

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 114420573</b>	Statement Date: 02/11/2022 Document ID: X8X7 171 EG95	2021
	Raghavendra Gadhamsetty 4850 156th Ave NE 203 Redmond, WA 98052  RECIPIENT'S TIN: XXX-XX-0481		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      149.24</p> <p>1b- Qualified dividends      103.46</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      2.21</p> <p>2b- Unrecaptured Section 1250 gain      0.36</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      48.07</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      29.60</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      1.25</p> <p>7- Foreign tax paid:      1.25</p> <p>9- Cash liquidation distributions      31.29</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.10</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	25,515.28	29,742.89	0.00	267.85	-3,959.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	30.41	30.22	0.00	0.00	0.19
	<b>Total Short-term</b>	<b>25,545.69</b>	<b>29,773.11</b>	<b>0.00</b>	<b>267.85</b>	<b>-3,959.57</b>
Long	D (basis reported to the IRS)	14.16	35.00	0.00	0.00	-20.84
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>14.16</b>	<b>35.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-20.84</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>25,559.85</b>	<b>29,808.11</b>	<b>0.00</b>	<b>267.85</b>	<b>-3,980.41</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	45.63
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	3.54
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	65.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol:							
12/27/21	1.000	2.43	06/16/21	7.70	...	-5.27	Sale <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/20/21	10.000	30.15	01/19/21	29.60	...	0.55	Sale <sup>25</sup>
02/01/21	1.000	14.25	01/27/21	14.50	0.25 W	0.00	Sale <sup>25</sup>
	3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	2.000	15.40	02/24/21	22.54	...	-7.14	1 of 3 - Sale <sup>25</sup>
	1.000	7.70	02/24/21	11.12	...	-3.42	2 of 3 - Sale <sup>25</sup>
	1.000	7.70	02/24/21	10.87	...	-3.17	3 of 3 - Sale <sup>25</sup>
03/05/21	4.000	30.80	Various	44.53	...	-13.73	Total of 3 transactions
	<b>Security total:</b>	<b>75.20</b>		<b>88.63</b>	<b>0.25 W</b>	<b>-13.18</b>	
AMMO, INC. COMMON STOCK / CUSIP: 00175J107 / Symbol:							
03/05/21	10.000	54.10	01/14/21	70.00	...	-15.90	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARC DOCUMENT SOLUTIONS, INC. / CUSIP: 00191G103 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	18.85	01/19/21	21.90	...	-3.05	1 of 2 - Sale <sup>25</sup>
	0.047	0.09	03/01/21	0.10	...	-0.01	2 of 2 - Sale <sup>25</sup>
03/05/21	10.047	18.94	Various	22.00	...	-3.06	Total of 2 transactions
A.P. MOLLER-MAERSK A/S UNSPONS ORED AMERICAN DEPOSITORY RECEI PT (DENMARK) / CUSIP: 00202F102 / Symbol:							
03/05/21	1.000	10.72	12/23/20	11.26	...	-0.54	Sale <sup>25</sup>
AT&T INC. / CUSIP: 00206R102 / Symbol:							
5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.944	23.59	01/12/21	27.10	...	-3.51	1 of 5 - Sale <sup>25</sup>
	0.056	1.41	01/12/21	1.62	...	-0.21	2 of 5 - Sale <sup>25</sup>
	0.016	0.41	05/04/21	0.52	...	-0.11	3 of 5 - Sale <sup>25</sup>
	0.019	0.47	08/03/21	0.53	...	-0.06	4 of 5 - Sale <sup>25</sup>
	0.021	0.53	11/02/21	0.54	...	-0.01	5 of 5 - Sale <sup>25</sup>
12/27/21	1.056	26.41	Various	30.31	...	-3.90	Total of 5 transactions
ATA CREATIVITY GLOBAL AMERICAN DEPOSITORY SHARES / CUSIP: 00211V106 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	10.000	12.07	02/09/21	61.70	...	-49.63	1 of 2 - Sale <sup>25</sup>
	10.000	12.07	06/02/21	34.50	...	-22.43	2 of 2 - Sale <sup>25</sup>
12/27/21	20.000	24.14	Various	96.20	...	-72.06	Total of 2 transactions
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
8 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.049	5.77	10/28/20	4.62	...	1.15	1 of 8 - Sale <sup>25</sup>
	0.951	113.03	10/28/20	90.46	...	22.57	2 of 8 - Sale <sup>25</sup>
	1.000	118.80	10/30/20	91.33	...	27.47	3 of 8 - Sale <sup>25</sup>
	1.000	118.80	11/10/20	92.26	...	26.54	4 of 8 - Sale <sup>25</sup>
	1.000	118.80	12/29/20	122.54	...	-3.74	5 of 8 - Sale <sup>25</sup>
	0.049	5.77	01/04/21	6.13	...	-0.36	6 of 8 - Sale <sup>25</sup>
	1.000	118.80	02/17/21	151.95	...	-33.15	7 of 8 - Sale <sup>25</sup>
	1.000	118.80	02/23/21	135.00	...	-16.20	8 of 8 - Sale <sup>25</sup>
03/04/21	6.049	718.57	Various	694.29	...	24.28	Total of 8 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
6 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.008	0.71	11/24/20	0.64	...	0.07	1 of 6 - Sale <sup>25</sup>
	0.992	84.56	11/24/20	75.41	...	9.15	2 of 6 - Sale <sup>25</sup>
	1.000	85.27	12/31/20	93.69	...	-8.42	3 of 6 - Sale <sup>25</sup>
	0.008	0.71	01/04/21	0.79	...	-0.08	4 of 6 - Sale <sup>25</sup>
	1.000	85.27	02/17/21	108.00	...	-22.73	5 of 6 - Sale <sup>25</sup>
	1.000	85.27	02/17/21	104.98	...	-19.71	6 of 6 - Sale <sup>25</sup>
03/04/21	4.008	341.79	Various	383.51	...	-41.72	Total of 6 transactions
ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	149.03	12/29/20	145.19	...	3.84	1 of 2 - Sale <sup>25</sup>
	1.000	149.03	02/17/21	181.09	...	-32.06	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	298.06	Various	326.28	...	-28.22	Total of 2 transactions
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
5 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	0.004	0.20	12/22/20	0.19	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.996	51.47	12/22/20	50.84	...	0.63	2 of 5 - Sale <sup>25</sup>
	1.000	51.67	12/29/20	49.04	...	2.63	3 of 5 - Sale <sup>25</sup>
	0.004	0.20	01/04/21	0.19	...	0.01	4 of 5 - Sale <sup>25</sup>
	1.000	51.67	01/25/21	54.76	...	-3.09	5 of 5 - Sale <sup>25</sup>
03/04/21	3.004	155.21	Various	155.02	...	0.19	Total of 5 transactions
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
03/05/21	100.000	49.24	12/09/20	28.94	...	20.30	Sale <sup>25</sup>
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K873 / Symbol:							
12/27/21	12.000	16.44	12/30/20	33.58	...	-17.14	Sale <sup>25</sup>
ACLARIS THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00461U105 / Symbol:							
03/05/21	1.000	20.65	01/19/21	13.68	...	6.97	Sale <sup>25</sup>
ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M601 / Symbol:							
03/05/21	1.000	5.04	01/15/21	6.33	...	-1.29	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
01/21/21	1.000	94.97	01/19/21	92.73	...	2.24	Sale <sup>25</sup>
AEVA TECHNOLOGIES, INC. / CUSIP: 00835Q103 / Symbol:							
12/27/21	2.000	17.13	03/11/21	26.59	...	-9.46	Sale <sup>25</sup>
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
12/27/21	1.000	3.51	09/08/21	6.39	...	-2.88	Sale <sup>25</sup>
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
12/27/21	2.000	3.49	01/19/21	23.54	...	-20.05	Sale <sup>25</sup>
AGORA, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 00851L103 / Symbol:							
03/05/21	1.000	53.06	01/21/21	46.84	...	6.22	Sale <sup>25</sup>
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
03/05/21	10.000	19.90	01/20/21	22.40	...	-2.50	Sale <sup>25</sup>
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
06/10/21	1.000	141.53	05/14/21	139.79	...	1.74	Sale <sup>25</sup>
AIRGAIN, INC. COMMON STOCK / CUSIP: 00938A104 / Symbol:							
12/27/21	1.000	10.86	02/16/21	28.77	...	-17.91	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
2 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	230.04	12/24/20	222.43	...	7.61	1 of 2 - Sale <sup>25</sup>
	1.000	230.04	12/29/20	233.28	...	-3.24	2 of 2 - Sale <sup>25</sup>
01/06/21	2.000	460.08	Various	455.71	...	4.37	Total of 2 transactions
10/26/21	0.350	60.43	10/08/21	56.11	...	4.32	Sale <sup>25</sup>
10/26/21	1.000	172.67	09/01/21	172.94	0.27 W	0.00	Sale <sup>25</sup>
12/03/21	1.000	112.96	11/22/21	137.04	...	-24.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>806.14</b>		<b>821.80</b>	<b>0.27 W</b>	<b>-15.39</b>	
ALTERYX, INC. / CUSIP: 02156B103 / Symbol:							
03/05/21	1.000	80.57	01/15/21	121.07	...	-40.50	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTITUDE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 02156Y103 / Symbol:							
12/27/21	1.000	9.86	03/15/21	10.62	...	-0.76	Sale <sup>25</sup>
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
12/20/21	2.000	6.76	03/17/21	13.31	...	-6.55	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	2.000	31.82	01/12/21	30.73	...	1.09	1 of 2 - Sale <sup>25</sup>
	1.000	15.91	01/14/21	16.45	...	-0.54	2 of 2 - Sale <sup>25</sup>
01/20/21	3.000	47.73	Various	47.18	...	0.55	Total of 2 transactions
01/20/21	2.000	31.83	01/14/21	32.89	0.53 W	-0.53	Sale <sup>25</sup>
01/28/21	1.000	19.00	01/28/21	21.53	...	-2.53	Sale <sup>25</sup>
12/20/21	5.000	88.22	11/09/21	109.94	...	-21.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>186.78</b>		<b>211.54</b>	<b>0.53 W</b>	<b>-24.23</b>	
AMERICAN INTERNATIONAL GROUP, INC. / CUSIP: 026874784 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.012	0.53	10/01/20	0.32	...	0.21	1 of 2 - Sale <sup>25</sup>
	0.009	0.40	12/29/20	0.32	...	0.08	2 of 2 - Sale <sup>25</sup>
03/05/21	0.020	0.93	Various	0.64	...	0.29	Total of 2 transactions
AMERICAN SUPERCONDUCTOR CORPOR ATION COMMON STOCK / CUSIP: 030111207 / Symbol:							
12/27/21	2.000	22.74	06/07/21	35.40	...	-12.66	Sale <sup>25</sup>
AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol:							
03/05/21	2.000	40.26	01/06/21	51.36	...	-11.10	Sale <sup>25</sup>
AMPLIFY SEYMOUR CANNABIS ETF / CUSIP: 032108854 / Symbol:							
01/21/21	1.000	23.58	01/06/21	20.33	...	3.25	Sale <sup>25</sup>
AMPLITECH GROUP, INC. COMMON S TOCK / CUSIP: 03211Q200 / Symbol:							
12/27/21	2.000	8.40	03/12/21	25.70	...	-17.30	Sale <sup>25</sup>
AMYRIS, INC. COMMON STOCK / CUSIP: 03236M200 / Symbol:							
12/27/21	1.000	5.42	03/15/21	21.92	...	-16.50	Sale <sup>25</sup>

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Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APARTMENT INCOME REIT CORP. / CUSIP: 03750L109 / Symbol:							
02/01/21	1.000	38.87	01/04/21	38.32	...	0.55	Sale <sup>25</sup>
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
03/05/21	1.000	14.09	01/14/21	11.82	...	2.27	Sale <sup>25</sup>
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
01/21/21	1.000	15.53	11/27/20	13.00	...	2.53	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/28/21	1.000	139.46	01/21/21	136.77	...	2.69	Sale <sup>25</sup>
01/28/21	1.000	139.46	01/25/21	140.65	1.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>278.92</b>		<b>277.42</b>	<b>1.19 W</b>	<b>2.69</b>	
APPLIED OPTOELECTRONICS, INC. COMMON STOCK / CUSIP: 03823U102 / Symbol:							
12/20/21	5.000	27.31	06/03/21	43.00	...	-15.69	Sale <sup>25</sup>
APRIA, INC. COMMON STOCK / CUSIP: 03836A101 / Symbol:							
12/27/21	1.000	32.36	02/12/21	23.41	...	8.95	Sale <sup>25</sup>
AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
03/05/21	10.000	32.20	12/28/20	30.95	...	1.25	Sale <sup>25</sup>
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
01/13/21	1.000	61.00	12/29/20	43.28	...	17.72	Sale <sup>25</sup>
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	1.000	61.00	01/07/21	61.47	0.47 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	122.00	01/07/21	132.38	10.38 W	0.00	2 of 2 - Sale <sup>25</sup>
01/13/21	3.000	183.00	Various	193.85	10.85 W	0.00	Total of 2 transactions
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	2.000	107.54	01/13/21	139.51	...	-31.97	1 of 2 - Sale <sup>25</sup>
	1.000	53.77	01/13/21	64.56	...	-10.79	2 of 2 - Sale <sup>25</sup>
02/23/21	3.000	161.31	Various	204.07	...	-42.76	Total of 2 transactions
02/23/21	1.000	53.77	01/13/21	65.03	11.26 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol: (cont'd)							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	46.03	01/15/21	59.71	...	-13.68	1 of 2 - Sale <sup>25</sup>
	1.000	46.02	02/02/21	87.18	...	-41.16	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	92.05	Various	146.89	...	-54.84	Total of 2 transactions
	<b>Security total:</b>	<b>551.13</b>		<b>653.12</b>	<b>22.11 W</b>	<b>-79.88</b>	
ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1.11	01/19/21	3.48	...	-2.37	1 of 2 - Sale <sup>25</sup>
	10.000	11.13	02/08/21	39.25	...	-28.12	2 of 2 - Sale <sup>25</sup>
12/27/21	11.000	12.24	Various	42.73	...	-30.49	Total of 2 transactions
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
03/04/21	1.000	46.66	12/30/20	50.28	...	-3.62	Sale <sup>25</sup>
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	10.000	40.00	01/25/21	28.65	...	11.35	1 of 2 - Sale <sup>25</sup>
	10.000	40.00	04/26/21	18.68	...	21.32	2 of 2 - Sale <sup>25</sup>
06/10/21	20.000	80.00	Various	47.33	...	32.67	Total of 2 transactions
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
12/03/21	1.000	136.31	08/18/21	144.67	...	-8.36	Sale <sup>25</sup>
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:							
01/28/21	1.000	19.02	11/18/20	18.08	...	0.94	Sale <sup>25</sup>
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	19.01	09/18/20	24.00	4.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	19.01	11/09/20	19.93	0.92 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	19.01	11/16/20	19.23	0.22 W	0.00	3 of 3 - Sale <sup>25</sup>
01/28/21	3.000	57.03	Various	63.16	6.13 W	0.00	Total of 3 transactions
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	16.42	11/30/20	17.72	...	-1.30	1 of 4 - Sale <sup>25</sup>
	1.000	16.42	02/05/21	21.53	...	-5.11	2 of 4 - Sale <sup>25</sup>

