

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 414252510	Statement Date: 03/02/2022 AMENDED Document ID: M1Y5 447 1HH1	2021
	Fahad Khayyam 9335 Landings Ln Des Plaines, IL 60016 RECIPIENT'S TIN: XXX-XX-7863		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 334.13 C</p> <p>1b- Qualified dividends 8.95 C</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 33.60 C</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 156.46</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	92,181.51	102,849.72	0.00	407.41	-10,260.80
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	92,181.51	102,849.72	0.00	407.41	-10,260.80
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	92,181.51	102,849.72	0.00	407.41	-10,260.80
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

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INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

03/02/2022 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 01/15/2021 CALL \$4,700.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	11/30/20	200.00	...	-200.00	Option expiration- long call
BB 02/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
01/29/21	1.000	483.97	01/28/21	0.00	...	483.97	Short sale closed- call ²⁵ Option written: 01/27/21
BB 02/19/2021 CALL \$22.00 / CUSIP: / Symbol:							
01/28/21	1.000	311.98	01/27/21	792.00	...	-480.02	Option sale to close-call ²⁵
BB 03/19/2021 CALL \$26.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/27/21	1,344.00	...	-1,344.00	Option expiration- long call
BB 03/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
03/19/21	1.000	1,313.96	03/19/21	0.00	...	1,313.96	Short sale closed- call expired Option written: 01/27/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FINV 06/18/2021 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/07/21	50.00	...	-50.00	1 of 3 - Option expiration- long call
	4.000	0.00	04/07/21	40.00	...	-40.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/07/21	10.00	...	-10.00	3 of 3 - Option expiration- long call
06/18/21	10.000	0.00	Various	100.00	...	-100.00	Total of 3 transactions
GNPX 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/19/21	2.000	29.98	02/10/21	530.00	...	-500.02	Option sale to close-call ²⁵
IBIO 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/02/21	10.00	...	-10.00	Option expiration- long call
NMTR 08/20/2021 CALL \$3.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	02/16/21	75.00	...	-75.00	Option expiration- long call
NOK 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
02/05/21	1.000	12.98	02/04/21	0.00	...	12.98	Short sale closed- call ²⁵ Option written: 02/02/21
NOK 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/05/21	1.000	11.98	02/04/21	0.00	...	11.98	Short sale closed- call ²⁵ Option written: 02/02/21
OGI 01/21/2022 CALL \$7.50 / CUSIP: / Symbol:							
02/03/21	1.000	39.98	01/28/21	40.00	...	-0.02	Option sale to close-call ²⁵
PFE 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/15/21	10.000	0.00	12/14/20	100.00	...	-100.00	Option expiration- long call
PLTR 06/11/2021 CALL \$24.50 / CUSIP: / Symbol:							
06/11/21	5.000	24.98	06/04/21	250.00	...	-225.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSEC 03/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	4.000	-32.02	02/04/21	0.00	...	-32.02	1 of 2 - Short sale closed- call ²⁵ Option written: 01/26/21
	1.000	-13.02	02/04/21	0.00	...	-13.02	2 of 2 - Short sale closed- call ²⁵ Option written: 01/26/21
02/05/21	5.000	-45.04	Various	0.00	...	-45.04	Total of 2 transactions
02/05/21	1.000	-8.00	02/04/21	0.00	8.00 W	0.00	Short sale closed- call ²⁵ Option written: 01/26/21
	Security total:	-53.04		0.00	8.00 W	-45.04	
PSEC 05/21/2021 CALL \$7.00 / CUSIP: / Symbol:							
01/26/21	49.000	489.88	01/15/21	245.00	...	244.88	Option sale to close-call ²⁵
05/17/21	1.000	104.98	01/15/21	5.00	...	99.98	Option sale to close-call ²⁵
	Security total:	594.86		250.00	...	344.86	
PSEC 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
7 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/19/21	30.00	...	-30.00	1 of 7 - Option expiration- long call
	3.000	0.00	03/04/21	30.00	...	-30.00	2 of 7 - Option expiration- long call
	3.000	0.00	03/05/21	15.00	...	-15.00	3 of 7 - Option expiration- long call
	6.000	0.00	03/17/21	30.00	...	-30.00	4 of 7 - Option expiration- long call
	17.000	0.00	03/24/21	85.00	...	-85.00	5 of 7 - Option expiration- long call
	19.000	0.00	04/12/21	95.00	...	-95.00	6 of 7 - Option expiration- long call
	1.000	0.00	04/12/21	5.00	...	-5.00	7 of 7 - Option expiration- long call
08/20/21	51.000	0.00	Various	290.00	...	-290.00	Total of 7 transactions
PSEC 08/20/2021 CALL \$11.00 / CUSIP: / Symbol:							
7 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	02/19/21	100.00	...	-100.00	1 of 7 - Option expiration- long call
	10.000	0.00	02/19/21	100.00	...	-100.00	2 of 7 - Option expiration- long call
	4.000	0.00	02/22/21	52.00	...	-52.00	3 of 7 - Option expiration- long call
	4.000	0.00	02/22/21	52.00	...	-52.00	4 of 7 - Option expiration- long call
	4.000	0.00	02/22/21	52.00	...	-52.00	5 of 7 - Option expiration- long call
	6.000	0.00	03/08/21	30.00	...	-30.00	6 of 7 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSEC 08/20/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	12.000	0.00	06/07/21	60.00	...	-60.00	7 of 7 - Option expiration- long call
08/20/21	50.000	0.00	Various	446.00	...	-446.00	Total of 7 transactions
PSEC 08/20/2021 CALL \$9.00 / CUSIP: / Symbol:							
8 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	20.000	0.00	05/12/21	100.00	...	-100.00	1 of 8 - Option expiration- long call
	6.000	0.00	07/08/21	30.00	...	-30.00	2 of 8 - Option expiration- long call
	1.000	0.00	07/14/21	5.00	...	-5.00	3 of 8 - Option expiration- long call
	1.000	0.00	07/15/21	5.00	...	-5.00	4 of 8 - Option expiration- long call
	4.000	0.00	07/16/21	20.00	...	-20.00	5 of 8 - Option expiration- long call
	3.000	0.00	07/16/21	15.00	...	-15.00	6 of 8 - Option expiration- long call
	3.000	0.00	07/16/21	15.00	...	-15.00	7 of 8 - Option expiration- long call
	2.000	0.00	07/16/21	10.00	...	-10.00	8 of 8 - Option expiration- long call
08/20/21	40.000	0.00	Various	200.00	...	-200.00	Total of 8 transactions
TRXC 02/19/2021 CALL \$4.50 / CUSIP: / Symbol:							
02/16/21	1.000	194.98	02/09/21	45.00	...	149.98	Option sale to close-call ²⁵
ATYR PHARMA, INC. COMMON STOCK / CUSIP: 002120202 / Symbol:							
09/14/21	30.000	277.50	09/13/21	298.80	...	-21.30	Sale ²⁵
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	150.000	115.98	09/30/21	127.50	...	-11.52	1 of 2 - Sale ²⁵
	100.000	77.32	09/30/21	84.95	...	-7.63	2 of 2 - Sale ²⁵
10/01/21	250.000	193.30	Various	212.45	...	-19.15	Total of 2 transactions
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
09/15/21	50.000	497.00	09/10/21	515.50	...	-18.50	Sale ²⁵

