

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 115610628	Statement Date: 02/11/2022 Document ID: R8GM VX1 4YX3	2021
	Vamsi krishna Pedomallu 12810 Primrose Ln Apt 216 Eden Prairie, MN 55344-7628 RECIPIENT'S TIN: XXX-XX-8886		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	177,332.72	219,796.32	0.00	15,802.49	-26,661.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.67	1.58	0.00	0.00	3.09
	Total Short-term	177,337.39	219,797.90	0.00	15,802.49	-26,658.02
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	177,337.39	219,797.90	0.00	15,802.49	-26,658.02
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 115610628

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME**2021 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	60.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$134.00 / CUSIP: / Symbol:							
01/07/21	1.000	26.98	12/28/20	370.00	...	-343.02	Option sale to close-call ²⁵
AAPL 01/21/2022 CALL \$155.00 / CUSIP: / Symbol:							
11/09/21	1.000	444.98	11/05/21	455.00	...	-10.02	Option sale to close-call ²⁵
11/12/21	1.000	323.98	11/05/21	455.00	...	-131.02	Option sale to close-call ²⁵
	Security total:	768.96		910.00	...	-141.04	
AAPL 01/29/2021 CALL \$142.00 / CUSIP: / Symbol:							
01/22/21	1.000	257.98	01/21/21	222.00	...	35.98	Option sale to close-call ²⁵
AAPL 03/05/2021 CALL \$134.00 / CUSIP: / Symbol:							
02/23/21	1.000	20.98	02/16/21	305.00	...	-284.02	Option sale to close-call ²⁵
AAPL 03/18/2022 CALL \$160.00 / CUSIP: / Symbol:							
11/22/21	1.000	1,184.98	11/19/21	955.00	...	229.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/30/2021 CALL \$136.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.98	04/28/21	185.00	...	-184.02	Option sale to close-call ²⁵
AAPL 07/30/2021 CALL \$149.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	07/14/21	365.00	...	-365.00	Option expiration- long call
AAPL 08/27/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/02/21	1.000	145.98	07/14/21	500.00	...	-354.02	Option sale to close-call ²⁵
AAPL 09/03/2021 CALL \$155.00 / CUSIP: / Symbol:							
09/03/21	1.000	20.98	09/02/21	36.00	...	-15.02	Option sale to close-call ²⁵
AAPL 11/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/18/21	3.000	122.98	11/17/21	285.00	...	-162.02	Option sale to close-call ²⁵
AAPL 11/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/19/21	10.000	369.97	11/18/21	370.00	...	-0.03	Option sale to close-call ²⁵
AAPL 11/26/2021 CALL \$147.00 / CUSIP: / Symbol:							
11/01/21	1.000	364.98	10/29/21	315.00	...	49.98	Option sale to close-call ²⁵
AAPL 11/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	825.98	11/17/21	372.00	...	453.98	1 of 2 - Option sale to close-call ²⁵
	3.000	1,238.98	11/17/21	558.00	...	680.98	2 of 2 - Option sale to close-call ²⁵
11/18/21	5.000	2,064.96	Various	930.00	...	1,134.96	Total of 2 transactions
<i>2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,119.98	11/17/21	372.00	...	747.98	1 of 2 - Option sale to close-call ²⁵
	3.000	1,769.98	11/17/21	558.00	...	1,211.98	2 of 2 - Option sale to close-call ²⁵
11/19/21	5.000	2,889.96	Various	930.00	...	1,959.96	Total of 2 transactions
	Security total:	4,954.92		1,860.00	...	3,094.92	
AAPL 11/26/2021 CALL \$162.50 / CUSIP: / Symbol:							
<i>5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	252.98	11/22/21	203.00	...	49.98	1 of 5 - Option sale to close-call ²⁵
	1.000	335.99	11/22/21	203.00	...	132.99	2 of 5 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/26/2021 CALL \$162.50 / CUSIP: / Symbol: (cont'd)							
	2.000	671.98	11/22/21	406.00	...	265.98	3 of 5 - Option sale to close-call ²⁵
	2.000	671.99	11/22/21	406.00	...	265.99	4 of 5 - Option sale to close-call ²⁵
	4.000	1,279.98	11/22/21	812.00	...	467.98	5 of 5 - Option sale to close-call ²⁵
11/22/21	10.000	3,212.92	Various	2,030.00	...	1,182.92	Total of 5 transactions
AAPL 12/03/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	1.000	394.98	11/29/21	236.00	...	158.98	1 of 2 - Option sale to close-call ²⁵
	1.000	414.98	11/29/21	224.00	...	190.98	2 of 2 - Option sale to close-call ²⁵
11/30/21	2.000	809.96	Various	460.00	...	349.96	Total of 2 transactions
AAPL 12/10/2021 CALL \$172.50 / CUSIP: / Symbol:							
12/08/21	1.000	169.98	12/07/21	127.00	...	42.98	Option sale to close-call ²⁵
AAPL 12/17/2021 CALL \$167.50 / CUSIP: / Symbol:							
12/02/21	1.000	236.98	12/01/21	444.00	...	-207.02	Option sale to close-call ²⁵
AAPL 12/17/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	1.000	559.98	12/07/21	355.00	...	204.98	1 of 2 - Option sale to close-call ²⁵
	2.000	999.98	12/07/21	710.00	...	289.98	2 of 2 - Option sale to close-call ²⁵
12/08/21	3.000	1,559.96	Various	1,065.00	...	494.96	Total of 2 transactions
AAPL 12/17/2021 CALL \$175.00 / CUSIP: / Symbol:							
12/08/21	1.000	221.98	12/07/21	172.00	...	49.98	Option sale to close-call ²⁵
ACB 02/26/2021 CALL \$17.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	02/16/21	317.01	...	-316.02	1 of 3 - Option sale to close-call ²⁵
	1.000	1.00	02/16/21	85.01	...	-84.01	2 of 3 - Option sale to close-call ²⁵
	1.000	0.99	02/16/21	43.00	...	-42.01	3 of 3 - Option sale to close-call ²⁵
02/23/21	3.000	2.98	Various	445.02	...	-442.04	Total of 3 transactions

