

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 158480517</b>	Statement Date: 02/11/2022 Document ID: 21DU UN8 Q050	2021
	Sandeep Dandamudi 241 Durants Neck Ln Morrisville, NC 27560  RECIPIENT'S TIN: XXX-XX-8960		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      59.04</p> <p>1b- Qualified dividends      2.64</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      1.22</p> <p>7- Foreign tax paid:      1.22</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	238,695.11	223,404.69	0.00	11,138.13	26,428.55
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>238,695.11</b>	<b>223,404.69</b>	<b>0.00</b>	<b>11,138.13</b>	<b>26,428.55</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>238,695.11</b>	<b>223,404.69</b>	<b>0.00</b>	<b>11,138.13</b>	<b>26,428.55</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 158480517

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/16/2021 CALL \$132.50 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	42.00	03/30/21	122.02	...	-80.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	125.98	03/30/21	63.00	...	62.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/05/21	4.000	167.98	Various	185.02	...	-17.04	Total of 2 transactions
04/05/21	1.000	41.98	03/18/21	143.00	101.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>209.96</b>		<b>328.02</b>	<b>101.02 W</b>	<b>-17.04</b>	
ACB 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	3.000	20.99	02/10/21	570.00	...	-549.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	13.99	02/12/21	490.02	...	-476.03	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	20.99	02/12/21	183.00	...	-162.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/25/21	8.000	55.97	Various	1,243.02	...	-1,187.05	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 03/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
02/25/21	2.000	11.98	02/10/21	380.00	368.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>67.95</b>		<b>1,623.02</b>	<b>368.02 W</b>	<b>-1,187.05</b>	
ACB 04/30/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	6.000	5.98	03/24/21	282.00	...	-276.02	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	3.99	03/30/21	100.00	...	-96.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	10.000	9.97	Various	382.00	...	-372.03	Total of 2 transactions
AI 07/16/2021 CALL \$140.00 / CUSIP: / Symbol:							
4 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	20.00	03/16/21	590.00	570.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	20.00	03/24/21	225.00	205.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	03/30/21	135.00	115.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	39.99	04/23/21	210.00	170.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/01/21	5.000	99.98	Various	1,160.00	1,060.02 W	0.00	Total of 4 transactions
6 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	25.00	05/06/21	600.00	...	-575.00	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	89.99	05/06/21	330.01	...	-240.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	25.00	05/06/21	235.00	...	-210.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	49.99	05/06/21	230.01	...	-180.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	25.00	05/06/21	145.01	...	-120.01	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	59.99	05/06/21	130.01	...	-70.02	6 of 6 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	274.97	Various	1,670.04	...	-1,395.07	Total of 6 transactions
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	3.000	74.99	04/26/21	315.00	240.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	49.99	04/30/21	120.00	70.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	5.000	124.98	Various	435.00	310.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>499.93</b>		<b>3,265.04</b>	<b>1,370.04 W</b>	<b>-1,395.07</b>	

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Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AI 12/17/2021 CALL \$145.00 / CUSIP: / Symbol:							
3 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/23/21	170.00	...	-170.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/20/21	14.00	...	-14.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/20/21	14.00	...	-14.00	3 of 3 - Option expiration- long call
12/17/21	4.000	0.00	Various	198.00	...	-198.00	Total of 3 transactions
AMC 04/16/2021 CALL \$9.00 / CUSIP: / Symbol:							
02/25/21	2.000	819.98	02/10/21	294.00	...	525.98	Option sale to close-call <sup>25</sup>
03/15/21	3.000	1,589.98	02/10/21	441.00	...	1,148.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,409.96</b>		<b>735.00</b>	...	<b>1,674.96</b>	
AMC 10/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
10/13/21	1.000	2.98	08/26/21	455.00	...	-452.02	Option sale to close-call <sup>25</sup>
AMD 01/21/2022 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	699.98	08/06/21	615.00	...	84.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	699.98	08/16/21	360.00	...	339.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	1,399.96	Various	975.00	...	424.96	Total of 2 transactions
AMD 09/24/2021 CALL \$120.00 / CUSIP: / Symbol:							
3 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/27/21	190.00	...	-190.00	1 of 3 - Option expiration- long call
	2.000	0.00	09/07/21	156.00	...	-156.00	2 of 3 - Option expiration- long call
	2.000	0.00	09/07/21	120.00	...	-120.00	3 of 3 - Option expiration- long call
09/24/21	5.000	0.00	Various	466.00	...	-466.00	Total of 3 transactions
AMD 10/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	2.000	1.99	08/31/21	368.00	...	-366.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1.99	09/20/21	46.00	...	-44.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/21	4.000	3.98	Various	414.00	...	-410.02	Total of 2 transactions
AMZN 08/27/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
08/27/21	1.000	5.98	08/26/21	115.00	...	-109.02	Option sale to close-call <sup>25</sup>

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Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AXAS 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/25/21	2.000	299.98	01/28/21	180.00	...	119.98	Option sale to close-call <sup>25</sup>
	<i>3 transactions for 06/01/21. Total proceeds and cost reported to the IRS.</i>						
	3.000	74.99	01/28/21	270.00	...	-195.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	74.99	03/30/21	135.00	...	-60.01	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	99.99	05/06/21	60.00	...	39.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/01/21	10.000	249.97	Various	465.00	...	-215.03	Total of 3 transactions
	<b>Security total:</b>	<b>549.95</b>		<b>645.00</b>	...	<b>-95.05</b>	
BA 04/01/2021 CALL \$295.00 / CUSIP: / Symbol:							
03/30/21	1.000	9.98	03/18/21	275.00	...	-265.02	Option sale to close-call <sup>25</sup>
BA 05/21/2021 CALL \$300.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	799.98	02/09/21	580.00	...	219.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,364.98	02/09/21	870.00	...	494.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	5.000	2,164.96	Various	1,450.00	...	714.96	Total of 2 transactions
BABA 09/10/2021 CALL \$180.00 / CUSIP: / Symbol:							
09/01/21	2.000	399.98	08/30/21	130.00	...	269.98	Option sale to close-call <sup>25</sup>
BABA 11/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/08/21	1.000	20.98	08/20/21	220.00	...	-199.02	Option sale to close-call <sup>25</sup>
BYND 08/20/2021 CALL \$155.00 / CUSIP: / Symbol:							
08/16/21	1.000	4.98	07/27/21	124.00	...	-119.02	Option sale to close-call <sup>25</sup>
BYND 10/15/2021 CALL \$180.00 / CUSIP: / Symbol:							
10/15/21	3.000	0.00	09/02/21	126.00	...	-126.00	Option expiration- long call
FB 04/16/2021 CALL \$305.00 / CUSIP: / Symbol:							
03/22/21	1.000	579.98	03/18/21	360.00	...	219.98	Option sale to close-call <sup>25</sup>
FSLY 08/20/2021 CALL \$75.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/23/21	158.00	...	-158.00	Option expiration- long call

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 08/20/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	06/23/21	116.00	...	-116.00	Option expiration- long call
FUBO 08/20/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/06/21	1.000	8.98	06/23/21	240.00	...	-231.02	Option sale to close-call <sup>25</sup>
GILD 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	07/13/20	125.00	...	-125.00	Option expiration- long call
GME 03/05/2021 PUT \$120.00 / CUSIP: / Symbol:							
03/05/21	3.000	11.98	03/05/21	270.00	...	-258.02	Option sale to close-put <sup>25</sup>
GOED 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/23/21	50.00	...	-50.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/23/21	50.00	...	-50.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/23/21	50.00	...	-50.00	3 of 3 - Option expiration- long call
08/20/21	3.000	0.00	Various	150.00	...	-150.00	Total of 3 transactions
LCID 01/20/2023 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	849.98	09/02/21	153.00	...	696.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,999.97	09/02/21	306.00	...	1,693.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	3.000	2,849.95	Various	459.00	...	2,390.95	Total of 2 transactions
LCID 02/18/2022 CALL \$40.00 / CUSIP: / Symbol:							
10/28/21	2.000	1,199.98	08/30/21	234.00	...	965.98	Option sale to close-call <sup>25</sup>
NFLX 07/16/2021 CALL \$560.00 / CUSIP: / Symbol:							
06/24/21	1.000	265.98	06/23/21	180.00	...	85.98	Option sale to close-call <sup>25</sup>
NKLA 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	38.98	08/30/21	65.00	...	-26.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	38.98	08/30/21	65.00	...	-26.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	38.98	08/30/21	65.00	...	-26.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/13/21	3.000	116.94	Various	195.00	...	-78.06	Total of 3 transactions

