

Robinhood Securities LLC

Account 642926877

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	26.05
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 02/05/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/01/21	1.000	277.98	02/01/21	362.00	...	-84.02	Option sale to close-call ²⁵
APTO 04/16/2021 PUT \$7.50 / CUSIP: / Symbol:							
04/12/21	1.000	234.98	03/24/21	175.00	...	59.98	Option sale to close-put ²⁵
CAN 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
	2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	3.000	134.98	04/12/21	150.00	...	-15.02	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	04/12/21	50.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
04/12/21	4.000	179.96	Various	200.00	...	-20.04	Total of 2 transactions
CAN 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/10/21	1.000	47.98	04/12/21	258.00	...	-210.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DMYD 04/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
04/12/21	2.000	369.98	04/05/21	240.00	...	129.98	Option sale to close-put ²⁵
DYAI 04/16/2021 PUT \$5.00 / CUSIP: / Symbol:							
04/12/21	1.000	14.98	03/22/21	50.00	...	-35.02	Option sale to close-put ²⁵
EXPR 03/19/2021 PUT \$5.50 / CUSIP: / Symbol:							
03/19/21	2.000	39.98	03/18/21	70.00	...	-30.02	Option sale to close-put ²⁵
FSR 03/05/2021 PUT \$19.50 / CUSIP: / Symbol:							
03/05/21	1.000	4.98	03/04/21	30.00	...	-25.02	Option sale to close-put ²⁵
GNLN 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
03/31/21	1.000	21.98	03/31/21	25.00	...	-3.02	Option sale to close-call ²⁵
HGEN 04/16/2021 PUT \$22.50 / CUSIP: / Symbol:							
04/12/21	1.000	619.98	03/29/21	320.00	...	299.98	Option sale to close-put ²⁵
LIVX 03/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	3.000	74.98	03/18/21	90.01	...	-15.03	1 of 2 - Option sale to close-put ²⁵
	1.000	24.98	03/18/21	30.01	...	-5.03	2 of 2 - Option sale to close-put ²⁵
03/19/21	4.000	99.96	Various	120.02	...	-20.06	Total of 2 transactions
03/19/21	4.000	99.98	03/18/21	100.00	0.02 W	0.00	Option sale to close-put ²⁵
Security total:		199.94		220.02	0.02 W	-20.06	
NVCR 05/21/2021 PUT \$105.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/13/21	260.00	...	-260.00	Option expiration- long put
NVCR 05/21/2021 PUT \$110.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/13/21	135.00	...	-135.00	Option expiration- long put
ORBC 05/21/2021 PUT \$10.00 / CUSIP: / Symbol:							
05/21/21	6.000	0.00	04/08/21	60.00	...	-60.00	Option expiration- long put
RMO 04/16/2021 PUT \$10.00 / CUSIP: / Symbol:							
04/12/21	1.000	59.98	04/06/21	70.00	...	-10.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNDL 02/12/2021 CALL \$1.50 / CUSIP: / Symbol:							
<i>7 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	149.98	02/09/21	28.00	...	121.98	1 of 7 - Option sale to close-call ²⁵
	1.000	149.98	02/09/21	28.00	...	121.98	2 of 7 - Option sale to close-call ²⁵
	1.000	149.98	02/09/21	28.00	...	121.98	3 of 7 - Option sale to close-call ²⁵
	1.000	149.98	02/09/21	28.00	...	121.98	4 of 7 - Option sale to close-call ²⁵
	1.000	149.98	02/09/21	28.00	...	121.98	5 of 7 - Option sale to close-call ²⁵
	2.000	299.98	02/09/21	56.00	...	243.98	6 of 7 - Option sale to close-call ²⁵
	3.000	449.98	02/09/21	84.00	...	365.98	7 of 7 - Option sale to close-call ²⁵
02/11/21	10.000	1,499.86	Various	280.00	...	1,219.86	Total of 7 transactions
SNDL 02/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
02/11/21	9.000	1,079.95	02/10/21	810.00	...	269.95	Option sale to close-call ²⁵
SNDL 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/11/21	1.000	64.98	02/10/21	75.00	...	-10.02	Option sale to close-call ²⁵
SPRT 04/16/2021 PUT \$5.00 / CUSIP: / Symbol:							
03/22/21	1.000	79.98	03/22/21	105.00	...	-25.02	Option sale to close-put ²⁵
STON 04/16/2021 PUT \$2.50 / CUSIP: / Symbol:							
04/12/21	1.000	69.98	03/22/21	35.00	...	34.98	Option sale to close-put ²⁵
UPST 03/19/2021 PUT \$85.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	03/18/21	260.00	...	-260.00	1 of 2 - Option expiration- long put
	1.000	0.00	03/18/21	130.00	...	-130.00	2 of 2 - Option expiration- long put
03/19/21	3.000	0.00	Various	390.00	...	-390.00	Total of 2 transactions
VLDR 04/16/2021 PUT \$10.00 / CUSIP: / Symbol:							
04/06/21	1.000	4.98	03/24/21	34.00	...	-29.02	Option sale to close-put ²⁵
WBT 05/21/2021 CALL \$22.50 / CUSIP: / Symbol:							
05/10/21	2.000	89.98	04/21/21	190.00	...	-100.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WSM 03/19/2021 PUT \$155.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/18/21	130.00	...	-130.00	1 of 2 - Option expiration- long put
	2.000	0.00	03/18/21	120.00	...	-120.00	2 of 2 - Option expiration- long put
03/19/21	4.000	0.00	Various	250.00	...	-250.00	Total of 2 transactions
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	8.51	01/27/21	16.26	7.75 W	0.00	1 of 2 - Sale ²⁵
	1.000	8.51	01/27/21	16.89	8.38 W	0.00	2 of 2 - Sale ²⁵
01/28/21	2.000	17.02	Various	33.15	16.13 W	0.00	Total of 2 transactions
4 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	65.000	590.83	01/29/21	896.27	187.96 W	-117.48	1 of 4 - Sale ²⁵
	1.000	9.09	01/29/21	21.38	12.29 W	0.00	2 of 4 - Sale ²⁵
	1.000	9.09	01/29/21	22.01	12.92 W	0.00	3 of 4 - Sale ²⁵
	48.000	436.30	01/29/21	654.24	217.94 W	0.00	4 of 4 - Sale ²⁵
02/02/21	115.000	1,045.31	Various	1,593.90	431.11 W	-117.48	Total of 4 transactions
5 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	18.000	141.66	02/24/21	260.83	...	-119.17	1 of 5 - Sale ²⁵
	1.000	7.87	02/24/21	22.87	...	-15.00	2 of 5 - Sale ²⁵
	1.000	7.87	02/24/21	22.24	...	-14.37	3 of 5 - Sale ²⁵
	40.000	314.83	02/25/21	618.36	...	-303.53	4 of 5 - Sale ²⁵
	30.000	236.12	02/25/21	463.21	...	-227.09	5 of 5 - Sale ²⁵
02/26/21	90.000	708.35	Various	1,387.51	...	-679.16	Total of 5 transactions
	Security total:	1,770.68		3,014.56	447.24 W	-796.64	
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
03/12/21	7.000	635.52	03/11/21	649.56	...	-14.04	Sale ²⁵
05/10/21	1.000	77.26	03/11/21	92.79	...	-15.53	Sale ²⁵
	Security total:	712.78		742.35	...	-29.57	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	4.000	615.99	03/11/21	632.76	...	-16.77	1 of 2 - Sale ²⁵
	1.000	154.00	03/11/21	158.19	...	-4.19	2 of 2 - Sale ²⁵
03/12/21	5.000	769.99	Various	790.95	...	-20.96	Total of 2 transactions
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K402 / Symbol:							
01/14/21	10.000	5.77	01/13/21	6.25	...	-0.48	Sale ²⁵
ACLARIS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 00461U105 / Symbol:							
01/21/21	20.000	355.20	01/20/21	454.00	...	-98.80	Sale ²⁵
AEMETIS, INC. (DE) COMMON STOCK / CUSIP: 00770K202 / Symbol:							
03/02/21	40.000	553.99	03/01/21	589.20	...	-35.21	Sale ²⁵
AEVA TECHNOLOGIES, INC. / CUSIP: 00835Q103 / Symbol:							
03/17/21	9.000	128.34	03/15/21	133.38	...	-5.04	Sale ²⁵
03/17/21	40.000	570.44	03/15/21	610.00	0.99 W	-38.57	Sale ²⁵
3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	9.37	08/09/21	15.69	...	-6.32	1 of 3 - Sale ²⁵
	3.000	28.14	08/09/21	27.78	...	0.36	2 of 3 - Sale ²⁵
	5.000	46.86	08/09/21	46.30	...	0.56	3 of 3 - Sale ²⁵
08/10/21	9.000	84.37	Various	89.77	...	-5.40	Total of 3 transactions
08/10/21	1.000	9.38	03/15/21	15.81	6.43 W	0.00	Sale ²⁵
12/15/21	0.900	7.69	08/09/21	8.33	...	-0.64	Sale ²⁵
	Security total:	800.22		857.29	7.42 W	-49.65	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
02/22/21	0.500	1,032.82	02/19/21	1,063.59	15.39 W	-15.38	Sale ²⁵
02/23/21	0.250	506.73	02/22/21	534.31	...	-27.58	Sale ²⁵
	Security total:	1,539.55		1,597.90	15.39 W	-42.96	
ANAVEX LIFE SCIENCES CORP. COMMON STOCK / CUSIP: 032797300 / Symbol:							
4 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	19.000	259.35	02/04/21	388.17	...	-128.82	1 of 4 - Sale ²⁵
	14.000	191.10	02/04/21	271.60	...	-80.50	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol: (cont'd)							
	1.000	13.65	02/04/21	25.25	...	-11.60	3 of 4 - Sale ²⁵
	1.000	13.65	02/04/21	19.40	...	-5.75	4 of 4 - Sale ²⁵
02/05/21	35.000	477.75	Various	704.42	...	-226.67	Total of 4 transactions
02/05/21	5.000	68.25	02/04/21	92.35	4.82 W	-19.28	Sale ²⁵
	Security total:	546.00		796.77	4.82 W	-245.95	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
02/11/21	0.250	6.09	02/10/21	6.75	0.66 W	0.00	Sale ²⁵