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Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 02/26/2021 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	02/11/21	275.00	274.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	02/16/21	43.00	42.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/23/21	2.000	1.98	Various	318.00	316.02 W	0.00	Total of 2 transactions
	Security total:	4.96		763.02	316.02 W	-442.04	
AMC 01/21/2022 CALL \$41.00 / CUSIP: / Symbol:							
11/22/21	1.000	769.98	11/18/21	755.00	...	14.98	Option sale to close-call ²⁵
AMC 02/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	17.98	02/08/21	63.00	...	-45.02	1 of 3 - Option sale to close-call ²⁵
	1.000	17.98	02/08/21	63.00	...	-45.02	2 of 3 - Option sale to close-call ²⁵
	1.000	18.98	02/08/21	63.00	...	-44.02	3 of 3 - Option sale to close-call ²⁵
02/11/21	3.000	54.94	Various	189.00	...	-134.06	Total of 3 transactions
AMC 02/12/2021 PUT \$8.00 / CUSIP: / Symbol:							
01/28/21	1.000	279.98	01/27/21	390.00	...	-110.02	Option sale to close-put ²⁵
AMD 11/26/2021 CALL \$162.50 / CUSIP: / Symbol:							
11/22/21	1.000	141.98	11/09/21	500.00	...	-358.02	Option sale to close-call ²⁵
AMZN 01/21/2022 CALL \$3,950.00 / CUSIP: / Symbol:							
12/03/21	1.000	1,699.98	11/22/21	5,700.00	...	-4,000.02	Option sale to close-call ²⁵
AMZN 04/30/2021 CALL \$3,535.00 / CUSIP: / Symbol:							
04/30/21	1.000	1,739.98	04/28/21	3,650.00	...	-1,910.02	Option sale to close-call ²⁵
AMZN 05/07/2021 CALL \$3,720.00 / CUSIP: / Symbol:							
04/30/21	1.000	764.98	04/28/21	1,875.00	...	-1,110.02	Option sale to close-call ²⁵
AMZN 06/25/2021 CALL \$3,570.00 / CUSIP: / Symbol:							
06/24/21	1.000	304.98	06/23/21	655.00	...	-350.02	Option sale to close-call ²⁵
AMZN 10/22/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
10/20/21	1.000	170.98	10/19/21	475.00	...	-304.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 11/05/2021 CALL \$3,375.00 / CUSIP: / Symbol:							
11/03/21	1.000	2,799.97	11/02/21	1,500.00	...	1,299.97	Option sale to close-call ²⁵
AMZN 11/05/2021 CALL \$3,420.00 / CUSIP: / Symbol:							
11/05/21	1.000	12,709.92	11/04/21	800.00	...	11,909.92	Option sale to close-call ²⁵
AMZN 11/12/2021 CALL \$3,420.00 / CUSIP: / Symbol:							
11/05/21	1.000	11,349.93	11/02/21	1,210.00	...	10,139.93	Option sale to close-call ²⁵
AMZN 11/12/2021 CALL \$3,760.00 / CUSIP: / Symbol:							
5 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/08/21	1,073.00	...	-1,073.00	1 of 5 - Option expiration- long call
	1.000	0.00	11/10/21	700.00	...	-700.00	2 of 5 - Option expiration- long call
	3.000	0.00	11/10/21	660.00	...	-660.00	3 of 5 - Option expiration- long call
	1.000	0.00	11/10/21	610.00	...	-610.00	4 of 5 - Option expiration- long call
	2.000	0.00	11/10/21	374.00	...	-374.00	5 of 5 - Option expiration- long call
11/12/21	8.000	0.00	Various	3,417.00	...	-3,417.00	Total of 5 transactions
AMZN 11/12/2021 CALL \$3,770.00 / CUSIP: / Symbol:							
4 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/05/21	940.00	...	-940.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/05/21	690.00	...	-690.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/09/21	185.00	...	-185.00	3 of 4 - Option expiration- long call
	1.000	0.00	11/10/21	131.00	...	-131.00	4 of 4 - Option expiration- long call
11/12/21	4.000	0.00	Various	1,946.00	...	-1,946.00	Total of 4 transactions
AMZN 11/19/2021 CALL \$3,595.00 / CUSIP: / Symbol:							
11/17/21	1.000	2,099.97	11/12/21	1,205.00	...	894.97	Option sale to close-call ²⁵
11/18/21	1.000	7,999.94	11/12/21	1,500.00	...	6,499.94	Option sale to close-call ²⁵
Security total:		10,099.91		2,705.00	...	7,394.91	
AMZN 11/19/2021 CALL \$3,660.00 / CUSIP: / Symbol:							
11/15/21	1.000	1,764.98	11/09/21	2,420.00	655.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	2,849.97	11/10/21	2,825.02	...	24.95	1 of 2 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMZN 11/19/2021 CALL \$3,660.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3,144.97	11/18/21	900.00	...	2,244.97	2 of 2 - Option sale to close-call ²⁵
11/18/21	2.000	5,994.94	Various	3,725.02	...	2,269.92	Total of 2 transactions
	Security total:	7,759.92		6,145.02	655.02 W	2,269.92	
AMZN 11/19/2021 CALL \$3,770.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/18/21	275.00	...	-275.00	Option expiration- long call
AMZN 11/26/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
11/19/21	1.000	4,769.96	11/16/21	1,800.00	...	2,969.96	Option sale to close-call ²⁵
AMZN 11/26/2021 PUT \$3,530.00 / CUSIP: / Symbol:							
11/22/21	1.000	1,379.98	11/19/21	590.00	...	789.98	Option sale to close-put ²⁵
AMZN 12/03/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.98	12/02/21	1,314.02	...	-1,313.04	Option sale to close-call ²⁵
12/03/21	1.000	0.98	12/02/21	935.00	934.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1.96		2,249.02	934.02 W	-1,313.04	
AMZN 12/03/2021 CALL \$3,560.00 / CUSIP: / Symbol:							
12/03/21	1.000	1.98	12/02/21	350.00	...	-348.02	Option sale to close-call ²⁵
AMZN 12/03/2021 CALL \$3,740.00 / CUSIP: / Symbol:							
	2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	25.99	11/24/21	1,969.00	...	-1,943.01	1 of 2 - Option sale to close-call ²⁵
	1.000	25.99	11/26/21	1,165.00	...	-1,139.01	2 of 2 - Option sale to close-call ²⁵
12/02/21	2.000	51.98	Various	3,134.00	...	-3,082.02	Total of 2 transactions
AMZN 12/03/2021 CALL \$3,750.00 / CUSIP: / Symbol:							
	5 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	22.00	11/24/21	2,345.00	...	-2,323.00	1 of 5 - Option sale to close-call ²⁵
	1.000	22.00	11/30/21	455.00	...	-433.00	2 of 5 - Option sale to close-call ²⁵
	1.000	21.99	11/30/21	430.00	...	-408.01	3 of 5 - Option sale to close-call ²⁵
	2.000	43.99	11/30/21	378.00	...	-334.01	4 of 5 - Option sale to close-call ²⁵
	1.000	22.00	11/30/21	190.00	...	-168.00	5 of 5 - Option sale to close-call ²⁵
12/02/21	6.000	131.98	Various	3,798.00	...	-3,666.02	Total of 5 transactions

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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AMZN 12/03/2021 CALL \$3,980.00 / CUSIP: / Symbol:							
4 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	1.000	499.99	11/19/21	2,000.00	...	-1,500.01	1 of 4 - Option sale to close-call ²⁵
	1.000	499.99	11/19/21	1,500.00	...	-1,000.01	2 of 4 - Option sale to close-call ²⁵
	1.000	500.00	11/22/21	880.00	...	-380.00	3 of 4 - Option sale to close-call ²⁵
	1.000	499.99	11/22/21	765.00	...	-265.01	4 of 4 - Option sale to close-call ²⁵
11/24/21	4.000	1,999.97	Various	5,145.00	...	-3,145.03	Total of 4 transactions
AMZN 12/10/2021 CALL \$3,530.00 / CUSIP: / Symbol:							
12/06/21	1.000	1,199.98	12/06/21	1,015.00	...	184.98	Option sale to close-call ²⁵
AMZN 12/10/2021 CALL \$3,560.00 / CUSIP: / Symbol:							
12/07/21	1.000	1,254.98	12/07/21	1,700.00	...	-445.02	Option sale to close-call ²⁵
AMZN 12/10/2021 CALL \$3,575.00 / CUSIP: / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	13.99	12/08/21	1,260.00	...	-1,246.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	12/09/21	725.00	...	-711.01	2 of 2 - Option sale to close-call ²⁵
12/10/21	2.000	27.98	Various	1,985.00	...	-1,957.02	Total of 2 transactions
AMZN 12/17/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
12/17/21	1.000	35.98	12/16/21	955.00	...	-919.02	Option sale to close-call ²⁵
AMZN 12/17/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
12/16/21	1.000	190.98	12/15/21	725.00	...	-534.02	Option sale to close-call ²⁵
AMZN 12/17/2021 CALL \$3,575.00 / CUSIP: / Symbol:							
12/16/21	1.000	146.98	12/15/21	450.00	...	-303.02	Option sale to close-call ²⁵
APHA 02/19/2021 CALL \$23.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/11/21	380.00	...	-380.00	Option expiration- long call
ARKK 03/19/2021 CALL \$132.96 / CUSIP: / Symbol:							
03/02/21	1.000	778.98	02/26/21	650.00	...	128.98	Option sale to close-call ²⁵
ARKK 09/10/2021 CALL \$124.50 / CUSIP: / Symbol:							
09/02/21	1.000	208.98	09/01/21	197.00	...	11.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ARKW 01/21/2022 CALL \$120.00 / CUSIP: / Symbol:							
12/15/21	1.000	779.98	12/15/21	600.00	...	179.98	Option sale to close-call ²⁵
BABA 01/21/2022 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	2.000	619.98	11/18/21	2,140.00	...	-1,520.02	1 of 2 - Option sale to close-call ²⁵
	1.000	349.98	11/18/21	1,070.00	...	-720.02	2 of 2 - Option sale to close-call ²⁵
12/07/21	3.000	969.96	Various	3,210.00	...	-2,240.04	Total of 2 transactions
BABA 07/09/2021 CALL \$227.50 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/29/21	354.00	...	-354.00	Option expiration- long call
BABA 07/30/2021 CALL \$205.00 / CUSIP: / Symbol:							
11 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/23/21	385.00	...	-385.00	1 of 11 - Option expiration- long call
	1.000	0.00	07/23/21	370.00	...	-370.00	2 of 11 - Option expiration- long call
	2.000	0.00	07/26/21	350.00	...	-350.00	3 of 11 - Option expiration- long call
	2.000	0.00	07/26/21	212.00	...	-212.00	4 of 11 - Option expiration- long call
	1.000	0.00	07/26/21	190.00	...	-190.00	5 of 11 - Option expiration- long call
	1.000	0.00	07/26/21	180.00	...	-180.00	6 of 11 - Option expiration- long call
	1.000	0.00	07/26/21	145.00	...	-145.00	7 of 11 - Option expiration- long call
	3.000	0.00	07/27/21	84.00	...	-84.00	8 of 11 - Option expiration- long call
	2.000	0.00	07/27/21	54.00	...	-54.00	9 of 11 - Option expiration- long call
	1.000	0.00	07/27/21	27.00	...	-27.00	10 of 11 - Option expiration- long call
	1.000	0.00	07/27/21	27.00	...	-27.00	11 of 11 - Option expiration- long call
07/30/21	16.000	0.00	Various	2,024.00	...	-2,024.00	Total of 11 transactions

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BABA 08/06/2021 CALL \$195.00 / CUSIP: / Symbol:							
08/04/21	1.000	749.98	07/26/21	630.00	...	119.98	Option sale to close-call ²⁵
BABA 08/20/2021 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	1.000	774.99	06/17/21	830.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	1.000	774.99	07/09/21	550.00	...	224.99	2 of 2 - Option sale to close-call ²⁵
07/14/21	2.000	1,549.98	Various	1,380.00	...	169.98	Total of 2 transactions
BABA 08/27/2021 CALL \$162.50 / CUSIP: / Symbol:							
08/24/21	1.000	1,089.98	08/23/21	277.00	...	812.98	Option sale to close-call ²⁵
BABA 09/03/2021 CALL \$175.00 / CUSIP: / Symbol:							
09/01/21	1.000	172.98	08/24/21	475.00	...	-302.02	Option sale to close-call ²⁵
BABA 09/03/2021 CALL \$180.00 / CUSIP: / Symbol:							
09/02/21	1.000	46.98	08/24/21	305.00	...	-258.02	Option sale to close-call ²⁵
BABA 09/03/2021 CALL \$205.00 / CUSIP: / Symbol:							
08/23/21	1.000	16.98	07/23/21	940.00	...	-923.02	Option sale to close-call ²⁵
BABA 09/17/2021 CALL \$210.00 / CUSIP: / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/09/21	1,020.00	...	-1,020.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/02/21	690.00	...	-690.00	2 of 3 - Option expiration- long call
	2.000	0.00	08/24/21	94.00	...	-94.00	3 of 3 - Option expiration- long call
09/17/21	4.000	0.00	Various	1,804.00	...	-1,804.00	Total of 3 transactions
BBBY 03/05/2021 CALL \$31.00 / CUSIP: / Symbol:							
03/04/21	1.000	39.98	03/03/21	198.00	...	-158.02	Option sale to close-call ²⁵
BITO 11/19/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/15/21	1.000	44.98	10/20/21	460.00	...	-415.02	Option sale to close-call ²⁵
CAT 01/21/2022 CALL \$220.00 / CUSIP: / Symbol:							
11/12/21	1.000	527.98	11/08/21	750.00	...	-222.02	Option sale to close-call ²⁵

