

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 643886369</b>	Statement Date: 02/11/2022 Document ID: 437N 01P NY04	2021
	Ramya Koganti 741 Morosgo Drive Northeast 1114 Atlanta, GA 30324  RECIPIENT'S TIN: XXX-XX-2129		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      19.62</p> <p>1b- Qualified dividends      19.62</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	634,893.49	715,082.97	0.00	59,668.94	-20,520.54
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.33	0.46	0.00	0.00	-0.13
	<b>Total Short-term</b>	<b>634,893.82</b>	<b>715,083.43</b>	<b>0.00</b>	<b>59,668.94</b>	<b>-20,520.67</b>
Long	D (basis reported to the IRS)	7.50	3.20	0.00	0.00	4.30
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>7.50</b>	<b>3.20</b>	<b>0.00</b>	<b>0.00</b>	<b>4.30</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>634,901.32</b>	<b>715,086.63</b>	<b>0.00</b>	<b>59,668.94</b>	<b>-20,516.37</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 643886369

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/09/2021 CALL \$127.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	117.99	04/07/21	99.00	...	18.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	353.99	04/07/21	312.00	...	41.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/07/21	4.000	471.98	Various	411.00	...	60.98	Total of 2 transactions
AAPL 12/03/2021 CALL \$162.50 / CUSIP: / Symbol:							
11/30/21	1.000	101.98	11/29/21	119.00	...	-17.02	Option sale to close-call <sup>25</sup>
ABNB 06/04/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/01/21	1.000	554.98	05/25/21	230.00	...	324.98	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
7 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	3.000	26.99	03/11/21	189.00	...	-162.01	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	9.00	03/11/21	115.02	...	-106.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	9.00	03/11/21	115.02	...	-106.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	8.98	03/11/21	63.00	...	-54.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	8.98	03/11/21	63.00	...	-54.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	8.99	03/12/21	98.02	...	-89.03	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	9.00	03/12/21	98.02	...	-89.02	7 of 7 - Option sale to close-call <sup>25</sup>
03/18/21	9.000	80.94	Various	741.08	...	-660.14	Total of 7 transactions
4 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	8.98	03/11/21	63.00	54.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	8.98	03/11/21	63.00	54.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	8.98	03/11/21	63.00	54.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	8.98	03/11/21	63.00	54.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
03/18/21	4.000	35.92	Various	252.00	216.08 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>116.86</b>		<b>993.08</b>	<b>216.08 W</b>	<b>-660.14</b>	
AFRM 10/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	429.99	10/07/21	310.00	...	119.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	429.99	10/07/21	200.00	...	229.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/21	2.000	859.98	Various	510.00	...	349.98	Total of 2 transactions
AFRM 12/23/2021 PUT \$95.00 / CUSIP: / Symbol:							
12/17/21	2.000	839.98	12/17/21	900.00	...	-60.02	Option sale to close-put <sup>25</sup>
AMC 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	272.98	03/08/21	195.00	...	77.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	272.98	03/08/21	195.00	...	77.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	272.98	03/08/21	188.00	...	84.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/09/21	3.000	818.94	Various	578.00	...	240.94	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 05/28/2021 CALL \$40.00 / CUSIP: / Symbol:							
<i>7 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	219.99	05/28/21	215.00	...	4.99	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	228.00	05/28/21	215.00	...	13.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	228.98	05/28/21	215.00	...	13.98	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	659.99	05/28/21	645.00	...	14.99	4 of 7 - Option sale to close-call <sup>25</sup>
	4.000	911.99	05/28/21	864.00	...	47.99	5 of 7 - Option sale to close-call <sup>25</sup>
	5.000	1,139.98	05/28/21	960.00	...	179.98	6 of 7 - Option sale to close-call <sup>25</sup>
	5.000	1,139.98	05/28/21	960.00	...	179.98	7 of 7 - Option sale to close-call <sup>25</sup>
05/28/21	20.000	4,528.91	Various	4,074.00	...	454.91	Total of 7 transactions

AMC 06/04/2021 CALL \$39.00 / CUSIP: / Symbol:

*12 transactions for 06/02/21. Total proceeds and cost reported to the IRS.*

	1.000	549.98	06/02/21	530.00	...	19.98	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.98	06/02/21	530.00	...	19.98	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.98	06/02/21	530.00	...	19.98	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.98	06/02/21	530.00	...	19.98	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.98	06/02/21	530.00	...	19.98	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.99	06/02/21	530.00	...	19.99	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	549.99	06/02/21	530.00	...	19.99	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	550.00	06/02/21	530.00	...	20.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	609.98	06/02/21	585.00	...	24.98	9 of 12 - Option sale to close-call <sup>25</sup>
	2.000	1,099.98	06/02/21	1,060.00	...	39.98	10 of 12 - Option sale to close-call <sup>25</sup>
	9.000	5,489.95	06/02/21	5,265.00	...	224.95	11 of 12 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	10.000	5,499.95	06/02/21	5,000.00	...	499.95	12 of 12 - Option sale to close-call <sup>25</sup>
06/02/21	30.000	17,099.74	Various	16,150.00	...	949.74	Total of 12 transactions
AMC 06/04/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	579.99	06/02/21	530.02	...	49.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	579.99	06/02/21	530.02	...	49.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	580.00	06/02/21	530.02	...	49.98	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	4,059.96	06/02/21	3,710.03	...	349.93	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	5,799.94	Various	5,300.09	...	499.85	Total of 4 transactions
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	579.98	06/02/21	595.00	15.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	7.000	4,059.97	06/02/21	4,165.00	105.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	579.98	06/02/21	595.00	15.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	579.98	06/02/21	595.00	15.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	5,799.91	Various	5,950.00	150.09 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>11,599.85</b>		<b>11,250.09</b>	<b>150.09 W</b>	<b>499.85</b>	
AMC 06/04/2021 CALL \$43.00 / CUSIP: / Symbol:							
7 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	684.98	06/02/21	660.00	...	24.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	684.98	06/02/21	660.00	...	24.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	684.98	06/02/21	660.00	...	24.98	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	684.98	06/02/21	660.00	...	24.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	684.98	06/02/21	660.00	...	24.98	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	1,369.98	06/02/21	1,320.00	...	49.98	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	2,054.97	06/02/21	1,980.00	...	74.97	7 of 7 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	6,849.85	Various	6,600.00	...	249.85	Total of 7 transactions
AMC 06/04/2021 CALL \$47.00 / CUSIP: / Symbol:							
4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	979.98	06/03/21	950.00	...	29.98	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	2,939.97	06/03/21	2,850.00	...	89.97	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 CALL \$47.00 / CUSIP: / Symbol: (cont'd)							
	3.000	2,939.97	06/03/21	2,850.00	...	89.97	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	2,939.97	06/03/21	2,850.00	...	89.97	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/21	10.000	9,799.89	Various	9,500.00	...	299.89	Total of 4 transactions
AMC 06/04/2021 CALL \$49.00 / CUSIP: / Symbol:							
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	969.99	06/03/21	915.00	...	54.99	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	2,894.97	06/03/21	2,745.00	...	149.97	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	5,819.96	06/03/21	5,490.00	...	329.96	3 of 3 - Option sale to close-call <sup>25</sup>
06/03/21	10.000	9,684.92	Various	9,150.00	...	534.92	Total of 3 transactions
AMC 06/04/2021 CALL \$51.00 / CUSIP: / Symbol:							
6 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,099.99	06/03/21	1,000.00	...	99.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,099.99	06/03/21	1,000.00	...	99.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,099.99	06/03/21	1,000.00	...	99.99	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,199.98	06/03/21	2,000.00	...	199.98	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,199.99	06/03/21	2,000.00	...	199.99	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	3,299.98	06/03/21	3,000.00	...	299.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/03/21	10.000	10,999.92	Various	10,000.00	...	999.92	Total of 6 transactions
AMC 06/04/2021 CALL \$54.00 / CUSIP: / Symbol:							
06/03/21	10.000	12,299.91	06/03/21	11,300.00	...	999.91	Option sale to close-call <sup>25</sup>
AMC 06/04/2021 CALL \$57.00 / CUSIP: / Symbol:							
8 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	5.000	5,274.96	06/03/21	6,675.00	...	-1,400.04	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	3,164.97	06/03/21	4,005.00	...	-840.03	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,054.98	06/03/21	1,335.00	...	-280.02	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,054.98	06/03/21	1,335.00	...	-280.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,164.99	06/03/21	1,000.00	...	164.99	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	3,494.97	06/03/21	3,000.00	...	494.97	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	3,494.98	06/03/21	3,000.00	...	494.98	7 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 CALL \$57.00 / CUSIP: / Symbol: (cont'd)							
	3.000	3,494.98	06/03/21	3,000.00	...	494.98	8 of 8 - Option sale to close-call <sup>25</sup>
06/03/21	20.000	22,199.81	Various	23,350.00	...	-1,150.19	Total of 8 transactions
AMC 06/04/2021 CALL \$63.00 / CUSIP: / Symbol:							
10 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	1,424.98	06/02/21	1,410.00	...	14.98	10 of 10 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	14,249.80	Various	14,100.00	...	149.80	Total of 10 transactions
AMC 06/04/2021 CALL \$64.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.000	7,224.95	06/02/21	7,565.00	...	-340.05	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	7,224.95	06/02/21	7,565.00	...	-340.05	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	14,449.90	Various	15,130.00	...	-680.10	Total of 2 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 PUT \$52.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	5.000	3,449.97	06/03/21	4,175.00	...	-725.03	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	3,449.97	06/03/21	4,175.00	...	-725.03	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/21	10.000	6,899.94	Various	8,350.00	...	-1,450.06	Total of 2 transactions
AMC 06/04/2021 PUT \$57.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	2,079.98	06/03/21	1,900.00	...	179.98	1 of 2 - Option sale to close-put <sup>25</sup>
	8.000	8,319.94	06/03/21	7,600.00	...	719.94	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/21	10.000	10,399.92	Various	9,500.00	...	899.92	Total of 2 transactions
AMC 07/30/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	8.000	223.97	07/30/21	256.00	...	-32.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	55.98	07/30/21	64.00	...	-8.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/30/21	10.000	279.95	Various	320.00	...	-40.05	Total of 2 transactions
AMC 08/27/2021 CALL \$42.00 / CUSIP: / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	231.98	08/24/21	192.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	231.98	08/24/21	192.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/21	2.000	463.96	Various	384.00	...	79.96	Total of 2 transactions
AMD 04/01/2021 CALL \$81.00 / CUSIP: / Symbol:							
4 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	2.000	13.99	03/24/21	172.00	...	-158.01	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	13.99	03/24/21	148.00	...	-134.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	7.00	03/24/21	86.00	...	-79.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	7.00	03/24/21	82.00	...	-75.00	4 of 4 - Option sale to close-call <sup>25</sup>
03/31/21	6.000	41.98	Various	488.00	...	-446.02	Total of 4 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/30/2021 CALL \$106.00 / CUSIP: / Symbol:							
7 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	119.00	07/30/21	119.00	...	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	118.98	07/30/21	108.01	...	10.97	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	119.00	07/30/21	108.01	...	10.99	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	119.00	07/30/21	108.01	...	10.99	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	119.00	07/30/21	98.01	...	20.99	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	118.99	07/30/21	98.00	...	20.99	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	237.99	07/30/21	166.00	...	71.99	7 of 7 - Option sale to close-call <sup>25</sup>
07/30/21	8.000	951.96	Various	805.04	...	146.92	Total of 7 transactions
3 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	5.000	594.98	07/30/21	595.00	0.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	237.99	07/30/21	238.01	0.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	237.99	07/30/21	238.01	0.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/21	9.000	1,070.96	Various	1,071.02	0.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,022.92</b>		<b>1,876.06</b>	<b>0.06 W</b>	<b>146.92</b>	
AMD 08/06/2021 CALL \$110.00 / CUSIP: / Symbol:							
4 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	5.000	824.98	08/02/21	870.00	...	-45.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	329.99	08/02/21	364.00	...	-34.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	165.00	08/02/21	198.02	...	-33.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	165.00	08/02/21	181.00	...	-16.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/02/21	9.000	1,484.97	Various	1,613.02	...	-128.05	Total of 4 transactions
08/02/21	1.000	164.98	08/02/21	181.00	16.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,649.95</b>		<b>1,794.02</b>	<b>16.02 W</b>	<b>-128.05</b>	
AMD 09/17/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	1.000	162.99	09/07/21	237.00	...	-74.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	162.99	09/07/21	237.00	...	-74.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/08/21	2.000	325.98	Various	474.00	...	-148.02	Total of 2 transactions
AMD 12/10/2021 CALL \$165.00 / CUSIP: / Symbol:							
11/30/21	2.000	1,049.98	11/30/21	1,150.00	...	-100.02	Option sale to close-call <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 01/07/2022 CALL \$3,385.00 / CUSIP: / Symbol:							
12/29/21	1.000	5,899.95	12/29/21	5,695.00	...	204.95	Option sale to close-call <sup>25</sup>
3 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	1.000	5,599.96	12/29/21	5,585.00	...	14.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5,699.96	12/29/21	5,070.00	...	629.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5,799.96	12/30/21	4,745.00	...	1,054.96	3 of 3 - Option sale to close-call <sup>25</sup>
12/30/21	3.000	17,099.88	Various	15,400.00	...	1,699.88	Total of 3 transactions
	<b>Security total:</b>	<b>22,999.83</b>		<b>21,095.00</b>	...	<b>1,904.83</b>	
AMZN 01/07/2022 CALL \$3,630.00 / CUSIP: / Symbol:							
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	2,104.97	12/15/21	2,000.00	...	104.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,699.97	12/15/21	2,100.00	...	599.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,199.97	12/15/21	1,735.00	...	1,464.97	3 of 3 - Option sale to close-call <sup>25</sup>
12/15/21	3.000	8,004.91	Various	5,835.00	...	2,169.91	Total of 3 transactions
AMZN 01/07/2022 PUT \$3,370.00 / CUSIP: / Symbol:							
12/29/21	1.000	5,179.96	12/29/21	5,420.00	...	-240.04	Option sale to close-put <sup>25</sup>
AMZN 04/30/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
3 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	1.000	189.99	04/27/21	648.06	...	-458.07	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.99	04/27/21	450.02	...	-260.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.98	04/27/21	388.00	...	-198.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/28/21	3.000	569.96	Various	1,486.08	...	-916.12	Total of 3 transactions
6 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	1.000	189.98	04/27/21	289.00	99.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.98	04/27/21	388.02	198.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.99	04/27/21	586.05	396.06 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.99	04/27/21	388.01	198.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.99	04/27/21	487.04	297.05 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	379.99	04/27/21	578.00	198.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
04/28/21	7.000	1,329.92	Various	2,716.12	1,386.20 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,899.88</b>		<b>4,202.20</b>	<b>1,386.20 W</b>	<b>-916.12</b>	

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 05/07/2021 CALL \$3,360.00 / CUSIP: / Symbol:							
8 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	3.000	323.98	05/07/21	354.00	...	-30.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	108.00	05/07/21	118.00	...	-10.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	108.00	05/07/21	118.00	...	-10.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	108.00	05/07/21	118.00	...	-10.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	230.99	05/07/21	177.00	...	53.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	230.99	05/07/21	177.00	...	53.99	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	231.00	05/07/21	177.00	...	54.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	231.00	05/07/21	177.00	...	54.00	8 of 8 - Option sale to close-call <sup>25</sup>
05/07/21	10.000	1,571.96	Various	1,416.00	...	155.96	Total of 8 transactions
AMZN 06/11/2021 CALL \$3,350.00 / CUSIP: / Symbol:							
4 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	539.99	06/09/21	540.00	...	-0.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	539.99	06/09/21	540.00	...	-0.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	539.99	06/09/21	475.00	...	64.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	540.00	06/09/21	425.00	...	115.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/09/21	4.000	2,159.97	Various	1,980.00	...	179.97	Total of 4 transactions
AMZN 12/10/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
12/07/21	1.000	699.98	12/03/21	535.00	...	164.98	Option sale to close-call <sup>25</sup>
AMZN 12/17/2021 CALL \$3,530.00 / CUSIP: / Symbol:							
12/08/21	1.000	5,614.96	12/07/21	5,945.00	...	-330.04	Option sale to close-call <sup>25</sup>
BA 03/26/2021 CALL \$275.00 / CUSIP: / Symbol:							
11 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	1.000	3.00	03/18/21	984.02	...	-981.02	1 of 11 - Option sale to close-call <sup>25</sup>
	2.000	5.99	03/18/21	870.00	...	-864.01	2 of 11 - Option sale to close-call <sup>25</sup>
	2.000	5.99	03/18/21	870.00	...	-864.01	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/18/21	502.00	...	-499.00	4 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 03/26/2021 CALL \$275.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	03/18/21	485.00	...	-482.00	5 of 11 - Option sale to close-call <sup>25</sup>
	4.000	11.99	03/19/21	656.00	...	-644.01	6 of 11 - Option sale to close-call <sup>25</sup>
	3.000	8.99	03/22/21	180.00	...	-171.01	7 of 11 - Option sale to close-call <sup>25</sup>
	6.000	17.98	03/24/21	108.00	...	-90.02	8 of 11 - Option sale to close-call <sup>25</sup>
	2.000	6.00	03/24/21	48.00	...	-42.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/24/21	24.00	...	-21.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/24/21	16.00	...	-13.00	11 of 11 - Option sale to close-call <sup>25</sup>
03/25/21	24.000	71.94	Various	4,743.02	...	-4,671.08	Total of 11 transactions
03/25/21	1.000	2.98	03/18/21	502.00	499.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>74.92</b>		<b>5,245.02</b>	<b>499.02 W</b>	<b>-4,671.08</b>	
BA 07/16/2021 CALL \$265.00 / CUSIP: / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/21	455.00	...	-455.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/28/21	101.00	...	-101.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/07/21	20.00	...	-20.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/07/21	20.00	...	-20.00	4 of 4 - Option expiration- long call
07/16/21	4.000	0.00	Various	596.00	...	-596.00	Total of 4 transactions
BABA 12/10/2021 PUT \$90.00 / CUSIP: / Symbol:							
12/06/21	1.000	113.98	12/03/21	234.00	...	-120.02	Option sale to close-put <sup>25</sup>
BNGO 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	02/23/21	304.03	...	-291.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	12.99	02/23/21	207.01	...	-194.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	2.000	25.98	Various	511.04	...	-485.06	Total of 2 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BNGO 03/19/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	12.98	02/23/21	110.00	97.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	12.99	02/23/21	207.02	194.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	12.99	02/23/21	110.00	97.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/12/21	3.000	38.96	Various	427.02	388.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>64.94</b>		<b>938.06</b>	<b>388.06 W</b>	<b>-485.06</b>	
BYND 06/11/2021 CALL \$160.00 / CUSIP: / Symbol:							
12 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	474.99	06/07/21	465.01	...	9.98	1 of 12 - Option sale to close-call <sup>25</sup>
	2.000	949.99	06/07/21	930.02	...	19.97	2 of 12 - Option sale to close-call <sup>25</sup>
	2.000	909.99	06/07/21	890.01	...	19.98	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	474.99	06/07/21	455.00	...	19.99	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	475.00	06/07/21	455.00	...	20.00	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	454.98	06/07/21	420.00	...	34.98	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	454.98	06/07/21	405.00	...	49.98	7 of 12 - Option sale to close-call <sup>25</sup>
	2.000	909.99	06/07/21	860.00	...	49.99	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	475.00	06/07/21	420.00	...	55.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	454.99	06/07/21	395.00	...	59.99	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	455.00	06/07/21	365.00	...	90.00	11 of 12 - Option sale to close-call <sup>25</sup>
	2.000	909.99	06/07/21	760.00	...	149.99	12 of 12 - Option sale to close-call <sup>25</sup>
06/07/21	16.000	7,399.89	Various	6,820.04	...	579.85	Total of 12 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 06/11/2021 CALL \$160.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	455.00	06/07/21	490.00	35.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,819.98	06/07/21	1,960.00	140.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	454.99	06/07/21	465.00	10.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	909.99	06/07/21	930.01	20.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/07/21	8.000	3,639.96	Various	3,845.01	205.05 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>11,039.85</b>		<b>10,665.05</b>	<b>205.05 W</b>	<b>579.85</b>	
BYND 06/18/2021 CALL \$160.00 / CUSIP: / Symbol:							
06/08/21	1.000	584.98	06/07/21	525.00	...	59.98	Option sale to close-call <sup>25</sup>
CAT 05/07/2021 CALL \$235.00 / CUSIP: / Symbol:							
05/04/21	3.000	371.98	04/29/21	282.00	...	89.98	Option sale to close-call <sup>25</sup>
CCIV 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
25 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/23/21	920.00	...	-920.00	1 of 25 - Option expiration- long call
	2.000	0.00	02/24/21	340.00	...	-340.00	2 of 25 - Option expiration- long call
	10.000	0.00	03/12/21	190.00	...	-190.00	3 of 25 - Option expiration- long call
	10.000	0.00	03/12/21	190.00	...	-190.00	4 of 25 - Option expiration- long call
	4.000	0.00	03/12/21	72.00	...	-72.00	5 of 25 - Option expiration- long call
	4.000	0.00	03/12/21	56.00	...	-56.00	6 of 25 - Option expiration- long call
	3.000	0.00	03/12/21	54.00	...	-54.00	7 of 25 - Option expiration- long call
	2.000	0.00	03/12/21	26.00	...	-26.00	8 of 25 - Option expiration- long call
	1.000	0.00	03/12/21	18.00	...	-18.00	9 of 25 - Option expiration- long call

