

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 160788295</b>	Statement Date: 02/11/2022 Document ID: 7G3M 1P4 M31V	2021
	Saurav Kumar 27714 219TH PL SE MAPLE VALLEY, WA 98038  RECIPIENT'S TIN: XXX-XX-3517		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      156.79</p> <p>1b- Qualified dividends      156.65</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.08</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      19.22</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.30</p> <p>7- Foreign tax paid:      0.30</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      150.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      26.78</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	162,924.59	149,516.61	0.00	1,151.09	14,559.07
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	11.53	11.06	0.00	0.00	0.47
	<b>Total Short-term</b>	<b>162,936.12</b>	<b>149,527.67</b>	<b>0.00</b>	<b>1,151.09</b>	<b>14,559.54</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>162,936.12</b>	<b>149,527.67</b>	<b>0.00</b>	<b>1,151.09</b>	<b>14,559.54</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 160788295

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	11.05
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	4.50
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	1.72
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	415.95
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/05/2021 CALL \$130.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	02/25/21	62.00	...	-62.00	Option expiration- long call
AAPL 03/17/2023 CALL \$150.00 / CUSIP: / Symbol:							
12/09/21	1.000	3,949.96	09/17/21	1,925.00	...	2,024.96	Option sale to close-call <sup>25</sup>
AAPL 04/01/2021 CALL \$125.00 / CUSIP: / Symbol:							
03/29/21	1.000	32.98	03/26/21	31.01	...	1.97	Option sale to close-call <sup>25</sup>
03/29/21	3.000	98.98	03/25/21	120.00	14.01 W	-7.01	Option sale to close-call <sup>25</sup>
03/31/21	1.000	19.98	03/26/21	31.00	...	-11.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>151.94</b>		<b>182.01</b>	<b>14.01 W</b>	<b>-16.06</b>	
AAPL 04/30/2021 CALL \$132.00 / CUSIP: / Symbol:							
04/30/21	1.000	30.98	04/26/21	417.00	...	-386.02	Option sale to close-call <sup>25</sup>

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Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/30/2021 CALL \$133.00 / CUSIP: / Symbol:							
05/03/21	1.000	349.98	04/30/21	0.00	...	349.98	Short sale closed- call <sup>25</sup> Option written: 04/26/21
AAPL 06/18/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	02/25/21	420.00	...	-420.00	Option expiration- long call
AAPL 08/20/2021 CALL \$140.00 / CUSIP: / Symbol:							
05/20/21	1.000	250.98	05/19/21	195.00	...	55.98	Option sale to close-call <sup>25</sup>
06/14/21	1.000	209.98	05/19/21	195.00	...	14.98	Option sale to close-call <sup>25</sup>
06/14/21	2.000	357.98	05/19/21	390.00	32.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.						
	1.000	241.98	05/19/21	195.00	...	46.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	241.98	05/26/21	201.01	...	40.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/21	2.000	483.96	Various	396.01	...	87.95	Total of 2 transactions
06/22/21	1.000	269.98	05/26/21	201.01	...	68.97	Option sale to close-call <sup>25</sup>
06/29/21	1.000	309.98	05/28/21	134.00	...	175.98	Option sale to close-call <sup>25</sup>
07/06/21	1.000	609.98	05/28/21	134.00	...	475.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,492.84</b>		<b>1,645.02</b>	<b>32.02 W</b>	<b>879.84</b>	
AAPL 08/20/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/27/21	1.000	270.98	07/19/21	200.00	...	70.98	Option sale to close-call <sup>25</sup>
AAPL 10/15/2021 CALL \$145.00 / CUSIP: / Symbol:							
10/01/21	2.000	295.98	10/01/21	190.00	...	105.98	Option sale to close-call <sup>25</sup>
AAPL 10/29/2021 PUT \$140.00 / CUSIP: / Symbol:							
09/28/21	1.000	384.98	09/27/21	240.00	...	144.98	Option sale to close-put <sup>25</sup>
AAPL 11/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
10/27/21	1.000	604.98	08/18/21	966.00	...	-361.02	Option sale to close-call <sup>25</sup>
AAPL 11/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
10/01/21	1.000	448.98	09/30/21	0.00	...	448.98	Short sale closed- call <sup>25</sup> Option written: 08/18/21

