

APEX CLEARING
ONE DALLAS CENTER
350 NORTH ST PAUL SUITE 1300
DALLAS, TX 75201

ARUN K SIRAPARAPU
356 RIDGE RD
APT A8
DAYTON, NJ 08810-1556

IN ACCOUNT WITH

M1 FINANCE
312-600-2883

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APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH M1 FINANCE (312) 600-2883	Account 5MA75540	Form 1099 Composite	2021
	ARUN K SIRAPARAPU 356 RIDGE RD APT A8 DAYTON, NJ 08810-1556 RECIPIENT'S ID No: XXX-XX-6314	Statement Date: 03/15/2022 [X] Corrected	

FATCA filing requirement []

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS	2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	963.34	
1b- Qualified Dividends	867.23	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	11.85	
2b- Unrecaptured Section 1250 gain	2.76	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 Ordinary Dividends	0.00	
2f- Section 897 Capital Gain	0.00	
3- Nondividend Distributions	65.38	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	46.62	
6- Investment Expenses	0.00	
7- Foreign tax Paid	9.13	
8- Foreign country or US Possession	Various	
9- Cash Liquidation Distributions	0.00	
10- Noncash Liquidation Distribution	0.00	
11- Exempt-Interest Dividends	0.00	
12- Specified Private Activity Bond Interest Dividends	0.00	

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	21.55	

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on U.S. Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt Bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

APEX CLEARING

Tax Summary

2021

5MA75540

03/15/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	49,929.08	46,014.88	0.00	0.00	3,914.20 C
Short-term transactions for noncovered tax lots	562.46	458.81	0.00	0.00	103.65
Total Short-term	50,491.54	46,473.69	0.00	0.00	4,017.85
Long-term transactions for covered tax lots	4,752.90	3,225.87	0.00	0.00	1,527.03 C
Long-term transactions for noncovered tax lots	130.94	65.81	0.00	0.00	65.13
Total Long-term	4,883.84	3,291.68	0.00	0.00	1,592.16
Undetermined transactions for noncovered tax lots	0.38	0.00	0.00	0.00	0.00
Total Undetermined-term	0.38	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY*

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

*Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a “covered security” for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column “Additional Notes”, cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as “Box 2 – Ordinary.” In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as “Box 3 – Collectibles Box Checked.” Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as “Box 7 – Loss Disallowed Box Checked.” Disposition of a QOF investment is noted in the Additional Notes column as “Box 3 – QOF.”

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
NATIONAL RETAIL PROPERTIES INC CUSIP: 637417106 Symbol(Box 1a): NNN							
12/15/2021	3.96488	179.91	Various	177.20	0.00	2.71	Sale
APPLIED MATERIALS INC CUSIP: 038222105 Symbol(Box 1a): AMAT							
11/08/2021	0.97545	149.70	Various	123.44	0.00	26.26	Sale
CITIGROUP INC COM CUSIP: 172967424 Symbol(Box 1a): C							
09/27/2021	2.42766	175.92	Various	161.84	0.00	14.08	Sale
RENEWABLE ENERGY GROUP INC CUSIP: 75972A301 Symbol(Box 1a): REGI							
04/20/2021	1.73266	106.42	Various	83.72	0.00	22.70	Sale
BLACKROCK INC CUSIP: 09247X101 Symbol(Box 1a): BLK							
05/05/2021	0.1512	127.00	Various	96.12	0.00	30.88	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
DOLBY LABORATORIES INC CL A CUSIP: 25659T107 Symbol(Box 1a): DLB							
11/11/2021	2.00471	177.60	Various	191.83	0.00	-14.23	Sale
VANGUARD S&P 500 ETF CUSIP: 922908363 Symbol(Box 1a): VOO							
05/05/2021	0.44958	172.33	Various	147.51	0.00	24.82	Sale
ARES CAPITAL CORPORATION CUSIP: 04010L103 Symbol(Box 1a): ARCC							
11/11/2021	45.06833	948.26	Various	866.56	0.00	81.70	Sale
OMEGA HEALTHCARE INVESTORS INC CUSIP: 681936100 Symbol(Box 1a): OHI							
12/30/2021	44.42777	1,323.10	Various	1,317.09	0.00	6.01	Sale
HUMANA INC CUSIP: 444859102 Symbol(Box 1a): HUM							
05/19/2021	0.34386	153.83	Various	141.05	0.00	12.78	Sale
FITBIT INC CLASS A COM CUSIP: 33812L102 Symbol(Box 1a): FIT							
01/13/2021	10.88	79.97	Various	72.59	0.00	7.38	Merger
QUALCOMM INC CUSIP: 747525103 Symbol(Box 1a): QCOM							
11/12/2021	1.4013	231.05	Various	188.04	0.00	43.01	Sale
WELLS FARGO & CO CUSIP: 949746101 Symbol(Box 1a): WFC							
04/19/2021	2.74582	120.93	Various	71.75	0.00	49.18	Sale
CONOCOPHILLIPS COMMON STOCK CUSIP: 20825C104 Symbol(Box 1a): COP							
11/11/2021	2.6238	190.58	Various	141.84	0.00	48.74	Sale
BAKER HUGHES COMPANY CLASS A COMMON STOCK CUSIP: 05722G100 Symbol(Box 1a): BKR							
11/30/2021	7.51961	176.03	Various	167.19	0.00	8.84	Sale
***IMPERIAL OIL LTD NEW CUSIP: 453038408 Symbol(Box 1a): IMO							
10/25/2021	7.44671	265.76	04/15/2021	190.34	0.00	75.42	Sale
11/08/2021	8.827	300.01	04/15/2021	225.62	0.00	74.39	Sale
11/11/2021	23.49466	804.00	Various	598.20	0.00	205.80	Sale
Security Totals:		1,369.77		1,014.16		355.61	
PG&E CORP CUSIP: 69331C108 Symbol(Box 1a): PCG							
05/10/2021	11.17796	123.30	Various	117.95	0.00	5.35	Sale
AT&T INC CUSIP: 00206R102 Symbol(Box 1a): T							
05/18/2021	107.76645	3,139.66	Various	3,208.32	0.00	-68.66	Sale

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
BRISTOL MYERS SQUIBB CO CUSIP: 110122108 Symbol(Box 1a): BMY							
12/17/2021	8.04982	500.20	Various	498.19	0.00	2.01	Sale
CITIZENS FINANCIAL GROUP INC COM CUSIP: 174610105 Symbol(Box 1a): CFG							
11/22/2021	10.29699	516.18	Various	483.36	0.00	32.82	Sale
***AMCOR PLC ORDINARY SHARES CUSIP: G0250X107 Symbol(Box 1a): AMCR							
11/09/2021	40.21614	492.83	Various	473.35	0.00	19.48	Sale
NEWTEK BUSINESS SERVICES CORP COM CUSIP: 652526203 Symbol(Box 1a): NEWT							
12/02/2021	11.98715	360.48	Various	360.77	0.00	-0.29	Sale
RAYTHEON TECHNOLOGIES CORPORATION COMMON STOCK CUSIP: 75513E101 Symbol(Box 1a): RTX							
11/22/2021	3.75414	323.06	Various	315.34	0.00	7.72	Sale
WASTE MANAGEMENT INC DEL CUSIP: 94106L109 Symbol(Box 1a): WM							
11/10/2021	1.14464	183.23	Various	149.75	0.00	33.48	Sale
L3HARRIS TECHNOLOGIES INC COMMON STOCK CUSIP: 502431109 Symbol(Box 1a): LHX							
11/11/2021	0.82149	180.57	Various	171.48	0.00	9.09	Sale
INTEL CORP CUSIP: 458140100 Symbol(Box 1a): INTC							
12/09/2021	43.06065	2,210.74	Various	2,074.89	0.00	135.85	Sale
NETFLIX INC COM CUSIP: 64110L106 Symbol(Box 1a): NFLX							
04/20/2021	0.20495	114.00	Various	101.81	0.00	12.19	Sale
COCA COLA COMPANY (THE) CUSIP: 191216100 Symbol(Box 1a): KO							
12/13/2021	36.10813	2,087.06	Various	1,942.31	0.00	144.75	Sale
ABBVIE INC CUSIP: 00287Y109 Symbol(Box 1a): ABBV							
11/19/2021	3.11714	365.30	Various	347.31	0.00	17.99	Sale
U S SILICA HOLDINGS INC CUSIP: 90346E103 Symbol(Box 1a): SLCA							
04/26/2021	19.0785	212.13	Various	63.64	0.00	148.49	Sale
JPMORGAN CHASE & CO CUSIP: 46625H100 Symbol(Box 1a): JPM							
11/11/2021	1.00542	168.32	Various	151.82	0.00	16.50	Sale
SMITH & WESSON BRANDS INC COMMON STOCK CUSIP: 831754106 Symbol(Box 1a): SWBI							
11/19/2021	12.90622	304.89	Various	297.78	0.00	7.11	Sale
DOMINION ENERGY INC COMMON STOCK CUSIP: 25746U109 Symbol(Box 1a): D							
08/23/2021	2.74375	218.28	Various	208.77	0.00	9.51	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
***JAMES RIVER GROUP HOLDINGS LTD CUSIP: G5005R107 Symbol(Box 1a): JRVR							
11/16/2021	6.36392	184.09	Various	235.93	0.00	-51.84	Sale
KIMBERLY CLARK CORP CUSIP: 494368103 Symbol(Box 1a): KMB							
12/14/2021	20.77569	2,873.66	Various	2,798.50	0.00	75.16	Sale
CHEMOURS COMPANY (THE) COM CUSIP: 163851108 Symbol(Box 1a): CC							
11/30/2021	5.73356	174.86	Various	174.41	0.00	0.45	Sale
INSMED INC CUSIP: 457669307 Symbol(Box 1a): INSM							
04/21/2021	3.61262	115.87	Various	116.75	0.00	-0.88	Sale
MONDELEZ INTERNATIONAL INC COM CUSIP: 609207105 Symbol(Box 1a): MDLZ							
12/10/2021	12.46275	777.09	Various	760.95	0.00	16.14	Sale
COSTCO WHOLESALE CORP-NEW CUSIP: 22160K105 Symbol(Box 1a): COST							
11/08/2021	0.43287	218.49	Various	149.24	0.00	69.25	Sale
***ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS CUSIP: 780259206 Symbol(Box 1a): RDSA							
11/12/2021	3.93901	173.89	Various	156.84	0.00	17.05	Sale
QUAKER HOUGHTON COMMON STOCK CUSIP: 747316107 Symbol(Box 1a): KWR							
05/19/2021	0.66285	156.06	Various	148.94	0.00	7.12	Sale
REALTY INCOME CORP CUSIP: 756109104 Symbol(Box 1a): O							
11/26/2021	2.56615	180.58	Various	149.84	0.00	30.74	Sale
***LINDE PLC ORDINARY SHARE CUSIP: G5494J103 Symbol(Box 1a): LIN							
06/08/2021	0.53517	156.59	Various	138.54	0.00	18.05	Sale
FEDEX CORP CUSIP: 31428X106 Symbol(Box 1a): FDX							
06/08/2021	0.52135	157.11	Various	130.76	0.00	26.35	Sale
EXXON MOBIL CORP CUSIP: 30231G102 Symbol(Box 1a): XOM							
12/21/2021	2.72728	163.58	Various	155.37	0.00	8.21	Sale
ROLLINS INC CUSIP: 775711104 Symbol(Box 1a): ROL							
10/25/2021	1.80514	70.04	Various	75.68	0.00	-5.64	Sale C
11/11/2021	32.56315	1,159.23	Various	1,138.58	0.00	20.65	Sale C
Security Totals:		1,229.27		1,214.26		15.01	
MCDONALDS CORP CUSIP: 580135101 Symbol(Box 1a): MCD							
11/11/2021	0.74366	186.06	Various	167.39	0.00	18.67	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
MACERICH CO CUSIP: 554382101 Symbol(Box 1a): MAC							
11/10/2021	7.65302	163.58	Various	111.42	0.00	52.16	Sale
GENERAL DYNAMICS CORP CUSIP: 369550108 Symbol(Box 1a): GD							
11/11/2021	0.84618	169.47	Various	151.94	0.00	17.53	Sale
ALIGN TECHNOLOGY INC CUSIP: 016255101 Symbol(Box 1a): ALGN							
04/20/2021	0.20029	120.20	Various	74.50	0.00	45.70	Sale
MICROSOFT CORP CUSIP: 594918104 Symbol(Box 1a): MSFT							
11/08/2021	1.93917	653.36	Various	462.63	0.00	190.73	Sale
PROCTER & GAMBLE CO CUSIP: 742718109 Symbol(Box 1a): PG							
11/16/2021	1.29868	192.54	Various	169.20	0.00	23.34	Sale
SOUTHERN CO CUSIP: 842587107 Symbol(Box 1a): SO							
12/01/2021	2.93831	181.13	Various	182.52	0.00	-1.39	Sale
BANK OF AMERICA CORP CUSIP: 060505104 Symbol(Box 1a): BAC							
11/17/2021	4.30698	201.68	Various	170.98	0.00	30.70	Sale
PEPSICO INC COMMON STOCK CUSIP: 713448108 Symbol(Box 1a): PEP							
11/19/2021	1.50949	247.42	Various	223.41	0.00	24.01	Sale
CHEVRON CORPORATION CUSIP: 166764100 Symbol(Box 1a): CVX							
12/21/2021	1.71513	197.06	Various	173.85	0.00	23.21	Sale
***CRISPR THERAPEUTICS AG NAMEN AKT CHF0.03 CUSIP: H17182108 Symbol(Box 1a): CRSP							
04/20/2021	0.95783	119.03	Various	97.09	0.00	21.94	Sale
MGP INGREDIENTS INC CUSIP: 55303J106 Symbol(Box 1a): MGPI							
11/11/2021	2.39112	169.06	Various	145.30	0.00	23.76	Sale
CATERPILLAR INC CUSIP: 149123101 Symbol(Box 1a): CAT							
06/08/2021	0.64957	153.36	Various	119.42	0.00	33.94	Sale
DISCOVER FINANCIAL SERVICES CUSIP: 254709108 Symbol(Box 1a): DFS							
11/19/2021	1.91363	218.25	Various	210.34	0.00	7.91	Sale
APPLE INC CUSIP: 037833100 Symbol(Box 1a): AAPL							
11/08/2021	2.20967	332.58	Various	279.10	0.00	53.48	Sale
PUBLIC STORAGE CUSIP: 74460D109 Symbol(Box 1a): PSA							
11/10/2021	0.5579	182.93	Various	148.94	0.00	33.99	Sale