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	9.000	183.14	02/16/21	168.66	...	14.48	1 of 2 - Sale ²⁵
	21.000	427.34	02/16/21	393.54	...	33.80	2 of 2 - Sale ²⁵
02/17/21	30.000	610.48	Various	562.20	...	48.28	Total of 2 transactions
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	0.250	5.09	02/11/21	6.01	0.92 W	0.00	1 of 2 - Sale ²⁵
	0.750	15.26	02/11/21	16.06	0.80 W	0.00	2 of 2 - Sale ²⁵
02/17/21	1.000	20.35	Various	22.07	1.72 W	0.00	Total of 2 transactions
	6 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	24.000	475.92	02/18/21	523.68	...	-47.76	1 of 6 - Sale ²⁵
	21.000	416.43	02/18/21	458.21	...	-41.78	2 of 6 - Sale ²⁵
	2.000	39.66	02/18/21	43.64	...	-3.98	3 of 6 - Sale ²⁵
	2.000	39.66	02/18/21	43.64	...	-3.98	4 of 6 - Sale ²⁵
	0.750	14.87	02/18/21	17.16	...	-2.29	5 of 6 - Sale ²⁵
	0.250	4.96	02/18/21	6.37	...	-1.41	6 of 6 - Sale ²⁵
02/19/21	50.000	991.50	Various	1,092.70	...	-101.20	Total of 6 transactions
	Security total:	1,628.42		1,683.72	2.38 W	-52.92	
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	30.000	401.99	02/10/21	448.50	46.51 W	0.00	1 of 2 - Sale ²⁵
	25.000	334.99	02/11/21	358.38	23.39 W	0.00	2 of 2 - Sale ²⁵
02/12/21	55.000	736.98	Various	806.88	69.90 W	0.00	Total of 2 transactions
	3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	25.000	383.99	02/12/21	378.51	...	5.48	1 of 3 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol: (cont'd)							
	25.000	383.99	02/12/21	363.14	...	20.85	2 of 3 - Sale ²⁵
	15.000	230.39	02/12/21	203.85	...	26.54	3 of 3 - Sale ²⁵
02/17/21	65.000	998.37	Various	945.50	...	52.87	Total of 3 transactions
02/17/21	5.000	76.80	02/11/21	79.42	2.62 W	0.00	Sale ²⁵
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	30.000	533.68	02/18/21	539.69	...	-6.01	1 of 3 - Sale ²⁵
	5.000	89.40	02/18/21	92.57	...	-3.17	2 of 3 - Sale ²⁵
	25.000	446.98	02/18/21	449.75	...	-2.77	3 of 3 - Sale ²⁵
02/19/21	60.000	1,070.06	Various	1,082.01	...	-11.95	Total of 3 transactions
	Security total:	2,882.21		2,913.81	72.52 W	40.92	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
6 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	7.000	1,709.72	02/17/21	1,717.65	...	-7.93	1 of 6 - Sale ²⁵
	3.000	730.81	02/17/21	736.13	...	-5.32	2 of 6 - Sale ²⁵
	4.000	976.45	02/17/21	981.51	...	-5.06	3 of 6 - Sale ²⁵
	1.000	243.60	02/17/21	245.49	...	-1.89	4 of 6 - Sale ²⁵
	4.000	980.77	02/17/21	981.51	...	-0.74	5 of 6 - Sale ²⁵
	2.000	490.22	02/17/21	490.76	...	-0.54	6 of 6 - Sale ²⁵
02/18/21	21.000	5,131.57	Various	5,153.05	...	-21.48	Total of 6 transactions
02/19/21	5.000	1,220.52	02/17/21	1,226.89	...	-6.37	Sale ²⁵
	Security total:	6,352.09		6,379.94	...	-27.85	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/05/21	20.000	95.40	01/04/21	189.19	...	-93.79	Sale ²⁵
01/05/21	100.000	476.99	01/04/21	707.00	161.01 W	-69.00	Sale ²⁵
01/19/21	50.000	489.50	01/19/21	604.51	...	-115.01	Sale ²⁵
	Security total:	1,061.89		1,500.70	161.01 W	-277.80	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
10/29/21	0.500	141.88	08/09/21	227.98	...	-86.10	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
01/28/21	2.000	39.03	01/27/21	44.00	4.97 W	0.00	Sale ²⁵
	3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	48.000	535.42	02/12/21	618.61	...	-83.19	1 of 3 - Sale ²⁵
	30.000	334.64	02/12/21	387.00	...	-52.36	2 of 3 - Sale ²⁵
	2.000	22.31	02/12/21	30.75	...	-8.44	3 of 3 - Sale ²⁵
02/17/21	80.000	892.37	Various	1,036.36	...	-143.99	Total of 3 transactions
08/09/21	1.000	10.44	08/09/21	10.31	...	0.13	Sale ²⁵
	Security total:	941.84		1,090.67	4.97 W	-143.86	
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
02/22/21	20.000	631.48	02/19/21	639.20	...	-7.72	Sale ²⁵
BOINGO WIRELESS, INC. COMMON S TOCK / CUSIP: 09739C102 / Symbol:							
03/02/21	15.000	213.75	03/01/21	211.73	...	2.02	Sale ²⁵
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
	4 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	1.000	21.82	02/19/21	24.52	...	-2.70	1 of 4 - Sale ²⁵
	7.000	152.73	02/19/21	152.99	...	-0.26	2 of 4 - Sale ²⁵
	1.000	21.82	02/19/21	21.88	...	-0.06	3 of 4 - Sale ²⁵
	20.000	436.39	02/19/21	411.40	...	24.99	4 of 4 - Sale ²⁵
02/22/21	29.000	632.76	Various	610.79	...	21.97	Total of 4 transactions
02/22/21	2.000	43.64	02/19/21	43.75	0.06 W	-0.05	Sale ²⁵
02/23/21	1.000	16.75	02/19/21	24.58	...	-7.83	Sale ²⁵
	Security total:	693.15		679.12	0.06 W	14.09	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
	2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	3.000	308.13	02/03/21	170.40	...	137.73	1 of 2 - Sale ²⁵
	7.000	703.34	02/03/21	397.60	...	305.74	2 of 2 - Sale ²⁵
02/04/21	10.000	1,011.47	Various	568.00	...	443.47	Total of 2 transactions
02/10/21	1.000	48.90	02/08/21	67.53	...	-18.63	Sale ²⁵
	Security total:	1,060.37		635.53	...	424.84	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
01/26/21	50.000	400.75	01/25/21	440.50	...	-39.75	Sale ²⁵
CHEETAH MOBILE INC. / CUSIP: 163075104 / Symbol:							
02/05/21	100.000	368.36	02/05/21	434.00	...	-65.64	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/23/21	10.000	364.05	02/22/21	602.05	238.00 W	0.00	Sale ²⁵
	4 transactions for 02/24/21. Total proceeds and cost reported to the IRS.						
	10.000	295.59	02/24/21	577.14	281.55 W	0.00	1 of 4 - Sale ²⁵
	10.000	295.59	02/24/21	339.14	43.55 W	0.00	2 of 4 - Sale ²⁵
	10.000	353.64	02/24/21	602.50	248.86 W	0.00	3 of 4 - Sale ²⁵
	10.000	353.64	02/24/21	364.50	10.86 W	0.00	4 of 4 - Sale ²⁵
02/24/21	40.000	1,298.46	Various	1,883.28	584.82 W	0.00	Total of 4 transactions
	3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.						
	10.000	236.40	02/26/21	587.55	...	-351.15	1 of 3 - Sale ²⁵
	25.000	591.74	02/26/21	765.00	...	-173.26	2 of 3 - Sale ²⁵
	10.000	236.70	02/26/21	349.55	...	-112.85	3 of 3 - Sale ²⁵
03/04/21	45.000	1,064.84	Various	1,702.10	...	-637.26	Total of 3 transactions
05/21/21	5.000	98.95	02/26/21	153.00	...	-54.05	Sale ²⁵
	Security total:	2,826.30		4,340.43	822.82 W	-691.31	
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
02/10/21	5.000	84.10	02/08/21	87.50	...	-3.40	Sale ²⁵
COHEN & COMPANY INC / CUSIP: 19249M102 / Symbol:							
	6 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	3.000	116.10	03/03/21	169.71	...	-53.61	1 of 6 - Sale ²⁵
	4.000	154.79	03/03/21	207.72	...	-52.93	2 of 6 - Sale ²⁵
	3.000	116.10	03/03/21	150.87	...	-34.77	3 of 6 - Sale ²⁵
	1.000	38.70	03/03/21	58.88	...	-20.18	4 of 6 - Sale ²⁵
	1.000	38.70	03/03/21	56.19	...	-17.49	5 of 6 - Sale ²⁵
	1.000	38.70	03/03/21	56.19	...	-17.49	6 of 6 - Sale ²⁵
03/03/21	13.000	503.09	Various	699.56	...	-196.47	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COHEN & COMPANY INC / CUSIP: 19249M102 / Symbol: (cont'd)							
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	4.000	154.80	03/03/21	173.36	18.56 W	0.00	1 of 3 - Sale ²⁵
	4.000	154.80	03/03/21	173.36	18.56 W	0.00	2 of 3 - Sale ²⁵
	1.000	38.70	03/03/21	45.65	6.95 W	0.00	3 of 3 - Sale ²⁵
03/03/21	9.000	348.30	Various	392.37	44.07 W	0.00	Total of 3 transactions
	Security total:	851.39		1,091.93	44.07 W	-196.47	
COLLECTIVE GROWTH CORPORATION CLASS A COMMON STOCK / CUSIP: 19424L101 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	15.000	203.99	02/19/21	203.63	...	0.36	1 of 2 - Sale ²⁵
	40.000	543.98	02/19/21	541.40	...	2.58	2 of 2 - Sale ²⁵
02/22/21	55.000	747.97	Various	745.03	...	2.94	Total of 2 transactions
02/23/21	50.000	638.48	02/22/21	694.25	...	-55.77	Sale ²⁵
	Security total:	1,386.45		1,439.28	...	-52.83	
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
02/18/21	60.000	319.79	02/17/21	487.99	...	-168.20	Sale ²⁵
COOTEK (CAYMAN) INC. / CUSIP: 21718L102 / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.194	1.23	02/10/21	1.00	...	0.23	1 of 2 - Sale ²⁵
	100.000	631.97	02/11/21	546.00	...	85.97	2 of 2 - Sale ²⁵
02/17/21	100.194	633.20	Various	547.00	...	86.20	Total of 2 transactions
DOW INC. / CUSIP: 260557103 / Symbol:							
02/16/21	35.000	2,044.30	02/12/21	2,031.75	...	12.55	Sale ²⁵
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	100.000	259.98	03/01/21	324.93	...	-64.95	1 of 2 - Sale ²⁵
	200.000	519.97	03/01/21	544.00	...	-24.03	2 of 2 - Sale ²⁵
03/02/21	300.000	779.95	Various	868.93	...	-88.98	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol:							
01/07/21	2.000	422.88	01/06/21	410.49	...	12.39	Sale ²⁵
	2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.						