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(continued)

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CCL 03/12/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/09/21	1.000	5.98	03/03/21	133.00	...	-127.02	Option sale to close-call ²⁵
CHWY 01/08/2021 CALL \$99.00 / CUSIP: / Symbol:							
01/07/21	1.000	73.98	12/28/20	196.00	...	-122.02	Option sale to close-call ²⁵
CIIC 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/09/21	1.000	4.98	02/16/21	245.00	...	-240.02	Option sale to close-call ²⁵
CLSK 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	119.99	01/19/21	290.00	...	-170.01	1 of 2 - Option sale to close-call ²⁵
	1.000	119.99	01/21/21	120.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
01/22/21	2.000	239.98	Various	410.00	...	-170.02	Total of 2 transactions
COST 03/05/2021 CALL \$335.00 / CUSIP: / Symbol:							
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	1.000	2.99	03/01/21	540.00	...	-537.01	1 of 2 - Option sale to close-call ²⁵
	1.000	2.99	03/04/21	141.00	...	-138.01	2 of 2 - Option sale to close-call ²⁵
03/05/21	2.000	5.98	Various	681.00	...	-675.02	Total of 2 transactions
COST 05/07/2021 CALL \$380.00 / CUSIP: / Symbol:							
05/06/21	2.000	457.98	05/04/21	402.00	...	55.98	Option sale to close-call ²⁵
CRM 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
01/13/21	1.000	128.98	01/12/21	128.00	...	0.98	Option sale to close-call ²⁵
CRM 12/10/2021 CALL \$265.00 / CUSIP: / Symbol:							
12/02/21	1.000	374.98	12/01/21	640.00	...	-265.02	Option sale to close-call ²⁵
CRM 12/10/2021 CALL \$267.50 / CUSIP: / Symbol:							
12/07/21	1.000	389.98	12/01/21	635.00	...	-245.02	Option sale to close-call ²⁵
CRM 12/17/2021 CALL \$275.00 / CUSIP: / Symbol:							
12/08/21	1.000	211.98	12/07/21	310.00	...	-98.02	Option sale to close-call ²⁵
DCPH 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
12/15/21	5.000	389.98	11/08/21	1,100.00	...	-710.02	Option sale to close-call ²⁵

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DCPH 04/14/2022 CALL \$15.00 / CUSIP: / Symbol:							
12/06/21	9.000	476.97	11/05/21	1,800.00	...	-1,323.03	Option sale to close-call ²⁵
12/16/21	1.000	73.98	11/05/21	200.00	...	-126.02	Option sale to close-call ²⁵
	Security total:	550.95		2,000.00	...	-1,449.05	
DIS 07/09/2021 CALL \$175.00 / CUSIP: / Symbol:							
07/09/21	1.000	89.98	06/29/21	225.00	...	-135.02	Option sale to close-call ²⁵
DIS 08/06/2021 CALL \$187.50 / CUSIP: / Symbol:							
	2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	07/14/21	380.00	...	-380.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/26/21	33.00	...	-33.00	2 of 2 - Option expiration- long call
08/06/21	2.000	0.00	Various	413.00	...	-413.00	Total of 2 transactions
DIS 08/27/2021 CALL \$180.00 / CUSIP: / Symbol:							
	2 transactions for 08/27/21. Total proceeds and cost reported to the IRS.						
	1.000	5.99	08/24/21	127.00	...	-121.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	08/24/21	127.00	...	-121.01	2 of 2 - Option sale to close-call ²⁵
08/27/21	2.000	11.98	Various	254.00	...	-242.02	Total of 2 transactions
DKNG 11/26/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/24/21	3.000	5.98	11/15/21	111.00	...	-105.02	Option sale to close-call ²⁵
DKNG 12/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
	3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.						
	1.000	1.99	11/15/21	212.02	...	-210.03	1 of 3 - Option sale to close-call ²⁵
	2.000	3.99	11/15/21	214.00	...	-210.01	2 of 3 - Option sale to close-call ²⁵
	1.000	1.98	11/15/21	107.00	...	-105.02	3 of 3 - Option sale to close-call ²⁵
12/06/21	4.000	7.96	Various	533.02	...	-525.06	Total of 3 transactions
12/06/21	1.000	1.98	11/15/21	107.00	105.02 W	0.00	Option sale to close-call ²⁵
	Security total:	9.94		640.02	105.02 W	-525.06	

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DOCU 12/10/2021 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	2.000	729.99	12/03/21	1,690.00	...	-960.01	1 of 2 - Option sale to close-call ²⁵
	1.000	364.99	12/06/21	470.00	...	-105.01	2 of 2 - Option sale to close-call ²⁵
12/07/21	3.000	1,094.98	Various	2,160.00	...	-1,065.02	Total of 2 transactions
DOCU 12/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/09/21	1.000	1,049.98	12/08/21	289.00	...	760.98	Option sale to close-call ²⁵
DOCU 12/17/2021 CALL \$155.00 / CUSIP: / Symbol:							
3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	290.00	12/06/21	370.00	...	-80.00	1 of 3 - Option sale to close-call ²⁵
	1.000	290.00	12/06/21	345.00	...	-55.00	2 of 3 - Option sale to close-call ²⁵
	4.000	1,159.98	12/06/21	1,100.00	...	59.98	3 of 3 - Option sale to close-call ²⁵
12/07/21	6.000	1,739.98	Various	1,815.00	...	-75.02	Total of 3 transactions
DOCU 12/17/2021 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	574.98	12/08/21	273.00	...	301.98	1 of 3 - Option sale to close-call ²⁵
	1.000	619.98	12/08/21	273.00	...	346.98	2 of 3 - Option sale to close-call ²⁵
	1.000	824.98	12/08/21	273.00	...	551.98	3 of 3 - Option sale to close-call ²⁵
12/09/21	3.000	2,019.94	Various	819.00	...	1,200.94	Total of 3 transactions
F 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	2.000	263.99	11/08/21	340.00	...	-76.01	1 of 3 - Option sale to close-call ²⁵
	1.000	131.99	11/08/21	207.01	...	-75.02	2 of 3 - Option sale to close-call ²⁵
	1.000	131.99	11/08/21	170.00	...	-38.01	3 of 3 - Option sale to close-call ²⁵
11/12/21	4.000	527.97	Various	717.01	...	-189.04	Total of 3 transactions
11/12/21	1.000	131.99	11/08/21	169.00	37.01 W	0.00	Option sale to close-call ²⁵
	Security total:	659.96		886.01	37.01 W	-189.04	

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F 11/19/2021 CALL \$20.50 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	11/08/21	222.00	...	-222.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/10/21	103.00	...	-103.00	2 of 2 - Option expiration- long call
11/19/21	4.000	0.00	Various	325.00	...	-325.00	Total of 2 transactions
FB 01/15/2021 CALL \$270.00 / CUSIP: / Symbol:							
01/12/21	1.000	63.98	01/11/21	335.02	...	-271.04	Option sale to close-call ²⁵
01/12/21	1.000	63.98	01/08/21	274.00	210.02 W	0.00	Option sale to close-call ²⁵
	Security total:	127.96		609.02	210.02 W	-271.04	
FB 02/26/2021 CALL \$275.00 / CUSIP: / Symbol:							
02/23/21	1.000	20.98	02/16/21	545.00	...	-524.02	Option sale to close-call ²⁵
FB 03/12/2021 CALL \$265.00 / CUSIP: / Symbol:							
03/09/21	1.000	539.98	02/26/21	630.00	...	-90.02	Option sale to close-call ²⁵
FB 03/26/2021 CALL \$295.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/22/21	510.00	...	-510.00	Option expiration- long call
FB 04/01/2021 CALL \$275.00 / CUSIP: / Symbol:							
03/19/21	1.000	1,839.98	03/15/21	752.00	...	1,087.98	Option sale to close-call ²⁵
FB 04/23/2021 CALL \$310.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/15/21	458.00	...	-458.00	Option expiration- long call
FB 05/14/2021 CALL \$322.50 / CUSIP: / Symbol:							
04/30/21	1.000	989.98	04/29/21	766.00	...	223.98	Option sale to close-call ²⁵
FB 06/25/2021 CALL \$342.50 / CUSIP: / Symbol:							
06/23/21	1.000	221.98	06/17/21	232.00	...	-10.02	Option sale to close-call ²⁵
FB 07/30/2021 CALL \$400.00 / CUSIP: / Symbol:							
07/26/21	1.000	267.98	07/23/21	260.00	...	7.98	Option sale to close-call ²⁵
FB 08/06/2021 CALL \$347.50 / CUSIP: / Symbol:							
07/23/21	1.000	2,389.97	07/15/21	1,107.00	...	1,282.97	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

