Robinhood Securities LLC 500 Colonial Center Parkway	Tax Information Account 656404175	Statement Date: 02/11/2022 Document ID: 1FTG 9WU 5P0N	2021
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Bharath raj Bondugula 44 Danner Ct Apt 202 Memphis, TN 38103-6940		
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-9786		

1a- Total ordinary dividends (includes lines 1b, 5, 2e) 3.93 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Other income 4- Federal income tax withheld 8- Substitute payments in lieu of dividends or interest 0.00  SECTION 1256 CONTRACTS 2021 1099-B* 0MB No. 154  8- Profit or (loss) realized in 2021 on closed contracts 0.00 4- Federal income tax withheld 0.00 0-00 0-00 0-00 0-00 0-00 0-00 0-0				
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 1b- Qualified dividends 3.93 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3 - Other income 4 - Federal income tax withheld 5 - Section 897 capital gain 3 - Other income 4 - Federal income tax withheld 5 - Section 1202 gain 6 - Investment expenses 8 - Foreign country or US possession: 9 - Cash liquidation distributions 10 - Noncash liquidation distributions 10 - Noncash liquidation distributions 11 - Exempt-interest dividends (includes line 12)  3 - Other income 9 - Royalties 0 - Other income 9 - Capylaties 0 - Other income tax withheld 0 - Other income 0 - Other income 0 - Other income tax withheld 0 - Other income 0 - Other income 0 - Other income tax withheld 0 - Other income tax withheld 0 - Ot	[ ] FATCA filing requirement (see instructions)	Summar	y Information	
1b- Qualified dividends 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Other income 3- Nondividend distributions 4- Federal income tax withheld 5- Section 897 capital gain 3- Other income 4- Federal income tax withheld 5- Profit or (loss) realized in 2021 on closed contracts 10- Unrealized profit or (loss) on open contracts-12/31/2020 10- Unrealized profit or (loss) on open contracts-12/31/2021 10- Unrealized profit or (loss) on open contracts-12/31/2021 10- Unrealized profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 12- Section 199A dividends 13- Other income 14- Federal income tax withheld 15- Section 199A dividends 16- Investment expenses 18- Foreign country or US possession: 19- Cash liquidation distributions 10- Noncash liquidation distributions 10- Noncash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12) 15- Section 199A dividends 16- Investment expenses 17- Foreign tax paid: 18- Profit or (loss) on open contracts-12/31/2020 19- Unrealized profit or (loss) on open contracts-12/31/2021 19- Unrealized profit or (loss) on contracts 19- Unrealized profit or (loss) on contracts 10- Unrealized profit or (loss) on contracts 10- Unrealized profit or (loss) on contracts 10- Volument expenses 11- Aggregate profit or (loss) on contracts 15- Aggregate profit or (loss) on contracts 16-	DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION 2021 1099-MISC*	OMB No. 1545-0115
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 7- Foreign tax paid: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 7- Foreign tax paid: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  4- Federal income tax withheld 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Aggregate profit or (loss) on open contracts 10- Voncash liquidation distributions 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss)	1a- Total ordinary dividends (includes lines 1b, 5, 2e)	3.93	2- Royalties	0.00
2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  8- Substitute payments in lieu of dividends or interest 0.00  8- Substitute payments in lieu of dividends or interest 0.00  8- Substitute payments in lieu of dividends or interest 0.00  8- Substitute payments in lieu of dividends or interest 0.00  SECTION 1256 CONTRACTS 2021 1099-B* 0MB No. 154  8- Profit or (loss) realized in 2021 on closed contracts 0.00  9- Unrealized profit or (loss) on open contracts-12/31/2020 0.10- Unrealized profit or (loss) on open contracts-12/31/2021 0.11- Aggregate profit or (loss) on contracts 0.00  11- Agg	1b- Qualified dividends	3.93	3- Other income	0.00
2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  SECTION 1256 CONTRACTS 2021 1099-B*  OMB No. 154  8- Profit or (loss) realized in 2021 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2020 0.10- Unrealized profit or (loss) on open contracts-12/31/2021 0.11- Aggregate profit or (loss) on contracts 0.11- Aggregate profit or (loss) on contracts 0.12- Aggregate profit or (loss) on contracts 0.13- Aggregate profit or (loss) on contracts 0.14- Aggregate profit or (loss) on contracts 0.15- Aggregate profit or (loss) on open contracts-12/31/2021 0.15- Aggregate prof	2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	0.00
2d- Collectibles (28%) gain  2e- Section 897 ordinary dividends  2f- Section 897 capital gain  3- Nondividend distributions  4- Federal income tax withheld  5- Section 199A dividends  6- Investment expenses  8- Profit or (loss) realized in 2021 on closed contracts  9- Unrealized profit or (loss) on open contracts-12/31/2020  10- Unrealized profit or (loss) on open contracts-12/31/2021  11- Aggregate profit or (loss) on contracts  11- Aggregate profit or (loss) on open contracts-12/31/2021  11- Aggregate profit or (loss) on open contracts-12/31	2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interest	0.00
