

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 120129812	Statement Date: 02/11/2022 Document ID: 2V51 8TY MJ7S	2021
	Karthik Valapala 71 Woodland Trail Lincolnshire, IL 60069 RECIPIENT'S TIN: XXX-XX-1516		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 269.96</p> <p>1b- Qualified dividends 269.96</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 10.35</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	49,589.28	38,150.09	0.00	51.47	11,490.66
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.94	2.94	0.00	0.00	-1.00
	Total Short-term	49,591.22	38,153.03	0.00	51.47	11,489.66
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	49,591.22	38,153.03	0.00	51.47	11,489.66
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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Summary Information

2021

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02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	4.62
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/16/21	1.000	354.98	02/04/21	237.00	...	117.98	Option sale to close-call ²⁵
AAPL 03/05/2021 CALL \$136.00 / CUSIP: / Symbol:							
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/16/21	272.00	...	-272.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/17/21	128.00	...	-128.00	2 of 2 - Option expiration- long call
03/05/21	2.000	0.00	Various	400.00	...	-400.00	Total of 2 transactions
ASO 07/16/2021 CALL \$47.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/24/21	100.00	...	-100.00	Option expiration- long call
BABA 01/21/2022 CALL \$230.00 / CUSIP: / Symbol:							
10/29/21	1.000	86.98	08/23/21	262.00	...	-175.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/21/2022 CALL \$315.00 / CUSIP: / Symbol: 10/29/21	1.000	14.98	08/12/21	80.00	...	-65.02	Option sale to close-call ²⁵
BABA 07/09/2021 CALL \$232.50 / CUSIP: / Symbol: 06/28/21	1.000	285.98	06/25/21	192.00	...	93.98	Option sale to close-call ²⁵
BABA 08/06/2021 CALL \$222.50 / CUSIP: / Symbol: 08/06/21	1.000	0.00	07/09/21	200.00	...	-200.00	Option expiration- long call
BAC 04/16/2021 CALL \$35.00 / CUSIP: / Symbol: 02/23/21	1.000	185.98	02/03/21	59.00	...	126.98	Option sale to close-call ²⁵
BAC 07/02/2021 CALL \$45.50 / CUSIP: / Symbol: 06/24/21	1.000	2.98	06/08/21	30.00	...	-27.02	Option sale to close-call ²⁵
BP 01/20/2023 CALL \$35.00 / CUSIP: / Symbol: 10/08/21	1.000	148.98	08/20/21	75.00	...	73.98	Option sale to close-call ²⁵
BP 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: 02/17/21	1.000	84.98	02/08/21	42.00	...	42.98	Option sale to close-call ²⁵
BYND 08/06/2021 CALL \$138.00 / CUSIP: / Symbol: 08/06/21	1.000	0.00	08/02/21	186.00	...	-186.00	Option expiration- long call
CCIV 05/21/2021 CALL \$40.00 / CUSIP: / Symbol: 05/21/21	1.000	0.00	02/23/21	1,100.00	...	-1,100.00	Option expiration- long call
CLOV 03/19/2021 CALL \$15.00 / CUSIP: / Symbol: 03/19/21	1.000	34.98	03/19/21	0.00	...	34.98	Short sale closed- call expired Option written: 02/24/21
CLOV 05/21/2021 CALL \$12.50 / CUSIP: / Symbol: 05/21/21	1.000	64.98	05/21/21	0.00	...	64.98	Short sale closed- call expired Option written: 04/29/21
CLOV 08/20/2021 CALL \$17.50 / CUSIP: / Symbol: 06/09/21	1.000	949.98	02/02/21	400.00	...	549.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 08/27/2021 CALL \$290.00 / CUSIP: / Symbol: 08/27/21	1.000	0.00	08/27/21	62.00	...	-62.00	Option expiration- long call
CRWD 11/12/2021 CALL \$345.00 / CUSIP: / Symbol: 11/12/21	1.000	0.00	10/25/21	30.00	...	-30.00	Option expiration- long call
DAL 06/18/2021 CALL \$42.00 / CUSIP: / Symbol: 02/05/21	1.000	464.97	02/01/21	340.00	...	124.97	Option sale to close-call ²⁵
DFS 07/30/2021 CALL \$122.00 / CUSIP: / Symbol: 07/21/21	1.000	539.98	07/20/21	250.00	...	289.98	Option sale to close-call ²⁵
DIS 11/19/2021 CALL \$200.00 / CUSIP: / Symbol: 11/19/21	1.000	0.00	06/29/21	375.00	...	-375.00	Option expiration- long call
ENVA 03/19/2021 CALL \$30.00 / CUSIP: / Symbol: 02/17/21	1.000	159.98	02/02/21	50.00	...	109.98	Option sale to close-call ²⁵
ENVA 04/16/2021 CALL \$35.00 / CUSIP: / Symbol: 03/23/21	1.000	184.98	02/19/21	100.00	...	84.98	Option sale to close-call ²⁵
ENVA 06/18/2021 CALL \$40.00 / CUSIP: / Symbol: 06/18/21	1.000	0.00	06/07/21	25.00	...	-25.00	Option expiration- long call
ENVA 08/20/2021 CALL \$35.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	07/20/21	85.00	...	-85.00	Option expiration- long call
EVFM 05/21/2021 CALL \$1.50 / CUSIP: / Symbol: 05/21/21	2.000	39.98	05/21/21	0.00	...	39.98	Short sale closed- call expired Option written: 04/29/21
FCEL 04/09/2021 CALL \$20.00 / CUSIP: / Symbol: 03/23/21	1.000	26.98	03/11/21	189.00	...	-162.02	Option sale to close-call ²⁵
GMBL 04/16/2021 CALL \$20.00 / CUSIP: / Symbol: 03/25/21	1.000	69.98	03/16/21	250.00	...	-180.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 02/26/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/26/21	1.000	1.98	02/26/21	0.00	...	1.98	Short sale closed- call expired Option written: 02/24/21
HYLN 04/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/11/21	1.000	754.97	02/11/21	235.00	...	519.97	Option sale to close-call ²⁵
HYLN 05/28/2021 CALL \$9.00 / CUSIP: / Symbol:							
05/14/21	1.000	39.98	05/14/21	40.00	...	-0.02	Option sale to close-call ²⁵
MPC 08/06/2021 CALL \$57.50 / CUSIP: / Symbol:							
08/06/21	1.000	3.98	07/21/21	60.00	...	-56.02	Option sale to close-call ²⁵
MS 07/09/2021 CALL \$88.00 / CUSIP: / Symbol:							
07/09/21	1.000	219.98	06/24/21	105.00	...	114.98	Option sale to close-call ²⁵
MS 07/23/2021 CALL \$95.00 / CUSIP: / Symbol:							
07/21/21	1.000	139.98	07/21/21	36.00	...	103.98	Option sale to close-call ²⁵
MSFT 03/18/2022 CALL \$390.00 / CUSIP: / Symbol:							
08/27/21	1.000	146.98	08/12/21	101.00	...	45.98	Option sale to close-call ²⁵
NAK 03/19/2021 CALL \$1.50 / CUSIP: / Symbol:							
	2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	1.000	5.98	03/19/21	0.00	...	5.98	1 of 2 - Short sale closed- call expired
	1.000	6.98	03/19/21	0.00	...	6.98	Option written: 02/24/21 2 of 2 - Short sale closed- call expired
03/19/21	2.000	12.96	Various	0.00	...	12.96	Option written: 02/26/21 Total of 2 transactions
NCLH 03/19/2021 CALL \$27.50 / CUSIP: / Symbol:							
02/16/21	1.000	143.98	02/16/21	123.00	...	20.98	Option sale to close-call ²⁵
NCLH 04/09/2021 CALL \$27.50 / CUSIP: / Symbol:							
03/31/21	1.000	72.98	03/30/21	95.00	...	-22.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NCLH 06/18/2021 CALL \$35.00 / CUSIP: / Symbol: 06/18/21	1.000	0.00	06/04/21	38.00	...	-38.00	Option expiration- long call
NCLH 07/30/2021 CALL \$24.00 / CUSIP: / Symbol: 07/23/21	1.000	137.98	07/20/21	72.00	...	65.98	Option sale to close-call ²⁵
NIO 06/04/2021 CALL \$34.50 / CUSIP: / Symbol: 06/03/21	1.000	604.98	05/14/21	150.00	...	454.98	Option sale to close-call ²⁵
NIO 06/18/2021 CALL \$43.00 / CUSIP: / Symbol: 06/09/21	1.000	211.98	06/03/21	176.00	...	35.98	Option sale to close-call ²⁵
NIO 07/30/2021 CALL \$48.50 / CUSIP: / Symbol: 07/30/21	1.000	0.00	07/21/21	88.00	...	-88.00	Option expiration- long call
PLTR 01/20/2023 CALL \$50.00 / CUSIP: / Symbol: 09/02/21	1.000	202.98	08/03/21	162.00	...	40.98	Option sale to close-call ²⁵
PLTR 04/16/2021 CALL \$30.00 / CUSIP: / Symbol: 03/25/21	1.000	27.98	03/16/21	133.00	...	-105.02	Option sale to close-call ²⁵
PLTR 06/11/2021 CALL \$25.00 / CUSIP: / Symbol: 06/11/21	1.000	0.00	06/03/21	55.00	...	-55.00	Option expiration- long call
QS 08/20/2021 CALL \$40.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	07/01/21	134.00	...	-134.00	Option expiration- long call
RDSA 07/16/2021 CALL \$40.00 / CUSIP: / Symbol: 02/16/21	1.000	379.98	02/01/21	260.00	...	119.98	Option sale to close-call ²⁵
SAVE 03/19/2021 CALL \$30.00 / CUSIP: / Symbol: 02/03/21	1.000	264.98	02/03/21	260.00	...	4.98	Option sale to close-call ²⁵
SBUX 02/19/2021 CALL \$98.50 / CUSIP: / Symbol: 02/02/21	1.000	419.98	01/28/21	315.00	...	104.98	Option sale to close-call ²⁵
SBUX 07/30/2021 CALL \$123.00 / CUSIP: / Symbol: 07/22/21	1.000	180.98	07/21/21	94.00	...	86.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKLZ 08/20/2021 CALL \$20.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	06/23/21	275.00	...	-275.00	Option expiration- long call
SOLO 03/19/2021 CALL \$7.00 / CUSIP: / Symbol: 03/19/21	1.000	1.98	03/19/21	0.00	...	1.98	Short sale closed- call expired Option written: 03/17/21
SOLO 04/16/2021 CALL \$5.00 / CUSIP: / Symbol: 04/16/21	1.000	1.98	04/16/21	0.00	...	1.98	Short sale closed- call expired Option written: 04/14/21
STIM 02/19/2021 CALL \$22.50 / CUSIP: / Symbol: 02/19/21	1.000	0.00	02/16/21	70.00	...	-70.00	Option expiration- long call
STIM 07/16/2021 CALL \$20.00 / CUSIP: / Symbol: 07/16/21	1.000	0.00	06/24/21	45.00	...	-45.00	Option expiration- long call
TSLA 05/21/2021 CALL \$605.00 / CUSIP: / Symbol: 05/14/21	1.000	1,199.98	05/14/21	1,070.00	...	129.98	Option sale to close-call ²⁵
TSLA 06/04/2021 CALL \$625.00 / CUSIP: / Symbol: 05/17/21	1.000	1,234.98	05/14/21	1,760.00	...	-525.02	Option sale to close-call ²⁵
TSLA 06/11/2021 CALL \$612.50 / CUSIP: / Symbol: 06/04/21	1.000	1,049.98	06/04/21	715.00	...	334.98	Option sale to close-call ²⁵
TSLA 06/25/2021 CALL \$690.00 / CUSIP: / Symbol: 06/24/21	1.000	804.98	06/24/21	720.00	...	84.98	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$735.00 / CUSIP: / Symbol: 08/12/21	1.000	137.98	08/12/21	74.00	...	63.98	Option sale to close-call ²⁵
TSLA 08/20/2021 CALL \$745.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	08/18/21	37.00	...	-37.00	Option expiration- long call
TSLA 08/20/2021 CALL \$760.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	08/16/21	46.00	...	-46.00	Option expiration- long call