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FB 09/17/2021 CALL \$372.50 / CUSIP: / Symbol:							
09/15/21	1.000	339.98	09/15/21	238.00	...	101.98	Option sale to close-call ²⁵
FB 10/15/2021 CALL \$337.50 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	10/08/21	319.00	...	-319.00	Option expiration- long call
FB 11/12/2021 CALL \$315.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,739.98	10/28/21	772.00	...	967.98	Option sale to close-call ²⁵
FB 11/12/2021 CALL \$345.00 / CUSIP: / Symbol:							
11/05/21	1.000	502.98	11/05/21	454.00	...	48.98	Option sale to close-call ²⁵
FB 11/19/2021 CALL \$357.50 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/15/21	290.00	...	-290.00	Option expiration- long call
FB 11/26/2021 CALL \$352.50 / CUSIP: / Symbol:							
		<i>2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	11.00	11/22/21	195.00	...	-184.00	1 of 2 - Option sale to close-call ²⁵
	3.000	32.99	11/23/21	222.00	...	-189.01	2 of 2 - Option sale to close-call ²⁵
11/24/21	4.000	43.99	Various	417.00	...	-373.01	Total of 2 transactions
		<i>3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>					
	2.000	21.99	11/22/21	390.00	184.01 W	-184.00	1 of 3 - Option sale to close-call ²⁵
	2.000	21.98	11/22/21	1,094.00	1,072.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	2.000	21.99	11/22/21	1,462.02	1,440.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/24/21	6.000	65.96	Various	2,946.02	2,696.06 W	-184.00	Total of 3 transactions
		<i>2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.</i>					
	2.000	0.00	11/23/21	1,588.03	...	-1,588.03	1 of 2 - Option expiration- long call
	1.000	0.00	11/23/21	237.01	...	-237.01	2 of 2 - Option expiration- long call
11/26/21	3.000	0.00	Various	1,825.04	...	-1,825.04	Total of 2 transactions
	Security total:	109.95		5,188.06	2,696.06 W	-2,382.05	
FB 12/03/2021 CALL \$345.00 / CUSIP: / Symbol:							
11/15/21	1.000	1,254.98	11/08/21	1,000.00	...	254.98	Option sale to close-call ²⁵
FB 12/10/2021 CALL \$330.00 / CUSIP: / Symbol:							
12/08/21	1.000	150.98	12/07/21	182.00	...	-31.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 12/10/2021 CALL \$335.00 / CUSIP: / Symbol:							
11/26/21	1.000	979.98	11/23/21	1,015.00	...	-35.02	Option sale to close-call ²⁵
FB 12/17/2021 CALL \$315.00 / CUSIP: / Symbol:							
12/06/21	1.000	954.98	12/02/21	910.00	...	44.98	Option sale to close-call ²⁵
FB 12/17/2021 CALL \$332.50 / CUSIP: / Symbol:							
<i>2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	554.98	12/07/21	425.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	1.000	594.98	12/07/21	410.00	...	184.98	2 of 2 - Option sale to close-call ²⁵
12/08/21	2.000	1,149.96	Various	835.00	...	314.96	Total of 2 transactions
FB 12/17/2021 CALL \$335.00 / CUSIP: / Symbol:							
12/13/21	1.000	775.98	12/09/21	645.00	...	130.98	Option sale to close-call ²⁵
FCEL 01/21/2022 CALL \$11.00 / CUSIP: / Symbol:							
11/12/21	2.000	477.98	11/08/21	366.00	...	111.98	Option sale to close-call ²⁵
FCEL 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/08/21	1.000	277.98	01/07/21	277.00	...	0.98	Option sale to close-call ²⁵
FDX 06/25/2021 CALL \$312.50 / CUSIP: / Symbol:							
06/25/21	1.000	0.00	06/24/21	305.00	...	-305.00	Option expiration- long call
GDDY 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
11/30/21	1.000	339.98	11/22/21	295.00	...	44.98	Option sale to close-call ²⁵
GNUS 02/05/2021 CALL \$2.00 / CUSIP: / Symbol:							
01/28/21	1.000	60.98	01/27/21	45.00	...	15.98	Option sale to close-call ²⁵
GSX 04/09/2021 CALL \$36.00 / CUSIP: / Symbol:							
04/09/21	1.000	1.98	03/29/21	590.00	...	-588.02	Option sale to close-call ²⁵
ICLN 01/15/2021 CALL \$34.00 / CUSIP: / Symbol:							
01/08/21	1.000	104.98	01/07/21	104.00	...	0.98	Option sale to close-call ²⁵
ICLN 03/12/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	02/16/21	145.00	...	-145.00	Option expiration- long call

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INTC 04/30/2021 CALL \$66.00 / CUSIP: / Symbol:							
04/30/21	1.000	0.00	04/12/21	200.00	...	-200.00	Option expiration- long call
INTC 08/27/2021 CALL \$53.00 / CUSIP: / Symbol:							
4 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	1.000	178.98	07/23/21	154.00	...	24.98	1 of 4 - Option sale to close-call ²⁵
	1.000	178.98	07/23/21	137.00	...	41.98	2 of 4 - Option sale to close-call ²⁵
	1.000	185.98	07/23/21	139.00	...	46.98	3 of 4 - Option sale to close-call ²⁵
	1.000	209.98	07/23/21	139.00	...	70.98	4 of 4 - Option sale to close-call ²⁵
07/26/21	4.000	753.92	Various	569.00	...	184.92	Total of 4 transactions
JD 07/16/2021 CALL \$72.50 / CUSIP: / Symbol:							
2 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	1.000	439.98	07/06/21	165.00	...	274.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	07/06/21	165.00	...	284.98	2 of 2 - Option sale to close-call ²⁵
07/13/21	2.000	889.96	Various	330.00	...	559.96	Total of 2 transactions
JD 07/23/2021 CALL \$77.50 / CUSIP: / Symbol:							
07/15/21	1.000	147.98	07/13/21	166.00	...	-18.02	Option sale to close-call ²⁵
JD 07/23/2021 CALL \$78.00 / CUSIP: / Symbol:							
4 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/15/21	106.00	...	-106.00	1 of 4 - Option expiration- long call
	2.000	0.00	07/16/21	144.00	...	-144.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/16/21	72.00	...	-72.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/22/21	15.00	...	-15.00	4 of 4 - Option expiration- long call
07/23/21	5.000	0.00	Various	337.00	...	-337.00	Total of 4 transactions
KTOS 08/20/2021 CALL \$32.50 / CUSIP: / Symbol:							
04/28/21	1.000	139.98	04/14/21	195.00	...	-55.02	Option sale to close-call ²⁵
LAC 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/10/21	1.000	135.98	02/08/21	120.00	...	15.98	Option sale to close-call ²⁵
LCID 01/07/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/15/21	1.000	464.98	12/13/21	435.00	...	29.98	Option sale to close-call ²⁵

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LCID 01/21/2022 CALL \$27.00 / CUSIP: / Symbol: 11/02/21	1.000	889.98	10/26/21	405.00	...	484.98	Option sale to close-call ²⁵
LCID 01/21/2022 CALL \$46.00 / CUSIP: / Symbol: 11/22/21	3.000	3,599.97	11/18/21	2,520.00	...	1,079.97	Option sale to close-call ²⁵
LCID 05/20/2022 CALL \$55.00 / CUSIP: / Symbol: 11/23/21	1.000	1,304.98	11/19/21	1,340.00	...	-35.02	Option sale to close-call ²⁵
LCID 11/12/2021 CALL \$27.00 / CUSIP: / Symbol: 10/28/21	1.000	1,059.98	10/26/21	165.00	...	894.98	Option sale to close-call ²⁵
LCID 11/12/2021 CALL \$45.00 / CUSIP: / Symbol: 11/11/21	1.000	112.98	11/08/21	409.00	...	-296.02	Option sale to close-call ²⁵
LCID 12/10/2021 CALL \$48.00 / CUSIP: / Symbol: 12/07/21	1.000	122.98	12/06/21	165.00	...	-42.02	Option sale to close-call ²⁵
LYFT 03/05/2021 CALL \$63.00 / CUSIP: / Symbol: 03/04/21	1.000	44.98	03/03/21	135.00	...	-90.02	Option sale to close-call ²⁵
M 12/10/2021 CALL \$32.00 / CUSIP: / Symbol: <i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/24/21	161.00	...	-161.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/24/21	156.00	...	-156.00	2 of 2 - Option expiration- long call
12/10/21	2.000	0.00	Various	317.00	...	-317.00	Total of 2 transactions
MARA 01/21/2022 CALL \$65.00 / CUSIP: / Symbol: 11/12/21	1.000	1,699.98	11/05/21	1,320.00	...	379.98	Option sale to close-call ²⁵
MARA 02/12/2021 CALL \$24.50 / CUSIP: / Symbol: 02/08/21	1.000	499.97	02/02/21	260.00	...	239.97	Option sale to close-call ²⁵
MARA 02/19/2021 PUT \$24.00 / CUSIP: / Symbol: 02/12/21	1.000	9.98	02/08/21	151.00	...	-141.02	Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 02/26/2021 CALL \$47.00 / CUSIP: / Symbol:							
02/24/21	1.000	19.98	02/23/21	458.04	...	-438.06	Option sale to close-call ²⁵
	<i>2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.98	02/18/21	410.00	390.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	19.98	02/22/21	462.02	442.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/24/21	2.000	39.96	Various	872.02	832.06 W	0.00	Total of 2 transactions
	Security total:	59.94		1,330.06	832.06 W	-438.06	
MARA 03/05/2021 CALL \$38.00 / CUSIP: / Symbol:							
03/04/21	1.000	27.98	03/03/21	214.00	...	-186.02	Option sale to close-call ²⁵
MARA 03/19/2021 CALL \$38.00 / CUSIP: / Symbol:							
03/10/21	1.000	589.98	03/09/21	460.00	...	129.98	Option sale to close-call ²⁵
MARA 04/01/2021 CALL \$39.00 / CUSIP: / Symbol:							
02/16/21	1.000	1,484.95	02/12/21	1,285.00	...	199.95	Option sale to close-call ²⁵
MARA 04/09/2021 CALL \$51.50 / CUSIP: / Symbol:							
04/05/21	1.000	499.98	04/05/21	291.00	...	208.98	Option sale to close-call ²⁵
MARA 04/16/2021 CALL \$54.50 / CUSIP: / Symbol:							
04/14/21	1.000	102.98	04/06/21	485.00	...	-382.02	Option sale to close-call ²⁵
MARA 05/07/2021 CALL \$33.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	0.00	05/04/21	684.00	...	-684.00	1 of 2 - Option expiration- long call
	3.000	0.00	05/06/21	159.00	...	-159.00	2 of 2 - Option expiration- long call
05/07/21	7.000	0.00	Various	843.00	...	-843.00	Total of 2 transactions
MARA 05/14/2021 CALL \$31.50 / CUSIP: / Symbol:							
05/14/21	4.000	0.00	05/07/21	764.00	...	-764.00	Option expiration- long call
MARA 05/14/2021 CALL \$35.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	05/04/21	211.00	...	-211.00	Option expiration- long call

