

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 138915988</b>	Statement Date: 02/11/2022 Document ID: 6DB8 NS1 D571	2021
	Avinash Godavarthi 14135 Broadmoor St Apt 306 Overland Park, KS 66223  RECIPIENT'S TIN: XXX-XX-9205		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	396,690.99	412,867.21	0.00	8,152.33	-8,023.89
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>396,690.99</b>	<b>412,867.21</b>	<b>0.00</b>	<b>8,152.33</b>	<b>-8,023.89</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>396,690.99</b>	<b>412,867.21</b>	<b>0.00</b>	<b>8,152.33</b>	<b>-8,023.89</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 138915988

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	390.75
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/29/2021 CALL \$150.00 / CUSIP: / Symbol:							
01/21/21	1.000	99.98	01/20/21	51.00	...	48.98	Option sale to close-call <sup>25</sup>
AAPL 06/11/2021 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	25.000	0.00	06/10/21	75.00	...	-75.00	1 of 2 - Option expiration- long call
	25.000	0.00	06/10/21	75.00	...	-75.00	2 of 2 - Option expiration- long call
06/11/21	50.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
AAPL 06/18/2021 CALL \$136.00 / CUSIP: / Symbol:							
10 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	06/11/21	3.00	...	3.98	1 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	2 of 10 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/18/2021 CALL \$136.00 / CUSIP: / Symbol: (cont'd)							
	2.000	13.98	06/11/21	6.00	...	7.98	3 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	4 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	7 of 10 - Option sale to close-call <sup>25</sup>
	2.000	13.98	06/11/21	6.00	...	7.98	8 of 10 - Option sale to close-call <sup>25</sup>
	5.000	34.98	06/11/21	15.00	...	19.98	9 of 10 - Option sale to close-call <sup>25</sup>
	30.000	179.93	06/11/21	90.00	...	89.93	10 of 10 - Option sale to close-call <sup>25</sup>
06/14/21	50.000	319.75	Various	150.00	...	169.75	Total of 10 transactions
	4 transactions for 06/15/21. Total proceeds and cost reported to the IRS.						
	1.000	3.98	06/11/21	3.00	...	0.98	1 of 4 - Option sale to close-call <sup>25</sup>
	6.000	23.98	06/11/21	18.00	...	5.98	2 of 4 - Option sale to close-call <sup>25</sup>
	20.000	79.95	06/11/21	60.00	...	19.95	3 of 4 - Option sale to close-call <sup>25</sup>
	23.000	91.94	06/11/21	69.00	...	22.94	4 of 4 - Option sale to close-call <sup>25</sup>
06/15/21	50.000	199.85	Various	150.00	...	49.85	Total of 4 transactions
	<b>Security total:</b>	<b>519.60</b>		<b>300.00</b>	<b>...</b>	<b>219.60</b>	
AAPL 10/01/2021 CALL \$146.00 / CUSIP: / Symbol:							
	3 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	2.000	99.98	09/28/21	62.00	...	37.98	1 of 3 - Option sale to close-call <sup>25</sup>
	8.000	399.98	09/28/21	248.00	...	151.98	2 of 3 - Option sale to close-call <sup>25</sup>
	40.000	1,999.90	09/28/21	1,240.00	...	759.90	3 of 3 - Option sale to close-call <sup>25</sup>
09/29/21	50.000	2,499.86	Various	1,550.00	...	949.86	Total of 3 transactions

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 10/01/2021 CALL \$148.00 / CUSIP: / Symbol:							
3 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	38.000	-114.09	09/29/21	0.00	...	-114.09	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
	8.000	-24.02	09/29/21	0.00	...	-24.02	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
	2.000	-12.01	09/29/21	0.00	...	-12.01	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
09/30/21	48.000	-150.12	Various	0.00	...	-150.12	Total of 3 transactions
09/30/21	2.000	-6.01	09/29/21	0.00	6.01 W	0.00	Short sale closed- call <sup>25</sup> Option written: 09/28/21
	<b>Security total:</b>	<b>-156.13</b>		<b>0.00</b>	<b>6.01 W</b>	<b>-150.12</b>	
AAPL 12/10/2021 PUT \$152.50 / CUSIP: / Symbol:							
12/03/21	40.000	-2,480.09	12/02/21	0.00	...	-2,480.09	Short sale closed- put <sup>25</sup> Option written: 12/01/21
AAPL 12/10/2021 PUT \$160.00 / CUSIP: / Symbol:							
12/02/21	40.000	12,679.85	12/01/21	4,480.00	...	8,199.85	Option sale to close-put <sup>25</sup>
AMC 06/11/2021 PUT \$20.00 / CUSIP: / Symbol:							
06/07/21	1.000	11.98	06/04/21	32.00	...	-20.02	Option sale to close-put <sup>25</sup>
AMD 01/29/2021 CALL \$96.00 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	389.98	01/21/21	204.00	...	185.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	01/21/21	204.00	...	195.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/26/21	2.000	789.96	Various	408.00	...	381.96	Total of 2 transactions
AMZN 02/05/2021 CALL \$3,880.00 / CUSIP: / Symbol:							
01/25/21	1.000	919.96	01/20/21	515.00	...	404.96	Option sale to close-call <sup>25</sup>
AMZN 02/12/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
01/20/21	1.000	484.97	01/13/21	795.00	...	-310.03	Option sale to close-call <sup>25</sup>
AMZN 06/18/2021 PUT \$3,290.00 / CUSIP: / Symbol:							
06/16/21	1.000	529.98	06/16/21	188.00	...	341.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVGO 04/14/2022 CALL \$530.00 / CUSIP: / Symbol:							
5 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	5,718.96	08/30/21	3,132.00	...	2,586.96	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5,718.97	08/30/21	3,131.00	...	2,587.97	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5,718.97	08/30/21	3,131.00	...	2,587.97	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	17,156.90	08/30/21	9,396.00	...	7,760.90	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	22,875.87	08/30/21	12,528.00	...	10,347.87	5 of 5 - Option sale to close-call <sup>25</sup>
11/29/21	10.000	57,189.67	Various	31,318.00	...	25,871.67	Total of 5 transactions
AVGO 04/14/2022 CALL \$540.00 / CUSIP: / Symbol:							
5 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	4.000	-9,508.07	11/29/21	0.00	...	-9,508.07	1 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/30/21
	3.000	-7,131.05	11/29/21	0.00	...	-7,131.05	2 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/30/21
	1.000	-2,377.03	11/29/21	0.00	...	-2,377.03	3 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/30/21
	1.000	-2,377.03	11/29/21	0.00	...	-2,377.03	4 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/30/21
	1.000	-2,377.02	11/29/21	0.00	...	-2,377.02	5 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/30/21
11/30/21	10.000	-23,770.20	Various	0.00	...	-23,770.20	Total of 5 transactions
AVGO 06/04/2021 CALL \$485.00 / CUSIP: / Symbol:							
06/04/21	2.000	9.98	06/03/21	310.00	...	-300.02	Option sale to close-call <sup>25</sup>
AVGO 11/19/2021 CALL \$510.00 / CUSIP: / Symbol:							
2 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	2.000	2,953.97	08/26/21	2,240.00	...	713.97	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	4,430.96	08/26/21	3,360.00	...	1,070.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/21	5.000	7,384.93	Various	5,600.00	...	1,784.93	Total of 2 transactions
09/07/21	5.000	6,499.95	08/26/21	5,600.00	...	899.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>13,884.88</b>		<b>11,200.00</b>	...	<b>2,684.88</b>	

