

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 106504426</b>	Statement Date: 02/11/2022 Document ID: XS1V N7J 7P5X	2021
	Eswarachandravidyasa Madala 2246 Coolidge Hwy 107 Troy, MI 48084  RECIPIENT'S TIN: XXX-XX-0782		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	551,525.94	619,515.34	0.00	47,045.28	-20,944.12
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>551,525.94</b>	<b>619,515.34</b>	<b>0.00</b>	<b>47,045.28</b>	<b>-20,944.12</b>
Long	D (basis reported to the IRS)	64,491.41	35,451.24	0.00	1,678.85	30,719.02
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	6.00	219.73	0.00	0.00	-213.73
	<b>Total Long-term</b>	<b>64,497.41</b>	<b>35,670.97</b>	<b>0.00</b>	<b>1,678.85</b>	<b>30,505.29</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>616,023.35</b>	<b>655,186.31</b>	<b>0.00</b>	<b>48,724.13</b>	<b>9,561.17</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 106504426

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	495.79
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/04/2021 CALL \$24.00 / CUSIP: / Symbol:							
05/27/21	5.000	424.98	05/18/21	400.00	...	24.98	Option sale to close-call <sup>25</sup>
05/28/21	1.000	88.98	05/18/21	80.00	...	8.98	Option sale to close-call <sup>25</sup>
06/01/21	4.000	343.98	05/18/21	320.00	...	23.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>857.94</b>		<b>800.00</b>	...	<b>57.94</b>	
AAPL 02/05/2021 CALL \$150.00 / CUSIP: / Symbol:							
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	01/25/21	1,845.00	...	-1,845.00	1 of 3 - Option expiration- long call
	4.000	0.00	01/25/21	1,476.00	...	-1,476.00	2 of 3 - Option expiration- long call
	4.000	0.00	01/25/21	1,476.00	...	-1,476.00	3 of 3 - Option expiration- long call
02/05/21	13.000	0.00	Various	4,797.00	...	-4,797.00	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/30/2021 CALL \$137.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	04/14/21	849.00	...	-849.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/14/21	283.00	...	-283.00	2 of 2 - Option expiration- long call
04/30/21	4.000	0.00	Various	1,132.00	...	-1,132.00	Total of 2 transactions
AAPL 11/26/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/22/21	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/22/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
11/26/21	2.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
AFRM 11/26/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/26/21	2.000	0.00	11/17/21	1,400.00	...	-1,400.00	Option expiration- long call
AMZN 04/30/2021 CALL \$3,485.00 / CUSIP: / Symbol:							
04/30/21	1.000	6,354.95	04/28/21	7,470.00	...	-1,115.05	Option sale to close-call <sup>25</sup>
AMZN 04/30/2021 CALL \$3,490.00 / CUSIP: / Symbol:							
05/03/21	1.000	1,289.95	04/30/21	0.00	...	1,289.95	Short sale closed- call <sup>25</sup> Option written: 04/28/21
AMZN 04/30/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/30/21	590.00	...	-590.00	Option expiration- long call
BABA 02/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
02/11/21	9.000	26,549.39	01/07/21	6,615.00	...	19,934.39	Option sale to close-call <sup>25</sup>
BABA 04/16/2021 CALL \$232.50 / CUSIP: / Symbol:							
3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	2.000	789.98	03/31/21	790.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	394.98	03/31/21	395.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	789.98	03/31/21	790.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
03/31/21	5.000	1,974.94	Various	1,975.00	...	-0.06	Total of 3 transactions
BABA 09/17/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/17/21	11.000	0.00	02/11/21	24,750.00	...	-24,750.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/26/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/26/21	10.000	0.00	11/05/21	2,300.00	...	-2,300.00	Option expiration- long call
BIDU 04/09/2021 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	699.99	03/31/21	530.00	...	169.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	2,099.98	03/31/21	1,590.00	...	509.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/06/21	4.000	2,799.97	Various	2,120.00	...	679.97	Total of 2 transactions
BIDU 04/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/06/21	720.00	...	-720.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/06/21	720.00	...	-720.00	2 of 2 - Option expiration- long call
04/16/21	4.000	0.00	Various	1,440.00	...	-1,440.00	Total of 2 transactions
BLNK 09/17/2021 CALL \$85.00 / CUSIP: / Symbol:							
7 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,449.95	02/08/21	1,370.00	...	79.95	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,449.96	02/08/21	1,370.00	...	79.96	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,449.97	02/08/21	1,370.00	...	79.97	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	2,899.93	02/08/21	2,740.00	...	159.93	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	2,899.93	02/08/21	2,740.00	...	159.93	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	2,899.93	02/08/21	2,740.00	...	159.93	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	2,899.93	02/08/21	2,740.00	...	159.93	7 of 7 - Option sale to close-call <sup>25</sup>
02/09/21	11.000	15,949.60	Various	15,070.00	...	879.60	Total of 7 transactions
CATB 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/29/21	20.000	5,599.83	01/29/21	4,100.00	...	1,499.83	Option sale to close-call <sup>25</sup>
CLOV 04/16/2021 CALL \$9.00 / CUSIP: / Symbol:							
16 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	70.000	0.00	04/16/21	700.00	...	-700.00	1 of 16 - Option expiration- long call
	29.000	0.00	04/16/21	290.00	...	-290.00	2 of 16 - Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 04/16/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	28.000	0.00	04/16/21	280.00	...	-280.00	3 of 16 - Option expiration- long call
	12.000	0.00	04/16/21	120.00	...	-120.00	4 of 16 - Option expiration- long call
	12.000	0.00	04/16/21	120.00	...	-120.00	5 of 16 - Option expiration- long call
	10.000	0.00	04/16/21	100.00	...	-100.00	6 of 16 - Option expiration- long call
	9.000	0.00	04/16/21	90.00	...	-90.00	7 of 16 - Option expiration- long call
	5.000	0.00	04/16/21	50.00	...	-50.00	8 of 16 - Option expiration- long call
	5.000	0.00	04/16/21	50.00	...	-50.00	9 of 16 - Option expiration- long call
	5.000	0.00	04/16/21	50.00	...	-50.00	10 of 16 - Option expiration- long call
	4.000	0.00	04/16/21	40.00	...	-40.00	11 of 16 - Option expiration- long call
	3.000	0.00	04/16/21	30.00	...	-30.00	12 of 16 - Option expiration- long call
	3.000	0.00	04/16/21	30.00	...	-30.00	13 of 16 - Option expiration- long call
	2.000	0.00	04/16/21	20.00	...	-20.00	14 of 16 - Option expiration- long call
	2.000	0.00	04/16/21	20.00	...	-20.00	15 of 16 - Option expiration- long call
	1.000	0.00	04/16/21	10.00	...	-10.00	16 of 16 - Option expiration- long call
04/16/21	200.000	0.00	Various	2,000.00	...	-2,000.00	Total of 16 transactions
CLOV 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/21/21	10.000	0.00	04/19/21	1,650.00	...	-1,650.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>14 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	114.98	06/03/21	100.00	...	14.98	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.98	06/03/21	100.00	...	14.98	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/03/21	100.00	...	14.99	8 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/03/21	100.00	...	14.99	9 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/03/21	100.00	...	14.99	10 of 14 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/03/21	100.00	...	14.99	11 of 14 - Option sale to close-call <sup>25</sup>
	2.000	229.98	06/03/21	200.00	...	29.98	12 of 14 - Option sale to close-call <sup>25</sup>
	2.000	229.98	06/03/21	200.00	...	29.98	13 of 14 - Option sale to close-call <sup>25</sup>
	2.000	229.98	06/03/21	200.00	...	29.98	14 of 14 - Option sale to close-call <sup>25</sup>
06/03/21	17.000	1,954.76	Various	1,700.00	...	254.76	Total of 14 transactions
<i>8 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	149.98	06/03/21	100.00	...	49.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	149.98	06/03/21	100.00	...	49.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	149.98	06/03/21	100.00	...	49.98	3 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 07/16/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	149.98	06/03/21	100.00	...	49.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	149.98	06/03/21	100.00	...	49.98	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	299.98	06/03/21	200.00	...	99.98	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	449.98	06/03/21	300.00	...	149.98	7 of 8 - Option sale to close-call <sup>25</sup>
	3.000	449.98	06/03/21	300.00	...	149.98	8 of 8 - Option sale to close-call <sup>25</sup>
06/07/21	13.000	1,949.84	Various	1,300.00	...	649.84	Total of 8 transactions
	<b>Security total:</b>	<b>3,904.60</b>		<b>3,000.00</b>	...	<b>904.60</b>	
CLOV 07/16/2021 CALL \$14.00 / CUSIP: / Symbol:							
8 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	129.98	06/07/21	95.00	...	34.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	129.98	06/07/21	95.00	...	34.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	129.98	06/07/21	95.00	...	34.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	129.98	06/07/21	95.00	...	34.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	129.99	06/07/21	95.00	...	34.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	130.00	06/07/21	95.00	...	35.00	6 of 8 - Option sale to close-call <sup>25</sup>
	6.000	779.98	06/07/21	570.00	...	209.98	7 of 8 - Option sale to close-call <sup>25</sup>
	8.000	1,039.98	06/07/21	760.00	...	279.98	8 of 8 - Option sale to close-call <sup>25</sup>
06/07/21	20.000	2,599.87	Various	1,900.00	...	699.87	Total of 8 transactions
CLOV 08/20/2021 PUT \$11.00 / CUSIP: / Symbol:							
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	1.000	194.98	06/09/21	170.00	...	24.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	389.98	06/09/21	340.00	...	49.98	2 of 3 - Option sale to close-put <sup>25</sup>
	7.000	1,364.98	06/09/21	1,190.00	...	174.98	3 of 3 - Option sale to close-put <sup>25</sup>
06/16/21	10.000	1,949.94	Various	1,700.00	...	249.94	Total of 3 transactions
CLOV 11/19/2021 CALL \$27.00 / CUSIP: / Symbol:							
11/19/21	15.000	0.00	06/24/21	3,150.00	...	-3,150.00	Option expiration- long call
COST 12/03/2021 CALL \$560.00 / CUSIP: / Symbol:							
11/26/21	1.000	509.98	11/24/21	315.00	...	194.98	Option sale to close-call <sup>25</sup>
COST 12/17/2021 CALL \$560.00 / CUSIP: / Symbol:							
12/10/21	1.000	549.98	12/07/21	460.00	...	89.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 06/18/2021 CALL \$49.00 / CUSIP: / Symbol:							
06/18/21	10.000	0.00	06/01/21	950.00	...	-950.00	Option expiration- long call
FB 11/19/2021 CALL \$345.00 / CUSIP: / Symbol:							
11/12/21	3.000	1,199.98	11/12/21	1,110.00	...	89.98	Option sale to close-call <sup>25</sup>
FB 11/19/2021 CALL \$347.50 / CUSIP: / Symbol:							
4 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	539.99	11/12/21	330.00	...	209.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,399.98	11/12/21	660.00	...	739.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,399.99	11/12/21	660.00	...	739.99	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	2,699.98	11/12/21	1,650.00	...	1,049.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/15/21	10.000	6,039.94	Various	3,300.00	...	2,739.94	Total of 4 transactions
FCEL 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
11/19/21	10.000	0.00	11/15/21	450.00	...	-450.00	Option expiration- long call
FSLY 06/11/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/11/21	5.000	899.98	05/07/21	750.00	...	149.98	Option sale to close-call <sup>25</sup>
FSR 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	194.98	11/09/21	95.00	...	99.98	1 of 2 - Option sale to close-call <sup>25</sup>
	19.000	3,704.94	11/09/21	1,805.00	...	1,899.94	2 of 2 - Option sale to close-call <sup>25</sup>
11/11/21	20.000	3,899.92	Various	1,900.00	...	1,999.92	Total of 2 transactions
11/16/21	10.000	2,099.96	11/09/21	950.00	...	1,149.96	Option sale to close-call <sup>25</sup>
11/19/21	10.000	1,249.97	11/17/21	1,600.03	...	-350.06	Option sale to close-call <sup>25</sup>
11/19/21	10.000	1,199.97	11/12/21	1,500.00	300.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>8,449.82</b>		<b>5,950.03</b>	<b>300.03 W</b>	<b>2,799.82</b>	
FSR 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/12/21	2.000	609.97	01/27/21	386.00	...	223.97	Option sale to close-call <sup>25</sup>
6 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	319.98	01/27/21	193.00	...	126.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	319.98	01/27/21	193.00	...	126.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	499.97	01/27/21	193.00	...	306.97	3 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 08/20/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	499.97	01/27/21	193.00	...	306.97	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	1,499.95	01/27/21	579.00	...	920.95	5 of 6 - Option sale to close-call <sup>25</sup>
	8.000	2,559.92	01/27/21	1,544.00	...	1,015.92	6 of 6 - Option sale to close-call <sup>25</sup>
02/24/21	15.000	5,699.77	Various	2,895.00	...	2,804.77	Total of 6 transactions
	<b>Security total:</b>	<b>6,309.74</b>		<b>3,281.00</b>	...	<b>3,028.74</b>	
HD 11/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
	3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	1.000	249.99	11/17/21	200.00	...	49.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	499.99	11/17/21	400.00	...	99.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	599.98	11/17/21	400.00	...	199.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/17/21	5.000	1,349.96	Various	1,000.00	...	349.96	Total of 3 transactions
HYLN 03/19/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/11/21	10.000	4,499.88	02/11/21	2,760.00	...	1,739.88	Option sale to close-call <sup>25</sup>
03/19/21	2.000	0.00	02/11/21	552.00	...	-552.00	Option expiration- long call
	<b>Security total:</b>	<b>4,499.88</b>		<b>3,312.00</b>	...	<b>1,187.88</b>	
HYLN 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	12.000	0.00	02/11/21	3,840.00	...	-3,840.00	Option expiration- long call
JMIA 12/23/2021 CALL \$22.50 / CUSIP: / Symbol:							
12/23/21	20.000	0.00	11/09/21	2,800.00	...	-2,800.00	Option expiration- long call
LCID 01/21/2022 PUT \$42.00 / CUSIP: / Symbol:							
11/18/21	1.000	684.98	11/16/21	400.00	...	284.98	Option sale to close-put <sup>25</sup>
11/19/21	2.000	999.98	11/16/21	800.00	...	199.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,684.96</b>		<b>1,200.00</b>	...	<b>484.96</b>	
MGNI 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
	3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	3.000	3,899.91	01/25/21	1,050.00	...	2,849.91	1 of 3 - Option sale to close-call <sup>25</sup>
	8.000	10,399.75	01/25/21	2,792.00	...	7,607.75	2 of 3 - Option sale to close-call <sup>25</sup>
	9.000	11,699.72	01/25/21	3,141.00	...	8,558.72	3 of 3 - Option sale to close-call <sup>25</sup>
02/08/21	20.000	25,999.38	Various	6,983.00	...	19,016.38	Total of 3 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MGNI 03/19/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
02/09/21	10.000	15,099.64	01/25/21	3,490.00	...	11,609.64	Option sale to close-call <sup>25</sup>
03/19/21	1.000	39.98	01/25/21	320.00	...	-280.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>41,139.00</b>		<b>10,793.00</b>	...	<b>30,346.00</b>	
MGNI 09/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
09/17/21	3.000	0.00	02/09/21	4,020.00	...	-4,020.00	Option expiration- long call
MGNI 09/17/2021 CALL \$90.00 / CUSIP: / Symbol:							
	<i>7 transactions for 09/17/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	0.00	02/10/21	5,350.00	...	-5,350.00	1 of 7 - Option expiration- long call
	3.000	0.00	02/10/21	3,267.00	...	-3,267.00	2 of 7 - Option expiration- long call
	2.000	0.00	02/10/21	2,178.00	...	-2,178.00	3 of 7 - Option expiration- long call
	1.000	0.00	02/10/21	1,090.00	...	-1,090.00	4 of 7 - Option expiration- long call
	1.000	0.00	02/10/21	1,070.00	...	-1,070.00	5 of 7 - Option expiration- long call
	1.000	0.00	02/10/21	1,070.00	...	-1,070.00	6 of 7 - Option expiration- long call
	1.000	0.00	02/10/21	1,070.00	...	-1,070.00	7 of 7 - Option expiration- long call
09/17/21	14.000	0.00	Various	15,095.00	...	-15,095.00	Total of 7 transactions
MRNA 01/21/2022 PUT \$290.00 / CUSIP: / Symbol:							
11/29/21	2.000	2,099.97	11/26/21	3,480.00	...	-1,380.03	Option sale to close-put <sup>25</sup>
MRNA 12/03/2021 CALL \$410.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	499.99	11/29/21	1,050.00	...	-550.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.99	11/29/21	1,050.00	...	-550.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/29/21	2.000	999.98	Various	2,100.00	...	-1,100.02	Total of 2 transactions
MRNA 12/17/2021 PUT \$275.00 / CUSIP: / Symbol:							
12/15/21	1.000	759.98	12/15/21	370.00	...	389.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	324.99	11/22/21	285.00	...	39.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	325.00	11/22/21	285.00	...	40.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,624.98	11/22/21	1,490.00	...	134.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/22/21	7.000	2,274.97	Various	2,060.00	...	214.97	Total of 3 transactions
NIO 08/20/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	01/29/21	2,730.00	...	-2,730.00	Option expiration- long call
NIO 10/01/2021 CALL \$38.00 / CUSIP: / Symbol:							
10 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	16.000	0.00	10/01/21	128.00	...	-128.00	1 of 10 - Option expiration- long call
	10.000	0.00	10/01/21	80.00	...	-80.00	2 of 10 - Option expiration- long call
	5.000	0.00	10/01/21	40.00	...	-40.00	3 of 10 - Option expiration- long call
	3.000	0.00	10/01/21	24.00	...	-24.00	4 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	5 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	6 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	7 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	8 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	9 of 10 - Option expiration- long call
	1.000	0.00	10/01/21	8.00	...	-8.00	10 of 10 - Option expiration- long call
10/01/21	40.000	0.00	Various	320.00	...	-320.00	Total of 10 transactions
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/12/21	50.000	0.00	11/12/21	400.00	...	-400.00	Option expiration- long call

