

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 164178196	Statement Date: 02/11/2022 Document ID: 8725 43Y 04FQ	2021
	Yedukondalu Nakka 1360 S Finley Rd 1S Lombard, IL 60148 RECIPIENT'S TIN: XXX-XX-7265		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 212.59</p> <p>1b- Qualified dividends 208.93</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 9.42</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.37</p> <p>7- Foreign tax paid: 0.37</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	36,132.47	29,858.07	0.00	519.69	6,794.09
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	36,132.47	29,858.07	0.00	519.69	6,794.09
Long	D (basis reported to the IRS)	5,356.67	4,259.50	0.00	0.00	1,097.17
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	5,356.67	4,259.50	0.00	0.00	1,097.17
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	41,489.14	34,117.57	0.00	519.69	7,891.26
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 164178196

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$132.00 / CUSIP: / Symbol:							
01/20/21	1.000	149.98	01/20/21	68.00	...	81.98	Option sale to close-call ²⁵
AAPL 02/26/2021 CALL \$147.00 / CUSIP: / Symbol:							
02/26/21	5.000	0.00	02/17/21	50.00	...	-50.00	Option expiration- long call
AAPL 02/26/2021 CALL \$148.00 / CUSIP: / Symbol:							
	2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	4.000	0.00	02/17/21	32.00	...	-32.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/17/21	8.00	...	-8.00	2 of 2 - Option expiration- long call
02/26/21	5.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
AAPL 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/02/21	95.00	...	-95.00	Option expiration- long call

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Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$185.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/26/21	133.00	...	-133.00	Option expiration- long call
AAPL 11/19/2021 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	102.98	11/17/21	69.00	...	33.98	1 of 2 - Option sale to close-call ²⁵
	1.000	190.98	11/17/21	69.00	...	121.98	2 of 2 - Option sale to close-call ²⁵
11/17/21	2.000	293.96	Various	138.00	...	155.96	Total of 2 transactions
ABT 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/29/21	1.000	253.98	01/28/21	195.00	...	58.98	Option sale to close-call ²⁵
AMD 01/22/2021 CALL \$90.00 / CUSIP: / Symbol:							
01/21/21	1.000	84.98	01/21/21	104.00	...	-19.02	Option sale to close-call ²⁵
AMD 01/29/2021 CALL \$105.00 / CUSIP: / Symbol:							
01/29/21	1.000	0.00	01/26/21	145.00	...	-145.00	Option expiration- long call
AMZN 06/18/2021 PUT \$3,400.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/17/21	121.00	...	-121.00	Option expiration- long put
APRN 12/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
11/24/21	1.000	104.98	11/16/21	80.00	...	24.98	Option sale to close-call ²⁵
12/02/21	1.000	214.98	11/16/21	80.00	...	134.98	Option sale to close-call ²⁵
	Security total:	319.96		160.00	...	159.96	
AQB 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
12/17/21	1.000	0.00	09/17/21	40.00	...	-40.00	Option expiration- long call
BABA 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/15/21	125.00	...	-125.00	Option expiration- long call
BLNK 01/15/2021 PUT \$12.50 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	11/24/20	130.00	...	-130.00	Option expiration- long put
BODY 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
12/17/21	2.000	0.00	11/15/21	100.00	...	-100.00	Option expiration- long call

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BTBT 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/27/21	110.00	...	-110.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/09/21	170.00	...	-170.00	2 of 2 - Option expiration- long call
02/19/21	2.000	0.00	Various	280.00	...	-280.00	Total of 2 transactions
CCIV 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
01/15/21	1.000	679.97	01/13/21	420.00	...	259.97	Option sale to close-call ²⁵
CCIV 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/19/21	1.000	-240.02	01/15/21	0.00	...	-240.02	Short sale closed- call ²⁵ Option written: 01/13/21
CCIV 03/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	111.99	01/13/21	147.00	...	-35.01	1 of 2 - Option sale to close-put ²⁵
	1.000	111.99	01/13/21	146.00	...	-34.01	2 of 2 - Option sale to close-put ²⁵
01/15/21	2.000	223.98	Various	293.00	...	-69.02	Total of 2 transactions
CCIV 03/19/2021 PUT \$15.00 / CUSIP: / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	49.98	01/15/21	0.00	...	49.98	1 of 2 - Short sale closed- put ²⁵ Option written: 01/13/21
	1.000	60.98	01/15/21	0.00	...	60.98	2 of 2 - Short sale closed- put ²⁵ Option written: 01/13/21
01/19/21	2.000	110.96	Various	0.00	...	110.96	Total of 2 transactions
CCIV 03/19/2021 PUT \$30.00 / CUSIP: / Symbol:							
02/22/21	1.000	549.97	02/19/21	0.00	...	549.97	Short sale closed- put ²⁵ Option written: 02/09/21
CCIV 04/16/2021 PUT \$15.00 / CUSIP: / Symbol:							
02/19/21	1.000	214.98	02/18/21	0.00	...	214.98	Short sale closed- put ²⁵ Option written: 01/27/21

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 04/16/2021 PUT \$17.50 / CUSIP: / Symbol:							
02/10/21	1.000	214.97	02/09/21	0.00	...	214.97	Short sale closed- put ²⁵ Option written: 01/27/21
CCIV 05/21/2021 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	64.99	01/25/21	0.00	...	64.99	1 of 2 - Short sale closed- put ²⁵ Option written: 01/19/21
	1.000	64.99	01/25/21	0.00	...	64.99	2 of 2 - Short sale closed- put ²⁵ Option written: 01/19/21
01/26/21	2.000	129.98	Various	0.00	...	129.98	Total of 2 transactions
CEI 11/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
11/26/21	5.000	0.00	11/17/21	125.00	...	-125.00	Option expiration- long call
CPNG 12/17/2021 CALL \$32.50 / CUSIP: / Symbol:							
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/16/21	68.00	...	-68.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/16/21	68.00	...	-68.00	2 of 2 - Option expiration- long call
12/17/21	2.000	0.00	Various	136.00	...	-136.00	Total of 2 transactions
CRUS 01/21/2022 CALL \$85.00 / CUSIP: / Symbol:							
05/05/21	1.000	699.98	04/30/21	477.00	...	222.98	Option sale to close-call ²⁵
DDD 12/03/2021 CALL \$27.00 / CUSIP: / Symbol:							
12/03/21	2.000	0.00	11/17/21	210.00	...	-210.00	Option expiration- long call
DKNG 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/03/21	1.000	169.98	01/26/21	130.00	...	39.98	Option sale to close-call ²⁵
FB 01/22/2021 CALL \$275.00 / CUSIP: / Symbol:							
01/21/21	1.000	79.98	01/21/21	123.00	...	-43.02	Option sale to close-call ²⁵
FB 02/19/2021 CALL \$275.00 / CUSIP: / Symbol:							
02/09/21	1.000	299.98	02/09/21	450.00	...	-150.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 04/23/2021 CALL \$317.50 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/22/21	8.00	...	-8.00	Option expiration- long call
FB 12/03/2021 CALL \$360.00 / CUSIP: / Symbol:							
11/15/21	1.000	519.98	11/15/21	665.00	...	-145.02	Option sale to close-call ²⁵
FCEL 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	11/15/21	138.00	...	-138.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/15/21	46.00	...	-46.00	2 of 2 - Option expiration- long call
11/19/21	4.000	0.00	Various	184.00	...	-184.00	Total of 2 transactions
FUBO 05/21/2021 PUT \$15.00 / CUSIP: / Symbol:							
01/26/21	1.000	103.98	01/25/21	0.00	...	103.98	Short sale closed- put ²⁵ Option written: 01/12/21
GE 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
01/29/21	1.000	594.97	08/31/20	224.00	...	370.97	Option sale to close-call ²⁵
HD 02/26/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	01/22/21	266.00	...	-266.00	Option expiration- long call
IBIO 06/18/2021 PUT \$1.50 / CUSIP: / Symbol:							
02/05/21	3.000	14.98	02/04/21	0.00	...	14.98	Short sale closed- put ²⁵ Option written: 01/13/21
IBM 02/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	12/04/20	169.00	...	-169.00	Option expiration- long call
IBM 02/26/2021 CALL \$135.00 / CUSIP: / Symbol:							
02/26/21	1.000	0.00	01/22/21	35.00	...	-35.00	Option expiration- long call
IPOF 07/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	02/12/21	320.00	...	-320.00	Option expiration- long call
KEYS 10/15/2021 CALL \$195.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	08/27/21	60.00	...	-60.00	Option expiration- long call

