

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 168637619	Statement Date: 02/11/2022 Document ID: 5XFW 435 1P7N	2021
	Arvind Nasit 166 Beacon Ave 1 Jersey City, NJ 07306 RECIPIENT'S TIN: XXX-XX-7847		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	676,759.70	681,469.73	0.00	1,400.55	-3,309.48
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	676,759.70	681,469.73	0.00	1,400.55	-3,309.48
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	676,759.70	681,469.73	0.00	1,400.55	-3,309.48
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	50.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/19/2021 CALL \$136.00 / CUSIP: / Symbol:							
02/04/21	1.000	324.98	01/29/21	395.00	...	-70.02	Option sale to close-call ²⁵
AAPL 08/06/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/03/21	1.000	37.98	07/29/21	48.00	10.02 W	0.00	Option sale to close-call ²⁵
08/06/21	1.000	0.00	08/03/21	24.02	...	-24.02	Option expiration- long call
	Security total:	37.98		72.02	10.02 W	-24.02	
AAPL 10/29/2021 PUT \$141.00 / CUSIP: / Symbol:							
	3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	2.000	0.00	10/28/21	10.00	...	-10.00	1 of 3 - Option expiration- long put
	1.000	0.00	10/28/21	5.00	...	-5.00	2 of 3 - Option expiration- long put
	1.000	0.00	10/28/21	5.00	...	-5.00	3 of 3 - Option expiration- long put
10/29/21	4.000	0.00	Various	20.00	...	-20.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABMD 11/19/2021 CALL \$380.00 / CUSIP: / Symbol:							
11/10/21	1.000	849.98	11/03/21	250.00	...	599.98	Option sale to close-call ²⁵
ABNB 11/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
11/18/21	1.000	1,351.98	11/03/21	219.00	...	1,132.98	Option sale to close-call ²⁵
ABNB 11/19/2021 CALL \$195.00 / CUSIP: / Symbol:							
11/19/21	1.000	-714.02	11/18/21	0.00	...	-714.02	Short sale closed- call ²⁵ Option written: 11/03/21
AMAT 12/17/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	11/05/21	345.00	...	-345.00	Option expiration- long call
AMAT 12/17/2021 CALL \$180.00 / CUSIP: / Symbol:							
12/17/21	1.000	125.98	12/17/21	0.00	...	125.98	Short sale closed- call expired Option written: 11/05/21
AMZN 05/28/2021 CALL \$4,500.00 / CUSIP: / Symbol:							
	2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	04/30/21	120.00	...	-120.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/11/21	27.00	...	-27.00	2 of 2 - Option expiration- long call
05/28/21	2.000	0.00	Various	147.00	...	-147.00	Total of 2 transactions
AMZN 05/28/2021 CALL \$4,750.00 / CUSIP: / Symbol:							
	2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	2.000	33.98	05/28/21	0.00	...	33.98	1 of 2 - Short sale closed- call expired
	2.000	131.98	05/28/21	0.00	...	131.98	Option written: 05/11/21 2 of 2 - Short sale closed- call expired
05/28/21	4.000	165.96	Various	0.00	...	165.96	Option written: 04/30/21 Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 05/28/2021 CALL \$5,000.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/30/21	57.00	...	-57.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/11/21	17.00	...	-17.00	2 of 2 - Option expiration- long call
05/28/21	2.000	0.00	Various	74.00	...	-74.00	Total of 2 transactions
AMZN 07/30/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/30/21	164.00	...	-164.00	Option expiration- long call
AMZN 10/22/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/19/21	1,137.00	...	-1,137.00	1 of 5 - Option expiration- long call
	1.000	0.00	10/19/21	773.00	...	-773.00	2 of 5 - Option expiration- long call
	1.000	0.00	10/20/21	538.00	...	-538.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/20/21	291.00	...	-291.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/22/21	38.00	...	-38.00	5 of 5 - Option expiration- long call
10/22/21	5.000	0.00	Various	2,777.00	...	-2,777.00	Total of 5 transactions
AMZN 10/22/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
5 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	16.98	10/22/21	0.00	...	16.98	1 of 5 - Short sale closed- call expired
	1.000	140.98	10/22/21	0.00	...	140.98	Option written: 10/22/21 2 of 5 - Short sale closed- call expired
	1.000	187.98	10/22/21	0.00	...	187.98	Option written: 10/20/21 3 of 5 - Short sale closed- call expired
	1.000	329.98	10/22/21	0.00	...	329.98	Option written: 10/20/21 4 of 5 - Short sale closed- call expired
	1.000	486.98	10/22/21	0.00	...	486.98	Option written: 10/19/21 5 of 5 - Short sale closed- call expired
10/22/21	5.000	1,162.90	Various	0.00	...	1,162.90	Option written: 10/19/21 Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 11/05/2021 PUT \$3,050.00 / CUSIP: / Symbol:							
10/28/21	1.000	335.98	10/28/21	365.00	29.02 W	0.00	Option sale to close-put ²⁵
10/29/21	1.000	463.98	10/28/21	379.02	...	84.96	Option sale to close-put ²⁵
	Security total:	799.96		744.02	29.02 W	84.96	
AMZN 11/05/2021 PUT \$3,100.00 / CUSIP: / Symbol:							
10/29/21	1.000	23.98	10/28/21	0.00	...	23.98	Short sale closed- put ²⁵ Option written: 10/28/21
11/01/21	1.000	-274.02	10/29/21	0.00	...	-274.02	Short sale closed- put ²⁵ Option written: 10/28/21
	Security total:	-250.04		0.00	...	-250.04	
AMZN 11/05/2021 PUT \$3,200.00 / CUSIP: / Symbol:							
11/05/21	1.000	939.98	11/05/21	0.00	...	939.98	Short sale closed- put expired Option written: 10/28/21
AMZN 11/05/2021 PUT \$3,250.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	10/28/21	1,390.00	...	-1,390.00	Option expiration- long put
AMZN 11/19/2021 CALL \$3,790.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/18/21	192.00	...	-192.00	Option expiration- long call
AMZN 11/19/2021 CALL \$3,800.00 / CUSIP: / Symbol:							
11/19/21	1.000	163.98	11/19/21	0.00	...	163.98	Short sale closed- call expired Option written: 11/18/21
AZN 01/15/2021 CALL \$53.50 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	12/24/20	56.00	...	-56.00	Option expiration- long call
BABA 11/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/02/21	1.000	99.98	11/02/21	100.00	...	-0.02	Option sale to close-call ²⁵
BBBY 09/17/2021 CALL \$35.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	08/04/21	51.00	...	-51.00	Option expiration- long call
CCIV 07/16/2021 CALL \$28.50 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/30/21	154.00	...	-154.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COIN 11/12/2021 CALL \$355.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/10/21	677.00	...	-677.00	Option expiration- long call
COIN 11/12/2021 CALL \$375.00 / CUSIP: / Symbol:							
11/12/21	1.000	289.98	11/12/21	0.00	...	289.98	Short sale closed- call expired Option written: 11/10/21
COIN 11/12/2021 PUT \$322.50 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/10/21	530.00	...	-530.00	Option expiration- long put
CRTX 11/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	175.00	10/28/21	165.00	...	10.00	1 of 3 - Option sale to close-put ²⁵
	2.000	349.99	10/28/21	330.00	...	19.99	2 of 3 - Option sale to close-put ²⁵
	2.000	349.99	10/28/21	330.00	...	19.99	3 of 3 - Option sale to close-put ²⁵
10/28/21	5.000	874.98	Various	825.00	...	49.98	Total of 3 transactions
CVNA 11/12/2021 CALL \$345.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/04/21	314.00	...	-314.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/05/21	58.00	...	-58.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/05/21	57.00	...	-57.00	3 of 4 - Option expiration- long call
	1.000	0.00	11/08/21	29.00	...	-29.00	4 of 4 - Option expiration- long call
11/12/21	4.000	0.00	Various	458.00	...	-458.00	Total of 4 transactions
CVNA 11/12/2021 CALL \$370.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	12.98	11/12/21	0.00	...	12.98	1 of 4 - Short sale closed- call expired Option written: 11/08/21
	1.000	23.98	11/12/21	0.00	...	23.98	2 of 4 - Short sale closed- call expired Option written: 11/05/21