2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  SECTION 1236 CONTRACTS 2021 109-B  OMB No. 154  8- Profit or (loss) realized in 2021 on closed contracts 0.0  9- Unrealized profit or (loss) on open contracts-12/31/2020 0.1  10- Unrealized profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on contracts 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  11- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  12- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  12- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  12- Aggregate profit or (loss) on open contracts-12/31/2021 0.1  12- Aggregate profit or (loss) on open contracts-12/31/20	2c- Section 1202 gain	0.00		
2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  8- Profit or (loss) realized in 2021 on closed contracts 9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2021 0.10- Unrealized profit or (loss) on open contracts-12/31/2021 0.11- Aggregate profit or (loss) on open contracts-12/31/2021 0.11- Aggregate profit or (loss) on open contracts-12/31/2021 0.12- Aggregate profit or (loss) on open contracts-12/31/2021 0.13- Aggregate profit or (loss) on open contracts-12/31/2021 0.14- Aggregate profit or (loss) on open contracts-12/31/2021 0.15- Aggregate profit or (loss) on open contracts-12/31/2021 0.16- Unrealized profit or (loss) on open contracts-12/31/2021 0.17- Aggregate profit or (loss) on open contracts-12/31/2021 0.18- Aggregate profit or (loss) on open contracts-12/31/2021 0.19- Unrealized profit or (loss) on open contracts-12/31/2021 0.19- Aggregate profit or (loss) on open contracts-12/31/2021 0.19- Aggre			SECTION 1256 CONTRACTS 2021 1099-B*	OMB No. 1545-0715
3- Nondividend distributions  4- Federal income tax withheld  5- Section 199A dividends  6- Investment expenses  8- Foreign country or US possession:  9- Cash liquidation distributions  10- Noncash liquidation distributions  11- Exempt-interest dividends (includes line 12)  9- Unrealized profit or (loss) on open contracts-12/31/2020  0.00  10- Unrealized profit or (loss) on open contracts-12/31/2021  0.00  11- Aggregate profit or (loss) on open contracts-12/31/2021  0.00  11- Aggregate profit or (loss) on open contracts-12/31/2021  0.00  11- Aggregate profit or (loss) on open contracts-12/31/2021  0.00  11- Aggregate profit or (loss) on open contracts-12/31/2021  0.00  11- Aggregate profit or (loss) on open contracts-12/31/2021  12- Validation open contracts-12/31/2020  13- Unrealized profit or (loss) on open contracts-12/31/2021  13- Validation open contracts-12/31/2020  14- Aggregate profit or (loss) on open contracts-12/31/2021  15- Validation open contracts-12/31/2020  16- Unrealized profit or (loss) on open contracts-12/31/2021  16- Validation open contracts-12/31/2021  17- Aggregate profit or (loss) on open contracts-12/31/2021  18- Validation open contracts-12/31/2021  18- Validation open contracts-12/31/2021  19- Validation open contracts-12/31/2021  19- Validation open contracts-12/31/2021  19- Validation open contracts-12/31/2021  10- Validation open contracts-12/31/2021  11- Aggregate profit or (loss) on open contracts-12/31/2021  11- Aggregate profit or (loss)	,		Q. Drofit or /loca) realized in 2021 on algorid contracts	0.00
4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021 11- Aggregate profit or (loss) on open contracts-12/31/2021 0.00 11- Aggregate profit or (loss) on open contracts-12/31/2021				
5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  11- Aggregate profit or (loss) on contracts 0.00  If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.  * This is important tax information and is being furnished to the Internal Revenue Service.  If you are required to file a return, a negligence penalty or other sanction may be impose that it has not been reported.				0.00
6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  0.00  11- Volume 12- Volume	4- Federal income tax withheld	0.00		0.00
8- Foreign country or US possession: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12) 7- Foreign tax paid: 0.00 detailed in subsequent sections of this document. * This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed.	5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts	0.00
9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  0.00  detailed in subsequent sections of this document.  * This is important tax information and is being furnished to the Internal Revenue Service.  If you are required to file a return, a negligence penalty or other sanction may be impose that it has not been reported.		0.00	If annlicable, proceeds from sale transactions annear summarized hal	ow and are
10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12)  * This is important tax information and is being furnished to the Internal Revenue Service.  If you are required to file a return, a negligence penalty or other sanction may be impose that it has not been reported.				ow and are
11- Exempt-interest dividends (includes line 12)  If you are required to file a return, a negligence penalty or other sanction may be impose you if this income is tayable and the IPS determines that it has not been reported.			,	aua Camilaa
11- Exemplaintelest dividends (includes line 12)	10- Noncash liquidation distributions			
12- Specified private activity bond interest dividends (AMT)  0.00  12- Specified private activity bond interest dividends (AMT)	11- Exempt-interest dividends (includes line 12)	0.00		
	12- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the iR5 determines that it has not been repor	tea.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	4,812.74	4,093.72	0.00	44.07	763.09
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	4,812.74	4,093.72	0.00	44.07	763.09
Long	D (basis reported to the IRS)	209.22	99.42	0.00	0.00	109.80
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	209.22	99.42	0.00	0.00	109.80
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	5,021.96	4,193.14	0.00	44.07	872.89
Withholding		Amount				
Federal income	tax withheld	0.00				

