

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 609063185</b>	Statement Date: 02/11/2022 Document ID: 2QNA GM2 PUJ7	2021
	Venugopal Koneni 4500 Sojourn Drive 2203c Addison, TX 75001  RECIPIENT'S TIN: XXX-XX-5989		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      24.27</p> <p>1b- Qualified dividends      24.27</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      312.91</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      10.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	62,719.31	52,542.90	0.00	85.62	10,262.03
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>62,719.31</b>	<b>52,542.90</b>	<b>0.00</b>	<b>85.62</b>	<b>10,262.03</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>62,719.31</b>	<b>52,542.90</b>	<b>0.00</b>	<b>85.62</b>	<b>10,262.03</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME****2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	3.000	24.79	01/25/21	13.20	...	11.59	1 of 2 - Sale <sup>25</sup>
	7.000	57.84	01/25/21	30.86	...	26.98	2 of 2 - Sale <sup>25</sup>
01/28/21	10.000	82.63	Various	44.06	...	38.57	Total of 2 transactions
06/15/21	2.000	118.80	03/22/21	24.38	...	94.42	Sale <sup>25</sup>
6 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	8.000	455.84	03/22/21	97.52	...	358.32	1 of 6 - Sale <sup>25</sup>
	10.000	569.79	03/22/21	121.89	...	447.90	2 of 6 - Sale <sup>25</sup>
	1.000	56.98	03/23/21	10.70	...	46.28	3 of 6 - Sale <sup>25</sup>
	9.000	512.82	03/23/21	97.38	...	415.44	4 of 6 - Sale <sup>25</sup>
	5.000	284.89	03/24/21	49.55	...	235.34	5 of 6 - Sale <sup>25</sup>
	5.000	284.90	04/13/21	44.25	...	240.65	6 of 6 - Sale <sup>25</sup>
06/28/21	38.000	2,165.22	Various	421.29	...	1,743.93	Total of 6 transactions

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,366.65</b>		<b>489.73</b>	...	<b>1,876.92</b>	
ADVERUM BIOTECHNOLOGIES, INC. COMMON STOCK / CUSIP: 00773U108 / Symbol:							
5 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	6.000	22.02	04/29/21	24.17	...	-2.15	1 of 5 - Sale <sup>25</sup>
	5.000	18.35	04/29/21	20.05	...	-1.70	2 of 5 - Sale <sup>25</sup>
	15.000	55.05	05/13/21	54.08	...	0.97	3 of 5 - Sale <sup>25</sup>
	14.000	51.38	06/23/21	55.28	...	-3.90	4 of 5 - Sale <sup>25</sup>
	6.000	22.02	06/23/21	21.54	...	0.48	5 of 5 - Sale <sup>25</sup>
06/28/21	46.000	168.82	Various	175.12	...	-6.30	Total of 5 transactions
06/28/21	14.000	51.38	04/29/21	56.40	5.02 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>220.20</b>		<b>231.52</b>	<b>5.02 W</b>	<b>-6.30</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
02/01/21	1.000	257.69	01/12/21	226.21	...	31.48	Sale <sup>25</sup>
02/12/21	2.000	533.06	01/12/21	452.18	...	80.88	Sale <sup>25</sup>
08/06/21	1.000	196.59	08/03/21	196.41	...	0.18	Sale <sup>25</sup>
<b>Security total:</b>		<b>987.34</b>		<b>874.80</b>	...	<b>112.54</b>	
ALMADEN MINERALS LTD. / CUSIP: 020283305 / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	10.000	6.46	01/19/21	5.60	...	0.86	1 of 3 - Sale <sup>25</sup>
	40.000	25.84	01/25/21	22.92	...	2.92	2 of 3 - Sale <sup>25</sup>
	50.000	32.31	03/04/21	31.80	...	0.51	3 of 3 - Sale <sup>25</sup>
03/09/21	100.000	64.61	Various	60.32	...	4.29	Total of 3 transactions
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
08/11/21	2.000	21.34	06/30/21	19.99	...	1.35	Sale <sup>25</sup>
4 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	10.000	117.20	06/30/21	99.38	...	17.82	1 of 4 - Sale <sup>25</sup>
	18.000	210.95	06/30/21	179.91	...	31.04	2 of 4 - Sale <sup>25</sup>
	10.000	117.19	07/02/21	90.70	...	26.49	3 of 4 - Sale <sup>25</sup>
	9.000	105.48	07/07/21	76.01	...	29.47	4 of 4 - Sale <sup>25</sup>
08/19/21	47.000	550.82	Various	446.00	...	104.82	Total of 4 transactions

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
08/25/21	1.000	13.91	07/07/21	8.44	...	5.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>586.07</b>		<b>474.43</b>	...	<b>111.64</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
08/03/21	1.000	3,378.17	07/29/21	3,381.99	...	-3.82	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
<i>19 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	64.68	05/01/20	31.80	...	32.88	1 of 19 - Sale <sup>25</sup>
	5.000	107.95	05/01/20	54.50	...	53.45	2 of 19 - Sale <sup>25</sup>
	5.000	107.95	05/01/20	53.50	...	54.45	3 of 19 - Sale <sup>25</sup>
	5.000	108.00	05/01/20	53.50	...	54.50	4 of 19 - Sale <sup>25</sup>
	5.000	108.00	05/01/20	53.00	...	55.00	5 of 19 - Sale <sup>25</sup>
	5.000	111.20	05/01/20	56.00	...	55.20	6 of 19 - Sale <sup>25</sup>
	10.000	214.80	05/01/20	111.00	...	103.80	7 of 19 - Sale <sup>25</sup>
	10.000	214.80	05/01/20	110.00	...	104.80	8 of 19 - Sale <sup>25</sup>
	10.000	215.90	05/01/20	107.15	...	108.75	9 of 19 - Sale <sup>25</sup>
	2.000	42.78	05/04/20	19.50	...	23.28	10 of 19 - Sale <sup>25</sup>
	7.000	150.92	05/04/20	65.02	...	85.90	11 of 19 - Sale <sup>25</sup>
	10.000	213.89	05/04/20	95.79	...	118.10	12 of 19 - Sale <sup>25</sup>
	13.000	278.07	05/04/20	120.75	...	157.32	13 of 19 - Sale <sup>25</sup>
	5.000	102.07	05/12/20	48.25	...	53.82	14 of 19 - Sale <sup>25</sup>
	5.000	106.95	05/12/20	48.25	...	58.70	15 of 19 - Sale <sup>25</sup>
	10.000	204.15	05/22/20	97.20	...	106.95	16 of 19 - Sale <sup>25</sup>
	10.000	204.15	06/11/20	150.00	...	54.15	17 of 19 - Sale <sup>25</sup>
	2.000	42.58	06/24/20	26.00	...	16.58	18 of 19 - Sale <sup>25</sup>
	5.000	102.07	06/24/20	65.00	...	37.07	19 of 19 - Sale <sup>25</sup>
03/04/21	127.000	2,700.91	Various	1,366.21	...	1,334.70	Total of 19 transactions
<i>3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	61.04	06/24/20	39.00	...	22.04	1 of 3 - Sale <sup>25</sup>
	5.000	95.93	06/26/20	62.00	...	33.93	2 of 3 - Sale <sup>25</sup>
	5.000	101.72	06/26/20	62.00	...	39.72	3 of 3 - Sale <sup>25</sup>
03/05/21	13.000	258.69	Various	163.00	...	95.69	Total of 3 transactions

