

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 543468664</b>	Statement Date: 02/11/2022 Document ID: HS17 M80 31EA	2021
	Satheesh Bommavaram 6065 Fallsburg Dr Westerville, OH 43081  RECIPIENT'S TIN: XXX-XX-8364		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      18.92</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.56</p> <p>7- Foreign tax paid:      0.56</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	398,831.63	409,967.15	0.00	13,317.40	2,181.88
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>398,831.63</b>	<b>409,967.15</b>	<b>0.00</b>	<b>13,317.40</b>	<b>2,181.88</b>
Long	D (basis reported to the IRS)	513.98	642.00	0.00	0.00	-128.02
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>513.98</b>	<b>642.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-128.02</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>399,345.61</b>	<b>410,609.15</b>	<b>0.00</b>	<b>13,317.40</b>	<b>2,053.86</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 543468664

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	195.17
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/16/21	10.000	0.00	04/14/21	210.00	...	-210.00	Option expiration- long call
AAPL 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	1,419.95	01/15/21	738.00	...	681.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	714.97	01/19/21	339.00	...	375.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	724.97	01/19/21	339.00	...	385.97	3 of 3 - Option sale to close-call <sup>25</sup>
01/27/21	4.000	2,859.89	Various	1,416.00	...	1,443.89	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/18/2021 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	304.98	03/25/21	263.00	...	41.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	304.98	03/25/21	262.00	...	42.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/05/21	2.000	609.96	Various	525.00	...	84.96	Total of 2 transactions
AAPL 06/18/2021 CALL \$200.00 / CUSIP: / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	144.99	09/11/20	164.00	...	-19.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	145.00	09/16/20	351.02	...	-206.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	144.99	09/18/20	179.00	...	-34.01	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	289.99	09/28/20	356.00	...	-66.01	4 of 4 - Option sale to close-call <sup>25</sup>
01/21/21	5.000	724.97	Various	1,050.02	...	-325.05	Total of 4 transactions
3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	5.000	799.97	11/02/20	390.00	...	409.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	174.98	11/05/20	95.00	...	79.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	699.97	11/05/20	380.00	...	319.97	3 of 3 - Option sale to close-call <sup>25</sup>
01/22/21	10.000	1,674.92	Various	865.00	...	809.92	Total of 3 transactions
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	229.98	11/12/20	80.00	...	149.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	229.98	11/12/20	80.00	...	149.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	229.99	11/12/20	80.00	...	149.99	3 of 3 - Option sale to close-call <sup>25</sup>
01/26/21	3.000	689.95	Various	240.00	...	449.95	Total of 3 transactions
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	249.98	11/12/20	80.00	...	169.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	267.98	11/12/20	80.00	...	187.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	517.96	Various	160.00	...	357.96	Total of 2 transactions
	<b>Security total:</b>	<b>3,607.80</b>		<b>2,315.02</b>	...	<b>1,292.78</b>	
AAPL 11/19/2021 CALL \$157.50 / CUSIP: / Symbol:							
11/18/21	2.000	199.98	11/18/21	130.00	...	69.98	Option sale to close-call <sup>25</sup>
ACIW 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/09/21	10.000	399.97	11/08/21	400.00	...	-0.03	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 01/21/2022 CALL \$600.00 / CUSIP: / Symbol:							
02/01/21	1.000	2,499.93	01/29/21	2,100.00	...	399.93	Option sale to close-call <sup>25</sup>
ADBE 10/15/2021 CALL \$600.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	709.98	03/01/21	1,235.00	525.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	709.98	03/09/21	1,080.02	370.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/22/21	2.000	1,419.96	Various	2,315.02	895.06 W	0.00	Total of 2 transactions
03/23/21	1.000	724.99	03/19/21	580.00	...	144.99	Option sale to close-call <sup>25</sup>
03/23/21	1.000	724.99	03/19/21	970.04	245.05 W	0.00	Option sale to close-call <sup>25</sup>
03/31/21	1.000	839.98	03/19/21	825.05	...	14.93	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,709.92</b>		<b>4,690.11</b>	<b>1,140.11 W</b>	<b>159.92</b>	
ALLY 01/21/2022 CALL \$60.00 / CUSIP: / Symbol:							
02/09/21	1.000	190.98	02/01/21	149.00	...	41.98	Option sale to close-call <sup>25</sup>
AMC 11/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/12/21	2.000	0.00	11/08/21	498.00	...	-498.00	Option expiration- long call
AMD 11/12/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/08/21	2.000	699.98	11/08/21	584.00	...	115.98	Option sale to close-call <sup>25</sup>
APPS 02/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/04/21	1.000	649.97	02/02/21	420.00	...	229.97	Option sale to close-call <sup>25</sup>
APPS 03/12/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/09/21	1.000	164.98	03/08/21	65.00	...	99.98	Option sale to close-call <sup>25</sup>
03/10/21	1.000	519.98	03/08/21	65.00	...	454.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>684.96</b>		<b>130.00</b>	<b>...</b>	<b>554.96</b>	
APPS 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/09/21	1.000	199.98	03/08/21	100.00	...	99.98	Option sale to close-call <sup>25</sup>
03/10/21	1.000	649.98	03/08/21	100.00	...	549.98	Option sale to close-call <sup>25</sup>
03/11/21	1.000	799.98	03/08/21	100.00	...	699.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,649.94</b>		<b>300.00</b>	<b>...</b>	<b>1,349.94</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 01/21/2022 CALL \$300.00 / CUSIP: / Symbol:							
02/05/21	1.000	1,199.96	01/12/21	1,275.00	...	-75.04	Option sale to close-call <sup>25</sup>
BABA 01/21/2022 CALL \$300.00 / CUSIP: / Symbol:							
04/12/21	1.000	1,249.98	03/02/21	1,735.00	485.02 W	0.00	Option sale to close-call <sup>25</sup>
08/19/21	1.000	78.98	04/09/21	1,225.02	...	-1,146.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,328.96</b>		<b>2,960.02</b>	<b>485.02 W</b>	<b>-1,146.04</b>	
BABA 02/26/2021 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	103.99	01/20/21	340.00	...	-236.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.00	01/28/21	253.00	...	-149.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	103.99	02/01/21	210.00	...	-106.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/02/21	3.000	311.98	Various	803.00	...	-491.02	Total of 3 transactions
BABA 07/16/2021 CALL \$400.00 / CUSIP: / Symbol:							
01/13/21	1.000	151.99	12/28/20	115.00	...	36.99	Option sale to close-call <sup>25</sup>
4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	151.99	11/12/20	395.02	243.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	152.00	12/02/20	259.00	107.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	151.99	12/15/20	184.00	32.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	152.00	12/22/20	200.00	48.00 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
01/13/21	4.000	607.98	Various	1,038.02	430.04 W	0.00	Total of 4 transactions
5 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	177.99	12/31/20	377.03	...	-199.04	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	178.00	01/04/21	223.00	...	-45.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	178.00	01/06/21	158.00	...	20.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	177.99	01/06/21	142.01	...	35.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	177.99	01/06/21	110.00	...	67.99	5 of 5 - Option sale to close-call <sup>25</sup>
01/19/21	5.000	889.97	Various	1,010.04	...	-120.07	Total of 5 transactions
	<b>Security total:</b>	<b>1,649.94</b>		<b>2,163.06</b>	<b>430.04 W</b>	<b>-83.08</b>	

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
5 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	07/01/20	290.00	...	-290.00	1 of 5 - Option expiration- long call
	5.000	0.00	08/25/20	215.02	...	-215.02	2 of 5 - Option expiration- long call
	2.000	0.00	08/25/20	116.01	...	-116.01	3 of 5 - Option expiration- long call
	2.000	0.00	08/25/20	116.01	...	-116.01	4 of 5 - Option expiration- long call
	1.000	0.00	08/25/20	58.00	...	-58.00	5 of 5 - Option expiration- long call
01/15/21	20.000	0.00	Various	795.04	...	-795.04	Total of 5 transactions
BB 01/29/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/27/21	196.00	...	-196.00	Option expiration- long call
BBBY 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	271.99	11/05/21	284.00	...	-12.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	271.99	11/08/21	226.00	...	45.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	543.98	Various	510.00	...	33.98	Total of 2 transactions
BYND 08/06/2021 CALL \$130.00 / CUSIP: / Symbol:							
08/06/21	1.000	489.98	08/06/21	0.00	...	489.98	Short sale closed- call expired Option written: 07/28/21
BYND 08/06/2021 CALL \$133.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	07/28/21	385.00	...	-385.00	Option expiration- long call
BYND 08/06/2021 PUT \$117.00 / CUSIP: / Symbol:							
08/06/21	1.000	0.00	07/28/21	337.00	...	-337.00	Option expiration- long put
BYND 08/06/2021 PUT \$120.00 / CUSIP: / Symbol:							
08/06/21	1.000	441.98	08/06/21	0.00	...	441.98	Short sale closed- put expired Option written: 07/28/21
BYND 10/29/2021 CALL \$100.00 / CUSIP: / Symbol:							
10/27/21	1.000	110.98	10/25/21	60.00	...	50.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
C 01/21/2022 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	2.000	243.99	09/30/20	76.00	...	167.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	119.98	11/11/20	31.00	...	88.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	243.98	11/11/20	62.00	...	181.98	3 of 3 - Option sale to close-call <sup>25</sup>
01/06/21	5.000	607.95	Various	169.00	...	438.95	Total of 3 transactions
CCIV 07/16/2021 CALL \$28.00 / CUSIP: / Symbol:							
07/16/21	2.000	109.98	07/16/21	0.00	...	109.98	Short sale closed- call expired Option written: 07/09/21
CHPT 10/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	249.98	10/15/21	0.00	...	249.98	1 of 2 - Short sale closed- call expired Option written: 10/12/21
	5.000	249.98	10/15/21	0.00	...	249.98	2 of 2 - Short sale closed- call expired Option written: 10/12/21
10/15/21	10.000	499.96	Various	0.00	...	499.96	Total of 2 transactions
CLDR 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
01/20/21	1.000	200.98	12/11/20	130.00	...	70.98	Option sale to close-call <sup>25</sup>
COIN 01/20/2023 CALL \$400.00 / CUSIP: / Symbol:							
07/09/21	1.000	3,599.97	07/02/21	3,380.00	...	219.97	Option sale to close-call <sup>25</sup>
COST 03/19/2021 CALL \$375.00 / CUSIP: / Symbol:							
02/18/21	1.000	359.98	02/16/21	340.00	...	19.98	Option sale to close-call <sup>25</sup>
CRM 01/21/2022 CALL \$300.00 / CUSIP: / Symbol:							
01/27/21	1.000	1,284.96	01/12/21	985.00	...	299.96	Option sale to close-call <sup>25</sup>
CRM 06/18/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/17/21	1.000	584.97	02/09/21	485.00	...	99.97	Option sale to close-call <sup>25</sup>
4 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/22/21	520.00	...	-520.00	1 of 4 - Option expiration- long call

