

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 765858766</b>	Statement Date: 02/11/2022 Document ID: W85U 15R ETQW	2021
	Priyanka Balaji 16 S Huntington Ave Apt 2 Boston, MA 02130  RECIPIENT'S TIN: XXX-XX-6304		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      7.69</p> <p>1b- Qualified dividends      7.69</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	178,810.91	189,498.36	0.00	7,831.72	-2,855.73
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>178,810.91</b>	<b>189,498.36</b>	<b>0.00</b>	<b>7,831.72</b>	<b>-2,855.73</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>178,810.91</b>	<b>189,498.36</b>	<b>0.00</b>	<b>7,831.72</b>	<b>-2,855.73</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 765858766

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	4.83
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	78.30
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	12.000	1,260.35	08/04/21	1,465.92	...	-205.57	1 of 2 - Sale <sup>25</sup>
	0.025	2.65	08/04/21	3.08	...	-0.43	2 of 2 - Sale <sup>25</sup>
11/24/21	12.025	1,263.00	Various	1,469.00	...	-206.00	Total of 2 transactions
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
01/11/21	2.000	298.88	01/06/21	291.50	...	7.38	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/12/21	0.004	0.98	01/06/21	1.00	0.02 W	0.00	Sale <sup>25</sup>
4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.000	0.04	01/22/21	0.04	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.775	200.00	01/22/21	199.37	...	0.63	2 of 4 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	0.923	239.58	01/22/21	237.41	...	2.17	3 of 4 - Sale <sup>25</sup>
	0.999	259.50	01/22/21	257.15	...	2.35	4 of 4 - Sale <sup>25</sup>
01/25/21	2.697	699.12	Various	693.97	...	5.15	Total of 4 transactions
01/25/21	0.004	1.03	01/22/21	1.04	0.01 W	0.00	Sale <sup>25</sup>
01/28/21	1.185	307.61	01/22/21	305.01	...	2.60	Sale <sup>25</sup>
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	0.004	1.03	01/26/21	1.05	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.375	97.33	01/26/21	98.90	1.57 W	0.00	2 of 2 - Sale <sup>25</sup>
01/28/21	0.379	98.36	Various	99.95	1.59 W	0.00	Total of 2 transactions
<i>7 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.004	1.08	02/10/21	1.08	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.073	19.85	02/10/21	19.81	...	0.04	2 of 7 - Sale <sup>25</sup>
	0.302	82.24	02/10/21	82.09	...	0.15	3 of 7 - Sale <sup>25</sup>
	1.490	405.65	02/10/21	398.61	...	7.04	4 of 7 - Sale <sup>25</sup>
	0.002	0.67	02/11/21	0.66	...	0.01	5 of 7 - Sale <sup>25</sup>
	0.424	115.49	02/11/21	113.85	...	1.64	6 of 7 - Sale <sup>25</sup>
	1.434	390.49	02/11/21	385.49	...	5.00	7 of 7 - Sale <sup>25</sup>
02/16/21	3.730	1,015.47	Various	1,001.59	...	13.88	Total of 7 transactions
	<b>Security total:</b>	<b>2,122.57</b>		<b>2,102.56</b>	<b>1.62 W</b>	<b>21.63</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
<i>2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.42	01/06/21	0.40	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.117	208.49	01/06/21	199.60	...	8.89	2 of 2 - Sale <sup>25</sup>
01/11/21	0.117	208.91	Various	200.00	...	8.91	Total of 2 transactions
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
11/17/21	0.185	546.83	08/04/21	500.00	...	46.83	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
<i>2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	0.290	905.93	01/05/21	931.96	...	-26.03	1 of 2 - Sale <sup>25</sup>
	0.032	100.00	01/05/21	103.04	...	-3.04	2 of 2 - Sale <sup>25</sup>
01/12/21	0.322	1,005.93	Various	1,035.00	...	-29.07	Total of 2 transactions

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
11/17/21	0.270	963.74	07/30/21	900.00	...	63.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,969.67</b>		<b>1,935.00</b>	...	<b>34.67</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
5 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.185	23.92	12/08/20	23.05	...	0.87	1 of 5 - Sale <sup>25</sup>
	7.815	1,010.29	12/08/20	973.34	...	36.95	2 of 5 - Sale <sup>25</sup>
	0.005	0.68	01/06/21	0.67	...	0.01	3 of 5 - Sale <sup>25</sup>
	0.968	125.18	01/06/21	123.49	...	1.69	4 of 5 - Sale <sup>25</sup>
	1.211	156.62	01/06/21	153.98	...	2.64	5 of 5 - Sale <sup>25</sup>
01/11/21	10.185	1,316.69	Various	1,274.53	...	42.16	Total of 5 transactions
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.757	99.10	01/12/21	97.22	...	1.88	1 of 2 - Sale <sup>25</sup>
	2.000	261.68	01/12/21	256.78	...	4.90	2 of 2 - Sale <sup>25</sup>
01/14/21	2.757	360.78	Various	354.00	...	6.78	Total of 2 transactions
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	0.416	62.76	08/05/21	60.84	...	1.92	1 of 2 - Sale <sup>25</sup>
	3.000	452.98	08/05/21	439.16	...	13.82	2 of 2 - Sale <sup>25</sup>
11/17/21	3.416	515.74	Various	500.00	...	15.74	Total of 2 transactions
	<b>Security total:</b>	<b>2,193.21</b>		<b>2,128.53</b>	...	<b>64.68</b>	
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
01/21/21	0.001	0.25	01/20/21	0.25	...	0.00	Sale <sup>25</sup>
3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.612	156.34	01/20/21	160.01	3.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.612	156.39	01/20/21	158.16	1.77 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.387	354.05	01/20/21	358.35	4.30 W	0.00	3 of 3 - Sale <sup>25</sup>
01/21/21	2.611	666.78	Various	676.52	9.74 W	0.00	Total of 3 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.612	158.93	01/22/21	159.92	...	-0.99	1 of 3 - Sale <sup>25</sup>
	1.387	359.91	01/22/21	358.15	...	1.76	2 of 3 - Sale <sup>25</sup>
	1.920	498.29	01/22/21	489.90	...	8.39	3 of 3 - Sale <sup>25</sup>
01/25/21	3.919	1,017.13	Various	1,007.97	...	9.16	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,684.16</b>		<b>1,684.74</b>		<b>9.74 W</b>	<b>9.16</b>
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	2.998	357.29	01/25/21	418.40	...	-61.11	1 of 3 - Sale <sup>25</sup>
	3.687	439.40	01/25/21	500.00	...	-60.60	2 of 3 - Sale <sup>25</sup>
	0.499	59.48	01/25/21	69.60	...	-10.12	3 of 3 - Sale <sup>25</sup>
01/28/21	7.184	856.17	Various	988.00	...	-131.83	Total of 3 transactions
BLACKROCK, INC. / CUSIP: 09247X101 / Symbol:							
3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	0.053	49.81	08/04/21	46.67	...	3.14	1 of 3 - Sale <sup>25</sup>
	0.947	894.70	08/04/21	839.42	...	55.28	2 of 3 - Sale <sup>25</sup>
	0.005	4.52	09/23/21	4.13	...	0.39	3 of 3 - Sale <sup>25</sup>
11/17/21	1.005	949.03	Various	890.22	...	58.81	Total of 3 transactions
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
12 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	32.745	4,399.35	01/11/21	4,628.67	...	-229.32	1 of 12 - Sale <sup>25</sup>
	7.000	942.80	01/11/21	989.48	...	-46.68	2 of 12 - Sale <sup>25</sup>
	4.100	559.62	01/11/21	596.82	...	-37.20	3 of 12 - Sale <sup>25</sup>
	2.572	347.43	01/11/21	376.48	...	-29.05	4 of 12 - Sale <sup>25</sup>
	2.529	341.58	01/11/21	368.16	...	-26.58	5 of 12 - Sale <sup>25</sup>
	1.977	267.00	01/11/21	279.45	...	-12.45	6 of 12 - Sale <sup>25</sup>
	0.314	42.40	01/11/21	45.88	...	-3.48	7 of 12 - Sale <sup>25</sup>
	0.308	42.06	01/11/21	44.71	...	-2.65	8 of 12 - Sale <sup>25</sup>
	0.405	54.65	01/11/21	57.20	...	-2.55	9 of 12 - Sale <sup>25</sup>
	0.020	2.66	01/11/21	2.94	...	-0.28	10 of 12 - Sale <sup>25</sup>
	0.012	1.56	01/11/21	1.73	...	-0.17	11 of 12 - Sale <sup>25</sup>
	0.019	2.49	01/11/21	2.64	...	-0.15	12 of 12 - Sale <sup>25</sup>
01/12/21	52.000	7,003.60	Various	7,394.16	...	-390.56	Total of 12 transactions
7 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	6.937	952.31	01/11/21	981.54	27.93 W	-1.30	1 of 7 - Sale <sup>25</sup>
	0.012	1.55	01/11/21	1.64	0.09 W	0.00	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
C3.AI, INC. / CUSIP: 12468P104 / Symbol: (cont'd)							
	0.020	2.65	01/11/21	2.75	0.10 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.020	2.68	01/11/21	2.86	0.18 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.308	42.30	01/11/21	43.46	1.16 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.314	42.92	01/11/21	44.42	1.50 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.572	351.13	01/11/21	363.98	12.85 W	0.00	7 of 7 - Sale <sup>25</sup>
01/12/21	10.182	1,395.54	Various	1,440.65	43.81 W	-1.30	Total of 7 transactions
	<b>Security total:</b>	<b>8,399.14</b>		<b>8,834.81</b>	<b>43.81 W</b>	<b>-391.86</b>	
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	0.418	90.34	01/12/21	86.43	...	3.91	1 of 2 - Sale <sup>25</sup>
	2.000	432.24	01/12/21	413.57	...	18.67	2 of 2 - Sale <sup>25</sup>
01/20/21	2.418	522.58	Various	500.00	...	22.58	Total of 2 transactions
01/28/21	0.001	0.17	01/27/21	0.17	...	0.00	Sale <sup>25</sup>
6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.037	7.32	01/27/21	7.35	0.03 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.242	47.93	01/27/21	48.25	0.32 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.242	48.09	01/27/21	48.15	0.06 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.275	449.78	01/27/21	451.85	2.07 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.444	483.18	01/27/21	485.81	2.63 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	988.37	01/27/21	992.48	4.11 W	0.00	6 of 6 - Sale <sup>25</sup>
01/28/21	10.242	2,024.67	Various	2,033.89	9.22 W	0.00	Total of 6 transactions
14 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.002	0.50	02/10/21	0.49	...	0.01	1 of 14 - Sale <sup>25</sup>
	0.008	1.78	02/10/21	1.77	...	0.01	2 of 14 - Sale <sup>25</sup>
	0.046	10.57	02/10/21	10.38	...	0.19	3 of 14 - Sale <sup>25</sup>
	0.037	8.56	02/10/21	8.34	...	0.22	4 of 14 - Sale <sup>25</sup>
	0.071	16.30	02/10/21	15.91	...	0.39	5 of 14 - Sale <sup>25</sup>
	0.141	32.50	02/10/21	31.89	...	0.61	6 of 14 - Sale <sup>25</sup>
	0.115	26.63	02/10/21	25.95	...	0.68	7 of 14 - Sale <sup>25</sup>
	0.189	43.83	02/10/21	42.94	...	0.89	8 of 14 - Sale <sup>25</sup>
	0.213	49.45	02/10/21	48.12	...	1.33	9 of 14 - Sale <sup>25</sup>
	0.229	52.97	02/10/21	51.62	...	1.35	10 of 14 - Sale <sup>25</sup>
	0.314	72.57	02/10/21	70.80	...	1.77	11 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
	0.876	202.51	02/10/21	200.72	...	1.79	12 of 14 - Sale <sup>25</sup>
	1.471	339.88	02/10/21	331.86	...	8.02	13 of 14 - Sale <sup>25</sup>
	2.271	525.24	02/10/21	515.07	...	10.17	14 of 14 - Sale <sup>25</sup>
02/16/21	5.982	1,383.29	Various	1,355.86	...	27.43	Total of 14 transactions
02/16/21	0.430	99.41	02/16/21	100.35	0.94 W	0.00	Sale <sup>25</sup>
	36 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	0.002	0.34	02/19/21	0.40	0.06 W	0.00	1 of 36 - Sale <sup>25</sup>
	0.002	0.34	02/19/21	0.46	0.12 W	0.00	2 of 36 - Sale <sup>25</sup>
	0.053	10.41	02/19/21	12.24	1.83 W	0.00	3 of 36 - Sale <sup>25</sup>
	0.197	38.41	02/19/21	45.03	6.62 W	0.00	4 of 36 - Sale <sup>25</sup>
	0.197	38.41	02/19/21	51.77	13.36 W	0.00	5 of 36 - Sale <sup>25</sup>
	0.201	39.71	02/19/21	52.80	13.09 W	0.00	6 of 36 - Sale <sup>25</sup>
	0.233	45.43	02/19/21	53.20	7.77 W	0.00	7 of 36 - Sale <sup>25</sup>
	0.428	83.45	02/19/21	98.67	15.22 W	0.00	8 of 36 - Sale <sup>25</sup>
	0.801	156.10	02/19/21	210.18	54.08 W	0.00	9 of 36 - Sale <sup>25</sup>
	1.318	256.80	02/19/21	300.69	43.89 W	0.00	10 of 36 - Sale <sup>25</sup>
	2.000	389.53	02/19/21	525.16	135.63 W	0.00	11 of 36 - Sale <sup>25</sup>
	2.000	389.54	02/19/21	456.78	67.24 W	0.00	12 of 36 - Sale <sup>25</sup>
	4.768	928.78	02/19/21	1,091.81	163.03 W	0.00	13 of 36 - Sale <sup>25</sup>
	16.516	3,177.57	02/23/21	3,236.24	48.82 W	-9.85	14 of 36 - Sale <sup>25</sup>
	0.421	81.06	02/23/21	111.03	29.97 W	0.00	15 of 36 - Sale <sup>25</sup>
	0.002	0.34	02/23/21	0.47	0.13 W	0.00	16 of 36 - Sale <sup>25</sup>
	0.002	0.35	02/23/21	0.48	0.13 W	0.00	17 of 36 - Sale <sup>25</sup>
	0.015	2.94	02/23/21	4.03	1.09 W	0.00	18 of 36 - Sale <sup>25</sup>
	0.053	10.28	02/23/21	12.62	2.34 W	0.00	19 of 36 - Sale <sup>25</sup>
	0.053	10.55	02/23/21	12.70	2.15 W	0.00	20 of 36 - Sale <sup>25</sup>
	0.080	15.35	02/23/21	20.82	5.47 W	0.00	21 of 36 - Sale <sup>25</sup>
	0.122	23.38	02/23/21	31.71	8.33 W	0.00	22 of 36 - Sale <sup>25</sup>
	0.197	37.93	02/23/21	53.18	15.25 W	0.00	23 of 36 - Sale <sup>25</sup>
	0.197	38.90	02/23/21	53.45	14.55 W	0.00	24 of 36 - Sale <sup>25</sup>
	0.233	44.87	02/23/21	54.88	10.01 W	0.00	25 of 36 - Sale <sup>25</sup>
	0.233	46.00	02/23/21	55.18	9.18 W	0.00	26 of 36 - Sale <sup>25</sup>
	0.316	60.73	02/23/21	74.26	13.53 W	0.00	27 of 36 - Sale <sup>25</sup>
	0.316	62.27	02/23/21	74.68	12.41 W	0.00	28 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
	0.365	70.16	02/23/21	98.27	28.11 W	0.00	29 of 36 - Sale <sup>25</sup>
	0.365	71.94	02/23/21	98.75	26.81 W	0.00	30 of 36 - Sale <sup>25</sup>
	0.428	82.42	02/23/21	101.75	19.33 W	0.00	31 of 36 - Sale <sup>25</sup>
	0.428	84.51	02/23/21	102.32	17.81 W	0.00	32 of 36 - Sale <sup>25</sup>
	2.000	384.72	02/23/21	539.53	154.81 W	0.00	33 of 36 - Sale <sup>25</sup>
	2.000	394.47	02/23/21	542.17	147.70 W	0.00	34 of 36 - Sale <sup>25</sup>
	4.768	917.29	02/23/21	1,126.03	208.74 W	0.00	35 of 36 - Sale <sup>25</sup>
	4.768	940.55	02/23/21	1,132.35	191.80 W	0.00	36 of 36 - Sale <sup>25</sup>
02/23/21	46.080	8,935.83	Various	10,436.09	1,490.41 W	-9.85	Total of 36 transactions
	12 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	0.942	199.74	02/23/21	255.56	...	-55.82	1 of 12 - Sale <sup>25</sup>
	0.581	122.91	02/23/21	157.57	...	-34.66	2 of 12 - Sale <sup>25</sup>
	0.257	54.42	02/23/21	68.14	...	-13.72	3 of 12 - Sale <sup>25</sup>
	0.197	41.72	02/23/21	53.47	...	-11.75	4 of 12 - Sale <sup>25</sup>
	0.156	32.97	02/23/21	41.26	...	-8.29	5 of 12 - Sale <sup>25</sup>
	0.122	25.72	02/23/21	31.89	...	-6.17	6 of 12 - Sale <sup>25</sup>
	0.080	16.88	02/23/21	20.93	...	-4.05	7 of 12 - Sale <sup>25</sup>
	0.015	3.24	02/23/21	4.06	...	-0.82	8 of 12 - Sale <sup>25</sup>
	0.005	1.13	02/23/21	1.41	...	-0.28	9 of 12 - Sale <sup>25</sup>
	0.003	0.64	02/23/21	0.81	...	-0.17	10 of 12 - Sale <sup>25</sup>
	0.002	0.37	02/23/21	0.47	...	-0.10	11 of 12 - Sale <sup>25</sup>
	0.001	0.26	02/23/21	0.33	...	-0.07	12 of 12 - Sale <sup>25</sup>
02/24/21	2.361	500.00	Various	635.90	...	-135.90	Total of 12 transactions
	16 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	3.691	828.69	02/23/21	878.24	...	-49.55	1 of 16 - Sale <sup>25</sup>
	0.475	106.74	02/23/21	128.97	...	-22.23	2 of 16 - Sale <sup>25</sup>
	0.365	81.87	02/23/21	98.80	...	-16.93	3 of 16 - Sale <sup>25</sup>
	0.927	208.08	02/23/21	220.23	...	-12.15	4 of 16 - Sale <sup>25</sup>
	0.428	96.18	02/23/21	102.38	...	-6.20	5 of 16 - Sale <sup>25</sup>
	0.316	70.87	02/23/21	74.71	...	-3.84	6 of 16 - Sale <sup>25</sup>
	0.233	52.36	02/23/21	55.22	...	-2.86	7 of 16 - Sale <sup>25</sup>
	0.124	27.87	02/23/21	29.53	...	-1.66	8 of 16 - Sale <sup>25</sup>
	0.053	12.00	02/23/21	12.70	...	-0.70	9 of 16 - Sale <sup>25</sup>
	0.026	5.80	02/23/21	6.15	...	-0.35	10 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
	0.054	12.06	02/23/21	10.81	...	1.25	11 of 16 - Sale <sup>25</sup>
	0.085	19.15	02/23/21	17.07	...	2.08	12 of 16 - Sale <sup>25</sup>
	1.309	293.85	02/23/21	258.77	...	35.08	13 of 16 - Sale <sup>25</sup>
	2.525	566.78	02/23/21	508.97	...	57.81	14 of 16 - Sale <sup>25</sup>
	4.868	1,092.96	02/23/21	1,000.52	...	92.44	15 of 16 - Sale <sup>25</sup>
	4.904	1,101.05	02/23/21	1,006.80	...	94.25	16 of 16 - Sale <sup>25</sup>
02/26/21	20.384	4,576.31	Various	4,409.87	...	166.44	Total of 16 transactions
	2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	0.729	210.52	08/05/21	133.62	...	76.90	1 of 2 - Sale <sup>25</sup>
	1.998	576.57	08/05/21	366.38	...	210.19	2 of 2 - Sale <sup>25</sup>
11/17/21	2.727	787.09	Various	500.00	...	287.09	Total of 2 transactions
	<b>Security total:</b>	<b>18,829.35</b>		<b>19,972.13</b>	<b>1,500.57 W</b>	<b>357.79</b>	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
01/12/21	0.000	0.08	01/11/21	0.08	...	0.00	Sale <sup>25</sup>
	6 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.004	0.98	01/06/21	1.00	0.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.268	68.72	01/06/21	71.07	2.35 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.142	36.54	01/11/21	36.97	0.43 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.203	52.22	01/11/21	52.96	0.74 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.073	18.67	01/11/21	18.87	0.20 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	1,284.16	01/11/21	1,298.05	13.89 W	0.00	6 of 6 - Sale <sup>25</sup>
01/12/21	5.690	1,461.29	Various	1,478.92	17.63 W	0.00	Total of 6 transactions
	8 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	0.000	0.06	01/20/21	0.06	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.004	0.98	01/20/21	0.98	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.021	5.70	01/20/21	5.70	...	0.00	3 of 8 - Sale <sup>25</sup>
	0.142	38.72	01/20/21	38.71	...	0.01	4 of 8 - Sale <sup>25</sup>
	0.073	19.78	01/20/21	19.76	...	0.02	5 of 8 - Sale <sup>25</sup>
	1.351	367.65	01/20/21	367.25	...	0.40	6 of 8 - Sale <sup>25</sup>
	0.407	110.58	01/20/21	109.52	...	1.06	7 of 8 - Sale <sup>25</sup>
	0.898	243.94	01/20/21	241.57	...	2.37	8 of 8 - Sale <sup>25</sup>
01/21/21	2.895	787.41	Various	783.55	...	3.86	Total of 8 transactions

