Robinhood Securities LLC 500 Colonial Center Parkway	Tax Information Account 138410238	Statement Date: 02/11/2022 Document ID: GCUY 61J 52YJ 2021
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Aditya reddy Lingareddy 6450 Stoneridge Mall Rd N 104 Pleasanton, CA 94588	
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-6301	

[] FATCA filing requirement (see instructions)	Summar	y Information		
DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends o	r interest	0.00
2c- Section 1202 gain	0.00	, ,		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2021 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00	9. Drofit or (loss) realized in 2021 on alosed	a antro ata	0.00
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2021 on closed		
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contract		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contract	ts-12/31/2021	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transaction	ana annoar aummarizad ha	low and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this doc		ow and are
9- Cash liquidation distributions	0.00	•		
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furni		
11- Exempt-interest dividends (includes line 12)	0.00	If you are required to file a return, a negligence per		
12- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines	that it has not been repor	ted.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	45,220.15	49,062.11	0.00	1,269.59	-2,572.37
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	45,220.15	49,062.11	0.00	1,269.59	-2,572.37
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	45,220.15	49,062.11	0.00	1,269.59	-2,572.37
Withholding		Amount				
Federal income	tax withheld	0.00				

Robinhood Securities LLC Account 138410238 **Summary Information** 2021 (continued) 02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

02/11/2022

Account 138410238

2021 1099-B* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Torms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of p	roperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINME	ENT HOLDINGS, IN C. / CUS	SIP: 00165C104 / Symbo	l:				
01/29/21	20.000	260.00	01/27/21	300.00		-40.00	Sale ²⁵
AIRBNB, INC. CLAS	S A COMMON ST OCK / CU	SIP: 009066101 / Symbo	ol:				
01/05/21 01/06/21	0.035 0.154	5.00 22.39	12/16/20 12/16/20	5.83 25.75		-0.83 -3.36	Sale ²⁵ Sale ²⁵
	Security total:	27.39		31.58		-4.19	
ALPHA HEALTHCAF	RE ACQUISITION C ORP. CL	ASS A COMMON STO	CK / CUSIP: 020	751103 / Symbol:			
	2 transactions for	r 02/23/21. Total proceed	ds and cost repo	rted to the IRS.			
02/23/21	99.699 48.000 147.699	1,221.28 587.98 1,809.26	02/22/21 02/22/21 Various	1,391.88 670.12 2,062.00	 	-170.60 -82.14 -252.74	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 **(continued)** 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COI	MMON STOCK / CUSIP:	: 023135106 / Symbol:					
02/03/21	0.087	296.39	02/02/21	296.00		0.39	Sale ²⁵
APHRIA INC. COMMON	SHARES / CUSIP: 03765	5K104 / Symbol:					
02/11/21	0.008 3 transactions for	0.17 r 02/11/21. Total proceed	02/10/21 ds and cost repor	0.21 rted to the IRS.		-0.04	Sale ²⁵
	0.030 0.008 13.992	0.62 0.17 284.03	02/10/21 02/10/21 02/10/21	0.77 0.25 355.81	0.04 W 0.08 W 71.78 W	-0.11 0.00 0.00	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
02/11/21	14.030	284.82 r 02/16/21. Total proceed	Various	356.83	71.90 W	-0.11	Total of 3 transactions
	0.005 3.995	0.11 91.89	02/16/21 02/16/21	0.09 76.95	 	0.02 14.94	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
02/16/21		92.00 r 02/16/21. Total proceed	•			14.96	Total of 2 transactions
02/46/24	13.992 0.008 14.000	321.81 0.19 322.00	02/16/21 02/16/21 Various	341.26 0.24	0.23 W 0.05 W	-19.22 0.00	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
02/16/21		322.00 r 02/17/21. Total proceed		341.50 ted to the IRS.	0.28 W	-19.22	Total of 2 transactions
	0.164 0.008	3.31 0.17	02/16/21 02/16/21	3.39 0.21		-0.08 -0.04	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
02/17/21	0.172 Security total:	3.48 702.47	Various	3.60 779.18	 72.18 W	-0.12 -4.53	Total of 2 transactions
APPLE INC. COMMON S	TOCK / CUSIP: 0378331	100 / Symbol:					
	2 transactions for	r 01/07/21. Total proceed	ds and cost repor	ted to the IRS.			
01/07/21	5.893 <i>0.004</i> 5.897	756.59 0.52 757.11	01/06/21 01/06/21 Various	760.48 0.52 761.00	 	-3.89 <i>0.00</i> -3.89	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions