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOK 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/04/21	10.000	149.97	02/26/21	250.00	...	-100.03	Option sale to close-call <sup>25</sup>
NOK 08/20/2021 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	4.000	3.99	06/02/21	108.00	...	-104.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/02/21	27.00	...	-26.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	4.98	06/14/21	60.00	...	-55.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/21	10.000	9.97	Various	195.00	...	-185.03	Total of 3 transactions
PFE1 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/15/21	20.000	0.00	11/10/20	300.00	...	-300.00	Option expiration- long call
QS 01/20/2023 CALL \$75.00 / CUSIP: / Symbol:							
11/04/21	3.000	1,349.98	08/26/21	540.00	...	809.98	Option sale to close-call <sup>25</sup>
QS 02/18/2022 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	324.98	09/02/21	78.00	...	246.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	324.98	09/02/21	78.00	...	246.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,349.98	09/02/21	234.00	...	1,115.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	1,999.94	Various	390.00	...	1,609.94	Total of 3 transactions
QS 06/11/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/11/21	2.000	0.00	06/07/21	50.00	...	-50.00	Option expiration- long call
QS 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	51.98	05/25/21	48.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	115.98	05/25/21	96.00	...	19.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/21	3.000	167.96	Various	144.00	...	23.96	Total of 2 transactions
SPY 06/18/2021 PUT \$400.00 / CUSIP: / Symbol:							
06/16/21	1.000	11.98	06/07/21	58.00	...	-46.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQQQ 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	349.99	02/25/21	285.00	...	64.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.99	02/25/21	285.00	...	64.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	2.000	699.98	Various	570.00	...	129.98	Total of 2 transactions
TLRY 03/19/2021 CALL \$33.00 / CUSIP: / Symbol:							
03/19/21	1.000	1.98	03/15/21	377.04	...	-375.06	Option sale to close-call <sup>25</sup>
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1.98	03/15/21	127.00	125.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.98	03/15/21	252.02	250.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/19/21	2.000	3.96	Various	379.02	375.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5.94</b>		<b>756.06</b>	<b>375.06 W</b>	<b>-375.06</b>	
TSLA 03/05/2021 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	2.000	1,299.98	03/05/21	620.00	...	679.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,467.98	03/05/21	440.00	...	1,027.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	4.000	2,767.96	Various	1,060.00	...	1,707.96	Total of 2 transactions
TSLA 03/12/2021 CALL \$760.00 / CUSIP: / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/11/21	476.01	...	-476.01	1 of 3 - Option expiration- long call
	1.000	0.00	03/11/21	367.02	...	-367.02	2 of 3 - Option expiration- long call
	1.000	0.99	03/11/21	130.00	...	-129.01	3 of 3 - Option sale to close-call <sup>25</sup>
03/12/21	4.000	0.99	Various	973.03	...	-972.04	Total of 3 transactions
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	03/11/21	174.00	173.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.00	03/11/21	303.02	302.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1.99	03/11/21	348.00	346.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/12/21	4.000	3.97	Various	825.02	821.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4.96</b>		<b>1,798.05</b>	<b>821.05 W</b>	<b>-972.04</b>	
TSLA 03/12/2021 PUT \$605.00 / CUSIP: / Symbol:							
03/12/21	3.000	5.98	03/12/21	195.00	...	-189.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 03/19/2021 CALL \$775.00 / CUSIP: / Symbol:							
03/19/21	1.000	5.98	03/16/21	105.00	...	-99.02	Option sale to close-call <sup>25</sup>
TSLA 04/01/2021 CALL \$825.00 / CUSIP: / Symbol:							
03/30/21	2.000	11.98	03/19/21	302.00	...	-290.02	Option sale to close-call <sup>25</sup>
TSLA 06/25/2021 PUT \$615.00 / CUSIP: / Symbol:							
06/25/21	1.000	8.98	06/23/21	127.00	...	-118.02	Option sale to close-put <sup>25</sup>
TSLA 08/13/2021 CALL \$755.00 / CUSIP: / Symbol:							
08/13/21	1.000	53.98	08/10/21	40.00	...	13.98	Option sale to close-call <sup>25</sup>
TSLA 08/27/2021 CALL \$725.00 / CUSIP: / Symbol:							
2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	8.99	08/17/21	297.00	...	-288.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	8.99	08/26/21	77.00	...	-68.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/21	2.000	17.98	Various	374.00	...	-356.02	Total of 2 transactions
TSLA 09/03/2021 CALL \$760.00 / CUSIP: / Symbol:							
08/31/21	1.000	249.98	08/30/21	89.00	...	160.98	Option sale to close-call <sup>25</sup>
TSLA 09/24/2021 CALL \$790.00 / CUSIP: / Symbol:							
09/20/21	3.000	392.98	09/17/21	495.00	...	-102.02	Option sale to close-call <sup>25</sup>
WISH 10/15/2021 CALL \$24.00 / CUSIP: / Symbol:							
4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	07/29/21	300.00	...	-300.00	1 of 4 - Option expiration- long call
	2.000	0.00	08/13/21	26.00	...	-26.00	2 of 4 - Option expiration- long call
	1.000	0.00	08/16/21	10.00	...	-10.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/16/21	10.00	...	-10.00	4 of 4 - Option expiration- long call
10/15/21	14.000	0.00	Various	346.00	...	-346.00	Total of 4 transactions
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	400.000	847.94	02/01/21	931.20	...	-83.26	1 of 2 - Sale <sup>25</sup>
	100.000	211.99	02/01/21	232.50	...	-20.51	2 of 2 - Sale <sup>25</sup>
03/19/21	500.000	1,059.93	Various	1,163.70	...	-103.77	Total of 2 transactions

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	7.000	30.04	02/01/21	24.47	...	5.57	1 of 2 - Sale <sup>25</sup>
	93.000	399.14	02/01/21	325.50	...	73.64	2 of 2 - Sale <sup>25</sup>
02/22/21	100.000	429.18	Various	349.97	...	79.21	Total of 2 transactions
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
03/18/21	100.000	1,449.98	02/05/21	667.98	...	782.00	Sale <sup>25</sup>
04/05/21	100.000	1,049.98	02/11/21	550.00	...	499.98	Sale <sup>25</sup>
05/25/21	25.000	386.38	05/07/21	233.75	...	152.63	Sale <sup>25</sup>
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	25.000	441.75	05/07/21	233.75	...	208.00	1 of 2 - Sale <sup>25</sup>
	50.000	901.99	05/07/21	467.50	...	434.49	2 of 2 - Sale <sup>25</sup>
05/26/21	75.000	1,343.74	Various	701.25	...	642.49	Total of 2 transactions
5 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	10.000	382.00	06/01/21	323.00	...	59.00	1 of 5 - Sale <sup>25</sup>
	10.000	400.00	06/01/21	323.00	...	77.00	2 of 5 - Sale <sup>25</sup>
	10.000	440.00	06/01/21	323.00	...	117.00	3 of 5 - Sale <sup>25</sup>
	10.000	450.00	06/01/21	323.00	...	127.00	4 of 5 - Sale <sup>25</sup>
	10.000	500.00	06/01/21	323.00	...	177.00	5 of 5 - Sale <sup>25</sup>
06/02/21	50.000	2,172.00	Various	1,615.00	...	557.00	Total of 5 transactions
	<b>Security total:</b>	<b>6,402.08</b>		<b>3,767.98</b>	...	<b>2,634.10</b>	
ARK SPACE EXPLORATION & INNOVATION ETF / CUSIP: 00214Q807 / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	10.000	206.31	03/30/21	202.65	...	3.66	1 of 2 - Sale <sup>25</sup>
	90.000	1,856.76	03/30/21	1,823.85	...	32.91	2 of 2 - Sale <sup>25</sup>
04/23/21	100.000	2,063.07	Various	2,026.50	...	36.57	Total of 2 transactions
AST SPACEMOBILE, INC. CLASS A COMMON STOCK / CUSIP: 00217D100 / Symbol:							
06/29/21	50.000	500.00	06/28/21	517.50	...	-17.50	Sale <sup>25</sup>
ABEONA THERAPEUTICS INC. COMMON STOCK / CUSIP: 00289Y107 / Symbol:							
02/25/21	100.000	244.99	02/11/21	330.50	...	-85.51	Sale <sup>25</sup>

