

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 123964371</b>	Statement Date: 02/11/2022 Document ID: C719 XUG 8C04	2021
	Sahana Basappa 100 Whisman Station Dr 1914 Mountain View, CA 94043  RECIPIENT'S TIN: XXX-XX-5935		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      98.51</p> <p>1b- Qualified dividends      98.51</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,822,086.50	1,950,563.34	0.00	103,965.49	-24,511.35
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>1,822,086.50</b>	<b>1,950,563.34</b>	<b>0.00</b>	<b>103,965.49</b>	<b>-24,511.35</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,822,086.50</b>	<b>1,950,563.34</b>	<b>0.00</b>	<b>103,965.49</b>	<b>-24,511.35</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 11/19/2021 CALL \$22.00 / CUSIP: / Symbol:							
11/05/21	5.000	239.98	11/05/21	295.00	...	-55.02	Option sale to close-call <sup>25</sup>
AFRM 10/29/2021 CALL \$160.00 / CUSIP: / Symbol:							
10/18/21	3.000	2,549.97	10/18/21	2,250.00	...	299.97	Option sale to close-call <sup>25</sup>
AFRM 10/29/2021 CALL \$165.00 / CUSIP: / Symbol:							
10/25/21	3.000	1,409.98	10/25/21	1,440.00	...	-30.02	Option sale to close-call <sup>25</sup>
AFRM 11/05/2021 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	414.98	11/01/21	320.00	...	94.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	414.98	11/01/21	320.00	...	94.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,403.98	11/01/21	960.00	...	443.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	5.000	2,233.94	Various	1,600.00	...	633.94	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 11/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
10/26/21	2.000	779.98	10/26/21	720.00	...	59.98	Option sale to close-call <sup>25</sup>
AFRM 11/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1,473.98	11/08/21	1,350.00	...	123.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,473.99	11/08/21	1,350.00	...	123.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,473.99	11/08/21	1,350.00	...	123.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	3.000	4,421.96	Various	4,050.00	...	371.96	Total of 3 transactions
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	11/08/21	1,350.00	...	-1,285.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	11/08/21	1,350.00	...	-1,285.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	2.000	129.96	Various	2,700.00	...	-2,570.04	Total of 2 transactions
	<b>Security total:</b>	<b>4,551.92</b>		<b>6,750.00</b>	...	<b>-2,198.08</b>	
AMC 10/22/2021 CALL \$40.00 / CUSIP: / Symbol:							
13 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	163.98	10/13/21	134.00	...	29.98	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	163.98	10/13/21	134.00	...	29.98	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	163.98	10/13/21	134.00	...	29.98	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	163.98	10/13/21	134.00	...	29.98	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	163.99	10/13/21	134.00	...	29.99	5 of 13 - Option sale to close-call <sup>25</sup>
	2.000	327.98	10/13/21	268.00	...	59.98	6 of 13 - Option sale to close-call <sup>25</sup>
	2.000	327.98	10/13/21	268.00	...	59.98	7 of 13 - Option sale to close-call <sup>25</sup>
	2.000	327.98	10/13/21	268.00	...	59.98	8 of 13 - Option sale to close-call <sup>25</sup>
	2.000	327.98	10/13/21	268.00	...	59.98	9 of 13 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 10/22/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	2.000	327.99	10/13/21	268.00	...	59.99	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	248.99	10/13/21	134.00	...	114.99	11 of 13 - Option sale to close-call <sup>25</sup>
	2.000	431.98	10/13/21	268.00	...	163.98	12 of 13 - Option sale to close-call <sup>25</sup>
	2.000	497.99	10/13/21	268.00	...	229.99	13 of 13 - Option sale to close-call <sup>25</sup>
10/14/21	20.000	3,638.78	Various	2,680.00	...	958.78	Total of 13 transactions
AMC 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	183.00	11/03/21	573.02	...	-390.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	182.99	11/03/21	432.00	...	-249.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	182.99	11/03/21	324.00	...	-141.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	3.000	548.98	Various	1,329.02	...	-780.04	Total of 3 transactions
11/04/21	1.000	182.98	11/03/21	324.00	141.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>731.96</b>		<b>1,653.02</b>	<b>141.02 W</b>	<b>-780.04</b>	
AMD 10/22/2021 CALL \$110.00 / CUSIP: / Symbol:							
10/14/21	1.000	294.98	10/13/21	208.00	...	86.98	Option sale to close-call <sup>25</sup>
AMD 11/05/2021 CALL \$125.00 / CUSIP: / Symbol:							
11/01/21	5.000	1,069.98	10/29/21	810.00	...	259.98	Option sale to close-call <sup>25</sup>
AMD 11/12/2021 CALL \$128.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	405.98	11/02/21	314.00	...	91.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	405.98	11/02/21	314.00	...	91.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	405.98	11/02/21	314.00	...	91.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	405.98	11/02/21	314.00	...	91.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	4.000	1,623.92	Various	1,256.00	...	367.92	Total of 4 transactions
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	584.98	11/02/21	314.00	...	270.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	799.98	11/03/21	470.00	...	329.98	2 of 3 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 11/12/2021 CALL \$128.00 / CUSIP: / Symbol: (cont'd)							
	4.000	2,339.97	11/03/21	1,880.00	...	459.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/04/21	6.000	3,724.93	Various	2,664.00	...	1,060.93	Total of 3 transactions
	<b>Security total:</b>	<b>5,348.85</b>		<b>3,920.00</b>	...	<b>1,428.85</b>	
AMD 11/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	964.98	11/04/21	840.00	...	124.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,039.98	11/04/21	840.00	...	199.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	2,004.96	Various	1,680.00	...	324.96	Total of 2 transactions
AMD 11/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/15/21	5.000	154.98	11/09/21	2,000.00	...	-1,845.02	Option sale to close-call <sup>25</sup>
AMZN 10/29/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
10/20/21	1.000	4,549.96	10/20/21	5,250.00	...	-700.04	Option sale to close-call <sup>25</sup>
AMZN 10/29/2021 PUT \$3,350.00 / CUSIP: / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	7,824.95	10/22/21	7,305.00	...	519.95	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	14,919.91	10/22/21	11,230.00	...	3,689.91	2 of 2 - Option sale to close-put <sup>25</sup>
10/22/21	3.000	22,744.86	Various	18,535.00	...	4,209.86	Total of 2 transactions
AMZN 11/12/2021 CALL \$3,520.00 / CUSIP: / Symbol:							
11/05/21	1.000	4,999.96	11/05/21	5,200.00	...	-200.04	Option sale to close-call <sup>25</sup>
AMZN 11/19/2021 CALL \$3,525.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	6,399.96	11/08/21	5,900.00	...	499.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	6,399.97	11/08/21	5,800.00	...	599.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	6,399.96	11/08/21	5,650.00	...	749.96	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	19,199.89	Various	17,350.00	...	1,849.89	Total of 3 transactions

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Robinhood Securities LLC

Account 123964371

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 11/19/2021 CALL \$3,580.00 / CUSIP: / Symbol:							
11/05/21	1.000	5,819.96	11/05/21	6,550.04	...	-730.08	Option sale to close-call <sup>25</sup>
11/05/21	1.000	5,819.96	11/05/21	6,450.00	630.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>11,639.92</b>		<b>13,000.04</b>	<b>630.04 W</b>	<b>-730.08</b>	
AMZN 11/26/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
11/12/21	1.000	6,159.95	11/10/21	5,050.00	...	1,109.95	Option sale to close-call <sup>25</sup>
AMZN 11/26/2021 CALL \$3,570.00 / CUSIP: / Symbol:							
11/15/21	1.000	7,894.94	11/15/21	6,750.00	...	1,144.94	Option sale to close-call <sup>25</sup>
AMZN 11/26/2021 CALL \$3,590.00 / CUSIP: / Symbol:							
5 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	4,999.97	11/15/21	5,075.00	...	-75.03	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4,999.97	11/15/21	4,785.00	...	214.97	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5,199.96	11/16/21	4,925.03	...	274.93	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4,999.97	11/16/21	4,022.00	...	977.97	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4,999.98	11/16/21	3,275.00	...	1,724.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/17/21	5.000	25,199.85	Various	22,082.03	...	3,117.82	Total of 5 transactions
11/17/21	1.000	4,999.97	11/15/21	6,650.00	1,650.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>30,199.82</b>		<b>28,732.03</b>	<b>1,650.03 W</b>	<b>3,117.82</b>	
AMZN 11/26/2021 PUT \$3,555.00 / CUSIP: / Symbol:							
11/15/21	1.000	6,859.95	11/15/21	6,550.00	...	309.95	Option sale to close-put <sup>25</sup>
AMZN 11/26/2021 PUT \$3,605.00 / CUSIP: / Symbol:							
6 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1,499.99	11/18/21	5,200.00	3,700.01 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1,499.99	11/18/21	5,200.00	3,700.01 W	0.00	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1,499.98	11/18/21	4,975.00	3,475.02 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2,005.97	11/18/21	8,350.01	6,344.04 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2,049.97	11/18/21	8,350.01	6,300.04 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	2,099.97	11/18/21	7,975.02	5,875.05 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
11/19/21	6.000	10,655.87	Various	40,050.04	29,394.17 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 11/26/2021 PUT \$3,605.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	4,399.96	11/18/21	10,794.08	...	-6,394.12	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	4,399.96	11/18/21	10,650.04	...	-6,250.08	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	4,299.96	11/18/21	10,175.05	...	-5,875.09	3 of 3 - Option sale to close-put <sup>25</sup>
11/22/21	3.000	13,099.88	Various	31,619.17	...	-18,519.29	Total of 3 transactions
11/22/21	1.000	3,999.96	11/18/21	10,694.04	6,694.08 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>27,755.71</b>		<b>82,363.25</b>	<b>36,088.25 W</b>	<b>-18,519.29</b>	
AMZN 12/03/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
11/18/21	1.000	8,749.94	11/17/21	7,100.00	...	1,649.94	Option sale to close-call <sup>25</sup>
AMZN 12/03/2021 CALL \$3,590.00 / CUSIP: / Symbol:							
11/23/21	1.000	8,799.94	11/22/21	6,750.00	...	2,049.94	Option sale to close-call <sup>25</sup>
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/22/21	6,700.00	...	-6,700.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/23/21	6,400.00	...	-6,400.00	2 of 2 - Option expiration- long call
12/03/21	2.000	0.00	Various	13,100.00	...	-13,100.00	Total of 2 transactions
	<b>Security total:</b>	<b>8,799.94</b>		<b>19,850.00</b>	...	<b>-11,050.06</b>	
ANY 11/05/2021 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	10.000	629.97	10/28/21	590.00	...	39.97	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	334.98	10/28/21	295.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	15.000	964.95	Various	885.00	...	79.95	Total of 2 transactions
11/02/21	5.000	359.98	10/28/21	295.00	...	64.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,324.93</b>		<b>1,180.00</b>	...	<b>144.93</b>	
ANY 11/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	4.000	227.98	10/25/21	372.00	...	-144.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	57.00	10/25/21	93.00	...	-36.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	5.000	284.98	Various	465.00	...	-180.02	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASTR 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/25/21	5.000	549.98	10/21/21	510.00	...	39.98	Option sale to close-call <sup>25</sup>
ATER 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	8.000	919.97	10/14/21	1,160.00	...	-240.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	115.00	10/14/21	145.00	...	-30.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	115.00	10/14/21	145.00	...	-30.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/18/21	10.000	1,149.97	Various	1,450.00	...	-300.03	Total of 3 transactions
ATVI 10/22/2021 CALL \$78.00 / CUSIP: / Symbol:							
4 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	63.99	10/20/21	53.00	...	10.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	64.00	10/20/21	53.00	...	11.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	127.99	10/20/21	106.00	...	21.99	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	255.99	10/20/21	212.00	...	43.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/20/21	8.000	511.97	Various	424.00	...	87.97	Total of 4 transactions
BBBY 11/05/2021 CALL \$17.00 / CUSIP: / Symbol:							
11/03/21	1.000	729.98	11/02/21	52.00	...	677.98	Option sale to close-call <sup>25</sup>
BBIG 11/05/2021 CALL \$6.00 / CUSIP: / Symbol:							
11/05/21	15.000	0.00	11/01/21	240.00	...	-240.00	Option expiration- long call
BGFV 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/02/21	5.000	424.98	11/01/21	475.00	...	-50.02	Option sale to close-call <sup>25</sup>
BIGC 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	197.98	11/04/21	177.00	...	20.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	197.98	11/04/21	177.00	...	20.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	395.96	Various	354.00	...	41.96	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLNK 10/29/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	4.000	247.98	10/19/21	364.00	...	-116.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	61.98	10/19/21	91.00	...	-29.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/20/21	5.000	309.96	Various	455.00	...	-145.04	Total of 2 transactions
BTBT 10/29/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	3.000	29.99	10/20/21	276.00	...	-246.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.99	10/20/21	184.00	...	-164.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/25/21	5.000	49.98	Various	460.00	...	-410.02	Total of 2 transactions
COIN 10/29/2021 CALL \$290.00 / CUSIP: / Symbol:							
3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	1,659.99	10/18/21	1,310.00	...	349.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,659.99	10/18/21	1,310.00	...	349.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	4,979.96	10/18/21	3,930.00	...	1,049.96	3 of 3 - Option sale to close-call <sup>25</sup>
10/18/21	5.000	8,299.94	Various	6,550.00	...	1,749.94	Total of 3 transactions
COIN 10/29/2021 CALL \$315.00 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1,389.98	10/20/21	1,110.00	...	279.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,390.99	10/20/21	1,110.00	...	280.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	4,172.97	10/20/21	3,330.00	...	842.97	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	5.000	6,953.94	Various	5,550.00	...	1,403.94	Total of 3 transactions
COIN 11/05/2021 CALL \$330.00 / CUSIP: / Symbol:							
10/27/21	1.000	851.98	10/27/21	552.00	...	299.98	Option sale to close-call <sup>25</sup>
COIN 11/05/2021 CALL \$335.00 / CUSIP: / Symbol:							
11/05/21	1.000	479.98	11/03/21	720.00	...	-240.02	Option sale to close-call <sup>25</sup>

