

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 115422180</b>	Statement Date: 02/11/2022 Document ID: W8BY JFM P12G	2021
	Rajashekar Janki 5503 Research Drive 8207 San Antonio, TX 78240  RECIPIENT'S TIN: XXX-XX-4526		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      25.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	138,458.60	176,314.16	0.00	26,418.85	-11,436.71
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>138,458.60</b>	<b>176,314.16</b>	<b>0.00</b>	<b>26,418.85</b>	<b>-11,436.71</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>138,458.60</b>	<b>176,314.16</b>	<b>0.00</b>	<b>26,418.85</b>	<b>-11,436.71</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 115422180

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) &	Additional information
AAPL 02/26/2021 CALL \$134.00 / CUSIP: / Symbol:								
02/26/21	2.000	1.98	02/19/21	100.00	...	-98.02		Option sale to close-call <sup>25</sup>
AAPL 03/12/2021 CALL \$130.00 / CUSIP: / Symbol:								
03/03/21	2.000	191.98	02/19/21	660.00	468.02 W	0.00		Option sale to close-call <sup>25</sup>
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/02/21	331.01	...	-331.01		1 of 2 - Option expiration- long call
	1.000	0.00	03/04/21	262.01	...	-262.01		2 of 2 - Option expiration- long call
03/12/21	2.000	0.00	Various	593.02	...	-593.02		Total of 2 transactions
	<b>Security total:</b>	<b>191.98</b>		<b>1,253.02</b>	<b>468.02 W</b>	<b>-593.02</b>		

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
03/02/21	3.000	524.98	03/02/21	567.00	42.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	2.000	95.99	03/05/21	154.01	...	-58.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	48.00	03/05/21	77.01	...	-29.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	143.99	03/08/21	144.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/11/21	6.000	287.98	Various	375.02	...	-87.04	Total of 3 transactions
03/11/21	2.000	95.99	03/02/21	378.00	282.01 W	0.00	Option sale to close-call <sup>25</sup>
03/15/21	2.000	53.98	03/08/21	378.01	...	-324.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>962.93</b>		<b>1,698.03</b>	<b>324.03 W</b>	<b>-411.07</b>	
AAPL 03/26/2021 CALL \$130.00 / CUSIP: / Symbol:							
	2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	2.000	257.98	03/11/21	160.00	...	97.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	434.98	03/11/21	240.00	...	194.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/16/21	5.000	692.96	Various	400.00	...	292.96	Total of 2 transactions
AAPL 04/01/2021 CALL \$130.00 / CUSIP: / Symbol:							
	9 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	1.000	1.00	03/17/21	101.00	100.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/17/21	101.00	100.00 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/17/21	101.00	100.00 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1.99	03/17/21	202.00	200.01 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/17/21	99.00	98.00 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/18/21	87.00	86.00 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	10.000	9.97	03/18/21	870.00	860.03 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/18/21	85.00	84.01 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/22/21	32.00	31.00 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
03/30/21	19.000	18.95	Various	1,678.00	1,659.05 W	0.00	Total of 9 transactions
	26 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	3.000	2.98	03/24/21	324.01	...	-321.03	1 of 26 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/24/21	166.04	...	-165.04	2 of 26 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/01/2021 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	6.000	5.98	03/24/21	132.00	...	-126.02	3 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	122.01	...	-121.03	4 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	122.00	...	-121.02	5 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	122.00	...	-121.02	6 of 26 - Option sale to close-call 25
	1.000	0.99	03/24/21	122.00	...	-121.01	7 of 26 - Option sale to close-call 25
	1.000	0.99	03/24/21	120.00	...	-119.01	8 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.01	...	-107.03	9 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.01	...	-107.03	10 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.00	...	-107.02	11 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.00	...	-107.02	12 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.00	...	-107.02	13 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.00	...	-107.02	14 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	108.00	...	-107.02	15 of 26 - Option sale to close-call 25
	1.000	1.00	03/24/21	108.00	...	-107.00	16 of 26 - Option sale to close-call 25
	1.000	0.98	03/24/21	106.01	...	-105.03	17 of 26 - Option sale to close-call 25
	5.000	4.98	03/24/21	110.00	...	-105.02	18 of 26 - Option sale to close-call 25
	4.000	3.98	03/24/21	88.00	...	-84.02	19 of 26 - Option sale to close-call 25

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/01/2021 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	4.000	3.98	03/24/21	88.00	...	-84.02	20 of 26 - Option sale to close-call <sup>25</sup>
	3.000	2.98	03/24/21	66.00	...	-63.02	21 of 26 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/24/21	53.00	...	-52.01	22 of 26 - Option sale to close-call <sup>25</sup>
	1.000	0.98	03/24/21	22.00	...	-21.02	23 of 26 - Option sale to close-call <sup>25</sup>
	1.000	0.98	03/24/21	22.00	...	-21.02	24 of 26 - Option sale to close-call <sup>25</sup>
	1.000	0.98	03/24/21	22.00	...	-21.02	25 of 26 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/24/21	22.00	...	-21.01	26 of 26 - Option sale to close-call <sup>25</sup>
03/31/21	45.000	44.56	Various	2,693.09	...	-2,648.53	Total of 26 transactions
	2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	1.000	0.98	03/22/21	132.00	131.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	03/24/21	153.02	152.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/31/21	2.000	1.96	Various	285.02	283.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>65.47</b>		<b>4,656.11</b>	<b>1,942.11 W</b>	<b>-2,648.53</b>	
AAPL 05/21/2021 CALL \$133.00 / CUSIP: / Symbol:							
04/21/21	2.000	949.98	04/21/21	910.00	...	39.98	Option sale to close-call <sup>25</sup>
AAPL 06/18/2021 CALL \$130.00 / CUSIP: / Symbol:							
05/24/21	2.000	371.98	05/19/21	300.00	...	71.98	Option sale to close-call <sup>25</sup>
AAPL 07/02/2021 CALL \$129.00 / CUSIP: / Symbol:							
06/07/21	2.000	323.98	06/07/21	298.00	...	25.98	Option sale to close-call <sup>25</sup>
06/11/21	2.000	305.98	06/10/21	298.00	...	7.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>629.96</b>		<b>596.00</b>	...	<b>33.96</b>	
AAPL 07/02/2021 CALL \$131.00 / CUSIP: / Symbol:							
05/26/21	4.000	827.98	05/25/21	800.00	...	27.98	Option sale to close-call <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 02/26/2021 CALL \$215.00 / CUSIP: / Symbol:							
02/16/21	1.000	584.97	02/10/21	585.00	...	-0.03	Option sale to close-call <sup>25</sup>
BA 03/12/2021 CALL \$215.00 / CUSIP: / Symbol:							
02/19/21	1.000	754.97	02/18/21	660.00	...	94.97	Option sale to close-call <sup>25</sup>
BA 07/09/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/14/21	1.000	374.98	06/11/21	350.00	...	24.98	Option sale to close-call <sup>25</sup>
06/15/21	1.000	324.98	06/14/21	275.00	...	49.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>699.96</b>		<b>625.00</b>	...	<b>74.96</b>	
BA 07/16/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/24/21	1.000	324.98	06/16/21	300.00	...	24.98	Option sale to close-call <sup>25</sup>
BA 07/16/2021 CALL \$280.00 / CUSIP: / Symbol:							
06/15/21	1.000	129.98	06/14/21	112.00	...	17.98	Option sale to close-call <sup>25</sup>
BA 07/30/2021 CALL \$260.00 / CUSIP: / Symbol:							
		<i>6 transactions for 07/20/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	12.00	06/28/21	350.00	338.00 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	12.000	143.97	07/13/21	960.00	816.03 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	12.00	07/13/21	68.00	56.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	12.00	07/15/21	36.00	24.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	35.99	07/19/21	36.00	0.01 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	23.99	07/19/21	24.00	0.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
07/20/21	20.000	239.95	Various	1,474.00	1,234.05 W	0.00	Total of 6 transactions
		<i>3 transactions for 07/23/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	11.00	07/19/21	350.00	339.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	76.98	07/19/21	560.02	483.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	21.99	07/19/21	160.00	138.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/21	10.000	109.97	Various	1,070.02	960.05 W	0.00	Total of 3 transactions
		<i>12 transactions for 07/26/21. Total proceeds and cost reported to the IRS.</i>					
	6.000	41.99	07/19/21	486.03	...	-444.04	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	07/19/21	356.02	...	-349.02	2 of 12 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BA 07/30/2021 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	07/19/21	240.01	...	-219.02	3 of 12 - Option sale to close-call <sup>25</sup>
	2.000	14.00	07/19/21	162.01	...	-148.01	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	07/19/21	86.03	...	-79.03	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	07/19/21	68.00	...	-61.00	6 of 12 - Option sale to close-call <sup>25</sup>
	7.000	48.98	07/19/21	84.00	...	-35.02	7 of 12 - Option sale to close-call <sup>25</sup>
	6.000	41.99	07/19/21	72.00	...	-30.01	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	07/19/21	36.00	...	-29.00	9 of 12 - Option sale to close-call <sup>25</sup>
	3.000	20.99	07/19/21	36.01	...	-15.02	10 of 12 - Option sale to close-call <sup>25</sup>
	2.000	14.00	07/19/21	24.01	...	-10.01	11 of 12 - Option sale to close-call <sup>25</sup>
	35.000	244.91	07/20/21	315.00	...	-70.09	12 of 12 - Option sale to close-call <sup>25</sup>
07/26/21	68.000	475.85	Various	1,965.12	...	-1,489.27	Total of 12 transactions
	2 transactions for 07/26/21. Total proceeds and cost reported to the IRS.						
	1.000	6.98	07/19/21	351.00	344.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	07/19/21	81.01	74.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/26/21	2.000	13.96	Various	432.01	418.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>839.73</b>		<b>4,941.15</b>	<b>2,612.15 W</b>	<b>-1,489.27</b>	
BA 08/06/2021 CALL \$230.00 / CUSIP: / Symbol:							
07/27/21	1.000	349.98	07/27/21	300.00	...	49.98	Option sale to close-call <sup>25</sup>
BA 09/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/31/21	1.000	529.98	08/30/21	450.00	...	79.98	Option sale to close-call <sup>25</sup>
	3 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	1.000	499.98	09/01/21	450.00	...	49.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	529.99	09/01/21	425.00	...	104.99	2 of 3 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 09/17/2021 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	1.000	529.99	09/01/21	400.00	...	129.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/21	3.000	1,559.96	Various	1,275.00	...	284.96	Total of 3 transactions
	<b>Security total:</b>	<b>2,089.94</b>		<b>1,725.00</b>	...	<b>364.94</b>	
BA 09/24/2021 CALL \$225.00 / CUSIP: / Symbol:							
08/31/21	1.000	424.98	08/30/21	370.00	...	54.98	Option sale to close-call <sup>25</sup>
BA 09/24/2021 CALL \$230.00 / CUSIP: / Symbol:							
08/31/21	2.000	527.98	08/30/21	460.00	...	67.98	Option sale to close-call <sup>25</sup>
BA 09/24/2021 CALL \$235.00 / CUSIP: / Symbol:							
08/27/21	3.000	659.98	08/26/21	525.00	...	134.98	Option sale to close-call <sup>25</sup>
BA 09/24/2021 CALL \$240.00 / CUSIP: / Symbol:							
4 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	214.00	08/19/21	200.00	...	14.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	213.99	08/19/21	188.00	...	25.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	213.99	08/20/21	143.00	...	70.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	214.00	08/20/21	130.00	...	84.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/24/21	4.000	855.98	Various	661.00	...	194.98	Total of 4 transactions
BA 10/01/2021 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	298.98	09/20/21	240.00	...	58.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	280.98	09/21/21	218.00	...	62.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/21	2.000	579.96	Various	458.00	...	121.96	Total of 2 transactions
CAKE 08/20/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/29/21	1.000	49.98	07/28/21	50.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CRM 01/22/2021 CALL \$250.00 / CUSIP: / Symbol:							
<i>15 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	11.98	12/21/20	552.00	540.02 W	0.00	1 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	12/21/20	276.00	270.02 W	0.00	2 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	12/22/20	530.01	524.03 W	0.00	3 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	12/22/20	530.01	524.03 W	0.00	4 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	12/29/20	365.02	359.04 W	0.00	5 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/05/21	566.03	560.05 W	0.00	6 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	580.05	574.07 W	0.00	7 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	544.03	538.05 W	0.00	8 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	379.04	373.06 W	0.00	9 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	10 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	11 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	12 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	13 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	14 of 15 - Option sale to close-call <sub>25</sub>
	1.000	5.98	01/11/21	20.00	14.02 W	0.00	15 of 15 - Option sale to close-call <sub>25</sub>
01/15/21	16.000	95.70	Various	4,442.19	4,346.49 W	0.00	Total of 15 transactions

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 01/22/2021 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
<i>17 transactions for 01/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.00	01/11/21	603.07	...	-599.07	1 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	566.05	...	-562.05	2 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	401.06	...	-397.06	3 of 17 - Option sale to close-call 25
	25.000	99.94	01/11/21	450.00	...	-350.06	4 of 17 - Option sale to close-call 25
	12.000	47.97	01/11/21	216.00	...	-168.03	5 of 17 - Option sale to close-call 25
	5.000	19.99	01/11/21	150.01	...	-130.02	6 of 17 - Option sale to close-call 25
	6.000	23.97	01/11/21	108.00	...	-84.03	7 of 17 - Option sale to close-call 25
	2.000	7.99	01/11/21	88.01	...	-80.02	8 of 17 - Option sale to close-call 25
	1.000	3.99	01/11/21	44.01	...	-40.02	9 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	44.01	...	-40.01	10 of 17 - Option sale to close-call 25
	1.000	3.99	01/11/21	32.02	...	-28.03	11 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	32.02	...	-28.02	12 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	32.02	...	-28.02	13 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	32.02	...	-28.02	14 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	32.02	...	-28.02	15 of 17 - Option sale to close-call 25
	1.000	4.00	01/11/21	32.02	...	-28.02	16 of 17 - Option sale to close-call 25

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CRM 01/22/2021 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	01/11/21	32.00	...	-28.00	17 of 17 - Option sale to close-call <sup>25</sup>
01/20/21	62.000	247.84	Various	2,894.34	...	-2,646.50	Total of 17 transactions
	6 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	4.000	15.98	01/11/21	80.00	64.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	23.99	01/11/21	120.00	96.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.00	01/11/21	593.07	589.07 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.00	01/11/21	556.05	552.05 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.00	01/11/21	391.06	387.06 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	15.99	01/11/21	136.02	120.03 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
01/20/21	17.000	67.96	Various	1,876.20	1,808.24 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>411.50</b>		<b>9,212.73</b>	<b>6,154.73 W</b>	<b>-2,646.50</b>	
CRM 02/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
02/10/21	2.000	519.97	02/10/21	448.00	...	71.97	Option sale to close-call <sup>25</sup>
CRM 02/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/11/21	2.000	171.98	12/23/20	720.00	548.02 W	0.00	Option sale to close-call <sup>25</sup>
01/19/21	2.000	77.98	12/23/20	720.00	642.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	62.00	12/29/20	496.01	...	-434.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	62.00	01/05/21	444.01	...	-382.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	61.99	01/05/21	123.00	...	-61.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/03/21	3.000	185.99	Various	1,063.02	...	-877.03	Total of 3 transactions
02/03/21	2.000	123.99	12/28/20	944.02	820.03 W	0.00	Option sale to close-call <sup>25</sup>
	7 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	6.000	167.98	01/05/21	738.00	...	-570.02	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	56.00	01/19/21	908.03	...	-852.03	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	55.99	01/19/21	88.00	...	-32.01	3 of 7 - Option sale to close-call <sup>25</sup>
	4.000	111.99	01/21/21	272.00	...	-160.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	28.00	02/01/21	42.00	...	-14.00	5 of 7 - Option sale to close-call <sup>25</sup>
	6.000	167.98	02/05/21	282.00	...	-114.02	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	28.00	02/05/21	42.00	...	-14.00	7 of 7 - Option sale to close-call <sup>25</sup>
02/10/21	22.000	615.94	Various	2,372.03	...	-1,756.09	Total of 7 transactions

