

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 444881213	Statement Date: 03/02/2022 AMENDED Document ID: 4UQW 252 B8E9	2021
	Pradeep kumar Devarakonda 250 Washington Ave APT B2 Brooklyn, NY 11205 RECIPIENT'S TIN: XXX-XX-3268		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.25</p> <p>1b- Qualified dividends 0.13</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.50</p> <p>2b- Unrecaptured Section 1250 gain 0.50</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 1.39</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.12</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	59,644.63	70,332.18	0.00	2,836.33	-7,851.22 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	59,644.63	70,332.18	0.00	2,836.33	-7,851.22
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	59,644.63	70,332.18	0.00	2,836.33	-7,851.22
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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Summary Information

2021

(continued)

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INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.21
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/22/2021 CALL \$16.50 / CUSIP: / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	01/19/21	27.00	...	-22.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	01/19/21	27.00	...	-22.02	2 of 2 - Option sale to close-call ²⁵
01/21/21	2.000	9.96	Various	54.00	...	-44.04	Total of 2 transactions
AAPL 01/22/2021 CALL \$136.00 / CUSIP: / Symbol:							
01/21/21	1.000	129.98	01/15/21	81.00	...	48.98	Option sale to close-call ²⁵
ABNB 01/22/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/19/21	1.000	264.98	01/15/21	480.00	...	-215.02	Option sale to close-call ²⁵
AI 02/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
02/18/21	1.000	69.98	02/17/21	430.00	...	-360.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AI 03/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/23/21	295.00	...	-295.00	Option expiration- long call
AMC 02/05/2021 CALL \$8.00 / CUSIP: / Symbol:							
02/03/21	2.000	399.98	02/02/21	220.00	...	179.98	Option sale to close-call ²⁵
AMC 02/12/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	16.99	02/04/21	109.00	...	-92.01	1 of 2 - Option sale to close-call ²⁵
	1.000	16.99	02/04/21	108.00	...	-91.01	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	33.98	Various	217.00	...	-183.02	Total of 2 transactions
AMC 02/12/2021 CALL \$11.00 / CUSIP: / Symbol:							
02/04/21	1.000	85.98	02/03/21	270.02	...	-184.04	Option sale to close-call ²⁵
02/04/21	1.000	85.98	02/03/21	181.00	95.02 W	0.00	Option sale to close-call ²⁵
	Security total:	171.96		451.02	95.02 W	-184.04	
AMC 02/26/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	279.98	02/22/21	37.00	...	242.98	1 of 2 - Option sale to close-call ²⁵
	1.000	279.98	02/22/21	37.00	...	242.98	2 of 2 - Option sale to close-call ²⁵
02/24/21	2.000	559.96	Various	74.00	...	485.96	Total of 2 transactions
AMC 04/01/2021 CALL \$10.50 / CUSIP: / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/01/21	16.00	...	-16.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/01/21	8.00	...	-8.00	2 of 2 - Option expiration- long call
04/01/21	3.000	0.00	Various	24.00	...	-24.00	Total of 2 transactions
AMC 04/23/2021 CALL \$9.50 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	52.99	04/13/21	49.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	1.000	52.99	04/14/21	64.00	...	-11.01	2 of 2 - Option sale to close-call ²⁵
04/23/21	2.000	105.98	Various	113.00	...	-7.02	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLNK 03/26/2021 CALL \$42.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/22/21	210.00	...	-210.00	Option expiration- long call
BNGO 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/22/21	103.00	...	-103.00	Option expiration- long call
BNGO 05/21/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	16.99	04/12/21	54.00	...	-37.01	1 of 2 - Option sale to close-call ²⁵
	1.000	16.99	04/12/21	53.00	...	-36.01	2 of 2 - Option sale to close-call ²⁵
05/04/21	2.000	33.98	Various	107.00	...	-73.02	Total of 2 transactions
CCIV 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/26/21	1.000	589.97	01/19/21	327.00	...	262.97	Option sale to close-call ²⁵
CCIV 02/19/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	267.99	01/15/21	255.00	...	12.99	1 of 2 - Option sale to close-call ²⁵
	1.000	267.98	01/15/21	195.00	...	72.98	2 of 2 - Option sale to close-call ²⁵
01/19/21	2.000	535.97	Various	450.00	...	85.97	Total of 2 transactions
CCIV 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/02/21	1.000	389.98	01/26/21	299.00	...	90.98	Option sale to close-call ²⁵
CCIV 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/18/21	1.000	999.96	02/17/21	460.00	...	539.96	Option sale to close-call ²⁵
CCIV 03/12/2021 CALL \$31.00 / CUSIP: / Symbol:							
03/11/21	1.000	8.98	03/05/21	55.00	...	-46.02	Option sale to close-call ²⁵
CCIV 03/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/23/21	225.00	...	-225.00	Option expiration- long call
CCIV 03/19/2021 CALL \$95.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/22/21	900.00	...	-900.00	Option expiration- long call
CHPT 05/07/2021 CALL \$25.50 / CUSIP: / Symbol:							
05/04/21	1.000	14.98	04/30/21	137.00	...	-122.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/18/21	100.00	...	-100.00	Option expiration- long call
DISCA 04/16/2021 CALL \$47.50 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/07/21	120.00	...	-120.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/12/21	30.00	...	-30.00	2 of 2 - Option expiration- long call
04/16/21	4.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
EH 04/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	04/12/21	25.00	...	-20.01	1 of 4 - Option sale to close-call ²⁵
	1.000	4.99	04/12/21	25.00	...	-20.01	2 of 4 - Option sale to close-call ²⁵
	1.000	5.00	04/12/21	25.00	...	-20.00	3 of 4 - Option sale to close-call ²⁵
	1.000	5.00	04/12/21	25.00	...	-20.00	4 of 4 - Option sale to close-call ²⁵
04/15/21	4.000	19.98	Various	100.00	...	-80.02	Total of 4 transactions
EH 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/04/21	1.000	19.98	04/12/21	90.00	...	-70.02	Option sale to close-call ²⁵
FCEL 02/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	399.98	01/19/21	263.00	...	136.98	1 of 2 - Option sale to close-call ²⁵
	1.000	399.99	01/19/21	255.00	...	144.99	2 of 2 - Option sale to close-call ²⁵
01/26/21	2.000	799.97	Various	518.00	...	281.97	Total of 2 transactions
FTEK 02/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
02/16/21	2.000	9.98	01/26/21	156.00	...	-146.02	Option sale to close-call ²⁵
GE 01/29/2021 CALL \$11.50 / CUSIP: / Symbol:							
01/27/21	3.000	20.98	01/26/21	84.00	...	-63.02	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

