

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 805560901</b>	Statement Date: 02/11/2022 Document ID: 887A 018 7787	2021
	Sainagendra Uppala 206 Players Ct 206 Nashville, TN 37211  RECIPIENT'S TIN: XXX-XX-2591		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,106,132.54	1,123,195.83	0.00	25,388.64	8,325.35
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.41	1.94	0.00	0.00	-0.53
	<b>Total Short-term</b>	<b>1,106,133.95</b>	<b>1,123,197.77</b>	<b>0.00</b>	<b>25,388.64</b>	<b>8,324.82</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,106,133.95</b>	<b>1,123,197.77</b>	<b>0.00</b>	<b>25,388.64</b>	<b>8,324.82</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 805560901

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/30/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/23/21	1.000	236.98	07/22/21	228.00	...	8.98	Option sale to close-call <sup>25</sup>
AAPL 08/27/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/25/21	1.000	60.98	08/24/21	159.00	...	-98.02	Option sale to close-call <sup>25</sup>
AAPL 09/17/2021 CALL \$150.00 / CUSIP: / Symbol:							
08/17/21	1.000	394.98	08/16/21	320.00	...	74.98	Option sale to close-call <sup>25</sup>
08/23/21	1.000	330.98	08/20/21	276.00	...	54.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>725.96</b>		<b>596.00</b>	...	<b>129.96</b>	
AAPL 10/15/2021 CALL \$165.00 / CUSIP: / Symbol:							
09/01/21	1.000	164.98	08/31/21	137.00	...	27.98	Option sale to close-call <sup>25</sup>
ABNB 06/18/2021 CALL \$150.00 / CUSIP: / Symbol:							
05/28/21	1.000	432.98	05/27/21	385.00	...	47.98	Option sale to close-call <sup>25</sup>

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Account 805560901

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 04/01/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/18/21	1.000	73.98	03/17/21	84.00	...	-10.02	Option sale to close-call <sup>25</sup>
AFRM 11/05/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/03/21	1.000	329.98	11/02/21	320.00	...	9.98	Option sale to close-call <sup>25</sup>
AHT 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/21/21	1.000	70.98	06/09/21	270.00	...	-199.02	Option sale to close-call <sup>25</sup>
AMC 03/12/2021 CALL \$12.00 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	03/10/21	137.00	...	-137.00	Option expiration- long call
AMC 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/08/21	1.000	299.98	11/03/21	320.00	...	-20.02	Option sale to close-call <sup>25</sup>
AMD 06/25/2021 CALL \$87.00 / CUSIP: / Symbol:							
06/21/21	1.000	25.98	06/18/21	119.00	...	-93.02	Option sale to close-call <sup>25</sup>
APPH 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/09/21	1.000	164.98	06/08/21	160.00	...	4.98	Option sale to close-call <sup>25</sup>
06/21/21	1.000	19.98	06/08/21	160.00	...	-140.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>184.96</b>		<b>320.00</b>	...	<b>-135.04</b>	
AQMS 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
	<i>3 transactions for 05/28/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.98	05/27/21	15.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	05/27/21	15.00	...	5.00	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	79.98	05/27/21	60.00	...	19.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/28/21	6.000	119.96	Various	90.00	...	29.96	Total of 3 transactions
ATER 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/09/21	1.000	89.98	11/09/21	75.00	...	14.98	Option sale to close-call <sup>25</sup>
BABA 10/01/2021 PUT \$148.00 / CUSIP: / Symbol:							
09/28/21	1.000	183.98	09/28/21	263.00	...	-79.02	Option sale to close-put <sup>25</sup>
BB 06/04/2021 CALL \$11.00 / CUSIP: / Symbol:							
05/28/21	1.000	103.98	05/27/21	62.00	...	41.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBIG 10/08/2021 CALL \$7.00 / CUSIP: / Symbol:							
10/08/21	2.000	0.00	09/30/21	114.00	...	-114.00	Option expiration- long call
BBIG 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	189.98	06/08/21	165.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	194.98	06/08/21	165.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	2.000	384.96	Various	330.00	...	54.96	Total of 2 transactions
BBIG 12/16/2022 CALL \$4.50 / CUSIP: / Symbol:							
08/30/21	1.000	429.98	08/27/21	130.00	...	299.98	Option sale to close-call <sup>25</sup>
BIDU 06/18/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	689.98	05/28/21	485.00	...	204.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	744.98	05/28/21	485.00	...	259.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/21	2.000	1,434.96	Various	970.00	...	464.96	Total of 2 transactions
BIDU 10/15/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	2.000	839.99	08/18/21	566.00	...	273.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	839.99	08/19/21	380.00	...	459.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/21	4.000	1,679.98	Various	946.00	...	733.98	Total of 2 transactions
BILI 06/04/2021 CALL \$109.00 / CUSIP: / Symbol:							
06/01/21	1.000	499.98	05/28/21	222.00	...	277.98	Option sale to close-call <sup>25</sup>
BSY 06/18/2021 CALL \$65.00 / CUSIP: / Symbol:							
06/09/21	1.000	174.98	06/07/21	150.00	...	24.98	Option sale to close-call <sup>25</sup>
BTBT 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
08/27/21	1.000	149.98	08/25/21	140.00	...	9.98	Option sale to close-call <sup>25</sup>
BUD 06/11/2021 CALL \$78.00 / CUSIP: / Symbol:							
06/07/21	1.000	67.98	06/02/21	63.00	...	4.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 10/15/2021 CALL \$120.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	09/27/21	175.00	...	-175.00	Option expiration- long call
C 07/09/2021 CALL \$72.00 / CUSIP: / Symbol:							
06/18/21	1.000	64.98	06/17/21	79.00	...	-14.02	Option sale to close-call <sup>25</sup>
CAN 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	1.000	116.98	06/01/21	109.00	...	7.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	157.98	06/01/21	109.00	...	48.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/21	2.000	274.96	Various	218.00	...	56.96	Total of 2 transactions
CBAT 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/18/21	1.000	104.98	02/18/21	103.00	...	1.98	Option sale to close-call <sup>25</sup>
CHWY 06/18/2021 CALL \$79.50 / CUSIP: / Symbol:							
06/11/21	1.000	163.98	06/10/21	395.00	...	-231.02	Option sale to close-call <sup>25</sup>
CHWY 07/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
07/07/21	1.000	213.98	07/06/21	208.00	...	5.98	Option sale to close-call <sup>25</sup>
CHWY 09/03/2021 CALL \$82.00 / CUSIP: / Symbol:							
09/02/21	1.000	42.98	09/02/21	76.00	...	-33.02	Option sale to close-call <sup>25</sup>
CLF 08/20/2021 CALL \$22.00 / CUSIP: / Symbol:							
07/09/21	1.000	192.98	07/07/21	189.00	...	3.98	Option sale to close-call <sup>25</sup>
CLOV 06/18/2021 PUT \$20.00 / CUSIP: / Symbol:							
06/10/21	1.000	609.98	06/08/21	420.00	...	189.98	Option sale to close-put <sup>25</sup>
CLOV 08/20/2021 CALL \$11.00 / CUSIP: / Symbol:							
06/08/21	1.000	799.98	06/07/21	260.00	...	539.98	Option sale to close-call <sup>25</sup>
CLOV 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/08/21	738.00	...	-738.00	Option expiration- long call
COIN 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
06/28/21	1.000	799.98	06/28/21	600.00	...	199.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CRM 05/28/2021 CALL \$235.00 / CUSIP: / Symbol:							
05/28/21	1.000	704.98	05/27/21	212.00	...	492.98	Option sale to close-call <sup>25</sup>
CRM 08/27/2021 CALL \$275.00 / CUSIP: / Symbol:							
08/26/21	1.000	155.98	08/26/21	320.00	...	-164.02	Option sale to close-call <sup>25</sup>
CVS 10/22/2021 CALL \$90.00 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	09/07/21	112.00	...	-112.00	Option expiration- long call
CVX 06/18/2021 CALL \$110.00 / CUSIP: / Symbol:							
06/10/21	1.000	83.98	06/10/21	85.00	...	-1.02	Option sale to close-call <sup>25</sup>
DASH 07/16/2021 CALL \$190.00 / CUSIP: / Symbol:							
07/07/21	1.000	389.98	07/07/21	370.00	...	19.98	Option sale to close-call <sup>25</sup>
DDOG 09/17/2021 CALL \$135.00 / CUSIP: / Symbol:							
08/24/21	1.000	484.98	08/23/21	359.00	...	125.98	Option sale to close-call <sup>25</sup>
DDOG 12/23/2021 CALL \$180.00 / CUSIP: / Symbol:							
12/22/21	1.000	389.98	12/22/21	355.00	...	34.98	Option sale to close-call <sup>25</sup>
DIS 12/31/2021 CALL \$157.50 / CUSIP: / Symbol:							
12/29/21	1.000	68.98	12/28/21	83.00	...	-14.02	Option sale to close-call <sup>25</sup>
DOCU 09/03/2021 CALL \$330.00 / CUSIP: / Symbol:							
09/02/21	1.000	114.98	08/30/21	350.00	...	-235.02	Option sale to close-call <sup>25</sup>
ENG 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/10/21	1.000	69.98	06/01/21	65.00	...	4.98	Option sale to close-call <sup>25</sup>
FSLY 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/09/21	1.000	429.98	06/08/21	233.00	...	196.98	Option sale to close-call <sup>25</sup>
FSR 06/18/2021 CALL \$17.50 / CUSIP: / Symbol:							
06/07/21	1.000	99.98	06/04/21	89.00	...	10.98	Option sale to close-call <sup>25</sup>
FUBO 07/23/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/09/21	1.000	184.98	07/08/21	159.00	...	25.98	Option sale to close-call <sup>25</sup>

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(continued)

02/11/2022

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GME 08/27/2021 CALL \$195.00 / CUSIP: / Symbol:							
08/24/21	1.000	2,499.97	08/24/21	1,340.00	...	1,159.97	Option sale to close-call <sup>25</sup>
GRWG 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
06/28/21	3.000	599.98	06/28/21	501.00	...	98.98	Option sale to close-call <sup>25</sup>
GSAT 12/17/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	09/08/21	78.00	...	-78.00	Option expiration- long call
HOOD 10/01/2021 PUT \$43.00 / CUSIP: / Symbol:							
09/28/21	1.000	119.98	09/28/21	75.00	...	44.98	Option sale to close-put <sup>25</sup>
HOOD 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/09/21	1.000	23.98	11/03/21	56.00	...	-32.02	Option sale to close-call <sup>25</sup>
HRB 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/05/21	2.000	159.98	01/04/21	148.00	...	11.98	Option sale to close-call <sup>25</sup>
JD 07/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
06/30/21	1.000	199.98	06/29/21	184.00	...	15.98	Option sale to close-call <sup>25</sup>
JMIA 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	85.99	11/09/21	139.00	...	-53.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	85.99	11/09/21	126.00	...	-40.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	2.000	171.98	Various	265.00	...	-93.02	Total of 2 transactions
KNDI 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
<i>4 transactions for 06/14/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	7.99	06/09/21	68.00	...	-60.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	3.99	06/09/21	34.00	...	-30.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.00	06/09/21	34.00	...	-30.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.00	06/09/21	34.00	...	-30.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/14/21	5.000	19.98	Various	170.00	...	-150.02	Total of 4 transactions
KPLT 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
09/07/21	1.000	94.98	09/03/21	70.00	...	24.98	Option sale to close-call <sup>25</sup>

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Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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KR 07/23/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/21/21	1.000	42.98	07/21/21	47.00	...	-4.02	Option sale to close-call <sup>25</sup>
LCID 07/30/2021 CALL \$26.00 / CUSIP: / Symbol:							
07/26/21	1.000	144.98	07/26/21	125.00	...	19.98	Option sale to close-call <sup>25</sup>
MILE 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
09/17/21	1.000	0.00	09/03/21	75.00	...	-75.00	Option expiration- long call
MRNA 08/20/2021 CALL \$400.00 / CUSIP: / Symbol:							
08/17/21	1.000	899.98	08/17/21	256.00	...	643.98	Option sale to close-call <sup>25</sup>
MS 08/20/2021 CALL \$103.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	08/18/21	69.00	...	-69.00	Option expiration- long call
MSFT 06/18/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/11/21	1.000	154.98	06/10/21	142.00	...	12.98	Option sale to close-call <sup>25</sup>
MSFT 06/25/2021 CALL \$265.00 / CUSIP: / Symbol:							
06/18/21	1.000	74.98	06/17/21	108.00	...	-33.02	Option sale to close-call <sup>25</sup>
MSFT 07/30/2021 CALL \$310.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.98	07/27/21	23.00	...	-22.02	Option sale to close-call <sup>25</sup>
MSFT 11/19/2021 CALL \$337.50 / CUSIP: / Symbol:							
11/02/21	1.000	309.98	11/02/21	301.00	...	8.98	Option sale to close-call <sup>25</sup>
MU 11/26/2021 CALL \$80.00 / CUSIP: / Symbol:							
11/12/21	1.000	90.98	11/09/21	82.00	...	8.98	Option sale to close-call <sup>25</sup>
NET 08/13/2021 CALL \$125.00 / CUSIP: / Symbol:							
08/09/21	1.000	186.98	08/09/21	174.00	...	12.98	Option sale to close-call <sup>25</sup>
NET 08/13/2021 CALL \$127.00 / CUSIP: / Symbol:							
08/10/21	1.000	244.98	08/10/21	243.00	...	1.98	Option sale to close-call <sup>25</sup>
NFLX 10/01/2021 CALL \$625.00 / CUSIP: / Symbol:							
09/30/21	1.000	126.98	09/30/21	325.00	...	-198.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO 03/05/2021 CALL \$48.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	03/01/21	360.00	...	-360.00	Option expiration- long call
NIO 06/11/2021 CALL \$42.00 / CUSIP: / Symbol:							
06/01/21	2.000	291.98	06/01/21	264.00	...	27.98	Option sale to close-call <sup>25</sup>
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/09/21	1.000	89.98	11/09/21	77.00	...	12.98	Option sale to close-call <sup>25</sup>
NVDA 08/13/2021 PUT \$195.00 / CUSIP: / Symbol:							
08/11/21	1.000	199.98	08/11/21	183.00	...	16.98	Option sale to close-put <sup>25</sup>
NVDA 08/27/2021 CALL \$210.00 / CUSIP: / Symbol:							
08/20/21	1.000	259.98	08/19/21	204.00	...	55.98	Option sale to close-call <sup>25</sup>
NVDA 09/03/2021 CALL \$220.00 / CUSIP: / Symbol:							
08/25/21	1.000	599.98	08/24/21	490.00	...	109.98	Option sale to close-call <sup>25</sup>
NVDA 09/10/2021 CALL \$230.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	08/30/21	368.00	...	-368.00	Option expiration- long call
OCGN 06/25/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/22/21	1.000	49.98	06/21/21	50.00	...	-0.02	Option sale to close-call <sup>25</sup>
OPEN 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/10/21	1.000	20.98	06/09/21	60.00	...	-39.02	Option sale to close-call <sup>25</sup>
ORCL 07/16/2021 CALL \$87.50 / CUSIP: / Symbol:							
07/09/21	1.000	127.98	07/09/21	108.00	...	19.98	Option sale to close-call <sup>25</sup>
PDD 08/13/2021 CALL \$94.00 / CUSIP: / Symbol:							
07/28/21	1.000	369.98	07/26/21	340.00	...	29.98	Option sale to close-call <sup>25</sup>
PDD 09/03/2021 CALL \$100.00 / CUSIP: / Symbol:							
08/31/21	1.000	125.98	08/31/21	242.00	...	-116.02	Option sale to close-call <sup>25</sup>
PENN 09/17/2021 CALL \$90.00 / CUSIP: / Symbol:							
09/08/21	1.000	163.98	09/07/21	118.00	...	45.98	Option sale to close-call <sup>25</sup>

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PLTR 11/12/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/09/21	1.000	3.98	11/08/21	48.00	...	-44.02	Option sale to close-call <sup>25</sup>
PLUG 07/16/2021 CALL \$34.00 / CUSIP: / Symbol:							
06/28/21	1.000	177.98	06/25/21	157.02	...	20.96	Option sale to close-call <sup>25</sup>
06/28/21	1.000	177.98	06/24/21	185.00	7.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>355.96</b>		<b>342.02</b>	<b>7.02 W</b>	<b>20.96</b>	
PLUG 09/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/08/21	1.000	434.98	06/07/21	355.00	...	79.98	Option sale to close-call <sup>25</sup>
PTON 07/02/2021 CALL \$119.00 / CUSIP: / Symbol:							
06/24/21	1.000	384.98	06/23/21	330.00	...	54.98	Option sale to close-call <sup>25</sup>
QS 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	132.98	06/01/21	102.00	...	30.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	261.98	06/01/21	204.00	...	57.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	3.000	394.96	Various	306.00	...	88.96	Total of 2 transactions
RBLX 09/17/2021 CALL \$90.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	379.98	08/23/21	310.00	...	69.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	08/23/21	310.00	...	69.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/21	2.000	759.96	Various	620.00	...	139.96	Total of 2 transactions
RGLS 07/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
06/21/21	1.000	4.98	06/10/21	70.00	...	-65.02	Option sale to close-call <sup>25</sup>
RMO 06/18/2021 CALL \$11.00 / CUSIP: / Symbol:							
06/09/21	1.000	59.98	06/08/21	57.00	...	2.98	Option sale to close-call <sup>25</sup>
ROOT 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/03/21	2.000	349.98	06/02/21	300.00	...	49.98	Option sale to close-call <sup>25</sup>
ROOT 09/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/18/21	1.000	119.98	06/14/21	210.00	...	-90.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

