

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 154769442</b>	Statement Date: 02/11/2022 Document ID: N92M 35V 047V	2021
	Ratna teja Jaladi 2111 College St Apt 64 Cedar Falls, IA 50613  RECIPIENT'S TIN: XXX-XX-9856		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      77.29</p> <p>1b- Qualified dividends      53.71</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      8.16</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      3.54</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      63.10</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	110,966.48	106,321.00	0.00	578.56	5,224.04
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>110,966.48</b>	<b>106,321.00</b>	<b>0.00</b>	<b>578.56</b>	<b>5,224.04</b>
Long	D (basis reported to the IRS)	18,323.11	9,805.98	0.00	116.37	8,633.50
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	4.30	30.30	0.00	0.00	-26.00
	<b>Total Long-term</b>	<b>18,327.41</b>	<b>9,836.28</b>	<b>0.00</b>	<b>116.37</b>	<b>8,607.50</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>129,293.89</b>	<b>116,157.28</b>	<b>0.00</b>	<b>694.93</b>	<b>13,831.54</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 154769442

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	12.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 PUT \$120.00 / CUSIP: / Symbol:							
03/17/21	2.000	115.98	03/17/21	110.00	...	5.98	Option sale to close-put <sup>25</sup>
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	1.000	152.99	04/12/21	142.00	...	10.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	152.99	04/12/21	142.00	...	10.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/19/21	2.000	305.98	Various	284.00	...	21.98	Total of 2 transactions
AAPL 05/07/2021 PUT \$129.00 / CUSIP: / Symbol:							
04/30/21	2.000	131.98	04/30/21	156.00	...	-24.02	Option sale to close-put <sup>25</sup>
AAPL 05/14/2021 CALL \$135.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/03/21	152.00	...	-152.00	Option expiration- long call

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 04/16/2021 CALL \$12.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/15/21	144.00	...	-144.00	Option expiration- long call
AEP 04/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	2.000	185.98	03/12/21	150.00	...	35.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	278.98	03/12/21	225.00	...	53.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/22/21	5.000	464.96	Various	375.00	...	89.96	Total of 2 transactions
AEZS 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/22/21	75.00	...	-75.00	Option expiration- long call
AR 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/28/21	3.000	269.98	04/26/21	210.00	...	59.98	Option sale to close-call <sup>25</sup>
ASB 04/16/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/26/21	180.00	...	-180.00	Option expiration- long call
ASB 05/21/2021 CALL \$22.50 / CUSIP: / Symbol:							
05/17/21	2.000	169.98	04/15/21	120.00	...	49.98	Option sale to close-call <sup>25</sup>
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	89.98	04/15/21	60.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	89.98	04/15/21	60.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/21	2.000	179.96	Various	120.00	...	59.96	Total of 2 transactions
	<b>Security total:</b>	<b>349.94</b>		<b>240.00</b>	...	<b>109.94</b>	
ATOS 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/28/21	2.000	549.98	06/11/21	200.00	...	349.98	Option sale to close-call <sup>25</sup>
AZN 04/23/2021 CALL \$52.00 / CUSIP: / Symbol:							
04/21/21	2.000	219.98	03/29/21	156.00	...	63.98	Option sale to close-call <sup>25</sup>
BABA 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/19/21	3.000	0.00	11/15/21	378.00	...	-378.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 04/30/2021 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	191.98	03/25/21	131.00	...	60.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	191.98	03/25/21	131.00	...	60.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/27/21	2.000	383.96	Various	262.00	...	121.96	Total of 2 transactions
BP 04/16/2021 CALL \$28.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/05/21	212.00	...	-212.00	Option expiration- long call
C 04/23/2021 PUT \$71.00 / CUSIP: / Symbol:							
04/20/21	2.000	259.98	04/15/21	168.00	...	91.98	Option sale to close-put <sup>25</sup>
CCIV 05/07/2021 CALL \$23.50 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	04/28/21	151.00	...	-151.00	Option expiration- long call
CCL 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/15/21	228.00	...	-228.00	Option expiration- long call
CGC 04/30/2021 CALL \$26.50 / CUSIP: / Symbol:							
2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	1.000	109.98	04/21/21	93.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	109.98	04/21/21	93.00	...	16.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/21/21	2.000	219.96	Various	186.00	...	33.96	Total of 2 transactions
CLBS 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/17/21	3.000	14.98	03/03/21	135.00	...	-120.02	Option sale to close-call <sup>25</sup>
CLF 04/16/2021 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	1.000	339.98	03/24/21	73.00	...	266.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	344.98	03/24/21	73.00	...	271.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	404.98	03/24/21	73.00	...	331.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/31/21	3.000	1,089.94	Various	219.00	...	870.94	Total of 3 transactions
CLF 05/14/2021 CALL \$22.00 / CUSIP: / Symbol:							
05/10/21	3.000	320.98	05/10/21	210.00	...	110.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 05/21/2021 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	119.98	04/28/21	95.00	...	24.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	119.98	04/28/21	95.00	...	24.98	2 of 2 - Option sale to close-put <sup>25</sup>
04/30/21	2.000	239.96	Various	190.00	...	49.96	Total of 2 transactions
CLVS 04/30/2021 CALL \$7.00 / CUSIP: / Symbol:							
04/30/21	4.000	0.00	03/30/21	264.00	...	-264.00	Option expiration- long call
CNP 04/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
03/16/21	3.000	281.98	03/12/21	225.00	...	56.98	Option sale to close-call <sup>25</sup>
COTY 07/09/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	2.000	95.98	05/28/21	124.00	...	-28.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	47.98	05/28/21	62.00	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/21	3.000	143.96	Various	186.00	...	-42.04	Total of 2 transactions
CPNG 12/17/2021 CALL \$32.50 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/16/21	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/16/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
12/17/21	2.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
CSCO 04/23/2021 CALL \$47.00 / CUSIP: / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	325.98	03/05/21	121.00	...	204.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	325.98	03/05/21	121.00	...	204.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/23/21	2.000	651.96	Various	242.00	...	409.96	Total of 2 transactions
04/08/21	1.000	459.98	03/05/21	121.00	...	338.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,111.94</b>		<b>363.00</b>	...	<b>748.94</b>	
CSCO 04/23/2021 CALL \$53.00 / CUSIP: / Symbol:							
04/23/21	2.000	0.00	04/16/21	102.00	...	-102.00	Option expiration- long call

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CSX 04/16/2021 CALL \$95.00 / CUSIP: / Symbol:							
04/14/21	1.000	329.98	03/22/21	200.00	...	129.98	Option sale to close-call <sup>25</sup>
D 04/16/2021 CALL \$77.50 / CUSIP: / Symbol:							
03/25/21	1.000	71.98	03/09/21	82.02	...	-10.04	Option sale to close-call <sup>25</sup>
03/25/21	1.000	71.98	03/09/21	77.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>143.96</b>		<b>159.02</b>	<b>5.02 W</b>	<b>-10.04</b>	
DAL 04/30/2021 PUT \$45.00 / CUSIP: / Symbol:							
2 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	1.000	142.98	04/19/21	71.00	...	71.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	142.98	04/19/21	71.00	...	71.98	2 of 2 - Option sale to close-put <sup>25</sup>
04/20/21	2.000	285.96	Various	142.00	...	143.96	Total of 2 transactions
DIS 05/21/2021 PUT \$160.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/14/21	59.00	...	-59.00	1 of 2 - Option expiration- long put
	1.000	0.00	05/14/21	59.00	...	-59.00	2 of 2 - Option expiration- long put
05/21/21	2.000	0.00	Various	118.00	...	-118.00	Total of 2 transactions
DKNG 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	2.000	51.99	03/03/21	54.00	...	-2.01	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	99.98	03/09/21	164.01	...	-64.03	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/21	6.000	151.97	Various	218.01	...	-66.04	Total of 2 transactions
03/10/21	4.000	103.99	03/03/21	228.00	124.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>255.96</b>		<b>446.01</b>	<b>124.01 W</b>	<b>-66.04</b>	
DPW 04/16/2021 CALL \$4.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/08/21	222.00	...	-222.00	Option expiration- long call
EBAY 05/21/2021 PUT \$59.00 / CUSIP: / Symbol:							
05/11/21	1.000	76.98	05/11/21	123.00	...	-46.02	Option sale to close-put <sup>25</sup>
EDU 07/16/2021 CALL \$12.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/11/21	100.00	...	-100.00	Option expiration- long call

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EOG 05/07/2021 CALL \$74.00 / CUSIP: / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	262.98	04/19/21	193.00	...	69.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	262.98	04/19/21	193.00	...	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/04/21	2.000	525.96	Various	386.00	...	139.96	Total of 2 transactions
EXC 06/18/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1.000	109.99	05/19/21	118.00	...	-8.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	109.99	05/19/21	118.00	...	-8.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/15/21	2.000	219.98	Various	236.00	...	-16.02	Total of 2 transactions
EXPR 06/25/2021 CALL \$3.50 / CUSIP: / Symbol:							
05/26/21	2.000	209.98	05/17/21	160.00	...	49.98	Option sale to close-call <sup>25</sup>
EXPR 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/10/21	1.000	169.98	03/10/21	167.00	...	2.98	Option sale to close-call <sup>25</sup>
EXTR 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/16/21	210.00	...	-210.00	Option expiration- long call
EXTR 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	149.98	04/15/21	56.00	...	93.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.98	04/15/21	56.00	...	118.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/29/21	2.000	324.96	Various	112.00	...	212.96	Total of 2 transactions
F 04/23/2021 CALL \$13.00 / CUSIP: / Symbol:							
04/23/21	3.000	0.00	03/10/21	258.00	...	-258.00	Option expiration- long call
FAST 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/18/21	3.000	0.00	05/13/21	279.00	...	-279.00	Option expiration- long call
FCEL 04/09/2021 CALL \$14.00 / CUSIP: / Symbol:							
04/05/21	2.000	173.98	03/31/21	180.00	...	-6.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 06/25/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/25/21	1.000	0.00	05/28/21	125.00	...	-125.00	Option expiration- long call
FCEL 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/04/21	2.000	99.98	11/03/21	142.00	...	-42.02	Option sale to close-call <sup>25</sup>
FFIE 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	79.98	11/15/21	70.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	79.98	11/15/21	70.00	...	9.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	2.000	159.96	Various	140.00	...	19.96	Total of 2 transactions
FGEN 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	04/08/21	207.00	...	-142.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	04/08/21	207.00	...	-142.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/21	2.000	129.96	Various	414.00	...	-284.04	Total of 2 transactions
FIZZ 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/21/21	1.000	284.98	04/12/21	175.00	...	109.98	Option sale to close-call <sup>25</sup>
FNHC 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/21/21	5.000	0.00	04/15/21	175.00	...	-175.00	Option expiration- long call
FSTR 05/21/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	03/31/21	150.00	...	-130.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.98	03/31/21	150.00	...	-130.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/21	2.000	39.96	Various	300.00	...	-260.04	Total of 2 transactions
FTI 04/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/16/21	95.00	...	-95.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/16/21	95.00	...	-95.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/16/21	87.00	...	-87.00	3 of 3 - Option expiration- long call
04/16/21	3.000	0.00	Various	277.00	...	-277.00	Total of 3 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/09/2021 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	128.98	04/01/21	127.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.98	04/01/21	127.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/01/21	2.000	257.96	Various	254.00	...	3.96	Total of 2 transactions
GBT 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/22/21	285.00	...	-285.00	Option expiration- long call
GBT 05/21/2021 CALL \$45.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	04/15/21	360.00	...	-360.00	Option expiration- long call
GCI 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 05/19/21. Total proceeds and cost reported to the IRS.							
	4.000	79.98	03/30/21	340.00	...	-260.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.00	03/30/21	85.00	...	-65.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/19/21	5.000	99.98	Various	425.00	...	-325.02	Total of 2 transactions
GE 03/05/2021 CALL \$14.50 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	5.000	19.98	03/04/21	20.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	19.99	03/04/21	20.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	10.000	39.97	Various	40.00	...	-0.03	Total of 2 transactions
GE 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/09/21	214.00	...	-214.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/23/21	58.00	...	-58.00	2 of 2 - Option expiration- long call
06/18/21	4.000	0.00	Various	272.00	...	-272.00	Total of 2 transactions
GNUS 06/18/2021 CALL \$3.00 / CUSIP: / Symbol:							
06/18/21	7.000	0.00	06/03/21	98.00	...	-98.00	Option expiration- long call

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Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GOLD 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	2.000	51.98	03/02/21	48.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.98	03/02/21	24.00	...	5.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.98	03/02/21	24.00	...	5.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/02/21	4.000	111.94	Various	96.00	...	15.94	Total of 3 transactions
GOLD 05/21/2021 CALL \$23.00 / CUSIP: / Symbol:							
05/10/21	1.000	133.98	05/07/21	99.00	...	34.98	Option sale to close-call <sup>25</sup>
HOG 04/16/2021 CALL \$37.00 / CUSIP: / Symbol:							
03/12/21	1.000	242.98	03/11/21	205.00	...	37.98	Option sale to close-call <sup>25</sup>
HOOD 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/04/21	2.000	59.98	11/03/21	110.00	...	-50.02	Option sale to close-call <sup>25</sup>
HPE 04/30/2021 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	04/05/21	220.00	...	-220.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/05/21	50.00	...	-50.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/05/21	50.00	...	-50.00	3 of 3 - Option expiration- long call
04/30/21	6.000	0.00	Various	320.00	...	-320.00	Total of 3 transactions
HYLN 03/26/2021 CALL \$16.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/01/21	244.00	...	-244.00	Option expiration- long call
ICE 03/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	189.99	03/05/21	160.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.99	03/05/21	160.00	...	29.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	2.000	379.98	Various	320.00	...	59.98	Total of 2 transactions
IDEX 06/18/2021 CALL \$3.00 / CUSIP: / Symbol:							
06/17/21	3.000	26.98	06/01/21	96.00	...	-69.02	Option sale to close-call <sup>25</sup>
INTC 07/02/2021 CALL \$59.00 / CUSIP: / Symbol:							
07/02/21	2.000	0.00	06/04/21	192.00	...	-192.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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IQ 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/17/21	3.000	68.98	05/20/21	156.00	...	-87.02	Option sale to close-call <sup>25</sup>
IRM 05/21/2021 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 04/20/21. Total proceeds and cost reported to the IRS.							
	1.000	244.98	04/09/21	133.00	...	111.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	264.98	04/09/21	125.00	...	139.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/20/21	2.000	509.96	Various	258.00	...	251.96	Total of 2 transactions
JBLU 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	127.98	03/11/21	102.00	...	25.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.98	03/11/21	102.00	...	27.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	2.000	257.96	Various	204.00	...	53.96	Total of 2 transactions
JKS 04/16/2021 PUT \$34.00 / CUSIP: / Symbol:							
04/09/21	1.000	127.98	04/09/21	120.00	...	7.98	Option sale to close-put <sup>25</sup>
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol:							
03/17/21	3.000	371.98	03/09/21	399.00	...	-27.02	Option sale to close-call <sup>25</sup>
KNDI 09/17/2021 CALL \$7.00 / CUSIP: / Symbol:							
09/17/21	2.000	0.00	06/28/21	162.00	...	-162.00	Option expiration- long call
KOPN 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	06/28/21	142.00	...	-142.00	Option expiration- long call
LAZR 06/04/2021 CALL \$23.00 / CUSIP: / Symbol:							
06/02/21	2.000	221.98	05/17/21	236.00	...	-14.02	Option sale to close-call <sup>25</sup>
LL 04/16/2021 CALL \$27.00 / CUSIP: / Symbol:							
04/16/21	1.000	30.98	03/15/21	197.00	...	-166.02	Option sale to close-call <sup>25</sup>