	2.000	369.79	02/19/21	376.19	...	-6.40	1 of 2 - Sale ²⁵
	2.000	369.79	02/19/21	373.50	...	-3.71	2 of 2 - Sale ²⁵
02/22/21	4.000	739.58	Various	749.69	...	-10.11	Total of 2 transactions
	Security total:	1,162.46		1,160.18	...	2.28	
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
03/17/21	30.000	120.00	03/16/21	134.70	...	-14.70	Sale ²⁵
EVGO INC. CLASS A COMMON STOCK / CUSIP: 30052F100 / Symbol:							
	2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.						
	1.000	10.87	08/09/21	10.72	...	0.15	1 of 2 - Sale ²⁵
	2.000	21.74	11/08/21	27.50	...	-5.76	2 of 2 - Sale ²⁵
12/15/21	3.000	32.61	Various	38.22	...	-5.61	Total of 2 transactions
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	17.000	105.80	01/27/21	100.46	...	5.34	1 of 2 - Sale ²⁵
	83.000	516.55	01/27/21	490.49	...	26.06	2 of 2 - Sale ²⁵
01/29/21	100.000	622.35	Various	590.95	...	31.40	Total of 2 transactions
	2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	50.000	311.18	01/27/21	353.48	42.30 W	0.00	1 of 2 - Sale ²⁵
	25.000	155.59	01/27/21	191.66	36.07 W	0.00	2 of 2 - Sale ²⁵
01/29/21	75.000	466.77	Various	545.14	78.37 W	0.00	Total of 2 transactions
	4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	50.000	156.50	02/24/21	203.68	...	-47.18	1 of 4 - Sale ²⁵
	25.000	78.25	02/24/21	116.76	...	-38.51	2 of 4 - Sale ²⁵
	50.000	156.51	02/24/21	184.50	...	-27.99	3 of 4 - Sale ²⁵
	25.000	78.25	02/24/21	80.68	...	-2.43	4 of 4 - Sale ²⁵
02/25/21	150.000	469.51	Various	585.62	...	-116.11	Total of 4 transactions
	Security total:	1,558.63		1,721.71	78.37 W	-84.71	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FIREEYE, INC. COMMON STOCK / CUSIP: 31816Q101 / Symbol:							
01/06/21	25.000	545.36	12/21/20	512.00	...	33.36	Sale ²⁵
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	4.000	353.59	02/19/21	372.28	...	-18.69	1 of 3 - Sale ²⁵
	2.000	176.79	02/19/21	187.16	...	-10.37	2 of 3 - Sale ²⁵
	1.000	88.40	02/19/21	93.04	...	-4.64	3 of 3 - Sale ²⁵
02/22/21	7.000	618.78	Various	652.48	...	-33.70	Total of 3 transactions
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	19.51	02/12/21	18.85	...	0.66	1 of 2 - Sale ²⁵
	24.000	468.24	02/12/21	452.40	...	15.84	2 of 2 - Sale ²⁵
02/16/21	25.000	487.75	Various	471.25	...	16.50	Total of 2 transactions
02/25/21	25.000	532.66	02/24/21	493.25	...	39.41	Sale ²⁵
02/25/21	15.000	319.60	02/24/21	334.50	14.90 W	0.00	Sale ²⁵
3 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	15.000	360.00	02/26/21	405.77	...	-45.77	1 of 3 - Sale ²⁵
	20.000	479.99	03/01/21	530.40	...	-50.41	2 of 3 - Sale ²⁵
	5.000	120.00	03/01/21	152.82	...	-32.82	3 of 3 - Sale ²⁵
03/04/21	40.000	959.99	Various	1,088.99	...	-129.00	Total of 3 transactions
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	10.000	240.00	02/26/21	280.45	20.23 W	-20.22	1 of 2 - Sale ²⁵
	5.000	120.00	02/26/21	140.22	20.22 W	0.00	2 of 2 - Sale ²⁵
03/04/21	15.000	360.00	Various	420.67	40.45 W	-20.22	Total of 2 transactions
05/21/21	5.000	61.96	03/01/21	152.83	...	-90.87	Sale ²⁵
Security total:		2,721.96		2,961.49	55.35 W	-184.18	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
01/14/21	15.000	89.63	01/13/21	114.75	25.12 W	0.00	Sale ²⁵
01/20/21	35.000	329.57	01/19/21	335.30	...	-5.73	Sale ²⁵
01/20/21	15.000	141.25	01/19/21	168.82	9.19 W	-18.38	Sale ²⁵
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	8.000	66.16	01/19/21	76.64	...	-10.48	1 of 2 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol: (cont'd)							
	2.000	16.58	01/19/21	19.16	...	-2.58	2 of 2 - Sale ²⁵
01/21/21	10.000	82.74	Various	95.80	...	-13.06	Total of 2 transactions
02/10/21	5.000	53.40	02/08/21	57.98	...	-4.58	Sale ²⁵
	Security total:	696.59		772.65	34.31 W	-41.75	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	131.85	02/03/21	109.12	...	22.73	1 of 2 - Sale ²⁵
	35.000	923.62	02/03/21	763.88	...	159.74	2 of 2 - Sale ²⁵
02/11/21	40.000	1,055.47	Various	873.00	...	182.47	Total of 2 transactions
02/22/21	40.000	795.98	02/19/21	795.20	...	0.78	Sale ²⁵
08/10/21	1.000	7.46	08/09/21	7.36	...	0.10	Sale ²⁵
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	5.000	31.35	10/29/21	39.49	...	-8.14	1 of 2 - Sale ²⁵
	8.000	50.17	11/08/21	87.72	...	-37.55	2 of 2 - Sale ²⁵
12/15/21	13.000	81.52	Various	127.21	...	-45.69	Total of 2 transactions
12/15/21	9.000	56.44	08/09/21	66.19	0.11 W	-9.64	Sale ²⁵
	Security total:	1,996.87		1,868.96	0.11 W	128.02	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	4.000	1,075.97	01/27/21	1,100.00	24.03 W	0.00	1 of 3 - Sale ²⁵
	1.000	268.99	01/27/21	316.01	47.02 W	0.00	2 of 3 - Sale ²⁵
	1.000	268.99	01/27/21	341.01	72.02 W	0.00	3 of 3 - Sale ²⁵
01/27/21	6.000	1,613.95	Various	1,757.02	143.07 W	0.00	Total of 3 transactions
01/28/21	1.000	337.00	01/27/21	303.61	...	33.39	Sale ²⁵
01/28/21	1.000	301.12	01/27/21	303.60	2.48 W	0.00	Sale ²⁵
9 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	125.15	01/27/21	344.62	219.47 W	0.00	1 of 9 - Sale ²⁵
	1.000	125.15	01/27/21	369.62	244.47 W	0.00	2 of 9 - Sale ²⁵
	2.000	250.29	01/27/21	595.20	344.91 W	0.00	3 of 9 - Sale ²⁵
	1.000	125.15	01/29/21	389.23	264.08 W	0.00	4 of 9 - Sale ²⁵
	1.000	125.14	02/01/21	246.30	121.16 W	0.00	5 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	1.000	112.34	02/02/21	352.40	240.06 W	0.00	6 of 9 - Sale ²⁵
	1.000	112.34	02/02/21	378.42	266.08 W	0.00	7 of 9 - Sale ²⁵
	1.000	112.34	02/02/21	305.95	193.61 W	0.00	8 of 9 - Sale ²⁵
	1.000	112.34	02/02/21	305.19	192.85 W	0.00	9 of 9 - Sale ²⁵
02/02/21	10.000	1,200.24	Various	3,286.93	2,086.69 W	0.00	Total of 9 transactions
	5 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	1.000	89.27	02/03/21	369.68	280.41 W	0.00	1 of 5 - Sale ²⁵
	1.000	89.27	02/03/21	350.56	261.29 W	0.00	2 of 5 - Sale ²⁵
	1.000	89.27	02/03/21	231.66	142.39 W	0.00	3 of 5 - Sale ²⁵
	1.000	89.27	02/03/21	377.76	288.49 W	0.00	4 of 5 - Sale ²⁵
	1.000	89.26	02/03/21	305.29	216.03 W	0.00	5 of 5 - Sale ²⁵
02/04/21	5.000	446.34	Various	1,634.95	1,188.61 W	0.00	Total of 5 transactions
02/11/21	1.000	50.18	02/10/21	253.71	203.53 W	0.00	Sale ²⁵
	3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	2.000	208.00	02/24/21	183.42	...	24.58	1 of 3 - Sale ²⁵
	1.000	134.30	02/24/21	78.16	...	56.14	2 of 3 - Sale ²⁵
	3.000	402.88	02/24/21	234.48	...	168.40	3 of 3 - Sale ²⁵
02/25/21	6.000	745.18	Various	496.06	...	249.12	Total of 3 transactions
	13 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	1.000	134.30	02/24/21	337.29	202.99 W	0.00	1 of 13 - Sale ²⁵
	1.000	134.30	02/24/21	364.49	230.19 W	0.00	2 of 13 - Sale ²⁵
	1.000	134.30	02/24/21	292.02	157.72 W	0.00	3 of 13 - Sale ²⁵
	1.000	134.30	02/24/21	356.40	222.10 W	0.00	4 of 13 - Sale ²⁵
	1.000	134.30	02/24/21	218.38	84.08 W	0.00	5 of 13 - Sale ²⁵
	1.000	134.30	02/24/21	281.69	147.39 W	0.00	6 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	294.70	190.70 W	0.00	7 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	321.90	217.90 W	0.00	8 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	249.43	145.43 W	0.00	9 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	239.10	135.10 W	0.00	10 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	313.81	209.81 W	0.00	11 of 13 - Sale ²⁵
	1.000	104.00	02/24/21	175.79	71.79 W	0.00	12 of 13 - Sale ²⁵
	2.000	207.99	02/24/21	244.02	36.03 W	0.00	13 of 13 - Sale ²⁵
02/25/21	14.000	1,637.79	Various	3,689.02	2,051.23 W	0.00	Total of 13 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
02/26/21	5.000	536.78	02/26/21	657.55	...	-120.77	Sale ²⁵
	9 transactions for 02/26/21. Total proceeds and cost reported to the IRS.						
