

APEX CLEARING
ONE DALLAS CENTER
350 NORTH ST PAUL SUITE 1300
DALLAS, TX 75201

ANGAD SINGH
21 PATERSON STREET
JERSEY CITY, NJ 07307-2718

IN ACCOUNT WITH
TASTYWORKS INC.
312-724-7075

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH TASTYWORKS INC. (312) 724-7075	Account 5WW11094	Form 1099 Composite	2021
	ANGAD SINGH 21 PATERSON STREET JERSEY CITY, NJ 07307-2718 RECIPIENT'S ID No: XXX-XX-9531	Statement Date: 01/31/2022	

FATCA filing requirement []

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS	2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	0.00	
2b- Unrecaptured Section 1250 gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 Ordinary Dividends	0.00	
2f- Section 897 Capital Gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign tax Paid	0.00	
8- Foreign country or US Possession		
9- Cash Liquidation Distributions	0.00	
10- Noncash Liquidation Distribution	0.00	
11- Exempt-Interest Dividends	0.00	
12- Specified Private Activity Bond Interest Dividends	0.00	

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on U.S. Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt Bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

APEX CLEARING

Tax Summary

2021

5WW11094

01/31/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	25,334.30	24,896.60	0.00	115.27	552.97
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	25,334.30	24,896.60	0.00	115.27	552.97
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY*

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

**Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.*

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a “covered security” for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column “Additional Notes”, cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as “Box 2 – Ordinary.” In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as “Box 3 – Collectibles Box Checked.” Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as “Box 7 – Loss Disallowed Box Checked.” Disposition of a QOF investment is noted in the Additional Notes column as “Box 3 – QOF.”

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT FUBO 05/21/21 17.50 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210521P00017500							
04/14/2021	1.00	18.72	04/06/2021	0.00	0.00	18.72	Buy to Close
CALL FB 05/21/21 327.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210521C00327500							
05/05/2021	1.00	159.72	04/28/2021	0.00	0.00	159.72	Buy to Close
CALL SNAP 06/25/21 68.50 SNAP INC CUSIP: Symbol(Box 1a): SNAP210625C00068500							
06/24/2021	1.00	-70.28	06/09/2021	0.00	0.00	-70.28	Buy to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT WDAY 06/04/21 222.50 WORKDAY INC CUSIP: Symbol(Box 1a): WDAY210604P00222500							
05/27/2021	1.00	16.72	05/26/2021	0.00	0.00	16.72	Buy to Close
CALL DKNG 08/20/21 60 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210820C00060000							
08/06/2021	1.00	-0.28	08/05/2021	0.00	0.00	-0.28	Buy to Close
PUT SPLK 06/11/21 112 SPLUNK INC CUSIP: Symbol(Box 1a): SPLK210611P00112000							
06/03/2021	1.00	170.85	06/02/2021	112.13	0.00	58.72	Sell to Close
CALL FL 06/18/21 70 FOOT LOCKER INC CUSIP: Symbol(Box 1a): FL210618C00070000							
05/21/2021	1.00	50.86	05/20/2021	67.13	0.00	-16.27	Sell to Close
CALL FCX 05/21/21 42 FREEPORT MCMORAN INC CUSIP: Symbol(Box 1a): FCX210521C00042000							
04/22/2021	1.00	18.72	04/21/2021	0.00	0.00	18.72	Buy to Close
PUT UBER 05/07/21 50.50 UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210507P00050500							
05/06/2021	1.00	289.85	05/05/2021	144.13	0.00	145.72	Sell to Close
CALL PLTR 06/18/21 24 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210618C00024000							
05/11/2021	1.00	19.72	05/10/2021	0.00	0.00	19.72	Buy to Close
CALL FDX 07/02/21 325 FEDEX CORP CUSIP: Symbol(Box 1a): FDX210702C00325000							
06/25/2021	1.00	16.85	06/24/2021	231.13	0.00	-214.28	Sell to Close
PUT AMAT 05/28/21 121 APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210528P00121000							
05/21/2021	1.00	43.86	05/20/2021	77.13	0.00	-33.27	Sell to Close
PUT ZS 06/04/21 192.50 ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604P00192500							
06/04/2021	1.00	-114.28	05/26/2021	0.00	0.00	-114.28	Buy to Close
PUT LOW 05/28/21 185 LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210528P00185000							
05/19/2021	1.00	11.72	05/18/2021	0.00	0.00	11.72	Buy to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT CSCO 05/28/21 48 CISCO SYSTEMS INC CUSIP: Symbol(Box 1a): CSCO210528P00048000							
05/20/2021	1.00	11.85	05/19/2021	23.13	0.00	-11.28	Sell to Close
PUT RLX 04/16/21 10 RLX TECHNOLOGY INC ADR CUSIP: Symbol(Box 1a): RLX210416P00010000							
03/23/2021	1.00	-0.30	03/22/2021	0.00	0.00	-0.30	Buy to Close
PUT CSCO 05/28/21 50.50 CISCO SYSTEMS INC CUSIP: Symbol(Box 1a): CSCO210528P00050500							
05/20/2021	1.00	-3.27	05/19/2021	0.00	0.00	-3.27	Buy to Close
CALL TTWO 05/28/21 182.50 TAKE TWO INTERACTIVE SOFTWARE CUSIP: Symbol(Box 1a): TTWO210528C00182500							
05/19/2021	1.00	37.72	05/18/2021	0.00	0.00	37.72	Buy to Close
PUT KBH 07/16/21 40 KB HOME CUSIP: Symbol(Box 1a): KBH210716P00040000							
07/12/2021	1.00	93.86	06/23/2021	78.13	0.00	15.73	Sell to Close
07/12/2021	1.00	94.85	06/23/2021	78.13	0.00	16.72	Sell to Close
Security Totals:		188.71		156.26		32.45	
PUT TWTR 07/23/21 60 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210723P00060000							
07/23/2021	1.00	43.72	07/22/2021	0.00	0.00	43.72	Buy to Close
CALL AAPL 05/21/21 142 APPLE INC CUSIP: Symbol(Box 1a): AAPL210521C00142000							
04/29/2021	1.00	5.72	04/28/2021	0.00	0.00	5.72	Buy to Close
CALL Z 06/18/21 150 ZILLOW GROUP INC CLASS C CUSIP: Symbol(Box 1a): Z210618C00150000							
05/05/2021	1.00	143.85	05/04/2021	288.13	0.00	-144.28	Sell to Close
PUT NVAX 06/18/21 90 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210618P00090000							
05/28/2021	1.00	67.85	05/11/2021	419.13	0.00	-351.28	Sell to Close
CALL HD 05/28/21 342.50 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210528C00342500							
05/18/2021	1.00	59.86	05/17/2021	102.13	0.00	-42.27	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a): CHEWY210618P00076000							
06/18/21	76						
06/11/2021	1.00	68.73	06/10/2021	0.00	0.00	68.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): UBER210618C00052500							
06/18/21	52.50						
05/21/2021	1.00	-30.28	05/06/2021	0.00	0.00	-30.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): CSCO210528C00054500							
05/28/21	54.50						
05/20/2021	1.00	49.73	05/19/2021	0.00	0.00	49.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): BIDU210528P00167500							
05/28/21	167.50						
05/18/2021	1.00	48.85	05/17/2021	182.13	0.00	-133.28	Sell to Close
Description of property CUSIP Symbol(Box 1a): NIKE210702C00142000							
07/02/21	142						
06/25/2021	1.00	1,101.85	06/24/2021	80.13	0.00	1,021.72	Sell to Close
Description of property CUSIP Symbol(Box 1a): CCXI210716P00010000							
07/16/21	10						
06/28/2021	1.00	30.72	06/24/2021	0.00	0.00	30.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): AA210716C00045000							
07/16/21	45						
06/11/2021	1.00	1.72	06/10/2021	0.00	0.00	1.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): INTC210820C00062500							
08/20/21	62.50						
07/19/2021	1.00	25.73	06/30/2021	0.00	0.00	25.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): NTAP210618P00069000							
06/18/21	69						
06/03/2021	1.00	39.72	06/02/2021	0.00	0.00	39.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): WYNN211015P00065000							
10/15/21	65						
09/21/2021	1.00	-38.28	09/15/2021	0.00	0.00	-38.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): EBAY210521C00059000							
05/21/21	59						
05/10/2021	1.00	277.85	04/29/2021	59.13	0.00	218.72	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a): PUT CRM 06/18/21 230 SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618P00230000							
06/01/2021	1.00	-44.27	05/28/2021	0.00	0.00	-44.27	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL TWTR 07/23/21 80 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210723C00080000							
07/23/2021	1.00	45.72	07/22/2021	0.00	0.00	45.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT CRWD 06/18/21 195 CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210618P00195000							
06/07/2021	1.00	166.72	06/03/2021	0.00	0.00	166.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT ULTA 06/04/21 305 ULTA BEAUTY INC CUSIP: Symbol(Box 1a): ULTA210604P00305000							
05/28/2021	1.00	271.72	05/27/2021	0.00	0.00	271.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT BILI 09/17/21 50 BILIBILI INC ADS CUSIP: Symbol(Box 1a): BILI210917P00050000							
08/19/2021	1.00	-24.28	08/18/2021	0.00	0.00	-24.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL AMD 05/21/21 96 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210521C00096000							
04/29/2021	1.00	64.73	04/27/2021	0.00	0.00	64.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT PINS 07/30/21 63.50 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210730P00063500							
07/30/2021	1.00	-357.27	07/29/2021	0.00	0.00	-357.27	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL TOL 06/04/21 66 TOLL BROTHERS INC CUSIP: Symbol(Box 1a): TOL210604C00066000							
05/26/2021	1.00	-21.28	05/25/2021	0.00	0.00	-21.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL UAL 09/17/21 52.50 UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210917C00052500							
08/23/2021	1.00	14.72	08/17/2021	0.00	0.00	14.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL SCCO 07/16/21 85 SOUTHERN COPPER CORPORATION CUSIP: Symbol(Box 1a): SCCO210716C00085000							
06/07/2021	1.00	63.72	06/04/2021	0.00	0.00	63.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL SNOW 06/04/21 260 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210604C00260000							
05/27/2021	1.00	267.72	05/26/2021	0.00	0.00	267.72	Buy to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CSCO 05/28/21	57	CISCO SYSTEMS INC CUSIP: Symbol(Box 1a): CSCO210528C00057000					
05/20/2021	1.00	4.85	05/19/2021	20.13	0.00	-15.28	Sell to Close
PUT EBAY 05/21/21	55	EBAY INC CUSIP: Symbol(Box 1a): EBAY210521P00055000					
05/10/2021	1.00	4.85	04/28/2021	35.13	0.00	-30.28	Sell to Close
PUT DKS 06/04/21	88	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00088000					
05/27/2021	1.00	14.85	05/26/2021	62.13	0.00	-47.28	Sell to Close
CALL CRWD 06/18/21	237.50	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210618C00237500					
06/07/2021	1.00	84.85	06/03/2021	236.13	0.00	-151.28	Sell to Close
CALL PINS 05/21/21	75	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00075000					
05/03/2021	1.00	135.72	04/28/2021	0.00	0.00	135.72	Buy to Close
CALL BIDU 05/28/21	207.50	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210528C00207500					
05/18/2021	1.00	269.85	05/17/2021	255.13	0.00	14.72	Sell to Close
PUT RBLX 07/16/21	75	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210716P00075000					
05/25/2021	1.00	3.72	05/24/2021	0.00	0.00	3.72	Buy to Close
PUT TOL 06/04/21	58.50	TOLL BROTHERS INC CUSIP: Symbol(Box 1a): TOL210604P00058500					
05/26/2021	1.00	26.72	05/25/2021	0.00	0.00	26.72	Buy to Close
PUT PDD 06/04/21	115	PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210604P00115000					
05/26/2021	1.00	52.85	05/25/2021	117.13	0.00	-64.28	Sell to Close
PUT SPLK 06/11/21	114	SPLUNK INC CUSIP: Symbol(Box 1a): SPLK210611P00114000					
06/03/2021	1.00	-60.28	06/02/2021	0.00	0.00	-60.28	Buy to Close
CALL RCL 09/17/21	95	ROYAL CARIBBEAN GROUP CUSIP: Symbol(Box 1a): RCL210917C00095000					
08/12/2021	1.00	-1.28	08/03/2021	0.00	0.00	-1.28	Buy to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT LULU 06/18/21	297.50	LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210618P00297500					
06/04/2021	1.00	235.72	06/03/2021	0.00	0.00	235.72	Buy to Close
CALL TME 04/16/21	29	TENCENT MUSIC ENTRTNMNT GROUP CUSIP: Symbol(Box 1a): TME210416C00029000					
03/29/2021	1.00	7.70	03/29/2021	0.00	0.00	7.70	Buy to Close
PUT CRWD 06/18/21	192.50	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210618P00192500					
06/07/2021	1.00	63.85	06/03/2021	207.13	0.00	-143.28	Sell to Close
PUT SCCO 07/16/21	55	SOUTHERN COPPER CORPORATION CUSIP: Symbol(Box 1a): SCCO210716P00055000					
06/07/2021	1.00	-1.28	06/04/2021	0.00	0.00	-1.28	Buy to Close
PUT NKE 07/02/21	125	NIKE INC CLASS B CUSIP: Symbol(Box 1a): NKE210702P00125000					
06/25/2021	1.00	2.85	06/24/2021	68.13	0.00	-65.28	Sell to Close
CALL GPS 07/16/21	37	GAP INC CUSIP: Symbol(Box 1a): GPS210716C00037000					
06/14/2021	1.00	-0.27	06/04/2021	0.00	0.00	-0.27	Buy to Close
CALL ZS 06/04/21	190	ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604C00190000					
06/04/2021	1.00	163.72	05/25/2021	0.00	0.00	163.72	Buy to Close
PUT EA 05/21/21	134	ELECTRONIC ARTS INC CUSIP: Symbol(Box 1a): EA210521P00134000					
05/12/2021	1.00	76.72	05/11/2021	0.00	0.00	76.72	Buy to Close
PUT MU 08/20/21	72.50	MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210820P00072500					
07/21/2021	1.00	-34.28	06/30/2021	0.00	0.00	-34.28	Buy to Close
CALL PINS 05/21/21	87	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00087000					
04/28/2021	1.00	203.73	04/27/2021	0.00	0.00	203.73	Buy to Close
PUT AMAT 05/28/21	123	APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210528P00123000					
05/21/2021	1.00	37.73	05/20/2021	0.00	0.00	37.73	Buy to Close