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
10/21/21	1.000	157.98	10/04/21	100.00	...	57.98	Option sale to close-call <sup>25</sup>
10/25/21	1.000	136.98	10/04/21	100.00	...	36.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>294.96</b>		<b>200.00</b>	...	<b>94.96</b>	
AAPL 11/19/2021 PUT \$130.00 / CUSIP: / Symbol:							
11/03/21	1.000	187.98	11/02/21	0.00	...	187.98	Short sale closed- put <sup>25</sup> Option written: 10/06/21
AAPL 11/19/2021 PUT \$135.00 / CUSIP: / Symbol:							
11/02/21	1.000	17.98	10/06/21	309.00	...	-291.02	Option sale to close-put <sup>25</sup>
BABA 01/20/2023 CALL \$140.00 / CUSIP: / Symbol:							
12/30/21	1.000	1,899.98	12/01/21	2,160.00	...	-260.02	Option sale to close-call <sup>25</sup>
BABA 01/21/2022 CALL \$250.00 / CUSIP: / Symbol:							
07/19/21	1.000	684.98	07/08/21	670.00	...	14.98	Option sale to close-call <sup>25</sup>
BABA 09/16/2022 CALL \$200.00 / CUSIP: / Symbol:							
09/27/21	1.000	974.98	09/24/21	980.00	...	-5.02	Option sale to close-call <sup>25</sup>
BAC 06/17/2022 CALL \$42.00 / CUSIP: / Symbol:							
09/27/21	1.000	459.98	06/18/21	279.00	...	180.98	Option sale to close-call <sup>25</sup>
FSLY 06/25/2021 CALL \$55.00 / CUSIP: / Symbol:							
05/26/21	1.000	94.98	05/06/21	122.00	...	-27.02	Option sale to close-call <sup>25</sup>
INTC 06/25/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/04/21	1.000	45.98	05/14/21	52.00	...	-6.02	Option sale to close-call <sup>25</sup>
06/17/21	1.000	22.98	05/14/21	52.00	...	-29.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>68.96</b>		<b>104.00</b>	...	<b>-35.04</b>	
MSFT 08/20/2021 CALL \$300.00 / CUSIP: / Symbol:							
08/19/21	1.000	7.98	08/17/21	14.00	...	-6.02	Option sale to close-call <sup>25</sup>
MU 01/20/2023 CALL \$80.00 / CUSIP: / Symbol:							
11/10/21	1.000	999.98	10/12/21	575.00	...	424.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 03/18/2022 CALL \$340.00 / CUSIP: / Symbol:							
12/08/21	1.000	2,509.97	12/01/21	2,700.00	190.03 W	0.00	Option sale to close-call <sup>25</sup>
12/15/21	1.000	1,749.98	12/03/21	2,365.03	615.05 W	0.00	Option sale to close-call <sup>25</sup>
12/23/21	1.000	1,357.98	12/09/21	2,665.05	...	-1,307.07	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,617.93</b>		<b>7,730.08</b>	<b>805.08 W</b>	<b>-1,307.07</b>	
NVDA 12/31/2021 PUT \$260.00 / CUSIP: / Symbol:							
12/23/21	1.000	28.98	12/21/21	165.00	...	-136.02	Option sale to close-put <sup>25</sup>
PINS 05/28/2021 CALL \$70.00 / CUSIP: / Symbol:							
05/28/21	1.000	0.00	04/29/21	270.00	...	-270.00	Option expiration- long call
QQQ 02/18/2022 PUT \$380.00 / CUSIP: / Symbol:							
11/11/21	1.000	1,292.98	11/05/21	1,029.00	...	263.98	Option sale to close-put <sup>25</sup>
QQQ 03/18/2022 CALL \$400.00 / CUSIP: / Symbol:							
12/27/21	1.000	1,767.98	12/20/21	925.00	...	842.98	Option sale to close-call <sup>25</sup>
QQQ 03/31/2022 PUT \$380.00 / CUSIP: / Symbol:							
11/23/21	1.000	1,677.98	11/19/21	1,290.00	...	387.98	Option sale to close-put <sup>25</sup>
QQQ 09/10/2021 PUT \$370.00 / CUSIP: / Symbol:							
09/08/21	1.000	19.98	08/30/21	88.00	...	-68.02	Option sale to close-put <sup>25</sup>
QQQ 10/29/2021 CALL \$380.00 / CUSIP: / Symbol:							
10/19/21	1.000	154.98	09/20/21	395.00	...	-240.02	Option sale to close-call <sup>25</sup>
SKLZ 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/02/21	1.000	529.98	05/05/21	235.00	...	294.98	Option sale to close-call <sup>25</sup>
SPY 03/18/2022 CALL \$470.00 / CUSIP: / Symbol:							
12/23/21	1.000	1,593.98	12/20/21	935.00	...	658.98	Option sale to close-call <sup>25</sup>
SPY 07/16/2021 PUT \$433.00 / CUSIP: / Symbol:							
07/14/21	1.000	54.98	07/13/21	125.00	...	-70.02	Option sale to close-put <sup>25</sup>
SPY 11/19/2021 CALL \$450.00 / CUSIP: / Symbol:							
10/05/21	1.000	307.98	10/01/21	197.00	...	110.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 01/29/2021 CALL \$845.00 / CUSIP: / Symbol:							
01/25/21	1.000	5,453.86	01/22/21	3,551.00	...	1,902.86	Option sale to close-call <sup>25</sup>
TSLA 01/29/2021 CALL \$847.50 / CUSIP: / Symbol:							
01/26/21	1.000	-1,893.09	01/25/21	0.00	...	-1,893.09	Short sale closed- call <sup>25</sup> Option written: 01/22/21
TSLA 04/01/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/29/21	1.000	189.98	03/26/21	225.00	...	-35.02	Option sale to close-call <sup>25</sup>
TSLA 05/21/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
03/01/21	1.000	1,919.98	02/26/21	1,700.00	...	219.98	Option sale to close-call <sup>25</sup>
03/09/21	1.000	1,324.98	03/08/21	650.00	...	674.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,244.96</b>		<b>2,350.00</b>	...	<b>894.96</b>	
TTD 05/14/2021 CALL \$540.00 / CUSIP: / Symbol:							
05/11/21	1.000	536.98	05/10/21	770.00	...	-233.02	Option sale to close-call <sup>25</sup>
TTD 05/14/2021 CALL \$550.00 / CUSIP: / Symbol:							
05/12/21	1.000	202.98	05/11/21	0.00	...	202.98	Short sale closed- call <sup>25</sup> Option written: 05/10/21
TTD 06/04/2021 CALL \$540.00 / CUSIP: / Symbol:							
05/26/21	1.000	4,354.96	05/10/21	2,040.00	...	2,314.96	Option sale to close-call <sup>25</sup>
TTD 06/04/2021 CALL \$550.00 / CUSIP: / Symbol:							
05/27/21	1.000	-1,815.02	05/26/21	0.00	...	-1,815.02	Short sale closed- call <sup>25</sup> Option written: 05/10/21
WISH 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/23/21	230.00	...	-230.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/26/21	150.000	690.26	01/04/21	307.20	...	383.06	Sale <sup>25</sup>
01/27/21	50.000	824.98	01/04/21	102.40	...	722.58	Sale <sup>25</sup>
06/23/21	2.000	117.10	06/03/21	92.00	...	25.10	Sale <sup>25</sup>
09/10/21	5.000	254.71	06/09/21	254.29	...	0.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,887.05</b>		<b>755.89</b>	...	<b>1,131.16</b>	