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
MERCK & CO INC CUSIP: 58933Y105 Symbol(Box 1a): MRK							
11/29/2021	5.69263	435.18	Various	445.14	0.00	-9.96	Sale
CISCO SYSTEMS INC CUSIP: 17275R102 Symbol(Box 1a): CSCO							
01/29/2021	1.68533	75.40	Various	70.88	0.00	4.52	Sale
UNITEDHEALTH GROUP INC CUSIP: 91324P102 Symbol(Box 1a): UNH							
06/08/2021	0.39309	157.22	Various	135.21	0.00	22.01	Sale
MEDIFAST INC CUSIP: 58470H101 Symbol(Box 1a): MED							
11/10/2021	0.87357	193.43	Various	201.07	0.00	-7.64	Sale
WALT DISNEY CO CUSIP: 254687106 Symbol(Box 1a): DIS							
11/11/2021	1.03294	165.43	Various	180.54	0.00	-15.11	Sale
ORGANON & CO COMMON STOCK CUSIP: 68622V106 Symbol(Box 1a): OGN							
06/07/2021	0.2106	6.90	Various	7.86	0.00	-0.96	Sale
TESLA INC COMMON STOCK CUSIP: 88160R101 Symbol(Box 1a): TSLA							
04/20/2021	0.16302	118.30	Various	60.93	0.00	57.37	Sale
TYSON FOODS INC-CL A CUSIP: 902494103 Symbol(Box 1a): TSN							
11/08/2021	1.62501	132.15	Various	126.56	0.00	5.59	Sale
11/09/2021	2.46478	200.01	Various	186.16	0.00	13.85	Sale
11/11/2021	18.50324	1,537.62	Various	1,311.52	0.00	226.10	Sale
Security Totals:		1,869.78		1,624.24		245.54	
***TORONTO-DOMINION BANK CUSIP: 891160509 Symbol(Box 1a): TD							
11/17/2021	2.35356	174.31	Various	160.09	0.00	14.22	Sale
STARWOOD PROPERTY TRUST INC CUSIP: 85571B105 Symbol(Box 1a): STWD							
12/15/2021	6.48494	151.64	Various	158.69	0.00	-7.05	Sale
DUKE ENERGY CORPORATION HOLDING COMPANY CUSIP: 26441C204 Symbol(Box 1a): DUK							
11/26/2021	3.55558	355.23	Various	350.94	0.00	4.29	Sale
WALMART INC COMMON STOCK CUSIP: 931142103 Symbol(Box 1a): WMT							
11/11/2021	1.29716	192.37	Various	180.48	0.00	11.89	Sale
VF CORPORATION CUSIP: 918204108 Symbol(Box 1a): VFC							
11/11/2021	7.17245	544.73	Various	529.42	0.00	15.31	Sale
NIKE INC CLASS B COM CUSIP: 654106103 Symbol(Box 1a): NKE							
09/27/2021	1.27517	189.84	Various	169.22	0.00	20.62	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
BOEING CO CUSIP: 097023105 Symbol(Box 1a): BA							
05/05/2021	0.86834	201.66	Various	159.71	0.00	41.95	Sale
SYSCO CORP CUSIP: 871829107 Symbol(Box 1a): SY							
11/15/2021	6.27337	479.58	Various	486.82	0.00	-7.24	Sale
***VALE S A SPONSORED ADR CUSIP: 91912E105 Symbol(Box 1a): VALE							
12/09/2021	99.2765	1,338.27	Various	1,291.27	0.00	47.00	Sale
CARLISLE COMPANIES INC CUSIP: 142339100 Symbol(Box 1a): CSL							
11/11/2021	0.79168	186.06	Various	137.54	0.00	48.52	Sale
PPL CORPORATION CUSIP: 69351T106 Symbol(Box 1a): PPL							
05/19/2021	5.27892	153.36	Various	146.96	0.00	6.40	Sale
DOMINOS PIZZA INC CUSIP: 25754A201 Symbol(Box 1a): DPZ							
05/19/2021	0.35621	151.76	Various	135.89	0.00	15.87	Sale
ZIFF DAVIS INC COMMON STOCK CUSIP: 48123V102 Symbol(Box 1a): JCOM							
04/22/2021	0.89447	106.88	Various	68.36	0.00	38.52	Sale
ALTRIA GROUP INC CUSIP: 02209S103 Symbol(Box 1a): MO							
12/15/2021	17.1603	786.98	Various	778.81	0.00	8.17	Sale
PNC FINANCIAL SVCS GROUP INC CUSIP: 693475105 Symbol(Box 1a): PNC							
05/10/2021	0.68707	138.99	Various	88.86	0.00	50.13	Sale
STORE CAPITAL CORPORATION COM CUSIP: 862121100 Symbol(Box 1a): STOR							
12/21/2021	18.58195	623.89	Various	625.70	0.00	-1.81	Sale
KROGER CO (THE) CUSIP: 501044101 Symbol(Box 1a): KR							
11/08/2021	3.33462	138.67	Various	124.72	0.00	13.95	Sale
11/09/2021	4.78369	200.01	04/15/2021	178.81	0.00	21.20	Sale
11/11/2021	80.06002	3,389.95	Various	2,965.00	0.00	424.95	Sale
Security Totals:		3,728.63		3,268.53		460.10	
VICI PROPERTIES INC COMMON STOCK CUSIP: 925652109 Symbol(Box 1a): VICI							
12/30/2021	26.00051	780.65	Various	751.71	0.00	28.94	Sale
***TOYOTA MOTOR CORPORATION ADS CUSIP: 892331307 Symbol(Box 1a): TM							
11/11/2021	2.42775	432.36	Various	414.99	0.00	17.37	Sale

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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***ANHEUSER-BUSCH INBEV SA SPONSORED ADR CUSIP: 03524A108 Symbol(Box 1a): BUD							
11/11/2021	11.30128	677.73	Various	679.32	0.00	-1.59	Sale
PFIZER INC CUSIP: 717081103 Symbol(Box 1a): PFE							
11/08/2021	29.6052	1,434.35	04/13/2021	1,111.07	0.00	323.28	Sale
12/14/2021	0.41169	22.81	04/13/2021	15.45	0.00	7.36	Sale
12/17/2021	0.04298	2.56	04/13/2021	1.61	0.00	0.95	Sale
Security Totals:		1,459.72		1,128.13		331.59	
***SASOL LTD- SPONSORED ADR CUSIP: 803866300 Symbol(Box 1a): SSL							
04/26/2021	8.95495	150.20	Various	64.42	0.00	85.78	Sale
SOUTHWEST AIRLINES CO CUSIP: 844741108 Symbol(Box 1a): LUV							
11/17/2021	4.05388	192.55	Various	218.74	0.00	-26.19	Sale
***ALGONQUIN POWER & UTILITIES CORP CUSIP: 015857105 Symbol(Box 1a): AQN							
08/23/2021	19.12814	298.20	Various	301.47	0.00	-3.27	Sale
COLGATE PALMOLIVE COMPANY CUSIP: 194162103 Symbol(Box 1a): CL							
11/26/2021	8.07836	632.15	Various	636.61	0.00	-4.46	Sale
***TAIWAN SEMICONDUCTOR MFG CO LTD- SPONSORED ADR REPSTG 5 COM CUSIP: 874039100 Symbol(Box 1a): TSM							
11/15/2021	2.13639	253.83	Various	245.41	0.00	8.42	Sale
CIGNA CORPORATION COMMON STOCK CUSIP: 125523100 Symbol(Box 1a): CI							
11/11/2021	0.85822	187.79	Various	196.43	0.00	-8.64	Sale
JOHNSON & JOHNSON CUSIP: 478160104 Symbol(Box 1a): JNJ							
11/16/2021	1.10213	180.84	Various	179.83	0.00	1.01	Sale
SIMON PROPERTY GROUP INC CUSIP: 828806109 Symbol(Box 1a): SPG							
11/17/2021	1.2836	209.95	Various	133.23	0.00	76.72	Sale
***GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) CUSIP: 37733W105 Symbol(Box 1a): GSK							
12/13/2021	16.32951	704.76	Various	659.21	0.00	45.55	Sale
EMERSON ELECTRIC CO CUSIP: 291011104 Symbol(Box 1a): EMR							
11/29/2021	4.15671	381.82	Various	381.19	0.00	0.63	Sale

