

Robinhood Securities LLC

Account 137447264

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	253.07
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/26/2021 PUT \$116.00 / CUSIP: / Symbol:							
03/26/21	1.000	1.98	03/03/21	157.00	...	-155.02	Option sale to close-put ²⁵
AAPL 03/26/2021 PUT \$129.00 / CUSIP: / Symbol:							
03/29/21	1.000	-146.02	03/26/21	0.00	...	-146.02	Short sale closed- put ²⁵ Option written: 03/03/21
AAPL 04/30/2021 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	359.98	03/31/21	189.00	...	170.98	1 of 3 - Option sale to close-call ²⁵
	1.000	359.98	03/31/21	189.00	...	170.98	2 of 3 - Option sale to close-call ²⁵
	3.000	1,079.98	03/31/21	567.00	...	512.98	3 of 3 - Option sale to close-call ²⁵
04/08/21	5.000	1,799.94	Various	945.00	...	854.94	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/16/2021 CALL \$130.00 / CUSIP: / Symbol:							
06/30/21	5.000	3,549.97	05/28/21	1,000.00	...	2,549.97	Option sale to close-call ²⁵
AAPL 11/19/2021 CALL \$152.50 / CUSIP: / Symbol:							
	3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	2.000	135.99	11/10/21	120.00	...	15.99	1 of 3 - Option sale to close-call ²⁵
	1.000	67.99	11/11/21	38.00	...	29.99	2 of 3 - Option sale to close-call ²⁵
	1.000	68.00	11/11/21	38.00	...	30.00	3 of 3 - Option sale to close-call ²⁵
11/16/21	4.000	271.98	Various	196.00	...	75.98	Total of 3 transactions
AAPL 11/26/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/19/21	1.000	206.98	11/18/21	145.00	...	61.98	Option sale to close-call ²⁵
AAPL 12/17/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/22/21	1.000	449.98	11/19/21	250.00	...	199.98	Option sale to close-call ²⁵
AAPL 12/23/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/30/21	1.000	469.98	11/29/21	249.00	...	220.98	Option sale to close-call ²⁵
ABNB 05/21/2021 PUT \$160.00 / CUSIP: / Symbol:							
05/19/21	1.000	2,505.97	04/08/21	495.00	...	2,010.97	Option sale to close-put ²⁵
ABNB 05/21/2021 PUT \$180.00 / CUSIP: / Symbol:							
05/20/21	1.000	-3,216.02	05/19/21	0.00	...	-3,216.02	Short sale closed- put ²⁵ Option written: 04/08/21
ABNB 07/16/2021 CALL \$160.00 / CUSIP: / Symbol:							
06/15/21	1.000	484.98	06/02/21	685.00	...	-200.02	Option sale to close-call ²⁵
ACN 12/23/2021 CALL \$372.50 / CUSIP: / Symbol:							
12/16/21	1.000	3,239.97	12/15/21	824.00	...	2,415.97	Option sale to close-call ²⁵
ACN 12/23/2021 PUT \$370.00 / CUSIP: / Symbol:							
12/20/21	1.000	99.98	12/15/21	955.00	...	-855.02	Option sale to close-put ²⁵
AFRM 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
11/11/21	1.000	559.98	11/10/21	397.00	...	162.98	Option sale to close-call ²⁵

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AFRM 12/03/2021 CALL \$190.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/17/21	180.00	...	-180.00	Option expiration- long call
AI 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/10/21	1.000	79.98	12/01/21	250.00	...	-170.02	Option sale to close-call ²⁵
AI 12/17/2021 PUT \$35.00 / CUSIP: / Symbol:							
12/02/21	1.000	569.98	12/01/21	350.00	...	219.98	Option sale to close-put ²⁵
ALLK 02/18/2022 CALL \$10.00 / CUSIP: / Symbol:							
12/30/21	1.000	214.98	12/28/21	215.00	...	-0.02	Option sale to close-call ²⁵
AMC 12/17/2021 CALL \$26.00 / CUSIP: / Symbol:							
12/07/21	1.000	604.98	12/03/21	380.00	...	224.98	Option sale to close-call ²⁵
AMC 12/17/2021 PUT \$26.00 / CUSIP: / Symbol:							
12/07/21	1.000	79.98	12/03/21	320.00	...	-240.02	Option sale to close-put ²⁵
AMD 01/28/2022 PUT \$95.00 / CUSIP: / Symbol:							
12/16/21	1.000	78.98	12/14/21	87.00	...	-8.02	Option sale to close-put ²⁵
AMZN 11/19/2021 CALL \$3,620.00 / CUSIP: / Symbol:							
11/11/21	1.000	2,560.97	11/10/21	1,758.00	...	802.97	Option sale to close-call ²⁵
AMZN 11/19/2021 CALL \$3,630.00 / CUSIP: / Symbol:							
11/12/21	1.000	-739.02	11/11/21	0.00	...	-739.02	Short sale closed- call ²⁵ Option written: 11/10/21
AMZN 11/19/2021 CALL \$3,720.00 / CUSIP: / Symbol:							
11/10/21	1.000	2,973.97	11/09/21	2,184.00	...	789.97	Option sale to close-call ²⁵
AMZN 11/19/2021 CALL \$3,730.00 / CUSIP: / Symbol:							
11/12/21	1.000	-740.03	11/10/21	0.00	...	-740.03	Short sale closed- call ²⁵ Option written: 11/09/21
AMZN 12/17/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
12/15/21	1.000	468.98	12/15/21	214.00	...	254.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/17/2021 PUT \$3,000.00 / CUSIP: / Symbol:							
12/15/21	1.000	30.98	12/15/21	76.00	...	-45.02	Option sale to close-put ²⁵
APPS 08/06/2021 CALL \$74.50 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	07/06/21	450.00	...	-450.00	Option expiration- long call
ASAN 12/17/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/30/21	350.00	...	-350.00	1 of 2 - Option expiration- long call
	3.000	0.00	12/03/21	24.00	...	-24.00	2 of 2 - Option expiration- long call
12/17/21	4.000	0.00	Various	374.00	...	-374.00	Total of 2 transactions
ASAN 12/17/2021 PUT \$70.00 / CUSIP: / Symbol:							
12/03/21	1.000	599.98	12/02/21	215.00	...	384.98	Option sale to close-put ²⁵
AVYA 12/17/2021 CALL \$19.00 / CUSIP: / Symbol:							
11/22/21	1.000	262.98	11/19/21	135.00	...	127.98	Option sale to close-call ²⁵
AVYA 12/17/2021 PUT \$18.00 / CUSIP: / Symbol:							
11/22/21	1.000	26.98	11/19/21	134.00	...	-107.02	Option sale to close-put ²⁵
BA 07/16/2021 CALL \$245.00 / CUSIP: / Symbol:							
07/12/21	2.000	181.98	07/12/21	162.00	...	19.98	Option sale to close-call ²⁵
BABA 03/18/2022 PUT \$135.00 / CUSIP: / Symbol:							
10/22/21	1.000	442.98	09/20/21	1,200.00	...	-757.02	Option sale to close-put ²⁵
BABA 03/18/2022 PUT \$145.00 / CUSIP: / Symbol:							
10/25/21	1.000	964.98	10/22/21	0.00	...	964.98	Short sale closed- put ²⁵ Option written: 09/20/21
BABA 03/18/2022 PUT \$165.00 / CUSIP: / Symbol:							
10/22/21	1.000	1,202.98	08/19/21	2,421.00	...	-1,218.02	Option sale to close-put ²⁵
BABA 03/18/2022 PUT \$170.00 / CUSIP: / Symbol:							
10/25/21	1.000	1,292.97	10/22/21	0.00	...	1,292.97	Short sale closed- put ²⁵ Option written: 08/19/21

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02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BABA 03/18/2022 PUT \$175.00 / CUSIP: / Symbol: 10/22/21	1.000	1,595.98	08/18/21	2,193.00	...	-597.02	Option sale to close-put ²⁵
BABA 03/18/2022 PUT \$180.00 / CUSIP: / Symbol: 10/25/21	1.000	616.97	10/22/21	0.00	...	616.97	Short sale closed- put ²⁵ Option written: 08/18/21
BABA 05/21/2021 PUT \$220.00 / CUSIP: / Symbol: 05/06/21	1.000	454.98	04/07/21	850.00	395.02 W	0.00	Option sale to close-put ²⁵
05/19/21	1.000	901.98	04/07/21	1,255.02	...	-353.04	Option sale to close-put ²⁵
Security total:		1,356.96		2,105.02	395.02 W	-353.04	
BABA 05/21/2021 PUT \$230.00 / CUSIP: / Symbol: 05/20/21	1.000	-538.02	05/19/21	0.00	...	-538.02	Short sale closed- put ²⁵ Option written: 04/07/21
BABA 05/21/2021 PUT \$235.00 / CUSIP: / Symbol: 05/07/21	1.000	384.98	05/06/21	0.00	...	384.98	Short sale closed- put ²⁵ Option written: 04/07/21
BABA 11/19/2021 CALL \$180.00 / CUSIP: / Symbol: 11/18/21	1.000	1.98	11/17/21	40.00	...	-38.02	Option sale to close-call ²⁵
BABA 11/19/2021 PUT \$150.00 / CUSIP: / Symbol: 11/18/21	1.000	371.98	11/17/21	58.00	...	313.98	Option sale to close-put ²⁵
BABA 12/31/2021 PUT \$122.00 / CUSIP: / Symbol: 12/31/21	1.000	178.98	12/30/21	147.00	...	31.98	Option sale to close-put ²⁵
BB 12/31/2021 CALL \$9.50 / CUSIP: / Symbol: 12/22/21	1.000	17.98	12/21/21	67.00	...	-49.02	Option sale to close-call ²⁵
BB 12/31/2021 PUT \$9.00 / CUSIP: / Symbol: 12/22/21	1.000	51.98	12/21/21	62.00	...	-10.02	Option sale to close-put ²⁵
BBY 12/03/2021 CALL \$141.00 / CUSIP: / Symbol: 12/03/21	1.000	0.00	11/22/21	518.00	...	-518.00	Option expiration- long call

