.05 W	0.00	183 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	164.99	11/12/21	515.03	350.04 W	0.00	184 of 210 - Option sale to close-put <sup>25</sup> C
	6.000	167.98	11/12/21	456.01	288.03 W	0.00	185 of 210 - Option sale to close-put <sup>25</sup> C
	6.000	167.99	11/12/21	312.00	144.01 W	0.00	186 of 210 - Option sale to close-put <sup>25</sup> C
	4.000	175.99	11/12/21	196.04	20.05 W	0.00	187 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	179.98	11/12/21	240.01	60.03 W	0.00	188 of 210 - Option sale to close-put <sup>25</sup> C
	5.000	179.98	11/12/21	240.02	60.04 W	0.00	189 of 210 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	5.000	179.99	11/12/21	340.04	160.05 W	0.00	190 of 210 - Option sale to close-put <sup>25</sup>
	5.000	179.99	11/12/21	460.05	280.06 W	0.00	191 of 210 - Option sale to close-put <sup>25</sup>
	6.000	197.99	11/12/21	612.05	414.06 W	0.00	192 of 210 - Option sale to close-put <sup>25</sup>
	8.000	215.97	11/12/21	520.02	304.05 W	0.00	193 of 210 - Option sale to close-put <sup>25</sup>
	6.000	215.99	11/12/21	552.06	336.07 W	0.00	194 of 210 - Option sale to close-put <sup>25</sup>
	5.000	219.98	11/12/21	245.05	25.07 W	0.00	195 of 210 - Option sale to close-put <sup>25</sup>
	5.000	219.99	11/12/21	245.05	25.06 W	0.00	196 of 210 - Option sale to close-put <sup>25</sup>
	5.000	219.99	11/12/21	275.05	55.06 W	0.00	197 of 210 - Option sale to close-put <sup>25</sup>
	8.000	263.98	11/12/21	624.05	360.07 W	0.00	198 of 210 - Option sale to close-put <sup>25</sup>
	6.000	275.98	11/12/21	474.07	198.09 W	0.00	199 of 210 - Option sale to close-put <sup>25</sup>
	11.000	307.97	11/12/21	572.00	264.03 W	0.00	200 of 210 - Option sale to close-put <sup>25</sup>
	11.000	307.97	11/12/21	836.03	528.06 W	0.00	201 of 210 - Option sale to close-put <sup>25</sup>
	14.000	391.96	11/12/21	728.00	336.04 W	0.00	202 of 210 - Option sale to close-put <sup>25</sup>
	14.000	391.97	11/12/21	1,064.04	672.07 W	0.00	203 of 210 - Option sale to close-put <sup>25</sup>
	11.000	395.97	11/12/21	682.08	286.11 W	0.00	204 of 210 - Option sale to close-put <sup>25</sup>
	15.000	494.96	11/12/21	1,080.07	585.11 W	0.00	205 of 210 - Option sale to close-put <sup>25</sup>
	17.000	560.96	11/12/21	1,105.04	544.08 W	0.00	206 of 210 - Option sale to close-put <sup>25</sup>
	29.000	811.93	11/12/21	1,508.00	696.07 W	0.00	207 of 210 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NVDA 11/12/2021 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	23.000	827.94	11/12/21	1,104.05	276.11 W	0.00	208 of 210 - Option sale to close-put <sup>25</sup> C
	31.000	1,115.93	11/12/21	1,488.07	372.14 W	0.00	209 of 210 - Option sale to close-put <sup>25</sup> C
	89.000	2,936.80	11/12/21	3,649.00	712.20 W	0.00	210 of 210 - Option sale to close-put <sup>25</sup> C
11/12/21	682.000	23,206.95	Various	50,235.72	27,028.77 W	0.00	Total of 210 transactions
	<b>Security total:</b>	<b>30,954.52</b>		<b>57,093.77</b>	<b>27,028.77 W</b>	<b>889.52</b>	
NVDA 11/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
	2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	10.000	1,019.97	11/18/21	870.00	...	149.97	1 of 2 - Option sale to close-call <sup>25</sup> C
	50.000	5,099.87	11/18/21	4,350.00	...	749.87	2 of 2 - Option sale to close-call <sup>25</sup> C
11/18/21	60.000	6,119.84	Various	5,220.00	...	899.84	Total of 2 transactions
OCGN 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
	3 transactions for 09/14/21. Total proceeds and cost reported to the IRS.						
	1.000	42.98	09/10/21	32.00	...	10.98	1 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	43.98	09/10/21	32.00	...	11.98	2 of 3 - Option sale to close-call <sup>25</sup> C
	3.000	128.98	09/10/21	96.00	...	32.98	3 of 3 - Option sale to close-call <sup>25</sup> C
09/14/21	5.000	215.94	Various	160.00	...	55.94	Total of 3 transactions
OCGN 10/29/2021 CALL \$8.00 / CUSIP: / Symbol:							
	2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.						
	10.000	1,139.97	10/22/21	1,140.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup> C
	90.000	13,229.75	10/22/21	10,260.00	...	2,969.75	2 of 2 - Option sale to close-call <sup>25</sup> C
10/25/21	100.000	14,369.72	Various	11,400.00	...	2,969.72	Total of 2 transactions
OCGN 10/29/2021 PUT \$10.00 / CUSIP: / Symbol:							
10/27/21	100.000	10,799.74	10/26/21	12,000.00	...	-1,200.26	Option sale to close-put <sup>25</sup> C
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol:							
	32 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	78.000	701.83	10/26/21	4,368.00	...	-3,666.17	1 of 32 - Option sale to close-put <sup>25</sup> C
	70.000	629.85	10/26/21	3,920.00	...	-3,290.15	2 of 32 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol: (cont'd)							
	6.000	53.98	10/26/21	1,446.05	...	-1,392.07	3 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	782.04	...	-764.05	4 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	18.00	10/26/21	782.05	...	-764.05	5 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	782.03	...	-764.04	6 of 32 - Option sale to close-put <sup>25</sup> C
	3.000	26.99	10/26/21	723.04	...	-696.05	7 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	682.03	...	-664.04	8 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	582.02	...	-564.03	9 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	482.03	...	-464.04	10 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	17.99	10/26/21	446.03	...	-428.04	11 of 32 - Option sale to close-put <sup>25</sup> C
	4.000	39.99	10/26/21	424.02	...	-384.03	12 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	391.04	...	-382.04	13 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	391.04	...	-382.04	14 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.99	10/26/21	385.02	...	-376.03	15 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	335.04	...	-326.04	16 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.99	10/26/21	335.02	...	-326.03	17 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	335.02	...	-326.02	18 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	241.03	...	-232.03	19 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.99	10/26/21	241.01	...	-232.02	20 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	241.02	...	-232.02	21 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	229.01	...	-220.01	22 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	229.01	...	-220.01	23 of 32 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol: (cont'd)							
	1.000	9.00	10/26/21	228.01	...	-219.01	24 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.99	10/26/21	226.01	...	-217.02	25 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	219.01	...	-210.01	26 of 32 - Option sale to close-put <sup>25</sup> C
	2.000	18.00	10/26/21	212.01	...	-194.01	27 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.98	10/26/21	169.01	...	-160.03	28 of 32 - Option sale to close-put <sup>25</sup> C
	3.000	26.98	10/26/21	168.00	...	-141.02	29 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.98	10/26/21	106.01	...	-97.03	30 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	9.00	10/26/21	106.01	...	-97.01	31 of 32 - Option sale to close-put <sup>25</sup> C
	1.000	8.98	10/26/21	56.00	...	-47.02	32 of 32 - Option sale to close-put <sup>25</sup> C
10/27/21	198.000	1,785.46	Various	20,262.67	...	-18,477.21	Total of 32 transactions
	61 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	3.000	29.99	10/26/21	468.02	146.01 W	-292.02	1 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	110.00	100.02 W	0.00	2 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	206.00	196.02 W	0.00	3 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	206.01	196.03 W	0.00	4 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	300.02	290.04 W	0.00	5 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	356.02	346.04 W	0.00	6 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.98	10/26/21	356.02	346.04 W	0.00	7 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	9.99	10/26/21	300.01	290.02 W	0.00	8 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	10.00	10/26/21	160.02	150.02 W	0.00	9 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	10.00	10/26/21	206.01	196.01 W	0.00	10 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	10.00	10/26/21	210.02	200.02 W	0.00	11 of 61 - Option sale to close-put <sup>25</sup> C
	1.000	10.00	10/26/21	260.02	250.02 W	0.00	12 of 61 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	10/26/21	300.02	290.02 W	0.00	13 of 61 - Option sale to close-put C <sub>25</sub>
	1.000	10.00	10/26/21	304.02	294.02 W	0.00	14 of 61 - Option sale to close-put C <sub>25</sub>
	1.000	10.00	10/26/21	350.02	340.02 W	0.00	15 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.98	10/26/21	120.00	100.02 W	0.00	16 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.98	10/26/21	412.01	392.03 W	0.00	17 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	120.00	100.01 W	0.00	18 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	120.00	100.01 W	0.00	19 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	512.01	492.02 W	0.00	20 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	520.01	500.02 W	0.00	21 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	612.02	592.03 W	0.00	22 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	19.99	10/26/21	712.04	692.05 W	0.00	23 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	220.01	200.01 W	0.00	24 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	220.01	200.01 W	0.00	25 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	320.01	300.01 W	0.00	26 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	320.01	300.01 W	0.00	27 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	320.01	300.01 W	0.00	28 of 61 - Option sale to close-put C <sub>25</sub>
	2.000	20.00	10/26/21	420.01	400.01 W	0.00	29 of 61 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol: (cont'd)							
	2.000	20.00	10/26/21	420.01	400.01 W	0.00	30 of 61 - Option sale to close-put C 25
	3.000	29.98	10/26/21	618.02	588.04 W	0.00	31 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	180.00	150.01 W	0.00	32 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	330.01	300.02 W	0.00	33 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	330.01	300.02 W	0.00	34 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	480.02	450.03 W	0.00	35 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	480.02	450.03 W	0.00	36 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	618.03	588.04 W	0.00	37 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	630.03	600.04 W	0.00	38 of 61 - Option sale to close-put C 25
	3.000	29.99	10/26/21	762.04	732.05 W	0.00	39 of 61 - Option sale to close-put C 25
	4.000	39.98	10/26/21	240.00	200.02 W	0.00	40 of 61 - Option sale to close-put C 25
	4.000	39.98	10/26/21	240.00	200.02 W	0.00	41 of 61 - Option sale to close-put C 25
	4.000	39.98	10/26/21	1,240.06	1,200.08 W	0.00	42 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	440.01	400.02 W	0.00	43 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	440.02	400.03 W	0.00	44 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	640.02	600.03 W	0.00	45 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	640.03	600.04 W	0.00	46 of 61 - Option sale to close-put C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/29/2021 PUT \$8.50 / CUSIP: / Symbol: (cont'd)							
	4.000	39.99	10/26/21	840.03	800.04 W	0.00	47 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	840.04	800.05 W	0.00	48 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	1,040.04	1,000.05 W	0.00	49 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	1,040.05	1,000.06 W	0.00	50 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	1,240.05	1,200.06 W	0.00	51 of 61 - Option sale to close-put C 25
	4.000	39.99	10/26/21	1,424.06	1,384.07 W	0.00	52 of 61 - Option sale to close-put C 25
	5.000	49.99	10/26/21	550.01	500.02 W	0.00	53 of 61 - Option sale to close-put C 25
	5.000	49.99	10/26/21	550.02	500.03 W	0.00	54 of 61 - Option sale to close-put C 25
	5.000	49.99	10/26/21	800.02	750.03 W	0.00	55 of 61 - Option sale to close-put C 25
	7.000	69.98	10/26/21	420.00	350.02 W	0.00	56 of 61 - Option sale to close-put C 25
	10.000	99.97	10/26/21	600.00	500.03 W	0.00	57 of 61 - Option sale to close-put C 25
	10.000	99.98	10/26/21	2,060.07	1,960.09 W	0.00	58 of 61 - Option sale to close-put C 25
	11.000	109.97	10/26/21	1,210.02	1,100.05 W	0.00	59 of 61 - Option sale to close-put C 25
	11.000	109.97	10/26/21	1,760.05	1,650.08 W	0.00	60 of 61 - Option sale to close-put C 25
	14.000	139.97	10/26/21	840.00	700.03 W	0.00	61 of 61 - Option sale to close-put C 25
10/27/21	204.000	2,039.31	Various	32,983.17	30,651.84 W	-292.02	Total of 61 transactions
	<b>Security total:</b>	<b>3,824.77</b>		<b>53,245.84</b>	<b>30,651.84 W</b>	<b>-18,769.23</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 11/05/2021 PUT \$15.50 / CUSIP: / Symbol:							
10 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	567.99	11/03/21	386.00	...	181.99	1 of 10 - Option sale to close-put <sup>25</sup> C
	1.000	369.98	11/03/21	176.00	...	193.98	2 of 10 - Option sale to close-put <sup>25</sup> C
	1.000	369.98	11/03/21	176.00	...	193.98	3 of 10 - Option sale to close-put <sup>25</sup> C
	2.000	739.98	11/03/21	352.00	...	387.98	4 of 10 - Option sale to close-put <sup>25</sup> C
	5.000	1,419.98	11/03/21	880.00	...	539.98	5 of 10 - Option sale to close-put <sup>25</sup> C
	3.000	1,109.98	11/03/21	528.00	...	581.98	6 of 10 - Option sale to close-put <sup>25</sup> C
	3.000	1,109.98	11/03/21	528.00	...	581.98	7 of 10 - Option sale to close-put <sup>25</sup> C
	20.000	4,439.93	11/03/21	3,520.00	...	919.93	8 of 10 - Option sale to close-put <sup>25</sup> C
	18.000	5,111.93	11/03/21	3,474.00	...	1,637.93	9 of 10 - Option sale to close-put <sup>25</sup> C
	15.000	5,549.94	11/03/21	2,640.00	...	2,909.94	10 of 10 - Option sale to close-put <sup>25</sup> C
11/03/21	70.000	20,789.67	Various	12,660.00	...	8,129.67	Total of 10 transactions
OTRK 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	260.00	11/05/21	250.00	...	10.00	1 of 7 - Option sale to close-call <sup>25</sup> C
	1.000	260.00	11/05/21	250.00	...	10.00	2 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	1,299.98	11/05/21	1,250.00	...	49.98	3 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	1,299.98	11/05/21	1,250.00	...	49.98	4 of 7 - Option sale to close-call <sup>25</sup> C
	1.000	256.00	11/05/21	195.00	...	61.00	5 of 7 - Option sale to close-call <sup>25</sup> C
	33.000	8,447.88	11/05/21	8,250.00	...	197.88	6 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	1,279.98	11/05/21	975.00	...	304.98	7 of 7 - Option sale to close-call <sup>25</sup> C
11/05/21	51.000	13,103.82	Various	12,420.00	...	683.82	Total of 7 transactions
PANW 11/26/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	2,899.97	11/18/21	2,350.00	...	549.97	1 of 2 - Option sale to close-call <sup>25</sup> C
	1.000	2,958.97	11/18/21	2,350.00	...	608.97	2 of 2 - Option sale to close-call <sup>25</sup> C
11/19/21	2.000	5,858.94	Various	4,700.00	...	1,158.94	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$47.50 / CUSIP: / Symbol:							
22 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	21.98	11/05/21	19.00	...	2.98	1 of 22 - Option sale to close-call C 25
	1.000	21.98	11/05/21	19.00	...	2.98	2 of 22 - Option sale to close-call C 25
	1.000	22.00	11/05/21	19.00	...	3.00	3 of 22 - Option sale to close-call C 25
	2.000	43.98	11/05/21	38.00	...	5.98	4 of 22 - Option sale to close-call C 25
	2.000	43.98	11/05/21	38.00	...	5.98	5 of 22 - Option sale to close-call C 25
	2.000	43.98	11/05/21	38.00	...	5.98	6 of 22 - Option sale to close-call C 25
	2.000	43.99	11/05/21	38.00	...	5.99	7 of 22 - Option sale to close-call C 25
	3.000	65.98	11/05/21	57.00	...	8.98	8 of 22 - Option sale to close-call C 25
	3.000	65.98	11/05/21	57.00	...	8.98	9 of 22 - Option sale to close-call C 25
	4.000	87.98	11/05/21	76.00	...	11.98	10 of 22 - Option sale to close-call C 25
	5.000	109.98	11/05/21	95.00	...	14.98	11 of 22 - Option sale to close-call C 25
	6.000	131.98	11/05/21	114.00	...	17.98	12 of 22 - Option sale to close-call C 25
	7.000	153.98	11/05/21	133.00	...	20.98	13 of 22 - Option sale to close-call C 25
	8.000	175.97	11/05/21	152.00	...	23.97	14 of 22 - Option sale to close-call C 25
	8.000	175.97	11/05/21	152.00	...	23.97	15 of 22 - Option sale to close-call C 25
	9.000	197.97	11/05/21	171.00	...	26.97	16 of 22 - Option sale to close-call C 25
	11.000	241.97	11/05/21	209.00	...	32.97	17 of 22 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$47.50 / CUSIP: / Symbol: (cont'd)							
	12.000	263.97	11/05/21	228.00	...	35.97	18 of 22 - Option sale to close-call C <sub>25</sub>
	15.000	329.96	11/05/21	285.00	...	44.96	19 of 22 - Option sale to close-call C <sub>25</sub>
	25.000	549.94	11/05/21	475.00	...	74.94	20 of 22 - Option sale to close-call C <sub>25</sub>
	96.000	2,111.79	11/05/21	1,824.00	...	287.79	21 of 22 - Option sale to close-call C <sub>25</sub>
	227.000	4,993.52	11/05/21	4,313.00	...	680.52	22 of 22 - Option sale to close-call C <sub>25</sub>
11/05/21	450.000	9,898.83	Various	8,550.00	...	1,348.83	Total of 22 transactions
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol:							
90 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	13.000	90.97	11/05/21	910.25	...	-819.28	1 of 90 - Option sale to close-call C <sub>25</sub>
	11.000	76.97	11/05/21	770.20	...	-693.23	2 of 90 - Option sale to close-call C <sub>25</sub>
	8.000	55.98	11/05/21	512.08	...	-456.10	3 of 90 - Option sale to close-call C <sub>25</sub>
	7.000	48.98	11/05/21	490.12	...	-441.14	4 of 90 - Option sale to close-call C <sub>25</sub>
	7.000	48.98	11/05/21	455.07	...	-406.09	5 of 90 - Option sale to close-call C <sub>25</sub>
	8.000	55.98	11/05/21	456.06	...	-400.08	6 of 90 - Option sale to close-call C <sub>25</sub>
	6.000	41.98	11/05/21	384.06	...	-342.08	7 of 90 - Option sale to close-call C <sub>25</sub>
	4.000	27.99	11/05/21	260.04	...	-232.05	8 of 90 - Option sale to close-call C <sub>25</sub>
	4.000	39.98	11/05/21	252.04	...	-212.06	9 of 90 - Option sale to close-call C <sub>25</sub>
	4.000	27.99	11/05/21	228.03	...	-200.04	10 of 90 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	11/05/21	210.07	...	-189.08	11 of 90 - Option sale to close-call C <sub>25</sub>
	6.000	41.99	11/05/21	228.07	...	-186.08	12 of 90 - Option sale to close-call C <sub>25</sub>
	4.000	27.99	11/05/21	208.04	...	-180.05	13 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	20.99	11/05/21	192.03	...	-171.04	14 of 90 - Option sale to close-call C <sub>25</sub>
	5.000	34.99	11/05/21	185.05	...	-150.06	15 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	20.99	11/05/21	171.02	...	-150.03	16 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	14.00	11/05/21	158.03	...	-144.03	17 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	14.00	11/05/21	158.03	...	-144.03	18 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	20.99	11/05/21	162.04	...	-141.05	19 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	20.99	11/05/21	156.04	...	-135.05	20 of 90 - Option sale to close-call C <sub>25</sub>
	4.000	27.99	11/05/21	152.06	...	-124.07	21 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	14.00	11/05/21	130.05	...	-116.05	22 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	14.00	11/05/21	130.01	...	-116.01	23 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	13.99	11/05/21	126.02	...	-112.03	24 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	13.99	11/05/21	126.01	...	-112.02	25 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	14.00	11/05/21	126.02	...	-112.02	26 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	20.99	11/05/21	132.06	...	-111.07	27 of 90 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	11/05/21	132.04	...	-111.05	28 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	117.02	...	-110.02	29 of 90 - Option sale to close-call C 25
	2.000	13.99	11/05/21	114.01	...	-100.02	30 of 90 - Option sale to close-call C 25
	2.000	14.00	11/05/21	114.01	...	-100.01	31 of 90 - Option sale to close-call C 25
	3.000	20.99	11/05/21	114.04	...	-93.05	32 of 90 - Option sale to close-call C 25
	3.000	20.99	11/05/21	114.04	...	-93.05	33 of 90 - Option sale to close-call C 25
	2.000	13.99	11/05/21	104.04	...	-90.05	34 of 90 - Option sale to close-call C 25
	2.000	14.00	11/05/21	104.02	...	-90.02	35 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	89.03	...	-82.03	36 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	89.03	...	-82.03	37 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	79.01	...	-72.01	38 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	79.01	...	-72.01	39 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	70.00	...	-63.00	40 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	70.00	...	-63.00	41 of 90 - Option sale to close-call C 25
	2.000	13.99	11/05/21	76.04	...	-62.05	42 of 90 - Option sale to close-call C 25
	2.000	13.99	11/05/21	76.03	...	-62.04	43 of 90 - Option sale to close-call C 25
	2.000	13.99	11/05/21	76.03	...	-62.04	44 of 90 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	2.000	14.00	11/05/21	76.03	...	-62.03	45 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	68.01	...	-61.01	46 of 90 - Option sale to close-call C <sub>25</sub>
	2.000	13.99	11/05/21	74.03	...	-60.04	47 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.01	...	-58.01	48 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.01	...	-58.01	49 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.01	...	-58.01	50 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	51 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	52 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	53 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	54 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	55 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	56 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	65.00	...	-58.00	57 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	64.01	...	-57.01	58 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	64.01	...	-57.01	59 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	64.00	...	-57.00	60 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	63.01	...	-56.01	61 of 90 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	9.98	11/05/21	63.01	...	-53.03	62 of 90 - Option sale to close-call C 25
	1.000	9.98	11/05/21	63.00	...	-53.02	63 of 90 - Option sale to close-call C 25
	1.000	9.99	11/05/21	63.00	...	-53.01	64 of 90 - Option sale to close-call C 25
	1.000	9.99	11/05/21	63.00	...	-53.01	65 of 90 - Option sale to close-call C 25
	1.000	10.00	11/05/21	63.01	...	-53.01	66 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	57.00	...	-50.00	67 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	54.00	...	-47.00	68 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	52.03	...	-45.03	69 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	52.00	...	-45.00	70 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	52.00	...	-45.00	71 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	52.00	...	-45.00	72 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	52.00	...	-45.00	73 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	51.02	...	-44.02	74 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	51.01	...	-44.01	75 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	44.04	...	-37.04	76 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	38.01	...	-31.01	77 of 90 - Option sale to close-call C 25
	1.000	7.00	11/05/21	38.01	...	-31.01	78 of 90 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	11/05/21	38.01	...	-31.01	79 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	38.00	...	-31.00	80 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	37.01	...	-30.01	81 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	34.98	11/05/21	34.00	...	0.98	82 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	34.98	11/05/21	34.00	...	0.98	83 of 90 - Option sale to close-call C <sub>25</sub>
	6.000	209.99	11/05/21	204.00	...	5.99	84 of 90 - Option sale to close-call C <sub>25</sub>
	1.000	80.00	11/05/21	54.00	...	26.00	85 of 90 - Option sale to close-call C <sub>25</sub>
	3.000	239.99	11/05/21	162.00	...	77.99	86 of 90 - Option sale to close-call C <sub>25</sub>
	11.000	879.97	11/05/21	594.00	...	285.97	87 of 90 - Option sale to close-call C <sub>25</sub>
	13.000	1,039.97	11/05/21	702.00	...	337.97	88 of 90 - Option sale to close-call C <sub>25</sub>
	15.000	1,199.96	11/05/21	810.00	...	389.96	89 of 90 - Option sale to close-call C <sub>25</sub>
	57.000	4,559.86	11/05/21	3,078.00	...	1,481.86	90 of 90 - Option sale to close-call C <sub>25</sub>
11/05/21	300.000	9,650.23	Various	16,902.29	...	-7,252.06	Total of 90 transactions
	221 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	7.00	11/05/21	57.00	50.00 W	0.00	1 of 221 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	57.00	50.00 W	0.00	2 of 221 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	57.00	50.00 W	0.00	3 of 221 - Option sale to close-call C <sub>25</sub>
	1.000	7.00	11/05/21	57.01	50.01 W	0.00	4 of 221 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	11/05/21	58.00	51.00 W	0.00	5 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	11/05/21	58.01	51.01 W	0.00	6 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	11/05/21	58.01	51.01 W	0.00	7 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	11/05/21	59.00	52.00 W	0.00	8 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	11/05/21	59.00	52.00 W	0.00	9 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	11/05/21	59.00	52.00 W	0.00	10 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.00	33.00 W	0.00	11 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.00	33.00 W	0.00	12 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.00	33.00 W	0.00	13 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.01	33.01 W	0.00	14 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.01	33.01 W	0.00	15 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	42.01	33.01 W	0.00	16 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	45.01	36.01 W	0.00	17 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	45.02	36.02 W	0.00	18 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	51.00	42.00 W	0.00	19 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	51.00	42.00 W	0.00	20 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	59.00	50.00 W	0.00	21 of 221 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	11/05/21	59.00	50.00 W	0.00	22 of 221 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	9.00	11/05/21	59.00	50.00 W	0.00	23 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	59.01	50.01 W	0.00	24 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	59.01	50.01 W	0.00	25 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	61.00	52.00 W	0.00	26 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	61.00	52.00 W	0.00	27 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	64.00	55.00 W	0.00	28 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	64.00	55.00 W	0.00	29 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	80.03	71.03 W	0.00	30 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	80.03	71.03 W	0.00	31 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	83.03	74.03 W	0.00	32 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	83.03	74.03 W	0.00	33 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	94.02	85.02 W	0.00	34 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	9.00	11/05/21	111.02	102.02 W	0.00	35 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	10.98	11/05/21	40.01	29.03 W	0.00	36 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	10.98	11/05/21	55.01	44.03 W	0.00	37 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	10.99	11/05/21	42.03	31.04 W	0.00	38 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	41.00	30.00 W	0.00	39 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	41.01	30.01 W	0.00	40 of 221 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	11.00	11/05/21	41.01	30.01 W	0.00	41 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	49.01	38.01 W	0.00	42 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	49.02	38.02 W	0.00	43 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	44 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	45 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	46 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	47 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	48 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	49 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	50 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	55.00	44.00 W	0.00	51 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	56.00	45.00 W	0.00	52 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	56.01	45.01 W	0.00	53 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	56.01	45.01 W	0.00	54 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	57.00	46.00 W	0.00	55 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	57.00	46.00 W	0.00	56 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	57.00	46.00 W	0.00	57 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	57.00	46.00 W	0.00	58 of 221 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	11.00	11/05/21	63.00	52.00 W	0.00	59 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.00	52.00 W	0.00	60 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.00	52.00 W	0.00	61 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.00	52.00 W	0.00	62 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.01	52.01 W	0.00	63 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.01	52.01 W	0.00	64 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	63.01	52.01 W	0.00	65 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	64.01	53.01 W	0.00	66 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	66.01	55.01 W	0.00	67 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	68.00	57.00 W	0.00	68 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	68.00	57.00 W	0.00	69 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	77.01	66.01 W	0.00	70 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	77.01	66.01 W	0.00	71 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	87.03	76.03 W	0.00	72 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	87.03	76.03 W	0.00	73 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	11.00	11/05/21	115.02	104.02 W	0.00	74 of 221 - Option sale to close-call <sup>25</sup> C
	2.000	13.99	11/05/21	114.01	100.02 W	0.00	75 of 221 - Option sale to close-call <sup>25</sup> C
	2.000	13.99	11/05/21	114.01	100.02 W	0.00	76 of 221 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	2.000	13.99	11/05/21	114.01	100.02 W	0.00	77 of 221 - Option sale to close-call <sup>25</sup>
	2.000	14.00	11/05/21	114.01	100.01 W	0.00	78 of 221 - Option sale to close-call <sup>25</sup>
	2.000	14.00	11/05/21	118.01	104.01 W	0.00	79 of 221 - Option sale to close-call <sup>25</sup>
	2.000	17.98	11/05/21	68.00	50.02 W	0.00	80 of 221 - Option sale to close-call <sup>25</sup>
	2.000	17.99	11/05/21	118.03	100.04 W	0.00	81 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	42.01	24.01 W	0.00	82 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	43.00	25.00 W	0.00	83 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	43.01	25.01 W	0.00	84 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	43.01	25.01 W	0.00	85 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	43.01	25.01 W	0.00	86 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	57.00	39.00 W	0.00	87 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	57.00	39.00 W	0.00	88 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	57.00	39.00 W	0.00	89 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	57.00	39.00 W	0.00	90 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	57.03	39.03 W	0.00	91 of 221 - Option sale to close-call <sup>25</sup>
	1.000	18.00	11/05/21	59.00	41.00 W	0.00	92 of 221 - Option sale to close-call <sup>25</sup>
	2.000	18.00	11/05/21	74.01	56.01 W	0.00	93 of 221 - Option sale to close-call <sup>25</sup>
	2.000	18.00	11/05/21	84.01	66.01 W	0.00	94 of 221 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)								
	2.000	18.00	11/05/21	102.02	84.02 W	0.00	95 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	18.00	11/05/21	118.01	100.01 W	0.00	96 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	20.99	11/05/21	174.02	153.03 W	0.00	97 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.98	11/05/21	82.01	60.03 W	0.00	98 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	80.01	58.02 W	0.00	99 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	82.01	60.02 W	0.00	100 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	82.01	60.02 W	0.00	101 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	110.02	88.03 W	0.00	102 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	126.04	104.05 W	0.00	103 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	154.02	132.03 W	0.00	104 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	21.99	11/05/21	154.02	132.03 W	0.00	105 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	22.00	11/05/21	110.01	88.01 W	0.00	106 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	22.00	11/05/21	110.01	88.01 W	0.00	107 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	22.00	11/05/21	110.01	88.01 W	0.00	108 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	22.00	11/05/21	114.01	92.01 W	0.00	109 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	26.99	11/05/21	126.04	99.05 W	0.00	110 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	26.99	11/05/21	183.04	156.05 W	0.00	111 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	26.99	11/05/21	192.05	165.06 W	0.00	112 of 221 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	4.000	27.99	11/05/21	228.03	200.04 W	0.00	113 of 221 - Option sale to close-call <sup>25</sup> C
	4.000	27.99	11/05/21	236.03	208.04 W	0.00	114 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.98	11/05/21	120.03	87.05 W	0.00	115 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	120.02	87.03 W	0.00	116 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	123.02	90.03 W	0.00	117 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	126.03	93.04 W	0.00	118 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	126.05	93.06 W	0.00	119 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	165.01	132.02 W	0.00	120 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	165.01	132.02 W	0.00	121 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	168.01	135.02 W	0.00	122 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	171.01	138.02 W	0.00	123 of 221 - Option sale to close-call <sup>25</sup> C
	3.000	32.99	11/05/21	204.06	171.07 W	0.00	124 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	34.98	11/05/21	90.01	55.03 W	0.00	125 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	34.98	11/05/21	90.01	55.03 W	0.00	126 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	34.99	11/05/21	54.00	19.01 W	0.00	127 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	34.99	11/05/21	73.01	38.02 W	0.00	128 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	40.00	5.00 W	0.00	129 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	40.00	5.00 W	0.00	130 of 221 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.00	11/05/21	51.00	16.00 W	0.00	131 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	51.00	16.00 W	0.00	132 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	52.00	17.00 W	0.00	133 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	52.00	17.00 W	0.00	134 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	59.00	24.00 W	0.00	135 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	59.00	24.00 W	0.00	136 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	70.00	35.00 W	0.00	137 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	70.00	35.00 W	0.00	138 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	71.00	36.00 W	0.00	139 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	71.00	36.00 W	0.00	140 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	73.01	38.01 W	0.00	141 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	73.01	38.01 W	0.00	142 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	78.01	43.01 W	0.00	143 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	78.01	43.01 W	0.00	144 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	89.03	54.03 W	0.00	145 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	89.03	54.03 W	0.00	146 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	92.02	57.02 W	0.00	147 of 221 - Option sale to close-call <sup>25</sup> C
	1.000	35.00	11/05/21	103.02	68.02 W	0.00	148 of 221 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)								
	1.000	35.00	11/05/21	104.02	69.02 W	0.00	149 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	84.02	48.03 W	0.00	150 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	86.02	50.03 W	0.00	151 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	86.02	50.03 W	0.00	152 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	86.02	50.03 W	0.00	153 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	86.03	50.04 W	0.00	154 of 221 - Option sale to close-call <sup>25</sup>	C
	2.000	35.99	11/05/21	114.03	78.04 W	0.00	155 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	41.98	11/05/21	348.04	306.06 W	0.00	156 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	43.98	11/05/21	164.03	120.05 W	0.00	157 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	43.98	11/05/21	220.01	176.03 W	0.00	158 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	43.99	11/05/21	164.03	120.04 W	0.00	159 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	43.99	11/05/21	228.02	184.03 W	0.00	160 of 221 - Option sale to close-call <sup>25</sup>	C
	7.000	48.98	11/05/21	413.05	364.07 W	0.00	161 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	53.98	11/05/21	177.02	123.04 W	0.00	162 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	53.99	11/05/21	129.03	75.04 W	0.00	163 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	53.99	11/05/21	129.03	75.04 W	0.00	164 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	53.99	11/05/21	222.03	168.04 W	0.00	165 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	53.99	11/05/21	336.04	282.05 W	0.00	166 of 221 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	6.000	53.99	11/05/21	438.05	384.06 W	0.00	167 of 221 - Option sale to close-call <sup>25</sup>
	5.000	54.98	11/05/21	275.02	220.04 W	0.00	168 of 221 - Option sale to close-call <sup>25</sup>
	8.000	55.98	11/05/21	464.06	408.08 W	0.00	169 of 221 - Option sale to close-call <sup>25</sup>
	7.000	62.98	11/05/21	259.04	196.06 W	0.00	170 of 221 - Option sale to close-call <sup>25</sup>
	6.000	65.99	11/05/21	240.04	174.05 W	0.00	171 of 221 - Option sale to close-call <sup>25</sup>
	6.000	65.99	11/05/21	330.03	264.04 W	0.00	172 of 221 - Option sale to close-call <sup>25</sup>
	6.000	65.99	11/05/21	330.03	264.04 W	0.00	173 of 221 - Option sale to close-call <sup>25</sup>
	6.000	65.99	11/05/21	336.03	270.04 W	0.00	174 of 221 - Option sale to close-call <sup>25</sup>
	2.000	69.98	11/05/21	108.00	38.02 W	0.00	175 of 221 - Option sale to close-call <sup>25</sup>
	4.000	71.99	11/05/21	172.05	100.06 W	0.00	176 of 221 - Option sale to close-call <sup>25</sup>
	4.000	71.99	11/05/21	228.03	156.04 W	0.00	177 of 221 - Option sale to close-call <sup>25</sup>
	7.000	76.98	11/05/21	476.10	399.12 W	0.00	178 of 221 - Option sale to close-call <sup>25</sup>
	7.000	76.99	11/05/21	399.04	322.05 W	0.00	179 of 221 - Option sale to close-call <sup>25</sup>
	8.000	87.98	11/05/21	328.06	240.08 W	0.00	180 of 221 - Option sale to close-call <sup>25</sup>
	8.000	87.98	11/05/21	440.04	352.06 W	0.00	181 of 221 - Option sale to close-call <sup>25</sup>
	8.000	87.98	11/05/21	448.04	360.06 W	0.00	182 of 221 - Option sale to close-call <sup>25</sup>
	10.000	89.97	11/05/21	340.00	250.03 W	0.00	183 of 221 - Option sale to close-call <sup>25</sup>
	5.000	89.98	11/05/21	285.04	195.06 W	0.00	184 of 221 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)								
	10.000	89.98	11/05/21	510.03	420.05 W	0.00	185 of 221 - Option sale to close-call <sup>25</sup>	C
	5.000	89.99	11/05/21	210.04	120.05 W	0.00	186 of 221 - Option sale to close-call <sup>25</sup>	C
	3.000	104.98	11/05/21	210.02	105.04 W	0.00	187 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	107.99	11/05/21	258.06	150.07 W	0.00	188 of 221 - Option sale to close-call <sup>25</sup>	C
	13.000	116.96	11/05/21	832.17	715.21 W	0.00	189 of 221 - Option sale to close-call <sup>25</sup>	C
	13.000	116.97	11/05/21	481.06	364.09 W	0.00	190 of 221 - Option sale to close-call <sup>25</sup>	C
	11.000	120.97	11/05/21	748.17	627.20 W	0.00	191 of 221 - Option sale to close-call <sup>25</sup>	C
	14.000	125.96	11/05/21	854.14	728.18 W	0.00	192 of 221 - Option sale to close-call <sup>25</sup>	C
	15.000	134.97	11/05/21	555.07	420.10 W	0.00	193 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	139.98	11/05/21	160.00	20.02 W	0.00	194 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	139.98	11/05/21	208.02	68.04 W	0.00	195 of 221 - Option sale to close-call <sup>25</sup>	C
	4.000	139.99	11/05/21	204.04	64.05 W	0.00	196 of 221 - Option sale to close-call <sup>25</sup>	C
	13.000	142.96	11/05/21	884.21	741.25 W	0.00	197 of 221 - Option sale to close-call <sup>25</sup>	C
	18.000	161.95	11/05/21	1,098.17	936.22 W	0.00	198 of 221 - Option sale to close-call <sup>25</sup>	C
	18.000	161.95	11/05/21	1,152.22	990.27 W	0.00	199 of 221 - Option sale to close-call <sup>25</sup>	C
	19.000	170.96	11/05/21	969.05	798.09 W	0.00	200 of 221 - Option sale to close-call <sup>25</sup>	C
	5.000	174.99	11/05/21	200.00	25.01 W	0.00	201 of 221 - Option sale to close-call <sup>25</sup>	C
	5.000	174.99	11/05/21	255.02	80.03 W	0.00	202 of 221 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)								
	5.000	174.99	11/05/21	260.01	85.02 W	0.00	203 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	209.98	11/05/21	396.01	186.03 W	0.00	204 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	209.99	11/05/21	324.00	114.01 W	0.00	205 of 221 - Option sale to close-call <sup>25</sup>	C
	6.000	209.99	11/05/21	390.03	180.04 W	0.00	206 of 221 - Option sale to close-call <sup>25</sup>	C
	25.000	224.95	11/05/21	1,275.05	1,050.10 W	0.00	207 of 221 - Option sale to close-call <sup>25</sup>	C
	7.000	244.98	11/05/21	322.02	77.04 W	0.00	208 of 221 - Option sale to close-call <sup>25</sup>	C
	34.000	305.93	11/05/21	1,156.00	850.07 W	0.00	209 of 221 - Option sale to close-call <sup>25</sup>	C
	34.000	305.93	11/05/21	1,734.07	1,428.14 W	0.00	210 of 221 - Option sale to close-call <sup>25</sup>	C
	46.000	413.90	11/05/21	1,564.00	1,150.10 W	0.00	211 of 221 - Option sale to close-call <sup>25</sup>	C
	14.000	489.97	11/05/21	980.11	490.14 W	0.00	212 of 221 - Option sale to close-call <sup>25</sup>	C
	15.000	524.96	11/05/21	690.03	165.07 W	0.00	213 of 221 - Option sale to close-call <sup>25</sup>	C
	17.000	594.96	11/05/21	1,003.05	408.09 W	0.00	214 of 221 - Option sale to close-call <sup>25</sup>	C
	17.000	594.96	11/05/21	1,207.09	612.13 W	0.00	215 of 221 - Option sale to close-call <sup>25</sup>	C
	18.000	629.95	11/05/21	1,260.12	630.17 W	0.00	216 of 221 - Option sale to close-call <sup>25</sup>	C
	18.000	629.96	11/05/21	1,062.04	432.08 W	0.00	217 of 221 - Option sale to close-call <sup>25</sup>	C
	18.000	629.96	11/05/21	1,278.08	648.12 W	0.00	218 of 221 - Option sale to close-call <sup>25</sup>	C
	21.000	734.95	11/05/21	966.05	231.10 W	0.00	219 of 221 - Option sale to close-call <sup>25</sup>	C
	37.000	1,294.91	11/05/21	1,998.00	703.09 W	0.00	220 of 221 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/05/2021 CALL \$48.00 / CUSIP: / Symbol: (cont'd)							
	43.000	1,504.90	11/05/21	2,021.00	516.10 W	0.00	221 of 221 - Option sale to close-call <sup>25</sup> C
11/05/21	948.000	17,129.70	Various	50,498.99	33,369.29 W	0.00	Total of 221 transactions
	<b>Security total:</b>	<b>26,779.93</b>		<b>67,401.28</b>	<b>33,369.29 W</b>	<b>-7,252.06</b>	
PFE 11/12/2021 CALL \$47.00 / CUSIP: / Symbol:							
15 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	251.98	11/05/21	238.00	...	13.98	1 of 15 - Option sale to close-call <sup>25</sup> C
	8.000	1,007.97	11/05/21	952.00	...	55.97	2 of 15 - Option sale to close-call <sup>25</sup> C
	8.000	1,039.98	11/05/21	848.00	...	191.98	3 of 15 - Option sale to close-call <sup>25</sup> C
	40.000	5,039.89	11/05/21	4,760.00	...	279.89	4 of 15 - Option sale to close-call <sup>25</sup> C
	30.000	3,779.92	11/05/21	3,450.00	...	329.92	5 of 15 - Option sale to close-call <sup>25</sup> C
	20.000	2,519.95	11/05/21	2,180.00	...	339.95	6 of 15 - Option sale to close-call <sup>25</sup> C
	50.000	6,299.87	11/05/21	5,900.13	...	399.74	7 of 15 - Option sale to close-call <sup>25</sup> C
	5.000	964.98	11/05/21	505.00	...	459.98	8 of 15 - Option sale to close-call <sup>25</sup> C
	25.000	3,249.92	11/05/21	2,625.00	...	624.92	9 of 15 - Option sale to close-call <sup>25</sup> C
	10.000	1,919.97	11/05/21	1,010.00	...	909.97	10 of 15 - Option sale to close-call <sup>25</sup> C
	50.000	6,299.86	11/05/21	5,300.00	...	999.86	11 of 15 - Option sale to close-call <sup>25</sup> C
	20.000	4,079.94	11/05/21	1,720.00	...	2,359.94	12 of 15 - Option sale to close-call <sup>25</sup> C
	30.000	6,119.90	11/05/21	2,580.00	...	3,539.90	13 of 15 - Option sale to close-call <sup>25</sup> C
	40.000	7,719.88	11/05/21	4,040.00	...	3,679.88	14 of 15 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/12/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	45.000	8,684.86	11/05/21	4,545.00	...	4,139.86	15 of 15 - Option sale to close-call C <sub>25</sub>
11/05/21	383.000	58,978.87	Various	40,653.13	...	18,325.74	Total of 15 transactions
11/05/21	50.000	5,799.87	11/05/21	5,950.00	150.13 W	0.00	Option sale to close-call <sup>25</sup> C
12 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	4.000	575.99	11/05/21	344.00	...	231.99	1 of 12 - Option sale to close-call C <sub>25</sub>
	15.000	2,159.95	11/05/21	1,290.00	...	869.95	2 of 12 - Option sale to close-call C <sub>25</sub>
	27.000	3,887.92	11/05/21	2,322.00	...	1,565.92	3 of 12 - Option sale to close-call C <sub>25</sub>
	54.000	7,775.85	11/05/21	4,644.00	...	3,131.85	4 of 12 - Option sale to close-call C <sub>25</sub>
	50.000	7,449.86	11/05/21	4,300.00	...	3,149.86	5 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	149.00	11/08/21	136.00	...	13.00	6 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	168.00	11/08/21	131.00	...	37.00	7 of 12 - Option sale to close-call C <sub>25</sub>
	4.000	671.99	11/08/21	524.00	...	147.99	8 of 12 - Option sale to close-call C <sub>25</sub>
	16.000	2,687.95	11/08/21	2,176.00	...	511.95	9 of 12 - Option sale to close-call C <sub>25</sub>
	49.000	7,300.86	11/08/21	6,664.00	...	636.86	10 of 12 - Option sale to close-call C <sub>25</sub>
	34.000	5,711.90	11/08/21	4,624.00	...	1,087.90	11 of 12 - Option sale to close-call C <sub>25</sub>
	95.000	15,959.72	11/08/21	12,445.00	...	3,514.72	12 of 12 - Option sale to close-call C <sub>25</sub>
11/08/21	350.000	54,498.99	Various	39,600.00	...	14,898.99	Total of 12 transactions
	<b>Security total:</b>	<b>119,277.73</b>		<b>86,203.13</b>	<b>150.13 W</b>	<b>33,224.73</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINS 11/05/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	24.000	1,319.94	11/04/21	4,512.00	...	-3,192.06	1 of 3 - Option sale to close-call <sup>25</sup> C
	20.000	1,099.95	11/04/21	3,760.00	...	-2,660.05	2 of 3 - Option sale to close-call <sup>25</sup> C
	6.000	329.98	11/04/21	1,128.00	...	-798.02	3 of 3 - Option sale to close-call <sup>25</sup> C
11/05/21	50.000	2,749.87	Various	9,400.00	...	-6,650.13	Total of 3 transactions
PTON 09/10/2021 CALL \$103.00 / CUSIP: / Symbol:							
3 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	8.000	567.97	09/09/21	1,040.03	...	-472.06	1 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	70.99	09/09/21	130.00	...	-59.01	2 of 3 - Option sale to close-call <sup>25</sup> C
	1.000	70.99	09/09/21	130.00	...	-59.01	3 of 3 - Option sale to close-call <sup>25</sup> C
09/09/21	10.000	709.95	Various	1,300.03	...	-590.08	Total of 3 transactions
2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	1.000	140.00	09/09/21	168.00	28.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup> C
	9.000	1,259.97	09/09/21	1,512.00	252.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup> C
09/09/21	10.000	1,399.97	Various	1,680.00	280.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,109.92</b>		<b>2,980.03</b>	<b>280.03 W</b>	<b>-590.08</b>	
PTON 09/10/2021 PUT \$112.00 / CUSIP: / Symbol:							
8 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	10.000	119.98	09/10/21	890.03	...	-770.05	1 of 8 - Option sale to close-put <sup>25</sup> C
	30.000	359.93	09/10/21	1,110.00	...	-750.07	2 of 8 - Option sale to close-put <sup>25</sup> C
	10.000	119.98	09/10/21	460.00	...	-340.02	3 of 8 - Option sale to close-put <sup>25</sup> C
	10.000	119.98	09/10/21	370.00	...	-250.02	4 of 8 - Option sale to close-put <sup>25</sup> C
	5.000	59.99	09/10/21	170.00	...	-110.01	5 of 8 - Option sale to close-put <sup>25</sup> C
	1.000	12.00	09/10/21	20.00	...	-8.00	6 of 8 - Option sale to close-put <sup>25</sup> C
	3.000	185.99	09/10/21	165.00	...	20.99	7 of 8 - Option sale to close-put <sup>25</sup> C
	17.000	1,053.96	09/10/21	935.00	...	118.96	8 of 8 - Option sale to close-put <sup>25</sup> C
09/10/21	86.000	2,031.81	Various	4,120.03	...	-2,088.22	Total of 8 transactions
09/10/21	10.000	119.97	09/10/21	550.00	430.03 W	0.00	Option sale to close-put <sup>25</sup> C
	<b>Security total:</b>	<b>2,151.78</b>		<b>4,670.03</b>	<b>430.03 W</b>	<b>-2,088.22</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PTON 11/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
9 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	111.00	11/11/21	108.00	...	3.00	1 of 9 - Option sale to close-call <sup>25</sup> C
	2.000	221.99	11/11/21	216.00	...	5.99	2 of 9 - Option sale to close-call <sup>25</sup> C
	6.000	665.98	11/11/21	648.00	...	17.98	3 of 9 - Option sale to close-call <sup>25</sup> C
	9.000	998.98	11/11/21	972.00	...	26.98	4 of 9 - Option sale to close-call <sup>25</sup> C
	10.000	1,059.97	11/11/21	980.03	...	79.94	5 of 9 - Option sale to close-call <sup>25</sup> C
	40.000	4,439.89	11/11/21	4,320.00	...	119.89	6 of 9 - Option sale to close-call <sup>25</sup> C
	42.000	4,661.90	11/11/21	4,536.00	...	125.90	7 of 9 - Option sale to close-call <sup>25</sup> C
	40.000	4,239.89	11/11/21	3,920.10	...	319.79	8 of 9 - Option sale to close-call <sup>25</sup> C
	50.000	5,299.87	11/11/21	4,900.12	...	399.75	9 of 9 - Option sale to close-call <sup>25</sup> C
11/11/21	200.000	21,699.47	Various	20,600.25	...	1,099.22	Total of 9 transactions
11/11/21	100.000	9,599.75	11/11/21	10,200.00	600.25 W	0.00	Option sale to close-call <sup>25</sup> C
	<b>Security total:</b>	<b>31,299.22</b>		<b>30,800.25</b>	<b>600.25 W</b>	<b>1,099.22</b>	
PTON 12/03/2021 CALL \$47.00 / CUSIP: / Symbol:							
4 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	20.000	1,299.95	11/26/21	3,540.00	...	-2,240.05	1 of 4 - Option sale to close-call <sup>25</sup> C
	17.000	1,104.96	11/26/21	3,009.00	...	-1,904.04	2 of 4 - Option sale to close-call <sup>25</sup> C
	13.000	844.97	11/26/21	2,301.00	...	-1,456.03	3 of 4 - Option sale to close-call <sup>25</sup> C
	6.000	389.99	11/26/21	864.00	...	-474.01	4 of 4 - Option sale to close-call <sup>25</sup> C
11/29/21	56.000	3,639.87	Various	9,714.00	...	-6,074.13	Total of 4 transactions
PTON 12/23/2021 CALL \$38.00 / CUSIP: / Symbol:							
3 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	35.000	6,649.89	12/20/21	9,800.00	...	-3,150.11	1 of 3 - Option sale to close-call <sup>25</sup> C
	44.000	8,359.87	12/20/21	9,152.00	...	-792.13	2 of 3 - Option sale to close-call <sup>25</sup> C
	5.000	949.98	12/20/21	1,045.00	...	-95.02	3 of 3 - Option sale to close-call <sup>25</sup> C
12/21/21	84.000	15,959.74	Various	19,997.00	...	-4,037.26	Total of 3 transactions
PTON 12/23/2021 CALL \$42.00 / CUSIP: / Symbol:							
12/21/21	2.000	75.98	12/20/21	142.00	...	-66.02	Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	1,139.99	10/25/21	1,100.00	...	39.99	1 of 3 - Option sale to close-call <sup>25</sup> C
	9.000	5,129.95	10/25/21	4,941.00	...	188.95	2 of 3 - Option sale to close-call <sup>25</sup> C
	9.000	5,129.96	10/25/21	4,941.00	...	188.96	3 of 3 - Option sale to close-call <sup>25</sup> C
10/25/21	20.000	11,399.90	Various	10,982.00	...	417.90	Total of 3 transactions
10/25/21	20.000	11,299.90	10/25/21	11,500.00	200.10 W	0.00	Option sale to close-call <sup>25</sup> C
33 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	6.000	899.98	10/26/21	5,610.09	...	-4,710.11	1 of 33 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	10/26/21	3,846.06	...	-3,396.07	2 of 33 - Option sale to close-call <sup>25</sup> C
	29.000	4,349.92	10/26/21	7,540.08	...	-3,190.16	3 of 33 - Option sale to close-call <sup>25</sup> C
	4.000	599.99	10/26/21	3,740.05	...	-3,140.06	4 of 33 - Option sale to close-call <sup>25</sup> C
	4.000	599.99	10/26/21	3,404.03	...	-2,804.04	5 of 33 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	10/26/21	2,564.04	...	-2,264.05	6 of 33 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	10/26/21	2,553.04	...	-2,103.05	7 of 33 - Option sale to close-call <sup>25</sup> C
	6.000	899.98	10/26/21	2,982.04	...	-2,082.06	8 of 33 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	10/26/21	1,702.02	...	-1,402.03	9 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	10/26/21	1,367.05	...	-1,217.05	10 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	10/26/21	1,282.06	...	-1,132.06	11 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	10/26/21	1,282.06	...	-1,132.06	12 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	10/26/21	1,282.03	...	-1,132.03	13 of 33 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
	1.000	150.00	10/26/21	1,282.03	...	-1,132.03	14 of 33 - Option sale to close-call C <sub>25</sub>
	3.000	449.99	10/26/21	1,491.03	...	-1,041.04	15 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	851.00	...	-701.00	16 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	492.02	...	-342.02	17 of 33 - Option sale to close-call C <sub>25</sub>
	4.000	599.99	10/26/21	888.01	...	-288.02	18 of 33 - Option sale to close-call C <sub>25</sub>
	4.000	599.99	10/26/21	888.01	...	-288.02	19 of 33 - Option sale to close-call C <sub>25</sub>
	3.000	449.99	10/26/21	666.01	...	-216.02	20 of 33 - Option sale to close-call C <sub>25</sub>
	5.000	749.97	10/26/21	855.02	...	-105.05	21 of 33 - Option sale to close-call C <sub>25</sub>
	2.000	299.99	10/26/21	404.01	...	-104.02	22 of 33 - Option sale to close-call C <sub>25</sub>
	2.000	299.99	10/26/21	404.01	...	-104.02	23 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	222.00	...	-72.00	24 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	222.00	...	-72.00	25 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	222.00	...	-72.00	26 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	202.01	...	-52.01	27 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	202.00	...	-52.00	28 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	202.00	...	-52.00	29 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	10/26/21	202.00	...	-52.00	30 of 33 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
	1.000	150.00	10/26/21	202.00	...	-52.00	31 of 33 - Option sale to close-call C 25
	1.000	150.00	10/26/21	202.00	...	-52.00	32 of 33 - Option sale to close-call C 25
	2.000	299.99	10/26/21	248.00	...	51.99	33 of 33 - Option sale to close-call C 25
10/26/21	100.000	14,999.72	Various	49,501.81	...	-34,502.09	Total of 33 transactions
	58 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	122.98	10/25/21	480.00	357.02 W	0.00	1 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	480.00	357.02 W	0.00	2 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	480.01	357.03 W	0.00	3 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	480.01	357.03 W	0.00	4 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	827.01	704.03 W	0.00	5 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	827.02	704.04 W	0.00	6 of 58 - Option sale to close-call C 25
	1.000	122.98	10/25/21	827.03	704.05 W	0.00	7 of 58 - Option sale to close-call C 25
	1.000	122.99	10/25/21	827.02	704.03 W	0.00	8 of 58 - Option sale to close-call C 25
	1.000	123.00	10/25/21	827.03	704.03 W	0.00	9 of 58 - Option sale to close-call C 25
	2.000	245.99	10/25/21	960.01	714.02 W	0.00	10 of 58 - Option sale to close-call C 25
	2.000	245.99	10/25/21	1,654.02	1,408.03 W	0.00	11 of 58 - Option sale to close-call C 25
	2.000	246.00	10/25/21	940.00	694.00 W	0.00	12 of 58 - Option sale to close-call C 25
	3.000	368.99	10/25/21	2,481.03	2,112.04 W	0.00	13 of 58 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
	4.000	491.98	10/25/21	1,880.00	1,388.02 W	0.00	14 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	491.98	10/25/21	1,920.02	1,428.04 W	0.00	15 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	491.99	10/25/21	1,880.00	1,388.01 W	0.00	16 of 58 - Option sale to close-call C <sub>25</sub>
	10.000	1,229.97	10/25/21	4,800.05	3,570.08 W	0.00	17 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	122.98	10/26/21	1,064.04	941.06 W	0.00	18 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	122.99	10/26/21	1,064.05	941.06 W	0.00	19 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	123.00	10/26/21	707.00	584.00 W	0.00	20 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	123.00	10/26/21	1,064.03	941.03 W	0.00	21 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	123.00	10/26/21	1,064.03	941.03 W	0.00	22 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	123.00	10/26/21	1,064.03	941.03 W	0.00	23 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	127.98	10/26/21	360.00	232.02 W	0.00	24 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	127.98	10/26/21	1,235.03	1,107.05 W	0.00	25 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	128.00	10/26/21	175.00	47.00 W	0.00	26 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	128.00	10/26/21	175.00	47.00 W	0.00	27 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	128.00	10/26/21	175.00	47.00 W	0.00	28 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	128.00	10/26/21	445.02	317.02 W	0.00	29 of 58 - Option sale to close-call C <sub>25</sub>
	1.000	128.00	10/26/21	804.00	676.00 W	0.00	30 of 58 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
	1.000	128.00	10/26/21	1,235.03	1,107.03 W	0.00	31 of 58 - Option sale to close-call C 25
	1.000	128.00	10/26/21	1,235.03	1,107.03 W	0.00	32 of 58 - Option sale to close-call C 25
	1.000	128.00	10/26/21	1,235.06	1,107.06 W	0.00	33 of 58 - Option sale to close-call C 25
	1.000	128.00	10/26/21	1,235.06	1,107.06 W	0.00	34 of 58 - Option sale to close-call C 25
	1.000	128.00	10/26/21	1,320.05	1,192.05 W	0.00	35 of 58 - Option sale to close-call C 25
	2.000	245.99	10/26/21	1,414.00	1,168.01 W	0.00	36 of 58 - Option sale to close-call C 25
	2.000	246.00	10/26/21	2,128.03	1,882.03 W	0.00	37 of 58 - Option sale to close-call C 25
	2.000	255.99	10/26/21	1,608.01	1,352.02 W	0.00	38 of 58 - Option sale to close-call C 25
	2.000	255.99	10/26/21	2,470.03	2,214.04 W	0.00	39 of 58 - Option sale to close-call C 25
	3.000	368.98	10/26/21	1,080.00	711.02 W	0.00	40 of 58 - Option sale to close-call C 25
	3.000	368.99	10/26/21	2,121.02	1,752.03 W	0.00	41 of 58 - Option sale to close-call C 25
	3.000	368.99	10/26/21	3,192.04	2,823.05 W	0.00	42 of 58 - Option sale to close-call C 25
	3.000	383.99	10/26/21	525.00	141.01 W	0.00	43 of 58 - Option sale to close-call C 25
	3.000	383.99	10/26/21	1,350.02	966.03 W	0.00	44 of 58 - Option sale to close-call C 25
	3.000	383.99	10/26/21	2,412.03	2,028.04 W	0.00	45 of 58 - Option sale to close-call C 25
	3.000	383.99	10/26/21	3,705.05	3,321.06 W	0.00	46 of 58 - Option sale to close-call C 25
	4.000	491.99	10/26/21	2,828.01	2,336.02 W	0.00	47 of 58 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 10/29/2021 CALL \$247.50 / CUSIP: / Symbol: (cont'd)							
	4.000	491.99	10/26/21	2,868.03	2,376.04 W	0.00	48 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	511.99	10/26/21	700.00	188.01 W	0.00	49 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	511.99	10/26/21	700.00	188.01 W	0.00	50 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	511.99	10/26/21	3,216.02	2,704.03 W	0.00	51 of 58 - Option sale to close-call C <sub>25</sub>
	4.000	511.99	10/26/21	3,552.04	3,040.05 W	0.00	52 of 58 - Option sale to close-call C <sub>25</sub>
	6.000	737.98	10/26/21	2,160.00	1,422.02 W	0.00	53 of 58 - Option sale to close-call C <sub>25</sub>
	6.000	737.98	10/26/21	4,302.05	3,564.07 W	0.00	54 of 58 - Option sale to close-call C <sub>25</sub>
	6.000	767.98	10/26/21	2,700.02	1,932.04 W	0.00	55 of 58 - Option sale to close-call C <sub>25</sub>
	6.000	767.98	10/26/21	5,328.07	4,560.09 W	0.00	56 of 58 - Option sale to close-call C <sub>25</sub>
	15.000	1,919.95	10/26/21	2,625.00	705.05 W	0.00	57 of 58 - Option sale to close-call C <sub>25</sub>
	29.000	3,711.92	10/26/21	6,177.00	2,465.08 W	0.00	58 of 58 - Option sale to close-call C <sub>25</sub>
10/26/21	179.000	22,516.29	Various	97,388.19	74,871.90 W	0.00	Total of 58 transactions
	<b>Security total:</b>	<b>60,215.81</b>		<b>169,372.00</b>	<b>75,072.00 W</b>	<b>-34,084.19</b>	
PYPL 11/12/2021 CALL \$205.00 / CUSIP: / Symbol:							
13 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	10.000	3,249.96	11/09/21	4,900.04	...	-1,650.08	1 of 13 - Option sale to close-call C <sub>25</sub>
	3.000	974.99	11/09/21	1,995.03	...	-1,020.04	2 of 13 - Option sale to close-call C <sub>25</sub>
	5.000	1,624.98	11/09/21	2,450.02	...	-825.04	3 of 13 - Option sale to close-call C <sub>25</sub>
	5.000	1,624.98	11/09/21	2,425.02	...	-800.04	4 of 13 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$205.00 / CUSIP: / Symbol: (cont'd)							
	2.000	649.99	11/09/21	1,330.03	...	-680.04	5 of 13 - Option sale to close-call C 25
	3.000	974.99	11/09/21	1,455.01	...	-480.02	6 of 13 - Option sale to close-call C 25
	3.000	974.99	11/09/21	1,452.01	...	-477.02	7 of 13 - Option sale to close-call C 25
	3.000	974.99	11/09/21	1,425.01	...	-450.02	8 of 13 - Option sale to close-call C 25
	2.000	649.99	11/09/21	970.01	...	-320.02	9 of 13 - Option sale to close-call C 25
	2.000	649.99	11/09/21	950.01	...	-300.02	10 of 13 - Option sale to close-call C 25
	1.000	324.99	11/09/21	485.00	...	-160.01	11 of 13 - Option sale to close-call C 25
	1.000	325.00	11/09/21	485.00	...	-160.00	12 of 13 - Option sale to close-call C 25
	10.000	3,249.95	11/09/21	3,100.00	...	149.95	13 of 13 - Option sale to close-call C 25
11/09/21	50.000	16,249.79	Various	23,422.19	...	-7,172.40	Total of 13 transactions
	12 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	1.000	325.00	11/09/21	500.00	175.00 W	0.00	1 of 12 - Option sale to close-call C 25
	1.000	325.00	11/09/21	500.00	175.00 W	0.00	2 of 12 - Option sale to close-call C 25
	2.000	649.98	11/09/21	1,010.00	360.02 W	0.00	3 of 12 - Option sale to close-call C 25
	2.000	649.99	11/09/21	1,000.00	350.01 W	0.00	4 of 12 - Option sale to close-call C 25
	2.000	649.99	11/09/21	1,360.02	710.03 W	0.00	5 of 12 - Option sale to close-call C 25
	3.000	974.98	11/09/21	1,515.00	540.02 W	0.00	6 of 12 - Option sale to close-call C 25
	3.000	974.99	11/09/21	1,497.00	522.01 W	0.00	7 of 12 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$205.00 / CUSIP: / Symbol: (cont'd)							
	3.000	974.99	11/09/21	1,500.00	525.01 W	0.00	8 of 12 - Option sale to close-call C 25
	3.000	974.99	11/09/21	2,040.02	1,065.03 W	0.00	9 of 12 - Option sale to close-call C 25
	5.000	1,624.98	11/09/21	2,450.00	825.02 W	0.00	10 of 12 - Option sale to close-call C 25
	5.000	1,624.98	11/09/21	2,500.00	875.02 W	0.00	11 of 12 - Option sale to close-call C 25
	15.000	4,874.94	11/09/21	7,575.00	2,700.06 W	0.00	12 of 12 - Option sale to close-call C 25
11/09/21	45.000	14,624.81	Various	23,447.04	8,822.23 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>30,874.60</b>		<b>46,869.23</b>	<b>8,822.23 W</b>	<b>-7,172.40</b>	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol:							
	89 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	2.000	73.99	11/12/21	154.11	...	-80.12	1 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	95.03	...	-58.03	2 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	95.02	...	-58.02	3 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	95.02	...	-58.02	4 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	90.04	...	-53.04	5 of 89 - Option sale to close-call C 25
	4.000	147.99	11/12/21	200.08	...	-52.09	6 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	89.05	...	-52.05	7 of 89 - Option sale to close-call C 25
	3.000	110.99	11/12/21	162.08	...	-51.09	8 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	87.05	...	-50.05	9 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	87.03	...	-50.03	10 of 89 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	37.00	11/12/21	82.05	...	-45.05	11 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.05	...	-45.05	12 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	13 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	14 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	15 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	16 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	17 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.04	...	-45.04	18 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.03	...	-45.03	19 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.03	...	-45.03	20 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.03	...	-45.03	21 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.02	...	-45.02	22 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	82.01	...	-45.01	23 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	77.06	...	-40.06	24 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	77.06	...	-40.06	25 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	77.05	...	-40.05	26 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	77.05	...	-40.05	27 of 89 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	37.00	11/12/21	77.05	...	-40.05	28 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.04	...	-40.04	29 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.04	...	-40.04	30 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.04	...	-40.04	31 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.04	...	-40.04	32 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.04	...	-40.04	33 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.03	...	-40.03	34 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.03	...	-40.03	35 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.03	...	-40.03	36 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.02	...	-40.02	37 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.02	...	-40.02	38 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	77.02	...	-40.02	39 of 89 - Option sale to close-call C 25
	3.000	110.99	11/12/21	147.06	...	-36.07	40 of 89 - Option sale to close-call C 25
	3.000	110.99	11/12/21	141.07	...	-30.08	41 of 89 - Option sale to close-call C 25
	2.000	73.99	11/12/21	100.06	...	-26.07	42 of 89 - Option sale to close-call C 25
	2.000	73.99	11/12/21	98.04	...	-24.05	43 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	61.02	...	-24.02	44 of 89 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	37.00	11/12/21	50.05	...	-13.05	45 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.04	...	-13.04	46 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.03	...	-13.03	47 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.03	...	-13.03	48 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.02	...	-13.02	49 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.02	...	-13.02	50 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.01	...	-13.01	51 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	50.00	...	-13.00	52 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	49.02	...	-12.02	53 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	47.03	...	-10.03	54 of 89 - Option sale to close-call C 25
	1.000	36.98	11/12/21	34.00	...	2.98	55 of 89 - Option sale to close-call C 25
	1.000	36.98	11/12/21	34.00	...	2.98	56 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	34.02	...	2.98	57 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	34.02	...	2.98	58 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	34.02	...	2.98	59 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	34.00	...	3.00	60 of 89 - Option sale to close-call C 25
	1.000	37.00	11/12/21	34.00	...	3.00	61 of 89 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	2.000	74.00	11/12/21	68.02	...	5.98	62 of 89 - Option sale to close-call C <sub>25</sub>
	2.000	74.00	11/12/21	68.01	...	5.99	63 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.01	...	6.99	64 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.01	...	6.99	65 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.01	...	6.99	66 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.00	...	7.00	67 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.00	...	7.00	68 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.00	...	7.00	69 of 89 - Option sale to close-call C <sub>25</sub>
	1.000	37.00	11/12/21	30.00	...	7.00	70 of 89 - Option sale to close-call C <sub>25</sub>
	3.000	110.99	11/12/21	102.02	...	8.97	71 of 89 - Option sale to close-call C <sub>25</sub>
	3.000	110.99	11/12/21	102.02	...	8.97	72 of 89 - Option sale to close-call C <sub>25</sub>
	3.000	110.99	11/12/21	102.02	...	8.97	73 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.98	11/12/21	136.01	...	11.97	74 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.99	11/12/21	136.02	...	11.97	75 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.99	11/12/21	136.02	...	11.97	76 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.99	11/12/21	136.01	...	11.98	77 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.99	11/12/21	136.01	...	11.98	78 of 89 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	2.000	73.99	11/12/21	60.02	...	13.97	79 of 89 - Option sale to close-call C <sub>25</sub>
	5.000	184.98	11/12/21	170.02	...	14.96	80 of 89 - Option sale to close-call C <sub>25</sub>
	5.000	184.99	11/12/21	170.02	...	14.97	81 of 89 - Option sale to close-call C <sub>25</sub>
	5.000	184.99	11/12/21	170.01	...	14.98	82 of 89 - Option sale to close-call C <sub>25</sub>
	9.000	332.98	11/12/21	306.03	...	26.95	83 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.98	11/12/21	120.03	...	27.95	84 of 89 - Option sale to close-call C <sub>25</sub>
	4.000	147.98	11/12/21	120.03	...	27.95	85 of 89 - Option sale to close-call C <sub>25</sub>
	11.000	406.98	11/12/21	374.03	...	32.95	86 of 89 - Option sale to close-call C <sub>25</sub>
	12.000	443.97	11/12/21	408.03	...	35.94	87 of 89 - Option sale to close-call C <sub>25</sub>
	12.000	443.95	11/12/21	360.07	...	83.88	88 of 89 - Option sale to close-call C <sub>25</sub>
	18.000	665.91	11/12/21	432.00	...	233.91	89 of 89 - Option sale to close-call C <sub>25</sub>
11/12/21	200.000	7,399.50	Various	8,757.63	...	-1,358.13	Total of 89 transactions
	434 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	26.98	11/12/21	30.00	3.02 W	0.00	1 of 434 - Option sale to close-call C <sub>25</sub>
	1.000	26.98	11/12/21	30.00	3.02 W	0.00	2 of 434 - Option sale to close-call C <sub>25</sub>
	1.000	26.98	11/12/21	30.00	3.02 W	0.00	3 of 434 - Option sale to close-call C <sub>25</sub>
	1.000	26.98	11/12/21	49.02	22.04 W	0.00	4 of 434 - Option sale to close-call C <sub>25</sub>
	1.000	26.98	11/12/21	49.02	22.04 W	0.00	5 of 434 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	26.99	11/12/21	49.00	22.01 W	0.00	6 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	49.00	22.01 W	0.00	7 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	49.02	22.03 W	0.00	8 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	50.02	23.03 W	0.00	9 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	53.01	26.02 W	0.00	10 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	53.02	26.03 W	0.00	11 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	53.04	26.05 W	0.00	12 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	77.01	50.02 W	0.00	13 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	77.03	50.04 W	0.00	14 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	77.04	50.05 W	0.00	15 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	77.05	50.06 W	0.00	16 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	78.02	51.03 W	0.00	17 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	78.03	51.04 W	0.00	18 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	78.03	51.04 W	0.00	19 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	26.99	11/12/21	87.02	60.03 W	0.00	20 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	27.00	11/12/21	49.00	22.00 W	0.00	21 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	27.00	11/12/21	49.02	22.02 W	0.00	22 of 434 - Option sale to close-call C <sup>25</sup>
	1.000	27.00	11/12/21	49.02	22.02 W	0.00	23 of 434 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	1.000	27.00	11/12/21	49.02	22.02 W	0.00	24 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	53.00	26.00 W	0.00	25 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	53.01	26.01 W	0.00	26 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	53.02	26.02 W	0.00	27 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	53.03	26.03 W	0.00	28 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	53.04	26.04 W	0.00	29 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	57.02	30.02 W	0.00	30 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.02	50.02 W	0.00	31 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.02	50.02 W	0.00	32 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.03	50.03 W	0.00	33 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.03	50.03 W	0.00	34 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.03	50.03 W	0.00	35 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.04	50.04 W	0.00	36 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.04	50.04 W	0.00	37 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.04	50.04 W	0.00	38 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.04	50.04 W	0.00	39 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.05	50.05 W	0.00	40 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	27.00	11/12/21	77.05	50.05 W	0.00	41 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	27.00	11/12/21	77.06	50.06 W	0.00	42 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.01	51.01 W	0.00	43 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.02	51.02 W	0.00	44 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.03	51.03 W	0.00	45 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.03	51.03 W	0.00	46 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.04	51.04 W	0.00	47 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.04	51.04 W	0.00	48 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.04	51.04 W	0.00	49 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.04	51.04 W	0.00	50 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.05	51.05 W	0.00	51 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	78.05	51.05 W	0.00	52 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	85.05	58.05 W	0.00	53 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	87.05	60.05 W	0.00	54 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	89.04	62.04 W	0.00	55 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	93.04	66.04 W	0.00	56 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	94.02	67.02 W	0.00	57 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	94.02	67.02 W	0.00	58 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	94.03	67.03 W	0.00	59 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	27.00	11/12/21	98.02	71.02 W	0.00	60 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	98.02	71.02 W	0.00	61 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.00	11/12/21	98.03	71.03 W	0.00	62 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	27.99	11/12/21	34.00	6.01 W	0.00	63 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.00	6.00 W	0.00	64 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.00	6.00 W	0.00	65 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.00	6.00 W	0.00	66 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.00	6.00 W	0.00	67 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.01	6.01 W	0.00	68 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	34.01	6.01 W	0.00	69 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	53.02	25.02 W	0.00	70 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.02	53.02 W	0.00	71 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.02	53.02 W	0.00	72 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.02	53.02 W	0.00	73 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.03	53.03 W	0.00	74 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.03	53.03 W	0.00	75 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.03	53.03 W	0.00	76 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.04	53.04 W	0.00	77 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	28.00	11/12/21	81.04	53.04 W	0.00	78 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.04	53.04 W	0.00	79 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.04	53.04 W	0.00	80 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.04	53.04 W	0.00	81 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.05	53.05 W	0.00	82 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.05	53.05 W	0.00	83 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.05	53.05 W	0.00	84 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.06	53.06 W	0.00	85 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	81.06	53.06 W	0.00	86 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	91.03	63.03 W	0.00	87 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	28.00	11/12/21	91.05	63.05 W	0.00	88 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	47.00	13.02 W	0.00	89 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	82.00	48.02 W	0.00	90 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	82.02	48.04 W	0.00	91 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	82.02	48.04 W	0.00	92 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	85.01	51.03 W	0.00	93 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.98	11/12/21	85.03	51.05 W	0.00	94 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.99	11/12/21	50.01	16.02 W	0.00	95 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 144486867
2021 1099-B* OMB No. 1545-0715	(continued)	03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.99	11/12/21	61.01	27.02 W	0.00	96 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	79.00	45.01 W	0.00	97 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	79.01	45.02 W	0.00	98 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	79.01	45.02 W	0.00	99 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	79.02	45.03 W	0.00	100 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.00	48.01 W	0.00	101 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.01	48.02 W	0.00	102 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.01	48.02 W	0.00	103 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.01	48.02 W	0.00	104 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.01	48.02 W	0.00	105 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.02	48.03 W	0.00	106 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.02	48.03 W	0.00	107 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	82.03	48.04 W	0.00	108 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	85.02	51.03 W	0.00	109 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	85.02	51.03 W	0.00	110 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	85.02	51.03 W	0.00	111 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	85.02	51.03 W	0.00	112 of 434 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/12/21	85.03	51.04 W	0.00	113 of 434 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.99	11/12/21	88.03	54.04 W	0.00	114 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.99	11/12/21	88.03	54.04 W	0.00	115 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	33.99	11/12/21	91.04	57.05 W	0.00	116 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	47.00	13.00 W	0.00	117 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	47.00	13.00 W	0.00	118 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	47.00	13.00 W	0.00	119 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	50.00	16.00 W	0.00	120 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	50.00	16.00 W	0.00	121 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	50.00	16.00 W	0.00	122 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	50.02	16.02 W	0.00	123 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	53.00	19.00 W	0.00	124 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	53.00	19.00 W	0.00	125 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	53.00	19.00 W	0.00	126 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	53.02	19.02 W	0.00	127 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	53.02	19.02 W	0.00	128 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	58.01	24.01 W	0.00	129 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	64.02	30.02 W	0.00	130 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	67.02	33.02 W	0.00	131 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	1.000	34.00	11/12/21	79.00	45.00 W	0.00	132 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.00	45.00 W	0.00	133 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	134 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	135 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	136 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	137 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	138 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.01	45.01 W	0.00	139 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	140 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	141 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	142 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	143 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	144 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.02	45.02 W	0.00	145 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.03	45.03 W	0.00	146 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.03	45.03 W	0.00	147 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	79.03	45.03 W	0.00	148 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	34.00	11/12/21	82.01	48.01 W	0.00	149 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/12/21	82.01	48.01 W	0.00	150 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.01	48.01 W	0.00	151 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.01	48.01 W	0.00	152 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	153 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	154 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	155 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	156 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	157 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.02	48.02 W	0.00	158 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.03	48.03 W	0.00	159 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.03	48.03 W	0.00	160 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	82.03	48.03 W	0.00	161 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	84.04	50.04 W	0.00	162 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.01	51.01 W	0.00	163 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.01	51.01 W	0.00	164 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.01	51.01 W	0.00	165 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.01	51.01 W	0.00	166 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	167 of 434 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	168 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	169 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	170 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	171 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	172 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	173 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.02	51.02 W	0.00	174 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.03	51.03 W	0.00	175 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.03	51.03 W	0.00	176 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.03	51.03 W	0.00	177 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.04	51.04 W	0.00	178 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.04	51.04 W	0.00	179 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	85.04	51.04 W	0.00	180 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	86.04	52.04 W	0.00	181 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	87.04	53.04 W	0.00	182 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	88.01	54.01 W	0.00	183 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	88.01	54.01 W	0.00	184 of 434 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/12/21	88.01	54.01 W	0.00	185 of 434 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/12/21	88.01	54.01 W	0.00	186 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	187 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	188 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	189 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	190 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	191 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	192 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	193 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.02	54.02 W	0.00	194 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	195 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	196 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	197 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	198 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	199 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.03	54.03 W	0.00	200 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.04	54.04 W	0.00	201 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.04	54.04 W	0.00	202 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.04	54.04 W	0.00	203 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/12/21	88.04	54.04 W	0.00	204 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	88.05	54.05 W	0.00	205 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	89.02	55.02 W	0.00	206 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	89.02	55.02 W	0.00	207 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	89.03	55.03 W	0.00	208 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	89.04	55.04 W	0.00	209 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	90.04	56.04 W	0.00	210 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	91.02	57.02 W	0.00	211 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	92.02	58.02 W	0.00	212 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	92.02	58.02 W	0.00	213 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	92.03	58.03 W	0.00	214 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	92.04	58.04 W	0.00	215 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	93.04	59.04 W	0.00	216 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	95.02	61.02 W	0.00	217 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	95.02	61.02 W	0.00	218 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	95.03	61.03 W	0.00	219 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	95.04	61.04 W	0.00	220 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	98.02	64.02 W	0.00	221 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.00	11/12/21	98.02	64.02 W	0.00	222 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	34.00	11/12/21	98.03	64.03 W	0.00	223 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.98	11/12/21	84.03	47.05 W	0.00	224 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.01	47.02 W	0.00	225 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.01	47.02 W	0.00	226 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.02	47.03 W	0.00	227 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.02	47.03 W	0.00	228 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.02	47.03 W	0.00	229 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.02	47.03 W	0.00	230 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.02	47.03 W	0.00	231 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.03	47.04 W	0.00	232 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.03	47.04 W	0.00	233 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.03	47.04 W	0.00	234 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.03	47.04 W	0.00	235 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.04	47.05 W	0.00	236 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.04	47.05 W	0.00	237 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.04	47.05 W	0.00	238 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	36.99	11/12/21	84.04	47.05 W	0.00	239 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	1.000	36.99	11/12/21	84.05	47.06 W	0.00	240 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	36.99	11/12/21	91.04	54.05 W	0.00	241 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	63.02	26.02 W	0.00	242 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.01	47.01 W	0.00	243 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.01	47.01 W	0.00	244 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.02	47.02 W	0.00	245 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.02	47.02 W	0.00	246 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.03	47.03 W	0.00	247 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.03	47.03 W	0.00	248 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.03	47.03 W	0.00	249 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.04	47.04 W	0.00	250 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.04	47.04 W	0.00	251 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.04	47.04 W	0.00	252 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.04	47.04 W	0.00	253 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	37.00	11/12/21	84.04	47.04 W	0.00	254 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	38.98	11/12/21	79.02	40.04 W	0.00	255 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	39.98	11/12/21	54.00	14.02 W	0.00	256 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	39.98	11/12/21	54.00	14.02 W	0.00	257 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	39.98	11/12/21	54.00	14.02 W	0.00	258 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.98	11/12/21	54.01	14.03 W	0.00	259 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.98	11/12/21	61.00	21.02 W	0.00	260 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.98	11/12/21	61.01	21.03 W	0.00	261 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.98	11/12/21	61.01	21.03 W	0.00	262 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	47.00	7.01 W	0.00	263 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	61.00	21.01 W	0.00	264 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	61.00	21.01 W	0.00	265 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	61.00	21.01 W	0.00	266 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	61.00	21.01 W	0.00	267 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	61.00	21.01 W	0.00	268 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	68.01	28.02 W	0.00	269 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	68.02	28.03 W	0.00	270 of 434 - Option sale to close-call <sup>25</sup>
	1.000	39.99	11/12/21	75.03	35.04 W	0.00	271 of 434 - Option sale to close-call <sup>25</sup>
	1.000	40.00	11/12/21	47.00	7.00 W	0.00	272 of 434 - Option sale to close-call <sup>25</sup>
	1.000	40.00	11/12/21	47.00	7.00 W	0.00	273 of 434 - Option sale to close-call <sup>25</sup>
	1.000	40.00	11/12/21	47.00	7.00 W	0.00	274 of 434 - Option sale to close-call <sup>25</sup>
	1.000	40.00	11/12/21	47.00	7.00 W	0.00	275 of 434 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	276 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	277 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	278 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	279 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	280 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	281 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	282 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.00	14.00 W	0.00	283 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	54.01	14.01 W	0.00	284 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.00	21.00 W	0.00	285 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.00	21.00 W	0.00	286 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.00	21.00 W	0.00	287 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.01	21.01 W	0.00	288 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.02	21.02 W	0.00	289 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.02	21.02 W	0.00	290 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.02	21.02 W	0.00	291 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	61.03	21.03 W	0.00	292 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.00	28.00 W	0.00	293 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	1.000	40.00	11/12/21	68.00	28.00 W	0.00	294 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.00	28.00 W	0.00	295 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	296 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	297 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	298 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	299 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	300 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	301 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	302 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.01	28.01 W	0.00	303 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.02	28.02 W	0.00	304 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.02	28.02 W	0.00	305 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.02	28.02 W	0.00	306 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.03	28.03 W	0.00	307 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.03	28.03 W	0.00	308 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	68.03	28.03 W	0.00	309 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	75.00	35.00 W	0.00	310 of 434 - Option sale to close-call <sup>25</sup>	C
	1.000	40.00	11/12/21	75.00	35.00 W	0.00	311 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	11/12/21	75.00	35.00 W	0.00	312 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	313 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	314 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	315 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	316 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	317 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	318 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	319 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.01	35.01 W	0.00	320 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.02	35.02 W	0.00	321 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.02	35.02 W	0.00	322 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.02	35.02 W	0.00	323 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.02	35.02 W	0.00	324 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.03	35.03 W	0.00	325 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.03	35.03 W	0.00	326 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	75.03	35.03 W	0.00	327 of 434 - Option sale to close-call <sup>25</sup> C
	1.000	40.00	11/12/21	82.04	42.04 W	0.00	328 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	53.98	11/12/21	60.00	6.02 W	0.00	329 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	2.000	53.99	11/12/21	60.00	6.01 W	0.00	330 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	53.99	11/12/21	98.04	44.05 W	0.00	331 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	53.99	11/12/21	106.05	52.06 W	0.00	332 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	53.99	11/12/21	154.09	100.10 W	0.00	333 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	54.00	11/12/21	98.04	44.04 W	0.00	334 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	55.99	11/12/21	68.01	12.02 W	0.00	335 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	55.99	11/12/21	162.10	106.11 W	0.00	336 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	56.00	11/12/21	106.04	50.04 W	0.00	337 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	94.01	26.02 W	0.00	338 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	94.01	26.02 W	0.00	339 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	100.02	32.03 W	0.00	340 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	100.02	32.03 W	0.00	341 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	106.03	38.04 W	0.00	342 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	106.03	38.04 W	0.00	343 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	158.04	90.05 W	0.00	344 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	158.05	90.06 W	0.00	345 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	164.04	96.05 W	0.00	346 of 434 - Option sale to close-call <sup>25</sup> C
	2.000	67.99	11/12/21	164.05	96.06 W	0.00	347 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	2.000	67.99	11/12/21	164.06	96.07 W	0.00	348 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	170.05	102.06 W	0.00	349 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	170.05	102.06 W	0.00	350 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	170.06	102.07 W	0.00	351 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	176.06	108.07 W	0.00	352 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	176.06	108.07 W	0.00	353 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	176.07	108.08 W	0.00	354 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	67.99	11/12/21	176.07	108.08 W	0.00	355 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	68.00	11/12/21	158.03	90.03 W	0.00	356 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	68.00	11/12/21	170.07	102.07 W	0.00	357 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	73.99	11/12/21	168.08	94.09 W	0.00	358 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	94.00	14.01 W	0.00	359 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	108.01	28.02 W	0.00	360 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	122.01	42.02 W	0.00	361 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	122.01	42.02 W	0.00	362 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	122.02	42.03 W	0.00	363 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	136.02	56.03 W	0.00	364 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	79.99	11/12/21	136.03	56.04 W	0.00	365 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	2.000	79.99	11/12/21	150.04	70.05 W	0.00	366 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	80.00	11/12/21	94.00	14.00 W	0.00	367 of 434 - Option sale to close-call <sup>25</sup>	C
	2.000	80.00	11/12/21	150.03	70.03 W	0.00	368 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	80.98	11/12/21	90.00	9.02 W	0.00	369 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	80.98	11/12/21	90.00	9.02 W	0.00	370 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	80.99	11/12/21	147.04	66.05 W	0.00	371 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	83.99	11/12/21	159.05	75.06 W	0.00	372 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.98	11/12/21	159.02	57.04 W	0.00	373 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.99	11/12/21	150.01	48.02 W	0.00	374 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.99	11/12/21	237.05	135.06 W	0.00	375 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.99	11/12/21	237.05	135.06 W	0.00	376 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.99	11/12/21	237.06	135.07 W	0.00	377 of 434 - Option sale to close-call <sup>25</sup>	C
	3.000	101.99	11/12/21	246.06	144.07 W	0.00	378 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	107.98	11/12/21	120.00	12.02 W	0.00	379 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	107.98	11/12/21	120.00	12.02 W	0.00	380 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	107.98	11/12/21	120.00	12.02 W	0.00	381 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	107.98	11/12/21	196.05	88.07 W	0.00	382 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	107.99	11/12/21	212.07	104.08 W	0.00	383 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	4.000	111.98	11/12/21	136.01	24.03 W	0.00	384 of 434 - Option sale to close-call <sup>25</sup> C
	4.000	111.98	11/12/21	136.01	24.03 W	0.00	385 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.98	11/12/21	183.02	63.04 W	0.00	386 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.98	11/12/21	183.02	63.04 W	0.00	387 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.98	11/12/21	183.02	63.04 W	0.00	388 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	162.01	42.02 W	0.00	389 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	183.02	63.03 W	0.00	390 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	204.03	84.04 W	0.00	391 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	204.03	84.04 W	0.00	392 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	204.04	84.05 W	0.00	393 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	204.04	84.05 W	0.00	394 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	204.04	84.05 W	0.00	395 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	225.04	105.05 W	0.00	396 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	225.04	105.05 W	0.00	397 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	225.05	105.06 W	0.00	398 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	225.05	105.06 W	0.00	399 of 434 - Option sale to close-call <sup>25</sup> C
	3.000	119.99	11/12/21	225.05	105.06 W	0.00	400 of 434 - Option sale to close-call <sup>25</sup> C
	5.000	134.98	11/12/21	150.00	15.02 W	0.00	401 of 434 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)								
	5.000	134.98	11/12/21	150.00	15.02 W	0.00	402 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.98	11/12/21	188.02	52.04 W	0.00	403 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.98	11/12/21	200.02	64.04 W	0.00	404 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.98	11/12/21	200.04	64.06 W	0.00	405 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.98	11/12/21	212.06	76.08 W	0.00	406 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.99	11/12/21	188.01	52.02 W	0.00	407 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.99	11/12/21	188.01	52.02 W	0.00	408 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	135.99	11/12/21	212.04	76.05 W	0.00	409 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	159.98	11/12/21	216.01	56.03 W	0.00	410 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	159.98	11/12/21	216.01	56.03 W	0.00	411 of 434 - Option sale to close-call <sup>25</sup>	C
	4.000	159.99	11/12/21	244.03	84.04 W	0.00	412 of 434 - Option sale to close-call <sup>25</sup>	C
	6.000	161.97	11/12/21	300.12	138.15 W	0.00	413 of 434 - Option sale to close-call <sup>25</sup>	C
	7.000	237.98	11/12/21	308.00	70.02 W	0.00	414 of 434 - Option sale to close-call <sup>25</sup>	C
	7.000	237.98	11/12/21	357.04	119.06 W	0.00	415 of 434 - Option sale to close-call <sup>25</sup>	C
	7.000	237.98	11/12/21	378.06	140.08 W	0.00	416 of 434 - Option sale to close-call <sup>25</sup>	C
	7.000	237.98	11/12/21	399.08	161.10 W	0.00	417 of 434 - Option sale to close-call <sup>25</sup>	C
	7.000	237.98	11/12/21	420.10	182.12 W	0.00	418 of 434 - Option sale to close-call <sup>25</sup>	C
	6.000	239.99	11/12/21	324.02	84.03 W	0.00	419 of 434 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 11/12/2021 CALL \$210.00 / CUSIP: / Symbol: (cont'd)							
	9.000	242.97	11/12/21	270.00	27.03 W	0.00	420 of 434 - Option sale to close-call <sup>25</sup> C
	7.000	258.98	11/12/21	392.12	133.14 W	0.00	421 of 434 - Option sale to close-call <sup>25</sup> C
	7.000	279.96	11/12/21	329.00	49.04 W	0.00	422 of 434 - Option sale to close-call <sup>25</sup> C
	7.000	279.97	11/12/21	378.02	98.05 W	0.00	423 of 434 - Option sale to close-call <sup>25</sup> C
	7.000	279.98	11/12/21	329.00	49.02 W	0.00	424 of 434 - Option sale to close-call <sup>25</sup> C
	7.000	279.98	11/12/21	329.00	49.02 W	0.00	425 of 434 - Option sale to close-call <sup>25</sup> C
	11.000	296.97	11/12/21	330.00	33.03 W	0.00	426 of 434 - Option sale to close-call <sup>25</sup> C
	11.000	296.97	11/12/21	330.00	33.03 W	0.00	427 of 434 - Option sale to close-call <sup>25</sup> C
	12.000	323.97	11/12/21	360.00	36.03 W	0.00	428 of 434 - Option sale to close-call <sup>25</sup> C
	12.000	323.97	11/12/21	360.00	36.03 W	0.00	429 of 434 - Option sale to close-call <sup>25</sup> C
	12.000	323.97	11/12/21	360.00	36.03 W	0.00	430 of 434 - Option sale to close-call <sup>25</sup> C
	12.000	335.96	11/12/21	408.03	72.07 W	0.00	431 of 434 - Option sale to close-call <sup>25</sup> C
	14.000	377.96	11/12/21	420.00	42.04 W	0.00	432 of 434 - Option sale to close-call <sup>25</sup> C
	13.000	441.96	11/12/21	572.00	130.04 W	0.00	433 of 434 - Option sale to close-call <sup>25</sup> C
	16.000	639.96	11/12/21	752.00	112.04 W	0.00	434 of 434 - Option sale to close-call <sup>25</sup> C
11/12/21	765.000	25,602.36	Various	46,274.08	20,671.72 W	0.00	Total of 434 transactions
	<b>Security total:</b>	<b>33,001.86</b>		<b>55,031.71</b>	<b>20,671.72 W</b>	<b>-1,358.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol:							
<i>51 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
12.000		1,799.97	11/12/21	2,652.06	...	-852.09	1 of 51 - Option sale to close-call C 25
15.000		2,249.96	11/12/21	2,865.04	...	-615.08	2 of 51 - Option sale to close-call C 25
8.000		1,199.97	11/12/21	1,720.03	...	-520.06	3 of 51 - Option sale to close-call C 25
8.000		1,199.98	11/12/21	1,720.02	...	-520.04	4 of 51 - Option sale to close-call C 25
7.000		1,049.98	11/12/21	1,547.04	...	-497.06	5 of 51 - Option sale to close-call C 25
7.000		1,049.98	11/12/21	1,505.02	...	-455.04	6 of 51 - Option sale to close-call C 25
7.000		1,049.98	11/12/21	1,505.02	...	-455.04	7 of 51 - Option sale to close-call C 25
6.000		899.98	11/12/21	1,290.02	...	-390.04	8 of 51 - Option sale to close-call C 25
5.000		749.99	11/12/21	1,075.02	...	-325.03	9 of 51 - Option sale to close-call C 25
4.000		599.98	11/12/21	888.03	...	-288.05	10 of 51 - Option sale to close-call C 25
4.000		599.98	11/12/21	860.01	...	-260.03	11 of 51 - Option sale to close-call C 25
3.000		449.98	11/12/21	690.02	...	-240.04	12 of 51 - Option sale to close-call C 25
3.000		461.99	11/12/21	690.03	...	-228.04	13 of 51 - Option sale to close-call C 25
3.000		449.99	11/12/21	666.02	...	-216.03	14 of 51 - Option sale to close-call C 25
5.000		749.98	11/12/21	955.02	...	-205.04	15 of 51 - Option sale to close-call C 25
5.000		749.99	11/12/21	955.01	...	-205.02	16 of 51 - Option sale to close-call C 25
3.000		449.99	11/12/21	627.03	...	-177.04	17 of 51 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	2.000	299.99	11/12/21	460.02	...	-160.03	18 of 51 - Option sale to close-call C <sub>25</sub>
	2.000	307.99	11/12/21	460.02	...	-152.03	19 of 51 - Option sale to close-call C <sub>25</sub>
	2.000	308.00	11/12/21	460.03	...	-152.03	20 of 51 - Option sale to close-call C <sub>25</sub>
	2.000	307.99	11/12/21	460.01	...	-152.02	21 of 51 - Option sale to close-call C <sub>25</sub>
	2.000	299.99	11/12/21	442.02	...	-142.03	22 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	234.03	...	-84.03	23 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	234.02	...	-84.02	24 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	234.01	...	-84.01	25 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	234.01	...	-84.01	26 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	234.00	...	-84.00	27 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	149.99	11/12/21	230.01	...	-80.02	28 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	230.00	...	-80.00	29 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	154.00	11/12/21	230.02	...	-76.02	30 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	222.00	...	-72.00	31 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	149.99	11/12/21	221.02	...	-71.03	32 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	149.99	11/12/21	221.01	...	-71.02	33 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	221.01	...	-71.01	34 of 51 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	150.00	11/12/21	221.01	...	-71.01	35 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	221.01	...	-71.01	36 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	221.00	...	-71.00	37 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	215.00	...	-65.00	38 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	211.02	...	-61.02	39 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	211.00	...	-61.00	40 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/12/21	191.02	...	-41.02	41 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	183.02	...	12.98	42 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	195.99	11/12/21	178.02	...	17.97	43 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	178.02	...	17.98	44 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	195.99	11/12/21	178.00	...	17.99	45 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	178.01	...	17.99	46 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	169.02	...	26.98	47 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	169.02	...	26.98	48 of 51 - Option sale to close-call C <sub>25</sub>
	1.000	196.00	11/12/21	169.01	...	26.99	49 of 51 - Option sale to close-call C <sub>25</sub>
	2.000	391.99	11/12/21	356.01	...	35.98	50 of 51 - Option sale to close-call C <sub>25</sub>
	5.000	979.98	11/12/21	845.02	...	134.96	51 of 51 - Option sale to close-call C <sub>25</sub>
11/12/21	149.000	23,079.55	Various	31,331.89	...	-8,252.34	Total of 51 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
<i>41 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	449.99	11/12/21	573.02	82.02 W	-41.01	1 of 41 - Option sale to close-call C 25
	1.000	149.98	11/12/21	178.00	28.02 W	0.00	2 of 41 - Option sale to close-call C 25
	1.000	149.98	11/12/21	178.01	28.03 W	0.00	3 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	168.00	18.00 W	0.00	4 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	168.02	18.02 W	0.00	5 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	178.00	28.00 W	0.00	6 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	178.00	28.00 W	0.00	7 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	178.00	28.00 W	0.00	8 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	191.00	41.00 W	0.00	9 of 41 - Option sale to close-call C 25
	1.000	150.00	11/12/21	191.02	41.02 W	0.00	10 of 41 - Option sale to close-call C 25
	1.000	185.98	11/12/21	205.00	19.02 W	0.00	11 of 41 - Option sale to close-call C 25
	1.000	185.98	11/12/21	205.00	19.02 W	0.00	12 of 41 - Option sale to close-call C 25
	1.000	185.98	11/12/21	205.00	19.02 W	0.00	13 of 41 - Option sale to close-call C 25
	1.000	185.98	11/12/21	205.00	19.02 W	0.00	14 of 41 - Option sale to close-call C 25
	1.000	185.98	11/12/21	205.00	19.02 W	0.00	15 of 41 - Option sale to close-call C 25
	1.000	195.98	11/12/21	205.00	9.02 W	0.00	16 of 41 - Option sale to close-call C 25
	1.000	195.98	11/12/21	205.00	9.02 W	0.00	17 of 41 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	195.98	11/12/21	205.00	9.02 W	0.00	18 of 41 - Option sale to close-call C 25
	2.000	299.98	11/12/21	356.01	56.03 W	0.00	19 of 41 - Option sale to close-call C 25
	2.000	299.99	11/12/21	356.01	56.02 W	0.00	20 of 41 - Option sale to close-call C 25
	2.000	299.99	11/12/21	382.01	82.02 W	0.00	21 of 41 - Option sale to close-call C 25
	2.000	299.99	11/12/21	382.01	82.02 W	0.00	22 of 41 - Option sale to close-call C 25
	2.000	299.99	11/12/21	382.02	82.03 W	0.00	23 of 41 - Option sale to close-call C 25
	2.000	371.98	11/12/21	410.00	38.02 W	0.00	24 of 41 - Option sale to close-call C 25
	2.000	391.98	11/12/21	410.00	18.02 W	0.00	25 of 41 - Option sale to close-call C 25
	3.000	449.98	11/12/21	534.01	84.03 W	0.00	26 of 41 - Option sale to close-call C 25
	3.000	449.99	11/12/21	498.02	48.03 W	0.00	27 of 41 - Option sale to close-call C 25
	3.000	449.99	11/12/21	534.01	84.02 W	0.00	28 of 41 - Option sale to close-call C 25
	3.000	449.99	11/12/21	573.02	123.03 W	0.00	29 of 41 - Option sale to close-call C 25
	3.000	587.98	11/12/21	615.00	27.02 W	0.00	30 of 41 - Option sale to close-call C 25
	3.000	587.98	11/12/21	615.00	27.02 W	0.00	31 of 41 - Option sale to close-call C 25
	3.000	593.98	11/12/21	615.00	21.02 W	0.00	32 of 41 - Option sale to close-call C 25
	5.000	749.98	11/12/21	890.02	140.04 W	0.00	33 of 41 - Option sale to close-call C 25
	5.000	749.99	11/12/21	955.02	205.03 W	0.00	34 of 41 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 11/19/2021 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	5.000	929.98	11/12/21	1,025.00	95.02 W	0.00	35 of 41 - Option sale to close-call C <sub>25</sub>
	7.000	1,049.98	11/12/21	1,246.02	196.04 W	0.00	36 of 41 - Option sale to close-call C <sub>25</sub>
	12.000	1,799.97	11/12/21	2,136.03	336.06 W	0.00	37 of 41 - Option sale to close-call C <sub>25</sub>
	11.000	2,045.96	11/12/21	2,255.00	209.04 W	0.00	38 of 41 - Option sale to close-call C <sub>25</sub>
	11.000	2,155.96	11/12/21	2,255.00	99.04 W	0.00	39 of 41 - Option sale to close-call C <sub>25</sub>
	31.000	5,765.91	11/12/21	6,355.00	589.09 W	0.00	40 of 41 - Option sale to close-call C <sub>25</sub>
	71.000	13,915.79	11/12/21	14,555.00	639.21 W	0.00	41 of 41 - Option sale to close-call C <sub>25</sub>
11/12/21	213.000	38,315.10	Various	42,155.28	3,799.17 W	-41.01	Total of 41 transactions
	<b>Security total:</b>	<b>61,394.65</b>		<b>73,487.17</b>	<b>3,799.17 W</b>	<b>-8,293.35</b>	

QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol:

35 transactions for 05/03/21. Total proceeds and cost reported to the IRS.

5.000	424.99	05/03/21	620.05	...	-195.06	1 of 35 - Option sale to close-put <sup>25</sup> C
4.000	339.99	05/03/21	500.04	...	-160.05	2 of 35 - Option sale to close-put <sup>25</sup> C
3.000	254.99	05/03/21	375.05	...	-120.06	3 of 35 - Option sale to close-put <sup>25</sup> C
6.000	509.98	05/03/21	630.03	...	-120.05	4 of 35 - Option sale to close-put <sup>25</sup> C
3.000	254.99	05/03/21	372.03	...	-117.04	5 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	256.04	...	-86.05	6 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	256.03	...	-86.04	7 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	256.02	...	-86.03	8 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	250.04	...	-80.05	9 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	248.03	...	-78.04	10 of 35 - Option sale to close-put <sup>25</sup> C
2.000	169.99	05/03/21	248.03	...	-78.04	11 of 35 - Option sale to close-put <sup>25</sup> C
3.000	254.99	05/03/21	315.02	...	-60.03	12 of 35 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	2.000	159.99	05/03/21	216.02	...	-56.03	13 of 35 - Option sale to close-put C <sub>25</sub>
	17.000	1,444.95	05/03/21	1,496.00	...	-51.05	14 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	135.03	...	-50.03	15 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	135.03	...	-50.03	16 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	135.02	...	-50.02	17 of 35 - Option sale to close-put C <sub>25</sub>
	15.000	1,274.96	05/03/21	1,320.00	...	-45.04	18 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	128.01	...	-43.01	19 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.03	...	-40.03	20 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.02	...	-40.02	21 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.02	...	-40.02	22 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.02	...	-40.02	23 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	...	-40.01	24 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	...	-40.01	25 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	...	-40.01	26 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.00	...	-40.00	27 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.00	...	-40.00	28 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	118.02	...	-33.02	29 of 35 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	1.000	85.00	05/03/21	118.02	...	-33.02	30 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	79.99	05/03/21	108.01	...	-28.02	31 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.02	...	-20.02	32 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	...	-20.00	33 of 35 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	...	-20.00	34 of 35 - Option sale to close-put C <sub>25</sub>
	6.000	509.98	05/03/21	528.00	...	-18.02	35 of 35 - Option sale to close-put C <sub>25</sub>
05/03/21	95.000	8,059.74	Various	10,203.71	...	-2,143.97	Total of 35 transactions
	75 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	5.000	424.99	05/03/21	525.03	40.02 W	-60.02	1 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.98	05/03/21	95.00	10.02 W	0.00	2 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.98	05/03/21	105.00	20.02 W	0.00	3 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.98	05/03/21	115.00	30.02 W	0.00	4 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.98	05/03/21	115.00	30.02 W	0.00	5 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.98	05/03/21	115.01	30.03 W	0.00	6 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.99	05/03/21	115.00	30.01 W	0.00	7 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.99	05/03/21	115.01	30.02 W	0.00	8 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.99	05/03/21	115.01	30.02 W	0.00	9 of 75 - Option sale to close-put <sup>25</sup> C
	1.000	84.99	05/03/21	115.01	30.02 W	0.00	10 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	84.99	05/03/21	125.01	40.02 W	0.00	11 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	84.99	05/03/21	125.02	40.03 W	0.00	12 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	84.99	05/03/21	125.02	40.03 W	0.00	13 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	95.00	10.00 W	0.00	14 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	95.00	10.00 W	0.00	15 of 75 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	1.000	85.00	05/03/21	95.00	10.00 W	0.00	16 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	20.00 W	0.00	17 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	20.00 W	0.00	18 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	20.00 W	0.00	19 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.00	20.00 W	0.00	20 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.01	20.01 W	0.00	21 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	105.02	20.02 W	0.00	22 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	115.00	30.00 W	0.00	23 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	115.00	30.00 W	0.00	24 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	115.01	30.01 W	0.00	25 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	115.01	30.01 W	0.00	26 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	115.02	30.02 W	0.00	27 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.00	40.00 W	0.00	28 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.00	40.00 W	0.00	29 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	40.01 W	0.00	30 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	40.01 W	0.00	31 of 75 - Option sale to close-put C <sub>25</sub>
	1.000	85.00	05/03/21	125.01	40.01 W	0.00	32 of 75 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	1.000	85.00	05/03/21	125.01	40.01 W	0.00	33 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	125.02	40.02 W	0.00	34 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	125.02	40.02 W	0.00	35 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	125.02	40.02 W	0.00	36 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	125.03	40.03 W	0.00	37 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	135.02	50.02 W	0.00	38 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	135.03	50.03 W	0.00	39 of 75 - Option sale to close-put C 25
	1.000	85.00	05/03/21	135.03	50.03 W	0.00	40 of 75 - Option sale to close-put C 25
	2.000	169.98	05/03/21	190.00	20.02 W	0.00	41 of 75 - Option sale to close-put C 25
	2.000	169.98	05/03/21	230.01	60.03 W	0.00	42 of 75 - Option sale to close-put C 25
	2.000	169.98	05/03/21	230.01	60.03 W	0.00	43 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	228.01	58.02 W	0.00	44 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	230.02	60.03 W	0.00	45 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	248.02	78.03 W	0.00	46 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	248.02	78.03 W	0.00	47 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	250.01	80.02 W	0.00	48 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	250.02	80.03 W	0.00	49 of 75 - Option sale to close-put C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	2.000	169.99	05/03/21	250.03	80.04 W	0.00	50 of 75 - Option sale to close-put C 25
	2.000	169.99	05/03/21	250.03	80.04 W	0.00	51 of 75 - Option sale to close-put C 25
	2.000	170.00	05/03/21	210.02	40.02 W	0.00	52 of 75 - Option sale to close-put C 25
	2.000	171.99	05/03/21	230.01	58.02 W	0.00	53 of 75 - Option sale to close-put C 25
	3.000	254.98	05/03/21	345.02	90.04 W	0.00	54 of 75 - Option sale to close-put C 25
	3.000	254.99	05/03/21	315.01	60.02 W	0.00	55 of 75 - Option sale to close-put C 25
	3.000	254.99	05/03/21	372.02	117.03 W	0.00	56 of 75 - Option sale to close-put C 25
	3.000	254.99	05/03/21	375.04	120.05 W	0.00	57 of 75 - Option sale to close-put C 25
	3.000	257.99	05/03/21	345.01	87.02 W	0.00	58 of 75 - Option sale to close-put C 25
	4.000	339.99	05/03/21	380.00	40.01 W	0.00	59 of 75 - Option sale to close-put C 25
	4.000	339.99	05/03/21	460.02	120.03 W	0.00	60 of 75 - Option sale to close-put C 25
	4.000	339.99	05/03/21	500.03	160.04 W	0.00	61 of 75 - Option sale to close-put C 25
	5.000	424.98	05/03/21	570.02	145.04 W	0.00	62 of 75 - Option sale to close-put C 25
	5.000	424.99	05/03/21	470.00	45.01 W	0.00	63 of 75 - Option sale to close-put C 25
	5.000	424.99	05/03/21	475.00	50.01 W	0.00	64 of 75 - Option sale to close-put C 25
	5.000	424.99	05/03/21	520.01	95.02 W	0.00	65 of 75 - Option sale to close-put C 25
	5.000	424.99	05/03/21	525.01	100.02 W	0.00	66 of 75 - Option sale to close-put C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/07/2021 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	5.000	424.99	05/03/21	620.04	195.05 W	0.00	67 of 75 - Option sale to close-put C <sub>25</sub>
	6.000	509.98	05/03/21	630.02	120.04 W	0.00	68 of 75 - Option sale to close-put C <sub>25</sub>
	6.000	509.99	05/03/21	630.02	120.03 W	0.00	69 of 75 - Option sale to close-put C <sub>25</sub>
	7.000	594.98	05/03/21	805.03	210.05 W	0.00	70 of 75 - Option sale to close-put C <sub>25</sub>
	12.000	1,019.96	05/03/21	1,140.00	120.04 W	0.00	71 of 75 - Option sale to close-put C <sub>25</sub>
	12.000	1,019.97	05/03/21	1,260.04	240.07 W	0.00	72 of 75 - Option sale to close-put C <sub>25</sub>
	13.000	1,104.96	05/03/21	1,235.00	130.04 W	0.00	73 of 75 - Option sale to close-put C <sub>25</sub>
	15.000	1,274.96	05/03/21	1,425.00	150.04 W	0.00	74 of 75 - Option sale to close-put C <sub>25</sub>
	15.000	1,274.96	05/03/21	1,575.04	300.08 W	0.00	75 of 75 - Option sale to close-put C <sub>25</sub>
05/03/21	213.000	18,109.27	Various	23,066.99	4,897.70 W	-60.02	Total of 75 transactions
	<b>Security total:</b>	<b>26,169.01</b>		<b>33,270.70</b>	<b>4,897.70 W</b>	<b>-2,203.99</b>	
QS 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
12 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	50.000	2,549.88	05/19/21	2,700.00	...	-150.12	1 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	71.98	05/19/21	54.00	...	17.98	2 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	71.99	05/19/21	54.00	...	17.99	3 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	71.99	05/19/21	54.00	...	17.99	4 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	71.99	05/19/21	54.00	...	17.99	5 of 12 - Option sale to close-call C <sub>25</sub>
	1.000	71.99	05/19/21	54.00	...	17.99	6 of 12 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 05/21/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	72.00	05/19/21	54.00	...	18.00	7 of 12 - Option sale to close-call <sup>25</sup> C
	1.000	72.00	05/19/21	54.00	...	18.00	8 of 12 - Option sale to close-call <sup>25</sup> C
	2.000	143.98	05/19/21	108.00	...	35.98	9 of 12 - Option sale to close-call <sup>25</sup> C
	3.000	215.98	05/19/21	162.00	...	53.98	10 of 12 - Option sale to close-call <sup>25</sup> C
	3.000	215.99	05/19/21	162.00	...	53.99	11 of 12 - Option sale to close-call <sup>25</sup> C
	35.000	2,519.91	05/19/21	1,890.00	...	629.91	12 of 12 - Option sale to close-call <sup>25</sup> C
05/19/21	100.000	6,149.68	Various	5,400.00	...	749.68	Total of 12 transactions
QS 05/28/2021 CALL \$26.00 / CUSIP: / Symbol:							
7 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	20.000	999.95	05/24/21	2,880.05	...	-1,880.10	1 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	249.99	05/24/21	680.01	...	-430.02	2 of 7 - Option sale to close-call <sup>25</sup> C
	10.000	499.98	05/24/21	890.00	...	-390.02	3 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	249.99	05/24/21	495.00	...	-245.01	4 of 7 - Option sale to close-call <sup>25</sup> C
	7.000	349.98	05/24/21	553.00	...	-203.02	5 of 7 - Option sale to close-call <sup>25</sup> C
	5.000	249.99	05/24/21	435.00	...	-185.01	6 of 7 - Option sale to close-call <sup>25</sup> C
	3.000	149.99	05/24/21	237.00	...	-87.01	7 of 7 - Option sale to close-call <sup>25</sup> C
05/25/21	55.000	2,749.87	Various	6,170.06	...	-3,420.19	Total of 7 transactions
05/25/21	25.000	1,249.94	05/24/21	2,475.00	1,225.06 W	0.00	Option sale to close-call <sup>25</sup> C
	<b>Security total:</b>	<b>3,999.81</b>		<b>8,645.06</b>	<b>1,225.06 W</b>	<b>-3,420.19</b>	
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol:							
39 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	206.98	11/10/21	189.00	...	17.98	1 of 39 - Option sale to close-call <sup>25</sup> C
	1.000	124.98	11/10/21	104.00	...	20.98	2 of 39 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	172.98	11/10/21	149.00	...	23.98	3 of 39 - Option sale to close-call C 25
	1.000	172.98	11/10/21	149.00	...	23.98	4 of 39 - Option sale to close-call C 25
	2.000	345.98	11/10/21	306.00	...	39.98	5 of 39 - Option sale to close-call C 25
	2.000	345.98	11/10/21	298.00	...	47.98	6 of 39 - Option sale to close-call C 25
	2.000	345.98	11/10/21	298.00	...	47.98	7 of 39 - Option sale to close-call C 25
	3.000	374.99	11/10/21	312.00	...	62.99	8 of 39 - Option sale to close-call C 25
	3.000	374.99	11/10/21	312.00	...	62.99	9 of 39 - Option sale to close-call C 25
	4.000	691.98	11/10/21	612.00	...	79.98	10 of 39 - Option sale to close-call C 25
	4.000	499.99	11/10/21	416.00	...	83.99	11 of 39 - Option sale to close-call C 25
	4.000	499.99	11/10/21	416.00	...	83.99	12 of 39 - Option sale to close-call C 25
	4.000	691.98	11/10/21	596.00	...	95.98	13 of 39 - Option sale to close-call C 25
	5.000	864.98	11/10/21	745.00	...	119.98	14 of 39 - Option sale to close-call C 25
	6.000	749.98	11/10/21	624.00	...	125.98	15 of 39 - Option sale to close-call C 25
	6.000	1,037.98	11/10/21	894.00	...	143.98	16 of 39 - Option sale to close-call C 25
	6.000	1,037.98	11/10/21	894.00	...	143.98	17 of 39 - Option sale to close-call C 25
	8.000	1,383.97	11/10/21	1,224.00	...	159.97	18 of 39 - Option sale to close-call C 25
	7.000	1,210.98	11/10/21	1,043.00	...	167.98	19 of 39 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	7.000	1,210.98	11/10/21	1,043.00	...	167.98	20 of 39 - Option sale to close-call C <sub>25</sub>
	8.000	1,383.98	11/10/21	1,192.00	...	191.98	21 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	22 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	23 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	24 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	25 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	26 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	27 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	28 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	29 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	30 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	31 of 39 - Option sale to close-call C <sub>25</sub>
	10.000	1,249.97	11/10/21	1,040.00	...	209.97	32 of 39 - Option sale to close-call C <sub>25</sub>
	11.000	1,902.97	11/10/21	1,683.00	...	219.97	33 of 39 - Option sale to close-call C <sub>25</sub>
	11.000	1,902.97	11/10/21	1,639.00	...	263.97	34 of 39 - Option sale to close-call C <sub>25</sub>
	14.000	2,421.95	11/10/21	2,086.00	...	335.95	35 of 39 - Option sale to close-call C <sub>25</sub>
	23.000	3,978.92	11/10/21	3,427.00	...	551.92	36 of 39 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	28.000	4,843.91	11/10/21	4,172.00	...	671.91	37 of 39 - Option sale to close-call C <sub>25</sub>
	40.000	4,999.89	11/10/21	4,160.00	...	839.89	38 of 39 - Option sale to close-call C <sub>25</sub>
	125.000	25,499.61	11/10/21	16,875.00	...	8,624.61	39 of 39 - Option sale to close-call C <sub>25</sub>
11/10/21	447.000	73,030.50	Various	57,298.00	...	15,732.50	Total of 39 transactions
	54 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	28.000	1,595.94	11/11/21	6,216.21	...	-4,620.27	1 of 54 - Option sale to close-call C <sub>25</sub>
	10.000	569.97	11/11/21	2,840.14	...	-2,270.17	2 of 54 - Option sale to close-call C <sub>25</sub>
	12.000	683.97	11/11/21	2,736.09	...	-2,052.12	3 of 54 - Option sale to close-call C <sub>25</sub>
	8.000	455.98	11/11/21	2,456.08	...	-2,000.10	4 of 54 - Option sale to close-call C <sub>25</sub>
	11.000	626.97	11/11/21	2,398.08	...	-1,771.11	5 of 54 - Option sale to close-call C <sub>25</sub>
	7.000	398.98	11/11/21	2,156.08	...	-1,757.10	6 of 54 - Option sale to close-call C <sub>25</sub>
	10.000	569.97	11/11/21	2,150.11	...	-1,580.14	7 of 54 - Option sale to close-call C <sub>25</sub>
	6.000	341.98	11/11/21	1,842.06	...	-1,500.08	8 of 54 - Option sale to close-call C <sub>25</sub>
	8.000	455.98	11/11/21	1,808.06	...	-1,352.08	9 of 54 - Option sale to close-call C <sub>25</sub>
	7.000	398.98	11/11/21	1,666.10	...	-1,267.12	10 of 54 - Option sale to close-call C <sub>25</sub>
	5.000	284.99	11/11/21	1,470.07	...	-1,185.08	11 of 54 - Option sale to close-call C <sub>25</sub>
	7.000	398.98	11/11/21	1,582.05	...	-1,183.07	12 of 54 - Option sale to close-call C <sub>25</sub>
	4.000	227.99	11/11/21	1,232.04	...	-1,004.05	13 of 54 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	4.000	227.99	11/11/21	1,228.04	...	-1,000.05	14 of 54 - Option sale to close-call C 25
	4.000	227.99	11/11/21	1,228.03	...	-1,000.04	15 of 54 - Option sale to close-call C 25
	4.000	227.99	11/11/21	1,176.06	...	-948.07	16 of 54 - Option sale to close-call C 25
	5.000	284.98	11/11/21	1,045.05	...	-760.07	17 of 54 - Option sale to close-call C 25
	4.000	227.99	11/11/21	948.06	...	-720.07	18 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	870.05	...	-699.06	19 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	852.06	...	-681.07	20 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	819.06	...	-648.07	21 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	816.03	...	-645.04	22 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	645.03	...	-474.04	23 of 54 - Option sale to close-call C 25
	2.000	113.99	11/11/21	584.04	...	-470.05	24 of 54 - Option sale to close-call C 25
	3.000	170.99	11/11/21	627.03	...	-456.04	25 of 54 - Option sale to close-call C 25
	2.000	114.00	11/11/21	546.02	...	-432.02	26 of 54 - Option sale to close-call C 25
	2.000	114.00	11/11/21	544.06	...	-430.06	27 of 54 - Option sale to close-call C 25
	2.000	114.00	11/11/21	544.02	...	-430.02	28 of 54 - Option sale to close-call C 25
	2.000	113.99	11/11/21	474.04	...	-360.05	29 of 54 - Option sale to close-call C 25
	2.000	114.00	11/11/21	436.02	...	-322.02	30 of 54 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	55.98	11/11/21	318.00	...	-262.02	31 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	56.98	11/11/21	318.01	...	-261.03	32 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	56.98	11/11/21	318.00	...	-261.02	33 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	56.98	11/11/21	318.00	...	-261.02	34 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	56.98	11/11/21	318.00	...	-261.02	35 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	315.00	...	-258.00	36 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	56.98	11/11/21	307.01	...	-250.03	37 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	307.01	...	-250.01	38 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	294.02	...	-237.02	39 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	294.01	...	-237.01	40 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	294.01	...	-237.01	41 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	292.02	...	-235.02	42 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	284.03	...	-227.03	43 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	284.02	...	-227.02	44 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	284.02	...	-227.02	45 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	273.02	...	-216.02	46 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	238.01	...	-181.01	47 of 54 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	57.00	11/11/21	238.01	...	-181.01	48 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	237.02	...	-180.02	49 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	237.02	...	-180.02	50 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	237.02	...	-180.02	51 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	237.01	...	-180.01	52 of 54 - Option sale to close-call C <sub>25</sub>
	1.000	57.00	11/11/21	209.01	...	-152.01	53 of 54 - Option sale to close-call C <sub>25</sub>
	3.000	170.99	11/11/21	219.01	...	-48.02	54 of 54 - Option sale to close-call C <sub>25</sub>
11/11/21	200.000	11,398.41	Various	50,606.16	...	-39,207.75	Total of 54 transactions
	151 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	1.000	58.00	11/10/21	206.00	148.00 W	0.00	1 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	206.00	148.00 W	0.00	2 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	206.00	148.00 W	0.00	3 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	206.00	148.00 W	0.00	4 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	235.01	177.01 W	0.00	5 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	235.02	177.02 W	0.00	6 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	235.02	177.02 W	0.00	7 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	235.02	177.02 W	0.00	8 of 151 - Option sale to close-call C <sub>25</sub>
	1.000	58.00	11/10/21	271.02	213.02 W	0.00	9 of 151 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	58.00	11/10/21	290.02	232.02 W	0.00	10 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	63.98	11/10/21	288.00	224.02 W	0.00	11 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	63.98	11/10/21	288.00	224.02 W	0.00	12 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	63.98	11/10/21	288.01	224.03 W	0.00	13 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/10/21	288.00	224.00 W	0.00	14 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/10/21	309.00	245.00 W	0.00	15 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/10/21	309.00	245.00 W	0.00	16 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/10/21	309.00	245.00 W	0.00	17 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/10/21	309.00	245.00 W	0.00	18 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	74.98	11/10/21	185.00	110.02 W	0.00	19 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	75.00	11/10/21	204.02	129.02 W	0.00	20 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	75.98	11/10/21	150.00	74.02 W	0.00	21 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	75.98	11/10/21	150.00	74.02 W	0.00	22 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	75.98	11/10/21	150.00	74.02 W	0.00	23 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	84.98	11/10/21	104.00	19.02 W	0.00	24 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/10/21	124.02	39.02 W	0.00	25 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/10/21	126.00	41.00 W	0.00	26 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/10/21	126.00	41.00 W	0.00	27 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	85.00	11/10/21	126.00	41.00 W	0.00	28 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/10/21	126.00	41.00 W	0.00	29 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	115.99	11/10/21	470.02	354.03 W	0.00	30 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	115.99	11/10/21	540.03	424.04 W	0.00	31 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	115.99	11/10/21	580.03	464.04 W	0.00	32 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	116.00	11/10/21	472.02	356.02 W	0.00	33 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	116.00	11/10/21	542.02	426.02 W	0.00	34 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	128.00	11/10/21	618.01	490.01 W	0.00	35 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	149.98	11/10/21	300.00	150.02 W	0.00	36 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	149.98	11/10/21	368.01	218.03 W	0.00	37 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	149.98	11/10/21	370.00	220.02 W	0.00	38 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	149.99	11/10/21	408.02	258.03 W	0.00	39 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	169.98	11/10/21	208.00	38.02 W	0.00	40 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	170.00	11/10/21	248.02	78.02 W	0.00	41 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	173.99	11/10/21	813.03	639.04 W	0.00	42 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	173.99	11/10/21	864.02	690.03 W	0.00	43 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	191.98	11/10/21	864.02	672.04 W	0.00	44 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	224.98	11/10/21	555.01	330.03 W	0.00	45 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	3.000	227.98	11/10/21	450.01	222.03 W	0.00	46 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	231.99	11/10/21	824.01	592.02 W	0.00	47 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	231.99	11/10/21	940.03	708.04 W	0.00	48 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	255.99	11/10/21	1,192.02	936.03 W	0.00	49 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	289.99	11/10/21	1,030.01	740.02 W	0.00	50 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	289.99	11/10/21	1,350.02	1,060.03 W	0.00	51 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	303.98	11/10/21	600.01	296.03 W	0.00	52 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	319.99	11/10/21	1,490.02	1,170.03 W	0.00	53 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	339.99	11/10/21	504.00	164.01 W	0.00	54 of 151 - Option sale to close-call <sup>25</sup> C
	6.000	347.99	11/10/21	1,242.02	894.03 W	0.00	55 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/10/21	920.01	545.02 W	0.00	56 of 151 - Option sale to close-call <sup>25</sup> C
	7.000	405.98	11/10/21	1,652.04	1,246.06 W	0.00	57 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	424.99	11/10/21	630.00	205.01 W	0.00	58 of 151 - Option sale to close-call <sup>25</sup> C
	7.000	447.98	11/10/21	2,086.03	1,638.05 W	0.00	59 of 151 - Option sale to close-call <sup>25</sup> C
	8.000	463.98	11/10/21	1,648.02	1,184.04 W	0.00	60 of 151 - Option sale to close-call <sup>25</sup> C
	6.000	509.98	11/10/21	762.00	252.02 W	0.00	61 of 151 - Option sale to close-call <sup>25</sup> C
	8.000	511.98	11/10/21	2,384.04	1,872.06 W	0.00	62 of 151 - Option sale to close-call <sup>25</sup> C
	9.000	521.98	11/10/21	1,854.02	1,332.04 W	0.00	63 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	7.000	524.98	11/10/21	1,050.02	525.04 W	0.00	64 of 151 - Option sale to close-call <sup>25</sup> C
	11.000	637.97	11/10/21	2,035.03	1,397.06 W	0.00	65 of 151 - Option sale to close-call <sup>25</sup> C
	10.000	639.97	11/10/21	2,880.05	2,240.08 W	0.00	66 of 151 - Option sale to close-call <sup>25</sup> C
	8.000	679.98	11/10/21	1,008.00	328.02 W	0.00	67 of 151 - Option sale to close-call <sup>25</sup> C
	11.000	703.97	11/10/21	3,168.06	2,464.09 W	0.00	68 of 151 - Option sale to close-call <sup>25</sup> C
	11.000	703.97	11/10/21	3,289.06	2,585.09 W	0.00	69 of 151 - Option sale to close-call <sup>25</sup> C
	9.000	764.98	11/10/21	1,134.00	369.02 W	0.00	70 of 151 - Option sale to close-call <sup>25</sup> C
	14.000	811.97	11/10/21	2,898.04	2,086.07 W	0.00	71 of 151 - Option sale to close-call <sup>25</sup> C
	15.000	869.96	11/10/21	3,165.04	2,295.08 W	0.00	72 of 151 - Option sale to close-call <sup>25</sup> C
	20.000	1,159.95	11/10/21	3,700.05	2,540.10 W	0.00	73 of 151 - Option sale to close-call <sup>25</sup> C
	14.000	1,189.96	11/10/21	1,778.00	588.04 W	0.00	74 of 151 - Option sale to close-call <sup>25</sup> C
	28.000	1,623.93	11/10/21	5,796.07	4,172.14 W	0.00	75 of 151 - Option sale to close-call <sup>25</sup> C
	38.000	2,203.91	11/10/21	8,094.10	5,890.19 W	0.00	76 of 151 - Option sale to close-call <sup>25</sup> C
	26.000	2,209.94	11/10/21	2,704.00	494.06 W	0.00	77 of 151 - Option sale to close-call <sup>25</sup> C
	37.000	3,144.91	11/10/21	3,885.00	740.09 W	0.00	78 of 151 - Option sale to close-call <sup>25</sup> C
	38.000	3,229.90	11/10/21	5,054.00	1,824.10 W	0.00	79 of 151 - Option sale to close-call <sup>25</sup> C
	43.000	3,654.89	11/10/21	5,633.00	1,978.11 W	0.00	80 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	53.99	11/11/21	296.00	242.01 W	0.00	81 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	53.99	11/11/21	296.01	242.02 W	0.00	82 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	240.01	186.01 W	0.00	83 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	240.02	186.02 W	0.00	84 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	240.02	186.02 W	0.00	85 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	240.02	186.02 W	0.00	86 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	276.02	222.02 W	0.00	87 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	287.02	233.02 W	0.00	88 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	287.02	233.02 W	0.00	89 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	287.03	233.03 W	0.00	90 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	295.02	241.02 W	0.00	91 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	297.01	243.01 W	0.00	92 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	297.02	243.02 W	0.00	93 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	317.00	263.00 W	0.00	94 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	317.00	263.00 W	0.00	95 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	317.00	263.00 W	0.00	96 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	317.00	263.00 W	0.00	97 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	54.00	11/11/21	318.00	264.00 W	0.00	98 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	249.01	185.01 W	0.00	99 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	64.00	11/11/21	249.02	185.02 W	0.00	100 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	249.02	185.02 W	0.00	101 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	249.02	185.02 W	0.00	102 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	285.02	221.02 W	0.00	103 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	296.02	232.02 W	0.00	104 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	296.02	232.02 W	0.00	105 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	296.03	232.03 W	0.00	106 of 151 - Option sale to close-call <sup>25</sup> C
	1.000	64.00	11/11/21	304.02	240.02 W	0.00	107 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	107.99	11/11/21	550.05	442.06 W	0.00	108 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	108.00	11/11/21	480.04	372.04 W	0.00	109 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	108.00	11/11/21	482.02	374.02 W	0.00	110 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	108.00	11/11/21	552.02	444.02 W	0.00	111 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	108.00	11/11/21	590.04	482.04 W	0.00	112 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	108.00	11/11/21	634.01	526.01 W	0.00	113 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	127.99	11/11/21	498.03	370.04 W	0.00	114 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	127.99	11/11/21	568.04	440.05 W	0.00	115 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	128.00	11/11/21	500.02	372.02 W	0.00	116 of 151 - Option sale to close-call <sup>25</sup> C
	2.000	128.00	11/11/21	570.02	442.02 W	0.00	117 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	2.000	128.00	11/11/21	608.04	480.04 W	0.00	118 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	161.99	11/11/21	216.00	54.01 W	0.00	119 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	161.99	11/11/21	636.02	474.03 W	0.00	120 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	161.99	11/11/21	828.05	666.06 W	0.00	121 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	161.99	11/11/21	861.05	699.06 W	0.00	122 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	161.99	11/11/21	879.04	717.05 W	0.00	123 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	191.99	11/11/21	663.01	471.02 W	0.00	124 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	191.99	11/11/21	855.04	663.05 W	0.00	125 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	191.99	11/11/21	888.04	696.05 W	0.00	126 of 151 - Option sale to close-call <sup>25</sup> C
	3.000	191.99	11/11/21	906.03	714.04 W	0.00	127 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	215.99	11/11/21	960.05	744.06 W	0.00	128 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	215.99	11/11/21	1,224.03	1,008.04 W	0.00	129 of 151 - Option sale to close-call <sup>25</sup> C
	4.000	255.99	11/11/21	996.04	740.05 W	0.00	130 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	269.98	11/11/21	1,480.04	1,210.06 W	0.00	131 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	269.98	11/11/21	1,480.04	1,210.06 W	0.00	132 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	269.99	11/11/21	1,375.04	1,105.05 W	0.00	133 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	269.99	11/11/21	1,485.06	1,215.07 W	0.00	134 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	269.99	11/11/21	1,485.06	1,215.07 W	0.00	135 of 151 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	5.000	269.99	11/11/21	1,530.03	1,260.04 W	0.00	136 of 151 - Option sale to close-call <sup>25</sup> C
	5.000	319.99	11/11/21	1,420.03	1,100.04 W	0.00	137 of 151 - Option sale to close-call <sup>25</sup> C
	6.000	323.98	11/11/21	1,272.04	948.06 W	0.00	138 of 151 - Option sale to close-call <sup>25</sup> C
	7.000	377.98	11/11/21	1,687.08	1,309.10 W	0.00	139 of 151 - Option sale to close-call <sup>25</sup> C
	7.000	377.98	11/11/21	2,142.05	1,764.07 W	0.00	140 of 151 - Option sale to close-call <sup>25</sup> C
	6.000	383.99	11/11/21	1,326.03	942.04 W	0.00	141 of 151 - Option sale to close-call <sup>25</sup> C
	8.000	431.98	11/11/21	2,448.06	2,016.08 W	0.00	142 of 151 - Option sale to close-call <sup>25</sup> C
	7.000	447.98	11/11/21	1,750.06	1,302.08 W	0.00	143 of 151 - Option sale to close-call <sup>25</sup> C
	10.000	539.97	11/11/21	2,870.11	2,330.14 W	0.00	144 of 151 - Option sale to close-call <sup>25</sup> C
	11.000	593.97	11/11/21	3,377.09	2,783.12 W	0.00	145 of 151 - Option sale to close-call <sup>25</sup> C
	10.000	639.97	11/11/21	2,960.08	2,320.11 W	0.00	146 of 151 - Option sale to close-call <sup>25</sup> C
	12.000	647.97	11/11/21	2,724.06	2,076.09 W	0.00	147 of 151 - Option sale to close-call <sup>25</sup> C
	13.000	701.96	11/11/21	2,834.10	2,132.14 W	0.00	148 of 151 - Option sale to close-call <sup>25</sup> C
	15.000	809.97	11/11/21	3,375.08	2,565.11 W	0.00	149 of 151 - Option sale to close-call <sup>25</sup> C
	28.000	1,511.93	11/11/21	6,188.14	4,676.21 W	0.00	150 of 151 - Option sale to close-call <sup>25</sup> C
	26.000	1,663.93	11/11/21	5,902.13	4,238.20 W	0.00	151 of 151 - Option sale to close-call <sup>25</sup> C
11/11/21	793.000	52,144.84	Various	168,027.79	115,882.95 W	0.00	Total of 151 transactions
	<b>Security total:</b>	<b>136,573.75</b>		<b>275,931.95</b>	<b>115,882.95 W</b>	<b>-23,475.25</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol:							
<i>65 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
25.000		1,874.94	11/10/21	6,925.33	...	-5,050.39	1 of 65 - Option sale to close-call C 25
23.000		1,724.94	11/10/21	6,371.31	...	-4,646.37	2 of 65 - Option sale to close-call C 25
25.000		1,874.94	11/10/21	6,000.33	...	-4,125.39	3 of 65 - Option sale to close-call C 25
19.000		1,424.96	11/10/21	5,377.25	...	-3,952.29	4 of 65 - Option sale to close-call C 25
17.000		1,274.96	11/10/21	4,046.21	...	-2,771.25	5 of 65 - Option sale to close-call C 25
12.000		899.96	11/10/21	2,028.03	...	-1,128.07	6 of 65 - Option sale to close-call C 25
6.000		449.98	11/10/21	1,362.09	...	-912.11	7 of 65 - Option sale to close-call C 25
3.000		227.99	11/10/21	702.09	...	-474.10	8 of 65 - Option sale to close-call C 25
5.000		374.98	11/10/21	845.02	...	-470.04	9 of 65 - Option sale to close-call C 25
3.000		227.99	11/10/21	672.09	...	-444.10	10 of 65 - Option sale to close-call C 25
2.000		149.98	11/10/21	554.03	...	-404.05	11 of 65 - Option sale to close-call C 25
4.000		303.99	11/10/21	676.01	...	-372.02	12 of 65 - Option sale to close-call C 25
2.000		149.99	11/10/21	520.07	...	-370.08	13 of 65 - Option sale to close-call C 25
2.000		150.00	11/10/21	520.06	...	-370.06	14 of 65 - Option sale to close-call C 25
2.000		149.98	11/10/21	486.06	...	-336.08	15 of 65 - Option sale to close-call C 25
2.000		149.98	11/10/21	476.03	...	-326.05	16 of 65 - Option sale to close-call C 25
2.000		149.99	11/10/21	476.04	...	-326.05	17 of 65 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	2.000	151.99	11/10/21	468.07	...	-316.08	18 of 65 - Option sale to close-call C 25
	2.000	151.99	11/10/21	468.07	...	-316.08	19 of 65 - Option sale to close-call C 25
	2.000	151.99	11/10/21	448.08	...	-296.09	20 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	288.03	...	-213.03	21 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	267.02	...	-192.02	22 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	261.02	...	-186.02	23 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	260.02	...	-185.02	24 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	260.02	...	-185.02	25 of 65 - Option sale to close-call C 25
	1.000	75.00	11/10/21	260.01	...	-185.01	26 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	243.05	...	-168.06	27 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	243.04	...	-168.05	28 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	243.03	...	-168.04	29 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	243.03	...	-168.04	30 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	243.03	...	-168.04	31 of 65 - Option sale to close-call C 25
	1.000	74.99	11/10/21	238.05	...	-163.06	32 of 65 - Option sale to close-call C 25
	1.000	74.98	11/10/21	238.03	...	-163.05	33 of 65 - Option sale to close-call C 25
	1.000	74.98	11/10/21	238.03	...	-163.05	34 of 65 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	74.99	11/10/21	238.03	...	-163.04	35 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	238.02	...	-163.03	36 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	238.02	...	-163.03	37 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/10/21	238.03	...	-163.03	38 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	75.99	11/10/21	234.03	...	-158.04	39 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.04	...	-158.04	40 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.03	...	-158.03	41 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.03	...	-158.03	42 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.02	...	-158.02	43 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.02	...	-158.02	44 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.02	...	-158.02	45 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.02	...	-158.02	46 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.01	...	-158.01	47 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.01	...	-158.01	48 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.01	...	-158.01	49 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.01	...	-158.01	50 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	234.00	...	-158.00	51 of 65 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	75.99	11/10/21	217.05	...	-141.06	52 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.04	...	-138.05	53 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.04	...	-138.05	54 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.04	...	-138.05	55 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.04	...	-138.05	56 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.04	...	-138.05	57 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.03	...	-138.04	58 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	74.99	11/10/21	213.03	...	-138.04	59 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	76.00	11/10/21	122.00	...	-46.00	60 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	149.99	11/10/21	139.03	...	10.96	61 of 65 - Option sale to close-call C <sub>25</sub>
	1.000	150.00	11/10/21	139.03	...	10.97	62 of 65 - Option sale to close-call C <sub>25</sub>
	2.000	299.99	11/10/21	278.02	...	21.97	63 of 65 - Option sale to close-call C <sub>25</sub>
	7.000	1,049.98	11/10/21	994.02	...	55.96	64 of 65 - Option sale to close-call C <sub>25</sub>
	11.000	1,649.96	11/10/21	1,529.09	...	120.87	65 of 65 - Option sale to close-call C <sub>25</sub>
11/10/21	222.000	18,330.22	Various	51,851.53	...	-33,521.31	Total of 65 transactions
	209 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	1.000	69.98	11/10/21	139.00	69.02 W	0.00	1 of 209 - Option sale to close-call C <sub>25</sub>
	1.000	69.98	11/10/21	139.01	69.03 W	0.00	2 of 209 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	69.98	11/10/21	139.01	69.03 W	0.00	3 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	69.98	11/10/21	139.01	69.03 W	0.00	4 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	69.98	11/10/21	139.01	69.03 W	0.00	5 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	69.98	11/10/21	139.03	69.05 W	0.00	6 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	161.01	91.01 W	0.00	7 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	161.02	91.02 W	0.00	8 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	161.02	91.02 W	0.00	9 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	162.02	92.02 W	0.00	10 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.00	98.00 W	0.00	11 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.01	98.01 W	0.00	12 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.01	98.01 W	0.00	13 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.01	98.01 W	0.00	14 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.01	98.01 W	0.00	15 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.02	98.02 W	0.00	16 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.02	98.02 W	0.00	17 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.02	98.02 W	0.00	18 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.02	98.02 W	0.00	19 of 209 - Option sale to close-call C <sup>25</sup>
	1.000	70.00	11/10/21	168.02	98.02 W	0.00	20 of 209 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	70.00	11/10/21	168.03	98.03 W	0.00	21 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	70.00	11/10/21	168.03	98.03 W	0.00	22 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	70.00	11/10/21	189.03	119.03 W	0.00	23 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.01	91.03 W	0.00	24 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.02	91.04 W	0.00	25 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.02	91.04 W	0.00	26 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.02	91.04 W	0.00	27 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.02	91.04 W	0.00	28 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	168.02	91.04 W	0.00	29 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.98	11/10/21	198.03	121.05 W	0.00	30 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.99	11/10/21	198.03	121.04 W	0.00	31 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	76.99	11/10/21	213.04	136.05 W	0.00	32 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.02	116.02 W	0.00	33 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.03	116.03 W	0.00	34 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.03	116.03 W	0.00	35 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.03	116.03 W	0.00	36 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.03	116.03 W	0.00	37 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	77.00	11/10/21	193.05	116.05 W	0.00	38 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information	
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)									
	1.000	77.00	11/10/21	198.03	121.03 W	0.00		39 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	198.03	121.03 W	0.00		40 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	215.01	138.01 W	0.00		41 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	215.02	138.02 W	0.00		42 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	215.02	138.02 W	0.00		43 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	216.02	139.02 W	0.00		44 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.00	143.00 W	0.00		45 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.01	143.01 W	0.00		46 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.01	143.01 W	0.00		47 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.01	143.01 W	0.00		48 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.01	143.01 W	0.00		49 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.02	143.02 W	0.00		50 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.02	143.02 W	0.00		51 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.02	143.02 W	0.00		52 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.02	143.02 W	0.00		53 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.03	143.03 W	0.00		54 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	220.03	143.03 W	0.00		55 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	77.00	11/10/21	222.02	145.02 W	0.00		56 of 209 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	77.00	11/10/21	243.03	166.03 W	0.00	57 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	218.03	83.03 W	0.00	58 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.00	88.00 W	0.00	59 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	60 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	61 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	62 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	63 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	64 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	223.01	88.01 W	0.00	65 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	253.03	118.03 W	0.00	66 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	253.03	118.03 W	0.00	67 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	253.03	118.03 W	0.00	68 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	135.00	11/10/21	253.03	118.03 W	0.00	69 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.98	11/10/21	278.02	138.04 W	0.00	70 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.99	11/10/21	322.05	182.06 W	0.00	71 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.99	11/10/21	322.05	182.06 W	0.00	72 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.99	11/10/21	336.05	196.06 W	0.00	73 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.99	11/10/21	336.05	196.06 W	0.00	74 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	2.000	139.99	11/10/21	336.05	196.06 W	0.00	75 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	139.99	11/10/21	336.06	196.07 W	0.00	76 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	145.98	11/10/21	231.01	85.03 W	0.00	77 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	145.98	11/10/21	231.01	85.03 W	0.00	78 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	145.98	11/10/21	231.01	85.03 W	0.00	79 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	145.98	11/10/21	231.01	85.03 W	0.00	80 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	146.00	11/10/21	261.03	115.03 W	0.00	81 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	146.00	11/10/21	261.03	115.03 W	0.00	82 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	146.00	11/10/21	261.03	115.03 W	0.00	83 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	146.00	11/10/21	261.03	115.03 W	0.00	84 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.98	11/10/21	172.01	22.03 W	0.00	85 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.98	11/10/21	172.01	22.03 W	0.00	86 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.98	11/10/21	172.01	22.03 W	0.00	87 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.98	11/10/21	227.01	77.03 W	0.00	88 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	89 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	90 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	91 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	92 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)								
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	93 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	149.99	11/10/21	227.01	77.02 W	0.00	94 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	149.99	11/10/21	227.02	77.03 W	0.00	95 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	172.00	22.00 W	0.00	96 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.00	44.00 W	0.00	97 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.01	44.01 W	0.00	98 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.01	44.01 W	0.00	99 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.01	44.01 W	0.00	100 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.01	44.01 W	0.00	101 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	102 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	103 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	104 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	105 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	106 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.02	44.02 W	0.00	107 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.03	44.03 W	0.00	108 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	194.03	44.03 W	0.00	109 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	150.00	11/10/21	222.03	72.03 W	0.00	110 of 209 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	150.00	11/10/21	224.03	74.03 W	0.00	111 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	224.03	74.03 W	0.00	112 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	224.03	74.03 W	0.00	113 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	224.03	74.03 W	0.00	114 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	227.00	77.00 W	0.00	115 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	227.01	77.01 W	0.00	116 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	227.01	77.01 W	0.00	117 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	227.01	77.01 W	0.00	118 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	227.01	77.01 W	0.00	119 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	257.03	107.03 W	0.00	120 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	257.03	107.03 W	0.00	121 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	257.03	107.03 W	0.00	122 of 209 - Option sale to close-call <sup>25</sup> C
	1.000	150.00	11/10/21	257.03	107.03 W	0.00	123 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	153.98	11/10/21	336.05	182.07 W	0.00	124 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	153.99	11/10/21	430.06	276.07 W	0.00	125 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	153.99	11/10/21	440.06	286.07 W	0.00	126 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	153.99	11/10/21	440.06	286.07 W	0.00	127 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	153.99	11/10/21	440.06	286.07 W	0.00	128 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)								
	2.000	153.99	11/10/21	440.07	286.08 W	0.00	129 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	154.00	11/10/21	386.04	232.04 W	0.00	130 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	154.00	11/10/21	430.06	276.06 W	0.00	131 of 209 - Option sale to close-call <sup>25</sup>	C
	1.000	169.98	11/10/21	250.01	80.03 W	0.00	132 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	209.98	11/10/21	417.02	207.04 W	0.00	133 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	209.98	11/10/21	504.06	294.08 W	0.00	134 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	209.99	11/10/21	504.07	294.08 W	0.00	135 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/10/21	579.04	348.05 W	0.00	136 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/10/21	594.08	363.09 W	0.00	137 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/10/21	660.08	429.09 W	0.00	138 of 209 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/10/21	660.08	429.09 W	0.00	139 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	269.99	11/10/21	446.02	176.03 W	0.00	140 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	269.99	11/10/21	446.02	176.03 W	0.00	141 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	269.99	11/10/21	446.02	176.03 W	0.00	142 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	269.99	11/10/21	446.02	176.03 W	0.00	143 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	299.98	11/10/21	454.02	154.04 W	0.00	144 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	299.98	11/10/21	454.02	154.04 W	0.00	145 of 209 - Option sale to close-call <sup>25</sup>	C
	2.000	299.98	11/10/21	454.03	154.05 W	0.00	146 of 209 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	2.000	299.99	11/10/21	344.01	44.02 W	0.00	147 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	148 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	149 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	150 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	151 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	152 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.04	88.05 W	0.00	153 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	388.05	88.06 W	0.00	154 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	454.03	154.04 W	0.00	155 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	454.03	154.04 W	0.00	156 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	454.03	154.04 W	0.00	157 of 209 - Option sale to close-call <sup>25</sup> C
	2.000	299.99	11/10/21	454.03	154.04 W	0.00	158 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	404.99	11/10/21	669.03	264.04 W	0.00	159 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	404.99	11/10/21	759.05	354.06 W	0.00	160 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	419.99	11/10/21	768.06	348.07 W	0.00	161 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	437.98	11/10/21	693.02	255.04 W	0.00	162 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	437.99	11/10/21	783.04	345.05 W	0.00	163 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	449.98	11/10/21	681.04	231.06 W	0.00	164 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	3.000	449.99	11/10/21	582.04	132.05 W	0.00	165 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	11/10/21	582.06	132.07 W	0.00	166 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	11/10/21	672.07	222.08 W	0.00	167 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	11/10/21	681.03	231.04 W	0.00	168 of 209 - Option sale to close-call <sup>25</sup> C
	3.000	449.99	11/10/21	771.06	321.07 W	0.00	169 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	461.98	11/10/21	1,092.07	630.09 W	0.00	170 of 209 - Option sale to close-call <sup>25</sup> C
	4.000	539.99	11/10/21	892.03	352.04 W	0.00	171 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	809.98	11/10/21	942.02	132.04 W	0.00	172 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	809.98	11/10/21	1,008.02	198.04 W	0.00	173 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	875.98	11/10/21	990.00	114.02 W	0.00	174 of 209 - Option sale to close-call <sup>25</sup> C
	6.000	899.98	11/10/21	966.04	66.06 W	0.00	175 of 209 - Option sale to close-call <sup>25</sup> C
	7.000	944.98	11/10/21	966.00	21.02 W	0.00	176 of 209 - Option sale to close-call <sup>25</sup> C
	4.000	1,123.98	11/10/21	1,268.01	144.03 W	0.00	177 of 209 - Option sale to close-call <sup>25</sup> C
	17.000	1,189.96	11/10/21	2,363.13	1,173.17 W	0.00	178 of 209 - Option sale to close-call <sup>25</sup> C
	17.000	1,308.96	11/10/21	3,281.17	1,972.21 W	0.00	179 of 209 - Option sale to close-call <sup>25</sup> C
	19.000	1,329.96	11/10/21	3,496.16	2,166.20 W	0.00	180 of 209 - Option sale to close-call <sup>25</sup> C
	9.000	1,349.97	11/10/21	1,746.14	396.17 W	0.00	181 of 209 - Option sale to close-call <sup>25</sup> C
	9.000	1,349.97	11/10/21	2,043.11	693.14 W	0.00	182 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	19.000	1,462.95	11/10/21	4,522.20	3,059.25 W	0.00	183 of 209 - Option sale to close-call <sup>25</sup> C
	11.000	1,484.97	11/10/21	1,848.03	363.06 W	0.00	184 of 209 - Option sale to close-call <sup>25</sup> C
	21.000	1,616.94	11/10/21	2,604.00	987.06 W	0.00	185 of 209 - Option sale to close-call <sup>25</sup> C
	11.000	1,649.97	11/10/21	1,892.06	242.09 W	0.00	186 of 209 - Option sale to close-call <sup>25</sup> C
	25.000	1,749.94	11/10/21	3,525.21	1,775.27 W	0.00	187 of 209 - Option sale to close-call <sup>25</sup> C
	25.000	1,924.94	11/10/21	4,875.27	2,950.33 W	0.00	188 of 209 - Option sale to close-call <sup>25</sup> C
	16.000	2,335.96	11/10/21	3,696.10	1,360.14 W	0.00	189 of 209 - Option sale to close-call <sup>25</sup> C
	19.000	2,564.95	11/10/21	4,047.06	1,482.11 W	0.00	190 of 209 - Option sale to close-call <sup>25</sup> C
	20.000	2,699.95	11/10/21	4,460.19	1,760.24 W	0.00	191 of 209 - Option sale to close-call <sup>25</sup> C
	19.000	2,773.94	11/10/21	4,199.00	1,425.06 W	0.00	192 of 209 - Option sale to close-call <sup>25</sup> C
	19.000	2,849.95	11/10/21	4,123.11	1,273.16 W	0.00	193 of 209 - Option sale to close-call <sup>25</sup> C
	25.000	3,374.93	11/10/21	4,250.07	875.14 W	0.00	194 of 209 - Option sale to close-call <sup>25</sup> C
	50.000	3,499.88	11/10/21	8,900.42	5,400.54 W	0.00	195 of 209 - Option sale to close-call <sup>25</sup> C
	26.000	3,509.93	11/10/21	4,368.07	858.14 W	0.00	196 of 209 - Option sale to close-call <sup>25</sup> C
	25.000	3,649.93	11/10/21	4,450.00	800.07 W	0.00	197 of 209 - Option sale to close-call <sup>25</sup> C
	25.000	3,749.93	11/10/21	4,350.14	600.21 W	0.00	198 of 209 - Option sale to close-call <sup>25</sup> C
	50.000	3,849.87	11/10/21	11,600.54	7,750.67 W	0.00	199 of 209 - Option sale to close-call <sup>25</sup> C
	26.000	3,899.93	11/10/21	4,472.14	572.21 W	0.00	200 of 209 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RBLX 11/12/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	27.000	3,941.92	11/10/21	6,237.18	2,295.26 W	0.00	201 of 209 - Option sale to close-call <sup>25</sup> C
	23.000	4,875.93	11/10/21	6,072.00	1,196.07 W	0.00	202 of 209 - Option sale to close-call <sup>25</sup> C
	19.000	5,338.93	11/10/21	6,023.06	684.13 W	0.00	203 of 209 - Option sale to close-call <sup>25</sup> C
	27.000	5,723.91	11/10/21	7,128.00	1,404.09 W	0.00	204 of 209 - Option sale to close-call <sup>25</sup> C
	43.000	6,277.88	11/10/21	7,568.00	1,290.12 W	0.00	205 of 209 - Option sale to close-call <sup>25</sup> C
	50.000	6,749.86	11/10/21	10,350.14	3,600.28 W	0.00	206 of 209 - Option sale to close-call <sup>25</sup> C
	50.000	7,299.86	11/10/21	10,750.00	3,450.14 W	0.00	207 of 209 - Option sale to close-call <sup>25</sup> C
	50.000	7,499.86	11/10/21	10,550.28	3,050.42 W	0.00	208 of 209 - Option sale to close-call <sup>25</sup> C
	27.000	7,586.91	11/10/21	8,559.09	972.18 W	0.00	209 of 209 - Option sale to close-call <sup>25</sup> C
11/10/21	1,106.000	144,126.47	Various	225,585.71	81,459.24 W	0.00	Total of 209 transactions
	<b>Security total:</b>	<b>162,456.69</b>		<b>277,437.24</b>	<b>81,459.24 W</b>	<b>-33,521.31</b>	
RBLX 11/12/2021 CALL \$110.00 / CUSIP: / Symbol:							
11/09/21	58.000	15,427.80	11/09/21	14,616.00	...	811.80	Option sale to close-call <sup>25</sup> C
RBLX 11/12/2021 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	20.000	22,499.85	11/09/21	22,400.00	...	99.85	1 of 2 - Option sale to close-call <sup>25</sup> C
	20.000	22,499.84	11/09/21	22,000.00	...	499.84	2 of 2 - Option sale to close-call <sup>25</sup> C
11/09/21	40.000	44,999.69	Various	44,400.00	...	599.69	Total of 2 transactions
RBLX 11/19/2021 CALL \$105.00 / CUSIP: / Symbol:							
9 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	60.000	10,199.82	11/10/21	20,640.19	...	-10,440.37	1 of 9 - Option sale to close-call <sup>25</sup> C
	50.000	8,499.85	11/10/21	14,850.00	...	-6,350.15	2 of 9 - Option sale to close-call <sup>25</sup> C
	28.000	4,759.91	11/10/21	9,632.10	...	-4,872.19	3 of 9 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/19/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	7.000	1,189.98	11/10/21	1,813.00	...	-623.02	4 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	170.00	11/10/21	514.03	...	-344.03	5 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	170.00	11/10/21	514.02	...	-344.02	6 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	170.00	11/10/21	429.02	...	-259.02	7 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	170.00	11/10/21	429.01	...	-259.01	8 of 9 - Option sale to close-call <sup>25</sup> C
	1.000	170.00	11/10/21	429.00	...	-259.00	9 of 9 - Option sale to close-call <sup>25</sup> C
11/10/21	150.000	25,499.56	Various	49,250.37	...	-23,750.81	Total of 9 transactions
	14 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	1.000	211.98	11/10/21	382.01	170.03 W	0.00	1 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	297.00	85.01 W	0.00	2 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	297.00	85.01 W	0.00	3 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	297.00	85.01 W	0.00	4 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	382.00	170.01 W	0.00	5 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	382.01	170.02 W	0.00	6 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	211.99	11/10/21	467.01	255.02 W	0.00	7 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	212.00	11/10/21	297.00	85.00 W	0.00	8 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	212.00	11/10/21	297.00	85.00 W	0.00	9 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	212.00	11/10/21	382.00	170.00 W	0.00	10 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	212.00	11/10/21	382.01	170.01 W	0.00	11 of 14 - Option sale to close-call <sup>25</sup> C
	1.000	212.00	11/10/21	467.03	255.03 W	0.00	12 of 14 - Option sale to close-call <sup>25</sup> C
	28.000	5,935.90	11/10/21	8,316.00	2,380.10 W	0.00	13 of 14 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/19/2021 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	60.000	12,719.81	11/10/21	17,820.00	5,100.19 W	0.00	14 of 14 - Option sale to close-call C <sup>25</sup>
11/10/21	100.000	21,199.63	Various	30,465.07	9,265.44 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>46,699.19</b>		<b>79,715.44</b>	<b>9,265.44 W</b>	<b>-23,750.81</b>	
RIDE 10/01/2021 CALL \$8.00 / CUSIP: / Symbol:							
5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	28.000	195.93	09/30/21	1,092.00	...	-896.07	1 of 5 - Option sale to close-call C <sup>25</sup>
	26.000	233.93	09/30/21	1,014.00	...	-780.07	2 of 5 - Option sale to close-call C <sup>25</sup>
	10.000	69.98	09/30/21	690.02	...	-620.04	3 of 5 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	09/30/21	99.01	...	-92.01	4 of 5 - Option sale to close-call C <sup>25</sup>
	1.000	7.00	09/30/21	99.01	...	-92.01	5 of 5 - Option sale to close-call C <sup>25</sup>
10/01/21	66.000	513.84	Various	2,994.04	...	-2,480.20	Total of 5 transactions
5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	8.99	09/30/21	39.00	30.01 W	0.00	1 of 5 - Option sale to close-call C <sup>25</sup>
	1.000	8.99	09/30/21	39.00	30.01 W	0.00	2 of 5 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	09/30/21	69.01	60.01 W	0.00	3 of 5 - Option sale to close-call C <sup>25</sup>
	1.000	9.00	09/30/21	69.01	60.01 W	0.00	4 of 5 - Option sale to close-call C <sup>25</sup>
	10.000	89.98	09/30/21	390.00	300.02 W	0.00	5 of 5 - Option sale to close-call C <sup>25</sup>
10/01/21	14.000	125.96	Various	606.02	480.06 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>639.80</b>		<b>3,600.06</b>	<b>480.06 W</b>	<b>-2,480.20</b>	
RIOT 04/30/2021 CALL \$43.00 / CUSIP: / Symbol:							
26 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	1.000	199.98	04/28/21	119.00	...	80.98	1 of 26 - Option sale to close-call C <sup>25</sup>
	1.000	199.98	04/28/21	119.00	...	80.98	2 of 26 - Option sale to close-call C <sup>25</sup>
	1.000	200.00	04/28/21	119.00	...	81.00	3 of 26 - Option sale to close-call C <sup>25</sup>
	1.000	200.00	04/28/21	119.00	...	81.00	4 of 26 - Option sale to close-call C <sup>25</sup>
	1.000	200.00	04/28/21	119.00	...	81.00	5 of 26 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT 04/30/2021 CALL \$43.00 / CUSIP: / Symbol: (cont'd)							
	2.000	399.98	04/28/21	238.00	...	161.98	6 of 26 - Option sale to close-call C 25
	2.000	399.98	04/28/21	238.00	...	161.98	7 of 26 - Option sale to close-call C 25
	2.000	399.98	04/28/21	230.00	...	169.98	8 of 26 - Option sale to close-call C 25
	2.000	399.98	04/28/21	230.00	...	169.98	9 of 26 - Option sale to close-call C 25
	3.000	599.99	04/28/21	357.00	...	242.99	10 of 26 - Option sale to close-call C 25
	3.000	599.99	04/28/21	357.00	...	242.99	11 of 26 - Option sale to close-call C 25
	3.000	599.98	04/28/21	345.00	...	254.98	12 of 26 - Option sale to close-call C 25
	3.000	599.99	04/28/21	345.00	...	254.99	13 of 26 - Option sale to close-call C 25
	4.000	799.98	04/28/21	476.00	...	323.98	14 of 26 - Option sale to close-call C 25
	5.000	999.98	04/28/21	595.00	...	404.98	15 of 26 - Option sale to close-call C 25
	5.000	999.98	04/28/21	595.00	...	404.98	16 of 26 - Option sale to close-call C 25
	5.000	999.98	04/28/21	595.00	...	404.98	17 of 26 - Option sale to close-call C 25
	5.000	999.98	04/28/21	595.00	...	404.98	18 of 26 - Option sale to close-call C 25
	10.000	1,999.97	04/28/21	1,190.00	...	809.97	19 of 26 - Option sale to close-call C 25
	12.000	2,399.96	04/28/21	1,428.00	...	971.96	20 of 26 - Option sale to close-call C 25
	12.000	2,399.96	04/28/21	1,428.00	...	971.96	21 of 26 - Option sale to close-call C 25
	13.000	2,599.95	04/28/21	1,547.00	...	1,052.95	22 of 26 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT 04/30/2021 CALL \$43.00 / CUSIP: / Symbol: (cont'd)							
	15.000	2,999.95	04/28/21	1,785.00	...	1,214.95	23 of 26 - Option sale to close-call C <sub>25</sub>
	15.000	2,999.95	04/28/21	1,785.00	...	1,214.95	24 of 26 - Option sale to close-call C <sub>25</sub>
	34.000	6,799.90	04/28/21	4,046.00	...	2,753.90	25 of 26 - Option sale to close-call C <sub>25</sub>
	35.000	6,999.89	04/28/21	4,060.00	...	2,939.89	26 of 26 - Option sale to close-call C <sub>25</sub>
04/28/21	195.000	38,999.26	Various	23,060.00	...	15,939.26	Total of 26 transactions
RIOT 10/08/2021 CALL \$25.00 / CUSIP: / Symbol:							
10 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	1.000	177.00	10/04/21	124.00	...	53.00	1 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	177.00	10/04/21	124.00	...	53.00	2 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	177.00	10/04/21	124.00	...	53.00	3 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	177.00	10/04/21	124.00	...	53.00	4 of 10 - Option sale to close-call C <sub>25</sub>
	1.000	177.00	10/04/21	124.00	...	53.00	5 of 10 - Option sale to close-call C <sub>25</sub>
	4.000	707.99	10/04/21	496.00	...	211.99	6 of 10 - Option sale to close-call C <sub>25</sub>
	14.000	2,477.96	10/04/21	1,736.00	...	741.96	7 of 10 - Option sale to close-call C <sub>25</sub>
	20.000	3,319.94	10/04/21	2,480.00	...	839.94	8 of 10 - Option sale to close-call C <sub>25</sub>
	17.000	3,008.93	10/04/21	2,108.00	...	900.93	9 of 10 - Option sale to close-call C <sub>25</sub>
	20.000	3,479.94	10/04/21	2,480.00	...	999.94	10 of 10 - Option sale to close-call C <sub>25</sub>
10/05/21	80.000	13,879.76	Various	9,920.00	...	3,959.76	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT 11/05/2021 CALL \$29.00 / CUSIP: / Symbol:							
<i>17 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	126.98	11/02/21	121.00	...	5.98	1 of 17 - Option sale to close-call C 25
	1.000	126.98	11/02/21	121.00	...	5.98	2 of 17 - Option sale to close-call C 25
	1.000	126.98	11/02/21	121.00	...	5.98	3 of 17 - Option sale to close-call C 25
	1.000	126.98	11/02/21	121.00	...	5.98	4 of 17 - Option sale to close-call C 25
	1.000	126.98	11/02/21	121.00	...	5.98	5 of 17 - Option sale to close-call C 25
	1.000	143.00	11/02/21	127.00	...	16.00	6 of 17 - Option sale to close-call C 25
	3.000	428.99	11/02/21	381.00	...	47.99	7 of 17 - Option sale to close-call C 25
	9.000	1,142.97	11/02/21	1,089.00	...	53.97	8 of 17 - Option sale to close-call C 25
	10.000	1,269.97	11/02/21	1,210.00	...	59.97	9 of 17 - Option sale to close-call C 25
	4.000	571.99	11/02/21	508.00	...	63.99	10 of 17 - Option sale to close-call C 25
	4.000	571.99	11/02/21	508.00	...	63.99	11 of 17 - Option sale to close-call C 25
	12.000	1,523.97	11/02/21	1,452.00	...	71.97	12 of 17 - Option sale to close-call C 25
	14.000	1,777.96	11/02/21	1,694.00	...	83.96	13 of 17 - Option sale to close-call C 25
	6.000	857.98	11/02/21	762.00	...	95.98	14 of 17 - Option sale to close-call C 25
	50.000	6,349.86	11/02/21	6,050.00	...	299.86	15 of 17 - Option sale to close-call C 25
	82.000	11,725.77	11/02/21	10,414.00	...	1,311.77	16 of 17 - Option sale to close-call C 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT 11/05/2021 CALL \$29.00 / CUSIP: / Symbol: (cont'd)							
	100.000	13,699.73	11/02/21	11,000.00	...	2,699.73	17 of 17 - Option sale to close-call <sup>25</sup> C
11/02/21	300.000	40,699.08	Various	35,800.00	...	4,899.08	Total of 17 transactions
RIVN 11/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
4 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	279.98	11/18/21	205.00	...	74.98	1 of 4 - Option sale to close-call <sup>25</sup> C
	4.000	1,119.98	11/18/21	820.00	...	299.98	2 of 4 - Option sale to close-call <sup>25</sup> C
	2.000	827.98	11/18/21	410.00	...	417.98	3 of 4 - Option sale to close-call <sup>25</sup> C
	3.000	1,241.98	11/18/21	615.00	...	626.98	4 of 4 - Option sale to close-call <sup>25</sup> C
11/19/21	10.000	3,469.92	Various	2,050.00	...	1,419.92	Total of 4 transactions
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
33 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	2.000	185.99	11/18/21	1,074.03	...	-888.04	1 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	11/18/21	752.04	...	-659.05	2 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	11/18/21	752.02	...	-659.03	3 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	11/18/21	752.03	...	-659.03	4 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	11/18/21	752.03	...	-659.03	5 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	11/18/21	752.01	...	-659.02	6 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	11/18/21	752.01	...	-659.02	7 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	92.99	11/18/21	752.01	...	-659.02	8 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	11/18/21	752.02	...	-659.02	9 of 33 - Option sale to close-call <sup>25</sup> C
	1.000	93.00	11/18/21	752.02	...	-659.02	10 of 33 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	92.99	11/18/21	752.00	...	-659.01	11 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	752.01	...	-659.01	12 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	752.01	...	-659.01	13 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	587.02	...	-494.02	14 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	587.01	...	-494.01	15 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	587.01	...	-494.01	16 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	542.03	...	-449.03	17 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.03	...	-444.03	18 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.03	...	-444.03	19 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.03	...	-444.03	20 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.02	...	-444.02	21 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.02	...	-444.02	22 of 33 - Option sale to close-call C <sub>25</sub>
	1.000	93.00	11/18/21	537.01	...	-444.01	23 of 33 - Option sale to close-call C <sub>25</sub>
	9.000	764.96	11/18/21	945.06	...	-180.10	24 of 33 - Option sale to close-call C <sub>25</sub>
	9.000	836.98	11/18/21	945.05	...	-108.07	25 of 33 - Option sale to close-call C <sub>25</sub>
	5.000	424.98	11/18/21	525.05	...	-100.07	26 of 33 - Option sale to close-call C <sub>25</sub>
	2.000	169.99	11/18/21	210.01	...	-40.02	27 of 33 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	2.000	169.99	11/18/21	210.01	...	-40.02	28 of 33 - Option sale to close-call C <sup>25</sup>
	1.000	84.99	11/18/21	105.01	...	-20.02	29 of 33 - Option sale to close-call C <sup>25</sup>
	1.000	85.00	11/18/21	105.00	...	-20.00	30 of 33 - Option sale to close-call C <sup>25</sup>
	1.000	93.00	11/18/21	95.01	...	-2.01	31 of 33 - Option sale to close-call C <sup>25</sup>
	1.000	93.00	11/18/21	95.00	...	-2.00	32 of 33 - Option sale to close-call C <sup>25</sup>
	1.000	93.00	11/18/21	65.01	...	27.99	33 of 33 - Option sale to close-call C <sup>25</sup>
11/18/21	56.000	5,047.82	Various	18,923.66	...	-13,875.84	Total of 33 transactions
	109 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	1 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	2 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	3 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	4 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	5 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	272.00	227.02 W	0.00	6 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.98	11/18/21	487.01	442.03 W	0.00	7 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.99	11/18/21	130.00	85.01 W	0.00	8 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.99	11/18/21	437.02	392.03 W	0.00	9 of 109 - Option sale to close-call C <sup>25</sup>
	1.000	44.99	11/18/21	442.00	397.01 W	0.00	10 of 109 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.99	11/18/21	657.01	612.02 W	0.00	11 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	44.99	11/18/21	657.03	612.04 W	0.00	12 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	130.00	85.00 W	0.00	13 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	140.00	95.00 W	0.00	14 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	437.02	392.02 W	0.00	15 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	437.02	392.02 W	0.00	16 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	437.02	392.02 W	0.00	17 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	437.02	392.02 W	0.00	18 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	487.01	442.01 W	0.00	19 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	487.02	442.02 W	0.00	20 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	607.02	562.02 W	0.00	21 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	607.02	562.02 W	0.00	22 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	607.02	562.02 W	0.00	23 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	607.02	562.02 W	0.00	24 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	607.03	562.03 W	0.00	25 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	612.01	567.01 W	0.00	26 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	657.01	612.01 W	0.00	27 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	657.02	612.02 W	0.00	28 of 109 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	45.00	11/18/21	827.00	782.00 W	0.00	29 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	30 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	31 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	32 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	33 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	34 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.01	782.01 W	0.00	35 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.02	782.02 W	0.00	36 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.02	782.02 W	0.00	37 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	45.00	11/18/21	827.04	782.04 W	0.00	38 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	140.00	60.01 W	0.00	39 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	572.02	492.03 W	0.00	40 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	572.02	492.03 W	0.00	41 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	577.02	497.03 W	0.00	42 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	827.01	747.02 W	0.00	43 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	827.02	747.03 W	0.00	44 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	79.99	11/18/21	827.02	747.03 W	0.00	45 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	130.00	50.00 W	0.00	46 of 109 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	80.00	11/18/21	130.01	50.01 W	0.00	47 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	140.00	60.00 W	0.00	48 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	572.02	492.02 W	0.00	49 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	572.02	492.02 W	0.00	50 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	572.03	492.03 W	0.00	51 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	607.02	527.02 W	0.00	52 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	607.02	527.02 W	0.00	53 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	607.02	527.02 W	0.00	54 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	607.02	527.02 W	0.00	55 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	607.03	527.03 W	0.00	56 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	612.01	532.01 W	0.00	57 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	622.01	542.01 W	0.00	58 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	622.01	542.01 W	0.00	59 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	622.02	542.02 W	0.00	60 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	657.01	577.01 W	0.00	61 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	657.01	577.01 W	0.00	62 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	657.02	577.02 W	0.00	63 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.00	747.00 W	0.00	64 of 109 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	80.00	11/18/21	827.01	747.01 W	0.00	65 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.01	747.01 W	0.00	66 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.01	747.01 W	0.00	67 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.01	747.01 W	0.00	68 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.01	747.01 W	0.00	69 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.02	747.02 W	0.00	70 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.02	747.02 W	0.00	71 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	80.00	11/18/21	827.04	747.04 W	0.00	72 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	105.01	20.01 W	0.00	73 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	577.01	492.01 W	0.00	74 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.00	707.00 W	0.00	75 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.01	707.01 W	0.00	76 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.01	707.01 W	0.00	77 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.01	707.01 W	0.00	78 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.01	707.01 W	0.00	79 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.01	707.01 W	0.00	80 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.02	707.02 W	0.00	81 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.02	707.02 W	0.00	82 of 109 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	85.00	11/18/21	792.02	707.02 W	0.00	83 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.03	707.03 W	0.00	84 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.03	707.03 W	0.00	85 of 109 - Option sale to close-call <sup>25</sup> C
	1.000	85.00	11/18/21	792.04	707.04 W	0.00	86 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.98	11/18/21	544.00	454.02 W	0.00	87 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.98	11/18/21	544.00	454.02 W	0.00	88 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.98	11/18/21	544.00	454.02 W	0.00	89 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.99	11/18/21	1,314.02	1,224.03 W	0.00	90 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.99	11/18/21	1,314.02	1,224.03 W	0.00	91 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	89.99	11/18/21	1,654.03	1,564.04 W	0.00	92 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	90.00	11/18/21	974.02	884.02 W	0.00	93 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	90.00	11/18/21	974.02	884.02 W	0.00	94 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	90.00	11/18/21	1,314.02	1,224.02 W	0.00	95 of 109 - Option sale to close-call <sup>25</sup> C
	3.000	134.98	11/18/21	816.00	681.02 W	0.00	96 of 109 - Option sale to close-call <sup>25</sup> C
	3.000	134.99	11/18/21	1,326.02	1,191.03 W	0.00	97 of 109 - Option sale to close-call <sup>25</sup> C
	3.000	134.99	11/18/21	1,461.02	1,326.03 W	0.00	98 of 109 - Option sale to close-call <sup>25</sup> C
	3.000	134.99	11/18/21	1,836.03	1,701.04 W	0.00	99 of 109 - Option sale to close-call <sup>25</sup> C
	2.000	170.00	11/18/21	1,154.03	984.03 W	0.00	100 of 109 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVN 11/19/2021 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	4.000	179.98	11/18/21	1,088.00	908.02 W	0.00	101 of 109 - Option sale to close-call <sup>25</sup> C
	5.000	224.98	11/18/21	1,360.00	1,135.02 W	0.00	102 of 109 - Option sale to close-call <sup>25</sup> C
	5.000	224.99	11/18/21	2,435.02	2,210.03 W	0.00	103 of 109 - Option sale to close-call <sup>25</sup> C
	5.000	224.99	11/18/21	3,285.03	3,060.04 W	0.00	104 of 109 - Option sale to close-call <sup>25</sup> C
	3.000	239.99	11/18/21	1,836.04	1,596.05 W	0.00	105 of 109 - Option sale to close-call <sup>25</sup> C
	5.000	399.97	11/18/21	700.02	300.05 W	0.00	106 of 109 - Option sale to close-call <sup>25</sup> C
	5.000	399.99	11/18/21	700.02	300.03 W	0.00	107 of 109 - Option sale to close-call <sup>25</sup> C
	29.000	1,304.90	11/18/21	4,060.00	2,755.10 W	0.00	108 of 109 - Option sale to close-call <sup>25</sup> C
	18.000	1,439.95	11/18/21	2,520.06	1,080.11 W	0.00	109 of 109 - Option sale to close-call <sup>25</sup> C
11/18/21	197.000	11,779.34	Various	85,990.64	74,211.30 W	0.00	Total of 109 transactions
	<b>Security total:</b>	<b>16,827.16</b>		<b>104,914.30</b>	<b>74,211.30 W</b>	<b>-13,875.84</b>	
ROKU 09/24/2021 CALL \$325.00 / CUSIP: / Symbol:							
5 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	2.000	899.99	09/21/21	880.00	...	19.99	1 of 5 - Option sale to close-call <sup>25</sup> C
	6.000	2,699.97	09/21/21	2,640.00	...	59.97	2 of 5 - Option sale to close-call <sup>25</sup> C
	4.000	1,839.98	09/21/21	1,760.00	...	79.98	3 of 5 - Option sale to close-call <sup>25</sup> C
	1.000	584.98	09/21/21	440.00	...	144.98	4 of 5 - Option sale to close-call <sup>25</sup> C
	3.000	1,769.98	09/21/21	1,320.00	...	449.98	5 of 5 - Option sale to close-call <sup>25</sup> C
09/22/21	16.000	7,794.90	Various	7,040.00	...	754.90	Total of 5 transactions
ROKU 11/05/2021 PUT \$300.00 / CUSIP: / Symbol:							
11/04/21	10.000	5,099.95	11/04/21	4,800.00	...	299.95	Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 11/05/2021 PUT \$315.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1,715.98	11/03/21	1,655.00	...	60.98	1 of 3 - Option sale to close-put <sup>25</sup> C
	2.000	3,431.97	11/03/21	3,310.00	...	121.97	2 of 3 - Option sale to close-put <sup>25</sup> C
	2.000	3,431.97	11/03/21	3,310.00	...	121.97	3 of 3 - Option sale to close-put <sup>25</sup> C
11/04/21	5.000	8,579.92	Various	8,275.00	...	304.92	Total of 3 transactions
ROKU 12/10/2021 PUT \$230.00 / CUSIP: / Symbol:							
18 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	5.000	159.98	12/08/21	2,980.04	...	-2,820.06	1 of 18 - Option sale to close-put <sup>25</sup> C
	3.000	95.99	12/08/21	2,643.04	...	-2,547.05	2 of 18 - Option sale to close-put <sup>25</sup> C
	9.000	287.98	12/08/21	2,664.03	...	-2,376.05	3 of 18 - Option sale to close-put <sup>25</sup> C
	4.000	127.98	12/08/21	2,384.03	...	-2,256.05	4 of 18 - Option sale to close-put <sup>25</sup> C
	9.000	287.97	12/08/21	2,457.03	...	-2,169.06	5 of 18 - Option sale to close-put <sup>25</sup> C
	3.000	95.98	12/08/21	1,080.01	...	-984.03	6 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	32.00	12/08/21	881.03	...	-849.03	7 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	32.00	12/08/21	881.02	...	-849.02	8 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	32.00	12/08/21	596.01	...	-564.01	9 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	23.98	12/08/21	570.01	...	-546.03	10 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	31.98	12/08/21	360.02	...	-328.04	11 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	32.00	12/08/21	360.02	...	-328.02	12 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	32.00	12/08/21	360.01	...	-328.01	13 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	31.98	12/08/21	296.00	...	-264.02	14 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	35.00	12/08/21	296.00	...	-261.00	15 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	31.98	12/08/21	273.00	...	-241.02	16 of 18 - Option sale to close-put <sup>25</sup> C
	1.000	31.98	12/08/21	160.00	...	-128.02	17 of 18 - Option sale to close-put <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 12/10/2021 PUT \$230.00 / CUSIP: / Symbol: (cont'd)							
	2.000	63.99	12/08/21	104.00	...	-40.01	18 of 18 - Option sale to close-put C <sub>25</sub>
12/08/21	46.000	1,466.77	Various	19,345.30	...	-17,878.53	Total of 18 transactions
	26 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	4.000	139.98	12/08/21	2,324.02	546.01 W	-1,638.03	1 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	34.98	12/08/21	343.00	308.02 W	0.00	2 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	34.98	12/08/21	343.00	308.02 W	0.00	3 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	35.00	12/08/21	864.02	829.02 W	0.00	4 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	35.00	12/08/21	864.03	829.03 W	0.00	5 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	47.00	12/08/21	155.00	108.00 W	0.00	6 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	47.00	12/08/21	861.02	814.02 W	0.00	7 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	47.00	12/08/21	861.03	814.03 W	0.00	8 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	54.98	12/08/21	340.00	285.02 W	0.00	9 of 26 - Option sale to close-put <sup>25</sup> C
	1.000	54.98	12/08/21	340.00	285.02 W	0.00	10 of 26 - Option sale to close-put C <sub>25</sub>
	1.000	54.99	12/08/21	625.02	570.03 W	0.00	11 of 26 - Option sale to close-put C <sub>25</sub>
	1.000	55.00	12/08/21	625.02	570.02 W	0.00	12 of 26 - Option sale to close-put C <sub>25</sub>
	3.000	104.99	12/08/21	2,592.03	2,487.04 W	0.00	13 of 26 - Option sale to close-put C <sub>25</sub>
	4.000	139.99	12/08/21	1,372.01	1,232.02 W	0.00	14 of 26 - Option sale to close-put C <sub>25</sub>
	3.000	140.99	12/08/21	2,583.02	2,442.03 W	0.00	15 of 26 - Option sale to close-put C <sub>25</sub>
	3.000	164.99	12/08/21	1,020.00	855.01 W	0.00	16 of 26 - Option sale to close-put C <sub>25</sub>
	3.000	164.99	12/08/21	1,875.01	1,710.02 W	0.00	17 of 26 - Option sale to close-put C <sub>25</sub>
	5.000	174.99	12/08/21	2,895.03	2,720.04 W	0.00	18 of 26 - Option sale to close-put C <sub>25</sub>
	5.000	174.99	12/08/21	2,895.03	2,720.04 W	0.00	19 of 26 - Option sale to close-put C <sub>25</sub>
	5.000	234.99	12/08/21	2,880.02	2,645.03 W	0.00	20 of 26 - Option sale to close-put C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROKU 12/10/2021 PUT \$230.00 / CUSIP: / Symbol: (cont'd)							
	5.000	274.98	12/08/21	1,700.00	1,425.02 W	0.00	21 of 26 - Option sale to close-put <sup>25</sup> C
	6.000	281.99	12/08/21	2,040.00	1,758.01 W	0.00	22 of 26 - Option sale to close-put <sup>25</sup> C
	9.000	422.98	12/08/21	5,184.03	4,761.05 W	0.00	23 of 26 - Option sale to close-put <sup>25</sup> C
	10.000	469.97	12/08/21	2,680.00	2,210.03 W	0.00	24 of 26 - Option sale to close-put <sup>25</sup> C
	9.000	494.97	12/08/21	3,060.00	2,565.03 W	0.00	25 of 26 - Option sale to close-put <sup>25</sup> C
	11.000	516.97	12/08/21	3,201.00	2,684.03 W	0.00	26 of 26 - Option sale to close-put <sup>25</sup> C
12/08/21	96.000	4,403.67	Various	44,522.34	38,480.64 W	-1,638.03	Total of 26 transactions
	<b>Security total:</b>	<b>5,870.44</b>		<b>63,867.64</b>	<b>38,480.64 W</b>	<b>-19,516.56</b>	
ROKU 12/10/2021 PUT \$240.00 / CUSIP: / Symbol:							
	2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	18.000	1,835.95	12/08/21	2,034.00	...	-198.05	1 of 2 - Option sale to close-put <sup>25</sup> C
	2.000	203.98	12/08/21	248.02	...	-44.04	2 of 2 - Option sale to close-put <sup>25</sup> C
12/08/21	20.000	2,039.93	Various	2,282.02	...	-242.09	Total of 2 transactions
12/08/21	2.000	203.98	12/08/21	226.00	22.02 W	0.00	Option sale to close-put <sup>25</sup> C
	<b>Security total:</b>	<b>2,243.91</b>		<b>2,508.02</b>	<b>22.02 W</b>	<b>-242.09</b>	
ROOT 03/18/2022 CALL \$2.50 / CUSIP: / Symbol:							
	3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.						
	4.000	1,119.98	11/10/21	980.00	...	139.98	1 of 3 - Option sale to close-call <sup>25</sup>
	16.000	4,479.94	11/10/21	3,920.00	...	559.94	2 of 3 - Option sale to close-call <sup>25</sup>
	20.000	5,599.93	11/10/21	4,900.00	...	699.93	3 of 3 - Option sale to close-call <sup>25</sup>
11/11/21	40.000	11,199.85	Various	9,800.00	...	1,399.85	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 03/18/2022 CALL \$5.00 / CUSIP: / Symbol:							
<i>18 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	1 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	2 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	3 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	4 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	5 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	6 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	7 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	8 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	9 of 18 - Option sale to close-call 25
12.000		1,499.97	11/10/21	1,680.00	...	-180.03	10 of 18 - Option sale to close-call 25
6.000		749.98	11/10/21	840.00	...	-90.02	11 of 18 - Option sale to close-call 25
6.000		749.98	11/10/21	840.00	...	-90.02	12 of 18 - Option sale to close-call 25
5.000		624.98	11/10/21	700.00	...	-75.02	13 of 18 - Option sale to close-call 25
5.000		624.98	11/10/21	700.00	...	-75.02	14 of 18 - Option sale to close-call 25
3.000		374.98	11/10/21	420.00	...	-45.02	15 of 18 - Option sale to close-call 25
2.000		249.98	11/10/21	280.00	...	-30.02	16 of 18 - Option sale to close-call 25
2.000		249.98	11/10/21	280.00	...	-30.02	17 of 18 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 03/18/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	124.98	11/10/21	140.00	...	-15.02	18 of 18 - Option sale to close-call <sub>25</sub>
11/10/21	150.000	18,749.54	Various	21,000.00	...	-2,250.46	Total of 18 transactions
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
47 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	11/11/21	75.00	...	25.00	1 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	100.00	11/11/21	75.00	...	25.00	2 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	109.98	11/11/21	80.00	...	29.98	3 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	110.00	11/11/21	75.00	...	35.00	4 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	110.00	11/11/21	75.00	...	35.00	5 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	117.00	11/11/21	75.00	...	42.00	6 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	150.00	11/11/21	100.00	...	50.00	7 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	150.00	11/11/21	95.00	...	55.00	8 of 47 - Option sale to close-call <sub>25</sub> C
	2.000	219.98	11/11/21	160.00	...	59.98	9 of 47 - Option sale to close-call <sub>25</sub> C
	1.000	139.00	11/11/21	74.00	...	65.00	10 of 47 - Option sale to close-call <sub>25</sub> C
	2.000	219.99	11/11/21	150.00	...	69.99	11 of 47 - Option sale to close-call <sub>25</sub> C
	3.000	299.99	11/11/21	225.00	...	74.99	12 of 47 - Option sale to close-call <sub>25</sub> C
	3.000	299.99	11/11/21	225.00	...	74.99	13 of 47 - Option sale to close-call <sub>25</sub> C
	3.000	299.99	11/11/21	225.00	...	74.99	14 of 47 - Option sale to close-call <sub>25</sub> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	329.98	11/11/21	240.00	...	89.98	15 of 47 - Option sale to close-call C <sub>25</sub>
	2.000	299.99	11/11/21	190.00	...	109.99	16 of 47 - Option sale to close-call C <sub>25</sub>
	5.000	499.99	11/11/21	375.00	...	124.99	17 of 47 - Option sale to close-call C <sub>25</sub>
	5.000	549.98	11/11/21	400.00	...	149.98	18 of 47 - Option sale to close-call C <sub>25</sub>
	4.000	439.99	11/11/21	268.00	...	171.99	19 of 47 - Option sale to close-call C <sub>25</sub>
	6.000	659.98	11/11/21	480.00	...	179.98	20 of 47 - Option sale to close-call C <sub>25</sub>
	6.000	659.98	11/11/21	450.00	...	209.98	21 of 47 - Option sale to close-call C <sub>25</sub>
	6.000	659.99	11/11/21	450.00	...	209.99	22 of 47 - Option sale to close-call C <sub>25</sub>
	10.000	1,099.97	11/11/21	800.00	...	299.97	23 of 47 - Option sale to close-call C <sub>25</sub>
	5.000	694.98	11/11/21	375.00	...	319.98	24 of 47 - Option sale to close-call C <sub>25</sub>
	5.000	694.99	11/11/21	375.00	...	319.99	25 of 47 - Option sale to close-call C <sub>25</sub>
	12.000	1,319.97	11/11/21	960.00	...	359.97	26 of 47 - Option sale to close-call C <sub>25</sub>
	12.000	1,331.97	11/11/21	960.00	...	371.97	27 of 47 - Option sale to close-call C <sub>25</sub>
	15.000	1,649.96	11/11/21	1,200.00	...	449.96	28 of 47 - Option sale to close-call C <sub>25</sub>
	19.000	1,899.95	11/11/21	1,425.00	...	474.95	29 of 47 - Option sale to close-call C <sub>25</sub>
	16.000	1,759.96	11/11/21	1,280.00	...	479.96	30 of 47 - Option sale to close-call C <sub>25</sub>
	8.000	1,111.98	11/11/21	600.00	...	511.98	31 of 47 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	18.000	1,979.95	11/11/21	1,440.00	...	539.95	32 of 47 - Option sale to close-call C <sub>25</sub>
	11.000	1,528.97	11/11/21	825.00	...	703.97	33 of 47 - Option sale to close-call C <sub>25</sub>
	21.000	2,855.94	11/11/21	2,100.00	...	755.94	34 of 47 - Option sale to close-call C <sub>25</sub>
	21.000	2,855.94	11/11/21	2,100.00	...	755.94	35 of 47 - Option sale to close-call C <sub>25</sub>
	12.000	1,667.96	11/11/21	900.00	...	767.96	36 of 47 - Option sale to close-call C <sub>25</sub>
	12.000	1,667.97	11/11/21	900.00	...	767.97	37 of 47 - Option sale to close-call C <sub>25</sub>
	26.000	2,859.93	11/11/21	1,742.00	...	1,117.93	38 of 47 - Option sale to close-call C <sub>25</sub>
	41.000	4,345.89	11/11/21	3,075.00	...	1,270.89	39 of 47 - Option sale to close-call C <sub>25</sub>
	57.000	5,699.86	11/11/21	4,275.00	...	1,424.86	40 of 47 - Option sale to close-call C <sub>25</sub>
	59.000	6,253.85	11/11/21	4,425.00	...	1,828.85	41 of 47 - Option sale to close-call C <sub>25</sub>
	46.000	6,393.87	11/11/21	4,462.00	...	1,931.87	42 of 47 - Option sale to close-call C <sub>25</sub>
	49.000	5,732.87	11/11/21	3,675.00	...	2,057.87	43 of 47 - Option sale to close-call C <sub>25</sub>
	42.000	6,299.88	11/11/21	4,200.00	...	2,099.88	44 of 47 - Option sale to close-call C <sub>25</sub>
	58.000	8,177.83	11/11/21	5,800.00	...	2,377.83	45 of 47 - Option sale to close-call C <sub>25</sub>
	50.000	6,199.86	11/11/21	3,750.00	...	2,449.86	46 of 47 - Option sale to close-call C <sub>25</sub>
	54.000	8,099.85	11/11/21	5,238.00	...	2,861.85	47 of 47 - Option sale to close-call C <sub>25</sub>
11/11/21	738.000	90,709.95	Various	61,444.00	...	29,265.95	Total of 47 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
<i>103 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	74.98	11/11/21	277.03	202.05 W	0.00	1 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	191.00	116.00 W	0.00	2 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	221.00	146.00 W	0.00	3 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	251.02	176.02 W	0.00	4 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	259.01	184.01 W	0.00	5 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	281.01	206.01 W	0.00	6 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	281.02	206.02 W	0.00	7 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	311.05	236.05 W	0.00	8 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	75.00	11/11/21	341.05	266.05 W	0.00	9 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	77.00	11/11/21	159.00	82.00 W	0.00	10 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	77.00	11/11/21	219.02	142.02 W	0.00	11 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	77.00	11/11/21	219.03	142.03 W	0.00	12 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	79.98	11/11/21	179.01	99.03 W	0.00	13 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	79.99	11/11/21	179.01	99.02 W	0.00	14 of 103 - Option sale to close-call C <sub>25</sub>
	1.000	85.00	11/11/21	124.00	39.00 W	0.00	15 of 103 - Option sale to close-call C <sub>25</sub>
	2.000	150.00	11/11/21	382.02	232.02 W	0.00	16 of 103 - Option sale to close-call C <sub>25</sub>
	2.000	150.00	11/11/21	402.02	252.02 W	0.00	17 of 103 - Option sale to close-call C <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information	
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)									
	2.000	150.00	11/11/21	442.02	292.02 W	0.00		18 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	150.00	11/11/21	462.02	312.02 W	0.00		19 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	150.00	11/11/21	474.02	324.02 W	0.00		20 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	150.00	11/11/21	532.02	382.02 W	0.00		21 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	154.00	11/11/21	318.02	164.02 W	0.00		22 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	154.00	11/11/21	338.02	184.02 W	0.00		23 of 103 - Option sale to close-call <sup>25</sup>	C
	2.000	169.99	11/11/21	248.01	78.02 W	0.00		24 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	753.04	528.05 W	0.00		25 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	753.05	528.06 W	0.00		26 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	753.05	528.06 W	0.00		27 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	777.04	552.05 W	0.00		28 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	783.04	558.05 W	0.00		29 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	843.05	618.06 W	0.00		30 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	843.06	618.07 W	0.00		31 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	224.99	11/11/21	843.06	618.07 W	0.00		32 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/11/21	657.03	426.04 W	0.00		33 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/11/21	657.04	426.05 W	0.00		34 of 103 - Option sale to close-call <sup>25</sup>	C
	3.000	230.99	11/11/21	657.04	426.05 W	0.00		35 of 103 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	239.99	11/11/21	537.02	297.03 W	0.00	36 of 103 - Option sale to close-call <sup>25</sup> C
	3.000	239.99	11/11/21	537.03	297.04 W	0.00	37 of 103 - Option sale to close-call <sup>25</sup> C
	3.000	239.99	11/11/21	537.03	297.04 W	0.00	38 of 103 - Option sale to close-call <sup>25</sup> C
	3.000	254.99	11/11/21	402.01	147.02 W	0.00	39 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	436.00	136.01 W	0.00	40 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	968.05	668.06 W	0.00	41 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	1,036.05	736.06 W	0.00	42 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	1,108.05	808.06 W	0.00	43 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	1,124.06	824.07 W	0.00	44 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/11/21	1,228.06	928.07 W	0.00	45 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,000.02	625.03 W	0.00	46 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,150.03	775.04 W	0.00	47 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,180.05	805.06 W	0.00	48 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,255.06	880.07 W	0.00	49 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,255.06	880.07 W	0.00	50 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	374.99	11/11/21	1,405.07	1,030.08 W	0.00	51 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	384.99	11/11/21	1,020.04	635.05 W	0.00	52 of 103 - Option sale to close-call <sup>25</sup> C
	5.000	384.99	11/11/21	1,095.05	710.06 W	0.00	53 of 103 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	5.000	399.99	11/11/21	820.03	420.04 W	0.00	54 of 103 - Option sale to close-call <sup>25</sup>	C
	5.000	399.99	11/11/21	895.04	495.05 W	0.00	55 of 103 - Option sale to close-call <sup>25</sup>	C
	6.000	449.98	11/11/21	1,302.05	852.07 W	0.00	56 of 103 - Option sale to close-call <sup>25</sup>	C
	6.000	461.98	11/11/21	1,110.03	648.05 W	0.00	57 of 103 - Option sale to close-call <sup>25</sup>	C
	6.000	479.98	11/11/21	870.01	390.03 W	0.00	58 of 103 - Option sale to close-call <sup>25</sup>	C
	6.000	509.98	11/11/21	804.03	294.05 W	0.00	59 of 103 - Option sale to close-call <sup>25</sup>	C
	6.000	509.98	11/11/21	804.04	294.06 W	0.00	60 of 103 - Option sale to close-call <sup>25</sup>	C
	7.000	524.98	11/11/21	1,659.07	1,134.09 W	0.00	61 of 103 - Option sale to close-call <sup>25</sup>	C
	7.000	524.98	11/11/21	1,757.09	1,232.11 W	0.00	62 of 103 - Option sale to close-call <sup>25</sup>	C
	7.000	524.98	11/11/21	1,967.11	1,442.13 W	0.00	63 of 103 - Option sale to close-call <sup>25</sup>	C
	7.000	538.98	11/11/21	1,533.07	994.09 W	0.00	64 of 103 - Option sale to close-call <sup>25</sup>	C
	7.000	559.98	11/11/21	1,253.05	693.07 W	0.00	65 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	599.98	11/11/21	1,872.08	1,272.10 W	0.00	66 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	599.98	11/11/21	1,872.08	1,272.10 W	0.00	67 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	599.98	11/11/21	2,072.10	1,472.12 W	0.00	68 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	615.98	11/11/21	1,616.06	1,000.08 W	0.00	69 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	615.98	11/11/21	1,616.06	1,000.08 W	0.00	70 of 103 - Option sale to close-call <sup>25</sup>	C
	8.000	639.98	11/11/21	1,296.04	656.06 W	0.00	71 of 103 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	8.000	639.98	11/11/21	1,296.04	656.06 W	0.00	72 of 103 - Option sale to close-call <sup>25</sup> C
	8.000	663.98	11/11/21	800.00	136.02 W	0.00	73 of 103 - Option sale to close-call <sup>25</sup> C
	8.000	679.98	11/11/21	936.02	256.04 W	0.00	74 of 103 - Option sale to close-call <sup>25</sup> C
	8.000	679.98	11/11/21	936.02	256.04 W	0.00	75 of 103 - Option sale to close-call <sup>25</sup> C
	9.000	692.98	11/11/21	1,971.09	1,278.11 W	0.00	76 of 103 - Option sale to close-call <sup>25</sup> C
	9.000	719.98	11/11/21	1,611.07	891.09 W	0.00	77 of 103 - Option sale to close-call <sup>25</sup> C
	10.000	749.98	11/11/21	2,170.06	1,420.08 W	0.00	78 of 103 - Option sale to close-call <sup>25</sup> C
	10.000	749.98	11/11/21	2,370.08	1,620.10 W	0.00	79 of 103 - Option sale to close-call <sup>25</sup> C
	9.000	764.98	11/11/21	1,206.05	441.07 W	0.00	80 of 103 - Option sale to close-call <sup>25</sup> C
	10.000	769.98	11/11/21	1,850.04	1,080.06 W	0.00	81 of 103 - Option sale to close-call <sup>25</sup> C
	10.000	799.98	11/11/21	1,450.02	650.04 W	0.00	82 of 103 - Option sale to close-call <sup>25</sup> C
	11.000	824.97	11/11/21	2,387.09	1,562.12 W	0.00	83 of 103 - Option sale to close-call <sup>25</sup> C
	11.000	824.97	11/11/21	2,607.12	1,782.15 W	0.00	84 of 103 - Option sale to close-call <sup>25</sup> C
	11.000	846.97	11/11/21	2,035.06	1,188.09 W	0.00	85 of 103 - Option sale to close-call <sup>25</sup> C
	10.000	849.97	11/11/21	1,340.05	490.08 W	0.00	86 of 103 - Option sale to close-call <sup>25</sup> C
	11.000	879.97	11/11/21	1,595.03	715.06 W	0.00	87 of 103 - Option sale to close-call <sup>25</sup> C
	13.000	1,078.97	11/11/21	1,521.03	442.06 W	0.00	88 of 103 - Option sale to close-call <sup>25</sup> C
	15.000	1,124.96	11/11/21	3,255.12	2,130.16 W	0.00	89 of 103 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	15.000	1,154.96	11/11/21	2,775.08	1,620.12 W	0.00	90 of 103 - Option sale to close-call <sup>25</sup> C
	16.000	1,199.96	11/11/21	1,680.00	480.04 W	0.00	91 of 103 - Option sale to close-call <sup>25</sup> C
	17.000	1,444.96	11/11/21	1,700.00	255.04 W	0.00	92 of 103 - Option sale to close-call <sup>25</sup> C
	20.000	1,599.95	11/11/21	2,900.05	1,300.10 W	0.00	93 of 103 - Option sale to close-call <sup>25</sup> C
	29.000	2,406.92	11/11/21	3,393.08	986.16 W	0.00	94 of 103 - Option sale to close-call <sup>25</sup> C
	30.000	2,549.93	11/11/21	3,000.00	450.07 W	0.00	95 of 103 - Option sale to close-call <sup>25</sup> C
	40.000	2,999.90	11/11/21	4,200.00	1,200.10 W	0.00	96 of 103 - Option sale to close-call <sup>25</sup> C
	50.000	4,149.87	11/11/21	5,000.00	850.13 W	0.00	97 of 103 - Option sale to close-call <sup>25</sup> C
	2.000	149.99	11/12/21	230.01	80.02 W	0.00	98 of 103 - Option sale to close-call <sup>25</sup> C
	4.000	299.99	11/12/21	516.01	216.02 W	0.00	99 of 103 - Option sale to close-call <sup>25</sup> C
	8.000	599.98	11/12/21	1,896.09	1,296.11 W	0.00	100 of 103 - Option sale to close-call <sup>25</sup> C
	16.000	1,199.96	11/12/21	1,840.04	640.08 W	0.00	101 of 103 - Option sale to close-call <sup>25</sup> C
	32.000	2,399.92	11/12/21	2,720.00	320.08 W	0.00	102 of 103 - Option sale to close-call <sup>25</sup> C
	38.000	2,849.91	11/12/21	4,750.09	1,900.18 W	0.00	103 of 103 - Option sale to close-call <sup>25</sup> C
11/12/21	763.000	59,723.07	Various	125,740.15	66,017.08 W	0.00	Total of 103 transactions
	277 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	1.000	24.98	11/12/21	311.01	286.03 W	0.00	1 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.99	11/12/21	311.02	286.03 W	0.00	2 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/12/21	165.01	140.01 W	0.00	3 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/12/21	266.00	241.00 W	0.00	4 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	287.01	262.01 W	0.00	5 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	287.02	262.02 W	0.00	6 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	287.03	262.03 W	0.00	7 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	287.03	262.03 W	0.00	8 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	304.01	279.01 W	0.00	9 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	326.01	301.01 W	0.00	10 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	326.02	301.02 W	0.00	11 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	326.03	301.03 W	0.00	12 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	326.03	301.03 W	0.00	13 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	326.04	301.04 W	0.00	14 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	352.02	327.02 W	0.00	15 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	25.00	11/12/21	386.05	361.05 W	0.00	16 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	29.98	11/12/21	242.01	212.03 W	0.00	17 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	29.98	11/12/21	281.01	251.03 W	0.00	18 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	29.98	11/12/21	281.02	251.04 W	0.00	19 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	29.99	11/12/21	242.02	212.03 W	0.00	20 of 277 - Option sale to close-call C <sup>25</sup>
	1.000	30.00	11/12/21	221.00	191.00 W	0.00	21 of 277 - Option sale to close-call C <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	30.00	11/12/21	259.01	229.01 W	0.00	22 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	30.00	11/12/21	281.01	251.01 W	0.00	23 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	30.00	11/12/21	281.02	251.02 W	0.00	24 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	30.00	11/12/21	341.05	311.05 W	0.00	25 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/12/21	552.02	502.03 W	0.00	26 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/12/21	652.04	602.05 W	0.00	27 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	230.02	180.02 W	0.00	28 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	330.02	280.02 W	0.00	29 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	474.02	424.02 W	0.00	30 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	484.03	434.03 W	0.00	31 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	532.02	482.02 W	0.00	32 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	560.02	510.02 W	0.00	33 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	574.02	524.02 W	0.00	34 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	584.03	534.03 W	0.00	35 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/12/21	652.05	602.05 W	0.00	36 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	59.99	11/12/21	532.02	472.03 W	0.00	37 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	60.00	11/12/21	442.02	382.02 W	0.00	38 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	60.00	11/12/21	462.02	402.02 W	0.00	39 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	74.99	11/12/21	225.00	150.01 W	0.00	40 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	840.03	765.04 W	0.00	41 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	858.05	783.06 W	0.00	42 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	912.06	837.07 W	0.00	43 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	912.06	837.07 W	0.00	44 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	918.06	843.07 W	0.00	45 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	978.06	903.07 W	0.00	46 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	978.07	903.08 W	0.00	47 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	978.08	903.09 W	0.00	48 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/12/21	1,056.06	981.07 W	0.00	49 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	777.05	687.06 W	0.00	50 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	777.05	687.06 W	0.00	51 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	783.05	693.06 W	0.00	52 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	843.05	753.06 W	0.00	53 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	843.06	753.07 W	0.00	54 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	843.07	753.08 W	0.00	55 of 277 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/12/21	843.07	753.08 W	0.00	56 of 277 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/12/21	516.02	416.03 W	0.00	57 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	4.000	99.99	11/12/21	716.03	616.04 W	0.00	58 of 277 - Option sale to close-call <sup>25</sup>	C
	4.000	99.99	11/12/21	1,216.07	1,116.08 W	0.00	59 of 277 - Option sale to close-call <sup>25</sup>	C
	4.000	99.99	11/12/21	1,304.09	1,204.10 W	0.00	60 of 277 - Option sale to close-call <sup>25</sup>	C
	4.000	119.98	11/12/21	1,124.07	1,004.09 W	0.00	61 of 277 - Option sale to close-call <sup>25</sup>	C
	4.000	119.99	11/12/21	1,036.06	916.07 W	0.00	62 of 277 - Option sale to close-call <sup>25</sup>	C
	4.000	119.99	11/12/21	1,228.07	1,108.08 W	0.00	63 of 277 - Option sale to close-call <sup>25</sup>	C
	5.000	124.99	11/12/21	1,150.04	1,025.05 W	0.00	64 of 277 - Option sale to close-call <sup>25</sup>	C
	5.000	124.99	11/12/21	1,505.09	1,380.10 W	0.00	65 of 277 - Option sale to close-call <sup>25</sup>	C
	5.000	124.99	11/12/21	1,630.11	1,505.12 W	0.00	66 of 277 - Option sale to close-call <sup>25</sup>	C
	6.000	149.99	11/12/21	510.02	360.03 W	0.00	67 of 277 - Option sale to close-call <sup>25</sup>	C
	5.000	164.98	11/12/21	1,295.07	1,130.09 W	0.00	68 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/12/21	1,659.09	1,484.11 W	0.00	69 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/12/21	2,009.11	1,834.13 W	0.00	70 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/12/21	2,009.11	1,834.13 W	0.00	71 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.99	11/12/21	1,225.05	1,050.06 W	0.00	72 of 277 - Option sale to close-call <sup>25</sup>	C
	8.000	199.98	11/12/21	1,896.11	1,696.13 W	0.00	73 of 277 - Option sale to close-call <sup>25</sup>	C
	8.000	199.98	11/12/21	2,296.12	2,096.14 W	0.00	74 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	209.98	11/12/21	1,967.13	1,757.15 W	0.00	75 of 277 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	9.000	224.98	11/12/21	1,485.07	1,260.09 W	0.00	76 of 277 - Option sale to close-call <sup>25</sup> C
	9.000	224.98	11/12/21	2,574.11	2,349.13 W	0.00	77 of 277 - Option sale to close-call <sup>25</sup> C
	10.000	249.98	11/12/21	2,370.10	2,120.12 W	0.00	78 of 277 - Option sale to close-call <sup>25</sup> C
	11.000	274.98	11/12/21	2,607.15	2,332.17 W	0.00	79 of 277 - Option sale to close-call <sup>25</sup> C
	12.000	299.97	11/12/21	2,100.09	1,800.12 W	0.00	80 of 277 - Option sale to close-call <sup>25</sup> C
	16.000	399.96	11/12/21	1,840.08	1,440.12 W	0.00	81 of 277 - Option sale to close-call <sup>25</sup> C
	19.000	474.96	11/12/21	3,325.13	2,850.17 W	0.00	82 of 277 - Option sale to close-call <sup>25</sup> C
	26.000	649.94	11/12/21	2,210.06	1,560.12 W	0.00	83 of 277 - Option sale to close-call <sup>25</sup> C
	38.000	949.91	11/12/21	4,750.18	3,800.27 W	0.00	84 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	240.01	215.03 W	0.00	85 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	345.01	320.03 W	0.00	86 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	345.02	320.04 W	0.00	87 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	345.02	320.04 W	0.00	88 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.99	11/15/21	352.03	327.04 W	0.00	89 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	24.99	11/15/21	369.03	344.04 W	0.00	90 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	170.01	145.01 W	0.00	91 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	185.01	160.01 W	0.00	92 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	195.01	170.01 W	0.00	93 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	1.000	25.00	11/15/21	215.01	190.01 W	0.00	94 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	230.01	205.01 W	0.00	95 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	296.00	271.00 W	0.00	96 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	316.00	291.00 W	0.00	97 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.01	292.01 W	0.00	98 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.02	292.02 W	0.00	99 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.02	292.02 W	0.00	100 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.02	292.02 W	0.00	101 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.03	292.03 W	0.00	102 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	317.03	292.03 W	0.00	103 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	331.00	306.00 W	0.00	104 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	334.01	309.01 W	0.00	105 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	336.02	311.02 W	0.00	106 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	336.02	311.02 W	0.00	107 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	337.01	312.01 W	0.00	108 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	337.02	312.02 W	0.00	109 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	337.02	312.02 W	0.00	110 of 277 - Option sale to close-call <sup>25</sup>	C
	1.000	25.00	11/15/21	337.02	312.02 W	0.00	111 of 277 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	337.03	312.03 W	0.00	112 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	337.03	312.03 W	0.00	113 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	341.03	316.03 W	0.00	114 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	341.03	316.03 W	0.00	115 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	351.02	326.02 W	0.00	116 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	351.02	326.02 W	0.00	117 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.01	327.01 W	0.00	118 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.02	327.02 W	0.00	119 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.02	327.02 W	0.00	120 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.02	327.02 W	0.00	121 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.02	327.02 W	0.00	122 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.03	327.03 W	0.00	123 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	352.03	327.03 W	0.00	124 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	354.01	329.01 W	0.00	125 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	356.01	331.01 W	0.00	126 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	356.02	331.02 W	0.00	127 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	356.03	331.03 W	0.00	128 of 277 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	356.03	331.03 W	0.00	129 of 277 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 144486867
2021 1099-B* OMB No. 1545-0715	(continued)	03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	356.04	331.04 W	0.00	130 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	361.03	336.03 W	0.00	131 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	361.03	336.03 W	0.00	132 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	369.01	344.01 W	0.00	133 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.01	351.01 W	0.00	134 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.02	351.02 W	0.00	135 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.03	351.03 W	0.00	136 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.03	351.03 W	0.00	137 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.03	351.03 W	0.00	138 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.03	351.03 W	0.00	139 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.04	351.04 W	0.00	140 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	382.02	357.02 W	0.00	141 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.01	366.01 W	0.00	142 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.02	366.02 W	0.00	143 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.03	366.03 W	0.00	144 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.03	366.03 W	0.00	145 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.04	366.04 W	0.00	146 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.04	366.04 W	0.00	147 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	402.02	377.02 W	0.00	148 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.05	391.05 W	0.00	149 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	417.02	392.02 W	0.00	150 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	436.05	411.05 W	0.00	151 of 277 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	451.05	426.05 W	0.00	152 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.98	11/15/21	340.02	290.04 W	0.00	153 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.98	11/15/21	634.04	584.06 W	0.00	154 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.98	11/15/21	704.05	654.07 W	0.00	155 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	370.04	320.05 W	0.00	156 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	460.02	410.03 W	0.00	157 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	612.03	562.04 W	0.00	158 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	632.03	582.04 W	0.00	159 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	634.04	584.05 W	0.00	160 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	644.03	594.04 W	0.00	161 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	660.02	610.03 W	0.00	162 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	662.04	612.05 W	0.00	163 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	674.04	624.05 W	0.00	164 of 277 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	682.04	632.05 W	0.00	165 of 277 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	49.99	11/15/21	684.04	634.05 W	0.00	166 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	690.04	640.05 W	0.00	167 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	702.05	652.06 W	0.00	168 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	704.02	654.03 W	0.00	169 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	704.05	654.06 W	0.00	170 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	704.06	654.07 W	0.00	171 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	714.05	664.06 W	0.00	172 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	738.05	688.06 W	0.00	173 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	738.05	688.06 W	0.00	174 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	738.07	688.08 W	0.00	175 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	752.05	702.06 W	0.00	176 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	752.05	702.06 W	0.00	177 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	782.06	732.07 W	0.00	178 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	782.06	732.07 W	0.00	179 of 277 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	782.07	732.08 W	0.00	180 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	390.02	340.02 W	0.00	181 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	430.02	380.02 W	0.00	182 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	592.02	542.02 W	0.00	183 of 277 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	2.000	50.00	11/15/21	620.02	570.02 W	0.00	184 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	632.02	582.02 W	0.00	185 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	634.02	584.02 W	0.00	186 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	634.03	584.03 W	0.00	187 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	634.03	584.03 W	0.00	188 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	652.04	602.04 W	0.00	189 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	662.02	612.02 W	0.00	190 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	674.02	624.02 W	0.00	191 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	674.03	624.03 W	0.00	192 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	674.03	624.03 W	0.00	193 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	674.05	624.05 W	0.00	194 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	674.06	624.06 W	0.00	195 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	704.03	654.03 W	0.00	196 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	704.03	654.03 W	0.00	197 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	704.04	654.04 W	0.00	198 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	704.05	654.05 W	0.00	199 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	712.05	662.05 W	0.00	200 of 277 - Option sale to close-call <sup>25</sup>	C
	2.000	50.00	11/15/21	712.05	662.05 W	0.00	201 of 277 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	50.00	11/15/21	732.06	682.06 W	0.00	202 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	752.05	702.05 W	0.00	203 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	782.05	732.05 W	0.00	204 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	782.06	732.06 W	0.00	205 of 277 - Option sale to close-call <sup>25</sup>
	2.000	50.00	11/15/21	782.06	732.06 W	0.00	206 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.98	11/15/21	1,062.08	987.10 W	0.00	207 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	315.01	240.02 W	0.00	208 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	375.02	300.03 W	0.00	209 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	510.02	435.03 W	0.00	210 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	720.04	645.05 W	0.00	211 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	720.04	645.05 W	0.00	212 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	930.04	855.05 W	0.00	213 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	948.06	873.07 W	0.00	214 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	990.05	915.06 W	0.00	215 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	993.06	918.07 W	0.00	216 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	1,002.07	927.08 W	0.00	217 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	1,002.07	927.08 W	0.00	218 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	1,008.07	933.08 W	0.00	219 of 277 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	3.000	74.99	11/15/21	1,008.07	933.08 W	0.00	220 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,053.07	978.08 W	0.00	221 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,053.08	978.09 W	0.00	222 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,062.08	987.09 W	0.00	223 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,068.07	993.08 W	0.00	224 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,068.08	993.09 W	0.00	225 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,068.08	993.09 W	0.00	226 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,068.09	993.10 W	0.00	227 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,098.08	1,023.09 W	0.00	228 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,107.09	1,032.10 W	0.00	229 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,113.09	1,038.10 W	0.00	230 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,128.08	1,053.09 W	0.00	231 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,128.08	1,053.09 W	0.00	232 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,128.09	1,053.10 W	0.00	233 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,128.10	1,053.11 W	0.00	234 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,146.07	1,071.08 W	0.00	235 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,173.09	1,098.10 W	0.00	236 of 277 - Option sale to close-call <sup>25</sup>	C
	3.000	74.99	11/15/21	1,173.09	1,098.10 W	0.00	237 of 277 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	74.99	11/15/21	1,173.10	1,098.11 W	0.00	238 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	1,206.08	1,131.09 W	0.00	239 of 277 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/15/21	1,251.09	1,176.10 W	0.00	240 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	836.04	736.05 W	0.00	241 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	916.05	816.06 W	0.00	242 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	960.06	860.07 W	0.00	243 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	976.06	876.07 W	0.00	244 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,336.08	1,236.09 W	0.00	245 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,348.09	1,248.10 W	0.00	246 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,408.09	1,308.10 W	0.00	247 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,416.09	1,316.10 W	0.00	248 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,424.10	1,324.11 W	0.00	249 of 277 - Option sale to close-call <sup>25</sup>
	4.000	99.99	11/15/21	1,504.11	1,404.12 W	0.00	250 of 277 - Option sale to close-call <sup>25</sup>
	5.000	124.99	11/15/21	1,200.07	1,075.08 W	0.00	251 of 277 - Option sale to close-call <sup>25</sup>
	5.000	124.99	11/15/21	1,780.12	1,655.13 W	0.00	252 of 277 - Option sale to close-call <sup>25</sup>
	6.000	149.98	11/15/21	1,902.11	1,752.13 W	0.00	253 of 277 - Option sale to close-call <sup>25</sup>
	6.000	149.99	11/15/21	720.03	570.04 W	0.00	254 of 277 - Option sale to close-call <sup>25</sup>
	6.000	149.99	11/15/21	840.04	690.05 W	0.00	255 of 277 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)								
	6.000	149.99	11/15/21	900.04	750.05 W	0.00	256 of 277 - Option sale to close-call <sup>25</sup>	C
	6.000	149.99	11/15/21	1,902.11	1,752.12 W	0.00	257 of 277 - Option sale to close-call <sup>25</sup>	C
	6.000	149.99	11/15/21	2,022.12	1,872.13 W	0.00	258 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/15/21	1,435.06	1,260.08 W	0.00	259 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/15/21	1,575.08	1,400.10 W	0.00	260 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/15/21	2,212.10	2,037.12 W	0.00	261 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/15/21	2,352.12	2,177.14 W	0.00	262 of 277 - Option sale to close-call <sup>25</sup>	C
	7.000	174.98	11/15/21	2,457.14	2,282.16 W	0.00	263 of 277 - Option sale to close-call <sup>25</sup>	C
	8.000	199.98	11/15/21	920.04	720.06 W	0.00	264 of 277 - Option sale to close-call <sup>25</sup>	C
	8.000	199.98	11/15/21	1,080.06	880.08 W	0.00	265 of 277 - Option sale to close-call <sup>25</sup>	C
	9.000	224.97	11/15/21	1,755.09	1,530.12 W	0.00	266 of 277 - Option sale to close-call <sup>25</sup>	C
	9.000	224.97	11/15/21	1,935.12	1,710.15 W	0.00	267 of 277 - Option sale to close-call <sup>25</sup>	C
	9.000	224.98	11/15/21	2,025.10	1,800.12 W	0.00	268 of 277 - Option sale to close-call <sup>25</sup>	C
	9.000	224.98	11/15/21	2,070.15	1,845.17 W	0.00	269 of 277 - Option sale to close-call <sup>25</sup>	C
	10.000	249.97	11/15/21	2,250.11	2,000.14 W	0.00	270 of 277 - Option sale to close-call <sup>25</sup>	C
	10.000	249.98	11/15/21	2,400.14	2,150.16 W	0.00	271 of 277 - Option sale to close-call <sup>25</sup>	C
	12.000	299.97	11/15/21	2,460.12	2,160.15 W	0.00	272 of 277 - Option sale to close-call <sup>25</sup>	C
	12.000	299.97	11/15/21	2,700.15	2,400.18 W	0.00	273 of 277 - Option sale to close-call <sup>25</sup>	C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	12.000	299.97	11/15/21	2,880.18	2,580.21 W	0.00	274 of 277 - Option sale to close-call <sup>25</sup> C
	18.000	449.96	11/15/21	2,160.08	1,710.12 W	0.00	275 of 277 - Option sale to close-call <sup>25</sup> C
	18.000	449.96	11/15/21	2,520.12	2,070.16 W	0.00	276 of 277 - Option sale to close-call <sup>25</sup> C
	19.000	474.96	11/15/21	3,895.17	3,420.21 W	0.00	277 of 277 - Option sale to close-call <sup>25</sup> C
11/15/21	921.000	23,337.64	Various	236,150.80	212,813.16 W	0.00	Total of 277 transactions
238 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	24.98	11/15/21	165.01	140.03 W	0.00	1 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	165.01	140.03 W	0.00	2 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	165.01	140.03 W	0.00	3 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	165.01	140.03 W	0.00	4 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	377.02	352.04 W	0.00	5 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	377.03	352.05 W	0.00	6 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.98	11/15/21	377.03	352.05 W	0.00	7 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	24.99	11/15/21	370.03	345.04 W	0.00	8 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	140.01	115.01 W	0.00	9 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	150.01	125.01 W	0.00	10 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	155.01	130.01 W	0.00	11 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	160.01	135.01 W	0.00	12 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	165.01	140.01 W	0.00	13 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	165.01	140.01 W	0.00	14 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	165.02	140.02 W	0.00	15 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	175.01	150.01 W	0.00	16 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	175.02	150.02 W	0.00	17 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	200.01	175.01 W	0.00	18 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	210.01	185.01 W	0.00	19 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	245.01	220.01 W	0.00	20 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	255.01	230.01 W	0.00	21 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	255.02	230.02 W	0.00	22 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	255.02	230.02 W	0.00	23 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	255.03	230.03 W	0.00	24 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	265.02	240.02 W	0.00	25 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	265.02	240.02 W	0.00	26 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	265.03	240.03 W	0.00	27 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	346.00	321.00 W	0.00	28 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	356.00	331.00 W	0.00	29 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	360.03	335.03 W	0.00	30 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	360.04	335.04 W	0.00	31 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	360.04	335.04 W	0.00	32 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	366.00	341.00 W	0.00	33 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	366.02	341.02 W	0.00	34 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	366.02	341.02 W	0.00	35 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.01	342.01 W	0.00	36 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.02	342.02 W	0.00	37 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.02	342.02 W	0.00	38 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.02	342.02 W	0.00	39 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.02	342.02 W	0.00	40 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.03	342.03 W	0.00	41 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.03	342.03 W	0.00	42 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	367.04	342.04 W	0.00	43 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	370.04	345.04 W	0.00	44 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	370.04	345.04 W	0.00	45 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.02	351.02 W	0.00	46 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	376.02	351.02 W	0.00	47 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	377.01	352.01 W	0.00	48 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	377.02	352.02 W	0.00	49 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.02	352.02 W	0.00	50 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.02	352.02 W	0.00	51 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.02	352.02 W	0.00	52 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.03	352.03 W	0.00	53 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.03	352.03 W	0.00	54 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	377.04	352.04 W	0.00	55 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	384.01	359.01 W	0.00	56 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	384.04	359.04 W	0.00	57 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	386.02	361.02 W	0.00	58 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	386.02	361.02 W	0.00	59 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	386.04	361.04 W	0.00	60 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.01	362.01 W	0.00	61 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.02	362.02 W	0.00	62 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.02	362.02 W	0.00	63 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.03	362.03 W	0.00	64 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.03	362.03 W	0.00	65 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	387.04	362.04 W	0.00	66 of 238 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	391.03	366.03 W	0.00	67 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	391.03	366.03 W	0.00	68 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	394.01	369.01 W	0.00	69 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	394.04	369.04 W	0.00	70 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	401.03	376.03 W	0.00	71 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	401.03	376.03 W	0.00	72 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	404.01	379.01 W	0.00	73 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	404.04	379.04 W	0.00	74 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.01	381.01 W	0.00	75 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.02	381.02 W	0.00	76 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.03	381.03 W	0.00	77 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.03	381.03 W	0.00	78 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.03	381.03 W	0.00	79 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.04	381.04 W	0.00	80 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	406.04	381.04 W	0.00	81 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	411.03	386.03 W	0.00	82 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	411.03	386.03 W	0.00	83 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.01	391.01 W	0.00	84 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	416.02	391.02 W	0.00	85 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.03	391.03 W	0.00	86 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.03	391.03 W	0.00	87 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.03	391.03 W	0.00	88 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.04	391.04 W	0.00	89 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.04	391.04 W	0.00	90 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	416.04	391.04 W	0.00	91 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.01	401.01 W	0.00	92 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.02	401.02 W	0.00	93 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.03	401.03 W	0.00	94 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.03	401.03 W	0.00	95 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.03	401.03 W	0.00	96 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.04	401.04 W	0.00	97 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.04	401.04 W	0.00	98 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.04	401.04 W	0.00	99 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	426.04	401.04 W	0.00	100 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	432.02	407.02 W	0.00	101 of 238 - Option sale to close-call <sup>25</sup> C
	1.000	25.00	11/15/21	442.02	417.02 W	0.00	102 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/15/21	452.02	427.02 W	0.00	103 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	466.05	441.05 W	0.00	104 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	476.05	451.05 W	0.00	105 of 238 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/15/21	486.05	461.05 W	0.00	106 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	280.02	230.03 W	0.00	107 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	400.05	350.06 W	0.00	108 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	490.03	440.04 W	0.00	109 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	490.04	440.05 W	0.00	110 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	510.03	460.04 W	0.00	111 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	510.03	460.04 W	0.00	112 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	510.05	460.06 W	0.00	113 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	530.04	480.05 W	0.00	114 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	712.02	662.03 W	0.00	115 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	720.05	670.06 W	0.00	116 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	732.03	682.04 W	0.00	117 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	734.04	684.05 W	0.00	118 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	734.05	684.06 W	0.00	119 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	734.06	684.07 W	0.00	120 of 238 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	49.99	11/15/21	734.07	684.08 W	0.00	121 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	734.07	684.08 W	0.00	122 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	740.06	690.07 W	0.00	123 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	744.06	694.07 W	0.00	124 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	752.05	702.06 W	0.00	125 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	754.06	704.07 W	0.00	126 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	754.07	704.08 W	0.00	127 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	754.08	704.09 W	0.00	128 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	754.08	704.09 W	0.00	129 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	764.07	714.08 W	0.00	130 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	768.08	718.09 W	0.00	131 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	772.07	722.08 W	0.00	132 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	774.03	724.04 W	0.00	133 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	774.03	724.04 W	0.00	134 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	774.03	724.04 W	0.00	135 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	774.08	724.09 W	0.00	136 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	774.09	724.10 W	0.00	137 of 238 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/15/21	782.06	732.07 W	0.00	138 of 238 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	49.99	11/15/21	788.06	738.07 W	0.00	139 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	788.09	738.10 W	0.00	140 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	802.07	752.08 W	0.00	141 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	812.07	762.08 W	0.00	142 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	812.07	762.08 W	0.00	143 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	812.07	762.08 W	0.00	144 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	812.08	762.09 W	0.00	145 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	832.06	782.07 W	0.00	146 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	832.06	782.07 W	0.00	147 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	832.07	782.08 W	0.00	148 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	832.08	782.09 W	0.00	149 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	832.09	782.10 W	0.00	150 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.05	802.06 W	0.00	151 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.07	802.08 W	0.00	152 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.08	802.09 W	0.00	153 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.08	802.09 W	0.00	154 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.09	802.10 W	0.00	155 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	49.99	11/15/21	852.10	802.11 W	0.00	156 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	50.00	11/15/21	300.03	250.03 W	0.00	157 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	420.06	370.06 W	0.00	158 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	510.04	460.04 W	0.00	159 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	530.04	480.04 W	0.00	160 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	692.02	642.02 W	0.00	161 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	712.05	662.05 W	0.00	162 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	732.05	682.05 W	0.00	163 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	734.03	684.03 W	0.00	164 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	734.03	684.03 W	0.00	165 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	734.03	684.03 W	0.00	166 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	754.03	704.03 W	0.00	167 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	754.03	704.03 W	0.00	168 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	754.03	704.03 W	0.00	169 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	762.06	712.06 W	0.00	170 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	768.06	718.06 W	0.00	171 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	768.06	718.06 W	0.00	172 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	774.07	724.07 W	0.00	173 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	774.09	724.09 W	0.00	174 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	50.00	11/15/21	784.08	734.08 W	0.00	175 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	788.06	738.06 W	0.00	176 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	808.06	758.06 W	0.00	177 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	808.07	758.07 W	0.00	178 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	808.10	758.10 W	0.00	179 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	812.05	762.05 W	0.00	180 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	812.06	762.06 W	0.00	181 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	812.06	762.06 W	0.00	182 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	832.05	782.05 W	0.00	183 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	832.08	782.08 W	0.00	184 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	832.08	782.08 W	0.00	185 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	852.07	802.07 W	0.00	186 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	852.08	802.08 W	0.00	187 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	50.00	11/15/21	852.09	802.09 W	0.00	188 of 238 - Option sale to close-call <sup>25</sup> C
	2.000	59.99	11/15/21	310.02	250.03 W	0.00	189 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	495.04	420.05 W	0.00	190 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	525.05	450.06 W	0.00	191 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	585.04	510.05 W	0.00	192 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	74.99	11/15/21	615.05	540.06 W	0.00	193 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,098.09	1,023.10 W	0.00	194 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,128.10	1,053.11 W	0.00	195 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,131.08	1,056.09 W	0.00	196 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,143.09	1,068.10 W	0.00	197 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,152.10	1,077.11 W	0.00	198 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,158.10	1,083.11 W	0.00	199 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,173.10	1,098.11 W	0.00	200 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,182.11	1,107.12 W	0.00	201 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,188.11	1,113.12 W	0.00	202 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,203.11	1,128.12 W	0.00	203 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,212.12	1,137.13 W	0.00	204 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,218.10	1,143.11 W	0.00	205 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,218.11	1,143.12 W	0.00	206 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,218.12	1,143.13 W	0.00	207 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,248.12	1,173.13 W	0.00	208 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,296.10	1,221.11 W	0.00	209 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	74.99	11/15/21	1,326.11	1,251.12 W	0.00	210 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	74.99	11/15/21	1,356.12	1,281.13 W	0.00	211 of 238 - Option sale to close-call <sup>25</sup> C
	3.000	89.99	11/15/21	555.03	465.04 W	0.00	212 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,020.06	920.07 W	0.00	213 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,020.07	920.08 W	0.00	214 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,036.07	936.08 W	0.00	215 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,060.07	960.08 W	0.00	216 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,060.08	960.09 W	0.00	217 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,076.08	976.09 W	0.00	218 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	99.99	11/15/21	1,468.10	1,368.11 W	0.00	219 of 238 - Option sale to close-call <sup>25</sup> C
	4.000	119.98	11/15/21	620.03	500.05 W	0.00	220 of 238 - Option sale to close-call <sup>25</sup> C
	5.000	124.99	11/15/21	1,275.08	1,150.09 W	0.00	221 of 238 - Option sale to close-call <sup>25</sup> C
	5.000	124.99	11/15/21	1,325.09	1,200.10 W	0.00	222 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.98	11/15/21	990.07	840.09 W	0.00	223 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.98	11/15/21	1,530.10	1,380.12 W	0.00	224 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.98	11/15/21	1,530.11	1,380.13 W	0.00	225 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.99	11/15/21	1,530.10	1,380.11 W	0.00	226 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.99	11/15/21	1,590.11	1,440.12 W	0.00	227 of 238 - Option sale to close-call <sup>25</sup> C
	6.000	149.99	11/15/21	1,590.12	1,440.13 W	0.00	228 of 238 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	6.000	149.99	11/15/21	1,590.13	1,440.14 W	0.00	229 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.98	11/15/21	1,715.13	1,540.15 W	0.00	230 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.98	11/15/21	1,785.15	1,610.17 W	0.00	231 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.98	11/15/21	2,562.16	2,387.18 W	0.00	232 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.98	11/15/21	2,632.18	2,457.20 W	0.00	233 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.98	11/15/21	2,702.20	2,527.22 W	0.00	234 of 238 - Option sale to close-call <sup>25</sup> C
	7.000	174.99	11/15/21	1,155.08	980.09 W	0.00	235 of 238 - Option sale to close-call <sup>25</sup> C
	8.000	199.98	11/15/21	1,200.08	1,000.10 W	0.00	236 of 238 - Option sale to close-call <sup>25</sup> C
	8.000	199.98	11/15/21	1,280.10	1,080.12 W	0.00	237 of 238 - Option sale to close-call <sup>25</sup> C
	17.000	424.96	11/15/21	2,635.15	2,210.19 W	0.00	238 of 238 - Option sale to close-call <sup>25</sup> C
11/16/21	500.000	12,543.71	Various	161,484.22	148,940.51 W	0.00	Total of 238 transactions
	15 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	1.000	4.98	11/15/21	386.03	381.05 W	0.00	1 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	4.99	11/15/21	386.05	381.06 W	0.00	2 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	4.99	11/15/21	387.05	382.06 W	0.00	3 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	5.00	11/15/21	380.04	375.04 W	0.00	4 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	5.00	11/15/21	380.04	375.04 W	0.00	5 of 15 - Option sale to close-call <sup>25</sup> C
	1.000	5.00	11/15/21	380.04	375.04 W	0.00	6 of 15 - Option sale to close-call <sup>25</sup> C

