

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 985186634</b>	<b>Statement Date:</b> 02/11/2022 <b>Document ID:</b> C11A RQ6 TSRR	<b>2021</b>
	Gayathri yadav Paidymanu 175 Centre St 709 Quincy, MA 02169  RECIPIENT'S TIN: XXX-XX-6935		

[ ] FATCA filing requirement (see instructions)		Summary Information	
<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2021 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b>
			<b>2021 1099-MISC*</b>
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties
1b- Qualified dividends	0.00		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>
2e- Section 897 ordinary dividends	0.00		<b>2021 1099-B*</b>
2f- Section 897 capital gain	0.00		OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2021 on closed contracts
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2020
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts
8- Foreign country or US possession:	0.00		
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>
9- Cash liquidation distributions	0.00		<b>* This is important tax information and is being furnished to the Internal Revenue Service.</b>
10- Noncash liquidation distributions	0.00		<b>If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	25,137.55	34,513.07	0.00	903.67	-8,471.85
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>25,137.55</b>	<b>34,513.07</b>	<b>0.00</b>	<b>903.67</b>	<b>-8,471.85</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>25,137.55</b>	<b>34,513.07</b>	<b>0.00</b>	<b>903.67</b>	<b>-8,471.85</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 985186634

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/29/2021 CALL \$155.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/25/21	190.00	...	-190.00	Option expiration- long call
AAPL 03/05/2021 CALL \$130.00 / CUSIP: / Symbol:							
	2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	1.000	77.99	02/25/21	105.00	...	-27.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	77.99	02/26/21	41.00	...	36.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/01/21	2.000	155.98	Various	146.00	...	9.98	Total of 2 transactions
AAPL 03/12/2021 CALL \$126.00 / CUSIP: / Symbol:							
03/10/21	1.000	16.98	03/09/21	22.00	...	-5.02	Option sale to close-call <sup>25</sup>
ACB 01/08/2021 CALL \$10.50 / CUSIP: / Symbol:							
01/07/21	1.000	63.98	01/06/21	60.00	...	3.98	Option sale to close-call <sup>25</sup>

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Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 01/08/2021 CALL \$9.50 / CUSIP: / Symbol:							
01/06/21	1.000	149.98	01/04/21	98.00	...	51.98	Option sale to close-call <sup>25</sup>
ACB 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>9 transactions for 01/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	79.99	12/22/20	75.00	...	4.99	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	79.99	12/22/20	75.00	...	4.99	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	79.99	12/22/20	73.00	...	6.99	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	83.99	12/22/20	75.00	...	8.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	83.99	12/22/20	75.00	...	8.99	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	79.99	12/22/20	70.00	...	9.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	81.99	12/23/20	61.00	...	20.99	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	81.99	12/24/20	75.00	...	6.99	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	12/28/20	59.00	...	30.98	9 of 9 - Option sale to close-call <sup>25</sup>
01/04/21	9.000	741.90	Various	638.00	...	103.90	Total of 9 transactions
<i>5 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	129.98	12/28/20	59.00	...	70.98	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	259.99	12/29/20	92.00	...	167.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	109.98	01/04/21	99.00	...	10.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	129.99	01/04/21	98.00	...	31.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	259.99	01/04/21	198.00	...	61.99	5 of 5 - Option sale to close-call <sup>25</sup>
01/07/21	7.000	889.93	Various	546.00	...	343.93	Total of 5 transactions
	<b>Security total:</b>	<b>1,631.83</b>		<b>1,184.00</b>	...	<b>447.83</b>	
ACB 01/15/2021 CALL \$10.50 / CUSIP: / Symbol:							
01/07/21	1.000	62.98	01/04/21	83.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
01/12/21	1.000	41.98	01/06/21	111.02	...	-69.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>104.96</b>		<b>194.02</b>	<b>20.02 W</b>	<b>-69.04</b>	
ACB 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/07/21	1.000	257.98	01/04/21	188.00	...	69.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 01/15/2021 CALL \$9.00 / CUSIP: / Symbol:							
01/04/21	1.000	119.98	12/29/20	53.00	...	66.98	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	174.99	01/04/21	137.00	...	37.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.99	01/04/21	135.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	2.000	349.98	Various	272.00	...	77.98	Total of 2 transactions
	<b>Security total:</b>	<b>469.96</b>		<b>325.00</b>	...	<b>144.96</b>	
ACB 01/15/2021 CALL \$9.50 / CUSIP: / Symbol:							
01/04/21	1.000	104.98	12/24/20	91.00	...	13.98	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	149.98	01/04/21	117.00	...	32.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	01/05/21	98.00	...	51.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	2.000	299.96	Various	215.00	...	84.96	Total of 2 transactions
	<b>Security total:</b>	<b>404.94</b>		<b>306.00</b>	...	<b>98.94</b>	
ACB 02/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
02/10/21	1.000	229.98	02/09/21	94.00	...	135.98	Option sale to close-call <sup>25</sup>
AGC 11/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
11/16/21	1.000	14.98	11/09/21	160.00	...	-145.02	Option sale to close-call <sup>25</sup>
AMC 01/29/2021 CALL \$4.50 / CUSIP: / Symbol:							
01/27/21	1.000	1,109.96	01/25/21	75.00	...	1,034.96	Option sale to close-call <sup>25</sup>
AMC 01/29/2021 CALL \$9.00 / CUSIP: / Symbol:							
01/28/21	1.000	299.98	01/27/21	901.00	...	-601.02	Option sale to close-call <sup>25</sup>
AMC 02/05/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	01/29/21	305.00	...	-305.00	Option expiration- long call
AMC 02/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
		<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	0.00	01/29/21	320.00	...	-320.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/29/21	145.00	...	-145.00	2 of 2 - Option expiration- long call
02/05/21	2.000	0.00	Various	465.00	...	-465.00	Total of 2 transactions