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Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NNDM 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/20/21	6.000	0.00	01/29/21	3,120.00	...	-3,120.00	Option expiration- long call
PDD 05/14/2021 CALL \$120.00 / CUSIP: / Symbol:							
05/12/21	1.000	569.98	05/12/21	550.00	...	19.98	Option sale to close-call <sup>25</sup>
PFE 06/04/2021 CALL \$40.50 / CUSIP: / Symbol:							
05/10/21	10.000	599.97	05/07/21	470.00	...	129.97	Option sale to close-call <sup>25</sup>
	2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.						
	2.000	117.98	05/07/21	94.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	471.97	05/07/21	376.00	...	95.97	2 of 2 - Option sale to close-call <sup>25</sup>
05/12/21	10.000	589.95	Various	470.00	...	119.95	Total of 2 transactions
	<b>Security total:</b>	<b>1,189.92</b>		<b>940.00</b>	...	<b>249.92</b>	
PLTR 06/04/2021 CALL \$21.00 / CUSIP: / Symbol:							
	4 transactions for 05/18/21. Total proceeds and cost reported to the IRS.						
	1.000	123.00	05/11/21	90.00	...	33.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	123.00	05/11/21	90.00	...	33.00	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	368.99	05/11/21	270.00	...	98.99	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	614.98	05/12/21	300.00	...	314.98	4 of 4 - Option sale to close-call <sup>25</sup>
05/18/21	10.000	1,229.97	Various	750.00	...	479.97	Total of 4 transactions
PLTR 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
	8 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	02/17/21	1,935.00	...	-1,935.00	1 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	2 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	3 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	4 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	5 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	6 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	7 of 8 - Option expiration- long call
	1.000	0.00	02/25/21	320.00	...	-320.00	8 of 8 - Option expiration- long call
08/20/21	10.000	0.00	Various	4,175.00	...	-4,175.00	Total of 8 transactions
PLTR 08/20/2021 CALL \$39.00 / CUSIP: / Symbol:							
08/20/21	8.000	0.00	02/24/21	3,080.00	...	-3,080.00	Option expiration- long call

