

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 120367180	Statement Date: 02/14/2021 Document ID: QQ48 694 AQY6	2020
	Hari venkata suresh Appala 5515 canoga avenue, 112 woodland hills, CA 91367 RECIPIENT'S TIN: XXX-XX-1857		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	4.40		2- Royalties	0.00	
1b- Qualified dividends	1.30		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	1.10		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	3.10		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	165,521.47	169,140.50	0.00	2,001.83	-1,617.20
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	12.26	31.80	0.00	0.00	-19.54
	Total Short-term	165,533.73	169,172.30	0.00	2,001.83	-1,636.74
Long	D (basis reported to the IRS)	2,184.23	3,905.71	0.00	862.11	-859.37
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	2,184.23	3,905.71	0.00	862.11	-859.37
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	167,717.96	173,078.01	0.00	2,863.94	-2,496.11

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 120367180

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	3.05
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	53.23
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	06/04/20	17.00	...	107.98	1 of 3 - Option sale to close-call ²⁵
	1.000	132.98	06/04/20	17.00	...	115.98	2 of 3 - Option sale to close-call ²⁵
	2.000	239.98	06/04/20	34.00	...	205.98	3 of 3 - Option sale to close-call ²⁵
06/05/20	4.000	497.94	Various	68.00	...	429.94	Total of 3 transactions
AAL 05/22/2020 CALL \$12.00 / CUSIP: / Symbol:							
04/28/20	1.000	120.98	04/27/20	58.00	...	62.98	Option sale to close-call ²⁵
04/29/20	1.000	160.98	04/27/20	58.00	...	102.98	Option sale to close-call ²⁵
	Security total:	281.96		116.00	...	165.96	
AAL 06/26/2020 CALL \$16.00 / CUSIP: / Symbol:							
06/22/20	1.000	36.98	06/19/20	92.00	...	-55.02	Option sale to close-call ²⁵

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Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/29/2020 PUT \$317.50 / CUSIP: / Symbol:							
05/27/20	1.000	303.98	05/27/20	350.00	...	-46.02	Option sale to close-put ²⁵
AAPL 06/05/2020 PUT \$310.00 / CUSIP: / Symbol:							
05/21/20	1.000	504.97	05/20/20	420.00	...	84.97	Option sale to close-put ²⁵
AAPL 06/05/2020 PUT \$320.00 / CUSIP: / Symbol:							
05/29/20	1.000	579.97	05/28/20	370.00	...	209.97	Option sale to close-put ²⁵
AAPL 06/12/2020 CALL \$325.00 / CUSIP: / Symbol:							
06/05/20	1.000	389.98	06/04/20	310.00	...	79.98	Option sale to close-call ²⁵
AAPL 06/12/2020 PUT \$330.00 / CUSIP: / Symbol:							
06/08/20	1.000	379.98	06/05/20	380.00	...	-0.02	Option sale to close-put ²⁵
AAPL 06/12/2020 PUT \$350.00 / CUSIP: / Symbol:							
06/11/20	1.000	349.98	06/10/20	390.00	...	-40.02	Option sale to close-put ²⁵
AAPL 06/19/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/18/20	1.000	699.97	05/15/20	530.00	...	169.97	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$327.50 / CUSIP: / Symbol:							
06/03/20	1.000	474.97	06/02/20	375.00	...	99.97	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$355.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	228.99	06/16/20	302.01	...	-73.02	1 of 3 - Option sale to close-call ²⁵
	1.000	228.98	06/16/20	301.02	...	-72.04	2 of 3 - Option sale to close-call ²⁵
	1.000	253.98	06/16/20	226.02	...	27.96	3 of 3 - Option sale to close-call ²⁵
06/16/20	3.000	711.95	Various	829.05	...	-117.10	Total of 3 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	457.98	06/16/20	656.00	198.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	228.99	06/16/20	328.00	99.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	228.99	06/16/20	327.01	98.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	228.99	06/16/20	302.01	73.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
06/16/20	5.000	1,144.95	Various	1,613.02	468.07 W	0.00	Total of 4 transactions
	Security total:	1,856.90		2,442.07	468.07 W	-117.10	

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Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/19/2020 PUT \$345.00 / CUSIP: / Symbol:							
06/11/20	1.000	709.97	06/09/20	767.00	...	-57.03	Option sale to close-put ²⁵
AAPL 06/19/2020 PUT \$350.00 / CUSIP: / Symbol:							
06/11/20	1.000	1,260.96	06/10/20	530.00	...	730.96	Option sale to close-put ²⁵
AAPL 06/26/2020 CALL \$355.00 / CUSIP: / Symbol:							
06/22/20	1.000	419.98	06/19/20	335.00	...	84.98	Option sale to close-call ²⁵
AAPL 06/26/2020 PUT \$357.50 / CUSIP: / Symbol:							
06/25/20	1.000	197.98	06/24/20	159.00	...	38.98	Option sale to close-put ²⁵
AAPL 06/26/2020 PUT \$360.00 / CUSIP: / Symbol:							
06/24/20	1.000	399.98	06/24/20	291.00	...	108.98	Option sale to close-put ²⁵
AAPL 07/02/2020 PUT \$330.00 / CUSIP: / Symbol:							
06/26/20	1.000	97.98	06/25/20	68.00	...	29.98	Option sale to close-put ²⁵
AAPL 07/02/2020 PUT \$360.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.98	06/29/20	450.00	...	-449.02	Option sale to close-put ²⁵
AAPL 07/10/2020 PUT \$360.00 / CUSIP: / Symbol:							
07/02/20	1.000	282.98	07/01/20	395.00	...	-112.02	Option sale to close-put ²⁵
AAPL 07/17/2020 CALL \$370.00 / CUSIP: / Symbol:							
06/15/20	1.000	259.98	06/12/20	355.00	...	-95.02	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	99.98	06/11/20	79.00	...	20.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	06/11/20	79.00	...	20.98	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	199.96	Various	158.00	...	41.96	Total of 2 transactions
07/17/20	1.000	9.98	07/15/20	149.00	...	-139.02	Option sale to close-call ²⁵
Security total:		209.94		307.00	...	-97.06	
AAPL 07/17/2020 PUT \$360.00 / CUSIP: / Symbol:							
07/08/20	1.000	180.98	07/06/20	270.00	...	-89.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/24/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/22/20	1.000	312.98	07/21/20	500.00	...	-187.02	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$400.00 / CUSIP: / Symbol:							
07/15/20	1.000	839.97	07/14/20	505.00	...	334.97	Option sale to close-call ²⁵
07/17/20	1.000	232.98	07/16/20	470.00	237.02 W	0.00	Option sale to close-call ²⁵
07/20/20	1.000	262.98	07/17/20	472.02	...	-209.04	Option sale to close-call ²⁵
	Security total:	1,335.93		1,447.02	237.02 W	125.93	
AAPL 07/31/2020 CALL \$360.00 / CUSIP: / Symbol:							
07/27/20	1.000	2,024.94	07/24/20	1,102.00	...	922.94	Option sale to close-call ²⁵
ABBV 07/31/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/31/20	1.000	1.98	07/30/20	29.00	...	-27.02	Option sale to close-call ²⁵
ABMD 06/19/2020 CALL \$220.00 / CUSIP: / Symbol:							
04/30/20	1.000	269.98	04/27/20	135.00	...	134.98	Option sale to close-call ²⁵
AKAM 05/15/2020 CALL \$105.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.98	04/30/20	52.00	...	-51.02	Option sale to close-call ²⁵
AKAM 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
04/28/20	1.000	127.98	04/27/20	72.00	...	55.98	Option sale to close-call ²⁵
ALK 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
	<i>4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	04/30/20	125.00	...	-125.00	1 of 4 - Option expiration- long call
	1.000	0.00	05/04/20	35.00	...	-35.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/07/20	15.00	...	-15.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/07/20	14.00	...	-14.00	4 of 4 - Option expiration- long call
05/15/20	4.000	0.00	Various	189.00	...	-189.00	Total of 4 transactions
AMD 01/15/2021 PUT \$50.00 / CUSIP: / Symbol:							
07/23/20	1.000	414.98	07/22/20	395.00	...	19.98	Option sale to close-put ²⁵
AMD 08/07/2020 PUT \$76.00 / CUSIP: / Symbol:							
07/31/20	1.000	242.98	07/30/20	243.00	...	-0.02	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 08/07/2020 PUT \$77.00 / CUSIP: / Symbol:							
07/31/20	1.000	260.98	07/30/20	242.00	...	18.98	Option sale to close-put ²⁵
AMZN 07/24/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
07/20/20	1.000	1,669.95	07/15/20	1,750.00	...	-80.05	Option sale to close-call ²⁵
AXTA 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/03/20	1.000	99.98	05/27/20	95.00	...	4.98	Option sale to close-call ²⁵
AZN 06/05/2020 CALL \$53.00 / CUSIP: / Symbol:							
05/28/20	1.000	129.98	05/27/20	115.00	...	14.98	Option sale to close-call ²⁵
AZN 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/01/20	1.000	129.98	05/29/20	100.00	...	29.98	Option sale to close-call ²⁵
BA 05/08/2020 CALL \$132.00 / CUSIP: / Symbol:							
05/07/20	1.000	149.98	05/05/20	178.00	...	-28.02	Option sale to close-call ²⁵
BA 06/05/2020 PUT \$155.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.98	06/03/20	44.00	...	-43.02	Option sale to close-put ²⁵
BA 06/12/2020 PUT \$185.00 / CUSIP: / Symbol:							
06/08/20	1.000	69.98	06/05/20	180.00	...	-110.02	Option sale to close-put ²⁵
BA 06/19/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/15/20	1.000	138.98	06/12/20	170.00	31.02 W	0.00	Option sale to close-put ²⁵
06/18/20	1.000	2.98	06/17/20	46.02	...	-43.04	Option sale to close-put ²⁵
	Security total:	141.96		216.02	31.02 W	-43.04	
BA 06/19/2020 PUT \$200.00 / CUSIP: / Symbol:							
06/10/20	1.000	749.97	06/09/20	565.00	...	184.97	Option sale to close-put ²⁵
BA 07/02/2020 PUT \$160.00 / CUSIP: / Symbol:							
06/26/20	1.000	304.98	06/25/20	265.00	...	39.98	Option sale to close-put ²⁵
BA 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/18/20	1.000	584.97	05/18/20	470.00	...	114.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BA 07/17/2020 PUT \$167.50 / CUSIP: / Symbol:							
07/13/20	1.000	245.98	07/10/20	287.00	...	-41.02	Option sale to close-put ²⁵
BABA 02/19/2021 CALL \$270.00 / CUSIP: / Symbol:							
12/15/20	1.000	959.96	12/15/20	900.00	...	59.96	Option sale to close-call ²⁵
BABA 05/15/2020 CALL \$210.00 / CUSIP: / Symbol:							
05/15/20	1.000	1.98	05/12/20	155.00	...	-153.02	Option sale to close-call ²⁵
BABA 06/12/2020 PUT \$210.00 / CUSIP: / Symbol:							
06/03/20	1.000	284.98	06/02/20	320.00	...	-35.02	Option sale to close-put ²⁵
BABA 08/28/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/24/20	1.000	1,419.95	08/19/20	822.00	...	597.95	Option sale to close-call ²⁵
BAC 06/19/2020 CALL \$28.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/09/20	97.00	...	-97.00	Option expiration- long call
BBBY 07/10/2020 PUT \$10.00 / CUSIP: / Symbol:							
07/09/20	1.000	138.98	07/08/20	70.00	...	68.98	Option sale to close-put ²⁵
BHC 01/15/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/16/20	1.000	359.98	06/11/20	355.00	...	4.98	Option sale to close-call ²⁵
BIDU 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/21/20	1.000	39.98	05/20/20	60.00	...	-20.02	Option sale to close-call ²⁵
BIIB 01/15/2021 CALL \$450.00 / CUSIP: / Symbol:							
08/07/20	1.000	259.98	07/09/20	180.00	...	79.98	Option sale to close-call ²⁵
BIIB 01/15/2021 CALL \$460.00 / CUSIP: / Symbol:							
08/07/20	1.000	214.98	07/09/20	155.00	...	59.98	Option sale to close-call ²⁵
BIIB 07/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/08/20	430.00	...	-430.00	Option expiration- long call
BOX 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/28/20	1.000	109.98	05/27/20	135.00	...	-25.02	Option sale to close-call ²⁵

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BYND 05/01/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	04/30/20	7.02	...	-6.04	1 of 3 - Option sale to close-call ²⁵
	2.000	1.98	04/30/20	8.00	...	-6.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	04/30/20	4.00	...	-3.02	3 of 3 - Option sale to close-call ²⁵
05/01/20	4.000	3.94	Various	19.02	...	-15.08	Total of 3 transactions
05/01/20	1.000	0.98	04/30/20	4.00	3.02 W	0.00	Option sale to close-call ²⁵
	Security total:	4.92		23.02	3.02 W	-15.08	
BYND 05/29/2020 PUT \$137.00 / CUSIP: / Symbol:							
05/21/20	1.000	651.97	05/19/20	650.00	...	1.97	Option sale to close-put ²⁵
BYND 06/12/2020 PUT \$145.00 / CUSIP: / Symbol:							
06/09/20	1.000	279.98	06/08/20	450.00	...	-170.02	Option sale to close-put ²⁵
BYND 06/12/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/09/20	1.000	449.98	06/08/20	515.00	...	-65.02	Option sale to close-put ²⁵
BYND 06/19/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/09/20	1.000	839.97	06/08/20	560.00	...	279.97	Option sale to close-put ²⁵
CCL 05/22/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	05/08/20	55.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	05/08/20	55.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
05/18/20	2.000	74.96	Various	110.00	...	-35.04	Total of 2 transactions
CCL 06/12/2020 CALL \$15.50 / CUSIP: / Symbol:							
06/01/20	1.000	159.98	05/29/20	105.00	...	54.98	Option sale to close-call ²⁵
CGC 05/01/2020 CALL \$16.00 / CUSIP: / Symbol:							
04/27/20	1.000	126.98	04/20/20	61.00	...	65.98	Option sale to close-call ²⁵
CHWY 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/20/20	1.000	292.98	07/17/20	290.00	...	2.98	Option sale to close-call ²⁵