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
6 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	5.000	108.13	08/03/20	53.94	...	54.19	1 of 6 - Sale <sup>25</sup>
	5.000	107.50	10/26/20	59.34	...	48.16	2 of 6 - Sale <sup>25</sup>
	7.000	150.50	12/22/20	109.55	...	40.95	3 of 6 - Sale <sup>25</sup>
	3.000	64.50	01/08/21	45.65	...	18.85	4 of 6 - Sale <sup>25</sup>
	10.000	215.00	01/08/21	152.20	...	62.80	5 of 6 - Sale <sup>25</sup>
	5.000	107.50	01/11/21	74.13	...	33.37	6 of 6 - Sale <sup>25</sup>
03/08/21	35.000	753.13	Various	494.81	...	258.32	Total of 6 transactions
	<b>Security total:</b>	<b>3,712.73</b>		<b>2,024.02</b>	...	<b>1,688.71</b>	
AMICUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 03152W109 / Symbol:							
4 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	5.000	58.88	02/12/21	65.40	...	-6.52	1 of 4 - Sale <sup>25</sup>
	3.000	35.33	02/12/21	37.95	...	-2.62	2 of 4 - Sale <sup>25</sup>
	2.000	23.55	02/12/21	25.28	...	-1.73	3 of 4 - Sale <sup>25</sup>
	5.000	58.87	05/13/21	45.40	...	13.47	4 of 4 - Sale <sup>25</sup>
11/02/21	15.000	176.63	Various	174.03	...	2.60	Total of 4 transactions
AMPLIFY ENERGY CORP. / CUSIP: 03212B103 / Symbol:							
10/20/21	20.000	80.00	10/04/21	69.60	...	10.40	Sale <sup>25</sup>
APELLIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 03753U106 / Symbol:							
09/15/21	2.000	68.51	09/10/21	57.53	...	10.98	Sale <sup>25</sup>
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
08/30/21	20.000	87.40	07/30/21	63.37	...	24.03	Sale <sup>25</sup>
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
10/27/21	10.000	104.38	10/04/21	104.44	...	-0.06	Sale <sup>25</sup>
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
11/19/21	4.000	147.22	11/05/21	146.04	...	1.18	Sale <sup>25</sup>
ATHENEX, INC. COMMON STOCK / CUSIP: 04685N103 / Symbol:							
06/28/21	10.000	50.71	03/01/21	56.48	...	-5.77	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
9 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	5.000	15.92	02/16/21	17.55	...	-1.63	1 of 9 - Sale <sup>25</sup>
	4.000	12.74	02/16/21	14.26	...	-1.52	2 of 9 - Sale <sup>25</sup>
	5.000	15.93	02/16/21	17.29	...	-1.36	3 of 9 - Sale <sup>25</sup>
	1.000	3.19	02/16/21	3.57	...	-0.38	4 of 9 - Sale <sup>25</sup>
	10.000	31.85	02/17/21	32.59	...	-0.74	5 of 9 - Sale <sup>25</sup>
	5.000	15.93	02/18/21	15.95	...	-0.02	6 of 9 - Sale <sup>25</sup>
	5.000	15.92	02/22/21	15.00	...	0.92	7 of 9 - Sale <sup>25</sup>
	5.000	15.93	02/22/21	14.68	...	1.25	8 of 9 - Sale <sup>25</sup>
	10.000	31.85	02/26/21	28.20	...	3.65	9 of 9 - Sale <sup>25</sup>
05/24/21	50.000	159.26	Various	159.09	...	0.17	Total of 9 transactions
5 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	10.000	73.00	03/02/21	27.20	...	45.80	1 of 5 - Sale <sup>25</sup>
	5.000	36.50	03/04/21	11.20	...	25.30	2 of 5 - Sale <sup>25</sup>
	10.000	73.00	03/04/21	22.29	...	50.71	3 of 5 - Sale <sup>25</sup>
	15.000	109.50	03/04/21	33.89	...	75.61	4 of 5 - Sale <sup>25</sup>
	10.000	73.00	03/05/21	18.59	...	54.41	5 of 5 - Sale <sup>25</sup>
06/22/21	50.000	365.00	Various	113.17	...	251.83	Total of 5 transactions
4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	20.000	145.05	03/26/21	40.96	...	104.09	1 of 4 - Sale <sup>25</sup>
	10.000	72.52	04/12/21	19.20	...	53.32	2 of 4 - Sale <sup>25</sup>
	10.000	72.52	04/15/21	17.80	...	54.72	3 of 4 - Sale <sup>25</sup>
	10.000	72.53	04/15/21	16.85	...	55.68	4 of 4 - Sale <sup>25</sup>
06/28/21	50.000	362.62	Various	94.81	...	267.81	Total of 4 transactions
07/20/21	5.000	19.51	07/16/21	22.74	3.23 W	0.00	Sale <sup>25</sup>
4 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	5.000	16.45	07/29/21	20.23	...	-3.78	1 of 4 - Sale <sup>25</sup>
	2.000	6.58	07/29/21	6.80	...	-0.22	2 of 4 - Sale <sup>25</sup>
	13.000	42.77	07/30/21	42.23	...	0.54	3 of 4 - Sale <sup>25</sup>
	10.000	32.90	07/30/21	30.48	...	2.42	4 of 4 - Sale <sup>25</sup>
08/20/21	30.000	98.70	Various	99.74	...	-1.04	Total of 4 transactions
	<b>Security total:</b>	<b>1,005.09</b>		<b>489.55</b>	<b>3.23 W</b>	<b>518.77</b>	

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
11/18/21	2.000	307.32	08/19/21	275.31	...	32.01	Sale <sup>25</sup>
	3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.						
	1.000	149.80	08/19/21	137.66	...	12.14	1 of 3 - Sale <sup>25</sup>
	1.000	149.80	08/19/21	137.25	...	12.55	2 of 3 - Sale <sup>25</sup>
	1.000	149.80	11/05/21	158.83	...	-9.03	3 of 3 - Sale <sup>25</sup>
12/01/21	3.000	449.40	Various	433.74	...	15.66	Total of 3 transactions
	<b>Security total:</b>	<b>756.72</b>		<b>709.05</b>	...	<b>47.67</b>	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
11/03/21	5.000	128.45	09/30/21	83.02	...	45.43	Sale <sup>25</sup>
BIONTECH SE AMERICAN DEPOSITAR Y SHARE / CUSIP: 09075V102 / Symbol:							
11/19/21	2.000	566.00	10/01/21	481.61	...	84.39	Sale <sup>25</sup>
11/22/21	2.000	618.03	11/05/21	429.41	...	188.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,184.03</b>		<b>911.02</b>	...	<b>273.01</b>	
BRIGHT HEALTH GROUP, INC. / CUSIP: 10920V107 / Symbol:							
08/19/21	10.000	93.52	08/03/21	81.25	...	12.27	Sale <sup>25</sup>
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
10/05/21	20.000	128.60	09/24/21	121.55	...	7.05	Sale <sup>25</sup>
CEL-SCI CORPORATION / CUSIP: 150837607 / Symbol:							
	2 transactions for 08/19/21. Total proceeds and cost reported to the IRS.						
	50.000	473.86	06/30/21	429.44	...	44.42	1 of 2 - Sale <sup>25</sup>
	19.000	180.07	07/02/21	148.68	...	31.39	2 of 2 - Sale <sup>25</sup>
08/19/21	69.000	653.93	Various	578.12	...	75.81	Total of 2 transactions
08/25/21	1.000	10.21	07/02/21	7.83	...	2.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>664.14</b>		<b>585.95</b>	...	<b>78.19</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
03/05/21	5.000	115.03	01/12/21	70.95	...	44.08	Sale <sup>25</sup>
	6 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	5.000	114.14	01/12/21	70.95	...	43.19	1 of 6 - Sale <sup>25</sup>
	1.000	22.83	01/19/21	17.91	...	4.92	2 of 6 - Sale <sup>25</sup>

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
	9.000	205.46	01/19/21	159.64	...	45.82	3 of 6 - Sale <sup>25</sup>
	5.000	114.14	01/27/21	110.75	...	3.39	4 of 6 - Sale <sup>25</sup>
	5.000	114.14	04/22/21	99.00	...	15.14	5 of 6 - Sale <sup>25</sup>
	10.000	228.28	07/15/21	229.90	...	-1.62	6 of 6 - Sale <sup>25</sup>
07/20/21	35.000	798.99	Various	688.15	...	110.84	Total of 6 transactions
	<b>Security total:</b>	<b>914.02</b>		<b>759.10</b>	...	<b>154.92</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
05/24/21	15.000	103.80	05/17/21	100.13	...	3.67	Sale <sup>25</sup>
CORTEXIME, INC. COMMON STOCK / CUSIP: 22053A107 / Symbol:							
02/22/21	2.000	64.48	02/16/21	61.20	...	3.28	Sale <sup>25</sup>
COVENANT LOGISTICS GROUP, INC. CLASS A COMMON STOCK / CUSIP: 22284P105 / Symbol:							
11/12/21	5.000	152.54	10/21/21	135.21	...	17.33	Sale <sup>25</sup>
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
	7 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	20.000	26.23	02/18/21	27.60	...	-1.37	1 of 7 - Sale <sup>25</sup>
	10.000	13.12	02/18/21	12.90	...	0.22	2 of 7 - Sale <sup>25</sup>
	10.000	13.11	02/18/21	12.75	...	0.36	3 of 7 - Sale <sup>25</sup>
	10.000	13.11	02/19/21	12.10	...	1.01	4 of 7 - Sale <sup>25</sup>
	20.000	26.23	02/19/21	24.20	...	2.03	5 of 7 - Sale <sup>25</sup>
	20.000	26.23	02/25/21	21.50	...	4.73	6 of 7 - Sale <sup>25</sup>
	10.000	13.12	02/26/21	10.80	...	2.32	7 of 7 - Sale <sup>25</sup>
06/10/21	100.000	131.15	Various	121.85	...	9.30	Total of 7 transactions
DEUTSCHE LUFTHANSA A G SPONSOR ED ADR (GERMANY) / CUSIP: 251561304 / Symbol:							
	2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	10.000	68.71	10/18/21	70.20	...	-1.49	1 of 2 - Sale <sup>25</sup>
	5.000	34.35	10/20/21	31.85	...	2.50	2 of 2 - Sale <sup>25</sup>
11/19/21	15.000	103.06	Various	102.05	...	1.01	Total of 2 transactions