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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M 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	112.98	03/15/21	68.00	...	44.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	223.98	03/15/21	136.00	...	87.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/15/21	3.000	336.96	Various	204.00	...	132.96	Total of 2 transactions
M 07/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/03/21	98.00	...	-98.00	Option expiration- long call
MARA 05/14/2021 CALL \$29.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/11/21	44.00	...	-44.00	Option expiration- long call
MAT 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	04/06/21	220.00	...	-220.00	Option expiration- long call
MAT 06/25/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/02/21	105.00	...	-105.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/02/21	105.00	...	-105.00	2 of 2 - Option expiration- long call
06/25/21	2.000	0.00	Various	210.00	...	-210.00	Total of 2 transactions
MGI 04/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	15.98	03/08/21	100.00	...	-84.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.98	03/08/21	100.00	...	-84.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.98	03/08/21	100.00	...	-84.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/16/21	3.000	47.94	Various	300.00	...	-252.06	Total of 3 transactions
MGY 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/21/21	3.000	0.00	04/07/21	255.00	...	-255.00	Option expiration- long call

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MO 07/02/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/11/21	62.00	...	-62.00	1 of 3 - Option expiration- long call
	2.000	0.00	06/11/21	62.00	...	-62.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/11/21	31.00	...	-31.00	3 of 3 - Option expiration- long call
07/02/21	5.000	0.00	Various	155.00	...	-155.00	Total of 3 transactions
MSFT 04/30/2021 CALL \$270.00 / CUSIP: / Symbol:							
4 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/12/21	398.00	...	-398.00	1 of 4 - Option expiration- long call
	2.000	0.00	04/28/21	16.00	...	-16.00	2 of 4 - Option expiration- long call
	2.000	0.00	04/28/21	16.00	...	-16.00	3 of 4 - Option expiration- long call
	1.000	0.00	04/28/21	8.00	...	-8.00	4 of 4 - Option expiration- long call
04/30/21	7.000	0.00	Various	438.00	...	-438.00	Total of 4 transactions
MTDR 05/21/2021 CALL \$27.50 / CUSIP: / Symbol:							
05/18/21	2.000	499.98	04/07/21	330.00	...	169.98	Option sale to close-call <sup>25</sup>
MYE 06/18/2021 CALL \$22.50 / CUSIP: / Symbol:							
06/18/21	5.000	0.00	04/01/21	375.00	...	-375.00	Option expiration- long call
NCLH 05/07/2021 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	04/29/21	123.00	...	-93.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/29/21	123.00	...	-93.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/21	2.000	59.96	Various	246.00	...	-186.04	Total of 2 transactions
NIO 05/14/2021 CALL \$45.00 / CUSIP: / Symbol:							
05/14/21	2.000	0.00	04/27/21	292.00	...	-292.00	Option expiration- long call
NIO 05/14/2021 PUT \$35.00 / CUSIP: / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	10.000	1,139.97	05/10/21	1,200.00	...	-60.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	114.00	05/10/21	120.00	...	-6.00	2 of 2 - Option sale to close-put <sup>25</sup>
05/10/21	11.000	1,253.97	Various	1,320.00	...	-66.03	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 06/04/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/26/21	1.000	272.98	05/11/21	225.00	...	47.98	Option sale to close-call <sup>25</sup>
NLOK 03/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	93.98	03/08/21	54.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	179.98	03/08/21	108.00	...	71.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	3.000	273.96	Various	162.00	...	111.96	Total of 2 transactions
NLOK 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
05/12/21	1.000	359.98	04/05/21	156.00	...	203.98	Option sale to close-call <sup>25</sup>
05/17/21	2.000	1,039.98	04/05/21	312.00	...	727.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,399.96</b>		<b>468.00</b>	...	<b>931.96</b>	
NNDM 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/11/21	2.000	31.98	03/11/21	34.00	...	-2.02	Option sale to close-call <sup>25</sup>
NNDM 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	03/05/21	91.00	...	33.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.98	03/05/21	91.00	...	38.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/08/21	2.000	254.96	Various	182.00	...	72.96	Total of 2 transactions
NOK 05/07/2021 CALL \$5.00 / CUSIP: / Symbol:							
05/03/21	5.000	29.98	04/29/21	50.00	...	-20.02	Option sale to close-call <sup>25</sup>
ORCL 03/12/2021 PUT \$65.00 / CUSIP: / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	2.000	41.98	03/11/21	38.00	...	3.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	43.98	03/11/21	38.00	...	5.98	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	26.98	03/11/21	19.00	...	7.98	3 of 3 - Option sale to close-put <sup>25</sup>
03/11/21	5.000	112.94	Various	95.00	...	17.94	Total of 3 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORCL 04/16/2021 CALL \$72.50 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	72.98	03/12/21	66.00	...	6.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	145.98	03/12/21	132.00	...	13.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/29/21	3.000	218.96	Various	198.00	...	20.96	Total of 2 transactions
ORCL 05/07/2021 PUT \$77.00 / CUSIP: / Symbol:							
04/21/21	2.000	331.98	04/20/21	166.00	...	165.98	Option sale to close-put <sup>25</sup>
OVV 05/21/2021 CALL \$27.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	04/06/21	260.00	...	-260.00	Option expiration- long call
PLTR 03/12/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	03/05/21	122.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	130.98	03/05/21	122.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/09/21	2.000	255.96	Various	244.00	...	11.96	Total of 2 transactions
PLTR 03/12/2021 CALL \$24.00 / CUSIP: / Symbol:							
03/09/21	2.000	165.98	03/05/21	258.00	...	-92.02	Option sale to close-call <sup>25</sup>
PLTR 04/09/2021 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	23.99	04/05/21	108.00	...	-84.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	23.99	04/05/21	108.00	...	-84.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/09/21	2.000	47.98	Various	216.00	...	-168.02	Total of 2 transactions
PLTR 06/04/2021 CALL \$21.00 / CUSIP: / Symbol:							
05/28/21	2.000	639.98	05/11/21	194.00	...	445.98	Option sale to close-call <sup>25</sup>
PLTR 11/19/2021 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	4.000	147.98	11/15/21	148.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	44.98	11/15/21	37.00	...	7.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	5.000	192.96	Various	185.00	...	7.96	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/25/21	466.00	...	-466.00	Option expiration- long call
PLUG 05/21/2021 CALL \$23.00 / CUSIP: / Symbol:							
05/11/21	1.000	161.98	05/10/21	111.00	...	50.98	Option sale to close-call <sup>25</sup>
PM 01/21/2022 CALL \$115.00 / CUSIP: / Symbol:							
05/06/21	2.000	227.98	03/29/21	216.00	...	11.98	Option sale to close-call <sup>25</sup>
PSTH 12/17/2021 CALL \$21.00 / CUSIP: / Symbol:							
	2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	11/18/21	60.00	...	-60.00	1 of 2 - Option expiration- long call
	2.000	0.00	11/18/21	40.00	...	-40.00	2 of 2 - Option expiration- long call
12/17/21	5.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
PTON 03/05/2021 PUT \$110.00 / CUSIP: / Symbol:							
03/03/21	1.000	163.98	03/03/21	157.00	...	6.98	Option sale to close-put <sup>25</sup>
QCOM 11/05/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/03/21	1.000	197.98	11/03/21	174.00	...	23.98	Option sale to close-call <sup>25</sup>
RF 05/21/2021 CALL \$22.00 / CUSIP: / Symbol:							
	2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	124.99	04/09/21	58.00	...	66.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.99	04/09/21	58.00	...	66.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/18/21	2.000	249.98	Various	116.00	...	133.98	Total of 2 transactions
RIG 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
04/16/21	4.000	0.00	03/10/21	200.00	...	-200.00	Option expiration- long call
RKT 05/14/2021 CALL \$24.00 / CUSIP: / Symbol:							
	2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	128.98	04/26/21	89.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.98	04/26/21	89.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/21	2.000	257.96	Various	178.00	...	79.96	Total of 2 transactions

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 06/18/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/02/21	2.000	499.98	06/01/21	206.00	...	293.98	Option sale to close-call <sup>25</sup>
RKT 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/05/21	1.000	16.00	11/05/21	15.00	...	1.00	Option sale to close-call <sup>25</sup>
<i>2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.99	11/04/21	52.00	36.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.99	11/04/21	52.00	36.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	31.98	Various	104.00	72.02 W	0.00	Total of 2 transactions
<i>2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/05/21	51.01	...	-51.01	1 of 2 - Option expiration- long call
	1.000	0.00	11/05/21	51.01	...	-51.01	2 of 2 - Option expiration- long call
11/19/21	2.000	0.00	Various	102.02	...	-102.02	Total of 2 transactions
	<b>Security total:</b>	<b>47.98</b>		<b>221.02</b>	<b>72.02 W</b>	<b>-101.02</b>	
SCHN 05/21/2021 CALL \$45.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	319.98	04/15/21	105.00	...	214.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	323.98	04/15/21	105.00	...	218.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/30/21	2.000	643.96	Various	210.00	...	433.96	Total of 2 transactions
05/07/21	1.000	979.98	04/15/21	105.00	...	874.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,623.94</b>		<b>315.00</b>	...	<b>1,308.94</b>	
SDC 11/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	11/05/21	62.00	...	-62.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/05/21	31.00	...	-31.00	2 of 2 - Option expiration- long call
11/12/21	3.000	0.00	Various	93.00	...	-93.00	Total of 2 transactions
SENS 12/17/2021 CALL \$4.00 / CUSIP: / Symbol:							
12/17/21	2.000	0.00	11/03/21	120.00	...	-120.00	Option expiration- long call
SIMO 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
04/01/21	1.000	335.98	03/26/21	175.00	...	160.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SIRI 06/25/2021 CALL \$6.50 / CUSIP: / Symbol:							
06/02/21	7.000	209.98	05/24/21	84.00	...	125.98	Option sale to close-call <sup>25</sup>
SKLZ 11/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
11/09/21	2.000	199.98	11/04/21	198.00	...	1.98	Option sale to close-call <sup>25</sup>
SKT 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	287.98	03/03/21	126.00	...	161.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.98	03/03/21	170.00	...	169.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	2.000	627.96	Various	296.00	...	331.96	Total of 2 transactions
SLB 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/18/21	3.000	0.00	03/10/21	216.00	...	-216.00	Option expiration- long call
SLV 05/21/2021 CALL \$25.50 / CUSIP: / Symbol:							
05/12/21	2.000	85.98	05/12/21	104.00	...	-18.02	Option sale to close-call <sup>25</sup>
SNAP 06/04/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	350.98	05/10/21	216.00	...	134.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	350.98	05/10/21	212.00	...	138.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/21/21	2.000	701.96	Various	428.00	...	273.96	Total of 2 transactions
SNDL 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
04/16/21	6.000	0.00	03/10/21	210.00	...	-210.00	Option expiration- long call
SOLO 04/09/2021 CALL \$6.00 / CUSIP: / Symbol:							
03/09/21	1.000	90.98	03/09/21	79.00	...	11.98	Option sale to close-call <sup>25</sup>
SOLO 05/21/2021 CALL \$7.00 / CUSIP: / Symbol:							
05/21/21	3.000	0.00	04/01/21	108.00	...	-108.00	Option expiration- long call

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Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	38.99	11/04/21	41.00	...	-2.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.99	11/04/21	41.00	...	-2.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	77.98	Various	82.00	...	-4.02	Total of 2 transactions
SPLK 11/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
11/19/21	2.000	0.00	11/16/21	216.00	...	-216.00	Option expiration- long call
SPY 03/05/2021 PUT \$385.00 / CUSIP: / Symbol:							
03/02/21	1.000	178.98	03/02/21	230.00	...	-51.02	Option sale to close-put <sup>25</sup>
SPY 04/05/2021 CALL \$395.00 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	253.98	03/26/21	155.00	...	98.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	253.98	03/26/21	155.00	...	98.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/29/21	2.000	507.96	Various	310.00	...	197.96	Total of 2 transactions
SPY 04/14/2021 CALL \$412.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	115.98	04/08/21	80.00	...	35.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	229.98	04/08/21	160.00	...	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/21	3.000	345.96	Various	240.00	...	105.96	Total of 2 transactions
SPY 04/23/2021 CALL \$417.00 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	35.98	04/21/21	78.00	...	-42.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	47.98	04/21/21	78.00	...	-30.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/23/21	2.000	83.96	Various	156.00	...	-72.04	Total of 2 transactions
SPY 04/28/2021 CALL \$418.00 / CUSIP: / Symbol:							
04/28/21	2.000	159.98	04/28/21	138.00	...	21.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	2.000	13.99	04/26/21	138.00	...	-124.01	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	27.99	04/28/21	44.00	...	-16.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/21	6.000	41.98	Various	182.00	...	-140.02	Total of 2 transactions
SPY 04/30/2021 CALL \$420.00 / CUSIP: / Symbol:							
04/29/21	1.000	120.98	04/29/21	52.00	...	68.98	Option sale to close-call <sup>25</sup>
04/30/21	1.000	2.98	04/29/21	52.00	...	-49.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>123.96</b>		<b>104.00</b>	...	<b>19.96</b>	
SPY 05/19/2021 CALL \$419.00 / CUSIP: / Symbol:							
05/14/21	2.000	189.98	05/14/21	164.00	...	25.98	Option sale to close-call <sup>25</sup>
SQQQ 05/14/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.000	57.98	05/04/21	61.00	...	-3.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	57.98	05/04/21	61.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/11/21	2.000	115.96	Various	122.00	...	-6.04	Total of 2 transactions
STX 04/16/2021 CALL \$74.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	624.98	03/24/21	155.00	...	469.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	624.98	03/24/21	155.00	...	469.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/09/21	2.000	1,249.96	Various	310.00	...	939.96	Total of 2 transactions
SWBI 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	03/10/21	258.00	...	-258.00	Option expiration- long call
SYPR 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/17/21	98.00	...	-98.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/17/21	98.00	...	-98.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	196.00	...	-196.00	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TGH 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	5.000	0.00	03/29/21	215.00	...	-215.00	Option expiration- long call
TGH 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	04/15/21	180.00	...	-180.00	Option expiration- long call
TGT 03/05/2021 CALL \$177.50 / CUSIP: / Symbol:							
03/02/21	1.000	224.98	03/02/21	335.00	110.02 W	0.00	Option sale to close-call <sup>25</sup>
03/03/21	1.000	196.98	03/03/21	289.02	...	-92.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>421.96</b>		<b>624.02</b>	<b>110.02 W</b>	<b>-92.04</b>	
TGT 04/30/2021 PUT \$200.00 / CUSIP: / Symbol:							
04/30/21	2.000	0.00	04/21/21	148.00	...	-148.00	Option expiration- long put
TME 06/18/2021 CALL \$16.00 / CUSIP: / Symbol:							
	2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/09/21	59.00	...	-59.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/21	59.00	...	-59.00	2 of 2 - Option expiration- long call
06/18/21	2.000	0.00	Various	118.00	...	-118.00	Total of 2 transactions
TPX 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
05/21/21	2.000	0.00	03/31/21	250.00	...	-250.00	Option expiration- long call
TQQQ 03/05/2021 CALL \$95.00 / CUSIP: / Symbol:							
03/03/21	2.000	127.98	03/03/21	118.00	...	9.98	Option sale to close-call <sup>25</sup>
TQQQ 04/01/2021 CALL \$90.00 / CUSIP: / Symbol:							
	2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	1.000	151.98	03/30/21	75.00	...	76.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	03/30/21	75.00	...	164.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/31/21	2.000	391.96	Various	150.00	...	241.96	Total of 2 transactions
TQQQ 04/16/2021 CALL \$110.00 / CUSIP: / Symbol:							
04/14/21	1.000	183.98	04/12/21	101.00	...	82.98	Option sale to close-call <sup>25</sup>
04/15/21	1.000	154.98	04/12/21	101.00	...	53.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>338.96</b>		<b>202.00</b>	...	<b>136.96</b>	

