

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 146236542</b>	Statement Date: 02/11/2022 Document ID: 35Q5 B11 R5M8	2021
	Ritesh kumar Vangapalli 157 Stonebridge Blvd 2724 Edmond, OK 73013  RECIPIENT'S TIN: XXX-XX-7914		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.02</p> <p>1b- Qualified dividends      0.02</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	664,240.18	737,083.38	0.00	51,315.05	-21,528.15
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.33	0.76	0.00	0.00	-0.43
	<b>Total Short-term</b>	<b>664,240.51</b>	<b>737,084.14</b>	<b>0.00</b>	<b>51,315.05</b>	<b>-21,528.58</b>
Long	D (basis reported to the IRS)	4.19	4.24	0.00	0.00	-0.05
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>4.19</b>	<b>4.24</b>	<b>0.00</b>	<b>0.00</b>	<b>-0.05</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>664,244.70</b>	<b>737,088.38</b>	<b>0.00</b>	<b>51,315.05</b>	<b>-21,528.63</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.18
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	115.86
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$126.00 / CUSIP: / Symbol: 01/20/21	1.000	619.97	01/15/21	376.00	...	243.97	Option sale to close-call <sup>25</sup>
AAPL 01/22/2021 CALL \$127.00 / CUSIP: / Symbol: 01/21/21	1.000	-210.02	01/20/21	0.00	...	-210.02	Short sale closed- call <sup>25</sup> Option written: 01/15/21
AAPL 02/12/2021 CALL \$125.00 / CUSIP: / Symbol: 01/21/21	1.000	1,286.96	01/13/21	834.00	...	452.96	Option sale to close-call <sup>25</sup>
AAPL 02/12/2021 CALL \$126.00 / CUSIP: / Symbol: 01/22/21	1.000	-435.03	01/21/21	0.00	...	-435.03	Short sale closed- call <sup>25</sup> Option written: 01/13/21
AAPL 02/12/2021 CALL \$136.00 / CUSIP: / Symbol: 02/09/21	1.000	206.98	02/08/21	190.00	...	16.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/05/2021 CALL \$124.00 / CUSIP: / Symbol: 03/05/21	1.000	0.00	03/02/21	302.00	...	-302.00	Option expiration- long call
AAPL 03/05/2021 CALL \$125.00 / CUSIP: / Symbol: 03/05/21	1.000	231.98	03/05/21	0.00	...	231.98	Short sale closed- call expired Option written: 03/02/21
AAPL 03/12/2021 CALL \$124.00 / CUSIP: / Symbol: 03/12/21	1.000	0.00	03/02/21	415.00	...	-415.00	Option expiration- long call
AAPL 03/12/2021 CALL \$125.00 / CUSIP: / Symbol: 03/12/21	1.000	349.98	03/12/21	0.00	...	349.98	Short sale closed- call expired Option written: 03/02/21
AAPL 03/19/2021 CALL \$145.00 / CUSIP: / Symbol: 03/15/21	1.000	0.98	01/27/21	868.00	...	-867.02	Option sale to close-call <sup>25</sup>
AAPL 09/24/2021 CALL \$155.00 / CUSIP: / Symbol: 09/13/21	1.000	139.98	09/10/21	136.00	...	3.98	Option sale to close-call <sup>25</sup>
AFRM 10/08/2021 CALL \$115.00 / CUSIP: / Symbol: 10/05/21	1.000	339.98	10/01/21	580.00	...	-240.02	Option sale to close-call <sup>25</sup>
AMAT 01/21/2022 CALL \$150.00 / CUSIP: / Symbol: 11/19/21	1.000	1,009.98	11/18/21	1,400.00	...	-390.02	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$55.00 / CUSIP: / Symbol: 06/11/21	1.000	15.98	06/10/21	286.00	...	-270.02	Option sale to close-call <sup>25</sup>
AMD 01/15/2021 CALL \$110.00 / CUSIP: / Symbol: 11 transactions for 01/07/21. Total proceeds and cost reported to the IRS.	1.000	18.00	12/21/20	218.00	...	-200.00	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.99	12/21/20	96.00	...	-78.01	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/22/20	207.00	...	-189.00	3 of 11 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 01/15/2021 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
	1.000	18.00	12/22/20	204.01	...	-186.01	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/22/20	204.00	...	-186.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/22/20	189.00	...	-171.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.99	12/23/20	184.00	...	-166.01	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/23/20	170.00	...	-152.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.99	12/29/20	122.00	...	-104.01	9 of 11 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/29/20	119.01	...	-101.01	10 of 11 - Option sale to close-call <sup>25</sup>
	1.000	17.99	12/29/20	110.00	...	-92.01	11 of 11 - Option sale to close-call <sup>25</sup>
01/07/21	11.000	197.96	Various	1,823.02	...	-1,625.06	Total of 11 transactions
	7 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	1.000	18.00	12/17/20	153.00	135.00 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	4.000	71.99	12/17/20	624.00	552.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/17/20	149.00	131.00 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/18/20	141.00	123.00 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/18/20	112.00	94.00 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	17.99	12/21/20	109.00	91.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.00	12/21/20	99.00	81.00 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
01/07/21	10.000	179.98	Various	1,387.00	1,207.02 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>377.94</b>		<b>3,210.02</b>	<b>1,207.02 W</b>	<b>-1,625.06</b>	
AMD 09/24/2021 PUT \$104.00 / CUSIP: / Symbol:							
09/23/21	1.000	27.98	09/14/21	149.00	...	-121.02	Option sale to close-put <sup>25</sup>
AMD 09/24/2021 PUT \$105.00 / CUSIP: / Symbol:							
09/24/21	1.000	129.98	09/23/21	0.00	...	129.98	Short sale closed- put <sup>25</sup> Option written: 09/14/21

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 10/15/2021 CALL \$102.00 / CUSIP: / Symbol:							
10/13/21	5.000	3,709.97	10/01/21	1,435.00	...	2,274.97	Option sale to close-call <sup>25</sup>
AMD 10/15/2021 CALL \$103.00 / CUSIP: / Symbol:							
10/14/21	5.000	-2,020.02	10/13/21	0.00	...	-2,020.02	Short sale closed- call <sup>25</sup> Option written: 10/01/21
AMZN 01/15/2021 CALL \$3,100.00 / CUSIP: / Symbol:							
01/13/21	1.000	7,534.82	01/11/21	10,266.18	...	-2,731.36	Option sale to close-call <sup>25</sup>
01/13/21	1.000	7,399.82	01/08/21	10,308.00	2,908.18 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>14,934.64</b>		<b>20,574.18</b>	<b>2,908.18 W</b>	<b>-2,731.36</b>	
AMZN 01/15/2021 CALL \$3,105.00 / CUSIP: / Symbol:							
	<i>2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	-97.17	01/13/21	0.00	...	-97.17	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/11/21
	1.000	2,933.77	01/13/21	0.00	...	2,933.77	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/08/21
01/14/21	2.000	2,836.60	Various	0.00	...	2,836.60	Total of 2 transactions
AMZN 01/15/2021 CALL \$3,495.00 / CUSIP: / Symbol:							
01/13/21	1.000	86.98	12/08/20	3,455.00	3,368.02 W	0.00	Option sale to close-call <sup>25</sup>
01/14/21	1.000	12.98	12/08/20	3,303.00	3,290.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>18 transactions for 01/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	12/08/20	3,068.00	...	-3,068.00	1 of 18 - Option expiration- long call
	1.000	0.00	12/09/20	3,822.00	...	-3,822.00	2 of 18 - Option expiration- long call
	1.000	0.00	12/10/20	3,028.00	...	-3,028.00	3 of 18 - Option expiration- long call
	1.000	0.00	12/10/20	2,845.00	...	-2,845.00	4 of 18 - Option expiration- long call
	1.000	0.00	12/11/20	2,589.00	...	-2,589.00	5 of 18 - Option expiration- long call
	1.000	0.00	12/11/20	2,513.00	...	-2,513.00	6 of 18 - Option expiration- long call

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 01/15/2021 CALL \$3,495.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/18/20	6,675.02	...	-6,675.02	7 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	6,577.02	...	-6,577.02	8 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	3,171.00	...	-3,171.00	9 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	3,078.00	...	-3,078.00	10 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	2,966.00	...	-2,966.00	11 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	2,848.00	...	-2,848.00	12 of 18 - Option expiration- long call
	1.000	0.00	12/18/20	2,789.00	...	-2,789.00	13 of 18 - Option expiration- long call
	2.000	0.00	12/22/20	4,540.00	...	-4,540.00	14 of 18 - Option expiration- long call
	1.000	0.00	12/22/20	2,324.00	...	-2,324.00	15 of 18 - Option expiration- long call
	1.000	0.00	12/22/20	2,242.00	...	-2,242.00	16 of 18 - Option expiration- long call
	1.000	0.00	12/23/20	2,021.00	...	-2,021.00	17 of 18 - Option expiration- long call
	1.000	0.00	12/23/20	1,710.00	...	-1,710.00	18 of 18 - Option expiration- long call
01/15/21	19.000	0.00	Various	58,806.04	...	-58,806.04	Total of 18 transactions
	<b>Security total:</b>	<b>99.96</b>		<b>65,564.04</b>	<b>6,658.04 W</b>	<b>-58,806.04</b>	
AMZN 01/15/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
01/14/21	1.000	3,288.91	01/13/21	0.00	...	3,288.91	Short sale closed- call <sup>25</sup> Option written: 12/08/20
	19 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.000	3,212.91	01/14/21	0.00	...	3,212.91	1 of 19 - Short sale closed- call <sup>25</sup> Option written: 12/08/20
	1.000	1,659.95	01/15/21	0.00	...	1,659.95	2 of 19 - Short sale closed- call expired Option written: 12/23/20

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMZN 01/15/2021 CALL \$3,500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,969.94	01/15/21	0.00	...	1,969.94	3 of 19 - Short sale closed- call expired
	1.000	2,186.94	01/15/21	0.00	...	2,186.94	Option written: 12/23/20 4 of 19 - Short sale closed- call expired
	1.000	2,264.93	01/15/21	0.00	...	2,264.93	Option written: 12/22/20 5 of 19 - Short sale closed- call expired
	1.000	2,454.93	01/15/21	0.00	...	2,454.93	Option written: 12/22/20 6 of 19 - Short sale closed- call expired
	1.000	2,530.93	01/15/21	0.00	...	2,530.93	Option written: 12/11/20 7 of 19 - Short sale closed- call expired
	1.000	2,724.92	01/15/21	0.00	...	2,724.92	Option written: 12/11/20 8 of 19 - Short sale closed- call expired
	1.000	2,779.92	01/15/21	0.00	...	2,779.92	Option written: 12/18/20 9 of 19 - Short sale closed- call expired
	1.000	2,784.92	01/15/21	0.00	...	2,784.92	Option written: 12/10/20 10 of 19 - Short sale closed- call expired
	1.000	2,899.92	01/15/21	0.00	...	2,899.92	Option written: 12/18/20 11 of 19 - Short sale closed- call expired
	1.000	2,959.92	01/15/21	0.00	...	2,959.92	Option written: 12/18/20 12 of 19 - Short sale closed- call expired
	1.000	2,997.92	01/15/21	0.00	...	2,997.92	Option written: 12/10/20 13 of 19 - Short sale closed- call expired
	1.000	3,009.92	01/15/21	0.00	...	3,009.92	Option written: 12/08/20 14 of 19 - Short sale closed- call expired
							Option written: 12/18/20

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 01/15/2021 CALL \$3,500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3,099.92	01/15/21	0.00	...	3,099.92	15 of 19 - Short sale closed- call expired
	1.000	3,215.91	01/15/21	0.00	...	3,215.91	Option written: 12/18/20 16 of 19 - Short sale closed- call expired
	1.000	3,231.91	01/15/21	0.00	...	3,231.91	Option written: 12/18/20 17 of 19 - Short sale closed- call expired
	1.000	3,741.90	01/15/21	0.00	...	3,741.90	Option written: 12/18/20 18 of 19 - Short sale closed- call expired
	2.000	4,419.89	01/15/21	0.00	...	4,419.89	Option written: 12/09/20 19 of 19 - Short sale closed- call expired
01/15/21	20.000	54,147.50	Various	0.00	...	54,147.50	Option written: 12/22/20 Total of 19 transactions
	<b>Security total:</b>	<b>57,436.41</b>		<b>0.00</b>	...	<b>57,436.41</b>	
AMZN 05/07/2021 CALL \$3,470.00 / CUSIP: / Symbol:							
4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	1.000	234.00	04/29/21	8,601.00	...	-8,367.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	234.00	05/03/21	1,780.00	...	-1,546.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	467.99	05/04/21	1,344.00	...	-876.01	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	467.99	05/04/21	956.00	...	-488.01	4 of 4 - Option sale to close-call <sup>25</sup>
05/04/21	6.000	1,403.98	Various	12,681.00	...	-11,277.02	Total of 4 transactions
AMZN 05/07/2021 CALL \$3,475.00 / CUSIP: / Symbol:							
4 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	2.000	437.98	05/04/21	0.00	...	437.98	1 of 4 - Short sale closed- call <sup>25</sup> Option written: 05/04/21
	2.000	787.98	05/04/21	0.00	...	787.98	2 of 4 - Short sale closed- call <sup>25</sup> Option written: 05/04/21

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 05/07/2021 CALL \$3,475.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,428.98	05/04/21	0.00	...	1,428.98	3 of 4 - Short sale closed- call <sup>25</sup> Option written: 05/03/21
	1.000	8,149.94	05/04/21	0.00	...	8,149.94	4 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/29/21
05/05/21	6.000	10,804.88	Various	0.00	...	10,804.88	Total of 4 transactions
AN 08/20/2021 PUT \$110.00 / CUSIP: / Symbol:							
07/22/21	1.000	229.98	07/21/21	235.00	...	-5.02	Option sale to close-put <sup>25</sup>
APPS 06/04/2021 CALL \$64.00 / CUSIP: / Symbol:							
05/28/21	1.000	551.98	05/26/21	475.00	...	76.98	Option sale to close-call <sup>25</sup>
APPS 06/04/2021 CALL \$65.00 / CUSIP: / Symbol:							
06/01/21	1.000	-72.02	05/28/21	0.00	...	-72.02	Short sale closed- call <sup>25</sup> Option written: 05/26/21
ASXC 04/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	02/08/21	145.00	...	-145.00	Option expiration- long call
BA 01/15/2021 CALL \$235.00 / CUSIP: / Symbol:							
4 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	53.00	12/17/20	770.00	...	-717.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	52.99	12/28/20	310.00	...	-257.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	52.99	12/28/20	283.00	...	-230.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	53.00	01/04/21	85.00	...	-32.00	4 of 4 - Option sale to close-call <sup>25</sup>
01/08/21	4.000	211.98	Various	1,448.00	...	-1,236.02	Total of 4 transactions
BA 03/26/2021 CALL \$240.00 / CUSIP: / Symbol:							
03/25/21	1.000	769.98	03/24/21	340.00	...	429.98	Option sale to close-call <sup>25</sup>
BA 03/26/2021 CALL \$260.00 / CUSIP: / Symbol:							
03/17/21	1.000	499.98	03/16/21	560.00	...	-60.02	Option sale to close-call <sup>25</sup>
BA 04/01/2021 CALL \$260.00 / CUSIP: / Symbol:							
03/25/21	1.000	222.98	03/22/21	420.00	...	-197.02	Option sale to close-call <sup>25</sup>

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Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BA 04/30/2021 CALL \$245.00 / CUSIP: / Symbol:							
04/15/21	1.000	1,216.98	04/14/21	1,546.00	...	-329.02	Option sale to close-call <sup>25</sup>
BA 04/30/2021 CALL \$250.00 / CUSIP: / Symbol:							
04/16/21	1.000	291.98	04/15/21	0.00	...	291.98	Short sale closed- call <sup>25</sup> Option written: 04/14/21
BABA 05/14/2021 CALL \$227.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	04/23/21	855.00	...	-855.00	Option expiration- long call
BABA 05/14/2021 CALL \$230.00 / CUSIP: / Symbol:							
05/14/21	1.000	704.98	05/14/21	0.00	...	704.98	Short sale closed- call expired Option written: 04/23/21
BB 07/02/2021 CALL \$14.00 / CUSIP: / Symbol:							
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	16.99	06/09/21	335.00	...	-318.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	17.00	06/09/21	335.00	...	-318.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	16.99	06/09/21	320.00	...	-303.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/28/21	3.000	50.98	Various	990.00	...	-939.02	Total of 3 transactions
BIDU 04/01/2021 CALL \$205.00 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	1.000	749.99	03/26/21	935.00	...	-185.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	749.99	03/26/21	512.00	...	237.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/21	2.000	1,499.98	Various	1,447.00	...	52.98	Total of 2 transactions
BLDP 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/19/21	1.000	234.98	01/12/21	215.00	...	19.98	Option sale to close-call <sup>25</sup>
01/21/21	1.000	204.98	01/15/21	115.00	...	89.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>439.96</b>		<b>330.00</b>	...	<b>109.96</b>	
CHPT 08/20/2021 CALL \$28.00 / CUSIP: / Symbol:							
07/23/21	1.000	47.98	07/22/21	0.00	...	47.98	Short sale closed- call <sup>25</sup> Option written: 07/21/21

