

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 277183423	Statement Date: 01/20/2022 Document ID: F3C8 6W5 08E2	2021
	ENOCH YANNAPU 2621 MYSIN WAY SACRAMENTO, CA 95833-2292		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-9763		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 1.53</p> <p>1b- Qualified dividends 1.53</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.05</p> <p>7- Foreign tax paid: 0.05</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	9,530.37	11,713.58	0.00	0.00	-2,183.21
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	9,530.37	11,713.58	0.00	0.00	-2,183.21
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.01	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.01	0.00	0.00	0.00	0.00
	Grand total	9,530.38	11,713.58	0.00	0.00	-2,183.21
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

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Summary Information

2021

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INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	1.50
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	190.30
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 277183423

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
06/03/21	4.000	165.48	06/02/21	163.80	...	1.68	Sale
ARK ETF TR INNOVATION ETF / CUSIP: 00214Q104 / Symbol: ARKK							
07/30/21	1.000	121.91	07/27/21	118.98	...	2.93	Sale
08/18/21	1.000	116.83	07/27/21	118.97	...	-2.14	Sale
	Security total:	238.74		237.95	...	0.79	
ALLIANCE BIOENERGY PLUS INC N/C 8/27/21 09530Q102 / CUSIP: 01890B106 / Symbol: ALLM							
07/27/21	700.000	202.97	01/25/21	446.40	...	-243.43	Sale
ALPHA LITHIUM CORPORATION COM / CUSIP: 02075X103 / Symbol: APHLF							
11/24/21	20.000	13.85	12/10/20	19.45	...	-5.60	Sale
AMERICAN AIRES INC COM / CUSIP: 02377G105 / Symbol: AAIRF							
07/27/21	500.000	34.04	Various	119.58	...	-85.54	Sale
AMER BATTERY TECHNOLOGY CO COM / CUSIP: 02451V101 / Symbol: ABML							
07/27/21	25.000	33.55	03/12/21	59.45	...	-25.90	Sale
AMERICAN RESOURCES CORPORATION COM CL A / CUSIP: 02927U208 / Symbol: AREC							
07/26/21	20.000	43.20	03/15/21	92.00	...	-48.80	Sale