**Robinhood Securities LLC** Account 656404175 **Summary Information** 2021 (continued) 02/11/2022

## INTEREST INCOME

## 2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li><li>3- Interest on US Savings Bonds &amp; Treasury obligations</li></ul>	0.00 0.00 0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership Other Receipts & Reconciliations- Return of principal	0.00 0.00 0.00
Other Receipts & Reconciliations- Retain of principal Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### **Robinhood Securities LLC**

## **Proceeds from Broker and Barter Exchange Transactions**

02/11/2022

Account 656404175

**2021 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	` ,	Additional information
SNDL 02/05/2021 CALL	\$1.00 / CUSIP: / Symbol	:					
02/05/21	1.000	12.98	02/03/21	29.00	***	-16.02	Option sale to close-call <sup>25</sup>
ANAVEX LIFE SCIENCE	ES CORP. COM MON ST	OCK / CUSIP: 03279730	0 / Symbol:				
	2 transactions for	r 11/02/21. Total proceed	ls and cost repor	ted to the IRS.			
	2.000	42.70	06/30/21	46.92	•	-4.22	1 of 2 - Sale <sup>25</sup>
	2.000	43.00	06/30/21	46.91		-3.91	2 of 2 - Sale <sup>25</sup>
11/02/21	4.000	85.70	Various	93.83		-8.13	Total of 2 transactions
11/04/21	5.000	114.25	08/05/21	97.00		17.25	Sale <sup>25</sup>
	Security total:	199.95		190.83		9.12	
BEST INC. AMERICAN	DEPOSITARY SHARES,	EACH REPRESENTING	ONE CLASS A	ORDINARY SHAF	RE / CUSIP: 08653C106 / Syn	nbol:	
09/22/21	20.000	35.21	06/25/21	34.96		0.25	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

