

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 841844558</b>	Statement Date: 02/11/2022 Document ID: 4W43 B57 8V7Z	2021
	Amarnath reddy Nallaballe 6020 Kings Cross Lane Apt3611 Charlotte, NC 28213  RECIPIENT'S TIN: XXX-XX-8547		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      134.52</p> <p>1b- Qualified dividends      86.81</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      70.25</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      36.75</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.95</p> <p>7- Foreign tax paid:      0.95</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	31,480.98	25,905.53	0.00	506.22	6,081.67
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.50	10.88	0.00	0.00	-4.38
	<b>Total Short-term</b>	<b>31,487.48</b>	<b>25,916.41</b>	<b>0.00</b>	<b>506.22</b>	<b>6,077.29</b>
Long	D (basis reported to the IRS)	1,420.08	667.28	0.00	0.00	752.80
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	1.20	0.56	0.00	0.00	0.64
	<b>Total Long-term</b>	<b>1,421.28</b>	<b>667.84</b>	<b>0.00</b>	<b>0.00</b>	<b>753.44</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>32,908.76</b>	<b>26,584.25</b>	<b>0.00</b>	<b>506.22</b>	<b>6,830.73</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 03/17/2023 CALL \$210.00 / CUSIP: / Symbol:							
07/27/21	1.000	614.98	03/02/21	835.00	...	-220.02	Option sale to close-call <sup>25</sup>
AGNC INVESTMENT CORP. COMMON S TOCK / CUSIP: 00123Q104 / Symbol:							
08/23/21	1.000	16.20	07/27/21	15.90	...	0.30	Sale <sup>25</sup>
AT&T INC. / CUSIP: 00206R102 / Symbol:							
02/18/21	1.000	29.30	01/08/21	28.96	...	0.34	Sale <sup>25</sup>
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
03/11/21	1.000	199.25	03/11/21	199.73	...	-0.48	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANNALY CAPITAL MANAGEMENT, INC. / CUSIP: 035710409 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	8.58	07/27/21	8.46	...	0.12	1 of 2 - Sale <sup>25</sup>
	4.000	34.34	08/13/21	34.58	...	-0.24	2 of 2 - Sale <sup>25</sup>
08/23/21	5.000	42.92	Various	43.04	...	-0.12	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	121.65	08/31/20	128.43	...	-6.78	1 of 2 - Sale <sup>25</sup>
	1.000	121.65	08/31/20	128.19	...	-6.54	2 of 2 - Sale <sup>25</sup>
02/23/21	2.000	243.30	Various	256.62	...	-13.32	Total of 2 transactions
AQUABOUTY TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 03842K200 / Symbol:							
04/28/21	1.000	6.09	04/22/21	5.49	...	0.60	Sale <sup>25</sup>
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
06/09/21	5.000	79.19	03/04/21	78.20	...	0.99	Sale <sup>25</sup>
06/09/21	5.000	79.19	02/18/21	104.58	25.39 W	0.00	Sale <sup>25</sup>
9 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	5.000	57.40	03/30/21	63.45	...	-6.05	1 of 9 - Sale <sup>25</sup>
	4.000	45.92	03/30/21	51.74	...	-5.82	2 of 9 - Sale <sup>25</sup>
	1.000	11.48	04/14/21	11.80	...	-0.32	3 of 9 - Sale <sup>25</sup>
	5.000	57.40	04/19/21	50.18	...	7.22	4 of 9 - Sale <sup>25</sup>
	5.000	57.40	05/06/21	44.90	...	12.50	5 of 9 - Sale <sup>25</sup>
	5.000	57.40	05/12/21	68.17	...	-10.77	6 of 9 - Sale <sup>25</sup>
	5.000	57.40	05/12/21	42.78	...	14.62	7 of 9 - Sale <sup>25</sup>
	10.000	114.80	05/13/21	77.20	...	37.60	8 of 9 - Sale <sup>25</sup>
	10.000	114.79	05/17/21	80.70	...	34.09	9 of 9 - Sale <sup>25</sup>
10/01/21	50.000	573.99	Various	490.92	...	83.07	Total of 9 transactions
	<b>Security total:</b>	<b>732.37</b>		<b>673.70</b>	<b>25.39 W</b>	<b>84.06</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	10.000	29.65	12/02/20	25.68	...	3.97	1 of 2 - Sale <sup>25</sup>
	10.000	29.65	12/02/20	23.00	...	6.65	2 of 2 - Sale <sup>25</sup>
05/17/21	20.000	59.30	Various	48.68	...	10.62	Total of 2 transactions
05/17/21	30.000	88.95	03/01/21	108.75	19.80 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>148.25</b>		<b>157.43</b>	<b>19.80 W</b>	<b>10.62</b>	
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol:							
06/23/21	1.000	189.02	06/24/20	85.70	...	103.32	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	8.73	03/04/21	6.90	...	1.83	1 of 3 - Sale <sup>25</sup>
	4.000	34.92	03/04/21	27.59	...	7.33	2 of 3 - Sale <sup>25</sup>
	5.000	43.66	03/04/21	34.08	...	9.58	3 of 3 - Sale <sup>25</sup>
03/23/21	10.000	87.31	Various	68.57	...	18.74	Total of 3 transactions
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
02/11/21	1.000	43.57	01/25/21	33.33	...	10.24	Sale <sup>25</sup>
02/11/21	3.000	130.71	02/09/21	137.85	7.14 W	0.00	Sale <sup>25</sup>
8 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	35.45	02/09/21	45.95	10.50 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	70.90	02/11/21	87.57	16.67 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	35.45	02/16/21	41.47	6.02 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	35.45	02/16/21	43.85	8.40 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	35.48	02/24/21	44.00	8.52 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	35.49	02/24/21	41.62	6.13 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	35.49	02/24/21	46.10	10.61 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	70.97	02/24/21	87.87	16.90 W	0.00	8 of 8 - Sale <sup>25</sup>
03/15/21	10.000	354.68	Various	438.43	83.75 W	0.00	Total of 8 transactions
6 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	31.43	02/26/21	43.38	...	-11.95	1 of 6 - Sale <sup>25</sup>
	1.000	31.43	02/26/21	41.30	...	-9.87	2 of 6 - Sale <sup>25</sup>
	1.000	31.43	02/26/21	41.23	...	-9.80	3 of 6 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	1.000	31.43	02/26/21	41.22	...	-9.79	4 of 6 - Sale <sup>25</sup>
	1.000	31.43	02/26/21	38.91	...	-7.48	5 of 6 - Sale <sup>25</sup>
	5.000	157.15	03/04/21	153.90	...	3.25	6 of 6 - Sale <sup>25</sup>
03/29/21	10.000	314.30	Various	359.94	...	-45.64	Total of 6 transactions
	<b>Security total:</b>	<b>843.26</b>		<b>969.55</b>	<b>90.89 W</b>	<b>-35.40</b>	
CENOVUS ENERGY INC. / CUSIP: 15135U109 / Symbol:							
	6 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	10.000	59.60	05/04/20	33.28	...	26.32	1 of 6 - Sale <sup>25</sup>
	10.000	59.60	05/04/20	33.28	...	26.32	2 of 6 - Sale <sup>25</sup>
	10.000	59.60	05/04/20	33.26	...	26.34	3 of 6 - Sale <sup>25</sup>
	10.000	59.60	05/04/20	33.18	...	26.42	4 of 6 - Sale <sup>25</sup>
	10.000	59.60	05/04/20	33.10	...	26.50	5 of 6 - Sale <sup>25</sup>
	50.000	297.99	05/04/20	166.25	...	131.74	6 of 6 - Sale <sup>25</sup>
01/29/21	100.000	595.99	Various	332.35	...	263.64	Total of 6 transactions
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
	2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.						
	5.000	45.80	02/16/21	65.00	...	-19.20	1 of 2 - Sale <sup>25</sup>
	5.000	45.80	03/16/21	51.40	...	-5.60	2 of 2 - Sale <sup>25</sup>