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Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 02/19/2021 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,175.88</b>		<b>5,819.07</b>	<b>2,010.07 W</b>	<b>-2,633.12</b>	
CRM 03/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
03/05/21	1.000	189.98	03/02/21	315.00	...	-125.02	Option sale to close-call <sup>25</sup>
CRM 03/19/2021 CALL \$225.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	119.99	03/02/21	181.00	...	-61.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	03/03/21	164.00	...	-44.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/08/21	2.000	239.98	Various	345.00	...	-105.02	Total of 2 transactions
CRM 03/26/2021 CALL \$230.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.99	03/17/21	39.00	...	-8.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	61.99	03/18/21	62.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/18/21	3.000	92.98	Various	101.00	...	-8.02	Total of 2 transactions
CRM 04/01/2021 CALL \$230.00 / CUSIP: / Symbol:							
03/22/21	1.000	47.98	03/17/21	89.00	...	-41.02	Option sale to close-call <sup>25</sup>
CRM 04/23/2021 CALL \$237.50 / CUSIP: / Symbol:							
04/06/21	1.000	66.98	04/06/21	68.00	...	-1.02	Option sale to close-call <sup>25</sup>
CRM 04/23/2021 CALL \$245.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	42.98	04/14/21	41.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	42.98	04/14/21	41.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/15/21	2.000	85.96	Various	82.00	...	3.96	Total of 2 transactions
CRM 05/14/2021 CALL \$247.50 / CUSIP: / Symbol:							
<i>3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	694.98	04/19/21	790.00	...	-95.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	278.00	04/19/21	302.00	...	-24.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	277.99	04/22/21	222.00	...	55.99	3 of 3 - Option sale to close-call <sup>25</sup>
04/22/21	9.000	1,250.97	Various	1,314.00	...	-63.03	Total of 3 transactions

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 05/21/2021 CALL \$225.00 / CUSIP: / Symbol:							
05/10/21	1.000	81.98	05/10/21	76.00	...	5.98	Option sale to close-call <sup>25</sup>
CRM 05/21/2021 CALL \$235.00 / CUSIP: / Symbol:							
04/23/21	2.000	1,249.98	04/21/21	810.00	...	439.98	Option sale to close-call <sup>25</sup>
04/27/21	5.000	2,749.97	04/27/21	2,500.00	...	249.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,999.95</b>		<b>3,310.00</b>	...	<b>689.95</b>	
CRM 05/28/2021 CALL \$235.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	265.99	05/03/21	800.00	534.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	132.99	05/03/21	385.00	252.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/10/21	3.000	398.98	Various	1,185.00	786.02 W	0.00	Total of 2 transactions
	<i>4 transactions for 05/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	137.99	05/11/21	703.03	...	-565.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	114.99	05/11/21	478.00	...	-363.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	115.00	05/11/21	463.03	...	-348.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	114.99	05/11/21	179.01	...	-64.02	4 of 4 - Option sale to close-call <sup>25</sup>
05/13/21	4.000	482.97	Various	1,823.07	...	-1,340.10	Total of 4 transactions
	<i>7 transactions for 05/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	131.99	05/03/21	652.01	520.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	132.00	05/04/21	427.00	295.00 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	131.99	05/04/21	412.01	280.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	133.99	05/07/21	720.02	586.03 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	134.00	05/07/21	495.00	361.00 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	133.99	05/07/21	480.02	346.03 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	275.99	05/07/21	400.00	124.01 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
05/13/21	8.000	1,073.95	Various	3,586.06	2,512.11 W	0.00	Total of 7 transactions
05/14/21	1.000	124.98	05/11/21	175.00	...	-50.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,080.88</b>		<b>6,769.13</b>	<b>3,298.13 W</b>	<b>-1,390.12</b>	
MSFT 05/28/2021 CALL \$260.00 / CUSIP: / Symbol:							
	<i>4 transactions for 04/28/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	405.00	04/28/21	340.00	...	65.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	809.99	04/28/21	680.00	...	129.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 05/28/2021 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	2.000	809.99	04/28/21	680.00	...	129.99	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	1,214.98	04/28/21	990.00	...	224.98	4 of 4 - Option sale to close-call <sup>25</sup>
04/28/21	8.000	3,239.96	Various	2,690.00	...	549.96	Total of 4 transactions
MSFT 06/11/2021 CALL \$250.00 / CUSIP: / Symbol:							
05/18/21	1.000	339.98	05/17/21	340.00	...	-0.02	Option sale to close-call <sup>25</sup>
MSFT 06/18/2021 CALL \$250.00 / CUSIP: / Symbol:							
05/19/21	1.000	324.98	05/18/21	350.00	...	-25.02	Option sale to close-call <sup>25</sup>
05/20/21	1.000	449.98	05/18/21	350.00	...	99.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>774.96</b>		<b>700.00</b>	...	<b>74.96</b>	
MSFT 06/25/2021 CALL \$260.00 / CUSIP: / Symbol:							
	2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	3.000	224.98	05/26/21	669.00	...	-444.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	122.98	05/26/21	223.00	...	-100.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	4.000	347.96	Various	892.00	...	-544.04	Total of 2 transactions
NOW 05/28/2021 CALL \$500.00 / CUSIP: / Symbol:							
05/11/21	1.000	489.98	05/03/21	1,450.00	960.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	1.000	84.98	05/10/21	1,350.02	1,265.04 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.98	05/13/21	1,665.04	1,580.06 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.98	05/13/21	1,970.06	1,885.08 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/17/21	3.000	254.94	Various	4,985.12	4,730.18 W	0.00	Total of 3 transactions
	3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	80.00	05/13/21	2,275.08	...	-2,195.08	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	79.99	05/13/21	290.00	...	-210.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	159.99	05/17/21	100.00	...	59.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/18/21	4.000	319.98	Various	2,665.08	...	-2,345.10	Total of 3 transactions
	<b>Security total:</b>	<b>1,064.90</b>		<b>9,100.20</b>	<b>5,690.20 W</b>	<b>-2,345.10</b>	

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOW 05/28/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	1,749.99	04/29/21	1,800.00	...	-50.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,749.98	04/29/21	1,500.00	...	249.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/30/21	2.000	3,499.97	Various	3,300.00	...	199.97	Total of 2 transactions
SQ 01/07/2022 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	1.000	224.99	12/28/21	250.00	...	-25.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	449.99	12/29/21	400.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/21	3.000	674.98	Various	650.00	...	24.98	Total of 2 transactions
SQ 09/17/2021 CALL \$275.00 / CUSIP: / Symbol:							
08/31/21	1.000	524.98	08/31/21	500.00	...	24.98	Option sale to close-call <sup>25</sup>
SQ 10/08/2021 CALL \$235.00 / CUSIP: / Symbol:							
10/05/21	1.000	349.98	10/04/21	435.04	...	-85.06	Option sale to close-call <sup>25</sup>
2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	1.000	209.98	10/04/21	350.00	140.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	219.98	10/04/21	400.02	180.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/05/21	2.000	429.96	Various	750.02	320.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>779.94</b>		<b>1,185.06</b>	<b>320.06 W</b>	<b>-85.06</b>	
SQ 11/12/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	314.99	11/05/21	600.00	...	-285.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	314.99	11/05/21	500.00	...	-185.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	629.98	Various	1,100.00	...	-470.02	Total of 2 transactions
SQ 11/12/2021 CALL \$245.00 / CUSIP: / Symbol:							
5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	76.99	11/05/21	355.00	...	-278.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	77.00	11/05/21	330.00	...	-253.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	61.99	11/08/21	553.00	...	-491.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	61.99	11/08/21	523.00	...	-461.01	4 of 5 - Option sale to close-call <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQ 11/12/2021 CALL \$245.00 / CUSIP: / Symbol: (cont'd)							
11/09/21	1.000	76.99	11/08/21	230.00	...	-153.01	5 of 5 - Option sale to close-call <sup>25</sup>
	5.000	354.96	Various	1,991.00	...	-1,636.04	Total of 5 transactions
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	77.00	11/05/21	400.00	323.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	77.00	11/05/21	370.00	293.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	154.00	Various	770.00	616.00 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>508.96</b>		<b>2,761.00</b>	<b>616.00 W</b>	<b>-1,636.04</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/19/21	40.000	109.80	01/15/21	97.20	...	12.60	Sale <sup>25</sup>
04/05/21	6.000	60.12	04/01/21	58.38	...	1.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>169.92</b>		<b>155.58</b>	...	<b>14.34</b>	
ABEONA THERAPEUTICS INC. COMMO N STOCK / CUSIP: 00289Y107 / Symbol:							
13 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	175.000	218.73	07/28/21	217.81	...	0.92	1 of 13 - Sale <sup>25</sup>
	9.000	11.25	07/29/21	11.16	...	0.09	2 of 13 - Sale <sup>25</sup>
	5.000	6.25	07/29/21	6.15	...	0.10	3 of 13 - Sale <sup>25</sup>
	7.000	8.75	07/29/21	8.61	...	0.14	4 of 13 - Sale <sup>25</sup>
	16.000	20.00	07/29/21	19.63	...	0.37	5 of 13 - Sale <sup>25</sup>
	20.000	25.00	07/29/21	24.56	...	0.44	6 of 13 - Sale <sup>25</sup>
	40.000	50.00	07/29/21	48.66	...	1.34	7 of 13 - Sale <sup>25</sup>
	225.000	281.22	07/29/21	276.75	...	4.47	8 of 13 - Sale <sup>25</sup>
	343.000	428.70	07/29/21	421.89	...	6.81	9 of 13 - Sale <sup>25</sup>
	3.000	3.75	07/30/21	3.68	...	0.07	10 of 13 - Sale <sup>25</sup>
	20.000	25.00	07/30/21	24.00	...	1.00	11 of 13 - Sale <sup>25</sup>
	27.000	33.75	07/30/21	32.31	...	1.44	12 of 13 - Sale <sup>25</sup>
	110.000	137.48	08/02/21	127.46	...	10.02	13 of 13 - Sale <sup>25</sup>
08/02/21	1,000.000	1,249.88	Various	1,222.67	...	27.21	Total of 13 transactions
ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol:							
3 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	13.19	12/14/21	7.47	...	5.72	1 of 3 - Sale <sup>25</sup>
	3.000	39.57	12/14/21	23.75	...	15.82	2 of 3 - Sale <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAGIO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00534A102 / Symbol: (cont'd)							
	5.000	65.95	12/14/21	41.00	...	24.95	3 of 3 - Sale <sup>25</sup>
12/16/21	9.000	118.71	Various	72.22	...	46.49	Total of 3 transactions
	2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.						
	15.000	150.90	12/20/21	136.50	...	14.40	1 of 2 - Sale <sup>25</sup>
	25.000	251.50	12/20/21	227.48	...	24.02	2 of 2 - Sale <sup>25</sup>
12/21/21	40.000	402.40	Various	363.98	...	38.42	Total of 2 transactions
	<b>Security total:</b>	<b>521.11</b>		<b>436.20</b>	...	<b>84.91</b>	
ADVERUM BIOTECHNOLOGIES, INC. COMMON STOCK / CUSIP: 00773U108 / Symbol:							
	2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	250.000	958.39	04/30/21	1,016.25	...	-57.86	1 of 2 - Sale <sup>25</sup>
	8.000	30.66	04/30/21	31.24	...	-0.58	2 of 2 - Sale <sup>25</sup>
05/03/21	258.000	989.05	Various	1,047.49	...	-58.44	Total of 2 transactions
05/03/21	250.000	985.21	04/30/21	1,011.93	26.72 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,974.26</b>		<b>2,059.42</b>	<b>26.72 W</b>	<b>-58.44</b>	
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
	5 transactions for 07/22/21. Total proceeds and cost reported to the IRS.						
	5.000	13.37	07/21/21	13.15	...	0.22	1 of 5 - Sale <sup>25</sup>
	15.000	40.11	07/21/21	39.85	...	0.26	2 of 5 - Sale <sup>25</sup>
	10.000	26.74	07/21/21	26.26	...	0.48	3 of 5 - Sale <sup>25</sup>
	30.000	80.22	07/21/21	78.86	...	1.36	4 of 5 - Sale <sup>25</sup>
	74.000	197.87	07/21/21	195.99	...	1.88	5 of 5 - Sale <sup>25</sup>
07/22/21	134.000	358.31	Various	354.11	...	4.20	Total of 5 transactions
07/22/21	1.000	2.67	07/20/21	2.74	0.07 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/27/21. Total proceeds and cost reported to the IRS.						
	1.000	2.49	07/26/21	2.56	...	-0.07	1 of 3 - Sale <sup>25</sup>
	75.000	186.75	07/26/21	186.75	...	0.00	2 of 3 - Sale <sup>25</sup>
	99.000	246.51	07/26/21	246.01	...	0.50	3 of 3 - Sale <sup>25</sup>
07/27/21	175.000	435.75	Various	435.32	...	0.43	Total of 3 transactions
	<b>Security total:</b>	<b>796.73</b>		<b>792.17</b>	<b>0.07 W</b>	<b>4.63</b>	