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 08/20/2021 CALL \$770.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/16/21	70.00	...	-70.00	Option expiration- long call
TSLA 10/22/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
10/18/21	1.000	134.98	10/18/21	96.00	...	38.98	Option sale to close-call ²⁵
TSLA 10/29/2021 CALL \$1,350.00 / CUSIP: / Symbol:							
10/25/21	1.000	64.98	10/25/21	31.00	...	33.98	Option sale to close-call ²⁵
TSLA 10/29/2021 CALL \$1,775.00 / CUSIP: / Symbol:							
10/28/21	1.000	2.98	10/25/21	41.00	...	-38.02	Option sale to close-call ²⁵
TWTR 01/21/2022 CALL \$95.00 / CUSIP: / Symbol:							
10/29/21	1.000	6.98	08/05/21	128.00	...	-121.02	Option sale to close-call ²⁵
TWTR 07/02/2021 CALL \$69.00 / CUSIP: / Symbol:							
07/02/21	1.000	15.98	06/24/21	93.00	...	-77.02	Option sale to close-call ²⁵
UAL 08/06/2021 CALL \$51.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	07/21/21	83.00	...	-83.00	Option expiration- long call
UWMC 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/19/21	1.000	4.98	03/19/21	0.00	...	4.98	Short sale closed- call expired Option written: 03/17/21
UWMC 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/21/21	1.000	15.98	05/21/21	0.00	...	15.98	Short sale closed- call expired Option written: 04/29/21
WFC 01/21/2022 CALL \$62.50 / CUSIP: / Symbol:							
10/29/21	1.000	21.98	08/12/21	81.00	...	-59.02	Option sale to close-call ²⁵
WFC 03/05/2021 CALL \$33.00 / CUSIP: / Symbol:							
02/16/21	1.000	174.98	01/29/21	74.00	...	100.98	Option sale to close-call ²⁵
WFC 05/21/2021 CALL \$48.00 / CUSIP: / Symbol:							
05/14/21	1.000	25.98	05/14/21	38.00	...	-12.02	Option sale to close-call ²⁵

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(continued)