03/29/21	10.000	91.60	Various	116.40	...	-24.80	Total of 2 transactions
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
	5 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	1.000	26.92	05/29/20	14.40	...	12.52	1 of 5 - Sale <sup>25</sup>
	1.000	26.91	05/29/20	14.17	...	12.74	2 of 5 - Sale <sup>25</sup>
	2.000	53.82	05/29/20	28.70	...	25.12	3 of 5 - Sale <sup>25</sup>
	2.000	53.83	05/29/20	28.66	...	25.17	4 of 5 - Sale <sup>25</sup>
	4.000	107.66	05/29/20	56.80	...	50.86	5 of 5 - Sale <sup>25</sup>
03/25/21	10.000	269.14	Various	142.73	...	126.41	Total of 5 transactions
	5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	2.000	63.84	05/29/20	28.31	...	35.53	1 of 5 - Sale <sup>25</sup>
	3.000	95.76	05/29/20	42.50	...	53.26	2 of 5 - Sale <sup>25</sup>
	5.000	159.60	05/29/20	70.85	...	88.75	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol: (cont'd)							
	5.000	159.59	05/29/20	70.83	...	88.76	4 of 5 - Sale <sup>25</sup>
	5.000	159.60	06/01/20	71.00	...	88.60	5 of 5 - Sale <sup>25</sup>
04/14/21	20.000	638.39	Various	283.49	...	354.90	Total of 5 transactions
	<b>Security total:</b>	<b>907.53</b>		<b>426.22</b>	...	<b>481.31</b>	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	93.61	11/11/20	85.28	...	8.33	1 of 2 - Sale <sup>25</sup>
	3.000	280.83	11/13/20	256.67	...	24.16	2 of 2 - Sale <sup>25</sup>
02/23/21	4.000	374.44	Various	341.95	...	32.49	Total of 2 transactions
03/19/21	3.000	247.98	03/09/21	240.81	...	7.17	Sale <sup>25</sup>
03/19/21	1.000	82.66	11/13/20	85.55	2.89 W	0.00	Sale <sup>25</sup>
03/23/21	1.000	84.97	03/09/21	83.16	...	1.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>790.05</b>		<b>751.47</b>	<b>2.89 W</b>	<b>41.47</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
11 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	48.14	04/14/20	24.55	...	23.59	1 of 11 - Sale <sup>25</sup>
	2.000	96.29	04/15/20	48.40	...	47.89	2 of 11 - Sale <sup>25</sup>
	2.000	96.29	04/15/20	47.68	...	48.61	3 of 11 - Sale <sup>25</sup>
	5.000	240.72	04/15/20	118.90	...	121.82	4 of 11 - Sale <sup>25</sup>
	1.000	48.15	05/04/20	22.24	...	25.91	5 of 11 - Sale <sup>25</sup>
	1.000	48.14	05/04/20	22.00	...	26.14	6 of 11 - Sale <sup>25</sup>
	1.000	48.15	05/04/20	22.00	...	26.15	7 of 11 - Sale <sup>25</sup>
	1.000	48.14	05/04/20	21.94	...	26.20	8 of 11 - Sale <sup>25</sup>
	1.000	48.15	05/04/20	21.92	...	26.23	9 of 11 - Sale <sup>25</sup>
	2.000	96.29	05/04/20	44.04	...	52.25	10 of 11 - Sale <sup>25</sup>
	3.000	144.43	05/13/20	58.41	...	86.02	11 of 11 - Sale <sup>25</sup>
03/02/21	20.000	962.89	Various	452.08	...	510.81	Total of 11 transactions
DIREXION DAILY S&P 500 HIGH BE TA BEAR 3X SHARES / CUSIP: 25460E257 / Symbol:							
5 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	0.050	0.83	11/25/20	1.92	...	-1.09	1 of 5 - Sale <sup>25</sup>
	0.200	3.32	12/03/20	7.52	...	-4.20	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P 500 HIGH BE TA BEAR 3X SHARES / CUSIP: 25460E257 / Symbol: (cont'd)							
	0.250	4.15	12/07/20	8.28	...	-4.13	3 of 5 - Sale <sup>25</sup>
	0.250	4.15	01/05/21	8.43	...	-4.28	4 of 5 - Sale <sup>25</sup>
	0.250	4.15	01/07/21	6.68	...	-2.53	5 of 5 - Sale <sup>25</sup>
03/03/21	1.000	16.60	Various	32.83	...	-16.23	Total of 5 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460G658 / Symbol:							
03/02/21	1.000	11.60	11/25/20	28.64	...	-17.04	Sale <sup>25</sup>
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
10 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	10.000	99.30	06/12/20	210.36	...	-111.06	1 of 10 - Sale <sup>25</sup>
	5.000	49.65	06/16/20	104.85	...	-55.20	2 of 10 - Sale <sup>25</sup>
	5.000	49.65	06/19/20	105.00	...	-55.35	3 of 10 - Sale <sup>25</sup>
	5.000	49.65	06/19/20	102.61	...	-52.96	4 of 10 - Sale <sup>25</sup>
	5.000	49.65	06/22/20	95.25	...	-45.60	5 of 10 - Sale <sup>25</sup>
	2.000	19.89	07/17/20	28.00	...	-8.11	6 of 10 - Sale <sup>25</sup>
	3.000	29.84	07/22/20	34.85	...	-5.01	7 of 10 - Sale <sup>25</sup>
	15.000	149.17	08/03/20	164.53	...	-15.36	8 of 10 - Sale <sup>25</sup>
	5.000	49.73	09/18/20	47.39	...	2.34	9 of 10 - Sale <sup>25</sup>
	5.000	49.72	11/05/20	45.25	...	4.47	10 of 10 - Sale <sup>25</sup>
04/19/21	60.000	596.25	Various	938.09	...	-341.84	Total of 10 transactions
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	10.000	94.51	11/23/20	126.29	...	-31.78	1 of 2 - Sale <sup>25</sup>
	9.000	85.05	01/05/21	74.79	...	10.26	2 of 2 - Sale <sup>25</sup>
05/11/21	19.000	179.56	Various	201.08	...	-21.52	Total of 2 transactions
05/12/21	1.000	8.93	01/05/21	8.31	...	0.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>784.74</b>		<b>1,147.48</b>	...	<b>-362.74</b>	
DYNEX CAPITAL, INC. / CUSIP: 26817Q886 / Symbol:							
08/23/21	1.000	17.53	07/27/21	17.36	...	0.17	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EQUITRANS MIDSTREAM CORPORATIO N COMMON STOCK / CUSIP: 294600101 / Symbol:							
3 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	8.43	07/27/21	8.28	...	0.15	1 of 3 - Sale <sup>25</sup>
	3.000	25.30	08/13/21	26.15	...	-0.85	2 of 3 - Sale <sup>25</sup>
	1.000	8.43	08/13/21	8.72	...	-0.29	3 of 3 - Sale <sup>25</sup>
08/23/21	5.000	42.16	Various	43.15	...	-0.99	Total of 3 transactions
FISKER INC. / CUSIP: 33813J106 / Symbol:							
5 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	1.000	14.51	11/09/20	12.64	...	1.87	1 of 5 - Sale <sup>25</sup>
	4.000	58.04	02/12/21	76.40	...	-18.36	2 of 5 - Sale <sup>25</sup>
	5.000	72.55	02/16/21	100.54	...	-27.99	3 of 5 - Sale <sup>25</sup>
	5.000	72.55	02/17/21	90.00	...	-17.45	4 of 5 - Sale <sup>25</sup>
	5.000	72.55	02/18/21	90.00	...	-17.45	5 of 5 - Sale <sup>25</sup>
10/01/21	20.000	290.20	Various	369.58	...	-79.38	Total of 5 transactions
7 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	5.000	70.45	03/24/21	90.70	...	-20.25	1 of 7 - Sale <sup>25</sup>
	5.000	70.45	03/29/21	83.50	...	-13.05	2 of 7 - Sale <sup>25</sup>
	5.000	70.45	04/05/21	81.20	...	-10.75	3 of 7 - Sale <sup>25</sup>
	5.000	70.45	04/16/21	65.83	...	4.62	4 of 7 - Sale <sup>25</sup>
	5.000	70.50	04/19/21	62.35	...	8.15	5 of 7 - Sale <sup>25</sup>
	5.000	70.50	04/19/21	62.05	...	8.45	6 of 7 - Sale <sup>25</sup>
	10.000	140.90	04/19/21	130.48	...	10.42	7 of 7 - Sale <sup>25</sup>
10/12/21	40.000	563.70	Various	576.11	...	-12.41	Total of 7 transactions
11/09/21	10.000	199.20	04/19/21	124.30	...	74.90	Sale <sup>25</sup>
12/02/21	10.000	188.90	05/06/21	114.07	...	74.83	Sale <sup>25</sup>
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	9.000	146.98	05/12/21	94.13	...	52.85	1 of 2 - Sale <sup>25</sup>
	10.000	165.65	05/12/21	107.09	...	58.56	2 of 2 - Sale <sup>25</sup>
12/20/21	19.000	312.63	Various	201.22	...	111.41	Total of 2 transactions
	<b>Security total:</b>	<b>1,554.63</b>		<b>1,385.28</b>	...	<b>169.35</b>	

