

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 746030287</b>	<b>Statement Date: 02/11/2022</b> <b>Document ID: X04W 14B R2DV</b>	<b>2021</b>
	Venkata sai niranjan kumar Nadipineni 417 Cornell Street Ottawa, IL 61350  RECIPIENT'S TIN: XXX-XX-7674		

[ ] FATCA filing requirement (see instructions)		Summary Information			
<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2021 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b>	<b>2021 1099-MISC*</b>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	2.60		2- Royalties	0.00	
1b- Qualified dividends	2.60		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2021 1099-B*</b>	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		8- Profit or (loss) realized in 2021 on closed contracts	0.00	
2f- Section 897 capital gain	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
3- Nondividend distributions	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		11- Aggregate profit or (loss) on contracts	0.00	
5- Section 199A dividends	0.00				
6- Investment expenses	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession:      7- Foreign tax paid:	0.00		<b>* This is important tax information and is being furnished to the Internal Revenue Service.</b>		
9- Cash liquidation distributions	0.00		<b>If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>		
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	65,870.99	69,266.24	0.00	943.24	-2,452.01
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>65,870.99</b>	<b>69,266.24</b>	<b>0.00</b>	<b>943.24</b>	<b>-2,452.01</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>65,870.99</b>	<b>69,266.24</b>	<b>0.00</b>	<b>943.24</b>	<b>-2,452.01</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 746030287

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 03/19/2021 CALL \$23.50 / CUSIP: / Symbol:							
03/15/21	1.000	184.98	03/12/21	79.00	...	105.98	Option sale to close-call <sup>25</sup>
AAPL 03/19/2021 CALL \$121.00 / CUSIP: / Symbol:							
03/15/21	2.000	443.98	03/12/21	360.00	...	83.98	Option sale to close-call <sup>25</sup>
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/14/21	2.000	301.98	04/12/21	282.00	...	19.98	Option sale to close-call <sup>25</sup>
ABNB 03/05/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/02/21	1.000	199.98	03/01/21	219.00	...	-19.02	Option sale to close-call <sup>25</sup>
ACB 04/16/2021 CALL \$12.00 / CUSIP: / Symbol:							
03/22/21	1.000	45.98	03/16/21	113.00	...	-67.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AEP 04/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
03/22/21	2.000	219.98	03/12/21	180.00	...	39.98	Option sale to close-call <sup>25</sup>
AEZS 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/22/21	40.00	...	-40.00	Option expiration- long call
AEZS 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
04/05/21	2.000	9.98	03/22/21	30.00	...	-20.02	Option sale to close-call <sup>25</sup>
AEZS 05/21/2021 CALL \$1.50 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/26/21	10.00	...	-10.00	Option expiration- long call
ASB 04/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/12/21	1.000	10.98	03/26/21	55.00	...	-44.02	Option sale to close-call <sup>25</sup>
AZN 04/23/2021 CALL \$52.00 / CUSIP: / Symbol:							
04/07/21	1.000	7.98	03/29/21	78.00	70.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	1.000	6.99	04/09/21	87.02	...	-80.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.99	04/09/21	17.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/14/21	2.000	13.98	Various	104.02	...	-90.04	Total of 2 transactions
	<b>Security total:</b>	<b>21.96</b>		<b>182.02</b>	<b>70.02 W</b>	<b>-90.04</b>	
BA 03/12/2021 CALL \$232.50 / CUSIP: / Symbol:							
03/09/21	1.000	115.98	03/08/21	325.00	...	-209.02	Option sale to close-call <sup>25</sup>
BA 03/12/2021 CALL \$237.50 / CUSIP: / Symbol:							
	4 transactions for 03/10/21. Total proceeds and cost reported to the IRS.						
	1.000	300.99	03/09/21	281.00	...	19.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	301.00	03/09/21	281.00	...	20.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	300.99	03/09/21	171.00	...	129.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	301.00	03/09/21	171.00	...	130.00	4 of 4 - Option sale to close-call <sup>25</sup>
03/10/21	4.000	1,203.98	Various	904.00	...	299.98	Total of 4 transactions

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Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 03/12/2021 CALL \$242.50 / CUSIP: / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	-57.02	03/10/21	0.00	...	-57.02	1 of 4 - Short sale closed- call <sup>25</sup> Option written: 03/09/21
	1.000	-57.02	03/10/21	0.00	...	-57.02	2 of 4 - Short sale closed- call <sup>25</sup> Option written: 03/09/21
	1.000	6.98	03/10/21	0.00	...	6.98	3 of 4 - Short sale closed- call <sup>25</sup> Option written: 03/09/21
	1.000	6.98	03/10/21	0.00	...	6.98	4 of 4 - Short sale closed- call <sup>25</sup> Option written: 03/09/21
03/11/21	4.000	-100.08	Various	0.00	...	-100.08	Total of 4 transactions
BABA 03/19/2021 PUT \$225.00 / CUSIP: / Symbol:							
03/17/21	1.000	163.98	03/16/21	224.00	...	-60.02	Option sale to close-put <sup>25</sup>
BAC 04/30/2021 CALL \$38.00 / CUSIP: / Symbol:							
04/26/21	1.000	156.98	04/01/21	267.02	...	-110.04	Option sale to close-call <sup>25</sup>
04/26/21	1.000	156.98	03/30/21	220.00	63.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>313.96</b>		<b>487.02</b>	<b>63.02 W</b>	<b>-110.04</b>	
BBIG 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/23/21	2.000	45.99	03/23/21	110.00	...	-64.01	Option sale to close-call <sup>25</sup>
03/23/21	2.000	45.99	03/23/21	100.00	54.01 W	0.00	Option sale to close-call <sup>25</sup>
03/24/21	2.000	155.98	03/23/21	114.01	...	41.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>247.96</b>		<b>324.01</b>	<b>54.01 W</b>	<b>-22.04</b>	
BHC 03/12/2021 CALL \$33.50 / CUSIP: / Symbol:							
03/10/21	2.000	211.98	03/09/21	198.00	...	13.98	Option sale to close-call <sup>25</sup>
BP 04/16/2021 CALL \$28.00 / CUSIP: / Symbol:							
4 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	2.000	1.99	03/05/21	214.00	...	-212.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/05/21	108.00	...	-107.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/05/21	107.00	...	-106.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/15/21	52.00	...	-51.01	4 of 4 - Option sale to close-call <sup>25</sup>
04/12/21	5.000	4.98	Various	481.00	...	-476.02	Total of 4 transactions