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	11/15/21	386.02	381.02 W	0.00	7 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	5.00	11/15/21	386.03	381.03 W	0.00	8 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	5.00	11/15/21	386.03	381.03 W	0.00	9 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	5.00	11/15/21	387.02	382.02 W	0.00	10 of 15 - Option sale to close-call C <sub>25</sub>
	1.000	5.00	11/15/21	387.04	382.04 W	0.00	11 of 15 - Option sale to close-call C <sub>25</sub>
	2.000	9.99	11/15/21	760.07	750.08 W	0.00	12 of 15 - Option sale to close-call C <sub>25</sub>
	3.000	14.99	11/15/21	822.06	807.07 W	0.00	13 of 15 - Option sale to close-call C <sub>25</sub>
	4.000	19.99	11/15/21	1,112.09	1,092.10 W	0.00	14 of 15 - Option sale to close-call C <sub>25</sub>
	6.000	29.98	11/15/21	1,644.14	1,614.16 W	0.00	15 of 15 - Option sale to close-call C <sub>25</sub>
11/18/21	26.000	129.91	Various	8,569.75	8,439.84 W	0.00	Total of 15 transactions
118 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	11/15/21	1,820.17	...	-1,820.17	1 of 118 - Option expiration- long call
	5.000	0.00	11/15/21	1,350.10	...	-1,350.10	2 of 118 - Option expiration- long call
	7.000	0.00	11/15/21	1,190.09	...	-1,190.09	3 of 118 - Option expiration- long call
	4.000	0.00	11/15/21	1,096.09	...	-1,096.09	4 of 118 - Option expiration- long call
	4.000	0.00	11/15/21	1,080.08	...	-1,080.08	5 of 118 - Option expiration- long call
	6.000	0.00	11/15/21	1,020.09	...	-1,020.09	6 of 118 - Option expiration- long call
	3.000	0.00	11/15/21	822.06	...	-822.06	7 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	11/15/21	810.07	...	-810.07	8 of 118 - Option expiration- long call
	3.000	0.00	11/15/21	630.06	...	-630.06	9 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	550.04	...	-550.04	10 of 118 - Option expiration- long call
	3.000	0.00	11/15/21	540.06	...	-540.06	11 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	540.05	...	-540.05	12 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	520.06	...	-520.06	13 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	520.04	...	-520.04	14 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	430.06	...	-430.06	15 of 118 - Option expiration- long call
	2.000	0.00	11/15/21	330.03	...	-330.03	16 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	275.02	...	-275.02	17 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	275.02	...	-275.02	18 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	274.02	...	-274.02	19 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	274.02	...	-274.02	20 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	270.03	...	-270.03	21 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	270.02	...	-270.02	22 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	260.01	...	-260.01	23 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	215.01	...	-215.01	24 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	180.02	...	-180.02	25 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/15/21	180.01	...	-180.01	26 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	170.03	...	-170.03	27 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	170.03	...	-170.03	28 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	170.03	...	-170.03	29 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	170.03	...	-170.03	30 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	170.01	...	-170.01	31 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	165.01	...	-165.01	32 of 118 - Option expiration- long call
	1.000	0.00	11/15/21	165.01	...	-165.01	33 of 118 - Option expiration- long call
	7.000	0.00	11/16/21	2,667.22	...	-2,667.22	34 of 118 - Option expiration- long call
	3.000	0.00	11/16/21	1,341.13	...	-1,341.13	35 of 118 - Option expiration- long call
	3.000	0.00	11/16/21	1,203.13	...	-1,203.13	36 of 118 - Option expiration- long call
	3.000	0.00	11/16/21	1,197.13	...	-1,197.13	37 of 118 - Option expiration- long call
	3.000	0.00	11/16/21	1,188.12	...	-1,188.12	38 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.11	...	-842.11	39 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.10	...	-842.10	40 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.09	...	-842.09	41 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.09	...	-842.09	42 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.09	...	-842.09	43 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	11/16/21	842.08	...	-842.08	44 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.08	...	-842.08	45 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.07	...	-842.07	46 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	842.06	...	-842.06	47 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	798.10	...	-798.10	48 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	798.07	...	-798.07	49 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	798.06	...	-798.06	50 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	792.08	...	-792.08	51 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	774.08	...	-774.08	52 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.10	...	-764.10	53 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.09	...	-764.09	54 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.09	...	-764.09	55 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.07	...	-764.07	56 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.04	...	-764.04	57 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.04	...	-764.04	58 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	764.04	...	-764.04	59 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	762.08	...	-762.08	60 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	742.06	...	-742.06	61 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	11/16/21	722.04	...	-722.04	62 of 118 - Option expiration- long call
	4.000	0.00	11/16/21	620.06	...	-620.06	63 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	481.05	...	-481.05	64 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	447.02	...	-447.02	65 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.04	...	-421.04	66 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.04	...	-421.04	67 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.04	...	-421.04	68 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.04	...	-421.04	69 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.03	...	-421.03	70 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.03	...	-421.03	71 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.03	...	-421.03	72 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.02	...	-421.02	73 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	421.01	...	-421.01	74 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	406.03	...	-406.03	75 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	406.03	...	-406.03	76 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	402.06	...	-402.06	77 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	402.04	...	-402.04	78 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	402.02	...	-402.02	79 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/16/21	399.04	...	-399.04	80 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	399.01	...	-399.01	81 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	395.04	...	-395.04	82 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	395.04	...	-395.04	83 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	395.04	...	-395.04	84 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	395.04	...	-395.04	85 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	395.04	...	-395.04	86 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.04	...	-382.04	87 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.03	...	-382.03	88 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.03	...	-382.03	89 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.02	...	-382.02	90 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.02	...	-382.02	91 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	382.01	...	-382.01	92 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	381.04	...	-381.04	93 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	381.02	...	-381.02	94 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	381.02	...	-381.02	95 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	361.00	...	-361.00	96 of 118 - Option expiration- long call
	2.000	0.00	11/16/21	290.03	...	-290.03	97 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/16/21	160.02	...	-160.02	98 of 118 - Option expiration- long call
	1.000	0.00	11/16/21	145.01	...	-145.01	99 of 118 - Option expiration- long call
	182.000	0.00	11/17/21	2,730.00	...	-2,730.00	100 of 118 - Option expiration- long call
	6.000	0.00	11/17/21	1,704.16	...	-1,704.16	101 of 118 - Option expiration- long call
	100.000	0.00	11/17/21	1,300.00	...	-1,300.00	102 of 118 - Option expiration- long call
	4.000	0.00	11/17/21	1,152.10	...	-1,152.10	103 of 118 - Option expiration- long call
	3.000	0.00	11/17/21	852.07	...	-852.07	104 of 118 - Option expiration- long call
	50.000	0.00	11/17/21	650.00	...	-650.00	105 of 118 - Option expiration- long call
	50.000	0.00	11/17/21	500.00	...	-500.00	106 of 118 - Option expiration- long call
	50.000	0.00	11/17/21	500.00	...	-500.00	107 of 118 - Option expiration- long call
	1.000	0.00	11/17/21	396.06	...	-396.06	108 of 118 - Option expiration- long call
	1.000	0.00	11/17/21	396.05	...	-396.05	109 of 118 - Option expiration- long call
	1.000	0.00	11/17/21	396.03	...	-396.03	110 of 118 - Option expiration- long call
	1.000	0.00	11/17/21	396.03	...	-396.03	111 of 118 - Option expiration- long call
	1.000	0.00	11/17/21	396.02	...	-396.02	112 of 118 - Option expiration- long call
	18.000	0.00	11/17/21	270.00	...	-270.00	113 of 118 - Option expiration- long call
	9.000	0.00	11/17/21	135.00	...	-135.00	114 of 118 - Option expiration- long call
	5.000	0.00	11/17/21	50.00	...	-50.00	115 of 118 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROOT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	11/17/21	30.00	...	-30.00	116 of 118 - Option expiration-long call
	2.000	0.00	11/17/21	20.00	...	-20.00	117 of 118 - Option expiration-long call
	1.000	0.00	11/17/21	15.00	...	-15.00	118 of 118 - Option expiration-long call
11/19/21	670.000	0.00	Various	70,021.67	...	-70,021.67	Total of 118 transactions
	<b>Security total:</b>	<b>186,444.28</b>		<b>663,410.59</b>	<b>436,210.59 W</b>	<b>-40,755.72</b>	
ROOT 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
27 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	11/10/21	80.00	...	19.98	1 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	80.00	...	19.98	2 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	80.00	...	19.98	3 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	80.00	...	19.98	4 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	80.00	...	19.98	5 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	72.00	...	27.98	6 of 27 - Option sale to close-call <sub>25</sub>
	1.000	99.98	11/10/21	72.00	...	27.98	7 of 27 - Option sale to close-call <sub>25</sub>
	2.000	199.98	11/10/21	160.00	...	39.98	8 of 27 - Option sale to close-call <sub>25</sub>
	2.000	199.98	11/10/21	160.00	...	39.98	9 of 27 - Option sale to close-call <sub>25</sub>
	2.000	199.99	11/10/21	160.00	...	39.99	10 of 27 - Option sale to close-call <sub>25</sub>
	2.000	200.00	11/10/21	160.00	...	40.00	11 of 27 - Option sale to close-call <sub>25</sub>
	3.000	299.98	11/10/21	240.00	...	59.98	12 of 27 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT 12/17/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	4.000	399.98	11/10/21	320.00	...	79.98	13 of 27 - Option sale to close-call <sup>25</sup>
	4.000	399.99	11/10/21	320.00	...	79.99	14 of 27 - Option sale to close-call <sup>25</sup>
	5.000	499.98	11/10/21	400.00	...	99.98	15 of 27 - Option sale to close-call <sup>25</sup>
	5.000	499.98	11/10/21	400.00	...	99.98	16 of 27 - Option sale to close-call <sup>25</sup>
	5.000	499.98	11/10/21	400.00	...	99.98	17 of 27 - Option sale to close-call <sup>25</sup>
	5.000	499.98	11/10/21	400.00	...	99.98	18 of 27 - Option sale to close-call <sup>25</sup>
	7.000	699.98	11/10/21	560.00	...	139.98	19 of 27 - Option sale to close-call <sup>25</sup>
	9.000	899.98	11/10/21	720.00	...	179.98	20 of 27 - Option sale to close-call <sup>25</sup>
	9.000	899.98	11/10/21	720.00	...	179.98	21 of 27 - Option sale to close-call <sup>25</sup>
	8.000	799.98	11/10/21	576.00	...	223.98	22 of 27 - Option sale to close-call <sup>25</sup>
	12.000	1,199.97	11/10/21	960.00	...	239.97	23 of 27 - Option sale to close-call <sup>25</sup>
	12.000	1,199.97	11/10/21	960.00	...	239.97	24 of 27 - Option sale to close-call <sup>25</sup>
	15.000	1,499.96	11/10/21	1,200.00	...	299.96	25 of 27 - Option sale to close-call <sup>25</sup>
	17.000	1,699.96	11/10/21	1,360.00	...	339.96	26 of 27 - Option sale to close-call <sup>25</sup>
	25.000	2,499.94	11/10/21	2,000.00	...	499.94	27 of 27 - Option sale to close-call <sup>25</sup>
11/11/21	160.000	15,999.40	Various	12,720.00	...	3,279.40	Total of 27 transactions
S 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/08/21	3.000	1,769.98	12/08/21	1,710.00	...	59.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
<i>21 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	52.000	0.00	05/21/21	1,820.00	...	-1,820.00	1 of 21 - Option expiration- long call
	90.000	0.00	05/21/21	1,350.00	...	-1,350.00	2 of 21 - Option expiration- long call
	25.000	0.00	05/21/21	875.00	...	-875.00	3 of 21 - Option expiration- long call
	10.000	0.00	05/21/21	350.00	...	-350.00	4 of 21 - Option expiration- long call
	10.000	0.00	05/21/21	230.00	...	-230.00	5 of 21 - Option expiration- long call
	2.000	0.00	05/21/21	70.00	...	-70.00	6 of 21 - Option expiration- long call
	2.000	0.00	05/21/21	70.00	...	-70.00	7 of 21 - Option expiration- long call
	2.000	0.00	05/21/21	70.00	...	-70.00	8 of 21 - Option expiration- long call
	2.000	0.00	05/21/21	70.00	...	-70.00	9 of 21 - Option expiration- long call
	2.000	0.00	05/21/21	50.00	...	-50.00	10 of 21 - Option expiration- long call
	3.000	0.00	05/21/21	45.00	...	-45.00	11 of 21 - Option expiration- long call
	3.000	0.00	05/21/21	45.00	...	-45.00	12 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	35.00	...	-35.00	13 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	35.00	...	-35.00	14 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	35.00	...	-35.00	15 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	35.00	...	-35.00	16 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	35.00	...	-35.00	17 of 21 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 05/21/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	05/21/21	15.00	...	-15.00	18 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	15.00	...	-15.00	19 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	15.00	...	-15.00	20 of 21 - Option expiration- long call
	1.000	0.00	05/21/21	15.00	...	-15.00	21 of 21 - Option expiration- long call
05/21/21	212.000	0.00	Various	5,280.00	...	-5,280.00	Total of 21 transactions
SDC 11/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	169.000	6,928.62	11/08/21	23,322.00	...	-16,393.38	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	122.99	11/09/21	111.00	...	11.99	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	286.99	11/09/21	259.00	...	27.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/10/21	179.000	7,338.60	Various	23,692.00	...	-16,353.40	Total of 3 transactions
SFIX 09/24/2021 CALL \$40.00 / CUSIP: / Symbol:							
7 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	6.000	881.98	09/22/21	1,374.00	...	-492.02	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	293.98	09/22/21	458.00	...	-164.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	146.98	09/22/21	229.00	...	-82.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	146.98	09/22/21	229.00	...	-82.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	146.98	09/22/21	229.00	...	-82.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	146.98	09/22/21	227.01	...	-80.03	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	146.98	09/22/21	227.00	...	-80.02	7 of 7 - Option sale to close-call <sup>25</sup>
09/23/21	13.000	1,910.86	Various	2,973.01	...	-1,062.15	Total of 7 transactions
09/23/21	4.000	587.98	09/22/21	916.00	164.01 W	-164.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,498.84</b>		<b>3,889.01</b>	<b>164.01 W</b>	<b>-1,226.16</b>	
SHOP 04/30/2021 CALL \$1,140.00 / CUSIP: / Symbol:							
3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	4,549.96	04/26/21	4,190.00	...	359.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4,549.97	04/26/21	4,159.12	...	390.85	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOP 04/30/2021 CALL \$1,140.00 / CUSIP: / Symbol: (cont'd)							
	2.000	9,099.95	04/26/21	7,200.00	...	1,899.95	3 of 3 - Option sale to close-call <sup>25</sup>
04/26/21	4.000	18,199.88	Various	15,549.12	...	2,650.76	Total of 3 transactions
3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	4,039.96	04/26/21	4,189.00	149.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4,039.96	04/26/21	4,339.04	299.08 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4,129.96	04/26/21	4,489.08	359.12 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/26/21	3.000	12,209.88	Various	13,017.12	807.24 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>30,409.76</b>		<b>28,566.24</b>	<b>807.24 W</b>	<b>2,650.76</b>	
SHOP 05/07/2021 CALL \$1,190.00 / CUSIP: / Symbol:							
6 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	15.000	35,249.79	04/30/21	43,350.00	...	-8,100.21	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	14,099.91	04/30/21	15,720.00	...	-1,620.09	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,349.99	04/30/21	3,110.03	...	-760.04	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,349.99	04/30/21	3,110.03	...	-760.04	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,349.99	04/30/21	3,040.03	...	-690.04	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	2,349.98	04/30/21	2,400.00	...	-50.02	6 of 6 - Option sale to close-call <sup>25</sup>
04/30/21	25.000	58,749.65	Various	70,730.09	...	-11,980.44	Total of 6 transactions
3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	2,399.97	04/30/21	2,890.00	490.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,399.97	04/30/21	2,890.00	490.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,469.97	04/30/21	2,890.00	420.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/30/21	3.000	7,269.91	Various	8,670.00	1,400.09 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>66,019.56</b>		<b>79,400.09</b>	<b>1,400.09 W</b>	<b>-11,980.44</b>	
SKLZ 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
11 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	10.000	999.97	03/26/21	1,310.00	...	-310.03	1 of 11 - Option sale to close-call <sup>25</sup>
	10.000	999.97	03/26/21	1,310.00	...	-310.03	2 of 11 - Option sale to close-call <sup>25</sup>
	5.000	499.99	03/26/21	690.00	...	-190.01	3 of 11 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SKLZ 04/16/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	5.000	499.99	03/26/21	690.00	...	-190.01	4 of 11 - Option sale to close-call <sub>25</sub>
	4.000	399.99	03/26/21	520.00	...	-120.01	5 of 11 - Option sale to close-call <sub>25</sub>
	2.000	199.99	03/26/21	280.00	...	-80.01	6 of 11 - Option sale to close-call <sub>25</sub>
	1.000	100.00	03/26/21	140.00	...	-40.00	7 of 11 - Option sale to close-call <sub>25</sub>
	1.000	100.00	03/26/21	140.00	...	-40.00	8 of 11 - Option sale to close-call <sub>25</sub>
	1.000	100.00	03/26/21	140.00	...	-40.00	9 of 11 - Option sale to close-call <sub>25</sub>
	1.000	100.00	03/26/21	135.00	...	-35.00	10 of 11 - Option sale to close-call <sub>25</sub>
	2.000	199.99	03/30/21	220.00	...	-20.01	11 of 11 - Option sale to close-call <sub>25</sub>
04/06/21	42.000	4,199.89	Various	5,575.00	...	-1,375.11	Total of 11 transactions
SKLZ 04/23/2021 CALL \$14.00 / CUSIP: / Symbol:							
21 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	04/21/21	40.00	...	60.00	1 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	2 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	3 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	4 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	5 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	6 of 21 - Option sale to close-call <sub>25</sub>
	1.000	100.00	04/21/21	40.00	...	60.00	7 of 21 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 04/23/2021 CALL \$14.00 / CUSIP: / Symbol: (cont'd)							
	3.000	299.99	04/21/21	120.00	...	179.99	8 of 21 - Option sale to close-call <sub>25</sub>
	3.000	299.99	04/21/21	120.00	...	179.99	9 of 21 - Option sale to close-call <sub>25</sub>
	3.000	299.99	04/21/21	120.00	...	179.99	10 of 21 - Option sale to close-call <sub>25</sub>
	3.000	299.99	04/21/21	120.00	...	179.99	11 of 21 - Option sale to close-call <sub>25</sub>
	4.000	399.99	04/21/21	160.00	...	239.99	12 of 21 - Option sale to close-call <sub>25</sub>
	4.000	399.99	04/21/21	156.00	...	243.99	13 of 21 - Option sale to close-call <sub>25</sub>
	5.000	499.99	04/21/21	200.00	...	299.99	14 of 21 - Option sale to close-call <sub>25</sub>
	7.000	699.98	04/21/21	280.00	...	419.98	15 of 21 - Option sale to close-call <sub>25</sub>
	9.000	899.97	04/21/21	360.00	...	539.97	16 of 21 - Option sale to close-call <sub>25</sub>
	10.000	999.97	04/21/21	390.00	...	609.97	17 of 21 - Option sale to close-call <sub>25</sub>
	10.000	999.98	04/21/21	390.00	...	609.98	18 of 21 - Option sale to close-call <sub>25</sub>
	14.000	1,399.96	04/21/21	560.00	...	839.96	19 of 21 - Option sale to close-call <sub>25</sub>
	24.000	2,399.94	04/21/21	960.00	...	1,439.94	20 of 21 - Option sale to close-call <sub>25</sub>
	94.000	9,399.75	04/21/21	3,760.00	...	5,639.75	21 of 21 - Option sale to close-call <sub>25</sub>
04/21/21	200.000	19,999.48	Various	7,976.00	...	12,023.48	Total of 21 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SKLZ 05/07/2021 CALL \$17.00 / CUSIP: / Symbol:							
<i>17 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i>							
	37.000	3,958.90	05/03/21	4,625.10	...	-666.20	1 of 17 - Option sale to close-call 25
	17.000	1,784.96	05/03/21	2,108.05	...	-323.09	2 of 17 - Option sale to close-call 25
	7.000	734.98	05/03/21	868.02	...	-133.04	3 of 17 - Option sale to close-call 25
	6.000	641.98	05/03/21	750.02	...	-108.04	4 of 17 - Option sale to close-call 25
	5.000	524.99	05/03/21	625.01	...	-100.02	5 of 17 - Option sale to close-call 25
	4.000	419.99	05/03/21	500.01	...	-80.02	6 of 17 - Option sale to close-call 25
	4.000	419.99	05/03/21	500.01	...	-80.02	7 of 17 - Option sale to close-call 25
	3.000	314.99	05/03/21	375.01	...	-60.02	8 of 17 - Option sale to close-call 25
	2.000	213.99	05/03/21	270.02	...	-56.03	9 of 17 - Option sale to close-call 25
	2.000	214.00	05/03/21	268.01	...	-54.01	10 of 17 - Option sale to close-call 25
	2.000	209.99	05/03/21	250.01	...	-40.02	11 of 17 - Option sale to close-call 25
	2.000	209.99	05/03/21	250.00	...	-40.01	12 of 17 - Option sale to close-call 25
	2.000	210.00	05/03/21	248.00	...	-38.00	13 of 17 - Option sale to close-call 25
	2.000	214.00	05/03/21	250.02	...	-36.02	14 of 17 - Option sale to close-call 25
	1.000	105.00	05/03/21	125.02	...	-20.02	15 of 17 - Option sale to close-call 25
	1.000	105.00	05/03/21	124.00	...	-19.00	16 of 17 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 05/07/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	1.000	107.00	05/03/21	125.00	...	-18.00	17 of 17 - Option sale to close-call <sup>25</sup>
05/03/21	98.000	10,389.75	Various	12,261.31	...	-1,871.56	Total of 17 transactions
	9 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	27.000	2,834.93	05/03/21	3,348.07	38.01 W	-475.13	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	104.98	05/03/21	115.00	10.02 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	209.98	05/03/21	230.00	20.02 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	209.98	05/03/21	230.00	20.02 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	210.00	05/03/21	250.02	40.02 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	7.000	734.98	05/03/21	805.00	70.02 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	27.000	2,861.93	05/03/21	3,105.00	243.07 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	27.000	2,861.93	05/03/21	3,105.00	243.07 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	57.000	5,984.85	05/03/21	6,555.00	570.15 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
05/03/21	152.000	16,013.56	Various	17,743.09	1,254.40 W	-475.13	Total of 9 transactions
	<b>Security total:</b>	<b>26,403.31</b>		<b>30,004.40</b>	<b>1,254.40 W</b>	<b>-2,346.69</b>	
SNAP 10/29/2021 CALL \$50.00 / CUSIP: / Symbol:							
	6 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	12.000	3,119.96	10/29/21	3,984.04	...	-864.08	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,559.98	10/29/21	1,776.00	...	-216.02	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	519.99	10/29/21	664.01	...	-144.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	260.00	10/29/21	332.02	...	-72.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	260.00	10/29/21	332.01	...	-72.01	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	519.99	10/29/21	592.00	...	-72.01	6 of 6 - Option sale to close-call <sup>25</sup>
10/29/21	24.000	6,239.92	Various	7,680.08	...	-1,440.16	Total of 6 transactions
	3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	259.98	10/29/21	296.00	36.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	779.98	10/29/21	888.00	108.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	12.000	3,119.96	10/29/21	3,552.00	432.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/29/21	16.000	4,159.92	Various	4,736.00	576.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>10,399.84</b>		<b>12,416.08</b>	<b>576.08 W</b>	<b>-1,440.16</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS 03/12/2021 PUT \$6.00 / CUSIP: / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	03/09/21	52.00	42.02 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	19.98	03/09/21	104.00	84.02 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	7.000	69.98	03/09/21	364.00	294.02 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
03/11/21	10.000	99.94	Various	520.00	420.06 W	0.00	Total of 3 transactions
8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	46.000	0.00	03/09/21	2,392.00	...	-2,392.00	1 of 8 - Option expiration- long put
	30.000	0.00	03/09/21	1,560.00	...	-1,560.00	2 of 8 - Option expiration- long put
	30.000	0.00	03/09/21	1,410.00	...	-1,410.00	3 of 8 - Option expiration- long put
	7.000	0.00	03/09/21	658.02	...	-658.02	4 of 8 - Option expiration- long put
	2.000	0.00	03/09/21	188.02	...	-188.02	5 of 8 - Option expiration- long put
	3.000	0.00	03/09/21	156.00	...	-156.00	6 of 8 - Option expiration- long put
	1.000	0.00	03/09/21	94.02	...	-94.02	7 of 8 - Option expiration- long put
	1.000	0.00	03/09/21	52.00	...	-52.00	8 of 8 - Option expiration- long put
03/12/21	120.000	0.00	Various	6,510.06	...	-6,510.06	Total of 8 transactions
	<b>Security total:</b>	<b>99.94</b>		<b>7,030.06</b>	<b>420.06 W</b>	<b>-6,510.06</b>	

SOS 05/28/2021 CALL \$3.00 / CUSIP: / Symbol:

15 transactions for 05/25/21. Total proceeds and cost reported to the IRS.

	30.000	329.93	05/24/21	1,020.07	...	-690.14	1 of 15 - Option sale to close-call <sup>25</sup>
	14.000	153.97	05/24/21	476.04	...	-322.07	2 of 15 - Option sale to close-call <sup>25</sup>
	9.000	98.98	05/24/21	306.02	...	-207.04	3 of 15 - Option sale to close-call <sup>25</sup>
	5.000	54.99	05/24/21	190.02	...	-135.03	4 of 15 - Option sale to close-call <sup>25</sup>
	3.000	32.99	05/24/21	114.02	...	-81.03	5 of 15 - Option sale to close-call <sup>25</sup>
	2.000	22.00	05/24/21	76.00	...	-54.00	6 of 15 - Option sale to close-call <sup>25</sup>
	2.000	21.99	05/24/21	68.01	...	-46.02	7 of 15 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS 05/28/2021 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	1.000	11.00	05/24/21	38.00	...	-27.00	8 of 15 - Option sale to close-call <sub>25</sub>
	1.000	11.00	05/24/21	38.00	...	-27.00	9 of 15 - Option sale to close-call <sub>25</sub>
	3.000	32.99	05/25/21	114.02	...	-81.03	10 of 15 - Option sale to close-call <sub>25</sub>
	3.000	32.99	05/25/21	114.01	...	-81.02	11 of 15 - Option sale to close-call <sub>25</sub>
	1.000	10.99	05/25/21	38.01	...	-27.02	12 of 15 - Option sale to close-call <sub>25</sub>
	1.000	10.99	05/25/21	38.00	...	-27.01	13 of 15 - Option sale to close-call <sub>25</sub>
	1.000	11.00	05/25/21	38.00	...	-27.00	14 of 15 - Option sale to close-call <sub>25</sub>
	1.000	11.00	05/25/21	35.00	...	-24.00	15 of 15 - Option sale to close-call <sub>25</sub>
05/25/21	77.000	846.81	Various	2,703.22	...	-1,856.41	Total of 15 transactions
	12 transactions for 05/25/21. Total proceeds and cost reported to the IRS.						
	72.000	791.84	05/21/21	2,160.00	1,140.13 W	-228.03	1 of 12 - Option sale to close-call <sub>25</sub>
	2.000	22.00	05/21/21	60.00	38.00 W	0.00	2 of 12 - Option sale to close-call <sub>25</sub>
	10.000	109.98	05/21/21	300.00	190.02 W	0.00	3 of 12 - Option sale to close-call <sub>25</sub>
	16.000	175.96	05/21/21	480.00	304.04 W	0.00	4 of 12 - Option sale to close-call <sub>25</sub>
	15.000	164.96	05/24/21	510.03	92.02 W	-253.05	5 of 12 - Option sale to close-call <sub>25</sub>
	1.000	11.00	05/24/21	34.00	23.00 W	0.00	6 of 12 - Option sale to close-call <sub>25</sub>
	1.000	11.00	05/24/21	34.00	23.00 W	0.00	7 of 12 - Option sale to close-call <sub>25</sub>
	2.000	22.00	05/24/21	68.00	46.00 W	0.00	8 of 12 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOS 05/28/2021 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	2.000	22.00	05/24/21	68.00	46.00 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	3.000	32.99	05/24/21	102.01	69.02 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	4.000	43.99	05/24/21	136.01	92.02 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>
	5.000	54.99	05/24/21	170.01	115.02 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
05/25/21	133.000	1,462.71	Various	4,122.06	2,178.27 W	-481.08	Total of 12 transactions
	<b>Security total:</b>	<b>2,309.52</b>		<b>6,825.28</b>	<b>2,178.27 W</b>	<b>-2,337.49</b>	
SPCE 05/28/2021 CALL \$24.00 / CUSIP: / Symbol:							
7 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	19.000	3,172.94	05/24/21	3,610.00	...	-437.06	1 of 7 - Option sale to close-call <sup>25</sup>
	18.000	3,005.95	05/24/21	3,258.00	...	-252.05	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	167.00	05/24/21	202.01	...	-35.01	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	168.99	05/24/21	181.00	...	-12.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	167.00	05/24/21	164.00	...	3.00	5 of 7 - Option sale to close-call <sup>25</sup>
	4.000	667.99	05/24/21	660.00	...	7.99	6 of 7 - Option sale to close-call <sup>25</sup>
	19.000	3,172.94	05/25/21	3,800.00	...	-627.06	7 of 7 - Option sale to close-call <sup>25</sup>
05/25/21	63.000	10,522.81	Various	11,875.01	...	-1,352.20	Total of 7 transactions
05/25/21	1.000	168.99	05/24/21	181.00	12.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>10,691.80</b>		<b>12,056.01</b>	<b>12.01 W</b>	<b>-1,352.20</b>	
SPCE 10/01/2021 CALL \$26.00 / CUSIP: / Symbol:							
8 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	20.000	219.94	09/30/21	580.00	...	-360.06	1 of 8 - Option sale to close-call <sup>25</sup>
	15.000	74.96	09/30/21	435.00	...	-360.04	2 of 8 - Option sale to close-call <sup>25</sup>
	5.000	24.99	09/30/21	235.01	...	-210.02	3 of 8 - Option sale to close-call <sup>25</sup>
	5.000	24.98	09/30/21	145.00	...	-120.02	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	9.99	09/30/21	94.00	...	-84.01	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.98	09/30/21	47.00	...	-42.02	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	4.98	09/30/21	47.00	...	-42.02	7 of 8 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 10/01/2021 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.99	09/30/21	47.00	...	-42.01	8 of 8 - Option sale to close-call <sup>25</sup>
10/01/21	50.000	369.81	Various	1,630.01	...	-1,260.20	Total of 8 transactions
4 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	11.00	09/30/21	29.00	18.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	22.00	09/30/21	58.00	36.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	22.00	09/30/21	58.00	36.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	54.99	09/30/21	145.00	90.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/01/21	10.000	109.99	Various	290.00	180.01 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>479.80</b>		<b>1,920.01</b>	<b>180.01 W</b>	<b>-1,260.20</b>	

SPCE 10/22/2021 CALL \$20.00 / CUSIP: / Symbol:

28 transactions for 10/19/21. Total proceeds and cost reported to the IRS.