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	5.000	131.25	02/18/21	216.25	...	-85.00	1 of 2 - Sale <sup>25</sup>
	5.000	131.25	03/04/21	145.43	...	-14.18	2 of 2 - Sale <sup>25</sup>
08/05/21	10.000	262.50	Various	361.68	...	-99.18	Total of 2 transactions
2 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	5.000	143.23	03/04/21	150.64	...	-7.41	1 of 2 - Sale <sup>25</sup>
	5.000	143.23	03/05/21	140.00	...	3.23	2 of 2 - Sale <sup>25</sup>
08/13/21	10.000	286.46	Various	290.64	...	-4.18	Total of 2 transactions
	<b>Security total:</b>	<b>548.96</b>		<b>652.32</b>	...	<b>-103.36</b>	
GLADSTONE CAPITAL CORPORATION COMMON STOCK / CUSIP: 376535100 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	11.60	07/27/21	11.37	...	0.23	1 of 2 - Sale <sup>25</sup>
	4.000	46.38	08/13/21	47.30	...	-0.92	2 of 2 - Sale <sup>25</sup>
08/23/21	5.000	57.98	Various	58.67	...	-0.69	Total of 2 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
8 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	3.42	06/22/20	3.91	...	-0.49	1 of 8 - Sale <sup>25</sup>
	9.000	30.73	06/30/20	30.31	...	0.42	2 of 8 - Sale <sup>25</sup>
	4.000	13.66	07/07/20	11.63	...	2.03	3 of 8 - Sale <sup>25</sup>
	6.000	20.49	07/07/20	17.45	...	3.04	4 of 8 - Sale <sup>25</sup>
	10.000	34.15	07/07/20	29.08	...	5.07	5 of 8 - Sale <sup>25</sup>
	10.000	34.15	07/31/20	28.17	...	5.98	6 of 8 - Sale <sup>25</sup>
	10.000	34.15	08/07/20	28.17	...	5.98	7 of 8 - Sale <sup>25</sup>
	10.000	34.14	09/11/20	25.28	...	8.86	8 of 8 - Sale <sup>25</sup>
05/27/21	60.000	204.89	Various	174.00	...	30.89	Total of 8 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
4 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	5.000	185.58	03/04/21	188.36	2.78 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	37.15	03/09/21	37.38	0.23 W	0.00	2 of 4 - Sale <sup>25</sup>

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Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
	1.000	37.15	03/09/21	37.44		0.00	3 of 4 - Sale <sup>25</sup>
	3.000	111.45	03/09/21	112.61	1.16 W	0.00	4 of 4 - Sale <sup>25</sup>
04/05/21	10.000	371.33	Various	375.79	4.46 W	0.00	Total of 4 transactions
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	5.000	164.76	02/16/21	152.24	...	12.52	1 of 2 - Sale <sup>25</sup>
	5.000	164.75	03/04/21	109.24	...	55.51	2 of 2 - Sale <sup>25</sup>
10/27/21	10.000	329.51	Various	261.48	...	68.03	Total of 2 transactions
10/28/21	5.000	166.85	03/24/21	113.80	...	53.05	Sale <sup>25</sup>
11/26/21	5.000	157.56	04/14/21	107.20	...	50.36	Sale <sup>25</sup>
4 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	1.000	28.53	04/19/21	18.78	...	9.75	1 of 4 - Sale <sup>25</sup>
	2.000	57.06	04/19/21	37.57	...	19.49	2 of 4 - Sale <sup>25</sup>
	2.000	57.06	04/19/21	37.57	...	19.49	3 of 4 - Sale <sup>25</sup>
	4.000	114.12	04/19/21	75.16	...	38.96	4 of 4 - Sale <sup>25</sup>
12/20/21	9.000	256.77	Various	169.08	...	87.69	Total of 4 transactions
	<b>Security total:</b>	<b>910.69</b>		<b>651.56</b>	...	<b>259.13</b>	
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	1.000	3.65	04/21/21	3.42	...	0.23	1 of 2 - Sale <sup>25</sup>
	1.000	3.65	04/22/21	3.42	...	0.23	2 of 2 - Sale <sup>25</sup>
04/28/21	2.000	7.30	Various	6.84	...	0.46	Total of 2 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
4 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	10.000	92.06	04/16/21	101.18	...	-9.12	1 of 4 - Sale <sup>25</sup>
	5.000	46.03	04/19/21	44.85	...	1.18	2 of 4 - Sale <sup>25</sup>
	5.000	46.22	04/19/21	44.85	...	1.37	3 of 4 - Sale <sup>25</sup>
	10.000	92.44	04/19/21	90.95	...	1.49	4 of 4 - Sale <sup>25</sup>
06/15/21	30.000	276.75	Various	281.83	...	-5.08	Total of 4 transactions