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 02/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/29/21	490.00	...	-490.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/29/21	120.00	...	-120.00	2 of 2 - Option expiration- long call
02/05/21	2.000	0.00	Various	610.00	...	-610.00	Total of 2 transactions
AMC 02/26/2021 CALL \$11.00 / CUSIP: / Symbol:							
02/25/21	1.000	51.98	02/25/21	160.00	...	-108.02	Option sale to close-call <sup>25</sup>
AMC 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/25/21	1.000	199.98	01/19/21	69.00	...	130.98	Option sale to close-call <sup>25</sup>
AMZN 06/11/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
06/10/21	1.000	209.98	06/09/21	260.00	...	-50.02	Option sale to close-call <sup>25</sup>
AMZN 06/11/2021 CALL \$3,430.00 / CUSIP: / Symbol:							
06/10/21	1.000	89.98	06/09/21	90.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMZN 06/11/2021 CALL \$3,475.00 / CUSIP: / Symbol:							
06/11/21	1.000	39.98	06/11/21	35.00	...	4.98	Option sale to close-call <sup>25</sup>
AMZN 06/18/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
06/08/21	1.000	349.98	06/02/21	274.00	...	75.98	Option sale to close-call <sup>25</sup>
AMZN 06/18/2021 CALL \$3,560.00 / CUSIP: / Symbol:							
06/18/21	1.000	249.98	06/18/21	173.00	...	76.98	Option sale to close-call <sup>25</sup>
AMZN 06/25/2021 CALL \$3,720.00 / CUSIP: / Symbol:							
06/24/21	1.000	30.98	06/23/21	151.00	...	-120.02	Option sale to close-call <sup>25</sup>
AMZN 06/25/2021 CALL \$3,760.00 / CUSIP: / Symbol:							
06/22/21	1.000	149.98	06/18/21	325.00	...	-175.02	Option sale to close-call <sup>25</sup>
AMZN 11/26/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/23/21	214.00	...	-214.00	Option expiration- long call
APHA 01/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/06/21	1.000	109.98	12/23/20	79.00	...	30.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHA 01/22/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/20/21	1.000	139.98	01/13/21	24.00	...	115.98	Option sale to close-call <sup>25</sup>
APHA 01/22/2021 CALL \$14.00 / CUSIP: / Symbol:							
01/20/21	1.000	32.98	01/19/21	26.00	...	6.98	Option sale to close-call <sup>25</sup>
APHA 01/22/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/21/21	1.000	3.98	01/15/21	44.00	...	-40.02	Option sale to close-call <sup>25</sup>
APHA 02/12/2021 CALL \$34.00 / CUSIP: / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/10/21	95.00	...	-95.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/11/21	14.00	...	-14.00	2 of 2 - Option expiration- long call
02/12/21	2.000	0.00	Various	109.00	...	-109.00	Total of 2 transactions
APHA 02/19/2021 CALL \$21.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/18/21	38.00	...	-38.00	Option expiration- long call
AQMS 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/17/21	1.000	14.98	01/26/21	105.00	...	-90.02	Option sale to close-call <sup>25</sup>
AQMS 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/26/21	1.000	139.98	01/25/21	55.00	...	84.98	Option sale to close-call <sup>25</sup>
BBIG 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/11/21	1.000	18.98	11/09/21	23.00	...	-4.02	Option sale to close-call <sup>25</sup>
BUD 06/18/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/09/21	1.000	48.98	03/04/21	44.00	...	4.98	Option sale to close-call <sup>25</sup>
BUD 06/18/2021 CALL \$85.00 / CUSIP: / Symbol:							
02/10/21	1.000	50.98	02/09/21	60.00	...	-9.02	Option sale to close-call <sup>25</sup>
CCIV 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/29/21	1.000	269.98	01/25/21	285.00	...	-15.02	Option sale to close-call <sup>25</sup>
CCIV 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/18/21	1.000	114.98	02/18/21	59.00	...	55.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CGEN 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/08/21	1.000	29.98	02/05/21	30.00	...	-0.02	Option sale to close-call <sup>25</sup>
CHRS 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/09/21	1.000	99.98	02/08/21	77.00	...	22.98	Option sale to close-call <sup>25</sup>
DDD 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/11/21	1.000	359.98	01/08/21	290.00	...	69.98	Option sale to close-call <sup>25</sup>
DDD 01/15/2021 CALL \$27.00 / CUSIP: / Symbol:							
01/14/21	1.000	39.98	01/13/21	20.00	...	19.98	Option sale to close-call <sup>25</sup>
DDD 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	01/08/21	155.00	...	-150.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	01/08/21	95.00	...	-90.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	01/08/21	93.00	...	-88.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.99	01/08/21	50.00	...	-45.01	4 of 4 - Option sale to close-call <sup>25</sup>
01/14/21	4.000	19.98	Various	393.00	...	-373.02	Total of 4 transactions
DDD 01/15/2021 PUT \$25.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	01/14/21	15.00	...	-15.00	Option expiration- long put
DDD 01/22/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/08/21	370.00	...	-370.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/15/21	55.00	...	-55.00	2 of 2 - Option expiration- long call
01/22/21	3.000	0.00	Various	425.00	...	-425.00	Total of 2 transactions
DDD 02/12/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/11/21	1.000	184.98	02/10/21	105.00	...	79.98	Option sale to close-call <sup>25</sup>
DDD 02/12/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/10/21	1.000	44.98	02/09/21	45.00	...	-0.02	Option sale to close-call <sup>25</sup>
DDD 02/12/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/10/21	30.00	...	-30.00	Option expiration- long call