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHPT 08/20/2021 CALL \$29.00 / CUSIP: / Symbol:							
08/11/21	1.000	3.98	08/10/21	0.00	...	3.98	Short sale closed- call <sup>25</sup> Option written: 08/09/21
CLII 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/28/21	50.00	...	-50.00	Option expiration- long call
CLOV 07/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/29/21	1.000	147.98	06/09/21	1,152.02	...	-1,004.04	Option sale to close-call <sup>25</sup>
06/29/21	1.000	147.98	06/09/21	630.00	482.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>295.96</b>		<b>1,782.02</b>	<b>482.02 W</b>	<b>-1,004.04</b>	
CLOV 10/29/2021 CALL \$7.50 / CUSIP: / Symbol:							
		<i>7 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>					
	3.000	11.99	10/22/21	330.01	...	-318.02	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	7.98	10/22/21	220.01	...	-212.03	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	7.99	10/22/21	220.01	...	-212.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/22/21	110.00	...	-106.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/26/21	120.02	...	-116.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	3.99	10/26/21	91.00	...	-87.01	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/26/21	43.00	...	-39.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/28/21	11.000	43.95	Various	1,134.05	...	-1,090.10	Total of 7 transactions
		<i>6 transactions for 10/28/21. Total proceeds and cost reported to the IRS.</i>					
	3.000	11.99	10/13/21	243.00	231.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/13/21	81.00	77.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	11.99	10/13/21	243.00	231.01 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	11.99	10/13/21	243.00	231.01 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	4.00	10/22/21	33.00	29.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	3.98	10/22/21	110.00	106.02 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
10/28/21	12.000	47.95	Various	953.00	905.05 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>91.90</b>		<b>2,087.05</b>	<b>905.05 W</b>	<b>-1,090.10</b>	

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 08/27/2021 CALL \$285.00 / CUSIP: / Symbol:							
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	1.000	179.99	08/25/21	200.00	...	-20.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	179.99	08/25/21	140.00	...	39.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/25/21	2.000	359.98	Various	340.00	...	19.98	Total of 2 transactions
DIS 05/14/2021 CALL \$170.00 / CUSIP: / Symbol:							
04/15/21	1.000	1,884.98	04/14/21	1,932.00	...	-47.02	Option sale to close-call <sup>25</sup>
DIS 05/14/2021 CALL \$175.00 / CUSIP: / Symbol:							
04/16/21	1.000	41.98	04/15/21	0.00	...	41.98	Short sale closed- call <sup>25</sup> Option written: 04/14/21
DIS 07/16/2021 CALL \$190.00 / CUSIP: / Symbol:							
07/01/21	1.000	33.98	06/17/21	68.00	...	-34.02	Option sale to close-call <sup>25</sup>
DQ 03/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/17/21	300.00	...	-300.00	Option expiration- long call
ENPH 05/21/2021 CALL \$130.00 / CUSIP: / Symbol:							
05/20/21	1.000	649.98	05/04/21	610.00	...	39.98	Option sale to close-call <sup>25</sup>
EXPR 07/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
03/18/21	1.000	309.98	03/17/21	255.00	...	54.98	Option sale to close-call <sup>25</sup>
FB 02/05/2021 CALL \$280.00 / CUSIP: / Symbol:							
01/19/21	1.000	354.98	01/13/21	365.00	...	-10.02	Option sale to close-call <sup>25</sup>
FB 02/12/2021 CALL \$280.00 / CUSIP: / Symbol:							
02/09/21	1.000	89.98	02/02/21	185.00	...	-95.02	Option sale to close-call <sup>25</sup>
FB 04/30/2021 CALL \$280.00 / CUSIP: / Symbol:							
04/15/21	1.000	2,984.97	04/14/21	2,767.00	...	217.97	Option sale to close-call <sup>25</sup>
FB 04/30/2021 CALL \$285.00 / CUSIP: / Symbol:							
04/16/21	1.000	-213.03	04/15/21	0.00	...	-213.03	Short sale closed- call <sup>25</sup> Option written: 04/14/21

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 05/07/2021 CALL \$275.00 / CUSIP: / Symbol:							
04/15/21	1.000	3,269.97	04/14/21	3,268.00	...	1.97	Option sale to close-call <sup>25</sup>
FB 05/07/2021 CALL \$280.00 / CUSIP: / Symbol:							
04/16/21	1.000	-7.03	04/15/21	0.00	...	-7.03	Short sale closed- call <sup>25</sup> Option written: 04/14/21
FB 07/09/2021 CALL \$352.50 / CUSIP: / Symbol:							
07/01/21	1.000	329.98	07/01/21	330.00	...	-0.02	Option sale to close-call <sup>25</sup>
FCEL 01/21/2022 CALL \$9.00 / CUSIP: / Symbol:							
		<i>2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	1.98	09/03/21	0.00	...	1.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/23/21
	1.000	1.98	09/03/21	0.00	...	1.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/23/21
09/07/21	2.000	3.96	Various	0.00	...	3.96	Total of 2 transactions
		<i>2 transactions for 09/09/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	20.98	09/08/21	0.00	...	20.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/23/21
	1.000	31.98	09/08/21	0.00	...	31.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 08/25/21
09/09/21	2.000	52.96	Various	0.00	...	52.96	Total of 2 transactions
	<b>Security total:</b>	<b>56.92</b>		<b>0.00</b>	...	<b>56.92</b>	
FCEL 08/20/2021 CALL \$8.50 / CUSIP: / Symbol:							
		<i>2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	6.98	07/22/21	0.00	...	6.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 07/21/21
	1.000	8.98	07/22/21	0.00	...	8.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 07/21/21
07/23/21	2.000	15.96	Various	0.00	...	15.96	Total of 2 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FCEL 08/27/2021 CALL \$8.50 / CUSIP: / Symbol:							
3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	-12.03	08/09/21	0.00	...	-12.03	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/09/21
	2.000	-12.02	08/09/21	0.00	...	-12.02	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/09/21
	1.000	-6.01	08/09/21	0.00	...	-6.01	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 08/09/21
08/10/21	4.000	-30.06	Various	0.00	...	-30.06	Total of 3 transactions
08/10/21	1.000	-6.02	08/09/21	0.00	6.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 08/09/21
	<b>Security total:</b>	<b>-36.08</b>		<b>0.00</b>	<b>6.02 W</b>	<b>-30.06</b>	
FROG 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
02/12/21	1.000	54.98	02/11/21	75.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	02/11/21	70.02	...	-50.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.99	02/11/21	63.00	...	-43.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	02/11/21	43.00	...	-23.00	3 of 3 - Option sale to close-call <sup>25</sup>
02/16/21	3.000	59.98	Various	176.02	...	-116.04	Total of 3 transactions
	<b>Security total:</b>	<b>114.96</b>		<b>251.02</b>	<b>20.02 W</b>	<b>-116.04</b>	
FUBO 02/12/2021 CALL \$44.00 / CUSIP: / Symbol:							
02/11/21	1.000	152.98	02/10/21	220.00	...	-67.02	Option sale to close-call <sup>25</sup>
FUBO 03/12/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/12/21	1.000	0.00	03/02/21	808.00	...	-808.00	Option expiration- long call
FUBO 03/12/2021 CALL \$36.00 / CUSIP: / Symbol:							
03/12/21	1.000	738.98	03/12/21	0.00	...	738.98	Short sale closed- call expired Option written: 03/02/21
FUBO 04/30/2021 CALL \$17.00 / CUSIP: / Symbol:							
04/21/21	1.000	225.98	04/20/21	188.00	...	37.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUTU 09/17/2021 CALL \$110.00 / CUSIP: / Symbol:							
09/08/21	1.000	399.98	09/08/21	470.00	...	-70.02	Option sale to close-call <sup>25</sup>
GEO 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/11/21	23.02	...	-8.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	14.99	06/11/21	19.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	2.000	29.98	Various	42.02	...	-12.04	Total of 2 transactions
06/14/21	1.000	14.98	06/11/21	19.00	4.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>44.96</b>		<b>61.02</b>	<b>4.02 W</b>	<b>-12.04</b>	
GNOG 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
4 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	01/13/21	700.00	...	-695.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	14.99	08/24/21	135.00	...	-120.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	09/13/21	65.00	...	-60.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.99	09/13/21	60.00	...	-55.01	4 of 4 - Option sale to close-call <sup>25</sup>
12/06/21	6.000	29.96	Various	960.00	...	-930.04	Total of 4 transactions
GOEV 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	12/17/20	980.00	...	-980.00	Option expiration- long call
GOOG 12/23/2021 CALL \$2,920.00 / CUSIP: / Symbol:							
12/22/21	1.000	2,099.97	12/22/21	1,100.00	...	999.97	Option sale to close-call <sup>25</sup>
GOOG 12/31/2021 CALL \$3,000.00 / CUSIP: / Symbol:							
12/15/21	1.000	2,949.97	12/14/21	1,450.00	...	1,499.97	Option sale to close-call <sup>25</sup>
GOOGL 12/10/2021 CALL \$2,915.0 / CUSIP: / Symbol:							
12/07/21	1.000	3,349.97	12/07/21	3,240.00	...	109.97	Option sale to close-call <sup>25</sup>
GSAT 07/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	48.00	04/15/21	25.00	...	23.00	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	335.97	04/15/21	168.00	...	167.97	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	8.000	383.97	Various	193.00	...	190.97	Total of 2 transactions

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GSAT 07/16/2021 CALL \$1.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	48.00	02/11/21	136.00	88.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.00	02/12/21	120.00	72.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	2.000	96.00	Various	256.00	160.00 W	0.00	Total of 2 transactions
05/17/21	1.000	39.98	04/15/21	112.00	72.02 W	0.00	Option sale to close-call <sup>25</sup>
05/18/21	3.000	134.98	04/15/21	72.00	...	62.98	Option sale to close-call <sup>25</sup>
05/18/21	1.000	45.00	04/15/21	96.00	51.00 W	0.00	Option sale to close-call <sup>25</sup>
05/21/21	1.000	49.98	04/15/21	24.00	...	25.98	Option sale to close-call <sup>25</sup>
06/09/21	7.000	286.98	04/15/21	168.00	...	118.98	Option sale to close-call <sup>25</sup>
3 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1.000	35.99	05/10/21	97.02	...	-61.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	36.00	05/10/21	76.00	...	-40.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	71.99	05/10/21	50.00	...	21.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/15/21	4.000	143.98	Various	223.02	...	-79.04	Total of 3 transactions
06/21/21	1.000	44.98	05/10/21	25.00	...	19.98	Option sale to close-call <sup>25</sup>
06/23/21	2.000	179.98	05/10/21	50.00	...	129.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,405.83</b>		<b>1,219.02</b>	<b>283.02 W</b>	<b>469.83</b>	
GSAT 08/20/2021 CALL \$1.00 / CUSIP: / Symbol:							
06/25/21	2.000	179.98	06/24/21	150.00	...	29.98	Option sale to close-call <sup>25</sup>
GSM 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/02/21	1.000	44.98	02/01/21	28.00	...	16.98	Option sale to close-call <sup>25</sup>
HTZGQ 01/20/2023 CALL \$0.50 / CUSIP: / Symbol:							
04/27/21	1.000	149.98	10/16/20	190.00	...	-40.02	Option sale to close-call <sup>25</sup>
IDEX 01/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
01/15/21	1.000	4.98	01/15/21	0.00	...	4.98	Short sale closed- call expired Option written: 01/12/21
INTC 12/31/2021 PUT \$51.00 / CUSIP: / Symbol:							
12/08/21	1.000	73.98	12/07/21	92.00	...	-18.02	Option sale to close-put <sup>25</sup>

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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INTC 12/31/2021 PUT \$54.00 / CUSIP: / Symbol:							
12/09/21	1.000	30.98	12/08/21	0.00	...	30.98	Short sale closed- put <sup>25</sup> Option written: 12/07/21
JETS 07/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
4 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	86.98	06/30/21	73.00	...	13.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	86.98	06/30/21	73.00	...	13.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	86.98	06/30/21	73.00	...	13.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	173.98	06/30/21	146.00	...	27.98	4 of 4 - Option sale to close-call <sup>25</sup>
07/01/21	5.000	434.92	Various	365.00	...	69.92	Total of 4 transactions
JETS 07/16/2021 CALL \$24.50 / CUSIP: / Symbol:							
3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	1.000	-26.02	07/01/21	0.00	...	-26.02	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
	1.000	-26.01	07/01/21	0.00	...	-26.01	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
	1.000	-13.01	07/01/21	0.00	...	-13.01	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 06/30/21
07/02/21	3.000	-65.04	Various	0.00	...	-65.04	Total of 3 transactions
07/02/21	2.000	-26.02	07/01/21	0.00	26.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 06/30/21
<b>Security total:</b>		<b>-91.06</b>		<b>0.00</b>	<b>26.02 W</b>	<b>-65.04</b>	
JPM 04/23/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	31.99	04/14/21	365.00	...	-333.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	31.99	04/20/21	115.00	...	-83.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/22/21	2.000	63.98	Various	480.00	...	-416.02	Total of 2 transactions
LAZR 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
11/10/21	1.000	-264.02	11/09/21	0.00	...	-264.02	Short sale closed- call <sup>25</sup> Option written: 08/23/21

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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LRN 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	10/26/20	510.00	...	-510.00	Option expiration- long call
MA 02/19/2021 CALL \$400.00 / CUSIP: / Symbol:							
01/14/21	1.000	44.98	01/13/21	125.00	...	-80.02	Option sale to close-call <sup>25</sup>
MARA 03/05/2021 CALL \$28.50 / CUSIP: / Symbol:							
03/05/21	1.000	135.98	02/23/21	411.00	...	-275.02	Option sale to close-call <sup>25</sup>
MARA 03/05/2021 CALL \$29.00 / CUSIP: / Symbol:							
03/08/21	1.000	287.98	03/05/21	0.00	...	287.98	Short sale closed- call <sup>25</sup> Option written: 02/23/21
MARA 04/23/2021 CALL \$39.00 / CUSIP: / Symbol:							
04/16/21	1.000	434.98	04/16/21	295.00	...	139.98	Option sale to close-call <sup>25</sup>
MARA 04/30/2021 CALL \$34.50 / CUSIP: / Symbol:							
	3 transactions for 04/29/21. Total proceeds and cost reported to the IRS.						
	1.000	76.00	04/19/21	453.00	...	-377.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	151.99	04/20/21	570.00	...	-418.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	75.99	04/22/21	202.00	...	-126.01	3 of 3 - Option sale to close-call <sup>25</sup>
04/29/21	4.000	303.98	Various	1,225.00	...	-921.02	Total of 3 transactions
MARA 04/30/2021 CALL \$35.00 / CUSIP: / Symbol:							
	3 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	1.000	124.98	04/29/21	0.00	...	124.98	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 04/22/21
	1.000	367.98	04/29/21	0.00	...	367.98	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 04/19/21
	2.000	411.98	04/29/21	0.00	...	411.98	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 04/20/21
04/30/21	4.000	904.94	Various	0.00	...	904.94	Total of 3 transactions
MMAT 01/21/2022 CALL \$6.00 / CUSIP: / Symbol:							
09/29/21	1.000	-137.02	09/28/21	0.00	...	-137.02	Short sale closed- call <sup>25</sup> Option written: 08/23/21