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CVNA 11/12/2021 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	1.000	26.98	11/12/21	0.00	...	26.98	3 of 4 - Short sale closed- call expired
	1.000	163.98	11/12/21	0.00	...	163.98	Option written: 11/05/21 4 of 4 - Short sale closed- call expired
11/12/21	4.000	227.92	Various	0.00	...	227.92	Option written: 11/04/21 Total of 4 transactions
DD 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/19/21	5.000	0.00	02/10/21	5.00	...	-5.00	Option expiration- long call
DD 02/19/2021 CALL \$105.00 / CUSIP: / Symbol:							
	4 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	15.000	0.00	02/05/21	105.00	...	-105.00	1 of 4 - Option expiration- long call
	20.000	0.00	02/09/21	20.00	...	-20.00	2 of 4 - Option expiration- long call
	18.000	0.00	02/09/21	18.00	...	-18.00	3 of 4 - Option expiration- long call
	2.000	0.00	02/09/21	2.00	...	-2.00	4 of 4 - Option expiration- long call
02/19/21	55.000	0.00	Various	145.00	...	-145.00	Total of 4 transactions
DE 10/29/2021 CALL \$347.50 / CUSIP: / Symbol:							
10/19/21	1.000	229.98	10/14/21	116.00	...	113.98	Option sale to close-call ²⁵
DG 11/19/2021 CALL \$225.00 / CUSIP: / Symbol:							
11/04/21	1.000	269.98	11/03/21	173.00	...	96.98	Option sale to close-call ²⁵
DISCA 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/28/21	1.000	34.98	07/26/21	32.00	...	2.98	Option sale to close-call ²⁵
	2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	1.000	44.98	07/26/21	34.00	...	10.98	1 of 2 - Option sale to close-call ²⁵
	1.000	64.98	07/27/21	23.00	...	41.98	2 of 2 - Option sale to close-call ²⁵
07/29/21	2.000	109.96	Various	57.00	...	52.96	Total of 2 transactions
	Security total:	144.94		89.00	...	55.94	
DOW 02/19/2021 CALL \$58.00 / CUSIP: / Symbol:							
02/04/21	1.000	57.98	01/29/21	43.00	...	14.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOW 03/26/2021 PUT \$61.00 / CUSIP: / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	5.000	149.98	03/18/21	85.00	...	64.98	1 of 2 - Option sale to close-put ²⁵
	5.000	149.99	03/18/21	75.00	...	74.99	2 of 2 - Option sale to close-put ²⁵
03/18/21	10.000	299.97	Various	160.00	...	139.97	Total of 2 transactions
DOW 07/23/2021 CALL \$63.00 / CUSIP: / Symbol:							
07/23/21	2.000	0.00	07/20/21	18.00	...	-18.00	Option expiration- long call
DOW 09/17/2021 CALL \$67.50 / CUSIP: / Symbol:							
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	48.99	08/13/21	51.00	...	-2.01	1 of 2 - Option sale to close-call ²⁵
	1.000	48.99	08/16/21	29.00	...	19.99	2 of 2 - Option sale to close-call ²⁵
08/27/21	2.000	97.98	Various	80.00	...	17.98	Total of 2 transactions
ETSY 11/12/2021 PUT \$220.00 / CUSIP: / Symbol:							
11/12/21	1.000	587.98	11/12/21	0.00	...	587.98	Short sale closed- put expired Option written: 11/03/21
ETSY 11/12/2021 PUT \$230.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/03/21	925.00	...	-925.00	Option expiration- long put
FB 10/29/2021 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/22/21	753.00	...	-753.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/26/21	254.00	...	-254.00	2 of 2 - Option expiration- long call
10/29/21	2.000	0.00	Various	1,007.00	...	-1,007.00	Total of 2 transactions