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVGO 11/19/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	3.000	-822.02	09/03/21	0.00	...	-822.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/26/21
	2.000	-548.01	09/03/21	0.00	...	-548.01	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/26/21
09/07/21	5.000	-1,370.03	Various	0.00	...	-1,370.03	Total of 2 transactions
09/08/21	5.000	-675.04	09/07/21	0.00	...	-675.04	Short sale closed- call <sup>25</sup> Option written: 08/26/21
	<b>Security total:</b>	<b>-2,045.07</b>		<b>0.00</b>	...	<b>-2,045.07</b>	
BA 06/04/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	3.000	2.98	06/03/21	114.00	...	-111.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	06/03/21	38.00	...	-37.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	4.000	3.96	Various	152.00	...	-148.04	Total of 2 transactions
BA 06/11/2021 CALL \$260.00 / CUSIP: / Symbol:							
06/11/21	2.000	0.00	06/04/21	214.00	...	-214.00	Option expiration- long call
BA 06/18/2021 CALL \$245.00 / CUSIP: / Symbol:							
3 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	7.99	06/17/21	76.00	...	-68.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.98	06/17/21	62.00	...	-58.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.99	06/17/21	62.01	...	-58.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/18/21	4.000	15.96	Various	200.01	...	-184.05	Total of 3 transactions
06/18/21	3.000	11.98	06/17/21	114.00	68.01 W	-34.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>27.94</b>		<b>314.01</b>	<b>68.01 W</b>	<b>-218.06</b>	
BA 07/09/2021 CALL \$245.00 / CUSIP: / Symbol:							
4 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	2.000	447.98	06/29/21	494.00	...	-46.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	218.99	06/29/21	247.00	...	-28.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	220.98	06/29/21	247.00	...	-26.02	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 07/09/2021 CALL \$245.00 / CUSIP: / Symbol: (cont'd)							
	1.000	218.99	06/29/21	210.02	...	8.97	4 of 4 - Option sale to close-call <sup>25</sup>
06/30/21	5.000	1,106.94	Various	1,198.02	...	-91.08	Total of 4 transactions
06/30/21	1.000	236.98	06/29/21	247.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,343.92</b>		<b>1,445.02</b>	<b>10.02 W</b>	<b>-91.08</b>	
BA 07/09/2021 CALL \$260.00 / CUSIP: / Symbol:							
5 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	9.00	06/30/21	0.00	...	9.00	1 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/29/21
	1.000	9.98	06/30/21	0.00	...	9.98	2 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/29/21
	1.000	17.00	06/30/21	0.00	...	17.00	3 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/29/21
	1.000	17.99	06/30/21	0.00	...	17.99	4 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/29/21
	2.000	33.99	06/30/21	0.00	...	33.99	5 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/29/21
07/01/21	6.000	87.96	Various	0.00	...	87.96	Total of 5 transactions
BA 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	2.000	495.98	06/29/21	446.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
06/30/21	2.000	499.98	06/29/21	446.00	...	53.98	2 of 2 - Option sale to close-call <sup>25</sup>
	4.000	995.96	Various	892.00	...	103.96	Total of 2 transactions
BABA 01/22/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/19/21	1.000	79.98	01/13/21	148.00	...	-68.02	Option sale to close-call <sup>25</sup>
01/20/21	1.000	794.97	01/13/21	148.00	...	646.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>874.95</b>		<b>296.00</b>	...	<b>578.95</b>	
BABA 02/05/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/21/21	4.000	371.98	01/20/21	688.00	...	-316.02	Option sale to close-call <sup>25</sup>
01/25/21	1.000	116.98	01/20/21	172.00	...	-55.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>488.96</b>		<b>860.00</b>	...	<b>-371.04</b>	

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 06/11/2021 CALL \$230.00 / CUSIP: / Symbol:							
06/07/21	3.000	56.98	06/04/21	141.00	...	-84.02	Option sale to close-call <sup>25</sup>
BRKB 06/18/2021 PUT \$277.50 / CUSIP: / Symbol:							
<i>9 transactions for 06/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	51.98	06/15/21	24.00	...	27.98	1 of 9 - Option sale to close-put <sup>25</sup>
	1.000	53.99	06/15/21	24.00	...	29.99	2 of 9 - Option sale to close-put <sup>25</sup>
	1.000	53.99	06/15/21	24.00	...	29.99	3 of 9 - Option sale to close-put <sup>25</sup>
	1.000	57.98	06/15/21	24.00	...	33.98	4 of 9 - Option sale to close-put <sup>25</sup>
	1.000	59.98	06/15/21	24.00	...	35.98	5 of 9 - Option sale to close-put <sup>25</sup>
	1.000	66.98	06/15/21	24.00	...	42.98	6 of 9 - Option sale to close-put <sup>25</sup>
	1.000	77.98	06/15/21	24.00	...	53.98	7 of 9 - Option sale to close-put <sup>25</sup>
	1.000	81.98	06/15/21	24.00	...	57.98	8 of 9 - Option sale to close-put <sup>25</sup>
	2.000	155.98	06/15/21	48.00	...	107.98	9 of 9 - Option sale to close-put <sup>25</sup>
06/17/21	10.000	660.84	Various	240.00	...	420.84	Total of 9 transactions
CAT 10/01/2021 CALL \$207.50 / CUSIP: / Symbol:							
<i>2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	9.98	09/30/21	50.00	...	-40.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	9.99	09/30/21	50.00	...	-40.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/21	10.000	19.97	Various	100.00	...	-80.03	Total of 2 transactions
CAT 10/08/2021 CALL \$207.50 / CUSIP: / Symbol:							
<i>14 transactions for 10/08/21. Total proceeds and cost reported to the IRS.</i>							
	18.000	0.00	10/01/21	450.00	...	-450.00	1 of 14 - Option expiration- long call
	18.000	0.00	10/01/21	450.00	...	-450.00	2 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	3 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	4 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	5 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	6 of 14 - Option expiration- long call

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CAT 10/08/2021 CALL \$207.50 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	10/01/21	52.00	...	-52.00	7 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	8 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	9 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	10 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	11 of 14 - Option expiration- long call
	2.000	0.00	10/01/21	52.00	...	-52.00	12 of 14 - Option expiration- long call
	1.000	0.00	10/01/21	26.00	...	-26.00	13 of 14 - Option expiration- long call
	1.000	0.00	10/01/21	26.00	...	-26.00	14 of 14 - Option expiration- long call
10/08/21	58.000	0.00	Various	1,472.00	...	-1,472.00	Total of 14 transactions
CAT 10/08/2021 CALL \$217.50 / CUSIP: / Symbol:							
13 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	2.000	13.98	10/08/21	0.00	...	13.98	1 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 2 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 3 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 4 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 5 of 13 - Short sale closed- call expired
							Option written: 10/01/21

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CAT 10/08/2021 CALL \$217.50 / CUSIP: / Symbol: (cont'd)							
	2.000	13.98	10/08/21	0.00	...	13.98	6 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 7 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 8 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 9 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 10 of 13 - Short sale closed- call expired
	2.000	13.98	10/08/21	0.00	...	13.98	Option written: 10/01/21 11 of 13 - Short sale closed- call expired
	18.000	89.95	10/08/21	0.00	...	89.95	Option written: 10/01/21 12 of 13 - Short sale closed- call expired
	18.000	89.95	10/08/21	0.00	...	89.95	Option written: 10/01/21 13 of 13 - Short sale closed- call expired
10/08/21	58.000	333.68	Various	0.00	...	333.68	Option written: 10/01/21 Total of 13 transactions
CAT 10/15/2021 CALL \$205.00 / CUSIP: / Symbol:							
10/13/21	30.000	149.93	10/08/21	780.00	...	-630.07	Option sale to close-call <sup>25</sup>
CCIV 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	301.99	01/15/21	206.00	...	95.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	301.98	01/15/21	197.00	...	104.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/25/21	2.000	603.97	Various	403.00	...	200.97	Total of 2 transactions
02/12/21	3.000	839.97	01/21/21	195.00	...	644.97	Option sale to close-call <sup>25</sup>
02/12/21	5.000	1,399.95	02/03/21	1,790.00	156.02 W	-234.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 02/19/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	343.98	02/12/21	408.01	...	-64.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	343.99	02/12/21	408.01	...	-64.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/16/21	2.000	687.97	Various	816.02	...	-128.05	Total of 2 transactions
	<b>Security total:</b>	<b>3,531.86</b>		<b>3,204.02</b>	<b>156.02 W</b>	<b>483.86</b>	
CCIV 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	114.99	02/16/21	410.00	...	-295.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	114.99	02/16/21	410.00	...	-295.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	115.00	02/16/21	410.00	...	-295.00	3 of 3 - Option sale to close-call <sup>25</sup>
02/19/21	3.000	344.98	Various	1,230.00	...	-885.02	Total of 3 transactions
CCIV 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/20/21	180.00	...	-180.00	Option expiration- long call
CCIV 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
5 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/02/21	125.00	...	-125.00	1 of 5 - Option expiration- long call
	2.000	0.00	07/02/21	50.00	...	-50.00	2 of 5 - Option expiration- long call
	1.000	0.00	07/02/21	25.00	...	-25.00	3 of 5 - Option expiration- long call
	1.000	0.00	07/02/21	25.00	...	-25.00	4 of 5 - Option expiration- long call
	1.000	0.00	07/02/21	25.00	...	-25.00	5 of 5 - Option expiration- long call
07/16/21	10.000	0.00	Various	250.00	...	-250.00	Total of 5 transactions
CCIV 07/23/2021 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	8.000	0.00	07/20/21	664.00	...	-664.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/20/21	75.00	...	-75.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/20/21	58.00	...	-58.00	3 of 4 - Option expiration- long call
	10.000	0.00	07/22/21	100.00	...	-100.00	4 of 4 - Option expiration- long call
07/23/21	20.000	0.00	Various	897.00	...	-897.00	Total of 4 transactions

