

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 132648130	Statement Date: 03/02/2022 AMENDED Document ID: 07ME UD3 T018	2021
	Kiran Krishna reddy 1256 Balboa Ct Apt 10 Sunnyvale, CA 94086 RECIPIENT'S TIN: XXX-XX-9304		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 1,644.82 C</p> <p>1b- Qualified dividends 1,405.43 C</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 3.93</p> <p>2b- Unrecaptured Section 1250 gain 1.07</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 252.74</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 146.56</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 7- Foreign tax paid: 11.76</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 211.22</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	130,784.39	141,861.03	0.00	2,048.83	-9,027.81
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	130,784.39	141,861.03	0.00	2,048.83	-9,027.81
Long	D (basis reported to the IRS)	7,987.38	8,736.86	0.00	12.24	-737.24
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	7,987.38	8,736.86	0.00	12.24	-737.24
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	138,771.77	150,597.89	0.00	2,061.07	-9,765.05
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

03/02/2022 AMENDED

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	11.59
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	96.89 C
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	3,589.58
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 02/26/2021 PUT \$6.00 / CUSIP: / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	10.000	869.96	01/27/21	2,200.00	...	-1,330.04	1 of 3 - Option sale to close-put ²⁵
	7.000	608.97	01/27/21	1,400.00	...	-791.03	2 of 3 - Option sale to close-put ²⁵
	3.000	260.99	01/27/21	600.00	...	-339.01	3 of 3 - Option sale to close-put ²⁵
02/17/21	20.000	1,739.92	Various	4,200.00	...	-2,460.08	Total of 3 transactions
AMC 06/18/2021 PUT \$8.00 / CUSIP: / Symbol:							
04/21/21	5.000	574.98	01/27/21	2,250.00	...	-1,675.02	Option sale to close-put ²⁵

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Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AY 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/28/21	130.00	...	-130.00	1 of 2 - Option expiration- long call
	10.000	0.00	02/12/21	200.00	...	-200.00	2 of 2 - Option expiration- long call
03/19/21	12.000	0.00	Various	330.00	...	-330.00	Total of 2 transactions
BB 01/29/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/27/21	1.000	444.98	01/26/21	305.00	...	139.98	Option sale to close-call ²⁵
BBBY 05/21/2021 PUT \$18.00 / CUSIP: / Symbol:							
04/23/21	2.000	9.98	01/29/21	390.00	...	-380.02	Option sale to close-put ²⁵
GME 01/21/2022 PUT \$15.00 / CUSIP: / Symbol:							
04/21/21	2.000	185.98	01/27/21	1,180.00	...	-994.02	Option sale to close-put ²⁵
GME 01/21/2022 PUT \$3.50 / CUSIP: / Symbol:							
04/23/21	2.000	15.98	01/27/21	182.00	...	-166.02	Option sale to close-put ²⁵
GME 01/29/2021 PUT \$100.00 / CUSIP: / Symbol:							
01/26/21	1.000	1,389.95	01/26/21	1,520.00	...	-130.05	Option sale to close-put ²⁵
GME 01/29/2021 PUT \$110.00 / CUSIP: / Symbol:							
01/26/21	1.000	1,899.94	01/26/21	2,070.00	...	-170.06	Option sale to close-put ²⁵
GME 02/12/2021 PUT \$80.00 / CUSIP: / Symbol:							
01/27/21	1.000	2,074.94	01/26/21	2,125.06	...	-50.12	Option sale to close-put ²⁵
01/27/21	1.000	2,074.94	01/26/21	2,100.00	25.06 W	0.00	Option sale to close-put ²⁵
	Security total:	4,149.88		4,225.06	25.06 W	-50.12	
GME 02/12/2021 PUT \$95.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1,999.94	01/26/21	2,750.00	...	-750.06	1 of 2 - Option sale to close-put ²⁵
	1.000	1,999.94	01/26/21	2,750.00	...	-750.06	2 of 2 - Option sale to close-put ²⁵
01/27/21	2.000	3,999.88	Various	5,500.00	...	-1,500.12	Total of 2 transactions
GSX 04/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
03/09/21	1.000	49.98	01/28/21	1,000.00	...	-950.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GSX 07/16/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	02/01/21	500.00	...	-495.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	02/01/21	400.00	...	-395.01	2 of 2 - Option sale to close-call ²⁵
04/23/21	2.000	9.98	Various	900.00	...	-890.02	Total of 2 transactions
NKLA 07/16/2021 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	59.98	01/28/21	195.00	...	-135.02	1 of 2 - Option sale to close-put ²⁵
	1.000	59.98	01/28/21	195.00	...	-135.02	2 of 2 - Option sale to close-put ²⁵
06/07/21	2.000	119.96	Various	390.00	...	-270.04	Total of 2 transactions
PBF 06/18/2021 CALL \$13.00 / CUSIP: / Symbol:							
04/21/21	2.000	329.98	01/28/21	300.00	...	29.98	Option sale to close-call ²⁵
RIOT 01/21/2022 CALL \$60.00 / CUSIP: / Symbol:							
07/21/21	1.000	344.98	04/23/21	1,000.00	...	-655.02	Option sale to close-call ²⁵
SNDL 01/21/2022 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	5.000	74.98	04/23/21	175.00	...	-100.02	1 of 2 - Option sale to close-call ²⁵
	5.000	74.99	04/23/21	175.00	...	-100.01	2 of 2 - Option sale to close-call ²⁵
08/27/21	10.000	149.97	Various	350.00	...	-200.03	Total of 2 transactions
SNDL 01/21/2022 CALL \$3.00 / CUSIP: / Symbol:							
3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	5.000	89.98	02/12/21	525.00	...	-435.02	1 of 3 - Option sale to close-call ²⁵
	4.000	71.98	02/12/21	420.00	...	-348.02	2 of 3 - Option sale to close-call ²⁵
	1.000	17.98	02/12/21	105.00	...	-87.02	3 of 3 - Option sale to close-call ²⁵
04/22/21	10.000	179.94	Various	1,050.00	...	-870.06	Total of 3 transactions
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	20.000	319.95	02/12/21	2,000.00	...	-1,680.05	1 of 2 - Option sale to close-call ²⁵
	10.000	159.98	02/12/21	1,050.00	...	-890.02	2 of 2 - Option sale to close-call ²⁵
04/23/21	30.000	479.93	Various	3,050.00	...	-2,570.07	Total of 2 transactions
	Security total:	659.87		4,100.00	...	-3,440.13	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 04/16/2021 CALL \$57.50 / CUSIP: / Symbol:							
3 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	2.000	7.99	01/28/21	760.00	...	-752.01	1 of 3 - Option sale to close-call ²⁵
	1.000	3.98	01/28/21	370.00	...	-366.02	2 of 3 - Option sale to close-call ²⁵
	1.000	3.99	01/28/21	370.00	...	-366.01	3 of 3 - Option sale to close-call ²⁵
04/09/21	4.000	15.96	Various	1,500.00	...	-1,484.04	Total of 3 transactions
WFC 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/26/21	2.000	1,389.95	09/22/20	488.00	...	901.95	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	20.81	07/28/20	3.85	...	16.96	1 of 4 - Sale ²⁵
	28.000	582.66	07/28/20	107.80	...	474.86	2 of 4 - Sale ²⁵
	71.000	1,477.47	07/28/20	273.35	...	1,204.12	3 of 4 - Sale ²⁵
	100.000	2,149.94	07/28/20	385.00	...	1,764.94	4 of 4 - Sale ²⁵
01/27/21	200.000	4,230.88	Various	770.00	...	3,460.88	Total of 4 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
08/27/21	50.000	1,355.99	01/19/21	1,449.25	...	-93.26	Sale ²⁵
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
07/21/21	10.000	1,225.49	01/27/21	1,401.20	...	-175.71	Sale ²⁵
ARK AUTONOMOUS TECHNOLOGY & RO BOTICS ETF / CUSIP: 00214Q203 / Symbol:							
12/23/21	10.000	786.99	01/19/21	884.20	...	-97.21	Sale ²⁵
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	14.000	1,219.81	01/19/21	1,550.76	...	-330.95	1 of 2 - Sale ²⁵
	1.000	87.13	01/19/21	110.77	...	-23.64	2 of 2 - Sale ²⁵
07/21/21	15.000	1,306.94	Various	1,661.53	...	-354.59	Total of 2 transactions
ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol:							
12/23/21	10.000	1,258.59	01/28/21	1,598.63	340.04 W	0.00	Sale ²⁵