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/29/2021 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	103.98	10/29/21	0.00	...	103.98	1 of 2 - Short sale closed- call expired
	1.000	432.98	10/29/21	0.00	...	432.98	Option written: 10/26/21 2 of 2 - Short sale closed- call expired
10/29/21	2.000	536.96	Various	0.00	...	536.96	Option written: 10/22/21 Total of 2 transactions
GME 02/19/2021 PUT \$10.00 / CUSIP: / Symbol:							
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	02/05/21	108.00	...	-108.00	1 of 5 - Option expiration- long put
	3.000	0.00	02/05/21	99.00	...	-99.00	2 of 5 - Option expiration- long put
	1.000	0.00	02/05/21	33.00	...	-33.00	3 of 5 - Option expiration- long put
	1.000	0.00	02/05/21	33.00	...	-33.00	4 of 5 - Option expiration- long put
	1.000	0.00	02/05/21	27.00	...	-27.00	5 of 5 - Option expiration- long put
02/19/21	10.000	0.00	Various	300.00	...	-300.00	Total of 5 transactions
GS 11/19/2021 CALL \$420.00 / CUSIP: / Symbol:							
11/08/21	1.000	379.98	11/05/21	330.00	...	49.98	Option sale to close-call ²⁵
IWM 11/08/2021 PUT \$226.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/01/21	102.00	...	-102.00	1 of 3 - Option expiration- long put
	1.000	0.00	11/01/21	82.00	...	-82.00	2 of 3 - Option expiration- long put
	1.000	0.00	11/02/21	50.00	...	-50.00	3 of 3 - Option expiration- long put
11/08/21	3.000	0.00	Various	234.00	...	-234.00	Total of 3 transactions
LMT 12/17/2021 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/26/21	105.00	...	-105.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/02/21	45.00	...	-45.00	2 of 2 - Option expiration- long call
12/17/21	2.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LVS 09/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
09/01/21	1.000	148.98	08/02/21	137.00	...	11.98	Option sale to close-call ²⁵
MOS 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	2.000	63.99	03/04/21	50.00	...	13.99	1 of 3 - Option sale to close-call ²⁵
	2.000	63.99	03/04/21	48.00	...	15.99	2 of 3 - Option sale to close-call ²⁵
	4.000	199.98	03/04/21	96.00	...	103.98	3 of 3 - Option sale to close-call ²⁵
03/10/21	8.000	327.96	Various	194.00	...	133.96	Total of 3 transactions
03/19/21	2.000	0.00	03/04/21	48.00	...	-48.00	Option expiration- long call
	Security total:	327.96		242.00	...	85.96	
MRNA 10/29/2021 PUT \$380.00 / CUSIP: / Symbol:							
10/21/21	1.000	4,297.96	10/21/21	4,357.00	59.04 W	0.00	Option sale to close-put ²⁵
MRNA 10/29/2021 PUT \$420.00 / CUSIP: / Symbol:							
10/22/21	1.000	53.94	10/21/21	0.00	...	53.94	Short sale closed- put ²⁵ Option written: 10/21/21
NCNO 09/17/2021 PUT \$70.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/03/21	70.00	...	-70.00	1 of 2 - Option expiration- long put
	1.000	0.00	09/14/21	20.00	...	-20.00	2 of 2 - Option expiration- long put
09/17/21	2.000	0.00	Various	90.00	...	-90.00	Total of 2 transactions
NDSN 09/17/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/30/21	51.00	...	-51.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/31/21	60.00	...	-60.00	2 of 2 - Option expiration- long call
09/17/21	3.000	0.00	Various	111.00	...	-111.00	Total of 2 transactions
NET 11/05/2021 PUT \$180.00 / CUSIP: / Symbol:							
11/05/21	1.000	444.98	11/05/21	0.00	...	444.98	Short sale closed- put expired Option written: 11/04/21

