

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 412265225</b>	Statement Date: 02/11/2022 Document ID: 6P3E YE6 XUY5	2021
	Sudhir Nimbalkar 3323 Denali Drive Irving, TX 75063  RECIPIENT'S TIN: XXX-XX-6523		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	78,831.08	88,530.91	0.00	5,171.88	-4,527.95
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>78,831.08</b>	<b>88,530.91</b>	<b>0.00</b>	<b>5,171.88</b>	<b>-4,527.95</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>78,831.08</b>	<b>88,530.91</b>	<b>0.00</b>	<b>5,171.88</b>	<b>-4,527.95</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 412265225

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/31/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	5.000	129.98	12/07/21	240.00	...	-110.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	25.98	12/07/21	48.00	...	-22.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/21	6.000	155.96	Various	288.00	...	-132.04	Total of 2 transactions
HUGE 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/11/21	2.000	139.98	02/10/21	330.00	...	-190.02	Option sale to close-call <sup>25</sup>
LCID 01/07/2022 CALL \$42.00 / CUSIP: / Symbol:							
12/28/21	3.000	227.98	12/10/21	945.00	...	-717.02	Option sale to close-call <sup>25</sup>
LCID 01/14/2022 CALL \$50.00 / CUSIP: / Symbol:							
12/28/21	1.000	41.98	12/09/21	245.00	...	-203.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 10/01/2021 CALL \$28.00 / CUSIP: / Symbol: 10/01/21	1.000	0.00	09/22/21	102.00	...	-102.00	Option expiration- long call
LCID 10/15/2021 CALL \$30.00 / CUSIP: / Symbol: 10/06/21	1.000	17.98	09/22/21	129.00	...	-111.02	Option sale to close-call <sup>25</sup>
LCID 10/29/2021 CALL \$34.00 / CUSIP: / Symbol: 10/06/21	1.000	28.98	09/22/21	109.00	...	-80.02	Option sale to close-call <sup>25</sup>
LCID 11/05/2021 CALL \$31.00 / CUSIP: / Symbol: 10/21/21	1.000	24.98	10/06/21	66.00	...	-41.02	Option sale to close-call <sup>25</sup>
LCID 11/12/2021 CALL \$26.00 / CUSIP: / Symbol: 10/28/21	1.000	809.98	10/21/21	109.00	...	700.98	Option sale to close-call <sup>25</sup>
LCID 11/12/2021 CALL \$32.00 / CUSIP: / Symbol: 10/21/21	1.000	31.98	10/01/21	104.00	...	-72.02	Option sale to close-call <sup>25</sup>
LCID 11/12/2021 CALL \$50.00 / CUSIP: / Symbol: 11/10/21	1.000	21.98	11/09/21	89.00	...	-67.02	Option sale to close-call <sup>25</sup>
LCID 11/19/2021 CALL \$34.00 / CUSIP: / Symbol: 10/07/21	1.000	67.98	10/01/21	125.00	...	-57.02	Option sale to close-call <sup>25</sup>
LCID 11/19/2021 CALL \$43.00 / CUSIP: / Symbol: 11/16/21	2.000	1,259.98	11/10/21	546.00	...	713.98	Option sale to close-call <sup>25</sup>
LCID 11/19/2021 CALL \$45.00 / CUSIP: / Symbol: 11/02/21	1.000	98.98	11/01/21	305.00	...	-206.02	Option sale to close-call <sup>25</sup>
LCID 11/26/2021 CALL \$30.00 / CUSIP: / Symbol: 10/28/21	1.000	624.98	10/21/21	73.00	...	551.98	Option sale to close-call <sup>25</sup>
LCID 11/26/2021 CALL \$38.00 / CUSIP: / Symbol: 11/09/21	1.000	669.98	11/02/21	220.00	...	449.98	Option sale to close-call <sup>25</sup>
LCID 11/26/2021 CALL \$48.00 / CUSIP: / Symbol: 11/19/21	2.000	1,399.98	11/10/21	492.00	...	907.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 12/03/2021 CALL \$38.00 / CUSIP: / Symbol:							
11/10/21	1.000	659.98	11/02/21	265.00	...	394.98	Option sale to close-call <sup>25</sup>
LCID 12/03/2021 CALL \$46.00 / CUSIP: / Symbol:							
11/02/21	1.000	134.98	11/01/21	315.00	...	-180.02	Option sale to close-call <sup>25</sup>
LCID 12/03/2021 CALL \$47.00 / CUSIP: / Symbol:							
11/19/21	2.000	1,799.98	11/10/21	640.00	...	1,159.98	Option sale to close-call <sup>25</sup>
LCID 12/10/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/10/21	1.000	589.98	11/02/21	249.00	...	340.98	Option sale to close-call <sup>25</sup>
LCID 12/10/2021 CALL \$51.00 / CUSIP: / Symbol:							
11/19/21	2.000	1,499.98	11/10/21	590.00	...	909.98	Option sale to close-call <sup>25</sup>
LCID 12/10/2021 CALL \$54.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.98	11/23/21	440.00	...	-404.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	35.98	11/23/21	440.00	...	-404.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	71.96	Various	880.00	...	-808.04	Total of 2 transactions
LCID 12/17/2021 CALL \$34.00 / CUSIP: / Symbol:							
10/21/21	2.000	157.98	10/06/21	262.00	...	-104.02	Option sale to close-call <sup>25</sup>
LCID 12/17/2021 CALL \$49.00 / CUSIP: / Symbol:							
11/10/21	1.000	414.98	11/09/21	680.00	...	-265.02	Option sale to close-call <sup>25</sup>
LCID 12/17/2021 CALL \$65.00 / CUSIP: / Symbol:							
<i>4 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	31.98	11/23/21	725.01	...	-693.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	31.99	11/23/21	725.00	...	-693.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	63.99	11/23/21	514.00	...	-450.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	31.99	11/23/21	257.00	...	-225.01	4 of 4 - Option sale to close-call <sup>25</sup>
12/07/21	5.000	159.95	Various	2,221.01	...	-2,061.06	Total of 4 transactions
12/07/21	2.000	63.99	11/17/21	1,000.00	936.01 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>223.94</b>		<b>3,221.01</b>	<b>936.01 W</b>	<b>-2,061.06</b>	