	51.000	2,651.87	10/18/21	2,703.00	...	-51.13	1 of 28 - Option sale to close-call <sup>25</sup>
	45.000	2,339.89	10/18/21	2,385.00	...	-45.11	2 of 28 - Option sale to close-call <sup>25</sup>
	14.000	727.97	10/18/21	742.00	...	-14.03	3 of 28 - Option sale to close-call <sup>25</sup>
	11.000	571.97	10/18/21	583.00	...	-11.03	4 of 28 - Option sale to close-call <sup>25</sup>
	9.000	467.98	10/18/21	477.00	...	-9.02	5 of 28 - Option sale to close-call <sup>25</sup>
	7.000	363.98	10/18/21	371.00	...	-7.02	6 of 28 - Option sale to close-call <sup>25</sup>
	6.000	311.98	10/18/21	318.00	...	-6.02	7 of 28 - Option sale to close-call <sup>25</sup>
	6.000	311.98	10/18/21	318.00	...	-6.02	8 of 28 - Option sale to close-call <sup>25</sup>
	4.000	207.99	10/18/21	212.00	...	-4.01	9 of 28 - Option sale to close-call <sup>25</sup>
	3.000	155.99	10/18/21	159.00	...	-3.01	10 of 28 - Option sale to close-call <sup>25</sup>
	2.000	104.00	10/18/21	106.00	...	-2.00	11 of 28 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 10/22/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	104.00	10/18/21	106.00	...	-2.00	12 of 28 - Option sale to close-call <sub>25</sub>
	2.000	104.00	10/18/21	106.00	...	-2.00	13 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	14 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	15 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	16 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	17 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	18 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	19 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	20 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	53.00	...	-1.00	21 of 28 - Option sale to close-call <sub>25</sub>
	1.000	51.99	10/18/21	39.00	...	12.99	22 of 28 - Option sale to close-call <sub>25</sub>
	1.000	51.99	10/18/21	39.00	...	12.99	23 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	39.00	...	13.00	24 of 28 - Option sale to close-call <sub>25</sub>
	1.000	52.00	10/18/21	39.00	...	13.00	25 of 28 - Option sale to close-call <sub>25</sub>
	2.000	103.99	10/18/21	78.00	...	25.99	26 of 28 - Option sale to close-call <sub>25</sub>
	3.000	155.99	10/18/21	117.01	...	38.98	27 of 28 - Option sale to close-call <sub>25</sub>
	6.000	311.98	10/18/21	234.01	...	77.97	28 of 28 - Option sale to close-call <sub>25</sub>
10/19/21	185.000	9,619.54	Various	9,595.02	...	24.52	Total of 28 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 10/22/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
6 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	21.000	1,091.95	10/18/21	1,113.00	6.01 W	-15.04	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	52.00	10/18/21	53.00	1.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	52.00	10/18/21	53.00	1.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	52.00	10/18/21	53.00	1.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	52.00	10/18/21	53.00	1.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	259.99	10/18/21	265.00	5.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
10/19/21	30.000	1,559.94	Various	1,590.00	15.02 W	-15.04	Total of 6 transactions
	<b>Security total:</b>	<b>11,179.48</b>		<b>11,185.02</b>	<b>15.02 W</b>	<b>9.48</b>	

SPCE 10/22/2021 PUT \$20.00 / CUSIP: / Symbol:

9 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	11.000	549.97	10/19/21	1,023.03	...	-473.06	1 of 9 - Option sale to close-put <sup>25</sup>
	10.000	499.97	10/19/21	930.02	...	-430.05	2 of 9 - Option sale to close-put <sup>25</sup>
	6.000	239.98	10/19/21	558.01	...	-318.03	3 of 9 - Option sale to close-put <sup>25</sup>
	5.000	249.99	10/19/21	465.01	...	-215.02	4 of 9 - Option sale to close-put <sup>25</sup>
	8.000	319.97	10/19/21	520.00	...	-200.03	5 of 9 - Option sale to close-put <sup>25</sup>
	5.000	199.99	10/19/21	325.00	...	-125.01	6 of 9 - Option sale to close-put <sup>25</sup>
	2.000	99.98	10/19/21	186.01	...	-86.03	7 of 9 - Option sale to close-put <sup>25</sup>
	2.000	100.00	10/19/21	186.00	...	-86.00	8 of 9 - Option sale to close-put <sup>25</sup>
	1.000	39.98	10/19/21	65.00	...	-25.02	9 of 9 - Option sale to close-put <sup>25</sup>
10/22/21	50.000	2,299.83	Various	4,258.08	...	-1,958.25	Total of 9 transactions
10/22/21	50.000	1,849.89	10/19/21	3,250.00	1,008.08 W	-392.03	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>4,149.72</b>		<b>7,508.08</b>	<b>1,008.08 W</b>	<b>-2,350.28</b>	

SRPT 05/07/2021 CALL \$75.00 / CUSIP: / Symbol:

14 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	1.000	350.00	05/03/21	290.00	...	60.00	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	350.00	05/03/21	280.00	...	70.00	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	360.00	05/03/21	280.00	...	80.00	3 of 14 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SRPT 05/07/2021 CALL \$75.00 / CUSIP: / Symbol: (cont'd)							
	1.000	369.98	05/03/21	280.00	...	89.98	4 of 14 - Option sale to close-call <sup>25</sup>
	2.000	743.98	05/03/21	560.00	...	183.98	5 of 14 - Option sale to close-call <sup>25</sup>
	2.000	743.98	05/03/21	560.00	...	183.98	6 of 14 - Option sale to close-call <sup>25</sup>
	2.000	759.98	05/03/21	560.00	...	199.98	7 of 14 - Option sale to close-call <sup>25</sup>
	3.000	1,079.98	05/03/21	840.00	...	239.98	8 of 14 - Option sale to close-call <sup>25</sup>
	4.000	1,439.98	05/03/21	1,120.00	...	319.98	9 of 14 - Option sale to close-call <sup>25</sup>
	4.000	1,519.98	05/03/21	1,120.00	...	399.98	10 of 14 - Option sale to close-call <sup>25</sup>
	9.000	3,149.96	05/03/21	2,520.00	...	629.96	11 of 14 - Option sale to close-call <sup>25</sup>
	15.000	5,249.94	05/03/21	4,200.00	...	1,049.94	12 of 14 - Option sale to close-call <sup>25</sup>
	12.000	4,643.95	05/03/21	3,360.00	...	1,283.95	13 of 14 - Option sale to close-call <sup>25</sup>
	24.000	8,399.90	05/03/21	6,720.00	...	1,679.90	14 of 14 - Option sale to close-call <sup>25</sup>
05/03/21	81.000	29,161.61	Various	22,690.00	...	6,471.61	Total of 14 transactions
TMC 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	8.000	199.98	09/14/21	1,104.00	...	-904.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	09/14/21	188.02	...	-163.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	49.99	09/14/21	150.00	...	-100.01	3 of 3 - Option sale to close-call <sup>25</sup>
09/15/21	11.000	274.97	Various	1,442.02	...	-1,167.05	Total of 3 transactions
09/15/21	1.000	24.98	09/14/21	138.00	113.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>299.95</b>		<b>1,580.02</b>	<b>113.02 W</b>	<b>-1,167.05</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 04/30/2021 CALL \$690.00 / CUSIP: / Symbol:							
12 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	919.99	04/30/21	695.00	...	224.99	1 of 12 - Option sale to close-call 25
	2.000	2,069.98	04/30/21	1,500.00	...	569.98	2 of 12 - Option sale to close-call 25
	3.000	2,759.98	04/30/21	2,085.00	...	674.98	3 of 12 - Option sale to close-call 25
	3.000	2,759.98	04/30/21	2,085.00	...	674.98	4 of 12 - Option sale to close-call 25
	3.000	2,759.98	04/30/21	2,082.00	...	677.98	5 of 12 - Option sale to close-call 25
	5.000	4,599.97	04/30/21	3,750.00	...	849.97	6 of 12 - Option sale to close-call 25
	3.000	3,104.98	04/30/21	2,250.00	...	854.98	7 of 12 - Option sale to close-call 25
	5.000	4,599.96	04/30/21	3,700.00	...	899.96	8 of 12 - Option sale to close-call 25
	5.000	7,024.95	04/30/21	4,025.00	...	2,999.95	9 of 12 - Option sale to close-call 25
	5.000	7,474.95	04/30/21	4,025.00	...	3,449.95	10 of 12 - Option sale to close-call 25
	10.000	11,999.91	04/30/21	8,050.00	...	3,949.91	11 of 12 - Option sale to close-call 25
	10.000	10,349.93	04/30/21	6,050.00	...	4,299.93	12 of 12 - Option sale to close-call 25
04/30/21	55.000	60,424.56	Various	40,297.00	...	20,127.56	Total of 12 transactions
TSLA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol:							
11 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	6.000	1,289.98	05/20/21	1,746.06	...	-456.08	1 of 11 - Option sale to close-call 25
	5.000	1,074.97	05/20/21	1,330.05	...	-255.08	2 of 11 - Option sale to close-call 25
	2.000	429.99	05/20/21	586.02	...	-156.03	3 of 11 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
	2.000	429.99	05/20/21	582.02	...	-152.03	4 of 11 - Option sale to close-call <sub>25</sub>
	1.000	205.99	05/20/21	320.01	...	-114.02	5 of 11 - Option sale to close-call <sub>25</sub>
	1.000	215.00	05/20/21	306.02	...	-91.02	6 of 11 - Option sale to close-call <sub>25</sub>
	1.000	215.00	05/20/21	266.02	...	-51.02	7 of 11 - Option sale to close-call <sub>25</sub>
	1.000	215.00	05/20/21	266.00	...	-51.00	8 of 11 - Option sale to close-call <sub>25</sub>
	1.000	215.00	05/20/21	266.00	...	-51.00	9 of 11 - Option sale to close-call <sub>25</sub>
	1.000	215.00	05/20/21	266.00	...	-51.00	10 of 11 - Option sale to close-call <sub>25</sub>
	1.000	206.00	05/20/21	196.00	...	10.00	11 of 11 - Option sale to close-call <sub>25</sub>
05/20/21	22.000	4,711.92	Various	6,130.20	...	-1,418.28	Total of 11 transactions
	18 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	6.000	1,235.98	05/20/21	1,842.04	505.05 W	-101.01	1 of 18 - Option sale to close-call <sub>25</sub>
	1.000	164.00	05/20/21	181.00	17.00 W	0.00	2 of 18 - Option sale to close-call <sub>25</sub>
	1.000	164.00	05/20/21	292.00	128.00 W	0.00	3 of 18 - Option sale to close-call <sub>25</sub>
	1.000	164.00	05/20/21	292.00	128.00 W	0.00	4 of 18 - Option sale to close-call <sub>25</sub>
	1.000	164.00	05/20/21	292.00	128.00 W	0.00	5 of 18 - Option sale to close-call <sub>25</sub>
	1.000	205.99	05/20/21	307.01	101.02 W	0.00	6 of 18 - Option sale to close-call <sub>25</sub>
	1.000	206.00	05/20/21	307.00	101.00 W	0.00	7 of 18 - Option sale to close-call <sub>25</sub>
	1.000	206.00	05/20/21	307.00	101.00 W	0.00	8 of 18 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
	1.000	206.00	05/20/21	307.00	101.00 W	0.00	9 of 18 - Option sale to close-call <sup>25</sup>
	2.000	327.99	05/20/21	494.00	166.01 W	0.00	10 of 18 - Option sale to close-call <sup>25</sup>
	2.000	327.99	05/20/21	660.01	332.02 W	0.00	11 of 18 - Option sale to close-call <sup>25</sup>
	2.000	411.99	05/20/21	664.01	252.02 W	0.00	12 of 18 - Option sale to close-call <sup>25</sup>
	2.000	411.99	05/20/21	668.01	256.02 W	0.00	13 of 18 - Option sale to close-call <sup>25</sup>
	2.000	411.99	05/20/21	694.02	282.03 W	0.00	14 of 18 - Option sale to close-call <sup>25</sup>
	7.000	1,147.97	05/20/21	2,044.02	896.05 W	0.00	15 of 18 - Option sale to close-call <sup>25</sup>
	6.000	1,235.98	05/20/21	1,992.04	756.06 W	0.00	16 of 18 - Option sale to close-call <sup>25</sup>
	10.000	1,639.97	05/20/21	3,170.03	1,530.06 W	0.00	17 of 18 - Option sale to close-call <sup>25</sup>
	20.000	3,279.95	05/20/21	4,940.00	1,660.05 W	0.00	18 of 18 - Option sale to close-call <sup>25</sup>
05/20/21	67.000	11,911.79	Various	19,453.19	7,440.39 W	-101.01	Total of 18 transactions
	<b>Security total:</b>	<b>16,623.71</b>		<b>25,583.39</b>	<b>7,440.39 W</b>	<b>-1,519.29</b>	
TSLA 10/08/2021 CALL \$800.00 / CUSIP: / Symbol:							
10/08/21	4.000	1,071.98	10/07/21	2,140.00	...	-1,068.02	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 PUT \$1,030.00 / CUSIP: / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	1,899.99	10/26/21	1,515.00	...	384.99	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	3,799.98	10/26/21	3,030.00	...	769.98	2 of 3 - Option sale to close-put <sup>25</sup>
	7.000	13,299.91	10/26/21	10,605.00	...	2,694.91	3 of 3 - Option sale to close-put <sup>25</sup>
10/26/21	10.000	18,999.88	Various	15,150.00	...	3,849.88	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/29/2021 PUT \$990.00 / CUSIP: / Symbol: 2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	2,779.97	10/25/21	2,600.00	...	179.97	1 of 2 - Option sale to close-put <sup>25</sup>
	8.000	11,119.92	10/25/21	10,400.00	...	719.92	2 of 2 - Option sale to close-put <sup>25</sup>
10/25/21	10.000	13,899.89	Various	13,000.00	...	899.89	Total of 2 transactions
TSLA 11/12/2021 PUT \$1,040.00 / CUSIP: / Symbol: 4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	1,459.99	11/12/21	1,450.00	...	9.99	1 of 4 - Option sale to close-put <sup>25</sup>
	3.000	2,189.98	11/12/21	2,175.00	...	14.98	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,469.98	11/12/21	1,450.00	...	19.98	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,469.99	11/12/21	1,450.00	...	19.99	4 of 4 - Option sale to close-put <sup>25</sup>
11/12/21	9.000	6,589.94	Various	6,525.00	...	64.94	Total of 4 transactions
TSLA 11/19/2021 PUT \$980.00 / CUSIP: / Symbol: 4 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	3.000	4,409.97	11/15/21	4,800.06	...	-390.09	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	2,849.98	11/15/21	2,790.00	...	59.98	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	2,879.98	11/15/21	2,790.00	...	89.98	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	2,939.98	11/15/21	2,410.00	...	529.98	4 of 4 - Option sale to close-put <sup>25</sup>
11/15/21	9.000	13,079.91	Various	12,790.06	...	289.85	Total of 4 transactions
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	3.000	4,274.97	11/15/21	5,595.00	1,320.03 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	4,319.97	11/15/21	5,505.03	1,185.06 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/15/21	6.000	8,594.94	Various	11,100.03	2,505.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>21,674.85</b>		<b>23,890.09</b>	<b>2,505.09 W</b>	<b>289.85</b>	
TWTR 10/29/2021 CALL \$61.50 / CUSIP: / Symbol: 2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	7.000	223.97	10/26/21	2,555.00	...	-2,331.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	32.00	10/26/21	365.00	...	-333.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	8.000	255.97	Various	2,920.00	...	-2,664.03	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol:							
<i>85 transactions for 04/29/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	519.98	04/29/21	1,340.12	...	-820.14	1 of 85 - Option sale to close-call 25
	10.000	519.97	04/29/21	1,260.12	...	-740.15	2 of 85 - Option sale to close-call 25
	10.000	519.97	04/29/21	1,260.12	...	-740.15	3 of 85 - Option sale to close-call 25
	9.000	467.98	04/29/21	1,206.11	...	-738.13	4 of 85 - Option sale to close-call 25
	9.000	467.97	04/29/21	1,188.11	...	-720.14	5 of 85 - Option sale to close-call 25
	9.000	467.98	04/29/21	1,188.12	...	-720.14	6 of 85 - Option sale to close-call 25
	8.000	407.98	04/29/21	1,112.11	...	-704.13	7 of 85 - Option sale to close-call 25
	8.000	407.98	04/29/21	1,112.11	...	-704.13	8 of 85 - Option sale to close-call 25
	7.000	356.98	04/29/21	973.10	...	-616.12	9 of 85 - Option sale to close-call 25
	7.000	356.98	04/29/21	973.09	...	-616.11	10 of 85 - Option sale to close-call 25
	7.000	363.98	04/29/21	882.10	...	-518.12	11 of 85 - Option sale to close-call 25
	6.000	311.99	04/29/21	756.07	...	-444.08	12 of 85 - Option sale to close-call 25
	5.000	254.99	04/29/21	695.05	...	-440.06	13 of 85 - Option sale to close-call 25
	5.000	259.99	04/29/21	660.06	...	-400.07	14 of 85 - Option sale to close-call 25
	5.000	259.99	04/29/21	640.06	...	-380.07	15 of 85 - Option sale to close-call 25
	4.000	203.99	04/29/21	556.05	...	-352.06	16 of 85 - Option sale to close-call 25
	4.000	207.98	04/29/21	552.04	...	-344.06	17 of 85 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	4.000	207.98	04/29/21	536.05	...	-328.07	18 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	536.05	...	-328.06	19 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	528.05	...	-320.06	20 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	528.05	...	-320.06	21 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	504.05	...	-296.06	22 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	504.05	...	-296.06	23 of 85 - Option sale to close-call 25
	4.000	207.99	04/29/21	504.05	...	-296.06	24 of 85 - Option sale to close-call 25
	3.000	152.99	04/29/21	417.05	...	-264.06	25 of 85 - Option sale to close-call 25
	3.000	155.99	04/29/21	402.04	...	-246.05	26 of 85 - Option sale to close-call 25
	3.000	155.99	04/29/21	402.03	...	-246.04	27 of 85 - Option sale to close-call 25
	3.000	155.99	04/29/21	402.03	...	-246.04	28 of 85 - Option sale to close-call 25
	3.000	155.98	04/29/21	396.03	...	-240.05	29 of 85 - Option sale to close-call 25
	3.000	155.99	04/29/21	384.06	...	-228.07	30 of 85 - Option sale to close-call 25
	2.000	101.99	04/29/21	278.03	...	-176.04	31 of 85 - Option sale to close-call 25
	2.000	101.99	04/29/21	278.00	...	-176.01	32 of 85 - Option sale to close-call 25
	2.000	102.00	04/29/21	278.01	...	-176.01	33 of 85 - Option sale to close-call 25
	2.000	103.98	04/29/21	276.02	...	-172.04	34 of 85 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	2.000	103.99	04/29/21	268.03	...	-164.04	35 of 85 - Option sale to close-call 25
	2.000	103.99	04/29/21	264.02	...	-160.03	36 of 85 - Option sale to close-call 25
	2.000	104.00	04/29/21	256.01	...	-152.01	37 of 85 - Option sale to close-call 25
	2.000	104.00	04/29/21	252.03	...	-148.03	38 of 85 - Option sale to close-call 25
	2.000	103.99	04/29/21	252.01	...	-148.02	39 of 85 - Option sale to close-call 25
	1.000	51.00	04/29/21	139.02	...	-88.02	40 of 85 - Option sale to close-call 25
	1.000	51.00	04/29/21	139.02	...	-88.02	41 of 85 - Option sale to close-call 25
	1.000	51.99	04/29/21	138.01	...	-86.02	42 of 85 - Option sale to close-call 25
	1.000	51.99	04/29/21	138.01	...	-86.02	43 of 85 - Option sale to close-call 25
	1.000	52.00	04/29/21	138.01	...	-86.01	44 of 85 - Option sale to close-call 25
	1.000	52.00	04/29/21	138.01	...	-86.01	45 of 85 - Option sale to close-call 25
	1.000	51.99	04/29/21	134.01	...	-82.02	46 of 85 - Option sale to close-call 25
	1.000	52.00	04/29/21	134.01	...	-82.01	47 of 85 - Option sale to close-call 25
	1.000	51.98	04/29/21	132.01	...	-80.03	48 of 85 - Option sale to close-call 25
	1.000	51.98	04/29/21	132.01	...	-80.03	49 of 85 - Option sale to close-call 25
	1.000	51.99	04/29/21	132.01	...	-80.02	50 of 85 - Option sale to close-call 25
	1.000	51.99	04/29/21	132.00	...	-80.01	51 of 85 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	52.00	04/29/21	126.00	...	-74.02	52 of 85 - Option sale to close-call 25
	1.000	91.98	04/29/21	82.00	...	9.98	53 of 85 - Option sale to close-call 25
	1.000	91.98	04/29/21	81.00	...	10.98	54 of 85 - Option sale to close-call 25
	4.000	359.99	04/29/21	344.00	...	15.99	55 of 85 - Option sale to close-call 25
	2.000	183.98	04/29/21	164.00	...	19.98	56 of 85 - Option sale to close-call 25
	2.000	183.98	04/29/21	162.00	...	21.98	57 of 85 - Option sale to close-call 25
	6.000	539.98	04/29/21	516.00	...	23.98	58 of 85 - Option sale to close-call 25
	2.000	189.98	04/29/21	164.00	...	25.98	59 of 85 - Option sale to close-call 25
	7.000	629.98	04/29/21	602.00	...	27.98	60 of 85 - Option sale to close-call 25
	3.000	275.98	04/29/21	246.00	...	29.98	61 of 85 - Option sale to close-call 25
	3.000	275.98	04/29/21	243.00	...	32.98	62 of 85 - Option sale to close-call 25
	8.000	719.98	04/29/21	680.00	...	39.98	63 of 85 - Option sale to close-call 25
	4.000	367.99	04/29/21	324.00	...	43.99	64 of 85 - Option sale to close-call 25
	5.000	459.98	04/29/21	405.00	...	54.98	65 of 85 - Option sale to close-call 25
	12.000	1,079.97	04/29/21	1,020.00	...	59.97	66 of 85 - Option sale to close-call 25
	6.000	551.98	04/29/21	486.00	...	65.98	67 of 85 - Option sale to close-call 25
	10.000	919.98	04/29/21	850.00	...	69.98	68 of 85 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	9.000	827.97	04/29/21	738.00	...	89.97	69 of 85 - Option sale to close-call 25
	10.000	919.97	04/29/21	820.00	...	99.97	70 of 85 - Option sale to close-call 25
	10.000	919.97	04/29/21	820.00	...	99.97	71 of 85 - Option sale to close-call 25
	15.000	1,379.96	04/29/21	1,275.00	...	104.96	72 of 85 - Option sale to close-call 25
	15.000	1,379.96	04/29/21	1,275.00	...	104.96	73 of 85 - Option sale to close-call 25
	31.000	2,789.92	04/29/21	2,666.00	...	123.92	74 of 85 - Option sale to close-call 25
	13.000	1,195.97	04/29/21	1,066.00	...	129.97	75 of 85 - Option sale to close-call 25
	52.000	4,679.87	04/29/21	4,472.00	...	207.87	76 of 85 - Option sale to close-call 25
	21.000	1,931.95	04/29/21	1,722.00	...	209.95	77 of 85 - Option sale to close-call 25
	23.000	2,115.93	04/29/21	1,886.00	...	229.93	78 of 85 - Option sale to close-call 25
	24.000	2,207.93	04/29/21	1,968.00	...	239.93	79 of 85 - Option sale to close-call 25
	25.000	2,299.93	04/29/21	2,050.00	...	249.93	80 of 85 - Option sale to close-call 25
	20.000	1,899.95	04/29/21	1,640.00	...	259.95	81 of 85 - Option sale to close-call 25
	40.000	3,679.90	04/29/21	3,400.00	...	279.90	82 of 85 - Option sale to close-call 25
	37.000	3,403.91	04/29/21	3,034.00	...	369.91	83 of 85 - Option sale to close-call 25
	39.000	3,587.90	04/29/21	3,159.00	...	428.90	84 of 85 - Option sale to close-call 25
	40.000	3,679.90	04/29/21	3,240.00	...	439.90	85 of 85 - Option sale to close-call 25
04/29/21	700.000	56,173.95	Various	68,152.44	...	-11,978.49	Total of 85 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
<i>137 transactions for 04/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	50.00	04/29/21	121.02	71.02 W	0.00	1 of 137 - Option sale to close-call <sup>25</sup>
	1.000	50.00	04/29/21	124.00	74.00 W	0.00	2 of 137 - Option sale to close-call <sup>25</sup>
	1.000	50.00	04/29/21	127.01	77.01 W	0.00	3 of 137 - Option sale to close-call <sup>25</sup>
	1.000	50.00	04/29/21	142.02	92.02 W	0.00	4 of 137 - Option sale to close-call <sup>25</sup>
	1.000	50.00	04/29/21	142.02	92.02 W	0.00	5 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	118.02	67.02 W	0.00	6 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	121.00	70.00 W	0.00	7 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	124.01	73.01 W	0.00	8 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	124.02	73.02 W	0.00	9 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	127.00	76.00 W	0.00	10 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	130.01	79.01 W	0.00	11 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	145.02	94.02 W	0.00	12 of 137 - Option sale to close-call <sup>25</sup>
	1.000	51.00	04/29/21	145.02	94.02 W	0.00	13 of 137 - Option sale to close-call <sup>25</sup>
	1.000	55.98	04/29/21	103.00	47.02 W	0.00	14 of 137 - Option sale to close-call <sup>25</sup>
	1.000	55.98	04/29/21	103.00	47.02 W	0.00	15 of 137 - Option sale to close-call <sup>25</sup>
	1.000	56.00	04/29/21	103.00	47.00 W	0.00	16 of 137 - Option sale to close-call <sup>25</sup>
	1.000	59.98	04/29/21	106.00	46.02 W	0.00	17 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	60.00	04/29/21	127.02	67.02 W	0.00	18 of 137 - Option sale to close-call <sup>25</sup>
	1.000	60.00	04/29/21	127.02	67.02 W	0.00	19 of 137 - Option sale to close-call <sup>25</sup>
	2.000	99.99	04/29/21	284.02	184.03 W	0.00	20 of 137 - Option sale to close-call <sup>25</sup>
	2.000	100.00	04/29/21	242.01	142.01 W	0.00	21 of 137 - Option sale to close-call <sup>25</sup>
	2.000	100.00	04/29/21	242.01	142.01 W	0.00	22 of 137 - Option sale to close-call <sup>25</sup>
	2.000	100.00	04/29/21	242.03	142.03 W	0.00	23 of 137 - Option sale to close-call <sup>25</sup>
	2.000	100.00	04/29/21	284.00	184.00 W	0.00	24 of 137 - Option sale to close-call <sup>25</sup>
	2.000	100.00	04/29/21	284.01	184.01 W	0.00	25 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	236.01	134.01 W	0.00	26 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	236.01	134.01 W	0.00	27 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	236.03	134.03 W	0.00	28 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	248.01	146.01 W	0.00	29 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	248.01	146.01 W	0.00	30 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	248.03	146.03 W	0.00	31 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	254.02	152.02 W	0.00	32 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	290.00	188.00 W	0.00	33 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	290.01	188.01 W	0.00	34 of 137 - Option sale to close-call <sup>25</sup>
	2.000	102.00	04/29/21	290.02	188.02 W	0.00	35 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	2.000	112.00	04/29/21	206.00	94.00 W	0.00	36 of 137 - Option sale to close-call <sup>25</sup>
	2.000	112.00	04/29/21	206.01	94.01 W	0.00	37 of 137 - Option sale to close-call <sup>25</sup>
	2.000	119.98	04/29/21	212.01	92.03 W	0.00	38 of 137 - Option sale to close-call <sup>25</sup>
	2.000	119.99	04/29/21	212.00	92.01 W	0.00	39 of 137 - Option sale to close-call <sup>25</sup>
	2.000	119.99	04/29/21	254.01	134.02 W	0.00	40 of 137 - Option sale to close-call <sup>25</sup>
	2.000	120.00	04/29/21	254.00	134.00 W	0.00	41 of 137 - Option sale to close-call <sup>25</sup>
	2.000	120.00	04/29/21	254.01	134.01 W	0.00	42 of 137 - Option sale to close-call <sup>25</sup>
	3.000	149.99	04/29/21	363.04	213.05 W	0.00	43 of 137 - Option sale to close-call <sup>25</sup>
	3.000	149.99	04/29/21	381.02	231.03 W	0.00	44 of 137 - Option sale to close-call <sup>25</sup>
	3.000	149.99	04/29/21	426.04	276.05 W	0.00	45 of 137 - Option sale to close-call <sup>25</sup>
	3.000	152.99	04/29/21	354.05	201.06 W	0.00	46 of 137 - Option sale to close-call <sup>25</sup>
	3.000	152.99	04/29/21	372.03	219.04 W	0.00	47 of 137 - Option sale to close-call <sup>25</sup>
	3.000	152.99	04/29/21	372.03	219.04 W	0.00	48 of 137 - Option sale to close-call <sup>25</sup>
	3.000	152.99	04/29/21	435.03	282.04 W	0.00	49 of 137 - Option sale to close-call <sup>25</sup>
	3.000	167.99	04/29/21	309.01	141.02 W	0.00	50 of 137 - Option sale to close-call <sup>25</sup>
	3.000	179.98	04/29/21	318.01	138.03 W	0.00	51 of 137 - Option sale to close-call <sup>25</sup>
	3.000	179.99	04/29/21	381.02	201.03 W	0.00	52 of 137 - Option sale to close-call <sup>25</sup>
	4.000	199.99	04/29/21	484.03	284.04 W	0.00	53 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	4.000	199.99	04/29/21	484.03	284.04 W	0.00	54 of 137 - Option sale to close-call <sup>25</sup>
	4.000	199.99	04/29/21	496.03	296.04 W	0.00	55 of 137 - Option sale to close-call <sup>25</sup>
	4.000	199.99	04/29/21	508.03	308.04 W	0.00	56 of 137 - Option sale to close-call <sup>25</sup>
	4.000	199.99	04/29/21	568.04	368.05 W	0.00	57 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	472.04	268.05 W	0.00	58 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	472.04	268.05 W	0.00	59 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	484.04	280.05 W	0.00	60 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	484.04	280.05 W	0.00	61 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	496.02	292.03 W	0.00	62 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	496.02	292.03 W	0.00	63 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	496.04	292.05 W	0.00	64 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	508.02	304.03 W	0.00	65 of 137 - Option sale to close-call <sup>25</sup>
	4.000	203.99	04/29/21	580.03	376.04 W	0.00	66 of 137 - Option sale to close-call <sup>25</sup>
	4.000	223.99	04/29/21	412.01	188.02 W	0.00	67 of 137 - Option sale to close-call <sup>25</sup>
	4.000	239.99	04/29/21	424.01	184.02 W	0.00	68 of 137 - Option sale to close-call <sup>25</sup>
	4.000	239.99	04/29/21	508.02	268.03 W	0.00	69 of 137 - Option sale to close-call <sup>25</sup>
	5.000	249.99	04/29/21	605.04	355.05 W	0.00	70 of 137 - Option sale to close-call <sup>25</sup>
	5.000	249.99	04/29/21	710.04	460.05 W	0.00	71 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	5.000	254.99	04/29/21	590.05	335.06 W	0.00	72 of 137 - Option sale to close-call <sup>25</sup>
	5.000	254.99	04/29/21	620.03	365.04 W	0.00	73 of 137 - Option sale to close-call <sup>25</sup>
	5.000	254.99	04/29/21	725.03	470.04 W	0.00	74 of 137 - Option sale to close-call <sup>25</sup>
	5.000	299.98	04/29/21	530.01	230.03 W	0.00	75 of 137 - Option sale to close-call <sup>25</sup>
	5.000	299.99	04/29/21	635.02	335.03 W	0.00	76 of 137 - Option sale to close-call <sup>25</sup>
	7.000	349.98	04/29/21	847.06	497.08 W	0.00	77 of 137 - Option sale to close-call <sup>25</sup>
	7.000	349.98	04/29/21	994.07	644.09 W	0.00	78 of 137 - Option sale to close-call <sup>25</sup>
	7.000	349.98	04/29/21	994.08	644.10 W	0.00	79 of 137 - Option sale to close-call <sup>25</sup>
	7.000	356.98	04/29/21	826.08	469.10 W	0.00	80 of 137 - Option sale to close-call <sup>25</sup>
	7.000	356.98	04/29/21	868.04	511.06 W	0.00	81 of 137 - Option sale to close-call <sup>25</sup>
	7.000	356.98	04/29/21	910.03	553.05 W	0.00	82 of 137 - Option sale to close-call <sup>25</sup>
	7.000	356.98	04/29/21	1,015.05	658.07 W	0.00	83 of 137 - Option sale to close-call <sup>25</sup>
	7.000	356.98	04/29/21	1,015.06	658.08 W	0.00	84 of 137 - Option sale to close-call <sup>25</sup>
	6.000	359.99	04/29/21	636.02	276.03 W	0.00	85 of 137 - Option sale to close-call <sup>25</sup>
	7.000	391.98	04/29/21	574.00	182.02 W	0.00	86 of 137 - Option sale to close-call <sup>25</sup>
	7.000	391.98	04/29/21	721.02	329.04 W	0.00	87 of 137 - Option sale to close-call <sup>25</sup>
	8.000	399.98	04/29/21	1,136.09	736.11 W	0.00	88 of 137 - Option sale to close-call <sup>25</sup>
	8.000	399.98	04/29/21	1,136.09	736.11 W	0.00	89 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	8.000	407.98	04/29/21	1,016.06	608.08 W	0.00	90 of 137 - Option sale to close-call <sup>25</sup>
	8.000	407.98	04/29/21	1,160.07	752.09 W	0.00	91 of 137 - Option sale to close-call <sup>25</sup>
	8.000	407.98	04/29/21	1,160.07	752.09 W	0.00	92 of 137 - Option sale to close-call <sup>25</sup>
	7.000	419.98	04/29/21	742.02	322.04 W	0.00	93 of 137 - Option sale to close-call <sup>25</sup>
	7.000	419.98	04/29/21	889.03	469.05 W	0.00	94 of 137 - Option sale to close-call <sup>25</sup>
	7.000	419.98	04/29/21	889.04	469.06 W	0.00	95 of 137 - Option sale to close-call <sup>25</sup>
	8.000	447.97	04/29/21	824.02	376.05 W	0.00	96 of 137 - Option sale to close-call <sup>25</sup>
	8.000	447.97	04/29/21	824.02	376.05 W	0.00	97 of 137 - Option sale to close-call <sup>25</sup>
	9.000	449.98	04/29/21	1,116.06	666.08 W	0.00	98 of 137 - Option sale to close-call <sup>25</sup>
	9.000	458.98	04/29/21	1,089.08	630.10 W	0.00	99 of 137 - Option sale to close-call <sup>25</sup>
	9.000	458.98	04/29/21	1,143.04	684.06 W	0.00	100 of 137 - Option sale to close-call <sup>25</sup>
	8.000	479.98	04/29/21	1,016.05	536.07 W	0.00	101 of 137 - Option sale to close-call <sup>25</sup>
	8.000	479.98	04/29/21	1,016.05	536.07 W	0.00	102 of 137 - Option sale to close-call <sup>25</sup>
	10.000	499.98	04/29/21	1,210.08	710.10 W	0.00	103 of 137 - Option sale to close-call <sup>25</sup>
	10.000	499.98	04/29/21	1,300.06	800.08 W	0.00	104 of 137 - Option sale to close-call <sup>25</sup>
	9.000	503.98	04/29/21	927.02	423.04 W	0.00	105 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.97	04/29/21	1,240.05	730.08 W	0.00	106 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.98	04/29/21	1,180.10	670.12 W	0.00	107 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	10.000	509.98	04/29/21	1,180.10	670.12 W	0.00	108 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.98	04/29/21	1,180.10	670.12 W	0.00	109 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.98	04/29/21	1,240.09	730.11 W	0.00	110 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.98	04/29/21	1,240.10	730.12 W	0.00	111 of 137 - Option sale to close-call <sup>25</sup>
	10.000	509.98	04/29/21	1,330.04	820.06 W	0.00	112 of 137 - Option sale to close-call <sup>25</sup>
	10.000	539.98	04/29/21	1,070.02	530.04 W	0.00	113 of 137 - Option sale to close-call <sup>25</sup>
	11.000	549.97	04/29/21	1,364.09	814.12 W	0.00	114 of 137 - Option sale to close-call <sup>25</sup>
	11.000	560.97	04/29/21	1,331.10	770.13 W	0.00	115 of 137 - Option sale to close-call <sup>25</sup>
	11.000	560.97	04/29/21	1,331.12	770.15 W	0.00	116 of 137 - Option sale to close-call <sup>25</sup>
	11.000	560.97	04/29/21	1,397.06	836.09 W	0.00	117 of 137 - Option sale to close-call <sup>25</sup>
	10.000	599.97	04/29/21	1,060.02	460.05 W	0.00	118 of 137 - Option sale to close-call <sup>25</sup>
	12.000	599.97	04/29/21	1,524.09	924.12 W	0.00	119 of 137 - Option sale to close-call <sup>25</sup>
	12.000	611.97	04/29/21	1,488.12	876.15 W	0.00	120 of 137 - Option sale to close-call <sup>25</sup>
	12.000	611.97	04/29/21	1,560.06	948.09 W	0.00	121 of 137 - Option sale to close-call <sup>25</sup>
	15.000	749.97	04/29/21	1,860.11	1,110.14 W	0.00	122 of 137 - Option sale to close-call <sup>25</sup>
	15.000	764.96	04/29/21	1,905.07	1,140.11 W	0.00	123 of 137 - Option sale to close-call <sup>25</sup>
	14.000	783.97	04/29/21	1,442.03	658.06 W	0.00	124 of 137 - Option sale to close-call <sup>25</sup>
	15.000	839.96	04/29/21	1,545.03	705.07 W	0.00	125 of 137 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UBER 04/30/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	15.000	839.96	04/29/21	1,545.04	705.08 W	0.00	126 of 137 - Option sale to close-call <sup>25</sup>
	20.000	999.95	04/29/21	2,420.15	1,420.20 W	0.00	127 of 137 - Option sale to close-call <sup>25</sup>
	20.000	999.95	04/29/21	2,540.14	1,540.19 W	0.00	128 of 137 - Option sale to close-call <sup>25</sup>
	20.000	1,019.95	04/29/21	2,480.10	1,460.15 W	0.00	129 of 137 - Option sale to close-call <sup>25</sup>
	20.000	1,019.96	04/29/21	2,600.10	1,580.14 W	0.00	130 of 137 - Option sale to close-call <sup>25</sup>
	20.000	1,079.95	04/29/21	2,120.05	1,040.10 W	0.00	131 of 137 - Option sale to close-call <sup>25</sup>
	20.000	1,079.95	04/29/21	2,120.05	1,040.10 W	0.00	132 of 137 - Option sale to close-call <sup>25</sup>
	20.000	1,199.95	04/29/21	2,120.05	920.10 W	0.00	133 of 137 - Option sale to close-call <sup>25</sup>
	30.000	1,679.93	04/29/21	2,460.00	780.07 W	0.00	134 of 137 - Option sale to close-call <sup>25</sup>
	30.000	1,679.93	04/29/21	2,460.00	780.07 W	0.00	135 of 137 - Option sale to close-call <sup>25</sup>
	43.000	2,407.89	04/29/21	3,526.00	1,118.11 W	0.00	136 of 137 - Option sale to close-call <sup>25</sup>
	90.000	5,399.79	04/29/21	7,380.00	1,980.21 W	0.00	137 of 137 - Option sale to close-call <sup>25</sup>
04/29/21	1,000.000	53,747.55	Various	113,845.11	60,097.56 W	0.00	Total of 137 transactions
	<b>Security total:</b>	<b>109,921.50</b>		<b>181,997.55</b>	<b>60,097.56 W</b>	<b>-11,978.49</b>	
UBER 05/07/2021 CALL \$55.00 / CUSIP: / Symbol:							
	27 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	14.000	3,415.95	04/29/21	3,668.05	...	-252.10	1 of 27 - Option sale to close-call <sup>25</sup>
	11.000	2,683.96	04/29/21	2,882.04	...	-198.08	2 of 27 - Option sale to close-call <sup>25</sup>
	11.000	2,683.97	04/29/21	2,882.04	...	-198.07	3 of 27 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 05/07/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	9.000	2,195.97	04/29/21	2,358.03	...	-162.06	4 of 27 - Option sale to close-call 25
	5.000	1,219.98	04/29/21	1,310.02	...	-90.04	5 of 27 - Option sale to close-call 25
	4.000	975.98	04/29/21	1,048.02	...	-72.04	6 of 27 - Option sale to close-call 25
	4.000	975.98	04/29/21	1,048.02	...	-72.04	7 of 27 - Option sale to close-call 25
	4.000	975.98	04/29/21	1,048.01	...	-72.03	8 of 27 - Option sale to close-call 25
	4.000	975.98	04/29/21	1,048.01	...	-72.03	9 of 27 - Option sale to close-call 25
	4.000	975.99	04/29/21	1,048.02	...	-72.03	10 of 27 - Option sale to close-call 25
	3.000	731.98	04/29/21	786.01	...	-54.03	11 of 27 - Option sale to close-call 25
	3.000	731.98	04/29/21	786.01	...	-54.03	12 of 27 - Option sale to close-call 25
	3.000	731.99	04/29/21	786.02	...	-54.03	13 of 27 - Option sale to close-call 25
	3.000	731.99	04/29/21	786.02	...	-54.03	14 of 27 - Option sale to close-call 25
	2.000	487.98	04/29/21	524.01	...	-36.03	15 of 27 - Option sale to close-call 25
	2.000	487.98	04/29/21	524.01	...	-36.03	16 of 27 - Option sale to close-call 25
	2.000	487.99	04/29/21	524.02	...	-36.03	17 of 27 - Option sale to close-call 25
	2.000	487.99	04/29/21	524.01	...	-36.02	18 of 27 - Option sale to close-call 25
	2.000	487.99	04/29/21	524.01	...	-36.02	19 of 27 - Option sale to close-call 25
	1.000	243.98	04/29/21	262.01	...	-18.03	20 of 27 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 05/07/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	243.98	04/29/21	262.01	...	-18.03	21 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.98	04/29/21	262.01	...	-18.03	22 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.98	04/29/21	262.00	...	-18.02	23 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.98	04/29/21	262.00	...	-18.02	24 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.98	04/29/21	262.00	...	-18.02	25 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.99	04/29/21	262.01	...	-18.02	26 of 27 - Option sale to close-call <sub>25</sub>
	1.000	243.99	04/29/21	262.01	...	-18.02	27 of 27 - Option sale to close-call <sub>25</sub>
04/29/21	100.000	24,399.47	Various	26,200.43	...	-1,800.96	Total of 27 transactions
	12 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	2.000	487.98	04/29/21	506.00	18.02 W	0.00	1 of 12 - Option sale to close-call <sub>25</sub>
	3.000	731.98	04/29/21	759.00	27.02 W	0.00	2 of 12 - Option sale to close-call <sub>25</sub>
	3.000	731.98	04/29/21	759.00	27.02 W	0.00	3 of 12 - Option sale to close-call <sub>25</sub>
	3.000	731.98	04/29/21	759.00	27.02 W	0.00	4 of 12 - Option sale to close-call <sub>25</sub>
	3.000	731.98	04/29/21	759.00	27.02 W	0.00	5 of 12 - Option sale to close-call <sub>25</sub>
	4.000	975.98	04/29/21	1,012.00	36.02 W	0.00	6 of 12 - Option sale to close-call <sub>25</sub>
	9.000	2,195.96	04/29/21	2,277.00	81.04 W	0.00	7 of 12 - Option sale to close-call <sub>25</sub>
	9.000	2,195.96	04/29/21	2,277.00	81.04 W	0.00	8 of 12 - Option sale to close-call <sub>25</sub>
	11.000	2,683.96	04/29/21	2,783.00	99.04 W	0.00	9 of 12 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 05/07/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	13.000	3,171.95	04/29/21	3,289.00	117.05 W	0.00	10 of 12 - Option sale to close-call <sub>25</sub>
	20.000	4,879.93	04/29/21	5,060.00	180.07 W	0.00	11 of 12 - Option sale to close-call <sub>25</sub>
	20.000	4,879.93	04/29/21	5,060.00	180.07 W	0.00	12 of 12 - Option sale to close-call <sub>25</sub>
04/29/21	100.000	24,399.57	Various	25,300.00	900.43 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>48,799.04</b>		<b>51,500.43</b>	<b>900.43 W</b>	<b>-1,800.96</b>	
UPST 11/12/2021 CALL \$265.00 / CUSIP: / Symbol:							
	23 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	3.000	557.99	11/12/21	645.02	...	-87.03	1 of 23 - Option sale to close-call <sub>25</sub>
	1.000	244.98	11/12/21	195.02	...	49.96	2 of 23 - Option sale to close-call <sub>25</sub>
	1.000	244.99	11/12/21	195.01	...	49.98	3 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.98	11/12/21	225.00	...	49.98	4 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.98	11/12/21	225.00	...	49.98	5 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.98	11/12/21	225.00	...	49.98	6 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.98	11/12/21	225.00	...	49.98	7 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.98	11/12/21	225.00	...	49.98	8 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.99	11/12/21	225.00	...	49.99	9 of 23 - Option sale to close-call <sub>25</sub>
	1.000	274.99	11/12/21	225.00	...	49.99	10 of 23 - Option sale to close-call <sub>25</sub>
	1.000	225.00	11/12/21	155.01	...	69.99	11 of 23 - Option sale to close-call <sub>25</sub>
	1.000	225.00	11/12/21	150.00	...	75.00	12 of 23 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 11/12/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
	1.000	245.00	11/12/21	145.00	...	100.00	13 of 23 - Option sale to close-call <sub>25</sub>
	4.000	743.99	11/12/21	640.00	...	103.99	14 of 23 - Option sale to close-call <sub>25</sub>
	1.000	244.99	11/12/21	135.02	...	109.97	15 of 23 - Option sale to close-call <sub>25</sub>
	1.000	245.00	11/12/21	135.03	...	109.97	16 of 23 - Option sale to close-call <sub>25</sub>
	1.000	245.00	11/12/21	135.02	...	109.98	17 of 23 - Option sale to close-call <sub>25</sub>
	2.000	449.99	11/12/21	310.01	...	139.98	18 of 23 - Option sale to close-call <sub>25</sub>
	2.000	489.98	11/12/21	290.03	...	199.95	19 of 23 - Option sale to close-call <sub>25</sub>
	4.000	899.98	11/12/21	620.04	...	279.94	20 of 23 - Option sale to close-call <sub>25</sub>
	5.000	1,124.98	11/12/21	800.00	...	324.98	21 of 23 - Option sale to close-call <sub>25</sub>
	5.000	1,224.98	11/12/21	775.04	...	449.94	22 of 23 - Option sale to close-call <sub>25</sub>
	7.000	1,574.98	11/12/21	1,085.05	...	489.93	23 of 23 - Option sale to close-call <sub>25</sub>
11/12/21	47.000	10,911.71	Various	7,985.30	...	2,926.41	Total of 23 transactions
	22 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	174.99	11/12/21	225.00	50.01 W	0.00	1 of 22 - Option sale to close-call <sub>25</sub>
	1.000	174.99	11/12/21	225.00	50.01 W	0.00	2 of 22 - Option sale to close-call <sub>25</sub>
	1.000	175.00	11/12/21	275.01	100.01 W	0.00	3 of 22 - Option sale to close-call <sub>25</sub>
	1.000	175.00	11/12/21	275.01	100.01 W	0.00	4 of 22 - Option sale to close-call <sub>25</sub>
	1.000	179.98	11/12/21	225.00	45.02 W	0.00	5 of 22 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UPST 11/12/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
	1.000	179.98	11/12/21	225.00	45.02 W	0.00	6 of 22 - Option sale to close-call <sub>25</sub>
	1.000	179.98	11/12/21	225.00	45.02 W	0.00	7 of 22 - Option sale to close-call <sub>25</sub>
	1.000	180.00	11/12/21	285.01	105.01 W	0.00	8 of 22 - Option sale to close-call <sub>25</sub>
	1.000	180.00	11/12/21	285.01	105.01 W	0.00	9 of 22 - Option sale to close-call <sub>25</sub>
	1.000	185.00	11/12/21	210.00	25.00 W	0.00	10 of 22 - Option sale to close-call <sub>25</sub>
	1.000	194.99	11/12/21	205.02	10.03 W	0.00	11 of 22 - Option sale to close-call <sub>25</sub>
	1.000	194.99	11/12/21	265.01	70.02 W	0.00	12 of 22 - Option sale to close-call <sub>25</sub>
	1.000	195.00	11/12/21	205.02	10.02 W	0.00	13 of 22 - Option sale to close-call <sub>25</sub>
	1.000	195.00	11/12/21	205.02	10.02 W	0.00	14 of 22 - Option sale to close-call <sub>25</sub>
	1.000	195.00	11/12/21	215.00	20.00 W	0.00	15 of 22 - Option sale to close-call <sub>25</sub>
	1.000	195.00	11/12/21	265.01	70.01 W	0.00	16 of 22 - Option sale to close-call <sub>25</sub>
	2.000	389.99	11/12/21	430.02	40.03 W	0.00	17 of 22 - Option sale to close-call <sub>25</sub>
	5.000	899.98	11/12/21	1,050.03	150.05 W	0.00	18 of 22 - Option sale to close-call <sub>25</sub>
	5.000	899.98	11/12/21	1,175.02	275.04 W	0.00	19 of 22 - Option sale to close-call <sub>25</sub>
	11.000	1,924.95	11/12/21	2,475.00	550.05 W	0.00	20 of 22 - Option sale to close-call <sub>25</sub>
	15.000	2,549.95	11/12/21	3,375.00	825.05 W	0.00	21 of 22 - Option sale to close-call <sub>25</sub>
	14.000	2,589.95	11/12/21	3,010.05	420.10 W	0.00	22 of 22 - Option sale to close-call <sub>25</sub>
11/12/21	68.000	12,209.70	Various	15,330.24	3,120.54 W	0.00	Total of 22 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 11/12/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>23,121.41</b>		<b>23,315.54</b>	<b>3,120.54 W</b>	<b>2,926.41</b>	
UPST 12/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
6 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	489.99	12/14/21	390.00	...	99.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	489.99	12/14/21	390.00	...	99.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	489.99	12/14/21	389.00	...	100.99	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	979.99	12/14/21	780.00	...	199.99	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1,119.98	12/14/21	778.00	...	341.98	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	1,679.98	12/14/21	1,167.00	...	512.98	6 of 6 - Option sale to close-call <sup>25</sup>
12/15/21	10.000	5,249.92	Various	3,894.00	...	1,355.92	Total of 6 transactions
UPST 12/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
12/15/21	1.000	274.98	12/14/21	250.00	...	24.98	Option sale to close-call <sup>25</sup>
VLTA 11/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	29.000	1,159.93	10/21/21	1,450.00	...	-290.07	1 of 4 - Option sale to close-call <sup>25</sup>
	9.000	359.98	10/21/21	450.00	...	-90.02	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	199.99	10/21/21	250.00	...	-50.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	40.00	10/21/21	60.02	...	-20.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/28/21	44.000	1,759.90	Various	2,210.02	...	-450.12	Total of 4 transactions
10/28/21	1.000	39.98	10/21/21	50.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,799.88</b>		<b>2,260.02</b>	<b>10.02 W</b>	<b>-450.12</b>	
VUZI 11/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
43 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	8.000	999.97	11/02/21	1,440.02	...	-440.05	1 of 43 - Option sale to close-call <sup>25</sup>
	1.000	125.00	11/02/21	565.02	...	-440.02	2 of 43 - Option sale to close-call <sup>25</sup>
	2.000	249.99	11/02/21	650.02	...	-400.03	3 of 43 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZI 11/19/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	125.00	11/02/21	470.01	...	-345.01	4 of 43 - Option sale to close-call 25
	6.000	749.98	11/02/21	1,080.02	...	-330.04	5 of 43 - Option sale to close-call 25
	6.000	749.98	11/02/21	1,080.02	...	-330.04	6 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	420.00	...	-295.00	7 of 43 - Option sale to close-call 25
	5.000	624.98	11/02/21	900.01	...	-275.03	8 of 43 - Option sale to close-call 25
	5.000	624.99	11/02/21	900.02	...	-275.03	9 of 43 - Option sale to close-call 25
	5.000	624.99	11/02/21	900.01	...	-275.02	10 of 43 - Option sale to close-call 25
	4.000	499.99	11/02/21	720.01	...	-220.02	11 of 43 - Option sale to close-call 25
	4.000	499.99	11/02/21	720.01	...	-220.02	12 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	325.01	...	-200.01	13 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	325.01	...	-200.01	14 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	325.00	...	-200.00	15 of 43 - Option sale to close-call 25
	3.000	374.99	11/02/21	540.01	...	-165.02	16 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	280.02	...	-155.02	17 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	280.01	...	-155.01	18 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	275.01	...	-150.01	19 of 43 - Option sale to close-call 25
	2.000	249.99	11/02/21	360.01	...	-110.02	20 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZI 11/19/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	2.000	249.99	11/02/21	360.01	...	-110.02	21 of 43 - Option sale to close-call 25
	2.000	249.99	11/02/21	360.01	...	-110.02	22 of 43 - Option sale to close-call 25
	2.000	249.99	11/02/21	360.00	...	-110.01	23 of 43 - Option sale to close-call 25
	2.000	250.00	11/02/21	360.00	...	-110.00	24 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	180.00	...	-55.00	25 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	180.00	...	-55.00	26 of 43 - Option sale to close-call 25
	6.000	749.98	11/02/21	768.04	...	-18.06	27 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	135.02	...	-10.02	28 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	135.01	...	-10.01	29 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	135.01	...	-10.01	30 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	135.01	...	-10.01	31 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	135.00	...	-10.00	32 of 43 - Option sale to close-call 25
	3.000	374.99	11/02/21	384.02	...	-9.03	33 of 43 - Option sale to close-call 25
	1.000	124.99	11/02/21	128.01	...	-3.02	34 of 43 - Option sale to close-call 25
	1.000	124.99	11/02/21	80.00	...	44.99	35 of 43 - Option sale to close-call 25
	1.000	124.99	11/02/21	80.00	...	44.99	36 of 43 - Option sale to close-call 25
	1.000	125.00	11/02/21	80.00	...	45.00	37 of 43 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZI 11/19/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	125.00	11/02/21	80.00	...	45.00	38 of 43 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/02/21	80.00	...	45.00	39 of 43 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/02/21	80.00	...	45.00	40 of 43 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/02/21	80.00	...	45.00	41 of 43 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/02/21	80.00	...	45.00	42 of 43 - Option sale to close-call <sub>25</sub>
	2.000	249.99	11/02/21	160.00	...	89.99	43 of 43 - Option sale to close-call <sub>25</sub>
11/02/21	94.000	11,749.74	Various	17,110.39	...	-5,360.65	Total of 43 transactions
	23 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	80.00	11/01/21	225.00	145.00 W	0.00	1 of 23 - Option sale to close-call <sub>25</sub>
	1.000	80.00	11/01/21	370.01	290.01 W	0.00	2 of 23 - Option sale to close-call <sub>25</sub>
	1.000	80.00	11/01/21	370.01	290.01 W	0.00	3 of 23 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/01/21	320.01	195.01 W	0.00	4 of 23 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/01/21	465.00	340.00 W	0.00	5 of 23 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/01/21	515.01	390.01 W	0.00	6 of 23 - Option sale to close-call <sub>25</sub>
	1.000	125.00	11/01/21	610.02	485.02 W	0.00	7 of 23 - Option sale to close-call <sub>25</sub>
	1.000	129.99	11/01/21	225.00	95.01 W	0.00	8 of 23 - Option sale to close-call <sub>25</sub>
	1.000	129.99	11/01/21	515.01	385.02 W	0.00	9 of 23 - Option sale to close-call <sub>25</sub>
	1.000	130.00	11/01/21	370.00	240.00 W	0.00	10 of 23 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VUZI 11/19/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	2.000	159.98	11/01/21	450.00	290.02 W	0.00	11 of 23 - Option sale to close-call <sub>25</sub>
	2.000	249.98	11/01/21	450.00	200.02 W	0.00	12 of 23 - Option sale to close-call <sub>25</sub>
	2.000	249.99	11/01/21	650.02	400.03 W	0.00	13 of 23 - Option sale to close-call <sub>25</sub>
	5.000	399.97	11/01/21	1,125.00	725.03 W	0.00	14 of 23 - Option sale to close-call <sub>25</sub>
	5.000	624.99	11/01/21	1,850.03	1,225.04 W	0.00	15 of 23 - Option sale to close-call <sub>25</sub>
	18.000	2,249.95	11/01/21	4,050.00	1,800.05 W	0.00	16 of 23 - Option sale to close-call <sub>25</sub>
	26.000	3,249.93	11/01/21	5,850.00	2,600.07 W	0.00	17 of 23 - Option sale to close-call <sub>25</sub>
	30.000	3,749.91	11/01/21	6,750.00	3,000.09 W	0.00	18 of 23 - Option sale to close-call <sub>25</sub>
	2.000	250.00	11/02/21	360.01	55.01 W	-55.00	19 of 23 - Option sale to close-call <sub>25</sub>
	1.000	124.98	11/02/21	180.00	55.02 W	0.00	20 of 23 - Option sale to close-call <sub>25</sub>
	3.000	374.99	11/02/21	540.01	165.02 W	0.00	21 of 23 - Option sale to close-call <sub>25</sub>
	4.000	499.98	11/02/21	720.01	220.03 W	0.00	22 of 23 - Option sale to close-call <sub>25</sub>
	6.000	749.98	11/02/21	1,080.02	330.04 W	0.00	23 of 23 - Option sale to close-call <sub>25</sub>
11/02/21	116.000	14,064.61	Various	28,040.17	13,920.56 W	-55.00	Total of 23 transactions
	<b>Security total:</b>	<b>25,814.35</b>		<b>45,150.56</b>	<b>13,920.56 W</b>	<b>-5,415.65</b>	
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol:							
56 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	244.98	04/27/21	188.00	...	56.98	1 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	2 of 56 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	244.98	04/27/21	188.00	...	56.98	3 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	4 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	5 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	6 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	7 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	8 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	9 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	10 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	11 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	12 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	13 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	14 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	15 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	16 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	17 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	18 of 56 - Option sale to close-call <sub>25</sub>
	1.000	244.98	04/27/21	188.00	...	56.98	19 of 56 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	244.98	04/27/21	188.00	...	56.98	20 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	21 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	22 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	23 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	24 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	25 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	26 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	27 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	28 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	29 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	30 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	31 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	32 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	33 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	34 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	35 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	36 of 56 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	244.98	04/27/21	188.00	...	56.98	37 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	38 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	39 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	40 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	41 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	42 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	43 of 56 - Option sale to close-call 25
	1.000	244.98	04/27/21	188.00	...	56.98	44 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	45 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	46 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	47 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	48 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	49 of 56 - Option sale to close-call 25
	1.000	249.98	04/27/21	188.00	...	61.98	50 of 56 - Option sale to close-call 25
	5.000	1,084.98	04/27/21	975.00	...	109.98	51 of 56 - Option sale to close-call 25
	15.000	3,254.95	04/27/21	2,925.00	...	329.95	52 of 56 - Option sale to close-call 25
	15.000	3,254.95	04/27/21	2,925.00	...	329.95	53 of 56 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	15.000	3,254.95	04/27/21	2,925.00	...	329.95	54 of 56 - Option sale to close-call <sup>25</sup>
	13.000	3,028.95	04/27/21	2,535.00	...	493.95	55 of 56 - Option sale to close-call <sup>25</sup>
	37.000	8,620.88	04/27/21	7,215.00	...	1,405.88	56 of 56 - Option sale to close-call <sup>25</sup>
04/27/21	150.000	34,778.66	Various	28,900.00	...	5,878.66	Total of 56 transactions
	119 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	1.000	151.98	04/27/21	175.00	23.02 W	0.00	1 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.98	04/27/21	207.00	55.02 W	0.00	2 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.98	04/27/21	208.01	56.03 W	0.00	3 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.98	04/27/21	208.01	56.03 W	0.00	4 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.99	04/27/21	207.01	55.02 W	0.00	5 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.99	04/27/21	208.01	56.02 W	0.00	6 of 119 - Option sale to close-call <sup>25</sup>
	1.000	151.99	04/27/21	218.01	66.02 W	0.00	7 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	207.01	55.01 W	0.00	8 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	207.02	55.02 W	0.00	9 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	207.02	55.02 W	0.00	10 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	207.02	55.02 W	0.00	11 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	207.02	55.02 W	0.00	12 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	208.00	56.00 W	0.00	13 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	152.00	04/27/21	208.00	56.00 W	0.00	14 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	208.01	56.01 W	0.00	15 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	208.02	56.02 W	0.00	16 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	208.03	56.03 W	0.00	17 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	218.01	66.01 W	0.00	18 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	218.02	66.02 W	0.00	19 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.00	04/27/21	218.03	66.03 W	0.00	20 of 119 - Option sale to close-call <sup>25</sup>
	1.000	152.98	04/27/21	208.01	55.03 W	0.00	21 of 119 - Option sale to close-call <sup>25</sup>
	1.000	155.99	04/27/21	204.02	48.03 W	0.00	22 of 119 - Option sale to close-call <sup>25</sup>
	1.000	155.99	04/27/21	205.03	49.04 W	0.00	23 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	204.02	48.02 W	0.00	24 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	205.01	49.01 W	0.00	25 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	205.01	49.01 W	0.00	26 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	205.02	49.02 W	0.00	27 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	205.03	49.03 W	0.00	28 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	217.03	61.03 W	0.00	29 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	218.00	62.00 W	0.00	30 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	218.00	62.00 W	0.00	31 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	156.00	04/27/21	218.01	62.01 W	0.00	32 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	218.01	62.01 W	0.00	33 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.00	04/27/21	218.02	62.02 W	0.00	34 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.98	04/27/21	172.00	15.02 W	0.00	35 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.98	04/27/21	172.00	15.02 W	0.00	36 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.98	04/27/21	172.00	15.02 W	0.00	37 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.98	04/27/21	204.01	47.03 W	0.00	38 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.99	04/27/21	172.00	15.01 W	0.00	39 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.99	04/27/21	172.02	15.03 W	0.00	40 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.99	04/27/21	204.01	47.02 W	0.00	41 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.99	04/27/21	204.02	47.03 W	0.00	42 of 119 - Option sale to close-call <sup>25</sup>
	1.000	156.99	04/27/21	204.02	47.03 W	0.00	43 of 119 - Option sale to close-call <sup>25</sup>
	1.000	157.00	04/27/21	172.01	15.01 W	0.00	44 of 119 - Option sale to close-call <sup>25</sup>
	1.000	157.00	04/27/21	204.01	47.01 W	0.00	45 of 119 - Option sale to close-call <sup>25</sup>
	1.000	157.00	04/27/21	204.02	47.02 W	0.00	46 of 119 - Option sale to close-call <sup>25</sup>
	1.000	157.00	04/27/21	204.02	47.02 W	0.00	47 of 119 - Option sale to close-call <sup>25</sup>
	1.000	157.00	04/27/21	204.02	47.02 W	0.00	48 of 119 - Option sale to close-call <sup>25</sup>
	1.000	161.98	04/27/21	195.00	33.02 W	0.00	49 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	161.98	04/27/21	195.01	33.03 W	0.00	50 of 119 - Option sale to close-call <sup>25</sup>
	1.000	162.00	04/27/21	195.00	33.00 W	0.00	51 of 119 - Option sale to close-call <sup>25</sup>
	1.000	163.00	04/27/21	195.00	32.00 W	0.00	52 of 119 - Option sale to close-call <sup>25</sup>
	1.000	163.00	04/27/21	195.02	32.02 W	0.00	53 of 119 - Option sale to close-call <sup>25</sup>
	1.000	163.00	04/27/21	195.02	32.02 W	0.00	54 of 119 - Option sale to close-call <sup>25</sup>
	1.000	163.00	04/27/21	195.02	32.02 W	0.00	55 of 119 - Option sale to close-call <sup>25</sup>
	1.000	163.00	04/27/21	195.02	32.02 W	0.00	56 of 119 - Option sale to close-call <sup>25</sup>
	1.000	167.98	04/27/21	188.00	20.02 W	0.00	57 of 119 - Option sale to close-call <sup>25</sup>
	1.000	167.98	04/27/21	188.00	20.02 W	0.00	58 of 119 - Option sale to close-call <sup>25</sup>
	1.000	167.98	04/27/21	188.00	20.02 W	0.00	59 of 119 - Option sale to close-call <sup>25</sup>
	1.000	167.98	04/27/21	188.00	20.02 W	0.00	60 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.98	04/27/21	414.01	110.03 W	0.00	61 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.98	04/27/21	414.01	110.03 W	0.00	62 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.98	04/27/21	416.01	112.03 W	0.00	63 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.98	04/27/21	416.02	112.04 W	0.00	64 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.99	04/27/21	414.03	110.04 W	0.00	65 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.99	04/27/21	416.02	112.03 W	0.00	66 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.99	04/27/21	416.02	112.03 W	0.00	67 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	303.99	04/27/21	416.02	112.03 W	0.00	68 of 119 - Option sale to close-call <sup>25</sup>
	2.000	303.99	04/27/21	436.03	132.04 W	0.00	69 of 119 - Option sale to close-call <sup>25</sup>
	2.000	304.00	04/27/21	450.03	146.03 W	0.00	70 of 119 - Option sale to close-call <sup>25</sup>
	2.000	311.99	04/27/21	408.03	96.04 W	0.00	71 of 119 - Option sale to close-call <sup>25</sup>
	2.000	311.99	04/27/21	410.04	98.05 W	0.00	72 of 119 - Option sale to close-call <sup>25</sup>
	2.000	311.99	04/27/21	436.02	124.03 W	0.00	73 of 119 - Option sale to close-call <sup>25</sup>
	2.000	311.99	04/27/21	436.03	124.04 W	0.00	74 of 119 - Option sale to close-call <sup>25</sup>
	2.000	311.99	04/27/21	436.03	124.04 W	0.00	75 of 119 - Option sale to close-call <sup>25</sup>
	2.000	312.00	04/27/21	436.03	124.03 W	0.00	76 of 119 - Option sale to close-call <sup>25</sup>
	2.000	313.99	04/27/21	344.01	30.02 W	0.00	77 of 119 - Option sale to close-call <sup>25</sup>
	2.000	313.99	04/27/21	344.01	30.02 W	0.00	78 of 119 - Option sale to close-call <sup>25</sup>
	2.000	313.99	04/27/21	408.02	94.03 W	0.00	79 of 119 - Option sale to close-call <sup>25</sup>
	2.000	313.99	04/27/21	408.03	94.04 W	0.00	80 of 119 - Option sale to close-call <sup>25</sup>
	2.000	313.99	04/27/21	408.04	94.05 W	0.00	81 of 119 - Option sale to close-call <sup>25</sup>
	2.000	323.99	04/27/21	390.01	66.02 W	0.00	82 of 119 - Option sale to close-call <sup>25</sup>
	3.000	455.98	04/27/21	525.00	69.02 W	0.00	83 of 119 - Option sale to close-call <sup>25</sup>
	3.000	455.98	04/27/21	525.00	69.02 W	0.00	84 of 119 - Option sale to close-call <sup>25</sup>
	3.000	455.98	04/27/21	525.00	69.02 W	0.00	85 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	3.000	455.98	04/27/21	624.02	168.04 W	0.00	86 of 119 - Option sale to close-call <sup>25</sup>
	3.000	455.99	04/27/21	624.02	168.03 W	0.00	87 of 119 - Option sale to close-call <sup>25</sup>
	3.000	470.98	04/27/21	612.04	141.06 W	0.00	88 of 119 - Option sale to close-call <sup>25</sup>
	3.000	470.99	04/27/21	516.01	45.02 W	0.00	89 of 119 - Option sale to close-call <sup>25</sup>
	3.000	470.99	04/27/21	516.02	45.03 W	0.00	90 of 119 - Option sale to close-call <sup>25</sup>
	3.000	470.99	04/27/21	516.02	45.03 W	0.00	91 of 119 - Option sale to close-call <sup>25</sup>
	3.000	485.99	04/27/21	585.01	99.02 W	0.00	92 of 119 - Option sale to close-call <sup>25</sup>
	3.000	485.99	04/27/21	585.01	99.02 W	0.00	93 of 119 - Option sale to close-call <sup>25</sup>
	3.000	488.98	04/27/21	585.02	96.04 W	0.00	94 of 119 - Option sale to close-call <sup>25</sup>
	3.000	503.98	04/27/21	564.00	60.02 W	0.00	95 of 119 - Option sale to close-call <sup>25</sup>
	4.000	607.98	04/27/21	700.00	92.02 W	0.00	96 of 119 - Option sale to close-call <sup>25</sup>
	4.000	607.98	04/27/21	828.03	220.05 W	0.00	97 of 119 - Option sale to close-call <sup>25</sup>
	4.000	607.98	04/27/21	828.04	220.06 W	0.00	98 of 119 - Option sale to close-call <sup>25</sup>
	4.000	607.98	04/27/21	832.03	224.05 W	0.00	99 of 119 - Option sale to close-call <sup>25</sup>
	4.000	627.99	04/27/21	688.02	60.03 W	0.00	100 of 119 - Option sale to close-call <sup>25</sup>
	4.000	647.98	04/27/21	780.02	132.04 W	0.00	101 of 119 - Option sale to close-call <sup>25</sup>
	4.000	647.99	04/27/21	780.02	132.03 W	0.00	102 of 119 - Option sale to close-call <sup>25</sup>
	4.000	651.98	04/27/21	780.02	128.04 W	0.00	103 of 119 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	651.99	04/27/21	780.02	128.03 W	0.00	104 of 119 - Option sale to close-call <sup>25</sup>
	4.000	671.98	04/27/21	752.00	80.02 W	0.00	105 of 119 - Option sale to close-call <sup>25</sup>
	4.000	671.98	04/27/21	752.00	80.02 W	0.00	106 of 119 - Option sale to close-call <sup>25</sup>
	5.000	809.98	04/27/21	975.02	165.04 W	0.00	107 of 119 - Option sale to close-call <sup>25</sup>
	5.000	809.98	04/27/21	975.02	165.04 W	0.00	108 of 119 - Option sale to close-call <sup>25</sup>
	5.000	814.99	04/27/21	975.02	160.03 W	0.00	109 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	110 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	111 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	112 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	113 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	114 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	115 of 119 - Option sale to close-call <sup>25</sup>
	5.000	839.98	04/27/21	940.00	100.02 W	0.00	116 of 119 - Option sale to close-call <sup>25</sup>
	6.000	911.98	04/27/21	1,050.00	138.02 W	0.00	117 of 119 - Option sale to close-call <sup>25</sup>
	10.000	1,569.97	04/27/21	1,720.03	150.06 W	0.00	118 of 119 - Option sale to close-call <sup>25</sup>
	30.000	4,559.91	04/27/21	5,250.00	690.09 W	0.00	119 of 119 - Option sale to close-call <sup>25</sup>
04/27/21	283.000	44,686.64	Various	54,484.69	9,798.05 W	0.00	Total of 119 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
62 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	2.000	369.99	04/27/21	444.03	...	-74.04	1 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	430.04	...	-60.05	2 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	422.04	...	-52.05	3 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	422.04	...	-52.05	4 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	422.03	...	-52.04	5 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	422.03	...	-52.04	6 of 62 - Option sale to close-call 25
	2.000	359.99	04/27/21	404.05	...	-44.06	7 of 62 - Option sale to close-call 25
	2.000	359.99	04/27/21	404.03	...	-44.04	8 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	408.05	...	-38.06	9 of 62 - Option sale to close-call 25
	2.000	369.99	04/27/21	404.04	...	-34.05	10 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	215.03	...	-30.03	11 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	215.02	...	-30.02	12 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	215.02	...	-30.02	13 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	215.01	...	-30.01	14 of 62 - Option sale to close-call 25
	1.000	184.98	04/27/21	211.00	...	-26.02	15 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	211.02	...	-26.02	16 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	211.01	...	-26.01	17 of 62 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	185.00	04/27/21	211.01	...	-26.01	18 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	211.00	...	-26.00	19 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	210.03	...	-25.03	20 of 62 - Option sale to close-call 25
	1.000	179.99	04/27/21	202.02	...	-22.03	21 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	202.02	...	-22.02	22 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	202.02	...	-22.02	23 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	202.02	...	-22.02	24 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	202.02	...	-22.02	25 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	204.04	...	-19.04	26 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	204.03	...	-19.03	27 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	204.02	...	-19.02	28 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	204.01	...	-19.01	29 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	204.01	...	-19.01	30 of 62 - Option sale to close-call 25
	1.000	184.99	04/27/21	203.02	...	-18.03	31 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	203.03	...	-18.03	32 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	203.02	...	-18.02	33 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	203.02	...	-18.02	34 of 62 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	184.99	04/27/21	202.03	...	-17.04	35 of 62 - Option sale to close-call 25
	1.000	184.99	04/27/21	202.03	...	-17.04	36 of 62 - Option sale to close-call 25
	1.000	184.99	04/27/21	202.02	...	-17.03	37 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	202.03	...	-17.03	38 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	202.02	...	-17.02	39 of 62 - Option sale to close-call 25
	1.000	185.00	04/27/21	202.01	...	-17.01	40 of 62 - Option sale to close-call 25
	1.000	179.99	04/27/21	170.02	...	9.97	41 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	170.03	...	9.97	42 of 62 - Option sale to close-call 25
	1.000	179.99	04/27/21	170.01	...	9.98	43 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	170.01	...	9.99	44 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	170.01	...	9.99	45 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	170.01	...	9.99	46 of 62 - Option sale to close-call 25
	1.000	179.99	04/27/21	169.02	...	10.97	47 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	169.01	...	10.99	48 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	169.01	...	10.99	49 of 62 - Option sale to close-call 25
	1.000	180.00	04/27/21	167.01	...	12.99	50 of 62 - Option sale to close-call 25
	2.000	359.99	04/27/21	340.02	...	19.97	51 of 62 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXRT 04/30/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	359.99	04/27/21	340.01	...	19.98	52 of 62 - Option sale to close-call <sup>25</sup>
	1.000	180.00	04/27/21	157.02	...	22.98	53 of 62 - Option sale to close-call <sup>25</sup>
	3.000	539.99	04/27/21	510.03	...	29.96	54 of 62 - Option sale to close-call <sup>25</sup>
	3.000	539.99	04/27/21	510.02	...	29.97	55 of 62 - Option sale to close-call <sup>25</sup>
	3.000	539.99	04/27/21	507.03	...	32.96	56 of 62 - Option sale to close-call <sup>25</sup>
	3.000	554.98	04/27/21	516.01	...	38.97	57 of 62 - Option sale to close-call <sup>25</sup>
	2.000	359.98	04/27/21	314.01	...	45.97	58 of 62 - Option sale to close-call <sup>25</sup>
	3.000	539.98	04/27/21	471.02	...	68.96	59 of 62 - Option sale to close-call <sup>25</sup>
	6.000	1,109.98	04/27/21	1,032.02	...	77.96	60 of 62 - Option sale to close-call <sup>25</sup>
	4.000	719.98	04/27/21	628.02	...	91.96	61 of 62 - Option sale to close-call <sup>25</sup>
	8.000	1,479.97	04/27/21	1,376.03	...	103.94	62 of 62 - Option sale to close-call <sup>25</sup>
04/28/21	100.000	18,289.62	Various	18,757.35	...	-467.73	Total of 62 transactions
	<b>Security total:</b>	<b>97,754.92</b>		<b>102,142.04</b>	<b>9,798.05 W</b>	<b>5,410.93</b>	
WKHS 03/12/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	5.000	839.98	03/08/21	595.00	...	244.98	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	839.98	03/08/21	595.00	...	244.98	2 of 4 - Option sale to close-call <sup>25</sup>
	19.000	3,229.94	03/08/21	2,261.00	...	968.94	3 of 4 - Option sale to close-call <sup>25</sup>
	19.000	3,229.94	03/08/21	2,261.00	...	968.94	4 of 4 - Option sale to close-call <sup>25</sup>
03/09/21	48.000	8,139.84	Various	5,712.00	...	2,427.84	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$12.50 / CUSIP: / Symbol:							
24 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	10.000	79.97	04/30/21	950.05	...	-870.08	1 of 24 - Option sale to close-call 25
	5.000	39.98	04/30/21	475.03	...	-435.05	2 of 24 - Option sale to close-call 25
	4.000	31.99	04/30/21	380.03	...	-348.04	3 of 24 - Option sale to close-call 25
	3.000	23.99	04/30/21	285.02	...	-261.03	4 of 24 - Option sale to close-call 25
	3.000	23.99	04/30/21	285.01	...	-261.02	5 of 24 - Option sale to close-call 25
	2.000	15.99	04/30/21	248.03	...	-232.04	6 of 24 - Option sale to close-call 25
	2.000	15.99	04/30/21	190.03	...	-174.04	7 of 24 - Option sale to close-call 25
	2.000	15.99	04/30/21	190.01	...	-174.02	8 of 24 - Option sale to close-call 25
	2.000	15.99	04/30/21	190.01	...	-174.02	9 of 24 - Option sale to close-call 25
	2.000	15.99	04/30/21	190.01	...	-174.02	10 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	124.03	...	-116.03	11 of 24 - Option sale to close-call 25
	1.000	7.98	04/30/21	95.01	...	-87.03	12 of 24 - Option sale to close-call 25
	1.000	7.98	04/30/21	95.01	...	-87.03	13 of 24 - Option sale to close-call 25
	1.000	7.98	04/30/21	95.00	...	-87.02	14 of 24 - Option sale to close-call 25