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 03/19/2021 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	03/12/21	18.00	...	-18.00	10 of 25 - Option expiration- long call
	1.000	0.00	03/12/21	18.00	...	-18.00	11 of 25 - Option expiration- long call
	1.000	0.00	03/12/21	17.00	...	-17.00	12 of 25 - Option expiration- long call
	1.000	0.00	03/12/21	14.00	...	-14.00	13 of 25 - Option expiration- long call
	2.000	0.00	03/15/21	22.00	...	-22.00	14 of 25 - Option expiration- long call
	5.000	0.00	03/17/21	25.00	...	-25.00	15 of 25 - Option expiration- long call
	4.000	0.00	03/17/21	20.00	...	-20.00	16 of 25 - Option expiration- long call
	3.000	0.00	03/17/21	15.00	...	-15.00	17 of 25 - Option expiration- long call
	3.000	0.00	03/17/21	15.00	...	-15.00	18 of 25 - Option expiration- long call
	3.000	0.00	03/17/21	15.00	...	-15.00	19 of 25 - Option expiration- long call
	2.000	0.00	03/17/21	10.00	...	-10.00	20 of 25 - Option expiration- long call
	10.000	0.00	03/18/21	30.00	...	-30.00	21 of 25 - Option expiration- long call
	6.000	0.00	03/18/21	18.00	...	-18.00	22 of 25 - Option expiration- long call
	2.000	0.00	03/18/21	6.00	...	-6.00	23 of 25 - Option expiration- long call
	1.000	0.00	03/18/21	3.00	...	-3.00	24 of 25 - Option expiration- long call
	1.000	0.00	03/18/21	3.00	...	-3.00	25 of 25 - Option expiration- long call
03/19/21	84.000	0.00	Various	2,115.00	...	-2,115.00	Total of 25 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CHWY 06/18/2021 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	3.000	119.99	06/11/21	108.00	...	11.99	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	279.98	06/11/21	252.00	...	27.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	10.000	399.97	Various	360.00	...	39.97	Total of 2 transactions
CLNE 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	5.000	474.99	06/07/21	825.00	...	-350.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	284.99	06/07/21	420.00	...	-135.01	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	189.99	06/07/21	290.00	...	-100.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/21	10.000	949.97	Various	1,535.00	...	-585.03	Total of 3 transactions
COIN 05/21/2021 CALL \$257.50 / CUSIP: / Symbol:							
6 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	99.99	05/18/21	678.00	...	-578.01	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	100.00	05/19/21	100.00	...	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	99.99	05/19/21	90.00	...	9.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	50.00	05/19/21	40.00	...	10.00	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	99.99	05/20/21	100.00	...	-0.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	50.00	05/20/21	35.00	...	15.00	6 of 6 - Option sale to close-call <sup>25</sup>
05/20/21	10.000	499.97	Various	1,043.00	...	-543.03	Total of 6 transactions
COST 01/07/2022 CALL \$575.00 / CUSIP: / Symbol:							
3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	2.000	949.98	12/29/21	980.00	...	-30.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.98	12/29/21	490.00	...	19.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	524.98	12/29/21	490.00	...	34.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/29/21	4.000	1,984.94	Various	1,960.00	...	24.94	Total of 3 transactions
COST 11/19/2021 CALL \$525.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	329.99	11/08/21	194.00	...	135.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	329.99	11/08/21	194.00	...	135.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	2.000	659.98	Various	388.00	...	271.98	Total of 2 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COUP 12/17/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/07/21	1.000	29.98	12/06/21	170.00	...	-140.02	Option sale to close-call <sup>25</sup>
CRWD 04/23/2021 CALL \$230.00 / CUSIP: / Symbol:							
6 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/19/21	266.00	...	-266.00	1 of 6 - Option expiration- long call
	1.000	0.00	04/19/21	89.00	...	-89.00	2 of 6 - Option expiration- long call
	2.000	0.00	04/20/21	34.00	...	-34.00	3 of 6 - Option expiration- long call
	2.000	0.00	04/22/21	114.00	...	-114.00	4 of 6 - Option expiration- long call
	2.000	0.00	04/22/21	50.00	...	-50.00	5 of 6 - Option expiration- long call
	1.000	0.00	04/22/21	25.00	...	-25.00	6 of 6 - Option expiration- long call
04/23/21	9.000	0.00	Various	578.00	...	-578.00	Total of 6 transactions
DKNG 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	5.000	529.98	06/11/21	555.00	...	-25.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	423.98	06/11/21	444.00	...	-20.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	105.98	06/11/21	111.00	...	-5.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/11/21	10.000	1,059.94	Various	1,110.00	...	-50.06	Total of 3 transactions
DKNG 08/13/2021 CALL \$53.50 / CUSIP: / Symbol:							
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	2.000	223.99	08/10/21	208.00	...	15.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	335.99	08/10/21	312.00	...	23.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/21	5.000	559.98	Various	520.00	...	39.98	Total of 2 transactions
EBON 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
10/14/21	2.000	99.98	04/12/21	538.00	...	-438.02	Option sale to close-call <sup>25</sup>
ETSY 05/14/2021 CALL \$162.50 / CUSIP: / Symbol:							
05/12/21	1.000	339.98	05/12/21	315.00	...	24.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 05/28/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	65.98	04/29/21	60.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	98.98	04/29/21	90.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/07/21	5.000	164.96	Various	150.00	...	14.96	Total of 2 transactions
F 12/31/2021 CALL \$23.00 / CUSIP: / Symbol:							
11 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	9.000	26.98	12/16/21	135.00	...	-108.02	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/16/21	35.00	...	-32.00	2 of 11 - Option sale to close-call <sup>25</sup>
	10.000	29.97	12/17/21	280.02	...	-250.05	3 of 11 - Option sale to close-call <sup>25</sup>
	10.000	29.98	12/17/21	280.02	...	-250.04	4 of 11 - Option sale to close-call <sup>25</sup>
	9.000	26.98	12/17/21	252.02	...	-225.04	5 of 11 - Option sale to close-call <sup>25</sup>
	6.000	17.99	12/17/21	174.01	...	-156.02	6 of 11 - Option sale to close-call <sup>25</sup>
	4.000	11.99	12/17/21	116.01	...	-104.02	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/17/21	27.00	...	-24.00	8 of 11 - Option sale to close-call <sup>25</sup>
	6.000	17.98	12/20/21	144.01	...	-126.03	9 of 11 - Option sale to close-call <sup>25</sup>
	3.000	8.99	12/20/21	72.01	...	-63.02	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/20/21	17.00	...	-14.00	11 of 11 - Option sale to close-call <sup>25</sup>
12/27/21	60.000	179.86	Various	1,532.10	...	-1,352.24	Total of 11 transactions
5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	10.000	29.97	12/16/21	150.00	12.00 W	-108.03	1 of 5 - Option sale to close-call <sup>25</sup>
	10.000	29.98	12/16/21	230.00	200.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	29.98	12/16/21	230.00	200.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 12/31/2021 CALL \$23.00 / CUSIP: / Symbol: (cont'd)							
	24.000	71.95	12/16/21	528.00	456.05 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	6.000	17.99	12/16/21	132.00	114.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/27/21	60.000	179.87	Various	1,270.00	982.10 W	-108.03	Total of 5 transactions
	<b>Security total:</b>	<b>359.73</b>		<b>2,802.10</b>	<b>982.10 W</b>	<b>-1,460.27</b>	
FB 04/16/2021 CALL \$315.00 / CUSIP: / Symbol:							
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	3.000	602.99	04/12/21	756.00	...	-153.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	401.99	04/12/21	484.00	...	-82.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/12/21	5.000	1,004.98	Various	1,240.00	...	-235.02	Total of 2 transactions
FB 12/23/2021 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	287.98	12/17/21	684.07	...	-396.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	274.98	12/17/21	537.07	...	-262.09	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/21	2.000	562.96	Various	1,221.14	...	-658.18	Total of 2 transactions
10 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	249.99	12/16/21	601.00	351.01 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	249.99	12/16/21	601.00	351.01 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	257.99	12/16/21	666.01	408.02 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	257.99	12/16/21	634.01	376.02 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	252.99	12/16/21	676.02	423.03 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	252.99	12/17/21	584.02	331.03 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	248.98	12/17/21	692.03	443.05 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	278.98	12/17/21	600.03	321.05 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FB 12/23/2021 CALL \$345.00 / CUSIP: / Symbol: (cont'd)							
	1.000	284.98	12/17/21	711.05	426.07 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	309.98	12/17/21	589.05	279.07 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
12/17/21	10.000	2,644.86	Various	6,354.22	3,709.36 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>3,207.82</b>		<b>7,575.36</b>	<b>3,709.36 W</b>	<b>-658.18</b>	
GM 04/09/2021 CALL \$61.00 / CUSIP: / Symbol:							
9 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	5.000	1,269.98	03/19/21	965.00	...	304.98	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	507.99	03/22/21	196.00	...	311.99	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	507.99	03/23/21	128.00	...	379.99	3 of 9 - Option sale to close-call <sup>25</sup>
	3.000	761.99	03/23/21	258.00	...	503.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	254.00	03/24/21	80.00	...	174.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	254.00	03/31/21	53.00	...	201.00	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	507.99	03/31/21	110.00	...	397.99	7 of 9 - Option sale to close-call <sup>25</sup>
	3.000	761.99	03/31/21	192.00	...	569.99	8 of 9 - Option sale to close-call <sup>25</sup>
	4.000	1,015.99	03/31/21	248.00	...	767.99	9 of 9 - Option sale to close-call <sup>25</sup>
04/06/21	23.000	5,841.92	Various	2,230.00	...	3,611.92	Total of 9 transactions
GM 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
10 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/14/21	195.00	...	-195.00	1 of 10 - Option expiration- long call
	4.000	0.00	04/14/21	80.00	...	-80.00	2 of 10 - Option expiration- long call
	2.000	0.00	04/14/21	76.00	...	-76.00	3 of 10 - Option expiration- long call
	2.000	0.00	04/14/21	68.00	...	-68.00	4 of 10 - Option expiration- long call
	1.000	0.00	04/14/21	36.00	...	-36.00	5 of 10 - Option expiration- long call
	1.000	0.00	04/14/21	29.00	...	-29.00	6 of 10 - Option expiration- long call

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 04/16/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	04/14/21	29.00	...	-29.00	7 of 10 - Option expiration- long call
	4.000	0.00	04/15/21	44.00	...	-44.00	8 of 10 - Option expiration- long call
	2.000	0.00	04/15/21	18.00	...	-18.00	9 of 10 - Option expiration- long call
	2.000	0.00	04/15/21	18.00	...	-18.00	10 of 10 - Option expiration- long call
04/16/21	24.000	0.00	Various	593.00	...	-593.00	Total of 10 transactions
GM 05/21/2021 CALL \$60.00 / CUSIP: / Symbol:							
42 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	2.00	04/29/21	180.00	...	-178.00	1 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	04/29/21	90.00	...	-89.00	2 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/04/21	55.00	...	-54.00	3 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/11/21	31.00	...	-30.00	4 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/11/21	25.00	...	-24.00	5 of 42 - Option sale to close-call <sub>25</sub>
	4.000	3.99	05/12/21	40.00	...	-36.01	6 of 42 - Option sale to close-call <sub>25</sub>
	2.000	2.00	05/12/21	32.00	...	-30.00	7 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/12/21	20.00	...	-19.00	8 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/12/21	20.00	...	-19.00	9 of 42 - Option sale to close-call <sub>25</sub>
	2.000	2.00	05/12/21	20.00	...	-18.00	10 of 42 - Option sale to close-call <sub>25</sub>
	2.000	2.00	05/13/21	14.00	...	-12.00	11 of 42 - Option sale to close-call <sub>25</sub>

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 05/21/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	05/13/21	7.00	...	-6.00	12 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/13/21	7.00	...	-6.00	13 of 42 - Option sale to close-call <sub>25</sub>
	4.000	3.99	05/18/21	20.00	...	-16.01	14 of 42 - Option sale to close-call <sub>25</sub>
	2.000	2.00	05/18/21	10.00	...	-8.00	15 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/18/21	5.00	...	-4.00	16 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/18/21	5.00	...	-4.00	17 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/18/21	5.00	...	-4.00	18 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/18/21	4.00	...	-3.00	19 of 42 - Option sale to close-call <sub>25</sub>
	1.000	1.00	05/18/21	4.00	...	-3.00	20 of 42 - Option sale to close-call <sub>25</sub>
	14.000	13.96	05/19/21	28.00	...	-14.04	21 of 42 - Option sale to close-call <sub>25</sub>
	11.000	10.97	05/19/21	22.00	...	-11.03	22 of 42 - Option sale to close-call <sub>25</sub>
	10.000	9.97	05/19/21	20.00	...	-10.03	23 of 42 - Option sale to close-call <sub>25</sub>
	10.000	9.98	05/19/21	20.00	...	-10.02	24 of 42 - Option sale to close-call <sub>25</sub>
	5.000	4.99	05/19/21	10.00	...	-5.01	25 of 42 - Option sale to close-call <sub>25</sub>
	5.000	4.99	05/19/21	10.00	...	-5.01	26 of 42 - Option sale to close-call <sub>25</sub>
	5.000	4.99	05/19/21	10.00	...	-5.01	27 of 42 - Option sale to close-call <sub>25</sub>
	5.000	4.99	05/19/21	10.00	...	-5.01	28 of 42 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 05/21/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	5.000	4.99	05/19/21	10.00	...	-5.01	29 of 42 - Option sale to close-call 25
	5.000	4.99	05/19/21	10.00	...	-5.01	30 of 42 - Option sale to close-call 25
	4.000	3.99	05/19/21	8.00	...	-4.01	31 of 42 - Option sale to close-call 25
	2.000	1.99	05/19/21	4.00	...	-2.01	32 of 42 - Option sale to close-call 25
	2.000	1.99	05/19/21	4.00	...	-2.01	33 of 42 - Option sale to close-call 25
	2.000	1.99	05/19/21	4.00	...	-2.01	34 of 42 - Option sale to close-call 25
	2.000	1.99	05/19/21	4.00	...	-2.01	35 of 42 - Option sale to close-call 25
	2.000	2.00	05/19/21	4.00	...	-2.00	36 of 42 - Option sale to close-call 25
	1.000	1.00	05/19/21	2.00	...	-1.00	37 of 42 - Option sale to close-call 25
	1.000	1.00	05/19/21	2.00	...	-1.00	38 of 42 - Option sale to close-call 25
	1.000	1.00	05/19/21	2.00	...	-1.00	39 of 42 - Option sale to close-call 25
	1.000	1.00	05/19/21	2.00	...	-1.00	40 of 42 - Option sale to close-call 25
	1.000	1.00	05/19/21	2.00	...	-1.00	41 of 42 - Option sale to close-call 25
	2.000	1.99	05/19/21	2.00	...	-0.01	42 of 42 - Option sale to close-call 25
05/20/21	127.000	126.74	Various	784.00	...	-657.26	Total of 42 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 05/28/2021 CALL \$58.00 / CUSIP: / Symbol:							
<i>19 transactions for 05/26/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	103.99	05/12/21	96.00	...	7.99	1 of 19 - Option sale to close-call 25
	1.000	51.99	05/25/21	34.00	...	17.99	2 of 19 - Option sale to close-call 25
	1.000	52.00	05/25/21	23.00	...	29.00	3 of 19 - Option sale to close-call 25
	1.000	61.98	05/26/21	61.02	...	0.96	4 of 19 - Option sale to close-call 25
	1.000	61.98	05/26/21	61.01	...	0.97	5 of 19 - Option sale to close-call 25
	1.000	62.00	05/26/21	61.01	...	0.99	6 of 19 - Option sale to close-call 25
	1.000	51.99	05/26/21	50.00	...	1.99	7 of 19 - Option sale to close-call 25
	1.000	63.00	05/26/21	59.00	...	4.00	8 of 19 - Option sale to close-call 25
	1.000	63.00	05/26/21	58.00	...	5.00	9 of 19 - Option sale to close-call 25
	4.000	247.98	05/26/21	232.00	...	15.98	10 of 19 - Option sale to close-call 25
	28.000	1,735.93	05/26/21	1,708.07	...	27.86	11 of 19 - Option sale to close-call 25
	7.000	433.98	05/26/21	406.00	...	27.98	12 of 19 - Option sale to close-call 25
	5.000	314.99	05/26/21	280.00	...	34.99	13 of 19 - Option sale to close-call 25
	20.000	1,059.96	05/26/21	1,020.00	...	39.96	14 of 19 - Option sale to close-call 25
	10.000	619.98	05/26/21	580.00	...	39.98	15 of 19 - Option sale to close-call 25
	20.000	1,059.95	05/26/21	1,000.00	...	59.95	16 of 19 - Option sale to close-call 25
	9.000	566.97	05/26/21	504.00	...	62.97	17 of 19 - Option sale to close-call 25