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Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
02/10/21	1.000	5.24	02/03/21	4.25	...	0.99	Sale <sup>25</sup>
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
07/29/21	100.000	361.99	06/14/21	475.00	...	-113.01	Sale <sup>25</sup>
THE ALKALINE WATER COMPANY INC. COMMON STOCK / CUSIP: 01643A207 / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	150.000	187.48	02/09/21	232.50	...	-45.02	1 of 2 - Sale <sup>25</sup>
	100.000	124.99	02/09/21	155.00	...	-30.01	2 of 2 - Sale <sup>25</sup>
03/02/21	250.000	312.47	Various	387.50	...	-75.03	Total of 2 transactions
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	183.000	228.75	02/09/21	283.65	...	-54.90	1 of 2 - Sale <sup>25</sup>
	67.000	83.74	02/09/21	103.85	...	-20.11	2 of 2 - Sale <sup>25</sup>
03/09/21	250.000	312.49	Various	387.50	...	-75.01	Total of 2 transactions
	<b>Security total:</b>	<b>624.96</b>		<b>775.00</b>	...	<b>-150.04</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/07/21	0.154	493.09	05/27/21	500.00	...	-6.91	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	10.000	1,268.27	02/05/21	1,366.40	...	-98.13	1 of 2 - Sale <sup>25</sup>
	5.000	634.14	02/17/21	650.00	...	-15.86	2 of 2 - Sale <sup>25</sup>
02/22/21	15.000	1,902.41	Various	2,016.40	...	-113.99	Total of 2 transactions
APPHARVEST, INC. COMMON STOCK / CUSIP: 03783T103 / Symbol:							
04/23/21	100.000	1,445.98	04/20/21	1,233.00	...	212.98	Sale <sup>25</sup>
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
02/08/21	15.000	755.16	02/03/21	749.33	...	5.83	Sale <sup>25</sup>
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
03/09/21	250.000	842.61	03/01/21	1,080.00	...	-237.39	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
03/31/21	100.000	949.98	03/24/21	940.00	...	9.98	Sale <sup>25</sup>
04/01/21	100.000	951.98	03/24/21	940.00	...	11.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,901.96</b>		<b>1,880.00</b>	...	<b>21.96</b>	
AYTU BIOPHARMA, INC. COMMON ST OCK / CUSIP: 054754874 / Symbol:							
05/27/21	82.000	422.29	05/04/21	501.74	...	-79.45	Sale <sup>25</sup>
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
<i>3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	593.99	02/02/21	660.00	...	-66.01	1 of 3 - Sale <sup>25</sup>
	14.000	277.20	02/25/21	415.10	...	-137.90	2 of 3 - Sale <sup>25</sup>
	16.000	316.80	02/25/21	316.00	...	0.80	3 of 3 - Sale <sup>25</sup>
03/05/21	60.000	1,187.99	Various	1,391.10	...	-203.11	Total of 3 transactions
03/05/21	30.000	593.99	08/19/20	891.00	138.60 W	-158.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,781.98</b>		<b>2,282.10</b>	<b>138.60 W</b>	<b>-361.52</b>	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
<i>2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	599.99	06/03/21	866.48	...	-266.49	1 of 2 - Sale <sup>25</sup>
	50.000	599.99	06/03/21	718.00	...	-118.01	2 of 2 - Sale <sup>25</sup>
11/02/21	100.000	1,199.98	Various	1,584.48	...	-384.50	Total of 2 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
<i>2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	752.87	02/16/21	1,227.50	...	-474.63	1 of 2 - Sale <sup>25</sup>
	25.000	752.87	03/04/21	800.00	...	-47.13	2 of 2 - Sale <sup>25</sup>
03/05/21	50.000	1,505.74	Various	2,027.50	...	-521.76	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
<i>3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	879.24	05/03/21	940.00	...	-60.76	1 of 3 - Sale <sup>25</sup>
	2.000	439.62	05/04/21	457.60	...	-17.98	2 of 3 - Sale <sup>25</sup>
	2.000	439.61	05/19/21	443.46	...	-3.85	3 of 3 - Sale <sup>25</sup>
10/14/21	8.000	1,758.47	Various	1,841.06	...	-82.59	Total of 3 transactions

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
04/14/21	25.000	1,749.99	03/25/21	1,565.00	...	184.99	Sale <sup>25</sup>
04/23/21	25.000	1,749.99	04/20/21	1,500.00	...	249.99	Sale <sup>25</sup>
06/01/21	10.000	719.99	05/04/21	600.00	...	119.99	Sale <sup>25</sup>
	3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	15.000	1,135.49	05/04/21	900.00	...	235.49	1 of 3 - Sale <sup>25</sup>
	15.000	1,135.49	05/04/21	871.31	...	264.18	2 of 3 - Sale <sup>25</sup>
	10.000	757.00	05/06/21	560.00	...	197.00	3 of 3 - Sale <sup>25</sup>
06/02/21	40.000	3,027.98	Various	2,331.31	...	696.67	Total of 3 transactions
	<b>Security total:</b>	<b>7,247.95</b>		<b>5,996.31</b>	...	<b>1,251.64</b>	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
01/14/21	500.000	699.92	09/04/20	242.85	...	457.07	Sale <sup>25</sup>
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
03/09/21	25.000	1,254.99	02/16/21	1,250.00	...	4.99	Sale <sup>25</sup>
04/23/21	50.000	1,999.98	04/20/21	1,619.68	...	380.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,254.97</b>		<b>2,869.68</b>	...	<b>385.29</b>	
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
03/09/21	250.000	212.47	02/04/21	203.71	...	8.76	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/11/21	25.000	862.48	02/05/21	822.50	...	39.98	Sale <sup>25</sup>
	3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	30.000	809.99	02/23/21	1,076.40	...	-266.41	1 of 3 - Sale <sup>25</sup>
	30.000	809.99	02/24/21	960.00	...	-150.01	2 of 3 - Sale <sup>25</sup>
	40.000	1,079.99	03/04/21	880.00	...	199.99	3 of 3 - Sale <sup>25</sup>
03/12/21	100.000	2,699.97	Various	2,916.40	...	-216.43	Total of 3 transactions
	2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.						
	50.000	867.49	04/20/21	955.93	...	-88.44	1 of 2 - Sale <sup>25</sup>
	50.000	867.49	05/06/21	935.00	...	-67.51	2 of 2 - Sale <sup>25</sup>
05/11/21	100.000	1,734.98	Various	1,890.93	...	-155.95	Total of 2 transactions
	<b>Security total:</b>	<b>5,297.43</b>		<b>5,629.83</b>	...	<b>-332.40</b>	

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
05/07/21	25.000	1,873.79	05/07/21	1,872.71	...	1.08	Sale <sup>25</sup>
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
02/19/21	250.000	499.97	02/04/21	385.00	...	114.97	Sale <sup>25</sup>
COHBAR, INC. COMMON STOCK / CUSIP: 19249J109 / Symbol:							
03/05/21	250.000	340.00	02/04/21	472.50	...	-132.50	Sale <sup>25</sup>
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
9 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	8.000	19.20	02/02/21	15.04	...	4.16	1 of 9 - Sale <sup>25</sup>
	25.000	59.99	02/02/21	47.00	...	12.99	2 of 9 - Sale <sup>25</sup>
	25.000	60.00	02/02/21	47.00	...	13.00	3 of 9 - Sale <sup>25</sup>
	50.000	119.99	02/02/21	94.00	...	25.99	4 of 9 - Sale <sup>25</sup>
	50.000	119.99	02/02/21	94.00	...	25.99	5 of 9 - Sale <sup>25</sup>
	75.000	179.99	02/02/21	141.00	...	38.99	6 of 9 - Sale <sup>25</sup>
	75.000	179.99	02/02/21	141.00	...	38.99	7 of 9 - Sale <sup>25</sup>
	92.000	220.78	02/02/21	172.96	...	47.82	8 of 9 - Sale <sup>25</sup>
	100.000	239.98	02/02/21	188.00	...	51.98	9 of 9 - Sale <sup>25</sup>
02/10/21	500.000	1,199.91	Various	940.00	...	259.91	Total of 9 transactions
CREDIT SUISSE AG CREDIT SUISSE X-LINKS CRUDE OIL SHARES COVE RED CALL ETN / CUSIP: 22539T266 / Symbol:							
01/12/21	250.000	1,216.42	06/08/20	1,215.00	...	1.42	Sale <sup>25</sup>
02/05/21	150.000	749.96	06/08/20	729.00	...	20.96	Sale <sup>25</sup>
02/10/21	600.000	2,984.86	06/08/20	2,916.00	...	68.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,951.24</b>		<b>4,860.00</b>	...	<b>91.24</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
01/06/21	50.000	2,349.94	06/12/20	2,025.00	...	324.94	Sale <sup>25</sup>
02/08/21	50.000	2,878.93	07/09/20	1,500.00	...	1,378.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,228.87</b>		<b>3,525.00</b>	...	<b>1,703.87</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
02/26/21	50.000	599.99	08/04/20	505.00	...	94.99	Sale <sup>25</sup>

