

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 119312288</b>	Statement Date: 02/11/2022 Document ID: T340 B5T 6SSG	2021
	Kaladhar Ganta 12035 Tuscan Bay Dr 302 Westchase, FL 33626  RECIPIENT'S TIN: XXX-XX-1277		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,030,594.45	1,047,254.55	0.00	15,212.72	-1,447.38
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>1,030,594.45</b>	<b>1,047,254.55</b>	<b>0.00</b>	<b>15,212.72</b>	<b>-1,447.38</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,030,594.45</b>	<b>1,047,254.55</b>	<b>0.00</b>	<b>15,212.72</b>	<b>-1,447.38</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 119312288

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.20
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 08/27/2021 PUT \$31.00 / CUSIP: / Symbol:							
08/25/21	1.000	8.98	08/25/21	8.00	...	0.98	Option sale to close-put <sup>25</sup>
CSCO 05/07/2021 PUT \$50.00 / CUSIP: / Symbol:							
05/04/21	1.000	8.98	04/30/21	26.00	...	-17.02	Option sale to close-put <sup>25</sup>
DIS 08/13/2021 PUT \$170.00 / CUSIP: / Symbol:							
08/16/21	1.000	68.98	08/13/21	0.00	...	68.98	Short sale closed- put <sup>25</sup> Option written: 08/11/21
EBAY 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/04/21	1.000	113.98	05/03/21	84.00	...	29.98	Option sale to close-call <sup>25</sup>
F 10/08/2021 PUT \$14.50 / CUSIP: / Symbol:							
10/01/21	1.000	52.98	09/30/21	46.00	...	6.98	Option sale to close-put <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 11/12/2021 PUT \$20.00 / CUSIP: / Symbol:							
11/11/21	1.000	77.98	11/10/21	81.00	...	-3.02	Option sale to close-put <sup>25</sup>
GME 07/16/2021 PUT \$0.50 / CUSIP: / Symbol:							
01/29/21	1.000	6.98	01/29/21	7.00	...	-0.02	Option sale to close-put <sup>25</sup>
HOOD 09/03/2021 PUT \$44.00 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	45.00	08/31/21	0.00	...	45.00	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 08/30/21
	8.000	359.96	08/31/21	0.00	...	359.96	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 08/30/21
09/01/21	9.000	404.96	Various	0.00	...	404.96	Total of 2 transactions
HOOD 09/10/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/10/21	1.000	161.98	09/08/21	110.00	...	51.98	Option sale to close-call <sup>25</sup>
HOOD 09/17/2021 CALL \$46.00 / CUSIP: / Symbol:							
09/20/21	10.000	39.97	09/17/21	0.00	...	39.97	Short sale closed- call <sup>25</sup> Option written: 09/15/21
HOOD 10/22/2021 PUT \$41.00 / CUSIP: / Symbol:							
10/22/21	10.000	-0.03	10/21/21	0.00	...	-0.03	Short sale closed- put <sup>25</sup> Option written: 10/21/21
HOOD 10/29/2021 PUT \$40.50 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	9.000	-3,996.04	10/28/21	0.00	...	-3,996.04	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 10/21/21
	1.000	-444.00	10/28/21	0.00	...	-444.00	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 10/21/21
10/29/21	10.000	-4,440.04	Various	0.00	...	-4,440.04	Total of 2 transactions
HOOD 11/12/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/12/21	1.000	92.98	11/10/21	63.00	...	29.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOOD 11/19/2021 PUT \$29.00 / CUSIP: / Symbol:							
11/19/21	1.000	4.98	11/19/21	4.00	...	0.98	Option sale to close-put <sup>25</sup>
HOOD 12/17/2021 CALL \$39.00 / CUSIP: / Symbol:							
12/07/21	1.000	34.98	12/06/21	0.00	...	34.98	Short sale closed- call <sup>25</sup> Option written: 11/23/21
LCID 11/19/2021 PUT \$45.00 / CUSIP: / Symbol:							
11/18/21	1.000	149.98	11/18/21	100.00	...	49.98	Option sale to close-put <sup>25</sup>
MRNA 08/27/2021 CALL \$395.00 / CUSIP: / Symbol:							
08/25/21	1.000	-220.02	08/24/21	0.00	...	-220.02	Short sale closed- call <sup>25</sup> Option written: 08/24/21
MRNA 11/05/2021 PUT \$292.50 / CUSIP: / Symbol:							
11/05/21	1.000	-340.02	11/04/21	0.00	...	-340.02	Short sale closed- put <sup>25</sup> Option written: 11/04/21
NKLA 06/18/2021 PUT \$10.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	05/04/21	138.00	...	-138.00	Option expiration- long put
NVDA 11/19/2021 PUT \$250.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/17/21	110.00	...	-110.00	Option expiration- long put
PROG 01/07/2022 CALL \$6.00 / CUSIP: / Symbol:							
12/27/21	1.000	29.98	12/23/21	0.00	...	29.98	Short sale closed- call <sup>25</sup> Option written: 11/29/21
PROG 11/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
11/19/21	1.000	9.98	11/19/21	0.00	...	9.98	Short sale closed- call expired Option written: 11/18/21
RKT 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/02/21	1.000	98.98	05/06/21	116.00	...	-17.02	Option sale to close-call <sup>25</sup>
TWTR 05/07/2021 PUT \$54.00 / CUSIP: / Symbol:							
05/04/21	1.000	128.98	05/03/21	99.00	...	29.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 05/21/2021 PUT \$51.50 / CUSIP: / Symbol:							
05/12/21	1.000	151.98	05/12/21	155.00	...	-3.02	Option sale to close-put <sup>25</sup>
TWTR 10/29/2021 CALL \$56.00 / CUSIP: / Symbol:							
11/01/21	6.000	77.98	10/29/21	0.00	...	77.98	Short sale closed- call <sup>25</sup> Option written: 10/28/21
TWTR 11/05/2021 CALL \$56.00 / CUSIP: / Symbol:							
<i>6 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.98	11/02/21	0.00	...	1.98	1 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
	1.000	1.98	11/02/21	0.00	...	1.98	2 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
	1.000	1.99	11/02/21	0.00	...	1.99	3 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
	1.000	1.99	11/02/21	0.00	...	1.99	4 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
	1.000	1.99	11/02/21	0.00	...	1.99	5 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
	1.000	1.99	11/02/21	0.00	...	1.99	6 of 6 - Short sale closed- call <sup>25</sup> Option written: 10/29/21
11/03/21	6.000	11.92	Various	0.00	...	11.92	Total of 6 transactions
WISH 11/12/2021 PUT \$5.50 / CUSIP: / Symbol:							
11/11/21	1.000	38.98	11/10/21	68.00	...	-29.02	Option sale to close-put <sup>25</sup>
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
06/21/21	50.000	212.01	05/04/21	110.39	...	101.62	Sale <sup>25</sup>
<i>2 transactions for 07/07/21. Total proceeds and cost reported to the IRS.</i>							
	999.000	2,160.80	07/07/21	2,164.73	...	-3.93	1 of 2 - Sale <sup>25</sup>
	1.000	2.17	07/07/21	2.17	...	0.00	2 of 2 - Sale <sup>25</sup>
07/07/21	1,000.000	2,162.97	Various	2,166.90	...	-3.93	Total of 2 transactions
	<b>Security total:</b>	<b>2,374.98</b>		<b>2,277.29</b>	...	<b>97.69</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	10.000	1,613.09	11/11/21	1,609.73	...	3.36	1 of 3 - Sale <sup>25</sup>
	100.000	16,130.90	11/11/21	16,097.25	...	33.65	2 of 3 - Sale <sup>25</sup>
	100.000	16,130.90	11/11/21	16,097.25	...	33.65	3 of 3 - Sale <sup>25</sup>
11/11/21	210.000	33,874.89	Various	33,804.23	...	70.66	Total of 3 transactions
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
11/11/21	25.000	1,025.49	11/10/21	1,025.00	...	0.49	Sale <sup>25</sup>
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	160.74	05/06/21	162.52	...	-1.78	1 of 3 - Sale <sup>25</sup>
	47.000	7,554.70	05/07/21	7,558.94	...	-4.24	2 of 3 - Sale <sup>25</sup>
	3.000	482.21	05/07/21	482.28	...	-0.07	3 of 3 - Sale <sup>25</sup>
05/07/21	51.000	8,197.65	Various	8,203.74	...	-6.09	Total of 3 transactions
06/28/21	50.000	10,704.88	06/28/21	10,687.10	...	17.78	Sale <sup>25</sup>
07/12/21	100.000	19,066.89	07/12/21	19,050.00	...	16.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>37,969.42</b>		<b>37,940.84</b>	...	<b>28.58</b>	
MIND MEDICINE (MINDMED) INC. S UBORDINATE VOTING SHARES / CUSIP: 60255C109 / Symbol:							
04/29/21	230.000	1,042.17	04/27/21	997.81	...	44.36	Sale <sup>25</sup>
04/29/21	205.000	928.88	04/27/21	992.20	63.32 W	0.00	Sale <sup>25</sup>
5 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	250.000	974.99	04/30/21	1,037.13	...	-62.14	1 of 5 - Sale <sup>25</sup>
	138.000	565.77	04/30/21	615.12	...	-49.35	2 of 5 - Sale <sup>25</sup>
	545.000	2,234.42	04/30/21	2,260.93	...	-26.51	3 of 5 - Sale <sup>25</sup>
	64.000	262.39	04/30/21	285.27	...	-22.88	4 of 5 - Sale <sup>25</sup>
	3.000	12.30	04/30/21	13.37	...	-1.07	5 of 5 - Sale <sup>25</sup>
04/30/21	1,000.000	4,049.87	Various	4,211.82	...	-161.95	Total of 5 transactions
	<b>Security total:</b>	<b>6,020.92</b>		<b>6,201.83</b>	<b>63.32 W</b>	<b>-117.59</b>	