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 05/28/2021 CALL \$58.00 / CUSIP: / Symbol: (cont'd)							
	21.000	1,301.95	05/26/21	1,218.00	...	83.95	18 of 19 - Option sale to close-call <sub>25</sub>
	24.000	1,487.94	05/26/21	1,392.00	...	95.94	19 of 19 - Option sale to close-call <sub>25</sub>
05/26/21	158.000	9,401.56	Various	8,843.11	...	558.45	Total of 19 transactions
	15 transactions for 05/26/21. Total proceeds and cost reported to the IRS.						
	2.000	103.99	05/11/21	164.00	60.01 W	0.00	1 of 15 - Option sale to close-call <sub>25</sub>
	2.000	103.99	05/11/21	164.00	60.01 W	0.00	2 of 15 - Option sale to close-call <sub>25</sub>
	1.000	52.00	05/12/21	83.00	31.00 W	0.00	3 of 15 - Option sale to close-call <sub>25</sub>
	1.000	52.00	05/12/21	63.00	11.00 W	0.00	4 of 15 - Option sale to close-call <sub>25</sub>
	2.000	103.99	05/19/21	126.01	22.02 W	0.00	5 of 15 - Option sale to close-call <sub>25</sub>
	1.000	52.00	05/19/21	63.01	11.01 W	0.00	6 of 15 - Option sale to close-call <sub>25</sub>
	1.000	52.00	05/25/21	54.00	2.00 W	0.00	7 of 15 - Option sale to close-call <sub>25</sub>
	1.000	52.00	05/25/21	55.00	3.00 W	0.00	8 of 15 - Option sale to close-call <sub>25</sub>
	1.000	63.00	05/26/21	67.01	4.01 W	0.00	9 of 15 - Option sale to close-call <sub>25</sub>
	2.000	125.99	05/26/21	134.02	8.03 W	0.00	10 of 15 - Option sale to close-call <sub>25</sub>
	1.000	53.00	05/26/21	60.01	7.01 W	0.00	11 of 15 - Option sale to close-call <sub>25</sub>
	2.000	106.00	05/26/21	120.03	14.03 W	0.00	12 of 15 - Option sale to close-call <sub>25</sub>
	28.000	1,483.93	05/26/21	1,568.00	84.07 W	0.00	13 of 15 - Option sale to close-call <sub>25</sub>
	1.000	62.00	05/26/21	65.01	3.01 W	0.00	14 of 15 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 05/28/2021 CALL \$58.00 / CUSIP: / Symbol: (cont'd)							
	2.000	124.00	05/26/21	130.03	6.03 W	0.00	15 of 15 - Option sale to close-call <sup>25</sup>
05/26/21	48.000	2,589.89	Various	2,916.13	326.24 W	0.00	Total of 15 transactions
	<b>Security total:</b>	<b>11,991.45</b>		<b>11,759.24</b>	<b>326.24 W</b>	<b>558.45</b>	
GM 06/04/2021 CALL \$63.00 / CUSIP: / Symbol:							
06/03/21	10.000	849.97	06/03/21	710.00	...	139.97	Option sale to close-call <sup>25</sup>
GRWG 05/21/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	176.99	04/29/21	370.00	...	-193.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	176.99	05/04/21	185.00	...	-8.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/04/21	2.000	353.98	Various	555.00	...	-201.02	Total of 2 transactions
GUSH 04/16/2021 CALL \$68.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	04/15/21	180.00	...	-180.00	Option expiration- long call
GUSH 09/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
11 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	2.000	469.99	08/30/21	450.00	...	19.99	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	235.00	08/30/21	195.00	...	40.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	229.99	08/31/21	195.00	...	34.99	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	239.98	08/31/21	195.00	...	44.98	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	240.00	08/31/21	195.00	...	45.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	234.99	08/31/21	185.00	...	49.99	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	229.99	08/31/21	180.00	...	49.99	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	235.00	08/31/21	185.00	...	50.00	8 of 11 - Option sale to close-call <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GUSH 09/17/2021 CALL \$75.00 / CUSIP: / Symbol: (cont'd)							
	1.000	230.00	08/31/21	180.00	...	50.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	239.99	09/01/21	145.00	...	94.99	10 of 11 - Option sale to close-call <sup>25</sup>
	2.000	479.99	09/01/21	300.00	...	179.99	11 of 11 - Option sale to close-call <sup>25</sup>
09/02/21	13.000	3,064.92	Various	2,405.00	...	659.92	Total of 11 transactions
GUSH 10/15/2021 CALL \$90.00 / CUSIP: / Symbol:							
09/22/21	2.000	429.98	09/21/21	260.00	...	169.98	Option sale to close-call <sup>25</sup>
HD 05/28/2021 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	3.000	422.99	05/27/21	342.00	...	80.99	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	2,819.94	05/27/21	2,400.00	...	419.94	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/21	23.000	3,242.93	Various	2,742.00	...	500.93	Total of 2 transactions
IPOF 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
11/02/21	5.000	39.98	10/21/21	80.00	...	-40.02	Option sale to close-call <sup>25</sup>
JETS 05/21/2021 CALL \$27.00 / CUSIP: / Symbol:							
11 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	4.000	11.99	05/07/21	108.00	...	-96.01	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/11/21	10.00	...	-7.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/12/21	8.00	...	-5.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/12/21	8.00	...	-5.00	4 of 11 - Option sale to close-call <sup>25</sup>
	2.000	5.99	05/14/21	28.00	...	-22.01	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/14/21	12.00	...	-9.00	6 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JETS 05/21/2021 CALL \$27.00 / CUSIP: / Symbol: (cont'd)							
	2.000	5.99	05/19/21	14.00	...	-8.01	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	2.99	05/19/21	7.00	...	-4.01	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/19/21	7.00	...	-4.00	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/19/21	7.00	...	-4.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	3.00	05/19/21	6.00	...	-3.00	11 of 11 - Option sale to close-call <sup>25</sup>
05/20/21	16.000	47.96	Various	215.00	...	-167.04	Total of 11 transactions
LOW 05/07/2021 CALL \$202.50 / CUSIP: / Symbol:							
05/06/21	3.000	434.98	05/06/21	312.00	...	122.98	Option sale to close-call <sup>25</sup>
LULU 05/28/2021 CALL \$325.00 / CUSIP: / Symbol:							
05/24/21	3.000	590.98	05/24/21	573.00	...	17.98	Option sale to close-call <sup>25</sup>
MDB 12/17/2021 CALL \$600.00 / CUSIP: / Symbol:							
12/07/21	1.000	309.98	12/06/21	280.00	...	29.98	Option sale to close-call <sup>25</sup>
MGI 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	9.000	224.98	06/09/21	630.00	...	-405.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	25.00	06/09/21	70.00	...	-45.00	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	99.99	06/10/21	180.00	...	-80.01	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	49.99	06/10/21	78.00	...	-28.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	25.00	06/10/21	35.00	...	-10.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/18/21	17.000	424.96	Various	993.00	...	-568.04	Total of 5 transactions
MRK 10/15/2021 CALL \$82.50 / CUSIP: / Symbol:							
6 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	80.00	10/01/21	193.00	...	-113.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	80.00	10/01/21	170.00	...	-90.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	80.98	10/04/21	346.01	...	-265.03	3 of 6 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MRK 10/15/2021 CALL \$82.50 / CUSIP: / Symbol: (cont'd)							
	2.000	159.99	10/04/21	396.00	...	-236.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	80.00	10/04/21	202.00	...	-122.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	79.99	10/04/21	195.00	...	-115.01	6 of 6 - Option sale to close-call <sup>25</sup>
10/07/21	7.000	560.96	Various	1,502.01	...	-941.05	Total of 6 transactions
10/07/21	2.000	159.99	10/01/21	462.00	151.01 W	-151.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>720.95</b>		<b>1,964.01</b>	<b>151.01 W</b>	<b>-1,092.05</b>	
MRNA 10/22/2021 CALL \$350.00 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	10/21/21	114.00	...	-114.00	Option expiration- long call
MRNA 12/03/2021 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	325.00	11/29/21	1,717.02	...	-1,392.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	324.99	11/29/21	910.00	...	-585.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	324.99	11/29/21	760.00	...	-435.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/30/21	3.000	974.98	Various	3,387.02	...	-2,412.04	Total of 3 transactions
11/30/21	1.000	292.98	11/29/21	910.00	617.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,267.96</b>		<b>4,297.02</b>	<b>617.02 W</b>	<b>-2,412.04</b>	
MRNA 12/03/2021 PUT \$320.00 / CUSIP: / Symbol:							
4 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	674.98	11/30/21	425.00	...	249.98	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	724.98	11/30/21	425.00	...	299.98	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	807.98	11/30/21	425.00	...	382.98	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	819.98	11/30/21	425.00	...	394.98	4 of 4 - Option sale to close-put <sup>25</sup>
11/30/21	4.000	3,027.92	Various	1,700.00	...	1,327.92	Total of 4 transactions
11/30/21	1.000	524.98	11/30/21	530.00	5.02 W	0.00	Option sale to close-put <sup>25</sup>
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	949.98	11/30/21	530.00	...	419.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,224.98	11/30/21	535.02	...	689.96	2 of 2 - Option sale to close-put <sup>25</sup>
12/01/21	2.000	2,174.96	Various	1,065.02	...	1,109.94	Total of 2 transactions
	<b>Security total:</b>	<b>5,727.86</b>		<b>3,295.02</b>	<b>5.02 W</b>	<b>2,437.86</b>	

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MSFT 01/07/2022 CALL \$345.00 / CUSIP: / Symbol:							
7 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	2.000	649.98	12/29/21	610.00	...	39.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	344.98	12/29/21	305.00	...	39.98	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	349.98	12/29/21	305.00	...	44.98	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	669.98	12/29/21	610.00	...	59.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	384.98	12/29/21	305.00	...	79.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	389.98	12/29/21	305.00	...	84.98	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	789.98	12/29/21	610.00	...	179.98	7 of 7 - Option sale to close-call <sup>25</sup>
12/29/21	10.000	3,579.86	Various	3,050.00	...	529.86	Total of 7 transactions
MSFT 12/17/2021 CALL \$325.00 / CUSIP: / Symbol:							
12/17/21	1.000	28.98	12/17/21	31.00	...	-2.02	Option sale to close-call <sup>25</sup>
MSFT 12/23/2021 CALL \$330.00 / CUSIP: / Symbol:							
12/15/21	2.000	1,149.98	12/15/21	1,060.00	...	89.98	Option sale to close-call <sup>25</sup>
NFLX 06/11/2021 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	5.000	59.98	06/11/21	235.02	...	-175.04	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	59.99	06/11/21	205.00	...	-145.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	10.000	119.97	Various	440.02	...	-320.05	Total of 2 transactions
06/11/21	5.000	64.98	06/11/21	205.00	140.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>184.95</b>		<b>645.02</b>	<b>140.02 W</b>	<b>-320.05</b>	
NIO 03/12/2021 CALL \$46.00 / CUSIP: / Symbol:							
16 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	3.00	03/12/21	357.02	...	-354.02	1 of 16 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	346.02	...	-343.02	2 of 16 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	325.03	...	-322.03	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	221.04	...	-218.04	4 of 16 - Option sale to close-call <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 03/12/2021 CALL \$46.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	03/12/21	106.02	...	-103.02	5 of 16 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	106.00	...	-103.00	6 of 16 - Option sale to close-call <sub>25</sub>
	4.000	11.99	03/12/21	76.00	...	-64.01	7 of 16 - Option sale to close-call <sub>25</sub>
	5.000	14.98	03/12/21	60.00	...	-45.02	8 of 16 - Option sale to close-call <sub>25</sub>
	2.000	5.99	03/12/21	46.00	...	-40.01	9 of 16 - Option sale to close-call <sub>25</sub>
	4.000	11.99	03/12/21	52.00	...	-40.01	10 of 16 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	42.01	...	-39.01	11 of 16 - Option sale to close-call <sub>25</sub>
	3.000	8.99	03/12/21	45.00	...	-36.01	12 of 16 - Option sale to close-call <sub>25</sub>
	3.000	8.99	03/12/21	42.00	...	-33.01	13 of 16 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	22.00	...	-19.00	14 of 16 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	19.00	...	-16.00	15 of 16 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	13.00	...	-10.00	16 of 16 - Option sale to close-call <sub>25</sub>
03/12/21	31.000	92.93	Various	1,878.14	...	-1,785.21	Total of 16 transactions
	27 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	2.000	5.98	03/10/21	182.00	176.02 W	0.00	1 of 27 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/10/21	91.00	88.02 W	0.00	2 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	179.02	176.02 W	0.00	3 of 27 - Option sale to close-call <sub>25</sub>
	2.000	5.99	03/10/21	358.02	352.03 W	0.00	4 of 27 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 03/12/2021 CALL \$46.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.99	03/10/21	78.00	75.01 W	0.00	5 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	254.02	251.02 W	0.00	6 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	238.01	235.01 W	0.00	7 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	238.02	235.02 W	0.00	8 of 27 - Option sale to close-call <sub>25</sub>
	1.000	2.99	03/10/21	137.01	134.02 W	0.00	9 of 27 - Option sale to close-call <sub>25</sub>
	2.000	5.99	03/10/21	84.00	78.01 W	0.00	10 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	293.02	290.02 W	0.00	11 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	277.01	274.01 W	0.00	12 of 27 - Option sale to close-call <sub>25</sub>
	1.000	2.99	03/10/21	277.02	274.03 W	0.00	13 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	162.02	159.02 W	0.00	14 of 27 - Option sale to close-call <sub>25</sub>
	1.000	2.99	03/10/21	67.01	64.02 W	0.00	15 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	318.02	315.02 W	0.00	16 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	67.00	64.00 W	0.00	17 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	310.01	307.01 W	0.00	18 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/10/21	292.03	289.03 W	0.00	19 of 27 - Option sale to close-call <sub>25</sub>
	1.000	2.99	03/12/21	180.02	177.03 W	0.00	20 of 27 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/12/21	85.02	82.02 W	0.00	21 of 27 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 03/12/2021 CALL \$46.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.99	03/12/21	21.00	18.01 W	0.00	22 of 27 - Option sale to close-call <sup>25</sup>
	1.000	2.99	03/12/21	326.01	323.02 W	0.00	23 of 27 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	336.02	333.02 W	0.00	24 of 27 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	85.00	82.00 W	0.00	25 of 27 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/12/21	305.03	302.03 W	0.00	26 of 27 - Option sale to close-call <sup>25</sup>
	1.000	2.99	03/12/21	201.03	198.04 W	0.00	27 of 27 - Option sale to close-call <sup>25</sup>
03/12/21	30.000	89.86	Various	5,441.37	5,351.51 W	0.00	Total of 27 transactions
	<b>Security total:</b>	<b>182.79</b>		<b>7,319.51</b>	<b>5,351.51 W</b>	<b>-1,785.21</b>	
NIO 04/01/2021 CALL \$45.00 / CUSIP: / Symbol:							
8 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	03/22/21	522.00	...	-522.00	1 of 8 - Option expiration- long call
	1.000	0.00	03/22/21	174.00	...	-174.00	2 of 8 - Option expiration- long call
	1.000	0.00	03/22/21	174.00	...	-174.00	3 of 8 - Option expiration- long call
	1.000	0.00	03/23/21	87.00	...	-87.00	4 of 8 - Option expiration- long call
	1.000	0.00	03/23/21	87.00	...	-87.00	5 of 8 - Option expiration- long call
	5.000	0.00	03/24/21	200.00	...	-200.00	6 of 8 - Option expiration- long call
	2.000	0.00	03/24/21	78.00	...	-78.00	7 of 8 - Option expiration- long call
	1.000	0.00	03/24/21	39.00	...	-39.00	8 of 8 - Option expiration- long call
04/01/21	15.000	0.00	Various	1,361.00	...	-1,361.00	Total of 8 transactions
NIO 05/21/2021 CALL \$42.00 / CUSIP: / Symbol:							
6 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	304.98	04/07/21	270.00	...	34.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	304.98	04/07/21	270.00	...	34.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	609.99	04/07/21	496.00	...	113.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	305.00	04/14/21	221.00	...	84.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	305.00	04/15/21	125.00	...	180.00	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/21/2021 CALL \$42.00 / CUSIP: / Symbol: (cont'd)							
	1.000	304.99	04/16/21	105.00	...	199.99	6 of 6 - Option sale to close-call <sup>25</sup>
04/27/21	7.000	2,134.94	Various	1,487.00	...	647.94	Total of 6 transactions
NIO 09/10/2021 CALL \$38.50 / CUSIP: / Symbol:							
08/31/21	5.000	719.98	08/30/21	465.00	...	254.98	Option sale to close-call <sup>25</sup>
NVDA 04/09/2021 CALL \$570.00 / CUSIP: / Symbol:							
5 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	232.00	04/07/21	210.00	...	22.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	232.00	04/07/21	208.00	...	24.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	231.99	04/07/21	199.00	...	32.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	232.00	04/07/21	199.00	...	33.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	463.99	04/07/21	422.00	...	41.99	5 of 5 - Option sale to close-call <sup>25</sup>
04/07/21	6.000	1,391.98	Various	1,238.00	...	153.98	Total of 5 transactions
NVDA 04/09/2021 CALL \$580.00 / CUSIP: / Symbol:							
7 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	3.000	338.99	04/08/21	1,125.00	...	-786.01	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	113.00	04/08/21	864.04	...	-751.04	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	113.00	04/08/21	315.00	...	-202.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	112.99	04/08/21	289.00	...	-176.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	113.00	04/08/21	287.00	...	-174.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	113.00	04/08/21	282.00	...	-169.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	112.99	04/08/21	237.00	...	-124.01	7 of 7 - Option sale to close-call <sup>25</sup>
04/09/21	9.000	1,016.97	Various	3,399.04	...	-2,382.07	Total of 7 transactions
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	112.98	04/08/21	400.00	287.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	112.98	04/08/21	662.02	549.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/09/21	2.000	225.96	Various	1,062.02	836.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,242.93</b>		<b>4,461.06</b>	<b>836.06 W</b>	<b>-2,382.07</b>	
NVDA 06/18/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/09/21	1.000	1,229.98	06/09/21	1,190.00	...	39.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 08/27/2021 CALL \$222.50 / CUSIP: / Symbol:							
08/25/21	5.000	1,674.98	08/25/21	1,435.00	...	239.98	Option sale to close-call <sup>25</sup>
OCGN 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	150.99	04/07/21	156.00	...	-5.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	150.99	04/07/21	156.00	...	-5.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	151.00	04/07/21	156.00	...	-5.00	3 of 3 - Option sale to close-call <sup>25</sup>
04/07/21	3.000	452.98	Various	468.00	...	-15.02	Total of 3 transactions
PLBY 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
6 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	10.000	9.97	06/11/21	670.00	...	-660.03	1 of 6 - Option sale to close-call <sup>25</sup>
	4.000	3.99	06/11/21	268.00	...	-264.01	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	2.99	06/14/21	171.00	...	-168.01	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	3.00	06/14/21	138.00	...	-135.00	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1.99	06/14/21	80.00	...	-78.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/14/21	40.00	...	-39.00	6 of 6 - Option sale to close-call <sup>25</sup>
06/18/21	23.000	22.94	Various	1,367.00	...	-1,344.06	Total of 6 transactions
PLBY 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
5 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	425.00	06/09/21	395.00	...	30.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	439.98	06/09/21	396.00	...	43.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	849.99	06/09/21	792.00	...	57.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	879.98	06/09/21	792.00	...	87.98	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	2,124.97	06/09/21	1,825.00	...	299.97	5 of 5 - Option sale to close-call <sup>25</sup>
06/09/21	11.000	4,719.92	Various	4,200.00	...	519.92	Total of 5 transactions
6 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	3.000	143.99	06/09/21	1,305.00	1,161.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	143.99	06/09/21	1,275.00	1,131.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	06/09/21	405.00	357.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	06/09/21	400.00	352.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	06/09/21	360.00	312.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLBY 06/18/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
06/11/21	1.000	47.99	06/09/21	335.00	287.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
	10.000	479.97	Various	4,080.00	3,600.03 W	0.00	Total of 6 transactions
	20 transactions for 06/18/21. Total proceeds and cost reported to the IRS.						
	2.000	0.00	06/09/21	1,344.01	...	-1,344.01	1 of 20 - Option expiration- long call
	1.000	0.00	06/09/21	727.00	...	-727.00	2 of 20 - Option expiration- long call
	1.000	0.00	06/09/21	666.00	...	-666.00	3 of 20 - Option expiration- long call
	1.000	0.00	06/09/21	639.01	...	-639.01	4 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	511.00	...	-511.00	5 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	491.00	...	-491.00	6 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	460.00	...	-460.00	7 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	420.00	...	-420.00	8 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	409.01	...	-409.01	9 of 20 - Option expiration- long call
	2.000	0.00	06/10/21	134.00	...	-134.00	10 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	122.00	...	-122.00	11 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	108.00	...	-108.00	12 of 20 - Option expiration- long call
	1.000	0.00	06/10/21	95.00	...	-95.00	13 of 20 - Option expiration- long call
	14.000	0.00	06/11/21	616.00	...	-616.00	14 of 20 - Option expiration- long call
	10.000	0.00	06/11/21	410.00	...	-410.00	15 of 20 - Option expiration- long call
	2.000	0.00	06/11/21	82.00	...	-82.00	16 of 20 - Option expiration- long call