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
ORION OFFICE REIT INC COMMON STOCK CUSIP: 68629Y103 Symbol(Box 1a): ONL							
11/16/2021	0.35313	7.65	11/15/2021	7.88	0.00	-0.23	Sale
AMGEN INC CUSIP: 031162100 Symbol(Box 1a): AMGN							
05/13/2021	0.50757	126.81	Various	120.50	0.00	6.31	Sale
CHARLES SCHWAB CORP NEW CUSIP: 808513105 Symbol(Box 1a): SCHW							
11/10/2021	1.96042	157.07	Various	134.53	0.00	22.54	Sale
YUM BRANDS INC CUSIP: 988498101 Symbol(Box 1a): YUM							
11/18/2021	3.075	390.52	Various	373.02	0.00	17.50	Sale
STARBUCKS CORP CUSIP: 855244109 Symbol(Box 1a): SBUX							
11/11/2021	1.84659	207.66	Various	206.45	0.00	1.21	Sale
CAMPBELL SOUP CO CUSIP: 134429109 Symbol(Box 1a): CPB							
12/13/2021	37.84564	1,659.58	Various	1,632.57	0.00	27.01	Sale
VISA INC CL A COMMON STOCK CUSIP: 92826C839 Symbol(Box 1a): V							
06/08/2021	0.68872	159.36	Various	145.48	0.00	13.88	Sale
AXON ENTERPRISE INC COMMON STOCK CUSIP: 05464C101 Symbol(Box 1a): AXON							
04/20/2021	0.75325	114.78	Various	110.54	0.00	4.24	Sale
***BP P L C SPONSORED ADR (FRM BP AMOCO PLC) CUSIP: 055622104 Symbol(Box 1a): BP							
10/25/2021	17.19299	513.52	Various	425.54	0.00	87.98	Sale
11/08/2021	10.44645	292.28	04/13/2021	257.39	0.00	34.89	Sale
Security Totals:		805.80		682.93		122.87	
Totals:		49,929.08		46,014.88		3,914.20	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired	Cost or Other Basis	Accrued Market Discount (M) & Wash Sale Loss Disallowed (D)	Gain or Loss(-)	Additional Notes
SPDR GOLD TR GOLD SHS CUSIP: 78463V107 Symbol(Box 1a): GLD							
08/12/2021	1.25046	204.23	Various	213.61	0.00	-9.38	Sale 3- <input checked="" type="checkbox"/> Collectible
ENERGY TRANSFER LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS CUSIP: 29273V100 Symbol(Box 1a): ET							
05/19/2021	15.00504	150.71	Various	97.69	0.00	53.02	Sale
***CAPITAL PRODUCT PARTNERS LP COMMON UNITS CUSIP: Y11082206 Symbol(Box 1a): CPLP							
11/19/2021	12.80172	207.52	Various	147.51	0.00	60.01	Sale
Totals:		562.46		458.81		103.65	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
NATIONAL RETAIL PROPERTIES INC CUSIP: 637417106 Symbol(Box 1a): NNN							
12/15/2021	1.58193	71.79	Various	54.88	0.00	16.91	Sale
UNITEDHEALTH GROUP INC CUSIP: 91324P102 Symbol(Box 1a): UNH							
06/08/2021	0.00656	2.62	05/28/2020	2.02	0.00	0.60	Sale
MEDIFAST INC CUSIP: 58470H101 Symbol(Box 1a): MED							
11/10/2021	0.31508	69.75	Various	48.74	0.00	21.01	Sale
APPLIED MATERIALS INC CUSIP: 038222105 Symbol(Box 1a): AMAT							
11/08/2021	0.82738	127.00	Various	49.54	0.00	77.46	Sale
CITIGROUP INC COM CUSIP: 172967424 Symbol(Box 1a): C							
09/27/2021	0.86473	62.68	Various	43.02	0.00	19.66	Sale
WALT DISNEY CO CUSIP: 254687106 Symbol(Box 1a): DIS							
11/11/2021	0.39411	63.12	Various	48.99	0.00	14.13	Sale
DOLBY LABORATORIES INC CL A CUSIP: 25659T107 Symbol(Box 1a): DLB							
11/11/2021	0.67984	60.23	Various	45.55	0.00	14.68	Sale
TYSON FOODS INC-CL A CUSIP: 902494103 Symbol(Box 1a): TSN							
11/08/2021	0.83477	67.86	Various	51.15	0.00	16.71	Sale
***TORONTO-DOMINION BANK CUSIP: 891160509 Symbol(Box 1a): TD							
11/17/2021	1.12725	83.49	Various	52.44	0.00	31.05	Sale
STARWOOD PROPERTY TRUST INC CUSIP: 85571B105 Symbol(Box 1a): STWD							
12/15/2021	3.38697	79.19	Various	50.27	0.00	28.92	Sale
DUKE ENERGY CORPORATION HOLDING COMPANY CUSIP: 26441C204 Symbol(Box 1a): DUK							
11/26/2021	0.64059	64.01	Various	54.62	0.00	9.39	Sale
WALMART INC COMMON STOCK CUSIP: 931142103 Symbol(Box 1a): WMT							
11/11/2021	0.34907	51.75	Various	46.49	0.00	5.26	Sale
QUALCOMM INC CUSIP: 747525103 Symbol(Box 1a): QCOM							
11/12/2021	0.38601	63.64	Various	40.94	0.00	22.70	Sale
NIKE INC CLASS B COM CUSIP: 654106103 Symbol(Box 1a): NKE							
09/27/2021	0.33241	49.46	Various	35.06	0.00	14.40	Sale
CONOCOPHILLIPS COMMON STOCK CUSIP: 20825C104 Symbol(Box 1a): COP							
11/08/2021	1.31497	100.01	Various	48.77	0.00	51.24	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

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11/11/2021	0.35734	25.95	Various	10.88	0.00	15.07	Sale
Security Totals:		125.96		59.65		66.31	
BAKER HUGHES COMPANY							CLASS A COMMON STOCK CUSIP: 05722G100 Symbol(Box 1a): BKR
11/30/2021	3.56476	83.45	Various	52.01	0.00	31.44	Sale
***VALE S A							SPONSORED ADR CUSIP: 91912E105 Symbol(Box 1a): VALE
12/09/2021	4.81703	64.95	Various	53.47	0.00	11.48	Sale
CARLISLE COMPANIES INC							CUSIP: 142339100 Symbol(Box 1a): CSL
11/11/2021	0.39398	92.59	Various	48.47	0.00	44.12	Sale
***IMPERIAL OIL LTD NEW							CUSIP: 453038408 Symbol(Box 1a): IMO
10/25/2021	3.76172	134.25	Various	56.08	0.00	78.17	Sale
CITIZENS FINANCIAL GROUP INC							COM CUSIP: 174610105 Symbol(Box 1a): CFG
11/22/2021	1.82481	91.47	Various	46.64	0.00	44.83	Sale
STORE CAPITAL CORPORATION							COM CUSIP: 862121100 Symbol(Box 1a): STOR
12/21/2021	3.69701	124.13	Various	100.59	0.00	23.54	Sale
RAYTHEON TECHNOLOGIES							CORPORATION COMMON STOCK CUSIP: 75513E101 Symbol(Box 1a): RTX
11/22/2021	0.88957	76.58	Various	53.16	0.00	23.42	Sale
KROGER CO (THE)							CUSIP: 501044101 Symbol(Box 1a): KR
11/08/2021	1.47469	61.34	Various	50.39	0.00	10.95	Sale
11/11/2021	0.13807	5.85	11/09/2020	4.35	0.00	1.50	Sale
Security Totals:		67.19		54.74		12.45	
WASTE MANAGEMENT INC DEL							CUSIP: 94106L109 Symbol(Box 1a): WM
11/10/2021	0.43628	69.82	Various	48.47	0.00	21.35	Sale
L3HARRIS TECHNOLOGIES INC							COMMON STOCK CUSIP: 502431109 Symbol(Box 1a): LHX
11/11/2021	0.29118	63.99	Various	51.66	0.00	12.33	Sale
***TOYOTA MOTOR CORPORATION							ADS CUSIP: 892331307 Symbol(Box 1a): TM
11/11/2021	0.3655	65.10	Various	47.53	0.00	17.57	Sale
INTEL CORP							CUSIP: 458140100 Symbol(Box 1a): INTC
12/09/2021	3.01214	154.63	Various	144.43	0.00	10.20	Sale
COCA COLA COMPANY							(THE) CUSIP: 191216100 Symbol(Box 1a): KO
12/13/2021	1.17171	67.71	Various	57.07	0.00	10.64	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
ABBVIE INC CUSIP: 00287Y109 Symbol(Box 1a): ABBV							
11/19/2021	0.76911	90.15	Various	69.12	0.00	21.03	Sale
JPMORGAN CHASE & CO CUSIP: 46625H100 Symbol(Box 1a): JPM							
11/11/2021	0.48816	81.75	Various	48.03	0.00	33.72	Sale
***ANHEUSER-BUSCH INBEV SA SPONSORED ADR CUSIP: 03524A108 Symbol(Box 1a): BUD							
11/11/2021	0.89576	53.72	Various	49.01	0.00	4.71	Sale
PFIZER INC CUSIP: 717081103 Symbol(Box 1a): PFE							
11/08/2021	1.3551	65.66	Various	46.28	0.00	19.38	Sale
12/09/2021	0.0063	0.31	11/16/2020	0.22	0.00	0.09	Sale
12/09/2021	0.19503	10.15	Various	7.12	0.00	3.03	Sale
12/14/2021	0.04483	2.48	11/16/2020	1.58	0.00	0.90	Sale
Security Totals:		78.60		55.20		23.40	
DOMINION ENERGY INC COMMON STOCK CUSIP: 25746U109 Symbol(Box 1a): D							
08/23/2021	0.25752	20.48	Various	19.86	0.00	0.62	Sale
***JAMES RIVER GROUP HOLDINGS LTD CUSIP: G5005R107 Symbol(Box 1a): JRVR							
11/16/2021	1.09908	31.79	Various	51.01	0.00	-19.22	Sale
CHEMOURS COMPANY (THE) COM CUSIP: 163851108 Symbol(Box 1a): CC							
11/30/2021	2.48979	75.94	Various	47.96	0.00	27.98	Sale
SOUTHWEST AIRLINES CO CUSIP: 844741108 Symbol(Box 1a): LUV							
11/17/2021	1.27911	60.75	Various	46.32	0.00	14.43	Sale
COSTCO WHOLESALE CORP-NEW CUSIP: 22160K105 Symbol(Box 1a): COST							
11/08/2021	0.13161	66.45	Various	44.27	0.00	22.18	Sale
***ALGONQUIN POWER & UTILITIES CORP CUSIP: 015857105 Symbol(Box 1a): AQN							
08/23/2021	1.44526	22.54	Various	19.49	0.00	3.05	Sale
***ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS CUSIP: 780259206 Symbol(Box 1a): RDSA							
11/12/2021	2.03241	89.73	Various	57.90	0.00	31.83	Sale
REALTY INCOME CORP CUSIP: 756109104 Symbol(Box 1a): O							
11/26/2021	0.9651	67.93	Various	54.39	0.00	13.54	Sale