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Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
8 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.164	44.67	01/20/21	44.69	0.02 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.203	55.26	01/20/21	55.46	0.20 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.004	0.98	01/20/21	0.99	0.01 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.268	72.73	01/20/21	73.54	0.81 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.268	72.81	01/20/21	74.34	1.53 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.407	110.59	01/20/21	110.61	0.02 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.451	394.44	01/20/21	394.55	0.11 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.606	436.38	01/20/21	436.46	0.08 W	0.00	8 of 8 - Sale <sup>25</sup>
01/21/21	4.370	1,187.86	Various	1,190.64	2.78 W	0.00	Total of 8 transactions
01/28/21	0.720	199.71	01/25/21	200.05	0.10 W	-0.24	Sale <sup>25</sup>
8 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.606	425.59	02/04/21	428.50	...	-2.91	1 of 8 - Sale <sup>25</sup>
	0.706	187.14	02/04/21	188.45	...	-1.31	2 of 8 - Sale <sup>25</sup>
	0.135	35.84	02/04/21	36.49	...	-0.65	3 of 8 - Sale <sup>25</sup>
	0.132	35.09	02/04/21	35.72	...	-0.63	4 of 8 - Sale <sup>25</sup>
	0.203	53.89	02/04/21	54.45	...	-0.56	5 of 8 - Sale <sup>25</sup>
	0.188	49.96	02/04/21	50.38	...	-0.42	6 of 8 - Sale <sup>25</sup>
	0.164	43.56	02/04/21	43.87	...	-0.31	7 of 8 - Sale <sup>25</sup>
	0.013	3.38	02/04/21	3.41	...	-0.03	8 of 8 - Sale <sup>25</sup>
02/08/21	3.148	834.45	Various	841.27	...	-6.82	Total of 8 transactions
	<b>Security total:</b>	<b>4,470.80</b>		<b>4,494.51</b>	<b>20.51 W</b>	<b>-3.20</b>	
FISERV, INC. COMMON STOCK / CUSIP: 337738108 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	0.000	0.02	02/04/21	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	3.988	449.98	02/04/21	445.59	...	4.39	2 of 2 - Sale <sup>25</sup>
02/08/21	3.989	450.00	Various	445.61	...	4.39	Total of 2 transactions
02/09/21	0.487	55.15	02/04/21	54.39	...	0.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>505.15</b>		<b>500.00</b>	...	<b>5.15</b>	

