

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 880963962	Statement Date: 02/11/2022 Document ID: 025E F4Y G2X0	2021
	Anirudh Kandimalla 4846 Bevendean Drive c9 Nashville, TN 37211 RECIPIENT'S TIN: XXX-XX-9418		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	19,571.87	16,444.65	0.00	500.95	3,628.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.04	7.26	0.00	0.00	-5.22
	Total Short-term	19,573.91	16,451.91	0.00	500.95	3,622.95
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	19,573.91	16,451.91	0.00	500.95	3,622.95
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 880963962

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	200.000	138.98	01/04/21	78.00	...	60.98	1 of 4 - Sale ²⁵
	50.000	34.74	01/06/21	22.00	...	12.74	2 of 4 - Sale ²⁵
	50.000	38.99	01/06/21	22.00	...	16.99	3 of 4 - Sale ²⁵
	50.000	39.00	01/06/21	21.07	...	17.93	4 of 4 - Sale ²⁵
01/11/21	350.000	251.71	Various	143.07	...	108.64	Total of 4 transactions
02/08/21	20.000	17.62	01/06/21	8.42	...	9.20	Sale ²⁵
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	20.000	22.00	01/06/21	8.24	...	13.76	1 of 2 - Sale ²⁵
	30.000	33.00	01/06/21	12.64	...	20.36	2 of 2 - Sale ²⁵
02/16/21	50.000	55.00	Various	20.88	...	34.12	Total of 2 transactions
08/30/21	30.000	12.00	01/06/21	12.36	0.36 W	0.00	Sale ²⁵
	Security total:	336.33		184.73	0.36 W	151.96	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C106 / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	10.000	6.13	01/12/21	6.00	...	0.13	1 of 3 - Sale ²⁵
	100.000	61.29	01/12/21	61.05	...	0.24	2 of 3 - Sale ²⁵
	150.000	91.93	01/12/21	91.50	...	0.43	3 of 3 - Sale ²⁵
01/13/21	260.000	159.35	Various	158.55	...	0.80	Total of 3 transactions
6 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	20.000	15.40	01/26/21	13.60	...	1.80	1 of 6 - Sale ²⁵
	50.000	38.56	01/26/21	33.99	...	4.57	2 of 6 - Sale ²⁵
	50.000	38.56	01/26/21	33.98	...	4.58	3 of 6 - Sale ²⁵
	280.000	215.93	01/26/21	190.37	...	25.56	4 of 6 - Sale ²⁵
	50.000	38.56	01/27/21	31.75	...	6.81	5 of 6 - Sale ²⁵
	45.000	34.70	02/01/21	28.76	...	5.94	6 of 6 - Sale ²⁵
02/03/21	495.000	381.71	Various	332.45	...	49.26	Total of 6 transactions
3 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	5.000	5.85	02/01/21	3.20	...	2.65	1 of 3 - Sale ²⁵
	45.000	52.65	02/05/21	30.71	...	21.94	2 of 3 - Sale ²⁵
	250.000	299.97	02/05/21	170.63	...	129.34	3 of 3 - Sale ²⁵
03/03/21	300.000	358.47	Various	204.54	...	153.93	Total of 3 transactions
	Security total:	899.53		695.54	...	203.99	
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol:							
15 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	62.500	154.68	02/05/21	170.88	...	-16.20	1 of 15 - Sale ²⁵
	51.250	126.84	02/05/21	139.91	...	-13.07	2 of 15 - Sale ²⁵
	5.000	12.37	03/09/21	19.00	...	-6.63	3 of 15 - Sale ²⁵
	6.250	15.47	03/10/21	23.75	...	-8.28	4 of 15 - Sale ²⁵
	6.250	15.47	03/16/21	22.62	...	-7.15	5 of 15 - Sale ²⁵
	2.500	6.19	03/17/21	8.68	...	-2.49	6 of 15 - Sale ²⁵
	3.750	9.28	03/25/21	10.62	...	-1.34	7 of 15 - Sale ²⁵
	1.250	3.09	04/26/21	2.78	...	0.31	8 of 15 - Sale ²⁵
	1.250	3.09	04/26/21	2.78	...	0.31	9 of 15 - Sale ²⁵
	5.000	12.37	08/16/21	5.08	...	7.29	10 of 15 - Sale ²⁵
	10.000	24.75	08/16/21	10.20	...	14.55	11 of 15 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASSERTIO HOLDINGS, INC. COMMON STOCK / CUSIP: 04546C205 / Symbol: (cont'd)							
	10.000	24.75	08/16/21	10.15	...	14.60	12 of 15 - Sale ²⁵
	15.000	37.13	08/16/21	15.08	...	22.05	13 of 15 - Sale ²⁵
	10.000	24.75	08/17/21	9.40	...	15.35	14 of 15 - Sale ²⁵
	10.000	24.75	08/17/21	9.15	...	15.60	15 of 15 - Sale ²⁵
12/21/21	200.000	494.98	Various	460.08	...	34.90	Total of 15 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
01/13/21	1.000	5.23	12/04/20	0.50	...	4.73	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
4 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	25.000	25.99	01/13/21	22.28	...	3.71	1 of 4 - Sale ²⁵
	100.000	103.99	01/13/21	89.45	...	14.54	2 of 4 - Sale ²⁵
	200.000	205.98	01/13/21	178.00	...	27.98	3 of 4 - Sale ²⁵
	25.000	26.00	01/14/21	22.25	...	3.75	4 of 4 - Sale ²⁵
01/20/21	350.000	361.96	Various	311.98	...	49.98	Total of 4 transactions
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
7 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	5.000	2.63	02/05/21	2.47	...	0.16	1 of 7 - Sale ²⁵
	10.000	5.27	02/05/21	4.97	...	0.30	2 of 7 - Sale ²⁵