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COIN 11/19/2021 CALL \$360.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	2,279.98	11/08/21	2,100.00	...	179.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	4,559.97	11/08/21	4,200.00	...	359.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	3.000	6,839.95	Various	6,300.00	...	539.95	Total of 2 transactions
COIN 11/26/2021 CALL \$345.00 / CUSIP: / Symbol:							
11/11/21	1.000	1,239.98	11/11/21	1,180.00	...	59.98	Option sale to close-call <sup>25</sup>
COST 11/05/2021 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	375.98	11/01/21	352.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/01/21	176.00	...	23.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	3.000	575.96	Various	528.00	...	47.96	Total of 2 transactions
COST 11/12/2021 CALL \$525.00 / CUSIP: / Symbol:							
11/12/21	3.000	0.00	11/05/21	750.00	...	-750.00	Option expiration- long call
CPNG 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	122.99	10/20/21	115.00	...	7.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	123.00	10/20/21	115.00	...	8.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	123.00	10/20/21	115.00	...	8.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	245.99	10/20/21	230.00	...	15.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/25/21	5.000	614.98	Various	575.00	...	39.98	Total of 4 transactions
DDD 10/29/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	58.00	10/19/21	40.00	...	18.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	231.98	10/19/21	160.00	...	71.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	5.000	289.98	Various	200.00	...	89.98	Total of 2 transactions
DKNG 10/22/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	10/21/21	36.00	...	-36.00	Option expiration- long call

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/12/21	2.000	9.98	10/29/21	320.00	...	-310.02	Option sale to close-call <sup>25</sup>
DWAC 11/05/2021 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	162.98	11/02/21	215.00	...	-52.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	737.98	11/02/21	645.00	...	92.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	429.98	11/02/21	215.00	...	214.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	1,330.94	Various	1,075.00	...	255.94	Total of 3 transactions
EVGO 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
11/08/21	5.000	1,199.98	11/08/21	575.00	...	624.98	Option sale to close-call <sup>25</sup>
FB 01/21/2022 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	847.98	11/16/21	690.00	...	157.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	919.98	11/16/21	690.00	...	229.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	2.000	1,767.96	Various	1,380.00	...	387.96	Total of 2 transactions
FB 10/29/2021 PUT \$300.00 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	7.000	1,567.97	10/22/21	1,946.00	...	-378.03	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	447.99	10/22/21	502.00	...	-54.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	224.00	10/22/21	251.00	...	-27.00	3 of 3 - Option sale to close-put <sup>25</sup>
10/25/21	10.000	2,239.96	Various	2,699.00	...	-459.04	Total of 3 transactions
FB 10/29/2021 PUT \$310.00 / CUSIP: / Symbol:							
10/27/21	1.000	154.98	10/27/21	239.00	...	-84.02	Option sale to close-put <sup>25</sup>
FCEL 10/29/2021 CALL \$8.50 / CUSIP: / Symbol:							
10/29/21	20.000	0.00	10/28/21	200.00	...	-200.00	Option expiration- long call

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 11/12/2021 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	62.98	11/08/21	42.00	...	20.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	125.98	11/08/21	84.00	...	41.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	125.98	11/08/21	84.00	...	41.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	314.94	Various	210.00	...	104.94	Total of 3 transactions
FCEL 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/08/21	5.000	669.98	11/03/21	335.00	...	334.98	Option sale to close-call <sup>25</sup>
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
6 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	5.000	169.99	11/04/21	1,500.00	1,330.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/04/21	200.00	166.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/04/21	200.00	166.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/04/21	200.00	166.01 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/04/21	200.00	166.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	33.99	11/04/21	200.00	166.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
11/05/21	10.000	339.97	Various	2,500.00	2,160.03 W	0.00	Total of 6 transactions
18 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	2.000	85.99	11/04/21	932.00	846.01 W	0.00	1 of 18 - Option sale to close-call <sup>25</sup>
	1.000	42.99	11/04/21	466.00	423.01 W	0.00	2 of 18 - Option sale to close-call <sup>25</sup>
	1.000	42.98	11/04/21	466.01	423.03 W	0.00	3 of 18 - Option sale to close-call <sup>25</sup>
	1.000	43.00	11/04/21	466.00	423.00 W	0.00	4 of 18 - Option sale to close-call <sup>25</sup>
	1.000	43.00	11/04/21	291.00	248.00 W	0.00	5 of 18 - Option sale to close-call <sup>25</sup>
	1.000	42.99	11/04/21	291.00	248.01 W	0.00	6 of 18 - Option sale to close-call <sup>25</sup>
	1.000	42.99	11/04/21	291.01	248.02 W	0.00	7 of 18 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	43.00	11/04/21	291.00	248.00 W	0.00	8 of 18 - Option sale to close-call <sub>25</sub>
	1.000	42.98	11/04/21	291.01	248.03 W	0.00	9 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	545.01	502.01 W	0.00	10 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	545.03	502.03 W	0.00	11 of 18 - Option sale to close-call <sub>25</sub>
	2.000	85.99	11/04/21	1,090.01	1,004.02 W	0.00	12 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	545.00	502.00 W	0.00	13 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	370.00	327.00 W	0.00	14 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	370.01	327.01 W	0.00	15 of 18 - Option sale to close-call <sub>25</sub>
	1.000	42.99	11/04/21	370.02	327.03 W	0.00	16 of 18 - Option sale to close-call <sub>25</sub>
	1.000	43.00	11/04/21	370.00	327.00 W	0.00	17 of 18 - Option sale to close-call <sub>25</sub>
	1.000	42.99	11/04/21	370.03	327.04 W	0.00	18 of 18 - Option sale to close-call <sub>25</sub>
11/08/21	20.000	859.89	Various	8,360.14	7,500.25 W	0.00	Total of 18 transactions
	24 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	20.000	119.95	11/04/21	2,300.00	...	-2,180.05	1 of 24 - Option sale to close-call <sub>25</sub>
	10.000	59.98	11/04/21	1,370.00	...	-1,310.02	2 of 24 - Option sale to close-call <sub>25</sub>
	10.000	59.98	11/04/21	1,300.00	...	-1,240.02	3 of 24 - Option sale to close-call <sub>25</sub>
	10.000	59.98	11/04/21	1,110.00	...	-1,050.02	4 of 24 - Option sale to close-call <sub>25</sub>
	10.000	59.98	11/04/21	1,110.00	...	-1,050.02	5 of 24 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	10.000	59.97	11/04/21	1,090.00	...	-1,030.03	6 of 24 - Option sale to close-call 25
	10.000	59.98	11/04/21	1,000.00	...	-940.02	7 of 24 - Option sale to close-call 25
	8.000	47.98	11/04/21	960.00	...	-912.02	8 of 24 - Option sale to close-call 25
	10.000	59.98	11/04/21	950.00	...	-890.02	9 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	841.04	...	-835.04	10 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	841.01	...	-835.01	11 of 24 - Option sale to close-call 25
	9.000	53.98	11/04/21	855.00	...	-801.02	12 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	728.03	...	-722.03	13 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	728.02	...	-722.02	14 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	728.01	...	-722.01	15 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	554.04	...	-548.04	16 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	554.03	...	-548.03	17 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	554.03	...	-548.03	18 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	554.01	...	-548.01	19 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	553.00	...	-547.00	20 of 24 - Option sale to close-call 25
	1.000	6.00	11/04/21	95.00	...	-89.00	21 of 24 - Option sale to close-call 25
	10.000	59.98	11/05/21	330.00	...	-270.02	22 of 24 - Option sale to close-call 25

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	17.000	101.96	11/08/21	782.00	...	-680.04	23 of 24 - Option sale to close-call <sup>25</sup>
	3.000	17.99	11/08/21	138.00	...	-120.01	24 of 24 - Option sale to close-call <sup>25</sup>
11/12/21	148.000	887.69	Various	20,025.22	...	-19,137.53	Total of 24 transactions
	12 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	7.98	11/04/21	619.01	611.03 W	0.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.99	11/04/21	619.01	611.02 W	0.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	8.00	11/04/21	619.03	611.03 W	0.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.99	11/04/21	619.00	611.01 W	0.00	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	8.00	11/04/21	619.01	611.01 W	0.00	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	8.00	11/04/21	444.00	436.00 W	0.00	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	6.98	11/04/21	444.01	437.03 W	0.00	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/04/21	444.03	437.03 W	0.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	6.99	11/04/21	444.00	437.01 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/04/21	444.04	437.04 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	6.99	11/04/21	728.03	721.04 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/04/21	728.01	721.01 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
11/12/21	12.000	89.92	Various	6,771.18	6,681.26 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>2,177.47</b>		<b>37,656.54</b>	<b>16,341.54 W</b>	<b>-19,137.53</b>	
GEVO 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/01/21	5.000	384.98	10/29/21	325.00	...	59.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 11/12/2021 CALL \$62.00 / CUSIP: / Symbol:							
11/12/21	1.000	99.98	11/08/21	61.00	...	38.98	Option sale to close-call <sup>25</sup>
GM 12/03/2021 CALL \$66.00 / CUSIP: / Symbol:							
2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/22/21	258.00	...	-258.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/22/21	129.00	...	-129.00	2 of 2 - Option expiration- long call
12/03/21	3.000	0.00	Various	387.00	...	-387.00	Total of 2 transactions
GM 12/23/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/04/21	5.000	1,024.98	11/04/21	950.00	...	74.98	Option sale to close-call <sup>25</sup>
GME 11/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	924.98	11/01/21	660.00	...	264.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,484.98	11/01/21	660.00	...	824.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,744.98	11/01/21	660.00	...	1,084.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	3.000	4,154.94	Various	1,980.00	...	2,174.94	Total of 3 transactions
GME 11/19/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/15/21	1.000	267.98	11/04/21	1,630.00	...	-1,362.02	Option sale to close-call <sup>25</sup>
GOOGL 10/29/2021 CALL \$2,895.0 / CUSIP: / Symbol:							
10/27/21	1.000	1,583.98	10/27/21	2,300.00	...	-716.02	Option sale to close-call <sup>25</sup>
GOOGL 10/29/2021 CALL \$2,915.0 / CUSIP: / Symbol:							
10/27/21	1.000	2,829.97	10/27/21	2,330.00	...	499.97	Option sale to close-call <sup>25</sup>
GOOGL 10/29/2021 CALL \$2,940.0 / CUSIP: / Symbol:							
10/27/21	1.000	2,901.97	10/27/21	2,540.00	...	361.97	Option sale to close-call <sup>25</sup>
GOOGL 10/29/2021 CALL \$2,980.0 / CUSIP: / Symbol:							
3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	3.000	7,049.95	10/27/21	9,150.00	...	-2,100.05	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	4,699.97	10/27/21	6,100.00	...	-1,400.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,349.99	10/27/21	2,590.00	...	-240.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/21	6.000	14,099.91	Various	17,840.00	...	-3,740.09	Total of 3 transactions

