

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 122533656	Statement Date: 02/11/2022 Document ID: M188 MZE 1901	2021
	Kiran Mooledla 3342 Circle Brook Dr Apt-J Cave Spring, VA 24018 RECIPIENT'S TIN: XXX-XX-5528		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	51,276.32	60,334.63	0.00	5,519.78	-3,538.53
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.61	1.95	0.00	0.00	-0.34
	Total Short-term	51,277.93	60,336.58	0.00	5,519.78	-3,538.87
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	51,277.93	60,336.58	0.00	5,519.78	-3,538.87
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 122533656

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.13
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/16/21	1.000	132.98	02/10/21	156.00	...	-23.02	Option sale to close-call ²⁵
AAPL 01/29/2021 CALL \$160.00 / CUSIP: / Symbol:							
01/26/21	1.000	90.98	01/25/21	132.00	...	-41.02	Option sale to close-call ²⁵
AKBA 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/12/21	1.000	24.98	02/08/21	44.00	...	-19.02	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$242.50 / CUSIP: / Symbol:							
3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	1.000	364.98	12/28/20	334.00	...	30.96	1 of 3 - Option sale to close-call ²⁵
	1.000	454.97	12/31/20	335.00	...	119.97	2 of 3 - Option sale to close-call ²⁵
	1.000	439.98	01/04/21	164.00	...	275.98	3 of 3 - Option sale to close-call ²⁵
01/05/21	3.000	1,259.93	Various	833.02	...	426.91	Total of 3 transactions

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Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BABA 02/19/2021 CALL \$235.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,499.95	01/13/21	1,110.00	...	389.95	Option sale to close-call ²⁵
BABA 02/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/06/21	1.000	939.96	01/06/21	1,230.00	290.04 W	0.00	Option sale to close-call ²⁵
01/12/21	1.000	924.96	01/08/21	1,405.04	...	-480.08	Option sale to close-call ²⁵
Security total:		1,864.92		2,635.04	290.04 W	-480.08	
BABA 02/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
02/02/21	1.000	704.97	01/21/21	1,250.00	...	-545.03	Option sale to close-call ²⁵
BABA 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,244.96	01/13/21	1,380.00	...	-135.04	Option sale to close-call ²⁵
BABA 09/17/2021 CALL \$175.00 / CUSIP: / Symbol:							
09/07/21	1.000	399.98	09/02/21	420.00	...	-20.02	Option sale to close-call ²⁵
BMY 01/15/2021 PUT \$61.00 / CUSIP: / Symbol:							
<i>4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	74.99	01/06/21	62.00	...	12.99	1 of 4 - Option sale to close-put ²⁵
	1.000	74.99	01/06/21	60.00	...	14.99	2 of 4 - Option sale to close-put ²⁵
	2.000	149.98	01/06/21	120.00	...	29.98	3 of 4 - Option sale to close-put ²⁵
	2.000	149.98	01/06/21	120.00	...	29.98	4 of 4 - Option sale to close-put ²⁵
01/06/21	6.000	449.94	Various	362.00	...	87.94	Total of 4 transactions
BMY 02/26/2021 PUT \$60.00 / CUSIP: / Symbol:							
02/23/21	1.000	29.98	02/22/21	21.00	...	8.98	Option sale to close-put ²⁵
BMY 03/05/2021 CALL \$61.00 / CUSIP: / Symbol:							
<i>4 transactions for 03/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.99	03/03/21	31.00	...	6.99	1 of 4 - Option sale to close-call ²⁵
	1.000	37.98	03/03/21	20.00	...	17.98	2 of 4 - Option sale to close-call ²⁵
	2.000	75.98	03/03/21	40.00	...	35.98	3 of 4 - Option sale to close-call ²⁵
	2.000	75.99	03/03/21	40.00	...	35.99	4 of 4 - Option sale to close-call ²⁵
03/03/21	6.000	227.94	Various	131.00	...	96.94	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BMY 05/14/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	1.000	44.99	05/11/21	21.00	...	23.99	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	05/11/21	21.00	...	23.99	2 of 2 - Option sale to close-call ²⁵
05/12/21	2.000	89.98	Various	42.00	...	47.98	Total of 2 transactions
BMY 05/14/2021 PUT \$65.00 / CUSIP: / Symbol:							
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	1.000	60.00	05/10/21	40.00	...	20.00	1 of 3 - Option sale to close-put ²⁵
	2.000	119.99	05/10/21	80.00	...	39.99	2 of 3 - Option sale to close-put ²⁵
	2.000	119.99	05/10/21	78.00	...	41.99	3 of 3 - Option sale to close-put ²⁵
05/10/21	5.000	299.98	Various	198.00	...	101.98	Total of 3 transactions
BMY 05/21/2021 PUT \$65.00 / CUSIP: / Symbol:							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	05/13/21	76.00	1.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	74.98	05/13/21	76.00	1.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
05/14/21	2.000	149.96	Various	152.00	2.04 W	0.00	Total of 2 transactions
7 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	39.99	05/17/21	33.02	...	6.97	1 of 7 - Option sale to close-put ²⁵
	1.000	39.99	05/17/21	33.02	...	6.97	2 of 7 - Option sale to close-put ²⁵
	1.000	39.98	05/17/21	32.00	...	7.98	3 of 7 - Option sale to close-put ²⁵
	1.000	39.98	05/17/21	32.00	...	7.98	4 of 7 - Option sale to close-put ²⁵
	1.000	40.00	05/17/21	31.00	...	9.00	5 of 7 - Option sale to close-put ²⁵
	1.000	39.98	05/17/21	30.00	...	9.98	6 of 7 - Option sale to close-put ²⁵
	5.000	199.98	05/17/21	155.00	...	44.98	7 of 7 - Option sale to close-put ²⁵
05/18/21	11.000	439.90	Various	346.04	...	93.86	Total of 7 transactions
	Security total:	589.86		498.04	2.04 W	93.86	
BMY 05/21/2021 PUT \$66.00 / CUSIP: / Symbol:							
05/19/21	1.000	99.98	05/18/21	61.00	...	38.98	Option sale to close-put ²⁵