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
2 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	5.000	17.10	08/09/21	25.43		0.00	1 of 2 - Sale <sup>25</sup>
	45.000	153.90	08/10/21	212.83	8.33 W	0.00	2 of 2 - Sale <sup>25</sup>
08/19/21	50.000	171.00	Various	238.26	67.26 W	0.00	Total of 2 transactions
7 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	10.000	39.13	08/11/21	59.87	...	-20.74	1 of 7 - Sale <sup>25</sup>
	5.000	19.57	08/11/21	31.72	...	-12.15	2 of 7 - Sale <sup>25</sup>
	3.000	11.74	08/13/21	16.51	...	-4.77	3 of 7 - Sale <sup>25</sup>
	1.000	3.91	08/13/21	5.50	...	-1.59	4 of 7 - Sale <sup>25</sup>
	1.000	3.91	08/17/21	4.91	...	-1.00	5 of 7 - Sale <sup>25</sup>
	28.000	109.57	08/20/21	132.14	...	-22.57	6 of 7 - Sale <sup>25</sup>
	2.000	7.83	08/20/21	9.42	...	-1.59	7 of 7 - Sale <sup>25</sup>
08/24/21	50.000	195.66	Various	260.07	...	-64.41	Total of 7 transactions
	<b>Security total:</b>	<b>366.66</b>		<b>498.33</b>	<b>67.26 W</b>	<b>-64.41</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
02/17/21	5.000	651.43	02/16/21	674.05	...	-22.62	Sale <sup>25</sup>
APREA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 03836J102 / Symbol:							
06/16/21	50.000	302.50	06/16/21	380.92	...	-78.42	Sale <sup>25</sup>
ASSEMBLY BIOSCIENCES, INC. COMMON STOCK / CUSIP: 045396108 / Symbol:							
04/23/21	5.000	21.66	04/22/21	21.50	...	0.16	Sale <sup>25</sup>
AXSOME THERAPEUTICS, INC. COMMON STOCK / CUSIP: 05464T104 / Symbol:							
08/12/21	1.000	24.00	08/09/21	30.48	...	-6.48	Sale <sup>25</sup>
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol:							
3 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	25.000	16.50	07/20/21	15.13	...	1.37	1 of 3 - Sale <sup>25</sup>
	75.000	49.49	07/20/21	45.00	...	4.49	2 of 3 - Sale <sup>25</sup>
	75.000	49.87	07/20/21	45.00	...	4.87	3 of 3 - Sale <sup>25</sup>
07/21/21	175.000	115.86	Various	105.13	...	10.73	Total of 3 transactions
07/28/21	25.000	17.02	07/27/21	15.50	...	1.52	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol: (cont'd)							
4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	3.000	1.98	08/03/21	1.96	...	0.02	1 of 4 - Sale <sup>25</sup>
	10.000	6.61	08/03/21	6.48	...	0.13	2 of 4 - Sale <sup>25</sup>
	87.000	57.52	08/04/21	57.20	...	0.32	3 of 4 - Sale <sup>25</sup>
	400.000	264.48	08/06/21	263.40	...	1.08	4 of 4 - Sale <sup>25</sup>
08/09/21	500.000	330.59	Various	329.04	...	1.55	Total of 4 transactions
8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	2.02	10/28/21	2.00	...	0.02	1 of 8 - Sale <sup>25</sup>
	10.000	4.06	10/28/21	3.95	...	0.11	2 of 8 - Sale <sup>25</sup>
	45.000	18.22	10/28/21	18.00	...	0.22	3 of 8 - Sale <sup>25</sup>
	65.000	26.36	10/28/21	26.00	...	0.36	4 of 8 - Sale <sup>25</sup>
	25.000	10.14	10/28/21	9.77	...	0.37	5 of 8 - Sale <sup>25</sup>
	18.000	7.29	10/29/21	7.09	...	0.20	6 of 8 - Sale <sup>25</sup>
	207.000	83.81	10/29/21	81.50	...	2.31	7 of 8 - Sale <sup>25</sup>
	1,000.000	404.88	10/29/21	393.70	...	11.18	8 of 8 - Sale <sup>25</sup>
11/01/21	1,375.000	556.78	Various	542.01	...	14.77	Total of 8 transactions
20 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	25.000	10.12	10/29/21	9.87	...	0.25	1 of 20 - Sale <sup>25</sup>
	25.000	10.12	10/29/21	9.84	...	0.28	2 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.50	...	1.00	3 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.37	...	1.13	4 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.37	...	1.13	5 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.37	...	1.13	6 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.37	...	1.13	7 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.37	...	1.13	8 of 20 - Sale <sup>25</sup>
	100.000	40.49	10/29/21	39.36	...	1.13	9 of 20 - Sale <sup>25</sup>
	100.000	40.49	10/29/21	39.36	...	1.13	10 of 20 - Sale <sup>25</sup>
	100.000	40.49	10/29/21	39.36	...	1.13	11 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	12 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	13 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	14 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	15 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	16 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol: (cont'd)							
	100.000	40.50	10/29/21	39.36	...	1.14	17 of 20 - Sale <sup>25</sup>
	100.000	40.50	10/29/21	39.36	...	1.14	18 of 20 - Sale <sup>25</sup>
	250.000	101.24	10/29/21	98.43	...	2.81	19 of 20 - Sale <sup>25</sup>
	1,100.000	445.48	10/29/21	433.07	...	12.41	20 of 20 - Sale <sup>25</sup>
11/02/21	3,000.000	1,214.93	Various	1,181.16	...	33.77	Total of 20 transactions
	6 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	3.000	1.20	11/08/21	1.17	...	0.03	1 of 6 - Sale <sup>25</sup>
	18.000	7.18	11/08/21	7.06	...	0.12	2 of 6 - Sale <sup>25</sup>
	32.000	12.76	11/08/21	12.47	...	0.29	3 of 6 - Sale <sup>25</sup>
	50.000	19.93	11/08/21	19.51	...	0.42	4 of 6 - Sale <sup>25</sup>
	400.000	159.47	11/08/21	156.36	...	3.11	5 of 6 - Sale <sup>25</sup>
	50.000	19.93	11/09/21	19.68	...	0.25	6 of 6 - Sale <sup>25</sup>
11/09/21	553.000	220.47	Various	216.25	...	4.22	Total of 6 transactions
	<b>Security total:</b>	<b>2,455.65</b>		<b>2,389.09</b>	...	<b>66.56</b>	
BRIDGEBIO PHARMA, INC. COMMON STOCK / CUSIP: 10806X102 / Symbol:							
	5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	100.000	1,159.18	12/27/21	1,150.00	...	9.18	1 of 5 - Sale <sup>25</sup>
	100.000	1,159.18	12/27/21	1,150.00	...	9.18	2 of 5 - Sale <sup>25</sup>
	170.000	1,970.61	12/27/21	1,955.00	...	15.61	3 of 5 - Sale <sup>25</sup>
	100.000	1,204.98	12/27/21	1,157.53	...	47.45	4 of 5 - Sale <sup>25</sup>
	250.000	3,012.45	12/27/21	2,912.50	...	99.95	5 of 5 - Sale <sup>25</sup>
12/27/21	720.000	8,506.40	Various	8,325.03	...	181.37	Total of 5 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
11/03/21	4.000	4.98	11/02/21	4.70	...	0.28	Sale <sup>25</sup>
12/14/21	1,075.000	1,156.67	12/14/21	1,085.75	...	70.92	Sale <sup>25</sup>
	9 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	250.000	255.27	12/20/21	250.00	...	5.27	1 of 9 - Sale <sup>25</sup>
	400.000	408.43	12/20/21	400.00	...	8.43	2 of 9 - Sale <sup>25</sup>
	700.000	714.75	12/20/21	700.00	...	14.75	3 of 9 - Sale <sup>25</sup>
	1,000.000	1,021.07	12/20/21	1,000.00	...	21.07	4 of 9 - Sale <sup>25</sup>
	5.000	5.11	12/21/21	4.95	...	0.16	5 of 9 - Sale <sup>25</sup>
	1,950.000	1,991.09	12/21/21	1,657.50	...	333.59	6 of 9 - Sale <sup>25</sup>

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Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	4.000	4.08	12/22/21	3.21	...	0.87	7 of 9 - Sale <sup>25</sup>
	42.000	42.89	12/22/21	33.23	...	9.66	8 of 9 - Sale <sup>25</sup>
	99.000	101.09	12/22/21	79.20	...	21.89	9 of 9 - Sale <sup>25</sup>
12/27/21	4,450.000	4,543.78	Various	4,128.09	...	415.69	Total of 9 transactions
	<b>Security total:</b>	<b>5,705.43</b>		<b>5,218.54</b>	...	<b>486.89</b>	
CHECKPOINT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 162828107 / Symbol:							
06/10/21	40.000	120.00	06/09/21	135.46	...	-15.46	Sale <sup>25</sup>
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	55.000	118.24	02/11/21	105.33	...	12.91	1 of 2 - Sale <sup>25</sup>
	5.000	10.75	02/12/21	9.25	...	1.50	2 of 2 - Sale <sup>25</sup>
02/16/21	60.000	128.99	Various	114.58	...	14.41	Total of 2 transactions
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	3.000	4.17	04/09/21	3.78	...	0.39	1 of 2 - Sale <sup>25</sup>
	70.000	97.29	04/09/21	88.78	...	8.51	2 of 2 - Sale <sup>25</sup>
04/14/21	73.000	101.46	Various	92.56	...	8.90	Total of 2 transactions
7 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	50.000	60.03	04/15/21	60.00	...	0.03	1 of 7 - Sale <sup>25</sup>
	200.000	240.13	04/15/21	240.00	...	0.13	2 of 7 - Sale <sup>25</sup>
	200.000	240.13	04/15/21	240.00	...	0.13	3 of 7 - Sale <sup>25</sup>
	200.000	240.14	04/15/21	240.00	...	0.14	4 of 7 - Sale <sup>25</sup>
	200.000	240.14	04/15/21	240.00	...	0.14	5 of 7 - Sale <sup>25</sup>
	50.000	60.03	04/15/21	59.75	...	0.28	6 of 7 - Sale <sup>25</sup>
	20.000	24.02	04/15/21	23.60	...	0.42	7 of 7 - Sale <sup>25</sup>
04/19/21	920.000	1,104.62	Various	1,103.35	...	1.27	Total of 7 transactions
9 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1.33	05/21/21	1.28	...	0.05	1 of 9 - Sale <sup>25</sup>
	35.000	46.55	05/21/21	46.03	...	0.52	2 of 9 - Sale <sup>25</sup>
	24.000	31.92	05/21/21	30.70	...	1.22	3 of 9 - Sale <sup>25</sup>
	45.000	59.84	05/21/21	57.60	...	2.24	4 of 9 - Sale <sup>25</sup>
	111.000	147.61	05/21/21	143.19	...	4.42	5 of 9 - Sale <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	159.000	211.45	05/21/21	205.11	...	6.34	6 of 9 - Sale <sup>25</sup>
	10.000	13.30	05/24/21	12.78	...	0.52	7 of 9 - Sale <sup>25</sup>
	50.000	66.49	05/24/21	64.41	...	2.08	8 of 9 - Sale <sup>25</sup>
	225.000	299.22	05/24/21	289.87	...	9.35	9 of 9 - Sale <sup>25</sup>
05/25/21	660.000	877.71	Various	850.97	...	26.74	Total of 9 transactions
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	10.000	14.05	06/21/21	13.38	...	0.67	1 of 3 - Sale <sup>25</sup>
	60.000	84.29	06/21/21	82.65	...	1.64	2 of 3 - Sale <sup>25</sup>
	3.000	4.22	06/22/21	4.04	...	0.18	3 of 3 - Sale <sup>25</sup>
06/24/21	73.000	102.56	Various	100.07	...	2.49	Total of 3 transactions
07/13/21	5.000	6.10	07/06/21	6.09	...	0.01	Sale <sup>25</sup>
07/13/21	55.000	67.20	07/02/21	69.17	1.12 W	-0.85	Sale <sup>25</sup>
4 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	2.000	2.61	07/07/21	2.37	...	0.24	1 of 4 - Sale <sup>25</sup>
	10.000	13.05	07/13/21	12.06	...	0.99	2 of 4 - Sale <sup>25</sup>
	18.000	23.49	07/13/21	21.71	...	1.78	3 of 4 - Sale <sup>25</sup>
	1.000	1.30	07/14/21	1.20	...	0.10	4 of 4 - Sale <sup>25</sup>
07/15/21	31.000	40.45	Various	37.34	...	3.11	Total of 4 transactions
	<b>Security total:</b>	<b>2,429.09</b>		<b>2,374.13</b>	<b>1.12 W</b>	<b>56.08</b>	
CORTEXIME, INC. COMMON STOCK / CUSIP: 22053A107 / Symbol:							
10/28/21	4.000	56.15	10/27/21	57.78	...	-1.63	Sale <sup>25</sup>
DECIPHERA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 24344T101 / Symbol:							
11 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	9.63	11/05/21	9.12	...	0.51	1 of 11 - Sale <sup>25</sup>
	1.000	9.71	11/05/21	9.05	...	0.66	2 of 11 - Sale <sup>25</sup>
	5.000	48.16	11/05/21	45.99	...	2.17	3 of 11 - Sale <sup>25</sup>
	5.000	48.16	11/05/21	45.89	...	2.27	4 of 11 - Sale <sup>25</sup>
	5.000	48.55	11/05/21	45.23	...	3.32	5 of 11 - Sale <sup>25</sup>
	5.000	48.55	11/05/21	43.79	...	4.76	6 of 11 - Sale <sup>25</sup>
	15.000	144.47	11/05/21	138.75	...	5.72	7 of 11 - Sale <sup>25</sup>
	15.000	144.48	11/05/21	138.00	...	6.48	8 of 11 - Sale <sup>25</sup>
	15.000	144.47	11/05/21	137.70	...	6.77	9 of 11 - Sale <sup>25</sup>

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Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DECIPHERA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 24344T101 / Symbol: (cont'd)							
	9.000	87.39	11/05/21	79.34	...	8.05	10 of 11 - Sale <sup>25</sup>
	19.000	183.00	11/05/21	171.89	...	11.11	11 of 11 - Sale <sup>25</sup>
11/08/21	95.000	916.57	Various	864.75	...	51.82	Total of 11 transactions
DICERNA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 253031108 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	4.000	106.37	08/06/21	97.58	...	8.79	1 of 2 - Sale <sup>25</sup>
	6.000	159.56	08/06/21	145.81	...	13.75	2 of 2 - Sale <sup>25</sup>
08/09/21	10.000	265.93	Various	243.39	...	22.54	Total of 2 transactions
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
6 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	65.000	594.78	05/06/21	598.64	...	-3.86	1 of 6 - Sale <sup>25</sup>
	30.000	274.52	05/06/21	275.55	...	-1.03	2 of 6 - Sale <sup>25</sup>
	4.000	36.60	05/06/21	36.63	...	-0.03	3 of 6 - Sale <sup>25</sup>
	1.000	9.15	05/06/21	9.16	...	-0.01	4 of 6 - Sale <sup>25</sup>
	4.000	36.60	05/07/21	36.39	...	0.21	5 of 6 - Sale <sup>25</sup>
	15.000	137.26	05/07/21	136.34	...	0.92	6 of 6 - Sale <sup>25</sup>
05/07/21	119.000	1,088.91	Various	1,092.71	...	-3.80	Total of 6 transactions
EARGO, INC. COMMON STOCK / CUSIP: 270087109 / Symbol:							
7 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	6.77	09/23/21	8.00	...	-1.23	1 of 7 - Sale <sup>25</sup>
	1.000	6.77	09/23/21	7.25	...	-0.48	2 of 7 - Sale <sup>25</sup>
	8.000	54.16	09/23/21	54.63	...	-0.47	3 of 7 - Sale <sup>25</sup>
	2.000	13.54	09/23/21	13.39	...	0.15	4 of 7 - Sale <sup>25</sup>
	2.000	13.54	09/23/21	13.38	...	0.16	5 of 7 - Sale <sup>25</sup>
	8.000	54.17	09/23/21	54.00	...	0.17	6 of 7 - Sale <sup>25</sup>
	18.000	121.87	09/23/21	120.19	...	1.68	7 of 7 - Sale <sup>25</sup>
09/23/21	40.000	270.82	Various	270.84	...	-0.02	Total of 7 transactions