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BBY 12/03/2021 PUT \$140.00 / CUSIP: / Symbol:							
11/23/21	1.000	2,199.97	11/22/21	533.00	...	1,666.97	Option sale to close-put ²⁵
BBY 12/17/2021 CALL \$135.00 / CUSIP: / Symbol:							
11/03/21	1.000	205.98	11/01/21	162.00	...	43.98	Option sale to close-call ²⁵
BIDU 01/07/2022 CALL \$149.00 / CUSIP: / Symbol:							
12/30/21	1.000	519.98	12/30/21	415.00	...	104.98	Option sale to close-call ²⁵
BIDU 01/07/2022 PUT \$148.00 / CUSIP: / Symbol:							
12/31/21	1.000	384.98	12/30/21	360.00	...	24.98	Option sale to close-put ²⁵
BIG 12/17/2021 CALL \$42.50 / CUSIP: / Symbol:							
12/03/21	1.000	499.98	12/02/21	277.00	...	222.98	Option sale to close-call ²⁵
BIG 12/17/2021 PUT \$42.50 / CUSIP: / Symbol:							
12/06/21	1.000	114.98	12/02/21	283.00	...	-168.02	Option sale to close-put ²⁵
BKKT 11/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
11/19/21	1.000	319.98	11/19/21	0.00	...	319.98	Short sale closed- call expired Option written: 11/01/21
BKKT 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	11/01/21	540.00	...	-540.00	Option expiration- long call
BX 12/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/22/21	1.000	224.98	11/04/21	237.00	...	-12.02	Option sale to close-call ²⁵
C 07/30/2021 CALL \$70.00 / CUSIP: / Symbol:							
07/29/21	1.000	6.98	07/15/21	64.00	...	-57.02	Option sale to close-call ²⁵
CALM 01/21/2022 CALL \$37.50 / CUSIP: / Symbol:							
12/28/21	1.000	194.98	12/28/21	124.00	...	70.98	Option sale to close-call ²⁵
CALM 01/21/2022 PUT \$35.00 / CUSIP: / Symbol:							
12/28/21	1.000	44.98	12/28/21	51.00	...	-6.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 05/28/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	4.000	23.98	05/21/21	296.00	...	-272.02	1 of 2 - Option sale to close-call ²⁵
	1.000	6.00	05/21/21	74.00	...	-68.00	2 of 2 - Option sale to close-call ²⁵
05/28/21	5.000	29.98	Various	370.00	...	-340.02	Total of 2 transactions
CCL 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/09/21	123.00	...	-123.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/14/21	65.00	...	-65.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	188.00	...	-188.00	Total of 2 transactions
CCXI 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/09/21	1.000	299.98	07/06/21	175.00	...	124.98	Option sale to close-call ²⁵
CHPT 12/17/2021 CALL \$22.00 / CUSIP: / Symbol:							
12/08/21	1.000	73.98	12/07/21	158.00	...	-84.02	Option sale to close-call ²⁵
CHPT 12/17/2021 PUT \$21.50 / CUSIP: / Symbol:							
12/08/21	1.000	202.98	12/07/21	169.00	...	33.98	Option sale to close-put ²⁵
CLOV 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	8.000	1,119.98	03/09/21	1,200.00	...	-80.02	1 of 2 - Option sale to close-call ²⁵
	2.000	279.99	03/09/21	300.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
04/08/21	10.000	1,399.97	Various	1,500.00	...	-100.03	Total of 2 transactions
CLOV 11/12/2021 CALL \$8.00 / CUSIP: / Symbol:							
11/09/21	1.000	9.98	11/08/21	49.00	...	-39.02	Option sale to close-call ²⁵
CLOV 11/12/2021 PUT \$7.50 / CUSIP: / Symbol:							
11/09/21	1.000	26.98	11/08/21	26.00	...	0.98	Option sale to close-put ²⁵
CLSK 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/14/21	28.00	...	-28.00	Option expiration- long call

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CLSK 12/17/2021 PUT \$12.50 / CUSIP: / Symbol:							
12/15/21	1.000	144.98	12/14/21	112.00	...	32.98	Option sale to close-put ²⁵
CMCSA 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.98	07/08/21	110.00	...	-109.02	Option sale to close-call ²⁵
CMCSA 12/17/2021 CALL \$55.00 / CUSIP: / Symbol:							
11/10/21	1.000	133.98	11/09/21	111.00	...	22.98	Option sale to close-call ²⁵
COST 12/17/2021 CALL \$530.00 / CUSIP: / Symbol:							
12/10/21	1.000	1,579.98	12/09/21	851.00	...	728.98	Option sale to close-call ²⁵
COST 12/17/2021 PUT \$527.50 / CUSIP: / Symbol:							
3 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	148.99	12/09/21	1,139.00	...	-990.01	1 of 3 - Option sale to close-put ²⁵
	1.000	149.00	12/10/21	157.00	...	-8.00	2 of 3 - Option sale to close-put ²⁵
	1.000	148.99	12/10/21	75.00	...	73.99	3 of 3 - Option sale to close-put ²⁵
12/14/21	3.000	446.98	Various	1,371.00	...	-924.02	Total of 3 transactions
CPNG 12/17/2021 CALL \$35.00 / CUSIP: / Symbol:							
6 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/09/21	150.00	...	-150.00	1 of 6 - Option expiration- long call
	6.000	0.00	11/11/21	420.00	...	-420.00	2 of 6 - Option expiration- long call
	2.000	0.00	11/11/21	150.00	...	-150.00	3 of 6 - Option expiration- long call
	3.000	0.00	11/12/21	45.00	...	-45.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/12/21	15.00	...	-15.00	5 of 6 - Option expiration- long call
	6.000	0.00	11/19/21	90.00	...	-90.00	6 of 6 - Option expiration- long call
12/17/21	20.000	0.00	Various	870.00	...	-870.00	Total of 6 transactions
CPNG 12/17/2021 PUT \$22.50 / CUSIP: / Symbol:							
12/17/21	5.000	0.00	11/12/21	225.00	...	-225.00	Option expiration- long put
CSCO 09/17/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/08/21	1.000	137.98	07/07/21	127.00	...	10.98	Option sale to close-call ²⁵

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CSIQ 04/30/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/06/21	10.000	2,549.96	04/05/21	2,000.00	...	549.96	Option sale to close-call ²⁵
	5 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	04/07/21	575.00	...	-575.00	1 of 5 - Option expiration- long call
	5.000	0.00	04/07/21	525.00	...	-525.00	2 of 5 - Option expiration- long call
	5.000	0.00	04/07/21	500.00	...	-500.00	3 of 5 - Option expiration- long call
	11.000	0.00	04/12/21	330.00	...	-330.00	4 of 5 - Option expiration- long call
	4.000	0.00	04/12/21	120.00	...	-120.00	5 of 5 - Option expiration- long call
04/30/21	30.000	0.00	Various	2,050.00	...	-2,050.00	Total of 5 transactions
	Security total:	2,549.96		4,050.00	...	-1,500.04	
CSIQ 04/30/2021 CALL \$51.00 / CUSIP: / Symbol:							
04/30/21	15.000	0.00	04/12/21	375.00	...	-375.00	Option expiration- long call
CSIQ 04/30/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/19/21	10.000	999.97	03/18/21	700.00	...	299.97	Option sale to close-call ²⁵
CSIQ 04/30/2021 CALL \$65.00 / CUSIP: / Symbol:							
	2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	1.000	40.00	03/23/21	30.00	...	10.00	1 of 2 - Option sale to close-call ²⁵
	5.000	199.98	03/23/21	150.00	...	49.98	2 of 2 - Option sale to close-call ²⁵
03/30/21	6.000	239.98	Various	180.00	...	59.98	Total of 2 transactions
CVNA 11/05/2021 CALL \$360.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	11/04/21	103.00	...	-103.00	Option expiration- long call
CVNA 11/05/2021 PUT \$260.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	11/04/21	92.00	...	-92.00	Option expiration- long put
DHI 04/23/2021 PUT \$85.00 / CUSIP: / Symbol:							
04/22/21	1.000	3.98	04/20/21	82.02	...	-78.04	Option sale to close-put ²⁵
04/22/21	1.000	18.98	04/12/21	52.00	33.02 W	0.00	Option sale to close-put ²⁵
	Security total:	22.96		134.02	33.02 W	-78.04	