**2021 1099-B\*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or	Quantity	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
disposed	· · · · · · · · · · · · · · · · · · ·	(G)ross or (N)et	acquired	other basis	disallowed (vv)	also not reported (Z)	Additional information
	S, INC. COMMON STOCK	CUSIP: 09075F107 / Sy					
06/07/21	3.000	24.30	05/04/21	17.25		7.05	Sale <sup>25</sup>
CASSAVA SCIENCE	S, INC. COMMON STOCK /	CUSIP: 14817C107 / Sy	mbol:				
07/01/21	1.000	91.50	06/11/21	78.89		12.61	Sale 25
	3 transactions fo	r 07/21/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.500	165.00	07/09/21	151.29		13.71	1 of 3 - Sale <sup>25</sup>
	0.500	55.00	07/16/21	43.10		11.90	2 of 3 - Sale <sup>25</sup>
	0.500	56.78	07/16/21	43.09		13.69	3 of 3 - Sale <sup>25</sup>
07/21/21	2.500	276.78	Various	237.48		39.30	Total of 3 transactions
	4 transactions fo	r 08/12/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	124.00	08/02/21	106.55		17.45	1 of 4 - Sale <sup>25</sup>
	1.000	124.00	08/02/21	79.55		44.45	2 of 4 - Sale <sup>25</sup>
	0.018	2.17	08/03/21	1.88		0.29	3 of 4 - Sale <sup>25</sup>
	0.982	121.83	08/03/21	84.49		37.34	4 of 4 - Sale <sup>25</sup>
08/12/21	3.000	372.00	Various	272.47		99.53	Total of 4 transactions
	2 transactions fo	r 08/12/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	118.00	07/29/21	145.00	27.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.018	2.17	07/29/21	2.54	0.37 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/21	1.018	120.17	Various	147.54	27.37 W	0.00	Total of 2 transactions
	4 transactions fo	r 11/04/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	85.00	08/16/21	114.86		-29.86	1 of 4 - Sale <sup>25</sup>
	1.000	85.00	08/25/21	82.88		2.12	2 of 4 - Sale <sup>25</sup>
	1.000	90.00	08/25/21	82.88		7.12	3 of 4 - Sale <sup>25</sup>
	1.000	90.00	08/26/21	79.00		11.00	4 of 4 - Sale <sup>25</sup>
11/04/21	4.000	350.00	Various	359.62		-9.62	Total of 4 transactions
	2 transactions fo	r 11/05/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	90.00	08/27/21	58.55		31.45	1 of 2 - Sale <sup>25</sup>
	1.000	90.00	09/03/21	56.99	···	33.01	2 of 2 - Sale <sup>25</sup>
11/05/21	2.000	180.00	Various	115.54	···	64.46	Total of 2 transactions
	Security total:	1,390.45		1,211.54	27.37 W	206.28	

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# **Proceeds from Broker and Barter Exchange Transactions**