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Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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KNNDI 03/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
03/19/21	2.000	149.98	03/19/21	0.00	...	149.98	Short sale closed- call expired Option written: 09/21/20
LCID 11/26/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/16/21	1.000	344.98	11/15/21	225.00	...	119.98	Option sale to close-call ²⁵
LCID 11/26/2021 CALL \$52.00 / CUSIP: / Symbol:							
11/19/21	1.000	504.98	11/16/21	355.00	...	149.98	Option sale to close-call ²⁵
LMND 01/08/2021 PUT \$115.00 / CUSIP: / Symbol:							
01/08/21	1.000	0.00	01/07/21	5.00	...	-5.00	Option expiration- long put
LUMN 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
01/29/21	1.000	177.98	01/14/21	60.00	...	117.98	Option sale to close-call ²⁵
NFLX 11/19/2021 CALL \$700.00 / CUSIP: / Symbol:							
11/17/21	1.000	659.98	11/17/21	700.00	...	-40.02	Option sale to close-call ²⁵
NFLX 11/19/2021 PUT \$600.00 / CUSIP: / Symbol:							
11/19/21	1.000	0.00	11/09/21	105.00	...	-105.00	Option expiration- long put
NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
11/19/21	1.000	93.98	01/07/21	37.00	...	56.98	Option sale to close-call ²⁵
PLTR 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/13/21	1.000	159.98	04/05/21	117.00	...	42.98	Option sale to close-call ²⁵
PLTR 11/19/2021 CALL \$23.50 / CUSIP: / Symbol:							
11/19/21	4.000	0.00	11/15/21	152.00	...	-152.00	Option expiration- long call
PLUG 04/01/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/01/21	2.000	0.00	02/18/21	1,550.00	...	-1,550.00	Option expiration- long call
PLUG 04/01/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/01/21	2.000	1,139.96	04/01/21	0.00	...	1,139.96	Short sale closed- call expired Option written: 02/18/21

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QS 05/21/2021 PUT \$25.00 / CUSIP: / Symbol:							
02/19/21	1.000	89.98	01/11/21	250.00	...	-160.02	Option sale to close-put ²⁵
QS 05/21/2021 PUT \$30.00 / CUSIP: / Symbol:							
02/22/21	1.000	257.98	02/19/21	0.00	...	257.98	Short sale closed- put ²⁵ Option written: 01/11/21
RKT 12/10/2021 CALL \$17.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	11/15/21	156.00	...	-156.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/17/21	33.00	...	-33.00	2 of 2 - Option expiration- long call
12/10/21	3.000	0.00	Various	189.00	...	-189.00	Total of 2 transactions
ROKU 12/03/2021 PUT \$200.00 / CUSIP: / Symbol:							
12/02/21	1.000	126.98	11/19/21	102.00	...	24.98	Option sale to close-put ²⁵
SDC 10/29/2021 CALL \$6.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/19/21	43.00	...	-43.00	Option expiration- long call
SDC 12/03/2021 CALL \$3.50 / CUSIP: / Symbol:							
	<i>2 transactions for 12/03/21. Total proceeds and cost reported to the IRS.</i>						
	6.000	0.00	11/26/21	48.00	...	-48.00	1 of 2 - Option expiration- long call
	4.000	0.00	11/26/21	32.00	...	-32.00	2 of 2 - Option expiration- long call
12/03/21	10.000	0.00	Various	80.00	...	-80.00	Total of 2 transactions
SLV 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	02/01/21	149.00	...	-149.00	Option expiration- long call
SOFI 01/21/2022 PUT \$25.00 / CUSIP: / Symbol:							
11/16/21	1.000	589.98	11/15/21	0.00	...	589.98	Short sale closed- put ²⁵ Option written: 06/25/21
SQ 11/19/2021 CALL \$235.00 / CUSIP: / Symbol:							
11/15/21	1.000	519.98	11/15/21	342.00	...	177.98	Option sale to close-call ²⁵

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SWCH 01/20/2023 CALL \$35.00 / CUSIP: / Symbol:							
09/01/21	1.000	94.98	02/22/21	65.00	...	29.98	Option sale to close-call ²⁵
	<i>2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	94.98	02/22/21	65.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	02/22/21	65.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
10/26/21	2.000	194.96	Various	130.00	...	64.96	Total of 2 transactions
	Security total:	289.94		195.00	...	94.94	
SWCH 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
03/23/21	1.000	84.98	03/03/21	60.00	...	24.98	Option sale to close-call ²⁵
TIGR 12/17/2021 PUT \$25.00 / CUSIP: / Symbol:							
06/24/21	1.000	509.98	06/23/21	0.00	...	509.98	Short sale closed- put ²⁵ Option written: 03/01/21
TTCF 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
02/12/21	1.000	29.98	02/11/21	0.00	...	29.98	Short sale closed- put ²⁵ Option written: 01/12/21
WISH 12/31/2021 CALL \$7.00 / CUSIP: / Symbol:							
12/31/21	1.000	0.00	11/12/21	27.00	...	-27.00	Option expiration- long call
ACCURAY INCORPORATED COMMON STOCK / CUSIP: 004397105 / Symbol:							
11/17/21	50.000	286.00	06/23/21	239.50	...	46.50	Sale ²⁵
ALIGHT, INC. / CUSIP: 01626W101 / Symbol:							
	<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	110.90	12/22/20	112.80	...	-1.90	1 of 2 - Sale ²⁵
	10.000	110.90	03/01/21	106.00	...	4.90	2 of 2 - Sale ²⁵
11/01/21	20.000	221.80	Various	218.80	...	3.00	Total of 2 transactions
11/17/21	10.000	110.01	03/01/21	106.00	...	4.01	Sale ²⁵
	Security total:	331.81		324.80	...	7.01	
THE ALKALINE WATER COMPANY INC . COMMON STOCK / CUSIP: 01643A207 / Symbol:							
11/17/21	100.000	139.11	01/11/21	112.00	...	27.11	Sale ²⁵

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ALZAMEND NEURO, INC. COMMON ST OCK / CUSIP: 02262M308 / Symbol:							
3 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	34.000	64.59	11/05/21	139.66	...	-75.07	1 of 3 - Sale ²⁵
	16.000	30.40	11/05/21	48.13	...	-17.73	2 of 3 - Sale ²⁵
	16.000	30.40	11/05/21	47.99	...	-17.59	3 of 3 - Sale ²⁵
11/22/21	66.000	125.39	Various	235.78	...	-110.39	Total of 3 transactions
11/22/21	34.000	64.60	11/05/21	102.27	37.67 W	0.00	Sale ²⁵
	Security total:	189.99		338.05	37.67 W	-110.39	
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	20.000	258.00	12/22/20	122.00	...	136.00	1 of 3 - Sale ²⁵
	5.000	64.50	12/28/20	28.00	...	36.50	2 of 3 - Sale ²⁵
	5.000	64.50	01/06/21	26.24	...	38.26	3 of 3 - Sale ²⁵
02/11/21	30.000	387.00	Various	176.24	...	210.76	Total of 3 transactions
ANNALY CAPITAL MANAGEMENT. INC. / CUSIP: 035710409 / Symbol:							
11/01/21	5.000	42.95	12/31/20	38.75	...	4.20	Sale ²⁵
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
01/06/21	20.000	286.80	12/04/20	286.98	0.09 W	-0.09	Sale ²⁵
ATOMERA INCORPORATED COMMON ST OCK / CUSIP: 04965B100 / Symbol:							
11/17/21	20.000	610.86	02/19/21	721.35	...	-110.49	Sale ²⁵
BK TECHNOLOGIES CORPORATION / CUSIP: 05587G104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	15.000	43.95	10/20/20	44.55	...	-0.60	1 of 2 - Sale ²⁵
	5.000	14.65	10/20/20	14.85	...	-0.20	2 of 2 - Sale ²⁵
01/06/21	20.000	58.60	Various	59.40	...	-0.80	Total of 2 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
06/03/21	1.000	32.14	02/01/21	30.54	...	1.60	Sale ²⁵