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(continued)

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DHI 04/23/2021 PUT \$95.00 / CUSIP: / Symbol:							
04/23/21	1.000	-68.04	04/22/21	0.00	...	-68.04	Short sale closed- put ²⁵
04/23/21	1.000	-87.02	04/22/21	0.00	87.02 W	0.00	Option written: 04/20/21 Short sale closed- put ²⁵ Option written: 04/12/21
Security total:		-155.06		0.00	87.02 W	-68.04	
DIS 12/23/2021 CALL \$185.00 / CUSIP: / Symbol:							
12/23/21	2.000	0.00	11/11/21	130.00	...	-130.00	Option expiration- long call
DKNG 08/06/2021 CALL \$51.50 / CUSIP: / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	126.99	07/06/21	358.00	...	-231.01	1 of 2 - Option sale to close-call ²⁵
	1.000	126.99	07/23/21	165.00	...	-38.01	2 of 2 - Option sale to close-call ²⁵
08/06/21	2.000	253.98	Various	523.00	...	-269.02	Total of 2 transactions
DOCU 12/10/2021 CALL \$260.00 / CUSIP: / Symbol:							
12/03/21	1.000	2.98	12/02/21	346.00	...	-343.02	Option sale to close-call ²⁵
DOCU 12/10/2021 PUT \$200.00 / CUSIP: / Symbol:							
12/03/21	1.000	5,599.96	12/02/21	269.00	...	5,330.96	Option sale to close-put ²⁵
DT 11/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	90.00	11/02/21	65.00	...	25.00	1 of 3 - Option sale to close-call ²⁵
	1.000	89.99	11/02/21	60.00	...	29.99	2 of 3 - Option sale to close-call ²⁵
	1.000	134.98	11/02/21	90.01	...	44.97	3 of 3 - Option sale to close-call ²⁵
11/04/21	3.000	314.97	Various	215.01	...	99.96	Total of 3 transactions
11/04/21	1.000	89.99	10/27/21	120.00	30.01 W	0.00	Option sale to close-call ²⁵
Security total:		404.96		335.01	30.01 W	99.96	
FB 12/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
11/10/21	1.000	182.98	11/09/21	140.00	...	42.98	Option sale to close-put ²⁵
FB 12/31/2021 CALL \$340.00 / CUSIP: / Symbol:							
12/23/21	1.000	334.98	12/23/21	269.00	...	65.98	Option sale to close-call ²⁵

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FCEL 01/07/2022 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	12/28/21	40.00	35.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	12/28/21	42.00	37.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/31/21	2.000	9.98	Various	82.00	72.02 W	0.00	Total of 2 transactions
FCEL 01/07/2022 PUT \$6.00 / CUSIP: / Symbol:							
4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	12/28/21	50.00	...	50.00	1 of 4 - Option sale to close-put ²⁵
	1.000	100.00	12/28/21	48.00	...	52.00	2 of 4 - Option sale to close-put ²⁵
	3.000	299.99	12/28/21	144.00	...	155.99	3 of 4 - Option sale to close-put ²⁵
	5.000	499.98	12/28/21	240.00	...	259.98	4 of 4 - Option sale to close-put ²⁵
12/29/21	10.000	999.97	Various	482.00	...	517.97	Total of 4 transactions
FDX 12/17/2021 CALL \$245.00 / CUSIP: / Symbol:							
12/17/21	1.000	999.98	12/16/21	687.00	...	312.98	Option sale to close-call ²⁵
FDX 12/17/2021 PUT \$242.50 / CUSIP: / Symbol:							
12/17/21	1.000	14.98	12/16/21	923.00	...	-908.02	Option sale to close-put ²⁵
FDX 12/23/2021 CALL \$260.00 / CUSIP: / Symbol:							
12/20/21	1.000	40.98	12/17/21	626.00	...	-585.02	Option sale to close-call ²⁵
FDX 12/23/2021 PUT \$257.50 / CUSIP: / Symbol:							
12/20/21	1.000	1,099.98	12/17/21	614.00	...	485.98	Option sale to close-put ²⁵
FEYE 09/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
09/17/21	5.000	0.00	07/06/21	265.00	...	-265.00	Option expiration- long call
FUTU 11/26/2021 PUT \$50.00 / CUSIP: / Symbol:							
11/26/21	1.000	191.98	11/23/21	205.00	...	-13.02	Option sale to close-put ²⁵
FUTU 12/03/2021 CALL \$54.00 / CUSIP: / Symbol:							
11/24/21	1.000	429.98	11/23/21	482.00	...	-52.02	Option sale to close-call ²⁵
FUTU 12/03/2021 PUT \$53.00 / CUSIP: / Symbol:							
11/26/21	1.000	369.98	11/23/21	443.00	...	-73.02	Option sale to close-put ²⁵

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GIS 01/21/2022 CALL \$67.50 / CUSIP: / Symbol:							
12/21/21	1.000	44.98	12/20/21	162.00	...	-117.02	Option sale to close-call ²⁵
GIS 01/21/2022 PUT \$65.00 / CUSIP: / Symbol:							
12/21/21	1.000	169.98	12/20/21	112.00	...	57.98	Option sale to close-put ²⁵
GME 12/10/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/08/21	1.000	362.98	12/08/21	385.00	...	-22.02	Option sale to close-call ²⁵
GME 12/10/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/10/21	1.000	0.00	12/08/21	45.00	...	-45.00	Option expiration- long call
GME 12/10/2021 PUT \$100.00 / CUSIP: / Symbol:							
12/10/21	1.000	0.00	12/08/21	69.00	...	-69.00	Option expiration- long put
GME 12/10/2021 PUT \$150.00 / CUSIP: / Symbol:							
12/08/21	1.000	391.98	12/08/21	285.00	...	106.98	Option sale to close-put ²⁵
HPE 12/03/2021 CALL \$14.50 / CUSIP: / Symbol:							
12/01/21	1.000	9.98	11/30/21	35.00	...	-25.02	Option sale to close-call ²⁵
HPE 12/03/2021 PUT \$14.00 / CUSIP: / Symbol:							
12/01/21	1.000	4.98	11/30/21	28.00	...	-23.02	Option sale to close-put ²⁵
INMD 12/17/2021 CALL \$110.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	11/12/21	236.00	...	-236.00	Option expiration- long call
INTC 12/03/2021 CALL \$51.00 / CUSIP: / Symbol:							
11/08/21	1.000	170.98	11/03/21	77.00	...	93.98	Option sale to close-call ²⁵
IWM 01/21/2022 CALL \$251.00 / CUSIP: / Symbol:							
11/04/21	1.000	364.98	11/03/21	296.00	...	68.98	Option sale to close-call ²⁵
JBL 12/17/2021 CALL \$65.00 / CUSIP: / Symbol:							
12/16/21	1.000	171.98	12/15/21	107.00	...	64.98	Option sale to close-call ²⁵
JBL 12/17/2021 PUT \$60.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/15/21	153.00	...	-153.00	Option expiration- long put