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03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 02/12/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	22.98	02/02/21	23.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	22.98	02/02/21	23.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	45.96	Various	46.00	...	-0.04	Total of 2 transactions
GPRO 02/12/2021 CALL \$8.50 / CUSIP: / Symbol:							
02/12/21	2.000	0.00	02/05/21	106.00	...	-106.00	Option expiration- long call
IDEX 02/19/2021 CALL \$4.50 / CUSIP: / Symbol:							
02/18/21	1.000	1.98	02/01/21	78.00	...	-76.02	Option sale to close-call ²⁵
IPOF 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/19/21	1.000	16.98	01/28/21	100.00	...	-83.02	Option sale to close-call ²⁵
KO 01/08/2021 CALL \$50.50 / CUSIP: / Symbol:							
01/08/21	3.000	11.98	01/07/21	27.00	...	-15.02	Option sale to close-call ²⁵
KO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/12/21	1.000	47.98	01/07/21	63.00	...	-15.02	Option sale to close-call ²⁵
KO 01/22/2021 CALL \$49.50 / CUSIP: / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	10.99	01/15/21	65.02	...	-54.03	1 of 3 - Option sale to close-call ²⁵
	1.000	10.99	01/15/21	38.00	...	-27.01	2 of 3 - Option sale to close-call ²⁵
	1.000	11.00	01/15/21	38.00	...	-27.00	3 of 3 - Option sale to close-call ²⁵
01/19/21	3.000	32.98	Various	141.02	...	-108.04	Total of 3 transactions
01/19/21	1.000	10.98	01/15/21	38.00	27.02 W	0.00	Option sale to close-call ²⁵
	Security total:	43.96		179.02	27.02 W	-108.04	
KO 01/29/2021 CALL \$49.00 / CUSIP: / Symbol:							
01/26/21	2.000	77.98	01/21/21	90.00	...	-12.02	Option sale to close-call ²⁵
LYFT 01/15/2021 CALL \$48.50 / CUSIP: / Symbol:							
01/13/21	1.000	199.98	01/12/21	95.00	...	104.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LYFT 01/22/2021 CALL \$49.00 / CUSIP: / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	92.99	01/15/21	176.01	...	-83.02	1 of 3 - Option sale to close-call ²⁵
	1.000	93.00	01/19/21	163.01	...	-70.01	2 of 3 - Option sale to close-call ²⁵
	1.000	92.99	01/19/21	102.00	...	-9.01	3 of 3 - Option sale to close-call ²⁵
01/20/21	3.000	278.98	Various	441.02	...	-162.04	Total of 3 transactions
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	92.99	01/15/21	134.00	41.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	92.99	01/15/21	154.00	61.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/20/21	2.000	185.98	Various	288.00	102.02 W	0.00	Total of 2 transactions
	Security total:	464.96		729.02	102.02 W	-162.04	
LYFT 02/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
02/03/21	1.000	499.97	01/28/21	290.00	...	209.97	Option sale to close-call ²⁵
MARA 01/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
01/14/21	1.000	179.98	01/13/21	182.00	...	-2.02	Option sale to close-call ²⁵
MARA 01/15/2021 CALL \$26.00 / CUSIP: / Symbol:							
01/14/21	1.000	58.98	01/12/21	166.00	...	-107.02	Option sale to close-call ²⁵
MARA 01/22/2021 CALL \$18.00 / CUSIP: / Symbol:							
01/22/21	1.000	68.98	01/21/21	48.00	...	20.98	Option sale to close-call ²⁵
MARA 01/22/2021 CALL \$23.00 / CUSIP: / Symbol:							
01/19/21	1.000	174.98	01/15/21	292.00	...	-117.02	Option sale to close-call ²⁵
MARA 01/29/2021 CALL \$17.00 / CUSIP: / Symbol:							
01/26/21	1.000	134.98	01/21/21	182.00	...	-47.02	Option sale to close-call ²⁵
MARA 01/29/2021 CALL \$24.00 / CUSIP: / Symbol:							
01/19/21	1.000	304.98	01/15/21	400.00	...	-95.02	Option sale to close-call ²⁵
MARA 02/26/2021 CALL \$37.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/23/21	71.00	...	-71.00	Option expiration- long call

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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MARA 04/09/2021 CALL \$52.00 / CUSIP: / Symbol: 04/09/21	2.000	11.98	04/08/21	234.00	...	-222.02	Option sale to close-call ²⁵
MARA 04/16/2021 CALL \$55.00 / CUSIP: / Symbol: 04/16/21	1.000	0.00	04/13/21	186.00	...	-186.00	Option expiration- long call
MARA 04/23/2021 CALL \$41.00 / CUSIP: / Symbol: 04/23/21	1.000	0.00	04/16/21	360.00	...	-360.00	Option expiration- long call
MARA 04/30/2021 CALL \$34.50 / CUSIP: / Symbol: 04/28/21	1.000	299.98	04/23/21	132.00	...	167.98	Option sale to close-call ²⁵
MARA 04/30/2021 CALL \$36.00 / CUSIP: / Symbol: 04/28/21	1.000	194.98	04/26/21	244.00	...	-49.02	Option sale to close-call ²⁵
MARA 05/28/2021 CALL \$22.00 / CUSIP: / Symbol: 05/26/21	1.000	349.98	05/19/21	150.00	...	199.98	Option sale to close-call ²⁵
MARA 05/28/2021 CALL \$23.00 / CUSIP: / Symbol: 05/26/21	1.000	239.98	05/19/21	119.00	...	120.98	Option sale to close-call ²⁵
MARA 06/04/2021 CALL \$27.00 / CUSIP: / Symbol: 06/03/21	2.000	33.98	05/28/21	208.00	...	-174.02	Option sale to close-call ²⁵
MARA 11/26/2021 CALL \$58.00 / CUSIP: / Symbol: 11/26/21	1.000	0.00	11/16/21	455.00	...	-455.00	Option expiration- long call
MRO 01/15/2021 CALL \$7.00 / CUSIP: / Symbol: 01/05/21	2.000	119.98	01/04/21	48.00	...	71.98	Option sale to close-call ²⁵
NIO 01/08/2021 CALL \$48.00 / CUSIP: / Symbol: 01/07/21	1.000	629.97	12/29/20	184.00	...	445.97	Option sale to close-call ²⁵
NIO 01/08/2021 CALL \$52.00 / CUSIP: / Symbol: 01/07/21	1.000	249.98	01/05/21	255.00	...	-5.02	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$56.00 / CUSIP: / Symbol: 01/12/21	1.000	649.97	01/04/21	360.00	...	289.97	Option sale to close-call ²⁵

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Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 01/15/2021 CALL \$59.50 / CUSIP: / Symbol: 01/14/21	1.000	234.98	01/08/21	315.00	...	-80.02	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$64.00 / CUSIP: / Symbol: 01/14/21	1.000	38.98	01/12/21	183.00	...	-144.02	Option sale to close-call ²⁵
NIO 01/22/2021 CALL \$58.00 / CUSIP: / Symbol: 01/21/21	1.000	99.98	01/19/21	193.00	...	-93.02	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$64.00 / CUSIP: / Symbol: 01/19/21	1.000	155.98	01/15/21	287.00	...	-131.02	Option sale to close-call ²⁵
NIO 02/26/2021 CALL \$53.00 / CUSIP: / Symbol: 02/26/21	2.000	0.00	02/22/21	328.00	...	-328.00	Option expiration- long call
NIO 04/16/2021 CALL \$40.00 / CUSIP: / Symbol: 04/16/21	1.000	0.00	04/13/21	41.00	...	-41.00	Option expiration- long call
NIO 05/07/2021 CALL \$39.50 / CUSIP: / Symbol: 05/04/21	1.000	76.98	04/30/21	137.00	...	-60.02	Option sale to close-call ²⁵
NIO 05/07/2021 CALL \$43.00 / CUSIP: / Symbol: 05/04/21	1.000	10.98	04/26/21	202.00	...	-191.02	Option sale to close-call ²⁵
NNDM 02/19/2021 CALL \$12.50 / CUSIP: / Symbol: 02/08/21	1.000	399.98	01/20/21	163.00	...	236.98	Option sale to close-call ²⁵
NNDM 02/19/2021 CALL \$20.00 / CUSIP: / Symbol: 02/16/21	1.000	12.98	01/26/21	177.00	...	-164.02	Option sale to close-call ²⁵
NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol: 03/19/21	1.000	0.00	02/16/21	294.00	...	-294.00	Option expiration- long call
NNDM 03/19/2021 CALL \$20.00 / CUSIP: / Symbol: 03/19/21	1.000	0.00	02/23/21	50.00	...	-50.00	Option expiration- long call