	20.000	10.53	02/05/21	9.93	...	0.60	3 of 7 - Sale ²⁵
	40.000	21.07	02/05/21	20.00	...	1.07	4 of 7 - Sale ²⁵
	45.000	23.77	02/05/21	22.27	...	1.50	5 of 7 - Sale ²⁵
	90.000	47.40	02/05/21	45.00	...	2.40	6 of 7 - Sale ²⁵
	90.000	47.39	02/05/21	44.68	...	2.71	7 of 7 - Sale ²⁵
02/10/21	300.000	158.06	Various	149.32	...	8.74	Total of 7 transactions
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P206 / Symbol:							
01/04/21	20.000	45.00	12/24/20	45.50	...	-0.50	Sale ²⁵
01/04/21	60.000	134.99	12/24/20	136.50	1.51 W	0.00	Sale ²⁵
8 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	20.000	45.20	12/24/20	45.70	...	-0.50	1 of 8 - Sale ²⁵
	20.000	45.20	12/24/20	45.50	...	-0.30	2 of 8 - Sale ²⁵
	1.000	2.26	12/24/20	2.23	...	0.03	3 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P206 / Symbol: (cont'd)							
	4.000	9.04	12/24/20	8.90	...	0.14	4 of 8 - Sale ²⁵
	5.000	11.30	12/24/20	11.13	...	0.17	5 of 8 - Sale ²⁵
	5.000	11.30	12/24/20	11.11	...	0.19	6 of 8 - Sale ²⁵
	20.000	45.19	12/24/20	44.30	...	0.89	7 of 8 - Sale ²⁵
	5.000	11.30	12/28/20	11.05	...	0.25	8 of 8 - Sale ²⁵
01/05/21	80.000	180.79	Various	179.92	...	0.87	Total of 8 transactions
	Security total:	360.78		361.92	1.51 W	0.37	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
36 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	1.01	09/18/20	0.92	...	0.09	1 of 36 - Sale ²⁵
	1.000	1.01	09/18/20	0.89	...	0.12	2 of 36 - Sale ²⁵
	5.000	5.05	09/18/20	4.60	...	0.45	3 of 36 - Sale ²⁵
	8.000	8.08	09/18/20	6.18	...	1.90	4 of 36 - Sale ²⁵
	20.000	20.20	09/18/20	18.14	...	2.06	5 of 36 - Sale ²⁵
	5.000	5.05	09/22/20	3.00	...	2.05	6 of 36 - Sale ²⁵
	20.000	20.20	09/22/20	11.86	...	8.34	7 of 36 - Sale ²⁵
	3.000	3.03	09/24/20	1.67	...	1.36	8 of 36 - Sale ²⁵
	13.000	13.26	10/02/20	7.38	...	5.88	9 of 36 - Sale ²⁵
	22.000	22.21	10/02/20	12.50	...	9.71	10 of 36 - Sale ²⁵
	14.000	14.42	10/12/20	8.02	...	6.40	11 of 36 - Sale ²⁵
	36.000	37.08	10/12/20	20.67	...	16.41	12 of 36 - Sale ²⁵
	37.000	37.74	10/12/20	21.25	...	16.49	13 of 36 - Sale ²⁵
	10.000	10.30	10/21/20	5.46	...	4.84	14 of 36 - Sale ²⁵
	2.000	2.06	10/29/20	0.96	...	1.10	15 of 36 - Sale ²⁵
	5.000	5.15	10/29/20	2.47	...	2.68	16 of 36 - Sale ²⁵
	5.000	5.15	10/29/20	2.46	...	2.69	17 of 36 - Sale ²⁵
	18.000	18.53	11/02/20	8.46	...	10.07	18 of 36 - Sale ²⁵
	10.000	10.30	11/03/20	4.73	...	5.57	19 of 36 - Sale ²⁵
	15.000	15.60	11/16/20	8.21	...	7.39	20 of 36 - Sale ²⁵
	5.000	5.20	11/19/20	2.70	...	2.50	21 of 36 - Sale ²⁵
	5.000	5.20	11/23/20	2.97	...	2.23	22 of 36 - Sale ²⁵
	25.000	26.00	11/24/20	14.50	...	11.50	23 of 36 - Sale ²⁵
	5.000	5.20	11/25/20	2.75	...	2.45	24 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	10.000	10.40	11/25/20	5.60	...	4.80	25 of 36 - Sale ²⁵
	10.000	10.40	11/25/20	5.53	...	4.87	26 of 36 - Sale ²⁵
	5.000	5.20	11/30/20	2.70	...	2.50	27 of 36 - Sale ²⁵
	5.000	5.20	11/30/20	2.67	...	2.53	28 of 36 - Sale ²⁵
	5.000	5.20	11/30/20	2.65	...	2.55	29 of 36 - Sale ²⁵
	20.000	20.79	11/30/20	10.60	...	10.19	30 of 36 - Sale ²⁵
	5.000	5.20	12/01/20	2.61	...	2.59	31 of 36 - Sale ²⁵
	15.000	15.60	12/01/20	7.87	...	7.73	32 of 36 - Sale ²⁵
	20.000	20.80	12/02/20	10.10	...	10.70	33 of 36 - Sale ²⁵
	50.000	51.99	12/04/20	34.90	...	17.09	34 of 36 - Sale ²⁵
	50.000	54.00	12/04/20	34.90	...	19.10	35 of 36 - Sale ²⁵
	50.000	55.00	12/04/20	34.90	...	20.10	36 of 36 - Sale ²⁵
01/19/21	535.000	556.81	Various	327.78	...	229.03	Total of 36 transactions
01/19/21	15.000	15.15	09/14/20	16.30	1.15 W	0.00	Sale ²⁵
	7 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	2.000	3.24	12/04/20	1.40	...	1.84	1 of 7 - Sale ²⁵
	50.000	80.00	12/04/20	34.90	...	45.10	2 of 7 - Sale ²⁵
	48.000	78.72	12/04/20	33.50	...	45.22	3 of 7 - Sale ²⁵
	50.000	81.06	12/04/20	34.90	...	46.16	4 of 7 - Sale ²⁵
	100.000	159.99	12/04/20	69.80	...	90.19	5 of 7 - Sale ²⁵
	15.000	24.60	12/21/20	12.57	...	12.03	6 of 7 - Sale ²⁵
	35.000	57.39	12/21/20	26.65	...	30.74	7 of 7 - Sale ²⁵
02/03/21	300.000	485.00	Various	213.72	...	271.28	Total of 7 transactions
	3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	10.000	20.80	12/22/20	7.28	...	13.52	1 of 3 - Sale ²⁵
	40.000	83.20	12/23/20	26.65	...	56.55	2 of 3 - Sale ²⁵
	50.000	103.99	12/28/20	31.30	...	72.69	3 of 3 - Sale ²⁵
03/25/21	100.000	207.99	Various	65.23	...	142.76	Total of 3 transactions
	8 transactions for 08/02/21. Total proceeds and cost reported to the IRS.						