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KR 12/10/2021 CALL \$42.00 / CUSIP: / Symbol:							
12/02/21	1.000	219.98	12/01/21	109.00	...	110.98	Option sale to close-call ²⁵
KR 12/10/2021 PUT \$41.00 / CUSIP: / Symbol:							
12/10/21	1.000	0.00	12/01/21	161.00	...	-161.00	Option expiration- long put
LCID 12/17/2021 CALL \$37.00 / CUSIP: / Symbol:							
12/10/21	1.000	292.98	12/09/21	286.00	...	6.98	Option sale to close-call ²⁵
LCID 12/31/2021 PUT \$39.00 / CUSIP: / Symbol:							
12/31/21	1.000	97.98	12/30/21	70.00	...	27.98	Option sale to close-put ²⁵
LEN 12/23/2021 CALL \$111.00 / CUSIP: / Symbol:							
12/21/21	2.000	59.98	12/15/21	644.00	...	-584.02	Option sale to close-call ²⁵
LEN 12/23/2021 PUT \$110.00 / CUSIP: / Symbol:							
12/17/21	2.000	919.98	12/15/21	686.00	...	233.98	Option sale to close-put ²⁵
LI 12/31/2021 PUT \$31.00 / CUSIP: / Symbol:							
12/31/21	1.000	6.98	12/30/21	19.00	...	-12.02	Option sale to close-put ²⁵
LOGI 09/17/2021 CALL \$135.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	07/06/21	360.00	...	-360.00	Option expiration- long call
LULU 08/20/2021 CALL \$400.00 / CUSIP: / Symbol:							
08/13/21	1.000	704.98	07/14/21	625.00	...	79.98	Option sale to close-call ²⁵
MRK 12/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
12/01/21	1.000	239.98	11/30/21	211.00	...	28.98	Option sale to close-call ²⁵
MRK 12/17/2021 PUT \$74.00 / CUSIP: / Symbol:							
12/03/21	1.000	274.98	11/30/21	244.00	...	30.98	Option sale to close-put ²⁵
MRNA 12/03/2021 CALL \$450.00 / CUSIP: / Symbol:							
11/26/21	1.000	275.98	11/26/21	190.00	...	85.98	Option sale to close-call ²⁵
MU 12/31/2021 CALL \$83.00 / CUSIP: / Symbol:							
12/21/21	2.000	1,449.98	12/20/21	810.00	...	639.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MU 12/31/2021 PUT \$82.00 / CUSIP: / Symbol:							
12/21/21	1.000	49.98	12/20/21	345.00	...	-295.02	Option sale to close-put ²⁵
12/31/21	1.000	0.00	12/20/21	345.00	...	-345.00	Option expiration- long put
	Security total:	49.98		690.00	...	-640.02	
NEE 11/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	10/27/21	30.00	...	-30.00	Option expiration- long call
NIO 05/28/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/24/21	5.000	794.98	05/21/21	335.00	...	459.98	Option sale to close-call ²⁵
NIO 11/19/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/08/21	1.000	219.98	11/04/21	201.00	...	18.98	Option sale to close-call ²⁵
NIO 12/31/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/21/21	1.000	114.98	12/17/21	183.00	...	-68.02	Option sale to close-call ²⁵
NIO 12/31/2021 PUT \$29.00 / CUSIP: / Symbol:							
12/20/21	1.000	214.98	12/17/21	165.00	...	49.98	Option sale to close-put ²⁵
NIO 12/31/2021 PUT \$32.00 / CUSIP: / Symbol:							
12/31/21	1.000	52.98	12/30/21	48.00	...	4.98	Option sale to close-put ²⁵
NKE 12/31/2021 CALL \$157.50 / CUSIP: / Symbol:							
12/21/21	4.000	3,599.97	12/20/21	2,384.00	...	1,215.97	Option sale to close-call ²⁵
NKE 12/31/2021 PUT \$155.00 / CUSIP: / Symbol:							
12/31/21	4.000	0.00	12/20/21	2,252.00	...	-2,252.00	Option expiration- long put
NKLA 01/07/2022 CALL \$11.50 / CUSIP: / Symbol:							
12/27/21	1.000	112.98	12/23/21	103.00	...	9.98	Option sale to close-call ²⁵
NKLA 01/07/2022 PUT \$11.00 / CUSIP: / Symbol:							
12/27/21	1.000	73.98	12/23/21	94.00	...	-20.02	Option sale to close-put ²⁵
NTAP 12/10/2021 CALL \$92.00 / CUSIP: / Symbol:							
12/07/21	1.000	54.98	11/30/21	272.00	...	-217.02	Option sale to close-call ²⁵

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NTAP 12/10/2021 PUT \$91.00 / CUSIP: / Symbol:							
12/06/21	1.000	299.98	11/30/21	388.00	...	-88.02	Option sale to close-put ²⁵
NTNX 10/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/07/21	1.000	454.98	07/09/21	283.00	...	171.98	Option sale to close-call ²⁵
NVDA 05/28/2021 PUT \$570.00 / CUSIP: / Symbol:							
05/25/21	1.000	149.98	05/04/21	2,451.00	...	-2,301.02	Option sale to close-put ²⁵
NVDA 05/28/2021 PUT \$585.00 / CUSIP: / Symbol:							
05/26/21	1.000	2,966.97	05/25/21	0.00	...	2,966.97	Short sale closed- put ²⁵ Option written: 05/04/21
NVDA 07/16/2021 CALL \$840.00 / CUSIP: / Symbol:							
07/15/21	1.000	10.98	07/06/21	1,675.00	...	-1,664.02	Option sale to close-call ²⁵
NVDA 11/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
11/18/21	1.000	452.98	11/12/21	327.02	...	125.96	Option sale to close-call ²⁵
11/18/21	1.000	319.98	11/12/21	329.00	9.02 W	0.00	Option sale to close-call ²⁵
Security total:		772.96		656.02	9.02 W	125.96	
NVDA 11/19/2021 PUT \$270.00 / CUSIP: / Symbol:							
11/18/21	1.000	1.98	11/12/21	131.00	...	-129.02	Option sale to close-put ²⁵
NVDA 12/03/2021 CALL \$350.00 / CUSIP: / Symbol:							
11/30/21	1.000	121.98	11/29/21	176.00	...	-54.02	Option sale to close-call ²⁵
OCGN 01/14/2022 CALL \$5.50 / CUSIP: / Symbol:							
12/30/21	1.000	37.98	12/28/21	39.00	...	-1.02	Option sale to close-call ²⁵
ORCL 12/10/2021 CALL \$89.00 / CUSIP: / Symbol:							
		2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.					
	1.000	240.98	12/09/21	246.00	...	-5.02	1 of 2 - Option sale to close-call ²⁵
	1.000	241.98	12/09/21	246.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
12/09/21	2.000	482.96	Various	492.00	...	-9.04	Total of 2 transactions

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ORCL 12/10/2021 PUT \$88.00 / CUSIP: / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	223.98	12/09/21	224.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	1.000	223.98	12/09/21	224.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
12/09/21	2.000	447.96	Various	448.00	...	-0.04	Total of 2 transactions
ORCL 12/17/2021 CALL \$89.00 / CUSIP: / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1,609.98	12/09/21	273.00	...	1,336.98	1 of 2 - Option sale to close-call ²⁵
	1.000	1,609.98	12/09/21	273.00	...	1,336.98	2 of 2 - Option sale to close-call ²⁵
12/10/21	2.000	3,219.96	Various	546.00	...	2,673.96	Total of 2 transactions
ORCL 12/17/2021 PUT \$88.00 / CUSIP: / Symbol:							
4 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	12/09/21	504.00	...	-504.00	1 of 4 - Option expiration- long put
	9.000	0.00	12/10/21	45.00	...	-45.00	2 of 4 - Option expiration- long put
	2.000	0.00	12/10/21	10.00	...	-10.00	3 of 4 - Option expiration- long put
	1.000	0.00	12/10/21	5.00	...	-5.00	4 of 4 - Option expiration- long put
12/17/21	14.000	0.00	Various	564.00	...	-564.00	Total of 4 transactions
PATH 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/08/21	266.00	...	-266.00	Option expiration- long call
PATH 12/17/2021 PUT \$45.00 / CUSIP: / Symbol:							
12/13/21	1.000	239.98	12/08/21	255.00	...	-15.02	Option sale to close-put ²⁵
PAYX 01/21/2022 CALL \$125.00 / CUSIP: / Symbol:							
12/22/21	1.000	833.98	12/21/21	450.00	...	383.98	Option sale to close-call ²⁵
PAYX 01/21/2022 CALL \$130.00 / CUSIP: / Symbol:							
12/22/21	1.000	379.98	12/21/21	213.00	...	166.98	Option sale to close-call ²⁵
PAYX 01/21/2022 CALL \$135.00 / CUSIP: / Symbol:							
12/23/21	1.000	397.98	12/22/21	290.00	...	107.98	Option sale to close-call ²⁵

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PAYX 01/21/2022 PUT \$125.00 / CUSIP: / Symbol:							
12/22/21	1.000	79.98	12/21/21	537.02	...	-457.04	Option sale to close-put ²⁵
12/22/21	1.000	99.98	12/21/21	317.00	217.02 W	0.00	Option sale to close-put ²⁵
	Security total:	179.96		854.02	217.02 W	-457.04	
PAYX 01/21/2022 PUT \$130.00 / CUSIP: / Symbol:							
12/23/21	1.000	93.98	12/22/21	155.00	...	-61.02	Option sale to close-put ²⁵
PFE 01/07/2022 CALL \$60.00 / CUSIP: / Symbol:							
12/23/21	1.000	100.98	12/22/21	163.00	...	-62.02	Option sale to close-call ²⁵
PFE 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/05/21	2.000	75.98	11/01/21	28.00	...	47.98	Option sale to close-call ²⁵
PFE 11/19/2021 PUT \$40.00 / CUSIP: / Symbol:							
11/05/21	2.000	9.98	11/01/21	50.00	...	-40.02	Option sale to close-put ²⁵
PFE 12/31/2021 CALL \$60.00 / CUSIP: / Symbol:							
12/22/21	1.000	139.98	12/22/21	196.00	...	-56.02	Option sale to close-call ²⁵
PLAN 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/06/21	170.00	...	-170.00	Option expiration- long call
PLTR 11/19/2021 PUT \$24.00 / CUSIP: / Symbol:							
11/10/21	1.000	139.98	11/09/21	30.00	...	109.98	Option sale to close-put ²⁵
PLUG 04/30/2021 PUT \$35.00 / CUSIP: / Symbol:							
04/27/21	2.000	1,159.98	03/19/21	710.00	...	449.98	Option sale to close-put ²⁵
PLUG 04/30/2021 PUT \$41.00 / CUSIP: / Symbol:							
04/28/21	2.000	-1,034.02	04/27/21	0.00	...	-1,034.02	Short sale closed- put ²⁵ Option written: 03/19/21
PLUG 11/19/2021 CALL \$42.00 / CUSIP: / Symbol:							
11/11/21	1.000	279.98	11/09/21	222.00	...	57.98	Option sale to close-call ²⁵
PLUG 11/19/2021 PUT \$41.00 / CUSIP: / Symbol:							
11/16/21	1.000	65.98	11/09/21	321.00	...	-255.02	Option sale to close-put ²⁵