	1.000	107.36	02/25/21	300.58	193.22 W	0.00	1 of 9 - Sale ²⁵
	1.000	107.36	02/25/21	327.78	220.42 W	0.00	2 of 9 - Sale ²⁵
	1.000	107.36	02/25/21	255.31	147.95 W	0.00	3 of 9 - Sale ²⁵
	1.000	107.36	02/25/21	244.98	137.62 W	0.00	4 of 9 - Sale ²⁵
	1.000	107.36	02/25/21	319.69	212.33 W	0.00	5 of 9 - Sale ²⁵
	5.000	536.77	02/26/21	634.43	39.06 W	-58.60	6 of 9 - Sale ²⁵
	1.000	107.36	02/26/21	194.79	87.43 W	0.00	7 of 9 - Sale ²⁵
	2.000	214.71	02/26/21	282.03	67.32 W	0.00	8 of 9 - Sale ²⁵
	2.000	214.71	02/26/21	246.00	31.29 W	0.00	9 of 9 - Sale ²⁵
02/26/21	15.000	1,610.35	Various	2,805.59	1,136.64 W	-58.60	Total of 9 transactions
	8 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	1.000	118.45	03/01/21	341.15	...	-222.70	1 of 8 - Sale ²⁵
	1.000	118.45	03/01/21	313.96	...	-195.51	2 of 8 - Sale ²⁵
	1.000	118.45	03/02/21	343.11	...	-224.66	3 of 8 - Sale ²⁵
	1.000	118.45	03/02/21	278.73	...	-160.28	4 of 8 - Sale ²⁵
	1.000	118.45	03/02/21	268.40	...	-149.95	5 of 8 - Sale ²⁵
	1.000	118.45	03/02/21	218.21	...	-99.76	6 of 8 - Sale ²⁵
	1.000	118.45	03/02/21	165.44	...	-46.99	7 of 8 - Sale ²⁵
	1.000	118.44	03/02/21	164.44	...	-46.00	8 of 8 - Sale ²⁵
03/03/21	8.000	947.59	Various	2,093.44	...	-1,145.85	Total of 8 transactions
03/09/21	2.000	504.50	03/09/21	537.61	...	-33.11	Sale ²⁵
03/11/21	2.000	489.00	03/10/21	622.64	...	-133.64	Sale ²⁵
	Security total:	10,420.02		18,441.73	6,812.25 W	-1,209.46	
GAUCHO GROUP HOLDINGS, INC. CO MMON STOCK / CUSIP: 36809R206 / Symbol:							
02/18/21	1.000	5.40	02/17/21	7.05	...	-1.65	Sale ²⁵
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
01/13/21	90.000	78.02	01/12/21	79.58	1.56 W	0.00	Sale ²⁵
01/19/21	10.000	9.19	01/12/21	8.84	...	0.35	Sale ²⁵
01/19/21	90.000	82.71	01/14/21	82.87	0.16 W	0.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol: (cont'd)							
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	190.000	220.38	01/25/21	244.15	...	-23.77	1 of 3 - Sale ²⁵
	60.000	69.59	01/25/21	77.21	...	-7.62	2 of 3 - Sale ²⁵
	30.000	34.80	01/25/21	35.90	...	-1.10	3 of 3 - Sale ²⁵
01/26/21	280.000	324.77	Various	357.26	...	-32.49	Total of 3 transactions
	Security total:	494.69		528.55	1.72 W	-32.14	
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
01/13/21	1.000	48.19	12/15/20	32.97	...	15.22	Sale ²⁵
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	4.000	203.23	12/15/20	131.86	...	71.37	1 of 2 - Sale ²⁵
	12.000	609.70	01/06/21	550.32	...	59.38	2 of 2 - Sale ²⁵
01/14/21	16.000	812.93	Various	682.18	...	130.75	Total of 2 transactions
01/14/21	2.000	101.62	01/12/21	102.60	0.98 W	0.00	Sale ²⁵
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	97.24	01/14/21	102.68	5.44 W	0.00	1 of 2 - Sale ²⁵
	14.000	680.66	01/14/21	711.90	31.24 W	0.00	2 of 2 - Sale ²⁵
01/15/21	16.000	777.90	Various	814.58	36.68 W	0.00	Total of 2 transactions
4 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	2.000	103.08	01/14/21	101.70	...	1.38	1 of 4 - Sale ²⁵
	14.000	721.53	01/19/21	744.75	...	-23.22	2 of 4 - Sale ²⁵
	2.000	103.08	01/19/21	107.37	...	-4.29	3 of 4 - Sale ²⁵
	1.000	51.54	01/19/21	50.97	...	0.57	4 of 4 - Sale ²⁵
01/25/21	19.000	979.23	Various	1,004.79	...	-25.56	Total of 4 transactions
01/28/21	1.000	45.30	01/19/21	50.96	...	-5.66	Sale ²⁵
	Security total:	2,765.17		2,688.08	37.66 W	114.75	
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol:							
02/10/21	30.000	353.84	02/09/21	411.90	...	-58.06	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOLICITY INC. CLASS A COMMON S TOCK / CUSIP: 435063102 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	16.000	265.12	02/03/21	275.20	...	-10.08	1 of 2 - Sale ²⁵
	4.000	66.24	02/03/21	68.80	...	-2.56	2 of 2 - Sale ²⁵
02/04/21	20.000	331.36	Various	344.00	...	-12.64	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	35.000	171.56	02/03/21	159.49	...	12.07	1 of 4 - Sale ²⁵
	45.000	220.57	02/04/21	205.20	...	15.37	2 of 4 - Sale ²⁵
	95.000	465.66	02/10/21	475.85	...	-10.19	3 of 4 - Sale ²⁵
	5.000	24.58	02/10/21	25.04	...	-0.46	4 of 4 - Sale ²⁵
02/11/21	180.000	882.37	Various	865.58	...	16.79	Total of 4 transactions
IMMUNOME, INC. COMMON STOCK / CUSIP: 45257U108 / Symbol:							
02/19/21	25.000	928.76	02/18/21	1,120.00	...	-191.24	Sale ²⁵
ISHARES GLOBAL CLEAN ENERGY ET F / CUSIP: 464288224 / Symbol:							
3 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	20.000	556.98	02/19/21	568.80	...	-11.82	1 of 3 - Sale ²⁵
	5.000	139.25	02/19/21	142.60	...	-3.35	2 of 3 - Sale ²⁵
	1.000	27.85	02/19/21	28.67	...	-0.82	3 of 3 - Sale ²⁵
02/22/21	26.000	724.08	Various	740.07	...	-15.99	Total of 3 transactions
ISUN, INC. COMMON STOCK / CUSIP: 465246106 / Symbol:							
03/17/21	10.000	143.50	03/16/21	176.50	...	-33.00	Sale ²⁵
KALVISTA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483497103 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	200.14	02/09/21	188.03	...	12.11	1 of 2 - Sale ²⁵
	10.000	400.29	02/09/21	374.02	...	26.27	2 of 2 - Sale ²⁵
02/11/21	15.000	600.43	Various	562.05	...	38.38	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
<i>4 transactions for 02/26/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	184.00	02/24/21	183.57	...	0.43	1 of 4 - Sale ²⁵
	5.000	97.52	02/24/21	91.79	...	5.73	2 of 4 - Sale ²⁵
	5.000	104.33	02/24/21	91.79	...	12.54	3 of 4 - Sale ²⁵
	10.000	184.00	02/25/21	343.70	...	-159.70	4 of 4 - Sale ²⁵
02/26/21	30.000	569.85	Various	710.85	...	-141.00	Total of 4 transactions
LIXTE BIOTECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 539319202 / Symbol:							
03/01/21	40.000	180.00	02/26/21	251.19	...	-71.19	Sale ²⁵
LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol:							
02/17/21	30.000	719.98	02/12/21	748.80	...	-28.82	Sale ²⁵
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
11/03/21	3.000	104.40	10/29/21	113.66	9.26 W	0.00	Sale ²⁵
<i>2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	78.97	11/01/21	82.45	...	-3.48	1 of 2 - Sale ²⁵
	1.000	39.49	11/01/21	38.14	...	1.35	2 of 2 - Sale ²⁵
12/15/21	3.000	118.46	Various	120.59	...	-2.13	Total of 2 transactions
12/15/21	1.000	39.49	10/29/21	40.86	0.14 W	-1.23	Sale ²⁵
	Security total:	262.35		275.11	9.40 W	-3.36	
LUMINAR TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
<i>3 transactions for 01/04/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	471.91	12/22/20	646.36	...	-174.45	1 of 3 - Sale ²⁵
	10.000	314.62	12/22/20	430.91	...	-116.29	2 of 3 - Sale ²⁵
	5.000	157.30	12/22/20	193.35	...	-36.05	3 of 3 - Sale ²⁵
01/04/21	30.000	943.83	Various	1,270.62	...	-326.79	Total of 3 transactions
MANNKIND CORPORATION COMMON STOCK / CUSIP: 56400P706 / Symbol:							
<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	22.44	02/03/21	25.40	...	-2.96	1 of 2 - Sale ²⁵
	1.000	5.61	02/03/21	6.40	...	-0.79	2 of 2 - Sale ²⁵
02/04/21	5.000	28.05	Various	31.80	...	-3.75	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
02/22/21	12.000	450.12	02/19/21	542.22	...	-92.10	Sale ²⁵
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
01/19/21	70.000	111.99	01/13/21	115.50	...	-3.51	Sale ²⁵
MCAFEE CORP. CLASS A COMMON STOCK / CUSIP: 579063108 / Symbol:							
02/25/21	25.000	500.00	02/24/21	522.00	...	-22.00	Sale ²⁵
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	0.010	2.88	03/10/21	2.35	...	0.53	1 of 3 - Sale ²⁵