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CERN 11/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	164.98	09/17/21	495.00	...	-330.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	164.98	09/17/21	495.00	...	-330.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	10.000	329.96	Various	990.00	...	-660.04	Total of 2 transactions
CERN 11/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	5.000	114.98	11/01/21	0.00	...	114.98	1 of 2 - Short sale closed- call <sup>25</sup>
	5.000	114.99	11/01/21	0.00	...	114.99	2 of 2 - Short sale closed- call <sup>25</sup>
11/02/21	10.000	229.97	Various	0.00	...	229.97	Option written: 09/17/21 Total of 2 transactions
CGC 12/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
12/17/21	50.000	0.00	09/15/21	3,300.00	...	-3,300.00	Option expiration- long call
CGC 12/17/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/17/21	50.000	549.89	12/17/21	0.00	...	549.89	Short sale closed- call expired Option written: 09/15/21
CRM 03/05/2021 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/08/21	464.00	...	-464.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/24/21	244.00	...	-244.00	2 of 2 - Option expiration- long call
03/05/21	4.000	0.00	Various	708.00	...	-708.00	Total of 2 transactions
CRM 08/27/2021 PUT \$267.50 / CUSIP: / Symbol:							
6 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	1.000	113.98	08/26/21	60.00	...	53.98	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	113.98	08/26/21	60.00	...	53.98	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	118.98	08/26/21	60.00	...	58.98	3 of 6 - Option sale to close-put <sup>25</sup>
	2.000	237.98	08/26/21	120.00	...	117.98	4 of 6 - Option sale to close-put <sup>25</sup>
	2.000	237.98	08/26/21	120.00	...	117.98	5 of 6 - Option sale to close-put <sup>25</sup>
	3.000	341.98	08/26/21	180.00	...	161.98	6 of 6 - Option sale to close-put <sup>25</sup>
08/26/21	10.000	1,164.88	Various	600.00	...	564.88	Total of 6 transactions

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 09/03/2021 CALL \$255.00 / CUSIP: / Symbol:							
08/06/21	5.000	3,544.97	07/30/21	2,265.00	...	1,279.97	Option sale to close-call <sup>25</sup>
CRM 09/03/2021 CALL \$265.00 / CUSIP: / Symbol:							
08/09/21	5.000	-770.02	08/06/21	0.00	...	-770.02	Short sale closed- call <sup>25</sup> Option written: 07/30/21
CRM 11/19/2021 CALL \$270.00 / CUSIP: / Symbol:							
<i>4 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2,279.97	08/19/21	1,840.00	...	439.97	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	3,419.97	08/19/21	2,760.00	...	659.97	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	5,699.96	08/19/21	4,600.00	...	1,099.96	3 of 4 - Option sale to close-call <sup>25</sup>
	8.000	9,119.93	08/19/21	7,360.00	...	1,759.93	4 of 4 - Option sale to close-call <sup>25</sup>
08/25/21	18.000	20,519.83	Various	16,560.00	...	3,959.83	Total of 4 transactions
08/26/21	7.000	11,304.93	08/19/21	6,440.00	...	4,864.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>31,824.76</b>		<b>23,000.00</b>	...	<b>8,824.76</b>	
CRM 11/19/2021 CALL \$290.00 / CUSIP: / Symbol:							
<i>4 transactions for 08/26/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	-600.03	08/25/21	0.00	...	-600.03	1 of 4 - Short sale closed- call <sup>25</sup> Option written: 08/19/21
	5.000	-500.02	08/25/21	0.00	...	-500.02	2 of 4 - Short sale closed- call <sup>25</sup> Option written: 08/19/21
	2.000	-400.02	08/25/21	0.00	...	-400.02	3 of 4 - Short sale closed- call <sup>25</sup> Option written: 08/19/21
	3.000	-300.01	08/25/21	0.00	...	-300.01	4 of 4 - Short sale closed- call <sup>25</sup> Option written: 08/19/21
08/26/21	13.000	-1,800.08	Various	0.00	...	-1,800.08	Total of 4 transactions
08/26/21	5.000	-500.03	08/25/21	0.00	500.03 W	0.00	Short sale closed- call <sup>25</sup> Option written: 08/19/21
08/27/21	7.000	-2,275.04	08/26/21	0.00	...	-2,275.04	Short sale closed- call <sup>25</sup> Option written: 08/19/21
	<b>Security total:</b>	<b>-4,575.15</b>		<b>0.00</b>	<b>500.03 W</b>	<b>-4,075.12</b>	

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.000	799.99	06/30/21	517.00	...	282.99	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	3,199.97	06/30/21	2,068.00	...	1,131.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/12/21	5.000	3,999.96	Various	2,585.00	...	1,414.96	Total of 2 transactions
08/13/21	1.000	576.98	06/30/21	517.00	...	59.98	Option sale to close-call <sup>25</sup>
3 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	4.000	1,683.98	06/30/21	2,068.00	...	-384.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	841.99	06/30/21	1,034.00	...	-192.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	420.99	07/30/21	554.01	...	-133.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/21	7.000	2,946.96	Various	3,656.01	...	-709.05	Total of 3 transactions
08/27/21	3.000	1,262.98	06/30/21	1,551.00	96.01 W	-192.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>8,786.88</b>		<b>8,309.01</b>	<b>96.01 W</b>	<b>573.88</b>	
DIS 01/21/2022 CALL \$220.00 / CUSIP: / Symbol:							
07/13/21	1.000	-150.00	07/12/21	0.00	...	-150.00	Short sale closed- call <sup>25</sup>
07/13/21	4.000	-600.02	07/12/21	0.00	150.01 W	-450.01	Option written: 06/30/21 Short sale closed- call <sup>25</sup>
08/16/21	1.000	-4.00	08/13/21	0.00	4.00 W	0.00	Option written: 06/30/21 Short sale closed- call <sup>25</sup>
5 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	1.000	-74.01	08/27/21	0.00	...	-74.01	1 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
	1.000	33.98	08/27/21	0.00	...	33.98	2 of 5 - Short sale closed- call <sup>25</sup> Option written: 07/30/21
	1.000	76.00	08/27/21	0.00	...	76.00	3 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
	3.000	227.98	08/27/21	0.00	...	227.98	4 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
	4.000	303.98	08/27/21	0.00	...	303.98	5 of 5 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
08/30/21	10.000	567.93	Various	0.00	...	567.93	Total of 5 transactions
	<b>Security total:</b>	<b>-186.09</b>		<b>0.00</b>	<b>154.01 W</b>	<b>-32.08</b>	