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Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
06/23/21	100.000	427.99	06/03/21	265.00	...	162.99	Sale <sup>25</sup>
ELOXX PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 29014R103 / Symbol:							
07/29/21	500.000	689.93	05/19/21	755.00	...	-65.07	Sale <sup>25</sup>
ESPORTS ENTERTAINMENT GROUP IN C. COMMON STOCK / CUSIP: 29667K306 / Symbol:							
05/26/21	50.000	552.99	05/06/21	557.50	...	-4.51	Sale <sup>25</sup>
EXELA TECHNOLOGIES, INC. COMMOM N STOCK / CUSIP: 30162V409 / Symbol:							
04/29/21	500.000	970.68	04/27/21	1,028.85	...	-58.17	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
03/04/21	500.000	1,249.93	03/01/21	1,425.00	87.54 W	-87.53	Sale <sup>25</sup>
03/12/21	250.000	1,124.96	03/09/21	987.54	...	137.42	Sale <sup>25</sup>
05/26/21	200.000	813.97	05/19/21	701.00	...	112.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,188.86</b>		<b>3,113.54</b>	<b>87.54 W</b>	<b>162.86</b>	
FTC SOLAR, INC. COMMON STOCK / CUSIP: 30320C103 / Symbol:							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	78.000	935.99	04/28/21	1,146.60	...	-210.61	1 of 2 - Sale <sup>25</sup>
	22.000	264.00	04/28/21	323.40	...	-59.40	2 of 2 - Sale <sup>25</sup>
05/11/21	100.000	1,199.99	Various	1,470.00	...	-270.01	Total of 2 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
04/23/21	30.000	2,051.30	04/19/21	1,993.93	...	57.37	Sale <sup>25</sup>
FINVOLUTION GROUP AMERICAN DEP OSITARY SHARES, EACH REPRESENT ING FIVE CLASS A ORDINARY SHAR / CUSIP: 31810T101 / Symbol:							
04/23/21	200.000	1,315.13	04/22/21	1,272.00	...	43.13	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
03/05/21	50.000	1,084.67	03/05/21	1,050.00	...	34.67	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	25.000	824.99	01/29/21	1,125.70	...	-300.71	1 of 3 - Sale <sup>25</sup>
	15.000	494.99	02/18/21	645.00	...	-150.01	2 of 3 - Sale <sup>25</sup>

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Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	20.000	659.99	03/04/21	656.00	...	3.99	3 of 3 - Sale <sup>25</sup>
03/12/21	60.000	1,979.97	Various	2,426.70	...	-446.73	Total of 3 transactions
04/23/21	50.000	1,020.74	04/20/21	915.75	...	104.99	Sale <sup>25</sup>
05/11/21	50.000	874.99	05/06/21	850.00	...	24.99	Sale <sup>25</sup>
05/11/21	50.000	874.99	05/05/21	900.00	25.01 W	0.00	Sale <sup>25</sup>
06/02/21	25.000	674.99	05/28/21	655.01	...	19.98	Sale <sup>25</sup>
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	25.000	750.00	05/28/21	655.00	...	95.00	1 of 2 - Sale <sup>25</sup>
	25.000	749.99	05/28/21	607.50	...	142.49	2 of 2 - Sale <sup>25</sup>
09/02/21	50.000	1,499.99	Various	1,262.50	...	237.49	Total of 2 transactions
10/19/21	25.000	768.74	07/02/21	750.00	...	18.74	Sale <sup>25</sup>
<b>Security total:</b>		<b>7,694.41</b>		<b>7,759.96</b>	<b>25.01 W</b>	<b>-40.54</b>	
GTT COMMUNICATIONS, INC. COMMO N STOCK / CUSIP: 362393100 / Symbol:							
07/29/21	100.000	59.99	06/02/21	218.00	...	-158.01	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	171.85	02/03/21	104.49	...	67.36	1 of 2 - Sale <sup>25</sup>
	1.000	171.85	02/04/21	78.70	...	93.15	2 of 2 - Sale <sup>25</sup>
02/25/21	2.000	343.70	Various	183.19	...	160.51	Total of 2 transactions
02/26/21	2.000	201.00	02/19/21	77.20	...	123.80	Sale <sup>25</sup>
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	5.000	864.99	04/14/21	806.00	...	58.99	1 of 2 - Sale <sup>25</sup>
	1.000	173.00	04/20/21	157.26	...	15.74	2 of 2 - Sale <sup>25</sup>
05/17/21	6.000	1,037.99	Various	963.26	...	74.73	Total of 2 transactions
2 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	1.000	203.54	04/20/21	157.26	...	46.28	1 of 2 - Sale <sup>25</sup>
	2.000	416.90	04/20/21	314.53	...	102.37	2 of 2 - Sale <sup>25</sup>
05/25/21	3.000	620.44	Various	471.79	...	148.65	Total of 2 transactions

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Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	233.78	04/20/21	157.26	...	76.52	1 of 2 - Sale <sup>25</sup>
	2.000	467.55	05/04/21	311.00	...	156.55	2 of 2 - Sale <sup>25</sup>
05/26/21	3.000	701.33	Various	468.26	...	233.07	Total of 2 transactions
	<b>Security total:</b>	<b>2,904.46</b>		<b>2,163.70</b>	...	<b>740.76</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	49.000	79.38	05/12/21	69.58	...	9.80	1 of 3 - Sale <sup>25</sup>
	51.000	82.61	05/12/21	72.42	...	10.19	2 of 3 - Sale <sup>25</sup>
	150.000	242.98	05/12/21	213.00	...	29.98	3 of 3 - Sale <sup>25</sup>
05/26/21	250.000	404.97	Various	355.00	...	49.97	Total of 3 transactions
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
05/11/21	500.000	560.78	04/27/21	698.95	...	-138.17	Sale <sup>25</sup>
GULF ISLAND FABRICATION, INC. COMMON STOCK / CUSIP: 402307102 / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	199.000	986.79	05/20/21	1,000.97	...	-14.18	1 of 2 - Sale <sup>25</sup>
	1.000	4.95	05/20/21	5.03	...	-0.08	2 of 2 - Sale <sup>25</sup>
05/27/21	200.000	991.74	Various	1,006.00	...	-14.26	Total of 2 transactions
INDEPENDENCE CONTRACT DRILLING, INC. / CUSIP: 453415606 / Symbol:							
01/07/21	250.000	1,124.94	06/19/20	1,122.50	...	2.44	Sale <sup>25</sup>
01/28/21	250.000	1,149.94	06/19/20	1,122.50	...	27.44	Sale <sup>25</sup>
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	100.000	469.99	06/19/20	449.00	...	20.99	1 of 2 - Sale <sup>25</sup>
	100.000	469.99	06/19/20	449.00	...	20.99	2 of 2 - Sale <sup>25</sup>
02/09/21	200.000	939.98	Various	898.00	...	41.98	Total of 2 transactions
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	50.000	235.00	06/19/20	224.50	...	10.50	1 of 3 - Sale <sup>25</sup>
	100.000	531.98	06/19/20	449.00	...	82.98	2 of 3 - Sale <sup>25</sup>
	150.000	797.96	06/19/20	673.50	...	124.46	3 of 3 - Sale <sup>25</sup>
02/10/21	300.000	1,564.94	Various	1,347.00	...	217.94	Total of 3 transactions