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Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 06/18/2021 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	02/26/21	185.00	...	-185.00	2 of 4 - Option expiration- long call
	3.000	0.00	03/01/21	426.00	...	-426.00	3 of 4 - Option expiration- long call
	5.000	0.00	04/09/21	375.00	...	-375.00	4 of 4 - Option expiration- long call
06/18/21	10.000	0.00	Various	1,506.00	...	-1,506.00	Total of 4 transactions
	<b>Security total:</b>	<b>584.97</b>		<b>1,991.00</b>	...	<b>-1,406.03</b>	
CSCO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
	5 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	08/13/20	92.00	...	-92.00	1 of 5 - Option expiration- long call
	1.000	0.00	08/17/20	63.00	...	-63.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/25/20	48.00	...	-48.00	3 of 5 - Option expiration- long call
	1.000	0.00	09/04/20	48.00	...	-48.00	4 of 5 - Option expiration- long call
	1.000	0.00	09/08/20	45.00	...	-45.00	5 of 5 - Option expiration- long call
01/15/21	5.000	0.00	Various	296.00	...	-296.00	Total of 5 transactions
DBX 01/20/2023 CALL \$35.00 / CUSIP: / Symbol:							
06/16/21	1.000	429.98	05/20/21	330.00	...	99.98	Option sale to close-call <sup>25</sup>
DBX 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	4.000	0.00	02/18/21	356.00	...	-356.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/18/21	88.00	...	-88.00	2 of 2 - Option expiration- long call
02/19/21	5.000	0.00	Various	444.00	...	-444.00	Total of 2 transactions
DDOG 02/19/2021 PUT \$110.00 / CUSIP: / Symbol:							
02/16/21	1.000	314.98	02/11/21	295.00	...	19.98	Option sale to close-put <sup>25</sup>
DIS 02/19/2021 CALL \$230.00 / CUSIP: / Symbol:							
02/19/21	4.000	0.00	02/11/21	208.00	...	-208.00	Option expiration- long call
DIS 05/14/2021 CALL \$185.00 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/13/21	142.00	...	-142.00	Option expiration- long call

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIS 06/17/2022 CALL \$250.00 / CUSIP: / Symbol:							
01/28/21	1.000	849.97	01/22/21	765.00	...	84.97	Option sale to close-call <sup>25</sup>
02/03/21	1.000	999.96	01/27/21	635.00	...	364.96	Option sale to close-call <sup>25</sup>
02/12/21	1.000	1,564.95	02/08/21	1,300.00	...	264.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,414.88</b>		<b>2,700.00</b>	...	<b>714.88</b>	
DOCU 03/12/2021 CALL \$230.00 / CUSIP: / Symbol:							
03/11/21	1.000	499.98	03/08/21	300.00	...	199.98	Option sale to close-call <sup>25</sup>
DOCU 03/12/2021 CALL \$245.00 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	03/11/21	200.00	...	-200.00	Option expiration- long call
DPZ 01/15/2021 CALL \$500.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	10/08/20	350.00	...	-350.00	Option expiration- long call
EBAY 11/12/2021 CALL \$75.00 / CUSIP: / Symbol:							
11/09/21	10.000	599.97	11/09/21	850.00	...	-250.03	Option sale to close-call <sup>25</sup>
FCEL 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/21/21	1.000	110.98	01/20/21	203.00	...	-92.02	Option sale to close-call <sup>25</sup>
FDX 06/18/2021 CALL \$400.00 / CUSIP: / Symbol:							
	<i>3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	119.99	12/17/20	560.00	...	-440.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	120.00	12/21/20	315.00	...	-195.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	359.98	01/06/21	348.00	...	11.98	3 of 3 - Option sale to close-call <sup>25</sup>
01/21/21	5.000	599.97	Various	1,223.00	...	-623.03	Total of 3 transactions
FDX 07/16/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/04/21	1.000	799.97	02/02/21	850.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
02/09/21	1.000	1,049.96	02/02/21	785.03	...	264.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,849.93</b>		<b>1,635.03</b>	<b>50.03 W</b>	<b>264.93</b>	
FFIV 01/29/2021 CALL \$225.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/26/21	121.00	...	-121.00	Option expiration- long call

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Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FROG 02/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/11/21	300.00	...	-300.00	Option expiration- long call
FSLY 02/12/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/09/21	1.000	244.98	02/05/21	225.00	...	19.98	Option sale to close-call <sup>25</sup>
FSLY 02/26/2021 CALL \$120.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/12/21	390.00	...	-390.00	Option expiration- long call
FSLY 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
<i>3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	02/18/21	500.00	...	-500.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/23/21	370.00	...	-370.00	2 of 3 - Option expiration- long call
	3.000	0.00	06/24/21	87.00	...	-87.00	3 of 3 - Option expiration- long call
09/17/21	5.000	0.00	Various	957.00	...	-957.00	Total of 3 transactions
FUBO 01/29/2021 CALL \$62.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/27/21	250.00	...	-250.00	Option expiration- long call
FUBO 02/12/2021 PUT \$20.00 / CUSIP: / Symbol:							
02/12/21	10.000	0.00	01/28/21	280.00	...	-280.00	Option expiration- long put
GE 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
01/15/21	10.000	0.00	05/26/20	80.00	...	-80.00	Option expiration- long call
GE 11/12/2021 CALL \$111.00 / CUSIP: / Symbol:							
11/12/21	2.000	0.00	11/10/21	200.00	...	-200.00	Option expiration- long call
GM 01/20/2023 CALL \$50.00 / CUSIP: / Symbol:							
01/21/21	1.000	1,699.95	12/18/20	650.00	...	1,049.95	Option sale to close-call <sup>25</sup>
GM 02/05/2021 CALL \$60.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	0.00	02/04/21	24.00	...	-24.00	1 of 2 - Option expiration- long call
	4.000	0.00	02/04/21	16.00	...	-16.00	2 of 2 - Option expiration- long call
02/05/21	10.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GME 01/29/2021 PUT \$50.00 / CUSIP: / Symbol:							
01/27/21	2.000	129.98	01/26/21	330.00	...	-200.02	Option sale to close-put <sup>25</sup>
GME 03/19/2021 PUT \$25.00 / CUSIP: / Symbol:							
01/29/21	2.000	1,209.96	01/27/21	1,000.00	...	209.96	Option sale to close-put <sup>25</sup>
GME 11/05/2021 PUT \$200.00 / CUSIP: / Symbol:							
11/03/21	7.000	1,203.98	11/03/21	994.00	...	209.98	Option sale to close-put <sup>25</sup>
GOGO 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/08/21	1.000	49.98	03/05/21	35.00	...	14.98	Option sale to close-call <sup>25</sup>
03/19/21	2.000	0.00	03/11/21	30.00	...	-30.00	Option expiration- long call
	<b>Security total:</b>	<b>49.98</b>		<b>65.00</b>	...	<b>-15.02</b>	
GS 01/21/2022 CALL \$400.00 / CUSIP: / Symbol:							
02/04/21	1.000	859.97	01/19/21	1,000.00	140.03 W	0.00	Option sale to close-call <sup>25</sup>
02/08/21	1.000	859.97	02/01/21	740.03	...	119.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,719.94</b>		<b>1,740.03</b>	<b>140.03 W</b>	<b>119.94</b>	
INO 01/29/2021 CALL \$20.00 / CUSIP: / Symbol:							
	4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	10.000	0.00	01/28/21	450.00	...	-450.00	1 of 4 - Option expiration- long call
	5.000	0.00	01/28/21	275.00	...	-275.00	2 of 4 - Option expiration- long call
	4.000	0.00	01/28/21	220.00	...	-220.00	3 of 4 - Option expiration- long call
	1.000	0.00	01/28/21	55.00	...	-55.00	4 of 4 - Option expiration- long call
01/29/21	20.000	0.00	Various	1,000.00	...	-1,000.00	Total of 4 transactions
INTC 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/13/21	1.000	111.98	08/25/20	88.00	...	23.98	Option sale to close-call <sup>25</sup>
INTC 01/21/2022 CALL \$52.50 / CUSIP: / Symbol:							
	3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	164.99	11/03/21	123.00	...	41.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	165.00	11/03/21	123.00	...	42.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	329.99	11/03/21	246.00	...	83.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	659.98	Various	492.00	...	167.98	Total of 3 transactions