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CLDR 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/10/20	1.000	129.98	06/09/20	75.00	...	54.98	Option sale to close-call ²⁵
CLDR 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/09/20	1.000	309.98	06/09/20	185.00	...	124.98	Option sale to close-call ²⁵
CLX 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/30/20	1.000	154.98	04/29/20	130.00	...	24.98	Option sale to close-call ²⁵
CLX 07/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
05/29/20	1.000	84.98	05/21/20	75.00	...	9.98	Option sale to close-call ²⁵
CNK 06/19/2020 PUT \$12.50 / CUSIP: / Symbol:							
06/03/20	1.000	39.98	06/02/20	65.00	...	-25.02	Option sale to close-put ²⁵
CNXM 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/09/20	1.000	14.98	04/27/20	45.00	...	-30.02	Option sale to close-call ²⁵
COST 06/05/2020 CALL \$302.50 / CUSIP: / Symbol:							
05/28/20	1.000	1,049.96	05/27/20	600.00	...	449.96	Option sale to close-call ²⁵
COST 06/19/2020 CALL \$330.00 / CUSIP: / Symbol:							
06/04/20	1.000	76.98	05/28/20	173.00	...	-96.02	Option sale to close-call ²⁵
COST 07/17/2020 CALL \$320.00 / CUSIP: / Symbol:							
<i>5 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	67.98	06/26/20	98.00	30.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	68.00	06/26/20	116.02	48.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	3.000	203.98	06/26/20	258.00	54.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	72.99	06/26/20	134.02	61.03 W	0.00	4 of 5 - Option sale to close-call ²⁵
	2.000	145.99	06/26/20	176.01	30.02 W	0.00	5 of 5 - Option sale to close-call ²⁵
07/02/20	8.000	558.94	Various	782.05	223.11 W	0.00	Total of 5 transactions
<i>4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	82.00	07/02/20	120.03	...	-38.03	1 of 4 - Option sale to close-call ²⁵
	1.000	81.99	07/02/20	77.01	...	4.98	2 of 4 - Option sale to close-call ²⁵
	2.000	163.99	07/02/20	148.02	...	15.97	3 of 4 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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COST 07/17/2020 CALL \$320.00 / CUSIP: / Symbol: (cont'd)							
	1.000	84.98	07/02/20	59.00	...	25.98	4 of 4 - Option sale to close-call ²⁵
07/06/20	5.000	412.96	Various	404.06	...	8.90	Total of 4 transactions
	Security total:	971.90		1,186.11	223.11 W	8.90	
CRM 05/29/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	809.97	05/13/20	640.00	...	169.97	1 of 2 - Option sale to close-call ²⁵
	1.000	959.96	05/14/20	485.00	...	474.96	2 of 2 - Option sale to close-call ²⁵
05/18/20	2.000	1,769.93	Various	1,125.00	...	644.93	Total of 2 transactions
CRM 05/29/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/28/20	1.000	374.98	05/22/20	375.00	...	-0.02	Option sale to close-call ²⁵
CRM 06/05/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/28/20	1.000	549.97	05/26/20	470.00	...	79.97	Option sale to close-call ²⁵
CRM 06/19/2020 CALL \$177.50 / CUSIP: / Symbol:							
06/05/20	1.000	260.98	06/02/20	320.00	59.02 W	0.00	Option sale to close-call ²⁵
06/10/20	1.000	414.98	06/09/20	389.02	...	25.96	Option sale to close-call ²⁵
	Security total:	675.96		709.02	59.02 W	25.96	
CRM 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/10/20	1.000	29.98	05/28/20	140.00	...	-110.02	Option sale to close-call ²⁵
CRM 06/19/2020 PUT \$180.00 / CUSIP: / Symbol:							
06/11/20	1.000	549.97	06/10/20	340.00	...	209.97	Option sale to close-put ²⁵
CRM 06/19/2020 PUT \$185.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/18/20	82.00	...	-77.02	Option sale to close-put ²⁵
CRM 07/02/2020 PUT \$177.50 / CUSIP: / Symbol:							
06/26/20	1.000	108.98	06/25/20	71.00	...	37.98	Option sale to close-put ²⁵
CRM 07/10/2020 PUT \$175.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/01/20	32.00	...	-32.00	Option expiration- long put

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CRM 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	35.99	05/28/20	41.00	...	-5.01	1 of 2 - Option sale to close-call ²⁵
	1.000	35.99	06/01/20	27.00	...	8.99	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	71.98	Various	68.00	...	3.98	Total of 2 transactions
CSCO 05/29/2020 CALL \$42.50 / CUSIP: / Symbol:							
05/14/20	1.000	209.98	05/13/20	175.00	...	34.98	Option sale to close-call ²⁵
CTXS 06/19/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/28/20	1.000	69.98	05/19/20	75.00	...	-5.02	Option sale to close-call ²⁵
CTXS 06/19/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/28/20	2.000	79.98	05/27/20	70.00	...	9.98	Option sale to close-call ²⁵
DAL 06/26/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/22/20	1.000	57.98	06/19/20	125.00	...	-67.02	Option sale to close-call ²⁵
DDOG 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/15/20	1.000	244.98	05/14/20	185.00	...	59.98	Option sale to close-call ²⁵
DIS 02/19/2021 PUT \$175.00 / CUSIP: / Symbol:							
12/11/20	1.000	1,069.96	12/11/20	975.00	...	94.96	Option sale to close-put ²⁵
DIS 05/15/2020 CALL \$109.00 / CUSIP: / Symbol:							
05/15/20	1.000	9.98	05/08/20	180.00	...	-170.02	Option sale to close-call ²⁵
DIS 06/12/2020 CALL \$125.00 / CUSIP: / Symbol:							
06/05/20	1.000	359.98	06/04/20	178.00	...	181.98	Option sale to close-call ²⁵
DIS 06/19/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/01/20	1.000	574.97	05/29/20	395.00	...	179.97	Option sale to close-call ²⁵
DKNG 06/05/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/28/20	1.000	119.98	05/27/20	80.00	...	39.98	Option sale to close-call ²⁵
DOCU 06/05/2020 PUT \$145.00 / CUSIP: / Symbol:							
06/02/20	1.000	730.97	06/01/20	640.00	...	90.97	Option sale to close-put ²⁵

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DPZ 06/05/2020 PUT \$370.00 / CUSIP: / Symbol:							
06/04/20	1.000	59.98	05/29/20	300.00	...	-240.02	Option sale to close-put ²⁵
DPZ 06/19/2020 CALL \$400.00 / CUSIP: / Symbol:							
05/29/20	1.000	224.98	05/28/20	220.00	...	4.98	Option sale to close-call ²⁵
DPZ 07/10/2020 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	156.98	06/16/20	130.00	...	26.98	1 of 2 - Option sale to close-call ²⁵
	1.000	156.98	06/16/20	130.00	...	26.98	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	313.96	Various	260.00	...	53.96	Total of 2 transactions
EGHT 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
05/18/20	1.000	84.98	05/13/20	100.00	...	-15.02	Option sale to close-call ²⁵
ESTC 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/26/20	390.00	...	-390.00	Option expiration- long call
ETSY 12/31/2020 PUT \$180.00 / CUSIP: / Symbol:							
12/28/20	1.000	304.98	12/16/20	645.00	...	-340.02	Option sale to close-put ²⁵
F 05/01/2020 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	28.99	04/24/20	18.00	...	10.99	1 of 3 - Option sale to close-call ²⁵
	1.000	28.99	04/24/20	18.00	...	10.99	2 of 3 - Option sale to close-call ²⁵
	3.000	74.98	04/24/20	54.00	...	20.98	3 of 3 - Option sale to close-call ²⁵
04/27/20	5.000	132.96	Various	90.00	...	42.96	Total of 3 transactions
F 05/22/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/21/20	3.000	2.98	04/28/20	48.00	...	-45.02	Option sale to close-call ²⁵
FB 05/22/2020 PUT \$222.50 / CUSIP: / Symbol:							
05/22/20	1.000	11.98	05/20/20	95.00	...	-83.02	Option sale to close-put ²⁵
FB 05/29/2020 PUT \$220.00 / CUSIP: / Symbol:							
05/26/20	1.000	24.98	05/20/20	215.00	...	-190.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 06/05/2020 PUT \$212.50 / CUSIP: / Symbol:							
05/26/20	1.000	49.98	05/19/20	350.00	...	-300.02	Option sale to close-put ²⁵
FB 06/26/2020 PUT \$230.00 / CUSIP: / Symbol:							
06/24/20	1.000	189.98	06/24/20	40.00	...	149.98	Option sale to close-put ²⁵
FB 07/02/2020 PUT \$200.00 / CUSIP: / Symbol:							
06/29/20	1.000	324.98	06/26/20	121.00	...	203.98	Option sale to close-put ²⁵
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/30/20	35.00	...	-35.00	1 of 2 - Option expiration- long put
	1.000	0.00	06/30/20	35.00	...	-35.00	2 of 2 - Option expiration- long put
07/02/20	2.000	0.00	Various	70.00	...	-70.00	Total of 2 transactions
	Security total:	324.98		191.00	...	133.98	
FB 07/02/2020 PUT \$220.00 / CUSIP: / Symbol:							
06/26/20	1.000	549.97	06/25/20	87.00	...	462.97	Option sale to close-put ²⁵
FB 07/10/2020 PUT \$220.00 / CUSIP: / Symbol:							
07/08/20	1.000	19.98	07/06/20	90.00	...	-70.02	Option sale to close-put ²⁵
FB 07/10/2020 PUT \$230.00 / CUSIP: / Symbol:							
07/02/20	1.000	294.98	07/01/20	395.00	...	-100.02	Option sale to close-put ²⁵
FB 07/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/15/20	1.000	649.97	07/14/20	283.00	...	366.97	Option sale to close-call ²⁵
FB 07/17/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/16/20	1.000	22.98	07/15/20	71.00	...	-48.02	Option sale to close-call ²⁵
FB 07/17/2020 PUT \$235.00 / CUSIP: / Symbol:							
07/08/20	1.000	449.98	07/06/20	445.00	...	4.98	Option sale to close-put ²⁵
FB 08/14/2020 PUT \$272.50 / CUSIP: / Symbol:							
08/10/20	1.000	849.97	08/07/20	515.00	...	334.97	Option sale to close-put ²⁵
FDX 07/02/2020 CALL \$137.00 / CUSIP: / Symbol:							
06/16/20	1.000	759.97	06/12/20	500.00	...	259.97	Option sale to close-call ²⁵

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FEYE 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/10/20	1.000	148.98	06/09/20	118.00	...	30.98	Option sale to close-call ²⁵
FIT 10/16/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	24.000	0.00	07/30/20	96.00	...	-96.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/30/20	4.00	...	-4.00	2 of 2 - Option expiration- long call
10/16/20	25.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
FSCT 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/04/20	1.000	44.98	05/29/20	45.00	...	-0.02	Option sale to close-call ²⁵
FSCT 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	05/19/20	45.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	05/19/20	35.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	94.96	Various	80.00	...	14.96	Total of 2 transactions
GE 05/08/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	23.98	04/24/20	16.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	7.000	167.98	04/24/20	112.00	...	55.98	2 of 2 - Option sale to close-call ²⁵
04/28/20	8.000	191.96	Various	128.00	...	63.96	Total of 2 transactions
GE 05/22/2020 CALL \$7.00 / CUSIP: / Symbol:							
05/21/20	5.000	4.98	04/29/20	125.00	...	-120.02	Option sale to close-call ²⁵
GE 06/05/2020 PUT \$7.00 / CUSIP: / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/28/20	34.00	...	20.98	1 of 3 - Option sale to close-put ²⁵
	1.000	55.98	05/28/20	34.00	...	21.98	2 of 3 - Option sale to close-put ²⁵
	2.000	109.98	05/28/20	68.00	...	41.98	3 of 3 - Option sale to close-put ²⁵
05/29/20	4.000	220.94	Various	136.00	...	84.94	Total of 3 transactions

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(continued)

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GM 05/08/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	04/30/20	72.00	...	-70.01	1 of 3 - Option sale to close-call ²⁵
	1.000	1.00	05/01/20	19.00	...	-18.00	2 of 3 - Option sale to close-call ²⁵
	2.000	1.99	05/04/20	12.00	...	-10.01	3 of 3 - Option sale to close-call ²⁵
05/08/20	5.000	4.98	Various	103.00	...	-98.02	Total of 3 transactions
GRUB 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	05/07/20	45.00	...	374.98	1 of 2 - Option sale to close-call ²⁵
	1.000	709.97	05/07/20	45.00	...	664.97	2 of 2 - Option sale to close-call ²⁵
05/12/20	2.000	1,129.95	Various	90.00	...	1,039.95	Total of 2 transactions
GWRE 06/19/2020 PUT \$100.00 / CUSIP: / Symbol:							
06/05/20	1.000	64.98	06/03/20	205.00	...	-140.02	Option sale to close-put ²⁵
HON 06/19/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/18/20	1.000	16.98	05/11/20	40.00	23.02 W	0.00	Option sale to close-call ²⁵
05/20/20	1.000	37.98	05/19/20	48.02	...	-10.04	Option sale to close-call ²⁵
	Security total:	54.96		88.02	23.02 W	-10.04	
INO 05/29/2020 PUT \$14.00 / CUSIP: / Symbol:							
05/21/20	1.000	124.98	05/20/20	80.00	...	44.98	Option sale to close-put ²⁵
INO 07/02/2020 PUT \$20.00 / CUSIP: / Symbol:							
06/26/20	1.000	109.98	06/25/20	133.00	...	-23.02	Option sale to close-put ²⁵
INTC 08/21/2020 CALL \$51.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	102.98	07/27/20	100.00	...	2.98	1 of 2 - Option sale to close-call ²⁵
	1.000	102.98	07/27/20	100.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
07/28/20	2.000	205.96	Various	200.00	...	5.96	Total of 2 transactions

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(continued)