	10.000	16.92	12/28/20	6.31	...	10.61	1 of 8 - Sale ²⁵
	20.000	33.84	12/28/20	12.55	...	21.29	2 of 8 - Sale ²⁵
	25.000	42.29	12/28/20	15.63	...	26.66	3 of 8 - Sale ²⁵
	25.000	42.30	12/28/20	15.41	...	26.89	4 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	30.000	50.75	12/28/20	18.90	...	31.85	5 of 8 - Sale ²⁵
	10.000	16.92	12/29/20	5.97	...	10.95	6 of 8 - Sale ²⁵
	30.000	50.75	12/29/20	17.88	...	32.87	7 of 8 - Sale ²⁵
	50.000	84.59	01/20/21	59.00	...	25.59	8 of 8 - Sale ²⁵
08/02/21	200.000	338.36	Various	151.65	...	186.71	Total of 8 transactions
	Security total:	1,603.31		774.68	1.15 W	829.78	
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
2 transactions for 08/30/21. Total proceeds and cost reported to the IRS.							
	2.000	2.87	08/16/21	2.76	...	0.11	1 of 2 - Sale ²⁵
	3.000	4.29	08/16/21	4.13	...	0.16	2 of 2 - Sale ²⁵
08/30/21	5.000	7.16	Various	6.89	...	0.27	Total of 2 transactions
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	25.000	32.50	11/04/21	31.43	...	1.07	1 of 2 - Sale ²⁵
	15.000	19.50	11/09/21	18.00	...	1.50	2 of 2 - Sale ²⁵
11/15/21	40.000	52.00	Various	49.43	...	2.57	Total of 2 transactions
	Security total:	59.16		56.32	...	2.84	
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
18 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	50.000	66.50	02/25/21	75.50	...	-9.00	1 of 18 - Sale ²⁵
	10.000	13.30	02/25/21	15.10	...	-1.80	2 of 18 - Sale ²⁵
	5.000	6.65	03/03/21	6.24	...	0.41	3 of 18 - Sale ²⁵
	5.000	6.65	03/03/21	5.85	...	0.80	4 of 18 - Sale ²⁵
	5.000	6.65	03/25/21	5.60	...	1.05	5 of 18 - Sale ²⁵
	5.000	6.65	03/25/21	5.59	...	1.06	6 of 18 - Sale ²⁵
	1.000	1.33	05/03/21	1.20	...	0.13	7 of 18 - Sale ²⁵
	5.000	6.65	05/03/21	5.95	...	0.70	8 of 18 - Sale ²⁵
	5.000	6.65	05/03/21	5.90	...	0.75	9 of 18 - Sale ²⁵
	5.000	6.65	05/03/21	5.90	...	0.75	10 of 18 - Sale ²⁵
	4.000	5.32	05/04/21	4.72	...	0.60	11 of 18 - Sale ²⁵
	4.000	5.32	05/04/21	4.68	...	0.64	12 of 18 - Sale ²⁵
	4.000	5.32	05/04/21	4.56	...	0.76	13 of 18 - Sale ²⁵
	4.000	5.31	05/04/21	4.54	...	0.77	14 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol: (cont'd)							
	6.000	7.98	05/04/21	7.02	...	0.96	15 of 18 - Sale ²⁵
	6.000	7.98	05/04/21	6.96	...	1.02	16 of 18 - Sale ²⁵
	1.000	1.33	05/05/21	1.13	...	0.20	17 of 18 - Sale ²⁵
	1.000	1.33	05/11/21	1.16	...	0.17	18 of 18 - Sale ²⁵
06/04/21	126.000	167.57	Various	167.60	...	-0.03	Total of 18 transactions
GALECTO, INC. COMMON STOCK / CUSIP: 36322Q107 / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	8.000	44.24	06/17/21	42.12	...	2.12	1 of 2 - Sale ²⁵
	1.000	5.53	06/18/21	5.12	...	0.41	2 of 2 - Sale ²⁵
06/29/21	9.000	49.77	Various	47.24	...	2.53	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	25.000	38.50	12/29/20	36.64	...	1.86	1 of 2 - Sale ²⁵
	45.000	69.29	12/29/20	65.71	...	3.58	2 of 2 - Sale ²⁵
01/14/21	70.000	107.79	Various	102.35	...	5.44	Total of 2 transactions
71 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	1.000	1.51	12/21/20	1.98	0.47 W	0.00	1 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	3.92	0.90 W	0.00	2 of 71 - Sale ²⁵
	3.000	4.53	12/21/20	5.91	1.38 W	0.00	3 of 71 - Sale ²⁵
	4.000	6.04	12/21/20	7.90	1.86 W	0.00	4 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	3.90	0.88 W	0.00	5 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	4.03	1.01 W	0.00	6 of 71 - Sale ²⁵
	3.000	4.53	12/21/20	5.93	1.40 W	0.00	7 of 71 - Sale ²⁵
	3.000	4.53	12/21/20	5.88	1.35 W	0.00	8 of 71 - Sale ²⁵
	5.000	7.55	12/21/20	10.31	2.76 W	0.00	9 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.37	0.86 W	0.00	10 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.22	0.71 W	0.00	11 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.22	0.71 W	0.00	12 of 71 - Sale ²⁵
	4.000	6.04	12/21/20	8.87	2.83 W	0.00	13 of 71 - Sale ²⁵
	3.000	4.53	12/21/20	6.20	1.67 W	0.00	14 of 71 - Sale ²⁵
	5.000	7.55	12/21/20	9.40	1.85 W	0.00	15 of 71 - Sale ²⁵