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	41.71	01/28/21	53.00	11.29 W	0.00	1 of 3 - Sale ²⁵
	9.000	375.39	01/28/21	477.00	101.61 W	0.00	2 of 3 - Sale ²⁵
	10.000	417.10	01/28/21	530.00	112.90 W	0.00	3 of 3 - Sale ²⁵
12/23/21	20.000	834.20	Various	1,060.00	225.80 W	0.00	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	19.000	2,249.96	09/16/21	2,978.25	...	-728.29	1 of 2 - Sale ²⁵
	1.000	118.42	09/16/21	156.75	...	-38.33	2 of 2 - Sale ²⁵
12/23/21	20.000	2,368.38	Various	3,135.00	...	-766.62	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	3,102.33	07/21/20	3,140.00	...	-37.67	1 of 2 - Sale ²⁵
	1.000	3,125.09	07/21/20	3,140.00	...	-14.91	2 of 2 - Sale ²⁵
01/19/21	2.000	6,227.42	Various	6,280.00	...	-52.58	Total of 2 transactions
01/26/21	1.000	3,336.14	07/23/20	3,003.28	...	332.86	Sale ²⁵
	Security total:	9,563.56		9,283.28	...	280.28	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	100.000	1,906.31	05/05/20	980.00	...	926.31	1 of 2 - Sale ²⁵
	100.000	1,906.30	07/21/20	1,147.00	...	759.30	2 of 2 - Sale ²⁵
01/28/21	200.000	3,812.61	Various	2,127.00	...	1,685.61	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/19/21	10.000	1,274.58	06/11/20	850.73	...	423.85	Sale ²⁵
01/20/21	25.000	3,257.30	06/11/20	2,126.81	...	1,130.49	Sale ²⁵
	Security total:	4,531.88		2,977.54	...	1,554.34	
ARGO BLOCKCHAIN PLC AMERICAN D EPOSITARY SHARES / CUSIP: 040126104 / Symbol:							
12/23/21	100.000	1,370.98	09/23/21	1,500.00	129.02 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	199.000	3,773.00	12/08/21	3,980.00	...	-207.00	1 of 2 - Sale ²⁵
	1.000	18.96	12/08/21	20.00	...	-1.04	2 of 2 - Sale ²⁵
12/23/21	200.000	3,791.96	Various	4,000.00	...	-208.04	Total of 2 transactions
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
01/29/21	20.000	975.00	04/27/20	937.00	...	38.00	Sale ²⁵
CUE HEALTH INC. COMMON STOCK / CUSIP: 229790100 / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	98.000	1,009.38	09/29/21	1,359.26	...	-349.88	1 of 2 - Sale ²⁵
	2.000	20.60	09/29/21	27.74	...	-7.14	2 of 2 - Sale ²⁵
10/19/21	100.000	1,029.98	Various	1,387.00	...	-357.02	Total of 2 transactions
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
02/01/21	250.000	567.48	01/20/21	835.00	...	-267.52	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
04/16/21	5.000	1,152.88	09/03/20	1,151.20	...	1.68	Sale ²⁵
ENERGIZER HOLDINGS, INC / CUSIP: 29272W109 / Symbol:							
02/04/21	10.000	468.00	08/05/20	453.40	...	14.60	Sale ²⁵
EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol:							
04/23/21	20.000	683.19	01/28/21	1,074.30	...	-391.11	Sale ²⁵
FUSION ACQUISITION CORP. / CUSIP: 36118H105 / Symbol:							
07/21/21	25.000	249.02	02/02/21	301.50	...	-52.48	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
07/21/21	100.000	655.20	01/20/21	899.50	...	-244.30	Sale ²⁵

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Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
3 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	100.000	191.09	05/27/20	116.00	...	75.09	1 of 3 - Sale ²⁵
	10.000	19.11	06/04/20	14.20	...	4.91	2 of 3 - Sale ²⁵
	40.000	76.43	06/04/20	56.80	...	19.63	3 of 3 - Sale ²⁵
04/21/21	150.000	286.63	Various	187.00	...	99.63	Total of 3 transactions
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
01/11/21	5.000	640.05	05/21/20	599.34	...	40.71	Sale ²⁵
INVESCO WILDERHILL CLEAN ENER G Y ETF / CUSIP: 46137V134 / Symbol:							
07/21/21	10.000	853.89	01/28/21	1,243.25	...	-389.36	Sale ²⁵
ISHARES GLOBAL CLEAN ENERGY ET F / CUSIP: 464288224 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	24.000	547.67	01/28/21	731.71	...	-184.04	1 of 2 - Sale ²⁵
	1.000	22.82	01/28/21	30.49	...	-7.67	2 of 2 - Sale ²⁵
07/21/21	25.000	570.49	Various	762.20	...	-191.71	Total of 2 transactions
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
07/21/21	100.000	162.00	01/20/21	300.50	...	-138.50	Sale ²⁵
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
04/23/21	10.000	768.21	09/01/20	827.20	...	-58.99	Sale ²⁵
04/23/21	10.000	768.21	09/01/20	826.90	58.69 W	0.00	Sale ²⁵
	Security total:	1,536.42		1,654.10	58.69 W	-58.99	
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
08/27/21	20.000	1,495.19	07/26/21	1,746.00	125.40 W	-125.41	Sale ²⁵
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	8.000	363.31	08/27/21	698.32	...	-335.01	1 of 2 - Sale ²⁵
	2.000	90.83	08/27/21	174.58	...	-83.75	2 of 2 - Sale ²⁵
12/23/21	10.000	454.14	Various	872.90	...	-418.76	Total of 2 transactions
	Security total:	1,949.33		2,618.90	125.40 W	-544.17	