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	155.75	11/12/20	129.31	...	26.44	1 of 2 - Sale ²⁵
	1.000	155.75	11/16/20	126.44	...	29.31	2 of 2 - Sale ²⁵
06/28/21	2.000	311.50	Various	255.75	...	55.75	Total of 2 transactions
06/30/21	1.000	158.43	12/03/20	141.26	...	17.17	Sale ²⁵
	Security total:	469.93		397.01	...	72.92	
CCC INTELLIGENT SOLUTIONS HOLD INGS INC. / CUSIP: 12510Q100 / Symbol:							
11/17/21	20.000	263.64	02/11/21	244.00	...	19.64	Sale ²⁵
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	10.000	105.35	06/01/21	103.67	...	1.68	1 of 2 - Sale ²⁵
	5.000	52.68	10/01/21	32.40	...	20.28	2 of 2 - Sale ²⁵
11/17/21	15.000	158.03	Various	136.07	...	21.96	Total of 2 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/14/21	15.000	260.40	01/11/21	174.00	...	86.40	Sale ²⁵
CLEAN ENERGY FUELS CORP. COMMON STOCK / CUSIP: 184499101 / Symbol:							
02/12/21	50.000	792.23	12/21/20	302.50	...	489.73	Sale ²⁵
CLOVER HEALTH INVESTMENTS, CORP. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	20.80	02/04/21	12.49	...	8.31	1 of 2 - Sale ²⁵
	2.000	40.89	04/28/21	21.88	...	19.01	2 of 2 - Sale ²⁵
06/08/21	3.000	61.69	Various	34.37	...	27.32	Total of 2 transactions
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
11/09/21	1.000	355.21	04/15/21	336.01	...	19.20	Sale ²⁵
CORSAIR GAMING, INC. COMMON STOCK / CUSIP: 22041X102 / Symbol:							
12/16/21	20.000	429.60	02/11/21	843.70	207.05 W	-207.05	Sale ²⁵
6 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	42.40	02/26/21	70.96	...	-28.56	1 of 6 - Sale ²⁵

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CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol: (cont'd)							
	3.000	63.60	03/18/21	96.48	...	-32.88	2 of 6 - Sale ²⁵
	1.000	21.20	03/26/21	31.97	...	-10.77	3 of 6 - Sale ²⁵
	2.000	42.40	08/27/21	55.06	...	-12.66	4 of 6 - Sale ²⁵
	1.000	21.20	08/27/21	27.53	...	-6.33	5 of 6 - Sale ²⁵
	1.000	21.20	09/01/21	28.39	...	-7.19	6 of 6 - Sale ²⁵
12/17/21	10.000	212.00	Various	310.39	...	-98.39	Total of 6 transactions
	Security total:	641.60		1,154.09	207.05 W	-305.44	
COTY INC / CUSIP: 222070203 / Symbol:							
09/01/21	15.000	147.76	11/27/20	105.43	...	42.33	Sale ²⁵
	2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.						
	5.000	53.92	11/27/20	35.14	...	18.78	1 of 2 - Sale ²⁵
	10.000	107.84	11/27/20	70.10	...	37.74	2 of 2 - Sale ²⁵
11/17/21	15.000	161.76	Various	105.24	...	56.52	Total of 2 transactions
	Security total:	309.52		210.67	...	98.85	
DHI GROUP, INC. / CUSIP: 23331S100 / Symbol:							
11/04/21	30.000	151.35	06/23/21	107.40	...	43.95	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
08/25/21	3.000	180.20	05/14/21	134.36	...	45.84	Sale ²⁵
11/01/21	2.000	97.98	05/14/21	89.57	...	8.41	Sale ²⁵
	Security total:	278.18		223.93	...	54.25	
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
11/01/21	5.000	149.49	11/09/20	95.95	...	53.54	Sale ²⁵
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
06/23/21	10.000	45.00	06/03/21	26.87	...	18.13	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
01/25/21	10.000	33.65	01/22/21	21.70	...	11.95	Sale ²⁵
01/28/21	10.000	62.50	01/22/21	21.70	...	40.80	Sale ²⁵
05/28/21	10.000	43.31	01/22/21	21.70	...	21.61	Sale ²⁵
	Security total:	139.46		65.10	...	74.36	

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FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	10.000	145.00	12/07/20	114.10	...	30.90	1 of 2 - Sale ²⁵
	21.000	304.50	12/07/20	239.82	...	64.68	2 of 2 - Sale ²⁵
01/04/21	31.000	449.50	Various	353.92	...	95.58	Total of 2 transactions
FORTRESS VALUE ACQUISITION COR P. II / CUSIP: 349642108 / Symbol:							
01/06/21	20.000	216.40	12/21/20	210.99	...	5.41	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.250	25.93	09/11/20	11.92	...	14.01	Cash in lieu
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK / CUSIP: 382865103 / Symbol:							
01/06/21	5.000	61.00	12/07/20	52.65	...	8.35	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
7 transactions for 01/16/21. Total proceeds and cost reported to the IRS.							
	2.000	37.30 N	12/03/20	36.61	...	0.69	1 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$32.70
	5.000	93.25 N	12/16/20	81.55	...	11.70	2 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$81.75
	8.000	149.19 N	12/16/20	130.40	...	18.79	3 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$130.80
	10.000	186.49 N	12/16/20	163.79	...	22.70	4 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$163.50
	5.000	93.24 N	12/28/20	81.90	...	11.34	5 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$81.75
	2.000	37.30 N	12/30/20	32.62	...	4.68	6 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$32.70

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Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
	51.000	951.10 N	01/11/21	1,002.18	...	-51.08	7 of 7 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$833.85
01/16/21	83.000	1,547.87	Various	1,529.05	...	18.82	Total of 7 transactions
	5 transactions for 01/16/21. Total proceeds and cost reported to the IRS.						
	9.000	167.84 N	10/16/20	347.85	180.01 W	0.00	1 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$147.15
	5.000	93.25 N	11/09/20	117.54	24.29 W	0.00	2 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$81.75
	1.000	18.65 N	11/19/20	24.49	5.84 W	0.00	3 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$16.35
	1.000	18.65 N	11/23/20	26.62	7.97 W	0.00	4 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$16.35
	1.000	18.65 N	11/23/20	26.70	8.05 W	0.00	5 of 5 - Sold via put exercise ²⁵ Proceeds or Cost adjusted for option premium of -\$16.35
01/16/21	17.000	317.04	Various	543.20	226.16 W	0.00	Total of 5 transactions
	Security total:	1,864.91		2,072.25	226.16 W	18.82	
INNODATA INC. COMMON STOCK / CUSIP: 457642205 / Symbol:							
11/01/21	20.000	219.20	12/18/20	103.80	...	115.40	Sale ²⁵
INSU ACQUISITION CORP. II CLAS S A COMMON STOCK / CUSIP: 457821106 / Symbol:							
	2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	10.000	146.15	12/07/20	127.20	...	18.95	1 of 2 - Sale ²⁵
	20.000	292.30	12/07/20	253.60	...	38.70	2 of 2 - Sale ²⁵
01/06/21	30.000	438.45	Various	380.80	...	57.65	Total of 2 transactions
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
02/23/21	1.000	151.08	12/07/20	121.61	...	29.47	Sale ²⁵