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Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 06/18/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/12/21	1.000	999.96	01/05/21	799.00	...	200.96	Option sale to close-call <sup>25</sup>
LCID 07/30/2021 CALL \$27.00 / CUSIP: / Symbol:							
07/30/21	2.000	211.98	07/30/21	0.00	...	211.98	Short sale closed- call expired Option written: 07/26/21
LCID 10/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
	<i>3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	90.98	10/15/21	0.00	...	90.98	1 of 3 - Short sale closed- call expired Option written: 10/06/21
	2.000	181.98	10/15/21	0.00	...	181.98	2 of 3 - Short sale closed- call expired Option written: 10/06/21
	2.000	181.98	10/15/21	0.00	...	181.98	3 of 3 - Short sale closed- call expired Option written: 10/06/21
10/15/21	5.000	454.94	Various	0.00	...	454.94	Total of 3 transactions
LCID 11/05/2021 CALL \$45.00 / CUSIP: / Symbol:							
10/29/21	3.000	800.98	10/29/21	1,035.00	...	-234.02	Option sale to close-call <sup>25</sup>
LI 01/08/2021 CALL \$35.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	46.98	01/04/21	44.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	46.98	01/04/21	44.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	2.000	93.96	Various	88.00	...	5.96	Total of 2 transactions
MARA 04/01/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/25/21	1.000	564.98	02/16/21	1,075.00	510.02 W	0.00	Option sale to close-call <sup>25</sup>
03/01/21	1.000	489.98	02/23/21	685.04	...	-195.06	Option sale to close-call <sup>25</sup>
03/01/21	1.000	484.98	02/23/21	900.02	415.04 W	0.00	Option sale to close-call <sup>25</sup>
03/17/21	1.000	134.98	02/26/21	300.00	...	-165.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,674.92</b>		<b>2,960.06</b>	<b>925.06 W</b>	<b>-360.08</b>	

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Robinhood Securities LLC

Account 543468664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/21/21	1.000	584.97	01/14/21	1,185.00	...	-600.03	Option sale to close-call <sup>25</sup>
MARA 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	11/16/21	474.00	...	-474.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/16/21	145.00	...	-145.00	2 of 2 - Option expiration- long call
11/19/21	3.000	0.00	Various	619.00	...	-619.00	Total of 2 transactions
MKFG 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
<i>3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	03/22/21	300.00	...	-300.00	1 of 3 - Option expiration- long call
	1.000	0.00	04/09/21	117.00	...	-117.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/09/21	115.00	...	-115.00	3 of 3 - Option expiration- long call
11/19/21	3.000	0.00	Various	532.00	...	-532.00	Total of 3 transactions
MMM 01/21/2022 CALL \$250.00 / CUSIP: / Symbol:							
03/17/21	2.000	537.98	03/08/21	336.00	...	201.98	Option sale to close-call <sup>25</sup>
MRAM 12/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
11/15/21	2.000	409.98	11/12/21	260.00	...	149.98	Option sale to close-call <sup>25</sup>
MSFT 02/12/2021 CALL \$245.00 / CUSIP: / Symbol:							
02/01/21	1.000	299.98	01/29/21	248.00	...	51.98	Option sale to close-call <sup>25</sup>
MSFT 02/26/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/22/21	1.000	202.98	01/20/21	149.00	...	53.98	Option sale to close-call <sup>25</sup>
01/27/21	1.000	364.98	01/20/21	149.00	...	215.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>567.96</b>		<b>298.00</b>	...	<b>269.96</b>	
MU 09/17/2021 CALL \$125.00 / CUSIP: / Symbol:							
02/12/21	1.000	329.98	02/11/21	280.00	...	49.98	Option sale to close-call <sup>25</sup>
NEGG 11/12/2021 CALL \$15.00 / CUSIP: / Symbol:							
11/08/21	3.000	749.98	11/08/21	540.00	...	209.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 01/21/2022 CALL \$600.00 / CUSIP: / Symbol: 07/06/21	1.000	2,999.97	04/21/21	3,000.00	...	-0.03	Option sale to close-call <sup>25</sup>
NFLX 02/12/2021 CALL \$560.00 / CUSIP: / Symbol: 02/12/21	1.000	299.98	02/11/21	294.00	...	5.98	Option sale to close-call <sup>25</sup>
NFLX 02/26/2021 PUT \$500.00 / CUSIP: / Symbol: 01/25/21	1.000	629.97	01/20/21	400.00	...	229.97	Option sale to close-put <sup>25</sup>
NFLX 03/19/2021 CALL \$530.00 / CUSIP: / Symbol: 03/16/21	1.000	649.98	03/15/21	259.00	...	390.98	Option sale to close-call <sup>25</sup>
NFLX 03/19/2021 CALL \$600.00 / CUSIP: / Symbol: 02/04/21	1.000	1,254.96	02/04/21	755.00	...	499.96	Option sale to close-call <sup>25</sup>
02/09/21	1.000	1,189.96	02/08/21	940.00	...	249.96	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>2,444.92</b>		<b>1,695.00</b>	...	<b>749.92</b>	
NFLX 03/26/2021 CALL \$530.00 / CUSIP: / Symbol: 03/22/21	1.000	399.98	03/19/21	247.00	...	152.98	Option sale to close-call <sup>25</sup>
NFLX 11/05/2021 CALL \$685.00 / CUSIP: / Symbol: 11/05/21	1.000	0.00	11/04/21	365.00	...	-365.00	Option expiration- long call
NFLX 11/12/2021 CALL \$675.00 / CUSIP: / Symbol: 11/10/21	5.000	869.98	11/09/21	1,315.00	...	-445.02	Option sale to close-call <sup>25</sup>
NIO 01/08/2021 CALL \$60.00 / CUSIP: / Symbol: <i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	12/31/20	79.00	...	-79.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/31/20	56.00	...	-56.00	2 of 2 - Option expiration- long call
01/08/21	2.000	0.00	Various	135.00	...	-135.00	Total of 2 transactions
NIO 01/15/2021 CALL \$65.00 / CUSIP: / Symbol: 01/12/21	1.000	121.98	01/11/21	320.00	...	-198.02	Option sale to close-call <sup>25</sup>
NIO 02/12/2021 CALL \$60.00 / CUSIP: / Symbol: 02/09/21	1.000	142.98	02/08/21	163.00	...	-20.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 03/19/2021 PUT \$35.00 / CUSIP: / Symbol:							
03/19/21	10.000	0.00	03/17/21	90.00	...	-90.00	Option expiration- long put
NIO 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/08/21	1.000	1,559.95	12/17/20	950.00	...	609.95	Option sale to close-call <sup>25</sup>
NOK 01/29/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	66.00	...	-66.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/27/21	66.00	...	-66.00	2 of 2 - Option expiration- long call
01/29/21	2.000	0.00	Various	132.00	...	-132.00	Total of 2 transactions
NVAX 03/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
03/12/21	1.000	699.98	03/12/21	395.00	...	304.98	Option sale to close-call <sup>25</sup>
NVDA 02/26/2021 CALL \$625.00 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/22/21	665.00	...	-665.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/23/21	355.00	...	-355.00	2 of 2 - Option expiration- long call
02/26/21	2.000	0.00	Various	1,020.00	...	-1,020.00	Total of 2 transactions
NVDA 03/05/2021 CALL \$500.00 / CUSIP: / Symbol:							
03/05/21	2.000	297.98	03/05/21	98.00	...	199.98	Option sale to close-call <sup>25</sup>
NVDA 03/19/2021 CALL \$550.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/17/21	220.00	...	-220.00	Option expiration- long call
OGI 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/11/21	1.000	199.98	02/10/21	266.00	...	-66.02	Option sale to close-call <sup>25</sup>
PINS 03/12/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/08/21	1.000	99.98	02/03/21	259.00	...	-159.02	Option sale to close-call <sup>25</sup>
PINS 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/04/21	1.000	699.97	02/01/21	485.00	...	214.97	Option sale to close-call <sup>25</sup>
PLTR 01/29/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/28/21	258.00	...	-258.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
<i>10 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	02/12/21	345.00	...	-345.00	1 of 10 - Option expiration- long call
	2.000	0.00	02/18/21	310.00	...	-310.00	2 of 10 - Option expiration- long call
	1.000	0.00	02/18/21	160.00	...	-160.00	3 of 10 - Option expiration- long call
	4.000	0.00	02/25/21	384.00	...	-384.00	4 of 10 - Option expiration- long call
	2.000	0.00	03/01/21	200.00	...	-200.00	5 of 10 - Option expiration- long call
	6.000	0.00	04/12/21	90.00	...	-90.00	6 of 10 - Option expiration- long call
	4.000	0.00	04/12/21	60.00	...	-60.00	7 of 10 - Option expiration- long call
	4.000	0.00	04/12/21	60.00	...	-60.00	8 of 10 - Option expiration- long call
	3.000	0.00	04/12/21	45.00	...	-45.00	9 of 10 - Option expiration- long call
	3.000	0.00	04/12/21	45.00	...	-45.00	10 of 10 - Option expiration- long call
05/21/21	30.000	0.00	Various	1,699.00	...	-1,699.00	Total of 10 transactions
PLTR 10/15/2021 CALL \$24.00 / CUSIP: / Symbol:							
10/15/21	2.000	99.98	10/15/21	0.00	...	99.98	Short sale closed- call expired Option written: 10/11/21
PLUG 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
<i>4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	274.98	11/01/21	232.00	...	42.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	274.98	11/01/21	232.00	...	42.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	274.98	11/01/21	232.00	...	42.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	549.98	11/01/21	464.00	...	85.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	1,374.92	Various	1,160.00	...	214.92	Total of 4 transactions