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ROOT 09/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/30/21	184.00	...	-184.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/30/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
09/17/21	3.000	0.00	Various	259.00	...	-259.00	Total of 2 transactions
RUN 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/01/21	1.000	459.98	02/26/21	320.00	...	139.98	Option sale to close-call <sup>25</sup>
SBUX 06/04/2021 CALL \$115.00 / CUSIP: / Symbol:							
05/28/21	1.000	59.98	05/27/21	55.00	...	4.98	Option sale to close-call <sup>25</sup>
06/02/21	1.000	18.98	05/27/21	55.00	...	-36.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>78.96</b>		<b>110.00</b>	...	<b>-31.04</b>	
SNAP 07/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	376.00	06/23/21	286.00	...	90.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,127.98	06/23/21	858.00	...	269.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/21	4.000	1,503.98	Various	1,144.00	...	359.98	Total of 2 transactions
SOLO 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/04/21	1.000	42.98	12/31/20	30.00	...	12.98	Option sale to close-call <sup>25</sup>
SOS 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/17/21	1.000	349.98	02/16/21	271.00	...	78.98	Option sale to close-call <sup>25</sup>
SPCE 09/10/2021 CALL \$26.00 / CUSIP: / Symbol:							
08/25/21	2.000	409.98	08/24/21	342.00	...	67.98	Option sale to close-call <sup>25</sup>
SPRT 09/17/2021 CALL \$11.00 / CUSIP: / Symbol:							
08/23/21	1.000	190.98	08/23/21	153.00	...	37.98	Option sale to close-call <sup>25</sup>
SPY 06/23/2021 CALL \$420.00 / CUSIP: / Symbol:							
06/21/21	1.000	211.98	06/21/21	193.00	...	18.98	Option sale to close-call <sup>25</sup>
SPY 06/23/2021 PUT \$420.00 / CUSIP: / Symbol:							
06/21/21	1.000	156.98	06/21/21	143.00	...	13.98	Option sale to close-put <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/12/2021 CALL \$430.00 / CUSIP: / Symbol: 07/08/21	1.000	157.98	07/08/21	193.00	...	-35.02	Option sale to close-call <sup>25</sup>
SPY 07/19/2021 PUT \$435.00 / CUSIP: / Symbol: 07/14/21	1.000	175.98	07/14/21	131.00	...	44.98	Option sale to close-put <sup>25</sup>
SPY 07/30/2021 CALL \$440.00 / CUSIP: / Symbol: 07/27/21	2.000	329.98	07/27/21	400.00	...	-70.02	Option sale to close-call <sup>25</sup>
SPY 08/18/2021 PUT \$442.00 / CUSIP: / Symbol: 08/18/21	1.000	20.98	08/17/21	186.00	...	-165.02	Option sale to close-put <sup>25</sup>
SPY 08/20/2021 PUT \$443.00 / CUSIP: / Symbol: 08/16/21	1.000	162.98	08/16/21	206.00	...	-43.02	Option sale to close-put <sup>25</sup>
STEM 09/17/2021 CALL \$35.00 / CUSIP: / Symbol: 06/07/21	1.000	294.98	06/03/21	260.00	...	34.98	Option sale to close-call <sup>25</sup>
TIGR 10/15/2021 CALL \$15.00 / CUSIP: / Symbol: 08/31/21	1.000	169.98	08/30/21	165.00	...	4.98	Option sale to close-call <sup>25</sup>
TLRY 11/26/2021 CALL \$14.00 / CUSIP: / Symbol: 11/15/21	1.000	85.98	11/15/21	107.00	...	-21.02	Option sale to close-call <sup>25</sup>
TSLA 05/28/2021 CALL \$617.50 / CUSIP: / Symbol: 05/27/21	1.000	1,199.98	05/27/21	1,120.00	...	79.98	Option sale to close-call <sup>25</sup>
TSLA 06/04/2021 CALL \$650.00 / CUSIP: / Symbol: 06/01/21	1.000	509.98	06/01/21	641.00	...	-131.02	Option sale to close-call <sup>25</sup>
TSLA 06/11/2021 CALL \$620.00 / CUSIP: / Symbol: 06/10/21	1.000	267.98	06/09/21	705.00	...	-437.02	Option sale to close-call <sup>25</sup>
TSLA 06/18/2021 CALL \$625.00 / CUSIP: / Symbol: 06/17/21	1.000	277.98	06/16/21	278.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSLA 06/18/2021 CALL \$630.00 / CUSIP: / Symbol: 06/14/21	1.000	1,029.98	06/14/21	893.00	...	136.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/18/2021 CALL \$650.00 / CUSIP: / Symbol:							
06/14/21	1.000	202.98	06/14/21	251.00	...	-48.02	Option sale to close-call <sup>25</sup>
TSLA 07/30/2021 CALL \$750.00 / CUSIP: / Symbol:							
07/30/21	1.000	1.98	07/26/21	164.00	...	-162.02	Option sale to close-call <sup>25</sup>
TSLA 08/20/2021 CALL \$675.00 / CUSIP: / Symbol:							
08/18/21	1.000	1,409.98	08/18/21	1,195.00	...	214.98	Option sale to close-call <sup>25</sup>
TSLA 10/01/2021 CALL \$820.00 / CUSIP: / Symbol:							
09/28/21	1.000	283.98	09/27/21	212.00	...	71.98	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
11/03/21	1.000	1,099.98	11/03/21	760.00	...	339.98	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,300.00 / CUSIP: / Symbol:							
	4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	26.00	11/04/21	745.00	...	-719.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	25.99	11/04/21	370.00	...	-344.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	25.99	11/04/21	212.00	...	-186.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	26.00	11/04/21	197.00	...	-171.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/05/21	4.000	103.98	Various	1,524.00	...	-1,420.02	Total of 4 transactions
TWTR 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/07/21	1.000	125.98	06/03/21	121.00	...	4.98	Option sale to close-call <sup>25</sup>
TWTR 08/06/2021 PUT \$67.00 / CUSIP: / Symbol:							
08/05/21	1.000	7.98	07/27/21	184.00	...	-176.02	Option sale to close-put <sup>25</sup>
UNH 06/11/2021 CALL \$415.00 / CUSIP: / Symbol:							
06/08/21	1.000	16.98	06/01/21	305.00	...	-288.02	Option sale to close-call <sup>25</sup>
UPS 08/06/2021 CALL \$195.00 / CUSIP: / Symbol:							
08/05/21	1.000	10.98	07/28/21	200.00	...	-189.02	Option sale to close-call <sup>25</sup>
UPS 08/06/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/06/21	1.000	65.98	08/05/21	0.00	...	65.98	Short sale closed- call <sup>25</sup> Option written: 07/28/21

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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V 09/17/2021 CALL \$320.00 / CUSIP: / Symbol:							
02/10/21	1.000	62.98	12/03/20	63.00	...	-0.02	Option sale to close-call <sup>25</sup>
VALE 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
03/19/21	4.000	0.00	01/07/21	88.00	...	-88.00	Option expiration- long call
VALE 06/11/2021 PUT \$22.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	26.98	06/07/21	25.00	...	1.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	31.98	06/07/21	25.00	...	6.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/08/21	2.000	58.96	Various	50.00	...	8.96	Total of 2 transactions
VIAC 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/28/21	1.000	156.98	06/25/21	114.00	...	42.98	Option sale to close-call <sup>25</sup>
WISH 07/16/2021 CALL \$14.00 / CUSIP: / Symbol:							
06/25/21	1.000	229.98	06/24/21	205.00	...	24.98	Option sale to close-call <sup>25</sup>
WISH 07/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/28/21	440.00	...	-440.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/28/21	220.00	...	-220.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/28/21	220.00	...	-220.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/28/21	220.00	...	-220.00	4 of 4 - Option expiration- long call
07/16/21	5.000	0.00	Various	1,100.00	...	-1,100.00	Total of 4 transactions
X 08/20/2021 CALL \$29.50 / CUSIP: / Symbol:							
08/18/21	1.000	66.98	08/16/21	58.00	...	8.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/27/21	500.000	7,999.76	01/27/21	7,499.25	...	500.51	Sale <sup>25</sup>
05/25/21	7.000	114.94	03/18/21	97.86	...	17.08	Sale <sup>25</sup>
05/25/21	200.000	3,283.96	01/27/21	3,293.50	0.81 W	-8.73	Sale <sup>25</sup>
05/26/21	0.153	2.71	03/18/21	2.14	...	0.57	Sale <sup>25</sup>
05/27/21	16.900	494.18	05/27/21	500.37	0.01 W	-6.18	Sale <sup>25</sup>
06/02/21	0.015	0.57	05/27/21	0.45	...	0.12	Sale <sup>25</sup>
<b>Security total:</b>		<b>11,896.12</b>		<b>11,393.57</b>	<b>0.82 W</b>	<b>503.37</b>	

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.094	1.32	01/19/21	1.09	...	0.23	1 of 3 - Sale <sup>25</sup>
	0.102	1.44	01/19/21	1.19	...	0.25	2 of 3 - Sale <sup>25</sup>
	17.000	239.02	01/19/21	197.71	...	41.31	3 of 3 - Sale <sup>25</sup>
01/25/21	17.196	241.78	Various	199.99	...	41.79	Total of 3 transactions
ALTERITY THERAPEUTICS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 02155X106 / Symbol:							
08/04/21	200.664	324.05	07/15/21	302.00	...	22.05	Sale <sup>25</sup>
AVIDXCHANGE HOLDINGS, INC. COM MON STOCK / CUSIP: 05368X102 / Symbol:							
3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	0.004	0.10	10/13/21	0.10	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.446	11.00	10/13/21	10.77	...	0.23	2 of 3 - Sale <sup>25</sup>
	10.550	259.53	10/13/21	254.67	...	4.86	3 of 3 - Sale <sup>25</sup>
10/14/21	11.000	270.63	Various	265.54	...	5.09	Total of 3 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.105	4.18	01/22/21	3.16	...	1.02	1 of 3 - Sale <sup>25</sup>
	0.556	22.02	01/22/21	16.72	...	5.30	2 of 3 - Sale <sup>25</sup>
	24.000	951.14	01/22/21	719.00	...	232.14	3 of 3 - Sale <sup>25</sup>
01/25/21	24.661	977.34	Various	738.88	...	238.46	Total of 3 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
7 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.014	0.18	01/22/21	0.13	...	0.05	1 of 7 - Sale <sup>25</sup>
	0.098	1.23	01/22/21	0.91	...	0.32	2 of 7 - Sale <sup>25</sup>
	0.195	2.44	01/22/21	1.81	...	0.63	3 of 7 - Sale <sup>25</sup>
	0.395	4.97	01/22/21	3.59	...	1.38	4 of 7 - Sale <sup>25</sup>
	0.436	5.47	01/22/21	4.05	...	1.42	5 of 7 - Sale <sup>25</sup>
	60.960	765.73	01/22/21	566.38	...	199.35	6 of 7 - Sale <sup>25</sup>
	99.000	1,243.54	01/22/21	900.28	...	343.26	7 of 7 - Sale <sup>25</sup>
01/25/21	161.098	2,023.56	Various	1,477.15	...	546.41	Total of 7 transactions

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol:							
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	0.276	1.68	11/24/21	1.66	...	0.02	1 of 2 - Sale <sup>25</sup>
	4,144.724	25,282.20	11/24/21	24,992.69	...	289.51	2 of 2 - Sale <sup>25</sup>
11/24/21	4,145.000	25,283.88	Various	24,994.35	...	289.53	Total of 2 transactions
33 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	0.938	6.68	11/24/21	5.65	...	1.03	1 of 33 - Sale <sup>25</sup>
	0.124	0.89	11/26/21	0.87	...	0.02	2 of 33 - Sale <sup>25</sup>
	1.000	9.20	11/26/21	8.25	...	0.95	3 of 33 - Sale <sup>25</sup>
	0.835	7.68	11/26/21	5.86	...	1.82	4 of 33 - Sale <sup>25</sup>
	5.835	53.68	11/26/21	48.02	...	5.66	5 of 33 - Sale <sup>25</sup>
	52.000	374.39	11/26/21	365.04	...	9.35	6 of 33 - Sale <sup>25</sup>
	18.000	165.60	11/26/21	148.32	...	17.28	7 of 33 - Sale <sup>25</sup>
	18.000	165.60	11/26/21	148.32	...	17.28	8 of 33 - Sale <sup>25</sup>
	200.000	1,439.97	11/26/21	1,404.00	...	35.97	9 of 33 - Sale <sup>25</sup>
	214.000	1,540.76	11/26/21	1,502.28	...	38.48	10 of 33 - Sale <sup>25</sup>
	46.000	423.19	11/26/21	379.04	...	44.15	11 of 33 - Sale <sup>25</sup>
	82.000	754.39	11/26/21	675.68	...	78.71	12 of 33 - Sale <sup>25</sup>
	82.000	754.39	11/26/21	675.68	...	78.71	13 of 33 - Sale <sup>25</sup>
	94.165	866.30	11/26/21	774.98	...	91.32	14 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	15 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	16 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	17 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	18 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	19 of 33 - Sale <sup>25</sup>
	100.000	919.98	11/26/21	824.00	...	95.98	20 of 33 - Sale <sup>25</sup>
	105.165	967.50	11/26/21	867.61	...	99.89	21 of 33 - Sale <sup>25</sup>
	624.000	4,492.70	11/26/21	4,380.48	...	112.22	22 of 33 - Sale <sup>25</sup>
	186.000	1,711.17	11/26/21	1,532.64	...	178.53	23 of 33 - Sale <sup>25</sup>
	994.876	7,162.95	11/26/21	6,984.03	...	178.92	24 of 33 - Sale <sup>25</sup>
	200.000	1,839.96	11/26/21	1,648.00	...	191.96	25 of 33 - Sale <sup>25</sup>
	200.000	1,839.96	11/26/21	1,648.00	...	191.96	26 of 33 - Sale <sup>25</sup>
	200.000	1,839.97	11/26/21	1,648.00	...	191.97	27 of 33 - Sale <sup>25</sup>
	200.000	1,839.97	11/26/21	1,648.00	...	191.97	28 of 33 - Sale <sup>25</sup>
	200.000	1,839.97	11/26/21	1,648.00	...	191.97	29 of 33 - Sale <sup>25</sup>