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Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 05/28/2021 PUT \$65.00 / CUSIP: / Symbol:							
5 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	3.00	05/20/21	70.01	...	-67.01	1 of 5 - Option sale to close-put ²⁵
	1.000	3.00	05/20/21	68.00	...	-65.00	2 of 5 - Option sale to close-put ²⁵
	1.000	2.99	05/20/21	58.00	...	-55.01	3 of 5 - Option sale to close-put ²⁵
	1.000	3.00	05/20/21	56.00	...	-53.00	4 of 5 - Option sale to close-put ²⁵
	1.000	2.99	05/20/21	52.01	...	-49.02	5 of 5 - Option sale to close-put ²⁵
05/27/21	5.000	14.98	Various	304.02	...	-289.04	Total of 5 transactions
4 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	5.99	05/20/21	100.00	94.01 W	0.00	1 of 4 - Option sale to close-put ²⁵
	1.000	3.00	05/20/21	40.00	37.00 W	0.00	2 of 4 - Option sale to close-put ²⁵
	1.000	3.00	05/20/21	39.00	36.00 W	0.00	3 of 4 - Option sale to close-put ²⁵
	1.000	2.99	05/20/21	35.00	32.01 W	0.00	4 of 4 - Option sale to close-put ²⁵
05/27/21	5.000	14.98	Various	214.00	199.02 W	0.00	Total of 4 transactions
	Security total:	29.96		518.02	199.02 W	-289.04	
BMY 05/28/2021 PUT \$66.00 / CUSIP: / Symbol:							
4 transactions for 05/25/21. Total proceeds and cost reported to the IRS.							
	1.000	18.98	05/20/21	37.00	18.02 W	0.00	1 of 4 - Option sale to close-put ²⁵
	1.000	19.99	05/20/21	55.02	35.03 W	0.00	2 of 4 - Option sale to close-put ²⁵
	1.000	19.99	05/20/21	37.00	17.01 W	0.00	3 of 4 - Option sale to close-put ²⁵
	1.000	19.98	05/20/21	72.03	52.05 W	0.00	4 of 4 - Option sale to close-put ²⁵
05/25/21	4.000	78.94	Various	201.05	122.11 W	0.00	Total of 4 transactions
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	1.000	39.99	05/20/21	54.01	...	-14.02	1 of 2 - Option sale to close-put ²⁵
	1.000	39.99	05/21/21	77.05	...	-37.06	2 of 2 - Option sale to close-put ²⁵
05/26/21	2.000	79.98	Various	131.06	...	-51.08	Total of 2 transactions
	Security total:	158.92		332.11	122.11 W	-51.08	
BMY 06/18/2021 PUT \$66.00 / CUSIP: / Symbol:							
06/17/21	1.000	9.98	06/10/21	27.00	...	-17.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 12/17/2021 PUT \$59.00 / CUSIP: / Symbol:							
12/14/21	1.000	64.98	12/13/21	52.00	...	12.98	Option sale to close-put ²⁵
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/14/21	36.00	...	-36.00	1 of 2 - Option expiration- long put
	1.000	0.00	12/15/21	12.00	...	-12.00	2 of 2 - Option expiration- long put
12/17/21	2.000	0.00	Various	48.00	...	-48.00	Total of 2 transactions
	Security total:	64.98		100.00	...	-35.02	
BRKB 06/04/2021 CALL \$290.00 / CUSIP: / Symbol:							
06/02/21	1.000	117.98	06/01/21	139.00	...	-21.02	Option sale to close-call ²⁵
CAT 06/04/2021 CALL \$245.00 / CUSIP: / Symbol:							
06/01/21	1.000	127.98	06/01/21	180.00	...	-52.02	Option sale to close-call ²⁵
CCIV 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/02/21	1.000	979.96	02/02/21	520.00	...	459.96	Option sale to close-call ²⁵
CCIV 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/16/21	1.000	609.97	02/16/21	410.00	...	199.97	Option sale to close-call ²⁵
02/17/21	1.000	399.98	02/17/21	350.00	...	49.98	Option sale to close-call ²⁵
	Security total:	1,009.95		760.00	...	249.95	
CCJ 03/19/2021 CALL \$17.00 / CUSIP: / Symbol:							
02/16/21	1.000	114.98	02/10/21	109.00	...	5.98	Option sale to close-call ²⁵
CGEN 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/03/21	49.00	...	-49.00	1 of 5 - Option expiration- long call
	1.000	0.00	02/03/21	45.00	...	-45.00	2 of 5 - Option expiration- long call
	1.000	0.00	02/03/21	40.00	...	-40.00	3 of 5 - Option expiration- long call
	1.000	0.00	02/04/21	25.00	...	-25.00	4 of 5 - Option expiration- long call
	1.000	0.00	02/04/21	25.00	...	-25.00	5 of 5 - Option expiration- long call
02/19/21	5.000	0.00	Various	184.00	...	-184.00	Total of 5 transactions
CLOV 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/09/21	1.000	145.98	02/05/21	125.00	...	20.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRIS 05/21/2021 PUT \$10.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	05/12/21	35.00	...	-35.00	Option expiration- long put
CRWD 11/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
11/17/21	1.000	419.98	11/15/21	420.00	...	-0.02	Option sale to close-call ²⁵
CRWD 12/03/2021 CALL \$240.00 / CUSIP: / Symbol:							
12/01/21	1.000	144.98	11/30/21	291.00	...	-146.02	Option sale to close-call ²⁵
DBI 06/18/2021 CALL \$17.50 / CUSIP: / Symbol:							
06/08/21	1.000	49.98	06/04/21	40.00	...	9.98	Option sale to close-call ²⁵
DDD 06/11/2021 CALL \$31.00 / CUSIP: / Symbol:							
06/07/21	1.000	124.98	06/03/21	130.00	...	-5.02	Option sale to close-call ²⁵
DKNG 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
02/04/21	1.000	434.98	02/03/21	330.00	...	104.98	Option sale to close-call ²⁵
DY 12/17/2021 PUT \$90.00 / CUSIP: / Symbol:							
11/30/21	1.000	199.98	11/23/21	200.00	...	-0.02	Option sale to close-put ²⁵
EBON 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/01/21	1.000	94.98	02/25/21	93.00	...	1.98	Option sale to close-call ²⁵
EBON 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/24/21	1.000	59.98	02/23/21	35.00	...	24.98	Option sale to close-call ²⁵
FB 01/15/2021 CALL \$270.00 / CUSIP: / Symbol:							
01/12/21	1.000	73.98	01/08/21	244.00	...	-170.02	Option sale to close-call ²⁵
FB 01/29/2021 CALL \$280.00 / CUSIP: / Symbol:							
01/28/21	1.000	417.98	01/21/21	630.00	...	-212.02	Option sale to close-call ²⁵
FB 02/05/2021 CALL \$270.00 / CUSIP: / Symbol:							
01/29/21	1.000	238.98	01/28/21	590.00	...	-351.02	Option sale to close-call ²⁵
FB 02/19/2021 CALL \$255.00 / CUSIP: / Symbol:							
01/21/21	1.000	2,199.94	01/13/21	1,290.00	...	909.94	Option sale to close-call ²⁵