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
C 04/23/2021 PUT \$71.00 / CUSIP: / Symbol:							
04/16/21	2.000	55.98	04/15/21	170.00	...	-114.02	Option sale to close-put <sup>25</sup>
CCIV 03/26/2021 CALL \$29.50 / CUSIP: / Symbol:							
03/16/21	1.000	404.98	03/15/21	232.00	...	172.98	Option sale to close-call <sup>25</sup>
CELH 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/12/21	2.000	803.98	03/11/21	778.00	...	25.98	Option sale to close-call <sup>25</sup>
CHWY 04/16/2021 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	03/10/21	202.00	...	97.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	03/10/21	202.00	...	97.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	599.96	Various	404.00	...	195.96	Total of 2 transactions
CLBS 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/11/21	2.000	29.98	03/03/21	90.00	...	-60.02	Option sale to close-call <sup>25</sup>
CLVS 04/30/2021 CALL \$7.00 / CUSIP: / Symbol:							
04/06/21	1.000	58.98	03/30/21	69.00	...	-10.02	Option sale to close-call <sup>25</sup>
CNP 04/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
03/16/21	2.000	185.98	03/12/21	170.00	...	15.98	Option sale to close-call <sup>25</sup>
CRM 03/12/2021 CALL \$217.50 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	146.99	03/09/21	135.00	...	11.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	146.99	03/09/21	135.00	...	11.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/21	2.000	293.98	Various	270.00	...	23.98	Total of 2 transactions
CSCO 04/23/2021 CALL \$47.00 / CUSIP: / Symbol:							
03/11/21	1.000	242.98	03/05/21	123.00	...	119.98	Option sale to close-call <sup>25</sup>
CSX 04/16/2021 CALL \$95.00 / CUSIP: / Symbol:							
04/07/21	1.000	229.98	03/22/21	203.00	...	26.98	Option sale to close-call <sup>25</sup>
CVX 04/30/2021 CALL \$106.00 / CUSIP: / Symbol:							
04/12/21	2.000	239.98	04/09/21	296.00	...	-56.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
D 04/16/2021 CALL \$77.50 / CUSIP: / Symbol:							
03/29/21	2.000	149.98	03/09/21	144.00	...	5.98	Option sale to close-call <sup>25</sup>
DHR 04/16/2021 CALL \$230.00 / CUSIP: / Symbol:							
03/23/21	1.000	229.98	03/11/21	294.00	...	-64.02	Option sale to close-call <sup>25</sup>
DISH 04/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/06/21	1.000	20.98	03/16/21	199.00	...	-178.02	Option sale to close-call <sup>25</sup>
DKNG 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
03/10/21	2.000	51.98	03/03/21	114.00	...	-62.02	Option sale to close-call <sup>25</sup>
DPW 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/11/21	1.000	79.98	03/08/21	65.00	...	14.98	Option sale to close-call <sup>25</sup>
EBIX 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/08/21	25.00	...	-25.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/13/21	15.00	...	-15.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
EXPR 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/12/21	2.000	269.98	03/10/21	350.00	...	-80.02	Option sale to close-call <sup>25</sup>
F 04/23/2021 CALL \$13.00 / CUSIP: / Symbol:							
04/23/21	3.000	0.00	03/10/21	270.00	...	-270.00	Option expiration- long call
F 05/14/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	04/20/21	34.00	...	-18.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.99	04/22/21	47.00	...	-31.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/30/21	2.000	31.98	Various	81.00	...	-49.02	Total of 2 transactions

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 03/12/2021 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	270.98	03/08/21	94.00	...	176.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	443.98	03/08/21	146.00	...	297.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	3.000	714.96	Various	240.00	...	474.96	Total of 2 transactions
FINV 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/12/21	1.000	14.98	03/11/21	90.00	...	-75.02	Option sale to close-call <sup>25</sup>
FIZZ 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/15/21	1.000	169.98	04/12/21	170.00	...	-0.02	Option sale to close-call <sup>25</sup>
FSLY 04/01/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/16/21	1.000	224.98	03/11/21	335.00	...	-110.02	Option sale to close-call <sup>25</sup>
FTI 04/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
04/01/21	1.000	19.98	03/16/21	79.00	...	-59.02	Option sale to close-call <sup>25</sup>
FUBO 03/26/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/16/21	1.000	240.98	03/15/21	198.00	...	42.98	Option sale to close-call <sup>25</sup>
GBT 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	10.99	03/22/21	100.00	...	-89.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	10.99	03/24/21	40.00	...	-29.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/05/21	2.000	21.98	Various	140.00	...	-118.02	Total of 2 transactions
GBT 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/16/21	1.000	44.98	04/14/21	65.00	...	-20.02	Option sale to close-call <sup>25</sup>
GE 03/05/2021 CALL \$14.50 / CUSIP: / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	03/04/21	20.00	...	-20.00	1 of 3 - Option expiration- long call
	4.000	0.00	03/04/21	20.00	...	-20.00	2 of 3 - Option expiration- long call
	2.000	0.00	03/04/21	10.00	...	-10.00	3 of 3 - Option expiration- long call
03/05/21	10.000	0.00	Various	50.00	...	-50.00	Total of 3 transactions

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GE 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
03/16/21	2.000	55.98	03/15/21	70.00	...	-14.02	Option sale to close-call <sup>25</sup>
GE 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	2.000	85.99	03/09/21	214.00	...	-128.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	85.99	03/10/21	130.00	...	-44.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	4.000	171.98	Various	344.00	...	-172.02	Total of 2 transactions
GOLD 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	8.98	03/02/21	22.00	...	-13.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	03/02/21	22.00	...	-12.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	2.000	18.96	Various	44.00	...	-25.04	Total of 2 transactions
GSK 04/23/2021 CALL \$38.00 / CUSIP: / Symbol:							
04/16/21	1.000	29.98	04/15/21	60.00	...	-30.02	Option sale to close-call <sup>25</sup>
HAS 04/16/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	1.000	34.99	03/11/21	60.00	...	-25.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	34.99	03/18/21	40.00	...	-5.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/22/21	2.000	69.98	Various	100.00	...	-30.02	Total of 2 transactions
HEXO 04/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
04/01/21	1.000	30.98	03/30/21	38.00	...	-7.02	Option sale to close-call <sup>25</sup>
HPE 04/30/2021 CALL \$16.00 / CUSIP: / Symbol:							
04/06/21	1.000	39.98	04/05/21	50.00	...	-10.02	Option sale to close-call <sup>25</sup>
HYLN 03/26/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	48.99	03/01/21	243.00	...	-194.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.99	03/04/21	77.00	...	-28.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	97.98	Various	320.00	...	-222.02	Total of 2 transactions

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HYLN 04/16/2021 CALL \$12.00 / CUSIP: / Symbol:							
04/07/21	1.000	89.98	04/07/21	115.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.99	04/08/21	55.02	...	-54.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	04/08/21	30.00	...	-29.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/14/21	2.000	1.98	Various	85.02	...	-83.04	Total of 2 transactions
	<b>Security total:</b>	<b>91.96</b>		<b>200.02</b>	<b>25.02 W</b>	<b>-83.04</b>	
IBRX 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/12/21	1.000	22.98	03/11/21	90.00	...	-67.02	Option sale to close-call <sup>25</sup>
IMH 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
	<i>4 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	11.00	03/02/21	65.00	...	-54.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	10.99	03/04/21	15.00	...	-4.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	10.99	03/04/21	14.00	...	-3.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.00	03/04/21	14.00	...	-3.00	4 of 4 - Option sale to close-call <sup>25</sup>
03/10/21	4.000	43.98	Various	108.00	...	-64.02	Total of 4 transactions
JD 04/23/2021 CALL \$90.00 / CUSIP: / Symbol:							
03/16/21	1.000	299.98	03/15/21	290.00	...	9.98	Option sale to close-call <sup>25</sup>
JETS 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
04/30/21	1.000	21.98	03/15/21	218.00	...	-196.02	Option sale to close-call <sup>25</sup>
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/17/21	1.000	122.98	03/09/21	135.00	...	-12.02	Option sale to close-call <sup>25</sup>
LCI 04/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	169.99	03/10/21	170.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	169.99	03/10/21	170.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	4.000	339.98	Various	340.00	...	-0.02	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LEVI 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	32.99	03/09/21	94.00	...	-61.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	33.00	03/09/21	94.00	...	-61.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	32.99	03/09/21	72.00	...	-39.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/11/21	3.000	98.98	Various	260.00	...	-161.02	Total of 3 transactions
M 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
03/16/21	1.000	90.98	03/15/21	74.00	...	16.98	Option sale to close-call <sup>25</sup>
MGY 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/09/21	1.000	64.98	04/07/21	90.00	...	-25.02	Option sale to close-call <sup>25</sup>
MMM 04/23/2021 CALL \$187.50 / CUSIP: / Symbol:							
03/09/21	1.000	379.98	03/05/21	290.00	...	89.98	Option sale to close-call <sup>25</sup>
MRK 04/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/23/21	1.000	37.98	03/17/21	83.00	...	-45.02	Option sale to close-call <sup>25</sup>
MSFT 04/30/2021 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	169.99	04/12/21	197.00	...	-27.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.99	04/12/21	197.00	...	-27.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/21	2.000	339.98	Various	394.00	...	-54.02	Total of 2 transactions
NIO 03/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	2.000	111.99	03/10/21	250.00	...	-138.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	55.99	03/10/21	93.00	...	-37.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	56.00	03/10/21	93.00	...	-37.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/11/21	4.000	223.98	Various	436.00	...	-212.02	Total of 3 transactions
NIO 03/12/2021 PUT \$40.00 / CUSIP: / Symbol:							
03/11/21	2.000	61.98	03/10/21	160.00	...	-98.02	Option sale to close-put <sup>25</sup>
NIO 03/26/2021 PUT \$40.00 / CUSIP: / Symbol:							
03/23/21	1.000	58.98	03/23/21	64.00	...	-5.02	Option sale to close-put <sup>25</sup>