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Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol: (cont'd)							
	1.000	16.42	02/23/21	16.96	...	-0.54	3 of 4 - Sale <sup>25</sup>
	1.000	16.42	02/23/21	16.25	...	0.17	4 of 4 - Sale <sup>25</sup>
03/05/21	4.000	65.68	Various	72.46	...	-6.78	Total of 4 transactions
	<b>Security total:</b>	<b>141.73</b>		<b>153.70</b>	<b>6.13 W</b>	<b>-5.84</b>	
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
7 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.934	35.85	03/15/21	38.88	...	-3.03	1 of 7 - Sale <sup>25</sup>
	0.012	0.23	06/16/21	0.28	...	-0.05	2 of 7 - Sale <sup>25</sup>
	0.007	0.12	06/16/21	0.15	...	-0.03	3 of 7 - Sale <sup>25</sup>
	0.015	0.28	09/16/21	0.28	...	0.00	4 of 7 - Sale <sup>25</sup>
	0.008	0.15	09/16/21	0.15	...	0.00	5 of 7 - Sale <sup>25</sup>
	0.016	0.30	12/16/21	0.32	...	-0.02	6 of 7 - Sale <sup>25</sup>
	0.008	0.15	12/16/21	0.16	...	-0.01	7 of 7 - Sale <sup>25</sup>
12/27/21	2.000	37.08	Various	40.22	...	-3.14	Total of 7 transactions
12/27/21	0.066	1.23	03/15/21	1.33	0.04 W	-0.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38.31</b>		<b>41.55</b>	<b>0.04 W</b>	<b>-3.20</b>	
BAYER AKTIENGESELLSCHAFT AMERI CAN DEPOSITARY SHARES (EACH RE PSTG ONE BAYER AG ORDINARY SHA / CUSIP: 072730302 / Symbol:							
03/05/21	5.000	76.60	12/28/20	74.35	...	2.25	Sale <sup>25</sup>
THE BEACHBODY COMPANY, INC. / CUSIP: 073463101 / Symbol:							
12/27/21	1.000	2.50	02/19/21	16.42	...	-13.92	Sale <sup>25</sup>
BEST INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol:							
03/04/21	10.000	20.75	01/12/21	21.00	...	-0.25	Sale <sup>25</sup>
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
12/20/21	1.000	67.74	10/25/21	93.06	...	-25.32	Sale <sup>25</sup>
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
01/21/21	1.000	68.84	01/06/21	78.86	...	-10.02	Sale <sup>25</sup>
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
03/05/21	1.000	114.66	01/12/21	115.23	...	-0.57	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BIOMERICA, INC. COMMON STOCK / CUSIP: 09061H307 / Symbol:							
03/05/21	10.000	52.00	01/14/21	60.25	...	-8.25	Sale <sup>25</sup>
BIONDVAX PHARMACEUTICALS LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09073Q105 / Symbol:							
03/05/21	1.000	3.24	01/19/21	4.93	...	-1.69	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
02/12/21	10.000	130.00	12/30/20	21.15	...	108.85	Sale <sup>25</sup>
BIOATLA, INC. COMMON STOCK / CUSIP: 09077B104 / Symbol:							
03/05/21	1.000	46.04	12/28/20	33.27	...	12.77	Sale <sup>25</sup>
BITNILE HOLDINGS, INC. / CUSIP: 09175M101 / Symbol:							
12/27/21	20.000	26.63	03/15/21	79.00	...	-52.37	Sale <sup>25</sup>
BLACK DIAMOND THERAPEUTICS, IN C. COMMON STOCK / CUSIP: 09203E105 / Symbol:							
12/27/21	4.000	21.77	06/09/21	52.67	...	-30.90	Sale <sup>25</sup>
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
01/21/21	1.000	12.19	01/19/21	10.99	...	1.20	Sale <sup>25</sup>
03/05/21	1.000	9.01	01/25/21	18.56	...	-9.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21.20</b>		<b>29.55</b>	...	<b>-8.35</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
12/27/21	1.000	28.27	11/08/21	36.27	...	-8.00	Sale <sup>25</sup>
BLUE BIRD CORPORATION COMMON STOCK / CUSIP: 095306106 / Symbol:							
12/27/21	1.000	14.75	02/23/21	20.85	...	-6.10	Sale <sup>25</sup>
BOSTON PRIVATE FINANCIAL HOLDINGS, INC. COMMON STOCK / CUSIP: 101119105 / Symbol:							
	<i>3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	10.50	01/05/21	0.00	...	10.50	1 of 3 - Merger
	0.021	0.04	02/22/21	0.02	...	0.02	2 of 3 - Merger
	0.020	0.05	05/24/21	0.05	...	0.00	3 of 3 - Merger
06/30/21	5.041	10.59	Various	0.07	...	10.52	Total of 3 transactions
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol:							
12/27/21	50.000	12.60	02/09/21	80.39	...	-67.79	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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BROADWIND, INC. COMMON STOCK / CUSIP: 11161T207 / Symbol:							
01/22/21	1.000	8.93	12/28/20	8.10	...	0.83	Sale <sup>25</sup>
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
01/08/21	1.000	27.95	11/20/20	17.82	...	10.13	Sale <sup>25</sup>
CVD EQUIPMENT CORPORATION COMM ON STOCK / CUSIP: 126601103 / Symbol:							
01/08/21	10.000	41.07	12/23/20	45.50	...	-4.43	Sale <sup>25</sup>
CALITHERA BIOSCIENCES, INC. CO MMON STOCK / CUSIP: 13089P101 / Symbol:							
<i>2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	70.52	01/06/21	273.50	...	-202.98	1 of 2 - Sale <sup>25</sup>
	10.000	7.05	02/12/21	31.75	...	-24.70	2 of 2 - Sale <sup>25</sup>
12/27/21	110.000	77.57	Various	305.25	...	-227.68	Total of 2 transactions
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
<i>2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.46	02/23/21	16.95	...	-11.49	1 of 2 - Sale <sup>25</sup>
	5.000	27.32	05/27/21	46.03	...	-18.71	2 of 2 - Sale <sup>25</sup>
12/27/21	6.000	32.78	Various	62.98	...	-30.20	Total of 2 transactions
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
<i>6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.29	09/01/20	25.00	...	10.29	1 of 6 - Sale <sup>25</sup>
	1.000	35.29	10/28/20	32.92	...	2.37	2 of 6 - Sale <sup>25</sup>
	1.000	35.29	10/30/20	31.47	...	3.82	3 of 6 - Sale <sup>25</sup>
	1.000	35.29	12/10/20	30.92	...	4.37	4 of 6 - Sale <sup>25</sup>
	1.000	35.29	12/31/20	30.11	...	5.18	5 of 6 - Sale <sup>25</sup>
	1.000	35.29	01/05/21	28.32	...	6.97	6 of 6 - Sale <sup>25</sup>
01/28/21	6.000	211.74	Various	178.74	...	33.00	Total of 6 transactions
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
01/29/21	0.133	5.34	01/26/21	4.73	...	0.61	Sale <sup>25</sup>
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
12/27/21	1.000	6.07	02/11/21	13.24	...	-7.17	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARLOTZ, INC. CLASS A COMMON S TOCK / CUSIP: 142552108 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	10.000	25.22	02/08/21	90.70	...	-65.48	1 of 2 - Sale <sup>25</sup>
	10.000	25.22	06/10/21	60.80	...	-35.58	2 of 2 - Sale <sup>25</sup>
12/27/21	20.000	50.44	Various	151.50	...	-101.06	Total of 2 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
01/08/21	1.000	20.32	12/30/20	21.92	...	-1.60	Sale <sup>25</sup>
CARRIER GLOBAL CORPORATION / CUSIP: 14448C104 / Symbol:							
01/20/21	1.000	39.78	12/23/20	38.15	...	1.63	Sale <sup>25</sup>
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
12/27/21	1.000	45.72	02/16/21	55.87	...	-10.15	Sale <sup>25</sup>
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
12/27/21	1.000	21.18	01/06/21	23.83	...	-2.65	Sale <sup>25</sup>
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
03/04/21	1.000	87.07	12/30/20	92.92	5.85 W	0.00	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/26/21	1.000	23.00	01/12/21	14.96	...	8.04	Sale <sup>25</sup>
02/04/21	1.000	30.00	01/12/21	14.96	...	15.04	Sale <sup>25</sup>
03/04/21	1.000	21.77	02/23/21	37.16	...	-15.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>74.77</b>		<b>67.08</b>	...	<b>7.69</b>	
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
03/05/21	1.000	10.92	01/22/21	21.58	...	-10.66	Sale <sup>25</sup>
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	20.59	01/11/21	15.00	...	5.59	1 of 2 - Sale <sup>25</sup>
	1.000	20.59	02/26/21	9.47	...	11.12	2 of 2 - Sale <sup>25</sup>
06/09/21	2.000	41.18	Various	24.47	...	16.71	Total of 2 transactions

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Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COEUR MINING, INC. / CUSIP: 192108504 / Symbol:							
12/27/21	2.000	10.33	03/15/21	19.74	...	-9.41	Sale <sup>25</sup>
COMCAST CORPORATION CLASS A CO MMON STOCK / CUSIP: 20030N101 / Symbol:							
01/04/21	1.000	51.51	11/23/20	49.24	...	2.27	Sale <sup>25</sup>
COMSOVEREIGN HOLDING CORP. COM MON STOCK / CUSIP: 205650203 / Symbol:							
12/27/21	50.000	42.50	03/11/21	172.32	...	-129.82	Sale <sup>25</sup>
CONCRETE PUMPING HOLDINGS, INC. COMMON STOCK / CUSIP: 206704108 / Symbol:							
12/27/21	2.000	16.34	01/12/21	9.00	...	7.34	Sale <sup>25</sup>
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
03/04/21	1.000	16.53	01/14/21	27.01	...	-10.48	Sale <sup>25</sup>
03/04/21	2.000	33.06	12/29/20	37.10	4.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>49.59</b>		<b>64.11</b>	<b>4.04 W</b>	<b>-10.48</b>	
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
	2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	10.000	6.80	02/12/21	29.40	...	-22.60	1 of 2 - Sale <sup>25</sup>
	10.000	6.80	03/15/21	23.15	...	-16.35	2 of 2 - Sale <sup>25</sup>
12/27/21	20.000	13.60	Various	52.55	...	-38.95	Total of 2 transactions
CURIOSITYSTREAM INC. CLASS A C OMMON STOCK / CUSIP: 23130Q107 / Symbol:							
03/05/21	1.000	14.30	02/04/21	14.70	...	-0.40	Sale <sup>25</sup>
DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
	2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.						
	2.000	27.48	03/17/21	59.84	...	-32.36	1 of 2 - Sale <sup>25</sup>
	1.000	13.74	05/12/21	22.76	...	-9.02	2 of 2 - Sale <sup>25</sup>
12/27/21	3.000	41.22	Various	82.60	...	-41.38	Total of 2 transactions

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Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol:							
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	2.000	10.04	01/07/21	39.28	...	-29.24	1 of 2 - Sale <sup>25</sup>
	1.000	5.02	03/10/21	19.17	...	-14.15	2 of 2 - Sale <sup>25</sup>
12/20/21	3.000	15.06	Various	58.45	...	-43.39	Total of 2 transactions
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
01/08/21	10.000	26.20	01/06/21	35.80	9.60 W	0.00	Sale <sup>25</sup>
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	4.000	6.08	01/20/21	14.64	...	-8.56	1 of 3 - Sale <sup>25</sup>
	6.000	9.12	01/25/21	21.11	...	-11.99	2 of 3 - Sale <sup>25</sup>
	4.000	6.08	01/25/21	10.24	...	-4.16	3 of 3 - Sale <sup>25</sup>
03/05/21	14.000	21.28	Various	45.99	...	-24.71	Total of 3 transactions
	<b>Security total:</b>	<b>47.48</b>		<b>81.79</b>	<b>9.60 W</b>	<b>-24.71</b>	
DIGITAL TURBINE, INC. COMMON STOCK / CUSIP: 25400W102 / Symbol:							
01/28/21	1.000	60.31	10/28/20	30.00	...	30.31	Sale <sup>25</sup>
DIGITAL BRANDS GROUP, INC. COMMON STOCK / CUSIP: 25401N101 / Symbol:							
12/27/21	10.000	24.52	06/24/21	66.55	...	-42.03	Sale <sup>25</sup>
DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.000	0.01	09/08/20	0.00	...	0.01	1 of 3 - Sale <sup>25</sup>
	1.000	76.61	09/08/20	30.00	...	46.61	2 of 3 - Sale <sup>25</sup>
	0.000	0.01	12/31/20	0.01	...	0.00	3 of 3 - Sale <sup>25</sup>
03/05/21	1.000	76.63	Various	30.01	...	46.62	Total of 3 transactions
DIREXION DAILY S&P OIL & GAS EXP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
03/05/21	1.000	80.70	01/04/21	39.80	...	40.90	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	1.000	62.45	11/16/20	43.70	...	18.75	1 of 2 - Sale <sup>25</sup>
	1.000	62.45	11/19/20	48.93	...	13.52	2 of 2 - Sale <sup>25</sup>
02/04/21	2.000	124.90	Various	92.63	...	32.27	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
<i>5 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	62.87	11/19/20	48.93	...	13.94	1 of 5 - Sale <sup>25</sup>
	2.000	125.75	11/27/20	105.35	...	20.40	2 of 5 - Sale <sup>25</sup>
	1.000	62.88	01/19/21	51.90	...	10.98	3 of 5 - Sale <sup>25</sup>
	1.000	62.87	01/25/21	50.83	...	12.04	4 of 5 - Sale <sup>25</sup>
	1.000	62.88	01/27/21	56.15	...	6.73	5 of 5 - Sale <sup>25</sup>
03/04/21	6.000	377.25	Various	313.16	...	64.09	Total of 5 transactions
	<b>Security total:</b>	<b>502.15</b>		<b>405.79</b>	...	<b>96.36</b>	
DRIVE SHACK INC. / CUSIP: 262077100 / Symbol:							
12/27/21	20.000	30.84	03/15/21	61.20	...	-30.36	Sale <sup>25</sup>
DUNXIN FINANCIAL HOLDINGS LIM I TED / CUSIP: 26605Q106 / Symbol:							
12/27/21	50.000	51.55	02/24/21	75.00	...	-23.45	Sale <sup>25</sup>
U.S. GLOBAL JETS ETF / CUSIP: 26922A842 / Symbol:							
<i>3 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.97	09/25/20	16.75	...	5.22	1 of 3 - Sale <sup>25</sup>
	2.000	43.94	11/05/20	36.00	...	7.94	2 of 3 - Sale <sup>25</sup>
	2.000	43.94	11/18/20	43.07	...	0.87	3 of 3 - Sale <sup>25</sup>
01/22/21	5.000	109.85	Various	95.82	...	14.03	Total of 3 transactions
03/05/21	0.002	0.04	01/04/21	0.04	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>109.89</b>		<b>95.86</b>	...	<b>14.03</b>	
DEFIANCE NEXT GEN SPAC DERIVED ETF / CUSIP: 26922B204 / Symbol:							
<i>2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.81	10/15/20	25.00	...	-0.19	1 of 2 - Sale <sup>25</sup>
	1.000	24.82	01/25/21	30.20	...	-5.38	2 of 2 - Sale <sup>25</sup>
03/05/21	2.000	49.63	Various	55.20	...	-5.57	Total of 2 transactions
ETFMG ALTERNATIVE HARVEST ETF / CUSIP: 26924G508 / Symbol:							
<i>5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	0.992	11.53	02/08/21	24.94	...	-13.41	1 of 5 - Sale <sup>25</sup>
	0.008	0.09	02/08/21	0.20	...	-0.11	2 of 5 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ETFMG ALTERNATIVE HARVEST ETF / CUSIP: 26924G508 / Symbol: (cont'd)							
	0.001	0.01	03/29/21	0.02	...	-0.01	3 of 5 - Sale <sup>25</sup>
	0.003	0.03	06/25/21	0.06	...	-0.03	4 of 5 - Sale <sup>25</sup>
	0.004	0.05	09/24/21	0.06	...	-0.01	5 of 5 - Sale <sup>25</sup>
12/27/21	1.008	11.71	Various	25.28	...	-13.57	Total of 5 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
01/28/21	4.000	45.64	01/27/21	52.71	...	-7.07	Sale <sup>25</sup>
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
03/05/21	10.000	43.50	01/11/21	71.60	...	-28.10	Sale <sup>25</sup>
EMPIRE STATE REALTY TRUST, INC. / CUSIP: 292104106 / Symbol:							
03/04/21	1.000	11.42	11/17/20	8.73	...	2.69	Sale <sup>25</sup>
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
12/27/21	10.000	31.80	03/15/21	41.10	...	-9.30	Sale <sup>25</sup>
EOS ENERGY ENTERPRISES, INC. CLASS A COMMON STOCK / CUSIP: 29415C101 / Symbol:							
01/21/21	1.000	25.15	11/23/20	15.88	...	9.27	Sale <sup>25</sup>
ESSA PHARMA INC. COMMON STOCK / CUSIP: 29668H708 / Symbol:							
02/12/21	1.000	25.05	12/28/20	12.03	...	13.02	Sale <sup>25</sup>
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
01/21/21	1.000	13.57	09/01/20	7.84	...	5.73	Sale <sup>25</sup>
EXPERIENCE INVESTMENT CORP. CLASS A COMMON STOCK / CUSIP: 30217C109 / Symbol:							
03/05/21	2.000	23.25	01/22/21	29.80	...	-6.55	Sale <sup>25</sup>
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	10.000	60.00	01/22/21	23.30	...	36.70	1 of 2 - Sale <sup>25</sup>
	30.000	180.00	01/22/21	74.10	...	105.90	2 of 2 - Sale <sup>25</sup>
01/28/21	40.000	240.00	Various	97.40	...	142.60	Total of 2 transactions
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	1.000	2.39	01/29/21	6.96	4.57 W	0.00	1 of 2 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EXPRESS, INC. / CUSIP: 30219E103 / Symbol: (cont'd)							
	10.000	23.90	02/11/21	29.86	5.36 W	-0.60	2 of 2 - Sale <sup>25</sup>
03/05/21	11.000	26.29	Various	36.82	9.93 W	-0.60	Total of 2 transactions
	<b>Security total:</b>	<b>266.29</b>		<b>134.22</b>	<b>9.93 W</b>	<b>142.00</b>	
FLIR SYSTEMS, INC. (DE) COMMON STOCK / CUSIP: 302445101 / Symbol:							
01/21/21	1.000	53.91	01/04/21	52.85	...	1.06	Sale <sup>25</sup>
FTC SOLAR, INC. COMMON STOCK / CUSIP: 30320C103 / Symbol:							
12/27/21	2.000	14.71	04/28/21	29.80	...	-15.09	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
01/06/21	1.000	83.30	12/04/20	86.86	...	-3.56	Sale <sup>25</sup>
FIRST MAJESTIC SILVER CORP / CUSIP: 32076V103 / Symbol:							
5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.997	11.39	02/01/21	22.17	...	-10.78	1 of 5 - Sale <sup>25</sup>
	2.000	22.86	03/15/21	34.55	...	-11.69	2 of 5 - Sale <sup>25</sup>
	0.001	0.01	06/07/21	0.01	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.002	0.02	09/17/21	0.02	...	0.00	4 of 5 - Sale <sup>25</sup>
	0.001	0.01	12/01/21	0.02	...	-0.01	5 of 5 - Sale <sup>25</sup>
12/27/21	3.000	34.29	Various	56.77	...	-22.48	Total of 5 transactions
12/27/21	0.003	0.04	02/01/21	0.07	0.01 W	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>34.33</b>		<b>56.84</b>	<b>0.01 W</b>	<b>-22.50</b>	
FIRST WAVE BIOPHARMA, INC. COM MON STOCK / CUSIP: 33749P101 / Symbol:							
12/27/21	3.000	4.08	03/15/21	48.30	...	-44.22	Sale <sup>25</sup>
FITBIT, INC. CLASS A COMMON ST OCK / CUSIP: 33812L102 / Symbol:							
01/04/21	1.000	6.80	12/17/20	7.21	...	-0.41	Sale <sup>25</sup>
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
03/04/21	1.000	13.71	01/06/21	15.16	1.45 W	0.00	Sale <sup>25</sup>
FOOT LOCKER, INC. / CUSIP: 344849104 / Symbol:							
01/27/21	1.000	44.11	01/21/21	48.27	...	-4.16	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
03/05/21	1.000	4.47	01/15/21	8.30	...	-3.83	Sale <sup>25</sup>
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	2.000	34.31	11/18/20	9.21	...	25.10	1 of 3 - Sale <sup>25</sup>
	2.000	34.30	12/29/20	21.61	...	12.69	2 of 3 - Sale <sup>25</sup>
	1.000	17.15	12/30/20	11.94	...	5.21	3 of 3 - Sale <sup>25</sup>
01/14/21	5.000	85.76	Various	42.76	...	43.00	Total of 3 transactions
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	1.000	15.84	12/30/20	11.94	...	3.90	1 of 2 - Sale <sup>25</sup>
	1.000	15.84	01/20/21	16.70	...	-0.86	2 of 2 - Sale <sup>25</sup>
01/21/21	2.000	31.68	Various	28.64	...	3.04	Total of 2 transactions
	<b>Security total:</b>	<b>117.44</b>		<b>71.40</b>	...	<b>46.04</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
01/08/21	1.000	27.49	01/05/21	33.73	6.24 W	0.00	Sale <sup>25</sup>
01/13/21	1.000	35.00	01/12/21	31.95	...	3.05	Sale <sup>25</sup>
01/13/21	1.000	35.00	01/12/21	38.20	3.20 W	0.00	Sale <sup>25</sup>
03/04/21	1.000	30.39	02/10/21	46.40	...	-16.01	Sale <sup>25</sup>
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	30.39	01/21/21	36.89	6.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	30.39	01/29/21	41.50	11.11 W	0.00	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	60.78	Various	78.39	17.61 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>188.66</b>		<b>228.67</b>	<b>27.05 W</b>	<b>-12.96</b>	
GBS INC. COMMON STOCK / CUSIP: 36151G105 / Symbol:							
12/27/21	10.000	16.30	03/18/21	69.50	...	-53.20	Sale <sup>25</sup>
GALERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 36338D108 / Symbol:							
12/27/21	5.000	25.25	04/29/21	40.30	...	-15.05	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
01/13/21	1.000	34.86	01/13/21	37.63	...	-2.77	Sale <sup>25</sup>
02/24/21	1.000	137.21	02/24/21	185.00	...	-47.79	Sale <sup>25</sup>
	<b>Security total:</b>	<b>172.07</b>		<b>222.63</b>	...	<b>-50.56</b>	
GEOVAX LABS, INC. COMMON STOCK / CUSIP: 373678507 / Symbol:							
<i>2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.13	01/11/21	5.96	...	-1.83	1 of 2 - Sale <sup>25</sup>
	1.000	4.13	01/21/21	4.80	...	-0.67	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	8.26	Various	10.76	...	-2.50	Total of 2 transactions
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
03/05/21	10.000	57.61	01/13/21	67.60	...	-9.99	Sale <sup>25</sup>
GIGCAPITAL3, INC. / CUSIP: 37519D107 / Symbol:							
03/05/21	1.000	10.34	01/22/21	16.12	...	-5.78	Sale <sup>25</sup>
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
12/27/21	5.000	6.26	02/10/21	14.60	...	-8.34	Sale <sup>25</sup>
GLOBAL X FUNDS GLOBAL X ROBOTI CS & ARTIFICIAL INTELLIGENCE E TF / CUSIP: 37954Y715 / Symbol:							
03/04/21	1.000	31.90	11/27/20	31.99	...	-0.09	Sale <sup>25</sup>
GLOBAL X LITHIUM & BATTERY TEC H ETF / CUSIP: 37954Y855 / Symbol:							
<i>2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	61.76	12/28/20	60.85	...	0.91	1 of 2 - Sale <sup>25</sup>
	0.999	61.68	01/28/21	68.45	...	-6.77	2 of 2 - Sale <sup>25</sup>
02/23/21	1.999	123.44	Various	129.30	...	-5.86	Total of 2 transactions
02/23/21	0.001	0.08	01/11/21	0.09	0.01 W	0.00	Sale <sup>25</sup>
03/04/21	0.001	0.07	01/28/21	0.10	...	-0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>123.59</b>		<b>129.49</b>	<b>0.01 W</b>	<b>-5.89</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/11/2022