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Account 277183423

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APEX TECHNOLOGY ACQUISITION CO 1:1 EXC 7/2/21 053604112 / CUSIP: 03768F110 / Symbol: APXTW							
02/16/21	10.000	42.13	11/30/20	35.62	...	6.51	Sale
03/15/21	25.000	89.75	11/30/20	89.04	...	0.71	Sale
06/09/21	15.000	43.28	11/30/20	53.43	...	-10.15	Sale
	Security total:	175.16		178.09	...	-2.93	
ASHFORD HOSPITALITY TRUST INC 1:10 R/S 7/19/21 044103869 / CUSIP: 044103877 / Symbol:							
06/07/21	38.000	210.71	Various	204.26	...	6.45	Sale
AURORA SOLAR TECHNOLOGIES INC COM / CUSIP: 05207J108 / Symbol: AACTF							
08/03/21	100.000	12.71	02/16/21	70.89	...	-58.18	Sale
BLACKBERRY LTD COM / CUSIP: 09228F103 / Symbol: BB							
06/03/21	5.000	73.35	06/02/21	68.20	...	5.15	Sale
BLUE OWL CAPITAL INC WARRANT / CUSIP: 09581B111 / Symbol: OWL WS							
06/07/21	45.000	138.37	12/24/20	128.25	...	10.12	Sale
CELSION CORPORATION COM / CUSIP: 15117N503 / Symbol: CLSN							
07/26/21	20.000	21.60	03/15/21	45.40	...	-23.80	Sale
CHARGEPOINT HOLDINGS INC COM CL A / CUSIP: 15961R105 / Symbol: CHPT							
07/30/21	16.000	392.56	Various	380.56	...	12.00	Sale
11/09/21	9.000	232.38	08/20/21	192.33	...	40.05	Sale
	Security total:	624.94		572.89	...	52.05	
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
07/22/21	6.000	137.41	07/19/21	131.57	...	5.84	Sale
CLEAN ENERGY FUELS CORPORATION COM / CUSIP: 184499101 / Symbol: CLNE							
07/26/21	5.000	39.97	06/09/21	66.63	...	-26.66	Sale
DIRECT COMMUNICATION SOLUTIONS COM / CUSIP: 25460P104 / Symbol: DCSX							
07/27/21	25.000	12.61	03/31/21	53.43	...	-40.82	Sale
ENERGOUS CORP COM / CUSIP: 29272C103 / Symbol: WATT							
07/19/21	50.000	119.75	04/01/21	204.05	...	-84.30	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FINTECH ACQUISITION CORP V WARRANT / CUSIP: 31810Q115 / Symbol: FTCVW							
07/19/21	100.000	285.99	03/16/21	365.50	...	-79.51	Sale
FOBI AI INC COM / CUSIP: 34416F103 / Symbol: FOBIF							
08/09/21	45.000	37.47	03/31/21	58.90	...	-21.43	Sale
FOLEY TRASIMENE ACQUISITION CO 1:1 EXC 3/31/21 G6964L115 / CUSIP: 344328117 / Symbol: BFT WS							
02/19/21	3.000	15.77	12/10/20	9.00	...	6.77	Sale
02/23/21	6.000	29.71	Various	18.00	...	11.71	Total of 2 transactions
03/08/21	11.000	48.95	12/10/20	33.00	...	15.95	Sale
	Security total:	94.43		60.00	...	34.43	
GLOBALSTAR INC COM / CUSIP: 378973408 / Symbol: GSAT							
06/02/21	150.000	232.48	Various	240.31	...	-7.83	Sale
06/22/21	100.000	175.17	03/17/21	159.81	...	15.36	Sale
	Security total:	407.65		400.12	...	7.53	
HEALTHIER CHOICES MGMT CORP COM / CUSIP: 42226N109 / Symbol: HCMC							
08/09/21	9,000.000	0.98	03/19/21	19.55	...	-18.57	Sale
HIGH TIDE INC COM / CUSIP: 42981E401 / Symbol: HITI							
07/26/21	20.000	123.04	Various	218.62	...	-95.58	Sale
HUMBL INC COM / CUSIP: 44501Q104 / Symbol: HMBL							
03/26/21	10.000	30.95	12/24/20	8.55	...	22.40	Sale
04/01/21	5.000	10.55	12/24/20	4.28	...	6.27	Sale
05/20/21	40.000	24.03	12/24/20	34.21	...	-10.18	Sale
06/09/21	100.000	157.04	Various	81.09	...	75.95	Sale
06/23/21	145.000	169.93	01/06/21	102.62	...	67.31	Sale
	Security total:	392.50		230.75	...	161.75	
INNOVATIVE PAYMENT SOLUTIONS I COM / CUSIP: 45783M109 / Symbol: IPSI							
08/09/21	800.000	54.47	06/02/21	108.71	...	-54.24	Sale
JAGUAR HEALTH INC 1:3 R/S 9/8/2021 47010C607 / CUSIP: 47010C409 / Symbol:							
06/09/21	100.000	193.49	06/02/21	189.50	...	3.99	Sale