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PTON 11/19/2021 CALL \$53.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/17/21	90.00	...	-90.00	Option expiration- long call
PTON 12/31/2021 PUT \$37.50 / CUSIP: / Symbol:							
12/31/21	1.000	89.98	12/30/21	66.00	...	23.98	Option sale to close-put ²⁵
PYPL 12/03/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/10/21	49.00	...	-49.00	Option expiration- long call
QCOM 07/30/2021 CALL \$142.00 / CUSIP: / Symbol:							
07/12/21	1.000	469.98	07/09/21	330.00	...	139.98	Option sale to close-call ²⁵
QCOM 11/05/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/03/21	1.000	219.98	11/02/21	144.00	...	75.98	Option sale to close-call ²⁵
QCOM 11/12/2021 PUT \$135.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/04/21	8.00	...	-8.00	1 of 2 - Option expiration- long put
	1.000	0.00	11/04/21	8.00	...	-8.00	2 of 2 - Option expiration- long put
11/12/21	2.000	0.00	Various	16.00	...	-16.00	Total of 2 transactions
QQQ 12/17/2021 CALL \$385.00 / CUSIP: / Symbol:							
12/15/21	1.000	672.98	12/15/21	394.00	...	278.98	Option sale to close-call ²⁵
QQQ 12/17/2021 PUT \$384.00 / CUSIP: / Symbol:							
12/15/21	1.000	230.98	12/15/21	431.00	...	-200.02	Option sale to close-put ²⁵
RIVN 11/19/2021 PUT \$100.00 / CUSIP: / Symbol:							
11/19/21	1.000	5.98	11/18/21	25.00	...	-19.02	Option sale to close-put ²⁵
RIVN 12/17/2021 CALL \$112.00 / CUSIP: / Symbol:							
12/17/21	1.000	20.98	12/16/21	435.00	...	-414.02	Option sale to close-call ²⁵
RIVN 12/17/2021 PUT \$111.00 / CUSIP: / Symbol:							
12/17/21	1.000	1,378.98	12/16/21	550.00	...	828.98	Option sale to close-put ²⁵
RIVN 12/23/2021 CALL \$100.00 / CUSIP: / Symbol:							
12/21/21	1.000	154.98	12/17/21	396.00	...	-241.02	Option sale to close-call ²⁵

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RIVN 12/23/2021 PUT \$95.00 / CUSIP: / Symbol:							
12/22/21	1.000	139.98	12/17/21	559.00	...	-419.02	Option sale to close-put ²⁵
ROST 11/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/18/21	290.00	...	-290.00	Option expiration- long call
ROST 11/19/2021 PUT \$119.00 / CUSIP: / Symbol:							
11/19/21	1.000	319.98	11/18/21	305.00	...	14.98	Option sale to close-put ²⁵
SAVA 12/17/2021 PUT \$30.00 / CUSIP: / Symbol:							
12/09/21	1.000	34.98	11/17/21	200.00	...	-165.02	Option sale to close-put ²⁵
SE 11/26/2021 PUT \$285.00 / CUSIP: / Symbol:							
11/22/21	1.000	138.98	11/22/21	70.00	...	68.98	Option sale to close-put ²⁵
SE 12/03/2021 CALL \$340.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/17/21	475.00	...	-475.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/17/21	280.00	...	-280.00	2 of 2 - Option expiration- long call
12/03/21	2.000	0.00	Various	755.00	...	-755.00	Total of 2 transactions
SFIX 12/17/2021 CALL \$26.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/07/21	330.00	...	-330.00	Option expiration- long call
SFIX 12/17/2021 PUT \$25.00 / CUSIP: / Symbol:							
12/08/21	1.000	669.98	12/07/21	304.00	...	365.98	Option sale to close-put ²⁵
SNAP 02/18/2022 PUT \$55.00 / CUSIP: / Symbol:							
12/08/21	1.000	624.98	11/16/21	635.00	...	-10.02	Option sale to close-put ²⁵
SNAP 02/18/2022 PUT \$60.00 / CUSIP: / Symbol:							
12/09/21	1.000	12.98	12/08/21	0.00	...	12.98	Short sale closed- put ²⁵ Option written: 11/16/21
SNOW 12/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
11/24/21	1.000	319.98	11/23/21	450.00	...	-130.02	Option sale to close-put ²⁵

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SOFI 12/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/03/21	181.00	...	-181.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/10/21	90.00	...	-90.00	2 of 3 - Option expiration- long call
	2.000	0.00	12/02/21	8.00	...	-8.00	3 of 3 - Option expiration- long call
12/17/21	4.000	0.00	Various	279.00	...	-279.00	Total of 3 transactions
SOFI 12/17/2021 PUT \$15.00 / CUSIP: / Symbol:							
12/03/21	2.000	183.98	11/24/21	70.00	...	113.98	Option sale to close-put ²⁵
SPCE 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/08/21	1.000	744.98	07/06/21	575.00	...	169.98	Option sale to close-call ²⁵
SPLK 11/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/16/21	104.00	...	-104.00	Option expiration- long call
SPLK 12/10/2021 CALL \$120.00 / CUSIP: / Symbol:							
12/02/21	1.000	399.98	12/01/21	337.00	...	62.98	Option sale to close-call ²⁵
SPLK 12/10/2021 PUT \$119.00 / CUSIP: / Symbol:							
12/03/21	1.000	699.98	12/01/21	663.00	...	36.98	Option sale to close-put ²⁵
SPY 03/05/2021 PUT \$360.00 / CUSIP: / Symbol:							
03/05/21	10.000	0.00	03/04/21	230.00	...	-230.00	Option expiration- long put
SPY 03/05/2021 PUT \$364.00 / CUSIP: / Symbol:							
03/05/21	10.000	439.97	03/05/21	0.00	...	439.97	Short sale closed- put expired Option written: 03/04/21
SPY 03/05/2021 PUT \$368.00 / CUSIP: / Symbol:							
03/05/21	4.000	0.00	03/05/21	24.00	...	-24.00	Option expiration- long put

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 03/05/2021 PUT \$372.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	469.97	03/03/21	960.00	...	-490.03	1 of 2 - Option sale to close-put ²⁵
	4.000	67.98	03/05/21	0.00	...	67.98	2 of 2 - Short sale closed- put expired
03/05/21	14.000	537.95	Various	960.00	...	-422.05	Option written: 03/05/21 Total of 2 transactions
SPY 03/05/2021 PUT \$373.00 / CUSIP: / Symbol:							
03/08/21	10.000	449.97	03/05/21	0.00	...	449.97	Short sale closed- put ²⁵ Option written: 03/03/21
SPY 03/05/2021 PUT \$379.00 / CUSIP: / Symbol:							
4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	803.98	03/03/21	240.00	...	563.98	1 of 4 - Option sale to close-put ²⁵
	2.000	803.98	03/03/21	240.00	...	563.98	2 of 4 - Option sale to close-put ²⁵
	3.000	1,205.98	03/03/21	360.00	...	845.98	3 of 4 - Option sale to close-put ²⁵
	3.000	1,205.98	03/03/21	360.00	...	845.98	4 of 4 - Option sale to close-put ²⁵
03/04/21	10.000	4,019.92	Various	1,200.00	...	2,819.92	Total of 4 transactions
SPY 03/05/2021 PUT \$380.00 / CUSIP: / Symbol:							
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	3.000	-981.01	03/04/21	0.00	...	-981.01	1 of 4 - Short sale closed- put ²⁵
	3.000	-981.01	03/04/21	0.00	...	-981.01	Option written: 03/03/21 2 of 4 - Short sale closed- put ²⁵
	2.000	-654.01	03/04/21	0.00	...	-654.01	Option written: 03/03/21 3 of 4 - Short sale closed- put ²⁵
	2.000	-654.00	03/04/21	0.00	...	-654.00	Option written: 03/03/21 4 of 4 - Short sale closed- put ²⁵
03/05/21	10.000	-3,270.03	Various	0.00	...	-3,270.03	Option written: 03/03/21 Total of 4 transactions
SPY 03/08/2021 PUT \$379.00 / CUSIP: / Symbol:							
4 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	4.000	51.98	03/08/21	76.00	...	-24.02	1 of 4 - Option sale to close-put ²⁵
	3.000	38.98	03/08/21	57.00	...	-18.02	2 of 4 - Option sale to close-put ²⁵