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WFC 07/16/2021 CALL \$47.50 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/24/21	80.00	...	-80.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	29.000	573.31	01/22/21	83.52	...	489.79	1 of 2 - Sale ²⁵
	31.000	612.85	01/22/21	89.28	...	523.57	2 of 2 - Sale ²⁵
01/27/21	60.000	1,186.16	Various	172.80	...	1,013.36	Total of 2 transactions
03/10/21	20.000	225.00	02/23/21	138.00	...	87.00	Sale ²⁵
Security total:		1,411.16		310.80	...	1,100.36	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
14 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.011	1.01	12/21/20	1.00	...	0.01	1 of 14 - Sale ²⁵
	0.011	1.02	12/22/20	1.00	...	0.02	2 of 14 - Sale ²⁵
	0.011	1.02	12/23/20	1.00	...	0.02	3 of 14 - Sale ²⁵
	0.011	1.02	12/24/20	1.00	...	0.02	4 of 14 - Sale ²⁵
	0.011	1.02	12/28/20	1.00	...	0.02	5 of 14 - Sale ²⁵
	0.011	1.04	12/29/20	1.00	...	0.04	6 of 14 - Sale ²⁵
	0.011	1.02	12/30/20	1.00	...	0.02	7 of 14 - Sale ²⁵
	0.011	1.03	12/31/20	1.00	...	0.03	8 of 14 - Sale ²⁵
	0.011	1.02	01/04/21	1.00	...	0.02	9 of 14 - Sale ²⁵
	0.011	1.02	01/05/21	1.00	...	0.02	10 of 14 - Sale ²⁵
	0.011	1.02	01/06/21	1.00	...	0.02	11 of 14 - Sale ²⁵
	0.056	5.24	01/15/21	5.00	...	0.24	12 of 14 - Sale ²⁵
	0.002	0.19	01/19/21	0.18	...	0.01	13 of 14 - Sale ²⁵
	0.054	5.10	01/19/21	4.82	...	0.28	14 of 14 - Sale ²⁵
01/21/21	0.232	21.77	Various	21.00	...	0.77	Total of 14 transactions
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
15 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.001	2.33	12/21/20	2.00	...	0.33	1 of 15 - Sale ²⁵
	0.001	2.34	12/22/20	2.00	...	0.34	2 of 15 - Sale ²⁵
	0.001	2.32	12/23/20	2.00	...	0.32	3 of 15 - Sale ²⁵
	0.001	2.32	12/24/20	2.00	...	0.32	4 of 15 - Sale ²⁵

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Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
	0.001	2.26	12/28/20	2.00	...	0.26	5 of 15 - Sale ²⁵
	0.001	2.29	12/29/20	2.00	...	0.29	6 of 15 - Sale ²⁵
	0.001	2.32	12/30/20	2.00	...	0.32	7 of 15 - Sale ²⁵
	0.001	2.31	12/31/20	2.00	...	0.31	8 of 15 - Sale ²⁵
	0.001	2.33	01/04/21	2.00	...	0.33	9 of 15 - Sale ²⁵
	0.001	2.32	01/05/21	2.00	...	0.32	10 of 15 - Sale ²⁵
	0.001	2.32	01/06/21	2.00	...	0.32	11 of 15 - Sale ²⁵
	0.001	2.30	01/13/21	2.00	...	0.30	12 of 15 - Sale ²⁵
	0.001	2.31	01/14/21	2.00	...	0.31	13 of 15 - Sale ²⁵
	0.001	2.31	01/15/21	2.00	...	0.31	14 of 15 - Sale ²⁵
	0.001	2.24	01/19/21	2.00	...	0.24	15 of 15 - Sale ²⁵
02/02/21	0.017	34.62	Various	30.00	...	4.62	Total of 15 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
18 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.001	3.06	12/21/20	3.00	...	0.06	1 of 18 - Sale ²⁵
	0.001	3.07	12/22/20	3.00	...	0.07	2 of 18 - Sale ²⁵
	0.001	3.07	12/23/20	3.00	...	0.07	3 of 18 - Sale ²⁵
	0.001	3.09	12/24/20	3.00	...	0.09	4 of 18 - Sale ²⁵
	0.001	2.99	12/28/20	3.00	...	-0.01	5 of 18 - Sale ²⁵
	0.001	2.94	12/29/20	3.00	...	-0.06	6 of 18 - Sale ²⁵
	0.001	2.98	12/30/20	3.00	...	-0.02	7 of 18 - Sale ²⁵
	0.001	3.03	12/31/20	3.00	...	0.03	8 of 18 - Sale ²⁵
	0.001	3.09	01/04/21	3.00	...	0.09	9 of 18 - Sale ²⁵
	0.001	3.07	01/05/21	3.00	...	0.07	10 of 18 - Sale ²⁵
	0.001	3.09	01/06/21	3.00	...	0.09	11 of 18 - Sale ²⁵
	0.002	5.12	01/07/21	5.00	...	0.12	12 of 18 - Sale ²⁵
	0.002	5.20	01/08/21	5.00	...	0.20	13 of 18 - Sale ²⁵
	0.002	5.24	01/11/21	5.00	...	0.24	14 of 18 - Sale ²⁵
	0.016	52.42	01/12/21	50.00	...	2.42	15 of 18 - Sale ²⁵
	0.006	20.86	01/14/21	20.00	...	0.86	16 of 18 - Sale ²⁵
	0.010	31.61	01/15/21	30.00	...	1.61	17 of 18 - Sale ²⁵
	0.010	31.33	01/19/21	30.00	...	1.33	18 of 18 - Sale ²⁵
01/27/21	0.056	185.26	Various	178.00	...	7.26	Total of 18 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
16 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	4.000	76.40	03/12/20	52.36	...	24.04	1 of 16 - Sale ²⁵
	5.000	95.50	03/12/20	67.00	...	28.50	2 of 16 - Sale ²⁵
	1.000	19.10	03/18/20	11.85	...	7.25	3 of 16 - Sale ²⁵
	2.000	38.20	03/18/20	24.00	...	14.20	4 of 16 - Sale ²⁵
	1.000	19.10	03/19/20	10.40	...	8.70	5 of 16 - Sale ²⁵
	1.000	19.10	03/20/20	11.00	...	8.10	6 of 16 - Sale ²⁵
	6.000	114.59	03/31/20	75.00	...	39.59	7 of 16 - Sale ²⁵
	5.000	95.49	04/01/20	57.50	...	37.99	8 of 16 - Sale ²⁵
	5.000	95.50	04/01/20	55.00	...	40.50	9 of 16 - Sale ²⁵
	5.000	90.25	04/03/20	46.00	...	44.25	10 of 16 - Sale ²⁵
	5.000	90.25	04/03/20	45.00	...	45.25	11 of 16 - Sale ²⁵
	5.000	90.25	04/03/20	44.95	...	45.30	12 of 16 - Sale ²⁵
	10.000	180.49	05/04/20	93.50	...	86.99	13 of 16 - Sale ²⁵
	5.000	90.25	05/05/20	49.50	...	40.75	14 of 16 - Sale ²⁵
	15.000	270.73	05/07/20	142.50	...	128.23	15 of 16 - Sale ²⁵
	10.000	180.49	05/13/20	91.90	...	88.59	16 of 16 - Sale ²⁵
01/28/21	85.000	1,565.69	Various	877.46	...	688.23	Total of 16 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/04/21	10.000	1,468.19	02/23/21	1,215.00	...	253.19	Sale ²⁵
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
01/26/21	20.000	482.00	01/19/21	328.00	...	154.00	Sale ²⁵
02/02/21	20.000	534.38	02/01/21	428.00	...	106.38	Sale ²⁵
	Security total:	1,016.38		756.00	...	260.38	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
01/11/21	5.000	165.50	01/08/21	162.50	...	3.00	Sale ²⁵
CDW CORPORATION COMMON STOCK / CUSIP: 12514G108 / Symbol:							
10/26/21	1.000	190.84	10/18/21	185.82	...	5.02	Sale ²⁵