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MU 07/02/2021 CALL \$86.00 / CUSIP: / Symbol:							
07/01/21	1.000	-93.02	06/30/21	0.00	...	-93.02	Short sale closed- call <sup>25</sup> Option written: 06/29/21
MU 07/16/2021 CALL \$83.00 / CUSIP: / Symbol:							
06/30/21	1.000	434.98	06/29/21	301.00	...	133.98	Option sale to close-call <sup>25</sup>
MVIS 05/21/2021 CALL \$14.00 / CUSIP: / Symbol:							
05/20/21	1.000	61.98	04/27/21	677.00	...	-615.02	Option sale to close-call <sup>25</sup>
MVIS 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
05/21/21	1.000	587.98	05/20/21	0.00	...	587.98	Short sale closed- call <sup>25</sup> Option written: 04/27/21
NAK 03/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
03/15/21	1.000	4.98	02/01/21	36.00	...	-31.02	Option sale to close-call <sup>25</sup>
NFLX 01/22/2021 CALL \$500.00 / CUSIP: / Symbol:							
01/15/21	1.000	1,696.95	01/13/21	2,025.00	...	-328.05	Option sale to close-call <sup>25</sup>
NFLX 01/22/2021 CALL \$505.00 / CUSIP: / Symbol:							
01/19/21	1.000	322.94	01/15/21	0.00	...	322.94	Short sale closed- call <sup>25</sup> Option written: 01/13/21
NIO 01/22/2021 CALL \$49.00 / CUSIP: / Symbol:							
		4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.					
	1.000	1,366.95	12/28/20	359.00	...	1,007.95	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,366.95	12/28/20	359.00	...	1,007.95	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,366.95	12/28/20	359.00	...	1,007.95	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	2,563.93	12/28/20	718.00	...	1,845.93	4 of 4 - Option sale to close-call <sup>25</sup>
01/12/21	5.000	6,664.78	Various	1,795.00	...	4,869.78	Total of 4 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 01/22/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	-1,814.03	01/12/21	0.00	...	-1,814.03	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 12/28/20
	1.000	-1,814.03	01/12/21	0.00	...	-1,814.03	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 12/28/20
	1.000	-948.01	01/12/21	0.00	...	-948.01	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 12/28/20
01/13/21	3.000	-4,576.07	Various	0.00	...	-4,576.07	Total of 3 transactions
01/13/21	2.000	-1,732.03	01/12/21	0.00	1,732.03 W	0.00	Short sale closed- call <sup>25</sup> Option written: 12/28/20
	<b>Security total:</b>	<b>-6,308.10</b>		<b>0.00</b>	<b>1,732.03 W</b>	<b>-4,576.07</b>	
NIO 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
01/25/21	1.000	454.97	01/22/21	406.00	...	48.97	Option sale to close-call <sup>25</sup>
NIO 05/21/2021 PUT \$31.00 / CUSIP: / Symbol:							
04/19/21	1.000	5.98	04/16/21	0.00	...	5.98	Short sale closed- put <sup>25</sup> Option written: 04/15/21
NIO 05/21/2021 PUT \$32.00 / CUSIP: / Symbol:							
04/16/21	1.000	176.98	04/15/21	183.00	...	-6.02	Option sale to close-put <sup>25</sup>
NKE 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
03/19/21	1.000	12.98	03/18/21	548.00	...	-535.02	Option sale to close-call <sup>25</sup>
NKE 03/19/2021 CALL \$141.00 / CUSIP: / Symbol:							
03/22/21	1.000	478.98	03/19/21	0.00	...	478.98	Short sale closed- call <sup>25</sup> Option written: 03/18/21
NKE 03/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
03/19/21	1.000	4.98	03/18/21	110.00	...	-105.02	Option sale to close-call <sup>25</sup>
NNDM 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
01/22/21	1.000	161.98	01/21/21	124.00	...	37.98	Option sale to close-call <sup>25</sup>
PAYC 02/19/2021 CALL \$440.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/11/21	150.00	...	-150.00	Option expiration- long call

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINS 04/30/2021 CALL \$67.00 / CUSIP: / Symbol:							
4 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	1.000	143.99	04/23/21	847.00	...	-703.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	144.00	04/23/21	847.00	...	-703.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	143.99	04/28/21	134.00	...	9.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	143.98	04/29/21	698.00	...	-554.02	4 of 4 - Option sale to close-call <sup>25</sup>
04/29/21	4.000	575.96	Various	2,526.00	...	-1,950.04	Total of 4 transactions
04/29/21	1.000	144.00	04/22/21	770.00	626.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>719.96</b>		<b>3,296.00</b>	<b>626.00 W</b>	<b>-1,950.04</b>	
PINS 04/30/2021 CALL \$67.50 / CUSIP: / Symbol:							
4 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	1.000	-60.04	04/29/21	0.00	...	-60.04	1 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/29/21
	1.000	621.98	04/29/21	0.00	...	621.98	2 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/22/21
	1.000	697.98	04/29/21	0.00	...	697.98	3 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/23/21
	1.000	697.98	04/29/21	0.00	...	697.98	4 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/23/21
04/30/21	4.000	1,957.90	Various	0.00	...	1,957.90	Total of 4 transactions
04/30/21	1.000	-1.02	04/29/21	0.00	1.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 04/28/21
	<b>Security total:</b>	<b>1,956.88</b>		<b>0.00</b>	<b>1.02 W</b>	<b>1,957.90</b>	
PINS 05/07/2021 CALL \$65.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	04/28/21	456.00	...	-456.00	Option expiration- long call
PINS 05/07/2021 CALL \$65.50 / CUSIP: / Symbol:							
05/07/21	1.000	419.98	05/07/21	0.00	...	419.98	Short sale closed- call expired Option written: 04/28/21
PINS 05/07/2021 CALL \$69.50 / CUSIP: / Symbol:							
7 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/27/21	949.00	...	-949.00	1 of 7 - Option expiration- long call
	2.000	0.00	04/28/21	258.00	...	-258.00	2 of 7 - Option expiration- long call

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINS 05/07/2021 CALL \$69.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	04/28/21	242.00	...	-242.00	3 of 7 - Option expiration- long call
	1.000	0.00	04/28/21	200.00	...	-200.00	4 of 7 - Option expiration- long call
	1.000	0.00	04/28/21	135.00	...	-135.00	5 of 7 - Option expiration- long call
	1.000	0.00	04/28/21	132.00	...	-132.00	6 of 7 - Option expiration- long call
	5.000	0.00	05/03/21	160.00	...	-160.00	7 of 7 - Option expiration- long call
05/07/21	12.000	0.00	Various	2,076.00	...	-2,076.00	Total of 7 transactions
PINS 05/07/2021 CALL \$70.00 / CUSIP: / Symbol:							
7 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	116.98	05/07/21	0.00	...	116.98	1 of 7 - Short sale closed- call expired Option written: 04/28/21
	1.000	116.98	05/07/21	0.00	...	116.98	2 of 7 - Short sale closed- call expired Option written: 04/28/21
	5.000	129.98	05/07/21	0.00	...	129.98	3 of 7 - Short sale closed- call expired Option written: 05/03/21
	1.000	178.98	05/07/21	0.00	...	178.98	4 of 7 - Short sale closed- call expired Option written: 04/28/21
	1.000	219.98	05/07/21	0.00	...	219.98	5 of 7 - Short sale closed- call expired Option written: 04/28/21
	2.000	227.98	05/07/21	0.00	...	227.98	6 of 7 - Short sale closed- call expired Option written: 04/28/21
	1.000	911.98	05/07/21	0.00	...	911.98	7 of 7 - Short sale closed- call expired Option written: 04/27/21
05/07/21	12.000	1,902.86	Various	0.00	...	1,902.86	Total of 7 transactions
PINS 06/25/2021 CALL \$75.00 / CUSIP: / Symbol:							
06/18/21	1.000	149.98	06/17/21	53.00	...	96.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 02/05/2021 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	831.98	01/20/21	170.00	...	661.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	831.97	01/20/21	153.00	...	678.97	2 of 2 - Option sale to close-call <sup>25</sup>
01/25/21	2.000	1,663.95	Various	323.00	...	1,340.95	Total of 2 transactions
PLTR 02/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	-629.02	01/25/21	0.00	...	-629.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/20/21
	1.000	-615.02	01/25/21	0.00	...	-615.02	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/20/21
01/26/21	2.000	-1,244.04	Various	0.00	...	-1,244.04	Total of 2 transactions
PLTR 02/05/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/27/21	1.000	1,032.96	01/25/21	310.00	...	722.96	Option sale to close-call <sup>25</sup>
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	19.99	01/25/21	310.00	...	-290.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.99	01/25/21	292.00	...	-272.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/04/21	2.000	39.98	Various	602.00	...	-562.02	Total of 2 transactions
	<b>Security total:</b>	<b>1,072.94</b>		<b>912.00</b>	...	<b>160.94</b>	
PLTR 02/05/2021 CALL \$36.00 / CUSIP: / Symbol:							
01/28/21	1.000	-684.02	01/27/21	0.00	684.02 W	0.00	Short sale closed- call <sup>25</sup> Option written: 01/25/21
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	-417.04	02/04/21	0.00	...	-417.04	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/25/21
	1.000	252.98	02/04/21	0.00	...	252.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/25/21
02/05/21	2.000	-164.06	Various	0.00	...	-164.06	Total of 2 transactions
	<b>Security total:</b>	<b>-848.08</b>		<b>0.00</b>	<b>684.02 W</b>	<b>-164.06</b>	
PLTR 02/19/2021 CALL \$27.00 / CUSIP: / Symbol:							
02/19/21	1.000	201.98	02/16/21	274.00	...	-72.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 02/19/2021 CALL \$28.00 / CUSIP: / Symbol: 02/22/21	1.000	92.98	02/19/21	0.00	...	92.98	Short sale closed- call <sup>25</sup> Option written: 02/16/21
PLTR 02/19/2021 CALL \$30.00 / CUSIP: / Symbol: 01/15/21	1.000	245.98	01/07/21	136.00	...	109.98	Option sale to close-call <sup>25</sup>
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: 21 transactions for 04/13/21. Total proceeds and cost reported to the IRS.	1.000	66.00	04/12/21	60.00	...	6.00	1 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	45.00	...	21.00	2 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	45.00	...	21.00	3 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	45.00	...	21.00	4 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	45.00	...	21.00	5 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	45.00	...	21.00	6 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	37.00	...	29.00	7 of 21 - Option sale to close-call <sup>25</sup>
	5.000	329.99	04/12/21	185.00	...	144.99	8 of 21 - Option sale to close-call <sup>25</sup>
	6.000	395.98	04/12/21	222.00	...	173.98	9 of 21 - Option sale to close-call <sup>25</sup>
	10.000	659.97	04/12/21	450.00	...	209.97	10 of 21 - Option sale to close-call <sup>25</sup>
	8.000	527.98	04/12/21	296.00	...	231.98	11 of 21 - Option sale to close-call <sup>25</sup>
	10.000	659.97	04/12/21	360.00	...	299.97	12 of 21 - Option sale to close-call <sup>25</sup>
	10.000	659.97	04/12/21	300.00	...	359.97	13 of 21 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	10.000	659.97	04/12/21	290.00	...	369.97	14 of 21 - Option sale to close-call <sup>25</sup>
	20.000	1,319.95	04/12/21	900.00	...	419.95	15 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/13/21	29.00	...	37.00	16 of 21 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/13/21	29.00	...	37.00	17 of 21 - Option sale to close-call <sup>25</sup>
	2.000	131.99	04/13/21	58.00	...	73.99	18 of 21 - Option sale to close-call <sup>25</sup>
	2.000	131.99	04/13/21	58.00	...	73.99	19 of 21 - Option sale to close-call <sup>25</sup>
	2.000	132.00	04/13/21	58.00	...	74.00	20 of 21 - Option sale to close-call <sup>25</sup>
	10.000	659.97	04/13/21	300.00	...	359.97	21 of 21 - Option sale to close-call <sup>25</sup>
04/13/21	104.000	6,863.73	Various	3,857.00	...	3,006.73	Total of 21 transactions
	6 transactions for 04/13/21. Total proceeds and cost reported to the IRS.						
	5.000	329.99	04/12/21	345.00	15.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	69.00	3.00 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	132.00	04/12/21	138.00	6.00 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	329.99	04/12/21	345.00	15.01 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	69.00	3.00 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	66.00	04/12/21	69.00	3.00 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
04/13/21	15.000	989.98	Various	1,035.00	45.02 W	0.00	Total of 6 transactions
	43 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	7.000	20.98	04/15/21	924.09	...	-903.11	1 of 43 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/15/21	513.06	...	-504.07	2 of 43 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	342.01	...	-336.02	3 of 43 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	342.02	...	-336.02	4 of 43 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	5.99	04/15/21	342.00	...	-336.01	5 of 43 - Option sale to close-call 25
	2.000	6.00	04/15/21	318.04	...	-312.04	6 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	264.03	...	-258.04	7 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	244.04	...	-238.05	8 of 43 - Option sale to close-call 25
	2.000	6.00	04/15/21	240.04	...	-234.04	9 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	238.03	...	-232.04	10 of 43 - Option sale to close-call 25
	3.000	8.99	04/15/21	228.03	...	-219.04	11 of 43 - Option sale to close-call 25
	2.000	6.00	04/15/21	200.00	...	-194.00	12 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	171.00	...	-168.00	13 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	159.01	...	-156.01	14 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	159.00	...	-156.00	15 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	159.00	...	-156.00	16 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	132.00	...	-129.00	17 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	123.00	...	-120.00	18 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	119.02	...	-116.02	19 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	119.00	...	-116.00	20 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	119.00	...	-116.00	21 of 43 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/15/21	118.01	...	-115.01	22 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	118.01	...	-115.01	23 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	117.01	...	-114.01	24 of 43 - Option sale to close-call 25
	4.000	11.99	04/15/21	116.02	...	-104.03	25 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	107.02	...	-104.02	26 of 43 - Option sale to close-call 25
	3.000	8.99	04/15/21	96.02	...	-87.03	27 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	69.00	...	-66.00	28 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	66.01	...	-60.02	29 of 43 - Option sale to close-call 25
	2.000	6.00	04/15/21	64.01	...	-58.01	30 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	58.01	...	-52.02	31 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	58.01	...	-52.02	32 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	58.01	...	-52.02	33 of 43 - Option sale to close-call 25
	2.000	5.99	04/15/21	42.02	...	-36.03	34 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	29.01	...	-26.01	35 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	29.01	...	-26.01	36 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	29.00	...	-26.00	37 of 43 - Option sale to close-call 25
	1.000	3.00	04/15/21	29.00	...	-26.00	38 of 43 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/15/21	29.00	...	-26.00	39 of 43 - Option sale to close-call <sup>25</sup>
	1.000	2.99	04/15/21	21.01	...	-18.02	40 of 43 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	21.00	...	-18.00	41 of 43 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	21.00	...	-18.00	42 of 43 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	18.01	...	-15.01	43 of 43 - Option sale to close-call <sup>25</sup>
04/15/21	73.000	218.83	Various	6,768.62	...	-6,549.79	Total of 43 transactions
	132 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	2.000	6.00	04/14/21	202.00	196.00 W	0.00	1 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	101.00	98.00 W	0.00	2 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	202.00	196.00 W	0.00	3 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	202.00	196.00 W	0.00	4 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	303.01	294.02 W	0.00	5 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	89.00	86.00 W	0.00	6 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	89.00	86.00 W	0.00	7 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	267.01	258.02 W	0.00	8 of 132 - Option sale to close-call <sup>25</sup>
	10.000	29.98	04/14/21	620.00	590.02 W	0.00	9 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	94.00	88.01 W	0.00	10 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	141.00	132.01 W	0.00	11 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/14/21	47.00	44.00 W	0.00	12 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/14/21	188.00	176.01 W	0.00	13 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	162.00	153.01 W	0.00	14 of 132 - Option sale to close-call <sup>25</sup>
	5.000	14.99	04/14/21	300.00	285.01 W	0.00	15 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/14/21	144.00	132.01 W	0.00	16 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	134.00	131.00 W	0.00	17 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	268.00	262.01 W	0.00	18 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	268.00	262.01 W	0.00	19 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	402.02	393.03 W	0.00	20 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	80.01	77.01 W	0.00	21 of 132 - Option sale to close-call <sup>25</sup>
	9.000	26.98	04/14/21	855.02	828.04 W	0.00	22 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	122.00	119.00 W	0.00	23 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	122.00	119.00 W	0.00	24 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	268.00	262.00 W	0.00	25 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	366.02	357.03 W	0.00	26 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	95.00	92.00 W	0.00	27 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	82.00	79.00 W	0.00	28 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	82.00	79.00 W	0.00	29 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/14/21	89.00	86.00 W	0.00	30 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	246.01	237.02 W	0.00	31 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/14/21	328.01	316.02 W	0.00	32 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	172.01	166.02 W	0.00	33 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	184.01	178.02 W	0.00	34 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	92.00	89.00 W	0.00	35 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	176.00	170.00 W	0.00	36 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	192.01	183.02 W	0.00	37 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	156.00	153.00 W	0.00	38 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	144.00	141.00 W	0.00	39 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	144.00	141.00 W	0.00	40 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	312.01	306.01 W	0.00	41 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	312.01	306.01 W	0.00	42 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/14/21	312.00	306.00 W	0.00	43 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	468.03	459.04 W	0.00	44 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	432.03	423.04 W	0.00	45 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	117.00	114.00 W	0.00	46 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	102.01	99.01 W	0.00	47 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/14/21	104.00	101.00 W	0.00	48 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	208.01	202.02 W	0.00	49 of 132 - Option sale to close-call <sup>25</sup>
	9.000	26.98	04/14/21	1,053.04	1,026.06 W	0.00	50 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	58.00	55.00 W	0.00	51 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	104.01	101.01 W	0.00	52 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	105.00	102.00 W	0.00	53 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/14/21	112.00	109.00 W	0.00	54 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/14/21	420.02	408.03 W	0.00	55 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	218.02	212.03 W	0.00	56 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/14/21	222.02	216.03 W	0.00	57 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/14/21	88.00	76.01 W	0.00	58 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/14/21	66.00	57.01 W	0.00	59 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/15/21	464.04	226.03 W	-226.02	60 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	38.00	32.00 W	0.00	61 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	19.00	16.00 W	0.00	62 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	19.00	16.00 W	0.00	63 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	38.00	32.01 W	0.00	64 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	38.00	32.00 W	0.00	65 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	5.99	04/15/21	38.00	32.01 W	0.00	66 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	19.00	16.00 W	0.00	67 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/15/21	76.00	64.01 W	0.00	68 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	11.00	8.00 W	0.00	69 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	22.00	16.01 W	0.00	70 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	11.00	8.00 W	0.00	71 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	22.00	16.01 W	0.00	72 of 132 - Option sale to close-call <sup>25</sup>
	1.000	2.98	04/15/21	100.00	97.02 W	0.00	73 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	110.01	107.01 W	0.00	74 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	112.00	109.00 W	0.00	75 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	112.01	109.01 W	0.00	76 of 132 - Option sale to close-call <sup>25</sup>
	7.000	20.98	04/15/21	875.05	854.07 W	0.00	77 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	164.00	161.00 W	0.00	78 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	328.01	322.01 W	0.00	79 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	328.01	322.01 W	0.00	80 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	328.00	322.00 W	0.00	81 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/15/21	492.04	483.05 W	0.00	82 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	152.01	149.01 W	0.00	83 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/15/21	152.00	149.00 W	0.00	84 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	152.00	149.00 W	0.00	85 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	304.03	298.03 W	0.00	86 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	125.00	122.00 W	0.00	87 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	250.01	244.02 W	0.00	88 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	192.00	186.00 W	0.00	89 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/15/21	216.02	207.03 W	0.00	90 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	111.01	108.01 W	0.00	91 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	111.01	108.01 W	0.00	92 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	112.00	109.00 W	0.00	93 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	119.00	116.00 W	0.00	94 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	232.03	226.04 W	0.00	95 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	236.03	230.04 W	0.00	96 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/15/21	448.03	436.04 W	0.00	97 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	65.00	62.00 W	0.00	98 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/15/21	87.01	78.02 W	0.00	99 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/15/21	116.01	104.02 W	0.00	100 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	26.00	23.00 W	0.00	101 of 132 - Option sale to close-call <sup>25</sup>