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
<i>5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.47	07/23/21	23.94	...	12.53	1 of 5 - Sale <sup>25</sup>
	1.000	36.48	07/23/21	23.25	...	13.23	2 of 5 - Sale <sup>25</sup>
	3.000	109.42	07/23/21	69.00	...	40.42	3 of 5 - Sale <sup>25</sup>
	2.000	76.00	08/04/21	46.04	...	29.96	4 of 5 - Sale <sup>25</sup>
	3.000	114.00	08/16/21	67.62	...	46.38	5 of 5 - Sale <sup>25</sup>
10/28/21	10.000	372.37	Various	229.85	...	142.52	Total of 5 transactions
12/27/21	5.000	191.85	08/30/21	100.50	...	91.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>564.22</b>		<b>330.35</b>	...	<b>233.87</b>	
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
<i>3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.84	05/11/21	20.84	...	1.00	1 of 3 - Sale <sup>25</sup>
	4.000	87.36	06/11/21	95.12	...	-7.76	2 of 3 - Sale <sup>25</sup>
	5.000	109.20	06/21/21	113.20	...	-4.00	3 of 3 - Sale <sup>25</sup>
11/09/21	10.000	218.40	Various	229.16	...	-10.76	Total of 3 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/24/21	1.000	4.11	03/10/21	4.21	...	-0.10	Sale <sup>25</sup>
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
02/04/21	5.000	75.88	01/28/21	79.45	3.57 W	0.00	Sale <sup>25</sup>
02/05/21	5.000	75.85	02/02/21	73.06	...	2.79	Sale <sup>25</sup>
02/05/21	5.000	75.66	01/28/21	79.45	3.79 W	0.00	Sale <sup>25</sup>
02/12/21	4.000	60.44	02/02/21	58.71	...	1.73	Sale <sup>25</sup>
03/23/21	1.000	16.00	02/02/21	14.68	...	1.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>303.83</b>		<b>305.35</b>	<b>7.36 W</b>	<b>5.84</b>	
MEDALLION FINANCIAL CORP. COMM ON STOCK / CUSIP: 583928106 / Symbol:							
03/24/21	1.000	6.97	03/10/21	7.45	...	-0.48	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW YORK COMMUNITY BANCORP, IN C. / CUSIP: 649445103 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	12.31	07/27/21	11.17	...	1.14	1 of 2 - Sale <sup>25</sup>
	4.000	49.25	08/13/21	50.76	...	-1.51	2 of 2 - Sale <sup>25</sup>
08/23/21	5.000	61.56	Various	61.93	...	-0.37	Total of 2 transactions
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
7 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	73.74	03/11/21	74.64	0.90 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	73.74	03/11/21	76.23	2.49 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	73.74	03/11/21	76.30	2.56 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	73.74	03/11/21	76.37	2.63 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	147.48	03/11/21	149.12	1.64 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	147.48	03/11/21	149.15	1.67 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	147.48	03/11/21	152.43	4.95 W	0.00	7 of 7 - Sale <sup>25</sup>
03/29/21	10.000	737.40	Various	754.24	16.84 W	0.00	Total of 7 transactions
4 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	73.53	03/18/21	73.07	...	0.46	1 of 4 - Sale <sup>25</sup>
	1.000	73.52	03/18/21	73.00	...	0.52	2 of 4 - Sale <sup>25</sup>
	1.000	73.53	03/19/21	72.93	...	0.60	3 of 4 - Sale <sup>25</sup>
	1.000	73.52	03/19/21	72.69	...	0.83	4 of 4 - Sale <sup>25</sup>
05/06/21	4.000	294.10	Various	291.69	...	2.41	Total of 4 transactions
05/20/21	1.000	74.18	03/19/21	72.68	...	1.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,105.68</b>		<b>1,118.61</b>	<b>16.84 W</b>	<b>3.91</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
4 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	19.77	11/03/20	18.88	...	0.89	1 of 4 - Sale <sup>25</sup>
	1.000	19.77	12/07/20	18.44	...	1.33	2 of 4 - Sale <sup>25</sup>
	1.000	19.77	12/10/20	18.01	...	1.76	3 of 4 - Sale <sup>25</sup>
	2.000	39.54	12/11/20	34.87	...	4.67	4 of 4 - Sale <sup>25</sup>
02/23/21	5.000	98.85	Various	90.20	...	8.65	Total of 4 transactions

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	5.000	69.60	12/11/20	87.14	...	-17.54	1 of 3 - Sale <sup>25</sup>
	2.000	27.84	12/17/20	34.16	...	-6.32	2 of 3 - Sale <sup>25</sup>
	3.000	41.76	12/21/20	49.48	...	-7.72	3 of 3 - Sale <sup>25</sup>
11/08/21	10.000	139.20	Various	170.78	...	-31.58	Total of 3 transactions
	<b>Security total:</b>	<b>238.05</b>		<b>260.98</b>	...	<b>-22.93</b>	
ORGANOVO HOLDINGS, INC. COMMON STOCK / CUSIP: 68620A203 / Symbol:							
03/18/21	1.000	11.09	03/05/21	10.87	...	0.22	Sale <sup>25</sup>
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
7 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	50.000	164.75	06/12/20	101.00	...	63.75	1 of 7 - Sale <sup>25</sup>
	50.000	164.74	06/12/20	100.85	...	63.89	2 of 7 - Sale <sup>25</sup>
	50.000	176.56	06/15/20	92.50	...	84.06	3 of 7 - Sale <sup>25</sup>
	50.000	185.00	06/30/20	81.00	...	104.00	4 of 7 - Sale <sup>25</sup>
	50.000	190.00	07/01/20	82.44	...	107.56	5 of 7 - Sale <sup>25</sup>
	50.000	200.00	07/01/20	82.43	...	117.57	6 of 7 - Sale <sup>25</sup>
	50.000	199.99	07/17/20	77.50	...	122.49	7 of 7 - Sale <sup>25</sup>
02/12/21	350.000	1,281.04	Various	617.72	...	663.32	Total of 7 transactions
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	50.000	147.01	07/17/20	77.49	...	69.52	1 of 2 - Sale <sup>25</sup>
	100.000	290.18	08/25/20	127.00	...	163.18	2 of 2 - Sale <sup>25</sup>
02/23/21	150.000	437.19	Various	204.49	...	232.70	Total of 2 transactions
	<b>Security total:</b>	<b>1,718.23</b>		<b>822.21</b>	...	<b>896.02</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	5.000	125.00	02/18/21	125.53	...	-0.53	1 of 4 - Sale <sup>25</sup>
	5.000	125.00	02/18/21	125.00	...	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	125.00	02/18/21	125.00	...	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	125.00	02/18/21	125.00	...	0.00	4 of 4 - Sale <sup>25</sup>
06/17/21	20.000	500.00	Various	500.53	...	-0.53	Total of 4 transactions