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol: (cont'd)							
<b>Security total:</b>		<b>4,779.80</b>		<b>4,490.00</b>	...		<b>289.80</b>
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	50.000	103.00	02/03/21	100.00	...	3.00	1 of 2 - Sale <sup>25</sup>
	50.000	103.00	02/03/21	100.00	...	3.00	2 of 2 - Sale <sup>25</sup>
02/09/21	100.000	206.00	Various	200.00	...	6.00	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/19/21	100.000	1,009.98	01/29/21	1,215.89	...	-205.91	Sale <sup>25</sup>
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	300.000	293.96	02/03/21	215.37	...	78.59	1 of 2 - Sale <sup>25</sup>
	700.000	685.89	02/03/21	501.90	...	183.99	2 of 2 - Sale <sup>25</sup>
02/08/21	1,000.000	979.85	Various	717.27	...	262.58	Total of 2 transactions
INTERCEPT PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 45845P108 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	25.000	511.48	02/02/21	905.75	...	-394.27	1 of 2 - Sale <sup>25</sup>
	25.000	511.49	03/05/21	475.00	...	36.49	2 of 2 - Sale <sup>25</sup>
03/05/21	50.000	1,022.97	Various	1,380.75	...	-357.78	Total of 2 transactions
04/23/21	50.000	1,061.11	04/22/21	1,065.36	4.25 W	0.00	Sale <sup>25</sup>
05/11/21	50.000	813.49	05/06/21	879.25	...	-65.76	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,897.57</b>		<b>3,325.56</b>	<b>4.25 W</b>		<b>-423.54</b>
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
07/29/21	100.000	147.99	06/03/21	188.00	...	-40.01	Sale <sup>25</sup>
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
6 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	22.000	173.14	03/19/21	173.36	...	-0.22	1 of 6 - Sale <sup>25</sup>
	3.000	23.61	03/19/21	23.58	...	0.03	2 of 6 - Sale <sup>25</sup>
	50.000	393.49	03/19/21	392.50	...	0.99	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol: (cont'd)							
	75.000	590.24	03/19/21	588.75	...	1.49	4 of 6 - Sale <sup>25</sup>
	75.000	590.24	03/19/21	588.75	...	1.49	5 of 6 - Sale <sup>25</sup>
	250.000	1,967.45	03/19/21	1,912.50	...	54.95	6 of 6 - Sale <sup>25</sup>
03/19/21	475.000	3,738.17	Various	3,679.44	...	58.73	Total of 6 transactions
	2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	3.000	23.52	03/19/21	23.55	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	22.000	172.04	03/19/21	172.70	0.66 W	0.00	2 of 2 - Sale <sup>25</sup>
03/19/21	25.000	195.56	Various	196.25	0.69 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,933.73</b>		<b>3,875.69</b>	<b>0.69 W</b>	<b>58.73</b>	
LIFEMD, INC. COMMON STOCK / CUSIP: 53216B104 / Symbol:							
05/25/21	25.000	304.25	05/14/21	222.47	...	81.78	Sale <sup>25</sup>
05/27/21	25.000	306.00	05/14/21	222.47	...	83.53	Sale <sup>25</sup>
05/28/21	50.000	649.99	05/14/21	444.93	...	205.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,260.24</b>		<b>889.87</b>	...	<b>370.37</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
	2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	25.000	712.49	07/27/21	635.00	...	77.49	1 of 2 - Sale <sup>25</sup>
	1.000	28.50	07/29/21	24.03	...	4.47	2 of 2 - Sale <sup>25</sup>
10/28/21	26.000	740.99	Various	659.03	...	81.96	Total of 2 transactions
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
03/09/21	30.000	1,740.91	02/19/21	1,580.40	...	160.51	Sale <sup>25</sup>
META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol:							
09/27/21	100.000	599.98	08/30/21	550.00	...	49.98	Sale <sup>25</sup>
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
	4 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	25.000	425.00	04/16/21	277.50	...	147.50	1 of 4 - Sale <sup>25</sup>
	25.000	450.00	04/16/21	277.50	...	172.50	2 of 4 - Sale <sup>25</sup>
	25.000	450.50	04/20/21	257.25	...	193.25	3 of 4 - Sale <sup>25</sup>
	25.000	475.00	04/20/21	257.25	...	217.75	4 of 4 - Sale <sup>25</sup>
04/23/21	100.000	1,800.50	Various	1,069.50	...	731.00	Total of 4 transactions

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSTRATEGY INCORPORATED COM MON STOCK CLASS A / CUSIP: 594972408 / Symbol:							
06/07/21	0.583	274.60	04/30/21	381.27	106.67 W	0.00	Sale <sup>25</sup>
	<i>6 transactions for 06/11/21. Total proceeds and cost reported to the IRS.</i>						
	0.181	93.30	04/30/21	118.73	...	-25.43	1 of 6 - Sale <sup>25</sup>
	0.327	168.00	05/04/21	200.00	...	-32.00	2 of 6 - Sale <sup>25</sup>
	0.488	250.79	05/06/21	297.53	...	-46.74	3 of 6 - Sale <sup>25</sup>
	0.004	2.08	05/06/21	2.47	...	-0.39	4 of 6 - Sale <sup>25</sup>
	0.583	299.63	05/19/21	358.48	...	-58.85	5 of 6 - Sale <sup>25</sup>
	0.417	214.54	05/19/21	180.31	...	34.23	6 of 6 - Sale <sup>25</sup>
06/11/21	2.000	1,028.34	Various	1,157.52	...	-129.18	Total of 6 transactions
	<b>Security total:</b>	<b>1,302.94</b>		<b>1,538.79</b>	<b>106.67 W</b>	<b>-129.18</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
01/26/21	10.000	1,536.76	07/15/20	790.00	...	746.76	Sale <sup>25</sup>
	<i>2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	984.98	07/15/20	395.00	...	589.98	1 of 2 - Sale <sup>25</sup>
	5.000	984.97	09/04/20	305.00	...	679.97	2 of 2 - Sale <sup>25</sup>
01/29/21	10.000	1,969.95	Various	700.00	...	1,269.95	Total of 2 transactions
02/03/21	20.000	3,199.92	09/04/20	1,220.00	...	1,979.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,706.63</b>		<b>2,710.00</b>	...	<b>3,996.63</b>	
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
02/04/21	100.000	903.97	02/01/21	803.00	...	100.97	Sale <sup>25</sup>
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
	<i>2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.</i>						
	0.289	91.26	05/04/21	80.22	...	11.04	1 of 2 - Sale <sup>25</sup>
	0.655	204.13	05/04/21	181.48	...	22.65	2 of 2 - Sale <sup>25</sup>
06/07/21	0.944	295.39	Various	261.70	...	33.69	Total of 2 transactions
	<i>2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>						
	0.860	275.07	05/04/21	238.30	...	36.77	1 of 2 - Sale <sup>25</sup>
	1.140	364.92	05/06/21	300.00	...	64.92	2 of 2 - Sale <sup>25</sup>
06/08/21	2.000	639.99	Various	538.30	...	101.69	Total of 2 transactions
	<b>Security total:</b>	<b>935.38</b>		<b>800.00</b>	...	<b>135.38</b>	

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Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/19/21	20.000	865.99	01/05/21	1,032.57	166.58 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	5.000	215.00	01/05/21	258.14	43.14 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	859.99	01/08/21	1,173.80	313.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	215.00	01/15/21	292.05	77.05 W	0.00	3 of 3 - Sale <sup>25</sup>
04/26/21	30.000	1,289.99	Various	1,723.99	434.00 W	0.00	Total of 3 transactions
	2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	20.000	821.40	03/04/21	760.00	...	61.40	1 of 2 - Sale <sup>25</sup>
	20.000	821.41	03/25/21	700.00	...	121.41	2 of 2 - Sale <sup>25</sup>
04/27/21	40.000	1,642.81	Various	1,460.00	...	182.81	Total of 2 transactions
	8 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	10.000	410.70	01/15/21	584.10	173.40 W	0.00	1 of 8 - Sale <sup>25</sup>
	20.000	821.40	02/18/21	1,242.58	421.18 W	0.00	2 of 8 - Sale <sup>25</sup>
	20.000	821.40	03/02/21	890.00	68.60 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	164.28	04/20/21	208.52	44.24 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	205.35	04/20/21	225.33	19.98 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	205.35	04/20/21	259.24	53.89 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	205.35	04/20/21	260.65	55.30 W	0.00	7 of 8 - Sale <sup>25</sup>
	11.000	451.77	04/20/21	573.42	121.65 W	0.00	8 of 8 - Sale <sup>25</sup>
04/27/21	80.000	3,285.60	Various	4,243.84	958.24 W	0.00	Total of 8 transactions
	3 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	10.000	394.45	04/28/21	583.40	188.95 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	788.89	04/28/21	888.60	99.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	788.89	04/28/21	1,241.18	452.29 W	0.00	3 of 3 - Sale <sup>25</sup>
04/29/21	50.000	1,972.23	Various	2,713.18	740.95 W	0.00	Total of 3 transactions
	10 transactions for 05/11/21. Total proceeds and cost reported to the IRS.						
	4.000	131.98	04/30/21	194.44	62.46 W	0.00	1 of 10 - Sale <sup>25</sup>
	5.000	157.00	04/30/21	207.73	50.73 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	157.00	04/30/21	243.05	86.05 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	164.97	04/30/21	241.64	76.67 W	0.00	4 of 10 - Sale <sup>25</sup>
	9.000	282.60	04/30/21	541.48	258.88 W	0.00	5 of 10 - Sale <sup>25</sup>
	11.000	345.39	04/30/21	661.81	316.42 W	0.00	6 of 10 - Sale <sup>25</sup>
	11.000	362.94	04/30/21	534.70	171.76 W	0.00	7 of 10 - Sale <sup>25</sup>

