

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 122666886</b>	Statement Date: 02/11/2022 Document ID: 1G41 R1V 1470	2021
	Rajesh Moguloju 6565 Wistful Vista Drive 11203 West Des Moines, IA 50266  RECIPIENT'S TIN: XXX-XX-7065		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	40,100.51	46,395.81	0.00	3,353.24	-2,942.06
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>40,100.51</b>	<b>46,395.81</b>	<b>0.00</b>	<b>3,353.24</b>	<b>-2,942.06</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>40,100.51</b>	<b>46,395.81</b>	<b>0.00</b>	<b>3,353.24</b>	<b>-2,942.06</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 122666886

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/29/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/28/21	1.000	0.98	01/27/21	18.00	...	-17.02	Option sale to close-call <sup>25</sup>
AAPL 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
02/17/21	1.000	19.98	02/16/21	141.00	121.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/16/21	216.02	...	-216.02	1 of 2 - Option expiration- long call
	1.000	0.00	02/17/21	11.00	...	-11.00	2 of 2 - Option expiration- long call
02/19/21	2.000	0.00	Various	227.02	...	-227.02	Total of 2 transactions
	<b>Security total:</b>	<b>19.98</b>		<b>368.02</b>	<b>121.02 W</b>	<b>-227.02</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/13/2021 PUT \$147.00 / CUSIP: / Symbol:							
4 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/12/21	31.00	...	-31.00	1 of 4 - Option expiration- long put
	1.000	0.00	08/12/21	31.00	...	-31.00	2 of 4 - Option expiration- long put
	1.000	0.00	08/12/21	31.00	...	-31.00	3 of 4 - Option expiration- long put
	1.000	0.00	08/12/21	26.00	...	-26.00	4 of 4 - Option expiration- long put
08/13/21	4.000	0.00	Various	119.00	...	-119.00	Total of 4 transactions
ABNB 01/29/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/27/21	2.000	739.97	01/26/21	334.00	...	405.97	Option sale to close-call <sup>25</sup>
ABNB 01/29/2021 CALL \$215.00 / CUSIP: / Symbol:							
01/28/21	2.000	-300.02	01/27/21	0.00	...	-300.02	Short sale closed- call <sup>25</sup> Option written: 01/26/21
AIG 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
02/18/21	2.000	249.98	02/17/21	284.00	...	-34.02	Option sale to close-call <sup>25</sup>
AMC 02/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/26/21	3.000	467.97	01/25/21	492.00	...	-24.03	Option sale to close-call <sup>25</sup>
AMC 02/19/2021 CALL \$7.00 / CUSIP: / Symbol:							
01/27/21	3.000	29.98	01/26/21	0.00	...	29.98	Short sale closed- call <sup>25</sup> Option written: 01/25/21
AMC 03/05/2021 PUT \$9.00 / CUSIP: / Symbol:							
03/03/21	1.000	71.98	03/02/21	78.00	...	-6.02	Option sale to close-put <sup>25</sup>
AUPH 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/28/21	1.000	73.98	01/27/21	65.00	...	8.98	Option sale to close-call <sup>25</sup>
BABA 04/01/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	274.98	03/17/21	198.00	...	76.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	274.98	03/17/21	198.00	...	76.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/18/21	2.000	549.96	Various	396.00	...	153.96	Total of 2 transactions

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BCS 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/29/21	1.000	64.98	01/28/21	70.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	90.99	02/01/21	72.02	...	18.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.99	02/01/21	67.00	...	23.99	2 of 2 - Option sale to close-call <sup>25</sup>
02/05/21	2.000	181.98	Various	139.02	...	42.96	Total of 2 transactions
	<b>Security total:</b>	<b>246.96</b>		<b>209.02</b>	<b>5.02 W</b>	<b>42.96</b>	
CHMA 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
01/27/21	1.000	29.98	01/26/21	43.00	...	-13.02	Option sale to close-call <sup>25</sup>
CLF 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
02/05/21	1.000	95.98	02/04/21	82.00	...	13.98	Option sale to close-call <sup>25</sup>
CRM 02/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/04/21	1.000	122.98	01/04/21	112.00	...	10.98	Option sale to close-call <sup>25</sup>
01/12/21	1.000	72.98	01/05/21	131.00	...	-58.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>195.96</b>		<b>243.00</b>	...	<b>-47.04</b>	
CRM 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/08/21	1.000	7.98	01/07/21	0.00	...	7.98	Short sale closed- call <sup>25</sup> Option written: 01/05/21
CRM 03/05/2021 CALL \$250.00 / CUSIP: / Symbol:							
02/19/21	1.000	774.97	02/18/21	790.00	15.03 W	0.00	Option sale to close-call <sup>25</sup>
03/01/21	1.000	5.98	02/25/21	265.03	...	-259.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>780.95</b>		<b>1,055.03</b>	<b>15.03 W</b>	<b>-259.05</b>	
CSCO 02/05/2021 CALL \$47.00 / CUSIP: / Symbol:							
02/04/21	1.000	2.98	02/03/21	5.00	...	-2.02	Option sale to close-call <sup>25</sup>
DIS 02/19/2021 CALL \$182.50 / CUSIP: / Symbol:							
01/27/21	1.000	228.98	01/26/21	270.00	...	-41.02	Option sale to close-call <sup>25</sup>
DIS 02/19/2021 CALL \$185.00 / CUSIP: / Symbol:							
01/28/21	1.000	20.98	01/27/21	0.00	...	20.98	Short sale closed- call <sup>25</sup> Option written: 01/26/21