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
5 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	4.417	47.92	01/25/21	50.00	...	-2.08	1 of 5 - Sale <sup>25</sup>
	4.000	43.40	01/25/21	45.15	...	-1.75	2 of 5 - Sale <sup>25</sup>
	2.296	24.90	01/25/21	26.00	...	-1.10	3 of 5 - Sale <sup>25</sup>
	0.419	4.55	01/25/21	4.73	...	-0.18	4 of 5 - Sale <sup>25</sup>
	0.010	0.11	01/25/21	0.11	...	0.00	5 of 5 - Sale <sup>25</sup>
01/28/21	11.141	120.88	Various	125.99	...	-5.11	Total of 5 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
5 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.449	66.08	02/16/21	66.92	...	-0.84	1 of 5 - Sale <sup>25</sup>
	0.205	9.33	02/16/21	9.67	...	-0.34	2 of 5 - Sale <sup>25</sup>
	0.341	15.52	02/16/21	15.75	...	-0.23	3 of 5 - Sale <sup>25</sup>
	0.239	10.90	02/16/21	11.03	...	-0.13	4 of 5 - Sale <sup>25</sup>
	6.346	289.41	02/16/21	280.32	...	9.09	5 of 5 - Sale <sup>25</sup>
02/17/21	8.580	391.24	Various	383.69	...	7.55	Total of 5 transactions
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.205	9.31	02/09/21	9.95	0.64 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.444	19.87	02/09/21	20.71	0.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.790	80.13	02/09/21	83.73	3.60 W	0.00	3 of 3 - Sale <sup>25</sup>
02/17/21	2.439	109.31	Various	114.39	5.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>500.55</b>		<b>498.08</b>	<b>5.08 W</b>	<b>7.55</b>	
FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol:							
20 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.008	0.72	01/21/21	0.69	...	0.03	1 of 20 - Sale <sup>25</sup>
	0.010	0.97	01/21/21	0.92	...	0.05	2 of 20 - Sale <sup>25</sup>
	0.013	1.27	01/21/21	1.17	...	0.10	3 of 20 - Sale <sup>25</sup>
	0.049	4.75	01/21/21	4.27	...	0.48	4 of 20 - Sale <sup>25</sup>
	0.084	8.30	01/21/21	7.62	...	0.68	5 of 20 - Sale <sup>25</sup>
	0.166	16.35	01/21/21	15.00	...	1.35	6 of 20 - Sale <sup>25</sup>
	0.166	16.35	01/21/21	15.00	...	1.35	7 of 20 - Sale <sup>25</sup>
	0.224	21.90	01/21/21	20.20	...	1.70	8 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUTU HOLDINGS LIMITED AMERICAN DEPOSITORY SHARES / CUSIP: 36118L106 / Symbol: (cont'd)							
	0.231	22.60	01/21/21	20.86	...	1.74	9 of 20 - Sale <sup>25</sup>
	0.240	23.52	01/21/21	21.13	...	2.39	10 of 20 - Sale <sup>25</sup>
	0.242	23.69	01/21/21	21.26	...	2.43	11 of 20 - Sale <sup>25</sup>
	0.463	45.36	01/21/21	40.77	...	4.59	12 of 20 - Sale <sup>25</sup>
	4.670	457.64	01/21/21	421.40	...	36.24	13 of 20 - Sale <sup>25</sup>
	5.000	490.43	01/21/21	451.17	...	39.26	14 of 20 - Sale <sup>25</sup>
	5.293	518.73	01/21/21	465.68	...	53.05	15 of 20 - Sale <sup>25</sup>
	10.000	976.37	01/21/21	902.35	...	74.02	16 of 20 - Sale <sup>25</sup>
	10.000	977.79	01/21/21	902.35	...	75.44	17 of 20 - Sale <sup>25</sup>
	10.000	982.87	01/21/21	902.35	...	80.52	18 of 20 - Sale <sup>25</sup>
	10.000	983.49	01/21/21	902.35	...	81.14	19 of 20 - Sale <sup>25</sup>
	9.465	926.41	01/21/21	832.69	...	93.72	20 of 20 - Sale <sup>25</sup>
01/21/21	66.325	6,499.51	Various	5,949.23	...	550.28	Total of 20 transactions
	2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	0.001	0.10	01/21/21	0.12	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.003	0.25	01/21/21	0.26	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
01/21/21	0.004	0.35	Various	0.38	0.03 W	0.00	Total of 2 transactions
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	0.134	13.30	01/21/21	12.08	...	1.22	1 of 2 - Sale <sup>25</sup>
	20.000	1,986.65	01/21/21	1,804.70	...	181.95	2 of 2 - Sale <sup>25</sup>
01/22/21	20.134	1,999.95	Various	1,816.78	...	183.17	Total of 2 transactions
	12 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	0.001	0.15	01/21/21	0.15	...	0.00	1 of 12 - Sale <sup>25</sup>
	0.003	0.33	01/21/21	0.29	...	0.04	2 of 12 - Sale <sup>25</sup>
	0.006	0.67	01/21/21	0.56	...	0.11	3 of 12 - Sale <sup>25</sup>
	0.017	1.91	01/21/21	1.54	...	0.37	4 of 12 - Sale <sup>25</sup>
	0.190	22.04	01/21/21	18.64	...	3.40	5 of 12 - Sale <sup>25</sup>
	9.350	1,084.63	01/21/21	917.23	...	167.40	6 of 12 - Sale <sup>25</sup>
	8.811	999.27	01/21/21	795.09	...	204.18	7 of 12 - Sale <sup>25</sup>
	8.797	998.03	01/21/21	793.80	...	204.23	8 of 12 - Sale <sup>25</sup>
	8.815	999.97	01/21/21	795.41	...	204.56	9 of 12 - Sale <sup>25</sup>
	8.793	999.97	01/21/21	793.41	...	206.56	10 of 12 - Sale <sup>25</sup>
	8.781	999.97	01/21/21	792.34	...	207.63	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol: (cont'd)							
01/25/21	22.455	2,604.76	01/21/21	2,026.26	...	578.50	12 of 12 - Sale <sup>25</sup>
01/25/21	76.020	8,711.70	Various	6,934.72	...	1,776.98	Total of 12 transactions
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.141	14.19	01/21/21	13.83	...	0.36	1 of 2 - Sale <sup>25</sup>
	0.509	51.20	01/21/21	49.89	...	1.31	2 of 2 - Sale <sup>25</sup>
01/28/21	0.650	65.39	Various	63.72	...	1.67	Total of 2 transactions
	23 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.268	26.66	01/25/21	40.04	4.16 W	-9.22	1 of 23 - Sale <sup>25</sup>
	0.233	23.42	01/25/21	31.58	8.16 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.843	84.90	01/25/21	99.87	14.97 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.002	0.15	01/25/21	0.21	0.06 W	0.00	4 of 23 - Sale <sup>25</sup>
	0.002	0.15	01/25/21	0.23	0.08 W	0.00	5 of 23 - Sale <sup>25</sup>
	0.003	0.27	01/25/21	0.37	0.10 W	0.00	6 of 23 - Sale <sup>25</sup>
	0.085	8.52	01/25/21	10.02	1.50 W	0.00	7 of 23 - Sale <sup>25</sup>
	0.085	8.52	01/25/21	11.49	2.97 W	0.00	8 of 23 - Sale <sup>25</sup>
	0.106	10.65	01/25/21	12.50	1.85 W	0.00	9 of 23 - Sale <sup>25</sup>
	0.218	21.93	01/25/21	25.82	3.89 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.449	44.75	01/25/21	67.23	22.48 W	0.00	11 of 23 - Sale <sup>25</sup>
	0.449	45.21	01/25/21	60.84	15.63 W	0.00	12 of 23 - Sale <sup>25</sup>
	0.752	75.70	01/25/21	102.29	26.59 W	0.00	13 of 23 - Sale <sup>25</sup>
	0.842	84.78	01/25/21	100.00	15.22 W	0.00	14 of 23 - Sale <sup>25</sup>
	0.905	91.12	01/25/21	100.00	8.88 W	0.00	15 of 23 - Sale <sup>25</sup>
	1.248	125.64	01/25/21	168.96	43.32 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.547	155.68	01/25/21	209.99	54.31 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.699	171.01	01/25/21	200.00	28.99 W	0.00	18 of 23 - Sale <sup>25</sup>
	2.369	238.47	01/25/21	279.64	41.17 W	0.00	19 of 23 - Sale <sup>25</sup>
	2.534	255.08	01/25/21	300.00	44.92 W	0.00	20 of 23 - Sale <sup>25</sup>
	2.992	301.17	01/25/21	354.50	53.33 W	0.00	21 of 23 - Sale <sup>25</sup>
	3.634	365.82	01/25/21	417.48	51.66 W	0.00	22 of 23 - Sale <sup>25</sup>
	3.998	402.52	01/25/21	474.18	71.66 W	0.00	23 of 23 - Sale <sup>25</sup>
01/28/21	25.260	2,542.12	Various	3,067.24	515.90 W	-9.22	Total of 23 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol: (cont'd)							
17 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.547	249.90	02/18/21	334.12	...	-84.22	1 of 17 - Sale <sup>25</sup>
	0.980	158.42	02/18/21	211.42	...	-53.00	2 of 17 - Sale <sup>25</sup>
	1.375	222.25	02/18/21	272.77	...	-50.52	3 of 17 - Sale <sup>25</sup>
	0.752	121.50	02/18/21	162.64	...	-41.14	4 of 17 - Sale <sup>25</sup>
	0.233	37.59	02/18/21	50.25	...	-12.66	5 of 17 - Sale <sup>25</sup>
	0.085	13.68	02/18/21	18.28	...	-4.60	6 of 17 - Sale <sup>25</sup>
	0.003	0.44	02/18/21	0.59	...	-0.15	7 of 17 - Sale <sup>25</sup>
	3.185	493.69	02/23/21	585.80	...	-92.11	8 of 17 - Sale <sup>25</sup>
	0.815	126.29	02/23/21	149.71	...	-23.42	9 of 17 - Sale <sup>25</sup>
	1.000	152.03	02/23/21	174.70	...	-22.67	10 of 17 - Sale <sup>25</sup>
	0.993	160.52	02/23/21	182.15	...	-21.63	11 of 17 - Sale <sup>25</sup>
	0.813	131.42	02/23/21	149.57	...	-18.15	12 of 17 - Sale <sup>25</sup>
	0.218	35.19	02/23/21	40.04	...	-4.85	13 of 17 - Sale <sup>25</sup>
	0.140	21.77	02/23/21	24.54	...	-2.77	14 of 17 - Sale <sup>25</sup>
	0.106	16.40	02/23/21	18.45	...	-2.05	15 of 17 - Sale <sup>25</sup>
	0.028	4.38	02/23/21	4.93	...	-0.55	16 of 17 - Sale <sup>25</sup>
	0.000	0.06	02/23/21	0.07	...	-0.01	17 of 17 - Sale <sup>25</sup>
02/23/21	12.274	1,945.53	Various	2,380.03	...	-434.50	Total of 17 transactions
16 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	2.245	350.68	02/23/21	407.99	...	-57.31	1 of 16 - Sale <sup>25</sup>
	1.501	236.33	02/23/21	280.32	...	-43.99	2 of 16 - Sale <sup>25</sup>
	1.000	153.04	02/23/21	181.76	...	-28.72	3 of 16 - Sale <sup>25</sup>
	0.449	70.70	02/23/21	97.73	...	-27.03	4 of 16 - Sale <sup>25</sup>
	0.905	141.41	02/23/21	160.53	...	-19.12	5 of 16 - Sale <sup>25</sup>
	0.517	81.30	02/23/21	95.87	...	-14.57	6 of 16 - Sale <sup>25</sup>
	0.306	46.84	02/23/21	55.58	...	-8.74	7 of 16 - Sale <sup>25</sup>
	0.275	43.28	02/23/21	51.41	...	-8.13	8 of 16 - Sale <sup>25</sup>
	0.083	13.09	02/23/21	18.10	...	-5.01	9 of 16 - Sale <sup>25</sup>
	0.176	27.75	02/23/21	30.91	...	-3.16	10 of 16 - Sale <sup>25</sup>
	0.173	27.26	02/23/21	30.36	...	-3.10	11 of 16 - Sale <sup>25</sup>
	0.045	7.06	02/23/21	8.39	...	-1.33	12 of 16 - Sale <sup>25</sup>
	0.005	0.85	02/23/21	1.01	...	-0.16	13 of 16 - Sale <sup>25</sup>
	0.002	0.24	02/23/21	0.33	...	-0.09	14 of 16 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol: (cont'd)							
	0.001	0.12	02/23/21	0.14	...	-0.02	15 of 16 - Sale <sup>25</sup>
	0.000	0.05	02/23/21	0.06	...	-0.01	16 of 16 - Sale <sup>25</sup>
02/26/21	7.684	1,200.00	Various	1,420.49	...	-220.49	Total of 16 transactions
04/16/21	0.082	12.38	02/23/21	14.99	...	-2.61	Sale <sup>25</sup>
	<b>Security total:</b>	<b>22,976.93</b>		<b>21,647.58</b>	<b>515.93 W</b>	<b>1,845.28</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
01/28/21	0.301	87.40	01/27/21	100.00	...	-12.60	Sale <sup>25</sup>
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
01/12/21	1.000	45.96	01/06/21	41.92	...	4.04	Sale <sup>25</sup>
	3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	0.002	0.12	01/20/21	0.12	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.382	21.28	01/20/21	21.22	...	0.06	2 of 3 - Sale <sup>25</sup>
	12.000	668.56	01/20/21	666.66	...	1.90	3 of 3 - Sale <sup>25</sup>
01/21/21	12.384	689.96	Various	688.00	...	1.96	Total of 3 transactions
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	0.777	42.20	02/09/21	43.71	1.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	54.34	02/09/21	56.29	1.95 W	0.00	2 of 2 - Sale <sup>25</sup>
02/10/21	1.777	96.54	Various	100.00	3.46 W	0.00	Total of 2 transactions
	3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	53.07	02/17/21	54.76	...	-1.69	1 of 3 - Sale <sup>25</sup>
	0.777	41.21	02/17/21	42.52	...	-1.31	2 of 3 - Sale <sup>25</sup>
	17.160	910.67	02/17/21	906.18	...	4.49	3 of 3 - Sale <sup>25</sup>
02/19/21	18.937	1,004.95	Various	1,003.46	...	1.49	Total of 3 transactions
11/17/21	9.249	584.99	08/05/21	500.00	...	84.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,422.40</b>		<b>2,333.38</b>	<b>3.46 W</b>	<b>92.48</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
02/09/21	1.947	10.38	01/25/21	7.68	...	2.70	Sale <sup>25</sup>
	3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	0.196	0.83	02/10/21	1.00	...	-0.17	1 of 3 - Sale <sup>25</sup>
	10.990	46.32	02/11/21	53.74	...	-7.42	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	0.259	1.09	02/11/21	1.26	...	-0.17	3 of 3 - Sale <sup>25</sup>
02/17/21	11.445	48.24	Various	56.00	...	-7.76	Total of 3 transactions
	<b>Security total:</b>	<b>58.62</b>		<b>63.68</b>	...	<b>-5.06</b>	
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
12 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	8.429	759.76	01/25/21	827.00	...	-67.24	1 of 12 - Sale <sup>25</sup>
	3.959	356.85	01/25/21	400.00	...	-43.15	2 of 12 - Sale <sup>25</sup>
	3.964	357.26	01/25/21	400.00	...	-42.74	3 of 12 - Sale <sup>25</sup>
	1.978	178.32	01/25/21	200.00	...	-21.68	4 of 12 - Sale <sup>25</sup>
	1.997	179.99	01/25/21	199.62	...	-19.63	5 of 12 - Sale <sup>25</sup>
	2.000	180.28	01/25/21	199.88	...	-19.60	6 of 12 - Sale <sup>25</sup>
	0.999	90.00	01/25/21	99.65	...	-9.65	7 of 12 - Sale <sup>25</sup>
	0.665	59.95	01/25/21	66.38	...	-6.43	8 of 12 - Sale <sup>25</sup>
	0.505	45.49	01/25/21	50.00	...	-4.51	9 of 12 - Sale <sup>25</sup>
	0.004	0.32	01/25/21	0.35	...	-0.03	10 of 12 - Sale <sup>25</sup>
	0.001	0.10	01/25/21	0.11	...	-0.01	11 of 12 - Sale <sup>25</sup>
	0.000	0.01	01/25/21	0.01	...	0.00	12 of 12 - Sale <sup>25</sup>
01/28/21	24.499	2,208.33	Various	2,443.00	...	-234.67	Total of 12 transactions
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
6 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.007	0.24	01/12/21	0.23	...	0.01	1 of 6 - Sale <sup>25</sup>
	0.008	0.27	01/12/21	0.25	...	0.02	2 of 6 - Sale <sup>25</sup>
	5.848	202.77	01/12/21	200.00	...	2.77	3 of 6 - Sale <sup>25</sup>
	3.000	104.02	01/12/21	98.70	...	5.32	4 of 6 - Sale <sup>25</sup>
	9.000	312.07	01/12/21	299.52	...	12.55	5 of 6 - Sale <sup>25</sup>
	27.837	965.21	01/12/21	920.00	...	45.21	6 of 6 - Sale <sup>25</sup>
01/14/21	45.699	1,584.58	Various	1,518.70	...	65.88	Total of 6 transactions
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.224	7.80	01/19/21	7.67	...	0.13	1 of 4 - Sale <sup>25</sup>
	0.584	20.39	01/19/21	20.01	...	0.38	2 of 4 - Sale <sup>25</sup>
	0.901	31.38	01/19/21	30.94	...	0.44	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
01/21/21	42.875	1,492.86	01/19/21	1,472.32	...	20.54	4 of 4 - Sale <sup>25</sup>
	44.584	1,552.43	Various	1,530.94	...	21.49	Total of 4 transactions
	52 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.089	2.79	01/22/21	3.08	0.29 W	0.00	1 of 52 - Sale <sup>25</sup>
	0.089	2.79	01/22/21	3.37	0.58 W	0.00	2 of 52 - Sale <sup>25</sup>
	0.187	5.83	01/22/21	6.43	0.60 W	0.00	3 of 52 - Sale <sup>25</sup>
	5.904	184.22	01/22/21	203.41	19.19 W	0.00	4 of 52 - Sale <sup>25</sup>
	0.179	5.54	01/25/21	7.49	1.95 W	0.00	5 of 52 - Sale <sup>25</sup>
	0.187	5.81	01/25/21	7.11	1.30 W	0.00	6 of 52 - Sale <sup>25</sup>
	0.213	6.62	01/25/21	8.12	1.50 W	0.00	7 of 52 - Sale <sup>25</sup>
	0.496	15.48	01/25/21	19.05	3.57 W	0.00	8 of 52 - Sale <sup>25</sup>
	0.496	15.48	01/25/21	19.16	3.68 W	0.00	9 of 52 - Sale <sup>25</sup>
	1.903	58.88	01/25/21	76.30	17.42 W	0.00	10 of 52 - Sale <sup>25</sup>
	5.513	170.61	01/25/21	230.86	60.25 W	0.00	11 of 52 - Sale <sup>25</sup>
	5.691	177.57	01/25/21	216.78	39.21 W	0.00	12 of 52 - Sale <sup>25</sup>
	5.857	181.27	01/25/21	226.26	44.99 W	0.00	13 of 52 - Sale <sup>25</sup>
	6.703	207.46	01/25/21	242.76	35.30 W	0.00	14 of 52 - Sale <sup>25</sup>
	6.703	209.13	01/25/21	234.54	25.41 W	0.00	15 of 52 - Sale <sup>25</sup>
	8.763	273.40	01/25/21	338.52	65.12 W	0.00	16 of 52 - Sale <sup>25</sup>
	9.259	288.89	01/25/21	322.60	33.71 W	0.00	17 of 52 - Sale <sup>25</sup>
	40.974	1,278.34	01/25/21	1,427.53	149.19 W	0.00	18 of 52 - Sale <sup>25</sup>
	0.089	2.77	01/25/21	4.22	1.45 W	0.00	19 of 52 - Sale <sup>25</sup>
	0.089	2.78	01/25/21	3.70	0.92 W	0.00	20 of 52 - Sale <sup>25</sup>
	0.089	2.79	01/25/21	4.05	1.26 W	0.00	21 of 52 - Sale <sup>25</sup>
	0.179	5.54	01/25/21	7.75	2.21 W	0.00	22 of 52 - Sale <sup>25</sup>
	0.187	5.78	01/25/21	8.21	2.43 W	0.00	23 of 52 - Sale <sup>25</sup>
	0.187	5.83	01/25/21	7.84	2.01 W	0.00	24 of 52 - Sale <sup>25</sup>
	0.207	6.40	01/25/21	8.25	1.85 W	0.00	25 of 52 - Sale <sup>25</sup>
	0.213	6.59	01/25/21	9.37	2.78 W	0.00	26 of 52 - Sale <sup>25</sup>
	0.213	6.65	01/25/21	8.96	2.31 W	0.00	27 of 52 - Sale <sup>25</sup>
	0.420	13.00	01/25/21	16.74	3.74 W	0.00	28 of 52 - Sale <sup>25</sup>
	0.496	15.35	01/25/21	20.93	5.58 W	0.00	29 of 52 - Sale <sup>25</sup>
	0.496	15.35	01/25/21	21.66	6.31 W	0.00	30 of 52 - Sale <sup>25</sup>
	0.496	15.36	01/25/21	20.13	4.77 W	0.00	31 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	5.513	170.61	01/25/21	239.00	68.39 W	0.00	32 of 52 - Sale <sup>25</sup>
	5.878	181.93	01/25/21	216.27	34.34 W	0.00	33 of 52 - Sale <sup>25</sup>
	8.136	251.80	01/25/21	330.17	78.37 W	0.00	34 of 52 - Sale <sup>25</sup>
	0.002	0.06	01/25/21	0.07	0.01 W	0.00	35 of 52 - Sale <sup>25</sup>
	0.011	0.35	01/25/21	0.42	0.07 W	0.00	36 of 52 - Sale <sup>25</sup>
	0.024	0.74	01/25/21	0.93	0.19 W	0.00	37 of 52 - Sale <sup>25</sup>
	0.024	0.74	01/25/21	0.98	0.24 W	0.00	38 of 52 - Sale <sup>25</sup>
	0.056	1.73	01/25/21	2.28	0.55 W	0.00	39 of 52 - Sale <sup>25</sup>
	0.057	1.78	01/25/21	2.21	0.43 W	0.00	40 of 52 - Sale <sup>25</sup>
	0.057	1.78	01/25/21	2.33	0.55 W	0.00	41 of 52 - Sale <sup>25</sup>
	0.059	1.82	01/25/21	2.27	0.45 W	0.00	42 of 52 - Sale <sup>25</sup>
	0.059	1.82	01/25/21	2.39	0.57 W	0.00	43 of 52 - Sale <sup>25</sup>
	0.072	2.22	01/25/21	2.63	0.41 W	0.00	44 of 52 - Sale <sup>25</sup>
	0.496	15.48	01/25/21	17.25	1.77 W	0.00	45 of 52 - Sale <sup>25</sup>
	0.721	22.32	01/25/21	27.12	4.80 W	0.00	46 of 52 - Sale <sup>25</sup>
	1.963	60.76	01/25/21	79.58	18.82 W	0.00	47 of 52 - Sale <sup>25</sup>
	3.897	120.60	01/25/21	158.58	37.98 W	0.00	48 of 52 - Sale <sup>25</sup>
	6.021	186.35	01/25/21	226.51	40.16 W	0.00	49 of 52 - Sale <sup>25</sup>
	7.973	246.76	01/25/21	299.95	53.19 W	0.00	50 of 52 - Sale <sup>25</sup>
	14.300	442.57	01/25/21	550.07	107.50 W	0.00	51 of 52 - Sale <sup>25</sup>
	1.418	43.88	01/26/21	57.66	13.78 W	0.00	52 of 52 - Sale <sup>25</sup>
01/28/21	159.307	4,950.40	Various	5,953.85	1,003.45 W	0.00	Total of 52 transactions
02/04/21	0.325	10.00	01/28/21	12.77	2.77 W	0.00	Sale <sup>25</sup>
	56 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	0.261	7.86	01/28/21	10.27	2.41 W	0.00	1 of 56 - Sale <sup>25</sup>
	0.332	10.00	01/28/21	13.04	3.04 W	0.00	2 of 56 - Sale <sup>25</sup>
	0.332	10.00	01/28/21	13.04	3.04 W	0.00	3 of 56 - Sale <sup>25</sup>
	0.721	21.68	01/28/21	27.72	6.04 W	0.00	4 of 56 - Sale <sup>25</sup>
	3.145	94.55	01/28/21	120.94	26.39 W	0.00	5 of 56 - Sale <sup>25</sup>
	9.669	290.75	01/28/21	380.08	89.33 W	0.00	6 of 56 - Sale <sup>25</sup>
	91.918	2,763.44	02/01/21	2,935.85	26.37 W	-146.04	7 of 56 - Sale <sup>25</sup>
	0.011	0.34	02/01/21	0.44	0.10 W	0.00	8 of 56 - Sale <sup>25</sup>
	0.072	2.15	02/01/21	2.70	0.55 W	0.00	9 of 56 - Sale <sup>25</sup>
	0.172	5.17	02/01/21	6.65	1.48 W	0.00	10 of 56 - Sale <sup>25</sup>