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/05/2021 CALL \$2,860.0 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	4,399.96	10/27/21	3,330.00	...	1,069.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,649.96	10/27/21	3,330.00	...	1,319.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	2.000	9,049.92	Various	6,660.00	...	2,389.92	Total of 2 transactions
GOOGL 11/05/2021 CALL \$2,925.0 / CUSIP: / Symbol:							
8 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1,949.98	11/02/21	2,070.00	...	-120.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,939.99	11/02/21	1,830.00	...	109.99	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,939.98	11/02/21	1,400.00	...	539.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,939.99	11/02/21	1,368.00	...	571.99	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,939.99	11/02/21	1,368.00	...	571.99	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1,939.99	11/02/21	1,240.00	...	699.99	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	3,879.98	11/02/21	3,160.00	...	719.98	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	3,879.97	11/02/21	2,640.00	...	1,239.97	8 of 8 - Option sale to close-call <sup>25</sup>
11/02/21	10.000	19,409.87	Various	15,076.00	...	4,333.87	Total of 8 transactions
GOOGL 11/05/2021 CALL \$2,930.0 / CUSIP: / Symbol:							
18 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	5,299.97	11/01/21	5,200.00	...	99.97	1 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,649.98	11/01/21	2,450.00	...	199.98	2 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,649.98	11/01/21	2,370.00	...	279.98	3 of 18 - Option sale to close-call <sup>25</sup>
	1.000	1,889.98	11/01/21	1,290.00	...	599.98	4 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,299.97	11/01/21	4,600.00	...	699.97	5 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,737.97	11/01/21	1,710.02	...	1,027.95	6 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,299.97	11/01/21	4,260.00	...	1,039.97	7 of 18 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/05/2021 CALL \$2,930.0 / CUSIP: / Symbol: (cont'd)							
	1.000	2,649.99	11/01/21	1,590.00	...	1,059.99	8 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,849.97	11/01/21	1,710.02	...	1,139.95	9 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,809.97	11/01/21	1,590.00	...	1,219.97	10 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,809.97	11/01/21	1,290.00	...	1,519.97	11 of 18 - Option sale to close-call <sup>25</sup>
	1.000	2,829.97	11/01/21	1,290.00	...	1,539.97	12 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,299.97	11/01/21	3,180.00	...	2,119.97	13 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,299.97	11/01/21	3,180.00	...	2,119.97	14 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,619.96	11/01/21	3,260.00	...	2,359.96	15 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,619.97	11/01/21	3,180.00	...	2,439.97	16 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,619.97	11/01/21	3,180.00	...	2,439.97	17 of 18 - Option sale to close-call <sup>25</sup>
	2.000	5,799.96	11/01/21	2,580.00	...	3,219.96	18 of 18 - Option sale to close-call <sup>25</sup>
11/02/21	27.000	73,037.49	Various	47,910.04	...	25,127.45	Total of 18 transactions
	2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	2,649.98	11/01/21	2,770.00	120.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,649.98	11/01/21	2,770.00	120.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	5,299.96	Various	5,540.00	240.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>78,337.45</b>		<b>53,450.04</b>	<b>240.04 W</b>	<b>25,127.45</b>	
GOOGL 11/05/2021 CALL \$2,950.0 / CUSIP: / Symbol:							
	7 transactions for 10/29/21. Total proceeds and cost reported to the IRS.						
	1.000	3,109.98	10/29/21	3,100.00	...	9.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3,128.98	10/29/21	3,100.00	...	28.98	2 of 7 - Option sale to close-call <sup>25</sup>
	3.000	9,386.94	10/29/21	9,300.00	...	86.94	3 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/05/2021 CALL \$2,950.0 / CUSIP: / Symbol: (cont'd)							
	1.000	3,109.98	10/29/21	2,960.00	...	149.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3,109.98	10/29/21	2,740.00	...	369.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3,109.98	10/29/21	2,620.00	...	489.98	6 of 7 - Option sale to close-call <sup>25</sup>
	4.000	12,439.93	10/29/21	11,840.00	...	599.93	7 of 7 - Option sale to close-call <sup>25</sup>
10/29/21	12.000	37,395.77	Various	35,660.00	...	1,735.77	Total of 7 transactions
GOOGL 11/05/2021 CALL \$2,965.0 / CUSIP: / Symbol:							
10/29/21	1.000	2,727.97	10/29/21	2,970.00	...	-242.03	Option sale to close-call <sup>25</sup>
GOOGL 11/05/2021 PUT \$2,900.00 / CUSIP: / Symbol:							
10/29/21	1.000	2,379.97	10/29/21	2,930.00	...	-550.03	Option sale to close-put <sup>25</sup>
GOOGL 11/12/2021 CALL \$2,920.0 / CUSIP: / Symbol:							
26 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	3,419.98	11/03/21	3,374.02	...	45.96	1 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,499.97	11/03/21	3,340.03	...	159.94	2 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,459.98	11/03/21	3,250.00	...	209.98	3 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,389.97	11/03/21	3,100.00	...	289.97	4 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,419.97	11/03/21	3,080.00	...	339.97	5 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,420.97	11/03/21	3,080.00	...	340.97	6 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,449.97	11/03/21	3,100.00	...	349.97	7 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	3,050.00	...	349.97	8 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	3,050.00	...	349.97	9 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	3,050.00	...	349.97	10 of 26 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/12/2021 CALL \$2,920.0 / CUSIP: / Symbol: (cont'd)							
	1.000	3,399.97	11/03/21	3,050.00	...	349.97	11 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	3,050.00	...	349.97	12 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,419.97	11/03/21	2,990.00	...	429.97	13 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,499.97	11/03/21	3,020.00	...	479.97	14 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,518.97	11/03/21	3,020.00	...	498.97	15 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,518.97	11/03/21	3,020.00	...	498.97	16 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,599.97	11/03/21	3,100.00	...	499.97	17 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	2,900.00	...	499.97	18 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,399.97	11/03/21	2,900.00	...	499.97	19 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,532.97	11/03/21	3,020.00	...	512.97	20 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,599.98	11/03/21	3,050.00	...	549.98	21 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,599.98	11/03/21	3,050.00	...	549.98	22 of 26 - Option sale to close-call <sup>25</sup>
	1.000	3,752.97	11/03/21	3,020.00	...	732.97	23 of 26 - Option sale to close-call <sup>25</sup>
	2.000	7,199.95	11/03/21	6,100.00	...	1,099.95	24 of 26 - Option sale to close-call <sup>25</sup>
	3.000	10,199.93	11/03/21	8,700.00	...	1,499.93	25 of 26 - Option sale to close-call <sup>25</sup>
	5.000	17,999.89	11/03/21	15,500.00	...	2,499.89	26 of 26 - Option sale to close-call <sup>25</sup>
11/03/21	33.000	115,304.12	Various	100,964.05	...	14,340.07	Total of 26 transactions
11/03/21	2.000	6,919.95	11/03/21	7,100.00	180.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>122,224.07</b>		<b>108,064.05</b>	<b>180.05 W</b>	<b>14,340.07</b>	

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/12/2021 CALL \$2,950.0 / CUSIP: / Symbol:							
4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	5,789.97	11/03/21	3,300.00	...	2,489.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5,789.96	11/04/21	5,700.00	...	89.96	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5,789.97	11/04/21	5,700.00	...	89.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5,789.97	11/04/21	4,830.00	...	959.97	4 of 4 - Option sale to close-call <sup>25</sup>
11/04/21	4.000	23,159.87	Various	19,530.00	...	3,629.87	Total of 4 transactions
GOOGL 11/12/2021 CALL \$3,000.0 / CUSIP: / Symbol:							
22 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	3.000	74.99	11/08/21	7,770.00	...	-7,695.01	1 of 22 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/08/21	5,400.00	...	-5,350.01	2 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/08/21	3,750.00	...	-3,725.00	3 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/08/21	3,300.00	...	-3,275.00	4 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/08/21	3,300.00	...	-3,275.00	5 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/08/21	3,150.00	...	-3,125.00	6 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/08/21	3,150.00	...	-3,125.00	7 of 22 - Option sale to close-call <sup>25</sup>
	3.000	74.99	11/09/21	4,440.00	...	-4,365.01	8 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/09/21	1,140.00	...	-1,115.00	9 of 22 - Option sale to close-call <sup>25</sup>
	2.000	49.99	11/10/21	1,400.00	...	-1,350.01	10 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	400.00	...	-375.00	11 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	400.00	...	-375.00	12 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	360.00	...	-335.00	13 of 22 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/12/2021 CALL \$3,000.0 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	11/10/21	350.00	...	-325.00	14 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	320.00	...	-295.00	15 of 22 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/10/21	290.00	...	-265.01	16 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	290.00	...	-265.00	17 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	275.00	...	-250.00	18 of 22 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/10/21	200.00	...	-175.01	19 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/10/21	200.00	...	-175.00	20 of 22 - Option sale to close-call <sup>25</sup>
	1.000	24.99	11/11/21	45.00	...	-20.01	21 of 22 - Option sale to close-call <sup>25</sup>
	1.000	25.00	11/11/21	45.00	...	-20.00	22 of 22 - Option sale to close-call <sup>25</sup>
11/12/21	28.000	699.93	Various	39,975.00	...	-39,275.07	Total of 22 transactions
GOOGL 11/12/2021 PUT \$2,950.00 / CUSIP: / Symbol:							
11/04/21	5.000	17,149.90	11/04/21	14,250.00	...	2,899.90	Option sale to close-put <sup>25</sup>
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	2,669.98	11/04/21	3,330.00	...	-660.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	5,339.96	11/05/21	5,800.00	...	-460.04	2 of 2 - Option sale to close-put <sup>25</sup>
11/05/21	3.000	8,009.94	Various	9,130.00	...	-1,120.06	Total of 2 transactions
	<b>Security total:</b>	<b>25,159.84</b>		<b>23,380.00</b>	...	<b>1,779.84</b>	
GOOGL 11/12/2021 PUT \$2,995.00 / CUSIP: / Symbol:							
11/08/21	1.000	3,679.97	11/08/21	3,400.00	...	279.97	Option sale to close-put <sup>25</sup>
GOOGL 11/19/2021 CALL \$2,985.0 / CUSIP: / Symbol:							
	5 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	1.000	6,618.96	11/05/21	4,900.00	...	1,718.96	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	6,618.96	11/05/21	4,900.00	...	1,718.96	2 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 11/19/2021 CALL \$2,985.0 / CUSIP: / Symbol: (cont'd)							
	1.000	6,618.96	11/05/21	4,900.00	...	1,718.96	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	6,618.96	11/05/21	4,800.00	...	1,818.96	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	13,237.92	11/05/21	11,180.00	...	2,057.92	5 of 5 - Option sale to close-call <sup>25</sup>
11/08/21	6.000	39,713.76	Various	30,680.00	...	9,033.76	Total of 5 transactions
GOOGL 11/19/2021 CALL \$3,000.0 / CUSIP: / Symbol:							
11/05/21	1.000	5,420.96	11/05/21	5,500.00	...	-79.04	Option sale to close-call <sup>25</sup>
GOOGL 11/19/2021 PUT \$2,950.00 / CUSIP: / Symbol:							
3 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	2.000	9,499.95	11/04/21	10,000.00	...	-500.05	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	4,749.97	11/04/21	4,680.00	...	69.97	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	4,749.97	11/04/21	4,530.00	...	219.97	3 of 3 - Option sale to close-put <sup>25</sup>
11/04/21	4.000	18,999.89	Various	19,210.00	...	-210.11	Total of 3 transactions
GOOGL 11/26/2021 CALL \$2,985.0 / CUSIP: / Symbol:							
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	3,799.97	11/15/21	3,600.00	...	199.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,799.98	11/17/21	3,150.08	...	649.90	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,799.97	11/17/21	2,100.00	...	1,699.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/18/21	3.000	11,399.92	Various	8,850.08	...	2,549.84	Total of 3 transactions
3 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	3,799.97	11/15/21	4,150.00	350.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,799.98	11/15/21	4,650.03	850.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,799.97	11/15/21	4,450.05	650.08 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/18/21	3.000	11,399.92	Various	13,250.08	1,850.16 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>22,799.84</b>		<b>22,100.16</b>	<b>1,850.16 W</b>	<b>2,549.84</b>	
GOOGL 11/26/2021 PUT \$2,955.00 / CUSIP: / Symbol:							
11/15/21	1.000	3,849.97	11/12/21	4,150.00	...	-300.03	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 12/03/2021 CALL \$3,000.0 / CUSIP: / Symbol:							
5 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/22/21	3,800.00	...	-3,800.00	1 of 5 - Option expiration- long call
	1.000	0.00	11/22/21	2,550.00	...	-2,550.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/22/21	2,100.00	...	-2,100.00	3 of 5 - Option expiration- long call
	1.000	0.00	11/23/21	1,170.00	...	-1,170.00	4 of 5 - Option expiration- long call
	1.000	0.00	11/23/21	1,050.00	...	-1,050.00	5 of 5 - Option expiration- long call
12/03/21	5.000	0.00	Various	10,670.00	...	-10,670.00	Total of 5 transactions
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/12/21	2.000	279.98	11/08/21	972.00	...	-692.02	Option sale to close-call <sup>25</sup>
HOOD 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	33.98	11/03/21	58.00	...	-24.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	33.98	11/03/21	58.00	...	-24.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	67.96	Various	116.00	...	-48.04	Total of 2 transactions
IDEX 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
11/15/21	1.000	29.98	10/29/21	29.00	...	0.98	Option sale to close-call <sup>25</sup>
INTC 11/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/01/21	5.000	134.98	11/01/21	85.00	...	49.98	Option sale to close-call <sup>25</sup>
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	17.98	11/01/21	17.00	...	0.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	17.98	11/01/21	17.00	...	0.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	53.98	11/01/21	51.00	...	2.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	89.94	Various	85.00	...	4.94	Total of 3 transactions
	<b>Security total:</b>	<b>224.92</b>		<b>170.00</b>	...	<b>54.92</b>	
INTC 11/12/2021 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	38.99	11/03/21	13.00	...	25.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	116.99	11/03/21	39.00	...	77.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	155.98	Various	52.00	...	103.98	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 11/12/2021 CALL \$52.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/03/21	13.00	...	-13.00	1 of 4 - Option expiration- long call
	9.000	0.00	11/05/21	450.00	...	-450.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/05/21	50.00	...	-50.00	3 of 4 - Option expiration- long call
	5.000	0.00	11/08/21	205.00	...	-205.00	4 of 4 - Option expiration- long call
11/12/21	16.000	0.00	Various	718.00	...	-718.00	Total of 4 transactions
	<b>Security total:</b>	<b>155.98</b>		<b>770.00</b>	...	<b>-614.02</b>	
IPOF 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
10/25/21	3.000	41.98	10/21/21	60.00	...	-18.02	Option sale to close-call <sup>25</sup>
10/26/21	2.000	29.98	10/21/21	40.00	...	-10.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>71.96</b>		<b>100.00</b>	...	<b>-28.04</b>	
JPM 11/05/2021 CALL \$172.50 / CUSIP: / Symbol:							
10/29/21	2.000	215.98	10/29/21	300.00	...	-84.02	Option sale to close-call <sup>25</sup>
KNDI 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	43.98	10/28/21	28.00	...	15.98	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	175.98	10/28/21	112.00	...	63.98	2 of 3 - Option sale to close-call <sup>25</sup>
	15.000	554.96	10/28/21	420.00	...	134.96	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	20.000	774.92	Various	560.00	...	214.92	Total of 3 transactions
LCID 10/29/2021 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	4.000	255.98	10/18/21	404.00	...	-148.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.00	10/18/21	101.00	...	-37.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	5.000	319.98	Various	505.00	...	-185.02	Total of 2 transactions
LCID 10/29/2021 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	10/28/21	64.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.98	10/28/21	64.00	...	0.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	129.96	Various	128.00	...	1.96	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	250.98	10/28/21	159.00	...	91.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	250.98	10/28/21	159.00	...	91.98	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	1,407.97	10/28/21	1,272.00	...	135.97	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	879.98	10/28/21	665.00	...	214.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/28/21	15.000	2,789.91	Various	2,255.00	...	534.91	Total of 4 transactions
LCID 11/05/2021 CALL \$35.00 / CUSIP: / Symbol:							
17 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	280.98	10/28/21	299.01	...	-18.03	1 of 17 - Option sale to close-call <sup>25</sup>
	1.000	280.99	10/28/21	299.01	...	-18.02	2 of 17 - Option sale to close-call <sup>25</sup>
	1.000	283.98	10/28/21	299.01	...	-15.03	3 of 17 - Option sale to close-call <sup>25</sup>
	1.000	291.00	10/28/21	290.00	...	1.00	4 of 17 - Option sale to close-call <sup>25</sup>
	1.000	291.00	10/28/21	290.00	...	1.00	5 of 17 - Option sale to close-call <sup>25</sup>
	3.000	872.99	10/28/21	870.00	...	2.99	6 of 17 - Option sale to close-call <sup>25</sup>
	4.000	1,163.98	10/28/21	1,160.00	...	3.98	7 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	8 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	9 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	10 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	11 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	12 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.98	10/28/21	275.00	...	15.98	13 of 17 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/05/2021 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	2.000	581.99	10/28/21	550.00	...	31.99	14 of 17 - Option sale to close-call <sup>25</sup>
	3.000	872.99	10/28/21	825.00	...	47.99	15 of 17 - Option sale to close-call <sup>25</sup>
	1.000	290.99	10/28/21	242.00	...	48.99	16 of 17 - Option sale to close-call <sup>25</sup>
	4.000	1,163.98	10/28/21	1,100.00	...	63.98	17 of 17 - Option sale to close-call <sup>25</sup>
10/28/21	28.000	8,120.75	Various	7,874.03	...	246.72	Total of 17 transactions
	2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	2.000	561.98	10/28/21	580.00	18.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	280.99	10/28/21	290.00	9.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	3.000	842.97	Various	870.00	27.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>8,963.72</b>		<b>8,744.03</b>	<b>27.03 W</b>	<b>246.72</b>	
LCID 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/08/21	9.000	4,679.95	11/08/21	4,005.00	...	674.95	Option sale to close-call <sup>25</sup>
11/12/21	1.000	3.98	11/08/21	445.00	...	-441.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,683.93</b>		<b>4,450.00</b>	...	<b>233.93</b>	
M 10/22/2021 CALL \$25.00 / CUSIP: / Symbol:							
10/19/21	3.000	650.98	10/18/21	960.00	...	-309.02	Option sale to close-call <sup>25</sup>
MARA 11/05/2021 CALL \$60.00 / CUSIP: / Symbol:							
	2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	384.98	11/02/21	265.00	...	119.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	434.98	11/02/21	265.00	...	169.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	819.96	Various	530.00	...	289.96	Total of 2 transactions
MRK 10/22/2021 CALL \$80.00 / CUSIP: / Symbol:							
10/13/21	1.000	67.98	10/13/21	77.00	...	-9.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRK 10/29/2021 CALL \$87.00 / CUSIP: / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	2.000	31.99	10/28/21	46.00	...	-14.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.99	10/28/21	23.00	...	-7.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	16.00	10/28/21	23.00	...	-7.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	16.00	10/28/21	23.00	...	-7.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/28/21	5.000	79.98	Various	115.00	...	-35.02	Total of 4 transactions
10/28/21	4.000	63.99	10/28/21	92.00	7.00 W	-21.01	Option sale to close-call <sup>25</sup>
10/29/21	1.000	94.98	10/28/21	30.00	...	64.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>238.95</b>		<b>237.00</b>	<b>7.00 W</b>	<b>8.95</b>	

