

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 713752996	Statement Date: 03/02/2022 AMENDED Document ID: KP95 025 Q5F7	2021
	Sridhar Pavithrapu 820 Iris Ave Sunnyvale, CA 94086 RECIPIENT'S TIN: XXX-XX-6826		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 440.13 C</p> <p>1b- Qualified dividends 320.90 C</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 1.17 C</p> <p>2b- Unrecaptured Section 1250 gain 0.72 C</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 264.48 C</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 26.56 C</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 7- Foreign tax paid: 2.71</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 82.79</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	187,004.80	213,641.54	0.00	3,312.00	-23,324.74 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	27.77	15.45	0.00	0.00	12.32
	Total Short-term	187,032.57	213,656.99	0.00	3,312.00	-23,312.42
Long	D (basis reported to the IRS)	857.20	352.97	0.00	0.00	504.23
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	857.20	352.97	0.00	0.00	504.23
Undetermined	B or E (basis not reported to the IRS)	0.62	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.62	0.00	0.00	0.00	0.00
	Grand total	187,890.39	214,009.96	0.00	3,312.00	-22,808.19
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

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INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.48
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.50
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	11.40 C
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	310.92
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.62
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/17/2021 CALL \$22.00 / CUSIP: / Symbol:							
07/21/21	1.000	228.98	07/14/21	211.00	...	17.98	Option sale to close-call ²⁵
AAPL 04/23/2021 CALL \$136.00 / CUSIP: / Symbol:							
04/22/21	1.000	5.98	04/14/21	149.00	...	-143.02	Option sale to close-call ²⁵
ACB 10/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
09/03/21	1.000	72.98	08/31/21	62.00	...	10.98	Option sale to close-call ²⁵
AMAT 08/20/2021 CALL \$140.00 / CUSIP: / Symbol:							
07/30/21	1.000	499.98	07/30/21	400.00	...	99.98	Option sale to close-call ²⁵
AMC 06/04/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/03/21	1.000	1,439.98	06/03/21	1,037.00	...	402.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/11/2021 CALL \$58.00 / CUSIP: / Symbol:							
06/07/21	1.000	1,319.98	06/07/21	1,220.00	...	99.98	Option sale to close-call ²⁵
AMC 06/11/2021 CALL \$63.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/08/21	1,000.00	...	-1,000.00	Option expiration- long call
AMC 07/16/2021 PUT \$40.00 / CUSIP: / Symbol:							
07/12/21	1.000	162.98	07/12/21	154.00	...	8.98	Option sale to close-put ²⁵
AMC 08/06/2021 CALL \$32.00 / CUSIP: / Symbol:							
08/05/21	1.000	175.98	08/04/21	150.00	...	25.98	Option sale to close-call ²⁵
AMC 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
08/17/21	1.000	116.98	08/17/21	104.00	...	12.98	Option sale to close-call ²⁵
AMC 08/20/2021 PUT \$25.00 / CUSIP: / Symbol:							
07/14/21	1.000	329.98	07/13/21	248.00	...	81.98	Option sale to close-put ²⁵
AMD 07/30/2021 CALL \$89.00 / CUSIP: / Symbol:							
07/22/21	1.000	329.98	07/21/21	254.00	...	75.98	Option sale to close-call ²⁵
AMD 08/06/2021 CALL \$101.00 / CUSIP: / Symbol:							
07/29/21	1.000	269.98	07/29/21	253.00	...	16.98	Option sale to close-call ²⁵
AMD 08/06/2021 CALL \$92.50 / CUSIP: / Symbol:							
07/22/21	1.000	260.98	07/22/21	258.00	...	2.98	Option sale to close-call ²⁵
AMD 08/06/2021 PUT \$97.00 / CUSIP: / Symbol:							
07/29/21	1.000	52.98	07/29/21	49.00	...	3.98	Option sale to close-put ²⁵
AMD 10/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	08/31/21	134.00	...	-134.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/22/21	11.00	...	-11.00	2 of 2 - Option expiration- long call
10/15/21	2.000	0.00	Various	145.00	...	-145.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 05/14/2021 CALL \$3,230.00 / CUSIP: / Symbol:							
05/11/21	1.000	4,199.96	05/11/21	3,715.00	...	484.96	Option sale to close-call ²⁵
05/14/21	1.000	639.98	05/14/21	540.00	...	99.98	Option sale to close-call ²⁵
	Security total:	4,839.94		4,255.00	...	584.94	
AMZN 05/21/2021 CALL \$3,260.00 / CUSIP: / Symbol:							
05/17/21	1.000	4,899.96	05/17/21	4,300.00	...	599.96	Option sale to close-call ²⁵
AMZN 05/21/2021 CALL \$3,285.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/17/21	4,355.00	...	-4,355.00	Option expiration- long call
AMZN 05/28/2021 CALL \$3,255.00 / CUSIP: / Symbol:							
05/24/21	1.000	3,069.97	05/24/21	2,970.00	...	99.97	Option sale to close-call ²⁵
AMZN 05/28/2021 CALL \$3,275.00 / CUSIP: / Symbol:							
05/26/21	1.000	2,884.97	05/25/21	2,685.00	...	199.97	Option sale to close-call ²⁵
AMZN 05/28/2021 CALL \$3,295.00 / CUSIP: / Symbol:							
05/26/21	1.000	2,164.97	05/26/21	2,065.00	...	99.97	Option sale to close-call ²⁵
AMZN 06/11/2021 CALL \$3,350.00 / CUSIP: / Symbol:							
06/10/21	1.000	674.98	06/08/21	675.00	...	-0.02	Option sale to close-call ²⁵
AMZN 06/25/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
06/22/21	1.000	1,554.98	06/22/21	1,335.00	...	219.98	Option sale to close-call ²⁵
AMZN 07/30/2021 CALL \$3,455.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/30/21	268.00	...	-268.00	Option expiration- long call
AMZN 07/30/2021 PUT \$3,290.00 / CUSIP: / Symbol:							
07/30/21	1.000	29.98	07/30/21	1,730.00	...	-1,700.02	Option sale to close-put ²⁵
AMZN 08/13/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
08/11/21	1.000	159.98	08/10/21	510.00	...	-350.02	Option sale to close-call ²⁵
APPS 08/13/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/09/21	1.000	299.98	08/06/21	260.00	...	39.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPS 09/03/2021 CALL \$61.00 / CUSIP: / Symbol: 08/31/21	1.000	144.98	08/31/21	130.00	...	14.98	Option sale to close-call ²⁵
APT 09/17/2021 CALL \$12.00 / CUSIP: / Symbol: 09/17/21	1.000	0.00	08/09/21	45.00	...	-45.00	Option expiration- long call
ATER 10/15/2021 CALL \$7.50 / CUSIP: / Symbol: 09/01/21	1.000	214.98	09/01/21	170.00	...	44.98	Option sale to close-call ²⁵
ATOS 08/20/2021 CALL \$4.00 / CUSIP: / Symbol: 08/05/21	1.000	19.98	08/04/21	20.00	...	-0.02	Option sale to close-call ²⁵
BA 05/21/2021 CALL \$242.50 / CUSIP: / Symbol: 05/10/21	1.000	509.98	05/10/21	470.00	...	39.98	Option sale to close-call ²⁵
BA 06/11/2021 CALL \$257.50 / CUSIP: / Symbol: 06/11/21	1.000	0.00	06/02/21	545.00	...	-545.00	Option expiration- long call
BA 09/17/2021 CALL \$240.00 / CUSIP: / Symbol: 07/20/21	1.000	324.98	07/19/21	295.00	...	29.98	Option sale to close-call ²⁵
BABA 08/13/2021 PUT \$202.50 / CUSIP: / Symbol: 07/08/21	1.000	999.98	07/08/21	900.00	...	99.98	Option sale to close-put ²⁵
BABA 08/20/2021 CALL \$220.00 / CUSIP: / Symbol: 07/22/21	1.000	569.98	07/21/21	394.00	...	175.98	Option sale to close-call ²⁵
BABA 08/20/2021 CALL \$225.00 / CUSIP: / Symbol: 06/25/21	1.000	1,014.98	06/25/21	955.00	...	59.98	Option sale to close-call ²⁵
BABA 08/20/2021 PUT \$175.00 / CUSIP: / Symbol: 08/17/21	1.000	344.98	08/17/21	291.00	...	53.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 09/03/2021 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	14.00	08/24/21	589.00	...	-575.00	1 of 3 - Option sale to close-call ²⁵
	1.000	13.99	08/27/21	72.00	...	-58.01	2 of 3 - Option sale to close-call ²⁵
	2.000	27.99	09/02/21	116.00	...	-88.01	3 of 3 - Option sale to close-call ²⁵
09/03/21	4.000	55.98	Various	777.00	...	-721.02	Total of 3 transactions
BABA 09/03/2021 PUT \$165.00 / CUSIP: / Symbol:							
09/01/21	1.000	36.98	09/01/21	49.00	...	-12.02	Option sale to close-put ²⁵
BABA 09/17/2021 CALL \$215.00 / CUSIP: / Symbol:							
07/15/21	1.000	1,219.98	07/06/21	1,080.00	...	139.98	Option sale to close-call ²⁵
BAC 09/03/2021 CALL \$42.00 / CUSIP: / Symbol:							
08/09/21	1.000	43.98	08/09/21	38.00	...	5.98	Option sale to close-call ²⁵
BB 07/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/28/21	33.00	...	-33.00	Option expiration- long call
BB 08/13/2021 CALL \$10.50 / CUSIP: / Symbol:							
08/05/21	1.000	27.98	08/04/21	28.00	...	-0.02	Option sale to close-call ²⁵
BB 09/24/2021 CALL \$10.50 / CUSIP: / Symbol:							
09/17/21	1.000	34.98	09/16/21	44.00	...	-9.02	Option sale to close-call ²⁵
BBBY 06/11/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/02/21	1.000	689.98	06/02/21	390.00	...	299.98	Option sale to close-call ²⁵
BBIG 09/17/2021 CALL \$11.00 / CUSIP: / Symbol:							
08/30/21	1.000	144.98	08/30/21	380.00	...	-235.02	Option sale to close-call ²⁵
BBIG 10/15/2021 PUT \$5.50 / CUSIP: / Symbol:							
10/06/21	1.000	89.98	08/27/21	185.00	...	-95.02	Option sale to close-put ²⁵
BGFV 10/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/02/21	1.000	609.98	09/01/21	320.00	...	289.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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BIDU 05/28/2021 CALL \$187.50 / CUSIP: / Symbol:							
05/17/21	1.000	999.98	05/14/21	780.00	...	219.98	Option sale to close-call ²⁵
BLFS 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/10/21	1.000	84.98	05/07/21	60.00	...	24.98	Option sale to close-call ²⁵
BLNK 10/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	09/23/21	64.00	...	-64.00	Option expiration- long call
BNGO 09/17/2021 CALL \$6.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	08/16/21	50.00	...	-50.00	Option expiration- long call
BTBT 02/18/2022 CALL \$10.00 / CUSIP: / Symbol:							
07/29/21	1.000	289.98	07/28/21	270.00	...	19.98	Option sale to close-call ²⁵
BTBT 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
08/27/21	1.000	134.98	08/25/21	134.00	...	0.98	Option sale to close-call ²⁵
C 08/20/2021 CALL \$72.50 / CUSIP: / Symbol:							
	2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.						
	1.000	68.99	07/15/21	63.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	1.000	68.99	07/20/21	28.00	...	40.99	2 of 2 - Option sale to close-call ²⁵
08/06/21	2.000	137.98	Various	91.00	...	46.98	Total of 2 transactions
CAT 05/14/2021 CALL \$242.50 / CUSIP: / Symbol:							
05/07/21	1.000	283.98	05/07/21	278.00	...	5.98	Option sale to close-call ²⁵
CCL 08/20/2021 PUT \$22.00 / CUSIP: / Symbol:							
08/16/21	1.000	26.98	08/13/21	18.00	...	8.98	Option sale to close-put ²⁵
CCL 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/21/21	1.000	89.98	07/14/21	85.00	...	4.98	Option sale to close-call ²⁵
CMCSA 10/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/30/21	1.000	161.98	07/29/21	154.00	...	7.98	Option sale to close-call ²⁵
COF 10/15/2021 CALL \$199.40 / CUSIP: / Symbol:							
08/10/21	1.000	144.98	08/10/21	132.00	...	12.98	Option sale to close-call ²⁵

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CVS 01/21/2022 CALL \$105.00 / CUSIP: / Symbol: 12/28/21	1.000	105.98	12/23/21	95.00	...	10.98	Option sale to close-call ²⁵
CVS 08/20/2021 CALL \$85.00 / CUSIP: / Symbol: 07/21/21	1.000	120.98	07/21/21	115.00	...	5.98	Option sale to close-call ²⁵
CZR 08/06/2021 CALL \$99.00 / CUSIP: / Symbol: 07/12/21	1.000	565.98	07/12/21	565.00	...	0.98	Option sale to close-call ²⁵
DE 08/20/2021 CALL \$380.00 / CUSIP: / Symbol: 07/28/21	1.000	324.98	07/23/21	311.00	...	13.98	Option sale to close-call ²⁵
DELL 08/20/2021 CALL \$100.00 / CUSIP: / Symbol: 08/03/21	1.000	118.98	07/26/21	113.00	...	5.98	Option sale to close-call ²⁵
DIS 08/13/2021 CALL \$180.00 / CUSIP: / Symbol: 08/11/21	1.000	232.98	08/06/21	233.00	...	-0.02	Option sale to close-call ²⁵
DIS 08/20/2021 CALL \$180.00 / CUSIP: / Symbol: 06/28/21	1.000	554.98	06/28/21	455.00	...	99.98	Option sale to close-call ²⁵
DIS 08/20/2021 PUT \$175.00 / CUSIP: / Symbol: 08/17/21	1.000	87.98	08/17/21	106.00	...	-18.02	Option sale to close-put ²⁵
DIS 11/19/2021 CALL \$180.00 / CUSIP: / Symbol: 07/12/21	1.000	1,054.98	07/09/21	955.00	...	99.98	Option sale to close-call ²⁵
DKNG 10/15/2021 CALL \$55.00 / CUSIP: / Symbol: 09/23/21	1.000	204.98	09/22/21	200.00	...	4.98	Option sale to close-call ²⁵
DOCU 07/23/2021 CALL \$307.50 / CUSIP: / Symbol: 07/20/21	1.000	141.98	07/20/21	226.00	...	-84.02	Option sale to close-call ²⁵
DOCU 09/17/2021 CALL \$330.00 / CUSIP: / Symbol: 09/09/21	1.000	16.98	09/07/21	90.00	...	-73.02	Option sale to close-call ²⁵
EA 09/10/2021 CALL \$140.00 / CUSIP: / Symbol: 08/17/21	1.000	429.98	08/05/21	241.00	...	188.98	Option sale to close-call ²⁵

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EBAY 09/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	08/16/21	113.00	...	-113.00	Option expiration- long call
F 06/04/2021 CALL \$11.50 / CUSIP: / Symbol:							
05/14/21	1.000	62.98	04/29/21	62.00	...	0.98	Option sale to close-call ²⁵
FB 05/28/2021 CALL \$327.50 / CUSIP: / Symbol:							
<i>2 transactions for 05/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	256.98	05/24/21	257.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	3.000	770.98	05/24/21	771.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
05/24/21	4.000	1,027.96	Various	1,028.00	...	-0.04	Total of 2 transactions
FB 06/18/2021 CALL \$337.50 / CUSIP: / Symbol:							
06/07/21	1.000	554.98	06/07/21	455.00	...	99.98	Option sale to close-call ²⁵
FB 06/25/2021 CALL \$340.00 / CUSIP: / Symbol:							
06/22/21	1.000	294.98	06/22/21	295.00	...	-0.02	Option sale to close-call ²⁵
FB 08/06/2021 PUT \$347.50 / CUSIP: / Symbol:							
08/03/21	1.000	230.98	08/03/21	179.00	...	51.98	Option sale to close-put ²⁵
FB 08/13/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/05/21	1.000	599.98	08/05/21	497.00	...	102.98	Option sale to close-call ²⁵
FB 08/20/2021 PUT \$360.00 / CUSIP: / Symbol:							
08/06/21	1.000	464.98	08/06/21	530.00	...	-65.02	Option sale to close-put ²⁵
FCEL 10/15/2021 CALL \$7.00 / CUSIP: / Symbol:							
09/24/21	1.000	74.98	09/23/21	55.00	...	19.98	Option sale to close-call ²⁵
FCX 08/20/2021 CALL \$36.50 / CUSIP: / Symbol:							
07/26/21	1.000	179.98	07/26/21	159.00	...	20.98	Option sale to close-call ²⁵
FSR 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/10/21	142.00	...	-142.00	Option expiration- long call
FUBO 06/18/2021 CALL \$33.00 / CUSIP: / Symbol:							
06/07/21	1.000	176.98	06/07/21	177.00	...	-0.02	Option sale to close-call ²⁵