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(continued)

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FB 12/31/2021 CALL \$345.00 / CUSIP: / Symbol:							
12/30/21	1.000	299.98	12/29/21	237.00	...	62.98	Option sale to close-call ²⁵
FE 02/19/2021 CALL \$34.00 / CUSIP: / Symbol:							
<i>5 transactions for 02/18/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	33.99	01/27/21	19.00	...	14.99	1 of 5 - Option sale to close-call ²⁵
	1.000	33.99	01/27/21	18.00	...	15.99	2 of 5 - Option sale to close-call ²⁵
	1.000	49.98	01/27/21	25.00	...	24.98	3 of 5 - Option sale to close-call ²⁵
	1.000	59.98	01/28/21	25.00	...	34.98	4 of 5 - Option sale to close-call ²⁵
	1.000	59.98	01/28/21	24.00	...	35.98	5 of 5 - Option sale to close-call ²⁵
02/18/21	5.000	237.92	Various	111.00	...	126.92	Total of 5 transactions
FUBO 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
11/01/21	1.000	549.98	09/08/21	480.00	...	69.98	Option sale to close-call ²⁵
11/10/21	1.000	344.98	09/21/21	285.00	...	59.98	Option sale to close-call ²⁵
	Security total:	894.96		765.00	...	129.96	
FUBO 02/26/2021 CALL \$46.00 / CUSIP: / Symbol:							
02/17/21	1.000	379.98	02/16/21	310.00	...	69.98	Option sale to close-call ²⁵
FUBO 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/13/21	1.000	1,194.96	01/12/21	935.00	...	259.96	Option sale to close-call ²⁵
FUBO 05/28/2021 PUT \$22.50 / CUSIP: / Symbol:							
<i>2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.99	05/26/21	67.00	...	-47.01	1 of 2 - Option sale to close-put ²⁵
	1.000	19.99	05/26/21	24.00	...	-4.01	2 of 2 - Option sale to close-put ²⁵
05/27/21	2.000	39.98	Various	91.00	...	-51.02	Total of 2 transactions
FUBO 05/28/2021 PUT \$24.50 / CUSIP: / Symbol:							
05/28/21	1.000	38.98	05/27/21	39.00	...	-0.02	Option sale to close-put ²⁵
FUBO 06/04/2021 PUT \$28.00 / CUSIP: / Symbol:							
06/03/21	1.000	112.98	06/02/21	119.00	...	-6.02	Option sale to close-put ²⁵
FUBO 06/11/2021 PUT \$29.00 / CUSIP: / Symbol:							
06/08/21	1.000	74.98	06/07/21	121.00	...	-46.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENI 12/17/2021 CALL \$12.50 / CUSIP: / Symbol: 11/24/21	1.000	49.98	11/23/21	45.00	...	4.98	Option sale to close-call ²⁵
GEVO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol: 03/19/21	1.000	0.00	02/18/21	210.00	...	-210.00	Option expiration- long call
GEVO 06/18/2021 CALL \$10.00 / CUSIP: / Symbol: 06/18/21	1.000	0.00	06/08/21	50.00	...	-50.00	Option expiration- long call
HIMS 02/19/2021 CALL \$22.50 / CUSIP: / Symbol: 02/19/21	1.000	0.00	02/16/21	55.00	...	-55.00	Option expiration- long call
IBM 01/29/2021 CALL \$120.00 / CUSIP: / Symbol: 01/25/21	1.000	91.98	01/22/21	155.00	...	-63.02	Option sale to close-call ²⁵
IPOD 02/19/2021 CALL \$20.00 / CUSIP: / Symbol: 02/11/21	1.000	20.98	02/03/21	90.00	...	-69.02	Option sale to close-call ²⁵
IPOF 02/19/2021 CALL \$15.00 / CUSIP: / Symbol: 02/12/21	1.000	66.98	02/03/21	160.00	...	-93.02	Option sale to close-call ²⁵
KNDI 06/18/2021 CALL \$7.00 / CUSIP: / Symbol: 06/04/21	1.000	39.98	03/10/21	153.00	...	-113.02	Option sale to close-call ²⁵
LCID 01/21/2022 CALL \$45.00 / CUSIP: / Symbol: 12/09/21	1.000	459.98	12/07/21	775.00	...	-315.02	Option sale to close-call ²⁵
LCID 10/01/2021 CALL \$25.00 / CUSIP: / Symbol: 09/23/21	1.000	230.98	09/22/21	184.00	...	46.98	Option sale to close-call ²⁵
LKQ 03/19/2021 CALL \$42.50 / CUSIP: / Symbol: 02/25/21	1.000	49.98	02/18/21	56.00	...	-6.02	Option sale to close-call ²⁵
LUMN 03/19/2021 CALL \$12.50 / CUSIP: / Symbol: 01/22/21	1.000	22.98	01/15/21	35.00	...	-12.02	Option sale to close-call ²⁵
MAR 11/12/2021 CALL \$160.00 / CUSIP: / Symbol: 11/03/21	1.000	349.98	11/03/21	272.00	...	77.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MCF 06/18/2021 CALL \$6.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/08/21	25.00	...	-25.00	Option expiration- long call
MPC 01/15/2021 PUT \$43.50 / CUSIP: / Symbol:							
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	01/07/21	77.00	...	8.00	1 of 3 - Option sale to close-put ²⁵
	2.000	169.99	01/07/21	156.00	...	13.99	2 of 3 - Option sale to close-put ²⁵
	2.000	169.99	01/07/21	156.00	...	13.99	3 of 3 - Option sale to close-put ²⁵
01/07/21	5.000	424.98	Various	389.00	...	35.98	Total of 3 transactions
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	1.000	85.00	01/07/21	120.00	35.00 W	0.00	1 of 2 - Option sale to close-put ²⁵
	4.000	339.98	01/07/21	460.00	120.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
01/07/21	5.000	424.98	Various	580.00	155.02 W	0.00	Total of 2 transactions
4 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	4.000	259.98	01/07/21	432.02	...	-172.04	1 of 4 - Option sale to close-put ²⁵
	1.000	65.00	01/07/21	113.00	...	-48.00	2 of 4 - Option sale to close-put ²⁵
	1.000	65.00	01/07/21	78.00	...	-13.00	3 of 4 - Option sale to close-put ²⁵
	1.000	64.99	01/07/21	73.00	...	-8.01	4 of 4 - Option sale to close-put ²⁵
01/08/21	7.000	454.97	Various	696.02	...	-241.05	Total of 4 transactions
	Security total:	1,304.93		1,665.02	155.02 W	-205.07	
MPC 02/12/2021 PUT \$46.00 / CUSIP: / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/03/21	72.00	...	-72.00	1 of 3 - Option expiration- long put
	1.000	0.00	02/08/21	19.00	...	-19.00	2 of 3 - Option expiration- long put
	1.000	0.00	02/08/21	8.00	...	-8.00	3 of 3 - Option expiration- long put
02/12/21	3.000	0.00	Various	99.00	...	-99.00	Total of 3 transactions
MPC 05/14/2021 CALL \$59.00 / CUSIP: / Symbol:							
05/13/21	1.000	109.98	05/12/21	89.00	...	20.98	Option sale to close-call ²⁵
MPC 05/14/2021 PUT \$60.00 / CUSIP: / Symbol:							
05/12/21	1.000	124.98	05/12/21	80.00	...	44.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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MPC 05/21/2021 CALL \$61.00 / CUSIP: / Symbol:							
6 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	05/20/21	78.02	...	-68.03	1 of 6 - Option sale to close-call ²⁵
	1.000	5.00	05/20/21	56.00	...	-51.00	2 of 6 - Option sale to close-call ²⁵
	1.000	5.00	05/20/21	41.01	...	-36.01	3 of 6 - Option sale to close-call ²⁵
	1.000	5.00	05/20/21	40.00	...	-35.00	4 of 6 - Option sale to close-call ²⁵
	2.000	9.99	05/20/21	22.01	...	-12.02	5 of 6 - Option sale to close-call ²⁵
	3.000	14.99	05/20/21	27.01	...	-12.02	6 of 6 - Option sale to close-call ²⁵
05/21/21	10.000	49.97	Various	264.05	...	-214.08	Total of 6 transactions
10 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	5.00	05/18/21	52.00	47.00 W	0.00	1 of 10 - Option sale to close-call ²⁵
	1.000	5.00	05/18/21	36.00	31.00 W	0.00	2 of 10 - Option sale to close-call ²⁵
	1.000	5.00	05/18/21	37.00	32.00 W	0.00	3 of 10 - Option sale to close-call ²⁵
	2.000	9.99	05/18/21	74.00	64.01 W	0.00	4 of 10 - Option sale to close-call ²⁵
	2.000	9.99	05/19/21	24.00	14.01 W	0.00	5 of 10 - Option sale to close-call ²⁵
	3.000	14.99	05/19/21	30.00	15.01 W	0.00	6 of 10 - Option sale to close-call ²⁵
	1.000	5.00	05/19/21	57.00	52.00 W	0.00	7 of 10 - Option sale to close-call ²⁵
	1.000	5.00	05/19/21	41.00	36.00 W	0.00	8 of 10 - Option sale to close-call ²⁵
	1.000	4.99	05/19/21	42.00	37.01 W	0.00	9 of 10 - Option sale to close-call ²⁵
	2.000	9.99	05/19/21	80.01	70.02 W	0.00	10 of 10 - Option sale to close-call ²⁵
05/21/21	15.000	74.95	Various	473.01	398.06 W	0.00	Total of 10 transactions
	Security total:	124.92		737.06	398.06 W	-214.08	