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 12/23/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/09/21	2.000	97.98	11/23/21	1,020.00	...	-922.02	Option sale to close-call <sup>25</sup>
LCID 12/31/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/28/21	5.000	179.98	12/10/21	1,350.00	...	-1,170.02	Option sale to close-call <sup>25</sup>
LCID 12/31/2021 CALL \$58.00 / CUSIP: / Symbol:							
11/30/21	3.000	1,514.98	11/23/21	1,485.00	...	29.98	Option sale to close-call <sup>25</sup>
12/09/21	3.000	194.98	11/30/21	1,500.00	...	-1,305.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,709.96</b>		<b>2,985.00</b>	...	<b>-1,275.04</b>	
LTHM 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
11/30/21	2.000	49.98	11/23/21	50.00	...	-0.02	Option sale to close-call <sup>25</sup>
PLTR 03/05/2021 CALL \$37.00 / CUSIP: / Symbol:							
02/17/21	1.000	112.98	02/12/21	267.00	...	-154.02	Option sale to close-call <sup>25</sup>
QS 12/31/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/29/21	4.000	7.98	12/09/21	308.00	...	-300.02	Option sale to close-call <sup>25</sup>
	2 transactions for 12/30/21. Total proceeds and cost reported to the IRS.						
	3.000	5.98	12/09/21	231.00	...	-225.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	5.98	12/09/21	231.00	...	-225.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/21	6.000	11.96	Various	462.00	...	-450.04	Total of 2 transactions
	<b>Security total:</b>	<b>19.94</b>		<b>770.00</b>	...	<b>-750.06</b>	
SNDL 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/18/21	2.000	29.98	02/10/21	156.00	...	-126.02	Option sale to close-call <sup>25</sup>
WKHS 07/30/2021 CALL \$17.00 / CUSIP: / Symbol:							
06/14/21	2.000	529.98	06/11/21	460.00	...	69.98	Option sale to close-call <sup>25</sup>
WKHS 12/10/2021 CALL \$8.00 / CUSIP: / Symbol:							
	2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	6.000	0.00	11/17/21	204.00	...	-204.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/17/21	34.00	...	-34.00	2 of 2 - Option expiration- long call
12/10/21	7.000	0.00	Various	238.00	...	-238.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	2.000	22.78	01/27/21	30.00	7.22 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	34.17	01/27/21	45.00	10.83 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	34.17	01/27/21	51.00	16.83 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	56.95	01/27/21	100.00	43.05 W	0.00	4 of 4 - Sale <sup>25</sup>
01/28/21	13.000	148.07	Various	226.00	77.93 W	0.00	Total of 4 transactions
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	3.000	42.46	01/29/21	58.83	...	-16.37	1 of 4 - Sale <sup>25</sup>
	2.000	28.30	01/29/21	35.22	...	-6.92	2 of 4 - Sale <sup>25</sup>
	2.000	28.31	01/29/21	35.22	...	-6.91	3 of 4 - Sale <sup>25</sup>
	1.000	14.15	01/29/21	17.61	...	-3.46	4 of 4 - Sale <sup>25</sup>
02/01/21	8.000	113.22	Various	146.88	...	-33.66	Total of 4 transactions
02/01/21	5.000	70.76	01/29/21	113.05	8.46 W	-33.83	Sale <sup>25</sup>
02/03/21	1.000	8.36	02/02/21	16.46	...	-8.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>340.41</b>		<b>502.39</b>	<b>86.39 W</b>	<b>-75.59</b>	
ATYR PHARMA, INC. COMMON STOCK / CUSIP: 002120202 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	24.000	170.16	02/09/21	177.13	...	-6.97	1 of 2 - Sale <sup>25</sup>
	6.000	42.54	02/09/21	44.10	...	-1.56	2 of 2 - Sale <sup>25</sup>
02/10/21	30.000	212.70	Various	221.23	...	-8.53	Total of 2 transactions
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
06/14/21	5.000	740.89	06/11/21	740.37	...	0.52	Sale <sup>25</sup>
06/28/21	5.000	749.59	06/11/21	740.37	...	9.22	Sale <sup>25</sup>
07/22/21	0.478	66.10	06/11/21	70.78	4.68 W	0.00	Sale <sup>25</sup>
07/23/21	2.000	277.02	06/11/21	296.14	19.12 W	0.00	Sale <sup>25</sup>
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	2.522	362.94	06/11/21	373.44	10.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.478	68.79	07/16/21	70.43	1.64 W	0.00	2 of 2 - Sale <sup>25</sup>
07/29/21	3.000	431.73	Various	443.87	12.14 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol: (cont'd)							
<i>5 transactions for 08/18/21. Total proceeds and cost reported to the IRS.</i>							
	0.478	70.89	07/16/21	70.54	...	0.35	1 of 5 - Sale <sup>25</sup>
	0.134	19.93	07/16/21	18.51	...	1.42	2 of 5 - Sale <sup>25</sup>
	1.522	225.73	07/16/21	224.25	...	1.48	3 of 5 - Sale <sup>25</sup>
	0.478	70.90	07/16/21	65.86	...	5.04	4 of 5 - Sale <sup>25</sup>
	2.522	374.05	07/16/21	357.98	...	16.07	5 of 5 - Sale <sup>25</sup>
08/18/21	5.134	761.50	Various	737.14	...	24.36	Total of 5 transactions
09/17/21	3.000	508.22	07/16/21	413.34	...	94.88	Sale <sup>25</sup>
09/22/21	2.000	340.24	07/16/21	275.56	...	64.68	Sale <sup>25</sup>
09/29/21	3.000	507.35	07/16/21	413.34	...	94.01	Sale <sup>25</sup>
<i>5 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.388	233.35	07/16/21	191.19	...	42.16	1 of 5 - Sale <sup>25</sup>
	0.478	80.38	07/20/21	66.64	...	13.74	2 of 5 - Sale <sup>25</sup>
	0.134	22.60	08/13/21	19.77	...	2.83	3 of 5 - Sale <sup>25</sup>
	4.866	814.45	08/13/21	715.98	...	98.47	4 of 5 - Sale <sup>25</sup>
	0.134	22.49	08/16/21	20.00	...	2.49	5 of 5 - Sale <sup>25</sup>
09/30/21	7.000	1,173.27	Various	1,013.58	...	159.69	Total of 5 transactions
	<b>Security total:</b>	<b>5,555.91</b>		<b>5,144.49</b>	<b>35.94 W</b>	<b>447.36</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
09/07/21	0.075	13.08	08/23/21	11.97	...	1.11	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/23/21	0.456	1,421.41	02/18/21	1,500.00	...	-78.59	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
<i>2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	23.00	03/24/21	21.90	...	1.10	1 of 2 - Sale <sup>25</sup>
	1.000	23.00	03/24/21	21.90	...	1.10	2 of 2 - Sale <sup>25</sup>
03/26/21	2.000	46.00	Various	43.80	...	2.20	Total of 2 transactions
<i>3 transactions for 03/31/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	47.87	03/24/21	43.76	...	4.11	1 of 3 - Sale <sup>25</sup>
	48.000	1,148.82	03/24/21	1,051.20	...	97.62	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	49.000	1,172.75	03/29/21	1,131.90	...	40.85	3 of 3 - Sale <sup>25</sup>
03/31/21	99.000	2,369.44	Various	2,226.86	...	142.58	Total of 3 transactions
	2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	1.000	24.01	03/29/21	23.10	...	0.91	1 of 2 - Sale <sup>25</sup>
	6.000	144.08	04/05/21	146.70	...	-2.62	2 of 2 - Sale <sup>25</sup>
04/07/21	7.000	168.09	Various	169.80	...	-1.71	Total of 2 transactions
	4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	3.000	61.65	07/13/21	60.90	...	0.75	1 of 4 - Sale <sup>25</sup>
	3.000	61.65	07/13/21	60.90	...	0.75	2 of 4 - Sale <sup>25</sup>
	5.000	102.75	07/13/21	101.40	...	1.35	3 of 4 - Sale <sup>25</sup>
	12.000	246.60	07/13/21	243.60	...	3.00	4 of 4 - Sale <sup>25</sup>
07/16/21	23.000	472.65	Various	466.80	...	5.85	Total of 4 transactions
12/10/21	4.000	71.01	12/01/21	65.44	...	5.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,127.19</b>		<b>2,972.70</b>	...	<b>154.49</b>	
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
07/29/21	58.000	97.43	07/28/21	97.44	...	-0.01	Sale <sup>25</sup>
	2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.						
	92.000	154.04	07/28/21	154.56	...	-0.52	1 of 2 - Sale <sup>25</sup>
	8.000	13.40	07/28/21	13.52	...	-0.12	2 of 2 - Sale <sup>25</sup>
08/03/21	100.000	167.44	Various	168.08	...	-0.64	Total of 2 transactions
	<b>Security total:</b>	<b>264.87</b>		<b>265.52</b>	...	<b>-0.65</b>	
BIOLINERX LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09071M205 / Symbol:							
	3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	49.000	137.73	05/10/21	166.60	...	-28.87	1 of 3 - Sale <sup>25</sup>
	1.000	2.81	05/10/21	3.40	...	-0.59	2 of 3 - Sale <sup>25</sup>
	1.000	2.81	05/10/21	3.35	...	-0.54	3 of 3 - Sale <sup>25</sup>
05/17/21	51.000	143.35	Various	173.35	...	-30.00	Total of 3 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
02/02/21	1.000	12.21	02/01/21	10.50	...	1.71	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	5.000	78.90	01/29/21	88.90	...	-10.00	1 of 3 - Sale <sup>25</sup>
	5.000	78.90	01/29/21	88.40	...	-9.50	2 of 3 - Sale <sup>25</sup>
	5.000	78.90	01/29/21	88.25	...	-9.35	3 of 3 - Sale <sup>25</sup>
01/29/21	15.000	236.70	Various	265.55	...	-28.85	Total of 3 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
02/26/21	18.000	714.77	02/25/21	742.23	27.46 W	0.00	Sale <sup>25</sup>
03/10/21	1.000	34.80	03/04/21	30.25	...	4.55	Sale <sup>25</sup>
03/12/21	12.000	458.46	03/11/21	494.82	9.09 W	-27.27	Sale <sup>25</sup>
3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	5.000	177.25	03/26/21	192.88	...	-15.63	1 of 3 - Sale <sup>25</sup>
	2.000	70.90	03/26/21	80.46	...	-9.56	2 of 3 - Sale <sup>25</sup>
	1.000	35.45	03/26/21	40.08	...	-4.63	3 of 3 - Sale <sup>25</sup>
03/29/21	8.000	283.60	Various	313.42	...	-29.82	Total of 3 transactions
07/16/21	1.000	31.58	07/08/21	33.81	...	-2.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,523.21</b>		<b>1,614.53</b>	<b>36.55 W</b>	<b>-54.77</b>	
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
03/30/21	1.000	0.69	03/29/21	0.68	...	0.01	Sale <sup>25</sup>
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
08/03/21	2.000	10.34	07/23/21	10.70	...	-0.36	Sale <sup>25</sup>
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
03/26/21	45.000	1,167.96	03/24/21	1,121.40	...	46.56	Sale <sup>25</sup>
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	3.000	80.63	04/08/21	95.91	...	-15.28	1 of 2 - Sale <sup>25</sup>
	1.000	26.87	04/08/21	31.97	...	-5.10	2 of 2 - Sale <sup>25</sup>
04/19/21	4.000	107.50	Various	127.88	...	-20.38	Total of 2 transactions
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	6.000	161.25	04/07/21	192.70	26.21 W	-5.24	1 of 2 - Sale <sup>25</sup>
	10.000	268.40	04/07/21	294.79	26.39 W	0.00	2 of 2 - Sale <sup>25</sup>
04/19/21	16.000	429.65	Various	487.49	52.60 W	-5.24	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
05/24/21	5.000	139.50	05/18/21	165.86	...	-26.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,844.61</b>		<b>1,902.63</b>	<b>52.60 W</b>	<b>-5.42</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/04/21	1.000	28.16	02/02/21	28.69	0.53 W	0.00	Sale <sup>25</sup>
	4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	1.000	31.60	02/05/21	33.15	1.55 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	31.60	02/05/21	33.68	2.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	158.00	02/05/21	175.00	17.00 W	0.00	3 of 4 - Sale <sup>25</sup>
	8.000	252.80	02/05/21	265.16	12.36 W	0.00	4 of 4 - Sale <sup>25</sup>
02/09/21	15.000	474.00	Various	506.99	32.99 W	0.00	Total of 4 transactions
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	32.02	02/05/21	37.08	5.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	64.04	02/05/21	73.09	9.05 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	32.02	02/08/21	35.51	3.49 W	0.00	3 of 3 - Sale <sup>25</sup>
02/10/21	4.000	128.08	Various	145.68	17.60 W	0.00	Total of 3 transactions
02/11/21	1.000	31.80	02/08/21	35.50	3.70 W	0.00	Sale <sup>25</sup>
	8 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	29.80	02/23/21	34.30	4.50 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	29.80	02/23/21	36.24	6.44 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	29.80	02/23/21	36.45	6.65 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	29.80	02/23/21	37.81	8.01 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	59.60	02/23/21	74.55	14.95 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	119.20	02/23/21	137.18	17.98 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	149.00	02/23/21	180.75	31.75 W	0.00	7 of 8 - Sale <sup>25</sup>
	34.000	1,013.19	02/23/21	1,113.50	100.31 W	0.00	8 of 8 - Sale <sup>25</sup>
02/25/21	49.000	1,460.19	Various	1,650.78	190.59 W	0.00	Total of 8 transactions
	8 transactions for 03/01/21. Total proceeds and cost reported to the IRS.						
	1.000	30.41	02/23/21	39.38	8.97 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	30.41	02/23/21	40.87	10.46 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	60.82	02/23/21	80.66	19.84 W	0.00	3 of 8 - Sale <sup>25</sup>
	6.000	182.46	02/23/21	196.50	14.04 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	30.50	02/26/21	34.66	4.16 W	0.00	5 of 8 - Sale <sup>25</sup>

