

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 903110401</b>	Statement Date: 02/11/2022 Document ID: 887X XA1 2QX2	2021
	Jahnvi Chamarthi 1613 Marsh Trail Cir NE Sandy Springs, GA 30328  RECIPIENT'S TIN: XXX-XX-4335		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      98.94</p> <p>1b- Qualified dividends      57.94</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.60</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      13.26</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      2.42</p> <p>7- Foreign tax paid:      2.42</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
--	--

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	362,728.25	384,589.85	0.00	17,428.87	-4,432.73
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	41.91	38.93	0.00	0.00	2.98
	<b>Total Short-term</b>	<b>362,770.16</b>	<b>384,628.78</b>	<b>0.00</b>	<b>17,428.87</b>	<b>-4,429.75</b>
Long	D (basis reported to the IRS)	1,036.21	1,019.58	0.00	114.46	131.09
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>1,036.21</b>	<b>1,019.58</b>	<b>0.00</b>	<b>114.46</b>	<b>131.09</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>363,806.37</b>	<b>385,648.36</b>	<b>0.00</b>	<b>17,543.33</b>	<b>-4,298.66</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 903110401

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 03/18/2022 CALL \$55.00 / CUSIP: / Symbol:							
11/11/21	1.000	464.98	11/02/21	315.00	...	149.98	Option sale to close-call <sup>25</sup>
12/16/21	1.000	559.98	11/02/21	315.00	...	244.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,024.96</b>		<b>630.00</b>	...	<b>394.96</b>	
AAL 12/31/2021 PUT \$16.50 / CUSIP: / Symbol:							
12/02/21	1.000	145.98	12/01/21	136.00	...	9.98	Option sale to close-put <sup>25</sup>
AAPL 03/18/2022 CALL \$200.00 / CUSIP: / Symbol:							
12/08/21	1.000	329.98	12/07/21	258.00	...	71.98	Option sale to close-call <sup>25</sup>
AAPL 09/24/2021 PUT \$149.00 / CUSIP: / Symbol:							
09/20/21	1.000	545.98	09/14/21	321.00	...	224.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/10/2021 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	121.98	12/07/21	122.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	124.98	12/07/21	120.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/21	2.000	246.96	Various	242.00	...	4.96	Total of 2 transactions
AAPL 12/17/2021 CALL \$175.00 / CUSIP: / Symbol:							
12/09/21	1.000	349.98	12/09/21	325.00	...	24.98	Option sale to close-call <sup>25</sup>
AAPL 12/23/2021 CALL \$175.00 / CUSIP: / Symbol:							
12/08/21	1.000	289.98	12/08/21	288.00	...	1.98	Option sale to close-call <sup>25</sup>
AAPL 12/23/2021 CALL \$185.00 / CUSIP: / Symbol:							
12/13/21	1.000	247.98	12/13/21	222.00	...	25.98	Option sale to close-call <sup>25</sup>
ABNB 11/05/2021 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	399.98	11/01/21	320.00	...	79.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	11/01/21	320.00	...	79.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	2.000	799.96	Various	640.00	...	159.96	Total of 2 transactions
ACB 12/03/2021 CALL \$9.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/15/21	70.00	...	-70.00	Option expiration- long call
ADBE 12/17/2021 CALL \$650.00 / CUSIP: / Symbol:							
12/15/21	1.000	-155.02	12/14/21	0.00	...	-155.02	Short sale closed- call <sup>25</sup> Option written: 12/14/21
ADBE 12/17/2021 CALL \$655.00 / CUSIP: / Symbol:							
12/14/21	1.000	759.98	12/14/21	640.00	...	119.98	Option sale to close-call <sup>25</sup>
AFRM 10/29/2021 CALL \$170.00 / CUSIP: / Symbol:							
10/26/21	1.000	169.98	10/26/21	162.00	...	7.98	Option sale to close-call <sup>25</sup>
4 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	1.000	164.99	10/26/21	155.02	...	9.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	164.99	10/26/21	140.00	...	24.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	174.99	10/26/21	110.00	...	64.99	3 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 10/29/2021 CALL \$170.00 / CUSIP: / Symbol: (cont'd)							
	1.000	174.99	10/26/21	45.00	...	129.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/27/21	4.000	679.96	Various	450.02	...	229.94	Total of 4 transactions
10/27/21	1.000	159.98	10/26/21	160.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,009.92</b>		<b>772.02</b>	<b>0.02 W</b>	<b>237.92</b>	
AFRM 11/05/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/01/21	1.000	399.98	11/01/21	369.00	...	30.98	Option sale to close-call <sup>25</sup>
	4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	2.000	619.99	11/02/21	590.00	...	29.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	310.00	11/02/21	275.00	...	35.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	310.00	11/02/21	265.00	...	45.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	309.99	11/02/21	230.00	...	79.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	1,549.98	Various	1,360.00	...	189.98	Total of 4 transactions
	<b>Security total:</b>	<b>1,949.96</b>		<b>1,729.00</b>	...	<b>220.96</b>	
AGC 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
	2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.						
	1.000	234.99	11/09/21	212.00	...	22.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	234.99	11/09/21	212.00	...	22.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	469.98	Various	424.00	...	45.98	Total of 2 transactions
11/11/21	1.000	89.98	11/09/21	200.00	...	-110.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>559.96</b>		<b>624.00</b>	...	<b>-64.04</b>	
ALZN 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/05/21	1.000	49.98	11/05/21	50.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMC 11/12/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/03/21	1.000	429.98	11/02/21	248.00	...	181.98	Option sale to close-call <sup>25</sup>
AMC 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/03/21	1.000	436.98	11/03/21	320.00	...	116.98	Option sale to close-call <sup>25</sup>
	2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	1.000	339.98	11/03/21	335.02	...	4.96	1 of 2 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 11/12/2021 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
	1.000	314.98	11/04/21	185.00	...	129.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	654.96	Various	520.02	...	134.94	Total of 2 transactions
11/08/21	1.000	304.98	11/03/21	320.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,396.92</b>		<b>1,160.02</b>	<b>15.02 W</b>	<b>251.92</b>	
AMD 10/15/2021 CALL \$105.00 / CUSIP: / Symbol:							
10/07/21	1.000	221.98	09/27/21	480.00	...	-258.02	Option sale to close-call <sup>25</sup>
AMD 10/22/2021 CALL \$85.00 / CUSIP: / Symbol:							
10/07/21	1.000	2,024.97	09/29/21	1,650.00	...	374.97	Option sale to close-call <sup>25</sup>
AMD 10/22/2021 PUT \$120.00 / CUSIP: / Symbol:							
10/20/21	1.000	379.98	10/08/21	1,475.00	...	-1,095.02	Option sale to close-put <sup>25</sup>
AMD 11/05/2021 CALL \$125.00 / CUSIP: / Symbol:							
11/01/21	1.000	149.98	11/01/21	98.02	...	51.96	Option sale to close-call <sup>25</sup>
11/01/21	1.000	132.98	10/29/21	142.00	9.02 W	0.00	Option sale to close-call <sup>25</sup>
11/02/21	1.000	359.98	11/01/21	88.00	...	271.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>642.94</b>		<b>328.02</b>	<b>9.02 W</b>	<b>323.94</b>	
AMD 11/05/2021 CALL \$127.00 / CUSIP: / Symbol:							
10/28/21	1.000	149.98	10/28/21	139.00	...	10.98	Option sale to close-call <sup>25</sup>
AMD 11/12/2021 CALL \$128.00 / CUSIP: / Symbol:							
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	1.000	399.98	11/02/21	320.00	...	79.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	419.98	11/02/21	320.00	...	99.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	2.000	819.96	Various	640.00	...	179.96	Total of 2 transactions
AMD 11/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
11/02/21	1.000	915.98	10/26/21	795.00	...	120.98	Option sale to close-call <sup>25</sup>
AMD 11/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
11/03/21	2.000	13.98	11/02/21	0.00	...	13.98	Short sale closed- call <sup>25</sup> Option written: 10/26/21