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
***LINDE PLC ORDINARY SHARE CUSIP: G5494J103 Symbol(Box 1a): LIN							
06/08/2021	0.01014	2.97	05/28/2020	2.02	0.00	0.95	Sale
FEDEX CORP CUSIP: 31428X106 Symbol(Box 1a): FDX							
06/08/2021	0.0153	4.61	05/28/2020	2.02	0.00	2.59	Sale
EXXON MOBIL CORP CUSIP: 30231G102 Symbol(Box 1a): XOM							
12/21/2021	1.58239	94.94	Various	61.05	0.00	33.89	Sale
ROLLINS INC CUSIP: 775711104 Symbol(Box 1a): ROL							
10/25/2021	0.77293	29.97	Various	39.41	0.00	-9.44	Sale C
11/11/2021	0.04081	1.45	10/28/2020	0.00	0.00	1.45	Sale C
Security Totals:		31.42		39.41		-7.99	
MCDONALDS CORP CUSIP: 580135101 Symbol(Box 1a): MCD							
11/11/2021	0.22904	57.30	Various	47.72	0.00	9.58	Sale
MACERICH CO CUSIP: 554382101 Symbol(Box 1a): MAC							
11/10/2021	7.00498	149.71	Various	49.75	0.00	99.96	Sale
GENERAL DYNAMICS CORP CUSIP: 369550108 Symbol(Box 1a): GD							
11/11/2021	0.36346	72.80	Various	52.89	0.00	19.91	Sale
CIGNA CORPORATION COMMON STOCK CUSIP: 125523100 Symbol(Box 1a): CI							
11/11/2021	0.2899	63.43	Various	51.05	0.00	12.38	Sale
JOHNSON & JOHNSON CUSIP: 478160104 Symbol(Box 1a): JNJ							
11/16/2021	0.36356	59.68	Various	53.53	0.00	6.15	Sale
SIMON PROPERTY GROUP INC CUSIP: 828806109 Symbol(Box 1a): SPG							
11/08/2021	0.59758	100.01	Various	38.94	0.00	61.07	Sale
11/17/2021	0.18958	31.01	Various	11.52	0.00	19.49	Sale
Security Totals:		131.02		50.46		80.56	
MICROSOFT CORP CUSIP: 594918104 Symbol(Box 1a): MSFT							
11/08/2021	0.22994	77.46	Various	47.44	0.00	30.02	Sale
PROCTER & GAMBLE CO CUSIP: 742718109 Symbol(Box 1a): PG							
11/16/2021	0.3812	56.55	Various	51.19	0.00	5.36	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.**

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
SOUTHERN CO CUSIP: 842587107 Symbol(Box 1a): SO							
12/01/2021	0.96327	59.39	Various	53.02	0.00	6.37	Sale
EMERSON ELECTRIC CO CUSIP: 291011104 Symbol(Box 1a): EMR							
11/29/2021	0.7652	70.28	Various	51.14	0.00	19.14	Sale
BANK OF AMERICA CORP CUSIP: 060505104 Symbol(Box 1a): BAC							
11/17/2021	2.04207	95.63	Various	51.12	0.00	44.51	Sale
PEPSICO INC COMMON STOCK CUSIP: 713448108 Symbol(Box 1a): PEP							
11/19/2021	0.3888	63.71	Various	53.03	0.00	10.68	Sale
CHEVRON CORPORATION CUSIP: 166764100 Symbol(Box 1a): CVX							
12/21/2021	0.70589	81.12	Various	56.68	0.00	24.44	Sale
MGP INGREDIENTS INC CUSIP: 55303J106 Symbol(Box 1a): MGPI							
11/11/2021	1.27802	90.33	Various	48.28	0.00	42.05	Sale
CATERPILLAR INC CUSIP: 149123101 Symbol(Box 1a): CAT							
06/08/2021	0.01664	3.93	05/28/2020	2.02	0.00	1.91	Sale
DISCOVER FINANCIAL SERVICES CUSIP: 254709108 Symbol(Box 1a): DFS							
11/19/2021	0.74515	84.99	10/30/2020	47.69	0.00	37.30	Sale
CHARLES SCHWAB CORP NEW CUSIP: 808513105 Symbol(Box 1a): SCHW							
11/10/2021	1.2613	101.07	Various	44.36	0.00	56.71	Sale
YUM BRANDS INC CUSIP: 988498101 Symbol(Box 1a): YUM							
11/18/2021	0.54631	69.40	Various	51.59	0.00	17.81	Sale
STARBUCKS CORP CUSIP: 855244109 Symbol(Box 1a): SBUX							
11/11/2021	0.54054	60.78	Various	42.45	0.00	18.33	Sale
APPLE INC CUSIP: 037833100 Symbol(Box 1a): AAPL							
11/08/2021	0.42946	64.64	Various	45.70	0.00	18.94	Sale
VISA INC CL A COMMON STOCK CUSIP: 92826C839 Symbol(Box 1a): V							
06/08/2021	0.01039	2.40	05/28/2020	2.02	0.00	0.38	Sale
PUBLIC STORAGE CUSIP: 74460D109 Symbol(Box 1a): PSA							
11/10/2021	0.21618	70.88	Various	45.23	0.00	25.65	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
***BP P L C SPONSORED ADR (FRM BP AMOCO PLC) CUSIP: 055622104 Symbol(Box 1a): BP							
10/25/2021	2.89465	86.49	Various	58.62	0.00	27.87	Sale
11/08/2021	0.27633	7.73	10/28/2020	4.15	0.00	3.58	Sale
Security Totals:		94.22		62.77		31.45	
Totals:		4,752.90		3,225.87		1,527.03	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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03/15/2022

OMB No. 1545-0715

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part II with Box E checked.

Box 2: Type of Gain or Loss - Long-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired	Cost or Other Basis	Accrued Market Discount (M) & Wash Sale Loss Disallowed (D)	Gain or Loss(-)	Additional Notes
SPDR GOLD TR GOLD SHS CUSIP: 78463V107 Symbol(Box 1a): GLD							
08/12/2021	0.08584	14.03	Various	14.87	0.00	-0.84	Sale 3- <input checked="" type="checkbox"/> Collectible
***CAPITAL PRODUCT PARTNERS LP COMMON UNITS CUSIP: Y11082206 Symbol(Box 1a): CPLP							
11/19/2021	7.21358	116.91	Various	50.94	0.00	65.97	Sale
Totals:		130.94		65.81		65.13	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

03/15/2022

OMB No. 1545-0715

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked or Part II with Box E checked.

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return. Consult your investments records for the cost basis and/or the date of acquisition to determine whether the transaction in this section is long- or short-term.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired	Cost or Other Basis	Wash Sale Loss Disallowed	Gain or Loss(-)	Additional Notes
SPDR GOLD TR GOLD SHS CUSIP: 78463V107 Symbol(Box 1a): GLD							
01/11/2021	0.00	0.03	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000340025 3- [X] Collectible
02/05/2021	0.00	0.03	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000356997 3- [X] Collectible
03/03/2021	0.00	0.03	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000339295 3- [X] Collectible
04/07/2021	0.00	0.04	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000344745 3- [X] Collectible
05/05/2021	0.00	0.04	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000325959 3- [X] Collectible
06/04/2021	0.00	0.05	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000328417 3- [X] Collectible
07/06/2021	0.00	0.08	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000333869 3- [X] Collectible
08/05/2021	0.00	0.08	Unknown	Unknown	0.00	Unknown	COST BASIS FACTOR = 0.000343067 3- [X] Collectible
Security Totals:		0.38					
Totals:		0.38					

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APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

2021

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03/15/2022

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends, and liquidation distributions.

Taxes withheld for federal, state, or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description CUSIP Symbol								
Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)	
NATIONAL RETAIL PROPERTIES INC CUSIP: 637417106 Symbol: NNN								
02/16/2021	0.79	0.00	0.24	0.79	0.00	0.00		
05/14/2021	1.03	0.00	0.31	1.03	0.00	0.00		
08/16/2021	1.95	0.00	0.59	1.95	0.00	0.00		
11/15/2021	2.16	0.00	0.65	2.16	0.00	0.00		
Security Totals:	5.93	0.00	1.79	5.93	0.00	0.00		
APPLIED MATERIALS INC CUSIP: 038222105 Symbol: AMAT								
03/18/2021	0.18	0.18	0.00	0.00	0.00	0.00		
06/17/2021	0.32	0.32	0.00	0.00	0.00	0.00		
09/16/2021	0.43	0.43	0.00	0.00	0.00	0.00		
Security Totals:	0.93	0.93	0.00	0.00	0.00	0.00		
CITIGROUP INC COM CUSIP: 172967424 Symbol: C								
02/26/2021	0.64	0.64	0.00	0.00	0.00	0.00		
05/28/2021	0.87	0.87	0.00	0.00	0.00	0.00		
08/27/2021	1.68	1.68	0.00	0.00	0.00	0.00		
Security Totals:	3.19	3.19	0.00	0.00	0.00	0.00		
BLACKROCK INC CUSIP: 09247X101 Symbol: BLK								
03/23/2021	0.52	0.52	0.00	0.00	0.00	0.00		
VERIZON COMMUNICATIONS CUSIP: 92343V104 Symbol: VZ								
02/01/2021	0.78	0.78	0.00	0.00	0.00	0.00		
05/03/2021	1.26	1.26	0.00	0.00	0.00	0.00		
08/02/2021	6.49	6.49	0.00	0.00	0.00	0.00		
11/01/2021	65.10	65.10	0.00	0.00	0.00	0.00		
Security Totals:	73.63	73.63	0.00	0.00	0.00	0.00		
DOLBY LABORATORIES INC CL A CUSIP: 25659T107 Symbol: DLB								
02/19/2021	0.18	0.18	0.00	0.00	0.00	0.00		
05/25/2021	0.27	0.27	0.00	0.00	0.00	0.00		
08/19/2021	0.50	0.50	0.00	0.00	0.00	0.00		
Security Totals:	0.95	0.95	0.00	0.00	0.00	0.00		

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

2021

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(continued)