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 08/20/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	1.000	41.99	06/14/21	51.00	...	-9.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	41.99	06/14/21	50.00	...	-8.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/12/21	2.000	83.98	Various	101.00	...	-17.02	Total of 2 transactions
DIS 10/01/2021 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	10.000	919.97	09/24/21	710.00	...	209.97	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	2,159.94	09/24/21	1,420.00	...	739.94	2 of 2 - Option sale to close-call <sup>25</sup>
09/27/21	30.000	3,079.91	Various	2,130.00	...	949.91	Total of 2 transactions
DIS 10/01/2021 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	20.000	-60.05	09/27/21	0.00	...	-60.05	1 of 2 - Short sale closed- call <sup>25</sup>
	10.000	-0.02	09/27/21	0.00	...	-0.02	Option written: 09/24/21 2 of 2 - Short sale closed- call <sup>25</sup>
09/28/21	30.000	-60.07	Various	0.00	...	-60.07	Option written: 09/24/21 Total of 2 transactions
DKNG 01/21/2022 CALL \$80.00 / CUSIP: / Symbol:							
02/22/21	2.000	2,099.94	01/28/21	1,790.00	...	309.94	Option sale to close-call <sup>25</sup>
DKNG 09/03/2021 CALL \$62.00 / CUSIP: / Symbol:							
08/27/21	10.000	719.97	08/26/21	560.00	...	159.97	Option sale to close-call <sup>25</sup>
DKNG 09/03/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/30/21	10.000	-70.03	08/27/21	0.00	...	-70.03	Short sale closed- call <sup>25</sup> Option written: 08/26/21
DKNG 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	1.000	130.00	09/28/21	129.00	...	1.00	1 of 2 - Option sale to close-call <sup>25</sup>
	14.000	1,819.96	09/28/21	1,806.00	...	13.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/21	15.000	1,949.96	Various	1,935.00	...	14.96	Total of 2 transactions

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 11/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
09/28/21	25.000	949.94	08/25/21	7,250.00	...	-6,300.06	Option sale to close-call <sup>25</sup>
	2 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	14.000	-0.04	09/28/21	0.00	...	-0.04	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
	1.000	-0.02	09/28/21	0.00	...	-0.02	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
09/29/21	15.000	-0.06	Various	0.00	...	-0.06	Total of 2 transactions
	<b>Security total:</b>	<b>949.88</b>		<b>7,250.00</b>	...	<b>-6,300.12</b>	
DKNG 11/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
09/29/21	25.000	4,249.92	09/28/21	0.00	...	4,249.92	Short sale closed- call <sup>25</sup> Option written: 08/25/21
FB 01/15/2021 PUT \$252.50 / CUSIP: / Symbol:							
	6 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	1.000	289.98	12/29/20	135.00	...	154.98	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	289.98	12/29/20	135.00	...	154.98	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	289.98	12/29/20	135.00	...	154.98	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	289.98	12/29/20	135.00	...	154.98	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	289.98	12/29/20	135.00	...	154.98	5 of 6 - Option sale to close-put <sup>25</sup>
	2.000	579.97	12/29/20	270.00	...	309.97	6 of 6 - Option sale to close-put <sup>25</sup>
01/11/21	7.000	2,029.87	Various	945.00	...	1,084.87	Total of 6 transactions
FB 01/22/2021 CALL \$280.00 / CUSIP: / Symbol:							
01/22/21	5.000	0.00	01/21/21	205.00	...	-205.00	Option expiration- long call
FB 02/05/2021 CALL \$290.00 / CUSIP: / Symbol:							
01/26/21	1.000	874.97	01/13/21	233.00	...	641.97	Option sale to close-call <sup>25</sup>
01/27/21	1.000	724.97	01/13/21	233.00	...	491.97	Option sale to close-call <sup>25</sup>
02/05/21	1.000	0.00	01/13/21	233.00	...	-233.00	Option expiration- long call
	<b>Security total:</b>	<b>1,599.94</b>		<b>699.00</b>	...	<b>900.94</b>	
FB 02/26/2021 CALL \$292.50 / CUSIP: / Symbol:							
02/26/21	4.000	0.00	02/09/21	488.00	...	-488.00	Option expiration- long call

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/29/2021 CALL \$345.00 / CUSIP: / Symbol:							
3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	432.98	10/22/21	301.00	...	131.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	865.98	10/22/21	602.00	...	263.98	2 of 3 - Option sale to close-call <sup>25</sup>
	12.000	5,087.95	10/22/21	3,612.00	...	1,475.95	3 of 3 - Option sale to close-call <sup>25</sup>
10/25/21	15.000	6,386.91	Various	4,515.00	...	1,871.91	Total of 3 transactions
FB 10/29/2021 CALL \$355.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	2.000	-134.01	10/25/21	0.00	...	-134.01	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/22/21
	1.000	-67.00	10/25/21	0.00	...	-67.00	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/22/21
10/26/21	3.000	-201.01	Various	0.00	...	-201.01	Total of 2 transactions
10/26/21	12.000	-744.04	10/25/21	0.00	124.01 W	-620.03	Short sale closed- call <sup>25</sup> Option written: 10/22/21
10/29/21	2.000	0.00	10/25/21	526.01	...	-526.01	Option expiration- long call
	<b>Security total:</b>	<b>-945.05</b>		<b>526.01</b>	<b>124.01 W</b>	<b>-1,347.05</b>	
FB 10/29/2021 CALL \$360.00 / CUSIP: / Symbol:							
10/29/21	2.000	281.98	10/29/21	0.00	...	281.98	Short sale closed- call expired Option written: 10/25/21
FB 11/05/2021 CALL \$345.00 / CUSIP: / Symbol:							
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	14.000	965.96	10/26/21	1,498.00	...	-532.04	1 of 3 - Option sale to close-call <sup>25</sup>
	10.000	689.98	10/26/21	1,070.00	...	-380.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	69.00	10/26/21	108.00	...	-39.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/21	25.000	1,724.94	Various	2,676.00	...	-951.06	Total of 3 transactions

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Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 11/05/2021 CALL \$355.00 / CUSIP: / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	26.98	10/28/21	0.00	...	26.98	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 10/26/21
	10.000	259.97	10/28/21	0.00	...	259.97	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 10/26/21
	14.000	363.96	10/28/21	0.00	...	363.96	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 10/26/21
10/29/21	25.000	650.91	Various	0.00	...	650.91	Total of 3 transactions
FSLY 01/29/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	01/27/21	425.00	...	-425.00	1 of 2 - Option expiration- long call
	2.000	0.00	01/27/21	112.00	...	-112.00	2 of 2 - Option expiration- long call
01/29/21	7.000	0.00	Various	537.00	...	-537.00	Total of 2 transactions
FUBO 08/13/2021 CALL \$32.50 / CUSIP: / Symbol:							
4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	64.00	08/06/21	32.00	...	32.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	127.99	08/06/21	64.00	...	63.99	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	191.99	08/06/21	96.00	...	95.99	3 of 4 - Option sale to close-call <sup>25</sup>
	4.000	255.99	08/06/21	132.00	...	123.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/09/21	10.000	639.97	Various	324.00	...	315.97	Total of 4 transactions
10 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	65.98	08/06/21	32.00	...	33.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	65.98	08/06/21	32.00	...	33.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	65.99	08/06/21	32.00	...	33.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	65.99	08/06/21	32.00	...	33.99	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	66.98	08/06/21	32.00	...	34.98	5 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 08/13/2021 CALL \$32.50 / CUSIP: / Symbol: (cont'd)							
	1.000	69.98	08/06/21	32.00	...	37.98	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	69.98	08/06/21	32.00	...	37.98	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	82.98	08/06/21	32.00	...	50.98	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	85.98	08/06/21	32.00	...	53.98	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	85.98	08/06/21	32.00	...	53.98	10 of 10 - Option sale to close-call <sup>25</sup>
08/10/21	10.000	725.82	Various	320.00	...	405.82	Total of 10 transactions
	<b>Security total:</b>	<b>1,365.79</b>		<b>644.00</b>	...	<b>721.79</b>	
FUBO 08/13/2021 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	3.000	-24.02	08/09/21	0.00	...	-24.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
	2.000	-16.01	08/09/21	0.00	...	-16.01	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
08/10/21	5.000	-40.03	Various	0.00	...	-40.03	Total of 2 transactions
	2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	4.000	-28.02	08/09/21	0.00	7.01 W	-21.01	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
	1.000	-8.02	08/09/21	0.00	8.02 W	0.00	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
08/10/21	5.000	-36.04	Various	0.00	15.03 W	-21.01	Total of 2 transactions
	5 transactions for 08/11/21. Total proceeds and cost reported to the IRS.						
	4.000	-48.01	08/10/21	0.00	...	-48.01	1 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
	1.000	-24.01	08/10/21	0.00	...	-24.01	2 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
	2.000	-24.01	08/10/21	0.00	...	-24.01	3 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
	1.000	-20.03	08/10/21	0.00	...	-20.03	4 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/06/21

