

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 144140340</b>	Statement Date: 02/11/2022 Document ID: 0068 69N JJ5P	2021
	Sunil kumar Vadlamani 6224 E Lake Sammamish Pkwy NE 004 Redmond, WA 98052  RECIPIENT'S TIN: XXX-XX-4592		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,761,855.80	1,869,978.67	0.00	77,598.26	-30,524.61
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>1,761,855.80</b>	<b>1,869,978.67</b>	<b>0.00</b>	<b>77,598.26</b>	<b>-30,524.61</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,761,855.80</b>	<b>1,869,978.67</b>	<b>0.00</b>	<b>77,598.26</b>	<b>-30,524.61</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 144140340

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	9.01
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	59.09
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/21/2022 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	9.000	2,591.96	12/16/21	2,790.00	...	-198.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	287.98	12/16/21	310.00	...	-22.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/27/21	10.000	2,879.94	Various	3,100.00	...	-220.06	Total of 2 transactions
AAPL 04/23/2021 CALL \$135.00 / CUSIP: / Symbol:							
04/22/21	1.000	41.98	04/14/21	224.00	...	-182.02	Option sale to close-call <sup>25</sup>
AAPL 07/16/2021 CALL \$149.00 / CUSIP: / Symbol:							
07/16/21	1.000	75.98	07/15/21	76.00	...	-0.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/30/2021 CALL \$147.00 / CUSIP: / Symbol:							
2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	374.99	07/09/21	355.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	749.99	07/09/21	710.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/21	3.000	1,124.98	Various	1,065.00	...	59.98	Total of 2 transactions
AMC 01/07/2022 CALL \$33.00 / CUSIP: / Symbol:							
12/27/21	1.000	84.98	12/20/21	287.00	...	-202.02	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$54.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/11/21	62.00	...	-62.00	Option expiration- long call
AMC 07/02/2021 CALL \$59.00 / CUSIP: / Symbol:							
06/24/21	1.000	499.98	06/23/21	720.00	...	-220.02	Option sale to close-call <sup>25</sup>
AMD 06/18/2021 CALL \$80.50 / CUSIP: / Symbol:							
06/17/21	1.000	175.98	06/16/21	76.00	...	99.98	Option sale to close-call <sup>25</sup>
AMD 08/06/2021 CALL \$110.00 / CUSIP: / Symbol:							
07/30/21	1.000	149.98	07/29/21	164.00	...	-14.02	Option sale to close-call <sup>25</sup>
AMD 10/08/2021 CALL \$109.00 / CUSIP: / Symbol:							
09/15/21	2.000	441.98	09/14/21	604.00	...	-162.02	Option sale to close-call <sup>25</sup>
AMZN 06/25/2021 CALL \$3,540.00 / CUSIP: / Symbol:							
06/22/21	1.000	1,794.98	06/22/21	1,589.00	...	205.98	Option sale to close-call <sup>25</sup>
AMZN 06/25/2021 CALL \$3,550.00 / CUSIP: / Symbol:							
06/22/21	2.000	3,999.96	06/22/21	3,390.00	...	609.96	Option sale to close-call <sup>25</sup>
AMZN 07/16/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	3,569.97	07/06/21	3,270.00	...	299.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3,429.97	07/06/21	3,110.00	...	319.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/21	2.000	6,999.94	Various	6,380.00	...	619.94	Total of 2 transactions
AMZN 07/16/2021 CALL \$3,800.00 / CUSIP: / Symbol:							
07/07/21	1.000	2,539.97	07/07/21	4,505.00	...	-1,965.03	Option sale to close-call <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 08/06/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
08/04/21	1.000	569.98	08/03/21	1,395.00	...	-825.02	Option sale to close-call <sup>25</sup>
AMZN 11/19/2021 CALL \$3,780.00 / CUSIP: / Symbol:							
11/09/21	1.000	1,974.97	11/09/21	1,840.00	...	134.97	Option sale to close-call <sup>25</sup>
APT 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/29/21	1.000	84.98	07/27/21	107.00	...	-22.02	Option sale to close-call <sup>25</sup>
ATOS 07/16/2021 CALL \$9.00 / CUSIP: / Symbol:							
06/24/21	1.000	234.98	06/24/21	225.00	...	9.98	Option sale to close-call <sup>25</sup>
BABA 07/09/2021 CALL \$232.50 / CUSIP: / Symbol:							
07/01/21	2.000	163.98	06/28/21	780.00	...	-616.02	Option sale to close-call <sup>25</sup>
BKKT 11/12/2021 CALL \$27.50 / CUSIP: / Symbol:							
11/12/21	1.000	17.98	11/11/21	195.00	...	-177.02	Option sale to close-call <sup>25</sup>
BLNK 01/07/2022 CALL \$36.00 / CUSIP: / Symbol:							
11/30/21	10.000	3,999.95	11/30/21	3,950.00	...	49.95	Option sale to close-call <sup>25</sup>
CCIV 05/28/2021 CALL \$23.00 / CUSIP: / Symbol:							
05/06/21	1.000	47.98	04/26/21	295.00	...	-247.02	Option sale to close-call <sup>25</sup>
DAL 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/11/21	1.000	53.98	05/07/21	91.00	...	-37.02	Option sale to close-call <sup>25</sup>
DG 06/11/2021 CALL \$210.00 / CUSIP: / Symbol:							
	2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.						
	2.000	19.98	06/10/21	20.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.98	06/10/21	20.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	4.000	39.96	Various	40.00	...	-0.04	Total of 2 transactions
DOCU 06/25/2021 CALL \$285.00 / CUSIP: / Symbol:							
06/24/21	1.000	186.98	06/24/21	187.00	...	-0.02	Option sale to close-call <sup>25</sup>
FB 04/23/2021 CALL \$310.00 / CUSIP: / Symbol:							
04/22/21	1.000	59.98	04/15/21	525.00	...	-465.02	Option sale to close-call <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 05/07/2021 CALL \$332.50 / CUSIP: / Symbol:							
04/30/21	1.000	339.98	04/29/21	460.00	...	-120.02	Option sale to close-call <sup>25</sup>
FSR 01/07/2022 CALL \$18.00 / CUSIP: / Symbol:							
12/08/21	1.000	204.98	12/07/21	205.00	...	-0.02	Option sale to close-call <sup>25</sup>
GME 04/16/2021 PUT \$170.00 / CUSIP: / Symbol:							
03/26/21	1.000	-120.04	03/25/21	0.00	...	-120.04	Short sale closed- put <sup>25</sup> Option written: 03/23/21
GME 04/16/2021 PUT \$175.00 / CUSIP: / Symbol:							
03/25/21	1.000	5,005.96	03/23/21	4,884.00	...	121.96	Option sale to close-put <sup>25</sup>
GME 07/02/2021 CALL \$217.50 / CUSIP: / Symbol:							
06/23/21	1.000	1,814.98	06/23/21	1,815.00	...	-0.02	Option sale to close-call <sup>25</sup>
GOOGL 05/21/2021 CALL \$2,320.0 / CUSIP: / Symbol:							
05/21/21	1.000	14.98	05/10/21	3,080.00	...	-3,065.02	Option sale to close-call <sup>25</sup>
HOOD 01/07/2022 CALL \$18.00 / CUSIP: / Symbol:							
12/22/21	1.000	148.98	12/10/21	335.00	...	-186.02	Option sale to close-call <sup>25</sup>
KMX 12/23/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/22/21	1.000	9.98	12/21/21	155.00	...	-145.02	Option sale to close-call <sup>25</sup>
LRCX 05/07/2021 CALL \$605.00 / CUSIP: / Symbol:							
05/05/21	1.000	1,654.98	05/04/21	900.00	...	754.98	Option sale to close-call <sup>25</sup>
LRCX 06/04/2021 CALL \$670.00 / CUSIP: / Symbol:							
06/04/21	1.000	0.00	06/01/21	890.00	...	-890.00	Option expiration- long call
LRCX 08/13/2021 CALL \$620.00 / CUSIP: / Symbol:							
07/28/21	1.000	2,424.97	07/27/21	2,240.00	...	184.97	Option sale to close-call <sup>25</sup>
LRCX 09/03/2021 CALL \$600.00 / CUSIP: / Symbol:							
09/02/21	1.000	849.98	08/12/21	1,430.00	...	-580.02	Option sale to close-call <sup>25</sup>
LRCX 11/19/2021 CALL \$610.00 / CUSIP: / Symbol:							
11/12/21	1.000	1,769.98	11/11/21	1,340.00	...	429.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARA 05/21/2021 CALL \$32.00 / CUSIP: / Symbol:							
05/18/21	1.000	2.98	05/07/21	296.00	...	-293.02	Option sale to close-call <sup>25</sup>
NIO 05/28/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/06/21	1.000	314.98	04/16/21	335.00	...	-20.02	Option sale to close-call <sup>25</sup>
NIO 07/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	3.000	119.98	06/17/21	120.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	79.98	06/17/21	80.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/28/21	5.000	199.96	Various	200.00	...	-0.04	Total of 2 transactions
NVDA 08/20/2021 CALL \$210.00 / CUSIP: / Symbol:							
08/03/21	1.000	394.98	08/02/21	388.00	...	6.98	Option sale to close-call <sup>25</sup>
NVDA 12/03/2021 CALL \$302.50 / CUSIP: / Symbol:							
11/17/21	1.000	1,144.98	11/16/21	1,285.00	...	-140.02	Option sale to close-call <sup>25</sup>
NVDA 12/23/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/17/21	1.000	569.98	12/16/21	573.00	...	-3.02	Option sale to close-call <sup>25</sup>
NVDA 12/31/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/15/21	1.000	899.98	12/15/21	830.00	...	69.98	Option sale to close-call <sup>25</sup>
PYPL 06/11/2021 CALL \$272.50 / CUSIP: / Symbol:							
06/11/21	1.000	12.98	06/10/21	44.00	...	-31.02	Option sale to close-call <sup>25</sup>
QQQ 08/06/2021 CALL \$367.00 / CUSIP: / Symbol:							
08/02/21	1.000	220.98	07/30/21	207.00	...	13.98	Option sale to close-call <sup>25</sup>
RH 12/31/2021 CALL \$630.00 / CUSIP: / Symbol:							
12/10/21	1.000	1,029.98	12/09/21	2,740.00	...	-1,710.02	Option sale to close-call <sup>25</sup>
RIOT 07/02/2021 CALL \$40.00 / CUSIP: / Symbol:							
06/29/21	1.000	135.98	06/29/21	191.00	...	-55.02	Option sale to close-call <sup>25</sup>
RKT 06/11/2021 CALL \$20.50 / CUSIP: / Symbol:							
06/09/21	1.000	174.98	06/03/21	162.00	...	12.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROKU 04/16/2021 CALL \$400.00 / CUSIP: / Symbol: 04/15/21	1.000	140.98	04/14/21	560.00	...	-419.02	Option sale to close-call <sup>25</sup>
ROKU 04/30/2021 CALL \$352.50 / CUSIP: / Symbol: 04/29/21	1.000	1,439.98	04/22/21	1,075.00	...	364.98	Option sale to close-call <sup>25</sup>
ROKU 05/07/2021 CALL \$360.00 / CUSIP: / Symbol: 05/06/21	1.000	49.98	04/29/21	1,990.00	...	-1,940.02	Option sale to close-call <sup>25</sup>
ROKU 05/07/2021 CALL \$367.50 / CUSIP: / Symbol: 05/06/21	1.000	33.98	04/29/21	1,900.00	...	-1,866.02	Option sale to close-call <sup>25</sup>
ROKU 05/14/2021 PUT \$285.00 / CUSIP: / Symbol: 05/06/21	1.000	1,714.98	05/06/21	1,520.00	...	194.98	Option sale to close-put <sup>25</sup>
ROKU 05/21/2021 CALL \$285.00 / CUSIP: / Symbol: 05/07/21	1.000	4,699.96	05/06/21	1,515.00	...	3,184.96	Option sale to close-call <sup>25</sup>
ROKU 05/21/2021 CALL \$315.00 / CUSIP: / Symbol: 05/06/21	1.000	944.98	05/05/21	1,745.00	...	-800.02	Option sale to close-call <sup>25</sup>
ROKU 06/11/2021 CALL \$355.00 / CUSIP: / Symbol: 06/11/21	1.000	0.00	06/10/21	89.00	...	-89.00	Option expiration- long call
ROKU 06/25/2021 CALL \$350.00 / CUSIP: / Symbol: 06/17/21	1.000	999.98	06/16/21	545.00	...	454.98	Option sale to close-call <sup>25</sup>
ROKU 06/25/2021 CALL \$415.00 / CUSIP: / Symbol: 06/23/21	1.000	999.98	06/23/21	900.00	...	99.98	Option sale to close-call <sup>25</sup>
ROKU 06/25/2021 CALL \$430.00 / CUSIP: / Symbol: 06/24/21	1.000	559.98	06/24/21	560.00	...	-0.02	Option sale to close-call <sup>25</sup>
ROKU 09/17/2021 CALL \$400.00 / CUSIP: / Symbol: 09/01/21	1.000	269.98	08/31/21	232.00	...	37.98	Option sale to close-call <sup>25</sup>
SNAP 07/23/2021 CALL \$70.00 / CUSIP: / Symbol: 07/23/21	1.000	599.98	07/22/21	58.00	...	541.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNAP 07/23/2021 PUT \$55.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/22/21	45.00	...	-45.00	Option expiration- long put
SNDL 02/26/2021 CALL \$0.50 / CUSIP: / Symbol:							
02/09/21	1.000	114.98	02/02/21	60.00	...	54.98	Option sale to close-call <sup>25</sup>
SPY 01/21/2022 CALL \$450.00 / CUSIP: / Symbol:							
08/16/21	1.000	1,416.98	08/04/21	1,305.00	...	111.98	Option sale to close-call <sup>25</sup>
SPY 07/28/2021 CALL \$439.00 / CUSIP: / Symbol:							
07/28/21	1.000	155.98	07/27/21	143.00	...	12.98	Option sale to close-call <sup>25</sup>
SPY 10/01/2021 CALL \$432.50 / CUSIP: / Symbol:							
09/23/21	1.000	1,199.98	09/20/21	699.00	...	500.98	Option sale to close-call <sup>25</sup>
SQ 07/02/2021 CALL \$250.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	479.99	06/24/21	478.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	1,919.98	06/24/21	1,912.00	...	7.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	5.000	2,399.97	Various	2,390.00	...	9.97	Total of 2 transactions
TSLA 01/28/2022 CALL \$1,100.00 / CUSIP: / Symbol:							
12/27/21	1.000	9,099.94	12/16/21	3,120.00	...	5,979.94	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$740.00 / CUSIP: / Symbol:							
04/23/21	1.000	19.98	04/15/21	2,300.00	...	-2,280.02	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$745.00 / CUSIP: / Symbol:							
04/14/21	1.000	4,729.96	04/13/21	2,668.00	...	2,061.96	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$770.00 / CUSIP: / Symbol:							
04/15/21	1.000	1,239.98	04/14/21	2,400.00	...	-1,160.02	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 PUT \$720.00 / CUSIP: / Symbol:							
04/22/21	1.000	839.98	04/22/21	1,150.00	...	-310.02	Option sale to close-put <sup>25</sup>
TSLA 05/21/2021 CALL \$600.00 / CUSIP: / Symbol:							
05/21/21	1.000	10.98	05/20/21	202.00	...	-191.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 06/25/2021 CALL \$655.00 / CUSIP: / Symbol:							
06/23/21	3.000	2,549.97	06/23/21	2,040.00	...	509.97	Option sale to close-call <sup>25</sup>
TSLA 07/02/2021 CALL \$635.00 / CUSIP: / Symbol:							
06/22/21	1.000	1,469.98	06/21/21	1,370.00	...	99.98	Option sale to close-call <sup>25</sup>
TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/29/21	2.000	1,631.98	06/24/21	3,560.00	...	-1,928.02	Option sale to close-call <sup>25</sup>
TSLA 07/09/2021 CALL \$697.50 / CUSIP: / Symbol:							
07/02/21	1.000	1,209.98	07/02/21	1,780.00	...	-570.02	Option sale to close-call <sup>25</sup>
TSLA 08/06/2021 CALL \$800.00 / CUSIP: / Symbol:							
07/21/21	1.000	234.98	07/20/21	235.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSLA 09/10/2021 CALL \$745.00 / CUSIP: / Symbol:							
09/07/21	1.000	1,561.98	09/01/21	1,235.00	...	326.98	Option sale to close-call <sup>25</sup>
TSLA 12/03/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
11/16/21	1.000	3,314.97	11/15/21	1,870.00	...	1,444.97	Option sale to close-call <sup>25</sup>
TSLA 12/03/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
11/17/21	1.000	2,799.97	11/16/21	2,070.00	...	729.97	Option sale to close-call <sup>25</sup>
TSLA 12/10/2021 CALL \$1,240.00 / CUSIP: / Symbol:							
11/19/21	1.000	2,114.97	11/17/21	2,030.00	...	84.97	Option sale to close-call <sup>25</sup>
TSLA 12/17/2021 CALL \$1,125.00 / CUSIP: / Symbol:							
12/09/21	1.000	1,024.98	12/08/21	2,055.00	...	-1,030.02	Option sale to close-call <sup>25</sup>
TSLA 12/23/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	160.99	12/15/21	2,095.00	...	-1,934.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	160.99	12/20/21	315.00	...	-154.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/20/21	2.000	321.98	Various	2,410.00	...	-2,088.02	Total of 2 transactions
TTD 09/24/2021 CALL \$77.00 / CUSIP: / Symbol:							
09/13/21	3.000	113.98	09/10/21	375.00	...	-261.02	Option sale to close-call <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TWTR 05/21/2021 CALL \$53.50 / CUSIP: / Symbol:							
05/20/21	1.000	136.98	05/06/21	176.00	...	-39.02	Option sale to close-call <sup>25</sup>
TWTR 06/04/2021 CALL \$57.00 / CUSIP: / Symbol:							
06/03/21	1.000	164.98	05/24/21	130.00	...	34.98	Option sale to close-call <sup>25</sup>
UBER 06/04/2021 CALL \$47.50 / CUSIP: / Symbol:							
05/14/21	1.000	201.98	05/07/21	202.00	...	-0.02	Option sale to close-call <sup>25</sup>
USO 01/07/2022 CALL \$50.00 / CUSIP: / Symbol:							
12/03/21	1.000	206.98	12/02/21	204.00	...	2.98	Option sale to close-call <sup>25</sup>
VIAC 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
05/12/21	1.000	36.98	05/07/21	34.00	...	2.98	Option sale to close-call <sup>25</sup>
VXX 11/05/2021 CALL \$21.00 / CUSIP: / Symbol:							
3 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	2.000	61.99	11/02/21	126.01	...	-64.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	61.99	11/02/21	126.01	...	-64.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.99	11/02/21	63.01	...	-32.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	154.97	Various	315.03	...	-160.06	Total of 3 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	4.000	123.98	11/02/21	188.00	64.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	30.99	11/02/21	47.00	16.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/03/21	5.000	154.97	Various	235.00	80.03 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>309.94</b>		<b>550.03</b>	<b>80.03 W</b>	<b>-160.06</b>	
Z 11/05/2021 PUT \$85.00 / CUSIP: / Symbol:							
11/03/21	1.000	1,139.98	11/02/21	395.00	...	744.98	Option sale to close-put <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
06/16/21	4.000	218.24	06/02/21	146.32	...	71.92	Sale <sup>25</sup>
2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	0.164	8.97	06/15/21	9.65	0.68 W	0.00	1 of 2 - Sale <sup>25</sup>
	84.836	4,628.59	06/15/21	4,982.39	353.80 W	0.00	2 of 2 - Sale <sup>25</sup>
06/16/21	85.000	4,637.56	Various	4,992.04	354.48 W	0.00	Total of 2 transactions

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<i>9 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	2.010	119.41	06/16/21	112.38	...	7.03	1 of 9 - Sale <sup>25</sup>
	0.029	1.68	06/22/21	1.65	...	0.03	2 of 9 - Sale <sup>25</sup>
	0.046	2.71	06/22/21	2.68	...	0.03	3 of 9 - Sale <sup>25</sup>
	0.565	33.02	06/22/21	32.55	...	0.47	4 of 9 - Sale <sup>25</sup>
	0.350	20.45	06/22/21	19.93	...	0.52	5 of 9 - Sale <sup>25</sup>
	1.000	58.38	06/22/21	56.44	...	1.94	6 of 9 - Sale <sup>25</sup>
	34.818	2,032.83	06/22/21	2,004.65	...	28.18	7 of 9 - Sale <sup>25</sup>
	49.406	2,884.54	06/22/21	2,844.56	...	39.98	8 of 9 - Sale <sup>25</sup>
	45.611	2,688.84	06/22/21	2,574.12	...	114.72	9 of 9 - Sale <sup>25</sup>
06/23/21	133.835	7,841.86	Various	7,648.96	...	192.90	Total of 9 transactions
<i>20 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.136	8.06	06/15/21	8.52	0.46 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.029	1.71	06/16/21	1.73	0.02 W	0.00	2 of 20 - Sale <sup>25</sup>
	49.971	2,969.26	06/16/21	3,002.79	33.53 W	0.00	3 of 20 - Sale <sup>25</sup>
	0.046	2.76	06/16/21	2.79	0.03 W	0.00	4 of 20 - Sale <sup>25</sup>
	34.818	2,068.87	06/16/21	2,092.23	23.36 W	0.00	5 of 20 - Sale <sup>25</sup>
	15.897	944.59	06/17/21	1,000.00	55.41 W	0.00	6 of 20 - Sale <sup>25</sup>
	46.611	2,769.60	06/21/21	2,886.44	116.84 W	0.00	7 of 20 - Sale <sup>25</sup>
	0.029	1.70	06/21/21	1.80	0.10 W	0.00	8 of 20 - Sale <sup>25</sup>
	0.046	2.74	06/21/21	2.91	0.17 W	0.00	9 of 20 - Sale <sup>25</sup>
	34.818	2,052.58	06/21/21	2,179.50	126.92 W	0.00	10 of 20 - Sale <sup>25</sup>
	49.971	2,945.89	06/21/21	3,128.06	182.17 W	0.00	11 of 20 - Sale <sup>25</sup>
	15.897	937.16	06/21/21	1,039.85	102.69 W	0.00	12 of 20 - Sale <sup>25</sup>
	0.483	28.44	06/21/21	31.09	2.65 W	0.00	13 of 20 - Sale <sup>25</sup>
	13.010	766.94	06/21/21	805.63	38.69 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.483	28.67	06/21/21	29.88	1.21 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.136	7.99	06/21/21	8.86	0.87 W	0.00	16 of 20 - Sale <sup>25</sup>
	0.136	7.91	06/22/21	8.18	0.27 W	0.00	17 of 20 - Sale <sup>25</sup>
	15.730	918.38	06/22/21	949.92	31.54 W	0.00	18 of 20 - Sale <sup>25</sup>
	0.167	9.76	06/22/21	10.10	0.34 W	0.00	19 of 20 - Sale <sup>25</sup>
	0.483	28.17	06/22/21	28.67	0.50 W	0.00	20 of 20 - Sale <sup>25</sup>
06/23/21	278.895	16,501.18	Various	17,218.95	717.77 W	0.00	Total of 20 transactions