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Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

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MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
01/26/21	3.000	991.46	04/09/20	888.75	...	102.71	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	401.64	07/21/21	320.00	...	81.64	1 of 2 - Sale ²⁵
	49.000	19,680.26	07/21/21	15,680.00	...	4,000.26	2 of 2 - Sale ²⁵
08/11/21	50.000	20,081.90	Various	16,000.00	...	4,081.90	Total of 2 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	17.000	428.49	05/12/20	460.10	...	-31.61	1 of 2 - Sale ²⁵
	3.000	75.61	07/24/20	89.44	...	-13.83	2 of 2 - Sale ²⁵
01/28/21	20.000	504.10	Various	549.54	...	-45.44	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/29/21	100.000	185.00	01/19/21	234.50	...	-49.50	Sale ²⁵
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
04/23/21	10.000	320.46	09/03/20	687.00	366.54 W	0.00	Sale ²⁵
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	9.000	259.20	04/23/21	617.80	...	-358.60	1 of 2 - Sale ²⁵
	1.000	28.80	04/23/21	68.64	...	-39.84	2 of 2 - Sale ²⁵
07/21/21	10.000	288.00	Various	686.44	...	-398.44	Total of 2 transactions
	Security total:	608.46		1,373.44	366.54 W	-398.44	
PALANTIR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 69608A108 / Symbol:							
04/23/21	40.000	935.99	03/09/21	932.00	...	3.99	Sale ²⁵
04/23/21	10.000	234.00	01/25/21	338.05	104.05 W	0.00	Sale ²⁵
5 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	18.89	04/23/21	23.40	4.51 W	0.00	1 of 5 - Sale ²⁵
	5.000	94.45	04/23/21	169.02	74.57 W	0.00	2 of 5 - Sale ²⁵
	5.000	94.45	04/23/21	169.03	74.58 W	0.00	3 of 5 - Sale ²⁵
	11.000	207.79	04/23/21	257.40	49.61 W	0.00	4 of 5 - Sale ²⁵

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Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	28.000	528.91	04/23/21	655.20	126.29 W	0.00	5 of 5 - Sale ²⁵
12/23/21	50.000	944.49	Various	1,274.05	329.56 W	0.00	Total of 5 transactions
	Security total:	2,114.48		2,544.10	433.61 W	3.99	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
07/21/21	20.000	1,432.99	02/04/21	1,654.00	...	-221.01	Sale ²⁵
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	5.000	645.23	04/27/20	587.75	...	57.48	1 of 2 - Sale ²⁵
	10.000	1,290.37	04/27/20	1,175.50	...	114.87	2 of 2 - Sale ²⁵
02/04/21	15.000	1,935.60	Various	1,763.25	...	172.35	Total of 2 transactions
PROSPECT CAPITAL CORPORATION C OMMON STOCK / CUSIP: 74348T102 / Symbol:							
01/28/21	1.000	6.27	12/21/20	5.56	...	0.71	Sale ²⁵
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
04/21/21	10.000	1,347.69	02/03/21	1,490.00	...	-142.31	Sale ²⁵
QUALTRICS INTERNATIONAL INC. C LASS A COMMON STOCK / CUSIP: 747601201 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	10.000	376.39	01/28/21	435.00	...	-58.61	1 of 2 - Sale ²⁵
	10.000	376.40	01/28/21	435.00	...	-58.60	2 of 2 - Sale ²⁵
07/21/21	20.000	752.79	Various	870.00	...	-117.21	Total of 2 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
10/19/21	10.000	408.50	08/18/21	463.00	...	-54.50	Sale ²⁵
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
01/20/21	1,000.000	1,529.84	12/02/20	1,640.00	110.16 W	0.00	Sale ²⁵
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1,000.000	1,399.85	12/02/20	1,640.00	...	-240.15	1 of 2 - Sale ²⁵
	3,000.000	4,199.54	12/14/20	5,010.00	...	-810.46	2 of 2 - Sale ²⁵
01/26/21	4,000.000	5,599.39	Various	6,650.00	...	-1,050.61	Total of 2 transactions
07/21/21	1,000.000	1,349.87	01/27/21	1,500.16	...	-150.29	Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
Security total:		8,479.10		9,790.16	110.16 W	-1,200.90	
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
02/04/21	30.000	1,098.87	04/30/20	1,017.90	...	80.97	Sale ²⁵
ENERGY SELECT SECTOR SPDR FUND / CUSIP: 81369Y506 / Symbol:							
01/28/21	25.000	1,016.22	04/09/20	824.25	...	191.97	Sale ²⁵
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
2 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	20.000	2,651.28	12/03/20	3,260.00	...	-608.72	1 of 2 - Sale ²⁵
	10.000	1,325.64	12/03/20	1,601.85	...	-276.21	2 of 2 - Sale ²⁵
04/21/21	30.000	3,976.92	Various	4,861.85	...	-884.93	Total of 2 transactions
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
08/19/21	20.000	1,082.59	07/28/21	1,237.60	155.01 W	0.00	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/01/21	1.000	798.23	04/27/20	157.00	...	641.23	Sale ²⁵
03/09/21	1.000	599.99	04/27/20	157.00	...	442.99	Sale ²⁵
Security total:		1,398.22		314.00	...	1,084.22	
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
01/26/21	10.000	1,615.56	09/01/20	1,628.90	...	-13.34	Sale ²⁵
VANGUARD HIGH DIVIDEND YIELD E TF / CUSIP: 921946406 / Symbol:							
01/28/21	10.000	930.57	04/28/20	788.30	...	142.27	Sale ²⁵
VANGUARD INFORMATION TECHNOLOG Y ETF / CUSIP: 92204A702 / Symbol:							
01/28/21	10.000	3,642.81	05/12/20	2,539.20	...	1,103.61	Sale ²⁵
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	5.000	1,737.26	04/27/20	1,319.25	...	418.01	1 of 2 - Sale ²⁵
	5.000	1,737.26	04/27/20	1,319.00	...	418.26	2 of 2 - Sale ²⁵
01/28/21	10.000	3,474.52	Various	2,638.25	...	836.27	Total of 2 transactions