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Robinhood Securities LLC

Account 119312288

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	7.000	2,763.58	08/24/21	2,725.45	...	38.13	1 of 3 - Sale <sup>25</sup>
	18.000	7,106.36	08/24/21	7,008.30	...	98.06	2 of 3 - Sale <sup>25</sup>
	75.000	29,609.82	08/24/21	29,490.00	...	119.82	3 of 3 - Sale <sup>25</sup>
08/24/21	100.000	39,479.76	Various	39,223.75	...	256.01	Total of 3 transactions
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
3 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	70.000	153.29	11/17/21	410.90	257.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	221.17	11/17/21	587.00	365.83 W	0.00	2 of 3 - Sale <sup>25</sup>
	70.000	154.82	12/23/21	411.26	256.44 W	0.00	3 of 3 - Sale <sup>25</sup>
12/23/21	240.000	529.28	Various	1,409.16	879.88 W	0.00	Total of 3 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
18 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	10.000	462.10	08/19/21	458.00	...	4.10	1 of 18 - Sale <sup>25</sup>
	10.000	462.20	08/19/21	458.00	...	4.20	2 of 18 - Sale <sup>25</sup>
	10.000	462.20	08/19/21	458.00	...	4.20	3 of 18 - Sale <sup>25</sup>
	10.000	462.30	08/19/21	458.00	...	4.30	4 of 18 - Sale <sup>25</sup>
	10.000	462.36	08/19/21	458.00	...	4.36	5 of 18 - Sale <sup>25</sup>
	10.000	462.36	08/19/21	458.00	...	4.36	6 of 18 - Sale <sup>25</sup>
	10.000	462.40	08/19/21	458.00	...	4.40	7 of 18 - Sale <sup>25</sup>
	10.000	462.40	08/19/21	458.00	...	4.40	8 of 18 - Sale <sup>25</sup>
	10.000	462.40	08/19/21	458.00	...	4.40	9 of 18 - Sale <sup>25</sup>
	15.000	693.02	08/19/21	687.00	...	6.02	10 of 18 - Sale <sup>25</sup>
	40.000	1,848.39	08/19/21	1,832.00	...	16.39	11 of 18 - Sale <sup>25</sup>
	50.000	2,310.48	08/19/21	2,290.00	...	20.48	12 of 18 - Sale <sup>25</sup>
	50.000	2,311.48	08/19/21	2,290.00	...	21.48	13 of 18 - Sale <sup>25</sup>
	50.000	2,311.48	08/19/21	2,290.00	...	21.48	14 of 18 - Sale <sup>25</sup>
	50.000	2,311.48	08/19/21	2,290.00	...	21.48	15 of 18 - Sale <sup>25</sup>
	100.000	4,620.96	08/19/21	4,580.00	...	40.96	16 of 18 - Sale <sup>25</sup>
	100.000	4,620.96	08/19/21	4,580.00	...	40.96	17 of 18 - Sale <sup>25</sup>
	100.000	4,620.96	08/19/21	4,580.00	...	40.96	18 of 18 - Sale <sup>25</sup>
08/19/21	645.000	29,809.93	Various	29,541.00	...	268.93	Total of 18 transactions