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Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIXIE GROUP, INC. (THE) COMMON STOCK / CUSIP: 255519100 / Symbol:							
3 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	3.68	03/04/21	3.12	...	0.56	1 of 3 - Sale <sup>25</sup>
	4.000	14.72	03/04/21	13.76	...	0.96	2 of 3 - Sale <sup>25</sup>
	20.000	73.60	03/04/21	70.39	...	3.21	3 of 3 - Sale <sup>25</sup>
04/15/21	25.000	92.00	Various	87.27	...	4.73	Total of 3 transactions
4 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	5.000	16.85	03/04/21	15.59	...	1.26	1 of 4 - Sale <sup>25</sup>
	10.000	33.70	03/04/21	28.75	...	4.95	2 of 4 - Sale <sup>25</sup>
	4.000	13.48	03/05/21	9.44	...	4.04	3 of 4 - Sale <sup>25</sup>
	6.000	20.22	03/08/21	17.16	...	3.06	4 of 4 - Sale <sup>25</sup>
07/12/21	25.000	84.25	Various	70.94	...	13.31	Total of 4 transactions
	<b>Security total:</b>	<b>176.25</b>		<b>158.21</b>	...	<b>18.04</b>	
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
3 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	20.000	63.23	07/22/21	53.40	...	9.83	1 of 3 - Sale <sup>25</sup>
	30.000	93.34	07/22/21	80.10	...	13.24	2 of 3 - Sale <sup>25</sup>
	9.000	28.00	07/23/21	21.87	...	6.13	3 of 3 - Sale <sup>25</sup>
08/11/21	59.000	184.57	Various	155.37	...	29.20	Total of 3 transactions
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
07/13/21	20.000	104.24	07/07/21	102.60	...	1.64	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/11/21	5.000	208.74	08/04/21	181.20	...	27.54	Sale <sup>25</sup>
10/06/21	5.000	197.50	10/04/21	185.89	...	11.61	Sale <sup>25</sup>
	<b>Security total:</b>	<b>406.24</b>		<b>367.09</b>	...	<b>39.15</b>	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
10/21/21	2.000	462.76	09/22/21	458.30	...	4.46	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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FISKER INC. / CUSIP: 33813J106 / Symbol:							
8 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	5.000	98.95	03/03/21	128.93	...	-29.98	1 of 8 - Sale <sup>25</sup>
	2.000	39.58	03/04/21	45.61	...	-6.03	2 of 8 - Sale <sup>25</sup>
	2.000	39.58	03/05/21	39.66	...	-0.08	3 of 8 - Sale <sup>25</sup>
	1.000	19.79	03/17/21	20.95	...	-1.16	4 of 8 - Sale <sup>25</sup>
	3.000	59.37	04/15/21	40.50	...	18.87	5 of 8 - Sale <sup>25</sup>
	4.000	79.16	04/15/21	55.94	...	23.22	6 of 8 - Sale <sup>25</sup>
	5.000	98.95	04/22/21	68.40	...	30.55	7 of 8 - Sale <sup>25</sup>
	3.000	59.37	05/13/21	29.34	...	30.03	8 of 8 - Sale <sup>25</sup>
06/28/21	25.000	494.75	Various	429.33	...	65.42	Total of 8 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	5.000	61.06	02/24/21	58.30	...	2.76	1 of 2 - Sale <sup>25</sup>
	5.000	61.06	02/25/21	59.98	...	1.08	2 of 2 - Sale <sup>25</sup>
03/03/21	10.000	122.12	Various	118.28	...	3.84	Total of 2 transactions
11/23/21	5.000	100.22	08/17/21	64.50	...	35.72	Sale <sup>25</sup>
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	5.000	99.52	08/17/21	64.50	...	35.02	1 of 2 - Sale <sup>25</sup>
	5.000	99.52	09/03/21	64.68	...	34.84	2 of 2 - Sale <sup>25</sup>
12/01/21	10.000	199.04	Various	129.18	...	69.86	Total of 2 transactions
	<b>Security total:</b>	<b>421.38</b>		<b>311.96</b>	...	<b>109.42</b>	
FREQUENCY THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 35803L108 / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	9.000	95.20	03/23/21	75.60	...	19.60	1 of 3 - Sale <sup>25</sup>
	1.000	10.58	03/26/21	8.29	...	2.29	2 of 3 - Sale <sup>25</sup>
	5.000	52.89	05/13/21	40.54	...	12.35	3 of 3 - Sale <sup>25</sup>
06/28/21	15.000	158.67	Various	124.43	...	34.24	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FRESHWORKS INC. CLASS A COMMON STOCK / CUSIP: 358054104 / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	3.000	139.95	09/28/21	125.38	...	14.57	1 of 2 - Sale <sup>25</sup>
	2.000	93.30	10/13/21	83.04	...	10.26	2 of 2 - Sale <sup>25</sup>
10/22/21	5.000	233.25	Various	208.42	...	24.83	Total of 2 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
10 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	2.000	67.54	03/03/21	71.34	...	-3.80	1 of 10 - Sale <sup>25</sup>
	1.000	33.77	03/03/21	35.68	...	-1.91	2 of 10 - Sale <sup>25</sup>
	1.000	33.77	03/03/21	35.32	...	-1.55	3 of 10 - Sale <sup>25</sup>
	2.000	67.54	03/04/21	63.57	...	3.97	4 of 10 - Sale <sup>25</sup>
	4.000	135.08	03/08/21	115.48	...	19.60	5 of 10 - Sale <sup>25</sup>
	5.000	168.85	03/23/21	148.30	...	20.55	6 of 10 - Sale <sup>25</sup>
	5.000	168.84	03/26/21	106.95	...	61.89	7 of 10 - Sale <sup>25</sup>
	5.000	168.85	04/15/21	100.95	...	67.90	8 of 10 - Sale <sup>25</sup>
	5.000	168.84	04/21/21	90.05	...	78.79	9 of 10 - Sale <sup>25</sup>
	5.000	168.85	05/13/21	89.14	...	79.71	10 of 10 - Sale <sup>25</sup>
06/28/21	35.000	1,181.93	Various	856.78	...	325.15	Total of 10 transactions
GEMINI THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36870G105 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	20.000	130.00	06/23/21	131.60	...	-1.60	1 of 2 - Sale <sup>25</sup>
	10.000	65.00	06/23/21	65.72	...	-0.72	2 of 2 - Sale <sup>25</sup>
06/28/21	30.000	195.00	Various	197.32	...	-2.32	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
04/15/21	10.000	135.64	03/10/21	131.70	...	3.94	Sale <sup>25</sup>
3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	2.000	26.25	03/10/21	26.28	...	-0.03	1 of 3 - Sale <sup>25</sup>
	3.000	39.37	03/10/21	39.00	...	0.37	2 of 3 - Sale <sup>25</sup>
	5.000	65.62	03/11/21	61.00	...	4.62	3 of 3 - Sale <sup>25</sup>
05/24/21	10.000	131.24	Various	126.28	...	4.96	Total of 3 transactions
	<b>Security total:</b>	<b>266.88</b>		<b>257.98</b>	...	<b>8.90</b>	

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENESIS HEALTHCARE, INC. / CUSIP: 37185X106 / Symbol:							
03/26/21	50.000	17.00	03/03/21	33.00	16.00 W	0.00	Sale <sup>25</sup>
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
14 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	10.000	18.43	02/22/21	18.40	...	0.03	1 of 14 - Sale <sup>25</sup>
	10.000	18.43	02/25/21	17.55	...	0.88	2 of 14 - Sale <sup>25</sup>
	2.000	3.69	03/03/21	3.30	...	0.39	3 of 14 - Sale <sup>25</sup>
	8.000	14.74	03/03/21	13.26	...	1.48	4 of 14 - Sale <sup>25</sup>
	15.000	27.64	03/04/21	20.10	...	7.54	5 of 14 - Sale <sup>25</sup>
	5.000	9.21	03/05/21	5.93	...	3.28	6 of 14 - Sale <sup>25</sup>
	5.000	9.21	03/08/21	7.00	...	2.21	7 of 14 - Sale <sup>25</sup>
	10.000	18.43	03/08/21	14.15	...	4.28	8 of 14 - Sale <sup>25</sup>
	15.000	27.64	03/23/21	21.98	...	5.66	9 of 14 - Sale <sup>25</sup>
	20.000	36.86	03/24/21	26.90	...	9.96	10 of 14 - Sale <sup>25</sup>
	30.000	55.29	03/26/21	38.70	...	16.59	11 of 14 - Sale <sup>25</sup>
	10.000	18.43	04/12/21	12.15	...	6.28	12 of 14 - Sale <sup>25</sup>
	20.000	36.86	04/13/21	23.10	...	13.76	13 of 14 - Sale <sup>25</sup>
	20.000	36.86	04/15/21	20.97	...	15.89	14 of 14 - Sale <sup>25</sup>
06/22/21	180.000	331.72	Various	243.49	...	88.23	Total of 14 transactions
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	10.000	18.43	02/22/21	19.10	0.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	18.43	02/22/21	19.10	0.67 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/21	20.000	36.86	Various	38.20	1.34 W	0.00	Total of 2 transactions
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	10.000	18.23	06/02/21	13.02	...	5.21	1 of 3 - Sale <sup>25</sup>
	10.000	18.22	06/02/21	12.47	...	5.75	2 of 3 - Sale <sup>25</sup>
	10.000	18.23	06/02/21	11.79	...	6.44	3 of 3 - Sale <sup>25</sup>
06/28/21	30.000	54.68	Various	37.28	...	17.40	Total of 3 transactions
	<b>Security total:</b>	<b>423.26</b>		<b>318.97</b>	<b>1.34 W</b>	<b>105.63</b>	

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GOHEALTH, INC. CLASS A COMMON STOCK / CUSIP: 38046W105 / Symbol:							
2 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	10.000	50.00	08/12/21	49.08	...	0.92	1 of 2 - Sale <sup>25</sup>
	10.000	50.00	08/20/21	46.00	...	4.00	2 of 2 - Sale <sup>25</sup>
10/06/21	20.000	100.00	Various	95.08	...	4.92	Total of 2 transactions
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	5.000	3.75	01/29/21	2.95	...	0.80	1 of 2 - Sale <sup>25</sup>
	45.000	33.75	02/01/21	27.58	...	6.17	2 of 2 - Sale <sup>25</sup>
03/05/21	50.000	37.50	Various	30.53	...	6.97	Total of 2 transactions
03/08/21	20.000	16.21	02/04/21	12.53	...	3.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>53.71</b>		<b>43.06</b>	...	<b>10.65</b>	
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
10/20/21	2.000	45.88	10/13/21	42.31	...	3.57	Sale <sup>25</sup>
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
4 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	30.000	41.40	06/22/20	48.00	...	-6.60	1 of 4 - Sale <sup>25</sup>
	20.000	27.60	06/23/20	25.20	...	2.40	2 of 4 - Sale <sup>25</sup>
	30.000	41.39	08/03/20	41.36	...	0.03	3 of 4 - Sale <sup>25</sup>
	20.000	27.60	08/18/20	29.75	...	-2.15	4 of 4 - Sale <sup>25</sup>
01/08/21	100.000	137.99	Various	144.31	...	-6.32	Total of 4 transactions
THE HONEST COMPANY, INC. COMMON STOCK / CUSIP: 438333106 / Symbol:							
09/15/21	10.000	103.10	08/13/21	101.95	...	1.15	Sale <sup>25</sup>
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	5.000	62.70	02/12/21	93.55	...	-30.85	1 of 3 - Sale <sup>25</sup>
	5.000	62.70	04/09/21	54.00	...	8.70	2 of 3 - Sale <sup>25</sup>
	5.000	62.70	05/13/21	38.63	...	24.07	3 of 3 - Sale <sup>25</sup>
06/28/21	15.000	188.10	Various	186.18	...	1.92	Total of 3 transactions