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 11/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/02/21	1.000	113.98	10/26/21	154.00	...	-40.02	Option sale to close-call <sup>25</sup>
AMD 11/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
11/09/21	1.000	314.98	11/09/21	433.00	...	-118.02	Option sale to close-call <sup>25</sup>
AMD 11/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/08/21	1.000	247.98	11/08/21	226.00	...	21.98	Option sale to close-call <sup>25</sup>
AMD 12/10/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/09/21	1.000	30.98	12/08/21	123.00	...	-92.02	Option sale to close-call <sup>25</sup>
AMD 12/17/2021 CALL \$145.00 / CUSIP: / Symbol:							
12/07/21	1.000	469.98	12/06/21	374.00	...	95.98	Option sale to close-call <sup>25</sup>
ANY 11/05/2021 CALL \$6.50 / CUSIP: / Symbol:							
<i>3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	59.99	10/28/21	57.00	...	2.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	59.99	10/28/21	56.00	...	3.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	119.98	10/28/21	114.00	...	5.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	4.000	239.96	Various	227.00	...	12.96	Total of 3 transactions
APRN 12/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
11/19/21	5.000	424.98	11/16/21	375.00	...	49.98	Option sale to close-call <sup>25</sup>
ARRY 11/19/2021 CALL \$22.50 / CUSIP: / Symbol:							
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	179.99	11/01/21	175.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	359.99	11/01/21	350.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	3.000	539.98	Various	525.00	...	14.98	Total of 2 transactions
ASAN 01/21/2022 CALL \$80.00 / CUSIP: / Symbol:							
12/20/21	1.000	789.98	12/16/21	360.00	...	429.98	Option sale to close-call <sup>25</sup>
ASO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
12/10/21	1.000	199.98	12/10/21	192.00	...	7.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ATER 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/09/21	3.000	269.98	11/09/21	225.00	...	44.98	Option sale to close-call <sup>25</sup>
11/10/21	2.000	79.98	11/09/21	150.00	...	-70.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>349.96</b>		<b>375.00</b>	...	<b>-25.04</b>	
ATVI 01/21/2022 CALL \$70.00 / CUSIP: / Symbol:							
11/15/21	1.000	384.98	11/11/21	221.00	...	163.98	Option sale to close-call <sup>25</sup>
ATVI 11/19/2021 PUT \$70.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	499.98	11/02/21	58.00	...	441.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	514.98	11/02/21	58.00	...	456.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/03/21	2.000	1,014.96	Various	116.00	...	898.96	Total of 2 transactions
BA 01/21/2022 CALL \$250.00 / CUSIP: / Symbol:							
11/15/21	1.000	709.98	11/12/21	450.00	...	259.98	Option sale to close-call <sup>25</sup>
BA 11/12/2021 CALL \$225.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	229.98	11/05/21	204.00	...	25.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	369.98	11/05/21	204.00	...	165.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	599.96	Various	408.00	...	191.96	Total of 2 transactions
BA 11/26/2021 CALL \$232.50 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/15/21	530.00	...	-530.00	Option expiration- long call
BABA 12/10/2021 PUT \$120.00 / CUSIP: / Symbol:							
12/08/21	1.000	177.98	12/07/21	139.00	...	38.98	Option sale to close-put <sup>25</sup>
BABA 12/17/2021 CALL \$180.00 / CUSIP: / Symbol:							
12/09/21	1.000	1.98	11/10/21	485.00	...	-483.02	Option sale to close-call <sup>25</sup>
BBBY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
<i>3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	112.98	11/01/21	92.00	...	20.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	145.98	11/01/21	92.00	...	53.98	2 of 3 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	145.98	11/01/21	92.00	...	53.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	3.000	404.94	Various	276.00	...	128.94	Total of 3 transactions
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	1.000	589.98	11/01/21	92.00	...	497.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	604.98	11/01/21	92.00	...	512.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	2.000	1,194.96	Various	184.00	...	1,010.96	Total of 2 transactions
	<b>Security total:</b>	<b>1,599.90</b>		<b>460.00</b>	...	<b>1,139.90</b>	
BBBY 11/19/2021 CALL \$23.00 / CUSIP: / Symbol:							
11/16/21	1.000	78.98	11/16/21	77.00	...	1.98	Option sale to close-call <sup>25</sup>
BBBY 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.						
	2.000	71.99	11/05/21	302.00	...	-230.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	35.99	11/05/21	151.00	...	-115.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/10/21	3.000	107.98	Various	453.00	...	-345.02	Total of 2 transactions
BBIG 11/05/2021 CALL \$6.00 / CUSIP: / Symbol:							
	14 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	11/01/21	75.00	...	-75.00	1 of 14 - Option expiration- long call
	2.000	0.00	11/01/21	24.00	...	-24.00	2 of 14 - Option expiration- long call
	5.000	0.00	11/02/21	25.00	...	-25.00	3 of 14 - Option expiration- long call
	5.000	0.00	11/02/21	25.00	...	-25.00	4 of 14 - Option expiration- long call
	4.000	0.00	11/02/21	20.00	...	-20.00	5 of 14 - Option expiration- long call
	3.000	0.00	11/02/21	15.00	...	-15.00	6 of 14 - Option expiration- long call
	3.000	0.00	11/02/21	15.00	...	-15.00	7 of 14 - Option expiration- long call
	2.000	0.00	11/02/21	10.00	...	-10.00	8 of 14 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBIG 11/05/2021 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	11/02/21	10.00	...	-10.00	9 of 14 - Option expiration- long call
	2.000	0.00	11/02/21	10.00	...	-10.00	10 of 14 - Option expiration- long call
	1.000	0.00	11/02/21	5.00	...	-5.00	11 of 14 - Option expiration- long call
	1.000	0.00	11/02/21	5.00	...	-5.00	12 of 14 - Option expiration- long call
	1.000	0.00	11/02/21	5.00	...	-5.00	13 of 14 - Option expiration- long call
	1.000	0.00	11/02/21	5.00	...	-5.00	14 of 14 - Option expiration- long call
11/05/21	37.000	0.00	Various	249.00	...	-249.00	Total of 14 transactions
BBIG 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11/09/21	9.000	206.97	11/09/21	171.00	...	35.97	Option sale to close-call <sup>25</sup>
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	3.000	59.98	11/09/21	72.00	...	-12.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.00	11/09/21	19.00	...	1.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	4.000	79.98	Various	91.00	...	-11.02	Total of 2 transactions
	<b>Security total:</b>	<b>286.95</b>		<b>262.00</b>	...	<b>24.95</b>	
BBIG 11/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
6 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	19.99	10/28/21	80.00	...	-60.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	10.00	10/28/21	40.00	...	-30.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	10.00	10/28/21	39.00	...	-29.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	19.99	11/02/21	52.00	...	-32.01	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	20.00	11/02/21	52.00	...	-32.00	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	19.99	11/02/21	50.00	...	-30.01	6 of 6 - Option sale to close-call <sup>25</sup>
11/10/21	10.000	99.97	Various	313.00	...	-213.03	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BGFV 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	199.98	11/01/21	90.00	...	109.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/01/21	90.00	...	109.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	399.96	Various	180.00	...	219.96	Total of 2 transactions
BIGC 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	529.98	11/04/21	177.00	...	352.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,059.98	11/04/21	346.00	...	713.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	1,589.96	Various	523.00	...	1,066.96	Total of 2 transactions
BITO 12/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/09/21	2.000	5.98	11/17/21	370.00	...	-364.02	Option sale to close-call <sup>25</sup>
BLDE 02/18/2022 CALL \$12.50 / CUSIP: / Symbol:							
11/08/21	1.000	119.98	11/05/21	75.00	...	44.98	Option sale to close-call <sup>25</sup>
BLNK 10/29/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/20/21	1.000	45.98	10/19/21	98.00	...	-52.02	Option sale to close-call <sup>25</sup>
BYND 11/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
11/12/21	1.000	3.98	11/04/21	240.00	...	-236.02	Option sale to close-call <sup>25</sup>
C 11/19/2021 CALL \$72.00 / CUSIP: / Symbol:							
11 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	53.00	10/28/21	130.02	...	-77.02	1 of 11 - Option sale to close-call <sup>25</sup>
	4.000	211.99	11/01/21	228.00	...	-16.01	2 of 11 - Option sale to close-call <sup>25</sup>
	2.000	105.99	11/01/21	112.00	...	-6.01	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/01/21	58.00	...	-5.00	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/01/21	57.00	...	-4.00	5 of 11 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
C 11/19/2021 CALL \$72.00 / CUSIP: / Symbol: (cont'd)							
	1.000	53.00	11/02/21	44.00	...	9.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/02/21	42.00	...	11.00	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/02/21	42.00	...	11.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/02/21	41.00	...	12.00	9 of 11 - Option sale to close-call <sup>25</sup>
	2.000	105.99	11/02/21	82.00	...	23.99	10 of 11 - Option sale to close-call <sup>25</sup>
	5.000	264.98	11/02/21	210.00	...	54.98	11 of 11 - Option sale to close-call <sup>25</sup>
11/03/21	20.000	1,059.95	Various	1,046.02	...	13.93	Total of 11 transactions
11/03/21	1.000	52.98	10/28/21	91.00	38.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,112.93</b>		<b>1,137.02</b>	<b>38.02 W</b>	<b>13.93</b>	
CEI 11/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	29.99	11/17/21	25.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.99	11/17/21	25.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	59.98	Various	50.00	...	9.98	Total of 2 transactions
11/26/21	1.000	0.00	11/17/21	25.00	...	-25.00	Option expiration- long call
	<b>Security total:</b>	<b>59.98</b>		<b>75.00</b>	...	<b>-15.02</b>	
CHK 11/19/2021 PUT \$65.00 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	104.98	11/09/21	95.00	...	9.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	209.98	11/09/21	190.00	...	19.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/10/21	3.000	314.96	Various	285.00	...	29.96	Total of 2 transactions
CHPT 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/09/21	3.000	146.98	11/08/21	360.00	...	-213.02	Option sale to close-call <sup>25</sup>
CHPT 12/17/2021 CALL \$22.50 / CUSIP: / Symbol:							
12/09/21	1.000	65.98	12/09/21	91.00	...	-25.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHWY 01/21/2022 CALL \$65.00 / CUSIP: / Symbol:							
12/08/21	1.000	406.98	12/08/21	400.00	...	6.98	Option sale to close-call <sup>25</sup>
CHWY 11/12/2021 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	61.98	11/09/21	75.00	...	-13.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	84.98	11/09/21	75.00	...	9.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	254.98	11/09/21	231.00	...	23.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	5.000	401.94	Various	381.00	...	20.94	Total of 3 transactions
CLNE 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/03/21	2.000	109.98	10/29/21	100.00	...	9.98	Option sale to close-call <sup>25</sup>
CLOV 11/05/2021 CALL \$8.00 / CUSIP: / Symbol:							
11/01/21	5.000	79.98	11/01/21	70.00	...	9.98	Option sale to close-call <sup>25</sup>
5 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	20.00	11/01/21	28.00	...	-8.00	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	19.99	11/02/21	22.02	...	-2.03	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.00	11/02/21	11.02	...	-1.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	19.99	11/02/21	14.00	...	5.99	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	49.99	11/02/21	35.00	...	14.99	5 of 5 - Option sale to close-call <sup>25</sup>
11/03/21	12.000	119.97	Various	110.04	...	9.93	Total of 5 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	9.98	11/01/21	14.00	4.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.98	11/01/21	28.00	8.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	3.000	29.96	Various	42.00	12.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>229.91</b>		<b>222.04</b>	<b>12.04 W</b>	<b>19.91</b>	
COST 01/07/2022 CALL \$575.00 / CUSIP: / Symbol:							
11/29/21	1.000	1,254.98	11/29/21	1,255.00	...	-0.02	Option sale to close-call <sup>25</sup>
COST 10/29/2021 CALL \$495.00 / CUSIP: / Symbol:							
10/26/21	1.000	87.98	10/26/21	75.00	...	12.98	Option sale to close-call <sup>25</sup>
COST 11/05/2021 CALL \$490.00 / CUSIP: / Symbol:							
10/27/21	1.000	434.98	10/26/21	419.00	...	15.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 11/12/2021 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	280.00	11/01/21	280.00	...	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	1.000	279.99	11/01/21	213.00	...	66.99	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	559.99	Various	493.00	...	66.99	Total of 2 transactions
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	2.000	559.99	11/01/21	670.00	110.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	279.98	11/01/21	285.01	5.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	1.000	298.98	11/01/21	299.00	0.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,138.95	Various	1,254.01	115.06 W	0.00	Total of 3 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	399.99	11/02/21	365.03	...	34.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	400.00	11/02/21	360.02	...	39.98	2 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	1.000	399.99	11/02/21	354.00	...	45.99	3 of 3 - Option sale to close-call <sup>25</sup>
	3.000	1,199.98	Various	1,079.05	...	120.93	Total of 3 transactions
	<b>Security total:</b>	<b>2,898.92</b>		<b>2,826.06</b>	<b>115.06 W</b>	<b>187.92</b>	
COST 11/12/2021 CALL \$525.00 / CUSIP: / Symbol:							
5 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	70.00	11/05/21	203.00	...	-133.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	70.00	11/05/21	167.00	...	-97.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/08/21	277.00	...	-207.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/08/21	267.01	...	-197.02	4 of 5 - Option sale to close-call <sup>25</sup>
11/08/21	1.000	69.99	11/08/21	79.00	...	-9.01	5 of 5 - Option sale to close-call <sup>25</sup>
	5.000	349.97	Various	993.01	...	-643.04	Total of 5 transactions
11/08/21	2.000	139.99	11/05/21	526.00	386.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>489.96</b>		<b>1,519.01</b>	<b>386.01 W</b>	<b>-643.04</b>	
COST 11/19/2021 CALL \$500.00 / CUSIP: / Symbol:							
10/29/21	1.000	449.98	10/29/21	410.00	...	39.98	Option sale to close-call <sup>25</sup>
COST 12/03/2021 CALL \$540.00 / CUSIP: / Symbol:							
11/19/21	1.000	554.98	11/17/21	440.00	...	114.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 12/10/2021 CALL \$560.00 / CUSIP: / Symbol:							
12/07/21	1.000	284.98	12/07/21	283.00	...	1.98	Option sale to close-call <sup>25</sup>
COST 12/17/2021 CALL \$540.00 / CUSIP: / Symbol:							
11/26/21	1.000	2,284.97	11/22/21	1,160.00	...	1,124.97	Option sale to close-call <sup>25</sup>
DDD 06/11/2021 CALL \$31.50 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/03/21	110.00	...	-110.00	Option expiration- long call
DIS 11/19/2021 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	10.98	11/12/21	72.00	...	-61.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	10.98	11/12/21	72.00	...	-61.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	2.000	21.96	Various	144.00	...	-122.04	Total of 2 transactions
DKNG 11/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	87.98	10/29/21	74.00	...	13.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.98	10/29/21	74.00	...	25.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/01/21	2.000	187.96	Various	148.00	...	39.96	Total of 2 transactions
DKNG 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	5.000	119.98	11/09/21	320.00	...	-200.02	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	119.99	11/09/21	305.00	...	-185.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	24.00	11/09/21	55.00	...	-31.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	23.99	11/09/21	52.00	...	-28.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	24.00	11/09/21	52.00	...	-28.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/10/21	13.000	311.96	Various	784.00	...	-472.04	Total of 5 transactions
DKNG 12/10/2021 CALL \$32.00 / CUSIP: / Symbol:							
12/07/21	1.000	129.98	12/07/21	98.02	...	31.96	Option sale to close-call <sup>25</sup>
12/07/21	1.000	117.98	12/07/21	118.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>247.96</b>		<b>216.02</b>	<b>0.02 W</b>	<b>31.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DKNG 12/17/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/09/21	1.000	83.98	12/08/21	70.00	...	13.98	Option sale to close-call <sup>25</sup>
DOCS 11/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	280.98	11/03/21	290.00	...	-9.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	284.98	11/03/21	290.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	565.96	Various	580.00	...	-14.04	Total of 2 transactions
DWAC 11/05/2021 CALL \$70.00 / CUSIP: / Symbol:							
11/02/21	1.000	269.98	11/02/21	185.00	...	84.98	Option sale to close-call <sup>25</sup>
ENPH 11/19/2021 PUT \$220.00 / CUSIP: / Symbol:							
11/01/21	1.000	542.98	10/27/21	1,115.00	...	-572.02	Option sale to close-put <sup>25</sup>
ENPH 11/19/2021 PUT \$225.00 / CUSIP: / Symbol:							
11/02/21	1.000	669.98	11/01/21	0.00	...	669.98	Short sale closed- put <sup>25</sup> Option written: 10/27/21
ETSY 11/12/2021 PUT \$250.00 / CUSIP: / Symbol:							
11/09/21	1.000	206.98	11/09/21	294.02	...	-87.04	Option sale to close-put <sup>25</sup>
11/09/21	1.000	206.98	11/09/21	278.00	71.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>413.96</b>		<b>572.02</b>	<b>71.02 W</b>	<b>-87.04</b>	
FB 01/21/2022 CALL \$375.00 / CUSIP: / Symbol:							
11/19/21	1.000	878.98	11/16/21	784.00	...	94.98	Option sale to close-call <sup>25</sup>
FB 01/21/2022 CALL \$380.00 / CUSIP: / Symbol:							
11/22/21	1.000	-88.02	11/19/21	0.00	...	-88.02	Short sale closed- call <sup>25</sup> Option written: 11/16/21
FCEL 11/12/2021 CALL \$11.00 / CUSIP: / Symbol:							
11/08/21	1.000	36.98	11/08/21	49.02	...	-12.04	Option sale to close-call <sup>25</sup>
11/08/21	1.000	39.98	11/08/21	47.00	7.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>76.96</b>		<b>96.02</b>	<b>7.02 W</b>	<b>-12.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	11/03/21	87.01	...	-37.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.99	11/03/21	68.00	...	-19.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	98.97	Various	155.01	...	-56.04	Total of 2 transactions
11/05/21	1.000	48.99	11/03/21	68.00	19.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>147.96</b>		<b>223.01</b>	<b>19.01 W</b>	<b>-56.04</b>	
FSLY 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	26.99	11/05/21	44.00	...	-17.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/05/21	44.00	...	-17.00	2 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	80.98	11/08/21	132.00	...	-51.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	5.000	134.97	Various	220.00	...	-85.03	Total of 3 transactions
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	27.00	11/04/21	265.00	238.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	27.00	11/04/21	205.00	178.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	1.000	27.00	11/04/21	111.00	84.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	81.00	Various	581.00	500.00 W	0.00	Total of 3 transactions
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	27.99	11/08/21	293.00	...	-265.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	28.00	11/08/21	227.00	...	-199.00	2 of 3 - Option sale to close-call <sup>25</sup>
11/10/21	1.000	27.99	11/08/21	133.00	...	-105.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/10/21	3.000	83.98	Various	653.00	...	-569.02	Total of 3 transactions
	<b>Security total:</b>	<b>299.95</b>		<b>1,454.00</b>	<b>500.00 W</b>	<b>-654.05</b>	
FUBO 12/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
12/08/21	1.000	107.98	12/08/21	80.00	...	27.98	Option sale to close-call <sup>25</sup>
GEVO 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/01/21	2.000	149.98	10/29/21	138.00	...	11.98	Option sale to close-call <sup>25</sup>
GGPI 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
11/12/21	1.000	299.98	11/12/21	185.00	...	114.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GME 11/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/01/21	1.000	899.98	11/01/21	650.00	...	249.98	Option sale to close-call <sup>25</sup>
GME 11/12/2021 CALL \$240.00 / CUSIP: / Symbol:							
11/05/21	1.000	459.98	11/05/21	615.00	...	-155.02	Option sale to close-call <sup>25</sup>
GREE 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/08/21	1.000	469.98	11/08/21	460.00	...	9.98	Option sale to close-call <sup>25</sup>
11/09/21	1.000	264.98	11/08/21	460.00	...	-195.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>734.96</b>		<b>920.00</b>	...	<b>-185.04</b>	
HOOD 11/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/05/21	1.000	34.98	11/03/21	57.00	22.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>						
	4.000	135.98	11/03/21	228.00	...	-92.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	34.00	11/03/21	65.02	...	-31.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	169.98	Various	293.02	...	-123.04	Total of 2 transactions
	<b>Security total:</b>	<b>204.96</b>		<b>350.02</b>	<b>22.02 W</b>	<b>-123.04</b>	
INTC 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/01/21	1.000	64.98	11/01/21	58.00	...	6.98	Option sale to close-call <sup>25</sup>
11/02/21	1.000	64.98	11/01/21	58.00	...	6.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>129.96</b>		<b>116.00</b>	...	<b>13.96</b>	
INTC 12/17/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/07/21	1.000	66.98	12/07/21	67.00	...	-0.02	Option sale to close-call <sup>25</sup>
JMIA 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
	<i>3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	134.99	11/09/21	135.00	0.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	134.99	11/09/21	135.00	0.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	134.98	11/09/21	135.01	0.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	404.96	Various	405.01	0.05 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JMIA 11/19/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	96.98	11/09/21	134.03	...	-37.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	96.98	11/09/21	134.01	...	-37.03	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/21	2.000	193.96	Various	268.04	...	-74.08	Total of 2 transactions
	<b>Security total:</b>	<b>598.92</b>		<b>673.05</b>	<b>0.05 W</b>	<b>-74.08</b>	
JMIA 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	2.000	131.99	12/08/21	128.00	...	3.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	65.99	12/08/21	55.00	...	10.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/21	3.000	197.98	Various	183.00	...	14.98	Total of 2 transactions
JPM 11/12/2021 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	2.000	313.99	10/29/21	454.00	140.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	156.99	10/29/21	227.00	70.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/21	3.000	470.98	Various	681.00	210.02 W	0.00	Total of 2 transactions
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	118.00	10/29/21	276.01	158.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	235.99	10/29/21	552.01	316.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	235.99	11/01/21	278.00	42.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/01/21	5.000	589.98	Various	1,106.02	516.04 W	0.00	Total of 3 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	2.000	309.99	11/01/21	290.01	...	19.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	309.99	11/01/21	234.00	...	75.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	464.99	11/01/21	372.00	...	92.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	7.000	1,084.97	Various	896.01	...	188.96	Total of 3 transactions
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	155.00	11/01/21	297.01	142.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	155.00	11/01/21	278.01	123.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	155.00	11/01/21	278.01	123.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	169.98	11/01/21	259.01	89.03 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JPM 11/12/2021 CALL \$172.50 / CUSIP: / Symbol: (cont'd)							
	1.000	179.98	11/01/21	240.01	60.03 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/02/21	5.000	814.96	Various	1,352.05	537.09 W	0.00	Total of 5 transactions
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	87.99	11/01/21	240.01	...	-152.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	88.00	11/04/21	153.03	...	-65.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	87.99	11/04/21	111.03	...	-23.04	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	263.98	Various	504.07	...	-240.09	Total of 3 transactions
<b>Security total:</b>		<b>3,224.87</b>		<b>4,539.15</b>	<b>1,263.15 W</b>	<b>-51.13</b>	
JPM 11/19/2021 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	177.98	10/27/21	174.00	...	3.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	177.98	10/27/21	157.00	...	20.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	355.96	Various	331.00	...	24.96	Total of 2 transactions
JPM 12/17/2021 CALL \$170.00 / CUSIP: / Symbol:							
3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	70.99	11/09/21	395.00	...	-324.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	71.00	11/29/21	96.00	...	-25.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.99	11/29/21	95.00	...	-24.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/06/21	3.000	212.98	Various	586.00	...	-373.02	Total of 3 transactions
KNDI 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/28/21	2.000	59.98	10/28/21	46.00	...	13.98	Option sale to close-call <sup>25</sup>
7 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	30.99	11/02/21	17.01	...	13.98	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	39.99	11/02/21	26.00	...	13.99	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	30.99	11/02/21	16.00	...	14.99	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	29.00	11/05/21	16.02	...	12.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	29.00	11/05/21	13.00	...	16.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	28.99	11/05/21	12.00	...	16.99	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	57.99	11/05/21	24.00	...	33.99	7 of 7 - Option sale to close-call <sup>25</sup>
11/08/21	9.000	246.95	Various	124.03	...	122.92	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KNDI 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	2.000	35.98	10/28/21	46.00	10.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	10/28/21	23.00	3.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	39.99	11/01/21	48.02	8.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	95.97	Various	117.02	21.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>402.90</b>		<b>287.05</b>	<b>21.05 W</b>	<b>136.90</b>	
KPLT 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
9 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	55.00	11/09/21	50.02	...	4.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	55.00	11/09/21	50.00	...	5.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	55.00	11/09/21	50.00	...	5.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	109.99	11/09/21	100.00	...	9.99	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	109.99	11/09/21	100.00	...	9.99	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	109.99	11/09/21	100.00	...	9.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	69.99	11/09/21	50.00	...	19.99	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	86.98	11/09/21	50.00	...	36.98	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	139.99	11/09/21	100.00	...	39.99	9 of 9 - Option sale to close-call <sup>25</sup>
11/09/21	13.000	791.93	Various	650.02	...	141.91	Total of 9 transactions
11/09/21	1.000	49.98	11/09/21	50.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>841.91</b>		<b>700.02</b>	<b>0.02 W</b>	<b>141.91</b>	
LAZR 11/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	51.00	11/02/21	45.00	...	6.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	51.00	11/02/21	35.00	...	16.00	2 of 5 - Option sale to close-call <sup>25</sup>
	4.000	203.99	11/02/21	180.00	...	23.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	101.99	11/02/21	70.00	...	31.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	101.99	11/02/21	70.00	...	31.99	5 of 5 - Option sale to close-call <sup>25</sup>
11/03/21	10.000	509.97	Various	400.00	...	109.97	Total of 5 transactions
LCID 11/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/28/21	1.000	159.98	10/28/21	122.00	...	37.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/08/21	1.000	351.98	11/08/21	334.00	...	17.98	Option sale to close-call <sup>25</sup>
MARA 11/12/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/02/21	1.000	479.98	11/02/21	475.00	...	4.98	Option sale to close-call <sup>25</sup>
MARK 11/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	69.98	10/29/21	50.00	...	19.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	69.98	10/29/21	50.00	...	19.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	69.99	10/29/21	50.00	...	19.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	69.99	10/29/21	50.00	...	19.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/29/21	4.000	279.94	Various	200.00	...	79.94	Total of 4 transactions
11/12/21	1.000	14.98	10/29/21	50.00	...	-35.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>294.92</b>		<b>250.00</b>	...	<b>44.92</b>	
MCD 12/03/2021 CALL \$255.00 / CUSIP: / Symbol:							
11/24/21	1.000	275.98	11/04/21	320.00	...	-44.02	Option sale to close-call <sup>25</sup>
MCD 12/03/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/26/21	1.000	37.98	11/24/21	0.00	...	37.98	Short sale closed- call <sup>25</sup> Option written: 11/04/21
MMM 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/10/21	1.000	342.98	11/02/21	335.00	...	7.98	Option sale to close-call <sup>25</sup>
MMM 11/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
11/12/21	2.000	15.98	11/10/21	0.00	...	15.98	Short sale closed- call <sup>25</sup> Option written: 11/02/21
MMM 11/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/10/21	1.000	4.98	11/02/21	7.00	...	-2.02	Option sale to close-call <sup>25</sup>
MRIN 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
11/08/21	1.000	49.98	11/05/21	140.00	...	-90.02	Option sale to close-call <sup>25</sup>
MRVL 12/10/2021 CALL \$86.00 / CUSIP: / Symbol:							
12/06/21	1.000	163.98	12/06/21	164.00	...	-0.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 10/29/2021 PUT \$310.00 / CUSIP: / Symbol:							
10/29/21	1.000	1.98	10/26/21	460.00	...	-458.02	Option sale to close-put <sup>25</sup>
MSFT 11/05/2021 CALL \$320.00 / CUSIP: / Symbol:							
10/27/21	1.000	569.98	10/27/21	367.00	...	202.98	Option sale to close-call <sup>25</sup>
MSFT 11/19/2021 CALL \$335.00 / CUSIP: / Symbol:							
11/04/21	2.000	799.98	11/04/21	680.00	...	119.98	Option sale to close-call <sup>25</sup>
MSFT 11/19/2021 CALL \$337.50 / CUSIP: / Symbol:							
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	319.98	11/02/21	294.00	...	25.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	319.98	11/02/21	292.00	...	27.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	329.98	11/02/21	290.00	...	39.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/21	3.000	969.94	Various	876.00	...	93.94	Total of 3 transactions
MSFT 12/10/2021 CALL \$327.50 / CUSIP: / Symbol:							
12/06/21	1.000	239.98	12/06/21	291.00	51.02 W	0.00	Option sale to close-call <sup>25</sup>
12/07/21	1.000	579.98	12/06/21	265.02	...	314.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>819.96</b>		<b>556.02</b>	<b>51.02 W</b>	<b>314.96</b>	
MSFT 12/23/2021 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	1.000	369.98	12/08/21	315.00	...	54.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.98	12/09/21	295.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/21	2.000	709.96	Various	610.00	...	99.96	Total of 2 transactions
MSFT 12/23/2021 CALL \$345.00 / CUSIP: / Symbol:							
12/13/21	1.000	389.98	12/13/21	370.00	...	19.98	Option sale to close-call <sup>25</sup>
MU 11/12/2021 CALL \$75.00 / CUSIP: / Symbol:							
11/08/21	1.000	91.98	11/08/21	78.00	...	13.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MU 11/26/2021 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	88.98	11/09/21	77.00	...	11.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	90.98	11/09/21	77.00	...	13.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	92.98	11/09/21	77.00	...	15.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	181.98	11/09/21	154.00	...	27.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/09/21	5.000	454.92	Various	385.00	...	69.92	Total of 4 transactions
NEGG 12/17/2021 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	424.98	11/09/21	385.00	...	39.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	424.98	11/09/21	385.00	...	39.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	399.98	11/09/21	345.00	...	54.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	1,249.94	Various	1,115.00	...	134.94	Total of 3 transactions
11/15/21	1.000	419.98	11/09/21	385.00	...	34.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,669.92</b>		<b>1,500.00</b>	...	<b>169.92</b>	
NFLX 11/05/2021 CALL \$675.00 / CUSIP: / Symbol:							
11/04/21	1.000	344.98	11/04/21	340.00	...	4.98	Option sale to close-call <sup>25</sup>
NFLX 11/12/2021 CALL \$665.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	404.98	11/08/21	832.03	...	-427.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.99	11/08/21	455.00	...	-55.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	804.97	Various	1,287.03	...	-482.06	Total of 2 transactions
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	399.98	11/08/21	597.00	197.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.99	11/08/21	777.02	377.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	799.97	Various	1,374.02	574.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,604.94</b>		<b>2,661.05</b>	<b>574.05 W</b>	<b>-482.06</b>	
NIO 01/20/2023 CALL \$80.00 / CUSIP: / Symbol:							
12/02/21	1.000	277.98	11/05/21	375.00	...	-97.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/09/21	1.000	86.98	11/09/21	71.00	...	15.98	Option sale to close-call <sup>25</sup>
<i>2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.98	11/09/21	71.00	...	-62.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	8.98	11/09/21	71.00	...	-62.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/10/21	2.000	17.96	Various	142.00	...	-124.04	Total of 2 transactions
	<b>Security total:</b>	<b>104.94</b>		<b>213.00</b>	...	<b>-108.06</b>	
NIO 12/17/2021 CALL \$40.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.99	12/08/21	40.00	...	-3.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	36.99	12/08/21	40.00	...	-3.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/21	2.000	73.98	Various	80.00	...	-6.02	Total of 2 transactions
NVDA 11/05/2021 CALL \$245.00 / CUSIP: / Symbol:							
10/29/21	1.000	1,044.98	10/26/21	565.00	...	479.98	Option sale to close-call <sup>25</sup>
NVDA 11/12/2021 CALL \$262.50 / CUSIP: / Symbol:							
11/02/21	1.000	764.98	11/02/21	629.00	...	135.98	Option sale to close-call <sup>25</sup>
NVDA 12/17/2021 PUT \$302.50 / CUSIP: / Symbol:							
12/10/21	1.000	884.98	12/10/21	885.00	...	-0.02	Option sale to close-put <sup>25</sup>
NVDA 12/31/2021 PUT \$275.00 / CUSIP: / Symbol:							
12/30/21	1.000	2.98	12/20/21	1,335.00	...	-1,332.02	Option sale to close-put <sup>25</sup>
PATH 11/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	141.98	11/05/21	125.00	...	16.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	289.98	11/05/21	250.00	...	39.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	431.96	Various	375.00	...	56.96	Total of 2 transactions
PD 02/18/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/08/21	1.000	229.98	12/08/21	230.00	...	-0.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 11/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/01/21	1.000	77.98	10/29/21	78.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
11/02/21	1.000	99.98	11/01/21	68.02	...	31.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>177.96</b>		<b>146.02</b>	<b>0.02 W</b>	<b>31.96</b>	
PFE 12/10/2021 CALL \$55.00 / CUSIP: / Symbol:							
	2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.						
	1.000	105.98	12/03/21	103.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	105.98	12/03/21	103.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/21	2.000	211.96	Various	206.00	...	5.96	Total of 2 transactions
12/06/21	1.000	75.98	12/03/21	100.00	...	-24.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>287.94</b>		<b>306.00</b>	...	<b>-18.06</b>	
PINS 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/15/21	1.000	209.98	11/05/21	160.00	...	49.98	Option sale to close-call <sup>25</sup>
	2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	1.000	227.98	11/15/21	203.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	227.98	11/15/21	203.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/21	2.000	455.96	Various	406.00	...	49.96	Total of 2 transactions
	<b>Security total:</b>	<b>665.94</b>		<b>566.00</b>	...	<b>99.94</b>	
PLUG 11/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
11/12/21	1.000	28.98	11/10/21	100.00	...	-71.02	Option sale to close-call <sup>25</sup>
PLUG 12/10/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/06/21	1.000	125.98	12/06/21	122.00	...	3.98	Option sale to close-call <sup>25</sup>
	3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.						
	1.000	174.98	12/06/21	121.00	...	53.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	186.98	12/06/21	121.00	...	65.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	196.98	12/06/21	91.00	...	105.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/21	3.000	558.94	Various	333.00	...	225.94	Total of 3 transactions
	<b>Security total:</b>	<b>684.92</b>		<b>455.00</b>	...	<b>229.92</b>	
PTON 03/18/2022 CALL \$100.00 / CUSIP: / Symbol:							
11/08/21	1.000	68.98	11/05/21	135.00	...	-66.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PTON 12/17/2021 PUT \$45.00 / CUSIP: / Symbol:							
11/16/21	1.000	136.98	11/08/21	206.00	...	-69.02	Option sale to close-put <sup>25</sup>
PTON 12/17/2021 PUT \$50.00 / CUSIP: / Symbol:							
11/17/21	1.000	98.98	11/16/21	0.00	...	98.98	Short sale closed- put <sup>25</sup> Option written: 11/08/21
PYPL 11/05/2021 CALL \$240.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	268.98	10/28/21	278.00	...	-9.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	275.98	10/28/21	278.00	...	-2.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/21	2.000	544.96	Various	556.00	...	-11.04	Total of 2 transactions
PYPL 12/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/09/21	1.000	278.98	12/07/21	217.00	...	61.98	Option sale to close-call <sup>25</sup>
PYPL 12/17/2021 PUT \$200.00 / CUSIP: / Symbol:							
11/08/21	1.000	235.98	11/03/21	251.00	...	-15.02	Option sale to close-put <sup>25</sup>
PYPL 12/17/2021 PUT \$220.00 / CUSIP: / Symbol:							
11/09/21	1.000	78.98	11/08/21	0.00	...	78.98	Short sale closed- put <sup>25</sup> Option written: 11/03/21
QCOM 11/05/2021 CALL \$140.00 / CUSIP: / Symbol:							
<i>3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	192.98	11/03/21	169.00	...	23.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	197.98	11/03/21	169.00	...	28.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	197.98	11/03/21	169.00	...	28.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/03/21	3.000	588.94	Various	507.00	...	81.94	Total of 3 transactions
QQQ 11/19/2021 PUT \$370.00 / CUSIP: / Symbol:							
11/01/21	1.000	17.98	10/29/21	0.00	...	17.98	Short sale closed- put <sup>25</sup> Option written: 10/27/21
QQQ 11/19/2021 PUT \$380.00 / CUSIP: / Symbol:							
10/29/21	1.000	595.98	10/27/21	633.00	...	-37.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 12/08/2021 CALL \$390.00 / CUSIP: / Symbol:							
12/07/21	1.000	520.98	12/07/21	505.00	...	15.98	Option sale to close-call <sup>25</sup>
QQQ 12/08/2021 PUT \$390.00 / CUSIP: / Symbol:							
12/07/21	1.000	38.98	12/07/21	61.00	...	-22.02	Option sale to close-put <sup>25</sup>
QS 11/05/2021 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	124.98	11/02/21	112.00	...	12.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	123.98	11/02/21	106.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/02/21	2.000	248.96	Various	218.00	...	30.96	Total of 2 transactions
11/03/21	1.000	154.98	11/02/21	106.00	...	48.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>403.94</b>		<b>324.00</b>	...	<b>79.94</b>	
QS 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/08/21	1.000	176.98	11/05/21	157.02	...	19.96	Option sale to close-call <sup>25</sup>
11/08/21	1.000	173.98	11/04/21	226.00	52.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>350.96</b>		<b>383.02</b>	<b>52.02 W</b>	<b>19.96</b>	
ROKU 11/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
11/12/21	1.000	494.98	11/12/21	435.00	...	59.98	Option sale to close-call <sup>25</sup>
ROKU 12/17/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/08/21	1.000	609.98	12/08/21	510.00	...	99.98	Option sale to close-call <sup>25</sup>
SDC 10/29/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/29/21	1.000	21.98	10/19/21	111.00	...	-89.02	Option sale to close-call <sup>25</sup>
SDC 11/05/2021 CALL \$4.50 / CUSIP: / Symbol:							
10/29/21	1.000	67.98	10/25/21	96.00	...	-28.02	Option sale to close-call <sup>25</sup>
SDC 11/05/2021 PUT \$5.50 / CUSIP: / Symbol:							
10/27/21	1.000	55.98	10/27/21	55.00	...	0.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SDC 11/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	2.000	57.99	11/05/21	64.00	...	-6.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	28.99	11/08/21	24.00	...	4.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.00	11/08/21	24.00	...	5.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/08/21	4.000	115.98	Various	112.00	...	3.98	Total of 3 transactions
SENS 12/17/2021 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	11/03/21	114.00	...	-104.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	9.99	11/03/21	114.00	...	-104.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/03/21	57.00	...	-52.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/01/21	5.000	24.98	Various	285.00	...	-260.02	Total of 3 transactions
SFIX 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	208.98	11/02/21	105.01	...	103.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	234.98	11/02/21	105.00	...	129.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	241.98	11/02/21	105.01	...	136.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	252.98	11/02/21	100.00	...	152.98	4 of 4 - Option sale to close-call <sup>25</sup>
11/03/21	4.000	938.92	Various	415.02	...	523.90	Total of 4 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	143.99	11/01/21	149.00	5.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	287.99	11/01/21	298.00	10.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	3.000	431.98	Various	447.00	15.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,370.90</b>		<b>862.02</b>	<b>15.02 W</b>	<b>523.90</b>	
SHOP 11/12/2021 CALL \$1,600.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	1,639.98	11/08/21	1,590.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,599.98	11/08/21	1,480.00	...	119.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	3,239.96	Various	3,070.00	...	169.96	Total of 2 transactions
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,399.98	11/08/21	1,890.03	...	-490.05	1 of 2 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOP 11/12/2021 CALL \$1,600.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,449.98	11/08/21	960.02	...	489.96	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	2.000	2,849.96	Various	2,850.05	...	-0.09	Total of 2 transactions
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,299.98	11/08/21	1,600.00	300.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,399.99	11/08/21	1,900.02	500.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,399.98	11/08/21	1,570.00	170.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	4,099.95	Various	5,070.02	970.07 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>10,189.87</b>		<b>10,990.07</b>	<b>970.07 W</b>	<b>169.87</b>	
SKLZ 11/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	104.99	11/04/21	100.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/04/21	200.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	3.000	314.98	Various	300.00	...	14.98	Total of 2 transactions
SNAP 11/12/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	116.98	11/08/21	105.00	...	11.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.98	11/08/21	105.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	2.000	236.96	Various	210.00	...	26.96	Total of 2 transactions
SNDL 06/04/2021 CALL \$1.00 / CUSIP: / Symbol:							
06/04/21	1.000	19.98	06/04/21	19.00	...	0.98	Option sale to close-call <sup>25</sup>
SNOW 10/29/2021 CALL \$345.00 / CUSIP: / Symbol:							
10/27/21	1.000	819.98	10/26/21	410.00	...	409.98	Option sale to close-call <sup>25</sup>
SNOW 11/05/2021 CALL \$350.00 / CUSIP: / Symbol:							
10/27/21	1.000	929.98	10/27/21	840.00	...	89.98	Option sale to close-call <sup>25</sup>
SNOW 12/17/2021 PUT \$330.00 / CUSIP: / Symbol:							
11/08/21	1.000	787.98	11/04/21	1,050.00	...	-262.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNOW 12/17/2021 PUT \$340.00 / CUSIP: / Symbol:							
11/09/21	1.000	326.98	11/08/21	0.00	...	326.98	Short sale closed- put <sup>25</sup> Option written: 11/04/21
SOFI 11/12/2021 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	147.98	11/09/21	137.00	...	10.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	152.98	11/09/21	137.00	...	15.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	152.98	11/09/21	137.00	...	15.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	3.000	453.94	Various	411.00	...	42.94	Total of 3 transactions
SOFI 12/17/2021 CALL \$17.50 / CUSIP: / Symbol:							
12/09/21	1.000	33.98	12/08/21	61.00	...	-27.02	Option sale to close-call <sup>25</sup>
SPCE 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	89.99	11/04/21	82.00	...	7.99	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	269.98	11/04/21	246.00	...	23.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	8.000	359.97	Various	328.00	...	31.97	Total of 2 transactions
4 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	5.000	99.99	11/09/21	180.00	...	-80.01	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	99.99	11/09/21	165.00	...	-65.01	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	99.99	11/09/21	155.00	...	-55.01	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	39.99	11/09/21	72.00	...	-32.01	4 of 4 - Option sale to close-call <sup>25</sup>
11/10/21	17.000	339.96	Various	572.00	...	-232.04	Total of 4 transactions
	<b>Security total:</b>	<b>699.93</b>		<b>900.00</b>	...	<b>-200.07</b>	
SPY 11/01/2021 CALL \$460.00 / CUSIP: / Symbol:							
11/01/21	1.000	39.98	11/01/21	38.00	...	1.98	Option sale to close-call <sup>25</sup>
SPY 11/10/2021 PUT \$455.00 / CUSIP: / Symbol:							
5 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	295.99	11/01/21	490.00	...	-194.01	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	148.00	11/02/21	159.00	...	-11.00	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	296.00	11/02/21	306.00	...	-10.00	3 of 5 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 11/10/2021 PUT \$455.00 / CUSIP: / Symbol: (cont'd)							
	1.000	148.00	11/02/21	154.00	...	-6.00	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	147.99	11/02/21	144.00	...	3.99	5 of 5 - Option sale to close-put <sup>25</sup>
11/03/21	7.000	1,035.98	Various	1,253.00	...	-217.02	Total of 5 transactions
SPY 12/08/2021 PUT \$468.00 / CUSIP: / Symbol:							
12/07/21	1.000	156.98	12/07/21	196.00	...	-39.02	Option sale to close-put <sup>25</sup>
SQ 12/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	15.98	12/10/21	185.00	...	-169.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.98	12/10/21	185.00	...	-169.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/13/21	2.000	31.96	Various	370.00	...	-338.04	Total of 2 transactions
SQ 12/31/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/13/21	1.000	121.98	12/10/21	300.00	...	-178.02	Option sale to close-call <sup>25</sup>
TDOC 11/26/2021 CALL \$140.00 / CUSIP: / Symbol:							
11/17/21	2.000	583.98	11/17/21	550.00	...	33.98	Option sale to close-call <sup>25</sup>
TLRY 11/05/2021 CALL \$11.00 / CUSIP: / Symbol:							
5 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	28.98	10/28/21	27.00	...	1.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.99	10/28/21	28.00	...	1.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.98	10/28/21	27.00	...	2.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	29.99	10/28/21	27.00	...	2.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	59.98	10/28/21	56.00	...	3.98	5 of 5 - Option sale to close-call <sup>25</sup>
11/01/21	6.000	178.92	Various	165.00	...	13.92	Total of 5 transactions
TME 11/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	3.000	5.98	11/08/21	81.00	...	-75.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	11/08/21	52.02	...	-50.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1.98	11/08/21	27.00	...	-25.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/11/21	5.000	9.94	Various	160.02	...	-150.08	Total of 3 transactions
11/11/21	1.000	1.98	11/08/21	27.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TME 11/12/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>11.92</b>		<b>187.02</b>		<b>25.02 W</b>	<b>-150.08</b>
TSLA 10/29/2021 CALL \$1,050.00 / CUSIP: / Symbol:							
10/27/21	1.000	2,499.97	10/27/21	2,265.00	...	234.97	Option sale to close-call <sup>25</sup>
TSLA 10/29/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
10/28/21	1.000	1,359.98	10/28/21	1,370.00	...	-10.02	Option sale to close-call <sup>25</sup>
TSLA 11/05/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
6 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,704.99	11/03/21	2,585.00	...	-880.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,704.99	11/03/21	1,900.00	...	-195.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,704.99	11/03/21	1,665.00	...	39.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,704.99	11/03/21	1,558.00	...	146.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,704.99	11/03/21	1,350.00	...	354.99	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,704.98	11/03/21	1,145.00	...	559.98	6 of 6 - Option sale to close-call <sup>25</sup>
11/03/21	6.000	10,229.93	Various	10,203.00	...	26.93	Total of 6 transactions
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
11/03/21	1.000	654.99	11/03/21	520.00	...	134.99	Option sale to close-call <sup>25</sup>
11/03/21	1.000	654.99	11/03/21	785.00	130.01 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1,799.98	11/04/21	1,725.01	...	74.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,899.98	11/04/21	1,725.00	...	174.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/04/21	2.000	3,699.96	Various	3,450.01	...	249.95	Total of 2 transactions
5 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	1.000	1,899.99	11/04/21	2,255.01	355.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,899.99	11/04/21	1,905.00	5.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,799.99	11/04/21	2,060.02	260.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,799.98	11/04/21	1,935.03	135.05 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1,799.98	11/04/21	1,875.05	75.07 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/04/21	5.000	9,199.93	Various	10,030.11	830.18 W	0.00	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	305.00	11/04/21	1,640.00	...	-1,335.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	304.99	11/04/21	1,060.00	...	-755.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	304.98	11/05/21	3,270.10	...	-2,965.12	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	914.97	Various	5,970.10	...	-5,055.13	Total of 3 transactions
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	11/04/21	1,815.07	1,515.09 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	304.99	11/04/21	3,195.09	2,890.10 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	604.97	Various	5,010.16	4,405.19 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>15,729.81</b>		<b>25,765.38</b>	<b>5,365.38 W</b>	<b>-4,670.19</b>	
TSLA 11/05/2021 PUT \$1,050.00 / CUSIP: / Symbol:							
10/28/21	1.000	3,549.97	10/28/21	3,455.00	...	94.97	Option sale to close-put <sup>25</sup>
TSLA 11/05/2021 PUT \$1,200.00 / CUSIP: / Symbol:							
11/03/21	1.000	3,729.97	11/03/21	3,732.00	...	-2.03	Option sale to close-put <sup>25</sup>
TSLA 12/23/2021 PUT \$900.00 / CUSIP: / Symbol:							
12/14/21	1.000	2,699.97	12/14/21	2,380.00	...	319.97	Option sale to close-put <sup>25</sup>
UAL 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	279.98	11/03/21	157.00	...	122.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	279.98	11/03/21	155.00	...	124.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	283.98	11/03/21	155.00	...	128.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/05/21	3.000	843.94	Various	467.00	...	376.94	Total of 3 transactions
11/08/21	1.000	382.98	11/03/21	155.00	...	227.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,226.92</b>		<b>622.00</b>	...	<b>604.92</b>	
UAL 12/10/2021 CALL \$43.00 / CUSIP: / Symbol:							
12/06/21	1.000	94.98	12/06/21	131.00	...	-36.02	Option sale to close-call <sup>25</sup>
UPS 12/17/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/11/21	1.000	344.98	11/10/21	206.00	...	138.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UPST 11/05/2021 CALL \$330.00 / CUSIP: / Symbol:							
10/28/21	1.000	1,749.98	10/28/21	1,490.00	...	259.98	Option sale to close-call <sup>25</sup>
UPST 11/12/2021 CALL \$360.00 / CUSIP: / Symbol:							
11/08/21	1.000	1,699.98	11/03/21	2,110.02	...	-410.04	Option sale to close-call <sup>25</sup>
11/08/21	1.000	1,699.98	11/02/21	2,370.00	670.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,399.96</b>		<b>4,480.02</b>	<b>670.02 W</b>	<b>-410.04</b>	
UXIN 12/17/2021 CALL \$3.00 / CUSIP: / Symbol:							
8 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	11/01/21	40.00	...	-20.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/01/21	40.00	...	-20.01	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/02/21	32.00	...	-12.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/03/21	40.01	...	-20.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/03/21	30.01	...	-10.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/03/21	30.00	...	-10.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.98	11/05/21	35.01	...	-15.03	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/05/21	35.01	...	-15.02	8 of 8 - Option sale to close-call <sup>25</sup>
11/16/21	8.000	159.90	Various	282.04	...	-122.14	Total of 8 transactions
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	7.000	139.98	10/29/21	210.00	70.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	10/29/21	30.00	10.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	59.98	11/01/21	120.01	60.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/16/21	11.000	219.96	Various	360.01	140.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>379.86</b>		<b>642.05</b>	<b>140.05 W</b>	<b>-122.14</b>	
VFF 11/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
4 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	69.99	11/09/21	70.01	...	-0.02	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	69.99	11/09/21	70.00	...	-0.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	44.98	11/09/21	30.01	...	14.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	44.98	11/09/21	30.01	...	14.97	4 of 4 - Option sale to close-call <sup>25</sup>
11/09/21	6.000	229.94	Various	200.03	...	29.91	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VFF 11/19/2021 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	3.000	104.98	11/09/21	105.00	0.01 W	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	104.98	11/09/21	105.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	6.000	209.96	Various	210.00	0.03 W	-0.01	Total of 2 transactions
	<b>Security total:</b>	<b>439.90</b>		<b>410.03</b>	<b>0.03 W</b>	<b>29.90</b>	
WISH 11/12/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	5.000	164.98	11/09/21	160.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	69.98	11/09/21	64.00	...	5.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	164.98	11/09/21	155.00	...	9.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/21	12.000	399.94	Various	379.00	...	20.94	Total of 3 transactions
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	55.98	11/09/21	64.00	...	-8.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	28.98	11/09/21	32.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/10/21	3.000	84.96	Various	96.00	...	-11.04	Total of 2 transactions
	<b>Security total:</b>	<b>484.90</b>		<b>475.00</b>	<b>...</b>	<b>9.90</b>	
WKHS 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	23.98	10/28/21	22.00	...	1.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	23.98	10/28/21	22.00	...	1.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	24.00	10/28/21	22.00	...	2.00	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	71.99	10/28/21	69.00	...	2.99	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	47.99	11/01/21	38.00	...	9.99	5 of 5 - Option sale to close-call <sup>25</sup>
11/02/21	8.000	191.94	Various	173.00	...	18.94	Total of 5 transactions
X 11/12/2021 CALL \$27.00 / CUSIP: / Symbol:							
10/29/21	1.000	109.98	10/29/21	104.00	...	5.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
X 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	11/08/21	80.01	...	-67.02	1 of 2 - Option sale to close-call <sup>25</sup>