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Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol: (cont'd)							
	250.000	2,299.96	11/26/21	2,060.00	...	239.96	30 of 33 - Sale <sup>25</sup>
	250.000	2,299.96	11/26/21	2,060.00	...	239.96	31 of 33 - Sale <sup>25</sup>
	1,622.000	11,678.15	11/26/21	11,386.44	...	291.71	32 of 33 - Sale <sup>25</sup>
	500.000	4,599.92	11/26/21	4,120.00	...	479.92	33 of 33 - Sale <sup>25</sup>
11/26/21	6,946.938	56,494.74	Various	52,717.19	...	3,777.55	Total of 33 transactions
12/21/21	0.904	11.20	11/26/21	7.46	...	3.74	Sale <sup>25</sup>
12/31/21	10.834	83.10	12/28/21	100.00	...	-16.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>81,872.92</b>		<b>77,819.00</b>	...	<b>4,053.92</b>	
BLUEJAY DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 095633103 / Symbol:							
6 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	0.254	0.84	12/20/21	0.81	...	0.03	1 of 6 - Sale <sup>25</sup>
	100.000	330.02	12/20/21	321.00	...	9.02	2 of 6 - Sale <sup>25</sup>
	200.000	660.03	12/20/21	642.00	...	18.03	3 of 6 - Sale <sup>25</sup>
	300.000	990.05	12/20/21	963.00	...	27.05	4 of 6 - Sale <sup>25</sup>
	505.000	1,666.58	12/20/21	1,621.05	...	45.53	5 of 6 - Sale <sup>25</sup>
	5,823.025	19,216.94	12/20/21	18,750.14	...	466.80	6 of 6 - Sale <sup>25</sup>
12/21/21	6,928.279	22,864.46	Various	22,298.00	...	566.46	Total of 6 transactions
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
15 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	4.218	8.43	11/29/21	7.36	...	1.07	1 of 15 - Sale <sup>25</sup>
	40.000	80.00	11/29/21	70.00	...	10.00	2 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	3 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	4 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	5 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	6 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	7 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	8 of 15 - Sale <sup>25</sup>
	100.000	199.99	11/29/21	174.50	...	25.49	9 of 15 - Sale <sup>25</sup>
	623.218	1,246.35	11/29/21	1,090.63	...	155.72	10 of 15 - Sale <sup>25</sup>
	891.000	1,781.88	11/29/21	1,559.25	...	222.63	11 of 15 - Sale <sup>25</sup>
	1,352.782	2,705.39	11/29/21	2,367.37	...	338.02	12 of 15 - Sale <sup>25</sup>
	1,876.782	3,753.32	11/29/21	3,284.37	...	468.95	13 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	2,904.218	5,808.05	11/29/21	5,082.38	...	725.67	14 of 15 - Sale <sup>25</sup>
	8,636.782	17,272.43	11/29/21	15,114.37	...	2,158.06	15 of 15 - Sale <sup>25</sup>
11/29/21	17,029.000	34,055.78	Various	29,797.23	...	4,258.55	Total of 15 transactions
	170 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	99.000	116.83	11/29/21	321.26	...	-204.43	1 of 170 - Sale <sup>25</sup>
	99.000	116.84	11/29/21	321.26	...	-204.42	2 of 170 - Sale <sup>25</sup>
	58.000	68.44	11/29/21	267.93	...	-199.49	3 of 170 - Sale <sup>25</sup>
	58.000	68.44	11/29/21	267.92	...	-199.48	4 of 170 - Sale <sup>25</sup>
	56.000	66.08	11/29/21	258.69	...	-192.61	5 of 170 - Sale <sup>25</sup>
	56.061	66.43	11/29/21	258.97	...	-192.54	6 of 170 - Sale <sup>25</sup>
	55.061	65.24	11/29/21	254.35	...	-189.11	7 of 170 - Sale <sup>25</sup>
	55.061	65.24	11/29/21	254.34	...	-189.10	8 of 170 - Sale <sup>25</sup>
	51.000	60.17	11/29/21	235.39	...	-175.22	9 of 170 - Sale <sup>25</sup>
	50.000	58.99	11/29/21	230.97	...	-171.98	10 of 170 - Sale <sup>25</sup>
	50.000	58.99	11/29/21	230.78	...	-171.79	11 of 170 - Sale <sup>25</sup>
	48.970	57.78	11/29/21	226.20	...	-168.42	12 of 170 - Sale <sup>25</sup>
	47.061	55.53	11/29/21	217.39	...	-161.86	13 of 170 - Sale <sup>25</sup>
	47.061	55.57	11/29/21	217.39	...	-161.82	14 of 170 - Sale <sup>25</sup>
	47.061	55.76	11/29/21	217.39	...	-161.63	15 of 170 - Sale <sup>25</sup>
	44.939	53.25	11/29/21	207.59	...	-154.34	16 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	194.05	...	-144.49	17 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	194.05	...	-144.49	18 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	194.02	...	-144.46	19 of 170 - Sale <sup>25</sup>
	42.000	49.77	11/29/21	194.02	...	-144.25	20 of 170 - Sale <sup>25</sup>
	42.000	49.77	11/29/21	194.02	...	-144.25	21 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	189.41	...	-141.04	22 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	189.40	...	-141.03	23 of 170 - Sale <sup>25</sup>
	41.000	48.38	11/29/21	189.40	...	-141.02	24 of 170 - Sale <sup>25</sup>
	41.000	48.42	11/29/21	189.38	...	-140.96	25 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	189.24	...	-140.87	26 of 170 - Sale <sup>25</sup>
	41.000	48.58	11/29/21	189.41	...	-140.83	27 of 170 - Sale <sup>25</sup>
	60.970	71.95	11/29/21	197.85	...	-125.90	28 of 170 - Sale <sup>25</sup>
	35.000	41.30	11/29/21	161.69	...	-120.39	29 of 170 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	188.22	...	-119.79	30 of 170 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	58.000	68.43	11/29/21	188.22	...	-119.79	31 of 170 - Sale <sup>25</sup>
	43.000	50.73	11/29/21	169.00	...	-118.27	32 of 170 - Sale <sup>25</sup>
	57.000	67.25	11/29/21	184.98	...	-117.73	33 of 170 - Sale <sup>25</sup>
	34.030	40.16	11/29/21	157.25	...	-117.09	34 of 170 - Sale <sup>25</sup>
	34.000	40.11	11/29/21	157.07	...	-116.96	35 of 170 - Sale <sup>25</sup>
	34.000	40.12	11/29/21	157.06	...	-116.94	36 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	165.08	...	-115.53	37 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.16	...	-112.79	38 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.15	...	-112.78	39 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.14	...	-112.77	40 of 170 - Sale <sup>25</sup>
	41.000	48.38	11/29/21	161.14	...	-112.76	41 of 170 - Sale <sup>25</sup>
	39.970	47.16	11/29/21	157.08	...	-109.92	42 of 170 - Sale <sup>25</sup>
	27.273	32.19	11/29/21	126.01	...	-93.82	43 of 170 - Sale <sup>25</sup>
	44.304	52.27	11/29/21	143.77	...	-91.50	44 of 170 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	129.70	...	-90.76	45 of 170 - Sale <sup>25</sup>
	43.000	50.73	11/29/21	139.55	...	-88.82	46 of 170 - Sale <sup>25</sup>
	43.000	50.73	11/29/21	139.55	...	-88.82	47 of 170 - Sale <sup>25</sup>
	43.000	50.73	11/29/21	139.55	...	-88.82	48 of 170 - Sale <sup>25</sup>
	32.000	37.76	11/29/21	125.77	...	-88.01	49 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	136.30	...	-86.75	50 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	136.30	...	-86.75	51 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	136.30	...	-86.75	52 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	136.30	...	-86.75	53 of 170 - Sale <sup>25</sup>
	42.000	49.55	11/29/21	136.30	...	-86.75	54 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	136.30	...	-86.74	55 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	136.30	...	-86.74	56 of 170 - Sale <sup>25</sup>
	42.000	49.56	11/29/21	136.29	...	-86.73	57 of 170 - Sale <sup>25</sup>
	25.030	29.54	11/29/21	115.67	...	-86.13	58 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	133.06	...	-84.69	59 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	133.06	...	-84.69	60 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	133.05	...	-84.68	61 of 170 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	133.05	...	-84.68	62 of 170 - Sale <sup>25</sup>
	41.000	48.38	11/29/21	133.06	...	-84.68	63 of 170 - Sale <sup>25</sup>
	41.000	48.38	11/29/21	133.05	...	-84.67	64 of 170 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
41.000		48.38	11/29/21	133.05	...	-84.67	65 of 170 - Sale <sup>25</sup>
41.000		48.38	11/29/21	133.05	...	-84.67	66 of 170 - Sale <sup>25</sup>
41.000		48.38	11/29/21	133.05	...	-84.67	67 of 170 - Sale <sup>25</sup>
41.000		48.38	11/29/21	133.05	...	-84.67	68 of 170 - Sale <sup>25</sup>
41.000		48.38	11/29/21	133.05	...	-84.67	69 of 170 - Sale <sup>25</sup>
41.000		48.38	11/29/21	133.04	...	-84.66	70 of 170 - Sale <sup>25</sup>
39.666		46.81	11/29/21	128.72	...	-81.91	71 of 170 - Sale <sup>25</sup>
39.030		46.06	11/29/21	126.66	...	-80.60	72 of 170 - Sale <sup>25</sup>
26.000		30.68	11/29/21	102.20	...	-71.52	73 of 170 - Sale <sup>25</sup>
25.000		29.50	11/29/21	98.26	...	-68.76	74 of 170 - Sale <sup>25</sup>
24.000		28.32	11/29/21	94.33	...	-66.01	75 of 170 - Sale <sup>25</sup>
17.030		20.09	11/29/21	78.68	...	-58.59	76 of 170 - Sale <sup>25</sup>
17.000		20.06	11/29/21	78.54	...	-58.48	77 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	73.92	...	-55.04	78 of 170 - Sale <sup>25</sup>
15.000		17.70	11/29/21	69.29	...	-51.59	79 of 170 - Sale <sup>25</sup>
15.000		17.70	11/29/21	69.29	...	-51.59	80 of 170 - Sale <sup>25</sup>
22.030		25.99	11/29/21	71.49	...	-45.50	81 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	62.89	...	-44.01	82 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	62.89	...	-44.01	83 of 170 - Sale <sup>25</sup>
19.970		23.56	11/29/21	64.80	...	-41.24	84 of 170 - Sale <sup>25</sup>
17.030		20.09	11/29/21	55.27	...	-35.18	85 of 170 - Sale <sup>25</sup>
17.000		20.06	11/29/21	55.17	...	-35.11	86 of 170 - Sale <sup>25</sup>
17.000		20.06	11/29/21	55.17	...	-35.11	87 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	88 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	89 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	90 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	91 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	92 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.93	...	-33.05	93 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.92	...	-33.04	94 of 170 - Sale <sup>25</sup>
16.000		18.88	11/29/21	51.92	...	-33.04	95 of 170 - Sale <sup>25</sup>
9.000		10.62	11/29/21	41.57	...	-30.95	96 of 170 - Sale <sup>25</sup>
9.000		10.62	11/29/21	41.57	...	-30.95	97 of 170 - Sale <sup>25</sup>
8.000		9.44	11/29/21	36.96	...	-27.52	98 of 170 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	8.000	9.45	11/29/21	36.96	...	-27.51	99 of 170 - Sale <sup>25</sup>
	8.000	9.48	11/29/21	36.95	...	-27.47	100 of 170 - Sale <sup>25</sup>
	7.970	9.41	11/29/21	36.82	...	-27.41	101 of 170 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	35.38	...	-24.76	102 of 170 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	35.37	...	-24.75	103 of 170 - Sale <sup>25</sup>
	6.970	8.22	11/29/21	32.19	...	-23.97	104 of 170 - Sale <sup>25</sup>
	6.970	8.22	11/29/21	32.16	...	-23.94	105 of 170 - Sale <sup>25</sup>
	7.000	8.26	11/29/21	27.51	...	-19.25	106 of 170 - Sale <sup>25</sup>
	2.939	3.47	11/29/21	13.58	...	-10.11	107 of 170 - Sale <sup>25</sup>
	2.939	3.47	11/29/21	13.58	...	-10.11	108 of 170 - Sale <sup>25</sup>
	2.939	3.48	11/29/21	13.58	...	-10.10	109 of 170 - Sale <sup>25</sup>
	1.939	2.30	11/29/21	8.96	...	-6.66	110 of 170 - Sale <sup>25</sup>
	1.939	2.30	11/29/21	8.96	...	-6.66	111 of 170 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.76	...	-3.54	112 of 170 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.76	...	-3.54	113 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	114 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	115 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	116 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	117 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	118 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	119 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	120 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	...	-3.44	121 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	...	-2.75	122 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	...	-2.75	123 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	...	-2.75	124 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	...	-2.75	125 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	126 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	127 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	128 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	129 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	130 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	131 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	132 of 170 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	1.000	1.18	11/29/21	3.25	...	-2.07	133 of 170 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.25	...	-2.07	134 of 170 - Sale <sup>25</sup>
	48.970	57.78	12/01/21	310.69	...	-252.91	135 of 170 - Sale <sup>25</sup>
	48.970	57.78	12/01/21	310.66	...	-252.88	136 of 170 - Sale <sup>25</sup>
	47.970	56.60	12/01/21	304.34	...	-247.74	137 of 170 - Sale <sup>25</sup>
	42.000	49.55	12/01/21	266.48	...	-216.93	138 of 170 - Sale <sup>25</sup>
	51.000	60.17	12/01/21	218.76	...	-158.59	139 of 170 - Sale <sup>25</sup>
	42.000	49.55	12/01/21	180.16	...	-130.61	140 of 170 - Sale <sup>25</sup>
	42.000	49.55	12/01/21	180.15	...	-130.60	141 of 170 - Sale <sup>25</sup>
	41.000	48.37	12/01/21	175.87	...	-127.50	142 of 170 - Sale <sup>25</sup>
	40.000	47.19	12/01/21	171.57	...	-124.38	143 of 170 - Sale <sup>25</sup>
	33.000	38.93	12/01/21	141.53	...	-102.60	144 of 170 - Sale <sup>25</sup>
	27.970	33.00	12/01/21	119.97	...	-86.97	145 of 170 - Sale <sup>25</sup>
	25.000	29.50	12/01/21	107.23	...	-77.73	146 of 170 - Sale <sup>25</sup>
	23.000	27.13	12/01/21	98.65	...	-71.52	147 of 170 - Sale <sup>25</sup>
	18.135	21.40	12/01/21	90.21	...	-68.81	148 of 170 - Sale <sup>25</sup>
	18.000	21.24	12/01/21	77.20	...	-55.96	149 of 170 - Sale <sup>25</sup>
	18.000	21.24	12/01/21	77.20	...	-55.96	150 of 170 - Sale <sup>25</sup>
	13.030	15.37	12/01/21	55.90	...	-40.53	151 of 170 - Sale <sup>25</sup>
	10.000	11.80	12/01/21	42.89	...	-31.09	152 of 170 - Sale <sup>25</sup>
	5.970	7.04	12/01/21	37.88	...	-30.84	153 of 170 - Sale <sup>25</sup>
	9.000	10.62	12/01/21	38.61	...	-27.99	154 of 170 - Sale <sup>25</sup>
	7.000	8.26	12/01/21	34.82	...	-26.56	155 of 170 - Sale <sup>25</sup>
	8.000	9.44	12/01/21	34.31	...	-24.87	156 of 170 - Sale <sup>25</sup>
	7.000	8.26	12/01/21	30.02	...	-21.76	157 of 170 - Sale <sup>25</sup>
	7.000	8.26	12/01/21	30.02	...	-21.76	158 of 170 - Sale <sup>25</sup>
	5.261	6.21	12/01/21	22.57	...	-16.36	159 of 170 - Sale <sup>25</sup>
	2.030	2.40	12/01/21	12.88	...	-10.48	160 of 170 - Sale <sup>25</sup>
	1.030	1.22	12/01/21	6.55	...	-5.33	161 of 170 - Sale <sup>25</sup>
	1.000	1.18	12/01/21	6.35	...	-5.17	162 of 170 - Sale <sup>25</sup>
	1.030	1.22	12/01/21	5.84	...	-4.62	163 of 170 - Sale <sup>25</sup>
	1.000	1.18	12/01/21	4.98	...	-3.80	164 of 170 - Sale <sup>25</sup>
	0.590	0.70	12/01/21	4.11	...	-3.41	165 of 170 - Sale <sup>25</sup>
	1.000	1.18	12/01/21	4.29	...	-3.11	166 of 170 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	1.000	1.18	12/01/21	4.29	...	-3.11	167 of 170 - Sale <sup>25</sup>
	1.000	1.18	12/01/21	4.29	...	-3.11	168 of 170 - Sale <sup>25</sup>
	0.441	0.52	12/01/21	3.04	...	-2.52	169 of 170 - Sale <sup>25</sup>
	0.466	0.55	12/01/21	1.99	...	-1.44	170 of 170 - Sale <sup>25</sup>
12/20/21	4,418.135	5,215.12	Various	17,891.73	...	-12,676.61	Total of 170 transactions
	285 transactions for 12/20/21. Total proceeds and cost reported to the IRS.						
	42.000	49.55	11/29/21	193.87	62.31 W	-82.01	1 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	0.77	0.25 W	0.00	2 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	1.08	0.56 W	0.00	3 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	1.38	0.86 W	0.00	4 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	1.68	1.16 W	0.00	5 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	1.98	1.46 W	0.00	6 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	2.28	1.76 W	0.00	7 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	2.58	2.06 W	0.00	8 of 285 - Sale <sup>25</sup>
	0.441	0.52	11/29/21	2.88	2.36 W	0.00	9 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	1.10	0.40 W	0.00	10 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	1.50	0.80 W	0.00	11 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	1.90	1.20 W	0.00	12 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	2.30	1.60 W	0.00	13 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	2.70	2.00 W	0.00	14 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	3.10	2.40 W	0.00	15 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	3.50	2.80 W	0.00	16 of 285 - Sale <sup>25</sup>
	0.590	0.70	11/29/21	3.90	3.20 W	0.00	17 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	1.87	0.69 W	0.00	18 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	2.56	1.38 W	0.00	19 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	2.56	1.38 W	0.00	20 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	2.56	1.38 W	0.00	21 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	2.56	1.38 W	0.00	22 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	2.56	1.38 W	0.00	23 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	24 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	25 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	26 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	27 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	28 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	1.000	1.18	11/29/21	3.24	2.06 W	0.00	29 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	30 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	31 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	32 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	33 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	34 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	35 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	36 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	37 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	38 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	39 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	3.93	2.75 W	0.00	40 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	4.62	3.44 W	0.00	41 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	5.30	4.12 W	0.00	42 of 285 - Sale <sup>25</sup>
	1.000	1.18	11/29/21	5.99	4.81 W	0.00	43 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	2.63	1.41 W	0.00	44 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	2.63	1.41 W	0.00	45 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	2.63	1.41 W	0.00	46 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	2.63	1.41 W	0.00	47 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	3.34	2.12 W	0.00	48 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	3.34	2.12 W	0.00	49 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	3.34	2.12 W	0.00	50 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	3.34	2.12 W	0.00	51 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.05	2.83 W	0.00	52 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.05	2.83 W	0.00	53 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.05	2.83 W	0.00	54 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.05	2.83 W	0.00	55 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.76	3.54 W	0.00	56 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	4.76	3.54 W	0.00	57 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	5.47	4.25 W	0.00	58 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	5.47	4.25 W	0.00	59 of 285 - Sale <sup>25</sup>
	1.030	1.22	11/29/21	6.18	4.96 W	0.00	60 of 285 - Sale <sup>25</sup>
	2.030	2.40	11/29/21	10.76	8.36 W	0.00	61 of 285 - Sale <sup>25</sup>
	2.030	2.40	11/29/21	12.16	9.76 W	0.00	62 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	5.970	7.04	11/29/21	35.73	28.69 W	0.00	63 of 285 - Sale <sup>25</sup>
	6.970	8.22	11/29/21	27.38	19.16 W	0.00	64 of 285 - Sale <sup>25</sup>
	6.970	8.23	11/29/21	22.58	14.35 W	0.00	65 of 285 - Sale <sup>25</sup>
	7.000	8.26	11/29/21	27.51	19.25 W	0.00	66 of 285 - Sale <sup>25</sup>
	7.000	8.26	11/29/21	27.51	19.25 W	0.00	67 of 285 - Sale <sup>25</sup>
	7.000	8.26	11/29/21	32.31	24.05 W	0.00	68 of 285 - Sale <sup>25</sup>
	7.970	9.40	11/29/21	25.82	16.42 W	0.00	69 of 285 - Sale <sup>25</sup>
	7.970	9.40	11/29/21	31.32	21.92 W	0.00	70 of 285 - Sale <sup>25</sup>
	8.000	9.44	11/29/21	31.43	21.99 W	0.00	71 of 285 - Sale <sup>25</sup>
	8.000	9.44	11/29/21	31.44	22.00 W	0.00	72 of 285 - Sale <sup>25</sup>
	8.000	9.44	11/29/21	31.44	22.00 W	0.00	73 of 285 - Sale <sup>25</sup>
	8.000	9.44	11/29/21	31.44	22.00 W	0.00	74 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	29.16	18.54 W	0.00	75 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	29.17	18.55 W	0.00	76 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	29.17	18.55 W	0.00	77 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	35.36	24.74 W	0.00	78 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	35.36	24.74 W	0.00	79 of 285 - Sale <sup>25</sup>
	9.000	10.62	11/29/21	35.38	24.76 W	0.00	80 of 285 - Sale <sup>25</sup>
	9.000	10.63	11/29/21	29.16	18.53 W	0.00	81 of 285 - Sale <sup>25</sup>
	9.000	10.66	11/29/21	16.83	6.17 W	0.00	82 of 285 - Sale <sup>25</sup>
	9.000	10.66	11/29/21	23.00	12.34 W	0.00	83 of 285 - Sale <sup>25</sup>
	9.000	10.66	11/29/21	23.00	12.34 W	0.00	84 of 285 - Sale <sup>25</sup>
	10.000	11.80	11/29/21	39.30	27.50 W	0.00	85 of 285 - Sale <sup>25</sup>
	13.030	15.37	11/29/21	42.22	26.85 W	0.00	86 of 285 - Sale <sup>25</sup>
	13.030	15.37	11/29/21	51.22	35.85 W	0.00	87 of 285 - Sale <sup>25</sup>
	15.000	17.70	11/29/21	58.94	41.24 W	0.00	88 of 285 - Sale <sup>25</sup>
	15.000	17.70	11/29/21	58.94	41.24 W	0.00	89 of 285 - Sale <sup>25</sup>
	16.000	18.88	11/29/21	62.88	44.00 W	0.00	90 of 285 - Sale <sup>25</sup>
	17.000	20.06	11/29/21	55.08	35.02 W	0.00	91 of 285 - Sale <sup>25</sup>
	17.000	20.06	11/29/21	66.81	46.75 W	0.00	92 of 285 - Sale <sup>25</sup>
	17.030	20.09	11/29/21	66.92	46.83 W	0.00	93 of 285 - Sale <sup>25</sup>
	18.000	21.24	11/29/21	58.32	37.08 W	0.00	94 of 285 - Sale <sup>25</sup>
	18.000	21.24	11/29/21	58.32	37.08 W	0.00	95 of 285 - Sale <sup>25</sup>
	18.000	21.24	11/29/21	70.74	49.50 W	0.00	96 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	18.000	21.24	11/29/21	70.74	49.50 W	0.00	97 of 285 - Sale <sup>25</sup>
	18.000	21.33	11/29/21	45.99	24.66 W	0.00	98 of 285 - Sale <sup>25</sup>
	18.000	21.33	11/29/21	45.99	24.66 W	0.00	99 of 285 - Sale <sup>25</sup>
	23.000	27.14	11/29/21	90.40	63.26 W	0.00	100 of 285 - Sale <sup>25</sup>
	25.000	29.50	11/29/21	98.26	68.76 W	0.00	101 of 285 - Sale <sup>25</sup>
	25.030	29.53	11/29/21	81.11	51.58 W	0.00	102 of 285 - Sale <sup>25</sup>
	25.030	29.53	11/29/21	98.39	68.86 W	0.00	103 of 285 - Sale <sup>25</sup>
	27.970	33.00	11/29/21	109.93	76.93 W	0.00	104 of 285 - Sale <sup>25</sup>
	32.000	37.76	11/29/21	103.69	65.93 W	0.00	105 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	106.92	67.98 W	0.00	106 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	106.93	67.99 W	0.00	107 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	106.93	67.99 W	0.00	108 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	106.93	67.99 W	0.00	109 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	129.69	90.75 W	0.00	110 of 285 - Sale <sup>25</sup>
	33.000	38.94	11/29/21	129.70	90.76 W	0.00	111 of 285 - Sale <sup>25</sup>
	34.000	40.12	11/29/21	133.60	93.48 W	0.00	112 of 285 - Sale <sup>25</sup>
	34.000	40.12	11/29/21	133.61	93.49 W	0.00	113 of 285 - Sale <sup>25</sup>
	34.030	40.15	11/29/21	110.28	70.13 W	0.00	114 of 285 - Sale <sup>25</sup>
	34.030	40.15	11/29/21	133.77	93.62 W	0.00	115 of 285 - Sale <sup>25</sup>
	35.000	41.30	11/29/21	137.54	96.24 W	0.00	116 of 285 - Sale <sup>25</sup>
	35.970	42.44	11/29/21	116.55	74.11 W	0.00	117 of 285 - Sale <sup>25</sup>
	39.030	46.05	11/29/21	99.73	53.68 W	0.00	118 of 285 - Sale <sup>25</sup>
	39.970	47.16	11/29/21	129.50	82.34 W	0.00	119 of 285 - Sale <sup>25</sup>
	40.000	47.20	11/29/21	157.22	110.02 W	0.00	120 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	104.75	56.38 W	0.00	121 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	104.75	56.38 W	0.00	122 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	104.76	56.39 W	0.00	123 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	104.76	56.39 W	0.00	124 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	132.85	84.48 W	0.00	125 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	132.86	84.49 W	0.00	126 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.10	112.73 W	0.00	127 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.11	112.74 W	0.00	128 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.11	112.74 W	0.00	129 of 285 - Sale <sup>25</sup>
	41.000	48.37	11/29/21	161.15	112.78 W	0.00	130 of 285 - Sale <sup>25</sup>