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Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 04/23/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/12/21	1.000	399.98	03/10/21	440.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
03/23/21	1.000	103.98	03/15/21	440.02	...	-336.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>503.96</b>		<b>880.02</b>	<b>40.02 W</b>	<b>-336.04</b>	
EXPE 02/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	239.99	01/25/21	485.00	245.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	01/26/21	365.00	125.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/01/21	2.000	479.97	Various	850.00	370.03 W	0.00	Total of 2 transactions
02/03/21	1.000	559.97	01/27/21	510.01	...	49.96	Option sale to close-call <sup>25</sup>
02/04/21	1.000	529.97	01/27/21	345.02	...	184.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,569.91</b>		<b>1,705.03</b>	<b>370.03 W</b>	<b>234.91</b>	
FB 04/09/2021 CALL \$312.50 / CUSIP: / Symbol:							
04/07/21	1.000	193.98	04/06/21	183.00	...	10.98	Option sale to close-call <sup>25</sup>
FB 04/09/2021 CALL \$315.00 / CUSIP: / Symbol:							
04/08/21	1.000	-7.02	04/07/21	0.00	...	-7.02	Short sale closed- call <sup>25</sup> Option written: 04/06/21
FPRX 03/19/2021 PUT \$35.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	3.000	14.99	03/10/21	45.02	...	-30.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	4.99	03/10/21	10.00	...	-5.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/11/21	4.000	19.98	Various	55.02	...	-35.04	Total of 2 transactions
03/11/21	3.000	14.98	03/09/21	30.00	15.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>34.96</b>		<b>85.02</b>	<b>15.02 W</b>	<b>-35.04</b>	
FUBO 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	1.000	137.98	03/12/21	112.00	...	25.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	275.98	03/12/21	224.00	...	51.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/15/21	3.000	413.96	Various	336.00	...	77.96	Total of 2 transactions

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 02/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	10.98	02/11/21	7.00	...	3.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	10.98	02/11/21	7.00	...	3.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	10.98	02/11/21	7.00	...	3.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	12.98	02/11/21	7.00	...	5.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	13.98	02/11/21	7.00	...	6.98	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	64.98	02/11/21	35.00	...	29.98	6 of 6 - Option sale to close-call <sup>25</sup>
02/16/21	10.000	124.88	Various	70.00	...	54.88	Total of 6 transactions
GME 01/29/2021 CALL \$110.00 / CUSIP: / Symbol:							
01/26/21	1.000	1,617.95	01/25/21	1,265.00	...	352.95	Option sale to close-call <sup>25</sup>
GME 01/29/2021 CALL \$115.00 / CUSIP: / Symbol:							
01/27/21	1.000	-333.04	01/26/21	0.00	...	-333.04	Short sale closed- call <sup>25</sup> Option written: 01/25/21
GME 02/05/2021 PUT \$30.00 / CUSIP: / Symbol:							
01/26/21	1.000	-199.02	01/25/21	0.00	199.02 W	0.00	Short sale closed- put <sup>25</sup> Option written: 01/25/21
02/04/21	1.000	18.98	02/02/21	274.02	...	-255.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>-180.04</b>		<b>274.02</b>	<b>199.02 W</b>	<b>-255.04</b>	
GME 02/05/2021 PUT \$35.00 / CUSIP: / Symbol:							
01/25/21	1.000	343.98	01/25/21	122.00	...	221.98	Option sale to close-put <sup>25</sup>
GNUS 02/05/2021 CALL \$2.00 / CUSIP: / Symbol:							
01/29/21	1.000	32.98	01/28/21	37.00	...	-4.02	Option sale to close-call <sup>25</sup>
GNUS 02/05/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/01/21	1.000	-6.02	01/29/21	0.00	...	-6.02	Short sale closed- call <sup>25</sup> Option written: 01/28/21

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Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 03/26/2021 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	3.000	5.98	03/24/21	75.00	...	-69.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.98	03/24/21	25.00	...	-23.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/25/21	4.000	7.96	Various	100.00	...	-92.04	Total of 2 transactions
GOOGL 01/22/2021 PUT \$1,860.00 / CUSIP: / Symbol:							
5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	01/22/21	0.00	...	4.98	1 of 5 - Short sale closed- put expired
	1.000	4.98	01/22/21	0.00	...	4.98	Option written: 01/22/21 2 of 5 - Short sale closed- put expired
	1.000	4.98	01/22/21	0.00	...	4.98	Option written: 01/22/21 3 of 5 - Short sale closed- put expired
	1.000	9.98	01/22/21	0.00	...	9.98	Option written: 01/22/21 4 of 5 - Short sale closed- put expired
	1.000	29.98	01/22/21	0.00	...	29.98	Option written: 01/22/21 5 of 5 - Short sale closed- put expired
01/22/21	5.000	54.90	Various	0.00	...	54.90	Option written: 01/22/21 Total of 5 transactions
GOOGL 01/22/2021 PUT \$1,870.00 / CUSIP: / Symbol:							
5 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/22/21	65.00	...	-65.00	1 of 5 - Option expiration- long put
	1.000	0.00	01/22/21	25.00	...	-25.00	2 of 5 - Option expiration- long put
	1.000	0.00	01/22/21	13.00	...	-13.00	3 of 5 - Option expiration- long put
	1.000	0.00	01/22/21	13.00	...	-13.00	4 of 5 - Option expiration- long put
	1.000	0.00	01/22/21	13.00	...	-13.00	5 of 5 - Option expiration- long put
01/22/21	5.000	0.00	Various	129.00	...	-129.00	Total of 5 transactions
GPS 03/12/2021 CALL \$21.00 / CUSIP: / Symbol:							
02/04/21	1.000	241.98	02/01/21	170.00	...	71.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IQ 03/26/2021 CALL \$26.50 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	3.000	158.99	03/15/21	192.00	33.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	211.99	03/15/21	256.00	44.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/16/21	7.000	370.98	Various	448.00	77.02 W	0.00	Total of 2 transactions
2 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	4.000	215.99	03/16/21	268.01	...	-52.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	161.99	03/16/21	201.01	...	-39.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/21	7.000	377.98	Various	469.02	...	-91.04	Total of 2 transactions
	<b>Security total:</b>	<b>748.96</b>		<b>917.02</b>	<b>77.02 W</b>	<b>-91.04</b>	
JNJ 03/05/2021 CALL \$157.50 / CUSIP: / Symbol:							
03/05/21	7.000	48.98	03/05/21	91.00	...	-42.02	Option sale to close-call <sup>25</sup>
JPM 05/21/2021 CALL \$160.00 / CUSIP: / Symbol:							
03/11/21	1.000	561.98	03/10/21	505.00	...	56.98	Option sale to close-call <sup>25</sup>
KODK 04/09/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	2.000	1.99	04/08/21	28.00	...	-26.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	04/08/21	13.00	...	-12.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/09/21	3.000	2.98	Various	41.00	...	-38.02	Total of 2 transactions
NFLX 01/15/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	111.00	01/11/21	551.02	...	-440.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	332.98	01/12/21	330.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/12/21	4.000	443.98	Various	881.02	...	-437.04	Total of 2 transactions
01/12/21	1.000	110.98	01/11/21	390.00	279.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>554.96</b>		<b>1,271.02</b>	<b>279.02 W</b>	<b>-437.04</b>	