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CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
11 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	0.015	1.09	12/21/20	1.00	...	0.09	1 of 11 - Sale ²⁵
	0.015	1.11	12/22/20	1.00	...	0.11	2 of 11 - Sale ²⁵
	0.015	1.10	12/23/20	1.00	...	0.10	3 of 11 - Sale ²⁵
	0.015	1.11	12/24/20	1.00	...	0.11	4 of 11 - Sale ²⁵
	0.015	1.11	12/28/20	1.00	...	0.11	5 of 11 - Sale ²⁵
	0.015	1.10	12/29/20	1.00	...	0.10	6 of 11 - Sale ²⁵
	0.015	1.11	12/30/20	1.00	...	0.11	7 of 11 - Sale ²⁵
	0.015	1.11	12/31/20	1.00	...	0.11	8 of 11 - Sale ²⁵
	0.014	1.08	01/04/21	1.00	...	0.08	9 of 11 - Sale ²⁵
	0.014	1.06	01/05/21	1.00	...	0.06	10 of 11 - Sale ²⁵
	0.014	1.03	01/06/21	1.00	...	0.03	11 of 11 - Sale ²⁵
01/08/21	0.160	12.01	Various	11.00	...	1.01	Total of 11 transactions
9 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	0.066	4.79	01/15/21	5.00	...	-0.21	1 of 9 - Sale ²⁵
	0.066	4.82	01/19/21	5.00	...	-0.18	2 of 9 - Sale ²⁵
	0.067	4.87	01/20/21	5.00	...	-0.13	3 of 9 - Sale ²⁵
	0.135	9.86	01/21/21	10.00	...	-0.14	4 of 9 - Sale ²⁵
	0.135	9.87	01/22/21	10.00	...	-0.13	5 of 9 - Sale ²⁵
	0.137	10.02	01/25/21	10.00	...	0.02	6 of 9 - Sale ²⁵
	0.136	9.90	01/26/21	10.00	...	-0.10	7 of 9 - Sale ²⁵
	0.137	10.01	01/27/21	10.00	...	0.01	8 of 9 - Sale ²⁵
	1.000	73.00	02/16/21	70.70	...	2.30	9 of 9 - Sale ²⁵
03/12/21	1.879	137.14	Various	135.70	...	1.44	Total of 9 transactions
	Security total:	149.15		146.70	...	2.45	

CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:

6 transactions for 02/18/21. Total proceeds and cost reported to the IRS.

	5.000	112.65	12/07/20	114.75	...	-2.10	1 of 6 - Sale ²⁵
	10.000	225.30	12/09/20	225.00	...	0.30	2 of 6 - Sale ²⁵
	5.000	112.65	12/10/20	113.25	...	-0.60	3 of 6 - Sale ²⁵
	5.000	112.65	12/11/20	106.90	...	5.75	4 of 6 - Sale ²⁵
	6.000	135.18	12/15/20	121.20	...	13.98	5 of 6 - Sale ²⁵

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Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	4.000	90.11	01/08/21	82.00	...	8.11	6 of 6 - Sale ²⁵
02/18/21	35.000	788.54	Various	763.10	...	25.44	Total of 6 transactions
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	2.000	45.06	12/04/20	46.80	1.74 W	0.00	1 of 3 - Sale ²⁵
	8.000	180.24	12/04/20	187.20	6.96 W	0.00	2 of 3 - Sale ²⁵
	5.000	112.65	12/07/20	114.95	1.84 W	-0.46	3 of 3 - Sale ²⁵
02/18/21	15.000	337.95	Various	348.95	10.54 W	-0.46	Total of 3 transactions
	Security total:	1,126.49		1,112.05	10.54 W	24.98	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
16 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	20.000	420.00	01/12/21	293.00	...	127.00	1 of 16 - Sale ²⁵
	5.000	105.00	01/13/21	69.50	...	35.50	2 of 16 - Sale ²⁵
	5.000	105.00	01/14/21	68.95	...	36.05	3 of 16 - Sale ²⁵
	15.000	314.99	01/14/21	206.40	...	108.59	4 of 16 - Sale ²⁵
	4.000	84.00	01/15/21	53.48	...	30.52	5 of 16 - Sale ²⁵
	5.000	105.00	01/15/21	68.50	...	36.50	6 of 16 - Sale ²⁵
	5.000	105.00	01/15/21	67.00	...	38.00	7 of 16 - Sale ²⁵
	5.000	105.00	01/19/21	67.25	...	37.75	8 of 16 - Sale ²⁵
	16.000	335.99	01/20/21	220.00	...	115.99	9 of 16 - Sale ²⁵
	2.000	42.00	01/21/21	26.70	...	15.30	10 of 16 - Sale ²⁵
	8.000	168.00	01/21/21	106.80	...	61.20	11 of 16 - Sale ²⁵
	10.000	210.00	02/04/21	125.00	...	85.00	12 of 16 - Sale ²⁵
	10.000	210.00	02/05/21	116.00	...	94.00	13 of 16 - Sale ²⁵
	10.000	210.00	02/17/21	114.00	...	96.00	14 of 16 - Sale ²⁵
	20.000	419.99	03/03/21	165.00	...	254.99	15 of 16 - Sale ²⁵
	10.000	209.99	03/04/21	76.40	...	133.59	16 of 16 - Sale ²⁵
06/09/21	150.000	3,149.96	Various	1,843.98	...	1,305.98	Total of 16 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	15.000	660.14	05/06/20	313.50	...	346.64	1 of 2 - Sale ²⁵
	10.000	440.10	05/13/20	199.90	...	240.20	2 of 2 - Sale ²⁵
04/20/21	25.000	1,100.24	Various	513.40	...	586.84	Total of 2 transactions