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Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	348.99	05/04/21	423.65	74.66 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	349.00	05/04/21	562.75	213.75 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	174.50	05/06/21	207.43	32.93 W	0.00	10 of 10 - Sale <sup>25</sup>
05/11/21	75.000	2,474.37	Various	3,818.68	1,344.31 W	0.00	Total of 10 transactions
	2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.						
	11.000	378.94	05/10/21	701.42	...	-322.48	1 of 2 - Sale <sup>25</sup>
	9.000	310.05	05/10/21	573.88	...	-263.83	2 of 2 - Sale <sup>25</sup>
05/12/21	20.000	688.99	Various	1,275.30	...	-586.31	Total of 2 transactions
05/12/21	5.000	172.25	05/06/21	207.42	35.17 W	0.00	Sale <sup>25</sup>
06/11/21	5.000	225.00	05/19/21	215.73	...	9.27	Sale <sup>25</sup>
06/11/21	5.000	225.00	05/19/21	251.05	26.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,842.23</b>		<b>16,941.76</b>	<b>3,705.30 W</b>	<b>-394.23</b>	
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
01/12/21	500.000	575.42	06/19/20	1,225.00	...	-649.58	Sale <sup>25</sup>
	3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	250.000	424.96	06/19/20	612.50	...	-187.54	1 of 3 - Sale <sup>25</sup>
	250.000	424.96	10/30/20	225.00	...	199.96	2 of 3 - Sale <sup>25</sup>
	500.000	1,049.91	10/30/20	450.00	...	599.91	3 of 3 - Sale <sup>25</sup>
02/09/21	1,000.000	1,899.83	Various	1,287.50	...	612.33	Total of 3 transactions
	<b>Security total:</b>	<b>2,475.25</b>		<b>2,512.50</b>	...	<b>-37.25</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
02/18/21	250.000	1,027.89	02/08/21	1,062.50	...	-34.61	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/08/21	100.000	1,381.95	02/02/21	314.83	...	1,067.12	Sale <sup>25</sup>
	6 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	50.000	474.98	02/02/21	157.42	...	317.56	1 of 6 - Sale <sup>25</sup>
	100.000	949.96	02/02/21	314.83	...	635.13	2 of 6 - Sale <sup>25</sup>
	17.000	161.50	02/03/21	46.41	...	115.09	3 of 6 - Sale <sup>25</sup>
	50.000	474.98	02/03/21	136.50	...	338.48	4 of 6 - Sale <sup>25</sup>
	83.000	788.47	02/03/21	226.59	...	561.88	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	100.000	949.96	02/03/21	273.00	...	676.96	6 of 6 - Sale <sup>25</sup>
02/11/21	400.000	3,799.85	Various	1,154.75	...	2,645.10	Total of 6 transactions
02/26/21	100.000	1,049.98	02/11/21	1,285.50	235.52 W	0.00	Sale <sup>25</sup>
03/03/21	50.000	689.99	02/11/21	717.76	27.77 W	0.00	Sale <sup>25</sup>
5 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	9.000	67.50	02/11/21	129.20	61.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	41.000	307.49	02/11/21	588.56	281.07 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	374.99	02/11/21	575.00	200.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	374.99	02/11/21	624.72	249.73 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	375.00	02/11/21	596.94	221.94 W	0.00	5 of 5 - Sale <sup>25</sup>
04/07/21	200.000	1,499.97	Various	2,514.42	1,014.45 W	0.00	Total of 5 transactions
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	50.000	499.99	02/11/21	575.00	75.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	499.99	02/11/21	575.00	75.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/22/21	100.000	999.98	Various	1,150.00	150.02 W	0.00	Total of 2 transactions
13 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	50.000	464.09	02/23/21	325.00	...	139.09	1 of 13 - Sale <sup>25</sup>
	50.000	529.99	02/23/21	325.00	...	204.99	2 of 13 - Sale <sup>25</sup>
	50.000	624.99	03/24/21	575.01	...	49.98	3 of 13 - Sale <sup>25</sup>
	50.000	659.99	03/24/21	450.01	...	209.98	4 of 13 - Sale <sup>25</sup>
	24.000	299.99	03/29/21	209.23	...	90.76	5 of 13 - Sale <sup>25</sup>
	26.000	324.99	03/29/21	226.67	...	98.32	6 of 13 - Sale <sup>25</sup>
	24.000	300.00	03/29/21	192.00	...	108.00	7 of 13 - Sale <sup>25</sup>
	26.000	325.00	03/29/21	208.01	...	116.99	8 of 13 - Sale <sup>25</sup>
	9.000	113.85	04/20/21	89.78	...	24.07	9 of 13 - Sale <sup>25</sup>
	41.000	518.64	04/20/21	409.00	...	109.64	10 of 13 - Sale <sup>25</sup>
	50.000	632.49	04/20/21	455.74	...	176.75	11 of 13 - Sale <sup>25</sup>
	50.000	632.49	04/20/21	327.95	...	304.54	12 of 13 - Sale <sup>25</sup>
	50.000	632.49	04/20/21	268.50	...	363.99	13 of 13 - Sale <sup>25</sup>
04/23/21	500.000	6,059.00	Various	4,061.90	...	1,997.10	Total of 13 transactions
5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	50.000	464.10	02/11/21	575.00	110.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	9.000	104.40	03/15/21	145.85	41.45 W	0.00	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	41.000	475.59	03/15/21	664.42	188.83 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	529.99	03/15/21	717.23	187.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	629.99	03/15/21	689.44	59.45 W	0.00	5 of 5 - Sale <sup>25</sup>
04/23/21	200.000	2,204.07	Various	2,791.94	587.87 W	0.00	Total of 5 transactions
	3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	1.000	10.00	04/28/21	11.17	1.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	100.00	04/28/21	111.70	11.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	39.000	390.00	04/28/21	435.63	45.63 W	0.00	3 of 3 - Sale <sup>25</sup>
06/07/21	50.000	500.00	Various	558.50	58.50 W	0.00	Total of 3 transactions
06/08/21	50.000	549.99	04/28/21	558.50	8.51 W	0.00	Sale <sup>25</sup>
08/10/21	100.000	899.98	04/28/21	1,117.00	...	-217.02	Sale <sup>25</sup>
11/02/21	50.000	774.99	05/06/21	495.44	...	279.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,409.75</b>		<b>16,720.54</b>	<b>2,082.64 W</b>	<b>5,771.85</b>	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
	4 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	50.000	52.49	02/09/21	127.51	75.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	52.50	02/09/21	102.50	50.00 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	52.50	02/09/21	102.51	50.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	104.99	02/09/21	155.00	50.01 W	0.00	4 of 4 - Sale <sup>25</sup>
03/02/21	250.000	262.48	Various	487.52	225.04 W	0.00	Total of 4 transactions
	4 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	50.000	66.00	02/09/21	152.52	...	-86.52	1 of 4 - Sale <sup>25</sup>
	50.000	65.99	02/09/21	127.50	...	-61.51	2 of 4 - Sale <sup>25</sup>
	100.000	131.99	02/09/21	155.00	...	-23.01	3 of 4 - Sale <sup>25</sup>
	50.000	65.99	02/09/21	77.50	...	-11.51	4 of 4 - Sale <sup>25</sup>
03/09/21	250.000	329.97	Various	512.52	...	-182.55	Total of 4 transactions
	<b>Security total:</b>	<b>592.45</b>		<b>1,000.04</b>	<b>225.04 W</b>	<b>-182.55</b>	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
03/09/21	100.000	297.99	02/11/21	430.00	...	-132.01	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	5.000	8.80	02/03/21	7.20	...	1.60	1 of 2 - Sale <sup>25</sup>
	95.000	167.32	02/03/21	136.80	...	30.52	2 of 2 - Sale <sup>25</sup>
02/04/21	100.000	176.12	Various	144.00	...	32.12	Total of 2 transactions
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
03/05/21	500.000	350.74	02/04/21	519.45	...	-168.71	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
03/05/21	50.000	1,201.49	03/05/21	1,175.00	...	26.49	Sale <sup>25</sup>
04/05/21	50.000	1,201.24	03/25/21	1,090.75	...	110.49	Sale <sup>25</sup>
6 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	50.000	1,225.24	04/20/21	1,077.46	...	147.78	1 of 6 - Sale <sup>25</sup>
	20.000	499.99	05/06/21	403.00	...	96.99	2 of 6 - Sale <sup>25</sup>
	30.000	735.14	05/06/21	604.50	...	130.64	3 of 6 - Sale <sup>25</sup>
	26.000	649.99	06/03/21	613.08	...	36.91	4 of 6 - Sale <sup>25</sup>
	18.000	450.00	07/14/21	396.00	...	54.00	5 of 6 - Sale <sup>25</sup>
	36.000	899.99	07/23/21	792.00	...	107.99	6 of 6 - Sale <sup>25</sup>
08/26/21	180.000	4,460.35	Various	3,886.04	...	574.31	Total of 6 transactions
	<b>Security total:</b>	<b>6,863.08</b>		<b>6,151.79</b>	...	<b>711.29</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	20.000	782.62	01/14/21	1,290.80	...	-508.18	1 of 3 - Sale <sup>25</sup>
	20.000	782.61	01/21/21	1,180.00	...	-397.39	2 of 3 - Sale <sup>25</sup>
	20.000	782.62	03/04/21	830.00	...	-47.38	3 of 3 - Sale <sup>25</sup>
03/05/21	60.000	2,347.85	Various	3,300.80	...	-952.95	Total of 3 transactions
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	30.000	141.62	02/25/21	280.50	...	-138.88	1 of 2 - Sale <sup>25</sup>
	20.000	94.41	03/01/21	171.00	...	-76.59	2 of 2 - Sale <sup>25</sup>
05/04/21	50.000	236.03	Various	451.50	...	-215.47	Total of 2 transactions