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITORY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	15.80	02/11/21	15.00	...	0.80	1 of 3 - Sale ²⁵
	6.000	18.90	02/11/21	18.00	...	0.90	2 of 3 - Sale ²⁵
	189.000	587.75	02/11/21	567.00	...	20.75	3 of 3 - Sale ²⁵
02/11/21	200.000	622.45	Various	600.00	...	22.45	Total of 3 transactions
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
01/26/21	50.000	772.98	01/12/21	827.00	...	-54.02	Sale ²⁵
APPLIED UV, INC. COMMON STOCK / CUSIP: 03828V105 / Symbol:							
5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	13.14	02/09/21	12.99	...	0.15	1 of 5 - Sale ²⁵
	80.000	1,454.35	02/09/21	1,340.36	...	113.99	2 of 5 - Sale ²⁵
	13.000	363.99	02/09/21	239.46	...	124.53	3 of 5 - Sale ²⁵
	19.000	531.98	02/09/21	361.20	...	170.78	4 of 5 - Sale ²⁵
	53.000	1,483.96	02/09/21	976.26	...	507.70	5 of 5 - Sale ²⁵
02/09/21	166.000	3,847.42	Various	2,930.27	...	917.15	Total of 5 transactions
02/09/21	99.000	1,227.56	02/09/21	1,286.01	58.45 W	0.00	Sale ²⁵
	Security total:	5,074.98		4,216.28	58.45 W	917.15	
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
03/09/21	100.000	429.99	03/05/21	367.84	...	62.15	Sale ²⁵
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	100.000	202.10	03/11/21	398.00	...	-195.90	1 of 2 - Sale ²⁵
	100.000	202.10	03/24/21	348.00	...	-145.90	2 of 2 - Sale ²⁵
05/19/21	200.000	404.20	Various	746.00	...	-341.80	Total of 2 transactions
	Security total:	834.19		1,113.84	...	-279.65	
AVINO SILVER & GOLD MINES LTD. (CANADA) / CUSIP: 053906103 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	100.000	139.99	02/01/21	193.00	...	-53.01	1 of 2 - Sale ²⁵
	100.000	140.99	02/01/21	193.00	...	-52.01	2 of 2 - Sale ²⁵
02/11/21	200.000	280.98	Various	386.00	...	-105.02	Total of 2 transactions

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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B. RILEY PRINCIPAL 150 MERGER CORP. CLASS A COMMON STOCK / CUSIP: 05601V103 / Symbol:							
11/02/21	75.000	789.73	10/26/21	988.50	...	-198.77	Sale ²⁵
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
09/13/21	100.000	460.99	09/13/21	500.00	...	-39.01	Sale ²⁵
BIO-PATH HOLDINGS, INC. COMMON STOCK / CUSIP: 09057N300 / Symbol:							
02/10/21	69.000	796.23	02/10/21	1,331.70	...	-535.47	Sale ²⁵
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C408 / Symbol:							
01/13/21	25.000	99.00	08/10/20	164.96	...	-65.96	Sale ²⁵
BIOLINERX LTD. AMERICAN DEPOSI TARY SHARES / CUSIP: 09071M205 / Symbol:							
02/23/21	100.000	315.99	02/23/21	300.00	...	15.99	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
05/19/21	25.000	135.30	02/12/21	339.50	...	-204.20	Sale ²⁵
BOQI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 099501108 / Symbol:							
01/13/21	20.000	37.00	11/25/20	41.94	...	-4.94	Sale ²⁵
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
05/19/21	100.000	232.99	02/22/21	335.00	...	-102.01	Sale ²⁵
CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	58.50	02/03/21	30.53	...	27.97	1 of 2 - Sale ²⁵
	22.000	429.44	02/03/21	223.91	...	205.53	2 of 2 - Sale ²⁵
02/11/21	25.000	487.94	Various	254.44	...	233.50	Total of 2 transactions
02/12/21	24.000	603.10	02/12/21	623.52	...	-20.42	Sale ²⁵
02/12/21	24.000	603.11	02/12/21	623.52	8.50 W	-11.91	Sale ²⁵
05/19/21	10.000	60.09	02/12/21	268.30	...	-208.21	Sale ²⁵
	Security total:	1,754.24		1,769.78	8.50 W	-7.04	