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INTC 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	2.000	127.99	07/29/20	136.00	...	-8.01	1 of 4 - Option sale to close-call ²⁵
	1.000	63.98	07/29/20	68.00	...	-4.02	2 of 4 - Option sale to close-call ²⁵
	1.000	63.99	07/31/20	62.01	...	1.98	3 of 4 - Option sale to close-call ²⁵
	1.000	64.00	07/31/20	62.01	...	1.99	4 of 4 - Option sale to close-call ²⁵
08/03/20	5.000	319.96	Various	328.02	...	-8.06	Total of 4 transactions
08/03/20	2.000	127.98	07/29/20	136.00	8.02 W	0.00	Option sale to close-call ²⁵
	Security total:	447.94		464.02	8.02 W	-8.06	
IRBT 06/05/2020 CALL \$70.00 / CUSIP: / Symbol:							
04/30/20	1.000	104.98	04/29/20	35.00	...	69.98	Option sale to close-call ²⁵
IWM 01/15/2021 PUT \$190.00 / CUSIP: / Symbol:							
12/15/20	1.000	528.97	12/14/20	495.00	...	33.97	Option sale to close-put ²⁵
IWM 07/31/2020 PUT \$150.00 / CUSIP: / Symbol:							
07/24/20	1.000	435.98	07/23/20	279.00	...	156.98	Option sale to close-put ²⁵
IWM 08/21/2020 PUT \$139.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	245.99	07/21/20	239.00	...	6.99	1 of 2 - Option sale to close-put ²⁵
	4.000	983.97	07/21/20	976.00	...	7.97	2 of 2 - Option sale to close-put ²⁵
07/23/20	5.000	1,229.96	Various	1,215.00	...	14.96	Total of 2 transactions
IWM 08/21/2020 PUT \$148.00 / CUSIP: / Symbol:							
07/30/20	1.000	467.97	07/29/20	422.00	...	45.97	Option sale to close-put ²⁵
IWM 08/21/2020 PUT \$150.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	08/03/20	474.00	...	-424.01	1 of 2 - Option sale to close-put ²⁵
	1.000	49.99	08/04/20	346.00	...	-296.01	2 of 2 - Option sale to close-put ²⁵
08/14/20	2.000	99.98	Various	820.00	...	-720.02	Total of 2 transactions

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JD 05/15/2020 CALL \$45.50 / CUSIP: / Symbol:							
05/07/20	1.000	154.99	05/01/20	75.00	...	79.99	Option sale to close-call ²⁵
05/07/20	1.000	154.99	04/24/20	220.00	65.01 W	0.00	Option sale to close-call ²⁵
05/08/20	1.000	199.98	05/04/20	122.01	...	77.97	Option sale to close-call ²⁵
Security total:		509.96		417.01	65.01 W	157.96	
JPM 06/26/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/16/20	1.000	729.97	06/15/20	360.00	...	369.97	Option sale to close-call ²⁵
KHC 07/31/2020 CALL \$36.00 / CUSIP: / Symbol:							
07/30/20	1.000	21.98	07/29/20	83.00	...	-61.02	Option sale to close-call ²⁵
KO 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/10/20	1.000	113.98	06/09/20	105.00	...	8.98	Option sale to close-call ²⁵
LHX 06/19/2020 CALL \$210.00 / CUSIP: / Symbol:							
05/29/20	1.000	89.98	05/28/20	85.00	...	4.98	Option sale to close-call ²⁵
LMT 07/31/2020 CALL \$400.00 / CUSIP: / Symbol:							
07/10/20	1.000	93.98	07/08/20	80.00	...	13.98	Option sale to close-call ²⁵
LOW 07/17/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/21/20	1.000	389.98	05/20/20	320.00	...	69.98	Option sale to close-call ²⁵
M 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/07/20	1.000	3.98	06/09/20	127.00	...	-123.02	Option sale to close-call ²⁵
MDB 06/19/2020 CALL \$260.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/04/20	310.00	...	-310.00	Option expiration- long call
MGM 05/22/2020 CALL \$16.00 / CUSIP: / Symbol:							
05/18/20	1.000	27.98	05/12/20	25.00	...	2.98	Option sale to close-call ²⁵
MMM 05/15/2020 CALL \$165.00 / CUSIP: / Symbol:							
<i>5 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	04/30/20	39.00	...	-39.00	1 of 5 - Option expiration- long call
	1.000	0.00	04/30/20	38.00	...	-38.00	2 of 5 - Option expiration- long call
	1.000	0.00	04/30/20	38.00	...	-38.00	3 of 5 - Option expiration- long call

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MMM 05/15/2020 CALL \$165.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	05/01/20	18.00	...	-18.00	4 of 5 - Option expiration- long call
	1.000	0.00	05/07/20	3.00	...	-3.00	5 of 5 - Option expiration- long call
05/15/20	5.000	0.00	Various	136.00	...	-136.00	Total of 5 transactions
MMM 06/19/2020 PUT \$155.00 / CUSIP: / Symbol:							
05/29/20	1.000	514.97	05/28/20	315.00	...	199.97	Option sale to close-put ²⁵
MNOV 08/21/2020 PUT \$5.00 / CUSIP: / Symbol:							
07/29/20	1.000	24.98	07/27/20	45.00	...	-20.02	Option sale to close-put ²⁵
MRNA 05/22/2020 PUT \$85.00 / CUSIP: / Symbol:							
05/19/20	1.000	939.96	05/18/20	700.00	...	239.96	Option sale to close-put ²⁵
MRVL 05/15/2020 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	36.99	05/01/20	27.00	...	9.99	1 of 3 - Option sale to close-call ²⁵
	1.000	37.00	05/01/20	27.00	...	10.00	2 of 3 - Option sale to close-call ²⁵
	1.000	36.99	05/01/20	22.00	...	14.99	3 of 3 - Option sale to close-call ²⁵
05/06/20	3.000	110.98	Various	76.00	...	34.98	Total of 3 transactions
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/04/20	14.00	...	-14.00	1 of 2 - Option expiration- long call
	1.000	0.98	05/04/20	14.00	...	-13.02	2 of 2 - Option sale to close-call ²⁵
05/15/20	2.000	0.98	Various	28.00	...	-27.02	Total of 2 transactions
	Security total:	111.96		104.00	...	7.96	
MRVL 08/21/2020 CALL \$33.00 / CUSIP: / Symbol:							
05/18/20	1.000	53.98	04/30/20	86.00	...	-32.02	Option sale to close-call ²⁵
MSFT 01/15/2021 CALL \$270.00 / CUSIP: / Symbol:							
06/10/20	1.000	77.98	06/09/20	70.00	...	7.98	Option sale to close-call ²⁵
MSFT 05/15/2020 CALL \$182.50 / CUSIP: / Symbol:							
04/29/20	1.000	350.98	04/27/20	305.00	...	45.98	Option sale to close-call ²⁵

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MSFT 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
8 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/30/20	53.00	...	-53.00	1 of 8 - Option expiration- long call
	1.000	0.00	04/30/20	53.00	...	-53.00	2 of 8 - Option expiration- long call
	1.000	0.00	04/30/20	24.00	...	-24.00	3 of 8 - Option expiration- long call
	1.000	0.00	04/30/20	24.00	...	-24.00	4 of 8 - Option expiration- long call
	1.000	0.00	04/30/20	22.00	...	-22.00	5 of 8 - Option expiration- long call
	1.000	0.00	04/30/20	19.00	...	-19.00	6 of 8 - Option expiration- long call
	2.000	0.00	05/01/20	30.00	...	-30.00	7 of 8 - Option expiration- long call
	2.000	0.00	05/07/20	24.00	...	-24.00	8 of 8 - Option expiration- long call
05/15/20	10.000	0.00	Various	249.00	...	-249.00	Total of 8 transactions
MSFT 05/22/2020 CALL \$175.00 / CUSIP: / Symbol:							
05/14/20	1.000	689.97	05/14/20	470.00	...	219.97	Option sale to close-call ²⁵
MSFT 05/29/2020 CALL \$182.50 / CUSIP: / Symbol:							
05/18/20	1.000	474.97	05/15/20	250.00	...	224.97	Option sale to close-call ²⁵
MSFT 06/05/2020 CALL \$182.50 / CUSIP: / Symbol:							
05/28/20	1.000	359.98	05/26/20	360.00	0.02 W	0.00	Option sale to close-call ²⁵
06/02/20	1.000	229.98	06/01/20	223.04	...	6.94	Option sale to close-call ²⁵
06/02/20	1.000	230.98	06/01/20	249.02	18.04 W	0.00	Option sale to close-call ²⁵
	Security total:	820.94		832.06	18.06 W	6.94	
MSFT 06/12/2020 CALL \$182.50 / CUSIP: / Symbol:							
05/28/20	1.000	409.98	05/27/20	245.00	...	164.98	Option sale to close-call ²⁵
MSFT 06/19/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/29/20	1.000	349.98	05/28/20	350.00	0.02 W	0.00	Option sale to close-call ²⁵
06/05/20	1.000	339.98	06/02/20	294.02	...	45.96	Option sale to close-call ²⁵
	Security total:	689.96		644.02	0.02 W	45.96	

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/19/2020 PUT \$190.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	204.98	06/10/20	135.00	...	69.98	1 of 2 - Option sale to close-put ²⁵
	1.000	236.98	06/10/20	135.00	...	101.98	2 of 2 - Option sale to close-put ²⁵
06/11/20	2.000	441.96	Various	270.00	...	171.96	Total of 2 transactions
MSFT 06/26/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/05/20	1.000	479.97	05/29/20	360.00	...	119.97	Option sale to close-call ²⁵
06/09/20	1.000	619.97	06/08/20	450.00	...	169.97	Option sale to close-call ²⁵
	Security total:	1,099.94		810.00	...	289.94	
MSFT 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
05/29/20	1.000	103.98	05/28/20	102.00	...	1.98	Option sale to close-call ²⁵
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	92.98	06/01/20	88.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	06/01/20	88.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	192.96	Various	176.00	...	16.96	Total of 2 transactions
	Security total:	296.94		278.00	...	18.94	
MSFT 07/10/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/08/20	1.000	21.98	07/01/20	178.00	...	-156.02	Option sale to close-put ²⁵
MSFT 07/10/2020 PUT \$205.00 / CUSIP: / Symbol:							
07/08/20	1.000	49.98	07/02/20	230.00	...	-180.02	Option sale to close-put ²⁵
MSFT 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/26/20	1.000	226.98	05/21/20	230.00	3.02 W	0.00	Option sale to close-call ²⁵
06/05/20	1.000	142.98	06/04/20	142.02	...	0.96	Option sale to close-call ²⁵
	Security total:	369.96		372.02	3.02 W	0.96	
MSFT 07/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/15/20	1.000	290.98	07/14/20	139.00	...	151.98	Option sale to close-call ²⁵
07/17/20	1.000	2.98	07/15/20	154.00	...	-151.02	Option sale to close-call ²⁵
	Security total:	293.96		293.00	...	0.96	

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MSFT 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/05/20	1.000	16.98	06/03/20	16.01	...	0.97	Option sale to close-call ²⁵
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	3.000	47.98	06/03/20	48.00	0.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	31.98	06/03/20	34.01	2.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/05/20	5.000	79.96	Various	82.01	2.05 W	0.00	Total of 2 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	58.98	06/11/20	58.00	...	0.98	1 of 5 - Option sale to close-call ²⁵
	1.000	58.98	06/11/20	58.00	...	0.98	2 of 5 - Option sale to close-call ²⁵
	1.000	64.98	06/11/20	59.02	...	5.96	3 of 5 - Option sale to close-call ²⁵
	1.000	64.99	06/11/20	59.01	...	5.98	4 of 5 - Option sale to close-call ²⁵
	1.000	64.99	06/11/20	58.00	...	6.99	5 of 5 - Option sale to close-call ²⁵
06/12/20	5.000	312.92	Various	292.03	...	20.89	Total of 5 transactions
	Security total:	409.86		390.05	2.05 W	21.86	
MSFT 07/24/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/20/20	1.000	914.96	07/16/20	586.00	...	328.96	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/22/20	1.000	369.98	07/21/20	319.00	...	50.98	Option sale to close-call ²⁵
MSFT 07/31/2020 CALL \$202.50 / CUSIP: / Symbol:							
07/29/20	1.000	239.98	07/28/20	213.00	...	26.98	Option sale to close-call ²⁵
MSFT 07/31/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/23/20	1.000	151.98	07/22/20	400.00	...	-248.02	Option sale to close-call ²⁵
MU 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
	6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	62.99	06/12/20	57.00	...	5.99	1 of 6 - Option sale to close-call ²⁵
	1.000	62.99	06/12/20	52.00	...	10.99	2 of 6 - Option sale to close-call ²⁵
	1.000	63.00	06/12/20	48.00	...	15.00	3 of 6 - Option sale to close-call ²⁵
	2.000	145.99	06/12/20	110.00	...	35.99	4 of 6 - Option sale to close-call ²⁵
	3.000	218.99	06/12/20	171.00	...	47.99	5 of 6 - Option sale to close-call ²⁵
	3.000	218.98	06/12/20	165.00	...	53.98	6 of 6 - Option sale to close-call ²⁵
06/16/20	11.000	772.94	Various	603.00	...	169.94	Total of 6 transactions