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Account 277183423

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LGL SYSTEMS ACQUISITION CORP 1:1 EXC 8/27/21 46323Q113 / CUSIP: 50201G114 / Symbol: DFNS WS							
06/02/21	100.000	169.48	Various	149.99	...	19.49	Total of 2 transactions
LOOP INSIGHTS INC N/C 6/4/21 34416F103 / CUSIP: 54351R102 / Symbol:							
05/20/21	5.000	0.26	03/31/21	6.55	...	-6.29	Sale
MEDALIST DIVERSIFIED REIT INC COM USD0.01 / CUSIP: 58403P105 / Symbol: MDRR							
06/09/21	50.000	100.49	06/09/21	99.50	...	0.99	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
01/06/21	2.000	107.92	11/04/20	74.48	...	33.44	Sale
01/25/21	1.000	64.00	11/04/20	37.24	...	26.76	Sale
02/16/21	3.000	182.20	11/04/20	111.72	...	70.48	Sale
02/19/21	1.000	54.98	11/04/20	37.24	...	17.74	Sale
03/09/21	1.000	41.87	11/04/20	37.24	...	4.63	Sale
03/12/21	2.000	90.98	Various	74.48	...	16.50	Total of 2 transactions
	Security total:	541.95		372.40	...	169.55	
NANO DIMENSION LTD ADR SPONSORED / CUSIP: 63008G203 / Symbol: NNDM							
02/24/21	5.000	58.61	10/26/20	15.70	...	42.91	Sale
02/26/21	5.000	50.05	Various	14.08	...	35.97	Sale
03/09/21	5.000	46.65	10/30/20	13.68	...	32.97	Sale
	Security total:	155.31		43.46	...	111.85	
NORTHERN GENESIS ACQUISITION C 1:1 EXC 5/7/21 536221112 / CUSIP: 66516T112 / Symbol: NGA WS							
03/08/21	5.000	25.55	12/09/20	20.60	...	4.95	Sale
NOUVEAU MONDE GRAPHITE INC COM / CUSIP: 66979W842 / Symbol: NMG							
07/26/21	5.000	35.19	02/19/21	97.95	...	-62.76	Sale
OSPREY TECH ACQUISITION CORP 1:1 EXC 9/10/21 09263B108 / CUSIP: 68839R104 / Symbol: SFTW							
06/09/21	10.000	100.45	03/16/21	109.95	...	-9.50	Sale
PREDICTIVE ONCOLOGY INC COM / CUSIP: 74039M200 / Symbol: POAI							
06/07/21	120.000	188.99	Various	183.83	...	5.16	Sale
PRINCIPAL SOLAR INC COM / CUSIP: 74255T202 / Symbol: PSWW							
06/22/21	2,000.000	299.81	06/08/21	112.95	...	186.86	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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ROBINHOOD MARKETS INC COM CL A / CUSIP: 770700102 / Symbol: HOOD							
08/03/21	2.000	87.72	08/03/21	82.37	...	5.35	Sale
SANTO MINING CORP COM / CUSIP: 802899203 / Symbol: SANP							
08/09/21	15,000.000	16.76	Various	142.85	...	-126.09	Sale
SOFI TECHNOLOGIES INC COM / CUSIP: 83406F102 / Symbol: SOFI							
07/30/21	37.000	575.18	Various	563.59	...	11.59	Sale
TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 / CUSIP: 89102U103 / Symbol: TRCH							
06/04/21	25.000	69.51	02/26/21	62.75	...	6.76	Sale
TUSCAN HOLDINGS CORP 1:1 EXC 7/26/21 59516C114 / CUSIP: 90069K112 / Symbol: THCBW							
02/16/21	15.000	103.65	11/30/20	32.25	...	71.40	Sale
02/19/21	5.000	31.05	11/30/20	10.75	...	20.30	Sale
03/08/21	5.000	18.80	11/30/20	10.75	...	8.05	Sale
03/09/21	5.000	20.60	11/30/20	10.75	...	9.85	Sale
	Security total:	174.10		64.50	...	109.60	
UWM HLDGS CORP COM CL A / CUSIP: 91823B109 / Symbol: UWMC							
08/18/21	12.000	89.78	08/06/21	91.26	...	-1.48	Sale
VICTORY SQUARE TECH INC COM / CUSIP: 92650P104 / Symbol: VSQTF							
07/27/21	50.000	11.25	03/31/21	40.80	...	-29.55	Sale
VINCO VENTURES INC COM / CUSIP: 927330100 / Symbol: BBIG							
07/26/21	4.000	13.74	06/09/21	22.84	...	-9.10	Sale
VISIONSTATE CORP COM / CUSIP: 92836B201 / Symbol: VSSSF							
08/09/21	200.000	2.39	03/31/21	25.61	...	-23.22	Sale
ADVANCED MICRO DEVICES INC AMD Jul 30 2021 105.0 Call / CUSIP: / Symbol: AMD 07/30/21 C 105.000							
07/30/21	1.000	76.34	07/30/21	155.66	...	-79.32	Option sale
ADVANCED MICRO DEVICES INC AMD Jul 30 2021 107.0 Call / CUSIP: / Symbol: AMD 07/30/21 C 107.000							
07/30/21	2.000	36.67	07/30/21	117.33	...	-80.66	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 277183423