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ICAD, INC. COMMON STOCK / CUSIP: 44934S206 / Symbol:							
08/11/21	4.000	45.92	08/06/21	43.72	...	2.20	Sale <sup>25</sup>
IRHYTHM TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 450056106 / Symbol:							
02/17/21	5.000	855.56	01/29/21	717.40	...	138.16	Sale <sup>25</sup>
INOGEN, INC COMMON STOCK / CUSIP: 45780L104 / Symbol:							
08/11/21	2.000	124.54	08/05/21	113.95	...	10.59	Sale <sup>25</sup>
INNOVAGE HOLDING CORP. COMMON STOCK / CUSIP: 45784A104 / Symbol:							
4 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	5.000	42.18	09/22/21	44.40	...	-2.22	1 of 4 - Sale <sup>25</sup>
	5.000	42.17	09/23/21	40.29	...	1.88	2 of 4 - Sale <sup>25</sup>
	5.000	42.18	09/23/21	39.83	...	2.35	3 of 4 - Sale <sup>25</sup>
	5.000	42.17	09/29/21	32.34	...	9.83	4 of 4 - Sale <sup>25</sup>
12/01/21	20.000	168.70	Various	156.86	...	11.84	Total of 4 transactions
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	2.000	103.71	10/22/21	99.66	...	4.05	1 of 4 - Sale <sup>25</sup>
	3.000	155.56	10/22/21	149.51	...	6.05	2 of 4 - Sale <sup>25</sup>
	5.000	259.27	10/22/21	249.24	...	10.03	3 of 4 - Sale <sup>25</sup>
	2.000	103.71	10/26/21	96.77	...	6.94	4 of 4 - Sale <sup>25</sup>
12/29/21	12.000	622.25	Various	595.18	...	27.07	Total of 4 transactions
ITRON, INC. COMMON STOCK / CUSIP: 465741106 / Symbol:							
08/11/21	2.000	161.79	08/05/21	138.64	...	23.15	Sale <sup>25</sup>
JUST ENERGY GROUP INC COMMON S TOCK (CANADA) / CUSIP: 48213W408 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	30.000	124.95	02/22/21	118.80	...	6.15	1 of 2 - Sale <sup>25</sup>
	5.000	20.83	02/25/21	17.43	...	3.40	2 of 2 - Sale <sup>25</sup>
03/03/21	35.000	145.78	Various	136.23	...	9.55	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L205 / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	5.000	51.05	04/15/21	49.75	...	1.30	1 of 3 - Sale <sup>25</sup>
	5.000	51.06	04/15/21	49.08	...	1.98	2 of 3 - Sale <sup>25</sup>
	5.000	51.05	04/20/21	36.39	...	14.66	3 of 3 - Sale <sup>25</sup>
06/28/21	15.000	153.16	Various	135.22	...	17.94	Total of 3 transactions
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	3.000	18.96	09/16/21	16.46	...	2.50	1 of 2 - Sale <sup>25</sup>
	7.000	44.25	09/20/21	37.63	...	6.62	2 of 2 - Sale <sup>25</sup>
10/20/21	10.000	63.21	Various	54.09	...	9.12	Total of 2 transactions
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
10/05/21	2.000	98.28	09/30/21	94.11	...	4.17	Sale <sup>25</sup>
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	3.000	86.58	02/01/21	87.57	...	-0.99	1 of 4 - Sale <sup>25</sup>
	3.000	86.58	02/11/21	47.25	...	39.33	2 of 4 - Sale <sup>25</sup>
	2.000	57.72	02/12/21	29.60	...	28.12	3 of 4 - Sale <sup>25</sup>
	2.000	57.72	02/22/21	26.80	...	30.92	4 of 4 - Sale <sup>25</sup>
02/25/21	10.000	288.60	Various	191.22	...	97.38	Total of 4 transactions
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.							
	1.000	41.06	09/10/21	42.39	...	-1.33	1 of 2 - Sale <sup>25</sup>
	1.000	41.06	09/15/21	41.71	...	-0.65	2 of 2 - Sale <sup>25</sup>
09/16/21	2.000	82.12	Various	84.10	...	-1.98	Total of 2 transactions
LIFESTANCE HEALTH GROUP, INC. COMMON STOCK / CUSIP: 53228F101 / Symbol:							
08/13/21	10.000	135.16	08/12/21	115.26	...	19.90	Sale <sup>25</sup>
11/12/21	10.000	100.72	11/09/21	86.70	...	14.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>235.88</b>		<b>201.96</b>	...	<b>33.92</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	10.000	40.05	10/28/20	36.88	...	3.17	1 of 2 - Sale <sup>25</sup>
	9.000	36.05	11/10/20	34.18	...	1.87	2 of 2 - Sale <sup>25</sup>
01/20/21	19.000	76.10	Various	71.06	...	5.04	Total of 2 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
11/18/21	5.000	231.65	08/03/21	115.95	...	115.70	Sale <sup>25</sup>
12/01/21	5.000	269.17	08/03/21	115.94	...	153.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>500.82</b>		<b>231.89</b>	...	<b>268.93</b>	
MACROGENICS, INC. COMMON STOCK / CUSIP: 556099109 / Symbol:							
11/02/21	10.000	205.15	09/16/21	201.80	...	3.35	Sale <sup>25</sup>
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
01/12/21	1.000	13.03	12/18/20	10.40	...	2.63	Sale <sup>25</sup>
MEDIGUS LTD. AMERICAN DEPOSITA RY SHARES / CUSIP: 58471G300 / Symbol:							
07/29/21	50.000	79.60	07/28/21	80.50	...	-0.90	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	1.000	393.34	08/11/21	387.70	...	5.64	1 of 2 - Sale <sup>25</sup>
	1.000	393.35	08/11/21	382.99	...	10.36	2 of 2 - Sale <sup>25</sup>
08/17/21	2.000	786.69	Various	770.69	...	16.00	Total of 2 transactions
10/13/21	1.000	326.09	10/01/21	332.94	6.85 W	0.00	Sale <sup>25</sup>
4 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	276.00	10/01/21	334.40	...	-58.40	1 of 4 - Sale <sup>25</sup>
	1.000	276.00	10/06/21	301.94	...	-25.94	2 of 4 - Sale <sup>25</sup>
	1.000	276.00	11/04/21	280.04	...	-4.04	3 of 4 - Sale <sup>25</sup>
	2.000	551.99	11/05/21	460.93	...	91.06	4 of 4 - Sale <sup>25</sup>
11/22/21	5.000	1,379.99	Various	1,377.31	...	2.68	Total of 4 transactions
	<b>Security total:</b>	<b>2,492.77</b>		<b>2,480.94</b>	<b>6.85 W</b>	<b>18.68</b>	

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(continued)