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	5.000	17.15	08/04/21	16.84	...	0.31	1 of 2 - Sale <sup>25</sup>
	275.000	943.21	08/04/21	935.00	...	8.21	2 of 2 - Sale <sup>25</sup>
08/05/21	280.000	960.36	Various	951.84	...	8.52	Total of 2 transactions
12/30/21	8.000	19.52	12/27/21	18.94	...	0.58	Sale <sup>25</sup>
	<b>Security total:</b>	<b>979.88</b>		<b>970.78</b>	...	<b>9.10</b>	
FARADAY FUTURE INTELLIGENT ELE CTRIC INC. COMMON STOCK / CUSIP: 307359109 / Symbol:							
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	4.000	38.56	08/23/21	37.24	...	1.32	1 of 2 - Sale <sup>25</sup>
	96.000	925.42	08/23/21	883.68	...	41.74	2 of 2 - Sale <sup>25</sup>
08/25/21	100.000	963.98	Various	920.92	...	43.06	Total of 2 transactions
7 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	4.000	38.00	08/23/21	36.32	...	1.68	1 of 7 - Sale <sup>25</sup>
	15.000	142.50	08/23/21	137.22	...	5.28	2 of 7 - Sale <sup>25</sup>
	40.000	379.99	08/24/21	370.00	...	9.99	3 of 7 - Sale <sup>25</sup>
	41.000	389.49	08/24/21	377.20	...	12.29	4 of 7 - Sale <sup>25</sup>
	1.000	9.50	08/26/21	8.90	...	0.60	5 of 7 - Sale <sup>25</sup>
	15.000	142.50	08/26/21	135.75	...	6.75	6 of 7 - Sale <sup>25</sup>
	20.000	190.00	08/26/21	182.73	...	7.27	7 of 7 - Sale <sup>25</sup>
08/27/21	136.000	1,291.98	Various	1,248.12	...	43.86	Total of 7 transactions
09/01/21	50.000	503.74	09/01/21	485.00	...	18.74	Sale <sup>25</sup>
2 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	25.000	232.50	09/07/21	248.38	15.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	139.50	09/07/21	147.00	7.50 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/21	40.000	372.00	Various	395.38	23.38 W	0.00	Total of 2 transactions
8 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	10.000	93.61	09/07/21	104.35	10.74 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	93.61	09/07/21	102.50	8.89 W	0.00	2 of 8 - Sale <sup>25</sup>
	15.000	140.42	09/07/21	155.78	15.36 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	46.81	09/07/21	50.94	4.13 W	0.00	4 of 8 - Sale <sup>25</sup>
	7.000	65.52	09/07/21	67.82	2.30 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	28.09	09/07/21	28.95	0.86 W	0.00	6 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARADAY FUTURE INTELLIGENT ELE CTRIC INC. COMMON STOCK / CUSIP: 307359109 / Symbol: (cont'd)							
	10.000	94.21	09/07/21	107.23	13.02 W	0.00	7 of 8 - Sale <sup>25</sup>
	15.000	141.32	09/07/21	160.26	18.94 W	0.00	8 of 8 - Sale <sup>25</sup>
09/15/21	75.000	703.59	Various	777.83	74.24 W	0.00	Total of 8 transactions
	3 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	3.000	30.00	09/07/21	29.78	...	0.22	1 of 3 - Sale <sup>25</sup>
	7.000	70.00	09/07/21	69.77	...	0.23	2 of 3 - Sale <sup>25</sup>
	5.000	50.00	09/13/21	49.08	...	0.92	3 of 3 - Sale <sup>25</sup>
09/16/21	15.000	150.00	Various	148.63	...	1.37	Total of 3 transactions
	8 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	10.000	95.67	09/07/21	105.49	9.82 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	95.67	09/07/21	109.41	13.74 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	47.83	09/07/21	52.32	4.49 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	100.00	09/07/21	106.41	6.41 W	0.00	4 of 8 - Sale <sup>25</sup>
	15.000	150.00	09/07/21	163.82	13.82 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	30.00	09/07/21	32.38	2.38 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	10.00	09/07/21	10.61	0.61 W	0.00	7 of 8 - Sale <sup>25</sup>
	6.000	60.00	09/13/21	61.76	1.76 W	0.00	8 of 8 - Sale <sup>25</sup>
09/16/21	60.000	589.17	Various	642.20	53.03 W	0.00	Total of 8 transactions
	3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.						
	15.000	124.20	10/11/21	133.22	...	-9.02	1 of 3 - Sale <sup>25</sup>
	6.000	49.68	10/11/21	49.52	...	0.16	2 of 3 - Sale <sup>25</sup>
	40.000	331.22	10/11/21	318.40	...	12.82	3 of 3 - Sale <sup>25</sup>
10/11/21	61.000	505.10	Various	501.14	...	3.96	Total of 3 transactions
	3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.						
	10.000	82.80	10/11/21	86.01	1.28 W	-1.93	1 of 3 - Sale <sup>25</sup>
	1.000	8.28	10/11/21	8.57	0.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	24.84	10/11/21	26.26	1.42 W	0.00	3 of 3 - Sale <sup>25</sup>
10/11/21	14.000	115.92	Various	120.84	2.99 W	-1.93	Total of 3 transactions
	3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	3.000	25.12	10/12/21	25.48	...	-0.36	1 of 3 - Sale <sup>25</sup>
	1.000	8.37	10/12/21	8.31	...	0.06	2 of 3 - Sale <sup>25</sup>
	4.000	33.50	10/12/21	33.36	...	0.14	3 of 3 - Sale <sup>25</sup>
10/13/21	8.000	66.99	Various	67.15	...	-0.16	Total of 3 transactions

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FARADAY FUTURE INTELLIGENT ELE CTRIC INC. COMMON STOCK / CUSIP: 307359109 / Symbol: (cont'd)							
5 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	5.000	25.30	12/20/21	24.27	...	1.03	1 of 5 - Sale <sup>25</sup>
	25.000	126.52	12/20/21	123.19	...	3.33	2 of 5 - Sale <sup>25</sup>
	75.000	379.57	12/20/21	366.75	...	12.82	3 of 5 - Sale <sup>25</sup>
	200.000	1,012.19	12/20/21	993.28	...	18.91	4 of 5 - Sale <sup>25</sup>
	95.000	480.79	12/20/21	456.00	...	24.79	5 of 5 - Sale <sup>25</sup>
12/22/21	400.000	2,024.37	Various	1,963.49	...	60.88	Total of 5 transactions
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	3.000	15.35	12/21/21	14.63	...	0.72	1 of 2 - Sale <sup>25</sup>
	75.000	383.63	12/21/21	365.90	...	17.73	2 of 2 - Sale <sup>25</sup>
12/23/21	78.000	398.98	Various	380.53	...	18.45	Total of 2 transactions
6 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	4.96	12/27/21	4.87	...	0.09	1 of 6 - Sale <sup>25</sup>
	1.000	4.97	12/27/21	4.87	...	0.10	2 of 6 - Sale <sup>25</sup>
	24.000	119.28	12/27/21	116.78	...	2.50	3 of 6 - Sale <sup>25</sup>
	199.000	987.01	12/27/21	968.33	...	18.68	4 of 6 - Sale <sup>25</sup>
	300.000	1,487.95	12/27/21	1,459.80	...	28.15	5 of 6 - Sale <sup>25</sup>
	350.000	1,740.33	12/27/21	1,703.10	...	37.23	6 of 6 - Sale <sup>25</sup>
12/28/21	875.000	4,344.50	Various	4,257.75	...	86.75	Total of 6 transactions
	<b>Security total:</b>	<b>12,030.32</b>		<b>11,908.98</b>	<b>153.64 W</b>	<b>274.98</b>	
FIBROGEN, INC COMMON STOCK / CUSIP: 31572Q808 / Symbol:							
07/20/21	1.000	13.57	07/16/21	14.31	...	-0.74	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	40.000	553.39	04/27/21	568.51	...	-15.12	1 of 2 - Sale <sup>25</sup>
	10.000	138.35	04/27/21	140.49	...	-2.14	2 of 2 - Sale <sup>25</sup>
04/28/21	50.000	691.74	Various	709.00	...	-17.26	Total of 2 transactions
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	50.000	690.74	04/27/21	702.45	7.03 W	-4.68	1 of 2 - Sale <sup>25</sup>
	90.000	1,249.63	04/27/21	1,264.40	14.77 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/21	140.000	1,940.37	Various	1,966.85	21.80 W	-4.68	Total of 2 transactions

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Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	18.000	211.68	05/03/21	229.40	...	-17.72	1 of 4 - Sale <sup>25</sup>
	10.000	117.60	05/03/21	129.72	...	-12.12	2 of 4 - Sale <sup>25</sup>
	4.000	47.04	05/03/21	50.58	...	-3.54	3 of 4 - Sale <sup>25</sup>
	3.000	35.28	05/03/21	37.51	...	-2.23	4 of 4 - Sale <sup>25</sup>
05/04/21	35.000	411.60	Various	447.21	...	-35.61	Total of 4 transactions
05/11/21	10.000	102.70	05/10/21	103.84	...	-1.14	Sale <sup>25</sup>
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	30.000	372.90	05/20/21	374.81	...	-1.91	1 of 2 - Sale <sup>25</sup>
	5.000	62.15	05/20/21	62.12	...	0.03	2 of 2 - Sale <sup>25</sup>
05/21/21	35.000	435.05	Various	436.93	...	-1.88	Total of 2 transactions
	<b>Security total:</b>	<b>3,581.46</b>		<b>3,663.83</b>	<b>21.80 W</b>	<b>-60.57</b>	
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	3.000	6.15	10/19/21	6.00	...	0.15	1 of 2 - Sale <sup>25</sup>
	27.000	55.35	10/19/21	54.00	...	1.35	2 of 2 - Sale <sup>25</sup>
10/27/21	30.000	61.50	Various	60.00	...	1.50	Total of 2 transactions
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	2.000	3.76	10/25/21	3.76	...	0.00	1 of 2 - Sale <sup>25</sup>
	125.000	235.08	10/25/21	227.50	...	7.58	2 of 2 - Sale <sup>25</sup>
11/04/21	127.000	238.84	Various	231.26	...	7.58	Total of 2 transactions
7 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	223.000	419.39	10/19/21	446.00	26.61 W	0.00	1 of 7 - Sale <sup>25</sup>
	250.000	470.16	10/19/21	497.50	27.34 W	0.00	2 of 7 - Sale <sup>25</sup>
	37.000	69.59	10/29/21	73.08	3.49 W	0.00	3 of 7 - Sale <sup>25</sup>
	38.000	71.47	10/29/21	79.58	8.11 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	1.88	10/29/21	2.07	0.19 W	0.00	5 of 7 - Sale <sup>25</sup>
	94.000	176.79	10/29/21	194.52	17.73 W	0.00	6 of 7 - Sale <sup>25</sup>
	36.000	67.70	10/29/21	72.70	5.00 W	0.00	7 of 7 - Sale <sup>25</sup>
11/04/21	679.000	1,276.98	Various	1,365.45	88.47 W	0.00	Total of 7 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol: (cont'd)							
6 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	4.000	7.64	10/29/21	7.54	...	0.10	1 of 6 - Sale <sup>25</sup>
	7.000	13.38	10/29/21	13.12	...	0.26	2 of 6 - Sale <sup>25</sup>
	25.000	47.78	10/29/21	47.49	...	0.29	3 of 6 - Sale <sup>25</sup>
	69.000	131.88	10/29/21	131.06	...	0.82	4 of 6 - Sale <sup>25</sup>
	71.000	135.70	10/29/21	134.86	...	0.84	5 of 6 - Sale <sup>25</sup>
	6.000	11.47	11/01/21	11.01	...	0.46	6 of 6 - Sale <sup>25</sup>
11/05/21	182.000	347.85	Various	345.08	...	2.77	Total of 6 transactions
19 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	85.000	162.34	10/29/21	170.80	8.46 W	0.00	1 of 19 - Sale <sup>25</sup>
	54.000	103.13	10/29/21	109.04	5.91 W	0.00	2 of 19 - Sale <sup>25</sup>
	69.000	131.78	10/29/21	135.19	3.41 W	0.00	3 of 19 - Sale <sup>25</sup>
	96.000	183.35	10/29/21	188.10	4.75 W	0.00	4 of 19 - Sale <sup>25</sup>
	4.000	7.64	10/29/21	7.78	0.14 W	0.00	5 of 19 - Sale <sup>25</sup>
	1.000	1.91	10/29/21	2.04	0.13 W	0.00	6 of 19 - Sale <sup>25</sup>
	36.000	68.75	10/29/21	71.60	2.85 W	0.00	7 of 19 - Sale <sup>25</sup>
	38.000	72.57	10/29/21	78.41	5.84 W	0.00	8 of 19 - Sale <sup>25</sup>
	94.000	179.53	10/29/21	191.63	12.10 W	0.00	9 of 19 - Sale <sup>25</sup>
	23.000	43.93	10/29/21	44.72	0.79 W	0.00	10 of 19 - Sale <sup>25</sup>
	54.000	103.21	10/29/21	105.81	2.60 W	0.00	11 of 19 - Sale <sup>25</sup>
	85.000	162.46	10/29/21	165.71	3.25 W	0.00	12 of 19 - Sale <sup>25</sup>
	10.000	19.11	10/29/21	19.44	0.33 W	0.00	13 of 19 - Sale <sup>25</sup>
	26.000	49.69	10/29/21	52.10	2.41 W	0.00	14 of 19 - Sale <sup>25</sup>
	1.000	1.91	10/29/21	1.97	0.06 W	0.00	15 of 19 - Sale <sup>25</sup>
	12.000	22.94	10/29/21	23.86	0.92 W	0.00	16 of 19 - Sale <sup>25</sup>
	62.000	118.50	10/29/21	121.75	3.25 W	0.00	17 of 19 - Sale <sup>25</sup>
	32.000	61.16	10/29/21	63.00	1.84 W	0.00	18 of 19 - Sale <sup>25</sup>
	36.000	68.80	10/29/21	69.08	0.28 W	0.00	19 of 19 - Sale <sup>25</sup>
11/05/21	818.000	1,562.71	Various	1,622.03	59.32 W	0.00	Total of 19 transactions
15 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	30.000	41.70	11/01/21	55.15	13.45 W	0.00	1 of 15 - Sale <sup>25</sup>
	54.000	75.05	11/01/21	99.80	24.75 W	0.00	2 of 15 - Sale <sup>25</sup>
	10.000	13.90	11/01/21	18.34	4.44 W	0.00	3 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol: (cont'd)							
	9.000	12.51	11/01/21	16.89	4.38 W	0.00	4 of 15 - Sale <sup>25</sup>
	26.000	36.14	11/01/21	49.21	13.07 W	0.00	5 of 15 - Sale <sup>25</sup>
	55.000	76.44	11/01/21	101.10	24.66 W	0.00	6 of 15 - Sale <sup>25</sup>
	10.000	13.90	11/01/21	18.33	4.43 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	1.39	11/02/21	1.82	0.43 W	0.00	8 of 15 - Sale <sup>25</sup>
	3.000	4.17	11/02/21	5.51	1.34 W	0.00	9 of 15 - Sale <sup>25</sup>
	32.000	44.48	11/02/21	58.16	13.68 W	0.00	10 of 15 - Sale <sup>25</sup>
	36.000	50.03	11/02/21	63.64	13.61 W	0.00	11 of 15 - Sale <sup>25</sup>
	62.000	86.17	11/02/21	112.37	26.20 W	0.00	12 of 15 - Sale <sup>25</sup>
	116.000	161.22	11/02/21	204.16	42.94 W	0.00	13 of 15 - Sale <sup>25</sup>
	200.000	277.97	11/02/21	352.00	74.03 W	0.00	14 of 15 - Sale <sup>25</sup>
	161.000	223.77	11/02/21	283.36	59.59 W	0.00	15 of 15 - Sale <sup>25</sup>
12/13/21	805.000	1,118.84	Various	1,439.84	321.00 W	0.00	Total of 15 transactions
	41 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	39.000	119.14	11/02/21	68.64	...	50.50	1 of 41 - Sale <sup>25</sup>
	50.000	152.74	11/02/21	87.48	...	65.26	2 of 41 - Sale <sup>25</sup>
	100.000	305.48	11/02/21	175.00	...	130.48	3 of 41 - Sale <sup>25</sup>
	3.000	9.16	11/03/21	5.51	...	3.65	4 of 41 - Sale <sup>25</sup>
	3.000	9.17	11/03/21	5.52	...	3.65	5 of 41 - Sale <sup>25</sup>
	20.000	61.10	11/08/21	37.60	...	23.50	6 of 41 - Sale <sup>25</sup>
	35.000	106.92	11/08/21	65.10	...	41.82	7 of 41 - Sale <sup>25</sup>
	65.000	196.62	11/08/21	120.90	...	75.72	8 of 41 - Sale <sup>25</sup>
	5.000	15.12	11/09/21	9.00	...	6.12	9 of 41 - Sale <sup>25</sup>
	15.000	45.31	11/09/21	27.00	...	18.31	10 of 41 - Sale <sup>25</sup>
	35.000	105.87	11/09/21	64.40	...	41.47	11 of 41 - Sale <sup>25</sup>
	75.000	226.53	11/09/21	137.25	...	89.28	12 of 41 - Sale <sup>25</sup>
	95.000	287.37	11/09/21	174.80	...	112.57	13 of 41 - Sale <sup>25</sup>
	100.000	302.49	11/09/21	184.00	...	118.49	14 of 41 - Sale <sup>25</sup>
	100.000	302.49	11/09/21	180.00	...	122.49	15 of 41 - Sale <sup>25</sup>
	200.000	604.07	11/09/21	364.00	...	240.07	16 of 41 - Sale <sup>25</sup>
	2.000	6.04	11/10/21	3.45	...	2.59	17 of 41 - Sale <sup>25</sup>
	5.000	15.10	11/10/21	8.75	...	6.35	18 of 41 - Sale <sup>25</sup>
	1.000	3.02	11/19/21	2.03	...	0.99	19 of 41 - Sale <sup>25</sup>
	1.000	3.01	11/19/21	1.97	...	1.04	20 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol: (cont'd)							
	1.000	3.25	11/19/21	1.97	...	1.28	21 of 41 - Sale <sup>25</sup>
	3.000	9.06	11/19/21	6.14	...	2.92	22 of 41 - Sale <sup>25</sup>
	9.000	27.18	11/19/21	18.77	...	8.41	23 of 41 - Sale <sup>25</sup>
	10.000	30.15	11/19/21	20.43	...	9.72	24 of 41 - Sale <sup>25</sup>
	10.000	30.15	11/19/21	20.42	...	9.73	25 of 41 - Sale <sup>25</sup>
	14.000	42.29	11/19/21	28.30	...	13.99	26 of 41 - Sale <sup>25</sup>
	16.000	48.24	11/19/21	31.64	...	16.60	27 of 41 - Sale <sup>25</sup>
	20.000	60.30	11/19/21	39.54	...	20.76	28 of 41 - Sale <sup>25</sup>
	26.000	78.53	11/19/21	54.65	...	23.88	29 of 41 - Sale <sup>25</sup>
	25.000	75.37	11/19/21	49.23	...	26.14	30 of 41 - Sale <sup>25</sup>
	30.000	90.45	11/19/21	61.43	...	29.02	31 of 41 - Sale <sup>25</sup>
	32.000	96.47	11/19/21	64.85	...	31.62	32 of 41 - Sale <sup>25</sup>
	48.000	144.72	11/19/21	97.05	...	47.67	33 of 41 - Sale <sup>25</sup>
	54.000	162.80	11/19/21	111.11	...	51.69	34 of 41 - Sale <sup>25</sup>
	55.000	165.81	11/19/21	112.62	...	53.19	35 of 41 - Sale <sup>25</sup>
	72.000	233.99	11/19/21	141.79	...	92.20	36 of 41 - Sale <sup>25</sup>
	90.000	271.34	11/19/21	177.25	...	94.09	37 of 41 - Sale <sup>25</sup>
	109.000	328.63	11/19/21	214.66	...	113.97	38 of 41 - Sale <sup>25</sup>
	18.000	58.50	11/29/21	31.86	...	26.64	39 of 41 - Sale <sup>25</sup>
	161.000	523.23	11/29/21	284.99	...	238.24	40 of 41 - Sale <sup>25</sup>
	248.000	805.96	11/29/21	347.20	...	458.76	41 of 41 - Sale <sup>25</sup>
12/14/21	2,000.000	6,163.17	Various	3,638.30	...	2,524.87	Total of 41 transactions
	<b>Security total:</b>	<b>10,769.89</b>		<b>8,701.96</b>	<b>468.79 W</b>	<b>2,536.72</b>	

GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:

8 transactions for 12/21/21. Total proceeds and cost reported to the IRS.

25.000	29.07	12/20/21	28.75	...	0.32	1 of 8 - Sale <sup>25</sup>
32.000	37.21	12/20/21	36.80	...	0.41	2 of 8 - Sale <sup>25</sup>
100.000	116.27	12/20/21	115.00	...	1.27	3 of 8 - Sale <sup>25</sup>
100.000	116.27	12/20/21	115.00	...	1.27	4 of 8 - Sale <sup>25</sup>
199.000	231.37	12/20/21	228.85	...	2.52	5 of 8 - Sale <sup>25</sup>
201.000	233.69	12/20/21	231.15	...	2.54	6 of 8 - Sale <sup>25</sup>
233.000	270.90	12/20/21	267.95	...	2.95	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	535.000	622.03	12/20/21	615.25	...	6.78	8 of 8 - Sale <sup>25</sup>
12/21/21	1,425.000	1,656.81	Various	1,638.75	...	18.06	Total of 8 transactions
GOHEALTH, INC. CLASS A COMMON STOCK / CUSIP: 38046W105 / Symbol:							
08/16/21	5.000	22.73	08/12/21	24.58	...	-1.85	Sale <sup>25</sup>
GRITSTONE BIO, INC. COMMON STO CK / CUSIP: 39868T105 / Symbol:							
4 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	7.000	83.48	09/17/21	87.50	...	-4.02	1 of 4 - Sale <sup>25</sup>
	1.000	11.93	09/17/21	13.50	...	-1.57	2 of 4 - Sale <sup>25</sup>
	1.000	11.93	09/17/21	13.50	...	-1.57	3 of 4 - Sale <sup>25</sup>
	1.000	11.93	09/17/21	12.50	...	-0.57	4 of 4 - Sale <sup>25</sup>
09/20/21	10.000	119.27	Various	127.00	...	-7.73	Total of 4 transactions
ICAD, INC. COMMON STOCK / CUSIP: 44934S206 / Symbol:							
08/09/21	1.000	11.57	08/06/21	11.26	...	0.31	Sale <sup>25</sup>
IBIO, INC. / CUSIP: 451033203 / Symbol:							
7 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	40.000	53.99	04/29/21	55.89	...	-1.90	1 of 7 - Sale <sup>25</sup>
	5.000	6.75	04/29/21	6.88	...	-0.13	2 of 7 - Sale <sup>25</sup>
	681.000	919.27	04/30/21	945.91	...	-26.64	3 of 7 - Sale <sup>25</sup>
	272.000	367.16	04/30/21	377.81	...	-10.65	4 of 7 - Sale <sup>25</sup>
	100.000	134.99	04/30/21	137.66	...	-2.67	5 of 7 - Sale <sup>25</sup>
	2.000	2.70	04/30/21	2.73	...	-0.03	6 of 7 - Sale <sup>25</sup>
	5.000	6.75	04/30/21	6.69	...	0.06	7 of 7 - Sale <sup>25</sup>
05/03/21	1,105.000	1,491.61	Various	1,533.57	...	-41.96	Total of 7 transactions
08/03/21	200.000	254.32	08/02/21	247.52	...	6.80	Sale <sup>25</sup>
6 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	1.25	08/05/21	1.24	...	0.01	1 of 6 - Sale <sup>25</sup>
	23.000	28.75	08/05/21	28.52	...	0.23	2 of 6 - Sale <sup>25</sup>
	100.000	124.99	08/05/21	124.00	...	0.99	3 of 6 - Sale <sup>25</sup>
	176.000	219.98	08/05/21	218.24	...	1.74	4 of 6 - Sale <sup>25</sup>
	300.000	374.96	08/05/21	372.00	...	2.96	5 of 6 - Sale <sup>25</sup>

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Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	350.000	437.45	08/05/21	434.00	...	3.45	6 of 6 - Sale <sup>25</sup>
08/06/21	950.000	1,187.38	Various	1,178.00	...	9.38	Total of 6 transactions
09/13/21	100.000	116.10	09/03/21	122.00	5.90 W	0.00	Sale <sup>25</sup>
09/21/21	225.000	256.47	09/03/21	274.50	18.03 W	0.00	Sale <sup>25</sup>
23 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	100.000	116.99	09/03/21	122.00	5.01 W	0.00	1 of 23 - Sale <sup>25</sup>
	75.000	87.74	09/03/21	91.50	3.76 W	0.00	2 of 23 - Sale <sup>25</sup>
	25.000	29.25	09/03/21	32.16	2.91 W	0.00	3 of 23 - Sale <sup>25</sup>
	100.000	116.99	09/03/21	128.65	11.66 W	0.00	4 of 23 - Sale <sup>25</sup>
	25.000	29.25	09/03/21	32.16	2.91 W	0.00	5 of 23 - Sale <sup>25</sup>
	100.000	116.99	09/03/21	126.53	9.54 W	0.00	6 of 23 - Sale <sup>25</sup>
	25.000	29.25	09/03/21	31.40	2.15 W	0.00	7 of 23 - Sale <sup>25</sup>
	50.000	58.49	09/03/21	64.32	5.83 W	0.00	8 of 23 - Sale <sup>25</sup>
	25.000	29.00	09/03/21	32.16	3.16 W	0.00	9 of 23 - Sale <sup>25</sup>
	50.000	57.99	09/07/21	62.51	4.52 W	0.00	10 of 23 - Sale <sup>25</sup>
	14.000	16.24	09/14/21	16.75	0.51 W	0.00	11 of 23 - Sale <sup>25</sup>
	11.000	12.76	09/15/21	13.55	0.79 W	0.00	12 of 23 - Sale <sup>25</sup>
	14.000	16.24	09/15/21	17.24	1.00 W	0.00	13 of 23 - Sale <sup>25</sup>
	25.000	29.00	09/15/21	30.79	1.79 W	0.00	14 of 23 - Sale <sup>25</sup>
	100.000	115.99	09/15/21	123.18	7.19 W	0.00	15 of 23 - Sale <sup>25</sup>
	99.000	114.82	09/15/21	119.84	5.02 W	0.00	16 of 23 - Sale <sup>25</sup>
	11.000	12.76	09/15/21	12.82	0.06 W	0.00	17 of 23 - Sale <sup>25</sup>
	75.000	86.99	09/15/21	87.40	0.41 W	0.00	18 of 23 - Sale <sup>25</sup>
	11.000	12.76	09/15/21	13.16	0.40 W	0.00	19 of 23 - Sale <sup>25</sup>
	1.000	1.16	09/15/21	1.21	0.05 W	0.00	20 of 23 - Sale <sup>25</sup>
	11.000	12.76	09/15/21	13.00	0.24 W	0.00	21 of 23 - Sale <sup>25</sup>
	14.000	16.24	09/15/21	16.74	0.50 W	0.00	22 of 23 - Sale <sup>25</sup>
	39.000	45.23	09/15/21	47.84	2.61 W	0.00	23 of 23 - Sale <sup>25</sup>
09/22/21	1,000.000	1,164.89	Various	1,236.91	72.02 W	0.00	Total of 23 transactions
26 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	31.000	35.74	09/15/21	35.98	...	-0.24	1 of 26 - Sale <sup>25</sup>
	7.000	8.07	09/15/21	8.12	...	-0.05	2 of 26 - Sale <sup>25</sup>
	14.000	16.24	09/15/21	16.05	...	0.19	3 of 26 - Sale <sup>25</sup>
	11.000	12.68	09/15/21	12.27	...	0.41	4 of 26 - Sale <sup>25</sup>

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Account 115422180

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2021 1099-B\* OMB No. 1545-0715

(continued)

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	26.000	29.98	09/15/21	29.00	...	0.98	5 of 26 - Sale <sup>25</sup>
	25.000	28.83	09/16/21	29.41	...	-0.58	6 of 26 - Sale <sup>25</sup>
	25.000	28.82	09/16/21	29.17	...	-0.35	7 of 26 - Sale <sup>25</sup>
	14.000	16.14	09/16/21	16.34	...	-0.20	8 of 26 - Sale <sup>25</sup>
	11.000	12.68	09/16/21	12.83	...	-0.15	9 of 26 - Sale <sup>25</sup>
	1.000	1.15	09/16/21	1.15	...	0.00	10 of 26 - Sale <sup>25</sup>
	11.000	12.68	09/16/21	12.50	...	0.18	11 of 26 - Sale <sup>25</sup>
	15.000	17.30	09/16/21	17.11	...	0.19	12 of 26 - Sale <sup>25</sup>
	14.000	16.14	09/16/21	15.90	...	0.24	13 of 26 - Sale <sup>25</sup>
	10.000	11.53	09/16/21	11.22	...	0.31	14 of 26 - Sale <sup>25</sup>
	11.000	12.68	09/16/21	12.34	...	0.34	15 of 26 - Sale <sup>25</sup>
	35.000	40.35	09/16/21	39.92	...	0.43	16 of 26 - Sale <sup>25</sup>
	14.000	16.14	09/16/21	15.70	...	0.44	17 of 26 - Sale <sup>25</sup>
	14.000	16.14	09/16/21	15.41	...	0.73	18 of 26 - Sale <sup>25</sup>
	25.000	28.83	09/16/21	28.04	...	0.79	19 of 26 - Sale <sup>25</sup>
	29.000	33.44	09/16/21	32.54	...	0.90	20 of 26 - Sale <sup>25</sup>
	36.000	41.51	09/16/21	39.64	...	1.87	21 of 26 - Sale <sup>25</sup>
	61.000	70.33	09/16/21	68.45	...	1.88	22 of 26 - Sale <sup>25</sup>
	49.000	56.50	09/16/21	54.17	...	2.33	23 of 26 - Sale <sup>25</sup>
	11.000	12.68	09/20/21	12.51	...	0.17	24 of 26 - Sale <sup>25</sup>
	89.000	102.62	09/20/21	101.11	...	1.51	25 of 26 - Sale <sup>25</sup>
	125.000	144.12	09/21/21	141.25	...	2.87	26 of 26 - Sale <sup>25</sup>
09/23/21	714.000	823.32	Various	808.13	...	15.19	Total of 26 transactions
	9 transactions for 09/23/21. Total proceeds and cost reported to the IRS.						
	10.000	11.60	09/15/21	11.82	0.22 W	0.00	1 of 9 - Sale <sup>25</sup>
	14.000	16.24	09/15/21	16.54	0.30 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	29.00	09/15/21	30.91	1.91 W	0.00	3 of 9 - Sale <sup>25</sup>
	11.000	12.76	09/15/21	13.49	0.73 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	57.99	09/15/21	60.02	2.03 W	0.00	5 of 9 - Sale <sup>25</sup>
	25.000	29.00	09/15/21	29.54	0.54 W	0.00	6 of 9 - Sale <sup>25</sup>
	90.000	104.38	09/15/21	106.37	1.99 W	0.00	7 of 9 - Sale <sup>25</sup>
	36.000	41.75	09/15/21	41.79	0.04 W	0.00	8 of 9 - Sale <sup>25</sup>
	25.000	29.00	09/15/21	29.02	0.02 W	0.00	9 of 9 - Sale <sup>25</sup>
09/23/21	286.000	331.72	Various	339.50	7.78 W	0.00	Total of 9 transactions