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not reported (Z)	Additional information
NET 11/05/2021 PUT \$190.00 / CUSIP: / Symbol:								
11/05/21	1.000	0.00	11/04/21	740.00	...		-740.00	Option expiration- long put
NIO 08/20/2021 CALL \$54.00 / CUSIP: / Symbol:								
08/02/21	1.000	79.98	07/26/21	87.00	7.02 W		0.00	Option sale to close-call ²⁵
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.								
	1.000	0.00	07/27/21	45.02	...		-45.02	1 of 2 - Option expiration- long call
	1.000	0.00	07/28/21	37.00	...		-37.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	82.02	...		-82.02	Total of 2 transactions
	Security total:	79.98		169.02	7.02 W		-82.02	
NIO 09/03/2021 CALL \$60.00 / CUSIP: / Symbol:								
09/03/21	1.000	0.00	08/06/21	28.00	...		-28.00	Option expiration- long call
NKLA 11/05/2021 PUT \$10.00 / CUSIP: / Symbol:								
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.								
	1.000	0.00	10/29/21	21.00	...		-21.00	1 of 2 - Option expiration- long put
	1.000	0.00	10/29/21	21.00	...		-21.00	2 of 2 - Option expiration- long put
11/05/21	2.000	0.00	Various	42.00	...		-42.00	Total of 2 transactions
NOK 02/19/2021 CALL \$9.00 / CUSIP: / Symbol:								
11 transactions for 02/05/21. Total proceeds and cost reported to the IRS.								
	1.000	1.00	01/27/21	243.00	242.00 W		0.00	1 of 11 - Option sale to close-call ²⁵
	1.000	1.00	02/04/21	245.00	244.00 W		0.00	2 of 11 - Option sale to close-call ²⁵
	1.000	1.99	02/04/21	11.01	9.02 W		0.00	3 of 11 - Option sale to close-call ²⁵
	2.000	1.99	02/04/21	6.00	4.01 W		0.00	4 of 11 - Option sale to close-call ²⁵
	1.000	2.00	02/04/21	5.01	3.01 W		0.00	5 of 11 - Option sale to close-call ²⁵
	1.000	2.00	02/04/21	247.00	245.00 W		0.00	6 of 11 - Option sale to close-call ²⁵
	3.000	2.98	02/04/21	27.01	24.03 W		0.00	7 of 11 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOK 02/19/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	3.000	2.98	02/04/21	27.01	24.03 W	0.00	8 of 11 - Option sale to close-call ²⁵
	3.000	5.99	02/04/21	33.03	27.04 W	0.00	9 of 11 - Option sale to close-call ²⁵
	4.000	7.99	02/04/21	36.01	28.02 W	0.00	10 of 11 - Option sale to close-call ²⁵
	10.000	9.97	02/04/21	70.00	60.03 W	0.00	11 of 11 - Option sale to close-call ²⁵
02/05/21	30.000	39.89	Various	950.08	910.19 W	0.00	Total of 11 transactions
	12 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/04/21	247.00	...	-247.00	1 of 12 - Option expiration- long call
	4.000	0.00	02/04/21	36.02	...	-36.02	2 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	11.02	...	-11.02	3 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	11.01	...	-11.01	4 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	10.01	...	-10.01	5 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	10.01	...	-10.01	6 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	5.01	...	-5.01	7 of 12 - Option expiration- long call
	1.000	0.00	02/04/21	5.00	...	-5.00	8 of 12 - Option expiration- long call
	38.000	0.00	02/05/21	38.00	...	-38.00	9 of 12 - Option expiration- long call
	20.000	0.00	02/05/21	20.00	...	-20.00	10 of 12 - Option expiration- long call
	1.000	0.00	02/05/21	10.02	...	-10.02	11 of 12 - Option expiration- long call
	1.000	0.00	02/05/21	10.01	...	-10.01	12 of 12 - Option expiration- long call
02/19/21	71.000	0.00	Various	413.11	...	-413.11	Total of 12 transactions