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 00206R102 / Symbol:							
06/23/21	5.000	143.87	02/26/21	142.20	...	1.67	Sale <sup>25</sup>
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	0.300	37.97	04/21/21	35.29	...	2.68	1 of 2 - Sale <sup>25</sup>
	4.700	594.82	05/05/21	538.90	...	55.92	2 of 2 - Sale <sup>25</sup>
06/24/21	5.000	632.79	Various	574.19	...	58.60	Total of 2 transactions
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
06/23/21	2.000	177.52	05/10/21	153.46	...	24.06	Sale <sup>25</sup>
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	2.000	168.12	03/02/21	171.81	...	-3.69	1 of 2 - Sale <sup>25</sup>
	2.000	168.12	03/30/21	151.46	...	16.66	2 of 2 - Sale <sup>25</sup>
06/23/21	4.000	336.24	Various	323.27	...	12.97	Total of 2 transactions
06/24/21	1.000	86.22	04/12/21	78.68	...	7.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>422.46</b>		<b>401.95</b>	...	<b>20.51</b>	
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
06/23/21	1.000	151.79	05/17/21	131.48	...	20.31	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	200.14	04/15/21	239.15	39.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	400.27	07/08/21	400.29	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/21	3.000	600.41	Various	639.44	39.03 W	0.00	Total of 2 transactions
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	1,924.96	01/29/21	1,834.54	...	90.42	1 of 2 - Sale <sup>25</sup>
	1.000	2,052.13	01/29/21	1,834.54	...	217.59	2 of 2 - Sale <sup>25</sup>
02/02/21	2.000	3,977.09	Various	3,669.08	...	308.01	Total of 2 transactions

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
02/09/21	20.000	2,732.13	01/15/21	2,547.78	...	184.35	Sale <sup>25</sup>
02/10/21	30.000	4,070.76	01/15/21	3,821.67	...	249.09	Sale <sup>25</sup>
	3 transactions for 04/19/21. Total proceeds and cost reported to the IRS.						
	50.000	6,728.96	01/29/21	6,625.00	...	103.96	1 of 3 - Sale <sup>25</sup>
	15.000	2,018.69	02/18/21	1,922.25	...	96.44	2 of 3 - Sale <sup>25</sup>
	20.000	2,691.58	03/05/21	2,360.10	...	331.48	3 of 3 - Sale <sup>25</sup>
04/19/21	85.000	11,439.23	Various	10,907.35	...	531.88	Total of 3 transactions
	2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	10.000	1,250.29	05/04/21	1,270.55	...	-20.26	1 of 2 - Sale <sup>25</sup>
	10.000	1,250.29	05/12/21	1,229.20	...	21.09	2 of 2 - Sale <sup>25</sup>
05/28/21	20.000	2,500.58	Various	2,499.75	...	0.83	Total of 2 transactions
10/19/21	25.000	3,709.98	09/10/21	3,756.25	46.27 W	0.00	Sale <sup>25</sup>
10/25/21	15.000	2,228.24	09/14/21	2,213.84	...	14.40	Sale <sup>25</sup>
10/25/21	35.000	5,199.22	09/10/21	5,258.75	59.53 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	15.000	2,244.14	09/14/21	2,213.84	...	30.30	1 of 2 - Sale <sup>25</sup>
	10.000	1,496.09	09/15/21	1,466.90	...	29.19	2 of 2 - Sale <sup>25</sup>
10/26/21	25.000	3,740.23	Various	3,680.74	...	59.49	Total of 2 transactions
	3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.						
	3.000	448.89	09/20/21	435.81	...	13.08	1 of 3 - Sale <sup>25</sup>
	5.000	748.15	09/20/21	726.45	...	21.70	2 of 3 - Sale <sup>25</sup>
	17.000	2,543.70	09/20/21	2,469.61	...	74.09	3 of 3 - Sale <sup>25</sup>
10/27/21	25.000	3,740.74	Various	3,631.87	...	108.87	Total of 3 transactions
10/28/21	25.000	3,819.11	09/20/21	3,562.75	...	256.36	Sale <sup>25</sup>
11/03/21	25.000	3,782.98	10/04/21	3,545.02	...	237.96	Sale <sup>25</sup>
	2 transactions for 11/18/21. Total proceeds and cost reported to the IRS.						
	10.000	1,574.19	10/04/21	1,418.01	...	156.18	1 of 2 - Sale <sup>25</sup>
	15.000	2,361.28	10/04/21	2,101.50	...	259.78	2 of 2 - Sale <sup>25</sup>
11/18/21	25.000	3,935.47	Various	3,519.51	...	415.96	Total of 2 transactions
11/19/21	15.000	2,400.43	10/04/21	2,083.03	...	317.40	Sale <sup>25</sup>
11/22/21	10.000	1,648.59	10/04/21	1,388.68	...	259.91	Sale <sup>25</sup>
11/30/21	25.000	4,091.10	10/28/21	3,625.00	...	466.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59,038.79</b>		<b>56,041.99</b>	<b>105.80 W</b>	<b>3,102.60</b>	