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02/11/2022

Robinhood Securities LLC Account 138410238

Proceeds from Broker and Barter Exchange Transactions (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

2021

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CU	JSIP: 056752108 / Symbol:		•		,	, ,	
	2 transactions for	r 01/14/21. Total proceed	ds and cost repor	rted to the IRS.			
01/14/21	0.000 0.961 0.962	0.09 241.86 241.95	01/11/21 01/11/21 Various	0.09 236.91 237.00	 	0.00 4.95 4.95	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
	COMMON STOCK / CUSIF		various	207.00		4.00	Total of 2 transactions
04/15/21	0.178	24.30	02/03/21	30.00		-5.70	Sale ²⁵
CATALENT, INC. / CU	ISIP: 148806102 / Symbol:						
03/10/21	2.346	246.98	02/11/21	287.00		-40.02	Sale ²⁵
EXP WORLD HOLDIN	IGS, INC. COMMO N STOC	CK / CUSIP: 30212W100	/ Symbol:				
	2 transactions for	r 02/02/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.560 2.000	64.93 231.05	01/29/21 01/29/21	61.00 218.00		3.93 13.05	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
02/02/21	2.560	295.98 r 02/10/21. Total proceed	Various	279.00		16.98	Total of 2 transactions
	0.000 0.436 2.000	0.01 62.53 287.12	02/03/21 02/03/21 02/03/21	0.01 53.11 243.88	 	0.00 9.42 43.24	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
02/10/21	2.436	349.66	Various	297.00		52.66	Total of 3 transactions
META DI ATEODIMO I	Security total:	645.64	4400 / O b. a.l.	576.00	•••	69.64	
·	INC. CLASS A C OMMON S		•	20.00		0.55	Sale ²⁵
02/19/21	0.109	28.55	02/01/21	28.00		0.55	Sale -
FISKER INC. / CUSIP:	•	r 04/26/21 Total process	do and agot range	tad to the IDS			
		r 04/26/21. Total proceed	•			242.54	1 of 1 Colo 25
	24.963 15.000 0.090 0.037	352.71 211.95 1.27	02/26/21 02/26/21 02/26/21 02/26/21	666.25 400.35 2.40 1.00	 	-313.54 -188.40 -1.13 -0.47	1 of 4 - Sale ²⁵ 2 of 4 - Sale ²⁵ 3 of 4 - Sale ²⁵ 4 of 4 - Sale ²⁵
04/26/21	40.090	<i>0.53</i> 566.46	Various	1,070.00		-0.47 -503.54	Total of 4 transactions
		· -		,- 3.00	• • • • • • • • • • • • • • • • • • • •	- -	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of pro	perty/CUSIP/Symbol						
c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMESTOP CORP. C	CLASS A / CUSIP: 36467W	109 / Symbol:					
	4 transactions fo	or 01/25/21. Total proceed	ds and cost repor	rted to the IRS.			
	0.127	17.54	01/25/21	14.44		3.10	1 of 4 - Sale ²⁵
	0.211	27.48	01/25/21	24.08		3.40	2 of 4 - Sale ²⁵
	0.196	27.18	01/25/21	22.41		4.77	3 of 4 - Sale ²⁵
	7.789	1,012.49	01/25/21	889.07		123. <i>4</i> 2	4 of 4 - Sale ²⁵
)1/25/21	8.323	1,084.69	Various	950.00		134.69	Total of 4 transactions
	3 transactions fo	or 01/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.692	79.07	01/26/21	64.20		14.87	1 of 3 - Sale ²⁵
	5.000	500.00	01/26/21	464.00	***	36.00	2 of 3 - Sale ²⁵
	6.000	683.98	01/26/21	556.80		127.18	3 of 3 - Sale ²⁵
)1/26/21	11.692	1,263.05	Various	1,085.00		178.05	Total of 3 transactions
)1/28/21	1.000	400.00	01/27/21	338.56		61.44	Sale ²⁵
	3 transactions fo	or 02/02/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.012	1.52	01/27/21	4.00	2.48 W	0.00	1 of 3 - Sale ²⁵
	0.038	4.76	01/27/21	12.79	8.03 W	0.00	2 of 3 - Sale ²⁵
	0.179	33.95	01/27/21	60.65	26.70 W	0.00	3 of 3 - Sale ²⁵
)2/02/21	0.229	40.23	Various	77.44	37.21 W	0.00	Total of 3 transactions
	2 transactions fo	or 02/25/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.858	118.87	02/25/21	111.06	***	7.81	1 of 2 - Sale ²⁵
	1.771	263.92	02/25/21	229.33		34.59	2 of 2 - Sale 25
)2/25/21	2.629	382.79	Various	340.39	•••	42.40	Total of 2 transactions
	3 transactions fo	or 02/25/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.012	1.76	02/25/21	4.01	2.25 W	0.00	1 of 3 - Sale ²⁵
	0.038	5.63	02/25/21	12.92	7.29 W	0.00	2 of 3 - Sale ²⁵
	0.179	26.69	02/25/21	49.89	23.20 W	0.00	3 of 3 - Sale ²⁵
)2/25/21	0.229	34.08	Various	66.82	32.74 W	0.00	Total of 3 transactions
	3 transactions fo	or 02/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.997	88.64	02/25/21	147.10	···	-58.46	1 of 3 - Sale ²⁵
	0.044	3.94	02/25/21	15.76		-11.82	2 of 3 - Sale 25
	0.003	0.23	02/25/21	0.38		-0.15	3 of 3 - Sale ²⁵
)2/26/21	1.044	92.81	Various	163.24		-70.43	Total of 3 transactions