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Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 01/15/2021 CALL \$525.00 / CUSIP: / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	3.000	-12.02	01/12/21	0.00	...	-12.02	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 01/12/21
	1.000	119.98	01/12/21	0.00	...	119.98	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 01/11/21
	1.000	213.98	01/12/21	0.00	...	213.98	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 01/11/21
01/13/21	5.000	321.94	Various	0.00	...	321.94	Total of 3 transactions
NFLX 01/22/2021 CALL \$520.00 / CUSIP: / Symbol:							
01/20/21	1.000	7,035.83	01/12/21	1,015.00	...	6,020.83	Option sale to close-call <sup>25</sup>
NFLX 01/22/2021 CALL \$525.00 / CUSIP: / Symbol:							
01/21/21	1.000	-5,653.03	01/20/21	0.00	...	-5,653.03	Short sale closed- call <sup>25</sup> Option written: 01/12/21
NFLX 02/12/2021 CALL \$565.00 / CUSIP: / Symbol:							
02/10/21	2.000	1,279.96	02/09/21	1,160.00	...	119.96	Option sale to close-call <sup>25</sup>
02/10/21	1.000	424.98	02/09/21	435.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
02/11/21	1.000	499.97	02/10/21	310.02	...	189.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,204.91</b>		<b>1,905.02</b>	<b>10.02 W</b>	<b>309.91</b>	
NIO 06/18/2021 PUT \$43.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.98	06/11/21	60.00	...	-59.02	Option sale to close-put <sup>25</sup>
NOK 01/29/2021 CALL \$6.00 / CUSIP: / Symbol:							
01/27/21	1.000	199.98	01/27/21	71.00	...	128.98	Option sale to close-call <sup>25</sup>
OSTK 02/12/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/08/21	1.000	449.98	02/05/21	410.00	...	39.98	Option sale to close-call <sup>25</sup>
PBR 02/26/2021 CALL \$12.00 / CUSIP: / Symbol:							
02/03/21	1.000	21.98	02/01/21	16.00	...	5.98	Option sale to close-call <sup>25</sup>
PINS 01/21/2022 CALL \$110.00 / CUSIP: / Symbol:							
02/09/21	1.000	1,249.96	02/08/21	1,194.00	...	55.96	Option sale to close-call <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PINS 03/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	2.000	103.99	03/05/21	264.00	...	-160.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	51.99	03/05/21	130.00	...	-78.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/08/21	3.000	155.98	Various	394.00	...	-238.02	Total of 2 transactions
PLTR 03/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
03/15/21	1.000	64.98	03/12/21	74.00	...	-9.02	Option sale to close-call <sup>25</sup>
PLTR 03/26/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	3.000	5.99	03/25/21	12.00	...	-6.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	3.99	03/25/21	8.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/21	5.000	9.98	Various	20.00	...	-10.02	Total of 2 transactions
PLTR 04/16/2021 CALL \$27.00 / CUSIP: / Symbol:							
03/12/21	2.000	433.98	03/11/21	564.00	...	-130.02	Option sale to close-call <sup>25</sup>
PLTR 04/23/2021 CALL \$26.00 / CUSIP: / Symbol:							
03/11/21	1.000	334.98	03/10/21	285.00	...	49.98	Option sale to close-call <sup>25</sup>
PYPL 02/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	599.98	02/04/21	440.00	...	159.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.98	02/05/21	350.00	...	249.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/08/21	2.000	1,199.96	Various	790.00	...	409.96	Total of 2 transactions
PYPL 02/19/2021 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	174.98	02/11/21	105.00	...	69.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	02/11/21	105.00	...	94.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/12/21	2.000	374.96	Various	210.00	...	164.96	Total of 2 transactions
PYPL 02/19/2021 CALL \$335.00 / CUSIP: / Symbol:							
02/16/21	1.000	49.98	02/12/21	52.00	...	-2.02	Option sale to close-call <sup>25</sup>