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Robinhood Securities LLC

Account 160788295

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
01/07/21	50.000	118.50	01/04/21	117.50	...	1.00	Sale <sup>25</sup>
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
09/27/21	1.000	43.27	04/15/21	38.72	...	4.55	Sale <sup>25</sup>
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06747R477 / Symbol:							
07/20/21	5.000	162.48	07/20/21	162.48	...	0.00	Sale <sup>25</sup>
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
06/23/21	15.000	203.13	06/18/21	175.64	...	27.49	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
06/23/21	1.000	253.26	02/19/21	237.00	...	16.26	Sale <sup>25</sup>
06/24/21	1.000	256.74	03/18/21	194.84	...	61.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>510.00</b>		<b>431.84</b>	...	<b>78.16</b>	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
12/10/21	5.000	31.85	09/08/21	44.50	...	-12.65	Sale <sup>25</sup>
EBAY INC. COMMON STOCK / CUSIP: 278642103 / Symbol:							
06/24/21	2.000	132.66	04/30/21	111.88	...	20.78	Sale <sup>25</sup>
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
06/23/21	1.000	180.21	05/05/21	190.99	...	-10.78	Sale <sup>25</sup>
06/24/21	1.000	181.80	05/05/21	171.00	...	10.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>362.01</b>		<b>361.99</b>	...	<b>0.02</b>	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.						
	20.000	87.21	04/15/21	66.80	...	20.41	1 of 2 - Sale <sup>25</sup>
	30.000	130.82	05/12/21	86.08	...	44.74	2 of 2 - Sale <sup>25</sup>
05/28/21	50.000	218.03	Various	152.88	...	65.15	Total of 2 transactions
06/02/21	10.000	63.75	05/12/21	28.70	...	35.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>281.78</b>		<b>181.58</b>	...	<b>100.20</b>	

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Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
05/27/21	15.000	375.32	03/30/21	318.75	...	56.57	Sale <sup>25</sup>
06/22/21	10.000	317.41	04/15/21	201.20	...	116.21	Sale <sup>25</sup>
06/23/21	5.000	164.56	04/15/21	100.60	...	63.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>857.29</b>		<b>620.55</b>	...	<b>236.74</b>	
FUTU HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 36118L106 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	169.04	02/25/21	152.98	...	16.06	1 of 2 - Sale <sup>25</sup>
	1.000	169.04	03/22/21	125.20	...	43.84	2 of 2 - Sale <sup>25</sup>
06/23/21	2.000	338.08	Various	278.18	...	59.90	Total of 2 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/03/21	1.000	117.23	02/04/21	54.77	...	62.46	Sale <sup>25</sup>
03/09/21	1.000	252.44	02/04/21	54.77	...	197.67	Sale <sup>25</sup>
04/26/21	1.000	184.22	02/04/21	54.77	...	129.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>553.89</b>		<b>164.31</b>	...	<b>389.58</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604301 / Symbol:							
08/03/21	0.252	26.13	02/22/21	25.32	...	0.81	Cash in lieu
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
02/08/21	10.000	1,230.17	01/21/21	1,229.60	...	0.57	Sale <sup>25</sup>
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
06/23/21	2.000	111.91	05/20/21	108.74	...	3.17	Sale <sup>25</sup>
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
06/23/21	25.000	97.85	02/08/21	67.50	...	30.35	Sale <sup>25</sup>
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
06/23/21	1.000	108.42	05/05/21	83.62	...	24.80	Sale <sup>25</sup>
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
06/23/21	5.000	94.82	02/22/21	77.78	...	17.04	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
06/23/21	1.000	161.99	02/19/21	160.00	...	1.99	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/07/21	50.000	10,922.30	11/25/20	10,688.91	...	233.39	Sale <sup>25</sup>
01/26/21	10.000	2,432.54	01/15/21	2,134.40	...	298.14	Sale <sup>25</sup>
02/09/21	15.000	3,652.71	01/29/21	3,494.55	...	158.16	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,007.55</b>		<b>16,317.86</b>	...	<b>689.69</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/23/21	4.000	182.32	03/18/21	173.22	...	9.10	Sale <sup>25</sup>
06/24/21	1.000	45.87	03/18/21	43.31	...	2.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>228.19</b>		<b>216.53</b>	...	<b>11.66</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
02/24/21	1.000	545.11	02/19/21	540.00	...	5.11	Sale <sup>25</sup>
06/23/21	2.000	1,028.35	04/20/21	979.49	...	48.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,573.46</b>		<b>1,519.49</b>	...	<b>53.97</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>4 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	90.95	02/18/21	104.13	...	-13.18	1 of 4 - Sale <sup>25</sup>
	5.000	90.95	02/26/21	90.73	...	0.22	2 of 4 - Sale <sup>25</sup>
	10.000	181.90	03/18/21	151.75	...	30.15	3 of 4 - Sale <sup>25</sup>
	5.000	90.95	03/30/21	68.88	...	22.07	4 of 4 - Sale <sup>25</sup>
06/23/21	25.000	454.75	Various	415.49	...	39.26	Total of 4 transactions
06/24/21	5.000	92.00	04/13/21	58.03	...	33.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>546.75</b>		<b>473.52</b>	...	<b>73.23</b>	
9 METERS BIOPHARMA, INC. COMMO N STOCK / CUSIP: 654405109 / Symbol:							
<i>3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	80.000	109.59	12/16/20	72.28	...	37.31	1 of 3 - Sale <sup>25</sup>
	100.000	136.98	12/16/20	90.35	...	46.63	2 of 3 - Sale <sup>25</sup>
	320.000	438.35	12/16/20	289.12	...	149.23	3 of 3 - Sale <sup>25</sup>
01/07/21	500.000	684.92	Various	451.75	...	233.17	Total of 3 transactions