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VANGUARD TOTAL STOCK MARKET ET F / CUSIP: 922908769 / Symbol:							
01/28/21	5.000	991.27	04/29/20	740.00	...	251.27	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/21/21	50.000	583.49	11/04/20	835.00	...	-251.51	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
4 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	350.000	230.19	01/19/21	388.50	...	-158.31	1 of 4 - Sale ²⁵
	100.000	65.77	01/19/21	110.50	...	-44.73	2 of 4 - Sale ²⁵
	50.000	32.88	01/19/21	53.75	...	-20.87	3 of 4 - Sale ²⁵
	100.000	65.77	01/20/21	103.00	...	-37.23	4 of 4 - Sale ²⁵
07/21/21	600.000	394.61	Various	655.75	...	-261.14	Total of 4 transactions
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
07/28/21	40.000	410.54	08/06/20	399.50	...	11.04	Sale ²⁵
08/06/21	50.000	400.00	08/06/20	499.37	79.50 W	-19.87	Sale ²⁵
	Security total:	810.54		898.87	79.50 W	-8.83	
APOLLO STRATEGIC GROWTH CAPITA L / CUSIP: G0411R106 / Symbol:							
07/21/21	100.000	973.98	01/22/21	1,097.00	...	-123.02	Sale ²⁵
BURGUNDY TECHNOLOGY ACQUISITIO N CORPORATION CLASS A ORDINARY SHARES / CUSIP: G1702T116 / Symbol:							
07/21/21	100.000	986.14	01/20/21	1,101.95	...	-115.81	Sale ²⁵
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
04/21/21	50.000	630.83	01/22/21	943.50	...	-312.67	Sale ²⁵
REINVENT TECHNOLOGY PARTNERS / CUSIP: G7483N129 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	40.000	398.40	01/22/21	570.00	...	-171.60	1 of 2 - Sale ²⁵
	10.000	99.60	01/22/21	142.50	...	-42.90	2 of 2 - Sale ²⁵
07/21/21	50.000	498.00	Various	712.50	...	-214.50	Total of 2 transactions
REINVENT TECHNOLOGY PARTNERS Z / CUSIP: G74847107 / Symbol:							
07/21/21	50.000	498.50	01/22/21	636.00	...	-137.50	Sale ²⁵

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Robinhood Securities LLC

Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. VI / CUSIP: G8251L105 / Symbol:							
04/23/21	50.000	537.49	01/22/21	767.50	...	-230.01	Sale ²⁵
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. V / CUSIP: G8252P105 / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	30.000	485.39	01/22/21	655.50	...	-170.11	1 of 2 - Sale ²⁵
	20.000	323.60	01/22/21	437.00	...	-113.40	2 of 2 - Sale ²⁵
04/23/21	50.000	808.99	Various	1,092.50	...	-283.51	Total of 2 transactions
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
07/21/21	100.000	265.21	01/19/21	463.49	...	-198.28	Sale ²⁵
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
01/28/21	25.000	20.61	01/19/21	8.54	...	12.07	Sale ²⁵
Totals :		130,784.39		141,861.03	2,048.83 W	-9,027.81	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
5 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	3.000	576.19	04/09/20	660.97	...	-84.78	1 of 5 - Sale ²⁵
	2.000	384.13	04/09/20	424.40	...	-40.27	2 of 5 - Sale ²⁵
	5.000	960.32	04/27/20	1,101.14	...	-140.82	3 of 5 - Sale ²⁵
	5.000	960.32	05/12/20	1,015.35	...	-55.03	4 of 5 - Sale ²⁵
	5.000	960.32	05/12/20	1,015.34	...	-55.02	5 of 5 - Sale ²⁵
07/26/21	20.000	3,841.28	Various	4,217.20	...	-375.92	Total of 5 transactions

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Robinhood Securities LLC

Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
<i>4 transactions for 07/21/21. Total proceeds and cost reported to the IRS.</i>							
	38.500	287.97	04/14/20	348.08	...	-60.11	1 of 4 - Sale ²⁵
	24.900	186.25	05/08/20	199.50	...	-13.25	2 of 4 - Sale ²⁵
	8.300	62.08	05/08/20	66.50	...	-4.42	3 of 4 - Sale ²⁵
	8.300	62.08	05/08/20	66.49	...	-4.41	4 of 4 - Sale ²⁵
07/21/21	80.000	598.38	Various	680.57	...	-82.19	Total of 4 transactions
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
10/07/21	50.000	800.00	06/04/20	558.50	...	241.50	Merger
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.500	51.86	04/16/20	25.26	...	26.60	Cash in lieu
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
04/21/21	100.000	191.09	04/06/20	701.85	...	-510.76	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
12/23/21	10.000	515.70	04/23/20	558.30	...	-42.60	Sale ²⁵
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
<i>2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	619.10	04/23/21	744.02	...	-124.92	1 of 2 - Sale ²⁵
	1.000	68.79	04/23/21	82.67	...	-13.88	2 of 2 - Sale ²⁵
12/23/21	10.000	687.89	Various	826.69	...	-138.80	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
07/30/21	0.552	132.26	06/05/20	132.82	...	-0.56	Cash in lieu
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
07/20/21	20.000	535.80	06/05/20	275.75	...	260.05	Merger
T2 BIOSYSTEMS, INC. COMMON STOCK / CUSIP: 89853L104 / Symbol:							
08/12/21	200.000	203.98	05/18/20	153.80	...	50.18	Sale ²⁵

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Robinhood Securities LLC

Account 132648130

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

03/02/2022 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
07/28/21	10.000	102.64	08/06/20	114.88	12.24 W	0.00	Sale ²⁵
		<i>3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.</i>					
	40.000	261.20	08/06/21	399.10	...	-137.90	1 of 3 - Sale ²⁵
	9.000	58.77	08/06/21	82.93	...	-24.16	2 of 3 - Sale ²⁵
	1.000	6.53	08/06/21	9.21	...	-2.68	3 of 3 - Sale ²⁵
12/23/21	50.000	326.50	Various	491.24	...	-164.74	Total of 3 transactions
	Security total:	429.14		606.12	12.24 W	-164.74	
Totals :		7,987.38		8,736.86	12.24 W	-737.24	

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Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

03/02/2022 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AFLAC INC.	001055102		03/01/21	8.91	Qualified dividend	
			06/01/21	6.93	Qualified dividend	
			09/01/21	15.84	Qualified dividend	
			12/01/21	15.18	Qualified dividend	
				46.86	Total Dividends & distributions	
AT&T INC.	00206R102		05/03/21	26.00	Qualified dividend	
			08/02/21	26.00	Qualified dividend	
				52.00	Total Dividends & distributions	
ACTIVISION BLIZZARD, INC. COMM ON STOCK	00507V109		05/06/21	4.70	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/11/21	46.13	Qualified dividend	
			05/13/21	49.50	Qualified dividend	
			08/12/21	49.50	Qualified dividend	
			11/11/21	49.50	Qualified dividend	
				194.63	Total Dividends & distributions	
ARAMARK	03852U106		03/03/21	2.75	Qualified dividend	
			06/09/21	2.75	Qualified dividend	
			09/08/21	2.75	Qualified dividend	
			12/07/21	2.53	Qualified dividend	
				10.78	Total Dividends & distributions	