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 08/13/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	-19.02	08/10/21	0.00	...	-19.02	5 of 5 - Short sale closed- call <sup>25</sup> Option written: 08/06/21
08/11/21	9.000	-135.08	Various	0.00	...	-135.08	Total of 5 transactions
08/11/21	1.000	-12.01	08/10/21	0.00	12.01 W	0.00	Short sale closed- call <sup>25</sup> Option written: 08/06/21
<b>Security total:</b>		<b>-223.16</b>		<b>0.00</b>	<b>27.04 W</b>	<b>-196.12</b>	
GMBL 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	5.000	59.98	11/03/21	175.00	...	-115.02	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	23.99	11/03/21	70.00	...	-46.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.00	11/03/21	35.00	...	-23.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.00	11/03/21	35.00	...	-23.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.00	11/03/21	35.00	...	-23.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/09/21	10.000	119.97	Various	350.00	...	-230.03	Total of 5 transactions
GOOG 01/15/2021 PUT \$1,550.00 / CUSIP: / Symbol:							
01/12/21	4.000	87.98	12/29/20	1,000.00	...	-912.02	Option sale to close-put <sup>25</sup>
LCID 11/05/2021 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	25.000	13,049.88	09/27/21	5,775.00	...	7,274.88	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	2,609.98	09/28/21	845.00	...	1,764.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	30.000	15,659.86	Various	6,620.00	...	9,039.86	Total of 2 transactions
LCID 11/05/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	25.000	-2,125.07	10/28/21	0.00	...	-2,125.07	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/27/21
	5.000	-540.02	10/28/21	0.00	...	-540.02	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 09/28/21
10/29/21	30.000	-2,665.09	Various	0.00	...	-2,665.09	Total of 2 transactions
LCID 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/16/21	81.00	...	-81.00	Option expiration- long call

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MA 01/29/2021 CALL \$350.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/21/21	289.00	...	-289.00	Option expiration- long call
MDB 09/24/2021 PUT \$460.00 / CUSIP: / Symbol:							
3 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	5.000	-2,075.03	09/08/21	0.00	...	-2,075.03	1 of 3 - Short sale closed- put <sup>25</sup> Option written: 09/03/21
	2.000	-1,230.02	09/08/21	0.00	...	-1,230.02	2 of 3 - Short sale closed- put <sup>25</sup> Option written: 09/03/21
	2.000	-1,190.02	09/08/21	0.00	...	-1,190.02	3 of 3 - Short sale closed- put <sup>25</sup> Option written: 09/03/21
09/09/21	9.000	-4,495.07	Various	0.00	...	-4,495.07	Total of 3 transactions
MDB 09/24/2021 PUT \$470.00 / CUSIP: / Symbol:							
3 transactions for 09/08/21. Total proceeds and cost reported to the IRS.							
	2.000	3,189.98	09/03/21	1,508.00	...	1,681.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	3,189.98	09/03/21	1,470.00	...	1,719.98	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	7,974.94	09/03/21	4,970.00	...	3,004.94	3 of 3 - Option sale to close-put <sup>25</sup>
09/08/21	9.000	14,354.90	Various	7,948.00	...	6,406.90	Total of 3 transactions
MDT 12/03/2021 CALL \$120.00 / CUSIP: / Symbol:							
11/01/21	2.000	733.98	10/29/21	640.00	...	93.98	Option sale to close-call <sup>25</sup>
MSTR 09/24/2021 CALL \$685.00 / CUSIP: / Symbol:							
3 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	4.000	327.98	09/17/21	1,164.00	...	-836.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	163.98	09/17/21	582.00	...	-418.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	81.98	09/17/21	291.00	...	-209.02	3 of 3 - Option sale to close-call <sup>25</sup>
09/20/21	7.000	573.94	Various	2,037.00	...	-1,463.06	Total of 3 transactions

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Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSTR 09/24/2021 CALL \$740.00 / CUSIP: / Symbol:							
3 transactions for 09/21/21. Total proceeds and cost reported to the IRS.							
	1.000	63.00	09/20/21	0.00	...	63.00	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/17/21
	2.000	125.99	09/20/21	0.00	...	125.99	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/17/21
	4.000	251.99	09/20/21	0.00	...	251.99	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 09/17/21
09/21/21	7.000	440.98	Various	0.00	...	440.98	Total of 3 transactions
NFLX 01/22/2021 CALL \$550.00 / CUSIP: / Symbol:							
01/20/21	1.000	1,679.95	01/13/21	755.00	...	924.95	Option sale to close-call <sup>25</sup>
OCGN 11/05/2021 CALL \$15.00 / CUSIP: / Symbol:							
11/05/21	10.000	0.00	11/03/21	1,130.00	...	-1,130.00	Option expiration- long call
OCGN 11/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/05/21	10.000	379.97	11/05/21	0.00	...	379.97	Short sale closed- call expired Option written: 11/03/21
PLTR 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/15/21	19.00	...	-19.00	Option expiration- long call
PLTR 06/25/2021 CALL \$28.00 / CUSIP: / Symbol:							
5 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	12.000	0.00	06/23/21	60.00	...	-60.00	1 of 5 - Option expiration- long call
	4.000	0.00	06/23/21	16.00	...	-16.00	2 of 5 - Option expiration- long call
	2.000	0.00	06/23/21	8.00	...	-8.00	3 of 5 - Option expiration- long call
	1.000	0.00	06/23/21	4.00	...	-4.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/23/21	4.00	...	-4.00	5 of 5 - Option expiration- long call
06/25/21	20.000	0.00	Various	92.00	...	-92.00	Total of 5 transactions
PLUG 06/11/2021 CALL \$33.00 / CUSIP: / Symbol:							
06/09/21	1.000	96.98	06/04/21	62.00	...	34.98	Option sale to close-call <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLUG 06/11/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/04/21	16.00	...	-16.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/04/21	16.00	...	-16.00	2 of 2 - Option expiration- long call
06/11/21	2.000	0.00	Various	32.00	...	-32.00	Total of 2 transactions
PLUG 06/25/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	5.000	34.98	06/22/21	95.00	...	-60.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	20.99	06/22/21	57.00	...	-36.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/22/21	19.00	...	-12.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	7.00	06/22/21	19.00	...	-12.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/23/21	10.000	69.97	Various	190.00	...	-120.03	Total of 4 transactions
PSFE 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	03/01/21	140.00	...	-140.00	Option expiration- long call
PSFE 07/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/05/21	241.00	...	-241.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/05/21	240.00	...	-240.00	2 of 3 - Option expiration- long call
	10.000	0.00	03/09/21	730.00	...	-730.00	3 of 3 - Option expiration- long call
07/16/21	12.000	0.00	Various	1,211.00	...	-1,211.00	Total of 3 transactions
PSFE 08/20/2021 CALL \$12.00 / CUSIP: / Symbol:							
4 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	1.000	1.98	08/13/21	40.00	...	-38.02	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2.00	08/13/21	40.02	...	-38.02	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	3.99	08/13/21	42.00	...	-38.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.99	08/13/21	21.00	...	-19.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/16/21	5.000	9.96	Various	143.02	...	-133.06	Total of 4 transactions
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	4.000	7.99	08/13/21	84.00	19.00 W	-57.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.98	08/13/21	21.00	19.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/16/21	5.000	9.97	Various	105.00	38.02 W	-57.01	Total of 2 transactions