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Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
41.000		48.38	11/29/21	104.75	56.37 W	0.00	131 of 285 - Sale <sup>25</sup>
41.000		48.38	11/29/21	104.76	56.38 W	0.00	132 of 285 - Sale <sup>25</sup>
41.000		48.38	11/29/21	104.76	56.38 W	0.00	133 of 285 - Sale <sup>25</sup>
41.000		48.38	11/29/21	161.10	112.72 W	0.00	134 of 285 - Sale <sup>25</sup>
41.000		48.38	11/29/21	161.11	112.73 W	0.00	135 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	107.31	57.76 W	0.00	136 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.03	115.48 W	0.00	137 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.03	115.48 W	0.00	138 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.03	115.48 W	0.00	139 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.07	115.52 W	0.00	140 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.07	115.52 W	0.00	141 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	165.08	115.53 W	0.00	142 of 285 - Sale <sup>25</sup>
42.000		49.55	11/29/21	251.40	201.85 W	0.00	143 of 285 - Sale <sup>25</sup>
42.000		49.56	11/29/21	107.31	57.75 W	0.00	144 of 285 - Sale <sup>25</sup>
42.000		49.56	11/29/21	107.31	57.75 W	0.00	145 of 285 - Sale <sup>25</sup>
42.000		49.56	11/29/21	136.09	86.53 W	0.00	146 of 285 - Sale <sup>25</sup>
42.000		49.56	11/29/21	165.08	115.52 W	0.00	147 of 285 - Sale <sup>25</sup>
47.970		56.60	11/29/21	188.30	131.70 W	0.00	148 of 285 - Sale <sup>25</sup>
47.970		56.60	11/29/21	254.02	197.42 W	0.00	149 of 285 - Sale <sup>25</sup>
47.970		56.60	11/29/21	254.03	197.43 W	0.00	150 of 285 - Sale <sup>25</sup>
47.970		56.60	11/29/21	287.13	230.53 W	0.00	151 of 285 - Sale <sup>25</sup>
48.970		57.78	11/29/21	192.41	134.63 W	0.00	152 of 285 - Sale <sup>25</sup>
48.970		57.78	11/29/21	259.30	201.52 W	0.00	153 of 285 - Sale <sup>25</sup>
48.970		57.78	11/29/21	259.33	201.55 W	0.00	154 of 285 - Sale <sup>25</sup>
48.970		57.78	11/29/21	293.09	235.31 W	0.00	155 of 285 - Sale <sup>25</sup>
48.970		57.78	11/29/21	293.12	235.34 W	0.00	156 of 285 - Sale <sup>25</sup>
49.000		57.81	11/29/21	158.77	100.96 W	0.00	157 of 285 - Sale <sup>25</sup>
49.000		57.81	11/29/21	158.77	100.96 W	0.00	158 of 285 - Sale <sup>25</sup>
49.000		57.81	11/29/21	158.77	100.96 W	0.00	159 of 285 - Sale <sup>25</sup>
48.970		57.83	11/29/21	158.67	100.84 W	0.00	160 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	125.13	67.11 W	0.00	161 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	158.66	100.64 W	0.00	162 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	158.68	100.66 W	0.00	163 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	158.68	100.66 W	0.00	164 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
48.970		58.02	11/29/21	192.23	134.21 W	0.00	165 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	192.23	134.21 W	0.00	166 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	225.75	167.73 W	0.00	167 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	225.78	167.76 W	0.00	168 of 285 - Sale <sup>25</sup>
48.970		58.02	11/29/21	225.78	167.76 W	0.00	169 of 285 - Sale <sup>25</sup>
48.970		58.03	11/29/21	192.21	134.18 W	0.00	170 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	162.01	103.02 W	0.00	171 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	162.02	103.03 W	0.00	172 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	196.27	137.28 W	0.00	173 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	196.29	137.30 W	0.00	174 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	196.47	137.48 W	0.00	175 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	196.47	137.48 W	0.00	176 of 285 - Sale <sup>25</sup>
50.000		58.99	11/29/21	196.47	137.48 W	0.00	177 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.01	102.97 W	0.00	178 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.01	102.97 W	0.00	179 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.01	102.97 W	0.00	180 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.01	102.97 W	0.00	181 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.02	102.98 W	0.00	182 of 285 - Sale <sup>25</sup>
50.000		59.04	11/29/21	162.02	102.98 W	0.00	183 of 285 - Sale <sup>25</sup>
50.000		59.05	11/29/21	162.02	102.97 W	0.00	184 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	185 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	186 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	187 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	188 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	189 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	190 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.50	34.26 W	0.00	191 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	93.51	34.27 W	0.00	192 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	193 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	194 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	195 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	196 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	197 of 285 - Sale <sup>25</sup>
50.000		59.24	11/29/21	127.76	68.52 W	0.00	198 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	50.000	59.24	11/29/21	127.77	68.53 W	0.00	199 of 285 - Sale <sup>25</sup>
	50.000	59.24	11/29/21	162.00	102.76 W	0.00	200 of 285 - Sale <sup>25</sup>
	50.000	59.24	11/29/21	162.01	102.77 W	0.00	201 of 285 - Sale <sup>25</sup>
	50.000	59.24	11/29/21	162.03	102.79 W	0.00	202 of 285 - Sale <sup>25</sup>
	50.000	59.24	11/29/21	230.51	171.27 W	0.00	203 of 285 - Sale <sup>25</sup>
	50.000	59.25	11/29/21	93.50	34.25 W	0.00	204 of 285 - Sale <sup>25</sup>
	50.000	59.25	11/29/21	127.75	68.50 W	0.00	205 of 285 - Sale <sup>25</sup>
	50.000	59.25	11/29/21	127.76	68.51 W	0.00	206 of 285 - Sale <sup>25</sup>
	50.000	59.25	11/29/21	196.26	137.01 W	0.00	207 of 285 - Sale <sup>25</sup>
	51.000	60.17	11/29/21	165.25	105.08 W	0.00	208 of 285 - Sale <sup>25</sup>
	51.000	60.17	11/29/21	200.19	140.02 W	0.00	209 of 285 - Sale <sup>25</sup>
	51.000	60.17	11/29/21	200.45	140.28 W	0.00	210 of 285 - Sale <sup>25</sup>
	51.030	60.47	11/29/21	95.43	34.96 W	0.00	211 of 285 - Sale <sup>25</sup>
	56.000	66.07	11/29/21	220.05	153.98 W	0.00	212 of 285 - Sale <sup>25</sup>
	57.000	67.25	11/29/21	223.97	156.72 W	0.00	213 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	214 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	215 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	216 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	217 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	218 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	219 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	148.20	79.77 W	0.00	220 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	187.93	119.50 W	0.00	221 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	227.90	159.47 W	0.00	222 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	227.91	159.48 W	0.00	223 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	227.91	159.48 W	0.00	224 of 285 - Sale <sup>25</sup>
	58.000	68.43	11/29/21	227.91	159.48 W	0.00	225 of 285 - Sale <sup>25</sup>
	58.000	68.44	11/29/21	187.93	119.49 W	0.00	226 of 285 - Sale <sup>25</sup>
	59.000	69.61	11/29/21	150.75	81.14 W	0.00	227 of 285 - Sale <sup>25</sup>
	59.000	69.61	11/29/21	150.75	81.14 W	0.00	228 of 285 - Sale <sup>25</sup>
	59.000	69.61	11/29/21	150.75	81.14 W	0.00	229 of 285 - Sale <sup>25</sup>
	59.000	69.61	11/29/21	191.17	121.56 W	0.00	230 of 285 - Sale <sup>25</sup>
	59.030	69.71	11/29/21	191.28	121.57 W	0.00	231 of 285 - Sale <sup>25</sup>
	60.030	70.83	11/29/21	153.39	82.56 W	0.00	232 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
60.970		71.94	11/29/21	155.78	83.84 W	0.00	233 of 285 - Sale <sup>25</sup>
66.000		77.87	11/29/21	213.86	135.99 W	0.00	234 of 285 - Sale <sup>25</sup>
67.000		79.05	11/29/21	217.09	138.04 W	0.00	235 of 285 - Sale <sup>25</sup>
82.000		97.16	11/29/21	209.51	112.35 W	0.00	236 of 285 - Sale <sup>25</sup>
82.000		97.16	11/29/21	209.52	112.36 W	0.00	237 of 285 - Sale <sup>25</sup>
82.000		97.16	11/29/21	209.52	112.36 W	0.00	238 of 285 - Sale <sup>25</sup>
83.970		99.07	11/29/21	214.55	115.48 W	0.00	239 of 285 - Sale <sup>25</sup>
91.000		107.46	11/29/21	294.87	187.41 W	0.00	240 of 285 - Sale <sup>25</sup>
91.000		107.82	11/29/21	232.51	124.69 W	0.00	241 of 285 - Sale <sup>25</sup>
91.000		107.83	11/29/21	170.17	62.34 W	0.00	242 of 285 - Sale <sup>25</sup>
92.000		108.55	11/29/21	361.50	252.95 W	0.00	243 of 285 - Sale <sup>25</sup>
97.939		116.05	11/29/21	250.24	134.19 W	0.00	244 of 285 - Sale <sup>25</sup>
99.000		116.81	11/29/21	252.95	136.14 W	0.00	245 of 285 - Sale <sup>25</sup>
99.000		116.81	11/29/21	252.95	136.14 W	0.00	246 of 285 - Sale <sup>25</sup>
99.000		116.81	11/29/21	252.96	136.15 W	0.00	247 of 285 - Sale <sup>25</sup>
99.000		116.90	11/29/21	320.79	203.89 W	0.00	248 of 285 - Sale <sup>25</sup>
99.000		116.91	11/29/21	320.78	203.87 W	0.00	249 of 285 - Sale <sup>25</sup>
98.970		117.27	11/29/21	185.07	67.80 W	0.00	250 of 285 - Sale <sup>25</sup>
98.970		117.27	11/29/21	185.07	67.80 W	0.00	251 of 285 - Sale <sup>25</sup>
98.970		117.27	11/29/21	252.87	135.60 W	0.00	252 of 285 - Sale <sup>25</sup>
98.970		117.27	11/29/21	252.88	135.61 W	0.00	253 of 285 - Sale <sup>25</sup>
98.970		117.27	11/29/21	320.69	203.42 W	0.00	254 of 285 - Sale <sup>25</sup>
99.000		117.30	11/29/21	185.13	67.83 W	0.00	255 of 285 - Sale <sup>25</sup>
100.000		117.99	11/29/21	255.51	137.52 W	0.00	256 of 285 - Sale <sup>25</sup>
100.000		117.99	11/29/21	255.51	137.52 W	0.00	257 of 285 - Sale <sup>25</sup>
100.000		118.09	11/29/21	324.02	205.93 W	0.00	258 of 285 - Sale <sup>25</sup>
100.000		118.09	11/29/21	324.02	205.93 W	0.00	259 of 285 - Sale <sup>25</sup>
100.000		118.09	11/29/21	324.03	205.94 W	0.00	260 of 285 - Sale <sup>25</sup>
100.000		118.09	11/29/21	324.03	205.94 W	0.00	261 of 285 - Sale <sup>25</sup>
100.000		118.49	11/29/21	187.00	68.51 W	0.00	262 of 285 - Sale <sup>25</sup>
100.000		118.49	11/29/21	187.00	68.51 W	0.00	263 of 285 - Sale <sup>25</sup>
100.000		118.49	11/29/21	187.00	68.51 W	0.00	264 of 285 - Sale <sup>25</sup>
100.000		118.49	11/29/21	187.00	68.51 W	0.00	265 of 285 - Sale <sup>25</sup>
100.000		118.49	11/29/21	187.00	68.51 W	0.00	266 of 285 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol: (cont'd)							
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	267 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	268 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	269 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	270 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	271 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	272 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	273 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	187.00	68.51 W	0.00	274 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	255.51	137.02 W	0.00	275 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	255.51	137.02 W	0.00	276 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	255.51	137.02 W	0.00	277 of 285 - Sale <sup>25</sup>
	100.000	118.49	11/29/21	255.51	137.02 W	0.00	278 of 285 - Sale <sup>25</sup>
	109.000	129.15	11/29/21	278.51	149.36 W	0.00	279 of 285 - Sale <sup>25</sup>
	200.000	236.98	11/29/21	374.00	137.02 W	0.00	280 of 285 - Sale <sup>25</sup>
	200.000	236.98	11/29/21	374.00	137.02 W	0.00	281 of 285 - Sale <sup>25</sup>
	301.030	356.69	11/29/21	562.93	206.24 W	0.00	282 of 285 - Sale <sup>25</sup>
	540.970	640.99	11/29/21	1,011.61	370.62 W	0.00	283 of 285 - Sale <sup>25</sup>
	1,000.000	1,184.88	11/29/21	1,870.00	685.12 W	0.00	284 of 285 - Sale <sup>25</sup>
	1,000.000	1,184.88	11/29/21	2,555.13	1,370.25 W	0.00	285 of 285 - Sale <sup>25</sup>
12/20/21	14,444.030	17,083.03	Various	40,497.49	23,332.45 W	-82.01	Total of 285 transactions
	<b>Security total:</b>	<b>56,353.93</b>		<b>88,186.45</b>	<b>23,332.45 W</b>	<b>-8,500.07</b>	

**CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:**

7 transactions for 02/08/21. Total proceeds and cost reported to the IRS.

	101.000	5,998.50	02/08/21	6,692.26	...	-693.76	1 of 7 - Sale <sup>25</sup>
	100.000	5,939.10	02/08/21	6,631.00	...	-691.90	2 of 7 - Sale <sup>25</sup>
	55.942	3,322.43	02/08/21	3,709.27	...	-386.84	3 of 7 - Sale <sup>25</sup>
	40.000	2,375.64	02/08/21	2,652.40	...	-276.76	4 of 7 - Sale <sup>25</sup>
	25.000	1,484.78	02/08/21	1,656.50	...	-171.72	5 of 7 - Sale <sup>25</sup>
	25.000	1,484.78	02/08/21	1,656.00	...	-171.22	6 of 7 - Sale <sup>25</sup>
	0.039	2.31	02/08/21	2.57	...	-0.26	7 of 7 - Sale <sup>25</sup>
02/08/21	346.981	20,607.54	Various	23,000.00	...	-2,392.46	Total of 7 transactions

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	100.000	448.14	06/17/21	430.50	...	17.64	1 of 2 - Sale <sup>25</sup>
	100.000	448.14	06/17/21	430.50	...	17.64	2 of 2 - Sale <sup>25</sup>
07/21/21	200.000	896.28	Various	861.00	...	35.28	Total of 2 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	50.000	903.48	01/15/21	892.45	...	11.03	1 of 3 - Sale <sup>25</sup>
	0.233	4.28	01/19/21	4.25	...	0.03	2 of 3 - Sale <sup>25</sup>
	31.000	569.24	01/19/21	565.75	...	3.49	3 of 3 - Sale <sup>25</sup>
01/22/21	81.233	1,477.00	Various	1,462.45	...	14.55	Total of 3 transactions
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.249	6.07	01/26/21	6.10	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	204.000	4,972.27	01/26/21	4,993.90	21.63 W	0.00	2 of 2 - Sale <sup>25</sup>
01/26/21	204.249	4,978.34	Various	5,000.00	21.66 W	0.00	Total of 2 transactions
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.249	7.67	02/03/21	7.64	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.396	12.18	02/03/21	12.13	...	0.05	2 of 4 - Sale <sup>25</sup>
	203.604	6,268.84	02/03/21	6,241.68	...	27.16	3 of 4 - Sale <sup>25</sup>
	581.351	17,899.38	02/03/21	17,760.21	...	139.17	4 of 4 - Sale <sup>25</sup>
02/03/21	785.600	24,188.07	Various	24,021.66	...	166.41	Total of 4 transactions
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	15.728	599.56	02/11/21	500.00	...	99.56	1 of 3 - Sale <sup>25</sup>
	0.859	32.74	02/12/21	32.47	...	0.27	2 of 3 - Sale <sup>25</sup>
	12.983	494.91	02/12/21	491.53	...	3.38	3 of 3 - Sale <sup>25</sup>
02/16/21	29.570	1,127.21	Various	1,024.00	...	103.21	Total of 3 transactions
6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.033	1.93	02/16/21	1.72	...	0.21	1 of 6 - Sale <sup>25</sup>
	0.295	17.35	02/16/21	14.55	...	2.80	2 of 6 - Sale <sup>25</sup>
	17.715	1,042.34	02/16/21	974.31	...	68.03	3 of 6 - Sale <sup>25</sup>
	8.673	510.35	02/16/21	425.00	...	85.35	4 of 6 - Sale <sup>25</sup>
	110.819	6,520.58	02/16/21	5,479.52	...	1,041.06	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
02/17/21	296.886	17,468.73	02/16/21	15,586.28	...	1,882.45	6 of 6 - Sale <sup>25</sup>
	434.421	25,561.28	Various	22,481.38	...	3,079.90	Total of 6 transactions
	11 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	0.016	1.00	02/18/21	0.95	...	0.05	1 of 11 - Sale <sup>25</sup>
	0.185	10.54	02/18/21	10.41	...	0.13	2 of 11 - Sale <sup>25</sup>
	0.065	4.09	02/18/21	3.95	...	0.14	3 of 11 - Sale <sup>25</sup>
	0.353	20.13	02/18/21	19.89	...	0.24	4 of 11 - Sale <sup>25</sup>
	1.204	75.86	02/18/21	73.38	...	2.48	5 of 11 - Sale <sup>25</sup>
	42.647	2,432.10	02/18/21	2,406.10	...	26.00	6 of 11 - Sale <sup>25</sup>
	100.000	5,702.86	02/18/21	5,641.00	...	61.86	7 of 11 - Sale <sup>25</sup>
	100.000	5,702.86	02/18/21	5,641.00	...	61.86	8 of 11 - Sale <sup>25</sup>
	100.000	5,702.87	02/18/21	5,641.00	...	61.87	9 of 11 - Sale <sup>25</sup>
	100.000	5,702.86	02/18/21	5,640.60	...	62.26	10 of 11 - Sale <sup>25</sup>
	408.731	25,749.42	02/18/21	24,906.26	...	843.16	11 of 11 - Sale <sup>25</sup>
02/18/21	853.200	51,104.59	Various	49,984.54	...	1,120.05	Total of 11 transactions
	8 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	0.002	0.10	02/18/21	0.10	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.252	15.47	02/18/21	15.35	...	0.12	2 of 8 - Sale <sup>25</sup>
	0.286	17.56	02/19/21	16.68	...	0.88	3 of 8 - Sale <sup>25</sup>
	24.431	1,499.83	02/19/21	1,351.54	...	148.29	4 of 8 - Sale <sup>25</sup>
	53.714	3,297.51	02/19/21	2,972.55	...	324.96	5 of 8 - Sale <sup>25</sup>
	100.000	6,138.97	02/19/21	5,534.00	...	604.97	6 of 8 - Sale <sup>25</sup>
	100.000	6,138.97	02/19/21	5,534.00	...	604.97	7 of 8 - Sale <sup>25</sup>
	115.000	7,059.82	02/19/21	6,364.10	...	695.72	8 of 8 - Sale <sup>25</sup>
02/22/21	393.685	24,168.23	Various	21,788.32	...	2,379.91	Total of 8 transactions
02/22/21	77.602	4,763.99	02/18/21	5,000.00	236.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>137,368.71</b>		<b>130,762.35</b>	<b>257.67 W</b>	<b>6,864.03</b>	
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
	3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	0.168	2.01	02/11/21	1.98	...	0.03	1 of 3 - Sale <sup>25</sup>
	83.822	1,005.85	02/11/21	1,000.00	...	5.85	2 of 3 - Sale <sup>25</sup>
	98.010	1,176.10	02/11/21	1,156.52	...	19.58	3 of 3 - Sale <sup>25</sup>
06/07/21	182.000	2,183.96	Various	2,158.50	...	25.46	Total of 3 transactions