MRK 11/12/2021 CALL \$92.00 / CUSIP: / Symbol:

2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.

	5.000	0.00	11/04/21	435.00	...	-435.00	1 of 2 - Option expiration- long call
	5.000	0.00	11/04/21	300.00	...	-300.00	2 of 2 - Option expiration- long call
11/12/21	10.000	0.00	Various	735.00	...	-735.00	Total of 2 transactions

MRK 11/19/2021 CALL \$90.00 / CUSIP: / Symbol:

6 transactions for 11/02/21. Total proceeds and cost reported to the IRS.

	1.000	154.98	10/29/21	107.00	...	47.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	154.98	10/29/21	107.00	...	47.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	154.98	10/29/21	107.00	...	47.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	154.98	10/29/21	107.00	...	47.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	154.98	10/29/21	107.00	...	47.98	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	464.98	10/29/21	321.00	...	143.98	6 of 6 - Option sale to close-call <sup>25</sup>
11/02/21	8.000	1,239.88	Various	856.00	...	383.88	Total of 6 transactions
11/03/21	2.000	227.98	10/29/21	214.00	...	13.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,467.86</b>		<b>1,070.00</b>	...	<b>397.86</b>	

MRNA 10/08/2021 PUT \$300.00 / CUSIP: / Symbol:

10/07/21	1.000	249.98	10/06/21	730.00	...	-480.02	Option sale to close-put <sup>25</sup>
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MRNA 10/22/2021 CALL \$325.00 / CUSIP: / Symbol:

10/14/21	1.000	1,440.98	10/13/21	1,295.00	...	145.98	Option sale to close-call <sup>25</sup>
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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MRNA 10/22/2021 CALL \$340.00 / CUSIP: / Symbol:							
10/22/21	5.000	0.00	10/21/21	1,775.00	...	-1,775.00	Option expiration- long call
MRNA 10/29/2021 CALL \$337.50 / CUSIP: / Symbol:							
<i>2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	824.99	10/25/21	740.00	...	84.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	824.99	10/25/21	740.00	...	84.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/25/21	2.000	1,649.98	Various	1,480.00	...	169.98	Total of 2 transactions
MRNA 11/05/2021 CALL \$350.00 / CUSIP: / Symbol:							
10/26/21	5.000	8,599.94	10/25/21	7,675.00	...	924.94	Option sale to close-call <sup>25</sup>
MRNA 11/12/2021 CALL \$235.00 / CUSIP: / Symbol:							
11/08/21	2.000	2,099.97	11/05/21	2,060.00	...	39.97	Option sale to close-call <sup>25</sup>
MRNA 11/12/2021 PUT \$220.00 / CUSIP: / Symbol:							
<i>11 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,579.99	11/05/21	1,380.00	...	199.99	1 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,579.99	11/05/21	1,380.00	...	199.99	2 of 11 - Option sale to close-put <sup>25</sup>
	2.000	3,159.97	11/05/21	2,760.00	...	399.97	3 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,599.99	11/05/21	1,200.00	...	399.99	4 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,599.99	11/05/21	1,200.00	...	399.99	5 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,599.99	11/05/21	1,200.00	...	399.99	6 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,599.99	11/05/21	1,050.00	...	549.99	7 of 11 - Option sale to close-put <sup>25</sup>
	1.000	1,599.99	11/05/21	1,050.00	...	549.99	8 of 11 - Option sale to close-put <sup>25</sup>
	3.000	4,739.97	11/05/21	4,140.00	...	599.97	9 of 11 - Option sale to close-put <sup>25</sup>
	3.000	4,739.97	11/05/21	4,140.00	...	599.97	10 of 11 - Option sale to close-put <sup>25</sup>
	2.000	3,199.98	11/05/21	2,398.00	...	801.98	11 of 11 - Option sale to close-put <sup>25</sup>
11/05/21	17.000	26,999.82	Various	21,898.00	...	5,101.82	Total of 11 transactions
MRNA 11/12/2021 PUT \$280.00 / CUSIP: / Symbol:							
<i>4 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	2,939.98	11/04/21	3,300.00	...	-360.02	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	1,079.98	11/04/21	1,160.01	...	-80.03	2 of 4 - Option sale to close-put <sup>25</sup>

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 11/12/2021 PUT \$280.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,129.99	11/04/21	1,040.00	...	89.99	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	2,259.98	11/04/21	1,700.00	...	559.98	4 of 4 - Option sale to close-put <sup>25</sup>
11/04/21	7.000	7,409.93	Various	7,200.01	...	209.92	Total of 4 transactions
11/04/21	1.000	979.99	11/04/21	1,040.00	60.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>8,389.92</b>		<b>8,240.01</b>	<b>60.01 W</b>	<b>209.92</b>	
MRNA 11/26/2021 CALL \$255.00 / CUSIP: / Symbol:							
11/18/21	1.000	624.98	11/17/21	600.00	...	24.98	Option sale to close-call <sup>25</sup>
MRNA 12/03/2021 CALL \$285.00 / CUSIP: / Symbol:							
11/22/21	1.000	1,084.98	11/19/21	950.00	...	134.98	Option sale to close-call <sup>25</sup>
MSFT 10/29/2021 CALL \$325.00 / CUSIP: / Symbol:							
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	153.00	10/27/21	142.02	...	10.98	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	305.99	10/27/21	278.00	...	27.99	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	1,223.97	10/27/21	1,112.00	...	111.97	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,376.98	10/27/21	1,251.00	...	125.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	20.000	3,059.94	Various	2,783.02	...	276.92	Total of 4 transactions
10/27/21	1.000	265.98	10/27/21	269.00	3.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,325.92</b>		<b>3,052.02</b>	<b>3.02 W</b>	<b>276.92</b>	
MSFT 11/05/2021 CALL \$340.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	48.98	11/04/21	43.00	...	5.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	49.00	11/04/21	43.00	...	6.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	146.98	11/04/21	129.00	...	17.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	5.000	244.96	Various	215.00	...	29.96	Total of 3 transactions
MSFT 11/05/2021 CALL \$360.00 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	4.000	7.98	10/27/21	84.00	...	-76.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.98	10/27/21	40.00	...	-38.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	9.96	Various	124.00	...	-114.04	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 11/05/2021 CALL \$360.00 / CUSIP: / Symbol: (cont'd)							
11/03/21	1.000	2.00	10/27/21	21.00	19.00 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>11.96</b>		<b>145.00</b>	<b>19.00 W</b>	<b>-114.04</b>	
MSFT 11/19/2021 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	3.000	458.98	11/12/21	465.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	305.98	11/12/21	310.00	...	-4.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	5.000	764.96	Various	775.00	...	-10.04	Total of 2 transactions
MU 10/29/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	2.000	99.99	10/19/21	82.00	...	17.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	149.99	10/19/21	123.00	...	26.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	5.000	249.98	Various	205.00	...	44.98	Total of 2 transactions
NFLX 10/29/2021 CALL \$655.00 / CUSIP: / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	829.99	10/21/21	970.00	...	-140.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	829.99	10/21/21	950.00	...	-120.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/21/21	2.000	1,659.98	Various	1,920.00	...	-260.02	Total of 2 transactions
NFLX 10/29/2021 CALL \$675.00 / CUSIP: / Symbol:							
10/25/21	2.000	1,279.98	10/25/21	1,600.00	320.02 W	0.00	Option sale to close-call <sup>25</sup>
10/29/21	2.000	1,453.98	10/26/21	1,730.02	...	-276.04	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>2,733.96</b>		<b>3,330.02</b>	<b>320.02 W</b>	<b>-276.04</b>	
NFLX 11/05/2021 CALL \$675.00 / CUSIP: / Symbol:							
11/05/21	1.000	0.00	11/04/21	310.00	...	-310.00	Option expiration- long call
NFLX 11/12/2021 CALL \$675.00 / CUSIP: / Symbol:							
11/12/21	1.000	162.98	11/04/21	950.00	...	-787.02	Option sale to close-call <sup>25</sup>
NFLX 12/03/2021 CALL \$700.00 / CUSIP: / Symbol:							
11/18/21	1.000	1,039.98	11/17/21	1,300.00	...	-260.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NVDA 10/22/2021 CALL \$220.00 / CUSIP: / Symbol:							
6 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	1.000	226.98	10/14/21	197.00	...	29.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	226.98	10/14/21	197.00	...	29.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	453.98	10/14/21	394.00	...	59.98	3 of 6 - Option sale to close-call <sup>25</sup>
	6.000	1,361.98	10/14/21	1,182.00	...	179.98	4 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,174.98	10/14/21	985.00	...	189.98	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	1,174.98	10/14/21	985.00	...	189.98	6 of 6 - Option sale to close-call <sup>25</sup>
10/14/21	20.000	4,619.88	Various	3,940.00	...	679.88	Total of 6 transactions
NVDA 11/05/2021 CALL \$245.00 / CUSIP: / Symbol:							
4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	17.000	10,114.91	10/26/21	10,200.00	...	-85.09	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	594.99	10/26/21	510.00	...	84.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	595.00	10/26/21	510.00	...	85.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	950.98	10/26/21	600.00	...	350.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/21	20.000	12,255.88	Various	11,820.00	...	435.88	Total of 4 transactions
NVDA 11/12/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/04/21	1.000	781.98	11/04/21	815.00	...	-33.02	Option sale to close-call <sup>25</sup>
NVDA 11/19/2021 CALL \$262.50 / CUSIP: / Symbol:							
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	2,079.97	11/02/21	1,930.00	...	149.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,194.98	11/02/21	965.00	...	229.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	2,189.97	11/02/21	1,930.00	...	259.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	5,464.92	Various	4,825.00	...	639.92	Total of 3 transactions
NVDA 11/19/2021 PUT \$285.00 / CUSIP: / Symbol:							
11/05/21	5.000	5,349.96	11/04/21	5,300.00	...	49.96	Option sale to close-put <sup>25</sup>
NVDA 12/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
11/22/21	1.000	314.98	11/22/21	315.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PAYX 01/21/2022 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	2.000	921.98	10/18/21	858.00	...	63.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,382.98	10/18/21	1,287.00	...	95.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	5.000	2,304.96	Various	2,145.00	...	159.96	Total of 2 transactions
PFE 11/12/2021 CALL \$48.00 / CUSIP: / Symbol:							
11/10/21	5.000	624.98	11/05/21	340.00	...	284.98	Option sale to close-call <sup>25</sup>
PLTR 10/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	27.000	0.00	10/14/21	297.00	...	-297.00	1 of 2 - Option expiration- long call
	3.000	0.00	10/14/21	33.00	...	-33.00	2 of 2 - Option expiration- long call
10/15/21	30.000	0.00	Various	330.00	...	-330.00	Total of 2 transactions
PYPL 11/05/2021 CALL \$240.00 / CUSIP: / Symbol:							
11/02/21	5.000	474.98	10/28/21	1,490.00	...	-1,015.02	Option sale to close-call <sup>25</sup>
QS 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/20/21	5.000	329.98	10/20/21	365.00	...	-35.02	Option sale to close-call <sup>25</sup>
QS 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	364.98	11/04/21	230.00	...	134.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	729.98	11/04/21	460.00	...	269.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	729.98	11/04/21	460.00	...	269.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/12/21	5.000	1,824.94	Various	1,150.00	...	674.94	Total of 3 transactions
RCL 10/29/2021 CALL \$87.00 / CUSIP: / Symbol:							
10/21/21	4.000	451.98	10/20/21	476.00	...	-24.02	Option sale to close-call <sup>25</sup>
10/25/21	1.000	99.98	10/20/21	119.00	...	-19.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>551.96</b>		<b>595.00</b>	...	<b>-43.04</b>	