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FUBO 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/15/21	1.000	5.98	07/13/21	93.00	...	-87.02	Option sale to close-call ²⁵
FUBO 08/20/2021 CALL \$28.00 / CUSIP: / Symbol:							
08/09/21	1.000	239.98	08/09/21	209.00	...	30.98	Option sale to close-call ²⁵
GE 06/11/2021 CALL \$13.50 / CUSIP: / Symbol:							
05/10/21	1.000	48.98	04/30/21	40.00	...	8.98	Option sale to close-call ²⁵
GEVO 10/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
	2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.						
	1.000	59.99	09/13/21	66.00	...	-6.01	1 of 2 - Option sale to close-call ²⁵
	1.000	59.99	09/16/21	50.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
09/27/21	2.000	119.98	Various	116.00	...	3.98	Total of 2 transactions
GME 06/18/2021 CALL \$235.00 / CUSIP: / Symbol:							
06/17/21	1.000	544.98	06/16/21	545.00	...	-0.02	Option sale to close-call ²⁵
GOEV 10/15/2021 CALL \$8.50 / CUSIP: / Symbol:							
09/24/21	1.000	124.98	09/24/21	89.00	...	35.98	Option sale to close-call ²⁵
GOL 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
09/07/21	2.000	19.98	09/03/21	16.00	...	3.98	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,275.0 / CUSIP: / Symbol:							
05/14/21	1.000	2,909.97	05/14/21	2,650.00	...	259.97	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,295.0 / CUSIP: / Symbol:							
05/21/21	1.000	244.98	05/18/21	2,080.00	...	-1,835.02	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,300.0 / CUSIP: / Symbol:							
05/18/21	1.000	2,149.97	05/18/21	2,040.00	...	109.97	Option sale to close-call ²⁵
GOOGL 05/21/2021 CALL \$2,315.0 / CUSIP: / Symbol:							
05/21/21	1.000	19.98	05/10/21	3,301.00	...	-3,281.02	Option sale to close-call ²⁵

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GOOGL 05/28/2021 CALL \$2,355.0 / CUSIP: / Symbol:							
2 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	1.000	2,249.97	05/24/21	2,190.00	...	59.97	1 of 2 - Option sale to close-call ²⁵
	1.000	2,259.97	05/24/21	2,160.00	...	99.97	2 of 2 - Option sale to close-call ²⁵
05/24/21	2.000	4,509.94	Various	4,350.00	...	159.94	Total of 2 transactions
GOOGL 05/28/2021 CALL \$2,360.0 / CUSIP: / Symbol:							
05/24/21	1.000	2,149.97	05/24/21	2,150.00	...	-0.03	Option sale to close-call ²⁵
GOOGL 05/28/2021 CALL \$2,382.5 / CUSIP: / Symbol:							
05/28/21	1.000	12.98	05/25/21	1,780.00	...	-1,767.02	Option sale to close-call ²⁵
GOOGL 06/11/2021 CALL \$2,450.0 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/10/21	690.00	...	-690.00	Option expiration- long call
GOOGL 06/18/2021 CALL \$2,460.0 / CUSIP: / Symbol:							
06/14/21	1.000	1,429.98	06/14/21	1,030.00	...	399.98	Option sale to close-call ²⁵
GS 05/21/2021 PUT \$370.00 / CUSIP: / Symbol:							
05/10/21	1.000	574.98	05/10/21	595.00	...	-20.02	Option sale to close-put ²⁵
GSAT 01/21/2022 PUT \$2.00 / CUSIP: / Symbol:							
08/31/21	1.000	64.98	08/30/21	58.00	...	6.98	Option sale to close-put ²⁵
HD 09/10/2021 CALL \$335.00 / CUSIP: / Symbol:							
09/07/21	1.000	124.98	09/03/21	100.00	...	24.98	Option sale to close-call ²⁵
HPQ 09/03/2021 CALL \$29.00 / CUSIP: / Symbol:							
08/03/21	1.000	139.98	08/02/21	133.00	...	6.98	Option sale to close-call ²⁵
INO 08/20/2021 CALL \$9.00 / CUSIP: / Symbol:							
08/04/21	1.000	70.98	07/29/21	60.00	...	10.98	Option sale to close-call ²⁵
INTC 08/20/2021 CALL \$57.50 / CUSIP: / Symbol:							
07/21/21	1.000	131.98	07/20/21	118.00	...	13.98	Option sale to close-call ²⁵
IQ 08/13/2021 CALL \$11.00 / CUSIP: / Symbol:							
08/09/21	1.000	42.98	08/09/21	38.00	...	4.98	Option sale to close-call ²⁵

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IRBT 09/03/2021 CALL \$90.00 / CUSIP: / Symbol: 08/11/21	1.000	319.98	08/11/21	310.00	...	9.98	Option sale to close-call ²⁵
IWM 07/23/2021 PUT \$211.00 / CUSIP: / Symbol: 07/19/21	1.000	417.98	07/19/21	318.00	...	99.98	Option sale to close-put ²⁵
JD 09/03/2021 CALL \$72.00 / CUSIP: / Symbol: 08/24/21	1.000	324.98	08/10/21	325.00	...	-0.02	Option sale to close-call ²⁵
JD 09/17/2021 CALL \$75.00 / CUSIP: / Symbol: 07/29/21	1.000	299.98	07/28/21	255.00	...	44.98	Option sale to close-call ²⁵
JMIA 10/15/2021 CALL \$23.00 / CUSIP: / Symbol: 10/15/21	1.000	0.00	09/01/21	192.00	...	-192.00	Option expiration- long call
JPM 08/20/2021 CALL \$157.50 / CUSIP: / Symbol: 08/06/21	1.000	211.98	08/06/21	202.00	...	9.98	Option sale to close-call ²⁵
LCID 08/13/2021 CALL \$24.00 / CUSIP: / Symbol: 08/10/21	1.000	66.98	08/06/21	79.00	...	-12.02	Option sale to close-call ²⁵
LEN 09/17/2021 CALL \$110.00 / CUSIP: / Symbol: 08/16/21	1.000	379.98	08/12/21	330.00	...	49.98	Option sale to close-call ²⁵
LEV 10/15/2021 CALL \$17.50 / CUSIP: / Symbol: 07/28/21	1.000	74.98	07/26/21	55.00	...	19.98	Option sale to close-call ²⁵
LIDR 10/15/2021 CALL \$10.00 / CUSIP: / Symbol: 09/20/21	1.000	82.98	09/17/21	70.00	...	12.98	Option sale to close-call ²⁵
LRCX 05/21/2021 CALL \$625.00 / CUSIP: / Symbol: 05/20/21	2.000	1,399.98	05/20/21	1,020.00	...	379.98	Option sale to close-call ²⁵
LRCX 05/28/2021 CALL \$592.50 / CUSIP: / Symbol: 05/14/21	1.000	2,324.97	05/11/21	2,075.00	...	249.97	Option sale to close-call ²⁵
LRCX 05/28/2021 CALL \$637.50 / CUSIP: / Symbol: 05/24/21	1.000	894.98	05/24/21	1,070.00	...	-175.02	Option sale to close-call ²⁵

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LULU 08/27/2021 CALL \$400.00 / CUSIP: / Symbol:							
07/20/21	1.000	834.98	07/14/21	735.00	...	99.98	Option sale to close-call ²⁵
LYFT 01/21/2022 CALL \$60.00 / CUSIP: / Symbol:							
07/08/21	1.000	750.98	07/07/21	730.00	...	20.98	Option sale to close-call ²⁵
M 08/06/2021 CALL \$17.00 / CUSIP: / Symbol:							
08/03/21	1.000	29.98	08/03/21	28.00	...	1.98	Option sale to close-call ²⁵
M 08/13/2021 PUT \$16.00 / CUSIP: / Symbol:							
08/03/21	1.000	18.98	08/03/21	24.00	...	-5.02	Option sale to close-put ²⁵
MMAT 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
09/02/21	1.000	89.98	08/31/21	65.00	...	24.98	Option sale to close-call ²⁵
MSFT 08/27/2021 PUT \$300.00 / CUSIP: / Symbol:							
08/26/21	1.000	64.98	08/23/21	168.00	...	-103.02	Option sale to close-put ²⁵
MU 01/21/2022 CALL \$82.50 / CUSIP: / Symbol:							
08/04/21	1.000	859.98	07/01/21	855.00	...	4.98	Option sale to close-call ²⁵
MU 08/20/2021 CALL \$72.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/13/21	82.00	...	-82.00	Option expiration- long call
NFLX 07/02/2021 CALL \$532.50 / CUSIP: / Symbol:							
06/29/21	1.000	609.98	06/29/21	510.00	...	99.98	Option sale to close-call ²⁵
NIO 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
06/30/21	1.000	999.98	06/30/21	900.00	...	99.98	Option sale to close-call ²⁵
NIO 05/14/2021 CALL \$41.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	04/27/21	262.00	...	-262.00	Option expiration- long call
NIO 07/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
		<i>2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	29.98	06/17/21	50.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	30.98	06/17/21	50.00	...	-19.02	2 of 2 - Option sale to close-call ²⁵
06/28/21	2.000	60.96	Various	100.00	...	-39.04	Total of 2 transactions

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 08/06/2021 PUT \$40.00 / CUSIP: / Symbol:							
07/16/21	1.000	144.98	07/14/21	174.00	...	-29.02	Option sale to close-put ²⁵
NIO 08/20/2021 CALL \$47.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/02/21	242.00	...	-242.00	Option expiration- long call
NIO 08/20/2021 PUT \$38.50 / CUSIP: / Symbol:							
08/16/21	1.000	133.98	08/16/21	111.00	...	22.98	Option sale to close-put ²⁵
NIU 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/07/21	95.00	...	-95.00	Option expiration- long call
NNDM 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
08/10/21	1.000	24.98	08/05/21	20.00	...	4.98	Option sale to close-call ²⁵
NNDM 10/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	09/03/21	29.00	...	-29.00	Option expiration- long call
NVDA 05/21/2021 CALL \$570.00 / CUSIP: / Symbol:							
05/14/21	1.000	1,059.98	05/14/21	1,020.00	...	39.98	Option sale to close-call ²⁵
NVDA 05/21/2021 CALL \$575.00 / CUSIP: / Symbol:							
05/14/21	1.000	779.98	05/14/21	720.00	...	59.98	Option sale to close-call ²⁵
05/18/21	1.000	899.98	05/18/21	803.00	...	96.98	Option sale to close-call ²⁵
	Security total:	1,679.96		1,523.00	...	156.96	
NVDA 05/21/2021 CALL \$580.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	589.98	05/20/21	585.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,179.98	05/20/21	1,170.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
05/20/21	3.000	1,769.96	Various	1,755.00	...	14.96	Total of 2 transactions
NVDA 05/21/2021 CALL \$585.00 / CUSIP: / Symbol:							
05/20/21	1.000	424.98	05/20/21	425.00	...	-0.02	Option sale to close-call ²⁵

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NVDA 05/28/2021 CALL \$640.00 / CUSIP: / Symbol:							
05/24/21	3.000	2,699.97	05/24/21	2,325.00	...	374.97	Option sale to close-call ²⁵
05/27/21	1.000	42.98	05/25/21	1,042.00	...	-999.02	Option sale to close-call ²⁵
	Security total:	2,742.95		3,367.00	...	-624.05	
NVDA 06/04/2021 CALL \$690.00 / CUSIP: / Symbol:							
06/04/21	1.000	839.98	06/03/21	540.00	...	299.98	Option sale to close-call ²⁵
NVDA 07/02/2021 CALL \$815.00 / CUSIP: / Symbol:							
06/28/21	1.000	759.98	06/28/21	661.00	...	98.98	Option sale to close-call ²⁵
NVDA 07/23/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/21/21	1.000	97.98	07/21/21	95.00	...	2.98	Option sale to close-call ²⁵
NVDA 08/06/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/29/21	1.000	344.98	07/29/21	335.00	...	9.98	Option sale to close-call ²⁵
NVDA 08/13/2021 CALL \$203.75 / CUSIP: / Symbol:							
08/04/21	1.000	385.98	08/04/21	360.00	...	25.98	Option sale to close-call ²⁵
NVDA 08/13/2021 CALL \$207.50 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	08/12/21	5.00	...	-5.00	Option expiration- long call
NVDA 08/20/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/20/21	1.000	407.98	08/12/21	615.00	...	-207.02	Option sale to close-call ²⁵
NVDA 09/03/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/24/21	1.000	506.98	08/24/21	490.00	...	16.98	Option sale to close-call ²⁵
NVDA 09/03/2021 CALL \$225.00 / CUSIP: / Symbol:							
08/27/21	1.000	402.98	08/27/21	410.00	...	-7.02	Option sale to close-call ²⁵
NVDA 09/10/2021 CALL \$230.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/08/21	424.02	...	-424.02	Option expiration- long call
09/10/21	1.000	2.98	08/30/21	373.00	370.02 W	0.00	Option sale to close-call ²⁵
	Security total:	2.98		797.02	370.02 W	-424.02	

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OCGN 01/21/2022 CALL \$10.00 / CUSIP: / Symbol: 10/26/21	1.000	364.98	06/29/21	340.00	...	24.98	Option sale to close-call ²⁵
OCGN 05/28/2021 CALL \$10.00 / CUSIP: / Symbol: 05/28/21	1.000	2.98	05/28/21	3.00	...	-0.02	Option sale to close-call ²⁵
OCGN 08/13/2021 CALL \$7.50 / CUSIP: / Symbol: 08/06/21	1.000	59.98	08/05/21	56.00	...	3.98	Option sale to close-call ²⁵
OSTK 07/23/2021 CALL \$85.00 / CUSIP: / Symbol: 07/20/21	1.000	176.98	07/19/21	169.00	...	7.98	Option sale to close-call ²⁵
OXY 08/20/2021 PUT \$22.00 / CUSIP: / Symbol: 07/27/21	1.000	33.98	07/27/21	32.00	...	1.98	Option sale to close-put ²⁵
PDD 09/03/2021 CALL \$100.00 / CUSIP: / Symbol: 08/31/21	1.000	219.98	08/31/21	246.00	...	-26.02	Option sale to close-call ²⁵
PDD 10/15/2021 CALL \$115.00 / CUSIP: / Symbol: 07/13/21	1.000	1,029.98	07/09/21	930.00	...	99.98	Option sale to close-call ²⁵
PLTR 08/20/2021 CALL \$23.00 / CUSIP: / Symbol: 08/09/21	1.000	103.98	08/05/21	102.00	...	1.98	Option sale to close-call ²⁵
PLUG 08/27/2021 CALL \$31.00 / CUSIP: / Symbol: 08/27/21	1.000	0.00	08/10/21	140.00	...	-140.00	Option expiration- long call
PLUG 09/03/2021 CALL \$27.00 / CUSIP: / Symbol: 09/01/21	1.000	55.98	09/01/21	42.00	...	13.98	Option sale to close-call ²⁵
PLUG 09/24/2021 CALL \$27.00 / CUSIP: / Symbol: 09/21/21	1.000	48.98	09/17/21	44.00	...	4.98	Option sale to close-call ²⁵
PTON 08/20/2021 CALL \$130.00 / CUSIP: / Symbol: 2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.	1.000	0.00	07/27/21	430.00	...	-430.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/12/21	28.00	...	-28.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	458.00	...	-458.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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QCOM 01/21/2022 CALL \$170.00 / CUSIP: / Symbol: 07/29/21	1.000	449.98	07/14/21	400.00	...	49.98	Option sale to close-call ²⁵
QCOM 09/17/2021 CALL \$150.00 / CUSIP: / Symbol: 08/13/21	1.000	359.98	08/12/21	285.00	...	74.98	Option sale to close-call ²⁵
QQQ 07/28/2021 PUT \$365.00 / CUSIP: / Symbol: 07/27/21	1.000	130.98	07/27/21	113.00	...	17.98	Option sale to close-put ²⁵
QQQ 08/16/2021 PUT \$362.00 / CUSIP: / Symbol: 08/10/21	1.000	121.98	08/09/21	105.00	...	16.98	Option sale to close-put ²⁵
QQQ 08/23/2021 CALL \$368.00 / CUSIP: / Symbol: 08/17/21	1.000	123.98	08/17/21	119.00	...	4.98	Option sale to close-call ²⁵
RBLX 08/20/2021 CALL \$82.00 / CUSIP: / Symbol: 08/04/21	1.000	509.98	08/02/21	315.00	...	194.98	Option sale to close-call ²⁵
RBLX 09/17/2021 CALL \$90.00 / CUSIP: / Symbol: 09/10/21	1.000	329.98	08/09/21	580.00	...	-250.02	Option sale to close-call ²⁵
RIDE 07/30/2021 CALL \$9.00 / CUSIP: / Symbol: 07/16/21	1.000	60.98	07/15/21	99.00	...	-38.02	Option sale to close-call ²⁵
RIOT 07/30/2021 CALL \$30.00 / CUSIP: / Symbol: 07/26/21	1.000	312.98	07/21/21	180.00	...	132.98	Option sale to close-call ²⁵
RIOT 08/20/2021 CALL \$40.00 / CUSIP: / Symbol: 08/20/21	1.000	0.00	08/11/21	328.00	...	-328.00	Option expiration- long call
RIOT 08/27/2021 CALL \$45.00 / CUSIP: / Symbol: 08/09/21	1.000	259.98	08/09/21	231.00	...	28.98	Option sale to close-call ²⁵
RKT 09/03/2021 CALL \$18.50 / CUSIP: / Symbol: 08/13/21	1.000	118.98	08/02/21	108.00	...	10.98	Option sale to close-call ²⁵
ROKU 05/07/2021 CALL \$352.50 / CUSIP: / Symbol: 05/07/21	1.000	76.98	04/22/21	2,090.00	...	-2,013.02	Option sale to close-call ²⁵

