

Consolidated IRS Form 1099

Recipient Information

Name	Dinesh Varma Penumetcha
Address	675 Cornell Ct Unit 301 Harrisburg, PA 17111-3686
Tax ID Number	XXX-XX-6230
FATCA Filing Requirement	No
IB Account ID	U2425690

Payer Information

Name	Interactive Brokers LLC
Address	1 Pickwick Plaza Greenwich, CT 06830
Phone Number	1 (877) 442-2757
Website	http://www.interactivebrokers.com/help
Federal ID Number	13-3863700

2021 1099-INT Interest Income OMB No. 1545-0112

Box	Description	Amount
1	Interest income	0.00
2	Early withdrawal penalty	0.00
3	Interest on U.S. savings bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Tax-exempt interest	0.00
9	Specified private activity bond interest	0.00
10	Market discount	0.00
11	Bond premium	0.00
12	Bond premium on Treasury obligations	0.00
13	Bond premium on tax-exempt bond	0.00

2021 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box	Description	Amount
1a	Total ordinary dividends	46.00
1b	Qualified dividends	46.00
2a	Total capital gain distributions	0.00
2b	Unrecap. Sec. 1250 gain	0.00
2e	Section 897 ordinary dividends	0.00
2f	Section 897 capital gain	0.00
3	Non-dividend distributions	0.00
4	Federal income tax withheld	0.00
5	Section 199A Dividends	0.00
6	Investment expenses	0.00
7	Foreign tax paid	0.00
8	Foreign country or U.S. possession	
9	Cash liquidation distributions	0.00
10	Non-cash liquidation distributions	0.00
11	Exempt-interest dividends	0.00
12	Specified private activity bond interest dividends	0.00

2021 1099-OID Original Issue Discount OMB No. 1545-0117

Box	Description	Amount
1	Original issue discount for 2021	0.00
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Market discount	0.00
6	Acquisition premium	0.00
8	Original issue discount on U.S. Treasury obligations	0.00
9	Investment expense	0.00
10	Bond premium	0.00
11	Tax-exempt OID	0.00

2021 1099-MISC Miscellaneous Income OMB No. 1545-0115

Box	Description	Amount
1	Rents	0.00
2	Royalties	0.00
3	Other income	0.00
4	Federal income tax withheld	0.00
8	Substitute payments in lieu of dividends or interest	0.00

2021 1099-NEC Nonemployee Compensation OMB No. 1545-0116

Box	Description	Amount
1	Non-employee compensation	0.00
4	Federal income tax withheld	0.00

Consolidated IRS Form 1099 (continued)

Covered Securities: Short-Term - Summary

2021 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	A	
1a	Description of property	Various	
1b	Date acquired	Various	
1c	Date sold or disposed	Various	
1d	Proceeds (gross proceeds less commissions & options premium)	322,618.68	
1e	Cost or other basis	317,328.27	
1f	Accrued market discount	0.00	
1g	Wash sale loss disallowed	20,123.97	
2	Type of gain or loss	Short-term	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	No	
12	Basis reported to IRS	Yes	

Covered Securities: Long-Term - Summary

2021 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	D	
1a	Description of property		
1b	Date acquired		
1c	Date sold or disposed		
1d	Proceeds (gross proceeds less commissions & options premium)	0.00	
1e	Cost or other basis	0.00	
1f	Accrued market discount	0.00	
1g	Wash sale loss disallowed	0.00	
2	Type of gain or loss	Long-term	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	No	
12	Basis reported to IRS	Yes	

Noncovered Securities - Summary

2021 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	X	
1a	Description of property		
1c	Date sold or disposed		
1d	Proceeds (gross proceeds less commissions & options premium)	0.00	
2	Type of gain or loss	Not determined	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	Yes	
12	Basis reported to IRS	No	

Regulated Future Contracts and Options (Section 1256 Transactions)

2021 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
8	Profit or (loss) realized in 2021 on closed contracts	-1,111.21	
9	Unrealized profit or (loss) on open contracts - 12/31/2020	0.00	
10	Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
11	Aggregate profit or (loss) on contracts	-1,111.21	