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NCLH 05/22/2020 CALL \$12.50 / CUSIP: / Symbol:							
05/18/20	1.000	49.98	05/12/20	50.00	...	-0.02	Option sale to close-call ²⁵
NFLX 05/01/2020 CALL \$450.00 / CUSIP: / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	50.98	04/28/20	37.00	...	13.98	1 of 3 - Option sale to close-call ²⁵
	1.000	59.98	04/28/20	37.00	...	22.98	2 of 3 - Option sale to close-call ²⁵
	1.000	61.98	04/28/20	37.00	...	24.98	3 of 3 - Option sale to close-call ²⁵
04/29/20	3.000	172.94	Various	111.00	...	61.94	Total of 3 transactions
NFLX 05/22/2020 CALL \$440.00 / CUSIP: / Symbol:							
05/22/20	1.000	142.98	05/21/20	215.00	...	-72.02	Option sale to close-call ²⁵
NFLX 05/29/2020 CALL \$460.00 / CUSIP: / Symbol:							
05/26/20	1.000	27.98	05/22/20	87.00	...	-59.02	Option sale to close-call ²⁵
NFLX 06/05/2020 CALL \$420.00 / CUSIP: / Symbol:							
05/27/20	1.000	649.97	05/26/20	835.00	...	-185.03	Option sale to close-call ²⁵
NFLX 06/05/2020 CALL \$425.00 / CUSIP: / Symbol:							
05/27/20	1.000	639.97	05/27/20	325.00	...	314.97	Option sale to close-call ²⁵
NFLX 06/12/2020 CALL \$450.00 / CUSIP: / Symbol:							
06/03/20	1.000	142.98	06/02/20	231.00	...	-88.02	Option sale to close-call ²⁵
NFLX 06/19/2020 CALL \$420.00 / CUSIP: / Symbol:							
06/15/20	1.000	969.96	06/12/20	940.00	...	29.96	Option sale to close-call ²⁵
NFLX 06/19/2020 PUT \$420.00 / CUSIP: / Symbol:							
06/11/20	1.000	749.97	06/11/20	350.00	...	399.97	Option sale to close-put ²⁵
NFLX 06/26/2020 PUT \$425.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	62.98	06/22/20	54.00	...	8.98	1 of 2 - Option sale to close-put ²⁵
	1.000	69.98	06/22/20	55.00	...	14.98	2 of 2 - Option sale to close-put ²⁵
06/24/20	2.000	132.96	Various	109.00	...	23.96	Total of 2 transactions

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NFLX 06/26/2020 PUT \$460.00 / CUSIP: / Symbol: 06/24/20	1.000	829.97	06/22/20	790.00	...	39.97	Option sale to close-put ²⁵
NFLX 07/02/2020 PUT \$420.00 / CUSIP: / Symbol: 07/02/20	1.000	0.00	06/29/20	133.00	...	-133.00	Option expiration- long put
NFLX 07/10/2020 PUT \$450.00 / CUSIP: / Symbol: 07/08/20	1.000	24.98	07/01/20	340.00	...	-315.02	Option sale to close-put ²⁵
NFLX 07/10/2020 PUT \$455.00 / CUSIP: / Symbol: 07/08/20	1.000	32.98	07/01/20	375.00	...	-342.02	Option sale to close-put ²⁵
NFLX 07/24/2020 PUT \$450.00 / CUSIP: / Symbol: 07/23/20	1.000	21.98	07/20/20	87.00	...	-65.02	Option sale to close-put ²⁵
NFLX 09/18/2020 PUT \$350.00 / CUSIP: / Symbol: 07/28/20	1.000	102.98	07/16/20	214.00	...	-111.02	Option sale to close-put ²⁵
NIO 08/21/2020 PUT \$6.00 / CUSIP: / Symbol: 08/12/20	3.000	8.98	07/17/20	90.00	...	-81.02	Option sale to close-put ²⁵
NKE 07/02/2020 PUT \$90.00 / CUSIP: / Symbol: 06/29/20	1.000	38.98	06/26/20	39.00	...	-0.02	Option sale to close-put ²⁵
NTNX 05/29/2020 CALL \$25.00 / CUSIP: / Symbol: 05/27/20	1.000	169.98	05/26/20	170.00	...	-0.02	Option sale to close-call ²⁵
NTNX 06/05/2020 CALL \$25.00 / CUSIP: / Symbol: 05/29/20	1.000	39.98	05/28/20	65.00	...	-25.02	Option sale to close-call ²⁵
NVDA 05/29/2020 CALL \$345.00 / CUSIP: / Symbol: 05/27/20	1.000	269.98	05/27/20	255.00	...	14.98	Option sale to close-call ²⁵
NVDA 05/29/2020 CALL \$400.00 / CUSIP: / Symbol: 05/26/20	1.000	20.98	05/22/20	78.00	...	-57.02	Option sale to close-call ²⁵
NVDA 06/12/2020 PUT \$315.00 / CUSIP: / Symbol: 06/01/20	1.000	199.98	05/29/20	270.00	...	-70.02	Option sale to close-put ²⁵

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OKTA 06/05/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/02/20	1.000	79.98	06/01/20	155.00	...	-75.02	Option sale to close-put ²⁵
OKTA 07/10/2020 PUT \$197.50 / CUSIP: / Symbol:							
07/09/20	1.000	15.98	07/01/20	260.00	...	-244.02	Option sale to close-put ²⁵
OSTK 08/21/2020 PUT \$100.00 / CUSIP: / Symbol:							
08/20/20	1.000	9.98	08/17/20	235.00	...	-225.02	Option sale to close-put ²⁵
PANW 06/19/2020 CALL \$250.00 / CUSIP: / Symbol:							
05/26/20	1.000	524.97	05/22/20	350.00	...	174.97	Option sale to close-call ²⁵
PANW 09/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
05/19/20	1.000	544.97	05/18/20	540.00	...	4.97	Option sale to close-call ²⁵
PEP 07/17/2020 CALL \$135.00 / CUSIP: / Symbol:							
06/01/20	1.000	334.98	05/29/20	280.00	...	54.98	Option sale to close-call ²⁵
PINS 06/19/2020 CALL \$21.00 / CUSIP: / Symbol:							
06/05/20	1.000	144.98	06/04/20	125.00	...	19.98	Option sale to close-call ²⁵
PINS 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/03/20	4.000	119.98	06/01/20	100.00	...	19.98	Option sale to close-call ²⁵
PTON 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/18/20	2.000	1.98	05/18/20	84.00	...	-82.02	Option sale to close-call ²⁵
QCOM 06/12/2020 CALL \$83.00 / CUSIP: / Symbol:							
06/02/20	1.000	102.98	06/01/20	110.00	...	-7.02	Option sale to close-call ²⁵
QCOM 06/19/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/02/20	1.000	299.98	06/01/20	250.00	...	49.98	Option sale to close-call ²⁵
QCOM 08/07/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/29/20	1.000	208.98	07/28/20	140.00	...	68.98	Option sale to close-call ²⁵

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QQQ 06/19/2020 CALL \$250.00 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	74.99	06/11/20	66.00	...	8.99	1 of 3 - Option sale to close-call ²⁵
	4.000	283.98	06/11/20	264.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	2.000	149.99	06/11/20	106.00	...	43.99	3 of 3 - Option sale to close-call ²⁵
06/12/20	7.000	508.96	Various	436.00	...	72.96	Total of 3 transactions
QQQ 07/10/2020 PUT \$235.00 / CUSIP: / Symbol:							
06/24/20	1.000	256.98	06/23/20	202.00	...	54.98	Option sale to close-put ²⁵
QQQ 07/10/2020 PUT \$240.00 / CUSIP: / Symbol:							
06/24/20	1.000	399.98	06/23/20	286.00	...	113.98	Option sale to close-put ²⁵
QQQ 07/17/2020 PUT \$220.00 / CUSIP: / Symbol:							
06/26/20	2.000	369.98	06/25/20	260.00	...	109.98	Option sale to close-put ²⁵
07/09/20	1.000	13.98	06/29/20	158.00	144.02 W	0.00	Option sale to close-put ²⁵
07/14/20	1.000	7.98	07/02/20	176.02	...	-168.04	Option sale to close-put ²⁵
	Security total:	391.94		594.02	144.02 W	-58.06	
QQQ 07/17/2020 PUT \$240.00 / CUSIP: / Symbol:							
06/24/20	1.000	489.97	06/23/20	353.00	...	136.97	Option sale to close-put ²⁵
07/13/20	1.000	24.98	07/01/20	238.00	...	-213.02	Option sale to close-put ²⁵
	Security total:	514.95		591.00	...	-76.05	
QQQ 07/17/2020 PUT \$255.00 / CUSIP: / Symbol:							
07/09/20	1.000	349.98	07/06/20	330.00	...	19.98	Option sale to close-put ²⁵
QQQ 08/21/2020 PUT \$270.00 / CUSIP: / Symbol:							
08/11/20	1.000	681.97	08/03/20	653.00	...	28.97	Option sale to close-put ²⁵
QQQ 08/28/2020 PUT \$270.00 / CUSIP: / Symbol:							
08/11/20	1.000	742.97	08/05/20	628.00	...	114.97	Option sale to close-put ²⁵
QQQ 08/28/2020 PUT \$279.00 / CUSIP: / Symbol:							
08/27/20	1.000	12.98	08/20/20	359.00	...	-346.02	Option sale to close-put ²⁵

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QQQ 09/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/13/20	1.000	223.98	07/01/20	238.00	14.02 W	0.00	Option sale to close-put ²⁵
07/14/20	1.000	233.98	07/01/20	252.02	...	-18.04	Option sale to close-put ²⁵
	Security total:	457.96		490.02	14.02 W	-18.04	
QQQ 09/18/2020 PUT \$265.00 / CUSIP: / Symbol:							
08/11/20	2.000	1,909.94	07/31/20	1,976.00	...	-66.06	Option sale to close-put ²⁵
QQQ 09/18/2020 PUT \$268.00 / CUSIP: / Symbol:							
	3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	2.000	631.98	08/12/20	1,718.00	...	-1,086.02	1 of 3 - Option sale to close-put ²⁵
	1.000	315.99	08/12/20	818.00	...	-502.01	2 of 3 - Option sale to close-put ²⁵
	1.000	315.99	08/12/20	754.00	...	-438.01	3 of 3 - Option sale to close-put ²⁵
09/03/20	4.000	1,263.96	Various	3,290.00	...	-2,026.04	Total of 3 transactions
QQQ 09/18/2020 PUT \$270.00 / CUSIP: / Symbol:							
08/07/20	3.000	2,912.92	08/05/20	2,856.00	...	56.92	Option sale to close-put ²⁵
08/11/20	2.000	2,363.93	08/05/20	1,904.00	...	459.93	Option sale to close-put ²⁵
	Security total:	5,276.85		4,760.00	...	516.85	
QQQ 11/20/2020 PUT \$295.00 / CUSIP: / Symbol:							
11/10/20	1.000	1,125.96	11/05/20	708.00	...	417.96	Option sale to close-put ²⁵
QQQ 12/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/13/20	1.000	621.97	07/01/20	619.00	...	2.97	Option sale to close-put ²⁵
QQQ 12/31/2020 PUT \$290.00 / CUSIP: / Symbol:							
11/30/20	1.000	600.97	11/25/20	560.00	...	40.97	Option sale to close-put ²⁵
ROKU 07/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/16/20	1.000	269.98	07/15/20	291.00	...	-21.02	Option sale to close-call ²⁵
ROKU 12/31/2020 PUT \$315.00 / CUSIP: / Symbol:							
12/14/20	1.000	1,159.96	12/11/20	1,060.00	...	99.96	Option sale to close-put ²⁵
SE 06/19/2020 CALL \$83.00 / CUSIP: / Symbol:							
06/02/20	1.000	399.98	06/01/20	400.00	...	-0.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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SE 06/26/2020 CALL \$90.00 / CUSIP: / Symbol:							
06/03/20	1.000	349.98	06/02/20	220.00	...	129.98	Option sale to close-call ²⁵
SHOP 05/29/2020 PUT \$610.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/27/20	100.00	...	-100.00	Option expiration- long put
SHOP 05/29/2020 PUT \$750.00 / CUSIP: / Symbol:							
05/26/20	1.000	769.97	05/21/20	910.00	...	-140.03	Option sale to close-put ²⁵
SHOP 06/05/2020 PUT \$650.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	24.99	06/01/20	80.00	...	-55.01	1 of 2 - Option sale to close-put ²⁵
	1.000	44.98	06/02/20	145.01	...	-100.03	2 of 2 - Option sale to close-put ²⁵
06/04/20	2.000	69.97	Various	225.01	...	-155.04	Total of 2 transactions
06/04/20	1.000	24.99	06/01/20	120.00	95.01 W	0.00	Option sale to close-put ²⁵
	Security total:	94.96		345.01	95.01 W	-155.04	
SHOP 06/12/2020 PUT \$650.00 / CUSIP: / Symbol:							
06/11/20	1.000	49.98	06/04/20	215.00	...	-165.02	Option sale to close-put ²⁵
SHOP 06/26/2020 PUT \$750.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/18/20	340.00	...	-340.00	Option expiration- long put
SHOP 07/31/2020 PUT \$900.00 / CUSIP: / Symbol:							
07/30/20	1.000	49.98	07/29/20	50.00	...	-0.02	Option sale to close-put ²⁵
SLV 08/21/2020 CALL \$26.00 / CUSIP: / Symbol:							
08/13/20	1.000	104.98	08/11/20	102.00	...	2.98	Option sale to close-call ²⁵
SNAP 05/29/2020 CALL \$18.50 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	05/21/20	22.00	...	-7.02	1 of 2 - Option sale to close-call ²⁵
	1.000	21.98	05/21/20	22.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	36.96	Various	44.00	...	-7.04	Total of 2 transactions
SNAP 06/05/2020 CALL \$17.50 / CUSIP: / Symbol:							
05/28/20	1.000	48.98	05/27/20	38.00	...	10.98	Option sale to close-call ²⁵

