

Package ID: 0004 8825900 1339147
Document ID: 80K4 E85 Y4Y3

If your tax package contains any of the following documents, the data is not available for electronic import into tax preparation tools and will need to be manually entered into your tax return, if applicable:

- Form 5498 (IRA Contribution Information)
- Form 5498-ESA (Coverdell ESA Contribution Information)
- Form 1099-LTC (Long-Term Care and Accelerated Death Benefits)
- Form 1099-Q (Payments from Qualified Education Programs (Under Section 529 and 530))

Important reminders and online resources for filing your 2021 tax return

Tax documents are sent at different times depending on the types of accounts you own. If you own *Ameriprise*® brokerage accounts or Managed Accounts investments and/or certificates that are not part of an IRA or other retirement plan, we may send an additional tax package to you by Feb. 15, 2022 for any reportable activity.

Each account has only one taxpayer ID associated with it, whether owned jointly, by a trust or by a corporation. The IRS requires that we send tax documents to each taxpayer separately.

We recommend waiting to file your 2021 tax returns until you have received tax documents for all accounts that had reportable activity in 2021.

For tax reporting purposes only, we have added a four-digit letter and/or suffix to the end of your account number.

View tax documents online

You can view many of your tax documents on the secure site at ameriprise.com. Visit ameriprise.com to register for the secure site, log in, or get additional assistance. Some annuity tax documents may only be available at riversource.com. For help, visit the Taxes page on ameriprise.com and click on "Online tax information FAQ".

Import tax data into tax preparation tools

-If you want to import your tax data into an electronic spreadsheet, visit ameriprise.com/taxprofessionals to find instructions and the link to the Ameriprise tax download.

-If you choose to use TaxAct®, TurboTax® or H&R Block® tax preparation tools, you can use the import feature for many tax documents in this package.

-You'll need your Package ID and Document ID found on this page to use the tax preparation tools for this package.

We encourage you to consult a tax professional for help in preparing your tax returns.

Keep this tax information for your records.

SRAVITHA MATLAPUDI
6720 BROOKLEIGH OVERLOOK
CUMMING, GA 30040-6900

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You may receive an additional tax package in mid-February¹ for:

- Ameriprise[®] brokerage accounts
- Ameriprise[®] Managed Account investments
- Ameriprise[®] Certificates

Tax documents are mailed at different times² for different types of accounts and investments. Your household may receive more than one tax document package, and you will receive separate packages for each taxpayer, depending on the accounts you own. It is important to make sure everyone in your household receives tax documents for accounts that had relevant reportable activity in 2021 before filing your 2021 tax returns.

- Each Ameriprise Financial account has only one taxpayer and designated taxpayer identification number (TIN), such as a social security number (SSN) for individuals.
 - Jointly owned accounts: tax documents are sent only to the designated taxpayer on the account.
 - Accounts owned by a trust: tax documents are sent to the trustee.
 - Accounts owned by a corporation: tax documents are sent to the corporation's designated agent.
- Remember that your household may receive more than one tax package for tax year 2021.
- If you need to verify the taxpayer on an account, contact your Ameriprise Financial advisor or a client service representative at 800.862.7919.

Ameriprise account types

IRS mailing deadline^{2,3}

Year-end tax documents for: <ul style="list-style-type: none">• Traditional, SEP, SIMPLE, and ROTH IRA plans and other retirement plans (all products)• RiverSource[®] annuity contracts and life insurance policies• Coverdell Education Savings Accounts (ESA)• 529 College Savings Plans• Ameriprise Bank, FSB cash product accounts	Jan. 31, 2022 ³
Year-end tax documents and supplemental information for accounts that are <u>not</u> part of an IRA or another retirement plan such as: <ul style="list-style-type: none">• Ameriprise[®] brokerage accounts and Managed Accounts investments• Ameriprise[®] certificates	Feb. 15, 2022 ^{1,3}
Coverdell Education Savings Accounts with contributions or contribution changes for the 2021 tax year made between Jan. 1, 2022 and April 18, 2022 ⁴	May 2, 2022
IRA or other retirement accounts with contributions or contribution changes for the 2021 tax year made between Jan. 1, 2022 and April 18, 2022 ^{4,5}	May 31, 2022 ³

Tips for navigating tax season this year

- ✓ Visit ameriprise.com/taxcenter for tax document mailing dates, changes to your 2021 tax forms, and other resources to help you with tax filing.
- ✓ Use the secure site on ameriprise.com to get easy online access to your tax documents, when they're ready. If it's your first time logging in, visit ameriprise.com/register.
- ✓ Once you have registered for your online account, you can **sign up for e-delivery**⁶ of your tax documents from Ameriprise Financial. Visit the secure site at ameriprise.com, click on your Profile in the upper right, click on Communication Preferences and choose "Online" delivery for Tax Documents.
- ✓ Did you move last year or change your name? Submit your legal name change to the Social Security Administration and give employers and financial institutions your current name and address to receive tax documents on time.
- ✓ If you own investments that are organized as a trust or partnership⁷ in accounts that are not part of an IRA or other retirement plan, you will receive the documents you need to file your tax returns (likely a Schedule K-1) directly from the trust or partnership. Unlike other investments you may own at Ameriprise Financial, we do not provide you with tax documents for trusts or partnerships if they produce a Schedule K-1.
- ✓ If you own investments such as Real Estate Investment Trusts (REITs), Unit Investment Trusts (UITs) or other investments likely to reclassify their income after year-end, you can anticipate receiving updated tax documents. For more information, visit the Reclassification section at ameriprise.com/taxprofessionals.
- ✓ Consult a qualified tax professional for any questions and to determine the best time to file your tax return.