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Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NLOK 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
04/07/21	1.000	149.98	04/05/21	159.00	...	-9.02	Option sale to close-call <sup>25</sup>
NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
<i>4 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	17.000	203.96	03/11/21	289.00	...	-85.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.98	03/11/21	17.00	...	-5.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.98	03/11/21	17.00	...	-5.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	13.98	03/11/21	17.00	...	-3.02	4 of 4 - Option sale to close-call <sup>25</sup>
03/12/21	20.000	241.90	Various	340.00	...	-98.10	Total of 4 transactions
NOK 03/26/2021 CALL \$5.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	35.98	03/15/21	42.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	11.98	03/15/21	16.00	...	-4.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/16/21	4.000	47.96	Various	58.00	...	-10.04	Total of 2 transactions
03/16/21	1.000	12.00	03/15/21	14.00	2.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>59.96</b>		<b>72.00</b>	<b>2.00 W</b>	<b>-10.04</b>	
NVDA 03/19/2021 CALL \$537.50 / CUSIP: / Symbol:							
03/16/21	1.000	819.98	03/16/21	775.00	...	44.98	Option sale to close-call <sup>25</sup>
ON 04/16/2021 CALL \$43.00 / CUSIP: / Symbol:							
03/23/21	1.000	44.98	03/16/21	185.00	...	-140.02	Option sale to close-call <sup>25</sup>
ORCL 04/16/2021 CALL \$72.50 / CUSIP: / Symbol:							
<i>3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	73.98	03/12/21	66.00	...	7.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	73.98	03/12/21	66.00	...	7.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	147.98	03/12/21	132.00	...	15.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/29/21	4.000	295.94	Various	264.00	...	31.94	Total of 3 transactions
OVV 05/21/2021 CALL \$27.00 / CUSIP: / Symbol:							
04/09/21	1.000	100.98	04/06/21	130.00	...	-29.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PDD 03/26/2021 CALL \$162.50 / CUSIP: / Symbol:							
03/10/21	1.000	929.98	03/08/21	565.00	...	364.98	Option sale to close-call <sup>25</sup>
PFE 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	26.98	04/09/21	23.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	26.99	04/12/21	29.00	...	-2.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	26.99	04/12/21	25.00	...	1.99	3 of 3 - Option sale to close-call <sup>25</sup>
04/14/21	3.000	80.96	Various	77.00	...	3.96	Total of 3 transactions
PINS 04/09/2021 CALL \$78.00 / CUSIP: / Symbol:							
03/16/21	1.000	304.98	03/12/21	259.00	...	45.98	Option sale to close-call <sup>25</sup>
PLTR 03/12/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	185.99	03/05/21	120.00	...	65.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	371.99	03/05/21	264.00	...	107.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	3.000	557.98	Various	384.00	...	173.98	Total of 2 transactions
PLTR 03/12/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	119.99	03/05/21	122.00	...	-2.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	03/05/21	107.00	...	12.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	2.000	239.98	Various	229.00	...	10.98	Total of 2 transactions
PLTR 04/09/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	04/05/21	26.00	...	-21.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	04/06/21	16.00	...	-11.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/07/21	2.000	9.98	Various	42.00	...	-32.02	Total of 2 transactions
PLUG 04/16/2021 CALL \$31.00 / CUSIP: / Symbol:							
04/15/21	1.000	2.98	04/14/21	50.00	...	-47.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PPD 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/15/21	20.00	...	-20.00	1 of 3 - Option expiration- long call
	2.000	0.00	04/27/21	20.00	...	-20.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/27/21	5.00	...	-5.00	3 of 3 - Option expiration- long call
05/21/21	4.000	0.00	Various	45.00	...	-45.00	Total of 3 transactions
QCOM 03/19/2021 CALL \$136.00 / CUSIP: / Symbol:							
03/17/21	1.000	29.98	03/16/21	106.00	...	-76.02	Option sale to close-call <sup>25</sup>
QCOM 04/01/2021 CALL \$139.00 / CUSIP: / Symbol:							
03/23/21	1.000	42.98	03/16/21	216.00	...	-173.02	Option sale to close-call <sup>25</sup>
RF 05/21/2021 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	44.99	04/09/21	62.00	...	-17.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	44.99	04/09/21	62.00	...	-17.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/16/21	2.000	89.98	Various	124.00	...	-34.02	Total of 2 transactions
RIG 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/11/21	2.000	93.98	03/10/21	94.00	...	-0.02	Option sale to close-call <sup>25</sup>
RKT 03/19/2021 CALL \$28.89 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	140.98	03/10/21	305.00	...	-164.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	140.98	03/10/21	305.00	...	-164.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	281.96	Various	610.00	...	-328.04	Total of 2 transactions
RKT 04/23/2021 CALL \$30.39 / CUSIP: / Symbol:							
03/11/21	1.000	329.98	03/10/21	330.00	...	-0.02	Option sale to close-call <sup>25</sup>
RTX 04/16/2021 CALL \$76.00 / CUSIP: / Symbol:							
04/06/21	1.000	190.98	03/23/21	180.00	...	10.98	Option sale to close-call <sup>25</sup>
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	1.000	242.99	04/06/21	194.00	...	48.99	1 of 2 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RTX 04/16/2021 CALL \$76.00 / CUSIP: / Symbol: (cont'd)							
	1.000	242.99	04/08/21	140.00	...	102.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/12/21	2.000	485.98	Various	334.00	...	151.98	Total of 2 transactions
	<b>Security total:</b>	<b>676.96</b>		<b>514.00</b>	...	<b>162.96</b>	
RUN 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	03/08/21	135.00	...	164.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	409.98	03/08/21	135.00	...	274.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/21	2.000	709.96	Various	270.00	...	439.96	Total of 2 transactions
SBUX 04/01/2021 CALL \$115.00 / CUSIP: / Symbol:							
04/01/21	2.000	3.98	03/16/21	192.00	...	-188.02	Option sale to close-call <sup>25</sup>
SCHN 04/16/2021 CALL \$48.00 / CUSIP: / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	50.99	03/29/21	109.00	...	-58.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	50.99	03/29/21	85.00	...	-34.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/01/21	2.000	101.98	Various	194.00	...	-92.02	Total of 2 transactions
SCHN 05/21/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	94.98	04/14/21	105.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	94.98	04/14/21	105.00	...	-10.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/15/21	2.000	189.96	Various	210.00	...	-20.04	Total of 2 transactions
SKT 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
03/04/21	1.000	369.98	03/03/21	171.00	...	198.98	Option sale to close-call <sup>25</sup>
SLB 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/30/21	2.000	13.98	03/10/21	154.00	...	-140.02	Option sale to close-call <sup>25</sup>
SOLO 05/21/2021 CALL \$7.00 / CUSIP: / Symbol:							
04/05/21	1.000	22.98	04/01/21	39.00	...	-16.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPG 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
04/01/21	1.000	213.98	03/23/21	225.00	...	-11.02	Option sale to close-call <sup>25</sup>
SPY 03/05/2021 PUT \$385.00 / CUSIP: / Symbol:							
03/02/21	1.000	169.98	03/02/21	230.00	...	-60.02	Option sale to close-put <sup>25</sup>
SPY 03/12/2021 PUT \$393.00 / CUSIP: / Symbol:							
03/11/21	2.000	209.98	03/11/21	246.00	...	-36.02	Option sale to close-put <sup>25</sup>
SPY 04/14/2021 CALL \$412.00 / CUSIP: / Symbol:							
04/12/21	2.000	297.98	04/08/21	160.00	...	137.98	Option sale to close-call <sup>25</sup>
SPY 04/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
04/28/21	2.000	37.98	04/26/21	140.00	...	-102.02	Option sale to close-call <sup>25</sup>
SPY 04/30/2021 CALL \$420.00 / CUSIP: / Symbol:							
04/30/21	1.000	18.98	04/29/21	56.00	...	-37.02	Option sale to close-call <sup>25</sup>
STX 04/16/2021 CALL \$74.00 / CUSIP: / Symbol:							
04/09/21	1.000	614.98	03/24/21	156.00	...	458.98	Option sale to close-call <sup>25</sup>
SUMO 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/12/21	1.000	129.98	03/11/21	178.00	...	-48.02	Option sale to close-call <sup>25</sup>
SYPR 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/17/21	105.00	...	-105.00	Option expiration- long call
T 01/21/2022 CALL \$33.00 / CUSIP: / Symbol:							
03/30/21	1.000	92.98	03/08/21	121.00	28.02 W	0.00	Option sale to close-call <sup>25</sup>
04/23/21	1.000	100.99	04/08/21	82.00	...	18.99	Option sale to close-call <sup>25</sup>
04/23/21	1.000	100.99	03/08/21	149.02	48.03 W	0.00	Option sale to close-call <sup>25</sup>
04/29/21	1.000	96.98	04/23/21	149.03	52.05 W	0.00	Option sale to close-call <sup>25</sup>
04/30/21	1.000	90.98	04/23/21	153.05	...	-62.07	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>482.92</b>		<b>654.10</b>	<b>128.10 W</b>	<b>-43.08</b>	