	10.000	15.10	12/21/20	18.10	3.00 W	0.00	16 of 71 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.51	12/21/20	2.22	0.71 W	0.00	17 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.24	0.73 W	0.00	18 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.22	0.71 W	0.00	19 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.21	0.70 W	0.00	20 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.20	0.69 W	0.00	21 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.16	0.65 W	0.00	22 of 71 - Sale ²⁵
	1.000	1.51	12/21/20	2.16	0.65 W	0.00	23 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	4.42	1.40 W	0.00	24 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	4.38	1.36 W	0.00	25 of 71 - Sale ²⁵
	4.000	6.04	12/21/20	8.72	2.68 W	0.00	26 of 71 - Sale ²⁵
	3.000	4.53	12/21/20	6.70	2.17 W	0.00	27 of 71 - Sale ²⁵
	2.000	3.02	12/21/20	4.47	1.45 W	0.00	28 of 71 - Sale ²⁵
	30.000	45.30	12/21/20	47.70	2.40 W	0.00	29 of 71 - Sale ²⁵
	100.000	150.98	12/21/20	158.00	7.02 W	0.00	30 of 71 - Sale ²⁵
	1.000	1.53	12/21/20	2.05	0.52 W	0.00	31 of 71 - Sale ²⁵
	2.000	3.06	12/21/20	4.06	1.00 W	0.00	32 of 71 - Sale ²⁵
	3.000	4.59	12/21/20	6.12	1.53 W	0.00	33 of 71 - Sale ²⁵
	4.000	6.12	12/21/20	8.18	2.06 W	0.00	34 of 71 - Sale ²⁵
	2.000	3.06	12/21/20	4.04	0.98 W	0.00	35 of 71 - Sale ²⁵
	2.000	3.06	12/21/20	4.17	1.11 W	0.00	36 of 71 - Sale ²⁵
	3.000	4.59	12/21/20	6.14	1.55 W	0.00	37 of 71 - Sale ²⁵
	3.000	4.59	12/21/20	6.09	1.50 W	0.00	38 of 71 - Sale ²⁵
	5.000	7.65	12/21/20	10.66	3.01 W	0.00	39 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.43	0.90 W	0.00	40 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.28	0.75 W	0.00	41 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.27	0.74 W	0.00	42 of 71 - Sale ²⁵
	4.000	6.12	12/22/20	9.09	2.97 W	0.00	43 of 71 - Sale ²⁵
	3.000	4.59	12/22/20	6.37	1.78 W	0.00	44 of 71 - Sale ²⁵
	5.000	7.65	12/22/20	9.67	2.02 W	0.00	45 of 71 - Sale ²⁵
	10.000	15.30	12/22/20	18.65	3.35 W	0.00	46 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.27	0.74 W	0.00	47 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.29	0.76 W	0.00	48 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.27	0.74 W	0.00	49 of 71 - Sale ²⁵
	1.000	1.53	12/22/20	2.26	0.73 W	0.00	50 of 71 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	2.000	3.06	12/22/20	4.52	1.46 W	0.00	51 of 71 - Sale ²⁵
	2.000	3.06	12/22/20	4.48	1.42 W	0.00	52 of 71 - Sale ²⁵
	2.000	3.06	12/22/20	4.46	1.40 W	0.00	53 of 71 - Sale ²⁵
	1.000	1.53	12/24/20	2.25	0.72 W	0.00	54 of 71 - Sale ²⁵
	1.000	1.53	12/24/20	2.21	0.68 W	0.00	55 of 71 - Sale ²⁵
	1.000	1.53	12/24/20	2.21	0.68 W	0.00	56 of 71 - Sale ²⁵
	2.000	3.06	12/24/20	4.46	1.40 W	0.00	57 of 71 - Sale ²⁵
	3.000	4.59	12/24/20	6.85	2.26 W	0.00	58 of 71 - Sale ²⁵
	2.000	3.06	12/24/20	4.57	1.51 W	0.00	59 of 71 - Sale ²⁵
	30.000	45.89	12/24/20	49.20	3.31 W	0.00	60 of 71 - Sale ²⁵
	15.000	23.10	12/28/20	24.13	1.03 W	0.00	61 of 71 - Sale ²⁵
	15.000	23.10	12/28/20	23.18	0.08 W	0.00	62 of 71 - Sale ²⁵
	2.000	3.08	12/29/20	3.78	0.70 W	0.00	63 of 71 - Sale ²⁵
	3.000	4.62	12/29/20	5.72	1.10 W	0.00	64 of 71 - Sale ²⁵
	1.000	1.54	01/06/21	1.86	0.32 W	0.00	65 of 71 - Sale ²⁵
	1.000	1.54	01/06/21	1.87	0.33 W	0.00	66 of 71 - Sale ²⁵
	3.000	4.62	01/06/21	5.56	0.94 W	0.00	67 of 71 - Sale ²⁵
	2.000	3.08	01/06/21	3.67	0.59 W	0.00	68 of 71 - Sale ²⁵
	2.000	3.08	01/06/21	3.80	0.72 W	0.00	69 of 71 - Sale ²⁵
	3.000	4.62	01/06/21	5.58	0.96 W	0.00	70 of 71 - Sale ²⁵
	3.000	4.62	01/06/21	5.54	0.92 W	0.00	71 of 71 - Sale ²⁵
01/14/21	350.000	531.97	Various	630.20	98.23 W	0.00	Total of 71 transactions
	4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	5.000	7.65	01/21/21	7.13	...	0.52	1 of 4 - Sale ²⁵
	15.000	22.95	01/21/21	22.33	...	0.62	2 of 4 - Sale ²⁵
	10.000	15.30	01/21/21	14.20	...	1.10	3 of 4 - Sale ²⁵
	20.000	30.59	01/21/21	28.31	...	2.28	4 of 4 - Sale ²⁵
01/22/21	50.000	76.49	Various	71.97	...	4.52	Total of 4 transactions