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Account 119312288

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
8 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	38.000	1,693.64	09/02/21	1,689.86	...	3.78	1 of 8 - Sale <sup>25</sup>
	55.000	2,451.32	09/02/21	2,445.85	...	5.47	2 of 8 - Sale <sup>25</sup>
	67.000	2,986.16	09/02/21	2,979.49	...	6.67	3 of 8 - Sale <sup>25</sup>
	200.000	9,005.93	09/02/21	8,982.00	...	23.93	4 of 8 - Sale <sup>25</sup>
	320.000	14,262.28	09/02/21	14,230.40	...	31.88	5 of 8 - Sale <sup>25</sup>
	323.000	14,544.57	09/02/21	14,505.93	...	38.64	6 of 8 - Sale <sup>25</sup>
	388.000	17,293.01	09/02/21	17,254.36	...	38.65	7 of 8 - Sale <sup>25</sup>
	350.000	15,760.37	09/02/21	15,718.50	...	41.87	8 of 8 - Sale <sup>25</sup>
09/02/21	1,741.000	77,997.28	Various	77,806.39	...	190.89	Total of 8 transactions
6 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	5.000	225.15	08/19/21	229.00	3.85 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	225.15	09/02/21	228.40	3.25 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.000	311.99	09/02/21	314.37	2.38 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	222.85	09/02/21	225.60	2.75 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	222.85	09/02/21	225.10	2.25 W	0.00	5 of 6 - Sale <sup>25</sup>
	7.000	311.99	09/02/21	313.67	1.68 W	0.00	6 of 6 - Sale <sup>25</sup>
09/02/21	34.000	1,519.98	Various	1,536.14	16.16 W	0.00	Total of 6 transactions
17 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	8.000	322.64	09/02/21	355.76	33.12 W	0.00	1 of 17 - Sale <sup>25</sup>
	5.000	201.65	09/07/21	219.40	17.75 W	0.00	2 of 17 - Sale <sup>25</sup>
	7.000	282.31	09/07/21	305.69	23.38 W	0.00	3 of 17 - Sale <sup>25</sup>
	40.000	1,613.17	09/07/21	1,737.20	124.03 W	0.00	4 of 17 - Sale <sup>25</sup>
	8.000	321.04	09/07/21	380.56	59.52 W	0.00	5 of 17 - Sale <sup>25</sup>
	292.000	11,717.85	09/07/21	12,681.56	963.71 W	0.00	6 of 17 - Sale <sup>25</sup>
	7.000	280.91	09/07/21	304.01	23.10 W	0.00	7 of 17 - Sale <sup>25</sup>
	100.000	4,012.96	09/07/21	4,343.00	330.04 W	0.00	8 of 17 - Sale <sup>25</sup>
	7.000	280.91	09/07/21	304.01	23.10 W	0.00	9 of 17 - Sale <sup>25</sup>
	77.000	3,089.98	09/07/21	3,344.11	254.13 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	200.65	09/07/21	234.90	34.25 W	0.00	11 of 17 - Sale <sup>25</sup>
	8.000	321.04	09/07/21	406.96	85.92 W	0.00	12 of 17 - Sale <sup>25</sup>
	7.000	280.91	09/07/21	327.39	46.48 W	0.00	13 of 17 - Sale <sup>25</sup>
	7.000	280.91	09/07/21	327.11	46.20 W	0.00	14 of 17 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
	14.000	561.81	09/07/21	654.23	92.42 W	0.00	15 of 17 - Sale <sup>25</sup>
	40.000	1,605.19	09/07/21	1,861.23	256.04 W	0.00	16 of 17 - Sale <sup>25</sup>
	292.000	11,717.86	09/07/21	13,645.27	1,927.41 W	0.00	17 of 17 - Sale <sup>25</sup>
09/08/21	924.000	37,091.79	Various	41,432.39	4,340.60 W	0.00	Total of 17 transactions
	35 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	7.000	296.74	09/08/21	308.84	12.10 W	0.00	1 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	308.84	12.10 W	0.00	2 of 35 - Sale <sup>25</sup>
	86.000	3,645.64	09/08/21	3,794.35	148.71 W	0.00	3 of 35 - Sale <sup>25</sup>
	5.000	211.96	09/08/21	238.35	26.39 W	0.00	4 of 35 - Sale <sup>25</sup>
	8.000	339.13	09/08/21	412.48	73.35 W	0.00	5 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	332.22	35.48 W	0.00	6 of 35 - Sale <sup>25</sup>
	10.000	423.91	09/08/21	472.21	48.30 W	0.00	7 of 35 - Sale <sup>25</sup>
	70.000	2,967.37	09/08/21	3,088.43	121.06 W	0.00	8 of 35 - Sale <sup>25</sup>
	30.000	1,271.73	09/08/21	1,416.63	144.90 W	0.00	9 of 35 - Sale <sup>25</sup>
	70.000	2,967.38	09/08/21	3,319.45	352.07 W	0.00	10 of 35 - Sale <sup>25</sup>
	100.000	4,239.12	09/08/21	4,742.07	502.95 W	0.00	11 of 35 - Sale <sup>25</sup>
	100.000	4,239.11	09/08/21	4,743.07	503.96 W	0.00	12 of 35 - Sale <sup>25</sup>
	57.000	2,416.29	09/08/21	2,425.87	9.58 W	0.00	13 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	332.01	35.27 W	0.00	14 of 35 - Sale <sup>25</sup>
	14.000	593.47	09/08/21	664.04	70.57 W	0.00	15 of 35 - Sale <sup>25</sup>
	22.000	932.61	09/08/21	1,043.48	110.87 W	0.00	16 of 35 - Sale <sup>25</sup>
	5.000	211.96	09/08/21	230.54	18.58 W	0.00	17 of 35 - Sale <sup>25</sup>
	8.000	339.13	09/08/21	399.99	60.86 W	0.00	18 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	321.29	24.55 W	0.00	19 of 35 - Sale <sup>25</sup>
	10.000	423.91	09/08/21	456.60	32.69 W	0.00	20 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	297.91	1.17 W	0.00	21 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	297.91	1.17 W	0.00	22 of 35 - Sale <sup>25</sup>
	20.000	847.81	09/08/21	851.19	3.38 W	0.00	23 of 35 - Sale <sup>25</sup>
	29.000	1,229.34	09/08/21	1,234.22	4.88 W	0.00	24 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	297.91	1.17 W	0.00	25 of 35 - Sale <sup>25</sup>
	27.000	1,144.56	09/08/21	1,238.43	93.87 W	0.00	26 of 35 - Sale <sup>25</sup>
	30.000	1,271.73	09/08/21	1,370.04	98.31 W	0.00	27 of 35 - Sale <sup>25</sup>
	36.000	1,526.08	09/08/21	1,532.43	6.35 W	0.00	28 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	297.97	1.23 W	0.00	29 of 35 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