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MPC 05/21/2021 PUT \$61.00 / CUSIP: / Symbol:							
4 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	70.00	05/17/21	96.00	...	-26.00	1 of 4 - Option sale to close-put ²⁵
	1.000	70.00	05/17/21	93.00	...	-23.00	2 of 4 - Option sale to close-put ²⁵
	1.000	69.99	05/18/21	48.00	...	21.99	3 of 4 - Option sale to close-put ²⁵
	3.000	209.99	05/18/21	144.00	...	65.99	4 of 4 - Option sale to close-put ²⁵
05/18/21	6.000	419.98	Various	381.00	...	38.98	Total of 4 transactions
MRNA 01/21/2022 CALL \$300.00 / CUSIP: / Symbol:							
12/06/21	1.000	1,749.98	12/06/21	1,600.00	...	149.98	Option sale to close-call ²⁵
MRNA 12/17/2021 CALL \$245.00 / CUSIP: / Symbol:							
12/10/21	1.000	1,219.98	12/10/21	950.00	...	269.98	Option sale to close-call ²⁵
MRNA 12/31/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/30/21	1.000	499.98	12/30/21	315.00	...	184.98	Option sale to close-call ²⁵
MRNA 12/31/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	273.98	12/22/21	1,010.00	736.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	216.98	12/28/21	1,086.02	869.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/29/21	2.000	490.96	Various	2,096.02	1,605.06 W	0.00	Total of 2 transactions
12/30/21	1.000	157.98	12/28/21	1,090.04	...	-932.06	Option sale to close-call ²⁵
	Security total:	648.94		3,186.06	1,605.06 W	-932.06	
MSFT 03/05/2021 CALL \$235.00 / CUSIP: / Symbol:							
03/03/21	1.000	67.98	03/02/21	219.00	...	-151.02	Option sale to close-call ²⁵
MSFT 06/04/2021 CALL \$252.50 / CUSIP: / Symbol:							
05/28/21	1.000	131.98	05/27/21	137.00	...	-5.02	Option sale to close-call ²⁵
MSFT 12/17/2021 CALL \$335.00 / CUSIP: / Symbol:							
12/09/21	1.000	434.98	12/09/21	395.00	...	39.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MSFT 12/23/2021 CALL \$335.00 / CUSIP: / Symbol:							
12/15/21	1.000	399.98	12/14/21	370.00	...	29.98	Option sale to close-call ²⁵
12/23/21	1.000	69.98	12/20/21	326.04	...	-256.06	Option sale to close-call ²⁵
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	39.98	12/16/21	305.00	265.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	12/17/21	351.02	311.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/23/21	2.000	79.96	Various	656.02	576.06 W	0.00	Total of 2 transactions
Security total:		549.92		1,352.06	576.06 W	-226.08	
MSFT 12/31/2021 CALL \$340.00 / CUSIP: / Symbol:							
7 transactions for 12/31/21. Total proceeds and cost reported to the IRS.							
	1.000	3.00	12/30/21	205.00	...	-202.00	1 of 7 - Option sale to close-call ²⁵
	1.000	3.00	12/31/21	43.00	...	-40.00	2 of 7 - Option sale to close-call ²⁵
	1.000	3.00	12/31/21	30.00	...	-27.00	3 of 7 - Option sale to close-call ²⁵
	1.000	3.00	12/31/21	28.00	...	-25.00	4 of 7 - Option sale to close-call ²⁵
	1.000	2.99	12/31/21	20.00	...	-17.01	5 of 7 - Option sale to close-call ²⁵
	1.000	3.00	12/31/21	13.00	...	-10.00	6 of 7 - Option sale to close-call ²⁵
	1.000	2.99	12/31/21	10.00	...	-7.01	7 of 7 - Option sale to close-call ²⁵
12/31/21	7.000	20.98	Various	349.00	...	-328.02	Total of 7 transactions
NDAQ 12/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/15/21	1.000	239.98	12/14/21	159.00	...	80.98	Option sale to close-call ²⁵
NET 02/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
01/28/21	1.000	719.97	01/28/21	620.00	...	99.97	Option sale to close-call ²⁵
NIO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
11/23/21	1.000	244.98	11/04/21	254.00	...	-9.02	Option sale to close-call ²⁵
NIO 02/12/2021 CALL \$58.00 / CUSIP: / Symbol:							
02/08/21	1.000	231.98	02/04/21	255.00	...	-23.02	Option sale to close-call ²⁵
NIO 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/07/21	1.000	909.96	01/06/21	785.00	...	124.96	Option sale to close-call ²⁵
NKLA 01/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
01/06/21	1.000	124.98	12/30/20	125.00	...	-0.02	Option sale to close-call ²⁵

