

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 836106765	Statement Date: 02/11/2022 Document ID: W0E7 V78 02C9	2021
	Rohit Saidugari 2022 Staats Way 2022 Santa Clara, CA 95050 RECIPIENT'S TIN: XXX-XX-1926		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.22</p> <p>1b- Qualified dividends 0.22</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	86,359.41	89,160.37	0.00	3,714.64	913.68
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	86,359.41	89,160.37	0.00	3,714.64	913.68
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	86,359.41	89,160.37	0.00	3,714.64	913.68
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/21/2022 CALL \$150.00 / CUSIP: / Symbol:							
08/06/21	1.000	850.98	07/28/21	1,055.02	...	-204.04	Option sale to close-call ²⁵
08/06/21	1.000	849.98	07/26/21	1,085.00	235.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,700.96		2,140.02	235.02 W	-204.04	
AAPL 02/18/2022 CALL \$170.00 / CUSIP: / Symbol:							
11/19/21	1.000	489.98	11/19/21	505.00	...	-15.02	Option sale to close-call ²⁵
AAPL 03/18/2022 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	1.000	329.98	12/07/21	259.00	...	70.98	1 of 2 - Option sale to close-call ²⁵
	1.000	329.98	12/07/21	259.00	...	70.98	2 of 2 - Option sale to close-call ²⁵
12/08/21	2.000	659.96	Various	518.00	...	141.96	Total of 2 transactions

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Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/18/2022 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	324.99	12/10/21	350.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	1.000	324.99	12/22/21	239.00	...	85.99	2 of 2 - Option sale to close-call ²⁵
12/27/21	2.000	649.98	Various	589.00	...	60.98	Total of 2 transactions
	Security total:	1,309.94		1,107.00	...	202.94	
AAPL 04/23/2021 CALL \$133.00 / CUSIP: / Symbol:							
04/12/21	1.000	219.98	04/09/21	214.00	...	5.98	Option sale to close-call ²⁵
AAPL 04/23/2021 CALL \$136.00 / CUSIP: / Symbol:							
04/15/21	1.000	167.98	04/14/21	147.00	...	20.98	Option sale to close-call ²⁵
AAPL 04/23/2021 CALL \$137.00 / CUSIP: / Symbol:							
04/15/21	1.000	141.98	04/14/21	109.00	...	32.98	Option sale to close-call ²⁵
AAPL 04/30/2021 CALL \$135.00 / CUSIP: / Symbol:							
04/15/21	1.000	389.98	04/14/21	385.00	...	4.98	Option sale to close-call ²⁵
AAPL 06/11/2021 CALL \$128.00 / CUSIP: / Symbol:							
05/24/21	1.000	221.98	05/24/21	230.00	...	-8.02	Option sale to close-call ²⁵
AAPL 06/18/2021 CALL \$130.00 / CUSIP: / Symbol:							
06/16/21	2.000	205.98	06/15/21	220.00	14.02 W	0.00	Option sale to close-call ²⁵
06/18/21	2.000	253.98	06/17/21	170.02	...	83.96	Option sale to close-call ²⁵
	Security total:	459.96		390.02	14.02 W	83.96	
AAPL 06/25/2021 CALL \$133.00 / CUSIP: / Symbol:							
06/22/21	2.000	299.98	06/22/21	150.00	...	149.98	Option sale to close-call ²⁵
AAPL 10/15/2021 CALL \$145.00 / CUSIP: / Symbol:							
05/12/21	1.000	272.98	05/04/21	430.00	...	-157.02	Option sale to close-call ²⁵
AAPL 12/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/28/21	1.000	684.98	07/08/21	710.00	...	-25.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AHCO 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	114.98	04/13/21	110.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	144.98	04/13/21	110.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
04/14/21	2.000	259.96	Various	220.00	...	39.96	Total of 2 transactions
AMC 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	270.99	05/26/21	254.00	...	16.99	1 of 2 - Option sale to close-call ²⁵
	1.000	270.99	05/26/21	254.00	...	16.99	2 of 2 - Option sale to close-call ²⁵
05/27/21	2.000	541.98	Various	508.00	...	33.98	Total of 2 transactions
AMD 06/04/2021 PUT \$69.00 / CUSIP: / Symbol:							
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	05/19/21	114.02	...	-98.03	1 of 2 - Option sale to close-put ²⁵
	1.000	15.99	05/19/21	92.00	...	-76.01	2 of 2 - Option sale to close-put ²⁵
05/21/21	2.000	31.98	Various	206.02	...	-174.04	Total of 2 transactions
05/21/21	1.000	15.98	05/19/21	92.00	76.02 W	0.00	Option sale to close-put ²⁵
	Security total:	47.96		298.02	76.02 W	-174.04	
ARKG 12/17/2021 CALL \$94.21 / CUSIP: / Symbol:							
06/29/21	1.000	809.98	06/28/21	800.00	...	9.98	Option sale to close-call ²⁵
ARKK 01/21/2022 CALL \$137.96 / CUSIP: / Symbol:							
08/04/21	1.000	538.98	07/30/21	555.00	...	-16.02	Option sale to close-call ²⁵
ARKK 06/04/2021 PUT \$108.00 / CUSIP: / Symbol:							
06/03/21	1.000	94.98	06/03/21	120.00	...	-25.02	Option sale to close-put ²⁵
ARKK 06/11/2021 PUT \$100.00 / CUSIP: / Symbol:							
05/11/21	1.000	599.98	05/10/21	430.00	...	169.98	Option sale to close-put ²⁵
ARKK 06/18/2021 CALL \$111.00 / CUSIP: / Symbol:							
06/03/21	1.000	250.98	06/02/21	365.00	...	-114.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ARKK 06/18/2021 CALL \$140.00 / CUSIP: / Symbol:							
04/16/21	1.000	269.98	04/16/21	285.00	...	-15.02	Option sale to close-call ²⁵
ARKK 06/18/2021 PUT \$111.00 / CUSIP: / Symbol:							
06/16/21	1.000	54.98	06/16/21	129.00	...	-74.02	Option sale to close-put ²⁵
ARKK 07/16/2021 CALL \$130.00 / CUSIP: / Symbol:							
06/28/21	1.000	379.98	06/28/21	360.00	...	19.98	Option sale to close-call ²⁵
ARKK 09/17/2021 CALL \$125.00 / CUSIP: / Symbol:							
06/25/21	2.000	1,559.98	06/16/21	900.00	...	659.98	Option sale to close-call ²⁵
ARKK 09/17/2021 CALL \$137.00 / CUSIP: / Symbol:							
05/10/21	1.000	189.98	04/29/21	630.00	440.02 W	0.00	Option sale to close-call ²⁵
05/11/21	1.000	194.98	05/06/21	875.04	...	-680.06	Option sale to close-call ²⁵
05/11/21	1.000	194.98	05/04/21	800.02	605.04 W	0.00	Option sale to close-call ²⁵
Security total:		579.94		2,305.06	1,045.06 W	-680.06	
ARKK 12/17/2021 CALL \$112.00 / CUSIP: / Symbol:							
05/27/21	1.000	1,199.98	05/26/21	1,190.00	...	9.98	Option sale to close-call ²⁵
ARKK 12/17/2021 CALL \$115.00 / CUSIP: / Symbol:							
<i>3 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,689.98	05/28/21	1,210.00	...	479.98	1 of 3 - Option sale to close-call ²⁵
	1.000	1,689.99	05/28/21	1,210.00	...	479.99	2 of 3 - Option sale to close-call ²⁵
	1.000	1,689.99	05/28/21	1,210.00	...	479.99	3 of 3 - Option sale to close-call ²⁵
06/25/21	3.000	5,069.96	Various	3,630.00	...	1,439.96	Total of 3 transactions
ARKK 12/17/2021 CALL \$136.00 / CUSIP: / Symbol:							
06/28/21	2.000	1,799.98	06/28/21	1,820.00	20.02 W	0.00	Option sale to close-call ²⁵
06/29/21	2.000	1,919.98	06/28/21	1,890.02	...	29.96	Option sale to close-call ²⁵
Security total:		3,719.96		3,710.02	20.02 W	29.96	