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TQQQ 04/23/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	25.98	04/20/21	89.00	...	-63.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	27.98	04/20/21	89.00	...	-61.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/23/21	2.000	53.96	Various	178.00	...	-124.04	Total of 2 transactions
TTWO 04/16/2021 CALL \$195.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/16/21	230.00	...	-230.00	Option expiration- long call
UA 03/26/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/17/21	2.000	39.98	03/15/21	140.00	...	-100.02	Option sale to close-call <sup>25</sup>
UA 04/30/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/27/21	5.000	114.98	04/22/21	100.00	...	14.98	Option sale to close-call <sup>25</sup>
UL 04/16/2021 CALL \$57.50 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	03/15/21	49.00	...	-43.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.98	03/15/21	49.00	...	-43.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/16/21	2.000	11.96	Various	98.00	...	-86.04	Total of 2 transactions
UNM 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	105.98	04/09/21	60.00	...	45.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	105.98	04/09/21	60.00	...	45.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	105.98	04/09/21	60.00	...	45.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/18/21	3.000	317.94	Various	180.00	...	137.94	Total of 3 transactions
UVXY 04/01/2021 CALL \$6.00 / CUSIP: / Symbol:							
03/29/21	2.000	105.98	03/26/21	88.00	...	17.98	Option sale to close-call <sup>25</sup>
UWMC 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/03/21	2.000	347.98	03/03/21	270.00	...	77.98	Option sale to close-call <sup>25</sup>
UWMC 07/16/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/11/21	170.00	...	-170.00	Option expiration- long call

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VALE 04/09/2021 CALL \$18.00 / CUSIP: / Symbol:							
04/07/21	2.000	151.98	03/11/21	186.00	...	-34.02	Option sale to close-call <sup>25</sup>
VIVO 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/19/21	1.000	274.98	03/15/21	228.00	...	46.98	Option sale to close-call <sup>25</sup>
VSH 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/21/21	5.000	0.00	04/06/21	175.00	...	-175.00	Option expiration- long call
VXRT 05/07/2021 CALL \$11.00 / CUSIP: / Symbol:							
04/30/21	1.000	170.98	04/29/21	171.00	...	-0.02	Option sale to close-call <sup>25</sup>
VZ 04/16/2021 CALL \$57.50 / CUSIP: / Symbol:							
03/26/21	2.000	187.98	03/24/21	128.00	...	59.98	Option sale to close-call <sup>25</sup>
WLL 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/22/21	1.000	219.98	03/22/21	205.00	...	14.98	Option sale to close-call <sup>25</sup>
WMB 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/24/21	88.00	...	-88.00	Option expiration- long call
WMT 06/25/2021 CALL \$145.00 / CUSIP: / Symbol:							
06/25/21	1.000	0.00	05/18/21	225.00	...	-225.00	Option expiration- long call
WW 03/12/2021 CALL \$34.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	104.98	03/02/21	100.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.98	03/02/21	100.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/02/21	2.000	209.96	Various	200.00	...	9.96	Total of 2 transactions
XEL 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
	<i>3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	334.98	03/23/21	150.00	...	184.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	334.99	03/23/21	150.00	...	184.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	334.99	03/23/21	150.00	...	184.99	3 of 3 - Option sale to close-call <sup>25</sup>
04/13/21	3.000	1,004.96	Various	450.00	...	554.96	Total of 3 transactions

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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XEL 05/21/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	189.99	04/14/21	112.00	...	77.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	379.99	04/14/21	224.00	...	155.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/21/21	3.000	569.98	Various	336.00	...	233.98	Total of 2 transactions
XLF 03/19/2021 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	103.98	03/04/21	116.00	...	-12.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	51.98	03/04/21	58.00	...	-6.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	3.000	155.96	Various	174.00	...	-18.04	Total of 2 transactions
XLF 06/25/2021 CALL \$37.00 / CUSIP: / Symbol:							
06/16/21	3.000	185.98	06/16/21	117.00	...	68.98	Option sale to close-call <sup>25</sup>
XLI 03/26/2021 CALL \$97.00 / CUSIP: / Symbol:							
03/17/21	1.000	112.98	03/16/21	109.00	...	3.98	Option sale to close-call <sup>25</sup>
XOM 03/05/2021 CALL \$58.00 / CUSIP: / Symbol:							
03/02/21	1.000	45.98	03/01/21	83.00	...	-37.02	Option sale to close-call <sup>25</sup>
XOM 03/12/2021 CALL \$61.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	108.98	03/04/21	84.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	115.98	03/04/21	84.00	...	31.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	2.000	224.96	Various	168.00	...	56.96	Total of 2 transactions
XPEV 04/09/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	155.98	03/10/21	104.00	...	51.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	155.98	03/10/21	104.00	...	51.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	311.96	Various	208.00	...	103.96	Total of 2 transactions

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Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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YPF 04/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	109.98	03/04/21	100.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	164.98	03/04/21	150.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	5.000	274.96	Various	250.00	...	24.96	Total of 2 transactions
ADT INC. / CUSIP: 00090Q103 / Symbol:							
01/27/21	11.898	115.88	10/07/20	100.00	...	15.88	Sale <sup>25</sup>
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
07/02/21	5.000	475.40	05/19/21	383.30	...	92.10	Sale <sup>25</sup>
11/11/21	4.000	572.85	10/18/21	457.63	...	115.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,048.25</b>		<b>840.93</b>	...	<b>207.32</b>	
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	0.820	164.71	09/07/21	135.17	...	29.54	1 of 3 - Sale <sup>25</sup>
	1.000	200.85	09/07/21	164.83	...	36.02	2 of 3 - Sale <sup>25</sup>
	0.180	36.14	09/30/21	29.89	...	6.25	3 of 3 - Sale <sup>25</sup>
11/05/21	2.000	401.70	Various	329.89	...	71.81	Total of 3 transactions
ANTERO RESOURCES CORPORATION / CUSIP: 03674X106 / Symbol:							
09/07/21	20.000	326.10	05/11/21	230.98	...	95.12	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
7 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	1.000	127.74	07/31/20	102.19	...	25.55	1 of 7 - Sale <sup>25</sup>
	3.000	383.20	07/31/20	306.56	...	76.64	2 of 7 - Sale <sup>25</sup>
	1.000	127.73	09/02/20	130.90	...	-3.17	3 of 7 - Sale <sup>25</sup>
	1.000	127.73	09/03/20	122.83	...	4.90	4 of 7 - Sale <sup>25</sup>
	1.000	127.74	09/03/20	121.22	...	6.52	5 of 7 - Sale <sup>25</sup>
	1.000	127.73	09/03/20	120.20	...	7.53	6 of 7 - Sale <sup>25</sup>
	2.000	255.47	09/09/20	237.22	...	18.25	7 of 7 - Sale <sup>25</sup>
05/24/21	10.000	1,277.34	Various	1,141.12	...	136.22	Total of 7 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>19 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>							
	0.344	42.89	09/11/20	38.51	...	4.38	1 of 19 - Sale <sup>25</sup>
	1.656	206.47	09/11/20	185.41	...	21.06	2 of 19 - Sale <sup>25</sup>
	0.878	109.44	09/16/20	100.00	...	9.44	3 of 19 - Sale <sup>25</sup>
	1.000	124.68	09/16/20	112.99	...	11.69	4 of 19 - Sale <sup>25</sup>
	0.908	113.22	09/17/20	100.00	...	13.22	5 of 19 - Sale <sup>25</sup>
	0.000	0.02	09/18/20	0.02	...	0.00	6 of 19 - Sale <sup>25</sup>
	1.853	231.05	09/18/20	199.98	...	31.07	7 of 19 - Sale <sup>25</sup>
	1.862	232.09	09/18/20	200.00	...	32.09	8 of 19 - Sale <sup>25</sup>
	0.944	117.73	09/21/20	100.00	...	17.73	9 of 19 - Sale <sup>25</sup>
	0.000	0.03	10/05/20	0.03	...	0.00	10 of 19 - Sale <sup>25</sup>
	0.860	107.20	10/05/20	99.97	...	7.23	11 of 19 - Sale <sup>25</sup>
	0.000	0.01	10/06/20	0.01	...	0.00	12 of 19 - Sale <sup>25</sup>
	0.875	109.04	10/06/20	99.99	...	9.05	13 of 19 - Sale <sup>25</sup>
	2.000	249.36	10/06/20	230.90	...	18.46	14 of 19 - Sale <sup>25</sup>
	2.000	249.36	10/06/20	230.04	...	19.32	15 of 19 - Sale <sup>25</sup>
	2.000	249.35	10/06/20	225.44	...	23.91	16 of 19 - Sale <sup>25</sup>
	0.832	103.77	10/15/20	100.00	...	3.77	17 of 19 - Sale <sup>25</sup>
	0.332	41.43	10/28/20	37.41	...	4.02	18 of 19 - Sale <sup>25</sup>
	1.000	124.65	10/28/20	112.59	...	12.06	19 of 19 - Sale <sup>25</sup>
06/02/21	19.344	2,411.79	Various	2,173.29	...	238.50	Total of 19 transactions
	<b>Security total:</b>	<b>3,689.13</b>		<b>3,314.41</b>	...	<b>374.72</b>	
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
09/07/21	4.000	225.99	10/12/20	204.40	...	21.59	Sale <sup>25</sup>
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
09/20/21	7.000	821.81	07/06/21	475.51	...	346.30	Sale <sup>25</sup>
<i>2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	371.87	07/06/21	203.79	...	168.08	1 of 2 - Sale <sup>25</sup>
	2.000	247.92	08/05/21	155.01	...	92.91	2 of 2 - Sale <sup>25</sup>
09/23/21	5.000	619.79	Various	358.80	...	260.99	Total of 2 transactions
	<b>Security total:</b>	<b>1,441.60</b>		<b>834.31</b>	...	<b>607.29</b>	

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103869 / Symbol:							
12/31/21	5.000	49.50	06/08/21	307.45	...	-257.95	Sale <sup>25</sup>
ATEA PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 04683R106 / Symbol:							
08/31/21	10.000	296.57	08/09/21	330.82	...	-34.25	Sale <sup>25</sup>
BARCLAYS PLC / CUSIP: 06738E204 / Symbol:							
09/27/21	20.000	209.50	08/09/21	207.97	...	1.53	Sale <sup>25</sup>
BUTTERFLY NETWORK, INC. / CUSIP: 124155102 / Symbol:							
9 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	8.999	94.31	12/29/20	181.85	...	-87.54	1 of 9 - Sale <sup>25</sup>
	0.899	9.42	12/29/20	18.15	...	-8.73	2 of 9 - Sale <sup>25</sup>
	11.991	125.66	04/13/21	195.36	...	-69.70	3 of 9 - Sale <sup>25</sup>
	0.285	2.99	04/13/21	4.64	...	-1.65	4 of 9 - Sale <sup>25</sup>
	0.599	6.28	05/13/21	5.65	...	0.63	5 of 9 - Sale <sup>25</sup>
	9.996	104.75	05/13/21	94.35	...	10.40	6 of 9 - Sale <sup>25</sup>
	10.000	104.80	06/08/21	149.20	...	-44.40	7 of 9 - Sale <sup>25</sup>
	10.000	104.80	08/13/21	110.19	...	-5.39	8 of 9 - Sale <sup>25</sup>
	3.000	31.44	09/07/21	40.28	...	-8.84	9 of 9 - Sale <sup>25</sup>
10/21/21	55.767	584.45	Various	799.67	...	-215.22	Total of 9 transactions
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	25.000	54.00	06/30/20	50.21	...	3.79	1 of 2 - Sale <sup>25</sup>
	9.000	19.44	07/10/20	16.46	...	2.98	2 of 2 - Sale <sup>25</sup>
05/04/21	34.000	73.44	Various	66.67	...	6.77	Total of 2 transactions
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	8.73	05/24/21	7.46	...	1.27	1 of 2 - Sale <sup>25</sup>
	12.000	104.77	05/24/21	89.50	...	15.27	2 of 2 - Sale <sup>25</sup>
11/29/21	13.000	113.50	Various	96.96	...	16.54	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
2 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	1.000	122.05	07/29/21	97.39	...	24.66	1 of 2 - Sale <sup>25</sup>
	3.000	366.15	07/29/21	293.10	...	73.05	2 of 2 - Sale <sup>25</sup>
08/13/21	4.000	488.20	Various	390.49	...	97.71	Total of 2 transactions
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	1.000	107.20	07/29/21	97.39	...	9.81	1 of 2 - Sale <sup>25</sup>
	3.000	321.60	08/02/21	235.93	...	85.67	2 of 2 - Sale <sup>25</sup>
08/18/21	4.000	428.80	Various	333.32	...	95.48	Total of 2 transactions
	<b>Security total:</b>	<b>917.00</b>		<b>723.81</b>	...	<b>193.19</b>	
CERECOR INC. COMMON STOCK / CUSIP: 15671L109 / Symbol:							
03/08/21	25.000	78.63	06/30/20	63.00	...	15.63	Sale <sup>25</sup>
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	10.000	236.69	06/04/20	118.70	...	117.99	1 of 2 - Sale <sup>25</sup>
	5.000	118.34	07/09/20	49.60	...	68.74	2 of 2 - Sale <sup>25</sup>
01/07/21	15.000	355.03	Various	168.30	...	186.73	Total of 2 transactions
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	5.000	123.78	09/29/20	75.55	...	48.23	1 of 2 - Sale <sup>25</sup>
	5.000	123.78	11/03/20	72.36	...	51.42	2 of 2 - Sale <sup>25</sup>
08/05/21	10.000	247.56	Various	147.91	...	99.65	Total of 2 transactions
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
02/19/21	1.000	113.67	09/02/20	70.26	...	43.41	Sale <sup>25</sup>
8 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	0.149	13.30	09/03/20	9.55	...	3.75	1 of 8 - Sale <sup>25</sup>
	0.851	76.21	09/03/20	54.65	...	21.56	2 of 8 - Sale <sup>25</sup>
	1.000	89.44	09/03/20	61.50	...	27.94	3 of 8 - Sale <sup>25</sup>
	1.000	89.44	09/09/20	62.39	...	27.05	4 of 8 - Sale <sup>25</sup>
	1.000	89.44	09/11/20	54.17	...	35.27	5 of 8 - Sale <sup>25</sup>
	0.932	83.35	09/17/20	50.00	...	33.35	6 of 8 - Sale <sup>25</sup>