Covered Securities with Short-Term Capital Gains/Losses

2021 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
AAL 19MAR21 26.0 C		AAL 210319C00026000	10	A	Sale	03/16/2021	03/15/2021	172.64	647.33	0.00	0.00	
AAPL 26NOV21 165.0 C		AAPL 211126C00165000	1	A	Short Cover	11/26/2021	11/26/2021	56.55	0.00	0.00	0.00	
ABNB 10DEC21 225.0 C		ABNB 211210C00225000	1	A	Sale	12/10/2021	11/12/2021	0.00	458.02	0.00	0.00	
ABNB 18JUN21 210.0 C		ABNB 210618C00210000	1	A	Sale	03/25/2021	03/11/2021	847.90	2,601.57	0.00	0.00	
AFRM 17DEC21 135.0 C		AFRM 211217C00135000	2	A	Sale	12/17/2021	Various	0.00	1,446.04	0.00	0.00	
AMC 26FEB21 8.0 C		AMC 210226C00008000	5	A	Sale	02/24/2021	02/23/2021	398.86	381.12	0.00	0.00	
AMMO INC	00175J107	POWW	400	A	Sale	09/07/2021	08/23/2021	2,837.92	3,041.11	0.00	0.00	
AMZN 15OCT21 3550.0 C		AMZN 211015C03550000	1	A	Sale	10/08/2021	09/27/2021	100.96	759.05	0.00	658.10	
AMZN 15OCT21 3550.0 C		AMZN 211015C03550000	3	A	Sale	10/08/2021	10/05/2021	304.34	1,180.61	0.00	0.00	
Subtotal AMZN 211015C03550000								405.30	1,939.66	0.00	658.10	
AMZN 17DEC21 3450.0 C		AMZN 211217C03450000	1	A	Sale	12/15/2021	12/14/2021	2,498.96	1,181.01	0.00	0.00	
AMZN 17SEP21 3500.0 C		AMZN 210917C03500000	1	A	Sale	08/30/2021	08/24/2021	2,998.93	1,295.75	0.00	0.00	
AMZN 22OCT21 3550.0 C		AMZN 211022C03550000	4	A	Sale	10/20/2021	10/08/2021	615.11	1,347.71	0.00	0.00	
AMZN 23DEC21 3400.0 C		AMZN 211223C03400000	1	A	Sale	12/21/2021	12/20/2021	2,877.96	2,695.72	0.00	0.00	
AMZN 23JUL21 3600.0 C		AMZN 210723C03600000	1	A	Sale	07/22/2021	07/16/2021	3,428.22	4,150.76	0.00	0.00	
APPLE INC	037833100	AAPL	100	A	Sale	12/14/2021	11/03/2021	17,320.40	15,074.00	0.00	0.00	
ATVI 18JUN21 100.0 C		ATVI 210618C00100000	5	A	Sale	06/18/2021	Various	0.00	652.95	0.00	0.00	
AURORA CANNABIS INC	05156X884	ACB	450	A	Sale	02/23/2021	Various	4,887.18	4,478.50	0.00	0.00	
AURORA CANNABIS INC	05156X884	ACB	100	A	Sale	02/23/2021	12/01/2020	1,085.98	1,169.00	0.00	0.00	
Subtotal ACB								5,973.16	5,647.50	0.00	0.00	
AVINO SILVER & GOLD MINES	053906103	ASM	1,500	A	Sale	03/25/2021	03/18/2021	1,747.31	2,017.50	0.00	0.00	
AYR WELLNESS INC	05475P109	AYRWF	100	A	Sale	04/12/2021	03/18/2021	2,686.97	3,638.00	0.00	0.00	
BA 26MAR21 242.5 C		BA 210326C00242500	2	A	Sale	03/25/2021	03/25/2021	822.63	649.46	0.00	0.00	
BALLARD POWER SYSTEMS INC	058586108	BLDP	100	A	Sale	02/23/2021	11/24/2020	2,707.97	1,928.00	0.00	0.00	
BALLARD POWER SYSTEMS INC	058586108	BLDP	100	A	Sale	02/23/2021	11/25/2020	2,709.97	1,945.00	0.00	0.00	
Subtotal BLDP								5,417.94	3,873.00	0.00	0.00	
BBBY 05MAR21 30.0 C		BBBY 210305C00030000	4	A	Sale	03/03/2021	03/03/2021	951.15	578.93	0.00	0.00	
BETTER PLANT SCIENCES INC	087737102	VEGGF	10,000	A	Sale	02/19/2021	02/11/2021	1,087.79	1,237.25	0.00	0.00	
BHF 21MAY21 50.0 C		BHF 210521C00050000	2	A	Sale	03/04/2021	03/03/2021	218.52	333.16	0.00	0.00	
BRAZIL MINERALS INC	105861207	BMIX	80,000	A	Sale	03/12/2021	Various	1,815.64	3,046.69	0.00	0.00	
CAN 21MAY21 12.5 C		CAN 210521C00012500	1	A	Sale	04/19/2021	04/12/2021	180.21	301.08	0.00	0.00	
CAT 01APR21 242.5 C		CAT 210401C00242500	2	A	Sale	03/17/2021	03/12/2021	392.52	437.46	0.00	0.00	
CIDARA THERAPEUTICS INC	171757107	CDTX	200	A	Sale	02/26/2021	02/17/2021	442.98	576.50	0.00	0.00	

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Covered Securities with Short-Term Capital Gains/Losses