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MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
03/16/21	1.000	7.64	02/22/21	8.06	...	-0.42	Sale <sup>25</sup>
03/16/21	1.000	7.64	02/22/21	8.00	0.36 W	0.00	Sale <sup>25</sup>
3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	8.000	68.12	02/22/21	64.48	...	3.64	1 of 3 - Sale <sup>25</sup>
	1.000	8.52	03/03/21	6.86	...	1.66	2 of 3 - Sale <sup>25</sup>
	1.000	8.51	03/03/21	6.50	...	2.01	3 of 3 - Sale <sup>25</sup>
05/24/21	10.000	85.15	Various	77.84	...	7.31	Total of 3 transactions
	<b>Security total:</b>	<b>100.43</b>		<b>93.90</b>	<b>0.36 W</b>	<b>6.89</b>	
MONEYLION INC. / CUSIP: 60938K106 / Symbol:							
3 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	20.000	147.08	10/04/21	126.60	...	20.48	1 of 3 - Sale <sup>25</sup>
	5.000	36.77	10/13/21	28.83	...	7.94	2 of 3 - Sale <sup>25</sup>
	10.000	73.54	10/13/21	58.65	...	14.89	3 of 3 - Sale <sup>25</sup>
10/20/21	35.000	257.39	Various	214.08	...	43.31	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
17 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	5.000	234.42	12/01/20	267.62	...	-33.20	1 of 17 - Sale <sup>25</sup>
	4.000	187.54	12/01/20	196.93	...	-9.39	2 of 17 - Sale <sup>25</sup>
	1.000	46.88	12/01/20	44.72	...	2.16	3 of 17 - Sale <sup>25</sup>
	10.000	468.85	12/04/20	426.25	...	42.60	4 of 17 - Sale <sup>25</sup>
	5.000	234.42	12/09/20	226.04	...	8.38	5 of 17 - Sale <sup>25</sup>
	5.000	234.42	12/09/20	218.23	...	16.19	6 of 17 - Sale <sup>25</sup>
	5.000	234.42	12/11/20	211.00	...	23.42	7 of 17 - Sale <sup>25</sup>
	5.000	234.42	12/11/20	207.58	...	26.84	8 of 17 - Sale <sup>25</sup>
	2.000	93.77	02/22/21	103.58	...	-9.81	9 of 17 - Sale <sup>25</sup>
	2.000	93.77	03/02/21	86.66	...	7.11	10 of 17 - Sale <sup>25</sup>
	1.000	46.88	03/04/21	38.07	...	8.81	11 of 17 - Sale <sup>25</sup>
	2.000	93.77	03/04/21	78.58	...	15.19	12 of 17 - Sale <sup>25</sup>
	3.000	140.66	03/04/21	113.52	...	27.14	13 of 17 - Sale <sup>25</sup>
	2.000	93.77	03/05/21	64.60	...	29.17	14 of 17 - Sale <sup>25</sup>
	3.000	140.66	03/05/21	99.60	...	41.06	15 of 17 - Sale <sup>25</sup>
	1.000	46.88	03/08/21	35.32	...	11.56	16 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	187.54	03/26/21	136.02	...	51.52	17 of 17 - Sale <sup>25</sup>
06/28/21	60.000	2,813.07	Various	2,554.32	...	258.75	Total of 17 transactions
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	5.000	196.96	09/20/21	180.90	...	16.06	1 of 3 - Sale <sup>25</sup>
	2.000	78.78	09/22/21	71.00	...	7.78	2 of 3 - Sale <sup>25</sup>
	3.000	118.17	09/24/21	106.32	...	11.85	3 of 3 - Sale <sup>25</sup>
12/01/21	10.000	393.91	Various	358.22	...	35.69	Total of 3 transactions
	<b>Security total:</b>	<b>3,206.98</b>		<b>2,912.54</b>	...	<b>294.44</b>	
NEPTUNE WELLNESS SOLUTIONS INC. ORDINARY SHARES / CUSIP: 64079L105 / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	5.000	8.55	02/16/21	9.50	...	-0.95	1 of 3 - Sale <sup>25</sup>
	5.000	8.55	02/17/21	9.43	...	-0.88	2 of 3 - Sale <sup>25</sup>
	10.000	17.10	03/04/21	15.90	...	1.20	3 of 3 - Sale <sup>25</sup>
03/16/21	20.000	34.20	Various	34.83	...	-0.63	Total of 3 transactions
03/16/21	20.000	34.20	02/16/21	38.00	2.85 W	-0.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>68.40</b>		<b>72.83</b>	<b>2.85 W</b>	<b>-1.58</b>	
NEWTEK BUSINESS SERVICES CORP. COMMON STOCK (MARYLAND) / CUSIP: 652526203 / Symbol:							
08/13/21	5.000	140.56	08/03/21	125.08	...	15.48	Sale <sup>25</sup>
NORTHERN DYNASTY MINERALS, LTD. / CUSIP: 66510M204 / Symbol:							
7 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	0.58	01/19/21	0.52	...	0.06	1 of 7 - Sale <sup>25</sup>
	98.000	56.91	01/19/21	50.96	...	5.95	2 of 7 - Sale <sup>25</sup>
	1.000	0.58	01/20/21	0.51	...	0.07	3 of 7 - Sale <sup>25</sup>
	50.000	29.03	01/20/21	25.30	...	3.73	4 of 7 - Sale <sup>25</sup>
	50.000	29.03	01/20/21	24.69	...	4.34	5 of 7 - Sale <sup>25</sup>
	90.000	52.25	01/27/21	38.21	...	14.04	6 of 7 - Sale <sup>25</sup>
	10.000	5.81	02/04/21	6.13	...	-0.32	7 of 7 - Sale <sup>25</sup>
03/05/21	300.000	174.19	Various	146.32	...	27.87	Total of 7 transactions

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVO INTEGRATED SCIENCES, INC. COMMON STOCK / CUSIP: 67011T201 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	20.000	50.22	04/09/21	54.60	...	-4.38	1 of 2 - Sale <sup>25</sup>
	10.000	25.11	04/09/21	27.50	...	-2.39	2 of 2 - Sale <sup>25</sup>
06/28/21	30.000	75.33	Various	82.10	...	-6.77	Total of 2 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
11/03/21	1.000	266.51	09/27/21	214.40	...	52.11	Sale <sup>25</sup>
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	299.05	09/27/21	214.40	...	84.65	1 of 3 - Sale <sup>25</sup>
	1.000	299.04	09/28/21	207.81	...	91.23	2 of 3 - Sale <sup>25</sup>
	1.000	299.05	10/01/21	203.65	...	95.40	3 of 3 - Sale <sup>25</sup>
11/05/21	3.000	897.14	Various	625.86	...	271.28	Total of 3 transactions
	<b>Security total:</b>	<b>1,163.65</b>		<b>840.26</b>	...	<b>323.39</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	4.000	28.56	06/10/21	29.00	...	-0.44	1 of 2 - Sale <sup>25</sup>
	4.000	28.56	06/10/21	28.47	...	0.09	2 of 2 - Sale <sup>25</sup>
06/10/21	8.000	57.12	Various	57.47	...	-0.35	Total of 2 transactions
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
3 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	2.000	63.70	03/01/21	63.32	...	0.38	1 of 3 - Sale <sup>25</sup>
	1.000	31.85	03/01/21	30.20	...	1.65	2 of 3 - Sale <sup>25</sup>
	1.000	31.85	03/02/21	28.50	...	3.35	3 of 3 - Sale <sup>25</sup>
03/11/21	4.000	127.40	Various	122.02	...	5.38	Total of 3 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
03/16/21	1.000	4.70	03/10/21	4.50	...	0.20	Sale <sup>25</sup>

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORLA MINING LTD. / CUSIP: 68634K106 / Symbol:							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	10.000	37.10	03/08/21	36.40	...	0.70	1 of 3 - Sale <sup>25</sup>
	4.000	14.84	03/08/21	14.12	...	0.72	2 of 3 - Sale <sup>25</sup>
	1.000	3.71	03/09/21	3.55	...	0.16	3 of 3 - Sale <sup>25</sup>
03/09/21	15.000	55.65	Various	54.07	...	1.58	Total of 3 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
11 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	3.000	81.13	02/12/21	95.61	...	-14.48	1 of 11 - Sale <sup>25</sup>
	2.000	54.09	02/16/21	57.42	...	-3.33	2 of 11 - Sale <sup>25</sup>
	2.000	54.09	02/16/21	57.16	...	-3.07	3 of 11 - Sale <sup>25</sup>
	2.000	54.09	02/17/21	55.52	...	-1.43	4 of 11 - Sale <sup>25</sup>
	1.000	27.04	02/17/21	27.74	...	-0.70	5 of 11 - Sale <sup>25</sup>
	3.000	81.13	02/18/21	75.69	...	5.44	6 of 11 - Sale <sup>25</sup>
	2.000	54.09	02/25/21	48.44	...	5.65	7 of 11 - Sale <sup>25</sup>
	2.000	54.09	03/03/21	47.16	...	6.93	8 of 11 - Sale <sup>25</sup>
	3.000	81.14	03/05/21	64.20	...	16.94	9 of 11 - Sale <sup>25</sup>
	1.000	27.04	03/08/21	22.92	...	4.12	10 of 11 - Sale <sup>25</sup>
	4.000	108.18	05/13/21	71.46	...	36.72	11 of 11 - Sale <sup>25</sup>
06/28/21	25.000	676.11	Various	623.32	...	52.79	Total of 11 transactions
08/30/21	10.000	262.80	08/19/21	244.20	...	18.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>938.91</b>		<b>867.52</b>	...	<b>71.39</b>	
PETROLEO BRASILEIRO S.A.-PETRO BRAS ADS (REP 1 COMMON SHARE) / CUSIP: 71654V408 / Symbol:							
03/03/21	10.000	74.15	02/22/21	78.00	...	-3.85	Sale <sup>25</sup>
PFIZER INC. / CUSIP: 717081103 / Symbol:							
01/12/21	4.000	148.65	11/12/20	151.04	2.39 W	0.00	Sale <sup>25</sup>
01/15/21	2.000	73.42	11/12/20	74.60	1.18 W	0.00	Sale <sup>25</sup>
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	3.000	110.04	11/12/20	111.89	...	-1.85	1 of 3 - Sale <sup>25</sup>
	5.000	183.40	11/18/20	185.35	...	-1.95	2 of 3 - Sale <sup>25</sup>
	3.000	110.43	11/18/20	111.21	...	-0.78	3 of 3 - Sale <sup>25</sup>
01/19/21	11.000	403.87	Various	408.45	...	-4.58	Total of 3 transactions

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
<i>3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.51	11/18/20	37.07	...	-0.56	1 of 3 - Sale <sup>25</sup>
	1.000	36.51	11/18/20	37.07	...	-0.56	2 of 3 - Sale <sup>25</sup>
	5.000	182.55	11/19/20	180.39	...	2.16	3 of 3 - Sale <sup>25</sup>
01/20/21	7.000	255.57	Various	254.53	...	1.04	Total of 3 transactions
<i>2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	182.10	11/19/20	180.39	...	1.71	1 of 2 - Sale <sup>25</sup>
	5.000	182.10	11/23/20	181.80	...	0.30	2 of 2 - Sale <sup>25</sup>
01/25/21	10.000	364.20	Various	362.19	...	2.01	Total of 2 transactions
02/23/21	3.000	101.79	11/23/20	109.08	...	-7.29	Sale <sup>25</sup>
03/10/21	2.000	69.46	11/23/20	72.72	...	-3.26	Sale <sup>25</sup>
03/17/21	5.000	177.53	11/25/20	181.33	...	-3.80	Sale <sup>25</sup>
03/25/21	5.000	176.95	11/25/20	181.32	...	-4.37	Sale <sup>25</sup>
<i>5 transactions for 03/26/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	180.36	12/15/20	192.68	...	-12.32	1 of 5 - Sale <sup>25</sup>
	4.000	144.29	12/15/20	156.54	...	-12.25	2 of 5 - Sale <sup>25</sup>
	1.000	36.07	12/15/20	39.90	...	-3.83	3 of 5 - Sale <sup>25</sup>
	3.000	108.22	12/16/20	114.56	...	-6.34	4 of 5 - Sale <sup>25</sup>
	2.000	72.14	12/16/20	77.56	...	-5.42	5 of 5 - Sale <sup>25</sup>
03/26/21	15.000	541.08	Various	581.24	...	-40.16	Total of 5 transactions
11/01/21	5.000	217.26	10/28/21	215.75	...	1.51	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,529.78</b>		<b>2,592.25</b>	<b>3.57 W</b>	<b>-58.90</b>	

PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:

<i>5 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	98.19	03/17/21	111.66	...	-13.47	1 of 5 - Sale <sup>25</sup>
	1.000	32.73	03/17/21	36.52	...	-3.79	2 of 5 - Sale <sup>25</sup>
	1.000	32.73	03/17/21	35.67	...	-2.94	3 of 5 - Sale <sup>25</sup>
	5.000	163.65	04/15/21	132.18	...	31.47	4 of 5 - Sale <sup>25</sup>
	5.000	163.65	04/15/21	124.73	...	38.92	5 of 5 - Sale <sup>25</sup>
06/28/21	15.000	490.95	Various	440.76	...	50.19	Total of 5 transactions

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol:							
<i>5 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	28.12	03/09/21	30.13	...	-2.01	1 of 5 - Sale <sup>25</sup>
	1.000	14.06	03/10/21	14.84	...	-0.78	2 of 5 - Sale <sup>25</sup>
	2.000	28.12	03/11/21	29.29	...	-1.17	3 of 5 - Sale <sup>25</sup>
	2.000	28.12	03/16/21	26.69	...	1.43	4 of 5 - Sale <sup>25</sup>
	3.000	42.18	03/16/21	40.53	...	1.65	5 of 5 - Sale <sup>25</sup>
03/24/21	10.000	140.60	Various	141.48	...	-0.88	Total of 5 transactions
<i>2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	68.35	03/09/21	71.15	2.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	68.35	03/09/21	72.93	4.58 W	0.00	2 of 2 - Sale <sup>25</sup>
03/24/21	10.000	136.70	Various	144.08	7.38 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>277.30</b>		<b>285.56</b>	<b>7.38 W</b>	<b>-0.88</b>	
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
<i>6 transactions for 04/15/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	332.15	01/29/21	272.79	...	59.36	1 of 6 - Sale <sup>25</sup>
	1.000	110.71	02/23/21	91.41	...	19.30	2 of 6 - Sale <sup>25</sup>
	1.000	111.07	02/23/21	91.41	...	19.66	3 of 6 - Sale <sup>25</sup>
	1.000	111.07	02/23/21	89.03	...	22.04	4 of 6 - Sale <sup>25</sup>
	2.000	222.14	02/23/21	176.62	...	45.52	5 of 6 - Sale <sup>25</sup>
	1.000	111.07	03/03/21	88.92	...	22.15	6 of 6 - Sale <sup>25</sup>
04/15/21	9.000	998.21	Various	810.18	...	188.03	Total of 6 transactions
04/29/21	1.000	108.63	03/03/21	84.76	...	23.87	Sale <sup>25</sup>
<i>8 transactions for 05/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	88.27	03/03/21	84.75	...	3.52	1 of 8 - Sale <sup>25</sup>
	1.000	88.27	03/04/21	82.79	...	5.48	2 of 8 - Sale <sup>25</sup>
	2.000	176.54	03/04/21	165.92	...	10.62	3 of 8 - Sale <sup>25</sup>
	2.000	176.54	03/04/21	154.13	...	22.41	4 of 8 - Sale <sup>25</sup>
	3.000	264.82	03/04/21	232.08	...	32.74	5 of 8 - Sale <sup>25</sup>
	1.000	88.27	03/05/21	79.25	...	9.02	6 of 8 - Sale <sup>25</sup>
	2.000	176.55	03/05/21	152.00	...	24.55	7 of 8 - Sale <sup>25</sup>
	1.000	88.27	03/08/21	77.29	...	10.98	8 of 8 - Sale <sup>25</sup>
05/13/21	13.000	1,147.53	Various	1,028.21	...	119.32	Total of 8 transactions

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Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
06/04/21	1.000	103.28	03/25/21	82.24	...	21.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,357.65</b>		<b>2,005.39</b>	...	<b>352.26</b>	
PROTAGONIST THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74366E102 / Symbol:							
10/20/21	10.000	288.72	09/20/21	147.06	...	141.66	Sale <sup>25</sup>
PROTERRA INC. COMMON STOCK / CUSIP: 74374T109 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	5.000	62.16	07/12/21	75.00	...	-12.84	1 of 3 - Sale <sup>25</sup>
	2.000	24.86	07/22/21	23.18	...	1.68	2 of 3 - Sale <sup>25</sup>
	8.000	99.45	08/03/21	83.98	...	15.47	3 of 3 - Sale <sup>25</sup>
11/12/21	15.000	186.47	Various	182.16	...	4.31	Total of 3 transactions
QUOTIENT TECHNOLOGY INC / CUSIP: 749119103 / Symbol:							
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	10.000	71.41	08/06/21	82.00	...	-10.59	1 of 3 - Sale <sup>25</sup>
	5.000	35.71	09/20/21	30.44	...	5.27	2 of 3 - Sale <sup>25</sup>
	5.000	35.70	11/04/21	30.20	...	5.50	3 of 3 - Sale <sup>25</sup>
12/01/21	20.000	142.82	Various	142.64	...	0.18	Total of 3 transactions
REALNETWORKS, INC. COMMON STOCK / CUSIP: 75605L708 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	10.000	45.12	02/16/21	36.25	...	8.87	1 of 2 - Sale <sup>25</sup>
	10.000	45.12	02/17/21	33.40	...	11.72	2 of 2 - Sale <sup>25</sup>
03/04/21	20.000	90.24	Various	69.65	...	20.59	Total of 2 transactions
RENESOLA LTD AMERICAN DEPOSITARY (EACH REPRESENTING TEN SHARES) / CUSIP: 75971T301 / Symbol:							
02/04/21	2.000	48.43	01/25/21	53.00	4.57 W	0.00	Sale <sup>25</sup>
REVANCE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 761330109 / Symbol:							
10/20/21	5.000	76.39	10/18/21	66.79	...	9.60	Sale <sup>25</sup>
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
04/13/21	5.000	95.65	03/25/21	89.05	...	6.60	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SECUREWORKS CORP. CLASS A COMM ON STOCK / CUSIP: 81374A105 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	5.000	103.30	09/24/21	102.62	...	0.68	1 of 3 - Sale <sup>25</sup>
	4.000	82.64	10/14/21	74.94	...	7.70	2 of 3 - Sale <sup>25</sup>
	1.000	20.66	10/20/21	19.11	...	1.55	3 of 3 - Sale <sup>25</sup>
11/12/21	10.000	206.60	Various	196.67	...	9.93	Total of 3 transactions
SELECTQUOTE, INC. / CUSIP: 816307300 / Symbol:							
09/15/21	10.000	138.40	08/26/21	79.74	...	58.66	Sale <sup>25</sup>
SERES THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81750R102 / Symbol:							
8 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	10.000	84.30	07/22/21	100.50	...	-16.20	1 of 8 - Sale <sup>25</sup>
	10.000	84.30	07/22/21	89.97	...	-5.67	2 of 8 - Sale <sup>25</sup>
	5.000	42.15	07/22/21	44.98	...	-2.83	3 of 8 - Sale <sup>25</sup>
	3.000	25.29	07/29/21	21.09	...	4.20	4 of 8 - Sale <sup>25</sup>
	5.000	42.15	08/03/21	34.58	...	7.57	5 of 8 - Sale <sup>25</sup>
	7.000	59.01	08/03/21	48.27	...	10.74	6 of 8 - Sale <sup>25</sup>
	5.000	42.15	10/15/21	32.53	...	9.62	7 of 8 - Sale <sup>25</sup>
	5.000	42.16	10/15/21	32.40	...	9.76	8 of 8 - Sale <sup>25</sup>
11/18/21	50.000	421.51	Various	404.32	...	17.19	Total of 8 transactions
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
6 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	1.18	08/17/21	1.34	...	-0.16	1 of 6 - Sale <sup>25</sup>
	29.000	34.25	08/19/21	32.63	...	1.62	2 of 6 - Sale <sup>25</sup>
	20.000	23.62	08/26/21	20.56	...	3.06	3 of 6 - Sale <sup>25</sup>
	30.000	35.42	09/24/21	25.34	...	10.08	4 of 6 - Sale <sup>25</sup>
	19.000	22.44	10/14/21	17.14	...	5.30	5 of 6 - Sale <sup>25</sup>
	51.000	60.22	10/14/21	46.01	...	14.21	6 of 6 - Sale <sup>25</sup>
11/12/21	150.000	177.13	Various	143.02	...	34.11	Total of 6 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
01/15/21	5.000	247.90	01/14/21	247.58	...	0.32	Sale <sup>25</sup>