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,625.81</b>		<b>5,740.13</b>		<b>103.73 W</b>	<b>-10.59</b>
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
4 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	100.000	118.19	12/23/21	131.00	...	-12.81	1 of 4 - Sale <sup>25</sup>
	100.000	118.20	12/23/21	131.00	...	-12.80	2 of 4 - Sale <sup>25</sup>
	100.000	118.20	12/23/21	131.00	...	-12.80	3 of 4 - Sale <sup>25</sup>
	2.000	2.36	12/28/21	2.44	...	-0.08	4 of 4 - Sale <sup>25</sup>
12/28/21	302.000	356.95	Various	395.44	...	-38.49	Total of 4 transactions
IMMUNOVANT, INC. COMMON STOCK / CUSIP: 45258J102 / Symbol:							
2 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	3.000	25.14	08/24/21	24.71	...	0.43	1 of 2 - Sale <sup>25</sup>
	12.000	100.57	08/24/21	97.30	...	3.27	2 of 2 - Sale <sup>25</sup>
08/26/21	15.000	125.71	Various	122.01	...	3.70	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
5 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	3.000	21.30	04/23/21	20.72	...	0.58	1 of 5 - Sale <sup>25</sup>
	5.000	35.50	04/23/21	34.20	...	1.30	2 of 5 - Sale <sup>25</sup>
	15.000	106.50	04/23/21	102.08	...	4.42	3 of 5 - Sale <sup>25</sup>
	167.000	1,185.67	04/23/21	1,153.13	...	32.54	4 of 5 - Sale <sup>25</sup>
	175.000	1,242.47	04/23/21	1,201.90	...	40.57	5 of 5 - Sale <sup>25</sup>
04/26/21	365.000	2,591.44	Various	2,512.03	...	79.41	Total of 5 transactions
INOGEN, INC COMMON STOCK / CUSIP: 45780L104 / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	59.31	08/05/21	55.12	...	4.19	1 of 2 - Sale <sup>25</sup>
	3.000	177.94	08/05/21	167.29	...	10.65	2 of 2 - Sale <sup>25</sup>
08/06/21	4.000	237.25	Various	222.41	...	14.84	Total of 2 transactions

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INNOVAGE HOLDING CORP. COMMON STOCK / CUSIP: 45784A104 / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	25.000	169.59	09/22/21	225.00	55.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	67.84	09/22/21	87.50	19.66 W	0.00	2 of 2 - Sale <sup>25</sup>
10/25/21	35.000	237.43	Various	312.50	75.07 W	0.00	Total of 2 transactions
41 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	80.000	522.23	09/23/21	639.74	...	-117.51	1 of 41 - Sale <sup>25</sup>
	25.000	163.19	09/23/21	208.13	...	-44.94	2 of 41 - Sale <sup>25</sup>
	25.000	163.19	09/23/21	199.61	...	-36.42	3 of 41 - Sale <sup>25</sup>
	5.000	32.11	09/23/21	39.92	...	-7.81	4 of 41 - Sale <sup>25</sup>
	5.000	32.64	09/23/21	40.15	...	-7.51	5 of 41 - Sale <sup>25</sup>
	3.000	19.26	09/23/21	23.85	...	-4.59	6 of 41 - Sale <sup>25</sup>
	1.000	6.42	09/23/21	7.95	...	-1.53	7 of 41 - Sale <sup>25</sup>
	25.000	160.53	09/28/21	226.16	...	-65.63	8 of 41 - Sale <sup>25</sup>
	10.000	64.21	09/28/21	87.96	...	-23.75	9 of 41 - Sale <sup>25</sup>
	40.000	256.86	09/28/21	274.00	...	-17.14	10 of 41 - Sale <sup>25</sup>
	24.000	156.48	09/28/21	164.40	...	-7.92	11 of 41 - Sale <sup>25</sup>
	15.000	96.32	09/28/21	102.45	...	-6.13	12 of 41 - Sale <sup>25</sup>
	16.000	104.32	09/28/21	109.43	...	-5.11	13 of 41 - Sale <sup>25</sup>
	5.000	32.60	09/28/21	33.95	...	-1.35	14 of 41 - Sale <sup>25</sup>
	1.000	6.42	09/28/21	6.85	...	-0.43	15 of 41 - Sale <sup>25</sup>
	7.000	45.64	09/29/21	59.74	...	-14.10	16 of 41 - Sale <sup>25</sup>
	5.000	32.60	09/29/21	42.68	...	-10.08	17 of 41 - Sale <sup>25</sup>
	5.000	32.60	10/04/21	44.22	...	-11.62	18 of 41 - Sale <sup>25</sup>
	5.000	32.60	10/04/21	43.71	...	-11.11	19 of 41 - Sale <sup>25</sup>
	5.000	32.60	10/04/21	43.43	...	-10.83	20 of 41 - Sale <sup>25</sup>
	3.000	19.56	10/04/21	26.57	...	-7.01	21 of 41 - Sale <sup>25</sup>
	5.000	32.60	10/08/21	44.90	...	-12.30	22 of 41 - Sale <sup>25</sup>
	4.000	26.07	10/08/21	36.02	...	-9.95	23 of 41 - Sale <sup>25</sup>
	3.000	19.56	10/08/21	26.99	...	-7.43	24 of 41 - Sale <sup>25</sup>
	3.000	19.56	10/08/21	26.97	...	-7.41	25 of 41 - Sale <sup>25</sup>
	20.000	129.82	10/11/21	170.37	...	-40.55	26 of 41 - Sale <sup>25</sup>
	14.000	90.87	10/11/21	119.26	...	-28.39	27 of 41 - Sale <sup>25</sup>
	12.000	77.90	10/11/21	102.53	...	-24.63	28 of 41 - Sale <sup>25</sup>
	10.000	64.91	10/11/21	84.20	...	-19.29	29 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INNOVAGE HOLDING CORP. COMMON STOCK / CUSIP: 45784A104 / Symbol: (cont'd)							
	5.000	32.60	10/11/21	44.24	...	-11.64	30 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	42.48	...	-10.02	31 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	42.42	...	-9.96	32 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	42.26	...	-9.80	33 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	42.17	...	-9.71	34 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	42.14	...	-9.68	35 of 41 - Sale <sup>25</sup>
	5.000	32.46	10/11/21	41.92	...	-9.46	36 of 41 - Sale <sup>25</sup>
	4.000	26.07	10/11/21	35.14	...	-9.07	37 of 41 - Sale <sup>25</sup>
	3.000	19.47	10/11/21	25.51	...	-6.04	38 of 41 - Sale <sup>25</sup>
	1.000	6.52	10/11/21	8.77	...	-2.25	39 of 41 - Sale <sup>25</sup>
	1.000	6.49	10/11/21	8.52	...	-2.03	40 of 41 - Sale <sup>25</sup>
	9.000	58.42	10/13/21	74.47	...	-16.05	41 of 41 - Sale <sup>25</sup>
10/29/21	434.000	2,818.00	Various	3,486.18	...	-668.18	Total of 41 transactions
	17 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	5.000	32.80	09/22/21	43.75	10.95 W	0.00	1 of 17 - Sale <sup>25</sup>
	10.000	65.60	09/22/21	87.49	21.89 W	0.00	2 of 17 - Sale <sup>25</sup>
	10.000	65.60	09/22/21	87.39	21.79 W	0.00	3 of 17 - Sale <sup>25</sup>
	10.000	65.67	09/22/21	86.90	21.23 W	0.00	4 of 17 - Sale <sup>25</sup>
	3.000	19.70	09/22/21	26.10	6.40 W	0.00	5 of 17 - Sale <sup>25</sup>
	3.000	19.70	09/22/21	26.11	6.41 W	0.00	6 of 17 - Sale <sup>25</sup>
	9.000	59.10	09/22/21	78.43	19.33 W	0.00	7 of 17 - Sale <sup>25</sup>
	17.000	111.84	09/22/21	148.15	36.31 W	0.00	8 of 17 - Sale <sup>25</sup>
	18.000	118.42	09/22/21	156.11	37.69 W	0.00	9 of 17 - Sale <sup>25</sup>
	5.000	32.89	09/22/21	43.40	10.51 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	32.89	09/22/21	43.52	10.63 W	0.00	11 of 17 - Sale <sup>25</sup>
	5.000	32.90	09/22/21	43.19	10.29 W	0.00	12 of 17 - Sale <sup>25</sup>
	5.000	32.64	09/22/21	43.18	10.54 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	6.53	09/22/21	8.72	2.19 W	0.00	14 of 17 - Sale <sup>25</sup>
	34.000	221.95	09/22/21	296.48	74.53 W	0.00	15 of 17 - Sale <sup>25</sup>
	5.000	32.64	09/22/21	43.49	10.85 W	0.00	16 of 17 - Sale <sup>25</sup>
	20.000	130.56	09/23/21	168.60	19.02 W	-19.02	17 of 17 - Sale <sup>25</sup>
10/29/21	165.000	1,081.43	Various	1,431.01	330.56 W	-19.02	Total of 17 transactions
11/03/21	1.000	6.43	10/13/21	8.27	...	-1.84	Sale <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INNOVAGE HOLDING CORP. COMMON STOCK / CUSIP: 45784A104 / Symbol: (cont'd)							
<i>2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	114.04	12/23/21	93.23	...	20.81	1 of 2 - Sale <sup>25</sup>
	50.000	228.07	12/23/21	199.00	...	29.07	2 of 2 - Sale <sup>25</sup>
12/27/21	75.000	342.11	Various	292.23	...	49.88	Total of 2 transactions
<i>2 transactions for 12/28/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	417.99	12/23/21	396.25	...	21.74	1 of 2 - Sale <sup>25</sup>
	325.000	1,358.45	12/23/21	1,293.50	...	64.95	2 of 2 - Sale <sup>25</sup>
12/28/21	425.000	1,776.44	Various	1,689.75	...	86.69	Total of 2 transactions
	<b>Security total:</b>	<b>6,261.84</b>		<b>7,219.94</b>	<b>405.63 W</b>	<b>-552.47</b>	
ITRON, INC. COMMON STOCK / CUSIP: 465741106 / Symbol:							
08/06/21	2.000	150.26	08/05/21	140.80	...	9.46	Sale <sup>25</sup>
KARYOPHARM THERAPEUTICS INC. C OMMON STOCK / CUSIP: 48576U106 / Symbol:							
<i>4 transactions for 08/19/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	116.00	08/06/21	136.24	...	-20.24	1 of 4 - Sale <sup>25</sup>
	2.000	9.29	08/06/21	12.99	...	-3.70	2 of 4 - Sale <sup>25</sup>
	48.000	222.95	08/09/21	311.02	...	-88.07	3 of 4 - Sale <sup>25</sup>
	4.000	18.58	08/11/21	25.31	...	-6.73	4 of 4 - Sale <sup>25</sup>
08/19/21	79.000	366.82	Various	485.56	...	-118.74	Total of 4 transactions
08/19/21	75.000	348.00	08/06/21	426.00	56.16 W	-21.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>714.82</b>		<b>911.56</b>	<b>56.16 W</b>	<b>-140.58</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/10/21	9.000	575.27	02/10/21	563.45	...	11.82	Sale <sup>25</sup>
NEOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64052L106 / Symbol:							
<i>4 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	5.15	02/18/21	5.55	...	-0.40	1 of 4 - Sale <sup>25</sup>
	4.000	4.12	02/18/21	4.44	...	-0.32	2 of 4 - Sale <sup>25</sup>
	3.000	3.09	02/18/21	3.33	...	-0.24	3 of 4 - Sale <sup>25</sup>
	2.000	2.06	02/18/21	2.22	...	-0.16	4 of 4 - Sale <sup>25</sup>
02/19/21	14.000	14.42	Various	15.54	...	-1.12	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NEVRO CORP. / CUSIP: 64157F103 / Symbol:							
08/06/21	1.000	116.71	08/05/21	108.38	...	8.33	Sale <sup>25</sup>
NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol:							
<i>5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	4.41	07/26/21	4.09	...	0.32	1 of 5 - Sale <sup>25</sup>
	25.000	55.07	07/26/21	51.63	...	3.44	2 of 5 - Sale <sup>25</sup>
	1.000	2.20	07/27/21	2.16	...	0.04	3 of 5 - Sale <sup>25</sup>
	10.000	22.03	07/27/21	21.85	...	0.18	4 of 5 - Sale <sup>25</sup>
	29.000	63.88	07/27/21	62.34	...	1.54	5 of 5 - Sale <sup>25</sup>
07/30/21	67.000	147.59	Various	142.07	...	5.52	Total of 5 transactions
<i>6 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.20	07/23/21	2.60	0.40 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	2.20	07/23/21	2.54	0.34 W	0.00	2 of 6 - Sale <sup>25</sup>
	98.000	215.88	07/23/21	267.05	51.17 W	0.00	3 of 6 - Sale <sup>25</sup>
	25.000	55.07	07/23/21	67.71	12.64 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	33.04	07/23/21	39.88	6.84 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	6.61	07/23/21	7.97	1.36 W	0.00	6 of 6 - Sale <sup>25</sup>
07/30/21	143.000	315.00	Various	387.75	72.75 W	0.00	Total of 6 transactions
<i>16 transactions for 08/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	7.09	07/27/21	7.08	...	0.01	1 of 16 - Sale <sup>25</sup>
	1.000	2.36	07/27/21	2.27	...	0.09	2 of 16 - Sale <sup>25</sup>
	1.000	2.36	07/27/21	2.21	...	0.15	3 of 16 - Sale <sup>25</sup>
	10.000	23.62	07/27/21	22.17	...	1.45	4 of 16 - Sale <sup>25</sup>
	24.000	56.70	07/27/21	52.98	...	3.72	5 of 16 - Sale <sup>25</sup>
	5.000	11.81	08/02/21	11.79	...	0.02	6 of 16 - Sale <sup>25</sup>
	18.000	42.49	08/02/21	42.43	...	0.06	7 of 16 - Sale <sup>25</sup>
	10.000	23.60	08/02/21	23.47	...	0.13	8 of 16 - Sale <sup>25</sup>
	3.000	7.08	08/02/21	6.72	...	0.36	9 of 16 - Sale <sup>25</sup>
	15.000	35.41	08/02/21	34.96	...	0.45	10 of 16 - Sale <sup>25</sup>
	10.000	23.60	08/02/21	22.93	...	0.67	11 of 16 - Sale <sup>25</sup>
	5.000	11.80	08/02/21	10.76	...	1.04	12 of 16 - Sale <sup>25</sup>
	15.000	35.41	08/02/21	33.65	...	1.76	13 of 16 - Sale <sup>25</sup>
	10.000	23.60	08/02/21	21.72	...	1.88	14 of 16 - Sale <sup>25</sup>
	13.000	30.69	08/02/21	28.21	...	2.48	15 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol: (cont'd)							
	101.000	238.40	08/02/21	217.15	...	21.25	16 of 16 - Sale <sup>25</sup>
08/04/21	244.000	576.02	Various	540.50	...	35.52	Total of 16 transactions
	14 transactions for 08/04/21. Total proceeds and cost reported to the IRS.						
	1.000	2.32	07/27/21	2.59	0.27 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	2.32	07/27/21	2.53	0.21 W	0.00	2 of 14 - Sale <sup>25</sup>
	13.000	30.22	07/27/21	35.19	4.97 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	11.62	07/27/21	13.44	1.82 W	0.00	4 of 14 - Sale <sup>25</sup>
	3.000	6.97	07/27/21	8.05	1.08 W	0.00	5 of 14 - Sale <sup>25</sup>
	67.000	155.76	07/27/21	169.65	13.89 W	0.00	6 of 14 - Sale <sup>25</sup>
	10.000	23.25	07/27/21	25.22	1.97 W	0.00	7 of 14 - Sale <sup>25</sup>
	15.000	34.87	07/27/21	37.58	2.71 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	11.81	07/27/21	11.82	0.01 W	0.00	9 of 14 - Sale <sup>25</sup>
	13.000	30.71	07/27/21	30.97	0.26 W	0.00	10 of 14 - Sale <sup>25</sup>
	3.000	7.09	07/27/21	7.36	0.27 W	0.00	11 of 14 - Sale <sup>25</sup>
	15.000	35.44	07/27/21	36.84	1.40 W	0.00	12 of 14 - Sale <sup>25</sup>
	10.000	23.63	07/27/21	25.06	1.43 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	23.63	08/02/21	23.85	0.22 W	0.00	14 of 14 - Sale <sup>25</sup>
08/04/21	171.000	399.64	Various	430.15	30.51 W	0.00	Total of 14 transactions
	7 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	20.000	43.24	08/02/21	43.00	...	0.24	1 of 7 - Sale <sup>25</sup>
	75.000	162.16	08/02/21	160.37	...	1.79	2 of 7 - Sale <sup>25</sup>
	285.000	616.22	08/02/21	612.75	...	3.47	3 of 7 - Sale <sup>25</sup>
	1.000	2.16	08/03/21	2.10	...	0.06	4 of 7 - Sale <sup>25</sup>
	15.000	32.43	08/03/21	29.96	...	2.47	5 of 7 - Sale <sup>25</sup>
	54.000	116.76	08/03/21	113.35	...	3.41	6 of 7 - Sale <sup>25</sup>
	50.000	108.11	08/03/21	103.25	...	4.86	7 of 7 - Sale <sup>25</sup>
08/05/21	500.000	1,081.08	Various	1,064.78	...	16.30	Total of 7 transactions
	2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	125.000	235.08	08/11/21	268.75	33.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	184.04	08/11/21	215.00	30.96 W	0.00	2 of 2 - Sale <sup>25</sup>
08/20/21	225.000	419.12	Various	483.75	64.63 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW ORIENTAL EDUCATION AND TEC HNOLOGY GROUP, INC. / CUSIP: 647581107 / Symbol: (cont'd)							
<i>9 transactions for 08/23/21. Total proceeds and cost reported to the IRS.</i>							
	125.000	220.61	08/13/21	282.42	...	-61.81	1 of 9 - Sale <sup>25</sup>
	75.000	132.36	08/13/21	172.47	...	-40.11	2 of 9 - Sale <sup>25</sup>
	10.000	17.65	08/16/21	21.90	...	-4.25	3 of 9 - Sale <sup>25</sup>
	115.000	202.96	08/19/21	213.32	...	-10.36	4 of 9 - Sale <sup>25</sup>
	100.000	176.49	08/19/21	184.73	...	-8.24	5 of 9 - Sale <sup>25</sup>
	15.000	26.47	08/19/21	32.47	...	-6.00	6 of 9 - Sale <sup>25</sup>
	25.000	44.12	08/19/21	46.75	...	-2.63	7 of 9 - Sale <sup>25</sup>
	10.000	17.65	08/19/21	18.60	...	-0.95	8 of 9 - Sale <sup>25</sup>
	3.000	5.29	08/23/21	5.40	...	-0.11	9 of 9 - Sale <sup>25</sup>
08/23/21	478.000	843.60	Various	978.06	...	-134.46	Total of 9 transactions
08/23/21	125.000	220.61	08/11/21	268.75	28.88 W	-19.26	Sale <sup>25</sup>
09/21/21	75.000	141.37	09/20/21	163.88	...	-22.51	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,144.03</b>		<b>4,459.69</b>	<b>196.77 W</b>	<b>-118.89</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	64.36	03/16/21	63.34	...	1.02	1 of 2 - Sale <sup>25</sup>
	25.000	402.25	03/16/21	399.13	...	3.12	2 of 2 - Sale <sup>25</sup>
03/17/21	29.000	466.61	Various	462.47	...	4.14	Total of 2 transactions
04/06/21	5.000	64.33	04/05/21	64.14	...	0.19	Sale <sup>25</sup>
04/08/21	5.000	63.10	04/07/21	62.15	...	0.95	Sale <sup>25</sup>
<i>2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	113.49	04/22/21	112.46	...	1.03	1 of 2 - Sale <sup>25</sup>
	90.000	1,134.94	04/22/21	1,126.50	...	8.44	2 of 2 - Sale <sup>25</sup>
04/23/21	99.000	1,248.43	Various	1,238.96	...	9.47	Total of 2 transactions
<i>2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,043.24	09/02/21	1,145.76	18.46 W	-84.06	1 of 2 - Sale <sup>25</sup>
	100.000	1,044.24	09/02/21	1,095.00	50.76 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/21	200.000	2,087.48	Various	2,240.76	69.22 W	-84.06	Total of 2 transactions
<i>3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	164.24	09/02/21	178.96	...	-14.72	1 of 3 - Sale <sup>25</sup>
	3.000	32.85	09/02/21	35.54	...	-2.69	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	50.000	547.49	09/02/21	547.50	...	-0.01	3 of 3 - Sale <sup>25</sup>
09/07/21	68.000	744.58	Various	762.00	...	-17.42	Total of 3 transactions
	<b>Security total:</b>	<b>4,674.53</b>		<b>4,830.48</b>	<b>69.22 W</b>	<b>-86.73</b>	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
5 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	18.000	13.68	07/28/21	13.84	...	-0.16	1 of 5 - Sale <sup>25</sup>
	32.000	24.32	07/28/21	24.47	...	-0.15	2 of 5 - Sale <sup>25</sup>
	32.000	24.31	07/28/21	24.20	...	0.11	3 of 5 - Sale <sup>25</sup>
	200.000	151.98	07/28/21	150.37	...	1.61	4 of 5 - Sale <sup>25</sup>
	218.000	165.65	07/28/21	163.90	...	1.75	5 of 5 - Sale <sup>25</sup>
07/29/21	500.000	379.94	Various	376.78	...	3.16	Total of 5 transactions
4 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	32.000	24.32	07/28/21	24.47	0.15 W	0.00	1 of 4 - Sale <sup>25</sup>
	18.000	13.68	07/28/21	13.76	0.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	32.000	24.32	07/28/21	24.61	0.29 W	0.00	3 of 4 - Sale <sup>25</sup>
	418.000	317.62	07/28/21	319.56	1.94 W	0.00	4 of 4 - Sale <sup>25</sup>
07/29/21	500.000	379.94	Various	382.40	2.46 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>759.88</b>		<b>759.18</b>	<b>2.46 W</b>	<b>3.16</b>	
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
07/16/21	2.000	10.89	07/14/21	11.41	0.52 W	0.00	Sale <sup>25</sup>
8 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	2.000	10.22	08/05/21	9.06	...	1.16	1 of 8 - Sale <sup>25</sup>
	2.000	10.22	08/05/21	8.53	...	1.69	2 of 8 - Sale <sup>25</sup>
	5.000	25.25	08/06/21	20.88	...	4.37	3 of 8 - Sale <sup>25</sup>
	8.000	40.82	08/06/21	34.08	...	6.74	4 of 8 - Sale <sup>25</sup>
	25.000	126.27	08/06/21	106.72	...	19.55	5 of 8 - Sale <sup>25</sup>
	92.000	469.47	08/06/21	394.68	...	74.79	6 of 8 - Sale <sup>25</sup>
	96.000	490.52	08/06/21	411.84	...	78.68	7 of 8 - Sale <sup>25</sup>
	70.000	353.57	08/09/21	289.74	...	63.83	8 of 8 - Sale <sup>25</sup>
08/10/21	300.000	1,526.34	Various	1,275.53	...	250.81	Total of 8 transactions