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
8 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	0.058	3.33	06/28/21	3.33	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.009	0.50	06/28/21	0.50	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.078	4.46	06/28/21	4.45	...	0.01	3 of 8 - Sale <sup>25</sup>
	0.167	9.61	06/28/21	9.60	...	0.01	4 of 8 - Sale <sup>25</sup>
	0.483	27.73	06/28/21	27.22	...	0.51	5 of 8 - Sale <sup>25</sup>
	15.730	904.02	06/28/21	902.70	...	1.32	6 of 8 - Sale <sup>25</sup>
	174.969	10,055.79	06/28/21	9,999.50	...	56.29	7 of 8 - Sale <sup>25</sup>
	133.332	7,662.79	06/28/21	7,384.25	...	278.54	8 of 8 - Sale <sup>25</sup>
06/28/21	324.825	18,668.23	Various	18,331.55	...	336.68	Total of 8 transactions
4 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	12.659	727.55	06/28/21	738.75	11.20 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.397	22.83	06/28/21	22.86	0.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.204	11.75	06/28/21	11.77	0.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	120.992	6,953.60	06/28/21	6,965.37	11.77 W	0.00	4 of 4 - Sale <sup>25</sup>
06/28/21	134.253	7,715.73	Various	7,738.75	23.02 W	0.00	Total of 4 transactions
06/29/21	0.056	3.29	06/29/21	3.38	...	-0.09	Sale <sup>25</sup>
10 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	420.159	24,800.48	06/29/21	24,994.44	151.48 W	-42.48	1 of 10 - Sale <sup>25</sup>
	0.107	6.35	06/29/21	6.49	0.14 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.159	9.37	06/29/21	9.57	0.20 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.468	27.63	06/29/21	28.24	0.61 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.107	6.34	06/29/21	6.53	0.19 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.159	9.37	06/29/21	9.64	0.27 W	0.00	6 of 10 - Sale <sup>25</sup>
	11.925	703.91	06/29/21	719.96	16.05 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.204	12.07	06/29/21	12.18	0.11 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.397	23.44	06/29/21	23.66	0.22 W	0.00	9 of 10 - Sale <sup>25</sup>
	120.992	7,141.71	06/29/21	7,209.34	67.63 W	0.00	10 of 10 - Sale <sup>25</sup>
06/29/21	554.678	32,740.67	Various	33,020.05	236.90 W	-42.48	Total of 10 transactions
19 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	235.868	11,848.04	07/02/21	12,079.06	...	-231.02	1 of 19 - Sale <sup>25</sup>
	100.000	5,022.95	07/02/21	5,130.89	...	-107.94	2 of 19 - Sale <sup>25</sup>
	83.141	4,176.20	07/02/21	4,257.72	...	-81.52	3 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	11.091	557.09	07/02/21	577.78	...	-20.69	4 of 19 - Sale <sup>25</sup>
	9.091	456.64	07/02/21	466.46	...	-9.82	5 of 19 - Sale <sup>25</sup>
	9.000	452.08	07/02/21	460.45	...	-8.37	6 of 19 - Sale <sup>25</sup>
	7.246	363.95	07/02/21	371.77	...	-7.82	7 of 19 - Sale <sup>25</sup>
	2.655	133.36	07/02/21	136.22	...	-2.86	8 of 19 - Sale <sup>25</sup>
	2.000	100.46	07/02/21	102.62	...	-2.16	9 of 19 - Sale <sup>25</sup>
	0.834	41.92	07/02/21	43.47	...	-1.55	10 of 19 - Sale <sup>25</sup>
	0.247	12.39	07/02/21	12.83	...	-0.44	11 of 19 - Sale <sup>25</sup>
	0.397	19.95	07/02/21	20.38	...	-0.43	12 of 19 - Sale <sup>25</sup>
	0.159	7.97	07/02/21	8.32	...	-0.35	13 of 19 - Sale <sup>25</sup>
	0.107	5.40	07/02/21	5.65	...	-0.25	14 of 19 - Sale <sup>25</sup>
	0.204	10.27	07/02/21	10.49	...	-0.22	15 of 19 - Sale <sup>25</sup>
	0.091	4.58	07/02/21	4.75	...	-0.17	16 of 19 - Sale <sup>25</sup>
	0.074	3.74	07/02/21	3.87	...	-0.13	17 of 19 - Sale <sup>25</sup>
	0.129	6.50	07/02/21	6.62	...	-0.12	18 of 19 - Sale <sup>25</sup>
	0.003	0.14	07/02/21	0.14	...	0.00	19 of 19 - Sale <sup>25</sup>
07/02/21	462.338	23,223.63	Various	23,699.49	...	-475.86	Total of 19 transactions
	<b>Security total:</b>	<b>111,550.39</b>		<b>112,799.49</b>	<b>1,332.17 W</b>	<b>83.07</b>	
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
06/16/21	2.000	228.30	05/10/21	213.68	...	14.62	Sale <sup>25</sup>
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
11/11/21	10.000	1,555.99	11/11/21	1,543.45	...	12.54	Sale <sup>25</sup>
APPLIED GENETIC TECHNOLOGIES C ORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol:							
02/16/21	160.000	1,247.95	02/11/21	936.00	...	311.95	Sale <sup>25</sup>
	5 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	83.526	413.53	02/18/21	618.01	...	-204.48	1 of 5 - Sale <sup>25</sup>
	155.000	767.40	03/18/21	942.40	...	-175.00	2 of 5 - Sale <sup>25</sup>
	8.865	43.89	03/18/21	53.90	...	-10.01	3 of 5 - Sale <sup>25</sup>
	0.269	1.33	03/18/21	2.30	...	-0.97	4 of 5 - Sale <sup>25</sup>
	0.340	1.68	03/18/21	2.06	...	-0.38	5 of 5 - Sale <sup>25</sup>
03/31/21	248.000	1,227.83	Various	1,618.67	...	-390.84	Total of 5 transactions
03/31/21	0.269	1.33	02/18/21	1.99	0.66 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLIED GENETIC TECHNOLOGIES CORPORATION COMMON STOCK / CUSIP: 03820J100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,477.11</b>		<b>2,556.66</b>	<b>0.66 W</b>	<b>-78.89</b>	
ARTELO BIOSCIENCES, INC. COMMON STOCK / CUSIP: 04301G201 / Symbol:							
6 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	1,000.000	999.87	11/01/21	1,090.00	...	-90.13	1 of 6 - Sale <sup>25</sup>
	719.000	718.90	11/01/21	783.71	...	-64.81	2 of 6 - Sale <sup>25</sup>
	100.000	99.99	11/01/21	109.00	...	-9.01	3 of 6 - Sale <sup>25</sup>
	100.000	99.99	11/01/21	109.00	...	-9.01	4 of 6 - Sale <sup>25</sup>
	41.000	41.00	11/01/21	44.69	...	-3.69	5 of 6 - Sale <sup>25</sup>
	40.000	40.00	11/01/21	43.60	...	-3.60	6 of 6 - Sale <sup>25</sup>
11/02/21	2,000.000	1,999.75	Various	2,180.00	...	-180.25	Total of 6 transactions
ATOSSA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 04962H506 / Symbol:							
4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	40.000	99.99	01/21/21	67.60	...	32.39	1 of 4 - Sale <sup>25</sup>
	60.000	149.99	01/21/21	98.37	...	51.62	2 of 4 - Sale <sup>25</sup>
	100.000	249.98	01/21/21	163.50	...	86.48	3 of 4 - Sale <sup>25</sup>
	200.000	499.96	01/21/21	348.00	...	151.96	4 of 4 - Sale <sup>25</sup>
01/25/21	400.000	999.92	Various	677.47	...	322.45	Total of 4 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	400.000	1,596.16	01/25/21	956.00	...	640.16	1 of 2 - Sale <sup>25</sup>
	220.000	877.88	01/27/21	477.40	...	400.48	2 of 2 - Sale <sup>25</sup>
02/05/21	620.000	2,474.04	Various	1,433.40	...	1,040.64	Total of 2 transactions
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	40.000	142.83	02/10/21	140.80	...	2.03	1 of 4 - Sale <sup>25</sup>
	200.000	714.15	02/10/21	711.88	...	2.27	2 of 4 - Sale <sup>25</sup>
	50.000	178.54	02/10/21	175.89	...	2.65	3 of 4 - Sale <sup>25</sup>
	100.000	357.08	02/10/21	353.94	...	3.14	4 of 4 - Sale <sup>25</sup>
02/16/21	390.000	1,392.60	Various	1,382.51	...	10.09	Total of 4 transactions
7 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	100.000	724.98	06/23/21	690.00	...	34.98	1 of 7 - Sale <sup>25</sup>
	900.000	6,526.92	06/23/21	6,210.00	...	316.92	2 of 7 - Sale <sup>25</sup>
	0.641	5.21	06/24/21	5.20	...	0.01	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
	0.414	3.30	06/24/21	3.28	...	0.02	4 of 7 - Sale <sup>25</sup>
	100.000	812.05	06/24/21	812.00	...	0.05	5 of 7 - Sale <sup>25</sup>
	390.359	3,169.93	06/24/21	3,169.72	...	0.21	6 of 7 - Sale <sup>25</sup>
	504.000	4,017.29	06/24/21	3,996.72	...	20.57	7 of 7 - Sale <sup>25</sup>
06/24/21	1,995.414	15,259.68	Various	14,886.92	...	372.76	Total of 7 transactions
	22 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	200.000	1,624.11	06/24/21	1,626.00	1.89 W	0.00	1 of 22 - Sale <sup>25</sup>
	100.000	812.05	06/24/21	813.00	0.95 W	0.00	2 of 22 - Sale <sup>25</sup>
	300.000	2,436.16	06/24/21	2,439.00	2.84 W	0.00	3 of 22 - Sale <sup>25</sup>
	1,601.000	13,000.98	06/24/21	13,016.13	15.15 W	0.00	4 of 22 - Sale <sup>25</sup>
	80.000	649.64	06/24/21	650.40	0.76 W	0.00	5 of 22 - Sale <sup>25</sup>
	100.000	812.05	06/24/21	813.00	0.95 W	0.00	6 of 22 - Sale <sup>25</sup>
	111.000	901.38	06/24/21	902.43	1.05 W	0.00	7 of 22 - Sale <sup>25</sup>
	89.000	722.73	06/24/21	723.57	0.84 W	0.00	8 of 22 - Sale <sup>25</sup>
	513.000	4,165.84	06/24/21	4,170.69	4.85 W	0.00	9 of 22 - Sale <sup>25</sup>
	105.641	857.86	06/24/21	858.86	1.00 W	0.00	10 of 22 - Sale <sup>25</sup>
	0.372	3.04	06/24/21	3.11	0.07 W	0.00	11 of 22 - Sale <sup>25</sup>
	80.000	655.19	06/24/21	670.28	15.09 W	0.00	12 of 22 - Sale <sup>25</sup>
	89.000	728.90	06/24/21	745.68	16.78 W	0.00	13 of 22 - Sale <sup>25</sup>
	100.000	818.98	06/24/21	837.85	18.87 W	0.00	14 of 22 - Sale <sup>25</sup>
	100.000	818.98	06/24/21	837.85	18.87 W	0.00	15 of 22 - Sale <sup>25</sup>
	105.641	865.18	06/24/21	885.11	19.93 W	0.00	16 of 22 - Sale <sup>25</sup>
	111.000	909.07	06/24/21	930.01	20.94 W	0.00	17 of 22 - Sale <sup>25</sup>
	199.256	1,631.88	06/24/21	1,669.47	37.59 W	0.00	18 of 22 - Sale <sup>25</sup>
	300.000	2,456.95	06/24/21	2,513.54	56.59 W	0.00	19 of 22 - Sale <sup>25</sup>
	513.000	4,201.39	06/24/21	4,298.14	96.75 W	0.00	20 of 22 - Sale <sup>25</sup>
	1,601.000	13,111.94	06/24/21	13,413.92	301.98 W	0.00	21 of 22 - Sale <sup>25</sup>
	385.389	3,156.27	06/24/21	3,225.32	69.05 W	0.00	22 of 22 - Sale <sup>25</sup>
06/24/21	6,784.299	55,340.57	Various	56,043.36	702.79 W	0.00	Total of 22 transactions
	12 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	0.372	2.39	07/01/21	2.47	0.08 W	0.00	1 of 12 - Sale <sup>25</sup>
	80.000	515.19	07/01/21	531.81	16.62 W	0.00	2 of 12 - Sale <sup>25</sup>
	89.000	573.15	07/01/21	591.63	18.48 W	0.00	3 of 12 - Sale <sup>25</sup>
	100.000	643.98	07/01/21	664.77	20.79 W	0.00	4 of 12 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
	100.000	643.98	07/01/21	664.77	20.79 W	0.00	5 of 12 - Sale <sup>25</sup>
	111.000	714.82	07/01/21	737.89	23.07 W	0.00	6 of 12 - Sale <sup>25</sup>
	199.256	1,283.18	07/01/21	1,324.59	41.41 W	0.00	7 of 12 - Sale <sup>25</sup>
	300.000	1,931.95	07/01/21	1,994.29	62.34 W	0.00	8 of 12 - Sale <sup>25</sup>
	319.372	2,056.71	07/01/21	2,123.05	66.34 W	0.00	9 of 12 - Sale <sup>25</sup>
	1,601.000	10,310.20	07/01/21	10,642.84	332.64 W	0.00	10 of 12 - Sale <sup>25</sup>
	2.826	18.20	07/01/21	18.79	0.59 W	0.00	11 of 12 - Sale <sup>25</sup>
	193.628	1,246.94	07/01/21	1,287.16	40.22 W	0.00	12 of 12 - Sale <sup>25</sup>
07/01/21	3,096.455	19,940.69	Various	20,584.06	643.37 W	0.00	Total of 12 transactions
	43 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	0.188	1.04	07/02/21	1.09	0.05 W	0.00	1 of 43 - Sale <sup>25</sup>
	0.088	0.49	07/02/21	0.51	0.02 W	0.00	2 of 43 - Sale <sup>25</sup>
	34.462	190.22	07/02/21	198.47	8.25 W	0.00	3 of 43 - Sale <sup>25</sup>
	102.538	566.00	07/02/21	591.50	25.50 W	0.00	4 of 43 - Sale <sup>25</sup>
	2.000	11.04	07/02/21	11.52	0.48 W	0.00	5 of 43 - Sale <sup>25</sup>
	100.000	551.99	07/02/21	575.92	23.93 W	0.00	6 of 43 - Sale <sup>25</sup>
	0.088	0.48	07/02/21	0.51	0.03 W	0.00	7 of 43 - Sale <sup>25</sup>
	0.188	1.04	07/02/21	1.10	0.06 W	0.00	8 of 43 - Sale <sup>25</sup>
	0.372	2.05	07/02/21	2.16	0.11 W	0.00	9 of 43 - Sale <sup>25</sup>
	2.826	15.60	07/02/21	16.39	0.79 W	0.00	10 of 43 - Sale <sup>25</sup>
	80.000	441.59	07/02/21	463.82	22.23 W	0.00	11 of 43 - Sale <sup>25</sup>
	89.000	491.27	07/02/21	515.99	24.72 W	0.00	12 of 43 - Sale <sup>25</sup>
	100.000	551.99	07/02/21	579.79	27.80 W	0.00	13 of 43 - Sale <sup>25</sup>
	100.000	551.99	07/02/21	579.79	27.80 W	0.00	14 of 43 - Sale <sup>25</sup>
	111.000	612.70	07/02/21	643.56	30.86 W	0.00	15 of 43 - Sale <sup>25</sup>
	193.628	1,068.80	07/02/21	1,122.60	53.80 W	0.00	16 of 43 - Sale <sup>25</sup>
	199.256	1,099.87	07/02/21	1,155.25	55.38 W	0.00	17 of 43 - Sale <sup>25</sup>
	248.927	1,374.04	07/02/21	1,436.10	62.06 W	0.00	18 of 43 - Sale <sup>25</sup>
	300.000	1,655.95	07/02/21	1,739.34	83.39 W	0.00	19 of 43 - Sale <sup>25</sup>
	319.372	1,762.88	07/02/21	1,851.63	88.75 W	0.00	20 of 43 - Sale <sup>25</sup>
	1,601.000	8,837.28	07/02/21	9,282.23	444.95 W	0.00	21 of 43 - Sale <sup>25</sup>
	630.342	3,479.40	07/02/21	3,523.62	44.22 W	0.00	22 of 43 - Sale <sup>25</sup>
	0.372	2.08	07/02/21	2.20	0.12 W	0.00	23 of 43 - Sale <sup>25</sup>
	2.000	11.18	07/02/21	11.74	0.56 W	0.00	24 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
	34.462	192.64	07/02/21	202.25	9.61 W	0.00	25 of 43 - Sale <sup>25</sup>
	36.210	202.41	07/02/21	213.90	11.49 W	0.00	26 of 43 - Sale <sup>25</sup>
	80.000	447.19	07/02/21	472.58	25.39 W	0.00	27 of 43 - Sale <sup>25</sup>
	100.000	558.99	07/02/21	586.86	27.87 W	0.00	28 of 43 - Sale <sup>25</sup>
	100.000	558.98	07/02/21	590.73	31.75 W	0.00	29 of 43 - Sale <sup>25</sup>
	100.000	558.99	07/02/21	590.73	31.74 W	0.00	30 of 43 - Sale <sup>25</sup>
	102.538	573.17	07/02/21	602.72	29.55 W	0.00	31 of 43 - Sale <sup>25</sup>
	111.000	620.47	07/02/21	655.71	35.24 W	0.00	32 of 43 - Sale <sup>25</sup>
	199.256	1,113.81	07/02/21	1,177.05	63.24 W	0.00	33 of 43 - Sale <sup>25</sup>
	248.927	1,391.47	07/02/21	1,463.34	71.87 W	0.00	34 of 43 - Sale <sup>25</sup>
	300.000	1,676.95	07/02/21	1,772.18	95.23 W	0.00	35 of 43 - Sale <sup>25</sup>
	1,601.000	8,949.35	07/02/21	9,457.46	508.11 W	0.00	36 of 43 - Sale <sup>25</sup>
	0.088	0.49	07/02/21	0.52	0.03 W	0.00	37 of 43 - Sale <sup>25</sup>
	0.188	1.05	07/02/21	1.12	0.07 W	0.00	38 of 43 - Sale <sup>25</sup>
	2.826	15.80	07/02/21	16.70	0.90 W	0.00	39 of 43 - Sale <sup>25</sup>
	52.790	295.09	07/02/21	311.83	16.74 W	0.00	40 of 43 - Sale <sup>25</sup>
	193.628	1,082.35	07/02/21	1,143.79	61.44 W	0.00	41 of 43 - Sale <sup>25</sup>
	319.372	1,785.24	07/02/21	1,886.59	101.35 W	0.00	42 of 43 - Sale <sup>25</sup>
	501.108	2,801.12	07/02/21	2,856.04	54.92 W	0.00	43 of 43 - Sale <sup>25</sup>
07/02/21	8,301.042	46,106.53	Various	48,308.93	2,202.40 W	0.00	Total of 43 transactions
10 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	0.372	2.39	07/12/21	2.14	...	0.25	1 of 10 - Sale <sup>25</sup>
	2.000	12.84	07/12/21	11.44	...	1.40	2 of 10 - Sale <sup>25</sup>
	34.462	221.24	07/12/21	197.08	...	24.16	3 of 10 - Sale <sup>25</sup>
	83.210	534.20	07/12/21	479.07	...	55.13	4 of 10 - Sale <sup>25</sup>
	100.000	641.98	07/12/21	575.75	...	66.23	5 of 10 - Sale <sup>25</sup>
	100.000	641.98	07/12/21	571.87	...	70.11	6 of 10 - Sale <sup>25</sup>
	102.538	658.28	07/12/21	587.36	...	70.92	7 of 10 - Sale <sup>25</sup>
	129.234	829.66	07/12/21	712.10	...	117.56	8 of 10 - Sale <sup>25</sup>
	199.256	1,279.20	07/12/21	1,147.20	...	132.00	9 of 10 - Sale <sup>25</sup>
	248.927	1,598.07	07/12/21	1,426.04	...	172.03	10 of 10 - Sale <sup>25</sup>
07/14/21	1,000.000	6,419.84	Various	5,710.05	...	709.79	Total of 10 transactions

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	783.210	3,179.72	07/19/21	3,389.24	152.00 W	-57.52	1 of 2 - Sale <sup>25</sup>
	216.790	880.13	07/19/21	938.15	58.02 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/21	1,000.000	4,059.85	Various	4,327.39	210.02 W	-57.52	Total of 2 transactions
13 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	817.790	2,714.95	07/23/21	3,436.65	...	-721.70	1 of 13 - Sale <sup>25</sup>
	100.000	331.99	07/23/21	420.24	...	-88.25	2 of 13 - Sale <sup>25</sup>
	80.000	265.59	07/23/21	336.19	...	-70.60	3 of 13 - Sale <sup>25</sup>
	2.210	7.34	07/23/21	9.29	...	-1.95	4 of 13 - Sale <sup>25</sup>
	319.372	1,060.27	07/27/21	1,320.74	...	-260.47	5 of 13 - Sale <sup>25</sup>
	286.108	949.83	07/27/21	1,123.75	...	-173.92	6 of 13 - Sale <sup>25</sup>
	193.628	642.82	07/27/21	800.73	...	-157.91	7 of 13 - Sale <sup>25</sup>
	108.790	361.17	07/27/21	449.91	...	-88.74	8 of 13 - Sale <sup>25</sup>
	52.790	175.25	07/27/21	218.30	...	-43.05	9 of 13 - Sale <sup>25</sup>
	36.210	120.21	07/27/21	149.74	...	-29.53	10 of 13 - Sale <sup>25</sup>
	2.826	9.38	07/27/21	11.69	...	-2.31	11 of 13 - Sale <sup>25</sup>
	0.188	0.63	07/27/21	0.79	...	-0.16	12 of 13 - Sale <sup>25</sup>
	0.088	0.29	07/27/21	0.37	...	-0.08	13 of 13 - Sale <sup>25</sup>
07/29/21	2,000.000	6,639.72	Various	8,278.39	...	-1,638.67	Total of 13 transactions
5 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	568.210	1,796.60	07/29/21	2,248.70	...	-452.10	1 of 5 - Sale <sup>25</sup>
	211.790	669.65	07/29/21	838.18	...	-168.53	2 of 5 - Sale <sup>25</sup>
	176.000	556.49	07/29/21	665.21	...	-108.72	3 of 5 - Sale <sup>25</sup>
	39.000	123.31	07/29/21	147.79	...	-24.48	4 of 5 - Sale <sup>25</sup>
	5.000	15.81	07/29/21	19.74	...	-3.93	5 of 5 - Sale <sup>25</sup>
07/30/21	1,000.000	3,161.86	Various	3,919.62	...	-757.76	Total of 5 transactions
	<b>Security total:</b>	<b>161,795.30</b>		<b>165,552.10</b>	<b>3,758.58 W</b>	<b>1.78</b>	

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAKKT HOLDINGS, INC. / CUSIP: 05759B107 / Symbol:							
12/01/21	1,000.000	14,199.80	12/01/21	14,368.80	169.00 W	0.00	Sale <sup>25</sup>
12/02/21	1,000.000	13,389.81	12/01/21	14,479.00	1,089.19 W	0.00	Sale <sup>25</sup>
12/03/21	1,000.000	12,824.21	12/03/21	15,192.98	...	-2,368.77	Sale <sup>25</sup>
12/03/21	1,000.000	12,896.21	12/02/21	14,969.19	2,072.98 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>53,310.03</b>		<b>59,009.97</b>	<b>3,331.17 W</b>	<b>-2,368.77</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/14/21	10.000	72.45	01/05/21	48.50	...	23.95	Sale <sup>25</sup>
06/23/21	1,000.000	7,221.14	06/22/21	7,145.00	...	76.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,293.59</b>		<b>7,193.50</b>	...	<b>100.09</b>	
BIRD GLOBAL, INC. / CUSIP: 09077J107 / Symbol:							
9 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	200.000	1,599.97	11/03/21	1,830.03	...	-230.06	1 of 9 - Sale <sup>25</sup>
	100.000	799.98	11/03/21	915.02	...	-115.04	2 of 9 - Sale <sup>25</sup>
	100.000	799.98	11/03/21	915.02	...	-115.04	3 of 9 - Sale <sup>25</sup>
	45.000	360.00	11/03/21	411.76	...	-51.76	4 of 9 - Sale <sup>25</sup>
	24.000	192.00	11/03/21	219.60	...	-27.60	5 of 9 - Sale <sup>25</sup>
	20.000	160.00	11/03/21	183.00	...	-23.00	6 of 9 - Sale <sup>25</sup>
	8.000	64.00	11/03/21	73.20	...	-9.20	7 of 9 - Sale <sup>25</sup>
	2.000	16.00	11/03/21	18.30	...	-2.30	8 of 9 - Sale <sup>25</sup>
	1.000	8.00	11/03/21	9.15	...	-1.15	9 of 9 - Sale <sup>25</sup>
11/05/21	500.000	3,999.93	Various	4,575.08	...	-575.15	Total of 9 transactions
10 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	100.000	799.98	11/03/21	980.83	180.85 W	0.00	1 of 10 - Sale <sup>25</sup>
	61.000	487.99	11/03/21	598.31	110.32 W	0.00	2 of 10 - Sale <sup>25</sup>
	39.000	311.99	11/03/21	382.53	70.54 W	0.00	3 of 10 - Sale <sup>25</sup>
	39.000	311.99	11/03/21	382.53	70.54 W	0.00	4 of 10 - Sale <sup>25</sup>
	61.000	487.99	11/03/21	598.31	110.32 W	0.00	5 of 10 - Sale <sup>25</sup>
	15.000	120.00	11/03/21	147.13	27.13 W	0.00	6 of 10 - Sale <sup>25</sup>
	8.000	64.00	11/03/21	78.47	14.47 W	0.00	7 of 10 - Sale <sup>25</sup>
	25.000	200.00	11/03/21	245.21	45.21 W	0.00	8 of 10 - Sale <sup>25</sup>
	16.000	128.00	11/03/21	156.93	28.93 W	0.00	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIRD GLOBAL, INC. / CUSIP: 09077J107 / Symbol: (cont'd)							
11/05/21	135.000	1,079.97	11/03/21	1,324.13	244.16 W	0.00	10 of 10 - Sale <sup>25</sup>
	499.000	3,991.91	Various	4,894.38	902.47 W	0.00	Total of 10 transactions
	18 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	100.000	828.98	11/05/21	996.97	...	-167.99	1 of 18 - Sale <sup>25</sup>
	99.000	820.69	11/05/21	987.01	...	-166.32	2 of 18 - Sale <sup>25</sup>
	61.000	505.68	11/05/21	608.15	...	-102.47	3 of 18 - Sale <sup>25</sup>
	61.000	505.68	11/05/21	608.15	...	-102.47	4 of 18 - Sale <sup>25</sup>
	39.000	323.30	11/05/21	388.83	...	-65.53	5 of 18 - Sale <sup>25</sup>
	39.000	323.30	11/05/21	388.83	...	-65.53	6 of 18 - Sale <sup>25</sup>
	36.000	298.43	11/05/21	358.91	...	-60.48	7 of 18 - Sale <sup>25</sup>
	25.000	207.24	11/05/21	249.24	...	-42.00	8 of 18 - Sale <sup>25</sup>
	16.000	132.64	11/05/21	159.51	...	-26.87	9 of 18 - Sale <sup>25</sup>
	15.000	124.35	11/05/21	149.55	...	-25.20	10 of 18 - Sale <sup>25</sup>
	8.000	66.32	11/05/21	79.76	...	-13.44	11 of 18 - Sale <sup>25</sup>
	100.000	828.98	11/05/21	836.64	...	-7.66	12 of 18 - Sale <sup>25</sup>
	100.000	828.98	11/05/21	836.64	...	-7.66	13 of 18 - Sale <sup>25</sup>
	100.000	828.98	11/05/21	836.63	...	-7.65	14 of 18 - Sale <sup>25</sup>
	100.000	828.98	11/05/21	836.63	...	-7.65	15 of 18 - Sale <sup>25</sup>
	80.000	663.18	11/05/21	669.31	...	-6.13	16 of 18 - Sale <sup>25</sup>
	20.000	165.80	11/05/21	167.33	...	-1.53	17 of 18 - Sale <sup>25</sup>
	1.000	8.29	11/05/21	8.76	...	-0.47	18 of 18 - Sale <sup>25</sup>
11/08/21	1,000.000	8,289.80	Various	9,166.85	...	-877.05	Total of 18 transactions
	<b>Security total:</b>	<b>16,281.64</b>		<b>18,636.31</b>	<b>902.47 W</b>	<b>-1,452.20</b>	
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
02/08/21	150.000	205.65	01/15/21	123.72	...	81.93	Sale <sup>25</sup>
CF ACQUISITION CORP. VI CLASS A COMMON STOCK / CUSIP: 12521J103 / Symbol:							
	2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.						
	1,000.000	11,119.82	12/03/21	11,209.90	...	-90.08	1 of 2 - Sale <sup>25</sup>
	1,000.000	11,119.82	12/13/21	12,270.00	...	-1,150.18	2 of 2 - Sale <sup>25</sup>
12/14/21	2,000.000	22,239.64	Various	23,479.90	...	-1,240.26	Total of 2 transactions