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
06/08/21	0.975	20.10	02/11/21	11.50	...	8.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,204.06</b>		<b>2,170.00</b>	...	<b>34.06</b>	
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
4 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	0.546	1.93	12/21/21	1.92	...	0.01	1 of 4 - Sale <sup>25</sup>
	1,900.000	6,706.73	12/21/21	6,704.72	...	2.01	2 of 4 - Sale <sup>25</sup>
	2,594.454	9,158.06	12/21/21	9,155.31	...	2.75	3 of 4 - Sale <sup>25</sup>
	2,600.000	9,177.64	12/21/21	9,174.88	...	2.76	4 of 4 - Sale <sup>25</sup>
12/22/21	7,095.000	25,044.36	Various	25,036.83	...	7.53	Total of 4 transactions
7 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	0.899	3.25	12/21/21	3.17	...	0.08	1 of 7 - Sale <sup>25</sup>
	0.117	0.42	12/22/21	0.42	...	0.00	2 of 7 - Sale <sup>25</sup>
	480.000	1,737.53	12/22/21	1,732.80	...	4.73	3 of 7 - Sale <sup>25</sup>
	914.015	3,308.60	12/22/21	3,299.59	...	9.01	4 of 7 - Sale <sup>25</sup>
	985.985	3,569.13	12/22/21	3,559.41	...	9.72	5 of 7 - Sale <sup>25</sup>
	1,818.985	6,584.47	12/22/21	6,566.54	...	17.93	6 of 7 - Sale <sup>25</sup>
	2,787.000	10,088.55	12/22/21	10,061.07	...	27.48	7 of 7 - Sale <sup>25</sup>
12/23/21	6,987.000	25,291.95	Various	25,223.00	...	68.95	Total of 7 transactions
	<b>Security total:</b>	<b>50,336.31</b>		<b>50,259.83</b>	...	<b>76.48</b>	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
3 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.499	2.76	06/03/21	2.67	...	0.09	1 of 3 - Sale <sup>25</sup>
	0.545	3.02	06/03/21	2.91	...	0.11	2 of 3 - Sale <sup>25</sup>
	92.455	512.29	06/03/21	494.42	...	17.87	3 of 3 - Sale <sup>25</sup>
06/07/21	93.499	518.07	Various	500.00	...	18.07	Total of 3 transactions
F45 TRAINING HOLDINGS INC. / CUSIP: 30322L101 / Symbol:							
07/15/21	4.000	68.92	07/15/21	64.00	...	4.92	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	40.000	590.78	12/07/20	704.34	64.20 W	-49.36	1 of 2 - Sale <sup>25</sup>
	10.000	147.70	12/07/20	176.08	28.38 W	0.00	2 of 2 - Sale <sup>25</sup>
01/22/21	50.000	738.48	Various	880.42	92.58 W	-49.36	Total of 2 transactions
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.052	1.06	02/11/21	0.95	...	0.11	1 of 4 - Sale <sup>25</sup>
	0.563	11.43	02/11/21	10.23	...	1.20	2 of 4 - Sale <sup>25</sup>
	9.385	190.51	02/11/21	170.50	...	20.01	3 of 4 - Sale <sup>25</sup>
	22.615	459.07	02/11/21	410.89	...	48.18	4 of 4 - Sale <sup>25</sup>
02/16/21	32.615	662.07	Various	592.57	...	69.50	Total of 4 transactions
	<b>Security total:</b>	<b>1,400.55</b>		<b>1,472.99</b>	<b>92.58 W</b>	<b>20.14</b>	
GENETIC TECHNOLOGIES LTD ADS / CUSIP: 37185R307 / Symbol:							
06/11/21	200.000	946.41	06/09/21	934.82	...	11.59	Sale <sup>25</sup>
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	3.000	37.38	01/13/21	21.90	...	15.48	1 of 6 - Sale <sup>25</sup>
	22.479	279.86	01/13/21	164.10	...	115.76	2 of 6 - Sale <sup>25</sup>
	38.000	473.86	01/13/21	277.40	...	196.46	3 of 6 - Sale <sup>25</sup>
	79.000	984.30	01/13/21	576.70	...	407.60	4 of 6 - Sale <sup>25</sup>
	100.000	1,245.96	01/13/21	730.00	...	515.96	5 of 6 - Sale <sup>25</sup>
	79.186	985.85	01/19/21	700.00	...	285.85	6 of 6 - Sale <sup>25</sup>
01/25/21	321.665	4,007.21	Various	2,470.10	...	1,537.11	Total of 6 transactions
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.083	1.24	01/26/21	1.06	...	0.18	1 of 3 - Sale <sup>25</sup>
	190.855	2,849.38	01/26/21	2,441.04	...	408.34	2 of 3 - Sale <sup>25</sup>
	200.000	2,985.91	01/26/21	2,557.90	...	428.01	3 of 3 - Sale <sup>25</sup>
02/10/21	390.939	5,836.53	Various	5,000.00	...	836.53	Total of 3 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1,810.309	25,886.62	02/11/21	25,996.04	...	-109.42	1 of 2 - Sale <sup>25</sup>
	0.277	3.96	02/11/21	3.96	...	0.00	2 of 2 - Sale <sup>25</sup>
02/11/21	1,810.586	25,890.58	Various	26,000.00	...	-109.42	Total of 2 transactions

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
<b>Security total:</b>		<b>35,734.32</b>		<b>33,470.10</b>	...		<b>2,264.22</b>
GLOBAL X SUPERDIVIDEND ETF / CUSIP: 37950E549 / Symbol:							
3 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	0.014	0.19	08/05/21	0.19	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.206	2.75	08/05/21	2.71	...	0.04	2 of 3 - Sale <sup>25</sup>
	58.986	788.07	08/05/21	779.10	...	8.97	3 of 3 - Sale <sup>25</sup>
08/16/21	59.206	791.01	Various	782.00	...	9.01	Total of 3 transactions
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	0.907	0.98	06/22/21	0.97	...	0.01	1 of 2 - Sale <sup>25</sup>
	429.000	463.27	06/22/21	459.03	...	4.24	2 of 2 - Sale <sup>25</sup>
06/25/21	429.907	464.25	Various	460.00	...	4.25	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.436	1.92	01/25/21	1.74	...	0.18	1 of 3 - Sale <sup>25</sup>
	25.000	109.88	01/25/21	99.65	...	10.23	2 of 3 - Sale <sup>25</sup>
	100.000	439.10	01/25/21	398.61	...	40.49	3 of 3 - Sale <sup>25</sup>
01/27/21	125.436	550.90	Various	500.00	...	50.90	Total of 3 transactions
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
01/04/21	118.000	1,139.30	12/30/20	800.39	...	338.91	Sale <sup>25</sup>
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	0.008	0.01	03/03/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.896	0.74	03/03/21	0.72	...	0.02	2 of 3 - Sale <sup>25</sup>
	493.000	404.25	03/03/21	399.24	...	5.01	3 of 3 - Sale <sup>25</sup>
03/15/21	493.904	405.00	Various	399.97	...	5.03	Total of 3 transactions
03/15/21	0.034	0.02	03/03/21	0.03	0.01 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>405.02</b>		<b>400.00</b>	<b>0.01 W</b>	<b>5.03</b>	

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol:							
54 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
0.142		0.22	12/21/21	0.20	...	0.02	1 of 54 - Sale <sup>25</sup>
0.357		0.64	12/21/21	0.60	...	0.04	2 of 54 - Sale <sup>25</sup>
0.621		1.12	12/21/21	0.91	...	0.21	3 of 54 - Sale <sup>25</sup>
10.000		15.20	12/21/21	14.50	...	0.70	4 of 54 - Sale <sup>25</sup>
12.000		18.24	12/21/21	17.40	...	0.84	5 of 54 - Sale <sup>25</sup>
20.000		30.40	12/21/21	29.20	...	1.20	6 of 54 - Sale <sup>25</sup>
20.000		30.40	12/21/21	29.00	...	1.40	7 of 54 - Sale <sup>25</sup>
31.000		47.12	12/21/21	45.26	...	1.86	8 of 54 - Sale <sup>25</sup>
31.142		47.33	12/21/21	45.47	...	1.86	9 of 54 - Sale <sup>25</sup>
32.142		48.85	12/21/21	46.93	...	1.92	10 of 54 - Sale <sup>25</sup>
32.142		48.85	12/21/21	46.93	...	1.92	11 of 54 - Sale <sup>25</sup>
32.142		48.85	12/21/21	46.93	...	1.92	12 of 54 - Sale <sup>25</sup>
36.000		54.72	12/21/21	52.56	...	2.16	13 of 54 - Sale <sup>25</sup>
36.858		56.02	12/21/21	53.81	...	2.21	14 of 54 - Sale <sup>25</sup>
63.142		95.97	12/21/21	92.19	...	3.78	15 of 54 - Sale <sup>25</sup>
67.858		103.14	12/21/21	99.07	...	4.07	16 of 54 - Sale <sup>25</sup>
68.000		103.35	12/21/21	99.28	...	4.07	17 of 54 - Sale <sup>25</sup>
68.858		104.65	12/21/21	100.53	...	4.12	18 of 54 - Sale <sup>25</sup>
100.000		151.98	12/21/21	146.00	...	5.98	19 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	20 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	21 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	22 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	23 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	24 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	146.00	...	5.99	25 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	145.90	...	6.09	26 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	145.90	...	6.09	27 of 54 - Sale <sup>25</sup>
100.000		151.99	12/21/21	145.00	...	6.99	28 of 54 - Sale <sup>25</sup>
100.142		152.20	12/21/21	145.21	...	6.99	29 of 54 - Sale <sup>25</sup>
137.858		209.52	12/21/21	201.27	...	8.25	30 of 54 - Sale <sup>25</sup>
139.000		211.26	12/21/21	202.94	...	8.32	31 of 54 - Sale <sup>25</sup>
161.000		244.70	12/21/21	235.06	...	9.64	32 of 54 - Sale <sup>25</sup>
164.000		249.26	12/21/21	239.44	...	9.82	33 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C607 / Symbol: (cont'd)							
	167.858	255.12	12/21/21	245.07	...	10.05	34 of 54 - Sale <sup>25</sup>
	167.858	255.12	12/21/21	245.07	...	10.05	35 of 54 - Sale <sup>25</sup>
	200.000	303.97	12/21/21	291.00	...	12.97	36 of 54 - Sale <sup>25</sup>
	300.000	455.96	12/21/21	438.00	...	17.96	37 of 54 - Sale <sup>25</sup>
	300.000	455.96	12/21/21	438.00	...	17.96	38 of 54 - Sale <sup>25</sup>
	300.000	455.96	12/21/21	438.00	...	17.96	39 of 54 - Sale <sup>25</sup>
	331.142	503.29	12/21/21	483.47	...	19.82	40 of 54 - Sale <sup>25</sup>
	332.142	504.81	12/21/21	484.93	...	19.88	41 of 54 - Sale <sup>25</sup>
	367.858	559.09	12/21/21	537.07	...	22.02	42 of 54 - Sale <sup>25</sup>
	368.858	560.61	12/21/21	538.53	...	22.08	43 of 54 - Sale <sup>25</sup>
	368.858	560.62	12/21/21	538.53	...	22.09	44 of 54 - Sale <sup>25</sup>
	400.000	607.94	12/21/21	584.00	...	23.94	45 of 54 - Sale <sup>25</sup>
	400.000	607.94	12/21/21	584.00	...	23.94	46 of 54 - Sale <sup>25</sup>
	400.000	607.95	12/21/21	580.00	...	27.95	47 of 54 - Sale <sup>25</sup>
	532.142	808.79	12/21/21	776.93	...	31.86	48 of 54 - Sale <sup>25</sup>
	500.000	759.93	12/21/21	725.00	...	34.93	49 of 54 - Sale <sup>25</sup>
	800.000	1,215.89	12/21/21	1,168.00	...	47.89	50 of 54 - Sale <sup>25</sup>
	799.858	1,215.68	12/21/21	1,159.79	...	55.89	51 of 54 - Sale <sup>25</sup>
	928.022	1,670.32	12/21/21	1,577.27	...	93.05	52 of 54 - Sale <sup>25</sup>
	6,382.000	9,699.83	12/21/21	9,317.72	...	382.11	53 of 54 - Sale <sup>25</sup>
	13,094.000	23,567.51	12/21/21	22,254.56	...	1,312.95	54 of 54 - Sale <sup>25</sup>
12/21/21	29,705.000	49,074.19	Various	46,708.43	...	2,365.76	Total of 54 transactions
	3 transactions for 12/21/21. Total proceeds and cost reported to the IRS.						
	0.925	1.19	12/21/21	1.57	0.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.193	1.54	12/21/21	2.16	0.62 W	0.00	2 of 3 - Sale <sup>25</sup>
	107.882	139.16	12/21/21	196.11	56.95 W	0.00	3 of 3 - Sale <sup>25</sup>
12/21/21	110.000	141.89	Various	199.84	57.95 W	0.00	Total of 3 transactions
	2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	0.028	0.03	12/21/21	0.06	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.925	0.95	12/21/21	2.06	1.11 W	0.00	2 of 2 - Sale <sup>25</sup>
12/31/21	0.953	0.98	Various	2.12	1.14 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>49,217.06</b>		<b>46,910.39</b>	<b>59.09 W</b>	<b>2,365.76</b>	