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Robinhood Securities LLC

Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DDD 02/19/2021 CALL \$65.00 / CUSIP: / Symbol: 02/09/21	1.000	149.98	02/08/21	85.00	...	64.98	Option sale to close-call <sup>25</sup>
EXEL 03/19/2021 CALL \$25.00 / CUSIP: / Symbol: 03/01/21	1.000	19.98	02/19/21	65.00	...	-45.02	Option sale to close-call <sup>25</sup>
EXPR 02/19/2021 CALL \$7.00 / CUSIP: / Symbol: 02/19/21	1.000	0.00	01/27/21	601.00	...	-601.00	Option expiration- long call
FCEL 01/15/2021 CALL \$17.00 / CUSIP: / Symbol: 01/12/21	1.000	113.98	01/08/21	97.00	...	16.98	Option sale to close-call <sup>25</sup>
FCEL 02/19/2021 CALL \$28.00 / CUSIP: / Symbol: 01/26/21	1.000	134.98	01/19/21	87.00	...	47.98	Option sale to close-call <sup>25</sup>
FFIE 11/19/2021 CALL \$8.50 / CUSIP: / Symbol: 11/18/21	1.000	24.98	11/16/21	50.00	...	-25.02	Option sale to close-call <sup>25</sup>
GM 01/22/2021 CALL \$57.00 / CUSIP: / Symbol: 01/21/21	1.000	17.98	01/20/21	58.00	...	-40.02	Option sale to close-call <sup>25</sup>
GME 02/05/2021 PUT \$30.00 / CUSIP: / Symbol: 02/05/21	1.000	0.00	02/02/21	216.00	...	-216.00	Option expiration- long put
GME 02/12/2021 CALL \$105.00 / CUSIP: / Symbol: 02/10/21	1.000	79.98	02/08/21	144.00	...	-64.02	Option sale to close-call <sup>25</sup>
GME 03/05/2021 CALL \$800.00 / CUSIP: / Symbol: 03/05/21	1.000	0.00	03/04/21	34.00	...	-34.00	Option expiration- long call
GME 06/11/2021 CALL \$300.00 / CUSIP: / Symbol: 06/11/21	1.000	6.98	06/11/21	38.00	...	-31.02	Option sale to close-call <sup>25</sup>
GTHX 02/19/2021 CALL \$40.00 / CUSIP: / Symbol: 02/11/21	1.000	184.98	02/08/21	157.00	...	27.98	Option sale to close-call <sup>25</sup>
HEXO 02/19/2021 CALL \$10.00 / CUSIP: / Symbol: 02/10/21	1.000	119.98	02/09/21	58.00	...	61.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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HEXO 03/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/08/21	1.000	52.98	01/15/21	59.00	...	-6.02	Option sale to close-call <sup>25</sup>
HUGE 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	54.99	12/01/20	64.00	...	-9.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	54.99	12/23/20	22.00	...	32.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/04/21	2.000	109.98	Various	86.00	...	23.98	Total of 2 transactions
IBIO 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/09/21	1.000	34.98	02/08/21	35.00	...	-0.02	Option sale to close-call <sup>25</sup>
IDEX 01/15/2021 CALL \$3.50 / CUSIP: / Symbol:							
01/12/21	1.000	8.98	01/08/21	47.00	...	-38.02	Option sale to close-call <sup>25</sup>
IDEX 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/08/21	1.000	81.98	01/27/21	92.00	...	-10.02	Option sale to close-call <sup>25</sup>
IPOD 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/08/21	1.000	35.98	01/19/21	85.00	...	-49.02	Option sale to close-call <sup>25</sup>
IPOE 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/19/21	1.000	104.98	01/13/21	50.00	...	54.98	Option sale to close-call <sup>25</sup>
IPOE 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/29/21	1.000	54.98	01/19/21	55.00	...	-0.02	Option sale to close-call <sup>25</sup>
IPOF 02/19/2021 CALL \$22.50 / CUSIP: / Symbol:							
01/29/21	1.000	54.98	01/19/21	45.00	...	9.98	Option sale to close-call <sup>25</sup>
KPLT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/09/21	50.00	...	-50.00	Option expiration- long call
LCID 10/29/2021 CALL \$31.00 / CUSIP: / Symbol:							
10/28/21	1.000	104.98	10/28/21	95.00	...	9.98	Option sale to close-call <sup>25</sup>
LPCN 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/08/21	1.000	39.98	01/28/21	50.00	...	-10.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LTHM 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/16/21	1.000	9.98	01/25/21	40.00	...	-30.02	Option sale to close-call <sup>25</sup>
MARA 01/15/2021 CALL \$33.00 / CUSIP: / Symbol:							
01/13/21	1.000	23.98	01/08/21	288.00	...	-264.02	Option sale to close-call <sup>25</sup>
MPC 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/08/21	1.000	8.98	01/19/21	19.00	...	-10.02	Option sale to close-call <sup>25</sup>
MSFT 01/29/2021 CALL \$255.00 / CUSIP: / Symbol:							
01/26/21	1.000	74.98	01/25/21	42.00	...	32.98	Option sale to close-call <sup>25</sup>
MU 11/26/2021 CALL \$82.00 / CUSIP: / Symbol:							
11/19/21	1.000	129.98	11/09/21	59.00	...	70.98	Option sale to close-call <sup>25</sup>
MVIS 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/08/21	1.000	134.98	01/27/21	40.00	...	94.98	Option sale to close-call <sup>25</sup>
MVIS 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/09/21	1.000	129.98	02/08/21	85.00	...	44.98	Option sale to close-call <sup>25</sup>
MVIS 02/19/2021 CALL \$27.00 / CUSIP: / Symbol:							
02/16/21	1.000	109.98	02/11/21	110.00	...	-0.02	Option sale to close-call <sup>25</sup>
MVIS 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	39.99	03/15/21	150.00	...	-110.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.99	03/17/21	29.00	...	10.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	2.000	79.98	Various	179.00	...	-99.02	Total of 2 transactions
NEE 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
<i>3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.99	01/08/21	10.00	...	9.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.98	01/08/21	9.00	...	10.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	39.99	01/08/21	20.00	...	19.99	3 of 3 - Option sale to close-call <sup>25</sup>
01/26/21	4.000	79.96	Various	39.00	...	40.96	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO 02/12/2021 PUT \$60.00 / CUSIP: / Symbol:							
02/10/21	1.000	72.98	02/09/21	75.00	...	-2.02	Option sale to close-put <sup>25</sup>
NIO 02/19/2021 CALL \$66.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/10/21	150.00	...	-150.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/17/21	8.00	...	-8.00	2 of 2 - Option expiration- long call
02/19/21	2.000	0.00	Various	158.00	...	-158.00	Total of 2 transactions
NIO 02/26/2021 CALL \$51.00 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/24/21	150.00	...	-150.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/25/21	27.00	...	-27.00	2 of 2 - Option expiration- long call
02/26/21	2.000	0.00	Various	177.00	...	-177.00	Total of 2 transactions
NIO 03/05/2021 CALL \$64.00 / CUSIP: / Symbol:							
03/04/21	1.000	0.98	03/01/21	24.00	...	-23.02	Option sale to close-call <sup>25</sup>
NNDM 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/27/21	1.000	103.98	01/25/21	94.00	...	9.98	Option sale to close-call <sup>25</sup>
OBSV 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/19/21	1.000	9.98	01/25/21	55.00	...	-45.02	Option sale to close-call <sup>25</sup>
OCGN 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	03/03/21	265.00	...	-255.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.99	03/11/21	55.00	...	-45.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/15/21	2.000	19.98	Various	320.00	...	-300.02	Total of 2 transactions
OEG 02/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	01/27/21	180.00	...	-180.00	Option expiration- long call
OGI 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
01/04/21	1.000	39.98	12/28/20	43.00	...	-3.02	Option sale to close-call <sup>25</sup>