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Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSFE 08/20/2021 CALL \$12.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>19.93</b>		<b>248.02</b>		<b>38.02 W</b>	<b>-190.07</b>
PYPL 11/12/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/12/21	20.000	0.00	11/05/21	3,920.00	...	-3,920.00	Option expiration- long call
PYPL 11/12/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/12/21	20.000	1,759.95	11/12/21	0.00	...	1,759.95	Short sale closed- call expired Option written: 11/05/21
QCOM 02/05/2021 CALL \$175.00 / CUSIP: / Symbol:							
02/03/21	2.000	389.98	01/29/21	222.00	...	167.98	Option sale to close-call <sup>25</sup>
QCOM 07/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
02/16/21	5.000	424.98	02/03/21	1,170.00	...	-745.02	Option sale to close-call <sup>25</sup>
SNOW 09/24/2021 PUT \$280.00 / CUSIP: / Symbol:							
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	3.000	-1,005.02	08/30/21	0.00	...	-1,005.02	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 08/26/21
	2.000	-670.02	08/30/21	0.00	...	-670.02	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 08/26/21
08/31/21	5.000	-1,675.04	Various	0.00	...	-1,675.04	Total of 2 transactions
SNOW 09/24/2021 PUT \$290.00 / CUSIP: / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	2,691.98	08/26/21	1,670.00	...	1,021.98	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	4,037.97	08/26/21	2,505.00	...	1,532.97	2 of 2 - Option sale to close-put <sup>25</sup>
08/30/21	5.000	6,729.95	Various	4,175.00	...	2,554.95	Total of 2 transactions
SPY 01/29/2021 CALL \$400.00 / CUSIP: / Symbol:							
01/29/21	20.000	0.00	01/20/21	160.00	...	-160.00	Option expiration- long call
SPY 03/19/2021 PUT \$380.00 / CUSIP: / Symbol:							
02/23/21	1.000	693.97	02/12/21	522.00	...	171.97	Option sale to close-put <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQ 07/02/2021 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1,706.98	06/09/21	823.00	...	883.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,706.99	06/09/21	823.00	...	883.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	2.000	3,413.97	Various	1,646.00	...	1,767.97	Total of 2 transactions
SQ 07/02/2021 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1.000	-672.02	06/14/21	0.00	...	-672.02	1 of 2 - Short sale closed- call <sup>25</sup>
	1.000	-672.02	06/14/21	0.00	...	-672.02	Option written: 06/09/21
06/15/21	2.000	-1,344.04	Various	0.00	...	-1,344.04	2 of 2 - Short sale closed- call <sup>25</sup>
							Option written: 06/09/21
							Total of 2 transactions
TLRY 01/15/2021 CALL \$10.50 / CUSIP: / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	178.99	01/04/21	45.00	...	133.99	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	715.98	01/04/21	176.00	...	539.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/07/21	5.000	894.97	Various	221.00	...	673.97	Total of 2 transactions
TLRY 06/04/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	41.98	06/02/21	14.00	...	27.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	167.98	06/02/21	60.00	...	107.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	5.000	209.96	Various	74.00	...	135.96	Total of 2 transactions
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	2.000	45.98	06/02/21	30.00	...	15.98	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	45.98	06/02/21	30.00	...	15.98	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	45.98	06/02/21	30.00	...	15.98	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	91.98	06/02/21	60.00	...	31.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	64.98	06/02/21	15.00	...	49.98	5 of 5 - Option sale to close-call <sup>25</sup>
06/03/21	11.000	294.90	Various	165.00	...	129.90	Total of 5 transactions
	<b>Security total:</b>	<b>504.86</b>		<b>239.00</b>	...	<b>265.86</b>	

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TLRY 06/11/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/09/21	2.000	97.98	06/04/21	100.00	...	-2.02	Option sale to close-call <sup>25</sup>
TLRY 06/18/2021 CALL \$19.00 / CUSIP: / Symbol:							
<i>9 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	0.00	06/17/21	72.00	...	-72.00	1 of 9 - Option expiration- long call
	5.000	0.00	06/17/21	40.00	...	-40.00	2 of 9 - Option expiration- long call
	3.000	0.00	06/17/21	24.00	...	-24.00	3 of 9 - Option expiration- long call
	2.000	0.00	06/17/21	16.00	...	-16.00	4 of 9 - Option expiration- long call
	2.000	0.00	06/17/21	16.00	...	-16.00	5 of 9 - Option expiration- long call
	1.000	0.00	06/17/21	8.00	...	-8.00	6 of 9 - Option expiration- long call
	1.000	0.00	06/17/21	8.00	...	-8.00	7 of 9 - Option expiration- long call
	1.000	0.00	06/17/21	8.00	...	-8.00	8 of 9 - Option expiration- long call
	1.000	0.00	06/17/21	8.00	...	-8.00	9 of 9 - Option expiration- long call
06/18/21	25.000	0.00	Various	200.00	...	-200.00	Total of 9 transactions
TLRY 11/05/2021 CALL \$12.50 / CUSIP: / Symbol:							
<i>6 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	0.00	11/04/21	250.00	...	-250.00	1 of 6 - Option expiration- long call
	10.000	0.00	11/04/21	50.00	...	-50.00	2 of 6 - Option expiration- long call
	7.000	0.00	11/04/21	35.00	...	-35.00	3 of 6 - Option expiration- long call
	6.000	0.00	11/04/21	30.00	...	-30.00	4 of 6 - Option expiration- long call
	1.000	0.00	11/04/21	5.00	...	-5.00	5 of 6 - Option expiration- long call
	1.000	0.00	11/04/21	5.00	...	-5.00	6 of 6 - Option expiration- long call
11/05/21	75.000	0.00	Various	375.00	...	-375.00	Total of 6 transactions
TSLA 04/16/2021 CALL \$805.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	462.98	04/06/21	257.00	...	205.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	462.98	04/06/21	257.00	...	205.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/13/21	2.000	925.96	Various	514.00	...	411.96	Total of 2 transactions
WMT 08/20/2021 CALL \$157.50 / CUSIP: / Symbol:							
08/20/21	15.000	0.00	08/13/21	675.00	...	-675.00	Option expiration- long call