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Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PYPL 09/17/2021 CALL \$300.00 / CUSIP: / Symbol:							
08/06/21	1.000	357.98	07/30/21	320.00	...	37.98	Option sale to close-call <sup>25</sup>
QS 02/26/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/17/21	1.000	284.98	02/17/21	215.00	...	69.98	Option sale to close-call <sup>25</sup>
QS 04/16/2021 CALL \$60.50 / CUSIP: / Symbol:							
04/01/21	1.000	114.98	03/23/21	600.00	...	-485.02	Option sale to close-call <sup>25</sup>
RIOT 02/05/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/03/21	1.000	49.98	02/02/21	35.00	...	14.98	Option sale to close-call <sup>25</sup>
RKT 03/05/2021 PUT \$25.00 / CUSIP: / Symbol:							
03/05/21	2.000	49.98	03/04/21	120.02	...	-70.04	Option sale to close-put <sup>25</sup>
03/05/21	2.000	49.98	03/04/21	104.00	54.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>99.96</b>		<b>224.02</b>	<b>54.02 W</b>	<b>-70.04</b>	
RKT 03/12/2021 CALL \$28.89 / CUSIP: / Symbol:							
	<i>6 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	71.00	03/08/21	51.00	...	20.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	71.00	03/08/21	45.00	...	26.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	141.99	03/08/21	102.00	...	39.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	70.99	03/09/21	10.00	...	60.99	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	113.98	03/09/21	20.00	...	93.98	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	170.98	03/09/21	30.00	...	140.98	6 of 6 - Option sale to close-call <sup>25</sup>
03/10/21	10.000	639.94	Various	258.00	...	381.94	Total of 6 transactions
	<i>2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	79.98	03/10/21	50.00	...	29.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	79.98	03/10/21	50.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	159.96	Various	100.00	...	59.96	Total of 2 transactions
	<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	3.99	03/11/21	40.00	...	-36.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3.99	03/11/21	19.00	...	-15.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	2.000	7.98	Various	59.00	...	-51.02	Total of 2 transactions
	<b>Security total:</b>	<b>807.88</b>		<b>417.00</b>	...	<b>390.88</b>	

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Robinhood Securities LLC

Account 122666886

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 03/12/2021 CALL \$29.39 / CUSIP: / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	212.98	03/10/21	66.00	...	146.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	851.98	03/10/21	264.00	...	587.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/10/21	5.000	1,064.96	Various	330.00	...	734.96	Total of 2 transactions
ROKU 02/05/2021 CALL \$430.00 / CUSIP: / Symbol:							
02/01/21	1.000	677.97	02/01/21	515.00	...	162.97	Option sale to close-call <sup>25</sup>
ROKU 02/05/2021 CALL \$470.00 / CUSIP: / Symbol:							
02/02/21	1.000	-33.02	02/01/21	0.00	...	-33.02	Short sale closed- call <sup>25</sup> Option written: 02/01/21
ROKU 03/26/2021 CALL \$380.00 / CUSIP: / Symbol:							
3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	1.000	12.99	03/23/21	137.00	...	-124.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	12.99	03/23/21	137.00	...	-124.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	13.00	03/23/21	125.00	...	-112.00	3 of 3 - Option sale to close-call <sup>25</sup>
03/25/21	3.000	38.98	Various	399.00	...	-360.02	Total of 3 transactions
SNDL 01/21/2022 CALL \$4.00 / CUSIP: / Symbol:							
02/16/21	1.000	90.98	02/10/21	170.00	79.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	2.000	129.99	02/10/21	340.00	...	-210.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	65.00	02/11/21	224.02	...	-159.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	64.99	02/11/21	145.00	...	-80.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/17/21	4.000	259.98	Various	709.02	...	-449.04	Total of 3 transactions
	<b>Security total:</b>	<b>350.96</b>		<b>879.02</b>	<b>79.02 W</b>	<b>-449.04</b>	
SNDL 03/26/2021 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	2.000	43.98	03/11/21	48.01	...	-4.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	22.00	03/11/21	23.00	...	-1.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/21	3.000	65.98	Various	71.01	...	-5.03	Total of 2 transactions