	1.000	7.98	04/30/21	95.00	...	-87.02	15 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	95.01	...	-87.02	16 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	95.01	...	-87.02	17 of 24 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	8.00	04/30/21	95.01	...	-87.01	18 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.01	...	-87.01	19 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.01	...	-87.01	20 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.01	...	-87.01	21 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.01	...	-87.01	22 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.01	...	-87.01	23 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	95.00	...	-87.00	24 of 24 - Option sale to close-call 25
04/30/21	49.000	391.77	Various	4,742.36	...	-4,350.59	Total of 24 transactions
	24 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	1.000	7.98	04/30/21	66.00	58.02 W	0.00	1 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	66.00	58.01 W	0.00	2 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	66.00	58.01 W	0.00	3 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	66.00	58.01 W	0.00	4 of 24 - Option sale to close-call 25
	1.000	7.99	04/30/21	95.02	87.03 W	0.00	5 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	37.00	29.00 W	0.00	6 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	37.00	29.00 W	0.00	7 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	37.00	29.00 W	0.00	8 of 24 - Option sale to close-call 25
	1.000	8.00	04/30/21	66.00	58.00 W	0.00	9 of 24 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	2.000	15.98	04/30/21	132.01	116.03 W	0.00	10 of 24 - Option sale to close-call <sub>25</sub>
	2.000	15.98	04/30/21	190.01	174.03 W	0.00	11 of 24 - Option sale to close-call <sub>25</sub>
	2.000	15.99	04/30/21	132.00	116.01 W	0.00	12 of 24 - Option sale to close-call <sub>25</sub>
	2.000	15.99	04/30/21	132.00	116.01 W	0.00	13 of 24 - Option sale to close-call <sub>25</sub>
	2.000	15.99	04/30/21	132.00	116.01 W	0.00	14 of 24 - Option sale to close-call <sub>25</sub>
	3.000	23.99	04/30/21	111.00	87.01 W	0.00	15 of 24 - Option sale to close-call <sub>25</sub>
	3.000	23.99	04/30/21	198.01	174.02 W	0.00	16 of 24 - Option sale to close-call <sub>25</sub>
	3.000	23.99	04/30/21	198.01	174.02 W	0.00	17 of 24 - Option sale to close-call <sub>25</sub>
	4.000	31.98	04/30/21	264.01	232.03 W	0.00	18 of 24 - Option sale to close-call <sub>25</sub>
	5.000	39.99	04/30/21	185.00	145.01 W	0.00	19 of 24 - Option sale to close-call <sub>25</sub>
	7.000	55.98	04/30/21	462.02	406.04 W	0.00	20 of 24 - Option sale to close-call <sub>25</sub>
	8.000	63.97	04/30/21	528.02	464.05 W	0.00	21 of 24 - Option sale to close-call <sub>25</sub>
	11.000	87.97	04/30/21	726.03	638.06 W	0.00	22 of 24 - Option sale to close-call <sub>25</sub>
	13.000	103.97	04/30/21	481.00	377.03 W	0.00	23 of 24 - Option sale to close-call <sub>25</sub>
	25.000	199.94	04/30/21	925.00	725.06 W	0.00	24 of 24 - Option sale to close-call <sub>25</sub>
04/30/21	101.000	807.64	Various	5,332.14	4,524.50 W	0.00	Total of 24 transactions
	<b>Security total:</b>	<b>1,199.41</b>		<b>10,074.50</b>	<b>4,524.50 W</b>	<b>-4,350.59</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol:							
4 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	3.000	122.98	04/27/21	114.01	...	8.97	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	409.97	04/27/21	380.02	...	29.95	2 of 4 - Option sale to close-call <sup>25</sup>
	10.000	409.97	04/27/21	380.02	...	29.95	3 of 4 - Option sale to close-call <sup>25</sup>
	77.000	3,156.83	04/27/21	2,926.17	...	230.66	4 of 4 - Option sale to close-call <sup>25</sup>
04/27/21	100.000	4,099.75	Various	3,800.22	...	299.53	Total of 4 transactions
129 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	38.98	04/27/21	72.02	33.04 W	0.00	1 of 129 - Option sale to close-call <sup>25</sup>
	1.000	38.99	04/27/21	72.01	33.02 W	0.00	2 of 129 - Option sale to close-call <sup>25</sup>
	1.000	38.99	04/27/21	72.01	33.02 W	0.00	3 of 129 - Option sale to close-call <sup>25</sup>
	1.000	38.99	04/27/21	72.02	33.03 W	0.00	4 of 129 - Option sale to close-call <sup>25</sup>
	1.000	38.99	04/27/21	72.02	33.03 W	0.00	5 of 129 - Option sale to close-call <sup>25</sup>
	1.000	38.99	04/27/21	72.02	33.03 W	0.00	6 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.00	33.00 W	0.00	7 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	8 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	9 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	10 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	11 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	12 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	13 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.01	33.01 W	0.00	14 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	15 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	16 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	17 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	18 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	19 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	20 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	72.02	33.02 W	0.00	21 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.00	34.00 W	0.00	22 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.01	34.01 W	0.00	23 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.01	34.01 W	0.00	24 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	25 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	26 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	27 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	28 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	29 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	30 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	31 of 129 - Option sale to close-call <sup>25</sup>
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	32 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	39.00	04/27/21	73.02	34.02 W	0.00	33 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	34 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	35 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	36 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	37 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	38 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	39 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	40 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	41 of 129 - Option sale to close-call <sup>25</sup>
	1.000	43.98	04/27/21	72.00	28.02 W	0.00	42 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.00	32.00 W	0.00	43 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.01	32.01 W	0.00	44 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.01	32.01 W	0.00	45 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	46 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	47 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	48 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	49 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	50 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	51 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	52 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	53 of 129 - Option sale to close-call <sup>25</sup>
	1.000	44.00	04/27/21	76.02	32.02 W	0.00	54 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.01	66.02 W	0.00	55 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.02	66.03 W	0.00	56 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.02	66.03 W	0.00	57 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.02	66.03 W	0.00	58 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.03	66.04 W	0.00	59 of 129 - Option sale to close-call <sup>25</sup>
	2.000	77.99	04/27/21	144.03	66.04 W	0.00	60 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	144.03	66.03 W	0.00	61 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	146.02	68.02 W	0.00	62 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	146.02	68.02 W	0.00	63 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	146.03	68.03 W	0.00	64 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	146.03	68.03 W	0.00	65 of 129 - Option sale to close-call <sup>25</sup>
	2.000	78.00	04/27/21	146.03	68.03 W	0.00	66 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	67 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	68 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	69 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	70 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	71 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.98	04/27/21	144.00	56.02 W	0.00	72 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.99	04/27/21	152.02	64.03 W	0.00	73 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.99	04/27/21	152.02	64.03 W	0.00	74 of 129 - Option sale to close-call <sup>25</sup>
	2.000	87.99	04/27/21	152.02	64.03 W	0.00	75 of 129 - Option sale to close-call <sup>25</sup>
	2.000	88.00	04/27/21	152.02	64.02 W	0.00	76 of 129 - Option sale to close-call <sup>25</sup>
	2.000	88.00	04/27/21	152.02	64.02 W	0.00	77 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.98	04/27/21	216.04	99.06 W	0.00	78 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	216.02	99.03 W	0.00	79 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	216.04	99.05 W	0.00	80 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	216.04	99.05 W	0.00	81 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	216.04	99.05 W	0.00	82 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.02	102.03 W	0.00	83 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	84 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	85 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	86 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	87 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	88 of 129 - Option sale to close-call <sup>25</sup>
	3.000	116.99	04/27/21	219.03	102.04 W	0.00	89 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	90 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	91 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	92 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	93 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	94 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.98	04/27/21	216.00	84.02 W	0.00	95 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.01	96.02 W	0.00	96 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	97 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	98 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	99 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	100 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	101 of 129 - Option sale to close-call <sup>25</sup>
	3.000	131.99	04/27/21	228.02	96.03 W	0.00	102 of 129 - Option sale to close-call <sup>25</sup>
	4.000	155.98	04/27/21	288.03	132.05 W	0.00	103 of 129 - Option sale to close-call <sup>25</sup>
	4.000	155.98	04/27/21	288.03	132.05 W	0.00	104 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	4.000	155.98	04/27/21	288.03	132.05 W	0.00	105 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.98	04/27/21	360.04	165.06 W	0.00	106 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.98	04/27/21	365.02	170.04 W	0.00	107 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.99	04/27/21	360.04	165.05 W	0.00	108 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.99	04/27/21	360.05	165.06 W	0.00	109 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.99	04/27/21	365.02	170.03 W	0.00	110 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.99	04/27/21	365.03	170.04 W	0.00	111 of 129 - Option sale to close-call <sup>25</sup>
	5.000	194.99	04/27/21	365.04	170.05 W	0.00	112 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.98	04/27/21	360.00	140.02 W	0.00	113 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.98	04/27/21	380.02	160.04 W	0.00	114 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.99	04/27/21	360.00	140.01 W	0.00	115 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.99	04/27/21	380.01	160.02 W	0.00	116 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.99	04/27/21	380.01	160.02 W	0.00	117 of 129 - Option sale to close-call <sup>25</sup>
	5.000	219.99	04/27/21	380.02	160.03 W	0.00	118 of 129 - Option sale to close-call <sup>25</sup>
	6.000	233.98	04/27/21	432.04	198.06 W	0.00	119 of 129 - Option sale to close-call <sup>25</sup>
	7.000	272.98	04/27/21	504.06	231.08 W	0.00	120 of 129 - Option sale to close-call <sup>25</sup>
	7.000	272.98	04/27/21	511.04	238.06 W	0.00	121 of 129 - Option sale to close-call <sup>25</sup>
	7.000	307.98	04/27/21	532.02	224.04 W	0.00	122 of 129 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	10.000	389.97	04/27/21	720.08	330.11 W	0.00	123 of 129 - Option sale to close-call <sup>25</sup>
	10.000	439.97	04/27/21	720.00	280.03 W	0.00	124 of 129 - Option sale to close-call <sup>25</sup>
	11.000	483.97	04/27/21	792.00	308.03 W	0.00	125 of 129 - Option sale to close-call <sup>25</sup>
	30.000	1,169.92	04/27/21	2,190.15	1,020.23 W	0.00	126 of 129 - Option sale to close-call <sup>25</sup>
	30.000	1,319.92	04/27/21	2,280.07	960.15 W	0.00	127 of 129 - Option sale to close-call <sup>25</sup>
	30.000	1,319.93	04/27/21	2,160.00	840.07 W	0.00	128 of 129 - Option sale to close-call <sup>25</sup>
	100.000	3,899.78	04/27/21	4,100.00	200.22 W	0.00	129 of 129 - Option sale to close-call <sup>25</sup>
04/27/21	500.000	20,498.37	Various	33,402.46	12,904.09 W	0.00	Total of 129 transactions
	51 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	7.000	342.98	04/27/21	483.10	...	-140.12	1 of 51 - Option sale to close-call <sup>25</sup>
	5.000	244.98	04/27/21	345.08	...	-100.10	2 of 51 - Option sale to close-call <sup>25</sup>
	5.000	244.99	04/27/21	345.08	...	-100.09	3 of 51 - Option sale to close-call <sup>25</sup>
	5.000	244.99	04/27/21	345.06	...	-100.07	4 of 51 - Option sale to close-call <sup>25</sup>
	4.000	195.98	04/27/21	276.05	...	-80.07	5 of 51 - Option sale to close-call <sup>25</sup>
	4.000	195.99	04/27/21	276.06	...	-80.07	6 of 51 - Option sale to close-call <sup>25</sup>
	4.000	195.99	04/27/21	276.06	...	-80.07	7 of 51 - Option sale to close-call <sup>25</sup>
	3.000	146.99	04/27/21	207.07	...	-60.08	8 of 51 - Option sale to close-call <sup>25</sup>
	3.000	146.99	04/27/21	207.06	...	-60.07	9 of 51 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	3.000	146.99	04/27/21	207.06	...	-60.07	10 of 51 - Option sale to close-call 25
	3.000	146.99	04/27/21	207.06	...	-60.07	11 of 51 - Option sale to close-call 25
	3.000	146.99	04/27/21	207.05	...	-60.06	12 of 51 - Option sale to close-call 25
	3.000	146.99	04/27/21	207.05	...	-60.06	13 of 51 - Option sale to close-call 25
	3.000	146.99	04/27/21	207.04	...	-60.05	14 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.05	...	-40.06	15 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.04	...	-40.05	16 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.04	...	-40.05	17 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.04	...	-40.05	18 of 51 - Option sale to close-call 25
	2.000	98.00	04/27/21	138.05	...	-40.05	19 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.03	...	-40.04	20 of 51 - Option sale to close-call 25
	2.000	98.00	04/27/21	138.04	...	-40.04	21 of 51 - Option sale to close-call 25
	2.000	97.99	04/27/21	138.02	...	-40.03	22 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.04	...	-20.04	23 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.04	...	-20.04	24 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.03	...	-20.03	25 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.03	...	-20.03	26 of 51 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	49.00	04/27/21	69.03	...	-20.03	27 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	28 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	29 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	30 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	31 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	32 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	33 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	34 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	35 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.02	...	-20.02	36 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	37 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	38 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	39 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	40 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	41 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	42 of 51 - Option sale to close-call 25
	1.000	49.00	04/27/21	69.01	...	-20.01	43 of 51 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	49.00	04/27/21	69.01	...	-20.01	44 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	45 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	46 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	47 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	48 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	49 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.01	...	-20.01	50 of 51 - Option sale to close-call <sub>25</sub>
	1.000	49.00	04/27/21	69.00	...	-20.00	51 of 51 - Option sale to close-call <sub>25</sub>
04/28/21	100.000	4,899.77	Various	6,901.68	...	-2,001.91	Total of 51 transactions
	49 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	1.000	46.98	04/27/21	74.02	27.04 W	0.00	1 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.00	27.00 W	0.00	2 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	3 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	4 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	5 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	6 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	7 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	8 of 49 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	9 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	10 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	11 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	12 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	13 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	14 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.01	27.01 W	0.00	15 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	16 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	17 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	18 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	19 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	20 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	21 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	22 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	23 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.02	27.02 W	0.00	24 of 49 - Option sale to close-call 25
	1.000	47.00	04/27/21	74.03	27.03 W	0.00	25 of 49 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	1.000	47.00	04/27/21	74.03	27.03 W	0.00	26 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.03	27.03 W	0.00	27 of 49 - Option sale to close-call <sub>25</sub>
	1.000	47.00	04/27/21	74.04	27.04 W	0.00	28 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.02	54.03 W	0.00	29 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.03	54.04 W	0.00	30 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.03	54.04 W	0.00	31 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.03	54.04 W	0.00	32 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.03	54.04 W	0.00	33 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.04	54.05 W	0.00	34 of 49 - Option sale to close-call <sub>25</sub>
	2.000	93.99	04/27/21	148.04	54.05 W	0.00	35 of 49 - Option sale to close-call <sub>25</sub>
	2.000	94.00	04/27/21	148.02	54.02 W	0.00	36 of 49 - Option sale to close-call <sub>25</sub>
	3.000	140.99	04/27/21	222.03	81.04 W	0.00	37 of 49 - Option sale to close-call <sub>25</sub>
	3.000	140.99	04/27/21	222.05	81.06 W	0.00	38 of 49 - Option sale to close-call <sub>25</sub>
	3.000	140.99	04/27/21	222.05	81.06 W	0.00	39 of 49 - Option sale to close-call <sub>25</sub>
	3.000	140.99	04/27/21	222.05	81.06 W	0.00	40 of 49 - Option sale to close-call <sub>25</sub>
	3.000	140.99	04/27/21	222.06	81.07 W	0.00	41 of 49 - Option sale to close-call <sub>25</sub>
	4.000	187.99	04/27/21	296.04	108.05 W	0.00	42 of 49 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 04/30/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	4.000	187.99	04/27/21	296.05	108.06 W	0.00	43 of 49 - Option sale to close-call <sub>25</sub>
	4.000	187.99	04/27/21	296.05	108.06 W	0.00	44 of 49 - Option sale to close-call <sub>25</sub>
	5.000	234.98	04/27/21	370.06	135.08 W	0.00	45 of 49 - Option sale to close-call <sub>25</sub>
	5.000	234.98	04/27/21	370.06	135.08 W	0.00	46 of 49 - Option sale to close-call <sub>25</sub>
	5.000	234.99	04/27/21	370.05	135.06 W	0.00	47 of 49 - Option sale to close-call <sub>25</sub>
	7.000	328.97	04/27/21	518.08	189.11 W	0.00	48 of 49 - Option sale to close-call <sub>25</sub>
	7.000	328.98	04/27/21	518.08	189.10 W	0.00	49 of 49 - Option sale to close-call <sub>25</sub>
04/28/21	100.000	4,699.73	Various	7,401.41	2,701.68 W	0.00	Total of 49 transactions
	<b>Security total:</b>	<b>34,197.62</b>		<b>51,505.77</b>	<b>15,605.77 W</b>	<b>-1,702.38</b>	
XL 05/21/2021 CALL \$7.50 / CUSIP: / Symbol:							
13 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	04/23/21	80.00	...	5.00	1 of 13 - Option sale to close-call <sub>25</sub>
	1.000	84.98	04/23/21	70.00	...	14.98	2 of 13 - Option sale to close-call <sub>25</sub>
	1.000	84.98	04/23/21	70.00	...	14.98	3 of 13 - Option sale to close-call <sub>25</sub>
	5.000	424.99	04/23/21	400.00	...	24.99	4 of 13 - Option sale to close-call <sub>25</sub>
	6.000	509.99	04/23/21	480.00	...	29.99	5 of 13 - Option sale to close-call <sub>25</sub>
	7.000	594.98	04/23/21	560.00	...	34.98	6 of 13 - Option sale to close-call <sub>25</sub>
	7.000	594.98	04/23/21	560.00	...	34.98	7 of 13 - Option sale to close-call <sub>25</sub>
	5.000	424.98	04/23/21	350.00	...	74.98	8 of 13 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 05/21/2021 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	16.000	1,359.96	04/23/21	1,280.00	...	79.96	9 of 13 - Option sale to close-call 25
	21.000	1,784.95	04/23/21	1,680.00	...	104.95	10 of 13 - Option sale to close-call 25
	7.000	594.98	04/23/21	490.00	...	104.98	11 of 13 - Option sale to close-call 25
	68.000	5,779.83	04/23/21	5,440.00	...	339.83	12 of 13 - Option sale to close-call 25
	69.000	5,864.82	04/23/21	5,520.00	...	344.82	13 of 13 - Option sale to close-call 25
04/23/21	214.000	18,189.42	Various	16,980.00	...	1,209.42	Total of 13 transactions
XL 06/18/2021 CALL \$9.00 / CUSIP: / Symbol:							
19 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	14.000	699.96	06/03/21	1,722.11	...	-1,022.15	1 of 19 - Option sale to close-call 25
	18.000	899.95	06/03/21	1,854.08	...	-954.13	2 of 19 - Option sale to close-call 25
	10.000	499.97	06/03/21	1,230.07	...	-730.10	3 of 19 - Option sale to close-call 25
	19.000	949.95	06/03/21	1,634.04	...	-684.09	4 of 19 - Option sale to close-call 25
	8.000	399.98	06/03/21	984.06	...	-584.08	5 of 19 - Option sale to close-call 25
	9.000	449.98	06/03/21	864.04	...	-414.06	6 of 19 - Option sale to close-call 25
	10.000	499.98	06/03/21	830.03	...	-330.05	7 of 19 - Option sale to close-call 25
	7.000	349.98	06/03/21	672.04	...	-322.06	8 of 19 - Option sale to close-call 25
	4.000	199.99	06/03/21	464.03	...	-264.04	9 of 19 - Option sale to close-call 25
	4.000	199.99	06/03/21	464.03	...	-264.04	10 of 19 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 06/18/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	5.000	249.99	06/03/21	430.02	...	-180.03	11 of 19 - Option sale to close-call <sub>25</sub>
	2.000	100.00	06/03/21	236.01	...	-136.01	12 of 19 - Option sale to close-call <sub>25</sub>
	2.000	100.00	06/03/21	192.03	...	-92.03	13 of 19 - Option sale to close-call <sub>25</sub>
	1.000	49.98	06/03/21	96.01	...	-46.03	14 of 19 - Option sale to close-call <sub>25</sub>
	1.000	49.99	06/03/21	96.02	...	-46.03	15 of 19 - Option sale to close-call <sub>25</sub>
	1.000	50.00	06/03/21	96.02	...	-46.02	16 of 19 - Option sale to close-call <sub>25</sub>
	1.000	49.99	06/03/21	96.00	...	-46.01	17 of 19 - Option sale to close-call <sub>25</sub>
	1.000	50.00	06/03/21	86.00	...	-36.00	18 of 19 - Option sale to close-call <sub>25</sub>
	1.000	50.00	06/03/21	86.00	...	-36.00	19 of 19 - Option sale to close-call <sub>25</sub>
06/03/21	118.000	5,899.68	Various	12,132.64	...	-6,232.96	Total of 19 transactions
	21 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	42.000	2,099.90	06/03/21	2,940.00	800.10 W	-40.00	1 of 21 - Option sale to close-call <sub>25</sub>
	1.000	49.98	06/03/21	86.00	36.02 W	0.00	2 of 21 - Option sale to close-call <sub>25</sub>
	1.000	49.98	06/03/21	86.00	36.02 W	0.00	3 of 21 - Option sale to close-call <sub>25</sub>
	1.000	50.00	06/03/21	86.00	36.00 W	0.00	4 of 21 - Option sale to close-call <sub>25</sub>
	1.000	51.00	06/03/21	70.00	19.00 W	0.00	5 of 21 - Option sale to close-call <sub>25</sub>
	1.000	51.00	06/03/21	70.00	19.00 W	0.00	6 of 21 - Option sale to close-call <sub>25</sub>
	2.000	99.98	06/03/21	172.01	72.03 W	0.00	7 of 21 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 06/18/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	2.000	99.99	06/03/21	130.00	30.01 W	0.00	8 of 21 - Option sale to close-call 25
	2.000	100.00	06/03/21	202.01	102.01 W	0.00	9 of 21 - Option sale to close-call 25
	2.000	102.00	06/03/21	170.01	68.01 W	0.00	10 of 21 - Option sale to close-call 25
	4.000	199.99	06/03/21	424.02	224.03 W	0.00	11 of 21 - Option sale to close-call 25
	4.000	203.99	06/03/21	360.01	156.02 W	0.00	12 of 21 - Option sale to close-call 25
	8.000	399.97	06/03/21	688.02	288.05 W	0.00	13 of 21 - Option sale to close-call 25
	9.000	449.98	06/03/21	774.02	324.04 W	0.00	14 of 21 - Option sale to close-call 25
	10.000	509.97	06/03/21	670.00	160.03 W	0.00	15 of 21 - Option sale to close-call 25
	18.000	899.96	06/03/21	1,548.04	648.08 W	0.00	16 of 21 - Option sale to close-call 25
	18.000	917.96	06/03/21	1,260.00	342.04 W	0.00	17 of 21 - Option sale to close-call 25
	19.000	968.96	06/03/21	1,330.00	361.04 W	0.00	18 of 21 - Option sale to close-call 25
	27.000	1,376.93	06/03/21	1,890.00	513.07 W	0.00	19 of 21 - Option sale to close-call 25
	36.000	1,799.91	06/03/21	3,816.18	2,016.27 W	0.00	20 of 21 - Option sale to close-call 25
	36.000	1,835.91	06/03/21	3,240.09	1,404.18 W	0.00	21 of 21 - Option sale to close-call 25
06/03/21	244.000	12,317.36	Various	20,012.41	7,655.05 W	-40.00	Total of 21 transactions
	<b>Security total:</b>	<b>18,217.04</b>		<b>32,145.05</b>	<b>7,655.05 W</b>	<b>-6,272.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
XPEV 10/22/2021 CALL \$45.00 / CUSIP: / Symbol:							
<i>11 transactions for 10/18/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	440.98	10/15/21	476.00	...	-35.02	1 of 11 - Option sale to close-call <sup>25</sup>
	5.000	314.99	10/15/21	345.01	...	-30.02	2 of 11 - Option sale to close-call <sup>25</sup>
	5.000	314.98	10/15/21	340.00	...	-25.02	3 of 11 - Option sale to close-call <sup>25</sup>
	3.000	188.99	10/15/21	207.02	...	-18.03	4 of 11 - Option sale to close-call <sup>25</sup>
	2.000	125.99	10/15/21	138.01	...	-12.02	5 of 11 - Option sale to close-call <sup>25</sup>
	2.000	125.99	10/15/21	138.00	...	-12.01	6 of 11 - Option sale to close-call <sup>25</sup>
	2.000	126.00	10/15/21	138.01	...	-12.01	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	62.98	10/15/21	69.00	...	-6.02	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	63.00	10/15/21	69.01	...	-6.01	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	62.98	10/15/21	68.00	...	-5.02	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	64.00	10/15/21	64.00	...	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
10/18/21	30.000	1,890.88	Various	2,052.06	...	-161.18	Total of 11 transactions
<i>8 transactions for 10/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	63.00	10/15/21	64.01	1.01 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	63.99	10/15/21	64.00	0.01 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	125.99	10/15/21	128.00	2.01 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	126.00	10/15/21	128.00	2.00 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	3.000	188.99	10/15/21	192.00	3.01 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	188.99	10/15/21	192.01	3.02 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	191.99	10/15/21	192.00	0.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	5.000	314.99	10/15/21	320.00	5.01 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
10/18/21	20.000	1,263.94	Various	1,280.02	16.08 W	0.00	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPEV 10/22/2021 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,154.82</b>		<b>3,332.08</b>		<b>16.08 W</b>	<b>-161.18</b>
XPEV 11/05/2021 CALL \$48.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	8.000	871.98	11/02/21	856.00	...	15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	192.000	20,927.50	11/02/21	20,544.00	...	383.50	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	200.000	21,799.48	Various	21,400.00	...	399.48	Total of 2 transactions
XPEV 11/05/2021 CALL \$49.00 / CUSIP: / Symbol:							
25 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	183.98	11/01/21	174.00	...	9.98	1 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.98	11/01/21	174.00	...	9.98	2 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.98	11/01/21	174.00	...	9.98	3 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.98	11/01/21	174.00	...	9.98	4 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.98	11/01/21	174.00	...	9.98	5 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.99	11/01/21	174.00	...	9.99	6 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.99	11/01/21	174.00	...	9.99	7 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.99	11/01/21	174.00	...	9.99	8 of 25 - Option sale to close-call <sup>25</sup>
	1.000	183.99	11/01/21	174.00	...	9.99	9 of 25 - Option sale to close-call <sup>25</sup>
	1.000	184.00	11/01/21	174.00	...	10.00	10 of 25 - Option sale to close-call <sup>25</sup>
	1.000	184.00	11/01/21	174.00	...	10.00	11 of 25 - Option sale to close-call <sup>25</sup>
	2.000	367.98	11/01/21	348.00	...	19.98	12 of 25 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPEV 11/05/2021 CALL \$49.00 / CUSIP: / Symbol: (cont'd)							
	2.000	367.98	11/01/21	348.00	...	19.98	13 of 25 - Option sale to close-call <sup>25</sup>
	2.000	367.98	11/01/21	348.00	...	19.98	14 of 25 - Option sale to close-call <sup>25</sup>
	2.000	367.99	11/01/21	348.00	...	19.99	15 of 25 - Option sale to close-call <sup>25</sup>
	2.000	367.99	11/01/21	348.00	...	19.99	16 of 25 - Option sale to close-call <sup>25</sup>
	3.000	551.98	11/01/21	522.00	...	29.98	17 of 25 - Option sale to close-call <sup>25</sup>
	3.000	551.98	11/01/21	522.00	...	29.98	18 of 25 - Option sale to close-call <sup>25</sup>
	3.000	551.98	11/01/21	522.00	...	29.98	19 of 25 - Option sale to close-call <sup>25</sup>
	4.000	735.98	11/01/21	696.00	...	39.98	20 of 25 - Option sale to close-call <sup>25</sup>
	4.000	735.98	11/01/21	696.00	...	39.98	21 of 25 - Option sale to close-call <sup>25</sup>
	5.000	919.98	11/01/21	870.00	...	49.98	22 of 25 - Option sale to close-call <sup>25</sup>
	5.000	919.98	11/01/21	870.00	...	49.98	23 of 25 - Option sale to close-call <sup>25</sup>
	6.000	1,103.98	11/01/21	1,044.00	...	59.98	24 of 25 - Option sale to close-call <sup>25</sup>
	6.000	1,103.98	11/01/21	1,044.00	...	59.98	25 of 25 - Option sale to close-call <sup>25</sup>
11/01/21	60.000	11,039.60	Various	10,440.00	...	599.60	Total of 25 transactions
Z 11/05/2021 PUT \$65.00 / CUSIP: / Symbol:							
44 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	158.98	11/03/21	124.00	...	34.98	1 of 44 - Option sale to close-put <sup>25</sup>
	1.000	158.98	11/03/21	124.00	...	34.98	2 of 44 - Option sale to close-put <sup>25</sup>
	1.000	158.98	11/03/21	124.00	...	34.98	3 of 44 - Option sale to close-put <sup>25</sup>
	1.000	158.98	11/03/21	124.00	...	34.98	4 of 44 - Option sale to close-put <sup>25</sup>
	1.000	159.00	11/03/21	124.00	...	35.00	5 of 44 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Z 11/05/2021 PUT \$65.00 / CUSIP: / Symbol: (cont'd)							
	1.000	159.00	11/03/21	124.00	...	35.00	6 of 44 - Option sale to close-put <sup>25</sup>
	1.000	159.00	11/03/21	124.00	...	35.00	7 of 44 - Option sale to close-put <sup>25</sup>
	1.000	159.00	11/03/21	124.00	...	35.00	8 of 44 - Option sale to close-put <sup>25</sup>
	2.000	317.98	11/03/21	248.00	...	69.98	9 of 44 - Option sale to close-put <sup>25</sup>
	2.000	317.98	11/03/21	248.00	...	69.98	10 of 44 - Option sale to close-put <sup>25</sup>
	2.000	317.99	11/03/21	248.00	...	69.99	11 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	12 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	13 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	14 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	15 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	16 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	17 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	18 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	19 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	20 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	21 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	22 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	23 of 44 - Option sale to close-put <sup>25</sup>
	1.000	229.98	11/03/21	157.00	...	72.98	24 of 44 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Z 11/05/2021 PUT \$65.00 / CUSIP: / Symbol: (cont'd)							
	1.000	229.98	11/03/21	157.00	...	72.98	25 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	26 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	27 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	28 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	29 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	30 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	31 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	32 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	33 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	34 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	35 of 44 - Option sale to close-put <sub>25</sub>
	1.000	229.98	11/03/21	157.00	...	72.98	36 of 44 - Option sale to close-put <sub>25</sub>
	1.000	210.00	11/03/21	124.00	...	86.00	37 of 44 - Option sale to close-put <sub>25</sub>
	5.000	794.98	11/03/21	620.00	...	174.98	38 of 44 - Option sale to close-put <sub>25</sub>
	6.000	953.98	11/03/21	744.00	...	209.98	39 of 44 - Option sale to close-put <sub>25</sub>
	3.000	629.99	11/03/21	372.00	...	257.99	40 of 44 - Option sale to close-put <sub>25</sub>
	4.000	839.99	11/03/21	496.00	...	343.99	41 of 44 - Option sale to close-put <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Z 11/05/2021 PUT \$65.00 / CUSIP: / Symbol: (cont'd)							
	5.000	1,049.98	11/03/21	620.00	...	429.98	42 of 44 - Option sale to close-put <sup>25</sup>
	6.000	1,259.97	11/03/21	744.00	...	515.97	43 of 44 - Option sale to close-put <sup>25</sup>
	6.000	1,259.98	11/03/21	744.00	...	515.98	44 of 44 - Option sale to close-put <sup>25</sup>
11/03/21	75.000	14,974.24	Various	10,125.00	...	4,849.24	Total of 44 transactions
Z 11/05/2021 PUT \$75.00 / CUSIP: / Symbol:							
8 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	499.99	11/03/21	283.00	...	216.99	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	499.99	11/03/21	237.00	...	262.99	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	528.98	11/03/21	237.00	...	291.98	3 of 8 - Option sale to close-put <sup>25</sup>
	2.000	1,005.99	11/03/21	566.00	...	439.99	4 of 8 - Option sale to close-put <sup>25</sup>
	2.000	1,057.99	11/03/21	474.00	...	583.99	5 of 8 - Option sale to close-put <sup>25</sup>
	5.000	2,644.98	11/03/21	1,185.00	...	1,459.98	6 of 8 - Option sale to close-put <sup>25</sup>
	7.000	3,702.97	11/03/21	1,659.00	...	2,043.97	7 of 8 - Option sale to close-put <sup>25</sup>
	12.000	6,035.94	11/03/21	3,396.00	...	2,639.94	8 of 8 - Option sale to close-put <sup>25</sup>
11/03/21	31.000	15,976.83	Various	8,037.00	...	7,939.83	Total of 8 transactions
ZM 06/04/2021 CALL \$327.50 / CUSIP: / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	6.000	2,759.97	06/01/21	7,680.00	...	-4,920.03	1 of 4 - Option sale to close-call <sup>25</sup>
	6.000	2,759.97	06/02/21	4,500.00	...	-1,740.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	459.99	06/02/21	1,570.02	...	-1,110.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	460.00	06/02/21	1,570.02	...	-1,110.02	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/21	14.000	6,439.93	Various	15,320.04	...	-8,880.11	Total of 4 transactions
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	459.98	06/01/21	1,280.00	820.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	459.98	06/01/21	1,280.00	820.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	2.000	919.96	Various	2,560.00	1,640.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>7,359.89</b>		<b>17,880.04</b>	<b>1,640.04 W</b>	<b>-8,880.11</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 09/10/2021 CALL \$300.00 / CUSIP: / Symbol:							
4 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	6.000	1,649.98	09/10/21	1,650.00	...	-0.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	330.00	09/10/21	290.00	...	40.00	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	989.98	09/10/21	870.00	...	119.98	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	989.99	09/10/21	864.00	...	125.99	4 of 4 - Option sale to close-call <sup>25</sup>
09/10/21	13.000	3,959.95	Various	3,674.00	...	285.95	Total of 4 transactions
ZM 09/17/2021 CALL \$280.00 / CUSIP: / Symbol:							
10 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	164.98	09/16/21	130.00	...	34.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	164.98	09/16/21	130.00	...	34.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	170.00	09/16/21	130.00	...	40.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	170.00	09/16/21	130.00	...	40.00	4 of 10 - Option sale to close-call <sup>25</sup>
	2.000	339.99	09/16/21	260.00	...	79.99	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	339.99	09/16/21	260.00	...	79.99	6 of 10 - Option sale to close-call <sup>25</sup>
	4.000	679.99	09/16/21	520.00	...	159.99	7 of 10 - Option sale to close-call <sup>25</sup>
	8.000	1,319.97	09/16/21	1,040.00	...	279.97	8 of 10 - Option sale to close-call <sup>25</sup>
	8.000	1,519.97	09/16/21	1,040.00	...	479.97	9 of 10 - Option sale to close-call <sup>25</sup>
	12.000	2,279.96	09/16/21	1,560.00	...	719.96	10 of 10 - Option sale to close-call <sup>25</sup>
09/17/21	40.000	7,149.83	Various	5,200.00	...	1,949.83	Total of 10 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
<i>11 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	370.00	11/15/21	363.01	...	6.99	1 of 11 - Option sale to close-call <sub>25</sub>
	2.000	739.99	11/15/21	726.03	...	13.96	2 of 11 - Option sale to close-call <sub>25</sub>
	2.000	739.99	11/15/21	726.03	...	13.96	3 of 11 - Option sale to close-call <sub>25</sub>
	2.000	739.99	11/15/21	726.03	...	13.96	4 of 11 - Option sale to close-call <sub>25</sub>
	2.000	739.99	11/15/21	726.02	...	13.97	5 of 11 - Option sale to close-call <sub>25</sub>
	2.000	739.99	11/15/21	720.02	...	19.97	6 of 11 - Option sale to close-call <sub>25</sub>
	3.000	1,109.99	11/15/21	1,089.02	...	20.97	7 of 11 - Option sale to close-call <sub>25</sub>
	3.000	1,109.98	11/15/21	1,080.03	...	29.95	8 of 11 - Option sale to close-call <sub>25</sub>
	1.000	369.99	11/15/21	330.00	...	39.99	9 of 11 - Option sale to close-call <sub>25</sub>
	1.000	370.00	11/15/21	330.01	...	39.99	10 of 11 - Option sale to close-call <sub>25</sub>
	3.000	1,109.99	11/15/21	990.01	...	119.98	11 of 11 - Option sale to close-call <sub>25</sub>
11/15/21	22.000	8,139.90	Various	7,806.21	...	333.69	Total of 11 transactions
<i>14 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	359.98	11/15/21	400.00	40.02 W	0.00	1 of 14 - Option sale to close-call <sub>25</sub>
	1.000	370.00	11/15/21	370.02	0.02 W	0.00	2 of 14 - Option sale to close-call <sub>25</sub>
	2.000	719.98	11/15/21	720.00	0.02 W	0.00	3 of 14 - Option sale to close-call <sub>25</sub>
	2.000	719.99	11/15/21	800.01	80.02 W	0.00	4 of 14 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZM 11/19/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
	2.000	733.98	11/15/21	800.01	66.03 W	0.00	5 of 14 - Option sale to close-call <sup>25</sup>
	2.000	733.98	11/15/21	800.01	66.03 W	0.00	6 of 14 - Option sale to close-call <sup>25</sup>
	2.000	733.99	11/15/21	800.02	66.03 W	0.00	7 of 14 - Option sale to close-call <sup>25</sup>
	2.000	739.99	11/15/21	740.02	0.03 W	0.00	8 of 14 - Option sale to close-call <sup>25</sup>
	2.000	739.99	11/15/21	800.01	60.02 W	0.00	9 of 14 - Option sale to close-call <sup>25</sup>
	3.000	1,100.98	11/15/21	1,200.01	99.03 W	0.00	10 of 14 - Option sale to close-call <sup>25</sup>
	3.000	1,100.99	11/15/21	1,200.01	99.02 W	0.00	11 of 14 - Option sale to close-call <sup>25</sup>
	3.000	1,109.98	11/15/21	1,200.01	90.03 W	0.00	12 of 14 - Option sale to close-call <sup>25</sup>
	10.000	3,599.96	11/15/21	3,600.00	0.04 W	0.00	13 of 14 - Option sale to close-call <sup>25</sup>
	13.000	4,679.95	11/15/21	4,680.00	0.05 W	0.00	14 of 14 - Option sale to close-call <sup>25</sup>
11/15/21	48.000	17,443.74	Various	18,110.13	666.39 W	0.00	Total of 14 transactions
	8 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	1.000	319.98	11/17/21	320.02	0.04 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	319.99	11/17/21	320.01	0.02 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	319.99	11/17/21	320.02	0.03 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/17/21	320.02	0.02 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/17/21	320.03	0.03 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	320.00	11/17/21	320.04	0.04 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	639.99	11/17/21	640.00	0.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	6.000	1,919.97	11/17/21	1,920.00	0.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
11/17/21	14.000	4,479.92	Various	4,480.14	0.22 W	0.00	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/19/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
23 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	6.000	359.98	11/17/21	2,640.02	...	-2,280.04	1 of 23 - Option sale to close-call 25
	6.000	359.99	11/17/21	2,310.05	...	-1,950.06	2 of 23 - Option sale to close-call 25
	4.000	239.98	11/17/21	2,160.03	...	-1,920.05	3 of 23 - Option sale to close-call 25
	3.000	179.98	11/17/21	1,965.01	...	-1,785.03	4 of 23 - Option sale to close-call 25
	3.000	179.99	11/17/21	1,650.02	...	-1,470.03	5 of 23 - Option sale to close-call 25
	2.000	120.00	11/17/21	1,310.01	...	-1,190.01	6 of 23 - Option sale to close-call 25
	2.000	119.99	11/17/21	1,100.03	...	-980.04	7 of 23 - Option sale to close-call 25
	2.000	119.99	11/17/21	1,100.02	...	-980.03	8 of 23 - Option sale to close-call 25
	2.000	119.99	11/17/21	1,050.04	...	-930.05	9 of 23 - Option sale to close-call 25
	2.000	120.00	11/17/21	880.01	...	-760.01	10 of 23 - Option sale to close-call 25
	1.000	59.98	11/17/21	655.01	...	-595.03	11 of 23 - Option sale to close-call 25
	1.000	59.98	11/17/21	655.01	...	-595.03	12 of 23 - Option sale to close-call 25
	1.000	60.00	11/17/21	550.00	...	-490.00	13 of 23 - Option sale to close-call 25
	1.000	59.98	11/17/21	540.01	...	-480.03	14 of 23 - Option sale to close-call 25
	1.000	59.98	11/17/21	540.01	...	-480.03	15 of 23 - Option sale to close-call 25
	1.000	59.98	11/17/21	540.01	...	-480.03	16 of 23 - Option sale to close-call 25
	1.000	60.00	11/17/21	525.02	...	-465.02	17 of 23 - Option sale to close-call 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/19/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
	1.000	59.98	11/17/21	440.01	...	-380.03	18 of 23 - Option sale to close-call <sub>25</sub>
	1.000	59.98	11/17/21	440.00	...	-380.02	19 of 23 - Option sale to close-call <sub>25</sub>
	1.000	60.00	11/17/21	440.00	...	-380.00	20 of 23 - Option sale to close-call <sub>25</sub>
	1.000	59.98	11/17/21	385.04	...	-325.06	21 of 23 - Option sale to close-call <sub>25</sub>
	1.000	59.98	11/17/21	385.03	...	-325.05	22 of 23 - Option sale to close-call <sub>25</sub>
	1.000	60.00	11/17/21	385.03	...	-325.03	23 of 23 - Option sale to close-call <sub>25</sub>
11/18/21	45.000	2,699.71	Various	22,645.42	...	-19,945.71	Total of 23 transactions
		21 transactions for 11/18/21. Total proceeds and cost reported to the IRS.					
	1.000	164.99	11/17/21	265.02	100.03 W	0.00	1 of 21 - Option sale to close-call <sub>25</sub>
	1.000	165.00	11/17/21	265.03	100.03 W	0.00	2 of 21 - Option sale to close-call <sub>25</sub>
	1.000	165.00	11/17/21	265.04	100.04 W	0.00	3 of 21 - Option sale to close-call <sub>25</sub>
	1.000	165.00	11/17/21	405.02	240.02 W	0.00	4 of 21 - Option sale to close-call <sub>25</sub>
	1.000	165.00	11/17/21	420.00	255.00 W	0.00	5 of 21 - Option sale to close-call <sub>25</sub>
	1.000	179.98	11/17/21	320.00	140.02 W	0.00	6 of 21 - Option sale to close-call <sub>25</sub>
	3.000	179.99	11/17/21	1,260.01	1,080.02 W	0.00	7 of 21 - Option sale to close-call <sub>25</sub>
	4.000	239.99	11/17/21	1,680.01	1,440.02 W	0.00	8 of 21 - Option sale to close-call <sub>25</sub>
	2.000	329.98	11/17/21	640.00	310.02 W	0.00	9 of 21 - Option sale to close-call <sub>25</sub>
	2.000	329.99	11/17/21	810.03	480.04 W	0.00	10 of 21 - Option sale to close-call <sub>25</sub>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/19/2021 CALL \$265.00 / CUSIP: / Symbol: (cont'd)							
	2.000	329.99	11/17/21	840.01	510.02 W	0.00	11 of 21 - Option sale to close-call <sup>25</sup>
	2.000	329.99	11/17/21	840.01	510.02 W	0.00	12 of 21 - Option sale to close-call <sup>25</sup>
	2.000	329.99	11/17/21	840.02	510.03 W	0.00	13 of 21 - Option sale to close-call <sup>25</sup>
	2.000	359.98	11/17/21	640.01	280.03 W	0.00	14 of 21 - Option sale to close-call <sup>25</sup>
	3.000	494.99	11/17/21	1,260.01	765.02 W	0.00	15 of 21 - Option sale to close-call <sup>25</sup>
	5.000	824.98	11/17/21	1,600.00	775.02 W	0.00	16 of 21 - Option sale to close-call <sup>25</sup>
	5.000	824.98	11/17/21	1,600.00	775.02 W	0.00	17 of 21 - Option sale to close-call <sup>25</sup>
	5.000	824.98	11/17/21	2,100.02	1,275.04 W	0.00	18 of 21 - Option sale to close-call <sup>25</sup>
	6.000	989.98	11/17/21	1,590.03	600.05 W	0.00	19 of 21 - Option sale to close-call <sup>25</sup>
	9.000	1,484.97	11/17/21	2,880.00	1,395.03 W	0.00	20 of 21 - Option sale to close-call <sup>25</sup>
	12.000	1,979.96	11/17/21	3,840.00	1,860.04 W	0.00	21 of 21 - Option sale to close-call <sup>25</sup>
11/18/21	70.000	10,859.71	Various	24,360.27	13,500.56 W	0.00	Total of 21 transactions
	<b>Security total:</b>	<b>43,622.98</b>		<b>77,402.17</b>	<b>14,167.17 W</b>	<b>-19,612.02</b>	
ZM 11/26/2021 CALL \$215.00 / CUSIP: / Symbol:							
4 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	1,599.98	11/24/21	55.00	...	1,544.98	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,839.98	11/24/21	110.00	...	1,729.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,029.97	11/24/21	55.00	...	1,974.97	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	6,089.95	11/24/21	165.00	...	5,924.95	4 of 4 - Option sale to close-call <sup>25</sup>
11/26/21	7.000	11,559.88	Various	385.00	...	11,174.88	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/26/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	8.000	199.97	11/23/21	1,600.00	...	-1,400.03	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	174.98	11/23/21	1,400.00	...	-1,225.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/24/21	15.000	374.95	Various	3,000.00	...	-2,625.05	Total of 2 transactions
ZM 12/03/2021 CALL \$210.00 / CUSIP: / Symbol:							
12/02/21	1.000	64.98	12/01/21	110.00	...	-45.02	Option sale to close-call <sup>25</sup>
ZM 12/03/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/23/21	6.000	149.98	11/22/21	4,560.00	...	-4,410.02	Option sale to close-call <sup>25</sup>
ZM 12/10/2021 CALL \$190.00 / CUSIP: / Symbol:							
12/06/21	22.000	8,359.91	12/03/21	6,490.00	...	1,869.91	Option sale to close-call <sup>25</sup>
ZM 12/23/2021 CALL \$197.50 / CUSIP: / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	26.000	649.94	12/22/21	4,810.00	...	-4,160.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	25.00	12/22/21	85.00	...	-60.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/21	27.000	674.94	Various	4,895.00	...	-4,220.06	Total of 2 transactions
ZM 12/23/2021 CALL \$200.00 / CUSIP: / Symbol:							
7 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	1.000	779.99	12/17/21	540.00	...	239.99	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	809.99	12/17/21	220.00	...	589.99	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	809.99	12/17/21	220.00	...	589.99	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	2,339.98	12/17/21	660.00	...	1,679.98	4 of 7 - Option sale to close-call <sup>25</sup>
	3.000	2,429.98	12/17/21	660.00	...	1,769.98	5 of 7 - Option sale to close-call <sup>25</sup>
	8.000	6,479.94	12/17/21	1,760.00	...	4,719.94	6 of 7 - Option sale to close-call <sup>25</sup>
	9.000	7,019.94	12/17/21	1,980.00	...	5,039.94	7 of 7 - Option sale to close-call <sup>25</sup>
12/20/21	26.000	20,669.81	Various	6,040.00	...	14,629.81	Total of 7 transactions
ALLBIRDS, INC. CLASS A COMMON STOCK / CUSIP: 01675A109 / Symbol:							
19 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	500.000	13,874.86	11/03/21	13,910.00	...	-35.14	1 of 19 - Sale <sup>25</sup>
	200.000	5,551.95	11/03/21	5,564.00	...	-12.05	2 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALLBIRDS, INC. CLASS A COMMON STOCK / CUSIP: 01675A109 / Symbol: (cont'd)							
	200.000	5,553.95	11/03/21	5,564.00	...	-10.05	3 of 19 - Sale <sup>25</sup>
	200.000	5,553.95	11/03/21	5,564.00	...	-10.05	4 of 19 - Sale <sup>25</sup>
	100.000	2,775.97	11/03/21	2,782.00	...	-6.03	5 of 19 - Sale <sup>25</sup>
	100.000	2,775.97	11/03/21	2,782.00	...	-6.03	6 of 19 - Sale <sup>25</sup>
	100.000	2,775.97	11/03/21	2,782.00	...	-6.03	7 of 19 - Sale <sup>25</sup>
	100.000	2,776.17	11/03/21	2,782.00	...	-5.83	8 of 19 - Sale <sup>25</sup>
	100.000	2,776.17	11/03/21	2,782.00	...	-5.83	9 of 19 - Sale <sup>25</sup>
	100.000	2,776.97	11/03/21	2,782.00	...	-5.03	10 of 19 - Sale <sup>25</sup>
	100.000	2,776.97	11/03/21	2,782.00	...	-5.03	11 of 19 - Sale <sup>25</sup>
	56.000	1,554.65	11/03/21	1,557.92	...	-3.27	12 of 19 - Sale <sup>25</sup>
	44.000	1,220.99	11/03/21	1,224.08	...	-3.09	13 of 19 - Sale <sup>25</sup>
	100.000	2,780.97	11/03/21	2,782.00	...	-1.03	14 of 19 - Sale <sup>25</sup>
	100.000	2,778.38	11/03/21	2,777.00	...	1.38	15 of 19 - Sale <sup>25</sup>
	34.000	944.68	11/03/21	936.82	...	7.86	16 of 19 - Sale <sup>25</sup>
	66.000	1,833.79	11/03/21	1,818.53	...	15.26	17 of 19 - Sale <sup>25</sup>
	100.000	2,778.47	11/03/21	2,753.32	...	25.15	18 of 19 - Sale <sup>25</sup>
	800.000	22,227.79	11/03/21	21,968.00	...	259.79	19 of 19 - Sale <sup>25</sup>
11/03/21	3,100.000	86,088.62	Various	85,893.67	...	194.95	Total of 19 transactions
	10 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	34.000	944.85	11/03/21	948.03	3.18 W	0.00	1 of 10 - Sale <sup>25</sup>
	66.000	1,834.12	11/03/21	1,840.29	6.17 W	0.00	2 of 10 - Sale <sup>25</sup>
	100.000	2,777.97	11/03/21	2,784.29	6.32 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	2,777.97	11/03/21	2,785.29	7.32 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	2,778.17	11/03/21	2,780.63	2.46 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	2,778.17	11/03/21	2,780.63	2.46 W	0.00	6 of 10 - Sale <sup>25</sup>
	100.000	2,778.17	11/03/21	2,782.46	4.29 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	2,778.17	11/03/21	2,782.46	4.29 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	2,778.37	11/03/21	2,779.00	0.63 W	0.00	9 of 10 - Sale <sup>25</sup>
	100.000	2,778.37	11/03/21	2,779.00	0.63 W	0.00	10 of 10 - Sale <sup>25</sup>
11/03/21	900.000	25,004.33	Various	25,042.08	37.75 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>111,092.95</b>		<b>110,935.75</b>	<b>37.75 W</b>	<b>194.95</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
93 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
20.000		160.00	11/08/21	161.41	...	-1.41	1 of 93 - Sale <sup>25</sup>
4.000		32.00	11/08/21	32.00	...	0.00	2 of 93 - Sale <sup>25</sup>
5.000		40.00	11/08/21	40.00	...	0.00	3 of 93 - Sale <sup>25</sup>
6.000		48.00	11/08/21	48.00	...	0.00	4 of 93 - Sale <sup>25</sup>
6.000		48.00	11/08/21	48.00	...	0.00	5 of 93 - Sale <sup>25</sup>
10.000		80.00	11/08/21	80.00	...	0.00	6 of 93 - Sale <sup>25</sup>
10.000		80.00	11/08/21	80.00	...	0.00	7 of 93 - Sale <sup>25</sup>
10.000		80.00	11/08/21	80.00	...	0.00	8 of 93 - Sale <sup>25</sup>
20.000		160.00	11/08/21	160.00	...	0.00	9 of 93 - Sale <sup>25</sup>
30.000		240.00	11/08/21	240.00	...	0.00	10 of 93 - Sale <sup>25</sup>
157.000		1,255.98	11/08/21	1,255.98	...	0.00	11 of 93 - Sale <sup>25</sup>
1.000		8.00	11/08/21	7.95	...	0.05	12 of 93 - Sale <sup>25</sup>
1.000		8.00	11/08/21	7.90	...	0.10	13 of 93 - Sale <sup>25</sup>
1.000		8.00	11/08/21	7.90	...	0.10	14 of 93 - Sale <sup>25</sup>
1.000		8.00	11/08/21	7.90	...	0.10	15 of 93 - Sale <sup>25</sup>
2.000		16.00	11/08/21	15.80	...	0.20	16 of 93 - Sale <sup>25</sup>
1.000		8.19	11/08/21	7.90	...	0.29	17 of 93 - Sale <sup>25</sup>
7.000		56.00	11/08/21	55.65	...	0.35	18 of 93 - Sale <sup>25</sup>
7.000		56.00	11/08/21	55.65	...	0.35	19 of 93 - Sale <sup>25</sup>
4.000		32.00	11/08/21	31.60	...	0.40	20 of 93 - Sale <sup>25</sup>
5.000		40.05	11/08/21	39.65	...	0.40	21 of 93 - Sale <sup>25</sup>
10.000		80.00	11/08/21	79.60	...	0.40	22 of 93 - Sale <sup>25</sup>
75.000		599.99	11/08/21	599.25	...	0.74	23 of 93 - Sale <sup>25</sup>
17.000		136.00	11/08/21	135.16	...	0.84	24 of 93 - Sale <sup>25</sup>
30.000		239.99	11/08/21	239.10	...	0.89	25 of 93 - Sale <sup>25</sup>
100.000		799.98	11/08/21	799.00	...	0.98	26 of 93 - Sale <sup>25</sup>
100.000		799.98	11/08/21	799.00	...	0.98	27 of 93 - Sale <sup>25</sup>
100.000		799.98	11/08/21	799.00	...	0.98	28 of 93 - Sale <sup>25</sup>
100.000		799.98	11/08/21	799.00	...	0.98	29 of 93 - Sale <sup>25</sup>
100.000		799.98	11/08/21	799.00	...	0.98	30 of 93 - Sale <sup>25</sup>
10.000		80.00	11/08/21	79.00	...	1.00	31 of 93 - Sale <sup>25</sup>
11.000		88.00	11/08/21	86.90	...	1.10	32 of 93 - Sale <sup>25</sup>
23.000		184.00	11/08/21	182.86	...	1.14	33 of 93 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	18.000	143.99	11/08/21	142.75	...	1.24	34 of 93 - Sale <sup>25</sup>
	5.000	40.95	11/08/21	39.50	...	1.45	35 of 93 - Sale <sup>25</sup>
	34.000	271.99	11/08/21	270.31	...	1.68	36 of 93 - Sale <sup>25</sup>
	19.000	152.00	11/08/21	150.10	...	1.90	37 of 93 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,598.00	...	1.97	38 of 93 - Sale <sup>25</sup>
	20.000	160.00	11/08/21	158.00	...	2.00	39 of 93 - Sale <sup>25</sup>
	25.000	200.25	11/08/21	198.25	...	2.00	40 of 93 - Sale <sup>25</sup>
	48.000	383.99	11/08/21	381.62	...	2.37	41 of 93 - Sale <sup>25</sup>
	50.000	399.99	11/08/21	397.51	...	2.48	42 of 93 - Sale <sup>25</sup>
	52.000	415.99	11/08/21	413.42	...	2.57	43 of 93 - Sale <sup>25</sup>
	10.000	81.80	11/08/21	79.00	...	2.80	44 of 93 - Sale <sup>25</sup>
	19.000	152.00	11/08/21	149.15	...	2.85	45 of 93 - Sale <sup>25</sup>
	43.000	344.42	11/08/21	341.44	...	2.98	46 of 93 - Sale <sup>25</sup>
	10.000	82.00	11/08/21	79.00	...	3.00	47 of 93 - Sale <sup>25</sup>
	31.000	247.99	11/08/21	244.90	...	3.09	48 of 93 - Sale <sup>25</sup>
	57.000	456.56	11/08/21	453.17	...	3.39	49 of 93 - Sale <sup>25</sup>
	75.000	599.99	11/08/21	596.28	...	3.71	50 of 93 - Sale <sup>25</sup>
	25.000	200.00	11/08/21	196.25	...	3.75	51 of 93 - Sale <sup>25</sup>
	30.000	239.99	11/08/21	235.50	...	4.49	52 of 93 - Sale <sup>25</sup>
	93.000	743.98	11/08/21	739.38	...	4.60	53 of 93 - Sale <sup>25</sup>
	50.000	399.99	11/08/21	395.01	...	4.98	54 of 93 - Sale <sup>25</sup>
	59.000	471.99	11/08/21	466.10	...	5.89	55 of 93 - Sale <sup>25</sup>
	100.000	800.98	11/08/21	795.03	...	5.95	56 of 93 - Sale <sup>25</sup>
	95.000	760.93	11/08/21	754.33	...	6.60	57 of 93 - Sale <sup>25</sup>
	25.000	204.50	11/08/21	197.50	...	7.00	58 of 93 - Sale <sup>25</sup>
	50.000	399.99	11/08/21	392.51	...	7.48	59 of 93 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,590.06	...	9.91	60 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.01	...	9.97	61 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.01	...	9.97	62 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.01	...	9.97	63 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.01	...	9.97	64 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.00	...	9.98	65 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	790.00	...	9.98	66 of 93 - Sale <sup>25</sup>
	287.000	2,295.95	11/08/21	2,284.49	...	11.46	67 of 93 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	120.000	959.98	11/08/21	948.00	...	11.98	68 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	785.01	...	14.97	69 of 93 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	785.01	...	14.97	70 of 93 - Sale <sup>25</sup>
	136.000	1,087.98	11/08/21	1,067.61	...	20.37	71 of 93 - Sale <sup>25</sup>
	210.000	1,679.96	11/08/21	1,659.00	...	20.96	72 of 93 - Sale <sup>25</sup>
	220.000	1,759.96	11/08/21	1,738.04	...	21.92	73 of 93 - Sale <sup>25</sup>
	150.000	1,199.98	11/08/21	1,177.51	...	22.47	74 of 93 - Sale <sup>25</sup>
	170.000	1,359.97	11/08/21	1,334.51	...	25.46	75 of 93 - Sale <sup>25</sup>
	100.000	817.98	11/08/21	790.00	...	27.98	76 of 93 - Sale <sup>25</sup>
	100.000	818.98	11/08/21	790.00	...	28.98	77 of 93 - Sale <sup>25</sup>
	294.000	2,351.94	11/08/21	2,322.62	...	29.32	78 of 93 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,570.01	...	29.96	79 of 93 - Sale <sup>25</sup>
	107.000	898.78	11/08/21	845.30	...	53.48	80 of 93 - Sale <sup>25</sup>
	200.000	1,635.97	11/08/21	1,580.00	...	55.97	81 of 93 - Sale <sup>25</sup>
	200.000	1,637.97	11/08/21	1,580.00	...	57.97	82 of 93 - Sale <sup>25</sup>
	586.000	4,687.90	11/08/21	4,629.49	...	58.41	83 of 93 - Sale <sup>25</sup>
	741.000	5,927.87	11/08/21	5,854.02	...	73.85	84 of 93 - Sale <sup>25</sup>
	520.000	4,159.92	11/08/21	4,066.40	...	93.52	85 of 93 - Sale <sup>25</sup>
	200.000	1,679.97	11/08/21	1,580.00	...	99.97	86 of 93 - Sale <sup>25</sup>
	392.000	3,210.41	11/08/21	3,096.80	...	113.61	87 of 93 - Sale <sup>25</sup>
	457.000	3,738.19	11/08/21	3,610.30	...	127.89	88 of 93 - Sale <sup>25</sup>
	400.000	3,359.93	11/08/21	3,160.00	...	199.93	89 of 93 - Sale <sup>25</sup>
	1,000.000	8,189.83	11/08/21	7,900.00	...	289.83	90 of 93 - Sale <sup>25</sup>
	793.000	6,661.07	11/08/21	6,264.70	...	396.37	91 of 93 - Sale <sup>25</sup>
	1,000.000	8,399.83	11/08/21	7,900.00	...	499.83	92 of 93 - Sale <sup>25</sup>
	4,000.000	31,999.36	11/08/21	31,400.00	...	599.36	93 of 93 - Sale <sup>25</sup>
11/09/21	15,810.000	127,947.79	Various	124,773.09	...	3,174.70	Total of 93 transactions
	25 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	20.000	152.00	11/08/21	162.20	10.20 W	0.00	1 of 25 - Sale <sup>25</sup>
	20.000	159.99	11/08/21	166.40	6.41 W	0.00	2 of 25 - Sale <sup>25</sup>
	20.000	160.00	11/08/21	163.41	3.41 W	0.00	3 of 25 - Sale <sup>25</sup>
	20.000	160.00	11/08/21	169.40	9.40 W	0.00	4 of 25 - Sale <sup>25</sup>
	30.000	228.00	11/08/21	236.10	8.10 W	0.00	5 of 25 - Sale <sup>25</sup>
	30.000	240.00	11/08/21	243.30	3.30 W	0.00	6 of 25 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	30.000	240.00	11/08/21	243.60	3.60 W	0.00	7 of 25 - Sale <sup>25</sup>
	50.000	380.50	11/08/21	393.50	13.00 W	0.00	8 of 25 - Sale <sup>25</sup>
	50.000	381.00	11/08/21	393.50	12.50 W	0.00	9 of 25 - Sale <sup>25</sup>
	50.000	399.99	11/08/21	400.00	0.01 W	0.00	10 of 25 - Sale <sup>25</sup>
	50.000	399.99	11/08/21	405.00	5.01 W	0.00	11 of 25 - Sale <sup>25</sup>
	100.000	761.98	11/08/21	787.00	25.02 W	0.00	12 of 25 - Sale <sup>25</sup>
	100.000	761.98	11/08/21	787.00	25.02 W	0.00	13 of 25 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	799.99	0.01 W	0.00	14 of 25 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	810.02	10.04 W	0.00	15 of 25 - Sale <sup>25</sup>
	100.000	799.98	11/08/21	810.02	10.04 W	0.00	16 of 25 - Sale <sup>25</sup>
	200.000	1,523.97	11/08/21	1,574.00	50.03 W	0.00	17 of 25 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,599.98	0.01 W	0.00	18 of 25 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,599.98	0.01 W	0.00	19 of 25 - Sale <sup>25</sup>
	200.000	1,599.97	11/08/21	1,620.03	20.06 W	0.00	20 of 25 - Sale <sup>25</sup>
	470.000	3,571.92	11/08/21	3,698.90	126.98 W	0.00	21 of 25 - Sale <sup>25</sup>
	470.000	3,759.92	11/08/21	3,816.48	56.56 W	0.00	22 of 25 - Sale <sup>25</sup>
	500.000	3,999.92	11/08/21	3,999.95	0.03 W	0.00	23 of 25 - Sale <sup>25</sup>
	1,000.000	7,999.84	11/08/21	7,999.90	0.06 W	0.00	24 of 25 - Sale <sup>25</sup>
	1,600.000	12,799.74	11/08/21	12,800.00	0.26 W	0.00	25 of 25 - Sale <sup>25</sup>
11/09/21	5,710.000	45,280.59	Various	45,679.66	399.07 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>173,228.38</b>		<b>170,452.75</b>	<b>399.07 W</b>	<b>3,174.70</b>	
ANNOVIS BIO, INC. / CUSIP: 03615A108 / Symbol:							
4 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	1.000	67.87	05/21/21	56.00	...	11.87	1 of 4 - Sale <sup>25</sup>
	10.000	678.63	05/21/21	570.00	...	108.63	2 of 4 - Sale <sup>25</sup>
	125.000	7,937.44	05/21/21	7,051.24	...	886.20	3 of 4 - Sale <sup>25</sup>
	125.000	8,482.99	05/21/21	7,051.24	...	1,431.75	4 of 4 - Sale <sup>25</sup>
05/24/21	261.000	17,166.93	Various	14,728.48	...	2,438.45	Total of 4 transactions
ATHIRA PHARMA, INC. COMMON STO CK / CUSIP: 04746L104 / Symbol:							
40 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	856.000	9,253.64	10/22/21	9,552.96	...	-299.32	1 of 40 - Sale <sup>25</sup>
	96.000	1,037.79	10/22/21	1,166.46	...	-128.67	2 of 40 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATHIRA PHARMA, INC. COMMON STO CK / CUSIP: 04746L104 / Symbol: (cont'd)							
	96.000	1,037.80	10/22/21	1,166.46	...	-128.66	3 of 40 - Sale <sup>25</sup>
	96.000	1,037.79	10/22/21	1,164.54	...	-126.75	4 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	5 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	6 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	7 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	8 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	9 of 40 - Sale <sup>25</sup>
	98.000	1,059.41	10/22/21	1,158.40	...	-98.99	10 of 40 - Sale <sup>25</sup>
	125.000	1,351.30	10/22/21	1,437.52	...	-86.22	11 of 40 - Sale <sup>25</sup>
	75.000	810.77	10/22/21	886.54	...	-75.77	12 of 40 - Sale <sup>25</sup>
	200.000	2,163.96	10/22/21	2,232.00	...	-68.04	13 of 40 - Sale <sup>25</sup>
	100.000	1,081.03	10/22/21	1,149.02	...	-67.99	14 of 40 - Sale <sup>25</sup>
	97.000	1,048.60	10/22/21	1,114.55	...	-65.95	15 of 40 - Sale <sup>25</sup>
	73.000	789.16	10/22/21	838.79	...	-49.63	16 of 40 - Sale <sup>25</sup>
	61.000	659.43	10/22/21	700.90	...	-41.47	17 of 40 - Sale <sup>25</sup>
	100.000	1,080.98	10/22/21	1,116.00	...	-35.02	18 of 40 - Sale <sup>25</sup>
	100.000	1,081.98	10/22/21	1,116.00	...	-34.02	19 of 40 - Sale <sup>25</sup>
	100.000	1,081.98	10/22/21	1,116.00	...	-34.02	20 of 40 - Sale <sup>25</sup>
	23.000	248.64	10/22/21	279.45	...	-30.81	21 of 40 - Sale <sup>25</sup>
	67.000	724.92	10/22/21	747.72	...	-22.80	22 of 40 - Sale <sup>25</sup>
	26.000	281.07	10/22/21	298.75	...	-17.68	23 of 40 - Sale <sup>25</sup>
	33.000	357.06	10/22/21	368.28	...	-11.22	24 of 40 - Sale <sup>25</sup>
	10.000	108.10	10/22/21	115.00	...	-6.90	25 of 40 - Sale <sup>25</sup>
	18.000	194.94	10/22/21	200.88	...	-5.94	26 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.30	...	-2.68	27 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.30	...	-2.68	28 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.30	...	-2.68	29 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.30	...	-2.68	30 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.26	...	-2.64	31 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	24.26	...	-2.64	32 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	33 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	34 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	35 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	36 of 40 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATHIRA PHARMA, INC. COMMON STO CK / CUSIP: 04746L104 / Symbol: (cont'd)							
	2.000	21.62	10/22/21	23.64	...	-2.02	37 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	38 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	39 of 40 - Sale <sup>25</sup>
	2.000	21.62	10/22/21	23.64	...	-2.02	40 of 40 - Sale <sup>25</sup>
10/22/21	2,968.000	32,090.08	Various	34,053.06	...	-1,962.98	Total of 40 transactions
	49 transactions for 10/22/21. Total proceeds and cost reported to the IRS.						
	100.000	1,082.98	10/22/21	1,116.00	28.73 W	-4.29	1 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.32	0.66 W	0.00	2 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.94	1.28 W	0.00	3 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	4 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	5 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	6 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	7 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	8 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	9 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	10 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	11 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	12 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	22.98	1.32 W	0.00	13 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.60	1.94 W	0.00	14 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.60	1.94 W	0.00	15 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.64	1.98 W	0.00	16 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.64	1.98 W	0.00	17 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.64	1.98 W	0.00	18 of 49 - Sale <sup>25</sup>
	2.000	21.66	10/22/21	23.64	1.98 W	0.00	19 of 49 - Sale <sup>25</sup>
	10.000	108.20	10/22/21	111.60	3.40 W	0.00	20 of 49 - Sale <sup>25</sup>
	23.000	249.09	10/22/21	264.27	15.18 W	0.00	21 of 49 - Sale <sup>25</sup>
	23.000	249.09	10/22/21	271.86	22.77 W	0.00	22 of 49 - Sale <sup>25</sup>
	73.000	790.57	10/22/21	814.68	24.11 W	0.00	23 of 49 - Sale <sup>25</sup>
	75.000	812.23	10/22/21	861.77	49.54 W	0.00	24 of 49 - Sale <sup>25</sup>
	96.000	1,039.66	10/22/21	1,132.84	93.18 W	0.00	25 of 49 - Sale <sup>25</sup>
	96.000	1,039.66	10/22/21	1,134.76	95.10 W	0.00	26 of 49 - Sale <sup>25</sup>
	96.000	1,039.66	10/22/21	1,134.76	95.10 W	0.00	27 of 49 - Sale <sup>25</sup>
	97.000	1,050.49	10/22/21	1,082.52	32.03 W	0.00	28 of 49 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATHIRA PHARMA, INC. COMMON STO CK / CUSIP: 04746L104 / Symbol: (cont'd)							
	98.000	1,061.32	10/22/21	1,124.08	62.76 W	0.00	29 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	30 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	31 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	32 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	33 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	34 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	35 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	36 of 49 - Sale <sup>25</sup>
	98.000	1,061.32	10/22/21	1,126.04	64.72 W	0.00	37 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	38 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	39 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	40 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	41 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	42 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	43 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	44 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	45 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	46 of 49 - Sale <sup>25</sup>
	100.000	1,082.98	10/22/21	1,116.00	33.02 W	0.00	47 of 49 - Sale <sup>25</sup>
	100.000	1,084.98	10/22/21	1,116.00	31.02 W	0.00	48 of 49 - Sale <sup>25</sup>
	125.000	1,352.48	10/22/21	1,395.00	42.52 W	0.00	49 of 49 - Sale <sup>25</sup>
10/22/21	2,832.000	30,670.65	Various	32,145.28	1,470.34 W	-4.29	Total of 49 transactions
	<b>Security total:</b>	<b>62,760.73</b>		<b>66,198.34</b>	<b>1,470.34 W</b>	<b>-1,967.27</b>	

BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol:

6 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	881.000	11,368.17	10/25/21	12,034.46	...	-666.29	1 of 6 - Sale <sup>25</sup>
	664.000	8,568.06	10/25/21	9,070.24	...	-502.18	2 of 6 - Sale <sup>25</sup>
	307.000	3,961.44	10/25/21	4,193.62	...	-232.18	3 of 6 - Sale <sup>25</sup>
	100.000	1,290.37	10/25/21	1,366.00	...	-75.63	4 of 6 - Sale <sup>25</sup>
	19.000	245.17	10/25/21	273.91	...	-28.74	5 of 6 - Sale <sup>25</sup>
	10.000	129.04	10/25/21	136.60	...	-7.56	6 of 6 - Sale <sup>25</sup>
10/25/21	1,981.000	25,562.25	Various	27,074.83	...	-1,512.58	Total of 6 transactions
10/25/21	19.000	245.17	10/25/21	259.54	14.37 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>25,807.42</b>		<b>27,334.37</b>	<b>14.37 W</b>		<b>-1,512.58</b>
THE BEACHBODY COMPANY, INC. / CUSIP: 073463101 / Symbol:							
<i>4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1,094.000	3,828.84	11/15/21	4,102.50	...		-273.66 1 of 4 - Sale <sup>25</sup>
	1,046.000	3,660.86	11/15/21	3,922.50	...		-261.64 2 of 4 - Sale <sup>25</sup>
	740.000	2,589.90	11/15/21	2,775.00	...		-185.10 3 of 4 - Sale <sup>25</sup>
	100.000	349.99	11/15/21	375.00	...		-25.01 4 of 4 - Sale <sup>25</sup>
11/16/21	2,980.000	10,429.59	Various	11,175.00	...		-745.41 Total of 4 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
06/03/21	400.000	14,105.75	06/02/21	16,680.00	...		-2,574.25 Sale <sup>25</sup>
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
<i>7 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
	79.000	6,213.31	11/10/21	8,540.74	...		-2,327.43 1 of 7 - Sale <sup>25</sup>
	136.000	10,696.33	11/10/21	12,702.40	...		-2,006.07 2 of 7 - Sale <sup>25</sup>
	10.000	786.49	11/10/21	1,088.12	...		-301.63 3 of 7 - Sale <sup>25</sup>
	10.000	786.49	11/10/21	1,088.11	...		-301.62 4 of 7 - Sale <sup>25</sup>
	40.000	3,145.98	11/10/21	3,225.60	...		-79.62 5 of 7 - Sale <sup>25</sup>
	44.000	3,460.58	11/10/21	3,493.60	...		-33.02 6 of 7 - Sale <sup>25</sup>
	1.000	78.65	11/10/21	108.84	...		-30.19 7 of 7 - Sale <sup>25</sup>
11/10/21	320.000	25,167.83	Various	30,247.41	...		-5,079.58 Total of 7 transactions
<i>7 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	78.67	11/10/21	93.39	14.72 W		0.00 1 of 7 - Sale <sup>25</sup>
	1.000	78.68	11/10/21	108.12	29.44 W		0.00 2 of 7 - Sale <sup>25</sup>
	10.000	786.79	11/10/21	1,080.91	294.12 W		0.00 3 of 7 - Sale <sup>25</sup>
	10.000	786.80	11/10/21	1,080.91	294.11 W		0.00 4 of 7 - Sale <sup>25</sup>
	10.000	786.99	11/10/21	933.90	146.91 W		0.00 5 of 7 - Sale <sup>25</sup>
	10.000	786.99	11/10/21	933.90	146.91 W		0.00 6 of 7 - Sale <sup>25</sup>
	79.000	6,215.67	11/10/21	7,377.81	1,162.14 W		0.00 7 of 7 - Sale <sup>25</sup>
11/10/21	121.000	9,520.59	Various	11,608.94	2,088.35 W		0.00 Total of 7 transactions
<b>Security total:</b>		<b>34,688.42</b>		<b>41,856.35</b>	<b>2,088.35 W</b>		<b>-5,079.58</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 144486867
2021 1099-B* OMB No. 1545-0715	(continued)	03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG 5 SPORTING GOODS CORPORATI ON COMMON STOCK / CUSIP: 08915P101 / Symbol:							
<i>28 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	25.73	11/02/21	25.51	...	0.22	1 of 28 - Sale <sup>25</sup>
	1.000	25.73	11/02/21	25.22	...	0.51	2 of 28 - Sale <sup>25</sup>
	1.000	25.73	11/02/21	25.22	...	0.51	3 of 28 - Sale <sup>25</sup>
	4.000	102.92	11/02/21	102.12	...	0.80	4 of 28 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	153.18	...	1.20	5 of 28 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	153.07	...	1.31	6 of 28 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	485.46	...	3.41	7 of 28 - Sale <sup>25</sup>
	17.000	437.41	11/02/21	433.69	...	3.72	8 of 28 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	485.11	...	3.75	9 of 28 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	484.73	...	4.13	10 of 28 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	484.74	...	4.13	11 of 28 - Sale <sup>25</sup>
	38.000	977.73	11/02/21	969.44	...	8.29	12 of 28 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	479.21	...	9.65	13 of 28 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	479.20	...	9.67	14 of 28 - Sale <sup>25</sup>
	51.000	1,312.21	11/02/21	1,302.08	...	10.13	15 of 28 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	504.00	...	10.59	16 of 28 - Sale <sup>25</sup>
	23.000	591.78	11/02/21	580.09	...	11.69	17 of 28 - Sale <sup>25</sup>
	38.000	977.73	11/02/21	958.42	...	19.31	18 of 28 - Sale <sup>25</sup>
	61.000	1,569.51	11/02/21	1,537.20	...	32.31	19 of 28 - Sale <sup>25</sup>
	100.000	2,564.97	11/02/21	2,520.00	...	44.97	20 of 28 - Sale <sup>25</sup>
	99.000	2,547.25	11/02/21	2,494.80	...	52.45	21 of 28 - Sale <sup>25</sup>
	100.000	2,572.97	11/02/21	2,500.00	...	72.97	22 of 28 - Sale <sup>25</sup>
	100.000	2,572.97	11/02/21	2,500.00	...	72.97	23 of 28 - Sale <sup>25</sup>
	139.000	3,576.43	11/02/21	3,475.00	...	101.43	24 of 28 - Sale <sup>25</sup>
	232.000	5,950.74	11/02/21	5,846.40	...	104.34	25 of 28 - Sale <sup>25</sup>
	309.000	7,925.77	11/02/21	7,786.80	...	138.97	26 of 28 - Sale <sup>25</sup>
	262.000	6,741.19	11/02/21	6,550.00	...	191.19	27 of 28 - Sale <sup>25</sup>
	777.000	19,929.86	11/02/21	19,580.40	...	349.46	28 of 28 - Sale <sup>25</sup>
11/02/21	2,499.000	64,185.17	Various	62,921.09	...	1,264.08	Total of 28 transactions
<i>46 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	25.73	11/02/21	25.75	0.02 W	0.00	1 of 46 - Sale <sup>25</sup>
	1.000	25.73	11/02/21	25.75	0.02 W	0.00	2 of 46 - Sale <sup>25</sup>
	1.000	25.73	11/02/21	26.04	0.31 W	0.00	3 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG 5 SPORTING GOODS CORPORATI ON COMMON STOCK / CUSIP: 08915P101 / Symbol: (cont'd)							
	1.000	25.73	11/02/21	26.28	0.55 W	0.00	4 of 46 - Sale <sup>25</sup>
	4.000	102.92	11/02/21	105.04	2.12 W	0.00	5 of 46 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	156.25	1.87 W	0.00	6 of 46 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	157.56	3.18 W	0.00	7 of 46 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	159.43	5.05 W	0.00	8 of 46 - Sale <sup>25</sup>
	6.000	154.38	11/02/21	161.94	7.56 W	0.00	9 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	494.79	5.93 W	0.00	10 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	494.80	5.94 W	0.00	11 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	498.97	10.11 W	0.00	12 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	499.34	10.48 W	0.00	13 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	504.85	15.99 W	0.00	14 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	512.83	23.97 W	0.00	15 of 46 - Sale <sup>25</sup>
	19.000	488.86	11/02/21	513.20	24.34 W	0.00	16 of 46 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	489.27	0.40 W	0.00	17 of 46 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	489.28	0.41 W	0.00	18 of 46 - Sale <sup>25</sup>
	19.000	488.87	11/02/21	499.33	10.46 W	0.00	19 of 46 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	522.80	8.21 W	0.00	20 of 46 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	529.61	15.02 W	0.00	21 of 46 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	531.01	16.42 W	0.00	22 of 46 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	537.82	23.23 W	0.00	23 of 46 - Sale <sup>25</sup>
	20.000	514.59	11/02/21	546.03	31.44 W	0.00	24 of 46 - Sale <sup>25</sup>
	20.000	514.60	11/02/21	525.62	11.02 W	0.00	25 of 46 - Sale <sup>25</sup>
	20.000	514.60	11/02/21	531.01	16.41 W	0.00	26 of 46 - Sale <sup>25</sup>
	20.000	514.60	11/02/21	531.44	16.84 W	0.00	27 of 46 - Sale <sup>25</sup>
	20.000	514.60	11/02/21	540.21	25.61 W	0.00	28 of 46 - Sale <sup>25</sup>
	20.000	514.60	11/02/21	540.22	25.62 W	0.00	29 of 46 - Sale <sup>25</sup>
	25.000	643.24	11/02/21	672.26	29.02 W	0.00	30 of 46 - Sale <sup>25</sup>
	25.000	643.24	11/02/21	682.52	39.28 W	0.00	31 of 46 - Sale <sup>25</sup>
	51.000	1,312.22	11/02/21	1,339.30	27.08 W	0.00	32 of 46 - Sale <sup>25</sup>
	55.000	1,415.13	11/02/21	1,461.40	46.27 W	0.00	33 of 46 - Sale <sup>25</sup>
	55.000	1,415.14	11/02/21	1,432.27	17.13 W	0.00	34 of 46 - Sale <sup>25</sup>
	55.000	1,415.14	11/02/21	1,478.98	63.84 W	0.00	35 of 46 - Sale <sup>25</sup>
	55.000	1,415.14	11/02/21	1,484.48	69.34 W	0.00	36 of 46 - Sale <sup>25</sup>
	55.000	1,415.14	11/02/21	1,501.54	86.40 W	0.00	37 of 46 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG 5 SPORTING GOODS CORPORATI ON COMMON STOCK / CUSIP: 08915P101 / Symbol: (cont'd)							
	61.000	1,569.51	11/02/21	1,570.82	1.31 W	0.00	38 of 46 - Sale <sup>25</sup>
	61.000	1,569.51	11/02/21	1,603.13	33.62 W	0.00	39 of 46 - Sale <sup>25</sup>
	61.000	1,569.51	11/02/21	1,647.64	78.13 W	0.00	40 of 46 - Sale <sup>25</sup>
	80.000	2,058.38	11/02/21	2,118.42	60.04 W	0.00	41 of 46 - Sale <sup>25</sup>
	80.000	2,058.38	11/02/21	2,122.43	64.05 W	0.00	42 of 46 - Sale <sup>25</sup>
	80.000	2,058.38	11/02/21	2,124.02	65.64 W	0.00	43 of 46 - Sale <sup>25</sup>
	80.000	2,059.97	11/02/21	2,091.20	31.23 W	0.00	44 of 46 - Sale <sup>25</sup>
	100.000	2,572.97	11/02/21	2,614.00	41.03 W	0.00	45 of 46 - Sale <sup>25</sup>
	100.000	2,579.97	11/02/21	2,614.00	34.03 W	0.00	46 of 46 - Sale <sup>25</sup>
11/02/21	1,501.000	38,628.91	Various	39,734.88	1,105.97 W	0.00	Total of 46 transactions
	<b>Security total:</b>	<b>102,814.08</b>		<b>102,655.97</b>	<b>1,105.97 W</b>	<b>1,264.08</b>	

BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol:

24 transactions for 11/05/21. Total proceeds and cost reported to the IRS.

500.000	1,724.93	11/05/21	1,780.07	...	-55.14	1 of 24 - Sale <sup>25</sup>
461.000	1,590.39	11/05/21	1,641.23	...	-50.84	2 of 24 - Sale <sup>25</sup>
153.000	527.82	11/05/21	544.71	...	-16.89	3 of 24 - Sale <sup>25</sup>
110.000	379.49	11/05/21	391.62	...	-12.13	4 of 24 - Sale <sup>25</sup>
100.000	344.99	11/05/21	356.01	...	-11.02	5 of 24 - Sale <sup>25</sup>
100.000	344.99	11/05/21	356.01	...	-11.02	6 of 24 - Sale <sup>25</sup>
100.000	344.99	11/05/21	356.01	...	-11.02	7 of 24 - Sale <sup>25</sup>
88.000	303.60	11/05/21	313.29	...	-9.69	8 of 24 - Sale <sup>25</sup>
80.000	275.99	11/05/21	284.81	...	-8.82	9 of 24 - Sale <sup>25</sup>
88.000	305.35	11/05/21	313.29	...	-7.94	10 of 24 - Sale <sup>25</sup>
88.000	305.35	11/05/21	313.29	...	-7.94	11 of 24 - Sale <sup>25</sup>
100.000	346.99	11/05/21	353.02	...	-6.03	12 of 24 - Sale <sup>25</sup>
50.000	172.50	11/05/21	178.01	...	-5.51	13 of 24 - Sale <sup>25</sup>
88.000	305.35	11/05/21	310.65	...	-5.30	14 of 24 - Sale <sup>25</sup>
88.000	305.35	11/05/21	310.65	...	-5.30	15 of 24 - Sale <sup>25</sup>
200.000	689.97	11/05/21	694.00	...	-4.03	16 of 24 - Sale <sup>25</sup>
25.000	86.25	11/05/21	89.00	...	-2.75	17 of 24 - Sale <sup>25</sup>
20.000	69.00	11/05/21	71.20	...	-2.20	18 of 24 - Sale <sup>25</sup>
12.000	41.40	11/05/21	42.72	...	-1.32	19 of 24 - Sale <sup>25</sup>
12.000	41.64	11/05/21	42.72	...	-1.08	20 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol: (cont'd)							
	12.000	41.64	11/05/21	42.36	...	-0.72	21 of 24 - Sale <sup>25</sup>
	12.000	41.64	11/05/21	42.36	...	-0.72	22 of 24 - Sale <sup>25</sup>
	12.000	41.64	11/05/21	42.36	...	-0.72	23 of 24 - Sale <sup>25</sup>
	1.000	3.45	11/05/21	3.56	...	-0.11	24 of 24 - Sale <sup>25</sup>
11/05/21	2,500.000	8,634.71	Various	8,872.95	...	-238.24	Total of 24 transactions
	7 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	4,400.000	15,179.38	11/05/21	15,576.00	153.24 W	-243.38	1 of 7 - Sale <sup>25</sup>
	12.000	41.40	11/05/21	42.12	0.72 W	0.00	2 of 7 - Sale <sup>25</sup>
	88.000	303.59	11/05/21	311.52	7.93 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	344.99	11/05/21	351.00	6.01 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	344.99	11/05/21	354.00	9.01 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	344.99	11/05/21	354.00	9.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	689.97	11/05/21	702.00	12.03 W	0.00	7 of 7 - Sale <sup>25</sup>
11/05/21	5,000.000	17,249.31	Various	17,690.64	197.95 W	-243.38	Total of 7 transactions
	<b>Security total:</b>	<b>25,884.02</b>		<b>26,563.59</b>	<b>197.95 W</b>	<b>-481.62</b>	
CANGO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TW O (2) CLASS A ORDINARY SHARES / CUSIP: 137586103 / Symbol:							
06/01/21	3,000.000	17,548.05	06/01/21	18,600.00	...	-1,051.95	Sale <sup>25</sup>
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
	3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1,200.000	41,670.72	11/02/21	41,702.90	...	-32.18	1 of 3 - Sale <sup>25</sup>
	300.000	10,417.68	11/02/21	10,421.22	...	-3.54	2 of 3 - Sale <sup>25</sup>
	1,500.000	56,861.38	11/02/21	56,403.30	...	458.08	3 of 3 - Sale <sup>25</sup>
11/02/21	3,000.000	108,949.78	Various	108,527.42	...	422.36	Total of 3 transactions
11/02/21	1,500.000	56,861.38	11/02/21	56,940.00	78.62 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>165,811.16</b>		<b>165,467.42</b>	<b>78.62 W</b>	<b>422.36</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
	103 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	1,309.000	10,380.15	11/08/21	11,663.40	...	-1,283.25	1 of 103 - Sale <sup>25</sup>
	1,000.000	7,929.83	11/08/21	8,910.16	...	-980.33	2 of 103 - Sale <sup>25</sup>
	1,500.000	11,894.76	11/08/21	12,735.00	...	-840.24	3 of 103 - Sale <sup>25</sup>
	668.000	5,277.10	11/08/21	5,851.79	...	-574.69	4 of 103 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
440.000		3,489.13	11/08/21	3,920.47	...	-431.34	5 of 103 - Sale <sup>25</sup>
403.000		3,195.72	11/08/21	3,590.80	...	-395.08	6 of 103 - Sale <sup>25</sup>
403.000		3,195.72	11/08/21	3,590.79	...	-395.07	7 of 103 - Sale <sup>25</sup>
400.000		3,159.93	11/08/21	3,504.06	...	-344.13	8 of 103 - Sale <sup>25</sup>
385.000		3,052.98	11/08/21	3,372.67	...	-319.69	9 of 103 - Sale <sup>25</sup>
357.000		2,820.24	11/08/21	3,127.38	...	-307.14	10 of 103 - Sale <sup>25</sup>
300.000		2,369.94	11/08/21	2,625.05	...	-255.11	11 of 103 - Sale <sup>25</sup>
300.000		2,369.94	11/08/21	2,625.05	...	-255.11	12 of 103 - Sale <sup>25</sup>
300.000		2,369.94	11/08/21	2,625.05	...	-255.11	13 of 103 - Sale <sup>25</sup>
300.000		2,369.94	11/08/21	2,625.04	...	-255.10	14 of 103 - Sale <sup>25</sup>
280.000		2,211.95	11/08/21	2,452.84	...	-240.89	15 of 103 - Sale <sup>25</sup>
233.000		1,840.66	11/08/21	2,041.12	...	-200.46	16 of 103 - Sale <sup>25</sup>
200.000		1,585.97	11/08/21	1,782.03	...	-196.06	17 of 103 - Sale <sup>25</sup>
200.000		1,579.97	11/08/21	1,752.03	...	-172.06	18 of 103 - Sale <sup>25</sup>
134.000		1,062.60	11/08/21	1,193.96	...	-131.36	19 of 103 - Sale <sup>25</sup>
250.000		1,974.96	11/08/21	2,105.04	...	-130.08	20 of 103 - Sale <sup>25</sup>
235.000		1,856.46	11/08/21	1,976.39	...	-119.93	21 of 103 - Sale <sup>25</sup>
120.000		951.58	11/08/21	1,069.22	...	-117.64	22 of 103 - Sale <sup>25</sup>
133.000		1,050.67	11/08/21	1,165.11	...	-114.44	23 of 103 - Sale <sup>25</sup>
200.000		1,579.97	11/08/21	1,682.03	...	-102.06	24 of 103 - Sale <sup>25</sup>
120.000		951.58	11/08/21	1,051.22	...	-99.64	25 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	891.02	...	-98.04	26 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	891.02	...	-98.04	27 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	891.02	...	-98.04	28 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	891.01	...	-98.03	29 of 103 - Sale <sup>25</sup>
98.000		777.12	11/08/21	873.20	...	-96.08	30 of 103 - Sale <sup>25</sup>
97.000		769.19	11/08/21	864.29	...	-95.10	31 of 103 - Sale <sup>25</sup>
97.000		769.19	11/08/21	864.29	...	-95.10	32 of 103 - Sale <sup>25</sup>
101.000		797.88	11/08/21	884.78	...	-86.90	33 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	876.02	...	-86.04	34 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	876.02	...	-86.04	35 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	876.02	...	-86.04	36 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	876.02	...	-86.04	37 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	876.02	...	-86.04	38 of 103 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
100.000		789.98	11/08/21	875.02	...	-85.04	39 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	876.02	...	-83.04	40 of 103 - Sale <sup>25</sup>
100.000		792.98	11/08/21	876.02	...	-83.04	41 of 103 - Sale <sup>25</sup>
100.000		792.99	11/08/21	876.02	...	-83.03	42 of 103 - Sale <sup>25</sup>
100.000		792.99	11/08/21	876.02	...	-83.03	43 of 103 - Sale <sup>25</sup>
93.000		734.68	11/08/21	814.70	...	-80.02	44 of 103 - Sale <sup>25</sup>
150.000		1,184.98	11/08/21	1,261.52	...	-76.54	45 of 103 - Sale <sup>25</sup>
87.000		689.89	11/08/21	762.14	...	-72.25	46 of 103 - Sale <sup>25</sup>
85.000		674.03	11/08/21	744.62	...	-70.59	47 of 103 - Sale <sup>25</sup>
85.000		674.04	11/08/21	744.62	...	-70.58	48 of 103 - Sale <sup>25</sup>
71.000		563.02	11/08/21	632.62	...	-69.60	49 of 103 - Sale <sup>25</sup>
83.000		658.18	11/08/21	727.10	...	-68.92	50 of 103 - Sale <sup>25</sup>
80.000		634.39	11/08/21	700.82	...	-66.43	51 of 103 - Sale <sup>25</sup>
125.000		987.47	11/08/21	1,051.27	...	-63.80	52 of 103 - Sale <sup>25</sup>
76.000		602.67	11/08/21	665.78	...	-63.11	53 of 103 - Sale <sup>25</sup>
117.000		924.28	11/08/21	983.99	...	-59.71	54 of 103 - Sale <sup>25</sup>
65.000		515.44	11/08/21	569.41	...	-53.97	55 of 103 - Sale <sup>25</sup>
100.000		789.98	11/08/21	841.02	...	-51.04	56 of 103 - Sale <sup>25</sup>
50.000		396.49	11/08/21	445.51	...	-49.02	57 of 103 - Sale <sup>25</sup>
50.000		396.50	11/08/21	445.51	...	-49.01	58 of 103 - Sale <sup>25</sup>
55.000		434.49	11/08/21	481.26	...	-46.77	59 of 103 - Sale <sup>25</sup>
50.000		394.99	11/08/21	438.00	...	-43.01	60 of 103 - Sale <sup>25</sup>
50.000		395.00	11/08/21	438.01	...	-43.01	61 of 103 - Sale <sup>25</sup>
50.000		394.99	11/08/21	437.51	...	-42.52	62 of 103 - Sale <sup>25</sup>
50.000		395.00	11/08/21	437.51	...	-42.51	63 of 103 - Sale <sup>25</sup>
50.000		396.49	11/08/21	438.00	...	-41.51	64 of 103 - Sale <sup>25</sup>
40.000		317.19	11/08/21	356.41	...	-39.22	65 of 103 - Sale <sup>25</sup>
45.000		355.49	11/08/21	394.21	...	-38.72	66 of 103 - Sale <sup>25</sup>
45.000		355.50	11/08/21	393.75	...	-38.25	67 of 103 - Sale <sup>25</sup>
36.000		285.47	11/08/21	320.77	...	-35.30	68 of 103 - Sale <sup>25</sup>
58.000		458.19	11/08/21	487.79	...	-29.60	69 of 103 - Sale <sup>25</sup>
35.000		277.54	11/08/21	306.61	...	-29.07	70 of 103 - Sale <sup>25</sup>
33.000		260.70	11/08/21	289.08	...	-28.38	71 of 103 - Sale <sup>25</sup>
32.000		252.79	11/08/21	280.32	...	-27.53	72 of 103 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
	30.000	237.00	11/08/21	262.80	...	-25.80	73 of 103 - Sale <sup>25</sup>
	26.000	206.17	11/08/21	231.66	...	-25.49	74 of 103 - Sale <sup>25</sup>
	25.000	197.50	11/08/21	219.00	...	-21.50	75 of 103 - Sale <sup>25</sup>
	25.000	197.75	11/08/21	219.01	...	-21.26	76 of 103 - Sale <sup>25</sup>
	20.000	158.00	11/08/21	175.20	...	-17.20	77 of 103 - Sale <sup>25</sup>
	20.000	158.60	11/08/21	175.20	...	-16.60	78 of 103 - Sale <sup>25</sup>
	15.000	118.95	11/08/21	133.65	...	-14.70	79 of 103 - Sale <sup>25</sup>
	17.000	134.30	11/08/21	148.92	...	-14.62	80 of 103 - Sale <sup>25</sup>
	17.000	134.81	11/08/21	148.92	...	-14.11	81 of 103 - Sale <sup>25</sup>
	16.000	126.40	11/08/21	140.16	...	-13.76	82 of 103 - Sale <sup>25</sup>
	15.000	118.50	11/08/21	131.40	...	-12.90	83 of 103 - Sale <sup>25</sup>
	15.000	118.50	11/08/21	131.40	...	-12.90	84 of 103 - Sale <sup>25</sup>
	15.000	118.95	11/08/21	131.40	...	-12.45	85 of 103 - Sale <sup>25</sup>
	13.000	103.09	11/08/21	113.88	...	-10.79	86 of 103 - Sale <sup>25</sup>
	13.000	103.09	11/08/21	113.88	...	-10.79	87 of 103 - Sale <sup>25</sup>
	10.000	79.30	11/08/21	87.60	...	-8.30	88 of 103 - Sale <sup>25</sup>
	15.000	118.50	11/08/21	126.15	...	-7.65	89 of 103 - Sale <sup>25</sup>
	6.000	47.58	11/08/21	53.46	...	-5.88	90 of 103 - Sale <sup>25</sup>
	5.000	39.50	11/08/21	43.80	...	-4.30	91 of 103 - Sale <sup>25</sup>
	5.000	39.60	11/08/21	43.80	...	-4.20	92 of 103 - Sale <sup>25</sup>
	3.000	23.79	11/08/21	26.73	...	-2.94	93 of 103 - Sale <sup>25</sup>
	2.000	15.80	11/08/21	17.52	...	-1.72	94 of 103 - Sale <sup>25</sup>
	2.000	15.82	11/08/21	17.52	...	-1.70	95 of 103 - Sale <sup>25</sup>
	2.000	15.84	11/08/21	17.52	...	-1.68	96 of 103 - Sale <sup>25</sup>
	2.000	15.84	11/08/21	17.52	...	-1.68	97 of 103 - Sale <sup>25</sup>
	2.000	15.86	11/08/21	17.52	...	-1.66	98 of 103 - Sale <sup>25</sup>
	1.000	7.93	11/08/21	8.91	...	-0.98	99 of 103 - Sale <sup>25</sup>
	1.000	7.93	11/08/21	8.91	...	-0.98	100 of 103 - Sale <sup>25</sup>
	1.000	7.90	11/08/21	8.76	...	-0.86	101 of 103 - Sale <sup>25</sup>
	1.000	7.90	11/08/21	8.76	...	-0.86	102 of 103 - Sale <sup>25</sup>
	1.000	7.92	11/08/21	8.76	...	-0.84	103 of 103 - Sale <sup>25</sup>
11/08/21	14,250.000	112,817.30	Various	124,727.11	...	-11,909.81	Total of 103 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
24 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	5,708.000	45,548.92	11/08/21	48,460.92	2,529.38 W	-382.62	1 of 24 - Sale <sup>25</sup>
	1.000	7.98	11/08/21	8.49	0.51 W	0.00	2 of 24 - Sale <sup>25</sup>
	10.000	79.80	11/08/21	84.90	5.10 W	0.00	3 of 24 - Sale <sup>25</sup>
	50.000	399.00	11/08/21	424.50	25.50 W	0.00	4 of 24 - Sale <sup>25</sup>
	50.000	399.00	11/08/21	424.50	25.50 W	0.00	5 of 24 - Sale <sup>25</sup>
	50.000	399.00	11/08/21	424.50	25.50 W	0.00	6 of 24 - Sale <sup>25</sup>
	87.000	694.24	11/08/21	738.63	44.39 W	0.00	7 of 24 - Sale <sup>25</sup>
	91.000	726.16	11/08/21	772.59	46.43 W	0.00	8 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	9 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	10 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	11 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	12 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	13 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	14 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	15 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	16 of 24 - Sale <sup>25</sup>
	100.000	797.98	11/08/21	849.00	51.02 W	0.00	17 of 24 - Sale <sup>25</sup>
	120.000	957.58	11/08/21	1,018.80	61.22 W	0.00	18 of 24 - Sale <sup>25</sup>
	300.000	2,393.94	11/08/21	2,547.00	153.06 W	0.00	19 of 24 - Sale <sup>25</sup>
	374.000	2,984.46	11/08/21	3,175.26	190.80 W	0.00	20 of 24 - Sale <sup>25</sup>
	374.000	2,984.46	11/08/21	3,175.26	190.80 W	0.00	21 of 24 - Sale <sup>25</sup>
	385.000	3,072.23	11/08/21	3,268.65	196.42 W	0.00	22 of 24 - Sale <sup>25</sup>
	2,000.000	15,959.67	11/08/21	16,980.00	1,020.33 W	0.00	23 of 24 - Sale <sup>25</sup>
	3,000.000	23,939.51	11/08/21	25,470.00	1,530.49 W	0.00	24 of 24 - Sale <sup>25</sup>
11/08/21	13,500.000	107,727.77	Various	114,615.00	6,504.61 W	-382.62	Total of 24 transactions
	<b>Security total:</b>	<b>220,545.07</b>		<b>239,342.11</b>	<b>6,504.61 W</b>	<b>-12,292.43</b>	

DIGITAL WORLD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:

5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.

	25.000	2,169.48	10/21/21	466.25	...	1,703.23	1 of 5 - Sale <sup>25</sup>
	25.000	4,374.97	10/21/21	466.50	...	3,908.47	2 of 5 - Sale <sup>25</sup>
	100.000	8,680.94	10/21/21	1,865.00	...	6,815.94	3 of 5 - Sale <sup>25</sup>
	75.000	13,124.92	10/21/21	1,398.75	...	11,726.17	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL WORLD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol: (cont'd)							
	275.000	48,124.73	10/21/21	5,131.50	...	42,993.23	5 of 5 - Sale <sup>25</sup>
10/22/21	500.000	76,475.04	Various	9,328.00	...	67,147.04	Total of 5 transactions
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
14 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	32.000	2,355.82	04/29/21	2,807.39	...	-451.57	1 of 14 - Sale <sup>25</sup>
	40.000	2,944.78	04/29/21	3,336.02	...	-391.24	2 of 14 - Sale <sup>25</sup>
	16.000	1,177.91	04/29/21	1,480.51	...	-302.60	3 of 14 - Sale <sup>25</sup>
	12.000	883.43	04/29/21	1,162.23	...	-278.80	4 of 14 - Sale <sup>25</sup>
	12.000	883.43	04/29/21	1,161.62	...	-278.19	5 of 14 - Sale <sup>25</sup>
	10.000	736.19	04/29/21	924.82	...	-188.63	6 of 14 - Sale <sup>25</sup>
	6.000	441.72	04/29/21	581.11	...	-139.39	7 of 14 - Sale <sup>25</sup>
	14.000	1,030.67	04/29/21	1,167.61	...	-136.94	8 of 14 - Sale <sup>25</sup>
	7.000	515.34	04/29/21	647.02	...	-131.68	9 of 14 - Sale <sup>25</sup>
	9.000	662.84	04/29/21	789.58	...	-126.74	10 of 14 - Sale <sup>25</sup>
	5.000	368.10	04/29/21	439.86	...	-71.76	11 of 14 - Sale <sup>25</sup>
	5.000	368.10	04/29/21	438.66	...	-70.56	12 of 14 - Sale <sup>25</sup>
	3.000	220.86	04/29/21	291.00	...	-70.14	13 of 14 - Sale <sup>25</sup>
	1.000	73.65	04/29/21	87.73	...	-14.08	14 of 14 - Sale <sup>25</sup>
04/30/21	172.000	12,662.84	Various	15,315.16	...	-2,652.32	Total of 14 transactions
19 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	70.000	5,196.06	04/29/21	5,509.00	178.82 W	-134.12	1 of 19 - Sale <sup>25</sup>
	20.000	1,483.99	04/29/21	1,754.62	230.04 W	-40.59	2 of 19 - Sale <sup>25</sup>
	3.000	222.60	04/29/21	263.52	40.92 W	0.00	3 of 19 - Sale <sup>25</sup>
	3.000	222.60	04/29/21	276.90	54.30 W	0.00	4 of 19 - Sale <sup>25</sup>
	3.000	222.69	04/29/21	249.81	27.12 W	0.00	5 of 19 - Sale <sup>25</sup>
	12.000	890.39	04/29/21	1,052.88	162.49 W	0.00	6 of 19 - Sale <sup>25</sup>
	12.000	890.39	04/29/21	1,106.41	216.02 W	0.00	7 of 19 - Sale <sup>25</sup>
	12.000	890.76	04/29/21	998.04	107.28 W	0.00	8 of 19 - Sale <sup>25</sup>
	14.000	1,038.79	04/29/21	1,165.79	127.00 W	0.00	9 of 19 - Sale <sup>25</sup>
	16.000	1,187.19	04/29/21	1,406.90	219.71 W	0.00	10 of 19 - Sale <sup>25</sup>
	16.000	1,187.67	04/29/21	1,332.33	144.66 W	0.00	11 of 19 - Sale <sup>25</sup>
	18.000	1,335.59	04/29/21	1,580.24	244.65 W	0.00	12 of 19 - Sale <sup>25</sup>
	18.000	1,335.59	04/29/21	1,660.53	324.94 W	0.00	13 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
	18.000	1,336.13	04/29/21	1,497.97	161.84 W	0.00	14 of 19 - Sale <sup>25</sup>
	21.000	1,558.19	04/29/21	1,748.68	190.49 W	0.00	15 of 19 - Sale <sup>25</sup>
	30.000	2,226.89	04/29/21	2,361.00	134.11 W	0.00	16 of 19 - Sale <sup>25</sup>
	37.000	2,745.38	04/29/21	3,081.01	335.63 W	0.00	17 of 19 - Sale <sup>25</sup>
	51.000	3,785.70	04/29/21	4,013.70	228.00 W	0.00	18 of 19 - Sale <sup>25</sup>
	54.000	4,006.77	04/29/21	4,255.20	248.43 W	0.00	19 of 19 - Sale <sup>25</sup>
04/30/21	428.000	31,763.37	Various	35,314.53	3,376.45 W	-174.71	Total of 19 transactions
	<b>Security total:</b>	<b>44,426.21</b>		<b>50,629.69</b>	<b>3,376.45 W</b>	<b>-2,827.03</b>	

EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:

36 transactions for 10/25/21. Total proceeds and cost reported to the IRS.