2021 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
COIN 22OCT21 260.0 C		COIN 211022C00260000	2	A	Sale	10/15/2021	10/11/2021	3,136.60	1,493.38	0.00	0.00	
COIN 22OCT21 270.0 P		COIN 211022P00270000	5	A	Sale	10/19/2021	10/18/2021	196.53	949.46	0.00	0.00	
CRONOS GROUP INC	22717L101	CRON	250	A	Sale	02/23/2021	01/13/2021	2,686.20	2,685.00	0.00	0.00	
CURALEAF HOLDINGS INC	23126M102	CURLF	200	A	Sale	02/26/2021	02/24/2021	3,030.96	3,321.00	0.00	0.00	
DIA 31DEC21 360.0 C		DIA 211231C00360000	3	A	Sale	12/16/2021	12/13/2021	1,311.97	1,085.01	0.00	0.00	
DIS 17DEC21 190.0 C		DIS 211217C00190000	3	A	Sale	12/17/2021	11/08/2021	0.00	901.36	0.00	0.00	
DKNG 19MAR21 85.0 C		DKNG 210319C00085000	8	A	Sale	03/04/2021	03/03/2021	246.20	329.81	0.00	0.00	
EBANG INTERNATIONAL HLDGS-A	KYG3R33A1063	EBON	400	A	Sale	04/16/2021	02/23/2021	1,841.95	2,782.00	0.00	0.00	
ETSY 26NOV21 300.0 C		ETSY 211126C00300000	3	A	Sale	11/24/2021	11/16/2021	734.05	1,370.01	0.00	0.00	
EWZ 19MAR21 33.5 C		EWZ 210319C00033500	4	A	Sale	03/02/2021	03/02/2021	301.06	280.94	0.00	0.00	
EWZ 19MAR21 33.5 C		EWZ 210319C00033500	1	A	Sale	03/03/2021	03/02/2021	44.91	71.73	0.00	0.00	
Subtotal EWZ 210319C00033500								345.97	352.67	0.00	0.00	
EXPE 21MAY21 175.0 C		EXPE 210521C00175000	1	A	Sale	04/08/2021	04/07/2021	968.89	951.56	0.00	0.00	
F 09APR21 13.0 C		F 210409C00013000	10	A	Sale	03/25/2021	03/19/2021	117.84	643.14	0.00	0.00	
F 16APR21 14.0 C		F 210416C00014000	10	A	Sale	03/25/2021	03/19/2021	69.83	411.63	0.00	0.00	
F 21JAN22 10.0 C		F 220121C00010000	1	A	Sale	09/29/2021	03/01/2021	451.94	331.08	0.00	0.00	
F 21JAN22 10.0 C		F 220121C00010000	1	A	Sale	09/29/2021	03/05/2021	452.64	311.07	0.00	0.00	
Subtotal F 220121C00010000								904.58	642.15	0.00	0.00	
FANG1 16APR21 5.0 C		FANG1 210416C00005000	15	A	Sale	04/16/2021	Various	0.00	428.26	0.00	0.00	
FB 19NOV21 350.0 C		FB 211119C00350000	4	A	Sale	11/19/2021	11/04/2021	341.30	2,914.22	0.00	2,572.92	
FB 19NOV21 350.0 C		FB 211119C00350000	10	A	Sale	11/19/2021	11/16/2021	1,496.26	5,075.54	0.00	0.00	
FB 19NOV21 350.0 C		FB 211119C00350000	6	A	Sale	11/19/2021	Various	511.96	2,992.44	0.00	2,480.49	
Subtotal FB 211119C00350000								2,349.52	10,982.20	0.00	5,053.41	
FCEL 19MAR21 30.0 C		FCEL 210319C00030000	3	A	Short Cover	03/11/2021	03/11/2021	93.78	63.66	0.00	0.00	
FISKER INC	33813J106	FSR	10	A	Sale	03/25/2021	03/01/2021	167.70	308.13	0.00	0.00	
FISKER INC	33813J106	FSR	190	A	Sale	03/24/2021	Various	3,565.77	4,694.30	0.00	44.43	
Subtotal FSR								3,733.47	5,002.43	0.00	44.43	
FUELCELL ENERGY INC	35952H601	FCEL	189	A	Sale	03/25/2021	01/06/2021	2,297.26	2,315.25	0.00	0.00	
FUELCELL ENERGY INC	35952H601	FCEL	100	A	Sale	03/25/2021	Various	1,215.48	1,027.86	0.00	0.00	
FUELCELL ENERGY INC	35952H601	FCEL	211	A	Sale	03/25/2021	12/02/2020	2,565.67	1,518.15	0.00	0.00	
Subtotal FCCEL								6,078.41	4,861.26	0.00	0.00	
FUTU 16APR21 190.0 C		FUTU 210416C00190000	1	A	Sale	04/05/2021	04/01/2021	124.91	317.08	0.00	0.00	
GE 19MAR21 13.0 C		GE 210319C00013000	10	A	Sale	03/15/2021	03/15/2021	262.74	357.24	0.00	0.00	
GILAT SATELLITE NETWORKS LTD	IL0010825102	GILT	200	A	Sale	03/25/2021	02/24/2021	2,012.97	3,003.00	0.00	0.00	
GILD 19MAR21 65.0 C		GILD 210319C00065000	7	A	Sale	03/04/2021	03/04/2021	575.84	677.14	0.00	0.00	