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SNAP 07/24/2020 PUT \$22.00 / CUSIP: / Symbol:							
07/22/20	1.000	32.98	07/21/20	45.00	...	-12.02	Option sale to close-put ²⁵
SNPS 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	06/02/20	80.00	...	19.99	1 of 2 - Option sale to close-call ²⁵
	1.000	157.98	06/02/20	95.01	...	62.97	2 of 2 - Option sale to close-call ²⁵
06/09/20	2.000	257.97	Various	175.01	...	82.96	Total of 2 transactions
06/09/20	1.000	99.99	06/02/20	115.00	15.01 W	0.00	Option sale to close-call ²⁵
	Security total:	357.96		290.01	15.01 W	82.96	
SONO 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/15/20	1.000	15.98	06/09/20	45.00	...	-29.02	Option sale to close-call ²⁵
SPCE 07/24/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	178.98	07/13/20	83.00	...	95.98	1 of 3 - Option sale to close-call ²⁵
	1.000	179.98	07/13/20	83.00	...	96.98	2 of 3 - Option sale to close-call ²⁵
	2.000	323.98	07/13/20	166.00	...	157.98	3 of 3 - Option sale to close-call ²⁵
07/16/20	4.000	682.94	Various	332.00	...	350.94	Total of 3 transactions
SPCE 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/03/20	1.000	285.98	07/31/20	240.00	...	45.98	Option sale to close-call ²⁵
SPY 05/01/2020 PUT \$282.00 / CUSIP: / Symbol:							
05/01/20	1.000	43.98	04/30/20	33.00	...	10.98	Option sale to close-put ²⁵
SPY 05/29/2020 CALL \$294.00 / CUSIP: / Symbol:							
05/26/20	1.000	835.97	05/22/20	380.00	...	455.97	Option sale to close-call ²⁵
SPY 05/29/2020 PUT \$293.00 / CUSIP: / Symbol:							
05/21/20	1.000	333.98	05/20/20	324.00	...	9.98	Option sale to close-put ²⁵
SPY 05/29/2020 PUT \$299.00 / CUSIP: / Symbol:							
05/21/20	1.000	663.97	05/20/20	485.00	...	178.97	Option sale to close-put ²⁵
05/27/20	1.000	229.98	05/26/20	195.00	...	34.98	Option sale to close-put ²⁵
	Security total:	893.95		680.00	...	213.95	

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2020 1099-B* OMB No. 1545-0715

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SPY 06/01/2020 PUT \$297.00 / CUSIP: / Symbol:							
06/01/20	1.000	15.98	05/29/20	114.00	...	-98.02	Option sale to close-put ²⁵
SPY 06/03/2020 PUT \$293.00 / CUSIP: / Symbol:							
05/19/20	1.000	527.97	05/18/20	500.00	...	27.97	Option sale to close-put ²⁵
SPY 06/03/2020 PUT \$297.00 / CUSIP: / Symbol:							
06/01/20	1.000	91.98	05/29/20	185.00	...	-93.02	Option sale to close-put ²⁵
SPY 06/03/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/02/20	1.000	13.98	06/01/20	90.00	...	-76.02	Option sale to close-put ²⁵
SPY 06/05/2020 CALL \$301.00 / CUSIP: / Symbol:							
05/28/20	1.000	589.97	05/27/20	347.00	...	242.97	Option sale to close-call ²⁵
SPY 06/05/2020 CALL \$304.00 / CUSIP: / Symbol:							
06/01/20	1.000	342.98	05/29/20	254.00	...	88.98	Option sale to close-call ²⁵
SPY 06/05/2020 PUT \$266.00 / CUSIP: / Symbol:							
05/19/20	1.000	123.98	05/18/20	130.00	...	-6.02	Option sale to close-put ²⁵
SPY 06/05/2020 PUT \$301.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	399.98	05/28/20	290.00	...	109.98	1 of 2 - Option sale to close-put ²⁵
	1.000	378.98	05/28/20	252.00	...	126.98	2 of 2 - Option sale to close-put ²⁵
05/29/20	2.000	778.96	Various	542.00	...	236.96	Total of 2 transactions
SPY 06/08/2020 CALL \$303.00 / CUSIP: / Symbol:							
06/01/20	1.000	494.97	05/29/20	365.00	...	129.97	Option sale to close-call ²⁵
SPY 06/08/2020 PUT \$301.00 / CUSIP: / Symbol:							
06/02/20	1.000	149.98	06/01/20	263.00	...	-113.02	Option sale to close-put ²⁵
SPY 06/08/2020 PUT \$302.00 / CUSIP: / Symbol:							
05/29/20	1.000	499.97	05/28/20	321.00	...	178.97	Option sale to close-put ²⁵
SPY 06/08/2020 PUT \$311.00 / CUSIP: / Symbol:							
06/04/20	1.000	274.98	06/03/20	280.00	...	-5.02	Option sale to close-put ²⁵

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SPY 06/08/2020 PUT \$320.00 / CUSIP: / Symbol:							
06/08/20	1.000	79.98	06/05/20	287.02	...	-207.04	Option sale to close-put ²⁵
06/08/20	1.000	74.98	06/05/20	178.00	103.02 W	0.00	Option sale to close-put ²⁵
	Security total:	154.96		465.02	103.02 W	-207.04	
SPY 06/10/2020 PUT \$315.00 / CUSIP: / Symbol:							
06/09/20	1.000	59.98	06/05/20	167.00	...	-107.02	Option sale to close-put ²⁵
SPY 06/15/2020 CALL \$315.00 / CUSIP: / Symbol:							
06/04/20	1.000	246.98	06/03/20	283.00	...	-36.02	Option sale to close-call ²⁵
SPY 06/15/2020 CALL \$321.00 / CUSIP: / Symbol:							
06/10/20	1.000	383.98	06/09/20	357.00	...	26.98	Option sale to close-call ²⁵
SPY 06/17/2020 CALL \$305.00 / CUSIP: / Symbol:							
06/12/20	1.000	651.97	06/11/20	555.00	...	96.97	Option sale to close-call ²⁵
SPY 06/17/2020 CALL \$322.00 / CUSIP: / Symbol:							
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	5.000	39.98	06/12/20	75.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	5.000	39.99	06/12/20	75.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
06/15/20	10.000	79.97	Various	150.00	...	-70.03	Total of 2 transactions
SPY 06/19/2020 CALL \$290.00 / CUSIP: / Symbol:							
05/18/20	1.000	1,026.96	05/15/20	625.00	...	401.96	Option sale to close-call ²⁵
SPY 06/19/2020 CALL \$320.00 / CUSIP: / Symbol:							
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	2.000	159.98	06/11/20	128.00	...	31.98	1 of 2 - Option sale to close-call ²⁵
	4.000	319.98	06/11/20	256.00	...	63.98	2 of 2 - Option sale to close-call ²⁵
06/12/20	6.000	479.96	Various	384.00	...	95.96	Total of 2 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	62.98	06/11/20	64.00	1.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	62.99	06/11/20	64.00	1.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	62.99	06/11/20	63.02	0.03 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	54.99	06/11/20	63.01	8.02 W	0.00	4 of 5 - Option sale to close-call ²⁵

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SPY 06/19/2020 CALL \$320.00 / CUSIP: / Symbol: (cont'd)							
	1.000	54.99	06/11/20	56.03	1.04 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/12/20	5.000	298.94	Various	310.06	11.12 W	0.00	Total of 5 transactions
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	06/15/20	28.02	...	26.96	1 of 4 - Option sale to close-call ²⁵
	1.000	53.00	06/15/20	18.04	...	34.96	2 of 4 - Option sale to close-call ²⁵
	3.000	158.98	06/15/20	51.00	...	107.98	3 of 4 - Option sale to close-call ²⁵
	5.000	264.98	06/15/20	85.00	...	179.98	4 of 4 - Option sale to close-call ²⁵
06/15/20	10.000	531.94	Various	182.06	...	349.88	Total of 4 transactions
06/15/20	1.000	53.00	06/11/20	64.02	11.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,363.84		940.14	22.14 W	445.84	
SPY 06/19/2020 CALL \$320.50 / CUSIP: / Symbol:							
06/12/20	2.000	171.98	06/11/20	120.00	...	51.98	Option sale to close-call ²⁵
SPY 06/19/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/19/20	1.000	3.98	06/16/20	137.00	...	-133.02	Option sale to close-put ²⁵
SPY 06/22/2020 PUT \$310.00 / CUSIP: / Symbol:							
06/19/20	1.000	129.98	06/18/20	320.00	...	-190.02	Option sale to close-put ²⁵
SPY 06/26/2020 CALL \$320.00 / CUSIP: / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	77.99	06/19/20	75.00	...	2.99	1 of 4 - Option sale to close-call ²⁵
	1.000	81.99	06/22/20	43.00	...	38.99	2 of 4 - Option sale to close-call ²⁵
	1.000	81.99	06/22/20	40.00	...	41.99	3 of 4 - Option sale to close-call ²⁵
	4.000	311.98	06/22/20	160.00	...	151.98	4 of 4 - Option sale to close-call ²⁵
06/23/20	7.000	553.95	Various	318.00	...	235.95	Total of 4 transactions
06/23/20	1.000	78.00	06/19/20	81.00	3.00 W	0.00	Option sale to close-call ²⁵
	Security total:	631.95		399.00	3.00 W	235.95	
SPY 06/26/2020 PUT \$300.00 / CUSIP: / Symbol:							
9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	125.99	06/23/20	51.00	...	74.99	1 of 9 - Option sale to close-put ²⁵
	1.000	124.99	06/23/20	44.00	...	80.99	2 of 9 - Option sale to close-put ²⁵
	1.000	125.99	06/23/20	44.00	...	81.99	3 of 9 - Option sale to close-put ²⁵

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SPY 06/26/2020 PUT \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	125.99	06/23/20	43.00	...	82.99	4 of 9 - Option sale to close-put ²⁵
	1.000	125.99	06/23/20	43.00	...	82.99	5 of 9 - Option sale to close-put ²⁵
	1.000	125.99	06/23/20	40.00	...	85.99	6 of 9 - Option sale to close-put ²⁵
	1.000	125.99	06/23/20	40.00	...	85.99	7 of 9 - Option sale to close-put ²⁵
	1.000	126.00	06/23/20	40.00	...	86.00	8 of 9 - Option sale to close-put ²⁵
	1.000	124.99	06/23/20	38.00	...	86.99	9 of 9 - Option sale to close-put ²⁵
06/24/20	9.000	1,131.92	Various	383.00	...	748.92	Total of 9 transactions
SPY 06/29/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/26/20	1.000	129.98	06/25/20	128.00	...	1.98	Option sale to close-put ²⁵
SPY 06/30/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/29/20	3.000	35.98	06/26/20	111.00	...	-75.02	Option sale to close-call ²⁵
SPY 06/30/2020 CALL \$320.00 / CUSIP: / Symbol:							
12 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	3.000	44.99	06/24/20	123.00	...	-78.01	1 of 12 - Option sale to close-call ²⁵
	2.000	30.00	06/24/20	76.00	...	-46.00	2 of 12 - Option sale to close-call ²⁵
	5.000	74.98	06/24/20	120.00	...	-45.02	3 of 12 - Option sale to close-call ²⁵
	5.000	74.98	06/24/20	120.00	...	-45.02	4 of 12 - Option sale to close-call ²⁵
	3.000	44.99	06/24/20	90.00	...	-45.01	5 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	38.00	...	-23.00	6 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	36.00	...	-21.00	7 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	35.00	...	-20.00	8 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	33.00	...	-18.00	9 of 12 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 06/30/2020 CALL \$320.00 / CUSIP: / Symbol: (cont'd)							
	1.000	15.00	06/24/20	33.00	...	-18.00	10 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	33.00	...	-18.00	11 of 12 - Option sale to close-call ²⁵
	1.000	15.00	06/24/20	31.00	...	-16.00	12 of 12 - Option sale to close-call ²⁵
06/25/20	25.000	374.94	Various	768.00	...	-393.06	Total of 12 transactions
SPY 06/30/2020 PUT \$300.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	254.98	06/23/20	113.00	...	141.98	1 of 2 - Option sale to close-put ²⁵
	1.000	254.98	06/23/20	107.00	...	147.98	2 of 2 - Option sale to close-put ²⁵
06/24/20	2.000	509.96	Various	220.00	...	289.96	Total of 2 transactions
SPY 07/02/2020 CALL \$305.00 / CUSIP: / Symbol:							
06/29/20	1.000	199.98	06/26/20	315.00	...	-115.02	Option sale to close-call ²⁵
SPY 07/02/2020 PUT \$290.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/29/20	58.00	...	-58.00	Option expiration- long put
SPY 07/10/2020 PUT \$300.00 / CUSIP: / Symbol:							
07/08/20	2.000	19.98	07/01/20	396.00	...	-376.02	Option sale to close-put ²⁵
SPY 07/17/2020 CALL \$320.00 / CUSIP: / Symbol:							
06/18/20	1.000	463.97	06/16/20	550.00	86.03 W	0.00	Option sale to close-call ²⁵
07/15/20	1.000	413.98	07/14/20	306.03	...	107.95	Option sale to close-call ²⁵
	Security total:	877.95		856.03	86.03 W	107.95	
SPY 07/17/2020 CALL \$325.00 / CUSIP: / Symbol:							
07/16/20	1.000	19.98	07/15/20	104.00	...	-84.02	Option sale to close-call ²⁵
SPY 07/17/2020 PUT \$321.00 / CUSIP: / Symbol:							
07/14/20	1.000	837.97	07/13/20	282.00	...	555.97	Option sale to close-put ²⁵
SPY 08/07/2020 CALL \$335.00 / CUSIP: / Symbol:							
08/07/20	1.000	39.98	08/06/20	35.00	...	4.98	Option sale to close-call ²⁵

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SPY 08/07/2020 PUT \$330.00 / CUSIP: / Symbol:							
08/07/20	2.000	5.98	08/03/20	568.00	...	-562.02	Option sale to close-put ²⁵
SPY 08/21/2020 PUT \$331.00 / CUSIP: / Symbol:							
08/11/20	8.000	2,895.91	08/07/20	2,800.00	...	95.91	Option sale to close-put ²⁵
SPY 08/31/2020 PUT \$330.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	291.99	08/12/20	322.00	...	-30.01	1 of 2 - Option sale to close-put ²⁵
	1.000	291.99	08/12/20	322.00	...	-30.01	2 of 2 - Option sale to close-put ²⁵
08/14/20	2.000	583.98	Various	644.00	...	-60.02	Total of 2 transactions
08/14/20	18.000	5,255.85	08/11/20	5,490.00	65.04 W	-169.11	Option sale to close-put ²⁵
08/24/20	5.000	244.98	08/12/20	1,575.04	...	-1,330.06	Option sale to close-put ²⁵
	Security total:	6,084.81		7,709.04	65.04 W	-1,559.19	
SPY 11/20/2020 PUT \$350.00 / CUSIP: / Symbol:							
11/20/20	1.000	2.98	11/05/20	593.00	...	-590.02	Option sale to close-put ²⁵
STAY 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/16/20	2.000	119.98	06/09/20	120.00	...	-0.02	Option sale to close-call ²⁵
STAY 10/16/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/03/20	1.000	224.98	06/01/20	215.00	...	9.98	Option sale to close-call ²⁵
STAY 10/16/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/08/20	1.000	119.98	06/03/20	120.00	...	-0.02	Option sale to close-call ²⁵
STM 08/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	60.98	07/15/20	66.00	...	-5.02	1 of 2 - Option sale to close-call ²⁵
	1.000	60.98	07/15/20	66.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
07/16/20	2.000	121.96	Various	132.00	...	-10.04	Total of 2 transactions
STMP 08/07/2020 CALL \$325.00 / CUSIP: / Symbol:							
08/03/20	1.000	599.97	07/31/20	480.00	...	119.97	Option sale to close-call ²⁵