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNDL 03/26/2021 CALL \$1.50 / CUSIP: / Symbol: (cont'd)							
03/12/21	4.000	87.98	03/11/21	92.00	2.01 W	-2.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>153.96</b>		<b>163.01</b>	<b>2.01 W</b>	<b>-7.04</b>	
SNDL 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
03/16/21	2.000	63.98	03/15/21	66.00	...	-2.02	Option sale to close-call <sup>25</sup>
SPCE 02/05/2021 PUT \$33.00 / CUSIP: / Symbol:							
01/29/21	1.000	-96.02	01/28/21	0.00	...	-96.02	Short sale closed- put <sup>25</sup> Option written: 01/27/21
SPCE 02/05/2021 PUT \$34.00 / CUSIP: / Symbol:							
01/28/21	1.000	189.98	01/27/21	89.00	...	100.98	Option sale to close-put <sup>25</sup>
SPY 02/01/2021 CALL \$374.00 / CUSIP: / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	227.99	01/29/21	255.00	...	-27.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	227.98	01/29/21	165.00	...	62.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/01/21	2.000	455.97	Various	420.00	...	35.97	Total of 2 transactions
SPY 02/01/2021 PUT \$376.00 / CUSIP: / Symbol:							
02/01/21	1.000	27.98	02/01/21	109.00	...	-81.02	Option sale to close-put <sup>25</sup>
SPY 02/05/2021 PUT \$385.00 / CUSIP: / Symbol:							
11 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	3.99	02/05/21	122.00	...	-118.01	1 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	02/05/21	117.00	...	-113.00	2 of 11 - Option sale to close-put <sup>25</sup>
	1.000	3.99	02/05/21	115.00	...	-111.01	3 of 11 - Option sale to close-put <sup>25</sup>
	1.000	4.00	02/05/21	115.00	...	-111.00	4 of 11 - Option sale to close-put <sup>25</sup>
	10.000	69.97	02/05/21	130.00	...	-60.03	5 of 11 - Option sale to close-put <sup>25</sup>
	4.000	15.98	02/05/21	44.01	...	-28.03	6 of 11 - Option sale to close-put <sup>25</sup>
	5.000	34.99	02/05/21	60.00	...	-25.01	7 of 11 - Option sale to close-put <sup>25</sup>
	5.000	34.99	02/05/21	60.00	...	-25.01	8 of 11 - Option sale to close-put <sup>25</sup>
	3.000	11.99	02/05/21	36.01	...	-24.02	9 of 11 - Option sale to close-put <sup>25</sup>
	2.000	13.99	02/05/21	24.00	...	-10.01	10 of 11 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 02/05/2021 PUT \$385.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	02/05/21	13.00	...	-6.00	11 of 11 - Option sale to close-put <sup>25</sup>
02/05/21	34.000	204.89	Various	836.02	...	-631.13	Total of 11 transactions
	4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	2.000	14.00	02/04/21	232.00	218.00 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	14.00	02/04/21	228.00	214.00 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	4.000	27.99	02/05/21	44.00	12.01 W	-4.00	3 of 4 - Option sale to close-put <sup>25</sup>
	4.000	27.99	02/05/21	40.00	12.01 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
02/05/21	12.000	83.98	Various	544.00	456.02 W	-4.00	Total of 4 transactions
	<b>Security total:</b>	<b>288.87</b>		<b>1,380.02</b>	<b>456.02 W</b>	<b>-635.13</b>	
SPY 02/10/2021 CALL \$395.00 / CUSIP: / Symbol:							
	2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.						
	2.000	0.00	02/08/21	28.00	...	-28.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/09/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
02/10/21	4.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
SPY 02/12/2021 CALL \$391.00 / CUSIP: / Symbol:							
02/11/21	1.000	86.98	02/10/21	148.00	...	-61.02	Option sale to close-call <sup>25</sup>
SPY 02/17/2021 CALL \$393.00 / CUSIP: / Symbol:							
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	1.000	8.00	02/16/21	95.00	...	-87.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	31.98	02/17/21	32.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/17/21	5.000	39.98	Various	127.00	...	-87.02	Total of 2 transactions
SPY 02/22/2021 CALL \$394.00 / CUSIP: / Symbol:							
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	1.000	42.98	02/18/21	92.02	...	-49.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.98	02/18/21	50.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/19/21	2.000	142.96	Various	142.02	...	0.94	Total of 2 transactions
02/19/21	1.000	43.98	02/18/21	68.00	24.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>186.94</b>		<b>210.02</b>	<b>24.02 W</b>	<b>0.94</b>	

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Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 02/26/2021 PUT \$387.50 / CUSIP: / Symbol:							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	02/25/21	0.00	...	29.98	1 of 2 - Short sale closed- put <sup>25</sup> Option written: 02/24/21
	1.000	29.98	02/25/21	0.00	...	29.98	2 of 2 - Short sale closed- put <sup>25</sup> Option written: 02/24/21
02/26/21	2.000	59.96	Various	0.00	...	59.96	Total of 2 transactions
SPY 02/26/2021 PUT \$389.00 / CUSIP: / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	180.99	02/24/21	218.00	...	-37.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	180.99	02/24/21	218.00	...	-37.01	2 of 2 - Option sale to close-put <sup>25</sup>
02/25/21	2.000	361.98	Various	436.00	...	-74.02	Total of 2 transactions
SPY 03/03/2021 CALL \$395.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	03/02/21	11.00	...	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/02/21	11.00	...	-10.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/03/21	2.000	1.98	Various	22.00	...	-20.02	Total of 2 transactions
SPY 03/05/2021 CALL \$390.00 / CUSIP: / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	2.000	1.99	03/04/21	98.00	...	-96.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	03/04/21	18.00	...	-17.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/05/21	3.000	2.98	Various	116.00	...	-113.02	Total of 2 transactions
SPY 03/05/2021 CALL \$391.00 / CUSIP: / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	1.000	22.99	03/03/21	83.00	...	-60.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	22.99	03/03/21	34.00	...	-11.01	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	2.000	45.98	Various	117.00	...	-71.02	Total of 2 transactions

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Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 03/05/2021 PUT \$373.00 / CUSIP: / Symbol:							
4 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	1.000	113.99	03/05/21	92.00	...	21.99	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	84.98	03/05/21	46.00	...	38.98	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	113.99	03/05/21	73.00	...	40.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	120.98	03/05/21	46.00	...	74.98	4 of 4 - Option sale to close-put <sup>25</sup>
03/05/21	4.000	433.94	Various	257.00	...	176.94	Total of 4 transactions
SPY 03/05/2021 PUT \$386.00 / CUSIP: / Symbol:							
02/25/21	1.000	586.98	02/25/21	473.00	...	113.98	Option sale to close-put <sup>25</sup>
SPY 03/12/2021 CALL \$400.00 / CUSIP: / Symbol:							
4 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	03/11/21	95.00	...	-95.00	1 of 4 - Option expiration- long call
	5.000	0.00	03/11/21	35.00	...	-35.00	2 of 4 - Option expiration- long call
	1.000	0.00	03/11/21	15.00	...	-15.00	3 of 4 - Option expiration- long call
	2.000	0.00	03/11/21	14.00	...	-14.00	4 of 4 - Option expiration- long call
03/12/21	13.000	0.00	Various	159.00	...	-159.00	Total of 4 transactions
SPY 03/15/2021 CALL \$400.00 / CUSIP: / Symbol:							
03/15/21	3.000	2.98	03/12/21	18.00	...	-15.02	Option sale to close-call <sup>25</sup>
SPY 03/19/2021 CALL \$395.00 / CUSIP: / Symbol:							
03/19/21	3.000	11.98	03/18/21	120.00	...	-108.02	Option sale to close-call <sup>25</sup>
SPY 03/26/2021 PUT \$380.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/25/21	34.00	...	-34.00	Option expiration- long put
SPY 04/09/2021 PUT \$400.00 / CUSIP: / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	1.000	44.99	04/05/21	71.00	...	-26.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	44.99	04/05/21	70.00	...	-25.01	2 of 2 - Option sale to close-put <sup>25</sup>
04/06/21	2.000	89.98	Various	141.00	...	-51.02	Total of 2 transactions