03/15/2022

Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
VANGUARD S&P 500 ETF CUSIP: 922908363 Symbol: VOO							
03/31/2021	0.57	0.55	0.00	0.02	0.00	0.00	
ARES CAPITAL CORPORATION CUSIP: 04010L103 Symbol: ARCC							
06/30/2021	6.68	0.25	0.00	0.00	0.00	0.00	
09/30/2021	18.48	0.51	0.00	0.00	0.00	0.00	
Security Totals:	25.16	0.76	0.00	0.00	0.00	0.00	
OMEGA HEALTHCARE INVESTORS INC CUSIP: 681936100 Symbol: OHI							
11/15/2021	11.44	0.00	0.68	11.44	0.00	0.00	
HUMANA INC CUSIP: 444859102 Symbol: HUM							
01/29/2021	0.11	0.11	0.00	0.00	0.00	0.00	
04/30/2021	0.18	0.18	0.00	0.00	0.00	0.00	
Security Totals:	0.29	0.29	0.00	0.00	0.00	0.00	
3M COMPANY CUSIP: 88579Y101 Symbol: MMM							
03/12/2021	1.33	1.33	0.00	0.00	0.00	0.00	
06/14/2021	1.34	1.34	0.00	0.00	0.00	0.00	
09/13/2021	3.38	3.38	0.00	0.00	0.00	0.00	
12/13/2021	3.53	3.53	0.00	0.00	0.00	0.00	
Security Totals:	9.58	9.58	0.00	0.00	0.00	0.00	
QUALCOMM INC CUSIP: 747525103 Symbol: QCOM							
03/25/2021	0.42	0.42	0.00	0.00	0.00	0.00	
06/24/2021	0.83	0.83	0.00	0.00	0.00	0.00	
09/23/2021	1.11	1.11	0.00	0.00	0.00	0.00	
Security Totals:	2.36	2.36	0.00	0.00	0.00	0.00	
WELLS FARGO & CO CUSIP: 949746101 Symbol: WFC							
03/01/2021	0.25	0.25	0.00	0.00	0.00	0.00	
CONOCOPHILLIPS COMMON STOCK CUSIP: 20825C104 Symbol: COP							
03/01/2021	0.79	0.79	0.00	0.00	0.00	0.00	
06/01/2021	1.06	1.06	0.00	0.00	0.00	0.00	
09/01/2021	1.59	1.59	0.00	0.00	0.00	0.00	
12/01/2021	0.14	0.14	0.00	0.00	0.00	0.00	
Security Totals:	3.58	3.58	0.00	0.00	0.00	0.00	
BAKER HUGHES COMPANY CLASS A COMMON STOCK CUSIP: 05722G100 Symbol: BKR							
02/19/2021	0.66	0.66	0.00	0.00	0.00	0.00	
06/04/2021	1.11	1.11	0.00	0.00	0.00	0.00	
08/20/2021	1.79	1.79	0.00	0.00	0.00	0.00	
11/12/2021	2.00	2.00	0.00	0.00	0.00	0.00	
Security Totals:	5.56	5.56	0.00	0.00	0.00	0.00	

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

2021

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(continued)

03/15/2022

Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
***IMPERIAL OIL LTD NEW CUSIP: 453038408 Symbol: IMO							
01/04/2021	0.65	0.65	0.00	0.00	0.00	0.10	
04/01/2021	0.70	0.70	0.00	0.00	0.00	0.11	
07/02/2021	9.51	9.51	0.00	0.00	0.00	1.43	
10/01/2021	9.28	9.28	0.00	0.00	0.00	1.39	
Security Totals:	20.14	20.14	0.00	0.00	0.00	3.03	
AT&T INC CUSIP: 00206R102 Symbol: T							
02/01/2021	1.91	1.91	0.00	0.00	0.00	0.00	
05/03/2021	3.67	3.67	0.00	0.00	0.00	0.00	
Security Totals:	5.58	5.58	0.00	0.00	0.00	0.00	
BRISTOL MYERS SQUIBB CO CUSIP: 110122108 Symbol: BMY							
08/02/2021	1.52	1.52	0.00	0.00	0.00	0.00	
11/01/2021	1.66	1.66	0.00	0.00	0.00	0.00	
Security Totals:	3.18	3.18	0.00	0.00	0.00	0.00	
CITIZENS FINANCIAL GROUP INC COM CUSIP: 174610105 Symbol: CFG							
02/17/2021	1.46	1.46	0.00	0.00	0.00	0.00	
05/13/2021	1.46	1.46	0.00	0.00	0.00	0.00	
08/13/2021	1.96	1.96	0.00	0.00	0.00	0.00	
11/12/2021	2.12	2.12	0.00	0.00	0.00	0.00	
Security Totals:	7.00	7.00	0.00	0.00	0.00	0.00	
***AMCOR PLC ORDINARY SHARES CUSIP: G0250X107 Symbol: AMCR							
09/28/2021	4.73	4.73	0.00	0.00	0.00	0.00	
NEWTEK BUSINESS SERVICES CORP COM CUSIP: 652526203 Symbol: NEWT							
06/30/2021	0.64	0.64	0.00	0.00	0.00	0.00	
09/30/2021	0.38	0.38	0.00	0.00	0.00	0.00	
Security Totals:	1.02	1.02	0.00	0.00	0.00	0.00	
RAYTHEON TECHNOLOGIES CORPORATION COMMON STOCK CUSIP: 75513E101 Symbol: RTX							
03/25/2021	0.57	0.57	0.00	0.00	0.00	0.00	
06/17/2021	0.94	0.94	0.00	0.00	0.00	0.00	
09/09/2021	1.34	1.34	0.00	0.00	0.00	0.00	
12/16/2021	1.42	1.42	0.00	0.00	0.00	0.00	
Security Totals:	4.27	4.27	0.00	0.00	0.00	0.00	
WASTE MANAGEMENT INC DEL CUSIP: 94106L109 Symbol: WM							
03/26/2021	0.49	0.49	0.00	0.00	0.00	0.00	
06/18/2021	0.64	0.64	0.00	0.00	0.00	0.00	
09/17/2021	0.91	0.91	0.00	0.00	0.00	0.00	
Security Totals:	2.04	2.04	0.00	0.00	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
L3HARRIS TECHNOLOGIES INC COMMON STOCK CUSIP: 502431109 Symbol: LHX							
03/26/2021	0.53	0.53	0.00	0.00	0.00	0.00	
06/15/2021	0.75	0.75	0.00	0.00	0.00	0.00	
09/17/2021	1.05	1.05	0.00	0.00	0.00	0.00	
Security Totals:	2.33	2.33	0.00	0.00	0.00	0.00	
ETF SERIES SOLUTIONS NATIONWIDE NASDAQ 100 RISK MANAGED INCOME ETF CUSIP: 26922A172 Symbol: NUSI							
05/28/2021	0.04	0.04	3.91	0.00	0.00	0.00	
06/25/2021	0.04	0.04	4.01	0.00	0.00	0.00	
07/23/2021	0.04	0.04	4.05	0.00	0.00	0.00	
08/27/2021	0.04	0.04	4.15	0.00	0.00	0.00	
09/24/2021	0.04	0.04	4.06	0.00	0.00	0.00	
10/22/2021	0.04	0.04	4.02	0.00	0.00	0.00	
11/29/2021	0.00	0.00	4.22	0.00	0.00	0.00	
12/27/2021	0.00	0.00	4.03	0.00	0.00	0.00	
Security Totals:	0.24	0.24	32.45	0.00	0.00	0.00	
INTEL CORP CUSIP: 458140100 Symbol: INTC							
03/01/2021	1.11	1.11	0.00	0.00	0.00	0.00	
06/01/2021	1.11	1.11	0.00	0.00	0.00	0.00	
09/01/2021	1.39	1.39	0.00	0.00	0.00	0.00	
12/01/2021	16.01	16.01	0.00	0.00	0.00	0.00	
Security Totals:	19.62	19.62	0.00	0.00	0.00	0.00	
COCA COLA COMPANY (THE) CUSIP: 191216100 Symbol: KO							
04/01/2021	1.62	1.62	0.00	0.00	0.00	0.00	
07/01/2021	12.16	12.16	0.00	0.00	0.00	0.00	
10/01/2021	12.16	12.16	0.00	0.00	0.00	0.00	
12/15/2021	12.16	12.16	0.00	0.00	0.00	0.00	
Security Totals:	38.10	38.10	0.00	0.00	0.00	0.00	
ABBVIE INC CUSIP: 00287Y109 Symbol: ABBV							
02/16/2021	1.54	1.54	0.00	0.00	0.00	0.00	
05/14/2021	1.54	1.54	0.00	0.00	0.00	0.00	
08/16/2021	5.05	5.05	0.00	0.00	0.00	0.00	
11/15/2021	5.05	5.05	0.00	0.00	0.00	0.00	
Security Totals:	13.18	13.18	0.00	0.00	0.00	0.00	
JPMORGAN CHASE & CO CUSIP: 46625H100 Symbol: JPM							
02/01/2021	0.51	0.51	0.00	0.00	0.00	0.00	
04/30/2021	0.60	0.60	0.00	0.00	0.00	0.00	
08/02/2021	1.25	1.25	0.00	0.00	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
11/01/2021	1.49	1.49	0.00	0.00	0.00	0.00	
Security Totals:	3.85	3.85	0.00	0.00	0.00	0.00	
SMITH & WESSON BRANDS INC COMMON STOCK CUSIP: 831754106 Symbol: SWBI							
09/28/2021	0.78	0.78	0.00	0.00	0.00	0.00	
DOMINION ENERGY INC COMMON STOCK CUSIP: 25746U109 Symbol: D							
03/22/2021	0.86	0.86	0.00	0.00	0.00	0.00	
06/21/2021	1.28	1.28	0.00	0.00	0.00	0.00	
Security Totals:	2.14	2.14	0.00	0.00	0.00	0.00	
***JAMES RIVER GROUP HOLDINGS LTD CUSIP: G5005R107 Symbol: JRVR							
03/31/2021	0.62	0.62	0.00	0.00	0.00	0.00	
06/30/2021	0.22	0.22	0.00	0.00	0.00	0.00	
09/30/2021	1.86	1.86	0.00	0.00	0.00	0.00	
Security Totals:	2.70	2.70	0.00	0.00	0.00	0.00	
KIMBERLY CLARK CORP CUSIP: 494368103 Symbol: KMB							
07/02/2021	21.96	21.96	0.00	0.00	0.00	0.00	
10/04/2021	23.68	23.68	0.00	0.00	0.00	0.00	
Security Totals:	45.64	45.64	0.00	0.00	0.00	0.00	
CHEMOURS COMPANY (THE) COM CUSIP: 163851108 Symbol: CC							
03/15/2021	0.88	0.88	0.00	0.00	0.00	0.00	
06/15/2021	1.02	1.02	0.00	0.00	0.00	0.00	
09/15/2021	1.65	1.65	0.00	0.00	0.00	0.00	
12/15/2021	2.06	2.06	0.00	0.00	0.00	0.00	
Security Totals:	5.61	5.61	0.00	0.00	0.00	0.00	
KRAFT HEINZ COMPANY (THE) COMMON STOCK CUSIP: 500754106 Symbol: KHC							
06/25/2021	1.44	1.44	0.00	0.00	0.00	0.00	
09/24/2021	19.04	19.04	0.00	0.00	0.00	0.00	
12/17/2021	19.04	19.04	0.00	0.00	0.00	0.00	
Security Totals:	39.52	39.52	0.00	0.00	0.00	0.00	
MONDELEZ INTERNATIONAL INC COM CUSIP: 609207105 Symbol: MDLZ							
07/14/2021	1.05	1.05	0.00	0.00	0.00	0.00	
10/14/2021	1.29	1.29	0.00	0.00	0.00	0.00	
Security Totals:	2.34	2.34	0.00	0.00	0.00	0.00	
COSTCO WHOLESALE CORP-NEW CUSIP: 22160K105 Symbol: COST							
02/19/2021	0.15	0.15	0.00	0.00	0.00	0.00	
05/14/2021	0.44	0.44	0.00	0.00	0.00	0.00	
08/13/2021	0.45	0.45	0.00	0.00	0.00	0.00	