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BA 06/18/2021 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	222.98	05/27/21	325.00	...	-102.02	1 of 2 - Option sale to close-call ²⁵
	1.000	233.98	05/27/21	325.00	...	-91.02	2 of 2 - Option sale to close-call ²⁵
05/28/21	2.000	456.96	Various	650.00	...	-193.04	Total of 2 transactions
CCIV 06/11/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/21/21	1.000	129.98	05/20/21	128.00	...	1.98	Option sale to close-call ²⁵
CCL 05/28/2021 CALL \$28.50 / CUSIP: / Symbol:							
05/25/21	1.000	78.98	05/24/21	44.00	...	34.98	Option sale to close-call ²⁵
DDOG 05/21/2021 CALL \$80.00 / CUSIP: / Symbol:							
05/18/21	1.000	268.98	05/17/21	180.00	...	88.98	Option sale to close-call ²⁵
DIS 05/14/2021 CALL \$190.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.98	05/13/21	64.00	...	-63.02	Option sale to close-call ²⁵
FB 01/21/2022 CALL \$375.00 / CUSIP: / Symbol:							
08/06/21	1.000	2,259.97	07/27/21	3,100.00	...	-840.03	Option sale to close-call ²⁵
FB 02/18/2022 CALL \$355.00 / CUSIP: / Symbol:							
07/23/21	1.000	3,669.97	06/29/21	3,500.00	...	169.97	Option sale to close-call ²⁵
FB 03/18/2022 CALL \$435.00 / CUSIP: / Symbol:							
11/19/21	1.000	684.98	11/05/21	480.00	...	204.98	Option sale to close-call ²⁵
FB 03/18/2022 CALL \$470.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	404.99	11/08/21	305.00	...	99.99	1 of 2 - Option sale to close-call ²⁵
	1.000	404.99	11/12/21	254.00	...	150.99	2 of 2 - Option sale to close-call ²⁵
11/19/21	2.000	809.98	Various	559.00	...	250.98	Total of 2 transactions
12/27/21	1.000	106.00	12/02/21	101.00	...	5.00	Option sale to close-call ²⁵
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	105.99	11/23/21	305.00	199.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	105.99	12/09/21	121.00	15.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/27/21	2.000	211.98	Various	426.00	214.02 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 03/18/2022 CALL \$470.00 / CUSIP: / Symbol: (cont'd)							
Security total:		1,127.96		1,086.00	214.02 W	255.98	
FB 05/28/2021 CALL \$320.00 / CUSIP: / Symbol:							
05/21/21	1.000	349.98	05/20/21	440.00	...	-90.02	Option sale to close-call ²⁵
FB 10/15/2021 CALL \$340.00 / CUSIP: / Symbol:							
07/23/21	1.000	3,099.97	07/19/21	2,025.00	...	1,074.97	Option sale to close-call ²⁵
FB 12/17/2021 CALL \$390.00 / CUSIP: / Symbol:							
08/06/21	1.000	1,379.98	08/03/21	995.00	...	384.98	Option sale to close-call ²⁵
GDRX 10/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/21/21	1.000	329.98	05/14/21	255.00	...	74.98	Option sale to close-call ²⁵
GLD 06/25/2021 CALL \$175.00 / CUSIP: / Symbol:							
05/19/21	1.000	419.98	05/17/21	320.00	...	99.98	Option sale to close-call ²⁵
GLD 12/31/2021 CALL \$178.00 / CUSIP: / Symbol:							
05/28/21	1.000	839.98	05/27/21	840.00	...	-0.02	Option sale to close-call ²⁵
GLUU 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
02/12/21	1.000	14.98	02/09/21	30.00	...	-15.02	Option sale to close-call ²⁵
GLUU 03/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
02/12/21	1.000	9.98	02/09/21	10.00	...	-0.02	Option sale to close-call ²⁵
GME 11/19/2021 PUT \$3.00 / CUSIP: / Symbol:							
02/12/21	2.000	45.98	01/27/21	162.00	...	-116.02	Option sale to close-put ²⁵
HD 05/21/2021 PUT \$310.00 / CUSIP: / Symbol:							
05/20/21	1.000	20.98	05/19/21	285.00	...	-264.02	Option sale to close-put ²⁵
INTC 06/25/2021 CALL \$57.50 / CUSIP: / Symbol:							
06/16/21	1.000	119.98	06/11/21	111.00	...	8.98	Option sale to close-call ²⁵