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5VWV11094

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PUT NVAX 06/18/21	95	NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210618P00095000					
05/28/2021	1.00	420.72	05/11/2021	0.00	0.00	420.72	Buy to Close
CALL EA 05/21/21	152.50	ELECTRONIC ARTS INC CUSIP: Symbol(Box 1a): EA210521C00152500					
05/12/2021	1.00	40.86	05/11/2021	123.13	0.00	-82.27	Sell to Close
CALL PINS 05/21/21	65	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00065000					
05/04/2021	1.00	124.85	05/04/2021	128.13	0.00	-3.28	Sell to Close
PUT RBLX 08/20/21	68	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210820P00068000					
08/16/2021	1.00	-7.28	08/16/2021	0.00	0.00	-7.28	Buy to Close
PUT ATVI 09/17/21	65	ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210917P00065000					
08/05/2021	1.00	8.72	08/03/2021	0.00	0.00	8.72	Buy to Close
PUT PFE 05/07/21	39	PFIZER INC CUSIP: Symbol(Box 1a): PFE210507P00039000					
05/04/2021	1.00	11.85	05/03/2021	52.13	0.00	-40.28	Sell to Close
CALL DKS 09/17/21	120	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210917C00120000					
08/25/2021	1.00	1,488.85	08/24/2021	392.13	0.00	1,096.72	Sell to Close
PUT SOFI 08/20/21	15	SOFI TECHNOLOGIES INC CUSIP: Symbol(Box 1a): SOFI210820P00015000					
07/07/2021	1.00	-6.28	06/30/2021	0.00	0.00	-6.28	Buy to Close
PUT PSTH 04/23/21	21	PERSHING SQR TONTINE HLDNGS CUSIP: Symbol(Box 1a): PSTH210423P00021000					
03/30/2021	1.00	14.84	03/17/2021	31.14	0.00	-16.30	Sell to Close
CALL SNAP 04/16/21	67	SNAP INC CUSIP: Symbol(Box 1a): SNAP210416C00067000					
03/24/2021	1.00	55.70	03/22/2021	0.00	0.00	55.70	Buy to Close
CALL WDAY 06/04/21	255	WORKDAY INC CUSIP: Symbol(Box 1a): WDAY210604C00255000					
05/27/2021	1.00	20.85	05/26/2021	136.13	0.00	-115.28	Sell to Close