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SPY 04/09/2021 PUT \$402.50 / CUSIP: / Symbol:							
4 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	37.00	04/08/21	0.00	...	37.00	1 of 4 - Short sale closed- put <sup>25</sup> Option written: 04/07/21
	3.000	164.98	04/08/21	0.00	...	164.98	2 of 4 - Short sale closed- put <sup>25</sup> Option written: 04/06/21
	3.000	206.98	04/08/21	0.00	...	206.98	3 of 4 - Short sale closed- put <sup>25</sup> Option written: 04/06/21
	6.000	221.98	04/08/21	0.00	...	221.98	4 of 4 - Short sale closed- put <sup>25</sup> Option written: 04/07/21
04/09/21	13.000	630.94	Various	0.00	...	630.94	Total of 4 transactions
SPY 04/09/2021 PUT \$403.00 / CUSIP: / Symbol:							
3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	3.000	74.99	04/06/21	306.00	...	-231.01	1 of 3 - Option sale to close-put <sup>25</sup>
	6.000	149.99	04/07/21	408.00	...	-258.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	24.98	04/07/21	130.00	...	-105.02	3 of 3 - Option sale to close-put <sup>25</sup>
04/08/21	10.000	249.96	Various	844.00	...	-594.04	Total of 3 transactions
04/08/21	3.000	74.99	04/06/21	261.00	62.00 W	-124.01	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>324.95</b>		<b>1,105.00</b>	<b>62.00 W</b>	<b>-718.05</b>	
SPY 08/11/2021 PUT \$440.00 / CUSIP: / Symbol:							
08/09/21	2.000	107.98	08/09/21	144.00	...	-36.02	Option sale to close-put <sup>25</sup>
SQ 02/26/2021 CALL \$255.00 / CUSIP: / Symbol:							
02/05/21	1.000	866.97	02/04/21	885.00	...	-18.03	Option sale to close-call <sup>25</sup>
SQ 02/26/2021 CALL \$300.00 / CUSIP: / Symbol:							
02/08/21	1.000	18.98	02/05/21	0.00	...	18.98	Short sale closed- call <sup>25</sup> Option written: 02/04/21
SQ 03/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
02/26/21	1.000	344.98	02/25/21	525.00	...	-180.02	Option sale to close-call <sup>25</sup>
SQ 06/18/2021 CALL \$300.00 / CUSIP: / Symbol:							
03/15/21	1.000	1,375.98	03/12/21	1,200.00	...	175.98	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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SQ 06/18/2021 CALL \$330.00 / CUSIP: / Symbol:							
03/16/21	1.000	-113.02	03/15/21	0.00	...	-113.02	Short sale closed- call <sup>25</sup> Option written: 03/12/21
TSLA 03/19/2021 CALL \$725.00 / CUSIP: / Symbol:							
03/19/21	1.000	2.98	03/17/21	375.00	...	-372.02	Option sale to close-call <sup>25</sup>
TSLA 03/26/2021 CALL \$725.00 / CUSIP: / Symbol:							
03/22/21	1.000	964.98	03/19/21	430.00	...	534.98	Option sale to close-call <sup>25</sup>
TSLA 04/23/2021 CALL \$770.00 / CUSIP: / Symbol:							
04/16/21	1.000	1,442.98	04/14/21	2,431.00	988.02 W	0.00	Option sale to close-call <sup>25</sup>
04/23/21	1.000	0.00	04/16/21	2,274.02	...	-2,274.02	Option expiration- long call
	<b>Security total:</b>	<b>1,442.98</b>		<b>4,705.02</b>	<b>988.02 W</b>	<b>-2,274.02</b>	
TSLA 04/23/2021 CALL \$800.00 / CUSIP: / Symbol:							
04/19/21	1.000	667.98	04/16/21	0.00	...	667.98	Short sale closed- call <sup>25</sup> Option written: 04/14/21
04/22/21	1.000	603.98	04/21/21	0.00	...	603.98	Short sale closed- call <sup>25</sup> Option written: 04/16/21
	<b>Security total:</b>	<b>1,271.96</b>		<b>0.00</b>	...	<b>1,271.96</b>	
TTWO 02/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
02/09/21	1.000	79.99	02/09/21	55.00	...	24.99	Option sale to close-call <sup>25</sup>
02/09/21	2.000	159.99	02/09/21	190.00	15.01 W	-15.00	Option sale to close-call <sup>25</sup>
02/10/21	1.000	54.98	02/09/21	70.01	...	-15.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>294.96</b>		<b>315.01</b>	<b>15.01 W</b>	<b>-5.04</b>	
UAL 02/26/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/22/21	2.000	89.98	02/22/21	70.00	...	19.98	Option sale to close-call <sup>25</sup>
UAL 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/22/21	1.000	729.97	02/19/21	610.00	...	119.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/18/21	1.000	264.98	02/12/21	340.00	...	-75.02	Option sale to close-call <sup>25</sup>
02/18/21	1.000	264.99	02/11/21	525.00	260.01 W	0.00	Option sale to close-call <sup>25</sup>
02/23/21	1.000	96.98	02/22/21	427.01	...	-330.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>626.95</b>		<b>1,292.01</b>	<b>260.01 W</b>	<b>-405.05</b>	
WYNN 01/29/2021 CALL \$120.00 / CUSIP: / Symbol:							
01/26/21	1.000	17.98	01/25/21	41.00	...	-23.02	Option sale to close-call <sup>25</sup>
XBI 03/19/2021 PUT \$145.00 / CUSIP: / Symbol:							
02/25/21	2.000	639.98	02/23/21	900.00	...	-260.02	Option sale to close-put <sup>25</sup>
XLE 02/19/2021 CALL \$46.00 / CUSIP: / Symbol:							
02/11/21	1.000	19.98	02/10/21	48.00	...	-28.02	Option sale to close-call <sup>25</sup>
02/12/21	3.000	104.98	02/10/21	144.00	...	-39.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>124.96</b>		<b>192.00</b>	...	<b>-67.04</b>	
XOM 03/05/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/04/21	5.000	89.98	03/04/21	195.00	...	-105.02	Option sale to close-call <sup>25</sup>
XOM 03/26/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/03/21	4.000	455.98	03/01/21	504.00	36.02 W	-12.00	Option sale to close-call <sup>25</sup>
	2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	2.000	289.98	03/03/21	314.01	...	-24.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	144.98	03/03/21	157.01	...	-12.03	2 of 2 - Option sale to close-call <sup>25</sup>
03/04/21	3.000	434.96	Various	471.02	...	-36.06	Total of 2 transactions
	<b>Security total:</b>	<b>890.94</b>		<b>975.02</b>	<b>36.02 W</b>	<b>-48.06</b>	
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
	2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	310.000	350.26	02/10/21	356.50	...	-6.24	1 of 2 - Sale <sup>25</sup>
	110.000	124.30	02/10/21	126.50	...	-2.20	2 of 2 - Sale <sup>25</sup>
02/11/21	420.000	474.56	Various	483.00	...	-8.44	Total of 2 transactions
AEROCENTURY CORPORATION / CUSIP: 007737109 / Symbol:							
08/09/21	0.513	10.07	08/09/21	10.00	...	0.07	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	20.096	20.90	01/06/21	23.51	...	-2.61	1 of 2 - Sale <sup>25</sup>
	0.096	0.10	01/06/21	0.11	...	-0.01	2 of 2 - Sale <sup>25</sup>
01/07/21	20.192	21.00	Various	23.62	...	-2.62	Total of 2 transactions
01/11/21	15.808	17.63	01/06/21	18.50	...	-0.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38.63</b>		<b>42.12</b>	...	<b>-3.49</b>	
AKARI THERAPEUTICS PLC ADS / CUSIP: 00972G108 / Symbol:							
01/22/21	100.000	283.99	01/21/21	291.00	...	-7.01	Sale <sup>25</sup>
MICROSECTORS US BIG OIL -3X IN VERSE LEVERAGED ETN / CUSIP: 063679724 / Symbol:							
02/19/21	50.000	144.99	02/17/21	133.25	...	11.74	Sale <sup>25</sup>
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	21.000	60.90	02/12/21	67.16	6.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	142.10	02/12/21	156.07	13.97 W	0.00	2 of 2 - Sale <sup>25</sup>
02/19/21	70.000	203.00	Various	223.23	20.23 W	0.00	Total of 2 transactions
7 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	14.000	32.34	02/19/21	42.88	10.54 W	0.00	1 of 7 - Sale <sup>25</sup>
	7.000	16.17	02/19/21	21.06	4.89 W	0.00	2 of 7 - Sale <sup>25</sup>
	49.000	113.19	02/19/21	146.76	33.57 W	0.00	3 of 7 - Sale <sup>25</sup>
	7.000	16.17	02/19/21	18.97	2.80 W	0.00	4 of 7 - Sale <sup>25</sup>
	63.000	145.52	02/22/21	158.76	13.24 W	0.00	5 of 7 - Sale <sup>25</sup>
	70.000	161.70	02/22/21	162.05	0.35 W	0.00	6 of 7 - Sale <sup>25</sup>
	140.000	323.39	02/22/21	327.39	4.00 W	0.00	7 of 7 - Sale <sup>25</sup>
02/23/21	350.000	808.48	Various	877.87	69.39 W	0.00	Total of 7 transactions
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	7.000	11.62	03/04/21	18.96	7.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	23.24	03/04/21	38.68	15.44 W	0.00	2 of 3 - Sale <sup>25</sup>
	21.000	34.86	03/04/21	56.60	21.74 W	0.00	3 of 3 - Sale <sup>25</sup>
03/05/21	42.000	69.72	Various	114.24	44.52 W	0.00	Total of 3 transactions
5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	12.000	20.52	03/11/21	18.96	...	1.56	1 of 5 - Sale <sup>25</sup>
	14.000	23.95	03/11/21	22.05	...	1.90	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 122666886

