

FIDELITY BROKERAGE SERVICES LLC P.O. Box 28019 Albuquerque, NM 87125-8019

# **2021 TAX REPORTING STATEMENT**

SUDHEER PEDDINENI KALAVA

Account No. X94-872837 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-7237 Payer's Fed ID Number: 04-3523567

eDelivered

SUDHEER PEDDINENI KALAVA 2767 TRIBUNE AVE HAYWARD CA 94542-1634 Payer's Name and Address: NATIONAL FINANCIAL SERVICES LLC 499 WASHINGTON BLVD JERSEY CITY, NJ 07310

Form 1099-DIV *	2021 Dividends and	d Distributions	Copy B for Recipien (OMB No. 1545-0110
1a Total Ordinary Dividends		7 Foreign Tax Paid	
4 Federal Income Tax Withheld	0.00	14 State Identification No	

Form 1099-INT *	2021 Interest	Income	Copy B for Recipient (OMB No. 1545-0112)
1 Interest Income	3.31	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
Specified Private Activity Bond Interest	0.00		

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JDHEER PEDDINENI KAI AVA

Account No. X94-872837 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-7237 Payer's Fed ID Number: 04-3523567

Form 1099-MISC *	2021 Miscellaneou	S Information Copy B for Recipient (OMB No. 1545-0115)
2 Royalties	0.00	
3 Other Income	0.00	15 State Tax Withheld
4 Federal Income Tax Withheld	0.00	16 State/Payer's State No.
8 Substitute Payments in Lieu of Dividends or Interest	0.00	17 State Income

Summary of 2021 Proceeds From Broker and Barter Exchange Transactions								
1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld		
Short-term transactions for which basis is reported to the IRS	171,745.71	119,795.43	0.00	0.00	51,950.28	0.00		
Short-term transactions for which basis is not reported to the IRS	991,893.81	542,542.00	0.00	11,769.77	449,351.81	0.00		
Long-term transactions for which basis is reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00		
Long-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00		
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00		
	1,163,639.52	662,337.43	0.00	11,769.77	501,302.09	0.00		

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2021 Original Issue Discount							
Total Original Issue Discount	Total Original Issue Discount - REMIC0.00						
Total Original Issue Discount on Tax-Exempt Obligations	Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.						

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Account No. X94-872837 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-7237 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as gross proceeds unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AIRBNB INC CO	OM CL A, ABNB, 0	09066101								
Sale	183.000	02/25/21	08/24/21	26,992.36	22,347.17(e)			4,645.19		
Sale	183.000	11/15/21	11/16/21	37,804.86	10,577.40(e)			27,227.46		
Subtotals				64,797.22	32,924.57					
NIO INC SPON	ADS EACH REP 1	ORD SHS	CLAS, NIO, 62914V	106						
Sale	500.000	03/26/21	04/30/21	20,499.89	17,500.00			2,999.89		
OPENDOOR TE	CHNOLOGIES IN	IC COM, OF	PEN, 683712103							
Sale	551.000	03/24/21	09/07/21	10,705.87	12,644.02			-1,938.15		
Sale	29.000	03/24/21	09/07/21	563.47	665.55			-102.08		
Sale	200.000	03/24/21	09/07/21	3,885.98	4,587.00			-701.02		
Subtotals				15,155.32	17,896.57					
PLUG POWER	NC, PLUG, 72919	P202								
Sale	439.000	03/25/21	06/28/21	14,933.51	14,974.29			-40.78		
SPRINKLR INC	CL A, CXM, 85208	3T107								
Sale	316.000	06/23/21	07/13/21	7,109.96	4,613.60			2,496.36		
Sale	684.000	06/23/21	07/13/21	15,424.39	9,986.40			5,437.99		
Sale	1,500.000	06/23/21	07/13/21	33,825.42	21,900.00			11,925.42		
Subtotals				56,359.77	36,500.00					
TOTALS				171,745.71	119,795.43	0.00	0.00		0.00	
			hort-Term Realize					54,732.31		
		Box A S	hort-Term Realize	ed Loss				-2,782.03		