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG 05/14/2021 CALL \$23.50 / CUSIP: / Symbol:							
05/07/21	4.000	519.98	05/06/21	420.00	...	99.98	Option sale to close-call <sup>25</sup>
RIVN 01/21/2022 PUT \$100.00 / CUSIP: / Symbol:							
11/23/21	2.000	2,799.97	11/19/21	1,520.00	...	1,279.97	Option sale to close-put <sup>25</sup>
RIVN 01/21/2022 PUT \$115.00 / CUSIP: / Symbol:							
11/18/21	1.000	2,019.97	11/16/21	1,200.00	...	819.97	Option sale to close-put <sup>25</sup>
11/19/21	1.000	1,819.98	11/16/21	1,200.00	...	619.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>3,839.95</b>		<b>2,400.00</b>	...	<b>1,439.95</b>	
RIVN 01/21/2022 PUT \$120.00 / CUSIP: / Symbol:							
11/18/21	1.000	2,299.97	11/16/21	1,300.00	...	999.97	Option sale to close-put <sup>25</sup>
RIVN 01/21/2022 PUT \$95.00 / CUSIP: / Symbol:							
11/22/21	1.000	879.98	11/19/21	630.00	...	249.98	Option sale to close-put <sup>25</sup>
	<i>2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	2,399.98	11/19/21	1,260.00	...	1,139.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	2,399.98	11/19/21	1,260.00	...	1,139.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/23/21	4.000	4,799.96	Various	2,520.00	...	2,279.96	Total of 2 transactions
	<b>Security total:</b>	<b>5,679.94</b>		<b>3,150.00</b>	...	<b>2,529.94</b>	
RIVN 11/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	799.98	11/16/21	1,280.00	...	-480.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	11/16/21	640.00	...	-240.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	3.000	1,199.96	Various	1,920.00	...	-720.04	Total of 2 transactions
RIVN 12/03/2021 PUT \$105.00 / CUSIP: / Symbol:							
11/26/21	4.000	2,239.97	11/23/21	1,920.00	...	319.97	Option sale to close-put <sup>25</sup>
	<i>6 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	689.99	11/23/21	1,410.00	...	-720.01	1 of 6 - Option sale to close-put <sup>25</sup>
	2.000	459.99	11/23/21	940.00	...	-480.01	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	230.00	11/23/21	480.00	...	-250.00	3 of 6 - Option sale to close-put <sup>25</sup>
	2.000	459.99	11/24/21	540.00	...	-80.01	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	229.99	11/24/21	270.00	...	-40.01	5 of 6 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIVN 12/03/2021 PUT \$105.00 / CUSIP: / Symbol: (cont'd)							
	1.000	230.00	11/24/21	270.00	...	-40.00	6 of 6 - Option sale to close-put <sup>25</sup>
11/29/21	10.000	2,299.96	Various	3,910.00	...	-1,610.04	Total of 6 transactions
	<b>Security total:</b>	<b>4,539.93</b>		<b>5,830.00</b>	...	<b>-1,290.07</b>	
RUN 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/06/21	4.000	1,199.98	05/06/21	880.00	...	319.98	Option sale to close-call <sup>25</sup>
	2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.						
	1.000	349.99	05/06/21	300.00	...	49.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.99	05/06/21	300.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/07/21	2.000	699.98	Various	600.00	...	99.98	Total of 2 transactions
	<b>Security total:</b>	<b>1,899.96</b>		<b>1,480.00</b>	...	<b>419.96</b>	
SABR 06/18/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/18/21	20.000	0.00	06/01/21	800.00	...	-800.00	Option expiration- long call
SAVA 01/21/2022 CALL \$100.00 / CUSIP: / Symbol:							
11/19/21	2.000	999.98	11/19/21	920.00	...	79.98	Option sale to close-call <sup>25</sup>
SAVA 01/21/2022 CALL \$105.00 / CUSIP: / Symbol:							
	6 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	2.000	659.99	08/26/21	4,000.00	3,340.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	989.99	08/27/21	4,200.00	3,210.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	7.000	2,309.97	09/15/21	3,430.00	1,120.03 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,979.98	10/04/21	2,820.00	840.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	1,319.98	10/07/21	1,360.00	40.02 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	989.99	10/08/21	990.00	0.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
10/13/21	25.000	8,249.90	Various	16,800.00	8,550.10 W	0.00	Total of 6 transactions
	3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.						
	2.000	409.99	10/13/21	4,060.01	3,650.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	614.99	10/13/21	4,290.01	3,675.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,024.98	10/13/21	2,600.02	1,575.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	10.000	2,049.96	Various	10,950.04	8,900.08 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SAVA 01/21/2022 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	2.000	379.99	10/13/21	1,040.01	660.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,139.99	10/13/21	3,000.02	1,860.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	379.99	10/13/21	740.01	360.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/21	10.000	1,899.97	Various	4,780.04	2,880.07 W	0.00	Total of 3 transactions
7 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	649.99	10/13/21	360.00	...	289.99	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	1,299.98	10/13/21	740.01	...	559.97	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	1,299.99	10/14/21	700.01	...	599.98	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	650.00	10/15/21	575.01	...	74.99	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	579.99	10/18/21	555.01	...	24.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	649.99	10/18/21	555.01	...	94.98	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	579.99	10/19/21	535.01	...	44.98	7 of 7 - Option sale to close-call <sup>25</sup>
11/02/21	9.000	5,709.93	Various	4,020.06	...	1,689.87	Total of 7 transactions
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	649.99	10/14/21	2,155.01	1,505.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	650.00	10/14/21	2,120.01	1,470.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	649.99	10/15/21	1,520.01	870.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	650.00	10/15/21	1,505.01	855.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	649.99	10/15/21	1,485.00	835.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	3,249.97	Various	8,785.04	5,535.07 W	0.00	Total of 5 transactions
15 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	449.99	10/21/21	490.01	...	-40.02	1 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/21/21	470.01	...	-20.02	2 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/25/21	500.01	...	-50.02	3 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/25/21	500.00	...	-50.01	4 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/26/21	1,700.02	...	-1,250.03	5 of 15 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SAVA 01/21/2022 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
	2.000	899.98	10/26/21	1,010.01	...	-110.03	6 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/26/21	505.00	...	-55.01	7 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/26/21	385.01	...	64.98	8 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/26/21	375.01	...	74.98	9 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/27/21	1,650.01	...	-1,200.02	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	399.99	10/29/21	995.01	...	-595.02	11 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/29/21	1,030.02	...	-580.03	12 of 15 - Option sale to close-call <sup>25</sup>
	1.000	449.98	10/29/21	1,015.01	...	-565.03	13 of 15 - Option sale to close-call <sup>25</sup>
	1.000	399.99	10/29/21	245.01	...	154.98	14 of 15 - Option sale to close-call <sup>25</sup>
	1.000	400.00	10/29/21	230.02	...	169.98	15 of 15 - Option sale to close-call <sup>25</sup>
11/03/21	16.000	7,049.84	Various	11,100.16	...	-4,050.32	Total of 15 transactions
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	1.000	449.99	10/19/21	535.00	85.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/20/21	520.01	70.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	2.000	899.98	Various	1,055.01	155.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>29,109.55</b>		<b>57,490.35</b>	<b>26,020.35 W</b>	<b>-2,360.45</b>	
SAVA 01/21/2022 CALL \$110.00 / CUSIP: / Symbol:							
	3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	2.000	999.99	08/27/21	3,100.00	...	-2,100.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.99	10/14/21	290.00	...	209.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.99	10/29/21	135.00	...	364.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	4.000	1,999.97	Various	3,525.00	...	-1,525.03	Total of 3 transactions