	43.000	1,822.82	09/08/21	1,972.30	149.48 W	0.00	30 of 35 - Sale <sup>25</sup>
	50.000	2,119.55	09/08/21	2,293.38	173.83 W	0.00	31 of 35 - Sale <sup>25</sup>
	7.000	296.74	09/08/21	321.07	24.33 W	0.00	32 of 35 - Sale <sup>25</sup>
	2.000	84.78	09/08/21	91.73	6.95 W	0.00	33 of 35 - Sale <sup>25</sup>
	41.000	1,738.03	09/08/21	1,880.57	142.54 W	0.00	34 of 35 - Sale <sup>25</sup>
	57.000	2,416.30	09/08/21	2,615.03	198.73 W	0.00	35 of 35 - Sale <sup>25</sup>
09/17/21	1,000.000	42,391.12	Various	45,642.85	3,251.73 W	0.00	Total of 35 transactions
	11 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	7.000	293.51	10/01/21	292.22	...	1.29	1 of 11 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	292.16	...	1.35	2 of 11 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	292.16	...	1.35	3 of 11 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	292.16	...	1.35	4 of 11 - Sale <sup>25</sup>
	9.000	377.37	10/01/21	375.64	...	1.73	5 of 11 - Sale <sup>25</sup>
	20.000	838.59	10/01/21	834.78	...	3.81	6 of 11 - Sale <sup>25</sup>
	20.000	838.59	10/01/21	834.77	...	3.82	7 of 11 - Sale <sup>25</sup>
	11.000	461.23	10/01/21	457.27	...	3.96	8 of 11 - Sale <sup>25</sup>
	36.000	1,509.46	10/01/21	1,502.87	...	6.59	9 of 11 - Sale <sup>25</sup>
	30.000	1,257.89	10/01/21	1,247.10	...	10.79	10 of 11 - Sale <sup>25</sup>
	57.000	2,389.98	10/01/21	2,379.07	...	10.91	11 of 11 - Sale <sup>25</sup>
10/01/21	211.000	8,847.15	Various	8,800.20	...	46.95	Total of 11 transactions
	48 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	16.000	670.87	10/01/21	745.75	74.88 W	0.00	1 of 48 - Sale <sup>25</sup>
	5.000	209.65	10/01/21	226.43	16.78 W	0.00	2 of 48 - Sale <sup>25</sup>
	8.000	335.44	10/01/21	393.42	57.98 W	0.00	3 of 48 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	315.54	22.03 W	0.00	4 of 48 - Sale <sup>25</sup>
	10.000	419.30	10/01/21	448.39	29.09 W	0.00	5 of 48 - Sale <sup>25</sup>
	27.000	1,132.10	10/01/21	1,216.26	84.16 W	0.00	6 of 48 - Sale <sup>25</sup>
	30.000	1,257.89	10/01/21	1,345.41	87.52 W	0.00	7 of 48 - Sale <sup>25</sup>
	43.000	1,802.97	10/01/21	1,936.99	134.02 W	0.00	8 of 48 - Sale <sup>25</sup>
	48.000	2,012.62	10/01/21	2,162.24	149.62 W	0.00	9 of 48 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	315.32	21.81 W	0.00	10 of 48 - Sale <sup>25</sup>
	2.000	83.86	10/01/21	90.09	6.23 W	0.00	11 of 48 - Sale <sup>25</sup>
	23.000	964.38	10/01/21	1,072.02	107.64 W	0.00	12 of 48 - Sale <sup>25</sup>
	7.000	293.51	10/01/21	326.26	32.75 W	0.00	13 of 48 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
14.000		587.01	10/01/21	652.55	65.54 W	0.00	14 of 48 - Sale <sup>25</sup>
22.000		922.45	10/01/21	1,025.41	102.96 W	0.00	15 of 48 - Sale <sup>25</sup>
4.000		167.72	10/01/21	186.44	18.72 W	0.00	16 of 48 - Sale <sup>25</sup>
5.000		209.65	10/01/21	224.63	14.98 W	0.00	17 of 48 - Sale <sup>25</sup>
8.000		335.44	10/01/21	390.54	55.10 W	0.00	18 of 48 - Sale <sup>25</sup>
2.000		83.86	10/01/21	90.09	6.23 W	0.00	19 of 48 - Sale <sup>25</sup>
13.000		545.08	10/01/21	585.61	40.53 W	0.00	20 of 48 - Sale <sup>25</sup>
7.000		293.51	10/01/21	313.02	19.51 W	0.00	21 of 48 - Sale <sup>25</sup>
10.000		419.29	10/01/21	444.79	25.50 W	0.00	22 of 48 - Sale <sup>25</sup>
18.000		754.73	10/01/21	804.37	49.64 W	0.00	23 of 48 - Sale <sup>25</sup>
30.000		1,257.89	10/01/21	1,334.62	76.73 W	0.00	24 of 48 - Sale <sup>25</sup>
28.000		1,174.03	10/01/21	1,261.30	87.27 W	0.00	25 of 48 - Sale <sup>25</sup>
57.000		2,389.99	10/01/21	2,568.22	178.23 W	0.00	26 of 48 - Sale <sup>25</sup>
2.000		83.86	10/01/21	89.37	5.51 W	0.00	27 of 48 - Sale <sup>25</sup>
7.000		293.51	10/01/21	312.80	19.29 W	0.00	28 of 48 - Sale <sup>25</sup>
9.000		377.37	10/01/21	402.18	24.81 W	0.00	29 of 48 - Sale <sup>25</sup>
43.000		1,802.97	10/01/21	1,921.53	118.56 W	0.00	30 of 48 - Sale <sup>25</sup>
48.000		2,012.62	10/01/21	2,144.98	132.36 W	0.00	31 of 48 - Sale <sup>25</sup>
2.000		83.86	10/01/21	92.50	8.64 W	0.00	32 of 48 - Sale <sup>25</sup>
16.000		670.87	10/01/21	740.00	69.13 W	0.00	33 of 48 - Sale <sup>25</sup>
23.000		964.38	10/01/21	1,063.75	99.37 W	0.00	34 of 48 - Sale <sup>25</sup>
2.000		83.86	10/01/21	92.50	8.64 W	0.00	35 of 48 - Sale <sup>25</sup>
7.000		293.51	10/01/21	323.74	30.23 W	0.00	36 of 48 - Sale <sup>25</sup>
14.000		587.01	10/01/21	647.52	60.51 W	0.00	37 of 48 - Sale <sup>25</sup>
22.000		922.45	10/01/21	1,017.50	95.05 W	0.00	38 of 48 - Sale <sup>25</sup>
5.000		209.65	10/01/21	222.83	13.18 W	0.00	39 of 48 - Sale <sup>25</sup>
8.000		335.44	10/01/21	387.66	52.22 W	0.00	40 of 48 - Sale <sup>25</sup>
2.000		83.86	10/01/21	89.37	5.51 W	0.00	41 of 48 - Sale <sup>25</sup>
7.000		293.51	10/01/21	310.50	16.99 W	0.00	42 of 48 - Sale <sup>25</sup>
10.000		419.29	10/01/21	441.20	21.91 W	0.00	43 of 48 - Sale <sup>25</sup>
13.000		545.08	10/01/21	580.94	35.86 W	0.00	44 of 48 - Sale <sup>25</sup>
9.000		377.37	10/01/21	397.15	19.78 W	0.00	45 of 48 - Sale <sup>25</sup>
28.000		1,174.03	10/01/21	1,251.23	77.20 W	0.00	46 of 48 - Sale <sup>25</sup>
57.000		2,389.98	10/01/21	2,547.72	157.74 W	0.00	47 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119312288