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Robinhood Securities LLC

Account 120129812

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	15.000	1,393.42	06/12/20	785.70	...	607.72	1 of 2 - Sale ²⁵
	5.000	464.48	06/30/20	244.50	...	219.98	2 of 2 - Sale ²⁵
02/23/21	20.000	1,857.90	Various	1,030.20	...	827.70	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	10.000	71.00	12/24/20	69.00	...	2.00	1 of 3 - Sale ²⁵
	10.000	71.00	12/30/20	66.50	...	4.50	2 of 3 - Sale ²⁵
	5.000	35.50	12/31/20	31.00	...	4.50	3 of 3 - Sale ²⁵
01/06/21	25.000	177.50	Various	166.50	...	11.00	Total of 3 transactions
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.029	6.27	12/21/20	5.00	...	1.27	1 of 3 - Sale ²⁵
	0.029	6.12	12/28/20	5.00	...	1.12	2 of 3 - Sale ²⁵
	0.029	6.18	01/04/21	5.00	...	1.18	3 of 3 - Sale ²⁵
01/14/21	0.086	18.57	Various	15.00	...	3.57	Total of 3 transactions
ENOVA INTERNATIONAL, INC. / CUSIP: 29357K103 / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	26.80	01/13/21	24.90	...	1.90	1 of 2 - Sale ²⁵
	9.000	238.50	01/13/21	224.10	...	14.40	2 of 2 - Sale ²⁵
01/14/21	10.000	265.30	Various	249.00	...	16.30	Total of 2 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
11 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.005	1.07	12/21/20	1.00	...	0.07	1 of 11 - Sale ²⁵
	0.005	1.05	12/22/20	1.00	...	0.05	2 of 11 - Sale ²⁵
	0.005	1.07	12/23/20	1.00	...	0.07	3 of 11 - Sale ²⁵
	0.005	1.08	12/24/20	1.00	...	0.08	4 of 11 - Sale ²⁵
	0.006	1.13	12/28/20	1.00	...	0.13	5 of 11 - Sale ²⁵
	0.006	1.16	12/29/20	1.00	...	0.16	6 of 11 - Sale ²⁵
	0.005	1.12	12/30/20	1.00	...	0.12	7 of 11 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
	0.006	1.15	12/31/20	1.00	...	0.15	8 of 11 - Sale ²⁵
	0.006	1.19	01/04/21	1.00	...	0.19	9 of 11 - Sale ²⁵
	0.006	1.17	01/05/21	1.00	...	0.17	10 of 11 - Sale ²⁵
	0.006	1.21	01/06/21	1.00	...	0.21	11 of 11 - Sale ²⁵
01/13/21	0.061	12.40	Various	11.00	...	1.40	Total of 11 transactions
THE EXONE COMPANY COMMON STOCK / CUSIP: 302104104 / Symbol:							
01/08/21	10.000	130.10	01/05/21	97.00	...	33.10	Sale ²⁵
01/27/21	15.000	367.05	01/15/21	217.50	...	149.55	Sale ²⁵
	2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	10.000	269.18	09/03/21	247.90	...	21.28	1 of 2 - Merger
	5.000	134.59	10/15/21	110.35	...	24.24	2 of 2 - Merger
11/12/21	15.000	403.77	Various	358.25	...	45.52	Total of 2 transactions
	Security total:	900.92		672.75	...	228.17	
FINSERV ACQUISITION CORP. CLAS S A COMMON STOCK / CUSIP: 318085107 / Symbol:							
01/11/21	10.000	152.50	01/07/21	147.00	...	5.50	Sale ²⁵
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
	4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	5.000	100.24	01/15/21	79.00	...	21.24	1 of 4 - Sale ²⁵
	5.000	100.25	01/15/21	78.50	...	21.75	2 of 4 - Sale ²⁵
	5.000	100.25	01/15/21	78.25	...	22.00	3 of 4 - Sale ²⁵
	20.000	400.99	01/15/21	327.00	...	73.99	4 of 4 - Sale ²⁵
01/27/21	35.000	701.73	Various	562.75	...	138.98	Total of 4 transactions
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
01/27/21	10.000	173.00	01/27/21	179.00	6.00 W	0.00	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
02/12/21	20.000	371.00	02/11/21	316.60	...	54.40	Sale ²⁵

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ICLICK INTERACTIVE ASIA GROUP LIMITED AMERICAN DEPOSITARY SH ARES / CUSIP: 45113Y104 / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	0.366	5.67	12/21/20	3.00	...	2.67	1 of 3 - Sale ²⁵
	0.367	5.69	12/28/20	3.00	...	2.69	2 of 3 - Sale ²⁵
	0.267	4.14	01/04/21	2.16	...	1.98	3 of 3 - Sale ²⁵
03/09/21	1.000	15.50	Various	8.16	...	7.34	Total of 3 transactions
INFINERA CORPORATION COMMON STOCK / CUSIP: 45667G103 / Symbol:							
15 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.092	1.02	12/21/20	1.00	...	0.02	1 of 15 - Sale ²⁵
	0.090	1.00	12/23/20	1.00	...	0.00	2 of 15 - Sale ²⁵
	0.091	1.00	12/24/20	1.00	...	0.00	3 of 15 - Sale ²⁵
	0.091	1.00	12/28/20	1.00	...	0.00	4 of 15 - Sale ²⁵
	0.095	1.04	12/29/20	1.00	...	0.04	5 of 15 - Sale ²⁵
	0.093	1.02	12/30/20	1.00	...	0.02	6 of 15 - Sale ²⁵
	0.095	1.04	12/31/20	1.00	...	0.04	7 of 15 - Sale ²⁵
	0.097	1.07	01/04/21	1.00	...	0.07	8 of 15 - Sale ²⁵
	0.095	1.05	01/05/21	1.00	...	0.05	9 of 15 - Sale ²⁵
	0.103	1.14	01/06/21	1.00	...	0.14	10 of 15 - Sale ²⁵
	0.207	2.28	01/07/21	2.00	...	0.28	11 of 15 - Sale ²⁵
	0.217	2.39	01/08/21	2.00	...	0.39	12 of 15 - Sale ²⁵
	0.211	2.32	01/11/21	2.00	...	0.32	13 of 15 - Sale ²⁵
	0.207	2.28	01/12/21	2.00	...	0.28	14 of 15 - Sale ²⁵
	0.127	1.40	01/13/21	1.19	...	0.21	15 of 15 - Sale ²⁵
02/17/21	1.911	21.05	Various	19.19	...	1.86	Total of 15 transactions
02/17/21	0.089	0.97	12/22/20	1.00	0.03 W	0.00	Sale ²⁵
5 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	0.086	0.88	01/13/21	0.81	...	0.07	1 of 5 - Sale ²⁵
	0.207	2.13	01/14/21	2.00	...	0.13	2 of 5 - Sale ²⁵
	0.205	2.11	01/15/21	2.00	...	0.11	3 of 5 - Sale ²⁵
	0.089	0.91	01/19/21	0.90	...	0.01	4 of 5 - Sale ²⁵
	0.114	1.18	01/19/21	1.13	...	0.05	5 of 5 - Sale ²⁵
02/22/21	0.701	7.21	Various	6.84	...	0.37	Total of 5 transactions
	Security total:	29.23		27.03	0.03 W	2.23	

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
01/28/21	100.000	304.99	01/27/21	285.00	...	19.99	Sale ²⁵
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	4.000	34.84	01/13/21	33.52	...	1.32	1 of 4 - Sale ²⁵
	26.000	226.48	01/13/21	217.88	...	8.60	2 of 4 - Sale ²⁵
	5.000	43.35	01/15/21	39.25	...	4.10	3 of 4 - Sale ²⁵
	10.000	87.11	01/15/21	79.00	...	8.11	4 of 4 - Sale ²⁵
01/27/21	45.000	391.78	Various	369.65	...	22.13	Total of 4 transactions
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
02/16/21	10.000	579.98	12/07/20	468.00	...	111.98	Sale ²⁵
03/03/21	5.000	310.50	01/27/21	228.25	...	82.25	Sale ²⁵
	Security total:	890.48		696.25	...	194.23	
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	46.01	12/04/20	42.35	...	3.66	1 of 4 - Sale ²⁵
	7.000	322.06	12/04/20	296.45	...	25.61	2 of 4 - Sale ²⁵
	5.000	230.04	12/17/20	204.50	...	25.54	3 of 4 - Sale ²⁵
	2.000	92.02	12/18/20	80.10	...	11.92	4 of 4 - Sale ²⁵
01/14/21	15.000	690.13	Various	623.40	...	66.73	Total of 4 transactions
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	44.25	12/18/20	40.05	...	4.20	1 of 2 - Sale ²⁵
	5.000	221.25	12/21/20	192.00	...	29.25	2 of 2 - Sale ²⁵
01/27/21	6.000	265.50	Various	232.05	...	33.45	Total of 2 transactions
	Security total:	955.63		855.45	...	100.18	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
04/15/21	5.000	1,295.49	05/13/20	890.00	...	405.49	Sale ²⁵