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/29/21	2,000.000	9,459.71	09/10/21	2,858.40	...	6,601.31	Sale <sup>25</sup>
		3 transactions for 10/05/21. Total proceeds and cost reported to the IRS.					
	1,000.000	2,609.86	09/20/21	1,639.10	...	970.76	1 of 3 - Sale <sup>25</sup>
	1,000.000	2,609.86	09/20/21	1,476.20	...	1,133.66	2 of 3 - Sale <sup>25</sup>
	1,000.000	2,609.87	09/20/21	1,475.40	...	1,134.47	3 of 3 - Sale <sup>25</sup>
10/05/21	3,000.000	7,829.59	Various	4,590.70	...	3,238.89	Total of 3 transactions
	2 transactions for 10/08/21. Total proceeds and cost reported to the IRS.						
	500.000	850.58	10/06/21	620.00	...	230.58	1 of 2 - Sale <sup>25</sup>
	1,000.000	1,701.17	10/06/21	1,205.00	...	496.17	2 of 2 - Sale <sup>25</sup>
10/08/21	1,500.000	2,551.75	Various	1,825.00	...	726.75	Total of 2 transactions
	5 transactions for 10/20/21. Total proceeds and cost reported to the IRS.						
	500.000	865.89	10/20/21	895.00	29.11 W	0.00	1 of 5 - Sale <sup>25</sup>
	76.000	131.61	10/20/21	136.04	4.43 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	173.18	10/20/21	179.00	5.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	800.000	1,385.41	10/20/21	1,432.00	46.59 W	0.00	4 of 5 - Sale <sup>25</sup>
	524.000	907.45	10/20/21	937.96	30.51 W	0.00	5 of 5 - Sale <sup>25</sup>
10/20/21	2,000.000	3,463.54	Various	3,580.00	116.46 W	0.00	Total of 5 transactions
	9 transactions for 11/19/21. Total proceeds and cost reported to the IRS.						
	76.000	92.76	11/17/21	106.35	13.59 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	122.06	11/17/21	139.93	17.87 W	0.00	2 of 9 - Sale <sup>25</sup>
	500.000	610.29	11/17/21	699.66	89.37 W	0.00	3 of 9 - Sale <sup>25</sup>
	524.000	639.58	11/17/21	733.25	93.67 W	0.00	4 of 9 - Sale <sup>25</sup>
	800.000	976.46	11/17/21	1,119.47	143.01 W	0.00	5 of 9 - Sale <sup>25</sup>
	1,000.000	1,220.57	11/17/21	1,339.30	118.73 W	0.00	6 of 9 - Sale <sup>25</sup>
	1,000.000	1,220.57	11/17/21	1,287.80	67.23 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	122.06	11/18/21	124.00	1.94 W	0.00	8 of 9 - Sale <sup>25</sup>
	900.000	1,098.51	11/18/21	1,116.00	17.49 W	0.00	9 of 9 - Sale <sup>25</sup>
11/19/21	5,000.000	6,102.86	Various	6,665.76	562.90 W	0.00	Total of 9 transactions
	2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.						
	100.000	124.89	11/19/21	122.94	...	1.95	1 of 2 - Sale <sup>25</sup>
	900.000	1,123.98	11/19/21	1,106.49	...	17.49	2 of 2 - Sale <sup>25</sup>
11/23/21	1,000.000	1,248.87	Various	1,229.43	...	19.44	Total of 2 transactions

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
8 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	272.000	339.69	11/19/21	377.74	38.05 W	0.00	1 of 8 - Sale <sup>25</sup>
	76.000	94.91	11/19/21	105.55	10.64 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	124.89	11/19/21	138.87	13.98 W	0.00	3 of 8 - Sale <sup>25</sup>
	228.000	284.74	11/19/21	316.63	31.89 W	0.00	4 of 8 - Sale <sup>25</sup>
	524.000	654.41	11/19/21	727.71	73.30 W	0.00	5 of 8 - Sale <sup>25</sup>
	800.000	999.10	11/19/21	1,111.01	111.91 W	0.00	6 of 8 - Sale <sup>25</sup>
	1,000.000	1,248.87	11/19/21	1,328.73	79.86 W	0.00	7 of 8 - Sale <sup>25</sup>
	1,000.000	1,248.88	11/19/21	1,277.23	28.35 W	0.00	8 of 8 - Sale <sup>25</sup>
11/23/21	4,000.000	4,995.49	Various	5,383.47	387.98 W	0.00	Total of 8 transactions
12 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1,000.000	1,120.58	11/23/21	1,340.00	...	-219.42	1 of 12 - Sale <sup>25</sup>
	800.000	896.46	11/23/21	1,110.87	...	-214.41	2 of 12 - Sale <sup>25</sup>
	1,000.000	1,120.57	11/23/21	1,328.56	...	-207.99	3 of 12 - Sale <sup>25</sup>
	1,000.000	1,120.58	11/23/21	1,277.05	...	-156.47	4 of 12 - Sale <sup>25</sup>
	524.000	587.18	11/23/21	727.62	...	-140.44	5 of 12 - Sale <sup>25</sup>
	1,000.000	1,120.57	11/23/21	1,248.70	...	-128.13	6 of 12 - Sale <sup>25</sup>
	272.000	304.80	11/23/21	377.70	...	-72.90	7 of 12 - Sale <sup>25</sup>
	228.000	255.49	11/23/21	316.59	...	-61.10	8 of 12 - Sale <sup>25</sup>
	100.000	112.06	11/23/21	138.85	...	-26.79	9 of 12 - Sale <sup>25</sup>
	76.000	85.16	11/23/21	105.54	...	-20.38	10 of 12 - Sale <sup>25</sup>
	800.000	896.46	11/29/21	1,016.00	...	-119.54	11 of 12 - Sale <sup>25</sup>
	200.000	224.11	11/29/21	254.00	...	-29.89	12 of 12 - Sale <sup>25</sup>
12/01/21	7,000.000	7,844.02	Various	9,241.48	...	-1,397.46	Total of 12 transactions
	<b>Security total:</b>	<b>43,495.83</b>		<b>35,374.24</b>	<b>1,067.34 W</b>	<b>9,188.93</b>	
CHIMERA INVESTMENT CORP. / CUSIP: 16934Q208 / Symbol:							
06/24/21	1,000.000	15,562.20	06/24/21	15,568.90	...	-6.70	Sale <sup>25</sup>
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
02/18/21	300.000	564.42	02/10/21	609.00	...	-44.58	Sale <sup>25</sup>
CLENE INC. COMMON STOCK / CUSIP: 185634102 / Symbol:							
07/13/21	76.746	1,174.58	07/12/21	1,000.00	...	174.58	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/22/21	1,000.000	12,069.81	06/22/21	12,997.20	...	-927.39	Sale <sup>25</sup>
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
06/25/21	0.113	1.61	06/25/21	1.61	...	0.00	Sale <sup>25</sup>
	10 transactions for 06/25/21. Total proceeds and cost reported to the IRS.						
	0.575	8.15	06/25/21	8.28	0.13 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.458	6.49	06/25/21	6.59	0.10 W	0.00	2 of 10 - Sale <sup>25</sup>
	998.967	14,156.17	06/25/21	14,381.43	225.26 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.458	6.49	06/25/21	6.60	0.11 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.575	8.15	06/25/21	8.29	0.14 W	0.00	5 of 10 - Sale <sup>25</sup>
	198.967	2,819.52	06/25/21	2,825.14	5.62 W	0.00	6 of 10 - Sale <sup>25</sup>
	100.000	1,417.08	06/25/21	1,420.00	2.92 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	1,417.08	06/25/21	1,420.00	2.92 W	0.00	8 of 10 - Sale <sup>25</sup>
	163.947	2,323.26	06/25/21	2,328.04	4.78 W	0.00	9 of 10 - Sale <sup>25</sup>
	58.973	835.69	06/25/21	836.82	1.13 W	0.00	10 of 10 - Sale <sup>25</sup>
06/25/21	1,622.920	22,998.08	Various	23,241.19	243.11 W	0.00	Total of 10 transactions
	6 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	0.027	0.40	06/25/21	0.39	...	0.01	1 of 6 - Sale <sup>25</sup>
	0.458	6.74	06/25/21	6.58	...	0.16	2 of 6 - Sale <sup>25</sup>
	0.575	8.46	06/25/21	8.26	...	0.20	3 of 6 - Sale <sup>25</sup>
	86.027	1,266.40	06/25/21	1,217.80	...	48.60	4 of 6 - Sale <sup>25</sup>
	212.940	3,134.64	06/25/21	3,056.37	...	78.27	5 of 6 - Sale <sup>25</sup>
	786.000	11,570.55	06/25/21	11,330.58	...	239.97	6 of 6 - Sale <sup>25</sup>
06/28/21	1,086.027	15,987.19	Various	15,619.98	...	367.21	Total of 6 transactions
	11 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	23.113	338.37	06/28/21	348.51	10.14 W	0.00	1 of 11 - Sale <sup>25</sup>
	100.000	1,463.98	06/28/21	1,508.85	44.87 W	0.00	2 of 11 - Sale <sup>25</sup>
	100.000	1,463.98	06/28/21	1,508.85	44.87 W	0.00	3 of 11 - Sale <sup>25</sup>
	112.940	1,653.42	06/28/21	1,703.98	50.56 W	0.00	4 of 11 - Sale <sup>25</sup>
	163.947	2,400.15	06/28/21	2,473.71	73.56 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	73.20	06/28/21	75.39	2.19 W	0.00	6 of 11 - Sale <sup>25</sup>
	30.859	451.77	06/28/21	465.32	13.55 W	0.00	7 of 11 - Sale <sup>25</sup>
	169.141	2,476.19	06/28/21	2,547.14	70.95 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	1,463.98	06/28/21	1,505.93	41.95 W	0.00	9 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol: (cont'd)							
	100.000	1,463.98	06/28/21	1,505.93	41.95 W	0.00	10 of 11 - Sale <sup>25</sup>
	95.000	1,390.78	06/28/21	1,430.63	39.85 W	0.00	11 of 11 - Sale <sup>25</sup>
06/28/21	1,000.000	14,639.80	Various	15,074.24	434.44 W	0.00	Total of 11 transactions
	11 transactions for 07/13/21. Total proceeds and cost reported to the IRS.						
	5.000	53.20	07/09/21	57.79	4.59 W	0.00	1 of 11 - Sale <sup>25</sup>
	23.113	245.92	07/09/21	267.16	21.24 W	0.00	2 of 11 - Sale <sup>25</sup>
	30.859	328.34	07/09/21	356.71	28.37 W	0.00	3 of 11 - Sale <sup>25</sup>
	95.000	1,010.79	07/09/21	1,096.25	85.46 W	0.00	4 of 11 - Sale <sup>25</sup>
	100.000	1,063.98	07/09/21	1,156.87	92.89 W	0.00	5 of 11 - Sale <sup>25</sup>
	100.000	1,063.98	07/09/21	1,156.87	92.89 W	0.00	6 of 11 - Sale <sup>25</sup>
	100.000	1,063.98	07/09/21	1,153.95	89.97 W	0.00	7 of 11 - Sale <sup>25</sup>
	100.000	1,063.98	07/09/21	1,153.95	89.97 W	0.00	8 of 11 - Sale <sup>25</sup>
	112.940	1,201.66	07/09/21	1,306.45	104.79 W	0.00	9 of 11 - Sale <sup>25</sup>
	163.947	1,744.37	07/09/21	1,896.65	152.28 W	0.00	10 of 11 - Sale <sup>25</sup>
	169.141	1,799.62	07/09/21	1,951.79	152.17 W	0.00	11 of 11 - Sale <sup>25</sup>
07/13/21	1,000.000	10,639.82	Various	11,554.44	914.62 W	0.00	Total of 11 transactions
	12 transactions for 07/14/21. Total proceeds and cost reported to the IRS.						
	100.000	1,022.98	07/13/21	1,202.57	179.59 W	0.00	1 of 12 - Sale <sup>25</sup>
	5.000	51.15	07/13/21	60.08	8.93 W	0.00	2 of 12 - Sale <sup>25</sup>
	23.113	236.45	07/13/21	277.75	41.30 W	0.00	3 of 12 - Sale <sup>25</sup>
	30.859	315.69	07/13/21	370.84	55.15 W	0.00	4 of 12 - Sale <sup>25</sup>
	95.000	971.83	07/13/21	1,139.76	167.93 W	0.00	5 of 12 - Sale <sup>25</sup>
	100.000	1,022.98	07/13/21	1,202.68	179.70 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	1,022.98	07/13/21	1,202.68	179.70 W	0.00	7 of 12 - Sale <sup>25</sup>
	100.000	1,022.98	07/13/21	1,199.76	176.78 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	1,022.98	07/13/21	1,199.76	176.78 W	0.00	9 of 12 - Sale <sup>25</sup>
	12.940	132.37	07/13/21	155.61	23.24 W	0.00	10 of 12 - Sale <sup>25</sup>
	163.947	1,677.15	07/13/21	1,971.75	294.60 W	0.00	11 of 12 - Sale <sup>25</sup>
	169.141	1,730.28	07/13/21	2,029.28	299.00 W	0.00	12 of 12 - Sale <sup>25</sup>
07/14/21	1,000.000	10,229.82	Various	12,012.52	1,782.70 W	0.00	Total of 12 transactions
	13 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	54.000	519.55	07/15/21	650.48	130.93 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.000	48.11	07/15/21	60.18	12.07 W	0.00	2 of 13 - Sale <sup>25</sup>

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Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol: (cont'd)							
	12.940	124.50	07/15/21	155.87	31.37 W	0.00	3 of 13 - Sale <sup>25</sup>
	23.113	222.38	07/15/21	278.21	55.83 W	0.00	4 of 13 - Sale <sup>25</sup>
	30.859	296.91	07/15/21	371.46	74.55 W	0.00	5 of 13 - Sale <sup>25</sup>
	46.000	442.58	07/15/21	554.11	111.53 W	0.00	6 of 13 - Sale <sup>25</sup>
	95.000	914.03	07/15/21	1,141.68	227.65 W	0.00	7 of 13 - Sale <sup>25</sup>
	100.000	962.13	07/15/21	1,204.70	242.57 W	0.00	8 of 13 - Sale <sup>25</sup>
	100.000	962.13	07/15/21	1,204.70	242.57 W	0.00	9 of 13 - Sale <sup>25</sup>
	100.000	962.13	07/15/21	1,201.78	239.65 W	0.00	10 of 13 - Sale <sup>25</sup>
	100.000	962.13	07/15/21	1,201.78	239.65 W	0.00	11 of 13 - Sale <sup>25</sup>
	163.947	1,577.39	07/15/21	1,975.06	397.67 W	0.00	12 of 13 - Sale <sup>25</sup>
	169.141	1,627.36	07/15/21	2,032.69	405.33 W	0.00	13 of 13 - Sale <sup>25</sup>
07/16/21	1,000.000	9,621.33	Various	12,032.70	2,411.37 W	0.00	Total of 13 transactions
	13 transactions for 07/21/21. Total proceeds and cost reported to the IRS.						
	169.141	1,654.17	07/16/21	2,054.45	...	-400.28	1 of 13 - Sale <sup>25</sup>
	163.947	1,603.37	07/16/21	1,996.15	...	-392.78	2 of 13 - Sale <sup>25</sup>
	100.000	977.98	07/16/21	1,217.57	...	-239.59	3 of 13 - Sale <sup>25</sup>
	100.000	977.98	07/16/21	1,217.57	...	-239.59	4 of 13 - Sale <sup>25</sup>
	100.000	977.98	07/16/21	1,214.65	...	-236.67	5 of 13 - Sale <sup>25</sup>
	100.000	977.98	07/16/21	1,214.65	...	-236.67	6 of 13 - Sale <sup>25</sup>
	95.000	929.09	07/16/21	1,153.90	...	-224.81	7 of 13 - Sale <sup>25</sup>
	54.000	528.11	07/16/21	657.43	...	-129.32	8 of 13 - Sale <sup>25</sup>
	46.000	449.87	07/16/21	560.03	...	-110.16	9 of 13 - Sale <sup>25</sup>
	30.859	301.80	07/16/21	375.43	...	-73.63	10 of 13 - Sale <sup>25</sup>
	23.113	226.05	07/16/21	281.19	...	-55.14	11 of 13 - Sale <sup>25</sup>
	12.940	126.55	07/16/21	157.53	...	-30.98	12 of 13 - Sale <sup>25</sup>
	5.000	48.90	07/16/21	60.82	...	-11.92	13 of 13 - Sale <sup>25</sup>
07/21/21	1,000.000	9,779.83	Various	12,161.37	...	-2,381.54	Total of 13 transactions
	<b>Security total:</b>	<b>93,897.48</b>		<b>101,698.05</b>	<b>5,786.24 W</b>	<b>-2,014.33</b>	
CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol:							
10/22/21	1,000.000	7,605.24	10/21/21	6,499.90	...	1,105.34	Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol:							
26 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1,840.836	7,530.60	07/01/21	8,265.60	...	-735.00	1 of 26 - Sale <sup>25</sup>
	916.496	3,749.26	07/01/21	4,087.69	...	-338.43	2 of 26 - Sale <sup>25</sup>
	557.000	2,278.61	07/01/21	2,484.30	...	-205.69	3 of 26 - Sale <sup>25</sup>
	400.000	1,636.34	07/01/21	1,787.66	...	-151.32	4 of 26 - Sale <sup>25</sup>
	300.000	1,227.25	07/01/21	1,338.04	...	-110.79	5 of 26 - Sale <sup>25</sup>
	299.857	1,226.66	07/01/21	1,337.40	...	-110.74	6 of 26 - Sale <sup>25</sup>
	275.307	1,126.23	07/01/21	1,227.91	...	-101.68	7 of 26 - Sale <sup>25</sup>
	83.591	341.96	07/01/21	424.44	...	-82.48	8 of 26 - Sale <sup>25</sup>
	200.000	818.17	07/01/21	892.03	...	-73.86	9 of 26 - Sale <sup>25</sup>
	84.000	343.63	07/01/21	398.03	...	-54.40	10 of 26 - Sale <sup>25</sup>
	67.075	274.39	07/01/21	317.84	...	-43.45	11 of 26 - Sale <sup>25</sup>
	100.000	409.09	07/01/21	446.01	...	-36.92	12 of 26 - Sale <sup>25</sup>
	51.647	211.28	07/01/21	246.78	...	-35.50	13 of 26 - Sale <sup>25</sup>
	48.353	197.81	07/01/21	231.05	...	-33.24	14 of 26 - Sale <sup>25</sup>
	84.000	343.63	07/01/21	373.81	...	-30.18	15 of 26 - Sale <sup>25</sup>
	54.504	222.97	07/01/21	243.64	...	-20.67	16 of 26 - Sale <sup>25</sup>
	31.781	130.01	07/01/21	150.58	...	-20.57	17 of 26 - Sale <sup>25</sup>
	15.264	62.44	07/01/21	72.78	...	-10.34	18 of 26 - Sale <sup>25</sup>
	24.693	101.02	07/01/21	109.89	...	-8.87	19 of 26 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.90	...	-0.56	20 of 26 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.90	...	-0.56	21 of 26 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.73	...	-0.39	22 of 26 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.71	...	-0.37	23 of 26 - Sale <sup>25</sup>
	0.353	1.44	07/01/21	1.67	...	-0.23	24 of 26 - Sale <sup>25</sup>
	0.219	0.90	07/01/21	1.04	...	-0.14	25 of 26 - Sale <sup>25</sup>
	0.164	0.67	07/01/21	0.79	...	-0.12	26 of 26 - Sale <sup>25</sup>
07/01/21	5,437.429	22,243.72	Various	24,450.22	...	-2,206.50	Total of 26 transactions
28 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	199.428	787.91	07/01/21	847.57	29.57 W	-30.09	1 of 28 - Sale <sup>25</sup>
	98.856	404.41	07/01/21	500.06	80.88 W	-14.77	2 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.42	0.16 W	0.00	3 of 28 - Sale <sup>25</sup>
	100.000	395.08	07/01/21	425.00	29.92 W	0.00	4 of 28 - Sale <sup>25</sup>
	200.572	792.43	07/01/21	852.43	60.00 W	0.00	5 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol: (cont'd)							
	0.572	2.26	07/01/21	2.60	0.34 W	0.00	6 of 28 - Sale <sup>25</sup>
	98.856	390.56	07/01/21	450.70	60.14 W	0.00	7 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.61	0.35 W	0.00	8 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.63	0.37 W	0.00	9 of 28 - Sale <sup>25</sup>
	98.856	390.56	07/01/21	454.65	64.09 W	0.00	10 of 28 - Sale <sup>25</sup>
	200.000	790.17	07/01/21	919.83	129.66 W	0.00	11 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.80	0.54 W	0.00	12 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.81	0.55 W	0.00	13 of 28 - Sale <sup>25</sup>
	98.856	390.47	07/01/21	485.22	94.75 W	0.00	14 of 28 - Sale <sup>25</sup>
	0.572	2.26	07/01/21	2.63	0.37 W	0.00	15 of 28 - Sale <sup>25</sup>
	108.693	429.32	07/01/21	467.38	38.06 W	0.00	16 of 28 - Sale <sup>25</sup>
	4,944.000	19,478.67	07/01/21	21,259.20	1,780.53 W	0.00	17 of 28 - Sale <sup>25</sup>
	0.353	1.46	07/01/21	1.67	0.21 W	0.00	18 of 28 - Sale <sup>25</sup>
	0.219	0.91	07/01/21	1.04	0.13 W	0.00	19 of 28 - Sale <sup>25</sup>
	31.781	131.57	07/01/21	150.90	19.33 W	0.00	20 of 28 - Sale <sup>25</sup>
	67.075	277.68	07/01/21	318.50	40.82 W	0.00	21 of 28 - Sale <sup>25</sup>
	0.572	2.37	07/01/21	2.72	0.35 W	0.00	22 of 28 - Sale <sup>25</sup>
	84.000	347.75	07/01/21	398.86	51.11 W	0.00	23 of 28 - Sale <sup>25</sup>
	48.353	198.24	07/01/21	229.59	31.35 W	0.00	24 of 28 - Sale <sup>25</sup>
	51.647	211.75	07/01/21	245.23	33.48 W	0.00	25 of 28 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.72	0.38 W	0.00	26 of 28 - Sale <sup>25</sup>
	0.572	2.34	07/01/21	2.89	0.55 W	0.00	27 of 28 - Sale <sup>25</sup>
	15.428	63.11	07/01/21	73.26	10.15 W	0.00	28 of 28 - Sale <sup>25</sup>
07/01/21	6,452.693	25,504.92	Various	28,107.92	2,558.14 W	-44.86	Total of 28 transactions
	<b>Security total:</b>	<b>47,748.64</b>		<b>52,558.14</b>	<b>2,558.14 W</b>	<b>-2,251.36</b>	

CYNGN INC. COMMON STOCK / CUSIP: 23257B107 / Symbol:

6 transactions for 10/26/21. Total proceeds and cost reported to the IRS.