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CAPITAL ONE FINANCIAL	14040H105		03/01/21	3.60	Qualified dividend	
			05/28/21	3.60	Qualified dividend	
			08/20/21	6.00	Qualified dividend	
			08/20/21	6.00	Qualified dividend	
			11/26/21	6.00	Qualified dividend	
				25.20	Total Dividends & distributions	
CINCINNATI FINANCIAL CORPORATI ON COMMON STOCK	172062101		01/15/21	4.80	Qualified dividend	
			04/15/21	4.41	Qualified dividend	
			07/15/21	0.63	Qualified dividend	
			10/15/21	5.04	Qualified dividend	
				14.88	Total Dividends & distributions	
CITIGROUP INC.	172967424		02/26/21	25.50	Qualified dividend	
			05/28/21	25.50	Qualified dividend	
			08/27/21	25.50	Qualified dividend	
			11/24/21	22.95	Qualified dividend	
				99.45	Total Dividends & distributions	
CONSTELLATION BRANDS, INC.	21036P108		02/23/21	3.75	Qualified dividend	
			05/18/21	3.04	Qualified dividend	
			08/24/21	3.80	Qualified dividend	
			11/19/21	1.52	Qualified dividend	
				12.11	Total Dividends & distributions	
COSTCO WHOLESALE CORPORATION C OMMON STOCK	22160K105		02/19/21	7.00	Qualified dividend	
			05/14/21	7.90	Qualified dividend	
			08/13/21	7.90	Qualified dividend	
			11/12/21	7.90	Qualified dividend	
				30.70	Total Dividends & distributions	
DIAGEO PLC	25243Q205		04/13/21	6.14	Qualified dividend	
			10/13/21	12.13	Qualified dividend	
				18.27	Total Dividends & distributions	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460G831		03/30/21	1.01	Nondividend distribution	03

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DISCOVER FINANCIAL SERVICES	254709108		03/04/21	21.12	Qualified dividend	
			06/03/21	22.00	Qualified dividend	
			09/02/21	25.00	Qualified dividend	
			12/09/21	23.50	Qualified dividend	
				91.62	Total Dividends & distributions	
FEDEX CORPORATION	31428X106		04/01/21	6.50	Qualified dividend	
			07/12/21	7.50	Qualified dividend	
			10/01/21	7.50	Qualified dividend	
			12/27/21	7.50	Qualified dividend	
				29.00	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		12/01/21	10.00	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.99	Qualified dividend	
			04/26/21	0.82	Qualified dividend	
			07/26/21	1.00	Qualified dividend	
				2.81	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.96	Qualified dividend	
GLOBAL PAYMENTS, INC.	37940X102		03/26/21	0.58	Qualified dividend	
			06/25/21	1.56	Qualified dividend	
			09/24/21	2.50	Qualified dividend	
			12/30/21	2.50	Qualified dividend	
				7.14	Total Dividends & distributions	
GLOBAL X LITHIUM & BATTERY TECH ETF	37954Y855		07/08/21	0.87	Qualified dividend	03
			07/08/21	-0.16	Foreign tax withheld-Variou	03
				0.87	Total Dividends & distributions	
				-0.16	Total Foreign tax withheld	
HASBRO, INC. COMMON STOCK	418056107		02/16/21	6.12	Qualified dividend	
			05/17/21	6.12	Qualified dividend	
			08/16/21	4.76	Qualified dividend	
			11/15/21	6.12	Qualified dividend	
				23.12	Total Dividends & distributions	

Robinhood Securities LLC

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Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HONEYWELL INTERNATIONAL INC. C COMMON STOCK	438516106		03/12/21	3.72	Qualified dividend	
			06/04/21	4.65	Qualified dividend	
			09/03/21	4.65	Qualified dividend	
			12/03/21	4.90	Qualified dividend	
				17.92	Total Dividends & distributions	
INTEL CORPORATION COMMON STOCK	458140100		03/01/21	3.48	Qualified dividend	
			06/01/21	3.48	Qualified dividend	
			09/01/21	3.48	Qualified dividend	
			12/01/21	3.48	Qualified dividend	
				13.92	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		04/27/21	9.00	Nondividend distribution	03
			07/27/21	1.26	Nondividend distribution	03
			10/26/21	8.28	Nondividend distribution	03
				18.54	Total Dividends & distributions	
INVESCO WILDERHILL CLEAN ENERGY ETF	46137V134		03/31/21	0.13	Nonqualified dividend	03
			03/31/21	0.01	Qualified dividend	03
				0.14	Total Dividends & distributions	
ISHARES GLOBAL CLEAN ENERGY ET F	464288224		06/16/21	1.19	Qualified dividend	03
			06/16/21	-0.07	Foreign tax withheld-Various	03
				1.19	Total Dividends & distributions	
				-0.07	Total Foreign tax withheld	
ISHARES SELF-DRIVING EV AND TECH ETF	46435U366		06/16/21	4.23	Qualified dividend	03
			06/16/21	-0.19	Foreign tax withheld-Various	03
			12/17/21	2.81	Qualified dividend	03
			12/17/21	-0.12	Foreign tax withheld-Various	03
			01/05/22	0.27	Qualified dividend	03
			01/05/22	-0.01	Foreign tax withheld-Various	03
				7.31	Total Dividends & distributions	
				-0.32	Total Foreign tax withheld	
JPMORGAN CHASE & CO.	46625H100		02/01/21	18.00	Qualified dividend	
			04/30/21	18.00	Qualified dividend	
			08/02/21	18.00	Qualified dividend	
			11/01/21	20.00	Qualified dividend	
				74.00	Total Dividends & distributions	

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JACOBS ENGINEERING GROUP	469814107		03/26/21	1.89	Qualified dividend	
			06/25/21	1.89	Qualified dividend	
			08/27/21	1.89	Qualified dividend	
			10/29/21	2.10	Qualified dividend	
				7.77	Total Dividends & distributions	
MFA FINANCIAL, INC	55272X102		01/29/21	37.50	Section 199A dividend	03
			04/30/21	31.40	Nondividend distribution	03
			04/30/21	6.10	Section 199A dividend	03
			07/30/21	49.40	Nondividend distribution	03
			10/29/21	50.00	Nondividend distribution	03
	174.40	Total Dividends & distributions				
MGM RESORTS INTERNATIONAL	552953101		03/15/21	0.24	Qualified dividend	
			06/15/21	0.25	Qualified dividend	
			09/15/21	0.25	Qualified dividend	
			12/15/21	0.25	Qualified dividend	
	0.99	Total Dividends & distributions				
MARATHON OIL CORPORATION	565849106		03/10/21	2.55	Qualified dividend	
			06/10/21	3.96	Qualified dividend	
			09/10/21	4.95	Qualified dividend	
			12/10/21	5.88	Qualified dividend	
	17.34	Total Dividends & distributions				
MASTERCARD INCORPORATED	57636Q104		02/09/21	1.32	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	56.00	Qualified dividend	
			06/10/21	56.00	Qualified dividend	
			09/09/21	56.00	Qualified dividend	
			12/09/21	62.00	Qualified dividend	
	230.00	Total Dividends & distributions				
NEW RESIDENTIAL INVESTMENT COR P	64828T201		01/29/21	11.76	Section 199A dividend	03
			01/29/21	8.23	Nondividend distribution	03
			01/29/21	0.01	Qualified dividend	03
			04/30/21	11.76	Section 199A dividend	03
			04/30/21	8.23	Nondividend distribution	03
			04/30/21	0.01	Qualified dividend	03
			07/30/21	11.76	Section 199A dividend	03
			07/30/21	8.23	Nondividend distribution	03