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 09/03/2021 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	1.000	98.99	09/01/21	71.00	...	27.99	1 of 2 - Option sale to close-call ²⁵
	1.000	98.99	09/01/21	71.00	...	27.99	2 of 2 - Option sale to close-call ²⁵
09/01/21	2.000	197.98	Various	142.00	...	55.98	Total of 2 transactions
09/02/21	1.000	106.98	09/01/21	71.00	...	35.98	Option sale to close-call ²⁵
	Security total:	304.96		213.00	...	91.96	
MARA 09/03/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	2.000	87.98	09/01/21	116.00	...	-28.02	1 of 2 - Option sale to close-call ²⁵
	1.000	43.98	09/01/21	58.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
09/02/21	3.000	131.96	Various	174.00	...	-42.04	Total of 2 transactions
MARA 09/10/2021 CALL \$42.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/01/21	232.00	...	-232.00	Option expiration- long call
MARA 09/10/2021 CALL \$44.00 / CUSIP: / Symbol:							
4 transactions for 09/10/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/01/21	182.00	...	-182.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/02/21	207.00	...	-207.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/02/21	206.00	...	-206.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/02/21	204.00	...	-204.00	4 of 4 - Option expiration- long call
09/10/21	4.000	0.00	Various	799.00	...	-799.00	Total of 4 transactions
MARA 09/17/2021 CALL \$29.00 / CUSIP: / Symbol:							
08/23/21	2.000	1,869.98	08/04/21	930.00	...	939.98	Option sale to close-call ²⁵
MARA 10/01/2021 CALL \$42.00 / CUSIP: / Symbol:							
10/01/21	1.000	0.00	09/01/21	490.00	...	-490.00	Option expiration- long call
MARA 10/08/2021 CALL \$36.00 / CUSIP: / Symbol:							
10/08/21	1.000	229.98	09/15/21	340.00	...	-110.02	Option sale to close-call ²⁵
MARA 10/22/2021 CALL \$53.00 / CUSIP: / Symbol:							
10/19/21	1.000	128.98	10/18/21	257.00	...	-128.02	Option sale to close-call ²⁵

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Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MARA 10/22/2021 CALL \$56.00 / CUSIP: / Symbol:							
10/21/21	1.000	23.98	10/20/21	155.00	...	-131.02	Option sale to close-call ²⁵
MARA 11/12/2021 CALL \$74.00 / CUSIP: / Symbol:							
11/10/21	1.000	449.98	11/08/21	520.00	...	-70.02	Option sale to close-call ²⁵
MRNA 01/21/2022 CALL \$230.00 / CUSIP: / Symbol:							
11/10/21	1.000	2,499.97	11/05/21	2,500.00	...	-0.03	Option sale to close-call ²⁵
MRNA 12/03/2021 CALL \$365.00 / CUSIP: / Symbol:							
11/26/21	1.000	1,524.98	11/26/21	1,099.00	...	425.98	Option sale to close-call ²⁵
MRNA 12/23/2021 CALL \$282.50 / CUSIP: / Symbol:							
12/13/21	1.000	639.98	12/10/21	425.00	...	214.98	Option sale to close-call ²⁵
MSFT 01/21/2022 CALL \$340.00 / CUSIP: / Symbol:							
11/09/21	1.000	1,114.98	11/05/21	1,090.00	...	24.98	Option sale to close-call ²⁵
MSFT 01/29/2021 CALL \$232.50 / CUSIP: / Symbol:							
01/27/21	1.000	409.98	01/25/21	365.00	...	44.98	Option sale to close-call ²⁵
MSFT 04/23/2021 CALL \$260.00 / CUSIP: / Symbol:							
04/15/21	2.000	517.98	04/14/21	510.00	...	7.98	Option sale to close-call ²⁵
MSFT 07/02/2021 CALL \$265.00 / CUSIP: / Symbol:							
06/28/21	1.000	351.98	06/23/21	274.00	...	77.98	Option sale to close-call ²⁵
MSFT 07/16/2021 CALL \$282.50 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	107.98	07/14/21	100.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	1.000	120.98	07/15/21	83.00	...	37.98	2 of 2 - Option sale to close-call ²⁵
07/16/21	2.000	228.96	Various	183.00	...	45.96	Total of 2 transactions
MSFT 08/27/2021 CALL \$285.00 / CUSIP: / Symbol:							
08/02/21	1.000	526.98	07/14/21	705.00	...	-178.02	Option sale to close-call ²⁵
MSFT 11/12/2021 CALL \$340.00 / CUSIP: / Symbol:							
11/05/21	1.000	138.98	11/04/21	174.00	...	-35.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 11/26/2021 CALL \$347.50 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/22/21	239.00	...	-239.00	Option expiration- long call
MU 07/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
04/28/21	1.000	746.98	04/20/21	825.00	...	-78.02	Option sale to close-call ²⁵
NCLH 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/01/21	1.000	259.98	02/26/21	200.00	...	59.98	Option sale to close-call ²⁵
NFLX 11/12/2021 CALL \$655.00 / CUSIP: / Symbol:							
11/10/21	1.000	599.98	11/08/21	991.00	...	-391.02	Option sale to close-call ²⁵
NFLX 12/10/2021 CALL \$630.00 / CUSIP: / Symbol:							
3 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/08/21	550.00	...	-550.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/09/21	33.00	...	-33.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/09/21	33.00	...	-33.00	3 of 3 - Option expiration- long call
12/10/21	3.000	0.00	Various	616.00	...	-616.00	Total of 3 transactions
NFLX 12/17/2021 CALL \$605.00 / CUSIP: / Symbol:							
12/17/21	1.000	1.98	12/16/21	63.00	...	-61.02	Option sale to close-call ²⁵
NFLX 12/17/2021 CALL \$620.00 / CUSIP: / Symbol:							
12/17/21	1.000	1.98	12/15/21	100.00	...	-98.02	Option sale to close-call ²⁵
NFLX 12/17/2021 CALL \$635.00 / CUSIP: / Symbol:							
12/15/21	1.000	24.98	12/09/21	575.00	...	-550.02	Option sale to close-call ²⁵
NIO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
11/04/21	1.000	255.98	11/01/21	156.00	...	99.98	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$57.00 / CUSIP: / Symbol:							
01/11/21	1.000	924.96	01/08/21	562.00	...	362.96	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$61.00 / CUSIP: / Symbol:							
01/28/21	1.000	36.98	01/22/21	250.00	...	-213.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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NIO 02/12/2021 CALL \$63.00 / CUSIP: / Symbol:							
02/12/21	1.000	1.98	02/10/21	217.00	...	-215.02	Option sale to close-call ²⁵
NIO 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/18/21	1.000	1.98	02/12/21	363.02	...	-361.04	Option sale to close-call ²⁵
02/18/21	1.000	1.98	02/10/21	300.00	298.02 W	0.00	Option sale to close-call ²⁵
	Security total:	3.96		663.02	298.02 W	-361.04	
NIO 09/10/2021 CALL \$40.00 / CUSIP: / Symbol:							
09/03/21	1.000	123.98	09/01/21	86.00	...	37.98	Option sale to close-call ²⁵
NKLA 01/21/2022 CALL \$17.50 / CUSIP: / Symbol:							
11/12/21	5.000	719.98	11/05/21	625.00	...	94.98	Option sale to close-call ²⁵
NKLA 11/26/2021 CALL \$14.50 / CUSIP: / Symbol:							
11/12/21	3.000	263.98	11/08/21	321.00	...	-57.02	Option sale to close-call ²⁵
NOW 06/04/2021 CALL \$542.50 / CUSIP: / Symbol:							
	<i>2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	04/30/21	1,030.00	...	-1,030.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/21	980.00	...	-980.00	2 of 2 - Option expiration- long call
06/04/21	2.000	0.00	Various	2,010.00	...	-2,010.00	Total of 2 transactions
NUAN 04/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/16/21	5.000	0.00	04/12/21	75.00	...	-75.00	Option expiration- long call
NVDA 01/21/2022 CALL \$400.00 / CUSIP: / Symbol:							
12/02/21	1.000	419.98	11/22/21	810.00	...	-390.02	Option sale to close-call ²⁵
NVDA 05/07/2021 CALL \$577.50 / CUSIP: / Symbol:							
05/07/21	1.000	1,499.98	05/04/21	900.00	...	599.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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NVDA 05/14/2021 CALL \$595.00 / CUSIP: / Symbol:							
3 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/07/21	915.00	...	-915.00	1 of 3 - Option expiration- long call
	3.000	0.00	05/11/21	315.00	...	-315.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/11/21	104.00	...	-104.00	3 of 3 - Option expiration- long call
05/14/21	5.000	0.00	Various	1,334.00	...	-1,334.00	Total of 3 transactions
NVDA 11/19/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/18/21	1.000	2,014.97	11/15/21	740.00	...	1,274.97	Option sale to close-call ²⁵
NVDA 12/17/2021 CALL \$290.00 / CUSIP: / Symbol:							
12/17/21	1.000	3.98	12/16/21	330.00	...	-326.02	Option sale to close-call ²⁵
PLTR 02/26/2021 CALL \$27.00 / CUSIP: / Symbol:							
02/23/21	1.000	46.98	02/19/21	263.00	...	-216.02	Option sale to close-call ²⁵
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	60.98	04/12/21	43.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	3.000	182.98	04/12/21	129.00	...	53.98	2 of 2 - Option sale to close-call ²⁵
04/13/21	4.000	243.96	Various	172.00	...	71.96	Total of 2 transactions
04/14/21	1.000	81.98	04/12/21	43.00	...	38.98	Option sale to close-call ²⁵
	Security total:	325.94		215.00	...	110.94	
PLUG 01/08/2021 CALL \$46.00 / CUSIP: / Symbol:							
01/07/21	1.000	183.98	01/07/21	152.00	...	31.98	Option sale to close-call ²⁵
PLUG 01/15/2021 CALL \$51.00 / CUSIP: / Symbol:							
01/08/21	1.000	489.97	01/07/21	197.00	...	292.97	Option sale to close-call ²⁵
PLUG 01/22/2021 CALL \$67.00 / CUSIP: / Symbol:							
01/19/21	1.000	289.98	01/19/21	289.00	...	0.98	Option sale to close-call ²⁵
PLUG 01/29/2021 CALL \$71.00 / CUSIP: / Symbol:							
01/25/21	1.000	394.98	01/22/21	263.00	...	131.98	Option sale to close-call ²⁵