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Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SAVA 01/21/2022 CALL \$125.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	5.000	2,099.97	11/03/21	2,250.00	...	-150.03	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	2,099.97	11/03/21	2,250.00	...	-150.03	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	1,259.98	11/03/21	1,350.00	...	-90.02	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	839.98	11/03/21	900.00	...	-60.02	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	15.000	6,299.90	Various	6,750.00	...	-450.10	Total of 4 transactions
SAVA 01/21/2022 CALL \$90.00 / CUSIP: / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	2,599.98	02/09/21	5,020.00	...	-2,420.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,249.99	02/09/21	2,510.00	...	-1,260.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,299.99	02/09/21	2,510.00	...	-1,210.01	3 of 3 - Option sale to close-call <sup>25</sup>
05/27/21	4.000	5,149.96	Various	10,040.00	...	-4,890.04	Total of 3 transactions
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	2,499.99	02/09/21	5,020.00	1,260.01 W	-1,260.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	2,499.98	02/09/21	5,020.00	2,520.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	2,499.98	02/09/21	5,020.00	2,520.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/27/21	6.000	7,499.95	Various	15,060.00	6,300.05 W	-1,260.00	Total of 3 transactions
5 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	1,439.98	02/09/21	2,510.00	...	-1,070.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,439.98	02/09/21	2,510.00	...	-1,070.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,439.98	02/09/21	2,510.00	...	-1,070.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	02/09/21	2,510.00	...	-1,070.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,439.99	02/09/21	2,510.00	...	-1,070.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/01/21	5.000	7,199.92	Various	12,550.00	...	-5,350.08	Total of 5 transactions
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	2.000	3,759.97	06/01/21	4,980.02	...	-1,220.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,879.99	06/01/21	2,490.01	...	-610.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	3.000	5,639.96	Various	7,470.03	...	-1,830.07	Total of 2 transactions
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	2,699.98	06/01/21	2,490.01	...	209.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,699.98	06/01/21	2,490.01	...	209.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/07/21	2.000	5,399.96	Various	4,980.02	...	419.94	Total of 2 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SAVA 01/21/2022 CALL \$90.00 / CUSIP: / Symbol: (cont'd)							
<i>13 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	599.98	08/27/21	1,400.00	800.02 W	0.00	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.98	08/27/21	1,400.00	800.02 W	0.00	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.98	08/27/21	1,400.00	800.02 W	0.00	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.98	08/27/21	1,400.00	800.02 W	0.00	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.98	08/27/21	1,400.00	800.02 W	0.00	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.99	10/18/21	1,150.02	550.03 W	0.00	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.99	10/20/21	1,060.02	460.03 W	0.00	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	599.98	10/21/21	1,030.02	430.04 W	0.00	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	549.99	10/28/21	1,090.02	540.03 W	0.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	550.00	10/28/21	1,080.02	530.02 W	0.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	549.99	10/28/21	830.03	280.04 W	0.00	11 of 13 - Option sale to close-call <sup>25</sup>
	1.000	549.99	10/28/21	740.03	190.04 W	0.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	549.99	10/29/21	630.04	80.05 W	0.00	13 of 13 - Option sale to close-call <sup>25</sup>
11/03/21	13.000	7,549.82	Various	14,610.20	7,060.38 W	0.00	Total of 13 transactions
<i>2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2,499.98	11/04/21	3,010.02	...	-510.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,499.99	11/04/21	2,760.04	...	-260.05	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	4,999.97	Various	5,770.06	...	-770.09	Total of 2 transactions
11/04/21	1.000	2,499.98	11/04/21	3,020.03	520.05 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 01/21/2022 CALL \$90.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	619.99	11/19/21	1,080.05	...	-460.06	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	619.99	11/19/21	750.04	...	-130.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	620.00	11/19/21	640.05	...	-20.05	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/21	3.000	1,859.98	Various	2,470.14	...	-610.16	Total of 3 transactions
	<b>Security total:</b>	<b>47,799.50</b>		<b>75,970.48</b>	<b>13,880.48 W</b>	<b>-14,290.50</b>	
SAVA 01/21/2022 PUT \$55.00 / CUSIP: / Symbol:							
06/08/21	1.000	-150.01	06/07/21	0.00	150.01 W	0.00	Short sale closed- put <sup>25</sup> Option written: 06/07/21
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	-150.02	06/10/21	0.00	150.02 W	0.00	1 of 3 - Short sale closed- put <sup>25</sup> Option written: 06/07/21
	1.000	-280.02	06/10/21	0.00	280.02 W	0.00	2 of 3 - Short sale closed- put <sup>25</sup> Option written: 06/07/21
	1.000	-130.02	06/10/21	0.00	130.02 W	0.00	3 of 3 - Short sale closed- put <sup>25</sup> Option written: 06/07/21
06/11/21	3.000	-560.06	Various	0.00	560.06 W	0.00	Total of 3 transactions
3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1,199.99	06/11/21	2,100.02	...	-900.03	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	1,199.99	06/11/21	1,970.02	...	-770.03	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	1,199.99	06/11/21	1,950.02	...	-750.03	3 of 3 - Option sale to close-put <sup>25</sup>
07/08/21	3.000	3,599.97	Various	6,020.06	...	-2,420.09	Total of 3 transactions
	<b>Security total:</b>	<b>2,889.90</b>		<b>6,020.06</b>	<b>710.07 W</b>	<b>-2,420.09</b>	
SAVA 07/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
10 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	3 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SAVA 07/16/2021 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,299.98	06/07/21	1,250.00	...	49.98	10 of 10 - Option sale to close-call <sup>25</sup>
06/07/21	10.000	12,999.80	Various	12,500.00	...	499.80	Total of 10 transactions
SAVA 09/17/2021 CALL \$195.00 / CUSIP: / Symbol:							
6 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	1 of 6 - Option expiration- long call
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	2 of 6 - Option expiration- long call
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	3 of 6 - Option expiration- long call
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	4 of 6 - Option expiration- long call
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/28/21	1,550.00	...	-1,550.00	6 of 6 - Option expiration- long call
09/17/21	6.000	0.00	Various	9,300.00	...	-9,300.00	Total of 6 transactions
SAVA 11/05/2021 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	3.000	359.98	11/04/21	450.00	...	-90.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.98	11/04/21	150.00	...	-30.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	479.96	Various	600.00	...	-120.04	Total of 2 transactions

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 11/12/2021 CALL \$110.00 / CUSIP: / Symbol:							
11/10/21	5.000	249.98	11/08/21	2,450.00	2,200.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>9 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	0.00	11/08/21	7,350.00	...	-7,350.00	1 of 9 - Option expiration- long call
	2.000	0.00	11/08/21	1,760.01	...	-1,760.01	2 of 9 - Option expiration- long call
	3.000	0.00	11/08/21	1,260.00	...	-1,260.00	3 of 9 - Option expiration- long call
	1.000	0.00	11/08/21	880.01	...	-880.01	4 of 9 - Option expiration- long call
	1.000	0.00	11/08/21	880.00	...	-880.00	5 of 9 - Option expiration- long call
	1.000	0.00	11/08/21	880.00	...	-880.00	6 of 9 - Option expiration- long call
	2.000	0.00	11/08/21	760.00	...	-760.00	7 of 9 - Option expiration- long call
	3.000	0.00	11/08/21	735.00	...	-735.00	8 of 9 - Option expiration- long call
	1.000	0.00	11/08/21	250.00	...	-250.00	9 of 9 - Option expiration- long call
11/12/21	29.000	0.00	Various	14,755.02	...	-14,755.02	Total of 9 transactions
	<b>Security total:</b>	<b>249.98</b>		<b>17,205.02</b>	<b>2,200.02 W</b>	<b>-14,755.02</b>	
SAVA 11/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
	<i>8 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	819.98	11/05/21	750.00	...	69.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	819.98	11/05/21	750.00	...	69.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	819.98	11/05/21	750.00	...	69.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	819.98	11/05/21	750.00	...	69.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	819.99	11/05/21	750.00	...	69.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	819.99	11/05/21	750.00	...	69.99	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1,639.98	11/05/21	1,500.00	...	139.98	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1,639.98	11/05/21	1,500.00	...	139.98	8 of 8 - Option sale to close-call <sup>25</sup>
11/05/21	10.000	8,199.86	Various	7,500.00	...	699.86	Total of 8 transactions
SAVA 11/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	2,449.97	11/04/21	3,000.00	...	-550.03	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	15,999.87	11/04/21	12,000.00	...	3,999.87	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	25.000	18,449.84	Various	15,000.00	...	3,449.84	Total of 2 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVA 11/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	499.99	11/05/21	550.00	...	-50.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	499.99	11/05/21	550.00	...	-50.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	499.99	11/05/21	550.00	...	-50.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	500.00	11/05/21	550.00	...	-50.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	1,999.97	Various	2,200.00	...	-200.03	Total of 4 transactions
SAVA 11/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	5.000	399.98	11/24/21	400.00	...	-0.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	239.99	11/24/21	240.00	...	-0.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	80.00	11/24/21	80.00	...	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	80.00	11/24/21	80.00	...	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/24/21	10.000	799.97	Various	800.00	...	-0.03	Total of 4 transactions
SPY 04/05/2021 CALL \$396.00 / CUSIP: / Symbol:							
03/31/21	7.000	2,099.97	03/26/21	868.00	...	1,231.97	Option sale to close-call <sup>25</sup>
SPY 04/26/2021 CALL \$419.00 / CUSIP: / Symbol:							
7 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	10.000	79.97	04/26/21	680.03	...	-600.06	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	23.99	04/26/21	204.02	...	-180.03	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	16.00	04/26/21	136.02	...	-120.02	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	23.99	04/26/21	114.00	...	-90.01	4 of 7 - Option sale to close-call <sup>25</sup>
	3.000	23.99	04/26/21	114.00	...	-90.01	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/26/21	68.02	...	-60.02	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/26/21	68.02	...	-60.02	7 of 7 - Option sale to close-call <sup>25</sup>
04/26/21	23.000	183.94	Various	1,384.11	...	-1,200.17	Total of 7 transactions
5 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	3.000	23.98	04/26/21	114.00	90.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	10.000	79.97	04/26/21	380.00	300.03 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	7.98	04/26/21	38.00	30.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	15.98	04/26/21	76.00	60.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>