**2021 1099-B\*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Overette.	6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	A delition of information
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
CHARGEPOINT HOLDING	GS, INC. / CUSIP: 1596	1R105 / Symbol:					
	2 transactions for	r 06/16/21. Total proceed	ls and cost repo	rted to the IRS.			
	1.000	31.12	04/01/21	28.56	···	2.56	1 of 2 - Sale <sup>25</sup>
	4.000	124.49	04/09/21	110.02	•••	14.47	2 of 2 - Sale <sup>25</sup>
06/16/21	5.000	155.61	Various	138.58	····	17.03	Total of 2 transactions
CLOVER HEALTH INVES	TMENTS, COR P. CLAS	SS A COMMON STOCK	/ CUSIP: 18914	F103 / Symbol:			
06/09/21	4.000	108.60	06/08/21	67.20	···	41.40	Sale <sup>25</sup>
DIREXION DAILY SEMICO	ONDUCTOR B ULL 3X	SHARES / CUSIP: 25459	9W458 / Symbo	l:			
06/10/21	1.000	38.90	05/21/21	34.00		4.90	Sale <sup>25</sup>
EHANG HOLDINGS LIMIT	TED ADS / CUSIP: 2685	53E102 / Symbol:					
06/04/21	2.000	68.00	06/04/21	61.62		6.38	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35	5953D104 / Symbol:						
	4 transactions for	r 06/23/21. Total proceed	ls and cost repo	rted to the IRS.			
	0.487	16.16	03/04/21	14.21	···	1.95	1 of 4 - Sale <sup>25</sup>
	0.513	17.05	03/04/21	14.99		2.06	2 of 4 - Sale <sup>25</sup>
	0.384	12.76	05/27/21	12.38		0.38	3 of 4 - Sale <sup>25</sup>
	0.487	16.17	05/27/21	12.15		4.02	4 of 4 - Sale <sup>25</sup>
06/23/21	1.871	62.14	Various	53.73		8.41	Total of 4 transactions
	4 transactions for	r 06/23/21. Total proceed	ls and cost repo	rted to the IRS.			
	0.513	17.05	02/02/21	25.00	7.95 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.384	12.76	05/27/21	15.54	2.78 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.102	3.40	05/27/21	4.15	0.75 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.027	0.89	05/27/21	1.08	0.19 W	0.00	4 of 4 - Sale <sup>25</sup>
06/23/21	1.027	34.10	Various	45.77	11.67 W	0.00	Total of 4 transactions
	4 transactions for	r 08/12/21. Total proceed	ls and cost repo	rted to the IRS.			
	0.102	3.28	07/08/21	3.68	···	-0.40	1 of 4 - Sale <sup>25</sup>
	0.027	0.85	07/08/21	0.95	•••	-0.10	2 of 4 - Sale <sup>25</sup>
	1.871	59.92	07/08/21	53.43	•••	6.49	3 of 4 - Sale <sup>25</sup>
	2.000	64.05	07/13/21	55.12	•••	8.93	4 of 4 - Sale <sup>25</sup>
08/12/21	4.000	128.10	Various	113.18		14.92	Total of 4 transactions
	Security total:	224.34		212.68	11.67 W	23.33	

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# **Proceeds from Broker and Barter Exchange Transactions**

**2021 1099-B\*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTER	RNATIONAL, I NC. COMM	10N STOCK / CUSIP: 37	7229T301 / Syn	nbol:	, ,	. , ,	
	4 transactions for	10/27/21. Total proceed	s and cost repo	rted to the IRS.			
	1.184 7.000 0.779	2.63 15.54 1.73	06/08/21 06/08/21 06/09/21	2.31 13.69 1.71	 	0.32 1.85 0.02	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup>
10/27/21	<i>21.961</i> 30.924	<i>48.75</i> 68.65	<i>06/09/21</i> Various	48.29 66.00	 	<i>0.4</i> 6 2.65	4 of 4 - Sale <sup>25</sup> Total of 4 transactions
INMUNE BIO INC. COM	MON STOCK / CUSIP: 457	782T105 / Symbol:					
	3 transactions for	08/26/21. Total proceed	s and cost repo	rted to the IRS.			
	2.000 2.000 6.000	42.50 42.50 127.50	07/14/21 07/22/21 07/27/21	51.00 44.89 102.46	 	-8.50 -2.39 25.04	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup>
08/26/21	10.000	212.50	Various	198.35		14.15	Total of 3 transactions
LUCID GROUP, INC. CO	MMON STOCK / CUSIP:	549498103 / Symbol:					
10/28/21 10/28/21	2.000 3.000 3 transactions for	68.00 102.00 11/16/21. Total proceed	06/07/21 02/23/21 s and cost repo	51.62 115.50 rted to the IRS.	5.03 W	16.38 -8.47	Sale <sup>25</sup> Sale <sup>25</sup>
11/16/21	1.000 4.000 1.000 6.000	55.00 220.00 55.00 330.00	06/07/21 07/21/21 07/23/21 Various	25.81 95.75 24.18 145.74	  	29.19 124.25 30.82 184.26	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
	Security total:	500.00		312.86	5.03 W	192.17	
MODERNA, INC. COMM	ON STOCK / CUSIP: 6077	70K107 / Symbol:					
01/06/21 01/28/21	1.000 1.000 Security total:	113.71 162.00 <b>275.71</b>	07/28/20 07/28/20	81.09 81.09 <b>162.18</b>	 	32.62 80.91 <b>113.53</b>	Sale <sup>25</sup> Sale <sup>25</sup>
NANO DIMENSION LTD.	AMERICAN D EPOSITAI		,	mbol:			
06/23/21	10.000	87.00	06/17/21	79.50		7.50	Sale <sup>25</sup>