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	1.000	30.50	02/26/21	36.61	6.11 W	0.00	6 of 8 - Sale <sup>25</sup>
	3.000	91.50	02/26/21	109.55	18.05 W	0.00	7 of 8 - Sale <sup>25</sup>
	4.000	122.00	02/26/21	138.64	16.64 W	0.00	8 of 8 - Sale <sup>25</sup>
03/01/21	19.000	578.60	Various	676.87	98.27 W	0.00	Total of 8 transactions
03/02/21	1.000	30.13	02/26/21	36.51	6.38 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	1.000	27.52	03/02/21	36.30	8.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	165.12	03/02/21	197.40	32.28 W	0.00	2 of 2 - Sale <sup>25</sup>
03/03/21	7.000	192.64	Various	233.70	41.06 W	0.00	Total of 2 transactions
	19 transactions for 03/24/21. Total proceeds and cost reported to the IRS.						
	1.000	22.57	03/03/21	29.27	6.70 W	0.00	1 of 19 - Sale <sup>25</sup>
	2.000	45.13	03/04/21	50.10	4.97 W	0.00	2 of 19 - Sale <sup>25</sup>
	1.000	22.56	03/08/21	30.26	7.70 W	0.00	3 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/08/21	28.31	5.74 W	0.00	4 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/08/21	33.12	10.55 W	0.00	5 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/08/21	34.61	12.04 W	0.00	6 of 19 - Sale <sup>25</sup>
	2.000	45.13	03/08/21	68.14	23.01 W	0.00	7 of 19 - Sale <sup>25</sup>
	6.000	135.39	03/08/21	158.94	23.55 W	0.00	8 of 19 - Sale <sup>25</sup>
	25.000	564.12	03/08/21	677.51	113.39 W	0.00	9 of 19 - Sale <sup>25</sup>
	1.000	22.56	03/10/21	30.77	8.21 W	0.00	10 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/10/21	30.16	7.59 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/10/21	32.38	9.81 W	0.00	12 of 19 - Sale <sup>25</sup>
	1.000	22.57	03/10/21	34.17	11.60 W	0.00	13 of 19 - Sale <sup>25</sup>
	3.000	67.69	03/10/21	90.48	22.79 W	0.00	14 of 19 - Sale <sup>25</sup>
	3.000	67.69	03/10/21	96.05	28.36 W	0.00	15 of 19 - Sale <sup>25</sup>
	4.000	90.26	03/15/21	112.32	22.06 W	0.00	16 of 19 - Sale <sup>25</sup>
	5.000	112.83	03/15/21	167.30	54.47 W	0.00	17 of 19 - Sale <sup>25</sup>
	6.000	135.39	03/18/21	175.32	39.93 W	0.00	18 of 19 - Sale <sup>25</sup>
	15.000	338.47	03/23/21	393.00	54.53 W	0.00	19 of 19 - Sale <sup>25</sup>
03/24/21	80.000	1,805.21	Various	2,272.21	467.00 W	0.00	Total of 19 transactions
03/29/21	1.000	21.97	03/24/21	30.95	...	-8.98	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
14 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	25.000	549.24	03/24/21	681.89	5.31 W	-127.34	1 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	28.48	6.51 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	29.44	7.47 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	30.33	8.36 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	30.44	8.47 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	32.55	10.58 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	33.29	11.32 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	34.34	12.37 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	21.97	03/24/21	34.78	12.81 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	43.94	03/24/21	50.45	6.51 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	43.94	03/24/21	68.49	24.55 W	0.00	11 of 14 - Sale <sup>25</sup>
	3.000	65.91	03/24/21	91.01	25.10 W	0.00	12 of 14 - Sale <sup>25</sup>
	3.000	65.91	03/24/21	96.58	30.67 W	0.00	13 of 14 - Sale <sup>25</sup>
	6.000	131.82	03/24/21	159.99	28.17 W	0.00	14 of 14 - Sale <sup>25</sup>
03/29/21	49.000	1,076.52	Various	1,402.06	198.20 W	-127.34	Total of 14 transactions
7 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	5.000	116.67	03/31/21	169.12	...	-52.45	1 of 7 - Sale <sup>25</sup>
	14.000	326.66	03/31/21	372.75	...	-46.09	2 of 7 - Sale <sup>25</sup>
	4.000	93.33	03/31/21	118.34	...	-25.01	3 of 7 - Sale <sup>25</sup>
	2.000	46.67	03/31/21	70.53	...	-23.86	4 of 7 - Sale <sup>25</sup>
	4.000	93.33	03/31/21	113.78	...	-20.45	5 of 7 - Sale <sup>25</sup>
	2.000	46.67	03/31/21	59.29	...	-12.62	6 of 7 - Sale <sup>25</sup>
	1.000	23.33	03/31/21	35.80	...	-12.47	7 of 7 - Sale <sup>25</sup>
04/05/21	32.000	746.66	Various	939.61	...	-192.95	Total of 7 transactions
10 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	1.000	23.04	03/31/21	26.63	...	-3.59	1 of 10 - Sale <sup>25</sup>
	3.000	69.14	04/07/21	101.11	...	-31.97	2 of 10 - Sale <sup>25</sup>
	3.000	69.14	04/07/21	95.54	...	-26.40	3 of 10 - Sale <sup>25</sup>
	1.000	23.04	04/07/21	34.80	...	-11.76	4 of 10 - Sale <sup>25</sup>
	1.000	23.04	04/07/21	34.06	...	-11.02	5 of 10 - Sale <sup>25</sup>
	1.000	23.04	04/07/21	31.95	...	-8.91	6 of 10 - Sale <sup>25</sup>
	1.000	23.04	04/07/21	31.84	...	-8.80	7 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	1.000	23.04	04/07/21	30.95	...	-7.91	8 of 10 - Sale <sup>25</sup>
	2.000	46.09	04/07/21	53.47	...	-7.38	9 of 10 - Sale <sup>25</sup>
	1.000	23.04	04/07/21	29.99	...	-6.95	10 of 10 - Sale <sup>25</sup>
04/08/21	15.000	345.65	Various	470.34	...	-124.69	Total of 10 transactions
04/14/21	1.000	21.82	04/12/21	26.87	...	-5.05	Sale <sup>25</sup>
04/30/21	2.000	42.36	04/27/21	57.49	15.13 W	0.00	Sale <sup>25</sup>
	3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	19.28	04/27/21	29.36	10.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	19.28	04/27/21	36.42	17.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	57.84	04/27/21	86.23	28.39 W	0.00	3 of 3 - Sale <sup>25</sup>
05/05/21	5.000	96.40	Various	152.01	55.61 W	0.00	Total of 3 transactions
	4 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	1.000	19.70	05/18/21	36.44	...	-16.74	1 of 4 - Sale <sup>25</sup>
	2.000	39.40	05/18/21	53.73	...	-14.33	2 of 4 - Sale <sup>25</sup>
	1.000	19.70	05/18/21	29.38	...	-9.68	3 of 4 - Sale <sup>25</sup>
	3.000	59.11	05/18/21	57.90	...	1.21	4 of 4 - Sale <sup>25</sup>
05/24/21	7.000	137.91	Various	177.45	...	-39.54	Total of 4 transactions
05/24/21	3.000	59.10	05/18/21	86.29	9.06 W	-18.13	Sale <sup>25</sup>
	2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.						
	1.000	26.00	06/03/21	32.86	6.86 W	0.00	1 of 2 - Sale <sup>25</sup>
	11.000	286.00	06/28/21	296.01	10.01 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/21	12.000	312.00	Various	328.87	16.87 W	0.00	Total of 2 transactions
	4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	10.000	227.19	07/01/21	325.80	...	-98.61	1 of 4 - Sale <sup>25</sup>
	7.000	159.04	07/01/21	245.49	...	-86.45	2 of 4 - Sale <sup>25</sup>
	3.000	68.16	07/01/21	100.44	...	-32.28	3 of 4 - Sale <sup>25</sup>
	1.000	22.72	07/01/21	33.30	...	-10.58	4 of 4 - Sale <sup>25</sup>
07/16/21	21.000	477.11	Various	705.03	...	-227.92	Total of 4 transactions
	7 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	1.000	22.71	06/28/21	27.63	4.92 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	22.71	06/28/21	33.77	11.06 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	68.13	06/28/21	83.43	15.30 W	0.00	3 of 7 - Sale <sup>25</sup>
	13.000	295.22	06/28/21	349.82	54.60 W	0.00	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	3.000	68.16	07/01/21	98.07	19.94 W	-9.97	5 of 7 - Sale <sup>25</sup>
	1.000	22.72	07/01/21	39.55	16.83 W	0.00	6 of 7 - Sale <sup>25</sup>
	7.000	158.97	07/01/21	205.80	46.83 W	0.00	7 of 7 - Sale <sup>25</sup>
07/16/21	29.000	658.62	Various	838.07	169.48 W	-9.97	Total of 7 transactions
	<b>Security total:</b>	<b>8,724.93</b>		<b>10,801.97</b>	<b>1,322.47 W</b>	<b>-754.57</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	9.000	745.99	02/17/21	854.72	...	-108.73	1 of 2 - Sale <sup>25</sup>
	2.000	165.78	02/17/21	189.12	...	-23.34	2 of 2 - Sale <sup>25</sup>
02/18/21	11.000	911.77	Various	1,043.84	...	-132.07	Total of 2 transactions
FISKER INC. / CUSIP: 33813J106 / Symbol:							
01/29/21	10.000	153.90	01/28/21	164.90	...	-11.00	Sale <sup>25</sup>
	2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	18.000	509.39	03/01/21	486.00	...	23.39	1 of 2 - Sale <sup>25</sup>
	2.000	56.60	03/02/21	55.40	...	1.20	2 of 2 - Sale <sup>25</sup>
03/03/21	20.000	565.99	Various	541.40	...	24.59	Total of 2 transactions
03/03/21	5.000	141.50	03/02/21	148.05	6.55 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.						
	5.000	112.13	03/12/21	123.95	11.82 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	156.97	03/12/21	164.36	7.39 W	0.00	2 of 2 - Sale <sup>25</sup>
03/15/21	12.000	269.10	Various	288.31	19.21 W	0.00	Total of 2 transactions
	3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.						
	13.000	230.30	03/18/21	267.41	...	-37.11	1 of 3 - Sale <sup>25</sup>
	7.000	124.00	03/18/21	151.38	...	-27.38	2 of 3 - Sale <sup>25</sup>
	5.000	88.58	03/18/21	114.67	...	-26.09	3 of 3 - Sale <sup>25</sup>
03/24/21	25.000	442.88	Various	533.46	...	-90.58	Total of 3 transactions
	3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	0.081	0.99	05/18/21	0.99	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.919	11.18	05/18/21	11.13	...	0.05	2 of 3 - Sale <sup>25</sup>
	15.000	182.48	05/18/21	181.50	...	0.98	3 of 3 - Sale <sup>25</sup>
05/24/21	16.000	194.65	Various	193.62	...	1.03	Total of 3 transactions