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Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	222.30	03/05/21	218.78	...	3.52	1 of 2 - Sale <sup>25</sup>
	1.000	222.30	03/08/21	215.27	...	7.03	2 of 2 - Sale <sup>25</sup>
03/23/21	2.000	444.60	Various	434.05	...	10.55	Total of 2 transactions
SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol:							
03/10/21	15.000	20.87	03/08/21	22.43	...	-1.56	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	8.06	02/23/21	7.82	...	0.24	1 of 3 - Sale <sup>25</sup>
	8.000	64.48	02/25/21	48.88	...	15.60	2 of 3 - Sale <sup>25</sup>
	5.000	40.30	02/26/21	28.90	...	11.40	3 of 3 - Sale <sup>25</sup>
03/03/21	14.000	112.84	Various	85.60	...	27.24	Total of 3 transactions
03/03/21	1.000	8.06	02/22/21	10.13	2.07 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>120.90</b>		<b>95.73</b>	<b>2.07 W</b>	<b>27.24</b>	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
01/27/21	5.000	178.51	01/25/21	187.93	...	-9.42	Sale <sup>25</sup>
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
08/06/21	2.000	51.90	08/04/21	52.06	0.16 W	0.00	Sale <sup>25</sup>
3 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	2.000	52.36	08/04/21	52.06	...	0.30	1 of 3 - Sale <sup>25</sup>
	1.000	26.18	08/04/21	25.61	...	0.57	2 of 3 - Sale <sup>25</sup>
	2.000	52.36	08/04/21	51.37	...	0.99	3 of 3 - Sale <sup>25</sup>
08/11/21	5.000	130.90	Various	129.04	...	1.86	Total of 3 transactions
	<b>Security total:</b>	<b>182.80</b>		<b>181.10</b>	<b>0.16 W</b>	<b>1.86</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
7 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	20.000	19.78	01/28/21	16.74	...	3.04	1 of 7 - Sale <sup>25</sup>
	20.000	19.78	01/28/21	16.00	...	3.78	2 of 7 - Sale <sup>25</sup>
	20.000	19.78	01/28/21	15.00	...	4.78	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 609063185

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	50.000	49.44	01/29/21	36.81	...	12.63	4 of 7 - Sale <sup>25</sup>
	50.000	49.44	05/06/21	36.02	...	13.42	5 of 7 - Sale <sup>25</sup>
	100.000	98.87	05/13/21	65.48	...	33.39	6 of 7 - Sale <sup>25</sup>
	50.000	49.44	05/24/21	36.39	...	13.05	7 of 7 - Sale <sup>25</sup>
06/28/21	310.000	306.53	Various	222.44	...	84.09	Total of 7 transactions
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	10.000	9.89	02/17/21	18.10	8.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	29.66	02/17/21	53.67	24.01 W	0.00	2 of 2 - Sale <sup>25</sup>
06/28/21	40.000	39.55	Various	71.77	32.22 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>346.08</b>		<b>294.21</b>	<b>32.22 W</b>	<b>84.09</b>	
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
	2 transactions for 08/19/21. Total proceeds and cost reported to the IRS.						
	2.000	325.19	08/03/21	314.75	...	10.44	1 of 2 - Sale <sup>25</sup>
	3.000	487.80	08/03/21	474.57	...	13.23	2 of 2 - Sale <sup>25</sup>
08/19/21	5.000	812.99	Various	789.32	...	23.67	Total of 2 transactions
TERADATA CORPORATION / CUSIP: 88076W103 / Symbol:							
	2 transactions for 09/16/21. Total proceeds and cost reported to the IRS.						
	1.000	51.74	09/10/21	51.43	...	0.31	1 of 2 - Sale <sup>25</sup>
	1.000	51.74	09/10/21	50.91	...	0.83	2 of 2 - Sale <sup>25</sup>
09/16/21	2.000	103.48	Various	102.34	...	1.14	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	1.000	692.80	11/10/20	408.43	...	284.37	1 of 2 - Sale <sup>25</sup>
	1.000	692.81	11/11/20	413.48	...	279.33	2 of 2 - Sale <sup>25</sup>
06/28/21	2.000	1,385.61	Various	821.91	...	563.70	Total of 2 transactions
08/31/21	1.000	729.71	11/11/20	413.48	...	316.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,115.32</b>		<b>1,235.39</b>	...	<b>879.93</b>	

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Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
06/28/21	10.000	786.74	06/10/21	580.81	...	205.93	Sale <sup>25</sup>
10/06/21	1.000	68.28	10/04/21	65.73	...	2.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>855.02</b>		<b>646.54</b>	...	<b>208.48</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
03/05/21	20.000	36.40	02/08/21	32.78	...	3.62	Sale <sup>25</sup>
03/08/21	10.000	22.75	02/08/21	15.20	...	7.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59.15</b>		<b>47.98</b>	...	<b>11.17</b>	
TRUECAR, INC. COMMON STOCK / CUSIP: 89785L107 / Symbol:							
	<i>2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>						
	12.000	51.00	08/06/21	50.28	...	0.72	1 of 2 - Sale <sup>25</sup>
	8.000	34.00	08/13/21	31.52	...	2.48	2 of 2 - Sale <sup>25</sup>
10/21/21	20.000	85.00	Various	81.80	...	3.20	Total of 2 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
08/11/21	3.000	127.53	08/04/21	115.98	...	11.55	Sale <sup>25</sup>
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
	<i>2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	10.60	04/29/21	8.62	...	1.98	1 of 2 - Sale <sup>25</sup>
	50.000	52.99	04/29/21	42.96	...	10.03	2 of 2 - Sale <sup>25</sup>
06/28/21	60.000	63.59	Various	51.58	...	12.01	Total of 2 transactions
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
	<i>3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.</i>						
	19.000	126.92	05/25/21	100.26	...	26.66	1 of 3 - Sale <sup>25</sup>
	1.000	6.68	05/26/21	5.06	...	1.62	2 of 3 - Sale <sup>25</sup>
	5.000	33.40	05/26/21	25.29	...	8.11	3 of 3 - Sale <sup>25</sup>
06/28/21	25.000	167.00	Various	130.61	...	36.39	Total of 3 transactions

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
15 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	20.000	333.60	12/21/20	413.80	...	-80.20	1 of 15 - Sale <sup>25</sup>
	10.000	166.80	12/31/20	201.00	...	-34.20	2 of 15 - Sale <sup>25</sup>
	5.000	83.40	02/23/21	75.00	...	8.40	3 of 15 - Sale <sup>25</sup>
	2.000	33.36	02/24/21	29.50	...	3.86	4 of 15 - Sale <sup>25</sup>
	2.000	33.36	02/24/21	29.20	...	4.16	5 of 15 - Sale <sup>25</sup>
	1.000	16.68	02/26/21	17.20	...	-0.52	6 of 15 - Sale <sup>25</sup>
	2.000	33.36	03/01/21	31.51	...	1.85	7 of 15 - Sale <sup>25</sup>
	3.000	50.04	03/04/21	40.98	...	9.06	8 of 15 - Sale <sup>25</sup>
	5.000	83.40	03/04/21	68.05	...	15.35	9 of 15 - Sale <sup>25</sup>
	1.000	16.68	03/23/21	14.72	...	1.96	10 of 15 - Sale <sup>25</sup>
	4.000	66.72	03/23/21	58.88	...	7.84	11 of 15 - Sale <sup>25</sup>
	5.000	83.40	03/26/21	64.85	...	18.55	12 of 15 - Sale <sup>25</sup>
	5.000	83.40	04/15/21	57.60	...	25.80	13 of 15 - Sale <sup>25</sup>
	5.000	83.40	05/11/21	35.40	...	48.00	14 of 15 - Sale <sup>25</sup>
	30.000	500.39	05/13/21	215.38	...	285.01	15 of 15 - Sale <sup>25</sup>
06/28/21	100.000	1,667.99	Various	1,353.07	...	314.92	Total of 15 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
03/16/21	2.000	70.19	03/09/21	56.80	...	13.39	Sale <sup>25</sup>
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	387.76	12/01/20	430.98	...	-43.22	1 of 4 - Sale <sup>25</sup>
	1.000	387.75	12/01/20	422.40	...	-34.65	2 of 4 - Sale <sup>25</sup>
	1.000	387.75	12/01/20	413.40	...	-25.65	3 of 4 - Sale <sup>25</sup>
	1.000	387.76	12/01/20	406.76	...	-19.00	4 of 4 - Sale <sup>25</sup>
06/28/21	4.000	1,551.02	Various	1,673.54	...	-122.52	Total of 4 transactions
8 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	385.06	12/07/20	405.78	...	-20.72	1 of 8 - Sale <sup>25</sup>
	2.000	769.66	12/09/20	796.91	...	-27.25	2 of 8 - Sale <sup>25</sup>
	1.000	384.83	12/09/20	393.76	...	-8.93	3 of 8 - Sale <sup>25</sup>
	1.000	384.83	12/09/20	390.67	...	-5.84	4 of 8 - Sale <sup>25</sup>
	1.000	384.83	12/09/20	382.26	...	2.57	5 of 8 - Sale <sup>25</sup>

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(continued)

02/11/2022

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	2.000	769.65	12/09/20	765.00	...	4.65	6 of 8 - Sale <sup>25</sup>
	1.000	384.83	12/24/20	380.10	...	4.73	7 of 8 - Sale <sup>25</sup>
	1.000	384.82	12/24/20	375.48	...	9.34	8 of 8 - Sale <sup>25</sup>
07/29/21	10.000	3,848.51	Various	3,889.96	...	-41.45	Total of 8 transactions
	2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.						
	1.000	375.33	12/24/20	375.14	...	0.19	1 of 2 - Sale <sup>25</sup>
	1.000	375.34	12/28/20	363.53	...	11.81	2 of 2 - Sale <sup>25</sup>
08/03/21	2.000	750.67	Various	738.67	...	12.00	Total of 2 transactions
	2 transactions for 08/04/21. Total proceeds and cost reported to the IRS.						
	1.000	394.26	12/28/20	360.78	...	33.48	1 of 2 - Sale <sup>25</sup>
	1.000	398.49	12/28/20	355.82	...	42.67	2 of 2 - Sale <sup>25</sup>
08/04/21	2.000	792.75	Various	716.60	...	76.15	Total of 2 transactions
08/05/21	1.000	396.36	12/30/20	347.88	...	48.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,339.31</b>		<b>7,366.65</b>	...	<b>-27.34</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	20.000	30.90	01/27/21	18.02	...	12.88	1 of 2 - Sale <sup>25</sup>
	9.000	13.91	02/16/21	21.24	...	-7.33	2 of 2 - Sale <sup>25</sup>
03/05/21	29.000	44.81	Various	39.26	...	5.55	Total of 2 transactions
03/08/21	1.000	1.89	02/16/21	2.36	...	-0.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>46.70</b>		<b>41.62</b>	...	<b>5.08</b>	
ZYMERGEN INC. COMMON STOCK / CUSIP: 98985X100 / Symbol:							
	4 transactions for 08/19/21. Total proceeds and cost reported to the IRS.						
	5.000	49.63	08/04/21	42.30	...	7.33	1 of 4 - Sale <sup>25</sup>
	5.000	49.62	08/04/21	41.05	...	8.57	2 of 4 - Sale <sup>25</sup>
	5.000	49.63	08/04/21	40.64	...	8.99	3 of 4 - Sale <sup>25</sup>
	10.000	99.26	08/04/21	84.40	...	14.86	4 of 4 - Sale <sup>25</sup>
08/19/21	25.000	248.14	Various	208.39	...	39.75	Total of 4 transactions
08/20/21	5.000	52.65	08/04/21	41.05	...	11.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>300.79</b>		<b>249.44</b>	...	<b>51.35</b>	