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CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
<i>4 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	85.000	699.53	11/02/21	714.00	...	-14.47	1 of 4 - Sale ²⁵
	8.000	66.24	11/02/21	67.20	...	-0.96	2 of 4 - Sale ²⁵
	5.000	41.10	11/02/21	42.00	...	-0.90	3 of 4 - Sale ²⁵
	2.000	16.44	11/02/21	16.80	...	-0.36	4 of 4 - Sale ²⁵
11/02/21	100.000	823.31	Various	840.00	...	-16.69	Total of 4 transactions
CHEMOCENTRYX, INC. COMMON STOC K / CUSIP: 16383L106 / Symbol:							
10/07/21	50.000	714.49	06/25/21	686.75	...	27.74	Sale ²⁵
CHINA XD PLASTICS CO LTD COMM O N STOCK / CUSIP: 16948F107 / Symbol:							
10/27/21	500.000	494.94	10/27/21	600.00	...	-105.06	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/10/21	35.000	1,120.23	02/09/21	1,165.50	19.40 W	-25.87	Sale ²⁵
05/19/21	15.000	283.89	02/11/21	544.25	...	-260.36	Sale ²⁵
	Security total:	1,404.12		1,709.75	19.40 W	-286.23	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
<i>6 transactions for 02/26/21. Total proceeds and cost reported to the IRS.</i>							
	80.000	155.99	02/18/21	128.80	...	27.19	1 of 6 - Sale ²⁵
	100.000	194.98	02/19/21	193.00	...	1.98	2 of 6 - Sale ²⁵
	100.000	194.98	02/19/21	193.00	...	1.98	3 of 6 - Sale ²⁵
	100.000	194.99	02/19/21	193.00	...	1.99	4 of 6 - Sale ²⁵
	100.000	194.99	02/19/21	193.00	...	1.99	5 of 6 - Sale ²⁵
	100.000	194.99	02/19/21	193.00	...	1.99	6 of 6 - Sale ²⁵
02/26/21	580.000	1,130.92	Various	1,093.80	...	37.12	Total of 6 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
05/19/21	25.000	171.01	02/23/21	250.00	...	-78.99	Sale ²⁵
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
03/23/21	57.000	389.59	02/25/21	342.00	...	47.59	Sale ²⁵
CONTEXTLOGIC INC. CLASS A COMM O N STOCK / CUSIP: 21077C107 / Symbol:							
01/15/21	50.000	1,294.97	01/14/21	1,295.06	...	-0.09	Sale ²⁵

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Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
02/01/21	70.000	767.17	01/27/21	461.17	...	306.00	Sale ²⁵
	<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>						
	45.000	496.42	02/08/21	495.00	...	1.42	1 of 2 - Sale ²⁵
	100.000	1,103.15	02/08/21	1,100.00	...	3.15	2 of 2 - Sale ²⁵
02/08/21	145.000	1,599.57	Various	1,595.00	...	4.57	Total of 2 transactions
	Security total:	2,366.74		2,056.17	...	310.57	
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
02/17/21	14.000	881.98	02/17/21	924.00	...	-42.02	Sale ²⁵
EDESA BIOTECH, INC. COMMON SHA RES / CUSIP: 27966L108 / Symbol:							
09/20/21	50.000	399.25	09/20/21	444.50	...	-45.25	Sale ²⁵
ENGLOBAL CORPORATION COMMON ST OCK / CUSIP: 293306106 / Symbol:							
09/22/21	50.000	100.50	09/16/21	108.89	...	-8.39	Sale ²⁵
10/28/21	150.000	325.48	09/16/21	326.67	...	-1.19	Sale ²⁵
	Security total:	425.98		435.56	...	-9.58	
EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol:							
02/17/21	100.000	538.97	02/17/21	539.00	...	-0.03	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/04/21	150.000	483.10	01/27/21	1,354.50	...	-871.40	Sale ²⁵
EYENOVIA, INC. COMMON STOCK / CUSIP: 30234E104 / Symbol:							
11/03/21	75.000	293.24	10/19/21	427.50	...	-134.26	Sale ²⁵
11/22/21	50.000	175.00	10/19/21	285.00	...	-110.00	Sale ²⁵
	Security total:	468.24		712.50	...	-244.26	
FALCON CAPITAL ACQUISITION COR P. CLASS A COMMON STOCK / CUSIP: 30606L108 / Symbol:							
02/12/21	30.000	366.75	02/12/21	370.80	...	-4.05	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
10/13/21	50.000	774.49	06/02/21	745.75	...	28.74	Sale ²⁵
10/27/21	50.000	786.49	06/02/21	745.75	...	40.74	Sale ²⁵
	Security total:	1,560.98		1,491.50	...	69.48	

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(continued)

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GAOTU TECHEDU INC. AMERICAN DE POSITARY SHARES, THREE OF WHIC H REPRESENTING TWO CLASS A ORD / CUSIP: 36257Y109 / Symbol:							
3 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	88.000	254.31	09/22/21	282.48	...	-28.17	1 of 3 - Sale ²⁵
	10.000	28.90	09/22/21	32.10	...	-3.20	2 of 3 - Sale ²⁵
	2.000	5.78	09/22/21	6.42	...	-0.64	3 of 3 - Sale ²⁵
09/23/21	100.000	288.99	Various	321.00	...	-32.01	Total of 3 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
05/19/21	150.000	232.90	11/24/20	203.93	...	28.97	Sale ²⁵
GENPREX, INC. COMMON STOCK / CUSIP: 372446104 / Symbol:							
3 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	140.000	493.53	02/10/21	1,020.60	...	-527.07	1 of 3 - Sale ²⁵
	53.000	186.84	02/10/21	382.13	...	-195.29	2 of 3 - Sale ²⁵
	35.000	123.38	02/22/21	217.05	...	-93.67	3 of 3 - Sale ²⁵
05/19/21	228.000	803.75	Various	1,619.78	...	-816.03	Total of 3 transactions
GORES HOLDINGS VI, INC. CLASS A COMMON STOCK / CUSIP: 38286R105 / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	75.000	830.61	02/19/21	1,681.50	...	-850.89	1 of 2 - Sale ²⁵
	25.000	276.87	02/19/21	560.50	...	-283.63	2 of 2 - Sale ²⁵
05/19/21	100.000	1,107.48	Various	2,242.00	...	-1,134.52	Total of 2 transactions
HEPION PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 426897104 / Symbol:							
4 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	114.000	189.22	09/10/21	216.60	...	-27.38	1 of 4 - Sale ²⁵
	22.000	36.52	09/10/21	45.98	...	-9.46	2 of 4 - Sale ²⁵
	9.000	14.94	09/10/21	18.81	...	-3.87	3 of 4 - Sale ²⁵
	5.000	8.30	09/10/21	10.45	...	-2.15	4 of 4 - Sale ²⁵
09/13/21	150.000	248.98	Various	291.84	...	-42.86	Total of 4 transactions
2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	41.000	70.11	09/10/21	77.90	5.13 W	-2.66	1 of 2 - Sale ²⁵
	9.000	15.39	09/10/21	17.10	1.71 W	0.00	2 of 2 - Sale ²⁵
09/13/21	50.000	85.50	Various	95.00	6.84 W	-2.66	Total of 2 transactions
	Security total:	334.48		386.84	6.84 W	-45.52	

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(continued)