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NEW RESIDENTIAL INVESTMENT CORP (cont'd)			07/30/21	0.01	Qualified dividend	03
			10/29/21	14.70	Section 199A dividend	03
			10/29/21	10.29	Nondividend distribution	03
			10/29/21	0.01	Qualified dividend	03
			85.00	Total Dividends & distributions		
NEXSTAR MEDIA GROUP, INC. CLAS S A COMMON STOCK	65336K103		02/26/21	7.00	Qualified dividend	
			05/28/21	7.00	Qualified dividend	
			08/27/21	7.00	Qualified dividend	
			11/29/21	7.00	Qualified dividend	
			28.00	Total Dividends & distributions		
NEXTRA ENERGY, INC.	65339F101		03/15/21	7.70	Qualified dividend	
			06/15/21	7.70	Qualified dividend	
			09/15/21	7.70	Qualified dividend	
			12/15/21	5.77	Qualified dividend	
			28.87	Total Dividends & distributions		
NIKE, INC.	654106103		04/01/21	2.75	Qualified dividend	
			07/01/21	2.75	Qualified dividend	
			10/01/21	2.75	Qualified dividend	
			12/28/21	3.05	Qualified dividend	
			11.30	Total Dividends & distributions		
NVIDIA CORPORATION COMMON STOC K	67066G104		03/31/21	1.60	Qualified dividend	
			07/01/21	1.60	Qualified dividend	
			09/23/21	1.60	Qualified dividend	
			12/23/21	1.60	Qualified dividend	
			6.40	Total Dividends & distributions		
PNC FINANCIAL SERVICES GROUP	693475105		02/05/21	5.75	Qualified dividend	
			05/05/21	3.45	Qualified dividend	
			08/05/21	5.00	Qualified dividend	
			11/05/21	1.25	Qualified dividend	
			15.45	Total Dividends & distributions		

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PANASONIC CORPORATION COMMON STOCK	69832A205		06/11/21	4.52	Nonqualified dividend	
			06/11/21	-0.69	Foreign tax withheld-JA	
			12/07/21	6.63	Nonqualified dividend	
			12/07/21	-1.02	Foreign tax withheld-JA	
				11.15	Total Dividends & distributions	
			-1.71	Total Foreign tax withheld		
PROCTER & GAMBLE COMPANY	742718109		02/16/21	15.81	Qualified dividend	
			05/17/21	4.35	Qualified dividend	
			08/16/21	3.48	Qualified dividend	
			11/15/21	4.35	Qualified dividend	
				27.99	Total Dividends & distributions	
QUALCOMM INCORPORATED COMMON STOCK	747525103		03/25/21	6.50	Qualified dividend	
RAYTHEON TECHNOLOGIES CORPORATION	75513E101		03/25/21	9.50	Qualified dividend	
			06/17/21	10.20	Qualified dividend	
			09/09/21	10.20	Qualified dividend	
			12/16/21	10.20	Qualified dividend	
		40.10	Total Dividends & distributions			
SIMON PROPERTY GROUP, INC.	828806109		04/23/21	12.05	Section 199A dividend	03
			04/23/21	0.65	Long-term capital gain	03
			04/23/21	0.24	Unrecaptured section 1250 gain	03
			04/23/21	0.06	Qualified dividend	03
			07/23/21	11.67	Section 199A dividend	03
			07/23/21	0.63	Long-term capital gain	03
			07/23/21	0.24	Unrecaptured section 1250 gain	03
			07/23/21	0.06	Qualified dividend	03
			09/30/21	13.90	Section 199A dividend	03
			09/30/21	0.75	Long-term capital gain	03
			09/30/21	0.28	Unrecaptured section 1250 gain	03
			09/30/21	0.07	Qualified dividend	03
			12/31/21	15.28	Section 199A dividend	03
			12/31/21	0.83	Long-term capital gain	03
			12/31/21	0.31	Unrecaptured section 1250 gain	03
	12/31/21	0.08	Qualified dividend	03		
		57.10	Total Dividends & distributions			

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SONY GROUP CORPORATION AMERICA N DEPOSITARY SHARES (EACH REPR ESSENTING ONE SHARE OF DOLLAR V	835699307		06/04/21	4.09	Nonqualified dividend	
			06/04/21	-0.63	Foreign tax withheld-JA	
			12/08/21	3.98	Nonqualified dividend	
			12/08/21	-0.61	Foreign tax withheld-JA	
				8.07	Total Dividends & distributions	
				-1.24	Total Foreign tax withheld	
STARBUCKS CORPORATION COMMON S TOCK	855244109		03/05/21	4.50	Qualified dividend	
			05/28/21	4.50	Qualified dividend	
			08/27/21	4.50	Qualified dividend	
			11/26/21	4.90	Qualified dividend	
				18.40	Total Dividends & distributions	
STEEL DYNAMICS, INC.	858119100		01/15/21	2.25	Qualified dividend	
			04/16/21	2.60	Qualified dividend	
			07/16/21	2.60	Qualified dividend	
			10/15/21	2.60	Qualified dividend	
				10.05	Total Dividends & distributions	
SYSCO CORPORATION	871829107		01/29/21	7.65	Qualified dividend	
			04/23/21	8.10	Qualified dividend	
			07/23/21	2.35	Qualified dividend	
			10/22/21	9.40	Qualified dividend	
				27.50	Total Dividends & distributions	
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		01/14/21	4.46	Qualified dividend	
			01/14/21	-0.94	Foreign tax withheld-TW	
			04/15/21	4.40	Qualified dividend	
			04/15/21	-0.92	Foreign tax withheld-TW	
			07/15/21	4.46	Qualified dividend	
			07/15/21	-0.94	Foreign tax withheld-TW	
			10/14/21	4.91	Qualified dividend	
10/14/21	-1.03	Foreign tax withheld-TW				
				18.23	Total Dividends & distributions	
				-3.83	Total Foreign tax withheld	

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TWO HARBORS INVESTMENT CORP.	90187B408		01/29/21	16.98	Nondividend distribution	03
			01/29/21	0.02	Section 199A dividend	03
			04/29/21	16.81	Nondividend distribution	03
			04/29/21	0.02	Section 199A dividend	03
			07/29/21	16.98	Nondividend distribution	03
			07/29/21	0.02	Section 199A dividend	03
			10/29/21	16.64	Nondividend distribution	03
			10/29/21	0.02	Section 199A dividend	03
			67.49	Total Dividends & distributions		
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE)	91324P102		03/23/21	6.25	Qualified dividend	
			06/29/21	7.25	Qualified dividend	
			09/21/21	7.25	Qualified dividend	
			12/14/21	7.25	Qualified dividend	
			28.00	Total Dividends & distributions		
VALE S.A.	91912E105		03/22/21	-1.11	Foreign tax withheld-BR	
			07/08/21	21.88	Nonqualified dividend	
			10/08/21	49.71	Nonqualified dividend	
			71.59	Total Dividends & distributions		
			-1.11	Total Foreign tax withheld		
PARAMOUNT GLOBAL CLASS B COMMO N STOCK	92556H206		01/04/21	1.68	Qualified dividend	
			04/01/21	4.08	Qualified dividend	
			07/01/21	4.80	Qualified dividend	
			10/01/21	4.80	Qualified dividend	
			15.36	Total Dividends & distributions		
VISA INC.	92826C839		06/01/21	0.96	Qualified dividend	
			09/01/21	1.60	Qualified dividend	
			12/07/21	0.38	Qualified dividend	
			2.94	Total Dividends & distributions		
WALMART INC.	931142103		01/04/21	5.40	Qualified dividend	
			04/05/21	5.50	Qualified dividend	
			06/01/21	5.50	Qualified dividend	
			09/07/21	5.50	Qualified dividend	
			21.90	Total Dividends & distributions		