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
05/24/21	0.081	0.99	05/18/21	1.00	0.01 W	0.00	Sale <sup>25</sup>
06/28/21	2.000	40.60	06/07/21	34.19	...	6.41	Sale <sup>25</sup>
07/13/21	10.000	163.60	06/07/21	170.95	...	-7.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,973.21</b>		<b>2,075.88</b>	<b>25.77 W</b>	<b>-76.90</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	325.14	01/27/21	364.08	38.94 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	325.42	01/27/21	355.00	29.58 W	0.00	2 of 2 - Sale <sup>25</sup>
01/27/21	2.000	650.56	Various	719.08	68.52 W	0.00	Total of 2 transactions
01/28/21	1.000	317.64	01/27/21	288.94	...	28.70	Sale <sup>25</sup>
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	2.000	199.19	02/02/21	224.00	...	-24.81	1 of 2 - Sale <sup>25</sup>
	1.000	99.59	02/02/21	110.00	...	-10.41	2 of 2 - Sale <sup>25</sup>
02/03/21	3.000	298.78	Various	334.00	...	-35.22	Total of 2 transactions
	<b>Security total:</b>	<b>1,266.98</b>		<b>1,342.02</b>	<b>68.52 W</b>	<b>-6.52</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1,000.000	1,392.78	10/28/21	1,465.80	...	-73.02	1 of 2 - Sale <sup>25</sup>
	40.000	55.71	10/28/21	58.75	...	-3.04	2 of 2 - Sale <sup>25</sup>
10/29/21	1,040.000	1,448.49	Various	1,524.55	...	-76.06	Total of 2 transactions
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
03/10/21	14.000	21.63	03/08/21	19.32	...	2.31	Sale <sup>25</sup>
GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHAR ES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol:							
08/03/21	1.000	7.70	07/30/21	7.90	...	-0.20	Sale <sup>25</sup>
GORES HOLDINGS VI, INC. CLASS A COMMON STOCK / CUSIP: 38286R105 / Symbol:							
02/23/21	14.000	261.94	02/16/21	254.80	...	7.14	Sale <sup>25</sup>
02/23/21	11.000	205.81	02/17/21	214.50	8.69 W	0.00	Sale <sup>25</sup>
03/02/21	1.000	17.90	02/26/21	18.18	...	-0.28	Sale <sup>25</sup>
03/02/21	9.000	161.10	02/26/21	163.62	2.52 W	0.00	Sale <sup>25</sup>