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NEURONETICS, INC. COMMON STOCK / CUSIP: 64131A105 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	10.000	187.39	02/01/21	164.00	...	23.39	1 of 2 - Sale ²⁵
	5.000	93.70	02/03/21	77.50	...	16.20	2 of 2 - Sale ²⁵
02/16/21	15.000	281.09	Various	241.50	...	39.59	Total of 2 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
3 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	2.000	37.00	12/02/20	36.90	...	0.10	1 of 3 - Sale ²⁵
	3.000	55.50	12/02/20	54.90	...	0.60	2 of 3 - Sale ²⁵
	5.000	92.50	12/02/20	90.00	...	2.50	3 of 3 - Sale ²⁵
01/12/21	10.000	185.00	Various	181.80	...	3.20	Total of 3 transactions
01/12/21	10.000	185.00	11/17/20	215.00	30.00 W	0.00	Sale ²⁵
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	5.000	110.05	12/10/20	89.00	...	21.05	1 of 2 - Sale ²⁵
	10.000	220.10	12/11/20	178.00	...	42.10	2 of 2 - Sale ²⁵
01/13/21	15.000	330.15	Various	267.00	...	63.15	Total of 2 transactions
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	5.000	105.05	12/14/20	99.50	...	5.55	1 of 3 - Sale ²⁵
	5.000	105.05	12/21/20	97.50	...	7.55	2 of 3 - Sale ²⁵
	4.000	84.04	12/28/20	55.40	...	28.64	3 of 3 - Sale ²⁵
01/14/21	14.000	294.14	Various	252.40	...	41.74	Total of 3 transactions
	Security total:	994.29		916.20	30.00 W	108.09	
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
01/13/21	10.000	223.00	12/18/20	189.90	...	33.10	Sale ²⁵
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	5.000	113.75	12/21/20	86.50	...	27.25	1 of 3 - Sale ²⁵
	1.000	22.75	12/22/20	17.35	...	5.40	2 of 3 - Sale ²⁵
	3.000	68.25	12/22/20	52.05	...	16.20	3 of 3 - Sale ²⁵
01/15/21	9.000	204.75	Various	155.90	...	48.85	Total of 3 transactions
01/26/21	1.000	20.41	12/22/20	17.35	...	3.06	Sale ²⁵

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OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: (cont'd)							
2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	10.000	238.20	02/03/21	221.00	...	17.20	1 of 2 - Sale ²⁵
	2.000	47.64	02/04/21	44.20	...	3.44	2 of 2 - Sale ²⁵
05/13/21	12.000	285.84	Various	265.20	...	20.64	Total of 2 transactions
	Security total:	734.00		628.35	...	105.65	
PPL CORPORATION / CUSIP: 69351T106 / Symbol:							
11 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	0.075	2.08	12/21/20	2.00	...	0.08	1 of 11 - Sale ²⁵
	0.076	2.10	12/22/20	2.00	...	0.10	2 of 11 - Sale ²⁵
	0.075	2.06	12/23/20	2.00	...	0.06	3 of 11 - Sale ²⁵
	0.075	2.06	12/24/20	2.00	...	0.06	4 of 11 - Sale ²⁵
	0.074	2.04	12/28/20	2.00	...	0.04	5 of 11 - Sale ²⁵
	0.073	2.02	12/29/20	2.00	...	0.02	6 of 11 - Sale ²⁵
	0.073	2.01	12/30/20	2.00	...	0.01	7 of 11 - Sale ²⁵
	0.072	1.98	12/31/20	2.00	...	-0.02	8 of 11 - Sale ²⁵
	0.072	2.00	01/04/21	2.00	...	0.00	9 of 11 - Sale ²⁵
	0.072	2.00	01/05/21	2.00	...	0.00	10 of 11 - Sale ²⁵
	0.071	1.96	01/06/21	2.00	...	-0.04	11 of 11 - Sale ²⁵
02/25/21	0.809	22.31	Various	22.00	...	0.31	Total of 11 transactions
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	50.000	153.49	01/14/21	143.50	...	9.99	1 of 3 - Sale ²⁵
	1.000	3.07	02/01/21	2.60	...	0.47	2 of 3 - Sale ²⁵
	19.000	58.33	02/01/21	49.40	...	8.93	3 of 3 - Sale ²⁵
02/16/21	70.000	214.89	Various	195.50	...	19.39	Total of 3 transactions
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	100.000	314.99	01/25/21	179.00	...	135.99	1 of 4 - Sale ²⁵
	20.000	63.00	01/27/21	34.00	...	29.00	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol: (cont'd)							
	10.000	31.50	01/28/21	16.80	...	14.70	3 of 4 - Sale ²⁵
	20.000	62.99	01/28/21	33.00	...	29.99	4 of 4 - Sale ²⁵
02/17/21	150.000	472.48	Various	262.80	...	209.68	Total of 4 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
01/29/21	70.000	189.00	01/27/21	154.70	...	34.30	Sale ²⁵
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
7 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	1.000	118.04	05/14/21	110.69	...	7.35	1 of 7 - Sale ²⁵
	1.000	118.04	05/14/21	110.69	...	7.35	2 of 7 - Sale ²⁵
	1.000	118.04	05/14/21	110.69	...	7.35	3 of 7 - Sale ²⁵
	1.000	118.04	05/14/21	110.69	...	7.35	4 of 7 - Sale ²⁵
	1.000	118.04	05/14/21	110.69	...	7.35	5 of 7 - Sale ²⁵
	1.000	118.13	05/14/21	110.69	...	7.44	6 of 7 - Sale ²⁵
	2.000	236.08	05/14/21	221.38	...	14.70	7 of 7 - Sale ²⁵
08/04/21	8.000	944.41	Various	885.52	...	58.89	Total of 7 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	100.000	115.99	01/12/21	68.50	...	47.49	1 of 4 - Sale ²⁵
	10.000	11.60	01/21/21	6.56	...	5.04	2 of 4 - Sale ²⁵
	10.000	9.30	01/27/21	5.06	...	4.24	3 of 4 - Sale ²⁵
	90.000	104.39	01/27/21	45.53	...	58.86	4 of 4 - Sale ²⁵
01/28/21	210.000	241.28	Various	125.65	...	115.63	Total of 4 transactions
02/23/21	100.000	112.99	01/29/21	76.00	...	36.99	Sale ²⁵
	Security total:	354.27		201.65	...	152.62	
SYROS PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 87184Q107 / Symbol:							
01/14/21	5.000	70.05	12/31/20	56.45	...	13.60	Sale ²⁵
01/14/21	10.000	140.10	12/24/20	145.00	4.90 W	0.00	Sale ²⁵
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	14.65	12/31/20	11.29	...	3.36	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SYROS PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 87184Q107 / Symbol: (cont'd)							
	3.000	43.95	01/05/21	31.77	...	12.18	2 of 2 - Sale ²⁵
01/15/21	4.000	58.60	Various	43.06	...	15.54	Total of 2 transactions
	Security total:	268.75		244.51	4.90 W	29.14	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
10 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.025	5.83	12/21/20	5.00	...	0.83	1 of 10 - Sale ²⁵
	0.024	5.61	12/22/20	5.00	...	0.61	2 of 10 - Sale ²⁵
	0.024	5.43	12/23/20	5.00	...	0.43	3 of 10 - Sale ²⁵
	0.024	5.60	12/24/20	5.00	...	0.60	4 of 10 - Sale ²⁵
	0.025	5.83	12/28/20	5.00	...	0.83	5 of 10 - Sale ²⁵
	0.025	5.81	12/29/20	5.00	...	0.81	6 of 10 - Sale ²⁵
	0.024	5.62	12/30/20	5.00	...	0.62	7 of 10 - Sale ²⁵
	0.025	5.79	12/31/20	5.00	...	0.79	8 of 10 - Sale ²⁵
	0.025	5.80	01/04/21	5.00	...	0.80	9 of 10 - Sale ²⁵
	0.025	5.78	01/05/21	5.00	...	0.78	10 of 10 - Sale ²⁵
01/13/21	0.248	57.10	Various	50.00	...	7.10	Total of 10 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
34 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	0.008	7.01	12/21/20	5.00	...	2.01	1 of 34 - Sale ²⁵
	0.008	7.44	12/22/20	5.00	...	2.44	2 of 34 - Sale ²⁵
	0.008	7.22	12/23/20	5.00	...	2.22	3 of 34 - Sale ²⁵
	0.008	7.01	12/24/20	5.00	...	2.01	4 of 34 - Sale ²⁵
	0.007	6.96	12/28/20	5.00	...	1.96	5 of 34 - Sale ²⁵
	0.008	7.00	12/29/20	5.00	...	2.00	6 of 34 - Sale ²⁵
	0.007	6.77	12/30/20	5.00	...	1.77	7 of 34 - Sale ²⁵
	0.007	6.49	12/31/20	5.00	...	1.49	8 of 34 - Sale ²⁵
	0.007	6.35	01/04/21	5.00	...	1.35	9 of 34 - Sale ²⁵
	0.007	6.29	01/05/21	5.00	...	1.29	10 of 34 - Sale ²⁵
	0.006	6.04	01/06/21	5.00	...	1.04	11 of 34 - Sale ²⁵
	0.012	11.57	01/07/21	10.00	...	1.57	12 of 34 - Sale ²⁵
	0.012	10.85	01/08/21	10.00	...	0.85	13 of 34 - Sale ²⁵
	0.012	11.21	01/11/21	10.00	...	1.21	14 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.012	10.83	01/12/21	10.00	...	0.83	15 of 34 - Sale ²⁵
	0.012	11.09	01/13/21	10.00	...	1.09	16 of 34 - Sale ²⁵
	0.012	11.08	01/15/21	10.00	...	1.08	17 of 34 - Sale ²⁵
	0.012	11.08	01/19/21	10.00	...	1.08	18 of 34 - Sale ²⁵
	0.029	26.91	02/23/21	20.00	...	6.91	19 of 34 - Sale ²⁵
	0.308	286.67	02/23/21	208.84	...	77.83	20 of 34 - Sale ²⁵
	0.692	643.16	02/23/21	468.60	...	174.56	21 of 34 - Sale ²⁵
	0.030	27.45	02/26/21	20.00	...	7.45	22 of 34 - Sale ²⁵
	0.028	26.19	03/01/21	20.00	...	6.19	23 of 34 - Sale ²⁵
	0.029	26.57	03/02/21	20.00	...	6.57	24 of 34 - Sale ²⁵
	0.029	27.35	03/03/21	20.00	...	7.35	25 of 34 - Sale ²⁵
	0.047	43.47	03/04/21	28.78	...	14.69	26 of 34 - Sale ²⁵
	0.081	75.65	03/04/21	50.00	...	25.65	27 of 34 - Sale ²⁵
	0.116	107.60	03/04/21	71.22	...	36.38	28 of 34 - Sale ²⁵
	0.084	77.77	03/05/21	50.00	...	27.77	29 of 34 - Sale ²⁵
	0.168	156.39	03/05/21	100.00	...	56.39	30 of 34 - Sale ²⁵
	0.086	79.94	03/08/21	50.00	...	29.94	31 of 34 - Sale ²⁵
	0.074	68.88	03/09/21	50.00	...	18.88	32 of 34 - Sale ²⁵
	0.161	149.70	05/11/21	100.00	...	49.70	33 of 34 - Sale ²⁵
	0.347	322.57	05/14/21	200.00	...	122.57	34 of 34 - Sale ²⁵
12/15/21	2.472	2,298.56	Various	1,602.44	...	696.12	Total of 34 transactions
TRIVAGO N.V. AMERICAN DEPOSITA RY SHARES / CUSIP: 89686D105 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	100.000	400.99	02/03/21	250.00	...	150.99	1 of 2 - Sale ²⁵
	50.000	200.50	02/04/21	116.00	...	84.50	2 of 2 - Sale ²⁵
02/16/21	150.000	601.49	Various	366.00	...	235.49	Total of 2 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
02/09/21	10.000	630.98	05/27/20	333.00	...	297.98	Sale ²⁵
2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	10.000	432.49	05/27/20	333.00	...	99.49	1 of 2 - Sale ²⁵
	5.000	216.25	06/12/20	160.00	...	56.25	2 of 2 - Sale ²⁵
05/12/21	15.000	648.74	Various	493.00	...	155.74	Total of 2 transactions