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/15/21	26.00	23.00 W	0.00	102 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	26.00	23.00 W	0.00	103 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	18.00	15.00 W	0.00	104 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	18.00	15.00 W	0.00	105 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	18.01	15.01 W	0.00	106 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	52.00	46.01 W	0.00	107 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	52.01	46.02 W	0.00	108 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	52.01	46.01 W	0.00	109 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	52.00	46.01 W	0.00	110 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	36.01	30.02 W	0.00	111 of 132 - Option sale to close-call <sup>25</sup>
	4.000	11.99	04/15/21	104.01	92.02 W	0.00	112 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	104.02	101.02 W	0.00	113 of 132 - Option sale to close-call <sup>25</sup>
	1.000	2.99	04/15/21	15.00	12.01 W	0.00	114 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	168.00	165.00 W	0.00	115 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	156.01	153.01 W	0.00	116 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	156.00	153.00 W	0.00	117 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	156.00	153.00 W	0.00	118 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	336.01	330.01 W	0.00	119 of 132 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLTR 04/16/2021 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	5.99	04/15/21	336.01	330.02 W	0.00	120 of 132 - Option sale to close-call <sup>25</sup>
	2.000	6.00	04/15/21	336.00	330.00 W	0.00	121 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	312.03	306.04 W	0.00	122 of 132 - Option sale to close-call <sup>25</sup>
	3.000	8.99	04/15/21	504.05	495.06 W	0.00	123 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	129.00	126.00 W	0.00	124 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	114.01	111.01 W	0.00	125 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	116.00	113.00 W	0.00	126 of 132 - Option sale to close-call <sup>25</sup>
	1.000	2.99	04/15/21	116.01	113.02 W	0.00	127 of 132 - Option sale to close-call <sup>25</sup>
	2.000	5.99	04/15/21	258.02	252.03 W	0.00	128 of 132 - Option sale to close-call <sup>25</sup>
	7.000	20.98	04/15/21	903.07	882.09 W	0.00	129 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	115.01	112.01 W	0.00	130 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	115.01	112.01 W	0.00	131 of 132 - Option sale to close-call <sup>25</sup>
	1.000	3.00	04/15/21	116.00	113.00 W	0.00	132 of 132 - Option sale to close-call <sup>25</sup>
04/15/21	273.000	818.38	Various	25,017.03	23,972.63 W	-226.02	Total of 132 transactions
	<b>Security total:</b>	<b>8,890.92</b>		<b>36,677.65</b>	<b>24,017.65 W</b>	<b>-3,769.08</b>	
QQQ 04/16/2021 PUT \$315.00 / CUSIP: / Symbol:							
04/07/21	1.000	59.98	03/31/21	435.00	...	-375.02	Option sale to close-put <sup>25</sup>
RBLX 07/09/2021 CALL \$88.00 / CUSIP: / Symbol:							
8 transactions for 07/07/21. Total proceeds and cost reported to the IRS.							
	1.000	169.99	07/01/21	185.00	...	-15.01	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	170.00	07/01/21	183.00	...	-13.00	2 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 07/09/2021 CALL \$88.00 / CUSIP: / Symbol: (cont'd)							
	1.000	169.99	07/02/21	122.00	...	47.99	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/02/21	118.00	...	51.99	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	170.00	07/02/21	117.00	...	53.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	167.99	07/06/21	279.00	...	-111.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	168.00	07/06/21	179.00	...	-11.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	167.99	07/06/21	144.00	...	23.99	8 of 8 - Option sale to close-call <sup>25</sup>
07/07/21	8.000	1,353.95	Various	1,327.00	...	26.95	Total of 8 transactions
	3 transactions for 07/07/21. Total proceeds and cost reported to the IRS.						
	1.000	170.00	07/01/21	355.00	185.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	170.00	07/01/21	255.00	85.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	170.00	07/01/21	220.00	50.00 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/07/21	3.000	510.00	Various	830.00	320.00 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,863.95</b>		<b>2,157.00</b>	<b>320.00 W</b>	<b>26.95</b>	
RBLX 07/23/2021 CALL \$85.00 / CUSIP: / Symbol:							
07/21/21	1.000	22.98	07/20/21	0.00	...	22.98	Short sale closed- call <sup>25</sup> Option written: 07/19/21
RBLX 07/30/2021 CALL \$85.00 / CUSIP: / Symbol:							
	2 transactions for 07/27/21. Total proceeds and cost reported to the IRS.						
	1.000	11.99	07/14/21	286.00	...	-274.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	11.99	07/22/21	92.00	...	-80.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/21	2.000	23.98	Various	378.00	...	-354.02	Total of 2 transactions
RBLX 10/08/2021 CALL \$77.00 / CUSIP: / Symbol:							
10/07/21	1.000	29.98	09/28/21	345.00	...	-315.02	Option sale to close-call <sup>25</sup>
RBLX 12/10/2021 CALL \$125.00 / CUSIP: / Symbol:							
12/03/21	1.000	235.98	11/30/21	855.00	...	-619.02	Option sale to close-call <sup>25</sup>
RIOT 04/30/2021 CALL \$39.00 / CUSIP: / Symbol:							
	2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	1.000	366.99	04/19/21	643.00	...	-276.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	366.99	04/20/21	329.00	...	37.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/26/21	2.000	733.98	Various	972.00	...	-238.02	Total of 2 transactions

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIOT 04/30/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 04/27/21. Total proceeds and cost reported to the IRS.							
	1.000	-15.02	04/26/21	0.00	...	-15.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 04/20/21
	1.000	277.98	04/26/21	0.00	...	277.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 04/19/21
04/27/21	2.000	262.96	Various	0.00	...	262.96	Total of 2 transactions
RIOT 05/07/2021 PUT \$35.00 / CUSIP: / Symbol:							
05/06/21	1.000	151.98	04/23/21	317.00	...	-165.02	Option sale to close-put <sup>25</sup>
RIOT 05/07/2021 PUT \$36.00 / CUSIP: / Symbol:							
05/07/21	1.000	144.98	05/06/21	0.00	...	144.98	Short sale closed- put <sup>25</sup> Option written: 04/23/21
ROKU 03/12/2021 CALL \$430.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	02/23/21	1,550.00	...	-1,545.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	03/02/21	675.00	...	-670.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	9.98	Various	2,225.00	...	-2,215.02	Total of 2 transactions
SE 12/10/2021 CALL \$285.00 / CUSIP: / Symbol:							
11/26/21	1.000	1,799.98	11/23/21	960.00	...	839.98	Option sale to close-call <sup>25</sup>
SENS 01/21/2022 CALL \$2.00 / CUSIP: / Symbol:							
06/09/21	4.000	779.98	03/16/21	724.00	...	55.98	Option sale to close-call <sup>25</sup>
06/28/21	1.000	229.98	03/16/21	181.00	...	48.98	Option sale to close-call <sup>25</sup>
08/23/21	1.000	174.98	06/30/21	210.00	...	-35.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,184.94</b>		<b>1,115.00</b>	...	<b>69.94</b>	
SENS 06/18/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	1.000	41.98	06/10/21	35.00	...	6.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.98	06/10/21	28.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/21	2.000	81.96	Various	63.00	...	18.96	Total of 2 transactions

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SHOP 04/30/2021 CALL \$1,080.00 / CUSIP: / Symbol:							
04/28/21	1.000	17,799.89	04/23/21	5,338.00	...	12,461.89	Option sale to close-call <sup>25</sup>
SHOP 04/30/2021 CALL \$1,082.50 / CUSIP: / Symbol:							
04/29/21	1.000	-12,357.04	04/28/21	0.00	...	-12,357.04	Short sale closed- call <sup>25</sup> Option written: 04/23/21
SNAP 04/01/2021 CALL \$56.00 / CUSIP: / Symbol:							
03/22/21	1.000	349.98	03/22/21	244.00	...	105.98	Option sale to close-call <sup>25</sup>
SOFI 07/02/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/28/21	1.000	4.98	06/18/21	87.00	...	-82.02	Option sale to close-call <sup>25</sup>
SOFI 08/20/2021 CALL \$16.00 / CUSIP: / Symbol:							
08/12/21	1.000	174.98	08/09/21	155.00	...	19.98	Option sale to close-call <sup>25</sup>
SOLO 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/13/21	1.000	-4.02	01/12/21	0.00	...	-4.02	Short sale closed- call <sup>25</sup> Option written: 01/12/21
SOLO 01/21/2022 CALL \$1.50 / CUSIP: / Symbol:							
08/18/21	1.000	188.98	10/12/20	160.00	...	28.98	Option sale to close-call <sup>25</sup>
SPCE 02/12/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/11/21	1.000	652.97	02/10/21	311.00	...	341.97	Option sale to close-call <sup>25</sup>
SPCE 02/12/2021 CALL \$51.00 / CUSIP: / Symbol:							
02/12/21	1.000	-311.02	02/11/21	0.00	...	-311.02	Short sale closed- call <sup>25</sup> Option written: 02/10/21
SPCE 07/02/2021 CALL \$46.50 / CUSIP: / Symbol:							
06/30/21	1.000	220.98	06/30/21	218.00	...	2.98	Option sale to close-call <sup>25</sup>
SPY 12/17/2021 PUT \$466.00 / CUSIP: / Symbol:							
12/13/21	5.000	459.97	12/10/21	0.00	...	459.97	Short sale closed- put <sup>25</sup> Option written: 12/07/21
SPY 12/17/2021 PUT \$467.00 / CUSIP: / Symbol:							
12/10/21	5.000	2,599.97	12/07/21	3,050.00	...	-450.03	Option sale to close-put <sup>25</sup>

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 01/15/2021 CALL \$235.00 / CUSIP: / Symbol:							
01/07/21	1.000	699.97	12/28/20	775.00	...	-75.03	Option sale to close-call <sup>25</sup>
SQ 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
12/22/21	1.000	139.98	12/07/21	852.00	...	-712.02	Option sale to close-call <sup>25</sup>
SQ 01/22/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/14/21	1.000	559.97	01/11/21	495.00	...	64.97	Option sale to close-call <sup>25</sup>
SQ 01/29/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/20/21	1.000	354.98	01/19/21	345.00	...	9.98	Option sale to close-call <sup>25</sup>
SQ 02/12/2021 CALL \$230.00 / CUSIP: / Symbol:							
01/28/21	1.000	479.97	01/25/21	560.00	...	-80.03	Option sale to close-call <sup>25</sup>
SQ 03/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
03/02/21	1.000	2,070.97	11/06/20	1,272.00	...	798.97	Option sale to close-call <sup>25</sup>
SQ 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/03/21	1.000	-408.04	03/02/21	0.00	...	-408.04	Short sale closed- call <sup>25</sup> Option written: 11/06/20
SQ 04/30/2021 CALL \$300.00 / CUSIP: / Symbol:							
04/13/21	1.000	372.98	03/16/21	574.02	...	-201.04	Option sale to close-call <sup>25</sup>
04/13/21	1.000	372.98	03/16/21	506.00	133.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>745.96</b>		<b>1,080.02</b>	<b>133.02 W</b>	<b>-201.04</b>	
SQ 04/30/2021 CALL \$305.00 / CUSIP: / Symbol:							
	<i>2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	76.98	04/13/21	0.00	...	76.98	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 03/16/21
	1.000	137.98	04/13/21	0.00	...	137.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 03/16/21
04/14/21	2.000	214.96	Various	0.00	...	214.96	Total of 2 transactions
SQ 12/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/14/21	1.000	208.98	12/13/21	0.00	...	208.98	Short sale closed- call <sup>25</sup> Option written: 12/07/21