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2021 Form 1099-B*

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL EA 05/21/21	150	ELECTRONIC ARTS INC CUSIP: Symbol(Box 1a): EA210521C00150000					
05/12/2021	1.00	90.72	05/11/2021	0.00	0.00	90.72	Buy to Close
CALL FB 05/21/21	330	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210521C00330000					
05/05/2021	1.00	207.85	04/28/2021	377.13	0.00	-169.28	Sell to Close
PUT DIS 06/18/21	180	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210618P00180000					
05/27/2021	1.00	348.73	05/13/2021	0.00	0.00	348.73	Buy to Close
PUT UBER 06/18/21	44	UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210618P00044000					
05/21/2021	1.00	16.73	05/05/2021	0.00	0.00	16.73	Buy to Close
CALL AMD 05/21/21	80	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210521C00080000					
04/26/2021	1.00	713.86	04/09/2021	604.13	0.00	109.73	Sell to Close
PUT APPS 06/11/21	57.50	DIGITAL TURBINE INC CUSIP: Symbol(Box 1a): APPS210611P00057500					
06/02/2021	1.00	69.72	06/01/2021	0.00	0.00	69.72	Buy to Close
PUT ZM 06/18/21	317.50	ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210618P00317500					
06/07/2021	1.00	354.86	06/01/2021	1,229.13	0.00	-874.27	Sell to Close
CALL LULU 06/18/21	347.50	LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210618C00347500					
06/04/2021	1.00	107.72	06/03/2021	0.00	0.00	107.72	Buy to Close
CALL HD 05/28/21	340	HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210528C00340000					
05/18/2021	1.00	48.72	05/17/2021	0.00	0.00	48.72	Buy to Close
PUT BABA 05/21/21	205	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210521P00205000					
05/13/2021	1.00	169.85	05/12/2021	166.13	0.00	3.72	Sell to Close
PUT CSCO 08/20/21	55	CISCO SYSTEMS INC CUSIP: Symbol(Box 1a): CSCO210820P00055000					
08/19/2021	1.00	19.85	08/18/2021	81.13	0.00	-61.28	Sell to Close

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2021 Form 1099-B*

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL ULTA 06/04/21	355	ULTA BEAUTY INC CUSIP: Symbol(Box 1a): ULTA210604C00355000					
05/28/2021	1.00	362.86	05/27/2021	302.13	0.00	60.73	Sell to Close
CALL WMT 05/28/21	146	WALMART INC CUSIP: Symbol(Box 1a): WMT210528C00146000					
05/18/2021	1.00	134.86	05/17/2021	78.13	0.00	56.73	Sell to Close
CALL LYFT 06/18/21	67.50	LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210618C00067500					
05/05/2021	1.00	28.72	05/04/2021	0.00	0.00	28.72	Buy to Close
PUT DKS 09/17/21	98	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210917P00098000					
08/25/2021	1.00	90.72	08/24/2021	0.00	0.00	90.72	Buy to Close
PUT KSS 09/17/21	45	KOHL'S CORP CUSIP: Symbol(Box 1a): KSS210917P00045000					
08/19/2021	1.00	17.72	08/18/2021	0.00	0.00	17.72	Buy to Close
CALL WBA 08/20/21	48.50	WALGREENS BOOTS ALLIANCE INC CUSIP: Symbol(Box 1a): WBA210820C00048500					
08/02/2021	1.00	-15.27	07/19/2021	0.00	0.00	-15.27	Buy to Close
CALL SNAP 08/20/21	80	SNAP INC CUSIP: Symbol(Box 1a): SNAP210820C00080000					
07/29/2021	1.00	-101.27	07/22/2021	0.00	0.00	-101.27	Buy to Close
CALL ATVI 05/21/21	96.50	ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210521C00096500					
05/05/2021	1.00	72.85	05/04/2021	62.13	0.00	10.72	Sell to Close
PUT DOCU 06/18/21	180	DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210618P00180000					
06/04/2021	1.00	212.72	06/03/2021	0.00	0.00	212.72	Buy to Close
PUT ATVI 05/21/21	82.50	ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210521P00082500					
05/05/2021	1.00	74.72	05/04/2021	0.00	0.00	74.72	Buy to Close
CALL TTWO 05/28/21	185	TAKE TWO INTERACTIVE SOFTWARE CUSIP: Symbol(Box 1a): TTWO210528C00185000					
05/19/2021	1.00	72.85	05/18/2021	131.13	0.00	-58.28	Sell to Close

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2021 Form 1099-B*

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT Z 08/06/21 95	ZILLOW GROUP INC CLASS C	CUSIP: Symbol(Box 1a): Z210806P00095000					
08/06/2021	1.00	49.72	08/05/2021	0.00	0.00	49.72	Buy to Close
PUT AA 07/16/21 32	ALCOA CORPORATION	CUSIP: Symbol(Box 1a): AA210716P00032000					
06/11/2021	1.00	-0.28	06/10/2021	0.00	0.00	-0.28	Buy to Close
PUT AMD 05/21/21 75	ADVANCED MICRO DEVICES INC	CUSIP: Symbol(Box 1a): AMD210521P00075000					
04/29/2021	1.00	41.85	04/27/2021	61.13	0.00	-19.28	Sell to Close
CALL AMD 04/30/21 85	ADVANCED MICRO DEVICES INC	CUSIP: Symbol(Box 1a): AMD210430C00085000					
04/26/2021	1.00	-128.28	04/21/2021	0.00	0.00	-128.28	Buy to Close
PUT FB 05/21/21 282.50	FACEBOOK INC CL A	CUSIP: Symbol(Box 1a): FB210521P00282500					
04/29/2021	1.00	167.72	04/28/2021	0.00	0.00	167.72	Buy to Close
PUT AMD 05/21/21 79	ADVANCED MICRO DEVICES INC	CUSIP: Symbol(Box 1a): AMD210521P00079000					
04/29/2021	1.00	26.73	04/27/2021	0.00	0.00	26.73	Buy to Close
CALL MU 08/20/21 105	MICRON TECHNOLOGY INC	CUSIP: Symbol(Box 1a): MU210820C00105000					
07/19/2021	1.00	71.72	06/30/2021	0.00	0.00	71.72	Buy to Close
CALL NTAP 06/18/21 86	NETAPP INC	CUSIP: Symbol(Box 1a): NTAP210618C00086000					
06/03/2021	1.00	-2.28	06/02/2021	0.00	0.00	-2.28	Buy to Close
PUT LOW 05/28/21 182.50	LOWES COMPANIES INC	CUSIP: Symbol(Box 1a): LOW210528P00182500					
05/19/2021	1.00	119.85	05/18/2021	148.13	0.00	-28.28	Sell to Close
PUT SNAP 08/20/21 67	SNAP INC	CUSIP: Symbol(Box 1a): SNAP210820P00067000					
07/29/2021	1.00	16.73	07/23/2021	0.00	0.00	16.73	Buy to Close
PUT CPNG 08/20/21 32.50	COUPANG INC	CUSIP: Symbol(Box 1a): CPNG210820P00032500					
08/12/2021	1.00	11.72	08/11/2021	0.00	0.00	11.72	Buy to Close

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Description of property CUSIP Symbol(Box 1a): PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210716P00025000							
06/25/2021	1.00	39.72	06/21/2021	0.00	0.00	39.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210430P00500000							
04/29/2021	1.00	204.85	04/21/2021	724.13	0.00	-519.28	Sell to Close
Description of property CUSIP Symbol(Box 1a): PFIZER INC CUSIP: Symbol(Box 1a): PFE210507P00039500							
05/04/2021	1.00	44.72	05/03/2021	0.00	0.00	44.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210528C00207500							
05/19/2021	1.00	21.85	05/18/2021	115.13	0.00	-93.28	Sell to Close
Description of property CUSIP Symbol(Box 1a): DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210618C00215000							
06/04/2021	1.00	1,339.85	06/03/2021	178.13	0.00	1,161.72	Sell to Close
Description of property CUSIP Symbol(Box 1a): SNAP INC CUSIP: Symbol(Box 1a): SNAP210521P00045000							
04/23/2021	1.00	53.72	04/22/2021	0.00	0.00	53.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): NIKE INC CLASS B CUSIP: Symbol(Box 1a): NKE210702P00127000							
06/25/2021	1.00	93.73	06/24/2021	0.00	0.00	93.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618P00060000							
05/24/2021	1.00	100.72	05/11/2021	0.00	0.00	100.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): BILIBILI INC ADS CUSIP: Symbol(Box 1a): BILI210917C00095000							
08/19/2021	1.00	32.72	08/18/2021	0.00	0.00	32.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618P00045000							
05/10/2021	1.00	19.72	05/06/2021	0.00	0.00	19.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210917C00105000							
09/02/2021	1.00	47.72	09/01/2021	0.00	0.00	47.72	Buy to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NVAX 06/18/21	195	NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210618C00195000					
05/28/2021	1.00	304.85	05/11/2021	806.13	0.00	-501.28	Sell to Close
PUT RBLX 06/18/21	50	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210618P00050000					
05/11/2021	1.00	52.73	05/10/2021	0.00	0.00	52.73	Buy to Close
PUT PTON 08/27/21	95	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210827P00095000					
08/27/2021	1.00	34.72	08/26/2021	0.00	0.00	34.72	Buy to Close
CALL DKNG 07/16/21	60	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210716C00060000					
06/23/2021	1.00	21.73	06/16/2021	0.00	0.00	21.73	Buy to Close
CALL DIS 08/13/21	180	WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210813C00180000					
08/13/2021	1.00	-434.28	08/12/2021	0.00	0.00	-434.28	Buy to Close
CALL PLTR 09/17/21	27	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210917C00027000					
09/15/2021	1.00	46.72	08/13/2021	0.00	0.00	46.72	Buy to Close
CALL Z 06/18/21	145	ZILLOW GROUP INC CLASS C CUSIP: Symbol(Box 1a): Z210618C00145000					
05/05/2021	1.00	171.72	05/04/2021	0.00	0.00	171.72	Buy to Close
PUT NFLX 04/30/21	512.50	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210430P00512500					
04/29/2021	1.00	286.72	04/21/2021	0.00	0.00	286.72	Buy to Close
CALL NKE 07/02/21	140	NIKE INC CLASS B CUSIP: Symbol(Box 1a): NKE210702C00140000					
06/25/2021	1.00	-1,179.27	06/24/2021	0.00	0.00	-1,179.27	Buy to Close
PUT HD 05/28/21	312.50	HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210528P00312500					
05/18/2021	1.00	78.72	05/17/2021	0.00	0.00	78.72	Buy to Close
PUT CHWY 04/01/21	74	CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210401P00074000					
03/31/2021	1.00	4.84	03/30/2021	175.14	0.00	-170.30	Sell to Close