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GNUS 19MAR21 2.5 C		GNUS 210319C00002500	20	A	Sale	03/04/2021	03/04/2021	545.27	617.46	0.00	0.00	
GNUS 21JAN22 2.5 C		GNUS 220121C00002500	41	A	Sale	11/19/2021	11/02/2021	183.54	1,220.09	0.00	0.00	
GNUS 21JAN22 2.5 C		GNUS 220121C00002500	60	A	Sale	11/19/2021	Various	268.60	1,345.32	0.00	857.39	
Subtotal GNUS 220121C00002500								452.14	2,565.41	0.00	857.39	
GOOG 16JUL21 2650.0 C		GOOG 210716C02650000	1	A	Sale	07/13/2021	07/12/2021	1,200.93	527.06	0.00	0.00	
GSX 01APR21 35.0 C		GSX 210401C00035000	1	A	Sale	03/31/2021	03/29/2021	107.91	481.08	0.00	0.00	
HARVEST HEALTH & RECREATION	41755M102	HRVSF	700	A	Sale	03/25/2021	03/18/2021	2,070.16	2,530.50	0.00	0.00	
IDEANOMICS INC	45166V106	IDEX	700	A	Sale	02/26/2021	01/25/2021	2,152.41	2,618.00	0.00	0.00	
IIVI 15OCT21 80.0 C		IIVI 211015C00080000	1	A	Sale	04/28/2021	04/01/2021	753.90	775.08	0.00	0.00	
INFY 19MAR21 19.0 C		INFY 210319C00019000	4	A	Sale	03/04/2021	03/03/2021	177.09	299.50	0.00	0.00	
KEY 09APR21 22.0 C		KEY 210409C00022000	3	A	Sale	03/16/2021	03/15/2021	57.79	139.75	0.00	0.00	
KHC 21MAY21 40.0 C		KHC 210521C00040000	2	A	Sale	04/30/2021	04/14/2021	362.52	299.46	0.00	0.00	
KTOS 16APR21 30.0 C		KTOS 210416C00030000	1	A	Sale	04/09/2021	03/29/2021	14.91	111.08	0.00	0.00	
LITHIUM AMERICAS CORP	53680Q207	LAC	200	A	Sale	02/26/2021	02/08/2021	3,514.96	4,500.80	0.00	0.00	
LITHIUM CHILE INC	53681G109	LTMCF	386	A	Sale	02/23/2021	02/11/2021	174.40	208.44	0.00	0.00	
MA 15OCT21 390.0 C		MA 211015C00390000	1	A	Sale	03/25/2021	03/18/2021	1,834.87	2,561.56	0.00	0.00	
MARA 05MAR21 50.0 C		MARA 210305C00050000	4	A	Short Cover	03/04/2021	03/04/2021	169.05	12.34	0.00	0.00	
MARATHON DIGITAL HOLDINGS IN	565788106	MARA	200	A	Sale	11/12/2021	01/11/2021	13,774.91	4,397.78	0.00	0.00	
MARATHON DIGITAL HOLDINGS IN	565788106	MARA	59	A	Sale	03/23/2021	Various	2,241.69	1,126.45	0.00	0.00	
MARATHON DIGITAL HOLDINGS IN	565788106	MARA	491	A	Sale	03/23/2021	08/04/2020	18,655.40	1,473.00	0.00	0.00	
Subtotal MARA								34,672.00	6,997.23	0.00	0.00	
MATSON INC	57686G105	MATX	70	A	Sale	09/07/2021	06/28/2021	5,855.86	4,493.48	0.00	0.00	
MATSON INC	57686G105	MATX	10	A	Sale	09/20/2021	06/28/2021	789.29	641.92	0.00	0.00	
Subtotal MATX								6,645.15	5,135.40	0.00	0.00	
MELI 19NOV21 1550.0 C		MELI 211119C01550000	1	A	Sale	11/19/2021	11/16/2021	0.00	1,453.02	0.00	0.00	
METROMILE INC	591697107	MILE	200	A	Sale	02/26/2021	02/16/2021	2,827.16	3,648.00	0.00	820.84	
METROMILE INC	591697107	MILE	200	A	Sale	04/09/2021	03/12/2021	1,871.23	3,403.84	0.00	0.00	
METROMILE INC	591697107	MILE	1,000	A	Sale	09/14/2021	09/07/2021	3,986.80	4,924.70	0.00	937.90	
METROMILE INC	591697107	MILE	1,000	A	Sale	09/20/2021	09/17/2021	3,834.86	5,100.62	0.00	1,265.75	
METROMILE INC	591697107	MILE	1,000	A	Sale	09/20/2021	09/20/2021	3,817.55	5,148.76	0.00	0.00	
Subtotal MILE								16,337.60	22,225.92	0.00	3,024.49	
MICHAELS COS INC/THE	59408Q106	MIK	200	A	Sale	03/03/2021	03/02/2021	4,416.80	3,619.00	0.00	0.00	
MIND MEDICINE MINDMED INC	60255C109	MMEDF	100	A	Sale	02/23/2021	01/25/2021	344.49	380.00	0.00	0.00	
MIND MEDICINE MINDMED INC	60255C109	MMEDF	550	A	Sale	02/23/2021	01/25/2021	1,894.67	2,090.00	0.00	177.57	
MIND MEDICINE MINDMED INC	60255C109	MMEDF	50	A	Sale	02/23/2021	02/11/2021	172.24	207.51	0.00	0.00	
MIND MEDICINE MINDMED INC	60255C109	MMEDF	500	A	Sale	02/23/2021	Various	1,722.43	2,057.56	0.00	0.00	
Subtotal MMEDF								4,133.83	4,735.07	0.00	177.57	

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Covered Securities with Short-Term Capital Gains/Losses