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Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 01/29/2021 CALL \$43.00 / CUSIP: / Symbol:								
01/28/21	1.000	249.98	01/27/21	110.00	...	139.98	Option sale to close-call <sup>25</sup>	
PLUG 01/15/2021 CALL \$83.00 / CUSIP: / Symbol:								
01/15/21	1.000	0.00	01/13/21	79.00	...	-79.00	Option expiration- long call	
PLUG 01/22/2021 CALL \$70.00 / CUSIP: / Symbol:								
01/12/21	1.000	334.98	01/08/21	166.00	...	168.98	Option sale to close-call <sup>25</sup>	
PSX 01/29/2021 CALL \$80.00 / CUSIP: / Symbol:								
01/29/21	1.000	0.00	01/15/21	45.00	...	-45.00	Option expiration- long call	
QS 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:								
11/09/21	1.000	131.98	11/08/21	93.00	...	38.98	Option sale to close-call <sup>25</sup>	
QS 11/19/2021 CALL \$45.00 / CUSIP: / Symbol:								
11/15/21	1.000	149.98	11/11/21	108.00	...	41.98	Option sale to close-call <sup>25</sup>	
QS 11/26/2021 CALL \$43.00 / CUSIP: / Symbol:								
11/26/21	1.000	0.00	11/16/21	89.00	...	-89.00	Option expiration- long call	
RIOT 01/15/2021 CALL \$33.00 / CUSIP: / Symbol:								
01/13/21	1.000	15.98	01/08/21	162.00	...	-146.02	Option sale to close-call <sup>25</sup>	
RIOT 02/26/2021 CALL \$57.00 / CUSIP: / Symbol:								
02/25/21	1.000	279.98	02/24/21	175.00	...	104.98	Option sale to close-call <sup>25</sup>	
SNDL 04/16/2021 CALL \$1.00 / CUSIP: / Symbol:								
01/06/21	1.000	19.99	11/24/20	15.00	...	4.99	Option sale to close-call <sup>25</sup>	
01/06/21	1.000	19.99	11/30/20	50.00	30.01 W	0.00	Option sale to close-call <sup>25</sup>	
		2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	34.99	12/23/20	45.01	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>	
	1.000	34.99	12/23/20	15.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>	
01/27/21	2.000	69.98	Various	60.01	...	9.97	Total of 2 transactions	
	<b>Security total:</b>	<b>109.96</b>		<b>125.01</b>	<b>30.01 W</b>	<b>14.96</b>		
SNDL 07/16/2021 CALL \$2.00 / CUSIP: / Symbol:								
02/05/21	1.000	44.98	12/02/20	35.00	...	9.98	Option sale to close-call <sup>25</sup>	

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 01/29/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/28/21	1.000	299.98	01/27/21	210.00	...	89.98	Option sale to close-call <sup>25</sup>
SWI 02/19/2021 CALL \$22.50 / CUSIP: / Symbol:							
01/27/21	1.000	49.98	12/28/20	62.00	...	-12.02	Option sale to close-call <sup>25</sup>
TGTX 02/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/05/21	65.00	...	-65.00	Option expiration- long call
TLRY 01/15/2021 CALL \$10.50 / CUSIP: / Symbol:							
01/07/21	1.000	199.98	01/06/21	100.00	...	99.98	Option sale to close-call <sup>25</sup>
TLRY 01/15/2021 CALL \$11.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	146.98	01/06/21	92.00	...	54.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.98	01/06/21	98.00	...	76.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	2.000	321.96	Various	190.00	...	131.96	Total of 2 transactions
TLRY 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
01/07/21	1.000	119.98	01/06/21	99.00	...	20.98	Option sale to close-call <sup>25</sup>
TLRY 01/22/2021 CALL \$13.00 / CUSIP: / Symbol:							
01/12/21	1.000	81.98	01/11/21	55.00	...	26.98	Option sale to close-call <sup>25</sup>
TLRY 01/22/2021 CALL \$15.00 / CUSIP: / Symbol:							
01/15/21	1.000	659.97	01/12/21	63.00	...	596.97	Option sale to close-call <sup>25</sup>
TLRY 01/22/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/21/21	1.000	23.98	01/15/21	160.00	...	-136.02	Option sale to close-call <sup>25</sup>
TLRY 01/22/2021 CALL \$21.00 / CUSIP: / Symbol:							
01/15/21	1.000	269.98	01/14/21	85.00	...	184.98	Option sale to close-call <sup>25</sup>
01/22/21	1.000	0.00	01/15/21	200.00	...	-200.00	Option expiration- long call
<b>Security total:</b>		<b>269.98</b>		<b>285.00</b>	...	<b>-15.02</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/11/2022