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TECK 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	7.98	03/16/21	66.00	...	-58.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.98	03/16/21	66.00	...	-58.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/23/21	2.000	15.96	Various	132.00	...	-116.04	Total of 2 transactions
TGH 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	12.98	03/29/21	45.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	12.98	03/29/21	45.00	...	-32.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/06/21	2.000	25.96	Various	90.00	...	-64.04	Total of 2 transactions
TGH 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	2.000	141.98	04/14/21	170.00	...	-28.02	Option sale to close-call <sup>25</sup>
TQQQ 03/05/2021 CALL \$95.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.98	03/03/21	57.00	...	-56.02	Option sale to close-call <sup>25</sup>
TQQQ 04/01/2021 CALL \$90.00 / CUSIP: / Symbol:							
03/30/21	1.000	57.98	03/30/21	91.00	...	-33.02	Option sale to close-call <sup>25</sup>
TQQQ 04/09/2021 CALL \$100.00 / CUSIP: / Symbol:							
04/08/21	1.000	524.98	04/01/21	113.00	...	411.98	Option sale to close-call <sup>25</sup>
TQQQ 04/16/2021 CALL \$110.00 / CUSIP: / Symbol:							
04/13/21	1.000	179.98	04/12/21	113.00	...	66.98	Option sale to close-call <sup>25</sup>
04/14/21	1.000	103.98	04/13/21	192.00	...	-88.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>283.96</b>		<b>305.00</b>	...	<b>-21.04</b>	
TQQQ 04/23/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	57.98	04/20/21	91.00	...	-33.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	57.98	04/20/21	91.00	...	-33.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/22/21	2.000	115.96	Various	182.00	...	-66.04	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 03/12/2021 PUT \$500.00 / CUSIP: / Symbol: 03/05/21	1.000	1,549.98	03/05/21	1,065.00	...	484.98	Option sale to close-put <sup>25</sup>
TSLA 03/12/2021 PUT \$650.00 / CUSIP: / Symbol: 03/11/21	1.000	28.98	03/10/21	0.00	...	28.98	Short sale closed- put <sup>25</sup> Option written: 03/10/21
TSLA 03/12/2021 PUT \$700.00 / CUSIP: / Symbol: 03/10/21	1.000	3,379.97	03/10/21	3,409.00	...	-29.03	Option sale to close-put <sup>25</sup>
TTWO 04/16/2021 CALL \$195.00 / CUSIP: / Symbol: 04/01/21	1.000	40.98	03/16/21	125.00	...	-84.02	Option sale to close-call <sup>25</sup>
UA 03/26/2021 CALL \$20.00 / CUSIP: / Symbol: 03/17/21	1.000	24.98	03/15/21	66.00	...	-41.02	Option sale to close-call <sup>25</sup>
UBER 04/09/2021 CALL \$62.50 / CUSIP: / Symbol: 03/23/21	1.000	16.98	03/12/21	240.00	...	-223.02	Option sale to close-call <sup>25</sup>
UL 04/16/2021 CALL \$57.50 / CUSIP: / Symbol: 04/05/21	2.000	41.98	03/15/21	100.00	...	-58.02	Option sale to close-call <sup>25</sup>
UNM 05/21/2021 CALL \$30.00 / CUSIP: / Symbol: 04/14/21	2.000	169.98	04/09/21	130.00	...	39.98	Option sale to close-call <sup>25</sup>
UPS 03/19/2021 CALL \$170.00 / CUSIP: / Symbol: 03/11/21	2.000	371.98	03/08/21	332.00	...	39.98	Option sale to close-call <sup>25</sup>
UVXY 04/01/2021 CALL \$6.00 / CUSIP: / Symbol: 03/29/21	1.000	51.98	03/26/21	45.00	...	6.98	Option sale to close-call <sup>25</sup>
V 04/16/2021 CALL \$240.00 / CUSIP: / Symbol: 03/23/21	1.000	15.98	03/16/21	116.00	...	-100.02	Option sale to close-call <sup>25</sup>
V 05/07/2021 CALL \$240.00 / CUSIP: / Symbol: 04/30/21	1.000	69.98	04/28/21	131.00	...	-61.02	Option sale to close-call <sup>25</sup>

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Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VIVO 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	03/16/21	200.00	...	-180.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.99	04/08/21	140.00	...	-120.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/14/21	2.000	39.98	Various	340.00	...	-300.02	Total of 2 transactions
VSH 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
04/07/21	1.000	29.98	04/06/21	30.00	...	-0.02	Option sale to close-call <sup>25</sup>
WKHS 04/01/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	03/26/21	31.00	...	-26.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/26/21	31.00	...	-26.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/01/21	2.000	9.96	Various	62.00	...	-52.04	Total of 2 transactions
WKHS 04/23/2021 CALL \$13.00 / CUSIP: / Symbol:							
04/23/21	1.000	1.98	04/22/21	29.00	...	-27.02	Option sale to close-call <sup>25</sup>
WLL 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/05/21	1.000	124.98	03/22/21	226.00	...	-101.02	Option sale to close-call <sup>25</sup>
WW 03/12/2021 CALL \$34.00 / CUSIP: / Symbol:							
03/03/21	1.000	184.98	03/02/21	95.00	...	89.98	Option sale to close-call <sup>25</sup>
XEL 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
04/14/21	1.000	299.98	03/23/21	145.00	...	154.98	Option sale to close-call <sup>25</sup>
XEL 05/21/2021 CALL \$70.00 / CUSIP: / Symbol:							
04/20/21	1.000	244.98	04/14/21	107.00	...	137.98	Option sale to close-call <sup>25</sup>
04/26/21	1.000	164.98	04/14/21	106.00	...	58.98	Option sale to close-call <sup>25</sup>
04/28/21	1.000	109.98	04/16/21	200.00	...	-90.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>519.94</b>		<b>413.00</b>	...	<b>106.94</b>	