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Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IWM 05/28/2021 CALL \$230.00 / CUSIP: / Symbol:							
05/21/21	1.000	23.98	05/20/21	90.02	...	-66.04	Option sale to close-call ²⁵
05/21/21	1.000	22.98	05/18/21	92.00	69.02 W	0.00	Option sale to close-call ²⁵
	Security total:	46.96		182.02	69.02 W	-66.04	
KO 06/04/2021 CALL \$55.50 / CUSIP: / Symbol:							
	<i>2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	349.97	05/27/21	350.00	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	20.000	779.95	05/27/21	700.00	...	79.95	2 of 2 - Option sale to close-call ²⁵
05/28/21	30.000	1,129.92	Various	1,050.00	...	79.92	Total of 2 transactions
LCID 05/20/2022 CALL \$60.00 / CUSIP: / Symbol:							
12/07/21	1.000	844.98	11/19/21	1,295.00	...	-450.02	Option sale to close-call ²⁵
MSFT 01/21/2022 CALL \$290.00 / CUSIP: / Symbol:							
08/06/21	1.000	1,594.98	07/27/21	1,655.00	...	-60.02	Option sale to close-call ²⁵
MSFT 01/21/2022 CALL \$380.00 / CUSIP: / Symbol:							
12/09/21	1.000	55.98	11/08/21	156.00	...	-100.02	Option sale to close-call ²⁵
MSFT 02/18/2022 CALL \$380.00 / CUSIP: / Symbol:							
11/08/21	1.000	304.98	10/29/21	206.00	...	98.98	Option sale to close-call ²⁵
MSFT 06/25/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/16/21	1.000	259.98	06/14/21	242.00	...	17.98	Option sale to close-call ²⁵
MSFT 07/16/2021 CALL \$270.00 / CUSIP: / Symbol:							
	<i>3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	149.98	06/11/21	135.00	...	14.98	1 of 3 - Option sale to close-call ²⁵
	1.000	149.98	06/11/21	135.00	...	14.98	2 of 3 - Option sale to close-call ²⁵
	1.000	150.98	06/11/21	135.00	...	15.98	3 of 3 - Option sale to close-call ²⁵
06/16/21	3.000	450.94	Various	405.00	...	45.94	Total of 3 transactions
MSFT 11/19/2021 CALL \$270.00 / CUSIP: / Symbol:							
07/13/21	1.000	2,229.97	06/29/21	1,445.00	...	784.97	Option sale to close-call ²⁵