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
COSTCO WHOLESALE CORP-NEW CUSIP: 22160K105 Symbol: COST							
11/12/2021	0.45	0.45	0.00	0.00	0.00	0.00	
Security Totals:	1.49	1.49	0.00	0.00	0.00	0.00	
***ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS CUSIP: 780259206 Symbol:							
03/29/2021	0.70	0.70	0.00	0.00	0.00	0.10	
06/21/2021	1.12	1.12	0.00	0.00	0.00	0.17	
09/20/2021	2.65	2.65	0.00	0.00	0.00	0.40	
12/20/2021	2.87	2.87	0.00	0.00	0.00	0.43	
Security Totals:	7.34	7.34	0.00	0.00	0.00	1.10	
QUAKER HOUGHTON COMMON STOCK CUSIP: 747316107 Symbol: KWR							
01/29/2021	0.11	0.11	0.00	0.00	0.00	0.00	
04/30/2021	0.18	0.18	0.00	0.00	0.00	0.00	
Security Totals:	0.29	0.29	0.00	0.00	0.00	0.00	
REALTY INCOME CORP CUSIP: 756109104 Symbol: O							
01/15/2021	0.09	0.00	0.18	0.08	0.00	0.00	
02/16/2021	0.19	0.00	0.42	0.19	0.00	0.00	
03/15/2021	0.19	0.00	0.42	0.19	0.00	0.00	
04/15/2021	0.19	0.00	0.42	0.19	0.00	0.00	
05/14/2021	0.19	0.00	0.42	0.19	0.00	0.00	
06/15/2021	0.19	0.00	0.42	0.19	0.00	0.00	
07/15/2021	0.23	0.00	0.49	0.23	0.00	0.00	
08/13/2021	0.25	0.00	0.52	0.24	0.00	0.00	
09/15/2021	0.25	0.00	0.52	0.24	0.00	0.00	
10/15/2021	0.25	0.00	0.52	0.24	0.00	0.00	
11/15/2021	2.70	0.00	5.86	2.70	0.00	0.00	
Security Totals:	4.72	0.00	10.19	4.68	0.00	0.00	
***LINDE PLC ORDINARY SHARE CUSIP: G5494J103 Symbol: LIN							
03/22/2021	0.38	0.38	0.00	0.00	0.00	0.00	
06/17/2021	0.56	0.56	0.00	0.00	0.00	0.00	
Security Totals:	0.94	0.94	0.00	0.00	0.00	0.00	
FEDEX CORP CUSIP: 31428X106 Symbol: FDX							
04/01/2021	0.24	0.24	0.00	0.00	0.00	0.00	
EXXON MOBIL CORP CUSIP: 30231G102 Symbol: XOM							
03/10/2021	1.53	1.53	0.00	0.00	0.00	0.00	
06/10/2021	1.93	1.93	0.00	0.00	0.00	0.00	
09/10/2021	3.18	3.18	0.00	0.00	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
EXXON MOBIL CORP CUSIP: 30231G102 Symbol: XOM							
12/10/2021	3.79	3.79	0.00	0.00	0.00	0.00	
Security Totals:	10.43	10.43	0.00	0.00	0.00	0.00	
ROLLINS INC CUSIP: 775711104 Symbol: ROL							
03/10/2021	0.30	0.30	0.00	0.00	0.00	0.00	
06/10/2021	2.15	2.15	0.00	0.00	0.00	0.00	
09/10/2021	2.86	2.86	0.00	0.00	0.00	0.00	
12/10/2021	5.97	5.97	0.00	0.00	0.00	0.00	
Security Totals:	11.28	11.28	0.00	0.00	0.00	0.00	
MCDONALDS CORP CUSIP: 580135101 Symbol: MCD							
03/15/2021	0.53	0.53	0.00	0.00	0.00	0.00	
06/15/2021	0.87	0.87	0.00	0.00	0.00	0.00	
09/15/2021	1.25	1.25	0.00	0.00	0.00	0.00	
Security Totals:	2.65	2.65	0.00	0.00	0.00	0.00	
MACERICH CO CUSIP: 554382101 Symbol: MAC							
03/03/2021	0.06	0.00	0.73	0.06	0.00	0.00	
06/03/2021	0.09	0.00	0.98	0.09	0.00	0.00	
09/08/2021	0.11	0.00	1.35	0.12	0.00	0.00	
12/03/2021	0.13	0.00	1.52	0.13	0.00	0.00	
Security Totals:	0.39	0.00	4.58	0.40	0.00	0.00	
GENERAL DYNAMICS CORP CUSIP: 369550108 Symbol: GD							
02/05/2021	0.56	0.56	0.00	0.00	0.00	0.00	
05/07/2021	0.70	0.70	0.00	0.00	0.00	0.00	
08/06/2021	1.32	1.32	0.00	0.00	0.00	0.00	
11/12/2021	1.43	1.43	0.00	0.00	0.00	0.00	
Security Totals:	4.01	4.01	0.00	0.00	0.00	0.00	
MICROSOFT CORP CUSIP: 594918104 Symbol: MSFT							
03/11/2021	0.54	0.54	0.00	0.00	0.00	0.00	
06/10/2021	0.77	0.77	0.00	0.00	0.00	0.00	
09/09/2021	1.21	1.21	0.00	0.00	0.00	0.00	
Security Totals:	2.52	2.52	0.00	0.00	0.00	0.00	
PROCTER & GAMBLE CO CUSIP: 742718109 Symbol: PG							
02/16/2021	0.44	0.44	0.00	0.00	0.00	0.00	
05/17/2021	1.06	1.06	0.00	0.00	0.00	0.00	
08/16/2021	1.44	1.44	0.00	0.00	0.00	0.00	
11/15/2021	1.44	1.44	0.00	0.00	0.00	0.00	
Security Totals:	4.38	4.38	0.00	0.00	0.00	0.00	

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
SOUTHERN CO CUSIP: 842587107 Symbol: SO							
03/08/2021	0.83	0.83	0.00	0.00	0.00	0.00	
06/07/2021	1.25	1.25	0.00	0.00	0.00	0.00	
09/07/2021	2.42	2.42	0.00	0.00	0.00	0.00	
12/06/2021	2.56	2.56	0.00	0.00	0.00	0.00	
Security Totals:	7.06	7.06	0.00	0.00	0.00	0.00	
BANK OF AMERICA CORP CUSIP: 060505104 Symbol: BAC							
03/26/2021	0.45	0.45	0.00	0.00	0.00	0.00	
06/25/2021	0.67	0.67	0.00	0.00	0.00	0.00	
09/24/2021	1.20	1.20	0.00	0.00	0.00	0.00	
Security Totals:	2.32	2.32	0.00	0.00	0.00	0.00	
PEPSICO INC COMMON STOCK CUSIP: 713448108 Symbol: PEP							
01/07/2021	0.42	0.42	0.00	0.00	0.00	0.00	
03/31/2021	0.71	0.71	0.00	0.00	0.00	0.00	
06/30/2021	1.16	1.16	0.00	0.00	0.00	0.00	
09/30/2021	1.62	1.62	0.00	0.00	0.00	0.00	
Security Totals:	3.91	3.91	0.00	0.00	0.00	0.00	
CHEVRON CORPORATION CUSIP: 166764100 Symbol: CVX							
03/10/2021	1.13	1.13	0.00	0.00	0.00	0.00	
06/10/2021	1.63	1.63	0.00	0.00	0.00	0.00	
09/10/2021	2.90	2.90	0.00	0.00	0.00	0.00	
12/10/2021	3.24	3.24	0.00	0.00	0.00	0.00	
Security Totals:	8.90	8.90	0.00	0.00	0.00	0.00	
MGP INGREDIENTS INC CUSIP: 55303J106 Symbol: MGPI							
03/26/2021	0.19	0.19	0.00	0.00	0.00	0.00	
06/04/2021	0.28	0.28	0.00	0.00	0.00	0.00	
09/03/2021	0.42	0.42	0.00	0.00	0.00	0.00	
Security Totals:	0.89	0.89	0.00	0.00	0.00	0.00	
COMCAST CORP CL A CUSIP: 20030N101 Symbol: CMCSA							
04/28/2021	0.46	0.46	0.00	0.00	0.00	0.00	
07/28/2021	3.39	3.39	0.00	0.00	0.00	0.00	
10/27/2021	3.39	3.39	0.00	0.00	0.00	0.00	
Security Totals:	7.24	7.24	0.00	0.00	0.00	0.00	
CATERPILLAR INC CUSIP: 149123101 Symbol: CAT							
02/19/2021	0.41	0.41	0.00	0.00	0.00	0.00	
05/20/2021	0.53	0.53	0.00	0.00	0.00	0.00	
Security Totals:	0.94	0.94	0.00	0.00	0.00	0.00	

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
DISCOVER FINANCIAL SERVICES CUSIP: 254709108 Symbol: DFS							
03/04/2021	0.39	0.39	0.00	0.00	0.00	0.00	
06/03/2021	0.58	0.58	0.00	0.00	0.00	0.00	
09/02/2021	0.95	0.95	0.00	0.00	0.00	0.00	
Security Totals:	1.92	1.92	0.00	0.00	0.00	0.00	
APPLE INC CUSIP: 037833100 Symbol: AAPL							
02/11/2021	0.22	0.22	0.00	0.00	0.00	0.00	
05/13/2021	0.23	0.23	0.00	0.00	0.00	0.00	
08/12/2021	0.58	0.58	0.00	0.00	0.00	0.00	
11/11/2021	0.58	0.58	0.00	0.00	0.00	0.00	
Security Totals:	1.61	1.61	0.00	0.00	0.00	0.00	
PUBLIC STORAGE CUSIP: 74460D109 Symbol: PSA							
03/31/2021	0.79	0.00	0.00	0.79	0.00	0.00	
06/30/2021	1.08	0.00	0.00	1.08	0.00	0.00	
09/30/2021	1.39	0.00	0.00	1.39	0.00	0.00	
Security Totals:	3.26	0.00	0.00	3.26	0.00	0.00	
MERCK & CO INC CUSIP: 58933Y105 Symbol: MRK							
07/07/2021	1.47	1.47	0.00	0.00	0.00	0.00	
10/07/2021	1.91	1.91	0.00	0.00	0.00	0.00	
Security Totals:	3.38	3.38	0.00	0.00	0.00	0.00	
CISCO SYSTEMS INC CUSIP: 17275R102 Symbol: CSCO							
01/20/2021	0.56	0.56	0.00	0.00	0.00	0.00	
UNITEDHEALTH GROUP INC CUSIP: 91324P102 Symbol: UNH							
03/23/2021	0.36	0.36	0.00	0.00	0.00	0.00	
MEDIFAST INC CUSIP: 58470H101 Symbol: MED							
02/05/2021	0.39	0.39	0.00	0.00	0.00	0.00	
05/06/2021	0.62	0.62	0.00	0.00	0.00	0.00	
08/06/2021	1.11	1.11	0.00	0.00	0.00	0.00	
11/08/2021	1.48	1.48	0.00	0.00	0.00	0.00	
Security Totals:	3.60	3.60	0.00	0.00	0.00	0.00	
TYSON FOODS INC-CL A CUSIP: 902494103 Symbol: TSN							
03/15/2021	0.56	0.56	0.00	0.00	0.00	0.00	
06/15/2021	1.57	1.57	0.00	0.00	0.00	0.00	
09/15/2021	6.87	6.87	0.00	0.00	0.00	0.00	
Security Totals:	9.00	9.00	0.00	0.00	0.00	0.00	
***TORONTO-DOMINION BANK CUSIP: 891160509 Symbol: TD							
02/01/2021	0.79	0.79	0.00	0.00	0.00	0.11	