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Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	0.306	9.21	02/01/21	11.84	2.63 W	0.00	11 of 56 - Sale <sup>25</sup>
	0.002	0.05	02/01/21	0.07	0.02 W	0.00	12 of 56 - Sale <sup>25</sup>
	0.024	0.72	02/01/21	1.01	0.29 W	0.00	13 of 56 - Sale <sup>25</sup>
	0.056	1.68	02/01/21	2.34	0.66 W	0.00	14 of 56 - Sale <sup>25</sup>
	0.057	1.73	02/01/21	2.39	0.66 W	0.00	15 of 56 - Sale <sup>25</sup>
	0.059	1.77	02/01/21	2.45	0.68 W	0.00	16 of 56 - Sale <sup>25</sup>
	0.089	2.69	02/01/21	4.31	1.62 W	0.00	17 of 56 - Sale <sup>25</sup>
	0.089	2.69	02/01/21	4.48	1.79 W	0.00	18 of 56 - Sale <sup>25</sup>
	0.172	5.17	02/01/21	6.98	1.81 W	0.00	19 of 56 - Sale <sup>25</sup>
	0.179	5.38	02/01/21	7.93	2.55 W	0.00	20 of 56 - Sale <sup>25</sup>
	0.179	5.38	02/01/21	8.27	2.89 W	0.00	21 of 56 - Sale <sup>25</sup>
	0.187	5.62	02/01/21	8.41	2.79 W	0.00	22 of 56 - Sale <sup>25</sup>
	0.187	5.62	02/01/21	8.76	3.14 W	0.00	23 of 56 - Sale <sup>25</sup>
	0.207	6.22	02/01/21	8.46	2.24 W	0.00	24 of 56 - Sale <sup>25</sup>
	0.213	6.41	02/01/21	9.59	3.18 W	0.00	25 of 56 - Sale <sup>25</sup>
	0.213	6.41	02/01/21	9.99	3.58 W	0.00	26 of 56 - Sale <sup>25</sup>
	0.261	7.85	02/01/21	10.76	2.91 W	0.00	27 of 56 - Sale <sup>25</sup>
	0.270	8.10	02/01/21	10.92	2.82 W	0.00	28 of 56 - Sale <sup>25</sup>
	0.306	9.21	02/01/21	12.42	3.21 W	0.00	29 of 56 - Sale <sup>25</sup>
	0.325	9.77	02/01/21	13.16	3.39 W	0.00	30 of 56 - Sale <sup>25</sup>
	0.332	9.98	02/01/21	13.65	3.67 W	0.00	31 of 56 - Sale <sup>25</sup>
	0.420	12.63	02/01/21	17.97	5.34 W	0.00	32 of 56 - Sale <sup>25</sup>
	0.420	12.64	02/01/21	17.18	4.54 W	0.00	33 of 56 - Sale <sup>25</sup>
	0.496	14.91	02/01/21	22.16	7.25 W	0.00	34 of 56 - Sale <sup>25</sup>
	0.496	14.92	02/01/21	20.64	5.72 W	0.00	35 of 56 - Sale <sup>25</sup>
	0.496	14.92	02/01/21	21.58	6.66 W	0.00	36 of 56 - Sale <sup>25</sup>
	0.721	21.68	02/01/21	29.08	7.40 W	0.00	37 of 56 - Sale <sup>25</sup>
	0.775	23.30	02/01/21	34.38	11.08 W	0.00	38 of 56 - Sale <sup>25</sup>
	1.418	42.62	02/01/21	59.09	16.47 W	0.00	39 of 56 - Sale <sup>25</sup>
	1.903	57.20	02/01/21	78.23	21.03 W	0.00	40 of 56 - Sale <sup>25</sup>
	1.963	59.02	02/01/21	81.56	22.54 W	0.00	41 of 56 - Sale <sup>25</sup>
	3.145	94.54	02/01/21	126.89	32.35 W	0.00	42 of 56 - Sale <sup>25</sup>
	4.559	137.07	02/01/21	184.83	47.76 W	0.00	43 of 56 - Sale <sup>25</sup>
	4.559	137.09	02/01/21	176.21	39.12 W	0.00	44 of 56 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	4.738	142.43	02/01/21	219.24	76.81 W	0.00	45 of 56 - Sale <sup>25</sup>
	4.738	142.46	02/01/21	210.28	67.82 W	0.00	46 of 56 - Sale <sup>25</sup>
	5.543	166.64	02/01/21	214.23	47.59 W	0.00	47 of 56 - Sale <sup>25</sup>
	5.878	176.73	02/01/21	222.33	45.60 W	0.00	48 of 56 - Sale <sup>25</sup>
	6.703	201.52	02/01/21	249.53	48.01 W	0.00	49 of 56 - Sale <sup>25</sup>
	8.136	244.60	02/01/21	353.93	109.33 W	0.00	50 of 56 - Sale <sup>25</sup>
	8.136	244.65	02/01/21	338.56	93.91 W	0.00	51 of 56 - Sale <sup>25</sup>
	9.669	290.69	02/01/21	398.35	107.66 W	0.00	52 of 56 - Sale <sup>25</sup>
	0.270	8.11	02/01/21	10.42	2.31 W	0.00	53 of 56 - Sale <sup>25</sup>
	0.332	9.98	02/01/21	13.65	3.67 W	0.00	54 of 56 - Sale <sup>25</sup>
	3.897	117.15	02/01/21	162.51	45.36 W	0.00	55 of 56 - Sale <sup>25</sup>
	10.469	314.74	02/01/21	334.59	19.85 W	0.00	56 of 56 - Sale <sup>25</sup>
02/09/21	200.225	6,019.84	Various	7,266.34	1,100.46 W	-146.04	Total of 56 transactions
	43 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	8.136	255.95	02/01/21	369.27	...	-113.32	1 of 43 - Sale <sup>25</sup>
	9.669	304.19	02/01/21	416.59	...	-112.40	2 of 43 - Sale <sup>25</sup>
	4.738	149.04	02/01/21	228.18	...	-79.14	3 of 43 - Sale <sup>25</sup>
	6.703	210.88	02/01/21	262.17	...	-51.29	4 of 43 - Sale <sup>25</sup>
	4.559	143.43	02/01/21	193.42	...	-49.99	5 of 43 - Sale <sup>25</sup>
	3.897	122.58	02/01/21	169.85	...	-47.27	6 of 43 - Sale <sup>25</sup>
	4.899	154.11	02/01/21	198.52	...	-44.41	7 of 43 - Sale <sup>25</sup>
	3.145	98.92	02/01/21	132.82	...	-33.90	8 of 43 - Sale <sup>25</sup>
	3.422	107.65	02/01/21	135.87	...	-28.22	9 of 43 - Sale <sup>25</sup>
	10.469	329.35	02/01/21	354.33	...	-24.98	10 of 43 - Sale <sup>25</sup>
	1.963	61.76	02/01/21	85.26	...	-23.50	11 of 43 - Sale <sup>25</sup>
	1.903	59.85	02/01/21	81.82	...	-21.97	12 of 43 - Sale <sup>25</sup>
	2.457	77.28	02/01/21	97.52	...	-20.24	13 of 43 - Sale <sup>25</sup>
	7.427	233.66	02/01/21	251.23	...	-17.57	14 of 43 - Sale <sup>25</sup>
	1.418	44.60	02/01/21	61.77	...	-17.17	15 of 43 - Sale <sup>25</sup>
	0.775	24.38	02/01/21	35.84	...	-11.46	16 of 43 - Sale <sup>25</sup>
	0.721	22.68	02/01/21	30.44	...	-7.76	17 of 43 - Sale <sup>25</sup>
	0.496	15.61	02/01/21	23.10	...	-7.49	18 of 43 - Sale <sup>25</sup>
	0.496	15.61	02/01/21	22.52	...	-6.91	19 of 43 - Sale <sup>25</sup>
	0.420	13.22	02/01/21	18.77	...	-5.55	20 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	0.592	18.64	02/01/21	24.00	...	-5.36	21 of 43 - Sale <sup>25</sup>
	0.332	10.44	02/01/21	14.27	...	-3.83	22 of 43 - Sale <sup>25</sup>
	0.332	10.44	02/01/21	14.27	...	-3.83	23 of 43 - Sale <sup>25</sup>
	0.213	6.70	02/01/21	10.39	...	-3.69	24 of 43 - Sale <sup>25</sup>
	0.325	10.22	02/01/21	13.77	...	-3.55	25 of 43 - Sale <sup>25</sup>
	0.306	9.64	02/01/21	13.00	...	-3.36	26 of 43 - Sale <sup>25</sup>
	0.187	5.88	02/01/21	9.11	...	-3.23	27 of 43 - Sale <sup>25</sup>
	0.261	8.22	02/01/21	11.26	...	-3.04	28 of 43 - Sale <sup>25</sup>
	0.179	5.63	02/01/21	8.60	...	-2.97	29 of 43 - Sale <sup>25</sup>
	0.270	8.48	02/01/21	11.43	...	-2.95	30 of 43 - Sale <sup>25</sup>
	0.207	6.51	02/01/21	8.85	...	-2.34	31 of 43 - Sale <sup>25</sup>
	0.172	5.41	02/01/21	7.31	...	-1.90	32 of 43 - Sale <sup>25</sup>
	0.089	2.81	02/01/21	4.65	...	-1.84	33 of 43 - Sale <sup>25</sup>
	0.059	1.85	02/01/21	2.56	...	-0.71	34 of 43 - Sale <sup>25</sup>
	0.056	1.76	02/01/21	2.45	...	-0.69	35 of 43 - Sale <sup>25</sup>
	0.057	1.81	02/01/21	2.49	...	-0.68	36 of 43 - Sale <sup>25</sup>
	0.072	2.25	02/01/21	2.84	...	-0.59	37 of 43 - Sale <sup>25</sup>
	0.052	1.56	02/01/21	2.10	...	-0.54	38 of 43 - Sale <sup>25</sup>
	0.024	0.75	02/01/21	1.06	...	-0.31	39 of 43 - Sale <sup>25</sup>
	0.011	0.36	02/01/21	0.47	...	-0.11	40 of 43 - Sale <sup>25</sup>
	0.002	0.06	02/01/21	0.08	...	-0.02	41 of 43 - Sale <sup>25</sup>
	5.499	171.05	02/09/21	176.11	...	-5.06	42 of 43 - Sale <sup>25</sup>
	1.134	35.69	02/09/21	36.33	...	-0.64	43 of 43 - Sale <sup>25</sup>
02/16/21	88.143	2,770.91	Various	3,546.69	...	-775.78	Total of 43 transactions
	<b>Security total:</b>	<b>16,888.16</b>		<b>19,829.29</b>	<b>2,106.68 W</b>	<b>-834.45</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/11/21	1.000	218.21	01/06/21	212.45	...	5.76	Sale <sup>25</sup>
	31 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	0.002	0.36	01/28/21	0.36	...	0.00	1 of 31 - Sale <sup>25</sup>
	0.031	7.59	01/28/21	7.57	...	0.02	2 of 31 - Sale <sup>25</sup>
	0.077	18.68	01/28/21	18.63	...	0.05	3 of 31 - Sale <sup>25</sup>
	0.126	30.31	01/28/21	30.21	...	0.10	4 of 31 - Sale <sup>25</sup>
	0.155	37.36	01/28/21	37.26	...	0.10	5 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	0.155	37.49	01/28/21	37.38	...	0.11	6 of 31 - Sale <sup>25</sup>
	0.157	37.90	01/28/21	37.78	...	0.12	7 of 31 - Sale <sup>25</sup>
	0.159	38.28	01/28/21	38.14	...	0.14	8 of 31 - Sale <sup>25</sup>
	0.160	38.63	01/28/21	38.46	...	0.17	9 of 31 - Sale <sup>25</sup>
	0.162	39.05	01/28/21	38.88	...	0.17	10 of 31 - Sale <sup>25</sup>
	0.163	39.28	01/28/21	39.10	...	0.18	11 of 31 - Sale <sup>25</sup>
	0.164	39.66	01/28/21	39.46	...	0.20	12 of 31 - Sale <sup>25</sup>
	1.000	241.29	01/28/21	240.66	...	0.63	13 of 31 - Sale <sup>25</sup>
	1.000	241.35	01/28/21	240.71	...	0.64	14 of 31 - Sale <sup>25</sup>
	0.998	240.80	01/28/21	240.15	...	0.65	15 of 31 - Sale <sup>25</sup>
	1.000	241.34	01/28/21	240.69	...	0.65	16 of 31 - Sale <sup>25</sup>
	1.000	241.30	01/28/21	240.64	...	0.66	17 of 31 - Sale <sup>25</sup>
	0.999	241.17	01/28/21	240.42	...	0.75	18 of 31 - Sale <sup>25</sup>
	1.000	241.24	01/28/21	240.39	...	0.85	19 of 31 - Sale <sup>25</sup>
	1.000	241.34	01/28/21	240.29	...	1.05	20 of 31 - Sale <sup>25</sup>
	3.000	724.04	01/28/21	722.10	...	1.94	21 of 31 - Sale <sup>25</sup>
	3.000	724.04	01/28/21	722.07	...	1.97	22 of 31 - Sale <sup>25</sup>
	3.155	761.38	01/28/21	759.31	...	2.07	23 of 31 - Sale <sup>25</sup>
	3.000	724.03	01/28/21	721.80	...	2.23	24 of 31 - Sale <sup>25</sup>
	3.000	724.03	01/28/21	721.47	...	2.56	25 of 31 - Sale <sup>25</sup>
	4.000	965.32	01/28/21	962.62	...	2.70	26 of 31 - Sale <sup>25</sup>
	4.155	1,002.89	01/28/21	1,000.00	...	2.89	27 of 31 - Sale <sup>25</sup>
	2.999	723.79	01/28/21	720.61	...	3.18	28 of 31 - Sale <sup>25</sup>
	3.999	965.02	01/28/21	961.12	...	3.90	29 of 31 - Sale <sup>25</sup>
	4.000	965.38	01/28/21	961.16	...	4.22	30 of 31 - Sale <sup>25</sup>
	3.998	964.84	01/28/21	960.54	...	4.30	31 of 31 - Sale <sup>25</sup>
02/01/21	47.812	11,539.18	Various	11,499.98	...	39.20	Total of 31 transactions
	3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	08/05/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.743	252.10	08/05/21	213.13	...	38.97	2 of 3 - Sale <sup>25</sup>
	1.000	339.32	08/05/21	286.86	...	52.46	3 of 3 - Sale <sup>25</sup>
11/17/21	1.743	591.43	Various	500.00	...	91.43	Total of 3 transactions
	<b>Security total:</b>	<b>12,348.82</b>		<b>12,212.43</b>	...	<b>136.39</b>	