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Robinhood Securities LLC Account 138410238

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP.	CLASS A / CUSIP: 36467W	109 / Symbol: (cont'd)	-				
	5 transactions fo	or 02/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	10.771	957.30	02/25/21	1.808.49	51.16 W	-800.03	1 of 5 - Sale ²⁵
	0.012	1.05	02/25/21	4.24	3.19 W	0.00	2 of 5 - Sale ²⁵
	0.038	3.36	02/25/21	13.63	10.27 W	0.00	3 of 5 - Sale ²⁵
	0.044	3.95	02/25/21	13.19	9.24 W	0.00	4 of 5 - Sale ²⁵
	0.135	11.98	02/25/21	40.09	28.11 W	0.00	5 of 5 - Sale ²⁵
02/26/21	11.000	977.64	Various	1,879.64	101.97 W	-800.03	Total of 5 transactions
	4 transactions fo	or 04/15/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.647	102.57	03/10/21	239.51		-136.94	1 of 4 - Sale ²⁵
	0.135	21.35	03/10/21	67.33	***	-45.98	2 of 4 - Sale ²⁵
	0.038	5.98	03/10/21	21.26		-15.28	3 of 4 - Sale ²⁵
	0.012	1.87	03/10/21	6.63		<i>-4.7</i> 6	4 of 4 - Sale ²⁵
04/15/21	0.832	131.77	Various	334.73		-202.96	Total of 4 transactions
	Security total:	4,407.06		5,235.82	171.92 W	-656.84	
GENERAL MOTORS	COMPANY / CUSIP: 37045	V100 / Symbol:					
	3 transactions fo	or 02/11/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.007	0.39	02/10/21	0.38		0.01	1 of 3 - Sale ²⁵
	0.030	1.60	02/10/21	1.58		0.02	2 of 3 - Sale ²⁵
	37.993	2,024.96	02/10/21	1,998.04	***	26.92	3 of 3 - Sale ²⁵
02/11/21	38.030	2,026.95	Various	2,000.00		26.95	Total of 3 transactions
NOVIO PHARMACE	UTICALS, INC. C OMMON S	STOCK / CUSIP: 45773H	1201 / Symbol:				
02/03/21	2.248	30.87	02/02/21	40.00	•••	-9.13	Sale ²⁵
MICROSTRATEGY I	NCORPORATED COM MON	N STOCK CLASS A / CU	SIP: 594972408	/ Symbol:			
		or 10/11/21. Total proceed		•			
	1.000	749.99	06/29/21	670.00		79.99	1 of 2 - Sale ²⁵
	2.000	1,499.99	06/29/21	1,340.00		159.99	2 of 2 - Sale ²⁵
10/11/21	3.000	2,249.98	Various	2,010.00		239.98	Total of 2 transactions
10/11/21	3.000	2,243.30	various	2,010.00	•••	253.90	Total of Z transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