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHEWY, INC. / CUSIP: 16679L109 / Symbol: (cont'd)							
	1.932	172.83	09/18/20	100.00	...	72.83	7 of 8 - Sale <sup>25</sup>
	0.987	88.27	09/21/20	52.00	...	36.27	8 of 8 - Sale <sup>25</sup>
08/04/21	7.851	702.28	Various	444.26	...	258.02	Total of 8 transactions
	<b>Security total:</b>	<b>815.95</b>		<b>514.52</b>	...	<b>301.43</b>	
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
09/07/21	3.000	215.20	06/24/21	213.56	...	1.64	Sale <sup>25</sup>
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	121.06	08/10/20	38.26	...	82.80	1 of 2 - Sale <sup>25</sup>
	0.750	90.79	08/18/20	29.96	...	60.83	2 of 2 - Sale <sup>25</sup>
08/05/21	1.750	211.85	Various	68.22	...	143.63	Total of 2 transactions
10/01/21	1.747	200.25	10/15/20	100.00	...	100.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>412.10</b>		<b>168.22</b>	...	<b>243.88</b>	
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
10/19/21	1.000	302.90	10/12/21	248.75	...	54.15	Sale <sup>25</sup>
COMMERCIAL METALS COMPANY / CUSIP: 201723103 / Symbol:							
11/08/21	5.000	176.16	06/11/21	166.23	...	9.93	Sale <sup>25</sup>
CORTEVA, INC. COMMON STOCK / CUSIP: 22052L104 / Symbol:							
11/05/21	5.000	236.50	08/09/21	226.23	...	10.27	Sale <sup>25</sup>
DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	5.000	116.15	02/26/21	173.68	...	-57.53	1 of 3 - Sale <sup>25</sup>
	4.914	114.14	03/18/21	144.99	...	-30.85	2 of 3 - Sale <sup>25</sup>
	0.086	2.01	03/18/21	2.55	...	-0.54	3 of 3 - Sale <sup>25</sup>
10/25/21	10.000	232.30	Various	321.22	...	-88.92	Total of 3 transactions
DIAGEO PLC / CUSIP: 25243Q205 / Symbol:							
08/05/21	3.000	602.77	05/13/21	557.20	...	45.57	Sale <sup>25</sup>

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIGITALOCEAN HOLDINGS, INC. / CUSIP: 25402D102 / Symbol:							
3 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	0.001	0.07	09/07/21	0.05	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.260	22.19	09/07/21	18.29	...	3.90	2 of 3 - Sale <sup>25</sup>
	3.999	341.73	09/07/21	281.66	...	60.07	3 of 3 - Sale <sup>25</sup>
10/08/21	4.260	363.99	Various	300.00	...	63.99	Total of 3 transactions
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
08/04/21	5.000	233.45	03/02/21	204.03	...	29.42	Sale <sup>25</sup>
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
7 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	0.550	123.68	09/03/20	133.36	...	-9.68	1 of 7 - Sale <sup>25</sup>
	1.000	224.73	09/03/20	234.00	...	-9.27	2 of 7 - Sale <sup>25</sup>
	0.448	100.80	09/03/20	108.66	...	-7.86	3 of 7 - Sale <sup>25</sup>
	0.001	0.27	09/03/20	0.30	...	-0.03	4 of 7 - Sale <sup>25</sup>
	1.000	224.73	09/08/20	209.47	...	15.26	5 of 7 - Sale <sup>25</sup>
	1.000	224.72	09/09/20	211.76	...	12.96	6 of 7 - Sale <sup>25</sup>
	0.450	101.05	09/11/20	88.59	...	12.46	7 of 7 - Sale <sup>25</sup>
04/13/21	4.450	999.98	Various	986.14	...	13.84	Total of 7 transactions
9 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	0.225	62.78	09/11/20	44.35	...	18.43	1 of 9 - Sale <sup>25</sup>
	0.325	90.70	09/11/20	64.09	...	26.61	2 of 9 - Sale <sup>25</sup>
	0.493	137.44	09/14/20	100.00	...	37.44	3 of 9 - Sale <sup>25</sup>
	0.496	138.27	09/15/20	100.00	...	38.27	4 of 9 - Sale <sup>25</sup>
	0.527	146.89	09/18/20	100.00	...	46.89	5 of 9 - Sale <sup>25</sup>
	0.529	147.47	09/18/20	100.00	...	47.47	6 of 9 - Sale <sup>25</sup>
	0.619	172.47	09/18/20	120.52	...	51.95	7 of 9 - Sale <sup>25</sup>
	0.012	3.36	09/21/20	2.38	...	0.98	8 of 9 - Sale <sup>25</sup>
	1.000	278.81	09/21/20	197.62	...	81.19	9 of 9 - Sale <sup>25</sup>
06/24/21	4.225	1,178.19	Various	828.96	...	349.23	Total of 9 transactions
10/29/21	3.000	830.09	10/19/21	823.24	...	6.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,008.26</b>		<b>2,638.34</b>	...	<b>369.92</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
22 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.102	6.04	09/18/20	5.65	...	0.39	1 of 22 - Sale <sup>25</sup>
	0.506	30.00	09/18/20	28.03	...	1.97	2 of 22 - Sale <sup>25</sup>
	3.000	177.98	09/18/20	166.32	...	11.66	3 of 22 - Sale <sup>25</sup>
	0.002	0.11	10/05/20	0.11	...	0.00	4 of 22 - Sale <sup>25</sup>
	1.648	97.78	10/07/20	90.20	...	7.58	5 of 22 - Sale <sup>25</sup>
	0.000	0.01	10/15/20	0.01	...	0.00	6 of 22 - Sale <sup>25</sup>
	0.156	9.28	10/15/20	7.25	...	2.03	7 of 22 - Sale <sup>25</sup>
	2.000	118.66	10/15/20	92.74	...	25.92	8 of 22 - Sale <sup>25</sup>
	0.000	0.03	10/16/20	0.02	...	0.01	9 of 22 - Sale <sup>25</sup>
	0.197	11.68	10/16/20	8.96	...	2.72	10 of 22 - Sale <sup>25</sup>
	2.000	118.64	10/16/20	91.04	...	27.60	11 of 22 - Sale <sup>25</sup>
	2.212	131.24	10/16/20	99.98	...	31.26	12 of 22 - Sale <sup>25</sup>
	0.598	35.50	10/28/20	23.03	...	12.47	13 of 22 - Sale <sup>25</sup>
	2.000	118.65	10/28/20	76.97	...	41.68	14 of 22 - Sale <sup>25</sup>
	0.396	23.50	11/11/20	16.53	...	6.97	15 of 22 - Sale <sup>25</sup>
	2.000	118.65	11/11/20	83.47	...	35.18	16 of 22 - Sale <sup>25</sup>
	0.001	0.06	11/20/20	0.05	...	0.01	17 of 22 - Sale <sup>25</sup>
	0.081	4.80	11/20/20	3.89	...	0.91	18 of 22 - Sale <sup>25</sup>
	2.000	118.66	11/20/20	96.06	...	22.60	19 of 22 - Sale <sup>25</sup>
	2.457	145.79	05/13/21	100.00	...	45.79	20 of 22 - Sale <sup>25</sup>
	0.243	14.40	05/14/21	10.82	...	3.58	21 of 22 - Sale <sup>25</sup>
	2.000	118.64	05/14/21	89.18	...	29.46	22 of 22 - Sale <sup>25</sup>
08/31/21	23.598	1,400.10	Various	1,090.31	...	309.79	Total of 22 transactions
08/31/21	1.670	99.10	10/05/20	99.89	0.79 W	0.00	Sale <sup>25</sup>
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	8.330	429.39	09/23/21	430.40	...	-1.01	1 of 2 - Sale <sup>25</sup>
	1.670	86.10	09/23/21	87.09	...	-0.99	2 of 2 - Sale <sup>25</sup>
09/27/21	10.000	515.49	Various	517.49	...	-2.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,014.69</b>		<b>1,707.69</b>	<b>0.79 W</b>	<b>307.79</b>	
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
01/27/21	5.000	407.52	12/16/20	98.90	...	308.62	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EOG RESOURCES, INC. / CUSIP: 26875P101 / Symbol:							
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	161.58	04/12/21	144.90	...	16.68	1 of 2 - Sale <sup>25</sup>
	3.000	248.52	04/12/21	217.35	...	31.17	2 of 2 - Sale <sup>25</sup>
05/07/21	5.000	410.10	Various	362.25	...	47.85	Total of 2 transactions
8X8, INC. COMMON STOCK / CUSIP: 282914100 / Symbol:							
09/07/21	10.000	252.90	05/18/21	243.07	...	9.83	Sale <sup>25</sup>
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
02/19/21	1.000	226.75	10/06/20	136.50	...	90.25	Sale <sup>25</sup>
06/23/21	2.915	525.84	06/14/21	500.00	...	25.84	Sale <sup>25</sup>
08/23/21	3.000	587.65	08/04/21	526.11	...	61.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,340.24</b>		<b>1,162.61</b>	...	<b>177.63</b>	
FARFETCH LIMITED CLASS A ORDIN ARY SHARES / CUSIP: 30744W107 / Symbol:							
02/19/21	0.953	69.72	12/29/20	60.00	...	9.72	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	38.69	08/06/20	90.54	51.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.041	1.59	08/07/20	3.32	1.73 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/21	1.041	40.28	Various	93.86	53.58 W	0.00	Total of 2 transactions
6 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	43.90	08/31/20	88.77	...	-44.87	1 of 6 - Sale <sup>25</sup>
	1.000	43.89	10/15/20	93.56	...	-49.67	2 of 6 - Sale <sup>25</sup>
	0.069	3.02	10/15/20	6.44	...	-3.42	3 of 6 - Sale <sup>25</sup>
	1.189	52.19	10/16/20	100.00	...	-47.81	4 of 6 - Sale <sup>25</sup>
	1.000	43.90	05/13/21	40.48	...	3.42	5 of 6 - Sale <sup>25</sup>
	0.959	42.09	08/04/21	34.42	...	7.67	6 of 6 - Sale <sup>25</sup>
08/09/21	5.216	228.99	Various	363.67	...	-134.68	Total of 6 transactions
	<b>Security total:</b>	<b>269.27</b>		<b>457.53</b>	<b>53.58 W</b>	<b>-134.68</b>	
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
08/09/21	3.749	350.57	06/23/21	300.00	...	50.57	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
4 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.477	7.59	12/07/20	5.82	...	1.77	1 of 4 - Sale <sup>25</sup>
	6.523	103.78	12/07/20	79.55	...	24.23	2 of 4 - Sale <sup>25</sup>
	0.485	7.72	12/24/20	7.48	...	0.24	3 of 4 - Sale <sup>25</sup>
	5.992	95.33	12/24/20	92.52	...	2.81	4 of 4 - Sale <sup>25</sup>
03/22/21	13.477	214.42	Various	185.37	...	29.05	Total of 4 transactions
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	30.000	120.65	03/05/21	192.90	...	-72.25	1 of 2 - Sale <sup>25</sup>
	30.000	120.65	06/08/21	148.50	...	-27.85	2 of 2 - Sale <sup>25</sup>
07/06/21	60.000	241.30	Various	341.40	...	-100.10	Total of 2 transactions
FORTE BIOSCIENCES, INC. COMMON STOCK / CUSIP: 34962G109 / Symbol:							
6 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	10.000	22.51	04/13/21	341.47	...	-318.96	1 of 6 - Sale <sup>25</sup>
	0.250	0.56	04/13/21	8.53	...	-7.97	2 of 6 - Sale <sup>25</sup>
	10.000	22.50	08/05/21	290.85	...	-268.35	3 of 6 - Sale <sup>25</sup>
	10.000	22.50	08/05/21	285.83	...	-263.33	4 of 6 - Sale <sup>25</sup>
	10.000	22.51	08/05/21	284.70	...	-262.19	5 of 6 - Sale <sup>25</sup>
	10.000	22.51	08/05/21	284.51	...	-262.00	6 of 6 - Sale <sup>25</sup>
12/23/21	50.250	113.09	Various	1,495.89	...	-1,382.80	Total of 6 transactions
GLOBAL BLOOD THERAPEUTICS, INC . COMMON STOCK / CUSIP: 37890U108 / Symbol:							
10/29/21	1.637	61.22	05/14/21	60.00	...	1.22	Sale <sup>25</sup>
GOLDEN MINERALS COMPANY / CUSIP: 381119106 / Symbol:							
05/04/21	200.000	154.02	01/14/21	147.72	...	6.30	Sale <sup>25</sup>
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
12/02/21	16.000	191.36	11/12/21	193.20	...	-1.84	Sale <sup>25</sup>
HILLTOP HOLDINGS INC. / CUSIP: 432748101 / Symbol:							
11/01/21	5.000	183.55	05/21/21	181.04	...	2.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
08/11/21	3.000	1,009.48	05/07/21	1,010.04	...	-0.56	Sale <sup>25</sup>
HUNTINGTON BANCSHARES INCORPORATED COMMON STOCK / CUSIP: 446150104 / Symbol:							
09/07/21	10.000	153.73	06/24/21	141.90	...	11.83	Sale <sup>25</sup>
HUYA INC. / CUSIP: 44852D108 / Symbol:							
5 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	8.926	232.88	09/18/20	240.74	...	-7.86	1 of 5 - Sale <sup>25</sup>
	0.742	19.36	10/12/20	17.52	...	1.84	2 of 5 - Sale <sup>25</sup>
	2.000	52.17	10/12/20	47.23	...	4.94	3 of 5 - Sale <sup>25</sup>
	4.853	126.62	11/11/20	100.00	...	26.62	4 of 5 - Sale <sup>25</sup>
	5.000	130.44	12/16/20	101.83	...	28.61	5 of 5 - Sale <sup>25</sup>
03/09/21	21.521	561.47	Various	507.32	...	54.15	Total of 5 transactions
ICLICK INTERACTIVE ASIA GROUP LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 45113Y104 / Symbol:							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	0.819	9.01	11/24/20	5.44	...	3.57	1 of 2 - Sale <sup>25</sup>
	4.000	43.96	11/24/20	26.56	...	17.40	2 of 2 - Sale <sup>25</sup>
05/14/21	4.819	52.97	Various	32.00	...	20.97	Total of 2 transactions
IMAGE SENSING SYSTEMS, INC. COMMON STOCK / CUSIP: 45244C104 / Symbol:							
05/05/21	20.000	178.50	05/05/21	187.00	...	-8.50	Sale <sup>25</sup>
INARI MEDICAL, INC. COMMON STOCK / CUSIP: 45332Y109 / Symbol:							
09/08/21	5.000	411.45	08/12/21	382.02	...	29.43	Sale <sup>25</sup>
INFINITY PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45665G303 / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	0.944	2.39	12/09/20	2.10	...	0.29	1 of 2 - Sale <sup>25</sup>
	39.056	98.81	12/09/20	87.05	...	11.76	2 of 2 - Sale <sup>25</sup>
10/29/21	40.000	101.20	Various	89.15	...	12.05	Total of 2 transactions
INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
05/28/21	5.000	43.65	07/27/20	55.33	...	-11.68	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INVESCO SOLAR ETF / CUSIP: 46138G706 / Symbol:							
10/18/21	2.000	176.08	03/30/21	175.77	...	0.31	Sale <sup>25</sup>
IRIDIUM COMMUNICATIONS INC COM MON STOCK / CUSIP: 46269C102 / Symbol:							
09/07/21	5.000	243.30	02/18/21	232.10	...	11.20	Sale <sup>25</sup>
JASPER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 471871103 / Symbol:							
4 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	5.000	78.36	10/11/21	38.75	...	39.61	1 of 4 - Sale <sup>25</sup>
	5.000	78.63	10/11/21	38.75	...	39.88	2 of 4 - Sale <sup>25</sup>
	5.806	89.43	10/11/21	45.00	...	44.43	3 of 4 - Sale <sup>25</sup>
	10.000	145.90	10/11/21	77.50	...	68.40	4 of 4 - Sale <sup>25</sup>
10/13/21	25.806	392.32	Various	200.00	...	192.32	Total of 4 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
15 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	78.11	12/07/20	82.98	...	-4.87	1 of 15 - Sale <sup>25</sup>
	0.205	16.03	12/07/20	17.02	...	-0.99	2 of 15 - Sale <sup>25</sup>
	2.000	156.22	03/09/21	178.26	...	-22.04	3 of 15 - Sale <sup>25</sup>
	0.244	19.05	03/09/21	21.74	...	-2.69	4 of 15 - Sale <sup>25</sup>
	3.577	279.42	03/18/21	299.96	...	-20.54	5 of 15 - Sale <sup>25</sup>
	0.000	0.04	03/18/21	0.04	...	0.00	6 of 15 - Sale <sup>25</sup>
	4.760	371.78	04/13/21	373.75	...	-1.97	7 of 15 - Sale <sup>25</sup>
	0.240	18.72	04/13/21	18.88	...	-0.16	8 of 15 - Sale <sup>25</sup>
	5.000	389.30	04/20/21	372.29	...	17.01	9 of 15 - Sale <sup>25</sup>
	5.000	389.30	04/20/21	372.25	...	17.05	10 of 15 - Sale <sup>25</sup>
	1.000	77.86	05/13/21	67.69	...	10.17	11 of 15 - Sale <sup>25</sup>
	2.000	155.72	07/06/21	144.68	...	11.04	12 of 15 - Sale <sup>25</sup>
	2.000	155.72	07/27/21	129.40	...	26.32	13 of 15 - Sale <sup>25</sup>
	2.000	155.72	08/02/21	143.48	...	12.24	14 of 15 - Sale <sup>25</sup>
	12.760	993.44	08/31/21	1,000.00	...	-6.56	15 of 15 - Sale <sup>25</sup>
11/10/21	41.786	3,256.43	Various	3,222.42	...	34.01	Total of 15 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
04/13/21	20.000	718.23	10/06/20	166.66	...	551.57	Sale <sup>25</sup>