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Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859102 / Symbol:							
11/22/21	50.000	195.00	11/09/21	251.33	...	-56.33	Sale ²⁵
LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
02/10/21	10.000	382.50	12/04/20	202.84	...	179.66	Sale ²⁵
LANTRONIX, INC. COMMON STOCK / CUSIP: 516548203 / Symbol:							
11/01/21	5.000	48.75	11/25/20	21.40	...	27.35	Sale ²⁵
11/17/21	10.000	91.20	11/25/20	42.80	...	48.40	Sale ²⁵
	Security total:	139.95		64.20	...	75.75	
LIFEMD, INC. COMMON STOCK / CUSIP: 53216B104 / Symbol:							
3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	1.000	12.55	05/20/21	10.43	...	2.12	1 of 3 - Sale ²⁵
	5.000	59.20	05/20/21	52.15	...	7.05	2 of 3 - Sale ²⁵
	4.000	50.20	05/20/21	41.72	...	8.48	3 of 3 - Sale ²⁵
05/25/21	10.000	121.95	Various	104.30	...	17.65	Total of 3 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	182.75	01/11/21	61.40	...	121.35	1 of 2 - Sale ²⁵
	5.000	182.75	01/11/21	58.00	...	124.75	2 of 2 - Sale ²⁵
11/01/21	10.000	365.50	Various	119.40	...	246.10	Total of 2 transactions
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	5.000	272.97	01/11/21	61.40	...	211.57	1 of 2 - Sale ²⁵
	15.000	818.92	01/14/21	252.41	...	566.51	2 of 2 - Sale ²⁵
11/19/21	20.000	1,091.89	Various	313.81	...	778.08	Total of 2 transactions
	Security total:	1,457.39		433.21	...	1,024.18	
LUMEN TECHNOLOGIES, INC. / CUSIP: 550241103 / Symbol:							
11/01/21	30.000	360.90	01/14/21	331.35	...	29.55	Sale ²⁵
11/17/21	20.000	276.43	01/14/21	220.90	...	55.53	Sale ²⁵
	Security total:	637.33		552.25	...	85.08	

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(continued)

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MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	172.00	12/21/20	168.65	...	3.35	1 of 2 - Sale ²⁵
	5.000	172.00	12/29/20	150.55	...	21.45	2 of 2 - Sale ²⁵
11/01/21	10.000	344.00	Various	319.20	...	24.80	Total of 2 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
01/25/21	8.000	117.88	03/05/20	93.04	...	24.84	Sale ²⁵
NCINO, INC. COMMON STOCK / CUSIP: 63947U107 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	74.92	01/06/21	67.71	...	7.21	1 of 2 - Sale ²⁵
	1.000	74.92	01/06/21	67.11	...	7.81	2 of 2 - Sale ²⁵
01/25/21	2.000	149.84	Various	134.82	...	15.02	Total of 2 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
5 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	5.67	01/07/21	4.00	...	1.67	1 of 5 - Sale ²⁵
	20.000	113.40	01/07/21	79.90	...	33.50	2 of 5 - Sale ²⁵
	9.000	51.03	01/14/21	37.26	...	13.77	3 of 5 - Sale ²⁵
	20.000	113.40	01/14/21	82.80	...	30.60	4 of 5 - Sale ²⁵
	30.000	170.09	01/14/21	123.30	...	46.79	5 of 5 - Sale ²⁵
11/01/21	80.000	453.59	Various	327.26	...	126.33	Total of 5 transactions
NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
13 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	37.12	08/03/20	14.20	...	22.92	1 of 13 - Sale ²⁵
	3.000	111.36	09/18/20	44.25	...	67.11	2 of 13 - Sale ²⁵
	1.000	37.12	09/21/20	13.51	...	23.61	3 of 13 - Sale ²⁵
	1.000	37.12	09/22/20	13.33	...	23.79	4 of 13 - Sale ²⁵
	5.000	185.60	09/22/20	66.65	...	118.95	5 of 13 - Sale ²⁵
	5.000	185.60	09/22/20	65.55	...	120.05	6 of 13 - Sale ²⁵
	1.000	37.12	09/23/20	13.12	...	24.00	7 of 13 - Sale ²⁵
	1.000	37.49	09/23/20	13.12	...	24.37	8 of 13 - Sale ²⁵
	1.000	37.49	09/23/20	12.29	...	25.20	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NORDSTROM, INC. / CUSIP: 655664100 / Symbol: (cont'd)							
	3.000	111.36	09/23/20	39.36	...	72.00	10 of 13 - Sale ²⁵
	5.000	187.45	09/28/20	61.54	...	125.91	11 of 13 - Sale ²⁵
	10.000	374.89	09/28/20	123.10	...	251.79	12 of 13 - Sale ²⁵
	3.000	112.47	09/30/20	36.09	...	76.38	13 of 13 - Sale ²⁵
03/10/21	40.000	1,492.19	Various	516.11	...	976.08	Total of 13 transactions
03/12/21	5.000	205.00	09/30/20	60.15	...	144.85	Sale ²⁵
	4 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	2.000	74.36	09/30/20	24.06	...	50.30	1 of 4 - Sale ²⁵
	3.000	111.54	09/30/20	36.24	...	75.30	2 of 4 - Sale ²⁵
	3.000	111.54	09/30/20	36.12	...	75.42	3 of 4 - Sale ²⁵
	7.000	260.26	09/30/20	84.56	...	175.70	4 of 4 - Sale ²⁵
04/22/21	15.000	557.70	Various	180.98	...	376.72	Total of 4 transactions
	2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	3.000	111.12	09/30/20	35.73	...	75.39	1 of 2 - Sale ²⁵
	7.000	259.29	09/30/20	84.28	...	175.01	2 of 2 - Sale ²⁵
04/30/21	10.000	370.41	Various	120.01	...	250.40	Total of 2 transactions
05/03/21	2.000	76.84	09/30/20	23.82	...	53.02	Sale ²⁵
	Security total:	2,702.14		901.07	...	1,801.07	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	14.000	164.49	01/25/21	28.84	...	135.65	1 of 2 - Sale ²⁵
	36.000	422.99	01/25/21	74.16	...	348.83	2 of 2 - Sale ²⁵
02/08/21	50.000	587.48	Various	103.00	...	484.48	Total of 2 transactions
	2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.						
	10.000	152.70	03/15/21	93.60	...	59.10	1 of 2 - Sale ²⁵
	10.000	152.70	03/15/21	93.60	...	59.10	2 of 2 - Sale ²⁵
05/03/21	20.000	305.40	Various	187.20	...	118.20	Total of 2 transactions
	6 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	10.000	138.50	03/15/21	93.60	...	44.90	1 of 6 - Sale ²⁵
	5.000	69.25	03/31/21	38.48	...	30.77	2 of 6 - Sale ²⁵
	5.000	69.25	03/31/21	38.45	...	30.80	3 of 6 - Sale ²⁵
	5.000	69.25	03/31/21	38.35	...	30.90	4 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	2.000	27.70	05/06/21	20.02	...	7.68	5 of 6 - Sale ²⁵
	3.000	41.55	05/06/21	30.03	...	11.52	6 of 6 - Sale ²⁵
11/01/21	30.000	415.50	Various	258.93	...	156.57	Total of 6 transactions
	Security total:	1,308.38		549.13	...	759.25	
ORBCOMM INC. COMMON STOCK / CUSIP: 68555P100 / Symbol:							
09/01/21	20.000	230.00	03/10/21	161.93	...	68.07	Merger
PALANTIR TECHNOLOGIES INC. CLAS S A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	26.79	04/20/21	21.85	...	4.94	1 of 2 - Sale ²⁵
	2.000	53.58	04/20/21	44.00	...	9.58	2 of 2 - Sale ²⁵
11/01/21	3.000	80.37	Various	65.85	...	14.52	Total of 2 transactions
QUIDEL CORPORATION COMMON STOC K / CUSIP: 74838J101 / Symbol:							
01/06/21	2.000	402.74	11/09/20	396.02	...	6.72	Sale ²⁵
RAYONIER ADVANCED MATERIALS IN C. / CUSIP: 75508B104 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	7.23	12/18/20	6.41	...	0.82	1 of 2 - Sale ²⁵
	4.000	28.92	12/18/20	25.64	...	3.28	2 of 2 - Sale ²⁵
01/06/21	5.000	36.15	Various	32.05	...	4.10	Total of 2 transactions
REDBOX ENTERTAINMENT INC. CLAS S A COMMON STOCK / CUSIP: 75724T103 / Symbol:							
11/26/21	5.000	57.50	11/04/21	72.63	...	-15.13	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
11/17/21	150.000	209.98	10/29/21	329.25	46.12 W	-73.15	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	118.08	11/10/21	100.49	...	17.59	1 of 2 - Sale ²⁵
	1.000	118.08	11/10/21	100.49	...	17.59	2 of 2 - Sale ²⁵
11/11/21	2.000	236.16	Various	200.98	...	35.18	Total of 2 transactions