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Robinhood Securities LLC

Account 746030287

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XL 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/07/21	115.00	...	-115.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/07/21	60.00	...	-60.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	175.00	...	-175.00	Total of 2 transactions
XLF 03/19/2021 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	52.99	03/04/21	62.02	...	-9.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	52.99	03/04/21	51.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	2.000	105.98	Various	113.02	...	-7.04	Total of 2 transactions
03/04/21	1.000	51.98	03/04/21	57.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>157.96</b>		<b>170.02</b>	<b>5.02 W</b>	<b>-7.04</b>	
XOM 03/05/2021 CALL \$58.00 / CUSIP: / Symbol:							
03/03/21	1.000	25.98	03/02/21	72.04	...	-46.06	Option sale to close-call <sup>25</sup>
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	25.98	03/01/21	58.00	32.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	25.98	03/02/21	65.02	39.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	2.000	51.96	Various	123.02	71.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>77.94</b>		<b>195.06</b>	<b>71.06 W</b>	<b>-46.06</b>	
XOM 03/12/2021 CALL \$61.00 / CUSIP: / Symbol:							
03/08/21	1.000	90.98	03/04/21	83.00	...	7.98	Option sale to close-call <sup>25</sup>
XOM 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/05/21	1.000	339.98	03/03/21	165.00	...	174.98	Option sale to close-call <sup>25</sup>
XPEV 04/09/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/11/21	1.000	156.98	03/10/21	103.00	...	53.98	Option sale to close-call <sup>25</sup>
YCBD 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/12/21	2.000	105.98	03/11/21	120.00	...	-14.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
02/02/21	10.000	65.62	01/27/21	184.99	119.37 W	0.00	Sale <sup>25</sup>
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	38.000	381.90	02/25/21	395.91	...	-14.01	1 of 2 - Sale <sup>25</sup>
	0.001	0.01	02/25/21	0.03	...	-0.02	2 of 2 - Sale <sup>25</sup>
02/25/21	38.001	381.91	Various	395.94	...	-14.03	Total of 2 transactions
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	8.644	86.88	02/25/21	193.24	20.25 W	-86.11	1 of 2 - Sale <sup>25</sup>
	1.356	13.63	02/25/21	30.21	16.58 W	0.00	2 of 2 - Sale <sup>25</sup>
02/25/21	10.000	100.51	Various	223.45	36.83 W	-86.11	Total of 2 transactions
	2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	1.645	16.70	03/19/21	43.29	...	-26.59	1 of 2 - Sale <sup>25</sup>
	1.355	13.75	03/19/21	35.52	...	-21.77	2 of 2 - Sale <sup>25</sup>
04/30/21	3.000	30.45	Various	78.81	...	-48.36	Total of 2 transactions
	<b>Security total:</b>	<b>578.49</b>		<b>883.19</b>	<b>156.20 W</b>	<b>-148.50</b>	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
	2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	6.000	173.73	01/26/21	176.94	...	-3.21	1 of 2 - Sale <sup>25</sup>
	0.782	22.67	01/26/21	23.06	...	-0.39	2 of 2 - Sale <sup>25</sup>
02/05/21	6.782	196.40	Various	200.00	...	-3.60	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
04/30/21	0.515	42.89	04/29/21	43.00	...	-0.11	Sale <sup>25</sup>
AETERNA ZENTARIS INC. COMMON STOCK / CUSIP: 007975402 / Symbol:							
04/27/21	200.000	203.98	04/14/21	196.80	...	7.18	Sale <sup>25</sup>
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
01/11/21	1.000	3.21	01/04/21	3.20	...	0.01	Sale <sup>25</sup>
02/16/21	1.000	4.72	02/09/21	5.25	...	-0.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7.93</b>		<b>8.45</b>	<b>...</b>	<b>-0.52</b>	

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	136.54	01/25/21	138.50	1.96 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	273.07	01/27/21	283.16	10.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	409.61	01/28/21	418.56	8.95 W	0.00	3 of 3 - Sale <sup>25</sup>
02/05/21	6.000	819.22	Various	840.22	21.00 W	0.00	Total of 3 transactions
02/16/21	0.691	93.26	02/10/21	95.67	...	-2.41	Sale <sup>25</sup>
<i>3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	269.94	02/10/21	281.02	2.98 W	-8.10	1 of 3 - Sale <sup>25</sup>
	0.309	41.71	02/10/21	42.47	0.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.691	93.26	02/10/21	94.95	1.69 W	0.00	3 of 3 - Sale <sup>25</sup>
02/16/21	3.000	404.91	Various	418.44	5.43 W	-8.10	Total of 3 transactions
<i>4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	2.309	293.25	02/19/21	307.04	...	-13.79	1 of 4 - Sale <sup>25</sup>
	0.537	68.25	02/19/21	72.84	...	-4.59	2 of 4 - Sale <sup>25</sup>
	0.691	87.76	02/19/21	91.51	...	-3.75	3 of 4 - Sale <sup>25</sup>
	0.309	39.24	02/19/21	40.93	...	-1.69	4 of 4 - Sale <sup>25</sup>
02/22/21	3.846	488.50	Various	512.32	...	-23.82	Total of 4 transactions
	<b>Security total:</b>	<b>1,805.89</b>		<b>1,866.65</b>	<b>26.43 W</b>	<b>-34.33</b>	
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
02/05/21	4.000	199.76	01/21/21	209.64	...	-9.88	Sale <sup>25</sup>
ATLANTIC AMERICAN CORPORATION COMMON STOCK / CUSIP: 048209100 / Symbol:							
<i>2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	37.54	03/23/21	50.12	...	-12.58	1 of 2 - Sale <sup>25</sup>
	1.000	4.17	03/23/21	5.56	...	-1.39	2 of 2 - Sale <sup>25</sup>
04/30/21	10.000	41.71	Various	55.68	...	-13.97	Total of 2 transactions
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
<i>2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	34.000	135.34	03/01/21	147.39	...	-12.05	1 of 2 - Sale <sup>25</sup>
	0.602	2.40	03/01/21	2.61	...	-0.21	2 of 2 - Sale <sup>25</sup>
03/15/21	34.602	137.74	Various	150.00	...	-12.26	Total of 2 transactions