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Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GORES HOLDINGS VI, INC. CLASS A COMMON STOCK / CUSIP: 38286R105 / Symbol: (cont'd)							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	9.000	153.45	03/02/21	161.41	...	-7.96	1 of 2 - Sale <sup>25</sup>
	1.000	17.05	03/02/21	18.44	...	-1.39	2 of 2 - Sale <sup>25</sup>
03/03/21	10.000	170.50	Various	179.85	...	-9.35	Total of 2 transactions
	<b>Security total:</b>	<b>817.25</b>		<b>830.95</b>	<b>11.21 W</b>	<b>-2.49</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
02/02/21	50.000	212.50	02/01/21	222.50	...	-10.00	Sale <sup>25</sup>
02/02/21	20.000	87.42	02/01/21	89.00	0.79 W	-0.79	Sale <sup>25</sup>
02/10/21	10.000	52.40	02/08/21	53.29	0.89 W	0.00	Sale <sup>25</sup>
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	10.000	31.85	03/12/21	34.04	...	-2.19	1 of 2 - Sale <sup>25</sup>
	5.000	15.92	03/15/21	16.40	...	-0.48	2 of 2 - Sale <sup>25</sup>
03/16/21	15.000	47.77	Various	50.44	...	-2.67	Total of 2 transactions
07/16/21	2.000	4.90	06/03/21	5.99	...	-1.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>404.99</b>		<b>421.22</b>	<b>1.68 W</b>	<b>-14.55</b>	
LI-CYCLE HOLDINGS CORP. / CUSIP: 50202P105 / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	8.000	96.96	09/30/21	101.92	...	-4.96	1 of 2 - Sale <sup>25</sup>
	1.000	12.12	09/30/21	13.26	...	-1.14	2 of 2 - Sale <sup>25</sup>
10/01/21	9.000	109.08	Various	115.18	...	-6.10	Total of 2 transactions
11/05/21	0.893	11.27	11/03/21	11.00	...	0.27	Sale <sup>25</sup>
	<b>Security total:</b>	<b>120.35</b>		<b>126.18</b>	...	<b>-5.83</b>	
LEAP THERAPEUTICS, INC. COMMON STOCK / CUSIP: 52187K101 / Symbol:							
10/28/21	20.000	55.60	10/07/21	67.00	...	-11.40	Sale <sup>25</sup>
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	0.117	9.44	08/06/21	9.45	...	-0.01	1 of 2 - Sale <sup>25</sup>
	0.007	0.55	08/06/21	0.55	...	0.00	2 of 2 - Sale <sup>25</sup>
08/09/21	0.123	9.99	Various	10.00	...	-0.01	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
06/28/21	1.000	14.64	06/24/21	14.61	...	0.03	Sale <sup>25</sup>
07/16/21	35.000	469.76	06/24/21	511.35	...	-41.59	Sale <sup>25</sup>
	2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	50.000	1,703.89	12/09/21	1,729.75	...	-25.86	1 of 2 - Sale <sup>25</sup>
	50.000	1,704.99	12/09/21	1,729.75	...	-24.76	2 of 2 - Sale <sup>25</sup>
12/10/21	100.000	3,408.88	Various	3,459.50	...	-50.62	Total of 2 transactions
	<b>Security total:</b>	<b>3,893.28</b>		<b>3,985.46</b>	...	<b>-92.18</b>	
LIVENT CORPORATION / CUSIP: 53814L108 / Symbol:							
04/30/21	15.000	270.77	04/22/21	258.30	...	12.47	Sale <sup>25</sup>
	2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	5.000	90.25	04/26/21	94.55	4.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	90.26	04/27/21	95.50	5.24 W	0.00	2 of 2 - Sale <sup>25</sup>
04/30/21	10.000	180.51	Various	190.05	9.54 W	0.00	Total of 2 transactions
	5 transactions for 05/17/21. Total proceeds and cost reported to the IRS.						
	3.000	50.60	04/27/21	59.88	...	-9.28	1 of 5 - Sale <sup>25</sup>
	15.000	253.00	04/29/21	274.46	...	-21.46	2 of 5 - Sale <sup>25</sup>
	5.000	84.33	04/29/21	96.72	...	-12.39	3 of 5 - Sale <sup>25</sup>
	2.000	33.73	04/29/21	38.31	...	-4.58	4 of 5 - Sale <sup>25</sup>
	5.000	84.34	05/05/21	94.65	...	-10.31	5 of 5 - Sale <sup>25</sup>
05/17/21	30.000	506.00	Various	564.02	...	-58.02	Total of 5 transactions
	<b>Security total:</b>	<b>957.28</b>		<b>1,012.37</b>	<b>9.54 W</b>	<b>-45.55</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
	3 transactions for 08/05/21. Total proceeds and cost reported to the IRS.						
	1.000	22.86	07/22/21	39.39	16.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	45.72	07/22/21	65.06	19.34 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	45.72	07/29/21	68.90	23.18 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/21	5.000	114.30	Various	173.35	59.05 W	0.00	Total of 3 transactions
	7 transactions for 08/13/21. Total proceeds and cost reported to the IRS.						
	1.000	23.60	07/29/21	33.55	9.95 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	23.60	07/29/21	33.66	10.06 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	23.60	07/29/21	34.27	10.67 W	0.00	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
	1.000	23.60	07/29/21	34.45	10.85 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	47.20	07/29/21	72.08	24.88 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	118.00	07/29/21	180.20	62.20 W	0.00	6 of 7 - Sale <sup>25</sup>
	9.000	212.40	07/29/21	301.96	89.56 W	0.00	7 of 7 - Sale <sup>25</sup>
08/13/21	20.000	472.00	Various	690.17	218.17 W	0.00	Total of 7 transactions
	4 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	1.000	25.42	08/18/21	33.31	7.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	25.42	08/18/21	33.49	8.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	25.43	08/18/21	39.17	13.74 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	50.85	08/18/21	70.16	19.31 W	0.00	4 of 4 - Sale <sup>25</sup>
10/01/21	5.000	127.12	Various	176.13	49.01 W	0.00	Total of 4 transactions
	4 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	1.000	24.15	08/18/21	32.70	8.55 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	48.31	08/18/21	68.46	20.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	72.46	08/18/21	97.77	25.31 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	120.78	08/18/21	175.40	54.62 W	0.00	4 of 4 - Sale <sup>25</sup>
10/06/21	11.000	265.70	Various	374.33	108.63 W	0.00	Total of 4 transactions
	3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	38.16	08/18/21	32.59	...	5.57	1 of 3 - Sale <sup>25</sup>
	1.000	38.16	08/18/21	32.31	...	5.85	2 of 3 - Sale <sup>25</sup>
	6.000	228.96	08/18/21	195.55	...	33.41	3 of 3 - Sale <sup>25</sup>
11/01/21	8.000	305.28	Various	260.45	...	44.83	Total of 3 transactions
	3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	33.70	08/18/21	32.31	...	1.39	1 of 3 - Sale <sup>25</sup>
	1.000	33.69	08/18/21	22.64	...	11.05	2 of 3 - Sale <sup>25</sup>
	7.000	235.90	08/18/21	158.48	...	77.42	3 of 3 - Sale <sup>25</sup>
11/02/21	9.000	303.29	Various	213.43	...	89.86	Total of 3 transactions
	2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	33.69	09/17/21	36.74	3.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	168.45	09/17/21	169.62	1.17 W	0.00	2 of 2 - Sale <sup>25</sup>
11/02/21	6.000	202.14	Various	206.36	4.22 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	45.40	09/17/21	31.55	...	13.85	1 of 7 - Sale <sup>25</sup>
	1.000	45.40	09/17/21	31.07	...	14.33	2 of 7 - Sale <sup>25</sup>
	1.000	45.40	09/17/21	30.89	...	14.51	3 of 7 - Sale <sup>25</sup>
	2.000	90.80	09/17/21	66.15	...	24.65	4 of 7 - Sale <sup>25</sup>
	2.000	90.80	09/17/21	65.31	...	25.49	5 of 7 - Sale <sup>25</sup>
	3.000	136.20	09/17/21	94.31	...	41.89	6 of 7 - Sale <sup>25</sup>
	5.000	226.99	09/17/21	115.00	...	111.99	7 of 7 - Sale <sup>25</sup>
11/09/21	15.000	680.99	Various	434.28	...	246.71	Total of 7 transactions
10 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	40.17	09/17/21	23.00	...	17.17	1 of 10 - Sale <sup>25</sup>
	18.000	723.02	09/29/21	488.88	...	234.14	2 of 10 - Sale <sup>25</sup>
	5.000	200.84	09/30/21	126.70	...	74.14	3 of 10 - Sale <sup>25</sup>
	13.000	522.18	09/30/21	337.35	...	184.83	4 of 10 - Sale <sup>25</sup>
	24.000	964.03	09/30/21	606.00	...	358.03	5 of 10 - Sale <sup>25</sup>
	1.000	40.17	10/01/21	24.62	...	15.55	6 of 10 - Sale <sup>25</sup>
	1.000	40.17	10/29/21	39.44	...	0.73	7 of 10 - Sale <sup>25</sup>
	3.000	120.50	10/29/21	109.20	...	11.30	8 of 10 - Sale <sup>25</sup>
	5.000	200.84	10/29/21	183.12	...	17.72	9 of 10 - Sale <sup>25</sup>
	29.000	1,164.87	10/29/21	1,055.29	...	109.58	10 of 10 - Sale <sup>25</sup>
12/09/21	100.000	4,016.79	Various	2,993.60	...	1,023.19	Total of 10 transactions
	<b>Security total:</b>	<b>6,487.61</b>		<b>5,522.10</b>	<b>439.08 W</b>	<b>1,404.59</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
04/29/21	25.000	464.75	04/27/21	686.50	...	-221.75	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	5.000	282.27	02/04/21	287.25	4.98 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	336.55	02/04/21	344.70	8.15 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/21	11.000	618.82	Various	631.95	13.13 W	0.00	Total of 2 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	60.91	02/04/21	58.80	...	2.11	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	243.66	02/04/21	229.80	...	13.86	2 of 2 - Sale <sup>25</sup>
02/11/21	5.000	304.57	Various	288.60	...	15.97	Total of 2 transactions
	12 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	5.000	187.09	02/26/21	230.25	43.16 W	0.00	1 of 12 - Sale <sup>25</sup>
	5.000	187.10	02/26/21	235.65	48.55 W	0.00	2 of 12 - Sale <sup>25</sup>
	5.000	187.10	02/26/21	240.63	53.53 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	187.10	02/26/21	242.44	55.34 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	37.23	03/01/21	59.07	21.84 W	0.00	5 of 12 - Sale <sup>25</sup>
	2.000	74.46	03/01/21	116.93	42.47 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	148.92	03/01/21	235.31	86.39 W	0.00	7 of 12 - Sale <sup>25</sup>
	5.000	187.10	03/01/21	240.00	52.90 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	111.69	03/02/21	171.74	60.05 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	186.15	03/02/21	274.16	88.01 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	186.15	03/02/21	279.98	93.83 W	0.00	11 of 12 - Sale <sup>25</sup>
	5.000	186.14	03/03/21	273.15	87.01 W	0.00	12 of 12 - Sale <sup>25</sup>
03/08/21	50.000	1,866.23	Various	2,599.31	733.08 W	0.00	Total of 12 transactions
	3 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	5.000	218.15	03/03/21	217.74	...	0.41	1 of 3 - Sale <sup>25</sup>
	7.000	305.40	03/04/21	268.52	...	36.88	2 of 3 - Sale <sup>25</sup>
	12.000	523.55	03/04/21	483.72	...	39.83	3 of 3 - Sale <sup>25</sup>
03/18/21	24.000	1,047.10	Various	969.98	...	77.12	Total of 3 transactions
	9 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	1.000	43.63	03/03/21	61.15	17.52 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	43.63	03/03/21	65.89	22.26 W	0.00	2 of 9 - Sale <sup>25</sup>
	2.000	87.26	03/03/21	130.47	43.21 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	87.26	03/03/21	131.19	43.93 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	87.26	03/03/21	131.30	44.04 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	130.89	03/03/21	192.05	61.16 W	0.00	6 of 9 - Sale <sup>25</sup>
	4.000	174.52	03/03/21	246.41	71.89 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	218.15	03/03/21	304.75	86.60 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	218.15	03/03/21	313.83	95.68 W	0.00	9 of 9 - Sale <sup>25</sup>
03/18/21	25.000	1,090.75	Various	1,577.04	486.29 W	0.00	Total of 9 transactions
03/24/21	1.000	36.91	03/04/21	60.62	23.71 W	0.00	Sale <sup>25</sup>