11/09/21	1.000	13.00	11/08/21	37.00	...	-24.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	25.99	Various	117.01	...	-91.02	Total of 2 transactions
5 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	25.99	11/08/21	120.01	47.01 W	-47.01	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	51.98	11/08/21	144.00	92.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.99	11/08/21	59.01	46.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	12.99	11/08/21	60.00	47.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
11/09/21	1.000	13.00	11/08/21	83.02	70.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
	9.000	116.95	Various	466.04	302.08 W	-47.01	Total of 5 transactions
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	11/08/21	101.02	...	-100.03	1 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	1.000	0.99	11/08/21	78.01	...	-77.02	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1.98	Various	179.03	...	-177.05	Total of 2 transactions
	<b>Security total:</b>	<b>144.92</b>		<b>762.08</b>	<b>302.08 W</b>	<b>-315.08</b>	
XEL 12/17/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	5.000	99.98	11/17/21	70.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
11/17/21	4.000	91.98	11/17/21	56.00	...	35.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/21	9.000	191.96	Various	126.00	...	65.96	Total of 2 transactions
	1.000	14.98	11/17/21	14.00	...	0.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>206.94</b>		<b>140.00</b>	<b>...</b>	<b>66.94</b>	
YALA 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	11/05/21	65.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
11/08/21	1.000	64.98	11/05/21	65.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	129.96	Various	130.00	...	-0.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YELP 02/18/2022 CALL \$39.00 / CUSIP: / Symbol:							
11/08/21	1.000	399.98	11/05/21	330.02	...	69.96	Option sale to close-call <sup>25</sup>
11/08/21	1.000	399.98	11/05/21	410.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>799.96</b>		<b>740.02</b>	<b>10.02 W</b>	<b>69.96</b>	
Z 12/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	312.98	12/03/21	310.00	...	2.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	319.98	12/03/21	315.00	...	4.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	316.98	12/03/21	310.00	...	6.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	319.98	12/03/21	300.00	...	19.98	4 of 4 - Option sale to close-call <sup>25</sup>
12/03/21	4.000	1,269.92	Various	1,235.00	...	34.92	Total of 4 transactions
ZM 11/12/2021 CALL \$300.00 / CUSIP: / Symbol:							
5 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	1.000	20.00	11/04/21	501.02	...	-481.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	20.00	11/05/21	60.00	...	-40.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/05/21	55.00	...	-35.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	19.99	11/05/21	43.00	...	-23.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	20.00	11/05/21	43.00	...	-23.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/08/21	5.000	99.98	Various	702.02	...	-602.04	Total of 5 transactions
11/08/21	1.000	19.98	11/04/21	295.00	275.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>119.96</b>		<b>997.02</b>	<b>275.02 W</b>	<b>-602.04</b>	
ZM 11/19/2021 CALL \$290.00 / CUSIP: / Symbol:							
11/04/21	1.000	839.98	11/01/21	470.00	...	369.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	9.21	01/25/21	4.86	...	4.35	1 of 2 - Sale <sup>25</sup>
	1.000	9.21	01/26/21	6.60	...	2.61	2 of 2 - Sale <sup>25</sup>
01/28/21	2.000	18.42	Various	11.46	...	6.96	Total of 2 transactions
01/28/21	1.000	9.21	01/27/21	15.86	6.65 W	0.00	Sale <sup>25</sup>
4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	7.89	02/24/21	16.16	...	-8.27	1 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	2.000	15.78	02/24/21	18.80	...	-3.02	2 of 4 - Sale <sup>25</sup>
	1.000	7.89	02/24/21	9.51	...	-1.62	3 of 4 - Sale <sup>25</sup>
	1.000	7.89	02/24/21	9.50	...	-1.61	4 of 4 - Sale <sup>25</sup>
02/26/21	5.000	39.45	Various	53.97	...	-14.52	Total of 4 transactions
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	1.000	62.00	06/02/21	60.45	...	1.55	1 of 2 - Sale <sup>25</sup>
	1.000	65.00	06/03/21	63.48	...	1.52	2 of 2 - Sale <sup>25</sup>
06/03/21	2.000	127.00	Various	123.93	...	3.07	Total of 2 transactions
06/03/21	1.000	62.00	06/02/21	65.50	3.50 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1.000	39.90	11/02/21	38.40	...	1.50	1 of 2 - Sale <sup>25</sup>
	9.000	359.10	11/02/21	345.69	...	13.41	2 of 2 - Sale <sup>25</sup>
11/02/21	10.000	399.00	Various	384.09	...	14.91	Total of 2 transactions
12/13/21	2.000	47.46	12/07/21	61.18	...	-13.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>702.54</b>		<b>715.99</b>	<b>10.15 W</b>	<b>-3.30</b>	
AMMO, INC. COMMON STOCK / CUSIP: 00175J107 / Symbol:							
09/22/21	2.000	12.35	03/25/21	11.58	...	0.77	Sale <sup>25</sup>
THE 3D PRINTING ETF / CUSIP: 00214Q500 / Symbol:							
	7 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	0.024	0.83	03/01/21	1.00	...	-0.17	1 of 7 - Sale <sup>25</sup>
	0.133	4.51	03/09/21	5.00	...	-0.49	2 of 7 - Sale <sup>25</sup>
	0.255	8.67	03/12/21	10.00	...	-1.33	3 of 7 - Sale <sup>25</sup>
	1.000	33.97	03/19/21	38.64	...	-4.67	4 of 7 - Sale <sup>25</sup>
	1.000	33.97	04/06/21	40.04	...	-6.07	5 of 7 - Sale <sup>25</sup>
	2.000	67.94	04/08/21	78.38	...	-10.44	6 of 7 - Sale <sup>25</sup>
	1.000	33.97	04/09/21	39.22	...	-5.25	7 of 7 - Sale <sup>25</sup>
12/13/21	5.412	183.86	Various	212.28	...	-28.42	Total of 7 transactions
ARK SPACE EXPLORATION & INNOVATION ETF / CUSIP: 00214Q807 / Symbol:							
09/03/21	1.000	21.16	04/06/21	21.13	...	0.03	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AST SPACEMOBILE, INC. CLASS A COMMON STOCK / CUSIP: 00217D100 / Symbol:							
8 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	8.42	02/09/21	22.88	...	-14.46	1 of 8 - Sale <sup>25</sup>
	1.000	8.42	02/09/21	22.49	...	-14.07	2 of 8 - Sale <sup>25</sup>
	1.000	8.42	02/09/21	21.30	...	-12.88	3 of 8 - Sale <sup>25</sup>
	2.000	16.84	02/10/21	48.18	...	-31.34	4 of 8 - Sale <sup>25</sup>
	1.000	8.42	02/11/21	21.12	...	-12.70	5 of 8 - Sale <sup>25</sup>
	1.000	8.42	02/17/21	19.70	...	-11.28	6 of 8 - Sale <sup>25</sup>
	2.000	16.84	03/01/21	30.76	...	-13.92	7 of 8 - Sale <sup>25</sup>
	1.000	8.42	03/16/21	12.98	...	-4.56	8 of 8 - Sale <sup>25</sup>
12/13/21	10.000	84.20	Various	199.41	...	-115.21	Total of 8 transactions
ACCURAY INCORPORATED COMMON STOCK / CUSIP: 004397105 / Symbol:							
10/29/21	50.000	267.00	10/29/21	250.00	...	17.00	Sale <sup>25</sup>
ACTIVISION BLIZZARD, INC. COMMON STOCK / CUSIP: 00507V109 / Symbol:							
11/12/21	10.000	694.99	11/11/21	673.85	...	21.14	Sale <sup>25</sup>
ADICET BIO, INC. COMMON STOCK / CUSIP: 007002108 / Symbol:							
12/06/21	1.000	13.40	12/06/21	15.27	...	-1.87	Sale <sup>25</sup>
AEHR TEST SYSTEMS COMMON STOCK / CUSIP: 00760J108 / Symbol:							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	20.000	426.00	10/11/21	396.67	...	29.33	1 of 2 - Sale <sup>25</sup>
	30.000	638.99	10/11/21	594.60	...	44.39	2 of 2 - Sale <sup>25</sup>
10/13/21	50.000	1,064.99	Various	991.27	...	73.72	Total of 2 transactions
10/14/21	10.000	208.18	10/11/21	198.33	...	9.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,273.17</b>		<b>1,189.60</b>	...	<b>83.57</b>	
AEROCLEAN TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 007744105 / Symbol:							
12/10/21	8.000	106.72	12/09/21	107.20	...	-0.48	Sale <sup>25</sup>
ADVANCED HUMAN IMAGING LIMITED . AMERICAN DEPOSITARY SHARES / CUSIP: 00777C104 / Symbol:							
12/07/21	10.000	75.00	12/06/21	62.40	...	12.60	Sale <sup>25</sup>
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
10/06/21	0.170	22.96	09/16/21	20.00	...	2.96	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AEVA TECHNOLOGIES, INC. / CUSIP: 00835Q103 / Symbol:							
4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.700	6.25	02/09/21	13.91	...	-7.66	1 of 4 - Sale <sup>25</sup>
	0.300	2.68	02/09/21	5.97	...	-3.29	2 of 4 - Sale <sup>25</sup>
	1.000	8.94	02/10/21	19.18	...	-10.24	3 of 4 - Sale <sup>25</sup>
	0.700	6.25	03/19/21	10.00	...	-3.75	4 of 4 - Sale <sup>25</sup>
11/16/21	2.700	24.12	Various	49.06	...	-24.94	Total of 4 transactions
AGRIFY CORPORATION COMMON STOC K / CUSIP: 00853E107 / Symbol:							
5 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	24.02	07/23/21	19.92	...	4.10	1 of 5 - Sale <sup>25</sup>
	1.000	24.02	08/10/21	26.66	...	-2.64	2 of 5 - Sale <sup>25</sup>
	1.000	24.02	08/11/21	25.30	...	-1.28	3 of 5 - Sale <sup>25</sup>
	2.000	48.04	08/24/21	60.98	...	-12.94	4 of 5 - Sale <sup>25</sup>
	5.000	120.10	11/02/21	88.22	...	31.88	5 of 5 - Sale <sup>25</sup>
11/10/21	10.000	240.20	Various	221.08	...	19.12	Total of 5 transactions
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
19 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	170.80	02/01/21	183.25	...	-12.45	1 of 19 - Sale <sup>25</sup>
	1.000	170.80	02/17/21	204.80	...	-34.00	2 of 19 - Sale <sup>25</sup>
	0.506	86.39	02/18/21	100.00	...	-13.61	3 of 19 - Sale <sup>25</sup>
	1.000	170.80	04/09/21	179.62	...	-8.82	4 of 19 - Sale <sup>25</sup>
	1.000	170.80	04/09/21	178.50	...	-7.70	5 of 19 - Sale <sup>25</sup>
	1.000	170.80	07/20/21	137.07	...	33.73	6 of 19 - Sale <sup>25</sup>
	0.738	126.08	10/21/21	153.34	...	-27.26	7 of 19 - Sale <sup>25</sup>
	0.149	25.40	10/21/21	27.99	...	-2.59	8 of 19 - Sale <sup>25</sup>
	0.113	19.32	10/21/21	21.29	...	-1.97	9 of 19 - Sale <sup>25</sup>
	2.000	341.60	10/21/21	335.66	...	5.94	10 of 19 - Sale <sup>25</sup>
	4.000	683.19	10/21/21	672.22	...	10.97	11 of 19 - Sale <sup>25</sup>
	5.000	853.99	10/21/21	840.43	...	13.56	12 of 19 - Sale <sup>25</sup>
	0.738	126.08	10/29/21	140.75	...	-14.67	13 of 19 - Sale <sup>25</sup>
	0.262	44.72	10/29/21	54.75	...	-10.03	14 of 19 - Sale <sup>25</sup>
	0.506	88.22	10/29/21	95.95	...	-7.73	15 of 19 - Sale <sup>25</sup>
	0.262	45.66	10/29/21	49.92	...	-4.26	16 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	0.232	39.69	10/29/21	39.38	...	0.31	17 of 19 - Sale <sup>25</sup>
	5.000	853.99	10/29/21	847.30	...	6.69	18 of 19 - Sale <sup>25</sup>
	5.000	854.00	10/29/21	847.18	...	6.82	19 of 19 - Sale <sup>25</sup>
11/01/21	29.506	5,042.33	Various	5,109.40	...	-67.07	Total of 19 transactions
	6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	0.149	25.40	01/26/21	28.40	3.00 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.113	19.32	01/26/21	21.60	2.28 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.738	126.08	01/27/21	155.37	29.29 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.262	44.72	01/27/21	55.10	10.38 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	170.80	01/28/21	191.02	10.23 W	-9.99	5 of 6 - Sale <sup>25</sup>
	1.000	170.80	01/28/21	192.00	21.20 W	0.00	6 of 6 - Sale <sup>25</sup>
11/01/21	3.262	557.12	Various	643.49	76.38 W	-9.99	Total of 6 transactions
	<b>Security total:</b>	<b>5,599.45</b>		<b>5,752.89</b>	<b>76.38 W</b>	<b>-77.06</b>	
AKAMAI TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 00971T101 / Symbol:							
05/18/21	0.900	102.06	02/11/21	91.51	...	10.55	Sale <sup>25</sup>
10/11/21	0.100	10.24	02/11/21	10.17	...	0.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>112.30</b>		<b>101.68</b>	...	<b>10.62</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
	17 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	0.065	17.19	07/09/20	16.99	...	0.20	1 of 17 - Sale <sup>25</sup>
	0.127	33.37	07/09/20	33.01	...	0.36	2 of 17 - Sale <sup>25</sup>
	0.000	0.02	10/02/20	0.02	...	0.00	3 of 17 - Sale <sup>25</sup>
	0.102	26.84	10/06/20	30.00	...	-3.16	4 of 17 - Sale <sup>25</sup>
	0.320	83.83	10/21/20	100.00	...	-16.17	5 of 17 - Sale <sup>25</sup>
	0.321	84.13	10/22/20	100.00	...	-15.87	6 of 17 - Sale <sup>25</sup>
	0.328	85.95	10/22/20	99.96	...	-14.01	7 of 17 - Sale <sup>25</sup>
	0.000	0.03	10/22/20	0.04	...	-0.01	8 of 17 - Sale <sup>25</sup>
	0.328	85.99	10/26/20	100.00	...	-14.01	9 of 17 - Sale <sup>25</sup>
	0.466	122.39	11/03/20	136.20	...	-13.81	10 of 17 - Sale <sup>25</sup>
	0.047	12.40	11/03/20	13.80	...	-1.40	11 of 17 - Sale <sup>25</sup>
	1.000	262.35	11/11/20	267.43	...	-5.08	12 of 17 - Sale <sup>25</sup>
	1.000	262.36	11/16/20	261.25	...	1.11	13 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	262.35	12/30/20	236.75	...	25.60	14 of 17 - Sale <sup>25</sup>
	0.440	115.53	01/04/21	100.00	...	15.53	15 of 17 - Sale <sup>25</sup>
	1.000	262.36	01/05/21	240.50	...	21.86	16 of 17 - Sale <sup>25</sup>
	1.000	262.35	01/12/21	228.26	...	34.09	17 of 17 - Sale <sup>25</sup>
01/27/21	7.545	1,979.44	Various	1,964.21	...	15.23	Total of 17 transactions
01/27/21	0.521	136.58	10/02/20	149.98	1.97 W	-11.43	Sale <sup>25</sup>
12/02/21	0.132	15.94	03/16/21	30.00	...	-14.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,131.96</b>		<b>2,144.19</b>	<b>1.97 W</b>	<b>-10.26</b>	
ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol:							
12/13/21	100.000	189.99	11/11/21	233.73	...	-43.74	Sale <sup>25</sup>
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
<i>6 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.005	14.30	02/04/21	10.00	...	4.30	1 of 6 - Sale <sup>25</sup>
	0.005	14.66	03/08/21	10.00	...	4.66	2 of 6 - Sale <sup>25</sup>
	0.005	14.39	03/09/21	10.00	...	4.39	3 of 6 - Sale <sup>25</sup>
	0.007	21.73	08/19/21	20.00	...	1.73	4 of 6 - Sale <sup>25</sup>
	0.035	104.25	08/24/21	100.00	...	4.25	5 of 6 - Sale <sup>25</sup>
	0.018	53.02	10/22/21	50.00	...	3.02	6 of 6 - Sale <sup>25</sup>
10/29/21	0.075	222.35	Various	200.00	...	22.35	Total of 6 transactions
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol:							
<i>4 transactions for 09/08/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	40.70	09/01/21	36.15	...	4.55	1 of 4 - Sale <sup>25</sup>
	5.000	41.00	09/01/21	36.15	...	4.85	2 of 4 - Sale <sup>25</sup>
	10.000	81.40	09/01/21	72.19	...	9.21	3 of 4 - Sale <sup>25</sup>
	10.000	81.40	09/01/21	72.16	...	9.24	4 of 4 - Sale <sup>25</sup>
09/08/21	30.000	244.50	Various	216.65	...	27.85	Total of 4 transactions
11/09/21	15.000	123.00	11/09/21	119.25	...	3.75	Sale <sup>25</sup>
<i>3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	24.10	11/09/21	39.75	...	-15.65	1 of 3 - Sale <sup>25</sup>
	20.000	96.40	11/24/21	121.19	...	-24.79	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ATERIAN, INC. COMMON STOCK / CUSIP: 02156U101 / Symbol: (cont'd)							
	10.000	48.20	11/24/21	60.85	...	-12.65	3 of 3 - Sale <sup>25</sup>
12/13/21	35.000	168.70	Various	221.79	...	-53.09	Total of 3 transactions
	<b>Security total:</b>	<b>536.20</b>		<b>557.69</b>	...	<b>-21.49</b>	
ALZAMEND NEURO, INC. COMMON STOCK / CUSIP: 02262M308 / Symbol:							
<i>2 transactions for 09/30/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	170.00	09/30/21	151.50	...	18.50	1 of 2 - Sale <sup>25</sup>
	50.000	175.00	09/30/21	151.50	...	23.50	2 of 2 - Sale <sup>25</sup>
09/30/21	100.000	345.00	Various	303.00	...	42.00	Total of 2 transactions
11/05/21	100.000	261.99	11/04/21	243.00	...	18.99	Sale <sup>25</sup>
12/14/21	5.000	16.00	11/05/21	15.75	...	0.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>622.99</b>		<b>561.75</b>	...	<b>61.24</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
<i>3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.037	122.67	06/22/20	100.00	...	22.67	1 of 3 - Sale <sup>25</sup>
	0.003	10.48	07/20/20	10.00	...	0.48	2 of 3 - Sale <sup>25</sup>
	0.023	76.79	11/03/20	69.82	...	6.97	3 of 3 - Sale <sup>25</sup>
01/26/21	0.063	209.94	Various	179.82	...	30.12	Total of 3 transactions
<i>4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.010	33.77	11/03/20	30.18	...	3.59	1 of 4 - Sale <sup>25</sup>
	0.003	10.89	03/17/21	10.00	...	0.89	2 of 4 - Sale <sup>25</sup>
	0.006	20.78	05/27/21	20.00	...	0.78	3 of 4 - Sale <sup>25</sup>
	0.008	28.25	07/20/21	30.00	...	-1.75	4 of 4 - Sale <sup>25</sup>
10/26/21	0.028	93.69	Various	90.18	...	3.51	Total of 4 transactions
	<b>Security total:</b>	<b>303.63</b>		<b>270.00</b>	...	<b>33.63</b>	
AMERICAN WATER WORKS COMPANY, INC / CUSIP: 030420103 / Symbol:							
<i>2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	340.62	10/28/21	350.57	...	-9.95	1 of 2 - Sale <sup>25</sup>
	1.000	170.31	10/28/21	175.29	...	-4.98	2 of 2 - Sale <sup>25</sup>
11/29/21	3.000	510.93	Various	525.86	...	-14.93	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANIXA BIOSCIENCES, INC. COMMON STOCK / CUSIP: 03528H109 / Symbol:							
12/13/21	100.000	319.99	11/01/21	514.72	...	-194.73	Sale <sup>25</sup>
ANNOVIS BIO, INC. / CUSIP: 03615A108 / Symbol:							
06/21/21	1.000	96.84	06/11/21	91.80	...	5.04	Sale <sup>25</sup>
APOLLO MEDICAL HOLDINGS, INC. COMMON STOCK / CUSIP: 03763A207 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	0.213	24.72	06/11/21	10.00	...	14.72	1 of 2 - Sale <sup>25</sup>
	1.000	116.02	07/27/21	108.63	...	7.39	2 of 2 - Sale <sup>25</sup>
11/15/21	1.213	140.74	Various	118.63	...	22.11	Total of 2 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
7 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	15.48	02/10/21	28.64	...	-13.16	1 of 7 - Sale <sup>25</sup>
	1.000	15.47	02/11/21	21.19	...	-5.72	2 of 7 - Sale <sup>25</sup>
	1.000	15.48	04/09/21	16.28	...	-0.80	3 of 7 - Sale <sup>25</sup>
	2.000	30.95	04/20/21	27.02	...	3.93	4 of 7 - Sale <sup>25</sup>
	2.000	30.95	04/20/21	27.00	...	3.95	5 of 7 - Sale <sup>25</sup>
	2.000	30.95	04/20/21	26.95	...	4.00	6 of 7 - Sale <sup>25</sup>
	3.000	46.42	04/20/21	40.44	...	5.98	7 of 7 - Sale <sup>25</sup>
04/29/21	12.000	185.70	Various	187.52	...	-1.82	Total of 7 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.000	0.04	07/01/20	0.03	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.519	70.90	07/01/20	47.29	...	23.61	2 of 5 - Sale <sup>25</sup>
	0.102	13.98	07/20/20	10.00	...	3.98	3 of 5 - Sale <sup>25</sup>
	0.914	124.95	08/05/20	100.00	...	24.95	4 of 5 - Sale <sup>25</sup>
	2.122	290.13	08/07/20	240.30	...	49.83	5 of 5 - Sale <sup>25</sup>
02/09/21	3.657	500.00	Various	397.62	...	102.38	Total of 5 transactions
5 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.000	0.04	08/07/20	0.03	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.122	16.49	08/07/20	13.80	...	2.69	2 of 5 - Sale <sup>25</sup>
	0.143	19.32	08/07/20	16.17	...	3.15	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.878	254.13	08/07/20	212.70	...	41.43	4 of 5 - Sale <sup>25</sup>
	1.857	251.20	08/24/20	239.13	...	12.07	5 of 5 - Sale <sup>25</sup>
02/10/21	4.000	541.18	Various	481.83	...	59.35	Total of 5 transactions
	16 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	0.067	10.15	09/02/20	9.00	...	1.15	1 of 16 - Sale <sup>25</sup>
	0.490	74.36	09/02/20	65.94	...	8.42	2 of 16 - Sale <sup>25</sup>
	1.125	170.91	09/02/20	151.56	...	19.35	3 of 16 - Sale <sup>25</sup>
	5.000	759.65	09/02/20	673.50	...	86.15	4 of 16 - Sale <sup>25</sup>
	0.000	0.04	09/04/20	0.03	...	0.01	5 of 16 - Sale <sup>25</sup>
	0.015	2.34	09/04/20	1.80	...	0.54	6 of 16 - Sale <sup>25</sup>
	0.092	13.96	09/04/20	10.65	...	3.31	7 of 16 - Sale <sup>25</sup>
	0.100	15.14	09/04/20	11.55	...	3.59	8 of 16 - Sale <sup>25</sup>
	0.240	36.45	09/04/20	27.80	...	8.65	9 of 16 - Sale <sup>25</sup>
	0.280	42.58	09/04/20	32.84	...	9.74	10 of 16 - Sale <sup>25</sup>
	0.543	82.45	09/04/20	64.04	...	18.41	11 of 16 - Sale <sup>25</sup>
	0.985	149.56	09/04/20	115.36	...	34.20	12 of 16 - Sale <sup>25</sup>
	2.000	303.80	09/04/20	235.93	...	67.87	13 of 16 - Sale <sup>25</sup>
	1.000	151.95	09/21/20	105.45	...	46.50	14 of 16 - Sale <sup>25</sup>
	1.000	151.94	01/05/21	130.13	...	21.81	15 of 16 - Sale <sup>25</sup>
	0.553	84.07	01/12/21	70.66	...	13.41	16 of 16 - Sale <sup>25</sup>
08/30/21	13.490	2,049.35	Various	1,706.24	...	343.11	Total of 16 transactions
	16 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	0.029	4.32	01/12/21	3.68	...	0.64	1 of 16 - Sale <sup>25</sup>
	0.418	62.59	01/12/21	53.37	...	9.22	2 of 16 - Sale <sup>25</sup>
	0.782	117.13	01/19/21	100.00	...	17.13	3 of 16 - Sale <sup>25</sup>
	0.365	54.69	01/21/21	50.00	...	4.69	4 of 16 - Sale <sup>25</sup>
	1.435	214.91	01/22/21	200.00	...	14.91	5 of 16 - Sale <sup>25</sup>
	1.000	149.77	01/25/21	141.79	...	7.98	6 of 16 - Sale <sup>25</sup>
	2.000	299.55	01/25/21	288.90	...	10.65	7 of 16 - Sale <sup>25</sup>
	1.000	149.77	01/28/21	137.07	...	12.70	8 of 16 - Sale <sup>25</sup>
	3.000	449.32	01/28/21	417.03	...	32.29	9 of 16 - Sale <sup>25</sup>
	2.000	299.55	02/01/21	267.89	...	31.66	10 of 16 - Sale <sup>25</sup>
	2.000	299.55	02/01/21	267.64	...	31.91	11 of 16 - Sale <sup>25</sup>
	1.000	149.77	02/10/21	135.08	...	14.69	12 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	149.78	02/17/21	130.44	...	19.34	13 of 16 - Sale <sup>25</sup>
	1.000	149.77	02/24/21	125.01	...	24.76	14 of 16 - Sale <sup>25</sup>
	2.000	299.55	03/01/21	252.70	...	46.85	15 of 16 - Sale <sup>25</sup>
	1.000	149.78	03/02/21	126.54	...	23.24	16 of 16 - Sale <sup>25</sup>
10/26/21	20.029	2,999.80	Various	2,697.14	...	302.66	Total of 16 transactions
	<b>Security total:</b>	<b>6,090.33</b>		<b>5,282.83</b>	...	<b>807.50</b>	
APLOVIN CORPORATION CLASS A C OMMON STOCK / CUSIP: 03831W108 / Symbol:							
12/13/21	5.000	453.70	11/16/21	518.47	...	-64.77	Sale <sup>25</sup>
APTEVO THERAPEUTICS INC. COMMO N STOCK / CUSIP: 03835L207 / Symbol:							
11/23/21	3.000	58.50	11/23/21	58.56	...	-0.06	Sale <sup>25</sup>
11/23/21	20.000	172.20	11/23/21	186.00	8.97 W	-4.83	Sale <sup>25</sup>
11/24/21	10.000	135.09	11/24/21	156.90	...	-21.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>365.79</b>		<b>401.46</b>	<b>8.97 W</b>	<b>-26.70</b>	
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol:							
<i>4 transactions for 04/30/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.58	03/10/21	3.83	...	1.75	1 of 4 - Sale <sup>25</sup>
	5.000	27.90	03/12/21	19.70	...	8.20	2 of 4 - Sale <sup>25</sup>
	0.528	2.95	03/19/21	2.09	...	0.86	3 of 4 - Sale <sup>25</sup>
	1.472	8.21	03/19/21	5.82	...	2.39	4 of 4 - Sale <sup>25</sup>
04/30/21	8.000	44.64	Various	31.44	...	13.20	Total of 4 transactions
<i>3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.528	1.25	03/19/21	2.09	...	-0.84	1 of 3 - Sale <sup>25</sup>
	3.000	7.12	05/05/21	15.02	...	-7.90	2 of 3 - Sale <sup>25</sup>
	3.000	7.12	11/12/21	7.65	...	-0.53	3 of 3 - Sale <sup>25</sup>
11/23/21	6.528	15.49	Various	24.76	...	-9.27	Total of 3 transactions
	<b>Security total:</b>	<b>60.13</b>		<b>56.20</b>	...	<b>3.93</b>	
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
12/02/21	10.000	50.60	12/01/21	44.58	...	6.02	Sale <sup>25</sup>
ARCHER AVIATION INC. / CUSIP: 03945R102 / Symbol:							
12/08/21	30.000	216.00	11/01/21	194.25	...	21.75	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARDELYX, INC. COMMON STOCK / CUSIP: 039697107 / Symbol:							
5 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	2.000	2.70	07/29/21	3.48	0.78 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	2.70	08/05/21	3.26	0.56 W	0.00	2 of 5 - Sale <sup>25</sup>
	96.000	129.59	09/02/21	138.96	9.37 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	5.40	09/02/21	5.79	0.39 W	0.00	4 of 5 - Sale <sup>25</sup>
	96.000	129.59	09/02/21	138.96	9.37 W	0.00	5 of 5 - Sale <sup>25</sup>
11/05/21	200.000	269.98	Various	290.45	20.47 W	0.00	Total of 5 transactions
6 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	4.000	4.00	09/02/21	5.79	...	-1.79	1 of 6 - Sale <sup>25</sup>
	96.000	95.99	10/21/21	120.54	...	-24.55	2 of 6 - Sale <sup>25</sup>
	42.000	41.99	10/21/21	52.74	...	-10.75	3 of 6 - Sale <sup>25</sup>
	2.000	2.00	10/21/21	3.10	...	-1.10	4 of 6 - Sale <sup>25</sup>
	4.000	4.00	10/21/21	5.02	...	-1.02	5 of 6 - Sale <sup>25</sup>
	2.000	2.00	10/21/21	2.88	...	-0.88	6 of 6 - Sale <sup>25</sup>
11/30/21	150.000	149.98	Various	190.07	...	-40.09	Total of 6 transactions
12/01/21	54.000	70.46	10/21/21	67.80	...	2.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>490.42</b>		<b>548.32</b>	<b>20.47 W</b>	<b>-37.43</b>	
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
3 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.000	0.05	09/13/21	0.04	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.198	26.88	09/13/21	19.96	...	6.92	2 of 3 - Sale <sup>25</sup>
	0.802	109.07	09/16/21	88.87	...	20.20	3 of 3 - Sale <sup>25</sup>
11/08/21	1.000	136.00	Various	108.87	...	27.13	Total of 3 transactions
11/09/21	0.198	28.28	09/16/21	21.95	...	6.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>164.28</b>		<b>130.82</b>	...	<b>33.46</b>	
ATHENEX, INC. COMMON STOCK / CUSIP: 04685N103 / Symbol:							
11/16/21	10.000	20.41	03/12/21	51.39	...	-30.98	Sale <sup>25</sup>
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
11/19/21	50.000	50.16	11/19/21	50.25	...	-0.09	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
09/02/21	1.000	4.05	02/05/21	4.03	...	0.02	Sale <sup>25</sup>
AUDDIA INC. COMMON STOCK / CUSIP: 05072K107 / Symbol:							
12/08/21	20.000	39.40	12/08/21	42.60	...	-3.20	Sale <sup>25</sup>
BIT MINING LIMITED / CUSIP: 055474100 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.245	1.86	02/09/21	5.00	...	-3.14	1 of 3 - Sale <sup>25</sup>
	0.449	3.39	03/09/21	9.48	...	-6.09	2 of 3 - Sale <sup>25</sup>
	0.025	0.19	03/09/21	0.52	...	-0.33	3 of 3 - Sale <sup>25</sup>
11/16/21	0.719	5.44	Various	15.00	...	-9.56	Total of 3 transactions
BIMI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 05552Q103 / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	10.000	10.10	10/26/21	10.00	...	0.10	1 of 3 - Sale <sup>25</sup>
	10.000	10.40	10/26/21	9.88	...	0.52	2 of 3 - Sale <sup>25</sup>
	90.000	93.59	10/26/21	90.00	...	3.59	3 of 3 - Sale <sup>25</sup>
10/26/21	110.000	114.09	Various	109.88	...	4.21	Total of 3 transactions
BABCOCK & WILCOX ENTERPRISES, INC. / CUSIP: 05614L209 / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	1.000	7.50	01/28/21	6.18	...	1.32	1 of 2 - Sale <sup>25</sup>
	1.000	7.50	02/01/21	5.48	...	2.02	2 of 2 - Sale <sup>25</sup>
03/16/21	2.000	15.00	Various	11.66	...	3.34	Total of 2 transactions
09/24/21	1.000	6.03	03/09/21	7.05	...	-1.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21.03</b>		<b>18.71</b>	...	<b>2.32</b>	
BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	211.50	11/01/21	199.00	...	12.50	1 of 2 - Sale <sup>25</sup>
	20.000	845.99	11/01/21	796.00	...	49.99	2 of 2 - Sale <sup>25</sup>
11/01/21	25.000	1,057.49	Various	995.00	...	62.49	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BALLARD POWER SYSTEMS, INC. CO MMON SHARES / CUSIP: 058586108 / Symbol:							
<i>4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	78.50	12/23/20	46.46	...	32.04	1 of 4 - Sale <sup>25</sup>
	0.560	21.98	01/19/21	20.00	...	1.98	2 of 4 - Sale <sup>25</sup>
	0.839	32.94	01/19/21	30.00	...	2.94	3 of 4 - Sale <sup>25</sup>
	0.601	23.58	01/21/21	21.45	...	2.13	4 of 4 - Sale <sup>25</sup>
02/08/21	4.000	157.00	Various	117.91	...	39.09	Total of 4 transactions
<i>4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	0.799	10.52	01/21/21	28.55	...	-18.03	1 of 4 - Sale <sup>25</sup>
	1.000	13.16	02/08/21	41.00	...	-27.84	2 of 4 - Sale <sup>25</sup>
	0.437	5.75	03/09/21	10.00	...	-4.25	3 of 4 - Sale <sup>25</sup>
	0.371	4.88	03/16/21	10.00	...	-5.12	4 of 4 - Sale <sup>25</sup>
12/13/21	2.607	34.31	Various	89.55	...	-55.24	Total of 4 transactions
	<b>Security total:</b>	<b>191.31</b>		<b>207.46</b>	...	<b>-16.15</b>	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
06/02/21	1.000	38.88	06/02/21	40.68	...	-1.80	Sale <sup>25</sup>
11/02/21	50.000	874.99	11/01/21	754.46	...	120.53	Sale <sup>25</sup>
12/13/21	10.000	167.91	11/17/21	244.00	...	-76.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,081.78</b>		<b>1,039.14</b>	...	<b>42.64</b>	
BENESSERE CAPITAL ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 08179B103 / Symbol:							
11/18/21	5.000	51.30	10/25/21	88.00	...	-36.70	Sale <sup>25</sup>
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	51.30	10/25/21	90.00	38.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	51.30	10/25/21	89.50	38.20 W	0.00	2 of 2 - Sale <sup>25</sup>
11/18/21	10.000	102.60	Various	179.50	76.90 W	0.00	Total of 2 transactions
<i>3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	58.30	11/24/21	102.20	...	-43.90	1 of 3 - Sale <sup>25</sup>
	4.000	46.64	11/24/21	81.36	...	-34.72	2 of 3 - Sale <sup>25</sup>
	1.000	11.65	11/24/21	20.34	...	-8.69	3 of 3 - Sale <sup>25</sup>
11/24/21	10.000	116.59	Various	203.90	...	-87.31	Total of 3 transactions
	<b>Security total:</b>	<b>270.49</b>		<b>471.40</b>	<b>76.90 W</b>	<b>-124.01</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BENTLEY SYSTEMS, INCORPORATED CLASS B COMMON STOCK / CUSIP: 08265T208 / Symbol:							
12/13/21	5.000	239.96	09/28/21	305.30	...	-65.34	Sale <sup>25</sup>
BETTER CHOICE COMPANY INC. / CUSIP: 08771Y303 / Symbol:							
12/07/21	10.000	34.30	12/06/21	34.18	...	0.12	Sale <sup>25</sup>
BETTER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 08773T104 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	8.000	43.20	11/24/21	67.60	...	-24.40	1 of 3 - Sale <sup>25</sup>
	1.000	5.47	11/24/21	8.45	...	-2.98	2 of 3 - Sale <sup>25</sup>
	1.000	5.49	11/24/21	8.45	...	-2.96	3 of 3 - Sale <sup>25</sup>
12/13/21	10.000	54.16	Various	84.50	...	-30.34	Total of 3 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
12/13/21	1.000	63.72	12/08/21	74.82	11.10 W	0.00	Sale <sup>25</sup>
12/21/21	1.000	70.00	12/14/21	80.55	...	-10.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>133.72</b>		<b>155.37</b>	<b>11.10 W</b>	<b>-10.55</b>	
BIG 5 SPORTING GOODS CORPORATION COMMON STOCK / CUSIP: 08915P101 / Symbol:							
05/06/21	1.000	27.48	05/06/21	27.31	...	0.17	Sale <sup>25</sup>
11/03/21	10.000	317.05	09/01/21	286.80	...	30.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>344.53</b>		<b>314.11</b>	...	<b>30.42</b>	
BIGCOMMERCE HOLDINGS, INC. SERIES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
12/13/21	10.000	381.30	11/18/21	510.80	...	-129.50	Sale <sup>25</sup>
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
12/21/21	10.000	132.00	11/22/21	127.10	...	4.90	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.894	4.39	01/25/21	11.23	...	-6.84	1 of 4 - Sale <sup>25</sup>
	0.106	0.52	01/25/21	1.33	...	-0.81	2 of 4 - Sale <sup>25</sup>
	1.000	4.91	03/16/21	9.05	...	-4.14	3 of 4 - Sale <sup>25</sup>
	0.106	0.52	03/16/21	0.95	...	-0.43	4 of 4 - Sale <sup>25</sup>
11/16/21	2.106	10.34	Various	22.56	...	-12.22	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOMEA FUSION, INC. COMMON STO CK / CUSIP: 09077A106 / Symbol:							
08/31/21	1.000	13.93	08/23/21	13.69	...	0.24	Sale <sup>25</sup>
BIOFRONTERA INC. COMMON STOCK / CUSIP: 09077D100 / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	10.000	46.80	12/02/21	61.19	...	-14.39	1 of 2 - Sale <sup>25</sup>
	30.000	140.40	12/06/21	124.20	...	16.20	2 of 2 - Sale <sup>25</sup>
12/08/21	40.000	187.20	Various	185.39	...	1.81	Total of 2 transactions
BITFARMS LTD. COMMON STOCK / CUSIP: 09173B107 / Symbol:							
12/13/21	50.000	262.50	11/09/21	445.00	...	-182.50	Sale <sup>25</sup>
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
01/28/21	1.000	15.55	01/27/21	22.03	...	-6.48	Sale <sup>25</sup>
BLADE AIR MOBILITY, INC. CLASS A COMMON STOCK / CUSIP: 092667104 / Symbol:							
12/13/21	20.000	179.60	11/05/21	200.96	...	-21.36	Sale <sup>25</sup>
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
4 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	0.326	10.11	03/09/21	10.00	...	0.11	1 of 4 - Sale <sup>25</sup>
	10.326	330.43	10/19/21	302.45	...	27.98	2 of 4 - Sale <sup>25</sup>
	16.981	526.40	10/19/21	497.39	...	29.01	3 of 4 - Sale <sup>25</sup>
	16.981	543.39	10/19/21	497.36	...	46.03	4 of 4 - Sale <sup>25</sup>
10/29/21	44.614	1,410.33	Various	1,307.20	...	103.13	Total of 4 transactions
6 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	0.435	13.47	12/22/20	20.00	6.53 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	62.00	02/08/21	115.43	53.43 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.258	8.01	03/16/21	10.00	1.99 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.435	13.90	10/19/21	19.26	5.36 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	64.00	10/19/21	112.01	48.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.258	8.27	10/19/21	9.56	1.29 W	0.00	6 of 6 - Sale <sup>25</sup>
10/29/21	5.386	169.65	Various	286.26	116.61 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
<i>4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.258	10.33	10/19/21	8.85	...	1.48	1 of 4 - Sale <sup>25</sup>
	0.326	13.04	10/19/21	9.55	...	3.49	2 of 4 - Sale <sup>25</sup>
	0.019	0.76	11/01/21	0.65	...	0.11	3 of 4 - Sale <sup>25</sup>
	24.981	999.24	11/01/21	816.88	...	182.36	4 of 4 - Sale <sup>25</sup>
11/08/21	25.584	1,023.37	Various	835.93	...	187.44	Total of 4 transactions
<i>2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.435	17.38	10/19/21	18.09	0.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	80.00	10/19/21	106.59	26.59 W	0.00	2 of 2 - Sale <sup>25</sup>
11/08/21	2.435	97.38	Various	124.68	27.30 W	0.00	Total of 2 transactions
<i>3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	90.00	11/12/21	108.51	...	-18.51	1 of 3 - Sale <sup>25</sup>
	0.416	18.70	11/12/21	17.71	...	0.99	2 of 3 - Sale <sup>25</sup>
	17.584	791.29	11/12/21	720.25	...	71.04	3 of 3 - Sale <sup>25</sup>
11/15/21	20.000	899.99	Various	846.47	...	53.52	Total of 3 transactions
	<b>Security total:</b>	<b>3,600.72</b>		<b>3,400.54</b>	<b>143.91 W</b>	<b>344.09</b>	
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
11/30/21	25.000	262.50	11/01/21	243.96	...	18.54	Sale <sup>25</sup>
BLUEJAY DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 095633103 / Symbol:							
12/10/21	20.000	60.00	12/09/21	58.77	...	1.23	Sale <sup>25</sup>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
<i>3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	0.035	7.60	06/10/20	7.18	...	0.42	1 of 3 - Sale <sup>25</sup>
	0.698	151.11	06/10/20	142.82	...	8.29	2 of 3 - Sale <sup>25</sup>
	0.267	57.75	06/12/20	49.52	...	8.23	3 of 3 - Sale <sup>25</sup>
02/17/21	1.000	216.46	Various	199.52	...	16.94	Total of 3 transactions
<i>4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.733	165.57	06/12/20	136.07	...	29.50	1 of 4 - Sale <sup>25</sup>
	0.286	64.49	07/09/20	50.00	...	14.49	2 of 4 - Sale <sup>25</sup>
	0.569	128.49	07/20/20	100.00	...	28.49	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	0.412	93.09	08/04/20	68.01	...	25.08	4 of 4 - Sale <sup>25</sup>
02/25/21	2.000	451.64	Various	354.08	...	97.56	Total of 4 transactions
	6 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	0.188	47.42	08/04/20	30.98	...	16.44	1 of 6 - Sale <sup>25</sup>
	0.400	101.07	08/04/20	65.99	...	35.08	2 of 6 - Sale <sup>25</sup>
	0.000	0.06	08/07/20	0.04	...	0.02	3 of 6 - Sale <sup>25</sup>
	0.612	154.53	08/07/20	104.96	...	49.57	4 of 6 - Sale <sup>25</sup>
	0.229	57.72	08/28/20	40.00	...	17.72	5 of 6 - Sale <sup>25</sup>
	0.971	245.26	09/21/20	152.50	...	92.76	6 of 6 - Sale <sup>25</sup>
06/09/21	2.400	606.06	Various	394.47	...	211.59	Total of 6 transactions
	3 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	0.971	214.96	04/09/21	244.41	...	-29.45	1 of 3 - Sale <sup>25</sup>
	0.029	6.35	04/09/21	7.21	...	-0.86	2 of 3 - Sale <sup>25</sup>
	1.000	221.37	07/20/21	216.93	...	4.44	3 of 3 - Sale <sup>25</sup>
09/24/21	2.000	442.68	Various	468.55	...	-25.87	Total of 3 transactions
	<b>Security total:</b>	<b>1,716.84</b>		<b>1,416.62</b>	...	<b>300.22</b>	
BOSTON BEER COMPANY / CUSIP: 100557107 / Symbol:							
11/16/21	0.008	3.85	04/08/21	10.00	...	-6.15	Sale <sup>25</sup>
BOX, INC. / CUSIP: 10316T104 / Symbol:							
12/07/21	5.000	131.85	12/01/21	131.64	...	0.21	Sale <sup>25</sup>
BRIACELL THERAPEUTICS CORP. CO MMON SHARES / CUSIP: 10778Y302 / Symbol:							
12/13/21	20.000	149.03	12/01/21	234.80	...	-85.77	Sale <sup>25</sup>
BUMBLE INC. CLASS A COMMON STO CK / CUSIP: 12047B105 / Symbol:							
11/12/21	20.000	746.62	11/11/21	765.60	...	-18.98	Sale <sup>25</sup>
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
	2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.						
	18.000	33.84	11/29/21	30.23	...	3.61	1 of 2 - Sale <sup>25</sup>
	32.000	60.16	11/29/21	53.73	...	6.43	2 of 2 - Sale <sup>25</sup>
11/29/21	50.000	94.00	Various	83.96	...	10.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
11/16/21	10.000	10.52	09/29/21	39.18	28.66 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	243.000	257.14	11/17/21	325.62	...	-68.48	1 of 3 - Sale <sup>25</sup>
	10.000	10.58	11/17/21	42.01	...	-31.43	2 of 3 - Sale <sup>25</sup>
	47.000	49.73	11/17/21	62.75	...	-13.02	3 of 3 - Sale <sup>25</sup>
12/13/21	300.000	317.45	Various	430.38	...	-112.93	Total of 3 transactions
	<b>Security total:</b>	<b>327.97</b>		<b>469.56</b>	<b>28.66 W</b>	<b>-112.93</b>	
CANADIAN SOLAR INC. COMMON SHA RES (BC) / CUSIP: 136635109 / Symbol:							
<i>5 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	0.772	25.79	02/17/21	43.15	...	-17.36	1 of 5 - Sale <sup>25</sup>
	0.228	7.63	02/17/21	12.76	...	-5.13	2 of 5 - Sale <sup>25</sup>
	1.000	33.42	03/01/21	49.51	...	-16.09	3 of 5 - Sale <sup>25</sup>
	1.000	33.42	03/12/21	46.04	...	-12.62	4 of 5 - Sale <sup>25</sup>
	0.228	7.63	03/16/21	10.00	...	-2.37	5 of 5 - Sale <sup>25</sup>
12/13/21	3.228	107.89	Various	161.46	...	-53.57	Total of 5 transactions
CANDEL THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 137404109 / Symbol:							
12/13/21	10.000	83.30	12/07/21	93.20	...	-9.90	Sale <sup>25</sup>
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
<i>4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.32	02/09/21	45.80	...	-36.48	1 of 4 - Sale <sup>25</sup>
	0.023	0.21	02/09/21	1.00	...	-0.79	2 of 4 - Sale <sup>25</sup>
	30.000	279.59	11/12/21	444.00	...	-164.41	3 of 4 - Sale <sup>25</sup>
	20.000	186.40	11/12/21	295.90	...	-109.50	4 of 4 - Sale <sup>25</sup>
12/13/21	51.023	475.52	Various	786.70	...	-311.18	Total of 4 transactions
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
08/24/21	1.000	7.40	08/23/21	7.33	...	0.07	Sale <sup>25</sup>
<i>2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	91.50	09/24/21	91.00	...	0.50	1 of 2 - Sale <sup>25</sup>
	10.000	91.50	09/27/21	83.80	...	7.70	2 of 2 - Sale <sup>25</sup>
11/16/21	20.000	183.00	Various	174.80	...	8.20	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>190.40</b>		<b>182.13</b>	...		<b>8.27</b>
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
10/28/21	2.000	11.94	07/23/21	10.62	...	1.32	Sale <sup>25</sup>
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
03/16/21	1.000	28.98	06/10/20	22.02	...	6.96	Sale <sup>25</sup>
05/19/21	1.000	27.49	06/12/20	19.83	...	7.66	Sale <sup>25</sup>
09/22/21	0.356	8.44	03/17/21	10.00	...	-1.56	Sale <sup>25</sup>
12/13/21	30.000	542.14	11/11/21	692.39	...	-150.25	Sale <sup>25</sup>
<b>Security total:</b>		<b>607.05</b>		<b>744.24</b>	...		<b>-137.19</b>
CARVANA CO. / CUSIP: 146869102 / Symbol:							
<i>4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.009	2.72	08/07/20	1.93	...	0.79	1 of 4 - Sale <sup>25</sup>
	0.321	95.95	08/07/20	68.07	...	27.88	2 of 4 - Sale <sup>25</sup>
	0.071	21.09	12/22/20	20.00	...	1.09	3 of 4 - Sale <sup>25</sup>
	0.599	178.70	01/28/21	157.59	...	21.11	4 of 4 - Sale <sup>25</sup>
02/10/21	1.000	298.46	Various	247.59	...	50.87	Total of 4 transactions
02/17/21	0.400	116.54	01/28/21	105.27	...	11.27	Sale <sup>25</sup>
<i>3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.37	01/28/21	0.33	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.038	11.04	02/01/21	10.00	...	1.04	2 of 3 - Sale <sup>25</sup>
	0.079	23.09	03/09/21	20.00	...	3.09	3 of 3 - Sale <sup>25</sup>
10/26/21	0.117	34.50	Various	30.33	...	4.17	Total of 3 transactions
<b>Security total:</b>		<b>449.50</b>		<b>383.19</b>	...		<b>66.31</b>
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
<i>4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	10.55	02/17/21	44.14	...	-33.59	1 of 4 - Sale <sup>25</sup>
	2.000	4.22	03/01/21	12.25	...	-8.03	2 of 4 - Sale <sup>25</sup>
	1.000	2.11	03/09/21	5.09	...	-2.98	3 of 4 - Sale <sup>25</sup>
	2.000	4.22	03/16/21	11.72	...	-7.50	4 of 4 - Sale <sup>25</sup>
11/16/21	10.000	21.10	Various	73.20	...	-52.10	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELYAD ONCOLOGY SA AMERICAN DE POSITARY SHARES / CUSIP: 151205200 / Symbol:							
12/03/21	10.000	50.00	12/03/21	49.35	...	0.65	Sale <sup>25</sup>
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
06/21/21	1.000	32.69	03/25/21	19.98	...	12.71	Sale <sup>25</sup>
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	25.05	05/05/21	23.09	...	1.96	1 of 4 - Sale <sup>25</sup>
	1.000	25.05	07/01/21	32.94	...	-7.89	2 of 4 - Sale <sup>25</sup>
	5.000	125.25	10/28/21	120.90	...	4.35	3 of 4 - Sale <sup>25</sup>
	5.000	125.25	10/28/21	120.90	...	4.35	4 of 4 - Sale <sup>25</sup>
11/01/21	12.000	300.60	Various	297.83	...	2.77	Total of 4 transactions
12/13/21	1.000	20.52	12/10/21	20.86	...	-0.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>353.81</b>		<b>338.67</b>	...	<b>15.14</b>	
CHECKPOINT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 162828107 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	4.28	02/12/21	4.44	...	-0.16	1 of 3 - Sale <sup>25</sup>
	10.000	42.81	03/01/21	32.88	...	9.93	2 of 3 - Sale <sup>25</sup>
	5.000	21.41	07/01/21	14.19	...	7.22	3 of 3 - Sale <sup>25</sup>
11/12/21	16.000	68.50	Various	51.51	...	16.99	Total of 3 transactions
CHINA XD PLASTICS CO LTD COMMO N STOCK / CUSIP: 16948F107 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	50.000	74.00	10/27/21	64.50	...	9.50	1 of 2 - Sale <sup>25</sup>
	50.000	68.00	10/27/21	55.50	...	12.50	2 of 2 - Sale <sup>25</sup>
10/27/21	100.000	142.00	Various	120.00	...	22.00	Total of 2 transactions
CIPHER MINING INC. COMMON STOC K / CUSIP: 17253J106 / Symbol:							
12/13/21	20.000	103.26	11/22/21	165.80	...	-62.54	Sale <sup>25</sup>
CLARUS THERAPEUTICS HOLDINGS, INC. COMMON STOCK / CUSIP: 18271L107 / Symbol:							
14 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	50.000	324.99	09/08/21	449.25	...	-124.26	1 of 14 - Sale <sup>25</sup>
	30.000	195.00	09/10/21	244.07	...	-49.07	2 of 14 - Sale <sup>25</sup>
	15.000	97.50	09/13/21	173.38	...	-75.88	3 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLARUS THERAPEUTICS HOLDINGS, INC. COMMON STOCK / CUSIP: 18271L107 / Symbol: (cont'd)							
	10.000	65.00	09/13/21	115.49	...	-50.49	4 of 14 - Sale <sup>25</sup>
	5.000	32.50	09/13/21	57.85	...	-25.35	5 of 14 - Sale <sup>25</sup>
	52.000	337.99	10/13/21	482.37	...	-144.38	6 of 14 - Sale <sup>25</sup>
	25.000	159.17	10/13/21	231.91	...	-72.74	7 of 14 - Sale <sup>25</sup>
	8.000	52.00	10/13/21	73.35	...	-21.35	8 of 14 - Sale <sup>25</sup>
	20.000	130.00	10/13/21	105.40	...	24.60	9 of 14 - Sale <sup>25</sup>
	23.000	149.50	10/13/21	124.15	...	25.35	10 of 14 - Sale <sup>25</sup>
	30.000	194.99	10/13/21	157.96	...	37.03	11 of 14 - Sale <sup>25</sup>
	42.000	272.99	10/13/21	222.18	...	50.81	12 of 14 - Sale <sup>25</sup>
	200.000	1,299.97	10/13/21	1,057.32	...	242.65	13 of 14 - Sale <sup>25</sup>
	200.000	1,299.96	10/13/21	1,056.00	...	243.96	14 of 14 - Sale <sup>25</sup>
10/13/21	710.000	4,611.56	Various	4,550.68	...	60.88	Total of 14 transactions
	4 transactions for 10/13/21. Total proceeds and cost reported to the IRS.						
	5.000	32.50	09/08/21	51.95	19.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	65.00	09/08/21	103.69	38.69 W	0.00	2 of 4 - Sale <sup>25</sup>
	23.000	149.50	09/08/21	238.71	89.21 W	0.00	3 of 4 - Sale <sup>25</sup>
	77.000	500.49	09/08/21	799.14	298.65 W	0.00	4 of 4 - Sale <sup>25</sup>
10/13/21	115.000	747.49	Various	1,193.49	446.00 W	0.00	Total of 4 transactions
11/23/21	5.000	27.75	11/23/21	31.65	...	-3.90	Sale <sup>25</sup>
11/30/21	5.000	33.00	11/23/21	31.65	...	1.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,419.80</b>		<b>5,807.47</b>	<b>446.00 W</b>	<b>58.33</b>	
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
	5 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	5.000	39.40	02/17/21	78.83	...	-39.43	1 of 5 - Sale <sup>25</sup>
	1.000	7.88	03/01/21	13.91	...	-6.03	2 of 5 - Sale <sup>25</sup>
	2.000	15.76	04/20/21	19.62	...	-3.86	3 of 5 - Sale <sup>25</sup>
	2.000	15.76	04/20/21	19.59	...	-3.83	4 of 5 - Sale <sup>25</sup>
	2.000	15.76	04/20/21	19.58	...	-3.82	5 of 5 - Sale <sup>25</sup>
11/18/21	12.000	94.56	Various	151.53	...	-56.97	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOOPEN GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TWO CLASS A OR / CUSIP: 18900M104 / Symbol:							
3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	3.000	10.13	12/06/21	10.17	...	-0.04	1 of 3 - Sale <sup>25</sup>
	1.600	5.40	12/06/21	5.42	...	-0.02	2 of 3 - Sale <sup>25</sup>
	0.400	1.35	12/06/21	1.36	...	-0.01	3 of 3 - Sale <sup>25</sup>
12/07/21	5.000	16.88	Various	16.95	...	-0.07	Total of 3 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
09/01/21	0.036	0.31	06/09/21	1.00	0.69 W	0.00	Sale <sup>25</sup>
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	9.964	42.35	09/03/21	88.28	...	-45.93	1 of 3 - Sale <sup>25</sup>
	10.000	42.50	09/03/21	88.25	...	-45.75	2 of 3 - Sale <sup>25</sup>
	0.036	0.15	09/03/21	1.01	...	-0.86	3 of 3 - Sale <sup>25</sup>
12/13/21	20.000	85.00	Various	177.54	...	-92.54	Total of 3 transactions
	<b>Security total:</b>	<b>85.31</b>		<b>178.54</b>	<b>0.69 W</b>	<b>-92.54</b>	
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
7 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.453	37.24	01/29/21	35.43	...	1.81	1 of 7 - Sale <sup>25</sup>
	0.547	45.01	01/29/21	42.82	...	2.19	2 of 7 - Sale <sup>25</sup>
	1.000	82.25	02/01/21	79.51	...	2.74	3 of 7 - Sale <sup>25</sup>
	2.000	164.49	02/01/21	159.90	...	4.59	4 of 7 - Sale <sup>25</sup>
	1.000	82.25	02/17/21	84.07	...	-1.82	5 of 7 - Sale <sup>25</sup>
	2.000	164.49	02/17/21	165.72	...	-1.23	6 of 7 - Sale <sup>25</sup>
	0.547	45.01	03/02/21	41.64	...	3.37	7 of 7 - Sale <sup>25</sup>
05/28/21	7.547	620.74	Various	609.09	...	11.65	Total of 7 transactions
3 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	0.453	52.84	03/02/21	34.45	...	18.39	1 of 3 - Sale <sup>25</sup>
	0.151	17.58	03/09/21	10.00	...	7.58	2 of 3 - Sale <sup>25</sup>
	0.397	46.28	03/16/21	30.00	...	16.28	3 of 3 - Sale <sup>25</sup>
07/26/21	1.000	116.70	Various	74.45	...	42.25	Total of 3 transactions
	<b>Security total:</b>	<b>737.44</b>		<b>683.54</b>	...	<b>53.90</b>	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
11/29/21	60.000	618.84	11/12/21	565.80	...	53.04	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	0.103	33.04	04/23/21	30.00	...	3.04	1 of 2 - Sale <sup>25</sup>
	0.183	58.63	05/05/21	50.00	...	8.63	2 of 2 - Sale <sup>25</sup>
10/28/21	0.286	91.67	Various	80.00	...	11.67	Total of 2 transactions
COMSOVEREIGN HOLDING CORP. COM MON STOCK / CUSIP: 205650203 / Symbol:							
12/13/21	100.000	90.32	11/17/21	147.00	...	-56.68	Sale <sup>25</sup>
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
11/05/21	5.000	375.00	09/29/21	338.30	...	36.70	Sale <sup>25</sup>
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
12/13/21	20.000	70.00	12/01/21	73.90	1.95 W	-1.95	Sale <sup>25</sup>
CONTRAFECT CORPORATION COMMON STOCK / CUSIP: 212326300 / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	15.000	54.75	11/30/21	55.50	...	-0.75	1 of 2 - Sale <sup>25</sup>
	15.000	55.20	11/30/21	55.50	...	-0.30	2 of 2 - Sale <sup>25</sup>
11/30/21	30.000	109.95	Various	111.00	...	-1.05	Total of 2 transactions
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
11 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	20.000	21.60	10/11/21	20.74	...	0.86	1 of 11 - Sale <sup>25</sup>
	20.000	21.60	10/11/21	20.74	...	0.86	2 of 11 - Sale <sup>25</sup>
	30.000	32.40	10/11/21	31.10	...	1.30	3 of 11 - Sale <sup>25</sup>
	30.000	32.40	10/11/21	31.10	...	1.30	4 of 11 - Sale <sup>25</sup>
	50.000	53.99	10/11/21	51.79	...	2.20	5 of 11 - Sale <sup>25</sup>
	50.000	53.99	10/13/21	51.36	...	2.63	6 of 11 - Sale <sup>25</sup>
	100.000	107.99	10/13/21	102.50	...	5.49	7 of 11 - Sale <sup>25</sup>
	181.316	195.80	10/13/21	186.27	...	9.53	8 of 11 - Sale <sup>25</sup>
	200.000	215.97	10/13/21	205.46	...	10.51	9 of 11 - Sale <sup>25</sup>
	200.000	215.97	10/13/21	205.46	...	10.51	10 of 11 - Sale <sup>25</sup>
	200.000	215.97	10/13/21	205.46	...	10.51	11 of 11 - Sale <sup>25</sup>
10/19/21	1,081.316	1,167.68	Various	1,111.98	...	55.70	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol: (cont'd)							
4 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	5.000	5.40	02/03/21	13.70	8.30 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	5.40	02/03/21	13.70	8.30 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.684	0.74	03/17/21	1.46	0.72 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	4.32	03/17/21	8.54	4.22 W	0.00	4 of 4 - Sale <sup>25</sup>
10/19/21	14.684	15.86	Various	37.40	21.54 W	0.00	Total of 4 transactions
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	5.000	5.40	10/13/21	13.44	...	-8.04	1 of 5 - Sale <sup>25</sup>
	5.000	5.40	10/13/21	13.43	...	-8.03	2 of 5 - Sale <sup>25</sup>
	3.316	3.58	10/13/21	6.91	...	-3.33	3 of 5 - Sale <sup>25</sup>
	0.684	0.71	10/13/21	1.42	...	-0.71	4 of 5 - Sale <sup>25</sup>
	0.684	0.74	10/13/21	1.42	...	-0.68	5 of 5 - Sale <sup>25</sup>
11/02/21	14.684	15.83	Various	36.62	...	-20.79	Total of 5 transactions
	<b>Security total:</b>	<b>1,199.37</b>		<b>1,186.00</b>	<b>21.54 W</b>	<b>34.91</b>	
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	453.06	08/16/21	450.95	...	2.11	1 of 2 - Sale <sup>25</sup>
	1.000	453.06	08/25/21	451.39	...	1.67	2 of 2 - Sale <sup>25</sup>
10/11/21	2.000	906.12	Various	902.34	...	3.78	Total of 2 transactions
12/13/21	1.000	552.54	11/26/21	547.32	...	5.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,458.66</b>		<b>1,449.66</b>	...	<b>9.00</b>	
COTY INC / CUSIP: 222070203 / Symbol:							
12/13/21	20.000	193.80	11/17/21	216.60	...	-22.80	Sale <sup>25</sup>
COUCHBASE, INC. COMMON STOCK / CUSIP: 22207T101 / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	45.58	07/23/21	32.78	...	12.80	1 of 2 - Sale <sup>25</sup>
	1.000	45.58	07/30/21	29.55	...	16.03	2 of 2 - Sale <sup>25</sup>
11/09/21	2.000	91.16	Various	62.33	...	28.83	Total of 2 transactions
COUPA SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 22266L106 / Symbol:							
12/13/21	1.000	155.95	12/07/21	176.30	...	-20.35	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COUPANG, INC. / CUSIP: 22266T109 / Symbol:							
12/14/21	5.000	136.90	11/15/21	139.85	...	-2.95	Sale <sup>25</sup>
CRICUT, INC. CLASS A COMMON ST OCK / CUSIP: 22658D100 / Symbol:							
<i>3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	145.19	10/14/21	138.40	...	6.79	1 of 3 - Sale <sup>25</sup>
	10.000	290.00	10/14/21	274.66	...	15.34	2 of 3 - Sale <sup>25</sup>
	45.000	1,304.98	10/14/21	1,245.60	...	59.38	3 of 3 - Sale <sup>25</sup>
10/28/21	60.000	1,740.17	Various	1,658.66	...	81.51	Total of 3 transactions
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
<i>10 transactions for 08/05/21. Total proceeds and cost reported to the IRS.</i>							
	0.091	24.12	12/22/20	20.00	...	4.12	1 of 10 - Sale <sup>25</sup>
	0.224	59.52	12/22/20	50.00	...	9.52	2 of 10 - Sale <sup>25</sup>
	0.000	0.10	02/18/21	0.09	...	0.01	3 of 10 - Sale <sup>25</sup>
	0.042	11.21	02/18/21	10.00	...	1.21	4 of 10 - Sale <sup>25</sup>
	0.424	112.62	02/18/21	99.91	...	12.71	5 of 10 - Sale <sup>25</sup>
	0.451	119.93	03/01/21	100.00	...	19.93	6 of 10 - Sale <sup>25</sup>
	0.105	27.85	03/09/21	20.00	...	7.85	7 of 10 - Sale <sup>25</sup>
	0.050	13.28	03/16/21	10.00	...	3.28	8 of 10 - Sale <sup>25</sup>
	1.000	265.77	07/20/21	253.20	...	12.57	9 of 10 - Sale <sup>25</sup>
	0.613	162.90	07/27/21	161.02	...	1.88	10 of 10 - Sale <sup>25</sup>
08/05/21	3.000	797.30	Various	724.22	...	73.08	Total of 10 transactions
09/27/21	0.387	100.63	07/27/21	101.67	...	-1.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>897.93</b>		<b>825.89</b>	...	<b>72.04</b>	
CUMBERLAND PHARMACEUTICALS INC . COMMON STOCK / CUSIP: 230770109 / Symbol:							
<i>3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	25.00	11/29/21	18.00	...	7.00	1 of 3 - Sale <sup>25</sup>
	15.000	75.00	11/29/21	60.30	...	14.70	2 of 3 - Sale <sup>25</sup>
	15.000	75.00	11/29/21	54.00	...	21.00	3 of 3 - Sale <sup>25</sup>
11/29/21	35.000	175.00	Various	132.30	...	42.70	Total of 3 transactions
11/30/21	15.000	72.53	11/29/21	60.30	...	12.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>247.53</b>		<b>192.60</b>	...	<b>54.93</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYNERGISTEK, INC. / CUSIP: 23258P105 / Symbol:							
09/07/21	1.000	2.00	02/12/21	2.58	0.58 W	0.00	Sale <sup>25</sup>
	5 transactions for 09/10/21. Total proceeds and cost reported to the IRS.						
	1.000	2.00	08/26/21	2.44	...	-0.44	1 of 5 - Sale <sup>25</sup>
	1.000	2.00	08/26/21	1.86	...	0.14	2 of 5 - Sale <sup>25</sup>
	2.000	4.00	08/26/21	3.70	...	0.30	3 of 5 - Sale <sup>25</sup>
	5.000	10.00	08/26/21	9.28	...	0.72	4 of 5 - Sale <sup>25</sup>
	5.000	10.00	08/26/21	9.25	...	0.75	5 of 5 - Sale <sup>25</sup>
09/10/21	14.000	28.00	Various	26.53	...	1.47	Total of 5 transactions
	<b>Security total:</b>	<b>30.00</b>		<b>29.11</b>	<b>0.58 W</b>	<b>1.47</b>	
DANIMER SCIENTIFIC, INC. / CUSIP: 236272100 / Symbol:							
06/02/21	1.000	24.48	05/21/21	22.95	...	1.53	Sale <sup>25</sup>
06/09/21	0.900	25.65	05/24/21	19.62	...	6.03	Sale <sup>25</sup>
09/01/21	0.100	1.94	05/24/21	2.18	...	-0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>52.07</b>		<b>44.75</b>	...	<b>7.32</b>	
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
08/31/21	1.000	1.74	03/10/21	2.03	...	-0.29	Sale <sup>25</sup>
12/13/21	20.000	38.27	12/07/21	62.40	...	-24.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>40.01</b>		<b>64.43</b>	...	<b>-24.42</b>	
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
	3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	2.000	12.50	10/22/21	22.88	...	-10.38	1 of 3 - Sale <sup>25</sup>
	1.000	6.25	10/22/21	9.85	...	-3.60	2 of 3 - Sale <sup>25</sup>
	5.000	31.23	10/28/21	44.40	...	-13.17	3 of 3 - Sale <sup>25</sup>
11/16/21	8.000	49.98	Various	77.13	...	-27.15	Total of 3 transactions
DEERE & COMPANY / CUSIP: 244199105 / Symbol:							
	3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	0.317	118.66	02/08/21	100.00	...	18.66	1 of 3 - Sale <sup>25</sup>
	0.276	103.29	03/01/21	100.00	...	3.29	2 of 3 - Sale <sup>25</sup>
	0.107	40.19	03/02/21	38.21	...	1.98	3 of 3 - Sale <sup>25</sup>
03/16/21	0.700	262.14	Various	238.21	...	23.93	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DEERE & COMPANY / CUSIP: 244199105 / Symbol: (cont'd)							
05/05/21	0.030	11.39	03/02/21	10.69	...	0.70	Sale <sup>25</sup>
09/03/21	0.003	1.19	03/02/21	1.10	...	0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>274.72</b>		<b>250.00</b>	...	<b>24.72</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	5 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	1.000	42.72	03/30/20	28.57	...	14.15	1 of 5 - Sale <sup>25</sup>
	1.000	42.72	04/23/20	22.69	...	20.03	2 of 5 - Sale <sup>25</sup>
	1.000	42.72	05/04/20	21.92	...	20.80	3 of 5 - Sale <sup>25</sup>
	1.000	42.72	05/08/20	22.82	...	19.90	4 of 5 - Sale <sup>25</sup>
	1.000	42.72	05/13/20	19.42	...	23.30	5 of 5 - Sale <sup>25</sup>
02/10/21	5.000	213.60	Various	115.42	...	98.18	Total of 5 transactions
	5 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	44.15	05/13/20	19.42	...	24.73	1 of 5 - Sale <sup>25</sup>
	1.000	44.14	06/08/20	36.70	...	7.44	2 of 5 - Sale <sup>25</sup>
	1.000	44.15	06/18/20	30.47	...	13.68	3 of 5 - Sale <sup>25</sup>
	1.000	44.14	09/17/20	33.80	...	10.34	4 of 5 - Sale <sup>25</sup>
	1.000	44.15	01/25/21	39.09	...	5.06	5 of 5 - Sale <sup>25</sup>
02/17/21	5.000	220.73	Various	159.48	...	61.25	Total of 5 transactions
09/24/21	1.000	43.50	02/04/21	40.71	...	2.79	Sale <sup>25</sup>
	<b>Security total:</b>	<b>477.83</b>		<b>315.61</b>	...	<b>162.22</b>	
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
	2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.						
	10.000	16.01	02/17/21	16.10	...	-0.09	1 of 2 - Sale <sup>25</sup>
	10.000	16.00	02/17/21	14.80	...	1.20	2 of 2 - Sale <sup>25</sup>
10/12/21	20.000	32.01	Various	30.90	...	1.11	Total of 2 transactions
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
12/13/21	100.000	109.99	11/19/21	147.66	...	-37.67	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL WORLD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	1.000	19.11	10/21/21	18.75	...	0.36	1 of 3 - Sale <sup>25</sup>
	2.000	40.00	10/21/21	37.50	...	2.50	2 of 3 - Sale <sup>25</sup>
	2.000	40.00	10/21/21	37.50	...	2.50	3 of 3 - Sale <sup>25</sup>
10/21/21	5.000	99.11	Various	93.75	...	5.36	Total of 3 transactions
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	0.625	74.33	10/22/21	100.00	...	-25.67	1 of 2 - Sale <sup>25</sup>
	1.000	120.00	10/22/21	87.10	...	32.90	2 of 2 - Sale <sup>25</sup>
10/22/21	1.625	194.33	Various	187.10	...	7.23	Total of 2 transactions
12/08/21	1.000	59.77	12/08/21	60.72	0.95 W	0.00	Sale <sup>25</sup>
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	53.00	12/14/21	53.91	...	-0.91	1 of 2 - Sale <sup>25</sup>
	1.000	53.00	12/14/21	52.96	...	0.04	2 of 2 - Sale <sup>25</sup>
12/16/21	2.000	106.00	Various	106.87	...	-0.87	Total of 2 transactions
	<b>Security total:</b>	<b>459.21</b>		<b>448.44</b>	<b>0.95 W</b>	<b>11.72</b>	
DIGITAL BRANDS GROUP, INC. COM MON STOCK / CUSIP: 25401N101 / Symbol:							
10/28/21	1.000	5.00	10/28/21	4.76	...	0.24	Sale <sup>25</sup>
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
5 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.546	82.54	02/18/21	100.00	...	-17.46	1 of 5 - Sale <sup>25</sup>
	0.049	7.46	03/08/21	10.00	...	-2.54	2 of 5 - Sale <sup>25</sup>
	0.051	7.70	03/15/21	10.00	...	-2.30	3 of 5 - Sale <sup>25</sup>
	0.052	7.83	03/16/21	10.00	...	-2.17	4 of 5 - Sale <sup>25</sup>
	1.000	151.11	08/19/21	173.32	...	-22.21	5 of 5 - Sale <sup>25</sup>
12/13/21	1.698	256.64	Various	303.32	...	-46.68	Total of 5 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
02/08/21	1.000	248.52	02/02/21	240.47	...	8.05	Sale <sup>25</sup>
07/26/21	1.000	306.69	07/16/21	279.83	...	26.86	Sale <sup>25</sup>
11/02/21	0.357	100.38	10/21/21	100.00	...	0.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>655.59</b>		<b>620.30</b>	...	<b>35.29</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
03/01/21	1.000	67.60	02/03/21	60.13	...	7.47	Sale <sup>25</sup>
	<i>7 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	30.20	02/03/21	59.94	...	-29.74	1 of 7 - Sale <sup>25</sup>
	2.000	60.40	02/04/21	125.76	...	-65.36	2 of 7 - Sale <sup>25</sup>
	1.000	30.20	02/08/21	61.37	...	-31.17	3 of 7 - Sale <sup>25</sup>
	1.000	30.20	04/06/21	62.87	...	-32.67	4 of 7 - Sale <sup>25</sup>
	5.000	151.00	11/18/21	191.79	...	-40.79	5 of 7 - Sale <sup>25</sup>