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Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLUG 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/17/21	760.00	...	-760.00	1 of 3 - Option expiration- long call
	2.000	0.00	04/05/21	306.00	...	-306.00	2 of 3 - Option expiration- long call
	2.000	0.00	04/14/21	172.00	...	-172.00	3 of 3 - Option expiration- long call
06/18/21	6.000	0.00	Various	1,238.00	...	-1,238.00	Total of 3 transactions
PYPL 03/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	03/05/21	28.00	...	-28.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/05/21	7.00	...	-7.00	2 of 2 - Option expiration- long call
03/05/21	5.000	0.00	Various	35.00	...	-35.00	Total of 2 transactions
QCOM 02/05/2021 CALL \$170.00 / CUSIP: / Symbol:							
02/05/21	1.000	0.00	02/03/21	230.00	...	-230.00	Option expiration- long call
QCOM 02/05/2021 CALL \$175.00 / CUSIP: / Symbol:							
02/03/21	1.000	182.98	02/01/21	123.00	...	59.98	Option sale to close-call <sup>25</sup>
02/05/21	1.000	0.00	02/01/21	123.00	...	-123.00	Option expiration- long call
	<b>Security total:</b>	<b>182.98</b>		<b>246.00</b>	...	<b>-63.02</b>	
QS 03/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
02/12/21	1.000	599.97	02/03/21	330.00	...	269.97	Option sale to close-call <sup>25</sup>
RIOT 11/12/2021 CALL \$43.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	11.98	11/10/21	322.02	...	-310.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.98	11/10/21	167.00	...	-155.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.98	11/10/21	167.00	...	-155.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	11.98	11/10/21	167.00	...	-155.02	4 of 4 - Option sale to close-call <sup>25</sup>
11/12/21	4.000	47.92	Various	823.02	...	-775.10	Total of 4 transactions
11/12/21	1.000	11.98	11/10/21	167.00	155.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>59.90</b>		<b>990.02</b>	<b>155.02 W</b>	<b>-775.10</b>	
SAVA 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/16/21	1.000	49.98	02/08/21	225.00	...	-175.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBUX 06/18/2021 CALL \$115.00 / CUSIP: / Symbol:							
03/16/21	1.000	399.98	03/02/21	305.00	...	94.98	Option sale to close-call <sup>25</sup>
SKLZ 11/05/2021 CALL \$11.50 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	11/05/21	0.00	...	99.98	1 of 2 - Short sale closed- call expired
	9.000	899.97	11/05/21	0.00	...	899.97	Option written: 10/28/21 2 of 2 - Short sale closed- call expired
11/05/21	10.000	999.95	Various	0.00	...	999.95	Option written: 10/28/21 Total of 2 transactions
SKLZ 11/05/2021 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	11/05/21	0.00	...	64.98	1 of 3 - Short sale closed- call expired
	2.000	129.98	11/05/21	0.00	...	129.98	Option written: 10/29/21 2 of 3 - Short sale closed- call expired
	7.000	454.98	11/05/21	0.00	...	454.98	Option written: 10/29/21 3 of 3 - Short sale closed- call expired
11/05/21	10.000	649.94	Various	0.00	...	649.94	Option written: 10/29/21 Total of 3 transactions
SNAP 03/12/2021 CALL \$62.00 / CUSIP: / Symbol:							
03/12/21	2.000	41.98	03/11/21	52.00	...	-10.02	Option sale to close-call <sup>25</sup>
SNOW 01/21/2022 CALL \$400.00 / CUSIP: / Symbol:							
01/14/21	1.000	5,099.87	01/08/21	4,700.00	...	399.87	Option sale to close-call <sup>25</sup>
01/26/21	1.000	4,199.89	01/21/21	4,180.00	...	19.89	Option sale to close-call <sup>25</sup>
3 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	1.000	679.98	03/01/21	2,600.00	...	-1,920.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	689.98	03/09/21	1,400.00	...	-710.02	2 of 3 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SNOW 01/21/2022 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	1.000	699.98	04/14/21	700.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/22/21	3.000	2,069.94	Various	4,700.00	...	-2,630.06	Total of 3 transactions
	<b>Security total:</b>	<b>11,369.70</b>		<b>13,580.00</b>	...	<b>-2,210.30</b>	
SNOW 02/12/2021 CALL \$320.00 / CUSIP: / Symbol:							
02/10/21	1.000	352.98	02/10/21	310.00	...	42.98	Option sale to close-call <sup>25</sup>
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/10/21	295.00	...	-295.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/11/21	130.00	...	-130.00	2 of 2 - Option expiration- long call
02/12/21	2.000	0.00	Various	425.00	...	-425.00	Total of 2 transactions
	<b>Security total:</b>	<b>352.98</b>		<b>735.00</b>	...	<b>-382.02</b>	
SNOW 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/18/21	1.000	299.98	02/17/21	195.00	...	104.98	Option sale to close-call <sup>25</sup>
SNOW 11/05/2021 CALL \$360.00 / CUSIP: / Symbol:							
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	199.99	11/04/21	240.00	...	-40.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	11/04/21	160.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	399.98	Various	400.00	...	-0.02	Total of 2 transactions
SOS 03/05/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	03/03/21	60.00	...	-60.00	Option expiration- long call
SPCE 01/29/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/27/21	1.000	699.97	01/27/21	280.00	...	419.97	Option sale to close-call <sup>25</sup>
SPCE 01/29/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/27/21	1.000	379.98	01/27/21	180.00	...	199.98	Option sale to close-call <sup>25</sup>
01/29/21	2.000	0.00	01/28/21	368.00	...	-368.00	Option expiration- long call
	<b>Security total:</b>	<b>379.98</b>		<b>548.00</b>	...	<b>-168.02</b>	
SPCE 02/26/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	02/25/21	132.00	...	-132.00	Option expiration- long call