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLBY 06/18/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/11/21	41.00	...	-41.00	17 of 20 - Option expiration- long call
	5.000	0.00	06/14/21	95.00	...	-95.00	18 of 20 - Option expiration- long call
	3.000	0.00	06/14/21	93.00	...	-93.00	19 of 20 - Option expiration- long call
	3.000	0.00	06/14/21	63.00	...	-63.00	20 of 20 - Option expiration- long call
06/18/21	53.000	0.00	Various	7,526.03	...	-7,526.03	Total of 20 transactions
	<b>Security total:</b>	<b>5,199.89</b>		<b>15,806.03</b>	<b>3,600.03 W</b>	<b>-7,006.11</b>	
PLTR 02/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	103.99	02/19/21	30.00	...	73.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	207.99	02/19/21	86.00	...	121.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	207.98	02/19/21	60.00	...	147.98	3 of 3 - Option sale to close-call <sup>25</sup>
02/19/21	5.000	519.96	Various	176.00	...	343.96	Total of 3 transactions
PLTR 02/19/2021 CALL \$28.50 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	3.000	185.99	02/19/21	180.00	...	5.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	62.00	02/19/21	53.00	...	9.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	123.99	02/19/21	56.00	...	67.99	3 of 3 - Option sale to close-call <sup>25</sup>
02/19/21	6.000	371.98	Various	289.00	...	82.98	Total of 3 transactions
PLTR 03/12/2021 CALL \$26.00 / CUSIP: / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	03/10/21	89.02	...	-4.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.98	03/10/21	54.01	...	30.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.99	03/10/21	52.00	...	32.99	3 of 3 - Option sale to close-call <sup>25</sup>
03/12/21	3.000	254.97	Various	195.03	...	59.94	Total of 3 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 03/12/2021 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	169.99	03/10/21	174.00	2.01 W	-2.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	84.98	03/10/21	87.00	2.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	3.000	254.97	Various	261.00	4.03 W	-2.00	Total of 2 transactions
	<b>Security total:</b>	<b>509.94</b>		<b>456.03</b>	<b>4.03 W</b>	<b>57.94</b>	
PLTR 03/12/2021 CALL \$26.50 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	85.98	03/10/21	164.00	...	-78.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	42.98	03/10/21	82.00	...	-39.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	3.000	128.96	Various	246.00	...	-117.04	Total of 2 transactions
PLTR 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	4.000	223.99	04/15/21	216.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	335.98	04/15/21	324.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/15/21	10.000	559.97	Various	540.00	...	19.97	Total of 2 transactions
PLTR 05/28/2021 CALL \$22.00 / CUSIP: / Symbol:							
4 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	3.000	104.99	05/27/21	159.02	...	-54.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	34.99	05/27/21	53.00	...	-18.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.00	05/27/21	44.00	...	-9.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.00	05/27/21	44.00	...	-9.00	4 of 4 - Option sale to close-call <sup>25</sup>
05/27/21	6.000	209.98	Various	300.02	...	-90.04	Total of 4 transactions
05/27/21	4.000	139.98	05/27/21	176.00	36.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>349.96</b>		<b>476.02</b>	<b>36.02 W</b>	<b>-90.04</b>	
PLTR 11/12/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/08/21	96.00	...	-96.00	1 of 2 - Option expiration- long call
	2.000	0.00	11/08/21	94.00	...	-94.00	2 of 2 - Option expiration- long call
11/12/21	4.000	0.00	Various	190.00	...	-190.00	Total of 2 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG 04/30/2021 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	3.000	167.99	04/16/21	174.00	...	-6.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	55.99	04/20/21	34.00	...	21.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	4.000	223.98	Various	208.00	...	15.98	Total of 2 transactions
PTON 03/18/2022 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	2.000	119.99	11/05/21	296.00	...	-176.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	59.99	11/08/21	70.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/21	3.000	179.98	Various	366.00	...	-186.02	Total of 2 transactions
PTON 06/11/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	270.99	06/08/21	281.00	...	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	270.99	06/08/21	281.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/21	2.000	541.98	Various	562.00	...	-20.02	Total of 2 transactions
PYPL 06/11/2021 CALL \$270.00 / CUSIP: / Symbol:							
5 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	5.000	549.99	06/10/21	515.00	...	34.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	113.98	06/10/21	62.00	...	51.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	113.98	06/10/21	60.00	...	53.98	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	329.99	06/10/21	267.00	...	62.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	219.99	06/10/21	150.00	...	69.99	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/21	12.000	1,327.93	Various	1,054.00	...	273.93	Total of 5 transactions
RBLX 05/28/2021 CALL \$92.00 / CUSIP: / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	5.000	1,039.98	05/27/21	840.00	...	199.98	1 of 3 - Option sale to close-call <sup>25</sup>
	10.000	2,079.97	05/27/21	1,660.00	...	419.97	2 of 3 - Option sale to close-call <sup>25</sup>
	15.000	3,119.95	05/27/21	2,520.00	...	599.95	3 of 3 - Option sale to close-call <sup>25</sup>
05/27/21	30.000	6,239.90	Various	5,020.00	...	1,219.90	Total of 3 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 06/04/2021 CALL \$98.00 / CUSIP: / Symbol:							
3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/02/21	289.00	...	0.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	579.98	06/02/21	578.00	...	1.98	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	2,029.97	06/02/21	2,023.00	...	6.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	2,899.94	Various	2,890.00	...	9.94	Total of 3 transactions
RBLX 06/11/2021 PUT \$90.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	2.000	577.99	06/08/21	530.00	...	47.99	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	577.99	06/08/21	522.00	...	55.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/08/21	4.000	1,155.98	Various	1,052.00	...	103.98	Total of 2 transactions
RBLX 12/03/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	2.000	661.99	11/30/21	720.00	...	-58.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	330.99	11/30/21	360.00	...	-29.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/21	3.000	992.98	Various	1,080.00	...	-87.02	Total of 2 transactions
RIVN 12/23/2021 PUT \$95.00 / CUSIP: / Symbol:							
3 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	1,179.99	12/17/21	1,180.00	...	-0.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	589.98	12/17/21	540.00	...	49.98	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1,179.98	12/17/21	1,080.00	...	99.98	3 of 3 - Option sale to close-put <sup>25</sup>
12/17/21	5.000	2,949.95	Various	2,800.00	...	149.95	Total of 3 transactions
RKT 06/11/2021 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	3.000	224.98	06/09/21	255.00	...	-30.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/09/21	95.00	...	-20.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	4.000	299.96	Various	350.00	...	-50.04	Total of 2 transactions
06/09/21	1.000	75.00	06/09/21	85.00	10.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>374.96</b>		<b>435.00</b>	<b>10.00 W</b>	<b>-50.04</b>	

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 08/06/2021 CALL \$18.00 / CUSIP: / Symbol:							
7 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	10.000	169.98	08/02/21	260.00	...	-90.02	1 of 7 - Option sale to close-call <sup>25</sup>
	5.000	84.99	08/02/21	140.00	...	-55.01	2 of 7 - Option sale to close-call <sup>25</sup>
	5.000	84.98	08/02/21	125.00	...	-40.02	3 of 7 - Option sale to close-call <sup>25</sup>
	3.000	50.99	08/02/21	84.00	...	-33.01	4 of 7 - Option sale to close-call <sup>25</sup>
	4.000	67.99	08/02/21	100.00	...	-32.01	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	17.00	08/02/21	39.02	...	-22.02	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	17.00	08/02/21	25.00	...	-8.00	7 of 7 - Option sale to close-call <sup>25</sup>
08/02/21	29.000	492.93	Various	773.02	...	-280.09	Total of 7 transactions
08/02/21	1.000	16.98	08/02/21	28.00	11.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>509.91</b>		<b>801.02</b>	<b>11.02 W</b>	<b>-280.09</b>	
ROKU 01/07/2022 CALL \$240.00 / CUSIP: / Symbol:							
9 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	1.000	944.99	12/28/21	885.00	...	59.99	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1,149.98	12/28/21	1,085.00	...	64.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1,149.99	12/28/21	1,085.00	...	64.99	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	944.99	12/28/21	875.00	...	69.99	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	2,249.97	12/28/21	2,170.00	...	79.97	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1,169.99	12/28/21	1,085.00	...	84.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	969.98	12/28/21	875.00	...	94.98	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,889.98	12/28/21	1,770.00	...	119.98	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	2,339.98	12/28/21	2,070.00	...	269.98	9 of 9 - Option sale to close-call <sup>25</sup>
12/28/21	12.000	12,809.85	Various	11,900.00	...	909.85	Total of 9 transactions
12/31/21	1.000	974.98	12/31/21	950.00	...	24.98	Option sale to close-call <sup>25</sup>
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	4.000	2,059.98	12/31/21	3,800.00	1,740.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	514.99	12/31/21	895.00	380.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/31/21	5.000	2,574.97	Various	4,695.00	2,120.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>16,359.80</b>		<b>17,545.00</b>	<b>2,120.03 W</b>	<b>934.83</b>	

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROKU 01/07/2022 PUT \$227.50 / CUSIP: / Symbol:							
3 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	2.000	1,229.99	12/31/21	1,110.00	...	119.99	1 of 3 - Option sale to close-put <sup>25</sup>
	5.000	3,074.97	12/31/21	2,900.00	...	174.97	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	1,844.98	12/31/21	1,665.00	...	179.98	3 of 3 - Option sale to close-put <sup>25</sup>
12/31/21	10.000	6,149.94	Various	5,675.00	...	474.94	Total of 3 transactions
ROKU 04/16/2021 CALL \$382.50 / CUSIP: / Symbol:							
3 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	649.99	04/15/21	800.00	...	-150.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	650.00	04/15/21	515.00	...	135.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	649.99	04/15/21	350.00	...	299.99	3 of 3 - Option sale to close-call <sup>25</sup>
04/15/21	3.000	1,949.98	Various	1,665.00	...	284.98	Total of 3 transactions
ROKU 05/07/2021 CALL \$325.00 / CUSIP: / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	779.98	05/07/21	640.00	...	139.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	779.99	05/07/21	585.00	...	194.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	779.99	05/07/21	540.00	...	239.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/07/21	3.000	2,339.96	Various	1,765.00	...	574.96	Total of 3 transactions
ROKU 05/21/2021 CALL \$332.50 / CUSIP: / Symbol:							
05/20/21	2.000	649.98	05/20/21	650.00	...	-0.02	Option sale to close-call <sup>25</sup>
ROKU 05/28/2021 PUT \$340.00 / CUSIP: / Symbol:							
05/24/21	1.000	454.98	05/24/21	595.00	...	-140.02	Option sale to close-put <sup>25</sup>
ROKU 06/04/2021 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	89.99	06/03/21	162.00	...	-72.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/03/21	159.00	...	-69.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/21	2.000	179.99	Various	321.00	...	-141.01	Total of 2 transactions
4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	179.99	06/03/21	386.00	206.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	179.99	06/03/21	346.00	166.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 06/04/2021 CALL \$335.00 / CUSIP: / Symbol: (cont'd)							
	1.000	90.00	06/03/21	159.00	69.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/03/21	158.00	68.00 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/21	6.000	539.98	Various	1,049.00	509.02 W	0.00	Total of 4 transactions
	5 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	2.000	219.99	06/03/21	392.01	...	-172.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/03/21	241.01	...	-131.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/03/21	230.00	...	-120.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/03/21	164.00	...	-54.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	109.99	06/03/21	163.00	...	-53.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/04/21	6.000	659.98	Various	1,190.02	...	-530.04	Total of 5 transactions
	<b>Security total:</b>	<b>1,379.95</b>		<b>2,560.02</b>	<b>509.02 W</b>	<b>-671.05</b>	
ROKU 06/04/2021 CALL \$342.50 / CUSIP: / Symbol:							
06/01/21	10.000	6,599.94	06/01/21	6,400.00	...	199.94	Option sale to close-call <sup>25</sup>
ROKU 06/04/2021 CALL \$345.00 / CUSIP: / Symbol:							
	4 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	1.000	504.99	06/01/21	590.04	...	-85.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	504.99	06/01/21	530.00	...	-25.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	505.00	06/01/21	515.00	...	-10.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,009.99	06/01/21	1,000.00	...	9.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/01/21	5.000	2,524.97	Various	2,635.04	...	-110.07	Total of 4 transactions
	2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	1.000	504.98	06/01/21	565.02	60.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	504.98	06/01/21	535.00	30.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/21	2.000	1,009.96	Various	1,100.02	90.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,534.93</b>		<b>3,735.06</b>	<b>90.06 W</b>	<b>-110.07</b>	
ROKU 06/04/2021 PUT \$325.00 / CUSIP: / Symbol:							
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	9.000	3,149.96	06/03/21	3,420.00	...	-270.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	349.98	06/03/21	380.00	...	-30.02	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/21	10.000	3,499.94	Various	3,800.00	...	-300.06	Total of 2 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 06/04/2021 PUT \$340.00 / CUSIP: / Symbol:							
3 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	5.000	2,674.97	06/01/21	2,925.00	...	-250.03	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	534.98	06/01/21	585.00	...	-50.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	534.98	06/01/21	585.00	...	-50.02	3 of 3 - Option sale to close-put <sup>25</sup>
06/01/21	7.000	3,744.93	Various	4,095.00	...	-350.07	Total of 3 transactions
ROKU 06/11/2021 CALL \$345.00 / CUSIP: / Symbol:							
6 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	1.000	739.99	06/09/21	370.00	...	369.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	739.99	06/09/21	325.00	...	414.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	740.00	06/09/21	325.00	...	415.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1,479.99	06/09/21	760.00	...	719.99	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	1,479.98	06/09/21	710.00	...	769.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	739.99	06/10/21	254.00	...	485.99	6 of 6 - Option sale to close-call <sup>25</sup>
06/10/21	8.000	5,919.94	Various	2,744.00	...	3,175.94	Total of 6 transactions
ROKU 08/27/2021 CALL \$357.50 / CUSIP: / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	679.98	08/24/21	905.00	...	-225.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	679.98	08/24/21	905.00	...	-225.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/21	2.000	1,359.96	Various	1,810.00	...	-450.04	Total of 2 transactions
ROKU 10/08/2021 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	1.000	72.99	10/07/21	271.00	...	-198.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	72.99	10/07/21	160.00	...	-87.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/21	2.000	145.98	Various	431.00	...	-285.02	Total of 2 transactions
ROKU 12/10/2021 PUT \$190.00 / CUSIP: / Symbol:							
12/07/21	1.000	38.98	12/03/21	420.00	...	-381.02	Option sale to close-put <sup>25</sup>
ROOT 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/29/21	3.000	14.98	11/11/21	135.00	...	-120.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SKLZ 06/11/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/09/21	10.000	1,249.97	06/09/21	1,400.00	...	-150.03	Option sale to close-call <sup>25</sup>
SNAP 04/09/2021 CALL \$57.00 / CUSIP: / Symbol:							
04/07/21	2.000	699.98	04/06/21	390.00	...	309.98	Option sale to close-call <sup>25</sup>
SNAP 04/09/2021 CALL \$60.00 / CUSIP: / Symbol:							
04/07/21	5.000	749.98	04/07/21	695.00	...	54.98	Option sale to close-call <sup>25</sup>
SNAP 05/21/2021 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 04/28/21. Total proceeds and cost reported to the IRS.							
	1.000	164.98	04/28/21	140.00	...	24.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.98	04/28/21	140.00	...	24.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	494.98	04/28/21	420.00	...	74.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/28/21	5.000	824.94	Various	700.00	...	124.94	Total of 3 transactions
SNAP 09/03/2021 CALL \$74.00 / CUSIP: / Symbol:							
3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	179.00	08/27/21	132.00	...	47.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	357.99	08/27/21	252.00	...	105.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	536.99	08/27/21	378.00	...	158.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/30/21	6.000	1,073.98	Various	762.00	...	311.98	Total of 3 transactions
SOS 03/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	171.00	03/09/21	80.00	...	91.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	171.00	03/09/21	75.00	...	96.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	341.98	03/09/21	150.00	...	191.98	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	341.99	03/09/21	150.00	...	191.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	341.99	03/09/21	150.00	...	191.99	5 of 5 - Option sale to close-call <sup>25</sup>
03/15/21	8.000	1,367.96	Various	605.00	...	762.96	Total of 5 transactions