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GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	1.000	13.40	01/13/21	11.51	...	1.89	1 of 2 - Sale <sup>25</sup>
	2.000	26.80	01/25/21	26.18	...	0.62	2 of 2 - Sale <sup>25</sup>
06/10/21	3.000	40.20	Various	37.69	...	2.51	Total of 2 transactions
GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	12.34	10/23/20	12.00	...	0.34	1 of 2 - Sale <sup>25</sup>
	1.000	12.34	02/12/21	18.18	...	-5.84	2 of 2 - Sale <sup>25</sup>
03/05/21	2.000	24.68	Various	30.18	...	-5.50	Total of 2 transactions
GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol:							
03/17/21	1.000	37.07	03/11/21	42.00	...	-4.93	Sale <sup>25</sup>
GREENROSE ACQUISITION CORP COM MON SHARES / CUSIP: 395392103 / Symbol:							
12/27/21	2.000	6.32	02/12/21	21.12	...	-14.80	Sale <sup>25</sup>
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
12/27/21	10.000	6.38	02/11/21	25.40	...	-19.02	Sale <sup>25</sup>
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
12/27/21	1.000	12.93	08/05/21	42.14	...	-29.21	Sale <sup>25</sup>
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
03/05/21	100.000	176.99	01/04/21	170.00	...	6.99	Sale <sup>25</sup>
HEALTHPEAK PROPERTIES, INC. / CUSIP: 42250P103 / Symbol:							
03/05/21	1.000	29.00	01/20/21	29.69	...	-0.69	Sale <sup>25</sup>
HELLO GROUP INC. AMERICAN DEPOSITARY SHARES / CUSIP: 423403104 / Symbol:							
12/27/21	5.000	44.51	10/20/21	66.29	...	-21.78	Sale <sup>25</sup>
HUMANIGEN, INC. COMMON STOCK / CUSIP: 444863203 / Symbol:							
03/29/21	1.000	22.71	02/09/21	21.62	...	1.09	Sale <sup>25</sup>

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HURCO COMPANIES, INC. COMMON S TOCK / CUSIP: 447324104 / Symbol:							
<i>5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	0.988	28.56	03/15/21	37.40	...	-8.84	1 of 5 - Sale <sup>25</sup>
	0.012	0.36	03/15/21	0.47	...	-0.11	2 of 5 - Sale <sup>25</sup>
	0.004	0.12	04/13/21	0.14	...	-0.02	3 of 5 - Sale <sup>25</sup>
	0.004	0.12	07/13/21	0.14	...	-0.02	4 of 5 - Sale <sup>25</sup>
	0.004	0.12	10/13/21	0.14	...	-0.02	5 of 5 - Sale <sup>25</sup>
12/27/21	1.012	29.28	Various	38.29	...	-9.01	Total of 5 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
<i>2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	16.53	11/30/20	20.50	...	-3.97	1 of 2 - Sale <sup>25</sup>
	2.000	33.07	01/20/21	32.74	...	0.33	2 of 2 - Sale <sup>25</sup>
01/21/21	3.000	49.60	Various	53.24	...	-3.64	Total of 2 transactions
01/21/21	1.000	16.53	11/03/20	20.49	3.96 W	0.00	Sale <sup>25</sup>
03/04/21	1.000	13.11	02/16/21	23.81	...	-10.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>79.24</b>		<b>97.54</b>	<b>3.96 W</b>	<b>-14.34</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
12/27/21	1.000	5.82	02/12/21	15.60	...	-9.78	Sale <sup>25</sup>
INSPIREMD INC. COMMON STOCK / CUSIP: 45779A846 / Symbol:							
12/27/21	10.000	31.80	04/27/21	66.59	...	-34.79	Sale <sup>25</sup>
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
12/20/21	1.000	49.49	11/03/21	49.99	...	-0.50	Sale <sup>25</sup>
INVESCO EXCHANGE-TRADED FUND T RUST II INVESCO NASDAQ 100 ETF / CUSIP: 46138G649 / Symbol:							
03/04/21	1.000	125.52	01/11/21	130.14	...	-4.62	Sale <sup>25</sup>
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
12/27/21	1.000	15.53	03/10/21	40.00	...	-24.47	Sale <sup>25</sup>
IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol:							
12/20/21	10.000	46.32	10/19/21	97.40	...	-51.08	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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IRON MOUNTAIN INC. / CUSIP: 46284V101 / Symbol:							
04/13/21	2.000	76.00	12/23/20	59.31	...	16.69	Sale <sup>25</sup>
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/04/21	2.000	48.82	02/01/21	54.62	...	-5.80	3- Proceeds from collectibles [X] Sale <sup>25</sup>
ISUN, INC. COMMON STOCK / CUSIP: 465246106 / Symbol:							
03/05/21	4.000	38.69	01/07/21	50.96	...	-12.27	Sale <sup>25</sup>
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	1.36	01/20/21	3.00	...	-1.64	1 of 2 - Sale <sup>25</sup>
	10.000	13.62	01/25/21	34.85	...	-21.23	2 of 2 - Sale <sup>25</sup>
03/05/21	11.000	14.98	Various	37.85	...	-22.87	Total of 2 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	67.72	06/21/21	71.35	...	-3.63	1 of 2 - Sale <sup>25</sup>
	1.000	67.73	09/07/21	83.88	...	-16.15	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	135.45	Various	155.23	...	-19.78	Total of 2 transactions
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	8.000	116.65	01/12/21	118.64	...	-1.99	1 of 2 - Sale <sup>25</sup>
	2.000	29.17	01/12/21	29.66	...	-0.49	2 of 2 - Sale <sup>25</sup>
01/29/21	10.000	145.82	Various	148.30	...	-2.48	Total of 2 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	1.000	36.52	11/12/20	14.37	...	22.15	1 of 2 - Sale <sup>25</sup>
	2.000	73.04	12/07/20	71.37	...	1.67	2 of 2 - Sale <sup>25</sup>
01/04/21	3.000	109.56	Various	85.74	...	23.82	Total of 2 transactions
06/10/21	1.000	30.12	03/09/21	37.76	...	-7.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>139.68</b>		<b>123.50</b>	...	<b>16.18</b>	

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
03/05/21	7.000	38.50	01/26/21	61.94	...	-23.44	Sale <sup>25</sup>
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
4 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	9.874	55.30	03/15/21	67.04	...	-11.74	1 of 4 - Sale <sup>25</sup>
	0.037	0.21	06/18/21	0.25	...	-0.04	2 of 4 - Sale <sup>25</sup>
	0.040	0.23	09/03/21	0.25	...	-0.02	3 of 4 - Sale <sup>25</sup>
	0.048	0.27	12/16/21	0.31	...	-0.04	4 of 4 - Sale <sup>25</sup>
12/27/21	10.000	56.01	Various	67.85	...	-11.84	Total of 4 transactions
12/27/21	0.126	0.71	03/15/21	0.86	0.06 W	-0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>56.72</b>		<b>68.71</b>	<b>0.06 W</b>	<b>-11.93</b>	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	34.63	01/12/21	34.69	...	-0.06	1 of 2 - Sale <sup>25</sup>
	1.000	34.63	01/13/21	34.75	...	-0.12	2 of 2 - Sale <sup>25</sup>
01/13/21	2.000	69.26	Various	69.44	...	-0.18	Total of 2 transactions
LATCH, INC. COMMON STOCK / CUSIP: 51818V106 / Symbol:							
12/27/21	1.000	7.80	01/26/21	14.00	...	-6.20	Sale <sup>25</sup>
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	43.38	03/10/21	95.24	...	-51.86	1 of 2 - Sale <sup>25</sup>
	1.000	43.38	05/12/21	67.50	...	-24.12	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	86.76	Various	162.74	...	-75.98	Total of 2 transactions
ROUNDHILL SPORTS BETTING & IGA MING ETF / CUSIP: 53656F789 / Symbol:							
12/27/21	1.000	24.83	03/15/21	32.69	...	-7.86	Sale <sup>25</sup>
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
01/20/21	2.000	44.00	01/05/21	29.20	...	14.80	Sale <sup>25</sup>
LIVENT CORPORATION / CUSIP: 53814L108 / Symbol:							
01/21/21	1.000	21.60	11/06/20	12.94	...	8.66	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol:							
<i>7 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	0.868	4.09	02/12/21	20.83	...	-16.74	1 of 7 - Sale <sup>25</sup>
	0.132	0.62	02/12/21	3.16	...	-2.54	2 of 7 - Sale <sup>25</sup>
	1.000	4.71	02/24/21	19.81	...	-15.10	3 of 7 - Sale <sup>25</sup>
	0.091	0.43	05/19/21	1.22	...	-0.79	4 of 7 - Sale <sup>25</sup>
	0.015	0.07	07/19/21	0.17	...	-0.10	5 of 7 - Sale <sup>25</sup>
	0.026	0.12	10/19/21	0.17	...	-0.05	6 of 7 - Sale <sup>25</sup>
	5.000	23.57	11/01/21	39.54	...	-15.97	7 of 7 - Sale <sup>25</sup>
12/27/21	7.132	33.61	Various	84.90	...	-51.29	Total of 7 transactions
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
<i>2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.74	11/22/21	10.74	...	9.00	1 of 2 - Sale <sup>25</sup>
	1.000	19.75	11/22/21	9.90	...	9.85	2 of 2 - Sale <sup>25</sup>
11/23/21	2.000	39.49	Various	20.64	...	18.85	Total of 2 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
<i>4 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.54	03/15/21	15.32	...	-3.78	1 of 4 - Sale <sup>25</sup>
	1.000	11.54	03/15/21	15.16	...	-3.62	2 of 4 - Sale <sup>25</sup>
	1.000	11.54	05/18/21	9.32	...	2.22	3 of 4 - Sale <sup>25</sup>
	1.000	11.54	05/19/21	11.27	...	0.27	4 of 4 - Sale <sup>25</sup>
06/08/21	4.000	46.16	Various	51.07	...	-4.91	Total of 4 transactions
MGM GROWTH PROPERTIES LLC CLA SS A COMMON SHARES REPRESENTIN G LIMITED LIABILITY COMPANY IN / CUSIP: 55303A105 / Symbol:							
01/21/21	1.000	31.72	11/17/20	30.98	...	0.74	Sale <sup>25</sup>
03/04/21	0.016	0.51	01/19/21	0.49	...	0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>32.23</b>		<b>31.47</b>	...	<b>0.76</b>	
MICT, INC. COMMON STOCK / CUSIP: 55328R109 / Symbol:							
12/27/21	100.000	87.10	03/15/21	208.00	...	-120.90	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MAGELLAN MIDSTREAM PARTNERS, L .P. / CUSIP: 559080106 / Symbol:							
4 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.924	41.21	03/01/21	39.86	...	1.35	1 of 4 - Sale <sup>25</sup>
	0.019	0.87	05/17/21	0.95	...	-0.08	2 of 4 - Sale <sup>25</sup>
	0.021	0.91	08/16/21	0.97	...	-0.06	3 of 4 - Sale <sup>25</sup>
	0.020	0.91	11/15/21	1.00	...	-0.09	4 of 4 - Sale <sup>25</sup>
12/27/21	0.985	43.90	Various	42.78	...	1.12	Total of 4 transactions
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
03/04/21	1.000	39.54	01/13/21	27.57	...	11.97	Sale <sup>25</sup>
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	25.51	01/29/21	28.81	...	-3.30	1 of 2 - Sale <sup>25</sup>
	1.000	25.51	02/23/21	29.54	...	-4.03	2 of 2 - Sale <sup>25</sup>
03/05/21	2.000	51.02	Various	58.35	...	-7.33	Total of 2 transactions
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	2.000	32.00	12/30/20	24.34	...	7.66	1 of 3 - Sale <sup>25</sup>
	1.000	16.00	01/13/21	22.59	...	-6.59	2 of 3 - Sale <sup>25</sup>
	1.000	16.00	01/20/21	18.72	...	-2.72	3 of 3 - Sale <sup>25</sup>
01/21/21	4.000	64.00	Various	65.65	...	-1.65	Total of 3 transactions
01/21/21	1.000	16.00	01/11/21	22.61	6.61 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>80.00</b>		<b>88.26</b>	<b>6.61 W</b>	<b>-1.65</b>	
MATRIX SERVICE COMPANY COMMON STOCK / CUSIP: 576853105 / Symbol:							
01/08/21	1.000	11.66	12/15/20	9.00	...	2.66	Sale <sup>25</sup>
MEATECH 3D LTD. AMERICAN DEPOS ITARY SHARES / CUSIP: 583435102 / Symbol:							
12/27/21	2.000	12.31	03/16/21	21.41	...	-9.10	Sale <sup>25</sup>
MEREIO BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
12/27/21	30.000	48.08	02/16/21	105.87	...	-57.79	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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MESA AIR GROUP, INC. COMMON ST OCK / CUSIP: 590479135 / Symbol:							
12/27/21	1.000	5.70	02/17/21	11.43	...	-5.73	Sale <sup>25</sup>
METROMILE, INC. COMMON STOCK / CUSIP: 591697107 / Symbol:							
<i>5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.31	12/28/20	15.00	...	-12.69	1 of 5 - Sale <sup>25</sup>
	5.000	11.53	01/25/21	80.25	...	-68.72	2 of 5 - Sale <sup>25</sup>
	2.000	4.61	02/08/21	38.00	...	-33.39	3 of 5 - Sale <sup>25</sup>
	1.000	2.30	03/09/21	10.47	...	-8.17	4 of 5 - Sale <sup>25</sup>
	2.000	4.61	03/10/21	23.26	...	-18.65	5 of 5 - Sale <sup>25</sup>
12/27/21	11.000	25.36	Various	166.98	...	-141.62	Total of 5 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/06/21	1.000	212.40	10/30/20	200.00	...	12.40	Sale <sup>25</sup>
01/06/21	1.000	212.40	12/22/20	224.27	11.87 W	0.00	Sale <sup>25</sup>
01/26/21	1.000	246.49	01/15/21	224.56	...	21.93	Sale <sup>25</sup>
03/08/21	1.000	229.58	03/04/21	230.33	...	-0.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>900.87</b>		<b>879.16</b>	<b>11.87 W</b>	<b>33.58</b>	
MIND MEDICINE (MINDMED) INC. S UBORDINATE VOTING SHARES / CUSIP: 60255C109 / Symbol:							
12/27/21	10.000	16.95	05/11/21	28.80	...	-11.85	Sale <sup>25</sup>
MODEL N, INC. / CUSIP: 607525102 / Symbol:							
12/20/21	1.000	28.20	01/12/21	38.78	...	-10.58	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
01/08/21	1.000	112.72	01/04/21	110.63	...	2.09	Sale <sup>25</sup>
MONEYLION INC. / CUSIP: 60938K106 / Symbol:							
12/27/21	1.000	3.71	03/15/21	10.47	...	-6.76	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
<i>2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.63	12/14/20	47.92	...	6.71	1 of 2 - Sale <sup>25</sup>
	1.000	54.63	01/04/21	51.21	...	3.42	2 of 2 - Sale <sup>25</sup>
02/01/21	2.000	109.26	Various	99.13	...	10.13	Total of 2 transactions
02/01/21	1.000	54.63	01/15/21	57.48	2.85 W	0.00	Sale <sup>25</sup>

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Account 114420573

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2021 1099-B\* OMB No. 1545-0715

(continued)