	5.000	151.00	11/18/21	191.65	...	-40.65	6 of 7 - Sale <sup>25</sup>
	1.000	30.20	11/18/21	38.24	...	-8.04	7 of 7 - Sale <sup>25</sup>
12/13/21	16.000	483.20	Various	731.62	...	-248.42	Total of 7 transactions
	<b>Security total:</b>	<b>550.80</b>		<b>791.75</b>	...	<b>-240.95</b>	
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
	<i>3 transactions for 09/22/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.14	02/02/21	10.45	...	8.69	1 of 3 - Sale <sup>25</sup>
	2.000	38.27	04/20/21	19.04	...	19.23	2 of 3 - Sale <sup>25</sup>
	1.000	19.13	08/26/21	17.72	...	1.41	3 of 3 - Sale <sup>25</sup>
09/22/21	4.000	76.54	Various	47.21	...	29.33	Total of 3 transactions
POINT BRIDGE GOP STOCK TRACKER ETF / CUSIP: 26922A628 / Symbol:							
10/26/21	1.000	38.91	10/22/21	38.86	...	0.05	Sale <sup>25</sup>
ECOLAB, INC. / CUSIP: 278865100 / Symbol:							
09/01/21	0.233	52.49	04/08/21	50.00	...	2.49	Sale <sup>25</sup>
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
09/01/21	1.000	68.00	01/25/21	66.85	...	1.15	Sale <sup>25</sup>
09/03/21	0.219	15.80	03/16/21	10.00	...	5.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>83.80</b>		<b>76.85</b>	...	<b>6.95</b>	
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
12/01/21	50.000	103.00	11/29/21	110.00	...	-7.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol:							
<i>4 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	3.95	01/28/21	13.55	...	-9.60	1 of 4 - Sale <sup>25</sup>
	5.000	3.96	02/01/21	12.60	...	-8.64	2 of 4 - Sale <sup>25</sup>
	10.000	7.91	02/17/21	28.00	...	-20.09	3 of 4 - Sale <sup>25</sup>
	2.000	1.58	03/16/21	4.36	...	-2.78	4 of 4 - Sale <sup>25</sup>
11/18/21	22.000	17.40	Various	58.51	...	-41.11	Total of 4 transactions
ENERGY FUELS INC. / CUSIP: 292671708 / Symbol:							
11/04/21	10.000	99.30	11/04/21	99.19	...	0.11	Sale <sup>25</sup>
ENOVIX CORPORATION COMMON STOCK / CUSIP: 293594107 / Symbol:							
<i>4 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.74	02/22/21	23.66	...	-3.92	1 of 4 - Sale <sup>25</sup>
	1.000	19.74	03/12/21	15.14	...	4.60	2 of 4 - Sale <sup>25</sup>
	1.000	19.74	03/19/21	14.86	...	4.88	3 of 4 - Sale <sup>25</sup>
	2.000	39.48	07/20/21	38.71	...	0.77	4 of 4 - Sale <sup>25</sup>
09/24/21	5.000	98.70	Various	92.37	...	6.33	Total of 4 transactions
ENSYSCE BIOSCIENCES, INC. COMMON STOCK / CUSIP: 293602108 / Symbol:							
<i>3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	25.11	12/07/21	20.70	...	4.41	1 of 3 - Sale <sup>25</sup>
	10.000	29.00	12/07/21	20.70	...	8.30	2 of 3 - Sale <sup>25</sup>
	80.000	239.99	12/07/21	165.60	...	74.39	3 of 3 - Sale <sup>25</sup>
12/07/21	100.000	294.10	Various	207.00	...	87.10	Total of 3 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
01/25/21	1.000	217.00	12/16/20	181.23	...	35.77	Sale <sup>25</sup>
01/26/21	1.000	226.11	12/17/20	187.87	...	38.24	Sale <sup>25</sup>
<i>3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>							
	0.253	50.73	12/22/20	50.00	...	0.73	1 of 3 - Sale <sup>25</sup>
	0.258	51.89	12/22/20	50.00	...	1.89	2 of 3 - Sale <sup>25</sup>
	1.000	200.80	12/22/20	197.35	...	3.45	3 of 3 - Sale <sup>25</sup>
01/29/21	1.511	303.42	Various	297.35	...	6.07	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETS, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	0.233	46.86	01/14/21	50.00	3.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.234	47.05	01/21/21	50.00	2.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	200.80	01/28/21	206.20	5.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	200.81	01/28/21	204.42	3.61 W	0.00	4 of 4 - Sale <sup>25</sup>
01/29/21	2.468	495.52	Various	510.62	15.10 W	0.00	Total of 4 transactions
7 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	0.233	57.32	02/03/21	52.79	...	4.53	1 of 7 - Sale <sup>25</sup>
	0.234	57.56	02/03/21	52.81	...	4.75	2 of 7 - Sale <sup>25</sup>
	0.532	130.78	02/03/21	116.16	...	14.62	3 of 7 - Sale <sup>25</sup>
	0.468	115.14	02/04/21	105.81	...	9.33	4 of 7 - Sale <sup>25</sup>
	0.532	131.06	02/04/21	119.48	...	11.58	5 of 7 - Sale <sup>25</sup>
	0.032	7.96	02/08/21	7.55	...	0.41	6 of 7 - Sale <sup>25</sup>
	0.468	115.14	02/08/21	110.80	...	4.34	7 of 7 - Sale <sup>25</sup>
03/01/21	2.500	614.96	Various	565.40	...	49.56	Total of 7 transactions
5 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	0.245	53.91	02/08/21	57.18	...	-3.27	1 of 5 - Sale <sup>25</sup>
	0.255	56.70	02/08/21	59.48	...	-2.78	2 of 5 - Sale <sup>25</sup>
	0.228	50.05	02/17/21	50.00	...	0.05	3 of 5 - Sale <sup>25</sup>
	0.478	105.18	02/24/21	100.00	...	5.18	4 of 5 - Sale <sup>25</sup>
	0.049	10.86	03/09/21	10.00	...	0.86	5 of 5 - Sale <sup>25</sup>
09/24/21	1.255	276.70	Various	276.66	...	0.04	Total of 5 transactions
	<b>Security total:</b>	<b>2,133.71</b>		<b>2,019.13</b>	<b>15.10 W</b>	<b>129.68</b>	
EVGO INC. CLASS A COMMON STOCK / CUSIP: 30052F100 / Symbol:							
12/13/21	10.000	123.20	12/09/21	136.90	...	-13.70	Sale <sup>25</sup>
EXELA TECHNOLOGIES, INC. COMM N STOCK / CUSIP: 30162V409 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	5.000	6.17	08/31/21	12.90	...	-6.73	1 of 2 - Sale <sup>25</sup>
	100.000	123.33	10/29/21	193.82	...	-70.49	2 of 2 - Sale <sup>25</sup>
12/13/21	105.000	129.50	Various	206.72	...	-77.22	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol:							
<i>2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.</i>							
	0.260	10.61	02/17/21	20.00	...	-9.39	1 of 2 - Sale <sup>25</sup>
	0.156	6.35	03/01/21	10.00	...	-3.65	2 of 2 - Sale <sup>25</sup>
11/18/21	0.415	16.96	Various	30.00	...	-13.04	Total of 2 transactions
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/26/21	5.000	13.80	02/24/21	17.70	...	-3.90	Sale <sup>25</sup>
08/19/21	1.000	6.40	06/02/21	6.04	...	0.36	Sale <sup>25</sup>
12/13/21	20.000	63.90	12/02/21	79.34	...	-15.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>84.10</b>		<b>103.08</b>	...	<b>-18.98</b>	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
12/13/21	5.000	309.80	11/19/21	303.19	...	6.61	Sale <sup>25</sup>
EYEPOINT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233G209 / Symbol:							
11/15/21	10.000	206.00	11/15/21	182.30	...	23.70	Sale <sup>25</sup>
FAT BRANDS INC. CLASS B COMMON STOCK / CUSIP: 30258N600 / Symbol:							
<i>4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	21.000	171.48	11/03/21	173.04	...	-1.56	1 of 4 - Sale <sup>25</sup>
	0.400	3.30	11/03/21	3.30	...	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	65.96	11/03/21	65.92	...	0.04	3 of 4 - Sale <sup>25</sup>
	0.600	5.04	11/03/21	4.94	...	0.10	4 of 4 - Sale <sup>25</sup>
11/03/21	30.000	245.78	Various	247.20	...	-1.42	Total of 4 transactions
FG FINANCIAL GROUP, INC. COMMO N STOCK / CUSIP: 30259W104 / Symbol:							
<i>8 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	101.00	11/01/21	101.00	...	0.00	1 of 8 - Sale <sup>25</sup>
	25.000	126.74	11/01/21	125.75	...	0.99	2 of 8 - Sale <sup>25</sup>
	29.000	146.45	11/01/21	145.00	...	1.45	3 of 8 - Sale <sup>25</sup>
	75.000	378.74	11/01/21	377.25	...	1.49	4 of 8 - Sale <sup>25</sup>
	25.000	126.75	11/01/21	122.50	...	4.25	5 of 8 - Sale <sup>25</sup>
	50.000	253.49	11/01/21	244.50	...	8.99	6 of 8 - Sale <sup>25</sup>
	25.000	123.25	11/01/21	112.30	...	10.95	7 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FG FINANCIAL GROUP, INC. COMMO N STOCK / CUSIP: 30259W104 / Symbol: (cont'd)							
	50.000	246.49	11/01/21	225.00	...	21.49	8 of 8 - Sale <sup>25</sup>
11/01/21	299.000	1,502.91	Various	1,453.30	...	49.61	Total of 8 transactions
11/01/21	1.000	5.05	11/01/21	5.20	0.15 W	0.00	Sale <sup>25</sup>
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	5.20	11/01/21	5.15	...	0.05	1 of 5 - Sale <sup>25</sup>
	1.000	5.20	11/01/21	5.00	...	0.20	2 of 5 - Sale <sup>25</sup>
	1.000	5.43	11/01/21	4.91	...	0.52	3 of 5 - Sale <sup>25</sup>
	19.000	98.80	11/01/21	95.00	...	3.80	4 of 5 - Sale <sup>25</sup>
	49.000	254.80	11/01/21	240.59	...	14.21	5 of 5 - Sale <sup>25</sup>
11/02/21	71.000	369.43	Various	350.65	...	18.78	Total of 5 transactions
	<b>Security total:</b>	<b>1,877.39</b>		<b>1,809.15</b>	<b>0.15 W</b>	<b>68.39</b>	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
5 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.211	58.45	07/16/20	50.00	...	8.45	1 of 5 - Sale <sup>25</sup>
	0.189	52.52	08/07/20	50.00	...	2.52	2 of 5 - Sale <sup>25</sup>
	0.249	69.01	08/27/20	74.36	...	-5.35	3 of 5 - Sale <sup>25</sup>
	0.197	54.56	08/27/20	58.89	...	-4.33	4 of 5 - Sale <sup>25</sup>
	0.000	0.12	08/27/20	0.13	...	-0.01	5 of 5 - Sale <sup>25</sup>
01/27/21	0.846	234.66	Various	233.38	...	1.28	Total of 5 transactions
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/19/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.141	45.98	10/28/21	48.39	...	-2.41	2 of 3 - Sale <sup>25</sup>
	0.859	280.75	10/28/21	271.03	...	9.72	3 of 3 - Sale <sup>25</sup>
11/02/21	1.000	326.74	Various	319.43	...	7.31	Total of 3 transactions
11/02/21	0.141	45.99	08/19/21	49.99	4.00 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>607.39</b>		<b>602.80</b>	<b>4.00 W</b>	<b>8.59</b>	
FARADAY FUTURE INTELLIGENT ELE CTRIC INC. COMMON STOCK / CUSIP: 307359109 / Symbol:							
12/10/21	50.000	273.00	11/15/21	443.50	...	-170.50	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
12/13/21	10.000	173.53	11/29/21	220.50	...	-46.97	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FLOOR & DECOR HOLDINGS, INC. / CUSIP: 339750101 / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	1.000	100.65	12/15/20	94.05	...	6.60	1 of 2 - Sale <sup>25</sup>
	1.000	100.65	12/17/20	96.93	...	3.72	2 of 2 - Sale <sup>25</sup>
03/16/21	2.000	201.30	Various	190.98	...	10.32	Total of 2 transactions
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.503	52.84	12/22/20	50.00	...	2.84	1 of 2 - Sale <sup>25</sup>
	0.497	52.16	02/17/21	50.22	...	1.94	2 of 2 - Sale <sup>25</sup>
04/08/21	1.000	105.00	Various	100.22	...	4.78	Total of 2 transactions
2 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	0.503	66.27	02/17/21	50.87	...	15.40	1 of 2 - Sale <sup>25</sup>
	0.171	22.58	08/13/21	20.00	...	2.58	2 of 2 - Sale <sup>25</sup>
09/22/21	0.675	88.85	Various	70.87	...	17.98	Total of 2 transactions
	<b>Security total:</b>	<b>395.15</b>		<b>362.07</b>	...	<b>33.08</b>	
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol:							
11/11/21	100.000	1,023.98	11/11/21	917.29	...	106.69	Sale <sup>25</sup>
11/22/21	30.000	324.00	11/11/21	273.75	...	50.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,347.98</b>		<b>1,191.04</b>	...	<b>156.94</b>	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	10.000	206.74	11/08/21	200.09	...	6.65	1 of 2 - Sale <sup>25</sup>
	10.000	206.74	11/08/21	200.08	...	6.66	2 of 2 - Sale <sup>25</sup>
12/13/21	20.000	413.48	Various	400.17	...	13.31	Total of 2 transactions
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
12/13/21	50.000	99.03	10/22/21	163.50	...	-64.47	Sale <sup>25</sup>
FORMA THERAPEUTICS HOLDINGS, INC. COMMON STOCK / CUSIP: 34633R104 / Symbol:							
12/02/21	5.000	69.20	12/02/21	70.45	1.25 W	0.00	Sale <sup>25</sup>
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	1.000	15.40	12/02/21	14.95	...	0.45	1 of 2 - Sale <sup>25</sup>
	4.000	61.60	12/02/21	59.79	...	1.81	2 of 2 - Sale <sup>25</sup>
12/07/21	5.000	77.00	Various	74.74	...	2.26	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORMA THERAPEUTICS HOLDINGS, I NC. COMMON STOCK / CUSIP: 34633R104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>146.20</b>		<b>145.19</b>	<b>1.25 W</b>	<b>2.26</b>	
L.B. FOSTER COMPANY COMMON STO CK / CUSIP: 350060109 / Symbol:							
12/13/21	20.000	285.30	11/15/21	343.45	...	-58.15	Sale <sup>25</sup>
FREELINE THERAPEUTICS HOLDINGS PLC AMERICAN DEPOSITARY SHARE S / CUSIP: 35655L107 / Symbol:							
11/26/21	2.000	4.65	05/19/21	18.26	...	-13.61	Sale <sup>25</sup>
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	14.09	12/23/20	13.11	...	0.98	1 of 2 - Sale <sup>25</sup>
	5.000	70.45	12/23/20	64.85	...	5.60	2 of 2 - Sale <sup>25</sup>
01/07/21	6.000	84.54	Various	77.96	...	6.58	Total of 2 transactions
17 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	4.000	36.00	12/23/20	52.44	...	-16.44	1 of 17 - Sale <sup>25</sup>
	1.000	9.00	12/28/20	12.89	...	-3.89	2 of 17 - Sale <sup>25</sup>
	2.000	18.00	02/01/21	42.28	...	-24.28	3 of 17 - Sale <sup>25</sup>
	2.000	18.00	02/08/21	52.58	...	-34.58	4 of 17 - Sale <sup>25</sup>
	1.000	9.00	02/08/21	25.33	...	-16.33	5 of 17 - Sale <sup>25</sup>
	2.000	18.00	02/17/21	46.54	...	-28.54	6 of 17 - Sale <sup>25</sup>
	1.000	9.00	02/17/21	22.05	...	-13.05	7 of 17 - Sale <sup>25</sup>
	0.999	8.99	03/09/21	13.64	...	-4.65	8 of 17 - Sale <sup>25</sup>
	0.467	4.20	03/09/21	6.36	...	-2.16	9 of 17 - Sale <sup>25</sup>
	0.617	5.56	03/16/21	10.00	...	-4.44	10 of 17 - Sale <sup>25</sup>
	2.000	18.00	04/09/21	25.12	...	-7.12	11 of 17 - Sale <sup>25</sup>
	2.000	18.00	04/09/21	24.96	...	-6.96	12 of 17 - Sale <sup>25</sup>
	0.083	0.74	09/23/21	0.57	...	0.17	13 of 17 - Sale <sup>25</sup>
	4.000	35.64	09/23/21	27.52	...	8.12	14 of 17 - Sale <sup>25</sup>
	15.000	135.45	09/23/21	103.18	...	32.27	15 of 17 - Sale <sup>25</sup>
	30.917	278.24	09/23/21	212.67	...	65.57	16 of 17 - Sale <sup>25</sup>
	50.000	449.99	09/23/21	343.97	...	106.02	17 of 17 - Sale <sup>25</sup>
11/01/21	119.083	1,071.81	Various	1,022.10	...	49.71	Total of 17 transactions
<b>Security total:</b>		<b>1,156.35</b>		<b>1,100.06</b>	<b>...</b>	<b>56.29</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
11/01/21	10.000	330.00	10/15/21	287.09	...	42.91	Sale <sup>25</sup>
	2 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	5.000	170.00	10/19/21	150.20	...	19.80	1 of 2 - Sale <sup>25</sup>
	10.000	339.99	10/19/21	299.85	...	40.14	2 of 2 - Sale <sup>25</sup>
11/02/21	15.000	509.99	Various	450.05	...	59.94	Total of 2 transactions
	2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	5.000	85.20	10/19/21	150.20	...	-65.00	1 of 2 - Sale <sup>25</sup>
	5.000	85.20	12/09/21	105.44	...	-20.24	2 of 2 - Sale <sup>25</sup>
12/13/21	10.000	170.40	Various	255.64	...	-85.24	Total of 2 transactions
	<b>Security total:</b>	<b>1,010.39</b>		<b>992.78</b>	...	<b>17.61</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	325.41	01/27/21	289.04	...	36.37	1 of 3 - Sale <sup>25</sup>
	1.000	325.41	01/27/21	280.89	...	44.52	2 of 3 - Sale <sup>25</sup>
	2.000	650.83	01/27/21	571.88	...	78.95	3 of 3 - Sale <sup>25</sup>
01/27/21	4.000	1,301.65	Various	1,141.81	...	159.84	Total of 3 transactions
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	0.275	89.53	01/27/21	100.00	10.47 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.725	235.88	01/27/21	263.85	27.97 W	0.00	2 of 2 - Sale <sup>25</sup>
01/27/21	1.000	325.41	Various	363.85	38.44 W	0.00	Total of 2 transactions
	11 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.051	19.75	01/27/21	17.35	...	2.40	1 of 11 - Sale <sup>25</sup>
	0.237	92.33	01/27/21	89.51	...	2.82	2 of 11 - Sale <sup>25</sup>
	0.237	94.69	01/27/21	66.41	...	28.28	3 of 11 - Sale <sup>25</sup>
	0.275	110.05	01/27/21	78.14	...	31.91	4 of 11 - Sale <sup>25</sup>
	0.438	170.62	01/27/21	135.87	...	34.75	5 of 11 - Sale <sup>25</sup>
	0.488	195.26	01/27/21	157.15	...	38.11	6 of 11 - Sale <sup>25</sup>
	0.512	204.75	01/27/21	164.78	...	39.97	7 of 11 - Sale <sup>25</sup>
	0.488	195.25	01/27/21	155.24	...	40.01	8 of 11 - Sale <sup>25</sup>
	0.512	204.75	01/27/21	162.79	...	41.96	9 of 11 - Sale <sup>25</sup>
	0.488	195.25	01/27/21	145.10	...	50.15	10 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
01/28/21	0.512	207.23	01/27/21	152.15	...	55.08	11 of 11 - Sale <sup>25</sup>
01/28/21	4.237	1,689.93	Various	1,324.49	...	365.44	Total of 11 transactions
	8 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.275	79.38	01/27/21	110.62	31.24 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.287	82.90	01/27/21	111.09	28.19 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.438	126.22	01/27/21	155.13	28.91 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.051	17.82	01/27/21	20.97	3.15 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.438	154.02	01/27/21	167.16	13.14 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.237	83.33	01/27/21	98.03	14.70 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.275	96.86	01/27/21	118.18	21.32 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.275	107.30	01/27/21	108.26	0.96 W	0.00	8 of 8 - Sale <sup>25</sup>
01/28/21	2.275	747.83	Various	889.44	141.61 W	0.00	Total of 8 transactions
	5 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	0.039	3.90	02/24/21	4.65	0.75 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.045	4.49	02/24/21	5.35	0.86 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.063	6.28	02/24/21	10.00	3.72 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.069	6.85	02/24/21	10.00	3.15 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.039	3.90	02/25/21	4.88	0.98 W	0.00	5 of 5 - Sale <sup>25</sup>
02/26/21	0.256	25.42	Various	34.88	9.46 W	0.00	Total of 5 transactions
	21 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	0.010	2.69	03/08/21	2.20	...	0.49	1 of 21 - Sale <sup>25</sup>
	0.039	10.09	03/09/21	10.07	...	0.02	2 of 21 - Sale <sup>25</sup>
	0.004	0.96	03/09/21	0.87	...	0.09	3 of 21 - Sale <sup>25</sup>
	0.022	5.53	03/09/21	5.00	...	0.53	4 of 21 - Sale <sup>25</sup>
	0.162	41.60	03/10/21	47.25	...	-5.65	5 of 21 - Sale <sup>25</sup>
	0.181	46.41	03/10/21	50.00	...	-3.59	6 of 21 - Sale <sup>25</sup>
	0.030	7.80	03/10/21	9.98	...	-2.18	7 of 21 - Sale <sup>25</sup>
	0.032	8.34	03/10/21	9.98	...	-1.64	8 of 21 - Sale <sup>25</sup>
	0.032	8.32	03/10/21	9.45	...	-1.13	9 of 21 - Sale <sup>25</sup>
	0.009	2.42	03/10/21	2.75	...	-0.33	10 of 21 - Sale <sup>25</sup>
	0.002	0.48	03/10/21	0.55	...	-0.07	11 of 21 - Sale <sup>25</sup>
	0.000	0.04	03/10/21	0.04	...	0.00	12 of 21 - Sale <sup>25</sup>
	0.002	0.57	03/10/21	0.54	...	0.03	13 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	0.001	0.18	03/10/21	0.14	...	0.04	14 of 21 - Sale <sup>25</sup>
	0.013	3.30	03/10/21	3.15	...	0.15	15 of 21 - Sale <sup>25</sup>
	0.027	6.82	03/10/21	6.52	...	0.30	16 of 21 - Sale <sup>25</sup>
	0.063	16.24	03/10/21	15.20	...	1.04	17 of 21 - Sale <sup>25</sup>
	0.032	8.12	03/10/21	6.34	...	1.78	18 of 21 - Sale <sup>25</sup>
	0.069	17.69	03/10/21	15.65	...	2.04	19 of 21 - Sale <sup>25</sup>
	0.090	23.17	03/10/21	20.83	...	2.34	20 of 21 - Sale <sup>25</sup>
	0.166	42.65	03/10/21	39.97	...	2.68	21 of 21 - Sale <sup>25</sup>
03/11/21	0.987	253.42	Various	256.48	...	-3.06	Total of 21 transactions
	6 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	0.029	7.40	03/09/21	7.53	0.13 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.045	11.63	03/09/21	11.82	0.19 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.063	16.27	03/09/21	19.06	2.79 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.069	17.73	03/09/21	19.86	2.13 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.000	0.04	03/09/21	0.05	0.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.103	26.43	03/10/21	30.00	3.13 W	-0.44	6 of 6 - Sale <sup>25</sup>
03/11/21	0.310	79.50	Various	88.32	8.38 W	-0.44	Total of 6 transactions
11/16/21	0.066	13.77	06/09/21	20.00	...	-6.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,436.93</b>		<b>4,119.27</b>	<b>197.89 W</b>	<b>515.55</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
	4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	11.83	06/08/20	8.45	...	3.38	1 of 4 - Sale <sup>25</sup>
	4.000	47.30	06/08/20	33.95	...	13.35	2 of 4 - Sale <sup>25</sup>
	1.000	11.82	06/09/20	8.04	...	3.78	3 of 4 - Sale <sup>25</sup>
	1.000	11.83	06/11/20	7.06	...	4.77	4 of 4 - Sale <sup>25</sup>
02/17/21	7.000	82.78	Various	57.50	...	25.28	Total of 4 transactions
	2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.						
	2.000	26.38	02/01/21	21.96	...	4.42	1 of 2 - Sale <sup>25</sup>
	1.000	13.19	03/09/21	14.20	...	-1.01	2 of 2 - Sale <sup>25</sup>
03/16/21	3.000	39.57	Various	36.16	...	3.41	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
<i>2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.19	03/08/21	14.27	1.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	13.20	03/09/21	14.19	0.99 W	0.00	2 of 2 - Sale <sup>25</sup>
03/16/21	2.000	26.39	Various	28.46	2.07 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>148.74</b>		<b>122.12</b>	<b>2.07 W</b>	<b>28.69</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.375	38.89	04/20/21	38.99	...	-0.10	Cash in lieu
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
12/07/21	1.000	61.10	12/02/21	61.08	...	0.02	Sale <sup>25</sup>
GENWORTH FINANCIAL, INC. / CUSIP: 37247D106 / Symbol:							
<i>2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	7.38	02/10/21	7.94	...	-0.56	1 of 2 - Sale <sup>25</sup>
	1.000	3.69	03/09/21	3.34	...	0.35	2 of 2 - Sale <sup>25</sup>
09/24/21	3.000	11.07	Various	11.28	...	-0.21	Total of 2 transactions
GEOVAX LABS, INC. COMMON STOCK / CUSIP: 373678507 / Symbol:							
11/16/21	100.000	434.23	11/16/21	528.33	...	-94.10	Sale <sup>25</sup>
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
12/13/21	50.000	255.50	10/29/21	368.50	...	-113.00	Sale <sup>25</sup>
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
<i>2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	64.00	09/08/21	132.93	...	-68.93	1 of 2 - Sale <sup>25</sup>
	50.000	63.99	09/14/21	90.39	...	-26.40	2 of 2 - Sale <sup>25</sup>
12/13/21	100.000	127.99	Various	223.32	...	-95.33	Total of 2 transactions
GLOBAL X URANIUM ETF / CUSIP: 37954Y871 / Symbol:							
12/13/21	30.000	712.97	11/11/21	894.90	...	-181.93	Sale <sup>25</sup>
GOHEALTH, INC. CLASS A COMMON STOCK / CUSIP: 38046W105 / Symbol:							
11/10/21	30.000	151.50	11/10/21	149.99	...	1.51	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
12/13/21	10.000	113.50	11/15/21	145.30	...	-31.80	Sale <sup>25</sup>
GREENIDGE GENERATION HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 39531G100 / Symbol:							
11/08/21	20.000	553.99	11/05/21	508.00	...	45.99	Sale <sup>25</sup>
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
06/08/21	5.000	226.10	05/27/21	209.03	...	17.07	Sale <sup>25</sup>
06/09/21	5.000	222.00	05/27/21	209.03	...	12.97	Sale <sup>25</sup>
06/24/21	1.000	46.00	05/27/21	41.22	...	4.78	Sale <sup>25</sup>
07/01/21	1.000	48.68	05/27/21	41.21	...	7.47	Sale <sup>25</sup>
<i>2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	42.63	07/14/21	81.76	...	-39.13	1 of 2 - Sale <sup>25</sup>
	1.000	21.31	07/20/21	39.98	...	-18.67	2 of 2 - Sale <sup>25</sup>
10/13/21	3.000	63.94	Various	121.74	...	-57.80	Total of 2 transactions
	<b>Security total:</b>	<b>606.72</b>		<b>622.23</b>	...	<b>-15.51</b>	
GRUPO SIMEC, S.A.B DE C.V. / CUSIP: 400491106 / Symbol:							
<i>2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	53.80	11/05/21	53.44	...	0.36	1 of 2 - Sale <sup>25</sup>
	20.000	537.99	11/05/21	532.02	...	5.97	2 of 2 - Sale <sup>25</sup>
11/05/21	22.000	591.79	Various	585.46	...	6.33	Total of 2 transactions
HELLO GROUP INC. AMERICAN DEPO SITARY SHARES / CUSIP: 423403104 / Symbol:							
<i>3 transactions for 11/15/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	276.81	10/20/21	264.99	...	11.82	1 of 3 - Sale <sup>25</sup>
	30.000	414.59	10/20/21	397.48	...	17.11	2 of 3 - Sale <sup>25</sup>
	50.000	690.99	10/20/21	662.94	...	28.05	3 of 3 - Sale <sup>25</sup>
11/15/21	100.000	1,382.39	Various	1,325.41	...	56.98	Total of 3 transactions
HEWLETT PACKARD ENTERPRISE COM PANY / CUSIP: 42824C109 / Symbol:							
11/23/21	5.000	73.95	11/22/21	73.78	...	0.17	Sale <sup>25</sup>
HIMS & HERS HEALTH, INC. / CUSIP: 433000106 / Symbol:							
05/24/21	1.000	12.24	05/19/21	11.04	...	1.20	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HIVE BLOCKCHAIN TECHNOLOGIES L TD. COMMON SHARES / CUSIP: 43366H100 / Symbol:							
09/09/21	2.000	6.51	07/26/21	4.93	...	1.58	Sale <sup>25</sup>
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.000	0.03	08/19/21	0.03	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.062	20.19	08/19/21	19.97	...	0.22	2 of 2 - Sale <sup>25</sup>
08/31/21	0.062	20.22	Various	20.00	...	0.22	Total of 2 transactions
11/18/21	1.000	400.00	11/15/21	373.43	...	26.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>420.22</b>		<b>393.43</b>	...	<b>26.79</b>	
HUT 8 MINING CORP. COMMON SHARES / CUSIP: 44812T102 / Symbol:							
12/13/21	20.000	166.60	11/29/21	256.97	...	-90.37	Sale <sup>25</sup>
HYRECAR INC. COMMON STOCK / CUSIP: 44916T107 / Symbol:							
12/13/21	50.000	241.20	11/11/21	367.57	...	-126.37	Sale <sup>25</sup>
CRESCENT ENERGY COMPANY / CUSIP: 44952J104 / Symbol:							
12/10/21	20.000	327.80	09/22/21	398.97	...	-71.17	Sale <sup>25</sup>
IM CANNABIS CORP. COMMON SHARES / CUSIP: 44969Q208 / Symbol:							
12/13/21	10.000	33.35	12/01/21	45.19	...	-11.84	Sale <sup>25</sup>
ISPECIMEN INC. COMMON STOCK / CUSIP: 45032V108 / Symbol:							
5 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	10.78	11/22/21	9.72	...	1.06	1 of 5 - Sale <sup>25</sup>
	1.000	10.78	11/22/21	9.72	...	1.06	2 of 5 - Sale <sup>25</sup>
	1.000	10.79	11/22/21	9.72	...	1.07	3 of 5 - Sale <sup>25</sup>
	2.000	21.58	11/22/21	19.44	...	2.14	4 of 5 - Sale <sup>25</sup>
	5.000	55.00	11/22/21	48.60	...	6.40	5 of 5 - Sale <sup>25</sup>
11/22/21	10.000	108.93	Various	97.20	...	11.73	Total of 5 transactions
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	10.000	141.80	11/24/21	119.00	...	22.80	1 of 2 - Sale <sup>25</sup>
	10.000	150.00	11/24/21	119.00	...	31.00	2 of 2 - Sale <sup>25</sup>
11/24/21	20.000	291.80	Various	238.00	...	53.80	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISPECIMEN INC. COMMON STOCK / CUSIP: 45032V108 / Symbol: (cont'd)							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	20.000	273.65	12/01/21	386.40	...	-112.75	1 of 2 - Sale <sup>25</sup>
	20.000	273.64	12/01/21	378.60	...	-104.96	2 of 2 - Sale <sup>25</sup>
12/13/21	40.000	547.29	Various	765.00	...	-217.71	Total of 2 transactions
	<b>Security total:</b>	<b>948.02</b>		<b>1,100.20</b>	...	<b>-152.18</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	90.000	152.38	10/29/21	185.19	...	-32.81	1 of 2 - Sale <sup>25</sup>
	10.000	16.93	10/29/21	20.58	...	-3.65	2 of 2 - Sale <sup>25</sup>
11/18/21	100.000	169.31	Various	205.77	...	-36.46	Total of 2 transactions
IDEXX LABORATORIES, INC. COMMON STOCK / CUSIP: 45168D104 / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	0.359	199.04	02/19/21	200.00	...	-0.96	1 of 2 - Sale <sup>25</sup>
	0.102	56.35	03/12/21	50.00	...	6.35	2 of 2 - Sale <sup>25</sup>
05/27/21	0.461	255.39	Various	250.00	...	5.39	Total of 2 transactions
INDIA GLOBALIZATION CAPITAL, INC. / CUSIP: 45408X308 / Symbol:							
12/13/21	50.000	58.50	12/02/21	77.00	...	-18.50	Sale <sup>25</sup>
INFINEON TECHNOLOGIES AG / CUSIP: 45662N103 / Symbol:							
3 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	43.15	03/08/21	39.01	...	4.14	1 of 3 - Sale <sup>25</sup>
	1.000	43.15	03/08/21	38.76	...	4.39	2 of 3 - Sale <sup>25</sup>
	1.000	43.15	03/12/21	40.44	...	2.71	3 of 3 - Sale <sup>25</sup>
04/06/21	3.000	129.45	Various	118.21	...	11.24	Total of 3 transactions
2 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	1.000	44.15	03/19/21	40.49	...	3.66	1 of 2 - Sale <sup>25</sup>
	1.000	44.15	05/05/21	38.46	...	5.69	2 of 2 - Sale <sup>25</sup>
09/27/21	2.000	88.30	Various	78.95	...	9.35	Total of 2 transactions
	<b>Security total:</b>	<b>217.75</b>		<b>197.16</b>	...	<b>20.59</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
12/06/21	10.000	86.00	12/06/21	79.30	...	6.70	Sale <sup>25</sup>
12/08/21	3.000	40.50	12/08/21	38.67	...	1.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>126.50</b>		<b>117.97</b>	...	<b>8.53</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
<i>9 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	32.14	12/01/20	24.48	...	7.66	1 of 9 - Sale <sup>25</sup>
	2.000	32.14	12/02/20	23.32	...	8.82	2 of 9 - Sale <sup>25</sup>
	5.000	80.35	12/07/20	60.90	...	19.45	3 of 9 - Sale <sup>25</sup>
	1.000	16.07	12/09/20	11.71	...	4.36	4 of 9 - Sale <sup>25</sup>
	5.000	80.35	12/15/20	53.50	...	26.85	5 of 9 - Sale <sup>25</sup>
	5.000	80.35	12/16/20	52.15	...	28.20	6 of 9 - Sale <sup>25</sup>
	0.214	3.29	12/23/20	2.05	...	1.24	7 of 9 - Sale <sup>25</sup>
	4.995	76.93	12/23/20	47.95	...	28.98	8 of 9 - Sale <sup>25</sup>
	2.000	30.80	02/01/21	27.66	...	3.14	9 of 9 - Sale <sup>25</sup>
02/02/21	27.209	432.42	Various	303.72	...	128.70	Total of 9 transactions
02/02/21	2.791	42.99	02/01/21	49.18	6.19 W	0.00	Sale <sup>25</sup>
<i>8 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.209	1.53	02/01/21	3.68	2.15 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.013	0.09	02/01/21	0.25	0.16 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.350	17.15	02/01/21	46.28	29.13 W	0.00	3 of 8 - Sale <sup>25</sup>
	8.650	63.14	02/01/21	151.21	88.07 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.429	3.13	02/01/21	8.44	5.31 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	36.50	02/02/21	79.35	42.85 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	7.30	03/09/21	9.23	1.93 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.972	7.10	03/17/21	10.00	2.90 W	0.00	8 of 8 - Sale <sup>25</sup>
11/16/21	18.623	135.94	Various	308.44	172.50 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>611.35</b>		<b>661.34</b>	<b>178.69 W</b>	<b>128.70</b>	
INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK / CUSIP: 45781V101 / Symbol:							
<i>5 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	195.50	12/16/20	183.65	...	11.85	1 of 5 - Sale <sup>25</sup>
	1.000	195.49	12/16/20	182.17	...	13.32	2 of 5 - Sale <sup>25</sup>
	2.000	390.99	12/17/20	373.90	...	17.09	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK / CUSIP: 45781V101 / Symbol: (cont'd)							
	0.257	50.19	12/22/20	50.00	...	0.19	4 of 5 - Sale <sup>25</sup>
	0.488	95.43	12/23/20	93.36	...	2.07	5 of 5 - Sale <sup>25</sup>
01/25/21	4.745	927.60	Various	883.08	...	44.52	Total of 5 transactions
	2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	1.000	195.49	12/22/20	196.01	0.39 W	-0.13	1 of 2 - Sale <sup>25</sup>
	0.255	49.88	12/22/20	50.00	0.12 W	0.00	2 of 2 - Sale <sup>25</sup>
01/25/21	1.255	245.37	Various	246.01	0.51 W	-0.13	Total of 2 transactions
	3 transactions for 10/18/21. Total proceeds and cost reported to the IRS.						
	0.512	123.86	12/23/20	97.91	...	25.95	1 of 3 - Sale <sup>25</sup>
	0.233	56.38	01/28/21	44.80	...	11.58	2 of 3 - Sale <sup>25</sup>
	0.255	61.73	01/28/21	49.04	...	12.69	3 of 3 - Sale <sup>25</sup>
10/18/21	1.000	241.97	Various	191.75	...	50.22	Total of 3 transactions
	2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	0.512	135.57	01/28/21	98.43	...	37.14	1 of 2 - Sale <sup>25</sup>
	0.057	15.16	03/09/21	10.00	...	5.16	2 of 2 - Sale <sup>25</sup>
10/26/21	0.569	150.73	Various	108.43	...	42.30	Total of 2 transactions
	<b>Security total:</b>	<b>1,565.67</b>		<b>1,429.27</b>	<b>0.51 W</b>	<b>136.91</b>	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
11/05/21	10.000	513.19	11/03/21	500.80	...	12.39	Sale <sup>25</sup>
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
12/13/21	2.000	242.06	10/19/21	277.52	...	-35.46	Sale <sup>25</sup>
INTUIT INC. COMMON STOCK / CUSIP: 461202103 / Symbol:							
09/23/21	0.018	10.55	08/20/21	10.00	...	0.55	Sale <sup>25</sup>
INVESCO SOLAR ETF / CUSIP: 46138G706 / Symbol:							
	4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	0.104	9.34	03/12/21	10.00	...	-0.66	1 of 4 - Sale <sup>25</sup>
	0.105	9.39	03/12/21	9.83	...	-0.44	2 of 4 - Sale <sup>25</sup>
	0.002	0.16	03/12/21	0.17	...	-0.01	3 of 4 - Sale <sup>25</sup>
	0.113	10.12	03/19/21	10.00	...	0.12	4 of 4 - Sale <sup>25</sup>
10/26/21	0.323	29.01	Various	30.00	...	-0.99	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.202	4.01	02/18/21	9.95	...	-5.94	1 of 2 - Sale <sup>25</sup>
	0.001	0.02	02/18/21	0.05	...	-0.03	2 of 2 - Sale <sup>25</sup>
11/18/21	0.203	4.03	Various	10.00	...	-5.97	Total of 2 transactions
ISUN, INC. COMMON STOCK / CUSIP: 465246106 / Symbol:							
12/13/21	10.000	65.50	11/18/21	78.67	...	-13.17	Sale <sup>25</sup>
JOANN, INC. COMMON STOCK / CUSIP: 47768J101 / Symbol:							
12/03/21	10.000	94.20	12/02/21	107.50	...	-13.30	Sale <sup>25</sup>
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
12/13/21	5.000	63.40	12/07/21	66.24	...	-2.84	Sale <sup>25</sup>
KE HOLDINGS INC. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE CLASS A ORDINARY SHARES) / CUSIP: 482497104 / Symbol:							
11/11/21	50.000	1,220.85	11/09/21	1,004.00	...	216.85	Sale <sup>25</sup>
KAISER ALUMINUM CORPORATION COMMON STOCK / CUSIP: 483007704 / Symbol:							
12/13/21	1.000	92.66	11/15/21	110.60	...	-17.94	Sale <sup>25</sup>
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	10.000	53.20	11/09/21	49.87	...	3.33	1 of 2 - Sale <sup>25</sup>
	90.000	521.98	11/09/21	448.87	...	73.11	2 of 2 - Sale <sup>25</sup>
11/09/21	100.000	575.18	Various	498.74	...	76.44	Total of 2 transactions
KEZAR LIFE SCIENCES, INC. COMMON STOCK / CUSIP: 49372L100 / Symbol:							
11/22/21	20.000	292.00	11/17/21	263.80	...	28.20	Sale <sup>25</sup>
KRISPY KREME, INC. COMMON STOCK / CUSIP: 50101L106 / Symbol:							
11/26/21	2.000	29.52	11/22/21	28.04	...	1.48	Sale <sup>25</sup>
KUKU MUSIC HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE ORDINARY SHARE / CUSIP: 501229108 / Symbol:							
12/13/21	50.000	177.47	11/16/21	287.50	...	-110.03	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 50202M102 / Symbol:							
12/13/21	1.000	30.76	11/29/21	34.68	...	-3.92	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LAIX INC. / CUSIP: 50736W105 / Symbol:							
12/13/21	50.000	37.50	10/27/21	56.50	...	-19.00	Sale <sup>25</sup>
LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol:							
2 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.035	21.47	03/23/21	20.00	...	1.47	1 of 2 - Sale <sup>25</sup>
	0.015	9.19	04/08/21	10.00	...	-0.81	2 of 2 - Sale <sup>25</sup>
08/31/21	0.051	30.66	Various	30.00	...	0.66	Total of 2 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
10 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	0.495	37.71	12/23/20	65.62	27.91 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.505	38.46	12/23/20	67.00	28.54 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	76.12	12/23/20	132.75	56.63 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	76.12	12/24/20	130.06	53.94 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	76.12	02/03/21	144.65	68.53 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	76.12	02/17/21	152.30	76.18 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	76.13	02/18/21	145.70	69.57 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.227	17.25	03/09/21	20.00	2.75 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	76.13	03/11/21	106.48	30.35 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.268	20.41	03/16/21	30.00	9.59 W	0.00	10 of 10 - Sale <sup>25</sup>
11/03/21	7.495	570.57	Various	994.56	423.99 W	0.00	Total of 10 transactions
11 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	53.91	11/04/21	148.51	...	-94.60	1 of 11 - Sale <sup>25</sup>
	1.000	53.90	11/04/21	141.97	...	-88.07	2 of 11 - Sale <sup>25</sup>
	1.000	53.90	11/04/21	140.85	...	-86.95	3 of 11 - Sale <sup>25</sup>
	1.000	53.91	11/04/21	128.95	...	-75.04	4 of 11 - Sale <sup>25</sup>
	1.000	53.91	11/04/21	126.27	...	-72.36	5 of 11 - Sale <sup>25</sup>
	1.000	53.90	11/04/21	102.75	...	-48.85	6 of 11 - Sale <sup>25</sup>
	2.505	135.04	11/04/21	181.37	...	-46.33	7 of 11 - Sale <sup>25</sup>
	0.505	27.23	11/04/21	65.08	...	-37.85	8 of 11 - Sale <sup>25</sup>
	0.495	26.67	11/04/21	63.70	...	-37.03	9 of 11 - Sale <sup>25</sup>
	0.268	14.46	11/04/21	29.00	...	-14.54	10 of 11 - Sale <sup>25</sup>
	0.227	12.22	11/04/21	19.16	...	-6.94	11 of 11 - Sale <sup>25</sup>
11/18/21	10.000	539.05	Various	1,147.61	...	-608.56	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LEMONADE, INC. / CUSIP: 52567D107 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,109.62</b>		<b>2,142.17</b>		<b>423.99 W</b>	<b>-608.56</b>
LEXARIA BIOSCIENCE CORP. COMMO N STOCK / CUSIP: 52886N406 / Symbol:							
12/08/21	2.000	11.20	12/08/21	11.24	...	-0.04	Sale <sup>25</sup>
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
<i>5 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.44	12/15/20	1.37	...	0.07	1 of 5 - Sale <sup>25</sup>
	1.000	1.44	12/15/20	1.32	...	0.12	2 of 5 - Sale <sup>25</sup>
	1.000	1.45	12/16/20	1.40	...	0.05	3 of 5 - Sale <sup>25</sup>
	2.000	2.89	12/16/20	2.79	...	0.10	4 of 5 - Sale <sup>25</sup>
	50.000	72.25	12/16/20	69.75	...	2.50	5 of 5 - Sale <sup>25</sup>
01/05/21	55.000	79.47	Various	76.63	...	2.84	Total of 5 transactions
LIVERAMP HOLDINGS, INC. COMMON STOCK / CUSIP: 53815P108 / Symbol:							
08/06/21	1.000	48.09	08/06/21	47.09	...	1.00	Sale <sup>25</sup>
LIXTE BIOTECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 539319202 / Symbol:							
12/13/21	20.000	28.90	12/08/21	35.57	...	-6.67	Sale <sup>25</sup>
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
11/19/21	20.000	288.00	11/19/21	259.00	...	29.00	Sale <sup>25</sup>
11/24/21	1.000	31.00	11/24/21	23.00	...	8.00	Sale <sup>25</sup>
11/24/21	1.000	31.00	11/22/21	38.00	7.00 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 12/09/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.90	12/03/21	30.10	...	-5.20	1 of 2 - Sale <sup>25</sup>
	2.000	49.80	12/03/21	46.20	...	3.60	2 of 2 - Sale <sup>25</sup>
12/09/21	3.000	74.70	Various	76.30	...	-1.60	Total of 2 transactions
<b>Security total:</b>		<b>424.70</b>		<b>396.30</b>		<b>7.00 W</b>	<b>35.40</b>
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
11/10/21	50.000	335.00	11/01/21	280.50	...	54.50	Sale <sup>25</sup>
11/11/21	50.000	335.00	11/01/21	280.50	...	54.50	Sale <sup>25</sup>
<b>Security total:</b>		<b>670.00</b>		<b>561.00</b>			<b>109.00</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LUCIRA HEALTH, INC. COMMON STO CK / CUSIP: 54948U105 / Symbol:							
11/16/21	1.000	7.02	07/23/21	8.27	...	-1.25	Sale <sup>25</sup>
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
10/18/21	1.000	25.00	05/20/21	19.82	...	5.18	Sale <sup>25</sup>
10/18/21	2.000	50.00	07/27/21	52.70	2.70 W	0.00	Sale <sup>25</sup>
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	0.295	11.51	10/28/21	10.76	...	0.75	1 of 4 - Sale <sup>25</sup>
	0.295	11.58	10/28/21	10.40	...	1.18	2 of 4 - Sale <sup>25</sup>
	1.705	67.06	10/28/21	64.58	...	2.48	3 of 4 - Sale <sup>25</sup>
	18.000	707.75	10/28/21	657.36	...	50.39	4 of 4 - Sale <sup>25</sup>
11/05/21	20.295	797.90	Various	743.10	...	54.80	Total of 4 transactions
11/17/21	5.000	259.05	11/16/21	244.60	...	14.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,131.95</b>		<b>1,060.22</b>	<b>2.70 W</b>	<b>74.43</b>	
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
11/02/21	10.000	172.60	11/02/21	171.85	...	0.75	Sale <sup>25</sup>
12/13/21	30.000	441.33	11/11/21	612.29	...	-170.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>613.93</b>		<b>784.14</b>	...	<b>-170.21</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
11/18/21	6.000	216.00	11/18/21	210.00	...	6.00	Sale <sup>25</sup>
MAGNA INTERNATIONAL / CUSIP: 559222401 / Symbol:							
4 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	1.000	86.88	02/03/21	76.64	...	10.24	1 of 4 - Sale <sup>25</sup>
	1.000	86.88	02/03/21	76.58	...	10.30	2 of 4 - Sale <sup>25</sup>
	1.000	86.93	02/03/21	76.62	...	10.31	3 of 4 - Sale <sup>25</sup>
	1.000	86.93	02/03/21	75.04	...	11.89	4 of 4 - Sale <sup>25</sup>
03/01/21	4.000	347.62	Various	304.88	...	42.74	Total of 4 transactions
03/16/21	1.000	92.04	02/03/21	76.58	...	15.46	Sale <sup>25</sup>
4 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	96.88	03/05/21	84.56	...	12.32	1 of 4 - Sale <sup>25</sup>
	1.000	96.88	04/20/21	91.24	...	5.64	2 of 4 - Sale <sup>25</sup>
	1.000	96.88	04/29/21	94.89	...	1.99	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MAGNA INTERNATIONAL / CUSIP: 559222401 / Symbol: (cont'd)							
	1.000	96.88	05/04/21	92.81	...	4.07	4 of 4 - Sale <sup>25</sup>
05/18/21	4.000	387.52	Various	363.50	...	24.02	Total of 4 transactions
06/07/21	1.000	99.71	05/05/21	93.56	...	6.15	Sale <sup>25</sup>
11/22/21	10.000	857.49	11/15/21	881.60	0.30 W	-23.81	Sale <sup>25</sup>
12/13/21	0.123	9.67	12/07/21	10.30	...	-0.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,794.05</b>		<b>1,730.42</b>	<b>0.30 W</b>	<b>63.93</b>	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	0.075	3.97	01/07/21	1.52	...	2.45	1 of 2 - Sale <sup>25</sup>
	0.925	49.15	03/01/21	33.23	...	15.92	2 of 2 - Sale <sup>25</sup>
04/06/21	1.000	53.12	Various	34.75	...	18.37	Total of 2 transactions
3 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	0.075	3.20	03/01/21	2.68	...	0.52	1 of 3 - Sale <sup>25</sup>
	2.000	85.69	05/05/21	67.06	...	18.63	2 of 3 - Sale <sup>25</sup>
	3.925	168.17	09/16/21	143.23	...	24.94	3 of 3 - Sale <sup>25</sup>
10/11/21	6.000	257.06	Various	212.97	...	44.09	Total of 3 transactions
10/13/21	4.000	173.67	09/16/21	145.95	...	27.72	Sale <sup>25</sup>
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.075	4.27	09/16/21	2.73	...	1.54	1 of 2 - Sale <sup>25</sup>
	2.000	110.20	09/16/21	72.97	...	37.23	2 of 2 - Sale <sup>25</sup>
11/01/21	2.075	114.47	Various	75.70	...	38.77	Total of 2 transactions
	<b>Security total:</b>	<b>598.32</b>		<b>469.37</b>	...	<b>128.95</b>	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.075	1.00	12/22/20	1.00	...	0.00	1 of 2 - Sale <sup>25</sup>
	1.925	25.75	12/23/20	24.37	...	1.38	2 of 2 - Sale <sup>25</sup>
01/05/21	2.000	26.75	Various	25.37	...	1.38	Total of 2 transactions
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	1.000	13.38	12/22/20	13.57	0.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	53.50	12/22/20	54.54	1.04 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	1.000	13.37	12/22/20	13.46	0.09 W	0.00	3 of 3 - Sale <sup>25</sup>
01/05/21	6.000	80.25	Various	81.57	1.32 W	0.00	Total of 3 transactions
	3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	0.075	1.70	12/23/20	0.96	...	0.74	1 of 3 - Sale <sup>25</sup>
	0.925	21.01	12/23/20	11.35	...	9.66	2 of 3 - Sale <sup>25</sup>
	1.000	22.71	12/23/20	12.34	...	10.37	3 of 3 - Sale <sup>25</sup>
01/29/21	2.000	45.42	Various	24.65	...	20.77	Total of 3 transactions
	5 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	0.075	2.02	12/23/20	0.92	...	1.10	1 of 5 - Sale <sup>25</sup>
	1.000	27.10	01/06/21	17.66	...	9.44	2 of 5 - Sale <sup>25</sup>
	1.000	27.10	01/07/21	20.40	...	6.70	3 of 5 - Sale <sup>25</sup>
	1.000	27.10	01/07/21	20.31	...	6.79	4 of 5 - Sale <sup>25</sup>
	1.925	52.18	01/07/21	39.60	...	12.58	5 of 5 - Sale <sup>25</sup>
02/08/21	5.000	135.50	Various	98.89	...	36.61	Total of 5 transactions
	<b>Security total:</b>	<b>287.92</b>		<b>230.48</b>	<b>1.32 W</b>	<b>58.76</b>	
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
	3 transactions for 09/21/21. Total proceeds and cost reported to the IRS.						
	10.000	83.70	09/21/21	56.52	...	27.18	1 of 3 - Sale <sup>25</sup>
	15.000	126.00	09/21/21	84.78	...	41.22	2 of 3 - Sale <sup>25</sup>
	25.000	209.25	09/21/21	141.31	...	67.94	3 of 3 - Sale <sup>25</sup>
09/21/21	50.000	418.95	Various	282.61	...	136.34	Total of 3 transactions
11/05/21	30.000	244.50	11/05/21	231.55	...	12.95	Sale <sup>25</sup>
	4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	30.000	138.29	11/05/21	237.00	...	-98.71	1 of 4 - Sale <sup>25</sup>
	20.000	92.20	11/05/21	160.00	...	-67.80	2 of 4 - Sale <sup>25</sup>
	20.000	92.20	11/05/21	157.00	...	-64.80	3 of 4 - Sale <sup>25</sup>
	10.000	46.10	11/05/21	77.50	...	-31.40	4 of 4 - Sale <sup>25</sup>
12/13/21	80.000	368.79	Various	631.50	...	-262.71	Total of 4 transactions
	<b>Security total:</b>	<b>1,032.24</b>		<b>1,145.66</b>	...	<b>-113.42</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARPAI, INC. CLASS A COMMON STOCK / CUSIP: 571354109 / Symbol:							
10 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	5.85	11/01/21	5.85	...	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	5.85	11/01/21	5.85	...	0.00	2 of 10 - Sale <sup>25</sup>
	49.000	286.65	11/01/21	286.65	...	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	5.85	11/01/21	5.74	...	0.11	4 of 10 - Sale <sup>25</sup>
	1.000	5.87	11/01/21	5.64	...	0.23	5 of 10 - Sale <sup>25</sup>
	50.000	292.49	11/01/21	290.00	...	2.49	6 of 10 - Sale <sup>25</sup>
	50.000	297.49	11/01/21	292.50	...	4.99	7 of 10 - Sale <sup>25</sup>
	49.000	286.64	11/01/21	281.22	...	5.42	8 of 10 - Sale <sup>25</sup>
	49.000	291.54	11/01/21	284.61	...	6.93	9 of 10 - Sale <sup>25</sup>
	49.000	286.65	11/01/21	276.32	...	10.33	10 of 10 - Sale <sup>25</sup>
11/01/21	300.000	1,764.88	Various	1,734.38	...	30.50	Total of 10 transactions
11/01/21	1.000	5.95	11/01/21	5.99	0.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,770.83</b>		<b>1,740.37</b>	<b>0.04 W</b>	<b>30.50</b>	
MARQETA, INC. CLASS A COMMON STOCK / CUSIP: 57142B104 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	10.000	173.31	11/11/21	275.20	...	-101.89	1 of 3 - Sale <sup>25</sup>
	10.000	173.31	11/11/21	261.90	...	-88.59	2 of 3 - Sale <sup>25</sup>
	5.000	86.65	11/11/21	144.45	...	-57.80	3 of 3 - Sale <sup>25</sup>
12/13/21	25.000	433.27	Various	681.55	...	-248.28	Total of 3 transactions
MARVELL TECHNOLOGY, INC. COMMON STOCK / CUSIP: 573874104 / Symbol:							
12/07/21	2.000	180.17	12/03/21	173.14	...	7.03	Sale <sup>25</sup>
MASIMO CORPORATION COMMON STOCK / CUSIP: 574795100 / Symbol:							
4 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	0.380	104.41	02/18/21	100.00	...	4.41	1 of 4 - Sale <sup>25</sup>
	0.043	11.83	03/09/21	10.00	...	1.83	2 of 4 - Sale <sup>25</sup>
	0.217	59.69	03/12/21	50.00	...	9.69	3 of 4 - Sale <sup>25</sup>
	0.130	35.83	03/16/21	30.00	...	5.83	4 of 4 - Sale <sup>25</sup>
08/18/21	0.770	211.76	Various	190.00	...	21.76	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
11/16/21	0.078	28.50	07/14/21	30.00	...	-1.50	Sale <sup>25</sup>
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
10/13/21	0.624	99.13	02/18/21	100.00	...	-0.87	Sale <sup>25</sup>
MATTERPORT, INC. CLASS A COMMON STOCK / CUSIP: 577096100 / Symbol:							
11/22/21	1.000	29.00	11/15/21	26.47	...	2.53	Sale <sup>25</sup>
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
11/23/21	20.000	120.00	11/23/21	68.52	...	51.48	Sale <sup>25</sup>
MERCURY SYSTEMS INC COMMON STOCK / CUSIP: 589378108 / Symbol:							
05/06/21	1.000	62.48	05/06/21	59.95	...	2.53	Sale <sup>25</sup>
05/27/21	0.468	30.78	05/10/21	30.00	...	0.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>93.26</b>		<b>89.95</b>	...	<b>3.31</b>	
META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol:							
	3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	1.500	5.01	03/01/21	7.90	...	-2.89	1 of 3 - Sale <sup>25</sup>
	0.500	1.67	03/16/21	2.40	...	-0.73	2 of 3 - Sale <sup>25</sup>
	2.000	6.68	10/14/21	10.67	...	-3.99	3 of 3 - Sale <sup>25</sup>
12/13/21	4.000	13.36	Various	20.97	...	-7.61	Total of 3 transactions
META METALS INC PREFERRED (SERIES A) / CUSIP: 59134N203 / Symbol:							
	2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	4.000	6.20	03/01/21	0.00	...	6.20	1 of 2 - Sale <sup>25</sup>
	1.000	1.55	03/16/21	0.00	...	1.55	2 of 2 - Sale <sup>25</sup>
10/15/21	5.000	7.75	Various	0.00	...	7.75	Total of 2 transactions
METROMILE, INC. COMMON STOCK / CUSIP: 591697107 / Symbol:							
12/13/21	10.000	22.01	11/08/21	37.50	...	-15.49	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
08/23/21	1.000	305.00	08/11/21	286.66	...	18.34	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
11/15/21	10.000	779.33	11/09/21	753.00	...	26.33	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
4 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	0.001	0.13	02/04/21	0.10	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.300	64.03	02/04/21	49.90	...	14.13	2 of 4 - Sale <sup>25</sup>
	0.174	37.21	04/23/21	30.00	...	7.21	3 of 4 - Sale <sup>25</sup>
	0.425	90.81	06/07/21	94.58	...	-3.77	4 of 4 - Sale <sup>25</sup>
06/11/21	0.900	192.18	Various	174.58	...	17.60	Total of 4 transactions
4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	0.024	11.17	06/07/21	5.42	...	5.75	1 of 4 - Sale <sup>25</sup>
	0.052	23.74	08/03/21	20.00	...	3.74	2 of 4 - Sale <sup>25</sup>
	0.052	23.76	08/03/21	20.00	...	3.76	3 of 4 - Sale <sup>25</sup>
	0.872	399.60	08/05/21	362.53	...	37.07	4 of 4 - Sale <sup>25</sup>
08/09/21	1.000	458.27	Various	407.95	...	50.32	Total of 4 transactions
3 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	0.128	57.09	08/05/21	53.23	...	3.86	1 of 3 - Sale <sup>25</sup>
	1.000	445.88	08/11/21	432.08	...	13.80	2 of 3 - Sale <sup>25</sup>
	0.872	388.80	08/11/21	370.89	...	17.91	3 of 3 - Sale <sup>25</sup>
09/09/21	2.000	891.77	Various	856.20	...	35.57	Total of 3 transactions
09/09/21	1.000	445.89	08/10/21	471.31	25.42 W	0.00	Sale <sup>25</sup>
5 transactions for 09/13/21. Total proceeds and cost reported to the IRS.							
	0.128	58.45	08/11/21	57.71	...	0.74	1 of 5 - Sale <sup>25</sup>
	0.128	58.44	08/12/21	50.79	...	7.65	2 of 5 - Sale <sup>25</sup>
	0.872	398.12	08/12/21	368.13	...	29.99	3 of 5 - Sale <sup>25</sup>
	1.000	456.51	09/07/21	424.13	...	32.38	4 of 5 - Sale <sup>25</sup>
	0.872	398.06	09/08/21	367.59	...	30.47	5 of 5 - Sale <sup>25</sup>
09/13/21	3.000	1,369.58	Various	1,268.35	...	101.23	Total of 5 transactions
11/22/21	0.872	247.64	11/19/21	230.65	...	16.99	Sale <sup>25</sup>
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	0.128	36.36	09/08/21	53.97	17.61 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.128	36.15	11/19/21	51.47	15.32 W	0.00	2 of 2 - Sale <sup>25</sup>
11/22/21	0.256	72.51	Various	105.44	32.93 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	0.128	34.71	12/02/21	53.80	...	-19.09	1 of 2 - Sale <sup>25</sup>
	0.038	10.39	12/02/21	11.52	...	-1.13	2 of 2 - Sale <sup>25</sup>
12/13/21	0.166	45.10	Various	65.32	...	-20.22	Total of 2 transactions
	<b>Security total:</b>	<b>3,722.94</b>		<b>3,579.80</b>	<b>58.35 W</b>	<b>201.49</b>	
MOMENTUS INC. CLASS A COMMON S TOCK / CUSIP: 60879E101 / Symbol:							
4 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.532	5.17	03/03/21	9.99	...	-4.82	1 of 4 - Sale <sup>25</sup>
	0.001	0.01	03/03/21	0.01	...	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	9.72	03/19/21	13.46	...	-3.74	3 of 4 - Sale <sup>25</sup>
	2.000	19.44	05/05/21	22.39	...	-2.95	4 of 4 - Sale <sup>25</sup>
11/18/21	3.532	34.34	Various	45.85	...	-11.51	Total of 4 transactions
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
11/12/21	100.000	644.98	11/12/21	619.00	...	25.98	Sale <sup>25</sup>
THE MOSAIC COMPANY / CUSIP: 61945C103 / Symbol:							
12/13/21	15.000	538.94	11/15/21	568.20	...	-29.26	Sale <sup>25</sup>
MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	100.000	147.99	11/02/21	137.73	...	10.26	1 of 2 - Sale <sup>25</sup>
	100.000	147.99	11/02/21	134.94	...	13.05	2 of 2 - Sale <sup>25</sup>
11/15/21	200.000	295.98	Various	272.67	...	23.31	Total of 2 transactions
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	100.000	149.99	11/01/21	155.00	5.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	149.99	11/01/21	157.79	7.80 W	0.00	2 of 2 - Sale <sup>25</sup>
11/15/21	200.000	299.98	Various	312.79	12.81 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>595.96</b>		<b>585.46</b>	<b>12.81 W</b>	<b>23.31</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
16 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	0.244	8.59	01/22/21	15.12	...	-6.53	1 of 16 - Sale <sup>25</sup>
	2.000	70.35	01/25/21	127.29	...	-56.94	2 of 16 - Sale <sup>25</sup>
	1.000	35.18	01/25/21	63.44	...	-28.26	3 of 16 - Sale <sup>25</sup>
	1.000	35.17	01/25/21	61.19	...	-26.02	4 of 16 - Sale <sup>25</sup>
	1.000	35.17	01/25/21	59.90	...	-24.73	5 of 16 - Sale <sup>25</sup>
	1.000	35.18	01/25/21	59.90	...	-24.72	6 of 16 - Sale <sup>25</sup>
	1.000	35.18	01/26/21	60.44	...	-25.26	7 of 16 - Sale <sup>25</sup>
	2.000	70.35	01/28/21	118.14	...	-47.79	8 of 16 - Sale <sup>25</sup>
	1.000	35.17	02/17/21	56.65	...	-21.48	9 of 16 - Sale <sup>25</sup>
	0.534	18.78	03/09/21	20.00	...	-1.22	10 of 16 - Sale <sup>25</sup>
	1.000	35.18	03/12/21	44.09	...	-8.91	11 of 16 - Sale <sup>25</sup>
	0.222	7.81	03/16/21	10.00	...	-2.19	12 of 16 - Sale <sup>25</sup>
	10.000	351.75	11/22/21	403.30	...	-51.55	13 of 16 - Sale <sup>25</sup>
	9.244	325.16	11/22/21	373.00	...	-47.84	14 of 16 - Sale <sup>25</sup>
	0.756	26.59	11/22/21	50.69	...	-24.10	15 of 16 - Sale <sup>25</sup>
	1.000	35.17	12/02/21	37.04	...	-1.87	16 of 16 - Sale <sup>25</sup>
12/02/21	33.000	1,160.78	Various	1,560.19	...	-399.41	Total of 16 transactions
12/02/21	0.756	26.62	01/22/21	46.81	20.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,187.40</b>		<b>1,607.00</b>	<b>20.19 W</b>	<b>-399.41</b>	
NRX PHARMACEUTICALS, INC. COMM ON STOCK / CUSIP: 629444100 / Symbol:							
11/29/21	30.000	288.00	11/29/21	303.00	...	-15.00	Sale <sup>25</sup>
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
12/13/21	30.000	122.79	11/01/21	186.30	...	-63.51	Sale <sup>25</sup>
NANOVI BRONIX, INC. COMMON STOC K / CUSIP: 63008J108 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	20.000	22.00	12/09/21	24.00	...	-2.00	1 of 2 - Sale <sup>25</sup>
	20.000	22.00	12/09/21	23.95	...	-1.95	2 of 2 - Sale <sup>25</sup>
12/13/21	40.000	44.00	Various	47.95	...	-3.95	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEOGENOMICS, INC. COMMON STOCK / CUSIP: 64049M209 / Symbol:							
3 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	0.545	28.10	01/22/21	30.54	...	-2.44	1 of 3 - Sale <sup>25</sup>
	0.455	23.46	01/22/21	25.49	...	-2.03	2 of 3 - Sale <sup>25</sup>
	0.455	23.45	03/09/21	20.00	...	3.45	3 of 3 - Sale <sup>25</sup>
09/09/21	1.455	75.01	Various	76.03	...	-1.02	Total of 3 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	0.184	122.93	02/18/21	100.00	...	22.93	1 of 2 - Sale <sup>25</sup>
	0.205	136.75	06/11/21	100.00	...	36.75	2 of 2 - Sale <sup>25</sup>
10/26/21	0.388	259.68	Various	200.00	...	59.68	Total of 2 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	11.20	11/02/21	10.36	...	0.84	1 of 3 - Sale <sup>25</sup>
	9.000	100.80	11/02/21	93.24	...	7.56	2 of 3 - Sale <sup>25</sup>
	10.000	112.00	11/02/21	104.00	...	8.00	3 of 3 - Sale <sup>25</sup>
11/02/21	20.000	224.00	Various	207.60	...	16.40	Total of 3 transactions
NEUROMETRIX, INC. COMMON STOCK / CUSIP: 641255807 / Symbol:							
11/23/21	10.000	70.10	11/23/21	74.99	...	-4.89	Sale <sup>25</sup>
NEW YORK TIMES CO. / CUSIP: 650111107 / Symbol:							
10/11/21	1.000	52.99	04/08/21	49.08	...	3.91	Sale <sup>25</sup>
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
11/03/21	2.000	11.42	02/24/21	8.28	...	3.14	Sale <sup>25</sup>
NORFOLK SOUTHERN CORP. / CUSIP: 655844108 / Symbol:							
04/20/21	1.000	277.30	04/14/21	271.15	...	6.15	Sale <sup>25</sup>
04/27/21	1.000	282.04	04/20/21	272.31	...	9.73	Sale <sup>25</sup>
5 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	1.000	274.94	05/06/21	286.57	...	-11.63	1 of 5 - Sale <sup>25</sup>
	1.000	274.94	05/19/21	277.42	...	-2.48	2 of 5 - Sale <sup>25</sup>
	1.000	274.94	06/11/21	270.73	...	4.21	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORFOLK SOUTHERN CORP. / CUSIP: 655844108 / Symbol: (cont'd)							
	1.000	274.94	06/21/21	266.48	...	8.46	4 of 5 - Sale <sup>25</sup>
	1.000	274.94	07/20/21	256.83	...	18.11	5 of 5 - Sale <sup>25</sup>
10/18/21	5.000	1,374.70	Various	1,358.03	...	16.67	Total of 5 transactions
	2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	289.42	07/23/21	265.51	...	23.91	1 of 2 - Sale <sup>25</sup>
	1.000	288.59	07/28/21	257.09	...	31.50	2 of 2 - Sale <sup>25</sup>
10/26/21	2.000	578.01	Various	522.60	...	55.41	Total of 2 transactions
	<b>Security total:</b>	<b>2,512.05</b>		<b>2,424.09</b>	...	<b>87.96</b>	
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
	4 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	5.000	1.93	02/02/21	3.24	...	-1.31	1 of 4 - Sale <sup>25</sup>
	5.000	1.94	02/10/21	5.20	...	-3.26	2 of 4 - Sale <sup>25</sup>
	30.000	11.61	02/11/21	32.70	...	-21.09	3 of 4 - Sale <sup>25</sup>
	5.000	1.93	03/16/21	3.67	...	-1.74	4 of 4 - Sale <sup>25</sup>
11/18/21	45.000	17.41	Various	44.81	...	-27.40	Total of 4 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
	2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	3.727	607.47	10/28/21	554.65	...	52.82	1 of 2 - Sale <sup>25</sup>
	3.727	607.47	10/28/21	553.97	...	53.50	2 of 2 - Sale <sup>25</sup>
11/01/21	7.454	1,214.94	Various	1,108.62	...	106.32	Total of 2 transactions
	18 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	0.038	6.12	02/01/21	10.00	3.88 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.037	6.04	02/01/21	9.99	3.95 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.155	25.19	02/08/21	50.00	24.81 W	0.00	3 of 18 - Sale <sup>25</sup>
	0.771	125.65	02/17/21	206.80	81.15 W	0.00	4 of 18 - Sale <sup>25</sup>
	0.229	37.35	02/17/21	61.47	24.12 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.044	7.17	03/16/21	10.00	2.83 W	0.00	6 of 18 - Sale <sup>25</sup>
	0.037	6.04	10/28/21	9.46	3.42 W	0.00	7 of 18 - Sale <sup>25</sup>
	0.038	6.12	10/28/21	9.47	3.35 W	0.00	8 of 18 - Sale <sup>25</sup>
	0.155	25.19	10/28/21	47.81	22.62 W	0.00	9 of 18 - Sale <sup>25</sup>
	0.771	125.65	10/28/21	195.88	70.23 W	0.00	10 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	0.229	37.35	10/28/21	58.22	20.87 W	0.00	11 of 18 - Sale <sup>25</sup>
	0.044	7.17	10/28/21	9.38	2.21 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.037	6.04	10/28/21	8.93	2.89 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.038	6.12	10/28/21	8.93	2.81 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.155	25.19	10/28/21	45.59	20.40 W	0.00	15 of 18 - Sale <sup>25</sup>
	0.771	125.65	10/28/21	184.82	59.17 W	0.00	16 of 18 - Sale <sup>25</sup>
	0.229	37.12	10/28/21	54.93	17.81 W	0.00	17 of 18 - Sale <sup>25</sup>
	0.044	7.13	10/28/21	8.75	1.62 W	0.00	18 of 18 - Sale <sup>25</sup>
11/01/21	3.819	622.29	Various	990.43	368.14 W	0.00	Total of 18 transactions
11/19/21	0.727	141.74	11/16/21	123.90	...	17.84	Sale <sup>25</sup>
	6 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	0.037	7.11	11/16/21	9.20	2.09 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.038	7.21	11/16/21	9.21	2.00 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.155	29.67	11/16/21	46.74	17.07 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.771	148.01	11/16/21	190.58	42.57 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.229	44.68	11/16/21	56.87	12.19 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.044	8.58	11/16/21	9.12	0.54 W	0.00	6 of 6 - Sale <sup>25</sup>
11/19/21	1.273	245.26	Various	321.72	76.46 W	0.00	Total of 6 transactions