3.000	5.64	10/25/21	5.64	...	0.00	1 of 36 - Sale <sup>25</sup>
96.000	180.47	10/25/21	180.30	...	0.17	2 of 36 - Sale <sup>25</sup>
100.000	187.99	10/25/21	187.82	...	0.17	3 of 36 - Sale <sup>25</sup>
100.000	187.99	10/25/21	187.82	...	0.17	4 of 36 - Sale <sup>25</sup>
100.000	187.99	10/25/21	187.81	...	0.18	5 of 36 - Sale <sup>25</sup>
104.000	195.51	10/25/21	195.33	...	0.18	6 of 36 - Sale <sup>25</sup>
9.000	17.01	10/25/21	16.82	...	0.19	7 of 36 - Sale <sup>25</sup>
10.000	18.90	10/25/21	18.58	...	0.32	8 of 36 - Sale <sup>25</sup>
10.000	18.90	10/25/21	18.58	...	0.32	9 of 36 - Sale <sup>25</sup>
200.000	375.97	10/25/21	375.63	...	0.34	10 of 36 - Sale <sup>25</sup>
297.000	558.32	10/25/21	557.83	...	0.49	11 of 36 - Sale <sup>25</sup>
22.000	41.58	10/25/21	40.88	...	0.70	12 of 36 - Sale <sup>25</sup>
25.000	47.25	10/25/21	46.46	...	0.79	13 of 36 - Sale <sup>25</sup>
43.000	81.26	10/25/21	80.34	...	0.92	14 of 36 - Sale <sup>25</sup>
62.000	116.55	10/25/21	115.22	...	1.33	15 of 36 - Sale <sup>25</sup>
43.000	81.26	10/25/21	79.91	...	1.35	16 of 36 - Sale <sup>25</sup>
50.000	94.49	10/25/21	92.92	...	1.57	17 of 36 - Sale <sup>25</sup>
78.000	146.63	10/25/21	144.96	...	1.67	18 of 36 - Sale <sup>25</sup>
57.000	107.72	10/25/21	105.93	...	1.79	19 of 36 - Sale <sup>25</sup>
100.000	188.99	10/25/21	186.84	...	2.15	20 of 36 - Sale <sup>25</sup>
82.000	154.97	10/25/21	152.40	...	2.57	21 of 36 - Sale <sup>25</sup>
100.000	188.98	10/25/21	185.84	...	3.14	22 of 36 - Sale <sup>25</sup>
125.000	236.23	10/25/21	232.31	...	3.92	23 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	200.000	377.98	10/25/21	373.69	...	4.29	24 of 36 - Sale <sup>25</sup>
	143.000	270.25	10/25/21	265.76	...	4.49	25 of 36 - Sale <sup>25</sup>
	157.000	296.70	10/25/21	291.78	...	4.92	26 of 36 - Sale <sup>25</sup>
	168.000	317.50	10/25/21	312.22	...	5.28	27 of 36 - Sale <sup>25</sup>
	200.000	377.97	10/25/21	371.69	...	6.28	28 of 36 - Sale <sup>25</sup>
	200.000	377.97	10/25/21	371.69	...	6.28	29 of 36 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	371.69	...	6.29	30 of 36 - Sale <sup>25</sup>
	300.000	566.95	10/25/21	560.54	...	6.41	31 of 36 - Sale <sup>25</sup>
	260.000	491.37	10/25/21	483.21	...	8.16	32 of 36 - Sale <sup>25</sup>
	300.000	566.95	10/25/21	557.54	...	9.41	33 of 36 - Sale <sup>25</sup>
	500.000	939.93	10/25/21	929.23	...	10.70	34 of 36 - Sale <sup>25</sup>
	600.000	1,133.92	10/25/21	1,121.07	...	12.85	35 of 36 - Sale <sup>25</sup>
	1,456.000	2,737.09	10/25/21	2,691.75	...	45.34	36 of 36 - Sale <sup>25</sup>
10/25/21	6,500.000	12,253.16	Various	12,098.03	...	155.13	Total of 36 transactions
	52 transactions for 10/25/21. Total proceeds and cost reported to the IRS.						
	3.000	5.67	10/25/21	5.82	0.15 W	0.00	1 of 52 - Sale <sup>25</sup>
	10.000	18.90	10/25/21	19.19	0.29 W	0.00	2 of 52 - Sale <sup>25</sup>
	22.000	41.58	10/25/21	42.22	0.64 W	0.00	3 of 52 - Sale <sup>25</sup>
	78.000	147.41	10/25/21	149.70	2.29 W	0.00	4 of 52 - Sale <sup>25</sup>
	82.000	154.97	10/25/21	157.38	2.41 W	0.00	5 of 52 - Sale <sup>25</sup>
	100.000	162.03	10/25/21	164.00	1.97 W	0.00	6 of 52 - Sale <sup>25</sup>
	96.000	181.43	10/25/21	186.14	4.71 W	0.00	7 of 52 - Sale <sup>25</sup>
	114.000	184.71	10/25/21	186.96	2.25 W	0.00	8 of 52 - Sale <sup>25</sup>
	100.000	187.99	10/25/21	191.92	3.93 W	0.00	9 of 52 - Sale <sup>25</sup>
	100.000	188.99	10/25/21	191.92	2.93 W	0.00	10 of 52 - Sale <sup>25</sup>
	100.000	188.99	10/25/21	193.89	4.90 W	0.00	11 of 52 - Sale <sup>25</sup>
	100.000	188.99	10/25/21	193.90	4.91 W	0.00	12 of 52 - Sale <sup>25</sup>
	100.000	188.99	10/25/21	193.90	4.91 W	0.00	13 of 52 - Sale <sup>25</sup>
	104.000	196.55	10/25/21	201.65	5.10 W	0.00	14 of 52 - Sale <sup>25</sup>
	114.000	214.31	10/25/21	218.79	4.48 W	0.00	15 of 52 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	383.85	5.87 W	0.00	16 of 52 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	383.85	5.87 W	0.00	17 of 52 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	383.85	5.87 W	0.00	18 of 52 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	383.85	5.87 W	0.00	19 of 52 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	200.000	377.98	10/25/21	383.85	5.87 W	0.00	20 of 52 - Sale <sup>25</sup>
	200.000	377.98	10/25/21	387.79	9.81 W	0.00	21 of 52 - Sale <sup>25</sup>
	300.000	486.08	10/25/21	492.00	5.92 W	0.00	22 of 52 - Sale <sup>25</sup>
	300.000	486.08	10/25/21	497.92	11.84 W	0.00	23 of 52 - Sale <sup>25</sup>
	297.000	561.28	10/25/21	575.87	14.59 W	0.00	24 of 52 - Sale <sup>25</sup>
	400.000	648.11	10/25/21	656.00	7.89 W	0.00	25 of 52 - Sale <sup>25</sup>
	400.000	751.95	10/25/21	767.69	15.74 W	0.00	26 of 52 - Sale <sup>25</sup>
	500.000	810.14	10/25/21	820.00	9.86 W	0.00	27 of 52 - Sale <sup>25</sup>
	500.000	810.14	10/25/21	820.00	9.86 W	0.00	28 of 52 - Sale <sup>25</sup>
	454.000	853.46	10/25/21	871.28	17.82 W	0.00	29 of 52 - Sale <sup>25</sup>
	544.000	881.43	10/25/21	892.16	10.73 W	0.00	30 of 52 - Sale <sup>25</sup>
	544.000	881.43	10/25/21	902.89	21.46 W	0.00	31 of 52 - Sale <sup>25</sup>
	500.000	939.93	10/25/21	959.61	19.68 W	0.00	32 of 52 - Sale <sup>25</sup>
	500.000	939.94	10/25/21	959.61	19.67 W	0.00	33 of 52 - Sale <sup>25</sup>
	500.000	944.93	10/25/21	959.61	14.68 W	0.00	34 of 52 - Sale <sup>25</sup>
	500.000	944.93	10/25/21	959.61	14.68 W	0.00	35 of 52 - Sale <sup>25</sup>
	500.000	944.93	10/25/21	959.61	14.68 W	0.00	36 of 52 - Sale <sup>25</sup>
	628.000	1,017.53	10/25/21	1,029.92	12.39 W	0.00	37 of 52 - Sale <sup>25</sup>
	544.000	1,022.65	10/25/21	1,054.79	32.14 W	0.00	38 of 52 - Sale <sup>25</sup>
	700.000	1,134.19	10/25/21	1,148.00	13.81 W	0.00	39 of 52 - Sale <sup>25</sup>
	700.000	1,134.19	10/25/21	1,161.81	27.62 W	0.00	40 of 52 - Sale <sup>25</sup>
	628.000	1,180.56	10/25/21	1,205.28	24.72 W	0.00	41 of 52 - Sale <sup>25</sup>
	1,000.000	1,620.27	10/25/21	1,640.00	19.73 W	0.00	42 of 52 - Sale <sup>25</sup>
	1,003.000	1,625.13	10/25/21	1,644.92	19.79 W	0.00	43 of 52 - Sale <sup>25</sup>
	1,000.000	1,879.87	10/25/21	1,919.23	39.36 W	0.00	44 of 52 - Sale <sup>25</sup>
	1,003.000	1,885.51	10/25/21	1,924.99	39.48 W	0.00	45 of 52 - Sale <sup>25</sup>
	1,310.000	2,462.63	10/25/21	2,514.18	51.55 W	0.00	46 of 52 - Sale <sup>25</sup>
	1,669.000	2,704.23	10/25/21	2,737.16	32.93 W	0.00	47 of 52 - Sale <sup>25</sup>
	1,910.000	3,094.72	10/25/21	3,132.21	37.49 W	0.00	48 of 52 - Sale <sup>25</sup>
	1,669.000	3,137.51	10/25/21	3,203.19	65.68 W	0.00	49 of 52 - Sale <sup>25</sup>
	1,986.000	3,217.86	10/25/21	3,257.04	39.18 W	0.00	50 of 52 - Sale <sup>25</sup>
	1,986.000	3,733.42	10/25/21	3,811.59	78.17 W	0.00	51 of 52 - Sale <sup>25</sup>
	4,102.000	6,646.36	10/25/21	6,727.28	80.92 W	0.00	52 of 52 - Sale <sup>25</sup>
10/25/21	31,000.000	53,900.78	Various	54,809.87	909.09 W	0.00	Total of 52 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
17 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	0.214	0.42	10/25/21	0.48	0.06 W	0.00	1 of 17 - Sale <sup>25</sup>
	57.786	113.84	10/25/21	130.55	16.71 W	0.00	2 of 17 - Sale <sup>25</sup>
	100.000	197.00	10/25/21	225.93	28.93 W	0.00	3 of 17 - Sale <sup>25</sup>
	114.000	224.58	10/25/21	257.56	32.98 W	0.00	4 of 17 - Sale <sup>25</sup>
	400.000	787.99	10/25/21	903.74	115.75 W	0.00	5 of 17 - Sale <sup>25</sup>
	454.000	894.37	10/25/21	1,025.70	131.33 W	0.00	6 of 17 - Sale <sup>25</sup>
	500.000	984.98	10/25/21	1,129.68	144.70 W	0.00	7 of 17 - Sale <sup>25</sup>
	500.000	984.99	10/25/21	1,129.67	144.68 W	0.00	8 of 17 - Sale <sup>25</sup>
	544.000	1,071.66	10/25/21	1,239.82	168.16 W	0.00	9 of 17 - Sale <sup>25</sup>
	628.000	1,237.14	10/25/21	1,418.88	181.74 W	0.00	10 of 17 - Sale <sup>25</sup>
	1,000.000	1,969.97	10/25/21	2,259.36	289.39 W	0.00	11 of 17 - Sale <sup>25</sup>
	1,003.000	1,975.88	10/25/21	2,266.14	290.26 W	0.00	12 of 17 - Sale <sup>25</sup>
	1,669.000	3,287.88	10/25/21	3,770.86	482.98 W	0.00	13 of 17 - Sale <sup>25</sup>
	1,854.814	3,653.93	10/25/21	4,117.69	463.76 W	0.00	14 of 17 - Sale <sup>25</sup>
	1,986.000	3,912.36	10/25/21	4,487.09	574.73 W	0.00	15 of 17 - Sale <sup>25</sup>
	2.274	4.48	10/26/21	4.66	0.18 W	0.00	16 of 17 - Sale <sup>25</sup>
	96.912	190.91	10/26/21	198.67	7.76 W	0.00	17 of 17 - Sale <sup>25</sup>
10/26/21	10,910.000	21,492.38	Various	24,566.48	3,074.10 W	0.00	Total of 17 transactions
5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	0.111	0.23	10/26/21	0.26	0.03 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.214	0.45	10/26/21	0.50	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	57.674	121.69	10/28/21	145.29	23.60 W	0.00	3 of 5 - Sale <sup>25</sup>
	298.000	628.74	10/28/21	750.78	122.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	544.000	1,147.77	10/28/21	1,381.28	233.51 W	0.00	5 of 5 - Sale <sup>25</sup>
10/28/21	900.000	1,898.88	Various	2,278.11	379.23 W	0.00	Total of 5 transactions
33 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1,986.000	3,733.42	10/29/21	4,367.99	...	-634.57	1 of 33 - Sale <sup>25</sup>
	1,854.814	3,486.81	10/29/21	4,078.98	...	-592.17	2 of 33 - Sale <sup>25</sup>
	7,000.000	13,159.08	10/29/21	13,503.70	...	-344.62	3 of 33 - Sale <sup>25</sup>
	544.000	1,022.65	10/29/21	1,291.32	...	-268.67	4 of 33 - Sale <sup>25</sup>
	4,090.326	7,689.28	10/29/21	7,953.63	...	-264.35	5 of 33 - Sale <sup>25</sup>
	800.000	1,503.90	10/29/21	1,759.52	...	-255.62	6 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	628.000	1,180.56	10/29/21	1,381.22	...	-200.66	7 of 33 - Sale <sup>25</sup>
	500.000	939.93	10/29/21	1,099.70	...	-159.77	8 of 33 - Sale <sup>25</sup>
	500.000	939.94	10/29/21	1,099.69	...	-159.75	9 of 33 - Sale <sup>25</sup>
	500.000	939.94	10/29/21	1,099.68	...	-159.74	10 of 33 - Sale <sup>25</sup>
	470.674	884.81	10/29/21	1,035.20	...	-150.39	11 of 33 - Sale <sup>25</sup>
	298.000	560.20	10/29/21	701.50	...	-141.30	12 of 33 - Sale <sup>25</sup>
	401.000	753.83	10/29/21	881.96	...	-128.13	13 of 33 - Sale <sup>25</sup>
	398.326	748.80	10/29/21	876.02	...	-127.22	14 of 33 - Sale <sup>25</sup>
	370.674	696.82	10/29/21	815.25	...	-118.43	15 of 33 - Sale <sup>25</sup>
	301.326	566.45	10/29/21	662.73	...	-96.28	16 of 33 - Sale <sup>25</sup>
	300.000	563.96	10/29/21	659.81	...	-95.85	17 of 33 - Sale <sup>25</sup>
	201.326	378.47	10/29/21	442.79	...	-64.32	18 of 33 - Sale <sup>25</sup>
	198.674	373.48	10/29/21	436.96	...	-63.48	19 of 33 - Sale <sup>25</sup>
	114.000	214.31	10/29/21	250.72	...	-36.41	20 of 33 - Sale <sup>25</sup>
	100.000	187.99	10/29/21	219.94	...	-31.95	21 of 33 - Sale <sup>25</sup>
	100.000	187.99	10/29/21	219.93	...	-31.94	22 of 33 - Sale <sup>25</sup>
	99.000	186.11	10/29/21	217.74	...	-31.63	23 of 33 - Sale <sup>25</sup>
	57.674	108.42	10/29/21	135.75	...	-27.33	24 of 33 - Sale <sup>25</sup>
	399.674	751.34	10/29/21	771.37	...	-20.03	25 of 33 - Sale <sup>25</sup>
	55.674	104.66	10/29/21	124.62	...	-19.96	26 of 33 - Sale <sup>25</sup>
	89.512	168.27	10/29/21	181.64	...	-13.37	27 of 33 - Sale <sup>25</sup>
	29.326	55.13	10/29/21	64.50	...	-9.37	28 of 33 - Sale <sup>25</sup>
	7.400	13.91	10/29/21	14.98	...	-1.07	29 of 33 - Sale <sup>25</sup>
	1.674	3.15	10/29/21	3.68	...	-0.53	30 of 33 - Sale <sup>25</sup>
	2.274	4.28	10/29/21	4.60	...	-0.32	31 of 33 - Sale <sup>25</sup>
	0.214	0.40	10/29/21	0.47	...	-0.07	32 of 33 - Sale <sup>25</sup>
	0.111	0.21	10/29/21	0.25	...	-0.04	33 of 33 - Sale <sup>25</sup>
10/29/21	22,399.674	42,108.50	Various	46,357.84	...	-4,249.34	Total of 33 transactions
10/29/21	0.326	0.61	10/28/21	0.82	0.21 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	0.320	0.45	10/29/21	0.83	...	-0.38	1 of 2 - Sale <sup>25</sup>
	0.006	0.01	10/29/21	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
11/19/21	0.326	0.46	Various	0.84	...	-0.38	Total of 2 transactions
	<b>Security total:</b>	<b>131,654.77</b>		<b>140,111.99</b>	<b>4,362.63 W</b>	<b>-4,094.59</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
<i>54 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
3,786.000		16,165.65	11/03/21	16,545.39	...	-379.74	1 of 54 - Sale <sup>25</sup>
1,200.000		5,123.82	11/03/21	5,244.18	...	-120.36	2 of 54 - Sale <sup>25</sup>
1,000.000		4,269.85	11/03/21	4,370.15	...	-100.30	3 of 54 - Sale <sup>25</sup>
1,000.000		4,269.85	11/03/21	4,370.14	...	-100.29	4 of 54 - Sale <sup>25</sup>
800.000		3,415.89	11/03/21	3,496.12	...	-80.23	5 of 54 - Sale <sup>25</sup>
600.000		2,561.91	11/03/21	2,622.09	...	-60.18	6 of 54 - Sale <sup>25</sup>
500.000		2,134.92	11/03/21	2,185.07	...	-50.15	7 of 54 - Sale <sup>25</sup>
500.000		2,134.93	11/03/21	2,185.08	...	-50.15	8 of 54 - Sale <sup>25</sup>
500.000		2,134.93	11/03/21	2,185.07	...	-50.14	9 of 54 - Sale <sup>25</sup>
300.000		1,280.96	11/03/21	1,311.04	...	-30.08	10 of 54 - Sale <sup>25</sup>
300.000		1,280.96	11/03/21	1,311.04	...	-30.08	11 of 54 - Sale <sup>25</sup>
300.000		1,280.96	11/03/21	1,311.04	...	-30.08	12 of 54 - Sale <sup>25</sup>
200.000		853.97	11/03/21	874.03	...	-20.06	13 of 54 - Sale <sup>25</sup>
200.000		853.97	11/03/21	874.03	...	-20.06	14 of 54 - Sale <sup>25</sup>
200.000		853.97	11/03/21	874.03	...	-20.06	15 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.02	...	-10.04	16 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.01	...	-10.03	17 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.01	...	-10.03	18 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.01	...	-10.03	19 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.01	...	-10.03	20 of 54 - Sale <sup>25</sup>
100.000		426.98	11/03/21	437.01	...	-10.03	21 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.02	...	-10.03	22 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	23 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	24 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	25 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	26 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	27 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	28 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	29 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	30 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	31 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	32 of 54 - Sale <sup>25</sup>
100.000		426.99	11/03/21	437.01	...	-10.02	33 of 54 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	100.000	426.99	11/03/21	437.01	...	-10.02	34 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	35 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	36 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	37 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	38 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	39 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	40 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	41 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	437.01	...	-10.02	42 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	436.91	...	-9.92	43 of 54 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	436.51	...	-9.52	44 of 54 - Sale <sup>25</sup>
	90.000	384.29	11/03/21	393.31	...	-9.02	45 of 54 - Sale <sup>25</sup>
	89.000	380.02	11/03/21	388.94	...	-8.92	46 of 54 - Sale <sup>25</sup>
	89.000	380.02	11/03/21	388.94	...	-8.92	47 of 54 - Sale <sup>25</sup>
	15.000	64.05	11/03/21	65.55	...	-1.50	48 of 54 - Sale <sup>25</sup>
	14.000	59.78	11/03/21	61.18	...	-1.40	49 of 54 - Sale <sup>25</sup>
	5.000	21.35	11/03/21	21.85	...	-0.50	50 of 54 - Sale <sup>25</sup>
	5.000	21.40	11/03/21	21.85	...	-0.45	51 of 54 - Sale <sup>25</sup>
	3.000	12.81	11/03/21	13.11	...	-0.30	52 of 54 - Sale <sup>25</sup>
	3.000	12.81	11/03/21	13.11	...	-0.30	53 of 54 - Sale <sup>25</sup>
	1.000	4.27	11/03/21	4.37	...	-0.10	54 of 54 - Sale <sup>25</sup>
11/03/21	14,600.000	62,339.99	Various	63,803.42	...	-1,463.43	Total of 54 transactions
	50 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	9,100.000	38,855.64	11/03/21	39,312.00	189.87 W	-266.49	1 of 50 - Sale <sup>25</sup>
	3.000	12.81	11/03/21	12.96	0.15 W	0.00	2 of 50 - Sale <sup>25</sup>
	3.000	12.81	11/03/21	12.96	0.15 W	0.00	3 of 50 - Sale <sup>25</sup>
	14.000	59.78	11/03/21	60.48	0.70 W	0.00	4 of 50 - Sale <sup>25</sup>
	15.000	64.05	11/03/21	64.80	0.75 W	0.00	5 of 50 - Sale <sup>25</sup>
	89.000	380.02	11/03/21	384.48	4.46 W	0.00	6 of 50 - Sale <sup>25</sup>
	90.000	384.29	11/03/21	388.80	4.51 W	0.00	7 of 50 - Sale <sup>25</sup>
	100.000	426.98	11/03/21	432.00	5.02 W	0.00	8 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	431.50	4.51 W	0.00	9 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	431.90	4.91 W	0.00	10 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	11 of 50 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	12 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	13 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	14 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	15 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	16 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	17 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	18 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	19 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	20 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	21 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	22 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	23 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	24 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	25 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	26 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	27 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	28 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	29 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	30 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	31 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	32 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	33 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	34 of 50 - Sale <sup>25</sup>
	100.000	426.99	11/03/21	432.00	5.01 W	0.00	35 of 50 - Sale <sup>25</sup>
	200.000	853.97	11/03/21	864.00	10.03 W	0.00	36 of 50 - Sale <sup>25</sup>
	200.000	853.97	11/03/21	864.00	10.03 W	0.00	37 of 50 - Sale <sup>25</sup>
	200.000	853.97	11/03/21	864.00	10.03 W	0.00	38 of 50 - Sale <sup>25</sup>
	200.000	853.97	11/03/21	864.00	10.03 W	0.00	39 of 50 - Sale <sup>25</sup>
	300.000	1,280.96	11/03/21	1,296.00	15.04 W	0.00	40 of 50 - Sale <sup>25</sup>
	300.000	1,280.96	11/03/21	1,296.00	15.04 W	0.00	41 of 50 - Sale <sup>25</sup>
	300.000	1,280.96	11/03/21	1,296.00	15.04 W	0.00	42 of 50 - Sale <sup>25</sup>
	500.000	2,134.92	11/03/21	2,160.00	25.08 W	0.00	43 of 50 - Sale <sup>25</sup>
	500.000	2,134.93	11/03/21	2,160.00	25.07 W	0.00	44 of 50 - Sale <sup>25</sup>
	500.000	2,134.93	11/03/21	2,160.00	25.07 W	0.00	45 of 50 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	600.000	2,561.91	11/03/21	2,592.00	30.09 W	0.00	46 of 50 - Sale <sup>25</sup>
	800.000	3,415.88	11/03/21	3,456.00	40.12 W	0.00	47 of 50 - Sale <sup>25</sup>
	1,000.000	4,269.85	11/03/21	4,320.00	50.15 W	0.00	48 of 50 - Sale <sup>25</sup>
	1,000.000	4,269.86	11/03/21	4,320.00	50.14 W	0.00	49 of 50 - Sale <sup>25</sup>
	1,200.000	5,123.82	11/03/21	5,184.00	60.18 W	0.00	50 of 50 - Sale <sup>25</sup>
11/03/21	19,914.000	85,029.97	Various	86,027.88	731.42 W	-266.49	Total of 50 transactions
	<b>Security total:</b>	<b>147,369.96</b>		<b>149,831.30</b>	<b>731.42 W</b>	<b>-1,729.92</b>	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/29/21	150.000	49,225.22	04/29/21	49,335.00	...	-109.78	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
29 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	369.000	20,683.70	11/03/21	22,006.32	...	-1,322.62	1 of 29 - Sale <sup>25</sup>
	261.000	14,629.94	11/03/21	15,596.76	...	-966.82	2 of 29 - Sale <sup>25</sup>
	239.000	13,396.76	11/03/21	14,282.09	...	-885.33	3 of 29 - Sale <sup>25</sup>
	90.000	5,044.81	11/03/21	5,366.49	...	-321.68	4 of 29 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,800.00	...	-194.77	5 of 29 - Sale <sup>25</sup>
	50.000	2,802.67	11/03/21	2,986.89	...	-184.22	6 of 29 - Sale <sup>25</sup>
	100.000	5,605.34	11/03/21	5,775.77	...	-170.43	7 of 29 - Sale <sup>25</sup>
	80.000	4,484.27	11/03/21	4,620.62	...	-136.35	8 of 29 - Sale <sup>25</sup>
	67.000	3,755.58	11/03/21	3,869.77	...	-114.19	9 of 29 - Sale <sup>25</sup>
	67.000	3,755.58	11/03/21	3,869.77	...	-114.19	10 of 29 - Sale <sup>25</sup>
	100.000	5,605.34	11/03/21	5,717.77	...	-112.43	11 of 29 - Sale <sup>25</sup>
	26.000	1,457.39	11/03/21	1,550.58	...	-93.19	12 of 29 - Sale <sup>25</sup>
	25.000	1,401.33	11/03/21	1,492.69	...	-91.36	13 of 29 - Sale <sup>25</sup>
	50.000	2,802.67	11/03/21	2,887.88	...	-85.21	14 of 29 - Sale <sup>25</sup>
	75.000	4,204.00	11/03/21	4,288.33	...	-84.33	15 of 29 - Sale <sup>25</sup>
	65.000	3,643.47	11/03/21	3,716.55	...	-73.08	16 of 29 - Sale <sup>25</sup>
	50.000	2,802.67	11/03/21	2,863.88	...	-61.21	17 of 29 - Sale <sup>25</sup>
	50.000	2,802.67	11/03/21	2,862.38	...	-59.71	18 of 29 - Sale <sup>25</sup>
	35.000	1,961.87	11/03/21	2,021.52	...	-59.65	19 of 29 - Sale <sup>25</sup>
	33.000	1,849.76	11/03/21	1,906.00	...	-56.24	20 of 29 - Sale <sup>25</sup>
	33.000	1,849.76	11/03/21	1,906.00	...	-56.24	21 of 29 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	50.000	2,802.67	11/03/21	2,858.89	...	-56.22	22 of 29 - Sale <sup>25</sup>
	15.000	840.80	11/03/21	896.22	...	-55.42	23 of 29 - Sale <sup>25</sup>
	32.000	1,793.71	11/03/21	1,846.33	...	-52.62	24 of 29 - Sale <sup>25</sup>
	10.000	560.53	11/03/21	596.48	...	-35.95	25 of 29 - Sale <sup>25</sup>
	10.000	560.53	11/03/21	596.28	...	-35.75	26 of 29 - Sale <sup>25</sup>
	5.000	280.27	11/03/21	298.09	...	-17.82	27 of 29 - Sale <sup>25</sup>
	10.000	560.53	11/03/21	573.48	...	-12.95	28 of 29 - Sale <sup>25</sup>
	3.000	168.16	11/03/21	173.18	...	-5.02	29 of 29 - Sale <sup>25</sup>
11/04/21	2,100.000	117,712.01	Various	123,227.01	...	-5,515.00	Total of 29 transactions
	21 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	5.000	280.26	11/03/21	288.35	8.09 W	0.00	1 of 21 - Sale <sup>25</sup>
	10.000	560.52	11/03/21	576.80	16.28 W	0.00	2 of 21 - Sale <sup>25</sup>
	10.000	560.52	11/03/21	577.00	16.48 W	0.00	3 of 21 - Sale <sup>25</sup>
	10.000	560.52	11/03/21	580.00	19.48 W	0.00	4 of 21 - Sale <sup>25</sup>
	25.000	1,401.31	11/03/21	1,444.00	42.69 W	0.00	5 of 21 - Sale <sup>25</sup>
	50.000	2,802.61	11/03/21	2,889.50	86.89 W	0.00	6 of 21 - Sale <sup>25</sup>
	50.000	2,802.61	11/03/21	2,890.00	87.39 W	0.00	7 of 21 - Sale <sup>25</sup>
	50.000	2,802.61	11/03/21	2,891.50	88.89 W	0.00	8 of 21 - Sale <sup>25</sup>
	50.000	2,802.62	11/03/21	2,891.50	88.88 W	0.00	9 of 21 - Sale <sup>25</sup>
	50.000	2,802.62	11/03/21	2,895.00	92.38 W	0.00	10 of 21 - Sale <sup>25</sup>
	50.000	2,802.62	11/03/21	2,896.50	93.88 W	0.00	11 of 21 - Sale <sup>25</sup>
	75.000	4,203.92	11/03/21	4,337.25	133.33 W	0.00	12 of 21 - Sale <sup>25</sup>
	80.000	4,484.18	11/03/21	4,626.40	142.22 W	0.00	13 of 21 - Sale <sup>25</sup>
	90.000	5,044.71	11/03/21	5,191.20	146.49 W	0.00	14 of 21 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,783.00	177.77 W	0.00	15 of 21 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,783.00	177.77 W	0.00	16 of 21 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,783.00	177.77 W	0.00	17 of 21 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,783.00	177.77 W	0.00	18 of 21 - Sale <sup>25</sup>
	100.000	5,605.23	11/03/21	5,783.00	177.77 W	0.00	19 of 21 - Sale <sup>25</sup>
	395.000	22,140.65	11/03/21	22,787.55	646.90 W	0.00	20 of 21 - Sale <sup>25</sup>
	500.000	28,026.15	11/03/21	28,905.00	878.85 W	0.00	21 of 21 - Sale <sup>25</sup>
11/04/21	2,000.000	112,104.58	Various	115,582.55	3,477.97 W	0.00	Total of 21 transactions
	<b>Security total:</b>	<b>229,816.59</b>		<b>238,809.56</b>	<b>3,477.97 W</b>	<b>-5,515.00</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
<i>3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	22.65	10/22/21	21.70	...	0.95	1 of 3 - Sale <sup>25</sup>
	10.000	22.65	10/22/21	21.65	...	1.00	2 of 3 - Sale <sup>25</sup>
	90.000	203.84	10/22/21	195.30	...	8.54	3 of 3 - Sale <sup>25</sup>
10/22/21	110.000	249.14	Various	238.65	...	10.49	Total of 3 transactions
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
<i>182 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	21.000	121.80	11/09/21	119.07	...	2.73	1 of 182 - Sale <sup>25</sup>
	21.000	121.90	11/09/21	119.07	...	2.83	2 of 182 - Sale <sup>25</sup>
	21.000	121.90	11/09/21	119.07	...	2.83	3 of 182 - Sale <sup>25</sup>
	21.000	121.90	11/09/21	119.07	...	2.83	4 of 182 - Sale <sup>25</sup>
	21.000	122.01	11/09/21	119.07	...	2.94	5 of 182 - Sale <sup>25</sup>
	21.000	122.01	11/09/21	119.07	...	2.94	6 of 182 - Sale <sup>25</sup>
	21.000	122.01	11/09/21	119.07	...	2.94	7 of 182 - Sale <sup>25</sup>
	50.000	289.99	11/09/21	283.50	...	6.49	8 of 182 - Sale <sup>25</sup>
	50.000	289.99	11/09/21	283.50	...	6.49	9 of 182 - Sale <sup>25</sup>
	79.000	458.18	11/09/21	447.93	...	10.25	10 of 182 - Sale <sup>25</sup>
	79.000	458.58	11/09/21	447.93	...	10.65	11 of 182 - Sale <sup>25</sup>
	79.000	458.58	11/09/21	447.93	...	10.65	12 of 182 - Sale <sup>25</sup>
	79.000	458.58	11/09/21	447.93	...	10.65	13 of 182 - Sale <sup>25</sup>
	79.000	458.97	11/09/21	447.93	...	11.04	14 of 182 - Sale <sup>25</sup>
	79.000	458.97	11/09/21	447.93	...	11.04	15 of 182 - Sale <sup>25</sup>
	79.000	458.97	11/09/21	447.93	...	11.04	16 of 182 - Sale <sup>25</sup>
	10.000	57.80	11/09/21	46.00	...	11.80	17 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	18 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	19 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	20 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	21 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	22 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	23 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	24 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	25 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	26 of 182 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 144486867
2021 1099-B* OMB No. 1545-0715	(continued)	03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
100.000		579.98	11/09/21	567.00	...	12.98	27 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	28 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	29 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	30 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	31 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	32 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	33 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	34 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	35 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	36 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	37 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	38 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	39 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	40 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	41 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	42 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	43 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	44 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	45 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	46 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	47 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	48 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	49 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	50 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	51 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	52 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	53 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	54 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	55 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	56 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	57 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	58 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	59 of 182 - Sale <sup>25</sup>
100.000		579.98	11/09/21	567.00	...	12.98	60 of 182 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	100.000	579.98	11/09/21	567.00	...	12.98	61 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	62 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	567.00	...	12.98	63 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	566.99	...	12.99	64 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	566.99	...	12.99	65 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	566.99	...	12.99	66 of 182 - Sale <sup>25</sup>
	100.000	579.98	11/09/21	566.99	...	12.99	67 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	68 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	69 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	70 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	71 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	72 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	73 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	74 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	75 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	76 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	77 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	78 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	79 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	80 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	81 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	82 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	83 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	84 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	85 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	86 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	87 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	88 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	89 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	90 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	91 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	92 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	93 of 182 - Sale <sup>25</sup>
	100.000	579.99	11/09/21	567.00	...	12.99	94 of 182 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	12.000	69.36	11/09/21	55.32	...	14.04	95 of 182 - Sale <sup>25</sup>
	13.000	76.57	11/09/21	61.49	...	15.08	96 of 182 - Sale <sup>25</sup>
	17.000	99.79	11/09/21	81.60	...	18.19	97 of 182 - Sale <sup>25</sup>
	18.000	106.02	11/09/21	85.14	...	20.88	98 of 182 - Sale <sup>25</sup>
	21.000	121.38	11/09/21	96.60	...	24.78	99 of 182 - Sale <sup>25</sup>
	25.000	146.75	11/09/21	120.00	...	26.75	100 of 182 - Sale <sup>25</sup>
	25.000	146.75	11/09/21	120.00	...	26.75	101 of 182 - Sale <sup>25</sup>
	25.000	146.75	11/09/21	120.00	...	26.75	102 of 182 - Sale <sup>25</sup>
	25.000	146.75	11/09/21	119.98	...	26.77	103 of 182 - Sale <sup>25</sup>
	25.000	146.75	11/09/21	119.87	...	26.88	104 of 182 - Sale <sup>25</sup>
	28.000	161.83	11/09/21	128.80	...	33.03	105 of 182 - Sale <sup>25</sup>
	28.000	161.84	11/09/21	128.80	...	33.04	106 of 182 - Sale <sup>25</sup>
	33.000	193.70	11/09/21	158.40	...	35.30	107 of 182 - Sale <sup>25</sup>
	33.000	193.70	11/09/21	158.40	...	35.30	108 of 182 - Sale <sup>25</sup>
	30.000	173.40	11/09/21	138.00	...	35.40	109 of 182 - Sale <sup>25</sup>
	45.000	260.55	11/09/21	216.00	...	44.55	110 of 182 - Sale <sup>25</sup>
	40.000	235.60	11/09/21	189.20	...	46.40	111 of 182 - Sale <sup>25</sup>
	42.000	247.38	11/09/21	198.66	...	48.72	112 of 182 - Sale <sup>25</sup>
	42.000	247.38	11/09/21	198.66	...	48.72	113 of 182 - Sale <sup>25</sup>
	42.000	242.79	11/09/21	193.20	...	49.59	114 of 182 - Sale <sup>25</sup>
	42.000	243.17	11/09/21	193.20	...	49.97	115 of 182 - Sale <sup>25</sup>
	50.000	293.50	11/09/21	240.00	...	53.50	116 of 182 - Sale <sup>25</sup>
	55.000	318.44	11/09/21	264.00	...	54.44	117 of 182 - Sale <sup>25</sup>
	100.000	605.98	11/09/21	550.00	...	55.98	118 of 182 - Sale <sup>25</sup>
	100.000	605.98	11/09/21	550.00	...	55.98	119 of 182 - Sale <sup>25</sup>
	49.000	283.21	11/09/21	225.65	...	57.56	120 of 182 - Sale <sup>25</sup>
	51.000	294.77	11/09/21	234.86	...	59.91	121 of 182 - Sale <sup>25</sup>
	55.000	317.89	11/09/21	253.00	...	64.89	122 of 182 - Sale <sup>25</sup>
	58.000	335.29	11/09/21	266.80	...	68.49	123 of 182 - Sale <sup>25</sup>
	67.000	393.28	11/09/21	321.60	...	71.68	124 of 182 - Sale <sup>25</sup>
	67.000	393.28	11/09/21	321.60	...	71.68	125 of 182 - Sale <sup>25</sup>
	80.000	415.99	11/09/21	344.00	...	71.99	126 of 182 - Sale <sup>25</sup>
	75.000	440.23	11/09/21	360.00	...	80.23	127 of 182 - Sale <sup>25</sup>
	75.000	440.23	11/09/21	360.00	...	80.23	128 of 182 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	75.000	440.24	11/09/21	360.00	...	80.24	129 of 182 - Sale <sup>25</sup>
	75.000	440.23	11/09/21	359.92	...	80.31	130 of 182 - Sale <sup>25</sup>
	75.000	440.24	11/09/21	359.63	...	80.61	131 of 182 - Sale <sup>25</sup>
	72.000	416.15	11/09/21	331.20	...	84.95	132 of 182 - Sale <sup>25</sup>
	100.000	578.98	11/09/21	480.00	...	98.98	133 of 182 - Sale <sup>25</sup>
	100.000	578.98	11/09/21	480.00	...	98.98	134 of 182 - Sale <sup>25</sup>
	100.000	585.98	11/09/21	480.00	...	105.98	135 of 182 - Sale <sup>25</sup>
	100.000	585.99	11/09/21	480.00	...	105.99	136 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	480.00	...	106.98	137 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	480.00	...	106.98	138 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	480.00	...	106.98	139 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	480.00	...	106.98	140 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	479.90	...	107.08	141 of 182 - Sale <sup>25</sup>
	100.000	586.98	11/09/21	473.00	...	113.98	142 of 182 - Sale <sup>25</sup>
	100.000	587.98	11/09/21	473.00	...	114.98	143 of 182 - Sale <sup>25</sup>
	100.000	587.98	11/09/21	473.00	...	114.98	144 of 182 - Sale <sup>25</sup>
	100.000	587.98	11/09/21	473.00	...	114.98	145 of 182 - Sale <sup>25</sup>
	100.000	588.98	11/09/21	473.00	...	115.98	146 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	461.00	...	116.98	147 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	461.00	...	116.99	148 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.50	...	117.48	149 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.50	...	117.48	150 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.50	...	117.48	151 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	460.50	...	117.49	152 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	460.50	...	117.49	153 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	460.50	...	117.49	154 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.00	...	117.98	155 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.00	...	117.98	156 of 182 - Sale <sup>25</sup>
	100.000	577.98	11/09/21	460.00	...	117.98	157 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	460.00	...	117.99	158 of 182 - Sale <sup>25</sup>
	100.000	577.99	11/09/21	460.00	...	117.99	159 of 182 - Sale <sup>25</sup>
	100.000	578.99	11/09/21	460.00	...	118.99	160 of 182 - Sale <sup>25</sup>
	250.000	1,514.96	11/09/21	1,375.00	...	139.96	161 of 182 - Sale <sup>25</sup>
	269.000	1,627.41	11/09/21	1,479.50	...	147.91	162 of 182 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	137.000	791.84	11/09/21	630.20	...	161.64	163 of 182 - Sale <sup>25</sup>
	1,500.000	8,699.77	11/09/21	8,504.85	...	194.92	164 of 182 - Sale <sup>25</sup>
	420.000	2,183.94	11/09/21	1,986.60	...	197.34	165 of 182 - Sale <sup>25</sup>
	200.000	1,173.97	11/09/21	960.00	...	213.97	166 of 182 - Sale <sup>25</sup>
	465.000	2,822.47	11/09/21	2,557.50	...	264.97	167 of 182 - Sale <sup>25</sup>
	500.000	3,034.92	11/09/21	2,750.00	...	284.92	168 of 182 - Sale <sup>25</sup>
	500.000	3,034.92	11/09/21	2,750.00	...	284.92	169 of 182 - Sale <sup>25</sup>
	333.000	1,951.33	11/09/21	1,598.40	...	352.93	170 of 182 - Sale <sup>25</sup>
	328.000	1,895.79	11/09/21	1,515.36	...	380.43	171 of 182 - Sale <sup>25</sup>
	400.000	2,348.49	11/09/21	1,892.00	...	456.49	172 of 182 - Sale <sup>25</sup>
	1,000.000	6,059.84	11/09/21	5,500.00	...	559.84	173 of 182 - Sale <sup>25</sup>
	500.000	2,934.92	11/09/21	2,365.00	...	569.92	174 of 182 - Sale <sup>25</sup>
	700.000	4,110.01	11/09/21	3,311.00	...	799.01	175 of 182 - Sale <sup>25</sup>
	825.000	4,842.62	11/09/21	3,902.25	...	940.37	176 of 182 - Sale <sup>25</sup>
	837.000	4,837.73	11/09/21	3,866.94	...	970.79	177 of 182 - Sale <sup>25</sup>
	1,200.000	6,947.82	11/09/21	5,760.00	...	1,187.82	178 of 182 - Sale <sup>25</sup>
	1,600.000	9,247.76	11/09/21	7,392.00	...	1,855.76	179 of 182 - Sale <sup>25</sup>
	4,500.000	23,399.33	11/09/21	20,475.00	...	2,924.33	180 of 182 - Sale <sup>25</sup>
	3,700.000	21,385.45	11/09/21	17,094.00	...	4,291.45	181 of 182 - Sale <sup>25</sup>
	4,500.000	26,369.32	11/09/21	21,600.00	...	4,769.32	182 of 182 - Sale <sup>25</sup>
11/09/21	37,764.000	217,316.14	Various	189,220.04	...	28,096.10	Total of 182 transactions
	32 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	2,714.000	13,026.81	11/09/21	16,175.44	...	-3,148.63	1 of 32 - Sale <sup>25</sup>
	866.000	4,156.68	11/09/21	6,218.13	...	-2,061.45	2 of 32 - Sale <sup>25</sup>
	1,689.000	8,106.96	11/09/21	9,796.20	...	-1,689.24	3 of 32 - Sale <sup>25</sup>
	1,992.000	9,561.32	11/09/21	11,214.96	...	-1,653.64	4 of 32 - Sale <sup>25</sup>
	1,236.000	5,932.62	11/09/21	7,168.80	...	-1,236.18	5 of 32 - Sale <sup>25</sup>
	1,000.000	4,799.86	11/09/21	5,800.00	...	-1,000.14	6 of 32 - Sale <sup>25</sup>
	500.000	2,399.93	11/09/21	3,245.07	...	-845.14	7 of 32 - Sale <sup>25</sup>
	397.000	1,905.54	11/09/21	2,628.20	...	-722.66	8 of 32 - Sale <sup>25</sup>
	200.000	959.97	11/09/21	1,668.09	...	-708.12	9 of 32 - Sale <sup>25</sup>
	608.000	2,918.31	11/09/21	3,623.68	...	-705.37	10 of 32 - Sale <sup>25</sup>
	184.000	883.17	11/09/21	1,530.97	...	-647.80	11 of 32 - Sale <sup>25</sup>
	600.000	2,879.91	11/09/21	3,480.00	...	-600.09	12 of 32 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	234.000	1,123.17	11/09/21	1,680.19	...	-557.02	13 of 32 - Sale <sup>25</sup>
	250.000	1,199.96	11/09/21	1,652.53	...	-452.57	14 of 32 - Sale <sup>25</sup>
	100.000	479.99	11/09/21	832.05	...	-352.06	15 of 32 - Sale <sup>25</sup>
	280.000	1,343.96	11/09/21	1,624.00	...	-280.04	16 of 32 - Sale <sup>25</sup>
	115.000	551.98	11/09/21	825.73	...	-273.75	17 of 32 - Sale <sup>25</sup>
	128.000	614.38	11/09/21	844.82	...	-230.44	18 of 32 - Sale <sup>25</sup>
	122.000	585.58	11/09/21	805.22	...	-219.64	19 of 32 - Sale <sup>25</sup>
	116.000	556.78	11/09/21	770.26	...	-213.48	20 of 32 - Sale <sup>25</sup>
	118.000	566.38	11/09/21	765.84	...	-199.46	21 of 32 - Sale <sup>25</sup>
	82.000	393.59	11/09/21	588.79	...	-195.20	22 of 32 - Sale <sup>25</sup>
	103.000	494.39	11/09/21	681.88	...	-187.49	23 of 32 - Sale <sup>25</sup>
	43.000	206.39	11/09/21	358.21	...	-151.82	24 of 32 - Sale <sup>25</sup>
	100.000	479.99	11/09/21	580.00	...	-100.01	25 of 32 - Sale <sup>25</sup>
	28.000	134.40	11/09/21	233.25	...	-98.85	26 of 32 - Sale <sup>25</sup>
	50.000	239.99	11/09/21	324.51	...	-84.52	27 of 32 - Sale <sup>25</sup>
	15.000	72.00	11/09/21	124.81	...	-52.81	28 of 32 - Sale <sup>25</sup>
	50.000	239.99	11/09/21	290.00	...	-50.01	29 of 32 - Sale <sup>25</sup>
	19.000	91.20	11/09/21	136.43	...	-45.23	30 of 32 - Sale <sup>25</sup>
	45.000	215.99	11/09/21	261.00	...	-45.01	31 of 32 - Sale <sup>25</sup>
	8.000	42.40	11/09/21	64.08	...	-21.68	32 of 32 - Sale <sup>25</sup>
11/10/21	13,992.000	67,163.59	Various	85,993.14	...	-18,829.55	Total of 32 transactions
	15 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	630.000	3,023.91	11/09/21	4,523.57	19.04 W	-1,480.62	1 of 15 - Sale <sup>25</sup>
	28.000	134.68	11/09/21	201.05	66.37 W	0.00	2 of 15 - Sale <sup>25</sup>
	158.000	759.95	11/09/21	1,134.49	374.54 W	0.00	3 of 15 - Sale <sup>25</sup>
	184.000	885.01	11/09/21	1,138.99	253.98 W	0.00	4 of 15 - Sale <sup>25</sup>
	184.000	885.01	11/09/21	1,321.18	436.17 W	0.00	5 of 15 - Sale <sup>25</sup>
	200.000	959.97	11/09/21	1,436.06	476.09 W	0.00	6 of 15 - Sale <sup>25</sup>
	225.000	1,082.21	11/09/21	1,237.50	155.29 W	0.00	7 of 15 - Sale <sup>25</sup>
	316.000	1,519.91	11/09/21	1,738.00	218.09 W	0.00	8 of 15 - Sale <sup>25</sup>
	316.000	1,519.91	11/09/21	1,956.09	436.18 W	0.00	9 of 15 - Sale <sup>25</sup>
	513.000	2,467.45	11/09/21	2,821.50	354.05 W	0.00	10 of 15 - Sale <sup>25</sup>
	1,000.000	4,809.85	11/09/21	5,500.00	690.15 W	0.00	11 of 15 - Sale <sup>25</sup>
	1,000.000	4,809.86	11/09/21	6,190.15	1,380.29 W	0.00	12 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol: (cont'd)							
	1,016.000	4,886.81	11/09/21	6,289.18	1,402.37 W	0.00	13 of 15 - Sale <sup>25</sup>
	1,046.000	5,031.11	11/09/21	5,753.00	721.89 W	0.00	14 of 15 - Sale <sup>25</sup>
	1,200.000	5,771.83	11/09/21	6,600.00	828.17 W	0.00	15 of 15 - Sale <sup>25</sup>
11/10/21	8,016.000	38,547.47	Various	47,840.76	7,812.67 W	-1,480.62	Total of 15 transactions
	<b>Security total:</b>	<b>323,027.20</b>		<b>323,053.94</b>	<b>7,812.67 W</b>	<b>7,785.93</b>	
KEMPHARM, INC. COMMON STOCK / CUSIP: 488445206 / Symbol:							
9 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	500.000	4,649.91	04/21/21	4,775.00	...	-125.09	1 of 9 - Sale <sup>25</sup>
	495.000	4,608.36	04/21/21	4,727.25	...	-118.89	2 of 9 - Sale <sup>25</sup>
	369.000	3,416.88	04/21/21	3,523.95	...	-107.07	3 of 9 - Sale <sup>25</sup>
	204.000	1,897.17	04/21/21	1,948.20	...	-51.03	4 of 9 - Sale <sup>25</sup>
	147.000	1,362.66	04/21/21	1,403.85	...	-41.19	5 of 9 - Sale <sup>25</sup>
	100.000	929.98	04/21/21	955.00	...	-25.02	6 of 9 - Sale <sup>25</sup>
	100.000	929.98	04/21/21	955.00	...	-25.02	7 of 9 - Sale <sup>25</sup>
	80.000	743.98	04/21/21	764.00	...	-20.02	8 of 9 - Sale <sup>25</sup>
	5.000	46.55	04/21/21	47.75	...	-1.20	9 of 9 - Sale <sup>25</sup>
04/21/21	2,000.000	18,585.47	Various	19,100.00	...	-514.53	Total of 9 transactions
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
25 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	407.000	6,756.11	11/02/21	7,969.24	...	-1,213.13	1 of 25 - Sale <sup>25</sup>
	293.000	4,863.74	11/02/21	5,737.06	...	-873.32	2 of 25 - Sale <sup>25</sup>
	816.000	13,545.43	11/02/21	14,394.24	...	-848.81	3 of 25 - Sale <sup>25</sup>
	333.000	5,527.73	11/02/21	6,173.89	...	-646.16	4 of 25 - Sale <sup>25</sup>
	192.000	3,187.16	11/02/21	3,559.72	...	-372.56	5 of 25 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,956.04	...	-296.06	6 of 25 - Sale <sup>25</sup>
	140.000	2,323.97	11/02/21	2,595.64	...	-271.67	7 of 25 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,854.02	...	-194.04	8 of 25 - Sale <sup>25</sup>
	90.000	1,493.98	11/02/21	1,668.62	...	-174.64	9 of 25 - Sale <sup>25</sup>
	47.000	780.19	11/02/21	926.86	...	-146.67	10 of 25 - Sale <sup>25</sup>
	75.000	1,244.99	11/02/21	1,390.52	...	-145.53	11 of 25 - Sale <sup>25</sup>
	60.000	995.99	11/02/21	1,112.41	...	-116.42	12 of 25 - Sale <sup>25</sup>
	55.000	912.98	11/02/21	1,019.71	...	-106.73	13 of 25 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
	50.000	829.99	11/02/21	927.01	...	-97.02	14 of 25 - Sale <sup>25</sup>
	29.000	481.39	11/02/21	567.83	...	-86.44	15 of 25 - Sale <sup>25</sup>
	24.000	398.39	11/02/21	473.29	...	-74.90	16 of 25 - Sale <sup>25</sup>
	30.000	497.99	11/02/21	556.20	...	-58.21	17 of 25 - Sale <sup>25</sup>
	15.000	249.00	11/02/21	293.70	...	-44.70	18 of 25 - Sale <sup>25</sup>
	11.000	182.60	11/02/21	215.38	...	-32.78	19 of 25 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	185.40	...	-19.40	20 of 25 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	185.40	...	-19.40	21 of 25 - Sale <sup>25</sup>
	14.000	232.40	11/02/21	246.96	...	-14.56	22 of 25 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	176.40	...	-10.40	23 of 25 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	176.40	...	-10.40	24 of 25 - Sale <sup>25</sup>
	3.000	49.80	11/02/21	56.04	...	-6.24	25 of 25 - Sale <sup>25</sup>
11/03/21	2,924.000	48,537.79	Various	54,417.98	...	-5,880.19	Total of 25 transactions
	19 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	409.000	6,789.31	11/02/21	7,214.76	346.39 W	-79.06	1 of 19 - Sale <sup>25</sup>
	3.000	49.80	11/02/21	52.92	3.12 W	0.00	2 of 19 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	176.40	10.40 W	0.00	3 of 19 - Sale <sup>25</sup>
	10.000	166.00	11/02/21	176.40	10.40 W	0.00	4 of 19 - Sale <sup>25</sup>
	15.000	249.00	11/02/21	264.60	15.60 W	0.00	5 of 19 - Sale <sup>25</sup>
	15.000	249.00	11/02/21	280.20	31.20 W	0.00	6 of 19 - Sale <sup>25</sup>
	30.000	498.00	11/02/21	529.20	31.20 W	0.00	7 of 19 - Sale <sup>25</sup>
	50.000	829.99	11/02/21	882.00	52.01 W	0.00	8 of 19 - Sale <sup>25</sup>
	75.000	1,244.98	11/02/21	1,323.00	78.02 W	0.00	9 of 19 - Sale <sup>25</sup>
	90.000	1,493.98	11/02/21	1,587.60	93.62 W	0.00	10 of 19 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,762.00	102.02 W	0.00	11 of 19 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,764.00	104.02 W	0.00	12 of 19 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,764.00	104.02 W	0.00	13 of 19 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,866.02	206.04 W	0.00	14 of 19 - Sale <sup>25</sup>
	100.000	1,659.98	11/02/21	1,868.02	208.04 W	0.00	15 of 19 - Sale <sup>25</sup>
	192.000	3,187.16	11/02/21	3,386.88	199.72 W	0.00	16 of 19 - Sale <sup>25</sup>
	255.000	4,232.94	11/02/21	4,498.20	265.26 W	0.00	17 of 19 - Sale <sup>25</sup>
	711.000	11,802.45	11/02/21	12,542.04	739.59 W	0.00	18 of 19 - Sale <sup>25</sup>
	711.000	11,802.45	11/02/21	13,281.63	1,479.18 W	0.00	19 of 19 - Sale <sup>25</sup>
11/03/21	3,076.000	51,060.96	Various	55,219.87	4,079.85 W	-79.06	Total of 19 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
<b>Security total:</b>		<b>99,598.75</b>		<b>109,637.85</b>	<b>4,079.85 W</b>	<b>-5,959.25</b>	
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
11/18/21	150.000	1,433.97	11/18/21	1,120.50	...	313.47	Sale <sup>25</sup>
4 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	15.000	186.60	11/18/21	105.00	...	81.60	1 of 4 - Sale <sup>25</sup>
	100.000	1,191.98	11/18/21	747.00	...	444.98	2 of 4 - Sale <sup>25</sup>
	200.000	2,487.95	11/18/21	1,494.00	...	993.95	3 of 4 - Sale <sup>25</sup>
	250.000	2,924.95	11/18/21	1,867.50	...	1,057.45	4 of 4 - Sale <sup>25</sup>
11/19/21	565.000	6,791.48	Various	4,213.50	...	2,577.98	Total of 4 transactions
<b>Security total:</b>		<b>8,225.45</b>		<b>5,334.00</b>	<b>...</b>	<b>2,891.45</b>	
LYFT, INC. CLASS A COMMON STOCK / CUSIP: 55087P104 / Symbol:							
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	714.000	33,557.74	11/02/21	33,558.00	...	-0.26	1 of 5 - Sale <sup>25</sup>
	86.000	4,041.96	11/02/21	4,042.00	...	-0.04	2 of 5 - Sale <sup>25</sup>
	200.000	9,401.93	11/02/21	9,400.00	...	1.93	3 of 5 - Sale <sup>25</sup>
	300.000	14,102.88	11/02/21	14,100.00	...	2.88	4 of 5 - Sale <sup>25</sup>
	200.000	9,403.93	11/02/21	9,400.00	...	3.93	5 of 5 - Sale <sup>25</sup>
11/02/21	1,500.000	70,508.44	Various	70,500.00	...	8.44	Total of 5 transactions
NEXTDOOR HOLDINGS, INC. / CUSIP: 65345M108 / Symbol:							
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	50.000	597.99	11/08/21	577.50	...	20.49	1 of 4 - Sale <sup>25</sup>
	50.000	597.99	11/08/21	577.50	...	20.49	2 of 4 - Sale <sup>25</sup>
	100.000	1,195.98	11/08/21	1,155.00	...	40.98	3 of 4 - Sale <sup>25</sup>
	3,300.000	39,434.40	11/08/21	38,115.00	...	1,319.40	4 of 4 - Sale <sup>25</sup>
11/08/21	3,500.000	41,826.36	Various	40,425.00	...	1,401.36	Total of 4 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
10/21/21	15.000	2,120.19	10/20/21	2,018.55	...	101.64	Sale <sup>25</sup>
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	799.99	11/01/21	796.90	...	3.09	1 of 3 - Sale <sup>25</sup>
	50.000	7,999.95	11/01/21	7,990.00	...	9.95	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	70.000	11,199.93	11/01/21	11,186.00	...	13.93	3 of 3 - Sale <sup>25</sup>
11/01/21	125.000	19,999.87	Various	19,972.90	...	26.97	Total of 3 transactions
	<b>Security total:</b>	<b>22,120.06</b>		<b>21,991.45</b>	...	<b>128.61</b>	
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
<i>25 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	7,518.000	68,638.10	11/08/21	68,714.52	...	-76.42	1 of 25 - Sale <sup>25</sup>
	600.000	5,477.90	11/08/21	5,484.00	...	-6.10	2 of 25 - Sale <sup>25</sup>
	500.000	4,564.92	11/08/21	4,570.00	...	-5.08	3 of 25 - Sale <sup>25</sup>
	400.000	3,651.93	11/08/21	3,656.00	...	-4.07	4 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	913.00	...	-0.02	5 of 25 - Sale <sup>25</sup>
	11.000	100.43	11/08/21	99.88	...	0.55	6 of 25 - Sale <sup>25</sup>
	29.000	264.77	11/08/21	263.90	...	0.87	7 of 25 - Sale <sup>25</sup>
	29.000	264.77	11/08/21	263.87	...	0.90	8 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	911.50	...	1.48	9 of 25 - Sale <sup>25</sup>
	53.000	483.88	11/08/21	482.30	...	1.58	10 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	910.00	...	2.98	11 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	909.00	...	3.98	12 of 25 - Sale <sup>25</sup>
	171.000	1,561.20	11/08/21	1,556.10	...	5.10	13 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	907.00	...	5.98	14 of 25 - Sale <sup>25</sup>
	189.000	1,725.54	11/08/21	1,718.01	...	7.53	15 of 25 - Sale <sup>25</sup>
	200.000	1,825.97	11/08/21	1,818.00	...	7.97	16 of 25 - Sale <sup>25</sup>
	300.000	2,738.95	11/08/21	2,730.00	...	8.95	17 of 25 - Sale <sup>25</sup>
	300.000	2,738.95	11/08/21	2,730.00	...	8.95	18 of 25 - Sale <sup>25</sup>
	83.000	757.78	11/08/21	746.17	...	11.61	19 of 25 - Sale <sup>25</sup>
	400.000	3,651.93	11/08/21	3,640.00	...	11.93	20 of 25 - Sale <sup>25</sup>
	100.000	912.98	11/08/21	899.00	...	13.98	21 of 25 - Sale <sup>25</sup>
	500.000	4,564.92	11/08/21	4,550.00	...	14.92	22 of 25 - Sale <sup>25</sup>
	800.000	7,303.87	11/08/21	7,280.00	...	23.87	23 of 25 - Sale <sup>25</sup>
	817.000	7,459.07	11/08/21	7,352.67	...	106.40	24 of 25 - Sale <sup>25</sup>
	4,500.000	41,084.26	11/08/21	40,950.00	...	134.26	25 of 25 - Sale <sup>25</sup>
11/08/21	18,000.000	164,337.02	Various	164,054.92	...	282.10	Total of 25 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALTALK, INC. COMMON STOCK / CUSIP: 69764K106 / Symbol:							
3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	22.000	281.60	09/29/21	273.22	...	8.38	1 of 3 - Sale <sup>25</sup>
	44.000	549.99	09/29/21	392.44	...	157.55	2 of 3 - Sale <sup>25</sup>
	306.000	3,824.94	09/29/21	2,729.24	...	1,095.70	3 of 3 - Sale <sup>25</sup>
09/30/21	372.000	4,656.53	Various	3,394.90	...	1,261.63	Total of 3 transactions
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
8 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	290.000	60,697.12	11/09/21	61,529.30	...	-832.18	1 of 8 - Sale <sup>25</sup>
	140.000	29,302.06	11/09/21	29,748.60	...	-446.54	2 of 8 - Sale <sup>25</sup>
	120.000	25,116.05	11/09/21	25,500.00	...	-383.95	3 of 8 - Sale <sup>25</sup>
	100.000	20,930.04	11/09/21	21,250.00	...	-319.96	4 of 8 - Sale <sup>25</sup>
	100.000	20,930.04	11/09/21	21,250.00	...	-319.96	5 of 8 - Sale <sup>25</sup>
	50.000	10,465.02	11/09/21	10,608.00	...	-142.98	6 of 8 - Sale <sup>25</sup>
	40.000	8,372.02	11/09/21	8,499.60	...	-127.58	7 of 8 - Sale <sup>25</sup>
	10.000	2,093.00	11/09/21	2,121.60	...	-28.60	8 of 8 - Sale <sup>25</sup>
11/09/21	850.000	177,905.35	Various	180,507.10	...	-2,601.75	Total of 8 transactions
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	393.000	17,468.75	11/04/21	18,180.18	...	-711.43	1 of 4 - Sale <sup>25</sup>
	300.000	13,334.92	11/04/21	13,878.00	...	-543.08	2 of 4 - Sale <sup>25</sup>
	210.000	9,334.45	11/04/21	9,714.60	...	-380.15	3 of 4 - Sale <sup>25</sup>
	40.000	1,777.99	11/04/21	1,850.40	...	-72.41	4 of 4 - Sale <sup>25</sup>
11/05/21	943.000	41,916.11	Various	43,623.18	...	-1,707.07	Total of 4 transactions
PORTILLO'S INC. CLASS A COMMON STOCK / CUSIP: 73642K106 / Symbol:							
17 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	3.000	109.98	10/22/21	106.77	...	3.21	1 of 17 - Sale <sup>25</sup>
	3.000	110.10	10/22/21	106.67	...	3.43	2 of 17 - Sale <sup>25</sup>
	5.000	183.35	10/22/21	177.77	...	5.58	3 of 17 - Sale <sup>25</sup>
	7.000	256.62	10/22/21	249.13	...	7.49	4 of 17 - Sale <sup>25</sup>
	10.000	367.00	10/22/21	355.55	...	11.45	5 of 17 - Sale <sup>25</sup>
	10.000	367.00	10/22/21	355.55	...	11.45	6 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PORTILLO'S INC. CLASS A COMMON STOCK / CUSIP: 73642K106 / Symbol: (cont'd)							
	30.000	1,102.79	10/22/21	1,066.65	...	36.14	7 of 17 - Sale <sup>25</sup>
	30.000	1,102.79	10/22/21	1,066.65	...	36.14	8 of 17 - Sale <sup>25</sup>
	47.000	1,723.00	10/22/21	1,672.73	...	50.27	9 of 17 - Sale <sup>25</sup>
	70.000	2,573.18	10/22/21	2,488.85	...	84.33	10 of 17 - Sale <sup>25</sup>
	70.000	2,573.87	10/22/21	2,488.85	...	85.02	11 of 17 - Sale <sup>25</sup>
	100.000	3,675.97	10/22/21	3,555.50	...	120.47	12 of 17 - Sale <sup>25</sup>
	132.000	4,839.07	10/22/21	4,697.88	...	141.19	13 of 17 - Sale <sup>25</sup>
	200.000	7,347.94	10/22/21	7,111.00	...	236.94	14 of 17 - Sale <sup>25</sup>
	248.000	9,091.60	10/22/21	8,817.64	...	273.96	15 of 17 - Sale <sup>25</sup>
	470.000	17,277.05	10/22/21	16,710.85	...	566.20	16 of 17 - Sale <sup>25</sup>
	565.000	20,718.37	10/22/21	20,088.58	...	629.79	17 of 17 - Sale <sup>25</sup>
10/22/21	2,000.000	73,419.68	Various	71,116.62	...	2,303.06	Total of 17 transactions
RODGERS SILICON VALLEY ACQUISITION CORP. COMMON STOCK / CUSIP: 774873103 / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	30.000	441.89	03/02/21	762.91	...	-321.02	1 of 3 - Sale <sup>25</sup>
	50.000	736.49	03/03/21	822.50	...	-86.01	2 of 3 - Sale <sup>25</sup>
	10.000	147.30	03/03/21	168.70	...	-21.40	3 of 3 - Sale <sup>25</sup>
03/04/21	90.000	1,325.68	Various	1,754.11	...	-428.43	Total of 3 transactions
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	70.000	1,031.08	03/02/21	1,780.11	74.90 W	-674.13	1 of 3 - Sale <sup>25</sup>
	100.000	1,479.98	03/02/21	1,838.99	359.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,479.98	03/02/21	2,198.00	718.02 W	0.00	3 of 3 - Sale <sup>25</sup>
03/04/21	270.000	3,991.04	Various	5,817.10	1,151.93 W	-674.13	Total of 3 transactions
4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	3.000	45.12	03/30/21	72.53	...	-27.41	1 of 4 - Sale <sup>25</sup>
	2.000	30.08	03/30/21	48.00	...	-17.92	2 of 4 - Sale <sup>25</sup>
	1.000	15.04	03/30/21	24.12	...	-9.08	3 of 4 - Sale <sup>25</sup>
	1.000	15.04	03/30/21	23.90	...	-8.86	4 of 4 - Sale <sup>25</sup>
04/08/21	7.000	105.28	Various	168.55	...	-63.27	Total of 4 transactions
	<b>Security total:</b>	<b>5,422.00</b>		<b>7,739.76</b>	<b>1,151.93 W</b>	<b>-1,165.83</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
4 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	90.000	463.96	11/10/21	463.50	...	0.46	1 of 4 - Sale <sup>25</sup>
	190.000	979.48	11/10/21	978.50	...	0.98	2 of 4 - Sale <sup>25</sup>
	120.000	618.62	11/10/21	616.80	...	1.82	3 of 4 - Sale <sup>25</sup>
	1,000.000	5,155.15	11/10/21	5,150.00	...	5.15	4 of 4 - Sale <sup>25</sup>
11/11/21	1,400.000	7,217.21	Various	7,208.80	...	8.41	Total of 4 transactions
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	100.000	556.98	11/12/21	574.70	...	-17.72	1 of 2 - Sale <sup>25</sup>
	280.000	1,559.55	11/12/21	1,568.00	...	-8.45	2 of 2 - Sale <sup>25</sup>
11/12/21	380.000	2,116.53	Various	2,142.70	...	-26.17	Total of 2 transactions
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	20.000	112.26	11/11/21	115.60	3.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	561.30	11/11/21	576.00	14.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	111.40	11/12/21	115.34	1.58 W	-2.36	3 of 3 - Sale <sup>25</sup>
11/12/21	140.000	784.96	Various	806.94	19.62 W	-2.36	Total of 3 transactions
11/15/21	8.000	40.40	11/15/21	42.04	...	-1.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,159.10</b>		<b>10,200.48</b>	<b>19.62 W</b>	<b>-21.76</b>	

SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:

17 transactions for 11/16/21. Total proceeds and cost reported to the IRS.

	5.000	15.45	11/16/21	14.15	...	1.30	1 of 17 - Sale <sup>25</sup>
	35.000	108.32	11/16/21	99.05	...	9.27	2 of 17 - Sale <sup>25</sup>
	35.000	108.32	11/16/21	99.05	...	9.27	3 of 17 - Sale <sup>25</sup>
	50.000	154.49	11/16/21	141.50	...	12.99	4 of 17 - Sale <sup>25</sup>
	65.000	201.17	11/16/21	183.95	...	17.22	5 of 17 - Sale <sup>25</sup>
	65.000	201.17	11/16/21	183.95	...	17.22	6 of 17 - Sale <sup>25</sup>
	100.000	308.99	11/16/21	284.00	...	24.99	7 of 17 - Sale <sup>25</sup>
	100.000	308.99	11/16/21	283.00	...	25.99	8 of 17 - Sale <sup>25</sup>
	100.000	309.48	11/16/21	283.00	...	26.48	9 of 17 - Sale <sup>25</sup>
	100.000	309.48	11/16/21	283.00	...	26.48	10 of 17 - Sale <sup>25</sup>
	165.000	509.83	11/16/21	466.95	...	42.88	11 of 17 - Sale <sup>25</sup>
	200.000	617.97	11/16/21	566.00	...	51.97	12 of 17 - Sale <sup>25</sup>
	300.000	926.96	11/16/21	849.00	...	77.96	13 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol: (cont'd)							
	380.000	1,174.15	11/16/21	1,075.40	...	98.75	14 of 17 - Sale <sup>25</sup>
	700.000	2,162.91	11/16/21	1,981.00	...	181.91	15 of 17 - Sale <sup>25</sup>
	1,100.000	3,398.84	11/16/21	3,124.00	...	274.84	16 of 17 - Sale <sup>25</sup>
	3,500.000	10,571.97	11/16/21	9,940.00	...	631.97	17 of 17 - Sale <sup>25</sup>
11/16/21	7,000.000	21,388.49	Various	19,857.00	...	1,531.49	Total of 17 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
13 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	5.000	295.50	04/22/21	276.95	...	18.55	1 of 13 - Sale <sup>25</sup>
	5.000	295.10	04/22/21	276.00	...	19.10	2 of 13 - Sale <sup>25</sup>
	9.000	531.18	04/22/21	496.80	...	34.38	3 of 13 - Sale <sup>25</sup>
	14.000	826.55	04/22/21	772.80	...	53.75	4 of 13 - Sale <sup>25</sup>
	20.000	1,180.99	04/22/21	1,108.40	...	72.59	5 of 13 - Sale <sup>25</sup>
	30.000	1,771.49	04/22/21	1,662.30	...	109.19	6 of 13 - Sale <sup>25</sup>
	30.000	1,772.09	04/22/21	1,661.70	...	110.39	7 of 13 - Sale <sup>25</sup>
	45.000	2,657.23	04/22/21	2,494.35	...	162.88	8 of 13 - Sale <sup>25</sup>
	50.000	2,952.47	04/22/21	2,771.50	...	180.97	9 of 13 - Sale <sup>25</sup>
	50.000	2,952.48	04/22/21	2,760.00	...	192.48	10 of 13 - Sale <sup>25</sup>
	70.000	4,134.86	04/22/21	3,878.70	...	256.16	11 of 13 - Sale <sup>25</sup>
	86.000	5,075.67	04/22/21	4,747.20	...	328.47	12 of 13 - Sale <sup>25</sup>
	86.000	5,077.40	04/22/21	4,747.20	...	330.20	13 of 13 - Sale <sup>25</sup>
04/22/21	500.000	29,523.01	Various	27,653.90	...	1,869.11	Total of 13 transactions
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
09/15/21	3.000	29.76	09/14/21	35.62	...	-5.86	Sale <sup>25</sup>
THE9 LIMITED AMERICAN DEPOSITO RY SHARES / CUSIP: 88337K302 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	20.000	272.00	11/12/21	253.80	...	18.20	1 of 2 - Sale <sup>25</sup>
	150.000	2,039.96	11/12/21	1,903.50	...	136.46	2 of 2 - Sale <sup>25</sup>
11/15/21	170.000	2,311.96	Various	2,157.30	...	154.66	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	500.000	4,979.91	05/20/21	5,685.00	...	-705.09	1 of 3 - Sale <sup>25</sup>
	300.000	2,987.94	05/20/21	3,411.00	...	-423.06	2 of 3 - Sale <sup>25</sup>
	10.000	99.60	05/20/21	109.00	...	-9.40	3 of 3 - Sale <sup>25</sup>
05/21/21	810.000	8,067.45	Various	9,205.00	...	-1,137.55	Total of 3 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
17 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	5.000	42.80	04/27/21	42.50	...	0.30	1 of 17 - Sale <sup>25</sup>
	25.000	213.50	04/27/21	212.50	...	1.00	2 of 17 - Sale <sup>25</sup>
	50.000	426.99	04/27/21	425.00	...	1.99	3 of 17 - Sale <sup>25</sup>
	50.000	426.99	04/27/21	425.00	...	1.99	4 of 17 - Sale <sup>25</sup>
	56.000	478.23	04/27/21	476.00	...	2.23	5 of 17 - Sale <sup>25</sup>
	140.000	1,195.57	04/27/21	1,192.80	...	2.77	6 of 17 - Sale <sup>25</sup>
	95.000	811.28	04/27/21	807.50	...	3.78	7 of 17 - Sale <sup>25</sup>
	100.000	853.98	04/27/21	850.00	...	3.98	8 of 17 - Sale <sup>25</sup>
	100.000	853.98	04/27/21	850.00	...	3.98	9 of 17 - Sale <sup>25</sup>
	100.000	853.99	04/27/21	850.00	...	3.99	10 of 17 - Sale <sup>25</sup>
	152.000	1,298.05	04/27/21	1,293.52	...	4.53	11 of 17 - Sale <sup>25</sup>
	274.000	2,339.91	04/27/21	2,334.48	...	5.43	12 of 17 - Sale <sup>25</sup>
	278.000	2,374.07	04/27/21	2,368.56	...	5.51	13 of 17 - Sale <sup>25</sup>
	600.000	5,123.90	04/27/21	5,112.00	...	11.90	14 of 17 - Sale <sup>25</sup>
	676.000	5,772.93	04/27/21	5,759.52	...	13.41	15 of 17 - Sale <sup>25</sup>
	394.000	3,364.69	04/27/21	3,349.00	...	15.69	16 of 17 - Sale <sup>25</sup>
	405.000	3,458.63	04/27/21	3,442.50	...	16.13	17 of 17 - Sale <sup>25</sup>
04/27/21	3,500.000	29,889.49	Various	29,790.88	...	98.61	Total of 17 transactions
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	2,000.000	19,439.66	04/30/21	24,100.00	3,844.78 W	-815.56	1 of 2 - Sale <sup>25</sup>
	500.000	4,864.91	04/30/21	6,025.00	1,160.09 W	0.00	2 of 2 - Sale <sup>25</sup>
05/03/21	2,500.000	24,304.57	Various	30,125.00	5,004.87 W	-815.56	Total of 2 transactions
9 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	576.000	4,377.51	05/03/21	6,802.65	...	-2,425.14	1 of 9 - Sale <sup>25</sup>
	395.000	3,001.94	05/03/21	4,665.02	...	-1,663.08	2 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	301.000	2,287.55	05/03/21	3,551.85	...	-1,264.30	3 of 9 - Sale <sup>25</sup>
	300.000	2,279.95	05/03/21	3,537.05	...	-1,257.10	4 of 9 - Sale <sup>25</sup>
	199.000	1,512.37	05/03/21	2,348.24	...	-835.87	5 of 9 - Sale <sup>25</sup>
	100.000	759.98	05/03/21	1,181.02	...	-421.04	6 of 9 - Sale <sup>25</sup>
	100.000	759.98	05/03/21	1,180.02	...	-420.04	7 of 9 - Sale <sup>25</sup>
	100.000	759.98	05/03/21	1,180.02	...	-420.04	8 of 9 - Sale <sup>25</sup>
	79.000	600.39	05/03/21	932.21	...	-331.82	9 of 9 - Sale <sup>25</sup>
05/05/21	2,150.000	16,339.65	Various	25,378.08	...	-9,038.43	Total of 9 transactions
	<b>Security total:</b>	<b>70,533.71</b>		<b>85,293.96</b>	<b>5,004.87 W</b>	<b>-9,755.38</b>	
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol:							
14 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2,521.000	32,293.54	11/01/21	36,680.55	...	-4,387.01	1 of 14 - Sale <sup>25</sup>
	825.000	10,568.09	11/01/21	12,003.75	...	-1,435.66	2 of 14 - Sale <sup>25</sup>
	100.000	1,280.98	11/01/21	1,455.00	...	-174.02	3 of 14 - Sale <sup>25</sup>
	39.000	499.58	11/01/21	634.15	...	-134.57	4 of 14 - Sale <sup>25</sup>
	19.000	243.39	11/01/21	373.75	...	-130.36	5 of 14 - Sale <sup>25</sup>
	20.000	256.20	11/01/21	359.20	...	-103.00	6 of 14 - Sale <sup>25</sup>
	19.000	243.39	11/01/21	341.25	...	-97.86	7 of 14 - Sale <sup>25</sup>
	11.000	140.91	11/01/21	234.97	...	-94.06	8 of 14 - Sale <sup>25</sup>
	9.000	115.29	11/01/21	192.24	...	-76.95	9 of 14 - Sale <sup>25</sup>
	11.000	140.91	11/01/21	216.49	...	-75.58	10 of 14 - Sale <sup>25</sup>
	11.000	140.91	11/01/21	216.15	...	-75.24	11 of 14 - Sale <sup>25</sup>
	43.000	550.82	11/01/21	625.65	...	-74.83	12 of 14 - Sale <sup>25</sup>
	30.000	384.29	11/01/21	436.50	...	-52.21	13 of 14 - Sale <sup>25</sup>
	11.000	140.91	11/01/21	178.75	...	-37.84	14 of 14 - Sale <sup>25</sup>
11/02/21	3,669.000	46,999.21	Various	53,948.40	...	-6,949.19	Total of 14 transactions
17 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	9.000	115.47	11/01/21	176.76	61.29 W	0.00	1 of 17 - Sale <sup>25</sup>
	9.000	115.56	11/01/21	161.46	45.90 W	0.00	2 of 17 - Sale <sup>25</sup>
	11.000	141.13	11/01/21	197.23	56.10 W	0.00	3 of 17 - Sale <sup>25</sup>
	11.000	141.13	11/01/21	197.57	56.44 W	0.00	4 of 17 - Sale <sup>25</sup>
	11.000	141.13	11/01/21	216.05	74.92 W	0.00	5 of 17 - Sale <sup>25</sup>
	11.000	141.24	11/01/21	159.94	18.70 W	0.00	6 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol: (cont'd)							
	11.000	141.24	11/01/21	178.53	37.29 W	0.00	7 of 17 - Sale <sup>25</sup>
	11.000	141.24	11/01/21	197.35	56.11 W	0.00	8 of 17 - Sale <sup>25</sup>
	11.000	141.46	11/01/21	160.05	18.59 W	0.00	9 of 17 - Sale <sup>25</sup>
	19.000	243.76	11/01/21	341.06	97.30 W	0.00	10 of 17 - Sale <sup>25</sup>
	20.000	256.79	11/01/21	324.80	68.01 W	0.00	11 of 17 - Sale <sup>25</sup>
	20.000	256.80	11/01/21	291.00	34.20 W	0.00	12 of 17 - Sale <sup>25</sup>
	20.000	256.80	11/01/21	325.00	68.20 W	0.00	13 of 17 - Sale <sup>25</sup>
	20.000	257.20	11/01/21	291.00	33.80 W	0.00	14 of 17 - Sale <sup>25</sup>
	39.000	500.36	11/01/21	567.06	66.70 W	0.00	15 of 17 - Sale <sup>25</sup>
	49.000	629.14	11/01/21	796.26	167.12 W	0.00	16 of 17 - Sale <sup>25</sup>
	49.000	629.15	11/01/21	712.95	83.80 W	0.00	17 of 17 - Sale <sup>25</sup>
11/02/21	331.000	4,249.60	Various	5,294.07	1,044.47 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>51,248.81</b>		<b>59,242.47</b>	<b>1,044.47 W</b>	<b>-6,949.19</b>	
ZIVO BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 98978N200 / Symbol:							
	9 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	552.000	2,649.52	09/24/21	3,610.08	...	-960.56	1 of 9 - Sale <sup>25</sup>
	250.000	1,199.96	09/24/21	1,632.50	...	-432.54	2 of 9 - Sale <sup>25</sup>
	200.000	959.97	09/24/21	1,306.00	...	-346.03	3 of 9 - Sale <sup>25</sup>
	178.000	854.37	09/24/21	1,164.12	...	-309.75	4 of 9 - Sale <sup>25</sup>
	100.000	479.98	09/24/21	652.00	...	-172.02	5 of 9 - Sale <sup>25</sup>
	100.000	479.99	09/24/21	652.00	...	-172.01	6 of 9 - Sale <sup>25</sup>
	100.000	479.99	09/24/21	652.00	...	-172.01	7 of 9 - Sale <sup>25</sup>
	100.000	479.99	09/24/21	652.00	...	-172.01	8 of 9 - Sale <sup>25</sup>
	11.000	52.80	09/24/21	57.86	...	-5.06	9 of 9 - Sale <sup>25</sup>
09/27/21	1,591.000	7,636.57	Various	10,378.56	...	-2,741.99	Total of 9 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
11/23/21	3.000	621.27	11/22/21	731.52	...	-110.25	Sale <sup>25</sup>
<b>Totals :</b>		<b>9,060,047.13</b>		<b>11,203,115.02</b>	<b>2,045,048.12 W</b>	<b>-98,019.77</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 144486867

**Detail for Interest Income****2021**

03/02/2022 AMENDED

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
		03/31/21	0.12	Interest	
		05/28/21	0.23	Interest	
			0.35	Total Interest	
			<b>0.35</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 144486867

**Fees and Expenses**

2021

03/02/2022 AMENDED

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/27/21	-1.20	Margin interest paid	
		05/27/21	-5.00	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/26/21	-5.00	Margin interest paid	
			-16.20	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		09/09/21	-5.00	Margin interest paid	
		10/11/21	-0.46	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
		11/08/21	-49.15	Margin interest paid	
		12/08/21	-5.00	Margin interest paid	
		12/08/21	-31.47	Margin interest paid	
			-106.08	Total Margin interest paid	
			<b>-122.28</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 144486867

## Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
KIND 11/19/2021 CALL \$10.00	KIND 11/19/21 C 10.000	11/08/21	169.00	0.00	Merger	
KVSB 11/19/2021 CALL \$10.00	KVSB 11/19/21 C 10.000	11/08/21	-169.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

---



### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Instructions for Recipient**

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.