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HIMAX TECHNOLOGIES, INC. AMERI CAN DEPOSITARY SHARES / CUSIP: 43289P106 / Symbol:							
05/19/21	76.000	828.38	04/05/21	1,076.87	...	-248.49	Sale ²⁵
ISPECIMEN INC. COMMON STOCK / CUSIP: 45032V108 / Symbol:							
<i>5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i>							
	43.000	417.94	11/22/21	462.25	...	-44.31	1 of 5 - Sale ²⁵
	10.000	97.20	11/22/21	117.60	...	-20.40	2 of 5 - Sale ²⁵
	2.000	19.44	11/22/21	23.52	...	-4.08	3 of 5 - Sale ²⁵
	1.000	9.72	11/22/21	11.78	...	-2.06	4 of 5 - Sale ²⁵
	1.000	9.72	11/22/21	11.76	...	-2.04	5 of 5 - Sale ²⁵
11/22/21	57.000	554.02	Various	626.91	...	-72.89	Total of 5 transactions
<i>4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	48.60	11/22/21	53.75	1.03 W	-4.12	1 of 4 - Sale ²⁵
	1.000	9.74	11/22/21	10.75	1.01 W	0.00	2 of 4 - Sale ²⁵
	2.000	19.48	11/22/21	21.50	2.02 W	0.00	3 of 4 - Sale ²⁵
	10.000	97.40	11/22/21	107.50	10.10 W	0.00	4 of 4 - Sale ²⁵
11/22/21	18.000	175.22	Various	193.50	14.16 W	-4.12	Total of 4 transactions
	Security total:	729.24		820.41	14.16 W	-77.01	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
05/19/21	100.000	277.99	12/07/20	209.00	...	68.99	Sale ²⁵
INSPIREMD INC. COMMON STOCK / CUSIP: 45779A846 / Symbol:							
05/19/21	14.000	70.51	02/22/21	238.00	...	-167.49	Sale ²⁵
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
<i>2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	714.92	01/20/21	975.00	...	-260.08	1 of 2 - Sale ²⁵
	25.000	35.75	01/20/21	45.00	...	-9.25	2 of 2 - Sale ²⁵
01/27/21	525.000	750.67	Various	1,020.00	...	-269.33	Total of 2 transactions
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/02/21	45.000	1,124.97	01/28/21	1,115.00	...	9.97	3- Proceeds from collectibles [X] Sale ²⁵

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IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
10/28/21	1,000.000	437.88	10/27/21	428.00	...	9.88	Sale ²⁵
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
01/08/21	650.000	2,548.57	12/31/20	519.80	...	2,028.77	Sale ²⁵
05/19/21	500.000	595.53	12/31/20	399.85	...	195.68	Sale ²⁵
	Security total:	3,144.10		919.65	...	2,224.45	
LEAP THERAPEUTICS, INC. COMMON STOCK / CUSIP: 52187K101 / Symbol:							
09/17/21	150.000	337.48	09/16/21	382.50	...	-45.02	Sale ²⁵
LONGVIEW ACQUISITION CORP. / CUSIP: 543195101 / Symbol:							
02/03/21	60.000	1,151.36	01/13/21	1,202.40	...	-51.04	Sale ²⁵
MEATECH 3D LTD. AMERICAN DEPOS ITARY SHARES / CUSIP: 583435102 / Symbol:							
09/15/21	50.000	295.00	09/14/21	337.50	...	-42.50	Sale ²⁵
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
01/19/21	15.000	84.90	01/06/21	83.55	...	1.35	Sale ²⁵
MEDIROM HEALTHCARE TECHNOLOGIE S INC. AMERICAN DEPOSITARY SHA RE / CUSIP: 58510H103 / Symbol:							
09/23/21	30.000	354.00	09/22/21	334.50	...	19.50	Sale ²⁵
MIND MEDICINE (MINDMED) INC. S UBORDINATE VOTING SHARES / CUSIP: 60255C109 / Symbol:							
09/29/21	50.000	115.50	09/16/21	122.00	...	-6.50	Sale ²⁵
10/04/21	100.000	220.49	09/16/21	244.00	...	-23.51	Sale ²⁵
	Security total:	335.99		366.00	...	-30.01	
MOVING IMAGE TECHNOLOGIES, INC. / CUSIP: 62464R109 / Symbol:							
	<i>2 transactions for 09/20/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	27.00	09/16/21	29.70	2.70 W	0.00	1 of 2 - Sale ²⁵
	140.000	377.98	09/16/21	415.80	37.82 W	0.00	2 of 2 - Sale ²⁵
09/20/21	150.000	404.98	Various	445.50	40.52 W	0.00	Total of 2 transactions
	<i>2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>						
	65.000	193.11	09/29/21	218.41	...	-25.30	1 of 2 - Sale ²⁵
	10.000	29.71	09/29/21	33.60	...	-3.89	2 of 2 - Sale ²⁵
09/30/21	75.000	222.82	Various	252.01	...	-29.19	Total of 2 transactions

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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MOVING IMAGE TECHNOLOGIES, INC. / CUSIP: 62464R109 / Symbol: (cont'd)							
10/05/21	75.000	198.74	09/29/21	252.01	...	-53.27	Sale ²⁵
	Security total:	826.54		949.52	40.52 W	-82.46	
MY SIZE, INC. COMMON STOCK / CUSIP: 62844N208 / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	20.000	33.20	02/04/21	30.20	...	3.00	1 of 3 - Sale ²⁵
	100.000	167.99	02/04/21	151.00	...	16.99	2 of 3 - Sale ²⁵
	200.000	335.98	02/04/21	302.00	...	33.98	3 of 3 - Sale ²⁵
02/12/21	320.000	537.17	Various	483.20	...	53.97	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	5.000	293.55	11/16/20	224.49	...	69.06	1 of 2 - Sale ²⁵
	20.000	1,174.19	01/19/21	1,164.50	...	9.69	2 of 2 - Sale ²⁵
01/27/21	25.000	1,467.74	Various	1,388.99	...	78.75	Total of 2 transactions
05/19/21	15.000	496.35	03/08/21	569.10	...	-72.75	Sale ²⁵
	Security total:	1,964.09		1,958.09	...	6.00	
NANOVIBRONIX, INC. COMMON STOCK / CUSIP: 63008J108 / Symbol:							
09/20/21	200.000	389.98	09/16/21	442.00	...	-52.02	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
3 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	100.000	494.99	01/27/21	689.00	...	-194.01	1 of 3 - Sale ²⁵
	100.000	495.00	01/27/21	659.00	...	-164.00	2 of 3 - Sale ²⁵
	50.000	247.49	01/27/21	333.75	...	-86.26	3 of 3 - Sale ²⁵
05/19/21	250.000	1,237.48	Various	1,681.75	...	-444.27	Total of 3 transactions
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	300.000	160.76	09/23/21	174.51	...	-13.75	1 of 2 - Sale ²⁵
	200.000	107.02	09/23/21	116.34	...	-9.32	2 of 2 - Sale ²⁵
09/24/21	500.000	267.78	Various	290.85	...	-23.07	Total of 2 transactions