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ROKU 05/21/2021 CALL \$332.50 / CUSIP: / Symbol:							
05/20/21	1.000	409.98	05/20/21	410.00	...	-0.02	Option sale to close-call ²⁵
ROKU 05/28/2021 CALL \$350.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	699.98	05/24/21	670.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	1.000	759.98	05/24/21	730.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
05/24/21	2.000	1,459.96	Various	1,400.00	...	59.96	Total of 2 transactions
ROKU 05/28/2021 CALL \$360.00 / CUSIP: / Symbol:							
05/28/21	1.000	0.00	05/25/21	575.00	...	-575.00	Option expiration- long call
ROKU 06/04/2021 CALL \$357.50 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	06/01/21	370.00	...	-370.00	Option expiration- long call
ROKU 06/11/2021 CALL \$345.00 / CUSIP: / Symbol:							
06/07/21	1.000	529.98	06/07/21	330.00	...	199.98	Option sale to close-call ²⁵
ROKU 06/11/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/10/21	1.000	419.98	06/07/21	420.00	...	-0.02	Option sale to close-call ²⁵
ROKU 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/17/21	1.000	394.98	06/16/21	493.00	...	-98.02	Option sale to close-call ²⁵
ROKU 07/16/2021 CALL \$315.00 / CUSIP: / Symbol:							
05/18/21	1.000	3,599.97	05/05/21	3,185.00	...	414.97	Option sale to close-call ²⁵
ROKU 07/23/2021 CALL \$430.00 / CUSIP: / Symbol:							
07/23/21	1.000	1,299.98	07/14/21	1,300.00	...	-0.02	Option sale to close-call ²⁵
ROKU 07/30/2021 CALL \$475.00 / CUSIP: / Symbol:							
07/23/21	1.000	424.98	07/23/21	325.00	...	99.98	Option sale to close-call ²⁵
ROKU 09/03/2021 PUT \$350.00 / CUSIP: / Symbol:							
08/23/21	1.000	1,154.98	08/23/21	1,150.00	...	4.98	Option sale to close-put ²⁵
ROKU 09/24/2021 CALL \$405.00 / CUSIP: / Symbol:							
09/01/21	1.000	609.98	08/30/21	360.00	...	249.98	Option sale to close-call ²⁵

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ROOT 10/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/30/21	125.00	...	-125.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/17/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
10/15/21	2.000	0.00	Various	200.00	...	-200.00	Total of 2 transactions
RUN 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/19/21	1.000	479.98	09/02/21	480.00	...	-0.02	Option sale to close-call ²⁵
SBUX 09/10/2021 PUT \$117.00 / CUSIP: / Symbol:							
09/02/21	1.000	80.98	09/01/21	79.00	...	1.98	Option sale to close-put ²⁵
SDC 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/17/21	63.00	...	-63.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/21/21	53.00	...	-53.00	2 of 2 - Option expiration- long call
10/15/21	2.000	0.00	Various	116.00	...	-116.00	Total of 2 transactions
SDC 10/22/2021 CALL \$6.00 / CUSIP: / Symbol:							
09/13/21	1.000	79.98	09/02/21	77.00	...	2.98	Option sale to close-call ²⁵
SFIX 08/27/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/13/21	330.00	...	-330.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/13/21	9.00	...	-9.00	2 of 2 - Option expiration- long call
08/27/21	2.000	0.00	Various	339.00	...	-339.00	Total of 2 transactions
SHOP 05/21/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
05/18/21	1.000	1,199.98	05/17/21	1,200.00	...	-0.02	Option sale to close-call ²⁵
SNOW 06/25/2021 CALL \$255.00 / CUSIP: / Symbol:							
06/17/21	1.000	399.98	06/17/21	294.00	...	105.98	Option sale to close-call ²⁵
SNOW 08/13/2021 CALL \$305.00 / CUSIP: / Symbol:							
08/03/21	1.000	349.98	08/03/21	250.00	...	99.98	Option sale to close-call ²⁵

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SOFI 08/20/2021 CALL \$20.00 / CUSIP: / Symbol: 06/30/21	1.000	309.98	06/29/21	301.00	...	8.98	Option sale to close-call ²⁵
SOS 12/17/2021 CALL \$3.00 / CUSIP: / Symbol: 08/09/21	1.000	84.98	07/26/21	83.00	...	1.98	Option sale to close-call ²⁵
SPCE 01/21/2022 CALL \$31.00 / CUSIP: / Symbol: 07/21/21	1.000	949.98	07/16/21	780.00	...	169.98	Option sale to close-call ²⁵
SPCE 01/21/2022 CALL \$50.00 / CUSIP: / Symbol: 07/08/21	1.000	1,684.98	07/08/21	1,635.00	...	49.98	Option sale to close-call ²⁵
SPCE 07/16/2021 CALL \$50.00 / CUSIP: / Symbol: 07/16/21	1.000	0.00	07/12/21	440.00	...	-440.00	Option expiration- long call
SPCE 07/16/2021 PUT \$31.00 / CUSIP: / Symbol: 07/16/21	1.000	28.98	07/15/21	83.00	...	-54.02	Option sale to close-put ²⁵
SPCE 07/16/2021 PUT \$33.00 / CUSIP: / Symbol: 07/14/21	1.000	119.98	07/14/21	107.00	...	12.98	Option sale to close-put ²⁵
SPCE 07/23/2021 PUT \$28.50 / CUSIP: / Symbol: 07/19/21	1.000	116.98	07/19/21	171.00	...	-54.02	Option sale to close-put ²⁵
SPCE 07/30/2021 CALL \$50.00 / CUSIP: / Symbol: 07/30/21	1.000	0.00	07/12/21	380.00	...	-380.00	Option expiration- long call
SPCE 08/13/2021 CALL \$35.00 / CUSIP: / Symbol: 08/09/21	1.000	131.98	08/06/21	125.00	...	6.98	Option sale to close-call ²⁵
SPCE 09/10/2021 CALL \$26.00 / CUSIP: / Symbol: 08/24/21	1.000	195.98	08/24/21	169.00	...	26.98	Option sale to close-call ²⁵
SPY 07/23/2021 PUT \$428.00 / CUSIP: / Symbol: 07/21/21	1.000	60.98	07/20/21	133.00	...	-72.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SQ 05/14/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.000	434.98	05/11/21	425.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	434.98	05/11/21	415.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
05/11/21	2.000	869.96	Various	840.00	...	29.96	Total of 2 transactions
SQ 06/18/2021 CALL \$230.00 / CUSIP: / Symbol:							
06/14/21	1.000	484.98	06/14/21	385.00	...	99.98	Option sale to close-call ²⁵
SQ 08/20/2021 CALL \$265.00 / CUSIP: / Symbol:							
07/21/21	1.000	834.98	07/21/21	805.00	...	29.98	Option sale to close-call ²⁵
SQ 08/20/2021 CALL \$290.00 / CUSIP: / Symbol:							
08/05/21	1.000	599.98	08/05/21	545.00	...	54.98	Option sale to close-call ²⁵
SQ 09/03/2021 CALL \$275.00 / CUSIP: / Symbol:							
09/03/21	1.000	6.98	09/02/21	211.00	...	-204.02	Option sale to close-call ²⁵
SRNE 08/20/2021 CALL \$9.00 / CUSIP: / Symbol:							
08/10/21	1.000	37.98	08/10/21	32.00	...	5.98	Option sale to close-call ²⁵
SRNE 10/15/2021 CALL \$9.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	09/17/21	34.00	...	-34.00	Option expiration- long call
SWKS 07/30/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/26/21	1.000	262.98	07/23/21	252.00	...	10.98	Option sale to close-call ²⁵
T 08/06/2021 PUT \$28.00 / CUSIP: / Symbol:							
07/30/21	1.000	14.98	07/30/21	13.00	...	1.98	Option sale to close-put ²⁵
THO 08/20/2021 CALL \$110.00 / CUSIP: / Symbol:							
07/20/21	1.000	519.98	07/20/21	490.00	...	29.98	Option sale to close-call ²⁵
TIGR 08/20/2021 CALL \$17.50 / CUSIP: / Symbol:							
08/09/21	1.000	179.98	08/04/21	175.00	...	4.98	Option sale to close-call ²⁵
TLRY 07/16/2021 CALL \$14.00 / CUSIP: / Symbol:							
07/16/21	1.000	17.98	07/16/21	24.00	...	-6.02	Option sale to close-call ²⁵

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TLRY 07/23/2021 CALL \$18.50 / CUSIP: / Symbol: 07/23/21	1.000	0.00	07/13/21	69.00	...	-69.00	Option expiration- long call
TLRY 07/30/2021 PUT \$14.00 / CUSIP: / Symbol: 07/16/21	1.000	96.98	07/16/21	95.00	...	1.98	Option sale to close-put ²⁵
TM 05/21/2021 CALL \$155.00 / CUSIP: / Symbol: 05/10/21	1.000	250.98	05/07/21	170.00	...	80.98	Option sale to close-call ²⁵
TSLA 04/23/2021 CALL \$735.00 / CUSIP: / Symbol: 04/23/21	1.000	51.98	04/14/21	2,545.00	...	-2,493.02	Option sale to close-call ²⁵
TSLA 04/23/2021 CALL \$770.00 / CUSIP: / Symbol: 04/21/21	1.000	419.98	04/14/21	1,720.00	...	-1,300.02	Option sale to close-call ²⁵
TSLA 05/14/2021 PUT \$665.00 / CUSIP: / Symbol: 05/07/21	1.000	1,804.98	05/07/21	1,950.00	...	-145.02	Option sale to close-put ²⁵
TSLA 05/21/2021 CALL \$610.00 / CUSIP: / Symbol: 05/17/21	1.000	324.98	05/11/21	2,650.00	...	-2,325.02	Option sale to close-call ²⁵
TSLA 05/21/2021 CALL \$625.00 / CUSIP: / Symbol: 05/11/21	1.000	1,764.98	05/11/21	2,500.00	...	-735.02	Option sale to close-call ²⁵
TSLA 05/21/2021 PUT \$565.00 / CUSIP: / Symbol: 05/17/21	1.000	1,344.98	05/17/21	1,805.00	...	-460.02	Option sale to close-put ²⁵
TSLA 05/21/2021 PUT \$640.00 / CUSIP: / Symbol: 05/10/21	1.000	2,999.97	05/10/21	2,750.00	...	249.97	Option sale to close-put ²⁵
TSLA 05/21/2021 PUT \$645.00 / CUSIP: / Symbol: 05/10/21	1.000	2,644.97	05/10/21	2,955.00	...	-310.03	Option sale to close-put ²⁵
TSLA 05/28/2021 CALL \$605.00 / CUSIP: / Symbol: 05/17/21	1.000	1,024.98	05/12/21	3,310.00	2,285.02 W	0.00	Option sale to close-call ²⁵
05/24/21	1.000	1,429.98	05/24/21	3,615.02	...	-2,185.04	Option sale to close-call ²⁵
Security total:		2,454.96		6,925.02	2,285.02 W	-2,185.04	

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TSLA 05/28/2021 CALL \$610.00 / CUSIP: / Symbol: 05/24/21	1.000	1,499.98	05/24/21	1,335.00	...	164.98	Option sale to close-call ²⁵
TSLA 05/28/2021 CALL \$612.50 / CUSIP: / Symbol: 05/26/21	1.000	1,434.98	05/25/21	1,335.00	...	99.98	Option sale to close-call ²⁵
TSLA 05/28/2021 CALL \$625.00 / CUSIP: / Symbol: 05/26/21	1.000	969.98	05/26/21	970.00	...	-0.02	Option sale to close-call ²⁵
TSLA 05/28/2021 CALL \$627.50 / CUSIP: / Symbol: 05/27/21	1.000	729.98	05/27/21	734.00	...	-4.02	Option sale to close-call ²⁵
TSLA 05/28/2021 CALL \$640.00 / CUSIP: / Symbol: 05/28/21	1.000	74.98	05/28/21	109.00	...	-34.02	Option sale to close-call ²⁵
TSLA 05/28/2021 PUT \$655.00 / CUSIP: / Symbol: 05/10/21	1.000	3,799.97	05/10/21	3,435.00	...	364.97	Option sale to close-put ²⁵
TSLA 06/04/2021 CALL \$597.50 / CUSIP: / Symbol: 06/04/21	1.000	242.98	06/04/21	455.00	...	-212.02	Option sale to close-call ²⁵
TSLA 06/25/2021 CALL \$625.00 / CUSIP: / Symbol: 06/23/21	1.000	1,799.98	06/18/21	1,455.00	...	344.98	Option sale to close-call ²⁵
TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol: 06/30/21	1.000	743.98	06/24/21	1,780.00	...	-1,036.02	Option sale to close-call ²⁵
TSLA 07/16/2021 PUT \$650.00 / CUSIP: / Symbol: 07/14/21	1.000	559.98	07/14/21	537.00	...	22.98	Option sale to close-put ²⁵
TSLA 07/23/2021 CALL \$650.00 / CUSIP: / Symbol: 07/08/21	1.000	2,934.97	07/08/21	2,930.00	...	4.97	Option sale to close-call ²⁵
TSLA 07/23/2021 CALL \$680.00 / CUSIP: / Symbol: 07/12/21	1.000	2,294.97	07/12/21	2,090.00	...	204.97	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$715.00 / CUSIP: / Symbol: 08/06/21	1.000	1,009.98	08/06/21	1,300.00	...	-290.02	Option sale to close-call ²⁵

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TSLA 08/20/2021 CALL \$730.00 / CUSIP: / Symbol: 08/12/21	1.000	614.98	08/12/21	560.00	...	54.98	Option sale to close-call ²⁵
TSLA 08/20/2021 PUT \$695.00 / CUSIP: / Symbol: 08/10/21	1.000	1,364.98	08/10/21	1,360.00	...	4.98	Option sale to close-put ²⁵
TSLA 08/27/2021 CALL \$715.00 / CUSIP: / Symbol: 08/18/21	1.000	1,079.98	08/18/21	880.00	...	199.98	Option sale to close-call ²⁵
TSLA 09/03/2021 PUT \$700.00 / CUSIP: / Symbol: 08/24/21	1.000	1,329.98	08/24/21	1,621.00	...	-291.02	Option sale to close-put ²⁵
TSM 07/16/2021 CALL \$122.00 / CUSIP: / Symbol: 07/12/21	1.000	191.98	07/12/21	192.00	...	-0.02	Option sale to close-call ²⁵
TTD 05/21/2021 CALL \$495.00 / CUSIP: / Symbol: 05/11/21	1.000	2,519.97	05/11/21	1,970.00	...	549.97	Option sale to close-call ²⁵
TTD 05/21/2021 CALL \$505.00 / CUSIP: / Symbol: 05/11/21	1.000	2,709.97	05/11/21	2,210.00	...	499.97	Option sale to close-call ²⁵
TTD 05/28/2021 CALL \$530.00 / CUSIP: / Symbol: 05/20/21	1.000	2,509.97	05/12/21	2,510.00	...	-0.03	Option sale to close-call ²⁵
TWLO 05/28/2021 CALL \$342.50 / CUSIP: / Symbol: 05/28/21	1.000	0.00	05/05/21	1,920.00	...	-1,920.00	Option expiration- long call
TWTR 06/04/2021 CALL \$54.50 / CUSIP: / Symbol: 05/24/21	1.000	271.98	05/07/21	240.00	...	31.98	Option sale to close-call ²⁵
UAL 08/13/2021 PUT \$47.00 / CUSIP: / Symbol: 08/02/21	1.000	155.98	07/30/21	149.00	...	6.98	Option sale to close-put ²⁵
UAL 09/17/2021 CALL \$49.00 / CUSIP: / Symbol: 07/21/21	1.000	324.98	07/21/21	296.00	...	28.98	Option sale to close-call ²⁵
UBER 06/04/2021 CALL \$47.50 / CUSIP: / Symbol: 05/14/21	1.000	202.98	05/07/21	203.00	...	-0.02	Option sale to close-call ²⁵

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UBER 07/30/2021 PUT \$45.00 / CUSIP: / Symbol:							
07/15/21	1.000	79.98	07/15/21	58.00	...	21.98	Option sale to close-put ²⁵
UNH 09/17/2021 CALL \$430.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	08/25/21	345.00	...	-345.00	Option expiration- long call
UPS 08/20/2021 PUT \$180.00 / CUSIP: / Symbol:							
07/29/21	1.000	59.98	07/27/21	160.00	...	-100.02	Option sale to close-put ²⁵
UPS 12/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
10/25/21	1.000	251.98	08/02/21	252.00	...	-0.02	Option sale to close-call ²⁵
V 09/17/2021 CALL \$275.00 / CUSIP: / Symbol:							
07/23/21	1.000	123.98	07/15/21	106.00	...	17.98	Option sale to close-call ²⁵
VALE 09/17/2021 CALL \$22.00 / CUSIP: / Symbol:							
08/04/21	1.000	73.98	08/03/21	64.00	...	9.98	Option sale to close-call ²⁵
08/10/21	1.000	35.98	08/09/21	32.00	...	3.98	Option sale to close-call ²⁵
	Security total:	109.96		96.00	...	13.96	
VXRT 08/06/2021 CALL \$8.00 / CUSIP: / Symbol:							
08/02/21	1.000	41.98	08/02/21	31.00	...	10.98	Option sale to close-call ²⁵
WDC 08/27/2021 CALL \$66.00 / CUSIP: / Symbol:							
08/25/21	1.000	299.98	08/13/21	112.00	...	187.98	Option sale to close-call ²⁵
WDC 10/01/2021 PUT \$68.00 / CUSIP: / Symbol:							
08/30/21	1.000	699.98	08/25/21	395.00	...	304.98	Option sale to close-put ²⁵
WISH 09/10/2021 CALL \$8.00 / CUSIP: / Symbol:							
09/03/21	1.000	15.98	09/03/21	13.00	...	2.98	Option sale to close-call ²⁵
WKHS 08/20/2021 CALL \$12.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/05/21	46.00	...	-46.00	Option expiration- long call
XLF 09/03/2021 CALL \$39.00 / CUSIP: / Symbol:							
08/27/21	1.000	32.98	08/26/21	28.00	...	4.98	Option sale to close-call ²⁵