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
3 transactions for 08/17/21. Total proceeds and cost reported to the IRS.							
	0.015	0.27	08/16/21	0.27	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.280	5.07	08/16/21	5.05	...	0.02	2 of 3 - Sale <sup>25</sup>
	54.985	996.86	08/16/21	994.68	...	2.18	3 of 3 - Sale <sup>25</sup>
08/17/21	55.280	1,002.20	Various	1,000.00	...	2.20	Total of 3 transactions
KEMPHARM, INC. COMMON STOCK / CUSIP: 488445206 / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	9.000	89.86	03/01/21	88.10	...	1.76	1 of 2 - Sale <sup>25</sup>
	30.000	299.52	03/01/21	293.99	...	5.53	2 of 2 - Sale <sup>25</sup>
03/02/21	39.000	389.38	Various	382.09	...	7.29	Total of 2 transactions
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol:							
124 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	4.000	6.80	12/30/21	6.68	...	0.12	1 of 124 - Sale <sup>25</sup>
	39.000	66.30	12/30/21	65.52	...	0.78	2 of 124 - Sale <sup>25</sup>
	30.000	50.99	12/30/21	50.10	...	0.89	3 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	4 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	5 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	6 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	7 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	8 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	9 of 124 - Sale <sup>25</sup>
	30.000	51.00	12/30/21	50.10	...	0.90	10 of 124 - Sale <sup>25</sup>
	50.000	84.99	12/30/21	84.00	...	0.99	11 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	117.60	...	1.39	12 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	168.00	...	1.99	13 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	168.00	...	1.99	14 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	168.00	...	1.99	15 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	168.00	...	1.99	16 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	17 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	18 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	19 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	20 of 124 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol: (cont'd)							
	70.000	118.99	12/30/21	116.90	...	2.09	21 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	22 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	23 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	24 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	25 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	26 of 124 - Sale <sup>25</sup>
	70.000	118.99	12/30/21	116.90	...	2.09	27 of 124 - Sale <sup>25</sup>
	74.000	125.79	12/30/21	123.58	...	2.21	28 of 124 - Sale <sup>25</sup>
	130.000	220.98	12/30/21	218.40	...	2.58	29 of 124 - Sale <sup>25</sup>
	130.000	220.98	12/30/21	218.40	...	2.58	30 of 124 - Sale <sup>25</sup>
	47.000	79.90	12/30/21	77.08	...	2.82	31 of 124 - Sale <sup>25</sup>
	148.000	251.58	12/30/21	248.64	...	2.94	32 of 124 - Sale <sup>25</sup>
	100.000	169.98	12/30/21	167.00	...	2.98	33 of 124 - Sale <sup>25</sup>
	150.000	254.98	12/30/21	252.00	...	2.98	34 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	35 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	36 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	37 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	38 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	39 of 124 - Sale <sup>25</sup>
	100.000	169.99	12/30/21	167.00	...	2.99	40 of 124 - Sale <sup>25</sup>
	50.000	85.00	12/30/21	82.00	...	3.00	41 of 124 - Sale <sup>25</sup>
	172.000	292.38	12/30/21	288.96	...	3.42	42 of 124 - Sale <sup>25</sup>
	126.000	214.18	12/30/21	210.42	...	3.76	43 of 124 - Sale <sup>25</sup>
	130.000	220.98	12/30/21	217.10	...	3.88	44 of 124 - Sale <sup>25</sup>
	130.000	220.98	12/30/21	217.10	...	3.88	45 of 124 - Sale <sup>25</sup>
	130.000	220.98	12/30/21	217.10	...	3.88	46 of 124 - Sale <sup>25</sup>
	130.000	220.99	12/30/21	217.10	...	3.89	47 of 124 - Sale <sup>25</sup>
	130.000	220.99	12/30/21	217.10	...	3.89	48 of 124 - Sale <sup>25</sup>
	130.000	220.99	12/30/21	217.10	...	3.89	49 of 124 - Sale <sup>25</sup>
	130.000	220.99	12/30/21	217.10	...	3.89	50 of 124 - Sale <sup>25</sup>
	130.000	220.99	12/30/21	217.10	...	3.89	51 of 124 - Sale <sup>25</sup>
	200.000	339.97	12/30/21	336.00	...	3.97	52 of 124 - Sale <sup>25</sup>
	200.000	339.97	12/30/21	336.00	...	3.97	53 of 124 - Sale <sup>25</sup>
	200.000	339.97	12/30/21	336.00	...	3.97	54 of 124 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol: (cont'd)							
200.000		339.97	12/30/21	336.00	...	3.97	55 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	56 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	57 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	58 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	59 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	60 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	61 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	62 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	63 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	64 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	65 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	336.00	...	3.97	66 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	67 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	68 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	69 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	70 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	71 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	72 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	73 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	74 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	75 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	76 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	336.00	...	3.98	77 of 124 - Sale <sup>25</sup>
70.000		118.99	12/30/21	114.80	...	4.19	78 of 124 - Sale <sup>25</sup>
170.000		288.98	12/30/21	283.90	...	5.08	79 of 124 - Sale <sup>25</sup>
170.000		288.98	12/30/21	283.90	...	5.08	80 of 124 - Sale <sup>25</sup>
170.000		288.98	12/30/21	283.90	...	5.08	81 of 124 - Sale <sup>25</sup>
170.000		288.98	12/30/21	283.90	...	5.08	82 of 124 - Sale <sup>25</sup>
170.000		288.98	12/30/21	283.90	...	5.08	83 of 124 - Sale <sup>25</sup>
196.000		333.18	12/30/21	327.32	...	5.86	84 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	85 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	86 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	87 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	88 of 124 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol: (cont'd)							
200.000		339.97	12/30/21	334.00	...	5.97	89 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	90 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	91 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	92 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	93 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	94 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	95 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	96 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	97 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	98 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	99 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	100 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	101 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	102 of 124 - Sale <sup>25</sup>
200.000		339.97	12/30/21	334.00	...	5.97	103 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	334.00	...	5.98	104 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	334.00	...	5.98	105 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	334.00	...	5.98	106 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	334.00	...	5.98	107 of 124 - Sale <sup>25</sup>
200.000		339.98	12/30/21	334.00	...	5.98	108 of 124 - Sale <sup>25</sup>
100.000		169.99	12/30/21	164.00	...	5.99	109 of 124 - Sale <sup>25</sup>
100.000		169.99	12/30/21	164.00	...	5.99	110 of 124 - Sale <sup>25</sup>
100.000		169.99	12/30/21	164.00	...	5.99	111 of 124 - Sale <sup>25</sup>
300.000		509.96	12/30/21	503.70	...	6.26	112 of 124 - Sale <sup>25</sup>
115.000		195.48	12/30/21	188.60	...	6.88	113 of 124 - Sale <sup>25</sup>
389.000		661.25	12/30/21	651.89	...	9.36	114 of 124 - Sale <sup>25</sup>
300.000		509.95	12/30/21	492.00	...	17.95	115 of 124 - Sale <sup>25</sup>
300.000		509.95	12/30/21	492.00	...	17.95	116 of 124 - Sale <sup>25</sup>
300.000		509.95	12/30/21	492.00	...	17.95	117 of 124 - Sale <sup>25</sup>
350.000		594.95	12/30/21	574.00	...	20.95	118 of 124 - Sale <sup>25</sup>
400.000		679.94	12/30/21	656.00	...	23.94	119 of 124 - Sale <sup>25</sup>
700.000		1,189.91	12/30/21	1,148.00	...	41.91	120 of 124 - Sale <sup>25</sup>
1,679.000		2,854.08	12/30/21	2,753.56	...	100.52	121 of 124 - Sale <sup>25</sup>
2,787.000		4,737.54	12/30/21	4,570.68	...	166.86	122 of 124 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIORA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 49721T101 / Symbol: (cont'd)							
	2,852.000	4,848.03	12/30/21	4,677.28	...	170.75	123 of 124 - Sale <sup>25</sup>
	5,750.000	9,774.27	12/30/21	9,430.00	...	344.27	124 of 124 - Sale <sup>25</sup>
12/30/21	31,978.000	54,358.46	Various	52,993.11	...	1,365.35	Total of 124 transactions
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
4 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	135.222	1,189.92	06/28/21	1,192.65	...	-2.73	1 of 4 - Sale <sup>25</sup>
	0.756	6.65	06/28/21	6.67	...	-0.02	2 of 4 - Sale <sup>25</sup>
	0.077	0.68	06/28/21	0.68	...	0.00	3 of 4 - Sale <sup>25</sup>
	114.701	1,009.35	06/28/21	1,000.00	...	9.35	4 of 4 - Sale <sup>25</sup>
06/29/21	250.756	2,206.60	Various	2,200.00	...	6.60	Total of 4 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
5 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	402.683	20,639.49	02/22/21	23,758.32	...	-3,118.83	1 of 5 - Sale <sup>25</sup>
	77.317	3,962.86	02/22/21	4,796.82	...	-833.96	2 of 5 - Sale <sup>25</sup>
	16.929	867.70	03/17/21	500.00	...	367.70	3 of 5 - Sale <sup>25</sup>
	0.114	5.82	09/30/21	3.00	...	2.82	4 of 5 - Sale <sup>25</sup>
	4.957	254.09	09/30/21	130.90	...	123.19	5 of 5 - Sale <sup>25</sup>
11/24/21	502.000	25,729.96	Various	29,189.04	...	-3,459.08	Total of 5 transactions
12/31/21	0.042	1.62	09/30/21	1.10	...	0.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>25,731.58</b>		<b>29,190.14</b>	...	<b>-3,458.56</b>	
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	0.902	40.60	02/18/21	37.89	...	2.71	1 of 2 - Sale <sup>25</sup>
	11.000	495.18	02/18/21	462.11	...	33.07	2 of 2 - Sale <sup>25</sup>
02/19/21	11.902	535.78	Various	500.00	...	35.78	Total of 2 transactions
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
01/25/21	21.786	419.17	01/13/21	500.00	50.72 W	-30.11	Sale <sup>25</sup>
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	12.946	495.43	02/12/21	542.42	...	-46.99	1 of 3 - Sale <sup>25</sup>
	0.672	25.71	02/12/21	28.03	...	-2.32	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	0.054	2.08	02/12/21	2.27	...	-0.19	3 of 3 - Sale <sup>25</sup>
02/12/21	13.672	523.22	Various	572.72	...	-49.50	Total of 3 transactions
	<b>Security total:</b>	<b>942.39</b>		<b>1,072.72</b>	<b>50.72 W</b>	<b>-79.61</b>	

MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol:

189 transactions for 12/31/21. Total proceeds and cost reported to the IRS.

1,400.000	1,792.23	12/31/21	1,932.00	...	-139.77	1 of 189 - Sale <sup>25</sup>
1,400.000	1,792.23	12/31/21	1,932.00	...	-139.77	2 of 189 - Sale <sup>25</sup>
1,250.000	1,600.21	12/31/21	1,725.00	...	-124.79	3 of 189 - Sale <sup>25</sup>
1,075.000	1,376.19	12/31/21	1,483.50	...	-107.31	4 of 189 - Sale <sup>25</sup>
1,000.000	1,280.16	12/31/21	1,380.00	...	-99.84	5 of 189 - Sale <sup>25</sup>
910.000	1,164.96	12/31/21	1,255.80	...	-90.84	6 of 189 - Sale <sup>25</sup>
900.000	1,152.16	12/31/21	1,242.00	...	-89.84	7 of 189 - Sale <sup>25</sup>
800.000	1,024.14	12/31/21	1,100.00	...	-75.86	8 of 189 - Sale <sup>25</sup>
600.000	768.10	12/31/21	828.00	...	-59.90	9 of 189 - Sale <sup>25</sup>
6,000.000	7,680.95	12/31/21	7,737.60	...	-56.65	10 of 189 - Sale <sup>25</sup>
500.000	640.08	12/31/21	690.00	...	-49.92	11 of 189 - Sale <sup>25</sup>
500.000	640.09	12/31/21	687.50	...	-47.41	12 of 189 - Sale <sup>25</sup>
500.000	640.09	12/31/21	687.50	...	-47.41	13 of 189 - Sale <sup>25</sup>
350.000	448.06	12/31/21	483.00	...	-34.94	14 of 189 - Sale <sup>25</sup>
177.000	226.59	12/31/21	244.26	...	-17.67	15 of 189 - Sale <sup>25</sup>
138.000	176.66	12/31/21	190.44	...	-13.78	16 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	17 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	18 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	19 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	20 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	21 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	22 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	23 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	24 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	25 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	26 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	27 of 189 - Sale <sup>25</sup>
100.000	128.02	12/31/21	138.00	...	-9.98	28 of 189 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 805560901
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
100.000		128.02	12/31/21	138.00	...	-9.98	29 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	30 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	31 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	32 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	33 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	34 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	35 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	36 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	37 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	38 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	39 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	40 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	41 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	42 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	43 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	44 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	45 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	46 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	47 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	48 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	49 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	50 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	51 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	52 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	53 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	54 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	55 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	56 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	57 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	58 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	59 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	60 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	61 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	62 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
100.000		128.02	12/31/21	138.00	...	-9.98	63 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	64 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	65 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	66 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	67 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	68 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	138.00	...	-9.98	69 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	137.50	...	-9.48	70 of 189 - Sale <sup>25</sup>
100.000		128.02	12/31/21	137.50	...	-9.48	71 of 189 - Sale <sup>25</sup>
2.000		2.80	12/31/21	2.66	...	0.14	72 of 189 - Sale <sup>25</sup>
2.000		2.80	12/31/21	2.66	...	0.14	73 of 189 - Sale <sup>25</sup>
2.000		2.98	12/31/21	2.82	...	0.16	74 of 189 - Sale <sup>25</sup>
2.000		2.98	12/31/21	2.82	...	0.16	75 of 189 - Sale <sup>25</sup>
6.000		8.94	12/31/21	8.46	...	0.48	76 of 189 - Sale <sup>25</sup>
12.000		16.80	12/31/21	15.96	...	0.84	77 of 189 - Sale <sup>25</sup>
12.000		16.80	12/31/21	15.96	...	0.84	78 of 189 - Sale <sup>25</sup>
14.000		19.60	12/31/21	18.62	...	0.98	79 of 189 - Sale <sup>25</sup>
16.000		23.84	12/31/21	22.57	...	1.27	80 of 189 - Sale <sup>25</sup>
16.000		23.84	12/31/21	22.56	...	1.28	81 of 189 - Sale <sup>25</sup>
25.000		37.25	12/31/21	35.25	...	2.00	82 of 189 - Sale <sup>25</sup>
38.000		53.19	12/31/21	50.55	...	2.64	83 of 189 - Sale <sup>25</sup>
38.000		53.20	12/31/21	50.55	...	2.65	84 of 189 - Sale <sup>25</sup>
38.000		53.20	12/31/21	50.55	...	2.65	85 of 189 - Sale <sup>25</sup>
38.000		53.20	12/31/21	50.55	...	2.65	86 of 189 - Sale <sup>25</sup>
38.000		53.20	12/31/21	50.55	...	2.65	87 of 189 - Sale <sup>25</sup>
36.000		53.64	12/31/21	50.78	...	2.86	88 of 189 - Sale <sup>25</sup>
40.000		59.59	12/31/21	56.40	...	3.19	89 of 189 - Sale <sup>25</sup>
42.000		62.57	12/31/21	59.24	...	3.33	90 of 189 - Sale <sup>25</sup>
48.000		67.19	12/31/21	63.86	...	3.33	91 of 189 - Sale <sup>25</sup>
48.000		67.20	12/31/21	63.85	...	3.35	92 of 189 - Sale <sup>25</sup>
48.000		67.20	12/31/21	63.85	...	3.35	93 of 189 - Sale <sup>25</sup>
52.000		72.79	12/31/21	69.18	...	3.61	94 of 189 - Sale <sup>25</sup>
52.000		72.79	12/31/21	69.18	...	3.61	95 of 189 - Sale <sup>25</sup>
52.000		72.79	12/31/21	69.18	...	3.61	96 of 189 - Sale <sup>25</sup>

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Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
	52.000	72.79	12/31/21	69.18	...	3.61	97 of 189 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	69.18	...	3.61	98 of 189 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	69.18	...	3.61	99 of 189 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	69.17	...	3.62	100 of 189 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	69.17	...	3.62	101 of 189 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	69.17	...	3.62	102 of 189 - Sale <sup>25</sup>
	52.000	72.80	12/31/21	69.18	...	3.62	103 of 189 - Sale <sup>25</sup>
	52.000	72.80	12/31/21	69.18	...	3.62	104 of 189 - Sale <sup>25</sup>
	48.000	71.51	12/31/21	67.70	...	3.81	105 of 189 - Sale <sup>25</sup>
	50.000	74.49	12/31/21	70.50	...	3.99	106 of 189 - Sale <sup>25</sup>
	62.000	86.79	12/31/21	82.48	...	4.31	107 of 189 - Sale <sup>25</sup>
	62.000	86.79	12/31/21	82.47	...	4.32	108 of 189 - Sale <sup>25</sup>
	62.000	86.79	12/31/21	82.47	...	4.32	109 of 189 - Sale <sup>25</sup>
	62.000	86.79	12/31/21	82.47	...	4.32	110 of 189 - Sale <sup>25</sup>
	58.000	86.41	12/31/21	81.80	...	4.61	111 of 189 - Sale <sup>25</sup>
	60.000	89.39	12/31/21	84.60	...	4.79	112 of 189 - Sale <sup>25</sup>
	88.000	123.19	12/31/21	117.06	...	6.13	113 of 189 - Sale <sup>25</sup>
	88.000	123.19	12/31/21	117.06	...	6.13	114 of 189 - Sale <sup>25</sup>
	84.000	125.15	12/31/21	118.47	...	6.68	115 of 189 - Sale <sup>25</sup>
	98.000	137.19	12/31/21	130.37	...	6.82	116 of 189 - Sale <sup>25</sup>
	98.000	137.19	12/31/21	130.36	...	6.83	117 of 189 - Sale <sup>25</sup>
	98.000	137.19	12/31/21	130.36	...	6.83	118 of 189 - Sale <sup>25</sup>
	100.000	139.98	12/31/21	133.02	...	6.96	119 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.03	...	6.96	120 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	121 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	122 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	123 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	124 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	125 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	126 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	127 of 189 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	133.02	...	6.97	128 of 189 - Sale <sup>25</sup>
	91.000	135.58	12/31/21	128.31	...	7.27	129 of 189 - Sale <sup>25</sup>
	94.000	140.05	12/31/21	132.57	...	7.48	130 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
98.000		146.00	12/31/21	138.21	...	7.79	131 of 189 - Sale <sup>25</sup>
98.000		146.01	12/31/21	138.21	...	7.80	132 of 189 - Sale <sup>25</sup>
100.000		148.98	12/31/21	141.03	...	7.95	133 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.04	...	7.95	134 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.03	...	7.96	135 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.03	...	7.96	136 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.03	...	7.96	137 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.03	...	7.96	138 of 189 - Sale <sup>25</sup>
100.000		148.98	12/31/21	141.00	...	7.98	139 of 189 - Sale <sup>25</sup>
100.000		148.98	12/31/21	141.00	...	7.98	140 of 189 - Sale <sup>25</sup>
100.000		148.98	12/31/21	141.00	...	7.98	141 of 189 - Sale <sup>25</sup>
100.000		148.98	12/31/21	141.00	...	7.98	142 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	143 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	144 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	145 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	146 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	147 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	148 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	149 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	150 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	151 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	152 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	153 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	154 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	155 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	156 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	157 of 189 - Sale <sup>25</sup>
100.000		148.99	12/31/21	141.00	...	7.99	158 of 189 - Sale <sup>25</sup>
135.000		201.13	12/31/21	190.35	...	10.78	159 of 189 - Sale <sup>25</sup>
135.000		201.13	12/31/21	190.35	...	10.78	160 of 189 - Sale <sup>25</sup>
140.000		208.58	12/31/21	197.40	...	11.18	161 of 189 - Sale <sup>25</sup>
160.000		238.38	12/31/21	225.60	...	12.78	162 of 189 - Sale <sup>25</sup>
165.000		245.83	12/31/21	232.65	...	13.18	163 of 189 - Sale <sup>25</sup>
200.000		279.97	12/31/21	266.05	...	13.92	164 of 189 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
	200.000	297.97	12/31/21	282.09	...	15.88	165 of 189 - Sale <sup>25</sup>
	200.000	297.97	12/31/21	282.00	...	15.97	166 of 189 - Sale <sup>25</sup>
	200.000	297.97	12/31/21	282.00	...	15.97	167 of 189 - Sale <sup>25</sup>
	209.000	311.38	12/31/21	294.69	...	16.69	168 of 189 - Sale <sup>25</sup>
	250.000	372.47	12/31/21	352.50	...	19.97	169 of 189 - Sale <sup>25</sup>
	251.000	373.96	12/31/21	353.91	...	20.05	170 of 189 - Sale <sup>25</sup>
	260.000	387.37	12/31/21	366.60	...	20.77	171 of 189 - Sale <sup>25</sup>
	300.000	419.96	12/31/21	399.08	...	20.88	172 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	173 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	174 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	175 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	176 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	177 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	178 of 189 - Sale <sup>25</sup>
	300.000	446.96	12/31/21	423.00	...	23.96	179 of 189 - Sale <sup>25</sup>
	340.000	506.55	12/31/21	479.40	...	27.15	180 of 189 - Sale <sup>25</sup>
	365.000	543.80	12/31/21	514.65	...	29.15	181 of 189 - Sale <sup>25</sup>
	400.000	595.95	12/31/21	564.15	...	31.80	182 of 189 - Sale <sup>25</sup>
	559.000	832.83	12/31/21	788.19	...	44.64	183 of 189 - Sale <sup>25</sup>
	600.000	893.92	12/31/21	846.00	...	47.92	184 of 189 - Sale <sup>25</sup>
	848.000	1,187.08	12/31/21	1,128.06	...	59.02	185 of 189 - Sale <sup>25</sup>
	740.000	1,102.50	12/31/21	1,043.40	...	59.10	186 of 189 - Sale <sup>25</sup>
	1,012.000	1,416.66	12/31/21	1,346.21	...	70.45	187 of 189 - Sale <sup>25</sup>
	1,700.000	2,532.78	12/31/21	2,397.00	...	135.78	188 of 189 - Sale <sup>25</sup>
	4,425.000	6,592.68	12/31/21	6,239.25	...	353.43	189 of 189 - Sale <sup>25</sup>
12/31/21	45,026.000	61,807.54	Various	61,844.07	...	-36.53	Total of 189 transactions
	91 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	36.000	50.39	12/31/21	50.41	0.02 W	0.00	1 of 91 - Sale <sup>25</sup>
	48.000	67.19	12/31/21	67.21	0.02 W	0.00	2 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	3 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	4 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	5 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	6 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	7 of 91 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	8 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	9 of 91 - Sale <sup>25</sup>
	52.000	72.79	12/31/21	72.81	0.02 W	0.00	10 of 91 - Sale <sup>25</sup>
	100.000	139.98	12/31/21	140.01	0.03 W	0.00	11 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	12 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	13 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	14 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	15 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	16 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	17 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	18 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	19 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	20 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	21 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	22 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	23 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	24 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	25 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	26 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	27 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	28 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	29 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	30 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	31 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	32 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	33 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	34 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	35 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.00	0.01 W	0.00	36 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.01	0.02 W	0.00	37 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.01	0.02 W	0.00	38 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.01	0.02 W	0.00	39 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.01	0.02 W	0.00	40 of 91 - Sale <sup>25</sup>
	100.000	139.99	12/31/21	140.01	0.02 W	0.00	41 of 91 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
100.000		139.99	12/31/21	140.01	0.02 W	0.00	42 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	43 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	44 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	45 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	46 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	47 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	48 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	49 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	50 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	51 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	52 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	53 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	54 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	55 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	56 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	57 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	58 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	59 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	60 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	61 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	62 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	63 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.01	0.02 W	0.00	64 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	65 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	66 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	67 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	68 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	69 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	70 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	71 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	72 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	73 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	74 of 91 - Sale <sup>25</sup>
100.000		139.99	12/31/21	140.02	0.03 W	0.00	75 of 91 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol: (cont'd)							
	200.000	279.97	12/31/21	280.02	0.05 W	0.00	76 of 91 - Sale <sup>25</sup>
	200.000	279.97	12/31/21	280.02	0.05 W	0.00	77 of 91 - Sale <sup>25</sup>
	200.000	279.97	12/31/21	280.03	0.06 W	0.00	78 of 91 - Sale <sup>25</sup>
	200.000	279.97	12/31/21	280.05	0.08 W	0.00	79 of 91 - Sale <sup>25</sup>
	200.000	279.97	12/31/21	280.06	0.09 W	0.00	80 of 91 - Sale <sup>25</sup>
	200.000	279.98	12/31/21	280.00	0.02 W	0.00	81 of 91 - Sale <sup>25</sup>
	252.000	352.77	12/31/21	352.83	0.06 W	0.00	82 of 91 - Sale <sup>25</sup>
	352.000	492.76	12/31/21	492.85	0.09 W	0.00	83 of 91 - Sale <sup>25</sup>
	400.000	559.94	12/31/21	560.00	0.06 W	0.00	84 of 91 - Sale <sup>25</sup>
	400.000	559.95	12/31/21	560.05	0.10 W	0.00	85 of 91 - Sale <sup>25</sup>
	400.000	559.95	12/31/21	560.10	0.15 W	0.00	86 of 91 - Sale <sup>25</sup>
	848.000	1,187.09	12/31/21	1,187.31	0.22 W	0.00	87 of 91 - Sale <sup>25</sup>
	1,048.000	1,467.07	12/31/21	1,467.33	0.26 W	0.00	88 of 91 - Sale <sup>25</sup>
	1,100.000	1,539.86	12/31/21	1,540.00	0.14 W	0.00	89 of 91 - Sale <sup>25</sup>
	1,300.000	1,819.84	12/31/21	1,820.00	0.16 W	0.00	90 of 91 - Sale <sup>25</sup>
	1,700.000	2,379.78	12/31/21	2,380.00	0.22 W	0.00	91 of 91 - Sale <sup>25</sup>
12/31/21	16,000.000	22,398.08	Various	22,401.26	3.18 W	0.00	Total of 91 transactions
	<b>Security total:</b>	<b>84,205.62</b>		<b>84,245.33</b>	<b>3.18 W</b>	<b>-36.53</b>	

**MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:**

23 transactions for 02/17/21. Total proceeds and cost reported to the IRS.