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
3 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	10.000	18.60	05/10/21	15.60	...	3.00	1 of 3 - Sale ²⁵
	50.000	92.99	05/10/21	78.00	...	14.99	2 of 3 - Sale ²⁵
	20.000	37.20	07/01/21	28.20	...	9.00	3 of 3 - Sale ²⁵
11/17/21	80.000	148.79	Various	121.80	...	26.99	Total of 3 transactions
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol:							
09/14/21	100.000	109.99	09/02/21	128.00	...	-18.01	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	10.84	06/04/21	8.20	...	2.64	1 of 2 - Sale ²⁵
	5.000	54.20	06/24/21	50.14	...	4.06	2 of 2 - Sale ²⁵
07/01/21	6.000	65.04	Various	58.34	...	6.70	Total of 2 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	10.000	59.30	03/30/21	46.79	...	12.51	1 of 2 - Sale ²⁵
	25.000	150.00	03/30/21	116.97	...	33.03	2 of 2 - Sale ²⁵
04/07/21	35.000	209.30	Various	163.76	...	45.54	Total of 2 transactions
3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	15.000	68.70	03/30/21	70.18	...	-1.48	1 of 3 - Sale ²⁵
	5.000	22.90	04/20/21	20.35	...	2.55	2 of 3 - Sale ²⁵
	5.000	22.90	04/20/21	20.35	...	2.55	3 of 3 - Sale ²⁵
05/05/21	25.000	114.50	Various	110.88	...	3.62	Total of 3 transactions
11/01/21	20.000	45.20	09/29/21	40.80	...	4.40	Sale ²⁵
	Security total:	369.00		315.44	...	53.56	
SWITCH, INC. CLASS A COMMON ST OCK / CUSIP: 87105L104 / Symbol:							
7 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	2.000	32.96	09/18/20	30.72	...	2.24	1 of 7 - Sale ²⁵
	10.000	164.81	12/15/20	155.10	...	9.71	2 of 7 - Sale ²⁵
	10.000	164.81	12/17/20	159.05	...	5.76	3 of 7 - Sale ²⁵
	2.000	32.96	12/18/20	31.72	...	1.24	4 of 7 - Sale ²⁵

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SWITCH, INC. CLASS A COMMON ST OCK / CUSIP: 87105L104 / Symbol: (cont'd)							
	3.000	49.44	12/18/20	47.58	...	1.86	5 of 7 - Sale ²⁵
	3.000	49.45	12/22/20	48.00	...	1.45	6 of 7 - Sale ²⁵
	5.000	82.90	12/22/20	80.00	...	2.90	7 of 7 - Sale ²⁵
04/01/21	35.000	577.33	Various	552.17	...	25.16	Total of 7 transactions
	3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.						
	1.000	17.18	12/22/20	16.00	...	1.18	1 of 3 - Sale ²⁵
	11.000	188.98	12/22/20	176.00	...	12.98	2 of 3 - Sale ²⁵
	20.000	343.59	12/23/20	334.60	...	8.99	3 of 3 - Sale ²⁵
04/07/21	32.000	549.75	Various	526.60	...	23.15	Total of 3 transactions
	Security total:	1,127.08		1,078.77	...	48.31	
THE REALREAL, INC. COMMON STOC K / CUSIP: 88339P101 / Symbol:							
01/06/21	1.000	22.94	10/13/20	15.87	...	7.07	Sale ²⁵
THRYV HOLDINGS, INC. COMMON ST OCK / CUSIP: 886029206 / Symbol:							
	3 transactions for 01/06/21. Total proceeds and cost reported to the IRS.						
	1.000	14.53	10/14/20	11.55	...	2.98	1 of 3 - Sale ²⁵
	5.000	72.65	10/14/20	57.75	...	14.90	2 of 3 - Sale ²⁵
	5.000	72.65	10/14/20	57.40	...	15.25	3 of 3 - Sale ²⁵
01/06/21	11.000	159.83	Various	126.70	...	33.13	Total of 3 transactions
UNISYS CORPORATION / CUSIP: 909214306 / Symbol:							
01/06/21	5.000	102.18	12/18/20	92.68	...	9.50	Sale ²⁵
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
01/06/21	10.000	179.85	12/04/20	182.58	...	-2.73	Sale ²⁵
01/06/21	10.000	179.85	12/04/20	182.45	2.60 W	0.00	Sale ²⁵
03/04/21	10.000	290.80	12/04/20	182.90	...	107.90	Sale ²⁵
	3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	5.000	223.75	12/04/20	91.45	...	132.30	1 of 3 - Sale ²⁵
	5.000	223.75	12/09/20	78.80	...	144.95	2 of 3 - Sale ²⁵
	5.000	223.75	12/14/20	78.02	...	145.73	3 of 3 - Sale ²⁵
11/01/21	15.000	671.25	Various	248.27	...	422.98	Total of 3 transactions
	Security total:	1,321.75		796.20	2.60 W	528.15	