200.000	1,685.97	10/25/21	1,903.98	218.01 W	0.00	1 of 6 - Sale <sup>25</sup>
100.000	842.98	10/25/21	951.99	109.01 W	0.00	2 of 6 - Sale <sup>25</sup>
31.000	261.33	10/25/21	295.12	33.79 W	0.00	3 of 6 - Sale <sup>25</sup>
100.000	841.98	10/25/21	951.99	110.01 W	0.00	4 of 6 - Sale <sup>25</sup>
100.000	840.98	10/25/21	951.99	111.01 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYNGN INC. COMMON STOCK / CUSIP: 23257B107 / Symbol: (cont'd)							
10/26/21	469.000	3,939.51	10/25/21	4,464.83	525.32 W	0.00	6 of 6 - Sale <sup>25</sup>
	1,000.000	8,412.75	Various	9,519.90	1,107.15 W	0.00	Total of 6 transactions
7 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	269.000	1,955.62	11/02/21	2,301.69	...	-346.07	1 of 7 - Sale <sup>25</sup>
	200.000	1,453.99	11/02/21	1,744.02	...	-290.03	2 of 7 - Sale <sup>25</sup>
	200.000	1,453.99	11/02/21	1,705.29	...	-251.30	3 of 7 - Sale <sup>25</sup>
	100.000	726.99	11/02/21	854.65	...	-127.66	4 of 7 - Sale <sup>25</sup>
	100.000	726.99	11/02/21	853.65	...	-126.66	5 of 7 - Sale <sup>25</sup>
	100.000	726.99	11/02/21	852.65	...	-125.66	6 of 7 - Sale <sup>25</sup>
	31.000	225.37	11/02/21	264.32	...	-38.95	7 of 7 - Sale <sup>25</sup>
11/03/21	1,000.000	7,269.94	Various	8,576.27	...	-1,306.33	Total of 7 transactions
12/16/21	1,000.000	5,359.95	12/14/21	5,409.80	...	-49.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21,042.64</b>		<b>23,505.97</b>	<b>1,107.15 W</b>	<b>-1,356.18</b>	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
6 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.615	10.33	07/01/21	10.32	...	0.01	1 of 6 - Sale <sup>25</sup>
	30.000	503.72	07/01/21	503.40	...	0.32	2 of 6 - Sale <sup>25</sup>
	54.000	906.65	07/01/21	905.58	...	1.07	3 of 6 - Sale <sup>25</sup>
	100.000	1,678.98	07/01/21	1,677.00	...	1.98	4 of 6 - Sale <sup>25</sup>
	100.000	1,678.98	07/01/21	1,677.00	...	1.98	5 of 6 - Sale <sup>25</sup>
	1,265.000	21,239.08	07/01/21	21,226.70	...	12.38	6 of 6 - Sale <sup>25</sup>
07/01/21	1,549.615	26,017.74	Various	26,000.00	...	17.74	Total of 6 transactions
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
5 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	31.000	547.92	09/23/21	319.30	...	228.62	1 of 5 - Sale <sup>25</sup>
	39.000	689.31	09/23/21	401.70	...	287.61	2 of 5 - Sale <sup>25</sup>
	45.000	795.37	09/23/21	463.50	...	331.87	3 of 5 - Sale <sup>25</sup>
	61.000	1,076.64	09/23/21	628.30	...	448.34	4 of 5 - Sale <sup>25</sup>
	124.000	2,188.57	09/23/21	1,277.20	...	911.37	5 of 5 - Sale <sup>25</sup>
09/29/21	300.000	5,297.81	Various	3,090.00	...	2,207.81	Total of 5 transactions

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol: (cont'd)							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	668.000	5,557.65	10/22/21	8,611.46	...	-3,053.81	1 of 3 - Sale <sup>25</sup>
	300.000	2,495.95	10/22/21	3,385.29	...	-889.34	2 of 3 - Sale <sup>25</sup>
	32.000	266.23	10/22/21	412.52	...	-146.29	3 of 3 - Sale <sup>25</sup>
10/26/21	1,000.000	8,319.83	Various	12,409.27	...	-4,089.44	Total of 3 transactions
	<b>Security total:</b>	<b>13,617.64</b>		<b>15,499.27</b>	...	<b>-1,881.63</b>	
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
6 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	70.000	4,855.52	10/27/21	4,989.60	...	-134.08	1 of 6 - Sale <sup>25</sup>
	58.992	4,091.96	10/27/21	4,225.92	...	-133.96	2 of 6 - Sale <sup>25</sup>
	10.000	693.64	10/27/21	716.90	...	-23.26	3 of 6 - Sale <sup>25</sup>
	0.798	55.37	10/27/21	57.18	...	-1.81	4 of 6 - Sale <sup>25</sup>
	0.141	9.78	10/27/21	10.05	...	-0.27	5 of 6 - Sale <sup>25</sup>
	0.005	0.34	10/27/21	0.35	...	-0.01	6 of 6 - Sale <sup>25</sup>
10/29/21	139.936	9,706.61	Various	10,000.00	...	-293.39	Total of 6 transactions
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol:							
27 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	0.237	0.67	06/29/21	0.61	...	0.06	1 of 27 - Sale <sup>25</sup>
	0.262	0.74	06/29/21	0.68	...	0.06	2 of 27 - Sale <sup>25</sup>
	5.000	14.00	06/29/21	12.94	...	1.06	3 of 27 - Sale <sup>25</sup>
	20.846	58.78	06/29/21	54.09	...	4.69	4 of 27 - Sale <sup>25</sup>
	28.831	81.30	06/29/21	74.68	...	6.62	5 of 27 - Sale <sup>25</sup>
	28.831	81.30	06/29/21	74.68	...	6.62	6 of 27 - Sale <sup>25</sup>
	50.000	140.00	06/29/21	129.55	...	10.45	7 of 27 - Sale <sup>25</sup>
	50.000	140.00	06/29/21	129.55	...	10.45	8 of 27 - Sale <sup>25</sup>
	50.831	142.32	06/29/21	131.71	...	10.61	9 of 27 - Sale <sup>25</sup>
	71.499	200.90	06/29/21	185.43	...	15.47	10 of 27 - Sale <sup>25</sup>
	92.501	258.99	06/29/21	239.67	...	19.32	11 of 27 - Sale <sup>25</sup>
	100.000	279.99	06/29/21	259.10	...	20.89	12 of 27 - Sale <sup>25</sup>
	100.000	279.99	06/29/21	259.10	...	20.89	13 of 27 - Sale <sup>25</sup>
	100.000	279.99	06/29/21	259.10	...	20.89	14 of 27 - Sale <sup>25</sup>
	100.000	279.99	06/29/21	258.85	...	21.14	15 of 27 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	107.499	300.98	06/29/21	278.26	...	22.72	16 of 27 - Sale <sup>25</sup>
	100.000	281.99	06/29/21	259.01	...	22.98	17 of 27 - Sale <sup>25</sup>
	128.501	362.36	06/29/21	332.83	...	29.53	18 of 27 - Sale <sup>25</sup>
	200.000	559.97	06/29/21	518.21	...	41.76	19 of 27 - Sale <sup>25</sup>
	200.000	559.97	06/29/21	518.21	...	41.76	20 of 27 - Sale <sup>25</sup>
	271.169	764.65	06/29/21	702.36	...	62.29	21 of 27 - Sale <sup>25</sup>
	371.169	1,046.64	06/29/21	962.64	...	84.00	22 of 27 - Sale <sup>25</sup>
	1,000.000	2,799.86	06/29/21	2,588.54	...	211.32	23 of 27 - Sale <sup>25</sup>
	1,186.000	3,320.64	06/29/21	3,072.96	...	247.68	24 of 27 - Sale <sup>25</sup>
	1,259.000	3,525.03	06/29/21	3,258.96	...	266.07	25 of 27 - Sale <sup>25</sup>
	1,928.501	5,418.83	06/29/21	4,991.98	...	426.85	26 of 27 - Sale <sup>25</sup>
	2,449.169	6,857.34	06/29/21	6,345.87	...	511.47	27 of 27 - Sale <sup>25</sup>
06/29/21	9,999.846	28,037.22	Various	25,899.57	...	2,137.65	Total of 27 transactions
	12 transactions for 06/29/21. Total proceeds and cost reported to the IRS.						
	0.154	0.29	06/29/21	0.38	0.09 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.499	0.95	06/29/21	1.25	0.30 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.154	0.29	06/29/21	0.48	0.19 W	0.00	3 of 12 - Sale <sup>25</sup>
	20.846	39.61	06/29/21	52.32	12.71 W	0.00	4 of 12 - Sale <sup>25</sup>
	1,000.000	1,899.87	06/29/21	2,505.00	605.13 W	0.00	5 of 12 - Sale <sup>25</sup>
	4,400.000	8,359.43	06/29/21	11,000.00	2,640.57 W	0.00	6 of 12 - Sale <sup>25</sup>
	4,600.000	8,739.41	06/29/21	11,511.50	2,772.09 W	0.00	7 of 12 - Sale <sup>25</sup>
	500.000	949.94	06/29/21	1,252.50	302.56 W	0.00	8 of 12 - Sale <sup>25</sup>
	2,652.000	5,038.45	06/29/21	6,643.26	1,604.81 W	0.00	9 of 12 - Sale <sup>25</sup>
	13.333	25.33	06/29/21	33.40	8.07 W	0.00	10 of 12 - Sale <sup>25</sup>
	697.168	1,324.53	06/29/21	1,600.00	275.47 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.154	0.43	06/29/21	0.50	0.07 W	0.00	12 of 12 - Sale <sup>25</sup>
06/29/21	13,884.308	26,378.53	Various	34,600.59	8,222.06 W	0.00	Total of 12 transactions
	44 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	500.000	1,114.93	06/29/21	1,296.76	181.83 W	0.00	1 of 44 - Sale <sup>25</sup>
	2,555.332	5,698.06	06/29/21	6,627.33	929.27 W	0.00	2 of 44 - Sale <sup>25</sup>
	21.499	47.94	06/29/21	55.70	7.76 W	0.00	3 of 44 - Sale <sup>25</sup>
	0.804	1.79	06/30/21	2.90	1.11 W	0.00	4 of 44 - Sale <sup>25</sup>
	13.333	29.73	06/30/21	48.14	18.41 W	0.00	5 of 44 - Sale <sup>25</sup>
	90.803	202.48	06/30/21	308.74	106.26 W	0.00	6 of 44 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	95.864	213.76	06/30/21	346.08	132.32 W	0.00	7 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	340.01	117.02 W	0.00	8 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	340.01	117.02 W	0.00	9 of 44 - Sale <sup>25</sup>
	0.154	0.34	06/30/21	0.53	0.19 W	0.00	10 of 44 - Sale <sup>25</sup>
	406.365	906.14	06/30/21	1,381.70	475.56 W	0.00	11 of 44 - Sale <sup>25</sup>
	593.481	1,323.39	06/30/21	1,783.41	460.02 W	0.00	12 of 44 - Sale <sup>25</sup>
	300.000	668.96	06/30/21	901.50	232.54 W	0.00	13 of 44 - Sale <sup>25</sup>
	178.000	396.92	06/30/21	535.78	138.86 W	0.00	14 of 44 - Sale <sup>25</sup>
	400.000	891.95	06/30/21	1,202.00	310.05 W	0.00	15 of 44 - Sale <sup>25</sup>
	300.000	668.96	06/30/21	901.50	232.54 W	0.00	16 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	300.50	77.51 W	0.00	17 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	18 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	301.00	78.01 W	0.00	19 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	20 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	301.00	78.01 W	0.00	21 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	22 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	23 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	24 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	25 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	26 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	27 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	28 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	29 of 44 - Sale <sup>25</sup>
	300.000	668.96	06/30/21	903.00	234.04 W	0.00	30 of 44 - Sale <sup>25</sup>
	300.000	668.96	06/30/21	903.00	234.04 W	0.00	31 of 44 - Sale <sup>25</sup>
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	32 of 44 - Sale <sup>25</sup>
	400.000	891.95	06/30/21	1,204.00	312.05 W	0.00	33 of 44 - Sale <sup>25</sup>
	200.000	445.98	06/30/21	602.00	156.02 W	0.00	34 of 44 - Sale <sup>25</sup>
	700.000	1,560.91	06/30/21	2,107.00	546.09 W	0.00	35 of 44 - Sale <sup>25</sup>
	550.000	1,226.43	06/30/21	1,655.50	429.07 W	0.00	36 of 44 - Sale <sup>25</sup>
	300.000	668.96	06/30/21	903.00	234.04 W	0.00	37 of 44 - Sale <sup>25</sup>
	977.678	2,180.10	06/30/21	2,942.81	762.71 W	0.00	38 of 44 - Sale <sup>25</sup>
	200.000	445.98	06/30/21	602.00	156.02 W	0.00	39 of 44 - Sale <sup>25</sup>
	100.000	222.99	06/30/21	301.00	78.01 W	0.00	40 of 44 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 144140340
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	200.000	445.97	06/30/21	602.00	156.03 W	0.00	41 of 44 - Sale <sup>25</sup>
	0.377	0.84	06/30/21	0.94	0.10 W	0.00	42 of 44 - Sale <sup>25</sup>
	187.311	417.68	06/30/21	468.18	50.50 W	0.00	43 of 44 - Sale <sup>25</sup>
	0.351	0.73	06/30/21	1.01	0.28 W	0.00	44 of 44 - Sale <sup>25</sup>
07/01/21	12,571.351	28,032.41	Various	36,792.03	8,759.62 W	0.00	Total of 44 transactions
	58 transactions for 07/07/21. Total proceeds and cost reported to the IRS.						
	977.678	3,113.28	07/07/21	3,760.27	...	-646.99	1 of 58 - Sale <sup>25</sup>
	1,601.852	5,100.88	07/07/21	5,606.15	...	-505.27	2 of 58 - Sale <sup>25</sup>
	406.365	1,158.08	07/07/21	1,662.15	...	-504.07	3 of 58 - Sale <sup>25</sup>
	593.481	1,691.33	07/07/21	2,192.99	...	-501.66	4 of 58 - Sale <sup>25</sup>
	700.000	2,229.05	07/07/21	2,692.29	...	-463.24	5 of 58 - Sale <sup>25</sup>
	550.000	1,751.40	07/07/21	2,115.37	...	-363.97	6 of 58 - Sale <sup>25</sup>
	321.429	916.03	07/07/21	1,187.72	...	-271.69	7 of 58 - Sale <sup>25</sup>
	400.000	1,273.74	07/07/21	1,538.45	...	-264.71	8 of 58 - Sale <sup>25</sup>
	299.716	854.15	07/07/21	1,107.49	...	-253.34	9 of 58 - Sale <sup>25</sup>
	300.000	955.31	07/07/21	1,153.84	...	-198.53	10 of 58 - Sale <sup>25</sup>
	300.000	955.31	07/07/21	1,153.84	...	-198.53	11 of 58 - Sale <sup>25</sup>
	300.000	955.31	07/07/21	1,153.84	...	-198.53	12 of 58 - Sale <sup>25</sup>
	202.429	576.89	07/07/21	748.00	...	-171.11	13 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	14 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	15 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	16 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	17 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	18 of 58 - Sale <sup>25</sup>
	200.000	569.97	07/07/21	740.03	...	-170.06	19 of 58 - Sale <sup>25</sup>
	200.000	569.98	07/07/21	740.03	...	-170.05	20 of 58 - Sale <sup>25</sup>
	178.571	508.90	07/07/21	660.74	...	-151.84	21 of 58 - Sale <sup>25</sup>
	178.571	508.90	07/07/21	660.74	...	-151.84	22 of 58 - Sale <sup>25</sup>
	178.000	507.27	07/07/21	658.62	...	-151.35	23 of 58 - Sale <sup>25</sup>
	95.864	273.20	07/07/21	412.24	...	-139.04	24 of 58 - Sale <sup>25</sup>
	434.713	1,384.28	07/07/21	1,521.41	...	-137.13	25 of 58 - Sale <sup>25</sup>
	200.000	636.87	07/07/21	769.23	...	-132.36	26 of 58 - Sale <sup>25</sup>
	200.000	636.87	07/07/21	769.22	...	-132.35	27 of 58 - Sale <sup>25</sup>
	200.000	636.87	07/07/21	769.22	...	-132.35	28 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	200.000	636.88	07/07/21	769.23	...	-132.35	29 of 58 - Sale <sup>25</sup>
	100.000	284.99	07/07/21	409.02	...	-124.03	30 of 58 - Sale <sup>25</sup>
	100.000	284.99	07/07/21	409.02	...	-124.03	31 of 58 - Sale <sup>25</sup>
	90.803	258.78	07/07/21	371.40	...	-112.62	32 of 58 - Sale <sup>25</sup>
	121.429	346.06	07/07/21	449.30	...	-103.24	33 of 58 - Sale <sup>25</sup>
	300.000	955.31	07/07/21	1,049.95	...	-94.64	34 of 58 - Sale <sup>25</sup>
	100.000	284.99	07/07/21	370.01	...	-85.02	35 of 58 - Sale <sup>25</sup>
	100.000	284.99	07/07/21	369.51	...	-84.52	36 of 58 - Sale <sup>25</sup>
	97.571	278.06	07/07/21	360.54	...	-82.48	37 of 58 - Sale <sup>25</sup>
	249.631	794.92	07/07/21	873.65	...	-78.73	38 of 58 - Sale <sup>25</sup>
	78.571	223.92	07/07/21	290.72	...	-66.80	39 of 58 - Sale <sup>25</sup>
	78.571	223.92	07/07/21	290.33	...	-66.41	40 of 58 - Sale <sup>25</sup>
	100.000	318.44	07/07/21	384.61	...	-66.17	41 of 58 - Sale <sup>25</sup>
	178.852	569.53	07/07/21	625.93	...	-56.40	42 of 58 - Sale <sup>25</sup>
	78.493	249.95	07/07/21	301.90	...	-51.95	43 of 58 - Sale <sup>25</sup>
	187.311	596.46	07/07/21	624.79	...	-28.33	44 of 58 - Sale <sup>25</sup>
	13.333	38.00	07/07/21	57.34	...	-19.34	45 of 58 - Sale <sup>25</sup>
	21.429	61.07	07/07/21	79.29	...	-18.22	46 of 58 - Sale <sup>25</sup>
	21.429	61.07	07/07/21	79.29	...	-18.22	47 of 58 - Sale <sup>25</sup>
	21.429	61.07	07/07/21	79.29	...	-18.22	48 of 58 - Sale <sup>25</sup>
	20.925	66.63	07/07/21	73.18	...	-6.55	49 of 58 - Sale <sup>25</sup>
	17.148	54.61	07/07/21	60.02	...	-5.41	50 of 58 - Sale <sup>25</sup>
	4.000	12.74	07/07/21	13.99	...	-1.25	51 of 58 - Sale <sup>25</sup>
	0.804	2.29	07/07/21	3.46	...	-1.17	52 of 58 - Sale <sup>25</sup>
	0.284	0.81	07/07/21	1.05	...	-0.24	53 of 58 - Sale <sup>25</sup>
	0.351	1.12	07/07/21	1.36	...	-0.24	54 of 58 - Sale <sup>25</sup>
	0.154	0.44	07/07/21	0.64	...	-0.20	55 of 58 - Sale <sup>25</sup>
	0.223	0.71	07/07/21	0.77	...	-0.06	56 of 58 - Sale <sup>25</sup>
	0.377	1.20	07/07/21	1.26	...	-0.06	57 of 58 - Sale <sup>25</sup>
	0.078	0.25	07/07/21	0.30	...	-0.05	58 of 58 - Sale <sup>25</sup>
07/07/21	12,301.863	37,567.92	Various	45,875.18	...	-8,307.26	Total of 58 transactions
			9 transactions for 07/07/21. Total proceeds and cost reported to the IRS.				
	518.768	1,478.42	07/07/21	1,703.45	108.28 W	-116.75	1 of 9 - Sale <sup>25</sup>
	0.223	0.64	07/07/21	0.73	0.09 W	0.00	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: (cont'd)							
	20.925	59.63	07/07/21	68.65	9.02 W	0.00	3 of 9 - Sale <sup>25</sup>
	178.852	509.72	07/07/21	587.29	77.57 W	0.00	4 of 9 - Sale <sup>25</sup>
	300.000	854.95	07/07/21	985.10	130.15 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	11.40	07/07/21	13.13	1.73 W	0.00	6 of 9 - Sale <sup>25</sup>
	17.148	48.87	07/07/21	56.31	7.44 W	0.00	7 of 9 - Sale <sup>25</sup>
	1,601.852	4,565.06	07/07/21	5,259.94	694.88 W	0.00	8 of 9 - Sale <sup>25</sup>
	434.713	1,238.87	07/07/21	1,427.45	188.58 W	0.00	9 of 9 - Sale <sup>25</sup>
07/07/21	3,076.480	8,767.56	Various	10,102.05	1,217.74 W	-116.75	Total of 9 transactions
	<b>Security total:</b>	<b>128,783.64</b>		<b>153,269.42</b>	<b>18,199.42 W</b>	<b>-6,286.36</b>	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
06/10/21	0.272	79.76	06/09/21	80.00	...	-0.24	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
12/08/21	1,000.000	18,079.78	12/07/21	18,440.00	...	-360.22	Sale <sup>25</sup>
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	12.11	05/06/20	4.86	...	7.25	1 of 2 - Sale <sup>25</sup>
	10.000	121.13	11/13/20	85.09	...	36.04	2 of 2 - Sale <sup>25</sup>
04/13/21	11.000	133.24	Various	89.95	...	43.29	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	231.000	1,910.56	10/14/21	1,660.20	...	250.36	1 of 2 - Sale <sup>25</sup>
	769.000	6,360.27	10/14/21	5,526.80	...	833.47	2 of 2 - Sale <sup>25</sup>
10/19/21	1,000.000	8,270.83	Various	7,187.00	...	1,083.83	Total of 2 transactions
10/19/21	1,000.000	8,294.83	10/19/21	8,368.60	73.77 W	0.00	Sale <sup>25</sup>
10/27/21	1,000.000	7,410.84	10/19/21	8,701.77	...	-1,290.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23,976.50</b>		<b>24,257.37</b>	<b>73.77 W</b>	<b>-207.10</b>	