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SYF 07/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/28/20	1.000	154.98	05/27/20	140.00	...	14.98	Option sale to close-call ²⁵
TGT 06/19/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/21/20	1.000	167.98	05/20/20	290.00	...	-122.02	Option sale to close-call ²⁵
TLRY 05/01/2020 CALL \$7.00 / CUSIP: / Symbol:							
04/24/20	1.000	66.98	04/20/20	61.00	...	5.98	Option sale to close-call ²⁵
TLRY 05/29/2020 CALL \$12.00 / CUSIP: / Symbol:							
05/27/20	1.000	34.98	05/26/20	69.00	...	-34.02	Option sale to close-call ²⁵
TLRY 06/26/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	32.98	06/19/20	26.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	3.000	92.98	06/19/20	84.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
06/23/20	4.000	125.96	Various	110.00	...	15.96	Total of 2 transactions
TSLA 05/29/2020 CALL \$900.00 / CUSIP: / Symbol:							
05/27/20	1.000	178.98	05/26/20	180.00	...	-1.02	Option sale to close-call ²⁵
TSLA 05/29/2020 CALL \$960.00 / CUSIP: / Symbol:							
05/28/20	1.000	8.98	05/27/20	54.00	...	-45.02	Option sale to close-call ²⁵
TSLA 05/29/2020 PUT \$750.00 / CUSIP: / Symbol:							
05/27/20	1.000	229.98	05/21/20	560.00	...	-330.02	Option sale to close-put ²⁵
TSLA 06/05/2020 PUT \$700.00 / CUSIP: / Symbol:							
06/01/20	1.000	29.98	05/29/20	80.00	...	-50.02	Option sale to close-put ²⁵
TSLA 06/05/2020 PUT \$850.00 / CUSIP: / Symbol:							
06/04/20	1.000	485.97	06/04/20	269.00	...	216.97	Option sale to close-put ²⁵
TSLA 06/12/2020 CALL \$1,150.00 / CUSIP: / Symbol:							
06/08/20	1.000	223.98	06/08/20	202.00	...	21.98	Option sale to close-call ²⁵
TSLA 06/12/2020 PUT \$840.00 / CUSIP: / Symbol:							
06/11/20	1.000	99.98	06/05/20	1,040.00	...	-940.02	Option sale to close-put ²⁵

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TSLA 06/12/2020 PUT \$900.00 / CUSIP: / Symbol:							
06/11/20	1.000	69.98	06/10/20	68.00	...	1.98	Option sale to close-put ²⁵
TSLA 06/19/2020 PUT \$850.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	06/15/20	780.00	...	-777.01	1 of 2 - Option sale to close-put ²⁵
	1.000	2.99	06/15/20	163.00	...	-160.01	2 of 2 - Option sale to close-put ²⁵
06/19/20	2.000	5.98	Various	943.00	...	-937.02	Total of 2 transactions
TSLA 06/19/2020 PUT \$875.00 / CUSIP: / Symbol:							
06/19/20	1.000	2.98	06/15/20	755.00	...	-752.02	Option sale to close-put ²⁵
TSLA 06/19/2020 PUT \$950.00 / CUSIP: / Symbol:							
06/19/20	1.000	29.98	06/17/20	269.00	...	-239.02	Option sale to close-put ²⁵
TSLA 06/26/2020 PUT \$950.00 / CUSIP: / Symbol:							
06/19/20	1.000	1,299.96	06/19/20	1,035.00	...	264.96	Option sale to close-put ²⁵
TSLA 06/26/2020 PUT \$970.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	2,499.94	06/23/20	635.00	...	1,864.94	1 of 2 - Option sale to close-put ²⁵
	1.000	2,499.93	06/24/20	2,400.00	...	99.93	2 of 2 - Option sale to close-put ²⁵
06/24/20	2.000	4,999.87	Various	3,035.00	...	1,964.87	Total of 2 transactions
TSLA 07/02/2020 PUT \$1,000.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/30/20	750.00	...	-750.00	1 of 2 - Option expiration- long put
	1.000	0.00	06/30/20	500.00	...	-500.00	2 of 2 - Option expiration- long put
07/02/20	2.000	0.00	Various	1,250.00	...	-1,250.00	Total of 2 transactions
TSLA 07/02/2020 PUT \$900.00 / CUSIP: / Symbol:							
06/26/20	1.000	769.97	06/25/20	1,140.00	...	-370.03	Option sale to close-put ²⁵
TSLA 07/02/2020 PUT \$970.00 / CUSIP: / Symbol:							
07/02/20	1.000	1.98	07/01/20	20.00	...	-18.02	Option sale to close-put ²⁵

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TSLA 07/10/2020 PUT \$1,000.00 / CUSIP: / Symbol:								
07/08/20	1.000	151.98	07/06/20	151.00	...	0.98	Option sale to close-put ²⁵	
TSLA 07/17/2020 PUT \$1,250.00 / CUSIP: / Symbol:								
07/16/20	1.000	182.98	07/15/20	490.00	...	-307.02	Option sale to close-put ²⁵	
TSLA 07/24/2020 CALL \$2,500.00 / CUSIP: / Symbol:								
07/23/20	1.000	4.98	07/22/20	240.00	...	-235.02	Option sale to close-call ²⁵	
TSLA 07/31/2020 PUT \$1,000.00 / CUSIP: / Symbol:								
07/23/20	1.000	105.98	07/21/20	395.00	...	-289.02	Option sale to close-put ²⁵	
TTWO 05/15/2020 CALL \$133.00 / CUSIP: / Symbol:								
05/08/20	1.000	99.98	04/28/20	85.00	...	14.98	Option sale to close-call ²⁵	
05/11/20	1.000	109.98	04/28/20	85.00	...	24.98	Option sale to close-call ²⁵	
Security total:		209.96		170.00	...	39.96		
TWTR 06/26/2020 CALL \$34.00 / CUSIP: / Symbol:								
06/23/20	1.000	43.98	06/19/20	84.00	...	-40.02	Option sale to close-call ²⁵	
TWTR 07/17/2020 CALL \$31.00 / CUSIP: / Symbol:								
07/01/20	2.000	227.98	06/24/20	398.00	...	-170.02	Option sale to close-call ²⁵	
TWTR 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:								
06/10/20	1.000	245.98	06/09/20	241.00	...	4.98	Option sale to close-call ²⁵	
UAL 06/26/2020 CALL \$38.00 / CUSIP: / Symbol:								
06/22/20	1.000	100.98	06/19/20	162.00	...	-61.02	Option sale to close-call ²⁵	
UBER 06/26/2020 CALL \$33.00 / CUSIP: / Symbol:								
06/23/20	1.000	75.98	06/19/20	108.00	...	-32.02	Option sale to close-call ²⁵	
UBER 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:								
06/16/20	1.000	187.98	06/15/20	120.00	...	67.98	Option sale to close-call ²⁵	
		3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	3.000	95.98	06/24/20	150.00	...	-54.02	1 of 3 - Option sale to close-call ²⁵	
	1.000	34.98	06/24/20	50.00	...	-15.02	2 of 3 - Option sale to close-call ²⁵	

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UBER 07/17/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.98	06/24/20	50.00	...	-15.02	3 of 3 - Option sale to close-call ²⁵
06/30/20	5.000	165.94	Various	250.00	...	-84.06	Total of 3 transactions
	Security total:	353.92		370.00	...	-16.08	
UBER 07/31/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/30/20	1.000	274.98	06/24/20	319.02	...	-44.04	Option sale to close-call ²⁵
06/30/20	1.000	256.98	06/24/20	286.00	29.02 W	0.00	Option sale to close-call ²⁵
	Security total:	531.96		605.02	29.02 W	-44.04	
UPS 08/14/2020 PUT \$155.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/07/20	203.00	...	-203.00	Option expiration- long put
UPS 08/21/2020 PUT \$145.00 / CUSIP: / Symbol:							
07/31/20	1.000	729.97	07/30/20	528.00	...	201.97	Option sale to close-put ²⁵
VEEV 06/19/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/08/20	1.000	489.97	06/02/20	480.00	...	9.97	Option sale to close-call ²⁵
VEEV 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/10/20	1.000	214.98	06/09/20	195.00	...	19.98	Option sale to close-call ²⁵
06/15/20	1.000	129.98	06/12/20	105.00	...	24.98	Option sale to close-call ²⁵
	Security total:	344.96		300.00	...	44.96	
VEEV 06/19/2020 CALL \$240.00 / CUSIP: / Symbol:							
06/15/20	1.000	34.98	06/12/20	50.00	...	-15.02	Option sale to close-call ²⁵
VEEV 06/19/2020 PUT \$180.00 / CUSIP: / Symbol:							
06/09/20	1.000	14.98	05/28/20	170.00	...	-155.02	Option sale to close-put ²⁵
VEEV 06/19/2020 PUT \$210.00 / CUSIP: / Symbol:							
06/01/20	1.000	539.97	05/29/20	550.00	...	-10.03	Option sale to close-put ²⁵
VRTU 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/18/20	1.000	204.98	05/14/20	104.00	...	100.98	Option sale to close-call ²⁵
05/27/20	1.000	119.98	05/19/20	125.00	...	-5.02	Option sale to close-call ²⁵
	Security total:	324.96		229.00	...	95.96	

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VSTM 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	05/01/20	33.02	...	1.96	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	05/01/20	19.00	...	15.98	2 of 2 - Option sale to close-call ²⁵
05/04/20	2.000	69.96	Various	52.02	...	17.94	Total of 2 transactions
05/04/20	1.000	34.98	04/27/20	49.00	14.02 W	0.00	Option sale to close-call ²⁵
	Security total:	104.94		101.02	14.02 W	17.94	
WBA 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/14/20	1.000	0.98	07/08/20	55.00	...	-54.02	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$125.00 / CUSIP: / Symbol:							
06/22/20	1.000	291.98	06/16/20	270.00	...	21.98	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	574.97	08/13/20	380.00	...	194.97	1 of 2 - Option sale to close-call ²⁵
	1.000	614.97	08/13/20	380.00	...	234.97	2 of 2 - Option sale to close-call ²⁵
08/17/20	2.000	1,189.94	Various	760.00	...	429.94	Total of 2 transactions
WMT 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	07/27/20	93.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	97.98	07/27/20	92.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
07/28/20	2.000	195.96	Various	185.00	...	10.96	Total of 2 transactions
07/30/20	1.000	69.98	07/29/20	67.00	...	2.98	Option sale to close-call ²⁵
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	51.98	07/31/20	50.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	4.000	207.98	07/31/20	200.00	...	7.98	2 of 2 - Option sale to close-call ²⁵
08/03/20	5.000	259.96	Various	250.00	...	9.96	Total of 2 transactions
	Security total:	525.90		502.00	...	23.90	

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORK 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	116.98	04/30/20	60.00	...	56.98	1 of 2 - Option sale to close-call ²⁵
	1.000	129.98	04/30/20	60.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
05/07/20	2.000	246.96	Various	120.00	...	126.96	Total of 2 transactions
WORK 06/12/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/10/20	1.000	94.98	06/05/20	145.00	...	-50.02	Option sale to close-call ²⁵
WORK 07/17/2020 PUT \$32.00 / CUSIP: / Symbol:							
06/25/20	1.000	254.98	06/24/20	219.00	...	35.98	Option sale to close-put ²⁵
XLNX 07/31/2020 CALL \$105.00 / CUSIP: / Symbol:							
07/27/20	1.000	299.98	07/21/20	266.00	...	33.98	Option sale to close-call ²⁵
XLNX 07/31/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/29/20	1.000	149.98	07/28/20	97.00	...	52.98	Option sale to close-call ²⁵
XLNX 08/07/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/03/20	1.000	3.98	07/30/20	110.00	...	-106.02	Option sale to close-call ²⁵
XLNX 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/27/20	1.000	182.98	07/08/20	162.00	...	20.98	Option sale to close-call ²⁵
07/30/20	1.000	289.98	07/14/20	150.00	...	139.98	Option sale to close-call ²⁵
	Security total:	472.96		312.00	...	160.96	
ZM 06/05/2020 PUT \$170.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	06/02/20	152.00	...	-152.00	Option expiration- long put
ZM 06/12/2020 PUT \$180.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/05/20	190.00	...	-190.00	1 of 2 - Option expiration- long put
	1.000	0.00	06/08/20	80.00	...	-80.00	2 of 2 - Option expiration- long put
06/12/20	2.000	0.00	Various	270.00	...	-270.00	Total of 2 transactions
ZM 06/12/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/08/20	340.00	...	-340.00	Option expiration- long put