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Robinhood Securities LLC

Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
08/27/21	1.000	4.31	08/27/21	4.27	...	0.04	Sale ²⁵
	2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.						
	4.000	29.60	08/27/21	17.08	...	12.52	1 of 2 - Sale ²⁵
	5.000	49.80	08/27/21	21.35	...	28.45	2 of 2 - Sale ²⁵
08/30/21	9.000	79.40	Various	38.43	...	40.97	Total of 2 transactions
10/19/21	2.000	15.85	08/27/21	8.54	...	7.31	Sale ²⁵
	Security total:	99.56		51.24	...	48.32	
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
	3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.						
	1.000	47.25	12/18/20	40.80	...	6.45	1 of 3 - Sale ²⁵
	2.000	94.50	12/18/20	81.60	...	12.90	2 of 3 - Sale ²⁵
	5.000	236.25	12/18/20	202.60	...	33.65	3 of 3 - Sale ²⁵
11/01/21	8.000	378.00	Various	325.00	...	53.00	Total of 3 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
10/25/21	1.000	50.86	12/18/20	30.04	...	20.82	Sale ²⁵
11/01/21	4.000	206.84	12/18/20	120.16	...	86.68	Sale ²⁵
	Security total:	257.70		150.20	...	107.50	
WIDEPOINT CORPORATION / CUSIP: 967590209 / Symbol:							
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	3.300	41.98	09/24/20	16.83	...	25.15	1 of 3 - Sale ²⁵
	4.700	59.78	09/24/20	23.97	...	35.81	2 of 3 - Sale ²⁵
	2.000	25.44	09/28/20	9.97	...	15.47	3 of 3 - Sale ²⁵
02/10/21	10.000	127.20	Various	50.77	...	76.43	Total of 3 transactions
WILLIAMS COMPANIES INC. / CUSIP: 969457100 / Symbol:							
	2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.						
	1.000	28.14	03/24/21	23.03	...	5.11	1 of 2 - Sale ²⁵
	9.000	253.21	03/24/21	207.26	...	45.95	2 of 2 - Sale ²⁵
11/04/21	10.000	281.35	Various	230.29	...	51.06	Total of 2 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	135.25	10/16/20	71.35	...	63.90	1 of 2 - Sale ²⁵
	1.000	135.24	10/28/20	69.25	...	65.99	2 of 2 - Sale ²⁵
03/18/21	2.000	270.49	Various	140.60	...	129.89	Total of 2 transactions
XOS, INC. COMMON STOCK / CUSIP: 98423B108 / Symbol:							
11/01/21	10.000	54.80	09/29/21	47.20	...	7.60	Sale ²⁵
CONSTELLIUM SE CLASS A ORDINARY SHARES / CUSIP: F21107101 / Symbol:							
11/04/21	10.000	190.85	01/12/21	146.00	...	44.85	Sale ²⁵
BRIDGETOWN HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355U113 / Symbol:							
01/04/21	10.000	150.00	12/16/20	127.30	...	22.70	Sale ²⁵
NEWEGG COMMERCE, INC. COMMON SHARES / CUSIP: G6483G100 / Symbol:							
11/17/21	20.000	379.70	11/11/21	328.40	...	51.30	Sale ²⁵
AUDIOCODES LTD. COMMON STOCK / CUSIP: M15342104 / Symbol:							
01/25/21	1.000	32.99	10/30/20	28.71	...	4.28	Sale ²⁵
RISKIFIED LTD. / CUSIP: M8216R109 / Symbol:							
11/01/21	1.000	20.54	10/21/21	19.95	...	0.59	Sale ²⁵
EQONEX LIMITED ORDINARY SHARES / CUSIP: Y2074E109 / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	20.000	86.20	10/29/21	121.15	...	-34.95	1 of 2 - Sale ²⁵
	10.000	43.10	10/29/21	60.58	...	-17.48	2 of 2 - Sale ²⁵
11/22/21	30.000	129.30	Various	181.73	...	-52.43	Total of 2 transactions
Totals :		36,132.47		29,858.07	519.69 W	6,794.09	

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Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	214.22	03/20/20	402.19	...	-187.97	1 of 2 - Sale ²⁵
	1.000	214.22	03/20/20	385.30	...	-171.08	2 of 2 - Sale ²⁵
11/01/21	2.000	428.44	Various	787.49	...	-359.05	Total of 2 transactions
CIRRUS LOGIC, INC. COMMON STOC K / CUSIP: 172755100 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	83.20	06/23/20	59.51	...	23.69	1 of 2 - Sale ²⁵
	2.000	166.40	08/03/20	146.97	...	19.43	2 of 2 - Sale ²⁵
11/01/21	3.000	249.60	Various	206.48	...	43.12	Total of 2 transactions
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
14 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	2.000	61.86	08/17/20	41.02	...	20.84	1 of 14 - Sale ²⁵
	5.000	154.65	08/17/20	103.90	...	50.75	2 of 14 - Sale ²⁵
	5.000	154.65	08/17/20	103.75	...	50.90	3 of 14 - Sale ²⁵
	5.000	154.65	08/17/20	103.45	...	51.20	4 of 14 - Sale ²⁵
	1.000	30.93	08/24/20	20.01	...	10.92	5 of 14 - Sale ²⁵
	1.000	30.93	09/16/20	20.48	...	10.45	6 of 14 - Sale ²⁵
	1.000	30.93	09/16/20	20.45	...	10.48	7 of 14 - Sale ²⁵
	1.000	30.93	09/16/20	20.17	...	10.76	8 of 14 - Sale ²⁵
	1.000	30.93	09/18/20	19.34	...	11.59	9 of 14 - Sale ²⁵
	1.000	30.93	09/18/20	19.03	...	11.90	10 of 14 - Sale ²⁵
	2.000	61.86	09/22/20	38.88	...	22.98	11 of 14 - Sale ²⁵
	2.000	61.86	09/23/20	39.16	...	22.70	12 of 14 - Sale ²⁵
	2.000	61.85	09/25/20	38.24	...	23.61	13 of 14 - Sale ²⁵
	1.000	30.93	09/28/20	19.18	...	11.75	14 of 14 - Sale ²⁵
10/25/21	30.000	927.89	Various	607.06	...	320.83	Total of 14 transactions
4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	4.000	119.60	09/28/20	76.72	...	42.88	1 of 4 - Sale ²⁵
	5.000	149.50	10/01/20	98.38	...	51.12	2 of 4 - Sale ²⁵
	5.000	149.50	10/02/20	96.90	...	52.60	3 of 4 - Sale ²⁵
	1.000	29.90	10/28/20	18.75	...	11.15	4 of 4 - Sale ²⁵
11/01/21	15.000	448.50	Various	290.75	...	157.75	Total of 4 transactions

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Account 164178196

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DROPOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol: (cont'd)							
Security total:		1,376.39		897.81	...	478.58	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	0.375	39.84	09/11/20	17.88	...	21.96	1 of 2 - Sale ²⁵
	0.625	66.41	09/14/20	30.24	...	36.17	2 of 2 - Sale ²⁵
11/01/21	1.000	106.25	Various	48.12	...	58.13	Total of 2 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
2 transactions for 09/23/21. Total proceeds and cost reported to the IRS.							
	1.000	71.72	04/29/20	81.76	...	-10.04	1 of 2 - Sale ²⁵
	1.000	71.73	05/21/20	73.54	...	-1.81	2 of 2 - Sale ²⁵
09/23/21	2.000	143.45	Various	155.30	...	-11.85	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	1.000	1.60	11/16/20	1.26	...	0.34	1 of 3 - Sale ²⁵
	4.000	6.40	11/16/20	5.03	...	1.37	2 of 3 - Sale ²⁵
	6.000	9.60	11/16/20	6.96	...	2.64	3 of 3 - Sale ²⁵
11/23/21	11.000	17.60	Various	13.25	...	4.35	Total of 3 transactions
LANTRONIX, INC. COMMON STOCK / CUSIP: 516548203 / Symbol:							
3 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	5.000	48.75	10/12/20	25.75	...	23.00	1 of 3 - Sale ²⁵
	5.000	48.75	10/12/20	25.55	...	23.20	2 of 3 - Sale ²⁵
	5.000	48.75	10/14/20	24.55	...	24.20	3 of 3 - Sale ²⁵
11/01/21	15.000	146.25	Various	75.85	...	70.40	Total of 3 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
11/01/21	1.000	65.61	10/22/20	53.14	...	12.47	Sale ²⁵

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MACY'S INC. / CUSIP: 55616P104 / Symbol:							
<i>4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	29.47	03/11/20	53.97	...	-24.50	1 of 4 - Sale ²⁵
	1.000	14.74	03/11/20	34.00	...	-19.26	2 of 4 - Sale ²⁵
	1.000	14.73	03/11/20	31.71	...	-16.98	3 of 4 - Sale ²⁵
	1.000	14.74	03/11/20	26.98	...	-12.24	4 of 4 - Sale ²⁵
01/25/21	5.000	73.68	Various	146.66	...	-72.98	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
11/01/21	1.000	329.86	04/29/20	188.62	...	141.24	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
10/06/21	2.000	83.91	07/22/20	77.36	...	6.55	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>4 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	42.12	07/31/20	8.18	...	33.94	1 of 4 - Sale ²⁵
	1.000	42.12	07/31/20	8.15	...	33.97	2 of 4 - Sale ²⁵
	2.000	84.24	07/31/20	16.02	...	68.22	3 of 4 - Sale ²⁵
	5.000	210.60	07/31/20	40.10	...	170.50	4 of 4 - Sale ²⁵
11/01/21	9.000	379.08	Various	72.45	...	306.63	Total of 4 transactions
REVOLVE GROUP, INC. / CUSIP: 76156B107 / Symbol:							
11/01/21	3.000	220.80	03/24/20	22.80	...	198.00	Sale ²⁵
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
<i>2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	49.04	08/07/20	14.60	...	34.44	1 of 2 - Sale ²⁵
	4.000	196.16	08/07/20	58.40	...	137.76	2 of 2 - Sale ²⁵
11/01/21	5.000	245.20	Various	73.00	...	172.20	Total of 2 transactions
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
11/01/21	2.000	94.50	09/10/20	69.82	...	24.68	Sale ²⁵