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PTON 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
12/15/21	1.000	46.98	11/05/21	770.00	723.02 W	0.00	Option sale to close-call ²⁵
PYPL 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
11/23/21	1.000	699.98	11/22/21	620.00	...	79.98	Option sale to close-call ²⁵
PYPL 01/21/2022 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	166.99	11/05/21	1,315.00	...	-1,148.01	1 of 2 - Option sale to close-call ²⁵
	1.000	166.99	11/09/21	564.00	...	-397.01	2 of 2 - Option sale to close-call ²⁵
12/06/21	2.000	333.98	Various	1,879.00	...	-1,545.02	Total of 2 transactions
PYPL 01/21/2022 CALL \$270.00 / CUSIP: / Symbol:							
11/05/21	1.000	375.98	11/04/21	396.00	...	-20.02	Option sale to close-call ²⁵
PYPL 11/12/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/08/21	715.00	...	-715.00	Option expiration- long call
PYPL 12/03/2021 CALL \$185.00 / CUSIP: / Symbol:							
11/30/21	1.000	494.98	11/29/21	358.00	...	136.98	Option sale to close-call ²⁵
PYPL 12/17/2021 CALL \$192.50 / CUSIP: / Symbol:							
12/01/21	1.000	446.98	11/30/21	305.00	...	141.98	Option sale to close-call ²⁵
QCOM 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
03/05/21	1.000	6.98	02/12/21	228.00	221.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	3.99	02/17/21	362.02	...	-358.03	1 of 2 - Option sale to close-call ²⁵
	1.000	3.99	02/23/21	37.00	...	-33.01	2 of 2 - Option sale to close-call ²⁵
03/09/21	2.000	7.98	Various	399.02	...	-391.04	Total of 2 transactions
	Security total:	14.96		627.02	221.02 W	-391.04	
QQQ 08/27/2021 CALL \$366.00 / CUSIP: / Symbol:							
07/14/21	1.000	864.98	07/13/21	890.00	...	-25.02	Option sale to close-call ²⁵
QQQ 10/22/2021 CALL \$371.00 / CUSIP: / Symbol:							
10/19/21	1.000	431.98	10/18/21	226.00	...	205.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 10/22/2021 CALL \$376.00 / CUSIP: / Symbol:							
10/20/21	1.000	157.98	10/19/21	131.00	...	26.98	Option sale to close-call ²⁵
QQQ 11/01/2021 CALL \$371.00 / CUSIP: / Symbol:							
10/25/21	1.000	803.98	10/18/21	398.00	...	405.98	Option sale to close-call ²⁵
QQQ 11/08/2021 CALL \$392.00 / CUSIP: / Symbol:							
11/04/21	1.000	729.98	11/03/21	252.00	...	477.98	Option sale to close-call ²⁵
QQQ 11/08/2021 CALL \$395.00 / CUSIP: / Symbol:							
11/05/21	1.000	604.98	11/04/21	197.00	...	407.98	Option sale to close-call ²⁵
QQQ 11/24/2021 CALL \$412.00 / CUSIP: / Symbol:							
	2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	11/22/21	58.00	...	-58.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/22/21	58.00	...	-58.00	2 of 2 - Option expiration- long call
11/24/21	2.000	0.00	Various	116.00	...	-116.00	Total of 2 transactions
QQQ 11/26/2021 CALL \$408.00 / CUSIP: / Symbol:							
	13 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	2.000	7.99	11/23/21	790.03	...	-782.04	1 of 13 - Option sale to close-call ²⁵
	1.000	3.99	11/23/21	408.01	...	-404.02	2 of 13 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	406.02	...	-402.02	3 of 13 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	395.01	...	-391.01	4 of 13 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	110.02	...	-106.02	5 of 13 - Option sale to close-call ²⁵
	4.000	15.98	11/23/21	120.01	...	-104.03	6 of 13 - Option sale to close-call ²⁵
	1.000	3.99	11/23/21	105.00	...	-101.01	7 of 13 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	105.01	...	-101.01	8 of 13 - Option sale to close-call ²⁵

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Account 115610628

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(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 11/26/2021 CALL \$408.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	11/23/21	105.00	...	-101.00	9 of 13 - Option sale to close-call ₂₅
	5.000	19.98	11/23/21	85.00	...	-65.02	10 of 13 - Option sale to close-call ₂₅
	1.000	3.98	11/23/21	30.01	...	-26.03	11 of 13 - Option sale to close-call ₂₅
	1.000	3.98	11/23/21	30.00	...	-26.02	12 of 13 - Option sale to close-call ₂₅
	1.000	4.00	11/23/21	17.00	...	-13.00	13 of 13 - Option sale to close-call ₂₅
11/24/21	21.000	83.89	Various	2,706.12	...	-2,622.23	Total of 13 transactions
	16 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	5.000	19.98	11/22/21	1,830.04	1,810.06 W	0.00	1 of 16 - Option sale to close-call ₂₅
	1.000	3.98	11/22/21	81.00	77.02 W	0.00	2 of 16 - Option sale to close-call ₂₅
	3.000	11.99	11/22/21	243.00	231.01 W	0.00	3 of 16 - Option sale to close-call ₂₅
	5.000	19.98	11/22/21	1,055.00	1,035.02 W	0.00	4 of 16 - Option sale to close-call ₂₅
	5.000	19.98	11/22/21	1,445.02	1,425.04 W	0.00	5 of 16 - Option sale to close-call ₂₅
	10.000	39.97	11/23/21	200.00	96.01 W	-64.02	6 of 16 - Option sale to close-call ₂₅
	2.000	7.99	11/23/21	764.02	756.03 W	0.00	7 of 16 - Option sale to close-call ₂₅
	2.000	8.00	11/23/21	764.03	756.03 W	0.00	8 of 16 - Option sale to close-call ₂₅
	1.000	4.00	11/23/21	382.01	378.01 W	0.00	9 of 16 - Option sale to close-call ₂₅
	1.000	4.00	11/23/21	97.02	93.02 W	0.00	10 of 16 - Option sale to close-call ₂₅
	1.000	4.00	11/23/21	97.00	93.00 W	0.00	11 of 16 - Option sale to close-call ₂₅

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(continued)

02/11/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 11/26/2021 CALL \$408.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	11/23/21	97.01	93.01 W	0.00	12 of 16 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	97.00	93.00 W	0.00	13 of 16 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	398.02	394.02 W	0.00	14 of 16 - Option sale to close-call ²⁵
	1.000	4.00	11/23/21	400.01	396.01 W	0.00	15 of 16 - Option sale to close-call ²⁵
	9.000	35.97	11/23/21	198.00	162.03 W	0.00	16 of 16 - Option sale to close-call ²⁵
11/24/21	49.000	195.84	Various	8,148.18	7,888.32 W	-64.02	Total of 16 transactions
	6 transactions for 11/26/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	11/23/21	84.01	...	-84.01	1 of 6 - Option expiration- long call
	2.000	0.00	11/23/21	60.01	...	-60.01	2 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	30.00	...	-30.00	3 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	28.00	...	-28.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	28.00	...	-28.00	5 of 6 - Option expiration- long call
	1.000	0.00	11/23/21	28.00	...	-28.00	6 of 6 - Option expiration- long call
11/26/21	9.000	0.00	Various	258.02	...	-258.02	Total of 6 transactions
	Security total:	279.73		11,112.32	7,888.32 W	-2,944.27	
QS 01/22/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/12/21	1.000	719.97	01/11/21	700.00	...	19.97	Option sale to close-call ²⁵
QS 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	07/01/21	139.00	...	-139.00	Option expiration- long call
RIOT 09/10/2021 CALL \$37.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/03/21	205.00	...	-205.00	Option expiration- long call
RIOT 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/10/21	1.000	412.98	11/09/21	440.00	...	-27.02	Option sale to close-call ²⁵
RIOT 12/03/2021 CALL \$37.00 / CUSIP: / Symbol:							
11/10/21	1.000	774.98	11/08/21	520.00	...	254.98	Option sale to close-call ²⁵