2021 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
MRNA 03SEP21 425.0 C		MRNA 210903C00425000	1	A	Sale	09/03/2021	08/30/2021	1.52	157.05	0.00	155.54	
MRNA 03SEP21 425.0 C		MRNA 210903C00425000	3	A	Sale	09/03/2021	08/31/2021	6.77	320.32	0.00	0.00	
MRNA 03SEP21 425.0 C		MRNA 210903C00425000	4	A	Sale	09/03/2021	Various	12.33	478.94	0.00	153.21	
Subtotal MRNA 210903C00425000								20.62	956.31	0.00	308.75	
MRNA 19NOV21 400.0 C		MRNA 211119C00400000	13	A	Sale	11/19/2021	Various	0.00	1,422.37	0.00	0.00	
MSTR 21JAN22 1200.0 C		MSTR 220121C01200000	3	A	Sale	12/06/2021	Various	1,467.97	9,246.91	0.00	0.00	
MU 16JUL21 85.0 C		MU 210716C00085000	2	A	Sale	05/11/2021	Various	657.54	1,540.17	0.00	0.00	
NANO DIMENSION LTD - ADR	63008G203	NNDM	350	A	Sale	02/23/2021	01/29/2021	3,681.59	5,155.30	0.00	0.00	
NCLH 12MAR21 32.0 C		NCLH 210312C00032000	3	A	Sale	03/03/2021	03/02/2021	663.78	383.09	0.00	0.00	
NCLH 19MAR21 30.0 C		NCLH 210319C00030000	2	A	Sale	03/09/2021	03/05/2021	188.52	263.06	0.00	0.00	
NFLX 01OCT21 600.0 C		NFLX 211001C00600000	1	A	Sale	09/28/2021	09/24/2021	573.94	264.99	0.00	0.00	
NFLX 01OCT21 600.0 C		NFLX 211001C00600000	1	A	Sale	09/29/2021	09/24/2021	1,125.94	264.35	0.00	0.00	
Subtotal NFLX 211001C00600000								1,699.88	529.34	0.00	0.00	
NFLX 23JUL21 600.0 C		NFLX 210723C00600000	5	A	Sale	07/23/2021	07/20/2021	0.00	543.54	0.00	0.00	
NFLX 29OCT21 650.0 C		NFLX 211029C00650000	1	A	Sale	10/21/2021	10/20/2021	1,139.25	470.39	0.00	0.00	
NFLX 29OCT21 650.0 C		NFLX 211029C00650000	1	A	Sale	10/22/2021	10/20/2021	1,584.95	470.39	0.00	0.00	
Subtotal NFLX 211029C00650000								2,724.20	940.78	0.00	0.00	
NIO 16APR21 40.0 C		NIO 210416C00040000	1	A	Sale	03/12/2021	03/05/2021	609.33	343.08	0.00	0.00	
NIO INC - ADR	62914V106	NIO	200	A	Sale	02/23/2021	Various	8,526.93	6,196.00	0.00	0.00	
NUAN 21MAY21 47.0 C		NUAN 210521C00047000	2	A	Sale	03/25/2021	03/22/2021	328.52	581.77	0.00	126.62	
NUAN 21MAY21 47.0 C		NUAN 210521C00047000	1	A	Sale	03/25/2021	03/24/2021	164.26	348.71	0.00	0.00	
Subtotal NUAN 210521C00047000								492.78	930.48	0.00	126.62	
NVDA 01OCT21 220.0 C		NVDA 211001C00220000	30	A	Sale	10/01/2021	Various	0.00	876.29	0.00	0.00	
NVDA 15OCT21 220.0 C		NVDA 211015C00220000	5	A	Sale	10/15/2021	10/05/2021	341.58	492.86	0.00	0.00	
NVDA 17DEC21 350.0 C		NVDA 211217C00350000	1	A	Sale	12/17/2021	12/01/2021	0.00	445.02	0.00	0.00	
NVDA 30JUL21 200.0 C		NVDA 210730C00200000	9	A	Sale	07/30/2021	Various	0.00	1,896.67	0.00	0.00	
ONCBIOMUNE PHARMACEUTICALS I	68235A200	OBMP	300	A	Sale	02/19/2021	Various	1,126.44	1,188.00	0.00	0.00	
OPEN 21MAY21 25.0 C		OPEN 210521C00025000	1	A	Sale	03/15/2021	03/05/2021	589.65	317.08	0.00	0.00	
PDD 17SEP21 115.0 C		PDD 210917C00115000	2	A	Sale	09/01/2021	08/25/2021	506.58	211.40	0.00	0.00	
PDD 17SEP21 115.0 C		PDD 210917C00115000	3	A	Sale	09/17/2021	08/25/2021	0.00	317.11	0.00	0.00	
Subtotal PDD 210917C00115000								506.58	528.51	0.00	0.00	

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2021 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
PLTR 18JUN21 26.0 C		PLTR 210618C00026000	1	A	Sale	03/25/2021	03/05/2021	157.91	385.08	0.00	0.00	
PLUG POWER INC	72919P202	PLUG	50	A	Sale	08/20/2021	09/08/2020	1,199.99	600.00	0.00	0.00	
PYPL 03DEC21 175.0 P		PYPL 211203P00175000	1	A	Short Cover	12/03/2021	12/03/2021	98.98	0.00	0.00	0.00	
PYPL 15OCT21 300.0 C		PYPL 211015C00300000	3	A	Sale	09/17/2021	08/25/2021	318.20	956.11	0.00	0.00	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	5	A	Sale	11/18/2021	10/15/2021	99.23	1,363.46	0.00	1,264.23	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	2	A	Sale	11/18/2021	10/19/2021	39.65	1,156.65	0.00	1,117.00	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	2	A	Sale	11/18/2021	10/20/2021	39.65	937.02	0.00	897.36	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	3	A	Sale	11/18/2021	10/21/2021	60.21	1,979.67	0.00	1,919.48	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	15	A	Sale	11/18/2021	11/04/2021	297.66	2,119.63	0.00	0.00	
PYPL 17DEC21 300.0 C		PYPL 211217C00300000	8	A	Sale	11/18/2021	Various	158.75	4,984.63	0.00	1,790.13	
Subtotal PYPL 211217C00300000								695.15	12,541.06	0.00	6,988.20	
PYPL 19NOV21 300.0 C		PYPL 211119C00300000	1	A	Sale	10/15/2021	09/17/2021	120.31	523.70	0.00	0.00	
PYPL 19NOV21 300.0 C		PYPL 211119C00300000	2	A	Sale	10/15/2021	09/17/2021	240.61	1,054.10	0.00	813.49	
PYPL 19NOV21 300.0 C		PYPL 211119C00300000	2	A	Sale	10/15/2021	09/24/2021	240.61	1,632.90	0.00	0.00	
Subtotal PYPL 211119C00300000								601.53	3,210.70	0.00	813.49	
QQQ 12MAR21 313.0 C		QQQ 210312C00313000	1	A	Sale	03/11/2021	03/10/2021	676.90	371.08	0.00	0.00	
QS 21MAY21 50.0 C		QS 210521C00050000	1	A	Sale	04/15/2021	04/14/2021	158.91	214.08	0.00	0.00	
RKT 05MAR21 24.5 C		RKT 210305C00024500	4	A	Sale	03/01/2021	03/01/2021	341.05	470.07	0.00	0.00	
RKT 05MAR21 24.5 C		RKT 210305C00024500	4	A	Sale	03/01/2021	03/01/2021	315.87	404.97	0.00	89.09	
RKT 05MAR21 24.5 C		RKT 210305C00024500	1	A	Sale	03/02/2021	03/01/2021	359.34	123.10	0.00	0.00	
Subtotal RKT 210305C00024500								1,016.26	998.14	0.00	89.09	
ROKU 10DEC21 250.0 C		ROKU 211210C00250000	1	A	Sale	12/10/2021	12/09/2021	0.00	349.02	0.00	0.00	
ROKU 19NOV21 350.0 C		ROKU 211119C00350000	2	A	Sale	11/19/2021	10/29/2021	0.00	1,241.38	0.00	0.00	
SAVA 16APR21 75.0 C		SAVA 210416C00075000	1	A	Sale	03/18/2021	03/11/2021	389.66	316.93	0.00	0.00	
SBUX 21MAY21 110.0 C		SBUX 210521C00110000	3	A	Sale	03/24/2021	03/19/2021	732.78	1,256.09	0.00	0.00	
SKILLZ INC	83067L109	SKLZ	190	A	Sale	06/15/2021	04/22/2021	3,588.25	3,150.77	0.00	0.00	
SKILLZ INC	83067L109	SKLZ	10	A	Sale	08/20/2021	04/22/2021	106.13	165.83	0.00	0.00	
Subtotal SKLZ								3,694.38	3,316.60	0.00	0.00	
SKLZ 21MAY21 25.0 C		SKLZ 210521C00025000	5	A	Sale	04/09/2021	Various	301.32	808.82	0.00	0.00	
SONASOFT CORP	835413105	SSFT	5,000	A	Sale	02/19/2021	02/10/2021	1,608.62	1,287.74	0.00	0.00	
SOS 19MAR21 10.0 C		SOS 210319C00010000	2	A	Sale	03/04/2021	03/03/2021	159.52	311.46	0.00	0.00	
SOS 19MAR21 7.5 C		SOS 210319C00007500	5	A	Sale	03/16/2021	03/15/2021	326.32	623.67	0.00	0.00	