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
10/01/21	4.000	167.72	10/01/21	176.51	8.79 W	0.00	48 of 48 - Sale <sup>25</sup>
	789.000	33,082.46	Various	35,729.19	2,646.73 W	0.00	Total of 48 transactions
	28 transactions for 10/21/21. Total proceeds and cost reported to the IRS.						
	23.000	963.69	10/06/21	1,069.28	...	-105.59	1 of 28 - Sale <sup>25</sup>
	34.000	1,424.59	10/06/21	1,527.87	...	-103.28	2 of 28 - Sale <sup>25</sup>
	28.000	1,173.19	10/06/21	1,257.96	...	-84.77	3 of 28 - Sale <sup>25</sup>
	300.000	12,569.88	10/06/21	12,651.00	...	-81.12	4 of 28 - Sale <sup>25</sup>
	16.000	670.39	10/06/21	743.85	...	-73.46	5 of 28 - Sale <sup>25</sup>
	14.000	586.59	10/06/21	650.89	...	-64.30	6 of 28 - Sale <sup>25</sup>
	13.000	544.70	10/06/21	604.38	...	-59.68	7 of 28 - Sale <sup>25</sup>
	8.000	335.20	10/06/21	389.58	...	-54.38	8 of 28 - Sale <sup>25</sup>
	200.000	8,379.92	10/06/21	8,434.00	...	-54.08	9 of 28 - Sale <sup>25</sup>
	14.000	586.59	10/06/21	629.12	...	-42.53	10 of 28 - Sale <sup>25</sup>
	9.000	377.10	10/06/21	418.41	...	-41.31	11 of 28 - Sale <sup>25</sup>
	13.000	544.70	10/06/21	584.07	...	-39.37	12 of 28 - Sale <sup>25</sup>
	7.000	293.30	10/06/21	325.42	...	-32.12	13 of 28 - Sale <sup>25</sup>
	9.000	377.10	10/06/21	404.44	...	-27.34	14 of 28 - Sale <sup>25</sup>
	10.000	419.00	10/06/21	443.61	...	-24.61	15 of 28 - Sale <sup>25</sup>
	84.000	3,519.57	10/06/21	3,542.28	...	-22.71	16 of 28 - Sale <sup>25</sup>
	7.000	293.30	10/06/21	314.48	...	-21.18	17 of 28 - Sale <sup>25</sup>
	7.000	293.30	10/06/21	312.18	...	-18.88	18 of 28 - Sale <sup>25</sup>
	7.000	293.30	10/06/21	310.57	...	-17.27	19 of 28 - Sale <sup>25</sup>
	5.000	209.50	10/06/21	224.03	...	-14.53	20 of 28 - Sale <sup>25</sup>
	4.000	167.60	10/06/21	177.47	...	-9.87	21 of 28 - Sale <sup>25</sup>
	2.000	83.80	10/06/21	92.98	...	-9.18	22 of 28 - Sale <sup>25</sup>
	2.000	83.80	10/06/21	92.98	...	-9.18	23 of 28 - Sale <sup>25</sup>
	31.000	1,298.89	10/06/21	1,307.27	...	-8.38	24 of 28 - Sale <sup>25</sup>
	2.000	83.80	10/06/21	89.85	...	-6.05	25 of 28 - Sale <sup>25</sup>
	2.000	83.80	10/06/21	89.85	...	-6.05	26 of 28 - Sale <sup>25</sup>
	2.000	83.80	10/06/21	88.74	...	-4.94	27 of 28 - Sale <sup>25</sup>
	12.000	502.80	10/06/21	506.04	...	-3.24	28 of 28 - Sale <sup>25</sup>
10/21/21	865.000	36,243.20	Various	37,282.60	...	-1,039.40	Total of 28 transactions