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GALECTIN THERAPEUTICS INC. COM MON STOCK / CUSIP: 363225202 / Symbol:							
<i>10 transactions for 07/09/21. Total proceeds and cost reported to the IRS.</i>							
	3,499.894	13,071.62	07/09/21	14,034.58	...	-962.96	1 of 10 - Sale <sup>25</sup>
	1,741.106	6,502.78	07/09/21	6,990.54	...	-487.76	2 of 10 - Sale <sup>25</sup>
	500.000	1,867.43	07/09/21	2,007.50	...	-140.07	3 of 10 - Sale <sup>25</sup>
	300.000	1,120.46	07/09/21	1,204.50	...	-84.04	4 of 10 - Sale <sup>25</sup>
	100.000	373.49	07/09/21	401.50	...	-28.01	5 of 10 - Sale <sup>25</sup>
	49.894	186.35	07/09/21	200.08	...	-13.73	6 of 10 - Sale <sup>25</sup>
	29.000	108.31	07/09/21	116.29	...	-7.98	7 of 10 - Sale <sup>25</sup>
	10.000	37.35	07/09/21	40.15	...	-2.80	8 of 10 - Sale <sup>25</sup>
	1.000	3.73	07/09/21	4.02	...	-0.29	9 of 10 - Sale <sup>25</sup>
	0.106	0.40	07/09/21	0.44	...	-0.04	10 of 10 - Sale <sup>25</sup>
07/09/21	6,231.000	23,271.92	Various	24,999.60	...	-1,727.68	Total of 10 transactions
07/09/21	0.106	0.40	07/09/21	0.42	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23,272.32</b>		<b>25,000.02</b>	<b>0.02 W</b>	<b>-1,727.68</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
<i>2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	189.59	02/25/21	169.47	...	20.12	1 of 2 - Sale <sup>25</sup>
	2.000	379.18	02/25/21	348.86	...	30.32	2 of 2 - Sale <sup>25</sup>
03/23/21	3.000	568.77	Various	518.33	...	50.44	Total of 2 transactions
04/09/21	2.000	313.18	04/08/21	355.42	42.24 W	0.00	Sale <sup>25</sup>
04/15/21	2.000	324.87	04/14/21	381.18	...	-56.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,206.82</b>		<b>1,254.93</b>	<b>42.24 W</b>	<b>-5.87</b>	
GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol:							
<i>60 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	746.99	06/25/21	882.04	...	-135.05	1 of 60 - Sale <sup>25</sup>
	100.000	747.98	06/25/21	882.03	...	-134.05	2 of 60 - Sale <sup>25</sup>
	100.000	748.99	06/25/21	882.03	...	-133.04	3 of 60 - Sale <sup>25</sup>
	87.290	653.79	06/25/21	769.07	...	-115.28	4 of 60 - Sale <sup>25</sup>
	82.000	613.34	06/25/21	723.26	...	-109.92	5 of 60 - Sale <sup>25</sup>
	64.000	479.35	06/25/21	563.86	...	-84.51	6 of 60 - Sale <sup>25</sup>
	53.000	396.43	06/25/21	467.47	...	-71.04	7 of 60 - Sale <sup>25</sup>
	50.000	374.49	06/25/21	441.51	...	-67.02	8 of 60 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol: (cont'd)							
	44.000	328.67	06/25/21	388.09	...	-59.42	9 of 60 - Sale <sup>25</sup>
	35.858	268.57	06/25/21	316.64	...	-48.07	10 of 60 - Sale <sup>25</sup>
	44.000	328.67	06/25/21	370.05	...	-41.38	11 of 60 - Sale <sup>25</sup>
	100.000	744.98	06/25/21	785.53	...	-40.55	12 of 60 - Sale <sup>25</sup>
	92.858	690.85	06/25/21	730.31	...	-39.46	13 of 60 - Sale <sup>25</sup>
	29.000	216.91	06/25/21	255.79	...	-38.88	14 of 60 - Sale <sup>25</sup>
	92.858	695.50	06/25/21	732.16	...	-36.66	15 of 60 - Sale <sup>25</sup>
	27.000	201.96	06/25/21	238.15	...	-36.19	16 of 60 - Sale <sup>25</sup>
	25.858	193.67	06/25/21	228.34	...	-34.67	17 of 60 - Sale <sup>25</sup>
	69.000	513.35	06/25/21	542.67	...	-29.32	18 of 60 - Sale <sup>25</sup>
	29.000	216.62	06/25/21	243.90	...	-27.28	19 of 60 - Sale <sup>25</sup>
	27.000	201.69	06/25/21	227.08	...	-25.39	20 of 60 - Sale <sup>25</sup>
	61.000	453.83	06/25/21	479.17	...	-25.34	21 of 60 - Sale <sup>25</sup>
	61.000	454.44	06/25/21	479.18	...	-24.74	22 of 60 - Sale <sup>25</sup>
	67.000	500.47	06/25/21	524.94	...	-24.47	23 of 60 - Sale <sup>25</sup>
	18.000	134.82	06/25/21	158.77	...	-23.95	24 of 60 - Sale <sup>25</sup>
	59.000	439.54	06/25/21	463.47	...	-23.93	25 of 60 - Sale <sup>25</sup>
	59.000	439.54	06/25/21	462.87	...	-23.33	26 of 60 - Sale <sup>25</sup>
	17.000	127.16	06/25/21	149.95	...	-22.79	27 of 60 - Sale <sup>25</sup>
	51.000	379.43	06/25/21	401.11	...	-21.68	28 of 60 - Sale <sup>25</sup>
	15.000	112.20	06/25/21	132.31	...	-20.11	29 of 60 - Sale <sup>25</sup>
	14.142	105.92	06/25/21	124.88	...	-18.96	30 of 60 - Sale <sup>25</sup>
	12.000	89.76	06/25/21	105.84	...	-16.08	31 of 60 - Sale <sup>25</sup>
	35.000	260.74	06/25/21	274.94	...	-14.20	32 of 60 - Sale <sup>25</sup>
	29.000	216.04	06/25/21	227.52	...	-11.48	33 of 60 - Sale <sup>25</sup>
	23.858	177.50	06/25/21	187.64	...	-10.14	34 of 60 - Sale <sup>25</sup>
	25.000	186.25	06/25/21	196.38	...	-10.13	35 of 60 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	62.99	...	-9.50	36 of 60 - Sale <sup>25</sup>
	21.000	156.24	06/25/21	165.16	...	-8.92	37 of 60 - Sale <sup>25</sup>
	21.858	163.28	06/25/21	171.26	...	-7.98	38 of 60 - Sale <sup>25</sup>
	7.142	53.35	06/25/21	60.14	...	-6.79	39 of 60 - Sale <sup>25</sup>
	5.000	37.45	06/25/21	44.05	...	-6.60	40 of 60 - Sale <sup>25</sup>
	16.000	119.20	06/25/21	125.69	...	-6.49	41 of 60 - Sale <sup>25</sup>
	15.000	111.60	06/25/21	117.97	...	-6.37	42 of 60 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol: (cont'd)							
	15.000	111.75	06/25/21	117.83	...	-6.08	43 of 60 - Sale <sup>25</sup>
	10.000	74.50	06/25/21	78.55	...	-4.05	44 of 60 - Sale <sup>25</sup>
	3.000	22.44	06/25/21	26.46	...	-4.02	45 of 60 - Sale <sup>25</sup>
	8.858	66.08	06/25/21	69.50	...	-3.42	46 of 60 - Sale <sup>25</sup>
	8.000	59.52	06/25/21	62.84	...	-3.32	47 of 60 - Sale <sup>25</sup>
	7.142	53.13	06/25/21	56.17	...	-3.04	48 of 60 - Sale <sup>25</sup>
	7.142	53.13	06/25/21	56.17	...	-3.04	49 of 60 - Sale <sup>25</sup>
	7.142	53.13	06/25/21	56.17	...	-3.04	50 of 60 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.31	...	-2.82	51 of 60 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.24	...	-2.75	52 of 60 - Sale <sup>25</sup>
	7.142	53.28	06/25/21	56.02	...	-2.74	53 of 60 - Sale <sup>25</sup>
	2.000	14.98	06/25/21	17.64	...	-2.66	54 of 60 - Sale <sup>25</sup>
	6.000	44.70	06/25/21	47.13	...	-2.43	55 of 60 - Sale <sup>25</sup>
	4.000	29.84	06/25/21	31.34	...	-1.50	56 of 60 - Sale <sup>25</sup>
	1.000	7.49	06/25/21	8.82	...	-1.33	57 of 60 - Sale <sup>25</sup>
	3.000	22.32	06/25/21	23.59	...	-1.27	58 of 60 - Sale <sup>25</sup>
	2.858	21.26	06/25/21	22.48	...	-1.22	59 of 60 - Sale <sup>25</sup>
	0.569	4.26	06/25/21	4.99	...	-0.73	60 of 60 - Sale <sup>25</sup>
06/25/21	2,000.000	14,933.84	Various	16,634.46	...	-1,700.62	Total of 60 transactions
31 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	100.000	752.48	06/25/21	853.50	101.02 W	0.00	1 of 31 - Sale <sup>25</sup>
	900.000	6,772.36	06/25/21	7,672.50	900.14 W	0.00	2 of 31 - Sale <sup>25</sup>
	100.000	752.48	06/25/21	793.95	41.47 W	0.00	3 of 31 - Sale <sup>25</sup>
	500.000	3,762.42	06/25/21	3,970.00	207.58 W	0.00	4 of 31 - Sale <sup>25</sup>
	100.000	752.49	06/25/21	793.95	41.46 W	0.00	5 of 31 - Sale <sup>25</sup>
	100.000	752.48	06/25/21	793.95	41.47 W	0.00	6 of 31 - Sale <sup>25</sup>
	100.000	752.49	06/25/21	793.95	41.46 W	0.00	7 of 31 - Sale <sup>25</sup>
	100.000	752.48	06/25/21	793.95	41.47 W	0.00	8 of 31 - Sale <sup>25</sup>
	0.569	4.27	06/25/21	5.02	0.75 W	0.00	9 of 31 - Sale <sup>25</sup>
	5.000	37.50	06/25/21	44.25	6.75 W	0.00	10 of 31 - Sale <sup>25</sup>
	94.431	708.21	06/25/21	835.74	127.53 W	0.00	11 of 31 - Sale <sup>25</sup>
	64.000	479.99	06/25/21	565.77	85.78 W	0.00	12 of 31 - Sale <sup>25</sup>
	2.000	14.98	06/25/21	17.68	2.70 W	0.00	13 of 31 - Sale <sup>25</sup>
	1.000	7.49	06/25/21	8.84	1.35 W	0.00	14 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROVE, INC. COMMON STOCK / CUSIP: 39959A106 / Symbol: (cont'd)							
	140.000	1,047.17	06/25/21	1,237.62	190.45 W	0.00	15 of 31 - Sale <sup>25</sup>
	50.000	374.00	06/25/21	442.01	68.01 W	0.00	16 of 31 - Sale <sup>25</sup>
	535.858	4,008.13	06/25/21	4,737.07	728.94 W	0.00	17 of 31 - Sale <sup>25</sup>
	92.858	695.49	06/25/21	729.38	33.89 W	0.00	18 of 31 - Sale <sup>25</sup>
	107.142	802.47	06/25/21	904.29	101.82 W	0.00	19 of 31 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.09	2.60 W	0.00	20 of 31 - Sale <sup>25</sup>
	67.858	508.25	06/25/21	533.04	24.79 W	0.00	21 of 31 - Sale <sup>25</sup>
	100.000	748.98	06/25/21	786.52	37.54 W	0.00	22 of 31 - Sale <sup>25</sup>
	25.000	187.25	06/25/21	196.63	9.38 W	0.00	23 of 31 - Sale <sup>25</sup>
	100.000	748.98	06/25/21	786.52	37.54 W	0.00	24 of 31 - Sale <sup>25</sup>
	200.000	1,497.97	06/25/21	1,573.03	75.06 W	0.00	25 of 31 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.24	2.75 W	0.00	26 of 31 - Sale <sup>25</sup>
	92.858	695.49	06/25/21	731.22	35.73 W	0.00	27 of 31 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.24	2.75 W	0.00	28 of 31 - Sale <sup>25</sup>
	92.858	695.50	06/25/21	731.23	35.73 W	0.00	29 of 31 - Sale <sup>25</sup>
	7.142	53.49	06/25/21	56.24	2.75 W	0.00	30 of 31 - Sale <sup>25</sup>
	92.858	695.49	06/25/21	731.22	35.73 W	0.00	31 of 31 - Sale <sup>25</sup>
06/25/21	3,892.858	29,221.25	Various	32,287.64	3,066.39 W	0.00	Total of 31 transactions
	<b>Security total:</b>	<b>44,155.09</b>		<b>48,922.10</b>	<b>3,066.39 W</b>	<b>-1,700.62</b>	
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
6 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.152	7.71	07/01/21	7.65	...	0.06	1 of 6 - Sale <sup>25</sup>
	0.183	9.25	07/01/21	9.18	...	0.07	2 of 6 - Sale <sup>25</sup>
	100.000	5,058.05	07/01/21	5,017.40	...	40.65	3 of 6 - Sale <sup>25</sup>
	100.000	5,058.05	07/01/21	5,017.40	...	40.65	4 of 6 - Sale <sup>25</sup>
	100.000	5,058.05	07/01/21	5,017.40	...	40.65	5 of 6 - Sale <sup>25</sup>
	178.000	9,003.33	07/01/21	8,930.97	...	72.36	6 of 6 - Sale <sup>25</sup>
07/01/21	478.335	24,194.44	Various	24,000.00	...	194.44	Total of 6 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
01/14/21	25.000	83.08	12/21/20	52.17	...	30.91	Sale <sup>25</sup>
INFINITY PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45665G303 / Symbol:							
02/09/21	100.000	438.99	02/08/21	470.17	...	-31.18	Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KINTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 49720K101 / Symbol:							
<i>37 transactions for 07/01/21. Total proceeds and cost reported to the IRS.</i>							
3,139.781		7,566.48	07/01/21	8,101.02	...	-534.54	1 of 37 - Sale <sup>25</sup>
1,201.000		2,894.25	07/01/21	3,086.73	...	-192.48	2 of 37 - Sale <sup>25</sup>
1,000.000		2,409.87	07/01/21	2,570.13	...	-160.26	3 of 37 - Sale <sup>25</sup>
600.000		1,445.92	07/01/21	1,548.08	...	-102.16	4 of 37 - Sale <sup>25</sup>
500.000		1,204.93	07/01/21	1,285.07	...	-80.14	5 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	6 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	7 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	8 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	9 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	10 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	11 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	12 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	13 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	14 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	15 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	16 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	17 of 37 - Sale <sup>25</sup>
300.000		722.96	07/01/21	774.04	...	-51.08	18 of 37 - Sale <sup>25</sup>
200.000		481.97	07/01/21	516.03	...	-34.06	19 of 37 - Sale <sup>25</sup>
202.000		486.79	07/01/21	519.17	...	-32.38	20 of 37 - Sale <sup>25</sup>
200.000		481.97	07/01/21	514.03	...	-32.06	21 of 37 - Sale <sup>25</sup>
0.963		2.32	07/01/21	2.47	...	-0.15	22 of 37 - Sale <sup>25</sup>
2.980		7.51	07/01/21	7.42	...	0.09	23 of 37 - Sale <sup>25</sup>
100.000		251.99	07/01/21	250.00	...	1.99	24 of 37 - Sale <sup>25</sup>
100.000		251.99	07/01/21	250.00	...	1.99	25 of 37 - Sale <sup>25</sup>
100.000		251.99	07/01/21	250.00	...	1.99	26 of 37 - Sale <sup>25</sup>
100.000		251.99	07/01/21	249.90	...	2.09	27 of 37 - Sale <sup>25</sup>
100.000		251.99	07/01/21	249.90	...	2.09	28 of 37 - Sale <sup>25</sup>
150.000		377.98	07/01/21	375.00	...	2.98	29 of 37 - Sale <sup>25</sup>
166.000		418.30	07/01/21	415.00	...	3.30	30 of 37 - Sale <sup>25</sup>
200.000		503.97	07/01/21	500.00	...	3.97	31 of 37 - Sale <sup>25</sup>
200.000		503.97	07/01/21	499.20	...	4.77	32 of 37 - Sale <sup>25</sup>
500.000		1,259.93	07/01/21	1,250.00	...	9.93	33 of 37 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KINTARA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 49720K101 / Symbol: (cont'd)							
	781.432	1,969.11	07/01/21	1,953.58	...	15.53	34 of 37 - Sale <sup>25</sup>
	1,700.000	4,283.77	07/01/21	4,250.00	...	33.77	35 of 37 - Sale <sup>25</sup>
	2,000.000	5,039.74	07/01/21	5,000.00	...	39.74	36 of 37 - Sale <sup>25</sup>
	5,000.000	12,599.33	07/01/21	12,500.00	...	99.33	37 of 37 - Sale <sup>25</sup>
07/01/21	22,144.157	54,596.54	Various	56,205.25	...	-1,608.71	Total of 37 transactions
07/01/21	11,023.622	26,785.95	07/01/21	28,000.00	1,205.25 W	-8.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>81,382.49</b>		<b>84,205.25</b>	<b>1,205.25 W</b>	<b>-1,617.51</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	15.000	245.85	11/09/20	115.91	...	129.94	1 of 2 - Sale <sup>25</sup>
	3.000	49.17	11/24/20	32.58	...	16.59	2 of 2 - Sale <sup>25</sup>
04/13/21	18.000	295.02	Various	148.49	...	146.53	Total of 2 transactions
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
15 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	50.000	170.00	06/24/21	139.50	...	30.50	1 of 15 - Sale <sup>25</sup>
	50.000	170.00	06/24/21	139.50	...	30.50	2 of 15 - Sale <sup>25</sup>
	94.000	319.59	06/24/21	262.26	...	57.33	3 of 15 - Sale <sup>25</sup>
	100.000	339.99	06/24/21	279.00	...	60.99	4 of 15 - Sale <sup>25</sup>
	191.000	649.37	06/24/21	532.89	...	116.48	5 of 15 - Sale <sup>25</sup>
	222.000	754.76	06/24/21	619.38	...	135.38	6 of 15 - Sale <sup>25</sup>
	300.000	1,019.95	06/24/21	837.00	...	182.95	7 of 15 - Sale <sup>25</sup>
	342.000	1,162.75	06/24/21	954.14	...	208.61	8 of 15 - Sale <sup>25</sup>
	432.000	1,468.74	06/24/21	1,205.24	...	263.50	9 of 15 - Sale <sup>25</sup>
	468.000	1,591.13	06/24/21	1,305.72	...	285.41	10 of 15 - Sale <sup>25</sup>
	500.000	1,699.93	06/24/21	1,395.00	...	304.93	11 of 15 - Sale <sup>25</sup>
	500.000	1,699.93	06/24/21	1,394.95	...	304.98	12 of 15 - Sale <sup>25</sup>
	700.000	2,379.90	06/24/21	1,952.93	...	426.97	13 of 15 - Sale <sup>25</sup>
	900.000	3,059.87	06/24/21	2,511.00	...	548.87	14 of 15 - Sale <sup>25</sup>
	2,000.000	6,799.72	06/24/21	5,580.00	...	1,219.72	15 of 15 - Sale <sup>25</sup>
06/24/21	6,849.000	23,285.63	Various	19,108.51	...	4,177.12	Total of 15 transactions

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Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol: (cont'd)							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	0.528	2.12	06/25/21	1.95	...	0.17	1 of 2 - Sale <sup>25</sup>
	1,347.183	5,416.84	06/25/21	4,998.05	...	418.79	2 of 2 - Sale <sup>25</sup>
06/25/21	1,347.711	5,418.96	Various	5,000.00	...	418.96	Total of 2 transactions
28 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	193.476	1,296.26	06/28/21	1,470.24	...	-173.98	1 of 28 - Sale <sup>25</sup>
	100.000	669.99	06/28/21	759.91	...	-89.92	2 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	759.91	...	-88.93	3 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	759.91	...	-88.93	4 of 28 - Sale <sup>25</sup>
	68.635	459.84	06/28/21	521.56	...	-61.72	5 of 28 - Sale <sup>25</sup>
	146.863	983.96	06/28/21	1,013.27	...	-29.31	6 of 28 - Sale <sup>25</sup>
	31.048	208.01	06/28/21	235.92	...	-27.91	7 of 28 - Sale <sup>25</sup>
	100.000	669.99	06/28/21	689.95	...	-19.96	8 of 28 - Sale <sup>25</sup>
	20.000	134.00	06/28/21	151.98	...	-17.98	9 of 28 - Sale <sup>25</sup>
	83.635	560.34	06/28/21	577.03	...	-16.69	10 of 28 - Sale <sup>25</sup>
	16.365	109.64	06/28/21	112.91	...	-3.27	11 of 28 - Sale <sup>25</sup>
	1.170	7.84	06/28/21	8.57	...	-0.73	12 of 28 - Sale <sup>25</sup>
	0.373	2.50	06/28/21	2.89	...	-0.39	13 of 28 - Sale <sup>25</sup>
	0.318	2.13	06/28/21	2.41	...	-0.28	14 of 28 - Sale <sup>25</sup>
	0.228	1.53	06/28/21	1.69	...	-0.16	15 of 28 - Sale <sup>25</sup>
	69.105	463.67	06/28/21	455.40	...	8.27	16 of 28 - Sale <sup>25</sup>
	89.000	597.17	06/28/21	586.51	...	10.66	17 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	18 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	19 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	20 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	21 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	22 of 28 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	659.00	...	11.98	23 of 28 - Sale <sup>25</sup>
	200.000	1,341.97	06/28/21	1,318.00	...	23.97	24 of 28 - Sale <sup>25</sup>
	200.000	1,341.97	06/28/21	1,318.00	...	23.97	25 of 28 - Sale <sup>25</sup>
	200.000	1,341.97	06/28/21	1,318.00	...	23.97	26 of 28 - Sale <sup>25</sup>
	200.000	1,341.97	06/28/21	1,318.00	...	23.97	27 of 28 - Sale <sup>25</sup>
	807.785	5,412.03	06/28/21	5,323.30	...	88.73	28 of 28 - Sale <sup>25</sup>
06/28/21	3,328.000	22,314.62	Various	22,659.36	...	-344.74	Total of 28 transactions

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol: (cont'd)							
49 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
100.000		669.98	06/28/21	689.95	6.21 W	-13.76	1 of 49 - Sale <sup>25</sup>
221.398		1,182.23	06/28/21	1,277.27	94.94 W	-0.10	2 of 49 - Sale <sup>25</sup>
0.373		2.00	06/28/21	2.15	0.15 W	0.00	3 of 49 - Sale <sup>25</sup>
0.228		1.22	06/28/21	1.32	0.10 W	0.00	4 of 49 - Sale <sup>25</sup>
0.373		1.99	06/28/21	2.30	0.31 W	0.00	5 of 49 - Sale <sup>25</sup>
950.000		5,072.86	06/28/21	5,480.64	407.78 W	0.00	6 of 49 - Sale <sup>25</sup>
100.000		533.98	06/28/21	576.91	42.93 W	0.00	7 of 49 - Sale <sup>25</sup>
1.000		5.34	06/28/21	5.77	0.43 W	0.00	8 of 49 - Sale <sup>25</sup>
195.000		1,041.27	06/28/21	1,124.98	83.71 W	0.00	9 of 49 - Sale <sup>25</sup>
263.228		1,405.60	06/28/21	1,518.59	112.99 W	0.00	10 of 49 - Sale <sup>25</sup>
0.228		1.22	06/28/21	1.42	0.20 W	0.00	11 of 49 - Sale <sup>25</sup>
0.373		1.99	06/28/21	2.46	0.47 W	0.00	12 of 49 - Sale <sup>25</sup>
1.170		6.25	06/28/21	7.25	1.00 W	0.00	13 of 49 - Sale <sup>25</sup>
0.318		2.01	06/28/21	2.37	0.36 W	0.00	14 of 49 - Sale <sup>25</sup>
20.000		126.41	06/28/21	148.99	22.58 W	0.00	15 of 49 - Sale <sup>25</sup>
1.000		6.32	06/28/21	7.45	1.13 W	0.00	16 of 49 - Sale <sup>25</sup>
8.318		52.57	06/28/21	61.96	9.39 W	0.00	17 of 49 - Sale <sup>25</sup>
100.000		632.04	06/28/21	744.94	112.90 W	0.00	18 of 49 - Sale <sup>25</sup>
199.682		1,262.08	06/28/21	1,487.50	225.42 W	0.00	19 of 49 - Sale <sup>25</sup>
950.000		6,004.42	06/28/21	7,076.88	1,072.46 W	0.00	20 of 49 - Sale <sup>25</sup>
2.715		17.17	06/28/21	20.23	3.06 W	0.00	21 of 49 - Sale <sup>25</sup>
0.228		1.53	06/28/21	1.60	0.07 W	0.00	22 of 49 - Sale <sup>25</sup>
0.105		0.71	06/28/21	0.73	0.02 W	0.00	23 of 49 - Sale <sup>25</sup>
100.000		670.98	06/28/21	701.93	30.95 W	0.00	24 of 49 - Sale <sup>25</sup>
83.635		561.17	06/28/21	587.05	25.88 W	0.00	25 of 49 - Sale <sup>25</sup>
16.365		109.81	06/28/21	114.87	5.06 W	0.00	26 of 49 - Sale <sup>25</sup>
100.000		670.98	06/28/21	701.93	30.95 W	0.00	27 of 49 - Sale <sup>25</sup>
0.228		1.53	06/28/21	1.71	0.18 W	0.00	28 of 49 - Sale <sup>25</sup>
0.318		2.13	06/28/21	2.45	0.32 W	0.00	29 of 49 - Sale <sup>25</sup>
0.373		2.50	06/28/21	2.93	0.43 W	0.00	30 of 49 - Sale <sup>25</sup>
1.170		7.85	06/28/21	8.71	0.86 W	0.00	31 of 49 - Sale <sup>25</sup>
20.000		134.20	06/28/21	154.38	20.18 W	0.00	32 of 49 - Sale <sup>25</sup>
31.048		208.33	06/28/21	239.65	31.32 W	0.00	33 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol: (cont'd)							
	146.863	985.43	06/28/21	1,030.87	45.44 W	0.00	34 of 49 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	771.89	100.91 W	0.00	35 of 49 - Sale <sup>25</sup>
	68.635	460.53	06/28/21	529.79	69.26 W	0.00	36 of 49 - Sale <sup>25</sup>
	431.365	2,894.39	06/28/21	3,329.67	435.28 W	0.00	37 of 49 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	771.89	100.91 W	0.00	38 of 49 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	771.89	100.91 W	0.00	39 of 49 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	771.89	100.91 W	0.00	40 of 49 - Sale <sup>25</sup>
	100.000	670.98	06/28/21	771.89	100.91 W	0.00	41 of 49 - Sale <sup>25</sup>
	81.365	545.95	06/28/21	628.06	82.11 W	0.00	42 of 49 - Sale <sup>25</sup>
	118.635	796.02	06/28/21	915.73	119.71 W	0.00	43 of 49 - Sale <sup>25</sup>
	0.228	1.53	06/28/21	1.57	0.04 W	0.00	44 of 49 - Sale <sup>25</sup>
	1.000	6.71	06/28/21	7.72	1.01 W	0.00	45 of 49 - Sale <sup>25</sup>
	2.715	18.22	06/28/21	20.95	2.73 W	0.00	46 of 49 - Sale <sup>25</sup>
	8.318	55.81	06/28/21	64.20	8.39 W	0.00	47 of 49 - Sale <sup>25</sup>
	18.635	125.04	06/28/21	143.84	18.80 W	0.00	48 of 49 - Sale <sup>25</sup>
	0.105	0.70	06/28/21	0.71	0.01 W	0.00	49 of 49 - Sale <sup>25</sup>
06/28/21	4,846.738	29,645.90	Various	33,291.83	3,632.07 W	-13.86	Total of 49 transactions
	14 transactions for 07/27/21. Total proceeds and cost reported to the IRS.						
	118.635	788.74	07/23/21	1,139.97	...	-351.23	1 of 14 - Sale <sup>25</sup>
	100.000	664.84	07/23/21	960.91	...	-296.07	2 of 14 - Sale <sup>25</sup>
	100.000	664.84	07/23/21	960.91	...	-296.07	3 of 14 - Sale <sup>25</sup>
	100.000	664.85	07/23/21	960.91	...	-296.06	4 of 14 - Sale <sup>25</sup>
	100.000	664.85	07/23/21	960.91	...	-296.06	5 of 14 - Sale <sup>25</sup>
	81.365	540.95	07/23/21	781.85	...	-240.90	6 of 14 - Sale <sup>25</sup>
	37.889	251.90	07/23/21	364.08	...	-112.18	7 of 14 - Sale <sup>25</sup>
	31.111	206.84	07/23/21	273.76	...	-66.92	8 of 14 - Sale <sup>25</sup>
	18.635	123.89	07/23/21	179.06	...	-55.17	9 of 14 - Sale <sup>25</sup>
	8.318	55.30	07/23/21	79.92	...	-24.62	10 of 14 - Sale <sup>25</sup>
	2.715	18.05	07/23/21	26.08	...	-8.03	11 of 14 - Sale <sup>25</sup>
	1.000	6.65	07/23/21	9.61	...	-2.96	12 of 14 - Sale <sup>25</sup>
	0.228	1.51	07/23/21	2.00	...	-0.49	13 of 14 - Sale <sup>25</sup>
	0.105	0.70	07/23/21	0.91	...	-0.21	14 of 14 - Sale <sup>25</sup>
07/27/21	700.000	4,653.91	Various	6,700.88	...	-2,046.97	Total of 14 transactions
	<b>Security total:</b>	<b>85,319.02</b>		<b>86,760.58</b>	<b>3,632.07 W</b>	<b>2,190.51</b>	