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Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MVIS 06/11/2021 CALL \$23.00 / CUSIP: / Symbol:							
06/11/21	1.000	14.98	06/10/21	115.00	...	-100.02	Option sale to close-call ²⁵
MVIS 06/11/2021 CALL \$24.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.98	06/10/21	165.00	...	-160.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	06/10/21	165.00	...	-160.02	2 of 2 - Option sale to close-call ²⁵
06/11/21	2.000	9.96	Various	330.00	...	-320.04	Total of 2 transactions
NKE 01/21/2022 CALL \$170.00 / CUSIP: / Symbol:							
08/03/21	1.000	1,164.98	07/30/21	1,080.00	...	84.98	Option sale to close-call ²⁵
NKE 04/16/2021 CALL \$155.00 / CUSIP: / Symbol:							
02/26/21	1.000	147.98	12/28/20	425.00	277.02 W	0.00	Option sale to close-call ²⁵
03/12/21	1.000	135.98	02/22/21	461.02	325.04 W	0.00	Option sale to close-call ²⁵
03/15/21	1.000	228.98	02/23/21	448.04	...	-219.06	Option sale to close-call ²⁵
Security total:		512.94		1,334.06	602.06 W	-219.06	
NVDA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol:							
05/20/21	1.000	81.98	05/20/21	128.00	46.02 W	0.00	Option sale to close-call ²⁵
05/21/21	1.000	919.98	05/20/21	154.02	...	765.96	Option sale to close-call ²⁵
Security total:		1,001.96		282.02	46.02 W	765.96	
NVDA 06/04/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/04/21	1.000	379.98	06/04/21	329.00	...	50.98	Option sale to close-call ²⁵
OCGN 06/18/2021 CALL \$17.50 / CUSIP: / Symbol:							
05/11/21	1.000	105.98	05/10/21	139.00	...	-33.02	Option sale to close-call ²⁵
OCGN 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/11/21	1.000	21.98	05/10/21	49.00	...	-27.02	Option sale to close-call ²⁵
OCGN 07/02/2021 CALL \$7.00 / CUSIP: / Symbol:							
06/14/21	2.000	149.98	06/11/21	248.00	...	-98.02	Option sale to close-call ²⁵
OCGN 07/02/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/14/21	2.000	131.98	06/10/21	328.00	...	-196.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/28/21	2.000	599.98	05/27/21	554.00	...	45.98	Option sale to close-call ²⁵
OCGN 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
<i>5 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	160.00	05/24/21	169.00	...	-9.00	1 of 5 - Option sale to close-call ²⁵
	1.000	159.99	05/26/21	160.00	...	-0.01	2 of 5 - Option sale to close-call ²⁵
	1.000	160.00	05/26/21	156.00	...	4.00	3 of 5 - Option sale to close-call ²⁵
	1.000	159.99	05/26/21	147.00	...	12.99	4 of 5 - Option sale to close-call ²⁵
	1.000	160.00	05/26/21	147.00	...	13.00	5 of 5 - Option sale to close-call ²⁵
05/27/21	5.000	799.98	Various	779.00	...	20.98	Total of 5 transactions
OCGN 12/31/2021 CALL \$6.00 / CUSIP: / Symbol:							
12/15/21	1.000	43.98	12/14/21	22.00	...	21.98	Option sale to close-call ²⁵
PIRS 07/16/2021 PUT \$2.50 / CUSIP: / Symbol:							
05/27/21	1.000	19.98	05/26/21	40.00	...	-20.02	Option sale to close-put ²⁵
PLTR 01/22/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/15/21	1.000	80.98	12/21/20	280.00	...	-199.02	Option sale to close-call ²⁵
PLTR 04/23/2021 CALL \$24.50 / CUSIP: / Symbol:							
<i>16 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	19.99	04/16/21	110.01	...	-90.02	1 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	68.00	...	-58.00	2 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	62.00	...	-52.00	3 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	61.00	...	-51.00	4 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	61.00	...	-51.00	5 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	51.01	...	-41.01	6 of 16 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 04/23/2021 CALL \$24.50 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	04/16/21	51.00	...	-41.00	7 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	47.00	...	-37.00	8 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	47.00	...	-37.00	9 of 16 - Option sale to close-call ²⁵
	1.000	9.99	04/16/21	46.01	...	-36.02	10 of 16 - Option sale to close-call ²⁵
	2.000	19.99	04/16/21	54.00	...	-34.01	11 of 16 - Option sale to close-call ²⁵
	1.000	9.99	04/16/21	20.00	...	-10.01	12 of 16 - Option sale to close-call ²⁵
	1.000	9.99	04/16/21	20.00	...	-10.01	13 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	20.00	...	-10.00	14 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	20.00	...	-10.00	15 of 16 - Option sale to close-call ²⁵
	1.000	10.00	04/16/21	20.00	...	-10.00	16 of 16 - Option sale to close-call ²⁵
04/19/21	18.000	179.95	Various	758.03	...	-578.08	Total of 16 transactions
	9 transactions for 04/19/21. Total proceeds and cost reported to the IRS.						
	1.000	10.00	04/15/21	49.00	39.00 W	0.00	1 of 9 - Option sale to close-call ²⁵
	1.000	10.00	04/15/21	43.00	33.00 W	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	10.00	04/15/21	42.00	32.00 W	0.00	3 of 9 - Option sale to close-call ²⁵
	1.000	10.00	04/15/21	42.00	32.00 W	0.00	4 of 9 - Option sale to close-call ²⁵
	2.000	19.99	04/15/21	76.00	56.01 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	10.00	04/15/21	30.00	20.00 W	0.00	6 of 9 - Option sale to close-call ²⁵
	1.000	10.00	04/15/21	30.00	20.00 W	0.00	7 of 9 - Option sale to close-call ²⁵
	2.000	19.99	04/16/21	68.00	48.01 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	9.99	04/16/21	29.00	19.01 W	0.00	9 of 9 - Option sale to close-call ²⁵
04/19/21	11.000	109.97	Various	409.00	299.03 W	0.00	Total of 9 transactions
	Security total:	289.92		1,167.03	299.03 W	-578.08	

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2021 1099-B* OMB No. 1545-0715