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

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(continued)

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Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
***BRITISH AMERICAN TOBACCO PLC SPONSORED ADR CUSIP: 110448107 Symbol: BTI							
02/08/2021	1.17	1.17	0.00	0.00	0.00	0.00	
05/17/2021	2.05	2.05	0.00	0.00	0.00	0.00	
08/24/2021	11.92	11.92	0.00	0.00	0.00	0.00	
11/16/2021	72.79	72.79	0.00	0.00	0.00	0.00	
Security Totals:	87.93	87.93	0.00	0.00	0.00	0.00	
NIKE INC CLASS B COM CUSIP: 654106103 Symbol: NKE							
04/01/2021	0.18	0.18	0.00	0.00	0.00	0.00	
07/01/2021	0.32	0.32	0.00	0.00	0.00	0.00	
10/01/2021	0.44	0.44	0.00	0.00	0.00	0.00	
Security Totals:	0.94	0.94	0.00	0.00	0.00	0.00	
SYSCO CORP CUSIP: 871829107 Symbol: SYY							
07/23/2021	1.65	1.65	0.00	0.00	0.00	0.00	
10/22/2021	2.95	2.95	0.00	0.00	0.00	0.00	
Security Totals:	4.60	4.60	0.00	0.00	0.00	0.00	
***VALE S A SPONSORED ADR CUSIP: 91912E105 Symbol: VALE							
03/22/2021	3.26	3.26	0.00	0.00	0.00	0.00	
03/24/2021	0.79	0.79	0.00	0.00	0.00	0.12	
07/08/2021	4.23	4.23	0.00	0.00	0.00	0.00	
10/08/2021	19.38	19.38	0.00	0.00	0.00	0.00	
Security Totals:	27.66	27.66	0.00	0.00	0.00	0.12	
CARLISLE COMPANIES INC CUSIP: 142339100 Symbol: CSL							
03/01/2021	0.28	0.28	0.00	0.00	0.00	0.00	
06/01/2021	0.35	0.35	0.00	0.00	0.00	0.00	
09/01/2021	0.64	0.64	0.00	0.00	0.00	0.00	
Security Totals:	1.27	1.27	0.00	0.00	0.00	0.00	
PPL CORPORATION CUSIP: 69351T106 Symbol: PPL							
01/04/2021	0.90	0.90	0.00	0.00	0.00	0.00	
04/01/2021	1.47	1.47	0.00	0.00	0.00	0.00	
Security Totals:	2.37	2.37	0.00	0.00	0.00	0.00	
DOMINOS PIZZA INC CUSIP: 25754A201 Symbol: DPZ							
03/30/2021	0.28	0.28	0.00	0.00	0.00	0.00	
ALTRIA GROUP INC CUSIP: 02209S103 Symbol: MO							
10/12/2021	4.30	4.30	0.00	0.00	0.00	0.00	
PNC FINANCIAL SVCS GROUP INC CUSIP: 693475105 Symbol: PNC							
02/05/2021	0.56	0.56	0.00	0.00	0.00	0.00	

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

2021

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(continued)

03/15/2022

Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
PNC FINANCIAL SVCS GROUP INC CUSIP: 693475105 Symbol: PNC							
05/05/2021	0.71	0.71	0.00	0.00	0.00	0.00	
Security Totals:	1.27	1.27	0.00	0.00	0.00	0.00	
STORE CAPITAL CORPORATION COM CUSIP: 862121100 Symbol: STOR							
01/15/2021	1.05	0.00	0.21	1.05	0.00	0.00	
04/15/2021	1.05	0.00	0.21	1.05	0.00	0.00	
07/15/2021	1.72	0.00	0.33	1.72	0.00	0.00	
10/15/2021	1.99	0.00	0.39	2.00	0.00	0.00	
Security Totals:	5.81	0.00	1.14	5.82	0.00	0.00	
KROGER CO (THE) CUSIP: 501044101 Symbol: KR							
03/01/2021	0.47	0.47	0.00	0.00	0.00	0.00	
06/01/2021	12.56	12.56	0.00	0.00	0.00	0.00	
09/01/2021	18.86	18.86	0.00	0.00	0.00	0.00	
Security Totals:	31.89	31.89	0.00	0.00	0.00	0.00	
***CAPITAL PRODUCT PARTNERS LP COMMON UNITS CUSIP: Y11082206 Symbol: CPLP							
02/10/2021	0.90	0.90	0.00	0.00	0.00	0.00	
05/10/2021	0.01	0.01	0.00	0.00	0.00	0.00	
08/10/2021	1.90	1.90	0.00	0.00	0.00	0.00	
11/12/2021	2.00	2.00	0.00	0.00	0.00	0.00	
Security Totals:	4.81	4.81	0.00	0.00	0.00	0.00	
VICI PROPERTIES INC COMMON STOCK CUSIP: 925652109 Symbol: VICI							
10/07/2021	1.15	0.00	1.04	1.15	0.00	0.00	
***TOYOTA MOTOR CORPORATION ADS CUSIP: 892331307 Symbol: TM							
06/07/2021	1.62	1.62	0.00	0.00	0.00	0.16	
12/06/2021	5.86	5.86	0.00	0.00	0.00	0.59	
Security Totals:	7.48	7.48	0.00	0.00	0.00	0.75	
***ANHEUSER-BUSCH INBEV SA SPONSORED ADR CUSIP: 03524A108 Symbol: BUD							
06/03/2021	2.11	2.11	0.00	0.00	0.00	0.63	
PFIZER INC CUSIP: 717081103 Symbol: PFE							
03/05/2021	0.80	0.80	0.00	0.00	0.00	0.00	
06/04/2021	42.84	42.84	0.00	0.00	0.00	0.00	
09/07/2021	42.84	42.84	0.00	0.00	0.00	0.00	
12/06/2021	42.84	42.84	0.00	0.00	0.00	0.00	
Security Totals:	129.32	129.32	0.00	0.00	0.00	0.00	
***BARRICK GOLD CORP CUSIP: 067901108 Symbol: GOLD							
03/15/2021	0.92	0.92	0.00	0.00	0.00	0.14	
06/15/2021	1.30	1.30	0.00	0.00	0.00	0.20	

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

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(continued)

03/15/2022

Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
***BARRICK GOLD CORP CUSIP: 067901108 Symbol: GOLD							
06/16/2021	0.00	0.00	2.03	0.00	0.00	0.00	
09/15/2021	3.23	3.23	5.04	0.00	0.00	0.48	
12/15/2021	4.12	4.12	6.44	0.00	0.00	0.62	
Security Totals:	9.57	9.57	13.51	0.00	0.00	1.44	
***ALGONQUIN POWER & UTILITIES CORP CUSIP: 015857105 Symbol: AQN							
01/15/2021	0.65	0.65	0.00	0.00	0.00	0.10	
04/15/2021	1.04	1.04	0.00	0.00	0.00	0.16	
07/15/2021	0.10	0.10	0.00	0.00	0.00	0.53	
Security Totals:	1.79	1.79	0.00	0.00	0.00	0.79	
COLGATE PALMOLIVE COMPANY CUSIP: 194162103 Symbol: CL							
08/16/2021	1.24	1.24	0.00	0.00	0.00	0.00	
11/15/2021	1.36	1.36	0.00	0.00	0.00	0.00	
Security Totals:	2.60	2.60	0.00	0.00	0.00	0.00	
***TAIWAN SEMICONDUCTOR MFG CO LTD- SPONSORED ADR REPSTG 5 COM CUSIP: 874039100 Symbol: TSM							
07/15/2021	0.71	0.71	0.00	0.00	0.00	0.15	
10/14/2021	1.04	1.04	0.00	0.00	0.00	0.22	
Security Totals:	1.75	1.75	0.00	0.00	0.00	0.37	
CIGNA CORPORATION COMMON STOCK CUSIP: 125523100 Symbol: CI							
03/25/2021	0.44	0.44	0.00	0.00	0.00	0.00	
06/23/2021	0.60	0.60	0.00	0.00	0.00	0.00	
09/23/2021	1.12	1.12	0.00	0.00	0.00	0.00	
Security Totals:	2.16	2.16	0.00	0.00	0.00	0.00	
JOHNSON & JOHNSON CUSIP: 478160104 Symbol: JNJ							
03/09/2021	0.49	0.49	0.00	0.00	0.00	0.00	
06/08/2021	0.98	0.98	0.00	0.00	0.00	0.00	
09/07/2021	1.42	1.42	0.00	0.00	0.00	0.00	
Security Totals:	2.89	2.89	0.00	0.00	0.00	0.00	
SIMON PROPERTY GROUP INC CUSIP: 828806109 Symbol: SPG							
04/23/2021	1.97	0.01	0.00	1.96	0.00	0.00	
07/23/2021	2.70	0.01	0.00	2.69	0.00	0.00	
09/30/2021	2.90	0.01	0.00	2.88	0.00	0.00	
Security Totals:	7.57	0.03	0.00	7.53	0.00	0.00	
***GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL) CUSIP: 37733W105 Symbol: GSK							
07/08/2021	3.04	3.04	0.00	0.00	0.00	0.00	
10/07/2021	2.99	2.99	0.00	0.00	0.00	0.00	
Security Totals:	6.03	6.03	0.00	0.00	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

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(continued)