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
<i>2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,295.82	03/03/21	1,031.81	...	264.01	1 of 2 - Sale <sup>25</sup>
	2.000	1,295.82	03/08/21	951.79	...	344.03	2 of 2 - Sale <sup>25</sup>
04/15/21	4.000	2,591.64	Various	1,983.60	...	608.04	Total of 2 transactions
PNC FINANCIAL SERVICES GROUP / CUSIP: 693475105 / Symbol:							
02/09/21	10.000	1,584.49	01/15/21	1,571.80	...	12.69	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	523.80	02/18/21	502.18	...	21.62	1 of 2 - Sale <sup>25</sup>
	20.000	523.81	03/05/21	427.80	...	96.01	2 of 2 - Sale <sup>25</sup>
06/23/21	40.000	1,047.61	Various	929.98	...	117.63	Total of 2 transactions
06/24/21	10.000	264.92	04/15/21	231.30	...	33.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,312.53</b>		<b>1,161.28</b>	...	<b>151.25</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
<i>3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	117.61	02/25/21	114.19	...	3.42	1 of 3 - Sale <sup>25</sup>
	2.000	235.22	03/18/21	208.97	...	26.25	2 of 3 - Sale <sup>25</sup>
	1.000	117.60	05/05/21	83.34	...	34.26	3 of 3 - Sale <sup>25</sup>
06/23/21	4.000	470.43	Various	406.50	...	63.93	Total of 3 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
<i>3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.</i>							
	19.774	806.41	12/11/20	830.89	...	-24.48	1 of 3 - Sale <sup>25</sup>
	0.226	9.23	12/11/20	9.51	...	-0.28	2 of 3 - Sale <sup>25</sup>
	0.226	9.23	03/08/21	7.80	...	1.43	3 of 3 - Sale <sup>25</sup>
05/05/21	20.226	824.87	Various	848.20	...	-23.33	Total of 3 transactions

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Robinhood Securities LLC

Account 160788295

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	2.000	47.08	02/25/21	50.21	...	-3.13	1 of 2 - Sale <sup>25</sup>
	2.000	47.08	03/23/21	45.94	...	1.14	2 of 2 - Sale <sup>25</sup>
06/24/21	4.000	94.16	Various	96.15	...	-1.99	Total of 2 transactions
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
4 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	2.000	149.44	02/12/21	173.70	...	-24.26	1 of 4 - Sale <sup>25</sup>
	3.000	224.17	03/08/21	195.30	...	28.87	2 of 4 - Sale <sup>25</sup>
	5.000	373.61	04/27/21	349.50	...	24.11	3 of 4 - Sale <sup>25</sup>
	2.000	149.45	04/28/21	132.50	...	16.95	4 of 4 - Sale <sup>25</sup>
06/23/21	12.000	896.67	Various	851.00	...	45.67	Total of 4 transactions
06/24/21	3.000	227.69	04/28/21	198.75	...	28.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,124.36</b>		<b>1,049.75</b>	...	<b>74.61</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/24/21	2.000	67.32	04/15/21	51.15	...	16.17	Sale <sup>25</sup>
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
06/23/21	1.000	135.87	02/26/21	136.80	0.01 W	-0.92	Sale <sup>25</sup>
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/04/21	5.000	301.62	07/29/21	190.00	...	111.62	Sale <sup>25</sup>
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	421.15	05/05/21	304.67	...	116.48	1 of 2 - Sale <sup>25</sup>
	1.000	421.14	05/06/21	273.78	...	147.36	2 of 2 - Sale <sup>25</sup>
06/23/21	2.000	842.29	Various	578.45	...	263.84	Total of 2 transactions
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
02/09/21	10.000	1,720.49	02/04/21	1,680.30	...	40.19	3- Proceeds from collectibles [X] Sale <sup>25</sup>