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	4.000	55.98	10/20/21	120.00	...	-64.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	13.98	10/20/21	30.00	...	-16.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	69.96	Various	150.00	...	-80.04	Total of 2 transactions
SPCE 06/18/2021 CALL \$32.00 / CUSIP: / Symbol:							
7 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	329.98	06/07/21	281.00	...	48.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	345.00	06/07/21	281.00	...	64.00	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	659.98	06/07/21	562.00	...	97.98	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	669.98	06/07/21	562.00	...	107.98	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	689.99	06/07/21	556.00	...	133.99	5 of 7 - Option sale to close-call <sup>25</sup>
	4.000	1,259.98	06/07/21	1,124.00	...	135.98	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	689.99	06/07/21	544.00	...	145.99	7 of 7 - Option sale to close-call <sup>25</sup>
06/07/21	14.000	4,644.90	Various	3,910.00	...	734.90	Total of 7 transactions
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol:							
10 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	1.000	99.00	03/25/21	68.00	...	31.00	1 of 10 - Option sale to close-call <sup>25</sup>
	2.000	197.99	06/01/21	158.00	...	39.99	2 of 10 - Option sale to close-call <sup>25</sup>
	2.000	198.00	06/18/21	104.00	...	94.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	102.99	08/26/21	92.00	...	10.99	4 of 10 - Option sale to close-call <sup>25</sup>
	2.000	197.99	08/26/21	186.00	...	11.99	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	205.99	08/26/21	186.00	...	19.99	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	205.99	08/26/21	182.00	...	23.99	7 of 10 - Option sale to close-call <sup>25</sup>
	4.000	411.99	08/26/21	372.00	...	39.99	8 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)	6.000	617.99	08/26/21	558.00	...	59.99	9 of 10 - Option sale to close-call <sup>25</sup>
	6.000	617.99	08/26/21	552.00	...	65.99	10 of 10 - Option sale to close-call <sup>25</sup>
08/27/21	28.000	2,855.92	Various	2,458.00	...	397.92	Total of 10 transactions
	9 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	1.000	99.00	04/12/21	144.00	45.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	197.99	04/15/21	280.00	82.01 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	102.98	08/26/21	138.00	35.02 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	205.99	08/26/21	268.01	62.02 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	103.00	08/26/21	128.02	25.02 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	205.99	08/26/21	248.02	42.03 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	103.00	08/26/21	117.02	14.02 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	205.99	08/26/21	226.03	20.04 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	103.00	08/26/21	105.02	2.02 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
08/27/21	13.000	1,326.94	Various	1,654.12	327.18 W	0.00	Total of 9 transactions
10/01/21	4.000	91.99	09/28/21	104.00	...	-12.01	Option sale to close-call <sup>25</sup>
	21 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	1.000	22.99	08/26/21	101.02	78.03 W	0.00	1 of 21 - Option sale to close-call <sup>25</sup>
	1.000	22.99	08/31/21	110.02	87.03 W	0.00	2 of 21 - Option sale to close-call <sup>25</sup>
	1.000	23.00	08/31/21	118.02	95.02 W	0.00	3 of 21 - Option sale to close-call <sup>25</sup>
	8.000	183.98	08/31/21	864.00	680.02 W	0.00	4 of 21 - Option sale to close-call <sup>25</sup>
	1.000	23.00	09/07/21	181.03	158.03 W	0.00	5 of 21 - Option sale to close-call <sup>25</sup>
	1.000	23.00	09/07/21	190.03	167.03 W	0.00	6 of 21 - Option sale to close-call <sup>25</sup>
	17.000	390.96	09/07/21	1,751.00	1,360.04 W	0.00	7 of 21 - Option sale to close-call <sup>25</sup>
	20.000	459.96	09/07/21	2,040.00	1,580.04 W	0.00	8 of 21 - Option sale to close-call <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	10.000	229.98	09/08/21	950.00	720.02 W	0.00	9 of 21 - Option sale to close-call <sub>25</sub>
	10.000	229.98	09/08/21	950.00	720.02 W	0.00	10 of 21 - Option sale to close-call <sub>25</sub>
	20.000	459.96	09/09/21	1,740.00	1,280.04 W	0.00	11 of 21 - Option sale to close-call <sub>25</sub>
	10.000	229.98	09/10/21	730.00	500.02 W	0.00	12 of 21 - Option sale to close-call <sub>25</sub>
	2.000	46.00	09/16/21	116.00	70.00 W	0.00	13 of 21 - Option sale to close-call <sub>25</sub>
	2.000	46.00	09/17/21	104.00	58.00 W	0.00	14 of 21 - Option sale to close-call <sub>25</sub>
	10.000	229.98	09/20/21	430.00	200.02 W	0.00	15 of 21 - Option sale to close-call <sub>25</sub>
	5.000	114.99	09/20/21	210.00	95.01 W	0.00	16 of 21 - Option sale to close-call <sub>25</sub>
	5.000	114.99	09/20/21	210.00	95.01 W	0.00	17 of 21 - Option sale to close-call <sub>25</sub>
	2.000	46.00	09/20/21	82.00	36.00 W	0.00	18 of 21 - Option sale to close-call <sub>25</sub>
	10.000	229.98	09/22/21	360.00	130.02 W	0.00	19 of 21 - Option sale to close-call <sub>25</sub>
	10.000	229.98	09/22/21	360.00	130.02 W	0.00	20 of 21 - Option sale to close-call <sub>25</sub>
	125.000	2,874.70	09/28/21	3,375.00	456.27 W	-44.03	21 of 21 - Option sale to close-call <sub>25</sub>
10/01/21	271.000	6,232.40	Various	14,972.12	8,695.69 W	-44.03	Total of 21 transactions
	31 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	1.000	26.00	09/28/21	121.02	...	-95.02	1 of 31 - Option sale to close-call <sub>25</sub>
	2.000	52.00	10/05/21	208.01	...	-156.01	2 of 31 - Option sale to close-call <sub>25</sub>
	20.000	519.95	10/06/21	1,980.04	...	-1,460.09	3 of 31 - Option sale to close-call <sub>25</sub>

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	17.000	441.96	10/06/21	1,700.04	...	-1,258.08	4 of 31 - Option sale to close-call 25
	20.000	579.95	10/06/21	1,680.04	...	-1,100.09	5 of 31 - Option sale to close-call 25
	7.000	181.98	10/06/21	644.02	...	-462.04	6 of 31 - Option sale to close-call 25
	10.000	289.98	10/06/21	700.02	...	-410.04	7 of 31 - Option sale to close-call 25
	4.000	103.99	10/06/21	420.01	...	-316.02	8 of 31 - Option sale to close-call 25
	5.000	144.99	10/06/21	460.01	...	-315.02	9 of 31 - Option sale to close-call 25
	3.000	86.98	10/06/21	276.01	...	-189.03	10 of 31 - Option sale to close-call 25
	1.000	26.00	10/06/21	187.03	...	-161.03	11 of 31 - Option sale to close-call 25
	2.000	52.00	10/06/21	210.00	...	-158.00	12 of 31 - Option sale to close-call 25
	1.000	26.00	10/06/21	178.03	...	-152.03	13 of 31 - Option sale to close-call 25
	2.000	51.99	10/06/21	184.00	...	-132.01	14 of 31 - Option sale to close-call 25
	7.000	202.98	10/06/21	280.01	...	-77.03	15 of 31 - Option sale to close-call 25
	1.000	28.98	10/06/21	92.00	...	-63.02	16 of 31 - Option sale to close-call 25
	1.000	28.98	10/06/21	92.00	...	-63.02	17 of 31 - Option sale to close-call 25
	1.000	28.98	10/06/21	92.00	...	-63.02	18 of 31 - Option sale to close-call 25
	5.000	144.99	10/06/21	195.01	...	-50.02	19 of 31 - Option sale to close-call 25
	10.000	289.98	10/06/21	330.02	...	-40.04	20 of 31 - Option sale to close-call 25

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	10.000	289.98	10/06/21	330.02	...	-40.04	21 of 31 - Option sale to close-call <sup>25</sup>
	2.000	57.99	10/06/21	98.00	...	-40.01	22 of 31 - Option sale to close-call <sup>25</sup>
	3.000	86.99	10/06/21	120.01	...	-33.02	23 of 31 - Option sale to close-call <sup>25</sup>
	3.000	86.99	10/06/21	117.01	...	-30.02	24 of 31 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/06/21	55.00	...	-26.00	25 of 31 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/06/21	55.00	...	-26.00	26 of 31 - Option sale to close-call <sup>25</sup>
	2.000	57.99	10/06/21	78.00	...	-20.01	27 of 31 - Option sale to close-call <sup>25</sup>
	2.000	58.00	10/06/21	76.00	...	-18.00	28 of 31 - Option sale to close-call <sup>25</sup>
	109.000	3,160.75	10/06/21	2,616.26	...	544.49	29 of 31 - Option sale to close-call <sup>25</sup>
	1.000	29.00	10/13/21	22.00	...	7.00	30 of 31 - Option sale to close-call <sup>25</sup>
	3.000	86.99	10/13/21	66.01	...	20.98	31 of 31 - Option sale to close-call <sup>25</sup>
10/14/21	257.000	7,281.34	Various	13,662.63	...	-6,381.29	Total of 31 transactions
12/03/21	1.000	50.98	10/13/21	22.00	...	28.98	Option sale to close-call <sup>25</sup>
	2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	20.000	899.95	12/02/21	720.00	...	179.95	1 of 2 - Option sale to close-call <sup>25</sup>
	50.000	2,249.89	12/02/21	1,800.00	...	449.89	2 of 2 - Option sale to close-call <sup>25</sup>
12/06/21	70.000	3,149.84	Various	2,520.00	...	629.84	Total of 2 transactions
	3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	10.000	619.97	12/02/21	360.00	...	259.97	1 of 3 - Option sale to close-call <sup>25</sup>
	20.000	1,239.95	12/02/21	720.00	...	519.95	2 of 3 - Option sale to close-call <sup>25</sup>
	49.000	3,037.88	12/02/21	1,764.00	...	1,273.88	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/21	79.000	4,897.80	Various	2,844.00	...	2,053.80	Total of 3 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
<i>14 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.00	12/02/21	36.00	12.00 W	0.00	1 of 14 - Option sale to close-call <sub>25</sub>
	6.000	143.99	12/09/21	282.00	138.01 W	0.00	2 of 14 - Option sale to close-call <sub>25</sub>
	47.000	1,127.89	12/09/21	2,209.00	1,081.11 W	0.00	3 of 14 - Option sale to close-call <sub>25</sub>
	46.000	1,103.90	12/09/21	2,162.00	1,058.10 W	0.00	4 of 14 - Option sale to close-call <sub>25</sub>
	1.000	24.00	12/09/21	59.00	35.00 W	0.00	5 of 14 - Option sale to close-call <sub>25</sub>
	3.000	71.99	12/14/21	132.01	60.02 W	0.00	6 of 14 - Option sale to close-call <sub>25</sub>
	6.000	143.98	12/14/21	264.01	120.03 W	0.00	7 of 14 - Option sale to close-call <sub>25</sub>
	2.000	48.00	12/14/21	88.00	40.00 W	0.00	8 of 14 - Option sale to close-call <sub>25</sub>
	9.000	215.98	12/14/21	396.02	180.04 W	0.00	9 of 14 - Option sale to close-call <sub>25</sub>
	7.000	167.98	12/14/21	336.02	168.04 W	0.00	10 of 14 - Option sale to close-call <sub>25</sub>
	1.000	24.00	12/14/21	48.00	24.00 W	0.00	11 of 14 - Option sale to close-call <sub>25</sub>
	1.000	24.00	12/14/21	48.00	24.00 W	0.00	12 of 14 - Option sale to close-call <sub>25</sub>
	1.000	24.00	12/14/21	48.00	24.00 W	0.00	13 of 14 - Option sale to close-call <sub>25</sub>
	9.000	215.97	12/14/21	414.03	198.06 W	0.00	14 of 14 - Option sale to close-call <sub>25</sub>
12/15/21	140.000	3,359.68	Various	6,522.09	3,162.41 W	0.00	Total of 14 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
<i>18 transactions for 12/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	34.00	12/14/21	46.00	12.00 W	0.00	1 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	62.00	28.00 W	0.00	2 of 18 - Option sale to close-call <sub>25</sub>
	13.000	441.97	12/15/21	650.03	208.06 W	0.00	3 of 18 - Option sale to close-call <sub>25</sub>
	46.000	1,563.90	12/15/21	2,300.10	736.20 W	0.00	4 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	51.00	17.00 W	0.00	5 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	51.00	17.00 W	0.00	6 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	51.00	17.00 W	0.00	7 of 18 - Option sale to close-call <sub>25</sub>
	2.000	68.00	12/15/21	94.00	26.00 W	0.00	8 of 18 - Option sale to close-call <sub>25</sub>
	3.000	101.99	12/15/21	141.02	39.03 W	0.00	9 of 18 - Option sale to close-call <sub>25</sub>
	6.000	203.99	12/15/21	282.03	78.04 W	0.00	10 of 18 - Option sale to close-call <sub>25</sub>
	7.000	237.98	12/15/21	357.04	119.06 W	0.00	11 of 18 - Option sale to close-call <sub>25</sub>
	9.000	305.98	12/15/21	423.04	117.06 W	0.00	12 of 18 - Option sale to close-call <sub>25</sub>
	9.000	305.97	12/15/21	441.06	135.09 W	0.00	13 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	55.00	21.00 W	0.00	14 of 18 - Option sale to close-call <sub>25</sub>
	1.000	34.00	12/15/21	39.00	5.00 W	0.00	15 of 18 - Option sale to close-call <sub>25</sub>
	4.000	135.99	12/15/21	172.02	36.03 W	0.00	16 of 18 - Option sale to close-call <sub>25</sub>
	9.000	305.98	12/15/21	387.04	81.06 W	0.00	17 of 18 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)	35.000	1,189.92	12/15/21	1,505.16	315.24 W	0.00	18 of 18 - Option sale to close-call <sub>25</sub>
12/16/21	150.000	5,099.67	Various	7,107.54	2,007.87 W	0.00	Total of 18 transactions
	23 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	19.000	265.95	12/16/21	741.13	...	-475.18	1 of 23 - Option sale to close-call <sub>25</sub>
	10.000	139.98	12/16/21	470.04	...	-330.06	2 of 23 - Option sale to close-call <sub>25</sub>
	9.000	125.98	12/16/21	414.09	...	-288.11	3 of 23 - Option sale to close-call <sub>25</sub>
	10.000	139.97	12/16/21	390.07	...	-250.10	4 of 23 - Option sale to close-call <sub>25</sub>
	7.000	97.98	12/16/21	336.06	...	-238.08	5 of 23 - Option sale to close-call <sub>25</sub>
	5.000	69.99	12/16/21	200.03	...	-130.04	6 of 23 - Option sale to close-call <sub>25</sub>
	5.000	69.98	12/16/21	195.03	...	-125.05	7 of 23 - Option sale to close-call <sub>25</sub>
	4.000	55.99	12/16/21	160.03	...	-104.04	8 of 23 - Option sale to close-call <sub>25</sub>
	4.000	55.99	12/16/21	156.03	...	-100.04	9 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	59.00	...	-45.00	10 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	52.00	...	-38.00	11 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	48.00	...	-34.00	12 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	48.00	...	-34.00	13 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	48.00	...	-34.00	14 of 23 - Option sale to close-call <sub>25</sub>
	1.000	14.00	12/16/21	39.01	...	-25.01	15 of 23 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.00	12/16/21	36.00	...	-22.00	16 of 23 - Option sale to close-call <sup>25</sup>
	6.000	83.98	12/17/21	318.05	...	-234.07	17 of 23 - Option sale to close-call <sup>25</sup>
	5.000	69.98	12/17/21	255.04	...	-185.06	18 of 23 - Option sale to close-call <sup>25</sup>
	3.000	41.99	12/17/21	159.04	...	-117.05	19 of 23 - Option sale to close-call <sup>25</sup>
	3.000	41.99	12/17/21	153.03	...	-111.04	20 of 23 - Option sale to close-call <sup>25</sup>
	1.000	14.00	12/17/21	53.00	...	-39.00	21 of 23 - Option sale to close-call <sup>25</sup>
	1.000	13.98	12/17/21	51.01	...	-37.03	22 of 23 - Option sale to close-call <sup>25</sup>
	1.000	14.00	12/17/21	51.00	...	-37.00	23 of 23 - Option sale to close-call <sup>25</sup>
12/20/21	100.000	1,399.73	Various	4,432.69	...	-3,032.96	Total of 23 transactions
	5 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	1.000	14.00	12/15/21	43.00	29.00 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	28.00	12/16/21	88.00	60.00 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	41.99	12/16/21	132.03	90.04 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	6.000	83.99	12/16/21	264.04	180.05 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	9.000	125.98	12/16/21	396.06	270.08 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/20/21	21.000	293.96	Various	923.13	629.17 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>36,040.25</b>		<b>57,222.32</b>	<b>14,822.32 W</b>	<b>-6,359.75</b>	
SPY 01/21/2022 CALL \$515.00 / CUSIP: / Symbol:							
	7 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	5.000	49.99	09/10/21	130.00	...	-80.01	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	29.99	09/22/21	273.01	...	-243.02	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	19.99	09/22/21	92.00	...	-72.01	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	19.99	09/22/21	62.01	...	-42.02	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	20.00	09/22/21	62.01	...	-42.01	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	10.00	09/22/21	39.00	...	-29.00	6 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 01/21/2022 CALL \$515.00 / CUSIP: / Symbol: (cont'd)							
	5.000	49.99	09/27/21	155.01	...	-105.02	7 of 7 - Option sale to close-call <sup>25</sup>
10/14/21	20.000	199.95	Various	813.04	...	-613.09	Total of 7 transactions
5 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	3.000	29.99	04/12/21	261.00	231.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	20.00	06/01/21	84.00	64.00 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	19.99	06/18/21	54.00	34.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.00	09/08/21	35.00	25.00 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
10/14/21	20.000	199.95	09/10/21	540.00	119.02 W	-221.03	5 of 5 - Option sale to close-call <sup>25</sup>
	28.000	279.93	Various	974.00	473.04 W	-221.03	Total of 5 transactions
<b>Security total:</b>		<b>479.88</b>		<b>1,787.04</b>	<b>473.04 W</b>	<b>-834.12</b>	