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Robinhood Securities LLC

Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
Security total:		1,279.72		826.00	...		453.72
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
01/14/21	8.000	290.00	12/08/20	249.60	...		40.40 Sale ²⁵
02/19/21	2.000	80.16	12/08/20	62.40	...		17.76 Sale ²⁵
Security total:		370.16		312.00	...		58.16
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	30.000	117.00	01/14/21	97.50	...		19.50 1 of 2 - Sale ²⁵
	10.000	39.00	01/19/21	29.90	...		9.10 2 of 2 - Sale ²⁵
02/01/21	40.000	156.00	Various	127.40	...		28.60 Total of 2 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
02/18/21	30.000	1,097.97	11/20/20	774.00	...		323.97 Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	10.000	29.00	01/25/21	19.50	...		9.50 1 of 3 - Sale ²⁵
	100.000	266.10	01/25/21	214.00	...		52.10 2 of 3 - Sale ²⁵
	50.000	144.99	01/27/21	90.00	...		54.99 3 of 3 - Sale ²⁵
01/28/21	160.000	440.09	Various	323.50	...		116.59 Total of 3 transactions
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	75.36	05/29/20	46.72	...		28.64 1 of 2 - Sale ²⁵
	4.000	301.44	05/29/20	186.88	...		114.56 2 of 2 - Sale ²⁵
02/04/21	5.000	376.80	Various	233.60	...		143.20 Total of 2 transactions
02/11/21	5.000	427.50	05/29/20	233.60	...		193.90 Sale ²⁵
6 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	2.000	158.67	05/29/20	77.40	...		81.27 1 of 6 - Sale ²⁵
	5.000	396.66	05/29/20	193.00	...		203.66 2 of 6 - Sale ²⁵
	2.000	158.67	06/03/20	78.68	...		79.99 3 of 6 - Sale ²⁵
	10.000	793.33	06/30/20	347.83	...		445.50 4 of 6 - Sale ²⁵