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NNDM 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	04/12/21	50.02	...	-37.03	1 of 4 - Option sale to close-call ²⁵
	1.000	12.99	04/12/21	32.00	...	-19.01	2 of 4 - Option sale to close-call ²⁵
	1.000	13.00	04/14/21	37.00	...	-24.00	3 of 4 - Option sale to close-call ²⁵
	1.000	12.98	04/16/21	42.00	...	-29.02	4 of 4 - Option sale to close-call ²⁵
05/04/21	4.000	51.96	Various	161.02	...	-109.06	Total of 4 transactions
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	12.98	04/12/21	31.00	18.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	13.00	04/12/21	31.00	18.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/04/21	2.000	25.98	Various	62.00	36.02 W	0.00	Total of 2 transactions
	Security total:	77.94		223.02	36.02 W	-109.06	
PFE 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/17/21	54.00	...	-54.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/17/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
02/19/21	4.000	0.00	Various	74.00	...	-74.00	Total of 2 transactions
PLTR 01/29/2021 CALL \$38.00 / CUSIP: / Symbol:							
01/27/21	1.000	221.98	01/26/21	235.00	...	-13.02	Option sale to close-call ²⁵
PLTR 02/19/2021 CALL \$29.50 / CUSIP: / Symbol:							
02/19/21	1.000	34.98	02/16/21	163.00	...	-128.02	Option sale to close-call ²⁵
PLTR 02/26/2021 CALL \$29.50 / CUSIP: / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	02/22/21	130.00	...	-124.02	1 of 2 - Option sale to close-call ²⁵
	1.000	5.98	02/22/21	130.00	...	-124.02	2 of 2 - Option sale to close-call ²⁵
02/25/21	2.000	11.96	Various	260.00	...	-248.04	Total of 2 transactions
PLTR 04/09/2021 CALL \$25.00 / CUSIP: / Symbol:							
04/09/21	2.000	0.00	04/01/21	56.00	...	-56.00	Option expiration- long call

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PLTR 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/07/21	59.00	...	-59.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/09/21	93.00	...	-93.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	152.00	...	-152.00	Total of 2 transactions
PLTR 04/23/2021 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	04/12/21	78.00	...	-77.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	04/12/21	74.00	...	-73.01	2 of 2 - Option sale to close-call ²⁵
04/23/21	2.000	1.98	Various	152.00	...	-150.02	Total of 2 transactions
PLTR 04/23/2021 CALL \$26.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/14/21	101.00	...	-101.00	Option expiration- long call
PLTR 05/07/2021 CALL \$23.50 / CUSIP: / Symbol:							
05/04/21	1.000	10.98	04/30/21	53.00	...	-42.02	Option sale to close-call ²⁵
PLTR 05/07/2021 CALL \$25.00 / CUSIP: / Symbol:							
05/04/21	2.000	5.98	04/26/21	174.02	...	-168.04	Option sale to close-call ²⁵
05/04/21	2.000	5.98	04/22/21	96.00	90.02 W	0.00	Option sale to close-call ²⁵
	Security total:	11.96		270.02	90.02 W	-168.04	
RAD 04/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	04/12/21	110.00	...	-110.00	Option expiration- long call
RIDE 03/26/2021 CALL \$13.50 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/22/21	53.00	...	-53.00	Option expiration- long call
SBE 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/12/21	1.000	464.97	01/05/21	205.00	...	259.97	Option sale to close-call ²⁵
SBE 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/14/21	1.000	67.98	01/07/21	92.00	...	-24.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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SBE 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/04/21	1.000	52.98	12/29/20	389.02	336.04 W	0.00	Option sale to close-call ²⁵
		<i>2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	5.99	01/04/21	398.04	...	-392.05	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	01/07/21	39.00	...	-33.01	2 of 2 - Option sale to close-call ²⁵
01/14/21	2.000	11.98	Various	437.04	...	-425.06	Total of 2 transactions
	Security total:	64.96		826.06	336.04 W	-425.06	
SBE 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/04/21	1.000	20.98	12/29/20	99.00	...	-78.02	Option sale to close-call ²⁵
SBE 01/22/2021 CALL \$40.50 / CUSIP: / Symbol:							
01/22/21	1.000	0.98	01/19/21	190.00	...	-189.02	Option sale to close-call ²⁵
SBE 01/22/2021 CALL \$44.50 / CUSIP: / Symbol:							
	<i>2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	01/14/21	272.00	...	-272.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/15/21	210.00	...	-210.00	2 of 2 - Option expiration- long call
01/22/21	2.000	0.00	Various	482.00	...	-482.00	Total of 2 transactions
SBE 01/29/2021 CALL \$39.00 / CUSIP: / Symbol:							
01/26/21	1.000	399.98	01/25/21	161.00	...	238.98	Option sale to close-call ²⁵
SOS 04/16/2021 CALL \$6.50 / CUSIP: / Symbol:							
	<i>3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	04/07/21	75.00	...	-75.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/07/21	70.00	...	-70.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/07/21	70.00	...	-70.00	3 of 3 - Option expiration- long call
04/16/21	3.000	0.00	Various	215.00	...	-215.00	Total of 3 transactions
SRGA 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
	<i>2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.98	03/12/21	20.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	9.98	03/12/21	20.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
03/18/21	2.000	19.96	Various	40.00	...	-20.04	Total of 2 transactions