	52 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	5.000	7.65	01/21/21	10.11	2.46 W	0.00	1 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.32	0.79 W	0.00	2 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.17	0.64 W	0.00	3 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.16	0.63 W	0.00	4 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.16	0.63 W	0.00	5 of 52 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.000	6.12	01/21/21	8.65	2.53 W	0.00	6 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.18	0.65 W	0.00	7 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.16	0.63 W	0.00	8 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.15	0.62 W	0.00	9 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.30	1.24 W	0.00	10 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.26	1.20 W	0.00	11 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.24	1.18 W	0.00	12 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.14	0.61 W	0.00	13 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.10	0.57 W	0.00	14 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.10	0.57 W	0.00	15 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.24	1.18 W	0.00	16 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	6.04	1.45 W	0.00	17 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	6.52	1.93 W	0.00	18 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.35	1.29 W	0.00	19 of 52 - Sale ²⁵
	5.000	7.65	01/21/21	9.12	1.47 W	0.00	20 of 52 - Sale ²⁵
	10.000	15.30	01/21/21	17.55	2.25 W	0.00	21 of 52 - Sale ²⁵
	30.000	45.89	01/21/21	45.91	0.02 W	0.00	22 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	3.53	0.47 W	0.00	23 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	5.35	0.76 W	0.00	24 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	1.73	0.20 W	0.00	25 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	1.75	0.22 W	0.00	26 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	5.18	0.59 W	0.00	27 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	3.42	0.36 W	0.00	28 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	3.55	0.49 W	0.00	29 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	5.21	0.62 W	0.00	30 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	5.16	0.57 W	0.00	31 of 52 - Sale ²⁵
	5.000	7.65	01/21/21	9.54	1.89 W	0.00	32 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.20	0.67 W	0.00	33 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.06	0.53 W	0.00	34 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.04	0.51 W	0.00	35 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.05	0.52 W	0.00	36 of 52 - Sale ²⁵
	4.000	6.12	01/21/21	8.19	2.07 W	0.00	37 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.06	0.53 W	0.00	38 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.05	0.52 W	0.00	39 of 52 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.53	01/21/21	2.03	0.50 W	0.00	40 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.07	1.01 W	0.00	41 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.03	0.97 W	0.00	42 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.01	0.95 W	0.00	43 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	2.03	0.50 W	0.00	44 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	1.98	0.45 W	0.00	45 of 52 - Sale ²⁵
	1.000	1.53	01/21/21	1.99	0.46 W	0.00	46 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.01	0.95 W	0.00	47 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	5.69	1.10 W	0.00	48 of 52 - Sale ²⁵
	3.000	4.59	01/21/21	6.18	1.59 W	0.00	49 of 52 - Sale ²⁵
	2.000	3.06	01/21/21	4.12	1.06 W	0.00	50 of 52 - Sale ²⁵
	5.000	7.65	01/21/21	8.54	0.89 W	0.00	51 of 52 - Sale ²⁵
	10.000	15.30	01/21/21	16.40	1.10 W	0.00	52 of 52 - Sale ²⁵
01/22/21	150.000	229.49	Various	277.08	47.59 W	0.00	Total of 52 transactions
	34 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	4.000	8.64	02/11/21	10.23	...	-1.59	1 of 34 - Sale ²⁵
	5.000	10.80	02/11/21	12.09	...	-1.29	2 of 34 - Sale ²⁵