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XOM 08/20/2021 CALL \$59.00 / CUSIP: / Symbol:							
07/29/21	1.000	149.98	07/26/21	149.00	...	0.98	Option sale to close-call ²⁵
XOM 10/22/2021 CALL \$58.00 / CUSIP: / Symbol:							
09/13/21	1.000	116.98	09/02/21	117.00	...	-0.02	Option sale to close-call ²⁵
ZNGA 08/20/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/20/21	1.000	28.98	07/12/21	48.00	...	-19.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
06/28/21	8.774	502.72	06/28/21	500.00	...	2.72	Sale ²⁵
ARK SPACE EXPLORATION & INNOVATION ETF / CUSIP: 00214Q807 / Symbol:							
06/29/21	4.943	104.88	03/30/21	100.00	...	4.88	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
5 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.019	4.38	05/13/20	3.81	...	0.57	1 of 5 - Sale ²⁵
	0.091	20.89	05/13/20	18.17	...	2.72	2 of 5 - Sale ²⁵
	0.291	66.31	05/13/20	58.02	...	8.29	3 of 5 - Sale ²⁵
	0.768	174.89	12/16/20	200.00	...	-25.11	4 of 5 - Sale ²⁵
	0.941	214.29	12/28/20	203.90	...	10.39	5 of 5 - Sale ²⁵
01/06/21	2.110	480.76	Various	483.90	...	-3.14	Total of 5 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	0.030	108.93	07/17/20	90.00	...	18.93	1 of 4 - Sale ²⁵
	0.017	62.73	09/21/20	50.00	...	12.73	2 of 4 - Sale ²⁵
	0.003	12.17	10/12/20	11.51	...	0.66	3 of 4 - Sale ²⁵
	0.016	56.92	12/04/20	50.00	...	6.92	4 of 4 - Sale ²⁵
07/16/21	0.067	240.75	Various	201.51	...	39.24	Total of 4 transactions
APARTMENT INVESTMENT AND MANAGEMENT COMPANY / CUSIP: 03748R747 / Symbol:							
5 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.132	0.89	01/08/21	0.65	...	0.24	1 of 5 - Sale ²⁵
	10.000	67.62	01/08/21	49.35	...	18.27	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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APARTMENT INVESTMENT AND MANAG EMENT COMPANY / CUSIP: 03748R747 / Symbol: (cont'd)							
	0.834	5.64	01/29/21	3.85	...	1.79	3 of 5 - Sale ²⁵
	1.992	13.47	01/29/21	9.20	...	4.27	4 of 5 - Sale ²⁵
	8.000	54.10	01/29/21	36.95	...	17.15	5 of 5 - Sale ²⁵
06/30/21	20.958	141.72	Various	100.00	...	41.72	Total of 5 transactions
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
4 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.640	95.04	01/11/21	97.33	...	-2.29	1 of 4 - Sale ²⁵
	0.018	2.60	01/11/21	2.67	...	-0.07	2 of 4 - Sale ²⁵
	0.004	0.57	04/05/21	0.53	...	0.04	3 of 4 - Sale ²⁵
	0.356	52.82	04/05/21	49.47	...	3.35	4 of 4 - Sale ²⁵
06/29/21	1.018	151.03	Various	150.00	...	1.03	Total of 4 transactions
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	01/29/21	0.01	...	0.00	1 of 3 - Sale ²⁵
	1.969	118.38	01/29/21	99.99	...	18.39	2 of 3 - Sale ²⁵
	0.055	3.28	03/18/21	2.71	...	0.57	3 of 3 - Sale ²⁵
06/29/21	2.023	121.67	Various	102.71	...	18.96	Total of 3 transactions
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.015	0.10	06/30/21	0.09	...	0.01	1 of 3 - Sale ²⁵
	0.017	0.12	06/30/21	0.11	...	0.01	2 of 3 - Sale ²⁵
	38.983	259.27	06/30/21	249.80	...	9.47	3 of 3 - Sale ²⁵
06/30/21	39.015	259.49	Various	250.00	...	9.49	Total of 3 transactions
4 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.110	0.73	06/30/21	0.68	...	0.05	1 of 4 - Sale ²⁵
	0.534	3.55	06/30/21	3.48	...	0.07	2 of 4 - Sale ²⁵
	8.000	53.13	06/30/21	49.32	...	3.81	3 of 4 - Sale ²⁵
	152.890	1,015.33	06/30/21	996.52	...	18.81	4 of 4 - Sale ²⁵
07/01/21	161.534	1,072.74	Various	1,050.00	...	22.74	Total of 4 transactions
	Security total:	1,332.23		1,300.00	...	32.23	

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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BP P.L.C. / CUSIP: 055622104 / Symbol:							
01/06/21	0.525	12.34	07/07/20	12.24	...	0.10	Sale ²⁵
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	5.000	161.15	03/09/20	114.74	...	46.41	1 of 3 - Sale ²⁵
	5.000	161.16	03/13/20	110.04	...	51.12	2 of 3 - Sale ²⁵
	5.000	161.15	04/01/20	100.95	...	60.20	3 of 3 - Sale ²⁵
01/20/21	15.000	483.46	Various	325.73	...	157.73	Total of 3 transactions
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
06/29/21	0.255	58.27	05/04/21	45.54	...	12.73	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
10 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	0.013	0.54	11/24/20	0.37	...	0.17	1 of 10 - Sale ²⁵
	0.229	9.61	11/24/20	6.57	...	3.04	2 of 10 - Sale ²⁵
	3.242	135.91	11/24/20	93.06	...	42.85	3 of 10 - Sale ²⁵
	0.030	1.24	11/30/20	0.73	...	0.51	4 of 10 - Sale ²⁵
	1.999	83.80	11/30/20	49.27	...	34.53	5 of 10 - Sale ²⁵
	0.166	6.98	12/01/20	3.84	...	3.14	6 of 10 - Sale ²⁵
	1.992	83.53	12/01/20	46.16	...	37.37	7 of 10 - Sale ²⁵
	0.011	0.46	12/02/20	0.24	...	0.22	8 of 10 - Sale ²⁵
	2.294	96.19	12/02/20	49.76	...	46.43	9 of 10 - Sale ²⁵
	2.254	94.49	12/04/20	50.00	...	44.49	10 of 10 - Sale ²⁵
01/08/21	12.229	512.75	Various	300.00	...	212.75	Total of 10 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
10 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	0.069	15.14	10/16/20	11.68	...	3.46	1 of 10 - Sale ²⁵
	0.439	96.05	12/16/20	100.00	...	-3.95	2 of 10 - Sale ²⁵
	0.443	96.97	12/16/20	100.00	...	-3.03	3 of 10 - Sale ²⁵
	0.228	50.00	12/22/20	50.00	...	0.00	4 of 10 - Sale ²⁵
	0.457	99.96	12/28/20	100.00	...	-0.04	5 of 10 - Sale ²⁵
	0.241	52.70	01/05/21	50.00	...	2.70	6 of 10 - Sale ²⁵

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	0.246	53.83	01/26/21	50.00	...	3.83	7 of 10 - Sale ²⁵
	0.257	56.22	01/29/21	50.00	...	6.22	8 of 10 - Sale ²⁵
	0.238	52.17	02/09/21	50.00	...	2.17	9 of 10 - Sale ²⁵
	0.235	51.53	02/10/21	50.00	...	1.53	10 of 10 - Sale ²⁵
02/22/21	2.852	624.57	Various	611.68	...	12.89	Total of 10 transactions
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
9 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	01/13/21	0.01	...	0.00	1 of 9 - Sale ²⁵
	0.141	11.68	01/13/21	10.52	...	1.16	2 of 9 - Sale ²⁵
	0.529	43.89	01/13/21	39.48	...	4.41	3 of 9 - Sale ²⁵
	0.665	55.17	01/13/21	49.99	...	5.18	4 of 9 - Sale ²⁵
	0.679	56.39	01/26/21	49.99	...	6.40	5 of 9 - Sale ²⁵
	0.699	57.98	01/29/21	50.00	...	7.98	6 of 9 - Sale ²⁵
	0.689	57.16	02/08/21	50.00	...	7.16	7 of 9 - Sale ²⁵
	0.699	57.98	02/16/21	50.00	...	7.98	8 of 9 - Sale ²⁵
	0.706	58.58	02/19/21	50.00	...	8.58	9 of 9 - Sale ²⁵
06/30/21	4.806	398.84	Various	349.99	...	48.85	Total of 9 transactions
CENOVUS ENERGY INC. / CUSIP: 15135U109 / Symbol:							
4 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	123.172	1,010.31	07/21/21	1,000.00	...	10.31	1 of 4 - Sale ²⁵
	6.246	51.23	07/22/21	50.00	...	1.23	2 of 4 - Sale ²⁵
	0.139	1.14	07/23/21	1.10	...	0.04	3 of 4 - Sale ²⁵
	2.999	24.59	07/23/21	23.90	...	0.69	4 of 4 - Sale ²⁵
07/26/21	132.556	1,087.27	Various	1,075.00	...	12.27	Total of 4 transactions
CIRRUS LOGIC, INC. COMMON STOC K / CUSIP: 172755100 / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	0.001	0.09	02/16/21	0.09	...	0.00	1 of 3 - Sale ²⁵
	0.114	10.24	02/16/21	10.22	...	0.02	2 of 3 - Sale ²⁵
	0.999	89.76	02/16/21	89.69	...	0.07	3 of 3 - Sale ²⁵
12/10/21	1.114	100.09	Various	100.00	...	0.09	Total of 3 transactions

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	0.005	0.67	04/05/21	0.34	...	0.33	1 of 3 - Sale ²⁵
	0.373	53.77	04/05/21	27.08	...	26.69	2 of 3 - Sale ²⁵
	1.000	144.00	04/05/21	72.58	...	71.42	3 of 3 - Sale ²⁵
12/10/21	1.378	198.44	Various	100.00	...	98.44	Total of 3 transactions
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
7 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.021	1.14	01/14/21	1.05	...	0.09	1 of 7 - Sale ²⁵
	0.069	3.71	01/14/21	3.41	...	0.30	2 of 7 - Sale ²⁵
	1.931	103.91	01/14/21	95.54	...	8.37	3 of 7 - Sale ²⁵
	0.034	1.84	01/20/21	1.65	...	0.19	4 of 7 - Sale ²⁵
	1.000	53.81	01/20/21	48.35	...	5.46	5 of 7 - Sale ²⁵
	0.035	1.89	01/29/21	1.69	...	0.20	6 of 7 - Sale ²⁵
	1.000	53.80	01/29/21	48.31	...	5.49	7 of 7 - Sale ²⁵
06/29/21	4.090	220.10	Various	200.00	...	20.10	Total of 7 transactions
CONTEXTLOGIC INC. CLASS A COMMON STOCK / CUSIP: 21077C107 / Symbol:							
06/28/21	70.897	1,054.27	06/25/21	1,000.00	...	54.27	Sale ²⁵
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	5.000	438.12	04/30/20	214.33	...	223.79	1 of 2 - Sale ²⁵
	5.000	438.11	05/13/20	182.06	...	256.05	2 of 2 - Sale ²⁵
01/25/21	10.000	876.23	Various	396.39	...	479.84	Total of 2 transactions
DUCOMMUN INCORPORATED / CUSIP: 264147109 / Symbol:							
4 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	2.000	110.04	03/09/20	79.24	...	30.80	1 of 4 - Sale ²⁵
	2.000	110.04	03/13/20	58.79	...	51.25	2 of 4 - Sale ²⁵
	6.000	330.10	04/01/20	142.74	...	187.36	3 of 4 - Sale ²⁵
	1.000	55.02	04/02/20	23.68	...	31.34	4 of 4 - Sale ²⁵
01/08/21	11.000	605.20	Various	304.45	...	300.75	Total of 4 transactions

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ENTERPRISE PRODUCTS PARTNERS L.P. / CUSIP: 293792107 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.120	2.85	03/01/21	2.67	...	0.18	1 of 2 - Sale ²⁵
	1.000	23.82	03/01/21	22.33	...	1.49	2 of 2 - Sale ²⁵
06/29/21	1.120	26.67	Various	25.00	...	1.67	Total of 2 transactions
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
8 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.192	0.51	06/29/21	0.41	...	0.10	1 of 8 - Sale ²⁵
	0.193	0.51	06/29/21	0.40	...	0.11	2 of 8 - Sale ²⁵
	0.962	2.55	06/29/21	2.00	...	0.55	3 of 8 - Sale ²⁵
	46.928	124.42	06/29/21	98.00	...	26.42	4 of 8 - Sale ²⁵
	47.733	126.55	06/29/21	100.00	...	26.55	5 of 8 - Sale ²⁵
	47.896	126.99	06/29/21	99.60	...	27.39	6 of 8 - Sale ²⁵
	100.000	265.13	06/29/21	213.95	...	51.18	7 of 8 - Sale ²⁵
	133.508	353.96	06/29/21	285.64	...	68.32	8 of 8 - Sale ²⁵
06/30/21	377.411	1,000.62	Various	800.00	...	200.62	Total of 8 transactions
69 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	39.928	84.64	06/30/21	99.40	...	-14.76	1 of 69 - Sale ²⁵
	37.948	80.44	06/30/21	94.05	...	-13.61	2 of 69 - Sale ²⁵
	29.584	62.71	06/30/21	74.70	...	-11.99	3 of 69 - Sale ²⁵
	42.373	89.83	06/30/21	100.00	...	-10.17	4 of 69 - Sale ²⁵
	19.959	42.31	06/30/21	48.50	...	-6.19	5 of 69 - Sale ²⁵
	20.851	44.20	06/30/21	50.00	...	-5.80	6 of 69 - Sale ²⁵
	44.484	94.30	06/30/21	100.00	...	-5.70	7 of 69 - Sale ²⁵
	45.045	95.49	06/30/21	100.00	...	-4.51	8 of 69 - Sale ²⁵
	10.000	21.20	06/30/21	25.30	...	-4.10	9 of 69 - Sale ²⁵
	45.558	96.58	06/30/21	100.00	...	-3.42	10 of 69 - Sale ²⁵
	2.404	5.10	06/30/21	5.95	...	-0.85	11 of 69 - Sale ²⁵
	0.619	1.31	06/30/21	1.50	...	-0.19	12 of 69 - Sale ²⁵
	0.241	0.51	06/30/21	0.60	...	-0.09	13 of 69 - Sale ²⁵
	45.893	97.29	07/01/21	98.67	...	-1.38	14 of 69 - Sale ²⁵
	46.830	99.27	07/01/21	100.00	...	-0.73	15 of 69 - Sale ²⁵
	0.620	1.31	07/01/21	1.33	...	-0.02	16 of 69 - Sale ²⁵
	0.107	0.23	07/01/21	0.22	...	0.01	17 of 69 - Sale ²⁵