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 10/29/2021 CALL \$17.00 / CUSIP: / Symbol:							
7 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	14.000	363.96	10/18/21	546.00	...	-182.04	1 of 7 - Option sale to close-call <sup>25</sup>
	3.000	77.99	10/18/21	117.00	...	-39.01	2 of 7 - Option sale to close-call <sup>25</sup>
	3.000	77.99	10/18/21	117.00	...	-39.01	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	52.00	10/18/21	78.00	...	-26.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	26.00	10/18/21	39.00	...	-13.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	26.00	10/18/21	39.00	...	-13.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	26.00	10/18/21	39.00	...	-13.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/19/21	25.000	649.94	Various	975.00	...	-325.06	Total of 7 transactions
RKT 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	3.000	8.99	11/04/21	156.00	...	-147.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	5.99	11/04/21	104.00	...	-98.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	5.000	14.98	Various	260.00	...	-245.02	Total of 2 transactions
ROKU 10/22/2021 CALL \$340.00 / CUSIP: / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	1.000	534.98	10/13/21	362.00	...	172.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	534.98	10/13/21	362.00	...	172.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,289.98	10/13/21	1,086.00	...	203.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/13/21	5.000	2,359.94	Various	1,810.00	...	549.94	Total of 3 transactions
SDC 10/29/2021 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	52.00	10/19/21	43.00	...	9.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	207.98	10/19/21	172.00	...	35.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	5.000	259.98	Various	215.00	...	44.98	Total of 2 transactions
SFIX 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	154.98	11/01/21	146.00	...	8.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.98	11/01/21	146.00	...	8.98	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SFIX 11/19/2021 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	2.000	309.98	11/01/21	292.00	...	17.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	197.98	11/01/21	146.00	...	51.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	817.92	Various	730.00	...	87.92	Total of 4 transactions
SHOP 10/29/2021 CALL \$1,500.00 / CUSIP: / Symbol:							
10/26/21	1.000	529.98	10/21/21	4,500.00	...	-3,970.02	Option sale to close-call <sup>25</sup>
SNAP 10/29/2021 CALL \$80.00 / CUSIP: / Symbol:							
10/20/21	5.000	1,599.98	10/19/21	1,750.00	...	-150.02	Option sale to close-call <sup>25</sup>
SNAP 11/12/2021 CALL \$55.00 / CUSIP: / Symbol:							
11/09/21	5.000	679.98	11/08/21	525.00	...	154.98	Option sale to close-call <sup>25</sup>
SNOW 11/05/2021 CALL \$345.00 / CUSIP: / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1,077.98	10/26/21	720.00	...	357.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,077.98	10/26/21	720.00	...	357.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	2.000	2,155.96	Various	1,440.00	...	715.96	Total of 2 transactions
SNOW 12/17/2021 PUT \$340.00 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	1,229.98	11/04/21	1,370.00	...	-140.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,379.98	11/04/21	1,370.00	...	9.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/10/21	2.000	2,609.96	Various	2,740.00	...	-130.04	Total of 2 transactions
SOS 11/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	5.000	189.99	10/20/21	160.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	569.96	10/20/21	480.00	...	89.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/20/21	20.000	759.95	Various	640.00	...	119.95	Total of 2 transactions

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPCE 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	4.000	7.98	11/04/21	164.00	...	-156.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.98	11/04/21	41.00	...	-39.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/15/21	5.000	9.96	Various	205.00	...	-195.04	Total of 2 transactions
SPXL 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/05/21	1.000	324.98	11/05/21	340.00	...	-15.02	Option sale to close-call <sup>25</sup>
SPY 11/10/2021 PUT \$455.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	3.000	32.99	11/01/21	750.00	...	-717.01	1 of 2 - Option sale to close-put <sup>25</sup>
	10.000	109.97	11/02/21	1,550.00	...	-1,440.03	2 of 2 - Option sale to close-put <sup>25</sup>
11/05/21	13.000	142.96	Various	2,300.00	...	-2,157.04	Total of 2 transactions
SQ 10/29/2021 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	1.000	579.98	10/19/21	445.00	...	134.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,159.98	10/19/21	890.00	...	269.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,159.98	10/19/21	890.00	...	269.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/20/21	5.000	2,899.94	Various	2,225.00	...	674.94	Total of 3 transactions
TDOC 10/29/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	2.000	939.99	10/18/21	790.00	...	149.99	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	3,759.96	10/18/21	3,160.00	...	599.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/21	10.000	4,699.95	Various	3,950.00	...	749.95	Total of 2 transactions
TSLA 10/22/2021 CALL \$810.00 / CUSIP: / Symbol:							
10/14/21	1.000	2,871.97	10/13/21	2,485.00	...	386.97	Option sale to close-call <sup>25</sup>
TSLA 10/22/2021 CALL \$830.00 / CUSIP: / Symbol:							
10/15/21	2.000	4,799.96	10/15/21	4,230.00	...	569.96	Option sale to close-call <sup>25</sup>
TSLA 10/22/2021 PUT \$860.00 / CUSIP: / Symbol:							
10/20/21	1.000	1,794.98	10/20/21	1,790.00	...	4.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/29/2021 CALL \$1,020.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	3.000	9,389.94	10/26/21	8,925.00	...	464.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,129.98	10/26/21	2,595.02	...	534.96	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/21	4.000	12,519.92	Various	11,520.02	...	999.90	Total of 2 transactions
10/26/21	1.000	1,889.98	10/26/21	2,205.00	315.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>14,409.90</b>		<b>13,725.02</b>	<b>315.02 W</b>	<b>999.90</b>	
TSLA 10/29/2021 CALL \$1,030.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2,289.99	10/26/21	2,150.00	...	139.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	6,869.95	10/26/21	5,100.00	...	1,769.95	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/21	4.000	9,159.94	Various	7,250.00	...	1,909.94	Total of 2 transactions
TSLA 10/29/2021 CALL \$1,040.00 / CUSIP: / Symbol:							
7 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2,629.98	10/26/21	2,845.02	...	-215.04	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,354.99	10/26/21	2,275.00	...	79.99	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,629.98	10/26/21	2,485.02	...	144.96	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,629.98	10/26/21	2,485.01	...	144.97	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,889.98	10/26/21	2,360.00	...	529.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,889.98	10/26/21	2,360.00	...	529.98	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	2,889.98	10/26/21	2,260.00	...	629.98	7 of 7 - Option sale to close-call <sup>25</sup>
10/26/21	7.000	18,914.87	Various	17,070.05	...	1,844.82	Total of 7 transactions
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	2.000	4,709.97	10/26/21	5,200.00	490.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,354.98	10/26/21	2,600.00	245.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/21	3.000	7,064.95	Various	7,800.00	735.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>25,979.82</b>		<b>24,870.05</b>	<b>735.05 W</b>	<b>1,844.82</b>	
TSLA 10/29/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	6,189.96	10/25/21	4,400.00	...	1,789.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3,094.98	10/25/21	1,125.00	...	1,969.98	2 of 3 - Option sale to close-call <sup>25</sup>

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/29/2021 CALL \$1,050.00 / CUSIP: / Symbol: (cont'd)							
	2.000	6,189.97	10/25/21	3,460.00	...	2,729.97	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	5.000	15,474.91	Various	8,985.00	...	6,489.91	Total of 3 transactions
	3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.						
	1.000	1,949.98	10/25/21	2,185.00	235.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,009.98	10/25/21	2,070.02	60.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	4,019.97	10/25/21	4,300.00	280.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	4.000	7,979.93	Various	8,555.02	575.09 W	0.00	Total of 3 transactions
	4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	1,619.99	10/26/21	2,420.04	800.05 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,619.99	10/26/21	3,045.01	1,425.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,619.99	10/26/21	3,045.02	1,425.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,619.98	10/26/21	2,360.00	740.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/21	4.000	6,479.95	Various	10,870.07	4,390.12 W	0.00	Total of 4 transactions
	4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	2,379.99	10/27/21	4,370.04	...	-1,990.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,379.98	10/27/21	3,970.05	...	-1,590.07	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,379.98	10/27/21	3,745.06	...	-1,365.08	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,379.99	10/27/21	2,840.02	...	-460.03	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	4.000	9,519.94	Various	14,925.17	...	-5,405.23	Total of 4 transactions
	3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	1,654.99	10/27/21	3,200.05	1,545.06 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,654.98	10/27/21	3,825.02	2,170.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,654.98	10/27/21	3,725.03	2,070.05 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/21	3.000	4,964.95	Various	10,750.10	5,785.15 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>44,419.68</b>		<b>54,085.36</b>	<b>10,750.36 W</b>	<b>1,084.68</b>	
TSLA 10/29/2021 CALL \$1,070.00 / CUSIP: / Symbol:							
	3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	1.000	1,129.98	10/27/21	2,405.03	...	-1,275.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,284.99	10/27/21	2,127.00	...	-842.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,284.99	10/27/21	2,127.00	...	-842.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/21	3.000	3,699.96	Various	6,659.03	...	-2,959.07	Total of 3 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/29/2021 CALL \$1,070.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1,544.98	10/27/21	1,855.00	310.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,284.99	10/27/21	2,110.02	825.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/27/21	2.000	2,829.97	Various	3,965.02	1,135.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,529.93</b>		<b>10,624.05</b>	<b>1,135.05 W</b>	<b>-2,959.07</b>	
TSLA 10/29/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
10/26/21 1.000 3,264.97 10/26/21 3,220.00 ... 44.97 Option sale to close-call <sup>25</sup>							
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	904.99	10/28/21	1,390.01	...	-485.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	904.99	10/28/21	1,375.01	...	-470.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	1,699.98	10/28/21	1,440.01	...	259.97	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/21	4.000	3,509.96	Various	4,205.03	...	-695.07	Total of 3 transactions
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	3.000	2,699.98	10/28/21	2,910.00	140.01 W	-70.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	899.99	10/28/21	1,400.00	500.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	899.99	10/28/21	1,385.00	485.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/21	5.000	4,499.96	Various	5,695.00	1,125.03 W	-70.01	Total of 3 transactions
	<b>Security total:</b>	<b>11,274.89</b>		<b>13,120.03</b>	<b>1,125.03 W</b>	<b>-720.11</b>	
TSLA 10/29/2021 CALL \$870.00 / CUSIP: / Symbol:							
4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	2,913.97	10/20/21	2,153.00	...	760.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2,914.97	10/20/21	2,153.00	...	761.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3,029.97	10/20/21	2,153.00	...	876.97	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	5,829.96	10/20/21	4,306.00	...	1,523.96	4 of 4 - Option sale to close-call <sup>25</sup>
10/21/21	5.000	14,688.87	Various	10,765.00	...	3,923.87	Total of 4 transactions
TSLA 10/29/2021 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	5.000	5,399.96	10/18/21	5,385.00	...	14.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	7,474.95	10/18/21	7,175.00	...	299.95	2 of 2 - Option sale to close-call <sup>25</sup>
10/18/21	10.000	12,874.91	Various	12,560.00	...	314.91	Total of 2 transactions

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Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 10/29/2021 CALL \$900.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	1.000	2,009.99	10/22/21	1,945.00	...	64.99	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	8,039.94	10/22/21	7,780.00	...	259.94	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	10,449.93	10/22/21	9,425.00	...	1,024.93	3 of 3 - Option sale to close-call <sup>25</sup>
10/22/21	10.000	20,499.86	Various	19,150.00	...	1,349.86	Total of 3 transactions
	<b>Security total:</b>	<b>33,374.77</b>		<b>31,710.00</b>	...	<b>1,664.77</b>	
TSLA 10/29/2021 CALL \$910.00 / CUSIP: / Symbol:							
10/22/21	1.000	1,764.98	10/22/21	1,910.00	...	-145.02	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$960.00 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	2,044.97	10/25/21	1,880.00	...	164.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,044.99	10/25/21	1,550.00	...	494.99	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	8,179.94	10/25/21	7,520.00	...	659.94	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	6.000	12,269.90	Various	10,950.00	...	1,319.90	Total of 3 transactions
TSLA 10/29/2021 CALL \$970.00 / CUSIP: / Symbol:							
10/25/21	2.000	3,509.97	10/25/21	3,710.00	...	-200.03	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$980.00 / CUSIP: / Symbol:							
10/25/21	2.000	2,859.97	10/25/21	3,730.03	...	-870.06	Option sale to close-call <sup>25</sup>
10/25/21	3.000	4,844.96	10/25/21	5,460.00	410.03 W	-205.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,704.93</b>		<b>9,190.03</b>	<b>410.03 W</b>	<b>-1,075.07</b>	
TSLA 10/29/2021 CALL \$990.00 / CUSIP: / Symbol:							
10/25/21	1.000	2,399.97	10/25/21	2,480.00	...	-80.03	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 PUT \$1,050.00 / CUSIP: / Symbol:							
10/28/21	1.000	1,189.98	10/28/21	1,320.00	...	-130.02	Option sale to close-put <sup>25</sup>
TSLA 10/29/2021 PUT \$750.00 / CUSIP: / Symbol:							
10/25/21	5.000	239.98	10/21/21	1,825.00	...	-1,585.02	Option sale to close-put <sup>25</sup>
TSLA 11/05/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
10/25/21	2.000	6,529.95	10/25/21	6,120.00	...	409.95	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