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2021 1099-B\* OMB No. 1545-0715

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PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	10.000	47.60	03/01/21	85.50	...	-37.90	1 of 2 - Sale <sup>25</sup>
	40.000	190.40	03/09/21	340.00	...	-149.60	2 of 2 - Sale <sup>25</sup>
05/11/21	50.000	238.00	Various	425.50	...	-187.50	Total of 2 transactions
4 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	20.000	101.60	03/09/21	170.00	...	-68.40	1 of 4 - Sale <sup>25</sup>
	90.000	539.98	03/11/21	720.00	...	-180.02	2 of 4 - Sale <sup>25</sup>
	30.000	152.40	03/11/21	240.00	...	-87.60	3 of 4 - Sale <sup>25</sup>
	10.000	60.00	03/15/21	75.00	...	-15.00	4 of 4 - Sale <sup>25</sup>
05/12/21	150.000	853.98	Various	1,205.00	...	-351.02	Total of 4 transactions
	<b>Security total:</b>	<b>1,328.01</b>		<b>2,082.00</b>	...	<b>-753.99</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	10.000	233.00	03/15/21	750.00	...	-517.00	1 of 3 - Sale <sup>25</sup>
	12.000	279.59	03/17/21	802.77	...	-523.18	2 of 3 - Sale <sup>25</sup>
	3.000	69.90	03/17/21	200.69	...	-130.79	3 of 3 - Sale <sup>25</sup>
09/17/21	25.000	582.49	Various	1,753.46	...	-1,170.97	Total of 3 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
06/21/21	50.000	1,305.99	06/15/21	1,356.12	...	-50.13	Sale <sup>25</sup>
REEDS, INC. COMMON STOCK / CUSIP: 758338107 / Symbol:							
3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	409.000	408.95	05/04/21	511.25	...	-102.30	1 of 3 - Sale <sup>25</sup>
	61.000	60.99	05/04/21	76.25	...	-15.26	2 of 3 - Sale <sup>25</sup>
	30.000	30.00	05/04/21	37.50	...	-7.50	3 of 3 - Sale <sup>25</sup>
05/28/21	500.000	499.94	Various	625.00	...	-125.06	Total of 3 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
4 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	5.000	256.25	07/29/21	190.00	...	66.25	1 of 4 - Sale <sup>25</sup>
	25.000	1,271.49	07/29/21	950.00	...	321.49	2 of 4 - Sale <sup>25</sup>

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ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
	30.000	1,525.78	07/29/21	1,140.00	...	385.78	3 of 4 - Sale <sup>25</sup>
	40.000	2,049.98	07/29/21	1,520.00	...	529.98	4 of 4 - Sale <sup>25</sup>
08/05/21	100.000	5,103.50	Various	3,800.00	...	1,303.50	Total of 4 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
04/23/21	50.000	1,105.99	04/22/21	1,094.93	...	11.06	Sale <sup>25</sup>
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
03/19/21	150.000	319.48	03/16/21	357.02	...	-37.54	Sale <sup>25</sup>
03/19/21	500.000	1,064.93	03/16/21	1,200.00	40.52 W	-94.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,384.41</b>		<b>1,557.02</b>	<b>40.52 W</b>	<b>-132.09</b>	
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
03/05/21	250.000	624.96	03/04/21	687.50	...	-62.54	Sale <sup>25</sup>
	3 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	100.000	316.98	06/14/21	440.00	...	-123.02	1 of 3 - Sale <sup>25</sup>
	100.000	316.99	06/14/21	394.00	...	-77.01	2 of 3 - Sale <sup>25</sup>
	100.000	316.98	06/16/21	350.00	...	-33.02	3 of 3 - Sale <sup>25</sup>
07/29/21	300.000	950.95	Various	1,184.00	...	-233.05	Total of 3 transactions
	<b>Security total:</b>	<b>1,575.91</b>		<b>1,871.50</b>	...	<b>-295.59</b>	
SERES THERAPEUTICS, INC. COMMON STOCK / CUSIP: 81750R102 / Symbol:							
03/05/21	100.000	1,900.99	03/01/21	2,025.00	...	-124.01	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
04/23/21	100.000	430.21	04/20/21	430.86	...	-0.65	Sale <sup>25</sup>
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
02/10/21	1.000	3.90	02/05/21	3.68	...	0.22	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
02/09/21	250.000	417.47	02/09/21	365.00	...	52.47	Sale <sup>25</sup>
	2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	100.000	109.99	02/11/21	225.00	115.01 W	0.00	1 of 2 - Sale <sup>25</sup>

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	100.000	109.99	02/11/21	275.00	165.01 W	0.00	2 of 2 - Sale <sup>25</sup>
06/02/21	200.000	219.98	Various	500.00	280.02 W	0.00	Total of 2 transactions
06/03/21	100.000	128.99	02/12/21	201.81	72.82 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>766.44</b>		<b>1,066.81</b>	<b>352.84 W</b>	<b>52.47</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.711	428.01	04/28/21	500.00	71.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.061	36.48	04/29/21	40.74	4.26 W	0.00	2 of 2 - Sale <sup>25</sup>
06/07/21	0.772	464.49	Various	540.74	76.25 W	0.00	Total of 2 transactions
06/14/21	0.000	0.01	04/30/21	0.01	...	0.00	Sale <sup>25</sup>
5 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	0.237	146.84	04/29/21	159.26	12.42 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.299	185.43	04/30/21	199.99	14.56 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.303	187.94	05/04/21	200.00	12.06 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.453	280.59	05/06/21	300.00	19.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.708	439.18	05/12/21	486.67	47.49 W	0.00	5 of 5 - Sale <sup>25</sup>
06/14/21	2.000	1,239.98	Various	1,345.92	105.94 W	0.00	Total of 5 transactions
14 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	0.003	2.85	05/12/21	1.79	...	1.06	1 of 14 - Sale <sup>25</sup>
	0.061	66.05	05/12/21	39.75	...	26.30	2 of 14 - Sale <sup>25</sup>
	0.228	248.99	05/12/21	133.81	...	115.18	3 of 14 - Sale <sup>25</sup>
	0.161	175.43	05/17/21	98.03	...	77.40	4 of 14 - Sale <sup>25</sup>
	0.237	258.16	05/17/21	146.53	...	111.63	5 of 14 - Sale <sup>25</sup>
	0.299	326.00	05/17/21	183.91	...	142.09	6 of 14 - Sale <sup>25</sup>
	0.303	330.40	05/17/21	183.71	...	146.69	7 of 14 - Sale <sup>25</sup>
	0.000	0.02	05/19/21	0.01	...	0.01	8 of 14 - Sale <sup>25</sup>
	0.292	317.87	05/19/21	174.29	...	143.58	9 of 14 - Sale <sup>25</sup>
	0.708	772.11	05/19/21	440.45	...	331.66	10 of 14 - Sale <sup>25</sup>
	0.000	0.20	06/03/21	0.11	...	0.09	11 of 14 - Sale <sup>25</sup>
	0.840	915.77	06/03/21	499.89	...	415.88	12 of 14 - Sale <sup>25</sup>
	0.868	946.13	06/03/21	500.00	...	446.13	13 of 14 - Sale <sup>25</sup>
	1.000	1,089.99	07/23/21	645.00	...	444.99	14 of 14 - Sale <sup>25</sup>
10/29/21	5.000	5,449.97	Various	3,047.28	...	2,402.69	Total of 14 transactions