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TLRY 01/22/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/15/21	140.00	...	-140.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/15/21	57.00	...	-57.00	2 of 2 - Option expiration- long call
01/22/21	2.000	0.00	Various	197.00	...	-197.00	Total of 2 transactions
TLRY 02/12/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/10/21	670.00	...	-670.00	Option expiration- long call
TLRY 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
05/04/21	1.000	49.98	03/12/21	945.00	...	-895.02	Option sale to close-call <sup>25</sup>
TLRY 06/18/2021 CALL \$27.00 / CUSIP: / Symbol:							
05/05/21	1.000	833.98	05/04/21	0.00	...	833.98	Short sale closed- call <sup>25</sup> Option written: 03/12/21
TSLA 01/15/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
01/13/21	1.000	22.98	01/08/21	224.00	...	-201.02	Option sale to close-call <sup>25</sup>
TSLA 01/15/2021 CALL \$1,260.00 / CUSIP: / Symbol:							
01/12/21	1.000	16.98	01/08/21	128.00	...	-111.02	Option sale to close-call <sup>25</sup>
TSLA 01/22/2021 CALL \$1,240.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/15/21	30.00	...	-30.00	Option expiration- long call
TSLA 01/29/2021 CALL \$1,030.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/25/21	865.00	...	-865.00	Option expiration- long call
TSLA 01/29/2021 CALL \$1,500.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/25/21	95.00	...	-95.00	Option expiration- long call
TSLA 02/26/2021 CALL \$790.00 / CUSIP: / Symbol:							
02/24/21	1.000	179.98	02/24/21	168.00	...	11.98	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 CALL \$737.50 / CUSIP: / Symbol:							
03/04/21	1.000	29.98	03/03/21	268.00	...	-238.02	Option sale to close-call <sup>25</sup>

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Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 03/05/2021 CALL \$795.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	03/01/21	153.00	...	-137.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.99	03/04/21	20.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	2.000	31.98	Various	173.00	...	-141.02	Total of 2 transactions
TSLA 03/12/2021 CALL \$680.00 / CUSIP: / Symbol:							
03/12/21	1.000	899.98	03/12/21	842.00	...	57.98	Option sale to close-call <sup>25</sup>
TSLA 03/12/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/11/21	1.000	1,099.98	03/10/21	800.00	...	299.98	Option sale to close-call <sup>25</sup>
TSLA 03/12/2021 CALL \$790.00 / CUSIP: / Symbol:							
03/12/21	1.000	1.98	03/11/21	221.02	...	-219.04	Option sale to close-call <sup>25</sup>
03/12/21	1.000	1.98	03/10/21	150.00	148.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3.96</b>		<b>371.02</b>	<b>148.02 W</b>	<b>-219.04</b>	
TSLA 03/12/2021 PUT \$677.50 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	67.99	03/12/21	920.00	...	-852.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	67.99	03/12/21	217.00	...	-149.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/12/21	2.000	135.98	Various	1,137.00	...	-1,001.02	Total of 2 transactions
TSLA 03/26/2021 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	03/10/21	1,495.00	...	-1,482.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	12.99	03/17/21	207.00	...	-194.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/23/21	2.000	25.98	Various	1,702.00	...	-1,676.02	Total of 2 transactions
TSLA 04/01/2021 CALL \$825.00 / CUSIP: / Symbol:							
03/10/21	1.000	1,549.98	03/09/21	750.00	...	799.98	Option sale to close-call <sup>25</sup>
TSLA 04/09/2021 CALL \$850.00 / CUSIP: / Symbol:							
03/09/21	1.000	759.98	03/05/21	500.00	...	259.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 06/18/2021 CALL \$670.00 / CUSIP: / Symbol:							
06/17/21	1.000	10.98	06/10/21	265.00	...	-254.02	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
10/25/21	1.000	259.98	10/25/21	161.00	...	98.98	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$1,225.00 / CUSIP: / Symbol:							
10/27/21	1.000	74.98	10/26/21	931.02	...	-856.04	Option sale to close-call <sup>25</sup>
10/27/21	1.000	103.98	10/26/21	765.00	661.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>178.96</b>		<b>1,696.02</b>	<b>661.02 W</b>	<b>-856.04</b>	
TSLA 10/29/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
10/29/21	1.000	16.98	10/28/21	155.00	...	-138.02	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$1,300.00 / CUSIP: / Symbol:							
10/26/21	1.000	319.98	10/25/21	196.00	...	123.98	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 PUT \$930.00 / CUSIP: / Symbol:							
10/28/21	1.000	83.98	10/27/21	183.00	...	-99.02	Option sale to close-put <sup>25</sup>
TSLA 11/12/2021 PUT \$755.00 / CUSIP: / Symbol:							
11/09/21	1.000	124.98	11/09/21	125.00	...	-0.02	Option sale to close-put <sup>25</sup>
TTD 11/12/2021 CALL \$115.00 / CUSIP: / Symbol:							
11/09/21	1.000	49.98	11/09/21	45.00	...	4.98	Option sale to close-call <sup>25</sup>
WISH 11/19/2021 CALL \$6.50 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/11/21	11.00	...	-11.00	Option expiration- long call
WKHS 11/05/2021 CALL \$6.50 / CUSIP: / Symbol:							
11/02/21	1.000	89.98	10/28/21	71.00	...	18.98	Option sale to close-call <sup>25</sup>
X 01/22/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/22/21	1.000	0.00	01/11/21	6.00	...	-6.00	Option expiration- long call
XL 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/27/21	1.000	102.98	01/26/21	80.00	...	22.98	Option sale to close-call <sup>25</sup>