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOK 02/19/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
Security total:		39.89		1,363.19		910.19 W	-413.11
PBR 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
4 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	02/19/21	45.00	...	-45.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/19/21	6.00	...	-6.00	2 of 4 - Option expiration- long call
	5.000	0.00	02/22/21	10.00	...	-10.00	3 of 4 - Option expiration- long call
	5.000	0.00	02/22/21	10.00	...	-10.00	4 of 4 - Option expiration- long call
03/19/21	20.000	0.00	Various	71.00	...	-71.00	Total of 4 transactions
PLAN 12/17/2021 CALL \$72.50 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	10/29/21	195.00	...	-195.00	Option expiration- long call
QQQ 08/04/2021 CALL \$370.00 / CUSIP: / Symbol:							
2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/02/21	23.00	...	-23.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/03/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
08/04/21	2.000	0.00	Various	35.00	...	-35.00	Total of 2 transactions
RIOT 10/29/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	149.98	10/18/21	143.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	1.000	149.98	10/18/21	110.00	...	39.98	2 of 2 - Option sale to close-call ²⁵
10/20/21	2.000	299.96	Various	253.00	...	46.96	Total of 2 transactions
RKLB 09/17/2021 PUT \$17.50 / CUSIP: / Symbol:							
09/13/21	1.000	174.98	09/13/21	150.00	...	24.98	Option sale to close-put ²⁵
ROOT 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/30/21	2.000	289.98	08/18/21	40.00	...	249.98	Option sale to close-call ²⁵
SKLZ 10/22/2021 CALL \$9.50 / CUSIP: / Symbol:							
10/20/21	2.000	63.98	10/15/21	36.00	...	27.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SOFI 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
10/21/21	1.000	84.98	10/20/21	70.00	...	14.98	Option sale to close-call ²⁵
SPY 01/21/2022 CALL \$453.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,796.98	10/14/21	797.00	...	999.98	Option sale to close-call ²⁵
SPY 01/21/2022 CALL \$455.00 / CUSIP: / Symbol:							
11/03/21	2.000	-1,898.02	11/02/21	0.00	...	-1,898.02	Short sale closed- call ²⁵ Option written: 10/14/21
SPY 01/21/2022 CALL \$457.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,512.98	10/14/21	623.00	...	889.98	Option sale to close-call ²⁵
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol:							
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	56.00	09/16/21	57.00	...	-1.00	1 of 4 - Option sale to close-call ²⁵
	1.000	55.99	09/20/21	38.00	...	17.99	2 of 4 - Option sale to close-call ²⁵
	1.000	55.99	09/29/21	25.00	...	30.99	3 of 4 - Option sale to close-call ²⁵
	1.000	56.00	09/30/21	21.00	...	35.00	4 of 4 - Option sale to close-call ²⁵
11/01/21	4.000	223.98	Various	141.00	...	82.98	Total of 4 transactions
SPY 02/03/2021 CALL \$391.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	10.000	39.97	01/29/21	80.00	...	-40.03	1 of 2 - Option sale to close-call ²⁵
	2.000	7.98	01/29/21	16.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
02/02/21	12.000	47.95	Various	96.00	...	-48.05	Total of 2 transactions
SPY 03/22/2021 CALL \$393.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	81.98	03/19/21	56.00	...	25.98	1 of 2 - Option sale to close-call ²⁵
	1.000	71.98	03/19/21	45.00	...	26.98	2 of 2 - Option sale to close-call ²⁵
03/19/21	2.000	153.96	Various	101.00	...	52.96	Total of 2 transactions

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(continued)

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SPY 03/31/2022 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	54.99	09/16/21	49.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	1.000	54.99	09/30/21	23.00	...	31.99	2 of 2 - Option sale to close-call ²⁵
11/04/21	2.000	109.98	Various	72.00	...	37.98	Total of 2 transactions
SPY 04/07/2021 PUT \$392.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	2.000	13.98	04/07/21	0.00	...	13.98	1 of 2 - Short sale closed- put expired
	1.000	43.98	04/07/21	0.00	...	43.98	Option written: 04/05/21
04/07/21	3.000	57.96	Various	0.00	...	57.96	2 of 2 - Short sale closed- put expired
							Option written: 04/01/21
							Total of 2 transactions
SPY 04/07/2021 PUT \$397.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/01/21	116.00	...	-116.00	1 of 2 - Option expiration- long put
	2.000	0.00	04/05/21	34.00	...	-34.00	2 of 2 - Option expiration- long put
04/07/21	3.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
SPY 04/12/2021 PUT \$402.00 / CUSIP: / Symbol:							
04/12/21	1.000	0.00	04/06/21	106.00	...	-106.00	Option expiration- long put
SPY 07/21/2021 CALL \$425.00 / CUSIP: / Symbol:							
07/20/21	1.000	449.98	07/19/21	280.00	...	169.98	Option sale to close-call ²⁵
SPY 08/20/2021 CALL \$443.00 / CUSIP: / Symbol:							
08/20/21	1.000	79.98	08/19/21	79.02	...	0.96	Option sale to close-call ²⁵
08/20/21	1.000	79.98	08/18/21	120.00	40.02 W	0.00	Option sale to close-call ²⁵
	Security total:	159.96		199.02	40.02 W	0.96	
SPY 09/15/2021 CALL \$447.00 / CUSIP: / Symbol:							
09/15/21	1.000	82.98	09/14/21	87.00	...	-4.02	Option sale to close-call ²⁵

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(continued)