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STOR 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 01/15/21	3.000	0.00	01/07/21	12.00	...	-12.00	Option expiration- long call
TLRY 03/05/2021 CALL \$24.50 / CUSIP: / Symbol: 03/05/21	1.000	0.00	02/23/21	221.00	...	-221.00	Option expiration- long call
TQQQ 02/05/2021 CALL \$95.00 / CUSIP: / Symbol: 02/02/21	1.000	799.97	02/01/21	360.00	...	439.97	Option sale to close-call ²⁵
TQQQ 03/05/2021 CALL \$106.00 / CUSIP: / Symbol: 03/05/21	1.000	0.00	02/23/21	65.00	...	-65.00	Option expiration- long call
VIAC 04/23/2021 CALL \$45.00 / CUSIP: / Symbol: 04/23/21	2.000	7.98	04/12/21	108.00	...	-100.02	Option sale to close-call ²⁵
WFC 01/15/2021 CALL \$34.50 / CUSIP: / Symbol: 01/13/21	1.000	50.98	01/12/21	69.00	...	-18.02	Option sale to close-call ²⁵
WFC 01/22/2021 CALL \$34.00 / CUSIP: / Symbol: 01/19/21	2.000	33.98	01/15/21	90.00	...	-56.02	Option sale to close-call ²⁵
XL 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 01/07/21	1.000	17.98	01/04/21	49.00	...	-31.02	Option sale to close-call ²⁵
XL 02/19/2021 CALL \$25.00 / CUSIP: / Symbol: 02/16/21	1.000	27.98	01/19/21	195.00	...	-167.02	Option sale to close-call ²⁵
XPEV 01/08/2021 CALL \$43.50 / CUSIP: / Symbol: 01/07/21	1.000	199.98	12/30/20	198.00	...	1.98	Option sale to close-call ²⁵
XPEV 01/15/2021 CALL \$46.50 / CUSIP: / Symbol: 01/12/21	1.000	449.98	01/08/21	244.00	...	205.98	Option sale to close-call ²⁵
XPEV 01/15/2021 PUT \$52.50 / CUSIP: / Symbol: 01/14/21	1.000	216.98	01/13/21	194.00	...	22.98	Option sale to close-put ²⁵
XPEV 02/05/2021 CALL \$50.00 / CUSIP: / Symbol: 02/02/21	1.000	90.98	01/28/21	335.00	...	-244.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPEV 02/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/04/21	182.00	...	-182.00	Option expiration- long call
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
02/16/21	200.000	551.96	02/09/21	561.66	...	-9.70	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	10.000	91.51	01/27/21	190.85	99.34 W	0.00	1 of 2 - Sale ²⁵
	15.000	137.25	01/27/21	235.00	97.75 W	0.00	2 of 2 - Sale ²⁵
02/02/21	25.000	228.76	Various	425.85	197.09 W	0.00	Total of 2 transactions
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	2.000	13.28	02/03/21	32.41	19.13 W	0.00	1 of 3 - Sale ²⁵
	7.000	46.49	02/05/21	121.69	75.20 W	0.00	2 of 3 - Sale ²⁵
	13.000	86.34	02/05/21	181.57	95.23 W	0.00	3 of 3 - Sale ²⁵
02/08/21	22.000	146.11	Various	335.67	189.56 W	0.00	Total of 3 transactions
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	5.000	69.76	02/25/21	44.64	...	25.12	1 of 2 - Sale ²⁵
	20.000	279.04	02/25/21	200.40	...	78.64	2 of 2 - Sale ²⁵
03/19/21	25.000	348.80	Various	245.04	...	103.76	Total of 2 transactions
5 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	27.90	02/25/21	39.19	11.29 W	0.00	1 of 5 - Sale ²⁵
	3.000	41.85	02/25/21	52.04	10.19 W	0.00	2 of 5 - Sale ²⁵
	3.000	41.86	02/25/21	59.89	18.03 W	0.00	3 of 5 - Sale ²⁵
	7.000	97.66	02/25/21	145.34	47.68 W	0.00	4 of 5 - Sale ²⁵
	10.000	139.52	02/25/21	173.55	34.03 W	0.00	5 of 5 - Sale ²⁵
03/19/21	25.000	348.79	Various	470.01	121.22 W	0.00	Total of 5 transactions
9 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	7.000	61.39	02/25/21	110.18	...	-48.79	1 of 9 - Sale ²⁵
	10.000	87.70	02/25/21	123.32	...	-35.62	2 of 9 - Sale ²⁵
	3.000	26.31	02/25/21	44.82	...	-18.51	3 of 9 - Sale ²⁵
	2.000	17.54	02/25/21	29.15	...	-11.61	4 of 9 - Sale ²⁵
	3.000	26.31	02/25/21	36.98	...	-10.67	5 of 9 - Sale ²⁵
	20.000	175.39	02/25/21	178.57	...	-3.18	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	2.000	17.54	04/01/21	19.82	...	-2.28	7 of 9 - Sale ²⁵
	25.000	219.24	04/07/21	249.75	...	-30.51	8 of 9 - Sale ²⁵
	5.000	43.85	04/07/21	49.95	...	-6.10	9 of 9 - Sale ²⁵
04/12/21	77.000	675.27	Various	842.54	...	-167.27	Total of 9 transactions
	2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	1.000	28.00	05/26/21	18.74	...	9.26	1 of 2 - Sale ²⁵
	29.000	816.63	05/26/21	543.41	...	273.22	2 of 2 - Sale ²⁵
05/27/21	30.000	844.63	Various	562.15	...	282.48	Total of 2 transactions
07/01/21	0.053	3.00	05/28/21	1.80	...	1.20	Sale ²⁵
	5 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	0.232	5.65	05/28/21	7.83	2.18 W	0.00	1 of 5 - Sale ²⁵
	0.331	8.16	05/28/21	11.16	3.00 W	0.00	2 of 5 - Sale ²⁵
	1.000	24.35	05/28/21	33.75	9.40 W	0.00	3 of 5 - Sale ²⁵
	1.000	24.67	05/28/21	33.75	9.08 W	0.00	4 of 5 - Sale ²⁵
	3.676	92.03	05/28/21	124.06	32.03 W	0.00	5 of 5 - Sale ²⁵
12/16/21	6.239	154.86	Various	210.55	55.69 W	0.00	Total of 5 transactions
	4 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	4.000	113.41	06/03/21	219.53	...	-106.12	1 of 4 - Sale ²⁵
	5.000	141.76	06/14/21	272.34	...	-130.58	2 of 4 - Sale ²⁵
	5.000	141.76	06/14/21	272.00	...	-130.24	3 of 4 - Sale ²⁵
	4.000	113.40	06/14/21	217.75	...	-104.35	4 of 4 - Sale ²⁵
12/28/21	18.000	510.33	Various	981.62	...	-471.29	Total of 4 transactions
	10 transactions for 12/28/21. Total proceeds and cost reported to the IRS.						
	0.708	20.08	05/28/21	23.90	3.82 W	0.00	1 of 10 - Sale ²⁵
	2.000	56.70	05/28/21	69.91	13.21 W	0.00	2 of 10 - Sale ²⁵
	2.000	56.70	05/28/21	70.60	13.90 W	0.00	3 of 10 - Sale ²⁵
	2.000	56.70	05/28/21	71.24	14.54 W	0.00	4 of 10 - Sale ²⁵
	8.000	226.82	05/28/21	270.00	43.18 W	0.00	5 of 10 - Sale ²⁵
	15.000	425.27	06/03/21	825.00	161.31 W	-238.42	6 of 10 - Sale ²⁵
	1.000	28.35	06/03/21	42.00	13.65 W	0.00	7 of 10 - Sale ²⁵
	2.000	56.70	06/03/21	84.00	27.30 W	0.00	8 of 10 - Sale ²⁵
	5.000	141.76	06/03/21	305.50	163.74 W	0.00	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	10.000	283.51	06/03/21	620.05	336.54 W	0.00	10 of 10 - Sale ²⁵
12/28/21	47.708	1,352.59	Various	2,382.20	791.19 W	-238.42	Total of 10 transactions
	Security total:	4,613.14		6,457.43	1,354.75 W	-489.54	
APA CORPORATION COMMON STOCK / CUSIP: 03743Q108 / Symbol:							
4 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.006	0.11	11/23/20	0.07	...	0.04	1 of 4 - Sale ²⁵
	0.996	19.11	11/23/20	12.36	...	6.75	2 of 4 - Sale ²⁵
	0.002	0.04	11/24/20	0.03	...	0.01	3 of 4 - Sale ²⁵
	0.002	0.03	02/23/21	0.03	...	0.00	4 of 4 - Sale ²⁵
03/22/21	1.006	19.29	Various	12.49	...	6.80	Total of 4 transactions
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	50.000	681.89	02/18/21	854.50	...	-172.61	1 of 2 - Sale ²⁵
	10.000	136.38	02/18/21	173.29	...	-36.91	2 of 2 - Sale ²⁵
02/23/21	60.000	818.27	Various	1,027.79	...	-209.52	Total of 2 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
6 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	38.000	258.20	03/22/21	351.37	...	-93.17	1 of 6 - Sale ²⁵
	2.000	13.59	03/22/21	18.50	...	-4.91	2 of 6 - Sale ²⁵
	19.000	129.10	03/23/21	165.08	...	-35.98	3 of 6 - Sale ²⁵
	1.000	6.79	03/23/21	8.69	...	-1.90	4 of 6 - Sale ²⁵
	20.000	135.90	03/26/21	159.40	...	-23.50	5 of 6 - Sale ²⁵
	40.000	271.80	04/12/21	270.40	...	1.40	6 of 6 - Sale ²⁵
04/14/21	120.000	815.38	Various	973.44	...	-158.06	Total of 6 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
6 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	30.000	429.74	06/08/21	484.95	...	-55.21	1 of 6 - Sale ²⁵
	10.000	143.25	06/08/21	167.40	...	-24.15	2 of 6 - Sale ²⁵
	10.000	143.25	06/08/21	166.95	...	-23.70	3 of 6 - Sale ²⁵
	10.000	143.25	06/08/21	162.45	...	-19.20	4 of 6 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
	5.000	71.62	06/08/21	85.70	...	-14.08	5 of 6 - Sale ²⁵
	5.000	71.62	06/08/21	84.60	...	-12.98	6 of 6 - Sale ²⁵
06/14/21	70.000	1,002.73	Various	1,152.05	...	-149.32	Total of 6 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	8.000	328.11	03/23/21	310.40	...	17.71	1 of 2 - Sale ²⁵
	20.000	820.27	03/23/21	770.40	...	49.87	2 of 2 - Sale ²⁵
04/07/21	28.000	1,148.38	Various	1,080.80	...	67.58	Total of 2 transactions
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
02/18/21	7.000	933.54	02/05/21	1,021.37	...	-87.83	Sale ²⁵
5 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	1.000	60.55	03/22/21	90.01	29.46 W	0.00	1 of 5 - Sale ²⁵
	2.000	120.82	03/22/21	150.56	29.74 W	0.00	2 of 5 - Sale ²⁵
	2.000	121.11	03/22/21	150.56	29.45 W	0.00	3 of 5 - Sale ²⁵
	1.000	60.55	03/26/21	66.77	6.22 W	0.00	4 of 5 - Sale ²⁵
	1.000	60.56	03/26/21	81.64	21.08 W	0.00	5 of 5 - Sale ²⁵
04/12/21	7.000	423.59	Various	539.54	115.95 W	0.00	Total of 5 transactions
5 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	70.23	04/14/21	96.28	...	-26.05	1 of 5 - Sale ²⁵
	2.000	140.45	04/14/21	163.10	...	-22.65	2 of 5 - Sale ²⁵
	1.000	70.22	04/14/21	87.91	...	-17.69	3 of 5 - Sale ²⁵
	1.000	70.22	04/14/21	73.04	...	-2.82	4 of 5 - Sale ²⁵
	1.000	70.23	04/14/21	66.83	...	3.40	5 of 5 - Sale ²⁵
04/26/21	6.000	421.35	Various	487.16	...	-65.81	Total of 5 transactions
	Security total:	1,778.48		2,048.07	115.95 W	-153.64	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/26/21	20.000	472.40	01/19/21	361.33	...	111.07	Sale ²⁵
5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	34.63	02/02/21	29.22	...	5.41	1 of 5 - Sale ²⁵
	4.000	138.47	02/02/21	124.20	...	14.27	2 of 5 - Sale ²⁵
	6.000	207.71	02/02/21	185.46	...	22.25	3 of 5 - Sale ²⁵