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Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	240.000	1,142.72	01/27/21	1,252.80	...	-110.08	1 of 2 - Sale ²⁵
	60.000	285.68	01/27/21	313.20	...	-27.52	2 of 2 - Sale ²⁵
02/08/21	300.000	1,428.40	Various	1,566.00	...	-137.60	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/08/21	110.000	1,691.19	02/08/21	1,350.80	...	340.39	Sale ²⁵
4 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	33.000	286.76	04/23/21	438.74	...	-151.98	1 of 4 - Sale ²⁵
	17.000	147.72	04/23/21	226.02	...	-78.30	2 of 4 - Sale ²⁵
	5.000	43.45	04/23/21	65.25	...	-21.80	3 of 4 - Sale ²⁵
	5.000	43.45	04/23/21	65.23	...	-21.78	4 of 4 - Sale ²⁵
05/19/21	60.000	521.38	Various	795.24	...	-273.86	Total of 4 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	45.000	541.79	11/02/21	696.15	...	-154.36	1 of 2 - Sale ²⁵
	10.000	120.40	11/02/21	154.70	...	-34.30	2 of 2 - Sale ²⁵
11/03/21	55.000	662.19	Various	850.85	...	-188.66	Total of 2 transactions
	Security total:	2,874.76		2,996.89	...	-122.13	
OMEROS CORPORATION COMMON STOCK / CUSIP: 682143102 / Symbol:							
5 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	23.000	145.36	10/14/21	211.54	...	-66.18	1 of 5 - Sale ²⁵
	23.000	145.36	10/14/21	178.19	...	-32.83	2 of 5 - Sale ²⁵
	2.000	12.64	10/14/21	15.52	...	-2.88	3 of 5 - Sale ²⁵
	1.000	6.32	10/14/21	9.06	...	-2.74	4 of 5 - Sale ²⁵
	1.000	6.32	10/14/21	9.01	...	-2.69	5 of 5 - Sale ²⁵
10/18/21	50.000	316.00	Various	423.32	...	-107.32	Total of 5 transactions
3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	6.31	10/13/21	7.57	1.26 W	0.00	1 of 3 - Sale ²⁵
	1.000	6.31	10/14/21	7.62	1.31 W	0.00	2 of 3 - Sale ²⁵
	23.000	145.13	10/14/21	178.19	33.06 W	0.00	3 of 3 - Sale ²⁵
10/18/21	25.000	157.75	Various	193.38	35.63 W	0.00	Total of 3 transactions
	Security total:	473.75		616.70	35.63 W	-107.32	

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	10.000	7.84	01/13/21	7.08	...	0.76	1 of 2 - Sale ²⁵
	90.000	70.59	01/13/21	63.85	...	6.74	2 of 2 - Sale ²⁵
01/19/21	100.000	78.43	Various	70.93	...	7.50	Total of 2 transactions
5 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	30.000	48.93	02/17/21	48.01	...	0.92	1 of 5 - Sale ²⁵
	70.000	114.17	02/17/21	112.01	...	2.16	2 of 5 - Sale ²⁵
	100.000	163.09	02/17/21	160.02	...	3.07	3 of 5 - Sale ²⁵
	100.000	163.09	02/17/21	160.02	...	3.07	4 of 5 - Sale ²⁵
	200.000	326.19	02/17/21	320.03	...	6.16	5 of 5 - Sale ²⁵
02/17/21	500.000	815.47	Various	800.09	...	15.38	Total of 5 transactions
02/17/21	800.000	1,279.87	02/17/21	1,280.00	0.09 W	-0.04	Sale ²⁵
	Security total:	2,173.77		2,151.02	0.09 W	22.84	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
01/13/21	1.000	4.53	07/28/20	5.53	...	-1.00	Sale ²⁵
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
05/19/21	120.000	394.89	02/03/21	1,080.00	...	-685.11	Sale ²⁵
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
05/19/21	88.000	202.56	02/04/21	148.72	...	53.84	Sale ²⁵
OYSTER POINT PHARMA, INC. COMM ON STOCK / CUSIP: 69242L106 / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	50.000	604.99	10/12/21	634.00	...	-29.01	1 of 2 - Sale ²⁵
	25.000	306.25	10/12/21	317.00	...	-10.75	2 of 2 - Sale ²⁵
10/19/21	75.000	911.24	Various	951.00	...	-39.76	Total of 2 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	41.000	23.34	03/26/21	31.98	...	-8.64	1 of 2 - Sale ²⁵
	39.000	22.20	03/26/21	30.42	...	-8.22	2 of 2 - Sale ²⁵
05/19/21	80.000	45.54	Various	62.40	...	-16.86	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
05/19/21	50.000	1,035.11	04/22/21	1,164.95	...	-129.84	Sale ²⁵
PEDEVCO CORP. / CUSIP: 70532Y303 / Symbol:							
<i>6 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.61	10/27/21	1.59	...	0.02	1 of 6 - Sale ²⁵
	2.000	3.22	10/27/21	3.18	...	0.04	2 of 6 - Sale ²⁵
	2.000	3.22	10/27/21	3.18	...	0.04	3 of 6 - Sale ²⁵
	68.000	108.79	10/27/21	108.11	...	0.68	4 of 6 - Sale ²⁵
	37.000	59.57	10/27/21	58.83	...	0.74	5 of 6 - Sale ²⁵
	140.000	225.38	10/27/21	222.59	...	2.79	6 of 6 - Sale ²⁵
10/28/21	250.000	401.79	Various	397.48	...	4.31	Total of 6 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
<i>3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	250.000	412.74	01/26/21	519.63	...	-106.89	1 of 3 - Sale ²⁵
	200.000	330.19	01/26/21	410.00	...	-79.81	2 of 3 - Sale ²⁵
	250.000	412.73	01/26/21	474.80	...	-62.07	3 of 3 - Sale ²⁵
01/27/21	700.000	1,155.66	Various	1,404.43	...	-248.77	Total of 3 transactions
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
10/04/21	100.000	140.99	09/30/21	165.00	...	-24.01	Sale ²⁵
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>							
	76.000	775.94	12/21/20	970.90	...	-194.96	1 of 2 - Sale ²⁵
	34.000	347.13	12/21/20	436.73	...	-89.60	2 of 2 - Sale ²⁵
01/08/21	110.000	1,123.07	Various	1,407.63	...	-284.56	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
05/19/21	25.000	710.57	03/31/21	1,200.00	...	-489.43	Sale ²⁵
RCM TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 749360400 / Symbol:							
02/11/21	60.000	499.79	02/11/21	660.00	...	-160.21	Sale ²⁵