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## **Robinhood Securities LLC** Account 656404175

# **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715 02/11/2022 (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information		
OCUGEN, INC. COMMO	ON STOCK / CUSIP: 67577	C105 / Symbol:							
05/03/21	1.000 2 transactions for	15.00 08/10/21. Total proceed	02/08/21 Is and cost repo	12.27 rted to the IRS.		2.73	Sale <sup>25</sup>		
00/40/04	3.000 3.000	27.60 27.60	06/07/21 06/28/21	27.36 24.65	 	0.24 2.95	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup>		
08/10/21	6.000 Security total:	55.20 <b>70.20</b>	Various	52.01 <b>64.28</b>		3.19 <b>5.92</b>	Total of 2 transactions		
QUANTUMSCAPE COF	RPORATION / CUSIP: 7476	67V109 / Symbol:							
02/22/21	0.387 4 transactions for	27.23 10/29/21. Total proceed	02/17/21 Is and cost repo	25.00 rted to the IRS.		2.23	Sale <sup>25</sup>		
	1.000 1.000 1.000 2.000	29.00 29.00 29.01 58.02	06/09/21 06/11/21 06/11/21 09/24/21	30.50 27.53 27.53 53.00	 	-1.50 1.47 1.48 5.02	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup>		
10/29/21 11/01/21	5.000 0.642	145.03 19.62	Various 09/24/21	138.56 17.00	 	6.47 2.62	Total of 4 transactions Sale <sup>25</sup>		
	Security total:	191.88	70040040	180.56		11.32			
08/03/21	S, INC. CLASS A COMMO 2.000 , INC. COMMON STOCK /	88.00	07/29/21	73.88		14.12	Sale <sup>25</sup>		
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.									
11/01/21	5.000 2.000 7.000	110.00 44.00 154.00	07/02/21 07/21/21 Various	91.39 31.43 122.82	 	18.61 12.57 31.18	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions		
SORRENTO THERAPE	UTICS, INC. C OMMON S	TOCK / CUSIP: 83587F	202 / Symbol:						
02/01/21	3.000	45.00	09/17/20	30.09		14.91	Sale <sup>25</sup>		

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# **Proceeds from Broker and Barter Exchange Transactions**

**2021** 1099-B\* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP:	83587W106 / Symbol:						
	3 transactions fo	r 12/16/21. Total proceed	ds and cost repor	ted to the IRS.			
12/16/21	3.000 1.000 1.000 5.000	3.00 1.00 1.00 5.00	02/17/21 02/26/21 05/05/21 Various	38.01 6.00 4.27 48.28	  	-35.01 -5.00 -3.27 -43.28	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
SUNDIAL GROWERS II	NC. COMMON SH ARES	/ CUSIP: 86730L109 / Sv					
		r 12/03/21. Total proceed	1	ted to the IRS.			
12/03/21	3.000 0.162 2.000 5.162	1.67 0.09 1.12 2.88	02/03/21 02/03/21 11/01/21 Various	3.80 0.20 1.33 5.33	  	-2.13 -0.11 -0.21 -2.45	1 of 3 - Sale <sup>25</sup> 2 of 3 - Sale <sup>25</sup> 3 of 3 - Sale <sup>25</sup> Total of 3 transactions
TESLA. INC. COMMON	STOCK / CUSIP: 88160F	R101 / Symbol:					
,		r 07/29/21. Total proceed	ds and cost repor	ted to the IRS.			
07/29/21	0.090 0.061 0.064 0.587 0.802	61.00 41.09 43.12 397.57 542.78	08/28/20 01/29/21 02/18/21 03/04/21 Various	40.00 50.00 50.00 356.51 496.51	  	21.00 -8.91 -6.88 41.06 46.27	1 of 4 - Sale <sup>25</sup> 2 of 4 - Sale <sup>25</sup> 3 of 4 - Sale <sup>25</sup> 4 of 4 - Sale <sup>25</sup> Total of 4 transactions
THE TRADE DESK, INC	C. CLASS A C OMMON S	TOCK / CUSIP: 88339J1	05 / Symbol:				
09/21/21 VIRGIN GALACTIC HO	2.000 LDINGS, INC. / CUSIP: 92	143.59 2766K106 / Symbol:	09/20/21	139.42		4.17	Sale <sup>25</sup>
06/25/21	1.000	53.00	05/12/21	16.00	•••	37.00	Sale <sup>25</sup>
FIVERR INTERNATION	IAL LTD. / CUSIP: M4R82	T106 / Symbol:					
10/26/21 <b>Totals</b> :	0.591	114.21 <b>4,812.74</b>	08/11/21	100.00 <b>4,093.72</b>	 44.07 W	14.21 <b>763.09</b>	Sale <sup>25</sup>