	4 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	0.037	7.11	12/07/21	8.56	1.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.038	7.21	12/07/21	8.56	1.35 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.155	29.67	12/07/21	44.06	14.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.771	148.01	12/07/21	177.21	29.20 W	0.00	4 of 4 - Sale <sup>25</sup>
12/16/21	1.000	192.00	Various	238.39	46.39 W	0.00	Total of 4 transactions
	7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.						
	3.727	798.74	12/17/21	813.66	2.91 W	-12.01	1 of 7 - Sale <sup>25</sup>
	0.037	7.94	12/17/21	9.54	1.60 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.038	8.05	12/17/21	9.55	1.50 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.155	33.12	12/17/21	48.12	15.00 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.771	165.22	12/17/21	197.50	32.28 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.229	49.11	12/17/21	62.21	13.10 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.044	9.43	12/17/21	10.15	0.72 W	0.00	7 of 7 - Sale <sup>25</sup>
12/17/21	5.000	1,071.61	Various	1,150.73	67.11 W	-12.01	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,487.84</b>		<b>3,933.79</b>		<b>558.10 W</b>	<b>112.15</b>
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.007	4.00	05/26/20	2.48	...	1.52	1 of 3 - Sale <sup>25</sup>
	0.129	76.73	05/26/20	47.52	...	29.21	2 of 3 - Sale <sup>25</sup>
	0.033	19.27	08/24/20	16.76	...	2.51	3 of 3 - Sale <sup>25</sup>
02/10/21	0.169	100.00	Various	66.76	...	33.24	Total of 3 transactions
02/17/21	0.250	150.00	08/24/20	129.02	...	20.98	Sale <sup>25</sup>
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.105	59.18	08/24/20	54.22	...	4.96	1 of 2 - Sale <sup>25</sup>
	0.185	104.28	09/30/20	100.00	...	4.28	2 of 2 - Sale <sup>25</sup>
02/23/21	0.290	163.46	Various	154.22	...	9.24	Total of 2 transactions
02/23/21	0.110	61.74	02/18/21	64.24	2.50 W	0.00	Sale <sup>25</sup>
6 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	0.244	53.36	02/18/21	35.76	...	17.60	1 of 6 - Sale <sup>25</sup>
	0.082	17.97	03/09/21	10.47	...	7.50	2 of 6 - Sale <sup>25</sup>
	0.076	16.70	03/17/21	10.44	...	6.26	3 of 6 - Sale <sup>25</sup>
	0.111	24.18	03/19/21	14.16	...	10.02	4 of 6 - Sale <sup>25</sup>
	0.280	61.20	03/19/21	37.43	...	23.77	5 of 6 - Sale <sup>25</sup>
	0.407	88.86	07/14/21	80.71	...	8.15	6 of 6 - Sale <sup>25</sup>
08/24/21	1.200	262.27	Various	188.97	...	73.30	Total of 6 transactions
10/15/21	0.097	21.15	07/14/21	19.29	...	1.86	Sale <sup>25</sup>
<b>Security total:</b>		<b>758.62</b>		<b>622.50</b>		<b>2.50 W</b>	<b>138.62</b>
NUZEE, INC. COMMON STOCK / CUSIP: 67073S208 / Symbol:							
11/16/21	100.000	408.49	11/12/21	550.00	...	-141.51	Sale <sup>25</sup>
NUTRIBAND INC. COMMON STOCK / CUSIP: 67092M208 / Symbol:							
11/02/21	50.000	334.50	11/02/21	334.67	0.01 W	-0.16	Sale <sup>25</sup>
2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	8.85	11/02/21	8.85	...	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	17.70	11/02/21	17.61	...	0.09	2 of 2 - Sale <sup>25</sup>
12/31/21	3.000	26.55	Various	26.46	...	0.09	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NUTRIBAND INC. COMMON STOCK / CUSIP: 67092M208 / Symbol: (cont'd)							
<b>Security total:</b>		<b>361.05</b>		<b>361.13</b>		<b>0.01 W</b>	<b>-0.07</b>
OAK STREET HEALTH, INC. / CUSIP: 67181A107 / Symbol:							
05/18/21	2.000	119.18	05/06/21	115.54	...	3.64	Sale <sup>25</sup>
05/26/21	1.000	64.48	05/06/21	56.78	...	7.70	Sale <sup>25</sup>
<b>Security total:</b>		<b>183.66</b>		<b>172.32</b>	...		<b>11.34</b>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	46.45	11/03/21	84.00	37.55 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	185.82	11/03/21	335.80	149.98 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	92.91	11/03/21	129.10	36.19 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	92.91	11/03/21	129.20	36.29 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	92.90	11/04/21	111.00	18.10 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	46.45	11/04/21	93.05	46.60 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	46.45	11/04/21	92.99	46.54 W	0.00	7 of 7 - Sale <sup>25</sup>
11/09/21	65.000	603.89	Various	975.14	371.25 W	0.00	Total of 7 transactions
ON SEMICONDUCTOR CORPORATION COMMON STOCK / CUSIP: 682189105 / Symbol:							
12/01/21	5.000	325.00	11/16/21	308.88	...	16.12	Sale <sup>25</sup>
OPENDOOR TECHNOLOGIES INC COMMON STOCK / CUSIP: 683712103 / Symbol:							
12/13/21	20.000	295.00	11/10/21	468.00	...	-173.00	Sale <sup>25</sup>
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
12/10/21	1.000	100.24	12/09/21	94.53	...	5.71	Sale <sup>25</sup>
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
<i>6 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.566	1.33	02/09/21	2.51	...	-1.18	1 of 6 - Sale <sup>25</sup>
	0.434	1.02	02/09/21	1.93	...	-0.91	2 of 6 - Sale <sup>25</sup>
	2.000	4.71	02/10/21	15.08	...	-10.37	3 of 6 - Sale <sup>25</sup>
	1.000	2.35	02/10/21	5.92	...	-3.57	4 of 6 - Sale <sup>25</sup>
	2.000	4.71	02/17/21	7.50	...	-2.79	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol: (cont'd)							
	2.434	5.73	03/16/21	10.00	...	-4.27	6 of 6 - Sale <sup>25</sup>
11/16/21	8.434	19.85	Various	42.94	...	-23.09	Total of 6 transactions
OSHKOSH CORP. / CUSIP: 688239201 / Symbol:							
05/06/21	1.000	130.72	04/19/21	120.57	...	10.15	Sale <sup>25</sup>
05/18/21	1.000	135.85	05/06/21	130.12	...	5.73	Sale <sup>25</sup>
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	115.15	05/20/21	131.40	...	-16.25	1 of 2 - Sale <sup>25</sup>
	1.000	115.15	08/17/21	115.02	...	0.13	2 of 2 - Sale <sup>25</sup>
11/16/21	2.000	230.30	Various	246.42	...	-16.12	Total of 2 transactions
	<b>Security total:</b>	<b>496.87</b>		<b>497.11</b>	...	<b>-0.24</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
10/28/21	3.000	288.00	10/28/21	285.23	...	2.77	Sale <sup>25</sup>
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	27.41	01/25/21	36.95	...	-9.54	1 of 3 - Sale <sup>25</sup>
	1.000	27.41	01/26/21	33.41	...	-6.00	2 of 3 - Sale <sup>25</sup>
	1.000	27.40	02/17/21	42.96	...	-15.56	3 of 3 - Sale <sup>25</sup>
11/16/21	3.000	82.22	Various	113.32	...	-31.10	Total of 3 transactions
PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
12/13/21	2.000	68.57	12/07/21	74.00	...	-5.43	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	28.62	02/23/21	26.71	...	1.91	1 of 10 - Sale <sup>25</sup>
	1.000	28.62	03/04/21	23.56	...	5.06	2 of 10 - Sale <sup>25</sup>
	10.000	286.21	03/05/21	235.70	...	50.51	3 of 10 - Sale <sup>25</sup>
	10.000	286.21	03/05/21	235.10	...	51.11	4 of 10 - Sale <sup>25</sup>
	1.000	28.62	03/12/21	26.22	...	2.40	5 of 10 - Sale <sup>25</sup>
	2.000	57.24	03/15/21	54.60	...	2.64	6 of 10 - Sale <sup>25</sup>
	1.000	28.62	03/19/21	24.31	...	4.31	7 of 10 - Sale <sup>25</sup>
	2.000	57.24	04/09/21	46.78	...	10.46	8 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	1.000	28.62	05/05/21	21.14	...	7.48	9 of 10 - Sale <sup>25</sup>
	1.000	28.62	06/24/21	26.52	...	2.10	10 of 10 - Sale <sup>25</sup>
09/23/21	30.000	858.62	Various	720.64	...	137.98	Total of 10 transactions
	6 transactions for 10/05/21. Total proceeds and cost reported to the IRS.						
	2.000	51.20	07/20/21	44.59	...	6.61	1 of 6 - Sale <sup>25</sup>
	2.000	51.20	07/27/21	43.35	...	7.85	2 of 6 - Sale <sup>25</sup>
	2.000	51.30	10/05/21	50.85	...	0.45	3 of 6 - Sale <sup>25</sup>
	2.000	52.00	10/05/21	49.02	...	2.98	4 of 6 - Sale <sup>25</sup>
	4.000	102.40	10/05/21	98.04	...	4.36	5 of 6 - Sale <sup>25</sup>
	8.000	205.20	10/05/21	196.08	...	9.12	6 of 6 - Sale <sup>25</sup>
10/05/21	20.000	513.30	Various	481.93	...	31.37	Total of 6 transactions
10/05/21	2.000	51.20	06/24/21	53.03	1.83 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,423.12</b>		<b>1,255.60</b>	<b>1.83 W</b>	<b>169.35</b>	
PASITHEA THERAPEUTICS CORP. CO MMON STOCK / CUSIP: 70261F103 / Symbol:							
11/23/21	1.000	6.90	11/23/21	5.18	...	1.72	Sale <sup>25</sup>
PAYCOM SOFTWARE, INC. / CUSIP: 70432V102 / Symbol:							
	3 transactions for 09/22/21. Total proceeds and cost reported to the IRS.						
	0.002	1.12	07/14/21	0.84	...	0.28	1 of 3 - Sale <sup>25</sup>
	0.265	132.45	07/14/21	99.16	...	33.29	2 of 3 - Sale <sup>25</sup>
	0.108	53.82	08/17/21	50.00	...	3.82	3 of 3 - Sale <sup>25</sup>
09/22/21	0.374	187.39	Various	150.00	...	37.39	Total of 3 transactions
12/13/21	0.219	92.18	11/22/21	100.00	...	-7.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>279.57</b>		<b>250.00</b>	...	<b>29.57</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
	7 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	0.816	241.84	08/07/20	166.25	...	75.59	1 of 7 - Sale <sup>25</sup>
	0.852	252.43	11/03/20	150.00	...	102.43	2 of 7 - Sale <sup>25</sup>
	1.000	296.33	12/17/20	236.20	...	60.13	3 of 7 - Sale <sup>25</sup>
	0.410	121.55	01/14/21	100.00	...	21.55	4 of 7 - Sale <sup>25</sup>
	0.403	119.54	01/19/21	100.00	...	19.54	5 of 7 - Sale <sup>25</sup>
	0.404	119.85	01/19/21	100.00	...	19.85	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: (cont'd)							
	0.114	33.79	01/21/21	28.41	...	5.38	7 of 7 - Sale <sup>25</sup>
02/17/21	4.000	1,185.33	Various	880.86	...	304.47	Total of 7 transactions
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	0.287	75.08	01/21/21	71.59	...	3.49	1 of 2 - Sale <sup>25</sup>
	0.404	105.48	01/25/21	100.00	...	5.48	2 of 2 - Sale <sup>25</sup>
02/23/21	0.691	180.56	Various	171.59	...	8.97	Total of 2 transactions
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	0.308	80.56	02/18/21	88.36	7.79 W	-0.01	1 of 2 - Sale <sup>25</sup>
	0.000	0.13	02/18/21	0.14	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
02/23/21	0.309	80.69	Various	88.50	7.80 W	-0.01	Total of 2 transactions
	4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	0.198	53.08	03/09/21	52.20	...	0.88	1 of 4 - Sale <sup>25</sup>
	0.221	59.37	03/09/21	52.80	...	6.57	2 of 4 - Sale <sup>25</sup>
	1.000	268.60	08/12/21	273.53	...	-4.93	3 of 4 - Sale <sup>25</sup>
	1.000	268.59	08/17/21	271.80	...	-3.21	4 of 4 - Sale <sup>25</sup>
10/15/21	2.419	649.64	Various	650.33	...	-0.69	Total of 4 transactions
	4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.						
	0.040	10.78	02/18/21	11.50	0.72 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.13	03/02/21	0.14	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.110	29.62	03/02/21	32.66	3.04 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	268.59	08/11/21	275.30	5.70 W	-1.01	4 of 4 - Sale <sup>25</sup>
10/15/21	1.151	309.12	Various	319.60	9.47 W	-1.01	Total of 4 transactions
	6 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	0.849	158.96	10/22/21	210.78	...	-51.82	1 of 6 - Sale <sup>25</sup>
	0.110	20.65	10/22/21	29.68	...	-9.03	2 of 6 - Sale <sup>25</sup>
	0.040	7.52	10/22/21	10.42	...	-2.90	3 of 6 - Sale <sup>25</sup>
	0.000	0.09	10/22/21	0.13	...	-0.04	4 of 6 - Sale <sup>25</sup>
	3.000	561.64	11/18/21	603.47	...	-41.83	5 of 6 - Sale <sup>25</sup>
	1.000	187.21	11/18/21	200.17	...	-12.96	6 of 6 - Sale <sup>25</sup>
12/13/21	5.000	936.07	Various	1,054.65	...	-118.58	Total of 6 transactions
	<b>Security total:</b>	<b>3,341.41</b>		<b>3,165.53</b>	<b>17.27 W</b>	<b>193.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PEAR THERAPEUTICS, INC. CLASS A COMMON STOCK / CUSIP: 704723105 / Symbol:							
12/07/21	10.000	95.44	12/07/21	111.10	...	-15.66	Sale <sup>25</sup>
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	5.000	193.45	11/16/21	254.42	...	-60.97	1 of 2 - Sale <sup>25</sup>
	1.000	38.69	11/29/21	44.39	...	-5.70	2 of 2 - Sale <sup>25</sup>
12/13/21	6.000	232.14	Various	298.81	...	-66.67	Total of 2 transactions
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
09/09/21	1.000	84.31	08/26/21	81.44	...	2.87	Sale <sup>25</sup>
PETROS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 71678J100 / Symbol:							
12/21/21	20.000	90.00	11/29/21	79.80	...	10.20	Sale <sup>25</sup>
PETVIVO HOLDINGS, INC. COMMON STOCK / CUSIP: 716817408 / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	8.000	35.84	11/09/21	35.76	...	0.08	1 of 2 - Sale <sup>25</sup>
	42.000	188.16	11/09/21	187.74	...	0.42	2 of 2 - Sale <sup>25</sup>
12/06/21	50.000	224.00	Various	223.50	...	0.50	Total of 2 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
11/02/21	1.000	45.01	08/03/21	45.55	0.54 W	0.00	Sale <sup>25</sup>
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	48.44	08/12/21	46.33	...	2.11	1 of 2 - Sale <sup>25</sup>
	1.000	47.38	10/29/21	44.25	...	3.13	2 of 2 - Sale <sup>25</sup>
11/05/21	2.000	95.82	Various	90.58	...	5.24	Total of 2 transactions
11/17/21	1.000	51.00	11/16/21	49.77	...	1.23	Sale <sup>25</sup>
11/26/21	1.000	54.00	11/19/21	52.03	...	1.97	Sale <sup>25</sup>
12/13/21	5.000	275.36	12/01/21	274.39	...	0.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>521.19</b>		<b>512.32</b>	<b>0.54 W</b>	<b>9.41</b>	
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
5 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	100.000	499.99	11/02/21	500.00	...	-0.01	1 of 5 - Sale <sup>25</sup>
	50.000	249.99	11/02/21	249.29	...	0.70	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol: (cont'd)							
	10.000	50.00	11/02/21	47.79	...	2.21	3 of 5 - Sale <sup>25</sup>
	50.000	249.99	11/02/21	247.50	...	2.49	4 of 5 - Sale <sup>25</sup>
	50.000	249.99	11/02/21	225.50	...	24.49	5 of 5 - Sale <sup>25</sup>
11/03/21	260.000	1,299.96	Various	1,270.08	...	29.88	Total of 5 transactions
11/03/21	50.000	249.99	11/02/21	255.00	1.00 W	-4.01	Sale <sup>25</sup>
12/08/21	10.000	40.00	12/01/21	35.50	...	4.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,589.95</b>		<b>1,560.58</b>	<b>1.00 W</b>	<b>30.37</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
04/09/21	0.840	71.39	03/08/21	52.37	...	19.02	Sale <sup>25</sup>
	2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	1.000	84.99	02/18/21	86.18	1.00 W	-0.19	1 of 2 - Sale <sup>25</sup>
	0.160	13.64	02/18/21	13.82	0.18 W	0.00	2 of 2 - Sale <sup>25</sup>
04/09/21	1.160	98.63	Various	100.00	1.18 W	-0.19	Total of 2 transactions
11/16/21	8.840	433.15	11/05/21	413.15	...	20.00	Sale <sup>25</sup>
	3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	0.160	7.85	03/08/21	9.99	2.14 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.160	7.86	03/11/21	11.71	3.85 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.840	41.14	03/11/21	61.32	20.18 W	0.00	3 of 3 - Sale <sup>25</sup>
11/16/21	1.160	56.85	Various	83.02	26.17 W	0.00	Total of 3 transactions
	6 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	5.000	182.44	11/05/21	233.84	...	-51.40	1 of 6 - Sale <sup>25</sup>
	0.840	30.63	11/05/21	59.42	...	-28.79	2 of 6 - Sale <sup>25</sup>
	2.000	72.98	11/05/21	93.54	...	-20.56	3 of 6 - Sale <sup>25</sup>
	0.160	5.85	11/05/21	11.33	...	-5.48	4 of 6 - Sale <sup>25</sup>
	0.160	5.85	11/05/21	9.63	...	-3.78	5 of 6 - Sale <sup>25</sup>
	0.000	0.01	11/05/21	0.02	...	-0.01	6 of 6 - Sale <sup>25</sup>
12/13/21	8.160	297.76	Various	407.78	...	-110.02	Total of 6 transactions
	<b>Security total:</b>	<b>957.78</b>		<b>1,056.32</b>	<b>27.35 W</b>	<b>-71.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:							
4 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	5.000	43.00	11/11/21	41.05	...	1.95	1 of 4 - Sale <sup>25</sup>
	15.000	129.00	11/11/21	123.15	...	5.85	2 of 4 - Sale <sup>25</sup>
	5.000	47.50	11/11/21	41.50	...	6.00	3 of 4 - Sale <sup>25</sup>
	45.000	387.00	11/11/21	373.50	...	13.50	4 of 4 - Sale <sup>25</sup>
11/11/21	70.000	606.50	Various	579.20	...	27.30	Total of 4 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	66.24	12/23/20	35.71	...	30.53	1 of 3 - Sale <sup>25</sup>
	1.000	66.24	01/07/21	43.25	...	22.99	2 of 3 - Sale <sup>25</sup>
	2.000	132.48	01/12/21	124.61	...	7.87	3 of 3 - Sale <sup>25</sup>
02/08/21	4.000	264.96	Various	203.57	...	61.39	Total of 3 transactions
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	39.89	04/09/21	32.24	...	7.65	1 of 4 - Sale <sup>25</sup>
	0.011	0.43	07/20/21	0.29	...	0.14	2 of 4 - Sale <sup>25</sup>
	1.000	39.90	07/20/21	26.89	...	13.01	3 of 4 - Sale <sup>25</sup>
	0.989	40.49	07/20/21	26.60	...	13.89	4 of 4 - Sale <sup>25</sup>
11/01/21	3.000	120.71	Various	86.02	...	34.69	Total of 4 transactions
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.302	12.06	01/19/21	20.00	7.94 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.250	9.98	03/09/21	10.00	0.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.213	8.48	03/15/21	10.00	1.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.224	8.93	03/16/21	10.00	1.07 W	0.00	4 of 4 - Sale <sup>25</sup>
11/01/21	0.989	39.45	Various	50.00	10.55 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>425.12</b>		<b>339.59</b>	<b>10.55 W</b>	<b>96.08</b>	
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	25.000	108.52	11/16/21	98.00	...	10.52	1 of 3 - Sale <sup>25</sup>
	50.000	215.00	11/16/21	196.00	...	19.00	2 of 3 - Sale <sup>25</sup>
	25.000	117.50	11/16/21	98.00	...	19.50	3 of 3 - Sale <sup>25</sup>
11/16/21	100.000	441.02	Various	392.00	...	49.02	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
<i>9 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	0.058	2.16	12/18/20	2.15	...	0.01	1 of 9 - Sale <sup>25</sup>
	2.000	75.00	12/18/20	74.98	...	0.02	2 of 9 - Sale <sup>25</sup>
	4.942	185.33	12/18/20	184.14	...	1.19	3 of 9 - Sale <sup>25</sup>
	10.000	374.99	12/18/20	373.30	...	1.69	4 of 9 - Sale <sup>25</sup>
	1.000	37.50	12/21/20	34.72	...	2.78	5 of 9 - Sale <sup>25</sup>
	2.000	75.00	12/21/20	69.06	...	5.94	6 of 9 - Sale <sup>25</sup>
	5.000	187.49	12/21/20	173.50	...	13.99	7 of 9 - Sale <sup>25</sup>
	0.058	2.16	12/22/20	2.00	...	0.16	8 of 9 - Sale <sup>25</sup>
	2.000	75.00	12/22/20	69.40	...	5.60	9 of 9 - Sale <sup>25</sup>
01/05/21	27.058	1,014.63	Various	983.25	...	31.38	Total of 9 transactions
<i>6 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	59.66	01/07/21	39.02	...	20.64	1 of 6 - Sale <sup>25</sup>
	1.000	59.66	02/03/21	45.60	...	14.06	2 of 6 - Sale <sup>25</sup>
	2.000	119.33	02/03/21	91.86	...	27.47	3 of 6 - Sale <sup>25</sup>
	2.000	119.33	02/03/21	91.52	...	27.81	4 of 6 - Sale <sup>25</sup>
	2.000	119.33	02/08/21	96.80	...	22.53	5 of 6 - Sale <sup>25</sup>
	2.000	119.33	02/17/21	107.72	...	11.61	6 of 6 - Sale <sup>25</sup>
04/19/21	10.000	596.64	Various	472.52	...	124.12	Total of 6 transactions
<i>7 transactions for 05/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.32	02/23/21	55.35	...	8.97	1 of 7 - Sale <sup>25</sup>
	1.000	64.32	02/24/21	58.21	...	6.11	2 of 7 - Sale <sup>25</sup>
	1.000	64.32	03/01/21	55.30	...	9.02	3 of 7 - Sale <sup>25</sup>
	0.328	21.08	03/15/21	20.00	...	1.08	4 of 7 - Sale <sup>25</sup>
	1.000	64.32	03/18/21	58.29	...	6.03	5 of 7 - Sale <sup>25</sup>
	1.000	64.32	04/20/21	57.11	...	7.21	6 of 7 - Sale <sup>25</sup>
	1.672	107.56	05/19/21	98.16	...	9.40	7 of 7 - Sale <sup>25</sup>
05/25/21	7.000	450.24	Various	402.42	...	47.82	Total of 7 transactions
07/30/21	0.328	26.40	05/19/21	19.24	...	7.16	Sale <sup>25</sup>
07/30/21	0.672	54.16	07/01/21	54.46	0.30 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>							
	0.328	23.65	07/20/21	22.28	...	1.37	1 of 3 - Sale <sup>25</sup>
	0.672	48.52	07/20/21	46.02	...	2.50	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol: (cont'd)							
	0.672	48.51	08/23/21	44.34	...	4.17	3 of 3 - Sale <sup>25</sup>
08/30/21	1.672	120.68	Various	112.64	...	8.04	Total of 3 transactions
08/30/21	0.328	23.65	07/01/21	26.54	2.89 W	0.00	Sale <sup>25</sup>
09/22/21	0.328	25.68	08/23/21	24.51	...	1.17	Sale <sup>25</sup>
11/02/21	1.000	97.36	10/21/21	96.64	...	0.72	Sale <sup>25</sup>
12/07/21	1.000	79.92	11/30/21	71.03	...	8.89	Sale <sup>25</sup>
12/13/21	1.000	80.54	11/30/21	71.03	...	9.51	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,569.90</b>		<b>2,334.28</b>	<b>3.19 W</b>	<b>238.81</b>	
PROTO LABS, INC. / CUSIP: 743713109 / Symbol:							
14 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.472	25.34	01/26/21	99.74	...	-74.40	1 of 14 - Sale <sup>25</sup>
	0.474	25.45	01/26/21	99.64	...	-74.19	2 of 14 - Sale <sup>25</sup>
	0.338	18.15	01/26/21	71.64	...	-53.49	3 of 14 - Sale <sup>25</sup>
	0.134	7.18	01/26/21	28.36	...	-21.18	4 of 14 - Sale <sup>25</sup>
	0.002	0.09	01/26/21	0.36	...	-0.27	5 of 14 - Sale <sup>25</sup>
	0.001	0.07	01/26/21	0.26	...	-0.19	6 of 14 - Sale <sup>25</sup>
	0.366	19.65	01/27/21	99.57	...	-79.92	7 of 14 - Sale <sup>25</sup>
	1.000	53.69	01/28/21	221.37	...	-167.68	8 of 14 - Sale <sup>25</sup>
	1.000	53.70	01/28/21	221.35	...	-167.65	9 of 14 - Sale <sup>25</sup>
	1.000	53.70	02/17/21	179.77	...	-126.07	10 of 14 - Sale <sup>25</sup>
	1.000	53.69	03/05/21	124.67	...	-70.98	11 of 14 - Sale <sup>25</sup>
	0.077	4.11	03/09/21	10.00	...	-5.89	12 of 14 - Sale <sup>25</sup>
	0.746	40.07	03/16/21	100.00	...	-59.93	13 of 14 - Sale <sup>25</sup>
	1.000	53.70	07/20/21	88.05	...	-34.35	14 of 14 - Sale <sup>25</sup>
11/18/21	7.609	408.59	Various	1,344.78	...	-936.19	Total of 14 transactions
PURE STORAGE, INC. CLASS A / CUSIP: 74624M102 / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	3.000	84.00	02/03/21	81.18	...	2.82	1 of 2 - Sale <sup>25</sup>
	2.000	56.00	02/08/21	55.46	...	0.54	2 of 2 - Sale <sup>25</sup>
11/03/21	5.000	140.00	Various	136.64	...	3.36	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PUXIN LIMITED AMERICAN DEPOSIT ARY SHARES, EACH REPRESENTING TWO ORDINARY SHARES / CUSIP: 74704P108 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	50.000	18.25	10/27/21	54.50	...	-36.25	1 of 2 - Sale <sup>25</sup>
	50.000	18.24	10/27/21	36.91	...	-18.67	2 of 2 - Sale <sup>25</sup>
12/13/21	100.000	36.49	Various	91.41	...	-54.92	Total of 2 transactions
QUALIGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 74754R103 / Symbol:							
11/22/21	25.000	75.00	11/22/21	46.50	...	28.50	Sale <sup>25</sup>
11/23/21	25.000	48.00	11/22/21	46.50	...	1.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>123.00</b>		<b>93.00</b>	...	<b>30.00</b>	
QUANTUM COMPUTING INC. COMMON STOCK / CUSIP: 74766W108 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	50.000	384.99	11/09/21	418.50	...	-33.51	1 of 3 - Sale <sup>25</sup>
	20.000	153.99	11/11/21	132.50	...	21.49	2 of 3 - Sale <sup>25</sup>
	20.000	154.00	11/11/21	132.50	...	21.50	3 of 3 - Sale <sup>25</sup>
11/16/21	90.000	692.98	Various	683.50	...	9.48	Total of 3 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
11/09/21	1.000	36.98	11/08/21	38.04	...	-1.06	Sale <sup>25</sup>
RAVE RESTAURANT GROUP, INC. CO MMON STOCK / CUSIP: 754198109 / Symbol:							
2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	50.000	73.57	11/22/21	72.50	...	1.07	1 of 2 - Sale <sup>25</sup>
	50.000	73.58	11/22/21	69.98	...	3.60	2 of 2 - Sale <sup>25</sup>
11/23/21	100.000	147.15	Various	142.48	...	4.67	Total of 2 transactions
RECRUITER.COM GROUP, INC. COMM ON STOCK / CUSIP: 75630B303 / Symbol:							
5 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	5.000	21.35	12/09/21	21.10	...	0.25	1 of 5 - Sale <sup>25</sup>
	5.000	22.70	12/09/21	21.10	...	1.60	2 of 5 - Sale <sup>25</sup>
	5.000	24.50	12/09/21	22.25	...	2.25	3 of 5 - Sale <sup>25</sup>
	10.000	49.00	12/09/21	44.50	...	4.50	4 of 5 - Sale <sup>25</sup>
	15.000	82.50	12/09/21	66.75	...	15.75	5 of 5 - Sale <sup>25</sup>
12/09/21	40.000	200.05	Various	175.70	...	24.35	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REDWIRE CORPORATION / CUSIP: 75776W103 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	27.000	206.41	11/16/21	403.09	...	-196.68	1 of 3 - Sale <sup>25</sup>
	23.000	175.83	11/16/21	279.11	...	-103.28	2 of 3 - Sale <sup>25</sup>
	23.000	175.83	11/16/21	240.10	...	-64.27	3 of 3 - Sale <sup>25</sup>
12/13/21	73.000	558.07	Various	922.30	...	-364.23	Total of 3 transactions
12/13/21	27.000	206.42	11/16/21	327.66	121.24 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>764.49</b>		<b>1,249.96</b>	<b>121.24 W</b>	<b>-364.23</b>	
RENEWABLE ENERGY GROUP, INC. C OMMON STOCK / CUSIP: 75972A301 / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	5.000	320.60	10/28/21	303.02	...	17.58	1 of 2 - Sale <sup>25</sup>
	10.000	641.21	10/28/21	606.38	...	34.83	2 of 2 - Sale <sup>25</sup>
10/29/21	15.000	961.81	Various	909.40	...	52.41	Total of 2 transactions
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	5.000	256.40	10/28/21	303.02	...	-46.62	1 of 2 - Sale <sup>25</sup>
	3.000	153.84	11/12/21	159.06	...	-5.22	2 of 2 - Sale <sup>25</sup>
11/16/21	8.000	410.24	Various	462.08	...	-51.84	Total of 2 transactions
	<b>Security total:</b>	<b>1,372.05</b>		<b>1,371.48</b>	...	<b>0.57</b>	
RENOVORX, INC. COMMON STOCK / CUSIP: 75989R107 / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	20.000	199.00	11/10/21	180.40	...	18.60	1 of 2 - Sale <sup>25</sup>
	20.000	199.00	11/10/21	180.00	...	19.00	2 of 2 - Sale <sup>25</sup>
11/10/21	40.000	398.00	Various	360.40	...	37.60	Total of 2 transactions
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	2.000	16.26	02/02/21	37.68	...	-21.42	1 of 2 - Sale <sup>25</sup>
	1.000	8.13	03/16/21	13.15	...	-5.02	2 of 2 - Sale <sup>25</sup>
11/18/21	3.000	24.39	Various	50.83	...	-26.44	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RILEY EXPLORATION PERMIAN, INC. / CUSIP: 76665T102 / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	27.90	10/26/21	26.62	...	1.28	1 of 2 - Sale <sup>25</sup>
	15.000	418.50	10/26/21	399.30	...	19.20	2 of 2 - Sale <sup>25</sup>
10/29/21	16.000	446.40	Various	425.92	...	20.48	Total of 2 transactions
11/16/21	4.000	97.39	10/26/21	106.48	...	-9.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>543.79</b>		<b>532.40</b>	...	<b>11.39</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
02/17/21	0.574	40.00	01/12/21	14.05	...	25.95	Sale <sup>25</sup>
12 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	0.426	17.39	01/12/21	10.42	...	6.97	1 of 12 - Sale <sup>25</sup>
	0.470	19.19	04/20/21	17.19	...	2.00	2 of 12 - Sale <sup>25</sup>
	0.530	21.85	04/20/21	19.36	...	2.49	3 of 12 - Sale <sup>25</sup>
	2.000	82.50	07/20/21	52.41	...	30.09	4 of 12 - Sale <sup>25</sup>
	0.104	4.28	10/13/21	3.66	...	0.62	5 of 12 - Sale <sup>25</sup>
	0.104	4.28	10/13/21	3.43	...	0.85	6 of 12 - Sale <sup>25</sup>
	0.896	36.97	10/13/21	31.55	...	5.42	7 of 12 - Sale <sup>25</sup>
	1.470	60.65	10/13/21	37.62	...	23.03	8 of 12 - Sale <sup>25</sup>
	2.896	119.47	10/13/21	74.14	...	45.33	9 of 12 - Sale <sup>25</sup>
	0.426	17.42	11/03/21	20.49	...	-3.07	10 of 12 - Sale <sup>25</sup>
	0.044	1.81	11/03/21	2.12	...	-0.31	11 of 12 - Sale <sup>25</sup>
	3.000	122.63	11/03/21	94.75	...	27.88	12 of 12 - Sale <sup>25</sup>
11/09/21	12.366	508.44	Various	367.14	...	141.30	Total of 12 transactions
7 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.574	64.23	02/17/21	114.77	50.54 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.426	17.38	02/17/21	31.07	13.69 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.104	4.23	03/09/21	5.00	0.77 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	40.80	04/09/21	50.41	9.61 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.426	17.57	10/13/21	24.60	7.03 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.574	64.93	10/13/21	90.83	25.90 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.530	62.54	10/13/21	64.32	1.78 W	0.00	7 of 7 - Sale <sup>25</sup>
11/09/21	6.634	271.68	Various	381.00	109.32 W	0.00	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.530	64.86	11/03/21	50.09	...	14.77	1 of 2 - Sale <sup>25</sup>
	5.000	212.00	11/03/21	157.91	...	54.09	2 of 2 - Sale <sup>25</sup>
11/15/21	6.530	276.86	Various	208.00	...	68.86	Total of 2 transactions
	<b>Security total:</b>	<b>1,096.98</b>		<b>970.19</b>	<b>109.32 W</b>	<b>236.11</b>	
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol:							
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	50.000	27.99	10/27/21	74.00	...	-46.01	1 of 3 - Sale <sup>25</sup>
	50.000	28.00	10/27/21	70.50	...	-42.50	2 of 3 - Sale <sup>25</sup>
	50.000	27.99	10/27/21	64.50	...	-36.51	3 of 3 - Sale <sup>25</sup>
11/23/21	150.000	83.98	Various	209.00	...	-125.02	Total of 3 transactions
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	120.88	11/16/21	171.50	...	-50.62	1 of 2 - Sale <sup>25</sup>
	2.000	241.75	11/17/21	294.96	...	-53.21	2 of 2 - Sale <sup>25</sup>
12/13/21	3.000	362.63	Various	466.46	...	-103.83	Total of 2 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
4 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	1.000	30.83	07/29/21	34.88	...	-4.05	1 of 4 - Sale <sup>25</sup>
	1.000	30.83	08/04/21	65.20	...	-34.37	2 of 4 - Sale <sup>25</sup>
	1.000	30.84	08/06/21	55.48	...	-24.64	3 of 4 - Sale <sup>25</sup>
	1.000	30.83	08/09/21	57.48	...	-26.65	4 of 4 - Sale <sup>25</sup>
11/18/21	4.000	123.33	Various	213.04	...	-89.71	Total of 4 transactions
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
3 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	76.80	03/11/21	73.25	...	3.55	1 of 3 - Sale <sup>25</sup>
	1.000	76.80	04/16/21	75.78	...	1.02	2 of 3 - Sale <sup>25</sup>
	0.500	38.40	04/19/21	38.14	...	0.26	3 of 3 - Sale <sup>25</sup>
05/18/21	2.500	192.00	Various	187.17	...	4.83	Total of 3 transactions
05/25/21	0.500	44.14	04/19/21	38.14	...	6.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol: (cont'd)							
11/08/21	1.000	97.20	06/04/21	101.80	...	-4.60	Sale <sup>25</sup>
11/09/21	1.000	98.84	06/09/21	92.10	...	6.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>432.18</b>		<b>419.21</b>	...	<b>12.97</b>	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	534.34	10/18/21	508.20	...	26.14	1 of 2 - Sale <sup>25</sup>
	50.000	884.99	10/18/21	846.99	...	38.00	2 of 2 - Sale <sup>25</sup>
11/01/21	80.000	1,419.33	Various	1,355.19	...	64.14	Total of 2 transactions
12/13/21	30.000	475.95	11/15/21	510.55	...	-34.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,895.28</b>		<b>1,865.74</b>	...	<b>29.54</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
12/13/21	0.231	51.08	12/07/21	50.00	...	1.08	Sale <sup>25</sup>
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
08/24/21	1.000	4.95	08/24/21	4.95	...	0.00	Sale <sup>25</sup>
08/24/21	1.000	4.95	08/23/21	5.02	0.07 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9.90</b>		<b>9.97</b>	<b>0.07 W</b>	<b>0.00</b>	
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
<i>2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	64.00	09/17/21	65.00	...	-1.00	1 of 2 - Sale <sup>25</sup>
	10.000	64.00	09/17/21	62.39	...	1.61	2 of 2 - Sale <sup>25</sup>
11/11/21	20.000	128.00	Various	127.39	...	0.61	Total of 2 transactions
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
<i>5 transactions for 09/22/21. Total proceeds and cost reported to the IRS.</i>							
	0.234	9.72	02/08/21	9.02	...	0.70	1 of 5 - Sale <sup>25</sup>
	0.766	31.81	02/08/21	29.53	...	2.28	2 of 5 - Sale <sup>25</sup>
	1.000	41.54	03/01/21	41.48	...	0.06	3 of 5 - Sale <sup>25</sup>
	0.234	9.72	03/16/21	10.00	...	-0.28	4 of 5 - Sale <sup>25</sup>
	1.000	41.53	07/14/21	39.97	...	1.56	5 of 5 - Sale <sup>25</sup>
09/22/21	3.234	134.32	Various	130.00	...	4.32	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RUSH STREET INTERACTIVE, INC. / CUSIP: 782011100 / Symbol:							
11/18/21	20.000	424.00	10/26/21	422.56	...	1.44	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
5 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.014	3.22	05/28/20	2.40	...	0.82	1 of 5 - Sale <sup>25</sup>
	0.271	63.83	05/28/20	47.60	...	16.23	2 of 5 - Sale <sup>25</sup>
	0.013	2.98	06/18/20	2.38	...	0.60	3 of 5 - Sale <sup>25</sup>
	0.253	59.54	06/18/20	47.62	...	11.92	4 of 5 - Sale <sup>25</sup>
	0.082	19.35	09/30/20	20.55	...	-1.20	5 of 5 - Sale <sup>25</sup>
02/23/21	0.633	148.92	Various	120.55	...	28.37	Total of 5 transactions
02/23/21	0.367	86.36	08/26/20	100.00	3.50 W	-10.14	Sale <sup>25</sup>
10/15/21	0.224	65.05	12/16/20	50.00	...	15.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>300.33</b>		<b>270.55</b>	<b>3.50 W</b>	<b>33.28</b>	
SEASPINE HOLDINGS CORPORATION COMMON STOCK / CUSIP: 81255T108 / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	16.31	08/04/21	17.38	...	-1.07	1 of 2 - Sale <sup>25</sup>
	3.000	48.94	09/09/21	49.78	...	-0.84	2 of 2 - Sale <sup>25</sup>
11/05/21	4.000	65.25	Various	67.16	...	-1.91	Total of 2 transactions
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	50.000	93.57	11/16/21	146.45	...	-52.88	1 of 2 - Sale <sup>25</sup>
	50.000	93.58	11/16/21	143.75	...	-50.17	2 of 2 - Sale <sup>25</sup>
12/13/21	100.000	187.15	Various	290.20	...	-103.05	Total of 2 transactions
SHAPEWAYS HOLDINGS, INC. / CUSIP: 81947T102 / Symbol:							
11/23/21	10.000	46.72	10/26/21	97.80	...	-51.08	Sale <sup>25</sup>
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.05	07/01/20	0.03	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.104	149.95	07/01/20	104.73	...	45.22	2 of 2 - Sale <sup>25</sup>
02/17/21	0.104	150.00	Various	104.76	...	45.24	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.034	42.87	07/01/20	33.89	...	8.98	1 of 2 - Sale <sup>25</sup>
	0.026	33.60	10/08/20	28.19	...	5.41	2 of 2 - Sale <sup>25</sup>
02/23/21	0.060	76.47	Various	62.08	...	14.39	Total of 2 transactions
<i>6 transactions for 05/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.020	25.29	10/08/20	21.81	...	3.48	1 of 6 - Sale <sup>25</sup>
	0.008	9.65	03/02/21	10.00	...	-0.35	2 of 6 - Sale <sup>25</sup>
	0.009	11.08	03/09/21	10.00	...	1.08	3 of 6 - Sale <sup>25</sup>
	0.044	54.24	03/11/21	50.00	...	4.24	4 of 6 - Sale <sup>25</sup>
	0.044	54.32	03/11/21	50.00	...	4.32	5 of 6 - Sale <sup>25</sup>
	0.015	19.09	03/17/21	17.21	...	1.88	6 of 6 - Sale <sup>25</sup>
05/25/21	0.140	173.67	Various	159.02	...	14.65	Total of 6 transactions
<i>2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.003	3.51	03/17/21	2.79	...	0.72	1 of 2 - Sale <sup>25</sup>
	0.067	94.35	08/13/21	100.00	...	-5.65	2 of 2 - Sale <sup>25</sup>
10/15/21	0.070	97.86	Various	102.79	...	-4.93	Total of 2 transactions
	<b>Security total:</b>	<b>498.00</b>		<b>428.65</b>	...	<b>69.35</b>	
SIEMENS AG AMERICAN DEPOSITARY SHARES / CUSIP: 826197501 / Symbol:							
<i>5 transactions for 03/09/21. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.06	07/20/20	0.05	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.015	1.23	07/20/20	1.00	...	0.23	2 of 5 - Sale <sup>25</sup>
	0.998	80.07	07/20/20	64.95	...	15.12	3 of 5 - Sale <sup>25</sup>
	0.701	56.19	10/08/20	50.00	...	6.19	4 of 5 - Sale <sup>25</sup>
	0.285	22.83	02/17/21	22.57	...	0.26	5 of 5 - Sale <sup>25</sup>
03/09/21	2.000	160.38	Various	138.57	...	21.81	Total of 5 transactions
<i>4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.715	58.28	02/17/21	56.70	...	1.58	1 of 4 - Sale <sup>25</sup>
	1.000	81.48	04/09/21	83.13	...	-1.65	2 of 4 - Sale <sup>25</sup>
	1.000	81.48	05/21/21	82.58	...	-1.10	3 of 4 - Sale <sup>25</sup>
	5.000	407.39	10/11/21	395.30	...	12.09	4 of 4 - Sale <sup>25</sup>
10/15/21	7.715	628.63	Various	617.71	...	10.92	Total of 4 transactions
	<b>Security total:</b>	<b>789.01</b>		<b>756.28</b>	...	<b>32.73</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SILVERSUN TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 82846H207 / Symbol:							
11/15/21	10.000	92.00	11/15/21	83.70	...	8.30	Sale <sup>25</sup>
SIYATA MOBILE, INC. COMMON SHA RES / CUSIP: 83013Q509 / Symbol:							
12/10/21	10.000	41.00	12/10/21	43.30	...	-2.30	Sale <sup>25</sup>
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
<i>2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	112.80	10/07/21	107.64	...	5.16	1 of 2 - Sale <sup>25</sup>
	30.000	282.00	10/07/21	269.40	...	12.60	2 of 2 - Sale <sup>25</sup>
10/20/21	42.000	394.80	Various	377.04	...	17.76	Total of 2 transactions
<i>4 transactions for 10/20/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	18.80	03/04/21	57.20	38.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	9.40	03/19/21	24.35	14.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	18.80	04/19/21	28.79	9.99 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	28.20	05/05/21	45.86	17.66 W	0.00	4 of 4 - Sale <sup>25</sup>
10/20/21	8.000	75.20	Various	156.20	81.00 W	0.00	Total of 4 transactions
<i>6 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	94.50	10/07/21	89.75	...	4.75	1 of 6 - Sale <sup>25</sup>
	10.000	94.50	10/07/21	89.70	...	4.80	2 of 6 - Sale <sup>25</sup>
	30.000	283.49	10/07/21	269.10	...	14.39	3 of 6 - Sale <sup>25</sup>
	50.000	472.49	10/07/21	448.41	...	24.08	4 of 6 - Sale <sup>25</sup>
	50.000	472.49	10/07/21	448.25	...	24.24	5 of 6 - Sale <sup>25</sup>
	30.000	283.50	10/08/21	257.10	...	26.40	6 of 6 - Sale <sup>25</sup>
10/21/21	180.000	1,700.97	Various	1,602.31	...	98.66	Total of 6 transactions
<i>4 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	18.90	10/07/21	56.34	37.44 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	9.45	10/07/21	23.92	14.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	18.90	10/07/21	27.93	9.03 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	28.35	10/07/21	44.57	16.22 W	0.00	4 of 4 - Sale <sup>25</sup>
10/21/21	8.000	75.60	Various	152.76	77.16 W	0.00	Total of 4 transactions
<i>5 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	22.83	10/22/21	57.72	...	-34.89	1 of 5 - Sale <sup>25</sup>
	1.000	11.42	10/22/21	24.61	...	-13.19	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SKILLZ INC. / CUSIP: 83067L109 / Symbol: (cont'd)							
	3.000	34.24	10/22/21	46.64	...	-12.40	3 of 5 - Sale <sup>25</sup>
	2.000	22.83	10/22/21	29.31	...	-6.48	4 of 5 - Sale <sup>25</sup>
	12.000	136.98	10/22/21	121.68	...	15.30	5 of 5 - Sale <sup>25</sup>
10/28/21	20.000	228.30	Various	279.96	...	-51.66	Total of 5 transactions
	<b>Security total:</b>	<b>2,474.87</b>		<b>2,568.27</b>	<b>158.16 W</b>	<b>64.76</b>	
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol:							
06/21/21	1.000	27.42	06/21/21	26.14	...	1.28	Sale <sup>25</sup>
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	10.000	70.00	09/13/21	60.28	...	9.72	1 of 3 - Sale <sup>25</sup>
	10.000	70.00	09/13/21	60.18	...	9.82	2 of 3 - Sale <sup>25</sup>
	30.000	210.00	09/13/21	180.52	...	29.48	3 of 3 - Sale <sup>25</sup>
09/17/21	50.000	350.00	Various	300.98	...	49.02	Total of 3 transactions
2 transactions for 09/20/21. Total proceeds and cost reported to the IRS.							
	20.000	141.00	09/13/21	120.55	...	20.45	1 of 2 - Sale <sup>25</sup>
	30.000	211.50	09/13/21	172.15	...	39.35	2 of 2 - Sale <sup>25</sup>
09/20/21	50.000	352.50	Various	292.70	...	59.80	Total of 2 transactions
10/06/21	10.000	65.42	09/14/21	59.59	...	5.83	Sale <sup>25</sup>
11/15/21	20.000	80.20	10/19/21	121.40	...	-41.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>848.12</b>		<b>774.67</b>	...	<b>73.45</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
08/05/21	0.500	38.60	02/08/21	31.81	...	6.79	Sale <sup>25</sup>
3 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	0.286	22.56	02/08/21	18.19	...	4.37	1 of 3 - Sale <sup>25</sup>
	1.000	78.88	09/10/21	75.67	...	3.21	2 of 3 - Sale <sup>25</sup>
	4.000	315.52	09/10/21	302.64	...	12.88	3 of 3 - Sale <sup>25</sup>
09/22/21	5.286	416.96	Various	396.50	...	20.46	Total of 3 transactions
11/08/21	2.000	109.00	10/28/21	108.80	...	0.20	Sale <sup>25</sup>
11/16/21	2.000	109.88	11/15/21	108.78	...	1.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>674.44</b>		<b>645.89</b>	...	<b>28.55</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIETY PASS INCORPORATED COMM ON STOCK / CUSIP: 83370P102 / Symbol:							
12/13/21	20.000	106.80	12/02/21	159.00	...	-52.20	Sale <sup>25</sup>
SONY GROUP CORPORATION AMERICA N DEPOSITARY SHARES (EACH REPR ESENTING ONE SHARE OF DOLLAR V / CUSIP: 835699307 / Symbol:							
<i>8 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.743	86.51	02/19/21	87.22	...	-0.71	1 of 8 - Sale <sup>25</sup>
	0.257	29.93	02/19/21	30.18	...	-0.25	2 of 8 - Sale <sup>25</sup>
	0.095	11.10	03/03/21	10.00	...	1.10	3 of 8 - Sale <sup>25</sup>
	0.485	56.43	03/05/21	50.00	...	6.43	4 of 8 - Sale <sup>25</sup>
	0.099	11.48	03/09/21	10.00	...	1.48	5 of 8 - Sale <sup>25</sup>
	0.483	56.29	03/12/21	50.00	...	6.29	6 of 8 - Sale <sup>25</sup>
	0.095	11.07	03/19/21	10.00	...	1.07	7 of 8 - Sale <sup>25</sup>
	1.000	116.44	05/05/21	97.70	...	18.74	8 of 8 - Sale <sup>25</sup>
10/26/21	3.257	379.25	Various	345.10	...	34.15	Total of 8 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
<i>2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.42	02/17/21	14.65	...	-13.23	1 of 2 - Sale <sup>25</sup>
	1.000	1.42	03/16/21	7.43	...	-6.01	2 of 2 - Sale <sup>25</sup>
11/16/21	2.000	2.84	Various	22.08	...	-19.24	Total of 2 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
02/04/21	2.000	98.00	04/27/20	59.54	...	38.46	Sale <sup>25</sup>
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
<i>3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.00	12/15/20	5.01	...	-3.01	1 of 3 - Sale <sup>25</sup>
	2.000	4.01	12/16/20	9.31	...	-5.30	2 of 3 - Sale <sup>25</sup>
	1.000	2.00	12/16/20	4.65	...	-2.65	3 of 3 - Sale <sup>25</sup>
11/16/21	4.000	8.01	Various	18.97	...	-10.96	Total of 3 transactions
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
<i>4 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	70.00	09/27/21	73.49	...	-3.49	1 of 4 - Sale <sup>25</sup>
	10.000	70.00	09/28/21	64.86	...	5.14	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	30.000	209.99	10/06/21	202.80	...	7.19	3 of 4 - Sale <sup>25</sup>
	30.000	209.99	10/06/21	198.23	...	11.76	4 of 4 - Sale <sup>25</sup>
10/06/21	80.000	559.98	Various	539.38	...	20.60	Total of 4 transactions
	3 transactions for 10/06/21. Total proceeds and cost reported to the IRS.						
	10.000	70.00	09/15/21	71.99	1.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	70.00	09/15/21	72.08	2.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	210.00	09/27/21	214.50	4.50 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/21	50.000	350.00	Various	358.57	8.57 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>909.98</b>		<b>897.95</b>	<b>8.57 W</b>	<b>20.60</b>	
SPRINKLR, INC. / CUSIP: 85208T107 / Symbol:							
12/13/21	5.000	75.15	12/10/21	76.72	...	-1.57	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
	17 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	0.478	122.88	06/22/20	50.00	...	72.88	1 of 17 - Sale <sup>25</sup>
	0.762	196.04	07/09/20	100.00	...	96.04	2 of 17 - Sale <sup>25</sup>
	0.078	19.98	07/20/20	10.00	...	9.98	3 of 17 - Sale <sup>25</sup>
	0.003	0.64	08/07/20	0.38	...	0.26	4 of 17 - Sale <sup>25</sup>
	0.327	84.20	08/07/20	49.62	...	34.58	5 of 17 - Sale <sup>25</sup>
	0.001	0.29	09/02/20	0.19	...	0.10	6 of 17 - Sale <sup>25</sup>
	0.293	75.25	09/02/20	49.81	...	25.44	7 of 17 - Sale <sup>25</sup>
	0.308	79.14	09/30/20	50.00	...	29.14	8 of 17 - Sale <sup>25</sup>
	0.000	0.03	10/06/20	0.02	...	0.01	9 of 17 - Sale <sup>25</sup>
	0.113	29.16	10/06/20	19.98	...	9.18	10 of 17 - Sale <sup>25</sup>
	0.273	70.10	10/08/20	50.00	...	20.10	11 of 17 - Sale <sup>25</sup>
	0.442	113.57	12/16/20	100.00	...	13.57	12 of 17 - Sale <sup>25</sup>
	0.076	19.68	12/17/20	17.69	...	1.99	13 of 17 - Sale <sup>25</sup>
	0.924	237.53	12/17/20	213.58	...	23.95	14 of 17 - Sale <sup>25</sup>
	1.000	257.25	12/18/20	235.75	...	21.50	15 of 17 - Sale <sup>25</sup>
	1.000	257.25	12/23/20	233.39	...	23.86	16 of 17 - Sale <sup>25</sup>
	0.924	237.58	01/12/21	208.56	...	29.02	17 of 17 - Sale <sup>25</sup>
02/10/21	7.000	1,800.57	Various	1,388.97	...	411.60	Total of 17 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLOCK, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
<i>9 transactions for 03/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.076	19.00	01/12/21	17.27	...	1.73	1 of 9 - Sale <sup>25</sup>
	0.440	109.27	01/19/21	100.00	...	9.27	2 of 9 - Sale <sup>25</sup>
	1.000	248.47	01/28/21	220.23	...	28.24	3 of 9 - Sale <sup>25</sup>
	1.000	248.46	02/01/21	216.54	...	31.92	4 of 9 - Sale <sup>25</sup>
	0.209	52.01	02/25/21	50.00	...	2.01	5 of 9 - Sale <sup>25</sup>
	1.000	248.46	03/01/21	239.58	...	8.88	6 of 9 - Sale <sup>25</sup>
	0.140	34.79	03/04/21	30.00	...	4.79	7 of 9 - Sale <sup>25</sup>
	0.240	59.63	03/05/21	50.00	...	9.63	8 of 9 - Sale <sup>25</sup>
	0.894	222.23	03/05/21	182.65	...	39.58	9 of 9 - Sale <sup>25</sup>
03/16/21	5.000	1,242.32	Various	1,106.27	...	136.05	Total of 9 transactions
<i>4 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.106	26.22	03/05/21	21.57	...	4.65	1 of 4 - Sale <sup>25</sup>
	0.248	61.50	03/08/21	50.00	...	11.50	2 of 4 - Sale <sup>25</sup>
	0.083	20.51	03/15/21	20.00	...	0.51	3 of 4 - Sale <sup>25</sup>
	0.215	53.51	05/05/21	50.00	...	3.51	4 of 4 - Sale <sup>25</sup>
10/15/21	0.651	161.74	Various	141.57	...	20.17	Total of 4 transactions
12/13/21	0.264	46.05	11/24/21	56.90	10.85 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,250.68</b>		<b>2,693.71</b>	<b>10.85 W</b>	<b>567.82</b>	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
10/11/21	1.000	112.81	06/11/21	112.43	...	0.38	Sale <sup>25</sup>
STATERA BIOPHARMA, INC. COMMON STOCK / CUSIP: 857561104 / Symbol:							
<i>8 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.68	10/15/21	5.80	...	-2.12	1 of 8 - Sale <sup>25</sup>
	3.000	11.04	10/15/21	10.74	...	0.30	2 of 8 - Sale <sup>25</sup>
	2.000	7.50	10/15/21	7.08	...	0.42	3 of 8 - Sale <sup>25</sup>
	3.000	11.25	10/15/21	10.76	...	0.49	4 of 8 - Sale <sup>25</sup>
	3.000	11.25	10/15/21	10.76	...	0.49	5 of 8 - Sale <sup>25</sup>
	3.000	11.25	10/15/21	10.73	...	0.52	6 of 8 - Sale <sup>25</sup>
	3.000	11.25	10/15/21	10.73	...	0.52	7 of 8 - Sale <sup>25</sup>
	3.000	11.25	10/15/21	10.73	...	0.52	8 of 8 - Sale <sup>25</sup>
10/15/21	21.000	78.47	Various	77.33	...	1.14	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STATERA BIOPHARMA, INC. COMMON STOCK / CUSIP: 857561104 / Symbol: (cont'd)							
10/15/21	1.000	3.75	03/01/21	5.97	2.22 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>82.22</b>		<b>83.30</b>	<b>2.22 W</b>	<b>1.14</b>	
STEEL CONNECT, INC. COMMON STO CK / CUSIP: 858098106 / Symbol:							
<i>2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.00	01/25/21	2.40	...	-0.40	1 of 2 - Sale <sup>25</sup>
	2.000	4.00	03/16/21	3.58	...	0.42	2 of 2 - Sale <sup>25</sup>
09/02/21	3.000	6.00	Various	5.98	...	0.02	Total of 2 transactions
09/03/21	0.793	1.59	03/16/21	1.42	...	0.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7.59</b>		<b>7.40</b>	...	<b>0.19</b>	
STEM, INC. / CUSIP: 85859N102 / Symbol:							
<i>4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.60	04/08/21	28.74	...	-10.14	1 of 4 - Sale <sup>25</sup>
	1.000	18.59	04/08/21	28.60	...	-10.01	2 of 4 - Sale <sup>25</sup>
	3.000	55.79	10/27/21	82.18	...	-26.39	3 of 4 - Sale <sup>25</sup>
	3.000	55.78	10/27/21	82.17	...	-26.39	4 of 4 - Sale <sup>25</sup>
12/13/21	8.000	148.76	Various	221.69	...	-72.93	Total of 4 transactions
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
11/01/21	50.000	1,722.99	11/01/21	1,718.90	...	4.09	Sale <sup>25</sup>
<i>4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	950.99	11/01/21	1,718.89	...	-767.90	1 of 4 - Sale <sup>25</sup>
	10.000	190.20	11/30/21	248.74	...	-58.54	2 of 4 - Sale <sup>25</sup>
	5.000	95.09	11/30/21	124.48	...	-29.39	3 of 4 - Sale <sup>25</sup>
	5.000	95.10	11/30/21	124.33	...	-29.23	4 of 4 - Sale <sup>25</sup>
12/13/21	70.000	1,331.38	Various	2,216.44	...	-885.06	Total of 4 transactions
	<b>Security total:</b>	<b>3,054.37</b>		<b>3,935.34</b>	...	<b>-880.97</b>	
STRAN & COMPANY, INC. COMMON S TOCK / CUSIP: 86260J102 / Symbol:							
12/03/21	20.000	92.40	12/02/21	84.60	...	7.80	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	2.000	4.30	02/02/21	2.12	...	2.18	1 of 3 - Sale <sup>25</sup>
	6.812	14.64	02/09/21	10.00	...	4.64	2 of 3 - Sale <sup>25</sup>
	11.188	24.06	02/09/21	18.68	...	5.38	3 of 3 - Sale <sup>25</sup>
02/10/21	20.000	43.00	Various	30.80	...	12.20	Total of 3 transactions
44 transactions for 10/18/21. Total proceeds and cost reported to the IRS.							
	8.812	5.70	02/09/21	14.72	9.02 W	0.00	1 of 44 - Sale <sup>25</sup>
	2.000	1.29	02/10/21	4.68	3.39 W	0.00	2 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/10/21	22.98	16.51 W	0.00	3 of 44 - Sale <sup>25</sup>
	40.000	25.87	02/10/21	95.20	69.33 W	0.00	4 of 44 - Sale <sup>25</sup>
	1.795	1.16	02/10/21	4.00	2.84 W	0.00	5 of 44 - Sale <sup>25</sup>
	20.000	12.93	02/10/21	44.17	31.24 W	0.00	6 of 44 - Sale <sup>25</sup>
	0.926	0.60	02/10/21	1.86	1.26 W	0.00	7 of 44 - Sale <sup>25</sup>
	8.936	5.78	02/10/21	18.14	12.36 W	0.00	8 of 44 - Sale <sup>25</sup>
	25.000	16.17	02/10/21	80.00	63.83 W	0.00	9 of 44 - Sale <sup>25</sup>
	20.000	12.93	02/10/21	70.80	57.87 W	0.00	10 of 44 - Sale <sup>25</sup>
	50.000	32.33	02/10/21	175.50	143.17 W	0.00	11 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/10/21	35.00	28.53 W	0.00	12 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/10/21	34.70	28.23 W	0.00	13 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/11/21	31.80	25.33 W	0.00	14 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/11/21	27.75	21.28 W	0.00	15 of 44 - Sale <sup>25</sup>
	10.000	6.47	02/11/21	25.55	19.08 W	0.00	16 of 44 - Sale <sup>25</sup>
	20.000	12.93	02/16/21	42.90	29.97 W	0.00	17 of 44 - Sale <sup>25</sup>
	0.163	0.11	03/09/21	0.20	0.09 W	0.00	18 of 44 - Sale <sup>25</sup>
	7.967	5.15	03/09/21	9.80	4.65 W	0.00	19 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/16/21	16.65	10.18 W	0.00	20 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/16/21	15.80	9.33 W	0.00	21 of 44 - Sale <sup>25</sup>
	0.309	0.20	03/16/21	0.49	0.29 W	0.00	22 of 44 - Sale <sup>25</sup>
	5.988	3.87	03/16/21	9.51	5.64 W	0.00	23 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/19/21	14.80	8.33 W	0.00	24 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/19/21	14.88	8.41 W	0.00	25 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/19/21	14.88	8.41 W	0.00	26 of 44 - Sale <sup>25</sup>
	10.000	6.47	03/19/21	14.90	8.43 W	0.00	27 of 44 - Sale <sup>25</sup>
	100.000	64.67	04/06/21	104.00	39.33 W	0.00	28 of 44 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	50.000	32.33	04/09/21	50.00	17.67 W	0.00	29 of 44 - Sale <sup>25</sup>
	100.000	64.67	04/09/21	101.00	36.33 W	0.00	30 of 44 - Sale <sup>25</sup>
	100.000	64.67	04/09/21	101.00	36.33 W	0.00	31 of 44 - Sale <sup>25</sup>
	50.000	32.33	04/13/21	44.94	12.61 W	0.00	32 of 44 - Sale <sup>25</sup>
	20.000	12.93	04/20/21	17.39	4.46 W	0.00	33 of 44 - Sale <sup>25</sup>
	100.000	64.67	04/20/21	87.44	22.77 W	0.00	34 of 44 - Sale <sup>25</sup>
	100.000	64.66	04/20/21	87.18	22.52 W	0.00	35 of 44 - Sale <sup>25</sup>
	200.000	129.33	04/20/21	174.60	45.27 W	0.00	36 of 44 - Sale <sup>25</sup>
	100.000	64.67	07/01/21	91.09	26.42 W	0.00	37 of 44 - Sale <sup>25</sup>
	30.000	19.40	07/20/21	25.15	5.75 W	0.00	38 of 44 - Sale <sup>25</sup>
	60.000	38.80	07/20/21	50.33	11.53 W	0.00	39 of 44 - Sale <sup>25</sup>
	100.000	64.66	07/27/21	79.98	15.32 W	0.00	40 of 44 - Sale <sup>25</sup>
	20.000	12.93	08/11/21	16.02	3.09 W	0.00	41 of 44 - Sale <sup>25</sup>
	100.000	64.67	10/14/21	66.51	1.84 W	0.00	42 of 44 - Sale <sup>25</sup>
	100.000	64.66	10/14/21	66.50	1.84 W	0.00	43 of 44 - Sale <sup>25</sup>
	338.104	218.64	10/14/21	224.84	6.20 W	0.00	44 of 44 - Sale <sup>25</sup>
10/18/21	2,000.000	1,293.35	Various	2,229.63	936.28 W	0.00	Total of 44 transactions
	57 transactions for 10/19/21. Total proceeds and cost reported to the IRS.						
	25.572	17.32	10/14/21	90.23	...	-72.91	1 of 57 - Sale <sup>25</sup>
	24.428	16.54	10/14/21	86.19	...	-69.65	2 of 57 - Sale <sup>25</sup>
	40.000	27.09	10/14/21	95.93	...	-68.84	3 of 57 - Sale <sup>25</sup>
	25.000	16.93	10/14/21	80.46	...	-63.53	4 of 57 - Sale <sup>25</sup>
	20.000	13.54	10/14/21	71.17	...	-57.63	5 of 57 - Sale <sup>25</sup>
	200.000	135.44	10/14/21	178.26	...	-42.82	6 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	105.83	...	-38.11	7 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	102.83	...	-35.11	8 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	102.83	...	-35.11	9 of 57 - Sale <sup>25</sup>
	20.000	13.54	10/14/21	44.54	...	-31.00	10 of 57 - Sale <sup>25</sup>
	20.000	13.54	10/14/21	43.27	...	-29.73	11 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	35.18	...	-28.41	12 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	34.88	...	-28.11	13 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	31.98	...	-25.21	14 of 57 - Sale <sup>25</sup>
	90.000	60.95	10/14/21	83.63	...	-22.68	15 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	89.27	...	-21.55	16 of 57 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	100.000	67.72	10/14/21	89.02	...	-21.30	17 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	27.93	...	-21.16	18 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	25.73	...	-18.96	19 of 57 - Sale <sup>25</sup>
	50.000	33.86	10/14/21	50.92	...	-17.06	20 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	23.16	...	-16.39	21 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	81.83	...	-14.11	22 of 57 - Sale <sup>25</sup>
	8.936	6.05	10/14/21	18.30	...	-12.25	23 of 57 - Sale <sup>25</sup>
	50.000	33.86	10/14/21	45.86	...	-12.00	24 of 57 - Sale <sup>25</sup>
	60.000	40.63	10/14/21	51.43	...	-10.80	25 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	16.83	...	-10.06	26 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	15.98	...	-9.21	27 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	15.08	...	-8.31	28 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	15.06	...	-8.29	29 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	15.06	...	-8.29	30 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	14.98	...	-8.21	31 of 57 - Sale <sup>25</sup>
	7.916	5.36	10/14/21	13.37	...	-8.01	32 of 57 - Sale <sup>25</sup>
	5.988	4.06	10/14/21	9.62	...	-5.56	33 of 57 - Sale <sup>25</sup>
	30.000	20.32	10/14/21	25.70	...	-5.38	34 of 57 - Sale <sup>25</sup>
	7.967	5.40	10/14/21	9.95	...	-4.55	35 of 57 - Sale <sup>25</sup>
	20.000	13.54	10/14/21	17.76	...	-4.22	36 of 57 - Sale <sup>25</sup>
	2.000	1.35	10/14/21	4.72	...	-3.37	37 of 57 - Sale <sup>25</sup>
	20.000	13.54	10/14/21	16.39	...	-2.85	38 of 57 - Sale <sup>25</sup>
	1.795	1.22	10/14/21	4.03	...	-2.81	39 of 57 - Sale <sup>25</sup>
	10.000	6.77	10/14/21	9.29	...	-2.52	40 of 57 - Sale <sup>25</sup>
	338.104	228.96	10/14/21	231.05	...	-2.09	41 of 57 - Sale <sup>25</sup>
	0.926	0.63	10/14/21	1.88	...	-1.25	42 of 57 - Sale <sup>25</sup>
	0.896	0.61	10/14/21	1.50	...	-0.89	43 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	68.35	...	-0.63	44 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	68.35	...	-0.63	45 of 57 - Sale <sup>25</sup>
	0.309	0.21	10/14/21	0.50	...	-0.29	46 of 57 - Sale <sup>25</sup>
	0.163	0.11	10/14/21	0.20	...	-0.09	47 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	66.51	...	1.21	48 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	66.51	...	1.21	49 of 57 - Sale <sup>25</sup>
	100.000	67.72	10/14/21	66.51	...	1.21	50 of 57 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	161.000	109.03	10/14/21	107.07	...	1.96	51 of 57 - Sale <sup>25</sup>
	200.000	135.43	10/14/21	133.02	...	2.41	52 of 57 - Sale <sup>25</sup>
	500.000	338.59	10/14/21	332.55	...	6.04	53 of 57 - Sale <sup>25</sup>
	2,000.000	1,354.35	10/14/21	1,330.20	...	24.15	54 of 57 - Sale <sup>25</sup>
	2,000.000	1,354.35	10/14/21	1,329.40	...	24.95	55 of 57 - Sale <sup>25</sup>
	2,000.000	1,354.35	10/14/21	1,329.00	...	25.35	56 of 57 - Sale <sup>25</sup>
	500.000	338.58	10/15/21	329.05	...	9.53	57 of 57 - Sale <sup>25</sup>
10/19/21	9,661.000	6,542.21	Various	7,356.13	...	-813.92	Total of 57 transactions
10/19/21	0.896	0.61	10/14/21	1.51	0.90 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,879.17</b>		<b>9,618.07</b>	<b>937.18 W</b>	<b>-801.72</b>	
SUPER LEAGUE GAMING, INC. COMM ON STOCK / CUSIP: 86804F202 / Symbol:							
12/13/21	100.000	273.99	11/01/21	401.95	...	-127.96	Sale <sup>25</sup>
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
4 transactions for 09/09/21. Total proceeds and cost reported to the IRS.							
	0.083	10.16	03/08/21	10.00	...	0.16	1 of 4 - Sale <sup>25</sup>
	0.088	10.77	03/19/21	10.00	...	0.77	2 of 4 - Sale <sup>25</sup>
	0.163	20.09	04/01/21	20.00	...	0.09	3 of 4 - Sale <sup>25</sup>
	0.163	20.06	04/09/21	20.00	...	0.06	4 of 4 - Sale <sup>25</sup>
09/09/21	0.497	61.08	Various	60.00	...	1.08	Total of 4 transactions
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
11/02/21	1.000	260.21	08/19/21	245.85	...	14.36	Sale <sup>25</sup>
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
01/05/21	1.000	75.14	12/22/20	73.80	...	1.34	Sale <sup>25</sup>
TERADYNE, INC. COMMON STOCK / CUSIP: 880770102 / Symbol:							
05/27/21	1.000	130.05	02/03/21	120.32	...	9.73	Sale <sup>25</sup>
06/08/21	1.000	126.78	02/04/21	125.30	...	1.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>256.83</b>		<b>245.62</b>	...	<b>11.21</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>5 transactions for 01/04/21. Total proceeds and cost reported to the IRS.</i>							
	0.068	49.72	08/27/20	30.00	...	19.72	1 of 5 - Sale <sup>25</sup>
	0.004	2.79	12/16/20	2.36	...	0.43	2 of 5 - Sale <sup>25</sup>
	0.321	233.29	12/16/20	197.64	...	35.65	3 of 5 - Sale <sup>25</sup>
	0.002	1.73	12/21/20	1.56	...	0.17	4 of 5 - Sale <sup>25</sup>
	0.028	20.35	12/21/20	18.44	...	1.91	5 of 5 - Sale <sup>25</sup>
01/04/21	0.423	307.88	Various	250.00	...	57.88	Total of 5 transactions
01/04/21	0.137	99.68	01/04/21	100.00	0.32 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	0.068	60.37	01/05/21	50.16	...	10.21	1 of 4 - Sale <sup>25</sup>
	0.069	61.09	01/08/21	59.01	...	2.08	2 of 4 - Sale <sup>25</sup>
	0.517	457.94	01/08/21	441.15	...	16.79	3 of 4 - Sale <sup>25</sup>
	0.057	50.29	01/25/21	50.00	...	0.29	4 of 4 - Sale <sup>25</sup>
01/27/21	0.710	629.69	Various	600.32	...	29.37	Total of 4 transactions
01/29/21	1.000	796.65	01/28/21	839.97	43.32 W	0.00	Sale <sup>25</sup>
<i>13 transactions for 09/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	773.81	01/29/21	836.56	...	-62.75	1 of 13 - Sale <sup>25</sup>
	1.000	773.80	02/01/21	816.44	...	-42.64	2 of 13 - Sale <sup>25</sup>
	1.000	773.81	02/04/21	848.40	...	-74.59	3 of 13 - Sale <sup>25</sup>
	0.125	96.52	02/10/21	99.99	...	-3.47	4 of 13 - Sale <sup>25</sup>
	0.000	0.01	02/10/21	0.01	...	0.00	5 of 13 - Sale <sup>25</sup>
	0.127	98.46	02/18/21	99.96	...	-1.50	6 of 13 - Sale <sup>25</sup>
	0.068	52.86	02/24/21	49.87	...	2.99	7 of 13 - Sale <sup>25</sup>
	0.142	109.60	03/02/21	100.00	...	9.60	8 of 13 - Sale <sup>25</sup>
	0.082	63.70	03/04/21	50.00	...	13.70	9 of 13 - Sale <sup>25</sup>
	0.084	65.26	03/05/21	50.00	...	15.26	10 of 13 - Sale <sup>25</sup>
	0.088	68.34	03/05/21	50.00	...	18.34	11 of 13 - Sale <sup>25</sup>
	0.080	61.92	03/25/21	50.00	...	11.92	12 of 13 - Sale <sup>25</sup>
	1.000	773.80	05/11/21	588.98	...	184.82	13 of 13 - Sale <sup>25</sup>
09/24/21	4.797	3,711.89	Various	3,640.21	...	71.68	Total of 13 transactions
<i>3 transactions for 10/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.049	50.00	10/25/21	46.49	...	3.51	1 of 3 - Sale <sup>25</sup>