SPY 02/18/2022 CALL \$505.00 / CUSIP: / Symbol:

4 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	75.00	12/14/21	68.00	...	7.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	75.00	12/14/21	67.00	...	8.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	79.98	12/15/21	72.00	...	7.98	3 of 4 - Option sale to close-call <sup>25</sup>
	8.000	599.97	12/15/21	576.00	...	23.97	4 of 4 - Option sale to close-call <sup>25</sup>
12/15/21	11.000	829.95	Various	783.00	...	46.95	Total of 4 transactions
14 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	87.00	12/15/21	72.00	...	15.00	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	87.00	12/16/21	83.00	...	4.00	2 of 14 - Option sale to close-call <sup>25</sup>
	29.000	2,522.93	12/16/21	2,407.00	...	115.93	3 of 14 - Option sale to close-call <sup>25</sup>
	10.000	869.97	12/17/21	670.00	...	199.97	4 of 14 - Option sale to close-call <sup>25</sup>
	10.000	869.98	12/17/21	670.00	...	199.98	5 of 14 - Option sale to close-call <sup>25</sup>
	10.000	869.97	12/17/21	650.00	...	219.97	6 of 14 - Option sale to close-call <sup>25</sup>
	10.000	869.97	12/17/21	630.00	...	239.97	7 of 14 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 02/18/2022 CALL \$505.00 / CUSIP: / Symbol: (cont'd)							
	4.000	347.99	12/20/21	192.00	...	155.99	8 of 14 - Option sale to close-call <sup>25</sup>
	4.000	399.99	12/20/21	212.00	...	187.99	9 of 14 - Option sale to close-call <sup>25</sup>
	6.000	599.98	12/20/21	318.00	...	281.98	10 of 14 - Option sale to close-call <sup>25</sup>
	8.000	799.97	12/20/21	384.00	...	415.97	11 of 14 - Option sale to close-call <sup>25</sup>
	9.000	899.98	12/20/21	468.00	...	431.98	12 of 14 - Option sale to close-call <sup>25</sup>
	20.000	1,999.94	12/20/21	1,040.00	...	959.94	13 of 14 - Option sale to close-call <sup>25</sup>
	28.000	2,799.93	12/20/21	1,344.00	...	1,455.93	14 of 14 - Option sale to close-call <sup>25</sup>
12/27/21	150.000	14,024.60	Various	9,140.00	...	4,884.60	Total of 14 transactions
	<b>Security total:</b>	<b>14,854.55</b>		<b>9,923.00</b>	...	<b>4,931.55</b>	
SPY 02/18/2022 CALL \$530.00 / CUSIP: / Symbol:							
8 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	25.00	10/26/21	15.00	...	10.00	1 of 8 - Option sale to close-call <sup>25</sup>
	11.000	285.98	11/02/21	187.00	...	98.98	2 of 8 - Option sale to close-call <sup>25</sup>
	24.000	599.94	11/02/21	408.00	...	191.94	3 of 8 - Option sale to close-call <sup>25</sup>
	25.000	624.94	11/02/21	425.00	...	199.94	4 of 8 - Option sale to close-call <sup>25</sup>
	39.000	1,013.91	11/02/21	663.00	...	350.91	5 of 8 - Option sale to close-call <sup>25</sup>
	51.000	1,325.89	11/02/21	867.00	...	458.89	6 of 8 - Option sale to close-call <sup>25</sup>
	100.000	2,499.78	11/02/21	1,700.00	...	799.78	7 of 8 - Option sale to close-call <sup>25</sup>
	24.000	623.95	11/26/21	456.00	...	167.95	8 of 8 - Option sale to close-call <sup>25</sup>
12/07/21	275.000	6,999.39	Various	4,721.00	...	2,278.39	Total of 8 transactions
4 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	14.00	12/15/21	18.00	...	-4.00	1 of 4 - Option sale to close-call <sup>25</sup>
	16.000	223.97	12/15/21	208.00	...	15.97	2 of 4 - Option sale to close-call <sup>25</sup>
	58.000	811.87	12/15/21	754.00	...	57.87	3 of 4 - Option sale to close-call <sup>25</sup>
	75.000	1,049.84	12/15/21	975.00	...	74.84	4 of 4 - Option sale to close-call <sup>25</sup>
12/16/21	150.000	2,099.68	Various	1,955.00	...	144.68	Total of 4 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 02/18/2022 CALL \$530.00 / CUSIP: / Symbol: (cont'd)							
12/16/21	1.000	14.00	11/26/21	19.00	5.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9,113.07</b>		<b>6,695.00</b>	<b>5.00 W</b>	<b>2,423.07</b>	
SPY 04/23/2021 CALL \$417.00 / CUSIP: / Symbol:							
4 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	2.000	73.99	04/22/21	88.00	...	-14.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	37.00	04/22/21	44.00	...	-7.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	74.00	04/22/21	44.00	...	30.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	73.99	04/22/21	30.00	...	43.99	4 of 4 - Option sale to close-call <sup>25</sup>
04/23/21	7.000	258.98	Various	206.00	...	52.98	Total of 4 transactions
SPY 05/05/2021 CALL \$418.00 / CUSIP: / Symbol:							
05/05/21	1.000	8.98	05/05/21	17.00	...	-8.02	Option sale to close-call <sup>25</sup>
SQ 08/06/2021 CALL \$267.50 / CUSIP: / Symbol:							
11 transactions for 08/05/21. Total proceeds and cost reported to the IRS.							
	2.000	1,153.99	08/03/21	810.00	...	343.99	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	577.00	08/04/21	485.00	...	92.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	577.00	08/04/21	385.00	...	192.00	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	576.99	08/04/21	380.00	...	196.99	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	576.99	08/04/21	377.00	...	199.99	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	577.00	08/04/21	365.00	...	212.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	576.99	08/04/21	345.00	...	231.99	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	577.00	08/04/21	310.00	...	267.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	576.99	08/04/21	285.00	...	291.99	9 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 08/06/2021 CALL \$267.50 / CUSIP: / Symbol: (cont'd)							
	2.000	1,153.99	08/04/21	490.00	...	663.99	10 of 11 - Option sale to close-call <sup>25</sup>
	2.000	1,219.98	08/05/21	1,260.00	...	-40.02	11 of 11 - Option sale to close-call <sup>25</sup>
08/05/21	14.000	8,143.92	Various	5,492.00	...	2,651.92	Total of 11 transactions
SQ 08/06/2021 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	1.000	714.98	08/02/21	675.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	739.98	08/02/21	665.00	...	74.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/02/21	2.000	1,454.96	Various	1,340.00	...	114.96	Total of 2 transactions
SQ 08/13/2021 CALL \$270.00 / CUSIP: / Symbol:							
5 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	3.000	176.99	08/12/21	681.00	...	-504.01	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	117.99	08/12/21	454.00	...	-336.01	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	118.00	08/12/21	434.00	...	-316.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	58.99	08/12/21	193.00	...	-134.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	59.00	08/12/21	193.00	...	-134.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/13/21	9.000	530.97	Various	1,955.00	...	-1,424.03	Total of 5 transactions
SQ 08/13/2021 CALL \$272.50 / CUSIP: / Symbol:							
08/09/21	2.000	2,039.97	08/09/21	1,100.00	...	939.97	Option sale to close-call <sup>25</sup>
SQ 08/20/2021 CALL \$257.50 / CUSIP: / Symbol:							
08/20/21	1.000	404.98	08/19/21	268.00	...	136.98	Option sale to close-call <sup>25</sup>
SQ 08/27/2021 CALL \$272.50 / CUSIP: / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	2.000	719.99	08/24/21	700.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	719.99	08/24/21	630.00	...	89.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/21	4.000	1,439.98	Various	1,330.00	...	109.98	Total of 2 transactions
4 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	2.000	719.99	08/24/21	750.00	30.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 08/27/2021 CALL \$272.50 / CUSIP: / Symbol: (cont'd)							
	1.000	360.00	08/24/21	375.00	15.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	719.99	08/24/21	750.00	30.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	719.99	08/24/21	720.00	0.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/24/21	7.000	2,519.97	Various	2,595.00	75.03 W	0.00	Total of 4 transactions
	8 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	2.000	43.99	08/25/21	826.02	...	-782.03	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/25/21	697.03	...	-675.03	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/25/21	664.03	...	-642.03	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/25/21	630.01	...	-608.01	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	21.99	08/25/21	579.03	...	-557.04	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/25/21	576.02	...	-554.02	6 of 8 - Option sale to close-call <sup>25</sup>
	5.000	109.98	08/25/21	655.00	...	-545.02	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/25/21	342.00	...	-320.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/27/21	13.000	285.96	Various	4,969.14	...	-4,683.18	Total of 8 transactions
	11 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	1.000	18.98	08/24/21	305.01	286.03 W	0.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.98	08/24/21	305.00	286.02 W	0.00	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.00	08/24/21	305.00	288.00 W	0.00	3 of 11 - Option sale to close-call <sup>25</sup>
	2.000	33.99	08/24/21	610.01	576.02 W	0.00	4 of 11 - Option sale to close-call <sup>25</sup>
	2.000	33.99	08/24/21	514.01	480.02 W	0.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.00	08/24/21	536.03	519.03 W	0.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	16.99	08/25/21	503.02	486.03 W	0.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.00	08/25/21	201.00	184.00 W	0.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	16.99	08/25/21	489.00	472.01 W	0.00	9 of 11 - Option sale to close-call <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SQ 08/27/2021 CALL \$272.50 / CUSIP: / Symbol: (cont'd)							
	1.000	16.99	08/25/21	463.01	446.02 W	0.00	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	21.98	08/25/21	471.01	449.03 W	0.00	11 of 11 - Option sale to close-call <sup>25</sup>
08/27/21	13.000	229.89	Various	4,702.10	4,472.21 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>4,475.80</b>		<b>13,596.24</b>	<b>4,547.24 W</b>	<b>-4,573.20</b>	
SQ 10/29/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	634.99	10/19/21	370.00	...	264.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,269.99	10/19/21	770.00	...	499.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/21/21	3.000	1,904.98	Various	1,140.00	...	764.98	Total of 2 transactions
TLRY 05/07/2021 PUT \$17.00 / CUSIP: / Symbol:							
04/29/21	3.000	179.98	04/29/21	129.00	...	50.98	Option sale to close-put <sup>25</sup>
TRQ 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	05/20/21	67.01	...	-62.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	05/20/21	10.00	...	-5.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	2.000	9.97	Various	77.01	...	-67.04	Total of 2 transactions
06/02/21	1.000	4.99	05/10/21	62.00	57.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>14.96</b>		<b>139.01</b>	<b>57.01 W</b>	<b>-67.04</b>	
TSLA 05/14/2021 PUT \$540.00 / CUSIP: / Symbol:							
5 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	1.000	12.00	05/13/21	583.04	...	-571.04	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.00	05/13/21	247.00	...	-235.00	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	11.99	05/13/21	200.00	...	-188.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	11.99	05/13/21	194.00	...	-182.01	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.00	05/13/21	177.00	...	-165.00	5 of 5 - Option sale to close-put <sup>25</sup>
05/14/21	5.000	59.98	Various	1,401.04	...	-1,341.06	Total of 5 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 05/14/2021 PUT \$540.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	1.000	57.98	05/13/21	278.00	220.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	57.98	05/13/21	446.02	388.04 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
05/14/21	2.000	115.96	Various	724.02	608.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>175.94</b>		<b>2,125.06</b>	<b>608.06 W</b>	<b>-1,341.06</b>	
TSLA 05/14/2021 PUT \$550.00 / CUSIP: / Symbol:							
05/14/21	1.000	17.98	05/13/21	567.02	...	-549.04	Option sale to close-put <sup>25</sup>
05/14/21	1.000	17.98	05/13/21	310.00	292.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>35.96</b>		<b>877.02</b>	<b>292.02 W</b>	<b>-549.04</b>	
TSLA 06/18/2021 CALL \$635.00 / CUSIP: / Symbol:							
06/10/21	1.000	864.98	06/09/21	800.00	...	64.98	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
11/05/21	1.000	300.98	11/04/21	1,315.02	...	-1,014.04	Option sale to close-call <sup>25</sup>
11/05/21	1.000	299.98	11/04/21	880.00	580.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>600.96</b>		<b>2,195.02</b>	<b>580.02 W</b>	<b>-1,014.04</b>	
TSLA 11/26/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/24/21	1.000	105.98	11/23/21	350.00	244.02 W	0.00	Option sale to close-call <sup>25</sup>
11/26/21	1.000	12.98	11/23/21	508.02	...	-495.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>118.96</b>		<b>858.02</b>	<b>244.02 W</b>	<b>-495.04</b>	
TSLA 12/17/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
4 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	2,609.97	12/07/21	2,585.02	...	24.95	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,679.97	12/07/21	2,585.02	...	94.95	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,694.97	12/07/21	2,500.00	...	194.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,639.98	12/07/21	2,275.00	...	364.98	4 of 4 - Option sale to close-call <sup>25</sup>
12/07/21	4.000	10,624.89	Various	9,945.04	...	679.85	Total of 4 transactions
12/07/21	2.000	5,279.96	12/07/21	5,450.00	170.04 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/17/2021 CALL \$1,100.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2,854.98	12/07/21	2,460.00	...	394.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	5,419.96	12/07/21	4,960.00	...	459.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,854.98	12/07/21	2,209.00	...	645.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/08/21	4.000	11,129.92	Various	9,629.00	...	1,500.92	Total of 3 transactions
	<b>Security total:</b>	<b>27,034.77</b>		<b>25,024.04</b>	<b>170.04 W</b>	<b>2,180.77</b>	
TSLA 12/17/2021 PUT \$1,000.00 / CUSIP: / Symbol:							
3 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2,524.98	12/09/21	2,465.00	...	59.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	5,049.97	12/09/21	4,830.00	...	219.97	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	5,049.97	12/09/21	4,810.00	...	239.97	3 of 3 - Option sale to close-put <sup>25</sup>
12/09/21	5.000	12,624.92	Various	12,105.00	...	519.92	Total of 3 transactions
TSLA 12/17/2021 PUT \$860.00 / CUSIP: / Symbol:							
8 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	116.00	12/14/21	165.00	...	-49.00	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	116.00	12/14/21	139.00	...	-23.00	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	349.98	12/14/21	338.02	...	11.96	3 of 8 - Option sale to close-put <sup>25</sup>
	2.000	231.99	12/15/21	598.00	...	-366.01	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	116.98	12/15/21	438.04	...	-321.06	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	115.99	12/15/21	120.00	...	-4.01	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	115.99	12/15/21	103.00	...	12.99	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	116.00	12/15/21	102.00	...	14.00	8 of 8 - Option sale to close-put <sup>25</sup>
12/15/21	9.000	1,278.93	Various	2,003.06	...	-724.13	Total of 8 transactions
4 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	2.000	409.98	12/14/21	660.00	250.02 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	599.98	12/14/21	910.02	310.04 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	359.98	12/14/21	530.02	170.04 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	116.00	12/14/21	346.04	230.04 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
12/15/21	6.000	1,485.94	Various	2,446.08	960.14 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>2,764.87</b>		<b>4,449.14</b>	<b>960.14 W</b>	<b>-724.13</b>	