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Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NKLA 02/05/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/03/21	1.000	55.98	02/02/21	189.04	...	-133.06	Option sale to close-call ²⁵
	<i>2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	57.98	01/28/21	195.00	137.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	84.98	01/29/21	248.02	163.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/03/21	2.000	142.96	Various	443.02	300.06 W	0.00	Total of 2 transactions
	Security total:	198.94		632.06	300.06 W	-133.06	
NNDM 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/08/21	1.000	196.98	02/03/21	227.00	...	-30.02	Option sale to close-call ²⁵
OCGN 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/10/21	1.000	154.98	03/04/21	230.00	...	-75.02	Option sale to close-call ²⁵
OCGN 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	22.98	05/12/21	80.00	57.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	20.98	05/13/21	103.02	82.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/18/21	2.000	43.96	Various	183.02	139.06 W	0.00	Total of 2 transactions
05/20/21	1.000	13.98	05/17/21	108.04	...	-94.06	Option sale to close-call ²⁵
	Security total:	57.94		291.06	139.06 W	-94.06	
OCGN 11/12/2021 CALL \$14.00 / CUSIP: / Symbol:							
	<i>3 transactions for 11/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	11/03/21	264.00	...	-264.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/04/21	114.00	...	-114.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/09/21	12.00	...	-12.00	3 of 3 - Option expiration- long call
11/12/21	3.000	0.00	Various	390.00	...	-390.00	Total of 3 transactions
PLTR 01/20/2023 CALL \$22.00 / CUSIP: / Symbol:							
08/26/21	1.000	779.98	07/15/21	570.00	...	209.98	Option sale to close-call ²⁵
PLTR 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/13/21	1.000	54.98	05/12/21	54.00	...	0.98	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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PLUG 12/31/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/30/21	1.000	22.98	12/28/21	35.00	...	-12.02	Option sale to close-call ²⁵
PTON 01/21/2022 CALL \$38.00 / CUSIP: / Symbol:							
12/23/21	1.000	359.98	12/23/21	248.00	...	111.98	Option sale to close-call ²⁵
PTON 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/22/21	1.000	309.98	12/21/21	288.00	...	21.98	Option sale to close-call ²⁵
PTON 03/18/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/17/21	1.000	799.98	12/13/21	700.00	...	99.98	Option sale to close-call ²⁵
PTON 04/14/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/13/21	1.000	749.98	12/10/21	650.00	...	99.98	Option sale to close-call ²⁵
PYPL 06/17/2022 CALL \$200.00 / CUSIP: / Symbol:							
12/03/21	1.000	1,654.98	12/03/21	1,530.00	...	124.98	Option sale to close-call ²⁵
PYPL 11/12/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/03/21	1.000	639.98	11/03/21	560.00	...	79.98	Option sale to close-call ²⁵
PYPL 11/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
11/12/21	1.000	389.98	11/10/21	310.00	...	79.98	Option sale to close-call ²⁵
PYPL 11/26/2021 CALL \$210.00 / CUSIP: / Symbol:							
11/23/21	1.000	12.99	11/18/21	171.00	...	-158.01	Option sale to close-call ²⁵
11/23/21	1.000	12.99	11/17/21	375.00	362.01 W	0.00	Option sale to close-call ²⁵
11/26/21	1.000	0.00	11/19/21	427.01	...	-427.01	Option expiration- long call
Security total:		25.98		973.01	362.01 W	-585.02	
PYPL 12/03/2021 CALL \$185.00 / CUSIP: / Symbol:							
11/30/21	1.000	298.98	11/30/21	194.00	...	104.98	Option sale to close-call ²⁵
QS 01/08/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/05/21	1.000	229.98	01/04/21	285.00	...	-55.02	Option sale to close-call ²⁵

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QS 05/28/2021 CALL \$28.00 / CUSIP: / Symbol:							
05/26/21	1.000	39.98	05/20/21	125.00	85.02 W	0.00	Option sale to close-call ²⁵
05/27/21	1.000	14.98	05/21/21	195.02	...	-180.04	Option sale to close-call ²⁵
	Security total:	54.96		320.02	85.02 W	-180.04	
RIVN 12/31/2021 CALL \$100.00 / CUSIP: / Symbol:							
12/21/21	1.000	327.98	12/17/21	740.00	...	-412.02	Option sale to close-call ²⁵
ROKU 12/17/2021 CALL \$210.00 / CUSIP: / Symbol:							
12/15/21	1.000	329.98	12/15/21	400.00	...	-70.02	Option sale to close-call ²⁵
ROKU 12/23/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/23/21	1.000	249.98	12/23/21	150.00	...	99.98	Option sale to close-call ²⁵
SENS 07/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/03/21	1.000	149.98	02/02/21	150.00	...	-0.02	Option sale to close-call ²⁵
SKLZ 01/20/2023 CALL \$15.00 / CUSIP: / Symbol:							
08/31/21	1.000	369.98	07/27/21	530.00	160.02 W	0.00	Option sale to close-call ²⁵
09/01/21	1.000	471.98	08/26/21	455.02	...	16.96	Option sale to close-call ²⁵
	Security total:	841.96		985.02	160.02 W	16.96	
SKLZ 02/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
02/16/21	1.000	44.98	02/12/21	404.04	...	-359.06	Option sale to close-call ²⁵
		<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	69.98	02/05/21	304.00	234.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	02/10/21	419.02	359.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/16/21	2.000	129.96	Various	723.02	593.06 W	0.00	Total of 2 transactions
	Security total:	174.94		1,127.06	593.06 W	-359.06	
SKLZ 06/04/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/03/21	1.000	109.98	06/03/21	100.00	...	9.98	Option sale to close-call ²⁵
SKLZ 07/23/2021 CALL \$16.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/16/21	25.00	...	-25.00	Option expiration- long call