lc- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IO INC. AMERICAN	DEPOSITARY S HARES, E	ACH REPRESENTING	ONE C LASS A (ORDINARY SHAR	E / CUSIP: 62914V106 / Sym	bol:	
01/06/21	12.819 3 transactions fo	693.76 r 01/25/21. Total proceed	01/05/21 ds and cost repor	665.00		28.76	Sale ²⁵
	11.898	755.62	01/11/21	771.46	1.32 W	-14.52	1 of 3 - Sale ²⁵
	0.008	0.53	01/11/21	0.54	0.01 W	0.00	2 of 3 - Sale ²⁵
	3.000	190.52	01/11/21	195.00	4.48 W	0.00	3 of 3 - Sale ²⁵
)1/25/21	14.906	946.67	Various	967.00	5.81 W	-14.52	Total of 3 transactions
71720721		r 05/04/21. Total proceed			0.01	11.02	Total of o transactions
	19.803	751.81	03/08/21	760.04	•••	-8.23	1 of 2 - Sale ²⁵
	0.069	2.61	03/08/21	2.64		-0.03	2 of 2 - Sale ²⁵
05/04/21	19.872	754.42	Various	762.68	***	-8.26	Total of 2 transactions
	5 transactions fo	r 05/04/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.008	0.32	02/01/21	0.48	0.16 W	0.00	1 of 5 - Sale ²⁵
	0.035	1.27	02/01/21	2.00	0.73 W	0.00	2 of 5 - Sale ²⁵
	0.992	37.65	02/01/21	56.85	19.20 W	0.00	3 of 5 - Sale ²⁵
	2.965	112.57	02/01/21	170.48	57.91 W	0.00	4 of 5 - Sale ²⁵
	107.829	4,093.67	03/02/21	5,000.00	872.89 W	-33.44	5 of 5 - Sale ²⁵
5/04/21	111.829	4,245.48	Various	5,229.81	950.89 W	-33.44	Total of 5 transactions
	9 transactions fo	r 05/05/21. Total proceed	ls and cost repor	ted to the IRS.			
	6.139	234.01	03/08/21	235.60		-1.59	1 of 9 - Sale ²⁵
	0.045	1.71	03/08/21	1.72		-0.01	2 of 9 - Sale ²⁵
	2.965	113.03	04/15/21	162.67		-49.64	3 of 9 - Sale ²⁵
	3.6 4 2	138.84	04/15/21	159.29		-20. 4 5	4 of 9 - Sale ²⁵
	0.992	37.80	04/15/21	54.24		-16.44	5 of 9 - Sale ²⁵
	0.035	1.33	04/15/21	1.96		-0.63	6 of 9 - Sale ²⁵
	0.008	0.32	04/15/21	0.45		-0.13	7 of 9 - Sale ²⁵
	12.999	495.50	04/26/21	669.76		-174.26	8 of 9 - Sale ²⁵
	0.220	8.40	04/26/21	11.35		-2.95	9 of 9 - Sale ²⁵
5/05/21	27.045	1,030.94	Various	1,297.04		-266.10	Total of 9 transactions
6/04/21	2.000	83.28	06/01/21	98.66		-15.38	Sale 25
	2 transactions fo	r 06/04/21. Total proceed	ls and cost repor	ted to the IRS.			
	84.936	3,537.74	06/01/21	4,189.94	53.35 W	-598.85	1 of 2 - Sale ²⁵
	0.052	2.17	06/01/21	2.57	0.40 W	0.00	2 of 2 - Sale 25
06/04/21	84.989	3,539.91	Various	4,192.51	53.75 W	-598.85	Total of 2 transactions