	0.990	285.14	03/10/21	232.18	...	52.96	2 of 3 - Sale ²⁵
	0.002	0.63	06/11/21	0.56	...	0.07	3 of 3 - Sale ²⁵
08/09/21	1.002	288.65	Various	235.09	...	53.56	Total of 3 transactions
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	5.000	99.40	02/08/21	76.74	...	22.66	1 of 3 - Sale ²⁵
	5.000	100.15	02/10/21	70.20	...	29.95	2 of 3 - Sale ²⁵
	25.000	496.98	02/10/21	351.00	...	145.98	3 of 3 - Sale ²⁵
02/11/21	35.000	696.53	Various	497.94	...	198.59	Total of 3 transactions
02/19/21	24.000	489.12	02/18/21	487.20	...	1.92	Sale ²⁵
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	10.000	192.00	02/18/21	203.00	11.00 W	0.00	1 of 2 - Sale ²⁵
	15.000	287.85	02/18/21	304.50	16.65 W	0.00	2 of 2 - Sale ²⁵
02/19/21	25.000	479.85	Various	507.50	27.65 W	0.00	Total of 2 transactions
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	17.41	02/18/21	20.30	2.89 W	0.00	1 of 3 - Sale ²⁵
	5.000	87.05	02/22/21	103.70	16.65 W	0.00	2 of 3 - Sale ²⁵
	10.000	174.10	02/22/21	207.30	33.20 W	0.00	3 of 3 - Sale ²⁵
02/23/21	16.000	278.56	Various	331.30	52.74 W	0.00	Total of 3 transactions
5 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	10.000	173.40	02/24/21	222.00	...	-48.60	1 of 5 - Sale ²⁵
	24.000	416.15	02/24/21	453.12	...	-36.97	2 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	10.000	173.40	02/24/21	199.90	...	-26.50	3 of 5 - Sale ²⁵
	5.000	86.70	02/24/21	111.05	...	-24.35	4 of 5 - Sale ²⁵
	1.000	17.34	02/24/21	21.77	...	-4.43	5 of 5 - Sale ²⁵
02/25/21	50.000	866.99	Various	1,007.84	...	-140.85	Total of 5 transactions
	Security total:	2,811.05		2,831.78	80.39 W	59.66	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
10/29/21	0.500	169.83	08/09/21	218.54	...	-48.71	Sale ²⁵
MORPHIC HOLDING, INC. COMMON S TOCK / CUSIP: 61775R105 / Symbol:							
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	77.03	03/01/21	53.95	...	23.08	1 of 3 - Sale ²⁵
	3.000	231.09	03/01/21	161.85	...	69.24	2 of 3 - Sale ²⁵
	4.000	308.11	03/01/21	228.00	...	80.11	3 of 3 - Sale ²⁵
03/02/21	8.000	616.23	Various	443.80	...	172.43	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/23/21	10.000	469.00	02/22/21	542.60	...	-73.60	Sale ²⁵
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	3.000	106.54	03/26/21	108.20	...	-1.66	1 of 2 - Sale ²⁵
	2.000	71.03	03/26/21	72.10	...	-1.07	2 of 2 - Sale ²⁵
04/16/21	5.000	177.57	Various	180.30	...	-2.73	Total of 2 transactions
05/21/21	10.000	343.00	03/26/21	360.65	...	-17.65	Sale ²⁵
	Security total:	989.57		1,083.55	...	-93.98	
NANOVIRICIDES INC. / CUSIP: 630087302 / Symbol:							
03/03/21	100.000	629.98	03/02/21	757.00	...	-127.02	Sale ²⁵
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
01/06/21	2.000	17.00	12/30/20	18.86	...	-1.86	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	5.000	389.75	02/19/21	397.43	...	-7.68	1 of 2 - Sale ²⁵
	2.000	155.84	02/19/21	158.97	...	-3.13	2 of 2 - Sale ²⁵
02/22/21	7.000	545.59	Various	556.40	...	-10.81	Total of 2 transactions
9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol:							
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	73.000	205.93	02/19/21	262.80	...	-56.87	1 of 3 - Sale ²⁵
	52.000	146.70	02/19/21	187.20	...	-40.50	2 of 3 - Sale ²⁵
	25.000	70.52	02/19/21	90.00	...	-19.48	3 of 3 - Sale ²⁵
02/19/21	150.000	423.15	Various	540.00	...	-116.85	Total of 3 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
01/14/21	80.000	75.79	01/13/21	76.55	...	-0.76	Sale ²⁵
OCEAN POWER TECHNOLOGIES, INC. / CUSIP: 674870506 / Symbol:							
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	80.000	417.58	01/25/21	511.02	...	-93.44	1 of 2 - Sale ²⁵
	40.000	208.79	01/25/21	276.80	...	-68.01	2 of 2 - Sale ²⁵
01/26/21	120.000	626.37	Various	787.82	...	-161.45	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/19/21	40.000	94.41	01/13/21	80.00	...	14.41	Sale ²⁵
01/19/21	35.000	82.62	01/13/21	89.25	6.63 W	0.00	Sale ²⁵
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	35.000	97.12	02/02/21	113.03	15.91 W	0.00	1 of 2 - Sale ²⁵
	65.000	180.37	02/02/21	197.60	17.23 W	0.00	2 of 2 - Sale ²⁵
02/03/21	100.000	277.49	Various	310.63	33.14 W	0.00	Total of 2 transactions
9 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	9.000	124.38	02/04/21	32.62	...	91.76	1 of 9 - Sale ²⁵
	25.000	345.49	02/04/21	88.88	...	256.61	2 of 9 - Sale ²⁵
	26.000	359.31	02/04/21	95.02	...	264.29	3 of 9 - Sale ²⁵
	59.000	815.35	02/04/21	188.80	...	626.55	4 of 9 - Sale ²⁵
	65.000	898.27	02/04/21	225.23	...	673.04	5 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	75.000	1,036.47	02/05/21	363.75	...	672.72	6 of 9 - Sale ²⁵
	30.000	455.99	02/08/21	515.70	...	-59.71	7 of 9 - Sale ²⁵
	15.000	227.99	02/08/21	253.63	...	-25.64	8 of 9 - Sale ²⁵
	5.000	76.00	02/08/21	69.10	...	6.90	9 of 9 - Sale ²⁵
02/08/21	309.000	4,339.25	Various	1,832.73	...	2,506.52	Total of 9 transactions
02/08/21	50.000	759.97	02/08/21	832.48	59.46 W	-13.05	Sale ²⁵
02/11/21	1.000	9.71	02/10/21	12.00	...	-2.29	Sale ²⁵
03/04/21	40.000	408.40	03/03/21	612.21	...	-203.81	Sale ²⁵
	Security total:	5,971.85		3,769.30	99.23 W	2,301.78	
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
01/14/21	120.000	79.85	01/13/21	80.79	...	-0.94	Sale ²⁵
ONCTERNAL THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68236P107 / Symbol:							
03/16/21	40.000	322.40	03/15/21	319.91	...	2.49	Sale ²⁵
ONCORUS, INC. COMMON STOCK / CUSIP: 68236R103 / Symbol:							
4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	14.000	232.37	02/16/21	249.62	...	-17.25	1 of 4 - Sale ²⁵
	6.000	99.59	02/16/21	107.70	...	-8.11	2 of 4 - Sale ²⁵
	5.000	82.99	02/16/21	90.00	...	-7.01	3 of 4 - Sale ²⁵
	5.000	82.99	02/16/21	89.15	...	-6.16	4 of 4 - Sale ²⁵
02/17/21	30.000	497.94	Various	536.47	...	-38.53	Total of 4 transactions
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	60.000	54.64	01/14/21	81.86	...	-27.22	1 of 2 - Sale ²⁵
	35.000	31.88	01/14/21	37.10	...	-5.22	2 of 2 - Sale ²⁵
01/19/21	95.000	86.52	Various	118.96	...	-32.44	Total of 2 transactions
01/19/21	100.000	91.07	01/14/21	106.00	8.96 W	-5.97	Sale ²⁵
	Security total:	177.59		224.96	8.96 W	-38.41	
ORGANIGRAM HOLDINGS INC. COMMON SHARES / CUSIP: 68620P101 / Symbol:							
02/11/21	100.000	486.10	02/10/21	628.00	...	-141.90	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLIANT THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 729139105 / Symbol:							
03/02/21	10.000	350.00	03/01/21	387.35	...	-37.35	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	5.000	331.62	12/22/20	173.88	...	157.74	1 of 4 - Sale ²⁵
	14.000	928.52	01/06/21	494.55	...	433.97	2 of 4 - Sale ²⁵
	5.000	331.62	01/07/21	225.64	...	105.98	3 of 4 - Sale ²⁵
	6.000	397.94	01/12/21	381.75	...	16.19	4 of 4 - Sale ²⁵
01/13/21	30.000	1,989.70	Various	1,275.82	...	713.88	Total of 4 transactions
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	124.22	01/13/21	130.92	6.70 W	0.00	1 of 2 - Sale ²⁵
	26.000	1,614.82	01/13/21	1,766.18	151.36 W	0.00	2 of 2 - Sale ²⁵
01/15/21	28.000	1,739.04	Various	1,897.10	158.06 W	0.00	Total of 2 transactions
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	9.000	611.17	01/21/21	599.37	...	11.80	1 of 2 - Sale ²⁵
	2.000	135.82	01/21/21	123.84	...	11.98	2 of 2 - Sale ²⁵
01/25/21	11.000	746.99	Various	723.21	...	23.78	Total of 2 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	2.000	140.27	01/13/21	142.56	2.29 W	0.00	1 of 3 - Sale ²⁵