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REGIS CORPORATION / CUSIP: 758932107 / Symbol:							
<i>4 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	361.99	09/24/21	380.00	...	-18.01	1 of 4 - Sale ²⁵
	63.000	226.79	09/24/21	239.40	...	-12.61	2 of 4 - Sale ²⁵
	25.000	90.00	09/24/21	95.00	...	-5.00	3 of 4 - Sale ²⁵
	12.000	43.20	09/24/21	45.60	...	-2.40	4 of 4 - Sale ²⁵
09/30/21	200.000	721.98	Various	760.00	...	-38.02	Total of 4 transactions
RESHAPE LIFESCIENCES, INC. COM MON STOCK / CUSIP: 76090R101 / Symbol:							
10/11/21	150.000	431.98	10/08/21	463.50	...	-31.52	Sale ²⁵
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
01/08/21	100.000	150.99	12/07/20	204.99	...	-54.00	Sale ²⁵
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
01/26/21	40.000	1,183.17	01/06/21	984.27	...	198.90	Sale ²⁵
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
09/14/21	100.000	574.98	09/13/21	608.00	33.02 W	0.00	Sale ²⁵
10/06/21	50.000	280.00	10/05/21	315.01	...	-35.01	Sale ²⁵
<i>3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.</i>							
	43.000	253.70	10/05/21	270.91	...	-17.21	1 of 3 - Sale ²⁵
	6.000	35.40	10/05/21	37.80	...	-2.40	2 of 3 - Sale ²⁵
	1.000	5.90	10/05/21	6.30	...	-0.40	3 of 3 - Sale ²⁵
10/13/21	50.000	295.00	Various	315.01	...	-20.01	Total of 3 transactions
	Security total:	1,149.98		1,238.02	33.02 W	-55.02	
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
01/08/21	39.000	53.43	12/30/20	51.29	...	2.14	Sale ²⁵
SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F101 / Symbol:							
<i>4 transactions for 09/10/21. Total proceeds and cost reported to the IRS.</i>							
	400.000	225.94	09/10/21	271.64	...	-45.70	1 of 4 - Sale ²⁵
	400.000	225.95	09/10/21	271.64	...	-45.69	2 of 4 - Sale ²⁵

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SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F101 / Symbol: (cont'd)							
	100.000	56.49	09/10/21	67.91	...	-11.42	3 of 4 - Sale ²⁵
	100.000	56.49	09/10/21	67.91	...	-11.42	4 of 4 - Sale ²⁵
09/10/21	1,000.000	564.87	Various	679.10	...	-114.23	Total of 4 transactions
SONOMA PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 83558L204 / Symbol:							
11/02/21	225.000	1,334.21	10/28/21	1,372.50	...	-38.29	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	100.000	1,222.48	02/16/21	1,254.59	32.11 W	0.00	1 of 2 - Sale ²⁵
	100.000	1,287.96	02/16/21	1,292.55	4.59 W	0.00	2 of 2 - Sale ²⁵
02/16/21	200.000	2,510.44	Various	2,547.14	36.70 W	0.00	Total of 2 transactions
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	20.000	282.00	02/16/21	254.38	...	27.62	1 of 2 - Sale ²⁵
	80.000	1,127.99	02/16/21	1,017.51	...	110.48	2 of 2 - Sale ²⁵
02/17/21	100.000	1,409.99	Various	1,271.89	...	138.10	Total of 2 transactions
	Security total:	3,920.43		3,819.03	36.70 W	138.10	
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	75.000	167.24	09/22/21	186.00	...	-18.76	1 of 2 - Sale ²⁵
	75.000	167.24	09/22/21	185.25	...	-18.01	2 of 2 - Sale ²⁵
09/29/21	150.000	334.48	Various	371.25	...	-36.77	Total of 2 transactions
SPIRE GLOBAL, INC. / CUSIP: 848560108 / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	105.000	537.58	11/03/21	613.20	14.40 W	-61.22	1 of 2 - Sale ²⁵
	20.000	102.40	11/03/21	116.60	14.20 W	0.00	2 of 2 - Sale ²⁵
11/22/21	125.000	639.98	Various	729.80	28.60 W	-61.22	Total of 2 transactions
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
01/14/21	50.000	1,649.96	01/11/21	1,560.00	...	89.96	Sale ²⁵
STEM, INC. / CUSIP: 85859N102 / Symbol:							
05/19/21	17.000	324.59	02/17/21	854.34	...	-529.75	Sale ²⁵