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02/11/2022

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Robinhood Securities LLC Account 138410238

Proceeds from Broker and Barter Exchange Transactions (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

2021

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	DEPOSITARY S HARES, E	. , , , , ,		ORDINARY SHARE	: / CUSIP: 62914V106 / Sym		
	2 transactions fo	r 11/10/21. Total proceed	ds and cost repor	ted to the IRS.	·	, ,	
	6.948	291.11	06/29/21	397.27	•••	-106.16	1 of 2 - Sale ²⁵
	0.052	2.19	06/29/21	2.98	···	-0.79	2 of 2 - Sale ²⁵
11/10/21	7.000	293.30	Various	400.25		-106.95	Total of 2 transactions
	Security total:	11,587.76		13,612.95	1,010.45 W	-1,014.74	
NOKIA CORPORATIO	N / CUSIP: 654902204 / Sy	vmbol:					
02/01/21	52.798	251.84	01/27/21	351.00		-99.16	Sale ²⁵
PALANTIR TECHNOL	OGIES INC. CLA SS A CO	MMON STOCK / CUSIP:	69608A108 / Sy	mbol:			
	3 transactions fo	r 01/27/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.001	0.04	01/08/21	0.03	···	0.01	1 of 3 - Sale ²⁵
	0.914	34.43	01/08/21	23.84		10.59	2 of 3 - Sale ²⁵
	1.000	37.35	01/08/21	26.10		11.25	3 of 3 - Sale ²⁵
01/27/21	1.915	71.82	Various	49.97		21.85	Total of 3 transactions
PINTEREST, INC. CLA	ASS A COMMON STOCK /	CUSIP: 72352L106 / Syi	mbol:				
	2 transactions fo	r 02/04/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.004	0.30	01/26/21	0.27		0.03	1 of 2 - Sale ²⁵
	18.104	1,391.32	01/26/21	1,262.73		128.59	2 of 2 - Sale ²⁵
02/04/21	18.108	1,391.62	Various	1,263.00		128.62	Total of 2 transactions
	3 transactions fo	r 02/22/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.068	5.85	02/11/21	5.75		0.10	1 of 3 - Sale ²⁵
	0.074	6.31	02/11/21	6.20	•••	0.11	2 of 3 - Sale ²⁵
	23.926	2,049.22	02/11/21	2,013.05	•••	36.17	3 of 3 - Sale ²⁵
02/22/21	24.068	2,061.38	Various	2,025.00	•••	36.38	Total of 3 transactions
	Security total:	3,453.00		3,288.00		165.00	
PLUG POWER, INC. (COMMON STOCK / CUSIP:	72919P202 / Symbol:					
02/25/21	7.916	371.99	02/17/21	450.00		-78.01	Sale ²⁵

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Account 138410238

Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715 02/11/2022 (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