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSTRATEGY INCORPORATED COM MON STOCK CLASS A / CUSIP: 594972408 / Symbol:							
6 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.390	391.75	02/09/21	525.83	...	-134.08	1 of 6 - Sale <sup>25</sup>
	0.502	504.04	02/09/21	593.11	...	-89.07	2 of 6 - Sale <sup>25</sup>
	0.088	88.50	02/09/21	117.60	...	-29.10	3 of 6 - Sale <sup>25</sup>
	0.090	90.29	02/09/21	106.03	...	-15.74	4 of 6 - Sale <sup>25</sup>
	0.018	18.17	02/09/21	21.35	...	-3.18	5 of 6 - Sale <sup>25</sup>
	0.002	1.58	02/09/21	2.10	...	-0.52	6 of 6 - Sale <sup>25</sup>
02/10/21	1.090	1,094.33	Various	1,366.02	...	-271.69	Total of 6 transactions
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.106	107.01	02/09/21	141.76	27.82 W	-6.93	1 of 3 - Sale <sup>25</sup>
	0.196	200.00	02/09/21	230.35	30.35 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.390	392.99	02/09/21	458.65	65.66 W	0.00	3 of 3 - Sale <sup>25</sup>
02/10/21	0.692	700.00	Various	830.76	123.83 W	-6.93	Total of 3 transactions
4 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	0.498	299.26	02/09/21	588.23	288.97 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.085	51.03	02/10/21	115.82	64.79 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.996	1,799.65	04/20/21	1,929.33	113.47 W	-16.21	3 of 4 - Sale <sup>25</sup>
	0.110	66.01	04/20/21	70.67	4.66 W	0.00	4 of 4 - Sale <sup>25</sup>
04/22/21	3.689	2,215.95	Various	2,704.05	471.89 W	-16.21	Total of 4 transactions
6 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	0.319	215.09	04/22/21	376.86	...	-161.77	1 of 6 - Sale <sup>25</sup>
	0.180	121.18	04/22/21	212.49	...	-91.31	2 of 6 - Sale <sup>25</sup>
	0.085	57.34	04/22/21	116.06	...	-58.72	3 of 6 - Sale <sup>25</sup>
	0.110	74.17	04/22/21	70.98	...	3.19	4 of 6 - Sale <sup>25</sup>
	0.314	209.99	04/22/21	203.33	...	6.66	5 of 6 - Sale <sup>25</sup>
	2.307	1,557.20	04/22/21	1,492.17	...	65.03	6 of 6 - Sale <sup>25</sup>
05/03/21	3.314	2,234.97	Various	2,471.89	...	-236.92	Total of 6 transactions
	<b>Security total:</b>	<b>6,245.25</b>		<b>7,372.72</b>	<b>595.72 W</b>	<b>-531.75</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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MONOLITHIC POWER SYSTEMS, INC. COMMON STOCK / CUSIP: 609839105 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	5.348	1,995.64	02/17/21	2,012.70	...	-17.06	1 of 2 - Sale <sup>25</sup>
	0.012	4.26	02/17/21	4.35	...	-0.09	2 of 2 - Sale <sup>25</sup>
02/18/21	5.360	1,999.90	Various	2,017.05	...	-17.15	Total of 2 transactions
02/19/21	0.486	183.97	02/17/21	182.95	...	1.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,183.87</b>		<b>2,200.00</b>	...	<b>-16.13</b>	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
9 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.056	3.50	01/12/21	3.45	...	0.05	1 of 9 - Sale <sup>25</sup>
	0.151	9.49	01/12/21	9.35	...	0.14	2 of 9 - Sale <sup>25</sup>
	0.210	13.16	01/12/21	12.76	...	0.40	3 of 9 - Sale <sup>25</sup>
	0.561	35.24	01/12/21	34.71	...	0.53	4 of 9 - Sale <sup>25</sup>
	0.591	37.10	01/12/21	36.56	...	0.54	5 of 9 - Sale <sup>25</sup>
	0.505	31.73	01/12/21	31.19	...	0.54	6 of 9 - Sale <sup>25</sup>
	1.000	62.82	01/12/21	61.84	...	0.98	7 of 9 - Sale <sup>25</sup>
	1.922	120.77	01/12/21	118.44	...	2.33	8 of 9 - Sale <sup>25</sup>
	2.005	125.93	01/12/21	122.22	...	3.71	9 of 9 - Sale <sup>25</sup>
01/14/21	7.000	439.74	Various	430.52	...	9.22	Total of 9 transactions
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	5.634	323.20	01/12/21	344.46	21.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.378	21.70	01/12/21	23.08	1.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.987	343.43	01/12/21	365.02	21.59 W	0.00	3 of 3 - Sale <sup>25</sup>
01/20/21	12.000	688.33	Various	732.56	44.23 W	0.00	Total of 3 transactions
11 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	23.897	1,354.68	01/12/21	1,499.76	...	-145.08	1 of 11 - Sale <sup>25</sup>
	24.412	1,383.89	01/12/21	1,498.61	...	-114.72	2 of 11 - Sale <sup>25</sup>
	24.506	1,389.20	01/12/21	1,500.00	...	-110.80	3 of 11 - Sale <sup>25</sup>
	7.000	396.82	01/12/21	440.75	...	-43.93	4 of 11 - Sale <sup>25</sup>
	1.730	98.07	01/12/21	106.55	...	-8.48	5 of 11 - Sale <sup>25</sup>
	1.633	92.56	01/12/21	100.00	...	-7.44	6 of 11 - Sale <sup>25</sup>
	0.587	33.25	01/12/21	36.93	...	-3.68	7 of 11 - Sale <sup>25</sup>
	0.355	20.11	01/12/21	22.32	...	-2.21	8 of 11 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.023	1.28	01/12/21	1.39	...	-0.11	9 of 11 - Sale <sup>25</sup>
	0.004	0.22	01/12/21	0.24	...	-0.02	10 of 11 - Sale <sup>25</sup>
	0.001	0.07	01/12/21	0.08	...	-0.01	11 of 11 - Sale <sup>25</sup>
01/21/21	84.146	4,770.15	Various	5,206.63	...	-436.48	Total of 11 transactions
	19 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	31.974	1,812.57	01/12/21	1,979.84	31.52 W	-135.75	1 of 19 - Sale <sup>25</sup>
	0.170	9.61	01/12/21	10.49	0.88 W	0.00	2 of 19 - Sale <sup>25</sup>
	0.275	15.58	01/12/21	17.01	1.43 W	0.00	3 of 19 - Sale <sup>25</sup>
	0.572	32.45	01/12/21	37.04	4.59 W	0.00	4 of 19 - Sale <sup>25</sup>
	3.963	224.68	01/12/21	242.30	17.62 W	0.00	5 of 19 - Sale <sup>25</sup>
	5.415	306.97	01/12/21	350.58	43.61 W	0.00	6 of 19 - Sale <sup>25</sup>
	0.326	18.47	01/12/21	20.16	1.69 W	0.00	7 of 19 - Sale <sup>25</sup>
	0.378	21.45	01/12/21	24.51	3.06 W	0.00	8 of 19 - Sale <sup>25</sup>
	0.572	32.43	01/12/21	39.92	7.49 W	0.00	9 of 19 - Sale <sup>25</sup>
	5.634	319.41	01/12/21	369.18	49.77 W	0.00	10 of 19 - Sale <sup>25</sup>
	69.000	3,911.52	01/12/21	4,269.54	358.02 W	0.00	11 of 19 - Sale <sup>25</sup>
	0.003	0.15	01/12/21	0.16	0.01 W	0.00	12 of 19 - Sale <sup>25</sup>
	0.006	0.32	01/12/21	0.35	0.03 W	0.00	13 of 19 - Sale <sup>25</sup>
	0.048	2.69	01/12/21	2.94	0.25 W	0.00	14 of 19 - Sale <sup>25</sup>
	0.193	10.94	01/12/21	11.92	0.98 W	0.00	15 of 19 - Sale <sup>25</sup>
	0.358	20.30	01/12/21	22.05	1.75 W	0.00	16 of 19 - Sale <sup>25</sup>
	11.000	623.58	01/12/21	677.60	54.02 W	0.00	17 of 19 - Sale <sup>25</sup>
	14.539	824.18	01/12/21	897.75	73.57 W	0.00	18 of 19 - Sale <sup>25</sup>
	16.000	907.02	01/12/21	987.92	80.90 W	0.00	19 of 19 - Sale <sup>25</sup>
01/21/21	160.426	9,094.32	Various	9,961.26	731.19 W	-135.75	Total of 19 transactions
	33 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	20.611	1,256.07	01/21/21	1,306.78	...	-50.71	1 of 33 - Sale <sup>25</sup>
	5.634	355.95	01/21/21	376.27	...	-20.32	2 of 33 - Sale <sup>25</sup>
	5.133	324.30	01/21/21	338.81	...	-14.51	3 of 33 - Sale <sup>25</sup>
	5.868	357.60	01/21/21	370.52	...	-12.92	4 of 33 - Sale <sup>25</sup>
	4.170	254.15	01/21/21	263.85	...	-9.70	5 of 33 - Sale <sup>25</sup>
	5.601	347.69	01/21/21	353.69	...	-6.00	6 of 33 - Sale <sup>25</sup>
	0.547	34.59	01/21/21	38.89	...	-4.30	7 of 33 - Sale <sup>25</sup>
	1.845	114.53	01/21/21	116.23	...	-1.70	8 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.378	23.90	01/21/21	24.99	...	-1.09	9 of 33 - Sale <sup>25</sup>
	8.618	542.66	01/21/21	543.61	...	-0.95	10 of 33 - Sale <sup>25</sup>
	0.339	20.68	01/21/21	21.47	...	-0.79	11 of 33 - Sale <sup>25</sup>
	0.282	17.79	01/21/21	18.56	...	-0.77	12 of 33 - Sale <sup>25</sup>
	4.627	291.33	01/21/21	291.84	...	-0.51	13 of 33 - Sale <sup>25</sup>
	0.170	10.33	01/21/21	10.75	...	-0.42	14 of 33 - Sale <sup>25</sup>
	0.358	22.23	01/21/21	22.55	...	-0.32	15 of 33 - Sale <sup>25</sup>
	0.193	11.98	01/21/21	12.19	...	-0.21	16 of 33 - Sale <sup>25</sup>
	0.025	1.56	01/21/21	1.75	...	-0.19	17 of 33 - Sale <sup>25</sup>
	0.048	2.90	01/21/21	3.02	...	-0.12	18 of 33 - Sale <sup>25</sup>
	0.021	1.26	01/21/21	1.36	...	-0.10	19 of 33 - Sale <sup>25</sup>
	1.294	81.49	01/21/21	81.54	...	-0.05	20 of 33 - Sale <sup>25</sup>
	0.051	3.16	01/21/21	3.21	...	-0.05	21 of 33 - Sale <sup>25</sup>
	0.001	0.05	01/21/21	0.08	...	-0.03	22 of 33 - Sale <sup>25</sup>
	0.001	0.09	01/21/21	0.09	...	0.00	23 of 33 - Sale <sup>25</sup>
	0.003	0.16	01/21/21	0.16	...	0.00	24 of 33 - Sale <sup>25</sup>
	0.004	0.25	01/21/21	0.25	...	0.00	25 of 33 - Sale <sup>25</sup>
	0.006	0.36	01/21/21	0.36	...	0.00	26 of 33 - Sale <sup>25</sup>
	1.202	75.66	01/21/21	75.62	...	0.04	27 of 33 - Sale <sup>25</sup>
	0.133	8.39	01/21/21	8.31	...	0.08	28 of 33 - Sale <sup>25</sup>
	3.753	237.58	01/21/21	236.44	...	1.14	29 of 33 - Sale <sup>25</sup>
	4.144	262.33	01/21/21	260.79	...	1.54	30 of 33 - Sale <sup>25</sup>
	3.829	241.89	01/21/21	238.90	...	2.99	31 of 33 - Sale <sup>25</sup>
	0.817	49.82	01/25/21	56.52	...	-6.70	32 of 33 - Sale <sup>25</sup>
	0.773	47.09	01/25/21	53.44	...	-6.35	33 of 33 - Sale <sup>25</sup>
01/25/21	80.479	4,999.82	Various	5,132.84	...	-133.02	Total of 33 transactions
	11 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	6.226	351.78	01/25/21	430.60	...	-78.82	1 of 11 - Sale <sup>25</sup>
	2.471	139.62	01/25/21	170.09	...	-30.47	2 of 11 - Sale <sup>25</sup>
	2.000	113.00	01/25/21	138.02	...	-25.02	3 of 11 - Sale <sup>25</sup>
	1.000	56.50	01/25/21	69.01	...	-12.51	4 of 11 - Sale <sup>25</sup>
	0.669	37.78	01/25/21	46.14	...	-8.36	5 of 11 - Sale <sup>25</sup>
	0.529	29.88	01/25/21	36.40	...	-6.52	6 of 11 - Sale <sup>25</sup>
	0.373	21.06	01/25/21	25.70	...	-4.64	7 of 11 - Sale <sup>25</sup>