	8.000	543.27	01/19/21	563.69	5.11 W	-15.31	2 of 3 - Sale ²⁵
	8.000	561.06	01/19/21	563.69	2.63 W	0.00	3 of 3 - Sale ²⁵
01/25/21	18.000	1,244.60	Various	1,269.94	10.03 W	-15.31	Total of 3 transactions
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	65.61	01/21/21	66.60	...	-0.99	1 of 3 - Sale ²⁵
	8.000	524.86	01/27/21	538.31	...	-13.45	2 of 3 - Sale ²⁵
	2.000	131.22	01/27/21	139.03	...	-7.81	3 of 3 - Sale ²⁵
01/28/21	11.000	721.69	Various	743.94	...	-22.25	Total of 3 transactions
12/15/21	2.990	89.33	08/09/21	85.56	...	3.77	Sale ²⁵
	Security total:	6,531.35		5,995.57	168.09 W	703.87	
PROCURE ETF TRUST II PROCURE S PACE ETF / CUSIP: 74280R205 / Symbol:							
02/23/21	10.000	290.10	02/22/21	307.45	...	-17.35	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	6.000	324.47	01/05/21	370.58	...	-46.11	1 of 2 - Sale ²⁵
	6.000	324.47	01/05/21	330.24	...	-5.77	2 of 2 - Sale ²⁵
01/11/21	12.000	648.94	Various	700.82	...	-51.88	Total of 2 transactions
02/16/21	10.000	519.98	02/12/21	519.50	...	0.48	Sale ²⁵
02/19/21	15.000	985.92	02/18/21	955.88	...	30.04	Sale ²⁵
02/23/21	10.000	592.28	02/22/21	649.20	56.92 W	0.00	Sale ²⁵
03/10/21	9.000	477.45	03/10/21	551.75	74.30 W	0.00	Sale ²⁵
03/16/21	1.000	61.34	03/10/21	61.31	...	0.03	Sale ²⁵
03/16/21	9.000	552.06	03/15/21	657.97	105.91 W	0.00	Sale ²⁵
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	1.000	29.87	03/15/21	64.85	...	-34.98	1 of 2 - Sale ²⁵
	5.000	149.35	03/31/21	286.34	...	-136.99	2 of 2 - Sale ²⁵
05/10/21	6.000	179.22	Various	351.19	...	-171.97	Total of 2 transactions
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	4.000	108.01	03/31/21	229.07	...	-121.06	1 of 2 - Sale ²⁵
	1.000	27.00	03/31/21	45.50	...	-18.50	2 of 2 - Sale ²⁵
05/21/21	5.000	135.01	Various	274.57	...	-139.56	Total of 2 transactions
12/15/21	10.000	234.63	08/09/21	231.80	...	2.83	Sale ²⁵
12/15/21	2.000	46.92	11/08/21	76.63	1.49 W	-28.22	Sale ²⁵
Security total:		4,433.75		5,030.62	238.62 W	-358.25	

REVOLUTION ACCELERATION ACQUISITION CORP CLASS A COMMON STOCK / CUSIP: 76156P106 / Symbol:

2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	15.000	190.50	02/24/21	189.15	...	1.35	1 of 2 - Sale ²⁵
	50.000	634.98	02/24/21	628.50	...	6.48	2 of 2 - Sale ²⁵
02/25/21	65.000	825.48	Various	817.65	...	7.83	Total of 2 transactions

ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:

03/11/21	5.000	360.80	03/10/21	322.50	...	38.30	Sale ²⁵
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	71.52	03/10/21	67.00	...	4.52	1 of 3 - Sale ²⁵
	5.000	357.60	03/10/21	322.50	...	35.10	2 of 3 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol: (cont'd)							
	8.000	572.15	03/10/21	536.00	...	36.15	3 of 3 - Sale ²⁵
03/12/21	14.000	1,001.27	Various	925.50	...	75.77	Total of 3 transactions
05/10/21	1.000	65.90	03/10/21	67.00	...	-1.10	Sale ²⁵
	Security total:	1,427.97		1,315.00	...	112.97	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	10.000	326.89	03/03/21	387.89	...	-61.00	1 of 2 - Sale ²⁵
	10.000	326.90	03/03/21	381.85	...	-54.95	2 of 2 - Sale ²⁵
03/03/21	20.000	653.79	Various	769.74	...	-115.95	Total of 2 transactions
RODGERS SILICON VALLEY ACQUISITION CORP. COMMON STOCK / CUSIP: 774873103 / Symbol:							
02/23/21	25.000	450.00	02/22/21	605.24	...	-155.24	Sale ²⁵
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
01/07/21	5.000	105.44	01/06/21	106.96	...	-1.52	Sale ²⁵
RUBIUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78116T103 / Symbol:							
03/01/21	25.000	247.75	02/26/21	228.84	...	18.91	Sale ²⁵
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	10.000	283.99	03/15/21	325.60	...	-41.61	1 of 2 - Sale ²⁵
	10.000	284.00	03/15/21	302.43	...	-18.43	2 of 2 - Sale ²⁵
03/16/21	20.000	567.99	Various	628.03	...	-60.04	Total of 2 transactions
	Security total:	815.74		856.87	...	-41.13	
SPDR GOLD TRUST, SPDR GOLD SHARES / CUSIP: 78463V107 / Symbol: / Note: CL							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	20.000	3,372.72	02/22/21	3,384.40	...	-11.68	1 of 2 - Sale ²⁵
	5.000	843.18	02/22/21	846.95	...	-3.77	2 of 2 - Sale ²⁵
02/23/21	25.000	4,215.90	Various	4,231.35	...	-15.45	3- Proceeds from collectibles [X] Total of 2 transactions
SAVARA INC. COMMON STOCK / CUSIP: 805111101 / Symbol:							
03/16/21	150.000	292.48	03/16/21	513.00	...	-220.52	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
02/22/21	120.000	556.77	02/19/21	586.20	...	-29.43	Sale ²⁵
SENSUS HEALTHCARE, INC. COMMON STOCK / CUSIP: 81728J109 / Symbol:							
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	50.000	241.00	02/26/21	267.50	...	-26.50	1 of 2 - Sale ²⁵
	50.000	241.01	02/26/21	256.00	...	-14.99	2 of 2 - Sale ²⁵
03/01/21	100.000	482.01	Various	523.50	...	-41.49	Total of 2 transactions
SHAW COMMUNICATIONS INC. / CUSIP: 82028K200 / Symbol:							
03/16/21	10.000	266.50	03/15/21	275.50	...	-9.00	Sale ²⁵
SIGMA LABS, INC. COMMON STOCK / CUSIP: 826598500 / Symbol:							
4 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	30.000	187.49	03/15/21	201.90	...	-14.41	1 of 4 - Sale ²⁵
	20.000	125.00	03/15/21	121.51	...	3.49	2 of 4 - Sale ²⁵
	20.000	125.00	03/15/21	120.60	...	4.40	3 of 4 - Sale ²⁵
	30.000	187.49	03/15/21	183.00	...	4.49	4 of 4 - Sale ²⁵
03/16/21	100.000	624.98	Various	627.01	...	-2.03	Total of 4 transactions
SOCKET MOBILE, INC. COMMON STOCK / CUSIP: 83368E200 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	20.000	491.22	02/16/21	488.59	...	2.63	1 of 2 - Sale ²⁵
	100.000	2,456.11	02/16/21	1,013.00	...	1,443.11	2 of 2 - Sale ²⁵
02/16/21	120.000	2,947.33	Various	1,501.59	...	1,445.74	Total of 2 transactions
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	20.000	491.22	02/16/21	500.00	8.78 W	0.00	1 of 2 - Sale ²⁵
	20.000	491.23	02/16/21	650.00	158.77 W	0.00	2 of 2 - Sale ²⁵
02/16/21	40.000	982.45	Various	1,150.00	167.55 W	0.00	Total of 2 transactions
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	19.000	293.35	02/16/21	490.94	197.59 W	0.00	1 of 3 - Sale ²⁵
	20.000	308.79	02/16/21	666.77	357.98 W	0.00	2 of 3 - Sale ²⁵
	1.000	15.44	02/16/21	25.84	10.40 W	0.00	3 of 3 - Sale ²⁵
02/17/21	40.000	617.58	Various	1,183.55	565.97 W	0.00	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol: (cont'd)							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	1.000	12.68	02/17/21	27.38	14.70 W	0.00	1 of 3 - Sale ²⁵
	19.000	241.01	02/17/21	520.19	279.18 W	0.00	2 of 3 - Sale ²⁵
	20.000	253.69	02/17/21	697.56	443.87 W	0.00	3 of 3 - Sale ²⁵
02/18/21	40.000	507.38	Various	1,245.13	737.75 W	0.00	Total of 3 transactions
8 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	20.000	232.60	02/24/21	659.66	...	-427.06	1 of 8 - Sale ²⁵
	8.000	93.04	02/24/21	200.90	...	-107.86	2 of 8 - Sale ²⁵
	6.000	69.72	02/24/21	173.06	...	-103.34	3 of 8 - Sale ²⁵
	14.000	162.81	02/24/21	209.86	...	-47.05	4 of 8 - Sale ²⁵
	10.000	116.30	02/24/21	135.50	...	-19.20	5 of 8 - Sale ²⁵
	1.000	11.63	02/24/21	25.12	...	-13.49	6 of 8 - Sale ²⁵
	16.000	186.08	02/24/21	180.48	...	5.60	7 of 8 - Sale ²⁵
	20.000	232.59	02/24/21	215.78	...	16.81	8 of 8 - Sale ²⁵
02/25/21	95.000	1,104.77	Various	1,800.36	...	-695.59	Total of 8 transactions
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	11.000	127.93	02/24/21	280.31	83.12 W	-69.26	1 of 3 - Sale ²⁵