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
01/26/21	3.000	109.76	01/26/21	111.12	1.36 W	0.00	Sale <sup>25</sup>
02/02/21	3.000	75.19	01/27/21	153.76	78.57 W	0.00	Sale <sup>25</sup>
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	2.935	82.54	02/25/21	162.87	...	-80.33	1 of 3 - Sale <sup>25</sup>
	14.065	395.51	02/25/21	412.10	...	-16.59	2 of 3 - Sale <sup>25</sup>
	0.065	1.82	02/25/21	3.60	...	-1.78	3 of 3 - Sale <sup>25</sup>
02/25/21	17.065	479.87	Various	578.57	...	-98.70	Total of 3 transactions
	<b>Security total:</b>	<b>664.82</b>		<b>843.45</b>	<b>79.93 W</b>	<b>-98.70</b>	
CALADRIUS BIOSCIENCES, INC. CO MMON STOCK / CUSIP: 128058203 / Symbol:							
03/18/21	0.433	0.90	03/03/21	0.90	...	0.00	Sale <sup>25</sup>
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	20.953	36.67	03/03/21	44.00	...	-7.33	1 of 2 - Sale <sup>25</sup>
	0.047	0.08	03/03/21	0.10	...	-0.02	2 of 2 - Sale <sup>25</sup>
04/09/21	21.000	36.75	Various	44.10	...	-7.35	Total of 2 transactions
	<b>Security total:</b>	<b>37.65</b>		<b>45.00</b>	...	<b>-7.35</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
03/15/21	4.000	117.29	03/03/21	115.32	...	1.97	Sale <sup>25</sup>
CELSIUS HOLDINGS, INC. COMMON STOCK / CUSIP: 15118V207 / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	0.447	20.06	03/11/21	19.52	...	0.54	1 of 2 - Sale <sup>25</sup>
	10.996	493.72	03/11/21	480.48	...	13.24	2 of 2 - Sale <sup>25</sup>
03/12/21	11.443	513.78	Various	500.00	...	13.78	Total of 2 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	27.952	789.63	02/23/21	982.24	...	-192.61	1 of 3 - Sale <sup>25</sup>
	0.486	13.72	02/23/21	17.03	...	-3.31	2 of 3 - Sale <sup>25</sup>
	0.021	0.59	02/23/21	0.73	...	-0.14	3 of 3 - Sale <sup>25</sup>
02/25/21	28.458	803.94	Various	1,000.00	...	-196.06	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
03/24/21	23.000	44.39	03/23/21	34.85	...	9.54	Sale <sup>25</sup>
03/29/21	7.000	11.41	03/23/21	10.60	...	0.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>55.80</b>		<b>45.45</b>	...	<b>10.35</b>	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.309	0.77	02/19/21	0.63	...	0.14	1 of 2 - Sale <sup>25</sup>
	243.000	607.45	02/19/21	499.37	...	108.08	2 of 2 - Sale <sup>25</sup>
02/22/21	243.309	608.22	Various	500.00	...	108.22	Total of 2 transactions
COTY INC / CUSIP: 222070203 / Symbol:							
03/26/21	20.000	175.88	03/10/21	174.20	...	1.68	Sale <sup>25</sup>
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
<i>2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	76.68	04/26/21	76.70	...	-0.02	1 of 2 - Sale <sup>25</sup>
	1.000	76.69	04/26/21	76.70	...	-0.01	2 of 2 - Sale <sup>25</sup>
04/30/21	2.000	153.37	Various	153.40	...	-0.03	Total of 2 transactions
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
03/18/21	20.000	83.99	03/12/21	74.00	...	9.99	Sale <sup>25</sup>
03/23/21	50.000	204.53	03/12/21	185.00	...	19.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>288.52</b>		<b>259.00</b>	...	<b>29.52</b>	
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
03/10/21	6.000	25.56	03/02/21	31.26	...	-5.70	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
03/10/21	150.000	608.97	03/09/21	540.00	...	68.97	Sale <sup>25</sup>
FTC SOLAR, INC. COMMON STOCK / CUSIP: 30320C103 / Symbol:							
04/30/21	2.000	26.92	04/28/21	29.50	...	-2.58	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	20.000	219.70	01/20/21	219.00	...	0.70	1 of 2 - Sale <sup>25</sup>
	9.287	102.02	01/20/21	100.00	...	2.02	2 of 2 - Sale <sup>25</sup>
01/25/21	29.287	321.72	Various	319.00	...	2.72	Total of 2 transactions
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.561	6.25	01/27/21	6.10	...	0.15	1 of 2 - Sale <sup>25</sup>
	27.000	301.12	01/27/21	293.90	...	7.22	2 of 2 - Sale <sup>25</sup>
02/03/21	27.561	307.37	Various	300.00	...	7.37	Total of 2 transactions
6 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.280	3.12	01/26/21	3.14	0.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.280	3.12	01/26/21	3.15	0.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.570	6.35	01/26/21	6.38	0.03 W	0.00	3 of 6 - Sale <sup>25</sup>
	8.635	96.30	01/26/21	96.86	0.56 W	0.00	4 of 6 - Sale <sup>25</sup>
	8.962	99.95	01/26/21	100.00	0.05 W	0.00	5 of 6 - Sale <sup>25</sup>
	16.993	189.51	01/26/21	190.49	0.98 W	0.00	6 of 6 - Sale <sup>25</sup>
02/03/21	35.719	398.35	Various	400.02	1.67 W	0.00	Total of 6 transactions
8 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.003	0.04	02/11/21	0.04	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.280	3.21	02/11/21	3.21	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.570	6.54	02/11/21	6.51	...	0.03	3 of 8 - Sale <sup>25</sup>
	10.777	123.42	02/11/21	123.21	...	0.21	4 of 8 - Sale <sup>25</sup>
	6.216	71.39	02/11/21	71.06	...	0.33	5 of 8 - Sale <sup>25</sup>
	8.635	99.17	02/11/21	98.78	...	0.39	6 of 8 - Sale <sup>25</sup>
	8.962	102.64	02/11/21	102.00	...	0.64	7 of 8 - Sale <sup>25</sup>
	61.261	701.58	02/11/21	696.84	...	4.74	8 of 8 - Sale <sup>25</sup>
02/16/21	96.703	1,107.99	Various	1,101.65	...	6.34	Total of 8 transactions
	<b>Security total:</b>	<b>2,135.43</b>		<b>2,120.67</b>	<b>1.67 W</b>	<b>16.43</b>	

GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:

5 transactions for 01/26/21. Total proceeds and cost reported to the IRS.

	1.000	145.05	01/26/21	142.38	...	2.67	1 of 5 - Sale <sup>25</sup>
	1.000	145.38	01/26/21	142.38	...	3.00	2 of 5 - Sale <sup>25</sup>
	1.000	145.06	01/26/21	137.31	...	7.75	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	2.000	290.11	01/26/21	226.18	...	63.93	4 of 5 - Sale <sup>25</sup>
	2.000	417.31	01/26/21	298.88	...	118.43	5 of 5 - Sale <sup>25</sup>
01/26/21	7.000	1,142.91	Various	947.13	...	195.78	Total of 5 transactions
01/26/21	3.000	625.96	01/26/21	706.56	80.60 W	0.00	Sale <sup>25</sup>
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	2.627	233.32	02/05/21	257.00	...	-23.68	1 of 4 - Sale <sup>25</sup>
	0.099	8.76	02/05/21	9.59	...	-0.83	2 of 4 - Sale <sup>25</sup>
	0.275	24.40	02/05/21	21.98	...	2.42	3 of 4 - Sale <sup>25</sup>
	8.000	710.62	02/05/21	567.81	...	142.81	4 of 4 - Sale <sup>25</sup>
02/05/21	11.000	977.10	Various	856.38	...	120.72	Total of 4 transactions
02/05/21	0.275	24.22	02/05/21	26.70	2.48 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,770.19</b>		<b>2,536.77</b>	<b>83.08 W</b>	<b>316.50</b>	
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	87.642	470.73	02/22/21	499.47	...	-28.74	1 of 2 - Sale <sup>25</sup>
	0.093	0.50	02/22/21	0.53	...	-0.03	2 of 2 - Sale <sup>25</sup>
02/23/21	87.735	471.23	Various	500.00	...	-28.77	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	25.995	291.14	01/26/21	298.61	...	-7.47	1 of 2 - Sale <sup>25</sup>
	0.121	1.36	01/26/21	1.39	...	-0.03	2 of 2 - Sale <sup>25</sup>
02/03/21	26.116	292.50	Various	300.00	...	-7.50	Total of 2 transactions
HIGHPOINT RESOURCES CORPORATIO N / CUSIP: 43114K207 / Symbol:							
4 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	0.789	8.50	02/16/21	9.43	0.93 W	0.00	1 of 4 - Sale <sup>25</sup>
	40.895	441.04	02/16/21	490.57	49.53 W	0.00	2 of 4 - Sale <sup>25</sup>
	38.713	417.51	02/17/21	445.58	19.80 W	-8.27	3 of 4 - Sale <sup>25</sup>
	0.387	4.18	02/17/21	4.42	0.24 W	0.00	4 of 4 - Sale <sup>25</sup>
02/18/21	80.784	871.23	Various	950.00	70.50 W	-8.27	Total of 4 transactions