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	35.25	03/24/21	54.57	19.32 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	35.25	03/24/21	60.76	25.51 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	70.50	03/24/21	117.31	46.81 W	0.00	3 of 10 - Sale <sup>25</sup>
	2.000	70.50	03/24/21	118.03	47.53 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	70.50	03/24/21	118.14	47.64 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.000	105.75	03/24/21	172.31	66.56 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	141.00	03/24/21	220.09	79.09 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	176.25	03/24/21	271.85	95.60 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	176.25	03/24/21	280.93	104.68 W	0.00	9 of 10 - Sale <sup>25</sup>
	25.000	881.24	03/26/21	913.75	26.01 W	-6.50	10 of 10 - Sale <sup>25</sup>
03/29/21	50.000	1,762.49	Various	2,327.74	558.75 W	-6.50	Total of 10 transactions
6 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	3.000	114.54	03/30/21	170.99	...	-56.45	1 of 6 - Sale <sup>25</sup>
	2.000	76.36	03/30/21	117.26	...	-40.90	2 of 6 - Sale <sup>25</sup>
	2.000	76.36	03/30/21	117.15	...	-40.79	3 of 6 - Sale <sup>25</sup>
	2.000	76.36	03/30/21	116.43	...	-40.07	4 of 6 - Sale <sup>25</sup>
	2.000	76.36	03/30/21	111.49	...	-35.13	5 of 6 - Sale <sup>25</sup>
	1.000	38.18	03/30/21	60.32	...	-22.14	6 of 6 - Sale <sup>25</sup>
03/31/21	12.000	458.16	Various	693.64	...	-235.48	Total of 6 transactions
04/16/21	3.000	104.76	04/08/21	175.82	71.06 W	0.00	Sale <sup>25</sup>
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	8.000	286.44	04/08/21	320.00	...	-33.56	1 of 2 - Sale <sup>25</sup>
	2.000	71.61	04/08/21	77.94	...	-6.33	2 of 2 - Sale <sup>25</sup>
04/19/21	10.000	358.05	Various	397.94	...	-39.89	Total of 2 transactions
3 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	5.000	179.02	04/08/21	283.95	20.99 W	-83.94	1 of 3 - Sale <sup>25</sup>
	1.000	35.80	04/08/21	56.99	21.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	143.22	04/08/21	229.77	86.55 W	0.00	3 of 3 - Sale <sup>25</sup>
04/19/21	10.000	358.04	Various	570.71	128.73 W	-83.94	Total of 3 transactions
4 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	10.000	379.32	04/27/21	443.91	...	-64.59	1 of 4 - Sale <sup>25</sup>
	3.000	113.79	05/04/21	188.27	...	-74.48	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	37.93	05/04/21	60.71	...	-22.78	3 of 4 - Sale <sup>25</sup>
	1.000	37.93	05/04/21	60.71	...	-22.78	4 of 4 - Sale <sup>25</sup>
05/05/21	15.000	568.97	Various	753.60	...	-184.63	Total of 4 transactions
	3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.						
	2.000	71.90	05/18/21	111.57	...	-39.67	1 of 3 - Sale <sup>25</sup>
	1.000	35.95	05/18/21	55.34	...	-19.39	2 of 3 - Sale <sup>25</sup>
	1.000	35.95	05/18/21	55.14	...	-19.19	3 of 3 - Sale <sup>25</sup>
05/24/21	4.000	143.80	Various	222.05	...	-78.25	Total of 3 transactions
	<b>Security total:</b>	<b>8,718.65</b>		<b>11,269.00</b>	<b>2,014.75 W</b>	<b>-535.60</b>	
NANO DIMENSION LTD. AMERICAN DEPOSITORY SHARES / CUSIP: 63008G203 / Symbol:							
03/04/21	1.000	7.35	03/03/21	9.26	...	-1.91	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/10/21	25.000	271.38	02/09/21	325.00	...	-53.62	Sale <sup>25</sup>
ONCOLYTICS BIOTECH, INC. COMMON SHARES / CUSIP: 682310875 / Symbol:							
03/26/21	1.000	3.86	03/24/21	4.07	...	-0.21	Sale <sup>25</sup>
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
02/04/21	50.000	116.75	02/03/21	112.25	...	4.50	Sale <sup>25</sup>
02/16/21	16.000	64.80	02/03/21	35.92	...	28.88	Sale <sup>25</sup>
	10 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	34.000	130.96	02/03/21	76.33	...	54.63	1 of 10 - Sale <sup>25</sup>
	8.000	30.56	02/08/21	25.20	...	5.36	2 of 10 - Sale <sup>25</sup>
	16.000	61.63	02/08/21	48.78	...	12.85	3 of 10 - Sale <sup>25</sup>
	34.000	129.87	02/08/21	103.67	...	26.20	4 of 10 - Sale <sup>25</sup>
	15.000	57.30	02/09/21	63.38	...	-6.08	5 of 10 - Sale <sup>25</sup>
	2.000	7.64	02/09/21	6.96	...	0.68	6 of 10 - Sale <sup>25</sup>
	50.000	190.99	02/10/21	287.48	...	-96.49	7 of 10 - Sale <sup>25</sup>
	25.000	95.50	02/11/21	115.25	...	-19.75	8 of 10 - Sale <sup>25</sup>
	4.000	15.28	02/11/21	18.44	...	-3.16	9 of 10 - Sale <sup>25</sup>
	12.000	45.84	02/12/21	49.07	...	-3.23	10 of 10 - Sale <sup>25</sup>
02/17/21	200.000	765.57	Various	794.56	...	-28.99	Total of 10 transactions
	<b>Security total:</b>	<b>947.12</b>		<b>942.73</b>	...	<b>4.39</b>	