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Robinhood Securities LLC

Account 119312288

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: (cont'd)							
6 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	35.000	1,466.49	10/06/21	1,572.46	30.30 W	-75.67	1 of 6 - Sale <sup>25</sup>
	9.000	377.10	10/06/21	404.34	27.24 W	0.00	2 of 6 - Sale <sup>25</sup>
	13.000	544.70	10/06/21	584.06	39.36 W	0.00	3 of 6 - Sale <sup>25</sup>
	17.000	712.29	10/06/21	760.37	48.08 W	0.00	4 of 6 - Sale <sup>25</sup>
	18.000	754.19	10/06/21	808.70	54.51 W	0.00	5 of 6 - Sale <sup>25</sup>
	43.000	1,801.69	10/06/21	1,931.87	130.18 W	0.00	6 of 6 - Sale <sup>25</sup>
10/21/21	135.000	5,656.46	Various	6,061.80	329.67 W	-75.67	Total of 6 transactions
17 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	18.62	10/25/21	41.43	22.81 W	0.00	1 of 17 - Sale <sup>25</sup>
	16.000	297.94	10/29/21	636.45	338.51 W	0.00	2 of 17 - Sale <sup>25</sup>
	3.000	55.86	10/29/21	119.94	64.08 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	18.62	10/29/21	39.98	21.36 W	0.00	4 of 17 - Sale <sup>25</sup>
	9.000	167.59	10/29/21	359.79	192.20 W	0.00	5 of 17 - Sale <sup>25</sup>
	13.000	242.07	10/29/21	519.71	277.64 W	0.00	6 of 17 - Sale <sup>25</sup>
	15.000	279.31	10/29/21	599.67	320.36 W	0.00	7 of 17 - Sale <sup>25</sup>
	43.000	800.70	10/29/21	1,719.05	918.35 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/10/21	37.73	19.11 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/10/21	37.53	18.91 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/10/21	37.43	18.81 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/10/21	37.33	18.71 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/10/21	37.03	18.41 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/16/21	36.57	17.95 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/17/21	36.03	17.41 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	18.63	11/18/21	34.69	16.06 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	18.62	11/18/21	34.10	15.48 W	0.00	17 of 17 - Sale <sup>25</sup>
12/23/21	110.000	2,048.30	Various	4,364.46	2,316.16 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>274,687.67</b>		<b>288,197.02</b>	<b>12,901.05 W</b>	<b>-608.30</b>	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
06/23/21	100.000	154.99	05/06/21	145.00	...	9.99	Sale <sup>25</sup>
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
06/23/21	10.000	108.51	05/07/21	100.00	...	8.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119312288