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SPY 03/08/2021 PUT \$379.00 / CUSIP: / Symbol: (cont'd)							
	2.000	25.98	03/08/21	38.00	...	-12.02	3 of 4 - Option sale to close-put ²⁵
	1.000	12.98	03/08/21	19.00	...	-6.02	4 of 4 - Option sale to close-put ²⁵
03/08/21	10.000	129.92	Various	190.00	...	-60.08	Total of 4 transactions
SPY 03/08/2021 PUT \$380.00 / CUSIP: / Symbol:							
03/08/21	20.000	399.95	03/08/21	160.00	...	239.95	Option sale to close-put ²⁵
SPY 03/08/2021 PUT \$381.00 / CUSIP: / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2.00	03/08/21	0.00	...	2.00	1 of 3 - Short sale closed- put ²⁵ Option written: 03/08/21
	2.000	3.99	03/08/21	0.00	...	3.99	2 of 3 - Short sale closed- put ²⁵ Option written: 03/08/21
	7.000	13.98	03/08/21	0.00	...	13.98	3 of 3 - Short sale closed- put ²⁵ Option written: 03/08/21
03/09/21	10.000	19.97	Various	0.00	...	19.97	Total of 3 transactions
SPY 03/08/2021 PUT \$382.00 / CUSIP: / Symbol:							
03/09/21	20.000	-820.05	03/08/21	0.00	...	-820.05	Short sale closed- put ²⁵ Option written: 03/08/21
SPY 03/31/2021 PUT \$385.00 / CUSIP: / Symbol:							
4 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	2.000	45.99	03/26/21	142.00	...	-96.01	1 of 4 - Option sale to close-put ²⁵
	1.000	21.98	03/26/21	71.00	...	-49.02	2 of 4 - Option sale to close-put ²⁵
	1.000	21.98	03/26/21	71.00	...	-49.02	3 of 4 - Option sale to close-put ²⁵
	1.000	22.99	03/26/21	71.00	...	-48.01	4 of 4 - Option sale to close-put ²⁵
03/29/21	5.000	112.94	Various	355.00	...	-242.06	Total of 4 transactions
SPY 03/31/2021 PUT \$386.00 / CUSIP: / Symbol:							
03/31/21	5.000	9.98	03/30/21	135.00	...	-125.02	Option sale to close-put ²⁵

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(continued)

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SPY 03/31/2021 PUT \$390.00 / CUSIP: / Symbol:							
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	1.000	107.98	03/29/21	0.00	...	107.98	1 of 4 - Short sale closed- put ²⁵ Option written: 03/26/21
	1.000	108.99	03/29/21	0.00	...	108.99	2 of 4 - Short sale closed- put ²⁵ Option written: 03/26/21
	1.000	109.00	03/29/21	0.00	...	109.00	3 of 4 - Short sale closed- put ²⁵ Option written: 03/26/21
	2.000	215.99	03/29/21	0.00	...	215.99	4 of 4 - Short sale closed- put ²⁵ Option written: 03/26/21
03/30/21	5.000	541.96	Various	0.00	...	541.96	Total of 4 transactions
04/01/21	5.000	314.98	03/31/21	0.00	...	314.98	Short sale closed- put ²⁵ Option written: 03/30/21
	Security total:	856.94		0.00	...	856.94	
SPY 12/17/2021 CALL \$462.00 / CUSIP: / Symbol:							
12/16/21	1.000	1,036.98	12/15/21	297.00	...	739.98	Option sale to close-call ²⁵
SPY 12/17/2021 PUT \$461.00 / CUSIP: / Symbol:							
12/16/21	1.000	28.98	12/15/21	440.00	...	-411.02	Option sale to close-put ²⁵
SQ 12/03/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/24/21	250.00	...	-250.00	Option expiration- long call
TCOM 12/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	12/15/21	65.00	...	-65.00	Option expiration- long call
TCOM 12/17/2021 PUT \$24.00 / CUSIP: / Symbol:							
12/16/21	1.000	149.98	12/15/21	85.00	...	64.98	Option sale to close-put ²⁵
TSLA 11/19/2021 PUT \$1,075.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/19/21	87.00	...	-87.00	Option expiration- long put
TSLA 11/19/2021 PUT \$800.00 / CUSIP: / Symbol:							
11/09/21	1.000	614.98	11/08/21	400.00	...	214.98	Option sale to close-put ²⁵
TSLA 11/26/2021 PUT \$950.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/23/21	385.00	...	-385.00	Option expiration- long put

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TSLA 12/03/2021 CALL \$1,250.00 / CUSIP: / Symbol: 12/03/21	1.000	0.00	12/01/21	120.00	...	-120.00	Option expiration- long call
TSLA 12/03/2021 CALL \$1,400.00 / CUSIP: / Symbol: 11/30/21	1.000	9.98	11/23/21	224.00	...	-214.02	Option sale to close-call ²⁵
TSLA 12/03/2021 PUT \$800.00 / CUSIP: / Symbol: 11/30/21	1.000	45.98	11/23/21	293.00	...	-247.02	Option sale to close-put ²⁵
TSLA 12/31/2021 CALL \$1,200.00 / CUSIP: / Symbol: 12/27/21	1.000	1,025.98	12/23/21	538.00	...	487.98	Option sale to close-call ²⁵
TSLA 12/31/2021 PUT \$980.00 / CUSIP: / Symbol: 12/27/21	1.000	373.98	12/23/21	732.00	...	-358.02	Option sale to close-put ²⁵
TSM 07/16/2021 CALL \$120.00 / CUSIP: / Symbol: 07/12/21	1.000	339.98	07/12/21	289.00	...	50.98	Option sale to close-call ²⁵
TTD 11/12/2021 CALL \$78.00 / CUSIP: / Symbol: 11/08/21	1.000	599.98	10/29/21	295.00	...	304.98	Option sale to close-call ²⁵
11/10/21	1.000	1,459.98	11/05/21	185.00	...	1,274.98	Option sale to close-call ²⁵
Security total:		2,059.96		480.00	...	1,579.96	
UBER 07/30/2021 PUT \$45.00 / CUSIP: / Symbol: 07/27/21	1.000	91.98	07/15/21	87.00	...	4.98	Option sale to close-put ²⁵
UBER 08/20/2021 CALL \$50.00 / CUSIP: / Symbol: 08/20/21	2.000	0.00	07/06/21	840.00	...	-840.00	Option expiration- long call
UBER 11/19/2021 CALL \$47.00 / CUSIP: / Symbol: 11/05/21	1.000	169.98	11/04/21	170.00	...	-0.02	Option sale to close-call ²⁵
UBER 11/19/2021 PUT \$46.00 / CUSIP: / Symbol: 11/09/21	2.000	399.98	Various	345.00	...	54.98	Total of 2 transactions
<i>2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	199.99	11/04/21	270.00	...	-70.01	1 of 2 - Option sale to close-put ²⁵
	1.000	199.99	11/05/21	75.00	...	124.99	2 of 2 - Option sale to close-put ²⁵

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UI 06/18/2021 CALL \$340.00 / CUSIP: / Symbol: 03/26/21	1.000	4,749.96	03/09/21	3,310.00	...	1,439.96	Option sale to close-call ²⁵
WB 07/16/2021 CALL \$65.00 / CUSIP: / Symbol: 07/15/21	5.000	199.98	07/06/21	875.00	...	-675.02	Option sale to close-call ²⁵
WBA 08/20/2021 CALL \$50.00 / CUSIP: / Symbol: 07/12/21	1.000	71.98	07/09/21	64.00	...	7.98	Option sale to close-call ²⁵
WKHS 11/12/2021 CALL \$7.00 / CUSIP: / Symbol: 11/09/21	1.000	25.98	11/08/21	41.00	...	-15.02	Option sale to close-call ²⁵
WKHS 11/12/2021 PUT \$6.50 / CUSIP: / Symbol: 11/09/21	1.000	28.98	11/08/21	42.00	...	-13.02	Option sale to close-put ²⁵
WMT 02/18/2022 CALL \$150.00 / CUSIP: / Symbol: 12/28/21	1.000	157.98	12/27/21	129.00	...	28.98	Option sale to close-call ²⁵
WMT 03/18/2022 PUT \$145.00 / CUSIP: / Symbol: 12/13/21	1.000	720.98	11/16/21	833.00	...	-112.02	Option sale to close-put ²⁵
WMT 03/18/2022 PUT \$150.00 / CUSIP: / Symbol: 12/14/21	1.000	113.98	12/13/21	0.00	...	113.98	Short sale closed- put ²⁵ Option written: 11/16/21
WU 01/20/2023 CALL \$20.00 / CUSIP: / Symbol: 12/09/21	1.000	129.98	11/11/21	129.00	...	0.98	Option sale to close-call ²⁵
XEL 08/20/2021 CALL \$70.00 / CUSIP: / Symbol: 07/16/21	2.000	189.98	07/12/21	146.00	...	43.98	Option sale to close-call ²⁵
XPEV 12/03/2021 CALL \$48.00 / CUSIP: / Symbol: 11/23/21	1.000	354.98	11/22/21	334.00	...	20.98	Option sale to close-call ²⁵
XPEV 12/03/2021 PUT \$47.00 / CUSIP: / Symbol: 11/23/21	1.000	100.98	11/22/21	256.00	...	-155.02	Option sale to close-put ²⁵
XPEV 12/31/2021 PUT \$49.00 / CUSIP: / Symbol: 12/31/21	1.000	46.98	12/30/21	84.00	...	-37.02	Option sale to close-put ²⁵