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
02/01/21	6.000	199.86	01/29/21	225.96	8.70 W	-17.40	Sale <sup>25</sup>
02/02/21	2.000	66.04	02/01/21	75.56	...	-9.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>265.90</b>		<b>301.52</b>	<b>8.70 W</b>	<b>-26.92</b>	
PIEDMONT LITHIUM INC. COMMON S TOCK / CUSIP: 72016P105 / Symbol:							
11/17/21	0.078	4.90	11/11/21	5.00	...	-0.10	Sale <sup>25</sup>
PROPERTY SOLUTIONS ACQUISITION CORP. COMMON STOCK / CUSIP: 74348Q108 / Symbol:							
02/09/21	2.000	33.92	02/08/21	35.63	...	-1.71	Sale <sup>25</sup>
02/09/21	7.000	118.72	02/08/21	124.25	0.79 W	-4.74	Sale <sup>25</sup>
02/11/21	1.000	16.15	02/08/21	18.61	...	-2.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>168.79</b>		<b>178.49</b>	<b>0.79 W</b>	<b>-8.91</b>	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
01/27/21	0.473	21.74	12/21/20	43.00	3.20 W	-18.06	Sale <sup>25</sup>
03/01/21	0.071	4.03	02/26/21	7.20	3.17 W	0.00	Sale <sup>25</sup>
03/10/21	3.929	215.96	03/04/21	168.03	...	47.93	Sale <sup>25</sup>
03/10/21	0.071	3.92	03/04/21	6.22	2.30 W	0.00	Sale <sup>25</sup>
	6 transactions for 03/24/21. Total proceeds and cost reported to the IRS.						
	0.071	3.43	03/08/21	5.61	...	-2.18	1 of 6 - Sale <sup>25</sup>
	1.000	48.13	03/08/21	46.35	...	1.78	2 of 6 - Sale <sup>25</sup>
	18.929	911.04	03/08/21	880.19	...	30.85	3 of 6 - Sale <sup>25</sup>
	8.000	385.03	03/18/21	472.40	...	-87.37	4 of 6 - Sale <sup>25</sup>
	5.000	240.65	03/18/21	295.60	...	-54.95	5 of 6 - Sale <sup>25</sup>
	2.000	96.26	03/23/21	119.52	...	-23.26	6 of 6 - Sale <sup>25</sup>
03/24/21	35.000	1,684.54	Various	1,819.67	...	-135.13	Total of 6 transactions
	<b>Security total:</b>	<b>1,930.19</b>		<b>2,044.12</b>	<b>8.67 W</b>	<b>-105.26</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
03/23/21	10.000	559.99	03/18/21	625.50	65.51 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	10.000	488.59	04/05/21	627.01	...	-138.42	1 of 2 - Sale <sup>25</sup>
	3.000	146.58	04/05/21	168.45	...	-21.87	2 of 2 - Sale <sup>25</sup>
04/07/21	13.000	635.17	Various	795.46	...	-160.29	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,195.16</b>		<b>1,420.96</b>		<b>65.51 W</b>	<b>-160.29</b>
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	8.000	13.44	03/11/21	13.12	...	0.32	1 of 3 - Sale <sup>25</sup>
	17.000	28.56	03/11/21	27.54	...	1.02	2 of 3 - Sale <sup>25</sup>
	3.000	5.04	03/12/21	4.89	...	0.15	3 of 3 - Sale <sup>25</sup>
03/15/21	28.000	47.04	Various	45.55	...	1.49	Total of 3 transactions
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
02/26/21	50.000	699.99	02/25/21	717.75	3.56 W	-14.20	Sale <sup>25</sup>
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	16.76	03/11/21	16.36	...	0.40	1 of 2 - Sale <sup>25</sup>
	9.000	150.79	03/12/21	148.91	...	1.88	2 of 2 - Sale <sup>25</sup>
03/15/21	10.000	167.55	Various	165.27	...	2.28	Total of 2 transactions
08/09/21	5.000	56.45	08/05/21	57.83	...	-1.38	Sale <sup>25</sup>
08/13/21	20.000	220.00	08/05/21	231.33	...	-11.33	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,143.99</b>		<b>1,172.18</b>	<b>3.56 W</b>	<b>-24.63</b>	
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
12/14/21	1.000	14.54	12/10/21	15.21	...	-0.67	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/29/21	0.354	294.09	01/28/21	300.00	...	-5.91	Sale <sup>25</sup>
04/08/21	1.000	676.19	03/31/21	660.00	...	16.19	Sale <sup>25</sup>
04/20/21	1.000	714.12	03/31/21	660.00	...	54.12	Sale <sup>25</sup>
2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	717.16	03/31/21	660.00	...	57.16	1 of 2 - Sale <sup>25</sup>
	1.000	717.15	04/19/21	702.67	...	14.48	2 of 2 - Sale <sup>25</sup>
04/27/21	2.000	1,434.31	Various	1,362.67	...	71.64	Total of 2 transactions
<b>Security total:</b>		<b>3,118.71</b>		<b>2,982.67</b>	...	<b>136.04</b>	