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ZM 06/12/2020 PUT \$207.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/08/20	830.00	...	-830.00	Option expiration- long put
ZM 06/12/2020 PUT \$215.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,629.95	06/03/20	940.00	...	689.95	Option sale to close-put ²⁵
ZM 06/19/2020 PUT \$195.00 / CUSIP: / Symbol:							
06/04/20	1.000	549.97	06/03/20	500.00	...	49.97	Option sale to close-put ²⁵
ZM 06/19/2020 PUT \$207.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/10/20	610.00	...	-610.00	Option expiration- long put
ZM 06/19/2020 PUT \$220.00 / CUSIP: / Symbol:							
06/12/20	1.000	799.97	06/11/20	700.00	...	99.97	Option sale to close-put ²⁵
ZM 06/19/2020 PUT \$230.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/15/20	650.00	...	-645.02	Option sale to close-put ²⁵
ZM 06/26/2020 PUT \$195.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/12/20	270.00	...	-270.00	Option expiration- long put
ZM 07/02/2020 CALL \$265.00 / CUSIP: / Symbol:							
06/30/20	1.000	94.98	06/29/20	95.00	...	-0.02	Option sale to close-call ²⁵
ZM 07/02/2020 PUT \$230.00 / CUSIP: / Symbol:							
06/29/20	1.000	144.98	06/26/20	125.00	...	19.98	Option sale to close-put ²⁵
ZM 08/21/2020 PUT \$150.00 / CUSIP: / Symbol:							
07/24/20	1.000	39.98	06/19/20	245.00	...	-205.02	Option sale to close-put ²⁵
ZM 08/21/2020 PUT \$155.00 / CUSIP: / Symbol:							
06/19/20	1.000	284.98	06/16/20	390.00	...	-105.02	Option sale to close-put ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/09/20	50.000	637.48	04/06/20	472.50	...	164.98	Sale ²⁵
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	50.000	479.75	04/06/20	472.50	...	7.25	1 of 3 - Sale ²⁵
	15.000	142.65	05/04/20	146.98	...	-4.33	2 of 3 - Sale ²⁵

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	5.000	47.55	05/06/20	46.64	...	0.91	3 of 3 - Sale ²⁵
05/18/20	70.000	669.95	Various	666.12	...	3.83	Total of 3 transactions
	Security total:	1,307.43		1,138.62	...	168.81	
ARCONIC CORPORATION / CUSIP: 03966V107 / Symbol:							
04/08/20	80.000	662.37	04/07/20	585.60	...	76.77	Sale ²⁵
	6 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	1.000	10.85	04/08/20	9.55	...	1.30	1 of 6 - Sale ²⁵
	2.000	21.70	04/08/20	19.10	...	2.60	2 of 6 - Sale ²⁵
	10.000	108.50	04/08/20	100.80	...	7.70	3 of 6 - Sale ²⁵
	10.000	108.50	04/08/20	95.50	...	13.00	4 of 6 - Sale ²⁵
	10.000	108.50	04/08/20	95.20	...	13.30	5 of 6 - Sale ²⁵
	17.000	184.45	04/08/20	162.35	...	22.10	6 of 6 - Sale ²⁵
04/09/20	50.000	542.50	Various	482.50	...	60.00	Total of 6 transactions
05/18/20	15.000	136.49	04/14/20	144.45	...	-7.96	Sale ²⁵
05/18/20	50.000	454.98	04/09/20	509.00	32.41 W	-21.61	Sale ²⁵
	7 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	10.000	105.50	04/14/20	96.30	...	9.20	1 of 7 - Sale ²⁵
	4.000	42.20	04/16/20	33.20	...	9.00	2 of 7 - Sale ²⁵
	10.000	105.60	04/17/20	91.80	...	13.80	3 of 7 - Sale ²⁵
	11.000	116.05	04/17/20	100.98	...	15.07	4 of 7 - Sale ²⁵
	10.000	105.60	04/28/20	101.45	...	4.15	5 of 7 - Sale ²⁵
	10.000	105.60	04/29/20	95.36	...	10.24	6 of 7 - Sale ²⁵
	10.000	105.60	05/01/20	94.35	...	11.25	7 of 7 - Sale ²⁵
05/20/20	65.000	686.15	Various	613.44	...	72.71	Total of 7 transactions
	Security total:	2,482.49		2,334.99	32.41 W	179.91	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
05/14/20	10.000	66.30	05/12/20	68.28	...	-1.98	Sale ²⁵
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
	7 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	20.000	40.40	03/20/20	25.20	...	15.20	1 of 7 - Sale ²⁵
	21.000	42.41	03/20/20	26.46	...	15.95	2 of 7 - Sale ²⁵

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	30.000	60.59	03/20/20	37.80	...	22.79	3 of 7 - Sale ²⁵
	37.000	74.73	03/20/20	46.62	...	28.11	4 of 7 - Sale ²⁵
	54.000	109.07	03/20/20	68.04	...	41.03	5 of 7 - Sale ²⁵
	100.000	201.99	03/20/20	126.00	...	75.99	6 of 7 - Sale ²⁵
	138.000	278.73	03/20/20	173.88	...	104.85	7 of 7 - Sale ²⁵
03/24/20	400.000	807.92	Various	504.00	...	303.92	Total of 7 transactions
	2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	50.000	87.01	03/25/20	85.00	...	2.01	1 of 2 - Sale ²⁵
	50.000	88.99	03/25/20	85.00	...	3.99	2 of 2 - Sale ²⁵
03/27/20	100.000	176.00	Various	170.00	...	6.00	Total of 2 transactions
	2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	7.000	10.85	04/14/20	9.10	...	1.75	1 of 2 - Sale ²⁵
	93.000	144.14	04/14/20	120.90	...	23.24	2 of 2 - Sale ²⁵
04/15/20	100.000	154.99	Various	130.00	...	24.99	Total of 2 transactions
04/22/20	50.000	63.04	04/17/20	68.00	...	-4.96	Sale ²⁵
	Security total:	1,201.95		872.00	...	329.95	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
03/30/20	30.000	644.38	03/25/20	634.50	...	9.88	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/17/20	1.000	152.39	04/16/20	136.54	...	15.85	Sale ²⁵
05/18/20	1.000	133.68	04/20/20	145.98	...	-12.30	Sale ²⁵
	Security total:	286.07		282.52	...	3.55	
CADENCE BANCORPORATION / CUSIP: 12739A100 / Symbol:							
04/20/20	90.000	490.49	04/16/20	461.70	...	28.79	Sale ²⁵
05/18/20	10.000	64.24	04/16/20	51.30	...	12.94	Sale ²⁵
	Security total:	554.73		513.00	...	41.73	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
	3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	10.000	13.70	02/07/20	20.20	6.50 W	0.00	1 of 3 - Sale ²⁵
	40.000	54.80	02/07/20	80.80	26.00 W	0.00	2 of 3 - Sale ²⁵

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CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol: (cont'd)							
	50.000	68.49	02/07/20	101.00	32.51 W	0.00	3 of 3 - Sale ²⁵
03/27/20	100.000	136.99	Various	202.00	65.01 W	0.00	Total of 3 transactions
6 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	50.000	108.99	04/07/20	79.00	...	29.99	1 of 6 - Sale ²⁵
	50.000	109.00	04/07/20	79.00	...	30.00	2 of 6 - Sale ²⁵
	8.000	17.44	04/08/20	11.47	...	5.97	3 of 6 - Sale ²⁵
	8.000	17.44	04/08/20	11.12	...	6.32	4 of 6 - Sale ²⁵
	10.000	21.80	04/08/20	13.90	...	7.90	5 of 6 - Sale ²⁵
	32.000	69.75	04/08/20	45.90	...	23.85	6 of 6 - Sale ²⁵
04/13/20	158.000	344.42	Various	240.39	...	104.03	Total of 6 transactions
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	10.000	21.80	04/07/20	22.30	0.50 W	0.00	1 of 3 - Sale ²⁵
	40.000	87.19	04/07/20	89.20	2.01 W	0.00	2 of 3 - Sale ²⁵
	50.000	109.00	04/07/20	111.51	2.51 W	0.00	3 of 3 - Sale ²⁵
04/13/20	100.000	217.99	Various	223.01	5.02 W	0.00	Total of 3 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	42.000	73.50	04/29/20	81.07	...	-7.57	1 of 2 - Sale ²⁵
	58.000	101.49	04/29/20	109.04	...	-7.55	2 of 2 - Sale ²⁵
05/01/20	100.000	174.99	Various	190.11	...	-15.12	Total of 2 transactions
	Security total:	874.39		855.51	70.03 W	88.91	
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON STOCK / CUSIP: 192446102 / Symbol:							
03/26/20	1.000	49.43	03/25/20	45.96	...	3.47	Sale ²⁵
COVANTA HOLDING CORPORATION / CUSIP: 22282E102 / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	20.000	140.99	04/17/20	146.40	...	-5.41	1 of 2 - Sale ²⁵
	10.000	70.50	04/17/20	73.18	...	-2.68	2 of 2 - Sale ²⁵
04/27/20	30.000	211.49	Various	219.58	...	-8.09	Total of 2 transactions
04/27/20	50.000	352.48	04/17/20	376.00	9.41 W	-14.11	Sale ²⁵
05/13/20	10.000	81.24	04/17/20	77.91	...	3.33	Sale ²⁵
05/15/20	10.000	82.60	04/17/20	77.90	...	4.70	Sale ²⁵
	Security total:	727.81		751.39	9.41 W	-14.17	

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CREDIT SUISSE X-LINKS MONTHLY PAY 2XLEVERAGED MORTGAGE REIT ETN / CUSIP: 22539T282 / Symbol:							
04/20/20	100.000	270.99	04/15/20	250.00	...	20.99	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
04/15/20	10.000	240.93	04/14/20	259.10	...	-18.17	Sale ²⁵
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol:							
04/20/20	8.000	266.72	04/13/20	285.56	...	-18.84	Sale ²⁵
05/15/20	2.000	77.97	04/13/20	71.39	...	6.58	Sale ²⁵
	Security total:	344.69		356.95	...	-12.26	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
04/01/20	250.000	1,209.96	03/30/20	1,102.50	...	107.46	Sale ²⁵
	<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	12.45	04/07/20	11.74	...	0.71	1 of 2 - Sale ²⁵
	20.000	124.45	04/08/20	110.40	...	14.05	2 of 2 - Sale ²⁵
04/09/20	22.000	136.90	Various	122.14	...	14.76	Total of 2 transactions
04/13/20	100.000	599.21	04/09/20	575.00	...	24.21	Sale ²⁵
04/20/20	75.000	474.74	04/16/20	433.50	...	41.24	Sale ²⁵
05/20/20	10.000	81.02	04/16/20	57.80	...	23.22	Sale ²⁵
	Security total:	2,501.83		2,290.94	...	210.89	
FLEXSHOPPER, INC. COMMON STOCK / CUSIP: 33939J303 / Symbol:							
	<i>3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	126.99	03/20/20	123.50	...	3.49	1 of 3 - Sale ²⁵
	100.000	126.99	03/20/20	123.50	...	3.49	2 of 3 - Sale ²⁵
	100.000	126.99	03/20/20	123.50	...	3.49	3 of 3 - Sale ²⁵
03/26/20	300.000	380.97	Various	370.50	...	10.47	Total of 3 transactions
	<i>3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>						
	200.000	221.23	04/06/20	196.00	...	25.23	1 of 3 - Sale ²⁵
	200.000	221.23	04/06/20	196.00	...	25.23	2 of 3 - Sale ²⁵
	200.000	221.23	04/06/20	196.00	...	25.23	3 of 3 - Sale ²⁵
04/13/20	600.000	663.69	Various	588.00	...	75.69	Total of 3 transactions

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FLEXSHOPPER, INC. COMMON STOCK / CUSIP: 33939J303 / Symbol: (cont'd)							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	11.000	14.08	04/06/20	10.78	...	3.30	1 of 3 - Sale ²⁵
	69.000	88.31	04/06/20	67.62	...	20.69	2 of 3 - Sale ²⁵
	70.000	89.59	04/06/20	68.60	...	20.99	3 of 3 - Sale ²⁵
05/06/20	150.000	191.98	Various	147.00	...	44.98	Total of 3 transactions
05/08/20	50.000	54.50	04/06/20	49.00	...	5.50	Sale ²⁵
	Security total:	1,291.14		1,154.50	...	136.64	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/26/20	100.000	543.97	03/17/20	479.50	...	64.47	Sale ²⁵
04/07/20	100.000	492.99	03/31/20	495.00	...	-2.01	Sale ²⁵
04/07/20	100.000	492.99	03/31/20	495.00	2.01 W	0.00	Sale ²⁵
04/08/20	100.000	492.99	03/31/20	495.00	...	-2.01	Sale ²⁵
05/15/20	50.000	245.00	04/22/20	239.01	...	5.99	Sale ²⁵
05/18/20	50.000	259.75	04/22/20	239.00	...	20.75	Sale ²⁵
	Security total:	2,527.69		2,442.51	2.01 W	87.19	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	40.000	310.40	03/17/20	272.00	...	38.40	1 of 3 - Sale ²⁵
	88.000	675.81	03/17/20	598.40	...	77.41	2 of 3 - Sale ²⁵
	92.000	706.53	03/17/20	625.60	...	80.93	3 of 3 - Sale ²⁵
03/27/20	220.000	1,692.74	Various	1,496.00	...	196.74	Total of 3 transactions
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	50.000	367.99	04/07/20	367.50	...	0.49	1 of 2 - Sale ²⁵
	4.000	29.44	04/07/20	28.59	...	0.85	2 of 2 - Sale ²⁵
04/08/20	54.000	397.43	Various	396.09	...	1.34	Total of 2 transactions
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	100.000	684.27	04/13/20	692.00	...	-7.73	1 of 4 - Sale ²⁵
	50.000	342.13	04/16/20	313.00	...	29.13	2 of 4 - Sale ²⁵
	50.000	342.14	04/20/20	328.95	...	13.19	3 of 4 - Sale ²⁵
	20.000	136.85	05/13/20	115.60	...	21.25	4 of 4 - Sale ²⁵
05/28/20	220.000	1,505.39	Various	1,449.55	...	55.84	Total of 4 transactions