	2.000	4.32	02/11/21	5.09	...	-0.77	3 of 34 - Sale ²⁵
	2.000	4.32	02/11/21	5.05	...	-0.73	4 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.71	...	-0.55	5 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.57	...	-0.41	6 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.57	...	-0.41	7 of 34 - Sale ²⁵
	3.000	6.48	02/11/21	6.88	...	-0.40	8 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.56	...	-0.40	9 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.56	...	-0.40	10 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.55	...	-0.39	11 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.54	...	-0.38	12 of 34 - Sale ²⁵
	3.000	6.48	02/11/21	6.74	...	-0.26	13 of 34 - Sale ²⁵
	2.000	4.32	02/11/21	4.57	...	-0.25	14 of 34 - Sale ²⁵
	2.000	4.32	02/11/21	4.55	...	-0.23	15 of 34 - Sale ²⁵
	3.000	6.48	02/11/21	6.71	...	-0.23	16 of 34 - Sale ²⁵
	3.000	6.48	02/11/21	6.69	...	-0.21	17 of 34 - Sale ²⁵
	2.000	4.32	02/11/21	4.44	...	-0.12	18 of 34 - Sale ²⁵
	1.000	2.16	02/11/21	2.26	...	-0.10	19 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	2.16	02/11/21	2.24	...	-0.08	20 of 34 - Sale ²⁵
	10.000	21.60	02/11/21	20.41	...	-1.19	21 of 34 - Sale ²⁵
	3.000	6.48	02/12/21	7.59	...	-1.11	22 of 34 - Sale ²⁵
	2.000	4.32	02/12/21	5.06	...	-0.74	23 of 34 - Sale ²⁵
	2.000	4.32	02/12/21	4.95	...	-0.63	24 of 34 - Sale ²⁵
	2.000	4.32	02/12/21	4.95	...	-0.63	25 of 34 - Sale ²⁵
	3.000	6.48	02/12/21	7.10	...	-0.62	26 of 34 - Sale ²⁵
	1.000	2.16	02/12/21	2.50	...	-0.34	27 of 34 - Sale ²⁵
	1.000	2.16	02/12/21	2.46	...	-0.30	28 of 34 - Sale ²⁵
	1.000	2.16	02/12/21	2.45	...	-0.29	29 of 34 - Sale ²⁵
	5.000	10.80	02/12/21	10.89	...	-0.09	30 of 34 - Sale ²⁵
	5.000	10.80	02/12/21	10.55	...	0.25	31 of 34 - Sale ²⁵
	5.000	10.80	02/12/21	10.33	...	0.47	32 of 34 - Sale ²⁵
	10.000	21.60	02/12/21	19.90	...	1.70	33 of 34 - Sale ²⁵
	20.000	43.19	02/12/21	39.10	...	4.09	34 of 34 - Sale ²⁵
02/16/21	110.000	237.59	Various	243.84	...	-6.25	Total of 34 transactions
	Security total:	1,183.33		1,325.44	145.82 W	3.71	
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
15 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	32.000	17.28	01/12/21	17.55	...	-0.27	1 of 15 - Sale ²⁵
	18.000	9.72	01/12/21	9.86	...	-0.14	2 of 15 - Sale ²⁵
	35.000	18.89	01/13/21	19.30	...	-0.41	3 of 15 - Sale ²⁵
	14.000	7.56	01/13/21	7.73	...	-0.17	4 of 15 - Sale ²⁵
	9.000	4.86	01/13/21	4.97	...	-0.11	5 of 15 - Sale ²⁵
	6.000	3.24	01/13/21	3.31	...	-0.07	6 of 15 - Sale ²⁵
	3.000	1.62	01/13/21	1.65	...	-0.03	7 of 15 - Sale ²⁵
	3.000	1.62	01/13/21	1.65	...	-0.03	8 of 15 - Sale ²⁵
	18.000	9.72	01/15/21	9.73	...	-0.01	9 of 15 - Sale ²⁵
	12.000	6.48	01/15/21	6.48	...	0.00	10 of 15 - Sale ²⁵
	14.000	7.56	01/15/21	7.55	...	0.01	11 of 15 - Sale ²⁵
	50.000	26.99	01/15/21	26.93	...	0.06	12 of 15 - Sale ²⁵
	36.000	19.43	01/15/21	18.42	...	1.01	13 of 15 - Sale ²⁵
	50.000	26.99	01/21/21	24.65	...	2.34	14 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol: (cont'd)							
	50.000	26.99	01/25/21	24.00	...	2.99	15 of 15 - Sale ²⁵
01/28/21	350.000	188.95	Various	183.78	...	5.17	Total of 15 transactions
	6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	75.000	40.49	01/12/21	41.02	0.40 W	-0.13	1 of 6 - Sale ²⁵
	150.000	80.98	01/12/21	85.26	4.28 W	0.00	2 of 6 - Sale ²⁵
	100.000	53.99	01/12/21	56.75	2.76 W	0.00	3 of 6 - Sale ²⁵
	82.000	44.27	01/12/21	46.54	2.27 W	0.00	4 of 6 - Sale ²⁵
	18.000	9.72	01/12/21	10.72	1.00 W	0.00	5 of 6 - Sale ²⁵
	25.000	13.50	01/12/21	14.84	1.34 W	0.00	6 of 6 - Sale ²⁵
01/28/21	450.000	242.95	Various	255.13	12.05 W	-0.13	Total of 6 transactions
	3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	18.000	11.50	01/29/21	11.44	...	0.06	1 of 3 - Sale ²⁵
	25.000	15.97	01/29/21	15.84	...	0.13	2 of 3 - Sale ²⁵
	57.000	36.42	01/29/21	33.46	...	2.96	3 of 3 - Sale ²⁵
02/04/21	100.000	63.89	Various	60.74	...	3.15	Total of 3 transactions
	Security total:	495.79		499.65	12.05 W	8.19	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