	0.147	150.00	10/25/21	139.47	...	10.53	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.250	250.00	10/25/21	237.31	...	12.69	3 of 3 - Sale <sup>25</sup>
10/25/21	0.446	450.00	Various	423.27	...	26.73	Total of 3 transactions
11/08/21	0.081	95.82	10/25/21	76.73	...	19.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,091.61</b>		<b>5,930.50</b>	<b>43.64 W</b>	<b>204.75</b>	
TEXAS CAPITAL BANCSHARES, INC. COMMON STOCK / CUSIP: 88224Q107 / Symbol:							
12/13/21	5.000	289.33	11/08/21	316.69	...	-27.36	Sale <sup>25</sup>
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
<i>5 transactions for 10/13/21. Total proceeds and cost reported to the IRS.</i>							
	0.014	1.03	04/16/21	1.00	...	0.03	1 of 5 - Sale <sup>25</sup>
	0.014	1.03	10/12/21	1.02	...	0.01	2 of 5 - Sale <sup>25</sup>
	0.986	74.16	10/12/21	73.40	...	0.76	3 of 5 - Sale <sup>25</sup>
	4.014	301.77	10/12/21	290.68	...	11.09	4 of 5 - Sale <sup>25</sup>
	3.986	300.17	10/12/21	288.69	...	11.48	5 of 5 - Sale <sup>25</sup>
10/13/21	9.014	678.16	Various	654.79	...	23.37	Total of 5 transactions
10/13/21	1.000	75.30	07/14/21	77.30	2.00 W	0.00	Sale <sup>25</sup>
10/14/21	1.000	77.36	10/12/21	72.42	...	4.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>830.82</b>		<b>804.51</b>	<b>2.00 W</b>	<b>28.31</b>	
THOMSON REUTERS CORPORATION / CUSIP: 884903709 / Symbol:							
<i>3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	02/24/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.011	1.33	02/24/21	0.99	...	0.34	2 of 3 - Sale <sup>25</sup>
	0.114	13.69	03/19/21	10.00	...	3.69	3 of 3 - Sale <sup>25</sup>
10/26/21	0.126	15.03	Various	11.00	...	4.03	Total of 3 transactions
3D SYSTEMS CORP / CUSIP: 88554D205 / Symbol:							
<i>28 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.361	43.45	01/26/21	50.63	...	-7.18	1 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/01/21	39.37	...	-7.45	2 of 28 - Sale <sup>25</sup>
	2.000	63.84	02/08/21	103.85	...	-40.01	3 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/08/21	50.14	...	-18.22	4 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/08/21	48.00	...	-16.08	5 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
3D SYSTEMS CORP / CUSIP: 88554D205 / Symbol: (cont'd)							
	1.000	31.92	02/08/21	47.90	...	-15.98	6 of 28 - Sale <sup>25</sup>
	5.000	159.60	02/09/21	272.50	...	-112.90	7 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/10/21	55.88	...	-23.96	8 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/10/21	51.66	...	-19.74	9 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/11/21	48.20	...	-16.28	10 of 28 - Sale <sup>25</sup>
	1.000	31.92	02/12/21	48.47	...	-16.55	11 of 28 - Sale <sup>25</sup>
	2.000	63.84	02/17/21	91.59	...	-27.75	12 of 28 - Sale <sup>25</sup>
	3.000	95.76	03/01/21	115.31	...	-19.55	13 of 28 - Sale <sup>25</sup>
	2.000	63.84	03/02/21	68.75	...	-4.91	14 of 28 - Sale <sup>25</sup>
	1.000	31.92	03/08/21	22.96	...	8.96	15 of 28 - Sale <sup>25</sup>
	0.415	13.25	03/09/21	10.00	...	3.25	16 of 28 - Sale <sup>25</sup>
	5.998	191.46	03/16/21	192.75	...	-1.29	17 of 28 - Sale <sup>25</sup>
	0.226	7.20	03/16/21	7.25	...	-0.05	18 of 28 - Sale <sup>25</sup>
	1.000	31.92	03/16/21	31.24	...	0.68	19 of 28 - Sale <sup>25</sup>
	4.000	127.68	04/09/21	94.80	...	32.88	20 of 28 - Sale <sup>25</sup>
	5.000	159.60	04/19/21	93.69	...	65.91	21 of 28 - Sale <sup>25</sup>
	2.000	63.84	06/03/21	61.61	...	2.23	22 of 28 - Sale <sup>25</sup>
	2.000	63.84	06/09/21	61.12	...	2.72	23 of 28 - Sale <sup>25</sup>
	2.000	63.84	07/20/21	53.72	...	10.12	24 of 28 - Sale <sup>25</sup>
	0.639	20.39	11/03/21	23.51	...	-3.12	25 of 28 - Sale <sup>25</sup>
	29.361	937.24	11/03/21	924.05	...	13.19	26 of 28 - Sale <sup>25</sup>
	30.000	957.64	11/03/21	943.04	...	14.60	27 of 28 - Sale <sup>25</sup>
	30.000	957.63	11/03/21	941.42	...	16.21	28 of 28 - Sale <sup>25</sup>
11/03/21	137.000	4,373.14	Various	4,553.41	...	-180.27	Total of 28 transactions
11/03/21	0.639	20.34	01/26/21	23.75	3.41 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,393.48</b>		<b>4,577.16</b>	<b>3.41 W</b>	<b>-180.27</b>	
TIDEWATER, INC. / CUSIP: 88642R109 / Symbol:							
12/07/21	2.000	21.90	12/03/21	21.65	...	0.25	Sale <sup>25</sup>
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
14 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	10.65	02/10/21	70.60	...	-59.95	1 of 14 - Sale <sup>25</sup>
	0.638	6.80	02/10/21	45.92	...	-39.12	2 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	0.362	3.85	02/10/21	26.03	...	-22.18	3 of 14 - Sale <sup>25</sup>
	0.171	1.82	02/10/21	10.00	...	-8.18	4 of 14 - Sale <sup>25</sup>
	1.000	10.65	02/11/21	56.92	...	-46.27	5 of 14 - Sale <sup>25</sup>
	1.000	10.65	02/11/21	45.75	...	-35.10	6 of 14 - Sale <sup>25</sup>
	1.000	10.65	02/11/21	44.54	...	-33.89	7 of 14 - Sale <sup>25</sup>
	1.000	10.65	02/11/21	41.25	...	-30.60	8 of 14 - Sale <sup>25</sup>
	2.000	21.30	02/16/21	67.70	...	-46.40	9 of 14 - Sale <sup>25</sup>
	1.000	10.65	03/09/21	23.51	...	-12.86	10 of 14 - Sale <sup>25</sup>
	0.362	3.85	03/16/21	10.00	...	-6.15	11 of 14 - Sale <sup>25</sup>
	1.000	10.65	03/19/21	25.74	...	-15.09	12 of 14 - Sale <sup>25</sup>
	2.000	21.30	04/09/21	39.70	...	-18.40	13 of 14 - Sale <sup>25</sup>
	3.000	31.95	04/16/21	50.76	...	-18.81	14 of 14 - Sale <sup>25</sup>
10/19/21	15.533	165.42	Various	558.42	...	-393.00	Total of 14 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
02/10/21	30.000	49.50	01/28/21	29.54	...	19.96	Sale <sup>25</sup>
02/11/21	20.000	39.80	01/28/21	19.70	...	20.10	Sale <sup>25</sup>
	6 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	50.000	57.50	01/28/21	49.23	...	8.27	1 of 6 - Sale <sup>25</sup>
	20.000	23.00	02/01/21	19.40	...	3.60	2 of 6 - Sale <sup>25</sup>
	10.000	11.50	04/20/21	15.05	...	-3.55	3 of 6 - Sale <sup>25</sup>
	10.000	11.50	04/20/21	14.97	...	-3.47	4 of 6 - Sale <sup>25</sup>
	10.000	11.50	04/20/21	14.76	...	-3.26	5 of 6 - Sale <sup>25</sup>
	10.000	11.50	04/20/21	9.66	...	1.84	6 of 6 - Sale <sup>25</sup>
04/28/21	110.000	126.50	Various	123.07	...	3.43	Total of 6 transactions
	6 transactions for 04/28/21. Total proceeds and cost reported to the IRS.						
	50.000	57.49	02/08/21	77.50	20.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	11.50	02/17/21	16.59	5.09 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	11.50	02/17/21	16.89	5.39 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	11.50	02/17/21	16.80	5.30 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	11.50	02/17/21	16.80	5.30 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	57.49	04/20/21	68.43	10.94 W	0.00	6 of 6 - Sale <sup>25</sup>
04/28/21	140.000	160.98	Various	213.01	52.03 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	10.000	13.40	04/20/21	14.96	...	-1.56	1 of 2 - Sale <sup>25</sup>
	40.000	53.60	04/20/21	47.38	...	6.22	2 of 2 - Sale <sup>25</sup>
06/09/21	50.000	67.00	Various	62.34	...	4.66	Total of 2 transactions
12/13/21	10.000	4.00	04/20/21	11.84	...	-7.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>447.78</b>		<b>459.50</b>	<b>52.03 W</b>	<b>40.31</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
4 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	2.000	19.08	02/17/21	7.28	...	11.80	1 of 4 - Sale <sup>25</sup>
	5.000	47.70	02/17/21	19.90	...	27.80	2 of 4 - Sale <sup>25</sup>
	3.000	28.62	03/01/21	7.91	...	20.71	3 of 4 - Sale <sup>25</sup>
	8.000	71.44	03/01/21	21.08	...	50.36	4 of 4 - Sale <sup>25</sup>
06/21/21	18.000	166.84	Various	56.17	...	110.67	Total of 4 transactions
TORO COMPANY (THE) / CUSIP: 891092108 / Symbol:							
09/01/21	0.088	9.69	04/16/21	10.00	...	-0.31	Sale <sup>25</sup>
TRANSMEDICS GROUP, INC. COMMON STOCK / CUSIP: 89377M109 / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	0.151	5.55	02/09/21	5.00	...	0.55	1 of 2 - Sale <sup>25</sup>
	0.849	31.27	02/11/21	28.55	...	2.72	2 of 2 - Sale <sup>25</sup>
03/01/21	1.000	36.82	Various	33.55	...	3.27	Total of 2 transactions
09/03/21	0.151	5.01	02/11/21	5.06	...	-0.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>41.83</b>		<b>38.61</b>	...	<b>3.22</b>	
TROIKA MEDIA GROUP, INC. COMMO N STOCK / CUSIP: 89689F305 / Symbol:							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	2.90	10/26/21	2.86	...	0.04	1 of 3 - Sale <sup>25</sup>
	2.000	5.90	10/26/21	5.72	...	0.18	2 of 3 - Sale <sup>25</sup>
	1.000	2.95	10/26/21	2.65	...	0.30	3 of 3 - Sale <sup>25</sup>
10/26/21	4.000	11.75	Various	11.23	...	0.52	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TUSIMPLE HOLDINGS INC. CLASS A COMMON STOCK / CUSIP: 90089L108 / Symbol:							
11/29/21	1.000	40.00	11/23/21	36.28	...	3.72	Sale <sup>25</sup>
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
<i>3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.</i>							
	0.135	49.81	12/22/20	50.00	...	-0.19	1 of 3 - Sale <sup>25</sup>
	0.028	10.47	03/09/21	10.00	...	0.47	2 of 3 - Sale <sup>25</sup>
	0.053	19.50	03/16/21	20.00	...	-0.50	3 of 3 - Sale <sup>25</sup>
10/21/21	0.216	79.78	Various	80.00	...	-0.22	Total of 3 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	214.83	11/03/20	121.17	...	93.66	1 of 2 - Sale <sup>25</sup>
	1.000	71.61	12/18/20	55.86	...	15.75	2 of 2 - Sale <sup>25</sup>
02/17/21	4.000	286.44	Various	177.03	...	109.41	Total of 2 transactions
02/23/21	0.500	34.53	12/18/20	27.93	...	6.60	Sale <sup>25</sup>
<i>5 transactions for 10/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.500	31.47	12/18/20	27.93	...	3.54	1 of 5 - Sale <sup>25</sup>
	0.154	9.68	03/09/21	10.00	...	-0.32	2 of 5 - Sale <sup>25</sup>
	0.147	9.22	03/17/21	10.00	...	-0.78	3 of 5 - Sale <sup>25</sup>
	1.000	62.93	05/05/21	53.64	...	9.29	4 of 5 - Sale <sup>25</sup>
	1.000	62.93	08/12/21	65.15	...	-2.22	5 of 5 - Sale <sup>25</sup>
10/15/21	2.800	176.23	Various	166.72	...	9.51	Total of 5 transactions
12/13/21	10.000	448.95	12/01/21	459.89	...	-10.94	Sale <sup>25</sup>
12/13/21	5.000	224.47	11/19/21	245.85	8.55 W	-12.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,170.62</b>		<b>1,077.42</b>	<b>8.55 W</b>	<b>101.75</b>	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
<i>4 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	159.63	09/29/20	106.89	...	52.74	1 of 4 - Sale <sup>25</sup>
	2.000	106.42	11/09/20	97.32	...	9.10	2 of 4 - Sale <sup>25</sup>
	0.001	0.06	01/05/21	0.06	...	0.00	3 of 4 - Sale <sup>25</sup>
	0.999	53.15	01/05/21	50.94	...	2.21	4 of 4 - Sale <sup>25</sup>
01/05/21	6.000	319.26	Various	255.21	...	64.05	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.539	30.64	01/05/21	29.14	...	1.50	1 of 2 - Sale <sup>25</sup>
	0.961	54.59	01/05/21	49.00	...	5.59	2 of 2 - Sale <sup>25</sup>
02/04/21	1.500	85.23	Various	78.14	...	7.09	Total of 2 transactions
5 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	0.461	22.28	10/11/21	24.56	...	-2.28	1 of 5 - Sale <sup>25</sup>
	0.178	8.59	10/11/21	9.86	...	-1.27	2 of 5 - Sale <sup>25</sup>
	1.361	65.35	10/11/21	64.30	...	1.05	3 of 5 - Sale <sup>25</sup>
	2.000	96.00	10/11/21	94.44	...	1.56	4 of 5 - Sale <sup>25</sup>
	2.000	96.00	10/11/21	94.40	...	1.60	5 of 5 - Sale <sup>25</sup>
10/15/21	6.000	288.22	Various	287.56	...	0.66	Total of 5 transactions
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	0.461	22.12	01/05/21	24.91	2.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.178	8.53	03/17/21	10.00	1.47 W	0.00	2 of 2 - Sale <sup>25</sup>
10/15/21	0.639	30.65	Various	34.91	4.26 W	0.00	Total of 2 transactions
12/21/21	2.000	84.00	12/14/21	74.40	...	9.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>807.36</b>		<b>730.22</b>	<b>4.26 W</b>	<b>81.40</b>	
UIPATH, INC. / CUSIP: 90364P105 / Symbol:							
2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	1.000	43.37	11/04/21	58.49	...	-15.12	1 of 2 - Sale <sup>25</sup>
	1.000	43.36	11/23/21	47.47	...	-4.11	2 of 2 - Sale <sup>25</sup>
12/13/21	2.000	86.73	Various	105.96	...	-19.23	Total of 2 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	41.95	06/12/20	36.76	...	5.19	1 of 2 - Sale <sup>25</sup>
	1.000	41.95	06/19/20	36.75	...	5.20	2 of 2 - Sale <sup>25</sup>
02/04/21	2.000	83.90	Various	73.51	...	10.39	Total of 2 transactions
02/11/21	0.573	25.00	06/19/20	21.09	...	3.91	Sale <sup>25</sup>
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.427	19.65	06/19/20	15.72	...	3.93	1 of 3 - Sale <sup>25</sup>
	2.000	92.00	06/19/20	73.96	...	18.04	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	0.573	26.35	07/09/20	17.72	...	8.63	3 of 3 - Sale <sup>25</sup>
02/17/21	3.000	138.00	Various	107.40	...	30.60	Total of 3 transactions
09/24/21	1.573	76.72	04/20/21	78.61	...	-1.89	Sale <sup>25</sup>
09/27/21	0.427	21.15	04/20/21	21.35	...	-0.20	Sale <sup>25</sup>
11/01/21	5.000	240.00	10/29/21	230.23	...	9.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>584.77</b>		<b>532.19</b>	...	<b>52.58</b>	
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	25.00	09/08/21	25.32	...	-0.32	1 of 4 - Sale <sup>25</sup>
	1.000	25.30	09/08/21	25.31	...	-0.01	2 of 4 - Sale <sup>25</sup>
	4.000	101.20	09/28/21	95.93	...	5.27	3 of 4 - Sale <sup>25</sup>
	6.000	151.68	09/28/21	143.90	...	7.78	4 of 4 - Sale <sup>25</sup>
10/28/21	12.000	303.18	Various	290.46	...	12.72	Total of 4 transactions
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	4.000	100.00	09/07/21	106.35	4.76 W	-1.59	1 of 2 - Sale <sup>25</sup>
	10.000	250.00	09/07/21	265.85	15.85 W	0.00	2 of 2 - Sale <sup>25</sup>
10/28/21	14.000	350.00	Various	372.20	20.61 W	-1.59	Total of 2 transactions
12/13/21	3.000	66.88	11/08/21	86.63	...	-19.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>720.06</b>		<b>749.29</b>	<b>20.61 W</b>	<b>-8.62</b>	
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
3 transactions for 09/22/21. Total proceeds and cost reported to the IRS.							
	0.116	15.36	02/08/21	14.57	...	0.79	1 of 3 - Sale <sup>25</sup>
	0.399	53.04	02/08/21	50.00	...	3.04	2 of 3 - Sale <sup>25</sup>
	0.480	63.70	02/08/21	60.43	...	3.27	3 of 3 - Sale <sup>25</sup>
09/22/21	0.995	132.10	Various	125.00	...	7.10	Total of 3 transactions
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	20.000	144.40	08/30/21	270.79	...	-126.39	1 of 2 - Sale <sup>25</sup>
	10.000	72.20	08/30/21	135.47	...	-63.27	2 of 2 - Sale <sup>25</sup>
11/16/21	30.000	216.60	Various	406.26	...	-189.66	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
07/26/21	1.000	121.48	07/14/21	120.53	...	0.95	Sale <sup>25</sup>
08/11/21	0.500	78.88	07/14/21	56.58	...	22.30	Sale <sup>25</sup>
2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.							
	0.500	166.81	07/14/21	56.57	...	110.24	1 of 2 - Sale <sup>25</sup>
	0.500	166.80	09/21/21	162.18	...	4.62	2 of 2 - Sale <sup>25</sup>
10/12/21	1.000	333.61	Various	218.75	...	114.86	Total of 2 transactions
10/15/21	0.500	198.72	09/21/21	162.18	...	36.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>732.69</b>		<b>558.04</b>	...	<b>174.65</b>	
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
10/07/21	2.000	103.14	05/04/21	85.08	...	18.06	Sale <sup>25</sup>
12/13/21	5.000	166.13	11/18/21	212.58	...	-46.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>269.27</b>		<b>297.66</b>	...	<b>-28.39</b>	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
12/13/21	20.000	97.40	10/27/21	230.40	...	-133.00	Sale <sup>25</sup>
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
12/13/21	100.000	169.35	10/29/21	246.82	...	-77.47	Sale <sup>25</sup>
VALE S.A. / CUSIP: 91912E105 / Symbol:							
12/13/21	10.000	139.60	12/07/21	138.95	...	0.65	Sale <sup>25</sup>
VALLON PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 92023M101 / Symbol:							
11/23/21	5.000	33.00	11/04/21	32.65	...	0.35	Sale <sup>25</sup>
VANGUARD HEALTH CARE ETF / CUSIP: 92204A504 / Symbol:							
10/11/21	0.087	21.55	04/08/21	20.00	...	1.55	Sale <sup>25</sup>
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	3.000	22.77	12/16/20	21.83	...	0.94	1 of 4 - Sale <sup>25</sup>
	10.000	75.90	12/16/20	73.16	...	2.74	2 of 4 - Sale <sup>25</sup>
	17.000	129.03	12/16/20	123.73	...	5.30	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
01/15/21	20.000	151.80	12/16/20	145.13	...	6.67	4 of 4 - Sale <sup>25</sup>
01/15/21	50.000	379.50	Various	363.85	...	15.65	Total of 4 transactions
	12 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	7.000	51.31	12/22/20	51.02	...	0.29	1 of 12 - Sale <sup>25</sup>
	2.000	14.66	12/22/20	13.92	...	0.74	2 of 12 - Sale <sup>25</sup>
	1.476	10.82	12/22/20	10.00	...	0.82	3 of 12 - Sale <sup>25</sup>
	2.000	14.66	12/22/20	13.65	...	1.01	4 of 12 - Sale <sup>25</sup>
	2.000	14.66	12/22/20	13.19	...	1.47	5 of 12 - Sale <sup>25</sup>
	7.496	54.95	12/22/20	50.00	...	4.95	6 of 12 - Sale <sup>25</sup>
	5.000	36.65	12/24/20	34.60	...	2.05	7 of 12 - Sale <sup>25</sup>
	10.000	73.30	12/24/20	68.78	...	4.52	8 of 12 - Sale <sup>25</sup>
	5.000	36.65	12/30/20	30.13	...	6.52	9 of 12 - Sale <sup>25</sup>
	10.000	73.29	12/30/20	60.35	...	12.94	10 of 12 - Sale <sup>25</sup>
	6.028	44.18	01/05/21	39.09	...	5.09	11 of 12 - Sale <sup>25</sup>
01/25/21	20.000	146.59	01/05/21	129.80	...	16.79	12 of 12 - Sale <sup>25</sup>
01/25/21	78.000	571.72	Various	514.53	...	57.19	Total of 12 transactions
	2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	20.000	146.59	12/16/20	150.60	4.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	14.66	12/18/20	14.84	0.18 W	0.00	2 of 2 - Sale <sup>25</sup>
01/25/21	22.000	161.25	Various	165.44	4.19 W	0.00	Total of 2 transactions
	5 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	2.000	20.00	01/05/21	13.13	...	6.87	1 of 5 - Sale <sup>25</sup>
	6.028	60.28	01/05/21	40.24	...	20.04	2 of 5 - Sale <sup>25</sup>
	11.972	119.72	01/05/21	77.52	...	42.20	3 of 5 - Sale <sup>25</sup>
	13.972	139.72	01/05/21	93.41	...	46.31	4 of 5 - Sale <sup>25</sup>
	16.028	160.28	01/05/21	103.78	...	56.50	5 of 5 - Sale <sup>25</sup>
01/29/21	50.000	500.00	Various	328.08	...	171.92	Total of 5 transactions
	6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.						
	3.972	64.03	01/05/21	25.72	...	38.31	1 of 6 - Sale <sup>25</sup>
	3.972	64.85	01/05/21	25.72	...	39.13	2 of 6 - Sale <sup>25</sup>
	16.028	261.66	01/05/21	103.78	...	157.88	3 of 6 - Sale <sup>25</sup>
	0.422	6.80	01/11/21	2.68	...	4.12	4 of 6 - Sale <sup>25</sup>
	30.976	499.33	01/11/21	197.32	...	302.01	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	4.629	74.62	02/01/21	64.53	...	10.09	6 of 6 - Sale <sup>25</sup>
02/02/21	60.000	971.29	Various	419.75	...	551.54	Total of 6 transactions
	8 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	13.000	131.94	02/01/21	192.66	...	-60.72	1 of 8 - Sale <sup>25</sup>
	10.000	101.50	02/01/21	151.39	...	-49.89	2 of 8 - Sale <sup>25</sup>
	0.482	4.89	02/01/21	7.14	...	-2.25	3 of 8 - Sale <sup>25</sup>
	0.014	0.14	02/01/21	0.20	...	-0.06	4 of 8 - Sale <sup>25</sup>
	19.134	194.20	02/02/21	425.74	...	-231.54	5 of 8 - Sale <sup>25</sup>
	10.000	101.50	02/02/21	226.00	...	-124.50	6 of 8 - Sale <sup>25</sup>
	10.000	101.49	02/02/21	151.90	...	-50.41	7 of 8 - Sale <sup>25</sup>
	2.000	20.30	02/02/21	29.64	...	-9.34	8 of 8 - Sale <sup>25</sup>
02/03/21	64.629	655.96	Various	1,184.67	...	-528.71	Total of 8 transactions
02/03/21	5.371	54.51	02/01/21	74.87	3.28 W	-17.08	Sale <sup>25</sup>
11/16/21	0.470	3.19	03/17/21	3.19	...	0.00	Sale <sup>25</sup>
	4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	0.335	2.28	02/02/21	8.73	6.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.530	3.60	02/02/21	13.81	10.21 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	6.79	03/17/21	6.81	0.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	13.58	10/11/21	13.80	0.22 W	0.00	4 of 4 - Sale <sup>25</sup>
11/16/21	3.866	26.25	Various	43.15	16.90 W	0.00	Total of 4 transactions
	5 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	0.530	3.77	11/16/21	13.84	...	-10.07	1 of 5 - Sale <sup>25</sup>
	0.335	2.38	11/16/21	8.74	...	-6.36	2 of 5 - Sale <sup>25</sup>
	1.000	7.11	11/16/21	6.86	...	0.25	3 of 5 - Sale <sup>25</sup>
	2.000	14.21	11/16/21	13.90	...	0.31	4 of 5 - Sale <sup>25</sup>
	26.134	185.68	11/16/21	178.75	...	6.93	5 of 5 - Sale <sup>25</sup>
11/18/21	30.000	213.15	Various	222.09	...	-8.94	Total of 5 transactions
	<b>Security total:</b>	<b>3,536.82</b>		<b>3,319.62</b>	<b>24.37 W</b>	<b>241.57</b>	
VEONEER, INC. / CUSIP: 92336X109 / Symbol:							
11/23/21	3.000	107.10	11/17/21	106.82	...	0.28	Sale <sup>25</sup>
11/24/21	2.000	70.62	11/17/21	71.21	...	-0.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>177.72</b>		<b>178.03</b>	...	<b>-0.31</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERINT SYSTEMS INC. COMMON STO CK / CUSIP: 92343X100 / Symbol:							
12/02/21	1.000	46.83	02/02/21	49.73	...	-2.90	Sale <sup>25</sup>
VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
11/26/21	5.000	161.51	11/08/21	179.95	...	-18.44	Sale <sup>25</sup>
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	15.00	08/27/21	10.90	...	4.10	1 of 2 - Sale <sup>25</sup>
	3.000	22.11	08/27/21	16.35	...	5.76	2 of 2 - Sale <sup>25</sup>
08/30/21	5.000	37.11	Various	27.25	...	9.86	Total of 2 transactions
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	30.000	117.90	11/09/21	134.69	...	-16.79	1 of 2 - Sale <sup>25</sup>
	20.000	78.60	11/09/21	89.39	...	-10.79	2 of 2 - Sale <sup>25</sup>
11/19/21	50.000	196.50	Various	224.08	...	-27.58	Total of 2 transactions
	<b>Security total:</b>	<b>233.61</b>		<b>251.33</b>	...	<b>-17.72</b>	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
12/15/21	2.000	106.06	11/30/21	101.08	...	4.98	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
5 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	0.585	10.12	02/03/21	33.17	...	-23.05	1 of 5 - Sale <sup>25</sup>
	0.415	7.18	02/17/21	20.00	...	-12.82	2 of 5 - Sale <sup>25</sup>
	1.000	17.30	03/16/21	33.40	...	-16.10	3 of 5 - Sale <sup>25</sup>
	24.585	425.32	11/09/21	515.54	...	-90.22	4 of 5 - Sale <sup>25</sup>
	0.415	7.18	11/09/21	25.07	...	-17.89	5 of 5 - Sale <sup>25</sup>
11/18/21	27.000	467.10	Various	627.18	...	-160.08	Total of 5 transactions
11/18/21	0.415	7.19	02/03/21	23.55	16.36 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>474.29</b>		<b>650.73</b>	<b>16.36 W</b>	<b>-160.08</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISA INC. / CUSIP: 92826C839 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	205.76	05/21/20	191.87	...	13.89	1 of 2 - Sale <sup>25</sup>
	1.000	205.76	06/04/20	195.95	...	9.81	2 of 2 - Sale <sup>25</sup>
02/09/21	2.000	411.52	Various	387.82	...	23.70	Total of 2 transactions
VMWARE, INC / CUSIP: 928563402 / Symbol:							
12/13/21	2.000	226.03	11/19/21	241.82	...	-15.79	Sale <sup>25</sup>
VOYAGER THERAPEUTICS, INC. COM MON STOCK / CUSIP: 92915B106 / Symbol:							
2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.							
	25.000	139.80	10/08/21	119.17	...	20.63	1 of 2 - Sale <sup>25</sup>
	50.000	279.58	10/08/21	236.92	...	42.66	2 of 2 - Sale <sup>25</sup>
10/08/21	75.000	419.38	Various	356.09	...	63.29	Total of 2 transactions
10/14/21	5.000	22.70	10/08/21	23.83	...	-1.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>442.08</b>		<b>379.92</b>	...	<b>62.16</b>	
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
3 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	2.000	3.20	10/15/21	2.97	...	0.23	1 of 3 - Sale <sup>25</sup>
	3.000	4.95	10/15/21	4.45	...	0.50	2 of 3 - Sale <sup>25</sup>
	5.000	8.00	10/15/21	7.43	...	0.57	3 of 3 - Sale <sup>25</sup>
10/21/21	10.000	16.15	Various	14.85	...	1.30	Total of 3 transactions
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol:							
11/16/21	10.000	433.50	10/28/21	497.18	...	-63.68	Sale <sup>25</sup>
WASTE MANAGEMENT, INC. / CUSIP: 94106L109 / Symbol:							
11/29/21	1.000	167.95	11/15/21	162.98	...	4.97	Sale <sup>25</sup>
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
5 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	2.000	61.67	06/08/20	66.96	...	-5.29	1 of 5 - Sale <sup>25</sup>
	0.057	1.76	06/08/20	1.90	...	-0.14	2 of 5 - Sale <sup>25</sup>
	0.003	0.09	06/08/20	0.10	...	-0.01	3 of 5 - Sale <sup>25</sup>
	1.000	30.84	06/10/20	29.28	...	1.56	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
	0.825	25.42	10/06/20	20.00	...	5.42	5 of 5 - Sale <sup>25</sup>
01/05/21	3.885	119.78	Various	118.24	...	1.54	Total of 5 transactions
WENDY'S COMPANY (THE) COMMON S TOCK / CUSIP: 95058W100 / Symbol:							
09/01/21	0.034	0.80	06/09/21	1.00	...	-0.20	Sale <sup>25</sup>
WESTERN DIGITAL CORPORATION CO MMON STOCK / CUSIP: 958102105 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	5.000	282.54	08/31/21	315.94	...	-33.40	1 of 3 - Sale <sup>25</sup>
	1.000	56.51	09/01/21	61.76	...	-5.25	2 of 3 - Sale <sup>25</sup>
	3.000	169.53	09/02/21	181.41	...	-11.88	3 of 3 - Sale <sup>25</sup>
12/13/21	9.000	508.58	Various	559.11	...	-50.53	Total of 3 transactions
WESTPORT FUEL SYSTEMS INC COMM ON SHARES / CUSIP: 960908309 / Symbol:							
7 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	9.000	32.40	08/06/21	45.00	...	-12.60	1 of 7 - Sale <sup>25</sup>
	30.000	107.99	09/02/21	118.13	...	-10.14	2 of 7 - Sale <sup>25</sup>
	10.000	36.00	09/02/21	39.40	...	-3.40	3 of 7 - Sale <sup>25</sup>
	10.000	36.00	09/02/21	39.39	...	-3.39	4 of 7 - Sale <sup>25</sup>
	10.000	36.00	09/02/21	39.39	...	-3.39	5 of 7 - Sale <sup>25</sup>
	1.000	3.60	10/27/21	4.56	...	-0.96	6 of 7 - Sale <sup>25</sup>
	99.000	356.38	10/27/21	312.34	...	44.04	7 of 7 - Sale <sup>25</sup>
11/01/21	169.000	608.37	Various	598.21	...	10.16	Total of 7 transactions
11/01/21	1.000	3.60	08/06/21	5.00	1.40 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>611.97</b>		<b>603.21</b>	<b>1.40 W</b>	<b>10.16</b>	
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
10/29/21	20.000	114.80	10/29/21	106.60	...	8.20	Sale <sup>25</sup>
12/13/21	100.000	338.99	10/29/21	498.00	...	-159.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>453.79</b>		<b>604.60</b>	...	<b>-150.81</b>	
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
12/09/21	0.075	20.84	12/02/21	20.00	...	0.84	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	44.000	369.59	10/28/21	304.81	...	64.78	1 of 3 - Sale <sup>25</sup>
	50.000	419.99	10/28/21	346.50	...	73.49	2 of 3 - Sale <sup>25</sup>
	50.000	419.99	10/28/21	345.98	...	74.01	3 of 3 - Sale <sup>25</sup>
11/02/21	144.000	1,209.57	Various	997.29	...	212.28	Total of 3 transactions
6 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1.000	8.40	02/25/21	16.23	7.83 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	8.40	03/01/21	16.55	8.15 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	8.40	03/08/21	16.40	8.00 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	8.40	03/12/21	16.40	8.00 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	8.40	03/16/21	17.09	8.69 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	8.40	03/19/21	16.12	7.72 W	0.00	6 of 6 - Sale <sup>25</sup>
11/02/21	6.000	50.40	Various	98.79	48.39 W	0.00	Total of 6 transactions
6 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	7.32	10/28/21	15.62	...	-8.30	1 of 6 - Sale <sup>25</sup>
	1.000	7.32	10/28/21	15.08	...	-7.76	2 of 6 - Sale <sup>25</sup>
	1.000	7.32	10/28/21	14.93	...	-7.61	3 of 6 - Sale <sup>25</sup>
	1.000	7.32	10/28/21	14.92	...	-7.60	4 of 6 - Sale <sup>25</sup>
	1.000	7.32	10/28/21	14.76	...	-7.44	5 of 6 - Sale <sup>25</sup>
	1.000	7.32	10/28/21	14.64	...	-7.32	6 of 6 - Sale <sup>25</sup>
11/03/21	6.000	43.92	Various	89.95	...	-46.03	Total of 6 transactions
	<b>Security total:</b>	<b>1,303.89</b>		<b>1,186.03</b>	<b>48.39 W</b>	<b>166.25</b>	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
11/23/21	10.000	54.15	11/23/21	60.20	...	-6.05	Sale <sup>25</sup>
XCEL ENERGY INC. COMMON STOCK / CUSIP: 98389B100 / Symbol:							
11/22/21	3.000	198.21	11/17/21	193.86	...	4.35	Sale <sup>25</sup>
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
08/05/21	4.000	171.00	03/15/21	141.20	...	29.80	Sale <sup>25</sup>
10/07/21	1.000	37.70	03/15/21	35.30	...	2.40	Sale <sup>25</sup>
10/07/21	1.000	37.70	07/01/21	43.72	6.02 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	50.00	10/26/21	52.04	...	-2.04	1 of 2 - Sale <sup>25</sup>
	9.000	450.00	10/26/21	414.17	...	35.83	2 of 2 - Sale <sup>25</sup>
11/16/21	10.000	500.00	Various	466.21	...	33.79	Total of 2 transactions
	<b>Security total:</b>	<b>746.40</b>		<b>686.43</b>	<b>6.02 W</b>	<b>65.99</b>	
YALLA GROUP LIMITED AMERICAN D EPOSITARY SHARES, EACH REPRES E NTING ONE CLASS A ORDINARY SHA / CUSIP: 98459U103 / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	2.000	16.73	11/05/21	13.94	...	2.79	1 of 2 - Sale <sup>25</sup>
	48.000	400.80	11/05/21	334.49	...	66.31	2 of 2 - Sale <sup>25</sup>
11/09/21	50.000	417.53	Various	348.43	...	69.10	Total of 2 transactions
ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol:							
12/07/21	2.000	124.16	12/03/21	118.00	...	6.16	Sale <sup>25</sup>
ZOOMINFO TECHNOLOGIES INC CLAS S A COMMON STOCK / CUSIP: 98980F104 / Symbol:							
11/02/21	1.000	73.00	09/02/21	66.17	...	6.83	Sale <sup>25</sup>
11/09/21	4.000	296.00	09/02/21	264.68	...	31.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>369.00</b>		<b>330.85</b>	...	<b>38.15</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.239	63.18	02/18/21	100.00	36.82 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.062	16.39	03/09/21	20.00	3.61 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.030	8.06	03/17/21	10.00	1.94 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.262	69.35	07/14/21	99.81	30.46 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	264.68	09/02/21	294.93	29.83 W	-0.42	5 of 5 - Sale <sup>25</sup>
11/16/21	1.593	421.66	Various	524.74	102.66 W	-0.42	Total of 5 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
11/18/21	7.952	3.58	02/10/21	20.00	...	-16.42	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYMERGEN INC. COMMON STOCK / CUSIP: 98985X100 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	11.20	08/05/21	14.47	...	-3.27	1 of 2 - Sale <sup>25</sup>
	5.000	56.00	10/27/21	52.35	...	3.65	2 of 2 - Sale <sup>25</sup>
11/01/21	6.000	67.20	Various	66.82	...	0.38	Total of 2 transactions
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
09/10/21	2.000	18.00	08/26/21	17.39	...	0.61	Sale <sup>25</sup>
AGRIFORCE GROWING SYSTEMS LTD. COMMON SHARES / CUSIP: C00948106 / Symbol:							
2 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	10.000	31.50	10/27/21	28.05	...	3.45	1 of 2 - Sale <sup>25</sup>
	90.000	283.49	10/27/21	252.43	...	31.06	2 of 2 - Sale <sup>25</sup>
10/27/21	100.000	314.99	Various	280.48	...	34.51	Total of 2 transactions
ALTAMIRA THERAPEUTICS LTD. COMMON SHARES 0.01 SF (BERMUDA) / CUSIP: G0360L100 / Symbol:							
3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	10.000	31.50	11/12/21	26.60	...	4.90	1 of 3 - Sale <sup>25</sup>
	100.000	299.99	11/12/21	266.00	...	33.99	2 of 3 - Sale <sup>25</sup>
	90.000	283.49	11/12/21	239.40	...	44.09	3 of 3 - Sale <sup>25</sup>
11/12/21	200.000	614.98	Various	532.00	...	82.98	Total of 3 transactions
ALTIMETER GROWTH CORP. CLASS A ORDINARY SHARES / CUSIP: G0370L108 / Symbol:							
10/15/21	5.000	54.76	10/15/21	56.04	...	-1.28	Sale <sup>25</sup>
ATLISSIAN CORPORATION PLC CLASS A ORDINARY SHARES / CUSIP: G06242104 / Symbol:							
6 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	0.228	74.75	04/08/21	50.00	...	24.75	1 of 6 - Sale <sup>25</sup>
	0.001	0.21	04/09/21	0.14	...	0.07	2 of 6 - Sale <sup>25</sup>
	0.223	73.13	04/09/21	49.86	...	23.27	3 of 6 - Sale <sup>25</sup>
	1.000	328.24	05/04/21	225.02	...	103.22	4 of 6 - Sale <sup>25</sup>
	1.000	328.24	07/01/21	258.10	...	70.14	5 of 6 - Sale <sup>25</sup>
	1.000	328.23	07/27/21	266.22	...	62.01	6 of 6 - Sale <sup>25</sup>
07/30/21	3.451	1,132.80	Various	849.34	...	283.46	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATLASSIAN CORPORATION PLC CLAS S A ORDINARY SHARES / CUSIP: G06242104 / Symbol: (cont'd)							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	0.059	24.51	08/10/21	20.00	...	4.51	1 of 2 - Sale <sup>25</sup>
	0.282	116.85	08/24/21	100.00	...	16.85	2 of 2 - Sale <sup>25</sup>
10/14/21	0.342	141.36	Various	120.00	...	21.36	Total of 2 transactions
	<b>Security total:</b>	<b>1,274.16</b>		<b>969.34</b>	...	<b>304.82</b>	
BABYLON HOLDINGS LIMITED / CUSIP: G07031100 / Symbol:							
10/26/21	5.000	72.05	10/26/21	62.45	...	9.60	Sale <sup>25</sup>
BEYONDSRING INC. ORDINARY SHA RES / CUSIP: G10830100 / Symbol:							
08/27/21	1.000	28.06	08/23/21	23.18	...	4.88	Sale <sup>25</sup>
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
7 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	10.000	119.00	10/15/21	113.05	...	5.95	1 of 7 - Sale <sup>25</sup>
	10.000	119.00	10/15/21	112.50	...	6.50	2 of 7 - Sale <sup>25</sup>
	30.000	356.99	10/15/21	339.90	...	17.09	3 of 7 - Sale <sup>25</sup>
	30.000	357.00	10/15/21	339.60	...	17.40	4 of 7 - Sale <sup>25</sup>
	30.000	356.99	10/15/21	339.29	...	17.70	5 of 7 - Sale <sup>25</sup>
	30.000	356.99	10/15/21	338.55	...	18.44	6 of 7 - Sale <sup>25</sup>
	30.000	356.99	10/15/21	337.95	...	19.04	7 of 7 - Sale <sup>25</sup>
10/19/21	170.000	2,022.96	Various	1,920.84	...	102.12	Total of 7 transactions
6 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	11.90	08/04/21	12.95	1.05 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	23.80	08/05/21	31.60	7.80 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	119.00	08/06/21	175.70	56.70 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	59.50	08/11/21	77.65	18.15 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	23.80	08/16/21	26.16	2.36 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	119.00	09/01/21	132.20	13.20 W	0.00	6 of 6 - Sale <sup>25</sup>
10/19/21	30.000	357.00	Various	456.26	99.26 W	0.00	Total of 6 transactions
5 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	10.000	119.00	10/15/21	169.75	...	-50.75	1 of 5 - Sale <sup>25</sup>
	5.000	59.50	10/15/21	74.67	...	-15.17	2 of 5 - Sale <sup>25</sup>
	2.000	23.80	10/15/21	30.41	...	-6.61	3 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol: (cont'd)							
	2.000	23.80	10/15/21	24.97	...	-1.17	4 of 5 - Sale <sup>25</sup>
	1.000	11.90	10/15/21	12.36	...	-0.46	5 of 5 - Sale <sup>25</sup>
10/20/21	20.000	238.00	Various	312.16	...	-74.16	Total of 5 transactions
12/13/21	10.000	70.71	11/08/21	132.70	...	-61.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,688.67</b>		<b>2,821.96</b>	<b>99.26 W</b>	<b>-34.03</b>	
CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol:							
11/22/21	30.000	48.90	11/22/21	45.30	...	3.60	Sale <sup>25</sup>
EAST STONE ACQUISITION CORPORATION ORDINARY SHARES / CUSIP: G2911D108 / Symbol:							
12/14/21	5.000	90.00	12/13/21	86.15	...	3.85	Sale <sup>25</sup>
ENDO INTERNATIONAL PLC ORDINARY SHARES / CUSIP: G30401106 / Symbol:							
08/24/21	1.000	2.60	08/23/21	2.63	0.03 W	0.00	Sale <sup>25</sup>
09/10/21	1.000	2.60	08/24/21	2.48	...	0.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5.20</b>		<b>5.11</b>	<b>0.03 W</b>	<b>0.12</b>	
FERROGLOBE PLC ORDINARY SHARES / CUSIP: G33856108 / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	1.000	3.96	02/02/21	3.48	...	0.48	1 of 2 - Sale <sup>25</sup>
	3.000	11.88	02/09/21	10.22	...	1.66	2 of 2 - Sale <sup>25</sup>
03/01/21	4.000	15.84	Various	13.70	...	2.14	Total of 2 transactions
10/26/21	2.000	14.56	02/09/21	6.81	...	7.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>30.40</b>		<b>20.51</b>	...	<b>9.89</b>	
GLOBALFOUNDRIES INC. ORDINARY SHARES / CUSIP: G39387108 / Symbol:							
11/29/21	3.000	214.50	11/22/21	194.80	...	19.70	Sale <sup>25</sup>
GREENLAND TECHNOLOGIES HOLDING CORPORATION ORDINARY SHARES / CUSIP: G4095T107 / Symbol:							
11/22/21	60.000	587.98	11/22/21	547.20	...	40.78	Sale <sup>25</sup>
GUARDFORCE AI CO., LIMITED ORDINARY SHARES / CUSIP: G4236L112 / Symbol:							
10/29/21	20.000	76.80	10/29/21	71.60	...	5.20	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HAPPINESS DEVELOPMENT GROUP LI MITED CLASS A ORDINARY SHARES / CUSIP: G4289N114 / Symbol:							
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	30.000	28.80	11/22/21	30.00	...	-1.20	1 of 3 - Sale <sup>25</sup>
	10.000	10.20	11/22/21	10.00	...	0.20	2 of 3 - Sale <sup>25</sup>
	10.000	10.80	11/22/21	10.00	...	0.80	3 of 3 - Sale <sup>25</sup>
11/22/21	50.000	49.80	Various	50.00	...	-0.20	Total of 3 transactions
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol:							
3 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	10.000	7.35	10/27/21	6.18	...	1.17	1 of 3 - Sale <sup>25</sup>
	30.000	20.23	10/27/21	18.54	...	1.69	2 of 3 - Sale <sup>25</sup>
	50.000	33.71	10/27/21	30.35	...	3.36	3 of 3 - Sale <sup>25</sup>
10/29/21	90.000	61.29	Various	55.07	...	6.22	Total of 3 transactions
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	10.000	6.20	10/27/21	6.18	...	0.02	1 of 2 - Sale <sup>25</sup>
	50.000	30.99	10/27/21	30.50	...	0.49	2 of 2 - Sale <sup>25</sup>
11/05/21	60.000	37.19	Various	36.68	...	0.51	Total of 2 transactions
	<b>Security total:</b>	<b>98.48</b>		<b>91.75</b>	...	<b>6.73</b>	
NEWEGG COMMERCE, INC. COMMON SHARES / CUSIP: G6483G100 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	5.000	90.00	11/09/21	80.90	...	9.10	1 of 2 - Sale <sup>25</sup>
	10.000	180.00	11/09/21	161.79	...	18.21	2 of 2 - Sale <sup>25</sup>
11/15/21	15.000	270.00	Various	242.69	...	27.31	Total of 2 transactions
11/26/21	5.000	95.00	11/09/21	78.87	...	16.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>365.00</b>		<b>321.56</b>	...	<b>43.44</b>	
JOBY AVIATION, INC. / CUSIP: G65163100 / Symbol:							
4 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	2.000	20.82	08/11/21	27.29	...	-6.47	1 of 4 - Sale <sup>25</sup>
	2.000	20.82	09/14/21	18.00	...	2.82	2 of 4 - Sale <sup>25</sup>
	3.000	31.23	09/14/21	26.97	...	4.26	3 of 4 - Sale <sup>25</sup>
	10.000	104.10	09/14/21	89.90	...	14.20	4 of 4 - Sale <sup>25</sup>
09/23/21	17.000	176.97	Various	162.16	...	14.81	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JOBY AVIATION, INC. / CUSIP: G65163100 / Symbol: (cont'd)							
12/21/21	10.000	72.00	12/17/21	69.08	...	2.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>248.97</b>		<b>231.24</b>	...	<b>17.73</b>	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	1.000	28.00	05/27/20	17.82	...	10.18	1 of 2 - Sale <sup>25</sup>
	1.000	28.00	06/08/20	26.49	...	1.51	2 of 2 - Sale <sup>25</sup>
02/22/21	2.000	56.00	Various	44.31	...	11.69	Total of 2 transactions
03/16/21	1.000	30.53	06/08/20	26.49	...	4.04	Sale <sup>25</sup>
09/22/21	0.674	17.59	03/17/21	20.00	...	-2.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>104.12</b>		<b>90.80</b>	...	<b>13.32</b>	
RVL PHARMACEUTICALS PLC ORDINARY SHARES / CUSIP: G6S41R101 / Symbol:							
5 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.831	1.46	12/15/20	4.88	...	-3.42	1 of 5 - Sale <sup>25</sup>
	0.169	0.30	12/15/20	0.99	...	-0.69	2 of 5 - Sale <sup>25</sup>
	1.000	1.76	12/16/20	5.95	...	-4.19	3 of 5 - Sale <sup>25</sup>
	1.000	1.76	12/16/20	5.88	...	-4.12	4 of 5 - Sale <sup>25</sup>
	0.169	0.30	12/16/20	1.00	...	-0.70	5 of 5 - Sale <sup>25</sup>
11/16/21	3.169	5.58	Various	18.70	...	-13.12	Total of 5 transactions
POP CULTURE GROUP CO., LTD CLASS A ORDINARY SHARES / CUSIP: G71700101 / Symbol:							
12/13/21	10.000	18.30	11/01/21	35.90	...	-17.60	Sale <sup>25</sup>
SCIENJOY HOLDING CORPORATION CLASS A ORDINARY SHARES / CUSIP: G7864D112 / Symbol:							
12/13/21	10.000	49.08	11/01/21	63.70	...	-14.62	Sale <sup>25</sup>
TDH HOLDINGS, INC. COMMON SHARES / CUSIP: G87084102 / Symbol:							
11/10/21	20.000	133.40	11/09/21	154.20	...	-20.80	Sale <sup>25</sup>
TROOPS, INC. ORDINARY SHARES / CUSIP: G9094C104 / Symbol:							
2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	1.000	4.96	07/14/21	15.79	...	-10.83	1 of 2 - Sale <sup>25</sup>
	2.000	9.92	11/24/21	10.72	...	-0.80	2 of 2 - Sale <sup>25</sup>
12/10/21	3.000	14.88	Various	26.51	...	-11.63	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TRITERRAS INC CLASS A ORDINARY SHARES / CUSIP: G9103H102 / Symbol:							
4 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	8.000	65.20	10/28/21	60.08	...	5.12	1 of 4 - Sale <sup>25</sup>
	42.000	342.30	10/28/21	315.40	...	26.90	2 of 4 - Sale <sup>25</sup>
	50.000	407.50	10/28/21	375.47	...	32.03	3 of 4 - Sale <sup>25</sup>
	100.000	814.98	10/28/21	768.61	...	46.37	4 of 4 - Sale <sup>25</sup>
10/28/21	200.000	1,629.98	Various	1,519.56	...	110.42	Total of 4 transactions
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
12/13/21	20.000	70.39	12/07/21	79.80	...	-9.41	Sale <sup>25</sup>
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.265	23.02	01/25/21	49.95	...	-26.93	1 of 3 - Sale <sup>25</sup>
	0.000	0.02	01/25/21	0.05	...	-0.03	2 of 3 - Sale <sup>25</sup>
	0.075	6.54	03/16/21	10.00	...	-3.46	3 of 3 - Sale <sup>25</sup>
11/16/21	0.341	29.58	Various	60.00	...	-30.42	Total of 3 transactions
NLS PHARMACEUTICS LTD. ORDINAR Y SHARES / CUSIP: H57830103 / Symbol:							
12/13/21	20.000	25.50	11/30/21	42.17	...	-16.67	Sale <sup>25</sup>
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.04	02/04/21	0.04	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.794	278.08	02/04/21	249.96	...	28.12	2 of 3 - Sale <sup>25</sup>
	0.062	21.88	02/08/21	20.21	...	1.67	3 of 3 - Sale <sup>25</sup>
02/17/21	0.857	300.00	Various	270.21	...	29.79	Total of 3 transactions
3 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	0.247	70.31	02/08/21	79.79	...	-9.48	1 of 3 - Sale <sup>25</sup>
	0.173	49.36	03/16/21	50.00	...	-0.64	2 of 3 - Sale <sup>25</sup>
	0.206	58.72	06/09/21	50.00	...	8.72	3 of 3 - Sale <sup>25</sup>
10/28/21	0.626	178.39	Various	179.79	...	-1.40	Total of 3 transactions
	<b>Security total:</b>	<b>478.39</b>		<b>450.00</b>	...	<b>28.39</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVOGENE LTD ORDINARY SHARES / CUSIP: M4119S104 / Symbol:							
10 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	5.000	10.55	02/02/21	33.40	...	-22.85	1 of 10 - Sale <sup>25</sup>
	1.000	2.11	02/02/21	6.71	...	-4.60	2 of 10 - Sale <sup>25</sup>
	5.000	10.55	02/04/21	40.40	...	-29.85	3 of 10 - Sale <sup>25</sup>
	4.000	8.44	02/10/21	38.20	...	-29.76	4 of 10 - Sale <sup>25</sup>
	3.000	6.33	02/10/21	28.74	...	-22.41	5 of 10 - Sale <sup>25</sup>
	3.000	6.34	02/10/21	26.86	...	-20.52	6 of 10 - Sale <sup>25</sup>
	2.000	4.22	02/10/21	19.14	...	-14.92	7 of 10 - Sale <sup>25</sup>
	2.000	4.22	02/10/21	17.80	...	-13.58	8 of 10 - Sale <sup>25</sup>
	4.856	10.25	03/02/21	30.00	...	-19.75	9 of 10 - Sale <sup>25</sup>
	5.000	10.56	03/16/21	27.75	...	-17.19	10 of 10 - Sale <sup>25</sup>
11/18/21	34.856	73.57	Various	269.00	...	-195.43	Total of 10 transactions
GALMED PHARMACEUTICALS LTD. ORDINARY SHARES / CUSIP: M47238106 / Symbol:							
2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	2.90	02/12/21	5.51	...	-2.61	1 of 2 - Sale <sup>25</sup>
	1.000	2.89	03/16/21	3.74	...	-0.85	2 of 2 - Sale <sup>25</sup>
11/16/21	2.000	5.79	Various	9.25	...	-3.46	Total of 2 transactions
GAMIDA CELL LTD. ORDINARY SHARES / CUSIP: M47364100 / Symbol:							
11/18/21	0.406	1.21	02/10/21	5.00	...	-3.79	Sale <sup>25</sup>
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
3 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	0.509	94.73	08/10/21	91.28	...	3.45	1 of 3 - Sale <sup>25</sup>
	0.491	91.64	08/10/21	88.14	...	3.50	2 of 3 - Sale <sup>25</sup>
	0.018	3.27	11/09/21	2.90	...	0.37	3 of 3 - Sale <sup>25</sup>
11/10/21	1.018	189.64	Various	182.32	...	7.32	Total of 3 transactions
8 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	0.001	0.11	02/18/21	0.18	0.07 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.033	6.09	02/18/21	9.82	3.73 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.334	62.12	02/18/21	100.00	37.88 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.124	23.15	03/16/21	30.00	6.85 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.001	0.11	11/09/21	0.17	0.06 W	0.00	5 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol: (cont'd)							
	0.033	6.11	11/09/21	9.14	3.03 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.334	62.23	11/09/21	93.04	30.81 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.124	23.19	11/09/21	27.41	4.22 W	0.00	8 of 8 - Sale <sup>25</sup>
11/10/21	0.983	183.11	Various	269.76	86.65 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>372.75</b>		<b>452.08</b>	<b>86.65 W</b>	<b>7.32</b>	
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
7 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	2.000	14.56	02/09/21	38.66	...	-24.10	1 of 7 - Sale <sup>25</sup>
	2.000	14.56	02/10/21	44.00	...	-29.44	2 of 7 - Sale <sup>25</sup>
	2.000	14.56	02/10/21	43.94	...	-29.38	3 of 7 - Sale <sup>25</sup>
	1.000	7.28	02/10/21	20.47	...	-13.19	4 of 7 - Sale <sup>25</sup>
	1.000	7.28	02/17/21	17.38	...	-10.10	5 of 7 - Sale <sup>25</sup>
	1.000	7.28	03/01/21	14.97	...	-7.69	6 of 7 - Sale <sup>25</sup>
	1.000	7.29	03/16/21	12.97	...	-5.68	7 of 7 - Sale <sup>25</sup>
11/18/21	10.000	72.81	Various	192.39	...	-119.58	Total of 7 transactions
INSPIRA TECHNOLOGIES OXY B.H.N . LTD. ORDINARY SHARES / CUSIP: M53637100 / Symbol:							
17 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	7.15	10/26/21	6.79	...	0.36	1 of 17 - Sale <sup>25</sup>
	10.000	75.00	10/26/21	74.50	...	0.50	2 of 17 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	34.90	...	0.60	3 of 17 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	34.68	...	0.82	4 of 17 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	34.67	...	0.83	5 of 17 - Sale <sup>25</sup>
	20.000	140.00	10/26/21	138.78	...	1.22	6 of 17 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	34.27	...	1.23	7 of 17 - Sale <sup>25</sup>
	4.000	28.60	10/26/21	27.14	...	1.46	8 of 17 - Sale <sup>25</sup>
	3.000	21.00	10/26/21	19.29	...	1.71	9 of 17 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	33.65	...	1.85	10 of 17 - Sale <sup>25</sup>
	10.000	71.00	10/26/21	68.55	...	2.45	11 of 17 - Sale <sup>25</sup>
	5.000	35.75	10/26/21	33.17	...	2.58	12 of 17 - Sale <sup>25</sup>
	10.000	76.00	10/26/21	73.00	...	3.00	13 of 17 - Sale <sup>25</sup>
	7.000	49.00	10/26/21	45.00	...	4.00	14 of 17 - Sale <sup>25</sup>
	10.000	72.00	10/26/21	66.35	...	5.65	15 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INSPIRA TECHNOLOGIES OXY B.H.N . LTD. ORDINARY SHARES / CUSIP: M53637100 / Symbol: (cont'd)							
	10.000	72.00	10/26/21	66.35	...	5.65	16 of 17 - Sale <sup>25</sup>
	10.000	78.00	10/26/21	71.00	...	7.00	17 of 17 - Sale <sup>25</sup>
10/26/21	125.000	903.00	Various	862.09	...	40.91	Total of 17 transactions
	13 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	10.000	71.00	10/26/21	74.50	3.50 W	0.00	1 of 13 - Sale <sup>25</sup>
	10.000	70.00	10/26/21	74.50	4.50 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	7.00	10/26/21	7.35	0.35 W	0.00	3 of 13 - Sale <sup>25</sup>
	9.000	63.00	10/26/21	66.15	3.15 W	0.00	4 of 13 - Sale <sup>25</sup>
	5.000	35.00	10/26/21	35.50	0.50 W	0.00	5 of 13 - Sale <sup>25</sup>
	5.000	35.00	10/26/21	37.75	2.75 W	0.00	6 of 13 - Sale <sup>25</sup>
	5.000	35.00	10/26/21	37.75	2.75 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	7.06	10/26/21	7.45	0.39 W	0.00	8 of 13 - Sale <sup>25</sup>
	4.000	28.22	10/26/21	29.80	1.58 W	0.00	9 of 13 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	35.90	0.40 W	0.00	10 of 13 - Sale <sup>25</sup>
	5.000	35.50	10/26/21	35.90	0.40 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.000	7.10	10/26/21	7.25	0.15 W	0.00	12 of 13 - Sale <sup>25</sup>
	4.000	28.40	10/26/21	29.00	0.60 W	0.00	13 of 13 - Sale <sup>25</sup>
10/26/21	65.000	457.78	Various	478.80	21.02 W	0.00	Total of 13 transactions
	<b>Security total:</b>	<b>1,360.78</b>		<b>1,340.89</b>	<b>21.02 W</b>	<b>40.91</b>	
KAMADA LTD. ORDINARY SHARES / CUSIP: M6240T109 / Symbol:							
	2 transactions for 11/16/21. Total proceeds and cost reported to the IRS.						
	1.000	5.60	02/05/21	7.35	...	-1.75	1 of 2 - Sale <sup>25</sup>
	2.000	11.20	02/09/21	16.04	...	-4.84	2 of 2 - Sale <sup>25</sup>
11/16/21	3.000	16.80	Various	23.39	...	-6.59	Total of 2 transactions
PAINREFORM LTD. ORDINARY SHARE S / CUSIP: M77798102 / Symbol:							
11/22/21	10.000	27.10	11/22/21	27.30	0.04 W	-0.16	Sale <sup>25</sup>
	2 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	50.000	81.24	11/22/21	136.50	...	-55.26	1 of 2 - Sale <sup>25</sup>
	2.000	3.25	11/22/21	5.28	...	-2.03	2 of 2 - Sale <sup>25</sup>
12/13/21	52.000	84.49	Various	141.78	...	-57.29	Total of 2 transactions
	<b>Security total:</b>	<b>111.59</b>		<b>169.08</b>	<b>0.04 W</b>	<b>-57.45</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POLYPID LTD. ORDINARY SHARES / CUSIP: M8001Q118 / Symbol:							
2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.							
	2.000	14.40	02/10/21	27.10	...	-12.70	1 of 2 - Sale <sup>25</sup>
	0.214	1.54	02/10/21	2.90	...	-1.36	2 of 2 - Sale <sup>25</sup>
11/18/21	2.214	15.94	Various	30.00	...	-14.06	Total of 2 transactions
STRATASYS, LTD. ORDINARY SHARE S (ISRAEL) / CUSIP: M85548101 / Symbol:							
9 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	0.431	13.80	03/09/21	10.00	...	3.80	1 of 9 - Sale <sup>25</sup>
	0.431	14.45	10/21/21	13.79	...	0.66	2 of 9 - Sale <sup>25</sup>
	0.431	14.43	10/21/21	12.74	...	1.69	3 of 9 - Sale <sup>25</sup>
	5.000	160.00	10/21/21	147.83	...	12.17	4 of 9 - Sale <sup>25</sup>
	5.000	160.00	10/21/21	147.80	...	12.20	5 of 9 - Sale <sup>25</sup>
	10.000	335.13	10/21/21	295.03	...	40.10	6 of 9 - Sale <sup>25</sup>
	17.569	562.19	10/21/21	519.48	...	42.71	7 of 9 - Sale <sup>25</sup>
	12.000	402.15	10/21/21	353.95	...	48.20	8 of 9 - Sale <sup>25</sup>
	27.569	922.81	10/21/21	813.83	...	108.98	9 of 9 - Sale <sup>25</sup>
10/25/21	78.431	2,584.96	Various	2,314.45	...	270.51	Total of 9 transactions
35 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	64.00	01/26/21	84.72	20.72 W	0.00	1 of 35 - Sale <sup>25</sup>
	5.000	160.00	01/26/21	211.95	51.95 W	0.00	2 of 35 - Sale <sup>25</sup>
	3.000	96.00	01/26/21	127.17	31.17 W	0.00	3 of 35 - Sale <sup>25</sup>
	2.000	64.00	01/26/21	84.78	20.78 W	0.00	4 of 35 - Sale <sup>25</sup>
	2.000	64.00	01/28/21	88.68	24.68 W	0.00	5 of 35 - Sale <sup>25</sup>
	2.000	64.00	02/08/21	104.36	40.36 W	0.00	6 of 35 - Sale <sup>25</sup>
	2.000	64.00	02/08/21	106.94	42.94 W	0.00	7 of 35 - Sale <sup>25</sup>
	2.000	64.00	02/08/21	113.53	49.53 W	0.00	8 of 35 - Sale <sup>25</sup>
	1.000	32.00	02/11/21	49.05	17.05 W	0.00	9 of 35 - Sale <sup>25</sup>
	1.000	32.00	02/17/21	48.53	16.53 W	0.00	10 of 35 - Sale <sup>25</sup>
	0.431	14.43	10/21/21	17.23	2.80 W	0.00	11 of 35 - Sale <sup>25</sup>
	2.000	66.94	10/21/21	79.86	12.92 W	0.00	12 of 35 - Sale <sup>25</sup>
	2.000	66.94	10/21/21	79.85	12.91 W	0.00	13 of 35 - Sale <sup>25</sup>
	3.000	100.42	10/21/21	119.78	19.36 W	0.00	14 of 35 - Sale <sup>25</sup>
	4.569	152.93	10/21/21	182.42	29.49 W	0.00	15 of 35 - Sale <sup>25</sup>
	0.431	14.43	10/21/21	18.06	3.63 W	0.00	16 of 35 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STRATASYS, LTD. ORDINARY SHARE S (ISRAEL) / CUSIP: M85548101 / Symbol: (cont'd)							
	1.569	52.51	10/21/21	65.70	13.19 W	0.00	17 of 35 - Sale <sup>25</sup>
	2.000	66.94	10/21/21	99.44	32.50 W	0.00	18 of 35 - Sale <sup>25</sup>
	2.000	66.95	10/21/21	102.02	35.07 W	0.00	19 of 35 - Sale <sup>25</sup>
	2.000	66.94	10/21/21	108.61	41.67 W	0.00	20 of 35 - Sale <sup>25</sup>
	1.000	33.47	10/21/21	46.59	13.12 W	0.00	21 of 35 - Sale <sup>25</sup>
	1.000	33.47	10/21/21	46.06	12.59 W	0.00	22 of 35 - Sale <sup>25</sup>
	0.431	14.45	10/21/21	15.52	1.07 W	0.00	23 of 35 - Sale <sup>25</sup>
	0.431	14.45	10/21/21	15.53	1.08 W	0.00	24 of 35 - Sale <sup>25</sup>
	1.569	52.57	10/21/21	56.44	3.87 W	0.00	25 of 35 - Sale <sup>25</sup>
	2.000	67.02	10/21/21	71.92	4.90 W	0.00	26 of 35 - Sale <sup>25</sup>
	3.000	100.54	10/21/21	107.87	7.33 W	0.00	27 of 35 - Sale <sup>25</sup>
	4.569	153.11	10/21/21	164.28	11.17 W	0.00	28 of 35 - Sale <sup>25</sup>
	0.431	14.45	10/21/21	16.35	1.90 W	0.00	29 of 35 - Sale <sup>25</sup>
	1.569	52.57	10/21/21	59.47	6.90 W	0.00	30 of 35 - Sale <sup>25</sup>
	2.000	67.02	10/21/21	91.51	24.49 W	0.00	31 of 35 - Sale <sup>25</sup>
	2.000	67.02	10/21/21	94.07	27.05 W	0.00	32 of 35 - Sale <sup>25</sup>
	2.000	67.03	10/21/21	100.68	33.65 W	0.00	33 of 35 - Sale <sup>25</sup>
	1.000	33.51	10/21/21	42.62	9.11 W	0.00	34 of 35 - Sale <sup>25</sup>
	1.000	33.51	10/21/21	42.09	8.58 W	0.00	35 of 35 - Sale <sup>25</sup>
10/25/21	66.000	2,177.62	Various	2,863.68	686.06 W	0.00	Total of 35 transactions
15 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	2.000	64.35	10/21/21	76.28	...	-11.93	1 of 15 - Sale <sup>25</sup>
	2.000	64.34	10/21/21	73.73	...	-9.39	2 of 15 - Sale <sup>25</sup>
	0.431	13.87	10/21/21	17.87	...	-4.00	3 of 15 - Sale <sup>25</sup>
	1.000	34.39	10/21/21	38.09	...	-3.70	4 of 15 - Sale <sup>25</sup>
	0.431	14.83	10/21/21	14.62	...	0.21	5 of 15 - Sale <sup>25</sup>
	1.569	53.95	10/21/21	53.17	...	0.78	6 of 15 - Sale <sup>25</sup>
	0.431	14.83	10/21/21	13.80	...	1.03	7 of 15 - Sale <sup>25</sup>
	1.569	53.95	10/21/21	50.14	...	3.81	8 of 15 - Sale <sup>25</sup>
	2.000	68.78	10/21/21	63.89	...	4.89	9 of 15 - Sale <sup>25</sup>
	3.000	103.17	10/21/21	95.82	...	7.35	10 of 15 - Sale <sup>25</sup>
	4.569	157.12	10/21/21	145.93	...	11.19	11 of 15 - Sale <sup>25</sup>
	3.431	117.99	10/21/21	101.25	...	16.74	12 of 15 - Sale <sup>25</sup>
	18.569	597.40	10/21/21	547.92	...	49.48	13 of 15 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STRATASYS, LTD. ORDINARY SHARE S (ISRAEL) / CUSIP: M85548101 / Symbol: (cont'd)							
	1.569	50.48	10/22/21	63.13	...	-12.65	14 of 15 - Sale <sup>25</sup>
	0.431	13.87	10/22/21	14.02	...	-0.15	15 of 15 - Sale <sup>25</sup>
10/26/21	43.000	1,423.32	Various	1,369.66	...	53.66	Total of 15 transactions
	5 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	1.000	34.39	10/21/21	38.62	1.82 W	-2.41	1 of 5 - Sale <sup>25</sup>
	0.431	14.83	10/21/21	19.98	5.15 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	68.78	10/21/21	83.49	14.71 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	68.78	10/21/21	86.04	17.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.569	53.95	10/21/21	72.68	18.73 W	0.00	5 of 5 - Sale <sup>25</sup>
10/26/21	7.000	240.73	Various	300.81	57.67 W	-2.41	Total of 5 transactions
	<b>Security total:</b>	<b>6,426.63</b>		<b>6,848.60</b>	<b>743.73 W</b>	<b>321.76</b>	
AFFIMED N.V. / CUSIP: N01045108 / Symbol:							
11/22/21	30.000	199.80	11/22/21	222.30	7.50 W	-15.00	Sale <sup>25</sup>
SONO GROUP N.V. COMMON SHARES / CUSIP: N81409109 / Symbol:							
11/17/21	1.000	40.00	11/17/21	37.31	...	2.69	Sale <sup>25</sup>
11/24/21	2.000	35.97	11/17/21	74.62	...	-38.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>75.97</b>		<b>111.93</b>	...	<b>-35.96</b>	
WALLBOX N.V. / CUSIP: N94209108 / Symbol:							
	4 transactions for 12/13/21. Total proceeds and cost reported to the IRS.						
	30.000	437.39	10/26/21	780.00	...	-342.61	1 of 4 - Sale <sup>25</sup>
	30.000	437.39	10/26/21	750.00	...	-312.61	2 of 4 - Sale <sup>25</sup>
	10.000	145.80	11/09/21	165.05	...	-19.25	3 of 4 - Sale <sup>25</sup>
	10.000	145.80	11/26/21	130.50	...	15.30	4 of 4 - Sale <sup>25</sup>
12/13/21	80.000	1,166.38	Various	1,825.55	...	-659.17	Total of 4 transactions
MAKEMYTRIP LIMITED ORDINARY SH ARES / CUSIP: V5633W109 / Symbol:							
11/08/21	10.000	358.00	11/01/21	337.78	...	20.22	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIANA SHIPPING, INC. / CUSIP: Y2066G104 / Symbol:							
3 transactions for 12/13/21. Total proceeds and cost reported to the IRS.							
	100.000	386.99	10/01/21	588.80	...	-201.81	1 of 3 - Sale <sup>25</sup>
	100.000	386.98	10/21/21	525.00	...	-138.02	2 of 3 - Sale <sup>25</sup>
	10.000	38.70	10/21/21	52.50	...	-13.80	3 of 3 - Sale <sup>25</sup>
12/13/21	210.000	812.67	Various	1,166.30	...	-353.63	Total of 3 transactions
EQONEX LIMITED ORDINARY SHARES / CUSIP: Y2074E109 / Symbol:							
7 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	50.000	249.99	10/27/21	317.51	...	-67.52	1 of 7 - Sale <sup>25</sup>
	50.000	250.00	10/27/21	317.46	...	-67.46	2 of 7 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	316.96	...	-66.97	3 of 7 - Sale <sup>25</sup>
	300.000	1,499.96	10/27/21	1,494.00	...	5.96	4 of 7 - Sale <sup>25</sup>
	200.000	1,000.73	10/27/21	987.74	...	12.99	5 of 7 - Sale <sup>25</sup>
	100.000	500.37	10/27/21	485.92	...	14.45	6 of 7 - Sale <sup>25</sup>
	300.000	1,499.96	10/27/21	1,454.43	...	45.53	7 of 7 - Sale <sup>25</sup>
10/27/21	1,050.000	5,251.00	Various	5,374.02	...	-123.02	Total of 7 transactions
12 transactions for 10/27/21. Total proceeds and cost reported to the IRS.							
	50.000	250.03	10/26/21	337.00	86.97 W	0.00	1 of 12 - Sale <sup>25</sup>
	100.000	500.05	10/26/21	673.00	172.95 W	0.00	2 of 12 - Sale <sup>25</sup>
	50.000	250.03	10/26/21	334.00	83.97 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	320.01	14.00 W	-56.02	4 of 12 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	328.72	78.73 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	325.72	75.73 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	499.98	10/27/21	656.45	156.47 W	0.00	7 of 12 - Sale <sup>25</sup>
	50.000	250.18	10/27/21	321.69	71.51 W	0.00	8 of 12 - Sale <sup>25</sup>
	50.000	250.18	10/27/21	318.69	68.51 W	0.00	9 of 12 - Sale <sup>25</sup>
	100.000	500.36	10/27/21	642.39	142.03 W	0.00	10 of 12 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	318.45	68.46 W	0.00	11 of 12 - Sale <sup>25</sup>
	50.000	249.99	10/27/21	317.95	67.96 W	0.00	12 of 12 - Sale <sup>25</sup>
10/27/21	750.000	3,750.76	Various	4,894.07	1,087.29 W	-56.02	Total of 12 transactions
10/29/21	10.000	69.00	10/29/21	72.77	...	-3.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,070.76</b>		<b>10,340.86</b>	<b>1,087.29 W</b>	<b>-182.81</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCEANPAL INC. COMMON STOCK / CUSIP: Y6430L103 / Symbol:							
12/09/21	21.000	56.91	11/30/21	164.33	...	-107.42	Sale <sup>25</sup>
<b>Totals :</b>		<b>362,728.25</b>		<b>384,589.85</b>	<b>17,428.87 W</b>	<b>-4,432.73</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
12/02/21	0.077	9.27	02/01/21	21.96	...	-12.69	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.</i>							
	2.143	325.53	08/24/20	275.98	...	49.55	1 of 2 - Sale <sup>25</sup>
	2.367	359.60	08/26/20	300.00	...	59.60	2 of 2 - Sale <sup>25</sup>
08/30/21	4.510	685.13	Various	575.98	...	109.15	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
09/24/21	0.029	6.34	09/21/20	4.50	...	1.84	Sale <sup>25</sup>
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
<i>3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2.16	05/21/20	15.13	12.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1.08	06/11/20	6.77	5.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1.08	06/19/20	8.25	7.17 W	0.00	3 of 3 - Sale <sup>25</sup>
10/19/21	4.000	4.32	Various	30.15	25.83 W	0.00	Total of 3 transactions
<i>3 transactions for 11/02/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	2.16	10/13/21	15.02	...	-12.86	1 of 3 - Sale <sup>25</sup>
	1.000	1.08	10/13/21	8.20	...	-7.12	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 903110401