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PLTR 05/14/2021 PUT \$17.00 / CUSIP: / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	2.000	53.98	05/10/21	100.00	...	-46.02	1 of 3 - Option sale to close-put ²⁵
	1.000	26.99	05/10/21	50.00	...	-23.01	2 of 3 - Option sale to close-put ²⁵
	1.000	26.99	05/10/21	50.00	...	-23.01	3 of 3 - Option sale to close-put ²⁵
05/11/21	4.000	107.96	Various	200.00	...	-92.04	Total of 3 transactions
PLUG 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
04/13/21	3.000	176.98	04/13/21	153.00	...	23.98	Option sale to close-call ²⁵
RBLX 06/18/2021 CALL \$100.00 / CUSIP: / Symbol:							
06/14/21	1.000	79.98	06/11/21	162.00	...	-82.02	Option sale to close-call ²⁵
SNAP 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
07/23/21	1.000	1,399.98	06/29/21	920.00	...	479.98	Option sale to close-call ²⁵
SPCE 07/02/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/25/21	1.000	213.98	05/24/21	215.00	...	-1.02	Option sale to close-call ²⁵
SPY 01/03/2022 CALL \$475.00 / CUSIP: / Symbol:							
12/22/21	1.000	98.98	12/17/21	106.00	...	-7.02	Option sale to close-call ²⁵
SPY 01/21/2022 CALL \$497.00 / CUSIP: / Symbol:							
11/19/21	1.000	125.98	11/16/21	134.00	...	-8.02	Option sale to close-call ²⁵
SPY 04/23/2021 CALL \$422.00 / CUSIP: / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	3.000	89.99	04/19/21	126.00	...	-36.01	1 of 2 - Option sale to close-call ²⁵
	1.000	30.00	04/19/21	42.00	...	-12.00	2 of 2 - Option sale to close-call ²⁵
04/19/21	4.000	119.99	Various	168.00	...	-48.01	Total of 2 transactions
04/19/21	2.000	59.99	04/19/21	80.00	20.01 W	0.00	Option sale to close-call ²⁵
04/21/21	2.000	15.98	04/19/21	104.01	...	-88.03	Option sale to close-call ²⁵
	Security total:	195.96		352.01	20.01 W	-136.04	
SPY 04/30/2021 CALL \$402.00 / CUSIP: / Symbol:							
03/26/21	1.000	385.98	03/19/21	326.00	...	59.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 04/30/2021 CALL \$407.50 / CUSIP: / Symbol:							
04/05/21	1.000	519.98	04/05/21	540.00	...	-20.02	Option sale to close-call ²⁵
SPY 04/30/2021 CALL \$410.00 / CUSIP: / Symbol:							
04/01/21	1.000	179.98	04/01/21	183.00	...	-3.02	Option sale to close-call ²⁵
SPY 04/30/2021 CALL \$413.00 / CUSIP: / Symbol:							
04/15/21	1.000	487.98	04/14/21	445.00	...	42.98	Option sale to close-call ²⁵
SPY 05/14/2021 CALL \$410.00 / CUSIP: / Symbol:							
	<i>3 transactions for 05/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	280.99	05/13/21	189.00	...	91.99	1 of 3 - Option sale to close-call ²⁵
	1.000	280.99	05/13/21	189.00	...	91.99	2 of 3 - Option sale to close-call ²⁵
	1.000	281.00	05/13/21	189.00	...	92.00	3 of 3 - Option sale to close-call ²⁵
05/13/21	3.000	842.98	Various	567.00	...	275.98	Total of 3 transactions
SPY 05/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
05/28/21	4.000	483.98	05/27/21	300.00	...	183.98	Option sale to close-call ²⁵
SPY 06/02/2021 PUT \$385.00 / CUSIP: / Symbol:							
05/04/21	1.000	208.98	05/04/21	217.00	...	-8.02	Option sale to close-put ²⁵
SPY 06/07/2021 PUT \$420.00 / CUSIP: / Symbol:							
06/07/21	1.000	0.98	06/04/21	30.00	...	-29.02	Option sale to close-put ²⁵
SPY 06/11/2021 CALL \$417.50 / CUSIP: / Symbol:							
06/04/21	1.000	495.98	06/03/21	353.00	...	142.98	Option sale to close-call ²⁵
SPY 06/16/2021 PUT \$424.00 / CUSIP: / Symbol:							
06/15/21	1.000	112.98	06/15/21	111.00	...	1.98	Option sale to close-put ²⁵
SPY 06/18/2021 PUT \$402.00 / CUSIP: / Symbol:							
05/13/21	1.000	861.98	05/12/21	818.00	...	43.98	Option sale to close-put ²⁵
SPY 06/28/2021 CALL \$420.00 / CUSIP: / Symbol:							
06/21/21	1.000	175.98	06/18/21	206.00	...	-30.02	Option sale to close-call ²⁵

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SPY 06/28/2021 CALL \$427.00 / CUSIP: / Symbol:							
06/28/21	1.000	60.98	06/25/21	83.00	...	-22.02	Option sale to close-call ²⁵
SPY 06/30/2021 CALL \$426.00 / CUSIP: / Symbol:							
06/16/21	1.000	146.98	06/16/21	158.00	...	-11.02	Option sale to close-call ²⁵
SPY 07/06/2021 CALL \$420.00 / CUSIP: / Symbol:							
06/02/21	1.000	619.98	06/01/21	621.00	...	-1.02	Option sale to close-call ²⁵
SPY 09/17/2021 CALL \$410.00 / CUSIP: / Symbol:							
04/05/21	1.000	1,444.98	01/04/21	630.00	...	814.98	Option sale to close-call ²⁵
SPY 10/15/2021 CALL \$440.00 / CUSIP: / Symbol:							
05/07/21	1.000	854.98	04/30/21	766.00	...	88.98	Option sale to close-call ²⁵
SPY 12/31/2021 CALL \$443.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	920.98	05/12/21	884.00	...	36.98	1 of 2 - Option sale to close-call ²⁵
	1.000	903.98	05/12/21	849.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
05/21/21	2.000	1,824.96	Various	1,733.00	...	91.96	Total of 2 transactions
TSLA 05/14/2021 CALL \$665.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	141.99	05/11/21	400.00	...	-258.01	1 of 2 - Option sale to close-call ²⁵
	1.000	141.99	05/11/21	400.00	...	-258.01	2 of 2 - Option sale to close-call ²⁵
05/12/21	2.000	283.98	Various	800.00	...	-516.02	Total of 2 transactions
TSLA 05/14/2021 CALL \$680.00 / CUSIP: / Symbol:							
05/12/21	1.000	73.98	05/11/21	123.00	...	-49.02	Option sale to close-call ²⁵
TSLA 05/21/2021 PUT \$525.00 / CUSIP: / Symbol:							
05/14/21	1.000	809.98	05/14/21	800.00	...	9.98	Option sale to close-put ²⁵
TSLA 08/06/2021 CALL \$730.00 / CUSIP: / Symbol:							
07/30/21	1.000	484.98	07/30/21	510.00	...	-25.02	Option sale to close-call ²⁵