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SPY 09/20/2021 CALL \$443.00 / CUSIP: / Symbol:							
09/20/21	1.000	0.00	09/17/21	170.00	...	-170.00	Option expiration- long call
SPY 09/22/2021 CALL \$443.00 / CUSIP: / Symbol:							
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	09/17/21	228.00	...	-227.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	09/20/21	52.00	...	-51.01	2 of 2 - Option sale to close-call ²⁵
09/22/21	2.000	1.98	Various	280.00	...	-278.02	Total of 2 transactions
SPY 10/15/2021 CALL \$446.00 / CUSIP: / Symbol:							
10/05/21	1.000	71.98	09/28/21	151.00	79.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	106.00	09/30/21	63.00	...	43.00	1 of 3 - Option sale to close-call ²⁵
	1.000	105.99	09/30/21	57.00	...	48.99	2 of 3 - Option sale to close-call ²⁵
	1.000	183.98	10/01/21	121.03	...	62.95	3 of 3 - Option sale to close-call ²⁵
10/07/21	3.000	395.97	Various	241.03	...	154.94	Total of 3 transactions
10/07/21	1.000	105.99	09/30/21	187.02	81.03 W	0.00	Option sale to close-call ²⁵
10/14/21	1.000	20.98	10/12/21	38.02	...	-17.04	Option sale to close-call ²⁵
10/14/21	1.000	8.98	10/04/21	35.00	26.02 W	0.00	Option sale to close-call ²⁵
	Security total:	603.90		652.07	186.07 W	137.90	
SPY 10/20/2021 PUT \$444.00 / CUSIP: / Symbol:							
10/18/21	1.000	217.98	10/15/21	143.00	...	74.98	Option sale to close-put ²⁵
SPY 10/25/2021 CALL \$446.00 / CUSIP: / Symbol:							
10/13/21	1.000	37.98	10/13/21	30.00	...	7.98	Option sale to close-call ²⁵
SPY 10/25/2021 PUT \$450.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	10/25/21	9.00	...	0.98	1 of 2 - Option sale to close-put ²⁵
	1.000	9.98	10/25/21	9.00	...	0.98	2 of 2 - Option sale to close-put ²⁵
10/25/21	2.000	19.96	Various	18.00	...	1.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 11/12/2021 PUT \$445.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/25/21	200.00	...	-200.00	1 of 4 - Option expiration- long put
	1.000	0.00	11/01/21	136.00	...	-136.00	2 of 4 - Option expiration- long put
	1.000	0.00	11/01/21	100.00	...	-100.00	3 of 4 - Option expiration- long put
	1.000	0.00	11/02/21	75.00	...	-75.00	4 of 4 - Option expiration- long put
11/12/21	4.000	0.00	Various	511.00	...	-511.00	Total of 4 transactions
SPY 11/15/2021 PUT \$460.00 / CUSIP: / Symbol:							
11/15/21	1.000	0.00	11/03/21	188.00	...	-188.00	Option expiration- long put
SPY 12/03/2021 PUT \$450.00 / CUSIP: / Symbol:							
12/03/21	1.000	167.98	12/02/21	197.02	...	-29.04	Option sale to close-put ²⁵
12/03/21	1.000	139.98	12/02/21	183.00	43.02 W	0.00	Option sale to close-put ²⁵
	Security total:	307.96		380.02	43.02 W	-29.04	
SPY 12/06/2021 PUT \$450.00 / CUSIP: / Symbol:							
12/03/21	1.000	429.98	12/02/21	198.00	...	231.98	Option sale to close-put ²⁵
SPY 12/20/2021 CALL \$470.00 / CUSIP: / Symbol:							
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/17/21	28.00	...	-28.00	1 of 2 - Option expiration- long call
	3.000	0.00	12/20/21	3.00	...	-3.00	2 of 2 - Option expiration- long call
12/20/21	4.000	0.00	Various	31.00	...	-31.00	Total of 2 transactions
SQ 11/05/2021 PUT \$230.00 / CUSIP: / Symbol:							
11/08/21	1.000	222.98	11/05/21	0.00	...	222.98	Short sale closed- put ²⁵ Option written: 11/04/21
SQ 11/05/2021 PUT \$240.00 / CUSIP: / Symbol:							
11/05/21	1.000	116.98	11/04/21	514.00	...	-397.02	Option sale to close-put ²⁵
TER 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/19/21	1.000	1,120.98	11/02/21	360.00	...	760.98	Option sale to close-call ²⁵

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TER 11/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/22/21	1.000	-38.02	11/19/21	0.00	...	-38.02	Short sale closed- call ²⁵ Option written: 11/02/21
TM 01/21/2022 CALL \$210.00 / CUSIP: / Symbol:							
09/27/21	1.000	169.98	08/30/21	85.00	...	84.98	Option sale to close-call ²⁵
TM 10/15/2021 CALL \$190.00 / CUSIP: / Symbol:							
09/27/21	1.000	124.98	08/30/21	50.00	...	74.98	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/01/21	582.00	...	-582.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/10/21	69.00	...	-69.00	2 of 2 - Option expiration- long call
03/12/21	2.000	0.00	Various	651.00	...	-651.00	Total of 2 transactions
TSLA 03/12/2021 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	14.98	03/12/21	0.00	...	14.98	1 of 2 - Short sale closed- call expired
	1.000	114.98	03/12/21	0.00	...	114.98	Option written: 03/10/21 2 of 2 - Short sale closed- call expired
03/12/21	2.000	129.96	Various	0.00	...	129.96	Option written: 03/01/21 Total of 2 transactions
TSLA 04/16/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/19/21	600.00	...	-600.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/19/21	545.00	...	-545.00	2 of 3 - Option expiration- long call
	2.000	0.00	02/25/21	496.00	...	-496.00	3 of 3 - Option expiration- long call
04/16/21	4.000	0.00	Various	1,641.00	...	-1,641.00	Total of 3 transactions
TSLA 09/03/2021 CALL \$760.00 / CUSIP: / Symbol:							
09/03/21	1.000	0.00	08/31/21	151.00	...	-151.00	Option expiration- long call