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol: (cont'd)							
	1.000	1.08	10/13/21	6.72	...	-5.64	3 of 3 - Sale <sup>25</sup>
11/02/21	4.000	4.32	Various	29.94	...	-25.62	Total of 3 transactions
	<b>Security total:</b>	<b>8.64</b>		<b>60.09</b>	<b>25.83 W</b>	<b>-25.62</b>	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	1.000	15.56	06/26/20	14.40	...	1.16	1 of 2 - Sale <sup>25</sup>
	1.000	15.56	09/24/20	8.00	...	7.56	2 of 2 - Sale <sup>25</sup>
10/15/21	2.000	31.12	Various	22.40	...	8.72	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	0.118	34.15	09/30/20	29.45	...	4.70	1 of 3 - Sale <sup>25</sup>
	0.080	23.09	10/06/20	20.00	...	3.09	2 of 3 - Sale <sup>25</sup>
	0.094	27.30	03/17/21	23.50	...	3.80	3 of 3 - Sale <sup>25</sup>
10/15/21	0.292	84.54	Various	72.95	...	11.59	Total of 3 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
09/24/21	1.000	52.86	05/04/20	26.91	...	25.95	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
09/24/21	0.427	20.84	07/09/20	13.21	...	7.63	Sale <sup>25</sup>
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
6 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	0.014	3.68	09/02/20	6.06	2.38 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.101	26.63	09/02/20	43.85	17.22 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.000	0.05	09/02/20	0.09	0.04 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.104	27.57	10/05/20	50.00	22.43 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.105	27.82	10/06/20	50.00	22.18 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.097	25.62	10/26/20	50.00	24.38 W	0.00	6 of 6 - Sale <sup>25</sup>
11/16/21	0.421	111.37	Various	200.00	88.63 W	0.00	Total of 6 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
09/22/21	1.000	26.10	06/10/20	21.58	...	4.52	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,036.21</b>		<b>1,019.58</b>	<b>114.46 W</b>	<b>131.09</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 903110401

## Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
2 transactions for 08/03/21							
	0.125	12.97	03/09/21	15.28	...	-2.31	Gain or loss
	0.125	12.97	03/09/21	14.88	...	-1.91	Gain or loss
08/03/21	0.250	25.94	Various	30.16	...	-4.22	Total of 2 transactions
KYNDRYL HOLDINGS, INC. / CUSIP: 50155Q100 / Symbol:							
11/10/21	0.400	11.30	10/19/21	6.13	...	5.17	Gain or loss
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
07/06/21	0.500	4.67	03/01/21	2.64	...	2.03	Gain or loss
<b>Totals:</b>		<b>41.91</b>		<b>38.93</b>	...	<b>2.98</b>	

Robinhood Securities LLC

Account 903110401

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ALCOA CORPORATION	013872106		11/19/21	0.60	Nondividend distribution	03
AMERICAN WATER WORKS COMPANY, INC	030420103		12/01/21	1.81	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/11/21	8.14	Qualified dividend	
			05/13/21	8.37	Qualified dividend	
			08/12/21	8.37	Qualified dividend	
				<b>24.88</b>	<b>Total Dividends &amp; distributions</b>	
BENTLEY SYSTEMS, INCORPORATED CLASS B COMMON STOCK	08265T208		12/16/21	0.15	Qualified dividend	
CONOCOPHILLIPS	20825C104		12/01/21	2.30	Qualified dividend	
DEERE & COMPANY	244199105		05/10/21	0.03	Qualified dividend	
ECOLAB, INC.	278865100		07/15/21	0.11	Qualified dividend	
EXP WORLD HOLDINGS, INC. COMMO N STOCK	30212W100		08/30/21	0.02	Qualified dividend	
			11/29/21	0.02	Qualified dividend	
				<b>0.04</b>	<b>Total Dividends &amp; distributions</b>	
FORD MOTOR COMPANY	345370860		12/01/21	2.00	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.07	Qualified dividend	
			04/26/21	0.02	Qualified dividend	
			07/26/21	0.05	Qualified dividend	
				<b>0.14</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 903110401

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK	45781V101		01/15/21	6.64	Section 199A dividend	03
			04/15/21	2.07	Section 199A dividend	03
			07/15/21	2.20	Section 199A dividend	03
			10/15/21	2.35	Section 199A dividend	03
				<b>13.26</b>	<b>Total Dividends &amp; distributions</b>	
INTEL CORPORATION COMMON STOCK	458140100		12/01/21	3.48	Qualified dividend	
INTERNATIONAL BUSINESS MACHINE S CORPORATION	459200101		12/10/21	3.28	Qualified dividend	
LAM RESEARCH CORPORATION COMMO N STOCK	512807108		07/07/21	0.07	Qualified dividend	
MAGNA INTERNATIONAL	559222401		03/19/21	0.43	Nonqualified dividend	
			03/19/21	-0.06	Foreign tax withheld-CA	
			06/04/21	0.43	Nonqualified dividend	
			06/04/21	-0.06	Foreign tax withheld-CA	
			12/03/21	4.30	Nonqualified dividend	
			12/03/21	-0.65	Foreign tax withheld-CA	
				<b>5.16</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.77</b>	<b>Total Foreign tax withheld</b>	
MASTERCARD INCORPORATED	57636Q104		11/09/21	0.03	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/09/21	0.56	Qualified dividend	
THE MOSAIC COMPANY	61945C103		12/16/21	1.13	Qualified dividend	
NEW YORK TIMES CO.	650111107		07/22/21	0.07	Qualified dividend	
			10/22/21	0.07	Qualified dividend	
				<b>0.14</b>	<b>Total Dividends &amp; distributions</b>	
NORFOLK SOUTHERN CORP.	655844108		08/20/21	7.63	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/31/21	0.01	Qualified dividend	
			07/01/21	0.03	Qualified dividend	
			12/23/21	0.08	Qualified dividend	
				<b>0.12</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 903110401

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
OSHKOSH CORP.	688239201		05/28/21	0.33	Qualified dividend	
			08/30/21	0.33	Qualified dividend	
			11/29/21	0.74	Qualified dividend	
				<b>1.40</b>	<b>Total Dividends &amp; distributions</b>	
PFIZER INC.	717081103		12/06/21	0.78	Qualified dividend	
ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206		03/29/21	0.33	Qualified dividend	
			03/29/21	-0.05	Foreign tax withheld-NL	
			06/21/21	0.78	Qualified dividend	
			06/21/21	-0.12	Foreign tax withheld-NL	
			09/20/21	1.55	Qualified dividend	
			09/20/21	-0.23	Foreign tax withheld-NL	
	<b>2.66</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.40</b>	<b>Total Foreign tax withheld</b>				
SIEMENS AG AMERICAN DEPOSITARY SHARES	826197501		02/16/21	3.61	Qualified dividend	
			02/16/21	-0.95	Foreign tax withheld-GM	
				<b>3.61</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.95</b>	<b>Total Foreign tax withheld</b>	
SMITH & WESSON BRANDS, INC. COMMON STOCK	831754106		07/06/21	0.08	Qualified dividend	
			09/28/21	0.24	Qualified dividend	
				<b>0.32</b>	<b>Total Dividends &amp; distributions</b>	
SONY GROUP CORPORATION AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE SHARE OF DOLLAR VALUE)	835699307		06/04/21	0.62	Nonqualified dividend	
			06/04/21	-0.09	Foreign tax withheld-JA	
			12/08/21	0.86	Nonqualified dividend	
			12/08/21	-0.13	Foreign tax withheld-JA	
				<b>1.48</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.22</b>	<b>Total Foreign tax withheld</b>	
STARBUCKS CORPORATION COMMON STOCK	855244109		08/27/21	0.45	Qualified dividend	
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100		04/15/21	0.04	Qualified dividend	
			04/15/21	-0.01	Foreign tax withheld-TW	
			07/15/21	0.22	Qualified dividend	
			07/15/21	-0.05	Foreign tax withheld-TW	
				<b>0.26</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.06</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 903110401

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TERADYNE, INC. COMMON STOCK	880770102		03/19/21	0.20	Qualified dividend	
			06/18/21	0.20	Qualified dividend	
				<b>0.40</b>	<b>Total Dividends &amp; distributions</b>	
THOMSON REUTERS CORPORATION	884903709		06/15/21	0.05	Nonqualified dividend	
			06/15/21	-0.01	Foreign tax withheld-CA	
			09/15/21	0.05	Nonqualified dividend	
			09/15/21	-0.01	Foreign tax withheld-CA	
				<b>0.10</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.02</b>	<b>Total Foreign tax withheld</b>	
TORO COMPANY (THE)	891092108		07/14/21	0.02	Qualified dividend	
VANGUARD HEALTH CARE ETF	92204A504		06/29/21	0.06	Qualified dividend	
			10/04/21	0.08	Qualified dividend	
				<b>0.14</b>	<b>Total Dividends &amp; distributions</b>	
DIANA SHIPPING, INC.	Y2066G104		11/10/21	21.00	Nonqualified dividend	
				<b>99.54</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-2.42</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 903110401

## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	MAGNA INTERNATIONAL	559222401	5.16	0.00	0.00	5.16	-0.77	
CA	THOMSON REUTERS CORPORATION	884903709	0.10	0.00	0.00	0.10	-0.02	
<b>Total CANADA</b>			<b>5.26</b>	<b>0.00</b>	<b>0.00</b>	<b>5.26</b>	<b>-0.79</b>	
GM	SIEMENS AG AMERICAN DEPOSITARY SHARES	826197501	0.00	3.61	0.00	3.61	-0.95	
<b>Total GERMANY</b>			<b>0.00</b>	<b>3.61</b>	<b>0.00</b>	<b>3.61</b>	<b>-0.95</b>	
GR	DIANA SHIPPING, INC.	Y2066G104	21.00	0.00	0.00	21.00	0.00	
<b>Total GREECE</b>			<b>21.00</b>	<b>0.00</b>	<b>0.00</b>	<b>21.00</b>	<b>0.00</b>	
JA	SONY GROUP CORPORATION AMERICA N DEPOSITARY SHARES (EACH REPR ESENTING ONE SHARE OF DOLLAR V	835699307	1.48	0.00	0.00	1.48	-0.22	
<b>Total JAPAN</b>			<b>1.48</b>	<b>0.00</b>	<b>0.00</b>	<b>1.48</b>	<b>-0.22</b>	
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	2.66	0.00	2.66	-0.40	
<b>Total NETHERLANDS</b>			<b>0.00</b>	<b>2.66</b>	<b>0.00</b>	<b>2.66</b>	<b>-0.40</b>	
TW	TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100	0.00	0.26	0.00	0.26	-0.06	
<b>Total TAIWAN</b>			<b>0.00</b>	<b>0.26</b>	<b>0.00</b>	<b>0.26</b>	<b>-0.06</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>34.27</b>				
	<b>Qualified Foreign Source Income**</b>			<b>6.53</b>				
	<b>Foreign Tax</b>			<b>-2.42</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.



Robinhood Securities LLC

Account 903110401

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AST SPACEMOBILE, INC. CLASS A COMMON STOCK	00217D100	04/07/21	10.00	0.00	Exchange	
AEVA TECHNOLOGIES, INC.	00835Q103	03/15/21	2.00	0.00	Exchange	
BIT MINING LIMITED	055474100	04/20/21	0.72	0.00	Exchange	
BLUE WATER ACQUISITION CORP. CLASS A COMMON STOCK	09607T104	09/10/21	-165.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-1.00	0.00	Exchange	
CLARUS THERAPEUTICS HOLDINGS, INC. COMMON STOCK	18271L107	09/10/21	165.00	0.00	Exchange	
CLEVELAND BIOLABS, INC. COMMON STOCK	185860202	07/28/21	-1.00	0.00	Exchange	
CONTANGO OIL & GAS CO.	21075N204	12/08/21	-100.00	0.00	Merger	
CYTOCOM INC. COMMON STOCK	23284M100	07/28/21 09/01/21	1.00 -1.00	0.00 0.00	Exchange Exchange	
ENOVIX CORPORATION COMMON STOCK	293594107	07/15/21	3.00	0.00	Exchange	
500.COM LIMITED	33829R100	04/20/21	-0.72	0.00	Exchange	
CRESCENT ENERGY COMPANY	44952J104	12/08/21	20.00	0.00	Merger	
INTERPRIVATE ACQUISITION CORP. COMMON STOCK	46064A103	03/15/21	-2.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	1.00	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK	565788106	03/01/21	0.07	0.00	Exchange	
MARATHON PATENT GROUP, INC. COMMON STOCK	56585W401	03/01/21	-0.07	0.00	Exchange	
META METALS INC PREFERRED (SERIES A)	59134N203	07/07/21	5.00	0.00	Stock spinoff	
MOMENTUS INC. CLASS A COMMON STOCK	60879E101	08/13/21	3.53	0.00	Exchange	
NEW PROVIDENCE ACQUISITION CORP. CLASS A COMMON STOCK	64822P106	04/07/21	-10.00	0.00	Exchange	

Robinhood Securities LLC

Account 903110401

**Non-Reportable Actions**

2021

(continued)

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
RODGERS SILICON VALLEY ACQUISITION CORP. COMMON STOCK	774873103	07/15/21	-3.00	0.00	Exchange	
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK	85236Q109	08/13/21	-3.53	0.00	Exchange	
STAR PEAK ENERGY TRANSITION CO RP.	855185104	04/29/21	-2.00	0.00	Exchange	
STATERA BIOPHARMA, INC. COMMON STOCK	857561104	09/01/21	1.00	0.00	Exchange	
STEM, INC.	85859N102	04/29/21	2.00	0.00	Exchange	
SGOCO GROUP, LTD ORDINARY SHARES (CAYMAN ISLANDS)	G80751129	11/16/21	-1.00	0.00	Exchange	
TROOPS, INC. ORDINARY SHARES	G9094C104	11/16/21	1.00	0.00	Exchange	
OCEANPAL INC. COMMON STOCK	Y6430L103	11/30/21	21.00	0.00	Stock spinoff	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.