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TTCF 06/18/2021 CALL \$22.50 / CUSIP: / Symbol:							
05/27/21	1.000	94.98	05/26/21	180.02	...	-85.04	Option sale to close-call ²⁵
05/27/21	1.000	94.98	05/26/21	140.00	45.02 W	0.00	Option sale to close-call ²⁵
	Security total:	189.96		320.02	45.02 W	-85.04	
UBER 05/21/2021 CALL \$47.50 / CUSIP: / Symbol:							
05/17/21	1.000	82.98	05/14/21	106.00	...	-23.02	Option sale to close-call ²⁵
UXIN 05/21/2021 CALL \$3.00 / CUSIP: / Symbol:							
04/28/21	1.000	74.98	04/28/21	65.00	...	9.98	Option sale to close-call ²⁵
UXIN 05/21/2021 CALL \$6.00 / CUSIP: / Symbol:							
04/28/21	2.000	49.98	04/28/21	50.00	...	-0.02	Option sale to close-call ²⁵
WKHS 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/13/21	1.000	67.98	01/07/21	107.00	...	-39.02	Option sale to close-call ²⁵
WMT 06/18/2021 CALL \$145.00 / CUSIP: / Symbol:							
05/21/21	1.000	156.98	05/18/21	429.04	...	-272.06	Option sale to close-call ²⁵
	2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	1.000	155.98	05/18/21	325.00	169.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	156.98	05/18/21	399.02	242.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/21/21	2.000	312.96	Various	724.02	411.06 W	0.00	Total of 2 transactions
	Security total:	469.94		1,153.06	411.06 W	-272.06	
X 06/25/2021 CALL \$24.00 / CUSIP: / Symbol:							
06/22/21	2.000	93.98	06/21/21	188.02	...	-94.04	Option sale to close-call ²⁵
06/22/21	2.000	93.98	06/21/21	140.00	46.02 W	0.00	Option sale to close-call ²⁵
	Security total:	187.96		328.02	46.02 W	-94.04	
XLF 07/16/2021 CALL \$38.00 / CUSIP: / Symbol:							
	2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	1.000	90.98	05/18/21	111.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	91.98	05/18/21	111.00	...	-19.02	2 of 2 - Option sale to close-call ²⁵
05/21/21	2.000	182.96	Various	222.00	...	-39.04	Total of 2 transactions

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(continued)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	100.000	3,380.63	05/28/21	3,424.19	...	-43.56	1 of 2 - Sale ²⁵
	25.000	845.15	05/28/21	835.50	...	9.65	2 of 2 - Sale ²⁵
05/28/21	125.000	4,225.78	Various	4,259.69	...	-33.91	Total of 2 transactions
ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol:							
02/04/21	6.000	70.92	02/04/21	73.20	...	-2.28	Sale ²⁵
ADIAL PHARMACEUTICALS, INC COM MON STOCK / CUSIP: 00688A106 / Symbol:							
4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	30.000	89.39	02/08/21	91.14	...	-1.75	1 of 4 - Sale ²⁵
	5.000	14.90	02/12/21	14.23	...	0.67	2 of 4 - Sale ²⁵
	7.000	20.86	02/12/21	19.60	...	1.26	3 of 4 - Sale ²⁵
	10.000	29.80	02/12/21	28.30	...	1.50	4 of 4 - Sale ²⁵
02/22/21	52.000	154.95	Various	153.27	...	1.68	Total of 4 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
04/26/21	0.029	100.03	04/26/21	100.00	...	0.03	Sale ²⁵
ANNOVIS BIO, INC. / CUSIP: 03615A108 / Symbol:							
05/21/21	1.000	88.41	05/21/21	86.49	...	1.92	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
04/13/21	1.000	134.41	04/09/21	131.34	...	3.07	Sale ²⁵
12/23/21	1.000	175.98	10/28/21	152.02	...	23.96	Sale ²⁵
	Security total:	310.39		283.36	...	27.03	
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
3 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	10.000	61.67	03/16/21	83.83	...	-22.16	1 of 3 - Sale ²⁵
	20.000	123.34	03/19/21	172.90	...	-49.56	2 of 3 - Sale ²⁵
	10.000	61.67	04/15/21	66.40	...	-4.73	3 of 3 - Sale ²⁵
05/04/21	40.000	246.68	Various	323.13	...	-76.45	Total of 3 transactions

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ASLAN PHARMACEUTICALS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 04522R101 / Symbol:							
3 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	20.000	61.21	03/18/21	82.00	...	-20.79	1 of 3 - Sale ²⁵
	6.000	18.37	03/18/21	24.77	...	-6.40	2 of 3 - Sale ²⁵
	1.000	3.06	03/18/21	4.13	...	-1.07	3 of 3 - Sale ²⁵
05/04/21	27.000	82.64	Various	110.90	...	-28.26	Total of 3 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
5 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	4.000	73.70	03/19/21	106.00	...	-32.30	1 of 5 - Sale ²⁵
	10.000	184.25	03/31/21	235.39	...	-51.14	2 of 5 - Sale ²⁵
	10.000	184.25	04/15/21	203.49	...	-19.24	3 of 5 - Sale ²⁵
	5.000	92.13	04/15/21	101.84	...	-9.71	4 of 5 - Sale ²⁵
	1.000	18.42	05/11/21	18.81	...	-0.39	5 of 5 - Sale ²⁵
05/12/21	30.000	552.75	Various	665.53	...	-112.78	Total of 5 transactions
11 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	5.000	92.12	02/05/21	176.63	84.51 W	0.00	1 of 11 - Sale ²⁵
	1.000	18.42	02/08/21	31.98	13.56 W	0.00	2 of 11 - Sale ²⁵
	2.000	36.85	02/11/21	63.60	26.75 W	0.00	3 of 11 - Sale ²⁵
	2.000	36.85	02/11/21	63.50	26.65 W	0.00	4 of 11 - Sale ²⁵
	2.000	36.85	02/26/21	57.92	21.07 W	0.00	5 of 11 - Sale ²⁵
	3.000	55.27	03/02/21	83.43	28.16 W	0.00	6 of 11 - Sale ²⁵
	4.000	73.70	03/02/21	111.52	37.82 W	0.00	7 of 11 - Sale ²⁵
	5.000	92.12	03/04/21	119.75	27.63 W	0.00	8 of 11 - Sale ²⁵
	3.000	55.27	03/04/21	76.50	21.23 W	0.00	9 of 11 - Sale ²⁵
	2.000	36.85	03/18/21	54.09	8.62 W	-8.62	10 of 11 - Sale ²⁵
	2.000	36.85	03/18/21	54.94	18.09 W	0.00	11 of 11 - Sale ²⁵
05/12/21	31.000	571.15	Various	893.86	314.09 W	-8.62	Total of 11 transactions
11 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	5.000	108.60	05/28/21	183.39	74.79 W	0.00	1 of 11 - Sale ²⁵
	1.000	21.72	05/28/21	33.33	11.61 W	0.00	2 of 11 - Sale ²⁵
	2.000	43.44	05/28/21	66.30	22.86 W	0.00	3 of 11 - Sale ²⁵
	2.000	43.44	05/28/21	66.20	22.76 W	0.00	4 of 11 - Sale ²⁵
	2.000	43.44	05/28/21	60.62	17.18 W	0.00	5 of 11 - Sale ²⁵