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Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/12/21	1.000	31.82	02/11/21	45.96	...	-14.14	Sale <sup>25</sup>
02/12/21	9.000	286.20	02/11/21	348.12	6.88 W	-55.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>318.02</b>		<b>394.08</b>	<b>6.88 W</b>	<b>-69.18</b>	
TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol:							
10/01/21	0.133	11.04	09/23/21	12.00	...	-0.96	Sale <sup>25</sup>
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
08/16/21	0.049	10.05	08/13/21	10.00	...	0.05	Sale <sup>25</sup>
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
05/04/21	10.000	427.02	04/30/21	458.82	...	-31.80	Sale <sup>25</sup>
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	9.000	135.03	02/02/21	152.91	...	-17.88	1 of 2 - Sale <sup>25</sup>
	1.000	15.00	02/02/21	16.24	...	-1.24	2 of 2 - Sale <sup>25</sup>
02/02/21	10.000	150.03	Various	169.15	...	-19.12	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	15.000	118.66	05/10/21	124.20	4.06 W	-1.48	1 of 2 - Sale <sup>25</sup>
	25.000	197.77	05/10/21	207.00	9.23 W	0.00	2 of 2 - Sale <sup>25</sup>
05/17/21	40.000	316.43	Various	331.20	13.29 W	-1.48	Total of 2 transactions
06/07/21	15.000	207.30	06/03/21	223.79	...	-16.49	Sale <sup>25</sup>
3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	15.89	06/11/21	15.28	...	0.61	1 of 3 - Sale <sup>25</sup>
	10.000	158.90	06/11/21	152.96	...	5.94	2 of 3 - Sale <sup>25</sup>
	10.000	158.90	06/11/21	152.79	...	6.11	3 of 3 - Sale <sup>25</sup>
07/01/21	21.000	333.69	Various	321.03	...	12.66	Total of 3 transactions
08/05/21	17.000	180.12	08/03/21	181.48	...	-1.36	Sale <sup>25</sup>
10/29/21	0.763	5.14	10/07/21	5.00	...	0.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,042.68</b>		<b>1,062.50</b>	<b>13.29 W</b>	<b>-6.53</b>	

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.011	0.01	01/29/21	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	11.827	11.95	01/29/21	10.99	...	0.96	2 of 2 - Sale <sup>25</sup>
02/01/21	11.838	11.96	Various	11.00	...	0.96	Total of 2 transactions
02/16/21	75.000	190.49	02/03/21	86.25	...	104.24	Sale <sup>25</sup>
<i>15 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	11.10	02/04/21	6.85	...	4.25	1 of 15 - Sale <sup>25</sup>
	10.000	22.20	02/04/21	13.60	...	8.60	2 of 15 - Sale <sup>25</sup>
	10.000	22.20	02/04/21	13.40	...	8.80	3 of 15 - Sale <sup>25</sup>
	25.000	55.49	02/04/21	33.50	...	21.99	4 of 15 - Sale <sup>25</sup>
	25.000	55.49	02/04/21	33.25	...	22.24	5 of 15 - Sale <sup>25</sup>
	25.000	55.50	02/04/21	33.25	...	22.25	6 of 15 - Sale <sup>25</sup>
	25.000	55.50	02/04/21	33.00	...	22.50	7 of 15 - Sale <sup>25</sup>
	2.000	4.44	02/05/21	3.71	...	0.73	8 of 15 - Sale <sup>25</sup>
	2.000	4.44	02/05/21	3.70	...	0.74	9 of 15 - Sale <sup>25</sup>
	19.000	41.80	02/09/21	42.94	...	-1.14	10 of 15 - Sale <sup>25</sup>
	6.000	13.20	02/09/21	14.03	...	-0.83	11 of 15 - Sale <sup>25</sup>
	65.000	142.99	02/10/21	168.90	...	-25.91	12 of 15 - Sale <sup>25</sup>
	15.000	33.00	02/10/21	39.01	...	-6.01	13 of 15 - Sale <sup>25</sup>
	10.000	22.00	02/10/21	24.90	...	-2.90	14 of 15 - Sale <sup>25</sup>
	50.000	109.98	02/11/21	134.75	...	-24.77	15 of 15 - Sale <sup>25</sup>
02/17/21	294.000	649.33	Various	598.79	...	50.54	Total of 15 transactions
<i>3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	13.32	02/08/21	14.27	0.95 W	0.00	1 of 3 - Sale <sup>25</sup>
	85.000	186.98	02/09/21	195.50	3.00 W	-5.52	2 of 3 - Sale <sup>25</sup>
	115.000	255.27	02/09/21	264.50	8.75 W	-0.48	3 of 3 - Sale <sup>25</sup>
02/17/21	206.000	455.57	Various	474.27	12.70 W	-6.00	Total of 3 transactions
02/23/21	12.000	21.60	02/18/21	27.96	...	-6.36	Sale <sup>25</sup>
<i>3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	10.62	03/01/21	13.44	...	-2.82	1 of 3 - Sale <sup>25</sup>
	9.000	15.93	03/02/21	19.80	...	-3.87	2 of 3 - Sale <sup>25</sup>
	3.000	5.31	03/02/21	6.93	...	-1.62	3 of 3 - Sale <sup>25</sup>
03/04/21	18.000	31.86	Various	40.17	...	-8.31	Total of 3 transactions

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Account 412265225

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
04/07/21	12.000	15.72	04/05/21	16.08	...	-0.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,376.53</b>		<b>1,254.52</b>	<b>12.70 W</b>	<b>134.71</b>	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
08/13/21	7.000	57.19	08/09/21	56.70	...	0.49	Sale <sup>25</sup>
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
02/18/21	25.000	604.48	02/17/21	720.00	...	-115.52	Sale <sup>25</sup>
	<i>2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	58.08	03/30/21	53.32	...	4.76	1 of 2 - Sale <sup>25</sup>
	6.000	87.12	03/31/21	89.58	...	-2.46	2 of 2 - Sale <sup>25</sup>
04/05/21	10.000	145.20	Various	142.90	...	2.30	Total of 2 transactions
	<b>Security total:</b>	<b>749.68</b>		<b>862.90</b>	...	<b>-113.22</b>	
TORTOISE ACQUISITION CORP. II / CUSIP: G89554102 / Symbol:							
	<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	63.24	02/08/21	68.56	5.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	79.05	02/08/21	85.00	5.95 W	0.00	2 of 2 - Sale <sup>25</sup>
02/09/21	9.000	142.29	Various	153.56	11.27 W	0.00	Total of 2 transactions
	<i>4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	15.00	02/08/21	18.33	...	-3.33	1 of 4 - Sale <sup>25</sup>
	4.000	60.00	02/10/21	73.43	...	-13.43	2 of 4 - Sale <sup>25</sup>
	4.000	60.00	02/10/21	72.88	...	-12.88	3 of 4 - Sale <sup>25</sup>
	1.000	15.00	02/10/21	17.03	...	-2.03	4 of 4 - Sale <sup>25</sup>
02/11/21	10.000	150.00	Various	181.67	...	-31.67	Total of 4 transactions
	<b>Security total:</b>	<b>292.29</b>		<b>335.23</b>	<b>11.27 W</b>	<b>-31.67</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	<i>3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.</i>						
	276.000	191.76	03/29/21	228.45	...	-36.69	1 of 3 - Sale <sup>25</sup>
	224.000	155.63	03/29/21	185.47	...	-29.84	2 of 3 - Sale <sup>25</sup>
	40.000	27.80	03/29/21	33.29	...	-5.49	3 of 3 - Sale <sup>25</sup>
03/30/21	540.000	375.19	Various	447.21	...	-72.02	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 412265225

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
04/07/21	6.000	3.09	04/05/21	3.53	...	-0.44	Sale <sup>25</sup>
<b>Totals :</b>		<b>78,831.08</b>		<b>88,530.91</b>	<b>5,171.88 W</b>	<b>-4,527.95</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 412265225

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/08/21	-5.00	Margin interest paid	
		03/10/21	-5.00	Margin interest paid	
		04/09/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/08/21	-5.00	Margin interest paid	
		07/08/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
			-35.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		10/06/21	-5.00	Margin interest paid	
		11/05/21	-5.00	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			<b>-55.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 412265225

**Non-Reportable Actions**

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-3.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	3.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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