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	0.506	1.07	07/01/21	1.06	...	0.01	18 of 69 - Sale ²⁵
	0.125	0.27	07/01/21	0.25	...	0.02	19 of 69 - Sale ²⁵
	0.300	0.64	07/01/21	0.62	...	0.02	20 of 69 - Sale ²⁵
	0.362	0.77	07/01/21	0.74	...	0.03	21 of 69 - Sale ²⁵
	4.426	9.38	07/01/21	9.14	...	0.24	22 of 69 - Sale ²⁵
	12.000	25.44	07/01/21	24.78	...	0.66	23 of 69 - Sale ²⁵
	47.000	99.63	07/01/21	98.94	...	0.69	24 of 69 - Sale ²⁵
	47.733	101.19	07/01/21	100.00	...	1.19	25 of 69 - Sale ²⁵
	47.877	101.49	07/01/21	100.00	...	1.49	26 of 69 - Sale ²⁵
	48.126	102.02	07/01/21	100.00	...	2.02	27 of 69 - Sale ²⁵
	44.000	93.27	07/01/21	90.86	...	2.41	28 of 69 - Sale ²⁵
	48.321	102.43	07/01/21	100.00	...	2.43	29 of 69 - Sale ²⁵
	47.942	101.63	07/01/21	98.64	...	2.99	30 of 69 - Sale ²⁵
	48.780	103.41	07/01/21	100.00	...	3.41	31 of 69 - Sale ²⁵
	48.828	103.51	07/01/21	100.00	...	3.51	32 of 69 - Sale ²⁵
	48.900	103.66	07/01/21	100.00	...	3.66	33 of 69 - Sale ²⁵
	49.875	105.73	07/01/21	99.75	...	5.98	34 of 69 - Sale ²⁵
	0.201	0.43	07/02/21	0.50	...	-0.07	35 of 69 - Sale ²⁵
	0.201	0.43	07/02/21	0.37	...	0.06	36 of 69 - Sale ²⁵
	0.239	0.51	07/02/21	0.44	...	0.07	37 of 69 - Sale ²⁵
	0.333	0.71	07/02/21	0.62	...	0.09	38 of 69 - Sale ²⁵
	0.333	0.71	07/02/21	0.62	...	0.09	39 of 69 - Sale ²⁵
	0.619	1.31	07/02/21	1.15	...	0.16	40 of 69 - Sale ²⁵
	0.619	1.31	07/02/21	1.15	...	0.16	41 of 69 - Sale ²⁵
	0.817	1.73	07/02/21	1.51	...	0.22	42 of 69 - Sale ²⁵
	52.924	112.19	07/02/21	99.38	...	12.81	43 of 69 - Sale ²⁵
	52.926	112.20	07/02/21	99.38	...	12.82	44 of 69 - Sale ²⁵
	52.861	112.06	07/02/21	98.85	...	13.21	45 of 69 - Sale ²⁵
	52.901	112.14	07/02/21	98.85	...	13.29	46 of 69 - Sale ²⁵
	53.476	113.36	07/02/21	100.00	...	13.36	47 of 69 - Sale ²⁵
	53.653	113.73	07/02/21	99.26	...	14.47	48 of 69 - Sale ²⁵
	106.717	226.23	07/02/21	199.56	...	26.67	49 of 69 - Sale ²⁵
	106.841	226.49	07/02/21	198.49	...	28.00	50 of 69 - Sale ²⁵
	107.643	228.19	07/02/21	200.00	...	28.19	51 of 69 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	107.660	228.23	07/02/21	200.00	...	28.23	52 of 69 - Sale ²⁵
	12.690	27.18	07/06/21	32.84	...	-5.66	53 of 69 - Sale ²⁵
	12.402	26.56	07/06/21	31.96	...	-5.40	54 of 69 - Sale ²⁵
	12.987	27.82	07/06/21	32.47	...	-4.65	55 of 69 - Sale ²⁵
	11.969	25.64	07/06/21	29.98	...	-4.34	56 of 69 - Sale ²⁵
	9.950	21.31	07/06/21	25.45	...	-4.14	57 of 69 - Sale ²⁵
	13.000	27.84	07/06/21	30.36	...	-2.52	58 of 69 - Sale ²⁵
	6.157	13.18	07/06/21	15.42	...	-2.24	59 of 69 - Sale ²⁵
	2.987	6.40	07/06/21	7.47	...	-1.07	60 of 69 - Sale ²⁵
	3.679	7.88	07/06/21	8.72	...	-0.84	61 of 69 - Sale ²⁵
	1.913	4.10	07/06/21	4.81	...	-0.71	62 of 69 - Sale ²⁵
	0.920	1.97	07/06/21	2.35	...	-0.38	63 of 69 - Sale ²⁵
	0.987	2.11	07/06/21	2.47	...	-0.36	64 of 69 - Sale ²⁵
	0.321	0.69	07/06/21	0.83	...	-0.14	65 of 69 - Sale ²⁵
	0.106	0.23	07/06/21	0.27	...	-0.04	66 of 69 - Sale ²⁵
	0.139	0.30	07/06/21	0.33	...	-0.03	67 of 69 - Sale ²⁵
	0.193	0.41	07/06/21	0.44	...	-0.03	68 of 69 - Sale ²⁵
	0.041	0.09	07/06/21	0.10	...	-0.01	69 of 69 - Sale ²⁵
07/06/21	1,818.945	3,857.93	Various	3,751.40	...	106.53	Total of 69 transactions
	6 transactions for 07/06/21. Total proceeds and cost reported to the IRS.						
	38.926	82.52	06/30/21	99.65	7.42 W	-9.71	1 of 6 - Sale ²⁵
	0.106	0.22	06/30/21	0.28	0.06 W	0.00	2 of 6 - Sale ²⁵
	0.139	0.29	06/30/21	0.35	0.06 W	0.00	3 of 6 - Sale ²⁵
	0.563	1.19	06/30/21	1.54	0.35 W	0.00	4 of 6 - Sale ²⁵
	35.962	76.23	06/30/21	98.46	22.23 W	0.00	5 of 6 - Sale ²⁵
	37.000	78.44	06/30/21	99.72	21.28 W	0.00	6 of 6 - Sale ²⁵
07/06/21	112.695	238.89	Various	300.00	51.40 W	-9.71	Total of 6 transactions
	Security total:	5,097.44		4,851.40	51.40 W	297.44	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
06/30/21	1.000	14.95	08/07/20	6.92	...	8.03	Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V209 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	5.150	118.84	11/05/20	45.01	...	73.83	1 of 2 - Sale ²⁵
	10.850	250.36	11/05/20	95.19	...	155.17	2 of 2 - Sale ²⁵
06/29/21	16.000	369.20	Various	140.20	...	229.00	Total of 2 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	0.965	56.28	02/18/21	50.00	...	6.28	1 of 2 - Sale ²⁵
	0.863	50.33	04/01/21	50.00	...	0.33	2 of 2 - Sale ²⁵
07/12/21	1.828	106.61	Various	100.00	...	6.61	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
8 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	63.000	99.60	06/18/20	175.46	...	-75.86	1 of 8 - Sale ²⁵
	57.972	88.14	06/18/20	161.45	...	-73.31	2 of 8 - Sale ²⁵
	3.707	5.63	06/18/20	10.32	...	-4.69	3 of 8 - Sale ²⁵
	3.321	5.05	06/18/20	9.25	...	-4.20	4 of 8 - Sale ²⁵
	0.625	0.94	06/18/20	1.74	...	-0.80	5 of 8 - Sale ²⁵
	0.158	0.24	06/18/20	0.44	...	-0.20	6 of 8 - Sale ²⁵
	0.147	0.22	06/18/20	0.41	...	-0.19	7 of 8 - Sale ²⁵
	0.091	0.14	06/18/20	0.25	...	-0.11	8 of 8 - Sale ²⁵
01/22/21	129.021	199.96	Various	359.32	...	-159.36	Total of 8 transactions
12 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	30.000	52.20	06/18/20	83.55	...	-31.35	1 of 12 - Sale ²⁵
	4.247	7.39	06/18/20	11.83	...	-4.44	2 of 12 - Sale ²⁵
	3.321	5.78	06/18/20	9.25	...	-3.47	3 of 12 - Sale ²⁵
	1.659	2.89	06/18/20	4.62	...	-1.73	4 of 12 - Sale ²⁵
	1.209	2.10	06/18/20	3.37	...	-1.27	5 of 12 - Sale ²⁵
	0.328	0.56	06/18/20	0.91	...	-0.35	6 of 12 - Sale ²⁵
	37.977	66.08	06/23/20	98.74	...	-32.66	7 of 12 - Sale ²⁵
	0.340	0.59	06/23/20	0.88	...	-0.29	8 of 12 - Sale ²⁵
	0.146	0.25	06/23/20	0.38	...	-0.13	9 of 12 - Sale ²⁵
	20.619	35.87	06/25/20	54.23	...	-18.36	10 of 12 - Sale ²⁵
	15.000	26.10	06/25/20	39.45	...	-13.35	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
01/25/21	0.095	0.17	06/25/20	0.25	...	-0.08	12 of 12 - Sale ²⁵
	114.941	199.98	Various	307.46	...	-107.48	Total of 12 transactions
	26 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.598	3.05	06/25/20	4.20	...	-1.15	1 of 26 - Sale ²⁵
	0.439	0.84	06/25/20	1.15	...	-0.31	2 of 26 - Sale ²⁵
	0.272	0.51	06/25/20	0.72	...	-0.21	3 of 26 - Sale ²⁵
	43.000	82.12	06/29/20	99.55	...	-17.43	4 of 26 - Sale ²⁵
	0.197	0.38	06/29/20	0.45	...	-0.07	5 of 26 - Sale ²⁵
	43.982	84.00	06/30/20	99.66	...	-15.66	6 of 26 - Sale ²⁵
	0.150	0.29	06/30/20	0.34	...	-0.05	7 of 26 - Sale ²⁵
	15.073	28.79	07/01/20	34.06	...	-5.27	8 of 26 - Sale ²⁵
	29.176	73.66	07/01/20	65.94	...	7.72	9 of 26 - Sale ²⁵
	0.882	2.23	07/14/20	2.01	...	0.22	10 of 26 - Sale ²⁵
	20.983	52.98	07/14/20	47.99	...	4.99	11 of 26 - Sale ²⁵
	21.882	55.25	07/14/20	50.00	...	5.25	12 of 26 - Sale ²⁵
	1.879	4.74	07/21/20	3.69	...	1.05	13 of 26 - Sale ²⁵
	49.012	123.58	07/21/20	96.31	...	27.27	14 of 26 - Sale ²⁵
	60.606	152.81	08/11/20	100.00	...	52.81	15 of 26 - Sale ²⁵
	1.839	4.64	08/24/20	2.05	...	2.59	16 of 26 - Sale ²⁵
	48.309	121.81	09/09/20	50.00	...	71.81	17 of 26 - Sale ²⁵
	5.498	13.86	09/30/20	6.90	...	6.96	18 of 26 - Sale ²⁵
	0.257	0.65	10/01/20	0.31	...	0.34	19 of 26 - Sale ²⁵
	1.992	5.02	10/01/20	2.41	...	2.61	20 of 26 - Sale ²⁵
	42.918	108.21	10/06/20	50.00	...	58.21	21 of 26 - Sale ²⁵
	3.429	8.64	10/22/20	4.68	...	3.96	22 of 26 - Sale ²⁵
	13.937	35.14	10/26/20	20.00	...	15.14	23 of 26 - Sale ²⁵
	21.743	54.82	10/28/20	26.20	...	28.62	24 of 26 - Sale ²⁵
	1.512	3.81	10/30/20	1.61	...	2.20	25 of 26 - Sale ²⁵
	46.948	118.39	11/09/20	50.00	...	68.39	26 of 26 - Sale ²⁵
01/27/21	477.512	1,140.22	Various	820.23	...	319.99	Total of 26 transactions
	Security total:	1,540.16		1,487.01	...	53.15	

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
8 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	01/08/21	0.02	...	0.00	1 of 8 - Sale ²⁵
	0.140	6.31	01/08/21	5.14	...	1.17	2 of 8 - Sale ²⁵
	0.222	10.02	01/08/21	8.16	...	1.86	3 of 8 - Sale ²⁵
	1.000	45.09	01/08/21	36.68	...	8.41	4 of 8 - Sale ²⁵
	0.375	16.92	01/11/21	13.64	...	3.28	5 of 8 - Sale ²⁵
	1.000	45.09	01/11/21	36.36	...	8.73	6 of 8 - Sale ²⁵
	0.404	18.23	01/29/21	14.39	...	3.84	7 of 8 - Sale ²⁵
	0.997	44.97	01/29/21	35.61	...	9.36	8 of 8 - Sale ²⁵
06/30/21	4.140	186.65	Various	150.00	...	36.65	Total of 8 transactions
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
4 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.502	2.83	02/09/21	2.39	...	0.44	1 of 4 - Sale ²⁵
	10.007	56.46	02/09/21	47.61	...	8.85	2 of 4 - Sale ²⁵
	0.001	0.01	02/11/21	0.00	...	0.01	3 of 4 - Sale ²⁵
	10.992	62.02	02/11/21	49.99	...	12.03	4 of 4 - Sale ²⁵
06/30/21	21.502	121.32	Various	99.99	...	21.33	Total of 4 transactions
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
5 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	0.164	27.32	08/06/20	24.07	...	3.25	1 of 5 - Sale ²⁵
	0.165	27.55	10/01/20	24.43	...	3.12	2 of 5 - Sale ²⁵
	0.173	28.85	10/01/20	25.57	...	3.28	3 of 5 - Sale ²⁵
	0.140	23.34	10/26/20	20.00	...	3.34	4 of 5 - Sale ²⁵
	0.695	116.16	11/23/20	100.00	...	16.16	5 of 5 - Sale ²⁵
07/06/21	1.336	223.22	Various	194.07	...	29.15	Total of 5 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.004	0.44	04/05/21	0.39	...	0.05	1 of 3 - Sale ²⁵
	0.029	3.21	04/05/21	2.81	...	0.40	2 of 3 - Sale ²⁵
	1.000	110.13	04/05/21	96.80	...	13.33	3 of 3 - Sale ²⁵
06/30/21	1.033	113.78	Various	100.00	...	13.78	Total of 3 transactions

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(continued)

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LEVI STRAUSS & CO. CLASS A COM MON STOCK / CUSIP: 52736R102 / Symbol:							
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.389	10.67	07/21/20	4.73	...	5.94	1 of 3 - Sale ²⁵
	2.000	54.78	07/21/20	24.30	...	30.48	2 of 3 - Sale ²⁵
	2.000	54.78	09/21/20	24.02	...	30.76	3 of 3 - Sale ²⁵
06/29/21	4.389	120.23	Various	53.05	...	67.18	Total of 3 transactions
MARVELL TECHNOLOGY, INC. COMMO N STOCK / CUSIP: 573874104 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	0.012	0.67	04/05/21	0.59	...	0.08	1 of 2 - Sale ²⁵
	1.970	112.61	04/05/21	99.41	...	13.20	2 of 2 - Sale ²⁵
06/28/21	1.982	113.28	Various	100.00	...	13.28	Total of 2 transactions
MATTEL, INC. COMMON STOCK / CUSIP: 577081102 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.624	12.71	06/29/21	12.68	...	0.03	1 of 2 - Sale ²⁵
	23.994	488.51	06/29/21	487.32	...	1.19	2 of 2 - Sale ²⁵
06/29/21	24.619	501.22	Various	500.00	...	1.22	Total of 2 transactions
MCKESSON CORPORATION / CUSIP: 58155Q103 / Symbol:							
07/19/21	0.577	109.11	12/15/20	100.00	...	9.11	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
8 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.995	139.57	12/15/20	148.42	...	-8.85	1 of 8 - Sale ²⁵
	0.064	8.96	12/15/20	9.48	...	-0.52	2 of 8 - Sale ²⁵
	0.359	50.42	12/16/20	50.00	...	0.42	3 of 8 - Sale ²⁵
	0.292	40.94	12/22/20	36.90	...	4.04	4 of 8 - Sale ²⁵
	0.409	57.35	12/23/20	50.00	...	7.35	5 of 8 - Sale ²⁵
	0.159	22.36	01/07/21	17.98	...	4.38	6 of 8 - Sale ²⁵
	0.284	39.81	01/07/21	34.30	...	5.51	7 of 8 - Sale ²⁵
	0.439	61.60	01/08/21	50.00	...	11.60	8 of 8 - Sale ²⁵
01/25/21	3.000	421.01	Various	397.08	...	23.93	Total of 8 transactions
01/25/21	0.284	39.82	12/15/20	42.10	2.28 W	0.00	Sale ²⁵
	Security total:	460.83		439.18	2.28 W	23.93	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:

13 transactions for 01/06/21. Total proceeds and cost reported to the IRS.

2.000	104.94	11/17/20	94.00	...	10.94	1 of 13 - Sale ²⁵
1.000	52.47	11/18/20	45.55	...	6.92	2 of 13 - Sale ²⁵
0.001	0.07	12/02/20	0.06	...	0.01	3 of 13 - Sale ²⁵
0.108	5.68	12/02/20	4.88	...	0.80	4 of 13 - Sale ²⁵
1.000	52.47	12/02/20	45.06	...	7.41	5 of 13 - Sale ²⁵
0.085	4.44	12/04/20	3.57	...	0.87	6 of 13 - Sale ²⁵
0.100	5.26	12/04/20	4.23	...	1.03	7 of 13 - Sale ²⁵
1.000	52.46	12/04/20	42.20	...	10.26	8 of 13 - Sale ²⁵
0.113	5.93	12/09/20	5.08	...	0.85	9 of 13 - Sale ²⁵
1.000	52.47	12/09/20	44.92	...	7.55	10 of 13 - Sale ²⁵
0.004	0.20	12/11/20	0.16	...	0.04	11 of 13 - Sale ²⁵
0.194	10.17	12/11/20	8.11	...	2.06	12 of 13 - Sale ²⁵
1.000	52.48	12/11/20	41.73	...	10.75	13 of 13 - Sale ²⁵
01/06/21	7.605	399.04	Various	339.55	59.49	Total of 13 transactions

NATIONAL CINEMEDIA, INC. COMMO N STOCK / CUSIP: 635309107 / Symbol:

05/28/21	11.834	56.33	02/08/21	48.82	...	7.51	Sale ²⁵	C
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NOKIA CORPORATION / CUSIP: 654902204 / Symbol:

13 transactions for 01/27/21. Total proceeds and cost reported to the IRS.