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/17/2021 PUT \$920.00 / CUSIP: / Symbol:							
3 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	719.98	12/17/21	1,240.00	...	-520.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	804.98	12/17/21	1,240.00	...	-435.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	1,194.98	12/17/21	1,080.00	...	114.98	3 of 3 - Option sale to close-put <sup>25</sup>
12/17/21	3.000	2,719.94	Various	3,560.00	...	-840.06	Total of 3 transactions
TSLA 12/23/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
3 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	494.99	12/17/21	415.00	...	79.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	989.99	12/17/21	880.00	...	109.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	495.00	12/17/21	335.00	...	160.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/17/21	4.000	1,979.98	Various	1,630.00	...	349.98	Total of 3 transactions
10 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	459.99	12/16/21	1,110.00	650.01 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	459.99	12/16/21	1,085.00	625.01 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	479.98	12/16/21	1,150.01	670.03 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	599.98	12/16/21	1,045.01	445.03 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	619.98	12/16/21	1,110.03	490.05 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	494.99	12/16/21	870.03	375.04 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	495.00	12/17/21	865.05	370.05 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	434.99	12/17/21	710.04	275.05 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	434.99	12/17/21	870.05	435.06 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	3.000	1,304.99	12/17/21	1,500.00	195.01 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
12/17/21	12.000	5,784.88	Various	10,315.22	4,530.34 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/23/2021 CALL \$1,050.00 / CUSIP: / Symbol: (cont'd)							
9 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	1.000	23.00	12/17/21	1,197.05	...	-1,174.05	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/17/21	1,162.06	...	-1,139.06	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	45.99	12/17/21	1,020.01	...	-974.02	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/17/21	857.01	...	-834.01	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/17/21	761.01	...	-738.01	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	22.99	12/17/21	200.00	...	-177.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/17/21	197.00	...	-174.00	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	45.99	12/20/21	184.00	...	-138.01	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/20/21	87.00	...	-64.00	9 of 9 - Option sale to close-call <sup>25</sup>
12/21/21	11.000	252.97	Various	5,665.14	...	-5,412.17	Total of 9 transactions
4 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	1.000	23.00	12/17/21	775.05	752.05 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	22.99	12/17/21	500.00	477.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	23.00	12/17/21	880.06	857.06 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	22.99	12/17/21	510.00	487.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/21/21	4.000	91.98	Various	2,665.11	2,573.13 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>8,109.81</b>		<b>20,275.47</b>	<b>7,103.47 W</b>	<b>-5,062.19</b>	
TSLA 12/23/2021 CALL \$960.00 / CUSIP: / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	3,739.97	12/15/21	4,015.02	...	-275.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,709.97	12/15/21	3,095.03	...	614.94	2 of 2 - Option sale to close-call <sup>25</sup>
12/15/21	2.000	7,449.94	Various	7,110.05	...	339.89	Total of 2 transactions
12/15/21	2.000	7,299.95	12/15/21	7,520.00	220.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>14,749.89</b>		<b>14,630.05</b>	<b>220.05 W</b>	<b>339.89</b>	
TSLA 12/23/2021 CALL \$970.00 / CUSIP: / Symbol:							
12/15/21	1.000	3,144.97	12/15/21	3,060.00	...	84.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 12/23/2021 CALL \$975.00 / CUSIP: / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	1.000	2,994.97	12/14/21	3,020.00	...	-25.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,994.97	12/14/21	3,020.00	...	-25.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/21	2.000	5,989.94	Various	6,040.00	...	-50.06	Total of 2 transactions
TSLA 12/23/2021 PUT \$900.00 / CUSIP: / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	2.000	5,059.96	12/14/21	4,400.00	...	659.96	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	7,934.94	12/14/21	7,110.00	...	824.94	2 of 2 - Option sale to close-put <sup>25</sup>
12/14/21	5.000	12,994.90	Various	11,510.00	...	1,484.90	Total of 2 transactions
UAL 05/21/2021 CALL \$57.50 / CUSIP: / Symbol:							
5 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	05/20/21	174.06	...	-168.08	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/20/21	174.06	...	-168.08	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.99	05/20/21	20.01	...	-14.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	6.00	05/20/21	19.00	...	-13.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.99	05/20/21	7.01	...	-1.02	5 of 5 - Option sale to close-call <sup>25</sup>
05/20/21	5.000	29.94	Various	394.14	...	-364.20	Total of 5 transactions
10 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	5.98	05/18/21	110.00	104.02 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/18/21	110.00	104.02 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/19/21	137.02	131.04 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/19/21	137.02	131.04 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/19/21	155.04	149.06 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.98	05/19/21	155.04	149.06 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	6.00	05/19/21	173.06	167.06 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 05/21/2021 CALL \$57.50 / CUSIP: / Symbol: (cont'd)							
	1.000	6.00	05/19/21	173.06	167.06 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	2.000	11.99	05/19/21	38.00	26.01 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	5.99	05/20/21	7.00	1.01 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
05/20/21	11.000	65.86	Various	1,195.24	1,129.38 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>95.80</b>		<b>1,589.38</b>	<b>1,129.38 W</b>	<b>-364.20</b>	
UAL 06/04/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/01/21	2.000	189.98	06/01/21	170.00	...	19.98	Option sale to close-call <sup>25</sup>
VXX 03/12/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	7.000	41.98	03/09/21	294.00	...	-252.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	11.98	03/09/21	146.01	...	-134.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	6.00	03/09/21	41.00	...	-35.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/12/21	10.000	59.96	Various	481.01	...	-421.05	Total of 3 transactions
03/12/21	2.000	11.99	03/08/21	98.00	86.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>71.95</b>		<b>579.01</b>	<b>86.01 W</b>	<b>-421.05</b>	
X 06/11/2021 CALL \$28.50 / CUSIP: / Symbol:							
13 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	3.000	20.99	06/11/21	219.02	...	-198.03	1 of 13 - Option sale to close-call <sup>25</sup>
	5.000	34.99	06/11/21	130.00	...	-95.01	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	6.99	06/11/21	51.00	...	-44.01	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	51.00	...	-44.00	4 of 13 - Option sale to close-call <sup>25</sup>
	3.000	20.99	06/11/21	57.00	...	-36.01	5 of 13 - Option sale to close-call <sup>25</sup>
	3.000	20.99	06/11/21	57.00	...	-36.01	6 of 13 - Option sale to close-call <sup>25</sup>

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
X 06/11/2021 CALL \$28.50 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	06/11/21	42.02	...	-35.02	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	42.02	...	-35.02	8 of 13 - Option sale to close-call <sup>25</sup>
	3.000	20.99	06/11/21	48.00	...	-27.01	9 of 13 - Option sale to close-call <sup>25</sup>
	2.000	13.99	06/11/21	38.00	...	-24.01	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	26.00	...	-19.00	11 of 13 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	19.00	...	-12.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	19.00	...	-12.00	13 of 13 - Option sale to close-call <sup>25</sup>
06/11/21	26.000	181.93	Various	799.06	...	-617.13	Total of 13 transactions
	5 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	4.000	27.99	06/11/21	228.02	150.02 W	-50.01	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	34.98	06/11/21	160.00	125.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/11/21	32.00	25.00 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	6.98	06/11/21	26.00	19.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	6.98	06/11/21	26.00	19.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/11/21	12.000	83.93	Various	472.02	338.08 W	-50.01	Total of 5 transactions
	<b>Security total:</b>	<b>265.86</b>		<b>1,271.08</b>	<b>338.08 W</b>	<b>-667.14</b>	
X 06/18/2021 CALL \$27.00 / CUSIP: / Symbol:							
06/01/21	10.000	1,419.97	06/01/21	1,410.00	...	9.97	Option sale to close-call <sup>25</sup>
X 11/19/2021 CALL \$24.00 / CUSIP: / Symbol:							
09/27/21	2.000	269.98	09/22/21	242.00	...	27.98	Option sale to close-call <sup>25</sup>
XL 04/16/2021 CALL \$11.00 / CUSIP: / Symbol:							
	6 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	04/07/21	315.00	...	-315.00	1 of 6 - Option expiration- long call
	2.000	0.00	04/07/21	180.00	...	-180.00	2 of 6 - Option expiration- long call
	2.000	0.00	04/07/21	128.00	...	-128.00	3 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 04/16/2021 CALL \$11.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	04/09/21	20.00	...	-20.00	4 of 6 - Option expiration- long call
	1.000	0.00	04/09/21	10.00	...	-10.00	5 of 6 - Option expiration- long call
	1.000	0.00	04/09/21	10.00	...	-10.00	6 of 6 - Option expiration- long call
04/16/21	11.000	0.00	Various	663.00	...	-663.00	Total of 6 transactions
XOM 06/11/2021 CALL \$63.00 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	9.000	449.97	06/09/21	459.00	...	-9.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	06/09/21	51.00	...	-1.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	10.000	499.95	Various	510.00	...	-10.05	Total of 2 transactions
YELP 02/18/2022 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	189.99	11/05/21	350.00	160.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.99	11/10/21	350.00	160.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/06/21	2.000	379.98	Various	700.00	320.02 W	0.00	Total of 2 transactions
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	205.00	11/22/21	410.01	...	-205.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	204.99	12/01/21	180.00	...	24.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	409.99	Various	590.01	...	-180.02	Total of 2 transactions
12/07/21	1.000	204.99	11/11/21	490.01	285.02 W	0.00	Option sale to close-call <sup>25</sup>
12/21/21	1.000	154.98	12/03/21	460.02	...	-305.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,149.94</b>		<b>2,240.04</b>	<b>605.04 W</b>	<b>-485.06</b>	
ZM 08/13/2021 CALL \$400.00 / CUSIP: / Symbol:							
9 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	2.000	29.99	08/10/21	880.00	850.01 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	08/10/21	350.00	335.00 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	08/10/21	350.00	335.00 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	08/10/21	350.00	335.00 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	14.99	08/10/21	370.00	355.01 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	08/10/21	370.00	355.00 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	14.99	08/10/21	302.00	287.01 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	14.99	08/10/21	705.01	690.02 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 08/13/2021 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
08/12/21	1.000	14.99	08/10/21	685.00	670.01 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
	10.000	149.95	Various	4,362.01	4,212.06 W	0.00	Total of 9 transactions
	9 transactions for 08/13/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	08/10/21	805.02	...	-805.02	1 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	550.00	...	-550.00	2 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	550.00	...	-550.00	3 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	530.01	...	-530.01	4 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	510.00	...	-510.00	5 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	470.00	...	-470.00	6 of 9 - Option expiration- long call
	1.000	0.00	08/10/21	402.01	...	-402.01	7 of 9 - Option expiration- long call
	1.000	0.00	08/11/21	685.01	...	-685.01	8 of 9 - Option expiration- long call
	2.000	0.00	08/11/21	30.00	...	-30.00	9 of 9 - Option expiration- long call
08/13/21	10.000	0.00	Various	4,532.05	...	-4,532.05	Total of 9 transactions
	<b>Security total:</b>	<b>149.95</b>		<b>8,894.06</b>	<b>4,212.06 W</b>	<b>-4,532.05</b>	
ZM 12/17/2021 PUT \$175.00 / CUSIP: / Symbol:							
	3 transactions for 11/26/21. Total proceeds and cost reported to the IRS.						
	1.000	79.99	11/23/21	410.00	...	-330.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	80.00	11/23/21	280.00	...	-200.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	79.99	11/24/21	160.00	...	-80.01	3 of 3 - Option sale to close-put <sup>25</sup>
11/26/21	3.000	239.98	Various	850.00	...	-610.02	Total of 3 transactions
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
	2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.						
	100.000	196.00	12/22/21	235.00	...	-39.00	1 of 2 - Sale <sup>25</sup>
	50.000	98.00	12/22/21	117.48	...	-19.48	2 of 2 - Sale <sup>25</sup>
12/23/21	150.000	294.00	Various	352.48	...	-58.48	Total of 2 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.						
	1.000	8.14	02/09/21	12.58	...	-4.44	1 of 3 - Sale <sup>25</sup>
	19.000	154.68	02/23/21	188.25	...	-33.57	2 of 3 - Sale <sup>25</sup>
	1.000	8.14	02/23/21	9.91	...	-1.77	3 of 3 - Sale <sup>25</sup>
03/24/21	21.000	170.96	Various	210.74	...	-39.78	Total of 3 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	2.000	46.96	02/23/21	78.78	31.82 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	71.43	02/23/21	118.17	46.74 W	0.00	2 of 2 - Sale <sup>25</sup>
03/24/21	5.000	118.39	Various	196.95	78.56 W	0.00	Total of 2 transactions
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	30.000	573.03	02/23/21	1,181.74	121.74 W	-486.97	1 of 2 - Sale <sup>25</sup>
	10.000	191.20	02/23/21	393.91	202.71 W	0.00	2 of 2 - Sale <sup>25</sup>
05/07/21	40.000	764.23	Various	1,575.65	324.45 W	-486.97	Total of 2 transactions
2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	4.000	71.73	02/23/21	157.57	...	-85.84	1 of 2 - Sale <sup>25</sup>
	2.000	35.92	02/23/21	78.78	...	-42.86	2 of 2 - Sale <sup>25</sup>
05/13/21	6.000	107.65	Various	236.35	...	-128.70	Total of 2 transactions
9 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	17.000	332.19	02/23/21	669.65	...	-337.46	1 of 9 - Sale <sup>25</sup>
	2.000	39.08	02/23/21	110.62	...	-71.54	2 of 9 - Sale <sup>25</sup>
	10.000	195.40	02/24/21	322.59	...	-127.19	3 of 9 - Sale <sup>25</sup>
	10.000	195.41	02/24/21	320.30	...	-124.89	4 of 9 - Sale <sup>25</sup>
	7.000	136.78	02/24/21	226.59	...	-89.81	5 of 9 - Sale <sup>25</sup>
	3.000	58.62	02/24/21	143.85	...	-85.23	6 of 9 - Sale <sup>25</sup>
	5.000	97.70	04/07/21	217.10	...	-119.40	7 of 9 - Sale <sup>25</sup>
	5.000	97.70	04/20/21	195.70	...	-98.00	8 of 9 - Sale <sup>25</sup>
	5.000	97.70	04/20/21	195.60	...	-97.90	9 of 9 - Sale <sup>25</sup>
05/18/21	64.000	1,250.58	Various	2,402.00	...	-1,151.42	Total of 9 transactions
	<b>Security total:</b>	<b>2,240.85</b>		<b>4,410.95</b>	<b>403.01 W</b>	<b>-1,767.09</b>	
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
02/09/21	5.000	49.60	12/08/20	34.05	...	15.55	Sale <sup>25</sup>
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
4 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	1.000	69.33	01/28/21	49.80	...	19.53	1 of 4 - Sale <sup>25</sup>
	2.000	139.80	01/28/21	99.61	...	40.19	2 of 4 - Sale <sup>25</sup>
	4.000	283.29	01/28/21	199.22	...	84.07	3 of 4 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	5.000	350.36	01/28/21	249.02	...	101.34	4 of 4 - Sale <sup>25</sup>
05/13/21	12.000	842.78	Various	597.65	...	245.13	Total of 4 transactions
	4 transactions for 05/27/21. Total proceeds and cost reported to the IRS.						
	6.000	491.21	01/28/21	288.24	...	202.97	1 of 4 - Sale <sup>25</sup>
	8.000	654.95	01/28/21	398.44	...	256.51	2 of 4 - Sale <sup>25</sup>
	4.000	327.47	04/12/21	266.56	...	60.91	3 of 4 - Sale <sup>25</sup>
	3.000	245.61	04/20/21	170.07	...	75.54	4 of 4 - Sale <sup>25</sup>
05/27/21	21.000	1,719.24	Various	1,123.31	...	595.93	Total of 4 transactions
	6 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	1.000	72.45	04/20/21	56.69	...	15.76	1 of 6 - Sale <sup>25</sup>
	10.000	724.52	07/27/21	680.73	...	43.79	2 of 6 - Sale <sup>25</sup>
	10.000	724.53	07/27/21	665.47	...	59.06	3 of 6 - Sale <sup>25</sup>
	10.000	724.53	07/27/21	664.33	...	60.20	4 of 6 - Sale <sup>25</sup>
	4.000	289.81	08/04/21	255.74	...	34.07	5 of 6 - Sale <sup>25</sup>
	10.000	724.53	08/04/21	640.63	...	83.90	6 of 6 - Sale <sup>25</sup>
09/02/21	45.000	3,260.37	Various	2,963.59	...	296.78	Total of 6 transactions
	2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.						
	1.000	75.05	08/04/21	63.94	...	11.11	1 of 2 - Sale <sup>25</sup>
	59.000	4,427.92	09/21/21	4,059.78	...	368.14	2 of 2 - Sale <sup>25</sup>
09/22/21	60.000	4,502.97	Various	4,123.72	...	379.25	Total of 2 transactions
	<b>Security total:</b>	<b>10,325.36</b>		<b>8,808.27</b>	...	<b>1,517.09</b>	
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol:							
	2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.						
	5.000	135.25	01/27/21	80.33	...	54.92	1 of 2 - Sale <sup>25</sup>
	5.000	135.75	01/27/21	80.32	...	55.43	2 of 2 - Sale <sup>25</sup>
03/10/21	10.000	271.00	Various	160.65	...	110.35	Total of 2 transactions
03/24/21	4.000	96.28	01/27/21	64.26	...	32.02	Sale <sup>25</sup>
	2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.						
	2.000	52.27	01/27/21	32.13	...	20.14	1 of 2 - Sale <sup>25</sup>
	4.000	104.64	01/27/21	64.26	...	40.38	2 of 2 - Sale <sup>25</sup>
05/13/21	6.000	156.91	Various	96.39	...	60.52	Total of 2 transactions