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TSLA 11/05/2021 CALL \$1,100.00 / CUSIP: / Symbol: 10/29/21	1.000	3,449.97	10/29/21	3,765.00	...	-315.03	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,110.00 / CUSIP: / Symbol: 10/29/21	1.000	3,224.97	10/29/21	3,235.00	...	-10.03	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,185.00 / CUSIP: / Symbol: <i>13 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>	1.000	2,174.99	11/03/21	2,165.01	...	9.98	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.98	11/03/21	2,130.01	...	44.97	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.99	11/03/21	2,030.02	...	144.97	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.99	11/03/21	1,890.00	...	284.99	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.98	11/03/21	1,635.00	...	539.98	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.98	11/03/21	1,635.00	...	539.98	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,174.98	11/03/21	1,600.00	...	574.98	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,149.97	11/03/21	1,490.00	...	659.97	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,179.98	11/03/21	1,330.00	...	849.98	9 of 13 - Option sale to close-call <sup>25</sup>
	2.000	4,319.97	11/03/21	3,400.00	...	919.97	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	2,419.98	11/03/21	1,330.00	...	1,089.98	11 of 13 - Option sale to close-call <sup>25</sup>
	2.000	4,359.97	11/03/21	3,200.00	...	1,159.97	12 of 13 - Option sale to close-call <sup>25</sup>
	2.000	4,839.97	11/03/21	2,980.00	...	1,859.97	13 of 13 - Option sale to close-call <sup>25</sup>
11/03/21	16.000	35,494.73	Various	26,815.04	...	8,679.69	Total of 13 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/05/2021 CALL \$1,185.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	2,159.99	11/03/21	2,535.00	375.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	4,319.97	11/03/21	4,800.00	480.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	3.000	6,479.96	Various	7,335.00	855.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>41,974.69</b>		<b>34,150.04</b>	<b>855.04 W</b>	<b>8,679.69</b>	
TSLA 11/05/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/03/21	1.000	2,434.97	11/03/21	2,565.00	...	-130.03	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
11/04/21	2.000	3,499.97	11/04/21	3,700.00	...	-200.03	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 PUT \$1,160.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	7,039.95	11/02/21	7,400.00	...	-360.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	3,519.98	11/02/21	3,160.00	...	359.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/02/21	3.000	10,559.93	Various	10,560.00	...	-0.07	Total of 2 transactions
TSLA 11/12/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/02/21	1.000	5,504.96	11/02/21	5,920.00	...	-415.04	Option sale to close-call <sup>25</sup>
TSLA 11/12/2021 CALL \$1,240.00 / CUSIP: / Symbol:							
11/05/21	1.000	4,199.96	11/05/21	4,150.00	...	49.96	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,040.00 / CUSIP: / Symbol:							
11/16/21	1.000	3,014.97	11/12/21	3,150.00	...	-135.03	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	699.99	11/09/21	10,812.04	...	-10,112.05	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	699.99	11/09/21	2,900.00	...	-2,200.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,399.99	11/09/21	3,200.00	...	-1,800.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	700.00	11/09/21	1,600.00	...	-900.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/10/21	5.000	3,499.97	Various	18,512.04	...	-15,012.07	Total of 4 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/19/2021 CALL \$1,200.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	724.98	11/08/21	5,650.00	4,925.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	712.98	11/08/21	8,625.02	7,912.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/10/21	2.000	1,437.96	Various	14,275.02	12,837.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,937.93</b>		<b>32,787.06</b>	<b>12,837.06 W</b>	<b>-15,012.07</b>	
TSLA 11/19/2021 CALL \$1,210.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	7,789.96	11/05/21	8,320.05	...	-530.09	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	7,789.95	11/05/21	8,270.00	...	-480.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	7,789.96	11/05/21	8,270.00	...	-480.04	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	23,369.87	Various	24,860.05	...	-1,490.18	Total of 3 transactions
11/05/21	1.000	7,649.95	11/05/21	7,700.00	50.05 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>31,019.82</b>		<b>32,560.05</b>	<b>50.05 W</b>	<b>-1,490.18</b>	
TSLA 11/19/2021 PUT \$1,025.00 / CUSIP: / Symbol:							
11/10/21	1.000	5,399.96	11/10/21	4,900.00	...	499.96	Option sale to close-put <sup>25</sup>
TSLA 11/19/2021 PUT \$750.00 / CUSIP: / Symbol:							
5 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	474.98	10/21/21	880.00	...	-405.02	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	474.98	10/21/21	880.00	...	-405.02	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	474.98	10/21/21	880.00	...	-405.02	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	474.98	10/21/21	880.00	...	-405.02	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	474.98	10/21/21	880.00	...	-405.02	5 of 5 - Option sale to close-put <sup>25</sup>
10/25/21	5.000	2,374.90	Various	4,400.00	...	-2,025.10	Total of 5 transactions
TSLA 11/26/2021 CALL \$1,020.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	4,609.96	11/15/21	3,300.00	...	1,309.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,949.96	11/15/21	3,300.00	...	1,649.96	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	2.000	9,559.92	Various	6,600.00	...	2,959.92	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/26/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	3,549.98	11/17/21	3,700.00	...	-150.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,549.97	11/17/21	3,380.00	...	169.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	7,099.95	Various	7,080.00	...	19.95	Total of 2 transactions
TSLA 11/26/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
6 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/23/21	1,700.00	...	-1,700.00	1 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	670.00	...	-670.00	2 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	600.00	...	-600.00	3 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	600.00	...	-600.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	590.00	...	-590.00	5 of 6 - Option expiration- long call
	2.000	0.00	11/23/21	450.00	...	-450.00	6 of 6 - Option expiration- long call
11/26/21	7.000	0.00	Various	4,610.00	...	-4,610.00	Total of 6 transactions
TSLA 12/03/2021 PUT \$1,120.00 / CUSIP: / Symbol:							
11/23/21	1.000	5,053.96	11/19/21	5,370.00	...	-316.04	Option sale to close-put <sup>25</sup>
TTD 10/29/2021 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	115.00	10/18/21	105.00	...	10.00	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	459.99	10/18/21	420.00	...	39.99	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	574.98	10/18/21	525.00	...	49.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/19/21	10.000	1,149.97	Various	1,050.00	...	99.97	Total of 3 transactions
TTD 11/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	319.98	11/01/21	279.00	...	40.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	639.98	11/01/21	558.00	...	81.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	3.000	959.96	Various	837.00	...	122.96	Total of 2 transactions
11/03/21	1.000	359.98	11/01/21	279.00	...	80.98	Option sale to close-call <sup>25</sup>
11/04/21	1.000	214.98	11/01/21	279.00	...	-64.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,534.92</b>		<b>1,395.00</b>	...	<b>139.92</b>	

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UAL 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
4 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	197.98	11/03/21	158.00	...	39.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	197.99	11/03/21	158.00	...	39.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	197.99	11/03/21	158.00	...	39.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	395.98	11/03/21	316.00	...	79.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/15/21	5.000	989.94	Various	790.00	...	199.94	Total of 4 transactions
UPST 10/29/2021 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	797.98	10/28/21	500.00	...	297.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	925.98	10/28/21	500.00	...	425.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	1,723.96	Various	1,000.00	...	723.96	Total of 2 transactions
UXIN 12/17/2021 CALL \$3.00 / CUSIP: / Symbol:							
6 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	11.000	219.97	10/29/21	330.00	...	-110.03	1 of 6 - Option sale to close-call <sup>25</sup>
	5.000	99.98	10/29/21	150.00	...	-50.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	19.98	10/29/21	30.00	...	-10.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	19.98	10/29/21	30.00	...	-10.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	19.98	10/29/21	30.00	...	-10.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	19.98	10/29/21	30.00	...	-10.02	6 of 6 - Option sale to close-call <sup>25</sup>
11/02/21	20.000	399.87	Various	600.00	...	-200.13	Total of 6 transactions
X 10/22/2021 CALL \$22.00 / CUSIP: / Symbol:							
10/19/21	5.000	184.98	10/19/21	150.00	...	34.98	Option sale to close-call <sup>25</sup>
X 11/19/2021 CALL \$27.00 / CUSIP: / Symbol:							
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	183.00	10/29/21	130.00	...	53.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	183.00	10/29/21	130.00	...	53.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	365.99	10/29/21	270.00	...	95.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	365.99	10/29/21	270.00	...	95.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/08/21	6.000	1,097.98	Various	800.00	...	297.98	Total of 4 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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X 11/19/2021 CALL \$27.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	5.000	244.98	10/29/21	675.00	...	-430.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.98	10/29/21	135.00	...	-86.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	6.000	293.96	Various	810.00	...	-516.04	Total of 2 transactions
	<b>Security total:</b>	<b>1,391.94</b>		<b>1,610.00</b>	...	<b>-218.06</b>	
ZM 11/12/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/05/21	5.000	399.98	11/04/21	1,400.00	...	-1,000.02	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
6 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	12.000	215.87	01/27/21	198.00	...	17.87	1 of 6 - Sale <sup>25</sup>
	12.000	215.87	01/27/21	192.10	...	23.77	2 of 6 - Sale <sup>25</sup>
	40.000	719.57	01/27/21	680.00	...	39.57	3 of 6 - Sale <sup>25</sup>
	40.000	719.57	01/27/21	660.00	...	59.57	4 of 6 - Sale <sup>25</sup>
	75.000	1,349.21	01/27/21	1,200.62	...	148.59	5 of 6 - Sale <sup>25</sup>
	106.000	1,906.87	01/27/21	1,696.88	...	209.99	6 of 6 - Sale <sup>25</sup>
01/27/21	285.000	5,126.96	Various	4,627.60	...	499.36	Total of 6 transactions
34 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	5.000	90.90	01/27/21	110.00	15.28 W	-3.82	1 of 34 - Sale <sup>25</sup>
	1.000	17.99	01/27/21	29.62	11.63 W	0.00	2 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	77.46	23.49 W	0.00	3 of 34 - Sale <sup>25</sup>
	4.000	71.96	01/27/21	118.56	46.60 W	0.00	4 of 34 - Sale <sup>25</sup>
	9.000	161.91	01/27/21	232.39	70.48 W	0.00	5 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	77.46	23.49 W	0.00	6 of 34 - Sale <sup>25</sup>
	7.000	125.93	01/27/21	180.74	54.81 W	0.00	7 of 34 - Sale <sup>25</sup>
	20.000	359.79	01/27/21	516.41	156.62 W	0.00	8 of 34 - Sale <sup>25</sup>
	1.000	17.99	01/27/21	22.00	4.01 W	0.00	9 of 34 - Sale <sup>25</sup>
	1.000	17.99	01/27/21	28.13	10.14 W	0.00	10 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	72.99	19.02 W	0.00	11 of 34 - Sale <sup>25</sup>
	4.000	71.96	01/27/21	112.60	40.64 W	0.00	12 of 34 - Sale <sup>25</sup>
	4.000	71.96	01/27/21	97.32	25.36 W	0.00	13 of 34 - Sale <sup>25</sup>
	7.000	125.93	01/27/21	170.31	44.38 W	0.00	14 of 34 - Sale <sup>25</sup>
	9.000	161.91	01/27/21	218.98	57.07 W	0.00	15 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.000	17.99	01/27/21	20.51	2.52 W	0.00	16 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	72.99	19.02 W	0.00	17 of 34 - Sale <sup>25</sup>
	16.000	287.83	01/27/21	389.30	101.47 W	0.00	18 of 34 - Sale <sup>25</sup>
	1.000	17.99	01/27/21	26.15	8.16 W	0.00	19 of 34 - Sale <sup>25</sup>
	1.000	17.99	01/27/21	18.53	0.54 W	0.00	20 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	67.04	13.07 W	0.00	21 of 34 - Sale <sup>25</sup>
	3.000	53.97	01/27/21	67.04	13.07 W	0.00	22 of 34 - Sale <sup>25</sup>
	4.000	71.96	01/27/21	104.67	32.71 W	0.00	23 of 34 - Sale <sup>25</sup>
	4.000	71.96	01/27/21	89.39	17.43 W	0.00	24 of 34 - Sale <sup>25</sup>
	7.000	125.93	01/27/21	156.44	30.51 W	0.00	25 of 34 - Sale <sup>25</sup>
	9.000	161.90	01/27/21	201.14	39.24 W	0.00	26 of 34 - Sale <sup>25</sup>
	16.000	287.83	01/27/21	357.60	69.77 W	0.00	27 of 34 - Sale <sup>25</sup>
	1.000	18.18	01/27/21	21.98	3.80 W	0.00	28 of 34 - Sale <sup>25</sup>
	3.000	54.54	01/27/21	66.00	11.46 W	0.00	29 of 34 - Sale <sup>25</sup>
	1.000	18.18	01/27/21	25.80	7.62 W	0.00	30 of 34 - Sale <sup>25</sup>
	4.000	72.72	01/27/21	103.28	30.56 W	0.00	31 of 34 - Sale <sup>25</sup>
	16.000	290.87	01/27/21	352.00	61.13 W	0.00	32 of 34 - Sale <sup>25</sup>
	20.000	363.59	01/27/21	440.00	76.41 W	0.00	33 of 34 - Sale <sup>25</sup>
	3.000	54.54	01/27/21	66.00	11.46 W	0.00	34 of 34 - Sale <sup>25</sup>
01/27/21	197.000	3,554.04	Various	4,710.83	1,152.97 W	-3.82	Total of 34 transactions
17 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	14.000	124.47	01/29/21	229.05	...	-104.58	1 of 17 - Sale <sup>25</sup>
	8.000	71.13	01/29/21	130.88	...	-59.75	2 of 17 - Sale <sup>25</sup>
	7.000	62.24	01/29/21	114.51	...	-52.27	3 of 17 - Sale <sup>25</sup>
	4.000	35.56	01/29/21	65.43	...	-29.87	4 of 17 - Sale <sup>25</sup>
	2.000	17.78	01/29/21	40.36	...	-22.58	5 of 17 - Sale <sup>25</sup>
	2.000	17.78	01/29/21	40.35	...	-22.57	6 of 17 - Sale <sup>25</sup>
	3.000	26.67	01/29/21	49.07	...	-22.40	7 of 17 - Sale <sup>25</sup>
	3.000	26.67	01/29/21	49.07	...	-22.40	8 of 17 - Sale <sup>25</sup>
	2.000	17.78	01/29/21	32.72	...	-14.94	9 of 17 - Sale <sup>25</sup>
	1.000	8.89	01/29/21	20.16	...	-11.27	10 of 17 - Sale <sup>25</sup>
	1.000	8.89	01/29/21	16.36	...	-7.47	11 of 17 - Sale <sup>25</sup>
	2.000	17.78	01/29/21	24.00	...	-6.22	12 of 17 - Sale <sup>25</sup>
	1.000	8.89	01/29/21	12.54	...	-3.65	13 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	50.000	444.55	02/02/21	400.44	...	44.11	14 of 17 - Sale <sup>25</sup>
	50.000	444.55	02/02/21	400.40	...	44.15	15 of 17 - Sale <sup>25</sup>
	50.000	444.54	02/02/21	399.40	...	45.14	16 of 17 - Sale <sup>25</sup>
	200.000	1,778.18	02/02/21	1,601.60	...	176.58	17 of 17 - Sale <sup>25</sup>
02/24/21	400.000	3,556.35	Various	3,626.34	...	-69.99	Total of 17 transactions
08/26/21	1.000	42.34	08/04/21	30.41	...	11.93	Sale <sup>25</sup>
10/14/21	55.000	2,200.57	10/13/21	2,019.60	...	180.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,480.26</b>		<b>15,014.78</b>	<b>1,152.97 W</b>	<b>618.45</b>	
AEHR TEST SYSTEMS COMMON STOCK / CUSIP: 00760J108 / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	50.000	1,051.49	10/13/21	1,029.00	...	22.49	1 of 3 - Sale <sup>25</sup>
	450.000	9,463.39	10/13/21	9,238.50	...	224.89	2 of 3 - Sale <sup>25</sup>
	550.000	11,581.44	10/13/21	11,291.50	...	289.94	3 of 3 - Sale <sup>25</sup>
10/13/21	1,050.000	22,096.32	Various	21,559.00	...	537.32	Total of 3 transactions
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
10/18/21	100.000	15,736.37	10/18/21	15,830.00	...	-93.63	Sale <sup>25</sup>
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
09/21/21	200.000	2,687.38	09/20/21	2,477.80	...	209.58	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	2.000	6,844.45	02/02/21	6,850.00	...	-5.55	1 of 2 - Sale <sup>25</sup>
	0.850	2,908.89	02/02/21	2,907.21	...	1.68	2 of 2 - Sale <sup>25</sup>
02/02/21	2.850	9,753.34	Various	9,757.21	...	-3.87	Total of 2 transactions
AMERICAN FINANCIAL GROUP, INC. / CUSIP: 025932104 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	8.000	747.26	01/28/21	699.28	...	47.98	1 of 2 - Sale <sup>25</sup>
	42.000	3,923.97	01/28/21	3,671.22	...	252.75	2 of 2 - Sale <sup>25</sup>
01/29/21	50.000	4,671.23	Various	4,370.50	...	300.73	Total of 2 transactions