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
2 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	10.000	247.10	02/19/21	289.70	42.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	247.40	02/19/21	289.90	42.50 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/21	20.000	494.50	Various	579.60	85.10 W	0.00	Total of 2 transactions
08/16/21	10.000	244.11	02/19/21	290.00	...	-45.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,238.61</b>		<b>1,370.13</b>	<b>85.10 W</b>	<b>-46.42</b>	
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
4 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	22.68	05/01/20	22.89	0.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	22.68	05/01/20	25.13	2.45 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	22.68	05/01/20	25.14	2.46 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	45.36	05/01/20	46.88	1.52 W	0.00	4 of 4 - Sale <sup>25</sup>
03/19/21	5.000	113.40	Various	120.04	6.64 W	0.00	Total of 4 transactions
3 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	2.000	45.76	05/14/20	45.90	...	-0.14	1 of 3 - Sale <sup>25</sup>
	1.000	22.88	05/14/20	22.97	...	-0.09	2 of 3 - Sale <sup>25</sup>
	1.000	22.88	05/14/20	22.94	...	-0.06	3 of 3 - Sale <sup>25</sup>
04/01/21	4.000	91.52	Various	91.81	...	-0.29	Total of 3 transactions
04/01/21	1.000	22.88	05/14/20	23.00	0.12 W	0.00	Sale <sup>25</sup>
9 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	3.000	68.86	05/14/20	68.85	...	0.01	1 of 9 - Sale <sup>25</sup>
	2.000	45.91	05/14/20	45.80	...	0.11	2 of 9 - Sale <sup>25</sup>
	2.000	45.91	05/14/20	45.64	...	0.27	3 of 9 - Sale <sup>25</sup>
	3.000	68.87	05/14/20	68.46	...	0.41	4 of 9 - Sale <sup>25</sup>
	2.000	45.92	11/09/20	45.49	...	0.43	5 of 9 - Sale <sup>25</sup>
	3.000	68.88	11/12/20	64.38	...	4.50	6 of 9 - Sale <sup>25</sup>
	5.000	114.80	11/17/20	105.37	...	9.43	7 of 9 - Sale <sup>25</sup>
	2.000	46.10	11/23/20	42.60	...	3.50	8 of 9 - Sale <sup>25</sup>
	3.000	69.15	11/23/20	63.89	...	5.26	9 of 9 - Sale <sup>25</sup>
04/16/21	25.000	574.40	Various	550.48	...	23.92	Total of 9 transactions
08/19/21	1.000	23.58	06/11/21	24.76	1.18 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol: (cont'd)							
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	2.000	47.46	06/15/21	45.32	...	2.14	1 of 3 - Sale <sup>25</sup>
	2.000	47.46	06/15/21	45.29	...	2.17	2 of 3 - Sale <sup>25</sup>
	4.000	94.92	06/15/21	90.61	...	4.31	3 of 3 - Sale <sup>25</sup>
08/20/21	8.000	189.84	Various	181.22	...	8.62	Total of 3 transactions
08/20/21	2.000	47.46	06/11/21	49.51	1.02 W	-1.03	Sale <sup>25</sup>
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	3.000	73.68	06/15/21	67.97	...	5.71	1 of 2 - Sale <sup>25</sup>
	1.000	24.57	08/02/21	22.94	...	1.63	2 of 2 - Sale <sup>25</sup>
10/01/21	4.000	98.25	Various	90.91	...	7.34	Total of 2 transactions
	<b>Security total:</b>	<b>1,161.33</b>		<b>1,131.73</b>	<b>8.96 W</b>	<b>38.56</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
7 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	27.18	10/08/20	18.06	...	9.12	1 of 7 - Sale <sup>25</sup>
	4.000	108.72	10/08/20	71.00	...	37.72	2 of 7 - Sale <sup>25</sup>
	2.000	54.36	10/12/20	35.38	...	18.98	3 of 7 - Sale <sup>25</sup>
	3.000	81.55	10/13/20	51.00	...	30.55	4 of 7 - Sale <sup>25</sup>
	1.000	27.17	10/21/20	14.99	...	12.18	5 of 7 - Sale <sup>25</sup>
	2.000	54.34	10/30/20	28.60	...	25.74	6 of 7 - Sale <sup>25</sup>
	2.000	54.34	10/30/20	27.95	...	26.39	7 of 7 - Sale <sup>25</sup>
08/06/21	15.000	407.66	Various	246.98	...	160.68	Total of 7 transactions
08/06/21	5.000	135.85	01/20/21	306.70	170.85 W	0.00	Sale <sup>25</sup>
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	5.000	190.65	03/08/21	205.25	...	-14.60	1 of 4 - Sale <sup>25</sup>
	5.000	190.65	03/29/21	160.03	...	30.62	2 of 4 - Sale <sup>25</sup>
	5.000	190.70	04/05/21	169.40	...	21.30	3 of 4 - Sale <sup>25</sup>
	5.000	190.70	04/07/21	159.88	...	30.82	4 of 4 - Sale <sup>25</sup>
10/28/21	20.000	762.70	Various	694.56	...	68.14	Total of 4 transactions
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	5.000	200.65	04/12/21	154.64	...	46.01	1 of 2 - Sale <sup>25</sup>
	5.000	200.65	04/12/21	146.88	...	53.77	2 of 2 - Sale <sup>25</sup>
11/04/21	10.000	401.30	Various	301.52	...	99.78	Total of 2 transactions

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	5.000	202.07	04/14/21	145.08	...	56.99	1 of 7 - Sale <sup>25</sup>
	5.000	202.07	04/19/21	127.28	...	74.79	2 of 7 - Sale <sup>25</sup>
	5.000	202.07	05/06/21	111.60	...	90.47	3 of 7 - Sale <sup>25</sup>
	5.000	202.08	05/06/21	111.55	...	90.53	4 of 7 - Sale <sup>25</sup>
	5.000	202.08	05/12/21	113.20	...	88.88	5 of 7 - Sale <sup>25</sup>
	5.000	202.07	05/12/21	112.50	...	89.57	6 of 7 - Sale <sup>25</sup>
	10.000	404.15	05/12/21	223.85	...	180.30	7 of 7 - Sale <sup>25</sup>
11/09/21	40.000	1,616.59	Various	945.06	...	671.53	Total of 7 transactions
12/29/21	5.000	136.76	05/12/21	111.10	...	25.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,460.86</b>		<b>2,605.92</b>	<b>170.85 W</b>	<b>1,025.79</b>	
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	59.70	03/01/21	55.71	...	3.99	1 of 2 - Sale <sup>25</sup>
	1.000	59.70	03/01/21	55.38	...	4.32	2 of 2 - Sale <sup>25</sup>
04/16/21	2.000	119.40	Various	111.09	...	8.31	Total of 2 transactions
PROSPECT CAPITAL CORPORATION C OMMON STOCK / CUSIP: 74348T102 / Symbol:							
2 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	8.07	07/27/21	8.20	...	-0.13	1 of 2 - Sale <sup>25</sup>
	4.000	32.30	08/13/21	32.94	...	-0.64	2 of 2 - Sale <sup>25</sup>
08/23/21	5.000	40.37	Various	41.14	...	-0.77	Total of 2 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
02/05/21	5.000	107.30	08/06/20	102.45	...	4.85	Sale <sup>25</sup>
02/08/21	3.000	64.51	08/06/20	61.47	...	3.04	Sale <sup>25</sup>
03/29/21	2.000	49.25	08/06/20	40.98	...	8.27	Sale <sup>25</sup>
03/29/21	2.000	49.25	03/08/21	52.60	3.35 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>270.31</b>		<b>257.50</b>	<b>3.35 W</b>	<b>16.16</b>	