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SOS 21JAN22 15.0 C		SOS	220121C00015000	5	A Sale	05/11/2021	Various	106.32	798.52	0.00	0.00	
SOS LTD	83587W106	SOS		1,100	A Sale	02/26/2021	Various	4,746.34	7,789.65	0.00	1,337.80	
SOS LTD	83587W106	SOS		900	A Sale	04/30/2021	Various	3,796.67	6,907.26	0.00	0.00	
Subtotal SOS								8,543.01	14,696.91	0.00	1,337.80	
SPY 05MAR21 382.0 C		SPY	210305C00382000	5	A Sale	03/04/2021	03/04/2021	531.71	728.72	0.00	0.00	
SPY 05MAR21 382.0 C		SPY	210305C00382000	4	A Sale	03/04/2021	03/04/2021	558.32	703.29	0.00	144.96	
Subtotal SPY 210305C00382000								1,090.03	1,432.01	0.00	144.96	
SPY 08MAR21 378.0 C		SPY	210308C00378000	2	A Sale	03/04/2021	03/04/2021	546.52	562.62	0.00	0.00	
SPY 09APR21 410.0 C		SPY	210409C00410000	5	A Sale	04/08/2021	04/07/2021	186.37	200.51	0.00	0.00	
SPY 10JAN22 452.0 P		SPY	220110P00452000	1	A Sale	12/10/2021	12/09/2021	375.48	450.65	0.00	0.00	
SPY 17DEC21 449.0 C		SPY	211217C00449000	3	A Sale	11/03/2021	Various	5,754.95	3,230.81	0.00	0.00	
SPY 17DEC21 449.0 C		SPY	211217C00449000	5	A Sale	11/17/2021	Various	11,033.43	3,749.55	0.00	0.00	
Subtotal SPY 211217C00449000								16,788.38	6,980.36	0.00	0.00	
SPY 19MAR21 404.0 C		SPY	210319C00404000	15	A Sale	03/15/2021	03/12/2021	214.11	362.05	0.00	0.00	
SPY 26FEB21 387.0 C		SPY	210226C00387000	2	A Sale	02/26/2021	02/25/2021	52.55	398.29	0.00	0.00	
SPY 31DEC21 475.0 C		SPY	211231C00475000	4	A Sale	12/29/2021	12/13/2021	1,241.34	1,069.21	0.00	0.00	
SUNDIAL GROWERS INC	86730L109	SNDL		1,161	A Sale	04/07/2021	03/02/2021	1,145.19	1,759.13	0.00	0.00	
SUNDIAL GROWERS INC	86730L109	SNDL		339	A Sale	04/07/2021	03/05/2021	334.38	367.81	0.00	0.00	
SUNDIAL GROWERS INC	86730L109	SNDL		1,000	A Sale	04/07/2021	Various	986.38	1,445.56	0.00	0.00	
Subtotal SNDL								2,465.95	3,572.50	0.00	0.00	
SUNPOWER CORP	867652406	SPWR		100	A Sale	02/18/2021	01/15/2021	3,864.90	3,198.00	0.00	0.00	
SUNWORKS INC	86803X204	SUNW		200	A Sale	02/18/2021	01/15/2021	3,141.45	2,448.00	0.00	0.00	
SUNWORKS INC	86803X204	SUNW		800	A Sale	02/18/2021	Various	12,564.19	6,237.00	0.00	0.00	
SUNWORKS INC	86803X204	SUNW		200	A Sale	02/26/2021	02/24/2021	2,546.96	2,996.73	0.00	0.00	
Subtotal SUNW								18,252.60	11,681.73	0.00	0.00	
TLRY 18MAR22 15.0 C		TLRY	220318C00015000	8	A Sale	11/02/2021	Various	730.61	1,248.62	0.00	0.00	
TSLA 16JUL21 700.0 C		TSLA	210716C00700000	9	A Sale	07/16/2021	Various	0.00	1,205.05	0.00	0.00	
TSLA 17DEC21 1000.0 C		TSLA	211217C01000000	2	A Sale	10/21/2021	08/30/2021	3,239.19	2,144.81	0.00	0.00	
TSLA 17DEC21 1000.0 C		TSLA	211217C01000000	1	A Sale	10/25/2021	09/22/2021	4,998.94	410.05	0.00	0.00	
TSLA 17DEC21 1000.0 C		TSLA	211217C01000000	1	A Sale	10/25/2021	09/23/2021	6,552.92	411.55	0.00	0.00	
TSLA 17DEC21 1000.0 C		TSLA	211217C01000000	1	A Sale	10/25/2021	09/24/2021	8,849.91	447.70	0.00	0.00	
TSLA 17DEC21 1000.0 C		TSLA	211217C01000000	1	A Sale	10/28/2021	09/24/2021	12,499.19	447.70	0.00	0.00	
Subtotal TSLA 211217C01000000								36,140.15	3,861.81	0.00	0.00	
TSLA 23JUL21 700.0 C		TSLA	210723C00700000	10	A Sale	07/22/2021	07/20/2021	253.70	634.09	0.00	0.00	
TSLA 26MAR21 800.0 C		TSLA	210326C00800000	4	A Sale	03/22/2021	03/22/2021	341.04	753.74	0.00	0.00	
TWTR 14MAY21 80.0 C		TWTR	210514C00080000	2	A Sale	04/22/2021	04/12/2021	131.53	409.46	0.00	0.00	
UA 16APR21 17.5 C		UA	210416C00017500	3	A Sale	03/31/2021	03/29/2021	405.78	300.70	0.00	0.00	