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPCE 07/16/2021 CALL \$51.00 / CUSIP: / Symbol:							
07/16/21	1.000	719.98	07/16/21	0.00	...	719.98	Short sale closed- call expired Option written: 07/09/21
SPLK 01/21/2022 CALL \$250.00 / CUSIP: / Symbol:							
01/13/21	1.000	724.97	12/08/20	730.00	5.03 W	0.00	Option sale to close-call <sup>25</sup>
01/20/21	1.000	724.97	01/05/21	630.03	...	94.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,449.94</b>		<b>1,360.03</b>	<b>5.03 W</b>	<b>94.94</b>	
SPY 11/10/2021 CALL \$470.00 / CUSIP: / Symbol:							
	6 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	11/08/21	505.00	...	-505.00	1 of 6 - Option expiration- long call
	2.000	0.00	11/08/21	260.00	...	-260.00	2 of 6 - Option expiration- long call
	1.000	0.00	11/08/21	130.00	...	-130.00	3 of 6 - Option expiration- long call
	1.000	0.00	11/08/21	130.00	...	-130.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/08/21	130.00	...	-130.00	5 of 6 - Option expiration- long call
	10.000	0.00	11/09/21	290.00	...	-290.00	6 of 6 - Option expiration- long call
11/10/21	20.000	0.00	Various	1,445.00	...	-1,445.00	Total of 6 transactions
SQ 02/05/2021 CALL \$230.00 / CUSIP: / Symbol:							
02/02/21	1.000	469.97	02/01/21	217.00	...	252.97	Option sale to close-call <sup>25</sup>
SQ 03/19/2021 PUT \$205.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	03/17/21	100.00	...	-100.00	Option expiration- long put
SQ 09/17/2021 CALL \$360.00 / CUSIP: / Symbol:							
	4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	1.000	750.00	03/18/21	680.00	...	70.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	749.99	03/18/21	670.00	...	79.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	750.00	03/22/21	590.00	...	160.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	769.98	03/25/21	1,335.02	...	-565.04	4 of 4 - Option sale to close-call <sup>25</sup>
04/08/21	4.000	3,019.97	Various	3,275.02	...	-255.05	Total of 4 transactions
	4 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	1.000	749.99	02/18/21	2,245.00	1,495.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	749.99	02/25/21	1,345.00	595.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	759.99	03/24/21	1,805.01	1,045.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 09/17/2021 CALL \$360.00 / CUSIP: / Symbol: (cont'd)							
	1.000	759.99	03/24/21	905.01	145.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
04/08/21	4.000	3,019.96	Various	6,300.02	3,280.06 W	0.00	Total of 4 transactions
04/09/21	1.000	849.98	03/25/21	435.02	...	414.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>6,889.91</b>		<b>10,010.06</b>	<b>3,280.06 W</b>	<b>159.91</b>	
STLA 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	2.000	299.99	01/21/21	310.00	...	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	299.98	01/25/21	230.00	...	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/10/21	4.000	599.97	Various	540.00	...	59.97	Total of 2 transactions
TSLA 01/08/2021 CALL \$740.00 / CUSIP: / Symbol:							
01/05/21	1.000	1,569.95	01/05/21	1,710.00	...	-140.05	Option sale to close-call <sup>25</sup>
TSLA 01/15/2021 CALL \$860.00 / CUSIP: / Symbol:							
01/12/21	1.000	2,859.92	01/12/21	2,110.00	...	749.92	Option sale to close-call <sup>25</sup>
TSLA 01/15/2021 CALL \$900.00 / CUSIP: / Symbol:							
01/08/21	1.000	3,314.91	01/08/21	2,615.00	...	699.91	Option sale to close-call <sup>25</sup>
TSLA 01/21/2022 CALL \$1,250.00 / CUSIP: / Symbol:							
04/14/21	1.000	5,724.96	04/09/21	3,975.00	...	1,749.96	Option sale to close-call <sup>25</sup>
4 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	1,604.99	04/20/21	5,200.00	...	-3,595.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,604.99	05/10/21	2,000.00	...	-395.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,604.99	05/13/21	1,500.00	...	104.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,604.98	05/14/21	1,230.00	...	374.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/25/21	4.000	6,419.95	Various	9,930.00	...	-3,510.05	Total of 4 transactions
	<b>Security total:</b>	<b>12,144.91</b>		<b>13,905.00</b>	...	<b>-1,760.09</b>	
TSLA 01/22/2021 CALL \$840.00 / CUSIP: / Symbol:							
01/22/21	1.000	349.98	01/22/21	290.00	...	59.98	Option sale to close-call <sup>25</sup>
TSLA 01/22/2021 CALL \$850.00 / CUSIP: / Symbol:							
01/19/21	1.000	1,597.95	01/19/21	1,862.00	...	-264.05	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 01/29/2021 CALL \$800.00 / CUSIP: / Symbol: 01/07/21	1.000	5,004.87	01/06/21	3,648.00	...	1,356.87	Option sale to close-call <sup>25</sup>
TSLA 01/29/2021 CALL \$820.00 / CUSIP: / Symbol: 01/29/21	1.000	0.00	01/29/21	60.00	...	-60.00	Option expiration- long call
TSLA 01/29/2021 CALL \$900.00 / CUSIP: / Symbol: 01/27/21	1.000	2,999.92	01/26/21	3,250.00	...	-250.08	Option sale to close-call <sup>25</sup>
TSLA 02/05/2021 CALL \$850.00 / CUSIP: / Symbol: 02/05/21	1.000	745.97	02/05/21	420.00	...	325.97	Option sale to close-call <sup>25</sup>
TSLA 02/12/2021 CALL \$890.00 / CUSIP: / Symbol: 2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.	1.000	119.99	02/08/21	1,315.00	...	-1,195.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	02/09/21	585.00	...	-465.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/11/21	2.000	239.98	Various	1,900.00	...	-1,660.02	Total of 2 transactions
TSLA 02/26/2021 CALL \$775.00 / CUSIP: / Symbol: 02/26/21	1.000	0.00	02/23/21	275.00	...	-275.00	Option expiration- long call
TSLA 03/05/2021 CALL \$600.00 / CUSIP: / Symbol: 03/05/21	1.000	499.98	03/05/21	300.00	...	199.98	Option sale to close-call <sup>25</sup>
TSLA 03/05/2021 CALL \$800.00 / CUSIP: / Symbol: 03/05/21	1.000	0.00	03/01/21	138.00	...	-138.00	Option expiration- long call
TSLA 03/12/2021 CALL \$700.00 / CUSIP: / Symbol: 03/09/21	1.000	604.98	03/08/21	347.02	...	257.96	Option sale to close-call <sup>25</sup>
03/09/21	1.000	352.98	03/08/21	545.00	192.02 W	0.00	Option sale to close-call <sup>25</sup>
03/12/21	1.000	384.98	03/12/21	300.00	...	84.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,342.94</b>		<b>1,192.02</b>	<b>192.02 W</b>	<b>342.94</b>	
TSLA 03/12/2021 CALL \$740.00 / CUSIP: / Symbol: 03/12/21	1.000	0.00	03/11/21	485.00	...	-485.00	Option expiration- long call
TSLA 03/12/2021 PUT \$500.00 / CUSIP: / Symbol: 03/08/21	1.000	869.98	03/08/21	470.00	...	399.98	Option sale to close-put <sup>25</sup>

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Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 03/12/2021 PUT \$600.00 / CUSIP: / Symbol:							
03/10/21	1.000	299.98	03/10/21	655.00	...	-355.02	Option sale to close-put <sup>25</sup>
TSLA 03/19/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	141.99	02/10/21	1,750.00	...	-1,608.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	141.99	02/16/21	650.00	...	-508.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/23/21	2.000	283.98	Various	2,400.00	...	-2,116.02	Total of 2 transactions
TSLA 03/19/2021 CALL \$650.00 / CUSIP: / Symbol:							
03/19/21	1.000	599.98	03/19/21	374.00	...	225.98	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	49.99	03/15/21	256.00	...	-206.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.99	03/15/21	144.00	...	-94.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	2.000	99.98	Various	400.00	...	-300.02	Total of 2 transactions
TSLA 03/19/2021 PUT \$570.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/17/21	199.00	...	-199.00	Option expiration- long put
TSLA 03/26/2021 PUT \$600.00 / CUSIP: / Symbol:							
03/24/21	1.000	399.98	03/22/21	243.00	...	156.98	Option sale to close-put <sup>25</sup>
TSLA 06/18/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
01/04/21	1.000	6,399.84	12/31/20	5,450.00	...	949.84	Option sale to close-call <sup>25</sup>
04/13/21	1.000	1,019.98	03/26/21	730.00	...	289.98	Option sale to close-call <sup>25</sup>
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	1,019.99	03/16/21	2,200.00	1,180.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,054.98	04/09/21	1,660.01	605.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/21	2.000	2,074.97	Various	3,860.01	1,785.04 W	0.00	Total of 2 transactions
04/14/21	1.000	1,524.98	04/09/21	1,075.03	...	449.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>11,019.77</b>		<b>11,115.04</b>	<b>1,785.04 W</b>	<b>1,689.77</b>	
TSLA 09/17/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
03/10/21	1.000	6,149.95	03/09/21	4,100.00	...	2,049.95	Option sale to close-call <sup>25</sup>

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Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 09/17/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
03/11/21	1.000	4,824.96	03/10/21	4,675.00	...	149.96	Option sale to close-call <sup>25</sup>
TSLA 10/22/2021 CALL \$910.00 / CUSIP: / Symbol:							
10/20/21	1.000	364.98	10/19/21	674.00	...	-309.02	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
11/03/21	1.000	1,999.97	11/03/21	1,410.00	...	589.97	Option sale to close-call <sup>25</sup>
TSLA 11/12/2021 CALL \$1,025.00 / CUSIP: / Symbol:							
11/10/21	1.000	3,099.97	11/10/21	2,850.00	...	249.97	Option sale to close-call <sup>25</sup>
TSLA 11/12/2021 CALL \$1,060.00 / CUSIP: / Symbol:							
11/10/21	1.000	1,494.98	11/10/21	1,450.00	...	44.98	Option sale to close-call <sup>25</sup>
TSLA 11/12/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,749.98	11/09/21	1,728.00	...	21.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,799.98	11/09/21	1,750.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	3,549.96	Various	3,478.00	...	71.96	Total of 2 transactions
TSLA 11/12/2021 CALL \$1,120.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/11/21	540.00	...	-540.00	Option expiration- long call
TSLA 11/12/2021 PUT \$1,000.00 / CUSIP: / Symbol:							
11/09/21	1.000	2,799.97	11/09/21	2,300.03	...	499.94	Option sale to close-put <sup>25</sup>
11/09/21	1.000	2,399.97	11/09/21	2,680.00	280.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>5,199.94</b>		<b>4,980.03</b>	<b>280.03 W</b>	<b>499.94</b>	
TSLA 11/19/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
11/16/21	1.000	2,299.97	11/15/21	1,445.00	...	854.97	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 PUT \$950.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/15/21	1,625.00	...	-1,625.00	1 of 2 - Option expiration- long put
	1.000	0.00	11/16/21	274.00	...	-274.00	2 of 2 - Option expiration- long put
11/19/21	2.000	0.00	Various	1,899.00	...	-1,899.00	Total of 2 transactions

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 02/12/2021 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	104.98	02/08/21	60.00	...	44.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.98	02/08/21	60.00	...	44.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.98	02/08/21	60.00	...	44.98	3 of 3 - Option sale to close-call <sup>25</sup>
02/09/21	3.000	314.94	Various	180.00	...	134.94	Total of 3 transactions
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	144.98	02/08/21	60.00	...	84.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	02/08/21	60.00	...	89.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/10/21	2.000	294.96	Various	120.00	...	174.96	Total of 2 transactions
	<b>Security total:</b>	<b>609.90</b>		<b>300.00</b>	...	<b>309.90</b>	
UPS 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
02/02/21	1.000	684.97	02/01/21	580.00	...	104.97	Option sale to close-call <sup>25</sup>
V 01/21/2022 CALL \$300.00 / CUSIP: / Symbol:							
01/29/21	5.000	884.97	01/27/21	800.00	...	84.97	Option sale to close-call <sup>25</sup>
WFC 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/06/21	2.000	179.98	10/01/20	68.00	...	111.98	Option sale to close-call <sup>25</sup>
WKHS 01/29/2021 CALL \$36.00 / CUSIP: / Symbol:							
01/29/21	1.000	150.98	01/27/21	184.00	...	-33.02	Option sale to close-call <sup>25</sup>
WKHS 02/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/11/21	29.00	...	-29.00	Option expiration- long call
WMT 01/20/2023 CALL \$200.00 / CUSIP: / Symbol:							
02/08/21	1.000	599.97	12/18/20	650.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	1.000	309.99	02/02/21	600.03	...	-290.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.99	03/11/21	400.00	...	-90.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/21	2.000	619.98	Various	1,000.03	...	-380.05	Total of 2 transactions
	<b>Security total:</b>	<b>1,219.95</b>		<b>1,650.03</b>	<b>50.03 W</b>	<b>-380.05</b>	