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TGT 01/08/2021 CALL \$185.00 / CUSIP: / Symbol:							
01/06/21	1.000	267.98	12/23/20	86.00	...	181.98	Option sale to close-call <sup>25</sup>
TME 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
<i>3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	68.98	04/15/21	97.01	...	-28.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	82.99	04/15/21	59.00	...	23.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.98	04/20/21	33.00	...	37.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/23/21	3.000	222.95	Various	189.01	...	33.94	Total of 3 transactions
<i>2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	82.99	04/09/21	121.00	38.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	83.00	04/14/21	85.00	2.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/23/21	2.000	165.99	Various	206.00	40.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>388.94</b>		<b>395.01</b>	<b>40.01 W</b>	<b>33.94</b>	
TSLA 03/12/2021 CALL \$675.00 / CUSIP: / Symbol:							
03/12/21	1.000	1,116.98	03/12/21	1,575.00	...	-458.02	Option sale to close-call <sup>25</sup>
TSLA 03/12/2021 CALL \$677.50 / CUSIP: / Symbol:							
03/15/21	1.000	484.98	03/12/21	0.00	...	484.98	Short sale closed- call <sup>25</sup> Option written: 03/12/21
TSLA 04/23/2021 CALL \$697.50 / CUSIP: / Symbol:							
04/21/21	1.000	3,549.97	04/19/21	3,090.00	...	459.97	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$700.00 / CUSIP: / Symbol:							
04/22/21	1.000	-400.03	04/21/21	0.00	...	-400.03	Short sale closed- call <sup>25</sup> Option written: 04/19/21
TSLA 05/07/2021 CALL \$680.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	342.98	04/29/21	6,467.01	...	-6,124.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	342.98	05/04/21	4,077.01	...	-3,734.03	2 of 2 - Option sale to close-call <sup>25</sup>
05/06/21	2.000	685.96	Various	10,544.02	...	-9,858.06	Total of 2 transactions
<i>2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	342.99	04/27/21	4,565.00	4,222.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 05/07/2021 CALL \$680.00 / CUSIP: / Symbol: (cont'd)							
	1.000	342.99	04/28/21	3,225.00	2,882.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/06/21	2.000	685.98	Various	7,790.00	7,104.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,371.94</b>		<b>18,334.02</b>	<b>7,104.02 W</b>	<b>-9,858.06</b>	
TSLA 05/07/2021 CALL \$682.50 / CUSIP: / Symbol:							
4 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	796.98	05/06/21	0.00	...	796.98	1 of 4 - Short sale closed- call <sup>25</sup> Option written: 05/04/21
	1.000	1,811.97	05/06/21	0.00	...	1,811.97	2 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/29/21
	1.000	2,771.97	05/06/21	0.00	...	2,771.97	3 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/28/21
	1.000	4,091.96	05/06/21	0.00	...	4,091.96	4 of 4 - Short sale closed- call <sup>25</sup> Option written: 04/27/21
05/07/21	4.000	9,472.88	Various	0.00	...	9,472.88	Total of 4 transactions
TSLA 05/28/2021 CALL \$680.00 / CUSIP: / Symbol:							
3 transactions for 05/24/21. Total proceeds and cost reported to the IRS.							
	1.000	38.99	04/28/21	5,200.00	...	-5,161.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	39.00	05/03/21	4,334.00	...	-4,295.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	38.99	05/04/21	3,380.00	...	-3,341.01	3 of 3 - Option sale to close-call <sup>25</sup>
05/24/21	3.000	116.98	Various	12,914.00	...	-12,797.02	Total of 3 transactions
TSLA 05/28/2021 CALL \$682.50 / CUSIP: / Symbol:							
3 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	1.000	3,229.97	05/24/21	0.00	...	3,229.97	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 05/04/21
	1.000	4,161.96	05/24/21	0.00	...	4,161.96	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 05/03/21
	1.000	5,014.96	05/24/21	0.00	...	5,014.96	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 04/28/21
05/25/21	3.000	12,406.89	Various	0.00	...	12,406.89	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 09/17/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
2 transactions for 08/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,762.97	08/02/21	0.00	...	1,762.97	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 04/29/21
	1.000	16,269.62	08/02/21	0.00	...	16,269.62	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 01/11/21
08/03/21	2.000	18,032.59	Various	0.00	...	18,032.59	Total of 2 transactions
TSLA 09/17/2021 CALL \$990.00 / CUSIP: / Symbol:							
2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	1.000	295.99	01/11/21	16,822.00	...	-16,526.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	295.99	04/29/21	2,140.00	...	-1,844.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/02/21	2.000	591.98	Various	18,962.00	...	-18,370.02	Total of 2 transactions
TSLA 12/31/2021 CALL \$1,005.00 / CUSIP: / Symbol:							
12/21/21	1.000	439.98	12/16/21	5,175.02	...	-4,735.04	Option sale to close-call <sup>25</sup>
12/21/21	1.000	439.98	12/16/21	3,530.00	3,090.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>879.96</b>		<b>8,705.02</b>	<b>3,090.02 W</b>	<b>-4,735.04</b>	
TTOO 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	02/16/21	125.00	...	-125.00	Option expiration- long call
UPST 07/09/2021 CALL \$119.00 / CUSIP: / Symbol:							
06/30/21	3.000	3,173.97	06/29/21	2,343.00	...	830.97	Option sale to close-call <sup>25</sup>
UPST 07/09/2021 CALL \$120.00 / CUSIP: / Symbol:							
07/01/21	3.000	-798.03	06/30/21	0.00	...	-798.03	Short sale closed- call <sup>25</sup> Option written: 06/29/21
UPST 07/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
07/09/21	1.000	809.98	07/09/21	650.00	...	159.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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UPST 07/16/2021 CALL \$118.00 / CUSIP: / Symbol:							
2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	649.99	07/07/21	580.00	...	69.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.99	07/08/21	340.00	...	309.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/21	2.000	1,299.98	Various	920.00	...	379.98	Total of 2 transactions
UVXY 09/10/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/26/21	1.000	404.98	08/25/21	320.00	...	84.98	Option sale to close-call <sup>25</sup>
UVXY 12/10/2021 PUT \$20.00 / CUSIP: / Symbol:							
11/29/21	1.000	379.98	11/26/21	355.00	...	24.98	Option sale to close-put <sup>25</sup>
VZIO 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/24/21	1.000	39.98	06/17/21	35.00	...	4.98	Option sale to close-call <sup>25</sup>
XLF 07/02/2021 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	06/17/21	24.00	...	-8.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/21	12.00	...	3.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	2.000	31.98	Various	36.00	...	-4.02	Total of 2 transactions
XLF 07/02/2021 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	-1.02	06/24/21	0.00	...	-1.02	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/18/21
	1.000	5.98	06/24/21	0.00	...	5.98	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 06/17/21
06/25/21	2.000	4.96	Various	0.00	...	4.96	Total of 2 transactions
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
06/09/21	50.000	2,455.49	06/09/21	2,502.50	...	-47.01	Sale <sup>25</sup>

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	0.306	51.67	08/23/21	48.49	...	3.18	1 of 2 - Sale <sup>25</sup>
	6.000	1,013.97	08/23/21	951.51	...	62.46	2 of 2 - Sale <sup>25</sup>
08/24/21	6.306	1,065.64	Various	1,000.00	...	65.64	Total of 2 transactions
ALSET EHOME INTERNATIONAL INC. COMMON STOCK / CUSIP: 02116A104 / Symbol:							
07/14/21	26.930	141.92	07/12/21	150.00	...	-8.08	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/08/21	100.000	318,022.94 N	01/08/21	317,979.00	...	43.94	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$7,529.82
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	12.10	12/14/20	15.93	...	-3.83	1 of 3 - Sale <sup>25</sup>
	9.000	108.90	01/13/21	167.54	...	-58.64	2 of 3 - Sale <sup>25</sup>
	1.000	12.10	01/13/21	17.27	...	-5.17	3 of 3 - Sale <sup>25</sup>
03/08/21	11.000	133.10	Various	200.74	...	-67.64	Total of 3 transactions
8 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	0.621	7.18	01/19/21	10.31	...	-3.13	1 of 8 - Sale <sup>25</sup>
	0.062	0.72	01/19/21	1.03	...	-0.31	2 of 8 - Sale <sup>25</sup>
	8.317	96.23	01/20/21	136.74	...	-40.51	3 of 8 - Sale <sup>25</sup>
	1.683	19.47	01/20/21	26.99	...	-7.52	4 of 8 - Sale <sup>25</sup>
	5.000	57.85	01/21/21	77.67	...	-19.82	5 of 8 - Sale <sup>25</sup>
	2.000	23.14	01/21/21	31.00	...	-7.86	6 of 8 - Sale <sup>25</sup>
	0.817	9.45	01/21/21	12.66	...	-3.21	7 of 8 - Sale <sup>25</sup>
	0.500	5.79	01/21/21	7.74	...	-1.95	8 of 8 - Sale <sup>25</sup>
03/24/21	19.000	219.83	Various	304.14	...	-84.31	Total of 8 transactions
	<b>Security total:</b>	<b>352.93</b>		<b>504.88</b>	...	<b>-151.95</b>	
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
03/02/21	1.000	19.96	11/20/20	13.49	...	6.47	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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ARGO BLOCKCHAIN PLC AMERICAN D EPOSITARY SHARES / CUSIP: 040126104 / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	18.70	09/23/21	15.00	...	3.70	1 of 2 - Sale <sup>25</sup>
	2.000	37.40	09/23/21	30.02	...	7.38	2 of 2 - Sale <sup>25</sup>
11/15/21	3.000	56.10	Various	45.02	...	11.08	Total of 2 transactions
AVEPOINT, INC. CLASS A COMMON STOCK / CUSIP: 053604104 / Symbol:							
08/18/21	0.183	1.64	01/21/21	2.84	...	-1.20	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
03/31/21	100.000	801.30	03/26/21	761.00	...	40.30	Sale <sup>25</sup>
BUTTERFLY NETWORK, INC. / CUSIP: 124155102 / Symbol:							
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	16.659	169.09	08/10/21	193.08	...	-23.99	1 of 3 - Sale <sup>25</sup>
	0.256	2.60	08/10/21	3.33	...	-0.73	2 of 3 - Sale <sup>25</sup>
	0.085	0.86	08/10/21	1.12	...	-0.26	3 of 3 - Sale <sup>25</sup>
08/20/21	17.000	172.55	Various	197.53	...	-24.98	Total of 3 transactions
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	0.085	0.86	08/09/21	1.00	0.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.256	2.61	08/10/21	2.97	0.36 W	0.00	2 of 2 - Sale <sup>25</sup>
08/20/21	0.341	3.47	Various	3.97	0.50 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>176.02</b>		<b>201.50</b>	<b>0.50 W</b>	<b>-24.98</b>	
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
07/15/21	1.000	8.72	12/15/20	19.85	...	-11.13	Sale <sup>25</sup>
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
06/29/21	30.000	786.68	06/28/21	781.10	...	5.58	Sale <sup>25</sup>
CEL-SCI CORPORATION / CUSIP: 150837607 / Symbol:							
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	22.964	186.01	07/13/21	193.09	...	-7.08	1 of 2 - Sale <sup>25</sup>
	0.824	6.67	07/13/21	6.91	...	-0.24	2 of 2 - Sale <sup>25</sup>
07/14/21	23.787	192.68	Various	200.00	...	-7.32	Total of 2 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
04/14/21	100.000	2,350.97	04/13/21	2,477.50	...	-126.53	Sale <sup>25</sup>
	6 transactions for 09/02/21. Total proceeds and cost reported to the IRS.						
	0.106	2.48	07/07/21	3.09	0.61 W	0.00	1 of 6 - Sale <sup>25</sup>
	16.988	397.86	07/07/21	496.91	99.05 W	0.00	2 of 6 - Sale <sup>25</sup>
	16.915	396.14	07/07/21	500.00	103.86 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.708	63.41	07/08/21	75.00	11.59 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.266	6.23	07/08/21	7.33	1.10 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.017	47.25	07/08/21	55.59	8.34 W	0.00	6 of 6 - Sale <sup>25</sup>
09/02/21	39.000	913.37	Various	1,137.92	224.55 W	0.00	Total of 6 transactions
	11 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	0.219	5.90	07/14/21	5.32	...	0.58	1 of 11 - Sale <sup>25</sup>
	0.742	20.00	07/14/21	18.83	...	1.17	2 of 11 - Sale <sup>25</sup>
	3.000	80.92	07/14/21	76.17	...	4.75	3 of 11 - Sale <sup>25</sup>
	8.000	215.77	07/14/21	194.68	...	21.09	4 of 11 - Sale <sup>25</sup>
	0.458	12.34	07/16/21	10.50	...	1.84	5 of 11 - Sale <sup>25</sup>
	9.996	269.61	07/16/21	229.50	...	40.11	6 of 11 - Sale <sup>25</sup>
	0.500	13.49	07/19/21	11.84	...	1.65	7 of 11 - Sale <sup>25</sup>
	4.000	107.89	07/19/21	94.70	...	13.19	8 of 11 - Sale <sup>25</sup>
	0.266	7.18	08/31/21	6.76	...	0.42	9 of 11 - Sale <sup>25</sup>
	1.862	50.21	08/31/21	47.24	...	2.97	10 of 11 - Sale <sup>25</sup>
	2.708	73.03	08/31/21	69.13	...	3.90	11 of 11 - Sale <sup>25</sup>
11/08/21	31.749	856.34	Various	764.67	...	91.67	Total of 11 transactions
	12 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	0.983	26.50	07/08/21	27.08	0.58 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.266	7.16	07/13/21	7.18	0.02 W	0.00	2 of 12 - Sale <sup>25</sup>
	32.994	889.91	07/13/21	892.82	2.91 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.106	2.85	08/06/21	3.12	0.27 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.211	5.70	08/06/21	6.25	0.55 W	0.00	5 of 12 - Sale <sup>25</sup>
	5.999	161.80	08/06/21	177.45	15.65 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.060	1.62	08/11/21	1.83	0.21 W	0.00	7 of 12 - Sale <sup>25</sup>
	3.999	107.85	08/11/21	121.83	13.98 W	0.00	8 of 12 - Sale <sup>25</sup>
	5.495	148.20	08/18/21	152.04	3.84 W	0.00	9 of 12 - Sale <sup>25</sup>
	0.535	14.43	08/31/21	14.48	0.05 W	0.00	10 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol: (cont'd)							
	0.690	18.61	08/31/21	18.68	0.07 W	0.00	11 of 12 - Sale <sup>25</sup>
	16.915	456.21	08/31/21	463.30	7.09 W	0.00	12 of 12 - Sale <sup>25</sup>
11/08/21	68.251	1,840.84	Various	1,886.06	45.22 W	0.00	Total of 12 transactions
	14 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	32.994	635.81	12/07/21	718.53	...	-82.72	1 of 14 - Sale <sup>25</sup>
	5.999	115.60	12/07/21	145.76	...	-30.16	2 of 14 - Sale <sup>25</sup>
	3.999	77.06	12/07/21	100.71	...	-23.65	3 of 14 - Sale <sup>25</sup>
	5.383	103.73	12/07/21	120.51	...	-16.78	4 of 14 - Sale <sup>25</sup>
	0.983	18.94	12/07/21	21.89	...	-2.95	5 of 14 - Sale <sup>25</sup>
	0.211	4.07	12/07/21	5.14	...	-1.07	6 of 14 - Sale <sup>25</sup>
	0.266	5.12	12/07/21	5.78	...	-0.66	7 of 14 - Sale <sup>25</sup>
	0.106	2.04	12/07/21	2.56	...	-0.52	8 of 14 - Sale <sup>25</sup>
	0.060	1.16	12/07/21	1.51	...	-0.35	9 of 14 - Sale <sup>25</sup>
	181.194	3,491.70	12/08/21	3,922.86	...	-431.16	10 of 14 - Sale <sup>25</sup>
	16.915	325.96	12/08/21	373.29	...	-47.33	11 of 14 - Sale <sup>25</sup>
	0.690	13.30	12/08/21	15.01	...	-1.71	12 of 14 - Sale <sup>25</sup>
	0.535	10.31	12/08/21	11.63	...	-1.32	13 of 14 - Sale <sup>25</sup>
	0.111	2.15	12/08/21	2.49	...	-0.34	14 of 14 - Sale <sup>25</sup>
12/16/21	249.445	4,806.95	Various	5,447.67	...	-640.72	Total of 14 transactions
	2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.						
	0.156	3.00	08/31/21	3.96	0.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.399	7.69	08/31/21	8.48	0.79 W	0.00	2 of 2 - Sale <sup>25</sup>
12/16/21	0.555	10.69	Various	12.44	1.75 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>10,779.16</b>		<b>11,726.26</b>	<b>271.52 W</b>	<b>-675.58</b>	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
	4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	0.011	0.38	01/14/21	0.19	...	0.19	1 of 4 - Sale <sup>25</sup>
	0.021	0.73	01/14/21	0.37	...	0.36	2 of 4 - Sale <sup>25</sup>
	0.608	21.17	01/14/21	10.60	...	10.57	3 of 4 - Sale <sup>25</sup>
	7.974	277.72	01/14/21	139.21	...	138.51	4 of 4 - Sale <sup>25</sup>
02/12/21	8.613	300.00	Various	150.37	...	149.63	Total of 4 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
8 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	0.231	5.18	01/14/21	4.04	...	1.14	1 of 8 - Sale <sup>25</sup>
	19.795	442.91	01/14/21	345.58	...	97.33	2 of 8 - Sale <sup>25</sup>
	0.003	0.07	01/19/21	0.06	...	0.01	3 of 8 - Sale <sup>25</sup>
	1.493	33.40	01/19/21	26.94	...	6.46	4 of 8 - Sale <sup>25</sup>
	2.000	44.75	01/21/21	34.86	...	9.89	5 of 8 - Sale <sup>25</sup>
	0.719	16.09	01/27/21	15.24	...	0.85	6 of 8 - Sale <sup>25</sup>
	3.990	89.27	01/27/21	84.76	...	4.51	7 of 8 - Sale <sup>25</sup>
	10.000	223.75	02/02/21	329.80	...	-106.05	8 of 8 - Sale <sup>25</sup>
03/24/21	38.231	855.42	Various	841.28	...	14.14	Total of 8 transactions
	<b>Security total:</b>	<b>1,155.42</b>		<b>991.65</b>	...	<b>163.77</b>	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
08/18/21	0.480	0.85	07/02/21	1.00	...	-0.15	Sale <sup>25</sup>
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
06/10/21	18.000	219.82	06/09/21	225.27	...	-5.45	Sale <sup>25</sup>
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	10.000	234.05	06/09/21	226.20	...	7.85	1 of 2 - Sale <sup>25</sup>
	50.000	1,170.27	06/09/21	1,104.89	...	65.38	2 of 2 - Sale <sup>25</sup>
06/10/21	60.000	1,404.32	Various	1,331.09	...	73.23	Total of 2 transactions
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
07/01/21	1.000	14.82	04/06/21	13.70	...	1.12	Sale <sup>25</sup>
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
6 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	0.109	0.62	07/12/21	0.62	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.820	4.65	07/12/21	4.65	...	0.00	2 of 6 - Sale <sup>25</sup>
	34.344	194.80	07/12/21	194.73	...	0.07	3 of 6 - Sale <sup>25</sup>
	5.623	31.90	07/12/21	30.00	...	1.90	4 of 6 - Sale <sup>25</sup>
	0.218	1.24	07/13/21	1.15	...	0.09	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol: (cont'd)							
	13.995	79.38	07/13/21	73.85	...	5.53	6 of 6 - Sale <sup>25</sup>
07/14/21	55.109	312.59	Various	305.00	...	7.59	Total of 6 transactions
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
04/15/21	1.000	338.40	04/14/21	350.00	...	-11.60	Sale <sup>25</sup>
COUCHBASE, INC. COMMON STOCK / CUSIP: 22207T101 / Symbol:							
3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	0.059	2.90	08/25/21	2.76	...	0.14	1 of 3 - Sale <sup>25</sup>
	0.099	4.90	08/25/21	4.63	...	0.27	2 of 3 - Sale <sup>25</sup>
	10.000	492.20	08/25/21	468.30	...	23.90	3 of 3 - Sale <sup>25</sup>
08/31/21	10.158	500.00	Various	475.69	...	24.31	Total of 3 transactions
09/03/21	0.519	25.24	08/25/21	24.31	...	0.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>525.24</b>		<b>500.00</b>	...	<b>25.24</b>	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.223	3.40	06/30/21	3.17	...	0.23	1 of 2 - Sale <sup>25</sup>
	23.000	350.53	06/30/21	326.83	...	23.70	2 of 2 - Sale <sup>25</sup>
07/01/21	23.223	353.93	Various	330.00	...	23.93	Total of 2 transactions
DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
10/14/21	20.000	237.40	10/13/21	232.38	...	5.02	Sale <sup>25</sup>
DERMTECH, INC. COMMON STOCK / CUSIP: 24984K105 / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	0.018	0.65	03/17/21	1.00	...	-0.35	1 of 2 - Sale <sup>25</sup>
	3.960	145.55	08/10/21	150.00	...	-4.45	2 of 2 - Sale <sup>25</sup>
08/12/21	3.978	146.20	Various	151.00	...	-4.80	Total of 2 transactions
DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol:							
08/30/21	24.238	199.11	08/10/21	228.80	...	-29.69	Sale <sup>25</sup>
08/30/21	0.108	0.89	08/09/21	1.00	0.11 W	0.00	Sale <sup>25</sup>
2 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	25.654	227.08	08/10/21	242.18	...	-15.10	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol: (cont'd)							
	0.108	0.96	08/10/21	1.13	...	-0.17	2 of 2 - Sale <sup>25</sup>
09/02/21	25.762	228.04	Various	243.31	...	-15.27	Total of 2 transactions
	<b>Security total:</b>	<b>428.04</b>		<b>473.11</b>	<b>0.11 W</b>	<b>-44.96</b>	
DIGITAL WORLD ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 25400Q105 / Symbol:							
10/21/21	10.000	436.70	10/21/21	442.10	...	-5.40	Sale <sup>25</sup>
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
01/15/21	1.000	195.60	12/09/20	195.13	...	0.47	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
3 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	06/15/21	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.550	27.39	06/15/21	25.88	...	1.51	2 of 3 - Sale <sup>25</sup>
	2.000	99.55	06/15/21	94.10	...	5.45	3 of 3 - Sale <sup>25</sup>
06/17/21	2.550	126.95	Various	119.99	...	6.96	Total of 3 transactions
DUOLINGO, INC. CLASS A COMMON STOCK / CUSIP: 26603R106 / Symbol:							
09/29/21	8.000	1,367.99	09/28/21	1,422.82	...	-54.83	Sale <sup>25</sup>
DUTCH BROS INC. / CUSIP: 26701L100 / Symbol:							
09/29/21	1.000	47.13	09/28/21	44.52	...	2.61	Sale <sup>25</sup>
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	45.000	2,295.94	11/26/21	2,445.00	...	-149.06	1 of 2 - Sale <sup>25</sup>
	5.000	255.11	11/26/21	271.75	...	-16.64	2 of 2 - Sale <sup>25</sup>
11/30/21	50.000	2,551.05	Various	2,716.75	...	-165.70	Total of 2 transactions
	<b>Security total:</b>	<b>2,598.18</b>		<b>2,761.27</b>	...	<b>-163.09</b>	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
7 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	0.081	0.54	11/12/20	0.34	...	0.20	1 of 7 - Sale <sup>25</sup>
	99.919	657.43	11/12/20	417.46	...	239.97	2 of 7 - Sale <sup>25</sup>
	20.000	131.59	11/19/20	167.80	...	-36.21	3 of 7 - Sale <sup>25</sup>
	5.048	33.21	11/23/20	49.67	...	-16.46	4 of 7 - Sale <sup>25</sup>
	0.034	0.22	11/23/20	0.33	...	-0.11	5 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	10.000	65.80	12/10/20	68.40	...	-2.60	6 of 7 - Sale <sup>25</sup>
	10.000	65.80	12/11/20	63.47	...	2.33	7 of 7 - Sale <sup>25</sup>
01/05/21	145.082	954.59	Various	767.47	...	187.12	Total of 7 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
03/01/21	6.000	1,373.27	02/23/21	1,266.00	...	107.27	Sale <sup>25</sup>
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
4 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	0.257	1.05	07/12/21	0.85	...	0.20	1 of 4 - Sale <sup>25</sup>
	0.290	1.19	07/12/21	0.93	...	0.26	2 of 4 - Sale <sup>25</sup>
	29.955	122.55	07/12/21	99.15	...	23.40	3 of 4 - Sale <sup>25</sup>
	62.016	253.70	07/12/21	199.07	...	54.63	4 of 4 - Sale <sup>25</sup>
07/13/21	92.517	378.49	Various	300.00	...	78.49	Total of 4 transactions
FLUENT, INC. COMMON STOCK / CUSIP: 34380C102 / Symbol:							
08/18/21	1.000	2.21	06/25/21	3.00	...	-0.79	Sale <sup>25</sup>
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
03/02/21	1.000	15.95	12/17/20	13.75	...	2.20	Sale <sup>25</sup>
FRESHWORKS INC. CLASS A COMMON STOCK / CUSIP: 358054104 / Symbol:							
09/24/21	5.000	237.24	09/23/21	236.95	...	0.29	Sale <sup>25</sup>
FUELCELL ENERGY, INC. COMMON STOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	10.000	92.32	05/20/21	81.28	...	11.04	1 of 2 - Sale <sup>25</sup>
	40.000	369.26	05/20/21	325.12	...	44.14	2 of 2 - Sale <sup>25</sup>
06/28/21	50.000	461.58	Various	406.40	...	55.18	Total of 2 transactions
09/28/21	33.989	264.11	06/29/21	308.55	...	-44.44	Sale <sup>25</sup>
6 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	50.000	388.51	06/10/21	507.45	118.94 W	0.00	1 of 6 - Sale <sup>25</sup>
	12.940	100.55	06/14/21	125.00	24.45 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.345	2.68	06/15/21	3.18	0.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.998	31.06	06/15/21	36.82	5.76 W	0.00	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	5.728	44.51	06/21/21	50.00	5.49 W	0.00	5 of 6 - Sale <sup>25</sup>
	27.000	209.80	06/25/21	242.42	26.57 W	-6.05	6 of 6 - Sale <sup>25</sup>
09/28/21	100.011	777.11	Various	964.87	181.71 W	-6.05	Total of 6 transactions
12/06/21	8.311	60.00	07/01/21	72.30	...	-12.30	Sale <sup>25</sup>
3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	0.322	2.33	06/29/21	2.92	0.59 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.689	113.28	06/29/21	142.42	29.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	36.10	06/30/21	44.23	6.49 W	-1.64	3 of 3 - Sale <sup>25</sup>
12/06/21	21.011	151.71	Various	189.57	36.22 W	-1.64	Total of 3 transactions
	<b>Security total:</b>	<b>1,714.51</b>		<b>1,941.69</b>	<b>217.93 W</b>	<b>-9.25</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	40.89	11/19/20	21.59	...	19.30	1 of 2 - Sale <sup>25</sup>
	10.000	408.85	01/05/21	300.50	...	108.35	2 of 2 - Sale <sup>25</sup>
01/25/21	11.000	449.74	Various	322.09	...	127.65	Total of 2 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.137	6.04	01/05/21	4.13	...	1.91	1 of 2 - Sale <sup>25</sup>
	0.998	43.96	01/05/21	30.00	...	13.96	2 of 2 - Sale <sup>25</sup>
02/11/21	1.136	50.00	Various	34.13	...	15.87	Total of 2 transactions
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.010	0.44	01/05/21	0.29	...	0.15	1 of 3 - Sale <sup>25</sup>
	0.498	22.65	01/05/21	14.97	...	7.68	2 of 3 - Sale <sup>25</sup>
	5.000	226.91	01/05/21	150.25	...	76.66	3 of 3 - Sale <sup>25</sup>
02/12/21	5.508	250.00	Various	165.51	...	84.49	Total of 3 transactions
4 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	0.003	0.10	01/05/21	0.08	...	0.02	1 of 4 - Sale <sup>25</sup>
	0.002	0.09	01/05/21	0.07	...	0.02	2 of 4 - Sale <sup>25</sup>
	2.517	99.90	01/05/21	75.63	...	24.27	3 of 4 - Sale <sup>25</sup>
	2.511	99.91	01/05/21	75.45	...	24.46	4 of 4 - Sale <sup>25</sup>
03/02/21	5.033	200.00	Various	151.23	...	48.77	Total of 4 transactions
03/15/21	1.000	34.00	01/05/21	30.05	...	3.95	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
<i>10 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	26.324	770.82	01/05/21	791.01	...	-20.19	1 of 10 - Sale <sup>25</sup>
	5.000	146.41	01/06/21	134.50	...	11.91	2 of 10 - Sale <sup>25</sup>
	1.000	29.28	01/08/21	27.08	...	2.20	3 of 10 - Sale <sup>25</sup>
	5.000	146.41	01/08/21	132.83	...	13.58	4 of 10 - Sale <sup>25</sup>
	3.000	87.85	03/02/21	123.18	...	-35.33	5 of 10 - Sale <sup>25</sup>
	1.000	29.28	03/16/21	32.94	...	-3.66	6 of 10 - Sale <sup>25</sup>
	0.003	0.09	03/24/21	0.08	...	0.01	7 of 10 - Sale <sup>25</sup>
	5.571	163.13	03/24/21	149.92	...	13.21	8 of 10 - Sale <sup>25</sup>
	5.000	146.41	03/26/21	112.08	...	34.33	9 of 10 - Sale <sup>25</sup>
	32.102	940.05	03/31/21	744.78	...	195.27	10 of 10 - Sale <sup>25</sup>
06/09/21	84.000	2,459.73	Various	2,248.40	...	211.33	Total of 10 transactions
06/29/21	50.000	1,589.99	03/31/21	1,160.00	...	429.99	Sale <sup>25</sup>
<i>2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.</i>							
	0.486	15.00	03/31/21	11.28	...	3.72	1 of 2 - Sale <sup>25</sup>
	0.648	20.00	03/31/21	15.02	...	4.98	2 of 2 - Sale <sup>25</sup>
07/01/21	1.134	35.00	Various	26.30	...	8.70	Total of 2 transactions
<i>4 transactions for 07/06/21. Total proceeds and cost reported to the IRS.</i>							
	16.764	488.01	03/31/21	388.92	...	99.09	1 of 4 - Sale <sup>25</sup>
	20.000	582.22	04/12/21	428.95	...	153.27	2 of 4 - Sale <sup>25</sup>
	3.000	87.33	05/03/21	59.55	...	27.78	3 of 4 - Sale <sup>25</sup>
	10.000	291.11	05/04/21	184.40	...	106.71	4 of 4 - Sale <sup>25</sup>
07/06/21	49.764	1,448.67	Various	1,061.82	...	386.85	Total of 4 transactions
<i>4 transactions for 08/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.720	22.69	08/09/21	20.22	...	2.47	1 of 4 - Sale <sup>25</sup>
	10.000	315.20	08/09/21	281.10	...	34.10	2 of 4 - Sale <sup>25</sup>
	11.280	355.55	08/09/21	317.09	...	38.46	3 of 4 - Sale <sup>25</sup>
	10.000	325.00	08/09/21	281.10	...	43.90	4 of 4 - Sale <sup>25</sup>
08/10/21	32.000	1,018.44	Various	899.51	...	118.93	Total of 4 transactions
<i>2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.</i>							
	0.005	0.15	08/09/21	0.14	...	0.01	1 of 2 - Sale <sup>25</sup>
	9.764	299.85	08/09/21	274.46	...	25.39	2 of 2 - Sale <sup>25</sup>
08/11/21	9.769	300.00	Various	274.60	...	25.40	Total of 2 transactions