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Robinhood Securities LLC

Account 160788295

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	3.000	725.84	11/30/20	723.26	...	2.58	1 of 2 - Sale <sup>25</sup>
	1.000	241.95	02/26/21	219.90	...	22.05	2 of 2 - Sale <sup>25</sup>
06/23/21	4.000	967.79	Various	943.16	...	24.63	Total of 2 transactions
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
06/23/21	1.000	1,500.77	03/18/21	1,140.00	...	360.77	Sale <sup>25</sup>
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	3.000	62.27	04/22/21	53.70	...	8.57	1 of 2 - Sale <sup>25</sup>
	7.000	145.28	04/29/21	124.17	...	21.11	2 of 2 - Sale <sup>25</sup>
06/24/21	10.000	207.55	Various	177.87	...	29.68	Total of 2 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
06/24/21	2.000	498.62	05/07/21	414.00	...	84.62	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
06/23/21	3.000	718.22	03/05/21	592.20	...	126.02	Sale <sup>25</sup>
06/24/21	1.000	245.88	03/05/21	197.40	...	48.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>964.10</b>		<b>789.60</b>	...	<b>174.50</b>	
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
06/24/21	1.000	239.54	03/02/21	176.69	...	62.85	Sale <sup>25</sup>
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
06/23/21	3.000	482.90	03/30/21	507.30	...	-24.40	Sale <sup>25</sup>
06/24/21	1.000	163.63	05/05/21	156.70	...	6.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>646.53</b>		<b>664.00</b>	...	<b>-17.47</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/01/21	1.000	712.10	02/08/21	867.24	155.14 W	0.00	Sale <sup>25</sup>
3 transactions for 06/23/21. Total proceeds and cost reported to the IRS.							
	1.000	654.36	02/08/21	867.23	...	-212.87	1 of 3 - Sale <sup>25</sup>
	1.000	654.37	02/23/21	827.06	...	-172.69	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 160788295

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	654.36	02/25/21	683.72	...	-29.36	3 of 3 - Sale <sup>25</sup>
06/23/21	3.000	1,963.09	Various	2,378.01	...	-414.92	Total of 3 transactions
	2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	1.000	685.59	03/01/21	712.31	...	-26.72	1 of 2 - Sale <sup>25</sup>
	1.000	685.60	03/26/21	619.93	...	65.67	2 of 2 - Sale <sup>25</sup>
06/24/21	2.000	1,371.19	Various	1,332.24	...	38.95	Total of 2 transactions
	2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.						
	1.000	676.48	04/29/21	673.01	...	3.47	1 of 2 - Sale <sup>25</sup>
	1.000	676.48	04/29/21	672.98	...	3.50	2 of 2 - Sale <sup>25</sup>
07/29/21	2.000	1,352.96	Various	1,345.99	...	6.97	Total of 2 transactions
08/02/21	2.000	1,442.43	05/10/21	1,279.36	...	163.07	Sale <sup>25</sup>
10/25/21	1.000	970.21	05/13/21	582.25	...	387.96	Sale <sup>25</sup>
11/22/21	1.000	1,197.49	05/19/21	556.68	...	640.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,009.47</b>		<b>8,341.77</b>	<b>155.14 W</b>	<b>822.84</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
	2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	10.000	655.10	03/03/21	708.90	...	-53.80	1 of 2 - Sale <sup>25</sup>
	10.000	655.09	05/06/21	606.72	...	48.37	2 of 2 - Sale <sup>25</sup>
06/23/21	20.000	1,310.19	Various	1,315.62	...	-5.43	Total of 2 transactions
06/24/21	10.000	755.99	05/10/21	500.07	...	255.92	Sale <sup>25</sup>
11/08/21	5.000	439.78	05/10/21	250.03	...	189.75	Sale <sup>25</sup>
11/12/21	5.000	484.23	05/10/21	250.03	...	234.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,990.19</b>		<b>2,315.75</b>	...	<b>674.44</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
02/09/21	20.000	873.18	01/21/21	855.98	...	17.20	Sale <sup>25</sup>
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
06/24/21	3.000	168.52	02/26/21	167.99	...	0.53	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 160788295

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol:							
06/23/21	1.000	136.54	03/02/21	130.97	...	5.57	Sale <sup>25</sup>
06/24/21	1.000	137.01	03/02/21	130.96	...	6.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>273.55</b>		<b>261.93</b>	...	<b>11.62</b>	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
02/09/21	50.000	1,669.11	01/15/21	1,602.50	...	66.61	Sale <sup>25</sup>
06/23/21	5.000	220.93	02/26/21	183.19	...	37.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,890.04</b>		<b>1,785.69</b>	...	<b>104.35</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
01/13/21	3.000	1,128.12	10/28/20	1,587.53	...	-459.41	Sale <sup>25</sup>
<b>Totals :</b>		<b>162,924.59</b>		<b>149,516.61</b>	<b>1,151.09 W</b>	<b>14,559.07</b>	

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Account 160788295

**Proceeds Not Reported to the IRS**

2021

02/11/2022

*This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.*

*Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.*

*Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.*

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol: 05/10/21	0.676	11.53	04/15/21	11.06	...	0.47	Gain or loss
<b>Totals:</b>		<b>11.53</b>		<b>11.06</b>	...	<b>0.47</b>	

Robinhood Securities LLC

Account 160788295

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		10/12/21	1.80	Nondividend distribution	03
			11/09/21	1.81	Nondividend distribution	03
			12/09/21	1.83	Nondividend distribution	03
				<b>5.44</b>	<b>Total Dividends &amp; distributions</b>	
AT&T INC.	00206R102		05/03/21	5.20	Qualified dividend	
			08/02/21	2.69	Qualified dividend	
			11/01/21	2.74	Qualified dividend	
				<b>10.63</b>	<b>Total Dividends &amp; distributions</b>	
ARK INNOVATION ETF	00214Q104		12/31/21	0.14	Short-term capital gain	03
			12/31/21	0.08	Long-term capital gain	
			12/31/21	0.02	Qualified dividend	03
				<b>0.24</b>	<b>Total Dividends &amp; distributions</b>	
ANNALY CAPITAL MANAGEMENT. INC .	035710409		10/29/21	5.50	Nondividend distribution	03
			01/31/22	5.64	Qualified dividend	
				<b>11.14</b>	<b>Total Dividends &amp; distributions</b>	
APPLE INC. COMMON STOCK	037833100		02/11/21	17.42	Qualified dividend	
			05/13/21	2.20	Qualified dividend	
			11/11/21	22.00	Qualified dividend	
				<b>41.62</b>	<b>Total Dividends &amp; distributions</b>	
BANK OF AMERICA CORPORATION	060505104		06/25/21	1.80	Qualified dividend	
			09/24/21	6.30	Qualified dividend	
			12/31/21	5.88	Qualified dividend	
				<b>13.98</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 160788295