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MARPAI, INC. CLASS A COMMON STOCK / CUSIP: 571354109 / Symbol:							
11/15/21	1,000.000	5,169.85	11/12/21	5,168.30	...	1.55	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/21/21	5.000	291.73	01/14/21	303.88	12.15 W	0.00	Sale <sup>25</sup>
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	5.000	310.32	02/09/21	321.28	...	-10.96	1 of 2 - Sale <sup>25</sup>
	20.000	1,241.27	02/09/21	1,236.50	...	4.77	2 of 2 - Sale <sup>25</sup>
02/10/21	25.000	1,551.59	Various	1,557.78	...	-6.19	Total of 2 transactions
	2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.						
	32.000	1,172.49	03/31/21	1,227.04	16.47 W	-38.08	1 of 2 - Sale <sup>25</sup>
	0.338	12.53	03/31/21	12.96	0.43 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/21	32.338	1,185.02	Various	1,240.00	16.90 W	-38.08	Total of 2 transactions
	2 transactions for 06/16/21. Total proceeds and cost reported to the IRS.						
	0.338	14.91	05/07/21	12.91	...	2.00	1 of 2 - Sale <sup>25</sup>
	9.662	426.24	05/07/21	373.29	...	52.95	2 of 2 - Sale <sup>25</sup>
06/16/21	10.000	441.15	Various	386.20	...	54.95	Total of 2 transactions
	<b>Security total:</b>	<b>3,469.49</b>		<b>3,487.86</b>	<b>29.05 W</b>	<b>10.68</b>	
OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol:							
	77 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	7,141.196	20,994.16	07/02/21	22,567.14	...	-1,572.98	1 of 77 - Sale <sup>25</sup>
	481.010	1,414.10	07/02/21	1,520.06	...	-105.96	2 of 77 - Sale <sup>25</sup>
	18.654	54.84	07/02/21	61.75	...	-6.91	3 of 77 - Sale <sup>25</sup>
	0.196	0.58	07/02/21	0.65	...	-0.07	4 of 77 - Sale <sup>25</sup>
	0.140	0.41	07/02/21	0.47	...	-0.06	5 of 77 - Sale <sup>25</sup>
	0.150	0.47	07/02/21	0.46	...	0.01	6 of 77 - Sale <sup>25</sup>
	0.306	0.96	07/02/21	0.95	...	0.01	7 of 77 - Sale <sup>25</sup>
	0.150	0.47	07/02/21	0.46	...	0.01	8 of 77 - Sale <sup>25</sup>
	0.306	0.96	07/02/21	0.94	...	0.02	9 of 77 - Sale <sup>25</sup>
	0.457	1.43	07/02/21	1.41	...	0.02	10 of 77 - Sale <sup>25</sup>
	0.517	1.62	07/02/21	1.59	...	0.03	11 of 77 - Sale <sup>25</sup>
	4.457	13.95	07/02/21	13.77	...	0.18	12 of 77 - Sale <sup>25</sup>
	5.543	17.35	07/02/21	17.13	...	0.22	13 of 77 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol: (cont'd)							
	7.000	21.91	07/02/21	21.63	...	0.28	14 of 77 - Sale <sup>25</sup>
	9.543	29.87	07/02/21	29.49	...	0.38	15 of 77 - Sale <sup>25</sup>
	10.000	31.29	07/02/21	30.90	...	0.39	16 of 77 - Sale <sup>25</sup>
	9.850	30.83	07/02/21	30.44	...	0.39	17 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	18 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	19 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	20 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	21 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	22 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	23 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	24 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	25 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	26 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	27 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	28 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	29 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	30 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	31 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	32 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	33 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	34 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	35 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	36 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	37 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	38 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	39 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	40 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	41 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	42 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	43 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	44 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	45 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	46 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	47 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol: (cont'd)							
	10.000	31.30	07/02/21	30.90	...	0.40	48 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	49 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	50 of 77 - Sale <sup>25</sup>
	10.000	31.30	07/02/21	30.90	...	0.40	51 of 77 - Sale <sup>25</sup>
	29.000	90.76	07/02/21	89.62	...	1.14	52 of 77 - Sale <sup>25</sup>
	29.543	92.47	07/02/21	91.29	...	1.18	53 of 77 - Sale <sup>25</sup>
	29.026	90.85	07/02/21	89.54	...	1.31	54 of 77 - Sale <sup>25</sup>
	35.850	112.20	07/02/21	110.78	...	1.42	55 of 77 - Sale <sup>25</sup>
	50.000	156.49	07/02/21	154.50	...	1.99	56 of 77 - Sale <sup>25</sup>
	64.150	200.78	07/02/21	198.23	...	2.55	57 of 77 - Sale <sup>25</sup>
	71.000	222.22	07/02/21	219.40	...	2.82	58 of 77 - Sale <sup>25</sup>
	70.150	219.56	07/02/21	216.43	...	3.13	59 of 77 - Sale <sup>25</sup>
	81.150	253.99	07/02/21	250.76	...	3.23	60 of 77 - Sale <sup>25</sup>
	84.000	262.91	07/02/21	259.57	...	3.34	61 of 77 - Sale <sup>25</sup>
	87.694	274.47	07/02/21	270.99	...	3.48	62 of 77 - Sale <sup>25</sup>
	89.000	278.56	07/02/21	275.02	...	3.54	63 of 77 - Sale <sup>25</sup>
	94.306	295.17	07/02/21	291.42	...	3.75	64 of 77 - Sale <sup>25</sup>
	100.000	312.99	07/02/21	309.01	...	3.98	65 of 77 - Sale <sup>25</sup>
	200.000	625.97	07/02/21	618.03	...	7.94	66 of 77 - Sale <sup>25</sup>
	200.000	625.97	07/02/21	618.03	...	7.94	67 of 77 - Sale <sup>25</sup>
	229.543	718.44	07/02/21	709.32	...	9.12	68 of 77 - Sale <sup>25</sup>
	235.850	738.18	07/02/21	728.82	...	9.36	69 of 77 - Sale <sup>25</sup>
	229.543	718.44	07/02/21	708.17	...	10.27	70 of 77 - Sale <sup>25</sup>
	270.457	846.49	07/02/21	835.75	...	10.74	71 of 77 - Sale <sup>25</sup>
	290.150	908.09	07/02/21	896.60	...	11.49	72 of 77 - Sale <sup>25</sup>
	300.000	938.96	07/02/21	927.04	...	11.92	73 of 77 - Sale <sup>25</sup>
	270.457	846.49	07/02/21	834.40	...	12.09	74 of 77 - Sale <sup>25</sup>
	702.850	2,199.83	07/02/21	2,171.90	...	27.93	75 of 77 - Sale <sup>25</sup>
	885.000	2,769.93	07/02/21	2,734.77	...	35.16	76 of 77 - Sale <sup>25</sup>
	2,707.150	8,473.01	07/02/21	8,365.46	...	107.55	77 of 77 - Sale <sup>25</sup>
07/02/21	15,465.347	46,952.62	Various	48,324.69	...	-1,372.07	Total of 77 transactions
		54 transactions for 07/02/21. Total proceeds and cost reported to the IRS.					
	7,167.732	21,358.88	07/02/21	22,435.00	1,072.14 W	-3.98	1 of 54 - Sale <sup>25</sup>
	0.974	2.83	07/02/21	2.97	0.14 W	0.00	2 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol: (cont'd)							
29.026		84.47	07/02/21	88.82	4.35 W	0.00	3 of 54 - Sale <sup>25</sup>
500.000		1,454.93	07/02/21	1,530.00	75.07 W	0.00	4 of 54 - Sale <sup>25</sup>
100.000		290.99	07/02/21	306.00	15.01 W	0.00	5 of 54 - Sale <sup>25</sup>
500.000		1,454.93	07/02/21	1,530.00	75.07 W	0.00	6 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	7 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	8 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	9 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	10 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	11 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	12 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	13 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	14 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	15 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	16 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	17 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	18 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	19 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	20 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	21 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	22 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	23 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	24 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	25 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	26 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	27 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	28 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	29 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	30 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	31 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	32 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	33 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	34 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	35 of 54 - Sale <sup>25</sup>
10.000		29.10	07/02/21	30.60	1.50 W	0.00	36 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol: (cont'd)							
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	37 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	38 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	39 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	40 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	41 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	42 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	43 of 54 - Sale <sup>25</sup>
	10.000	29.10	07/02/21	30.60	1.50 W	0.00	44 of 54 - Sale <sup>25</sup>
	997.000	2,901.13	07/02/21	3,050.82	149.69 W	0.00	45 of 54 - Sale <sup>25</sup>
	300.000	872.95	07/02/21	918.00	45.05 W	0.00	46 of 54 - Sale <sup>25</sup>
	7.000	20.37	07/02/21	21.42	1.05 W	0.00	47 of 54 - Sale <sup>25</sup>
	50.000	145.50	07/02/21	153.00	7.50 W	0.00	48 of 54 - Sale <sup>25</sup>
	4,879.000	14,197.23	07/02/21	14,929.74	732.51 W	0.00	49 of 54 - Sale <sup>25</sup>
	100.000	290.99	07/02/21	306.00	15.01 W	0.00	50 of 54 - Sale <sup>25</sup>
	0.140	0.40	07/02/21	0.43	0.03 W	0.00	51 of 54 - Sale <sup>25</sup>
	0.140	0.42	07/02/21	0.47	0.05 W	0.00	52 of 54 - Sale <sup>25</sup>
	18.850	56.17	07/02/21	61.83	5.66 W	0.00	53 of 54 - Sale <sup>25</sup>
	481.010	1,433.34	07/02/21	1,505.56	72.22 W	0.00	54 of 54 - Sale <sup>25</sup>
07/02/21	15,510.872	45,671.33	Various	48,002.86	2,327.55 W	-3.98	Total of 54 transactions
	<b>Security total:</b>	<b>92,623.95</b>		<b>96,327.55</b>	<b>2,327.55 W</b>	<b>-1,376.05</b>	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	494.000	568.02	02/09/21	592.80	11.75 W	-13.03	1 of 2 - Sale <sup>25</sup>
	16.000	18.40	02/09/21	19.20	0.80 W	0.00	2 of 2 - Sale <sup>25</sup>
02/10/21	510.000	586.42	Various	612.00	12.55 W	-13.03	Total of 2 transactions
4 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	234.266	241.26	02/24/21	386.18	...	-144.92	1 of 4 - Sale <sup>25</sup>
	13.000	13.33	02/24/21	21.42	...	-8.09	2 of 4 - Sale <sup>25</sup>
	2.734	2.82	02/24/21	4.51	...	-1.69	3 of 4 - Sale <sup>25</sup>
	0.266	0.27	02/24/21	0.44	...	-0.17	4 of 4 - Sale <sup>25</sup>
03/25/21	250.266	257.68	Various	412.55	...	-154.87	Total of 4 transactions
	<b>Security total:</b>	<b>844.10</b>		<b>1,024.55</b>	<b>12.55 W</b>	<b>-167.90</b>	

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Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PETVIVO HOLDINGS, INC. COMMON STOCK / CUSIP: 716817408 / Symbol:							
<i>7 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>							
	400.000	1,767.94	11/08/21	1,856.42	...	-88.48	1 of 7 - Sale <sup>25</sup>
	400.000	1,767.94	11/08/21	1,827.96	...	-60.02	2 of 7 - Sale <sup>25</sup>
	400.000	1,767.93	11/08/21	1,796.44	...	-28.51	3 of 7 - Sale <sup>25</sup>
	69.000	304.97	11/08/21	318.78	...	-13.81	4 of 7 - Sale <sup>25</sup>
	69.000	304.97	11/08/21	318.78	...	-13.81	5 of 7 - Sale <sup>25</sup>
	31.000	137.02	11/08/21	144.15	...	-7.13	6 of 7 - Sale <sup>25</sup>
	31.000	137.02	11/08/21	143.22	...	-6.20	7 of 7 - Sale <sup>25</sup>
11/11/21	1,400.000	6,187.79	Various	6,405.75	...	-217.96	Total of 7 transactions
<i>3 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>							
	69.000	307.04	11/08/21	315.32	8.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	444.99	11/08/21	456.99	12.00 W	0.00	2 of 3 - Sale <sup>25</sup>
	431.000	1,905.00	11/08/21	1,969.63	64.63 W	0.00	3 of 3 - Sale <sup>25</sup>
11/11/21	600.000	2,657.03	Various	2,741.94	84.91 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>8,844.82</b>		<b>9,147.69</b>	<b>84.91 W</b>	<b>-217.96</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>							
	90.000	4,336.91	10/20/21	5,573.61	...	-1,236.70	1 of 2 - Sale <sup>25</sup>
	10.000	481.88	10/20/21	619.29	...	-137.41	2 of 2 - Sale <sup>25</sup>
10/26/21	100.000	4,818.79	Various	6,192.90	...	-1,374.11	Total of 2 transactions
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
01/11/21	50.000	62.00	01/11/21	70.98	...	-8.98	Sale <sup>25</sup>
PROTALIX BIOTHERAPEUTICS, INC. COMMON STOCK / CUSIP: 74365A309 / Symbol:							
02/09/21	200.000	1,252.47	02/08/21	1,150.00	...	102.47	Sale <sup>25</sup>
RING ENERGY INC. / CUSIP: 76680V108 / Symbol:							
01/19/21	100.000	106.99	01/14/21	111.00	...	-4.01	Sale <sup>25</sup>
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/05/21	60.000	3,329.38	07/29/21	2,280.00	...	1,049.38	Sale <sup>25</sup>

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(continued)

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SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F200 / Symbol:							
7 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	3.000	11.43	09/16/21	11.31	...	0.12	1 of 7 - Sale <sup>25</sup>
	17.000	64.77	09/16/21	64.09	...	0.68	2 of 7 - Sale <sup>25</sup>
	100.000	379.99	09/16/21	376.99	...	3.00	3 of 7 - Sale <sup>25</sup>
	100.000	380.99	09/16/21	376.99	...	4.00	4 of 7 - Sale <sup>25</sup>
	221.000	839.76	09/16/21	833.15	...	6.61	5 of 7 - Sale <sup>25</sup>
	300.000	1,139.95	09/16/21	1,130.97	...	8.98	6 of 7 - Sale <sup>25</sup>
	259.000	986.75	09/16/21	976.40	...	10.35	7 of 7 - Sale <sup>25</sup>
09/17/21	1,000.000	3,803.64	Various	3,769.90	...	33.74	Total of 7 transactions
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
8 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	0.420	1.38	07/08/21	1.36	...	0.02	1 of 8 - Sale <sup>25</sup>
	100.000	328.99	07/08/21	324.00	...	4.99	2 of 8 - Sale <sup>25</sup>
	100.000	328.99	07/08/21	324.00	...	4.99	3 of 8 - Sale <sup>25</sup>
	100.000	328.99	07/08/21	324.00	...	4.99	4 of 8 - Sale <sup>25</sup>
	196.000	644.81	07/08/21	635.04	...	9.77	5 of 8 - Sale <sup>25</sup>
	200.000	657.97	07/08/21	648.00	...	9.97	6 of 8 - Sale <sup>25</sup>
	699.580	2,301.52	07/08/21	2,266.64	...	34.88	7 of 8 - Sale <sup>25</sup>
	1,690.420	5,561.24	07/08/21	5,476.96	...	84.28	8 of 8 - Sale <sup>25</sup>
07/08/21	3,086.420	10,153.89	Various	10,000.00	...	153.89	Total of 8 transactions
73 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	138.826	516.41	07/09/21	674.41	...	-158.00	1 of 73 - Sale <sup>25</sup>
	543.000	2,019.88	07/09/21	2,167.07	...	-147.19	2 of 73 - Sale <sup>25</sup>
	500.000	1,859.93	07/09/21	1,996.12	...	-136.19	3 of 73 - Sale <sup>25</sup>
	82.486	306.92	07/09/21	401.84	...	-94.92	4 of 73 - Sale <sup>25</sup>
	91.687	341.53	07/09/21	426.48	...	-84.95	5 of 73 - Sale <sup>25</sup>
	90.000	335.24	07/09/21	418.62	...	-83.38	6 of 73 - Sale <sup>25</sup>
	89.514	333.43	07/09/21	416.35	...	-82.92	7 of 73 - Sale <sup>25</sup>
	74.174	276.29	07/09/21	345.01	...	-68.72	8 of 73 - Sale <sup>25</sup>
	59.486	221.58	07/09/21	289.78	...	-68.20	9 of 73 - Sale <sup>25</sup>
	217.486	809.02	07/09/21	868.25	...	-59.23	10 of 73 - Sale <sup>25</sup>
	59.486	221.57	07/09/21	276.69	...	-55.12	11 of 73 - Sale <sup>25</sup>
	179.393	667.31	07/09/21	716.18	...	-48.87	12 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	176.174	655.34	07/09/21	703.33	...	-47.99	13 of 73 - Sale <sup>25</sup>
	900.000	3,347.87	07/09/21	3,394.87	...	-47.00	14 of 73 - Sale <sup>25</sup>
	40.514	150.91	07/09/21	197.36	...	-46.45	15 of 73 - Sale <sup>25</sup>
	49.000	182.52	07/09/21	227.91	...	-45.39	16 of 73 - Sale <sup>25</sup>
	48.826	181.87	07/09/21	227.11	...	-45.24	17 of 73 - Sale <sup>25</sup>
	800.000	2,975.89	07/09/21	3,017.68	...	-41.79	18 of 73 - Sale <sup>25</sup>
	300.000	1,058.95	07/09/21	1,098.44	...	-39.49	19 of 73 - Sale <sup>25</sup>
	41.000	152.72	07/09/21	190.71	...	-37.99	20 of 73 - Sale <sup>25</sup>
	40.514	150.91	07/09/21	188.44	...	-37.53	21 of 73 - Sale <sup>25</sup>
	123.826	460.61	07/09/21	494.34	...	-33.73	22 of 73 - Sale <sup>25</sup>
	100.000	371.99	07/09/21	399.08	...	-27.09	23 of 73 - Sale <sup>25</sup>
	100.000	372.49	07/09/21	399.09	...	-26.60	24 of 73 - Sale <sup>25</sup>
	89.514	333.43	07/09/21	357.24	...	-23.81	25 of 73 - Sale <sup>25</sup>
	17.514	65.24	07/09/21	85.32	...	-20.08	26 of 73 - Sale <sup>25</sup>
	312.000	1,160.60	07/09/21	1,176.90	...	-16.30	27 of 73 - Sale <sup>25</sup>
	17.514	65.17	07/09/21	81.46	...	-16.29	28 of 73 - Sale <sup>25</sup>
	59.486	221.58	07/09/21	237.41	...	-15.83	29 of 73 - Sale <sup>25</sup>
	258.387	961.16	07/09/21	974.67	...	-13.51	30 of 73 - Sale <sup>25</sup>
	49.127	182.74	07/09/21	196.12	...	-13.38	31 of 73 - Sale <sup>25</sup>
	48.687	181.11	07/09/21	194.31	...	-13.20	32 of 73 - Sale <sup>25</sup>
	8.954	33.31	07/09/21	43.50	...	-10.19	33 of 73 - Sale <sup>25</sup>
	229.104	853.38	07/09/21	861.88	...	-8.50	34 of 73 - Sale <sup>25</sup>
	8.313	30.96	07/09/21	38.67	...	-7.71	35 of 73 - Sale <sup>25</sup>
	8.313	30.96	07/09/21	38.66	...	-7.70	36 of 73 - Sale <sup>25</sup>
	8.313	30.96	07/09/21	38.66	...	-7.70	37 of 73 - Sale <sup>25</sup>
	200.000	744.97	07/09/21	752.39	...	-7.42	38 of 73 - Sale <sup>25</sup>
	7.826	29.15	07/09/21	36.39	...	-7.24	39 of 73 - Sale <sup>25</sup>
	7.818	29.12	07/09/21	36.35	...	-7.23	40 of 73 - Sale <sup>25</sup>
	25.000	93.00	07/09/21	99.80	...	-6.80	41 of 73 - Sale <sup>25</sup>
	10.486	39.06	07/09/21	41.85	...	-2.79	42 of 73 - Sale <sup>25</sup>
	10.000	37.20	07/09/21	39.92	...	-2.72	43 of 73 - Sale <sup>25</sup>
	10.000	37.20	07/09/21	39.91	...	-2.71	44 of 73 - Sale <sup>25</sup>
	8.434	31.37	07/09/21	33.66	...	-2.29	45 of 73 - Sale <sup>25</sup>
	62.583	233.11	07/09/21	235.35	...	-2.24	46 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	2.174	8.10	07/09/21	10.11	...	-2.01	47 of 73 - Sale <sup>25</sup>
	2.174	8.10	07/09/21	10.11	...	-2.01	48 of 73 - Sale <sup>25</sup>
	2.174	8.10	07/09/21	10.11	...	-2.01	49 of 73 - Sale <sup>25</sup>
	1.387	5.16	07/09/21	6.73	...	-1.57	50 of 73 - Sale <sup>25</sup>
	19.313	71.84	07/09/21	72.85	...	-1.01	51 of 73 - Sale <sup>25</sup>
	0.613	2.28	07/09/21	2.97	...	-0.69	52 of 73 - Sale <sup>25</sup>
	0.607	2.26	07/09/21	2.94	...	-0.68	53 of 73 - Sale <sup>25</sup>
	0.566	2.11	07/09/21	2.70	...	-0.59	54 of 73 - Sale <sup>25</sup>
	10.000	37.20	07/09/21	37.72	...	-0.52	55 of 73 - Sale <sup>25</sup>
	1.000	3.72	07/09/21	3.99	...	-0.27	56 of 73 - Sale <sup>25</sup>
	0.220	0.82	07/09/21	1.08	...	-0.26	57 of 73 - Sale <sup>25</sup>
	0.203	0.72	07/09/21	0.74	...	-0.02	58 of 73 - Sale <sup>25</sup>
	0.009	0.03	07/09/21	0.04	...	-0.01	59 of 73 - Sale <sup>25</sup>
	0.003	0.01	07/09/21	0.01	...	0.00	60 of 73 - Sale <sup>25</sup>
	0.003	0.01	07/09/21	0.01	...	0.00	61 of 73 - Sale <sup>25</sup>
	0.126	0.47	07/09/21	0.47	...	0.00	62 of 73 - Sale <sup>25</sup>
	0.245	0.89	07/09/21	0.85	...	0.04	63 of 73 - Sale <sup>25</sup>
	2.000	7.28	07/09/21	6.96	...	0.32	64 of 73 - Sale <sup>25</sup>
	20.000	72.80	07/09/21	69.80	...	3.00	65 of 73 - Sale <sup>25</sup>
	200.000	727.99	07/09/21	696.00	...	31.99	66 of 73 - Sale <sup>25</sup>
	199.997	727.98	07/09/21	695.79	...	32.19	67 of 73 - Sale <sup>25</sup>
	274.000	997.32	07/09/21	956.26	...	41.06	68 of 73 - Sale <sup>25</sup>
	700.000	2,533.90	07/09/21	2,443.00	...	90.90	69 of 73 - Sale <sup>25</sup>
	597.997	2,176.63	07/09/21	2,081.03	...	95.60	70 of 73 - Sale <sup>25</sup>
	801.000	2,915.52	07/09/21	2,795.49	...	120.03	71 of 73 - Sale <sup>25</sup>
	1,038.000	3,778.18	07/09/21	3,622.62	...	155.56	72 of 73 - Sale <sup>25</sup>
	3,333.003	12,131.66	07/09/21	11,632.18	...	499.48	73 of 73 - Sale <sup>25</sup>
07/09/21	13,509.577	49,617.04	Various	50,338.14	...	-721.10	Total of 73 transactions
	119 transactions for 07/09/21. Total proceeds and cost reported to the IRS.						
	100.000	352.99	07/09/21	366.14	2.54 W	-10.61	1 of 119 - Sale <sup>25</sup>
	77.000	269.49	07/09/21	286.44	16.95 W	0.00	2 of 119 - Sale <sup>25</sup>
	723.000	2,530.40	07/09/21	2,689.56	159.16 W	0.00	3 of 119 - Sale <sup>25</sup>
	77.000	269.49	07/09/21	303.39	33.90 W	0.00	4 of 119 - Sale <sup>25</sup>
	200.000	699.97	07/09/21	744.00	44.03 W	0.00	5 of 119 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	282.000	986.96	07/09/21	1,111.12	124.16 W	0.00	6 of 119 - Sale <sup>25</sup>
	100.000	349.99	07/09/21	394.01	44.02 W	0.00	7 of 119 - Sale <sup>25</sup>
	141.000	493.48	07/09/21	555.56	62.08 W	0.00	8 of 119 - Sale <sup>25</sup>
	41.000	143.50	07/09/21	170.57	27.07 W	0.00	9 of 119 - Sale <sup>25</sup>
	77.000	269.49	07/09/21	320.34	50.85 W	0.00	10 of 119 - Sale <sup>25</sup>
	200.000	699.97	07/09/21	788.03	88.06 W	0.00	11 of 119 - Sale <sup>25</sup>
	200.000	699.97	07/09/21	788.03	88.06 W	0.00	12 of 119 - Sale <sup>25</sup>
	282.000	986.96	07/09/21	1,173.20	186.24 W	0.00	13 of 119 - Sale <sup>25</sup>
	49.000	171.49	07/09/21	203.85	32.36 W	0.00	14 of 119 - Sale <sup>25</sup>
	10.000	35.00	07/09/21	41.60	6.60 W	0.00	15 of 119 - Sale <sup>25</sup>
	90.000	314.99	07/09/21	374.43	59.44 W	0.00	16 of 119 - Sale <sup>25</sup>
	77.000	269.49	07/09/21	337.29	67.80 W	0.00	17 of 119 - Sale <sup>25</sup>
	51.000	178.49	07/09/21	212.17	33.68 W	0.00	18 of 119 - Sale <sup>25</sup>
	200.000	699.97	07/09/21	832.06	132.09 W	0.00	19 of 119 - Sale <sup>25</sup>
	172.000	601.98	07/09/21	715.57	113.59 W	0.00	20 of 119 - Sale <sup>25</sup>
	123.000	430.48	07/09/21	538.79	108.31 W	0.00	21 of 119 - Sale <sup>25</sup>
	28.000	98.00	07/09/21	116.49	18.49 W	0.00	22 of 119 - Sale <sup>25</sup>
	149.000	521.47	07/09/21	652.69	131.22 W	0.00	23 of 119 - Sale <sup>25</sup>
	10.000	35.00	07/09/21	43.80	8.80 W	0.00	24 of 119 - Sale <sup>25</sup>
	28.000	98.00	07/09/21	122.65	24.65 W	0.00	25 of 119 - Sale <sup>25</sup>
	41.000	143.49	07/09/21	179.59	36.10 W	0.00	26 of 119 - Sale <sup>25</sup>
	49.000	171.49	07/09/21	214.64	43.15 W	0.00	27 of 119 - Sale <sup>25</sup>
	51.000	178.49	07/09/21	223.40	44.91 W	0.00	28 of 119 - Sale <sup>25</sup>
	77.000	269.49	07/09/21	354.24	84.75 W	0.00	29 of 119 - Sale <sup>25</sup>
	90.000	314.99	07/09/21	394.24	79.25 W	0.00	30 of 119 - Sale <sup>25</sup>
	123.000	430.48	07/09/21	565.87	135.39 W	0.00	31 of 119 - Sale <sup>25</sup>
	10.000	35.00	07/09/21	43.80	8.80 W	0.00	32 of 119 - Sale <sup>25</sup>
	172.000	601.98	07/09/21	753.43	151.45 W	0.00	33 of 119 - Sale <sup>25</sup>
	200.000	699.97	07/09/21	876.09	176.12 W	0.00	34 of 119 - Sale <sup>25</sup>
	149.000	521.48	07/09/21	554.28	32.80 W	0.00	35 of 119 - Sale <sup>25</sup>
	1,000.000	3,499.86	07/09/21	3,720.00	220.14 W	0.00	36 of 119 - Sale <sup>25</sup>
	10.000	35.00	07/09/21	37.20	2.20 W	0.00	37 of 119 - Sale <sup>25</sup>
	25.000	87.50	07/09/21	93.00	5.50 W	0.00	38 of 119 - Sale <sup>25</sup>
	500.000	1,749.93	07/09/21	1,860.00	110.07 W	0.00	39 of 119 - Sale <sup>25</sup>