Robinhood Securities LLC

Account 132648130

Detail for Dividends and Distributions

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WASTE MANAGEMENT, INC.	94106L109		03/26/21	5.17	Qualified dividend	
			06/18/21	5.17	Qualified dividend	
			09/17/21	5.75	Qualified dividend	
			12/17/21	5.17	Qualified dividend	
				21.26	Total Dividends & distributions	
WELLS FARGO & CO.	949746101		03/01/21	5.00	Qualified dividend	
			06/01/21	5.00	Qualified dividend	
			09/01/21	10.00	Qualified dividend	
			12/01/21	10.00	Qualified dividend	
				30.00	Total Dividends & distributions	
LOGITECH INTERNATIONAL S.A. OR DINARY SHARES	H50430232		09/22/21	1.89	Nonqualified dividend	
			09/30/21	-3.32	Foreign tax withheld-SZ	
				1.89	Total Dividends & distributions	
	-3.32	Total Foreign tax withheld				
	1,901.49	Total Dividends & distributions				
	-11.76	Total Foreign tax withheld				

Robinhood Securities LLC

Account 132648130

Detail for Miscellaneous Information

2021

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AFLAC INC.	001055102	03/01/21	7.59	Substitute payment	
		06/01/21	9.57	Substitute payment	
		09/01/21	0.66	Substitute payment	
		12/01/21	1.32	Substitute payment	
			19.14	Total Miscellaneous income	
ARK NEXT GENERATION INTERNET E TF	00214Q401	12/31/21	31.18	Substitute payment	
		12/31/21	1.96	Substitute payment	
			33.14	Total Miscellaneous income	
ARAMARK	03852U106	12/07/21	0.22	Substitute payment	
CAPITAL ONE FINANCIAL	14040H105	03/01/21	0.40	Substitute payment	
		05/28/21	0.40	Substitute payment	
			0.80	Total Miscellaneous income	
CINCINNATI FINANCIAL CORPORATI ON COMMON STOCK	172062101	01/15/21	1.20	Substitute payment	
		04/15/21	1.89	Substitute payment	
		07/15/21	5.67	Substitute payment	
		10/15/21	1.26	Substitute payment	
			10.02	Total Miscellaneous income	
CITIGROUP INC.	172967424	11/24/21	2.55	Substitute payment	
CONSTELLATION BRANDS, INC.	21036P108	05/18/21	0.76	Substitute payment	
		11/19/21	2.28	Substitute payment	
			3.04	Total Miscellaneous income	
DIAGEO PLC	25243Q205	04/13/21	1.53	Substitute payment	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458	12/29/21	0.71	Substitute payment	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460G831	03/30/21	0.67	Substitute payment	
DISCOVER FINANCIAL SERVICES	254709108	03/04/21	0.88	Substitute payment	
		12/09/21	1.50	Substitute payment	
			2.38	Total Miscellaneous income	

Robinhood Securities LLC

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Detail for Miscellaneous Information

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	02/19/21	0.46	Substitute payment	
		05/19/21	0.15	Substitute payment	
			0.61	Total Miscellaneous income	
FORD MOTOR COMPANY	345370860	12/01/21	0.10	Substitute payment	
GENERAL ELECTRIC COMPANY	369604103	01/25/21	0.01	Substitute payment	
		04/26/21	0.18	Substitute payment	
			0.19	Total Miscellaneous income	
GLOBAL PAYMENTS, INC.	37940X102	03/26/21	1.37	Substitute payment	
		06/25/21	0.39	Substitute payment	
			1.76	Total Miscellaneous income	
HASBRO, INC. COMMON STOCK	418056107	02/16/21	0.68	Substitute payment	
		05/17/21	0.68	Substitute payment	
		08/16/21	2.04	Substitute payment	
		11/15/21	0.68	Substitute payment	
			4.08	Total Miscellaneous income	
HONEYWELL INTERNATIONAL INC. C OMMON STOCK	438516106	03/12/21	0.93	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	01/26/21	8.00	Substitute payment	
		07/27/21	7.74	Substitute payment	
		10/26/21	0.72	Substitute payment	
			16.46	Total Miscellaneous income	
INVESCO WILDERHILL CLEAN ENERG Y ETF	46137V134	03/31/21	1.29	Substitute payment	
		06/30/21	2.56	Substitute payment	
			3.85	Total Miscellaneous income	
ISHARES GLOBAL CLEAN ENERGY ET F	464288224	06/16/21	1.99	Substitute payment	
ISHARES SELF-DRIVING EV AND TE CH ETF	46435U366	06/16/21	1.01	Substitute payment	
		12/17/21	0.85	Substitute payment	
			1.86	Total Miscellaneous income	

Robinhood Securities LLC

Account 132648130

Detail for Miscellaneous Information

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
JACOBS ENGINEERING GROUP	469814107	03/26/21	0.21	Substitute payment	
		06/25/21	0.21	Substitute payment	
		08/27/21	0.21	Substitute payment	
			0.63	Total Miscellaneous income	
MFA FINANCIAL, INC	55272X102	07/30/21	0.60	Substitute payment	
MGM RESORTS INTERNATIONAL	552953101	03/15/21	0.01	Substitute payment	
MARATHON OIL CORPORATION	565849106	03/10/21	0.45	Substitute payment	
		06/10/21	0.04	Substitute payment	
		09/10/21	0.05	Substitute payment	
		12/10/21	0.12	Substitute payment	
			0.66	Total Miscellaneous income	
NEXTRA ENERGY, INC.	65339F101	12/15/21	1.93	Substitute payment	
PNC FINANCIAL SERVICES GROUP	693475105	05/05/21	2.30	Substitute payment	
		08/05/21	1.25	Substitute payment	
		11/05/21	5.00	Substitute payment	
			8.55	Total Miscellaneous income	
PROCTER & GAMBLE COMPANY	742718109	08/16/21	0.87	Substitute payment	
PROSPECT CAPITAL CORPORATION COMMON STOCK	74348T102	01/21/21	0.06	Substitute payment	
		02/18/21	0.06	Substitute payment	
			0.12	Total Miscellaneous income	
SIMON PROPERTY GROUP, INC.	828806109	07/23/21	1.40	Substitute payment	
STEEL DYNAMICS, INC.	858119100	01/15/21	0.25	Substitute payment	
SYSCO CORPORATION	871829107	01/29/21	1.35	Substitute payment	
		04/23/21	0.90	Substitute payment	
		07/23/21	7.05	Substitute payment	
			9.30	Total Miscellaneous income	
TWO HARBORS INVESTMENT CORP.	90187B408	04/29/21	0.17	Substitute payment	
		10/29/21	0.34	Substitute payment	
			0.51	Total Miscellaneous income	