0.213	2.37	02/17/21	2.36	...	0.01	1 of 23 - Sale <sup>25</sup>
1.213	13.52	02/17/21	13.50	...	0.02	2 of 23 - Sale <sup>25</sup>
7.213	80.42	02/17/21	80.28	...	0.14	3 of 23 - Sale <sup>25</sup>
25.000	278.75	02/17/21	278.22	...	0.53	4 of 23 - Sale <sup>25</sup>
26.787	298.67	02/17/21	298.14	...	0.53	5 of 23 - Sale <sup>25</sup>
26.787	298.67	02/17/21	298.14	...	0.53	6 of 23 - Sale <sup>25</sup>
58.787	655.45	02/17/21	654.30	...	1.15	7 of 23 - Sale <sup>25</sup>
66.000	735.87	02/17/21	734.58	...	1.29	8 of 23 - Sale <sup>25</sup>
66.000	735.87	02/17/21	734.48	...	1.39	9 of 23 - Sale <sup>25</sup>
73.213	816.29	02/17/21	814.86	...	1.43	10 of 23 - Sale <sup>25</sup>
100.000	1,114.96	02/17/21	1,113.00	...	1.96	11 of 23 - Sale <sup>25</sup>
100.000	1,114.96	02/17/21	1,113.00	...	1.96	12 of 23 - Sale <sup>25</sup>
100.000	1,114.96	02/17/21	1,113.00	...	1.96	13 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol: (cont'd)							
	100.000	1,114.96	02/17/21	1,113.00	...	1.96	14 of 23 - Sale <sup>25</sup>
	100.000	1,114.96	02/17/21	1,113.00	...	1.96	15 of 23 - Sale <sup>25</sup>
	100.000	1,114.96	02/17/21	1,112.86	...	2.10	16 of 23 - Sale <sup>25</sup>
	100.000	1,114.96	02/17/21	1,112.86	...	2.10	17 of 23 - Sale <sup>25</sup>
	122.000	1,360.25	02/17/21	1,357.69	...	2.56	18 of 23 - Sale <sup>25</sup>
	141.213	1,574.47	02/17/21	1,571.70	...	2.77	19 of 23 - Sale <sup>25</sup>
	132.787	1,480.53	02/17/21	1,477.74	...	2.79	20 of 23 - Sale <sup>25</sup>
	195.787	2,182.96	02/17/21	2,178.80	...	4.16	21 of 23 - Sale <sup>25</sup>
	204.213	2,276.89	02/17/21	2,272.56	...	4.33	22 of 23 - Sale <sup>25</sup>
	398.787	4,446.33	02/17/21	4,437.94	...	8.39	23 of 23 - Sale <sup>25</sup>
02/17/21	2,246.000	25,042.03	Various	24,996.01	...	46.02	Total of 23 transactions
03/18/21	0.359	3.85	02/17/21	3.99	...	-0.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>25,045.88</b>		<b>25,000.00</b>	...	<b>45.88</b>	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	4.000	205.20	12/21/20	197.02	...	8.18	1 of 2 - Sale <sup>25</sup>
	6.000	307.80	12/21/20	295.54	...	12.26	2 of 2 - Sale <sup>25</sup>
01/04/21	10.000	513.00	Various	492.56	...	20.44	Total of 2 transactions
6 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.003	0.16	02/09/21	0.16	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.003	0.17	02/09/21	0.17	...	0.00	2 of 6 - Sale <sup>25</sup>
	0.041	2.47	02/09/21	2.44	...	0.03	3 of 6 - Sale <sup>25</sup>
	0.046	2.82	02/09/21	2.76	...	0.06	4 of 6 - Sale <sup>25</sup>
	0.343	20.88	02/09/21	20.64	...	0.24	5 of 6 - Sale <sup>25</sup>
	7.954	484.00	02/09/21	478.65	...	5.35	6 of 6 - Sale <sup>25</sup>
02/09/21	8.389	510.50	Various	504.82	...	5.68	Total of 6 transactions
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.343	20.36	02/09/21	20.55	0.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	474.80	02/09/21	479.28	4.48 W	0.00	2 of 2 - Sale <sup>25</sup>
02/09/21	8.343	495.16	Various	499.83	4.67 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,518.66</b>		<b>1,497.21</b>	<b>4.67 W</b>	<b>26.12</b>	

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NN, INC. COMMON STOCK / CUSIP: 629337106 / Symbol:							
5 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	137.000	993.22	06/21/21	993.25	...	-0.03	1 of 5 - Sale <sup>25</sup>
	87.796	636.51	06/21/21	636.52	...	-0.01	2 of 5 - Sale <sup>25</sup>
	0.048	0.35	06/21/21	0.35	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.204	1.48	06/21/21	1.47	...	0.01	4 of 5 - Sale <sup>25</sup>
	90.000	652.48	06/21/21	652.41	...	0.07	5 of 5 - Sale <sup>25</sup>
06/23/21	315.048	2,284.04	Various	2,284.00	...	0.04	Total of 5 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	569.412	785.98	01/19/21	796.55	...	-10.57	1 of 2 - Sale <sup>25</sup>
	2.477	3.42	01/19/21	3.45	...	-0.03	2 of 2 - Sale <sup>25</sup>
01/25/21	571.888	789.40	Various	800.00	...	-10.60	Total of 2 transactions
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J404 / Symbol:							
30 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	0.082	0.34	12/23/21	0.33	...	0.01	1 of 30 - Sale <sup>25</sup>
	0.082	0.34	12/23/21	0.33	...	0.01	2 of 30 - Sale <sup>25</sup>
	0.082	0.34	12/23/21	0.33	...	0.01	3 of 30 - Sale <sup>25</sup>
	0.164	0.68	12/23/21	0.65	...	0.03	4 of 30 - Sale <sup>25</sup>
	11.082	45.77	12/23/21	44.33	...	1.44	5 of 30 - Sale <sup>25</sup>
	11.082	45.77	12/23/21	44.33	...	1.44	6 of 30 - Sale <sup>25</sup>
	21.000	86.12	12/23/21	84.00	...	2.12	7 of 30 - Sale <sup>25</sup>
	19.918	82.26	12/23/21	79.67	...	2.59	8 of 30 - Sale <sup>25</sup>
	28.918	118.59	12/23/21	115.67	...	2.92	9 of 30 - Sale <sup>25</sup>
	28.918	119.43	12/23/21	115.67	...	3.76	10 of 30 - Sale <sup>25</sup>
	30.000	123.90	12/23/21	120.00	...	3.90	11 of 30 - Sale <sup>25</sup>
	49.918	204.16	12/23/21	200.17	...	3.99	12 of 30 - Sale <sup>25</sup>
	49.918	206.16	12/23/21	199.67	...	6.49	13 of 30 - Sale <sup>25</sup>
	50.082	206.83	12/23/21	200.33	...	6.50	14 of 30 - Sale <sup>25</sup>
	100.000	408.99	12/23/21	401.00	...	7.99	15 of 30 - Sale <sup>25</sup>
	71.082	293.56	12/23/21	284.33	...	9.23	16 of 30 - Sale <sup>25</sup>
	88.918	367.22	12/23/21	355.67	...	11.55	17 of 30 - Sale <sup>25</sup>
	88.918	367.22	12/23/21	355.67	...	11.55	18 of 30 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NXT-ID, INC. COMMON STOCK / CUSIP: 67091J404 / Symbol: (cont'd)							
	97.000	400.60	12/23/21	388.00	...	12.60	19 of 30 - Sale <sup>25</sup>
	99.918	412.65	12/23/21	399.67	...	12.98	20 of 30 - Sale <sup>25</sup>
	99.918	412.65	12/23/21	399.67	...	12.98	21 of 30 - Sale <sup>25</sup>
	100.000	412.99	12/23/21	400.00	...	12.99	22 of 30 - Sale <sup>25</sup>
	100.000	412.99	12/23/21	400.00	...	12.99	23 of 30 - Sale <sup>25</sup>
	100.000	412.99	12/23/21	400.00	...	12.99	24 of 30 - Sale <sup>25</sup>
	100.000	412.99	12/23/21	400.00	...	12.99	25 of 30 - Sale <sup>25</sup>
	100.000	412.99	12/23/21	400.00	...	12.99	26 of 30 - Sale <sup>25</sup>
	150.082	615.46	12/23/21	601.83	...	13.63	27 of 30 - Sale <sup>25</sup>
	150.082	619.81	12/23/21	600.33	...	19.48	28 of 30 - Sale <sup>25</sup>
	303.000	1,251.34	12/23/21	1,212.00	...	39.34	29 of 30 - Sale <sup>25</sup>
	4,251.082	17,386.32	12/23/21	17,089.35	...	296.97	30 of 30 - Sale <sup>25</sup>
12/23/21	6,301.246	25,841.46	Various	25,293.00	...	548.46	Total of 30 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.007	0.02	01/11/21	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	587.000	1,511.42	01/11/21	1,484.35	...	27.07	2 of 2 - Sale <sup>25</sup>
01/13/21	587.007	1,511.44	Various	1,484.37	...	27.07	Total of 2 transactions
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.461	1.19	01/11/21	1.34	0.15 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.461	1.19	01/11/21	1.37	0.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	99.533	256.28	01/11/21	295.98	39.70 W	0.00	3 of 3 - Sale <sup>25</sup>
01/13/21	100.454	258.66	Various	298.69	40.03 W	0.00	Total of 3 transactions
7 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.011	0.02	02/01/21	0.02	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.028	0.06	02/01/21	0.05	...	0.01	2 of 7 - Sale <sup>25</sup>
	0.824	1.67	02/01/21	1.64	...	0.03	3 of 7 - Sale <sup>25</sup>
	0.439	0.89	02/01/21	0.85	...	0.04	4 of 7 - Sale <sup>25</sup>
	19.263	39.12	02/01/21	35.54	...	3.58	5 of 7 - Sale <sup>25</sup>
	94.737	192.41	02/01/21	174.79	...	17.62	6 of 7 - Sale <sup>25</sup>
	867.000	1,760.82	02/01/21	1,602.82	...	158.00	7 of 7 - Sale <sup>25</sup>
02/02/21	982.302	1,994.99	Various	1,815.71	...	179.28	Total of 7 transactions

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Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	0.439	0.92	02/01/21	0.96	0.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.824	1.73	02/01/21	1.85	0.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	98.709	200.47	02/01/21	221.49	21.02 W	0.00	3 of 3 - Sale <sup>25</sup>
02/02/21	99.972	203.12	Various	224.30	21.18 W	0.00	Total of 3 transactions
5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	56.652	654.31	02/11/21	657.26	...	-2.95	1 of 5 - Sale <sup>25</sup>
	85.568	988.29	02/11/21	988.41	...	-0.12	2 of 5 - Sale <sup>25</sup>
	0.029	0.33	02/11/21	0.33	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.543	6.27	02/11/21	6.27	...	0.00	4 of 5 - Sale <sup>25</sup>
	31.152	359.80	02/11/21	354.80	...	5.00	5 of 5 - Sale <sup>25</sup>
04/23/21	173.943	2,009.00	Various	2,007.07	...	1.93	Total of 5 transactions
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	41.774	482.48	02/11/21	505.55	3.23 W	-19.84	1 of 2 - Sale <sup>25</sup>
	0.283	3.27	02/11/21	3.41	0.14 W	0.00	2 of 2 - Sale <sup>25</sup>
04/23/21	42.057	485.75	Various	508.96	3.37 W	-19.84	Total of 2 transactions
4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	0.432	5.47	02/11/21	4.99	...	0.48	1 of 4 - Sale <sup>25</sup>
	5.857	74.16	05/03/21	89.08	...	-14.92	2 of 4 - Sale <sup>25</sup>
	0.143	1.81	05/03/21	2.17	...	-0.36	3 of 4 - Sale <sup>25</sup>
	0.140	1.77	05/03/21	2.12	...	-0.35	4 of 4 - Sale <sup>25</sup>
05/04/21	6.572	83.21	Various	98.36	...	-15.15	Total of 4 transactions
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	0.738	5.17	06/15/21	5.15	...	0.02	1 of 2 - Sale <sup>25</sup>
	285.832	2,000.77	06/15/21	1,994.85	...	5.92	2 of 2 - Sale <sup>25</sup>
06/21/21	286.570	2,005.94	Various	2,000.00	...	5.94	Total of 2 transactions
2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	168.213	1,513.89	06/29/21	1,492.89	...	21.00	1 of 2 - Sale <sup>25</sup>
	93.787	844.06	06/29/21	800.00	...	44.06	2 of 2 - Sale <sup>25</sup>
08/10/21	262.000	2,357.95	Various	2,292.89	...	65.06	Total of 2 transactions

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	0.190	1.71	06/29/21	1.69	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.611	5.47	06/29/21	5.42	...	0.05	2 of 2 - Sale <sup>25</sup>
10/12/21	0.801	7.18	Various	7.11	...	0.07	Total of 2 transactions
	<b>Security total:</b>	<b>10,917.24</b>		<b>10,737.46</b>	<b>64.58 W</b>	<b>244.36</b>	
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
01/11/21	759.000	1,722.80	01/06/21	1,706.92	...	15.88	Sale <sup>25</sup>
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
06/17/21	263.852	1,001.02	06/16/21	1,000.00	...	1.02	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	0.002	0.04	02/18/21	0.04	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.004	0.10	02/18/21	0.10	...	0.00	2 of 3 - Sale <sup>25</sup>
	19.996	512.68	02/18/21	499.86	...	12.82	3 of 3 - Sale <sup>25</sup>
02/18/21	20.002	512.82	Various	500.00	...	12.82	Total of 3 transactions
PETROS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 71678J100 / Symbol:							
11 transactions for 12/28/21. Total proceeds and cost reported to the IRS.							
	13.000	52.00	12/28/21	49.92	...	2.08	1 of 11 - Sale <sup>25</sup>
	87.000	347.99	12/28/21	334.08	...	13.91	2 of 11 - Sale <sup>25</sup>
	100.000	399.98	12/28/21	384.00	...	15.98	3 of 11 - Sale <sup>25</sup>
	174.000	695.97	12/28/21	668.16	...	27.81	4 of 11 - Sale <sup>25</sup>
	239.000	955.96	12/28/21	917.76	...	38.20	5 of 11 - Sale <sup>25</sup>
	387.000	1,547.94	12/28/21	1,486.08	...	61.86	6 of 11 - Sale <sup>25</sup>
	413.000	1,651.94	12/28/21	1,590.05	...	61.89	7 of 11 - Sale <sup>25</sup>
	613.000	2,451.91	12/28/21	2,360.05	...	91.86	8 of 11 - Sale <sup>25</sup>
	1,087.000	4,347.84	12/28/21	4,184.95	...	162.89	9 of 11 - Sale <sup>25</sup>
	1,500.000	5,999.79	12/28/21	5,775.00	...	224.79	10 of 11 - Sale <sup>25</sup>
	1,982.000	7,927.71	12/28/21	7,630.70	...	297.01	11 of 11 - Sale <sup>25</sup>
12/28/21	6,595.000	26,379.03	Various	25,380.75	...	998.28	Total of 11 transactions