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SNAP 01/21/2022 CALL \$47.00 / CUSIP: / Symbol:							
12/16/21	1.000	344.98	12/15/21	248.00	...	96.98	Option sale to close-call ²⁵
SNAP 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/22/21	1.000	296.98	01/21/21	268.00	...	28.98	Option sale to close-call ²⁵
01/28/21	1.000	324.98	01/22/21	297.00	...	27.98	Option sale to close-call ²⁵
Security total:		621.96		565.00	...	56.96	
SNAP 04/14/2022 CALL \$45.00 / CUSIP: / Symbol:							
12/22/21	1.000	674.98	12/16/21	651.00	...	23.98	Option sale to close-call ²⁵
SNAP 10/29/2021 CALL \$60.00 / CUSIP: / Symbol:							
10/29/21	1.000	0.00	10/25/21	60.00	...	-60.00	Option expiration- long call
SOS 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/02/21	1.000	89.98	02/22/21	163.00	...	-73.02	Option sale to close-call ²⁵
STNE 01/21/2022 CALL \$47.00 / CUSIP: / Symbol:							
10/20/21	1.000	139.98	09/02/21	500.00	360.02 W	0.00	Option sale to close-call ²⁵
STNE 07/15/2022 CALL \$18.00 / CUSIP: / Symbol:							
12/28/21	1.000	371.98	12/23/21	350.00	...	21.98	Option sale to close-call ²⁵
TLRY 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/05/21	1.000	207.98	02/03/21	286.00	78.02 W	0.00	Option sale to close-call ²⁵
02/08/21	1.000	230.98	02/04/21	233.02	...	-2.04	Option sale to close-call ²⁵
Security total:		438.96		519.02	78.02 W	-2.04	
TQQQ 12/17/2021 CALL \$156.00 / CUSIP: / Symbol:							
12/15/21	1.000	379.98	12/14/21	395.00	...	-15.02	Option sale to close-call ²⁵
TQQQ 12/17/2021 PUT \$158.00 / CUSIP: / Symbol:							
12/16/21	1.000	100.98	12/15/21	185.00	...	-84.02	Option sale to close-put ²⁵
TRIL 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/16/21	1.000	84.98	02/10/21	150.00	...	-65.02	Option sale to close-call ²⁵