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Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	1.000	3.50	07/09/21	3.72	0.22 W	0.00	40 of 119 - Sale <sup>25</sup>
	300.000	1,049.95	07/09/21	1,116.00	66.05 W	0.00	41 of 119 - Sale <sup>25</sup>
	266.613	933.11	07/09/21	991.80	58.69 W	0.00	42 of 119 - Sale <sup>25</sup>
	0.607	2.13	07/09/21	2.78	0.65 W	0.00	43 of 119 - Sale <sup>25</sup>
	147.780	517.20	07/09/21	677.68	160.48 W	0.00	44 of 119 - Sale <sup>25</sup>
	1.387	4.85	07/09/21	6.35	1.50 W	0.00	45 of 119 - Sale <sup>25</sup>
	0.613	2.15	07/09/21	2.81	0.66 W	0.00	46 of 119 - Sale <sup>25</sup>
	0.220	0.76	07/09/21	1.01	0.25 W	0.00	47 of 119 - Sale <sup>25</sup>
	0.566	1.96	07/09/21	2.52	0.56 W	0.00	48 of 119 - Sale <sup>25</sup>
	7.826	27.08	07/09/21	34.91	7.83 W	0.00	49 of 119 - Sale <sup>25</sup>
	10.000	34.60	07/09/21	44.60	10.00 W	0.00	50 of 119 - Sale <sup>25</sup>
	28.000	96.88	07/09/21	124.89	28.01 W	0.00	51 of 119 - Sale <sup>25</sup>
	41.000	141.85	07/09/21	182.88	41.03 W	0.00	52 of 119 - Sale <sup>25</sup>
	49.000	169.53	07/09/21	218.57	49.04 W	0.00	53 of 119 - Sale <sup>25</sup>
	51.000	176.45	07/09/21	227.49	51.04 W	0.00	54 of 119 - Sale <sup>25</sup>
	77.000	266.41	07/09/21	360.41	94.00 W	0.00	55 of 119 - Sale <sup>25</sup>
	90.000	311.39	07/09/21	401.45	90.06 W	0.00	56 of 119 - Sale <sup>25</sup>
	123.000	425.56	07/09/21	575.73	150.17 W	0.00	57 of 119 - Sale <sup>25</sup>
	149.000	515.52	07/09/21	566.22	50.70 W	0.00	58 of 119 - Sale <sup>25</sup>
	172.000	595.10	07/09/21	767.21	172.11 W	0.00	59 of 119 - Sale <sup>25</sup>
	200.000	691.97	07/09/21	892.12	200.15 W	0.00	60 of 119 - Sale <sup>25</sup>
	720.607	2,493.20	07/09/21	2,738.41	245.21 W	0.00	61 of 119 - Sale <sup>25</sup>
	100.000	345.99	07/09/21	380.01	34.02 W	0.00	62 of 119 - Sale <sup>25</sup>
	0.220	0.76	07/09/21	1.04	0.28 W	0.00	63 of 119 - Sale <sup>25</sup>
	0.566	1.96	07/09/21	2.59	0.63 W	0.00	64 of 119 - Sale <sup>25</sup>
	0.607	2.10	07/09/21	2.82	0.72 W	0.00	65 of 119 - Sale <sup>25</sup>
	0.613	2.12	07/09/21	2.85	0.73 W	0.00	66 of 119 - Sale <sup>25</sup>
	1.000	3.46	07/09/21	3.80	0.34 W	0.00	67 of 119 - Sale <sup>25</sup>
	1.387	4.80	07/09/21	6.47	1.67 W	0.00	68 of 119 - Sale <sup>25</sup>
	10.000	34.59	07/09/21	38.00	3.41 W	0.00	69 of 119 - Sale <sup>25</sup>
	25.000	86.46	07/09/21	95.00	8.54 W	0.00	70 of 119 - Sale <sup>25</sup>
	147.780	511.11	07/09/21	689.53	178.42 W	0.00	71 of 119 - Sale <sup>25</sup>
	266.613	922.10	07/09/21	1,013.16	91.06 W	0.00	72 of 119 - Sale <sup>25</sup>
	300.000	1,037.57	07/09/21	1,140.05	102.48 W	0.00	73 of 119 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	500.000	1,729.28	07/09/21	1,900.07	170.79 W	0.00	74 of 119 - Sale <sup>25</sup>
	179.393	620.44	07/09/21	681.72	61.28 W	0.00	75 of 119 - Sale <sup>25</sup>
	3,109.821	10,755.49	07/09/21	11,133.16	377.67 W	0.00	76 of 119 - Sale <sup>25</sup>
	62.583	216.53	07/09/21	224.05	7.52 W	0.00	77 of 119 - Sale <sup>25</sup>
	0.009	0.03	07/09/21	0.04	0.01 W	0.00	78 of 119 - Sale <sup>25</sup>
	7.818	27.60	07/09/21	35.49	7.89 W	0.00	79 of 119 - Sale <sup>25</sup>
	2.174	7.67	07/09/21	9.87	2.20 W	0.00	80 of 119 - Sale <sup>25</sup>
	41.000	144.72	07/09/21	186.17	41.45 W	0.00	81 of 119 - Sale <sup>25</sup>
	49.000	172.97	07/09/21	222.50	49.53 W	0.00	82 of 119 - Sale <sup>25</sup>
	7.826	27.63	07/09/21	35.53	7.90 W	0.00	83 of 119 - Sale <sup>25</sup>
	2.174	7.67	07/09/21	9.87	2.20 W	0.00	84 of 119 - Sale <sup>25</sup>
	90.000	317.69	07/09/21	408.66	90.97 W	0.00	85 of 119 - Sale <sup>25</sup>
	48.826	172.35	07/09/21	221.71	49.36 W	0.00	86 of 119 - Sale <sup>25</sup>
	151.174	533.62	07/09/21	686.44	152.82 W	0.00	87 of 119 - Sale <sup>25</sup>
	77.000	271.80	07/09/21	366.58	94.78 W	0.00	88 of 119 - Sale <sup>25</sup>
	74.174	261.82	07/09/21	336.80	74.98 W	0.00	89 of 119 - Sale <sup>25</sup>
	48.826	172.35	07/09/21	221.71	49.36 W	0.00	90 of 119 - Sale <sup>25</sup>
	2.174	7.67	07/09/21	9.87	2.20 W	0.00	91 of 119 - Sale <sup>25</sup>
	97.826	345.32	07/09/21	444.19	98.87 W	0.00	92 of 119 - Sale <sup>25</sup>
	25.826	91.16	07/09/21	117.26	26.10 W	0.00	93 of 119 - Sale <sup>25</sup>
	123.000	434.17	07/09/21	585.59	151.42 W	0.00	94 of 119 - Sale <sup>25</sup>
	149.000	525.95	07/09/21	578.16	52.21 W	0.00	95 of 119 - Sale <sup>25</sup>
	702.174	2,478.58	07/09/21	2,724.63	246.05 W	0.00	96 of 119 - Sale <sup>25</sup>
	10.000	35.30	07/09/21	38.80	3.50 W	0.00	97 of 119 - Sale <sup>25</sup>
	1.000	3.53	07/09/21	3.88	0.35 W	0.00	98 of 119 - Sale <sup>25</sup>
	10.000	35.30	07/09/21	38.81	3.51 W	0.00	99 of 119 - Sale <sup>25</sup>
	25.000	88.25	07/09/21	97.04	8.79 W	0.00	100 of 119 - Sale <sup>25</sup>
	100.000	352.99	07/09/21	388.02	35.03 W	0.00	101 of 119 - Sale <sup>25</sup>
	179.393	633.23	07/09/21	696.33	63.10 W	0.00	102 of 119 - Sale <sup>25</sup>
	176.174	621.87	07/09/21	683.84	61.97 W	0.00	103 of 119 - Sale <sup>25</sup>
	500.000	1,764.92	07/09/21	1,940.79	175.87 W	0.00	104 of 119 - Sale <sup>25</sup>
	8.434	29.77	07/09/21	32.73	2.96 W	0.00	105 of 119 - Sale <sup>25</sup>
	0.607	2.14	07/09/21	2.87	0.73 W	0.00	106 of 119 - Sale <sup>25</sup>
	8.954	31.61	07/09/21	42.51	10.90 W	0.00	107 of 119 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol: (cont'd)							
	266.613	941.10	07/09/21	1,034.87	93.77 W	0.00	108 of 119 - Sale <sup>25</sup>
	123.826	437.09	07/09/21	480.64	43.55 W	0.00	109 of 119 - Sale <sup>25</sup>
	0.220	0.78	07/09/21	1.06	0.28 W	0.00	110 of 119 - Sale <sup>25</sup>
	0.566	2.00	07/09/21	2.64	0.64 W	0.00	111 of 119 - Sale <sup>25</sup>
	0.613	2.16	07/09/21	2.90	0.74 W	0.00	112 of 119 - Sale <sup>25</sup>
	1.387	4.90	07/09/21	6.58	1.68 W	0.00	113 of 119 - Sale <sup>25</sup>
	138.826	490.04	07/09/21	659.05	169.01 W	0.00	114 of 119 - Sale <sup>25</sup>
	258.387	912.06	07/09/21	946.07	34.01 W	0.00	115 of 119 - Sale <sup>25</sup>
	10.000	35.30	07/09/21	36.61	1.31 W	0.00	116 of 119 - Sale <sup>25</sup>
	312.000	1,101.31	07/09/21	1,142.37	41.06 W	0.00	117 of 119 - Sale <sup>25</sup>
	800.000	2,823.88	07/09/21	2,929.16	105.28 W	0.00	118 of 119 - Sale <sup>25</sup>
	900.000	3,176.87	07/09/21	3,295.30	118.43 W	0.00	119 of 119 - Sale <sup>25</sup>
07/09/21	18,658.803	65,209.25	Various	72,709.38	7,489.52 W	-10.61	Total of 119 transactions
	<b>Security total:</b>	<b>124,980.18</b>		<b>133,047.52</b>	<b>7,489.52 W</b>	<b>-577.82</b>	
STRAN & COMPANY, INC. COMMON S TOCK / CUSIP: 86260J102 / Symbol:							
	6 transactions for 12/08/21. Total proceeds and cost reported to the IRS.						
	500.000	2,734.92	12/08/21	2,945.00	...	-210.08	1 of 6 - Sale <sup>25</sup>
	300.000	1,640.95	12/08/21	1,767.00	...	-126.05	2 of 6 - Sale <sup>25</sup>
	200.000	1,093.97	12/08/21	1,178.00	...	-84.03	3 of 6 - Sale <sup>25</sup>
	149.000	798.61	12/08/21	752.44	...	46.17	4 of 6 - Sale <sup>25</sup>
	200.000	1,072.97	12/08/21	1,009.98	...	62.99	5 of 6 - Sale <sup>25</sup>
	651.000	3,489.26	12/08/21	3,287.48	...	201.78	6 of 6 - Sale <sup>25</sup>
12/08/21	2,000.000	10,830.68	Various	10,939.90	...	-109.22	Total of 6 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/21/21	79.000	51.81	01/15/21	56.64	...	-4.83	Sale <sup>25</sup>
TALIS BIOMEDICAL CORPORATION C OMMON STOCK / CUSIP: 87424L108 / Symbol:							
07/26/21	1,000.000	10,757.92	07/21/21	12,140.00	1,382.08 W	0.00	Sale <sup>25</sup>
	6 transactions for 07/27/21. Total proceeds and cost reported to the IRS.						
	400.000	4,159.92	07/26/21	4,978.83	...	-818.91	1 of 6 - Sale <sup>25</sup>
	232.000	2,401.15	07/26/21	2,887.72	...	-486.57	2 of 6 - Sale <sup>25</sup>
	167.000	1,736.77	07/26/21	2,078.66	...	-341.89	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TALIS BIOMEDICAL CORPORATION C OMMON STOCK / CUSIP: 87424L108 / Symbol: (cont'd)							
	100.000	1,039.98	07/26/21	1,244.71	...	-204.73	4 of 6 - Sale <sup>25</sup>
	100.000	1,039.98	07/26/21	1,244.71	...	-204.73	5 of 6 - Sale <sup>25</sup>
	1.000	10.35	07/26/21	12.45	...	-2.10	6 of 6 - Sale <sup>25</sup>
07/27/21	1,000.000	10,388.15	Various	12,447.08	...	-2,058.93	Total of 6 transactions
	<b>Security total:</b>	<b>21,146.07</b>		<b>24,587.08</b>	<b>1,382.08 W</b>	<b>-2,058.93</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
5 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	720.31	03/04/21	614.00	...	106.31	1 of 5 - Sale <sup>25</sup>
	0.034	24.67	04/05/21	23.64	...	1.03	2 of 5 - Sale <sup>25</sup>
	0.035	25.07	04/05/21	24.00	...	1.07	3 of 5 - Sale <sup>25</sup>
	0.965	695.23	04/05/21	665.67	...	29.56	4 of 5 - Sale <sup>25</sup>
	4.000	2,878.59	04/05/21	2,758.69	...	119.90	5 of 5 - Sale <sup>25</sup>
04/22/21	6.034	4,343.87	Various	4,086.00	...	257.87	Total of 5 transactions
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/10/21	14.000	740.09	02/10/21	884.31	...	-144.22	Sale <sup>25</sup>
06/10/21	5.000	100.08	06/09/21	108.80	...	-8.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>840.17</b>		<b>993.11</b>	...	<b>-152.94</b>	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	100.000	98.98	01/12/21	97.70	...	1.28	1 of 3 - Sale <sup>25</sup>
	100.000	98.98	01/12/21	97.65	...	1.33	2 of 3 - Sale <sup>25</sup>
	100.000	98.98	01/12/21	97.65	...	1.33	3 of 3 - Sale <sup>25</sup>
01/13/21	300.000	296.94	Various	293.00	...	3.94	Total of 3 transactions
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	12.000	11.86	01/12/21	11.72	...	0.14	1 of 4 - Sale <sup>25</sup>
	100.000	98.81	01/12/21	97.70	...	1.11	2 of 4 - Sale <sup>25</sup>
	100.000	98.81	01/12/21	97.70	...	1.11	3 of 4 - Sale <sup>25</sup>
	50.000	49.40	01/12/21	44.70	...	4.70	4 of 4 - Sale <sup>25</sup>
01/21/21	262.000	258.88	Various	251.82	...	7.06	Total of 4 transactions
	<b>Security total:</b>	<b>555.82</b>		<b>544.82</b>	...	<b>11.00</b>	

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	0.682	6.69	06/21/21	6.18	...	0.51	1 of 2 - Sale <sup>25</sup>
	330.579	3,239.61	06/21/21	2,993.82	...	245.79	2 of 2 - Sale <sup>25</sup>
06/22/21	331.261	3,246.30	Various	3,000.00	...	246.30	Total of 2 transactions
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
16 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	1.000	1.04	09/22/21	0.82	...	0.22	1 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	2 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	3 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	4 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	5 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	6 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	7 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	8 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	9 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	10 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.60	...	22.39	11 of 16 - Sale <sup>25</sup>
	100.000	103.99	09/22/21	81.10	...	22.89	12 of 16 - Sale <sup>25</sup>
	200.000	207.98	09/22/21	162.50	...	45.48	13 of 16 - Sale <sup>25</sup>
	1,000.000	1,039.87	09/22/21	769.90	...	269.97	14 of 16 - Sale <sup>25</sup>
	1,000.000	1,039.87	09/22/21	768.20	...	271.67	15 of 16 - Sale <sup>25</sup>
	1,699.000	1,766.73	09/22/21	1,391.31	...	375.42	16 of 16 - Sale <sup>25</sup>
09/29/21	5,000.000	5,199.38	Various	3,989.83	...	1,209.55	Total of 16 transactions
VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol:							
41 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	9,400.000	18,328.78	07/01/21	18,518.00	...	-189.22	1 of 41 - Sale <sup>25</sup>
	2,299.584	4,483.89	07/01/21	4,530.18	...	-46.29	2 of 41 - Sale <sup>25</sup>
	300.000	584.95	07/01/21	591.00	...	-6.05	3 of 41 - Sale <sup>25</sup>
	200.000	389.98	07/01/21	394.00	...	-4.02	4 of 41 - Sale <sup>25</sup>
	106.000	206.69	07/01/21	208.82	...	-2.13	5 of 41 - Sale <sup>25</sup>
	100.000	194.99	07/01/21	197.00	...	-2.01	6 of 41 - Sale <sup>25</sup>
	100.000	194.99	07/01/21	197.00	...	-2.01	7 of 41 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol: (cont'd)							
100.000		194.99	07/01/21	197.00	...	-2.01	8 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	9 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	10 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	11 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	12 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	13 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	14 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	15 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	16 of 41 - Sale <sup>25</sup>
100.000		194.99	07/01/21	197.00	...	-2.01	17 of 41 - Sale <sup>25</sup>
100.000		195.00	07/01/21	197.00	...	-2.00	18 of 41 - Sale <sup>25</sup>
100.000		195.00	07/01/21	197.00	...	-2.00	19 of 41 - Sale <sup>25</sup>
0.173		0.33	07/01/21	0.32	...	0.01	20 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	21 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	22 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	23 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	24 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	25 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	186.00	...	4.99	26 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	185.50	...	5.49	27 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	185.50	...	5.49	28 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	185.50	...	5.49	29 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	185.50	...	5.49	30 of 41 - Sale <sup>25</sup>
100.000		190.99	07/01/21	185.50	...	5.49	31 of 41 - Sale <sup>25</sup>
200.000		381.97	07/01/21	372.00	...	9.97	32 of 41 - Sale <sup>25</sup>
200.000		381.97	07/01/21	371.00	...	10.97	33 of 41 - Sale <sup>25</sup>
300.000		572.96	07/01/21	558.00	...	14.96	34 of 41 - Sale <sup>25</sup>
300.000		572.96	07/01/21	558.00	...	14.96	35 of 41 - Sale <sup>25</sup>
300.000		572.96	07/01/21	556.50	...	16.46	36 of 41 - Sale <sup>25</sup>
500.000		954.94	07/01/21	927.50	...	27.44	37 of 41 - Sale <sup>25</sup>
600.000		1,145.92	07/01/21	1,113.00	...	32.92	38 of 41 - Sale <sup>25</sup>
700.000		1,336.91	07/01/21	1,302.00	...	34.91	39 of 41 - Sale <sup>25</sup>
900.000		1,718.88	07/01/21	1,669.50	...	49.38	40 of 41 - Sale <sup>25</sup>

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Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol: (cont'd)							
07/01/21	8,861.138	16,923.61	07/01/21	16,528.68	...	394.93	41 of 41 - Sale <sup>25</sup>
	27,666.894	53,388.47	Various	53,000.00	...	388.47	Total of 41 transactions
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
07/23/21	1,000.000	3,999.85	07/19/21	3,099.20	...	900.65	Sale <sup>25</sup>
10/13/21	1,000.000	6,331.14	10/07/21	5,369.40	...	961.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,330.99</b>		<b>8,468.60</b>	...	<b>1,862.39</b>	
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
06/17/21	2.000	30.40	05/10/21	17.58	...	12.82	Sale <sup>25</sup>
	16 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	0.132	2.11	06/23/21	2.01	...	0.10	1 of 16 - Sale <sup>25</sup>
	0.345	5.52	06/23/21	5.40	...	0.12	2 of 16 - Sale <sup>25</sup>
	0.452	7.22	06/23/21	6.87	...	0.35	3 of 16 - Sale <sup>25</sup>
	18.456	294.92	06/23/21	288.82	...	6.10	4 of 16 - Sale <sup>25</sup>
	50.000	798.99	06/23/21	782.41	...	16.58	5 of 16 - Sale <sup>25</sup>
	25.216	402.95	06/23/21	383.99	...	18.96	6 of 16 - Sale <sup>25</sup>
	50.000	798.99	06/23/21	761.40	...	37.59	7 of 16 - Sale <sup>25</sup>
	50.000	798.99	06/23/21	761.40	...	37.59	8 of 16 - Sale <sup>25</sup>
	50.544	807.68	06/23/21	769.71	...	37.97	9 of 16 - Sale <sup>25</sup>
	100.000	1,597.98	06/23/21	1,522.86	...	75.12	10 of 16 - Sale <sup>25</sup>
	100.000	1,597.98	06/23/21	1,522.80	...	75.18	11 of 16 - Sale <sup>25</sup>
	100.000	1,597.98	06/23/21	1,522.80	...	75.18	12 of 16 - Sale <sup>25</sup>
	200.000	3,196.16	06/23/21	3,045.60	...	150.56	13 of 16 - Sale <sup>25</sup>
	460.896	7,365.02	06/23/21	7,212.19	...	152.83	14 of 16 - Sale <sup>25</sup>
	492.759	7,874.19	06/23/21	7,711.18	...	163.01	15 of 16 - Sale <sup>25</sup>
	374.332	5,981.74	06/23/21	5,700.56	...	281.18	16 of 16 - Sale <sup>25</sup>
06/24/21	2,073.132	33,128.42	Various	32,000.00	...	1,128.42	Total of 16 transactions
	15 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	99.475	1,533.38	06/24/21	1,606.51	73.04 W	-0.09	1 of 15 - Sale <sup>25</sup>
	0.397	6.13	06/24/21	6.41	0.28 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.128	1.97	06/24/21	2.07	0.10 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.397	6.13	06/24/21	6.70	0.57 W	0.00	4 of 15 - Sale <sup>25</sup>
	100.128	1,543.05	06/24/21	1,617.07	74.02 W	0.00	5 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.128	1.97	06/24/21	2.17	0.20 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.397	6.12	06/24/21	6.99	0.87 W	0.00	7 of 15 - Sale <sup>25</sup>
	99.347	1,531.01	06/24/21	1,677.48	146.47 W	0.00	8 of 15 - Sale <sup>25</sup>
	100.128	1,543.05	06/24/21	1,617.07	74.02 W	0.00	9 of 15 - Sale <sup>25</sup>
	30.000	462.32	06/24/21	484.50	22.18 W	0.00	10 of 15 - Sale <sup>25</sup>
	3.000	46.23	06/24/21	48.45	2.22 W	0.00	11 of 15 - Sale <sup>25</sup>
	100.000	1,541.08	06/24/21	1,615.00	73.92 W	0.00	12 of 15 - Sale <sup>25</sup>
	190.000	2,928.06	06/24/21	3,068.50	140.44 W	0.00	13 of 15 - Sale <sup>25</sup>
	376.872	5,807.91	06/24/21	6,094.02	286.11 W	0.00	14 of 15 - Sale <sup>25</sup>
	1,065.000	16,412.50	06/24/21	17,221.05	808.55 W	0.00	15 of 15 - Sale <sup>25</sup>
06/24/21	2,165.397	33,370.91	Various	35,073.99	1,702.99 W	-0.09	Total of 15 transactions
	16 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	825.000	13,917.57	06/28/21	14,981.34	...	-1,063.77	1 of 16 - Sale <sup>25</sup>
	376.872	6,357.76	06/28/21	6,843.68	...	-485.92	2 of 16 - Sale <sup>25</sup>
	855.000	14,423.68	06/28/21	14,877.00	...	-453.32	3 of 16 - Sale <sup>25</sup>
	240.000	4,048.75	06/28/21	4,358.21	...	-309.46	4 of 16 - Sale <sup>25</sup>
	97.738	1,648.81	06/28/21	1,896.54	...	-247.73	5 of 16 - Sale <sup>25</sup>
	190.000	3,205.26	06/28/21	3,446.44	...	-241.18	6 of 16 - Sale <sup>25</sup>
	98.149	1,655.76	06/28/21	1,832.40	...	-176.64	7 of 16 - Sale <sup>25</sup>
	97.000	1,636.38	06/28/21	1,810.93	...	-174.55	8 of 16 - Sale <sup>25</sup>
	100.000	1,686.98	06/28/21	1,813.92	...	-126.94	9 of 16 - Sale <sup>25</sup>
	30.000	506.09	06/28/21	544.18	...	-38.09	10 of 16 - Sale <sup>25</sup>
	1.609	27.14	06/28/21	31.23	...	-4.09	11 of 16 - Sale <sup>25</sup>
	3.000	50.61	06/28/21	54.42	...	-3.81	12 of 16 - Sale <sup>25</sup>
	1.737	29.30	06/28/21	32.44	...	-3.14	13 of 16 - Sale <sup>25</sup>
	0.397	6.70	06/28/21	7.99	...	-1.29	14 of 16 - Sale <sup>25</sup>
	0.242	4.07	06/28/21	4.51	...	-0.44	15 of 16 - Sale <sup>25</sup>
	0.128	2.16	06/28/21	2.50	...	-0.34	16 of 16 - Sale <sup>25</sup>
06/28/21	2,916.872	49,207.02	Various	52,537.73	...	-3,330.71	Total of 16 transactions
	6 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	100.128	1,689.14	06/28/21	1,816.25	124.90 W	-2.21	1 of 6 - Sale <sup>25</sup>
	0.242	4.07	06/28/21	4.38	0.31 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.128	2.16	06/28/21	2.43	0.27 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.397	6.70	06/28/21	7.78	1.08 W	0.00	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	99.347	1,675.96	06/28/21	1,875.10	199.14 W	0.00	5 of 6 - Sale <sup>25</sup>
	99.887	1,685.06	06/28/21	1,811.87	126.81 W	0.00	6 of 6 - Sale <sup>25</sup>
06/28/21	300.128	5,063.09	Various	5,517.81	452.51 W	-2.21	Total of 6 transactions
	2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.						
	1.000	17.36	06/28/21	18.67	...	-1.31	1 of 2 - Sale <sup>25</sup>
	0.391	6.79	06/28/21	7.30	...	-0.51	2 of 2 - Sale <sup>25</sup>
06/29/21	1.391	24.15	Various	25.97	...	-1.82	Total of 2 transactions
	<b>Security total:</b>	<b>120,823.99</b>		<b>125,173.08</b>	<b>2,155.50 W</b>	<b>-2,193.59</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
	4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	22.000	19.20	01/04/21	6.75	...	12.45	1 of 4 - Sale <sup>25</sup>
	250.000	218.10	01/04/21	76.75	...	141.35	2 of 4 - Sale <sup>25</sup>
	400.000	350.66	01/04/21	122.80	...	227.86	3 of 4 - Sale <sup>25</sup>
	1,000.000	908.65	01/08/21	449.60	...	459.05	4 of 4 - Sale <sup>25</sup>
01/11/21	1,672.000	1,496.61	Various	655.90	...	840.71	Total of 4 transactions
	8 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	0.827	1.67	01/11/21	0.74	...	0.93	1 of 8 - Sale <sup>25</sup>
	1.223	2.45	01/11/21	1.10	...	1.35	2 of 8 - Sale <sup>25</sup>
	2.050	4.14	01/11/21	1.84	...	2.30	3 of 8 - Sale <sup>25</sup>
	95.050	191.99	01/11/21	85.34	...	106.65	4 of 8 - Sale <sup>25</sup>
	97.950	197.85	01/11/21	87.94	...	109.91	5 of 8 - Sale <sup>25</sup>
	97.950	197.85	01/11/21	87.94	...	109.91	6 of 8 - Sale <sup>25</sup>
	100.000	201.98	01/11/21	89.78	...	112.20	7 of 8 - Sale <sup>25</sup>
	100.000	201.99	01/11/21	89.78	...	112.21	8 of 8 - Sale <sup>25</sup>
03/19/21	495.050	999.92	Various	444.46	...	555.46	Total of 8 transactions
	5 transactions for 04/05/21. Total proceeds and cost reported to the IRS.						
	0.171	0.23	01/11/21	0.15	...	0.08	1 of 5 - Sale <sup>25</sup>
	4.779	6.36	01/11/21	4.29	...	2.07	2 of 5 - Sale <sup>25</sup>
	500.000	665.74	01/11/21	449.50	...	216.24	3 of 5 - Sale <sup>25</sup>
	415.195	552.82	03/19/21	871.91	...	-319.09	4 of 5 - Sale <sup>25</sup>
	61.000	81.22	03/19/21	128.09	...	-46.87	5 of 5 - Sale <sup>25</sup>
04/05/21	981.145	1,306.37	Various	1,453.94	...	-147.57	Total of 5 transactions