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Robinhood Securities LLC

Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	34.000	4,487.89	01/29/21	4,487.32	...	0.57	1 of 3 - Sale <sup>25</sup>
	6.000	792.10	01/29/21	786.00	...	6.10	2 of 3 - Sale <sup>25</sup>
	33.000	4,355.90	01/29/21	4,323.00	...	32.90	3 of 3 - Sale <sup>25</sup>
01/29/21	73.000	9,635.89	Various	9,596.32	...	39.57	Total of 3 transactions
18 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	4.000	540.16	02/03/21	536.12	...	4.04	1 of 18 - Sale <sup>25</sup>
	69.000	9,317.72	02/03/21	9,247.73	...	69.99	2 of 18 - Sale <sup>25</sup>
	3.000	405.12	02/17/21	391.08	...	14.04	3 of 18 - Sale <sup>25</sup>
	55.000	7,427.17	02/17/21	7,223.70	...	203.47	4 of 18 - Sale <sup>25</sup>
	2.000	270.08	02/24/21	250.23	...	19.85	5 of 18 - Sale <sup>25</sup>
	10.000	1,350.39	02/24/21	1,240.49	...	109.90	6 of 18 - Sale <sup>25</sup>
	14.000	1,890.55	02/24/21	1,757.00	...	133.55	7 of 18 - Sale <sup>25</sup>
	26.000	3,511.02	02/24/21	3,245.58	...	265.44	8 of 18 - Sale <sup>25</sup>
	1.000	135.04	03/01/21	123.90	...	11.14	9 of 18 - Sale <sup>25</sup>
	2.000	270.08	03/01/21	247.31	...	22.77	10 of 18 - Sale <sup>25</sup>
	8.000	1,080.31	03/01/21	989.20	...	91.11	11 of 18 - Sale <sup>25</sup>
	8.000	1,080.31	03/01/21	985.88	...	94.43	12 of 18 - Sale <sup>25</sup>
	10.000	1,350.40	03/01/21	1,236.10	...	114.30	13 of 18 - Sale <sup>25</sup>
	10.000	1,350.39	03/01/21	1,233.55	...	116.84	14 of 18 - Sale <sup>25</sup>
	15.000	2,025.59	03/01/21	1,849.79	...	175.80	15 of 18 - Sale <sup>25</sup>
	20.000	2,700.79	03/01/21	2,469.60	...	231.19	16 of 18 - Sale <sup>25</sup>
	13.000	1,755.51	03/12/21	1,560.38	...	195.13	17 of 18 - Sale <sup>25</sup>
	13.000	1,755.52	04/27/21	1,755.20	...	0.32	18 of 18 - Sale <sup>25</sup>
06/28/21	283.000	38,216.15	Various	36,342.84	...	1,873.31	Total of 18 transactions
6 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	3.000	458.98	08/09/21	437.78	...	21.20	1 of 6 - Sale <sup>25</sup>
	27.000	4,130.84	08/23/21	4,035.69	...	95.15	2 of 6 - Sale <sup>25</sup>
	28.000	4,283.83	08/23/21	4,185.16	...	98.67	3 of 6 - Sale <sup>25</sup>
	2.000	305.99	08/25/21	295.96	...	10.03	4 of 6 - Sale <sup>25</sup>
	10.000	1,529.89	08/25/21	1,479.80	...	50.09	5 of 6 - Sale <sup>25</sup>
	30.000	4,589.82	08/25/21	4,439.40	...	150.42	6 of 6 - Sale <sup>25</sup>
08/30/21	100.000	15,299.35	Various	14,873.79	...	425.56	Total of 6 transactions

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	40.000	5,769.96	08/25/21	5,919.60	...	-149.64	1 of 3 - Sale <sup>25</sup>
	8.000	1,153.99	08/25/21	1,183.84	...	-29.85	2 of 3 - Sale <sup>25</sup>
	7.000	1,009.74	08/31/21	1,066.31	...	-56.57	3 of 3 - Sale <sup>25</sup>
10/18/21	55.000	7,933.69	Various	8,169.75	...	-236.06	Total of 3 transactions
	<b>Security total:</b>	<b>71,085.08</b>		<b>68,982.70</b>	...	<b>2,102.38</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
11/02/21	1.000	6.87	10/26/21	7.21	...	-0.34	Sale <sup>25</sup>
BEAM GLOBAL COMMON STOCK / CUSIP: 07373B109 / Symbol:							
04/27/21	50.000	1,710.87	02/01/21	3,007.50	...	-1,296.63	Sale <sup>25</sup>
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	6.000	255.53	01/27/21	262.20	6.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	596.25	01/27/21	611.80	15.55 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	86.00	01/27/21	86.26	0.26 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	22.000	937.78	Various	960.26	22.48 W	0.00	Total of 3 transactions
7 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	30.23	01/29/21	38.23	...	-8.00	1 of 7 - Sale <sup>25</sup>
	14.000	423.22	02/01/21	444.23	...	-21.01	2 of 7 - Sale <sup>25</sup>
	30.000	906.89	02/01/21	918.60	...	-11.71	3 of 7 - Sale <sup>25</sup>
	3.000	90.69	02/01/21	95.20	...	-4.51	4 of 7 - Sale <sup>25</sup>
	5.000	151.37	02/01/21	153.15	...	-1.78	5 of 7 - Sale <sup>25</sup>
	2.000	60.46	02/01/21	61.50	...	-1.04	6 of 7 - Sale <sup>25</sup>
	1.000	30.28	02/01/21	30.62	...	-0.34	7 of 7 - Sale <sup>25</sup>
03/12/21	56.000	1,693.14	Various	1,741.53	...	-48.39	Total of 7 transactions
	<b>Security total:</b>	<b>2,630.92</b>		<b>2,701.79</b>	<b>22.48 W</b>	<b>-48.39</b>	
BIOLINERX LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09071M205 / Symbol:							
7 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	135.000	386.08	01/28/21	386.44	...	-0.36	1 of 7 - Sale <sup>25</sup>
	100.000	285.99	01/28/21	286.24	...	-0.25	2 of 7 - Sale <sup>25</sup>

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Account 123964371

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIOLINERX LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09071M205 / Symbol: (cont'd)							
	47.000	134.42	01/28/21	134.54	...	-0.12	3 of 7 - Sale <sup>25</sup>
	10.000	28.60	01/28/21	28.63	...	-0.03	4 of 7 - Sale <sup>25</sup>
	4.000	11.44	01/28/21	11.45	...	-0.01	5 of 7 - Sale <sup>25</sup>
	3.000	8.58	01/28/21	8.59	...	-0.01	6 of 7 - Sale <sup>25</sup>
	1.000	2.86	01/28/21	2.86	...	0.00	7 of 7 - Sale <sup>25</sup>
01/29/21	300.000	857.97	Various	858.75	...	-0.78	Total of 7 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	20.50	01/27/21	20.50	...	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	41.00	01/27/21	41.00	...	0.00	2 of 2 - Sale <sup>25</sup>
01/27/21	3.000	61.50	Various	61.50	...	0.00	Total of 2 transactions
CNX RESOURCES CORPORATION / CUSIP: 12653C108 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	50.000	634.98	01/28/21	625.00	...	9.98	1 of 2 - Sale <sup>25</sup>
	100.000	1,269.95	01/28/21	1,250.00	...	19.95	2 of 2 - Sale <sup>25</sup>
01/29/21	150.000	1,904.93	Various	1,875.00	...	29.93	Total of 2 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/10/21	1.000	1.54	09/07/21	0.98	...	0.56	Sale <sup>25</sup>
CANOPY GROWTH CORPORATION COMMON SHARES / CUSIP: 138035100 / Symbol:							
01/29/21	39.000	1,540.46	01/27/21	1,456.19	...	84.27	Sale <sup>25</sup>
02/10/21	61.000	3,206.07	01/27/21	2,277.64	...	928.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,746.53</b>		<b>3,733.83</b>	...	<b>1,012.70</b>	
CANOO INC. CLASS A COMMON STOCK / CUSIP: 13803R102 / Symbol:							
10/13/21	120.000	855.86	09/24/21	1,010.12	...	-154.26	Sale <sup>25</sup>
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
10 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	10.000	16.70	01/27/21	13.80	...	2.90	1 of 10 - Sale <sup>25</sup>
	20.000	33.40	01/27/21	27.60	...	5.80	2 of 10 - Sale <sup>25</sup>
	25.000	41.75	01/27/21	33.75	...	8.00	3 of 10 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	35.000	58.45	01/27/21	47.25	...	11.20	4 of 10 - Sale <sup>25</sup>
	60.000	100.19	01/27/21	82.80	...	17.39	5 of 10 - Sale <sup>25</sup>
	70.000	116.89	01/27/21	96.60	...	20.29	6 of 10 - Sale <sup>25</sup>
	100.000	166.99	01/27/21	138.00	...	28.99	7 of 10 - Sale <sup>25</sup>
	200.000	333.98	01/27/21	276.00	...	57.98	8 of 10 - Sale <sup>25</sup>
	240.000	400.77	01/27/21	331.20	...	69.57	9 of 10 - Sale <sup>25</sup>
	300.000	500.94	01/27/21	414.00	...	86.94	10 of 10 - Sale <sup>25</sup>
02/24/21	1,060.000	1,770.06	Various	1,461.00	...	309.06	Total of 10 transactions
CRICUT, INC. CLASS A COMMON STOCK / CUSIP: 22658D100 / Symbol:							
10/15/21	100.000	2,687.05	10/14/21	2,819.00	...	-131.95	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
01/29/21	25.000	5,283.88	01/28/21	5,395.75	...	-111.87	Sale <sup>25</sup>
FUELCELL ENERGY, INC. COMMON STOCK / CUSIP: 35952H601 / Symbol:							
01/29/21	5.000	110.00	01/27/21	117.94	...	-7.94	Sale <sup>25</sup>
09/23/21	1,400.000	9,956.99	09/23/21	9,925.16	...	31.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,066.99</b>		<b>10,043.10</b>	...	<b>23.89</b>	
HUDSON TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 444144109 / Symbol:							
02/17/21	100.000	183.00	01/27/21	173.95	...	9.05	Sale <sup>25</sup>
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
	15 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	1.000	18.65	10/13/21	18.37	...	0.28	1 of 15 - Sale <sup>25</sup>
	2.000	37.31	10/13/21	36.74	...	0.57	2 of 15 - Sale <sup>25</sup>
	29.000	540.94	10/13/21	532.70	...	8.24	3 of 15 - Sale <sup>25</sup>
	32.000	596.91	10/13/21	587.81	...	9.10	4 of 15 - Sale <sup>25</sup>
	50.000	932.67	10/13/21	918.50	...	14.17	5 of 15 - Sale <sup>25</sup>
	68.000	1,268.42	10/13/21	1,249.09	...	19.33	6 of 15 - Sale <sup>25</sup>
	100.000	1,865.33	10/13/21	1,836.90	...	28.43	7 of 15 - Sale <sup>25</sup>
	100.000	1,865.33	10/13/21	1,836.00	...	29.33	8 of 15 - Sale <sup>25</sup>
	50.000	932.66	10/13/21	901.45	...	31.21	9 of 15 - Sale <sup>25</sup>
	168.000	3,133.75	10/13/21	3,085.99	...	47.76	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol: (cont'd)							
	100.000	1,865.33	10/13/21	1,802.90	...	62.43	11 of 15 - Sale <sup>25</sup>
	100.000	1,865.33	10/13/21	1,802.90	...	62.43	12 of 15 - Sale <sup>25</sup>
	100.000	1,865.98	10/13/21	1,802.90	...	63.08	13 of 15 - Sale <sup>25</sup>
	100.000	1,865.98	10/13/21	1,802.90	...	63.08	14 of 15 - Sale <sup>25</sup>
	100.000	1,868.48	10/13/21	1,802.90	...	65.58	15 of 15 - Sale <sup>25</sup>
10/13/21	1,100.000	20,523.07	Various	20,018.05	...	505.02	Total of 15 transactions
	5 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	500.000	8,849.89	10/14/21	9,405.06	...	-555.17	1 of 5 - Sale <sup>25</sup>
	200.000	3,539.95	10/14/21	3,750.02	...	-210.07	2 of 5 - Sale <sup>25</sup>
	199.000	3,522.26	10/14/21	3,731.28	...	-209.02	3 of 5 - Sale <sup>25</sup>
	50.000	884.99	10/14/21	985.51	...	-100.52	4 of 5 - Sale <sup>25</sup>
	50.000	884.99	10/14/21	985.02	...	-100.03	5 of 5 - Sale <sup>25</sup>
10/14/21	999.000	17,682.08	Various	18,856.89	...	-1,174.81	Total of 5 transactions
	8 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	50.000	880.49	10/13/21	928.00	47.51 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	880.49	10/13/21	928.50	48.01 W	0.00	2 of 8 - Sale <sup>25</sup>
	200.000	3,521.95	10/13/21	3,718.00	196.05 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	880.49	10/13/21	977.01	96.52 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	880.49	10/13/21	977.51	97.02 W	0.00	5 of 8 - Sale <sup>25</sup>
	200.000	3,521.96	10/13/21	3,718.00	196.04 W	0.00	6 of 8 - Sale <sup>25</sup>
	1,900.000	33,344.59	10/13/21	35,321.00	1,976.41 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	17.70	10/14/21	18.47	0.77 W	0.00	8 of 8 - Sale <sup>25</sup>
10/14/21	2,501.000	43,928.16	Various	46,586.49	2,658.33 W	0.00	Total of 8 transactions
	3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.						
	1,400.000	24,177.70	10/14/21	26,334.16	...	-2,156.46	1 of 3 - Sale <sup>25</sup>
	100.000	1,726.98	10/14/21	1,776.99	...	-50.01	2 of 3 - Sale <sup>25</sup>
	1.000	17.27	10/14/21	18.54	...	-1.27	3 of 3 - Sale <sup>25</sup>
10/18/21	1,501.000	25,921.95	Various	28,129.69	...	-2,207.74	Total of 3 transactions
	<b>Security total:</b>	<b>108,055.26</b>		<b>113,591.12</b>	<b>2,658.33 W</b>	<b>-2,877.53</b>	