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(continued)

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	9.000	311.56	02/02/21	278.19	...	33.37	4 of 5 - Sale ²⁵
	19.000	657.74	02/02/21	555.25	...	102.49	5 of 5 - Sale ²⁵
02/05/21	39.000	1,350.11	Various	1,172.32	...	177.79	Total of 5 transactions
	2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	53.00	02/02/21	31.05	...	21.95	1 of 2 - Sale ²⁵
	20.000	1,059.97	02/09/21	617.20	...	442.77	2 of 2 - Sale ²⁵
02/16/21	21.000	1,112.97	Various	648.25	...	464.72	Total of 2 transactions
	2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	10.000	220.70	03/19/21	268.45	...	-47.75	1 of 2 - Sale ²⁵
	5.000	110.35	03/19/21	134.22	...	-23.87	2 of 2 - Sale ²⁵
04/12/21	15.000	331.05	Various	402.67	...	-71.62	Total of 2 transactions
	2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	5.000	110.35	03/15/21	140.29	29.94 W	0.00	1 of 2 - Sale ²⁵
	5.000	110.35	03/19/21	164.17	43.06 W	-10.76	2 of 2 - Sale ²⁵
04/12/21	10.000	220.70	Various	304.46	73.00 W	-10.76	Total of 2 transactions
04/30/21	4.000	86.72	04/26/21	129.02	...	-42.30	Sale ²⁵
	Security total:	3,573.95		3,018.05	73.00 W	628.90	
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
	4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	8.000	152.08	01/26/21	189.58	...	-37.50	1 of 4 - Sale ²⁵
	8.000	152.08	01/26/21	166.32	...	-14.24	2 of 4 - Sale ²⁵
	1.000	19.00	01/26/21	23.70	...	-4.70	3 of 4 - Sale ²⁵
	1.000	19.00	01/26/21	23.69	...	-4.69	4 of 4 - Sale ²⁵
02/17/21	18.000	342.16	Various	403.29	...	-61.13	Total of 4 transactions
02/17/21	12.000	228.36	01/26/21	249.48	17.60 W	-3.52	Sale ²⁵
	Security total:	570.52		652.77	17.60 W	-64.65	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
02/16/21	20.000	238.10	02/09/21	258.97	...	-20.87	Sale ²⁵
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
04/22/21	1.000	307.25	04/14/21	336.03	...	-28.78	Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	100.000	133.99	02/16/21	145.89	...	-11.90	1 of 4 - Sale ²⁵
	30.000	40.20	02/16/21	43.35	...	-3.15	2 of 4 - Sale ²⁵
	50.000	66.99	02/16/21	67.50	...	-0.51	3 of 4 - Sale ²⁵
	50.000	66.99	02/16/21	66.50	...	0.49	4 of 4 - Sale ²⁵
02/18/21	230.000	308.17	Various	323.24	...	-15.07	Total of 4 transactions
DISCOVERY, INC. SERIES A COMMO N STOCK / CUSIP: 25470F104 / Symbol:							
05/19/21	7.000	230.87	05/18/21	237.22	...	-6.35	Sale ²⁵
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
02/22/21	5.000	305.31	02/17/21	307.65	0.94 W	-1.40	Sale ²⁵
02/25/21	2.000	99.50	02/23/21	101.48	...	-1.98	Sale ²⁵
	Security total:	404.81		409.13	0.94 W	-3.38	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/05/21	100.000	311.99	02/03/21	379.00	...	-67.01	Sale ²⁵
02/05/21	100.000	311.99	02/03/21	375.75	25.50 W	-38.26	Sale ²⁵
04/07/21	40.000	161.00	02/22/21	127.80	...	33.20	Sale ²⁵
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	130.000	425.43	04/27/21	445.73	...	-20.30	1 of 2 - Sale ²⁵
	70.000	229.24	04/27/21	240.01	...	-10.77	2 of 2 - Sale ²⁵
04/28/21	200.000	654.67	Various	685.74	...	-31.07	Total of 2 transactions
04/28/21	100.000	327.49	04/27/21	342.87	6.16 W	-9.22	Sale ²⁵
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	20.000	118.84	05/28/21	113.08	...	5.76	1 of 2 - Sale ²⁵
	20.000	118.85	05/28/21	110.98	...	7.87	2 of 2 - Sale ²⁵
06/08/21	40.000	237.69	Various	224.06	...	13.63	Total of 2 transactions
	Security total:	2,004.83		2,135.22	31.66 W	-98.73	