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol: (cont'd)							
3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	3.92	10/11/21	3.87	...	0.05	1 of 3 - Sale <sup>25</sup>
	1.000	3.92	10/11/21	3.86	...	0.06	2 of 3 - Sale <sup>25</sup>
	4.000	15.68	10/11/21	15.46	...	0.22	3 of 3 - Sale <sup>25</sup>
10/11/21	6.000	23.52	Various	23.19	...	0.33	Total of 3 transactions
	<b>Security total:</b>	<b>1,560.75</b>		<b>1,310.13</b>	<b>0.52 W</b>	<b>251.14</b>	
PUXIN LIMITED AMERICAN DEPOSIT ARY SHARES, EACH REPRESENTING TWO ORDINARY SHARES / CUSIP: 74704P108 / Symbol:							
8 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	788.000	242.61	12/14/21	320.89	...	-78.28	1 of 8 - Sale <sup>25</sup>
	400.000	123.15	12/14/21	162.89	...	-39.74	2 of 8 - Sale <sup>25</sup>
	450.000	138.55	12/14/21	174.25	...	-35.70	3 of 8 - Sale <sup>25</sup>
	356.000	109.61	12/14/21	144.97	...	-35.36	4 of 8 - Sale <sup>25</sup>
	300.000	92.36	12/14/21	112.96	...	-20.60	5 of 8 - Sale <sup>25</sup>
	144.000	44.33	12/14/21	58.64	...	-14.31	6 of 8 - Sale <sup>25</sup>
	100.000	30.79	12/14/21	40.72	...	-9.93	7 of 8 - Sale <sup>25</sup>
	350.000	107.76	12/16/21	128.46	...	-20.70	8 of 8 - Sale <sup>25</sup>
12/20/21	2,888.000	889.16	Various	1,143.78	...	-254.62	Total of 8 transactions
33 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	762.000	234.61	12/14/21	310.30	53.64 W	-22.05	1 of 33 - Sale <sup>25</sup>
	50.000	15.65	12/14/21	18.50	2.85 W	0.00	2 of 33 - Sale <sup>25</sup>
	112.000	35.05	12/14/21	41.44	6.39 W	0.00	3 of 33 - Sale <sup>25</sup>
	250.000	78.24	12/14/21	92.50	14.26 W	0.00	4 of 33 - Sale <sup>25</sup>
	529.000	165.57	12/14/21	195.73	30.16 W	0.00	5 of 33 - Sale <sup>25</sup>
	3,100.000	970.24	12/14/21	1,147.00	176.76 W	0.00	6 of 33 - Sale <sup>25</sup>
	71.000	22.22	12/14/21	26.27	4.05 W	0.00	7 of 33 - Sale <sup>25</sup>
	3,000.000	938.94	12/14/21	1,110.00	171.06 W	0.00	8 of 33 - Sale <sup>25</sup>
	932.000	291.70	12/14/21	344.84	53.14 W	0.00	9 of 33 - Sale <sup>25</sup>
	456.000	142.72	12/14/21	168.72	26.00 W	0.00	10 of 33 - Sale <sup>25</sup>
	400.000	125.19	12/14/21	148.00	22.81 W	0.00	11 of 33 - Sale <sup>25</sup>
	1,750.000	547.71	12/14/21	612.50	64.79 W	0.00	12 of 33 - Sale <sup>25</sup>
	50.000	15.47	12/14/21	20.35	4.88 W	0.00	13 of 33 - Sale <sup>25</sup>
	50.000	15.46	12/14/21	20.36	4.90 W	0.00	14 of 33 - Sale <sup>25</sup>
	50.000	15.43	12/14/21	20.35	4.92 W	0.00	15 of 33 - Sale <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PUXIN LIMITED AMERICAN DEPOSIT ARY SHARES, EACH REPRESENTING TWO ORDINARY SHARES / CUSIP: 74704P108 / Symbol: (cont'd)							
	12.000	3.70	12/14/21	4.88	1.18 W	0.00	16 of 33 - Sale <sup>25</sup>
	250.000	77.15	12/14/21	101.76	24.61 W	0.00	17 of 33 - Sale <sup>25</sup>
	288.000	88.87	12/14/21	117.22	28.35 W	0.00	18 of 33 - Sale <sup>25</sup>
	188.000	58.02	12/14/21	76.52	18.50 W	0.00	19 of 33 - Sale <sup>25</sup>
	47.000	14.50	12/14/21	19.13	4.63 W	0.00	20 of 33 - Sale <sup>25</sup>
	53.000	16.36	12/14/21	21.57	5.21 W	0.00	21 of 33 - Sale <sup>25</sup>
	312.000	96.06	12/14/21	126.99	30.93 W	0.00	22 of 33 - Sale <sup>25</sup>
	188.000	57.88	12/14/21	76.52	18.64 W	0.00	23 of 33 - Sale <sup>25</sup>
	312.000	96.06	12/14/21	126.99	30.93 W	0.00	24 of 33 - Sale <sup>25</sup>
	15.000	4.62	12/14/21	6.11	1.49 W	0.00	25 of 33 - Sale <sup>25</sup>
	126.000	38.79	12/14/21	51.28	12.49 W	0.00	26 of 33 - Sale <sup>25</sup>
	609.000	187.50	12/14/21	247.87	60.37 W	0.00	27 of 33 - Sale <sup>25</sup>
	71.000	21.86	12/14/21	28.90	7.04 W	0.00	28 of 33 - Sale <sup>25</sup>
	538.000	165.64	12/14/21	218.98	53.34 W	0.00	29 of 33 - Sale <sup>25</sup>
	1,491.000	459.04	12/14/21	606.87	147.83 W	0.00	30 of 33 - Sale <sup>25</sup>
	38.000	11.70	12/14/21	15.47	3.77 W	0.00	31 of 33 - Sale <sup>25</sup>
	1,600.000	492.61	12/14/21	651.23	158.62 W	0.00	32 of 33 - Sale <sup>25</sup>
	62.000	19.09	12/14/21	25.23	6.14 W	0.00	33 of 33 - Sale <sup>25</sup>
12/20/21	17,762.000	5,523.65	Various	6,800.38	1,254.68 W	-22.05	Total of 33 transactions
	<b>Security total:</b>	<b>6,412.81</b>		<b>7,944.16</b>	<b>1,254.68 W</b>	<b>-276.67</b>	
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
03/17/21	15.000	280.20	03/16/21	276.45	...	3.75	Sale <sup>25</sup>
07/20/21	20.000	363.53	07/19/21	355.20	...	8.33	Sale <sup>25</sup>
	2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.						
	5.000	92.46	07/27/21	89.09	...	3.37	1 of 2 - Sale <sup>25</sup>
	50.000	924.57	07/27/21	890.37	...	34.20	2 of 2 - Sale <sup>25</sup>
07/28/21	55.000	1,017.03	Various	979.46	...	37.57	Total of 2 transactions
	6 transactions for 08/04/21. Total proceeds and cost reported to the IRS.						
	1.000	18.68	08/04/21	17.91	...	0.77	1 of 6 - Sale <sup>25</sup>
	3.000	56.04	08/04/21	53.51	...	2.53	2 of 6 - Sale <sup>25</sup>
	5.000	93.40	08/04/21	90.40	...	3.00	3 of 6 - Sale <sup>25</sup>
	5.000	93.40	08/04/21	89.89	...	3.51	4 of 6 - Sale <sup>25</sup>
	20.000	373.61	08/04/21	361.80	...	11.81	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol: (cont'd)							
	20.000	373.62	08/04/21	360.38	...	13.24	6 of 6 - Sale <sup>25</sup>
08/04/21	54.000	1,008.75	Various	973.89	...	34.86	Total of 6 transactions
	<b>Security total:</b>	<b>2,669.51</b>		<b>2,585.00</b>	...	<b>84.51</b>	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
02/10/21	0.768	181.01	02/10/21	180.00	...	1.01	Sale <sup>25</sup>
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
13 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	20.000	35.20	02/16/21	35.80	0.60 W	0.00	1 of 13 - Sale <sup>25</sup>
	2.000	3.52	02/16/21	3.56	0.04 W	0.00	2 of 13 - Sale <sup>25</sup>
	100.000	175.99	02/17/21	180.00	4.01 W	0.00	3 of 13 - Sale <sup>25</sup>
	52.000	91.51	02/17/21	93.60	2.09 W	0.00	4 of 13 - Sale <sup>25</sup>
	2.000	3.52	02/17/21	3.64	0.12 W	0.00	5 of 13 - Sale <sup>25</sup>
	20.000	35.20	02/17/21	36.60	1.40 W	0.00	6 of 13 - Sale <sup>25</sup>
	26.000	45.76	02/17/21	47.84	2.08 W	0.00	7 of 13 - Sale <sup>25</sup>
	22.000	38.72	02/17/21	40.48	1.76 W	0.00	8 of 13 - Sale <sup>25</sup>
	28.000	49.28	02/17/21	51.53	2.25 W	0.00	9 of 13 - Sale <sup>25</sup>
	24.000	42.24	02/17/21	44.16	1.92 W	0.00	10 of 13 - Sale <sup>25</sup>
	52.000	91.51	02/17/21	95.69	4.18 W	0.00	11 of 13 - Sale <sup>25</sup>
	2.000	3.52	02/17/21	3.60	0.08 W	0.00	12 of 13 - Sale <sup>25</sup>
	25.000	43.99	02/17/21	45.00	1.01 W	0.00	13 of 13 - Sale <sup>25</sup>
02/18/21	375.000	659.96	Various	681.50	21.54 W	0.00	Total of 13 transactions
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	25.000	42.99	02/19/21	43.20	...	-0.21	1 of 5 - Sale <sup>25</sup>
	10.000	17.20	02/19/21	17.29	...	-0.09	2 of 5 - Sale <sup>25</sup>
	100.000	171.98	02/19/21	172.00	...	-0.02	3 of 5 - Sale <sup>25</sup>
	5.000	8.60	02/19/21	8.58	...	0.02	4 of 5 - Sale <sup>25</sup>
	8.000	13.76	02/19/21	13.51	...	0.25	5 of 5 - Sale <sup>25</sup>
02/19/21	148.000	254.53	Various	254.58	...	-0.05	Total of 5 transactions
12 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	99.000	170.26	02/19/21	170.28	0.01 W	-0.01	1 of 12 - Sale <sup>25</sup>
	2.000	3.44	02/19/21	3.56	0.12 W	0.00	2 of 12 - Sale <sup>25</sup>
	20.000	34.40	02/19/21	35.79	1.39 W	0.00	3 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol: (cont'd)							
	2.000	3.44	02/19/21	3.60	0.16 W	0.00	4 of 12 - Sale <sup>25</sup>
	22.000	37.84	02/19/21	39.59	1.75 W	0.00	5 of 12 - Sale <sup>25</sup>
	26.000	44.72	02/19/21	46.79	2.07 W	0.00	6 of 12 - Sale <sup>25</sup>
	28.000	48.15	02/19/21	50.39	2.24 W	0.00	7 of 12 - Sale <sup>25</sup>
	22.000	37.84	02/19/21	39.59	1.75 W	0.00	8 of 12 - Sale <sup>25</sup>
	52.000	89.43	02/19/21	93.59	4.16 W	0.00	9 of 12 - Sale <sup>25</sup>
	2.000	3.44	02/19/21	3.52	0.08 W	0.00	10 of 12 - Sale <sup>25</sup>
	24.000	41.28	02/19/21	42.24	0.96 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	1.72	02/19/21	1.76	0.04 W	0.00	12 of 12 - Sale <sup>25</sup>
02/19/21	300.000	515.96	Various	530.70	14.73 W	-0.01	Total of 12 transactions
	13 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	2.000	3.23	03/11/21	3.20	...	0.03	1 of 13 - Sale <sup>25</sup>
	1.000	1.61	03/11/21	1.56	...	0.05	2 of 13 - Sale <sup>25</sup>
	2.000	3.23	03/11/21	3.16	...	0.07	3 of 13 - Sale <sup>25</sup>
	2.000	3.23	03/11/21	3.12	...	0.11	4 of 13 - Sale <sup>25</sup>
	22.000	35.53	03/11/21	35.19	...	0.34	5 of 13 - Sale <sup>25</sup>
	22.000	35.53	03/11/21	35.19	...	0.34	6 of 13 - Sale <sup>25</sup>
	26.000	41.99	03/11/21	41.59	...	0.40	7 of 13 - Sale <sup>25</sup>
	28.000	45.22	03/11/21	44.80	...	0.42	8 of 13 - Sale <sup>25</sup>
	20.000	32.30	03/11/21	31.79	...	0.51	9 of 13 - Sale <sup>25</sup>
	52.000	83.97	03/11/21	83.19	...	0.78	10 of 13 - Sale <sup>25</sup>
	24.000	38.75	03/11/21	37.44	...	1.31	11 of 13 - Sale <sup>25</sup>
	20.000	32.30	03/11/21	30.60	...	1.70	12 of 13 - Sale <sup>25</sup>
	29.000	46.83	03/11/21	44.08	...	2.75	13 of 13 - Sale <sup>25</sup>
03/11/21	250.000	403.72	Various	394.91	...	8.81	Total of 13 transactions
	<b>Security total:</b>	<b>1,834.17</b>		<b>1,861.69</b>	<b>36.27 W</b>	<b>8.75</b>	
SCOPUS BIOPHARMA INC. COMMON S TOCK / CUSIP: 809171101 / Symbol:							
	8 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	100.000	169.24	12/27/21	183.00	13.76 W	0.00	1 of 8 - Sale <sup>25</sup>
	25.000	42.31	12/27/21	45.75	3.44 W	0.00	2 of 8 - Sale <sup>25</sup>
	75.000	126.93	12/27/21	146.82	19.89 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	1.69	12/27/21	1.96	0.27 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	1.69	12/27/21	1.96	0.27 W	0.00	5 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 115422180