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UA 16APR21 17.5 C		UA 210416C00017500	1	A	Sale	04/09/2021	03/29/2021	153.91	100.23	0.00	0.00	
Subtotal UA 210416C00017500								559.69	400.93	0.00	0.00	
UWMC 19MAR21 10.0 C		UWMC 210319C00010000	3	A	Sale	03/03/2021	03/03/2021	349.28	422.65	0.00	0.00	
UWMC 19MAR21 9.0 C		UWMC 210319C00009000	3	A	Sale	03/02/2021	03/02/2021	282.78	222.54	0.00	0.00	
UWMC 19MAR21 9.0 C		UWMC 210319C00009000	1	A	Sale	03/03/2021	03/02/2021	199.76	75.73	0.00	0.00	
Subtotal UWMC 210319C00009000								482.54	298.27	0.00	0.00	
V 20AUG21 230.0 C		V 210820C00230000	1	A	Sale	04/14/2021	04/08/2021	896.90	856.58	0.00	0.00	
VILLAGE FARMS INTERNATIONAL	92707Y108	VFF	100	A	Sale	08/20/2021	01/20/2021	908.48	1,266.00	0.00	0.00	
VILLAGE FARMS INTERNATIONAL	92707Y108	VFF	700	A	Sale	04/07/2021	Various	8,894.91	8,998.00	0.00	0.00	
Subtotal VFF								9,803.39	10,264.00	0.00	0.00	
VIPS 14MAY21 34.5 C		VIPS 210514C00034500	6	A	Sale	05/14/2021	04/22/2021	0.00	460.40	0.00	0.00	
VIPS 30APR21 35.0 C		VIPS 210430C00035000	3	A	Sale	04/22/2021	04/14/2021	57.79	301.73	0.00	0.00	
VIPS 30APR21 35.0 C		VIPS 210430C00035000	3	A	Sale	04/22/2021	Various	57.79	274.40	0.00	202.94	
Subtotal VIPS 210430C00035000								115.58	576.13	0.00	202.94	
VIRGIN GALACTIC HOLDINGS INC	92766K106	SPCE	100	A	Sale	09/02/2021	09/02/2021	2,756.97	2,763.00	0.00	6.03	
VIRGIN GALACTIC HOLDINGS INC	92766K106	SPCE	100	A	Sale	09/03/2021	09/02/2021	2,444.38	2,771.83	0.00	0.00	
Subtotal SPCE								5,201.35	5,534.83	0.00	6.03	
WKHS 21JAN22 13.0 C		WKHS 220121C00013000	5	A	Sale	11/08/2021	11/02/2021	196.64	236.26	0.00	0.00	
WYNN 19NOV21 100.0 C		WYNN 211119C00100000	25	A	Sale	11/19/2021	Various	0.00	3,181.99	0.00	0.00	
X 16APR21 29.0 C		X 210416C00029000	3	A	Sale	03/23/2021	03/12/2021	41.50	332.20	0.00	290.70	
X 16APR21 29.0 C		X 210416C00029000	7	A	Sale	03/23/2021	Various	96.84	765.84	0.00	0.00	
Subtotal X 210416C00029000								138.34	1,098.04	0.00	290.70	
ZIM INTEGRATED SHIPPING SERV	IL0065100930	ZIM	110	A	Sale	07/02/2021	06/28/2021	4,497.96	4,949.90	0.00	0.00	
ZK INTERNATIONAL GROUP CO LT	VGG9892K1003	ZKIN	400	A	Sale	03/23/2021	03/17/2021	4,797.93	2,890.00	0.00	0.00	
ZK INTERNATIONAL GROUP CO LT	VGG9892K1003	ZKIN	100	A	Sale	03/25/2021	03/17/2021	926.98	722.50	0.00	0.00	
Subtotal ZKIN								5,724.91	3,612.50	0.00	0.00	
ZM 10DEC21 200.0 C		ZM 211210C00200000	10	A	Sale	12/10/2021	12/09/2021	0.00	376.71	0.00	0.00	
ZM 17DEC21 225.0 C		ZM 211217C00225000	1	A	Sale	12/17/2021	11/29/2021	0.00	571.02	0.00	0.00	
ZM 19NOV21 300.0 C		ZM 211119C00300000	5	A	Sale	11/19/2021	10/22/2021	0.00	1,873.46	0.00	0.00	
ZM 22OCT21 275.0 C		ZM 211022C00275000	4	A	Sale	10/18/2021	10/18/2021	1,081.22	842.76	0.00	0.00	
Total								322,618.68	317,328.27	0.00	20,123.97	