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
02/02/21	2.000	20.37	01/28/21	19.93	...	0.44	Sale <sup>25</sup>
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
10/06/21	1.000	11.32	09/20/21	8.75	...	2.57	Sale <sup>25</sup>
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	4.000	44.96	09/20/21	34.98	...	9.98	1 of 3 - Sale <sup>25</sup>
	15.000	168.00	09/20/21	131.17	...	36.83	2 of 3 - Sale <sup>25</sup>
	5.000	56.00	09/29/21	40.74	...	15.26	3 of 3 - Sale <sup>25</sup>
11/01/21	24.000	268.96	Various	206.89	...	62.07	Total of 3 transactions
11/02/21	10.000	122.60	09/30/21	67.40	...	55.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>402.88</b>		<b>283.04</b>	...	<b>119.84</b>	
ENDO INTERNATIONAL PLC ORDINAR Y SHARES / CUSIP: G30401106 / Symbol:							
2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	10.000	27.73	08/20/21	22.10	...	5.63	1 of 2 - Sale <sup>25</sup>
	30.000	83.17	08/20/21	67.20	...	15.97	2 of 2 - Sale <sup>25</sup>
09/15/21	40.000	110.90	Various	89.30	...	21.60	Total of 2 transactions
FRONTLINE LTD. / CUSIP: G3682E192 / Symbol:							
22 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	69.42	04/29/20	95.80	...	-26.38	1 of 22 - Sale <sup>25</sup>
	5.000	34.71	04/29/20	46.75	...	-12.04	2 of 22 - Sale <sup>25</sup>
	10.000	69.43	05/01/20	91.69	...	-22.26	3 of 22 - Sale <sup>25</sup>
	5.000	34.71	05/01/20	45.85	...	-11.14	4 of 22 - Sale <sup>25</sup>
	5.000	34.61	05/01/20	45.10	...	-10.49	5 of 22 - Sale <sup>25</sup>
	10.000	69.22	05/07/20	76.00	...	-6.78	6 of 22 - Sale <sup>25</sup>
	10.000	69.22	05/07/20	75.50	...	-6.28	7 of 22 - Sale <sup>25</sup>
	6.000	41.53	05/11/20	46.56	...	-5.03	8 of 22 - Sale <sup>25</sup>
	9.000	62.30	05/12/20	68.40	...	-6.10	9 of 22 - Sale <sup>25</sup>
	10.000	69.22	06/17/20	76.98	...	-7.76	10 of 22 - Sale <sup>25</sup>
	5.000	34.61	07/02/20	35.59	...	-0.98	11 of 22 - Sale <sup>25</sup>
	10.000	69.22	09/18/20	71.88	...	-2.66	12 of 22 - Sale <sup>25</sup>
	5.000	34.61	09/18/20	35.64	...	-1.03	13 of 22 - Sale <sup>25</sup>
	10.000	69.22	09/21/20	68.25	...	0.97	14 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FRONTLINE LTD. / CUSIP: G3682E192 / Symbol: (cont'd)							
	1.000	6.91	09/25/20	6.38	...	0.53	15 of 22 - Sale <sup>25</sup>
	9.000	62.10	09/25/20	57.42	...	4.68	16 of 22 - Sale <sup>25</sup>
	10.000	69.23	09/25/20	63.98	...	5.25	17 of 22 - Sale <sup>25</sup>
	10.000	69.22	09/25/20	63.80	...	5.42	18 of 22 - Sale <sup>25</sup>
	10.000	69.01	09/28/20	64.15	...	4.86	19 of 22 - Sale <sup>25</sup>
	10.000	69.00	09/28/20	63.25	...	5.75	20 of 22 - Sale <sup>25</sup>
	10.000	69.01	09/29/20	63.90	...	5.11	21 of 22 - Sale <sup>25</sup>
	10.000	69.00	09/29/20	63.68	...	5.32	22 of 22 - Sale <sup>25</sup>
03/05/21	180.000	1,245.51	Various	1,326.55	...	-81.04	Total of 22 transactions
	4 transactions for 06/10/21. Total proceeds and cost reported to the IRS.						
	10.000	87.02	09/29/20	63.50	...	23.52	1 of 4 - Sale <sup>25</sup>
	10.000	87.02	09/29/20	63.45	...	23.57	2 of 4 - Sale <sup>25</sup>
	20.000	174.04	09/29/20	124.77	...	49.27	3 of 4 - Sale <sup>25</sup>
	10.000	87.02	10/02/20	64.19	...	22.83	4 of 4 - Sale <sup>25</sup>
06/10/21	50.000	435.10	Various	315.91	...	119.19	Total of 4 transactions
	13 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	10.000	87.41	10/02/20	64.18	...	23.23	1 of 13 - Sale <sup>25</sup>
	10.000	87.41	10/20/20	64.57	...	22.84	2 of 13 - Sale <sup>25</sup>
	20.000	174.82	10/21/20	126.17	...	48.65	3 of 13 - Sale <sup>25</sup>
	30.000	262.23	10/21/20	186.83	...	75.40	4 of 13 - Sale <sup>25</sup>
	28.000	244.75	10/23/20	173.04	...	71.71	5 of 13 - Sale <sup>25</sup>
	10.000	87.41	10/26/20	60.09	...	27.32	6 of 13 - Sale <sup>25</sup>
	12.000	104.89	10/26/20	72.18	...	32.71	7 of 13 - Sale <sup>25</sup>
	20.000	174.82	10/26/20	120.97	...	53.85	8 of 13 - Sale <sup>25</sup>
	10.000	87.41	10/28/20	57.38	...	30.03	9 of 13 - Sale <sup>25</sup>
	10.000	87.41	10/28/20	56.25	...	31.16	10 of 13 - Sale <sup>25</sup>
	10.000	87.41	10/30/20	55.50	...	31.91	11 of 13 - Sale <sup>25</sup>
	20.000	174.83	12/18/20	128.79	...	46.04	12 of 13 - Sale <sup>25</sup>
	10.000	87.41	01/25/21	58.85	...	28.56	13 of 13 - Sale <sup>25</sup>
06/28/21	200.000	1,748.21	Various	1,224.80	...	523.41	Total of 13 transactions
	<b>Security total:</b>	<b>3,428.82</b>		<b>2,867.26</b>	...	<b>561.56</b>	

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Robinhood Securities LLC

Account 609063185

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	2.000	6.86	08/02/21	6.84	...	0.02	1 of 2 - Sale <sup>25</sup>
	18.000	61.74	08/03/21	59.22	...	2.52	2 of 2 - Sale <sup>25</sup>
08/11/21	20.000	68.60	Various	66.06	...	2.54	Total of 2 transactions
TECHNIPFMC PLC ORDINARY SHARE / CUSIP: G87110105 / Symbol:							
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	6.000	52.27	02/16/21	48.84	...	3.43	1 of 3 - Sale <sup>25</sup>
	4.000	34.85	02/17/21	31.12	...	3.73	2 of 3 - Sale <sup>25</sup>
	5.000	43.56	02/18/21	35.30	...	8.26	3 of 3 - Sale <sup>25</sup>
03/03/21	15.000	130.68	Various	115.26	...	15.42	Total of 3 transactions
<b>Totals :</b>		<b>62,719.31</b>		<b>52,542.90</b>	<b>85.62 W</b>	<b>10,262.03</b>	

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Robinhood Securities LLC

Account 609063185

**Detail for Dividends and Distributions**

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
FORD MOTOR COMPANY	345370860		12/01/21	2.50	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		12/01/21	4.17	Qualified dividend	
PFIZER INC.	717081103		03/05/21	11.70	Qualified dividend	
RILEY EXPLORATION PERMIAN, INC .	76665T102		08/06/21	2.80	Qualified dividend	
			11/04/21	3.10	Qualified dividend	
				<b>5.90</b>	<b>Total Dividends &amp; distributions</b>	
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405		10/21/21	312.91	Cash liquidation	
				<b>337.18</b>	<b>Total Dividends &amp; distributions</b>	

<b>Robinhood Securities LLC</b>	<b>Account 609063185</b>
<b>2021</b>	<b>02/11/2022</b>
<b>Detail for Miscellaneous Information</b>	

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
		04/07/21	10.00	Other income	
			<b>10.00</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 609063185

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/11/21	-5.00	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/31/21	-5.00	Margin interest paid	
		04/30/21	-5.00	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/29/21	-5.00	Margin interest paid	
		07/29/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		10/27/21	-5.00	Margin interest paid	
		11/26/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 609063185

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DOCUMENT SECURITY SYSTEMS, INC .	25614T309	10/01/21	-300.00	0.00	Exchange	
DSS, INC.	26253C102	10/01/21	300.00	0.00	Exchange	
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405	10/21/21	-400.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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