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Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVN 03/18/2022 CALL \$125.00 / CUSIP: / Symbol:							
11/24/21	1.000	2,499.97	11/18/21	3,300.00	...	-800.03	Option sale to close-call ²⁵
RKT 03/19/2021 CALL \$34.00 / CUSIP: / Symbol:							
03/03/21	1.000	604.98	03/02/21	580.00	...	24.98	Option sale to close-call ²⁵
ROKU 01/21/2022 CALL \$280.00 / CUSIP: / Symbol:							
11/09/21	1.000	2,217.97	11/05/21	2,270.00	...	-52.03	Option sale to close-call ²⁵
ROKU 04/16/2021 PUT \$352.50 / CUSIP: / Symbol:							
04/12/21	1.000	394.98	04/06/21	1,240.00	...	-845.02	Option sale to close-put ²⁵
ROKU 11/12/2021 CALL \$295.00 / CUSIP: / Symbol:							
	3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	11/04/21	720.00	...	-720.00	1 of 3 - Option expiration- long call
	2.000	0.00	11/05/21	498.00	...	-498.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/08/21	172.00	...	-172.00	3 of 3 - Option expiration- long call
11/12/21	4.000	0.00	Various	1,390.00	...	-1,390.00	Total of 3 transactions
ROKU 12/17/2021 CALL \$280.00 / CUSIP: / Symbol:							
12/15/21	1.000	0.98	12/09/21	725.00	...	-724.02	Option sale to close-call ²⁵
SBUX 09/17/2021 CALL \$115.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	09/15/21	42.00	...	-42.00	Option expiration- long call
SBUX 09/24/2021 CALL \$115.00 / CUSIP: / Symbol:							
09/23/21	3.000	80.98	09/15/21	312.00	...	-231.02	Option sale to close-call ²⁵
SLV 01/29/2021 CALL \$23.50 / CUSIP: / Symbol:							
01/13/21	1.000	89.98	01/11/21	88.00	...	1.98	Option sale to close-call ²⁵
SLV 02/12/2021 CALL \$24.50 / CUSIP: / Symbol:							
02/01/21	1.000	324.98	01/28/21	135.00	...	189.98	Option sale to close-call ²⁵
02/02/21	1.000	119.98	01/28/21	135.00	...	-15.02	Option sale to close-call ²⁵
	Security total:	444.96		270.00	...	174.96	
SLV 02/12/2021 CALL \$27.50 / CUSIP: / Symbol:							
02/02/21	1.000	43.98	02/01/21	197.00	...	-153.02	Option sale to close-call ²⁵

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SNAP 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
11/05/21	1.000	374.98	11/01/21	415.00	...	-40.02	Option sale to close-call ²⁵
SNAP 11/19/2021 CALL \$58.00 / CUSIP: / Symbol:							
<i>5 transactions for 11/19/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	0.00	11/15/21	700.00	...	-700.00	1 of 5 - Option expiration- long call
	5.000	0.00	11/15/21	500.00	...	-500.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/15/21	100.00	...	-100.00	3 of 5 - Option expiration- long call
	1.000	0.00	11/15/21	100.00	...	-100.00	4 of 5 - Option expiration- long call
	1.000	0.00	11/15/21	100.00	...	-100.00	5 of 5 - Option expiration- long call
11/19/21	15.000	0.00	Various	1,500.00	...	-1,500.00	Total of 5 transactions
SNDL 02/12/2021 CALL \$1.50 / CUSIP: / Symbol:							
02/08/21	1.000	4.98	02/02/21	20.00	...	-15.02	Option sale to close-call ²⁵
SOS 03/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
03/09/21	1.000	15.98	03/03/21	200.00	...	-184.02	Option sale to close-call ²⁵
SOS 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/19/21	1.000	299.98	02/17/21	381.00	...	-81.02	Option sale to close-call ²⁵
SPY 12/01/2021 CALL \$473.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	0.00	11/22/21	774.00	...	-774.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/24/21	88.00	...	-88.00	2 of 2 - Option expiration- long call
12/01/21	4.000	0.00	Various	862.00	...	-862.00	Total of 2 transactions
SQ 03/19/2021 CALL \$242.50 / CUSIP: / Symbol:							
03/15/21	1.000	799.98	03/10/21	735.00	...	64.98	Option sale to close-call ²⁵
SQ 04/16/2021 CALL \$230.00 / CUSIP: / Symbol:							
04/06/21	1.000	1,259.98	03/19/21	1,380.00	...	-120.02	Option sale to close-call ²⁵
SQ 05/21/2021 CALL \$205.00 / CUSIP: / Symbol:							
05/21/21	1.000	202.98	05/17/21	400.00	...	-197.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 05/28/2021 CALL \$225.00 / CUSIP: / Symbol:							
05/28/21	1.000	142.98	05/25/21	180.00	...	-37.02	Option sale to close-call ²⁵
SQ 06/04/2021 CALL \$230.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	06/01/21	150.00	...	-150.00	Option expiration- long call
SQ 08/20/2021 CALL \$240.00 / CUSIP: / Symbol:							
07/09/21	1.000	1,489.98	06/17/21	1,600.00	...	-110.02	Option sale to close-call ²⁵
SQ 08/27/2021 CALL \$272.50 / CUSIP: / Symbol:							
	<i>2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	430.98	08/23/21	605.02	...	-174.04	1 of 2 - Option sale to close-call ²⁵
	1.000	430.98	08/23/21	515.00	...	-84.02	2 of 2 - Option sale to close-call ²⁵
08/24/21	2.000	861.96	Various	1,120.02	...	-258.06	Total of 2 transactions
08/24/21	1.000	424.98	08/23/21	515.00	90.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,286.94		1,635.02	90.02 W	-258.06	
SRPT 02/05/2021 CALL \$95.00 / CUSIP: / Symbol:							
01/13/21	1.000	419.98	01/12/21	720.00	...	-300.02	Option sale to close-call ²⁵
TLRY 01/08/2021 CALL \$10.50 / CUSIP: / Symbol:							
01/07/21	1.000	183.98	12/16/20	96.00	...	87.98	Option sale to close-call ²⁵
TSLA 01/22/2021 CALL \$980.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	15.99	01/13/21	630.00	...	-614.01	1 of 2 - Option sale to close-call ²⁵
	1.000	15.99	01/15/21	165.00	...	-149.01	2 of 2 - Option sale to close-call ²⁵
01/21/21	2.000	31.98	Various	795.00	...	-763.02	Total of 2 transactions
TSLA 01/29/2021 CALL \$1,380.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/27/21	61.00	...	-61.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/05/2021 CALL \$1,300.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	11.99	11/04/21	1,223.02	...	-1,211.03	1 of 2 - Option sale to close-call ²⁵
	1.000	11.99	11/04/21	230.00	...	-218.01	2 of 2 - Option sale to close-call ²⁵
11/05/21	2.000	23.98	Various	1,453.02	...	-1,429.04	Total of 2 transactions
11/05/21	1.000	11.98	11/04/21	705.00	693.02 W	0.00	Option sale to close-call ²⁵
	Security total:	35.96		2,158.02	693.02 W	-1,429.04	
TSLA 11/19/2021 CALL \$1,090.00 / CUSIP: / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	919.99	11/12/21	1,720.00	...	-800.01	1 of 2 - Option sale to close-call ²⁵
	1.000	919.99	11/15/21	355.00	...	564.99	2 of 2 - Option sale to close-call ²⁵
11/16/21	2.000	1,839.98	Various	2,075.00	...	-235.02	Total of 2 transactions
TSLA 11/19/2021 CALL \$910.00 / CUSIP: / Symbol:							
09/01/21	1.000	1,434.98	08/24/21	1,334.00	...	100.98	Option sale to close-call ²⁵
TSLA 12/03/2021 CALL \$1,110.00 / CUSIP: / Symbol:							
12/03/21	1.000	2.98	12/02/21	600.00	...	-597.02	Option sale to close-call ²⁵
TSLA 12/10/2021 CALL \$1,090.00 / CUSIP: / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	12/07/21	1,225.00	...	-1,220.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	12/09/21	370.00	...	-365.01	2 of 2 - Option sale to close-call ²⁵
12/10/21	2.000	9.98	Various	1,595.00	...	-1,585.02	Total of 2 transactions
TSLA 12/17/2021 CALL \$1,065.00 / CUSIP: / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	61.99	12/13/21	655.00	...	-593.01	1 of 2 - Option sale to close-call ²⁵
	1.000	61.99	12/13/21	320.00	...	-258.01	2 of 2 - Option sale to close-call ²⁵
12/15/21	2.000	123.98	Various	975.00	...	-851.02	Total of 2 transactions
TTD 11/12/2021 CALL \$90.00 / CUSIP: / Symbol:							
11/09/21	1.000	447.98	11/08/21	300.00	...	147.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 04/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
04/14/21	1.000	260.98	04/12/21	165.00	...	95.98	Option sale to close-call ²⁵
TWTR 08/27/2021 CALL \$75.00 / CUSIP: / Symbol:							
08/24/21	1.000	0.98	07/14/21	385.00	...	-384.02	Option sale to close-call ²⁵
TWTR 09/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	1.000	589.98	04/30/21	520.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,179.98	04/30/21	1,020.00	...	159.98	2 of 2 - Option sale to close-call ²⁵
06/17/21	3.000	1,769.96	Various	1,540.00	...	229.96	Total of 2 transactions
UAL 03/05/2021 CALL \$55.00 / CUSIP: / Symbol:							
03/02/21	1.000	54.98	03/01/21	63.00	...	-8.02	Option sale to close-call ²⁵
UAL 03/12/2021 CALL \$54.00 / CUSIP: / Symbol:							
03/01/21	1.000	330.98	02/26/21	227.00	...	103.98	Option sale to close-call ²⁵
UAL 12/03/2021 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	282.98	11/26/21	131.00	...	151.98	1 of 2 - Option sale to close-call ²⁵
	2.000	549.98	11/26/21	262.00	...	287.98	2 of 2 - Option sale to close-call ²⁵
11/29/21	3.000	832.96	Various	393.00	...	439.96	Total of 2 transactions
UWMC 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/15/21	1.000	9.98	03/03/21	170.00	...	-160.02	Option sale to close-call ²⁵
VFF 02/19/2021 CALL \$14.00 / CUSIP: / Symbol:							
01/21/21	1.000	99.98	01/15/21	165.00	...	-65.02	Option sale to close-call ²⁵
VIAC 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
04/28/21	1.000	584.98	03/29/21	960.00	...	-375.02	Option sale to close-call ²⁵
VXX 02/26/2021 CALL \$21.50 / CUSIP: / Symbol:							
5 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	8.00	02/01/21	296.00	...	-288.00	1 of 5 - Option sale to close-call ²⁵
	1.000	8.00	02/08/21	45.00	...	-37.00	2 of 5 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 02/26/2021 CALL \$21.50 / CUSIP: / Symbol: (cont'd)							
	3.000	23.99	02/23/21	45.00	...	-21.01	3 of 5 - Option sale to close-call ²⁵
	1.000	7.99	02/23/21	15.00	...	-7.01	4 of 5 - Option sale to close-call ²⁵
	1.000	8.00	02/23/21	15.00	...	-7.00	5 of 5 - Option sale to close-call ²⁵
02/25/21	7.000	55.98	Various	416.00	...	-360.02	Total of 5 transactions
VXX 12/23/2021 CALL \$24.50 / CUSIP: / Symbol:							
12/23/21	1.000	0.00	12/20/21	134.00	...	-134.00	Option expiration- long call
WDAY 03/18/2022 CALL \$290.00 / CUSIP: / Symbol:							
12/01/21	1.000	1,299.98	11/19/21	2,200.00	...	-900.02	Option sale to close-call ²⁵
WISH 12/03/2021 CALL \$6.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/11/21	62.00	...	-62.00	Option expiration- long call
WKHS 01/21/2022 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	37.99	11/01/21	37.00	...	0.99	1 of 2 - Option sale to close-call ²⁵
	1.000	37.99	11/01/21	37.00	...	0.99	2 of 2 - Option sale to close-call ²⁵
11/05/21	2.000	75.98	Various	74.00	...	1.98	Total of 2 transactions
ZM 12/17/2021 CALL \$197.50 / CUSIP: / Symbol:							
12/17/21	1.000	152.98	12/08/21	530.00	...	-377.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	4.000	10.70	01/15/21	9.52	...	1.18	1 of 4 - Sale ²⁵
	6.000	16.05	01/15/21	14.28	...	1.77	2 of 4 - Sale ²⁵
	7.000	18.72	01/15/21	15.75	...	2.97	3 of 4 - Sale ²⁵
	100.000	267.50	01/15/21	224.62	...	42.88	4 of 4 - Sale ²⁵
01/19/21	117.000	312.97	Various	264.17	...	48.80	Total of 4 transactions