0.689	4.59	06/12/20	2.95	...	1.64	1 of 13 - Sale ²⁵
1.635	10.90	06/12/20	6.80	...	4.10	2 of 13 - Sale ²⁵
2.676	17.83	06/12/20	11.45	...	6.38	3 of 13 - Sale ²⁵
20.000	133.30	06/12/20	85.60	...	47.70	4 of 13 - Sale ²⁵
48.365	322.34	06/12/20	201.05	...	121.29	5 of 13 - Sale ²⁵
10.000	66.65	09/21/20	39.00	...	27.65	6 of 13 - Sale ²⁵
0.004	0.03	10/01/20	0.01	...	0.02	7 of 13 - Sale ²⁵
12.991	86.58	10/01/20	49.99	...	36.59	8 of 13 - Sale ²⁵
0.019	0.13	11/10/20	0.07	...	0.06	9 of 13 - Sale ²⁵
0.459	3.06	11/10/20	1.70	...	1.36	10 of 13 - Sale ²⁵
13.000	86.64	11/10/20	48.23	...	38.41	11 of 13 - Sale ²⁵
0.642	4.28	11/18/20	2.54	...	1.74	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	11.992	79.91	11/18/20	47.46	...	32.45	13 of 13 - Sale ²⁵
01/27/21	122.472	816.24	Various	496.85	...	319.39	Total of 13 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
11 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	0.125	0.84	01/13/21	0.25	...	0.59	1 of 11 - Sale ²⁵
	0.126	0.84	01/13/21	0.25	...	0.59	2 of 11 - Sale ²⁵
	50.000	334.04	01/13/21	99.50	...	234.54	3 of 11 - Sale ²⁵
	0.110	0.73	01/26/21	0.21	...	0.52	4 of 11 - Sale ²⁵
	25.865	172.80	01/26/21	49.79	...	123.01	5 of 11 - Sale ²⁵
	0.347	2.32	01/27/21	0.58	...	1.74	6 of 11 - Sale ²⁵
	59.000	394.16	01/27/21	99.42	...	294.74	7 of 11 - Sale ²⁵
	0.169	1.13	01/29/21	0.30	...	0.83	8 of 11 - Sale ²⁵
	27.923	186.54	01/29/21	49.70	...	136.84	9 of 11 - Sale ²⁵
	0.149	0.99	02/03/21	0.41	...	0.58	10 of 11 - Sale ²⁵
	17.971	120.06	02/03/21	49.59	...	70.47	11 of 11 - Sale ²⁵
03/29/21	181.784	1,214.45	Various	350.00	...	864.45	Total of 11 transactions
OCUPHIRE PHARMA, INC. COMMON S TOCK / CUSIP: 67577R102 / Symbol:							
31 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	40.377	198.76	06/30/21	248.72	...	-49.96	1 of 31 - Sale ²⁵
	17.000	83.69	06/30/21	96.99	...	-13.30	2 of 31 - Sale ²⁵
	9.443	46.48	06/30/21	50.00	...	-3.52	3 of 31 - Sale ²⁵
	0.528	2.60	06/30/21	3.01	...	-0.41	4 of 31 - Sale ²⁵
	18.349	90.32	07/01/21	100.00	...	-9.68	5 of 31 - Sale ²⁵
	18.971	93.39	07/01/21	96.71	...	-3.32	6 of 31 - Sale ²⁵
	0.646	3.18	07/01/21	3.29	...	-0.11	7 of 31 - Sale ²⁵
	2.989	14.71	07/07/21	13.00	...	1.71	8 of 31 - Sale ²⁵
	20.000	98.45	07/07/21	87.00	...	11.45	9 of 31 - Sale ²⁵
	0.936	4.61	07/09/21	4.08	...	0.53	10 of 31 - Sale ²⁵
	21.900	107.80	07/09/21	95.92	...	11.88	11 of 31 - Sale ²⁵
	0.207	1.02	07/12/21	1.17	...	-0.15	12 of 31 - Sale ²⁵
	22.624	111.37	07/12/21	99.09	...	12.28	13 of 31 - Sale ²⁵
	0.682	3.36	07/13/21	2.92	...	0.44	14 of 31 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUPHIRE PHARMA, INC. COMMON S TOCK / CUSIP: 67577R102 / Symbol: (cont'd)							
	10.974	54.02	07/13/21	47.08	...	6.94	15 of 31 - Sale ²⁵
	0.135	0.66	07/14/21	0.55	...	0.11	16 of 31 - Sale ²⁵
	2.994	14.74	07/14/21	12.42	...	2.32	17 of 31 - Sale ²⁵
	3.039	14.96	07/14/21	12.58	...	2.38	18 of 31 - Sale ²⁵
	6.000	29.54	07/14/21	24.45	...	5.09	19 of 31 - Sale ²⁵
	0.219	1.08	07/15/21	0.88	...	0.20	20 of 31 - Sale ²⁵
	5.987	29.47	07/15/21	24.12	...	5.35	21 of 31 - Sale ²⁵
	0.410	2.02	07/20/21	1.60	...	0.42	22 of 31 - Sale ²⁵
	1.000	4.92	07/20/21	3.91	...	1.01	23 of 31 - Sale ²⁵
	4.985	24.54	07/20/21	19.49	...	5.05	24 of 31 - Sale ²⁵
	0.896	4.41	07/21/21	3.80	...	0.61	25 of 31 - Sale ²⁵
	4.989	24.56	07/21/21	21.20	...	3.36	26 of 31 - Sale ²⁵
	0.005	0.02	07/22/21	0.02	...	0.00	27 of 31 - Sale ²⁵
	12.000	59.07	07/22/21	49.98	...	9.09	28 of 31 - Sale ²⁵
	5.721	28.17	07/23/21	25.00	...	3.17	29 of 31 - Sale ²⁵
	0.017	0.08	07/26/21	0.07	...	0.01	30 of 31 - Sale ²⁵
	5.978	29.43	07/26/21	24.93	...	4.50	31 of 31 - Sale ²⁵
08/09/21	240.000	1,181.43	Various	1,173.98	...	7.45	Total of 31 transactions
08/09/21	0.207	1.02	06/30/21	1.28	0.26 W	0.00	Sale ²⁵
	Security total:	1,182.45		1,175.26	0.26 W	7.45	
ORION OFFICE REIT INC. / CUSIP: 68629Y103 / Symbol:							
12/10/21	1.000	18.80	11/15/21	0.00	...	18.80	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	01/08/21	0.02	...	0.00	1 of 5 - Sale ²⁵
	0.047	1.52	01/08/21	1.22	...	0.30	2 of 5 - Sale ²⁵
	0.877	28.48	01/08/21	22.77	...	5.71	3 of 5 - Sale ²⁵
	1.000	32.46	01/08/21	25.96	...	6.50	4 of 5 - Sale ²⁵
	1.901	61.72	01/12/21	50.00	...	11.72	5 of 5 - Sale ²⁵
01/22/21	3.826	124.20	Various	99.97	...	24.23	Total of 5 transactions

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>9 transactions for 06/30/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	156.56	11/18/20	146.62	...	9.94	1 of 9 - Sale ²⁵
	4.000	156.56	11/20/20	146.68	...	9.88	2 of 9 - Sale ²⁵
	1.377	53.88	11/23/20	50.00	...	3.88	3 of 9 - Sale ²⁵
	0.396	15.52	01/29/21	14.19	...	1.33	4 of 9 - Sale ²⁵
	1.000	39.14	01/29/21	35.81	...	3.33	5 of 9 - Sale ²⁵
	0.428	16.75	02/02/21	14.98	...	1.77	6 of 9 - Sale ²⁵
	1.000	39.14	02/02/21	35.02	...	4.12	7 of 9 - Sale ²⁵
	1.660	64.99	02/09/21	58.00	...	6.99	8 of 9 - Sale ²⁵
	1.436	56.20	02/10/21	50.00	...	6.20	9 of 9 - Sale ²⁵
06/30/21	15.298	598.74	Various	551.30	...	47.44	Total of 9 transactions
REVLON, INC. / CUSIP: 761525609 / Symbol:							
<i>2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.</i>							
	0.394	4.94	01/12/21	4.19	...	0.75	1 of 2 - Sale ²⁵
	8.963	112.30	01/12/21	95.81	...	16.49	2 of 2 - Sale ²⁵
06/30/21	9.357	117.24	Various	100.00	...	17.24	Total of 2 transactions
SPDR S&P SEMICONDUCTOR ETF / CUSIP: 78464A862 / Symbol:							
<i>2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.520	100.22	04/05/21	100.00	...	0.22	1 of 2 - Sale ²⁵
	0.138	26.54	04/22/21	25.00	...	1.54	2 of 2 - Sale ²⁵
06/29/21	0.658	126.76	Various	125.00	...	1.76	Total of 2 transactions
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.367	205.37	12/16/20	200.00	...	5.37	1 of 2 - Sale ²⁵
	0.053	29.93	03/18/21	25.00	...	4.93	2 of 2 - Sale ²⁵
07/16/21	0.420	235.30	Various	225.00	...	10.30	Total of 2 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
<i>11 transactions for 08/02/21. Total proceeds and cost reported to the IRS.</i>							
	0.208	55.18	10/01/20	50.00	...	5.18	1 of 11 - Sale ²⁵
	0.019	5.08	10/09/20	4.57	...	0.51	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
	0.422	112.21	11/12/20	100.00	...	12.21	3 of 11 - Sale ²⁵
	0.301	80.05	12/18/20	100.00	...	-19.95	4 of 11 - Sale ²⁵
	0.044	11.72	01/06/21	12.30	...	-0.58	5 of 11 - Sale ²⁵
	0.192	50.94	02/25/21	50.00	...	0.94	6 of 11 - Sale ²⁵
	0.013	3.33	03/12/21	2.89	...	0.44	7 of 11 - Sale ²⁵
	0.114	30.19	03/18/21	25.00	...	5.19	8 of 11 - Sale ²⁵
	0.112	29.71	03/23/21	25.00	...	4.71	9 of 11 - Sale ²⁵
	0.211	56.06	04/01/21	50.00	...	6.06	10 of 11 - Sale ²⁵
	0.108	28.77	04/22/21	25.00	...	3.77	11 of 11 - Sale ²⁵
08/02/21	1.743	463.24	Various	444.76	...	18.48	Total of 11 transactions
SOLARWINDS CORPORATION COMMON STOCK / CUSIP: 83417Q105 / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.002	0.03	01/13/21	0.03	...	0.00	1 of 3 - Sale ²⁵
	0.418	7.08	01/13/21	6.11	...	0.97	2 of 3 - Sale ²⁵
	3.000	50.89	01/13/21	43.86	...	7.03	3 of 3 - Sale ²⁵
06/30/21	3.420	58.00	Various	50.00	...	8.00	Total of 3 transactions
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
14 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	4.000	31.60	08/12/20	46.20	...	-14.60	1 of 14 - Sale ²⁵
	0.159	1.26	08/12/20	1.84	...	-0.58	2 of 14 - Sale ²⁵
	0.005	0.04	08/12/20	0.06	...	-0.02	3 of 14 - Sale ²⁵
	5.000	39.50	08/27/20	40.40	...	-0.90	4 of 14 - Sale ²⁵
	4.264	33.69	11/03/20	29.85	...	3.84	5 of 14 - Sale ²⁵
	0.020	0.16	11/05/20	0.14	...	0.02	6 of 14 - Sale ²⁵
	0.154	1.22	11/05/20	1.09	...	0.13	7 of 14 - Sale ²⁵
	14.000	110.59	11/05/20	98.77	...	11.82	8 of 14 - Sale ²⁵
	0.110	0.87	11/12/20	0.68	...	0.19	9 of 14 - Sale ²⁵
	8.000	63.20	11/12/20	49.32	...	13.88	10 of 14 - Sale ²⁵
	0.232	1.84	11/24/20	1.52	...	0.32	11 of 14 - Sale ²⁵
	15.000	118.49	11/24/20	98.48	...	20.01	12 of 14 - Sale ²⁵
	0.608	4.80	01/04/21	4.10	...	0.70	13 of 14 - Sale ²⁵

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	7.90	01/04/21	6.76	...	1.14	14 of 14 - Sale ²⁵
07/19/21	52.553	415.16	Various	379.21	...	35.95	Total of 14 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
06/30/21	100.000	323.18	06/28/21	345.00	...	-21.82	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	85.000	129.28	12/07/20	47.55	...	81.73	1 of 4 - Sale ²⁵
	96.000	146.01	12/15/20	47.42	...	98.59	2 of 4 - Sale ²⁵
	59.000	89.73	12/22/20	28.53	...	61.20	3 of 4 - Sale ²⁵
	103.000	156.66	12/23/20	47.38	...	109.28	4 of 4 - Sale ²⁵
02/18/21	343.000	521.68	Various	170.88	...	350.80	Total of 4 transactions
SURGALIGN HOLDINGS, INC. COMMO N STOCK / CUSIP: 86882C105 / Symbol:							
5 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	0.079	0.12	06/25/21	0.11	...	0.01	1 of 5 - Sale ²⁵
	3.278	4.89	06/25/21	4.64	...	0.25	2 of 5 - Sale ²⁵
	96.735	144.32	06/25/21	137.09	...	7.23	3 of 5 - Sale ²⁵
	252.722	377.03	06/25/21	358.16	...	18.87	4 of 5 - Sale ²⁵
	355.265	530.00	06/25/21	500.00	...	30.00	5 of 5 - Sale ²⁵
06/28/21	708.079	1,056.36	Various	1,000.00	...	56.36	Total of 5 transactions
TOYOTA MOTOR CORPORATION AMERI CAN DEPOSITARY SHARES (EACH RE PRESENTING TEN ORDINARY SHARES / CUSIP: 892331307 / Symbol:							
5 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.012	2.13	01/12/21	1.83	...	0.30	1 of 5 - Sale ²⁵
	0.651	114.12	01/12/21	98.17	...	15.95	2 of 5 - Sale ²⁵
	0.343	60.17	01/26/21	50.00	...	10.17	3 of 5 - Sale ²⁵
	0.355	62.33	01/29/21	50.00	...	12.33	4 of 5 - Sale ²⁵
	0.651	114.20	04/01/21	100.00	...	14.20	5 of 5 - Sale ²⁵
06/30/21	2.012	352.95	Various	300.00	...	52.95	Total of 5 transactions

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TRIPLEPOINT VENTURE GROWTH BDC CORP. / CUSIP: 89677Y100 / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	4.000	53.73	04/01/20	21.48	...	32.25	1 of 3 - Sale ²⁵
	0.879	11.81	12/09/20	11.33	...	0.48	2 of 3 - Sale ²⁵
	3.000	40.30	12/09/20	38.67	...	1.63	3 of 3 - Sale ²⁵
01/19/21	7.879	105.84	Various	71.48	...	34.36	Total of 3 transactions
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
6 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	0.027	9.93	08/27/20	7.04	...	2.89	1 of 6 - Sale ²⁵
	0.002	0.80	08/31/20	0.56	...	0.24	2 of 6 - Sale ²⁵
	0.014	5.40	08/31/20	3.80	...	1.60	3 of 6 - Sale ²⁵
	0.136	50.93	08/31/20	35.78	...	15.15	4 of 6 - Sale ²⁵
	0.196	73.21	10/01/20	50.00	...	23.21	5 of 6 - Sale ²⁵
	0.157	58.56	12/04/20	50.00	...	8.56	6 of 6 - Sale ²⁵
08/02/21	0.532	198.83	Various	147.18	...	51.65	Total of 6 transactions
UNILEVER PLC / CUSIP: 904767704 / Symbol:							
7 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.857	50.24	12/04/20	50.00	...	0.24	1 of 7 - Sale ²⁵
	0.860	50.36	12/08/20	50.00	...	0.36	2 of 7 - Sale ²⁵
	0.859	50.32	12/23/20	50.00	...	0.32	3 of 7 - Sale ²⁵
	0.924	54.11	02/09/21	50.00	...	4.11	4 of 7 - Sale ²⁵
	0.913	53.49	02/10/21	50.00	...	3.49	5 of 7 - Sale ²⁵
	0.921	53.96	02/12/21	50.00	...	3.96	6 of 7 - Sale ²⁵
	0.932	54.62	02/25/21	50.00	...	4.62	7 of 7 - Sale ²⁵
06/30/21	6.266	367.10	Various	350.00	...	17.10	Total of 7 transactions
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.314	65.03	01/07/21	50.00	...	15.03	1 of 2 - Sale ²⁵
	0.321	66.36	01/29/21	50.00	...	16.36	2 of 2 - Sale ²⁵
06/30/21	0.635	131.39	Various	100.00	...	31.39	Total of 2 transactions

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VANGUARD ENERGY ETF / CUSIP: 92204A306 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.573	43.20	02/16/21	36.43	...	6.77	1 of 2 - Sale ²⁵
	1.000	75.36	02/16/21	63.57	...	11.79	2 of 2 - Sale ²⁵
06/29/21	1.573	118.56	Various	100.00	...	18.56	Total of 2 transactions
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
4 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.924	48.18	08/26/20	35.68	...	12.50	1 of 4 - Sale ²⁵
	1.666	86.85	08/26/20	64.32	...	22.53	2 of 4 - Sale ²⁵
	0.334	17.42	11/23/20	12.52	...	4.90	3 of 4 - Sale ²⁵
	1.000	52.14	11/23/20	37.48	...	14.66	4 of 4 - Sale ²⁵
06/29/21	3.924	204.59	Various	150.00	...	54.59	Total of 4 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	64.000	997.77	06/23/21	998.08	...	-0.31	1 of 2 - Sale ²⁵
	0.123	1.92	06/23/21	1.92	...	0.00	2 of 2 - Sale ²⁵
06/24/21	64.123	999.69	Various	1,000.00	...	-0.31	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	0.292	113.55	01/11/21	100.00	...	13.55	1 of 3 - Sale ²⁵
	0.079	30.65	04/12/21	25.00	...	5.65	2 of 3 - Sale ²⁵
	0.060	23.49	04/14/21	20.00	...	3.49	3 of 3 - Sale ²⁵
06/30/21	0.431	167.69	Various	145.00	...	22.69	Total of 3 transactions
CONSTELLIUM SE CLASS A ORDINAR Y SHARES / CUSIP: F21107101 / Symbol:							
5 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.638	9.24	05/18/20	4.76	...	4.48	1 of 5 - Sale ²⁵
	0.768	11.13	05/18/20	5.74	...	5.39	2 of 5 - Sale ²⁵
	11.987	173.75	05/18/20	89.50	...	84.25	3 of 5 - Sale ²⁵
	0.013	0.19	09/28/20	0.10	...	0.09	4 of 5 - Sale ²⁵
	0.362	5.26	09/28/20	2.77	...	2.49	5 of 5 - Sale ²⁵
01/13/21	13.768	199.57	Various	102.87	...	96.70	Total of 5 transactions