Robinhood Securities LLC

•	operty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	· ,	Additional information
POSHMARK, INC. CL	ASS A COMMON STOCK /	/ CUSIP: 73739W104 / Sy	ymbol:				
	3 transactions fo	or 01/21/21. Total proceed	ds and cost repor	rted to the IRS.			
	5.000	346.35	01/14/21	486.70		-140.35	1 of 3 - Sale ²⁵
	0.990	68.89	01/14/21	96.41		-27.52	2 of 3 - Sale 25
	0.112	7.79	01/14/21	10.89		-3.10	3 of 3 - Sale ²⁵
01/21/21	6.103	423.03	Various	594.00		-170.97	Total of 3 transactions
UANTUMSCAPE CO	ORPORATION / CUSIP: 747	767V109 / Symbol:					
01/07/21	0.005	0.32	01/07/21	0.32		0.00	Sale ²⁵
	3 transactions fo	or 01/07/21. Total proceed	ds and cost repor	rted to the IRS.			
	0.061	4.09	01/07/21	4.17	0.08 W	0.00	1 of 3 - Sale ²⁵
	0.061	4.09	01/07/21	4.26	0.17 W	0.00	2 of 3 - Sale ²⁵
	10.934	734.06	01/07/21	748.33	14.27 W	0.00	3 of 3 - Sale ²⁵
01/07/21	11.056	742.24	Various	756.76	14.52 W	0.00	Total of 3 transactions
	3 transactions fo	or 01/12/21. Total proceed	ds and cost repor	rted to the IRS.			
	10.934	579.50	01/07/21	703.14		-123.64	1 of 3 - Sale ²⁵
	0.061	3.23	01/07/21	4.01		-0.78	2 of 3 - Sale ²⁵
	0.005	0.25	01/07/21	0.29		-0.04	3 of 3 - Sale ²⁵
01/12/21	11.000	582.98	Various	707.44		-124.46	Total of 3 transactions
	3 transactions fo	or 02/17/21. Total proceed	ds and cost repor	rted to the IRS.			
	0.029	1.72	02/17/21	1.64	•••	0.08	1 of 3 - Sale ²⁵
	1.845	113.00	02/17/21	105.84		7.16	2 of 3 - Sale ²⁵
	5.971	358.28	02/17/21	342.52		15.76	3 of 3 - Sale ²⁵
02/17/21	7.845	473.00	Various	450.00		23.00	Total of 3 transactions
	Security total:	1,798.54		1,914.52	14.52 W	-101.46	
RITE AID CORPORA	TION / CUSIP: 767754872 /	Symbol:					
10/28/21	142.000	1,867.27	06/24/21	2,486.15		-618.88	Sale ²⁵
11/10/21	0.791	11.16	06/24/21	13.85	···	-2.69	Sale ²⁵
	Security total:	1,878.43		2,500.00		-621.57	
ROBLOX CORPORA	TION / CUSIP: 771049103 /	Symbol:					
06/28/21	25.000	2,349.98	06/14/21	2,226.75		123.23	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol								
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information		
SYNDAX PHARMACEL	JTICALS, INC. C OMMON	STOCK / CUSIP: 87164	F105 / Symbol:						
	2 transactions fo	or 06/25/21. Total proceed	ds and cost repor	ted to the IRS.					
	0.220	3.99	04/23/21	3.25		0.74	1 of 2 - Sale ²⁵		
	33.000	596.96	04/23/21	486.75		110.21	2 of 2 - Sale ²⁵		
06/25/21	33.220	600.95	Various	490.00	···	110.95	Total of 2 transactions		
TESLA, INC. COMMON	N STOCK / CUSIP: 88160F	R101 / Symbol:							
	2 transactions fo	or 01/06/21. Total proceed	ds and cost repor	ted to the IRS.					
	0.002	1.18	12/22/20	1.00		0.18	1 of 2 - Sale ²⁵		
	0.806	613.32	12/22/20	515.60	•••	97.72	2 of 2 - Sale ²⁵		
01/06/21	0.808	614.50	Various	516.60	•••	97.90	Total of 2 transactions		
	3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.								
	0.001	1.13	01/06/21	1.14	0.01 W	0.00	1 of 3 - Sale ²⁵		
	0.036	27.04	01/06/21	27.19	0.15 W	0.00	2 of 3 - Sale ²⁵		
	0.155	118.31	01/06/21	118.67	0.36 W	0.00	3 of 3 - Sale ²⁵		
01/06/21	0.192	146.48	Various	147.00	0.52 W	0.00	Total of 3 transactions		
	6 transactions fo	or 01/11/21. Total proceed	ls and cost repor	ted to the IRS.					
	0.001	1.25	01/06/21	1.14		0.11	1 of 6 - Sale ²⁵		
	0.002	1.29	01/06/21	1.16		0.13	2 of 6 - Sale ²⁵		
	0.036	29.82	01/06/21	27.09		2.73	3 of 6 - Sale ²⁵		
	0.084	70.78	01/06/21	64.39		6.39	4 of 6 - Sale ²⁵		
	0.194	162.81	01/06/21	148.11		14.70	5 of 6 - Sale ²⁵		
	0.528	443.48	01/06/21	402.73		40.75	6 of 6 - Sale ²⁵		
01/11/21	0.845	709.43	Various	644.62		64.81	Total of 6 transactions		
	3 transactions fo	or 01/14/21. Total proceed	ls and cost repor	ted to the IRS.					
	0.035	29.56	01/12/21	29.03		0.53	1 of 3 - Sale ²⁵		
	0.121	103.44	01/12/21	101.66		1.78	2 of 3 - Sale ²⁵		
	0.539	461.33	01/12/21	451.78		9.55	3 of 3 - Sale ²⁵		
01/14/21	0.695	594.33	Various	582.47	•••	11.86	Total of 3 transactions		
		or 02/16/21. Total proceed							
	0.451	367.45	01/28/21	380.33		-12.88	1 of 2 - Sale ²⁵		
	0.023	18.81	01/28/21	19.47		-0.66	2 of 2 - Sale ²⁵		
02/16/21	0.474	386.26	Various	399.80	•••	-13.54	Total of 2 transactions		
	Security total:	2,451.00		2,290.49	0.52 W	161.03			