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL -3X IN VERSE LEVERAGED ETN / CUSIP: 063679724 / Symbol: (cont'd)							
	6.000	10.26	03/12/21	9.53	...	0.73	3 of 5 - Sale <sup>25</sup>
	36.000	61.58	03/12/21	57.38	...	4.20	4 of 5 - Sale <sup>25</sup>
	44.000	75.26	03/12/21	68.86	...	6.40	5 of 5 - Sale <sup>25</sup>
03/15/21	112.000	191.57	Various	176.78	...	14.79	Total of 5 transactions
	3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.						
	28.000	47.89	03/11/21	63.70	15.81 W	0.00	1 of 3 - Sale <sup>25</sup>
	7.000	11.97	03/11/21	13.93	1.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	63.000	107.76	03/11/21	112.47	4.71 W	0.00	3 of 3 - Sale <sup>25</sup>
03/15/21	98.000	167.62	Various	190.10	22.48 W	0.00	Total of 3 transactions
	7 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	98.000	171.01	03/16/21	176.25	0.53 W	-4.71	1 of 7 - Sale <sup>25</sup>
	28.000	48.86	03/16/21	65.37	16.51 W	0.00	2 of 7 - Sale <sup>25</sup>
	7.000	12.21	03/16/21	19.73	7.52 W	0.00	3 of 7 - Sale <sup>25</sup>
	14.000	24.43	03/16/21	40.22	15.79 W	0.00	4 of 7 - Sale <sup>25</sup>
	21.000	36.64	03/16/21	58.91	22.27 W	0.00	5 of 7 - Sale <sup>25</sup>
	7.000	12.21	03/16/21	14.35	2.14 W	0.00	6 of 7 - Sale <sup>25</sup>
	35.000	61.07	03/16/21	64.56	3.49 W	0.00	7 of 7 - Sale <sup>25</sup>
03/17/21	210.000	366.43	Various	439.39	68.25 W	-4.71	Total of 7 transactions
	12 transactions for 03/23/21. Total proceeds and cost reported to the IRS.						
	15.000	29.55	03/19/21	31.86	...	-2.31	1 of 12 - Sale <sup>25</sup>
	6.000	11.82	03/19/21	11.61	...	0.21	2 of 12 - Sale <sup>25</sup>
	21.000	41.37	03/22/21	64.06	...	-22.69	3 of 12 - Sale <sup>25</sup>
	14.000	27.58	03/22/21	43.65	...	-16.07	4 of 12 - Sale <sup>25</sup>
	26.000	51.22	03/22/21	67.07	...	-15.85	5 of 12 - Sale <sup>25</sup>
	7.000	13.79	03/22/21	21.45	...	-7.66	6 of 12 - Sale <sup>25</sup>
	35.000	68.95	03/22/21	72.96	...	-4.01	7 of 12 - Sale <sup>25</sup>
	4.000	7.88	03/22/21	9.18	...	-1.30	8 of 12 - Sale <sup>25</sup>
	2.000	3.94	03/22/21	5.16	...	-1.22	9 of 12 - Sale <sup>25</sup>
	3.000	5.91	03/22/21	6.88	...	-0.97	10 of 12 - Sale <sup>25</sup>
	10.000	19.70	03/22/21	20.38	...	-0.68	11 of 12 - Sale <sup>25</sup>
	7.000	13.79	03/22/21	14.45	...	-0.66	12 of 12 - Sale <sup>25</sup>
03/23/21	150.000	295.50	Various	368.71	...	-73.21	Total of 12 transactions
	<b>Security total:</b>	<b>2,247.31</b>		<b>2,523.57</b>	<b>224.87 W</b>	<b>-51.39</b>	