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
11/03/21	10.000	254.10	06/07/21	244.25	...	9.85	Sale <sup>25</sup>
LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol:							
08/04/21	2.000	1,283.74	04/22/21	1,259.10	...	24.64	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
<i>8 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	69.80	03/20/20	127.36	...	-57.56	1 of 8 - Sale <sup>25</sup>
	5.000	69.80	03/24/20	137.79	...	-67.99	2 of 8 - Sale <sup>25</sup>
	2.000	27.92	06/04/20	75.64	...	-47.72	3 of 8 - Sale <sup>25</sup>
	2.000	27.92	06/04/20	64.12	...	-36.20	4 of 8 - Sale <sup>25</sup>
	2.000	27.92	06/04/20	46.38	...	-18.46	5 of 8 - Sale <sup>25</sup>
	1.000	13.96	06/04/20	31.92	...	-17.96	6 of 8 - Sale <sup>25</sup>
	20.000	279.18	06/04/20	72.40	...	206.78	7 of 8 - Sale <sup>25</sup>
	12.000	167.51	06/05/20	75.38	...	92.13	8 of 8 - Sale <sup>25</sup>
01/28/21	49.000	684.01	Various	630.99	...	53.02	Total of 8 transactions
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
<i>3 transactions for 10/12/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	160.40	12/16/20	136.32	...	24.08	1 of 3 - Sale <sup>25</sup>
	2.766	88.73	12/24/20	99.65	...	-10.92	2 of 3 - Sale <sup>25</sup>
	0.010	0.31	12/24/20	0.35	...	-0.04	3 of 3 - Sale <sup>25</sup>
10/12/21	7.776	249.44	Various	236.32	...	13.12	Total of 3 transactions
THE MACERICH COMPANY / CUSIP: 554382101 / Symbol:							
02/01/21	8.000	112.00	06/08/20	99.76	...	12.24	Sale <sup>25</sup>
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
10/19/21	10.000	165.45	05/24/21	119.70	...	45.75	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
<i>3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	682.67	05/04/20	531.99	...	150.68	1 of 3 - Sale <sup>25</sup>
	1.000	227.56	09/08/20	206.98	...	20.58	2 of 3 - Sale <sup>25</sup>

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	0.188	42.79	09/11/20	38.36	...	4.43	3 of 3 - Sale <sup>25</sup>
03/04/21	4.188	953.02	Various	777.33	...	175.69	Total of 3 transactions
	16 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	0.057	13.04	09/11/20	11.64	...	1.40	1 of 16 - Sale <sup>25</sup>
	1.000	228.42	09/11/20	204.94	...	23.48	2 of 16 - Sale <sup>25</sup>
	1.000	228.42	09/11/20	203.41	...	25.01	3 of 16 - Sale <sup>25</sup>
	2.000	456.83	09/11/20	409.64	...	47.19	4 of 16 - Sale <sup>25</sup>
	0.487	111.18	09/14/20	100.00	...	11.18	5 of 16 - Sale <sup>25</sup>
	0.010	2.26	09/18/20	1.96	...	0.30	6 of 16 - Sale <sup>25</sup>
	0.042	9.56	09/18/20	8.42	...	1.14	7 of 16 - Sale <sup>25</sup>
	0.456	104.20	09/18/20	91.58	...	12.62	8 of 16 - Sale <sup>25</sup>
	0.505	115.24	09/18/20	100.00	...	15.24	9 of 16 - Sale <sup>25</sup>
	1.000	228.08	09/18/20	198.04	...	30.04	10 of 16 - Sale <sup>25</sup>
	0.015	3.43	09/21/20	2.96	...	0.47	11 of 16 - Sale <sup>25</sup>
	0.506	115.32	09/21/20	100.00	...	15.32	12 of 16 - Sale <sup>25</sup>
	1.000	228.09	09/21/20	197.04	...	31.05	13 of 16 - Sale <sup>25</sup>
	0.458	104.39	10/15/20	100.00	...	4.39	14 of 16 - Sale <sup>25</sup>
	0.489	111.59	10/28/20	100.00	...	11.59	15 of 16 - Sale <sup>25</sup>
	0.976	222.53	10/28/20	200.00	...	22.53	16 of 16 - Sale <sup>25</sup>
03/05/21	10.000	2,282.58	Various	2,029.63	...	252.95	Total of 16 transactions
	<b>Security total:</b>	<b>3,235.60</b>		<b>2,806.96</b>	...	<b>428.64</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.						
	0.001	0.43	08/12/21	0.38	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.059	25.64	08/12/21	22.80	...	2.84	2 of 3 - Sale <sup>25</sup>
	1.999	873.16	08/12/21	776.82	...	96.34	3 of 3 - Sale <sup>25</sup>
09/07/21	2.059	899.23	Various	800.00	...	99.23	Total of 3 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
10/07/21	1.000	640.26	09/07/21	610.10	...	30.16	Sale <sup>25</sup>
NETEASE, INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 64110W102 / Symbol:							
10/08/21	5.000	484.88	08/09/21	461.09	...	23.79	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTONLIFELOCK INC. COMMON STO CK / CUSIP: 668771108 / Symbol:							
06/25/21	10.000	268.80	04/05/21	219.45	...	49.35	Sale <sup>25</sup>
NUCOR CORPORATION / CUSIP: 670346105 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	0.292	35.48	05/13/21	29.37	...	6.11	1 of 2 - Sale <sup>25</sup>
	1.000	121.53	05/13/21	100.63	...	20.90	2 of 2 - Sale <sup>25</sup>
08/11/21	1.292	157.01	Various	130.00	...	27.01	Total of 2 transactions
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
09/07/21	4.953	216.51	06/23/21	200.00	...	16.51	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	0.204	113.52	09/18/20	100.00	...	13.52	1 of 2 - Sale <sup>25</sup>
	0.209	116.26	09/21/20	100.00	...	16.26	2 of 2 - Sale <sup>25</sup>
04/05/21	0.412	229.78	Various	200.00	...	29.78	Total of 2 transactions
NUZEE, INC. COMMON STOCK / CUSIP: 67073S208 / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	0.144	0.80	03/29/21	0.55	...	0.25	1 of 2 - Sale <sup>25</sup>
	26.000	144.58	03/29/21	99.45	...	45.13	2 of 2 - Sale <sup>25</sup>
11/11/21	26.144	145.38	Various	100.00	...	45.38	Total of 2 transactions
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.891	490.10	09/07/21	500.00	...	-9.90	1 of 3 - Sale <sup>25</sup>
	1.000	259.21	09/27/21	241.54	...	17.67	2 of 3 - Sale <sup>25</sup>
	1.000	259.20	09/30/21	236.80	...	22.40	3 of 3 - Sale <sup>25</sup>
10/21/21	3.891	1,008.51	Various	978.34	...	30.17	Total of 3 transactions
OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
10/27/21	20.000	477.30	04/21/21	366.00	...	111.30	Sale <sup>25</sup>
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
05/13/21	40.000	177.17	08/18/20	117.59	...	59.58	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
03/08/21	2.829	320.68	09/18/20	200.00	...	120.68	Sale <sup>25</sup>
PERSHING SQUARE TONTINE HOLDIN GS, LTD. / CUSIP: 71531R109 / Symbol:							
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.161	4.46	12/24/20	4.20	...	0.26	1 of 4 - Sale <sup>25</sup>
	0.681	18.85	12/24/20	17.72	...	1.13	2 of 4 - Sale <sup>25</sup>
	2.998	82.94	12/24/20	78.08	...	4.86	3 of 4 - Sale <sup>25</sup>
	3.840	106.22	12/24/20	100.00	...	6.22	4 of 4 - Sale <sup>25</sup>
03/05/21	7.681	212.47	Various	200.00	...	12.47	Total of 4 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	4.268	213.08	09/03/21	200.00	...	13.08	1 of 2 - Sale <sup>25</sup>
	2.000	99.85	09/29/21	87.17	...	12.68	2 of 2 - Sale <sup>25</sup>
11/12/21	6.268	312.93	Various	287.17	...	25.76	Total of 2 transactions
PILGRIM'S PRIDE CORPORATION CO MMON STOCK / CUSIP: 72147K108 / Symbol:							
09/07/21	10.000	280.20	05/11/21	245.89	...	34.31	Sale <sup>25</sup>
PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
4 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	2.222	250.26	06/23/21	267.87	...	-17.61	1 of 4 - Sale <sup>25</sup>
	0.764	86.07	07/06/21	92.01	...	-5.94	2 of 4 - Sale <sup>25</sup>
	0.014	1.57	07/06/21	1.57	...	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	112.64	07/06/21	112.48	...	0.16	4 of 4 - Sale <sup>25</sup>
07/06/21	4.000	450.54	Various	473.93	...	-23.39	Total of 4 transactions
07/06/21	0.764	86.07	06/23/21	92.13	6.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>536.61</b>		<b>566.06</b>	<b>6.06 W</b>	<b>-23.39</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
11/15/21	5.000	241.01	11/05/21	231.93	...	9.08	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PORCH GROUP, INC. COMMON STOCK / CUSIP: 733245104 / Symbol:							
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	0.001	0.03	02/10/21	0.03	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.300	7.05	02/10/21	6.97	...	0.08	2 of 3 - Sale <sup>25</sup>
	3.999	94.02	02/10/21	93.00	...	1.02	3 of 3 - Sale <sup>25</sup>
11/11/21	4.300	101.10	Various	100.00	...	1.10	Total of 3 transactions
PRIMO WATER CORPORATION / CUSIP: 74167P108 / Symbol:							
03/08/21	6.000	93.57	08/13/20	89.81	...	3.76	Sale <sup>25</sup>
QUAD/GRAPHICS, INC. / CUSIP: 747301109 / Symbol:							
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	0.036	0.16	05/14/21	0.13	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.212	0.92	05/14/21	0.75	...	0.17	2 of 3 - Sale <sup>25</sup>
	27.964	121.36	05/14/21	99.12	...	22.24	3 of 3 - Sale <sup>25</sup>
10/19/21	28.212	122.44	Various	100.00	...	22.44	Total of 3 transactions
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
08/05/21	3.000	440.22	06/24/21	413.79	...	26.43	Sale <sup>25</sup>
RECURSION PHARMACEUTICALS, INC . CLASS A COMMON STOCK / CUSIP: 75629V104 / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	0.655	13.19	10/11/21	12.30	...	0.89	1 of 2 - Sale <sup>25</sup>
	10.000	201.29	10/11/21	187.70	...	13.59	2 of 2 - Sale <sup>25</sup>
11/03/21	10.655	214.48	Various	200.00	...	14.48	Total of 2 transactions
REEDS, INC. COMMON STOCK / CUSIP: 758338107 / Symbol:							
05/04/21	100.000	139.99	05/04/21	139.00	...	0.99	Sale <sup>25</sup>
REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
2 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	1.000	14.00	05/05/21	12.70	...	1.30	1 of 2 - Sale <sup>25</sup>
	9.000	126.00	05/05/21	114.30	...	11.70	2 of 2 - Sale <sup>25</sup>
05/05/21	10.000	140.00	Various	127.00	...	13.00	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
01/22/21	25.000	61.63	05/12/20	57.38	...	4.25	Sale <sup>25</sup>
RENEWABLE ENERGY GROUP, INC. C OMMON STOCK / CUSIP: 75972A301 / Symbol:							
04/16/21	1.795	111.04	10/15/20	100.00	...	11.04	Sale <sup>25</sup>
RESOLUTE FOREST PRODUCTS INC. / CUSIP: 76117W109 / Symbol:							
09/03/21	5.000	61.55	06/30/21	61.49	...	0.06	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
16 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	1.000	246.71	08/31/20	268.94	...	-22.23	1 of 16 - Sale <sup>25</sup>
	1.000	246.71	08/31/20	266.76	...	-20.05	2 of 16 - Sale <sup>25</sup>
	1.000	246.71	09/08/20	251.35	...	-4.64	3 of 16 - Sale <sup>25</sup>
	1.000	246.70	09/09/20	251.49	...	-4.79	4 of 16 - Sale <sup>25</sup>
	0.406	100.11	09/14/20	100.00	...	0.11	5 of 16 - Sale <sup>25</sup>
	0.398	98.12	09/16/20	100.00	...	-1.88	6 of 16 - Sale <sup>25</sup>
	0.197	48.48	09/18/20	47.95	...	0.53	7 of 16 - Sale <sup>25</sup>
	0.213	53.18	09/18/20	52.05	...	1.13	8 of 16 - Sale <sup>25</sup>
	0.000	0.11	09/21/20	0.11	...	0.00	9 of 16 - Sale <sup>25</sup>
	0.199	49.63	09/21/20	47.75	...	1.88	10 of 16 - Sale <sup>25</sup>
	0.410	102.18	09/21/20	100.00	...	2.18	11 of 16 - Sale <sup>25</sup>
	0.801	199.67	09/21/20	192.14	...	7.53	12 of 16 - Sale <sup>25</sup>
	1.000	249.33	09/22/20	247.58	...	1.75	13 of 16 - Sale <sup>25</sup>
	0.789	196.81	12/03/20	176.45	...	20.36	14 of 16 - Sale <sup>25</sup>
	1.000	249.32	12/03/20	223.55	...	25.77	15 of 16 - Sale <sup>25</sup>
	1.000	249.33	12/16/20	223.70	...	25.63	16 of 16 - Sale <sup>25</sup>
08/05/21	10.413	2,583.10	Various	2,549.82	...	33.28	Total of 16 transactions
SATSUMA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 80405P107 / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	0.881	4.95	12/23/20	4.43	...	0.52	1 of 2 - Sale <sup>25</sup>
	18.925	106.36	12/23/20	95.57	...	10.79	2 of 2 - Sale <sup>25</sup>
10/22/21	19.805	111.31	Various	100.00	...	11.31	Total of 2 transactions