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Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 04/26/2021 CALL \$419.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.98	04/26/21	38.00	30.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
04/26/21	17.000	135.89	Various	646.00	510.11 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>319.83</b>		<b>2,030.11</b>	<b>510.11 W</b>	<b>-1,200.17</b>	
SPY 04/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	5.000	39.98	04/26/21	375.00	...	-335.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	8.00	04/26/21	75.00	...	-67.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/21	6.000	47.98	Various	450.00	...	-402.02	Total of 2 transactions
SPY 04/28/2021 CALL \$421.00 / CUSIP: / Symbol:							
2 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	2.000	15.98	04/28/21	14.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	28.000	223.93	04/28/21	196.00	...	27.93	2 of 2 - Option sale to close-call <sup>25</sup>
04/28/21	30.000	239.91	Various	210.00	...	29.91	Total of 2 transactions
SPY 04/30/2021 CALL \$417.00 / CUSIP: / Symbol:							
04/23/21	4.000	1,231.98	04/21/21	1,112.00	...	119.98	Option sale to close-call <sup>25</sup>
04/29/21	1.000	344.98	04/21/21	278.00	...	66.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,576.96</b>		<b>1,390.00</b>	...	<b>186.96</b>	
SPY 04/30/2021 CALL \$421.00 / CUSIP: / Symbol:							
04/29/21	3.000	269.98	04/28/21	231.00	...	38.98	Option sale to close-call <sup>25</sup>
SPY 05/12/2021 CALL \$414.00 / CUSIP: / Symbol:							
4 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	1.000	189.98	05/11/21	180.00	...	9.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	189.98	05/11/21	180.00	...	9.98	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	569.98	05/11/21	540.00	...	29.98	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	1,799.97	05/11/21	1,650.00	...	149.97	4 of 4 - Option sale to close-call <sup>25</sup>
05/11/21	15.000	2,749.91	Various	2,550.00	...	199.91	Total of 4 transactions
SPY 05/12/2021 CALL \$415.00 / CUSIP: / Symbol:							
05/11/21	5.000	799.98	05/11/21	850.00	...	-50.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/14/2021 PUT \$404.00 / CUSIP: / Symbol:							
05/12/21	2.000	599.98	05/12/21	560.00	...	39.98	Option sale to close-put <sup>25</sup>
SPY 05/19/2021 PUT \$390.00 / CUSIP: / Symbol:							
05/17/21	3.000	26.98	05/12/21	540.00	...	-513.02	Option sale to close-put <sup>25</sup>
SPY 05/26/2021 PUT \$416.00 / CUSIP: / Symbol:							
2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	4.000	135.98	05/24/21	240.00	...	-104.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	33.98	05/24/21	60.00	...	-26.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/25/21	5.000	169.96	Various	300.00	...	-130.04	Total of 2 transactions
SPY 06/04/2021 CALL \$422.00 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	3.000	104.99	06/04/21	96.00	...	8.99	1 of 2 - Option sale to close-call <sup>25</sup>
	27.000	944.94	06/04/21	864.00	...	80.94	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	30.000	1,049.93	Various	960.00	...	89.93	Total of 2 transactions
SPY 06/25/2021 CALL \$427.50 / CUSIP: / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	50.000	1,249.89	06/24/21	1,100.00	...	149.89	1 of 2 - Option sale to close-call <sup>25</sup>
	50.000	1,249.89	06/24/21	1,100.00	...	149.89	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	100.000	2,499.78	Various	2,200.00	...	299.78	Total of 2 transactions
SPY 07/02/2021 CALL \$432.00 / CUSIP: / Symbol:							
3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	18.000	593.95	07/02/21	702.00	...	-108.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	32.98	07/02/21	39.00	...	-6.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	38.98	07/02/21	39.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/21	20.000	665.91	Various	780.00	...	-114.09	Total of 3 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 07/09/2021 CALL \$434.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	2.000	203.99	07/09/21	120.00	...	83.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	203.99	07/09/21	118.00	...	85.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/21	4.000	407.98	Various	238.00	...	169.98	Total of 2 transactions
SPY 11/05/2021 CALL \$472.00 / CUSIP: / Symbol:							
11/05/21	10.000	79.97	11/05/21	100.00	...	-20.03	Option sale to close-call <sup>25</sup>
SPY 12/03/2021 CALL \$466.00 / CUSIP: / Symbol:							
11/26/21	5.000	1,474.98	11/26/21	1,350.00	...	124.98	Option sale to close-call <sup>25</sup>
SPY 12/10/2021 PUT \$465.00 / CUSIP: / Symbol:							
12/09/21	2.000	239.98	12/07/21	400.00	80.01 W	-80.01	Option sale to close-put <sup>25</sup>
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	12/07/21	270.01	...	-250.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	19.98	12/07/21	200.00	...	-180.02	2 of 2 - Option sale to close-put <sup>25</sup>
12/10/21	2.000	39.96	Various	470.01	...	-430.05	Total of 2 transactions
	<b>Security total:</b>	<b>279.94</b>		<b>870.01</b>	<b>80.01 W</b>	<b>-510.06</b>	
SPY 12/17/2021 CALL \$465.00 / CUSIP: / Symbol:							
12/17/21	5.000	499.98	12/17/21	385.00	...	114.98	Option sale to close-call <sup>25</sup>
STLA 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	2.000	49.98	07/08/21	30.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	48.000	1,199.89	07/08/21	720.00	...	479.89	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/21	50.000	1,249.87	Various	750.00	...	499.87	Total of 2 transactions
TQQQ 04/23/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	2.000	199.99	04/20/21	186.00	...	13.99	1 of 2 - Option sale to close-call <sup>25</sup>
	13.000	1,299.97	04/20/21	1,209.00	...	90.97	2 of 2 - Option sale to close-call <sup>25</sup>
04/21/21	15.000	1,499.96	Various	1,395.00	...	104.96	Total of 2 transactions

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Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 01/14/2022 PUT \$1,050.00 / CUSIP: / Symbol:							
12/29/21	1.000	4,499.96	12/28/21	4,100.00	...	399.96	Option sale to close-put <sup>25</sup>
TSLA 01/29/2021 CALL \$852.50 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	5,199.88	01/22/21	3,030.00	...	2,169.88	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	10,399.76	01/22/21	6,080.00	...	4,319.76	2 of 2 - Option sale to close-call <sup>25</sup>
01/25/21	3.000	15,599.64	Various	9,110.00	...	6,489.64	Total of 2 transactions
TSLA 07/02/2021 CALL \$690.00 / CUSIP: / Symbol:							
07/02/21	1.000	599.98	07/02/21	277.00	...	322.98	Option sale to close-call <sup>25</sup>
TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol:							
07/02/21	2.000	999.98	07/02/21	680.00	...	319.98	Option sale to close-call <sup>25</sup>
TSLA 07/02/2021 CALL \$710.00 / CUSIP: / Symbol:							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	1.000	199.99	07/02/21	190.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	399.99	07/02/21	380.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/21	3.000	599.98	Various	570.00	...	29.98	Total of 2 transactions
TSLA 11/19/2021 CALL \$1,090.00 / CUSIP: / Symbol:							
11/16/21	2.000	2,439.97	11/16/21	1,620.00	...	819.97	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
11/17/21	1.000	1,599.98	11/17/21	1,450.00	...	149.98	Option sale to close-call <sup>25</sup>
TSLA 11/26/2021 CALL \$1,105.00 / CUSIP: / Symbol:							
11/26/21	2.000	939.98	11/26/21	840.00	...	99.98	Option sale to close-call <sup>25</sup>
TSLA 11/26/2021 CALL \$1,205.00 / CUSIP: / Symbol:							
11/23/21	3.000	1,649.98	11/23/21	1,590.00	...	59.98	Option sale to close-call <sup>25</sup>
TSLA 11/26/2021 PUT \$1,010.00 / CUSIP: / Symbol:							
4 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/24/21	730.00	...	-730.00	1 of 4 - Option expiration- long put
	1.000	0.00	11/24/21	480.00	...	-480.00	2 of 4 - Option expiration- long put

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Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/26/2021 PUT \$1,010.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/24/21	250.00	...	-250.00	3 of 4 - Option expiration- long put
	1.000	0.00	11/24/21	130.00	...	-130.00	4 of 4 - Option expiration- long put
11/26/21	4.000	0.00	Various	1,590.00	...	-1,590.00	Total of 4 transactions
TSLA 11/26/2021 PUT \$1,100.00 / CUSIP: / Symbol:							
11/23/21	1.000	1,149.98	11/23/21	1,000.00	...	149.98	Option sale to close-put <sup>25</sup>
TSLA 12/03/2021 CALL \$1,155.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	12/02/21	625.00	...	-625.00	Option expiration- long call
TSLA 12/03/2021 PUT \$980.00 / CUSIP: / Symbol:							
12/03/21	1.000	299.98	12/03/21	220.00	...	79.98	Option sale to close-put <sup>25</sup>
TSLA 12/10/2021 CALL \$1,130.00 / CUSIP: / Symbol:							
12/07/21	1.000	299.98	12/03/21	1,100.00	...	-800.02	Option sale to close-call <sup>25</sup>
TSLA 12/17/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
12/16/21	1.000	79.98	12/15/21	90.00	...	-10.02	Option sale to close-call <sup>25</sup>
12/17/21	4.000	0.00	12/15/21	360.00	...	-360.00	Option expiration- long call
	<b>Security total:</b>	<b>79.98</b>		<b>450.00</b>	...	<b>-370.02</b>	
TSLA 12/17/2021 CALL \$985.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	299.98	12/17/21	296.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	449.98	12/17/21	444.00	...	5.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/21	5.000	749.96	Various	740.00	...	9.96	Total of 2 transactions
TSLA 12/17/2021 PUT \$900.00 / CUSIP: / Symbol:							
12/15/21	1.000	609.98	12/15/21	580.00	...	29.98	Option sale to close-put <sup>25</sup>
TWTR 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	369.98	12/02/21	220.00	...	149.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	739.98	12/02/21	440.00	...	299.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	3.000	1,109.96	Various	660.00	...	449.96	Total of 2 transactions