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XOM 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
01/14/21	1.000	156.98	12/10/20	104.00	...	52.98	Option sale to close-call <sup>25</sup>
01/20/21	1.000	133.98	12/21/20	80.00	...	53.98	Option sale to close-call <sup>25</sup>
02/18/21	1.000	197.98	01/04/21	76.00	...	121.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>488.94</b>		<b>260.00</b>	...	<b>228.94</b>	
XPEV 01/08/2021 CALL \$48.50 / CUSIP: / Symbol:							
	2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	01/04/21	480.00	...	-480.00	1 of 2 - Option expiration- long call
	5.000	0.00	01/05/21	135.00	...	-135.00	2 of 2 - Option expiration- long call
01/08/21	10.000	0.00	Various	615.00	...	-615.00	Total of 2 transactions
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
	4 transactions for 11/15/21. Total proceeds and cost reported to the IRS.						
	250.000	787.84	11/15/21	987.50	...	-199.66	1 of 4 - Sale <sup>25</sup>
	130.000	409.68	11/15/21	462.80	...	-53.12	2 of 4 - Sale <sup>25</sup>
	100.000	315.13	11/15/21	356.00	...	-40.87	3 of 4 - Sale <sup>25</sup>
	20.000	63.03	11/15/21	71.20	...	-8.17	4 of 4 - Sale <sup>25</sup>
11/15/21	500.000	1,575.68	Various	1,877.50	...	-301.82	Total of 4 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
02/11/21	50.000	863.48	02/04/21	660.89	...	202.59	Sale <sup>25</sup>
03/25/21	100.000	891.98	03/24/21	910.50	...	-18.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,755.46</b>		<b>1,571.39</b>	...	<b>184.07</b>	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
	3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	9.000	246.60	11/02/21	223.20	...	23.40	1 of 3 - Sale <sup>25</sup>
	41.000	1,123.80	11/02/21	1,016.80	...	107.00	2 of 3 - Sale <sup>25</sup>
	50.000	1,349.99	11/02/21	1,240.00	...	109.99	3 of 3 - Sale <sup>25</sup>
11/02/21	100.000	2,720.39	Various	2,480.00	...	240.39	Total of 3 transactions

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol: (cont'd)							
<i>4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,268.97 N	11/08/21	2,205.00	...	63.97	1 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$69.00
	100.000	2,268.97 N	11/08/21	2,148.91	...	120.06	2 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$69.00
	100.000	2,268.97 N	11/09/21	2,143.53	...	125.44	3 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$69.00
	200.000	4,537.95 N	11/10/21	4,276.48	...	261.47	4 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$137.98
11/12/21	500.000	11,344.86	Various	10,773.92	...	570.94	Total of 4 transactions
	<b>Security total:</b>	<b>14,065.25</b>		<b>13,253.92</b>	...	<b>811.33</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/05/21	100.000	465.11	01/04/21	640.50	...	-175.39	Sale <sup>25</sup>
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
12/13/21	500.000	697.43	11/10/21	1,265.00	...	-567.57	Sale <sup>25</sup>
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
10/21/21	100.000	2,041.97 N	10/01/21	1,959.00	...	82.97	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$42.00
<i>4 transactions for 10/22/21. Total proceeds and cost reported to the IRS.</i>							
	600.000	12,251.84 N	10/01/21	11,754.02	...	497.82	1 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$251.98
	100.000	2,041.97 N	10/04/21	1,900.00	...	141.97	2 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$42.00
	100.000	2,041.97 N	10/04/21	1,830.00	...	211.97	3 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$42.00

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Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol: (cont'd)							
	100.000	2,041.98 N	10/04/21	1,815.90	...	226.08	4 of 4 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$41.99
10/22/21	900.000	18,377.76	Various	17,299.92	...	1,077.84	Total of 4 transactions
11/05/21	1,000.000	23,999.73 N	10/27/21	22,918.30	...	1,081.43	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$999.97
<b>Security total:</b>		<b>44,419.46</b>		<b>42,177.22</b>	...	<b>2,242.24</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
2 transactions for 02/20/21. Total proceeds and cost reported to the IRS.							
	50.000	2,387.44 N	01/13/21	749.89	...	1,637.55	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$137.49
	50.000	2,387.43 N	01/14/21	935.00	...	1,452.43	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$137.49
02/20/21	100.000	4,774.87	Various	1,684.89	...	3,089.98	Total of 2 transactions
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	15.000	168.77	01/25/21	300.00	...	-131.23	1 of 3 - Sale <sup>25</sup>
	10.000	112.51	01/25/21	200.00	...	-87.49	2 of 3 - Sale <sup>25</sup>
	25.000	281.27	03/01/21	375.00	...	-93.73	3 of 3 - Sale <sup>25</sup>
05/10/21	50.000	562.55	Various	875.00	...	-312.45	Total of 3 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	500.000	4,899.92	07/08/21	4,730.00	...	169.92	1 of 2 - Sale <sup>25</sup>
	100.000	979.98	07/23/21	837.00	...	142.98	2 of 2 - Sale <sup>25</sup>
08/12/21	600.000	5,879.90	Various	5,567.00	...	312.90	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DAVIDSTEA INC. COMMON STOCK / CUSIP: 238661102 / Symbol:							
3 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	45.000	155.12	02/05/21	242.17	...	-87.05	1 of 3 - Sale <sup>25</sup>
	5.000	17.24	03/03/21	27.76	...	-10.52	2 of 3 - Sale <sup>25</sup>
	45.000	155.12	03/03/21	162.90	...	-7.78	3 of 3 - Sale <sup>25</sup>
03/26/21	95.000	327.48	Various	432.83	...	-105.35	Total of 3 transactions
03/26/21	5.000	17.25	02/05/21	26.91	9.66 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>344.73</b>		<b>459.74</b>	<b>9.66 W</b>	<b>-105.35</b>	
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
07/13/21	500.000	2,405.67	07/12/21	1,614.50	...	791.17	Sale <sup>25</sup>
7 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	100.000	199.99	08/04/21	337.00	...	-137.01	1 of 7 - Sale <sup>25</sup>
	100.000	199.99	08/06/21	288.85	...	-88.86	2 of 7 - Sale <sup>25</sup>
	100.000	199.99	08/13/21	276.80	...	-76.81	3 of 7 - Sale <sup>25</sup>
	100.000	199.99	08/17/21	236.00	...	-36.01	4 of 7 - Sale <sup>25</sup>
	100.000	199.98	08/26/21	218.00	...	-18.02	5 of 7 - Sale <sup>25</sup>
	100.000	199.99	09/08/21	229.93	...	-29.94	6 of 7 - Sale <sup>25</sup>
	100.000	199.98	09/14/21	210.00	...	-10.02	7 of 7 - Sale <sup>25</sup>
10/28/21	700.000	1,399.91	Various	1,796.58	...	-396.67	Total of 7 transactions
	<b>Security total:</b>	<b>3,805.58</b>		<b>3,411.08</b>	...	<b>394.50</b>	
EYEPOINT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233G209 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	100.000	1,967.97	11/15/21	1,868.00	...	99.97	1 of 2 - Sale <sup>25</sup>
	150.000	2,951.96	11/15/21	2,802.00	...	149.96	2 of 2 - Sale <sup>25</sup>
11/15/21	250.000	4,919.93	Various	4,670.00	...	249.93	Total of 2 transactions
FISKER INC. / CUSIP: 33813J106 / Symbol:							
01/07/21	10.000	155.25	07/15/20	145.75	...	9.50	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/02/21	1.000	126.06	02/01/21	213.07	...	-87.01	Sale <sup>25</sup>
HOLICITY INC. CLASS A COMMON STOCK / CUSIP: 435063102 / Symbol:							
02/18/21	100.000	2,048.44	02/11/21	1,867.50	...	180.94	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK / CUSIP: 443761101 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	25.000	258.12	01/15/21	295.25	...	-37.13	1 of 2 - Sale <sup>25</sup>
	25.000	258.12	01/27/21	269.75	...	-11.63	2 of 2 - Sale <sup>25</sup>
02/25/21	50.000	516.24	Various	565.00	...	-48.76	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
7 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	15.000	46.95	11/24/20	53.10	...	-6.15	1 of 7 - Sale <sup>25</sup>
	15.000	46.95	11/24/20	37.43	...	9.52	2 of 7 - Sale <sup>25</sup>
	20.000	62.59	11/25/20	52.20	...	10.39	3 of 7 - Sale <sup>25</sup>
	25.000	78.24	11/25/20	67.13	...	11.11	4 of 7 - Sale <sup>25</sup>
	25.000	78.24	11/25/20	66.93	...	11.31	5 of 7 - Sale <sup>25</sup>
	100.000	312.98	12/11/20	185.50	...	127.48	6 of 7 - Sale <sup>25</sup>
	100.000	312.98	12/17/20	176.89	...	136.09	7 of 7 - Sale <sup>25</sup>
01/21/21	300.000	938.93	Various	639.18	...	299.75	Total of 7 transactions
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
5 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	50.000	256.99	10/23/20	141.50	...	115.49	1 of 5 - Sale <sup>25</sup>
	2.000	10.28	11/30/20	8.54	...	1.74	2 of 5 - Sale <sup>25</sup>
	48.000	246.71	11/30/20	205.44	...	41.27	3 of 5 - Sale <sup>25</sup>
	100.000	513.98	01/11/21	420.99	...	92.99	4 of 5 - Sale <sup>25</sup>
	100.000	513.99	01/22/21	425.00	...	88.99	5 of 5 - Sale <sup>25</sup>
07/09/21	300.000	1,541.95	Various	1,201.47	...	340.48	Total of 5 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	50.000	1,073.42	02/22/21	2,596.54	...	-1,523.12	1 of 2 - Sale <sup>25</sup>
	50.000	1,073.41	07/02/21	1,402.00	...	-328.59	2 of 2 - Sale <sup>25</sup>
09/03/21	100.000	2,146.83	Various	3,998.54	...	-1,851.71	Total of 2 transactions
LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
03/22/21	100.000	426.99	03/18/21	326.99	...	100.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LONGVIEW ACQUISITION CORP. / CUSIP: 543195101 / Symbol:							
02/09/21	100.000	2,287.43	01/28/21	1,804.99	...	482.44	Sale <sup>25</sup>
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
03/25/21	50.000	593.99	03/12/21	733.89	...	-139.90	Sale <sup>25</sup>
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
7 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	7.000	182.00	03/08/21	161.00	...	21.00	1 of 7 - Sale <sup>25</sup>
	93.000	2,418.05	03/08/21	2,139.00	...	279.05	2 of 7 - Sale <sup>25</sup>
	50.000	1,300.03	04/13/21	1,084.25	...	215.78	3 of 7 - Sale <sup>25</sup>
	1.000	26.00	09/01/21	18.00	...	8.00	4 of 7 - Sale <sup>25</sup>
	49.000	1,274.03	09/01/21	881.98	...	392.05	5 of 7 - Sale <sup>25</sup>
	100.000	2,600.06	09/03/21	1,941.02	...	659.04	6 of 7 - Sale <sup>25</sup>
	100.000	2,600.06	09/03/21	1,909.73	...	690.33	7 of 7 - Sale <sup>25</sup>
09/27/21	400.000	10,400.23	Various	8,134.98	...	2,265.25	Total of 7 transactions
6 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	4.000	104.00	02/16/21	199.30	95.30 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	650.01	02/16/21	1,325.00	674.99 W	0.00	2 of 6 - Sale <sup>25</sup>
	21.000	546.01	02/16/21	1,113.00	566.99 W	0.00	3 of 6 - Sale <sup>25</sup>
	25.000	650.01	02/17/21	1,419.88	769.87 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	26.00	02/17/21	56.64	30.64 W	0.00	5 of 6 - Sale <sup>25</sup>
	24.000	624.01	02/17/21	1,359.36	735.35 W	0.00	6 of 6 - Sale <sup>25</sup>
09/27/21	100.000	2,600.04	Various	5,473.18	2,873.14 W	0.00	Total of 6 transactions
7 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	25.000	706.74	10/05/21	1,374.24	...	-667.50	1 of 7 - Sale <sup>25</sup>
	24.000	678.47	10/05/21	1,315.55	...	-637.08	2 of 7 - Sale <sup>25</sup>
	1.000	28.27	10/05/21	54.81	...	-26.54	3 of 7 - Sale <sup>25</sup>
	400.000	11,307.90	10/05/21	9,669.97	...	1,637.93	4 of 7 - Sale <sup>25</sup>
	100.000	2,826.97	10/14/21	2,393.81	...	433.16	5 of 7 - Sale <sup>25</sup>
	200.000	5,653.95	10/25/21	4,944.00	...	709.95	6 of 7 - Sale <sup>25</sup>
	200.000	5,653.95	10/25/21	4,944.00	...	709.95	7 of 7 - Sale <sup>25</sup>
10/27/21	950.000	26,856.25	Various	24,696.38	...	2,159.87	Total of 7 transactions