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON STOCK	192446102		05/28/21	0.48	Qualified dividend	
			08/31/21	1.20	Qualified dividend	
			11/30/21	0.49	Qualified dividend	
				<b>2.17</b>	<b>Total Dividends &amp; distributions</b>	
COSTCO WHOLESALE CORPORATION COMMON STOCK	22160K105		11/12/21	0.79	Qualified dividend	
D.R. HORTON INC.	23331A109		05/20/21	0.20	Qualified dividend	
			08/17/21	0.20	Qualified dividend	
				<b>0.40</b>	<b>Total Dividends &amp; distributions</b>	
EBAY INC. COMMON STOCK	278642103		06/18/21	0.90	Qualified dividend	
			09/17/21	0.54	Qualified dividend	
			12/17/21	0.54	Qualified dividend	
				<b>1.98</b>	<b>Total Dividends &amp; distributions</b>	
FEDEX CORPORATION	31428X106		12/27/21	0.75	Qualified dividend	
FIDELITY NATIONAL INFORMATION SERVICES, INC.	31620M106		09/24/21	0.39	Qualified dividend	
			12/27/21	0.39	Qualified dividend	
				<b>0.78</b>	<b>Total Dividends &amp; distributions</b>	
FORD MOTOR COMPANY	345370860		12/01/21	7.50	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		04/26/21	0.08	Qualified dividend	
			07/26/21	0.10	Qualified dividend	
				<b>0.18</b>	<b>Total Dividends &amp; distributions</b>	
GENERAL ELECTRIC COMPANY	369604301		10/25/21	0.08	Qualified dividend	
GUESS?, INC.	401617105		09/24/21	0.23	Qualified dividend	
			12/27/21	0.45	Qualified dividend	
				<b>0.68</b>	<b>Total Dividends &amp; distributions</b>	
HOME DEPOT, INC.	437076102		09/16/21	1.65	Qualified dividend	
			12/16/21	1.66	Qualified dividend	
				<b>3.31</b>	<b>Total Dividends &amp; distributions</b>	
INTEL CORPORATION COMMON STOCK	458140100		12/01/21	3.48	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		10/26/21	8.28	Nondividend distribution	03

Robinhood Securities LLC

Account 160788295

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
KOHL'S CORPORATION	500255104		06/23/21	0.75	Qualified dividend	
			09/22/21	0.50	Qualified dividend	
			12/22/21	0.51	Qualified dividend	
				<b>1.76</b>	<b>Total Dividends &amp; distributions</b>	
MACY'S INC.	55616P104		10/01/21	0.75	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		06/10/21	1.12	Qualified dividend	
			09/09/21	3.36	Qualified dividend	
			12/09/21	11.16	Qualified dividend	
	<b>15.64</b>	<b>Total Dividends &amp; distributions</b>				
MICRON TECHNOLOGY, INC. COMMON STOCK	595112103		10/18/21	0.20	Qualified dividend	
NEXTRA ENERGY, INC.	65339F101		12/15/21	0.39	Qualified dividend	
NVIDIA CORPORATION COMMON STOCK	67066G104		03/31/21	0.64	Qualified dividend	
			12/23/21	0.20	Qualified dividend	
	<b>0.84</b>	<b>Total Dividends &amp; distributions</b>				
PNC FINANCIAL SERVICES GROUP	693475105		08/05/21	2.50	Qualified dividend	
PFIZER INC.	717081103		03/05/21	7.80	Qualified dividend	
T. ROWE PRICE GROUP, INC. COMMON STOCK	74144T108		09/29/21	1.08	Qualified dividend	
			12/30/21	1.09	Qualified dividend	
	<b>2.17</b>	<b>Total Dividends &amp; distributions</b>				
QUALCOMM INCORPORATED COMMON STOCK	747525103		03/25/21	0.65	Qualified dividend	
			06/24/21	1.36	Qualified dividend	
			09/23/21	0.69	Qualified dividend	
			12/16/21	0.69	Qualified dividend	
	<b>3.39</b>	<b>Total Dividends &amp; distributions</b>				
TFS FINANCIAL CORPORATION COMMON STOCK	87240R107		06/22/21	1.40	Qualified dividend	
			09/21/21	1.43	Qualified dividend	
			12/14/21	1.45	Qualified dividend	
	<b>4.28</b>	<b>Total Dividends &amp; distributions</b>				