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SCHRODINGER, INC. COMMON STOCK / CUSIP: 80810D103 / Symbol:							
04/05/21	2.891	232.77	03/09/21	200.00	...	32.77	Sale <sup>25</sup>
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
08/18/21	1.000	324.61	05/13/21	204.91	...	119.70	Sale <sup>25</sup>
	<i>3 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>						
	0.494	174.52	08/23/21	152.90	...	21.62	1 of 3 - Sale <sup>25</sup>
	2.506	884.75	08/23/21	775.30	...	109.45	2 of 3 - Sale <sup>25</sup>
	1.494	527.56	08/31/21	500.00	...	27.56	3 of 3 - Sale <sup>25</sup>
09/07/21	4.494	1,586.83	Various	1,428.20	...	158.63	Total of 3 transactions
10/08/21	1.000	330.85	09/20/21	328.50	...	2.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,242.29</b>		<b>1,961.61</b>	...	<b>280.68</b>	
SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol:							
	<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>						
	1.918	164.21	10/28/20	100.00	...	64.21	1 of 2 - Sale <sup>25</sup>
	1.722	147.46	11/20/20	100.00	...	47.46	2 of 2 - Sale <sup>25</sup>
03/22/21	3.640	311.67	Various	200.00	...	111.67	Total of 2 transactions
SHINECO, INC. COMMON STOCK / CUSIP: 824567309 / Symbol:							
05/04/21	5.000	86.55	05/04/21	84.50	...	2.05	Sale <sup>25</sup>
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
	<i>6 transactions for 03/01/21. Total proceeds and cost reported to the IRS.</i>						
	0.109	142.94	09/11/20	100.00	...	42.94	1 of 6 - Sale <sup>25</sup>
	0.109	143.13	09/11/20	100.00	...	43.13	2 of 6 - Sale <sup>25</sup>
	0.113	147.35	09/16/20	100.00	...	47.35	3 of 6 - Sale <sup>25</sup>
	0.115	150.89	09/17/20	100.00	...	50.89	4 of 6 - Sale <sup>25</sup>
	0.111	144.97	09/18/20	100.00	...	44.97	5 of 6 - Sale <sup>25</sup>
	0.112	147.18	09/18/20	100.00	...	47.18	6 of 6 - Sale <sup>25</sup>
03/01/21	0.670	876.46	Various	600.00	...	276.46	Total of 6 transactions

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Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	19.47	12/16/20	18.41	...	1.06	1 of 3 - Sale <sup>25</sup>
	3.000	58.41	12/16/20	55.22	...	3.19	2 of 3 - Sale <sup>25</sup>
	6.000	116.82	12/16/20	110.45	...	6.37	3 of 3 - Sale <sup>25</sup>
04/22/21	10.000	194.70	Various	184.08	...	10.62	Total of 3 transactions
13 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	4.744	47.87	12/16/20	87.53	...	-39.66	1 of 13 - Sale <sup>25</sup>
	0.256	2.58	12/16/20	4.71	...	-2.13	2 of 13 - Sale <sup>25</sup>
	4.989	50.34	12/24/20	98.13	...	-47.79	3 of 13 - Sale <sup>25</sup>
	4.980	50.25	12/24/20	93.53	...	-43.28	4 of 13 - Sale <sup>25</sup>
	0.346	3.49	12/24/20	6.47	...	-2.98	5 of 13 - Sale <sup>25</sup>
	0.096	0.96	12/24/20	1.87	...	-0.91	6 of 13 - Sale <sup>25</sup>
	5.000	50.45	12/29/20	99.83	...	-49.38	7 of 13 - Sale <sup>25</sup>
	0.009	0.09	12/29/20	0.17	...	-0.08	8 of 13 - Sale <sup>25</sup>
	12.294	124.04	04/13/21	200.00	...	-75.96	9 of 13 - Sale <sup>25</sup>
	7.442	75.09	05/13/21	100.00	...	-24.91	10 of 13 - Sale <sup>25</sup>
	13.995	141.21	06/02/21	297.78	...	-156.57	11 of 13 - Sale <sup>25</sup>
	0.104	1.05	06/02/21	2.22	...	-1.17	12 of 13 - Sale <sup>25</sup>
	5.000	50.45	08/09/21	62.50	...	-12.05	13 of 13 - Sale <sup>25</sup>
10/22/21	59.256	597.87	Various	1,054.74	...	-456.87	Total of 13 transactions
	<b>Security total:</b>	<b>792.57</b>		<b>1,238.82</b>	...	<b>-446.25</b>	

SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:

4 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	9.981	106.25	09/22/20	107.30	...	-1.05	1 of 4 - Sale <sup>25</sup>
	0.019	0.20	09/22/20	0.20	...	0.00	2 of 4 - Sale <sup>25</sup>
	0.019	0.20	06/24/21	0.18	...	0.02	3 of 4 - Sale <sup>25</sup>
	1.000	10.65	06/24/21	9.82	...	0.83	4 of 4 - Sale <sup>25</sup>
07/01/21	11.019	117.30	Various	117.50	...	-0.20	Total of 4 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
4 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	0.728	159.69	09/11/20	100.00	...	59.69	1 of 4 - Sale <sup>25</sup>
	1.000	219.48	11/11/20	179.71	...	39.77	2 of 4 - Sale <sup>25</sup>
	1.000	219.48	11/24/20	203.14	...	16.34	3 of 4 - Sale <sup>25</sup>
	3.000	658.43	04/22/21	761.49	...	-103.06	4 of 4 - Sale <sup>25</sup>
06/11/21	5.728	1,257.08	Various	1,244.34	...	12.74	Total of 4 transactions
STATE STREET CORPORATION / CUSIP: 857477103 / Symbol:							
09/07/21	3.000	273.97	04/05/21	256.44	...	17.53	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	201.000	454.24	12/24/20	94.98	...	359.26	1 of 2 - Sale <sup>25</sup>
	73.000	164.98	01/07/21	47.59	...	117.39	2 of 2 - Sale <sup>25</sup>
02/11/21	274.000	619.22	Various	142.57	...	476.65	Total of 2 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
4 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	138.84	11/11/20	176.45	...	-37.61	1 of 4 - Sale <sup>25</sup>
	3.000	416.53	05/11/21	442.26	...	-25.73	2 of 4 - Sale <sup>25</sup>
	1.000	138.84	05/13/21	132.07	...	6.77	3 of 4 - Sale <sup>25</sup>
	1.000	138.73	05/27/21	148.66	...	-9.93	4 of 4 - Sale <sup>25</sup>
10/19/21	6.000	832.94	Various	899.44	...	-66.50	Total of 4 transactions
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	4.000	598.80	05/27/21	594.63	...	4.17	1 of 2 - Sale <sup>25</sup>
	6.000	898.19	07/29/21	922.62	...	-24.43	2 of 2 - Sale <sup>25</sup>
10/29/21	10.000	1,496.99	Various	1,517.25	...	-20.26	Total of 2 transactions
	<b>Security total:</b>	<b>2,329.93</b>		<b>2,416.69</b>	...	<b>-86.76</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
6 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	0.000	0.13	09/11/20	0.07	...	0.06	1 of 6 - Sale <sup>25</sup>
	0.134	88.46	09/11/20	49.93	...	38.53	2 of 6 - Sale <sup>25</sup>
	0.223	146.50	09/15/20	100.00	...	46.50	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.048	31.55	09/16/20	21.67	...	9.88	4 of 6 - Sale <sup>25</sup>
	0.173	114.08	09/16/20	78.33	...	35.75	5 of 6 - Sale <sup>25</sup>
	0.181	119.28	09/16/20	81.82	...	37.46	6 of 6 - Sale <sup>25</sup>
03/09/21	0.759	500.00	Various	331.82	...	168.18	Total of 6 transactions
	19 transactions for 04/19/21. Total proceeds and cost reported to the IRS.						
	0.040	28.64	09/16/20	18.18	...	10.46	1 of 19 - Sale <sup>25</sup>
	0.223	158.97	09/16/20	100.00	...	58.97	2 of 19 - Sale <sup>25</sup>
	0.226	160.58	09/16/20	100.00	...	60.58	3 of 19 - Sale <sup>25</sup>
	0.228	161.96	09/16/20	100.00	...	61.96	4 of 19 - Sale <sup>25</sup>
	0.235	166.98	09/17/20	100.00	...	66.98	5 of 19 - Sale <sup>25</sup>
	0.223	158.70	09/18/20	100.00	...	58.70	6 of 19 - Sale <sup>25</sup>
	0.228	162.11	09/18/20	100.00	...	62.11	7 of 19 - Sale <sup>25</sup>
	0.538	382.53	09/18/20	241.07	...	141.46	8 of 19 - Sale <sup>25</sup>
	0.059	42.16	09/21/20	26.45	...	15.71	9 of 19 - Sale <sup>25</sup>
	0.193	137.45	09/21/20	86.21	...	51.24	10 of 19 - Sale <sup>25</sup>
	0.232	164.72	09/21/20	100.00	...	64.72	11 of 19 - Sale <sup>25</sup>
	0.263	187.42	09/23/20	100.00	...	87.42	12 of 19 - Sale <sup>25</sup>
	0.235	167.45	10/05/20	100.00	...	67.45	13 of 19 - Sale <sup>25</sup>
	0.237	168.93	10/06/20	100.00	...	68.93	14 of 19 - Sale <sup>25</sup>
	0.488	347.07	10/06/20	200.00	...	147.07	15 of 19 - Sale <sup>25</sup>
	0.244	173.91	10/28/20	100.00	...	73.91	16 of 19 - Sale <sup>25</sup>
	0.235	167.30	03/05/21	141.47	...	25.83	17 of 19 - Sale <sup>25</sup>
	0.264	187.47	03/05/21	158.53	...	28.94	18 of 19 - Sale <sup>25</sup>
	0.512	364.01	03/05/21	300.00	...	64.01	19 of 19 - Sale <sup>25</sup>
04/19/21	4.904	3,488.36	Various	2,271.91	...	1,216.45	Total of 19 transactions
	<b>Security total:</b>	<b>3,988.36</b>		<b>2,603.73</b>	...	<b>1,384.63</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
01/14/21	0.339	268.87	10/15/20	211.35	...	57.52	Sale <sup>25</sup>
	11 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	5.000	416.65	08/18/21	390.94	...	25.71	1 of 11 - Sale <sup>25</sup>
	2.645	220.42	09/07/21	200.00	...	20.42	2 of 11 - Sale <sup>25</sup>
	2.000	166.65	09/10/21	147.93	...	18.72	3 of 11 - Sale <sup>25</sup>
	0.355	29.57	09/29/21	24.22	...	5.35	4 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol: (cont'd)							
	2.645	219.54	09/29/21	180.54	...	39.00	5 of 11 - Sale <sup>25</sup>
	5.000	415.00	10/18/21	399.30	...	15.70	6 of 11 - Sale <sup>25</sup>
	2.000	166.00	10/20/21	160.80	...	5.20	7 of 11 - Sale <sup>25</sup>
	0.355	29.45	10/21/21	27.23	...	2.22	8 of 11 - Sale <sup>25</sup>
	1.000	86.99	10/21/21	76.75	...	10.24	9 of 11 - Sale <sup>25</sup>
	3.645	321.50	10/21/21	279.77	...	41.73	10 of 11 - Sale <sup>25</sup>
	1.355	119.50	10/22/21	101.53	...	17.97	11 of 11 - Sale <sup>25</sup>
11/08/21	26.000	2,191.27	Various	1,989.01	...	202.26	Total of 11 transactions
	9 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	0.645	57.37	10/22/21	48.34	...	9.03	1 of 9 - Sale <sup>25</sup>
	5.000	444.59	10/22/21	369.16	...	75.43	2 of 9 - Sale <sup>25</sup>
	5.000	444.59	10/22/21	368.82	...	75.77	3 of 9 - Sale <sup>25</sup>
	5.000	444.59	10/27/21	365.65	...	78.94	4 of 9 - Sale <sup>25</sup>
	10.000	889.17	10/29/21	749.55	...	139.62	5 of 9 - Sale <sup>25</sup>
	5.000	444.59	11/05/21	347.95	...	96.64	6 of 9 - Sale <sup>25</sup>
	10.000	889.17	11/05/21	711.61	...	177.56	7 of 9 - Sale <sup>25</sup>
	10.000	889.17	11/05/21	692.80	...	196.37	8 of 9 - Sale <sup>25</sup>
	10.000	889.17	11/05/21	692.70	...	196.47	9 of 9 - Sale <sup>25</sup>
11/10/21	60.645	5,392.41	Various	4,346.58	...	1,045.83	Total of 9 transactions
	<b>Security total:</b>	<b>7,852.55</b>		<b>6,546.94</b>	...	<b>1,305.61</b>	
3D SYSTEMS CORP / CUSIP: 88554D205 / Symbol:							
11/03/21	5.000	156.95	10/25/21	144.86	...	12.09	Sale <sup>25</sup>
TRIPADVISOR, INC. COMMON STOCK / CUSIP: 896945201 / Symbol:							
08/05/21	5.000	185.40	04/19/21	269.15	...	-83.75	Sale <sup>25</sup>
TUSIMPLE HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 90089L108 / Symbol:							
	2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	0.224	8.87	10/11/21	8.58	...	0.29	1 of 2 - Sale <sup>25</sup>
	5.000	197.50	10/11/21	191.42	...	6.08	2 of 2 - Sale <sup>25</sup>
11/29/21	5.224	206.37	Various	200.00	...	6.37	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UIPATH, INC. / CUSIP: 90364P105 / Symbol:							
<i>7 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	163.29	06/08/21	224.37	61.08 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.329	235.64	06/24/21	300.00	64.36 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	54.43	06/28/21	71.48	17.05 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.635	34.56	07/06/21	41.10	6.54 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.997	217.53	07/06/21	258.90	41.37 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	108.86	07/29/21	125.13	16.27 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.039	2.13	08/05/21	2.52	0.39 W	0.00	7 of 7 - Sale <sup>25</sup>
10/25/21	15.000	816.44	Various	1,023.50	207.06 W	0.00	Total of 7 transactions
UNUM GROUP / CUSIP: 91529Y106 / Symbol:							
06/24/21	5.000	142.00	04/09/21	137.53	...	4.47	Sale <sup>25</sup>
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
08/11/21	3.000	481.21	08/09/21	411.30	...	69.91	Sale <sup>25</sup>
09/07/21	3.000	797.48	08/23/21	612.08	...	185.40	Sale <sup>25</sup>
09/27/21	1.656	552.39	09/08/21	450.00	...	102.39	Sale <sup>25</sup>
10/08/21	1.000	306.88	10/01/21	300.30	...	6.58	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,137.96</b>		<b>1,773.68</b>	...	<b>364.28</b>	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
<i>5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.05	06/02/21	11.25	...	6.80	1 of 5 - Sale <sup>25</sup>
	2.000	36.00	06/02/21	22.50	...	13.50	2 of 5 - Sale <sup>25</sup>
	2.000	36.00	06/02/21	22.50	...	13.50	3 of 5 - Sale <sup>25</sup>
	5.000	90.00	06/02/21	56.25	...	33.75	4 of 5 - Sale <sup>25</sup>
	10.000	180.50	06/02/21	112.50	...	68.00	5 of 5 - Sale <sup>25</sup>
06/08/21	20.000	360.55	Various	225.00	...	135.55	Total of 5 transactions
VERTEX PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
<i>2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,100.31	04/22/21	1,092.72	...	7.59	1 of 2 - Sale <sup>25</sup>
	2.000	440.12	09/27/21	367.64	...	72.48	2 of 2 - Sale <sup>25</sup>
12/22/21	7.000	1,540.43	Various	1,460.36	...	80.07	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VOLITIONRX LIMITED COMMON STOC K / CUSIP: 928661107 / Symbol:							
11/15/21	24.845	98.63	12/28/20	100.00	...	-1.37	Sale <sup>25</sup>
WISDOMTREE INVESTMENTS, INC. C OMMON STOCK / CUSIP: 97717P104 / Symbol:							
10/29/21	20.000	130.00	04/01/21	127.79	...	2.21	Sale <sup>25</sup>
WOLFSPEED, INC. / CUSIP: 977852102 / Symbol:							
10/28/21	3.000	359.97	04/19/21	327.72	...	32.25	Sale <sup>25</sup>
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
09/10/21	5.000	510.93	08/05/21	488.45	...	22.48	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	25.000	22.52	06/08/20	4.88	...	17.64	1 of 2 - Sale <sup>25</sup>
	150.000	135.15	06/08/20	29.27	...	105.88	2 of 2 - Sale <sup>25</sup>
01/11/21	175.000	157.67	Various	34.15	...	123.52	Total of 2 transactions
BEYONDSRING INC. ORDINARY SHA RES / CUSIP: G10830100 / Symbol:							
08/06/21	5.000	146.63	08/04/21	129.70	...	16.93	Sale <sup>25</sup>
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
02/16/21	50.000	171.01	01/14/21	40.99	...	130.02	Sale <sup>25</sup>
03/11/21	100.000	106.99	01/14/21	81.98	...	25.01	Sale <sup>25</sup>
09/27/21	0.948	1.19	03/12/21	1.00	...	0.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>279.19</b>		<b>123.97</b>	...	<b>155.22</b>	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
4 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	0.560	26.32	09/15/20	21.87	...	4.45	1 of 4 - Sale <sup>25</sup>
	2.000	94.01	09/15/20	78.13	...	15.88	2 of 4 - Sale <sup>25</sup>
	0.312	14.66	09/16/20	11.88	...	2.78	3 of 4 - Sale <sup>25</sup>
	1.000	47.01	09/16/20	38.12	...	8.89	4 of 4 - Sale <sup>25</sup>
04/19/21	3.872	182.00	Various	150.00	...	32.00	Total of 4 transactions
PAGSEGURO DIGITAL LTD. / CUSIP: G68707101 / Symbol:							
09/29/21	4.000	207.64	06/08/21	206.32	...	1.32	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
11/23/21	30.000	150.00	04/19/21	87.60	...	62.40	Sale <sup>25</sup>
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
04/13/21	1.939	454.37	10/02/20	300.00	...	154.37	Sale <sup>25</sup>
MERUS N.V. COMMON SHARES / CUSIP: N5749R100 / Symbol:							
06/10/21	10.000	222.60	06/04/21	214.86	...	7.74	Sale <sup>25</sup>
<b>Totals :</b>		<b>110,966.48</b>		<b>106,321.00</b>	<b>578.56 W</b>	<b>5,224.04</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
12/30/21	5.000	16.95	01/13/20	99.65	...	-82.70	Sale <sup>25</sup>
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
10/12/21	22.000	325.88	08/18/20	98.23	...	227.65	Sale <sup>25</sup>
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
	2 transactions for 09/10/21. Total proceeds and cost reported to the IRS.						
	3.250	421.64	08/18/20	129.80	...	291.84	1 of 2 - Sale <sup>25</sup>
	1.750	227.03	08/25/20	67.29	...	159.74	2 of 2 - Sale <sup>25</sup>
09/10/21	5.000	648.67	Various	197.09	...	451.58	Total of 2 transactions
	2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	3.250	367.94	08/25/20	124.96	...	242.98	1 of 2 - Sale <sup>25</sup>
	1.750	198.13	09/11/20	60.15	...	137.98	2 of 2 - Sale <sup>25</sup>
09/29/21	5.000	566.07	Various	185.11	...	380.96	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
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CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol: (cont'd)