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Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WMT 08/20/2021 CALL \$170.00 / CUSIP: / Symbol:							
08/20/21	15.000	119.96	08/20/21	0.00	...	119.96	Short sale closed- call expired Option written: 08/13/21
ZM 09/03/2021 PUT \$245.00 / CUSIP: / Symbol:							
09/03/21	25.000	249.94	09/03/21	0.00	...	249.94	Short sale closed- put expired Option written: 08/31/21
ZM 09/03/2021 PUT \$250.00 / CUSIP: / Symbol:							
09/03/21	25.000	0.00	08/31/21	475.00	...	-475.00	Option expiration- long put
ZM 11/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
08/31/21	10.000	2,479.96	08/30/21	11,920.00	...	-9,440.04	Option sale to close-call <sup>25</sup>
ZM 11/19/2021 CALL \$410.00 / CUSIP: / Symbol:							
09/01/21	10.000	7,839.92	08/31/21	0.00	...	7,839.92	Short sale closed- call <sup>25</sup> Option written: 08/30/21
ZNGA 07/02/2021 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	15.000	44.96	06/28/21	75.00	...	-30.04	1 of 3 - Option sale to close-call <sup>25</sup>
	8.000	15.98	06/28/21	40.00	...	-24.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	3.99	06/28/21	10.00	...	-6.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/29/21	25.000	64.93	Various	125.00	...	-60.07	Total of 3 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
03/09/21	30.000	3,584.08	03/08/21	3,521.39	...	62.69	Sale <sup>25</sup>
10/20/21	20.000	2,987.58	09/10/21	3,006.34	18.76 W	0.00	Sale <sup>25</sup>
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	75.000	11,240.22	09/10/21	11,273.77	...	-33.55	1 of 3 - Sale <sup>25</sup>
	10.000	1,498.69	09/27/21	1,458.36	...	40.33	2 of 3 - Sale <sup>25</sup>
	20.000	2,997.39	09/27/21	2,929.56	...	67.83	3 of 3 - Sale <sup>25</sup>
10/22/21	105.000	15,736.30	Various	15,661.69	...	74.61	Total of 3 transactions
10/22/21	105.000	15,752.25	09/10/21	15,783.29	2.96 W	-28.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38,060.21</b>		<b>37,972.71</b>	<b>21.72 W</b>	<b>109.22</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	750.000	9,803.48	10/29/21	10,101.74	...	-298.26	1 of 5 - Sale <sup>25</sup>
	500.000	6,535.66	10/29/21	6,574.74	...	-39.08	2 of 5 - Sale <sup>25</sup>
	250.000	3,267.45	10/29/21	3,209.83	...	57.62	3 of 5 - Sale <sup>25</sup>
	1,000.000	13,071.31	10/29/21	12,839.30	...	232.01	4 of 5 - Sale <sup>25</sup>
	250.000	3,267.83	11/01/21	3,322.05	...	-54.22	5 of 5 - Sale <sup>25</sup>
11/02/21	2,750.000	35,945.73	Various	36,047.66	...	-101.93	Total of 5 transactions
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	500.000	6,534.91	10/22/21	6,690.00	155.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	750.000	9,802.36	10/22/21	10,274.63	472.27 W	0.00	2 of 2 - Sale <sup>25</sup>
11/02/21	1,250.000	16,337.27	Various	16,964.63	627.36 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>52,283.00</b>		<b>53,012.29</b>	<b>627.36 W</b>	<b>-101.93</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
06/30/21	150.000	4,216.45	02/18/21	9,325.88	2,043.77 W	-3,065.66	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
05/13/21	100.000	4,168.19	05/07/21	4,884.50	...	-716.31	Sale <sup>25</sup>
ESPORTS ENTERTAINMENT GROUP IN C. COMMON STOCK / CUSIP: 29667K306 / Symbol:							
11 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	600.000	4,181.91	11/02/21	4,536.00	...	-354.09	1 of 11 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	813.21	...	-116.23	2 of 11 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	813.21	...	-116.23	3 of 11 - Sale <sup>25</sup>
	100.000	696.99	11/02/21	813.20	...	-116.21	4 of 11 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	811.02	...	-114.04	5 of 11 - Sale <sup>25</sup>
	100.000	696.99	11/02/21	811.02	...	-114.03	6 of 11 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	809.20	...	-112.22	7 of 11 - Sale <sup>25</sup>
	58.000	404.25	11/02/21	470.50	...	-66.25	8 of 11 - Sale <sup>25</sup>
	29.000	202.13	11/02/21	235.83	...	-33.70	9 of 11 - Sale <sup>25</sup>
	11.000	76.67	11/02/21	89.45	...	-12.78	10 of 11 - Sale <sup>25</sup>
	2.000	13.94	11/02/21	16.20	...	-2.26	11 of 11 - Sale <sup>25</sup>
11/03/21	1,300.000	9,060.80	Various	10,218.84	...	-1,158.04	Total of 11 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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ESPORTS ENTERTAINMENT GROUP IN C. COMMON STOCK / CUSIP: 29667K306 / Symbol: (cont'd)							
<i>9 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	13.94	11/02/21	15.06	1.12 W	0.00	1 of 9 - Sale <sup>25</sup>
	11.000	76.67	11/02/21	83.16	6.49 W	0.00	2 of 9 - Sale <sup>25</sup>
	29.000	202.13	11/02/21	219.24	17.11 W	0.00	3 of 9 - Sale <sup>25</sup>
	58.000	404.25	11/02/21	437.32	33.07 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	752.00	55.02 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	756.00	59.02 W	0.00	6 of 9 - Sale <sup>25</sup>
	100.000	696.98	11/02/21	756.00	59.02 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	696.99	11/02/21	756.00	59.01 W	0.00	8 of 9 - Sale <sup>25</sup>
	200.000	1,393.97	11/02/21	1,504.00	110.03 W	0.00	9 of 9 - Sale <sup>25</sup>
11/03/21	700.000	4,878.89	Various	5,278.78	399.89 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>13,939.69</b>		<b>15,497.62</b>	<b>399.89 W</b>	<b>-1,158.04</b>	
GS ACQUISITION HOLDINGS CORP I I / CUSIP: 36258Q105 / Symbol:							
06/24/21	200.000	2,014.16	06/21/21	2,043.74	...	-29.58	Sale <sup>25</sup>
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
<i>5 transactions for 08/04/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,170.58	02/19/21	2,765.00	...	-1,594.42	1 of 5 - Sale <sup>25</sup>
	50.000	1,170.59	02/19/21	2,747.00	...	-1,576.41	2 of 5 - Sale <sup>25</sup>
	50.000	1,170.59	02/19/21	2,721.50	...	-1,550.91	3 of 5 - Sale <sup>25</sup>
	100.000	2,328.97	03/03/21	2,438.00	...	-109.03	4 of 5 - Sale <sup>25</sup>
	50.000	1,169.44	03/03/21	1,219.00	...	-49.56	5 of 5 - Sale <sup>25</sup>
08/04/21	300.000	7,010.17	Various	11,890.50	...	-4,880.33	Total of 5 transactions
09/20/21	100.000	2,204.97	03/05/21	2,191.00	...	13.97	Sale <sup>25</sup>
<i>5 transactions for 09/20/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	44.10	03/03/21	48.76	4.66 W	0.00	1 of 5 - Sale <sup>25</sup>
	48.000	1,058.39	03/03/21	1,170.24	111.85 W	0.00	2 of 5 - Sale <sup>25</sup>
	23.000	507.14	03/24/21	527.12	19.98 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	1,102.49	03/24/21	1,145.90	43.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	127.000	2,800.32	03/24/21	2,910.60	110.28 W	0.00	5 of 5 - Sale <sup>25</sup>
09/20/21	250.000	5,512.44	Various	5,802.62	290.18 W	0.00	Total of 5 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	7.704	193.39	04/15/21	157.25	...	36.14	1 of 2 - Sale <sup>25</sup>
	10.000	251.00	04/15/21	203.65	...	47.35	2 of 2 - Sale <sup>25</sup>
10/20/21	17.704	444.39	Various	360.90	...	83.49	Total of 2 transactions
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	2.296	88.62	04/15/21	46.85	...	41.77	1 of 4 - Sale <sup>25</sup>
	70.000	2,702.38	05/26/21	1,402.80	...	1,299.58	2 of 4 - Sale <sup>25</sup>
	2.000	77.21	09/16/21	48.52	...	28.69	3 of 4 - Sale <sup>25</sup>
	35.704	1,378.38	09/16/21	866.20	...	512.18	4 of 4 - Sale <sup>25</sup>
10/28/21	110.000	4,246.59	Various	2,364.37	...	1,882.22	Total of 4 transactions
10/28/21	60.000	2,316.32	06/15/21	3,417.73	325.65 W	-775.76	Sale <sup>25</sup>
8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.036	1.31	09/16/21	0.86	...	0.45	1 of 8 - Sale <sup>25</sup>
	12.260	449.71	09/16/21	297.43	...	152.28	2 of 8 - Sale <sup>25</sup>
	23.000	843.66	09/16/21	524.37	...	319.29	3 of 8 - Sale <sup>25</sup>
	29.000	1,063.75	09/16/21	635.97	...	427.78	4 of 8 - Sale <sup>25</sup>
	50.000	1,834.05	09/16/21	1,139.91	...	694.14	5 of 8 - Sale <sup>25</sup>
	71.000	2,604.35	09/16/21	1,557.74	...	1,046.61	6 of 8 - Sale <sup>25</sup>
	127.000	4,658.48	09/16/21	2,895.39	...	1,763.09	7 of 8 - Sale <sup>25</sup>
	17.740	650.72	10/20/21	770.39	...	-119.67	8 of 8 - Sale <sup>25</sup>
11/01/21	330.036	12,106.03	Various	7,822.06	...	4,283.97	Total of 8 transactions
	<b>Security total:</b>	<b>33,840.91</b>		<b>33,849.18</b>	<b>615.83 W</b>	<b>607.56</b>	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
10 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	22.000	16.55	11/01/21	16.24	...	0.31	1 of 10 - Sale <sup>25</sup>
	31.000	23.32	11/01/21	22.89	...	0.43	2 of 10 - Sale <sup>25</sup>
	69.000	51.91	11/01/21	50.95	...	0.96	3 of 10 - Sale <sup>25</sup>
	100.000	75.23	11/01/21	73.84	...	1.39	4 of 10 - Sale <sup>25</sup>
	100.000	75.23	11/01/21	73.84	...	1.39	5 of 10 - Sale <sup>25</sup>
	100.000	75.23	11/01/21	73.84	...	1.39	6 of 10 - Sale <sup>25</sup>
	200.000	150.46	11/01/21	147.68	...	2.78	7 of 10 - Sale <sup>25</sup>
	200.000	150.46	11/01/21	147.68	...	2.78	8 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 138915988