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Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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UA 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/05/21	10.000	1,899.97	05/04/21	700.00	...	1,199.97	Option sale to close-call <sup>25</sup>
UA 06/04/2021 CALL \$20.50 / CUSIP: / Symbol:							
05/05/21	5.000	824.98	05/05/21	800.00	...	24.98	Option sale to close-call <sup>25</sup>
VIAC 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
6 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/29/21	468.00	...	-468.00	1 of 6 - Option expiration- long call
	2.000	0.00	06/29/21	156.00	...	-156.00	2 of 6 - Option expiration- long call
	1.000	0.00	06/29/21	78.00	...	-78.00	3 of 6 - Option expiration- long call
	14.000	0.00	07/02/21	280.00	...	-280.00	4 of 6 - Option expiration- long call
	5.000	0.00	07/02/21	100.00	...	-100.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/02/21	20.00	...	-20.00	6 of 6 - Option expiration- long call
07/16/21	29.000	0.00	Various	1,102.00	...	-1,102.00	Total of 6 transactions
XL 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
06/02/21	20.000	999.95	06/01/21	700.00	...	299.95	Option sale to close-call <sup>25</sup>
XXII 05/21/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	04/30/21	455.00	...	-455.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/21	65.00	...	-65.00	2 of 2 - Option expiration- long call
05/21/21	8.000	0.00	Various	520.00	...	-520.00	Total of 2 transactions
XXII 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
04/28/21	2.000	399.98	02/23/21	350.00	...	49.98	Option sale to close-call <sup>25</sup>
10/15/21	11.000	0.00	02/23/21	1,925.00	...	-1,925.00	Option expiration- long call
	<b>Security total:</b>	<b>399.98</b>		<b>2,275.00</b>	...	<b>-1,875.02</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/07/21	50.000	6,445.35	08/31/20	6,430.50	...	14.85	Sale <sup>25</sup>
BIO-PATH HOLDINGS, INC. COMMON STOCK / CUSIP: 09057N300 / Symbol:							
02/10/21	500.000	8,905.04	02/10/21	9,392.50	...	-487.46	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/22/21	80.000	753.57	01/04/21	439.20	...	314.37	Sale <sup>25</sup>
01/27/21	270.000	3,134.60	01/04/21	1,482.30	...	1,652.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,888.17</b>		<b>1,921.50</b>	...	<b>1,966.67</b>	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
07/09/21	35.000	3,457.45	07/08/21	3,578.95	...	-121.50	Sale <sup>25</sup>
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	50.000	4,291.47	11/02/21	3,046.00	...	1,245.47	1 of 2 - Sale <sup>25</sup>
	100.000	8,582.94	11/02/21	6,281.00	...	2,301.94	2 of 2 - Sale <sup>25</sup>
11/04/21	150.000	12,874.41	Various	9,327.00	...	3,547.41	Total of 2 transactions
11/05/21	50.000	4,536.10	11/02/21	3,046.00	...	1,490.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,867.96</b>		<b>15,951.95</b>	...	<b>4,916.01</b>	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
01/04/21	500.000	1,929.99	12/31/20	2,012.50	...	-82.51	Sale <sup>25</sup>
01/04/21	1,500.000	5,789.99	12/24/20	7,394.25	1,069.51 W	-534.75	Sale <sup>25</sup>
02/09/21	1,000.000	10,909.73	12/31/20	5,084.51	...	5,825.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18,629.71</b>		<b>14,491.26</b>	<b>1,069.51 W</b>	<b>5,207.96</b>	
FRESHWORKS INC. CLASS A COMMON STOCK / CUSIP: 358054104 / Symbol:							
11/17/21	50.000	1,939.74	11/16/21	1,886.90	...	52.84	Sale <sup>25</sup>
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
02/10/21	100.000	5,865.86	11/11/20	1,066.50	...	4,799.36	Sale <sup>25</sup>
04/23/21	10.000	373.70	11/11/20	106.65	...	267.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,239.56</b>		<b>1,173.15</b>	...	<b>5,066.41</b>	
META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol:							
10/15/21	100.000	503.23	06/15/21	985.72	...	-482.49	Sale <sup>25</sup>
META METALS INC PREFERRED (SERIES A) / CUSIP: 59134N203 / Symbol:							
12/02/21	100.000	190.09	06/15/21	0.00	...	190.09	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
10/22/21	61.000	2,382.64	11/11/20	2,370.77	...	11.87	Sale <sup>25</sup>
	<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>						
	79.000	3,220.80	11/11/20	3,070.33	...	150.47	1 of 2 - Sale <sup>25</sup>
	360.000	14,336.09	11/11/20	13,991.40	...	344.69	2 of 2 - Sale <sup>25</sup>
11/01/21	439.000	17,556.89	Various	17,061.73	...	495.16	Total of 2 transactions
11/01/21	21.000	856.16	03/03/21	883.26	27.10 W	0.00	Sale <sup>25</sup>
	<i>4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>						
	29.000	1,224.67	03/03/21	1,219.74	...	4.93	1 of 4 - Sale <sup>25</sup>
	11.000	464.53	08/27/21	418.50	...	46.03	2 of 4 - Sale <sup>25</sup>
	21.000	886.83	10/22/21	843.13	...	43.70	3 of 4 - Sale <sup>25</sup>
	129.000	5,447.70	10/22/21	5,012.75	...	434.95	4 of 4 - Sale <sup>25</sup>
11/05/21	190.000	8,023.73	Various	7,494.12	...	529.61	Total of 4 transactions
	<b>Security total:</b>	<b>28,819.42</b>		<b>27,809.88</b>	<b>27.10 W</b>	<b>1,036.64</b>	
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
	<i>4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>						
	40.000	590.87	12/03/20	298.80	...	292.07	1 of 4 - Sale <sup>25</sup>
	60.000	886.30	12/03/20	448.20	...	438.10	2 of 4 - Sale <sup>25</sup>
	100.000	1,477.17	12/03/20	747.00	...	730.17	3 of 4 - Sale <sup>25</sup>
	100.000	1,477.18	12/03/20	747.00	...	730.18	4 of 4 - Sale <sup>25</sup>
01/29/21	300.000	4,431.52	Various	2,241.00	...	2,190.52	Total of 4 transactions
02/12/21	39.000	614.82	12/03/20	291.33	...	323.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,046.34</b>		<b>2,532.33</b>	...	<b>2,514.01</b>	
ORPHAZYME A/S AMERICAN DEPOSITARY SHARES / CUSIP: 687305102 / Symbol:							
06/16/21	100.000	1,767.12	06/16/21	1,326.00	...	441.12	Sale <sup>25</sup>
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
	<i>2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>						
	200.000	2,017.98	10/22/21	1,959.98	...	58.00	1 of 2 - Sale <sup>25</sup>
	900.000	7,838.94	10/22/21	7,648.92	...	190.02	2 of 2 - Sale <sup>25</sup>
10/22/21	1,100.000	9,856.92	Various	9,608.90	...	248.02	Total of 2 transactions
10/22/21	200.000	1,770.61	10/22/21	2,023.78	126.59 W	-126.58	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,627.53</b>		<b>11,632.68</b>	<b>126.59 W</b>	<b>121.44</b>	

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
11/10/21	100.000	9,627.17	11/10/21	10,826.00	...	-1,198.83	Sale <sup>25</sup>
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
02/11/21	1,250.000	3,474.90	02/04/21	2,687.50	...	787.40	Sale <sup>25</sup>
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	250.000	794.95	02/12/21	695.00	...	99.95	1 of 2 - Sale <sup>25</sup>
	450.000	1,430.92	02/12/21	1,291.46	...	139.46	2 of 2 - Sale <sup>25</sup>
02/17/21	700.000	2,225.87	Various	1,986.46	...	239.41	Total of 2 transactions
	<b>Security total:</b>	<b>5,700.77</b>		<b>4,673.96</b>	...	<b>1,026.81</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	3.600	2,647.70	11/04/20	1,643.10	...	1,004.60	1 of 2 - Sale <sup>25</sup>
	43.400	31,919.46	11/04/20	18,509.72	...	13,409.74	2 of 2 - Sale <sup>25</sup>
01/05/21	47.000	34,567.16	Various	20,152.82	...	14,414.34	Total of 2 transactions
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
06/18/21	500.000	3,189.92	06/15/21	2,464.30	...	725.62	Sale <sup>25</sup>
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol:							
	2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	572.000	2,871.35	07/02/20	426.65	...	2,444.70	1 of 2 - Sale <sup>25</sup>
	644.000	3,363.85	05/26/21	3,116.96	...	246.89	2 of 2 - Sale <sup>25</sup>
05/27/21	1,216.000	6,235.20	Various	3,543.61	...	2,691.59	Total of 2 transactions
10/04/21	1,000.000	3,061.16	05/26/21	4,840.00	266.83 W	-1,512.01	Sale <sup>25</sup>
	2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	200.000	598.97	05/26/21	968.00	369.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	589.97	05/26/21	968.00	378.03 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/21	400.000	1,188.94	Various	1,936.00	747.06 W	0.00	Total of 2 transactions
10/19/21	100.000	288.18	05/26/21	484.00	195.82 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	50.000	139.56	05/26/21	242.00	102.44 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	55.82	05/26/21	96.80	40.98 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol: (cont'd)							
	8.000	22.26	05/26/21	38.72	16.46 W	0.00	3 of 3 - Sale <sup>25</sup>
10/29/21	78.000	217.64	Various	377.52	159.88 W	0.00	Total of 3 transactions
	6 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	100.000	306.99	05/26/21	484.00	177.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	305.99	05/26/21	484.00	178.01 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	305.99	05/26/21	484.00	178.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	304.99	05/26/21	484.00	179.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	30.50	05/26/21	48.40	17.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	12.000	36.60	05/26/21	58.08	21.48 W	0.00	6 of 6 - Sale <sup>25</sup>
11/18/21	422.000	1,291.06	Various	2,042.48	751.42 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>12,282.18</b>		<b>13,223.61</b>	<b>2,121.01 W</b>	<b>1,179.58</b>	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
03/26/21	3.000	124.63	04/22/20	60.72	...	63.91	Sale <sup>25</sup>
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
01/22/21	500.000	8,554.15	01/04/21	14,862.50	...	-6,308.35	Sale <sup>25</sup>
<b>Totals :</b>		<b>551,525.94</b>		<b>619,515.34</b>	<b>47,045.28 W</b>	<b>-20,944.12</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHINA RECYCLING ENERGY CORPORATION COMMON STOCK / CUSIP: 168913309 / Symbol:							
07/02/21	1.000	8.72	11/13/17	5.65	...	3.07	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HERTZ GLOBAL HOLDINGS, INC WAR RANT / CUSIP: 42806J148 / Symbol:							
<i>2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	127.00	06/09/20	55.62	...	71.38	1 of 2 - Sale <sup>25</sup>
	13.000	163.28	06/09/20	72.31	...	90.97	2 of 2 - Sale <sup>25</sup>
10/14/21	23.000	290.28	Various	127.93	...	162.35	Total of 2 transactions
12/02/21	50.000	770.26	06/09/20	278.10	...	492.16	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,060.54</b>		<b>406.03</b>	...	<b>654.51</b>	
HERTZ GLOBAL HOLDINGS, INC COM MON STOCK / CUSIP: 42806J700 / Symbol:							
10/07/21	45.000	1,226.24	06/09/20	555.97	...	670.27	Sale <sup>25</sup>
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
07/02/21	1.000	18.70	04/06/20	4.75	...	13.95	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/26/21	15.000	577.94	07/02/20	138.41	...	439.53	Sale <sup>25</sup>
08/27/21	200.000	7,665.94	07/02/20	1,845.48	...	5,820.46	Sale <sup>25</sup>
<i>2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.</i>							
	85.000	3,182.69	07/02/20	784.33	...	2,398.36	1 of 2 - Sale <sup>25</sup>
	15.000	561.65	07/06/20	165.90	...	395.75	2 of 2 - Sale <sup>25</sup>
09/15/21	100.000	3,744.34	Various	950.23	...	2,794.11	Total of 2 transactions
10/13/21	19.000	687.51	07/06/20	210.14	...	477.37	Sale <sup>25</sup>
10/18/21	25.000	972.00	07/06/20	276.50	...	695.50	Sale <sup>25</sup>
<i>2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	160.04	07/06/20	44.24	...	115.80	1 of 2 - Sale <sup>25</sup>
	11.000	439.40	07/06/20	121.66	...	317.74	2 of 2 - Sale <sup>25</sup>
10/19/21	15.000	599.44	Various	165.90	...	433.54	Total of 2 transactions
10/21/21	10.000	403.51	07/06/20	110.60	...	292.91	Sale <sup>25</sup>
<i>2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>							
	32.000	1,249.75	07/06/20	353.92	...	895.83	1 of 2 - Sale <sup>25</sup>
	357.000	13,944.30	07/06/20	3,948.37	...	9,995.93	2 of 2 - Sale <sup>25</sup>
10/22/21	389.000	15,194.05	Various	4,302.29	...	10,891.76	Total of 2 transactions
	<b>Security total:</b>	<b>29,844.73</b>		<b>7,999.55</b>	...	<b>21,845.18</b>	