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PIERIS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 720795103 / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	5.906	27.76	06/24/21	21.91	...	5.85	1 of 2 - Sale <sup>25</sup>
	398.406	1,872.61	06/24/21	1,478.09	...	394.52	2 of 2 - Sale <sup>25</sup>
06/25/21	404.312	1,900.37	Various	1,500.00	...	400.37	Total of 2 transactions
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
02/12/21	150.000	521.96	02/11/21	520.50	...	1.46	Sale <sup>25</sup>
PROFESSIONAL DIVERSITY NETWORK , INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
11/04/21	200.000	274.40	07/08/21	367.54	...	-93.14	Sale <sup>25</sup>
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	20.000	365.36	12/04/20	190.90	...	174.46	1 of 2 - Sale <sup>25</sup>
	40.000	730.73	12/04/20	380.71	...	350.02	2 of 2 - Sale <sup>25</sup>
01/28/21	60.000	1,096.09	Various	571.61	...	524.48	Total of 2 transactions
21 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	97.716	4,032.63	02/09/21	4,049.21	...	-16.58	1 of 21 - Sale <sup>25</sup>
	84.000	3,466.59	02/09/21	3,480.85	...	-14.26	2 of 21 - Sale <sup>25</sup>
	55.284	2,281.52	02/09/21	2,291.46	...	-9.94	3 of 21 - Sale <sup>25</sup>
	57.000	2,352.33	02/09/21	2,361.44	...	-9.11	4 of 21 - Sale <sup>25</sup>
	42.608	1,758.40	02/09/21	1,765.62	...	-7.22	5 of 21 - Sale <sup>25</sup>
	39.000	1,609.49	02/09/21	1,616.11	...	-6.62	6 of 21 - Sale <sup>25</sup>
	68.696	2,835.02	02/09/21	2,841.18	...	-6.16	7 of 21 - Sale <sup>25</sup>
	31.304	1,291.87	02/09/21	1,297.18	...	-5.31	8 of 21 - Sale <sup>25</sup>
	25.000	1,031.72	02/09/21	1,033.97	...	-2.25	9 of 21 - Sale <sup>25</sup>
	4.696	193.82	02/09/21	194.61	...	-0.79	10 of 21 - Sale <sup>25</sup>
	5.799	239.44	02/09/21	239.84	...	-0.40	11 of 21 - Sale <sup>25</sup>
	2.284	94.27	02/09/21	94.66	...	-0.39	12 of 21 - Sale <sup>25</sup>
	0.392	16.17	02/09/21	16.40	...	-0.23	13 of 21 - Sale <sup>25</sup>
	1.000	41.27	02/09/21	41.45	...	-0.18	14 of 21 - Sale <sup>25</sup>
	0.040	1.64	02/09/21	1.65	...	-0.01	15 of 21 - Sale <sup>25</sup>
	0.073	3.01	02/09/21	3.01	...	0.00	16 of 21 - Sale <sup>25</sup>
	0.328	12.62	02/09/21	12.39	...	0.23	17 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
	1.671	66.28	02/09/21	64.80	...	1.48	18 of 21 - Sale <sup>25</sup>
	14.503	558.88	02/09/21	556.18	...	2.70	19 of 21 - Sale <sup>25</sup>
	556.000	21,342.51	02/09/21	20,987.61	...	354.90	20 of 21 - Sale <sup>25</sup>
	539.985	21,413.74	02/09/21	20,935.20	...	478.54	21 of 21 - Sale <sup>25</sup>
02/09/21	1,627.379	64,643.22	Various	63,884.82	...	758.40	Total of 21 transactions
	30 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	19.088	788.13	02/09/21	795.82	2.36 W	-5.33	1 of 30 - Sale <sup>25</sup>
	1.000	39.41	02/09/21	40.09	0.68 W	0.00	2 of 30 - Sale <sup>25</sup>
	39.000	1,537.34	02/09/21	1,563.41	26.07 W	0.00	3 of 30 - Sale <sup>25</sup>
	99.553	3,923.28	02/09/21	3,990.83	67.55 W	0.00	4 of 30 - Sale <sup>25</sup>
	99.608	3,926.45	02/09/21	3,993.04	66.59 W	0.00	5 of 30 - Sale <sup>25</sup>
	99.608	3,932.43	02/09/21	3,993.04	60.61 W	0.00	6 of 30 - Sale <sup>25</sup>
	100.000	3,941.90	02/09/21	4,008.75	66.85 W	0.00	7 of 30 - Sale <sup>25</sup>
	120.000	4,730.28	02/09/21	4,810.50	80.22 W	0.00	8 of 30 - Sale <sup>25</sup>
	0.040	1.63	02/09/21	1.64	0.01 W	0.00	9 of 30 - Sale <sup>25</sup>
	0.073	2.99	02/09/21	3.00	0.01 W	0.00	10 of 30 - Sale <sup>25</sup>
	0.113	4.34	02/09/21	4.39	0.05 W	0.00	11 of 30 - Sale <sup>25</sup>
	0.392	15.10	02/09/21	15.45	0.35 W	0.00	12 of 30 - Sale <sup>25</sup>
	0.392	15.45	02/09/21	15.87	0.42 W	0.00	13 of 30 - Sale <sup>25</sup>
	0.392	16.06	02/09/21	16.31	0.25 W	0.00	14 of 30 - Sale <sup>25</sup>
	42.608	1,746.23	02/09/21	1,756.39	10.16 W	0.00	15 of 30 - Sale <sup>25</sup>
	80.465	3,297.72	02/09/21	3,317.73	20.01 W	0.00	16 of 30 - Sale <sup>25</sup>
	99.495	3,834.20	02/09/21	3,876.19	41.99 W	0.00	17 of 30 - Sale <sup>25</sup>
	99.553	3,836.42	02/09/21	3,885.40	48.98 W	0.00	18 of 30 - Sale <sup>25</sup>
	99.608	3,838.54	02/09/21	3,886.56	48.02 W	0.00	19 of 30 - Sale <sup>25</sup>
	99.495	4,077.66	02/09/21	4,093.44	15.78 W	0.00	20 of 30 - Sale <sup>25</sup>
	0.392	15.47	02/09/21	15.63	0.16 W	0.00	21 of 30 - Sale <sup>25</sup>
	1.000	38.54	02/09/21	39.03	0.49 W	0.00	22 of 30 - Sale <sup>25</sup>
	1.000	40.98	02/09/21	41.23	0.25 W	0.00	23 of 30 - Sale <sup>25</sup>
	39.000	1,502.92	02/09/21	1,521.72	18.80 W	0.00	24 of 30 - Sale <sup>25</sup>
	39.000	1,598.35	02/09/21	1,607.66	9.31 W	0.00	25 of 30 - Sale <sup>25</sup>
	57.000	2,336.05	02/09/21	2,349.09	13.04 W	0.00	26 of 30 - Sale <sup>25</sup>
	100.000	3,853.64	02/09/21	3,901.85	48.21 W	0.00	27 of 30 - Sale <sup>25</sup>
	100.000	4,098.34	02/09/21	4,122.21	23.87 W	0.00	28 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
	120.000	4,624.37	02/09/21	4,682.22	57.85 W	0.00	29 of 30 - Sale <sup>25</sup>
	120.000	4,918.01	02/09/21	4,946.65	28.64 W	0.00	30 of 30 - Sale <sup>25</sup>
02/09/21	1,677.875	66,532.23	Various	67,295.14	757.58 W	-5.33	Total of 30 transactions
	5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	0.038	2.25	02/12/21	1.97	...	0.28	1 of 5 - Sale <sup>25</sup>
	0.419	24.27	02/12/21	19.75	...	4.52	2 of 5 - Sale <sup>25</sup>
	5.820	336.70	02/12/21	298.56	...	38.14	3 of 5 - Sale <sup>25</sup>
	13.788	797.77	02/12/21	705.26	...	92.51	4 of 5 - Sale <sup>25</sup>
	10.973	634.85	02/12/21	518.08	...	116.77	5 of 5 - Sale <sup>25</sup>
02/16/21	31.038	1,795.84	Various	1,543.62	...	252.22	Total of 5 transactions
	<b>Security total:</b>	<b>134,067.38</b>		<b>133,295.19</b>	<b>757.58 W</b>	<b>1,529.77</b>	
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/03/21	10.000	439.70	07/29/21	380.00	...	59.70	Sale <sup>25</sup>
SEACHANGE INTERNATIONAL, INC. COMMON STOCK / CUSIP: 811699107 / Symbol:							
	3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	0.035	0.04	06/23/21	0.04	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.655	0.78	06/23/21	0.75	...	0.03	2 of 3 - Sale <sup>25</sup>
	694.965	826.92	06/23/21	799.21	...	27.71	3 of 3 - Sale <sup>25</sup>
06/24/21	695.655	827.74	Various	800.00	...	27.74	Total of 3 transactions
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
05/27/21	25.000	105.50	05/26/21	97.00	...	8.50	Sale <sup>25</sup>
06/01/21	0.774	3.52	05/26/21	3.00	...	0.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>109.02</b>		<b>100.00</b>	...	<b>9.02</b>	
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
	4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.						
	11.485	19.52	12/29/21	17.17	...	2.35	1 of 4 - Sale <sup>25</sup>
	33.696	57.28	12/29/21	50.54	...	6.74	2 of 4 - Sale <sup>25</sup>
	7,403.000	12,584.15	12/29/21	12,213.47	...	370.68	3 of 4 - Sale <sup>25</sup>
	8,754.819	14,882.07	12/29/21	14,443.70	...	438.37	4 of 4 - Sale <sup>25</sup>
12/29/21	16,203.000	27,543.02	Various	26,724.88	...	818.14	Total of 4 transactions

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SINGULARITY FUTURE TECHNOLOGY LTD. COMMON STOCK / CUSIP: 82935V208 / Symbol:							
02/16/21	40.000	349.89	02/08/21	324.72	...	25.17	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
02/17/21	28.363	425.73	02/16/21	350.00	...	75.73	Sale <sup>25</sup>
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
06/30/21	100.182	567.01	06/29/21	550.00	...	17.01	Sale <sup>25</sup>
SPRUCE BIOSCIENCES, INC. COMM N STOCK / CUSIP: 85209E109 / Symbol:							
11 transactions for 12/30/21. Total proceeds and cost reported to the IRS.							
	0.052	0.27	12/30/21	0.26	...	0.01	1 of 11 - Sale <sup>25</sup>
	0.545	2.77	12/30/21	2.72	...	0.05	2 of 11 - Sale <sup>25</sup>
	71.948	366.92	12/30/21	359.02	...	7.90	3 of 11 - Sale <sup>25</sup>
	100.000	509.98	12/30/21	498.00	...	11.98	4 of 11 - Sale <sup>25</sup>
	100.000	509.99	12/30/21	498.00	...	11.99	5 of 11 - Sale <sup>25</sup>
	299.948	1,529.69	12/30/21	1,499.74	...	29.95	6 of 11 - Sale <sup>25</sup>
	400.000	2,039.94	12/30/21	1,992.00	...	47.94	7 of 11 - Sale <sup>25</sup>
	400.000	2,039.94	12/30/21	1,992.00	...	47.94	8 of 11 - Sale <sup>25</sup>
	400.000	2,039.94	12/30/21	1,992.00	...	47.94	9 of 11 - Sale <sup>25</sup>
	1,242.052	6,334.28	12/30/21	6,210.26	...	124.02	10 of 11 - Sale <sup>25</sup>
	2,500.000	12,749.63	12/30/21	12,500.00	...	249.63	11 of 11 - Sale <sup>25</sup>
12/30/21	5,514.545	28,123.35	Various	27,544.00	...	579.35	Total of 11 transactions
SURGALIGN HOLDINGS, INC. COMM N STOCK / CUSIP: 86882C105 / Symbol:							
06/28/21	1,975.169	2,982.25	06/25/21	2,800.00	...	182.25	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
14 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.220	181.10	11/06/20	94.34	...	86.76	1 of 14 - Sale <sup>25</sup>
	1.000	823.11	12/03/20	586.38	...	236.73	2 of 14 - Sale <sup>25</sup>
	0.948	780.37	12/09/20	584.38	...	195.99	3 of 14 - Sale <sup>25</sup>
	1.000	823.11	12/09/20	614.76	...	208.35	4 of 14 - Sale <sup>25</sup>
	0.074	61.16	12/18/20	50.00	...	11.16	5 of 14 - Sale <sup>25</sup>
	5.000	4,130.86	02/01/21	4,380.85	...	-249.99	6 of 14 - Sale <sup>25</sup>
	3.227	2,666.05	02/01/21	2,844.00	...	-177.95	7 of 14 - Sale <sup>25</sup>

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Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	1,652.35	02/01/21	1,762.63	...	-110.28	8 of 14 - Sale <sup>25</sup>
	0.680	561.74	02/01/21	595.79	...	-34.05	9 of 14 - Sale <sup>25</sup>
	0.793	655.43	02/01/21	685.91	...	-30.48	10 of 14 - Sale <sup>25</sup>
	0.416	344.01	02/01/21	366.89	...	-22.88	11 of 14 - Sale <sup>25</sup>
	0.004	3.06	02/01/21	3.23	...	-0.17	12 of 14 - Sale <sup>25</sup>
	0.001	0.79	02/01/21	0.82	...	-0.03	13 of 14 - Sale <sup>25</sup>
	12.295	10,157.84	02/01/21	10,069.70	...	88.14	14 of 14 - Sale <sup>25</sup>
02/01/21	27.659	22,840.98	Various	22,639.68	...	201.30	Total of 14 transactions
	6 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	0.001	0.79	01/27/21	0.83	0.04 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.680	559.66	01/27/21	598.59	38.93 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.793	653.00	01/27/21	689.17	36.17 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	4,115.56	01/27/21	4,401.41	285.85 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.647	4,648.11	01/27/21	5,000.00	351.89 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.004	3.06	02/01/21	3.26	0.20 W	0.00	6 of 6 - Sale <sup>25</sup>
02/01/21	12.125	9,980.18	Various	10,693.26	713.08 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>32,821.16</b>		<b>33,332.94</b>	<b>713.08 W</b>	<b>201.30</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
	3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	2.136	5.45	02/11/21	5.45	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.327	0.84	02/11/21	0.83	...	0.01	2 of 3 - Sale <sup>25</sup>
	389.864	994.07	02/11/21	993.72	...	0.35	3 of 3 - Sale <sup>25</sup>
02/12/21	392.327	1,000.36	Various	1,000.00	...	0.36	Total of 3 transactions
	2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	0.281	1.25	02/16/21	1.25	...	0.00	1 of 2 - Sale <sup>25</sup>
	1,194.000	5,492.13	02/16/21	5,313.30	...	178.83	2 of 2 - Sale <sup>25</sup>
02/16/21	1,194.281	5,493.38	Various	5,314.55	...	178.83	Total of 2 transactions
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	0.743	2.94	02/17/21	2.88	...	0.06	1 of 2 - Sale <sup>25</sup>
	198.843	787.38	02/17/21	773.12	...	14.26	2 of 2 - Sale <sup>25</sup>
02/17/21	199.585	790.32	Various	776.00	...	14.32	Total of 2 transactions
	<b>Security total:</b>	<b>7,284.06</b>		<b>7,090.55</b>	...	<b>193.51</b>	

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Robinhood Securities LLC

Account 805560901

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
02/16/21	146.520	2,000.38	02/10/21	2,000.00	...	0.38	Sale <sup>25</sup>
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
12/31/21	15,000.000	23,999.58	12/31/21	23,848.50	...	151.08	Sale <sup>25</sup>
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
3 transactions for 09/01/21. Total proceeds and cost reported to the IRS.							
	0.121	1.09	08/31/21	0.98	...	0.11	1 of 3 - Sale <sup>25</sup>
	0.821	8.66	08/31/21	6.70	...	1.96	2 of 3 - Sale <sup>25</sup>
	611.879	5,506.81	08/31/21	4,992.32	...	514.49	3 of 3 - Sale <sup>25</sup>
09/01/21	612.821	5,516.56	Various	5,000.00	...	516.56	Total of 3 transactions
3 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	0.240	2.72	09/02/21	2.05	...	0.67	1 of 3 - Sale <sup>25</sup>
	0.402	4.57	09/02/21	3.41	...	1.16	2 of 3 - Sale <sup>25</sup>
	9.000	102.34	09/02/21	76.55	...	25.79	3 of 3 - Sale <sup>25</sup>
09/08/21	9.641	109.63	Various	82.01	...	27.62	Total of 3 transactions
2 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	0.240	2.73	09/01/21	2.74	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	567.359	6,451.10	09/01/21	6,496.26	45.16 W	0.00	2 of 2 - Sale <sup>25</sup>
09/08/21	567.598	6,453.83	Various	6,499.00	45.17 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,080.02</b>		<b>11,581.01</b>	<b>45.17 W</b>	<b>544.18</b>	
XERIS BIOPHARMA HOLDINGS, INC. COMMON STOCK / CUSIP: 98422E103 / Symbol:							
12 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	3,022.000	9,398.01	12/31/21	9,398.42	...	-0.41	1 of 12 - Sale <sup>25</sup>
	2,800.000	8,707.62	12/31/21	8,708.00	...	-0.38	2 of 12 - Sale <sup>25</sup>
	2,103.896	6,542.83	12/31/21	6,543.12	...	-0.29	3 of 12 - Sale <sup>25</sup>
	1,078.000	3,352.43	12/31/21	3,352.58	...	-0.15	4 of 12 - Sale <sup>25</sup>
	189.000	587.76	12/31/21	587.79	...	-0.03	5 of 12 - Sale <sup>25</sup>
	0.095	0.28	12/31/21	0.30	...	-0.02	6 of 12 - Sale <sup>25</sup>
	94.000	292.33	12/31/21	292.34	...	-0.01	7 of 12 - Sale <sup>25</sup>
	103.000	320.32	12/31/21	320.33	...	-0.01	8 of 12 - Sale <sup>25</sup>
	0.199	0.62	12/31/21	0.62	...	0.00	9 of 12 - Sale <sup>25</sup>
	14.905	46.35	12/31/21	46.35	...	0.00	10 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XERIS BIOPHARMA HOLDINGS, INC. COMMON STOCK / CUSIP: 98422E103 / Symbol: (cont'd)							
	31.000	96.41	12/31/21	96.41	...	0.00	11 of 12 - Sale <sup>25</sup>
	34.000	105.74	12/31/21	105.74	...	0.00	12 of 12 - Sale <sup>25</sup>
12/31/21	9,470.095	29,450.70	Various	29,452.00	...	-1.30	Total of 12 transactions
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	29.826	312.88	06/17/21	316.34	...	-3.46	1 of 3 - Sale <sup>25</sup>
	0.171	1.79	06/17/21	1.83	...	-0.04	2 of 3 - Sale <sup>25</sup>
	0.004	0.04	06/17/21	0.04	...	0.00	3 of 3 - Sale <sup>25</sup>
06/18/21	30.000	314.71	Various	318.21	...	-3.50	Total of 3 transactions
06/18/21	0.171	1.79	06/17/21	1.81	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>316.50</b>		<b>320.02</b>	<b>0.02 W</b>	<b>-3.50</b>	
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
06/29/21	112.859	826.11	06/28/21	800.00	...	26.11	Sale <sup>25</sup>
JIUZI HOLDINGS, INC. ORDINARY SHARES / CUSIP: G51400102 / Symbol:							
06/04/21	20.000	246.70	06/03/21	225.00	...	21.70	Sale <sup>25</sup>
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	7,113.573	15,079.59	02/11/21	17,997.34	...	-2,917.75	1 of 3 - Sale <sup>25</sup>
	239.044	506.73	02/11/21	600.00	...	-93.27	2 of 3 - Sale <sup>25</sup>
	1.058	2.24	02/11/21	2.66	...	-0.42	3 of 3 - Sale <sup>25</sup>
02/16/21	7,353.675	15,588.56	Various	18,600.00	...	-3,011.44	Total of 3 transactions
TIAN RUIXIANG HOLDINGS LTD CLA SS A ORDINARY SHARES / CUSIP: G8884K102 / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	14.000	96.92	06/17/21	96.99	...	-0.07	1 of 3 - Sale <sup>25</sup>
	0.004	0.03	06/17/21	0.03	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.430	2.99	06/17/21	2.98	...	0.01	3 of 3 - Sale <sup>25</sup>
06/18/21	14.434	99.94	Various	100.00	...	-0.06	Total of 3 transactions
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
06/22/21	100.000	415.21	06/21/21	404.78	...	10.43	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 805560901

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
02/19/21	18.873	300.65	02/18/21	287.00	...	13.65	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,106,132.54</b>		<b>1,123,195.83</b>	<b>25,388.64 W</b>	<b>8,325.35</b>	

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Robinhood Securities LLC

Account 805560901

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
01/08/21	0.286	1.41	12/30/20	1.94	...	-0.53	Gain or loss
<b>Totals:</b>		<b>1.41</b>		<b>1.94</b>	...	<b>-0.53</b>	

Robinhood Securities LLC

Account 805560901

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/11/21	-5.00	Margin interest paid	
		02/10/21	-5.00	Margin interest paid	
		03/12/21	-5.00	Margin interest paid	
		04/12/21	-5.00	Margin interest paid	
		05/11/21	-5.00	Margin interest paid	
		06/10/21	-5.00	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/08/21	-5.00	Margin interest paid	
		10/08/21	-5.00	Margin interest paid	
		11/08/21	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
			<b>-55.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 805560901

**Non-Reportable Actions**

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-496.93	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	496.93	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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