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
09/03/21	0.921	27.75	08/09/21	25.89	...	1.86	Sale <sup>25</sup>
<b>Security total:</b>		<b>7,863.32</b>		<b>6,399.53</b>	...	<b>1,463.79</b>	
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
3 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	50.000	125.99	05/21/21	157.50	...	-31.51	1 of 3 - Sale <sup>25</sup>
	4.000	10.08	06/21/21	12.86	...	-2.78	2 of 3 - Sale <sup>25</sup>
	0.666	1.68	06/21/21	2.14	...	-0.46	3 of 3 - Sale <sup>25</sup>
08/18/21	54.666	137.75	Various	172.50	...	-34.75	Total of 3 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
06/16/21	0.195	42.03	06/09/21	61.11	...	-19.08	Sale <sup>25</sup>
07/01/21	1.805	381.01	06/09/21	566.29	...	-185.28	Sale <sup>25</sup>
<b>Security total:</b>		<b>423.04</b>		<b>627.40</b>	...	<b>-204.36</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/25/21	103.000	210.63	06/10/21	199.50	...	11.13	Sale <sup>25</sup>
07/27/21	75.692	118.83	06/29/21	143.62	...	-24.79	Sale <sup>25</sup>
07/27/21	0.258	0.40	06/10/21	0.50	0.10 W	0.00	Sale <sup>25</sup>
3 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	24.000	35.52	06/29/21	45.54	...	-10.02	1 of 3 - Sale <sup>25</sup>
	0.258	0.38	06/29/21	0.59	...	-0.21	2 of 3 - Sale <sup>25</sup>
	0.051	0.08	06/29/21	0.10	...	-0.02	3 of 3 - Sale <sup>25</sup>
08/18/21	24.308	35.98	Various	46.23	...	-10.25	Total of 3 transactions
<b>Security total:</b>		<b>365.84</b>		<b>389.85</b>	<b>0.10 W</b>	<b>-23.91</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.315	2.90	01/20/21	2.83	...	0.07	1 of 2 - Sale <sup>25</sup>
	32.946	303.76	01/20/21	297.17	...	6.59	2 of 2 - Sale <sup>25</sup>
01/21/21	33.261	306.66	Various	300.00	...	6.66	Total of 2 transactions

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Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	300.000	511.45	06/29/21	525.00	...	-13.55	1 of 3 - Sale <sup>25</sup>
	0.499	0.85	06/30/21	0.84	...	0.01	2 of 3 - Sale <sup>25</sup>
	28.964	49.38	06/30/21	49.16	...	0.22	3 of 3 - Sale <sup>25</sup>
07/01/21	329.462	561.68	Various	575.00	...	-13.32	Total of 3 transactions
HIMS & HERS HEALTH, INC. / CUSIP: 433000106 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.689	14.85	01/25/21	9.65	...	5.20	1 of 2 - Sale <sup>25</sup>
	3.951	85.15	01/25/21	55.35	...	29.80	2 of 2 - Sale <sup>25</sup>
02/12/21	4.639	100.00	Various	65.00	...	35.00	Total of 2 transactions
5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	30.989	380.89	01/25/21	434.15	...	-53.26	1 of 5 - Sale <sup>25</sup>
	5.000	61.46	01/25/21	68.75	...	-7.29	2 of 5 - Sale <sup>25</sup>
	0.060	0.74	01/25/21	0.85	...	-0.11	3 of 5 - Sale <sup>25</sup>
	5.998	73.72	01/27/21	79.83	...	-6.11	4 of 5 - Sale <sup>25</sup>
	0.013	0.16	01/27/21	0.17	...	-0.01	5 of 5 - Sale <sup>25</sup>
04/14/21	42.060	516.97	Various	583.75	...	-66.78	Total of 5 transactions
	<b>Security total:</b>	<b>616.97</b>		<b>648.75</b>	...	<b>-31.78</b>	
LION GROUP HOLDING LTD. AMERIC AN DEPOSITARY SHARE / CUSIP: 53620U102 / Symbol:							
03/08/21	4.000	11.32	02/18/21	20.28	...	-8.96	Sale <sup>25</sup>
4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	0.782	1.63	02/18/21	3.97	...	-2.34	1 of 4 - Sale <sup>25</sup>
	0.149	0.31	02/18/21	0.75	...	-0.44	2 of 4 - Sale <sup>25</sup>
	30.000	62.39	04/23/21	71.67	...	-9.28	3 of 4 - Sale <sup>25</sup>
	32.218	67.01	06/11/21	77.00	...	-9.99	4 of 4 - Sale <sup>25</sup>
07/16/21	63.149	131.34	Various	153.39	...	-22.05	Total of 4 transactions
	<b>Security total:</b>	<b>142.66</b>		<b>173.67</b>	...	<b>-31.01</b>	