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**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
02/03/21	1.000	55.16	01/25/21	62.80	...	-7.64	Sale <sup>25</sup>
	2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	1.000	55.16	01/20/21	59.62	4.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	55.16	01/22/21	61.18	6.02 W	0.00	2 of 2 - Sale <sup>25</sup>
02/03/21	2.000	110.32	Various	120.80	10.48 W	0.00	Total of 2 transactions
	2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	1.000	38.15	02/03/21	64.59	...	-26.44	1 of 2 - Sale <sup>25</sup>
	1.000	38.15	02/03/21	61.74	...	-23.59	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	76.30	Various	126.33	...	-50.03	Total of 2 transactions
	<b>Security total:</b>	<b>405.67</b>		<b>466.54</b>	<b>13.33 W</b>	<b>-47.54</b>	
NEW PROVIDENCE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 64822P106 / Symbol:							
	4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	10.000	111.50	12/22/20	121.30	...	-9.80	1 of 4 - Sale <sup>25</sup>
	1.000	11.15	01/20/21	16.85	...	-5.70	2 of 4 - Sale <sup>25</sup>
	1.000	11.15	02/09/21	22.50	...	-11.35	3 of 4 - Sale <sup>25</sup>
	1.000	11.15	02/12/21	20.22	...	-9.07	4 of 4 - Sale <sup>25</sup>
03/05/21	13.000	144.95	Various	180.87	...	-35.92	Total of 4 transactions
NEW YORK CITY REIT, INC. / CUSIP: 649439205 / Symbol:							
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	8.900	72.62	11/17/20	88.33	...	-15.71	1 of 2 - Sale <sup>25</sup>
	0.100	0.82	01/19/21	1.00	...	-0.18	2 of 2 - Sale <sup>25</sup>
03/05/21	9.000	73.44	Various	89.33	...	-15.89	Total of 2 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
	2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	5.000	95.00	01/12/21	91.15	...	3.85	1 of 2 - Sale <sup>25</sup>
	1.000	19.00	01/15/21	20.02	...	-1.02	2 of 2 - Sale <sup>25</sup>
01/20/21	6.000	114.00	Various	111.17	...	2.83	Total of 2 transactions
12/20/21	5.000	46.00	04/26/21	60.50	...	-14.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>160.00</b>		<b>171.67</b>	<b>...</b>	<b>-11.67</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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9 METERS BIOPHARMA, INC. COMMO N STOCK / CUSIP: 654405109 / Symbol:							
03/05/21	10.000	12.01	01/11/21	13.40	...	-1.39	Sale <sup>25</sup>
12/20/21	10.000	9.75	10/11/21	13.57	...	-3.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21.76</b>		<b>26.97</b>	...	<b>-5.21</b>	
908 DEVICES INC. COMMON STOCK / CUSIP: 65443P102 / Symbol:							
12/27/21	1.000	25.98	01/12/21	58.00	...	-32.02	Sale <sup>25</sup>
NORTHERN STAR ACQUISITION CORP. / CUSIP: 665742102 / Symbol:							
01/08/21	1.000	14.79	12/28/20	17.00	...	-2.21	Sale <sup>25</sup>
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
03/04/21	0.235	35.62	01/29/21	50.00	...	-14.38	Sale <sup>25</sup>
THE OLB GROUP, INC. COMMON STO CK / CUSIP: 67086U307 / Symbol:							
12/27/21	1.000	2.84	02/10/21	10.70	...	-7.86	Sale <sup>25</sup>
OLEMA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 68062P106 / Symbol:							
01/08/21	1.000	42.53	12/08/20	43.00	...	-0.47	Sale <sup>25</sup>
OPEN LENDING CORPORATION CLASS A COMMON STOCK / CUSIP: 68373J104 / Symbol:							
12/27/21	1.000	24.77	03/16/21	41.55	...	-16.78	Sale <sup>25</sup>
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
03/05/21	100.000	68.65	12/30/20	43.56	...	25.09	Sale <sup>25</sup>
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
12/27/21	10.000	19.63	03/11/21	37.40	...	-17.77	Sale <sup>25</sup>
ORGANOGENESIS HOLDINGS INC. CL ASS A COMMON STOCK / CUSIP: 68621F102 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.737	10.29	01/20/21	7.49	...	2.80	1 of 3 - Sale <sup>25</sup>
	6.000	83.52	01/20/21	60.99	...	22.53	2 of 3 - Sale <sup>25</sup>
	2.000	27.85	02/25/21	30.00	...	-2.15	3 of 3 - Sale <sup>25</sup>
03/05/21	8.737	121.66	Various	98.48	...	23.18	Total of 3 transactions

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ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
06/16/21	1.000	16.70	06/11/21	12.39	...	4.31	Sale <sup>25</sup>
06/16/21	1.000	16.70	06/10/21	19.04	2.34 W	0.00	Sale <sup>25</sup>
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	2.91	06/18/21	10.68	...	-7.77	1 of 2 - Sale <sup>25</sup>
	1.000	2.90	06/18/21	8.34	...	-5.44	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	5.81	Various	19.02	...	-13.21	Total of 2 transactions
<b>Security total:</b>		<b>39.21</b>		<b>50.45</b>	<b>2.34 W</b>	<b>-8.90</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	1.000	51.33	12/29/20	51.22	...	0.11	1 of 2 - Sale <sup>25</sup>
	1.000	51.32	12/31/20	50.00	...	1.32	2 of 2 - Sale <sup>25</sup>
01/11/21	2.000	102.65	Various	101.22	...	1.43	Total of 2 transactions
12/20/21	1.000	62.81	12/07/21	86.87	...	-24.06	Sale <sup>25</sup>
<b>Security total:</b>		<b>165.46</b>		<b>188.09</b>	...	<b>-22.63</b>	
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
02/01/21	1.000	49.82	11/27/20	38.93	...	10.89	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	29.00	12/07/20	27.66	...	1.34	1 of 3 - Sale <sup>25</sup>
	1.000	29.00	12/29/20	25.41	...	3.59	2 of 3 - Sale <sup>25</sup>
	1.000	29.00	01/15/21	26.97	...	2.03	3 of 3 - Sale <sup>25</sup>
02/16/21	3.000	87.00	Various	80.04	...	6.96	Total of 3 transactions
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	29.00	01/25/21	33.79	4.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	29.00	02/11/21	34.35	5.35 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	29.00	02/12/21	32.77	3.77 W	0.00	3 of 3 - Sale <sup>25</sup>
02/16/21	3.000	87.00	Various	100.91	13.91 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>174.00</b>		<b>180.95</b>	<b>13.91 W</b>	<b>6.96</b>	
PANASONIC CORPORATION COMMON S TOCK / CUSIP: 69832A205 / Symbol:							
02/01/21	1.000	13.00	01/04/21	11.80	...	1.20	Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
01/26/21	1.000	149.91	11/09/20	100.96	...	48.95	Sale <sup>25</sup>
PERSHING SQUARE TONTINE HOLDIN GS, LTD. / CUSIP: 71531R109 / Symbol:							
03/05/21	1.000	24.00	03/05/21	25.00	...	-1.00	Sale <sup>25</sup>
PINDUODUO INC. AMERICAN DEPOSI TARY SHARES / CUSIP: 722304102 / Symbol:							
<i>5 transactions for 12/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.06	03/19/21	140.00	...	-85.94	1 of 5 - Sale <sup>25</sup>
	1.000	54.06	08/10/21	92.19	...	-38.13	2 of 5 - Sale <sup>25</sup>
	1.000	54.06	09/01/21	107.69	...	-53.63	3 of 5 - Sale <sup>25</sup>
	1.000	54.06	10/25/21	100.20	...	-46.14	4 of 5 - Sale <sup>25</sup>
	2.000	108.12	11/01/21	186.57	...	-78.45	5 of 5 - Sale <sup>25</sup>
12/29/21	6.000	324.36	Various	626.65	...	-302.29	Total of 5 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.25	12/15/20	28.70	...	35.55	1 of 3 - Sale <sup>25</sup>
	1.000	64.25	01/07/21	46.17	...	18.08	2 of 3 - Sale <sup>25</sup>
	1.000	64.25	01/15/21	60.38	...	3.87	3 of 3 - Sale <sup>25</sup>
01/20/21	3.000	192.75	Various	135.25	...	57.50	Total of 3 transactions
03/04/21	1.000	38.34	03/01/21	53.00	14.66 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	32.06	03/11/21	61.42	...	-29.36	1 of 4 - Sale <sup>25</sup>
	1.000	32.06	03/11/21	46.75	...	-14.69	2 of 4 - Sale <sup>25</sup>
	0.704	22.57	03/17/21	25.00	...	-2.43	3 of 4 - Sale <sup>25</sup>
	1.000	32.06	04/27/21	29.02	...	3.04	4 of 4 - Sale <sup>25</sup>
06/10/21	3.704	118.75	Various	162.19	...	-43.44	Total of 4 transactions
12/20/21	2.000	55.62	10/18/21	64.82	...	-9.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>405.46</b>		<b>415.26</b>	<b>14.66 W</b>	<b>4.86</b>	
POINT BIOPHARMA GLOBAL INC. CO MMON STOCK / CUSIP: 730541109 / Symbol:							
12/27/21	2.000	10.70	03/15/21	26.53	...	-15.83	Sale <sup>25</sup>
POSHMARK, INC. CLASS A COMMON STOCK / CUSIP: 73739W104 / Symbol:							
12/27/21	1.000	18.24	03/12/21	49.97	...	-31.73	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
12/27/21	10.000	17.80	05/14/21	41.29	...	-23.49	Sale <sup>25</sup>
PROFESSIONAL DIVERSITY NETWORK , INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
03/05/21	1.000	2.38	12/30/20	3.71	...	-1.33	Sale <sup>25</sup>
PROGENITY, INC. COMMON STOCK / CUSIP: 74319F107 / Symbol:							
12/27/21	10.000	20.55	06/24/21	36.39	...	-15.84	Sale <sup>25</sup>
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	2.000	155.54	11/23/20	144.32	...	11.22	1 of 3 - Sale <sup>25</sup>
	1.000	77.77	01/29/21	93.37	...	-15.60	2 of 3 - Sale <sup>25</sup>
	1.000	77.77	02/25/21	87.88	...	-10.11	3 of 3 - Sale <sup>25</sup>
03/04/21	4.000	311.08	Various	325.57	...	-14.49	Total of 3 transactions
PURECYCLE TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 74623V103 / Symbol:							
12/27/21	10.000	96.23	05/14/21	123.16	...	-26.93	Sale <sup>25</sup>
QUANTUM-SI INCORPORATED CLASS A COMMON STOCK / CUSIP: 74765K105 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	8.05	02/18/21	21.27	...	-13.22	1 of 2 - Sale <sup>25</sup>
	2.000	16.09	06/29/21	25.30	...	-9.21	2 of 2 - Sale <sup>25</sup>
12/27/21	3.000	24.14	Various	46.57	...	-22.43	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	42.12	02/11/21	44.96	...	-2.84	1 of 2 - Sale <sup>25</sup>
	1.000	42.11	02/17/21	58.18	...	-16.07	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	84.23	Various	103.14	...	-18.91	Total of 2 transactions
RCM TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 749360400 / Symbol:							
12/27/21	1.000	7.31	02/11/21	8.24	...	-0.93	Sale <sup>25</sup>
REDFIN CORPORATION COMMON STOC K / CUSIP: 75737F108 / Symbol:							
12/20/21	1.000	40.59	11/03/21	49.49	...	-8.90	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
10/25/21	5.000	20.60	02/03/21	16.95	...	3.65	Sale <sup>25</sup>
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	6.04	03/09/21	14.32	...	-8.28	1 of 2 - Sale <sup>25</sup>
	1.000	6.04	09/01/21	7.37	...	-1.33	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	12.08	Various	21.69	...	-9.61	Total of 2 transactions
RENAISSANCE IPO ETF / CUSIP: 759937204 / Symbol:							
01/27/21	1.000	67.90	01/12/21	69.10	...	-1.20	Sale <sup>25</sup>
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
03/04/21	1.000	44.78	02/24/21	53.20	...	-8.42	Sale <sup>25</sup>
ROCKET PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 77313F106 / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	60.00	09/08/20	23.08	...	36.92	1 of 3 - Sale <sup>25</sup>
	1.000	60.00	11/23/20	30.00	...	30.00	2 of 3 - Sale <sup>25</sup>
	1.000	60.00	01/15/21	54.34	...	5.66	3 of 3 - Sale <sup>25</sup>
02/02/21	3.000	180.00	Various	107.42	...	72.58	Total of 3 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
12/20/21	1.000	229.45	11/09/21	279.22	...	-49.77	Sale <sup>25</sup>
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
12/27/21	1.000	1.60	02/18/21	1.42	...	0.18	Sale <sup>25</sup>
SVB FINANCIAL GROUP COMMON STO CK / CUSIP: 78486Q101 / Symbol:							
07/09/21	0.114	64.06	01/05/21	54.85	...	9.21	Cash in lieu
SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol:							
12/27/21	10.000	7.94	02/11/21	24.96	...	-17.02	Sale <sup>25</sup>
SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol:							
12/27/21	1.000	0.54	02/18/21	2.50	...	-1.96	Sale <sup>25</sup>

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Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
01/12/21	1.000	215.00	12/02/20	223.73	...	-8.73	Sale <sup>25</sup>
01/12/21	1.000	215.00	12/01/20	231.00	16.00 W	0.00	Sale <sup>25</sup>
01/25/21	1.000	223.37	12/31/20	236.78	...	-13.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>653.37</b>		<b>691.51</b>	<b>16.00 W</b>	<b>-22.14</b>	
SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol:							
02/23/21	1.000	79.92	01/12/21	87.67	...	-7.75	Sale <sup>25</sup>
02/23/21	1.000	79.92	01/08/21	82.54	2.62 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	1.000	85.93	01/13/21	85.55	...	0.38	1 of 2 - Sale <sup>25</sup>
	1.000	85.93	01/25/21	97.11	...	-11.18	2 of 2 - Sale <sup>25</sup>
03/04/21	2.000	171.86	Various	182.66	...	-10.80	Total of 2 transactions
	<b>Security total:</b>	<b>331.70</b>		<b>352.87</b>	<b>2.62 W</b>	<b>-18.55</b>	
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
12/27/21	10.000	12.71	03/16/21	24.20	...	-11.49	Sale <sup>25</sup>
SEELOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81577F109 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	1.000	2.20	10/20/20	0.80	...	1.40	1 of 2 - Sale <sup>25</sup>
	10.000	22.00	01/25/21	27.39	...	-5.39	2 of 2 - Sale <sup>25</sup>
01/28/21	11.000	24.20	Various	28.19	...	-3.99	Total of 2 transactions
05/13/21	10.000	46.01	03/12/21	40.70	...	5.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>70.21</b>		<b>68.89</b>	<b>...</b>	<b>1.32</b>	
SESEN BIO, INC. COMMON STOCK / CUSIP: 817763105 / Symbol:							
03/05/21	10.000	21.10	01/26/21	18.55	...	2.55	Sale <sup>25</sup>
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
12/27/21	20.000	14.80	04/29/21	59.39	...	-44.59	Sale <sup>25</sup>
SIGNIFY HEALTH, INC. / CUSIP: 82671G100 / Symbol:							
12/27/21	1.000	13.81	02/12/21	31.90	...	-18.09	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SILVERGATE CAPITAL CORPORATION / CUSIP: 82837P408 / Symbol:							
12/27/21	0.063	10.23	02/09/21	10.00	...	0.23	Sale <sup>25</sup>
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
4 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	1.000	2.37	01/28/21	15.67	...	-13.30	1 of 4 - Sale <sup>25</sup>
	1.000	2.37	02/09/21	12.55	...	-10.18	2 of 4 - Sale <sup>25</sup>
	10.000	23.71	10/06/21	68.10	...	-44.39	3 of 4 - Sale <sup>25</sup>
	5.000	11.86	11/01/21	27.15	...	-15.29	4 of 4 - Sale <sup>25</sup>
12/29/21	17.000	40.31	Various	123.47	...	-83.16	Total of 4 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
01/06/21	1.000	269.46	12/31/20	292.72	...	-23.26	Sale <sup>25</sup>
03/05/21	1.000	220.86	02/23/21	261.49	...	-40.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>490.32</b>		<b>554.21</b>	...	<b>-63.89</b>	
SOCKET MOBILE, INC. COMMON STOCK / CUSIP: 83368E200 / Symbol:							
12/27/21	1.000	4.58	02/16/21	14.45	...	-9.87	Sale <sup>25</sup>
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
10/26/21	1.000	19.52	03/04/21	15.00	...	4.52	Sale <sup>25</sup>
SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
12/27/21	2.000	3.72	03/16/21	13.40	...	-9.68	Sale <sup>25</sup>
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
03/05/21	4.000	32.34	01/27/21	57.02	...	-24.68	Sale <sup>25</sup>
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
12/27/21	1.000	0.98	03/15/21	7.82	...	-6.84	Sale <sup>25</sup>
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
03/05/21	10.000	38.42	01/14/21	38.35	...	0.07	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
12/20/21	1.000	158.60	12/03/21	178.72	...	-20.12	Sale <sup>25</sup>

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STEM, INC. / CUSIP: 85859N102 / Symbol:							
12/27/21	1.000	19.71	02/02/21	34.91	...	-15.20	Sale <sup>25</sup>
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
01/28/21	1.000	102.20	01/06/21	58.23	...	43.97	Sale <sup>25</sup>
03/04/21	1.000	66.01	02/22/21	75.00	...	-8.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>168.21</b>		<b>133.23</b>	...	<b>34.98</b>	
STRONGHOLD DIGITAL MINING, INC. CLASS A COMMON STOCK / CUSIP: 86337R103 / Symbol:							
12/27/21	1.000	12.41	10/25/21	26.49	...	-14.08	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	100.000	95.21	01/08/21	68.00	...	27.21	1 of 4 - Sale <sup>25</sup>
	10.000	9.52	02/09/21	14.60	...	-5.08	2 of 4 - Sale <sup>25</sup>
	10.000	9.52	02/12/21	19.09	...	-9.57	3 of 4 - Sale <sup>25</sup>
	10.000	9.52	02/12/21	18.85	...	-9.33	4 of 4 - Sale <sup>25</sup>
03/05/21	130.000	123.77	Various	120.54	...	3.23	Total of 4 transactions
03/05/21	10.000	9.52	02/02/21	10.20	0.68 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>133.29</b>		<b>130.74</b>	<b>0.68 W</b>	<b>3.23</b>	
SUNNOVA ENERGY INTERNATIONAL I NC. / CUSIP: 86745K104 / Symbol:							
01/21/21	1.000	49.03	10/02/20	28.26	...	20.77	Sale <sup>25</sup>
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
03/05/21	1.000	28.07	02/05/21	43.78	...	-15.71	Sale <sup>25</sup>
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
01/21/21	1.000	85.00	10/28/20	56.39	...	28.61	Sale <sup>25</sup>
SUPER LEAGUE GAMING, INC. COMM ON STOCK / CUSIP: 86804F202 / Symbol:							
12/27/21	2.000	5.08	03/17/21	15.91	...	-10.83	Sale <sup>25</sup>
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
08/27/21	2.000	88.00	06/14/21	8.86	...	79.14	Sale <sup>25</sup>

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SYNCHRONOSS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 87157B103 / Symbol:							
03/04/21	10.000	40.80	12/28/20	49.13	...	-8.33	Sale <sup>25</sup>
SYPRIS SOLUTIONS, INC. COMMON STOCK / CUSIP: 871655106 / Symbol:							
12/27/21	10.000	24.51	02/08/21	31.19	...	-6.68	Sale <sup>25</sup>
TMC THE METALS COMPANY INC. CO MMON STOCK / CUSIP: 87261Y106 / Symbol:							
12/21/21	2.000	3.98	03/15/21	20.22	...	-16.24	Sale <sup>25</sup>
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	118.16	02/25/21	125.00	...	-6.84	1 of 3 - Sale <sup>25</sup>
	0.821	96.97	02/25/21	103.56	...	-6.59	2 of 3 - Sale <sup>25</sup>
	0.179	21.19	02/25/21	25.73	...	-4.54	3 of 3 - Sale <sup>25</sup>
03/04/21	2.000	236.32	Various	254.29	...	-17.97	Total of 3 transactions
03/04/21	0.179	21.21	01/20/21	24.30	3.09 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>257.53</b>		<b>278.59</b>	<b>3.09 W</b>	<b>-17.97</b>	
TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF / CUSIP: 874060205 / Symbol:							
3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.955	13.07	01/27/21	17.16	...	-4.09	1 of 3 - Sale <sup>25</sup>
	0.020	0.28	07/13/21	0.34	...	-0.06	2 of 3 - Sale <sup>25</sup>
	0.024	0.33	12/14/21	0.43	...	-0.10	3 of 3 - Sale <sup>25</sup>
12/27/21	1.000	13.68	Various	17.93	...	-4.25	Total of 3 transactions
12/27/21	0.045	0.61	01/27/21	0.80	0.10 W	-0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14.29</b>		<b>18.73</b>	<b>0.10 W</b>	<b>-4.34</b>	
TANGER FACTORY OUTLET CENTERS / CUSIP: 875465106 / Symbol:							
03/05/21	1.000	15.45	03/04/21	18.71	...	-3.26	Sale <sup>25</sup>
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
03/05/21	1.000	21.31	01/12/21	16.69	...	4.62	Sale <sup>25</sup>
TEAM, INC. / CUSIP: 878155100 / Symbol:							
01/21/21	1.000	10.59	11/16/20	10.54	...	0.05	Sale <sup>25</sup>