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SOS LIMITED / CUSIP: 83587W106 / Symbol:							
07/26/21	25.000	66.75	05/06/21	106.25	...	-39.50	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
04/29/21	8.000	5,431.57	04/29/21	5,428.79	...	2.78	Sale <sup>25</sup>
04/30/21	19.000	13,474.59	04/30/21	13,198.24	...	276.35	Sale <sup>25</sup>
05/13/21	20.000	11,974.41	05/13/21	12,054.00	...	-79.59	Sale <sup>25</sup>
07/02/21	40.000	27,539.85	07/02/21	27,520.00	...	19.85	Sale <sup>25</sup>
07/09/21	25.000	16,246.29	07/09/21	16,333.50	...	-87.21	Sale <sup>25</sup>
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	1,073.24	11/10/21	1,058.00	...	15.24	1 of 3 - Sale <sup>25</sup>
	1.000	1,088.52	11/10/21	1,032.00	...	56.52	2 of 3 - Sale <sup>25</sup>
	30.000	32,655.58	11/10/21	31,740.00	...	915.58	3 of 3 - Sale <sup>25</sup>
11/11/21	32.000	34,817.34	Various	33,830.00	...	987.34	Total of 3 transactions
11/11/21	30.000	32,197.34	11/11/21	32,450.34	253.00 W	0.00	Sale <sup>25</sup>
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1,083.99	11/11/21	1,081.68	...	2.31	1 of 3 - Sale <sup>25</sup>
	13.000	14,091.93	12/02/21	14,040.00	...	51.93	2 of 3 - Sale <sup>25</sup>
	21.000	22,763.88	12/02/21	22,680.00	...	83.88	3 of 3 - Sale <sup>25</sup>
12/02/21	35.000	37,939.80	Various	37,801.68	...	138.12	Total of 3 transactions
12/02/21	30.000	32,519.83	12/02/21	32,653.00	133.17 W	0.00	Sale <sup>25</sup>
3 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	19.000	19,208.90	12/03/21	19,198.55	...	10.35	1 of 3 - Sale <sup>25</sup>
	20.000	20,219.89	12/03/21	20,208.60	...	11.29	2 of 3 - Sale <sup>25</sup>
	38.000	38,780.32	12/03/21	38,687.80	...	92.52	3 of 3 - Sale <sup>25</sup>
12/03/21	77.000	78,209.11	Various	78,094.95	...	114.16	Total of 3 transactions
7 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,010.99	12/02/21	1,080.00	69.01 W	0.00	1 of 7 - Sale <sup>25</sup>
	30.000	30,329.84	12/03/21	30,446.07	116.23 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	1,020.80	12/03/21	1,079.46	58.66 W	0.00	3 of 7 - Sale <sup>25</sup>
	9.000	9,187.24	12/03/21	9,197.77	10.53 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	1,020.54	12/03/21	1,076.76	56.22 W	0.00	5 of 7 - Sale <sup>25</sup>
	14.000	14,287.63	12/03/21	14,307.64	20.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	7.000	7,143.74	12/03/21	7,153.82	10.08 W	0.00	7 of 7 - Sale <sup>25</sup>
12/03/21	63.000	64,000.78	Various	64,341.52	340.74 W	0.00	Total of 7 transactions

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Account 119312288

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
6 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1,038.04	12/03/21	1,018.10	...	19.94	1 of 6 - Sale <sup>25</sup>
	4.000	4,152.18	12/03/21	4,098.36	...	53.82	2 of 6 - Sale <sup>25</sup>
	7.000	7,266.31	12/03/21	7,182.21	...	84.10	3 of 6 - Sale <sup>25</sup>
	9.000	9,342.40	12/03/21	9,231.84	...	110.56	4 of 6 - Sale <sup>25</sup>
	14.000	14,532.63	12/03/21	14,364.27	...	168.36	5 of 6 - Sale <sup>25</sup>
	35.000	36,331.56	12/03/21	35,860.65	...	470.91	6 of 6 - Sale <sup>25</sup>
12/07/21	70.000	72,663.12	Various	71,755.43	...	907.69	Total of 6 transactions
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	1,038.04	12/03/21	1,080.81	42.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,037.61	12/07/21	1,081.77	44.16 W	0.00	2 of 2 - Sale <sup>25</sup>
12/07/21	2.000	2,075.65	Various	2,162.58	86.93 W	0.00	Total of 2 transactions
8 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	0.100	104.95	12/09/21	100.69	...	4.26	1 of 8 - Sale <sup>25</sup>
	0.100	104.95	12/09/21	100.08	...	4.87	2 of 8 - Sale <sup>25</sup>
	1.000	1,049.46	12/09/21	1,014.76	...	34.70	3 of 8 - Sale <sup>25</sup>
	0.800	839.57	12/09/21	803.71	...	35.86	4 of 8 - Sale <sup>25</sup>
	1.000	1,049.43	12/10/21	1,039.39	...	10.04	5 of 8 - Sale <sup>25</sup>
	17.000	17,840.28	12/10/21	17,272.00	...	568.28	6 of 8 - Sale <sup>25</sup>
	24.000	25,187.10	12/10/21	24,384.00	...	803.10	7 of 8 - Sale <sup>25</sup>
	25.000	26,235.71	12/10/21	25,400.00	...	835.71	8 of 8 - Sale <sup>25</sup>
12/23/21	69.000	72,411.45	Various	70,114.63	...	2,296.82	Total of 8 transactions
12/23/21	1.000	1,049.46	12/07/21	1,072.85	23.39 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>502,550.59</b>		<b>498,811.51</b>	<b>837.23 W</b>	<b>4,576.31</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
05/11/21	87.000	4,519.18	05/11/21	4,493.46	...	25.72	Sale <sup>25</sup>
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	10.000	519.45	04/30/21	567.30	47.85 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	103.89	05/03/21	109.01	5.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	51.94	05/04/21	53.50	1.56 W	0.00	3 of 3 - Sale <sup>25</sup>
05/11/21	13.000	675.28	Various	729.81	54.53 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 119312288