03/15/2022

Description CUSIP Symbol	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
Date							
EMERSON ELECTRIC CO CUSIP: 291011104 Symbol: EMR							
03/10/2021	0.47	0.47	0.00	0.00	0.00	0.00	
06/10/2021	0.69	0.69	0.00	0.00	0.00	0.00	
09/10/2021	1.19	1.19	0.00	0.00	0.00	0.00	
12/10/2021	1.31	1.31	0.00	0.00	0.00	0.00	
Security Totals:	3.66	3.66	0.00	0.00	0.00	0.00	
AMGEN INC CUSIP: 031162100 Symbol: AMGN							
03/08/2021	0.58	0.58	0.00	0.00	0.00	0.00	
CHARLES SCHWAB CORP NEW CUSIP: 808513105 Symbol: SCHW							
02/26/2021	0.26	0.26	0.00	0.00	0.00	0.00	
05/28/2021	0.33	0.33	0.00	0.00	0.00	0.00	
08/27/2021	0.57	0.57	0.00	0.00	0.00	0.00	
11/26/2021	0.04	0.04	0.00	0.00	0.00	0.00	
Security Totals:	1.20	1.20	0.00	0.00	0.00	0.00	
YUM BRANDS INC CUSIP: 988498101 Symbol: YUM							
03/12/2021	0.37	0.37	0.00	0.00	0.00	0.00	
06/11/2021	0.95	0.95	0.00	0.00	0.00	0.00	
09/10/2021	0.97	0.97	0.00	0.00	0.00	0.00	
Security Totals:	2.29	2.29	0.00	0.00	0.00	0.00	
STARBUCKS CORP CUSIP: 855244109 Symbol: SBUX							
03/05/2021	0.35	0.35	0.00	0.00	0.00	0.00	
05/28/2021	1.07	1.07	0.00	0.00	0.00	0.00	
08/27/2021	1.07	1.07	0.00	0.00	0.00	0.00	
11/26/2021	1.17	1.17	0.00	0.00	0.00	0.00	
Security Totals:	3.66	3.66	0.00	0.00	0.00	0.00	
CAMPBELL SOUP CO CUSIP: 134429109 Symbol: CPB							
08/02/2021	1.83	1.83	0.00	0.00	0.00	0.00	
11/01/2021	13.75	13.75	0.00	0.00	0.00	0.00	
Security Totals:	15.58	15.58	0.00	0.00	0.00	0.00	
VISA INC CL A COMMON STOCK CUSIP: 92826C839 Symbol: V							
03/01/2021	0.12	0.12	0.00	0.00	0.00	0.00	
06/01/2021	0.17	0.17	0.00	0.00	0.00	0.00	
Security Totals:	0.29	0.29	0.00	0.00	0.00	0.00	
***BP P L C SPONSORED ADR (FRM BP AMOCO PLC) CUSIP: 055622104 Symbol: BP							
03/26/2021	1.73	1.73	0.00	0.00	0.00	0.00	
06/18/2021	15.77	15.77	0.00	0.00	0.00	0.00	
09/24/2021	23.30	23.30	0.00	0.00	0.00	0.00	

APEX CLEARING

Dividends and Distributions - Details of Form 1099-DIV

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Description CUSIP Symbol							
Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax Paid (Box 7)	Foreign Country or US Possession (Box 8)
***BP P L C SPONSORED ADR (FRM BP AMOCO PLC) CUSIP: 055622104 Symbol: BP							
12/17/2021	23.21	23.21	0.00	0.00	0.00	0.00	
Security Totals:	64.01	64.01	0.00	0.00	0.00	0.00	
Totals:	963.34	867.23	65.38	46.62	0.00	9.13	

Capital Gains Dividends

Description CUSIP Symbol					
Date	Total Capital Gains Distributions (Box 2a)	Unrecaptured Section 1250 Gain (Box 2b)	Section 1202 Gain (Box 2c)	Collectibles (28%) Gain (Box 2d)	
SIMON PROPERTY GROUP INC CUSIP: 828806109 Symbol: SPG					
04/23/2021	0.15	0.04	0.00	0.00	
07/23/2021	0.20	0.05	0.00	0.00	
09/30/2021	0.21	0.06	0.00	0.00	
Security Totals:	0.56	0.15	0.00	0.00	
OMEGA HEALTHCARE INVESTORS INC CUSIP: 681936100 Symbol: OHI					
11/15/2021	3.31	0.00	0.00	0.00	
REALTY INCOME CORP CUSIP: 756109104 Symbol: O					
02/16/2021	0.01	0.01	0.00	0.00	
03/15/2021	0.01	0.01	0.00	0.00	
04/15/2021	0.01	0.01	0.00	0.00	
05/14/2021	0.01	0.01	0.00	0.00	
06/15/2021	0.01	0.01	0.00	0.00	
07/15/2021	0.01	0.01	0.00	0.00	
08/13/2021	0.01	0.01	0.00	0.00	
09/15/2021	0.01	0.01	0.00	0.00	
10/15/2021	0.01	0.01	0.00	0.00	
11/15/2021	0.15	0.12	0.00	0.00	
Security Totals:	0.24	0.21	0.00	0.00	
STARWOOD PROPERTY TRUST INC CUSIP: 85571B105 Symbol: STWD					
01/15/2021	0.76	0.06	0.00	0.00	
04/15/2021	0.93	0.07	0.00	0.00	
07/15/2021	1.80	0.14	0.00	0.00	
10/15/2021	2.01	0.15	0.00	0.00	
Security Totals:	5.50	0.42	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

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Capital Gains Dividends

Description CUSIP Symbol					
Date	Total Capital Gains Distributions (Box 2a)	Unrecaptured Section 1250 Gain (Box 2b)	Section 1202 Gain (Box 2c)	Collectibles (28%) Gain (Box 2d)	
STORE CAPITAL CORPORATION COM CUSIP: 862121100 Symbol: STOR					
01/15/2021	0.07	0.06	0.00	0.00	
04/15/2021	0.07	0.06	0.00	0.00	
07/15/2021	0.12	0.10	0.00	0.00	
10/15/2021	0.14	0.11	0.00	0.00	
Security Totals:	0.40	0.33	0.00	0.00	
PUBLIC STORAGE CUSIP: 74460D109 Symbol: PSA					
03/31/2021	0.05	0.00	0.00	0.00	
06/30/2021	0.06	0.00	0.00	0.00	
09/30/2021	0.08	0.00	0.00	0.00	
Security Totals:	0.19	0.00	0.00	0.00	
MACERICH CO CUSIP: 554382101 Symbol: MAC					
03/03/2021	0.26	0.26	0.00	0.00	
06/03/2021	0.35	0.35	0.00	0.00	
09/08/2021	0.49	0.49	0.00	0.00	
12/03/2021	0.55	0.55	0.00	0.00	
Security Totals:	1.65	1.65	0.00	0.00	
Totals:	11.85	2.76	0.00	0.00	

APEX CLEARING

Miscellaneous Information - Details of Form 1099-MISC

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This section of the information statement provides payment-level details for royalty distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description CUSIP Symbol			Substitute Payments in Lieu of Dividends or Interest (Box 8)
Date	Royalties(Box 2)	Other Income(Box 3)	
***ALGONQUIN POWER & UTILITIES CORP CUSIP: 015857105 Symbol: AQN			
07/15/2021	0.00	0.00	3.41
CHARLES SCHWAB CORP NEW CUSIP: 808513105 Symbol: SCHW			
11/26/2021	0.00	0.00	0.54
CONOCOPHILLIPS COMMON STOCK CUSIP: 20825C104 Symbol: COP			
12/01/2021	0.00	0.00	1.84
***JAMES RIVER GROUP HOLDINGS LTD CUSIP: G5005R107 Symbol: JRVR			
06/30/2021	0.00	0.00	1.20
NEWTEK BUSINESS SERVICES CORP COM CUSIP: 652526203 Symbol: NEWT			
06/30/2021	0.00	0.00	2.80
09/30/2021	0.00	0.00	7.20
Security			
Totals: 0.00 0.00 10.00			
***CAPITAL PRODUCT PARTNERS LP COMMON UNITS CUSIP: Y11082206 Symbol: CPLP			
05/10/2021	0.00	0.00	1.00
TYSON FOODS INC-CL A CUSIP: 902494103 Symbol: TSN			
09/15/2021	0.00	0.00	3.56
Totals: 0.00 0.00 21.55			

APEX CLEARING

**Widely Held Mortgage Trusts &
Other Widely Held Fixed Investment Trusts**

2021

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03/15/2022

The following information is provided pursuant to Treasury regulation section 1.671-5 and sets forth additional details for gross income and expenses regarding your investment in Widely Held Mortgage Trusts (WHMTs) and, where applicable, other Non-Mortgage Widely Held Fixed Investment Trusts (NMWHFITs).

For interest income items, interest income has been reported on Form 1099 for interest. The income adjustment column below shows adjustments for bond premium (negative amounts) or market discount (positive amounts). These items are not separately reported to the IRS. The remaining income adjustment column shows the portion of bond premium (negative income) or market discount (positive amounts) that is unamortized as of the beginning of the period.

Where applicable, OID attributable to a WHFIT investment is reported on Form 1099-OID, and non-pro rata partial principal payments, trust sales proceeds, redemption asset proceeds, redemption proceeds, the sales asset proceeds, and the sales proceeds that are attributable to a WHFIT trust interest holder for the calendar year are included on Form 1099-B. Additional information with respect to such investments is provided below or in the broker proceeds details section where required by Treasury Regulations.

Information for royalty and commodity trusts may be obtained by the issuers' websites listed at the end of this statement.

SPDR GOLD TR GOLD SHS | CUSIP: 78463V107 | Security Type: Collectible

Trade Date	Payment or Settlement Date	Quantity	Principal Balance	Principal Paid or Proceeds	Gross Interest	Investment Expense	Market Discount Fraction	Income Adjustment	Remaining Income Adjustment
01/11/2021		0	0.00	0.03	0.00	0.03			
02/05/2021		0	0.00	0.03	0.00	0.03			
03/03/2021		0	0.00	0.03	0.00	0.03			
04/07/2021		0	0.00	0.04	0.00	0.04			
05/05/2021		0	0.00	0.04	0.00	0.04			
06/04/2021		0	0.00	0.05	0.00	0.05			
07/06/2021		0	0.00	0.08	0.00	0.08			
08/05/2021		0	0.00	0.08	0.00	0.08			
Totals:				0.38	0.00	0.38			

APEX CLEARING

Supplemental Information

2021

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The supplemental information provided below contains additional details that may assist in your tax return preparation.

Partnership Distributions**Description | CUSIP | Symbol**

Date	Amount
ENERGY TRANSFER LP COMMON UNITS REPRESENTING LIMITED PARTNER INTERESTS CUSIP: 29273V100 Symbol: ET	
02/19/2021	1.81
05/19/2021	2.29
Security Total:	4.10
***BROOKFIELD RENEWABLE PARTNERS L P CUSIP: G16258108 Symbol: BEP	
06/30/2021	2.23
09/29/2021	3.85
12/31/2021	15.14
Security Total:	21.22
ENTERPRISE PRODUCTS PARTNERS LP CUSIP: 293792107 Symbol: EPD	
02/11/2021	1.65
05/12/2021	2.38
08/12/2021	4.15
11/12/2021	14.46
Security Total:	22.64
Total:	47.96

Fees & Expenses**Description**

Date	Amount
Margin Interest Expense	
08/03/2021	3.09
09/02/2021	7.15
10/05/2021	25.70
11/03/2021	29.89
12/03/2021	11.30
Total:	77.13
ADR Fees	
01/14/2021	0.09
03/26/2021	0.02
05/11/2021	0.27
05/17/2021	0.01
06/03/2021	0.21
06/07/2021	0.01
06/18/2021	0.25

APEX CLEARING

Supplemental Information

2021

5MA75540

(continued)

03/15/2022

Fees & Expenses**Description**

Date	Amount
ADR Fees	
07/08/2021	0.04
08/24/2021	0.08
09/24/2021	0.35
10/07/2021	0.04
11/16/2021	0.50
12/06/2021	0.06
12/17/2021	0.35
Total:	2.28
Totals:	79.41

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 or 1040-SR.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States.

See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 or 104-SR.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251.

Boxes 13-15. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040)(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID

obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest

and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that

accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to

amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has

reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security

acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12–14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.