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol:							
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	0.037	0.30	02/18/21	1.00	...	-0.70	1 of 2 - Sale <sup>25</sup>
	0.002	0.01	05/19/21	0.02	...	-0.01	2 of 2 - Sale <sup>25</sup>
08/18/21	0.039	0.31	Various	1.02	...	-0.71	Total of 2 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
06/09/21	25.000	288.50	06/09/21	233.00	...	55.50	Sale <sup>25</sup>
06/10/21	25.000	273.02	06/09/21	233.00	...	40.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>561.52</b>		<b>466.00</b>	...	<b>95.52</b>	
LUMINAR TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
02/12/21	2.698	100.00	01/15/21	84.49	...	15.51	Sale <sup>25</sup>
MEDIACO HOLDING INC. CLASS A COMMON STOCK / CUSIP: 58450D104 / Symbol:							
7 transactions for 07/13/21. Total proceeds and cost reported to the IRS.							
	0.004	0.05	07/12/21	0.03	...	0.02	1 of 7 - Sale <sup>25</sup>
	0.998	11.32	07/12/21	7.13	...	4.19	2 of 7 - Sale <sup>25</sup>
	6.000	68.03	07/12/21	42.84	...	25.19	3 of 7 - Sale <sup>25</sup>
	6.000	68.04	07/12/21	37.50	...	30.54	4 of 7 - Sale <sup>25</sup>
	6.522	73.96	07/12/21	30.00	...	43.96	5 of 7 - Sale <sup>25</sup>
	7.569	85.84	07/12/21	38.68	...	47.16	6 of 7 - Sale <sup>25</sup>
	11.770	133.47	07/12/21	61.32	...	72.15	7 of 7 - Sale <sup>25</sup>
07/13/21	38.863	440.71	Various	217.50	...	223.21	Total of 7 transactions
META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol:							
08/18/21	10.935	31.16	06/24/21	108.91	77.75 W	0.00	Sale <sup>25</sup>
7 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	7.065	40.07	06/29/21	53.96	...	-13.89	1 of 7 - Sale <sup>25</sup>
	10.000	56.71	06/29/21	70.38	...	-13.67	2 of 7 - Sale <sup>25</sup>
	5.000	28.36	06/29/21	35.73	...	-7.37	3 of 7 - Sale <sup>25</sup>
	5.814	32.97	07/02/21	40.00	...	-7.03	4 of 7 - Sale <sup>25</sup>
	15.390	87.27	07/07/21	100.00	...	-12.73	5 of 7 - Sale <sup>25</sup>
	50.000	283.56	07/13/21	192.50	...	91.06	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: (cont'd)							
09/03/21	6.731	38.17	07/28/21	71.02	...	-32.85	7 of 7 - Sale <sup>25</sup>
	100.000	567.11	Various	563.59	...	3.52	Total of 7 transactions
	3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.						
	39.065	239.85	06/24/21	389.08	149.23 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	61.40	06/28/21	82.00	20.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.935	18.02	06/29/21	22.42	0.52 W	-3.88	3 of 3 - Sale <sup>25</sup>
09/03/21	52.000	319.27	Various	493.50	170.35 W	-3.88	Total of 3 transactions
	10 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	4.204	23.59	07/28/21	44.35	...	-20.76	1 of 10 - Sale <sup>25</sup>
	9.065	50.86	07/28/21	31.18	...	19.68	2 of 10 - Sale <sup>25</sup>
	37.791	212.04	07/28/21	130.00	...	82.04	3 of 10 - Sale <sup>25</sup>
	24.324	136.48	08/11/21	176.56	...	-40.08	4 of 10 - Sale <sup>25</sup>
	14.540	81.58	08/11/21	105.54	...	-23.96	5 of 10 - Sale <sup>25</sup>
	0.201	1.13	08/11/21	1.46	...	-0.33	6 of 10 - Sale <sup>25</sup>
	0.708	3.97	08/11/21	3.90	...	0.07	7 of 10 - Sale <sup>25</sup>
	1.648	9.25	08/11/21	9.06	...	0.19	8 of 10 - Sale <sup>25</sup>
	0.349	1.96	08/11/21	1.72	...	0.24	9 of 10 - Sale <sup>25</sup>
	7.644	42.89	08/11/21	42.11	...	0.78	10 of 10 - Sale <sup>25</sup>
10/01/21	100.474	563.75	Various	545.88	...	17.87	Total of 10 transactions
	<b>Security total:</b>	<b>1,481.29</b>		<b>1,711.88</b>	<b>248.10 W</b>	<b>17.51</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
	2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	0.978	18.68	02/09/21	14.75	...	3.93	1 of 2 - Sale <sup>25</sup>
	29.753	568.13	02/09/21	452.25	...	115.88	2 of 2 - Sale <sup>25</sup>
03/31/21	30.732	586.81	Various	467.00	...	119.81	Total of 2 transactions
	2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	1.000	17.17	04/01/21	16.65	...	0.52	1 of 2 - Sale <sup>25</sup>
	33.000	566.64	04/01/21	549.45	...	17.19	2 of 2 - Sale <sup>25</sup>
04/23/21	34.000	583.81	Various	566.10	...	17.71	Total of 2 transactions
09/03/21	1.000	15.12	04/01/21	16.65	...	-1.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,185.74</b>		<b>1,049.75</b>	...	<b>135.99</b>	

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MOMENTUS INC. CLASS A COMMON S TOCK / CUSIP: 60879E101 / Symbol:							
3 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	3.010	28.69	01/20/21	68.75	...	-40.06	1 of 3 - Sale <sup>25</sup>
	0.286	2.73	01/20/21	6.53	...	-3.80	2 of 3 - Sale <sup>25</sup>
	0.990	9.44	01/27/21	20.00	...	-10.56	3 of 3 - Sale <sup>25</sup>
08/18/21	4.286	40.86	Various	95.28	...	-54.42	Total of 3 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	29.623	134.04	01/27/21	206.47	...	-72.43	1 of 3 - Sale <sup>25</sup>
	0.377	1.71	01/27/21	3.54	...	-1.83	2 of 3 - Sale <sup>25</sup>
	0.467	2.11	01/27/21	3.25	...	-1.14	3 of 3 - Sale <sup>25</sup>
02/02/21	30.467	137.86	Various	213.26	...	-75.40	Total of 3 transactions
02/02/21	45.000	205.65	01/27/21	313.65	0.91 W	-107.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>343.51</b>		<b>526.91</b>	<b>0.91 W</b>	<b>-182.49</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
04/26/21	10.000	119.80	04/23/21	119.70	...	0.10	Sale <sup>25</sup>
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	20.000	227.80	04/27/21	231.80	...	-4.00	1 of 2 - Sale <sup>25</sup>
	10.000	113.90	04/28/21	112.60	...	1.30	2 of 2 - Sale <sup>25</sup>
04/29/21	30.000	341.70	Various	344.40	...	-2.70	Total of 2 transactions
06/24/21	10.000	78.25	06/17/21	65.60	...	12.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>539.75</b>		<b>529.70</b>	...	<b>10.05</b>	
OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.001	0.05	01/27/21	0.04	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.007	0.25	01/27/21	0.17	...	0.08	2 of 3 - Sale <sup>25</sup>
	2.808	99.70	01/27/21	70.24	...	29.46	3 of 3 - Sale <sup>25</sup>
02/11/21	2.817	100.00	Various	70.45	...	29.55	Total of 3 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol: (cont'd)							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	11.178	281.99	01/27/21	279.55	...	2.44	1 of 2 - Sale <sup>25</sup>
	19.771	498.77	01/28/21	500.00	...	-1.23	2 of 2 - Sale <sup>25</sup>
02/23/21	30.948	780.76	Various	779.55	...	1.21	Total of 2 transactions
	<b>Security total:</b>	<b>880.76</b>		<b>850.00</b>	...	<b>30.76</b>	
BARK, INC. / CUSIP: 68622E104 / Symbol:							
3 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	0.011	0.12	06/29/21	0.12	...	0.00	1 of 3 - Sale <sup>25</sup>
	5.701	60.00	06/29/21	58.99	...	1.01	2 of 3 - Sale <sup>25</sup>
	23.912	249.88	06/29/21	247.46	...	2.42	3 of 3 - Sale <sup>25</sup>
07/01/21	29.624	310.00	Various	306.57	...	3.43	Total of 3 transactions
5 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	0.376	2.66	06/29/21	3.89	...	-1.23	1 of 5 - Sale <sup>25</sup>
	31.969	226.34	07/09/21	297.92	...	-71.58	2 of 5 - Sale <sup>25</sup>
	0.223	1.58	07/09/21	2.08	...	-0.50	3 of 5 - Sale <sup>25</sup>
	22.924	162.30	08/10/21	198.61	...	-36.31	4 of 5 - Sale <sup>25</sup>
	0.162	1.14	08/10/21	1.39	...	-0.25	5 of 5 - Sale <sup>25</sup>
08/18/21	55.654	394.02	Various	503.89	...	-109.87	Total of 5 transactions
	<b>Security total:</b>	<b>704.02</b>		<b>810.46</b>	...	<b>-106.44</b>	
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	380.000	230.90	03/26/21	288.57	...	-57.67	1 of 2 - Sale <sup>25</sup>
	20.000	12.15	03/26/21	15.15	...	-3.00	2 of 2 - Sale <sup>25</sup>
04/14/21	400.000	243.05	Various	303.72	...	-60.67	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
01/27/21	5.000	209.54	01/22/21	139.48	...	70.06	Sale <sup>25</sup>
02/12/21	4.519	150.00	01/22/21	126.07	...	23.93	Sale <sup>25</sup>
03/17/21	0.000	0.01	01/22/21	0.01	...	0.00	Sale <sup>25</sup>
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	0.186	4.70	01/22/21	5.19	0.49 W	0.00	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	1.000	25.29	01/22/21	27.90	2.61 W	0.00	2 of 2 - Sale <sup>25</sup>
03/17/21	1.186	29.99	Various	33.09	3.10 W	0.00	Total of 2 transactions
	6 transactions for 03/31/21. Total proceeds and cost reported to the IRS.						
	5.508	127.47	01/28/21	199.89	...	-72.42	1 of 6 - Sale <sup>25</sup>
	0.003	0.07	01/28/21	0.11	...	-0.04	2 of 6 - Sale <sup>25</sup>
	2.000	46.28	02/02/21	62.90	...	-16.62	3 of 6 - Sale <sup>25</sup>
	1.000	23.14	03/26/21	24.55	...	-1.41	4 of 6 - Sale <sup>25</sup>
	0.186	4.30	03/26/21	4.57	...	-0.27	5 of 6 - Sale <sup>25</sup>
	82.009	1,897.80	03/26/21	1,798.85	...	98.95	6 of 6 - Sale <sup>25</sup>
03/31/21	90.706	2,099.06	Various	2,090.87	...	8.19	Total of 6 transactions
03/31/21	9.294	215.08	01/22/21	259.27	3.83 W	-40.36	Sale <sup>25</sup>
07/12/21	25.195	588.28	07/07/21	584.98	...	3.30	Sale <sup>25</sup>
07/12/21	0.805	18.81	03/26/21	21.50	2.16 W	-0.53	Sale <sup>25</sup>
07/14/21	0.647	13.99	07/07/21	17.18	...	-3.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,324.76</b>		<b>3,272.45</b>	<b>9.09 W</b>	<b>61.40</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	2 transactions for 07/15/21. Total proceeds and cost reported to the IRS.						
	2.000	52.79	07/09/21	57.89	...	-5.10	1 of 2 - Sale <sup>25</sup>
	0.764	20.17	07/09/21	22.11	...	-1.94	2 of 2 - Sale <sup>25</sup>
07/15/21	2.764	72.96	Various	80.00	...	-7.04	Total of 2 transactions
QUANTUM-SI INCORPORATED CLASS A COMMON STOCK / CUSIP: 74765K105 / Symbol:							
07/01/21	1.000	12.51	06/25/21	11.70	...	0.81	Sale <sup>25</sup>
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
09/03/21	0.042	0.93	08/09/21	1.00	...	-0.07	Sale <sup>25</sup>
RAKUTEN GROUP, INC AMERICAN DE POSITARY RECEIPTS - SPONSORED / CUSIP: 75102W108 / Symbol:							
03/24/21	1.000	12.45	03/16/21	14.10	...	-1.65	Sale <sup>25</sup>

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	0.014	1.30 N	03/16/21	1.00	...	0.30	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$0.04
	99.986	9,610.62 N	06/04/21	9,750.68	...	-140.06	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$311.94
06/04/21	100.000	9,611.92	Various	9,751.68	...	-139.76	Total of 2 transactions
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
11/05/21	100.000	46,288.73 N	11/05/21	46,344.00	...	-55.27	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$188.98
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	0.248	0.80	02/09/21	1.00	...	-0.20	1 of 3 - Sale <sup>25</sup>
	8.511	27.41	02/10/21	29.91	...	-2.50	2 of 3 - Sale <sup>25</sup>
	0.026	0.08	02/10/21	0.09	...	-0.01	3 of 3 - Sale <sup>25</sup>
03/19/21	8.784	28.29	Various	31.00	...	-2.71	Total of 3 transactions
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	0.094	0.41	05/18/21	0.25	...	0.16	1 of 3 - Sale <sup>25</sup>
	0.286	1.25	05/18/21	0.77	...	0.48	2 of 3 - Sale <sup>25</sup>
	49.620	216.84	05/18/21	132.93	...	83.91	3 of 3 - Sale <sup>25</sup>
07/02/21	50.000	218.50	Various	133.95	...	84.55	Total of 3 transactions
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	32.172	140.58	07/01/21	146.34	5.72 W	-0.04	1 of 2 - Sale <sup>25</sup>
	0.208	0.91	07/01/21	0.94	0.03 W	0.00	2 of 2 - Sale <sup>25</sup>
07/02/21	32.380	141.49	Various	147.28	5.75 W	-0.04	Total of 2 transactions
07/14/21	34.063	134.72	07/07/21	132.16	...	2.56	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol: (cont'd)							
14 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	0.780	3.08	07/01/21	3.55	0.47 W	0.00	1 of 14 - Sale <sup>25</sup>
	45.985	181.87	07/01/21	209.17	27.30 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.208	0.82	07/07/21	0.83	0.01 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.211	0.84	07/07/21	0.86	0.02 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.780	3.08	07/07/21	3.50	0.42 W	0.00	5 of 14 - Sale <sup>25</sup>
	31.752	125.58	07/07/21	128.88	3.30 W	0.00	6 of 14 - Sale <sup>25</sup>
	10.307	40.71	07/07/21	46.11	5.40 W	0.00	7 of 14 - Sale <sup>25</sup>
	0.780	3.08	07/12/21	3.53	0.45 W	0.00	8 of 14 - Sale <sup>25</sup>
	35.679	140.93	07/12/21	163.54	22.61 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.208	0.82	07/12/21	0.84	0.02 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.211	0.84	07/12/21	0.86	0.02 W	0.00	11 of 14 - Sale <sup>25</sup>
	31.335	123.77	07/12/21	128.29	4.52 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.417	1.65	07/12/21	1.70	0.05 W	0.00	13 of 14 - Sale <sup>25</sup>
	16.583	65.49	07/12/21	66.17	0.68 W	0.00	14 of 14 - Sale <sup>25</sup>
07/14/21	175.237	692.56	Various	757.83	65.27 W	0.00	Total of 14 transactions
9 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	35.679	138.87	07/29/21	155.58	...	-16.71	1 of 9 - Sale <sup>25</sup>
	10.054	39.13	07/29/21	42.74	...	-3.61	2 of 9 - Sale <sup>25</sup>
	0.211	0.82	07/29/21	0.81	...	0.01	3 of 9 - Sale <sup>25</sup>
	0.208	0.81	07/29/21	0.79	...	0.02	4 of 9 - Sale <sup>25</sup>
	0.417	1.62	07/29/21	1.60	...	0.02	5 of 9 - Sale <sup>25</sup>
	0.537	2.09	07/29/21	2.00	...	0.09	6 of 9 - Sale <sup>25</sup>
	31.335	121.96	07/29/21	121.31	...	0.65	7 of 9 - Sale <sup>25</sup>
	3.943	15.35	07/29/21	14.70	...	0.65	8 of 9 - Sale <sup>25</sup>
	16.583	64.55	07/29/21	62.49	...	2.06	9 of 9 - Sale <sup>25</sup>
08/04/21	98.967	385.20	Various	402.02	...	-16.82	Total of 9 transactions
4 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	0.065	0.25	07/14/21	0.29	0.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.188	0.73	07/14/21	0.84	0.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.780	3.04	07/29/21	3.36	0.28 W	-0.04	3 of 4 - Sale <sup>25</sup>
	0.065	0.25	07/29/21	0.28	0.03 W	0.00	4 of 4 - Sale <sup>25</sup>
08/04/21	1.098	4.27	Various	4.77	0.46 W	-0.04	Total of 4 transactions