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Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
02/02/21	1.000	280.00	12/14/20	199.67	...	80.33	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	0.012	6.55	01/08/21	10.00	3.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.060	33.16	01/15/21	50.00	16.84 W	0.00	2 of 2 - Sale <sup>25</sup>
03/05/21	0.071	39.71	Various	60.00	20.29 W	0.00	Total of 2 transactions
6 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	0.855	481.54	03/09/21	551.43	...	-69.89	1 of 6 - Sale <sup>25</sup>
	0.060	33.56	03/09/21	54.14	...	-20.58	2 of 6 - Sale <sup>25</sup>
	0.145	81.77	03/09/21	93.62	...	-11.85	3 of 6 - Sale <sup>25</sup>
	0.088	49.77	03/09/21	55.33	...	-5.56	4 of 6 - Sale <sup>25</sup>
	0.012	6.63	03/09/21	10.82	...	-4.19	5 of 6 - Sale <sup>25</sup>
	0.145	81.75	03/17/21	100.00	...	-18.25	6 of 6 - Sale <sup>25</sup>
05/13/21	1.305	735.02	Various	865.34	...	-130.32	Total of 6 transactions
	<b>Security total:</b>	<b>774.73</b>		<b>925.34</b>	<b>20.29 W</b>	<b>-130.32</b>	
TEVA PHARMACEUTICAL INDUSTRIES LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 881624209 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	2.000	20.63	01/14/21	23.80	...	-3.17	1 of 2 - Sale <sup>25</sup>
	1.000	10.32	01/14/21	11.86	...	-1.54	2 of 2 - Sale <sup>25</sup>
03/05/21	3.000	30.95	Various	35.66	...	-4.71	Total of 2 transactions
3D SYSTEMS CORP / CUSIP: 88554D205 / Symbol:							
12/27/21	2.000	44.10	03/15/21	66.30	...	-22.20	Sale <sup>25</sup>
TILRAY BRANDS, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	7.64	05/07/21	15.77	...	-8.13	1 of 2 - Sale <sup>25</sup>
	1.000	7.64	05/17/21	14.82	...	-7.18	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	15.28	Various	30.59	...	-15.31	Total of 2 transactions
TITAN PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 888314606 / Symbol:							
01/21/21	4.000	13.20	11/20/20	20.76	...	-7.56	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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TIZIANA LIFE SCIENCES PLC AMER ICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol:							
02/01/21	10.000	36.00	12/23/20	24.21	...	11.79	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	10.000	16.10	01/05/21	7.50	...	8.60	1 of 2 - Sale <sup>25</sup>
	40.000	64.40	01/05/21	31.48	...	32.92	2 of 2 - Sale <sup>25</sup>
02/08/21	50.000	80.50	Various	38.98	...	41.52	Total of 2 transactions
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	50.000	43.34	01/05/21	39.35	...	3.99	1 of 2 - Sale <sup>25</sup>
	50.000	43.33	02/11/21	92.75	...	-49.42	2 of 2 - Sale <sup>25</sup>
03/05/21	100.000	86.67	Various	132.10	...	-45.43	Total of 2 transactions
	<b>Security total:</b>	<b>167.17</b>		<b>171.08</b>	...	<b>-3.91</b>	
TRANSMEDICS GROUP, INC. COMMON STOCK / CUSIP: 89377M109 / Symbol:							
12/27/21	2.000	41.82	02/24/21	80.79	...	-38.97	Sale <sup>25</sup>
TRIDENT ACQUISITIONS CORP. COM MON STOCK / CUSIP: 89615T106 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	12.06	11/25/20	10.99	...	1.07	1 of 2 - Sale <sup>25</sup>
	1.000	12.06	11/30/20	12.13	...	-0.07	2 of 2 - Sale <sup>25</sup>
02/01/21	2.000	24.12	Various	23.12	...	1.00	Total of 2 transactions
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
01/27/21	10.000	119.70	12/23/20	122.95	...	-3.25	Sale <sup>25</sup>
2SEVENTY BIO, INC. COMMON STOC K / CUSIP: 901384107 / Symbol:							
6 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.333	10.09	02/16/21	14.35	...	-4.26	1 of 6 - Sale <sup>25</sup>
	0.333	10.09	02/17/21	13.14	...	-3.05	2 of 6 - Sale <sup>25</sup>
	0.333	10.09	02/25/21	12.47	...	-2.38	3 of 6 - Sale <sup>25</sup>
	0.333	10.09	03/17/21	14.10	...	-4.01	4 of 6 - Sale <sup>25</sup>
	0.333	10.09	07/28/21	12.15	...	-2.06	5 of 6 - Sale <sup>25</sup>
	0.333	10.10	09/03/21	8.23	...	1.87	6 of 6 - Sale <sup>25</sup>
12/27/21	2.000	60.55	Various	74.44	...	-13.89	Total of 6 transactions

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2021 1099-B\* OMB No. 1545-0715

(continued)

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23ANDME HOLDING CO. CLASS A CO MMON STOCK / CUSIP: 90138Q108 / Symbol:							
12/27/21	1.000	7.27	01/27/21	14.37	...	-7.10	Sale <sup>25</sup>
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
12/27/21	10.000	6.86	02/03/21	29.20	...	-22.34	Sale <sup>25</sup>
U.S. SILICA HOLDINGS, INC. / CUSIP: 90346E103 / Symbol:							
01/21/21	10.000	89.10	01/15/21	97.59	...	-8.49	Sale <sup>25</sup>
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
03/05/21	1.000	21.83	01/20/21	18.43	...	3.40	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
12/27/21	2.000	89.17	11/09/21	104.78	...	-15.61	Sale <sup>25</sup>
UNITED STATES STEEL CORPORATIO N / CUSIP: 912909108 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.998	23.67	09/07/21	26.51	...	-2.84	1 of 2 - Sale <sup>25</sup>
	0.002	0.05	12/10/21	0.06	...	-0.01	2 of 2 - Sale <sup>25</sup>
12/27/21	1.000	23.72	Various	26.57	...	-2.85	Total of 2 transactions
12/27/21	0.002	0.05	09/07/21	0.06	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23.77</b>		<b>26.63</b>	<b>0.01 W</b>	<b>-2.85</b>	
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
01/08/21	1.000	143.09	12/29/20	153.12	...	-10.03	Sale <sup>25</sup>
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
03/04/21	1.000	19.79	01/12/21	12.41	...	7.38	Sale <sup>25</sup>
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	5.000	25.70	09/01/21	74.09	...	-48.39	1 of 2 - Sale <sup>25</sup>
	5.000	25.70	10/26/21	43.60	...	-17.90	2 of 2 - Sale <sup>25</sup>
12/27/21	10.000	51.40	Various	117.69	...	-66.29	Total of 2 transactions
	<b>Security total:</b>	<b>71.19</b>		<b>130.10</b>	...	<b>-58.91</b>	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
08/13/21	1.000	200.00	06/24/21	131.59	...	68.41	Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

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UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	10.000	14.62	06/24/21	40.49	...	-25.87	1 of 2 - Sale <sup>25</sup>
	5.000	7.31	08/02/21	19.98	...	-12.67	2 of 2 - Sale <sup>25</sup>
12/27/21	15.000	21.93	Various	60.47	...	-38.54	Total of 2 transactions
V.F. CORPORATION / CUSIP: 918204108 / Symbol:							
01/27/21	1.000	79.54	01/22/21	83.36	...	-3.82	Sale <sup>25</sup>
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
01/08/21	1.000	3.09	09/02/20	3.50	...	-0.41	Sale <sup>25</sup>
VANECK SOCIAL SENTIMENT ETF / CUSIP: 92189H839 / Symbol:							
03/05/21	1.000	22.55	03/04/21	24.20	...	-1.65	Sale <sup>25</sup>
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
12/27/21	1.000	6.28	02/08/21	14.45	...	-8.17	Sale <sup>25</sup>
VICTORIA'S SECRET & CO. / CUSIP: 926400102 / Symbol:							
12/27/21	1.000	48.24	09/01/21	66.77	...	-18.53	Sale <sup>25</sup>
VILLAGE FARMS INTERNATIONAL, I NC. COMMON SHARES / CUSIP: 92707Y108 / Symbol:							
03/05/21	1.000	12.49	11/23/20	8.46	...	4.03	Sale <sup>25</sup>
03/05/21	1.000	12.49	02/11/21	16.95	4.46 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>24.98</b>		<b>25.41</b>	<b>4.46 W</b>	<b>4.03</b>	
VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	8.39	02/26/21	37.31	...	-28.92	1 of 2 - Sale <sup>25</sup>
	1.000	8.39	05/28/21	23.09	...	-14.70	2 of 2 - Sale <sup>25</sup>
12/27/21	2.000	16.78	Various	60.40	...	-43.62	Total of 2 transactions
VIRNETX HOLDING CORPORATION / CUSIP: 92823T108 / Symbol:							
03/05/21	10.000	58.43	01/15/21	58.78	...	-0.35	Sale <sup>25</sup>
VIRPAX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 928251107 / Symbol:							
12/27/21	1.000	3.73	02/17/21	8.00	...	-4.27	Sale <sup>25</sup>

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(continued)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	2.000	2.45	02/16/21	8.76	...	-6.31	1 of 2 - Sale <sup>25</sup>
	5.000	6.13	02/17/21	24.49	...	-18.36	2 of 2 - Sale <sup>25</sup>
12/27/21	7.000	8.58	Various	33.25	...	-24.67	Total of 2 transactions
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol:							
12/27/21	20.000	40.04	04/29/21	47.60	...	-7.56	Sale <sup>25</sup>
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	32.24	10/29/20	40.00	...	-7.76	1 of 4 - Sale <sup>25</sup>
	1.000	32.23	11/17/20	35.25	...	-3.02	2 of 4 - Sale <sup>25</sup>
	1.000	32.24	11/19/20	35.68	...	-3.44	3 of 4 - Sale <sup>25</sup>
	1.000	32.23	01/28/21	35.93	...	-3.70	4 of 4 - Sale <sup>25</sup>
03/04/21	4.000	128.94	Various	146.86	...	-17.92	Total of 4 transactions
VYANT BIO, INC. COMMON STOCK / CUSIP: 92942V109 / Symbol:							
12/27/21	1.000	1.45	02/10/21	11.18	...	-9.73	Sale <sup>25</sup>
WELBILT, INC. / CUSIP: 949090104 / Symbol:							
03/04/21	10.000	151.25	11/16/20	90.15	...	61.10	Sale <sup>25</sup>
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	65.50	01/15/21	65.59	...	-0.09	1 of 2 - Sale <sup>25</sup>
	10.000	65.50	01/22/21	89.65	...	-24.15	2 of 2 - Sale <sup>25</sup>
03/05/21	20.000	131.00	Various	155.24	...	-24.24	Total of 2 transactions
WISDOMTREE INVESTMENTS, INC. C OMMON STOCK / CUSIP: 97717P104 / Symbol:							
5 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	0.986	6.04	04/01/21	6.33	...	-0.29	1 of 5 - Sale <sup>25</sup>
	0.014	0.09	04/01/21	0.09	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.004	0.03	05/27/21	0.03	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.005	0.03	08/26/21	0.03	...	0.00	4 of 5 - Sale <sup>25</sup>

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WISDOMTREE INVESTMENTS, INC. C OMMON STOCK / CUSIP: 97717P104 / Symbol: (cont'd)							
	0.005	0.03	11/26/21	0.03	...	0.00	5 of 5 - Sale <sup>25</sup>
12/27/21	1.014	6.22	Various	6.51	...	-0.29	Total of 5 transactions
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	4.17	03/19/21	10.71	...	-6.54	1 of 2 - Sale <sup>25</sup>
	4.000	16.66	10/25/21	24.52	...	-7.86	2 of 2 - Sale <sup>25</sup>
12/27/21	5.000	20.83	Various	35.23	...	-14.40	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
4 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	25.05	11/02/20	15.88	...	9.17	1 of 4 - Sale <sup>25</sup>
	1.000	25.05	12/08/20	21.08	...	3.97	2 of 4 - Sale <sup>25</sup>
	1.000	25.05	12/09/20	22.73	...	2.32	3 of 4 - Sale <sup>25</sup>
	1.000	25.05	12/22/20	22.29	...	2.76	4 of 4 - Sale <sup>25</sup>
01/08/21	4.000	100.20	Various	81.98	...	18.22	Total of 4 transactions
03/04/21	1.000	13.42	02/23/21	28.69	15.27 W	0.00	Sale <sup>25</sup>
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	9.000	44.91	03/09/21	147.60	...	-102.69	1 of 2 - Sale <sup>25</sup>
	1.000	4.99	03/09/21	31.67	...	-26.68	2 of 2 - Sale <sup>25</sup>
12/20/21	10.000	49.90	Various	179.27	...	-129.37	Total of 2 transactions
	<b>Security total:</b>	<b>163.52</b>		<b>289.94</b>	<b>15.27 W</b>	<b>-111.15</b>	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	2.000	38.71	01/05/21	43.63	...	-4.92	1 of 2 - Sale <sup>25</sup>
	1.000	19.35	01/05/21	19.72	...	-0.37	2 of 2 - Sale <sup>25</sup>
01/20/21	3.000	58.06	Various	63.35	...	-5.29	Total of 2 transactions
03/04/21	1.000	12.39	02/26/21	14.54	...	-2.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>70.45</b>		<b>77.89</b>	...	<b>-7.44</b>	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
12/27/21	8.000	16.98	02/18/21	21.67	...	-4.69	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/15/21	1.000	49.07	01/12/21	50.60	1.53 W	0.00	Sale <sup>25</sup>
		2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.					
	1.000	50.00	01/22/21	56.90	...	-6.90	1 of 2 - Sale <sup>25</sup>
	1.000	50.00	01/25/21	55.86	...	-5.86	2 of 2 - Sale <sup>25</sup>
01/27/21	2.000	100.00	Various	112.76	...	-12.76	Total of 2 transactions
	<b>Security total:</b>	<b>149.07</b>		<b>163.36</b>	<b>1.53 W</b>	<b>-12.76</b>	
YALLA GROUP LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 98459U103 / Symbol:							
12/27/21	1.000	6.10	02/01/21	28.15	...	-22.05	Sale <sup>25</sup>
YATSEN HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING FOUR CLASS A ORDINARY / CUSIP: 985194109 / Symbol:							
12/27/21	1.000	1.85	02/09/21	23.90	...	-22.05	Sale <sup>25</sup>
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
12/27/21	10.000	13.40	02/23/21	36.78	...	-23.38	Sale <sup>25</sup>
ZILLOW GROUP, INC. CLASS C CAPITAL STOCK / CUSIP: 98954M200 / Symbol:							
01/08/21	1.000	145.60	12/09/20	118.32	...	27.28	Sale <sup>25</sup>
		2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.					
	1.000	61.13	10/26/21	97.76	...	-36.63	1 of 2 - Sale <sup>25</sup>
	1.000	61.12	11/03/21	68.18	...	-7.06	2 of 2 - Sale <sup>25</sup>
12/20/21	2.000	122.25	Various	165.94	...	-43.69	Total of 2 transactions
	<b>Security total:</b>	<b>267.85</b>		<b>284.26</b>	...	<b>-16.41</b>	
ZTO EXPRESS (CAYMAN) INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY / CUSIP: 98980A105 / Symbol:							
		3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.					
	0.993	26.94	03/17/21	32.66	...	-5.72	1 of 3 - Sale <sup>25</sup>
	0.008	0.20	03/17/21	0.25	...	-0.05	2 of 3 - Sale <sup>25</sup>
	0.008	0.20	04/23/21	0.24	...	-0.04	3 of 3 - Sale <sup>25</sup>
12/27/21	1.008	27.34	Various	33.15	...	-5.81	Total of 3 transactions

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Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	369.92	12/23/20	385.96	...	-16.04	1 of 2 - Sale <sup>25</sup>
01/13/21	1.000	369.91	12/29/20	352.88	...	17.03	2 of 2 - Sale <sup>25</sup>
	2.000	739.83	Various	738.84	...	0.99	Total of 2 transactions
2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	1.000	199.30	03/10/21	339.63	...	-140.33	1 of 2 - Sale <sup>25</sup>
12/21/21	1.000	199.30	09/01/21	295.31	...	-96.01	2 of 2 - Sale <sup>25</sup>
	2.000	398.60	Various	634.94	...	-236.34	Total of 2 transactions
	<b>Security total:</b>	<b>1,138.43</b>		<b>1,373.78</b>	...	<b>-235.35</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	40.000	54.00	01/04/21	11.77	...	42.23	1 of 2 - Sale <sup>25</sup>
02/04/21	50.000	65.00	01/04/21	14.71	...	50.29	2 of 2 - Sale <sup>25</sup>
	90.000	119.00	Various	26.48	...	92.52	Total of 2 transactions
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	15.05	01/04/21	2.94	...	12.11	1 of 2 - Sale <sup>25</sup>
03/05/21	10.000	15.05	02/17/21	21.60	...	-6.55	2 of 2 - Sale <sup>25</sup>
	20.000	30.10	Various	24.54	...	5.56	Total of 2 transactions
	<b>Security total:</b>	<b>149.10</b>		<b>51.02</b>	...	<b>98.08</b>	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
12/27/21	10.000	31.25	02/12/21	55.30	...	-24.05	Sale <sup>25</sup>
ARCLIGHT CLEAN TRANSITION CORP . CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
03/05/21	1.000	14.05	02/23/21	20.00	...	-5.95	Sale <sup>25</sup>
ASPIRATIONAL CONSUMER LIFESTYL E CORP. / CUSIP: G05436103 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	10.49	12/23/20	9.98	...	0.51	1 of 2 - Sale <sup>25</sup>
03/04/21	2.000	20.98	02/02/21	22.70	...	-1.72	2 of 2 - Sale <sup>25</sup>
	3.000	31.47	Various	32.68	...	-1.21	Total of 2 transactions