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES INC AMD Aug 20 2021 113.0 Call / CUSIP: / Symbol: AMD 08/20/21 C 113.000							
08/02/21	1.000	281.34	08/02/21	261.66	...	19.68	Option sale
ADVANCED MICRO DEVICES INC AMD Aug 06 2021 104.0 Put / CUSIP: / Symbol: AMD 08/06/21 P 104.000							
08/04/21	1.000	13.34	07/30/21	243.66	...	-230.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Aug 06 2021 202.5 Call / CUSIP: / Symbol: BABA 08/06/21 C 202.500							
08/03/21	1.000	68.34	08/02/21	428.66	...	-360.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Aug 06 2021 192.5 Put / CUSIP: / Symbol: BABA 08/06/21 P 192.500							
08/06/21	1.000	0.00	08/03/21	296.66	...	-296.66	Option expiration
BLACKBERRY LTD BB Jun 18 2021 16.5 Call / CUSIP: / Symbol: BB 06/18/21 C 16.500							
06/18/21	1.000	0.00	06/08/21	201.66	...	-201.66	Option expiration
VINCO VENTURES INC BBIG Jun 18 2021 5.0 Call / CUSIP: / Symbol: BBIG 06/18/21 C 5.000							
06/18/21	1.000	0.00	06/04/21	95.66	...	-95.66	Option expiration
CLEAN ENERGY FUELS CORPORATION CLNE Jun 18 2021 13.0 Call / CUSIP: / Symbol: CLNE 06/18/21 C 13.000							
06/10/21	1.000	69.34	06/09/21	190.67	...	-121.33	Option sale
06/18/21	1.000	0.00	06/09/21	190.66	...	-190.66	Option expiration
	Security total:	69.34		381.33	...	-311.99	
CLOVER HEALTH INVESTMENTS CORP CLOV Jun 18 2021 12.0 Call / CUSIP: / Symbol: CLOV 06/18/21 C 12.000							
06/08/21	1.000	700.34	06/07/21	117.66	...	582.68	Option sale
ITERUM THERAPEUTICS PLC COM / CUSIP: G6333L101 / Symbol: ITRM							
06/09/21	40.000	71.60	06/02/21	61.88	...	9.72	Sale
QELL ACQUISITION CORP 1:1 EXC 9/15/21 N52586117 / CUSIP: G7307X113 / Symbol: QELLW							
03/15/21	10.000	27.46	03/04/21	22.50	...	4.96	Sale
07/19/21	40.000	61.22	03/04/21	90.00	...	-28.78	Sale
	Security total:	88.68		112.50	...	-23.82	
VECTOR ACQUISITION CORP 1:1 EXC 8/25/21 773122114 / CUSIP: G9442R118 / Symbol: VACQW							
07/06/21	20.000	70.00	03/16/21	68.00	...	2.00	Sale

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TD Ameritrade Clearing, Inc.

Account 277183423

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARVELL TECHNOLOGY INC MRVL Jun 11 2021		53.0 Call / CUSIP: / Symbol: MRVL 06/11/21 C 53.000					
06/11/21	1.000	0.00	06/09/21	15.66	...	-15.66	Option expiration
OPENDOOR TECHNOLOGIES INC OPEN Dec 17 2021		18.0 Call / CUSIP: / Symbol: OPEN 12/17/21 C 18.000					
11/09/21	1.000	439.34	06/07/21	350.66	...	88.68	Option sale
PURE STORAGE INC PSTG Jan 21 2022		20.0 Call / CUSIP: / Symbol: PSTG 01/21/22 C 20.000					
07/30/21	1.000	234.34	06/08/21	247.66	...	-13.32	Option sale
LORDSTOWN MOTORS CORP RIDE Jun 11 2021		10.0 Put / CUSIP: / Symbol: RIDE 06/11/21 P 10.000					
06/11/21	1.000	0.00	06/09/21	117.66	...	-117.66	Option expiration
ROCKET COMPANIES INC RKT Jun 18 2021		22.0 Call / CUSIP: / Symbol: RKT 06/18/21 C 22.000					
06/09/21	1.000	130.34	06/08/21	79.66	...	50.68	Option sale
SIRIUS XM HOLDINGS INC SIRI Jun 11 2021		8.0 Call / CUSIP: / Symbol: SIRI 06/11/21 C 8.000					
06/11/21	10.000	0.00	06/09/21	106.64	...	-106.64	Option expiration
SKILLZ INC SKLZ Jun 11 2021		24.0 Call / CUSIP: / Symbol: SKLZ 06/11/21 C 24.000					
06/11/21	1.000	0.00	06/09/21	125.66	...	-125.66	Option expiration
SKILLZ INC SKLZ Dec 17 2021		20.0 Call / CUSIP: / Symbol: SKLZ 12/17/21 C 20.000					
12/17/21	1.000	0.00	06/23/21	440.66	...	-440.66	Option expiration
ELECTRAMECCANICA VEHS CORP SOLO Jun 11 2021		5.0 Call / CUSIP: / Symbol: SOLO 06/11/21 C 5.000					
06/11/21	2.000	0.00	06/09/21	55.33	...	-55.33	Option expiration
TILRAY INC TLRY Jun 11 2021		21.0 Call / CUSIP: / Symbol: TLRY 06/11/21 C 21.000					
06/09/21	1.000	177.34	06/08/21	59.66	...	117.68	Option sale
TESLA INC TSLA Jul 30 2021		687.5 Call / CUSIP: / Symbol: TSLA 07/30/21 C 687.500					
07/30/21	1.000	496.34	07/30/21	699.66	...	-203.32	Option sale
TESLA INC TSLA Aug 06 2021		800.0 Call / CUSIP: / Symbol: TSLA 08/06/21 C 800.000					
08/03/21	1.000	30.34	08/02/21	64.66	...	-34.32	Option sale
Totals :		9,530.37		11,713.58		-2,183.21	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