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	10.000	27.65	12/28/20	25.58	...	2.07	1 of 2 - Sale ²⁵
	40.000	110.60	12/28/20	100.26	...	10.34	2 of 2 - Sale ²⁵
01/21/21	50.000	138.25	Various	125.84	...	12.41	Total of 2 transactions
01/27/21	5.000	13.83	01/08/21	12.49	...	1.34	Sale ²⁵
	Security total:	152.08		138.33	...	13.75	
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	4.000	5.18	12/28/20	6.36	...	-1.18	1 of 2 - Sale ²⁵
	1.000	1.29	12/28/20	1.59	...	-0.30	2 of 2 - Sale ²⁵
12/16/21	5.000	6.47	Various	7.95	...	-1.48	Total of 2 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
5 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	4.000	144.73	09/20/21	91.92	...	52.81	1 of 5 - Sale ²⁵
	2.064	74.66	10/04/21	50.00	...	24.66	2 of 5 - Sale ²⁵
	2.204	79.73	10/11/21	50.00	...	29.73	3 of 5 - Sale ²⁵
	4.003	144.85	10/18/21	100.00	...	44.85	4 of 5 - Sale ²⁵
	1.871	67.70	12/06/21	83.65	...	-15.95	5 of 5 - Sale ²⁵
12/10/21	14.142	511.67	Various	375.57	...	136.10	Total of 5 transactions
5 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	2.711	98.08	11/01/21	100.00	1.92 W	0.00	1 of 5 - Sale ²⁵
	2.189	79.20	11/08/21	100.00	20.80 W	0.00	2 of 5 - Sale ²⁵
	2.230	80.68	11/15/21	100.00	19.32 W	0.00	3 of 5 - Sale ²⁵
	1.882	68.10	11/22/21	100.00	31.90 W	0.00	4 of 5 - Sale ²⁵
	1.847	66.83	11/29/21	100.00	29.57 W	-3.60	5 of 5 - Sale ²⁵
12/10/21	10.858	392.89	Various	500.00	103.51 W	-3.60	Total of 5 transactions
4 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	0.366	14.62	12/06/21	16.61	...	-1.99	1 of 4 - Sale ²⁵
	0.227	9.08	12/13/21	10.99	...	-1.91	2 of 4 - Sale ²⁵
	0.711	28.40	12/13/21	28.14	...	0.26	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
	1.634	65.31	12/13/21	64.69	...	0.62	4 of 4 - Sale ²⁵
12/16/21	2.938	117.41	Various	120.43	...	-3.02	Total of 4 transactions
	Security total:	1,021.97		996.00	103.51 W	129.48	
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
12/16/21	1.000	35.27	02/01/21	20.27	...	15.00	Sale ²⁵
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
02/10/21	9.000	309.80	02/01/21	182.43	...	127.37	Sale ²⁵
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:							
03/03/21	10.000	83.20	02/17/21	114.18	...	-30.98	Sale ²⁵
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
03/03/21	13.000	17.30	02/12/21	27.56	...	-10.26	Sale ²⁵
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
01/27/21	1.000	21.39	12/28/20	18.09	...	3.30	Sale ²⁵
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol:							
03/03/21	10.000	41.45	03/01/21	30.50	...	10.95	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
01/21/21	1.000	60.59	01/08/21	55.56	...	5.03	Sale ²⁵
PROSHARES BITCOIN STRATEGY ETF / CUSIP: 74347G440 / Symbol:							
12/16/21	9.000	274.23	10/19/21	372.51	...	-98.28	Sale ²⁵
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
01/27/21	1.000	20.68	01/07/21	7.24	...	13.44	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
03/03/21	99.000	115.82	02/22/21	128.21	...	-12.39	Sale ²⁵
03/03/21	1.000	1.17	02/08/21	1.50	0.33 W	0.00	Sale ²⁵
	Security total:	116.99		129.71	0.33 W	-12.39	

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Robinhood Securities LLC

Account 115610628

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
02/11/21	150.000	350.64	02/09/21	236.83	...	113.81	Sale ²⁵
02/17/21	40.000	152.44	02/09/21	63.16	...	89.28	Sale ²⁵
02/18/21	9.000	29.61	02/09/21	14.21	...	15.40	Sale ²⁵
	Security total:	532.69		314.20	...	218.49	
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
	2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	5.000	4.90	02/08/21	4.44	...	0.46	1 of 2 - Sale ²⁵
	10.000	9.80	02/23/21	10.55	...	-0.75	2 of 2 - Sale ²⁵
03/03/21	15.000	14.70	Various	14.99	...	-0.29	Total of 2 transactions
Totals :		177,332.72		219,796.32	15,802.49 W	-26,661.11	

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Robinhood Securities LLC

Account 115610628

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
07/06/21	0.500	4.67	02/09/21	1.58	...	3.09	Gain or loss
Totals:		4.67		1.58	...	3.09	

Robinhood Securities LLC

Account 115610628

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/11/21	-5.00	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/10/21	-5.00	Margin interest paid	
		04/09/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/08/21	-5.00	Margin interest paid	
		07/08/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		10/06/21	-5.00	Margin interest paid	
		11/05/21	-5.00	Margin interest paid	
		12/06/21	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-60.00	Total Margin interest paid	

Robinhood Securities LLC

Account 115610628

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FIRST NBC BANK HOLDING CO COMM ON STOCK	32115D106	02/03/21	-2,016.00	0.00	Exchange	
GOLDEN MOUNTAIN FINANCIAL CORP	321CNT024	02/03/21	2,016.00	0.00	Exchange	
		02/24/21	-2,016.00	0.00	Exchange	
GOLDEN MOUNTAIN FINANCIAL CORP	381127109	02/24/21	2,016.00	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	1.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. CO MMON STOCK	56585W401	03/01/21	-1.00	0.00	Exchange	
META METALS INC PREFERRED (SER IES A)	59134N203	07/07/21	1.00	0.00	Stock spinoff	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

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checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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