	3 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	15.000	35.70	12/28/20	36.08	...	-0.38	1 of 3 - Sale ²⁵
	15.000	35.70	12/28/20	35.03	...	0.67	2 of 3 - Sale ²⁵
	20.000	47.59	12/29/20	43.40	...	4.19	3 of 3 - Sale ²⁵
01/05/21	50.000	118.99	Various	114.51	...	4.48	Total of 3 transactions
01/05/21	50.000	119.00	12/28/20	124.00	3.00 W	-2.00	Sale ²⁵
01/08/21	30.000	105.00	12/29/20	68.10	...	36.90	Sale ²⁵
	Security total:	342.99		306.61	3.00 W	39.38	
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
	5 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	50.000	34.92	01/12/21	29.41	...	5.51	1 of 5 - Sale ²⁵
	200.000	139.68	01/12/21	118.02	...	21.66	2 of 5 - Sale ²⁵
	50.000	34.92	01/15/21	30.00	...	4.92	3 of 5 - Sale ²⁵
	50.000	34.91	01/15/21	29.99	...	4.92	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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INSPIREMD, INC. / CUSIP: 45779A853 / Symbol: (cont'd)							
	50.000	34.92	01/15/21	29.95	...	4.97	5 of 5 - Sale ²⁵
01/20/21	400.000	279.35	Various	237.37	...	41.98	Total of 5 transactions
02/08/21	250.000	244.97	02/05/21	170.00	...	74.97	Sale ²⁵
	Security total:	524.32		407.37	...	116.95	
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	100.000	50.99	01/12/21	48.10	...	2.89	1 of 2 - Sale ²⁵
	200.000	101.97	01/12/21	96.30	...	5.67	2 of 2 - Sale ²⁵
01/19/21	300.000	152.96	Various	144.40	...	8.56	Total of 2 transactions
OASIS PETE INC NEW WARRANT 1 1/19/2024 / CUSIP: 674215116 / Symbol:							
32 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	0.215	2.22	08/27/20	25.69	...	-23.47	1 of 32 - Sale ²⁵
	0.127	1.30	08/27/20	14.97	...	-13.67	2 of 32 - Sale ²⁵
	0.127	1.30	08/28/20	15.14	...	-13.84	3 of 32 - Sale ²⁵
	0.101	1.04	08/31/20	11.26	...	-10.22	4 of 32 - Sale ²⁵
	0.076	0.78	08/31/20	8.45	...	-7.67	5 of 32 - Sale ²⁵
	0.025	0.26	08/31/20	2.82	...	-2.56	6 of 32 - Sale ²⁵
	0.506	5.22	09/01/20	53.36	...	-48.14	7 of 32 - Sale ²⁵
	0.051	0.52	09/01/20	5.34	...	-4.82	8 of 32 - Sale ²⁵
	0.253	2.61	09/02/20	113.42	...	-110.81	9 of 32 - Sale ²⁵
	0.127	1.30	09/04/20	57.10	...	-55.80	10 of 32 - Sale ²⁵
	0.127	1.30	09/14/20	54.00	...	-52.70	11 of 32 - Sale ²⁵
	0.101	1.04	09/14/20	37.60	...	-36.56	12 of 32 - Sale ²⁵
	0.051	0.52	09/14/20	18.29	...	-17.77	13 of 32 - Sale ²⁵
	0.051	0.52	09/14/20	18.12	...	-17.60	14 of 32 - Sale ²⁵
	0.035	0.37	09/14/20	12.81	...	-12.44	15 of 32 - Sale ²⁵
	0.015	0.16	09/14/20	5.67	...	-5.51	16 of 32 - Sale ²⁵
	0.010	0.10	09/16/20	4.00	...	-3.90	17 of 32 - Sale ²⁵
	0.106	1.10	09/18/20	39.47	...	-38.37	18 of 32 - Sale ²⁵
	0.051	0.52	09/18/20	15.09	...	-14.57	19 of 32 - Sale ²⁵
	0.051	0.52	09/18/20	15.09	...	-14.57	20 of 32 - Sale ²⁵
	0.035	0.37	09/18/20	12.31	...	-11.94	21 of 32 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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OASIS PETE INC NEW WARRANT 1 1/19/2024 / CUSIP: 674215116 / Symbol: (cont'd)							
	0.066	0.68	09/18/20	5.78	...	-5.10	22 of 32 - Sale ²⁵
	0.051	0.52	09/18/20	4.48	...	-3.96	23 of 32 - Sale ²⁵
	0.051	0.52	09/18/20	4.47	...	-3.95	24 of 32 - Sale ²⁵
	0.025	0.26	09/18/20	2.21	...	-1.95	25 of 32 - Sale ²⁵
	0.010	0.10	09/18/20	0.90	...	-0.80	26 of 32 - Sale ²⁵
	0.253	2.61	09/24/20	67.17	...	-64.56	27 of 32 - Sale ²⁵
	0.213	2.20	09/24/20	51.79	...	-49.59	28 of 32 - Sale ²⁵
	0.030	0.32	09/24/20	8.06	...	-7.74	29 of 32 - Sale ²⁵
	0.025	0.26	09/24/20	7.03	...	-6.77	30 of 32 - Sale ²⁵
	0.025	0.26	09/24/20	6.32	...	-6.06	31 of 32 - Sale ²⁵
	0.010	0.10	09/24/20	3.11	...	-3.01	32 of 32 - Sale ²⁵
04/08/21	3.000	30.90	Various	701.32	...	-670.42	Total of 32 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
3 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	13.000	9.23	01/07/21	7.93	...	1.30	1 of 3 - Sale ²⁵
	37.000	26.27	01/07/21	22.57	...	3.70	2 of 3 - Sale ²⁵
	100.000	70.99	01/07/21	61.00	...	9.99	3 of 3 - Sale ²⁵
01/14/21	150.000	106.49	Various	91.50	...	14.99	Total of 3 transactions