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	2.000	295.39	02/22/21	93.00	...	202.39	1 of 3 - Sale ²⁵
	2.000	295.39	02/25/21	290.00	...	5.39	2 of 3 - Sale ²⁵
	3.000	443.08	02/25/21	405.60	...	37.48	3 of 3 - Sale ²⁵
04/23/21	7.000	1,033.86	Various	788.60	...	245.26	Total of 3 transactions
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	70.000	45.09	02/02/21	51.37	...	-6.28	1 of 4 - Sale ²⁵
	30.000	19.33	02/02/21	24.08	...	-4.75	2 of 4 - Sale ²⁵
	30.000	19.32	02/02/21	23.36	...	-4.04	3 of 4 - Sale ²⁵
	20.000	12.88	02/02/21	15.33	...	-2.45	4 of 4 - Sale ²⁵
02/03/21	150.000	96.62	Various	114.14	...	-17.52	Total of 4 transactions
8 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	20.000	12.88	02/01/21	13.53	0.65 W	0.00	1 of 8 - Sale ²⁵
	50.000	32.21	02/01/21	33.41	1.20 W	0.00	2 of 8 - Sale ²⁵
	50.000	32.21	02/02/21	37.89	3.41 W	-2.27	3 of 8 - Sale ²⁵
	20.000	12.88	02/02/21	14.43	1.55 W	0.00	4 of 8 - Sale ²⁵
	30.000	19.32	02/02/21	20.67	1.35 W	0.00	5 of 8 - Sale ²⁵
	30.000	19.33	02/02/21	22.02	2.69 W	0.00	6 of 8 - Sale ²⁵
	50.000	32.21	02/02/21	35.65	3.44 W	0.00	7 of 8 - Sale ²⁵
	70.000	45.09	02/02/21	48.23	3.14 W	0.00	8 of 8 - Sale ²⁵
02/03/21	320.000	206.13	Various	225.83	17.43 W	-2.27	Total of 8 transactions
	Security total:	302.75		339.97	17.43 W	-19.79	
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/02/21	7.000	176.34	02/01/21	194.65	...	-18.31	3- Proceeds from collectibles [X] Sale ²⁵
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
02/17/21	8.000	472.39	02/08/21	497.33	...	-24.94	Sale ²⁵
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
04/26/21	20.000	198.80	03/15/21	303.38	...	-104.58	Sale ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
03/15/21	1.000	66.44	07/10/20	36.98	...	29.46	Sale ²⁵
THE MACERICH COMPANY / CUSIP: 554382101 / Symbol:							
3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	3.325	45.43	09/09/20	26.48	...	18.95	1 of 3 - Sale ²⁵
	0.044	0.60	12/04/20	0.50	...	0.10	2 of 3 - Sale ²⁵
	9.631	131.58	12/04/20	112.31	...	19.27	3 of 3 - Sale ²⁵
03/15/21	13.000	177.61	Various	139.29	...	38.32	Total of 3 transactions
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.369	4.83	12/04/20	4.30	...	0.53	1 of 2 - Sale ²⁵
	0.140	1.83	03/04/21	2.01	...	-0.18	2 of 2 - Sale ²⁵
03/22/21	0.509	6.66	Various	6.31	...	0.35	Total of 2 transactions
	Security total:	184.27		145.60	...	38.67	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
03/15/21	1.000	19.35	06/18/20	7.14	...	12.21	Sale ²⁵
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
03/05/21	1.000	30.00	02/08/21	26.64	...	3.36	Sale ²⁵
05/04/21	30.000	1,028.97	04/23/21	903.38	...	125.59	Sale ²⁵
05/04/21	5.000	171.50	04/22/21	173.35	1.85 W	0.00	Sale ²⁵
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	5.000	116.95	05/18/21	115.95	...	1.00	1 of 2 - Sale ²⁵
	25.000	584.74	05/18/21	570.50	...	14.24	2 of 2 - Sale ²⁵
06/08/21	30.000	701.69	Various	686.45	...	15.24	Total of 2 transactions
	Security total:	1,932.16		1,789.82	1.85 W	144.19	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	10.000	239.70	01/26/21	186.20	...	53.50	1 of 2 - Sale ²⁵
	20.000	479.39	01/26/21	376.60	...	102.79	2 of 2 - Sale ²⁵
02/03/21	30.000	719.09	Various	562.80	...	156.29	Total of 2 transactions
02/09/21	24.000	915.30	02/08/21	639.34	...	275.96	Sale ²⁵
	Security total:	1,634.39		1,202.14	...	432.25	