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Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
10/06/21	10.000	805.39	10/04/21	839.69	...	-34.30	Sale <sup>25</sup>
10/06/21	20.000	1,610.79	10/01/21	1,671.75	30.48 W	-30.48	Sale <sup>25</sup>
10/18/21	10.000	779.09	10/04/21	870.17	...	-91.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,195.27</b>		<b>3,381.61</b>	<b>30.48 W</b>	<b>-155.86</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/26/21	50.000	12,187.23	01/04/21	10,850.00	...	1,337.23	Sale <sup>25</sup>
06/28/21	38.000	10,197.39	04/30/21	9,496.18	...	701.21	Sale <sup>25</sup>
09/23/21	7.000	2,090.04	09/09/21	2,097.11	...	-7.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>24,474.66</b>		<b>22,443.29</b>	...	<b>2,031.37</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	18.000	2,697.78	01/29/21	3,150.00	...	-452.22	1 of 2 - Sale <sup>25</sup>
	2.000	299.80	01/29/21	350.00	...	-50.20	2 of 2 - Sale <sup>25</sup>
02/02/21	20.000	2,997.58	Various	3,500.00	...	-502.42	Total of 2 transactions
6 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	25.000	10,141.95	08/06/21	9,944.34	...	197.61	1 of 6 - Sale <sup>25</sup>
	22.000	8,924.92	08/12/21	8,644.72	...	280.20	2 of 6 - Sale <sup>25</sup>
	1.000	405.68	08/20/21	383.78	...	21.90	3 of 6 - Sale <sup>25</sup>
	15.000	6,085.16	08/20/21	5,762.66	...	322.50	4 of 6 - Sale <sup>25</sup>
	1.000	405.68	08/23/21	403.41	...	2.27	5 of 6 - Sale <sup>25</sup>
	5.000	2,028.39	08/23/21	2,017.83	...	10.56	6 of 6 - Sale <sup>25</sup>
08/23/21	69.000	27,991.78	Various	27,156.74	...	835.04	Total of 6 transactions
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	1.000	412.01	08/24/21	396.26	...	15.75	1 of 2 - Sale <sup>25</sup>
	59.000	24,308.33	08/24/21	23,179.04	...	1,129.29	2 of 2 - Sale <sup>25</sup>
09/03/21	60.000	24,720.34	Various	23,575.30	...	1,145.04	Total of 2 transactions
5 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	5.000	2,274.62	08/24/21	1,950.91	...	323.71	1 of 5 - Sale <sup>25</sup>
	16.000	7,278.77	08/24/21	6,285.84	...	992.93	2 of 5 - Sale <sup>25</sup>
	20.000	9,111.30	08/24/21	7,803.63	...	1,307.67	3 of 5 - Sale <sup>25</sup>
	2.000	914.75	08/27/21	764.40	...	150.35	4 of 5 - Sale <sup>25</sup>

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Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
09/09/21	18.000	8,232.78	08/31/21	6,800.43	...	1,432.35	5 of 5 - Sale <sup>25</sup>
	61.000	27,812.22	Various	23,605.21	...	4,207.01	Total of 5 transactions
	3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	20.000	8,385.13	08/31/21	7,556.04	...	829.09	1 of 3 - Sale <sup>25</sup>
	10.000	4,192.56	09/03/21	4,000.07	...	192.49	2 of 3 - Sale <sup>25</sup>
	10.000	4,192.57	09/03/21	3,995.60	...	196.97	3 of 3 - Sale <sup>25</sup>
09/17/21	40.000	16,770.26	Various	15,551.71	...	1,218.55	Total of 3 transactions
	3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	5.000	2,096.28	09/09/21	2,241.57	145.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	6,288.85	09/09/21	6,728.31	439.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	12,577.69	09/15/21	12,979.47	401.78 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/21	50.000	20,962.82	Various	21,949.35	986.53 W	0.00	Total of 3 transactions
	8 transactions for 09/28/21. Total proceeds and cost reported to the IRS.						
	5.000	1,929.96	09/17/21	2,220.29	290.33 W	0.00	1 of 8 - Sale <sup>25</sup>
	15.000	5,789.88	09/17/21	6,664.46	874.58 W	0.00	2 of 8 - Sale <sup>25</sup>
	30.000	11,579.75	09/17/21	12,851.78	1,272.03 W	0.00	3 of 8 - Sale <sup>25</sup>
	37.000	14,281.70	09/17/21	15,355.00	1,073.30 W	0.00	4 of 8 - Sale <sup>25</sup>
	23.000	8,877.81	09/17/21	9,545.00	667.19 W	0.00	5 of 8 - Sale <sup>25</sup>
	20.000	7,719.84	09/27/21	8,178.50	458.66 W	0.00	6 of 8 - Sale <sup>25</sup>
	25.000	9,649.80	09/27/21	10,224.54	574.74 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	1,157.97	09/27/21	1,226.81	68.84 W	0.00	8 of 8 - Sale <sup>25</sup>
09/28/21	158.000	60,986.71	Various	66,266.38	5,279.67 W	0.00	Total of 8 transactions
	7 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	1.000	359.00	09/28/21	445.38	86.38 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	1,435.99	09/28/21	1,762.35	326.36 W	0.00	2 of 7 - Sale <sup>25</sup>
	15.000	5,384.98	09/28/21	6,612.42	1,227.44 W	0.00	3 of 7 - Sale <sup>25</sup>
	30.000	10,769.95	09/28/21	12,747.70	1,977.75 W	0.00	4 of 7 - Sale <sup>25</sup>
	13.000	4,666.98	09/28/21	5,349.90	682.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	37.000	13,282.94	09/28/21	15,226.63	1,943.69 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	359.00	09/28/21	411.53	52.53 W	0.00	7 of 7 - Sale <sup>25</sup>
10/01/21	101.000	36,258.84	Various	42,555.91	6,297.07 W	0.00	Total of 7 transactions
10/07/21	1.000	300.94	10/01/21	372.34	71.40 W	0.00	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	25.000	8,369.82	10/15/21	8,963.97	...	-594.15	1 of 2 - Sale <sup>25</sup>
	3.000	1,004.38	10/15/21	1,075.55	...	-71.17	2 of 2 - Sale <sup>25</sup>
10/18/21	28.000	9,374.20	Various	10,039.52	...	-665.32	Total of 2 transactions
6 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	334.79	10/07/21	343.21	8.42 W	0.00	1 of 6 - Sale <sup>25</sup>
	20.000	6,695.86	10/15/21	7,170.04	237.09 W	-237.09	2 of 6 - Sale <sup>25</sup>
	1.000	334.79	10/15/21	421.95	87.16 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	1,339.17	10/15/21	1,668.63	329.46 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	3,347.93	10/15/21	4,173.98	826.05 W	0.00	5 of 6 - Sale <sup>25</sup>
	7.000	2,343.55	10/15/21	2,552.03	208.48 W	0.00	6 of 6 - Sale <sup>25</sup>
10/18/21	43.000	14,396.09	Various	16,329.84	1,696.66 W	-237.09	Total of 6 transactions
13 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	30.000	10,539.03	10/21/21	12,106.50	...	-1,567.47	1 of 13 - Sale <sup>25</sup>
	25.000	8,782.52	10/21/21	9,753.93	...	-971.41	2 of 13 - Sale <sup>25</sup>
	5.000	1,756.51	10/21/21	2,097.28	...	-340.77	3 of 13 - Sale <sup>25</sup>
	10.000	3,513.01	10/25/21	4,282.80	...	-769.79	4 of 13 - Sale <sup>25</sup>
	13.000	4,566.92	10/25/21	5,176.69	...	-609.77	5 of 13 - Sale <sup>25</sup>
	12.000	4,215.61	10/25/21	4,778.49	...	-562.88	6 of 13 - Sale <sup>25</sup>
	4.000	1,405.20	10/25/21	1,712.16	...	-306.96	7 of 13 - Sale <sup>25</sup>
	10.000	3,513.01	10/25/21	3,693.84	...	-180.83	8 of 13 - Sale <sup>25</sup>
	7.000	2,459.11	10/25/21	2,628.20	...	-169.09	9 of 13 - Sale <sup>25</sup>
	1.000	351.30	10/25/21	432.84	...	-81.54	10 of 13 - Sale <sup>25</sup>
	1.000	351.30	10/25/21	417.08	...	-65.78	11 of 13 - Sale <sup>25</sup>
	1.000	351.30	10/25/21	398.20	...	-46.90	12 of 13 - Sale <sup>25</sup>
	1.000	351.30	10/25/21	354.09	...	-2.79	13 of 13 - Sale <sup>25</sup>
10/26/21	120.000	42,156.12	Various	47,832.10	...	-5,675.98	Total of 13 transactions
	<b>Security total:</b>	<b>284,727.90</b>		<b>298,734.40</b>	<b>14,331.33 W</b>	<b>324.83</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
08/19/21	50.000	27,060.07	08/03/21	25,449.97	...	1,610.10	Sale <sup>25</sup>
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
01/29/21	75.000	1,019.96	01/27/21	1,037.02	...	-17.06	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
4 transactions for 08/23/21. Total proceeds and cost reported to the IRS.							
	1.000	710.44	08/06/21	703.51	...	6.93	1 of 4 - Sale <sup>25</sup>
	9.000	6,393.95	08/06/21	6,312.44	...	81.51	2 of 4 - Sale <sup>25</sup>
	10.000	7,104.40	08/06/21	7,017.23	...	87.17	3 of 4 - Sale <sup>25</sup>
	15.000	10,656.60	08/20/21	10,275.70	...	380.90	4 of 4 - Sale <sup>25</sup>
08/23/21	35.000	24,865.39	Various	24,308.88	...	556.51	Total of 4 transactions
09/07/21	5.000	3,749.21	09/07/21	3,770.33	...	-21.12	Sale <sup>25</sup>
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	1,231.01	10/25/21	1,015.90	...	215.11	1 of 2 - Sale <sup>25</sup>
	1.000	1,231.00	10/26/21	1,073.29	...	157.71	2 of 2 - Sale <sup>25</sup>
11/05/21	2.000	2,462.01	Various	2,089.19	...	372.82	Total of 2 transactions
	<b>Security total:</b>	<b>31,076.61</b>		<b>30,168.40</b>	...	<b>908.21</b>	
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	79.000	1,856.48	09/07/21	2,100.34	...	-243.86	1 of 3 - Sale <sup>25</sup>
	20.000	470.00	09/07/21	531.73	...	-61.73	2 of 3 - Sale <sup>25</sup>
	1.000	23.50	09/07/21	26.59	...	-3.09	3 of 3 - Sale <sup>25</sup>
09/17/21	100.000	2,349.98	Various	2,658.66	...	-308.68	Total of 3 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	398.000	2,881.40	01/27/21	2,885.50	...	-4.10	1 of 2 - Sale <sup>25</sup>
	2.000	14.50	01/27/21	14.50	...	0.00	2 of 2 - Sale <sup>25</sup>
01/27/21	400.000	2,895.90	Various	2,900.00	...	-4.10	Total of 2 transactions
ALAUROS THERAPEUTICS, INC. COM MON STOCK / CUSIP: 98973P101 / Symbol:							
09/21/21	2.000	3.74	09/07/21	4.01	...	-0.27	Sale <sup>25</sup>
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
01/29/21	150.000	487.48	01/27/21	518.63	...	-31.15	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 123964371

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	65.000	49.55	01/27/21	32.41	...	17.14	1 of 2 - Sale <sup>25</sup>
	35.000	37.10	01/27/21	17.45	...	19.65	2 of 2 - Sale <sup>25</sup>
01/27/21	100.000	86.65	Various	49.86	...	36.79	Total of 2 transactions
<b>Totals :</b>		<b>1,822,086.50</b>		<b>1,950,563.34</b>	<b>103,965.49 W</b>	<b>-24,511.35</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 123964371

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	14.97	Qualified dividend	
			05/13/21	62.26	Qualified dividend	
				<b>77.23</b>	<b>Total Dividends &amp; distributions</b>	
MICROSOFT CORPORATION COMMON S TOCK	594918104		06/10/21	21.28	Qualified dividend	
				<b>98.51</b>	<b>Total Dividends &amp; distributions</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.