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	3.000	65.16	05/28/21	87.49	22.33 W	0.00	6 of 11 - Sale ²⁵
	4.000	86.88	05/28/21	116.92	30.04 W	0.00	7 of 11 - Sale ²⁵
	3.000	65.15	05/28/21	80.56	15.41 W	0.00	8 of 11 - Sale ²⁵
	5.000	108.60	05/28/21	126.50	17.90 W	0.00	9 of 11 - Sale ²⁵
	1.000	21.72	05/28/21	28.39	6.67 W	0.00	10 of 11 - Sale ²⁵
	2.000	43.44	05/28/21	57.64	14.20 W	0.00	11 of 11 - Sale ²⁵
06/01/21	30.000	651.59	Various	907.34	255.75 W	0.00	Total of 11 transactions
	12 transactions for 06/29/21. Total proceeds and cost reported to the IRS.						
	5.000	135.80	06/28/21	210.39	...	-74.59	1 of 12 - Sale ²⁵
	4.000	108.64	06/28/21	138.52	...	-29.88	2 of 12 - Sale ²⁵
	2.000	54.32	06/28/21	77.10	...	-22.78	3 of 12 - Sale ²⁵
	2.000	54.32	06/28/21	77.00	...	-22.68	4 of 12 - Sale ²⁵
	3.000	81.48	06/28/21	103.69	...	-22.21	5 of 12 - Sale ²⁵
	5.000	135.80	06/28/21	153.50	...	-17.70	6 of 12 - Sale ²⁵
	2.000	54.32	06/28/21	71.42	...	-17.10	7 of 12 - Sale ²⁵
	3.000	81.48	06/28/21	96.77	...	-15.29	8 of 12 - Sale ²⁵
	2.000	54.32	06/28/21	68.44	...	-14.12	9 of 12 - Sale ²⁵
	1.000	27.16	06/28/21	38.73	...	-11.57	10 of 12 - Sale ²⁵
	1.000	27.16	06/28/21	33.79	...	-6.63	11 of 12 - Sale ²⁵
	20.000	543.19	06/28/21	542.40	...	0.79	12 of 12 - Sale ²⁵
06/29/21	50.000	1,357.99	Various	1,611.75	...	-253.76	Total of 12 transactions
	Security total:	3,133.48		4,078.48	569.84 W	-375.16	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
11/12/21	1.000	339.91	10/22/21	329.24	...	10.67	Sale ²⁵
	2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.						
	1.000	335.29	10/22/21	329.24	...	6.05	1 of 2 - Sale ²⁵
	1.000	335.29	11/12/21	337.99	...	-2.70	2 of 2 - Sale ²⁵
12/23/21	2.000	670.58	Various	667.23	...	3.35	Total of 2 transactions
	Security total:	1,010.49		996.47	...	14.02	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
02/11/21	28.000	77.56	02/05/21	78.40	...	-0.84	Sale ²⁵