2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.

	1.250	143.31	09/11/20	42.96	...	100.35	1 of 2 - Sale <sup>25</sup>
	2.000	229.30	09/18/20	72.60	...	156.70	2 of 2 - Sale <sup>25</sup>
10/01/21	3.250	372.61	Various	115.56	...	257.05	Total of 2 transactions
	<b>Security total:</b>	<b>1,587.35</b>		<b>497.76</b>	...	<b>1,089.59</b>	

CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:

07/07/21	1.000	267.06	05/22/20	82.04	...	185.02	Sale <sup>25</sup>
	2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	2.000	500.48	05/22/20	164.07	...	336.41	1 of 2 - Sale <sup>25</sup>
	1.560	390.29	07/13/20	172.86	...	217.43	2 of 2 - Sale <sup>25</sup>
10/12/21	3.560	890.77	Various	336.93	...	553.84	Total of 2 transactions
	2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	0.440	125.02	07/13/20	48.81	...	76.21	1 of 2 - Sale <sup>25</sup>
	0.560	158.90	07/16/20	56.60	...	102.30	2 of 2 - Sale <sup>25</sup>
11/08/21	1.000	283.92	Various	105.41	...	178.51	Total of 2 transactions
	2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	1.440	409.09	07/16/20	145.68	...	263.41	1 of 2 - Sale <sup>25</sup>
	0.560	158.96	07/24/20	56.08	...	102.88	2 of 2 - Sale <sup>25</sup>
11/09/21	2.000	568.05	Various	201.76	...	366.29	Total of 2 transactions
	<b>Security total:</b>	<b>2,009.80</b>		<b>726.14</b>	...	<b>1,283.66</b>	

ESPERION THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 29664W105 / Symbol:

7 transactions for 12/30/21. Total proceeds and cost reported to the IRS.

	9.961	50.92	02/19/20	717.23	...	-666.31	1 of 7 - Sale <sup>25</sup>
	0.039	0.20	02/19/20	2.77	...	-2.57	2 of 7 - Sale <sup>25</sup>
	2.000	10.22	06/22/20	94.61	...	-84.39	3 of 7 - Sale <sup>25</sup>
	3.000	15.34	07/13/20	147.69	...	-132.35	4 of 7 - Sale <sup>25</sup>
	2.555	13.06	09/18/20	100.00	...	-86.94	5 of 7 - Sale <sup>25</sup>
	1.998	10.22	10/02/20	69.67	...	-59.45	6 of 7 - Sale <sup>25</sup>
	0.486	2.48	10/02/20	16.92	...	-14.44	7 of 7 - Sale <sup>25</sup>
12/30/21	20.039	102.44	Various	1,148.89	...	-1,046.45	Total of 7 transactions

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	0.003	0.15	01/23/20	0.06	...	0.09	1 of 3 - Sale <sup>25</sup>
	0.039	2.30	01/23/20	0.95	...	1.35	2 of 3 - Sale <sup>25</sup>
	5.000	297.55	01/23/20	122.40	...	175.15	3 of 3 - Sale <sup>25</sup>
06/24/21	5.041	300.00	Various	123.41	...	176.59	Total of 3 transactions
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	3.959	225.09	01/23/20	96.91	...	128.18	1 of 2 - Sale <sup>25</sup>
	4.041	229.78	04/17/20	93.80	...	135.98	2 of 2 - Sale <sup>25</sup>
07/06/21	8.000	454.87	Various	190.71	...	264.16	Total of 2 transactions
08/05/21	0.959	37.09	04/17/20	22.26	...	14.83	Sale <sup>25</sup>
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	2.000	77.37	06/24/20	146.45	69.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	38.69	07/13/20	85.98	47.29 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/21	3.000	116.06	Various	232.43	116.37 W	0.00	Total of 2 transactions
5 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	0.959	42.09	08/07/20	77.41	...	-35.32	1 of 5 - Sale <sup>25</sup>
	2.000	87.81	08/04/21	140.88	...	-53.07	2 of 5 - Sale <sup>25</sup>
	1.000	43.90	08/04/21	87.75	...	-43.85	3 of 5 - Sale <sup>25</sup>
	1.000	43.90	08/04/21	83.19	...	-39.29	4 of 5 - Sale <sup>25</sup>
	0.041	1.81	08/04/21	3.21	...	-1.40	5 of 5 - Sale <sup>25</sup>
08/09/21	5.000	219.51	Various	392.44	...	-172.93	Total of 5 transactions
	<b>Security total:</b>	<b>1,127.53</b>		<b>961.25</b>	<b>116.37 W</b>	<b>282.65</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	3.000	19.16	10/15/20	86.19	...	-67.03	1 of 2 - Sale <sup>25</sup>
	0.481	3.07	10/15/20	13.81	...	-10.74	2 of 2 - Sale <sup>25</sup>
12/31/21	3.481	22.23	Various	100.00	...	-77.77	Total of 2 transactions
INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
6 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	4.531	39.55	02/12/20	36.83	...	2.72	1 of 6 - Sale <sup>25</sup>
	10.000	87.30	02/19/20	89.70	...	-2.40	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol: (cont'd)							
	30.000	261.89	03/17/20	161.70	...	100.19	3 of 6 - Sale <sup>25</sup>
	10.000	87.30	04/16/20	122.39	...	-35.09	4 of 6 - Sale <sup>25</sup>
	1.000	8.73	04/17/20	11.68	...	-2.95	5 of 6 - Sale <sup>25</sup>
	9.000	78.57	05/04/20	102.87	...	-24.30	6 of 6 - Sale <sup>25</sup>
05/28/21	64.531	563.34	Various	525.17	...	38.17	Total of 6 transactions
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
3 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	0.041	3.18	01/13/20	1.61	...	1.57	1 of 3 - Sale <sup>25</sup>
	1.000	78.60	01/13/20	39.65	...	38.95	2 of 3 - Sale <sup>25</sup>
	3.000	235.86	01/13/20	118.95	...	116.91	3 of 3 - Sale <sup>25</sup>
10/12/21	4.041	317.64	Various	160.21	...	157.43	Total of 3 transactions
9 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.959	153.06	01/13/20	77.69	...	75.37	1 of 9 - Sale <sup>25</sup>
	3.000	234.33	01/13/20	118.95	...	115.38	2 of 9 - Sale <sup>25</sup>
	3.000	234.33	01/29/20	117.45	...	116.88	3 of 9 - Sale <sup>25</sup>
	5.000	390.56	01/29/20	195.75	...	194.81	4 of 9 - Sale <sup>25</sup>
	1.000	78.11	02/03/20	39.26	...	38.85	5 of 9 - Sale <sup>25</sup>
	2.000	156.22	02/03/20	78.32	...	77.90	6 of 9 - Sale <sup>25</sup>
	1.000	78.09	10/07/20	78.00	...	0.09	7 of 9 - Sale <sup>25</sup>
	1.000	78.10	10/15/20	79.71	...	-1.61	8 of 9 - Sale <sup>25</sup>
	0.255	19.88	10/15/20	20.29	...	-0.41	9 of 9 - Sale <sup>25</sup>
11/10/21	18.214	1,422.68	Various	805.42	...	617.26	Total of 9 transactions
	<b>Security total:</b>	<b>1,740.32</b>		<b>965.63</b>	...	<b>774.69</b>	
LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	2.000	27.92	05/26/20	80.55	...	-52.63	1 of 2 - Sale <sup>25</sup>
	1.000	13.96	05/26/20	36.21	...	-22.25	2 of 2 - Sale <sup>25</sup>
01/28/21	3.000	41.88	Various	116.76	...	-74.88	Total of 2 transactions
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	3.000	40.08	06/05/20	18.85	...	21.23	1 of 2 - Sale <sup>25</sup>
	10.000	133.60	06/05/20	57.88	...	75.72	2 of 2 - Sale <sup>25</sup>
07/06/21	13.000	173.68	Various	76.73	...	96.95	Total of 2 transactions