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APEX CLEARING

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OMB No. 1545-0715

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT FL 06/18/21	52.50	FOOT LOCKER INC CUSIP: Symbol(Box 1a): FL210618P00052500					
05/21/2021	1.00	51.72	05/20/2021	0.00	0.00	51.72	Buy to Close
CALL CRWD 06/18/21	235	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210618C00235000					
06/07/2021	1.00	159.72	06/03/2021	0.00	0.00	159.72	Buy to Close
PUT AAPL 05/21/21	122	APPLE INC CUSIP: Symbol(Box 1a): AAPL210521P00122000					
04/29/2021	1.00	26.85	04/28/2021	56.13	0.00	-29.28	Sell to Close
CALL NFLX 04/30/21	512.50	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210430C00512500					
04/29/2021	1.00	668.72	04/21/2021	0.00	0.00	668.72	Buy to Close
CALL SNAP 05/21/21	70	SNAP INC CUSIP: Symbol(Box 1a): SNAP210521C00070000					
04/23/2021	1.00	-10.28	04/22/2021	0.00	0.00	-10.28	Buy to Close
PUT PLBY 06/18/21	30	PLBY GROUP INC CUSIP: Symbol(Box 1a): PLBY210618P00030000					
05/13/2021	1.00	149.85	05/12/2021	219.13	0.00	-69.28	Sell to Close
PUT CRM 06/18/21	210	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618P00210000					
05/28/2021	1.00	189.72	05/27/2021	0.00	0.00	189.72	Buy to Close
CALL LOW 05/28/21	205	LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210528C00205000					
05/19/2021	1.00	114.72	05/18/2021	0.00	0.00	114.72	Buy to Close
CALL UBER 06/18/21	60	UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210618C00060000					
05/06/2021	1.00	54.73	05/05/2021	0.00	0.00	54.73	Buy to Close
CALL DOCU 06/18/21	212.50	DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210618C00212500					
06/04/2021	1.00	-1,301.28	06/03/2021	0.00	0.00	-1,301.28	Buy to Close
CALL PINS 05/21/21	71	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00071000					
05/04/2021	1.00	35.86	05/03/2021	69.13	0.00	-33.27	Sell to Close

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2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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PUT MRVL 09/17/21	55.50	MARVELL TECHNOLOGY INC CUSIP: Symbol(Box 1a): MRVL210917P00055500					
08/27/2021	1.00	20.72	08/26/2021	0.00	0.00	20.72	Buy to Close
CALL BABA 05/21/21	237.50	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210521C00237500					
05/13/2021	1.00	22.85	05/12/2021	136.13	0.00	-113.28	Sell to Close
PUT WGO 07/16/21	60	WINNEBAGO INDUSTRIES INC CUSIP: Symbol(Box 1a): WGO210716P00060000					
06/23/2021	1.00	23.72	06/22/2021	0.00	0.00	23.72	Buy to Close
CALL PLUG 07/16/21	38	PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210716C00038000					
06/25/2021	1.00	-14.28	06/21/2021	0.00	0.00	-14.28	Buy to Close
CALL NVAX 06/18/21	190	NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210618C00190000					
05/28/2021	1.00	512.72	05/11/2021	0.00	0.00	512.72	Buy to Close
PUT HD 05/28/21	310	HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210528P00310000					
05/18/2021	1.00	230.86	05/17/2021	311.13	0.00	-80.27	Sell to Close
PUT CHWY 04/01/21	65	CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210401P00065000					
03/31/2021	1.00	1.84	03/30/2021	21.14	0.00	-19.30	Sell to Close
CALL NFLX 04/30/21	525	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210430C00525000					
04/29/2021	1.00	14.85	04/21/2021	373.13	0.00	-358.28	Sell to Close
PUT CHWY 04/01/21	72.50	CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210401P00072500					
03/31/2021	2.00	239.44	03/30/2021	0.00	0.00	239.44	Buy to Close
PUT FDX 07/16/21	287.50	FEDEX CORP CUSIP: Symbol(Box 1a): FDX210716P00287500					
06/30/2021	1.00	413.72	06/25/2021	0.00	0.00	413.72	Buy to Close
CALL MU 08/20/21	80	MICRON TECHNOLOGY INC CUSIP: Symbol(Box 1a): MU210820C00080000					
07/21/2021	1.00	-55.28	07/19/2021	0.00	0.00	-55.28	Buy to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT FB 05/21/21	320	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210521P00320000					
05/05/2021	1.00	-204.28	04/29/2021	0.00	0.00	-204.28	Buy to Close
PUT PINS 05/21/21	65	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521P00065000					
05/04/2021	1.00	561.85	04/27/2021	121.13	0.00	440.72	Sell to Close
CALL SNAP 05/21/21	55	SNAP INC CUSIP: Symbol(Box 1a): SNAP210521C00055000					
04/07/2021	1.00	911.85	04/07/2021	713.13	0.00	198.72	Sell to Close
CALL WBA 08/20/21	52.50	WALGREENS BOOTS ALLIANCE INC CUSIP: Symbol(Box 1a): WBA210820C00052500					
07/19/2021	1.00	40.72	07/02/2021	0.00	0.00	40.72	Buy to Close
PUT CRM 06/18/21	227.50	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618P00227500					
06/01/2021	1.00	185.85	05/28/2021	160.13	0.00	25.72	Sell to Close
PUT TME 04/16/21	15	TENCENT MUSIC ENTRTNMNT GROUP CUSIP: Symbol(Box 1a): TME210416P00015000					
03/29/2021	1.00	2.70	03/29/2021	0.00	0.00	2.70	Buy to Close
CALL MRVL 09/17/21	70	MARVELL TECHNOLOGY INC CUSIP: Symbol(Box 1a): MRVL210917C00070000					
08/27/2021	1.00	44.72	08/26/2021	0.00	0.00	44.72	Buy to Close
PUT STNE 07/16/21	52.50	STONECO LTD CLASS A CUSIP: Symbol(Box 1a): STNE210716P00052500					
06/02/2021	1.00	21.72	06/01/2021	0.00	0.00	21.72	Buy to Close
CALL SNOW 06/04/21	262.50	SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210604C00262500					
05/27/2021	1.00	39.86	05/26/2021	277.13	0.00	-237.27	Sell to Close
CALL COIN 05/21/21	297.50	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210521C00297500					
05/14/2021	1.00	408.85	05/13/2021	591.13	0.00	-182.28	Sell to Close
PUT WBA 08/20/21	47.50	WALGREENS BOOTS ALLIANCE INC CUSIP: Symbol(Box 1a): WBA210820P00047500					
08/02/2021	1.00	-45.28	06/30/2021	0.00	0.00	-45.28	Buy to Close