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIZHI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 53933L104 / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	278.000	1,827.01	05/27/21	1,890.40	...	-63.39	1 of 2 - Sale ²⁵
	1.000	6.57	05/27/21	7.03	...	-0.46	2 of 2 - Sale ²⁵
05/27/21	279.000	1,833.58	Various	1,897.43	...	-63.85	Total of 2 transactions
05/27/21	1.000	6.57	05/27/21	6.80	0.23 W	0.00	Sale ²⁵
	Security total:	1,840.15		1,904.23	0.23 W	-63.85	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	10.000	234.35	07/27/21	271.65	...	-37.30	1 of 2 - Sale ²⁵
	10.000	234.35	08/06/21	229.09	...	5.26	2 of 2 - Sale ²⁵
08/06/21	20.000	468.70	Various	500.74	...	-32.04	Total of 2 transactions
10/28/21	5.000	172.30	10/28/21	149.65	...	22.65	Sale ²⁵
	Security total:	641.00		650.39	...	-9.39	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
05/10/21	50.000	705.99	05/07/21	707.50	...	-1.51	Sale ²⁵
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	1,400.000	3,767.91	05/12/21	3,990.00	...	-222.09	1 of 2 - Sale ²⁵
	30.000	80.75	05/12/21	82.17	...	-1.42	2 of 2 - Sale ²⁵
05/12/21	1,430.000	3,848.66	Various	4,072.17	...	-223.51	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	73.000	190.59	01/15/21	164.25	...	26.34	1 of 3 - Sale ²⁵
	1.000	2.62	01/27/21	1.58	...	1.04	2 of 3 - Sale ²⁵
	11.000	28.72	01/27/21	17.36	...	11.36	3 of 3 - Sale ²⁵
02/03/21	85.000	221.93	Various	183.19	...	38.74	Total of 3 transactions
3 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	5.000	51.50	02/23/21	36.73	...	14.77	1 of 3 - Sale ²⁵
	25.000	257.50	02/23/21	189.00	...	68.50	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	15.000	154.50	04/20/21	84.15	...	70.35	3 of 3 - Sale ²⁵
04/27/21	45.000	463.50	Various	309.88	...	153.62	Total of 3 transactions
05/07/21	5.000	45.93	05/06/21	48.10	2.17 W	0.00	Sale ²⁵
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	5.000	43.62	05/27/21	45.22	...	-1.60	1 of 2 - Sale ²⁵
	75.000	654.36	05/27/21	645.67	...	8.69	2 of 2 - Sale ²⁵
05/28/21	80.000	697.98	Various	690.89	...	7.09	Total of 2 transactions
	Security total:	1,429.34		1,232.06	2.17 W	199.45	
REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
05/07/21	30.000	629.99	05/07/21	592.80	...	37.19	Sale ²⁵
SEMILEDS CORPORATION COMMON STOCK / CUSIP: 816645204 / Symbol:							
05/06/21	10.000	78.50	05/06/21	84.54	...	-6.04	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
5 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	10.000	39.60	02/23/21	78.30	...	-38.70	1 of 5 - Sale ²⁵
	5.000	19.80	02/23/21	42.50	...	-22.70	2 of 5 - Sale ²⁵
	20.000	79.20	02/26/21	97.60	...	-18.40	3 of 5 - Sale ²⁵
	50.000	197.99	04/19/21	208.41	...	-10.42	4 of 5 - Sale ²⁵
	7.000	27.72	04/20/21	26.60	...	1.12	5 of 5 - Sale ²⁵
05/04/21	92.000	364.31	Various	453.41	...	-89.10	Total of 5 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
08/06/21	10.000	335.20	08/06/21	353.40	...	-18.20	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
8 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	30.000	26.10	02/23/21	58.80	...	-32.70	1 of 8 - Sale ²⁵
	20.000	17.40	02/23/21	35.99	...	-18.59	2 of 8 - Sale ²⁵
	10.000	8.70	03/04/21	18.20	...	-9.50	3 of 8 - Sale ²⁵
	40.000	34.79	03/19/21	81.20	...	-46.41	4 of 8 - Sale ²⁵
	100.000	86.99	04/07/21	126.78	...	-39.79	5 of 8 - Sale ²⁵
	50.000	43.49	04/13/21	49.77	...	-6.28	6 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	14.000	12.18	04/19/21	12.96	...	-0.78	7 of 8 - Sale ²⁵
	4.000	3.48	04/20/21	3.17	...	0.31	8 of 8 - Sale ²⁵
05/04/21	268.000	233.13	Various	386.87	...	-153.74	Total of 8 transactions
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
26 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	45.000	45.65	02/09/21	100.75	...	-55.10	1 of 26 - Sale ²⁵
	14.000	14.20	02/12/21	29.26	...	-15.06	2 of 26 - Sale ²⁵
	50.000	50.72	02/22/21	101.50	...	-50.78	3 of 26 - Sale ²⁵
	40.000	40.58	02/22/21	82.72	...	-42.14	4 of 26 - Sale ²⁵
	10.000	10.14	02/22/21	20.88	...	-10.74	5 of 26 - Sale ²⁵
	40.000	40.58	02/23/21	65.52	...	-24.94	6 of 26 - Sale ²⁵
	20.000	20.29	02/23/21	32.20	...	-11.91	7 of 26 - Sale ²⁵
	20.000	20.29	02/23/21	32.18	...	-11.89	8 of 26 - Sale ²⁵
	11.000	11.16	02/23/21	19.69	...	-8.53	9 of 26 - Sale ²⁵
	50.000	50.72	02/26/21	79.50	...	-28.78	10 of 26 - Sale ²⁵
	6.000	6.09	02/26/21	9.39	...	-3.30	11 of 26 - Sale ²⁵
	2.000	2.03	02/26/21	3.26	...	-1.23	12 of 26 - Sale ²⁵
	2.000	2.03	02/26/21	3.20	...	-1.17	13 of 26 - Sale ²⁵
	50.000	50.72	03/02/21	86.25	...	-35.53	14 of 26 - Sale ²⁵
	8.000	8.12	03/02/21	13.28	...	-5.16	15 of 26 - Sale ²⁵
	50.000	50.72	03/04/21	70.50	...	-19.78	16 of 26 - Sale ²⁵
	9.000	9.13	03/04/21	12.06	...	-2.93	17 of 26 - Sale ²⁵
	6.000	6.09	03/04/21	8.55	...	-2.46	18 of 26 - Sale ²⁵
	50.000	50.72	03/05/21	73.45	...	-22.73	19 of 26 - Sale ²⁵
	18.000	18.26	03/08/21	25.74	...	-7.48	20 of 26 - Sale ²⁵
	100.000	101.45	03/16/21	169.00	...	-67.55	21 of 26 - Sale ²⁵
	7.000	7.10	03/18/21	11.59	...	-4.49	22 of 26 - Sale ²⁵
	100.000	101.45	04/09/21	139.79	...	-38.34	23 of 26 - Sale ²⁵
	100.000	101.45	04/09/21	131.73	...	-30.28	24 of 26 - Sale ²⁵
	100.000	101.45	04/09/21	131.67	...	-30.22	25 of 26 - Sale ²⁵
	98.000	99.42	04/12/21	120.05	...	-20.63	26 of 26 - Sale ²⁵
05/11/21	1,006.000	1,020.56	Various	1,573.71	...	-553.15	Total of 26 transactions

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Robinhood Securities LLC

Account 836106765

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol: (cont'd)							
<i>6 transactions for 08/06/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	152.43	07/02/21	323.34	...	-170.91	1 of 6 - Sale ²⁵
	100.000	76.22	07/02/21	158.73	...	-82.51	2 of 6 - Sale ²⁵
	200.000	152.43	07/26/21	135.66	...	16.77	3 of 6 - Sale ²⁵
	100.000	76.22	07/27/21	66.96	...	9.26	4 of 6 - Sale ²⁵
	100.000	76.22	07/27/21	66.24	...	9.98	5 of 6 - Sale ²⁵
	300.000	228.65	07/27/21	202.59	...	26.06	6 of 6 - Sale ²⁵
08/06/21	1,000.000	762.17	Various	953.52	...	-191.35	Total of 6 transactions
	Security total:	1,782.73		2,527.23	...	-744.50	
Totals :		86,359.41		89,160.37	3,714.64 W	913.68	

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Robinhood Securities LLC

Account 836106765

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/11/21	0.22	Qualified dividend	
				0.22	Total Dividends & distributions	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.