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUPERIOR DRILLING PRODUCTS INC. / CUSIP: 868153107 / Symbol:							
10/13/21	250.000	319.97	09/29/21	310.00	...	9.97	Sale ²⁵
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
02/09/21	1,400.000	1,427.79	02/08/21	1,540.00	...	-112.21	Sale ²⁵
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	20.000	105.00	09/24/21	114.20	...	-9.20	1 of 4 - Sale ²⁵
	20.000	105.00	09/24/21	114.20	...	-9.20	2 of 4 - Sale ²⁵
	8.000	42.00	09/24/21	45.68	...	-3.68	3 of 4 - Sale ²⁵
	2.000	10.50	09/24/21	11.42	...	-0.92	4 of 4 - Sale ²⁵
09/24/21	50.000	262.50	Various	285.50	...	-23.00	Total of 4 transactions
TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
10/27/21	100.000	378.99	09/15/21	320.00	...	58.99	Sale ²⁵
TRANSCODE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 89357L105 / Symbol:							
09/24/21	49.000	174.44	09/23/21	183.26	...	-8.82	Sale ²⁵
09/28/21	50.000	162.50	09/23/21	187.00	...	-24.50	Sale ²⁵
	Security total:	336.94		370.26	...	-33.32	
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
05/19/21	100.000	307.49	02/01/21	394.00	...	-86.51	Sale ²⁵
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	50.000	507.98	01/15/21	625.00	...	-117.02	1 of 2 - Sale ²⁵
	20.000	203.19	01/19/21	247.40	...	-44.21	2 of 2 - Sale ²⁵
01/26/21	70.000	711.17	Various	872.40	...	-161.23	Total of 2 transactions
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
01/13/21	100.000	2,370.93	12/22/20	2,799.00	...	-428.07	Sale ²⁵
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
02/09/21	10.000	170.13	02/09/21	169.00	...	1.13	Sale ²⁵

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VIVEVE MEDICAL, INC. COMMON STOCK / CUSIP: 92852W501 / Symbol:							
09/29/21	50.000	115.00	09/21/21	121.72	...	-6.72	Sale ²⁵
10/05/21	100.000	217.99	09/21/21	243.43	...	-25.44	Sale ²⁵
	Security total:	332.99		365.15	...	-32.16	
WAITR HOLDINGS INC. COMMON STOCK / CUSIP: 930752100 / Symbol:							
09/22/21	250.000	239.97	09/16/21	245.00	...	-5.03	Sale ²⁵
10/01/21	250.000	217.47	09/16/21	245.00	...	-27.53	Sale ²⁵
	Security total:	457.44		490.00	...	-32.56	
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
01/04/21	50.000	1,066.47	12/09/20	1,131.75	...	-65.28	Sale ²⁵
YUNJI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 98873N107 / Symbol:							
01/08/21	200.000	432.58	12/01/20	636.00	...	-203.42	Sale ²⁵
ZW DATA ACTION TECHNOLOGIES INC. COMMON STOCK / CUSIP: 98880R109 / Symbol:							
05/19/21	100.000	158.99	02/17/21	500.00	...	-341.01	Sale ²⁵
ZOSANO PHARMA CORPORATION COMMON STOCK / CUSIP: 98979H202 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	40.000	43.20	01/13/21	39.75	...	3.45	1 of 2 - Sale ²⁵
	60.000	64.79	01/13/21	58.31	...	6.48	2 of 2 - Sale ²⁵
01/21/21	100.000	107.99	Various	98.06	...	9.93	Total of 2 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/05/21	15.000	28.35	02/05/21	28.80	...	-0.45	Sale ²⁵
6 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	279.000	219.01	03/24/21	435.24	...	-216.23	1 of 6 - Sale ²⁵
	121.000	94.98	03/24/21	188.76	...	-93.78	2 of 6 - Sale ²⁵
	300.000	235.49	04/26/21	327.00	...	-91.51	3 of 6 - Sale ²⁵
	56.000	43.96	04/26/21	104.44	...	-60.48	4 of 6 - Sale ²⁵
	44.000	34.54	04/26/21	82.06	...	-47.52	5 of 6 - Sale ²⁵
	100.000	78.49	04/26/21	109.00	...	-30.51	6 of 6 - Sale ²⁵
05/19/21	900.000	706.47	Various	1,246.50	...	-540.03	Total of 6 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	44.000	34.54	03/24/21	68.64	34.10 W	0.00	1 of 2 - Sale ²⁵
	56.000	43.96	03/24/21	87.36	43.40 W	0.00	2 of 2 - Sale ²⁵
05/19/21	100.000	78.50	Various	156.00	77.50 W	0.00	Total of 2 transactions
	Security total:	813.32		1,431.30	77.50 W	-540.48	
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	50.000	129.49	12/01/20	272.93	...	-143.44	1 of 2 - Sale ²⁵
	100.000	258.99	12/11/20	283.00	...	-24.01	2 of 2 - Sale ²⁵
01/08/21	150.000	388.48	Various	555.93	...	-167.45	Total of 2 transactions
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
10/12/21	50.000	538.99	10/05/21	564.00	...	-25.01	Sale ²⁵
BIT BROTHER LIMITED ORDINARY S HARES / CUSIP: G1144D109 / Symbol:							
3 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	200.000	189.98	09/14/21	214.00	...	-24.02	1 of 3 - Sale ²⁵
	100.000	94.99	09/14/21	107.00	...	-12.01	2 of 3 - Sale ²⁵
	100.000	94.99	09/14/21	107.00	...	-12.01	3 of 3 - Sale ²⁵
09/15/21	400.000	379.96	Various	428.00	...	-48.04	Total of 3 transactions
BRIDGETOWN 2 HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355V103 / Symbol:							
05/19/21	50.000	500.49	02/02/21	615.00	...	-114.51	Sale ²⁵
BORQS TECHNOLOGIES, INC. ORDINARY SHARES / CUSIP: G1466B103 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	98.000	123.66	11/24/20	123.47	...	0.19	1 of 3 - Sale ²⁵
	100.000	126.18	11/24/20	124.86	...	1.32	2 of 3 - Sale ²⁵
	4.000	5.04	11/25/20	4.43	...	0.61	3 of 3 - Sale ²⁵
01/13/21	202.000	254.88	Various	252.76	...	2.12	Total of 3 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELITE EDUCATION GROUP INTERNAT IONAL LTD. COMMON STOCK / CUSIP: G3104J100 / Symbol:							
09/23/21	50.000	360.00	09/22/21	400.00	40.00 W	0.00	Sale ²⁵
	2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.						
	50.000	203.50	10/05/21	255.00	...	-51.50	1 of 2 - Sale ²⁵
	50.000	203.49	10/05/21	215.00	...	-11.51	2 of 2 - Sale ²⁵
10/07/21	100.000	406.99	Various	470.00	...	-63.01	Total of 2 transactions
	Security total:	766.99		870.00	40.00 W	-63.01	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES / CUSIP: G3166W106 / Symbol:							
02/08/21	50.000	1,204.97	02/08/21	1,200.00	...	4.97	Sale ²⁵
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	140.000	218.53	02/12/21	232.40	...	-13.87	1 of 2 - Sale ²⁵
	100.000	154.99	02/12/21	166.00	...	-11.01	2 of 2 - Sale ²⁵
02/12/21	240.000	373.52	Various	398.40	...	-24.88	Total of 2 transactions
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol:							
10/01/21	200.000	73.44	09/30/21	78.80	...	-5.36	Sale ²⁵
10/04/21	400.000	131.95	09/30/21	157.60	...	-25.65	Sale ²⁵
10/05/21	400.000	119.55	09/30/21	157.60	...	-38.05	Sale ²⁵
	Security total:	324.94		394.00	...	-69.06	
POWERBRIDGE TECHNOLOGIES CO., LTD. ORDINARY SHARES / CUSIP: G72007100 / Symbol:							
09/29/21	100.000	113.99	09/24/21	130.00	...	-16.01	Sale ²⁵
10/05/21	100.000	114.99	09/24/21	130.00	...	-15.01	Sale ²⁵
	Security total:	228.98		260.00	...	-31.02	
REGENCELL BIOSCIENCE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G7487R100 / Symbol:							
09/23/21	14.000	278.32	09/22/21	329.00	...	-50.68	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. V / CUSIP: G8252P105 / Symbol:							
01/13/21	56.000	1,060.32	01/08/21	1,072.93	...	-12.61	Sale ²⁵
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
05/19/21	50.000	138.61	02/22/21	241.50	...	-102.89	Sale ²⁵