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SUDHEER PEDDINENI KALAVA

Account No. X94-872837 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-7237 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

### 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I Proceeds are reported as gross proceeds unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1	Ib Date Acquired	<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AIRBNB INC C	OM CL A, ABNB, 0	09066101								
Sale	500.000	02/25/21	03/10/21	94,499.51	100,100.00			-5,600.49		
Sale	400.000	02/25/21	05/17/21	54,353.72	80,080.00		11,769.77	-25,726.28		
Sale	100.000	02/25/21	05/17/21	13,584.43	20,020.00			-6,435.57		
Sale	100.000	02/25/21	05/17/21	13,586.93	20,020.00			-6,433.07		
Sale	300.000	02/25/21	05/17/21	40,757.79	60,060.00			-19,302.21		
Sale	393.000	02/25/21	05/17/21	53,388.77	78,678.60			-25,289.83		
Sale	100.000	02/25/21	05/17/21	13,583.93	20,020.00			-6,436.07		
Sale	57.000	05/25/21	05/26/21	7,692.13	0.00			7,692.13		
Sale	426.000	05/25/21	05/26/21	57,488.53	0.00			57,488.53		
Sale	223.000	02/25/21	05/27/21	31,442.84	44,644.60			-13,201.76		
Sale	277.000	02/25/21	05/27/21	39,056.80	55,455.40			-16,398.60		
Sale	317.000	02/25/21	08/24/21	46,757.26	63,463.40			-16,706.14		
Sale	100.000	05/25/21	08/24/21	14,749.92	0.00			14,749.92		
Sale	568.000	05/25/21	08/24/21	89,607.21	0.00			89,607.21		
Sale	55.000	05/25/21	08/24/21	8,676.76	0.00			8,676.76		
Sale	59.000	08/25/21	08/26/21	9,107.84	0.00			9,107.84		
Sale	568.000	08/25/21	08/26/21	87,682.27	0.00			87,682.27		
Sale	250.000	08/25/21	08/30/21	39,249.79	0.00			39,249.79		
Sale	180.000	08/25/21	08/31/21	28,259.85	0.00			28,259.85		
Sale	96.000	08/25/21	11/11/21	18,719.90	0.00			18,719.90		
Sale	54.000	08/25/21	11/11/21	10,529.95	0.00			10,529.95		
Sale	63.000	11/19/21	11/22/21	11,583.55	0.00			11,583.55		
Sale	604.000	11/19/21	11/22/21	111,055.04	0.00			111,055.04		

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### FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I Proceeds are reported as gross proceeds unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	<b>14</b> State <b>16</b> State Tax Withheld
AIRBNB INC CO	M CL A, ABNB, 0	09066101								
Sale	50.000	11/19/21	11/23/21	8,849.95	0.00			8,849.95		
Sale	150.000	11/19/21	11/23/21	26,549.86	0.00			26,549.86		
Sale	140.000	11/19/21	11/23/21	25,079.47	0.00			25,079.47		
Sale	200.000	11/19/21	11/23/21	35,999.81	0.00			35,999.81		
Subtotals				991,893.81	542,542.00		11,769.77			
TOTALS				991,893.81	542,542.00	0.00	11,769.77		0.00	
		Box B S	hort-Term Realize	d Gain				590,881.83		
		Box B S	hort-Term Realize	d Loss				-141,530.02		

For any transaction listed on Form 1099-B in a section indicating that "basis <u>is reported</u> to the IRS", we are reporting to the IRS: 1a Description of Property, 2 type of gain or loss (i.e. short-term or long-term), 3 Proceeds from QOF (Qualified Opportunity Fund), 6 Gross or Net Proceeds, 12 basis reported to IRS, and columns 1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15 and 16. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "basis is not reported to the IRS", we are reporting to the IRS: 1a Description of Property, 3 Proceeds from QOF (Qualified Opportunity Fund), 5 Noncovered security, 6 Gross or Net Proceeds, and columns 1c, 1d, 4, 15 and 16. We are not reporting to the IRS: 2 type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns 1b, 1e, 1f, 1g, 2, 7 and 12 and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: 1a Description of Property and totals for boxes 8, 9, 10 and 11.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

- (b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.
- (e) Your 1099-B reflects shares disposed of that were acquired through your employers stock plan. Cost basis associated with these shares may not have been adjusted for any compensation income that was associated with those shares in the year of acquisition or disposal. Please consult a tax advisor for the rules specific to your grant and plan. Refer to the Supplemental Stock Plan Service Lot Detail section of this form for additional detail. If there is no adjustment to basis, no Supplemental Stock Plan section will be included.