	1.000	11.65	02/24/21	25.49	13.84 W	0.00	2 of 3 - Sale ²⁵
	8.000	93.20	02/24/21	203.86	110.66 W	0.00	3 of 3 - Sale ²⁵
02/25/21	20.000	232.78	Various	509.66	207.62 W	-69.26	Total of 3 transactions
	Security total:	6,392.29		7,390.29	1,678.89 W	680.89	
SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
03/17/21	80.000	502.46	03/17/21	543.06	...	-40.60	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
03/03/21	100.000	728.98	03/02/21	818.00	...	-89.02	Sale ²⁵
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
01/21/21	3.000	100.71	01/19/21	103.71	...	-3.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/19/21	20.000	13.59	01/13/21	13.30	...	0.29	Sale ²⁵
	6 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	178.000	167.09	01/28/21	208.26	...	-41.17	1 of 6 - Sale ²⁵
	100.000	93.87	01/28/21	98.99	...	-5.12	2 of 6 - Sale ²⁵
	22.000	20.65	01/28/21	25.74	...	-5.09	3 of 6 - Sale ²⁵
	100.000	93.87	01/28/21	98.89	...	-5.02	4 of 6 - Sale ²⁵
	16.000	15.02	01/28/21	14.40	...	0.62	5 of 6 - Sale ²⁵
	84.000	78.85	01/28/21	75.60	...	3.25	6 of 6 - Sale ²⁵
02/01/21	500.000	469.35	Various	521.88	...	-52.53	Total of 6 transactions
	Security total:	482.94		535.18	...	-52.24	
SUNLINK HEALTH SYSTEMS, INC / CUSIP: 86737U102 / Symbol:							
03/17/21	100.000	377.99	03/16/21	450.00	...	-72.01	Sale ²⁵
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
01/13/21	10.000	109.22	01/07/21	69.70	...	39.52	Sale ²⁵
	2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	40.000	494.22	01/07/21	278.78	...	215.44	1 of 2 - Sale ²⁵
	50.000	617.77	01/07/21	351.90	...	265.87	2 of 2 - Sale ²⁵
01/14/21	90.000	1,111.99	Various	630.68	...	481.31	Total of 2 transactions
	6 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	90.000	2,272.44	01/14/21	1,112.40	...	1,160.04	1 of 6 - Sale ²⁵
	30.000	757.48	01/22/21	629.97	...	127.51	2 of 6 - Sale ²⁵
	5.000	139.16	01/25/21	127.70	...	11.46	3 of 6 - Sale ²⁵
	15.000	411.09	01/25/21	383.10	...	27.99	4 of 6 - Sale ²⁵
	30.000	832.61	01/25/21	765.60	...	67.01	5 of 6 - Sale ²⁵
	70.000	1,918.45	01/25/21	1,786.40	...	132.05	6 of 6 - Sale ²⁵
01/25/21	240.000	6,331.23	Various	4,805.17	...	1,526.06	Total of 6 transactions
01/25/21	80.000	2,226.50	01/25/21	2,328.00	101.50 W	0.00	Sale ²⁵
01/26/21	4.000	85.75	01/25/21	116.40	30.65 W	0.00	Sale ²⁵
01/28/21	1.000	19.30	01/25/21	29.10	9.80 W	0.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol: (cont'd)							
<i>2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	95.25	02/03/21	91.07	...	4.18	1 of 2 - Sale ²⁵
	45.000	857.25	02/03/21	819.65	...	37.60	2 of 2 - Sale ²⁵
02/11/21	50.000	952.50	Various	910.72	...	41.78	Total of 2 transactions
<i>4 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	430.79	02/24/21	473.74	...	-42.95	1 of 4 - Sale ²⁵
	4.000	57.44	02/24/21	88.74	...	-31.30	2 of 4 - Sale ²⁵
	1.000	14.36	02/24/21	24.32	...	-9.96	3 of 4 - Sale ²⁵
	5.000	71.80	02/24/21	72.61	...	-0.81	4 of 4 - Sale ²⁵
02/25/21	40.000	574.39	Various	659.41	...	-85.02	Total of 4 transactions
	Security total:	11,410.88		9,549.18	141.95 W	2,003.65	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
<i>3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	149.84	01/21/21	158.08	...	-8.24	1 of 3 - Sale ²⁵
	4.000	74.88	01/22/21	83.54	...	-8.66	2 of 3 - Sale ²⁵
	7.000	131.11	01/22/21	139.65	...	-8.54	3 of 3 - Sale ²⁵
01/25/21	19.000	355.83	Various	381.27	...	-25.44	Total of 3 transactions
01/25/21	10.000	187.30	01/21/21	196.65	4.68 W	-4.67	Sale ²⁵
01/28/21	1.000	18.06	01/22/21	20.89	...	-2.83	Sale ²⁵
	Security total:	561.19		598.81	4.68 W	-32.94	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/14/21	4.000	3,392.56	12/10/20	2,608.13	...	784.43	Sale ²⁵
<i>3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.900	791.74	01/14/21	763.43	...	28.31	1 of 3 - Sale ²⁵
	1.000	889.18	01/14/21	848.25	...	40.93	2 of 3 - Sale ²⁵
	2.000	1,756.66	01/14/21	1,696.50	...	60.16	3 of 3 - Sale ²⁵
01/25/21	3.900	3,437.58	Various	3,308.18	...	129.40	Total of 3 transactions
01/28/21	0.100	84.46	01/27/21	90.84	...	-6.38	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.100	82.40	01/14/21	84.82	2.42 W	0.00	1 of 2 - Sale ²⁵
	2.900	2,389.54	01/27/21	2,564.09	120.38 W	-54.17	2 of 2 - Sale ²⁵
01/28/21	3.000	2,471.94	Various	2,648.91	122.80 W	-54.17	Total of 2 transactions
02/08/21	2.000	1,721.24	02/03/21	1,850.64	...	-129.40	Sale ²⁵
4 transactions for 11/08/21. Total proceeds and cost reported to the IRS.							
	0.008	9.51	11/03/21	9.42	...	0.09	1 of 4 - Sale ²⁵
	0.020	23.79	11/03/21	23.70	...	0.09	2 of 4 - Sale ²⁵
	0.062	73.69	11/03/21	73.48	...	0.21	3 of 4 - Sale ²⁵
	0.070	83.28	11/04/21	86.39	...	-3.11	4 of 4 - Sale ²⁵
11/08/21	0.160	190.27	Various	192.99	...	-2.72	Total of 4 transactions
	Security total:	11,298.05		10,699.69	122.80 W	721.16	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
02/11/21	10.000	419.20	02/10/21	662.80	...	-243.60	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	100.000	157.10	02/08/21	158.81	...	-1.71	1 of 2 - Sale ²⁵
	200.000	314.19	02/09/21	358.00	...	-43.81	2 of 2 - Sale ²⁵
02/10/21	300.000	471.29	Various	516.81	...	-45.52	Total of 2 transactions
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	50.000	299.74	02/10/21	259.50	...	40.24	1 of 2 - Sale ²⁵
	30.000	179.85	02/11/21	184.50	...	-4.65	2 of 2 - Sale ²⁵
02/11/21	80.000	479.59	Various	444.00	...	35.59	Total of 2 transactions
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
02/04/21	60.000	152.39	02/03/21	265.20	...	-112.81	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	40.000	396.39	03/03/21	468.00	...	-71.61	1 of 2 - Sale ²⁵
	20.000	198.19	03/03/21	246.00	...	-47.81	2 of 2 - Sale ²⁵
03/04/21	60.000	594.58	Various	714.00	...	-119.42	Total of 2 transactions
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
01/06/21	20.000	470.00	12/22/20	577.81	...	-107.81	Sale ²⁵
02/25/21	60.000	1,011.21	02/24/21	1,019.33	0.14 W	-7.98	Sale ²⁵
05/10/21	1.000	11.69	03/10/21	12.88	...	-1.19	Sale ²⁵
	Security total:	1,492.90		1,610.02	0.14 W	-116.98	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	5.000	97.32	02/08/21	74.88	...	22.44	1 of 3 - Sale ²⁵
	10.000	194.65	02/09/21	158.77	...	35.88	2 of 3 - Sale ²⁵
	30.000	583.94	02/09/21	457.88	...	126.06	3 of 3 - Sale ²⁵
02/10/21	45.000	875.91	Various	691.53	...	184.38	Total of 3 transactions
02/11/21	5.000	91.60	02/09/21	79.38	...	12.22	Sale ²⁵
	Security total:	967.51		770.91	...	196.60	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
01/28/21	12.000	798.10	01/27/21	951.90	...	-153.80	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	2.000	112.27	02/03/21	100.08	...	12.19	1 of 4 - Sale ²⁵
	2.000	112.27	02/03/21	100.08	...	12.19	2 of 4 - Sale ²⁵
	4.000	226.05	02/10/21	211.48	...	14.57	3 of 4 - Sale ²⁵
	6.000	336.79	02/10/21	317.22	...	19.57	4 of 4 - Sale ²⁵
02/11/21	14.000	787.38	Various	728.86	...	58.52	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	100.000	367.00	03/10/21	466.99	...	-99.99	1 of 2 - Sale ²⁵
	150.000	550.49	03/10/21	555.00	...	-4.51	2 of 2 - Sale ²⁵
03/10/21	250.000	917.49	Various	1,021.99	...	-104.50	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
02/25/21	40.000	637.59	02/24/21	591.96	...	45.63	Sale ²⁵
XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
03/01/21	100.000	355.46	02/26/21	430.00	...	-74.54	Sale ²⁵