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Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.331	18.72	01/25/21	22.85	...	-4.13	8 of 11 - Sale <sup>25</sup>
	0.329	18.56	01/25/21	22.67	...	-4.11	9 of 11 - Sale <sup>25</sup>
	0.141	7.96	01/25/21	9.70	...	-1.74	10 of 11 - Sale <sup>25</sup>
	0.002	0.10	01/25/21	0.12	...	-0.02	11 of 11 - Sale <sup>25</sup>
01/28/21	14.070	794.96	Various	971.30	...	-176.34	Total of 11 transactions
02/04/21	0.173	10.00	02/01/21	10.72	...	-0.72	Sale <sup>25</sup>
<i>13 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	16.523	974.01	02/01/21	1,023.90	...	-49.89	1 of 13 - Sale <sup>25</sup>
	0.476	27.85	02/01/21	29.48	...	-1.63	2 of 13 - Sale <sup>25</sup>
	0.431	25.38	02/01/21	26.67	...	-1.29	3 of 13 - Sale <sup>25</sup>
	0.010	0.55	02/01/21	0.61	...	-0.06	4 of 13 - Sale <sup>25</sup>
	7.678	449.46	02/08/21	493.84	...	-44.38	5 of 13 - Sale <sup>25</sup>
	7.373	431.58	02/08/21	473.30	...	-41.72	6 of 13 - Sale <sup>25</sup>
	4.966	301.72	02/08/21	319.04	...	-17.32	7 of 13 - Sale <sup>25</sup>
	0.877	51.34	02/08/21	56.33	...	-4.99	8 of 13 - Sale <sup>25</sup>
	0.326	19.07	02/08/21	20.92	...	-1.85	9 of 13 - Sale <sup>25</sup>
	0.275	16.09	02/08/21	17.65	...	-1.56	10 of 13 - Sale <sup>25</sup>
	0.171	10.02	02/08/21	10.97	...	-0.95	11 of 13 - Sale <sup>25</sup>
	0.067	3.90	02/08/21	4.28	...	-0.38	12 of 13 - Sale <sup>25</sup>
	0.012	0.65	02/08/21	0.75	...	-0.10	13 of 13 - Sale <sup>25</sup>
02/09/21	39.183	2,311.62	Various	2,477.74	...	-166.12	Total of 13 transactions
	<b>Security total:</b>	<b>23,108.94</b>		<b>24,923.57</b>	<b>775.42 W</b>	<b>-1,039.21</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/12/21	0.391	193.20	01/06/21	200.00	...	-6.80	Sale <sup>25</sup>
NETEASE, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 64110W102 / Symbol:							
<i>3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	3.795	483.02	02/10/21	500.00	...	-16.98	1 of 3 - Sale <sup>25</sup>
	3.810	484.97	02/10/21	500.00	...	-15.03	2 of 3 - Sale <sup>25</sup>
	0.459	58.38	02/10/21	60.00	...	-1.62	3 of 3 - Sale <sup>25</sup>
02/17/21	8.064	1,026.37	Various	1,060.00	...	-33.63	Total of 3 transactions

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Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKE, INC. / CUSIP: 654106103 / Symbol:							
01/12/21	0.212	31.19	01/06/21	30.00	...	1.19	Sale <sup>25</sup>
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
01/28/21	0.966	42.88	01/25/21	50.00	...	-7.12	Sale <sup>25</sup>
	39 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.965	42.82	01/25/21	50.00	3.62 W	-3.56	1 of 39 - Sale <sup>25</sup>
	0.019	0.84	01/25/21	1.08	0.24 W	0.00	2 of 39 - Sale <sup>25</sup>
	0.227	10.09	01/25/21	12.03	1.94 W	0.00	3 of 39 - Sale <sup>25</sup>
	0.792	35.15	01/25/21	47.34	12.19 W	0.00	4 of 39 - Sale <sup>25</sup>
	4.183	189.34	01/25/21	206.68	17.34 W	0.00	5 of 39 - Sale <sup>25</sup>
	5.468	242.93	01/25/21	270.19	27.26 W	0.00	6 of 39 - Sale <sup>25</sup>
	0.008	0.37	01/25/21	0.48	0.11 W	0.00	7 of 39 - Sale <sup>25</sup>
	0.008	0.37	01/25/21	0.54	0.17 W	0.00	8 of 39 - Sale <sup>25</sup>
	0.011	0.50	01/25/21	0.60	0.10 W	0.00	9 of 39 - Sale <sup>25</sup>
	0.011	0.50	01/25/21	0.65	0.15 W	0.00	10 of 39 - Sale <sup>25</sup>
	0.011	0.50	01/25/21	0.73	0.23 W	0.00	11 of 39 - Sale <sup>25</sup>
	0.019	0.84	01/25/21	1.00	0.16 W	0.00	12 of 39 - Sale <sup>25</sup>
	0.019	0.84	01/25/21	1.21	0.37 W	0.00	13 of 39 - Sale <sup>25</sup>
	0.180	8.01	01/25/21	11.09	3.08 W	0.00	14 of 39 - Sale <sup>25</sup>
	0.208	9.24	01/25/21	13.35	4.11 W	0.00	15 of 39 - Sale <sup>25</sup>
	0.208	9.26	01/25/21	11.94	2.68 W	0.00	16 of 39 - Sale <sup>25</sup>
	0.217	9.62	01/25/21	11.47	1.85 W	0.00	17 of 39 - Sale <sup>25</sup>
	0.227	10.08	01/25/21	14.56	4.48 W	0.00	18 of 39 - Sale <sup>25</sup>
	0.227	10.10	01/25/21	13.03	2.93 W	0.00	19 of 39 - Sale <sup>25</sup>
	0.518	22.97	01/25/21	31.40	8.43 W	0.00	20 of 39 - Sale <sup>25</sup>
	0.522	23.19	01/25/21	32.08	8.89 W	0.00	21 of 39 - Sale <sup>25</sup>
	0.686	30.46	01/25/21	40.97	10.51 W	0.00	22 of 39 - Sale <sup>25</sup>
	0.792	35.18	01/25/21	41.95	6.77 W	0.00	23 of 39 - Sale <sup>25</sup>
	1.627	72.23	01/25/21	87.58	15.35 W	0.00	24 of 39 - Sale <sup>25</sup>
	1.949	86.49	01/25/21	116.52	30.03 W	0.00	25 of 39 - Sale <sup>25</sup>
	3.134	139.13	01/25/21	192.79	53.66 W	0.00	26 of 39 - Sale <sup>25</sup>
	3.134	139.48	01/25/21	168.69	29.21 W	0.00	27 of 39 - Sale <sup>25</sup>
	3.152	140.29	01/25/21	167.02	26.73 W	0.00	28 of 39 - Sale <sup>25</sup>
	0.003	0.15	01/25/21	0.21	0.06 W	0.00	29 of 39 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol: (cont'd)							
	0.009	0.42	01/25/21	0.49	0.07 W	0.00	30 of 39 - Sale <sup>25</sup>
	0.114	5.08	01/25/21	5.58	0.50 W	0.00	31 of 39 - Sale <sup>25</sup>
	0.235	10.66	01/25/21	11.50	0.84 W	0.00	32 of 39 - Sale <sup>25</sup>
	0.706	31.42	01/25/21	38.01	6.59 W	0.00	33 of 39 - Sale <sup>25</sup>
	0.966	42.89	01/25/21	50.00	7.11 W	0.00	34 of 39 - Sale <sup>25</sup>
	1.395	61.91	01/25/21	72.62	10.71 W	0.00	35 of 39 - Sale <sup>25</sup>
	1.917	85.09	01/25/21	99.51	14.42 W	0.00	36 of 39 - Sale <sup>25</sup>
	1.926	85.51	01/25/21	100.00	14.49 W	0.00	37 of 39 - Sale <sup>25</sup>
	1.927	85.53	01/25/21	100.00	14.47 W	0.00	38 of 39 - Sale <sup>25</sup>
	1.929	85.61	01/25/21	100.00	14.39 W	0.00	39 of 39 - Sale <sup>25</sup>
01/28/21	39.652	1,765.09	Various	2,124.89	356.24 W	-3.56	Total of 39 transactions
24 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	3.134	163.20	02/04/21	206.15	...	-42.95	1 of 24 - Sale <sup>25</sup>
	1.949	101.46	02/04/21	124.83	...	-23.37	2 of 24 - Sale <sup>25</sup>
	1.627	84.73	02/04/21	94.51	...	-9.78	3 of 24 - Sale <sup>25</sup>
	0.792	41.23	02/04/21	50.71	...	-9.48	4 of 24 - Sale <sup>25</sup>
	0.686	35.73	02/04/21	43.90	...	-8.17	5 of 24 - Sale <sup>25</sup>
	1.926	100.31	02/04/21	108.21	...	-7.90	6 of 24 - Sale <sup>25</sup>
	1.927	100.32	02/04/21	108.21	...	-7.89	7 of 24 - Sale <sup>25</sup>
	1.917	99.81	02/04/21	107.68	...	-7.87	8 of 24 - Sale <sup>25</sup>
	1.929	100.42	02/04/21	108.21	...	-7.79	9 of 24 - Sale <sup>25</sup>
	0.522	27.20	02/04/21	34.30	...	-7.10	10 of 24 - Sale <sup>25</sup>
	0.518	26.95	02/04/21	33.61	...	-6.66	11 of 24 - Sale <sup>25</sup>
	1.395	72.62	02/04/21	78.56	...	-5.94	12 of 24 - Sale <sup>25</sup>
	0.227	11.82	02/04/21	15.53	...	-3.71	13 of 24 - Sale <sup>25</sup>
	0.180	9.39	02/04/21	11.86	...	-2.47	14 of 24 - Sale <sup>25</sup>
	0.150	7.82	02/04/21	10.26	...	-2.44	15 of 24 - Sale <sup>25</sup>
	0.031	1.60	02/04/21	2.10	...	-0.50	16 of 24 - Sale <sup>25</sup>
	0.027	1.40	02/04/21	1.88	...	-0.48	17 of 24 - Sale <sup>25</sup>
	0.102	5.30	02/04/21	5.70	...	-0.40	18 of 24 - Sale <sup>25</sup>
	0.019	0.99	02/04/21	1.29	...	-0.30	19 of 24 - Sale <sup>25</sup>
	0.011	0.59	02/04/21	0.78	...	-0.19	20 of 24 - Sale <sup>25</sup>
	0.008	0.43	02/04/21	0.57	...	-0.14	21 of 24 - Sale <sup>25</sup>
	0.114	5.95	02/04/21	6.06	...	-0.11	22 of 24 - Sale <sup>25</sup>