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FORM 1099-B\*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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Sum	Information Not Reported to the IRS		
Margin Interest Paid Tax Exempt Investment Expense Accrued Interest Paid on Purchases Proceeds Investment Expenses Severance Tax Administrative Expenses Non-deductible Generic Expenses		Currency Realized Gain/Loss (USD) Actual Payment Shortfall Addition to Basis Account Fees Short Dividends Money Market Realized Gain/Loss Short/Long Term Realized Gain/Loss	
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS)	0.00

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Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-INT Transactions**

### **Interest Income Details, Taxable Obligations**

Description, Syr	Description, Symbol, CUSIP									
Date	1 Interest Income	<b>6</b> Foreign Tax Paid	11 Bond Premium	Noncovered Bond Premium	<b>10</b> Market Discount	Noncovered Market Discount				
CASH, FCASH,	315994103									
03/31/21	0.34									
04/30/21	0.10									
05/28/21	0.50									
06/30/21	1.60									
07/30/21	0.43									
08/31/21	0.23									
09/30/21	0.09									
11/30/21	0.02									
Subtotals	3.31									
TOTALS	3.31	0.00	0.00	0.00	0.00	0.00				



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Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Detail Information**

## **Supplemental Stock Plan Lot Detail**

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

#### **Short-Term Transactions**

Description of Prop		Data of	Date Sold	Dragon-l-	Ordinary Income	Adjusted Cost ==	Wooh Colo Lasa	Adjusted Coin/Lass
Grant Type (w)	Quantity	Date of Acquisition (x)	or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
AIRBNB INC COM	CL A, ABNB, 00906610	1						
	500.000	02/25/21	03/10/21	94,499.51	0.00	100,100.00	0.00	-5,600.49
	400.000	02/25/21	05/17/21	54,353.72	0.00	80,080.00	11,769.77	-25,726.28
	100.000	02/25/21	05/17/21	13,584.43	0.00	20,020.00	0.00	-6,435.57
	100.000	02/25/21	05/17/21	13,586.93	0.00	20,020.00	0.00	-6,433.07
	300.000	02/25/21	05/17/21	40,757.79	0.00	60,060.00	0.00	-19,302.21
	393.000	02/25/21	05/17/21	53,388.77	0.00	78,678.60	0.00	-25,289.83
	100.000	02/25/21	05/17/21	13,583.93	0.00	20,020.00	0.00	-6,436.07
RSU	57.000	05/25/21	05/26/21	7,692.13	7,746.87	7,746.87	0.00	-54.74
RSU	426.000	05/25/21	05/26/21	57,488.53	57,897.66	57,897.66	0.00	-409.13
	223.000	02/25/21	05/27/21	31,442.84	0.00	44,644.60	0.00	-13,201.76
	277.000	02/25/21	05/27/21	39,056.80	0.00	55,455.40	0.00	-16,398.60
	317.000	02/25/21	08/24/21	46,757.26	0.00	63,463.40	0.00	-16,706.14
QSP	183.000	02/25/21	08/24/21	26,992.36	15,262.20	37,609.37	0.00	-10,617.01
RSU	100.000	05/25/21	08/24/21	14,749.92	13,591.00	13,591.00	0.00	1,158.92
RSU	568.000	05/25/21	08/24/21	89,607.21	77,196.88	77,196.88	0.00	12,410.33
RSU	55.000	05/25/21	08/24/21	8,676.76	7,475.05	7,475.05	0.00	1,201.71
RSU	59.000	08/25/21	08/26/21	9,107.84	9,523.78	9,523.78	0.00	-415.94
RSU	568.000	08/25/21	08/26/21	87,682.27	91,686.56	91,686.56	0.00	-4,004.29
RSU	250.000	08/25/21	08/30/21	39,249.79	40,355.00	40,355.00	0.00	-1,105.21
RSU	180.000	08/25/21	08/31/21	28,259.85	29,055.60	29,055.60	0.00	-795.75
RSU	96.000	08/25/21	11/11/21	18,719.90	15,496.32	15,496.32	0.00	3,223.58
RSU	54.000	08/25/21	11/11/21	10,529.95	8,716.68	8,716.68	0.00	1,813.27
QSP	183.000	11/15/21	11/16/21	37,804.86	27,342.03	37,919.43	0.00	-114.57
RSU	63.000	11/19/21	11/22/21	11,583.55	12,872.79	12,872.79	0.00	-1,289.24
RSU	604.000	11/19/21	11/22/21	111,055.04	123,415.32	123,415.32	0.00	-12,360.28
RSU	50.000	11/19/21	11/23/21	8,849.95	10,216.50	10,216.50	0.00	-1,366.55
RSU	150.000	11/19/21	11/23/21	26,549.86	30,649.50	30,649.50	0.00	-4,099.64