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOALS TECHNOLOGIES GROUP, INC . CLASS A COMMON STOCK / CUSIP: 82489W107 / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	33.72	01/28/21	33.00	...	0.72	1 of 3 - Sale <sup>25</sup>
	2.000	67.44	01/28/21	66.47	...	0.97	2 of 3 - Sale <sup>25</sup>
	1.000	33.72	01/29/21	33.00	...	0.72	3 of 3 - Sale <sup>25</sup>
02/23/21	4.000	134.88	Various	132.47	...	2.41	Total of 3 transactions
3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	28.39	01/29/21	33.00	...	-4.61	1 of 3 - Sale <sup>25</sup>
	2.000	56.78	03/18/21	65.44	...	-8.66	2 of 3 - Sale <sup>25</sup>
	2.000	56.78	03/19/21	62.75	...	-5.97	3 of 3 - Sale <sup>25</sup>
10/27/21	5.000	141.95	Various	161.19	...	-19.24	Total of 3 transactions
	<b>Security total:</b>	<b>276.83</b>		<b>293.66</b>	...	<b>-16.83</b>	
SOLARWINDS CORPORATION COMMON STOCK / CUSIP: 83417Q105 / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	15.05	12/18/20	14.55	...	0.50	1 of 3 - Sale <sup>25</sup>
	4.000	60.20	12/18/20	58.20	...	2.00	2 of 3 - Sale <sup>25</sup>
	5.000	75.26	01/06/21	71.50	...	3.76	3 of 3 - Sale <sup>25</sup>
02/23/21	10.000	150.51	Various	144.25	...	6.26	Total of 3 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
15 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	57.65	04/15/20	33.08	...	24.57	1 of 15 - Sale <sup>25</sup>
	1.000	57.65	04/16/20	31.03	...	26.62	2 of 15 - Sale <sup>25</sup>
	1.000	57.65	04/16/20	30.64	...	27.01	3 of 15 - Sale <sup>25</sup>
	1.000	57.66	04/16/20	30.49	...	27.17	4 of 15 - Sale <sup>25</sup>
	2.000	115.31	04/16/20	62.85	...	52.46	5 of 15 - Sale <sup>25</sup>
	1.000	57.65	04/17/20	31.25	...	26.40	6 of 15 - Sale <sup>25</sup>
	1.000	57.66	04/17/20	31.22	...	26.44	7 of 15 - Sale <sup>25</sup>
	1.000	57.66	04/20/20	30.77	...	26.89	8 of 15 - Sale <sup>25</sup>
	1.000	57.65	04/22/20	29.99	...	27.66	9 of 15 - Sale <sup>25</sup>
	1.000	57.65	05/01/20	29.10	...	28.55	10 of 15 - Sale <sup>25</sup>
	1.000	57.66	05/01/20	29.07	...	28.59	11 of 15 - Sale <sup>25</sup>
	1.000	57.66	05/04/20	26.85	...	30.81	12 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
	2.000	115.31	05/04/20	53.94	...	61.37	13 of 15 - Sale <sup>25</sup>
	2.000	115.31	05/04/20	53.84	...	61.47	14 of 15 - Sale <sup>25</sup>
	1.000	57.65	05/05/20	26.80	...	30.85	15 of 15 - Sale <sup>25</sup>
03/02/21	18.000	1,037.78	Various	530.92	...	506.86	Total of 15 transactions
SUNCOR ENERGY, INC. / CUSIP: 867224107 / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	1.000	20.77	08/28/20	16.55	...	4.22	1 of 2 - Sale <sup>25</sup>
	9.000	186.94	08/31/20	145.76	...	41.18	2 of 2 - Sale <sup>25</sup>
04/19/21	10.000	207.71	Various	162.31	...	45.40	Total of 2 transactions
2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	5.000	114.45	10/15/20	59.79	...	54.66	1 of 2 - Sale <sup>25</sup>
	5.000	114.45	10/15/20	59.75	...	54.70	2 of 2 - Sale <sup>25</sup>
05/06/21	10.000	228.90	Various	119.54	...	109.36	Total of 2 transactions
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	4.000	90.60	11/06/20	47.08	...	43.52	1 of 2 - Sale <sup>25</sup>
	5.000	113.26	11/06/20	58.85	...	54.41	2 of 2 - Sale <sup>25</sup>
05/11/21	9.000	203.86	Various	105.93	...	97.93	Total of 2 transactions
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	23.23	11/06/20	11.77	...	11.46	1 of 2 - Sale <sup>25</sup>
	3.000	69.69	08/13/21	58.16	...	11.53	2 of 2 - Sale <sup>25</sup>
10/27/21	4.000	92.92	Various	69.93	...	22.99	Total of 2 transactions
	<b>Security total:</b>	<b>733.39</b>		<b>457.71</b>	...	<b>275.68</b>	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
03/19/21	1.000	25.75	02/12/21	31.95	...	-6.20	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
9 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	50.71	04/16/20	29.82	...	20.89	1 of 9 - Sale <sup>25</sup>
	1.000	50.71	04/16/20	29.16	...	21.55	2 of 9 - Sale <sup>25</sup>
	1.000	50.71	04/16/20	28.40	...	22.31	3 of 9 - Sale <sup>25</sup>
	1.000	50.71	04/16/20	28.18	...	22.53	4 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	50.71	05/01/20	27.08	...	23.63	5 of 9 - Sale <sup>25</sup>
	1.000	50.71	05/04/20	24.69	...	26.02	6 of 9 - Sale <sup>25</sup>
	1.000	50.71	05/04/20	24.11	...	26.60	7 of 9 - Sale <sup>25</sup>
	2.000	101.42	05/04/20	48.00	...	53.42	8 of 9 - Sale <sup>25</sup>
	1.000	50.70	05/07/20	22.69	...	28.01	9 of 9 - Sale <sup>25</sup>
03/04/21	10.000	507.09	Various	262.13	...	244.96	Total of 9 transactions
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
4 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	1.000	106.00	10/19/20	89.91	...	16.09	1 of 4 - Sale <sup>25</sup>
	1.000	106.00	01/05/21	141.54	...	-35.54	2 of 4 - Sale <sup>25</sup>
	2.000	211.99	02/05/21	260.93	...	-48.94	3 of 4 - Sale <sup>25</sup>
	1.000	106.00	02/05/21	130.49	...	-24.49	4 of 4 - Sale <sup>25</sup>
07/28/21	5.000	529.99	Various	622.87	...	-92.88	Total of 4 transactions
3 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	3.000	323.44	02/24/21	331.89	...	-8.45	1 of 3 - Sale <sup>25</sup>
	1.000	107.81	02/24/21	110.67	...	-2.86	2 of 3 - Sale <sup>25</sup>
	1.000	107.81	02/24/21	110.03	...	-2.22	3 of 3 - Sale <sup>25</sup>
08/05/21	5.000	539.06	Various	552.59	...	-13.53	Total of 3 transactions
10/25/21	1.000	147.11	03/03/21	105.40	...	41.71	Sale <sup>25</sup>
10/26/21	1.000	147.85	03/03/21	105.40	...	42.45	Sale <sup>25</sup>
10/27/21	1.000	146.74	03/03/21	105.40	...	41.34	Sale <sup>25</sup>
10/28/21	2.000	293.05	03/03/21	210.80	...	82.25	Sale <sup>25</sup>
11/09/21	2.000	350.40	03/03/21	210.80	...	139.60	Sale <sup>25</sup>
11/11/21	3.000	561.22	03/03/21	316.20	...	245.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,715.42</b>		<b>2,229.46</b>	...	<b>485.96</b>	
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
07/27/21	1.000	403.38	07/13/21	400.35	...	3.03	Sale <sup>25</sup>
WESTROCK COMPANY / CUSIP: 96145D105 / Symbol:							
8 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	47.99	05/06/20	24.83	...	23.16	1 of 8 - Sale <sup>25</sup>
	1.000	47.99	05/06/20	24.76	...	23.23	2 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WESTROCK COMPANY / CUSIP: 96145D105 / Symbol: (cont'd)							
	1.000	47.99	05/13/20	24.51	...	23.48	3 of 8 - Sale <sup>25</sup>
	1.000	47.99	05/13/20	24.39	...	23.60	4 of 8 - Sale <sup>25</sup>
	1.000	47.92	05/13/20	24.29	...	23.63	5 of 8 - Sale <sup>25</sup>
	1.000	47.99	05/13/20	24.36	...	23.63	6 of 8 - Sale <sup>25</sup>
	1.000	47.92	05/13/20	24.26	...	23.66	7 of 8 - Sale <sup>25</sup>
	3.000	143.77	05/13/20	72.81	...	70.96	8 of 8 - Sale <sup>25</sup>
03/04/21	10.000	479.56	Various	244.21	...	235.35	Total of 8 transactions
03/11/21	5.000	254.93	05/13/20	121.29	...	133.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>734.49</b>		<b>365.50</b>	...	<b>368.99</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/09/21	3.000	46.72	02/24/21	43.76	...	2.96	Sale <sup>25</sup>
	3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	1.000	15.57	10/28/20	16.50	0.93 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	62.28	10/28/20	66.00	3.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	31.14	10/30/20	31.16	0.02 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/21	7.000	108.99	Various	113.66	4.67 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>155.71</b>		<b>157.42</b>	<b>4.67 W</b>	<b>2.96</b>	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
	4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	10.000	46.30	06/02/20	58.82	...	-12.52	1 of 4 - Sale <sup>25</sup>
	10.000	46.30	06/02/20	58.21	...	-11.91	2 of 4 - Sale <sup>25</sup>
	10.000	46.30	06/30/20	42.97	...	3.33	3 of 4 - Sale <sup>25</sup>
	20.000	92.60	06/30/20	87.91	...	4.69	4 of 4 - Sale <sup>25</sup>
02/04/21	50.000	231.50	Various	247.91	...	-16.41	Total of 4 transactions
	2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	20.000	100.00	06/30/20	73.17	...	26.83	1 of 2 - Sale <sup>25</sup>
	30.000	150.00	06/30/20	98.09	...	51.91	2 of 2 - Sale <sup>25</sup>
02/05/21	50.000	250.00	Various	171.26	...	78.74	Total of 2 transactions
02/11/21	20.000	129.80	06/30/20	65.38	...	64.42	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol: (cont'd)							
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	20.000	109.20	06/30/20	65.37	...	43.83	1 of 5 - Sale <sup>25</sup>
	10.000	54.60	07/01/20	35.60	...	19.00	2 of 5 - Sale <sup>25</sup>
	10.000	60.00	07/06/20	33.27	...	26.73	3 of 5 - Sale <sup>25</sup>
	20.000	120.00	07/06/20	66.38	...	53.62	4 of 5 - Sale <sup>25</sup>
	20.000	120.00	07/14/20	68.76	...	51.24	5 of 5 - Sale <sup>25</sup>
02/12/21	80.000	463.80	Various	269.38	...	194.42	Total of 5 transactions
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	20.000	83.40	09/24/20	64.59	...	18.81	1 of 2 - Sale <sup>25</sup>
	20.000	83.40	09/24/20	64.51	...	18.89	2 of 2 - Sale <sup>25</sup>
02/23/21	40.000	166.80	Various	129.10	...	37.70	Total of 2 transactions
03/11/21	9.000	41.72	09/24/20	29.06	...	12.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,283.62</b>		<b>912.09</b>	...	<b>371.53</b>	
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
02/23/21	1.000	83.80	05/12/20	20.49	...	63.31	Sale <sup>25</sup>
4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	1.000	94.87	05/13/20	17.00	...	77.87	1 of 4 - Sale <sup>25</sup>
	1.000	94.86	05/13/20	16.95	...	77.91	2 of 4 - Sale <sup>25</sup>
	1.000	94.86	05/13/20	16.37	...	78.49	3 of 4 - Sale <sup>25</sup>
	1.000	94.87	05/13/20	15.90	...	78.97	4 of 4 - Sale <sup>25</sup>
03/24/21	4.000	379.46	Various	66.22	...	313.24	Total of 4 transactions
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	89.17	10/28/21	113.88	24.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	89.18	11/01/21	102.48	13.30 W	0.00	2 of 2 - Sale <sup>25</sup>
11/18/21	2.000	178.35	Various	216.36	38.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>641.61</b>		<b>303.07</b>	<b>38.01 W</b>	<b>376.55</b>	
COSTAMARE INC. / CUSIP: Y1771G102 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	10.000	99.45	05/26/20	44.90	...	54.55	1 of 2 - Sale <sup>25</sup>
	10.000	99.51	05/26/20	44.90	...	54.61	2 of 2 - Sale <sup>25</sup>
02/16/21	20.000	198.96	Various	89.80	...	109.16	Total of 2 transactions