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Robinhood Securities LLC

Account 158480517

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>7,154.45</b>		<b>4,933.95</b>		<b>182.19 W</b>	<b>2,402.69</b>
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
01/12/21	50.000	674.98	05/22/20	507.50	...	167.48	Sale <sup>25</sup>
01/14/21	50.000	799.98	05/22/20	507.50	...	292.48	Sale <sup>25</sup>
01/15/21	50.000	1,072.97	05/22/20	507.50	...	565.47	Sale <sup>25</sup>
02/08/21	50.000	1,439.96	05/22/20	507.50	...	932.46	Sale <sup>25</sup>
02/09/21	50.000	1,842.45	05/22/20	507.50	...	1,334.95	Sale <sup>25</sup>
02/10/21	50.000	3,499.92	05/22/20	507.50	...	2,992.42	Sale <sup>25</sup>
03/12/21	100.000	2,849.97	05/22/20	1,015.00	...	1,834.97	Sale <sup>25</sup>
05/28/21	100.000	1,759.98	03/16/21	2,840.00	1,080.02 W	0.00	Sale <sup>25</sup>
06/02/21	25.000	462.50	03/24/21	550.00	...	-87.50	Sale <sup>25</sup>
06/09/21	25.000	549.99	03/24/21	550.00	...	-0.01	Sale <sup>25</sup>
<b>Security total:</b>		<b>14,952.70</b>		<b>8,000.00</b>		<b>1,080.02 W</b>	<b>8,032.72</b>
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
02/11/21	100.000	1,375.71	02/09/21	1,425.00	...	-49.29	Sale <sup>25</sup>
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	100.000	184.99	02/05/21	315.00	...	-130.01	1 of 4 - Sale <sup>25</sup>
	50.000	92.50	02/05/21	157.50	...	-65.00	2 of 4 - Sale <sup>25</sup>
	50.000	92.50	02/05/21	157.50	...	-65.00	3 of 4 - Sale <sup>25</sup>
	50.000	92.49	02/05/21	145.50	...	-53.01	4 of 4 - Sale <sup>25</sup>
03/11/21	250.000	462.48	Various	775.50	...	-313.02	Total of 4 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
04/05/21	25.000	1,437.49	03/29/21	1,322.50	...	114.99	Sale <sup>25</sup>
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
02/16/21	50.000	350.00	01/07/21	324.00	...	26.00	Sale <sup>25</sup>
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	62.000	437.08	01/07/21	401.76	...	35.32	1 of 3 - Sale <sup>25</sup>
	138.000	972.85	01/07/21	894.24	...	78.61	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol: (cont'd)							
	100.000	704.97	01/08/21	617.00	...	87.97	3 of 3 - Sale <sup>25</sup>
02/22/21	300.000	2,114.90	Various	1,913.00	...	201.90	Total of 3 transactions
	<b>Security total:</b>	<b>2,464.90</b>		<b>2,237.00</b>	...	<b>227.90</b>	
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
<i>4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	684.98	12/07/20	635.00	...	49.98	1 of 4 - Sale <sup>25</sup>
	100.000	684.98	12/07/20	635.00	...	49.98	2 of 4 - Sale <sup>25</sup>
	100.000	684.98	12/07/20	635.00	...	49.98	3 of 4 - Sale <sup>25</sup>
	200.000	1,369.97	12/07/20	1,270.00	...	99.97	4 of 4 - Sale <sup>25</sup>
03/04/21	500.000	3,424.91	Various	3,175.00	...	249.91	Total of 4 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
05/25/21	10.000	255.61	05/06/21	190.00	...	65.61	Sale <sup>25</sup>
<i>4 transactions for 05/26/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	51.00	05/06/21	38.00	...	13.00	1 of 4 - Sale <sup>25</sup>
	8.000	204.00	05/06/21	152.00	...	52.00	2 of 4 - Sale <sup>25</sup>
	10.000	265.00	05/06/21	190.00	...	75.00	3 of 4 - Sale <sup>25</sup>
	20.000	532.99	05/06/21	380.00	...	152.99	4 of 4 - Sale <sup>25</sup>
05/26/21	40.000	1,052.99	Various	760.00	...	292.99	Total of 4 transactions
	<b>Security total:</b>	<b>1,308.60</b>		<b>950.00</b>	...	<b>358.60</b>	
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
03/02/21	100.000	649.98	02/08/21	1,015.00	...	-365.02	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
<i>2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	415.22	02/23/21	490.50	...	-75.28	1 of 2 - Sale <sup>25</sup>
	30.000	415.23	02/24/21	447.60	...	-32.37	2 of 2 - Sale <sup>25</sup>
03/05/21	60.000	830.45	Various	938.10	...	-107.65	Total of 2 transactions
06/08/21	50.000	849.99	06/03/21	811.97	...	38.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,680.44</b>		<b>1,750.07</b>	...	<b>-69.63</b>	

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
02/11/21	500.000	855.47	02/08/21	749.45	...	106.02	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/04/21	250.000	374.97	02/04/21	351.25	...	23.72	Sale <sup>25</sup>
BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol:							
<i>3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	83.57	02/05/21	66.50	...	17.07	1 of 3 - Sale <sup>25</sup>
	100.000	167.16	02/05/21	132.50	...	34.66	2 of 3 - Sale <sup>25</sup>
	100.000	167.16	02/05/21	132.50	...	34.66	3 of 3 - Sale <sup>25</sup>
02/11/21	250.000	417.89	Various	331.50	...	86.39	Total of 3 transactions
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	36.000	354.24	02/04/21	209.70	...	144.54	1 of 2 - Sale <sup>25</sup>
	64.000	629.09	02/04/21	372.80	...	256.29	2 of 2 - Sale <sup>25</sup>
02/17/21	100.000	983.33	Various	582.50	...	400.83	Total of 2 transactions
03/11/21	100.000	799.98	03/04/21	579.01	...	220.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,783.31</b>		<b>1,161.51</b>	...	<b>621.80</b>	
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
<i>3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	53.000	458.44	03/30/21	556.50	...	-98.06	1 of 3 - Sale <sup>25</sup>
	47.000	406.54	03/30/21	493.50	...	-86.96	2 of 3 - Sale <sup>25</sup>
	50.000	432.49	04/20/21	389.68	...	42.81	3 of 3 - Sale <sup>25</sup>
04/23/21	150.000	1,297.47	Various	1,439.68	...	-142.21	Total of 3 transactions
INNOVIZ TECHNOLOGIES LTD. ORDINARY SHARES / CUSIP: M5R635108 / Symbol:							
06/23/21	100.000	1,077.98	06/15/21	1,000.00	...	77.98	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L125 / Symbol:							
<i>2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.</i>							
	98.000	208.73	06/04/21	359.66	...	-150.93	1 of 2 - Sale <sup>25</sup>
	2.000	4.26	06/04/21	7.34	...	-3.08	2 of 2 - Sale <sup>25</sup>
07/29/21	100.000	212.99	Various	367.00	...	-154.01	Total of 2 transactions

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Robinhood Securities LLC

Account 158480517

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	96.00	02/08/21	73.75	...	22.25	1 of 2 - Sale <sup>25</sup>
	38.000	304.00	02/08/21	233.55	...	70.45	2 of 2 - Sale <sup>25</sup>
02/16/21	50.000	400.00	Various	307.30	...	92.70	Total of 2 transactions
05/03/21	200.000	1,085.97	02/08/21	1,229.22	71.63 W	-71.62	Sale <sup>25</sup>
<i>2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>							
	16.000	83.20	03/05/21	70.40	...	12.80	1 of 2 - Sale <sup>25</sup>
	84.000	436.79	03/05/21	369.60	...	67.19	2 of 2 - Sale <sup>25</sup>
06/10/21	100.000	519.99	Various	440.00	...	79.99	Total of 2 transactions
06/11/21	100.000	522.54	04/20/21	467.98	...	54.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,528.50</b>		<b>2,444.50</b>	<b>71.63 W</b>	<b>155.63</b>	
<b>Totals :</b>		<b>238,695.11</b>		<b>223,404.69</b>	<b>11,138.13 W</b>	<b>26,428.55</b>	

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Robinhood Securities LLC

Account 158480517

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		08/12/21	1.32	Qualified dividend	
			11/11/21	1.32	Qualified dividend	
				<b>2.64</b>	<b>Total Dividends &amp; distributions</b>	
BARRICK GOLD CORP.	067901108		03/15/21	8.10	Nonqualified dividend	
			03/15/21	-1.22	Foreign tax withheld-CA	
				<b>8.10</b>	<b>Total Dividends &amp; distributions</b>	
			<b>-1.22</b>	<b>Total Foreign tax withheld</b>		
CREDIT SUISSE AG CREDIT SUISSE X-LINKS CRUDE OIL SHARES COVE RED CALL ETN	22539T266		01/25/21	48.30	Nonqualified dividend	
				<b>59.04</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-1.22</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 158480517

## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BARRICK GOLD CORP.	067901108	8.10	0.00	0.00	8.10	-1.22	
<b>Total CANADA</b>			<b>8.10</b>	<b>0.00</b>	<b>0.00</b>	<b>8.10</b>	<b>-1.22</b>	
SZ	CREDIT SUISSE AG CREDIT SUISSE X-LINKS CRUDE OIL SHARES COVE RED CALL ETN	22539T266	48.30	0.00	0.00	48.30	0.00	
<b>Total SWITZERLAND</b>			<b>48.30</b>	<b>0.00</b>	<b>0.00</b>	<b>48.30</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>56.40</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>						<b>-1.22</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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