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	5.000	258.42	12/17/20	277.96	19.54 W	0.00	1 of 3 - Sale ²⁵
	3.000	155.05	12/28/20	163.02	7.97 W	0.00	2 of 3 - Sale ²⁵
	2.000	103.36	01/04/21	122.60	19.24 W	0.00	3 of 3 - Sale ²⁵
01/05/21	10.000	516.83	Various	563.58	46.75 W	0.00	Total of 3 transactions
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	2.000	114.50	01/04/21	107.17	...	7.33	1 of 2 - Sale ²⁵
	5.000	286.24	01/04/21	274.17	...	12.07	2 of 2 - Sale ²⁵
01/08/21	7.000	400.74	Various	381.34	...	19.40	Total of 2 transactions
01/08/21	3.000	171.74	01/04/21	184.91	13.17 W	0.00	Sale ²⁵
6 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	2.000	121.40	01/04/21	121.09	...	0.31	1 of 6 - Sale ²⁵
	1.000	60.69	01/04/21	53.58	...	7.11	2 of 6 - Sale ²⁵
	3.000	182.07	01/04/21	165.95	...	16.12	3 of 6 - Sale ²⁵
	5.000	303.50	01/04/21	262.23	...	41.27	4 of 6 - Sale ²⁵
	1.000	60.70	01/05/21	51.93	...	8.77	5 of 6 - Sale ²⁵
	9.000	546.31	01/07/21	488.70	...	57.61	6 of 6 - Sale ²⁵
01/12/21	21.000	1,274.67	Various	1,143.48	...	131.19	Total of 6 transactions
01/12/21	7.000	424.91	01/04/21	430.94	6.03 W	0.00	Sale ²⁵
6 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	59.98	01/07/21	55.16	...	4.82	1 of 6 - Sale ²⁵
	9.000	527.93	01/12/21	559.13	...	-31.20	2 of 6 - Sale ²⁵
	5.000	293.29	01/12/21	320.37	...	-27.08	3 of 6 - Sale ²⁵
	6.000	351.95	01/12/21	373.59	...	-21.64	4 of 6 - Sale ²⁵
	3.000	175.98	01/12/21	191.70	...	-15.72	5 of 6 - Sale ²⁵
	1.000	58.66	01/12/21	64.76	...	-6.10	6 of 6 - Sale ²⁵
01/15/21	25.000	1,467.79	Various	1,564.71	...	-96.92	Total of 6 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	11.000	645.24	01/12/21	677.71	11.81 W	-20.66	1 of 3 - Sale ²⁵
	3.000	179.93	01/12/21	184.83	4.90 W	0.00	2 of 3 - Sale ²⁵
	6.000	359.87	01/12/21	374.83	14.96 W	0.00	3 of 3 - Sale ²⁵
01/15/21	20.000	1,185.04	Various	1,237.37	31.67 W	-20.66	Total of 3 transactions

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	55.73	01/12/21	65.07	9.34 W	0.00	1 of 2 - Sale ²⁵
	3.000	167.19	01/26/21	188.49	21.30 W	0.00	2 of 2 - Sale ²⁵
02/02/21	4.000	222.92	Various	253.56	30.64 W	0.00	Total of 2 transactions
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	11.000	594.64	02/16/21	682.11	...	-87.47	1 of 3 - Sale ²⁵
	3.000	162.18	02/16/21	207.33	...	-45.15	2 of 3 - Sale ²⁵
	1.000	54.06	02/16/21	71.35	...	-17.29	3 of 3 - Sale ²⁵
02/22/21	15.000	810.88	Various	960.79	...	-149.91	Total of 3 transactions
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	10.000	395.29	04/14/21	376.30	...	18.99	1 of 2 - Sale ²⁵
	50.000	1,976.48	04/29/21	1,992.50	...	-16.02	2 of 2 - Sale ²⁵
05/03/21	60.000	2,371.77	Various	2,368.80	...	2.97	Total of 2 transactions
	Security total:	8,847.29		9,089.48	128.26 W	-113.93	
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
02/25/21	29.000	316.39	02/16/21	417.17	...	-100.78	Sale ²⁵
03/22/21	1.000	10.10	02/16/21	14.38	...	-4.28	Sale ²⁵
06/08/21	20.000	166.80	05/28/21	153.97	...	12.83	Sale ²⁵
	Security total:	493.29		585.52	...	-92.23	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
06/08/21	30.000	167.18	05/28/21	156.47	...	10.71	Sale ²⁵
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
03/17/21	5.000	144.57	05/21/20	79.15	...	65.42	Sale ²⁵
3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.003	0.08	07/16/20	0.05	...	0.03	1 of 3 - Sale ²⁵
	0.005	0.13	10/16/20	0.05	...	0.08	2 of 3 - Sale ²⁵
	0.002	0.07	01/19/21	0.05	...	0.02	3 of 3 - Sale ²⁵
03/22/21	0.010	0.28	Various	0.15	...	0.13	Total of 3 transactions
	Security total:	144.85		79.30	...	65.55	

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	2.000	44.72	03/17/21	51.39	...	-6.67	1 of 4 - Sale ²⁵
	20.000	447.19	03/26/21	459.90	...	-12.71	2 of 4 - Sale ²⁵
	10.000	223.60	04/01/21	228.65	...	-5.05	3 of 4 - Sale ²⁵
	5.000	111.80	04/07/21	115.23	...	-3.43	4 of 4 - Sale ²⁵
05/03/21	37.000	827.31	Various	855.17	...	-27.86	Total of 4 transactions
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	19.000	424.83	02/18/21	474.61	49.78 W	0.00	1 of 2 - Sale ²⁵
	4.000	89.44	03/05/21	95.64	1.55 W	-4.65	2 of 2 - Sale ²⁵
05/03/21	23.000	514.27	Various	570.25	51.33 W	-4.65	Total of 2 transactions
4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	5.000	106.55	04/07/21	128.32	...	-21.77	1 of 4 - Sale ²⁵
	9.000	191.79	04/12/21	233.37	...	-41.58	2 of 4 - Sale ²⁵
	5.000	106.55	04/12/21	128.23	...	-21.68	3 of 4 - Sale ²⁵
	1.000	21.31	04/12/21	24.86	...	-3.55	4 of 4 - Sale ²⁵
05/04/21	20.000	426.20	Various	514.78	...	-88.58	Total of 4 transactions
	Security total:	1,767.78		1,940.20	51.33 W	-121.09	
POSHMARK, INC. CLASS A COMMON STOCK / CUSIP: 73739W104 / Symbol:							
01/19/21	5.000	403.13	01/15/21	485.00	...	-81.87	Sale ²⁵
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
01/08/21	120.000	105.63	01/07/21	111.60	...	-5.97	Sale ²⁵
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	38.000	58.19	01/08/21	58.52	...	-0.33	1 of 2 - Sale ²⁵
	32.000	48.99	01/08/21	49.28	...	-0.29	2 of 2 - Sale ²⁵
01/11/21	70.000	107.18	Various	107.80	...	-0.62	Total of 2 transactions
02/23/21	100.000	264.00	02/17/21	314.00	...	-50.00	Sale ²⁵
	Security total:	371.18		421.80	...	-50.62	