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XNET 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/12/21	1.000	10.98	01/08/21	47.00	...	-36.02	Option sale to close-call <sup>25</sup>
XNET 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/08/21	2.000	131.98	01/08/21	120.00	...	11.98	Option sale to close-call <sup>25</sup>
XONE 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/19/21	1.000	54.98	01/14/21	15.00	...	39.98	Option sale to close-call <sup>25</sup>
YALA 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/09/21	1.000	59.98	11/09/21	55.00	...	4.98	Option sale to close-call <sup>25</sup>
ZM 02/12/2021 CALL \$520.00 / CUSIP: / Symbol:							
02/09/21	1.000	9.98	02/08/21	16.00	...	-6.02	Option sale to close-call <sup>25</sup>
ZM 02/19/2021 CALL \$495.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/16/21	80.00	...	-80.00	Option expiration- long call
ZM 02/19/2021 CALL \$500.00 / CUSIP: / Symbol:							
02/09/21	1.000	229.98	02/08/21	130.00	...	99.98	Option sale to close-call <sup>25</sup>
02/19/21	1.000	0.00	02/11/21	260.00	...	-260.00	Option expiration- long call
<b>Security total:</b>		<b>229.98</b>		<b>390.00</b>	...	<b>-160.02</b>	
ZM 02/26/2021 CALL \$540.00 / CUSIP: / Symbol:							
02/11/21	1.000	309.98	02/09/21	240.00	...	69.98	Option sale to close-call <sup>25</sup>
							<i>2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.</i>
	1.000	0.00	02/11/21	225.00	...	-225.00	<i>1 of 2 - Option expiration- long call</i>
	1.000	0.00	02/18/21	25.00	...	-25.00	<i>2 of 2 - Option expiration- long call</i>
02/26/21	2.000	0.00	Various	250.00	...	-250.00	<i>Total of 2 transactions</i>
<b>Security total:</b>		<b>309.98</b>		<b>490.00</b>	...	<b>-180.02</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
02/01/21	1.000	15.89	01/29/21	13.60	...	2.29	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	99.000	110.87	01/28/21	151.47	...	-40.60	1 of 2 - Sale <sup>25</sup>
	1.000	1.13	01/28/21	1.53	...	-0.40	2 of 2 - Sale <sup>25</sup>
01/29/21	100.000	112.00	Various	153.00	...	-41.00	Total of 2 transactions
AILERON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 00887A105 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	5.000	10.16	01/11/21	8.55	...	1.61	1 of 2 - Sale <sup>25</sup>
	95.000	193.02	01/11/21	171.00	...	22.02	2 of 2 - Sale <sup>25</sup>
01/15/21	100.000	203.18	Various	179.55	...	23.63	Total of 2 transactions
ANAVEX LIFE SCIENCES CORP. COMMON STOCK / CUSIP: 032797300 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	2.000	27.77	02/04/21	52.72	...	-24.95	1 of 3 - Sale <sup>25</sup>
	1.000	13.94	02/04/21	26.36	...	-12.42	2 of 3 - Sale <sup>25</sup>
	1.000	13.94	02/04/21	26.36	...	-12.42	3 of 3 - Sale <sup>25</sup>
02/08/21	4.000	55.65	Various	105.44	...	-49.79	Total of 3 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	10.000	85.35	12/15/20	92.90	...	-7.55	1 of 2 - Sale <sup>25</sup>
	10.000	85.85	12/15/20	92.90	...	-7.05	2 of 2 - Sale <sup>25</sup>
01/06/21	20.000	171.20	Various	185.80	...	-14.60	Total of 2 transactions
RENOVARE ENVIRONMENTAL, INC. COMMON STOCK / CUSIP: 09074B107 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1.91	01/25/21	1.85	...	0.06	1 of 2 - Sale <sup>25</sup>
	10.000	19.10	01/26/21	20.78	...	-1.68	2 of 2 - Sale <sup>25</sup>
01/27/21	11.000	21.01	Various	22.63	...	-1.62	Total of 2 transactions

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Robinhood Securities LLC

Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	14.86	01/04/21	13.93	...	0.93	1 of 2 - Sale <sup>25</sup>
	3.000	22.29	01/11/21	17.30	...	4.99	2 of 2 - Sale <sup>25</sup>
01/15/21	5.000	37.15	Various	31.23	...	5.92	Total of 2 transactions
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	8.000	8.89	01/28/21	9.44	...	-0.55	1 of 2 - Sale <sup>25</sup>
	1.000	1.12	01/28/21	1.32	...	-0.20	2 of 2 - Sale <sup>25</sup>
01/29/21	9.000	10.01	Various	10.76	...	-0.75	Total of 2 transactions
01/29/21	1.000	1.11	01/28/21	1.25	0.14 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11.12</b>		<b>12.01</b>	<b>0.14 W</b>	<b>-0.75</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/25/21	5.000	129.44	01/22/21	102.00	...	27.44	Sale <sup>25</sup>
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
01/29/21	4.000	56.50	01/27/21	57.96	...	-1.46	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	25.000	76.25	01/27/21	158.50	...	-82.25	1 of 6 - Sale <sup>25</sup>
	15.000	45.75	01/27/21	91.50	...	-45.75	2 of 6 - Sale <sup>25</sup>
	2.000	6.10	01/27/21	18.64	...	-12.54	3 of 6 - Sale <sup>25</sup>
	1.000	3.05	01/27/21	10.55	...	-7.50	4 of 6 - Sale <sup>25</sup>
	1.000	3.05	01/27/21	10.41	...	-7.36	5 of 6 - Sale <sup>25</sup>
	1.000	3.05	01/27/21	6.75	...	-3.70	6 of 6 - Sale <sup>25</sup>
02/02/21	45.000	137.25	Various	296.35	...	-159.10	Total of 6 transactions
FUEL TECH, INC. COMMON STOCK / CUSIP: 359523107 / Symbol:							
5 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	20.000	97.41	11/24/20	135.40	...	-37.99	1 of 5 - Sale <sup>25</sup>
	1.000	4.87	11/24/20	5.49	...	-0.62	2 of 5 - Sale <sup>25</sup>
	5.000	24.28	11/25/20	25.85	...	-1.57	3 of 5 - Sale <sup>25</sup>