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YUM 01/21/2022 CALL \$130.00 / CUSIP: / Symbol:							
12/02/21	1.000	299.98	11/19/21	250.00	...	49.98	Option sale to close-call ²⁵
ZM 11/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/02/21	1.000	269.98	10/29/21	220.00	...	49.98	Option sale to close-call ²⁵
ZM 11/26/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/22/21	161.00	...	-161.00	Option expiration- long call
ZM 11/26/2021 PUT \$200.00 / CUSIP: / Symbol:							
11/23/21	1.000	529.98	11/22/21	317.00	...	212.98	Option sale to close-put ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	0.002	0.10	01/27/21	0.02	...	0.08	1 of 4 - Sale ²⁵
	0.078	4.68	01/27/21	0.98	...	3.70	2 of 4 - Sale ²⁵
	0.080	5.49	02/02/21	0.65	...	4.84	3 of 4 - Sale ²⁵
	2.920	175.22	02/02/21	23.92	...	151.30	4 of 4 - Sale ²⁵
06/02/21	3.080	185.49	Various	25.57	...	159.92	Total of 4 transactions
06/10/21	10.000	474.00	06/07/21	545.20	...	-71.20	Sale ²⁵
	Security total:	659.49		570.77	...	88.72	
ALCOA CORPORATION / CUSIP: 013872106 / Symbol:							
10/22/21	1.000	50.46	09/09/21	47.50	...	2.96	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
02/17/21	10.000	89.60	02/16/21	102.10	12.50 W	0.00	Sale ²⁵
CARLOTZ, INC. CLASS A COMMON S TOCK / CUSIP: 142552108 / Symbol:							
3 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	56.000	223.99	06/10/21	356.16	...	-132.17	1 of 3 - Sale ²⁵
	30.000	120.00	06/10/21	190.80	...	-70.80	2 of 3 - Sale ²⁵
	14.000	56.00	06/10/21	89.04	...	-33.04	3 of 3 - Sale ²⁵
08/12/21	100.000	399.99	Various	636.00	...	-236.01	Total of 3 transactions
CELSIUS HOLDINGS, INC. COMMON STOCK / CUSIP: 15118V207 / Symbol:							
10/05/21	0.139	13.21	07/29/21	10.00	...	3.21	Sale ²⁵

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Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
9 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	10.000	200.00	12/08/20	111.85	...	88.15	1 of 9 - Sale ²⁵
	10.000	200.00	12/24/20	146.25	...	53.75	2 of 9 - Sale ²⁵
	10.000	200.00	01/06/21	151.80	...	48.20	3 of 9 - Sale ²⁵
	30.000	599.99	02/09/21	377.93	...	222.06	4 of 9 - Sale ²⁵
	30.000	599.99	02/11/21	358.65	...	241.34	5 of 9 - Sale ²⁵
	30.000	599.99	02/16/21	345.90	...	254.09	6 of 9 - Sale ²⁵
	30.000	554.99	02/18/21	332.10	...	222.89	7 of 9 - Sale ²⁵
	30.000	554.99	02/18/21	320.91	...	234.08	8 of 9 - Sale ²⁵
	60.000	1,109.99	02/23/21	606.30	...	503.69	9 of 9 - Sale ²⁵
06/08/21	240.000	4,619.94	Various	2,751.69	...	1,868.25	Total of 9 transactions
COMMERCIAL METALS COMPANY / CUSIP: 201723103 / Symbol:							
06/18/21	10.000	298.00	06/11/21	332.70	...	-34.70	Sale ²⁵
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.001	0.02	04/01/21	0.02	...	0.00	1 of 2 - Sale ²⁵
	0.249	12.26	04/01/21	9.98	...	2.28	2 of 2 - Sale ²⁵
11/01/21	0.250	12.28	Various	10.00	...	2.28	Total of 2 transactions
FLUENT, INC. COMMON STOCK / CUSIP: 34380C102 / Symbol:							
01/06/21	10.000	54.37	06/22/20	20.09	...	34.28	Sale ²⁵
GENETIC TECHNOLOGIES LTD ADS / CUSIP: 37185R307 / Symbol:							
07/15/21	100.000	347.99	06/09/21	423.00	...	-75.01	Sale ²⁵
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
06/30/21	100.000	379.99	06/08/21	445.95	...	-65.96	Sale ²⁵
HECLA MINING COMPANY / CUSIP: 422704106 / Symbol:							
07/16/21	10.000	67.55	06/21/21	78.56	...	-11.01	Sale ²⁵

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
<i>5 transactions for 01/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.57	06/15/20	4.15	...	0.42	1 of 5 - Sale ²⁵
	50.000	228.49	09/02/20	170.75	...	57.74	2 of 5 - Sale ²⁵
	2.000	9.14	10/06/20	5.00	...	4.14	3 of 5 - Sale ²⁵
	48.000	219.35	10/06/20	120.00	...	99.35	4 of 5 - Sale ²⁵
	49.000	223.91	12/22/20	197.23	...	26.68	5 of 5 - Sale ²⁵
01/11/21	150.000	685.46	Various	497.13	...	188.33	Total of 5 transactions
11/01/21	1.000	4.01	12/22/20	4.02	...	-0.01	Sale ²⁵
	Security total:	689.47		501.15	...	188.32	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
08/05/21	100.000	311.99	06/09/21	428.24	3.02 W	-113.23	Sale ²⁵
KINDRED BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 494577109 / Symbol:							
<i>5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	70.35	06/22/20	42.50	...	27.85	1 of 5 - Sale ²⁵
	1.000	7.03	09/02/20	4.78	...	2.25	2 of 5 - Sale ²⁵
	19.000	133.66	09/02/20	90.82	...	42.84	3 of 5 - Sale ²⁵
	2.000	14.07	12/01/20	7.78	...	6.29	4 of 5 - Sale ²⁵
	18.000	126.63	12/01/20	70.02	...	56.61	5 of 5 - Sale ²⁵
06/03/21	50.000	351.74	Various	215.90	...	135.84	Total of 5 transactions
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
01/06/21	30.000	105.00	09/02/20	51.90	...	53.10	Sale ²⁵
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
06/18/21	10.000	305.00	06/14/21	337.83	...	-32.83	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
<i>4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	915.10	05/20/20	349.50	...	565.60	1 of 4 - Sale ²⁵
	6.000	1,098.11	12/16/20	843.66	...	254.45	2 of 4 - Sale ²⁵
	2.000	366.04	12/22/20	247.81	...	118.23	3 of 4 - Sale ²⁵
	2.000	366.04	12/29/20	225.64	...	140.40	4 of 4 - Sale ²⁵
02/08/21	15.000	2,745.29	Various	1,666.61	...	1,078.68	Total of 4 transactions

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NN, INC. COMMON STOCK / CUSIP: 629337106 / Symbol:							
07/19/21	10.000	65.80	06/21/21	73.80	...	-8.00	Sale ²⁵
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
06/23/21	49.000	426.30	06/17/21	393.36	...	32.94	Sale ²⁵
06/23/21	1.000	8.70	04/01/21	9.00	0.30 W	0.00	Sale ²⁵
	Security total:	435.00		402.36	0.30 W	32.94	
OCUPHIRE PHARMA, INC. COMMON S TOCK / CUSIP: 67577R102 / Symbol:							
<i>3 transactions for 07/06/21. Total proceeds and cost reported to the IRS.</i>							
	85.000	382.49	06/30/21	466.49	...	-84.00	1 of 3 - Sale ²⁵
	13.000	58.50	06/30/21	71.34	...	-12.84	2 of 3 - Sale ²⁵
	2.000	9.00	06/30/21	10.98	...	-1.98	3 of 3 - Sale ²⁵
07/06/21	100.000	449.99	Various	548.81	...	-98.82	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>5 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	496.49	02/24/20	51.00	...	445.49	1 of 5 - Sale ²⁵
	10.000	496.49	09/03/20	118.00	...	378.49	2 of 5 - Sale ²⁵
	0.003	0.13	12/22/20	0.09	...	0.04	3 of 5 - Sale ²⁵
	0.474	23.53	12/22/20	16.37	...	7.16	4 of 5 - Sale ²⁵
	14.000	695.08	12/22/20	483.54	...	211.54	5 of 5 - Sale ²⁵
02/22/21	34.476	1,711.72	Various	669.00	...	1,042.72	Total of 5 transactions
<i>4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.003	0.17	01/14/21	0.23	0.06 W	0.00	1 of 4 - Sale ²⁵
	4.505	223.68	01/14/21	299.77	76.09 W	0.00	2 of 4 - Sale ²⁵
	1.368	67.93	01/26/21	100.00	32.07 W	0.00	3 of 4 - Sale ²⁵
	8.563	425.14	02/16/21	500.00	74.86 W	0.00	4 of 4 - Sale ²⁵
02/22/21	14.440	716.92	Various	900.00	183.08 W	0.00	Total of 4 transactions
	Security total:	2,428.64		1,569.00	183.08 W	1,042.72	
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
12/06/21	10.000	1,062.49	11/29/21	1,247.09	...	-184.60	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
11/18/21	5.000	1,180.54	03/26/21	1,507.43	...	-326.89	Sale ²⁵