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	4.000	113.08	10/05/21	192.00	78.92 W	0.00	1 of 3 - Sale <sup>25</sup>
	21.000	593.66	10/05/21	1,074.66	481.00 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	706.74	10/05/21	1,279.36	572.62 W	0.00	3 of 3 - Sale <sup>25</sup>
10/27/21	50.000	1,413.48	Various	2,546.02	1,132.54 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>41,270.00</b>		<b>40,850.56</b>	<b>4,005.68 W</b>	<b>4,425.12</b>	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/07/21	10.000	536.18	12/01/20	509.28	...	26.90	Sale <sup>25</sup>
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
01/26/21	100.000	1,699.14	01/20/21	1,155.00	...	544.14	Sale <sup>25</sup>
02/05/21	100.000	1,499.95	01/28/21	1,507.00	7.05 W	0.00	Sale <sup>25</sup>
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	3.000	43.35	01/29/21	41.70	...	1.65	1 of 4 - Sale <sup>25</sup>
	5.000	72.25	01/29/21	69.50	...	2.75	2 of 4 - Sale <sup>25</sup>
	40.000	577.98	01/29/21	556.02	...	21.96	3 of 4 - Sale <sup>25</sup>
	52.000	751.37	01/29/21	722.83	...	28.54	4 of 4 - Sale <sup>25</sup>
02/16/21	100.000	1,444.95	Various	1,390.05	...	54.90	Total of 4 transactions
	<b>Security total:</b>	<b>4,644.04</b>		<b>4,052.05</b>	<b>7.05 W</b>	<b>599.04</b>	
NUZEE, INC. COMMON STOCK / CUSIP: 67073S208 / Symbol:							
11/12/21	250.000	1,515.29	11/12/21	1,670.00	...	-154.71	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/06/21	100.000	253.99	01/04/21	311.89	...	-57.90	Sale <sup>25</sup>
02/26/21	100.000	1,026.20	02/09/21	1,176.09	...	-149.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,280.19</b>		<b>1,487.98</b>	...	<b>-207.79</b>	
PACIFIC BIOSCIENCES OF CALIFORNIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	10.000	359.09	01/15/21	380.00	...	-20.91	1 of 2 - Sale <sup>25</sup>
	10.000	359.09	01/27/21	322.60	...	36.49	2 of 2 - Sale <sup>25</sup>
02/03/21	20.000	718.18	Various	702.60	...	15.58	Total of 2 transactions

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Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/22/21	200.000	4,929.93 N	10/07/21	4,724.00	...	205.93	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$129.98
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	50.000	245.00	03/11/21	346.50	...	-101.50	1 of 3 - Sale <sup>25</sup>
	48.000	235.20	03/11/21	332.64	...	-97.44	2 of 3 - Sale <sup>25</sup>
	2.000	9.80	03/11/21	13.86	...	-4.06	3 of 3 - Sale <sup>25</sup>
03/16/21	100.000	490.00	Various	693.00	...	-203.00	Total of 3 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	49.000	204.82	11/19/21	197.47	...	7.35	1 of 2 - Sale <sup>25</sup>
	51.000	213.17	11/19/21	205.53	...	7.64	2 of 2 - Sale <sup>25</sup>
12/01/21	100.000	417.99	Various	403.00	...	14.99	Total of 2 transactions
PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:							
6 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	18.000	121.50	11/08/21	106.74	...	14.76	1 of 6 - Sale <sup>25</sup>
	88.000	593.99	11/08/21	520.96	...	73.03	2 of 6 - Sale <sup>25</sup>
	94.000	634.49	11/08/21	557.42	...	77.07	3 of 6 - Sale <sup>25</sup>
	100.000	674.98	11/08/21	593.00	...	81.98	4 of 6 - Sale <sup>25</sup>
	100.000	674.98	11/08/21	593.00	...	81.98	5 of 6 - Sale <sup>25</sup>
	100.000	674.98	11/08/21	593.00	...	81.98	6 of 6 - Sale <sup>25</sup>
11/08/21	500.000	3,374.92	Various	2,964.12	...	410.80	Total of 6 transactions
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	4.000	4.96	01/14/21	4.66	...	0.30	1 of 2 - Sale <sup>25</sup>
	96.000	119.03	01/14/21	111.84	...	7.19	2 of 2 - Sale <sup>25</sup>
02/23/21	100.000	123.99	Various	116.50	...	7.49	Total of 2 transactions