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol: (cont'd)							
2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	30.000	639.65	01/27/21	481.95	...	157.70	1 of 2 - Sale <sup>25</sup>
	9.000	191.89	03/16/21	232.56	...	-40.67	2 of 2 - Sale <sup>25</sup>
09/21/21	39.000	831.54	Various	714.51	...	117.03	Total of 2 transactions
	<b>Security total:</b>	<b>1,355.73</b>		<b>1,035.81</b>	...	<b>319.92</b>	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/31/21	1.000	12.46	02/08/21	11.50	...	0.96	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
7 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	20.000	425.25	04/14/21	425.55	...	-0.30	1 of 7 - Sale <sup>25</sup>
	20.000	425.25	04/14/21	419.40	...	5.85	2 of 7 - Sale <sup>25</sup>
	10.000	212.62	04/15/21	203.00	...	9.62	3 of 7 - Sale <sup>25</sup>
	10.000	212.62	04/20/21	179.85	...	32.77	4 of 7 - Sale <sup>25</sup>
	10.000	212.62	05/05/21	188.15	...	24.47	5 of 7 - Sale <sup>25</sup>
	10.000	212.62	05/07/21	183.75	...	28.87	6 of 7 - Sale <sup>25</sup>
	9.000	191.37	05/10/21	149.56	...	41.81	7 of 7 - Sale <sup>25</sup>
05/24/21	89.000	1,892.35	Various	1,749.26	...	143.09	Total of 7 transactions
05/24/21	10.000	212.62	04/12/21	214.90	0.23 W	-2.05	Sale <sup>25</sup>
12/06/21	1.000	16.46	05/10/21	16.85	...	-0.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,121.43</b>		<b>1,981.01</b>	<b>0.23 W</b>	<b>140.65</b>	
GEE GROUP INC. / CUSIP: 36165A102 / Symbol:							
05/13/21	1,000.000	540.37	05/11/21	540.00	...	0.37	Sale <sup>25</sup>
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	6.000	328.20	01/19/21	318.23	...	9.97	1 of 2 - Sale <sup>25</sup>
	14.000	759.14	01/19/21	742.54	...	16.60	2 of 2 - Sale <sup>25</sup>
03/09/21	20.000	1,087.34	Various	1,060.77	...	26.57	Total of 2 transactions
03/09/21	24.000	1,301.39	01/21/21	1,341.60	5.03 W	-35.18	Sale <sup>25</sup>
03/12/21	1.000	57.62	01/21/21	55.90	...	1.72	Sale <sup>25</sup>
05/07/21	10.000	581.34	01/21/21	559.00	...	22.34	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol: (cont'd)							
2 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	2.000	109.68	01/21/21	111.80	...	-2.12	1 of 2 - Sale <sup>25</sup>
	1.000	54.87	01/21/21	55.90	...	-1.03	2 of 2 - Sale <sup>25</sup>
05/13/21	3.000	164.55	Various	167.70	...	-3.15	Total of 2 transactions
05/13/21	5.000	274.40	01/21/21	279.50	5.10 W	0.00	Sale <sup>25</sup>
9 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	121.08	01/21/21	111.80	...	9.28	1 of 9 - Sale <sup>25</sup>
	50.000	3,027.06	01/25/21	2,637.33	...	389.73	2 of 9 - Sale <sup>25</sup>
	10.000	605.41	01/27/21	490.40	...	115.01	3 of 9 - Sale <sup>25</sup>
	60.000	3,632.48	01/27/21	2,959.70	...	672.78	4 of 9 - Sale <sup>25</sup>
	2.000	121.08	01/28/21	99.89	...	21.19	5 of 9 - Sale <sup>25</sup>
	10.000	605.41	01/28/21	500.80	...	104.61	6 of 9 - Sale <sup>25</sup>
	20.000	1,210.83	01/28/21	998.20	...	212.63	7 of 9 - Sale <sup>25</sup>
	3.000	181.63	02/19/21	162.19	...	19.44	8 of 9 - Sale <sup>25</sup>
	4.000	242.16	04/20/21	225.44	...	16.72	9 of 9 - Sale <sup>25</sup>
05/27/21	161.000	9,747.14	Various	8,185.75	...	1,561.39	Total of 9 transactions
09/28/21	1.000	53.42	04/20/21	56.36	...	-2.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,267.20</b>		<b>11,706.58</b>	<b>10.13 W</b>	<b>1,570.75</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2.10	07/07/20	2.25	...	-0.15	1 of 3 - Sale <sup>25</sup>
	300.000	630.28	01/27/21	735.00	...	-104.72	2 of 3 - Sale <sup>25</sup>
	100.000	210.09	01/28/21	217.99	...	-7.90	3 of 3 - Sale <sup>25</sup>
02/09/21	401.000	842.47	Various	955.24	...	-112.77	Total of 3 transactions
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	50.000	83.99	03/15/21	83.00	...	0.99	1 of 3 - Sale <sup>25</sup>
	50.000	83.99	03/15/21	82.75	...	1.24	2 of 3 - Sale <sup>25</sup>
	500.000	839.94	03/15/21	829.30	...	10.64	3 of 3 - Sale <sup>25</sup>
03/18/21	600.000	1,007.92	Various	995.05	...	12.87	Total of 3 transactions

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Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
4 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	10.000	259.35	07/26/21	258.59	...	0.76	1 of 4 - Sale <sup>25</sup>
	90.000	2,334.19	07/26/21	2,326.50	...	7.69	2 of 4 - Sale <sup>25</sup>
	20.000	518.71	07/29/21	478.96	...	39.75	3 of 4 - Sale <sup>25</sup>
	29.000	752.13	08/23/21	631.04	...	121.09	4 of 4 - Sale <sup>25</sup>
09/22/21	149.000	3,864.38	Various	3,695.09	...	169.29	Total of 4 transactions
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	1.000	25.94	05/18/21	39.72	13.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,296.77	07/26/21	1,298.00	1.23 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/21	51.000	1,322.71	Various	1,337.72	15.01 W	0.00	Total of 2 transactions
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	30.53	08/23/21	35.54	...	-5.01	1 of 3 - Sale <sup>25</sup>
	50.000	1,526.62	09/22/21	1,314.51	...	212.11	2 of 3 - Sale <sup>25</sup>
	149.000	4,549.33	09/22/21	3,913.55	...	635.78	3 of 3 - Sale <sup>25</sup>
10/28/21	200.000	6,106.48	Various	5,263.60	...	842.88	Total of 3 transactions
	<b>Security total:</b>	<b>11,293.57</b>		<b>10,296.41</b>	<b>15.01 W</b>	<b>1,012.17</b>	
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
04/28/21	34.000	1,285.53	04/28/21	1,232.50	...	53.03	Sale <sup>25</sup>
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
09/28/21	1.000	11.77	04/30/21	14.73	...	-2.96	Sale <sup>25</sup>
MIND MEDICINE (MINDMED) INC. SUBORDINATE VOTING SHARES / CUSIP: 60255C109 / Symbol:							
06/07/21	1,000.000	3,480.76	06/07/21	3,449.20	...	31.56	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	2.000	78.82	02/19/21	110.76	31.94 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	118.19	02/19/21	166.13	47.94 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	117.21	02/19/21	166.13	48.92 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	78.16	02/19/21	110.76	32.60 W	0.00	4 of 4 - Sale <sup>25</sup>
03/24/21	10.000	392.38	Various	553.78	161.40 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>7 transactions for 09/21/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	1,049.75	03/09/21	1,183.16	...	-133.41	1 of 7 - Sale <sup>25</sup>
	3.000	104.98	03/09/21	167.08	...	-62.10	2 of 7 - Sale <sup>25</sup>
	3.000	104.98	03/09/21	166.10	...	-61.12	3 of 7 - Sale <sup>25</sup>
	10.000	349.92	03/09/21	393.86	...	-43.94	4 of 7 - Sale <sup>25</sup>
	2.000	69.98	03/09/21	111.37	...	-41.39	5 of 7 - Sale <sup>25</sup>
	2.000	69.98	03/09/21	110.71	...	-40.73	6 of 7 - Sale <sup>25</sup>
	10.000	349.92	04/06/21	400.25	...	-50.33	7 of 7 - Sale <sup>25</sup>
09/21/21	60.000	2,099.51	Various	2,532.53	...	-433.02	Total of 7 transactions
<i>2 transactions for 09/21/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	1,049.75	02/19/21	1,661.33	611.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	349.92	02/19/21	542.77	192.85 W	0.00	2 of 2 - Sale <sup>25</sup>
09/21/21	40.000	1,399.67	Various	2,204.10	804.43 W	0.00	Total of 2 transactions
<i>5 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	410.80	07/27/21	389.20	...	21.60	1 of 5 - Sale <sup>25</sup>
	10.000	410.79	07/27/21	388.28	...	22.51	2 of 5 - Sale <sup>25</sup>
	20.000	821.59	08/23/21	1,161.52	...	-339.93	3 of 5 - Sale <sup>25</sup>
	10.000	410.79	08/23/21	581.15	...	-170.36	4 of 5 - Sale <sup>25</sup>
	9.000	369.72	08/23/21	513.12	...	-143.40	5 of 5 - Sale <sup>25</sup>
11/29/21	59.000	2,423.69	Various	3,033.27	...	-609.58	Total of 5 transactions
12/03/21	1.000	31.94	08/23/21	57.01	...	-25.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,347.19</b>		<b>8,380.69</b>	<b>965.83 W</b>	<b>-1,067.67</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	25.08	02/23/21	16.28	...	8.80	1 of 3 - Sale <sup>25</sup>
	23.000	288.42	02/23/21	186.99	...	101.43	2 of 3 - Sale <sup>25</sup>
	5.000	62.70	02/26/21	38.93	...	23.77	3 of 3 - Sale <sup>25</sup>
03/03/21	30.000	376.20	Various	242.20	...	134.00	Total of 3 transactions
03/24/21	10.000	79.81	03/03/21	124.80	44.99 W	0.00	Sale <sup>25</sup>
<i>9 transactions for 09/02/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	152.82	03/03/21	249.60	...	-96.78	1 of 9 - Sale <sup>25</sup>
	2.000	15.28	03/09/21	25.64	...	-10.36	2 of 9 - Sale <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	7.64	03/09/21	12.81	...	-5.17	3 of 9 - Sale <sup>25</sup>
	7.000	53.49	04/06/21	76.50	...	-23.01	4 of 9 - Sale <sup>25</sup>
	93.000	710.59	04/06/21	597.95	...	112.64	5 of 9 - Sale <sup>25</sup>
	2.000	15.28	04/07/21	13.84	...	1.44	6 of 9 - Sale <sup>25</sup>
	10.000	76.41	05/07/21	80.10	...	-3.69	7 of 9 - Sale <sup>25</sup>
	5.000	38.20	05/07/21	40.98	...	-2.78	8 of 9 - Sale <sup>25</sup>
	30.000	229.23	07/26/21	207.00	...	22.23	9 of 9 - Sale <sup>25</sup>
09/02/21	170.000	1,298.94	Various	1,304.42	...	-5.48	Total of 9 transactions
	<b>Security total:</b>	<b>1,754.95</b>		<b>1,671.42</b>	<b>44.99 W</b>	<b>128.52</b>	
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	295.000	7,593.42	11/27/20	8,833.78	...	-1,240.36	1 of 4 - Sale <sup>25</sup>
	100.000	2,574.04	11/27/20	3,070.50	...	-496.46	2 of 4 - Sale <sup>25</sup>
	10.000	257.40	11/27/20	294.30	...	-36.90	3 of 4 - Sale <sup>25</sup>
	7.000	180.18	11/30/20	196.48	...	-16.30	4 of 4 - Sale <sup>25</sup>
01/21/21	412.000	10,605.04	Various	12,395.06	...	-1,790.02	Total of 4 transactions
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	19.000	2,185.92	11/10/21	2,146.62	...	39.30	1 of 3 - Sale <sup>25</sup>
	1.000	115.05	11/22/21	125.76	...	-10.71	2 of 3 - Sale <sup>25</sup>
	2.000	230.09	11/22/21	226.85	...	3.24	3 of 3 - Sale <sup>25</sup>
12/06/21	22.000	2,531.06	Various	2,499.23	...	31.83	Total of 3 transactions
12/06/21	1.000	100.65	11/10/21	112.98	12.33 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,631.71</b>		<b>2,612.21</b>	<b>12.33 W</b>	<b>31.83</b>	
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	43.000	394.74	05/28/21	378.40	...	16.34	1 of 2 - Sale <sup>25</sup>
	156.000	1,432.07	05/28/21	1,372.80	...	59.27	2 of 2 - Sale <sup>25</sup>
06/02/21	199.000	1,826.81	Various	1,751.20	...	75.61	Total of 2 transactions
09/28/21	1.000	5.14	05/28/21	8.80	...	-3.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,831.95</b>		<b>1,760.00</b>	...	<b>71.95</b>	

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Account 643886369

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
05/13/21	7.000	94.33	05/05/21	114.69	20.36 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	13.000	241.36	05/05/21	213.43	...	27.93	1 of 4 - Sale <sup>25</sup>
	14.000	259.93	05/05/21	228.90	...	31.03	2 of 4 - Sale <sup>25</sup>
	15.000	278.49	05/05/21	247.02	...	31.47	3 of 4 - Sale <sup>25</sup>
	43.000	798.35	05/05/21	704.53	...	93.82	4 of 4 - Sale <sup>25</sup>
06/02/21	85.000	1,578.13	Various	1,393.88	...	184.25	Total of 4 transactions
06/02/21	7.000	129.96	05/05/21	135.29	0.76 W	-4.57	Sale <sup>25</sup>
09/28/21	1.000	10.75	05/05/21	17.11	...	-6.36	Sale <sup>25</sup>
11/10/21	200.000	2,528.26	11/08/21	2,498.00	...	30.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,341.43</b>		<b>4,158.97</b>	<b>21.12 W</b>	<b>203.58</b>	
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:							
	3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	5.000	160.17	02/16/21	48.50	...	111.67	1 of 3 - Sale <sup>25</sup>
	90.000	2,883.09	02/16/21	1,043.93	...	1,839.16	2 of 3 - Sale <sup>25</sup>
	100.000	3,203.44	02/16/21	1,006.26	...	2,197.18	3 of 3 - Sale <sup>25</sup>
02/16/21	195.000	6,246.70	Various	2,098.69	...	4,148.01	Total of 3 transactions
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
11/10/21	200.000	2,448.68	10/29/21	2,580.00	...	-131.32	Sale <sup>25</sup>
CI&T INC / CUSIP: G21307106 / Symbol:							
11/29/21	10.000	124.40	11/10/21	290.85	...	-166.45	Sale <sup>25</sup>
11/29/21	10.000	124.40	11/10/21	212.75	88.35 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>248.80</b>		<b>503.60</b>	<b>88.35 W</b>	<b>-166.45</b>	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
	7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	80.000	144.79	02/09/21	285.03	...	-140.24	1 of 7 - Sale <sup>25</sup>
	100.000	180.98	02/09/21	302.78	...	-121.80	2 of 7 - Sale <sup>25</sup>
	83.000	150.21	02/09/21	242.78	...	-92.57	3 of 7 - Sale <sup>25</sup>
	20.000	36.20	02/09/21	71.26	...	-35.06	4 of 7 - Sale <sup>25</sup>
	17.000	30.77	02/09/21	50.15	...	-19.38	5 of 7 - Sale <sup>25</sup>

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Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol: (cont'd)							
	30.000	54.29	02/09/21	67.65	...	-13.36	6 of 7 - Sale <sup>25</sup>
	17.000	30.77	02/09/21	39.86	...	-9.09	7 of 7 - Sale <sup>25</sup>
02/23/21	347.000	628.01	Various	1,059.51	...	-431.50	Total of 7 transactions
	5 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	80.000	144.79	02/09/21	191.19	46.40 W	0.00	1 of 5 - Sale <sup>25</sup>
	120.000	217.19	02/09/21	286.79	69.60 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	180.99	02/09/21	238.99	58.00 W	0.00	3 of 5 - Sale <sup>25</sup>
	80.000	144.79	02/09/21	242.22	97.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	36.20	02/09/21	60.56	24.36 W	0.00	5 of 5 - Sale <sup>25</sup>
02/23/21	400.000	723.96	Various	1,019.75	295.79 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,351.97</b>		<b>2,079.26</b>	<b>295.79 W</b>	<b>-431.50</b>	
JOBY AVIATION, INC. / CUSIP: G65163100 / Symbol:							
12/21/21	50.000	345.00	12/17/21	350.92	...	-5.92	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
	3 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	1.000	0.55	08/26/20	0.29	...	0.26	1 of 3 - Sale <sup>25</sup>
	200.000	110.97	04/07/21	104.23	...	6.74	2 of 3 - Sale <sup>25</sup>
	299.000	165.91	04/14/21	132.46	...	33.45	3 of 3 - Sale <sup>25</sup>
04/27/21	500.000	277.43	Various	236.98	...	40.45	Total of 3 transactions
04/27/21	500.000	277.44	04/06/21	286.55	0.02 W	-9.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>554.87</b>		<b>523.53</b>	<b>0.02 W</b>	<b>31.36</b>	
<b>Totals :</b>		<b>634,893.49</b>		<b>715,082.97</b>	<b>59,668.94 W</b>	<b>-20,520.54</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 643886369

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol:							
09/28/21	1.000	3.14	08/25/20	2.70	...	0.44	Sale <sup>25</sup>
INSPIREMD INC. COMMON STOCK / CUSIP: 45779A846 / Symbol:							
09/28/21	1.000	4.36	08/31/20	0.50	...	3.86	Sale <sup>25</sup>
<b>Totals :</b>		<b>7.50</b>		<b>3.20</b>		<b>4.30</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 643886369

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: 06/07/21	0.100	0.33	04/14/21	0.46	...	-0.13	Gain or loss
<b>Totals:</b>		<b>0.33</b>		<b>0.46</b>	...	<b>-0.13</b>	

Robinhood Securities LLC

Account 643886369

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY ENERGY BULL 2X SHARES	25460G609		03/30/21	6.09	Qualified dividend	
			06/29/21	6.58	Qualified dividend	
			09/28/21	6.76	Qualified dividend	
			12/29/21	0.19	Qualified dividend	
				<b>19.62</b>	<b>Total Dividends &amp; distributions</b>	
				<b>19.62</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 643886369

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/14/21	-5.00	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		04/14/21	-5.00	Margin interest paid	
		05/14/21	-5.00	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		07/13/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		08/12/21	-5.00	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		11/10/21	-5.00	Margin interest paid	
		12/10/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-60.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 643886369

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-1.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	1.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.