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COSTAMARE INC. / CUSIP: Y1771G102 / Symbol: (cont'd)							
02/18/21	10.000	95.61	11/06/20	58.67	...	36.94	Sale <sup>25</sup>
02/22/21	9.000	91.71	11/06/20	52.79	...	38.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>386.28</b>		<b>201.26</b>	...	<b>185.02</b>	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
		<i>3 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	1.85	11/25/20	1.20	...	0.65	1 of 3 - Sale <sup>25</sup>
	4.000	7.40	11/30/20	4.72	...	2.68	2 of 3 - Sale <sup>25</sup>
	5.000	9.25	11/30/20	5.90	...	3.35	3 of 3 - Sale <sup>25</sup>
06/10/21	10.000	18.50	Various	11.82	...	6.68	Total of 3 transactions
		<i>2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>					
	10.000	18.50	03/01/21	24.90	6.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	55.50	03/01/21	74.67	19.17 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/21	40.000	74.00	Various	99.57	25.57 W	0.00	Total of 2 transactions
		<i>3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>					
	20.000	37.83	03/22/21	45.60	...	-7.77	1 of 3 - Sale <sup>25</sup>
	15.000	28.36	03/23/21	31.05	...	-2.69	2 of 3 - Sale <sup>25</sup>
	5.000	9.46	03/23/21	10.49	...	-1.03	3 of 3 - Sale <sup>25</sup>
06/11/21	40.000	75.65	Various	87.14	...	-11.49	Total of 3 transactions
		<i>3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>					
	2.000	3.78	03/05/21	4.12	0.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	7.57	03/08/21	8.40	0.83 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	7.57	03/09/21	8.48	0.91 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/21	10.000	18.92	Various	21.00	2.08 W	0.00	Total of 3 transactions
		<i>6 transactions for 06/17/21. Total proceeds and cost reported to the IRS.</i>					
	30.000	55.95	03/24/21	61.76	...	-5.81	1 of 6 - Sale <sup>25</sup>
	20.000	37.30	03/24/21	41.10	...	-3.80	2 of 6 - Sale <sup>25</sup>
	40.000	74.47	03/29/21	78.34	...	-3.87	3 of 6 - Sale <sup>25</sup>
	10.000	18.62	03/29/21	19.69	...	-1.07	4 of 6 - Sale <sup>25</sup>
	20.000	37.23	04/07/21	35.18	...	2.05	5 of 6 - Sale <sup>25</sup>
	30.000	55.85	04/19/21	42.59	...	13.26	6 of 6 - Sale <sup>25</sup>
06/17/21	150.000	279.42	Various	278.66	...	0.76	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
7 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	40.000	53.66	04/21/21	56.80	...	-3.14	1 of 7 - Sale <sup>25</sup>
	10.000	13.41	04/28/21	17.59	...	-4.18	2 of 7 - Sale <sup>25</sup>
	30.000	40.24	05/17/21	62.93	...	-22.69	3 of 7 - Sale <sup>25</sup>
	10.000	13.41	05/17/21	20.99	...	-7.58	4 of 7 - Sale <sup>25</sup>
	4.000	5.37	05/17/21	6.74	...	-1.37	5 of 7 - Sale <sup>25</sup>
	4.000	5.37	05/17/21	6.67	...	-1.30	6 of 7 - Sale <sup>25</sup>
	2.000	2.68	05/17/21	3.26	...	-0.58	7 of 7 - Sale <sup>25</sup>
09/23/21	100.000	134.14	Various	174.98	...	-40.84	Total of 7 transactions
	<b>Security total:</b>	<b>600.63</b>		<b>673.17</b>	<b>27.65 W</b>	<b>-44.89</b>	
<b>Totals :</b>		<b>31,480.98</b>		<b>25,905.53</b>	<b>506.22 W</b>	<b>6,081.67</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol:							
3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	0.500	94.51	06/12/20	44.65	...	49.86	1 of 3 - Sale <sup>25</sup>
	0.250	47.26	06/18/20	21.40	...	25.86	2 of 3 - Sale <sup>25</sup>
	0.250	47.26	06/22/20	21.43	...	25.83	3 of 3 - Sale <sup>25</sup>
06/23/21	1.000	189.03	Various	87.48	...	101.55	Total of 3 transactions
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
05/21/21	10.000	102.62	04/23/20	53.39	...	49.23	Sale <sup>25</sup>
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.000	53.60	04/24/20	25.25	...	28.35	1 of 3 - Sale <sup>25</sup>
	5.000	53.60	04/24/20	25.10	...	28.50	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	10.000	107.20	04/24/20	51.11	...	56.09	3 of 3 - Sale <sup>25</sup>
06/02/21	20.000	214.40	Various	101.46	...	112.94	Total of 3 transactions
	3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	5.000	56.17	04/24/20	24.90	...	31.27	1 of 3 - Sale <sup>25</sup>
	5.000	56.18	04/24/20	24.55	...	31.63	2 of 3 - Sale <sup>25</sup>
	10.000	113.02	04/24/20	49.81	...	63.21	3 of 3 - Sale <sup>25</sup>
06/09/21	20.000	225.37	Various	99.26	...	126.11	Total of 3 transactions
	<b>Security total:</b>	<b>542.39</b>		<b>254.11</b>	...	<b>288.28</b>	
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
	4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	2.000	46.10	03/01/21	51.16	...	-5.06	1 of 4 - Sale <sup>25</sup>
	1.000	23.05	03/01/21	27.28	...	-4.23	2 of 4 - Sale <sup>25</sup>
	1.000	23.05	03/01/21	27.27	...	-4.22	3 of 4 - Sale <sup>25</sup>
	1.000	23.05	03/01/21	25.03	...	-1.98	4 of 4 - Sale <sup>25</sup>
04/16/21	5.000	115.25	Various	130.74	...	-15.49	Total of 4 transactions
08/19/21	1.000	23.58	04/16/21	22.92	...	0.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>138.83</b>		<b>153.66</b>	...	<b>-14.83</b>	
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
11/18/21	1.000	89.17	05/13/20	15.34	...	73.83	Sale <sup>25</sup>
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
	3 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	1.000	92.13	04/03/20	24.69	...	67.44	1 of 3 - Sale <sup>25</sup>
	2.000	184.26	05/13/20	68.00	...	116.26	2 of 3 - Sale <sup>25</sup>
	2.000	184.27	05/14/20	64.00	...	120.27	3 of 3 - Sale <sup>25</sup>
10/01/21	5.000	460.66	Various	156.69	...	303.97	Total of 3 transactions
<b>Totals :</b>		<b>1,420.08</b>		<b>667.28</b>		<b>752.80</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 841844558