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(continued)

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WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
<i>5 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	38.20	03/09/20	57.20	...	-19.00	1 of 5 - Sale ²⁵
	1.000	38.20	03/09/20	53.21	...	-15.01	2 of 5 - Sale ²⁵
	1.000	38.20	03/09/20	51.56	...	-13.36	3 of 5 - Sale ²⁵
	1.000	38.20	03/09/20	43.70	...	-5.50	4 of 5 - Sale ²⁵
	1.000	38.20	03/09/20	43.15	...	-4.95	5 of 5 - Sale ²⁵
03/25/21	5.000	191.00	Various	248.82	...	-57.82	Total of 5 transactions
<i>10 transactions for 10/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	47.79	03/11/20	32.76	...	15.03	1 of 10 - Sale ²⁵
	1.000	47.79	03/11/20	32.32	...	15.47	2 of 10 - Sale ²⁵
	1.000	47.80	03/12/20	27.38	...	20.42	3 of 10 - Sale ²⁵
	1.000	47.79	03/12/20	27.15	...	20.64	4 of 10 - Sale ²⁵
	1.000	47.80	04/03/20	26.25	...	21.55	5 of 10 - Sale ²⁵
	1.000	47.79	04/29/20	30.00	...	17.79	6 of 10 - Sale ²⁵
	1.000	47.79	06/26/20	26.34	...	21.45	7 of 10 - Sale ²⁵
	1.000	47.80	06/26/20	26.34	...	21.46	8 of 10 - Sale ²⁵
	1.000	47.79	08/11/20	25.32	...	22.47	9 of 10 - Sale ²⁵
	1.000	47.80	08/11/20	25.25	...	22.55	10 of 10 - Sale ²⁵
10/07/21	10.000	477.94	Various	279.11	...	198.83	Total of 10 transactions
10/25/21	1.000	50.86	09/24/20	22.78	...	28.08	Sale ²⁵
	Security total:	719.80		550.71	...	169.09	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
<i>5 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	135.25	03/11/20	198.17	...	-62.92	1 of 5 - Sale ²⁵
	1.000	135.25	03/12/20	172.28	...	-37.03	2 of 5 - Sale ²⁵
	1.000	135.25	03/19/20	159.70	...	-24.45	3 of 5 - Sale ²⁵
	1.000	135.25	03/19/20	148.60	...	-13.35	4 of 5 - Sale ²⁵
	1.000	135.25	03/19/20	141.89	...	-6.64	5 of 5 - Sale ²⁵
03/18/21	5.000	676.25	Various	820.64	...	-144.39	Total of 5 transactions
Totals :		5,356.67		4,259.50		1,097.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 164178196

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ALTRIA GROUP, INC.	02209S103		01/11/21	16.34	Qualified dividend	
			04/30/21	16.34	Qualified dividend	
			07/09/21	16.34	Qualified dividend	
			10/12/21	17.10	Qualified dividend	
				66.12	Total Dividends & distributions	
ANNALY CAPITAL MANAGEMENT, INC .	035710409		04/30/21	1.10	Nondividend distribution	03
			07/30/21	1.10	Nondividend distribution	03
			10/29/21	1.10	Nondividend distribution	03
	3.30	Total Dividends & distributions				
BK TECHNOLOGIES CORPORATION	05587G104		01/19/21	0.70	Qualified dividend	
			04/26/21	0.30	Qualified dividend	
			08/09/21	0.30	Qualified dividend	
			10/18/21	0.30	Qualified dividend	
	1.60	Total Dividends & distributions				
BANCO BRADESCO S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE PREFERRED SHARE)	059460303		07/08/21	0.08	Qualified dividend	
			07/08/21	-0.01	Foreign tax withheld-BR	
			07/19/21	2.05	Qualified dividend	
			07/21/21	-0.31	Foreign tax withheld-BR	
			08/09/21	0.07	Qualified dividend	
			08/09/21	-0.01	Foreign tax withheld-BR	
			09/08/21	0.07	Qualified dividend	
			09/08/21	-0.01	Foreign tax withheld-BR	
			10/12/21	0.07	Qualified dividend	
			10/12/21	-0.01	Foreign tax withheld-BR	
	11/08/21	0.07	Qualified dividend			

Robinhood Securities LLC

Account 164178196

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANCO BRADESCO S.A. AMERICAN D EPOSITARY SHARES (EACH REPRESENTING ONE PREFERRED SHARE) (cont'd)			11/08/21	-0.01	Foreign tax withheld-BR	
			12/08/21	0.07	Qualified dividend	
			12/08/21	-0.01	Foreign tax withheld-BR	
				2.48	Total Dividends & distributions	
				-0.37	Total Foreign tax withheld	
FOOT LOCKER, INC.	344849104		01/29/21	0.75	Qualified dividend	
			04/30/21	1.00	Qualified dividend	
			07/30/21	1.00	Qualified dividend	
			10/29/21	1.50	Qualified dividend	
				4.25	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.10	Qualified dividend	
			04/26/21	0.10	Qualified dividend	
			07/26/21	0.10	Qualified dividend	
				0.30	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.08	Qualified dividend	
GILEAD SCIENCES, INC. COMMON STOCK	375558103		03/30/21	3.55	Qualified dividend	
			06/29/21	3.55	Qualified dividend	
			09/29/21	3.55	Qualified dividend	
			12/30/21	2.13	Qualified dividend	
				12.78	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/27/21	0.27	Nondividend distribution	03
			10/26/21	0.27	Nondividend distribution	03
				0.54	Total Dividends & distributions	
JPMORGAN CHASE & CO.	46625H100		02/01/21	0.90	Qualified dividend	
JOHNSON & JOHNSON	478160104		03/09/21	3.03	Qualified dividend	
			06/08/21	3.18	Qualified dividend	
			09/07/21	3.18	Qualified dividend	
			12/07/21	3.18	Qualified dividend	
				12.57	Total Dividends & distributions	
LUMEN TECHNOLOGIES, INC.	550241103		03/19/21	12.50	Qualified dividend	
			06/11/21	12.50	Qualified dividend	
			09/10/21	12.50	Qualified dividend	
				37.50	Total Dividends & distributions	

Robinhood Securities LLC

Account 164178196

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MCAFEE CORP. CLASS A COMMON STOCK	579063108		01/07/21	0.44	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/11/21	0.56	Qualified dividend	
			06/10/21	0.56	Qualified dividend	
			09/09/21	0.56	Qualified dividend	
				1.68	Total Dividends & distributions	
PFIZER INC.	717081103		03/05/21	0.78	Qualified dividend	
			06/04/21	0.78	Qualified dividend	
			09/07/21	0.78	Qualified dividend	
				2.34	Total Dividends & distributions	
PHILIP MORRIS INTERNATIONAL INC.	718172109		01/11/21	1.20	Qualified dividend	
			04/09/21	1.20	Qualified dividend	
			07/12/21	1.20	Qualified dividend	
			10/14/21	1.25	Qualified dividend	
				4.85	Total Dividends & distributions	
ROCKET COMPANIES, INC.	77311W101		03/23/21	8.88	Qualified dividend	
SKYWORKS SOLUTIONS, INC. COMMON STOCK	83088M102		03/09/21	0.50	Qualified dividend	
			06/08/21	0.50	Qualified dividend	
			09/07/21	0.56	Qualified dividend	
			12/14/21	0.56	Qualified dividend	
				2.12	Total Dividends & distributions	
SWITCH, INC. CLASS A COMMON STOCK	87105L104		03/26/21	3.35	Qualified dividend	
UNITED STATES STEEL CORPORATION	912909108		06/09/21	0.05	Qualified dividend	
			09/09/21	0.05	Qualified dividend	
			12/09/21	0.25	Qualified dividend	
				0.35	Total Dividends & distributions	
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK	931427108		03/12/21	10.29	Qualified dividend	
			06/11/21	10.29	Qualified dividend	
			09/10/21	10.51	Qualified dividend	
			12/10/21	5.73	Qualified dividend	
				36.82	Total Dividends & distributions	