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIGHPOINT RESOURCES CORPORATIO N / CUSIP: 43114K207 / Symbol: (cont'd)							
6 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	40.895	454.38	02/18/21	521.06	...	-66.68	1 of 6 - Sale <sup>25</sup>
	27.314	303.48	02/18/21	334.72	...	-31.24	2 of 6 - Sale <sup>25</sup>
	0.404	4.48	02/18/21	5.13	...	-0.65	3 of 6 - Sale <sup>25</sup>
	0.369	4.12	02/18/21	4.68	...	-0.56	4 of 6 - Sale <sup>25</sup>
	0.387	4.30	02/18/21	4.71	...	-0.41	5 of 6 - Sale <sup>25</sup>
	0.016	0.18	02/18/21	0.20	...	-0.02	6 of 6 - Sale <sup>25</sup>
02/19/21	69.385	770.94	Various	870.50	...	-99.56	Total of 6 transactions
	<b>Security total:</b>	<b>1,642.17</b>		<b>1,820.50</b>	<b>70.50 W</b>	<b>-107.83</b>	
IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
03/18/21	0.009	0.01	02/25/21	0.01	...	0.00	Sale <sup>25</sup>
03/18/21	0.036	0.02	02/25/21	0.03	0.01 W	0.00	Sale <sup>25</sup>
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	22.964	16.87	02/25/21	18.93	...	-2.06	1 of 2 - Sale <sup>25</sup>
	0.036	0.03	02/25/21	0.04	...	-0.01	2 of 2 - Sale <sup>25</sup>
03/19/21	23.000	16.90	Various	18.97	...	-2.07	Total of 2 transactions
	<b>Security total:</b>	<b>16.93</b>		<b>19.01</b>	<b>0.01 W</b>	<b>-2.07</b>	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
02/02/21	1.000	2.69	01/25/21	3.20	...	-0.51	Sale <sup>25</sup>
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
03/22/21	3.000	58.39	03/11/21	58.37	...	0.02	Sale <sup>25</sup>
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	17.943	467.41	02/22/21	497.54	...	-30.13	1 of 3 - Sale <sup>25</sup>
	0.032	0.83	02/22/21	0.93	...	-0.10	2 of 3 - Sale <sup>25</sup>
	0.025	0.66	02/22/21	0.70	...	-0.04	3 of 3 - Sale <sup>25</sup>
02/23/21	18.000	468.90	Various	499.17	...	-30.27	Total of 3 transactions
02/23/21	0.032	0.83	02/22/21	0.88	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>469.73</b>		<b>500.05</b>	<b>0.05 W</b>	<b>-30.27</b>	

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEROE BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	450.000	1,642.43	02/16/21	1,557.00	...	85.43	1 of 4 - Sale <sup>25</sup>
	179.908	656.64	03/04/21	698.04	...	-41.40	2 of 4 - Sale <sup>25</sup>
	0.393	1.44	03/04/21	1.69	...	-0.25	3 of 4 - Sale <sup>25</sup>
	0.113	0.41	03/04/21	0.43	...	-0.02	4 of 4 - Sale <sup>25</sup>
03/05/21	630.414	2,300.92	Various	2,257.16	...	43.76	Total of 4 transactions
03/05/21	0.979	3.57	02/25/21	3.97	0.16 W	-0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,304.49</b>		<b>2,261.13</b>	<b>0.16 W</b>	<b>43.52</b>	
NV5 GLOBAL, INC. COMMON STOCK / CUSIP: 62945V109 / Symbol:							
4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	0.457	42.35	03/11/21	41.87	...	0.48	1 of 4 - Sale <sup>25</sup>
	0.460	42.62	03/11/21	42.12	...	0.50	2 of 4 - Sale <sup>25</sup>
	0.540	50.04	03/11/21	49.48	...	0.56	3 of 4 - Sale <sup>25</sup>
	4.000	370.24	03/11/21	366.53	...	3.71	4 of 4 - Sale <sup>25</sup>
03/15/21	5.457	505.25	Various	500.00	...	5.25	Total of 4 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
6 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	10.000	167.30	02/08/21	149.99	...	17.31	1 of 6 - Sale <sup>25</sup>
	10.000	167.31	02/08/21	140.90	...	26.41	2 of 6 - Sale <sup>25</sup>
	40.000	669.18	02/08/21	599.96	...	69.22	3 of 6 - Sale <sup>25</sup>
	40.000	669.22	02/08/21	585.60	...	83.62	4 of 6 - Sale <sup>25</sup>
	50.000	836.52	02/08/21	718.65	...	117.87	5 of 6 - Sale <sup>25</sup>
	60.000	1,003.77	02/08/21	878.40	...	125.37	6 of 6 - Sale <sup>25</sup>
02/08/21	210.000	3,513.30	Various	3,073.50	...	439.80	Total of 6 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.547	6.37	02/11/21	6.01	...	0.36	1 of 2 - Sale <sup>25</sup>
	136.000	1,571.66	02/11/21	1,493.99	...	77.67	2 of 2 - Sale <sup>25</sup>
02/11/21	136.547	1,578.03	Various	1,500.00	...	78.03	Total of 2 transactions
03/19/21	2.000	17.42	03/18/21	19.84	...	-2.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,108.75</b>		<b>4,593.34</b>	...	<b>515.41</b>	

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Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	113.870	436.30	02/12/21	499.89	...	-63.59	1 of 4 - Sale <sup>25</sup>
	22.974	88.03	02/12/21	96.72	...	-8.69	2 of 4 - Sale <sup>25</sup>
	0.781	2.99	02/12/21	3.28	...	-0.29	3 of 4 - Sale <sup>25</sup>
	0.025	0.10	02/12/21	0.11	...	-0.01	4 of 4 - Sale <sup>25</sup>
02/12/21	137.650	527.42	Various	600.00	...	-72.58	Total of 4 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
02/17/21	500.000	469.94	02/16/21	559.95	...	-90.01	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.249	9.29	02/04/21	7.97	...	1.32	1 of 4 - Sale <sup>25</sup>
	5.995	223.74	02/04/21	192.03	...	31.71	2 of 4 - Sale <sup>25</sup>
	0.001	0.05	02/05/21	0.05	...	0.00	3 of 4 - Sale <sup>25</sup>
	8.702	324.75	02/05/21	299.95	...	24.80	4 of 4 - Sale <sup>25</sup>
02/08/21	14.948	557.83	Various	500.00	...	57.83	Total of 4 transactions
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.002	0.06	02/10/21	0.07	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	117.17	02/10/21	145.16	27.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.776	286.39	02/10/21	354.77	68.38 W	0.00	3 of 3 - Sale <sup>25</sup>
02/16/21	13.778	403.62	Various	500.00	96.38 W	0.00	Total of 3 transactions
6 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	5.781	163.64	02/19/21	200.38	...	-36.74	1 of 6 - Sale <sup>25</sup>
	4.000	113.24	02/19/21	141.95	...	-28.71	2 of 6 - Sale <sup>25</sup>
	3.909	110.65	02/19/21	138.69	...	-28.04	3 of 6 - Sale <sup>25</sup>
	6.045	171.12	02/19/21	172.22	...	-1.10	4 of 6 - Sale <sup>25</sup>
	0.087	2.46	02/19/21	3.08	...	-0.62	5 of 6 - Sale <sup>25</sup>
	0.002	0.05	02/19/21	0.06	...	-0.01	6 of 6 - Sale <sup>25</sup>
02/19/21	19.823	561.16	Various	656.38	...	-95.22	Total of 6 transactions
	<b>Security total:</b>	<b>1,522.61</b>		<b>1,656.38</b>	<b>96.38 W</b>	<b>-37.39</b>	