01/20/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMEON ENTMNT CUSIP CHG 7/26/21 36468X106 / CUSIP: 9DD993229 / Symbol:							
07/27/21	0.038	0.01	N/A	Sale
Totals :		0.01		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 277183423

Detail for Dividends and Distributions

2021

01/20/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ALPHA LITHIUM CORPORATION 1:1 EXC 8/3/21 02075X103	02075W105		08/04/21	0.83	Qualified dividend	77
VICTORY SQUARE TECH INC COM	92650P104 VSQTF		05/27/21 05/27/21	0.70 -0.05	Qualified dividend Foreign tax withheld-CA	59
				0.70	Total Dividends & distributions	
				-0.05	Total Foreign tax withheld	
				1.53	Total Dividends & distributions	
				-0.05	Total Foreign tax withheld	

TD Ameritrade Clearing, Inc.

Account 277183423

Fees and Expenses

2021

01/20/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
REORG FEE 02075W105		08/05/21	-38.00	Miscellaneous fee	
REORG FEE 42981E104		05/18/21	-38.00	Miscellaneous fee	
REORG FEE 66979W107		04/05/21	-38.00	Miscellaneous fee	
REORG FEE 82932V102		03/26/21	-38.00	Miscellaneous fee	
REORG FEE 881608103		03/02/21	-38.00	Miscellaneous fee	
Interest Charged		01/29/21	-0.30	Margin interest paid	
		02/26/21	-1.12	Margin interest paid	
		03/31/21	-0.06	Margin interest paid	
		05/28/21	-0.02	Margin interest paid	
			-1.50	Total Margin interest paid	
NANO DIMENSION LTD ADR SPONSORED	63008G203 NNDM	01/13/21	-0.30	Depository receipt fee	
			-0.30	Total Depository receipt fee	
			-190.00	Total Miscellaneous fee	
			-1.50	Total Margin interest paid	

TD Ameritrade Clearing, Inc.

Account 277183423

Foreign Income and Taxes Summary

2021

01/20/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	ALPHA LITHIUM CORPORATION 1:1 EXC 8/3/21 02075X103	02075W105	0.00	0.83		0.00	0.83	0.00
CA	VICTORY SQUARE TECH INC COM	92650P104	0.00	0.70		0.00	0.70	-0.05
Total CANADA			0.00	1.53		0.00	1.53	-0.05
Grand Total	Total Foreign Source Income*			1.53				
	Qualified Foreign Source Income**			1.53				
	Foreign Tax							-0.05

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 59 The income amount reported is equal to the fair market value of Gameon Enterta Com (GMETF) shares received from the taxable spinoff from Victory Square Tech Inc Com (VSQTF).
- 77 The income amount reported is equal to the fair market value of Beta Energy Co Com (08660C107) shares received from the taxable spinoff from Alpha Lithium Corporation (02075W105).
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more

details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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