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Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
02/25/21	10.000	14.52	02/16/21	21.90	...	-7.38	Sale ²⁵
	3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	200.000	290.29	02/16/21	436.00	131.14 W	-14.57	1 of 3 - Sale ²⁵
	50.000	72.57	02/16/21	103.50	30.93 W	0.00	2 of 3 - Sale ²⁵
	100.000	145.15	02/16/21	205.00	59.85 W	0.00	3 of 3 - Sale ²⁵
02/25/21	350.000	508.01	Various	744.50	221.92 W	-14.57	Total of 3 transactions
	5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	112.000	105.28	03/23/21	214.88	...	-109.60	1 of 5 - Sale ²⁵
	100.000	94.00	03/23/21	178.85	...	-84.85	2 of 5 - Sale ²⁵
	50.000	47.00	03/23/21	90.43	...	-43.43	3 of 5 - Sale ²⁵
	38.000	35.72	03/23/21	72.90	...	-37.18	4 of 5 - Sale ²⁵
	30.000	28.20	03/23/21	58.46	...	-30.26	5 of 5 - Sale ²⁵
04/14/21	330.000	310.20	Various	615.52	...	-305.32	Total of 5 transactions
	Security total:	832.73		1,381.92	221.92 W	-327.27	
SURGALIGN HOLDINGS, INC. COMMO N STOCK / CUSIP: 86882C105 / Symbol:							
	4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	50.000	148.15	02/03/21	116.50	...	31.65	1 of 4 - Sale ²⁵
	19.000	56.30	02/05/21	51.40	...	4.90	2 of 4 - Sale ²⁵
	20.000	59.26	02/05/21	53.93	...	5.33	3 of 4 - Sale ²⁵
	100.000	296.31	02/05/21	267.50	...	28.81	4 of 4 - Sale ²⁵
02/09/21	189.000	560.02	Various	489.33	...	70.69	Total of 4 transactions
02/16/21	1.000	2.86	02/05/21	2.71	...	0.15	Sale ²⁵
	3 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	20.000	44.00	03/12/21	48.40	...	-4.40	1 of 3 - Sale ²⁵
	10.000	22.00	03/12/21	24.35	...	-2.35	2 of 3 - Sale ²⁵
	2.000	4.40	03/12/21	5.02	...	-0.62	3 of 3 - Sale ²⁵
04/01/21	32.000	70.40	Various	77.77	...	-7.37	Total of 3 transactions
	Security total:	633.28		569.81	...	63.47	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
01/04/21	39.000	1,479.23	12/09/20	1,611.63	50.92 W	-81.48	Sale ²⁵
	2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	1.000	37.82	12/09/20	41.32	3.50 W	0.00	1 of 2 - Sale ²⁵
	2.000	75.63	01/07/21	86.69	11.06 W	0.00	2 of 2 - Sale ²⁵
02/01/21	3.000	113.45	Various	128.01	14.56 W	0.00	Total of 2 transactions
	2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	6.000	233.58	01/07/21	260.07	26.49 W	0.00	1 of 2 - Sale ²⁵
	6.000	233.58	01/28/21	260.55	26.97 W	0.00	2 of 2 - Sale ²⁵
02/02/21	12.000	467.16	Various	520.62	53.46 W	0.00	Total of 2 transactions
	5 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	37.40	01/28/21	43.42	...	-6.02	1 of 5 - Sale ²⁵
	6.000	224.41	02/08/21	272.89	...	-48.48	2 of 5 - Sale ²⁵
	6.000	224.40	02/08/21	272.42	...	-48.02	3 of 5 - Sale ²⁵
	2.000	74.80	02/08/21	93.03	...	-18.23	4 of 5 - Sale ²⁵
	1.000	37.40	02/08/21	44.49	...	-7.09	5 of 5 - Sale ²⁵
02/11/21	16.000	598.41	Various	726.25	...	-127.84	Total of 5 transactions
	Security total:	2,658.25		2,986.51	118.94 W	-209.32	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	15.000	343.13	02/11/21	568.20	...	-225.07	1 of 2 - Sale ²⁵
	1.000	22.87	02/11/21	37.83	...	-14.96	2 of 2 - Sale ²⁵
02/23/21	16.000	366.00	Various	606.03	...	-240.03	Total of 2 transactions
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
02/25/21	60.000	153.89	02/17/21	228.00	...	-74.11	Sale ²⁵
04/13/21	40.000	66.86	04/12/21	67.60	...	-0.74	Sale ²⁵
	Security total:	220.75		295.60	...	-74.85	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
02/05/21	10.000	397.25	02/02/21	339.90	...	57.35	Sale ²⁵

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/13/21	5.000	259.98	12/29/20	224.28	...	35.70	Sale ²⁵
	<i>4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	46.35	12/29/20	44.86	...	1.49	1 of 4 - Sale ²⁵
	5.000	231.74	02/05/21	235.28	...	-3.54	2 of 4 - Sale ²⁵
	10.000	463.48	02/05/21	467.00	...	-3.52	3 of 4 - Sale ²⁵
	10.000	463.48	02/05/21	466.79	...	-3.31	4 of 4 - Sale ²⁵
02/16/21	26.000	1,205.05	Various	1,213.93	...	-8.88	Total of 4 transactions
	Security total:	1,465.03		1,438.21	...	26.82	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
04/14/21	200.000	190.52	03/23/21	360.00	...	-169.48	Sale ²⁵
FTAC OLYMPUS ACQUISITION CORP. CLASS A ORDINARY SHARES / CUSIP: G37288100 / Symbol:							
	<i>2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	116.30	01/25/21	127.30	...	-11.00	1 of 2 - Sale ²⁵
	10.000	116.30	01/26/21	127.82	...	-11.52	2 of 2 - Sale ²⁵
01/27/21	20.000	232.60	Various	255.12	...	-22.52	Total of 2 transactions
ONE / CUSIP: G7000X105 / Symbol:							
03/22/21	5.000	61.76	03/15/21	65.90	...	-4.14	Sale ²⁵
03/22/21	20.000	247.04	03/15/21	263.60	16.56 W	0.00	Sale ²⁵
04/01/21	20.000	228.64	03/26/21	247.76	...	-19.12	Sale ²⁵
	Security total:	537.44		577.26	16.56 W	-23.26	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	108.99	02/02/21	93.35	...	15.64	1 of 2 - Sale ²⁵
	300.000	326.96	02/02/21	279.00	...	47.96	2 of 2 - Sale ²⁵
02/05/21	400.000	435.95	Various	372.35	...	63.60	Total of 2 transactions
04/13/21	200.000	113.56	04/12/21	116.97	...	-3.41	Sale ²⁵
	Security total:	549.51		489.32	...	60.19	

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Robinhood Securities LLC

Account 444881213

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
03/15/21	200.000	207.98	02/16/21	280.00	...	-72.02	Sale ²⁵
Totals :		59,644.63		70,332.18	2,836.33 W	-7,851.22	

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Robinhood Securities LLC

Account 444881213

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APACHE CORPORATION COMMON STOC	K 037411105		02/22/21	0.03	Qualified dividend	
THE MACERICH COMPANY	554382101		03/03/21	1.39	Nondividend distribution	03
			03/03/21	0.50	Unrecaptured section 1250 gain	03
			03/03/21	0.12	Section 199A dividend	03
				2.01	Total Dividends & distributions	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		01/15/21	0.05	Qualified dividend	
			04/15/21	0.05	Qualified dividend	
				0.10	Total Dividends & distributions	
				2.14	Total Dividends & distributions	

Robinhood Securities LLC

Account 444881213

Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/27/21	-5.00	Margin interest paid	
		02/26/21	-0.11	Margin interest paid	
		02/26/21	-5.00	Margin interest paid	
		03/29/21	-5.00	Margin interest paid	
		04/27/21	-0.10	Margin interest paid	
		04/27/21	-5.00	Margin interest paid	
		05/27/21	-5.00	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		07/26/21	-5.00	Margin interest paid	
			-35.21	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		09/24/21	-5.00	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-60.21	Total Margin interest paid	

Robinhood Securities LLC

Account 444881213

Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APACHE CORPORATION COMMON STOCK	037411105	03/02/21	-1.01	0.00	Exchange	
APA CORPORATION COMMON STOCK	03743Q108	03/02/21	1.01	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	1.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. COMMON STOCK	56585W401	03/01/21	-1.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.