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol: (cont'd)							
3 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	0.686	0.67	08/24/21	1.01	...	-0.34	1 of 3 - Sale <sup>25</sup>
	0.188	0.18	08/24/21	0.31	...	-0.13	2 of 3 - Sale <sup>25</sup>
	0.065	0.06	08/24/21	0.10	...	-0.04	3 of 3 - Sale <sup>25</sup>
12/06/21	0.939	0.91	Various	1.42	...	-0.51	Total of 3 transactions
	<b>Security total:</b>	<b>1,577.65</b>		<b>1,579.43</b>	<b>71.48 W</b>	<b>69.70</b>	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
2 transactions for 09/07/21. Total proceeds and cost reported to the IRS.							
	200.000	1,122.53	09/03/21	1,139.68	...	-17.15	1 of 2 - Sale <sup>25</sup>
	100.000	561.26	09/03/21	569.72	...	-8.46	2 of 2 - Sale <sup>25</sup>
09/07/21	300.000	1,683.79	Various	1,709.40	...	-25.61	Total of 2 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	20.000	1,166.42	02/23/21	1,176.13	...	-9.71	1 of 3 - Sale <sup>25</sup>
	0.405	23.60	02/23/21	23.80	...	-0.20	2 of 3 - Sale <sup>25</sup>
	0.001	0.07	02/23/21	0.07	...	0.00	3 of 3 - Sale <sup>25</sup>
02/23/21	20.406	1,190.09	Various	1,200.00	...	-9.91	Total of 3 transactions
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	40.000	810.19	06/25/21	760.00	...	50.19	1 of 2 - Sale <sup>25</sup>
	10.000	202.55	06/28/21	180.45	...	22.10	2 of 2 - Sale <sup>25</sup>
06/29/21	50.000	1,012.74	Various	940.45	...	72.29	Total of 2 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
3 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	55.000	136.94	07/12/21	159.50	...	-22.56	1 of 3 - Sale <sup>25</sup>
	10.000	24.90	07/12/21	29.00	...	-4.10	2 of 3 - Sale <sup>25</sup>
	5.000	12.45	07/12/21	14.50	...	-2.05	3 of 3 - Sale <sup>25</sup>
07/16/21	70.000	174.29	Various	203.00	...	-28.71	Total of 3 transactions

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Robinhood Securities LLC

Account 146236542

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 85236Q109 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.016	0.42	12/17/20	0.24	...	0.18	1 of 3 - Sale <sup>25</sup>
	0.984	26.08	12/17/20	14.65	...	11.43	2 of 3 - Sale <sup>25</sup>
	4.660	123.50	01/15/21	101.42	...	22.08	3 of 3 - Sale <sup>25</sup>
02/11/21	5.660	150.00	Various	116.31	...	33.69	Total of 3 transactions
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	8.660	119.87	01/15/21	177.36	...	-57.49	1 of 2 - Sale <sup>25</sup>
	0.340	4.70	01/15/21	7.39	...	-2.69	2 of 2 - Sale <sup>25</sup>
03/08/21	9.000	124.57	Various	184.75	...	-60.18	Total of 2 transactions
3 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	0.988	10.25	01/15/21	20.24	...	-9.99	1 of 3 - Sale <sup>25</sup>
	10.000	103.70	01/19/21	250.10	...	-146.40	2 of 3 - Sale <sup>25</sup>
	1.704	17.67	01/20/21	38.92	...	-21.25	3 of 3 - Sale <sup>25</sup>
07/19/21	12.692	131.62	Various	309.26	...	-177.64	Total of 3 transactions
	<b>Security total:</b>	<b>406.19</b>		<b>610.32</b>	...	<b>-204.13</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	100.000	118.99	02/01/21	118.99	...	0.00	1 of 4 - Sale <sup>25</sup>
	23.810	28.33	02/12/21	50.00	...	-21.67	2 of 4 - Sale <sup>25</sup>
	13.560	16.13	02/12/21	29.01	...	-12.88	3 of 4 - Sale <sup>25</sup>
	0.103	0.12	02/12/21	0.22	...	-0.10	4 of 4 - Sale <sup>25</sup>
03/08/21	137.473	163.57	Various	198.22	...	-34.65	Total of 4 transactions
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	18.372	21.86	02/11/21	43.63	0.24 W	-21.53	1 of 2 - Sale <sup>25</sup>
	0.156	0.19	02/11/21	0.37	0.18 W	0.00	2 of 2 - Sale <sup>25</sup>
03/08/21	18.527	22.05	Various	44.00	0.42 W	-21.53	Total of 2 transactions
3 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	28.639	20.64	08/11/21	23.09	...	-2.45	1 of 3 - Sale <sup>25</sup>
	0.205	0.15	08/11/21	0.70	...	-0.55	2 of 3 - Sale <sup>25</sup>
	0.156	0.11	08/11/21	0.53	...	-0.42	3 of 3 - Sale <sup>25</sup>
08/18/21	29.000	20.90	Various	24.32	...	-3.42	Total of 3 transactions

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Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.</i>							
	0.156	0.11	02/12/21	0.51	0.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.205	0.15	02/12/21	0.68	0.53 W	0.00	2 of 2 - Sale <sup>25</sup>
08/18/21	0.361	0.26	Various	1.19	0.93 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>206.78</b>		<b>267.73</b>	<b>1.35 W</b>	<b>-59.60</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
09/03/21	0.557	4.14	12/07/20	2.71	...	1.43	Sale <sup>25</sup>
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
09/10/21	25.000	599.12	09/10/21	622.50	...	-23.38	Sale <sup>25</sup>
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
03/08/21	9.000	180.00	01/14/21	240.21	...	-60.21	Sale <sup>25</sup>
03/17/21	0.927	20.00	01/14/21	24.75	...	-4.75	Sale <sup>25</sup>
03/24/21	6.000	116.91	01/14/21	160.14	...	-43.23	Sale <sup>25</sup>
<i>6 transactions for 07/27/21. Total proceeds and cost reported to the IRS.</i>							
	2.806	54.44	01/14/21	74.90	...	-20.46	1 of 6 - Sale <sup>25</sup>
	2.000	38.80	01/15/21	51.35	...	-12.55	2 of 6 - Sale <sup>25</sup>
	1.000	19.40	01/19/21	24.87	...	-5.47	3 of 6 - Sale <sup>25</sup>
	0.005	0.11	01/19/21	0.13	...	-0.02	4 of 6 - Sale <sup>25</sup>
	1.000	19.40	01/20/21	24.47	...	-5.07	5 of 6 - Sale <sup>25</sup>
	2.000	38.80	01/21/21	48.50	...	-9.70	6 of 6 - Sale <sup>25</sup>
07/27/21	8.812	170.95	Various	224.22	...	-53.27	Total of 6 transactions
12/06/21	0.000	0.01	01/21/21	0.00	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>487.87</b>		<b>649.32</b>	...	<b>-161.45</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
06/24/21	90.000	493.19	06/23/21	437.40	...	55.79	Sale <sup>25</sup>
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
03/26/21	30.379	517.50	03/25/21	500.00	...	17.50	Sale <sup>25</sup>
09/10/21	30.000	426.00	09/09/21	399.54	...	26.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>943.50</b>		<b>899.54</b>	...	<b>43.96</b>	

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Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
2 transactions for 08/25/21. Total proceeds and cost reported to the IRS.							
	1.000	7.35	05/21/21	10.10	...	-2.75	1 of 2 - Sale <sup>25</sup>
	37.884	278.43	08/10/21	300.00	...	-21.57	2 of 2 - Sale <sup>25</sup>
08/25/21	38.884	285.78	Various	310.10	...	-24.32	Total of 2 transactions
VELOCITYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
08/18/21	0.124	0.81	08/09/21	1.00	...	-0.19	Sale <sup>25</sup>
THE VITA COCO COMPANY, INC. COMMON STOCK / CUSIP: 92846Q107 / Symbol:							
2 transactions for 12/06/21. Total proceeds and cost reported to the IRS.							
	4.000	43.11	10/21/21	60.32	...	-17.21	1 of 2 - Sale <sup>25</sup>
	1.000	10.78	10/21/21	15.00	...	-4.22	2 of 2 - Sale <sup>25</sup>
12/06/21	5.000	53.89	Various	75.32	...	-21.43	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	0.799	7.60	08/25/21	7.40	...	0.20	1 of 2 - Sale <sup>25</sup>
	10.000	95.15	08/25/21	92.60	...	2.55	2 of 2 - Sale <sup>25</sup>
08/26/21	10.799	102.75	Various	100.00	...	2.75	Total of 2 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
01/12/21	92.000	100.27	01/11/21	71.30	...	28.97	Sale <sup>25</sup>
02/23/21	38.000	54.53	01/11/21	29.45	...	25.08	Sale <sup>25</sup>
3 transactions for 09/03/21. Total proceeds and cost reported to the IRS.							
	18.000	11.00	08/24/21	9.16	...	1.84	1 of 3 - Sale <sup>25</sup>
	595.000	363.60	08/26/21	427.39	...	-63.79	2 of 3 - Sale <sup>25</sup>
	15.000	9.16	08/27/21	9.51	...	-0.35	3 of 3 - Sale <sup>25</sup>
09/03/21	628.000	383.76	Various	446.06	...	-62.30	Total of 3 transactions
	<b>Security total:</b>	<b>538.56</b>		<b>546.81</b>	...	<b>-8.25</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KAIXIN AUTO HOLDINGS ORDINARY SHARES / CUSIP: G5223X100 / Symbol:							
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	13.701	42.20	03/22/21	48.90	...	-6.70	1 of 2 - Sale <sup>25</sup>
	0.025	0.08	03/22/21	0.09	...	-0.01	2 of 2 - Sale <sup>25</sup>
03/24/21	13.726	42.28	Various	48.99	...	-6.71	Total of 2 transactions
03/24/21	0.274	0.84	03/22/21	1.00	0.16 W	0.00	Sale <sup>25</sup>
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	0.274	0.87	03/22/21	1.14	...	-0.27	1 of 2 - Sale <sup>25</sup>
	0.010	0.03	03/22/21	0.03	...	0.00	2 of 2 - Sale <sup>25</sup>
08/18/21	0.283	0.90	Various	1.17	...	-0.27	Total of 2 transactions
	<b>Security total:</b>	<b>44.02</b>		<b>51.16</b>	<b>0.16 W</b>	<b>-6.98</b>	
NEWEGG COMMERCE, INC. COMMON S HARES / CUSIP: G6483G100 / Symbol:							
11/15/21	10.000	174.09	11/09/21	157.98	...	16.11	Sale <sup>25</sup>
POP CULTURE GROUP CO., LTD CLA SS A ORDINARY SHARES / CUSIP: G71700101 / Symbol:							
4 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	0.036	0.14	07/07/21	1.00	0.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.254	0.97	07/09/21	5.35	4.38 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.011	0.04	07/09/21	0.23	0.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	13.989	53.31	07/09/21	294.42	241.11 W	0.00	4 of 4 - Sale <sup>25</sup>
08/18/21	14.291	54.46	Various	301.00	246.54 W	0.00	Total of 4 transactions
6 transactions for 09/02/21. Total proceeds and cost reported to the IRS.							
	13.857	58.21	08/25/21	298.98	...	-240.77	1 of 6 - Sale <sup>25</sup>
	0.254	1.07	08/25/21	5.48	...	-4.41	2 of 6 - Sale <sup>25</sup>
	0.132	0.55	08/25/21	2.84	...	-2.29	3 of 6 - Sale <sup>25</sup>
	0.036	0.15	08/25/21	1.02	...	-0.87	4 of 6 - Sale <sup>25</sup>
	4.143	17.41	08/25/21	17.98	...	-0.57	5 of 6 - Sale <sup>25</sup>
	0.011	0.05	08/25/21	0.24	...	-0.19	6 of 6 - Sale <sup>25</sup>
09/02/21	18.433	77.44	Various	326.54	...	-249.10	Total of 6 transactions
	<b>Security total:</b>	<b>131.90</b>		<b>627.54</b>	<b>246.54 W</b>	<b>-249.10</b>	
MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
08/18/21	0.054	0.25	02/18/21	1.00	...	-0.75	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LOGITECH INTERNATIONAL S.A. OR DINARY SHARES / CUSIP: H50430232 / Symbol:							
11/19/21	100.000	8,205.94 N	11/19/21	8,386.02	...	-180.08	Sold via put exercise <sup>25</sup> Proceeds or Cost adjusted for option premium of -\$294.00
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
<i>2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	188.22	02/12/21	409.46	...	-221.24	1 of 2 - Sale <sup>25</sup>
	0.759	7.15	02/12/21	15.54	...	-8.39	2 of 2 - Sale <sup>25</sup>
08/18/21	20.759	195.37	Various	425.00	...	-229.63	Total of 2 transactions
RISKIFIED LTD. / CUSIP: M8216R109 / Symbol:							
08/20/21	5.568	156.17	08/19/21	150.00	...	6.17	Sale <sup>25</sup>
LILIUM N.V. CLASS A ORDINARY S HARES / CUSIP: N52586109 / Symbol:							
09/24/21	1.000	10.71	09/16/21	9.74	...	0.97	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
03/16/21	150.000	141.73	03/12/21	151.34	0.06 W	-9.55	Sale <sup>25</sup>
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	142.000	132.04	03/12/21	143.26	...	-11.22	1 of 2 - Sale <sup>25</sup>
	8.000	7.44	03/12/21	8.07	...	-0.63	2 of 2 - Sale <sup>25</sup>
03/22/21	150.000	139.48	Various	151.33	...	-11.85	Total of 2 transactions
	<b>Security total:</b>	<b>281.21</b>		<b>302.67</b>	<b>0.06 W</b>	<b>-21.40</b>	
<b>Totals :</b>		<b>664,240.18</b>		<b>737,083.38</b>	<b>51,315.05 W</b>	<b>-21,528.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146236542

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
ADVAXIS INC COMMON STOCK / CUSIP: 007624307 / Symbol:							
03/08/21	1.000	0.71	01/02/20	1.13	...	-0.42	Sale <sup>25</sup>
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
03/08/21	1.000	1.15	12/20/19	1.77	...	-0.62	Sale <sup>25</sup>
YAYYO, INC. COMMON STOCK / CUSIP: 985294107 / Symbol:							
01/21/21	1.000	2.33	12/30/19	1.34	...	0.99	Sale <sup>25</sup>
<b>Totals :</b>		<b>4.19</b>		<b>4.24</b>		<b>-0.05</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146236542

**Proceeds Not Reported to the IRS**

2021

02/11/2022

*This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.*

*Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.*

*Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.*

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol: 06/07/21	0.100	0.33	04/05/21	0.76	...	-0.43	Gain or loss
<b>Totals:</b>		<b>0.33</b>		<b>0.76</b>	...	<b>-0.43</b>	

Robinhood Securities LLC

Account 146236542

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
LOANDEPOT, INC.	53946R106		05/18/21	0.02	Qualified dividend	
				<b>0.02</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 146236542

## Detail for Interest Income

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/30/21	0.11	Interest	
		11/12/21	0.03	Interest	
		11/19/21	0.03	Interest	
			0.17	Total Interest	
Interest payment		12/31/21	0.01	Interest	
			<b>0.18</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 146236542

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/15/21	-0.06	Margin interest paid	
		01/15/21	-5.00	Margin interest paid	
		02/16/21	-4.23	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/16/21	-5.00	Margin interest paid	
		03/16/21	-5.54	Margin interest paid	
		04/15/21	-2.40	Margin interest paid	
		04/15/21	-5.00	Margin interest paid	
		05/17/21	-1.60	Margin interest paid	
		05/17/21	-5.00	Margin interest paid	
		06/14/21	-2.88	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		07/14/21	-3.22	Margin interest paid	
		07/14/21	-5.00	Margin interest paid	
			-54.93	Total Margin interest paid	
Margin charge		08/13/21	-5.00	Margin interest paid	
		08/13/21	-6.92	Margin interest paid	
		09/13/21	-5.00	Margin interest paid	
		09/13/21	-8.55	Margin interest paid	
		10/12/21	-5.00	Margin interest paid	
		10/12/21	-7.96	Margin interest paid	
		11/11/21	-5.00	Margin interest paid	
		11/11/21	-7.55	Margin interest paid	
		12/13/21	-4.95	Margin interest paid	
		12/13/21	-5.00	Margin interest paid	
			-60.93	Total Margin interest paid	
			<b>-115.86</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 146236542

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK	03768F102	07/02/21	-0.18	0.00	Exchange	
AVEPOINT, INC. CLASS A COMMON STOCK	053604104	07/02/21	0.18	0.00	Exchange	
FLKS RIGHTS	339RGT011	07/02/21	-1.00	0.00	Exchange	
MOMENTUS INC. CLASS A COMMON S TOCK	60879E101	08/13/21	4.29	0.00	Exchange	
SALARIUS PHARMACEUTICALS, INC WARRANTS	79400X149	07/02/21	1.00	0.00	Exchange	
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK	85236Q109	08/13/21	-4.29	0.00	Exchange	
ASXC 04/16/2021 CALL \$3.00	ASXC 04/16/21 C 3.000	03/05/21	1.00	0.00	Merger	
MARA 03/05/2021 CALL \$28.50	MARA 03/05/21 C 28.500	03/01/21	1.00	0.00	Merger	
		03/01/21	-1.00	0.00	Merger	
MARA 03/05/2021 CALL \$29.00	MARA 03/05/21 C 29.000	03/01/21	1.00	0.00	Merger	
		03/01/21	-1.00	0.00	Merger	
TRXC 04/16/2021 CALL \$3.00	TRXC 04/16/21 C 3.000	03/05/21	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

## Instructions for Recipient

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

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