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2021 Form 1099-B*

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CALL EBAY 08/13/21	76	EBAY INC CUSIP: Symbol(Box 1a): EBAY210813C00076000					
08/12/2021	1.00	19.72	08/11/2021	0.00	0.00	19.72	Buy to Close
PUT TLRY 04/16/21	20	TILRAY INC CUSIP: Symbol(Box 1a): TLRY210416P00020000					
03/15/2021	1.00	27.71	03/12/2021	0.00	0.00	27.71	Buy to Close
PUT DKS 06/04/21	92.50	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00092500					
06/04/2021	1.00	4.85	06/01/2021	26.13	0.00	-21.28	Sell to Close
CALL TWTR 07/16/21	70	TWITTER INC CUSIP: Symbol(Box 1a): TWTR210716C00070000					
06/23/2021	1.00	-40.28	06/16/2021	0.00	0.00	-40.28	Buy to Close
CALL APPS 06/11/21	78.50	DIGITAL TURBINE INC CUSIP: Symbol(Box 1a): APPS210611C00078500					
06/02/2021	1.00	86.72	06/01/2021	0.00	0.00	86.72	Buy to Close
PUT NFLX 04/23/21	515	NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423P00515000					
04/21/2021	1.00	1,329.86	04/20/2021	445.13	0.00	884.73	Sell to Close
PUT FDX 07/16/21	285	FEDEX CORP CUSIP: Symbol(Box 1a): FDX210716P00285000					
06/30/2021	1.00	178.86	06/25/2021	551.13	0.00	-372.27	Sell to Close
PUT TTWO 05/28/21	160	TAKE TWO INTERACTIVE SOFTWARE CUSIP: Symbol(Box 1a): TTWO210528P00160000					
05/19/2021	1.00	155.72	05/18/2021	0.00	0.00	155.72	Buy to Close
PUT AVGO 06/18/21	455	BROADCOM INC CUSIP: Symbol(Box 1a): AVGO210618P00455000					
06/04/2021	1.00	409.85	06/03/2021	784.13	0.00	-374.28	Sell to Close
CALL SOFI 07/16/21	30	SOFI TECHNOLOGIES INC CUSIP: Symbol(Box 1a): SOFI210716C00030000					
06/01/2021	1.00	-20.28	05/26/2021	0.00	0.00	-20.28	Buy to Close
CALL ATVI 09/17/21	90	ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210917C00090000					
08/05/2021	1.00	25.72	08/03/2021	0.00	0.00	25.72	Buy to Close

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CALL DKS 09/17/21	119	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210917C00119000					
08/25/2021	1.00	-1,158.28	08/24/2021	0.00	0.00	-1,158.28	Buy to Close
CALL SKLZ 05/21/21	20	SKILLZ INC CL A CUSIP: Symbol(Box 1a): SKLZ210521C00020000					
05/06/2021	1.00	30.85	05/04/2021	91.13	0.00	-60.28	Sell to Close
PUT WMT 05/28/21	134	WALMART INC CUSIP: Symbol(Box 1a): WMT210528P00134000					
05/18/2021	1.00	89.73	05/17/2021	0.00	0.00	89.73	Buy to Close
CALL PINS 05/21/21	68	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00068000					
05/04/2021	1.00	47.72	05/03/2021	0.00	0.00	47.72	Buy to Close
CALL TGT 05/28/21	225	TARGET CORP CUSIP: Symbol(Box 1a): TGT210528C00225000					
05/19/2021	1.00	78.85	05/18/2021	132.13	0.00	-53.28	Sell to Close
CALL AAPL 05/21/21	145	APPLE INC CUSIP: Symbol(Box 1a): AAPL210521C00145000					
04/29/2021	1.00	65.85	04/28/2021	78.13	0.00	-12.28	Sell to Close
CALL TSLA 05/21/21	900	TESLA INC CUSIP: Symbol(Box 1a): TSLA210521C00900000					
04/27/2021	1.00	464.72	04/26/2021	0.00	0.00	464.72	Buy to Close
PUT ULTA 06/04/21	302.50	ULTA BEAUTY INC CUSIP: Symbol(Box 1a): ULTA210604P00302500					
05/28/2021	1.00	47.86	05/27/2021	272.13	0.00	-224.27	Sell to Close
PUT WMT 08/20/21	149	WALMART INC CUSIP: Symbol(Box 1a): WMT210820P00149000					
08/17/2021	2.00	84.44	08/16/2021	0.00	0.00	84.44	Buy to Close
PUT EBAY 08/13/21	62	EBAY INC CUSIP: Symbol(Box 1a): EBAY210813P00062000					
08/12/2021	1.00	26.72	08/11/2021	0.00	0.00	26.72	Buy to Close
CALL BABA 05/21/21	235	ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210521C00235000					
05/13/2021	1.00	124.73	05/12/2021	0.00	0.00	124.73	Buy to Close

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT NVDA 08/20/21	192.50	NVIDIA CORPORATION	ADJ 4:1 STOCK SPLIT CUSIP:	Symbol(Box 1a): NVDA210820P00192500			
08/19/2021	1.00	549.86	08/18/2021	440.13	0.00	109.73	Sell to Close
CALL AMD 05/21/21	100	ADVANCED MICRO DEVICES INC CUSIP:	Symbol(Box 1a): AMD210521C00100000				
04/29/2021	1.00	28.85	04/27/2021	74.13	0.00	-45.28	Sell to Close
CALL SPLK 06/11/21	133	SPLUNK INC CUSIP:	Symbol(Box 1a): SPLK210611C00133000				
06/03/2021	1.00	100.72	06/02/2021	0.00	0.00	100.72	Buy to Close
PUT SNOW 06/04/21	215	SNOWFLAKE INC CLASS A CUSIP:	Symbol(Box 1a): SNOW210604P00215000				
05/27/2021	1.00	62.72	05/26/2021	0.00	0.00	62.72	Buy to Close
PUT LVS 08/20/21	44	LAS VEGAS SANDS CORP CUSIP:	Symbol(Box 1a): LVS210820P00044000				
07/22/2021	1.00	-25.28	07/21/2021	0.00	0.00	-25.28	Buy to Close
CALL SNAP 04/16/21	55	SNAP INC CUSIP:	Symbol(Box 1a): SNAP210416C00055000				
04/01/2021	1.00	-103.29	03/29/2021	0.00	0.00	-103.29	Buy to Close
CALL RBLX 06/18/21	85	ROBLOX CORPORATION CUSIP:	Symbol(Box 1a): RBLX210618C00085000				
05/24/2021	1.00	-659.27	05/10/2021	0.00	0.00	-659.27	Buy to Close
CALL RBLX 06/18/21	90	ROBLOX CORPORATION CUSIP:	Symbol(Box 1a): RBLX210618C00090000				
05/10/2021	1.00	-3.28	05/06/2021	0.00	0.00	-3.28	Buy to Close
PUT FB 05/21/21	280	FACEBOOK INC CL A CUSIP:	Symbol(Box 1a): FB210521P00280000				
04/29/2021	1.00	35.85	04/28/2021	178.13	0.00	-142.28	Sell to Close
CALL APPS 06/11/21	81	DIGITAL TURBINE INC CUSIP:	Symbol(Box 1a): APPS210611C00081000				
06/02/2021	1.00	46.86	06/01/2021	140.13	0.00	-93.27	Sell to Close
PUT COIN 05/21/21	195	COINBASE GLOBAL INC CUSIP:	Symbol(Box 1a): COIN210521P00195000				
05/14/2021	1.00	29.85	05/13/2021	131.13	0.00	-101.28	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 04/30/21	87	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210430C00087000					
04/21/2021	1.00	79.72	04/09/2021	0.00	0.00	79.72	Buy to Close
PUT SOFI 07/16/21	12.50	SOFI TECHNOLOGIES INC CUSIP: Symbol(Box 1a): SOFI210716P00012500					
06/01/2021	1.00	9.72	05/26/2021	0.00	0.00	9.72	Buy to Close
CALL KSS 09/17/21	62.50	KOHL'S CORP CUSIP: Symbol(Box 1a): KSS210917C00062500					
08/19/2021	1.00	28.72	08/18/2021	0.00	0.00	28.72	Buy to Close
CALL COIN 05/21/21	292.50	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210521C00292500					
05/14/2021	1.00	146.72	05/13/2021	0.00	0.00	146.72	Buy to Close
CALL RBLX 08/20/21	100	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210820C00100000					
08/16/2021	1.00	0.72	08/16/2021	0.00	0.00	0.72	Buy to Close
CALL RBLX 07/16/21	105	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210716C00105000					
05/25/2021	1.00	-2.28	05/24/2021	0.00	0.00	-2.28	Buy to Close
PUT FUBO 06/18/21	12.50	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210618P00012500					
05/12/2021	1.00	30.72	05/11/2021	0.00	0.00	30.72	Buy to Close
CALL SNAP 07/16/21	65	SNAP INC CUSIP: Symbol(Box 1a): SNAP210716C00065000					
06/24/2021	1.00	514.85	06/01/2021	346.13	0.00	168.72	Sell to Close
PUT NVDA 08/20/21	193.75	NVIDIA CORPORATION	ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210820P00193750				
08/19/2021	1.00	-143.28	08/18/2021	0.00	0.00	-143.28	Buy to Close
PUT WDAY 06/04/21	220	WORKDAY INC CUSIP: Symbol(Box 1a): WDAY210604P00220000					
05/27/2021	1.00	122.85	05/26/2021	160.13	0.00	-37.28	Sell to Close
PUT CRM 06/18/21	207.50	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618P00207500					
05/28/2021	1.00	32.86	05/27/2021	191.13	0.00	-158.27	Sell to Close