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Robinhood Securities LLC

Account 154769442

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>215.56</b>		<b>193.49</b>	...	<b>22.07</b>	
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	6.000	192.48	09/15/20	93.45	...	99.03	1 of 2 - Sale <sup>25</sup>
	1.000	32.08	10/06/20	13.89	...	18.19	2 of 2 - Sale <sup>25</sup>
10/12/21	7.000	224.56	Various	107.34	...	117.22	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.188	42.80	02/03/20	32.53	...	10.27	1 of 2 - Sale <sup>25</sup>
	0.812	184.77	02/03/20	140.46	...	44.31	2 of 2 - Sale <sup>25</sup>
03/04/21	1.000	227.57	Various	172.99	...	54.58	Total of 2 transactions
NEOGENOMICS, INC. COMMON STOCK / CUSIP: 64049M209 / Symbol:							
09/13/21	4.000	203.44	08/31/20	154.00	...	49.44	Sale <sup>25</sup>
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
06/16/21	10.000	380.90	01/23/20	363.90	...	17.00	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	0.412	229.80	03/19/20	87.10	...	142.70	1 of 2 - Sale <sup>25</sup>
	1.588	885.35	03/19/20	335.59	...	549.76	2 of 2 - Sale <sup>25</sup>
04/05/21	2.000	1,115.15	Various	422.69	...	692.46	Total of 2 transactions
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
04/12/21	10.000	330.00	04/06/20	174.30	...	155.70	Sale <sup>25</sup>
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	6.000	210.42	04/06/20	104.58	...	105.84	1 of 2 - Sale <sup>25</sup>
	4.000	140.28	04/16/20	103.34	...	36.94	2 of 2 - Sale <sup>25</sup>
06/24/21	10.000	350.70	Various	207.92	...	142.78	Total of 2 transactions
<b>Security total:</b>		<b>680.70</b>		<b>382.22</b>	...	<b>298.48</b>	

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Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
05/14/21	1.000	210.13	05/06/20	61.94	...	148.19	Sale <sup>25</sup>
	<i>3 transactions for 08/05/21. Total proceeds and cost reported to the IRS.</i>						
	0.973	298.45	05/06/20	60.27	...	238.18	1 of 3 - Sale <sup>25</sup>
	2.000	606.15	05/06/20	123.88	...	482.27	2 of 3 - Sale <sup>25</sup>
	2.000	613.39	06/24/20	209.08	...	404.31	3 of 3 - Sale <sup>25</sup>
08/05/21	4.973	1,517.99	Various	393.23	...	1,124.76	Total of 3 transactions
	<i>3 transactions for 08/18/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	324.61	07/13/20	118.22	...	206.39	1 of 3 - Sale <sup>25</sup>
	1.000	324.61	08/07/20	135.80	...	188.81	2 of 3 - Sale <sup>25</sup>
	2.000	649.21	08/10/20	244.08	...	405.13	3 of 3 - Sale <sup>25</sup>
08/18/21	4.000	1,298.43	Various	498.10	...	800.33	Total of 3 transactions
	<b>Security total:</b>	<b>3,026.55</b>		<b>953.27</b>	...	<b>2,073.28</b>	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
01/28/21	0.867	964.97	05/21/18	125.67	...	839.30	Sale <sup>25</sup>
02/19/21	0.803	1,150.71	05/21/18	116.43	...	1,034.28	Sale <sup>25</sup>
03/01/21	0.330	432.36	05/21/18	47.90	...	384.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,548.04</b>		<b>290.00</b>	...	<b>2,258.04</b>	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
	<i>5 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>						
	0.264	36.65	06/11/20	23.11	...	13.54	1 of 5 - Sale <sup>25</sup>
	0.592	82.19	07/13/20	94.48	...	-12.29	2 of 5 - Sale <sup>25</sup>
	1.184	164.39	07/24/20	203.54	...	-39.15	3 of 5 - Sale <sup>25</sup>
	0.592	82.20	08/05/20	120.53	...	-38.33	4 of 5 - Sale <sup>25</sup>
	2.368	328.78	08/11/20	433.02	...	-104.24	5 of 5 - Sale <sup>25</sup>
10/19/21	5.000	694.21	Various	874.68	...	-180.47	Total of 5 transactions
VOLITIONRX LIMITED COMMON STOCK / CUSIP: 928661107 / Symbol:							
11/15/21	30.000	119.09	08/26/20	104.40	...	14.69	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 154769442

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
<i>2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.473	21.88	02/03/20	11.54	...	10.34	1 of 2 - Sale <sup>25</sup>
	14.527	672.46	02/03/20	353.12	...	319.34	2 of 2 - Sale <sup>25</sup>
02/25/21	15.000	694.34	Various	364.66	...	329.68	Total of 2 transactions
<i>2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.</i>							
	4.473	219.65	02/03/20	108.72	...	110.93	1 of 2 - Sale <sup>25</sup>
	3.527	173.23	03/19/20	67.34	...	105.89	2 of 2 - Sale <sup>25</sup>
04/12/21	8.000	392.88	Various	176.06	...	216.82	Total of 2 transactions
04/19/21	6.473	304.28	03/19/20	123.56	...	180.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,391.50</b>		<b>664.28</b>	...	<b>727.22</b>	
<b>Totals :</b>		<b>18,323.11</b>		<b>9,805.98</b>	<b>116.37 W</b>	<b>8,633.50</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 154769442

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P305 / Symbol:							
08/26/21	0.667	4.30	08/21/20	30.30	...	-26.00	Gain or loss
<b>Totals:</b>		<b>4.30</b>		<b>30.30</b>	...	<b>-26.00</b>	

Robinhood Securities LLC

Account 154769442

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ACCO BRANDS CORPORATION	00081T108		06/21/21	1.30	Qualified dividend	
			09/15/21	1.30	Qualified dividend	
			12/15/21	1.50	Qualified dividend	
				<b>4.10</b>	<b>Total Dividends &amp; distributions</b>	
ADT INC.	00090Q103		01/04/21	0.42	Qualified dividend	
ANALOG DEVICES, INC. COMMON STOCK	032654105		12/14/21	0.69	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/11/21	6.02	Qualified dividend	
			05/13/21	6.46	Qualified dividend	
				<b>12.48</b>	<b>Total Dividends &amp; distributions</b>	
BARCLAYS PLC	06738E204		09/17/21	2.21	Qualified dividend	
CITIGROUP INC.	172967424		08/27/21	1.53	Qualified dividend	
COMMERCIAL METALS COMPANY	201723103		07/14/21	0.60	Qualified dividend	
			11/10/21	0.70	Qualified dividend	
				<b>1.30</b>	<b>Total Dividends &amp; distributions</b>	
CORTEVA, INC. COMMON STOCK	22052L104		09/15/21	0.70	Qualified dividend	
DIREXION DAILY SEMICONDUCTOR BULL 3X SHARES	25459W458		03/30/21	0.05	Nonqualified dividend	03
			03/30/21	0.03	Qualified dividend	03
				<b>0.08</b>	<b>Total Dividends &amp; distributions</b>	
EOG RESOURCES, INC.	26875P101		04/30/21	2.06	Qualified dividend	
HILLTOP HOLDINGS INC.	432748101		08/31/21	0.60	Qualified dividend	

Robinhood Securities LLC

Account 154769442

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HOME DEPOT, INC.	437076102		06/17/21	4.95	Qualified dividend	
LAM RESEARCH CORPORATION COMMON STOCK	512807108		07/07/21	2.60	Qualified dividend	
MARATHON OIL CORPORATION	565849106		09/10/21	0.50	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/11/21	8.51	Qualified dividend	
NETEASE, INC. AMERICAN DEPOSITARY SHARES	64110W102		09/30/21	1.20	Nonqualified dividend	
NORTONLIFELOCK INC. COMMON STOCK	668771108		06/23/21	1.25	Qualified dividend	
NUCOR CORPORATION	670346105		08/11/21	0.52	Qualified dividend	
NVIDIA CORPORATION COMMON STOCK	67066G104		03/31/21	0.39	Qualified dividend	
			12/23/21	0.29	Qualified dividend	
				<b>0.68</b>	<b>Total Dividends &amp; distributions</b>	
ORCHID ISLAND CAPITAL, INC.	68571X103		07/28/21	1.36	Nondividend distribution	03
			07/28/21	0.59	Section 199A dividend	03
			08/27/21	1.36	Nondividend distribution	03
			08/27/21	0.59	Section 199A dividend	03
			09/28/21	1.36	Nondividend distribution	03
			09/28/21	0.59	Section 199A dividend	03
			10/27/21	1.36	Nondividend distribution	03
			10/27/21	0.59	Section 199A dividend	03
			11/26/21	1.36	Nondividend distribution	03
			11/26/21	0.59	Section 199A dividend	03
			12/29/21	1.36	Nondividend distribution	03
			12/29/21	0.59	Section 199A dividend	03
			01/27/22	1.95	Qualified dividend	
				<b>13.65</b>	<b>Total Dividends &amp; distributions</b>	
PFIZER INC.	717081103		12/06/21	2.44	Qualified dividend	
STATE STREET CORPORATION	857477103		07/12/21	1.56	Qualified dividend	
UNUM GROUP	91529Y106		05/21/21	1.43	Qualified dividend	
VALE S.A.	91912E105		10/08/21	15.06	Nonqualified dividend	

Robinhood Securities LLC

Account 154769442

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405		10/21/21	63.10	Cash liquidation	
WISDOMTREE INVESTMENTS, INC. C COMMON STOCK	97717P104		05/26/21	0.60	Qualified dividend	
			08/25/21	0.60	Qualified dividend	
				<b>1.20</b>	<b>Total Dividends &amp; distributions</b>	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105		01/14/21	2.63	Nonqualified dividend	
			04/28/21	1.10	Nonqualified dividend	
				<b>3.73</b>	<b>Total Dividends &amp; distributions</b>	
				<b>148.55</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 154769442

**Other Receipts and Reconciliations**

2021

02/11/2022

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
NUSTAR ENERGY L.P.	67058H102	05/14/21	4.00	Partnership distribution	
		08/12/21	4.00	Partnership distribution	
		11/12/21	4.00	Partnership distribution	
			12.00	Total Partnership distribution	
			<b>12.00</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 154769442

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/01/21	-5.00	Margin interest paid	
		03/02/21	-5.00	Margin interest paid	
		04/01/21	-5.00	Margin interest paid	
		05/03/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/30/21	-5.00	Margin interest paid	
		07/30/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		09/28/21	-5.00	Margin interest paid	
		10/28/21	-5.00	Margin interest paid	
		11/29/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 154769442

## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105	3.73	0.00		0.00	3.73	0.00
	<b>Total BERMUDA</b>		<b>3.73</b>	<b>0.00</b>		<b>0.00</b>	<b>3.73</b>	<b>0.00</b>
BR	VALE S.A.	91912E105	15.06	0.00		0.00	15.06	0.00
	<b>Total BRAZIL</b>		<b>15.06</b>	<b>0.00</b>		<b>0.00</b>	<b>15.06</b>	<b>0.00</b>
CH	NETEASE, INC. AMERICAN DEPOSIT ARY SHARES	64110W102	1.20	0.00		0.00	1.20	0.00
	<b>Total CHINA</b>		<b>1.20</b>	<b>0.00</b>		<b>0.00</b>	<b>1.20</b>	<b>0.00</b>
UK	BARCLAYS PLC	06738E204	0.00	2.21		0.00	2.21	0.00
	<b>Total UNITED KINGDOM</b>		<b>0.00</b>	<b>2.21</b>		<b>0.00</b>	<b>2.21</b>	<b>0.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>						<b>22.20</b>	
	<b>Qualified Foreign Source Income**</b>						<b>2.21</b>	
	<b>Foreign Tax</b>						<b>0.00</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 154769442

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AEVA TECHNOLOGIES, INC.	00835Q103	03/15/21	7.22	0.00	Exchange	
ARCHER AVIATION INC.	03945R102	09/17/21	20.00	0.00	Exchange	
ASTRA SPACE, INC. CLASS A COMM ON STOCK	04634X103	07/01/21	17.83	0.00	Exchange	
ASTRIA THERAPEUTICS, INC. COMM ON STOCK	04635X102	09/09/21	1.00	0.00	Exchange	
ATLAS CREST INVESTMENT CORP.	049284102	09/17/21	-20.00	0.00	Exchange	
AULT GLOBAL HOLDINGS, INC.	05150X104	12/13/21	-50.00	0.00	Exchange	
BITNILE HOLDINGS, INC.	09175M101	12/13/21	50.00	0.00	Exchange	
BUTTERFLY NETWORK, INC.	124155102	02/16/21	9.90	0.00	Exchange	
CATABASIS PHARMACEUTICALS, INC. COMMON STOCK	14875P305	09/09/21	-1.00	0.00	Exchange	
CHARGEPOINT HOLDINGS, INC.	15961R105	03/01/21	10.00	0.00	Exchange	
CM LIFE SCIENCES, INC. CLASS A COMMON STOCK	18978W109	07/23/21	-28.36	0.00	Exchange	
CREE, INC. COMMON STOCK	225447101	10/04/21	-3.00	0.00	Exchange	
DECARBONIZATION PLUS ACQUISITION CORPORATION III CLASS A COMMON STOCK	24279D105	12/09/21	-22.37	0.00	Exchange	
ELECTRIC LAST MILE SOLUTIONS, INC. CLASS A COMMON STOCK	28489L104	06/28/21	5.00	0.00	Exchange	
FORUM MERGER III CORPORATION CLASS A COMMON STOCK	349885103	06/28/21	-5.00	0.00	Exchange	
GIGCAPITAL2, INC. COMMON STOCK	375036100	06/10/21	-9.16	0.00	Exchange	
GINKGO BIOWORKS HOLDINGS, INC.	37611X100	09/17/21	60.00	0.00	Exchange	
GORES HOLDINGS VI, INC. CLASS A COMMON STOCK	38286R105	07/23/21	-10.00	0.00	Exchange	
HOLICITY INC. CLASS A COMMON STOCK	435063102	07/01/21	-17.83	0.00	Exchange	
INTERPRIVATE ACQUISITION CORP. COMMON STOCK	46064A103	03/15/21	-7.22	0.00	Exchange	



Robinhood Securities LLC

Account 154769442

**Non-Reportable Actions**  
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
LONGVIEW ACQUISITION CORP.	543195101	02/16/21	-9.90	0.00	Exchange	
LOTTERY.COM, INC. COMMON STOCK	54570M108	10/29/21	6.00	0.00	Exchange	
MATTERPORT, INC. CLASS A COMMON STOCK	577096100	07/23/21	10.00	0.00	Exchange	
SEMA4 HOLDINGS CORP. CLASS A COMMON STOCK	81663L101	07/23/21	28.36	0.00	Exchange	
SOLID POWER, INC. CLASS A COMMON STOCK	83422N105	12/09/21	22.37	0.00	Exchange	
SWITCHBACK ENERGY ACQUISITION CORPORATION	87105M102	03/01/21	-10.00	0.00	Exchange	
TRIDENT ACQUISITIONS CORP. COMMON STOCK	89615T106	10/29/21	-6.00	0.00	Exchange	
UPHEALTH, INC.	91532B101	06/10/21	9.16	0.00	Exchange	
VELO3D, INC.	92259N104	09/30/21	30.00	0.00	Exchange	
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405	10/21/21	-80.66	0.00	Exchange	
WOLFSPEED, INC.	977852102	10/04/21	3.00	0.00	Exchange	
ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES	G0370L108	12/02/21	-20.23	0.00	Exchange	
GRAB HOLDINGS LIMITED CLASS A ORDINARY SHARES	G4124C109	12/02/21	20.23	0.00	Exchange	
JAWS SPITFIRE ACQUISITION CORPORATION	G50740102	09/30/21	-30.00	0.00	Exchange	
SOARING EAGLE ACQUISITION CORP. CLASS A ORDINARY SHARE	G8354H126	09/17/21	-60.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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