* Transaction details from each of your 2021 securities trades are individually reported to the IRS (less commissions).

Other Useful Information

Description	Total
Margin Interest Paid	0.00
Payment in Lieu Paid	0.00
Advisory Fees Paid	0.00
Other Fees Paid	169.80

* This tax information is not being furnished to the Internal Revenue Service. It is being provided to you as it may assist you in your tax return preparation.

Instructions for Recipients of Consolidated IRS Form 1099: Provides detailed instructions for customers regarding each 1099 issued, and what each line represents.

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-OID, 1099-MISC and 1099-B represent interest, dividends, miscellaneous income, gross proceeds payments and/or gain or loss made or credited to your account during the calendar year, as well as any original issue discount (OID) accrued during the calendar year.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership for inclusion in your income tax return.

Recipient's Identification Number - For your protection, this form shows only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

FATCA filing requirement - If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Nominees - If your Federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B as applicable with the IRS, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B to each owner. A husband or wife is not required to file a nominee return to show amounts to the other. See the instructions for Forms 1099, 1098, 5498, and W-2G.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See Form 1040 instructions.

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments, including dividends, interest and gross proceeds from dispositions of securities at a rate of 24%. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

1099-INT - Interest Income

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 and 1040-SR. This amount may be subject to backup withholding. See box 4. See the instructions above for a covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election, in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the US treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

1099-OID - Original Issue Discount

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550, Investment Income and Expenses, for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired with acquisition premium, your payer may report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Instructions for Recipients of Consolidated IRS Form 1099 (continued)

1099-OID - Original Issue Discount (continued)

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a taxable covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a taxable covered security, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 11. For a tax-exempt obligation that is a covered security, shows the OID for the part of the year it was owned by the record holder.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099oid.

1099-DIV - Dividends and Distributions

Details of your dividend payments can be found in your Dividend Report.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040-SR instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Form 1040/1040-SR instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040)

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a non-publicly offered regulated investment company, generally a non-publicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the instructions for Form 1040 and 1040-SR.

Box 8. This box should be left blank if a regulated investment company reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See box 4.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the instructions for Form 6251.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

1099-MISC - Miscellaneous Income

Details of your payments in lieu of dividends can be found in your Dividend Report.

In addition to the Form 1099-MISC we provide, each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions, which will help shareholders in preparing their tax return. Generally, shareholders report this information on Schedule E of Form 1040. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice. The royalty trusts generally make this tax information available in March, and we will forward it to you upon receipt. Accordingly, you should be aware of your tax filing deadline and determine if an extension of time to file will be necessary.

Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is \$400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334 for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES. Individuals must report these amounts as explained in the box 7 instructions on this page. Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Instructions for Recipients of Consolidated IRS Form 1099 (continued)

1099-MISC · Miscellaneous Income (continued)

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099misc.

1099-NEC · Nonemployee Compensation

Box 1. Shows nonemployee compensation. If the amount in this box is self-employment (SE) income, report it on Schedule C or F (Form 1040) if a sole proprietor, or on Form 1065 and Schedule K-1 (Form 1065) if a partnership, and the recipient/partner completes Schedule SE (Form 1040).

Note: If you are receiving payments on which no income, social security, and Medicare taxes are withheld, you should make estimated tax payments. See Form 1040-ES (or Form 1040-ES (NR)). Individuals must report these amounts as explained in these box 1 instructions. Corporations, fiduciaries, and partnerships must report these amounts on the appropriate line of their tax returns.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Future developments. For the latest information about developments related to Form 1099-NEC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099NEC.

1099-B · Proceeds from Broker and Barter Exchange Transactions

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

We provide 1099B information in three summary sections. Section one reports proceeds and cost basis information for transactions of covered securities with a short-term gain or loss. Section two reports information for transactions of covered securities with a long-term gain or loss. Section three reports transaction of non-covered securities including index options without determination of holding period. Covered securities include stock (or ADRs) purchased or received as a result of a corporate action after January 1, 2011. Mutual funds purchased after January 1, 2012 and options and simple debt instruments purchased after January 1, 2014. All other securities, including those purchased before these dates, are considered noncovered.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a non-compensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a non-compensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the amount of non-contingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. Indicates if proceeds are from Collectibles or QOF. See details for more information.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 12. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Regulated Futures Contracts and Options (Section 1256 Transactions)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099b.