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Robinhood Securities LLC

Account 414252510

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYREN LTD. ORDINARY SHARES / CUSIP: M26895108 / Symbol:							
<i>2 transactions for 09/13/21. Total proceeds and cost reported to the IRS.</i>							
	250.000	150.00	09/10/21	197.50	...	-47.50	1 of 2 - Sale ²⁵
	250.000	167.55	09/10/21	197.50	...	-29.95	2 of 2 - Sale ²⁵
09/13/21	500.000	317.55	Various	395.00	...	-77.45	Total of 2 transactions
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
09/24/21	150.000	298.48	09/24/21	337.50	...	-39.02	Sale ²⁵
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
<i>2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	376.07	01/12/21	310.00	...	66.07	1 of 2 - Sale ²⁵
	2,000.000	752.16	01/12/21	604.00	...	148.16	2 of 2 - Sale ²⁵
05/25/21	3,000.000	1,128.23	Various	914.00	...	214.23	Total of 2 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
05/28/21	100.000	307.00	01/12/21	310.00	...	-3.00	Sale ²⁵
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
<i>4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	2.200	3.72	06/05/20	10.59	...	-6.87	1 of 4 - Sale ²⁵
	3.600	6.08	06/25/20	12.91	...	-6.83	2 of 4 - Sale ²⁵
	10.000	16.90	06/29/20	34.33	...	-17.43	3 of 4 - Sale ²⁵
	1.200	2.03	06/29/20	4.13	...	-2.10	4 of 4 - Sale ²⁵
01/12/21	17.000	28.73	Various	61.96	...	-33.23	Total of 4 transactions
Totals :		92,181.51		102,849.72	407.41 W	-10,260.80	

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Robinhood Securities LLC

Account 414252510

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
PROSPECT CAPITAL CORPORATION C OMMON STOCK	74348T102		02/18/21	24.57	Nonqualified dividend	03	C
			02/18/21	4.75	Nondividend distribution	03	C
			02/18/21	0.68	Qualified dividend	03	C
			03/18/21	24.57	Nonqualified dividend	03	C
			03/18/21	4.75	Nondividend distribution	03	C
			03/18/21	0.68	Qualified dividend	03	C
			04/22/21	24.57	Nonqualified dividend	03	C
			04/22/21	4.75	Nondividend distribution	03	C
			04/22/21	0.68	Qualified dividend	03	C
			05/20/21	24.75	Nonqualified dividend	03	C
			05/20/21	4.79	Nondividend distribution	03	C
			05/20/21	0.68	Qualified dividend	03	C
			06/17/21	24.93	Nonqualified dividend	03	C
			06/17/21	4.82	Nondividend distribution	03	C
			06/17/21	0.69	Qualified dividend	03	C
			07/22/21	25.11	Nonqualified dividend	03	C
			07/22/21	4.85	Nondividend distribution	03	C
			07/22/21	0.69	Qualified dividend	03	C
			08/19/21	25.28	Nonqualified dividend	03	C
			08/19/21	4.89	Nondividend distribution	03	C
			08/19/21	0.70	Qualified dividend	03	C
			09/23/21	30.28	Nonqualified dividend	03	C
			09/23/21	0.83	Qualified dividend	03	C
			10/21/21	30.28	Nonqualified dividend	03	C
			10/21/21	0.83	Qualified dividend	03	C
			11/18/21	30.28	Nonqualified dividend	03	C
			11/18/21	0.83	Qualified dividend	03	C

Robinhood Securities LLC

Account 414252510

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
PROSPECT CAPITAL CORPORATION C COMMON STOCK (cont'd)			12/23/21	30.28	Nonqualified dividend	03	C
			12/23/21	0.83	Qualified dividend	03	C
			01/20/22	30.28	Nonqualified dividend	03	C
			01/20/22	0.83	Qualified dividend	03	C
			367.73	Total Dividends & distributions			
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405		10/21/21	156.46	Cash liquidation		
				524.19	Total Dividends & distributions		

Robinhood Securities LLC

Account 414252510

Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/22/21	-5.00	Margin interest paid	
		04/20/21	-5.00	Margin interest paid	
		05/20/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-25.00	Total Margin interest paid	

Robinhood Securities LLC

Account 414252510

Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/22/21	-70.00	0.00	Exchange	
STAR PEAK ENERGY TRANSITION CO RP.	855185104	04/29/21	-17.00	0.00	Exchange	
STEM, INC.	85859N102	04/29/21	17.00	0.00	Exchange	
UWM HOLDINGS CORPORATION	91823B109	01/22/21	70.00	0.00	Exchange	
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405	10/21/21	-200.00	0.00	Exchange	
GE 01/21/2022 CALL \$25.00	GE 01/21/22 C 25.000	08/02/21	-1.00	0.00	Merger	
GE1 01/21/2022 CALL \$25.00	GE1 01/21/22 C 25.000	08/02/21	1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
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Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.