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
06/08/21	100.000	329.99	03/18/21	311.50	...	18.49	Sale ²⁵
11/01/21	100.000	367.22	07/29/21	318.00	...	49.22	Sale ²⁵
	Security total:	697.21		629.50	...	67.71	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	5.000	1,981.82	03/09/21	1,150.00	...	831.82	1 of 3 - Sale ²⁵
	2.000	792.72	05/13/21	377.52	...	415.20	2 of 3 - Sale ²⁵
	3.000	1,189.09	05/13/21	566.37	...	622.72	3 of 3 - Sale ²⁵
11/19/21	10.000	3,963.63	Various	2,093.89	...	1,869.74	Total of 3 transactions
STAMPS.COM INC. COMMON STOCK (\$0.001 PAR VALUE) / CUSIP: 852857200 / Symbol:							
02/18/21	1.000	213.00	12/22/20	212.23	...	0.77	Sale ²⁵
STEM, INC. / CUSIP: 85859N102 / Symbol:							
11/01/21	0.360	8.74	07/29/21	10.00	...	-1.26	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	651.67	02/23/21	707.48	...	-55.81	1 of 3 - Sale ²⁵
	1.000	651.67	03/04/21	640.00	...	11.67	2 of 3 - Sale ²⁵
	2.000	1,303.35	05/11/21	1,222.00	...	81.35	3 of 3 - Sale ²⁵
06/23/21	4.000	2,606.69	Various	2,569.48	...	37.21	Total of 3 transactions
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	86.000	171.99	02/09/21	190.06	...	-18.07	1 of 3 - Sale ²⁵
	14.000	28.00	02/09/21	30.94	...	-2.94	2 of 3 - Sale ²⁵
	1.000	2.00	02/09/21	2.34	...	-0.34	3 of 3 - Sale ²⁵
02/17/21	101.000	201.99	Various	223.34	...	-21.35	Total of 3 transactions
INNOVIZ TECHNOLOGIES LTD. ORDINARY SHARES / CUSIP: M5R635108 / Symbol:							
07/20/21	10.000	90.90	06/15/21	100.83	...	-9.93	Sale ²⁵
Totals :		122,512.05		115,159.84	1,042.03 W	8,394.24	

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Robinhood Securities LLC

Account 137447264

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/27/21	1.000	16.41	12/23/19	7.51	...	8.90	Sale ²⁵
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
11/01/21	1.000	1.81	04/10/19	24.80	...	-22.99	Sale ²⁵
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
02/05/21	10.000	451.23	12/05/19	395.00	...	56.23	Sale ²⁵
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.45	11/27/19	18.80	...	11.65	1 of 2 - Sale ²⁵
	9.000	274.06	11/27/19	169.20	...	104.86	2 of 2 - Sale ²⁵
11/01/21	10.000	304.51	Various	188.00	...	116.51	Total of 2 transactions
FLUENT, INC. COMMON STOCK / CUSIP: 34380C102 / Symbol:							
01/06/21	10.000	54.36	05/08/19	73.89	...	-19.53	Sale ²⁵
KINDRED BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 494577109 / Symbol:							
06/03/21	10.000	70.35	07/24/18	130.00	...	-59.65	Sale ²⁵
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
01/06/21	10.000	35.00	05/08/19	72.38	...	-37.38	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	472.22	12/05/19	300.29	...	171.93	1 of 2 - Sale ²⁵
	4.000	944.43	01/29/20	521.48	...	422.95	2 of 2 - Sale ²⁵
11/18/21	6.000	1,416.65	Various	821.77	...	594.88	Total of 2 transactions
Totals :		2,350.32		1,713.35		636.97	

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Robinhood Securities LLC

Account 137447264

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
09/14/21	0.333	0.87	06/03/21	1.87	...	-1.00	Gain or loss
Totals:		0.87		1.87	...	-1.00	

Robinhood Securities LLC

Account 137447264

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	2.87	Qualified dividend	
			05/13/21	3.08	Qualified dividend	
			08/12/21	3.09	Qualified dividend	
			11/11/21	3.09	Qualified dividend	
				12.13	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/27/21	1.26	Nondividend distribution	03
			10/26/21	0.23	Nondividend distribution	03
				1.49	Total Dividends & distributions	
KOMATSU LTD ORD AMERICAN DEPOS ITARY SHARES	500458401		07/01/21	1.01	Qualified dividend	
			07/01/21	-0.15	Foreign tax withheld-JA	
			12/13/21	2.12	Qualified dividend	
			12/13/21	-0.54	Foreign tax withheld-JA	
				3.13	Total Dividends & distributions	
	-0.69	Total Foreign tax withheld				
SPDR S&P 500 ETF TRUST	78462F103		04/30/21	0.03	Qualified dividend	
			07/30/21	0.04	Qualified dividend	
			10/29/21	0.04	Qualified dividend	
			01/31/22	0.04	Qualified dividend	
				0.15	Total Dividends & distributions	
UBIQUITI INC. COMMON STOCK	90353W103		02/22/21	0.07	Qualified dividend	
			05/24/21	0.07	Qualified dividend	
			09/15/21	0.11	Qualified dividend	
			11/22/21	0.11	Qualified dividend	
				0.36	Total Dividends & distributions	

Robinhood Securities LLC

Account 137447264

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

17.26	Total Dividends & distributions
-0.69	Total Foreign tax withheld

Robinhood Securities LLC

Account 137447264

Detail for Miscellaneous Information

2021

02/11/2022

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
INVESCO MORTGAGE CAPITAL INC.	46131B100	07/27/21	7.74	Substitute payment	
KOMATSU LTD ORD AMERICAN DEPOS ITARY SHARES	500458401	12/13/21	1.41	Substitute payment	
			9.15	Total Miscellaneous income	

Robinhood Securities LLC

Account 137447264

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/07/21	-1.11	Margin interest paid	
		01/07/21	-5.00	Margin interest paid	
		02/08/21	-1.78	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/08/21	-0.71	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		04/07/21	-5.00	Margin interest paid	
		04/07/21	-14.70	Margin interest paid	
		05/07/21	-5.00	Margin interest paid	
		05/07/21	-8.97	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		06/07/21	-16.64	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		07/06/21	-22.13	Margin interest paid	
		08/05/21	-5.00	Margin interest paid	
		08/05/21	-26.91	Margin interest paid	
			-132.95	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		09/07/21	-25.99	Margin interest paid	
		10/04/21	-5.00	Margin interest paid	
		10/04/21	-24.30	Margin interest paid	
		11/03/21	-5.00	Margin interest paid	
		11/03/21	-25.45	Margin interest paid	
		12/03/21	-5.00	Margin interest paid	
		12/03/21	-24.38	Margin interest paid	
			-120.12	Total Margin interest paid	
			-253.07	Total Margin interest paid	

Robinhood Securities LLC

Account 137447264

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
JA	KOMATSU LTD ORD AMERICAN DEPOS ITARY SHARES	500458401	0.00	3.13		0.00	3.13	-0.69
Total JAPAN			0.00	3.13		0.00	3.13	-0.69
Grand Total	Total Foreign Source Income*			3.13				
	Qualified Foreign Source Income**			3.13				
	Foreign Tax							-0.69

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 137447264

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ASENSUS SURGICAL, INC.	04367G103	03/05/21	1.00	0.00	Exchange	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK	18914F103	01/08/21	30.00	0.00	Exchange	
SOFI TECHNOLOGIES, INC. COMMON STOCK	83406F102	06/01/21	30.00	0.00	Exchange	
TRANSENERIX INC.	89366M300	03/05/21	-1.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III	G8251K107	01/08/21	-30.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. V	G8252P105	06/01/21	-30.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. **Lines 9 and 10.** Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on

Instructions for Recipient

forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

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