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT BABA 05/21/21 207.50 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210521P00207500							
05/13/2021	1.00	-25.27	05/12/2021	0.00	0.00	-25.27	Buy to Close
CALL TSLA 05/21/21 905 TESLA INC CUSIP: Symbol(Box 1a): TSLA210521C00905000							
04/27/2021	1.00	195.86	04/26/2021	644.13	0.00	-448.27	Sell to Close
PUT LYFT 06/18/21 42.50 LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210618P00042500							
05/05/2021	1.00	0.72	05/04/2021	0.00	0.00	0.72	Buy to Close
CALL PDD 06/04/21 143 PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210604C00143000							
05/26/2021	1.00	67.73	05/25/2021	0.00	0.00	67.73	Buy to Close
CALL EBAY 05/21/21 67 EBAY INC CUSIP: Symbol(Box 1a): EBAY210521C00067000							
04/29/2021	1.00	91.72	04/28/2021	0.00	0.00	91.72	Buy to Close
CALL DIS 09/17/21 180 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210917C00180000							
08/13/2021	1.00	987.85	08/12/2021	576.13	0.00	411.72	Sell to Close
CALL EBAY 05/21/21 69 EBAY INC CUSIP: Symbol(Box 1a): EBAY210521C00069000							
04/29/2021	1.00	5.86	04/28/2021	67.13	0.00	-61.27	Sell to Close
CALL Z 08/06/21 130 ZILLOW GROUP INC CLASS C CUSIP: Symbol(Box 1a): Z210806C00130000							
08/06/2021	1.00	46.72	08/05/2021	0.00	0.00	46.72	Buy to Close
PUT LYFT 08/06/21 55 LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210806P00055000							
08/04/2021	1.00	491.85	08/03/2021	218.13	0.00	273.72	Sell to Close
CALL LULU 06/18/21 350 LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210618C00350000							
06/04/2021	1.00	107.85	06/03/2021	224.13	0.00	-116.28	Sell to Close
PUT NFLX 04/23/21 500 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423P00500000							
04/21/2021	1.00	379.85	04/20/2021	211.13	0.00	168.72	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

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OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT SNAP 04/16/21 46 SNAP INC CUSIP: Symbol(Box 1a): SNAP210416P00046000							
03/31/2021	1.00	-14.30	03/22/2021	0.00	0.00	-14.30	Buy to Close
PUT SNAP 08/20/21 54 SNAP INC CUSIP: Symbol(Box 1a): SNAP210820P00054000							
07/23/2021	1.00	89.73	07/22/2021	0.00	0.00	89.73	Buy to Close
PUT CHWY 06/18/21 73.50 CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210618P00073500							
06/11/2021	1.00	87.85	06/10/2021	151.13	0.00	-63.28	Sell to Close
PUT FDX 07/02/21 287.50 FEDEX CORP CUSIP: Symbol(Box 1a): FDX210702P00287500							
06/25/2021	1.00	-153.28	06/24/2021	0.00	0.00	-153.28	Buy to Close
PUT NFLX 04/23/21 512.50 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210423P00512500							
04/21/2021	1.00	-782.27	04/20/2021	0.00	0.00	-782.27	Buy to Close
04/21/2021	1.00	-499.28	04/20/2021	0.00	0.00	-499.28	Buy to Close
Security Totals:		-1,281.55		0.00		-1,281.55	
CALL BIDU 05/28/21 205 BAIDU INC CUSIP: Symbol(Box 1a): BIDU210528C00205000							
05/18/2021	1.00	-41.28	05/17/2021	0.00	0.00	-41.28	Buy to Close
PUT PSTH 04/23/21 22 PERSHING SQR TONTINE HLDNGS CUSIP: Symbol(Box 1a): PSTH210423P00022000							
03/17/2021	1.00	39.84	03/09/2021	111.14	0.00	-71.30	Sell to Close
PUT ZM 06/18/21 320 ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210618P00320000							
06/07/2021	1.00	913.72	06/01/2021	0.00	0.00	913.72	Buy to Close
CALL WBA 08/20/21 60 WALGREENS BOOTS ALLIANCE INC CUSIP: Symbol(Box 1a): WBA210820C00060000							
07/02/2021	1.00	29.72	06/30/2021	0.00	0.00	29.72	Buy to Close
PUT COIN 05/21/21 200 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210521P00200000							
05/14/2021	1.00	131.72	05/13/2021	0.00	0.00	131.72	Buy to Close

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2021 Form 1099-B*

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OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a): DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210716P00040000							
06/23/2021	1.00	49.73	06/16/2021	0.00	0.00	49.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): PLBY GROUP INC CUSIP: Symbol(Box 1a): PLBY210618P00035000							
05/13/2021	1.00	114.72	05/12/2021	0.00	0.00	114.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): FOOT LOCKER INC CUSIP: Symbol(Box 1a): FL210618P00050000							
05/21/2021	1.00	35.86	05/20/2021	66.13	0.00	-30.27	Sell to Close
Description of property CUSIP Symbol(Box 1a): FREEPORT MCMORAN INC CUSIP: Symbol(Box 1a): FCX210521P00031000							
04/22/2021	1.00	-0.28	04/21/2021	0.00	0.00	-0.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): VIACOMCBS INC CLASS B CUSIP: Symbol(Box 1a): VIAC210618C00050000							
05/06/2021	1.00	9.72	05/05/2021	0.00	0.00	9.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR211001C00028000							
09/17/2021	1.00	-151.28	09/15/2021	0.00	0.00	-151.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210806C00080000							
08/04/2021	1.00	262.85	08/03/2021	219.13	0.00	43.72	Sell to Close
Description of property CUSIP Symbol(Box 1a): PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210604P00118000							
05/26/2021	1.00	26.73	05/25/2021	0.00	0.00	26.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): CHEWY INC CLASS A CUSIP: Symbol(Box 1a): CHWY210917P00075000							
09/02/2021	1.00	-84.28	09/01/2021	0.00	0.00	-84.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): ULTA BEAUTY INC CUSIP: Symbol(Box 1a): ULTA210604C00352500							
05/28/2021	1.00	-108.28	05/27/2021	0.00	0.00	-108.28	Buy to Close
Description of property CUSIP Symbol(Box 1a): TESLA INC CUSIP: Symbol(Box 1a): TSLA210521P00585000							
04/27/2021	1.00	546.86	04/26/2021	669.13	0.00	-122.27	Sell to Close

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PUT SNOW 06/04/21 212.50 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210604P00212500							
05/27/2021	1.00	205.86	05/26/2021	283.13	0.00	-77.27	Sell to Close
PUT TTWO 05/28/21 157.50 TAKE TWO INTERACTIVE SOFTWARE CUSIP: Symbol(Box 1a): TTWO210528P00157500							
05/19/2021	1.00	61.85	05/18/2021	166.13	0.00	-104.28	Sell to Close
CALL SKLZ 05/21/21 21 SKILLZ INC CL A CUSIP: Symbol(Box 1a): SKLZ210521C00021000							
04/30/2021	1.00	1.72	04/21/2021	0.00	0.00	1.72	Buy to Close
05/06/2021	2.00	91.44	05/04/2021	0.00	0.00	91.44	Buy to Close
Security Totals:		93.16		0.00		93.16	
CALL WYNN 10/15/21 105 WYNN RESORTS LIMITED CUSIP: Symbol(Box 1a): WYNN211015C00105000							
09/21/2021	1.00	44.72	09/15/2021	0.00	0.00	44.72	Buy to Close
CALL JWN 09/17/21 47 NORDSTROM INC CUSIP: Symbol(Box 1a): JWN210917C00047000							
08/25/2021	1.00	30.72	08/24/2021	0.00	0.00	30.72	Buy to Close
CALL SNAP 06/18/21 70 SNAP INC CUSIP: Symbol(Box 1a): SNAP210618C00070000							
06/08/2021	1.00	53.73	06/01/2021	0.00	0.00	53.73	Buy to Close
CALL PDD 06/04/21 146 PINDUODUO INC ADS CUSIP: Symbol(Box 1a): PDD210604C00146000							
05/26/2021	1.00	159.85	05/25/2021	166.13	0.00	-6.28	Sell to Close
CALL STNE 07/16/21 80 STONECO LTD CLASS A CUSIP: Symbol(Box 1a): STNE210716C00080000							
06/02/2021	1.00	48.72	06/01/2021	0.00	0.00	48.72	Buy to Close
CALL PLTR 10/15/21 24 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR211015C00024000							
09/17/2021	1.00	536.85	08/13/2021	236.13	0.00	300.72	Sell to Close
PUT VIAC 06/18/21 32 VIACOMCBS INC CLASS B CUSIP: Symbol(Box 1a): VIAC210618P00032000							
05/06/2021	1.00	17.72	05/05/2021	0.00	0.00	17.72	Buy to Close