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Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	10.000	27.13	11/16/20	8.59	...	18.54	1 of 2 - Sale <sup>25</sup>
	10.000	27.13	01/25/21	30.25	...	-3.12	2 of 2 - Sale <sup>25</sup>
03/04/21	20.000	54.26	Various	38.84	...	15.42	Total of 2 transactions
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
01/08/21	1.000	25.89	01/04/21	28.89	3.00 W	0.00	Sale <sup>25</sup>
01/29/21	1.000	18.68	01/29/21	24.70	...	-6.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>44.57</b>		<b>53.59</b>	<b>3.00 W</b>	<b>-6.02</b>	
BLUESCAPE OPPORTUNITIES ACQUISITION CORP. / CUSIP: G1195N105 / Symbol:							
12/29/21	1.000	9.77	01/22/21	11.35	...	-1.58	Sale <sup>25</sup>
BRIDGETOWN HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355U113 / Symbol:							
03/04/21	10.000	109.90	12/17/20	135.40	...	-25.50	Sale <sup>25</sup>
BRIDGETOWN 2 HOLDINGS LIMITED CLASS A ORDINARY SHARES / CUSIP: G1355V103 / Symbol:							
12/27/21	1.000	9.91	01/26/21	12.48	...	-2.57	Sale <sup>25</sup>
EBANG INTERNATIONAL HOLDINGS INC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
03/05/21	10.000	50.20	12/31/20	57.18	6.98 W	0.00	Sale <sup>25</sup>
3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.							
	10.000	10.32	03/10/21	82.67	...	-72.35	1 of 3 - Sale <sup>25</sup>
	10.000	10.32	05/17/21	29.00	...	-18.68	2 of 3 - Sale <sup>25</sup>
	10.000	10.32	05/28/21	30.50	...	-20.18	3 of 3 - Sale <sup>25</sup>
12/29/21	30.000	30.96	Various	142.17	...	-111.21	Total of 3 transactions
	<b>Security total:</b>	<b>81.16</b>		<b>199.35</b>	<b>6.98 W</b>	<b>-111.21</b>	
EZGO TECHNOLOGIES LTD. ORDINARY SHARES / CUSIP: G5279F102 / Symbol:							
3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	10.000	16.70	02/09/21	99.93	...	-83.23	1 of 3 - Sale <sup>25</sup>
	5.000	8.35	03/09/21	33.45	...	-25.10	2 of 3 - Sale <sup>25</sup>
	5.000	8.35	03/11/21	35.25	...	-26.90	3 of 3 - Sale <sup>25</sup>
12/27/21	20.000	33.40	Various	168.63	...	-135.23	Total of 3 transactions

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Account 114420573

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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APTIV PLC / CUSIP: G6095L109 / Symbol:							
01/25/21	1.000	135.07	01/11/21	146.04	...	-10.97	Sale <sup>25</sup>
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
12/27/21	10.000	4.59	02/09/21	22.80	...	-18.21	Sale <sup>25</sup>
NEWBORN ACQUISITION CORP. ORDINARY SHARES / CUSIP: G6463T106 / Symbol:							
02/01/21	1.000	19.15	11/25/20	17.90	...	1.25	Sale <sup>25</sup>
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
	1.000	24.06	10/30/20	16.70	...	7.36	1 of 2 - Sale <sup>25</sup>
	1.000	24.05	12/07/20	26.21	...	-2.16	2 of 2 - Sale <sup>25</sup>
01/08/21	2.000	48.11	Various	42.91	...	5.20	Total of 2 transactions
PAYSAFE LIMITED / CUSIP: G6964L107 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	1.000	4.00	03/15/21	17.04	...	-13.04	1 of 2 - Sale <sup>25</sup>
	2.000	8.00	05/18/21	23.26	...	-15.26	2 of 2 - Sale <sup>25</sup>
12/27/21	3.000	12.00	Various	40.30	...	-28.30	Total of 2 transactions
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. IV / CUSIP: G82514103 / Symbol:							
03/05/21	1.000	10.95	01/21/21	17.73	...	-6.78	Sale <sup>25</sup>
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. VI / CUSIP: G8251L105 / Symbol:							
12/27/21	2.000	20.58	01/13/21	29.18	...	-8.60	Sale <sup>25</sup>
STONECO LTD. CLASS A COMMON SHARES / CUSIP: G85158106 / Symbol:							
01/21/21	1.000	80.84	01/06/21	80.00	...	0.84	Sale <sup>25</sup>
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
01/28/21	1.000	9.64	11/19/20	8.10	...	1.54	Sale <sup>25</sup>
TREBIA ACQUISITION CORP. / CUSIP: G9027T109 / Symbol:							
12/27/21	1.000	9.97	01/25/21	11.90	...	-1.93	Sale <sup>25</sup>
VECTOR ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: G9442R126 / Symbol:							
03/05/21	0.594	6.89	03/01/21	7.72	...	-0.83	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
12/27/21	0.227	0.55	02/12/21	6.16	...	-5.61	Sale <sup>25</sup>
YATRA ONLINE, INC. ORDINARY SHARES / CUSIP: G98338109 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	10.000	20.55	01/12/21	22.75	...	-2.20	1 of 2 - Sale <sup>25</sup>
	10.000	20.55	01/19/21	21.40	...	-0.85	2 of 2 - Sale <sup>25</sup>
03/05/21	20.000	41.10	Various	44.15	...	-3.05	Total of 2 transactions
NLS PHARMACEUTICS LTD. ORDINARY SHARES / CUSIP: H57830103 / Symbol:							
12/27/21	10.000	12.71	03/12/21	64.30	...	-51.59	Sale <sup>25</sup>
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
03/04/21	10.000	10.61	01/04/21	12.75	2.14 W	0.00	Sale <sup>25</sup>
12/27/21	10.000	7.10	03/15/21	36.04	...	-28.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17.71</b>		<b>48.79</b>	<b>2.14 W</b>	<b>-28.94</b>	
COMPUGEN LTD. ORDINARY SHARES / CUSIP: M25722105 / Symbol:							
2 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	2.000	8.90	01/13/21	26.85	...	-17.95	1 of 2 - Sale <sup>25</sup>
	10.000	44.51	06/24/21	88.29	...	-43.78	2 of 2 - Sale <sup>25</sup>
12/27/21	12.000	53.41	Various	115.14	...	-61.73	Total of 2 transactions
GAMIDA CELL LTD. ORDINARY SHARES / CUSIP: M47364100 / Symbol:							
12/27/21	1.000	2.43	02/10/21	13.70	...	-11.27	Sale <sup>25</sup>
GILAT SATELLITE NETWORKS LTD. ORDINARY SHARES / CUSIP: M51474118 / Symbol:							
12/27/21	5.000	36.41	03/10/21	65.90	...	-29.49	Sale <sup>25</sup>
3 transactions for 12/27/21. Total proceeds and cost reported to the IRS.							
	5.000	36.40	01/22/21	47.88	11.48 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	7.28	03/09/21	12.00	4.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	29.12	03/09/21	49.40	20.28 W	0.00	3 of 3 - Sale <sup>25</sup>
12/27/21	10.000	72.80	Various	109.28	36.48 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>109.21</b>		<b>175.18</b>	<b>36.48 W</b>	<b>-29.49</b>	

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2021 1099-B\* OMB No. 1545-0715

(continued)

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JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
12/27/21	1.000	30.27	11/05/21	41.03	...	-10.76	Sale <sup>25</sup>
UNIQUE N.V. ORDINARY SHARES / CUSIP: N90064101 / Symbol:							
03/04/21	1.000	33.08	12/23/20	39.17	...	-6.09	Sale <sup>25</sup>
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	3.000	4.50	01/27/21	3.84	...	0.66	1 of 2 - Sale <sup>25</sup>
	47.000	70.50	01/27/21	60.11	...	10.39	2 of 2 - Sale <sup>25</sup>
01/28/21	50.000	75.00	Various	63.95	...	11.05	Total of 2 transactions
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
01/08/21	1.000	73.59	10/30/20	57.04	...	16.55	Sale <sup>25</sup>
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	100.000	65.50	01/13/21	35.35	...	30.15	1 of 3 - Sale <sup>25</sup>
	10.000	6.55	02/10/21	12.10	...	-5.55	2 of 3 - Sale <sup>25</sup>
	10.000	6.55	02/12/21	14.60	...	-8.05	3 of 3 - Sale <sup>25</sup>
03/05/21	120.000	78.60	Various	62.05	...	16.55	Total of 3 transactions
GOLAR LNG PARTNERS LP COMMON UNITS REPRESENTING LIMITED PARTNERSHIP / CUSIP: Y2745C102 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.057	3.70	01/15/21	3.60	...	0.10	1 of 3 - Sale <sup>25</sup>
	8.943	31.21	01/15/21	30.40	...	0.81	2 of 3 - Sale <sup>25</sup>
	0.057	0.20	02/16/21	0.20	...	0.00	3 of 3 - Sale <sup>25</sup>
03/05/21	10.057	35.11	Various	34.20	...	0.91	Total of 3 transactions
<b>Totals :</b>		<b>25,515.28</b>		<b>29,742.89</b>	<b>267.85 W</b>	<b>-3,959.76</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 114420573

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
BUTTERFLY NETWORK, INC. / CUSIP: 124155102 / Symbol:							
12/27/21	2.000	14.16	11/25/20	35.00	...	-20.84	Sale <sup>25</sup>
<b>Totals :</b>		<b>14.16</b>		<b>35.00</b>		<b>-20.84</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 114420573

**Proceeds Not Reported to the IRS**

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K873 / Symbol:							
09/07/21	0.500	1.40	12/30/20	1.40	...	0.00	Gain or loss
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V801 / Symbol:							
05/28/21	0.667	6.35	02/11/21	11.50	...	-5.15	Gain or loss
ORGANON & CO. / CUSIP: 68622V106 / Symbol:							
06/10/21	0.201	6.86	04/08/21	7.31	...	-0.45	Gain or loss
ORION OFFICE REIT INC. / CUSIP: 68629Y103 / Symbol:							
11/19/21	0.512	11.19	11/15/21	0.00	...	11.19	Gain or loss
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol:							
06/11/21	0.100	4.09	02/16/21	9.47	...	-5.38	Gain or loss
SVB FINANCIAL GROUP COMMON STOCK / CUSIP: 78486Q101 / Symbol:							
	2 transactions for 07/09/21						
	0.000	0.27	02/22/21	0.28	...	-0.01	Gain or loss
	0.000	0.25	05/24/21	0.26	...	-0.01	Gain or loss
07/09/21	0.001	0.52	Various	0.54	...	-0.02	Total of 2 transactions

Robinhood Securities LLC

Account 114420573

**Proceeds Not Reported to the IRS**

2021

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

## Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
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SVB FINANCIAL GROUP COMMON STO CK / CUSIP: 78486Q101 / Symbol: (cont'd)

<b>Totals:</b>		<b>30.41</b>		<b>30.22</b>	...	<b>0.19</b>	
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Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		02/09/21	0.19	Section 199A dividend	03
			02/09/21	0.05	Long-term capital gain	03
			03/09/21	0.24	Nondividend distribution	03
			04/12/21	0.24	Nondividend distribution	03
			05/11/21	0.25	Nondividend distribution	03
			06/09/21	0.25	Nondividend distribution	03
			07/12/21	0.25	Nondividend distribution	03
			08/10/21	0.25	Nondividend distribution	03
			09/10/21	0.25	Nondividend distribution	03
			10/12/21	0.25	Nondividend distribution	03
			11/09/21	0.26	Nondividend distribution	03
			12/09/21	0.26	Nondividend distribution	03
			<b>2.74</b>	<b>Total Dividends &amp; distributions</b>		
ARC DOCUMENT SOLUTIONS, INC.	00191G103		02/26/21	0.10	Qualified dividend	
AT&T INC.	00206R102		05/03/21	0.52	Qualified dividend	
			08/02/21	0.53	Qualified dividend	
			11/01/21	0.54	Qualified dividend	
			<b>1.59</b>	<b>Total Dividends &amp; distributions</b>		
ARK INNOVATION ETF	00214Q104		12/31/21	1.39	Short-term capital gain	03
			12/31/21	0.77	Long-term capital gain	
			12/31/21	0.18	Qualified dividend	03
			<b>2.34</b>	<b>Total Dividends &amp; distributions</b>		

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF	00214Q203		12/31/21	0.15	Qualified dividend	03
			12/31/21	0.15	Long-term capital gain	03
			12/31/21	0.01	Short-term capital gain	03
			<b>0.31</b>	<b>Total Dividends &amp; distributions</b>		
ARK GENOMIC REVOLUTION ETF	00214Q302		12/31/21	0.38	Qualified dividend	03
ABBVIE INC.	00287Y109		05/14/21	1.30	Qualified dividend	
			08/16/21	1.31	Qualified dividend	
			11/15/21	1.33	Qualified dividend	
			<b>3.94</b>	<b>Total Dividends &amp; distributions</b>		
AMERICAN ASSETS TRUST, INC.	024013104		06/24/21	0.28	Qualified dividend	
			09/23/21	0.30	Qualified dividend	
			12/23/21	0.30	Qualified dividend	
			<b>0.88</b>	<b>Total Dividends &amp; distributions</b>		
AMERICAN ELECTRIC POWER COMPANY, INC. COMMON STOCK	025537101		12/10/21	0.78	Qualified dividend	
AMERICOLD REALTY TRUST	03064D108		04/15/21	0.26	Nondividend distribution	03
			04/15/21	0.18	Section 199A dividend	03
			07/15/21	0.26	Nondividend distribution	03
			07/15/21	0.18	Section 199A dividend	03
			10/15/21	0.26	Nondividend distribution	03
			10/15/21	0.18	Section 199A dividend	03
			<b>1.32</b>	<b>Total Dividends &amp; distributions</b>		
ANNALY CAPITAL MANAGEMENT, INC.	035710409		04/30/21	2.64	Nondividend distribution	03
			07/30/21	3.36	Nondividend distribution	03
			10/29/21	3.45	Nondividend distribution	03
			01/31/22	3.54	Qualified dividend	
			<b>12.99</b>	<b>Total Dividends &amp; distributions</b>		
ANTERO MIDSTREAM CORPORATION COMMON STOCK	03676B102		05/12/21	0.23	Qualified dividend	
			08/11/21	0.23	Qualified dividend	
			11/10/21	0.24	Qualified dividend	
			<b>0.70</b>	<b>Total Dividends &amp; distributions</b>		

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/13/21	0.44	Qualified dividend	
			08/12/21	0.44	Qualified dividend	
			11/11/21	0.66	Qualified dividend	
				<b>1.54</b>	<b>Total Dividends &amp; distributions</b>	
ARES COMMERCIAL REAL ESTATE CO RPORATION	04013V108		07/15/21	0.67	Section 199A dividend	03
			07/15/21	0.03	Qualified dividend	03
			10/15/21	0.69	Section 199A dividend	03
			10/15/21	0.03	Qualified dividend	03
			01/19/22	0.70	Section 199A dividend	03
			01/19/22	0.03	Qualified dividend	03
	<b>2.15</b>	<b>Total Dividends &amp; distributions</b>				
ARMOUR RESIDENTIAL REIT, INC.	042315507		01/28/21	0.50	Nondividend distribution	03
			02/26/21	0.50	Nondividend distribution	03
			03/29/21	1.01	Nondividend distribution	03
			04/29/21	1.22	Nondividend distribution	03
			05/27/21	1.43	Nondividend distribution	03
			06/29/21	1.64	Nondividend distribution	03
			07/29/21	1.75	Nondividend distribution	03
			08/27/21	1.77	Nondividend distribution	03
			09/29/21	1.79	Nondividend distribution	03
			10/28/21	1.80	Nondividend distribution	03
			11/29/21	2.02	Nondividend distribution	03
			12/29/21	2.04	Nondividend distribution	03
	<b>17.47</b>	<b>Total Dividends &amp; distributions</b>				
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES	046353108		03/29/21	0.95	Qualified dividend	
BARRICK GOLD CORP.	067901108		06/15/21	0.18	Nonqualified dividend	
			06/15/21	-0.03	Foreign tax withheld-CA	
			09/15/21	0.18	Nonqualified dividend	
			09/15/21	-0.03	Foreign tax withheld-CA	
			12/15/21	0.18	Nonqualified dividend	
			12/15/21	-0.03	Foreign tax withheld-CA	
	<b>0.54</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.09</b>	<b>Total Foreign tax withheld</b>				

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BOSTON PRIVATE FINANCIAL HOLDINGS, INC. COMMON STOCK	101119105		02/19/21	0.30	Qualified dividend	
			05/21/21	0.30	Qualified dividend	
				<b>0.60</b>	<b>Total Dividends &amp; distributions</b>	
BRISTOL-MYERS SQUIBB CO.	110122108		05/03/21	0.49	Qualified dividend	
			08/02/21	0.49	Qualified dividend	
			11/01/21	0.50	Qualified dividend	
				<b>1.48</b>	<b>Total Dividends &amp; distributions</b>	
BROWN & BROWN, INC.	115236101		05/19/21	0.09	Qualified dividend	
			08/18/21	0.09	Qualified dividend	
			11/17/21	0.10	Qualified dividend	
				<b>0.28</b>	<b>Total Dividends &amp; distributions</b>	
CENOVUS ENERGY INC.	15135U109		03/31/21	0.14	Nonqualified dividend	
			03/31/21	-0.02	Foreign tax withheld-CA	
			06/30/21	0.14	Nonqualified dividend	
			06/30/21	-0.02	Foreign tax withheld-CA	
			09/30/21	0.14	Nonqualified dividend	
			09/30/21	-0.02	Foreign tax withheld-CA	
			12/31/21	0.27	Nonqualified dividend	
			12/31/21	-0.04	Foreign tax withheld-CA	
			<b>0.69</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.10</b>	<b>Total Foreign tax withheld</b>		
CRESCENT CAPITAL BDC, INC. COMMON STOCK	225655109		07/15/21	0.41	Qualified dividend	
			10/15/21	0.42	Qualified dividend	
			12/15/21	0.05	Qualified dividend	
			01/18/22	0.43	Qualified dividend	
				<b>1.31</b>	<b>Total Dividends &amp; distributions</b>	
CROWN CASTLE INTL. CORP.	22822V101		06/30/21	0.20	Section 199A dividend	03
			06/30/21	0.14	Nondividend distribution	03
			09/30/21	0.20	Section 199A dividend	03
			09/30/21	0.14	Nondividend distribution	03
			12/31/21	0.22	Section 199A dividend	03
			12/31/21	0.16	Nondividend distribution	03
			<b>1.06</b>	<b>Total Dividends &amp; distributions</b>		



Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/30/21	0.01	Qualified dividend	03
			03/30/21	0.01	Nonqualified dividend	03
			12/29/21	0.01	Qualified dividend	03
			12/29/21	0.01	Nonqualified dividend	03
				<b>0.04</b>	<b>Total Dividends &amp; distributions</b>	
DR. REDDY'S LABORATORIES LIMIT ED AMERICAN DEPOSITARY SHARES	256135203		08/03/21	0.34	Nonqualified dividend	
			08/11/21	-0.04	Foreign tax withheld-IN	
				<b>0.34</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.04</b>	<b>Total Foreign tax withheld</b>	
INFRACAP MLP ETF	26923G772		01/28/21	0.22	Qualified dividend	
			02/26/21	0.22	Qualified dividend	
			03/30/21	1.10	Qualified dividend	
			04/28/21	1.11	Qualified dividend	
			05/28/21	1.34	Qualified dividend	
			06/29/21	1.35	Qualified dividend	
			07/28/21	1.58	Qualified dividend	
			08/30/21	2.26	Qualified dividend	
			09/28/21	2.28	Qualified dividend	
			10/28/21	2.30	Qualified dividend	
			11/30/21	2.31	Qualified dividend	
				<b>16.07</b>	<b>Total Dividends &amp; distributions</b>	
ETFMG ALTERNATIVE HARVEST ETF	26924G508		03/26/21	0.02	Qualified dividend	
			06/24/21	0.06	Qualified dividend	
			09/23/21	0.06	Qualified dividend	
				<b>0.14</b>	<b>Total Dividends &amp; distributions</b>	
EXP WORLD HOLDINGS, INC. COMMON STOCK	30212W100		08/30/21	0.28	Qualified dividend	
			11/29/21	0.28	Qualified dividend	
				<b>0.56</b>	<b>Total Dividends &amp; distributions</b>	
FIDELITY ELECTRIC VEHICLES AND FUTURE TRANSPORTATION ETF	316092220		12/22/21	0.06	Qualified dividend	
FIRST MAJESTIC SILVER CORP	32076V103		06/04/21	0.01	Nonqualified dividend	
			09/16/21	0.02	Nonqualified dividend	
			11/30/21	0.01	Nonqualified dividend	
				<b>0.04</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
THE GEO GROUP, INC.	36162J106		02/01/21	2.50	Qualified dividend	
GAMCO GLOBAL GOLD, NATURAL RESOURCES & INCOME TRUST	36465A109		01/22/21	0.60	Qualified dividend	
			02/19/21	0.60	Qualified dividend	
			03/24/21	0.60	Qualified dividend	
			04/23/21	0.60	Qualified dividend	
			05/21/21	0.60	Qualified dividend	
			06/23/21	0.60	Qualified dividend	
			07/23/21	0.60	Qualified dividend	
			08/24/21	0.60	Qualified dividend	
			09/23/21	0.60	Qualified dividend	
			10/22/21	0.60	Qualified dividend	
			11/22/21	0.60	Qualified dividend	
			12/17/21	0.60	Qualified dividend	
				<b>7.20</b>	<b>Total Dividends &amp; distributions</b>	
GLOBAL X URANIUM ETF	37954Y871		07/08/21	0.02	Nonqualified dividend	
			01/07/22	1.02	Nonqualified dividend	03
			01/07/22	0.29	Qualified dividend	03
				<b>1.33</b>	<b>Total Dividends &amp; distributions</b>	
HEALTHPEAK PROPERTIES, INC.	42250P103		03/05/21	0.18	Nondividend distribution	03
			03/05/21	0.09	Unrecaptured section 1250 gain	03
			03/05/21	0.03	Section 199A dividend	03
				<b>0.30</b>	<b>Total Dividends &amp; distributions</b>	
HOME BANCSHARES, INC.	436893200		03/10/21	0.28	Qualified dividend	
			06/02/21	0.28	Qualified dividend	
			09/01/21	0.28	Qualified dividend	
			12/08/21	0.28	Qualified dividend	
				<b>1.12</b>	<b>Total Dividends &amp; distributions</b>	
HURCO COMPANIES, INC. COMMON STOCK	447324104		04/12/21	0.14	Qualified dividend	
			07/12/21	0.14	Qualified dividend	
			10/11/21	0.14	Qualified dividend	
				<b>0.42</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK	45781V101		04/15/21	1.32	Section 199A dividend	03
			07/15/21	1.41	Section 199A dividend	03
			10/15/21	1.52	Section 199A dividend	03
			01/14/22	0.10	Section 199A dividend	03
				<b>4.35</b>	<b>Total Dividends &amp; distributions</b>	
INTEL CORPORATION COMMON STOCK	458140100		12/01/21	0.35	Qualified dividend	
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF	46138E362		03/31/21	0.26	Qualified dividend	03
			03/31/21	0.02	Section 199A dividend	03
			04/30/21	0.23	Qualified dividend	03
			04/30/21	0.02	Section 199A dividend	03
			05/28/21	0.22	Qualified dividend	03
			05/28/21	0.01	Section 199A dividend	03
			06/30/21	0.22	Qualified dividend	03
			06/30/21	0.02	Section 199A dividend	03
			07/30/21	0.22	Qualified dividend	03
			07/30/21	0.01	Section 199A dividend	03
			08/31/21	0.24	Qualified dividend	03
			08/31/21	0.02	Section 199A dividend	03
			09/30/21	0.24	Qualified dividend	03
			09/30/21	0.02	Section 199A dividend	03
			10/29/21	0.24	Qualified dividend	03
10/29/21	0.02	Section 199A dividend	03			
11/30/21	0.25	Qualified dividend	03			
11/30/21	0.02	Section 199A dividend	03			
12/31/21	0.26	Qualified dividend	03			
12/31/21	0.02	Section 199A dividend	03			
				<b>2.56</b>	<b>Total Dividends &amp; distributions</b>	
IRON MOUNTAIN INC.	46284V101		04/06/21	1.00	Section 199A dividend	03
			04/06/21	0.27	Long-term capital gain	03
			04/06/21	0.24	Qualified dividend	03
			04/06/21	0.21	Nondividend distribution	03
			04/06/21	0.09	Long-term capital gain	03
			04/06/21	0.05	Unrecaptured section 1250 gain	03
			07/06/21	0.36	Section 199A dividend	03
			07/06/21	0.09	Long-term capital gain	03
07/06/21	0.08	Qualified dividend	03			

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
IRON MOUNTAIN INC. (cont'd)			07/06/21	0.07	Nondividend distribution	03
			07/06/21	0.03	Long-term capital gain	03
			07/06/21	0.02	Unrecaptured section 1250 gain	03
			10/06/21	0.36	Section 199A dividend	03
			10/06/21	0.09	Qualified dividend	03
			10/06/21	0.09	Long-term capital gain	03
			10/06/21	0.07	Nondividend distribution	03
			10/06/21	0.03	Long-term capital gain	03
			10/06/21	0.02	Unrecaptured section 1250 gain	03
			<b>3.17</b>	<b>Total Dividends &amp; distributions</b>		
KINDER MORGAN, INC.	49456B101		02/16/21	0.26	Qualified dividend	
			05/17/21	0.15	Qualified dividend	03
			05/17/21	0.12	Nondividend distribution	03
			08/16/21	0.28	Nondividend distribution	03
			11/15/21	0.28	Nondividend distribution	03
			<b>1.09</b>	<b>Total Dividends &amp; distributions</b>		
KINROSS GOLD CORPORATION	496902404		06/17/21	0.30	Nonqualified dividend	
			06/17/21	-0.05	Foreign tax withheld-CA	
			09/02/21	0.30	Nonqualified dividend	
			09/02/21	-0.05	Foreign tax withheld-CA	
			12/15/21	0.30	Nonqualified dividend	
			12/15/21	-0.05	Foreign tax withheld-CA	
			<b>0.90</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.15</b>	<b>Total Foreign tax withheld</b>		
LOANDEPOT, INC.	53946R106		05/18/21	1.22	Qualified dividend	
			07/16/21	0.17	Qualified dividend	
			10/18/21	0.17	Qualified dividend	
			<b>1.56</b>	<b>Total Dividends &amp; distributions</b>		
LUMEN TECHNOLOGIES, INC.	550241103		03/19/21	1.25	Qualified dividend	
			06/11/21	1.27	Qualified dividend	
			09/10/21	1.29	Qualified dividend	
			12/10/21	1.32	Qualified dividend	
			<b>5.13</b>	<b>Total Dividends &amp; distributions</b>		

Robinhood Securities LLC

Account 114420573

## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MGM GROWTH PROPERTIES LLC A COMMON SHARES REPRESENTIN G LIMITED LIABILITY COMPANY IN	55303A105		01/15/21	0.40	Section 199A dividend	03
			01/15/21	0.09	Nondividend distribution	03
				<b>0.49</b>	<b>Total Dividends &amp; distributions</b>	
MAIN STREET CAPITAL CORPORATIO N	56035L104		02/12/21	0.21	Nonqualified dividend	03
			03/15/21	0.21	Nonqualified dividend	03
			04/15/21	0.82	Nonqualified dividend	03
			05/14/21	0.83	Nonqualified dividend	03
			06/15/21	0.83	Nonqualified dividend	03
			07/15/21	0.83	Nonqualified dividend	03
			08/13/21	0.84	Nonqualified dividend	03
			09/15/21	0.84	Nonqualified dividend	03
			10/15/21	0.57	Qualified dividend	03
			10/15/21	0.30	Nonqualified dividend	03
			11/15/21	0.87	Qualified dividend	
			12/15/21	0.88	Qualified dividend	
			12/30/21	0.42	Qualified dividend	
	<b>8.45</b>	<b>Total Dividends &amp; distributions</b>				
MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK	58403P105		08/05/21	1.13	Qualified dividend	
			10/27/21	1.15	Qualified dividend	
				<b>2.28</b>	<b>Total Dividends &amp; distributions</b>	
MERCK & CO., INC.	58933Y105		04/07/21	0.65	Qualified dividend	
			07/07/21	1.31	Qualified dividend	
			10/07/21	1.32	Qualified dividend	
				<b>3.28</b>	<b>Total Dividends &amp; distributions</b>	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		04/30/21	2.97	Section 199A dividend	03
			04/30/21	2.08	Nondividend distribution	03
			07/30/21	3.26	Section 199A dividend	03
			07/30/21	2.28	Nondividend distribution	03
			10/29/21	4.15	Section 199A dividend	03
			10/29/21	2.91	Nondividend distribution	03
			01/28/22	7.72	Qualified dividend	
	<b>25.37</b>	<b>Total Dividends &amp; distributions</b>				
NEW YORK CITY REIT, INC.	649439205		01/15/21	1.00	Nondividend distribution	03

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**Detail for Dividends and Distributions**

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(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NVIDIA CORPORATION COMMON STOC K	67066G104		09/23/21	0.01	Qualified dividend	
			12/23/21	0.01	Qualified dividend	
				<b>0.02</b>	<b>Total Dividends &amp; distributions</b>	
OGE ENERGY CORP.	670837103		04/30/21	0.40	Qualified dividend	
			07/30/21	0.41	Qualified dividend	
			10/29/21	0.42	Qualified dividend	
			<b>1.23</b>	<b>Total Dividends &amp; distributions</b>		
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		04/15/21	0.01	Qualified dividend	
			07/15/21	0.01	Qualified dividend	
			10/15/21	0.01	Qualified dividend	
			<b>0.03</b>	<b>Total Dividends &amp; distributions</b>		
PARAMOUNT GROUP, INC.	69924R108		01/15/21	0.64	Section 199A dividend	03
			01/15/21	0.06	Long-term capital gain	03
			01/15/21	0.01	Nondividend distribution	03
			04/15/21	0.65	Section 199A dividend	03
			04/15/21	0.06	Long-term capital gain	03
			04/15/21	0.01	Nondividend distribution	03
			07/15/21	0.65	Section 199A dividend	03
			07/15/21	0.06	Long-term capital gain	03
			07/15/21	0.01	Nondividend distribution	03
			10/15/21	0.66	Section 199A dividend	03
			10/15/21	0.06	Long-term capital gain	03
			10/15/21	0.01	Nondividend distribution	03
	<b>2.88</b>	<b>Total Dividends &amp; distributions</b>				
REALTY INCOME CORPORATION	756109104		04/15/21	0.79	Nondividend distribution	03
			04/15/21	0.37	Section 199A dividend	03
			04/15/21	0.02	Unrecaptured section 1250 gain	03
			05/14/21	0.79	Nondividend distribution	03
			05/14/21	0.37	Section 199A dividend	03
			05/14/21	0.02	Unrecaptured section 1250 gain	03
			06/15/21	0.79	Nondividend distribution	03
			06/15/21	0.37	Section 199A dividend	03
			06/15/21	0.02	Unrecaptured section 1250 gain	03
			07/15/21	0.80	Nondividend distribution	03
			07/15/21	0.37	Section 199A dividend	03
			07/15/21	0.02	Unrecaptured section 1250 gain	03

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## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
REALTY INCOME CORPORATION (cont'd)			08/13/21	0.80	Nondividend distribution	03
			08/13/21	0.37	Section 199A dividend	03
			08/13/21	0.02	Unrecaptured section 1250 gain	03
			09/15/21	0.80	Nondividend distribution	03
			09/15/21	0.37	Section 199A dividend	03
			09/15/21	0.02	Unrecaptured section 1250 gain	03
			09/15/21	0.01	Long-term capital gain	03
			10/15/21	0.80	Nondividend distribution	03
			10/15/21	0.37	Section 199A dividend	03
			10/15/21	0.02	Unrecaptured section 1250 gain	03
			10/15/21	0.01	Long-term capital gain	03
			11/15/21	0.81	Nondividend distribution	03
			11/15/21	0.37	Section 199A dividend	03
			11/15/21	0.02	Unrecaptured section 1250 gain	03
			11/15/21	0.01	Long-term capital gain	03
			12/15/21	0.84	Nondividend distribution	03
			12/15/21	0.39	Section 199A dividend	03
		12/15/21	0.02	Unrecaptured section 1250 gain	03	
		12/15/21	0.01	Long-term capital gain	03	
			<b>10.79</b>	<b>Total Dividends &amp; distributions</b>		
SCULPTOR CAPITAL MANAGEMENT, I NC. CLASS A COMMON STOCK	811246107		05/25/21	1.50	Qualified dividend	
			08/24/21	2.73	Qualified dividend	
			11/22/21	1.44	Qualified dividend	
			<b>5.67</b>	<b>Total Dividends &amp; distributions</b>		
ENERGY SELECT SECTOR SPDR FUND	81369Y506		03/25/21	1.04	Qualified dividend	
			06/24/21	1.07	Qualified dividend	
			09/23/21	1.21	Qualified dividend	
			12/23/21	1.43	Qualified dividend	
			<b>4.75</b>	<b>Total Dividends &amp; distributions</b>		
FINANCIAL SELECT SECTOR SPDR F UND	81369Y605		03/25/21	0.15	Qualified dividend	
			06/24/21	0.14	Qualified dividend	
			09/23/21	0.16	Qualified dividend	
			12/23/21	0.19	Qualified dividend	
			<b>0.64</b>	<b>Total Dividends &amp; distributions</b>		

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## Detail for Dividends and Distributions

2021

(continued)

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SPIRIT AEROSYSTEMS HOLDINGS, I NC.	848574109		04/09/21	0.01	Qualified dividend	
			07/06/21	0.01	Qualified dividend	
			10/04/21	0.01	Qualified dividend	
				<b>0.03</b>	<b>Total Dividends &amp; distributions</b>	
SPOK HOLDINGS, INC. COMMON STO CK	84863T106		03/30/21	0.63	Qualified dividend	
			06/24/21	0.63	Qualified dividend	
			09/10/21	0.64	Qualified dividend	
			12/10/21	0.65	Qualified dividend	
	<b>2.55</b>	<b>Total Dividends &amp; distributions</b>				
STEWART INFORMATION SERVICES C ORPORATION	860372101		06/30/21	0.33	Qualified dividend	
			09/30/21	0.33	Qualified dividend	
			12/31/21	0.38	Qualified dividend	
	<b>1.04</b>	<b>Total Dividends &amp; distributions</b>				
SUNCOR ENERGY, INC.	867224107		03/25/21	0.33	Nonqualified dividend	
			03/25/21	-0.05	Foreign tax withheld-CA	
			06/25/21	0.34	Nonqualified dividend	
			06/25/21	-0.05	Foreign tax withheld-CA	
			09/24/21	0.33	Nonqualified dividend	
			09/24/21	-0.05	Foreign tax withheld-CA	
			12/27/21	0.67	Nonqualified dividend	
			12/28/21	-0.10	Foreign tax withheld-CA	
	<b>1.67</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.25</b>	<b>Total Foreign tax withheld</b>				
TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF	874060205		07/12/21	0.41	Qualified dividend	
			07/12/21	-0.06	Foreign tax withheld-JA	
			12/13/21	0.40	Qualified dividend	
			12/13/21	-0.06	Foreign tax withheld-JA	
	<b>0.81</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.12</b>	<b>Total Foreign tax withheld</b>				
TIPTREE INC. COMMON STOCK	88822Q103		06/01/21	0.20	Nondividend distribution	03
			08/30/21	0.20	Nondividend distribution	03
			11/29/21	0.20	Nondividend distribution	03
	<b>0.60</b>	<b>Total Dividends &amp; distributions</b>				



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## Detail for Dividends and Distributions

2021

(continued)

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Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TRANSALTA CORPORATION	89346D107		04/01/21	0.04	Nonqualified dividend	
			04/01/21	-0.01	Foreign tax withheld-CA	
			07/01/21	0.04	Nonqualified dividend	
			07/02/21	-0.01	Foreign tax withheld-CA	
			10/01/21	0.04	Nonqualified dividend	
			10/01/21	-0.01	Foreign tax withheld-CA	
				<b>0.12</b>	<b>Total Dividends &amp; distributions</b>	
	<b>-0.03</b>	<b>Total Foreign tax withheld</b>				
UMH PROPERTIES, INC.	903002103		03/15/21	0.95	Qualified dividend	
			06/15/21	0.96	Qualified dividend	
			09/15/21	0.97	Qualified dividend	
			12/15/21	0.97	Qualified dividend	
	<b>3.85</b>	<b>Total Dividends &amp; distributions</b>				
UNITED INSURANCE HOLDINGS CORP . COMMON STOCK	910710102		03/09/21	1.26	Qualified dividend	
			05/24/21	1.27	Qualified dividend	
			08/17/21	1.28	Qualified dividend	
			11/29/21	1.30	Qualified dividend	
	<b>5.11</b>	<b>Total Dividends &amp; distributions</b>				
UNITED STATES STEEL CORPORATIO N	912909108		12/09/21	0.05	Qualified dividend	
UWM HOLDINGS CORPORATION	91823B109		04/06/21	0.10	Qualified dividend	
			10/06/21	0.10	Qualified dividend	
	<b>0.20</b>	<b>Total Dividends &amp; distributions</b>				
VANGUARD ENERGY ETF	92204A306		03/31/21	0.65	Qualified dividend	03
			03/31/21	0.04	Nonqualified dividend	03
			06/24/21	0.62	Qualified dividend	03
			06/24/21	0.04	Nonqualified dividend	03
			10/04/21	0.89	Qualified dividend	03
			10/04/21	0.05	Nonqualified dividend	03
			12/21/21	0.92	Qualified dividend	03
			12/21/21	0.05	Nonqualified dividend	03
	<b>3.26</b>	<b>Total Dividends &amp; distributions</b>				
VIACOMCBS INC. CLASS B COMMON STOCK	92556H206		10/01/21	0.48	Qualified dividend	