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
02/04/21	3.000	812.81	02/04/21	811.99	...	0.82	Sale <sup>25</sup>
02/22/21	2.000	554.30	02/19/21	580.64	...	-26.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,367.11</b>		<b>1,392.63</b>	...	<b>-25.52</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
02/05/21	3.000	104.70	01/20/21	109.56	...	-4.86	Sale <sup>25</sup>
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.137	10.94	02/04/21	10.65	...	0.29	1 of 3 - Sale <sup>25</sup>
	1.863	148.63	02/04/21	145.03	...	3.60	2 of 3 - Sale <sup>25</sup>
	3.000	239.31	02/04/21	233.66	...	5.65	3 of 3 - Sale <sup>25</sup>
02/08/21	5.000	398.88	Various	389.34	...	9.54	Total of 3 transactions
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.138	11.01	02/05/21	11.24	0.23 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.999	478.54	02/05/21	488.76	10.22 W	0.00	2 of 2 - Sale <sup>25</sup>
02/08/21	6.137	489.55	Various	500.00	10.45 W	0.00	Total of 2 transactions
4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	17.357	1,457.05	02/11/21	1,477.60	...	-20.55	1 of 4 - Sale <sup>25</sup>
	5.628	472.46	02/11/21	488.72	...	-16.26	2 of 4 - Sale <sup>25</sup>
	0.371	31.12	02/11/21	32.16	...	-1.04	3 of 4 - Sale <sup>25</sup>
	0.138	11.58	02/11/21	11.97	...	-0.39	4 of 4 - Sale <sup>25</sup>
02/22/21	23.494	1,972.21	Various	2,010.45	...	-38.24	Total of 4 transactions
	<b>Security total:</b>	<b>2,860.64</b>		<b>2,899.79</b>	<b>10.45 W</b>	<b>-28.70</b>	
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	9.000	21.33	03/16/21	23.04	...	-1.71	1 of 2 - Sale <sup>25</sup>
	3.000	7.11	03/25/21	5.13	...	1.98	2 of 2 - Sale <sup>25</sup>
04/05/21	12.000	28.44	Various	28.17	...	0.27	Total of 2 transactions
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
04/06/21	6.000	52.80	04/06/21	52.01	...	0.79	Sale <sup>25</sup>

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Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
3 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	205.548	713.61	02/25/21	797.14	...	-83.53	1 of 3 - Sale <sup>25</sup>
	0.287	1.00	02/25/21	1.22	...	-0.22	2 of 3 - Sale <sup>25</sup>
	0.165	0.57	02/25/21	0.64	...	-0.07	3 of 3 - Sale <sup>25</sup>
03/01/21	206.000	715.18	Various	799.00	...	-83.82	Total of 3 transactions
03/01/21	0.287	1.00	02/25/21	1.11	0.11 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>716.18</b>		<b>800.11</b>	<b>0.11 W</b>	<b>-83.82</b>	
SERES THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 81750R102 / Symbol:							
03/09/21	10.000	200.30	03/01/21	199.00	...	1.30	Sale <sup>25</sup>
SIGMA LABS, INC. COMMON STOCK / CUSIP: 826598500 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	5.26	03/15/21	8.51	...	-3.25	1 of 2 - Sale <sup>25</sup>
	1.000	5.26	03/15/21	8.50	...	-3.24	2 of 2 - Sale <sup>25</sup>
03/18/21	2.000	10.52	Various	17.01	...	-6.49	Total of 2 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	5.000	90.27	04/26/21	107.00	...	-16.73	1 of 2 - Sale <sup>25</sup>
	3.000	54.16	04/26/21	60.11	...	-5.95	2 of 2 - Sale <sup>25</sup>
04/30/21	8.000	144.43	Various	167.11	...	-22.68	Total of 2 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
03/22/21	30.000	210.00	03/10/21	208.50	...	1.50	Sale <sup>25</sup>
SUPER LEAGUE GAMING, INC. COMM ON STOCK / CUSIP: 86804F202 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	8.65	03/17/21	8.09	...	0.56	1 of 2 - Sale <sup>25</sup>
	18.000	155.77	03/17/21	145.53	...	10.24	2 of 2 - Sale <sup>25</sup>
03/23/21	19.000	164.42	Various	153.62	...	10.80	Total of 2 transactions
TAKUNG ART CO., LTD. / CUSIP: 87407Q207 / Symbol:							
03/18/21	2.000	53.64	03/18/21	62.14	...	-8.50	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 746030287

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TELUS CORPORATION / CUSIP: 87971M103 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	0.801	16.69	01/20/21	16.68	...	0.01	1 of 2 - Sale <sup>25</sup>
	4.000	83.36	01/20/21	83.32	...	0.04	2 of 2 - Sale <sup>25</sup>
02/05/21	4.801	100.05	Various	100.00	...	0.05	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/04/21	1.000	642.75	03/03/21	682.83	...	-40.08	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
04/30/21	3.000	166.17	04/27/21	172.19	...	-6.02	Sale <sup>25</sup>
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
04/14/21	30.000	312.00	04/14/21	322.80	...	-10.80	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
4 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	0.134	2.10	02/23/21	1.98	...	0.12	1 of 4 - Sale <sup>25</sup>
	0.301	4.75	02/23/21	4.45	...	0.30	2 of 4 - Sale <sup>25</sup>
	4.000	62.80	02/23/21	59.16	...	3.64	3 of 4 - Sale <sup>25</sup>
	40.866	641.59	02/23/21	604.41	...	37.18	4 of 4 - Sale <sup>25</sup>
03/01/21	45.301	711.24	Various	670.00	...	41.24	Total of 4 transactions
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	13.000	198.90	03/01/21	208.65	...	-9.75	1 of 2 - Sale <sup>25</sup>
	5.917	90.53	03/01/21	100.00	...	-9.47	2 of 2 - Sale <sup>25</sup>
03/03/21	18.917	289.43	Various	308.65	...	-19.22	Total of 2 transactions
	<b>Security total:</b>	<b>1,000.67</b>		<b>978.65</b>	...	<b>22.02</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.252	0.61	02/05/21	0.47	...	0.14	1 of 2 - Sale <sup>25</sup>
	264.302	644.85	02/05/21	499.53	...	145.32	2 of 2 - Sale <sup>25</sup>
02/08/21	264.554	645.46	Various	500.00	...	145.46	Total of 2 transactions
03/18/21	0.104	0.22	03/12/21	0.24	0.02 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 746030287

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
<i>4 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	128.896	256.48	03/12/21	300.33	...	-43.85	1 of 4 - Sale <sup>25</sup>
	2.000	3.98	03/17/21	4.51	...	-0.53	2 of 4 - Sale <sup>25</sup>
	1.000	1.99	03/17/21	2.26	...	-0.27	3 of 4 - Sale <sup>25</sup>
	0.104	0.21	03/17/21	0.25	...	-0.04	4 of 4 - Sale <sup>25</sup>
03/22/21	132.000	262.66	Various	307.35	...	-44.69	Total of 4 transactions
	<b>Security total:</b>	<b>908.34</b>		<b>807.59</b>	<b>0.02 W</b>	<b>100.77</b>	
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
04/08/21	10.000	41.00	04/06/21	33.10	...	7.90	Sale <sup>25</sup>
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
<i>2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	50.52	03/10/21	52.82	...	-2.30	1 of 2 - Sale <sup>25</sup>
	1.000	16.84	03/19/21	17.00	...	-0.16	2 of 2 - Sale <sup>25</sup>
04/09/21	4.000	67.36	Various	69.82	...	-2.46	Total of 2 transactions
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
<i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	111.360	797.31	02/25/21	938.76	...	-141.45	1 of 2 - Sale <sup>25</sup>
	0.333	2.39	02/25/21	2.81	...	-0.42	2 of 2 - Sale <sup>25</sup>
03/03/21	111.693	799.70	Various	941.57	...	-141.87	Total of 2 transactions
03/12/21	1.000	8.43	02/25/21	8.43	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>808.13</b>		<b>950.00</b>	...	<b>-141.87</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
<i>3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	427.000	414.23	02/22/21	499.59	...	-85.36	1 of 3 - Sale <sup>25</sup>
	0.171	0.17	02/22/21	0.20	...	-0.03	2 of 3 - Sale <sup>25</sup>
	0.185	0.18	02/22/21	0.21	...	-0.03	3 of 3 - Sale <sup>25</sup>
02/23/21	427.355	414.58	Various	500.00	...	-85.42	Total of 3 transactions
<b>Totals :</b>		<b>65,870.99</b>		<b>69,266.24</b>	<b>943.24 W</b>	<b>-2,452.01</b>	

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Robinhood Securities LLC

Account 746030287

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		02/11/21	1.23	Qualified dividend	
ATLANTIC AMERICAN CORPORATION COMMON STOCK	048209100		04/27/21	0.20	Qualified dividend	
PFIZER INC.	717081103		03/05/21	1.17	Qualified dividend	
				<b>2.60</b>	<b>Total Dividends &amp; distributions</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.