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Account 543468664

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
02/08/21	50.000	1,150.47	01/28/21	992.50	...	157.97	Sale <sup>25</sup>
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
11/11/21	10.000	1,199.99	11/10/21	1,094.82	...	105.17	Sale <sup>25</sup>
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
<i>6 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	228.000	2,758.75 N	10/26/21	2,637.66	...	121.09	1 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$136.79
	772.000	9,341.01 N	10/26/21	8,931.04	...	409.97	2 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$463.15
	100.000	1,209.98 N	10/29/21	1,144.00	...	65.98	3 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$59.99
	900.000	10,889.78 N	10/29/21	10,305.00	...	584.78	4 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$539.95
	100.000	1,209.98 N	11/03/21	1,113.00	...	96.98	5 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$59.99
	900.000	10,889.78 N	11/08/21	10,277.91	...	611.87	6 of 6 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$539.95
11/12/21	3,000.000	36,299.28	Various	34,408.61	...	1,890.67	Total of 6 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
03/18/21	50.000	429.01	03/03/21	421.45	...	7.56	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	327.99	02/03/21	125.00	...	202.99	1 of 2 - Sale <sup>25</sup>
	100.000	234.00	02/04/21	112.98	...	121.02	2 of 2 - Sale <sup>25</sup>
02/11/21	200.000	561.99	Various	237.98	...	324.01	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	100.000	848.15	02/11/21	1,867.00	...	-1,018.85	1 of 2 - Sale <sup>25</sup>
	100.000	848.14	04/13/21	1,133.00	...	-284.86	2 of 2 - Sale <sup>25</sup>
05/10/21	200.000	1,696.29	Various	3,000.00	...	-1,303.71	Total of 2 transactions
SURGEPAYS, INC. COMMON STOCK / CUSIP: 86882L204 / Symbol:							
4 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	20.000	82.80	11/09/21	80.80	...	2.00	1 of 4 - Sale <sup>25</sup>
	59.000	244.25	11/09/21	238.36	...	5.89	2 of 4 - Sale <sup>25</sup>
	100.000	413.99	11/09/21	404.00	...	9.99	3 of 4 - Sale <sup>25</sup>
	821.000	3,398.82	11/09/21	3,316.84	...	81.98	4 of 4 - Sale <sup>25</sup>
11/09/21	1,000.000	4,139.86	Various	4,040.00	...	99.86	Total of 4 transactions
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
01/26/21	10.000	401.00	12/01/20	314.40	...	86.60	Sale <sup>25</sup>
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
02/17/21	10.000	244.00	01/08/21	235.05	...	8.95	Sale <sup>25</sup>
02/17/21	40.000	976.01	12/24/20	1,032.46	42.34 W	-14.11	Sale <sup>25</sup>
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	20.000	332.20	01/08/21	470.10	...	-137.90	1 of 3 - Sale <sup>25</sup>
	18.000	298.97	01/28/21	461.00	...	-162.03	2 of 3 - Sale <sup>25</sup>
	12.000	199.32	01/28/21	307.34	...	-108.02	3 of 3 - Sale <sup>25</sup>
05/10/21	50.000	830.49	Various	1,238.44	...	-407.95	Total of 3 transactions
	<b>Security total:</b>	<b>2,050.50</b>		<b>2,505.95</b>	<b>42.34 W</b>	<b>-413.11</b>	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
02/12/21	100.000	341.99	01/26/21	241.50	...	100.49	Sale <sup>25</sup>
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	100.000	212.49	02/03/21	454.00	...	-241.51	1 of 2 - Sale <sup>25</sup>
	100.000	212.49	02/03/21	346.00	...	-133.51	2 of 2 - Sale <sup>25</sup>
02/25/21	200.000	424.98	Various	800.00	...	-375.02	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VALE S.A. / CUSIP: 91912E105 / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	25.000	452.37	02/09/21	429.95	...	22.42	1 of 2 - Sale <sup>25</sup>
	25.000	452.37	03/22/21	420.00	...	32.37	2 of 2 - Sale <sup>25</sup>
04/13/21	50.000	904.74	Various	849.95	...	54.79	Total of 2 transactions
VILLAGE FARMS INTERNATIONAL, I NC. COMMON SHARES / CUSIP: 92707Y108 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	2.000	31.84	01/20/21	26.00	...	5.84	1 of 2 - Sale <sup>25</sup>
	98.000	1,560.11	01/20/21	1,274.00	...	286.11	2 of 2 - Sale <sup>25</sup>
02/11/21	100.000	1,591.95	Various	1,300.00	...	291.95	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
05/10/21	50.000	430.00	02/23/21	1,000.00	...	-570.00	Sale <sup>25</sup>
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	250.000	203.47	02/05/21	481.25	...	-277.78	1 of 4 - Sale <sup>25</sup>
	100.000	81.39	04/13/21	98.00	...	-16.61	2 of 4 - Sale <sup>25</sup>
	100.000	81.39	04/13/21	98.00	...	-16.61	3 of 4 - Sale <sup>25</sup>
	50.000	40.69	04/13/21	49.00	...	-8.31	4 of 4 - Sale <sup>25</sup>
05/10/21	500.000	406.94	Various	726.25	...	-319.31	Total of 4 transactions
ALTAMIRA THERAPEUTICS LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G0360L100 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	300.000	410.96	11/12/21	909.00	...	-498.04	1 of 2 - Sale <sup>25</sup>
	200.000	273.97	11/15/21	477.72	...	-203.75	2 of 2 - Sale <sup>25</sup>
12/13/21	500.000	684.93	Various	1,386.72	...	-701.79	Total of 2 transactions
ARCLIGHT CLEAN TRANSITION CORP . CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	25.000	385.50	02/02/21	675.00	...	-289.50	1 of 3 - Sale <sup>25</sup>
	25.000	385.49	02/11/21	609.25	...	-223.76	2 of 3 - Sale <sup>25</sup>
	50.000	770.99	03/10/21	878.48	...	-107.49	3 of 3 - Sale <sup>25</sup>
05/10/21	100.000	1,541.98	Various	2,162.73	...	-620.75	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOGNESS (INTERNATIONAL) CORPOR ATION CLASS A COMMON STOCK / CUSIP: G2788T103 / Symbol:							
11/09/21	500.000	2,119.92	11/09/21	2,220.00	...	-100.08	Sale <sup>25</sup>
ONE / CUSIP: G7000X105 / Symbol:							
05/05/21	50.000	503.54	03/22/21	618.25	...	-114.71	Sale <sup>25</sup>
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
11/08/21	1,000.000	5,439.85	11/08/21	5,775.00	335.15 W	0.00	Sale <sup>25</sup>
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	4.000	34.00	11/09/21	32.08	...	1.92	1 of 3 - Sale <sup>25</sup>
	151.000	1,283.47	11/09/21	1,211.12	...	72.35	2 of 3 - Sale <sup>25</sup>
	845.000	7,182.36	11/09/21	6,777.03	...	405.33	3 of 3 - Sale <sup>25</sup>
11/09/21	1,000.000	8,499.83	Various	8,020.23	...	479.60	Total of 3 transactions
	<b>Security total:</b>	<b>13,939.68</b>		<b>13,795.23</b>	<b>335.15 W</b>	<b>479.60</b>	
MEIWU TECHNOLOGY COMPANY LIMIT ED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	25.000	181.44	02/12/21	675.00	...	-493.56	1 of 3 - Sale <sup>25</sup>
	25.000	181.44	02/17/21	475.00	...	-293.56	2 of 3 - Sale <sup>25</sup>
	50.000	362.89	02/24/21	713.00	...	-350.11	3 of 3 - Sale <sup>25</sup>
05/10/21	100.000	725.77	Various	1,863.00	...	-1,137.23	Total of 3 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
02/18/21	500.000	620.47	02/08/21	479.50	...	140.97	Sale <sup>25</sup>
<b>Totals :</b>		<b>398,831.63</b>		<b>409,967.15</b>	<b>13,317.40 W</b>	<b>2,181.88</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 543468664

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
<i>2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	256.99	06/04/20	366.00	...	-109.01	1 of 2 - Sale <sup>25</sup>
	50.000	256.99	06/30/20	276.00	...	-19.01	2 of 2 - Sale <sup>25</sup>
07/09/21	100.000	513.98	Various	642.00	...	-128.02	Total of 2 transactions
<b>Totals :</b>		<b>513.98</b>		<b>642.00</b>		<b>-128.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 543468664

**Detail for Dividends and Distributions**

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VALE S.A.	91912E105		03/22/21	15.21	Nonqualified dividend	
			03/22/21	3.71	Nonqualified dividend	
			03/22/21	-0.56	Foreign tax withheld-BR	
				<b>18.92</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.56</b>	<b>Total Foreign tax withheld</b>	
				<b>18.92</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.56</b>	<b>Total Foreign tax withheld</b>	



Robinhood Securities LLC

Account 543468664

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/24/21	-1.70	Margin interest paid	
		03/24/21	-5.00	Margin interest paid	
		04/23/21	-4.58	Margin interest paid	
		04/23/21	-5.00	Margin interest paid	
		05/24/21	-4.49	Margin interest paid	
		05/24/21	-5.00	Margin interest paid	
		06/22/21	-4.37	Margin interest paid	
		06/22/21	-5.00	Margin interest paid	
		07/22/21	-3.09	Margin interest paid	
		07/22/21	-5.00	Margin interest paid	
			-43.23	Total Margin interest paid	
Margin charge		08/23/21	-5.00	Margin interest paid	
		08/23/21	-7.95	Margin interest paid	
		09/20/21	-5.00	Margin interest paid	
		09/20/21	-6.07	Margin interest paid	
		10/20/21	-5.00	Margin interest paid	
		10/20/21	-21.66	Margin interest paid	
		11/19/21	-5.00	Margin interest paid	
		11/19/21	-38.43	Margin interest paid	
		12/20/21	-5.00	Margin interest paid	
		12/20/21	-52.83	Margin interest paid	
			-151.94	Total Margin interest paid	
			<b>-195.17</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 543468664

**Foreign Income and Taxes Summary**

2021

02/11/2022

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BR	VALE S.A.	91912E105	18.92	0.00	0.00	18.92	-0.56	
<b>Total BRAZIL</b>			<b>18.92</b>	<b>0.00</b>	<b>0.00</b>	<b>18.92</b>	<b>-0.56</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>18.92</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>			<b>-0.56</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 543468664

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-250.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	250.00	0.00	Exchange	
AONE 11/19/2021 CALL \$15.00	AONE 11/19/21 C 15.000	07/15/21	-3.00	0.00	Merger	
MARA 04/01/2021 CALL \$60.00	MARA 04/01/21 C 60.000	03/01/21 03/01/21	3.00 -3.00	0.00 0.00	Merger Merger	
MKFG 11/19/2021 CALL \$15.00	MKFG 11/19/21 C 15.000	07/15/21	3.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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