1 The Internal Revenue Services (IRS) mailing deadline is Feb. 15, 2022 for Form 1099-B, Proceeds From Broker and Barter Exchange Transactions, and the other forms related to an account that could receive Form 1099-B, even if no form 1099-B is required because there were no sales of securities in the account.

2 Statements will be mailed by the IRS mailing deadline, unless there are extenuating circumstances that prevent us from meeting the deadline. If we are not able to meet the deadline(s), we will apply for an extension from the IRS.

3 IRS deadlines for tax document mailing, individual tax return filing, IRA and Coverdell contributions and estimated tax payments are delayed to the next business day if they fall on a weekend or legal holiday.

4 Filing Deadline: file Form 1040 by April 18, 2022. The due date is April 18, instead of April 15, because of the Emancipation Day holiday in the District of Columbia—even if you do not live in the District of Columbia. If you live in Maine or Massachusetts, you have until April 19, 2022 because of the Patriots' Day holiday in those states.

5 If the Form 5498 we mail by Jan. 31, 2022 has a zero value in the hard-to-value asset information populated on Lines 15a, fair market value (FMV) of certain specified assets and Line 15b, Code(s) it means information was not available to Ameriprise at that time. If your IRA plan includes hard-to-value assets, your updated Form 5498 mailed by May 31, 2022 will contain information in Lines 15a and 15b.

6 Clients are eligible for e-delivery if they are an individual (not an entity such as LLC or irrevocable trust), are not a minor, are registered for the secure site on ameriprise.com and have a valid email address on file. Clients should log into the secure site and navigate to the My Profile link, then click on document Delivery Preferences to opt-in to e-delivery.

7 Partnership investments can include Master Limited Partnership, managed futures fund, real estate private placement, hedge fund private placement and private equity.

Ameriprise Financial, Inc. and its affiliates do not offer tax or legal advice. Consumers should consult with their tax advisor or attorney regarding their specific situation.

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AMERIPRISE TRUST COMPANY
 10 AMERIPRISE FINANCIAL CENTER
 MINNEAPOLIS, MN 55474-9900

SRAVITHA MATLAPUDI
 IRA
 6720 BROOKLEIGH OVERLOOK
 CUMMING, GA 30040-6900

2021 Form 5498		OMB No. 1545-0747	
IRA Contribution Information			
Copy B: For Participant This information is being furnished to the Internal Revenue Service.		Statement date: 01/17/2022	
		Account number: 0004 8825900 1339147 *	
		Plan ID: 0007 639 798	
		* This statement reports aggregate information for all of the accounts within this plan. If you have multiple accounts within this plan you will not receive a separate Form 5498 for each account. This account number is one of your investments within this plan, and will be reported to the Internal Revenue Service.	
PARTICIPANT'S TIN: SRAVITHA MATLAPUDI IRA	XXX-XX-5968	TRUSTEE'S or ISSUER'S TIN: Customer Service No.: 1.800.862.7919 AMERIPRISE TRUST COMPANY	51-6041053
1	IRA contributions (other than amounts on lines 2-4, 8-10, 13a & 14a)	\$6,000.00	
2	Rollover contributions	\$0.00	
3	Roth IRA conversion amount	\$0.00	
4	Recharacterized contributions	\$0.00	
5	Fair market value of account	\$12,380.20	
6	Life insurance cost included on line 1	\$0.00	
7	Plan type	IRA	
8	SEP contributions	\$0.00	
9	SIMPLE contributions	\$0.00	
10	Roth IRA contributions	\$0.00	
11	If checked, required minimum distribution for 2022	()	
12a	RMD date	12b RMD amount	
13a	Postponed/late contribution	\$0.00	13b Year 13c Code
14a	Repayments	\$0.00	14b Code
15a	FMV of certain specified assets	\$0.00	15b Code(s)

Instructions for Participant

The information on Form 5498 is submitted to the IRS by the trustee or issuer of your individual retirement arrangement (IRA) to report contributions, including any catch-up contributions, required minimum distributions (RMDs), and the fair market value (FMV) of the account. For information about IRAs, see Pubs. 590-A, 590-B, and 560.

Participant's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the trustee or issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the trustee or issuer assigned to distinguish your account.