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	500.000	376.14	11/01/21	369.20	...	6.94	9 of 10 - Sale <sup>25</sup>
	8,678.000	6,521.31	11/01/21	6,407.84	...	113.47	10 of 10 - Sale <sup>25</sup>
11/01/21	10,000.000	7,515.84	Various	7,384.00	...	131.84	Total of 10 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	50.000	1,219.57	03/04/21	1,193.00	...	26.57	1 of 2 - Sale <sup>25</sup>
	50.000	1,219.57	03/04/21	1,193.00	...	26.57	2 of 2 - Sale <sup>25</sup>
03/19/21	100.000	2,439.14	Various	2,386.00	...	53.14	Total of 2 transactions
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	200.000	4,878.27	03/01/21	5,045.80	167.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	3,658.70	03/18/21	3,719.72	40.68 W	-20.34	2 of 2 - Sale <sup>25</sup>
03/19/21	350.000	8,536.97	Various	8,765.52	208.21 W	-20.34	Total of 2 transactions
2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	200.000	4,732.29	03/23/21	4,837.31	...	-105.02	1 of 2 - Sale <sup>25</sup>
	100.000	2,366.14	03/23/21	2,375.57	...	-9.43	2 of 2 - Sale <sup>25</sup>
03/31/21	300.000	7,098.43	Various	7,212.88	...	-114.45	Total of 2 transactions
	<b>Security total:</b>	<b>18,074.54</b>		<b>18,364.40</b>	<b>208.21 W</b>	<b>-81.65</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
03/18/21	2,000.000	3,179.74	03/15/21	3,160.00	...	19.74	Sale <sup>25</sup>
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
07/20/21	100.000	1,035.01	03/04/21	1,381.50	346.49 W	0.00	Sale <sup>25</sup>
7 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	100.000	1,059.00	03/04/21	1,381.50	322.50 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	1,059.00	03/04/21	1,381.50	322.50 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	205.20	03/19/21	312.60	107.40 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	515.99	03/19/21	781.50	265.51 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	1,048.13	03/19/21	1,563.00	514.87 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	1,048.13	03/19/21	1,563.00	514.87 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	1,059.48	03/19/21	1,563.00	503.52 W	0.00	7 of 7 - Sale <sup>25</sup>
08/04/21	570.000	5,994.93	Various	8,546.10	2,551.17 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 138915988

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol: (cont'd)							
4 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	80.000	827.30	03/19/21	1,250.40	...	-423.10	1 of 4 - Sale <sup>25</sup>
	70.000	723.79	03/19/21	1,094.10	...	-370.31	2 of 4 - Sale <sup>25</sup>
	120.000	1,240.96	03/29/21	1,776.00	...	-535.04	3 of 4 - Sale <sup>25</sup>
	30.000	310.35	03/29/21	444.00	...	-133.65	4 of 4 - Sale <sup>25</sup>
08/11/21	300.000	3,102.40	Various	4,564.50	...	-1,462.10	Total of 4 transactions
08/11/21	130.000	1,344.17	03/19/21	2,031.90	158.71 W	-529.02	Sale <sup>25</sup>
20 transactions for 08/19/21. Total proceeds and cost reported to the IRS.							
	100.000	816.98	03/31/21	1,414.00	...	-597.02	1 of 20 - Sale <sup>25</sup>
	800.000	6,535.87	05/06/21	10,152.00	...	-3,616.13	2 of 20 - Sale <sup>25</sup>
	200.000	1,633.97	05/11/21	2,366.00	...	-732.03	3 of 20 - Sale <sup>25</sup>
	100.000	816.98	06/03/21	1,200.99	...	-384.01	4 of 20 - Sale <sup>25</sup>
	150.000	1,225.48	06/08/21	1,796.25	...	-570.77	5 of 20 - Sale <sup>25</sup>
	294.000	2,401.93	06/25/21	3,630.90	...	-1,228.97	6 of 20 - Sale <sup>25</sup>
	100.000	816.98	06/25/21	1,580.99	...	-764.01	7 of 20 - Sale <sup>25</sup>
	100.000	816.98	06/25/21	1,234.50	...	-417.52	8 of 20 - Sale <sup>25</sup>
	50.000	408.49	06/25/21	616.92	...	-208.43	9 of 20 - Sale <sup>25</sup>
	2.000	16.34	06/25/21	24.70	...	-8.36	10 of 20 - Sale <sup>25</sup>
	2.000	16.34	06/25/21	24.70	...	-8.36	11 of 20 - Sale <sup>25</sup>
	2.000	16.34	06/25/21	24.70	...	-8.36	12 of 20 - Sale <sup>25</sup>
	100.000	816.99	07/19/21	1,344.00	...	-527.01	13 of 20 - Sale <sup>25</sup>
	100.000	816.98	08/09/21	1,618.62	...	-801.64	14 of 20 - Sale <sup>25</sup>
	100.000	816.99	08/09/21	1,607.27	...	-790.28	15 of 20 - Sale <sup>25</sup>
	100.000	816.98	08/09/21	1,426.25	...	-609.27	16 of 20 - Sale <sup>25</sup>
	100.000	816.98	08/10/21	1,564.63	...	-747.65	17 of 20 - Sale <sup>25</sup>
	50.000	408.49	08/10/21	790.39	...	-381.90	18 of 20 - Sale <sup>25</sup>
	30.000	245.10	08/10/21	473.64	...	-228.54	19 of 20 - Sale <sup>25</sup>
	20.000	163.40	08/10/21	317.35	...	-153.95	20 of 20 - Sale <sup>25</sup>
08/19/21	2,500.000	20,424.59	Various	33,208.80	...	-12,784.21	Total of 20 transactions
	<b>Security total:</b>	<b>31,901.10</b>		<b>49,732.80</b>	<b>3,056.37 W</b>	<b>-14,775.33</b>	
<b>Totals :</b>		<b>396,690.99</b>		<b>412,867.21</b>	<b>8,152.33 W</b>	<b>-8,023.89</b>	

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Robinhood Securities LLC

Account 138915988

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/19/21	-5.00	Margin interest paid	
		02/17/21	-5.00	Margin interest paid	
		03/19/21	-5.00	Margin interest paid	
		03/19/21	-9.72	Margin interest paid	
		04/19/21	-5.00	Margin interest paid	
		04/19/21	-27.60	Margin interest paid	
		05/18/21	-5.00	Margin interest paid	
		05/18/21	-28.67	Margin interest paid	
		06/17/21	-5.00	Margin interest paid	
		06/17/21	-44.87	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
		07/19/21	-61.87	Margin interest paid	
			-207.73	Total Margin interest paid	
Margin charge		08/16/21	-5.00	Margin interest paid	
		08/16/21	-55.46	Margin interest paid	
		09/15/21	-5.00	Margin interest paid	
		09/15/21	-10.36	Margin interest paid	
		10/15/21	-5.00	Margin interest paid	
		10/15/21	-58.36	Margin interest paid	
		11/15/21	-5.00	Margin interest paid	
		11/15/21	-33.84	Margin interest paid	
		12/14/21	-5.00	Margin interest paid	
			-183.02	Total Margin interest paid	
			<b>-390.75</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 138915988

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-800.00	0.00	Exchange	
FOLEY TRASIMENE ACQUISITION CO RP. II	344328109	03/31/21	-1,100.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	800.00	0.00	Exchange	
BFT 04/16/2021 CALL \$25.00	BFT 04/16/21 C 25.000	03/31/21	-2.00	0.00	Merger	
BFT 07/16/2021 CALL \$35.00	BFT 07/16/21 C 35.000	03/31/21	-12.00	0.00	Merger	
PAYSAFE LIMITED	G6964L107	03/31/21	1,100.00	0.00	Exchange	
PSFE 04/16/2021 CALL \$25.00	PSFE 04/16/21 C 25.000	03/31/21	2.00	0.00	Merger	
PSFE 07/16/2021 CALL \$35.00	PSFE 07/16/21 C 35.000	03/31/21	12.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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