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UAL 02/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/03/21	1.000	159.98	01/29/21	201.00	...	-41.02	Option sale to close-call ²⁵
WISH 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
07/29/21	1.000	284.98	07/16/21	225.00	...	59.98	Option sale to close-call ²⁵
WISH 12/16/2022 CALL \$5.00 / CUSIP: / Symbol:							
09/01/21	1.000	404.98	08/26/21	345.00	...	59.98	Option sale to close-call ²⁵
WKHS 03/05/2021 CALL \$16.00 / CUSIP: / Symbol:							
03/02/21	1.000	139.98	03/01/21	95.00	...	44.98	Option sale to close-call ²⁵
X 03/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
02/22/21	1.000	188.98	02/16/21	115.00	...	73.98	Option sale to close-call ²⁵
XPEV 03/12/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	15.99	02/16/21	510.00	...	-494.01	1 of 2 - Option sale to close-call ²⁵
	1.000	15.99	02/18/21	298.00	...	-282.01	2 of 2 - Option sale to close-call ²⁵
03/03/21	2.000	31.98	Various	808.00	...	-776.02	Total of 2 transactions
ZM 12/03/2021 CALL \$212.50 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	12/01/21	149.00	...	-149.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	5.000	10.30	12/22/20	12.85	...	-2.55	1 of 2 - Sale ²⁵
	1.000	2.06	12/28/20	2.89	...	-0.83	2 of 2 - Sale ²⁵
01/07/21	6.000	12.36	Various	15.74	...	-3.38	Total of 2 transactions
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	10.000	24.72	02/08/21	26.49	...	-1.77	1 of 3 - Sale ²⁵
	8.000	19.77	02/08/21	21.24	...	-1.47	2 of 3 - Sale ²⁵
	10.000	24.72	02/08/21	22.18	...	2.54	3 of 3 - Sale ²⁵
02/09/21	28.000	69.21	Various	69.91	...	-0.70	Total of 3 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RENOVARE ENVIRONMENTAL, INC. C OMMON STOCK / CUSIP: 09074B107 / Symbol:							
02/09/21	50.000	94.00	02/08/21	91.95	...	2.05	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
01/29/21	1.000	1.12	01/14/21	0.92	...	0.20	Sale ²⁵
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
01/29/21	3.000	4.35	01/28/21	6.09	...	-1.74	Sale ²⁵
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
05/13/21	50.000	406.50	05/11/21	600.00	34.83 W	-158.67	Sale ²⁵
	3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	45.000	415.84	05/11/21	540.00	...	-124.16	1 of 3 - Sale ²⁵
	5.000	46.21	05/11/21	60.00	...	-13.79	2 of 3 - Sale ²⁵
	9.000	83.16	05/12/21	125.64	...	-42.48	3 of 3 - Sale ²⁵
05/20/21	59.000	545.21	Various	725.64	...	-180.43	Total of 3 transactions
	Security total:	951.71		1,325.64	34.83 W	-339.10	
EASTSIDE DISTILLING, INC. COMM ON STOCK / CUSIP: 277802302 / Symbol:							
	4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	4.000	9.71	02/09/21	10.44	...	-0.73	1 of 4 - Sale ²⁵
	1.000	2.43	02/09/21	2.61	...	-0.18	2 of 4 - Sale ²⁵
	35.000	96.46	02/09/21	86.37	...	10.09	3 of 4 - Sale ²⁵
	115.000	316.95	02/09/21	297.85	...	19.10	4 of 4 - Sale ²⁵
02/10/21	155.000	425.55	Various	397.27	...	28.28	Total of 4 transactions
	3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	40.000	97.13	02/09/21	103.60	0.65 W	-5.82	1 of 3 - Sale ²⁵
	15.000	37.42	02/09/21	39.15	1.73 W	0.00	2 of 3 - Sale ²⁵
	1.000	2.49	02/09/21	2.61	0.12 W	0.00	3 of 3 - Sale ²⁵
02/10/21	56.000	137.04	Various	145.36	2.50 W	-5.82	Total of 3 transactions
	7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	29.000	67.54	02/09/21	72.93	...	-5.39	1 of 7 - Sale ²⁵
	6.000	13.98	02/09/21	15.68	...	-1.70	2 of 7 - Sale ²⁵
	4.000	9.32	02/09/21	10.65	...	-1.33	3 of 7 - Sale ²⁵
	5.000	11.65	02/09/21	12.45	...	-0.80	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTSIDE DISTILLING, INC. COMM ON STOCK / CUSIP: 277802302 / Symbol: (cont'd)							
	4.000	9.32	02/09/21	9.95	...	-0.63	5 of 7 - Sale ²⁵
	1.000	2.33	02/09/21	2.49	...	-0.16	6 of 7 - Sale ²⁵
	1.000	2.37	02/09/21	2.28	...	0.09	7 of 7 - Sale ²⁵
02/11/21	50.000	116.51	Various	126.43	...	-9.92	Total of 7 transactions
	6 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	29.000	68.73	02/09/21	75.69	6.96 W	0.00	1 of 6 - Sale ²⁵
	4.000	9.48	02/09/21	11.03	1.55 W	0.00	2 of 6 - Sale ²⁵
	6.000	14.22	02/09/21	16.25	2.03 W	0.00	3 of 6 - Sale ²⁵
	5.000	11.85	02/09/21	12.92	1.07 W	0.00	4 of 6 - Sale ²⁵
	1.000	2.37	02/09/21	2.59	0.22 W	0.00	5 of 6 - Sale ²⁵
	4.000	9.48	02/09/21	10.33	0.85 W	0.00	6 of 6 - Sale ²⁵
02/11/21	49.000	116.13	Various	128.81	12.68 W	0.00	Total of 6 transactions
	Security total:	795.23		797.87	15.18 W	12.54	
EXELA TECHNOLOGIES, INC. COMM N STOCK / CUSIP: 30162V102 / Symbol:							
	3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	2.000	2.01	01/12/21	1.29	...	0.72	1 of 3 - Sale ²⁵
	8.000	8.04	01/13/21	7.81	...	0.23	2 of 3 - Sale ²⁵
	10.000	10.05	01/13/21	9.68	...	0.37	3 of 3 - Sale ²⁵
01/22/21	20.000	20.10	Various	18.78	...	1.32	Total of 3 transactions
EXELA TECHNOLOGIES, INC. COMM N STOCK / CUSIP: 30162V409 / Symbol:							
01/27/21	10.000	23.45	01/13/21	29.28	5.83 W	0.00	Sale ²⁵
01/28/21	1.667	3.50	01/13/21	5.36	...	-1.86	Sale ²⁵
01/28/21	3.333	7.00	01/13/21	9.76	2.21 W	-0.55	Sale ²⁵
	5 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	1.667	3.35	01/13/21	5.35	...	-2.00	1 of 5 - Sale ²⁵
	3.333	6.70	01/14/21	10.32	...	-3.62	2 of 5 - Sale ²⁵
	3.333	6.71	01/14/21	9.98	...	-3.27	3 of 5 - Sale ²⁵
	1.667	3.35	01/14/21	5.39	...	-2.04	4 of 5 - Sale ²⁵
	1.000	2.01	01/14/21	3.23	...	-1.22	5 of 5 - Sale ²⁵
01/29/21	11.000	22.12	Various	34.27	...	-12.15	Total of 5 transactions
	Security total:	56.07		78.67	8.04 W	-14.56	

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
9 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	3.000	61.23	05/10/21	53.57	...	7.66	1 of 9 - Sale ²⁵
	2.000	41.42	05/10/21	32.14	...	9.28	2 of 9 - Sale ²⁵
	3.000	61.23	05/10/21	51.13	...	10.10	3 of 9 - Sale ²⁵
	3.000	61.23	05/10/21	50.37	...	10.86	4 of 9 - Sale ²⁵
	4.000	81.64	05/10/21	69.97	...	11.67	5 of 9 - Sale ²⁵
	3.000	61.50	05/10/21	48.62	...	12.88	6 of 9 - Sale ²⁵
	7.000	136.50	05/10/21	119.29	...	17.21	7 of 9 - Sale ²⁵
	7.000	142.87	05/10/21	113.45	...	29.42	8 of 9 - Sale ²⁵
	7.000	143.50	05/10/21	112.49	...	31.01	9 of 9 - Sale ²⁵
05/11/21	39.000	791.12	Various	651.03	...	140.09	Total of 9 transactions
4 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	27.000	475.22	05/04/21	497.85	22.63 W	0.00	1 of 4 - Sale ²⁵
	3.000	52.80	05/04/21	56.40	3.60 W	0.00	2 of 4 - Sale ²⁵
	10.000	192.50	05/04/21	196.37	3.87 W	0.00	3 of 4 - Sale ²⁵
	13.000	253.50	05/04/21	255.28	1.78 W	0.00	4 of 4 - Sale ²⁵
05/11/21	53.000	974.02	Various	1,005.90	31.88 W	0.00	Total of 4 transactions
05/20/21	1.000	20.41	05/10/21	16.07	...	4.34	Sale ²⁵
	Security total:	1,785.55		1,673.00	31.88 W	144.43	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/13/21	10.000	74.60	01/12/21	54.30	...	20.30	Sale ²⁵
01/14/21	2.000	14.29	01/12/21	10.86	...	3.43	Sale ²⁵
01/15/21	5.000	33.40	01/13/21	34.88	1.48 W	0.00	Sale ²⁵
01/21/21	5.000	44.55	01/13/21	34.87	...	9.68	Sale ²⁵
01/22/21	2.000	18.52	01/13/21	13.69	...	4.83	Sale ²⁵
01/27/21	1.000	12.84	01/13/21	6.90	...	5.94	Sale ²⁵
01/28/21	1.000	11.17	01/13/21	6.90	...	4.27	Sale ²⁵
01/29/21	1.000	10.35	01/13/21	6.89	...	3.46	Sale ²⁵
02/03/21	2.000	24.41	01/13/21	13.20	...	11.21	Sale ²⁵
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	5.000	58.00	01/13/21	33.00	...	25.00	1 of 2 - Sale ²⁵
	13.000	150.80	02/02/21	144.95	...	5.85	2 of 2 - Sale ²⁵
02/05/21	18.000	208.80	Various	177.95	...	30.85	Total of 2 transactions