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Robinhood Securities LLC Proceeds from Broker and Barter Exchange Transactions

Account 138410238

02/11/2022

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1099-B* OMB No. 1545-0715

2021

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THERAPEUTICSMD, INC	C. COMMON ST OCK / C	CUSIP: 88338N107 / Sym	nbol:				
01/27/21	173.477	279.50	01/14/21	242.00		37.50	Sale ²⁵
TONIX PHARMACEUTIC	CALS HOLDING CORP. (COMMON STOCK / CUS	SIP: 890260706 /	Symbol:			
01/06/21	6.000	4.66	01/05/21	4.85		-0.19	Sale ²⁵
VIRGIN GALACTIC HOL	DINGS, INC. / CUSIP: 92	2766K106 / Symbol:					
	3 transactions fo	r 06/22/21. Total proceed	ds and cost repor	ted to the IRS.			
	0.008 0.294 58.992	0.29 11.18 2.242.49	06/14/21 06/14/21 06/14/21	0.28 10.78 2,162.94	 	0.01 0.40 79.55	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
06/22/21	59.294	2,253.96	Various	2,174.00		79.96	Total of 3 transactions
ATLASSIAN CORPORAT	TION PLC CLAS S A OR	DINARY SHARES / CUS	SIP: G06242104 /	Symbol:			
01/04/21	2.896	664.94	12/30/20	675.00	***	-10.06	Sale ²⁵
IFROG LTD. ORDINARY	SHARES / CUSIP: M61	91J100 / Symbol:					
	3 transactions fo	r 04/15/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.996 0.271 0.004	104.41 14.22 0.21	02/23/21 02/23/21 02/23/21	115.14 15.63 0.23	 	-10.73 -1.41 -0.02	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
04/15/21	2.271	118.84	Various	131.00		-12.16	Total of 3 transactions
ROYAL CARIBBEAN GR	OUP / CUSIP: V7780T1	03 / Symbol:					
06/29/21 Totals :	28.000	2,338.55 45,220.15	06/28/21	2,324.00 49,062.11	 1,269.59 W	14.55 -2,572.37	Sale ²⁵

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Robinhood Securities LLC Fees and Expenses

Account 138410238

2021 02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/11/21	-5.00	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/10/21	-5.00	Margin interest paid	
		04/09/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/08/21	-5.00	Margin interest paid	
		07/08/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
		-	-40.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		-	-45.00	Total Margin interest paid	

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2021 End Notes for Account 138410238

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Snows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and

long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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