Robinhood Securities LLC

Account 132648130

Detail for Miscellaneous Information

2021

(continued)

03/02/2022 AMENDED

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VALE S.A.	91912E105	03/22/21	30.42	Substitute payment	
		03/22/21	7.42	Substitute payment	
		10/08/21	25.61	Substitute payment	
			63.45	Total Miscellaneous income	
PARAMOUNT GLOBAL CLASS B COMMO N STOCK	92556H206	01/04/21	3.12	Substitute payment	
		04/01/21	0.72	Substitute payment	
			3.84	Total Miscellaneous income	
VISA INC.	92826C839	03/01/21	1.60	Substitute payment	
		06/02/21	0.64	Substitute payment	
		12/07/21	1.50	Substitute payment	
			3.74	Total Miscellaneous income	
WASTE MANAGEMENT, INC.	94106L109	03/26/21	0.58	Substitute payment	
		06/18/21	0.58	Substitute payment	
		12/17/21	0.58	Substitute payment	
			1.74	Total Miscellaneous income	
LOGITECH INTERNATIONAL S.A. OR DINARY SHARES	H50430232	09/30/21	7.59	Substitute payment	
			211.22	Total Miscellaneous income	

Robinhood Securities LLC

Account 132648130

Other Receipts and Reconciliations

2021

03/02/2022 AMENDED

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S	29273V100	02/19/21	2.59	Partnership distribution	
REPRESENTING LIMITED PARTNER		05/19/21	2.90	Partnership distribution	
INTERESTS		08/19/21	3.05	Partnership distribution	
		11/19/21	3.05	Partnership distribution	
			11.59	Total Partnership distribution	
MFA FINANCIAL, INC	55272X102	01/31/22	54.89	Deferred income payment	03,24 C
NEW RESIDENTIAL INVESTMENT COR P	64828T201	01/28/22	25.00	Deferred income payment	03,24 C
TWO HARBORS INVESTMENT CORP.	90187B408	01/28/22	17.00	Deferred income payment	03,24 C
			96.89	Total Deferred income payment	
			11.59	Total Partnership distribution	

Robinhood Securities LLC

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Fees and Expenses

2021

03/02/2022 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	-5.00	Margin interest paid	
		01/29/21	-275.90	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/01/21	-288.61	Margin interest paid	
		03/30/21	-5.00	Margin interest paid	
		03/30/21	-297.99	Margin interest paid	
		04/29/21	-5.00	Margin interest paid	
		04/29/21	-296.63	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/01/21	-290.80	Margin interest paid	
		06/28/21	-5.00	Margin interest paid	
		06/28/21	-292.22	Margin interest paid	
		07/28/21	-5.00	Margin interest paid	
		07/28/21	-310.06	Margin interest paid	
			-2,087.21	Total Margin interest paid	
Margin charge		08/27/21	-5.00	Margin interest paid	
		08/27/21	-298.33	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		09/27/21	-283.68	Margin interest paid	
		10/26/21	-5.00	Margin interest paid	
		10/26/21	-298.59	Margin interest paid	
		11/26/21	-5.00	Margin interest paid	
		11/26/21	-296.22	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
		12/27/21	-300.55	Margin interest paid	
			-1,502.37	Total Margin interest paid	
			-3,589.58	Total Margin interest paid	

Robinhood Securities LLC

Account 132648130

Mutual Fund and UIT Supplemental Information

2021

03/02/2022 AMENDED

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

GLOBAL X LITHIUM & BATTERY TEC H ETF / 37954Y855**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	71.13%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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ISHARES GLOBAL CLEAN ENERGY ET F / 464288224**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	48.43%	Fgn Source Inc Qual	48.43%	Fgn Source Inc Adj	0.00%
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ISHARES SELF-DRIVING EV AND TE CH ETF / 46435U366**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	34.75%	Fgn Source Inc Qual	34.75%	Fgn Source Inc Adj	0.00%
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Robinhood Securities LLC

Account 132648130

Foreign Income and Taxes Summary

2021

03/02/2022 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
	GLOBAL X LITHIUM & BATTERY TECH ETF	37954Y855	0.00	0.87		0.00	0.87	-0.16
	ISHARES GLOBAL CLEAN ENERGY ET F	464288224	0.00	1.19		0.00	1.19	-0.07
	ISHARES SELF-DRIVING EV AND TE CH ETF	46435U366	0.00	7.31		0.00	7.31	-0.32
Total			0.00	9.37		0.00	9.37	-0.55
BR	VALE S.A.	91912E105	71.59	0.00		0.00	71.59	-1.11
Total BRAZIL			71.59	0.00		0.00	71.59	-1.11
JA	PANASONIC CORPORATION COMMON S TOCK	69832A205	11.15	0.00		0.00	11.15	-1.71
JA	SONY GROUP CORPORATION AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE SHARE OF DOLLAR VALUE)	835699307	8.07	0.00		0.00	8.07	-1.24
Total JAPAN			19.22	0.00		0.00	19.22	-2.95
SZ	LOGITECH INTERNATIONAL S.A. OR ORDINARY SHARES	H50430232	1.89	0.00		0.00	1.89	-3.32
Total SWITZERLAND			1.89	0.00		0.00	1.89	-3.32
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	18.23		0.00	18.23	-3.83
Total TAIWAN			0.00	18.23		0.00	18.23	-3.83
UK	DIAGEO PLC	25243Q205	0.00	18.27		0.00	18.27	0.00
Total UNITED KINGDOM			0.00	18.27		0.00	18.27	0.00

Robinhood Securities LLC

Account 132648130

Foreign Income and Taxes Summary

2021

(continued)

03/02/2022 AMENDED

Grand Total	Total Foreign Source Income*	138.57
	Qualified Foreign Source Income**	45.87
	Foreign Tax	-11.76

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 132648130

Non-Reportable Actions

2021

03/02/2022 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-50.00	0.00	Exchange	
CLOUDERA, INC.	18914U100	10/08/21	-50.00	0.00	Merger	
FOLEY TRASIMENE ACQUISITION CO RP. II	344328109	03/31/21	-50.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	50.00	0.00	Exchange	
SALESFORCE.COM, INC.	79466L302	07/21/21	1.00	0.00	Merger	
SLACK TECHNOLOGIES, INC.	83088V102	07/21/21	-20.00	0.00	Merger	
PAYSAFE LIMITED	G6964L107	03/31/21	50.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year
- 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.