Robinhood Securities LLC

Account 164178196

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WELLS FARGO & CO.	949746101		03/01/21	2.10	Qualified dividend	
			06/01/21	1.60	Qualified dividend	
			09/01/21	3.20	Qualified dividend	
				6.90	Total Dividends & distributions	
WILLIAMS COMPANIES INC.	969457100		06/28/21	2.79	Nondividend distribution	03
			06/28/21	1.31	Qualified dividend	03
			09/27/21	2.79	Nondividend distribution	03
			09/27/21	1.31	Qualified dividend	03
	8.20	Total Dividends & distributions				
NORDIC AMERICAN TANKER	G65773106		03/31/21	1.12	Nonqualified dividend	
			06/18/21	1.12	Nonqualified dividend	
			10/14/21	0.56	Nonqualified dividend	
			12/09/21	0.86	Nonqualified dividend	
	3.66	Total Dividends & distributions				
	222.01	Total Dividends & distributions				
	-0.37	Total Foreign tax withheld				

Robinhood Securities LLC

Account 164178196

Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	NORDIC AMERICAN TANKER	G65773106	3.66	0.00	0.00	3.66	0.00	
Total BERMUDA			3.66	0.00	0.00	3.66	0.00	
BR	BANCO BRADESCO S.A. AMERICAN D EPOSITARY SHARES (EACH REPRESENTING ONE PREFERRED SHARE)	059460303	0.00	2.48	0.00	2.48	-0.37	
Total BRAZIL			0.00	2.48	0.00	2.48	-0.37	
Grand Total	Total Foreign Source Income*			6.14				
	Qualified Foreign Source Income**			2.48				
	Foreign Tax				-0.37			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 164178196

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AST SPACEMOBILE, INC. CLASS A COMMON STOCK	00217D100	04/07/21	10.00	0.00	Exchange	
ALIGHT, INC.	01626W101	07/06/21	30.00	0.00	Exchange	
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK	03768F102	07/02/21	-10.00	0.00	Exchange	
ARCHER AVIATION INC.	03945R102	09/17/21	10.00	0.00	Exchange	
ARTIUS ACQUISITION INC. CLASS A COMMON STOCK	04316G105	06/25/21	-10.00	0.00	Exchange	
ATLAS CREST INVESTMENT CORP.	049284102	09/17/21	-10.00	0.00	Exchange	
AVEPOINT, INC. CLASS A COMMON STOCK	053604104	07/02/21	10.00	0.00	Exchange	
THE BEACHBODY COMPANY, INC.	073463101	06/28/21	20.00	0.00	Exchange	
CCC INTELLIGENT SOLUTIONS HOLD INGS INC.	12510Q100	08/02/21	20.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	156700106	01/22/21	-50.00	0.00	Exchange	
CF FINANCE ACQUISITION CORP. I I CLASS A COMMON STOCK	15725Q104	03/08/21	-11.00	0.00	Exchange	
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-193.00	0.00	Exchange	
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION	18716C100	07/02/21	-40.00	0.00	Exchange	
CM LIFE SCIENCES, INC. CLASS A COMMON STOCK	18978W109	07/23/21	-10.00	0.00	Exchange	
COLLECTIVE GROWTH CORPORATION CLASS A COMMON STOCK	19424L101	04/06/21	-10.00	0.00	Exchange	
DD3 ACQUISITION CORP. II CLASS A COMMON STOCK	23318M100	12/01/21	-20.00	0.00	Exchange	
DECARBONIZATION PLUS ACQUISITI ON CORPORATION CLASS A COMMON STOCK	242797108	07/19/21	-17.00	0.00	Exchange	
EVGO INC. CLASS A COMMON STOCK	30052F100	07/02/21	40.00	0.00	Exchange	

Robinhood Securities LLC

Account 164178196

Non-Reportable Actions
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FOLEY TRASIMENE ACQUISITION CO RP.	34431F104	07/06/21	-30.00	0.00	Exchange	
FOREST ROAD ACQUISITION CORP.	34619R102	06/28/21	-20.00	0.00	Exchange	
FUSION ACQUISITION CORP.	36118H105	09/23/21	-10.00	0.00	Exchange	
HILLMAN SOLUTIONS CORP. COMMON STOCK	431636109	07/15/21	10.00	0.00	Exchange	
HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK	443761101	06/23/21	-10.00	0.00	Exchange	
HYZON MOTORS INC. CLASS A COMMON STOCK	44951Y102	07/19/21	17.00	0.00	Exchange	
LANDCADIA HOLDINGS III, INC. CLASS A COMMON STOCK	51476H100	07/15/21	-10.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	193.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	550241103	01/22/21	50.00	0.00	Exchange	
MICROVAST HOLDINGS, INC. COMMON STOCK	59516C106	07/26/21	15.00	0.00	Exchange	
MONEYLION INC.	60938K106	09/23/21	10.00	0.00	Exchange	
NEW PROVIDENCE ACQUISITION CORP. CLASS A COMMON STOCK	64822P106	04/07/21	-10.00	0.00	Exchange	
ORBCOMM INC. COMMON STOCK	68555P100	09/01/21	-20.00	0.00	Merger	
ORIGIN MATERIALS, INC. COMMON STOCK	68622D106	06/25/21	10.00	0.00	Exchange	
PROTERRA INC. COMMON STOCK	74374T109	06/15/21	1.00	0.00	Exchange	
SEMA4 HOLDINGS CORP. CLASS A COMMON STOCK	81663L101	07/23/21	10.00	0.00	Exchange	
TALKSPACE, INC. COMMON STOCK	87427V103	06/23/21	10.00	0.00	Exchange	
TUSCAN HOLDINGS CORP. COMMON STOCK	90069K104	07/26/21	-15.00	0.00	Exchange	
VIEW, INC. CLASS A COMMON STOCK	92671V106	03/08/21	11.00	0.00	Exchange	
ARCLIGHT CLEAN TRANSITION CORP. CLASS A ORDINARY SHARES	G04561109	06/15/21	-1.00	0.00	Exchange	
DRAGONEER GROWTH OPPORTUNITIES CORP.	G28302100	08/02/21	-20.00	0.00	Exchange	

Robinhood Securities LLC

Account 164178196

Non-Reportable Actions
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ION ACQUISITION CORP 1 LTD.	G49392106	06/30/21	-35.00	0.00	Exchange	
RENEW ENERGY GLOBAL PLC CLASS A ORDINARY SHARES	G7500M104	08/24/21	20.00	0.00	Exchange	
RMG ACQUISITION CORP. II CLASS A ORDINARY SHARES	G76083107	08/24/21	-20.00	0.00	Exchange	
CODERE ONLINE LUXEMBOURG, S.A. ORDINARY SHARES	L18268109	12/01/21	20.00	0.00	Exchange	
LUMN 01/21/2022 CALL \$15.00	LUMN 01/21/22 C 15.000	01/22/21	1.00	0.00	Merger	
		01/22/21	-1.00	0.00	Merger	
		01/26/21	1.00	0.00	Merger	
		01/26/21	-1.00	0.00	Merger	
INNOVIZ TECHNOLOGIES LTD. ORDINARY SHARES	M5R635108	04/06/21	10.00	0.00	Exchange	
TABOOLA.COM LTD. ORDINARY SHARES	M8744T106	06/30/21	35.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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