Robinhood Securities LLC

Account 160788295

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100		07/15/21	0.45	Qualified dividend	
			07/15/21	-0.09	Foreign tax withheld-TW	
			10/14/21	0.98	Qualified dividend	
			10/14/21	-0.21	Foreign tax withheld-TW	
				<b>1.43</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.30</b>	<b>Total Foreign tax withheld</b>	
TARGET CORPORATION	87612E106		06/10/21	1.36	Qualified dividend	
			09/10/21	0.91	Qualified dividend	
			12/10/21	0.91	Qualified dividend	
				<b>3.18</b>	<b>Total Dividends &amp; distributions</b>	
VERIZON COMMUNICATIONS	92343V104		05/03/21	3.14	Qualified dividend	
			08/02/21	1.29	Qualified dividend	
			11/01/21	1.33	Qualified dividend	
				<b>5.76</b>	<b>Total Dividends &amp; distributions</b>	
WALMART INC.	931142103		04/05/21	1.10	Qualified dividend	
			06/01/21	1.10	Qualified dividend	
			09/07/21	0.01	Qualified dividend	
				<b>2.21</b>	<b>Total Dividends &amp; distributions</b>	
WELLS FARGO & CO.	949746101		03/01/21	5.00	Qualified dividend	
			06/01/21	1.00	Qualified dividend	
			09/01/21	2.00	Qualified dividend	
			12/01/21	2.00	Qualified dividend	
				<b>10.00</b>	<b>Total Dividends &amp; distributions</b>	
WINNEBAGO INDUSTRIES, INC.	974637100		09/29/21	0.36	Qualified dividend	
				<b>176.09</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.30</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 160788295

**Detail for Interest Income**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/21	10.88	Interest	
		02/26/21	0.11	Interest	
		11/12/21	0.06	Interest	
			11.05	Total Interest	
			<b>11.05</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 160788295

## Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/22/21	150.00	Other income	
ARK INNOVATION ETF	00214Q104	12/31/21	8.40	Substitute payment	
		12/31/21	4.12	Substitute payment	
			12.52	Total Miscellaneous income	
ARK GENOMIC REVOLUTION ETF	00214Q302	12/31/21	1.15	Substitute payment	
APPLE INC. COMMON STOCK	037833100	02/11/21	3.08	Substitute payment	
BANK OF AMERICA CORPORATION	060505104	12/31/21	0.21	Substitute payment	
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON STOCK	192446102	05/28/21	0.72	Substitute payment	
		11/30/21	0.72	Substitute payment	
			1.44	Total Miscellaneous income	
D.R. HORTON INC.	23331A109	12/15/21	0.23	Substitute payment	
GENERAL ELECTRIC COMPANY	369604103	04/26/21	0.02	Substitute payment	
INVESCO QQQ TRUST, SERIES 1	46090E103	12/31/21	4.91	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	10/26/21	0.72	Substitute payment	
PNC FINANCIAL SERVICES GROUP	693475105	11/05/21	2.50	Substitute payment	
			<b>176.78</b>	<b>Total Miscellaneous income</b>	



Robinhood Securities LLC

Account 160788295

**Other Receipts and Reconciliations**

2021

02/11/2022

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104	01/11/22	1.72	Deferred income payment	03,24
ENTERPRISE PRODUCTS PARTNERS L .P.	293792107	11/12/21	4.50	Partnership distribution	
			<b>1.72</b>	<b>Total Deferred income payment</b>	
			<b>4.50</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 160788295

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/13/21	-5.00	Margin interest paid	
		02/12/21	-5.00	Margin interest paid	
		02/12/21	-6.10	Margin interest paid	
		03/15/21	-5.00	Margin interest paid	
		03/15/21	-14.53	Margin interest paid	
		04/13/21	-5.00	Margin interest paid	
		04/13/21	-33.81	Margin interest paid	
		05/13/21	-5.00	Margin interest paid	
		05/13/21	-28.93	Margin interest paid	
		06/14/21	-5.00	Margin interest paid	
		06/14/21	-47.44	Margin interest paid	
		07/12/21	-5.00	Margin interest paid	
		07/12/21	-24.25	Margin interest paid	
			-190.06	Total Margin interest paid	
Margin charge		08/11/21	-5.00	Margin interest paid	
		08/11/21	-15.18	Margin interest paid	
		09/10/21	-5.00	Margin interest paid	
		09/10/21	-16.94	Margin interest paid	
		10/11/21	-5.00	Margin interest paid	
		10/11/21	-45.52	Margin interest paid	
		11/09/21	-5.00	Margin interest paid	
		11/09/21	-70.97	Margin interest paid	
		12/09/21	-5.00	Margin interest paid	
		12/09/21	-52.28	Margin interest paid	
			-225.89	Total Margin interest paid	
			<b>-415.95</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 160788295

## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	1.43		0.00	1.43	-0.30
<b>Total TAIWAN</b>			<b>0.00</b>	<b>1.43</b>		<b>0.00</b>	<b>1.43</b>	<b>-0.30</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>1.43</b>				
	<b>Qualified Foreign Source Income**</b>			<b>1.43</b>				
	<b>Foreign Tax</b>							<b>-0.30</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 160788295

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ATERIAN, INC. COMMON STOCK	02156U101	04/30/21	10.00	0.00	Exchange	
APHRIA INC. COMMON SHARES	03765K104	05/03/21	-2.00	0.00	Merger	
ENGINE MEDIA HOLDINGS, INC. COMMON STOCK	29287R103	10/19/21	-10.00	0.00	Exchange	
ENGINE GAMING AND MEDIA, INC. COMMON STOCK	29287W102	10/19/21	10.00	0.00	Exchange	
MOHAWK GROUP HOLDINGS, INC. COMMON STOCK	608189106	04/30/21	-10.00	0.00	Exchange	
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK	88688T100	05/03/21	1.00	0.00	Merger	
JOBY AVIATION, INC.	G65163100	08/11/21	20.00	0.00	Exchange	
REINVENT TECHNOLOGY PARTNERS	G7483N129	08/11/21	-20.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Instructions for Recipient**

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Instructions for Recipient**

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

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**1099-MISC Instructions for Recipient**

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