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Account 120129812

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol: (cont'd)							
	5.000	396.66	09/10/20	149.95	...	246.71	5 of 6 - Sale ²⁵
	6.000	476.00	09/14/20	174.90	...	301.10	6 of 6 - Sale ²⁵
02/23/21	30.000	2,379.99	Various	1,021.76	...	1,358.23	Total of 6 transactions
	4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	110.00	09/14/20	29.15	...	80.85	1 of 4 - Sale ²⁵
	5.000	549.99	09/16/20	147.95	...	402.04	2 of 4 - Sale ²⁵
	10.000	1,099.99	09/24/20	245.00	...	854.99	3 of 4 - Sale ²⁵
	4.000	440.00	10/02/20	95.96	...	344.04	4 of 4 - Sale ²⁵
02/25/21	20.000	2,199.98	Various	518.06	...	1,681.92	Total of 4 transactions
	Security total:	5,384.27		2,007.02	...	3,377.25	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
	2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	10.000	251.99	12/16/20	249.90	...	2.09	1 of 2 - Sale ²⁵
	10.000	251.99	01/05/21	234.00	...	17.99	2 of 2 - Sale ²⁵
01/07/21	20.000	503.98	Various	483.90	...	20.08	Total of 2 transactions
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
	3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	30.000	105.00	01/15/21	99.00	...	6.00	1 of 3 - Sale ²⁵
	15.000	52.50	01/20/21	46.50	...	6.00	2 of 3 - Sale ²⁵
	5.000	17.50	01/21/21	13.15	...	4.35	3 of 3 - Sale ²⁵
01/29/21	50.000	175.00	Various	158.65	...	16.35	Total of 3 transactions
	2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	5.000	19.10	01/21/21	13.15	...	5.95	1 of 2 - Sale ²⁵
	15.000	57.30	01/25/21	41.25	...	16.05	2 of 2 - Sale ²⁵
02/16/21	20.000	76.40	Various	54.40	...	22.00	Total of 2 transactions
	Security total:	251.40		213.05	...	38.35	
Totals :		49,589.28		38,150.09	51.47 W	11,490.66	

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Robinhood Securities LLC

Account 120129812

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol: 11/19/21	0.124	1.07	09/03/21	0.50	...	0.57	Gain or loss
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol: 09/14/21	0.333	0.87	01/28/21	2.44	...	-1.57	Gain or loss
Totals:		1.94		2.94	...	-1.00	

Robinhood Securities LLC

Account 120129812

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/13/21	2.20	Qualified dividend	
BP P.L.C.	055622104		03/26/21	12.60	Qualified dividend	
			06/18/21	12.60	Qualified dividend	
			09/24/21	13.10	Qualified dividend	
			12/17/21	13.10	Qualified dividend	
				51.40	Total Dividends & distributions	
BANK OF AMERICA CORPORATION	060505104		03/26/21	6.30	Qualified dividend	
			06/25/21	6.30	Qualified dividend	
			09/24/21	7.35	Qualified dividend	
			12/31/21	7.35	Qualified dividend	
				27.30	Total Dividends & distributions	
CDW CORPORATION COMMON STOCK	12514G108		12/10/21	2.00	Qualified dividend	
CVS HEALTH CORPORATION	126650100		02/01/21	0.10	Qualified dividend	
DISCOVER FINANCIAL SERVICES	254709108		03/04/21	8.80	Qualified dividend	
			06/03/21	4.40	Qualified dividend	
			09/02/21	5.00	Qualified dividend	
			12/09/21	5.00	Qualified dividend	
				23.20	Total Dividends & distributions	
FREEMPORT-MCMORAN INC.	35671D857		05/03/21	3.38	Qualified dividend	
			08/02/21	3.38	Qualified dividend	
			11/01/21	3.38	Qualified dividend	
				10.14	Total Dividends & distributions	

Robinhood Securities LLC

Account 120129812

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HALLIBURTON COMPANY	406216101		03/24/21	0.90	Qualified dividend	
			06/23/21	1.35	Qualified dividend	
			09/22/21	1.58	Qualified dividend	
			12/22/21	1.58	Qualified dividend	
				5.41	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		04/27/21	2.70	Nondividend distribution	03
			07/27/21	3.15	Nondividend distribution	03
			10/26/21	4.50	Nondividend distribution	03
				10.35	Total Dividends & distributions	
MARATHON PETROLEUM CORPORATION	56585A102		03/10/21	5.80	Qualified dividend	
			06/10/21	5.80	Qualified dividend	
			09/10/21	5.80	Qualified dividend	
			12/10/21	5.80	Qualified dividend	
				23.20	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	2.80	Qualified dividend	
MORGAN STANLEY	617446448		02/12/21	9.45	Qualified dividend	
			05/14/21	9.45	Qualified dividend	
			08/13/21	18.90	Qualified dividend	
			11/15/21	18.90	Qualified dividend	
				56.70	Total Dividends & distributions	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		04/15/21	0.12	Qualified dividend	
			07/15/21	0.10	Qualified dividend	
			10/15/21	0.15	Qualified dividend	
				0.37	Total Dividends & distributions	
OVINTIV INC.	69047Q102		09/30/21	2.10	Qualified dividend	
			12/31/21	2.10	Qualified dividend	
				4.20	Total Dividends & distributions	
REGIONS FINANCIAL CORP.	7591EP100		04/01/21	3.57	Qualified dividend	
			07/01/21	3.57	Qualified dividend	
			10/01/21	3.91	Qualified dividend	
				11.05	Total Dividends & distributions	

Robinhood Securities LLC

Account 120129812

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SCHLUMBERGER LIMITED	806857108		04/08/21	3.13	Qualified dividend	
			07/08/21	3.13	Qualified dividend	
			10/07/21	3.13	Qualified dividend	
				9.39	Total Dividends & distributions	
UWM HOLDINGS CORPORATION	91823B109		04/06/21	10.00	Qualified dividend	
			07/06/21	10.00	Qualified dividend	
			10/06/21	10.00	Qualified dividend	
				30.00	Total Dividends & distributions	
WELLS FARGO & CO.	949746101		03/01/21	3.00	Qualified dividend	
			06/01/21	1.50	Qualified dividend	
			09/01/21	3.00	Qualified dividend	
			12/01/21	3.00	Qualified dividend	
				10.50	Total Dividends & distributions	
	280.31	Total Dividends & distributions				

Robinhood Securities LLC

Account 120129812

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/25/21	0.25	Interest	
		01/29/21	0.12	Interest	
		02/23/21	0.57	Interest	
		02/26/21	0.10	Interest	
		03/08/21	0.10	Interest	
		03/31/21	0.11	Interest	
		04/30/21	0.27	Interest	
		05/28/21	0.62	Interest	
		06/30/21	0.52	Interest	
		07/30/21	0.26	Interest	
			2.92	Total Interest	
Interest payment		08/31/21	0.91	Interest	
		09/30/21	0.34	Interest	
		10/26/21	0.07	Interest	
		10/29/21	0.05	Interest	
		11/30/21	0.10	Interest	
		12/31/21	0.23	Interest	
			1.70	Total Interest	
			4.62	Total Interest	

Robinhood Securities LLC

Account 120129812

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	BP P.L.C.	055622104	0.00	51.40		0.00	51.40	0.00
Total UNITED KINGDOM			0.00	51.40		0.00	51.40	0.00
Grand Total	Total Foreign Source Income*			51.40				
	Qualified Foreign Source Income**			51.40				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 120129812

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-40.00	0.00	Exchange	
DESKTOP METAL, INC.	25058X105	11/12/21	32.00	0.00	Merger	
THE EXONE COMPANY COMMON STOCK	302104104	11/12/21	-15.00	0.00	Merger	
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/21/21	-30.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	40.00	0.00	Exchange	
UWM HOLDINGS CORPORATION	91823B109	01/21/21	30.00	0.00	Exchange	
NABORS INDUSTRIES LTD WARRANT 06/11/2026	G6359F145	06/11/21	2.00	0.00	Stock spinoff	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient**Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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