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2021 Form 1099-B*

5VWW11094

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL SNAP 04/16/21	60	SNAP INC CUSIP: Symbol(Box 1a): SNAP210416C00060000					
03/29/2021	1.00	15.70	03/24/2021	0.00	0.00	15.70	Buy to Close
CALL FUBO 06/18/21	30	FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210618C00030000					
05/12/2021	1.00	-31.28	05/11/2021	0.00	0.00	-31.28	Buy to Close
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 69608A108 Symbol(Box 1a): PLTR							
03/05/2021	20.00	430.59	Various	473.00	0.00	-42.41	Sale
CALL ZS 06/04/21	192.50	ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604C00192500					
06/04/2021	1.00	11.85	05/25/2021	176.13	0.00	-164.28	Sell to Close
PUT DKS 06/04/21	77.50	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00077500					
05/26/2021	1.00	82.72	05/25/2021	0.00	0.00	82.72	Buy to Close
PUT WMT 08/20/21	148	WALMART INC CUSIP: Symbol(Box 1a): WMT210820P00148000					
08/17/2021	2.00	175.72	08/16/2021	271.26	0.00	-95.54	Sell to Close
CALL SPLK 06/11/21	135	SPLUNK INC CUSIP: Symbol(Box 1a): SPLK210611C00135000					
06/03/2021	1.00	29.85	06/02/2021	129.13	0.00	-99.28	Sell to Close
PUT ZS 06/04/21	190	ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604P00190000					
06/04/2021	1.00	350.85	05/26/2021	358.13	0.00	-7.28	Sell to Close
CALL PINS 05/21/21	90	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00090000					
04/28/2021	1.00	17.85	04/27/2021	173.13	0.00	-155.28	Sell to Close
CALL FL 06/18/21	67.50	FOOT LOCKER INC CUSIP: Symbol(Box 1a): FL210618C00067500					
05/21/2021	1.00	16.72	05/20/2021	0.00	0.00	16.72	Buy to Close
PUT CSCO 08/20/21	55.50	CISCO SYSTEMS INC CUSIP: Symbol(Box 1a): CSCO210820P00055500					
08/19/2021	1.00	68.72	08/18/2021	0.00	0.00	68.72	Buy to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a): PUT ZS 06/04/21 155 ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604P00155000							
05/26/2021	1.00	147.72	05/25/2021	0.00	0.00	147.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL TOL 06/04/21 68.50 TOLL BROTHERS INC CUSIP: Symbol(Box 1a): TOL210604C00068500							
05/26/2021	1.00	39.85	05/25/2021	36.13	0.00	3.72	Sell to Close
Description of property CUSIP Symbol(Box 1a): PUT DKNG 06/18/21 40 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210618P00040000							
05/07/2021	1.00	32.72	05/06/2021	0.00	0.00	32.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL JWN 09/17/21 37 NORDSTROM INC CUSIP: Symbol(Box 1a): JWN210917C00037000							
08/30/2021	1.00	33.73	08/25/2021	0.00	0.00	33.73	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL FUBO 05/21/21 35 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210521C00035000							
04/14/2021	1.00	54.72	04/06/2021	0.00	0.00	54.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT PSTH 04/23/21 23 PERSHING SQR TONTINE HLDNGS CUSIP: Symbol(Box 1a): PSTH210423P00023000							
03/17/2021	1.00	68.70	03/09/2021	0.00	0.00	68.70	Buy to Close
03/24/2021	1.00	-16.30	03/17/2021	0.00	0.00	-16.30	Buy to Close
Security Totals:		52.40		0.00		52.40	
Description of property CUSIP Symbol(Box 1a): PUT DKS 06/04/21 95 DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00095000							
06/04/2021	1.00	39.72	06/01/2021	0.00	0.00	39.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): CALL JWN 09/17/21 30 NORDSTROM INC CUSIP: Symbol(Box 1a): JWN210917C00030000							
09/09/2021	1.00	42.72	08/30/2021	0.00	0.00	42.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT TWTR 07/16/21 55 TWITTER INC CUSIP: Symbol(Box 1a): TWTR210716P00055000							
06/23/2021	1.00	47.72	06/16/2021	0.00	0.00	47.72	Buy to Close
Description of property CUSIP Symbol(Box 1a): PUT TSLA 05/21/21 590 TESLA INC CUSIP: Symbol(Box 1a): TSLA210521P00590000							
04/27/2021	1.00	118.72	04/26/2021	0.00	0.00	118.72	Buy to Close

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Proceeds from Broker and Barter Exchange Transactions

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OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
PUT JWN 09/17/21 30 NORDSTROM INC CUSIP: Symbol(Box 1a): JWN210917P00030000							
09/09/2021	1.00	-267.28	08/24/2021	0.00	0.00	-267.28	Buy to Close
PUT DKNG 08/20/21 46 DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210820P00046000							
08/06/2021	1.00	37.72	08/05/2021	0.00	0.00	37.72	Buy to Close
PUT APPS 06/11/21 55 DIGITAL TURBINE INC CUSIP: Symbol(Box 1a): APPS210611P00055000							
06/02/2021	1.00	54.86	06/01/2021	101.13	0.00	-46.27	Sell to Close
CALL ATVI 05/21/21 94 ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210521C00094000							
05/05/2021	1.00	-44.28	05/04/2021	0.00	0.00	-44.28	Buy to Close
CALL TGT 05/28/21 222.50 TARGET CORP CUSIP: Symbol(Box 1a): TGT210528C00222500							
05/19/2021	1.00	40.72	05/18/2021	0.00	0.00	40.72	Buy to Close
PUT WMT 05/28/21 132 WALMART INC CUSIP: Symbol(Box 1a): WMT210528P00132000							
05/18/2021	1.00	16.86	05/17/2021	77.13	0.00	-60.27	Sell to Close
CALL ATVI 08/06/21 79 ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210806C00079000							
08/04/2021	1.00	-78.28	08/03/2021	0.00	0.00	-78.28	Buy to Close
PUT DIS 06/18/21 175 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210618P00175000							
05/27/2021	1.00	199.86	05/13/2021	531.13	0.00	-331.27	Sell to Close
PUT ZS 06/04/21 152.50 ZSCALER INC CUSIP: Symbol(Box 1a): ZS210604P00152500							
05/26/2021	1.00	3.85	05/25/2021	117.13	0.00	-113.28	Sell to Close
PUT GPS 07/16/21 29 GAP INC CUSIP: Symbol(Box 1a): GPS210716P00029000							
06/14/2021	1.00	18.72	05/27/2021	0.00	0.00	18.72	Buy to Close
PUT DKS 06/04/21 90.50 DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00090500							
05/27/2021	1.00	76.73	05/26/2021	0.00	0.00	76.73	Buy to Close

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2021 Form 1099-B*

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OMB No. 1545-0715

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PUT FB 05/21/21	317.50	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210521P00317500					
05/05/2021	1.00	690.85	04/29/2021	524.13	0.00	166.72	Sell to Close
PUT AAPL 05/21/21	125	APPLE INC CUSIP: Symbol(Box 1a): AAPL210521P00125000					
04/29/2021	1.00	45.72	04/28/2021	0.00	0.00	45.72	Buy to Close
CALL DKS 06/04/21	95	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604C00095000					
06/04/2021	1.00	173.85	05/25/2021	73.13	0.00	100.72	Sell to Close
PUT PINS 05/21/21	68	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521P00068000					
05/04/2021	1.00	-622.27	04/27/2021	0.00	0.00	-622.27	Buy to Close
PUT PLTR 04/23/21	20.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210423P00020500					
03/10/2021	1.00	74.70	03/05/2021	0.00	0.00	74.70	Buy to Close
CALL FDX 07/02/21	322.50	FEDEX CORP CUSIP: Symbol(Box 1a): FDX210702C00322500					
06/25/2021	1.00	244.72	06/24/2021	0.00	0.00	244.72	Buy to Close
PUT LULU 06/18/21	295	LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210618P00295000					
06/04/2021	1.00	113.85	06/03/2021	307.13	0.00	-193.28	Sell to Close
PUT BIDU 05/28/21	170	BAIDU INC CUSIP: Symbol(Box 1a): BIDU210528P00170000					
05/18/2021	1.00	163.72	05/17/2021	0.00	0.00	163.72	Buy to Close
CALL WDAY 06/04/21	252.50	WORKDAY INC CUSIP: Symbol(Box 1a): WDAY210604C00252500					
05/27/2021	1.00	151.72	05/26/2021	0.00	0.00	151.72	Buy to Close
PUT FDX 07/02/21	285	FEDEX CORP CUSIP: Symbol(Box 1a): FDX210702P00285000					
06/25/2021	1.00	314.85	06/24/2021	213.13	0.00	101.72	Sell to Close
PUT PLTR 06/18/21	14	PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210618P00014000					
05/11/2021	1.00	1.72	05/10/2021	0.00	0.00	1.72	Buy to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL EBAY 05/21/21	57	EBAY INC CUSIP: Symbol(Box 1a): EBAY210521C00057000					
05/10/2021	1.00	-343.27	04/29/2021	0.00	0.00	-343.27	Buy to Close
PUT RCL 09/17/21	55	ROYAL CARIBBEAN GROUP CUSIP: Symbol(Box 1a): RCL210917P00055000					
08/12/2021	1.00	63.72	08/03/2021	0.00	0.00	63.72	Buy to Close
CALL PINS 07/30/21	85	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210730C00085000					
07/30/2021	1.00	66.73	07/29/2021	0.00	0.00	66.73	Buy to Close
CALL SKLZ 07/16/21	30	SKILLZ INC CL A CUSIP: Symbol(Box 1a): SKLZ210716C00030000					
06/09/2021	1.00	-59.28	06/04/2021	0.00	0.00	-59.28	Buy to Close
PUT AVGO 06/18/21	457.50	BROADCOM INC CUSIP: Symbol(Box 1a): AVGO210618P00457500					
06/04/2021	1.00	401.72	06/03/2021	0.00	0.00	401.72	Buy to Close
PUT UBER 05/07/21	51.50	UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210507P00051500					
05/06/2021	1.00	-193.28	05/05/2021	0.00	0.00	-193.28	Buy to Close
PUT SNAP 04/16/21	50	SNAP INC CUSIP: Symbol(Box 1a): SNAP210416P00050000					
04/01/2021	1.00	67.71	03/31/2021	0.00	0.00	67.71	Buy to Close
CALL CRM 06/18/21	245	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618C00245000					
06/01/2021	1.00	244.85	05/27/2021	218.13	0.00	26.72	Sell to Close
PUT TGT 05/28/21	195	TARGET CORP CUSIP: Symbol(Box 1a): TGT210528P00195000					
05/19/2021	1.00	41.85	05/18/2021	182.13	0.00	-140.28	Sell to Close
PUT LYFT 09/17/21	45	LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210917P00045000					
08/05/2021	1.00	-12.28	08/03/2021	0.00	0.00	-12.28	Buy to Close
CALL WMT 05/28/21	144	WALMART INC CUSIP: Symbol(Box 1a): WMT210528C00144000					
05/18/2021	1.00	-91.27	05/17/2021	0.00	0.00	-91.27	Buy to Close