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TSM 09/03/2021 PUT \$118.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/31/21	66.00	...	-66.00	1 of 2 - Option expiration- long put
	1.000	0.00	08/31/21	66.00	...	-66.00	2 of 2 - Option expiration- long put
09/03/21	2.000	0.00	Various	132.00	...	-132.00	Total of 2 transactions
TSM 09/17/2021 PUT \$118.00 / CUSIP: / Symbol:							
09/17/21	1.000	29.98	09/03/21	70.00	...	-40.02	Option sale to close-put ²⁵
TSM 10/29/2021 CALL \$118.00 / CUSIP: / Symbol:							
10/19/21	1.000	98.98	10/13/21	46.00	...	52.98	Option sale to close-call ²⁵
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/13/21	46.00	...	-46.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/13/21	45.00	...	-45.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/22/21	25.00	...	-25.00	3 of 3 - Option expiration- long call
10/29/21	3.000	0.00	Various	116.00	...	-116.00	Total of 3 transactions
	Security total:	98.98		162.00	...	-63.02	
VXX 03/19/2021 PUT \$12.00 / CUSIP: / Symbol:							
03/19/21	10.000	0.00	03/18/21	20.00	...	-20.00	Option expiration- long put
WFC 02/19/2021 CALL \$32.50 / CUSIP: / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	86.98	01/27/21	52.00	...	34.98	1 of 3 - Option sale to close-call ²⁵
	1.000	91.98	01/27/21	52.00	...	39.98	2 of 3 - Option sale to close-call ²⁵
	1.000	99.98	01/27/21	52.00	...	47.98	3 of 3 - Option sale to close-call ²⁵
02/04/21	3.000	278.94	Various	156.00	...	122.94	Total of 3 transactions
WFC 02/19/2021 CALL \$33.00 / CUSIP: / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	02/01/21	16.00	...	53.98	1 of 3 - Option sale to close-call ²⁵
	1.000	69.98	02/01/21	16.00	...	53.98	2 of 3 - Option sale to close-call ²⁵
	2.000	139.98	02/01/21	32.00	...	107.98	3 of 3 - Option sale to close-call ²⁵
02/04/21	4.000	279.94	Various	64.00	...	215.94	Total of 3 transactions

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WKHS 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/06/21	1.000	149.98	12/28/20	116.02	...	33.96	Option sale to close-call ²⁵
01/06/21	1.000	143.98	12/22/20	160.00	16.02 W	0.00	Option sale to close-call ²⁵
	Security total:	293.96		276.02	16.02 W	33.96	
XLF 01/15/2021 CALL \$37.00 / CUSIP: / Symbol:							
01/15/21	3.000	0.00	11/19/20	3.00	...	-3.00	Option expiration- long call
XPEV 08/27/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/27/21	2.000	0.00	08/25/21	60.00	...	-60.00	Option expiration- long call
XPEV 08/27/2021 CALL \$48.00 / CUSIP: / Symbol:							
08/27/21	10.000	0.00	08/25/21	100.00	...	-100.00	Option expiration- long call
Z 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	07/20/21	79.00	...	-79.00	Option expiration- long call
ZM 09/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
08/31/21	1.000	1,259.98	08/30/21	114.00	...	1,145.98	Option sale to close-put ²⁵
ZNGA 07/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
	5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.						
	6.000	0.00	07/29/21	6.00	...	-6.00	1 of 5 - Option expiration- long call
	1.000	0.00	07/29/21	1.00	...	-1.00	2 of 5 - Option expiration- long call
	1.000	0.00	07/29/21	1.00	...	-1.00	3 of 5 - Option expiration- long call
	1.000	0.00	07/29/21	1.00	...	-1.00	4 of 5 - Option expiration- long call
	1.000	0.00	07/29/21	1.00	...	-1.00	5 of 5 - Option expiration- long call
07/30/21	10.000	0.00	Various	10.00	...	-10.00	Total of 5 transactions
ZNGA 12/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
12/17/21	5.000	0.00	11/09/21	70.00	...	-70.00	Option expiration- long call
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
11/05/21	100.000	295,043.47 N	11/05/21	294,210.00	...	833.47	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$1,044.98

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
03/05/21	1.000	2,955.95	02/25/21	3,086.17	...	-130.22	Sale ²⁵
BRILLIANT EARTH GROUP, INC. CL ASS A COMMON STOCK / CUSIP: 109504100 / Symbol:							
10/25/21	5.000	61.03	09/23/21	60.00	...	1.03	Sale ²⁵
CELSION CORPORATION COMMON STOCK / CUSIP: 15117N503 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	10.000	30.45	02/10/21	19.08	...	11.37	1 of 2 - Sale ²⁵
	50.000	152.24	02/10/21	98.50	...	53.74	2 of 2 - Sale ²⁵
02/22/21	60.000	182.69	Various	117.58	...	65.11	Total of 2 transactions
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
11/02/21	1.000	344.54	04/14/21	392.00	...	-47.46	Sale ²⁵
11/08/21	1.000	349.20	04/30/21	297.67	...	51.53	Sale ²⁵
	Security total:	693.74		689.67	...	4.07	
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	6.95	10/22/21	8.06	...	-1.11	1 of 3 - Sale ²⁵
	1.000	6.95	10/22/21	5.81	...	1.14	2 of 3 - Sale ²⁵
	99.000	688.03	10/22/21	663.32	...	24.71	3 of 3 - Sale ²⁵
10/25/21	101.000	701.93	Various	677.19	...	24.74	Total of 3 transactions
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	99.000	688.04	10/22/21	698.94	0.11 W	-10.79	1 of 2 - Sale ²⁵
	100.000	694.98	10/22/21	795.00	100.02 W	0.00	2 of 2 - Sale ²⁵
10/25/21	199.000	1,383.02	Various	1,493.94	100.13 W	-10.79	Total of 2 transactions
	Security total:	2,084.95		2,171.13	100.13 W	13.95	
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	10.000	44.70	02/10/21	39.68	...	5.02	1 of 3 - Sale ²⁵
	10.000	44.75	02/10/21	39.68	...	5.07	2 of 3 - Sale ²⁵
	15.000	67.05	02/10/21	58.65	...	8.40	3 of 3 - Sale ²⁵
02/19/21	35.000	156.50	Various	138.01	...	18.49	Total of 3 transactions