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: 05/10/21	0.381	6.50	02/09/21	10.88	...	-4.38	Gain or loss
<b>Totals:</b>		<b>6.50</b>		<b>10.88</b>	...	<b>-4.38</b>	

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NABORS INDUSTRIES LTD WARRANT 06/11/2026 / CUSIP: G6359F145 / Symbol: 06/21/21	0.400	1.20	05/13/20	0.56	...	0.64	Gain or loss
<b>Totals:</b>		<b>1.20</b>		<b>0.56</b>	...	<b>0.64</b>	

Robinhood Securities LLC

Account 841844558

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		08/10/21	0.12	Nondividend distribution	03
APPLE INC. COMMON STOCK	037833100		02/11/21	8.61	Qualified dividend	
			05/13/21	8.80	Qualified dividend	
			08/12/21	8.80	Qualified dividend	
			11/11/21	8.80	Qualified dividend	
				<b>35.01</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY ENERGY BULL 2X SHARES	25460G609		03/30/21	1.83	Qualified dividend	
			06/29/21	2.47	Qualified dividend	
			09/28/21	2.53	Qualified dividend	
			12/29/21	3.71	Qualified dividend	
				<b>10.54</b>	<b>Total Dividends &amp; distributions</b>	
DYNEX CAPITAL, INC.	26817Q886		09/01/21	0.13	Qualified dividend	
EQUITRANS MIDSTREAM CORPORATIO N COMMON STOCK	294600101		08/13/21	0.15	Qualified dividend	
GLADSTONE CAPITAL CORPORATION COMMON STOCK	376535100		08/31/21	0.30	Nonqualified dividend	03
			08/31/21	0.03	Qualified dividend	03
				<b>0.33</b>	<b>Total Dividends &amp; distributions</b>	
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	12.00	Nondividend distribution	03
			04/27/21	14.40	Nondividend distribution	03
			07/27/21	9.00	Nondividend distribution	03
			10/26/21	9.00	Nondividend distribution	03
				<b>44.40</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 841844558

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	3.92	Qualified dividend	
			06/10/21	3.92	Qualified dividend	
			09/09/21	3.92	Qualified dividend	
			12/09/21	4.34	Qualified dividend	
				<b>16.10</b>	<b>Total Dividends &amp; distributions</b>	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		01/29/21	11.76	Section 199A dividend	03
			01/29/21	8.23	Nondividend distribution	03
			01/29/21	0.01	Qualified dividend	03
			04/30/21	11.76	Section 199A dividend	03
			04/30/21	8.23	Nondividend distribution	03
			04/30/21	0.01	Qualified dividend	03
			07/30/21	5.88	Section 199A dividend	03
			07/30/21	4.12	Nondividend distribution	03
			10/29/21	7.35	Section 199A dividend	03
			10/29/21	5.15	Nondividend distribution	03
			01/28/22	12.50	Qualified dividend	
				<b>75.00</b>	<b>Total Dividends &amp; distributions</b>	
NEW YORK COMMUNITY BANCORP, IN C.	649445103		08/17/21	0.17	Qualified dividend	
NEXTRA ENERGY, INC.	65339F101		09/15/21	3.47	Qualified dividend	
			12/15/21	3.47	Qualified dividend	
				<b>6.94</b>	<b>Total Dividends &amp; distributions</b>	
ROCKET COMPANIES, INC.	77311W101		03/23/21	2.22	Qualified dividend	
SUNCOR ENERGY, INC.	867224107		03/25/21	4.99	Nonqualified dividend	
			03/25/21	-0.75	Foreign tax withheld-CA	
			06/25/21	0.17	Nonqualified dividend	
			06/25/21	-0.03	Foreign tax withheld-CA	
			09/24/21	0.83	Nonqualified dividend	
			09/24/21	-0.12	Foreign tax withheld-CA	
			12/27/21	0.33	Nonqualified dividend	
12/28/21	-0.05	Foreign tax withheld-CA				
				<b>6.32</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.95</b>	<b>Total Foreign tax withheld</b>	
WESTROCK COMPANY	96145D105		02/23/21	3.00	Qualified dividend	

Robinhood Securities LLC

Account 841844558

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
COSTAMARE INC.	Y1771G102		02/05/21	4.00	Nonqualified dividend	
			05/06/21	0.10	Nonqualified dividend	
			08/05/21	0.12	Nonqualified dividend	
			11/05/21	0.12	Nonqualified dividend	
				<b>4.34</b>	<b>Total Dividends &amp; distributions</b>	
				<b>204.77</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.95</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 841844558

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/19/21	-5.00	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/18/21	-5.00	Margin interest paid	
		04/19/21	-5.00	Margin interest paid	
		05/17/21	-5.00	Margin interest paid	
		06/16/21	-5.00	Margin interest paid	
		07/16/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		08/16/21	-5.00	Margin interest paid	
		09/14/21	-5.00	Margin interest paid	
		10/14/21	-5.00	Margin interest paid	
		11/15/21	-5.00	Margin interest paid	
		12/13/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-60.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 841844558

## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	SUNCOR ENERGY, INC.	867224107	6.32	0.00	0.00	6.32	-0.95	
<b>Total CANADA</b>			<b>6.32</b>	<b>0.00</b>	<b>0.00</b>	<b>6.32</b>	<b>-0.95</b>	
MN	COSTAMARE INC.	Y1771G102	4.34	0.00	0.00	4.34	0.00	
<b>Total MONACO</b>			<b>4.34</b>	<b>0.00</b>	<b>0.00</b>	<b>4.34</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>10.66</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>						<b>-0.95</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 841844558

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APHRIA INC. COMMON SHARES	03765K104	05/03/21	-10.00	0.00	Merger	
CHURCHILL CAPITAL CORP IV	171439102	07/23/21	-5.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/23/21	5.00	0.00	Exchange	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK	88688T100	05/03/21	8.00	0.00	Merger	



03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.