Line 1. Shows traditional IRA contributions for 2021 you made in 2021 and through April 18, 2022. These contributions may be deductible on your Form 1040 or 1040-SR. However, if you or your spouse was an active participant in an employer's pension plan, these contributions may not be deductible. This line does not include amounts on lines 2-4, 8-10, 13a, and 14a.

Line 2. Shows any rollover, including a direct rollover to a traditional IRA or Roth IRA, or a qualified rollover contribution (including a military death gratuity or SGLI payment) to a Roth IRA you made in 2021. It does not show any amounts you converted from your traditional IRA, SEP IRA, or SIMPLE IRA to a Roth IRA. They are shown on line 3. It does not show any late rollover contributions. They are shown on line 13a. See the Form 1040 or 1040-SR instructions for information on how to report rollovers. If you have ever made any nondeductible contributions to your traditional IRA or SEP IRA and you did not roll over the total distribution, use Form 8606 to figure the taxable amount. If property was rolled over, see Pub. 590-A. For a qualified rollover to a Roth IRA, also see Pub. 590-A.

Line 3. Shows the amount converted from a traditional IRA, SEP IRA, or SIMPLE IRA to a Roth IRA in 2021. Use Form 8606 to figure the taxable amount.

Line 4. Shows amounts recharacterized from transferring any part of the contribution (plus earnings) from one type of IRA to another. See Pub. 590-A.

Line 5. Shows the FMV of all investments in your account at year end. However, if a decedent's name is shown, the amount reported may be the FMV on the date of death. If the FMV shown is zero for a decedent, the executor or administrator of the estate may request a date-of-death value from the financial institution.

Line 6. Shows for endowment contracts only the amount allocable to the cost of life insurance. Subtract this amount from your allowable IRA contribution included on line 1 to compute your IRA deduction.

Line 7. May show the kind of IRA reported on this Form 5498.

Lines 8 and 9. Show SEP (line 8) and SIMPLE (line 9) contributions made in 2021, including contributions made in 2021 for 2020, but not including contributions made in 2022 for 2021. If made by your employer, do not deduct on your income tax return. If you made the contributions as a self-employed person (or partner), they may be deductible. See Pub. 560.

Line 10. Shows Roth IRA contributions you made in 2021 and through April 18, 2022. Do not deduct on your income tax return.

Line 11. If the line is checked, you must take an RMD for 2022. An RMD may be required even if the line is not checked. If you do not take the RMD for 2022, you are subject to a 50% excise tax on the amount not distributed. See Pub. 590-B for details.

Line 12a. Shows the date by which the RMD amount on line 12b must be

distributed to avoid the 50% excise tax on the undistributed amount for 2022.

Line 12b. Shows the amount of the RMD for 2022. If line 11 is checked and there is no amount on this line, the trustee or issuer must provide you the amount or offer to calculate the amount in a separate statement by January 31, 2022.

Line 13a. Shows the amount of a late rollover contribution (more than 60 days after distribution) made in 2021 and certified by the participant, or a postponed contribution made in 2021 for a prior year. This amount is not reported on line 1 or 2.

Line 13b. Shows the year to which the postponed contribution on line 13a was credited. If a late rollover contribution is shown on line 13a, this line will be blank.

Line 13c. For participants who made a postponed contribution due to an extension of the contribution due date because of a federally designated disaster, shows the code FD.

For participants who served in designated combat zones, qualified hazardous duty areas, or direct support areas, shows the appropriate code. The codes are: EO13239 for Afghanistan and associated direct support areas, EO12744 for the Arabian Peninsula areas, PL115-97 for the Sinai Peninsula of Egypt, and EO13119 (or PL106-21) for the Yugoslavia operations areas. For additional information, including a list of locations within the designated combat zones, qualified hazardous duty areas, and direct support areas, see Pub. 3. For updates to the list of locations, go to www.irs.gov/Newsroom/Combat-Zones. For a participant who makes a rollover of a qualified plan loan offset, shows the code PO. For a participant who has used the self-certification procedure for a late rollover contribution, shows the code SC.

Line 14a. Shows the amount of any repayment of a qualified reservist distribution, a qualified disaster distribution, or a qualified birth or adoption distribution. See Pub. 590-A for reporting repayments.

Line 14b. Shows the code QR for the repayment of a qualified reservist distribution, code DD for repayment of a qualified disaster distribution, or code BA for repayment of a qualified birth or adoption distribution.

Line 15a. Shows the FMV of the investments in the IRA that are specified in the categories identified on line 15b.

Line 15b. The following codes show the type(s) of investments held in your account for which the FMV is required to be reported on line 15a.

A--Stock or other ownership interest in a corporation that is not readily tradable on an established securities market.

B--Short- or long-term debt obligation that is not traded on an established securities market.

C--Ownership interest in a limited liability company or similar entity (unless the interest is traded on an established securities market).

D--Real estate.

E--Ownership interest in a partnership, trust, or similar entity (unless the interest is traded on an established securities market).

F--Option contract or similar product that is not offered for trade on an established option exchange.

G--Other asset that does not have a readily available FMV.

H--More than two types of assets (listed in A through G) are held in this IRA.