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NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol: (cont'd)							
	0.003	0.18	02/04/21	0.23	...	-0.05	23 of 24 - Sale <sup>25</sup>
	0.009	0.49	02/04/21	0.53	...	-0.04	24 of 24 - Sale <sup>25</sup>
02/16/21	19.205	999.94	Various	1,155.67	...	-155.73	Total of 24 transactions
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	0.068	2.99	02/04/21	3.81	...	-0.82	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	02/04/21	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
02/19/21	0.068	3.00	Various	3.82	...	-0.82	Total of 2 transactions
	3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	0.514	18.93	02/04/21	28.79	...	-9.86	1 of 3 - Sale <sup>25</sup>
	0.486	17.89	02/04/21	27.26	...	-9.37	2 of 3 - Sale <sup>25</sup>
	0.282	10.39	02/04/21	15.80	...	-5.41	3 of 3 - Sale <sup>25</sup>
04/22/21	1.282	47.21	Various	71.85	...	-24.64	Total of 3 transactions
	<b>Security total:</b>	<b>2,858.12</b>		<b>3,406.23</b>	<b>356.24 W</b>	<b>-191.87</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
	4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.283	153.87	01/06/21	150.00	...	3.87	1 of 4 - Sale <sup>25</sup>
	1.000	543.45	01/11/21	550.45	...	-7.00	2 of 4 - Sale <sup>25</sup>
	0.086	46.52	01/11/21	47.12	...	-0.60	3 of 4 - Sale <sup>25</sup>
	0.001	0.39	01/11/21	0.40	...	-0.01	4 of 4 - Sale <sup>25</sup>
01/12/21	1.369	744.23	Various	747.97	...	-3.74	Total of 4 transactions
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
	13 transactions for 01/12/21. Total proceeds and cost reported to the IRS.						
	0.000	0.03	01/06/21	0.03	...	0.00	1 of 13 - Sale <sup>25</sup>
	0.002	0.51	01/06/21	0.49	...	0.02	2 of 13 - Sale <sup>25</sup>
	0.109	25.74	01/06/21	24.89	...	0.85	3 of 13 - Sale <sup>25</sup>
	0.325	77.06	01/06/21	74.59	...	2.47	4 of 13 - Sale <sup>25</sup>
	15.715	3,718.26	01/11/21	3,750.93	...	-32.67	5 of 13 - Sale <sup>25</sup>
	8.000	1,893.31	01/11/21	1,909.52	...	-16.21	6 of 13 - Sale <sup>25</sup>
	4.000	949.57	01/11/21	954.76	...	-5.19	7 of 13 - Sale <sup>25</sup>
	1.613	382.07	01/11/21	385.02	...	-2.95	8 of 13 - Sale <sup>25</sup>
	0.445	105.32	01/11/21	106.14	...	-0.82	9 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: (cont'd)							
	0.205	48.86	01/11/21	49.05	...	-0.19	10 of 13 - Sale <sup>25</sup>
	0.062	14.59	01/11/21	14.70	...	-0.11	11 of 13 - Sale <sup>25</sup>
	0.006	1.27	01/11/21	1.33	...	-0.06	12 of 13 - Sale <sup>25</sup>
	0.006	1.51	01/11/21	1.55	...	-0.04	13 of 13 - Sale <sup>25</sup>
01/12/21	30.488	7,218.10	Various	7,273.00	...	-54.90	Total of 13 transactions
PETCO HEALTH AND WELLNESS COMP ANY, INC. CLASS A COMMON STOCK / CUSIP: 71601V105 / Symbol:							
4 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	17.669	474.22	01/14/21	538.55	...	-64.33	1 of 4 - Sale <sup>25</sup>
	9.000	241.55	01/14/21	274.41	...	-32.86	2 of 4 - Sale <sup>25</sup>
	0.133	3.56	01/14/21	4.04	...	-0.48	3 of 4 - Sale <sup>25</sup>
	6.000	161.04	01/14/21	156.00	...	5.04	4 of 4 - Sale <sup>25</sup>
01/19/21	32.801	880.37	Various	973.00	...	-92.63	Total of 4 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
01/11/21	1.000	37.30	01/06/21	36.80	...	0.50	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
01/11/21	1.000	52.96	01/06/21	34.19	...	18.77	Sale <sup>25</sup>
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	15.199	997.00	01/27/21	998.80	...	-1.80	1 of 2 - Sale <sup>25</sup>
	0.018	1.20	01/27/21	1.20	...	0.00	2 of 2 - Sale <sup>25</sup>
01/28/21	15.217	998.20	Various	1,000.00	...	-1.80	Total of 2 transactions
	<b>Security total:</b>	<b>1,051.16</b>		<b>1,034.19</b>	...	<b>16.97</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	5.990	275.42	02/24/21	334.35	...	-58.93	1 of 4 - Sale <sup>25</sup>
	0.722	33.21	02/24/21	39.79	...	-6.58	2 of 4 - Sale <sup>25</sup>
	0.263	12.09	02/24/21	14.65	...	-2.56	3 of 4 - Sale <sup>25</sup>
	0.004	0.18	02/24/21	0.21	...	-0.03	4 of 4 - Sale <sup>25</sup>
04/16/21	6.979	320.90	Various	389.00	...	-68.10	Total of 4 transactions

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
2 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	0.664	40.99	07/29/21	24.93	...	16.06	1 of 2 - Sale <sup>25</sup>
	1.999	123.38	07/29/21	75.07	...	48.31	2 of 2 - Sale <sup>25</sup>
08/05/21	2.663	164.37	Various	100.00	...	64.37	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
01/12/21	0.952	206.00	01/11/21	209.00	3.00 W	0.00	Sale <sup>25</sup>
7 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.108	26.64	02/10/21	25.85	...	0.79	1 of 7 - Sale <sup>25</sup>
	0.112	27.72	02/10/21	26.49	...	1.23	2 of 7 - Sale <sup>25</sup>
	0.845	209.23	02/10/21	203.05	...	6.18	3 of 7 - Sale <sup>25</sup>
	0.845	209.44	02/10/21	200.00	...	9.44	4 of 7 - Sale <sup>25</sup>
	1.155	286.20	02/10/21	274.10	...	12.10	5 of 7 - Sale <sup>25</sup>
	2.000	495.44	02/10/21	473.51	...	21.93	6 of 7 - Sale <sup>25</sup>
	1.821	451.24	02/16/21	450.00	...	1.24	7 of 7 - Sale <sup>25</sup>
02/17/21	6.886	1,705.91	Various	1,653.00	...	52.91	Total of 7 transactions
	<b>Security total:</b>	<b>1,911.91</b>		<b>1,862.00</b>	<b>3.00 W</b>	<b>52.91</b>	
SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 83404D109 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	42.20	01/19/21	41.87	...	0.33	1 of 2 - Sale <sup>25</sup>
	7.000	295.40	01/19/21	293.08	...	2.32	2 of 2 - Sale <sup>25</sup>
01/20/21	8.000	337.60	Various	334.95	...	2.65	Total of 2 transactions
SONY GROUP CORPORATION AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE SHARE OF DOLLAR VALUE) / CUSIP: 835699307 / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	0.001	0.07	01/06/21	0.07	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.497	51.86	01/06/21	49.93	...	1.93	2 of 2 - Sale <sup>25</sup>
01/12/21	0.498	51.93	Various	50.00	...	1.93	Total of 2 transactions
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
01/12/21	0.948	217.14	01/11/21	218.00	...	-0.86	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	0.022	18.93	12/08/20	14.54	...	4.39	1 of 4 - Sale <sup>25</sup>
	9.978	8,434.28	01/08/21	8,544.62	...	-110.34	2 of 4 - Sale <sup>25</sup>
	7.000	5,901.46	01/08/21	5,994.66	...	-93.20	3 of 4 - Sale <sup>25</sup>
	0.739	623.05	01/08/21	632.78	...	-9.73	4 of 4 - Sale <sup>25</sup>
01/11/21	17.739	14,977.72	Various	15,186.60	...	-208.88	Total of 4 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
01/12/21	5.000	280.65	01/06/21	266.55	...	14.10	Sale <sup>25</sup>
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
13 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	0.005	0.24	01/12/21	0.21	...	0.03	1 of 13 - Sale <sup>25</sup>
	0.005	0.26	01/12/21	0.22	...	0.04	2 of 13 - Sale <sup>25</sup>
	0.009	0.49	01/12/21	0.43	...	0.06	3 of 13 - Sale <sup>25</sup>
	0.022	1.16	01/12/21	1.02	...	0.14	4 of 13 - Sale <sup>25</sup>
	0.145	7.70	01/12/21	6.70	...	1.00	5 of 13 - Sale <sup>25</sup>
	0.163	8.64	01/12/21	7.51	...	1.13	6 of 13 - Sale <sup>25</sup>
	0.191	10.14	01/12/21	8.91	...	1.23	7 of 13 - Sale <sup>25</sup>
	0.333	17.67	01/12/21	15.38	...	2.29	8 of 13 - Sale <sup>25</sup>
	1.144	60.66	01/12/21	52.05	...	8.61	9 of 13 - Sale <sup>25</sup>
	2.000	106.05	01/12/21	92.30	...	13.75	10 of 13 - Sale <sup>25</sup>
	2.000	106.05	01/12/21	92.26	...	13.79	11 of 13 - Sale <sup>25</sup>
	3.997	211.94	01/12/21	184.62	...	27.32	12 of 13 - Sale <sup>25</sup>
	17.000	901.38	01/12/21	793.05	...	108.33	13 of 13 - Sale <sup>25</sup>
01/20/21	27.014	1,432.38	Various	1,254.66	...	177.72	Total of 13 transactions
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.542	26.98	01/25/21	31.78	4.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.011	0.56	01/25/21	0.66	0.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	99.58	01/25/21	117.36	17.78 W	0.00	3 of 3 - Sale <sup>25</sup>
01/28/21	2.553	127.12	Various	149.80	22.68 W	0.00	Total of 3 transactions
6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.865	79.71	02/16/21	116.93	...	-37.22	1 of 6 - Sale <sup>25</sup>
	3.411	145.77	02/16/21	157.37	...	-11.60	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.375	16.04	02/16/21	20.42	...	-4.38	3 of 6 - Sale <sup>25</sup>
	0.319	13.62	02/16/21	17.38	...	-3.76	4 of 6 - Sale <sup>25</sup>
	0.021	0.90	02/16/21	1.32	...	-0.42	5 of 6 - Sale <sup>25</sup>
	0.026	1.13	02/16/21	1.47	...	-0.34	6 of 6 - Sale <sup>25</sup>
02/17/21	6.018	257.17	Various	314.89	...	-57.72	Total of 6 transactions
	22 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	7.335	313.44	02/10/21	492.36	146.67 W	-32.25	1 of 22 - Sale <sup>25</sup>
	0.463	20.03	02/10/21	27.70	7.67 W	0.00	2 of 22 - Sale <sup>25</sup>
	0.079	3.36	02/10/21	4.71	1.35 W	0.00	3 of 22 - Sale <sup>25</sup>
	0.079	3.36	02/10/21	5.37	2.01 W	0.00	4 of 22 - Sale <sup>25</sup>
	0.079	3.36	02/10/21	5.99	2.63 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.079	3.38	02/10/21	5.34	1.96 W	0.00	6 of 22 - Sale <sup>25</sup>
	0.079	3.38	02/10/21	5.95	2.57 W	0.00	7 of 22 - Sale <sup>25</sup>
	0.384	16.42	02/10/21	25.98	9.56 W	0.00	8 of 22 - Sale <sup>25</sup>
	0.384	16.42	02/10/21	28.98	12.56 W	0.00	9 of 22 - Sale <sup>25</sup>
	0.011	0.48	02/10/21	0.67	0.19 W	0.00	10 of 22 - Sale <sup>25</sup>
	0.011	0.48	02/10/21	0.76	0.28 W	0.00	11 of 22 - Sale <sup>25</sup>
	0.011	0.48	02/10/21	0.85	0.37 W	0.00	12 of 22 - Sale <sup>25</sup>
	0.026	1.10	02/10/21	1.35	0.25 W	0.00	13 of 22 - Sale <sup>25</sup>
	0.319	13.61	02/10/21	16.28	2.67 W	0.00	14 of 22 - Sale <sup>25</sup>
	0.339	14.48	02/10/21	25.76	11.28 W	0.00	15 of 22 - Sale <sup>25</sup>
	0.375	16.06	02/10/21	19.16	3.10 W	0.00	16 of 22 - Sale <sup>25</sup>
	1.661	70.97	02/10/21	126.25	55.28 W	0.00	17 of 22 - Sale <sup>25</sup>
	2.000	85.40	02/10/21	136.29	50.89 W	0.00	18 of 22 - Sale <sup>25</sup>
	2.000	85.50	02/10/21	119.69	34.19 W	0.00	19 of 22 - Sale <sup>25</sup>
	7.093	303.08	02/10/21	362.10	59.02 W	0.00	20 of 22 - Sale <sup>25</sup>
	9.222	393.77	02/10/21	546.44	152.67 W	0.00	21 of 22 - Sale <sup>25</sup>
	9.222	394.24	02/10/21	469.91	75.67 W	0.00	22 of 22 - Sale <sup>25</sup>
02/17/21	41.252	1,762.80	Various	2,427.89	632.84 W	-32.25	Total of 22 transactions
	9 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	6.013	216.47	02/16/21	424.05	...	-207.58	1 of 9 - Sale <sup>25</sup>
	7.093	255.34	02/16/21	386.22	...	-130.88	2 of 9 - Sale <sup>25</sup>
	1.661	59.79	02/16/21	131.90	...	-72.11	3 of 9 - Sale <sup>25</sup>
	0.384	13.69	02/16/21	30.28	...	-16.59	4 of 9 - Sale <sup>25</sup>