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Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
PALISADE BIO, INC. COMMON STOC K / CUSIP: 696389105 / Symbol:							
<i>5 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.621	7.08	12/05/17	863.77	...	-856.69	1 of 5 - Sale <sup>25</sup>
	1.669	4.51	12/06/17	548.00	...	-543.49	2 of 5 - Sale <sup>25</sup>
	0.834	2.25	12/06/17	274.00	...	-271.75	3 of 5 - Sale <sup>25</sup>
	0.459	1.24	12/06/17	150.70	...	-149.46	4 of 5 - Sale <sup>25</sup>
	0.417	1.13	12/06/17	137.00	...	-135.87	5 of 5 - Sale <sup>25</sup>
10/15/21	6.000	16.21	Various	1,973.47	...	-1,957.26	Total of 5 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/26/21	0.400	282.57	11/04/20	182.57	...	100.00	Sale <sup>25</sup>
22ND CENTURY GROUP, INC. COMM O N STOCK / CUSIP: 90137F103 / Symbol:							
<i>9 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	196.000	558.56	12/12/17	900.51	...	-341.95	1 of 9 - Sale <sup>25</sup>
	78.000	222.28	12/12/17	358.36	...	-136.08	2 of 9 - Sale <sup>25</sup>
	64.000	182.38	12/12/17	280.34	...	-97.96	3 of 9 - Sale <sup>25</sup>
	30.000	85.49	12/12/17	164.99	...	-79.50	4 of 9 - Sale <sup>25</sup>
	43.000	122.54	12/12/17	188.30	...	-65.76	5 of 9 - Sale <sup>25</sup>
	36.000	102.59	12/12/17	165.40	...	-62.81	6 of 9 - Sale <sup>25</sup>
	23.000	65.54	12/12/17	119.45	...	-53.91	7 of 9 - Sale <sup>25</sup>
	16.000	45.60	12/12/17	69.76	...	-24.16	8 of 9 - Sale <sup>25</sup>
	14.000	39.90	12/12/17	61.05	...	-21.15	9 of 9 - Sale <sup>25</sup>
02/04/21	500.000	1,424.88	Various	2,308.16	...	-883.28	Total of 9 transactions
<i>15 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	35.000	99.74	12/12/17	173.39	73.65 W	0.00	1 of 15 - Sale <sup>25</sup>
	12.000	34.20	12/12/17	59.45	25.25 W	0.00	2 of 15 - Sale <sup>25</sup>
	12.000	34.20	12/12/17	59.15	24.95 W	0.00	3 of 15 - Sale <sup>25</sup>
	26.000	74.10	12/12/17	128.16	54.06 W	0.00	4 of 15 - Sale <sup>25</sup>
	12.000	34.20	12/12/17	59.15	24.95 W	0.00	5 of 15 - Sale <sup>25</sup>
	12.000	34.20	12/12/17	59.15	24.95 W	0.00	6 of 15 - Sale <sup>25</sup>
	88.000	250.78	12/12/17	433.80	183.02 W	0.00	7 of 15 - Sale <sup>25</sup>
	22.000	62.70	12/12/17	108.45	45.75 W	0.00	8 of 15 - Sale <sup>25</sup>
	100.000	284.98	12/12/17	495.44	210.46 W	0.00	9 of 15 - Sale <sup>25</sup>
	62.000	176.69	12/12/17	305.62	128.93 W	0.00	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol: (cont'd)							
	4.000	11.40	12/12/17	19.82	8.42 W	0.00	11 of 15 - Sale <sup>25</sup>
	22.000	62.70	12/12/17	121.00	58.30 W	0.00	12 of 15 - Sale <sup>25</sup>
	70.000	199.49	12/12/17	384.97	185.48 W	0.00	13 of 15 - Sale <sup>25</sup>
	18.000	51.30	12/12/17	99.00	47.70 W	0.00	14 of 15 - Sale <sup>25</sup>
	5.000	14.25	12/12/17	27.50	13.25 W	0.00	15 of 15 - Sale <sup>25</sup>
02/04/21	500.000	1,424.93	Various	2,534.05	1,109.12 W	0.00	Total of 15 transactions
	4 transactions for 03/26/21. Total proceeds and cost reported to the IRS.						
	68.000	211.47	12/12/17	353.17	...	-141.70	1 of 4 - Sale <sup>25</sup>
	47.000	146.16	12/12/17	244.10	...	-97.94	2 of 4 - Sale <sup>25</sup>
	50.000	155.49	12/12/17	220.51	...	-65.02	3 of 4 - Sale <sup>25</sup>
	35.000	108.85	12/12/17	154.36	...	-45.51	4 of 4 - Sale <sup>25</sup>
03/26/21	200.000	621.97	Various	972.14	...	-350.17	Total of 4 transactions
	26 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	4.000	19.96	12/12/17	18.98	...	0.98	1 of 26 - Sale <sup>25</sup>
	2.000	9.98	12/12/17	7.00	...	2.98	2 of 26 - Sale <sup>25</sup>
	28.000	139.72	12/12/17	132.85	...	6.87	3 of 26 - Sale <sup>25</sup>
	51.000	254.48	12/12/17	243.75	...	10.73	4 of 26 - Sale <sup>25</sup>
	7.000	34.93	12/12/17	23.28	...	11.65	5 of 26 - Sale <sup>25</sup>
	10.000	49.90	12/12/17	34.90	...	15.00	6 of 26 - Sale <sup>25</sup>
	53.000	264.46	12/12/17	233.75	...	30.71	7 of 26 - Sale <sup>25</sup>
	34.000	169.65	12/12/17	119.01	...	50.64	8 of 26 - Sale <sup>25</sup>
	50.000	249.49	12/12/17	166.27	...	83.22	9 of 26 - Sale <sup>25</sup>
	38.000	189.61	12/12/17	105.08	...	84.53	10 of 26 - Sale <sup>25</sup>
	63.000	314.36	12/12/17	220.99	...	93.37	11 of 26 - Sale <sup>25</sup>
	43.000	214.56	12/12/17	118.89	...	95.67	12 of 26 - Sale <sup>25</sup>
	66.000	329.33	12/12/17	231.02	...	98.31	13 of 26 - Sale <sup>25</sup>
	100.000	498.99	12/12/17	350.02	...	148.97	14 of 26 - Sale <sup>25</sup>
	237.000	1,182.60	01/03/18	741.19	...	441.41	15 of 26 - Sale <sup>25</sup>
	350.000	1,746.45	01/03/18	1,092.00	...	654.45	16 of 26 - Sale <sup>25</sup>
	100.000	498.98	03/06/18	254.00	...	244.98	17 of 26 - Sale <sup>25</sup>
	100.000	498.99	03/06/18	254.00	...	244.99	18 of 26 - Sale <sup>25</sup>
	100.000	498.99	03/06/18	254.00	...	244.99	19 of 26 - Sale <sup>25</sup>
	100.000	498.98	03/06/18	253.50	...	245.48	20 of 26 - Sale <sup>25</sup>
	100.000	498.98	03/06/18	253.50	...	245.48	21 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol: (cont'd)							
	100.000	498.98	03/06/18	253.50	...	245.48	22 of 26 - Sale <sup>25</sup>
	100.000	498.99	03/06/18	253.50	...	245.49	23 of 26 - Sale <sup>25</sup>
	300.000	1,496.96	03/06/18	762.00	...	734.96	24 of 26 - Sale <sup>25</sup>
	100.000	498.99	03/13/18	274.00	...	224.99	25 of 26 - Sale <sup>25</sup>
	317.000	1,581.78	03/13/18	870.17	...	711.61	26 of 26 - Sale <sup>25</sup>
05/26/21	2,553.000	12,739.09	Various	7,521.15	...	5,217.94	Total of 26 transactions
	9 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	70.000	349.29	12/14/17	376.87	27.58 W	0.00	1 of 9 - Sale <sup>25</sup>
	35.000	174.65	12/14/17	188.31	13.66 W	0.00	2 of 9 - Sale <sup>25</sup>
	38.000	189.61	12/14/17	204.58	14.97 W	0.00	3 of 9 - Sale <sup>25</sup>
	27.000	134.73	12/14/17	145.25	10.52 W	0.00	4 of 9 - Sale <sup>25</sup>
	62.000	309.37	12/14/17	333.56	24.19 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	498.99	12/14/17	538.39	39.40 W	0.00	6 of 9 - Sale <sup>25</sup>
	30.000	149.70	12/14/17	161.49	11.79 W	0.00	7 of 9 - Sale <sup>25</sup>
	47.000	234.52	12/14/17	252.99	18.47 W	0.00	8 of 9 - Sale <sup>25</sup>
	38.000	189.61	12/14/17	204.44	14.83 W	0.00	9 of 9 - Sale <sup>25</sup>
05/26/21	447.000	2,230.47	Various	2,405.88	175.41 W	0.00	Total of 9 transactions
	25 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	100.000	502.48	03/13/18	274.50	...	227.98	1 of 25 - Sale <sup>25</sup>
	100.000	502.49	03/13/18	274.00	...	228.49	2 of 25 - Sale <sup>25</sup>
	383.000	1,924.52	03/13/18	1,051.33	...	873.19	3 of 25 - Sale <sup>25</sup>
	100.000	501.98	11/20/19	94.50	...	407.48	4 of 25 - Sale <sup>25</sup>
	100.000	502.48	11/20/19	94.50	...	407.98	5 of 25 - Sale <sup>25</sup>
	142.000	713.52	11/20/19	134.19	...	579.33	6 of 25 - Sale <sup>25</sup>
	192.000	963.81	11/20/19	181.44	...	782.37	7 of 25 - Sale <sup>25</sup>
	200.000	1,004.97	11/20/19	189.00	...	815.97	8 of 25 - Sale <sup>25</sup>
	222.000	1,114.41	11/20/19	209.79	...	904.62	9 of 25 - Sale <sup>25</sup>
	4.000	20.08	03/03/21	18.56	...	1.52	10 of 25 - Sale <sup>25</sup>
	12.000	60.24	03/03/21	55.67	...	4.57	11 of 25 - Sale <sup>25</sup>
	12.000	60.24	03/03/21	55.37	...	4.87	12 of 25 - Sale <sup>25</sup>
	12.000	60.24	03/03/21	55.37	...	4.87	13 of 25 - Sale <sup>25</sup>
	12.000	60.24	03/03/21	55.37	...	4.87	14 of 25 - Sale <sup>25</sup>
	22.000	110.44	03/03/21	101.52	...	8.92	15 of 25 - Sale <sup>25</sup>
	26.000	130.52	03/03/21	119.97	...	10.55	16 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol: (cont'd)							
	35.000	175.69	03/03/21	162.38	...	13.31	17 of 25 - Sale <sup>25</sup>
	62.000	311.23	03/03/21	286.10	...	25.13	18 of 25 - Sale <sup>25</sup>
	88.000	441.75	03/03/21	406.10	...	35.65	19 of 25 - Sale <sup>25</sup>
	100.000	501.98	03/03/21	463.96	...	38.02	20 of 25 - Sale <sup>25</sup>
	1.000	5.23	05/26/21	5.23	...	0.00	21 of 25 - Sale <sup>25</sup>
	5.000	26.12	05/26/21	25.02	...	1.10	22 of 25 - Sale <sup>25</sup>
	18.000	94.02	05/26/21	90.09	...	3.93	23 of 25 - Sale <sup>25</sup>
	22.000	114.91	05/26/21	110.11	...	4.80	24 of 25 - Sale <sup>25</sup>
	70.000	365.63	05/26/21	350.34	...	15.29	25 of 25 - Sale <sup>25</sup>
05/27/21	2,040.000	10,269.22	Various	4,864.41	...	5,404.81	Total of 25 transactions
	14 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	5.000	25.10	03/03/21	25.92	0.82 W	0.00	1 of 14 - Sale <sup>25</sup>
	18.000	90.36	03/03/21	93.33	2.97 W	0.00	2 of 14 - Sale <sup>25</sup>
	22.000	110.44	03/03/21	114.07	3.63 W	0.00	3 of 14 - Sale <sup>25</sup>
	70.000	351.39	03/03/21	362.93	11.54 W	0.00	4 of 14 - Sale <sup>25</sup>
	27.000	135.54	05/26/21	141.20	5.66 W	0.00	5 of 14 - Sale <sup>25</sup>
	35.000	175.69	05/26/21	183.06	7.37 W	0.00	6 of 14 - Sale <sup>25</sup>
	38.000	190.75	05/26/21	198.75	8.00 W	0.00	7 of 14 - Sale <sup>25</sup>
	38.000	190.75	05/26/21	198.89	8.14 W	0.00	8 of 14 - Sale <sup>25</sup>
	62.000	311.23	05/26/21	324.27	13.04 W	0.00	9 of 14 - Sale <sup>25</sup>
	6.000	30.12	05/26/21	31.40	1.28 W	0.00	10 of 14 - Sale <sup>25</sup>
	30.000	156.70	05/26/21	156.99	0.29 W	0.00	11 of 14 - Sale <sup>25</sup>
	47.000	245.50	05/26/21	245.95	0.45 W	0.00	12 of 14 - Sale <sup>25</sup>
	70.000	365.63	05/26/21	366.38	0.75 W	0.00	13 of 14 - Sale <sup>25</sup>
	93.000	485.77	05/26/21	486.77	1.00 W	0.00	14 of 14 - Sale <sup>25</sup>
05/27/21	561.000	2,864.97	Various	2,929.91	64.94 W	0.00	Total of 14 transactions
	6 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	18.000	54.90	05/28/21	94.51	39.61 W	0.00	1 of 6 - Sale <sup>25</sup>
	32.000	97.28	05/28/21	168.01	70.73 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	45.90	05/28/21	78.74	32.84 W	0.00	3 of 6 - Sale <sup>25</sup>
	35.000	107.09	05/28/21	183.77	76.68 W	0.00	4 of 6 - Sale <sup>25</sup>
	38.000	116.28	05/28/21	199.52	83.24 W	0.00	5 of 6 - Sale <sup>25</sup>
	12.000	36.72	05/28/21	63.00	26.28 W	0.00	6 of 6 - Sale <sup>25</sup>
11/18/21	150.000	458.17	Various	787.55	329.38 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 106504426