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CALL PINS 05/21/21	62	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00062000					
05/04/2021	1.00	-3.27	05/04/2021	0.00	0.00	-3.27	Buy to Close
PUT DKS 06/04/21	75	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604P00075000					
05/26/2021	1.00	17.85	05/25/2021	61.13	0.00	-43.28	Sell to Close
PUT RBLX 09/17/21	70	ROBLOX CORPORATION CUSIP: Symbol(Box 1a): RBLX210917P00070000					
08/18/2021	1.00	116.72	08/16/2021	0.00	0.00	116.72	Buy to Close
PUT DOCU 06/18/21	177.50	DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210618P00177500					
06/04/2021	1.00	16.85	06/03/2021	188.13	0.00	-171.28	Sell to Close
CALL LYFT 09/17/21	65	LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210917C00065000					
08/05/2021	1.00	52.72	08/03/2021	0.00	0.00	52.72	Buy to Close
CALL GPS 07/16/21	43	GAP INC CUSIP: Symbol(Box 1a): GPS210716C00043000					
06/04/2021	1.00	38.72	05/27/2021	0.00	0.00	38.72	Buy to Close
PUT INTC 08/20/21	50	INTEL CORP CUSIP: Symbol(Box 1a): INTC210820P00050000					
07/19/2021	1.00	-14.27	06/30/2021	0.00	0.00	-14.27	Buy to Close
CALL PTON 08/27/21	135	PELTON INTERACTIVE INC CL A CUSIP: Symbol(Box 1a): PTON210827C00135000					
08/27/2021	1.00	29.72	08/26/2021	0.00	0.00	29.72	Buy to Close
PUT UAL 09/17/21	38	UNITED AIRLINES HOLDINGS INC CUSIP: Symbol(Box 1a): UAL210917P00038000					
08/23/2021	1.00	15.72	08/17/2021	0.00	0.00	15.72	Buy to Close
CALL PINS 05/21/21	78	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210521C00078000					
05/03/2021	1.00	19.85	04/28/2021	112.13	0.00	-92.28	Sell to Close
CALL LVS 08/20/21	56	LAS VEGAS SANDS CORP CUSIP: Symbol(Box 1a): LVS210820C00056000					
07/22/2021	1.00	24.72	07/21/2021	0.00	0.00	24.72	Buy to Close

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CALL SNAP 04/30/21	61	SNAP INC CUSIP: Symbol(Box 1a): SNAP210430C00061000					
04/07/2021	1.00	-156.28	04/07/2021	0.00	0.00	-156.28	Buy to Close
CALL DKS 06/04/21	92.50	DICKS SPORTING GOODS INC CUSIP: Symbol(Box 1a): DKS210604C00092500					
06/04/2021	1.00	-333.28	05/25/2021	0.00	0.00	-333.28	Buy to Close
CALL AMAT 05/28/21	138	APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210528C00138000					
05/21/2021	1.00	57.73	05/20/2021	0.00	0.00	57.73	Buy to Close
PUT ATVI 05/21/21	80	ACTIVISION BLIZZARD INC CUSIP: Symbol(Box 1a): ATVI210521P00080000					
05/05/2021	1.00	9.85	05/04/2021	56.13	0.00	-46.28	Sell to Close
PUT SKLZ 07/16/21	15	SKILLZ INC CL A CUSIP: Symbol(Box 1a): SKLZ210716P00015000					
06/09/2021	1.00	31.72	06/04/2021	0.00	0.00	31.72	Buy to Close
CALL CRM 06/18/21	242.50	SALESFORCE.COM INC CUSIP: Symbol(Box 1a): CRM210618C00242500					
06/01/2021	1.00	-61.28	05/27/2021	0.00	0.00	-61.28	Buy to Close
PUT TOL 06/04/21	56	TOLL BROTHERS INC CUSIP: Symbol(Box 1a): TOL210604P00056000					
05/26/2021	1.00	37.85	05/25/2021	31.13	0.00	6.72	Sell to Close
PUT Z 06/18/21	90	ZILLOW GROUP INC CLASS C CUSIP: Symbol(Box 1a): Z210618P00090000					
05/05/2021	1.00	104.85	05/04/2021	140.13	0.00	-35.28	Sell to Close
PUT X 07/16/21	21	UNITED STATES STL CORP NEW CUSIP: Symbol(Box 1a): X210716P00021000					
06/21/2021	1.00	19.72	06/18/2021	0.00	0.00	19.72	Buy to Close
PUT EA 05/21/21	132	ELECTRONIC ARTS INC CUSIP: Symbol(Box 1a): EA210521P00132000					
05/12/2021	1.00	71.86	05/11/2021	125.13	0.00	-53.27	Sell to Close
PUT TGT 05/28/21	197.50	TARGET CORP CUSIP: Symbol(Box 1a): TGT210528P00197500					
05/19/2021	1.00	177.72	05/18/2021	0.00	0.00	177.72	Buy to Close

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Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
Description of property CUSIP Symbol(Box 1a)							
PUT LYFT 08/06/21	54	LYFT INC CLASS A CUSIP: Symbol(Box 1a): LYFT210806P00054000					
08/04/2021	1.00	-230.28	08/03/2021	0.00	0.00	-230.28	Buy to Close
PUT KBH 07/16/21	42	KB HOME CUSIP: Symbol(Box 1a): KBH210716P00042000					
07/12/2021	1.00	-115.27	06/23/2021	0.00	115.27 D	0.00	Buy to Close
07/12/2021	1.00	-229.55	06/23/2021	0.00	0.00	-229.55	Buy to Close
Security Totals:		-344.82		0.00		-229.55	
CALL AMAT 05/28/21	140	APPLIED MATERIALS INC CUSIP: Symbol(Box 1a): AMAT210528C00140000					
05/21/2021	1.00	31.86	05/20/2021	78.13	0.00	-46.27	Sell to Close
PUT Z 06/18/21	95	ZILLOW GROUP INC CLASS C CUSIP: Symbol(Box 1a): Z210618P00095000					
05/05/2021	1.00	43.72	05/04/2021	0.00	0.00	43.72	Buy to Close
PUT EBAY 05/21/21	57	EBAY INC CUSIP: Symbol(Box 1a): EBAY210521P00057000					
05/10/2021	1.00	44.72	04/28/2021	0.00	0.00	44.72	Buy to Close
CALL DKNG 06/18/21	65	DRAFTKINGS INC CL A CUSIP: Symbol(Box 1a): DKNG210618C00065000					
05/07/2021	1.00	-4.28	05/06/2021	0.00	0.00	-4.28	Buy to Close
Totals:		25,334.30		24,896.60		552.97	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 or 1040-SR.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States.

See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 or 104-SR.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251.

Boxes 13-15. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID

obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest

and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that

accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to

amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has

reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security

acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12–14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.