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
06/30/21	65.000	438.46	06/28/21	465.06	...	-26.60	Sale ²⁵
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.001	0.20	04/05/21	0.18	...	0.02	1 of 2 - Sale ²⁵
	0.216	54.78	04/05/21	49.82	...	4.96	2 of 2 - Sale ²⁵
06/29/21	0.217	54.98	Various	50.00	...	4.98	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
5 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	21.000	6.64	12/18/20	4.52	...	2.12	1 of 5 - Sale ²⁵
	100.000	31.60	12/18/20	21.51	...	10.09	2 of 5 - Sale ²⁵
	100.000	31.60	12/18/20	21.51	...	10.09	3 of 5 - Sale ²⁵
	79.000	24.96	12/23/20	15.72	...	9.24	4 of 5 - Sale ²⁵
	160.000	50.56	12/23/20	31.84	...	18.72	5 of 5 - Sale ²⁵
01/05/21	460.000	145.36	Various	95.10	...	50.26	Total of 5 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
14 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.366	0.59	06/08/20	1.90	1.31 W	0.00	1 of 14 - Sale ²⁵
	0.581	0.95	06/08/20	3.02	2.07 W	0.00	2 of 14 - Sale ²⁵
	10.774	17.67	06/08/20	56.02	38.35 W	0.00	3 of 14 - Sale ²⁵
	0.920	1.51	06/09/20	4.57	3.06 W	0.00	4 of 14 - Sale ²⁵
	3.080	5.05	06/09/20	15.32	10.27 W	0.00	5 of 14 - Sale ²⁵
	4.000	6.56	06/09/20	19.22	12.66 W	0.00	6 of 14 - Sale ²⁵
	4.000	6.56	06/09/20	19.22	12.66 W	0.00	7 of 14 - Sale ²⁵
	4.000	6.56	06/09/20	19.22	12.66 W	0.00	8 of 14 - Sale ²⁵
	4.000	6.56	06/09/20	19.89	13.33 W	0.00	9 of 14 - Sale ²⁵
	7.800	12.79	06/09/20	37.48	24.69 W	0.00	10 of 14 - Sale ²⁵
	15.120	24.80	06/09/20	75.18	50.38 W	0.00	11 of 14 - Sale ²⁵
	15.120	24.80	06/09/20	75.18	50.38 W	0.00	12 of 14 - Sale ²⁵
	20.280	33.26	06/10/20	94.91	61.65 W	0.00	13 of 14 - Sale ²⁵
	31.906	52.32	06/10/20	149.48	97.16 W	0.00	14 of 14 - Sale ²⁵
01/21/21	121.946	199.98	Various	590.61	390.63 W	0.00	Total of 14 transactions

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	18.041	32.20	06/11/20	67.65	...	-35.45	1 of 2 - Sale ²⁵
	37.982	67.79	06/12/20	150.03	...	-82.24	2 of 2 - Sale ²⁵
01/25/21	56.022	99.99	Various	217.68	...	-117.69	Total of 2 transactions
4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.219	0.36	06/10/20	1.02	0.66 W	0.00	1 of 4 - Sale ²⁵
	8.515	14.41	06/10/20	39.90	25.49 W	0.00	2 of 4 - Sale ²⁵
	58.119	98.32	06/11/20	217.95	82.71 W	-36.92	3 of 4 - Sale ²⁵
	51.360	86.89	06/11/20	190.42	103.53 W	0.00	4 of 4 - Sale ²⁵
01/25/21	118.213	199.98	Various	449.29	212.39 W	-36.92	Total of 4 transactions
8 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.882	3.57	06/12/20	7.43	...	-3.86	1 of 8 - Sale ²⁵
	0.137	0.25	06/12/20	0.54	...	-0.29	2 of 8 - Sale ²⁵
	26.511	51.22	06/18/20	98.09	...	-46.87	3 of 8 - Sale ²⁵
	25.720	48.87	06/18/20	94.84	...	-45.97	4 of 8 - Sale ²⁵
	24.892	47.29	06/18/20	92.10	...	-44.81	5 of 8 - Sale ²⁵
	0.078	0.14	06/18/20	0.29	...	-0.15	6 of 8 - Sale ²⁵
	20.880	40.33	06/23/20	95.21	...	-54.88	7 of 8 - Sale ²⁵
	4.289	8.29	06/23/20	17.69	...	-9.40	8 of 8 - Sale ²⁵
01/26/21	104.388	199.96	Various	406.19	...	-206.23	Total of 8 transactions
4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	18.791	38.33	06/23/20	77.52	...	-39.19	1 of 4 - Sale ²⁵
	27.000	55.08	06/24/20	95.18	...	-40.10	2 of 4 - Sale ²⁵
	26.440	53.94	06/25/20	95.12	...	-41.18	3 of 4 - Sale ²⁵
	25.803	52.64	06/29/20	88.57	...	-35.93	4 of 4 - Sale ²⁵
01/29/21	98.034	199.99	Various	356.39	...	-156.40	Total of 4 transactions
9 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.917	4.25	06/29/20	6.58	...	-2.33	1 of 9 - Sale ²⁵
	27.840	61.80	06/30/20	95.07	...	-33.27	2 of 9 - Sale ²⁵
	28.360	62.96	07/01/20	95.16	...	-32.20	3 of 9 - Sale ²⁵
	30.600	67.93	07/07/20	84.15	...	-16.22	4 of 9 - Sale ²⁵
	2.437	5.28	07/07/20	6.70	...	-1.42	5 of 9 - Sale ²⁵
	1.374	3.05	07/07/20	3.78	...	-0.73	6 of 9 - Sale ²⁵

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Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
	0.189	0.41	07/07/20	0.52	...	-0.11	7 of 9 - Sale ²⁵
	33.720	73.00	07/08/20	95.26	...	-22.26	8 of 9 - Sale ²⁵
	9.843	21.31	07/14/20	25.48	...	-4.17	9 of 9 - Sale ²⁵
02/05/21	136.279	299.99	Various	412.70	...	-112.71	Total of 9 transactions
	10 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	18.360	40.94	07/14/20	47.53	...	-6.59	1 of 10 - Sale ²⁵
	8.418	18.77	07/14/20	21.79	...	-3.02	2 of 10 - Sale ²⁵
	0.099	0.22	07/14/20	0.26	...	-0.04	3 of 10 - Sale ²⁵
	2.200	4.91	07/28/20	5.78	...	-0.87	4 of 10 - Sale ²⁵
	11.764	26.24	08/05/20	31.06	...	-4.82	5 of 10 - Sale ²⁵
	6.189	13.89	08/05/20	16.34	...	-2.45	6 of 10 - Sale ²⁵
	4.000	8.92	08/05/20	10.56	...	-1.64	7 of 10 - Sale ²⁵
	0.087	0.19	08/05/20	0.23	...	-0.04	8 of 10 - Sale ²⁵
	25.811	57.94	08/10/20	42.59	...	15.35	9 of 10 - Sale ²⁵
	57.000	127.96	08/10/20	94.55	...	33.41	10 of 10 - Sale ²⁵
02/08/21	133.928	299.98	Various	270.69	...	29.29	Total of 10 transactions
	5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	0.208	0.52	08/10/20	0.34	...	0.18	1 of 5 - Sale ²⁵
	30.981	78.22	08/10/20	51.12	...	27.10	2 of 5 - Sale ²⁵
	0.587	1.48	08/12/20	0.82	...	0.66	3 of 5 - Sale ²⁵
	34.894	88.11	08/12/20	49.18	...	38.93	4 of 5 - Sale ²⁵
	12.538	31.66	08/27/20	16.28	...	15.38	5 of 5 - Sale ²⁵
02/09/21	79.208	199.99	Various	117.74	...	82.25	Total of 5 transactions
	20 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	62.462	167.39	08/27/20	81.11	...	86.28	1 of 20 - Sale ²⁵
	0.588	1.58	09/01/20	0.75	...	0.83	2 of 20 - Sale ²⁵
	7.978	21.38	09/01/20	10.20	...	11.18	3 of 20 - Sale ²⁵
	0.233	0.74	09/09/20	0.27	...	0.47	4 of 20 - Sale ²⁵
	0.495	1.55	09/09/20	0.57	...	0.98	5 of 20 - Sale ²⁵
	3.598	9.64	09/09/20	4.13	...	5.51	6 of 20 - Sale ²⁵
	39.209	124.29	09/09/20	45.03	...	79.26	7 of 20 - Sale ²⁵
	45.600	144.54	11/09/20	257.25	...	-112.71	8 of 20 - Sale ²⁵
	29.890	94.75	12/09/20	174.27	...	-79.52	9 of 20 - Sale ²⁵

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
	7.990	25.33	12/09/20	42.37	...	-17.04	10 of 20 - Sale ²⁵
	0.887	2.81	12/09/20	5.17	...	-2.36	11 of 20 - Sale ²⁵
	0.120	0.38	12/09/20	0.69	...	-0.31	12 of 20 - Sale ²⁵
	0.023	0.07	12/09/20	0.13	...	-0.06	13 of 20 - Sale ²⁵
	15.120	47.93	02/01/21	80.47	...	-32.54	14 of 20 - Sale ²⁵
	5.431	17.22	02/01/21	30.11	...	-12.89	15 of 20 - Sale ²⁵
	5.342	16.93	02/01/21	29.65	...	-12.72	16 of 20 - Sale ²⁵
	4.000	12.68	02/01/21	21.29	...	-8.61	17 of 20 - Sale ²⁵
	2.442	7.74	02/01/21	13.00	...	-5.26	18 of 20 - Sale ²⁵
	0.581	1.84	02/01/21	3.22	...	-1.38	19 of 20 - Sale ²⁵
	0.366	1.16	02/01/21	2.04	...	-0.88	20 of 20 - Sale ²⁵
02/12/21	232.355	699.95	Various	801.72	...	-101.77	Total of 20 transactions
	19 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	15.120	47.93	02/01/21	80.47	...	-32.54	1 of 19 - Sale ²⁵
	0.920	2.92	02/01/21	4.89	...	-1.97	2 of 19 - Sale ²⁵
	0.639	2.02	02/01/21	3.40	...	-1.38	3 of 19 - Sale ²⁵
	0.307	0.97	02/01/21	1.58	...	-0.61	4 of 19 - Sale ²⁵
	20.280	64.28	02/08/21	108.66	...	-44.38	5 of 19 - Sale ²⁵
	7.800	24.72	02/08/21	42.77	...	-18.05	6 of 19 - Sale ²⁵
	4.000	12.68	02/08/21	21.93	...	-9.25	7 of 19 - Sale ²⁵
	4.000	12.68	02/08/21	21.93	...	-9.25	8 of 19 - Sale ²⁵
	3.197	10.13	02/08/21	17.53	...	-7.40	9 of 19 - Sale ²⁵
	3.367	10.67	02/08/21	18.06	...	-7.39	10 of 19 - Sale ²⁵
	0.496	1.57	02/08/21	2.72	...	-1.15	11 of 19 - Sale ²⁵
	28.256	89.57	02/09/21	152.96	...	-63.39	12 of 19 - Sale ²⁵
	8.515	26.99	02/09/21	45.65	...	-18.66	13 of 19 - Sale ²⁵
	4.956	15.71	02/09/21	21.73	...	-6.02	14 of 19 - Sale ²⁵
	0.283	0.90	02/09/21	1.53	...	-0.63	15 of 19 - Sale ²⁵
	0.219	0.69	02/09/21	1.18	...	-0.49	16 of 19 - Sale ²⁵
	45.636	144.67	02/10/21	197.41	...	-52.74	17 of 19 - Sale ²⁵
	40.181	127.37	02/10/21	175.52	...	-48.15	18 of 19 - Sale ²⁵
	0.768	2.43	02/10/21	3.32	...	-0.89	19 of 19 - Sale ²⁵
02/16/21	188.940	598.90	Various	923.24	...	-324.34	Total of 19 transactions
	Security total:	2,998.71		4,546.25	603.02 W	-944.52	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
Totals :		187,004.80		213,641.54	3,312.00 W	-23,324.74	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANHEUSER-BUSCH INBEV SA/NV / CUSIP: 03524A108 / Symbol:							
<i>3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.055	4.05	05/18/20	2.38	...	1.67	1 of 3 - Sale ²⁵
	0.110	8.04	05/18/20	4.72	...	3.32	2 of 3 - Sale ²⁵
	1.000	73.00	05/18/20	42.90	...	30.10	3 of 3 - Sale ²⁵
06/29/21	1.166	85.09	Various	50.00	...	35.09	Total of 3 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.875	90.77	06/29/20	47.17	...	43.60	Cash in lieu
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
07/16/21	2.000	590.75	03/25/20	197.80	...	392.95	Sale ²⁵
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.010	5.39	05/14/20	3.45	...	1.94	1 of 2 - Sale ²⁵
	0.152	85.20	05/14/20	54.55	...	30.65	2 of 2 - Sale ²⁵
07/16/21	0.162	90.59	Various	58.00	...	32.59	Total of 2 transactions
Totals :		857.20		352.97		504.23	

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Robinhood Securities LLC

Account 713752996

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/28/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000397717
03/31/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000461133
04/30/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000393270
05/31/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000405766
06/30/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000433420
07/31/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432626
08/31/21	0.000	0.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000427223
09/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432880
10/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000414059
11/30/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000434447
12/31/21	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000424936
	Security total:	0.62		
Totals :		0.62		

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Robinhood Securities LLC

Account 713752996

Proceeds Not Reported to the IRS

2021

03/02/2022 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ORGANON & CO. / CUSIP: 68622V106 / Symbol:							
06/10/21	0.392	13.37	02/16/21	14.69	...	-1.32	Gain or loss
ORION OFFICE REIT INC. / CUSIP: 68629Y103 / Symbol:							
11/19/21	0.644	14.07	11/15/21	0.00	...	14.07	Gain or loss
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
06/07/21	0.100	0.33	04/01/21	0.76	...	-0.43	Gain or loss
Totals:		27.77		15.45	...	12.32	

Robinhood Securities LLC

Account 713752996

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		02/01/21	29.85	Qualified dividend	
			05/03/21	29.85	Qualified dividend	
			08/02/21	29.85	Qualified dividend	
			11/01/21	29.85	Qualified dividend	
				119.40	Total Dividends & distributions	
ANHEUSER-BUSCH INBEV SA/NV	03524A108		06/03/21	0.70	Qualified dividend	
			06/03/21	-0.21	Foreign tax withheld-BE	
				0.70	Total Dividends & distributions	
			-0.21	Total Foreign tax withheld		
APPLE INC. COMMON STOCK	037833100		02/11/21	2.47	Qualified dividend	
			05/13/21	2.65	Qualified dividend	
			08/12/21	2.65	Qualified dividend	
			11/11/21	2.65	Qualified dividend	
				10.42	Total Dividends & distributions	
ASTRAZENECA PLC AMERICAN DEPOSITORY SHARES	046353108		03/29/21	1.87	Qualified dividend	
BARRICK GOLD CORP.	067901108		03/15/21	1.43	Nonqualified dividend	
			03/15/21	-0.21	Foreign tax withheld-CA	
			06/15/21	1.54	Nonqualified dividend	
			06/15/21	-0.23	Foreign tax withheld-CA	
			09/15/21	1.54	Nonqualified dividend	
			09/15/21	-0.23	Foreign tax withheld-CA	
			12/15/21	1.54	Nonqualified dividend	

Robinhood Securities LLC

Account 713752996

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BARRICK GOLD CORP. (cont'd)			12/15/21	-0.23	Foreign tax withheld-CA	
				6.05	Total Dividends & distributions	
				-0.90	Total Foreign tax withheld	
CVS HEALTH CORPORATION	126650100		02/01/21	0.67	Qualified dividend	
			05/03/21	2.40	Qualified dividend	
				3.07	Total Dividends & distributions	
CITIGROUP INC.	172967424		02/26/21	5.10	Qualified dividend	
			05/28/21	5.10	Qualified dividend	
			08/27/21	5.10	Qualified dividend	
			11/24/21	4.59	Qualified dividend	
				19.89	Total Dividends & distributions	
COCA-COLA COMPANY	191216100		04/01/21	1.72	Qualified dividend	
			07/01/21	1.72	Qualified dividend	
				3.44	Total Dividends & distributions	
COSTCO WHOLESALE CORPORATION C OMMON STOCK	22160K105		02/19/21	2.10	Qualified dividend	
			05/14/21	2.37	Qualified dividend	
			08/13/21	2.37	Qualified dividend	
			11/12/21	2.37	Qualified dividend	
				9.21	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.47	Qualified dividend	
			04/26/21	0.47	Qualified dividend	
			07/26/21	0.47	Qualified dividend	
				1.41	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.40	Qualified dividend	
GLOBAL MEDICAL REIT INC.	37954A204		04/08/21	3.12	Section 199A dividend	03 C
			04/08/21	1.46	Nondividend distribution	03 C
			04/08/21	0.07	Long-term capital gain	03 C
			04/08/21	0.04	Unrecaptured section 1250 gain	03 C
			07/08/21	2.97	Section 199A dividend	03 C
			07/08/21	1.40	Nondividend distribution	03 C
			07/08/21	0.07	Long-term capital gain	03 C
			07/08/21	0.04	Unrecaptured section 1250 gain	03 C
			10/08/21	3.12	Section 199A dividend	03 C
			10/08/21	1.46	Nondividend distribution	03 C

Robinhood Securities LLC

Account 713752996

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
GLOBAL MEDICAL REIT INC. (cont'd)			10/08/21	0.07	Long-term capital gain	03	C
			10/08/21	0.04	Unrecaptured section 1250 gain	03	C
				13.86	Total Dividends & distributions		
GOLDMAN SACHS GROUP INC.	38141G104		03/30/21	6.25	Qualified dividend		
			06/29/21	6.25	Qualified dividend		
			09/29/21	10.00	Qualified dividend		
			12/30/21	10.00	Qualified dividend		
			32.50	Total Dividends & distributions			
ICICI BANK LIMITED	45104G104		09/03/21	1.73	Nonqualified dividend		
			09/03/21	-0.19	Foreign tax withheld-IN		
				1.73	Total Dividends & distributions		
			-0.19	Total Foreign tax withheld			
INTEL CORPORATION COMMON STOCK	458140100		03/01/21	4.55	Qualified dividend		
			06/01/21	4.55	Qualified dividend		
			09/01/21	4.55	Qualified dividend		
			12/01/21	4.55	Qualified dividend		
			18.20	Total Dividends & distributions			
INVESCO MORTGAGE CAPITAL INC.	46131B100		01/26/21	44.30	Nondividend distribution	03	
			04/27/21	51.05	Nondividend distribution	03	
			07/27/21	7.22	Nondividend distribution	03	
			10/26/21	47.00	Nondividend distribution	03	
			149.57	Total Dividends & distributions			
JOHNSON & JOHNSON	478160104		03/09/21	1.35	Qualified dividend		
			06/08/21	1.42	Qualified dividend		
			2.77	Total Dividends & distributions			
LEVI STRAUSS & CO. CLASS A COM MON STOCK	52736R102		02/26/21	0.18	Qualified dividend		
			05/25/21	0.26	Qualified dividend		
			0.44	Total Dividends & distributions			