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
01/13/21	399.000	422.89	01/12/21	490.57	33.92 W	-33.76	Sale ²⁵
02/09/21	200.000	455.98	02/05/21	385.92	...	70.06	Sale ²⁵
	Security total:	878.87		876.49	33.92 W	36.30	
ARCLIGHT CLEAN TRANSITION CORP . CLASS A ORDINARY SHARES / CUSIP: G04561109 / Symbol:							
01/21/21	15.000	391.00	01/19/21	454.82	...	-63.82	Sale ²⁵
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
02/16/21	300.000	819.09	02/12/21	480.00	...	339.09	Sale ²⁵
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	100.000	273.03	02/16/21	278.00	4.97 W	0.00	1 of 2 - Sale ²⁵
	100.000	273.03	02/16/21	290.95	17.92 W	0.00	2 of 2 - Sale ²⁵
02/16/21	200.000	546.06	Various	568.95	22.89 W	0.00	Total of 2 transactions
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	100.000	228.09	02/18/21	251.92	...	-23.83	1 of 3 - Sale ²⁵
	100.000	228.09	02/18/21	238.97	...	-10.88	2 of 3 - Sale ²⁵
	50.000	114.05	02/18/21	117.00	...	-2.95	3 of 3 - Sale ²⁵
02/19/21	250.000	570.23	Various	607.89	...	-37.66	Total of 3 transactions
	Security total:	1,935.38		1,656.84	22.89 W	301.43	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APTIV PLC / CUSIP: G6095L109 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	153.08	02/19/21	151.90	...	1.18	1 of 2 - Sale ²⁵
	3.000	459.23	02/19/21	455.44	...	3.79	2 of 2 - Sale ²⁵
02/22/21	4.000	612.31	Various	607.34	...	4.97	Total of 2 transactions
02/23/21	4.000	620.18	02/22/21	630.20	2.51 W	-7.51	Sale ²⁵
03/15/21	1.000	151.67	03/10/21	151.21	...	0.46	Sale ²⁵
	Security total:	1,384.16		1,388.75	2.51 W	-2.08	
REINVENT TECHNOLOGY PARTNERS / CUSIP: G7483N129 / Symbol:							
08/10/21	9.000	91.26	08/09/21	94.39	...	-3.13	Sale ²⁵
TORTOISE ACQUISITION CORP. II / CUSIP: G89554102 / Symbol:							
<i>3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.</i>							
	30.000	454.48	02/08/21	512.55	58.07 W	0.00	1 of 3 - Sale ²⁵
	5.000	75.75	02/10/21	81.05	5.30 W	0.00	2 of 3 - Sale ²⁵
	5.000	75.43	02/10/21	90.73	15.30 W	0.00	3 of 3 - Sale ²⁵
02/11/21	40.000	605.66	Various	684.33	78.67 W	0.00	Total of 3 transactions
<i>5 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	21.000	335.57	02/12/21	355.21	...	-19.64	1 of 5 - Sale ²⁵
	5.000	79.90	02/12/21	90.19	...	-10.29	2 of 5 - Sale ²⁵
	4.000	63.92	02/12/21	67.18	...	-3.26	3 of 5 - Sale ²⁵
	5.000	79.90	02/12/21	80.20	...	-0.30	4 of 5 - Sale ²⁵
	30.000	479.37	02/12/21	449.37	...	30.00	5 of 5 - Sale ²⁵
02/17/21	65.000	1,038.66	Various	1,042.15	...	-3.49	Total of 5 transactions
	Security total:	1,644.32		1,726.48	78.67 W	-3.49	
VECTOR ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: G9442R126 / Symbol:							
<i>4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	34.000	418.20	03/01/21	442.64	17.25 W	-7.19	1 of 4 - Sale ²⁵
	5.000	61.75	03/01/21	65.09	3.34 W	0.00	2 of 4 - Sale ²⁵
	10.000	123.20	03/01/21	130.19	6.99 W	0.00	3 of 4 - Sale ²⁵
	51.000	628.30	03/01/21	663.97	35.67 W	0.00	4 of 4 - Sale ²⁵
03/04/21	100.000	1,231.45	Various	1,301.89	63.25 W	-7.19	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VECTOR ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: G9442R126 / Symbol: (cont'd)							
<i>4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	63.39	03/01/21	71.90	...	-8.51	1 of 4 - Sale ²⁵
	5.000	63.39	03/01/21	71.74	...	-8.35	2 of 4 - Sale ²⁵
	25.000	316.92	03/10/21	336.24	...	-19.32	3 of 4 - Sale ²⁵
	5.000	63.39	03/10/21	67.24	...	-3.85	4 of 4 - Sale ²⁵
03/11/21	40.000	507.09	Various	547.12	...	-40.03	Total of 4 transactions
<i>2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	26.000	315.37	03/10/21	349.68	...	-34.31	1 of 2 - Sale ²⁵
	23.000	278.99	03/10/21	309.78	...	-30.79	2 of 2 - Sale ²⁵
03/12/21	49.000	594.36	Various	659.46	...	-65.10	Total of 2 transactions
04/16/21	1.000	10.37	03/10/21	13.47	...	-3.10	Sale ²⁵
	Security total:	2,343.27		2,521.94	63.25 W	-115.42	
MEIWU TECHNOLOGY COMPANY LIMIT ED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
02/16/21	40.000	982.06	02/12/21	779.60	...	202.46	Sale ²⁵
<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	491.03	02/12/21	560.00	68.97 W	0.00	1 of 2 - Sale ²⁵
	20.000	491.03	02/12/21	579.00	87.97 W	0.00	2 of 2 - Sale ²⁵
02/16/21	40.000	982.06	Various	1,139.00	156.94 W	0.00	Total of 2 transactions
<i>5 transactions for 02/19/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	280.95	02/17/21	432.82	...	-151.87	1 of 5 - Sale ²⁵
	10.000	194.00	02/17/21	279.05	...	-85.05	2 of 5 - Sale ²⁵
	10.000	194.00	02/17/21	279.04	...	-85.04	3 of 5 - Sale ²⁵
	10.000	187.30	02/17/21	244.56	...	-57.26	4 of 5 - Sale ²⁵
	5.000	97.00	02/17/21	144.28	...	-47.28	5 of 5 - Sale ²⁵
02/19/21	50.000	953.25	Various	1,379.75	...	-426.50	Total of 5 transactions
	Security total:	2,917.37		3,298.35	156.94 W	-224.04	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/28/21	1.000	2.83	01/27/21	1.86	...	0.97	Sale ²⁵
02/02/21	250.000	289.97	01/29/21	560.00	...	-270.03	Sale ²⁵
	Security total:	292.80		561.86	...	-269.06	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZURE POWER GLOBAL LIMITED / CUSIP: V0393H103 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	0.400	15.32	01/21/21	18.68	...	-3.36	1 of 2 - Sale ²⁵
	0.600	22.99	01/22/21	27.38	...	-4.39	2 of 2 - Sale ²⁵
01/28/21	1.000	38.31	Various	46.06	...	-7.75	Total of 2 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
01/29/21	1.000	0.65	01/28/21	0.71	0.06 W	0.00	Sale ²⁵
7 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	100.000	139.99	02/11/21	232.01	...	-92.02	1 of 7 - Sale ²⁵
	100.000	139.99	02/11/21	186.00	...	-46.01	2 of 7 - Sale ²⁵
	45.000	63.00	02/11/21	104.41	...	-41.41	3 of 7 - Sale ²⁵
	39.000	54.59	02/11/21	90.48	...	-35.89	4 of 7 - Sale ²⁵
	10.000	14.00	02/11/21	23.20	...	-9.20	5 of 7 - Sale ²⁵
	5.000	7.00	02/11/21	11.60	...	-4.60	6 of 7 - Sale ²⁵
	1.000	1.40	02/11/21	2.38	...	-0.98	7 of 7 - Sale ²⁵
02/12/21	300.000	419.97	Various	650.08	...	-230.11	Total of 7 transactions
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	1.40	02/11/21	1.92	0.52 W	0.00	1 of 4 - Sale ²⁵
	155.000	216.98	02/11/21	288.30	71.32 W	0.00	2 of 4 - Sale ²⁵
	5.000	7.00	02/11/21	9.30	2.30 W	0.00	3 of 4 - Sale ²⁵
	39.000	54.60	02/11/21	72.54	17.94 W	0.00	4 of 4 - Sale ²⁵
02/12/21	200.000	279.98	Various	372.06	92.08 W	0.00	Total of 4 transactions
	Security total:	700.60		1,022.85	92.14 W	-230.11	
Totals :		157,784.82		170,504.02	11,605.96 W	-1,113.24	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 642926877

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		06/10/21	0.56	Qualified dividend	
				0.56	Total Dividends & distributions	

Robinhood Securities LLC

Account 642926877

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/21/21	-0.98	Margin interest paid	
		01/21/21	-5.00	Margin interest paid	
		02/22/21	-0.07	Margin interest paid	
		02/22/21	-5.00	Margin interest paid	
		03/22/21	-5.00	Margin interest paid	
		04/21/21	-5.00	Margin interest paid	
		05/21/21	-5.00	Margin interest paid	
			-26.05	Total Margin interest paid	
			-26.05	Total Margin interest paid	

Robinhood Securities LLC

Account 642926877

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
JOBY AVIATION, INC.	G65163100	08/11/21	1.00	0.00	Exchange	
REINVENT TECHNOLOGY PARTNERS	G7483N129	08/11/21	-1.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.