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Robinhood Securities LLC

Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	11.60	01/26/21	12.59	0.99 W	0.00	1 of 2 - Sale ²⁵
	1.000	11.60	01/26/21	12.60	1.00 W	0.00	2 of 2 - Sale ²⁵
02/05/21	2.000	23.20	Various	25.19	1.99 W	0.00	Total of 2 transactions
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	12.91	02/02/21	12.15	...	0.76	1 of 2 - Sale ²⁵
	1.000	12.91	02/02/21	12.14	...	0.77	2 of 2 - Sale ²⁵
02/08/21	2.000	25.82	Various	24.29	...	1.53	Total of 2 transactions
	Security total:	501.95		409.92	3.47 W	95.50	
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
02/16/21	1.000	1.07	02/11/21	1.19	...	-0.12	Sale ²⁵
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
02/09/21	100.000	106.99	02/08/21	101.81	...	5.18	Sale ²⁵
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	25.14	01/28/21	24.61	...	0.53	1 of 3 - Sale ²⁵
	1.000	25.14	01/28/21	24.52	...	0.62	2 of 3 - Sale ²⁵
	2.000	50.28	01/28/21	49.10	...	1.18	3 of 3 - Sale ²⁵
01/29/21	4.000	100.56	Various	98.23	...	2.33	3- Proceeds from collectibles [X] Total of 3 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
05/10/21	63.000	575.20	05/07/21	497.39	...	77.81	Sale ²⁵
4 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	1.000	8.73	05/07/21	7.89	...	0.84	1 of 4 - Sale ²⁵
	1.000	8.73	05/13/21	8.15	...	0.58	2 of 4 - Sale ²⁵
	10.000	87.20	05/13/21	81.50	...	5.70	3 of 4 - Sale ²⁵
	38.000	324.98	05/13/21	309.70	...	15.28	4 of 4 - Sale ²⁵
05/17/21	50.000	429.64	Various	407.24	...	22.40	Total of 4 transactions

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Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	1.000	8.79	05/13/21	8.15	...	0.64	1 of 2 - Sale ²⁵
	32.000	281.28	05/19/21	277.76	...	3.52	2 of 2 - Sale ²⁵
05/20/21	33.000	290.07	Various	285.91	...	4.16	Total of 2 transactions
	Security total:	1,294.91		1,190.54	...	104.37	
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	80.000	89.59	02/11/21	102.40	...	-12.81	1 of 4 - Sale ²⁵
	40.000	44.79	02/11/21	49.00	...	-4.21	2 of 4 - Sale ²⁵
	10.000	11.20	02/11/21	13.95	...	-2.75	3 of 4 - Sale ²⁵
	90.000	100.79	02/12/21	98.90	...	1.89	4 of 4 - Sale ²⁵
02/16/21	220.000	246.37	Various	264.25	...	-17.88	Total of 4 transactions
02/16/21	10.000	11.10	02/11/21	12.80	1.70 W	0.00	Sale ²⁵
	Security total:	257.47		277.05	1.70 W	-17.88	
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	2.000	7.26	02/11/21	6.87	...	0.39	1 of 3 - Sale ²⁵
	2.000	7.28	02/11/21	6.87	...	0.41	2 of 3 - Sale ²⁵
	8.000	29.04	02/11/21	27.48	...	1.56	3 of 3 - Sale ²⁵
02/12/21	12.000	43.58	Various	41.22	...	2.36	Total of 3 transactions
02/16/21	8.000	28.89	02/11/21	27.48	...	1.41	Sale ²⁵
	Security total:	72.47		68.70	...	3.77	
PROFESSIONAL DIVERSITY NETWORK, INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
02/18/21	70.000	293.29	02/17/21	403.90	...	-110.61	Sale ²⁵
SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol:							
06/10/21	40.000	45.40	06/08/21	45.60	...	-0.20	Sale ²⁵

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Account 122533656

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.82	01/14/21	0.66	...	0.16	1 of 2 - Sale ²⁵
	4.000	3.28	01/14/21	2.71	...	0.57	2 of 2 - Sale ²⁵
01/28/21	5.000	4.10	Various	3.37	...	0.73	Total of 2 transactions
<i>3 transactions for 01/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.82	01/14/21	0.68	...	0.14	1 of 3 - Sale ²⁵
	2.000	1.61	01/14/21	1.35	...	0.26	2 of 3 - Sale ²⁵
	3.000	2.42	01/14/21	2.04	...	0.38	3 of 3 - Sale ²⁵
01/29/21	6.000	4.85	Various	4.07	...	0.78	Total of 3 transactions
	Security total:	8.95		7.44	...	1.51	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
02/09/21	100.000	405.00	02/08/21	423.00	...	-18.00	Sale ²⁵
Totals :		51,276.32		60,334.63	5,519.78 W	-3,538.53	

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Robinhood Securities LLC

Account 122533656

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V409 / Symbol: 02/01/21	0.667	1.61	01/13/21	1.95	...	-0.34	Gain or loss
Totals:		1.61		1.95	...	-0.34	

Robinhood Securities LLC

Account 122533656

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/28/21	0.02	Interest	
		01/29/21	0.02	Interest	
			0.04	Total Interest	
Interest payment		09/30/21	0.04	Interest	
		12/15/21	0.05	Interest	
			0.09	Total Interest	
			0.13	Total Interest	

Robinhood Securities LLC

Account 122533656

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/06/21	-5.00	Margin interest paid	
		02/05/21	-5.00	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		05/07/21	-5.00	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
Margin charge		11/09/21	-5.00	Margin interest paid	
		12/09/21	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			-35.00	Total Margin interest paid	

Robinhood Securities LLC

Account 122533656

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
LUMN 03/19/2021 CALL \$12.50	LUMN 03/19/21 C 12.500	01/22/21	1.00	0.00	Merger	
		01/22/21	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.