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X94-872837 Customer Service:

Recipient ID No. \*\*\*-\*\*-7237 Payer's Fed ID Number: 04-3523567

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### **Detail Information**

## **Supplemental Stock Plan Lot Detail**

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

#### **Short-Term Transactions**

Grant Type (w)	erty, Stock or Other Sym Quantity	Date of Acquisition (x)	Date Sold or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
AIRBNB INC COM	CL A, ABNB, 00906610	1						
RSU	140.000	11/19/21	11/23/21	25,079.47	28,606.20	28,606.20	0.00	-3,526.73
RSU	200.000	11/19/21	11/23/21	35,999.81	40,866.00	40,866.00	0.00	-4,866.19
		Totals		1,056,691.03		1,223,438.51		

19,807.81 **Short-Term Adjusted Realized Gain Short-Term Adjusted Realized Loss** -186,555.29

11,769.77 Wash Sale Loss Disallowed

(w) Grant Type describes the equity award source of the lot you sold this tax year. Please see the Grant Type table for additional description.

Crant Tuna	Carrier Amond Type	A amujaitian data rangaanta:
Grant Type	Equity Award Type	Acquisition date represents:
DO	Deposit Only Shares	Deposit Date
NQSOP	Non Qualified Stock Option Shares	Exercise Date
NQSP	Non Qualified ESPP Shares	Purchase Date
NSR	Non-Incentive SAR (NSR)	Exercise Date
QSOP	Qualified Stock Option Shares	Exercise Date
QSP	Qualified ESPP Shares	Purchase Date
RSA	Restricted Awards	Vesting Date
RSU	Restricted Units	Distribution Date
RSU	Performance Units	Distribution Date
RSU	Total Shareholder Return Units	Distribution Date
SAR	Stock Appreciation Rights	Exercise Date

- Date of Acquisition is the date that shares were acquired from your Equity Plan and deposited into your brokerage account.
- (y) Fidelity-calculated ordinary income that was reported on the W2 from the year you acquired the shares. Fidelity-calculated ordinary income does not include any adjustments that your company has made. Fidelity is not responsible for any adjustments that your company may have made to this number.
- Adjusted cost or other basis provided may include the following adjustments: ordinary income reported, dividend reinvestment, return of capital/principal and wash sale loss disallowed. In the case of publicly traded partnerships, the adjusted cost or other basis may not include distributions in excess of taxable income. Please consult your tax advisor and the information on your K-1 to determine if any adjustment to the cost basis is necessary.





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Account Fees		
Description	Date	Amount
NIO INC SPON ADS EACH REP 1 ORD SHS	05/11/21	10.00
TOTAL		10.00

Important Tax Return Document Enclosed.

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