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
<i>5 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i>							
	550.000	732.31	02/09/21	1,280.90	548.59 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.320	0.43	02/16/21	0.77	0.34 W	0.00	2 of 5 - Sale <sup>25</sup>
	166.000	221.02	02/16/21	399.23	178.21 W	0.00	3 of 5 - Sale <sup>25</sup>
	526.471	700.98	02/16/21	1,247.00	546.02 W	0.00	4 of 5 - Sale <sup>25</sup>
	375.235	499.62	03/16/21	900.00	274.44 W	-125.94	5 of 5 - Sale <sup>25</sup>
04/05/21	1,618.026	2,154.36	Various	3,827.90	1,547.60 W	-125.94	Total of 5 transactions
<i>5 transactions for 04/13/21. Total proceeds and cost reported to the IRS.</i>							
	550.000	549.05	04/06/21	1,334.49	...	-785.44	1 of 5 - Sale <sup>25</sup>
	526.471	525.56	04/06/21	1,298.29	...	-772.73	2 of 5 - Sale <sup>25</sup>
	257.209	256.77	04/06/21	641.96	...	-385.19	3 of 5 - Sale <sup>25</sup>
	166.000	165.71	04/06/21	415.41	...	-249.70	4 of 5 - Sale <sup>25</sup>
	0.320	0.32	04/06/21	0.80	...	-0.48	5 of 5 - Sale <sup>25</sup>
04/13/21	1,500.000	1,497.41	Various	3,690.95	...	-2,193.54	Total of 5 transactions
	<b>Security total:</b>	<b>7,454.67</b>		<b>10,073.15</b>	<b>1,547.60 W</b>	<b>-1,070.88</b>	
ANTELOPE ENTERPRISE HOLDINGS L IMITED COMMON STOCK (0.024 PAR ) / CUSIP: G041JN106 / Symbol:							
07/15/21	1,000.000	2,859.86	07/14/21	3,047.40	...	-187.54	Sale <sup>25</sup>
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
<i>4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>							
	168.702	177.12	02/17/21	322.20	...	-145.08	1 of 4 - Sale <sup>25</sup>
	40.881	42.93	02/26/21	69.30	...	-26.37	2 of 4 - Sale <sup>25</sup>
	0.298	0.31	02/26/21	0.76	...	-0.45	3 of 4 - Sale <sup>25</sup>
	0.119	0.12	02/26/21	0.30	...	-0.18	4 of 4 - Sale <sup>25</sup>
03/11/21	210.000	220.48	Various	392.56	...	-172.08	Total of 4 transactions
03/11/21	0.417	0.44	02/17/21	0.80	0.36 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>220.92</b>		<b>393.36</b>	<b>0.36 W</b>	<b>-172.08</b>	
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol:							
10/27/21	300.000	134.63	10/27/21	146.70	...	-12.07	Sale <sup>25</sup>
<i>88 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	5,000.000	2,333.39	10/26/21	2,900.00	566.61 W	0.00	1 of 88 - Sale <sup>25</sup>
	3,700.000	1,726.70	10/26/21	2,289.93	563.23 W	0.00	2 of 88 - Sale <sup>25</sup>

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Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
1,300.000		606.68	10/26/21	951.89	345.21 W	0.00	3 of 88 - Sale <sup>25</sup>
1,300.000		606.68	10/26/21	1,014.16	407.48 W	0.00	4 of 88 - Sale <sup>25</sup>
3,700.000		1,726.71	10/26/21	2,742.52	1,015.81 W	0.00	5 of 88 - Sale <sup>25</sup>
2,400.000		1,120.03	10/26/21	1,822.14	702.11 W	0.00	6 of 88 - Sale <sup>25</sup>
2,600.000		1,213.36	10/26/21	1,578.20	364.84 W	0.00	7 of 88 - Sale <sup>25</sup>
425.000		198.34	10/26/21	273.27	74.93 W	0.00	8 of 88 - Sale <sup>25</sup>
375.000		174.37	10/26/21	340.70	166.33 W	0.00	9 of 88 - Sale <sup>25</sup>
600.000		278.99	10/26/21	545.13	266.14 W	0.00	10 of 88 - Sale <sup>25</sup>
325.000		151.12	10/26/21	295.28	144.16 W	0.00	11 of 88 - Sale <sup>25</sup>
475.000		220.86	10/26/21	435.83	214.97 W	0.00	12 of 88 - Sale <sup>25</sup>
800.000		371.98	10/26/21	734.03	362.05 W	0.00	13 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.75	45.25 W	0.00	14 of 88 - Sale <sup>25</sup>
300.000		139.49	10/26/21	275.26	135.77 W	0.00	15 of 88 - Sale <sup>25</sup>
300.000		139.49	10/26/21	275.26	135.77 W	0.00	16 of 88 - Sale <sup>25</sup>
300.000		139.49	10/26/21	275.27	135.78 W	0.00	17 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.89	45.39 W	0.00	18 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.88	45.38 W	0.00	19 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.86	45.36 W	0.00	20 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.88	45.38 W	0.00	21 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.89	45.39 W	0.00	22 of 88 - Sale <sup>25</sup>
100.000		46.50	10/26/21	91.90	45.40 W	0.00	23 of 88 - Sale <sup>25</sup>
400.000		185.98	10/26/21	367.58	181.60 W	0.00	24 of 88 - Sale <sup>25</sup>
8,300.000		3,724.85	10/27/21	4,224.05	216.52 W	-282.68	25 of 88 - Sale <sup>25</sup>
200.000		91.32	10/27/21	149.87	58.55 W	0.00	26 of 88 - Sale <sup>25</sup>
100.000		45.66	10/27/21	74.93	29.27 W	0.00	27 of 88 - Sale <sup>25</sup>
125.000		56.86	10/27/21	93.67	36.81 W	0.00	28 of 88 - Sale <sup>25</sup>
875.000		398.02	10/27/21	689.72	291.70 W	0.00	29 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.73	47.24 W	0.00	30 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.87	47.38 W	0.00	31 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.86	47.37 W	0.00	32 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.84	47.35 W	0.00	33 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.86	47.37 W	0.00	34 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.87	47.38 W	0.00	35 of 88 - Sale <sup>25</sup>
100.000		45.49	10/27/21	92.88	47.39 W	0.00	36 of 88 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	300.000	136.46	10/27/21	278.21	141.75 W	0.00	37 of 88 - Sale <sup>25</sup>
	300.000	136.46	10/27/21	278.21	141.75 W	0.00	38 of 88 - Sale <sup>25</sup>
	300.000	136.46	10/27/21	278.22	141.76 W	0.00	39 of 88 - Sale <sup>25</sup>
	325.000	147.84	10/27/21	298.47	150.63 W	0.00	40 of 88 - Sale <sup>25</sup>
	375.000	170.58	10/27/21	344.38	173.80 W	0.00	41 of 88 - Sale <sup>25</sup>
	400.000	181.95	10/27/21	371.52	189.57 W	0.00	42 of 88 - Sale <sup>25</sup>
	475.000	216.07	10/27/21	440.50	224.43 W	0.00	43 of 88 - Sale <sup>25</sup>
	600.000	272.93	10/27/21	551.02	278.09 W	0.00	44 of 88 - Sale <sup>25</sup>
	800.000	363.90	10/27/21	741.89	377.99 W	0.00	45 of 88 - Sale <sup>25</sup>
	100.000	45.49	10/27/21	76.75	31.26 W	0.00	46 of 88 - Sale <sup>25</sup>
	200.000	90.98	10/27/21	153.51	62.53 W	0.00	47 of 88 - Sale <sup>25</sup>
	425.000	193.32	10/27/21	335.00	141.68 W	0.00	48 of 88 - Sale <sup>25</sup>
	2,400.000	1,091.71	10/27/21	1,841.63	749.92 W	0.00	49 of 88 - Sale <sup>25</sup>
	425.000	193.32	10/27/21	276.72	83.40 W	0.00	50 of 88 - Sale <sup>25</sup>
	2,600.000	1,182.68	10/27/21	1,599.32	416.64 W	0.00	51 of 88 - Sale <sup>25</sup>
	4,675.000	2,126.55	10/27/21	2,219.69	93.14 W	0.00	52 of 88 - Sale <sup>25</sup>
	8,300.000	3,775.49	10/27/21	3,940.84	165.35 W	0.00	53 of 88 - Sale <sup>25</sup>
	125.000	56.10	10/27/21	97.89	41.79 W	0.00	54 of 88 - Sale <sup>25</sup>
	375.000	168.29	10/27/21	308.23	139.94 W	0.00	55 of 88 - Sale <sup>25</sup>
	500.000	224.39	10/27/21	411.09	186.70 W	0.00	56 of 88 - Sale <sup>25</sup>
	125.000	56.10	10/27/21	119.01	62.91 W	0.00	57 of 88 - Sale <sup>25</sup>
	375.000	168.29	10/27/21	357.03	188.74 W	0.00	58 of 88 - Sale <sup>25</sup>
	400.000	179.51	10/27/21	380.95	201.44 W	0.00	59 of 88 - Sale <sup>25</sup>
	75.000	33.66	10/27/21	71.43	37.77 W	0.00	60 of 88 - Sale <sup>25</sup>
	125.000	56.10	10/27/21	119.04	62.94 W	0.00	61 of 88 - Sale <sup>25</sup>
	200.000	89.76	10/27/21	190.48	100.72 W	0.00	62 of 88 - Sale <sup>25</sup>
	200.000	89.76	10/27/21	192.28	102.52 W	0.00	63 of 88 - Sale <sup>25</sup>
	25.000	11.22	10/27/21	24.06	12.84 W	0.00	64 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.13	51.25 W	0.00	65 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.27	51.39 W	0.00	66 of 88 - Sale <sup>25</sup>
	275.000	123.41	10/27/21	264.38	140.97 W	0.00	67 of 88 - Sale <sup>25</sup>
	300.000	134.63	10/27/21	288.42	153.79 W	0.00	68 of 88 - Sale <sup>25</sup>
	300.000	134.63	10/27/21	288.42	153.79 W	0.00	69 of 88 - Sale <sup>25</sup>
	300.000	134.63	10/27/21	288.43	153.80 W	0.00	70 of 88 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	800.000	359.02	10/27/21	769.11	410.09 W	0.00	71 of 88 - Sale <sup>25</sup>
	75.000	33.66	10/27/21	72.20	38.54 W	0.00	72 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.24	51.36 W	0.00	73 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.26	51.38 W	0.00	74 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.27	51.39 W	0.00	75 of 88 - Sale <sup>25</sup>
	225.000	100.98	10/27/21	216.63	115.65 W	0.00	76 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	96.28	51.40 W	0.00	77 of 88 - Sale <sup>25</sup>
	175.000	78.54	10/27/21	168.50	89.96 W	0.00	78 of 88 - Sale <sup>25</sup>
	125.000	56.10	10/27/21	102.78	46.68 W	0.00	79 of 88 - Sale <sup>25</sup>
	300.000	134.63	10/27/21	246.68	112.05 W	0.00	80 of 88 - Sale <sup>25</sup>
	1,900.000	852.68	10/27/21	1,522.60	669.92 W	0.00	81 of 88 - Sale <sup>25</sup>
	100.000	44.88	10/27/21	80.15	35.27 W	0.00	82 of 88 - Sale <sup>25</sup>
	200.000	89.76	10/27/21	160.31	70.55 W	0.00	83 of 88 - Sale <sup>25</sup>
	500.000	224.39	10/27/21	400.68	176.29 W	0.00	84 of 88 - Sale <sup>25</sup>
	1,400.000	628.29	10/27/21	908.80	280.51 W	0.00	85 of 88 - Sale <sup>25</sup>
	425.000	190.73	10/27/21	291.23	100.50 W	0.00	86 of 88 - Sale <sup>25</sup>
	1,200.000	538.53	10/27/21	779.10	240.57 W	0.00	87 of 88 - Sale <sup>25</sup>
	4,675.000	2,098.03	10/27/21	2,379.21	281.18 W	0.00	88 of 88 - Sale <sup>25</sup>
10/27/21	74,700.000	34,116.47	Various	49,744.10	15,344.95 W	-282.68	Total of 88 transactions
	61 transactions for 11/02/21. Total proceeds and cost reported to the IRS.						
	1,279.000	656.35	10/28/21	1,119.24	...	-462.89	1 of 61 - Sale <sup>25</sup>
	796.000	409.04	10/28/21	823.95	...	-414.91	2 of 61 - Sale <sup>25</sup>
	500.000	256.93	10/28/21	447.95	...	-191.02	3 of 61 - Sale <sup>25</sup>
	363.000	186.90	10/28/21	372.37	...	-185.47	4 of 61 - Sale <sup>25</sup>
	500.000	256.54	10/28/21	437.54	...	-181.00	5 of 61 - Sale <sup>25</sup>
	800.000	410.46	10/28/21	578.29	...	-167.83	6 of 61 - Sale <sup>25</sup>
	296.000	152.11	10/28/21	306.41	...	-154.30	7 of 61 - Sale <sup>25</sup>
	275.000	141.53	10/28/21	284.66	...	-143.13	8 of 61 - Sale <sup>25</sup>
	225.000	115.62	10/28/21	232.90	...	-117.28	9 of 61 - Sale <sup>25</sup>
	300.000	153.95	10/28/21	268.80	...	-114.85	10 of 61 - Sale <sup>25</sup>
	300.000	153.92	10/28/21	262.52	...	-108.60	11 of 61 - Sale <sup>25</sup>
	300.000	153.95	10/28/21	262.53	...	-108.58	12 of 61 - Sale <sup>25</sup>
	204.000	104.83	10/28/21	211.44	...	-106.61	13 of 61 - Sale <sup>25</sup>
	200.000	102.94	10/28/21	207.02	...	-104.08	14 of 61 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	200.000	102.93	10/28/21	205.22	...	-102.29	15 of 61 - Sale <sup>25</sup>
	196.000	100.72	10/28/21	202.89	...	-102.17	16 of 61 - Sale <sup>25</sup>
	175.000	89.81	10/28/21	181.40	...	-91.59	17 of 61 - Sale <sup>25</sup>
	179.000	92.16	10/28/21	183.67	...	-91.51	18 of 61 - Sale <sup>25</sup>
	238.000	122.55	10/28/21	213.17	...	-90.62	19 of 61 - Sale <sup>25</sup>
	400.000	205.23	10/28/21	289.15	...	-83.92	20 of 61 - Sale <sup>25</sup>
	200.000	102.62	10/28/21	175.05	...	-72.43	21 of 61 - Sale <sup>25</sup>
	125.000	64.33	10/28/21	128.25	...	-63.92	22 of 61 - Sale <sup>25</sup>
	125.000	64.36	10/28/21	128.22	...	-63.86	23 of 61 - Sale <sup>25</sup>
	121.000	62.28	10/28/21	124.16	...	-61.88	24 of 61 - Sale <sup>25</sup>
	104.000	53.44	10/28/21	107.65	...	-54.21	25 of 61 - Sale <sup>25</sup>
	100.000	51.32	10/28/21	103.65	...	-52.33	26 of 61 - Sale <sup>25</sup>
	100.000	51.38	10/28/21	103.64	...	-52.26	27 of 61 - Sale <sup>25</sup>
	100.000	51.39	10/28/21	103.64	...	-52.25	28 of 61 - Sale <sup>25</sup>
	100.000	51.39	10/28/21	103.63	...	-52.24	29 of 61 - Sale <sup>25</sup>
	100.000	51.38	10/28/21	103.61	...	-52.23	30 of 61 - Sale <sup>25</sup>
	137.000	70.54	10/28/21	122.71	...	-52.17	31 of 61 - Sale <sup>25</sup>
	100.000	51.39	10/28/21	103.50	...	-52.11	32 of 61 - Sale <sup>25</sup>
	100.000	51.49	10/28/21	102.61	...	-51.12	33 of 61 - Sale <sup>25</sup>
	125.000	64.15	10/28/21	111.99	...	-47.84	34 of 61 - Sale <sup>25</sup>
	125.000	64.36	10/28/21	107.10	...	-42.74	35 of 61 - Sale <sup>25</sup>
	75.000	38.54	10/28/21	77.73	...	-39.19	36 of 61 - Sale <sup>25</sup>
	75.000	38.54	10/28/21	77.64	...	-39.10	37 of 61 - Sale <sup>25</sup>
	75.000	38.60	10/28/21	76.96	...	-38.36	38 of 61 - Sale <sup>25</sup>
	100.000	51.31	10/28/21	87.52	...	-36.21	39 of 61 - Sale <sup>25</sup>
	100.000	51.31	10/28/21	72.33	...	-21.02	40 of 61 - Sale <sup>25</sup>
	25.000	12.85	10/28/21	25.90	...	-13.05	41 of 61 - Sale <sup>25</sup>
	21.000	10.78	10/28/21	21.77	...	-10.99	42 of 61 - Sale <sup>25</sup>
	21.000	10.77	10/28/21	18.38	...	-7.61	43 of 61 - Sale <sup>25</sup>
	12.000	6.18	10/28/21	12.31	...	-6.13	44 of 61 - Sale <sup>25</sup>
	4.000	2.06	10/28/21	4.14	...	-2.08	45 of 61 - Sale <sup>25</sup>
	4.000	2.06	10/28/21	4.14	...	-2.08	46 of 61 - Sale <sup>25</sup>
	1,200.000	615.69	10/29/21	978.57	...	-362.88	47 of 61 - Sale <sup>25</sup>
	1,700.000	872.23	10/29/21	1,150.47	...	-278.24	48 of 61 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METEN HOLDING GROUP LTD. ORDIN ARY SHARES / CUSIP: G6055H114 / Symbol: (cont'd)							
	1,275.000	654.17	10/29/21	860.81	...	-206.64	49 of 61 - Sale <sup>25</sup>
	1,400.000	718.31	10/29/21	924.20	...	-205.89	50 of 61 - Sale <sup>25</sup>
	1,000.000	512.97	10/29/21	660.15	...	-147.18	51 of 61 - Sale <sup>25</sup>
	425.000	218.06	10/29/21	361.88	...	-143.82	52 of 61 - Sale <sup>25</sup>
	600.000	307.73	10/29/21	396.09	...	-88.36	53 of 61 - Sale <sup>25</sup>
	500.000	256.44	10/29/21	330.07	...	-73.63	54 of 61 - Sale <sup>25</sup>
	500.000	256.44	10/29/21	330.07	...	-73.63	55 of 61 - Sale <sup>25</sup>
	479.000	245.77	10/29/21	316.21	...	-70.44	56 of 61 - Sale <sup>25</sup>
	300.000	153.92	10/29/21	202.54	...	-48.62	57 of 61 - Sale <sup>25</sup>
	300.000	153.89	10/29/21	198.04	...	-44.15	58 of 61 - Sale <sup>25</sup>
	100.000	51.31	10/29/21	81.53	...	-30.22	59 of 61 - Sale <sup>25</sup>
	131.000	67.18	10/29/21	86.48	...	-19.30	60 of 61 - Sale <sup>25</sup>
	90.000	46.18	10/29/21	59.41	...	-13.23	61 of 61 - Sale <sup>25</sup>
11/02/21	20,000.000	10,267.58	Various	16,235.87	...	-5,968.29	Total of 61 transactions
	<b>Security total:</b>	<b>44,518.68</b>		<b>66,126.67</b>	<b>15,344.95 W</b>	<b>-6,263.04</b>	
SWITCHBACK II CORPORATION / CUSIP: G8633T115 / Symbol:							
5 transactions for 11/02/21. Total proceeds and cost reported to the IRS.							
	140.000	1,338.65	11/01/21	1,430.80	92.15 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	956.18	11/01/21	1,022.00	65.82 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	956.18	11/01/21	1,022.00	65.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	239.05	11/01/21	255.50	16.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	135.000	1,290.84	11/01/21	1,379.70	88.86 W	0.00	5 of 5 - Sale <sup>25</sup>
11/02/21	500.000	4,780.90	Various	5,110.00	329.10 W	0.00	Total of 5 transactions
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	500.000	4,352.42	11/03/21	4,455.00	...	-102.58	1 of 2 - Sale <sup>25</sup>
	1.000	9.21	11/03/21	9.81	...	-0.60	2 of 2 - Sale <sup>25</sup>
11/03/21	501.000	4,361.63	Various	4,464.81	...	-103.18	Total of 2 transactions
6 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	25.000	217.62	11/03/21	243.20	25.58 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	870.48	11/03/21	972.82	102.34 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	870.48	11/03/21	972.82	102.34 W	0.00	3 of 6 - Sale <sup>25</sup>
	135.000	1,175.15	11/03/21	1,313.31	138.16 W	0.00	4 of 6 - Sale <sup>25</sup>
	140.000	1,218.68	11/03/21	1,361.95	143.27 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 144140340

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SWITCHBACK II CORPORATION / CUSIP: G8633T115 / Symbol: (cont'd)							
	500.000	4,352.42	11/03/21	4,535.00	182.58 W	0.00	6 of 6 - Sale <sup>25</sup>
11/03/21	1,000.000	8,704.83	Various	9,399.10	694.27 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>17,847.36</b>		<b>18,973.91</b>	<b>1,023.37 W</b>	<b>-103.18</b>	
CERAGON NETWORKS LTD. ORDINARY SHARES / CUSIP: M22013102 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	10.939	55.78	02/16/21	59.93	...	-4.15	1 of 2 - Sale <sup>25</sup>
	0.013	0.07	02/16/21	0.07	...	0.00	2 of 2 - Sale <sup>25</sup>
02/18/21	10.952	55.85	Various	60.00	...	-4.15	Total of 2 transactions
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
03/19/21	471.910	1,012.18	03/19/21	1,050.00	...	-37.82	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
02/09/21	1,500.000	1,576.43	02/05/21	1,088.04	...	488.39	Sale <sup>25</sup>
03/16/21	953.562	942.29	03/15/21	1,000.00	57.71 W	0.00	Sale <sup>25</sup>
7 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	658.000	290.82	03/25/21	563.59	...	-272.77	1 of 7 - Sale <sup>25</sup>
	276.562	122.23	03/25/21	244.18	...	-121.95	2 of 7 - Sale <sup>25</sup>
	75.438	33.34	03/25/21	62.04	...	-28.70	3 of 7 - Sale <sup>25</sup>
	19.000	8.40	03/25/21	16.78	...	-8.38	4 of 7 - Sale <sup>25</sup>
	511.000	225.85	04/07/21	265.21	...	-39.36	5 of 7 - Sale <sup>25</sup>
	105.000	46.41	04/07/21	54.50	...	-8.09	6 of 7 - Sale <sup>25</sup>
	84.000	37.12	04/07/21	43.60	...	-6.48	7 of 7 - Sale <sup>25</sup>
04/13/21	1,729.000	764.17	Various	1,249.90	...	-485.73	Total of 7 transactions
	<b>Security total:</b>	<b>3,282.89</b>		<b>3,337.94</b>	<b>57.71 W</b>	<b>2.66</b>	
<b>Totals :</b>		<b>1,761,855.80</b>		<b>1,869,978.67</b>	<b>77,598.26 W</b>	<b>-30,524.61</b>	

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Robinhood Securities LLC

Account 144140340

## Detail for Interest Income

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/26/21	0.01	Interest	
		03/31/21	0.05	Interest	
		05/28/21	0.04	Interest	
		06/30/21	2.07	Interest	
		10/14/21	0.02	Interest	
		11/12/21	0.04	Interest	
			2.23	Total Interest	
Interest payment		10/27/21	3.10	Interest	
		10/29/21	0.79	Interest	
		11/30/21	2.35	Interest	
		12/31/21	0.54	Interest	
			6.78	Total Interest	
			<b>9.01</b>	<b>Total Interest</b>	

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**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/22/21	-5.00	Margin interest paid	
		03/22/21	-5.00	Margin interest paid	
		04/21/21	-1.54	Margin interest paid	
		04/21/21	-5.00	Margin interest paid	
		05/21/21	-0.12	Margin interest paid	
		05/21/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/20/21	-5.00	Margin interest paid	
			-31.66	Total Margin interest paid	
Margin charge		08/19/21	-5.00	Margin interest paid	
		09/20/21	-5.00	Margin interest paid	
		10/18/21	-5.00	Margin interest paid	
		11/17/21	-0.25	Margin interest paid	
		11/17/21	-5.00	Margin interest paid	
		12/17/21	-2.18	Margin interest paid	
		12/17/21	-5.00	Margin interest paid	
			-27.43	Total Margin interest paid	
			<b>-59.09</b>	<b>Total Margin interest paid</b>	

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**Non-Reportable Actions**

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BIRD GLOBAL, INC.	09077J107	11/03/21	999.00	0.00	Exchange	
SWITCHBACK II CORPORATION	G8633T115	11/03/21	-999.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Instructions for Recipient**

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.