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Account 985186634

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUEL TECH, INC. COMMON STOCK / CUSIP: 359523107 / Symbol: (cont'd)							
	4.000	19.49	11/25/20	20.68	...	-1.19	4 of 5 - Sale <sup>25</sup>
	20.000	97.10	12/07/20	81.80	...	15.30	5 of 5 - Sale <sup>25</sup>
01/07/21	50.000	243.15	Various	269.22	...	-26.07	Total of 5 transactions
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/06/21	1.000	4.49	12/23/20	3.04	...	1.45	Sale <sup>25</sup>
01/06/21	9.000	40.42	12/28/20	43.25	2.83 W	0.00	Sale <sup>25</sup>
	2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	9.000	83.26	01/13/21	67.15	...	16.11	1 of 2 - Sale <sup>25</sup>
	16.000	148.02	01/13/21	114.36	...	33.66	2 of 2 - Sale <sup>25</sup>
01/19/21	25.000	231.28	Various	181.51	...	49.77	Total of 2 transactions
01/25/21	5.000	66.20	01/19/21	56.15	...	10.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>342.39</b>		<b>283.95</b>	<b>2.83 W</b>	<b>61.27</b>	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
01/20/21	100.000	117.99	01/13/21	105.50	...	12.49	Sale <sup>25</sup>
ISORAY, INC. / CUSIP: 46489V104 / Symbol:							
	2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	5.000	9.91	01/11/21	7.45	...	2.46	1 of 2 - Sale <sup>25</sup>
	100.000	198.12	01/11/21	170.00	...	28.12	2 of 2 - Sale <sup>25</sup>
01/15/21	105.000	208.03	Various	177.45	...	30.58	Total of 2 transactions
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	18.000	32.04	01/19/21	46.26	12.64 W	-1.58	1 of 3 - Sale <sup>25</sup>
	1.000	1.78	01/19/21	2.14	0.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1.78	01/19/21	2.15	0.37 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	20.000	35.60	Various	50.55	13.37 W	-1.58	Total of 3 transactions
	7 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	16.000	27.56	01/20/21	55.68	...	-28.12	1 of 7 - Sale <sup>25</sup>
	13.000	22.39	01/20/21	46.28	...	-23.89	2 of 7 - Sale <sup>25</sup>
	7.000	12.06	01/20/21	24.99	...	-12.93	3 of 7 - Sale <sup>25</sup>
	10.000	17.22	01/20/21	26.80	...	-9.58	4 of 7 - Sale <sup>25</sup>
	1.000	1.72	01/20/21	3.53	...	-1.81	5 of 7 - Sale <sup>25</sup>
	1.000	1.72	01/20/21	3.06	...	-1.34	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ISORAY, INC. / CUSIP: 46489V104 / Symbol: (cont'd)							
	1.000	1.72	01/20/21	3.05	...	-1.33	7 of 7 - Sale <sup>25</sup>
01/29/21	49.000	84.39	Various	163.39	...	-79.00	Total of 7 transactions
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	11.000	18.95	01/19/21	28.27	0.85 W	-8.47	1 of 2 - Sale <sup>25</sup>
	20.000	33.80	01/19/21	51.40	17.60 W	0.00	2 of 2 - Sale <sup>25</sup>
01/29/21	31.000	52.75	Various	79.67	18.45 W	-8.47	Total of 2 transactions
	<b>Security total:</b>	<b>380.77</b>		<b>471.06</b>	<b>31.82 W</b>	<b>-58.47</b>	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	3.28	01/28/21	2.52	...	0.76	1 of 2 - Sale <sup>25</sup>
	19.000	62.32	01/28/21	56.41	...	5.91	2 of 2 - Sale <sup>25</sup>
01/29/21	20.000	65.60	Various	58.93	...	6.67	Total of 2 transactions
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
01/28/21	1.000	82.50	01/27/21	77.39	...	5.11	Sale <sup>25</sup>
02/08/21	1.000	19.07	01/29/21	74.27	...	-55.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>101.57</b>		<b>151.66</b>	...	<b>-50.09</b>	
MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
01/29/21	1.000	9.19	01/28/21	9.24	...	-0.05	Sale <sup>25</sup>
NGL ENERGY PARTNERS LP / CUSIP: 62913M107 / Symbol:							
01/25/21	20.000	58.84	01/21/21	65.80	...	-6.96	Sale <sup>25</sup>
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
02/08/21	5.000	35.96	01/28/21	129.45	...	-93.49	Sale <sup>25</sup>
9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol:							
02/24/21	1.000	2.68	02/18/21	2.65	...	0.03	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	44.000	52.80	01/28/21	57.60	...	-4.80	1 of 2 - Sale <sup>25</sup>
	1.000	1.13	01/28/21	1.48	...	-0.35	2 of 2 - Sale <sup>25</sup>
01/29/21	45.000	53.93	Various	59.08	...	-5.15	Total of 2 transactions
01/29/21	5.000	6.00	01/26/21	6.85	0.17 W	-0.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59.93</b>		<b>65.93</b>	<b>0.17 W</b>	<b>-5.83</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/12/21	1.000	2.35	01/04/21	3.00	0.65 W	0.00	Sale <sup>25</sup>
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	12.81	02/05/21	5.82	...	6.99	1 of 4 - Sale <sup>25</sup>
	3.000	38.43	02/05/21	15.51	...	22.92	2 of 4 - Sale <sup>25</sup>
	1.000	13.54	02/08/21	8.95	...	4.59	3 of 4 - Sale <sup>25</sup>
	4.000	51.24	02/08/21	35.80	...	15.44	4 of 4 - Sale <sup>25</sup>
02/09/21	9.000	116.02	Various	66.08	...	49.94	Total of 4 transactions
	<b>Security total:</b>	<b>118.37</b>		<b>69.08</b>	<b>0.65 W</b>	<b>49.94</b>	
ORBITAL ENERGY GROUP, INC. COMMON STOCK / CUSIP: 68559A109 / Symbol:							
01/27/21	2.000	20.06	01/25/21	15.27	...	4.79	Sale <sup>25</sup>
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
02/10/21	5.000	27.55	02/09/21	23.50	...	4.05	Sale <sup>25</sup>
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	99.000	89.10	01/28/21	96.02	...	-6.92	1 of 2 - Sale <sup>25</sup>
	1.000	0.87	01/28/21	1.16	...	-0.29	2 of 2 - Sale <sup>25</sup>
01/29/21	100.000	89.97	Various	97.18	...	-7.21	Total of 2 transactions
01/29/21	1.000	0.90	01/25/21	1.09	0.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>90.87</b>		<b>98.27</b>	<b>0.19 W</b>	<b>-7.21</b>	
PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol:							
02/18/21	10.000	45.60	02/11/21	30.80	...	14.80	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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QUALTRICS INTERNATIONAL INC. C LASS A COMMON STOCK / CUSIP: 747601201 / Symbol:							
01/29/21	2.000	93.40	01/28/21	86.28	...	7.12	Sale <sup>25</sup>
SEELOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81577F109 / Symbol:							
02/16/21	10.000	40.85	02/11/21	41.78	...	-0.93	Sale <sup>25</sup>
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	27.85	01/19/21	26.60	...	1.25	1 of 2 - Sale <sup>25</sup>
	10.000	28.11	01/20/21	32.90	...	-4.79	2 of 2 - Sale <sup>25</sup>
01/25/21	20.000	55.96	Various	59.50	...	-3.54	Total of 2 transactions
SINTX TECHNOLOGIES, INC. COMM O N STOCK / CUSIP: 829392307 / Symbol:							
<i>3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	46.40	02/04/21	51.76	...	-5.36	1 of 3 - Sale <sup>25</sup>
	15.000	34.65	02/04/21	38.81	...	-4.16	2 of 3 - Sale <sup>25</sup>
	5.000	11.55	02/04/21	12.94	...	-1.39	3 of 3 - Sale <sup>25</sup>
02/08/21	40.000	92.60	Various	103.51	...	-10.91	Total of 3 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/25/21	50.000	29.53	01/14/21	34.95	...	-5.42	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/10/21	0.116	82.60	03/09/21	78.00	...	4.60	Sale <sup>25</sup>
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
01/27/21	50.000	44.50	01/26/21	57.25	...	-12.75	Sale <sup>25</sup>
VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol:							
01/27/21	1.000	2.43	01/25/21	2.40	...	0.03	Sale <sup>25</sup>
01/27/21	19.000	46.17	01/26/21	46.93	0.76 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.14	01/26/21	2.47	...	-0.33	1 of 3 - Sale <sup>25</sup>
	18.000	38.52	01/28/21	44.26	...	-5.74	2 of 3 - Sale <sup>25</sup>
	1.000	2.04	01/28/21	2.46	...	-0.42	3 of 3 - Sale <sup>25</sup>
01/29/21	20.000	42.70	Various	49.19	...	-6.49	Total of 3 transactions