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Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
	0.233	8.38	02/16/21	18.49	...	-10.11	5 of 9 - Sale <sup>25</sup>
	0.106	3.79	02/16/21	8.43	...	-4.64	6 of 9 - Sale <sup>25</sup>
	0.079	2.80	02/16/21	6.26	...	-3.46	7 of 9 - Sale <sup>25</sup>
	0.079	2.82	02/16/21	6.22	...	-3.40	8 of 9 - Sale <sup>25</sup>
	0.011	0.40	02/16/21	0.89	...	-0.49	9 of 9 - Sale <sup>25</sup>
02/23/21	15.659	563.48	Various	1,012.74	...	-449.26	Total of 9 transactions
	2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	0.282	13.74	08/04/21	12.48	...	1.26	1 of 2 - Sale <sup>25</sup>
	10.718	522.83	08/04/21	475.04	...	47.79	2 of 2 - Sale <sup>25</sup>
11/12/21	11.000	536.57	Various	487.52	...	49.05	Total of 2 transactions
11/18/21	0.282	13.54	08/04/21	12.48	...	1.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,693.06</b>		<b>5,659.98</b>	<b>655.52 W</b>	<b>-311.40</b>	
ZTO EXPRESS (CAYMAN) INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY / CUSIP: 98980A105 / Symbol:							
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	12.545	463.02	02/10/21	466.16	...	-3.14	1 of 2 - Sale <sup>25</sup>
	0.455	16.81	02/10/21	17.03	...	-0.22	2 of 2 - Sale <sup>25</sup>
02/17/21	13.000	479.83	Various	483.19	...	-3.36	Total of 2 transactions
02/17/21	0.455	16.81	02/10/21	16.92	0.11 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>496.64</b>		<b>500.11</b>	<b>0.11 W</b>	<b>-3.36</b>	
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	0.020	0.22	02/04/21	0.20	...	0.02	1 of 2 - Sale <sup>25</sup>
	44.984	499.78	02/04/21	464.24	...	35.54	2 of 2 - Sale <sup>25</sup>
02/10/21	45.004	500.00	Various	464.44	...	35.56	Total of 2 transactions
	4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	0.008	0.09	02/04/21	0.08	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.190	2.20	02/04/21	1.96	...	0.24	2 of 4 - Sale <sup>25</sup>
	3.248	37.78	02/04/21	33.52	...	4.26	3 of 4 - Sale <sup>25</sup>
	13.752	159.93	02/10/21	153.13	...	6.80	4 of 4 - Sale <sup>25</sup>
02/11/21	17.197	200.00	Various	188.69	...	11.31	Total of 4 transactions
02/17/21	4.210	48.42	02/10/21	46.87	...	1.55	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol: (cont'd)							
02/19/21	162.684	1,986.30	02/19/21	1,988.00	...	-1.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,734.72</b>		<b>2,688.00</b>	...	<b>46.72</b>	
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
22 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.013	0.27	02/09/21	0.40	0.13 W	0.00	1 of 22 - Sale <sup>25</sup>
	0.169	3.69	02/09/21	4.34	0.65 W	0.00	2 of 22 - Sale <sup>25</sup>
	0.203	4.43	02/09/21	5.81	1.38 W	0.00	3 of 22 - Sale <sup>25</sup>
	0.277	6.13	02/09/21	7.11	0.98 W	0.00	4 of 22 - Sale <sup>25</sup>
	0.327	7.12	02/09/21	10.51	3.39 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.758	16.66	02/09/21	19.45	2.79 W	0.00	6 of 22 - Sale <sup>25</sup>
	6.527	142.29	02/09/21	167.41	25.12 W	0.00	7 of 22 - Sale <sup>25</sup>
	8.609	192.15	02/09/21	220.83	28.68 W	0.00	8 of 22 - Sale <sup>25</sup>
	21.967	486.64	02/09/21	563.46	76.82 W	0.00	9 of 22 - Sale <sup>25</sup>
	0.203	4.40	02/09/21	6.52	2.12 W	0.00	10 of 22 - Sale <sup>25</sup>
	0.277	6.05	02/09/21	8.00	1.95 W	0.00	11 of 22 - Sale <sup>25</sup>
	0.284	6.15	02/09/21	9.12	2.97 W	0.00	12 of 22 - Sale <sup>25</sup>
	0.284	6.18	02/09/21	8.12	1.94 W	0.00	13 of 22 - Sale <sup>25</sup>
	0.327	7.23	02/09/21	9.47	2.24 W	0.00	14 of 22 - Sale <sup>25</sup>
	8.730	189.18	02/09/21	251.54	62.36 W	0.00	15 of 22 - Sale <sup>25</sup>
	0.002	0.05	02/09/21	0.08	0.03 W	0.00	16 of 22 - Sale <sup>25</sup>
	0.010	0.22	02/09/21	0.37	0.15 W	0.00	17 of 22 - Sale <sup>25</sup>
	0.013	0.28	02/09/21	0.32	0.04 W	0.00	18 of 22 - Sale <sup>25</sup>
	0.013	0.28	02/09/21	0.36	0.08 W	0.00	19 of 22 - Sale <sup>25</sup>
	0.327	7.29	02/09/21	8.38	1.09 W	0.00	20 of 22 - Sale <sup>25</sup>
	6.248	136.21	02/09/21	180.01	43.80 W	0.00	21 of 22 - Sale <sup>25</sup>
	8.123	177.10	02/09/21	232.69	55.59 W	0.00	22 of 22 - Sale <sup>25</sup>
02/10/21	63.690	1,400.00	Various	1,714.30	314.30 W	0.00	Total of 22 transactions
26 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	8.730	184.20	02/16/21	345.63	...	-161.43	1 of 26 - Sale <sup>25</sup>
	7.914	166.98	02/16/21	265.09	...	-98.11	2 of 26 - Sale <sup>25</sup>
	10.042	211.88	02/16/21	279.63	...	-67.75	3 of 26 - Sale <sup>25</sup>
	2.024	42.70	02/16/21	79.85	...	-37.15	4 of 26 - Sale <sup>25</sup>
	3.086	65.11	02/16/21	100.13	...	-35.02	5 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol: (cont'd)							
	0.601	12.67	02/16/21	23.53	...	-10.86	6 of 26 - Sale <sup>25</sup>
	0.284	5.98	02/16/21	12.09	...	-6.11	7 of 26 - Sale <sup>25</sup>
	0.203	4.29	02/16/21	8.64	...	-4.35	8 of 26 - Sale <sup>25</sup>
	0.010	0.22	02/16/21	0.47	...	-0.25	9 of 26 - Sale <sup>25</sup>
	0.002	0.05	02/16/21	0.10	...	-0.05	10 of 26 - Sale <sup>25</sup>
	6.990	147.47	02/17/21	283.53	...	-136.06	11 of 26 - Sale <sup>25</sup>
	6.527	137.71	02/17/21	267.04	...	-129.33	12 of 26 - Sale <sup>25</sup>
	4.276	90.22	02/17/21	191.55	...	-101.33	13 of 26 - Sale <sup>25</sup>
	3.847	81.16	02/17/21	173.91	...	-92.75	14 of 26 - Sale <sup>25</sup>
	2.202	46.47	02/17/21	99.15	...	-52.68	15 of 26 - Sale <sup>25</sup>
	2.854	60.22	02/17/21	94.20	...	-33.98	16 of 26 - Sale <sup>25</sup>
	5.012	105.75	02/17/21	136.32	...	-30.57	17 of 26 - Sale <sup>25</sup>
	0.877	18.51	02/17/21	39.44	...	-20.93	18 of 26 - Sale <sup>25</sup>
	0.758	16.00	02/17/21	30.90	...	-14.90	19 of 26 - Sale <sup>25</sup>
	0.543	11.46	02/17/21	24.80	...	-13.34	20 of 26 - Sale <sup>25</sup>
	1.000	21.10	02/17/21	33.00	...	-11.90	21 of 26 - Sale <sup>25</sup>
	0.277	5.85	02/17/21	12.48	...	-6.63	22 of 26 - Sale <sup>25</sup>
	0.220	4.64	02/17/21	10.64	...	-6.00	23 of 26 - Sale <sup>25</sup>
	0.353	7.44	02/17/21	13.07	...	-5.63	24 of 26 - Sale <sup>25</sup>
	0.169	3.57	02/17/21	6.91	...	-3.34	25 of 26 - Sale <sup>25</sup>
	0.107	2.26	02/17/21	5.21	...	-2.95	26 of 26 - Sale <sup>25</sup>
02/19/21	68.908	1,453.91	Various	2,537.31	...	-1,083.40	Total of 26 transactions
	32 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	3.847	81.54	02/09/21	128.70	47.16 W	0.00	1 of 32 - Sale <sup>25</sup>
	0.107	2.27	02/09/21	3.96	1.69 W	0.00	2 of 32 - Sale <sup>25</sup>
	0.220	4.66	02/09/21	8.06	3.40 W	0.00	3 of 32 - Sale <sup>25</sup>
	0.169	3.59	02/09/21	4.93	1.34 W	0.00	4 of 32 - Sale <sup>25</sup>
	0.353	7.48	02/09/21	8.93	1.45 W	0.00	5 of 32 - Sale <sup>25</sup>
	0.758	16.08	02/09/21	21.99	5.91 W	0.00	6 of 32 - Sale <sup>25</sup>
	6.527	138.36	02/09/21	190.35	51.99 W	0.00	7 of 32 - Sale <sup>25</sup>
	6.990	148.17	02/09/21	201.39	53.22 W	0.00	8 of 32 - Sale <sup>25</sup>
	0.277	5.88	02/11/21	9.22	3.34 W	0.00	9 of 32 - Sale <sup>25</sup>
	3.080	65.28	02/11/21	102.28	37.00 W	0.00	10 of 32 - Sale <sup>25</sup>
	4.276	90.65	02/11/21	141.30	50.65 W	0.00	11 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 765858766

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol: (cont'd)							
	23.946	505.24	02/16/21	642.94	24.72 W	-112.98	12 of 32 - Sale <sup>25</sup>
	0.002	0.05	02/16/21	0.09	0.04 W	0.00	13 of 32 - Sale <sup>25</sup>
	0.010	0.22	02/16/21	0.42	0.20 W	0.00	14 of 32 - Sale <sup>25</sup>
	0.107	2.26	02/16/21	4.56	2.30 W	0.00	15 of 32 - Sale <sup>25</sup>
	0.169	3.57	02/16/21	5.88	2.31 W	0.00	16 of 32 - Sale <sup>25</sup>
	0.203	4.31	02/16/21	7.57	3.26 W	0.00	17 of 32 - Sale <sup>25</sup>
	0.220	4.64	02/16/21	9.30	4.66 W	0.00	18 of 32 - Sale <sup>25</sup>
	0.284	6.01	02/16/21	10.59	4.58 W	0.00	19 of 32 - Sale <sup>25</sup>
	0.353	7.44	02/16/21	10.92	3.48 W	0.00	20 of 32 - Sale <sup>25</sup>
	0.543	11.47	02/16/21	21.46	9.99 W	0.00	21 of 32 - Sale <sup>25</sup>
	0.758	16.00	02/16/21	26.27	10.27 W	0.00	22 of 32 - Sale <sup>25</sup>
	2.625	55.64	02/16/21	88.87	33.23 W	0.00	23 of 32 - Sale <sup>25</sup>
	3.080	64.98	02/16/21	119.68	54.70 W	0.00	24 of 32 - Sale <sup>25</sup>
	3.847	81.16	02/16/21	150.44	69.28 W	0.00	25 of 32 - Sale <sup>25</sup>
	4.276	90.22	02/16/21	165.46	75.24 W	0.00	26 of 32 - Sale <sup>25</sup>
	6.527	137.71	02/16/21	227.23	89.52 W	0.00	27 of 32 - Sale <sup>25</sup>
	6.990	147.47	02/16/21	240.89	93.42 W	0.00	28 of 32 - Sale <sup>25</sup>
	8.730	185.07	02/16/21	296.76	111.69 W	0.00	29 of 32 - Sale <sup>25</sup>
	11.000	233.19	02/16/21	295.35	62.16 W	0.00	30 of 32 - Sale <sup>25</sup>
	0.277	5.85	02/16/21	10.79	4.94 W	0.00	31 of 32 - Sale <sup>25</sup>
	0.543	11.52	02/16/21	18.39	6.87 W	0.00	32 of 32 - Sale <sup>25</sup>
02/19/21	101.092	2,137.98	Various	3,174.97	924.01 W	-112.98	Total of 32 transactions
04/22/21	0.445	5.70	02/17/21	14.68	...	-8.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,997.59</b>		<b>7,441.26</b>	<b>1,238.31 W</b>	<b>-1,205.36</b>	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	27.987	135.46	02/17/21	291.06	...	-155.60	1 of 2 - Sale <sup>25</sup>
	0.860	4.16	02/17/21	8.94	...	-4.78	2 of 2 - Sale <sup>25</sup>
04/16/21	28.847	139.62	Various	300.00	...	-160.38	Total of 2 transactions
<b>Totals :</b>		<b>178,810.91</b>		<b>189,498.36</b>	<b>7,831.72 W</b>	<b>-2,855.73</b>	

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Robinhood Securities LLC

Account 765858766

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		08/12/21	0.75	Qualified dividend	
			11/11/21	0.75	Qualified dividend	
				<b>1.50</b>	<b>Total Dividends &amp; distributions</b>	
BLACKROCK, INC.	09247X101		09/22/21	4.13	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/09/21	0.98	Qualified dividend	
			12/09/21	1.08	Qualified dividend	
				<b>2.06</b>	<b>Total Dividends &amp; distributions</b>	
				<b>7.69</b>	<b>Total Dividends &amp; distributions</b>	





Robinhood Securities LLC

Account 765858766

## Detail for Interest Income

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
(cont'd)		11/23/21	0.01	Interest	
		11/23/21	0.01	Interest	
			4.77	Total Interest	
Interest payment		08/31/21	0.03	Interest	
		11/30/21	0.03	Interest	
			0.06	Total Interest	
			<b>4.83</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 765858766

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/21	-5.00	Margin interest paid	
		02/04/21	-11.18	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		03/08/21	-6.74	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/05/21	-5.00	Margin interest paid	
		08/04/21	-5.00	Margin interest paid	
			-42.92	Total Margin interest paid	
Margin charge		09/03/21	-5.00	Margin interest paid	
		09/03/21	-5.19	Margin interest paid	
		10/04/21	-5.00	Margin interest paid	
		10/04/21	-5.84	Margin interest paid	
		11/02/21	-5.00	Margin interest paid	
		11/02/21	-5.86	Margin interest paid	
		11/24/21	-3.49	Margin interest paid	
			-35.38	Total Margin interest paid	
			<b>-78.30</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

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**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

## Instructions for Recipient

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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