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Robinhood Securities LLC** Account 656404175 **Proceeds from Broker and Barter Exchange Transactions**

2021 **1099-B\*** OMB No. 1545-0715 02/11/2022 (continued)

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLU MOBILE INC. COMM	ON STOCK / CUSIP: 37	79890106 / Symbol:					
04/29/21	1.000	12.50	12/16/19	5.16		7.34	Merger
INOVIO PHARMACEUTIC	ALS, INC. C OMMON S	STOCK / CUSIP: 45773H	201 / Symbol:				
08/05/21	1.000	9.40	03/12/20	9.35		0.05	Sale <sup>25</sup>
TESLA, INC. COMMON S	TOCK / CUSIP: 88160R	1101 / Symbol:					
	2 transactions for	r 07/29/21. Total proceed	s and cost repor	ted to the IRS.			
07/20/24	0.097 0.101	65.76 68.56	07/15/20 07/16/20	30.00 30.00	 	35.76 38.56	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup>
07/29/21	0.198	134.32	Various	60.00		74.32	Total of 2 transactions
VIRGIN GALACTIC HOLD	,	•					
06/25/21	1.000	53.00	02/28/20	24.91		28.09	Sale <sup>25</sup>
Totals :		209.22		99.42		109.80	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Robinhood Securities LLC** Account 656404175 **Detail for Dividends and Distributions**

2021 02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	0.47	Qualified dividend	
			05/13/21	0.50	Qualified dividend	
			08/12/21	0.50	Qualified dividend	
			11/11/21	0.51	Qualified dividend	
				1.98	Total Dividends & distributions	
HIBBETT, INC. COMMON STOCK	428567101		12/21/21	0.25	Qualified dividend	
MICROSOFT CORPORATION COMMON S	594918104		09/09/21	0.11	Qualified dividend	
TOCK			12/09/21	0.13	Qualified dividend	
				0.24	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/23/21	0.04	Qualified dividend	
MEDTRONIC PLC	G5960L103		01/15/21	0.26	Qualified dividend	
			04/16/21	0.36	Qualified dividend	
			07/16/21	0.40	Qualified dividend	
			10/15/21	0.40	Qualified dividend	
				1.42	Total Dividends & distributions	
				3.93	Total Dividends & distributions	

### **Robinhood Securities LLC** Account 656404175 **Foreign Income and Taxes Summary** 2021 02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

	Dividends						
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
EI	MEDTRONIC PLC	G5960L103	0.00	1.42	0.00	1.42	0.00
Total IRELAND			0.00	1.42	0.00	1.42	0.00
<b>Grand Total</b>	Total Foreign Source Inc			1.42			
	Qualified Foreign Source Income** Foreign Tax			1.42 0.00			
	. 0.0.g						

<sup>\*</sup> Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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Γ	Robinhood Securities LLC	Account 656404175
	Non-Reportable Ac	tions
	2021	02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BLACKSKY TECHNOLOGY INC.	09263B108	09/10/21	0.67	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-20.36	0.00	Exchange	
GLU MOBILE INC. COMMON STOCK	379890106	04/29/21	-1.00	0.00	Merger	
LUCID GROUP, INC. COMMON STOCK	549498103	07/23/21	20.36	0.00	Exchange	
OSPREY TECHNOLOGY ACQUISITION CORP.	68839R104	09/10/21	-0.67	0.00	Exchange	

## **Robinhood Securities LLC**

## **2021 End Notes for Account 656404175**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

#### Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.