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(continued)

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VYNE THERAPEUTICS INC. COMMON STOCK / CUSIP: 92941V100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>91.30</b>		<b>98.52</b>		<b>0.76 W</b>	<b>-6.46</b>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1.00	01/11/21	0.92	...	0.08	1 of 3 - Sale <sup>25</sup>
	25.000	25.12	01/12/21	33.38	...	-8.26	2 of 3 - Sale <sup>25</sup>
	24.000	24.13	01/12/21	32.04	...	-7.91	3 of 3 - Sale <sup>25</sup>
01/25/21	50.000	50.25	Various	66.34	...	-16.09	Total of 3 transactions
BRIDGETOWN 2 HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355V103 / Symbol:							
01/29/21	1.000	12.18	01/28/21	12.37	...	-0.19	Sale <sup>25</sup>
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	1.92	01/25/21	1.68	...	0.24	1 of 2 - Sale <sup>25</sup>
	1.000	1.92	01/25/21	1.67	...	0.25	2 of 2 - Sale <sup>25</sup>
01/26/21	2.000	3.84	Various	3.35	...	0.49	Total of 2 transactions
01/27/21	3.000	5.19	01/25/21	5.04	...	0.15	Sale <sup>25</sup>
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	20.000	34.62	01/25/21	40.70	3.95 W	-2.13	1 of 3 - Sale <sup>25</sup>
	2.000	3.46	01/25/21	3.98	0.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	51.93	01/25/21	55.50	3.57 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	52.000	90.01	Various	100.18	8.04 W	-2.13	Total of 3 transactions
4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	30.000	45.00	01/25/21	64.62	...	-19.62	1 of 4 - Sale <sup>25</sup>
	12.000	18.00	01/25/21	29.81	...	-11.81	2 of 4 - Sale <sup>25</sup>
	2.000	3.00	01/25/21	4.88	...	-1.88	3 of 4 - Sale <sup>25</sup>
	1.000	1.51	01/25/21	2.48	...	-0.97	4 of 4 - Sale <sup>25</sup>
01/29/21	45.000	67.51	Various	101.79	...	-34.28	Total of 4 transactions
<b>Security total:</b>		<b>166.55</b>		<b>210.36</b>		<b>8.04 W</b>	<b>-35.77</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 985186634

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	27.000	21.88	01/29/21	52.65	...	-30.77	1 of 3 - Sale <sup>25</sup>
	25.000	20.25	01/29/21	50.75	...	-30.50	2 of 3 - Sale <sup>25</sup>
	3.000	2.43	01/29/21	5.61	...	-3.18	3 of 3 - Sale <sup>25</sup>
02/02/21	55.000	44.56	Various	109.01	...	-64.45	Total of 3 transactions
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
02/18/21	10.000	12.81	02/16/21	15.25	...	-2.44	Sale <sup>25</sup>
03/05/21	10.000	8.14	02/16/21	15.25	...	-7.11	Sale <sup>25</sup>
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	20.000	19.28	02/16/21	30.50	...	-11.22	1 of 3 - Sale <sup>25</sup>
	8.000	7.20	02/16/21	12.20	...	-5.00	2 of 3 - Sale <sup>25</sup>
	2.000	1.79	02/16/21	3.05	...	-1.26	3 of 3 - Sale <sup>25</sup>
03/09/21	30.000	28.27	Various	45.75	...	-17.48	Total of 3 transactions
	<b>Security total:</b>	<b>49.22</b>		<b>76.25</b>	...	<b>-27.03</b>	
<b>Totals :</b>		<b>25,137.55</b>		<b>34,513.07</b>	<b>903.67 W</b>	<b>-8,471.85</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 985186634

## Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/21	10.00	Other income	
			<b>10.00</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 985186634

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/04/21	-5.00	Margin interest paid	
		02/03/21	-5.00	Margin interest paid	
		03/05/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/04/21	-5.00	Margin interest paid	
		06/03/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/02/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/01/21	-5.00	Margin interest paid	
		10/01/21	-5.00	Margin interest paid	
		11/01/21	-5.00	Margin interest paid	
		11/30/21	-5.00	Margin interest paid	
		12/30/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.