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
22ND CENTURY GROUP, INC. COMMO N STOCK / CUSIP: 90137F103 / Symbol: (cont'd)							
	<b>Security total:</b>	<b>32,033.70</b>		<b>24,323.25</b>	<b>1,678.85 W</b>		<b>9,389.30</b>
<b>Totals :</b>		<b>64,491.41</b>		<b>35,451.24</b>	<b>1,678.85 W</b>		<b>30,719.02</b>

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Robinhood Securities LLC

Account 106504426

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PALISADE BIO, INC. COMMON STOC K / CUSIP: 696389105 / Symbol:							
05/04/21	0.667	6.00	12/05/17	219.73	...	-213.73	Gain or loss
<b>Totals:</b>		<b>6.00</b>		<b>219.73</b>	...	<b>-213.73</b>	



Robinhood Securities LLC

Account 106504426

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/13/21	-5.00	Margin interest paid	
		01/13/21	-29.87	Margin interest paid	
		02/12/21	-5.00	Margin interest paid	
		02/12/21	-40.91	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		03/15/21	-38.75	Margin interest paid	
		04/13/21	-5.00	Margin interest paid	
		04/13/21	-38.18	Margin interest paid	
		05/13/21	-5.00	Margin interest paid	
		05/13/21	-36.34	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		06/14/21	-23.22	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		07/12/21	-33.18	Margin interest paid	
			-275.45	Total Margin interest paid	
Margin charge		08/11/21	-5.00	Margin interest paid	
		08/11/21	-37.88	Margin interest paid	
		09/10/21	-5.00	Margin interest paid	
		09/10/21	-42.15	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		10/11/21	-50.03	Margin interest paid	
		11/09/21	-5.00	Margin interest paid	
		11/09/21	-40.23	Margin interest paid	
		12/09/21	-5.00	Margin interest paid	
		12/09/21	-25.05	Margin interest paid	
			-220.34	Total Margin interest paid	
			<b>-495.79</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 106504426

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK	42806J106	07/01/21	-500.00	0.00	Merger	
HERTZ GLOBAL HOLDINGS, INC WAR RANT	42806J148	07/01/21 07/06/21	322.00 1.00	0.00 0.00	Merger Merger	
HERTZ GLOBAL HOLDINGS, INC COMMON STOCK	42806J700	07/01/21	45.00	0.00	Merger	
META METALS INC PREFERRED (SERIES A)	59134N203	07/07/21	500.00	0.00	Stock spinoff	
PALI CONTINGENT VALUE RIGHT (CVR)	696CVR018	05/03/21	40.00	0.00	Stock spinoff	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

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checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.