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Robinhood Securities LLC

Account 122666886

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/25/21	2.000	280.00	02/25/21	350.96	...	-70.96	Sale <sup>25</sup>
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	104.461	159.93	02/19/21	188.03	20.86 W	-7.24	1 of 2 - Sale <sup>25</sup>
	0.539	0.83	02/19/21	0.97	0.14 W	0.00	2 of 2 - Sale <sup>25</sup>
02/22/21	105.000	160.76	Various	189.00	21.00 W	-7.24	Total of 2 transactions
2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	4.461	5.96	02/19/21	8.03	...	-2.07	1 of 2 - Sale <sup>25</sup>
	0.539	0.72	02/19/21	1.11	...	-0.39	2 of 2 - Sale <sup>25</sup>
02/24/21	5.000	6.68	Various	9.14	...	-2.46	Total of 2 transactions
5 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	84.445	95.50	02/19/21	152.00	...	-56.50	1 of 5 - Sale <sup>25</sup>
	51.908	58.71	02/19/21	105.22	...	-46.51	2 of 5 - Sale <sup>25</sup>
	20.000	22.63	02/19/21	38.08	...	-15.45	3 of 5 - Sale <sup>25</sup>
	4.980	5.63	02/19/21	10.08	...	-4.45	4 of 5 - Sale <sup>25</sup>
	0.667	0.75	02/19/21	1.27	...	-0.52	5 of 5 - Sale <sup>25</sup>
02/26/21	162.000	183.22	Various	306.65	...	-123.43	Total of 5 transactions
	<b>Security total:</b>	<b>350.66</b>		<b>504.79</b>	<b>21.00 W</b>	<b>-133.13</b>	
TENGASCO, INC. / CUSIP: 88033R502 / Symbol:							
02/09/21	123.894	349.98	02/09/21	350.00	...	-0.02	Sale <sup>25</sup>
<b>Totals :</b>		<b>40,100.51</b>		<b>46,395.81</b>	<b>3,353.24 W</b>	<b>-2,942.06</b>	

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Robinhood Securities LLC

Account 122666886

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/07/21	-5.00	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/21/21	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
Margin charge		08/20/21	-5.00	Margin interest paid	
		09/20/21	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			<b>-25.00</b>	<b>Total Margin interest paid</b>	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.