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
<i>4 transactions for 05/12/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	515.91	05/11/21	564.34	48.43 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	103.18	05/11/21	108.42	5.24 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	51.59	05/11/21	53.21	1.62 W	0.00	3 of 4 - Sale <sup>25</sup>
	87.000	4,488.37	05/12/21	4,522.20	33.83 W	0.00	4 of 4 - Sale <sup>25</sup>
05/12/21	100.000	5,159.05	Various	5,248.17	89.12 W	0.00	Total of 4 transactions
<i>5 transactions for 05/24/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	568.70	05/12/21	568.22	...	0.48	1 of 5 - Sale <sup>25</sup>
	1.000	56.87	05/12/21	53.60	...	3.27	2 of 5 - Sale <sup>25</sup>
	2.000	113.74	05/12/21	109.20	...	4.54	3 of 5 - Sale <sup>25</sup>
	87.000	4,947.65	05/24/21	4,962.03	...	-14.38	4 of 5 - Sale <sup>25</sup>
	150.000	8,530.43	05/24/21	8,496.88	...	33.55	5 of 5 - Sale <sup>25</sup>
05/24/21	250.000	14,217.39	Various	14,189.93	...	27.46	Total of 5 transactions
<i>2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.</i>							
	13.000	869.30	05/24/21	736.40	...	132.90	1 of 2 - Sale <sup>25</sup>
	237.000	15,848.08	07/08/21	15,859.47	...	-11.39	2 of 2 - Sale <sup>25</sup>
07/08/21	250.000	16,717.38	Various	16,595.87	...	121.51	Total of 2 transactions
<i>2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.</i>							
	87.000	5,628.90	08/11/21	5,639.34	...	-10.44	1 of 2 - Sale <sup>25</sup>
	87.000	5,659.31	08/11/21	5,650.22	...	9.09	2 of 2 - Sale <sup>25</sup>
08/11/21	174.000	11,288.21	Various	11,289.56	...	-1.35	Total of 2 transactions
<i>2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.</i>							
	13.000	845.64	07/08/21	869.93	24.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	13.000	841.10	08/11/21	868.57	27.47 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/21	26.000	1,686.74	Various	1,738.50	51.76 W	0.00	Total of 2 transactions
09/28/21	66.000	4,135.53	09/28/21	4,123.68	...	11.85	Sale <sup>25</sup>
09/28/21	13.000	814.57	08/11/21	870.13	55.56 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	13.000	786.90	09/30/21	783.90	...	3.00	1 of 3 - Sale <sup>25</sup>
	25.000	1,513.26	09/30/21	1,507.50	...	5.76	2 of 3 - Sale <sup>25</sup>
	28.000	1,694.85	09/30/21	1,688.40	...	6.45	3 of 3 - Sale <sup>25</sup>
09/30/21	66.000	3,995.01	Various	3,979.80	...	15.21	Total of 3 transactions

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Robinhood Securities LLC

Account 119312288

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	13.000	786.90	09/28/21	867.80	80.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	60.53	09/28/21	62.48	1.95 W	0.00	2 of 2 - Sale <sup>25</sup>
09/30/21	14.000	847.43	Various	930.28	82.85 W	0.00	Total of 2 transactions
10/04/21	3.000	175.80	10/04/21	174.00	...	1.80	Sale <sup>25</sup>
2 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	13.000	761.79	09/30/21	864.80	103.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	58.60	09/30/21	62.25	3.65 W	0.00	2 of 2 - Sale <sup>25</sup>
10/04/21	14.000	820.39	Various	927.05	106.66 W	0.00	Total of 2 transactions
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	13.000	694.58	10/04/21	857.01	...	-162.43	1 of 3 - Sale <sup>25</sup>
	1.000	53.43	10/04/21	61.65	...	-8.22	2 of 3 - Sale <sup>25</sup>
	650.000	34,729.24	10/28/21	35,573.59	...	-844.35	3 of 3 - Sale <sup>25</sup>
11/03/21	664.000	35,477.25	Various	36,492.25	...	-1,015.00	Total of 3 transactions
	<b>Security total:</b>	<b>100,529.21</b>		<b>101,782.49</b>	<b>440.48 W</b>	<b>-812.80</b>	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
6 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	56.000	14,074.96	11/10/21	14,256.48	...	-181.52	1 of 6 - Sale <sup>25</sup>
	15.000	3,770.08	11/10/21	3,867.32	...	-97.24	2 of 6 - Sale <sup>25</sup>
	18.000	4,524.10	11/10/21	4,582.44	...	-58.34	3 of 6 - Sale <sup>25</sup>
	6.000	1,508.03	11/10/21	1,546.93	...	-38.90	4 of 6 - Sale <sup>25</sup>
	6.000	1,508.03	11/10/21	1,546.93	...	-38.90	5 of 6 - Sale <sup>25</sup>
	1.000	251.34	11/10/21	257.82	...	-6.48	6 of 6 - Sale <sup>25</sup>
11/10/21	102.000	25,636.54	Various	26,057.92	...	-421.38	Total of 6 transactions
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	251.34	11/10/21	254.58	3.24 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	3,770.08	11/10/21	3,818.70	48.62 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.000	1,508.03	11/10/21	1,527.48	19.45 W	0.00	3 of 4 - Sale <sup>25</sup>
	6.000	1,508.03	11/10/21	1,527.48	19.45 W	0.00	4 of 4 - Sale <sup>25</sup>
11/10/21	28.000	7,037.48	Various	7,128.24	90.76 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>32,674.02</b>		<b>33,186.16</b>	<b>90.76 W</b>	<b>-421.38</b>	

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Robinhood Securities LLC

Account 119312288

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
THE VITA COCO COMPANY, INC. CO MMON STOCK / CUSIP: 92846Q107 / Symbol:							
10/21/21	23.000	363.63	10/21/21	345.00	...	18.63	Sale <sup>25</sup>
NEWEGG COMMERCE, INC. COMMON S HARES / CUSIP: G6483G100 / Symbol:							
11/16/21	10.000	190.00	11/16/21	177.30	...	12.70	Sale <sup>25</sup>
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
06/25/21	100.000	1,216.98	06/25/21	1,306.72	...	-89.74	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,030,594.45</b>		<b>1,047,254.55</b>	<b>15,212.72 W</b>	<b>-1,447.38</b>	

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Robinhood Securities LLC

Account 119312288

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/20/21	-5.00	Margin interest paid	
		05/20/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
Margin charge		08/18/21	-5.00	Margin interest paid	
		09/17/21	-5.00	Margin interest paid	
		10/18/21	-5.00	Margin interest paid	
		11/16/21	-5.00	Margin interest paid	
		12/16/21	-5.00	Margin interest paid	
		12/16/21	-10.20	Margin interest paid	
			-35.20	Total Margin interest paid	
			<b>-55.20</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.