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol: (cont'd)							
03/19/21	5.000	22.15	02/10/21	19.55	...	2.60	Sale ²⁵
Security total:		178.65		157.56	...	21.09	
FEDNAT HOLDING COMPANY COMMON STOCK / CUSIP: 31431B109 / Symbol:							
08/16/21	50.000	155.82	08/11/21	117.00	...	38.82	Sale ²⁵
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	5.000	160.35	03/05/21	100.00	...	60.35	1 of 2 - Sale ²⁵
	5.000	160.40	03/05/21	100.00	...	60.40	2 of 2 - Sale ²⁵
10/21/21	10.000	320.75	Various	200.00	...	120.75	Total of 2 transactions
LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol:							
11/19/21	100.000	60,499.64 N	11/19/21	60,600.06	...	-100.42	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$6,500.00
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
10/29/21	100.000	33,698.75 N	10/29/21	33,858.06	...	-159.31	Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$4,301.04
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
02/01/21	100.000	74.99	01/28/21	69.98	...	5.01	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	5.000	51.06	02/10/21	51.04	...	0.02	1 of 2 - Sale ²⁵
	10.000	102.12	02/10/21	100.00	...	2.12	2 of 2 - Sale ²⁵
02/10/21	15.000	153.18	Various	151.04	...	2.14	Total of 2 transactions

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	9.000	258.56	02/19/21	263.16	...	-4.60	1 of 2 - Sale ²⁵
	10.000	287.29	02/19/21	270.80	...	16.49	2 of 2 - Sale ²⁵
02/19/21	19.000	545.85	Various	533.96	...	11.89	Total of 2 transactions
RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol:							
10/21/21	1.000	250.12	09/16/21	218.73	...	31.39	Sale ²⁵
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/04/21	3.000	193.63	07/29/21	114.00	...	79.63	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
4 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	1.000	808.74	03/04/21	630.00	...	178.74	1 of 4 - Sale ²⁵
	1.000	808.74	03/04/21	625.00	...	183.74	2 of 4 - Sale ²⁵
	1.000	809.50	03/04/21	620.00	...	189.50	3 of 4 - Sale ²⁵
	1.000	809.49	03/04/21	615.00	...	194.49	4 of 4 - Sale ²⁵
10/12/21	4.000	3,236.47	Various	2,490.00	...	746.47	Total of 4 transactions
10/14/21	1.000	819.99	03/04/21	605.00	...	214.99	Sale ²⁵
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	870.42	03/05/21	589.90	...	280.52	1 of 3 - Sale ²⁵
	1.000	876.99	03/05/21	589.90	...	287.09	2 of 3 - Sale ²⁵
	1.000	870.51	03/05/21	580.00	...	290.51	3 of 3 - Sale ²⁵
10/19/21	3.000	2,617.92	Various	1,759.80	...	858.12	Total of 3 transactions
10/21/21	1.000	898.99	03/05/21	570.00	...	328.99	Sale ²⁵
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	100.000	123,824.35 N	11/05/21	124,030.00	...	-205.65	1 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$7,824.96
	100.000	123,824.35 N	11/05/21	123,713.00	...	111.35	2 of 2 - Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$7,824.95
11/05/21	200.000	247,648.70	Various	247,743.00	...	-94.30	Total of 2 transactions
	Security total:	255,222.07		253,167.80	...	2,054.27	

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Robinhood Securities LLC

Account 168637619

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
<i>2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	218.10	03/08/21	141.25	...	76.85	1 of 2 - Sale ²⁵
	5.000	218.88	03/08/21	141.25	...	77.63	2 of 2 - Sale ²⁵
10/21/21	10.000	436.98	Various	282.50	...	154.48	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/28/21	80.000	233.59	01/27/21	99.11	...	134.48	Sale ²⁵
Totals :		676,759.70		681,469.73	1,400.55 W	-3,309.48	

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Robinhood Securities LLC

Account 168637619

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/12/21	-5.00	Margin interest paid	
		04/12/21	-5.00	Margin interest paid	
		05/11/21	-5.00	Margin interest paid	
		06/10/21	-5.00	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
Margin charge		09/08/21	-5.00	Margin interest paid	
		10/08/21	-5.00	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
		12/07/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-50.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.