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## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VORNADO REALTY TRUST	929042109		02/12/21	0.45	Section 199A dividend	03
			02/12/21	0.08	Qualified dividend	03
			05/21/21	0.90	Section 199A dividend	03
			05/21/21	0.17	Qualified dividend	03
			08/20/21	0.91	Section 199A dividend	03
			08/20/21	0.17	Qualified dividend	03
			11/19/21	0.92	Section 199A dividend	03
			11/19/21	0.17	Qualified dividend	03
			<b>3.77</b>	<b>Total Dividends &amp; distributions</b>		
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405		10/21/21	31.29	Cash liquidation	
WHEATON PRECIOUS METALS CORP. COMMON STOCK	962879102		04/13/21	0.26	Nonqualified dividend	
			04/13/21	-0.04	Foreign tax withheld-CA	
			06/03/21	0.28	Nonqualified dividend	
			06/03/21	-0.04	Foreign tax withheld-CA	
			09/09/21	0.30	Nonqualified dividend	
			09/09/21	-0.05	Foreign tax withheld-CA	
			12/06/21	0.30	Nonqualified dividend	
			12/06/21	-0.05	Foreign tax withheld-CA	
			<b>1.14</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.18</b>	<b>Total Foreign tax withheld</b>		
WISDOMTREE INVESTMENTS, INC. COMMON STOCK	97717P104		05/26/21	0.03	Qualified dividend	
			08/25/21	0.03	Qualified dividend	
			11/24/21	0.03	Qualified dividend	
			<b>0.09</b>	<b>Total Dividends &amp; distributions</b>		
WISDOMTREE TRUST WISDOMTREE CYBERSECURITY FUND	97717Y659		12/30/21	0.11	Qualified dividend	
YAMANA GOLD, INC.	98462Y100		04/14/21	0.26	Nonqualified dividend	
			04/14/21	-0.04	Foreign tax withheld-CA	
			07/14/21	0.26	Nonqualified dividend	
			07/14/21	-0.04	Foreign tax withheld-CA	
			10/14/21	0.30	Nonqualified dividend	
			10/14/21	-0.05	Foreign tax withheld-CA	
			<b>0.82</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.13</b>	<b>Total Foreign tax withheld</b>		

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## Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ZTO EXPRESS (CAYMAN) INC. AMER ICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINA	98980A105		04/22/21	0.25	Nonqualified dividend	
NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109		07/06/21	0.56	Nonqualified dividend	
			07/06/21	-0.08	Foreign tax withheld-NL	
			10/06/21	0.56	Nonqualified dividend	
			10/06/21	-0.08	Foreign tax withheld-NL	
				<b>1.12</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.16</b>	<b>Total Foreign tax withheld</b>	
GOLAR LNG PARTNERS LP COMMON UNITS REPRESENTING LIMITED PARTNERSHIP	Y2745C102		02/12/21	0.20	Nonqualified dividend	
				<b>230.81</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-1.25</b>	<b>Total Foreign tax withheld</b>	

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## Detail for Miscellaneous Information

2021

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<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
UWM HOLDINGS CORPORATION	91823B109	07/06/21	0.10	Substitute payment	
			<b>0.10</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

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## Other Receipts and Reconciliations

2021

02/11/2022

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104	01/11/22	0.26	Deferred income payment	03,24
ALLIANCEBERNSTEIN HOLDING, L.P.	01881G106	05/27/21	0.81	Partnership distribution	
		08/19/21	0.93	Partnership distribution	
		11/24/21	0.92	Partnership distribution	
			2.66	Total Partnership distribution	
AMERICOLD REALTY TRUST	03064D108	01/14/22	0.45	Deferred income payment	03,24
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	02/19/21	1.53	Partnership distribution	
		05/19/21	1.56	Partnership distribution	
		08/19/21	1.58	Partnership distribution	
		11/19/21	1.61	Partnership distribution	
			6.28	Total Partnership distribution	
ENTERPRISE PRODUCTS PARTNERS L.P.	293792107	02/11/21	0.45	Partnership distribution	
		05/12/21	1.38	Partnership distribution	
		08/12/21	1.41	Partnership distribution	
		11/12/21	2.78	Partnership distribution	
			6.02	Total Partnership distribution	
ICAHN ENTERPRISES, L.P. COMMON STOCK	451100101	04/28/21	4.00	Partnership distribution	
		06/30/21	4.14	Partnership distribution	
		09/29/21	4.29	Partnership distribution	
		12/22/21	4.46	Partnership distribution	
			16.89	Total Partnership distribution	
INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK	45781V101	01/14/22	1.43	Deferred income payment	03,24
IRON MOUNTAIN INC.	46284V101	01/06/22	0.67	Deferred income payment	03,24

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## Other Receipts and Reconciliations

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
MPLX LP	55336V100	05/14/21	0.69	Partnership distribution	
		08/13/21	0.70	Partnership distribution	
		11/19/21	0.74	Partnership distribution	
		11/19/21	0.60	Partnership distribution	
			2.73	Total Partnership distribution	
MAGELLAN MIDSTREAM PARTNERS, L.P.	559080106	05/14/21	0.95	Partnership distribution	
		08/13/21	0.97	Partnership distribution	
		11/12/21	1.00	Partnership distribution	
			2.92	Total Partnership distribution	
PARAMOUNT GROUP, INC.	69924R108	01/14/22	0.73	Deferred income payment	03,24
PHILLIPS 66 PARTNERS LP	718549207	05/14/21	0.88	Partnership distribution	
		08/13/21	0.90	Partnership distribution	
		11/12/21	0.92	Partnership distribution	
			2.70	Total Partnership distribution	
SHELL MIDSTREAM PARTNERS, L.P.	822634101	05/14/21	2.30	Partnership distribution	
		08/13/21	1.55	Partnership distribution	
		11/12/21	1.58	Partnership distribution	
			5.43	Total Partnership distribution	
			<b>3.54</b>	<b>Total Deferred income payment</b>	
			<b>45.63</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 114420573

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/05/21	-5.00	Margin interest paid	
		02/04/21	-5.00	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		04/05/21	-5.00	Margin interest paid	
		05/05/21	-5.00	Margin interest paid	
		06/04/21	-5.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/03/21	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
Margin charge		09/02/21	-5.00	Margin interest paid	
		10/04/21	-5.00	Margin interest paid	
		11/01/21	-5.00	Margin interest paid	
		12/01/21	-5.00	Margin interest paid	
		12/31/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			<b>-65.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

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**Mutual Fund and UIT Supplemental Information**

2021

02/11/2022

*The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

**ETFMG ALTERNATIVE HARVEST ETF / 26924G508****FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	17.55%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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**GAMCO GLOBAL GOLD, NATURAL RES OURCES & INCOME TRUST / 36465A109****PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

**Fed Source Total** **0.17%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.00	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.17



Robinhood Securities LLC

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## Foreign Income and Taxes Summary

2021

02/11/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	GOLAR LNG PARTNERS LP COMMON U NITS REPRESENTING LIMITED PART NERSHIP	Y2745C102	0.20	0.00		0.00	0.20	0.00
<b>Total BERMUDA</b>			<b>0.20</b>	<b>0.00</b>		<b>0.00</b>	<b>0.20</b>	<b>0.00</b>
CA	BARRICK GOLD CORP.	067901108	0.54	0.00		0.00	0.54	-0.09
CA	CENOVUS ENERGY INC.	15135U109	0.69	0.00		0.00	0.69	-0.10
CA	FIRST MAJESTIC SILVER CORP	32076V103	0.04	0.00		0.00	0.04	0.00
CA	GLOBAL X URANIUM ETF	37954Y871	1.04	0.29		0.00	1.33	0.00
CA	KINROSS GOLD CORPORATION	496902404	0.90	0.00		0.00	0.90	-0.15
CA	SUNCOR ENERGY, INC.	867224107	1.67	0.00		0.00	1.67	-0.25
CA	TRANSALTA CORPORATION	89346D107	0.12	0.00		0.00	0.12	-0.03
CA	WHEATON PRECIOUS METALS CORP. COMMON STOCK	962879102	1.14	0.00		0.00	1.14	-0.18
CA	YAMANA GOLD, INC.	98462Y100	0.82	0.00		0.00	0.82	-0.13
<b>Total CANADA</b>			<b>6.96</b>	<b>0.29</b>		<b>0.00</b>	<b>7.25</b>	<b>-0.93</b>
CH	ZTO EXPRESS (CAYMAN) INC. AMER ICAN DEPOSITARY SHARES, EACH R EPRESENTING ONE CLASS A ORDINA	98980A105	0.25	0.00		0.00	0.25	0.00
<b>Total CHINA</b>			<b>0.25</b>	<b>0.00</b>		<b>0.00</b>	<b>0.25</b>	<b>0.00</b>
IN	DR. REDDY'S LABORATORIES LIMIT ED AMERICAN DEPOSITARY SHARES	256135203	0.34	0.00		0.00	0.34	-0.04
<b>Total INDIA</b>			<b>0.34</b>	<b>0.00</b>		<b>0.00</b>	<b>0.34</b>	<b>-0.04</b>
JA	TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF	874060205	0.00	0.81		0.00	0.81	-0.12
<b>Total JAPAN</b>			<b>0.00</b>	<b>0.81</b>		<b>0.00</b>	<b>0.81</b>	<b>-0.12</b>
NL	NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109	1.12	0.00		0.00	1.12	-0.16
<b>Total NETHERLANDS</b>			<b>1.12</b>	<b>0.00</b>		<b>0.00</b>	<b>1.12</b>	<b>-0.16</b>

Robinhood Securities LLC

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**Foreign Income and Taxes Summary**

2021

(continued)

02/11/2022

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
UK	ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES	046353108	0.00	0.95	0.00	0.95	0.00
<b>Total UNITED KINGDOM</b>			<b>0.00</b>	<b>0.95</b>	<b>0.00</b>	<b>0.95</b>	<b>0.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>					<b>10.92</b>	
	<b>Qualified Foreign Source Income**</b>					<b>2.05</b>	
	<b>Foreign Tax</b>					<b>-1.25</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

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## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AEVA TECHNOLOGIES, INC.	00835Q103	03/15/21	2.00	0.00	Exchange	
ARCHER AVIATION INC.	03945R102	09/17/21	3.00	0.00	Exchange	
ASENSUS SURGICAL, INC.	04367G103	03/05/21	11.00	0.00	Exchange	
ASTRA SPACE, INC. CLASS A COMM ON STOCK	04634X103	07/01/21	5.00	0.00	Exchange	
ATLAS CREST INVESTMENT CORP.	049284102	09/17/21	-3.00	0.00	Exchange	
AULT GLOBAL HOLDINGS, INC.	05150X104	12/13/21	-20.00	0.00	Exchange	
AZURRX BIOPHARMA, INC. COMMON STOCK	05502L204	09/22/21	-3.00	0.00	Exchange	
THE BEACHBODY COMPANY, INC.	073463101	06/28/21	1.00	0.00	Exchange	
BERKSHIRE GREY, INC. CLASS A C OMMON STOCK	084656107	07/22/21	2.00	0.00	Exchange	
BITNILE HOLDINGS, INC.	09175M101	12/13/21	20.00	0.00	Exchange	
BOSTON PRIVATE FINANCIAL HOLDINGS, INC. COMMON STOCK	101119105	07/01/21	-5.04	0.00	Merger	
BUTTERFLY NETWORK, INC.	124155102	02/16/21	2.00	0.00	Exchange	
CANCER GENETICS, INC. COMMON S TOCK	13739U203	03/31/21	-1.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	156700106	01/22/21	-5.00	0.00	Exchange	
E2OPEN PARENT HOLDINGS, INC.	29788T103	02/05/21	1.00	0.00	Exchange	
FARADAY FUTURE INTELLIGENT ELE CTRIC INC. COMMON STOCK	307359109	07/22/21	10.00	0.00	Exchange	
FIRST WAVE BIOPHARMA, INC. COM MON STOCK	33749P101	09/22/21	3.00	0.00	Exchange	
FOLEY TRASIMENE ACQUISITION CO RP. II	344328109	03/31/21	-1.00	0.00	Exchange	
FOREST ROAD ACQUISITION CORP.	34619R102	06/28/21	-1.00	0.00	Exchange	
FUSION ACQUISITION CORP.	36118H105	09/23/21	-1.00	0.00	Exchange	
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/22/21	-1.00	0.00	Exchange	

Robinhood Securities LLC

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**Non-Reportable Actions**  
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HIGHCAPE CAPITAL ACQUISITION C ORP. CLASS A COMMON STOCK	42984L105	06/11/21	-1.00	0.00	Exchange	
HILLMAN SOLUTIONS CORP. COMMON STOCK	431636109	07/15/21	2.00	0.00	Exchange	
HIPPO HOLDINGS INC.	433539103	08/03/21	10.00	0.00	Exchange	
HOLICITY INC. CLASS A COMMON S TOCK	435063102	07/01/21	-5.00	0.00	Exchange	
INNOVID CORP.	457679108	12/01/21	5.00	0.00	Exchange	
INSU ACQUISITION CORP. II CLAS S A COMMON STOCK	457821106	02/10/21	-8.00	0.00	Exchange	
INTERPRIVATE ACQUISITION CORP. COMMON STOCK	46064A103	03/15/21	-2.00	0.00	Exchange	
IRONNET, INC.	46323Q105	08/27/21	2.00	0.00	Exchange	
ISUN, INC. COMMON STOCK	465246106	01/21/21	4.00	0.00	Exchange	
LGL SYSTEMS ACQUISITION CORP.	50201G106	08/27/21	-2.00	0.00	Exchange	
LI-CYCLE HOLDINGS CORP.	50202P105	08/11/21	1.00	0.00	Exchange	
LANDCADIA HOLDINGS III, INC. C LASS A COMMON STOCK	51476H100	07/15/21	-2.00	0.00	Exchange	
LATCH, INC. COMMON STOCK	51818V106	06/07/21	1.00	0.00	Exchange	
THE LION ELECTRIC COMPANY	536221104	05/07/21	3.00	0.00	Exchange	
LIVEONE, INC. COMMON STOCK	53814X102	10/06/21	10.00	0.00	Exchange	
LIVEXLIVE MEDIA, INC. COMMON S TOCK	53839L208	10/06/21	-10.00	0.00	Exchange	
LONGVIEW ACQUISITION CORP.	543195101	02/16/21	-2.00	0.00	Exchange	
LUMEN TECHNOLOGIES, INC.	550241103	01/22/21	5.00	0.00	Exchange	
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	2.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. CO MMON STOCK	56585W401	03/01/21	-2.00	0.00	Exchange	
MARKFORGED HOLDING CORPORATION	57064N102	07/15/21	5.00	0.00	Exchange	

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**Non-Reportable Actions**  
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
METROMILE, INC. COMMON STOCK	591697107	02/10/21	8.00	0.00	Exchange	
MONEYLION INC.	60938K106	09/23/21	1.00	0.00	Exchange	
NORTHERN GENESIS ACQUISITION C ORP.	66516T104	05/07/21	-3.00	0.00	Exchange	
THE PECK COMPANY HOLDINGS, INC . COMMON STOCK	705163103	01/21/21	-4.00	0.00	Exchange	
POINT BIOPHARMA GLOBAL INC. CO MMON STOCK	730541109	07/01/21	2.00	0.00	Exchange	
PROPERTY SOLUTIONS ACQUISITION CORP. COMMON STOCK	74348Q108	07/22/21	-10.00	0.00	Exchange	
QUANTUM-SI INCORPORATED CLASS A COMMON STOCK	74765K105	06/11/21	1.00	0.00	Exchange	
REVOLUTION ACCELERATION ACQUIS ITION CORP CLASS A COMMON STOC K	76156P106	07/22/21	-2.00	0.00	Exchange	
ROCKET LAB USA, INC. COMMON ST OCK	773122106	08/25/21	5.00	0.00	Exchange	
GREENPRO CAPITAL CORP., CONTRA	812CNT012	09/27/21	1.00	0.00	Stock spinoff	
SOFI TECHNOLOGIES, INC. COMMON STOCK	83406F102	06/01/21	1.00	0.00	Exchange	
SPARTAN ACQUISITION CORP. II	846775104	07/12/21	-2.00	0.00	Exchange	
STAR PEAK ENERGY TRANSITION CO RP.	855185104	04/29/21	-1.00	0.00	Exchange	
STEM, INC.	85859N102	04/29/21	1.00	0.00	Exchange	
SUNLIGHT FINANCIAL HOLDINGS, I NC.	86738J106	07/12/21	2.00	0.00	Exchange	
TMC THE METALS COMPANY INC. CO MMON STOCK	87261Y106	09/10/21	2.00	0.00	Exchange	
TS INNOVATION ACQUISITIONS COR P. CLASS A COMMON STOCK	87284T100	06/07/21	-1.00	0.00	Exchange	
THERAPEUTICS ACQUISITION CORP. CLASS A COMMON STOCK	88339T103	07/01/21	-2.00	0.00	Exchange	
TRANSENERIX INC.	89366M300	03/05/21	-11.00	0.00	Exchange	

Robinhood Securities LLC

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**Non-Reportable Actions**  
(continued)

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
2SEVENTY BIO, INC. COMMON STOCK	901384107	11/05/21	2.00	0.00	Stock spinoff	
23ANDME HOLDING CO. CLASS A COMMON STOCK	90138Q108	06/17/21	1.00	0.00	Exchange	
UWM HOLDINGS CORPORATION	91823B109	01/22/21	1.00	0.00	Exchange	
VOLTA INC.	92873V102	08/27/21	1.00	0.00	Exchange	
VYANT BIO, INC. COMMON STOCK	92942V109	03/31/21	1.00	0.00	Exchange	
WASHINGTON PRIME GROUP INC NEW COMMON SHARES	93964W405	10/21/21	-40.00	0.00	Exchange	
CC NEUBERGER PRINCIPAL HOLDING S I	G1992Y114	02/05/21	-1.00	0.00	Exchange	
ION ACQUISITION CORP 2 LTD.	G49393104	12/01/21	-5.00	0.00	Exchange	
PAYSAFE LIMITED	G6964L107	03/31/21	1.00	0.00	Exchange	
ONE	G7000X105	07/15/21	-5.00	0.00	Exchange	
PERIDOT ACQUISITION CORP.	G7008A115	08/11/21	-1.00	0.00	Exchange	
REINVENT TECHNOLOGY PARTNERS Z	G74847107	08/03/21	-10.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. V	G8252P105	06/01/21	-1.00	0.00	Exchange	
SUSTAINABLE OPPORTUNITIES ACQUISITION CORP.	G8598Y109	09/10/21	-2.00	0.00	Exchange	
TORTOISE ACQUISITION CORP. II	G89554102	08/27/21	-1.00	0.00	Exchange	
VECTOR ACQUISITION CORPORATION CLASS A COMMON STOCK	G9442R126	08/25/21	-5.00	0.00	Exchange	
VG ACQUISITION CORP.	G9446E105	06/17/21	-1.00	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 15-17.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

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**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

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