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SCOPUS BIOPHARMA INC. COMMON S TOCK / CUSIP: 809171101 / Symbol: (cont'd)							
	11.000	18.62	12/27/21	21.53	2.91 W	0.00	6 of 8 - Sale <sup>25</sup>
	23.000	38.93	12/27/21	45.02	6.09 W	0.00	7 of 8 - Sale <sup>25</sup>
	64.000	108.31	12/27/21	133.45	25.14 W	0.00	8 of 8 - Sale <sup>25</sup>
12/29/21	300.000	507.72	Various	579.49	71.77 W	0.00	Total of 8 transactions
SCYNEXIS, INC. COMMON STOCK / CUSIP: 811292200 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	6.000	45.97	01/22/21	48.99	...	-3.02	1 of 2 - Sale <sup>25</sup>
	1.000	7.67	01/25/21	7.90	...	-0.23	2 of 2 - Sale <sup>25</sup>
02/01/21	7.000	53.64	Various	56.89	...	-3.25	Total of 2 transactions
SEELOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81577F109 / Symbol:							
3 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2.21	08/11/21	2.20	...	0.01	1 of 3 - Sale <sup>25</sup>
	4.000	8.82	08/19/21	7.00	...	1.82	2 of 3 - Sale <sup>25</sup>
	45.000	99.22	08/25/21	89.33	...	9.89	3 of 3 - Sale <sup>25</sup>
08/26/21	50.000	110.25	Various	98.53	...	11.72	Total of 3 transactions
SERES THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 81750R102 / Symbol:							
6 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	22.000	160.61	07/22/21	202.62	...	-42.01	1 of 6 - Sale <sup>25</sup>
	8.000	58.40	07/22/21	79.53	...	-21.13	2 of 6 - Sale <sup>25</sup>
	5.000	36.50	07/22/21	46.09	...	-9.59	3 of 6 - Sale <sup>25</sup>
	3.000	21.90	07/22/21	27.21	...	-5.31	4 of 6 - Sale <sup>25</sup>
	14.000	102.22	07/23/21	105.12	...	-2.90	5 of 6 - Sale <sup>25</sup>
	1.000	7.30	07/23/21	7.31	...	-0.01	6 of 6 - Sale <sup>25</sup>
07/23/21	53.000	386.93	Various	467.88	...	-80.95	Total of 6 transactions
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	3.000	7.17	08/06/21	7.25	...	-0.08	1 of 2 - Sale <sup>25</sup>
	297.000	709.78	08/10/21	706.03	...	3.75	2 of 2 - Sale <sup>25</sup>
08/11/21	300.000	716.95	Various	713.28	...	3.67	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUBSEA 7 S.A. AMERICAN DEPOSIT ARY SHARES / CUSIP: 864323100 / Symbol:							
07/29/21	1.000	8.41	07/28/21	8.34	...	0.07	Sale <sup>25</sup>
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
3 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	15.000	89.10	09/22/21	103.45	...	-14.35	1 of 3 - Sale <sup>25</sup>
	10.000	59.40	09/22/21	70.00	...	-10.60	2 of 3 - Sale <sup>25</sup>
	5.000	29.70	09/22/21	34.30	...	-4.60	3 of 3 - Sale <sup>25</sup>
09/23/21	30.000	178.20	Various	207.75	...	-29.55	Total of 3 transactions
TELLURIAN INC. / CUSIP: 87968A104 / Symbol:							
09/01/21	100.000	304.23	09/01/21	306.50	...	-2.27	Sale <sup>25</sup>
09/01/21	200.000	608.47	09/01/21	625.00	16.53 W	0.00	Sale <sup>25</sup>
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	100.000	309.26	09/01/21	308.27	...	0.99	1 of 2 - Sale <sup>25</sup>
	100.000	309.25	09/01/21	307.26	...	1.99	2 of 2 - Sale <sup>25</sup>
09/02/21	200.000	618.51	Various	615.53	...	2.98	Total of 2 transactions
	<b>Security total:</b>	<b>1,531.21</b>		<b>1,547.03</b>	<b>16.53 W</b>	<b>0.71</b>	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
3 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	70.000	48.42	07/26/21	49.01	...	-0.59	1 of 3 - Sale <sup>25</sup>
	5.000	3.46	07/26/21	3.50	...	-0.04	2 of 3 - Sale <sup>25</sup>
	3.000	2.08	07/26/21	2.02	...	0.06	3 of 3 - Sale <sup>25</sup>
07/26/21	78.000	53.96	Various	54.53	...	-0.57	Total of 3 transactions
UPHEALTH, INC. / CUSIP: 91532B101 / Symbol:							
8 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	100.000	168.08	10/05/21	176.45	...	-8.37	1 of 8 - Sale <sup>25</sup>
	50.000	84.04	10/05/21	87.45	...	-3.41	2 of 8 - Sale <sup>25</sup>
	24.000	40.34	10/05/21	43.00	...	-2.66	3 of 8 - Sale <sup>25</sup>
	48.000	80.68	10/05/21	83.04	...	-2.36	4 of 8 - Sale <sup>25</sup>
	24.000	40.34	10/05/21	42.17	...	-1.83	5 of 8 - Sale <sup>25</sup>
	1.000	1.68	10/05/21	1.77	...	-0.09	6 of 8 - Sale <sup>25</sup>
	3.000	5.04	10/05/21	5.12	...	-0.08	7 of 8 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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UPHEALTH, INC. / CUSIP: 91532B101 / Symbol: (cont'd)							
10/08/21	1.000	1.68	10/06/21	1.67	...	0.01	8 of 8 - Sale <sup>25</sup>
	251.000	421.88	Various	440.67	...	-18.79	Total of 8 transactions
	4 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	1.000	1.68	10/05/21	1.74	0.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	24.000	40.34	10/05/21	42.36	2.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	168.09	10/05/21	173.77	5.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	75.000	126.07	10/05/21	129.75	3.68 W	0.00	4 of 4 - Sale <sup>25</sup>
10/08/21	200.000	336.18	Various	347.62	11.44 W	0.00	Total of 4 transactions
	3 transactions for 12/22/21. Total proceeds and cost reported to the IRS.						
	25.000	60.00	12/20/21	56.23	...	3.77	1 of 3 - Sale <sup>25</sup>
	1.000	2.40	12/21/21	2.25	...	0.15	2 of 3 - Sale <sup>25</sup>
	7.000	16.80	12/21/21	15.75	...	1.05	3 of 3 - Sale <sup>25</sup>
12/22/21	33.000	79.20	Various	74.23	...	4.97	Total of 3 transactions
	<b>Security total:</b>	<b>837.26</b>		<b>862.52</b>	<b>11.44 W</b>	<b>-13.82</b>	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
	2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	30.000	36.00	12/30/20	34.81	...	1.19	1 of 2 - Sale <sup>25</sup>
	2.000	2.40	01/04/21	2.32	...	0.08	2 of 2 - Sale <sup>25</sup>
01/05/21	32.000	38.40	Various	37.13	...	1.27	Total of 2 transactions
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
	3 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	10.000	96.84	04/27/21	104.25	...	-7.41	1 of 3 - Sale <sup>25</sup>
	5.000	48.42	04/27/21	49.71	...	-1.29	2 of 3 - Sale <sup>25</sup>
	3.000	29.05	04/27/21	29.90	...	-0.85	3 of 3 - Sale <sup>25</sup>
04/28/21	18.000	174.31	Various	183.86	...	-9.55	Total of 3 transactions
E-HOME HOUSEHOLD SERVICE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G2952X104 / Symbol:							
08/13/21	100.000	413.99	08/11/21	479.49	...	-65.50	Sale <sup>25</sup>
	4 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	1.000	2.61	09/23/21	2.76	0.15 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	2.61	09/23/21	2.71	0.10 W	0.00	2 of 4 - Sale <sup>25</sup>

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Account 115422180

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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E-HOME HOUSEHOLD SERVICE HOLDI NGS LIMITED ORDINARY SHARES / CUSIP: G2952X104 / Symbol: (cont'd)							
	3.000	7.83	09/23/21	8.10	0.27 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	65.25	09/28/21	67.25	0.40 W	-1.60	4 of 4 - Sale <sup>25</sup>
09/29/21	30.000	78.30	Various	80.82	0.92 W	-1.60	Total of 4 transactions
	5 transactions for 10/11/21. Total proceeds and cost reported to the IRS.						
	1.000	2.59	10/04/21	2.58	...	0.01	1 of 5 - Sale <sup>25</sup>
	1.000	2.59	10/04/21	2.53	...	0.06	2 of 5 - Sale <sup>25</sup>
	3.000	7.76	10/04/21	7.55	...	0.21	3 of 5 - Sale <sup>25</sup>
	3.000	7.76	10/04/21	7.52	...	0.24	4 of 5 - Sale <sup>25</sup>
	2.000	5.18	10/05/21	4.94	...	0.24	5 of 5 - Sale <sup>25</sup>
10/11/21	10.000	25.88	Various	25.12	...	0.76	Total of 5 transactions
	<b>Security total:</b>	<b>518.17</b>		<b>585.43</b>	<b>0.92 W</b>	<b>-66.34</b>	
THERAVANCE BIOPHARMA, INC. ORDINARY SHARES / CUSIP: G8807B106 / Symbol:							
	5 transactions for 08/31/21. Total proceeds and cost reported to the IRS.						
	16.000	135.23	08/30/21	142.94	...	-7.71	1 of 5 - Sale <sup>25</sup>
	2.000	16.90	08/30/21	18.27	...	-1.37	2 of 5 - Sale <sup>25</sup>
	2.000	16.90	08/30/21	17.91	...	-1.01	3 of 5 - Sale <sup>25</sup>
	5.000	42.26	08/30/21	40.65	...	1.61	4 of 5 - Sale <sup>25</sup>
	50.000	422.99	08/30/21	410.59	...	12.40	5 of 5 - Sale <sup>25</sup>
08/31/21	75.000	634.28	Various	630.36	...	3.92	Total of 5 transactions
	4 transactions for 08/31/21. Total proceeds and cost reported to the IRS.						
	2.000	16.92	08/24/21	19.04	2.12 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	16.92	08/24/21	18.68	1.76 W	0.00	2 of 4 - Sale <sup>25</sup>
	16.000	135.36	08/24/21	149.07	13.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	253.79	08/26/21	255.34	0.26 W	-1.29	4 of 4 - Sale <sup>25</sup>
08/31/21	50.000	422.99	Various	442.13	17.85 W	-1.29	Total of 4 transactions
	<b>Security total:</b>	<b>1,057.27</b>		<b>1,072.49</b>	<b>17.85 W</b>	<b>2.63</b>	
<b>Totals :</b>		<b>138,458.60</b>		<b>176,314.16</b>	<b>26,418.85 W</b>	<b>-11,436.71</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 115422180

## Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/21	25.00	Other income	
			<b>25.00</b>	<b>Total Miscellaneous income</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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