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
Security total:		3,595.56		3,341.64	...	253.92	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
01/24/20	80.000	355.99	02/05/19	387.20	...	-31.21	Sale ²⁵
2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	20.000	106.00	02/05/19	96.80	...	9.20	1 of 2 - Sale ²⁵
	20.000	106.00	02/05/19	96.80	...	9.20	2 of 2 - Sale ²⁵
01/27/20	40.000	212.00	Various	193.60	...	18.40	Total of 2 transactions
Security total:		567.99		580.80	...	-12.81	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
03/27/20	100.000	510.98	03/26/20	452.00	...	58.98	Sale ²⁵
04/20/20	100.000	270.49	04/09/20	505.00	117.25 W	-117.26	Sale ²⁵
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	30.000	80.47	04/09/20	199.95	...	-119.48	1 of 2 - Sale ²⁵
	20.000	53.65	04/16/20	111.70	...	-58.05	2 of 2 - Sale ²⁵
05/15/20	50.000	134.12	Various	311.65	...	-177.53	Total of 2 transactions
Security total:		915.59		1,268.65	117.25 W	-235.81	
KAYNE ANDERSON ENERGY INFRASTR UCTURE FUND, INC. / CUSIP: 486606106 / Symbol:							
03/31/20	50.000	172.49	03/27/20	172.50	...	-0.01	Sale ²⁵
03/31/20	250.000	862.44	03/27/20	862.50	0.02 W	-0.04	Sale ²⁵
04/13/20	100.000	455.26	04/09/20	450.02	...	5.24	Sale ²⁵
Security total:		1,490.19		1,485.02	0.02 W	5.19	
L BRANDS, INC. / CUSIP: 501797104 / Symbol:							
04/22/20	40.000	380.00	04/13/20	544.80	...	-164.80	Sale ²⁵
05/08/20	10.000	124.05	04/13/20	136.20	...	-12.15	Sale ²⁵
Security total:		504.05		681.00	...	-176.95	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/30/20	70.000	114.09	03/26/20	154.00	39.91 W	0.00	Sale ²⁵
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	20.000	45.00	04/08/20	42.40	...	2.60	1 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	50.000	112.50	04/08/20	106.01	...	6.49	2 of 5 - Sale ²⁵
	55.000	123.74	04/08/20	85.25	...	38.49	3 of 5 - Sale ²⁵
	100.000	199.99	04/08/20	155.00	...	44.99	4 of 5 - Sale ²⁵
	80.000	179.99	04/08/20	124.00	...	55.99	5 of 5 - Sale ²⁵
04/09/20	305.000	661.22	Various	512.66	...	148.56	Total of 5 transactions
04/24/20	80.000	121.59	04/17/20	134.38	...	-12.79	Sale ²⁵
05/14/20	20.000	31.27	04/17/20	33.60	...	-2.33	Sale ²⁵
	Security total:	928.17		834.64	39.91 W	133.44	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
04/24/20	50.000	678.98	04/20/20	679.00	...	-0.02	Sale ²⁵
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
06/15/20	1.000	48.24	06/12/20	47.91	...	0.33	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	10.000	31.00	03/26/20	30.30	...	0.70	1 of 5 - Sale ²⁵
	100.000	309.98	04/02/20	225.00	...	84.98	2 of 5 - Sale ²⁵
	200.000	619.96	04/02/20	476.00	...	143.96	3 of 5 - Sale ²⁵
	10.000	31.00	04/07/20	27.80	...	3.20	4 of 5 - Sale ²⁵
	30.000	92.99	04/09/20	81.30	...	11.69	5 of 5 - Sale ²⁵
04/14/20	350.000	1,084.93	Various	840.40	...	244.53	Total of 5 transactions
05/18/20	100.000	361.99	04/14/20	304.00	...	57.99	Sale ²⁵
	Security total:	1,446.92		1,144.40	...	302.52	
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	38.000	231.13	04/09/20	245.10	...	-13.97	1 of 4 - Sale ²⁵
	35.000	213.50	04/09/20	225.75	...	-12.25	2 of 4 - Sale ²⁵
	12.000	72.99	04/09/20	77.40	...	-4.41	3 of 4 - Sale ²⁵
	15.000	91.50	04/09/20	91.65	...	-0.15	4 of 4 - Sale ²⁵
05/18/20	100.000	609.12	Various	639.90	...	-30.78	Total of 4 transactions

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Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PBF ENERGY INC. / CUSIP: 69318G106 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	70.000	521.47	04/14/20	521.50	...	-0.03	1 of 3 - Sale ²⁵
	10.000	74.50	04/14/20	74.50	...	0.00	2 of 3 - Sale ²⁵
	20.000	150.80	04/14/20	149.00	...	1.80	3 of 3 - Sale ²⁵
04/17/20	100.000	746.77	Various	745.00	...	1.77	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
05/15/20	50.000	201.50	05/14/20	194.00	...	7.50	Sale ²⁵
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
04/08/20	100.000	57.99	05/22/19	568.00	...	-510.01	Sale ²⁵
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
12/11/20	100.000	1,204.09	12/04/20	1,097.87	...	106.22	Sale ²⁵
SEMILEDS CORPORATION COMMON STOCK / CUSIP: 816645204 / Symbol:							
04/01/20	5.000	10.90	01/14/20	10.15	...	0.75	Sale ²⁵
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	95.000	193.79	01/14/20	192.85	...	0.94	1 of 2 - Sale ²⁵
	100.000	203.99	01/14/20	203.00	...	0.99	2 of 2 - Sale ²⁵
04/06/20	195.000	397.78	Various	395.85	...	1.93	Total of 2 transactions
	Security total:	408.68		406.00	...	2.68	
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
04/15/20	80.000	423.19	04/13/20	400.80	...	22.39	Sale ²⁵
04/16/20	20.000	105.84	04/13/20	100.20	...	5.64	Sale ²⁵
	Security total:	529.03		501.00	...	28.03	
TG THERAPEUTICS, INC. COMMON STOCK / CUSIP: 88322Q108 / Symbol:							
04/14/20	35.000	361.20	04/13/20	339.85	...	21.35	Sale ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	11.750	334.39	04/09/20	498.20	...	-163.81	1 of 3 - Sale ²⁵
	6.250	177.87	04/09/20	247.50	...	-69.63	2 of 3 - Sale ²⁵
	2.000	56.92	04/29/20	35.52	...	21.40	3 of 3 - Sale ²⁵
07/01/20	20.000	569.18	Various	781.22	...	-212.04	Total of 3 transactions
WYNDHAM HOTELS & RESORTS, INC. COMMON STOCK / CUSIP: 98311A105 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	9.000	313.99	03/26/20	323.10	...	-9.11	1 of 2 - Sale ²⁵
	1.000	34.89	03/26/20	35.90	...	-1.01	2 of 2 - Sale ²⁵
04/09/20	10.000	348.88	Various	359.00	...	-10.12	Total of 2 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	30.000	358.20	04/14/20	363.29	...	-5.09	1 of 2 - Sale ²⁵
	25.000	306.25	04/14/20	302.75	...	3.50	2 of 2 - Sale ²⁵
04/17/20	55.000	664.45	Various	666.04	...	-1.59	Total of 2 transactions
Totals :		165,521.47		169,140.50	2,001.83 W	-1,617.20	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
6 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	3.000	8.88	09/28/18	13.33	4.45 W	0.00	1 of 6 - Sale ²⁵
	20.000	59.20	09/28/18	88.88	29.68 W	0.00	2 of 6 - Sale ²⁵
	1.000	2.96	09/28/18	4.46	1.50 W	0.00	3 of 6 - Sale ²⁵
	56.000	165.75	09/28/18	258.62	92.87 W	0.00	4 of 6 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	19.000	34.20	09/28/18	87.75	53.55 W	0.00	5 of 6 - Sale ²⁵
	1.000	1.80	09/28/18	4.46	2.66 W	0.00	6 of 6 - Sale ²⁵
03/10/20	100.000	272.79	Various	457.50	184.71 W	0.00	Total of 6 transactions
	6 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	1.000	2.02	03/20/20	2.76	0.74 W	0.00	1 of 6 - Sale ²⁵
	1.000	2.02	03/20/20	3.92	1.90 W	0.00	2 of 6 - Sale ²⁵
	3.000	6.06	03/20/20	8.23	2.17 W	0.00	3 of 6 - Sale ²⁵
	19.000	38.38	03/20/20	77.49	39.11 W	0.00	4 of 6 - Sale ²⁵
	20.000	40.40	03/20/20	54.88	14.48 W	0.00	5 of 6 - Sale ²⁵
	56.000	113.11	03/20/20	163.43	50.32 W	0.00	6 of 6 - Sale ²⁵
03/24/20	100.000	201.99	Various	310.71	108.72 W	0.00	Total of 6 transactions
	6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1.78	03/25/20	2.44	0.66 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.78	03/25/20	3.60	1.82 W	0.00	2 of 6 - Sale ²⁵
	3.000	5.34	03/25/20	7.27	1.93 W	0.00	3 of 6 - Sale ²⁵
	19.000	33.82	03/25/20	71.41	37.59 W	0.00	4 of 6 - Sale ²⁵
	20.000	35.60	03/25/20	48.48	12.88 W	0.00	5 of 6 - Sale ²⁵
	56.000	99.67	03/25/20	145.52	45.85 W	0.00	6 of 6 - Sale ²⁵
03/27/20	100.000	177.99	Various	278.72	100.73 W	0.00	Total of 6 transactions
	6 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	1.000	1.55	04/14/20	1.96	0.41 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.55	04/14/20	3.12	1.57 W	0.00	2 of 6 - Sale ²⁵
	3.000	4.65	04/14/20	5.83	1.18 W	0.00	3 of 6 - Sale ²⁵
	19.000	29.45	04/14/20	62.29	32.84 W	0.00	4 of 6 - Sale ²⁵
	20.000	31.00	04/14/20	38.88	7.88 W	0.00	5 of 6 - Sale ²⁵
	56.000	86.79	04/14/20	118.65	31.86 W	0.00	6 of 6 - Sale ²⁵
04/15/20	100.000	154.99	Various	230.73	75.74 W	0.00	Total of 6 transactions
	6 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1.26	04/17/20	1.77	0.51 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.26	04/17/20	2.93	1.67 W	0.00	2 of 6 - Sale ²⁵
	3.000	3.78	04/17/20	5.26	1.48 W	0.00	3 of 6 - Sale ²⁵
	19.000	23.95	04/17/20	58.68	34.73 W	0.00	4 of 6 - Sale ²⁵
	20.000	25.21	04/17/20	35.08	9.87 W	0.00	5 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	56.000	70.59	04/17/20	108.02		0.00	6 of 6 - Sale ²⁵
04/22/20	100.000	126.05	Various	211.74	37.43 W 85.69 W	0.00	Total of 6 transactions
	7 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	26.000	47.84	04/17/20	52.74	...	-4.90	1 of 7 - Sale ²⁵
	20.000	36.80	04/17/20	37.07	...	-0.27	2 of 7 - Sale ²⁵
	3.000	5.52	04/17/20	5.56	...	-0.04	3 of 7 - Sale ²⁵
	1.000	1.84	04/17/20	1.87	...	-0.03	4 of 7 - Sale ²⁵
	19.000	34.78	04/20/20	61.14	...	-26.36	5 of 7 - Sale ²⁵
	30.000	55.19	04/20/20	61.75	...	-6.56	6 of 7 - Sale ²⁵
	1.000	1.83	04/20/20	3.06	...	-1.23	7 of 7 - Sale ²⁵
04/27/20	100.000	183.80	Various	223.19	...	-39.39	Total of 7 transactions
	Security total:	1,117.61		1,712.59	555.59 W	-39.39	
CAPSTONE TURBINE CORPORATION C OMMON STOCK / CUSIP: 14067D508 / Symbol:							
01/02/20	10.000	34.20	10/03/18	99.97	...	-65.77	Sale ²⁵
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	50.000	154.99	03/13/19	327.00	172.01 W	0.00	1 of 2 - Sale ²⁵
	50.000	154.99	03/15/19	289.50	134.51 W	0.00	2 of 2 - Sale ²⁵
04/14/20	100.000	309.98	Various	616.50	306.52 W	0.00	Total of 2 transactions
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	50.000	181.00	04/14/20	324.01	...	-143.01	1 of 2 - Sale ²⁵
	50.000	180.99	04/14/20	286.51	...	-105.52	2 of 2 - Sale ²⁵
05/18/20	100.000	361.99	Various	610.52	...	-248.53	Total of 2 transactions
	Security total:	671.97		1,227.02	306.52 W	-248.53	
PLANET GREEN HOLDINGS CORP. / CUSIP: 72703U102 / Symbol:							
04/08/20	69.000	245.64	03/28/19	398.13	...	-152.49	Sale ²⁵
UNIVERSAL SECURITY INSTRUMENTS , INC. / CUSIP: 913821302 / Symbol:							
	4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	110.000	41.78	03/08/19	171.60	...	-129.82	1 of 4 - Sale ²⁵
	105.000	39.89	03/08/19	163.80	...	-123.91	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 120367180

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNIVERSAL SECURITY INSTRUMENTS , INC. / CUSIP: 913821302 / Symbol: (cont'd)							
	80.000	31.19	03/08/19	124.80	...	-93.61	3 of 4 - Sale ²⁵
	5.000	1.95	03/08/19	7.80	...	-5.85	4 of 4 - Sale ²⁵
04/09/20	300.000	114.81	Various	468.00	...	-353.19	Total of 4 transactions
Totals :		2,184.23		3,905.71	862.11 W	-859.37	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 120367180

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.750	12.26	04/09/20	31.80	...	-19.54	Gain or loss
Totals:		12.26		31.80	...	-19.54	

Robinhood Securities LLC

Account 120367180

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CADENCE BANCORPORATION	12739A100		05/15/20	0.50	Qualified dividend	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	3.10	Section 199A dividend	03
			05/15/20	1.10	Nondividend distribution	03
			05/15/20	0.80	Qualified dividend	03
			5.00	Total Dividends & distributions		
			5.50	Total Dividends & distributions		

Robinhood Securities LLC

Account 120367180

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	05/19/20	3.05	Partnership distribution	
			3.05	Total Partnership distribution	

Robinhood Securities LLC

Account 120367180

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/05/20	-5.00	Margin interest paid	
		05/05/20	-4.31	Margin interest paid	
		06/04/20	-1.71	Margin interest paid	
		06/04/20	-5.00	Margin interest paid	
		07/06/20	-0.25	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		09/02/20	-1.97	Margin interest paid	
		09/02/20	-5.00	Margin interest paid	
		10/02/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		12/01/20	-5.00	Margin interest paid	
		12/31/20	-5.00	Margin interest paid	
			-53.23	Total Margin interest paid	
			-53.23	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.