Robinhood Securities LLC

Account 713752996

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
LOANDEPOT, INC.	53946R106		05/18/21	3.93	Nondividend distribution	03	C
			05/18/21	1.45	Qualified dividend	03	C
			07/16/21	0.45	Nondividend distribution	03	C
			07/16/21	0.17	Qualified dividend	03	C
			10/18/21	0.39	Nondividend distribution	03	C
			10/18/21	0.15	Qualified dividend	03	C
			6.54	Total Dividends & distributions			
LOCKHEED MARTIN CORP.	539830109		03/26/21	5.56	Qualified dividend		
			06/25/21	5.56	Qualified dividend		
			09/24/21	5.56	Qualified dividend		
			12/27/21	5.99	Qualified dividend		
			22.67	Total Dividends & distributions			
MCKESSON CORPORATION	58155Q103		04/01/21	0.24	Qualified dividend		
			07/01/21	0.24	Qualified dividend		
			0.48	Total Dividends & distributions			
MERCK & CO., INC.	58933Y105		04/07/21	2.54	Qualified dividend		
			07/07/21	2.54	Qualified dividend		
			10/07/21	2.54	Qualified dividend		
			7.62	Total Dividends & distributions			
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	2.24	Qualified dividend		
			06/10/21	2.24	Qualified dividend		
			09/09/21	2.24	Qualified dividend		
			12/09/21	2.48	Qualified dividend		
			9.20	Total Dividends & distributions			
MICRON TECHNOLOGY, INC. COMMON STOCK	595112103		10/18/21	0.10	Qualified dividend		
NATIONAL CINEMEDIA, INC. COMMO N STOCK	635309107		04/05/21	0.59	Nondividend distribution	03	C
			06/07/21	0.59	Nondividend distribution	03	C
			1.18	Total Dividends & distributions			

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NVIDIA CORPORATION COMMON STOC K	67066G104		03/31/21	0.09	Qualified dividend	
			07/01/21	0.09	Qualified dividend	
			09/23/21	0.09	Qualified dividend	
			12/23/21	0.09	Qualified dividend	
			0.36	Total Dividends & distributions		
ORCHID ISLAND CAPITAL, INC.	68571X103		01/27/21	1.31	Nondividend distribution	03
			01/27/21	0.56	Section 199A dividend	03
			02/24/21	1.31	Nondividend distribution	03
			02/24/21	0.56	Section 199A dividend	03
			03/29/21	1.31	Nondividend distribution	03
			03/29/21	0.56	Section 199A dividend	03
			04/28/21	1.31	Nondividend distribution	03
			04/28/21	0.56	Section 199A dividend	03
			05/26/21	1.31	Nondividend distribution	03
			05/26/21	0.56	Section 199A dividend	03
			06/28/21	0.08	Nondividend distribution	03
			06/28/21	0.03	Section 199A dividend	03
			07/28/21	0.08	Nondividend distribution	03
			07/28/21	0.03	Section 199A dividend	03
			10/27/21	0.13	Nondividend distribution	03
			10/27/21	0.05	Section 199A dividend	03
	12/29/21	0.13	Nondividend distribution	03		
	12/29/21	0.05	Section 199A dividend	03		
			9.93	Total Dividends & distributions		
OXFORD LANE CAPITAL CORP. COMM ON STOCK	691543102		01/29/21	10.77	Nondividend distribution	03
			02/26/21	10.77	Nondividend distribution	03
			03/31/21	10.77	Nondividend distribution	03
			04/30/21	10.77	Nonqualified dividend	03
			05/28/21	10.77	Nonqualified dividend	03
			06/30/21	10.50	Nonqualified dividend	03
			07/30/21	10.77	Nonqualified dividend	03
			08/31/21	7.66	Nonqualified dividend	03
			09/30/21	2.87	Nonqualified dividend	03
			10/29/21	8.95	Nonqualified dividend	03

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes	
OXFORD LANE CAPITAL CORP. COMM ON STOCK (cont'd)			11/30/21	7.73	Nonqualified dividend	03	
			12/31/21	5.50	Nonqualified dividend	03	
				107.83	Total Dividends & distributions		
PFIZER INC.	717081103		03/05/21	3.66	Qualified dividend		
			06/04/21	5.97	Qualified dividend		
				9.63	Total Dividends & distributions		
PREFERRED APARTMENT COMMUNITIE S, INC.	74039L103		04/15/21	11.35	Nondividend distribution	03	C
			07/15/21	11.35	Nondividend distribution	03	C
			10/15/21	11.35	Nondividend distribution	03	C
				34.05	Total Dividends & distributions		
QUALCOMM INCORPORATED COMMON S TOCK	747525103		03/25/21	4.55	Qualified dividend		
			06/24/21	4.76	Qualified dividend		
			09/23/21	4.76	Qualified dividend		
			12/16/21	4.76	Qualified dividend		
				18.83	Total Dividends & distributions		
REALTY INCOME CORPORATION	756109104		01/15/21	2.59	Nondividend distribution	03	
			01/15/21	1.19	Section 199A dividend	03	
			01/15/21	0.05	Unrecaptured section 1250 gain	03	
			01/15/21	0.02	Long-term capital gain	03	
			02/16/21	2.59	Nondividend distribution	03	
			02/16/21	1.19	Section 199A dividend	03	
			02/16/21	0.05	Unrecaptured section 1250 gain	03	
			02/16/21	0.02	Long-term capital gain	03	
			03/15/21	2.59	Nondividend distribution	03	
			03/15/21	1.19	Section 199A dividend	03	
			03/15/21	0.05	Unrecaptured section 1250 gain	03	
			03/15/21	0.02	Long-term capital gain	03	
			04/15/21	2.60	Nondividend distribution	03	
			04/15/21	1.19	Section 199A dividend	03	
			04/15/21	0.05	Unrecaptured section 1250 gain	03	
			04/15/21	0.02	Long-term capital gain	03	
			05/14/21	2.60	Nondividend distribution	03	
			05/14/21	1.19	Section 199A dividend	03	
			05/14/21	0.05	Unrecaptured section 1250 gain	03	
05/14/21	0.02	Long-term capital gain	03				
06/15/21	2.60	Nondividend distribution	03				

Robinhood Securities LLC

Account 713752996

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
REALTY INCOME CORPORATION (cont'd)			06/15/21	1.19	Section 199A dividend	03
			06/15/21	0.05	Unrecaptured section 1250 gain	03
			06/15/21	0.02	Long-term capital gain	03
			07/15/21	2.60	Nondividend distribution	03
			07/15/21	1.20	Section 199A dividend	03
			07/15/21	0.05	Unrecaptured section 1250 gain	03
			07/15/21	0.02	Long-term capital gain	03
			08/13/21	2.60	Nondividend distribution	03
			08/13/21	1.20	Section 199A dividend	03
			08/13/21	0.05	Unrecaptured section 1250 gain	03
			08/13/21	0.02	Long-term capital gain	03
			09/15/21	2.60	Nondividend distribution	03
			09/15/21	1.20	Section 199A dividend	03
			09/15/21	0.05	Unrecaptured section 1250 gain	03
			09/15/21	0.02	Long-term capital gain	03
			10/15/21	2.61	Nondividend distribution	03
			10/15/21	1.20	Section 199A dividend	03
			10/15/21	0.05	Unrecaptured section 1250 gain	03
			10/15/21	0.02	Long-term capital gain	03
			11/15/21	2.61	Nondividend distribution	03
			11/15/21	1.20	Section 199A dividend	03
			11/15/21	0.05	Unrecaptured section 1250 gain	03
			11/15/21	0.02	Long-term capital gain	03
			12/15/21	2.72	Nondividend distribution	03
			12/15/21	1.25	Section 199A dividend	03
			12/15/21	0.05	Unrecaptured section 1250 gain	03
			12/15/21	0.02	Long-term capital gain	03
				46.54	Total Dividends & distributions	
SPDR S&P SEMICONDUCTOR ETF	78464A862		06/24/21	0.01	Qualified dividend	
SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED	83404D109		07/09/21	2.48	Nonqualified dividend	
			07/12/21	-0.38	Foreign tax withheld-JA	
			12/23/21	2.41	Nonqualified dividend	
			12/23/21	-0.37	Foreign tax withheld-JA	
				4.89	Total Dividends & distributions	
				-0.75	Total Foreign tax withheld	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
STARBUCKS CORPORATION COMMON S TOCK	855244109		03/05/21	2.25	Qualified dividend	
			05/28/21	2.25	Qualified dividend	
			08/27/21	2.25	Qualified dividend	
			11/26/21	2.45	Qualified dividend	
				9.20	Total Dividends & distributions	
TOYOTA MOTOR CORPORATION AMERI CAN DEPOSITARY SHARES (EACH RE PRESENTING TEN ORDINARY SHARES	892331307		06/07/21	3.33	Nonqualified dividend	
			06/07/21	-0.51	Foreign tax withheld-JA	
				3.33	Total Dividends & distributions	
				-0.51	Total Foreign tax withheld	
UNILEVER PLC	904767704		03/17/21	2.74	Qualified dividend	
			06/10/21	3.23	Qualified dividend	
				5.97	Total Dividends & distributions	
UNITED PARCEL SERVICE, INC. CL ASS B	911312106		03/10/21	0.65	Qualified dividend	
			06/09/21	0.65	Qualified dividend	
				1.30	Total Dividends & distributions	
VANGUARD ENERGY ETF	92204A306		03/31/21	1.02	Qualified dividend	03
			03/31/21	0.06	Nonqualified dividend	03
			06/24/21	0.98	Qualified dividend	03
			06/24/21	0.06	Nonqualified dividend	03
				2.12	Total Dividends & distributions	
WALMART INC.	931142103		01/04/21	1.08	Qualified dividend	
			04/05/21	1.10	Qualified dividend	
			06/01/21	1.10	Qualified dividend	
			09/07/21	1.10	Qualified dividend	
				4.38	Total Dividends & distributions	
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK	931427108		03/12/21	1.83	Qualified dividend	
			06/11/21	1.83	Qualified dividend	
				3.66	Total Dividends & distributions	
YAMANA GOLD, INC.	98462Y100		04/14/21	0.30	Nonqualified dividend	
			04/14/21	-0.05	Foreign tax withheld-CA	
			07/14/21	0.27	Nonqualified dividend	
			07/14/21	-0.05	Foreign tax withheld-CA	
			10/14/21	0.34	Nonqualified dividend	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
YAMANA GOLD, INC. (cont'd)			10/14/21	-0.05	Foreign tax withheld-CA	
				0.91	Total Dividends & distributions	
				-0.15	Total Foreign tax withheld	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105		04/28/21	0.12	Nonqualified dividend	
				705.78	Total Dividends & distributions	
				-2.71	Total Foreign tax withheld	

Robinhood Securities LLC

Account 713752996

Detail for Interest Income

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/26/21	0.05	Interest	
		01/29/21	0.13	Interest	
		02/16/21	0.14	Interest	
		02/26/21	0.08	Interest	
		03/31/21	0.01	Interest	
		04/23/21	0.02	Interest	
		04/30/21	0.05	Interest	
			0.48	Total Interest	
			0.48	Total Interest	

Robinhood Securities LLC

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Detail for Miscellaneous Information

2021

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
CITIGROUP INC.	172967424	11/24/21	0.51	Substitute payment	
GLOBAL MEDICAL REIT INC.	37954A204	07/08/21	0.21	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	07/27/21	43.83	Substitute payment	
		10/26/21	4.05	Substitute payment	
			47.88	Total Miscellaneous income	
LOANDEPOT, INC.	53946R106	07/16/21	0.08	Substitute payment	
		10/18/21	0.16	Substitute payment	
			0.24	Total Miscellaneous income	
ORCHID ISLAND CAPITAL, INC.	68571X103	06/28/21	1.76	Substitute payment	
		07/28/21	1.76	Substitute payment	
		08/27/21	1.87	Substitute payment	
		09/28/21	1.87	Substitute payment	
		10/27/21	1.69	Substitute payment	
		11/26/21	1.87	Substitute payment	
		12/29/21	1.69	Substitute payment	
			12.51	Total Miscellaneous income	
OXFORD LANE CAPITAL CORP. COMM ON STOCK	691543102	06/30/21	0.27	Substitute payment	
		08/31/21	3.11	Substitute payment	
		09/30/21	7.90	Substitute payment	
		10/29/21	1.82	Substitute payment	
		11/30/21	3.04	Substitute payment	
		12/31/21	5.27	Substitute payment	
			21.41	Total Miscellaneous income	
YAMANA GOLD, INC.	98462Y100	07/14/21	0.03	Substitute payment	
			82.79	Total Miscellaneous income	

Robinhood Securities LLC

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Other Receipts and Reconciliations

2021

03/02/2022 AMENDED

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENTERPRISE PRODUCTS PARTNERS L .P.	293792107	05/12/21	0.50	Partnership distribution	
ORCHID ISLAND CAPITAL, INC.	68571X103	01/27/22	0.05	Deferred income payment	03,24 C
PREFERRED APARTMENT COMMUNITIE S, INC.	74039L103	01/14/22	11.35	Deferred income payment	03,24 C
			11.40	Total Deferred income payment	
			0.50	Total Partnership distribution	

Robinhood Securities LLC

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Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/14/21	-5.00	Margin interest paid	
		05/14/21	-4.00	Margin interest paid	
		05/14/21	-5.00	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		06/14/21	-33.47	Margin interest paid	
		07/13/21	-5.00	Margin interest paid	
		07/13/21	-36.87	Margin interest paid	
			-94.34	Total Margin interest paid	
Margin charge		08/12/21	-5.00	Margin interest paid	
		08/12/21	-38.27	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		09/13/21	-39.07	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		10/11/21	-39.87	Margin interest paid	
		11/10/21	-5.00	Margin interest paid	
		11/10/21	-37.61	Margin interest paid	
		12/10/21	-5.00	Margin interest paid	
		12/10/21	-36.76	Margin interest paid	
			-216.58	Total Margin interest paid	
ISHARES SILVER TRUST	46428Q109	02/28/21	-0.06	Gross proceeds investment expense	15
	Note: CL	03/31/21	-0.06	Gross proceeds investment expense	15
		04/30/21	-0.06	Gross proceeds investment expense	15
		05/31/21	-0.06	Gross proceeds investment expense	15
		06/30/21	-0.06	Gross proceeds investment expense	15
		07/31/21	-0.06	Gross proceeds investment expense	15
		08/31/21	-0.06	Gross proceeds investment expense	15
		09/30/21	-0.05	Gross proceeds investment expense	15
		10/31/21	-0.05	Gross proceeds investment expense	15
		11/30/21	-0.05	Gross proceeds investment expense	15
		12/31/21	-0.05	Gross proceeds investment expense	15
			-0.62	Total Gross proceeds investmnt exp	
			-310.92	Total Margin interest paid	
			-0.62	Total Gross proceeds investmnt exp	

Robinhood Securities LLC

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Foreign Income and Taxes Summary

2021

03/02/2022 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105	0.12	0.00	0.00	0.00	0.12	0.00
Total BERMUDA			0.12	0.00	0.00	0.00	0.12	0.00
BE	ANHEUSER-BUSCH INBEV SA/NV	03524A108	0.00	0.70	0.00	0.00	0.70	-0.21
Total BELGIUM			0.00	0.70	0.00	0.00	0.70	-0.21
CA	BARRICK GOLD CORP.	067901108	6.05	0.00	0.00	0.00	6.05	-0.90
CA	YAMANA GOLD, INC.	98462Y100	0.91	0.00	0.00	0.00	0.91	-0.15
Total CANADA			6.96	0.00	0.00	0.00	6.96	-1.05
IN	ICICI BANK LIMITED	45104G104	1.73	0.00	0.00	0.00	1.73	-0.19
Total INDIA			1.73	0.00	0.00	0.00	1.73	-0.19
JA	SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED	83404D109	4.89	0.00	0.00	0.00	4.89	-0.75
JA	TOYOTA MOTOR CORPORATION AMERICAN DEPOSITARY SHARES (EACH REPRESENTING TEN ORDINARY SHARES)	892331307	3.33	0.00	0.00	0.00	3.33	-0.51
Total JAPAN			8.22	0.00	0.00	0.00	8.22	-1.26
UK	ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES	046353108	0.00	1.87	0.00	0.00	1.87	0.00
UK	UNILEVER PLC	904767704	0.00	5.97	0.00	0.00	5.97	0.00
Total UNITED KINGDOM			0.00	7.84	0.00	0.00	7.84	0.00
Grand Total	Total Foreign Source Income*			25.57				
	Qualified Foreign Source Income**			8.54				
	Foreign Tax			-2.71				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 713752996

Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
MARVELL TECHNOLOGY, INC. COMMON STOCK	573874104	04/21/21	1.98	0.00	Merger	
ORION OFFICE REIT INC.	68629Y103	11/15/21	1.00	0.00	Stock spinoff	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105	04/21/21	-1.98	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, debt purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Instructions for Recipient

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

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1099-MISC Instructions for Recipient

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