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
6 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	3.000	3.33	12/24/20	1.30	...	2.03	1 of 6 - Sale ²⁵
	97.000	107.66	12/24/20	42.02	...	65.64	2 of 6 - Sale ²⁵
	100.000	112.99	12/24/20	43.32	...	69.67	3 of 6 - Sale ²⁵
	4.000	4.60	12/28/20	1.78	...	2.82	4 of 6 - Sale ²⁵
	46.000	52.90	12/28/20	20.47	...	32.43	5 of 6 - Sale ²⁵
	50.000	57.49	12/28/20	21.85	...	35.64	6 of 6 - Sale ²⁵
01/14/21	300.000	338.97	Various	130.74	...	208.23	Total of 6 transactions
01/20/21	100.000	111.99	01/15/21	91.00	...	20.99	Sale ²⁵
8 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	5.000	6.75	03/03/21	4.65	...	2.10	1 of 8 - Sale ²⁵
	10.000	13.50	03/03/21	9.28	...	4.22	2 of 8 - Sale ²⁵
	15.000	20.25	03/03/21	13.94	...	6.31	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	40.000	54.00	03/03/21	37.12	...	16.88	4 of 8 - Sale ²⁵
	5.000	6.75	03/04/21	4.40	...	2.35	5 of 8 - Sale ²⁵
	10.000	13.50	03/04/21	8.80	...	4.70	6 of 8 - Sale ²⁵
	15.000	20.25	03/08/21	13.04	...	7.21	7 of 8 - Sale ²⁵
	20.000	26.99	03/08/21	17.38	...	9.61	8 of 8 - Sale ²⁵
03/09/21	120.000	161.99	Various	108.61	...	53.38	Total of 8 transactions
	Security total:	612.95		330.35	...	282.60	
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
06/17/21	2.000	32.12	06/16/21	30.57	...	1.55	Sale ²⁵
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
5 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	40.000	44.39	01/25/21	46.60	...	-2.21	1 of 5 - Sale ²⁵
	25.000	27.75	01/25/21	28.88	...	-1.13	2 of 5 - Sale ²⁵
	10.000	11.10	01/25/21	11.45	...	-0.35	3 of 5 - Sale ²⁵
	5.000	5.55	01/25/21	5.56	...	-0.01	4 of 5 - Sale ²⁵
	20.000	22.20	01/25/21	20.69	...	1.51	5 of 5 - Sale ²⁵
02/02/21	100.000	110.99	Various	113.18	...	-2.19	Total of 5 transactions
6 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	100.000	109.99	01/14/21	119.50	9.51 W	0.00	1 of 6 - Sale ²⁵
	25.000	27.75	01/14/21	31.63	3.88 W	0.00	2 of 6 - Sale ²⁵
	10.000	11.10	01/14/21	12.55	1.45 W	0.00	3 of 6 - Sale ²⁵
	40.000	44.39	01/14/21	51.00	6.61 W	0.00	4 of 6 - Sale ²⁵
	5.000	5.55	01/15/21	6.11	0.56 W	0.00	5 of 6 - Sale ²⁵
	20.000	22.20	01/15/21	22.90	0.70 W	0.00	6 of 6 - Sale ²⁵
02/02/21	200.000	220.98	Various	243.69	22.71 W	0.00	Total of 6 transactions
	Security total:	331.97		356.87	22.71 W	-2.19	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
02/12/21	20.000	26.80	01/21/21	30.60	3.80 W	0.00	Sale ²⁵
10 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	5.000	7.70	01/21/21	8.55	...	-0.85	1 of 10 - Sale ²⁵
	12.000	18.48	01/21/21	19.08	...	-0.60	2 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	10.000	15.40	01/21/21	15.90	...	-0.50	3 of 10 - Sale ²⁵
	3.000	4.62	01/21/21	4.77	...	-0.15	4 of 10 - Sale ²⁵
	80.000	123.19	01/21/21	122.40	...	0.79	5 of 10 - Sale ²⁵
	5.000	7.70	02/08/21	7.55	...	0.15	6 of 10 - Sale ²⁵
	5.000	7.70	02/08/21	6.95	...	0.75	7 of 10 - Sale ²⁵
	20.000	30.80	02/08/21	28.20	...	2.60	8 of 10 - Sale ²⁵
	10.000	15.40	02/12/21	15.10	...	0.30	9 of 10 - Sale ²⁵
	30.000	46.19	02/12/21	40.20	...	5.99	10 of 10 - Sale ²⁵
02/16/21	180.000	277.18	Various	268.70	...	8.48	Total of 10 transactions
	5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	15.000	21.90	01/21/21	22.95	1.05 W	0.00	1 of 5 - Sale ²⁵
	12.000	17.52	01/21/21	18.36	0.84 W	0.00	2 of 5 - Sale ²⁵
	23.000	33.58	01/21/21	35.19	1.61 W	0.00	3 of 5 - Sale ²⁵
	5.000	7.70	01/21/21	7.95	0.25 W	0.00	4 of 5 - Sale ²⁵
	15.000	23.10	01/21/21	25.65	2.55 W	0.00	5 of 5 - Sale ²⁵
02/16/21	70.000	103.80	Various	110.10	6.30 W	0.00	Total of 5 transactions
	Security total:	407.78		409.40	10.10 W	8.48	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
	13 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	20.000	20.60	12/09/20	9.69	...	10.91	1 of 13 - Sale ²⁵
	20.000	24.00	12/09/20	9.80	...	14.20	2 of 13 - Sale ²⁵
	180.000	185.38	12/09/20	87.25	...	98.13	3 of 13 - Sale ²⁵
	25.000	30.00	12/14/20	12.41	...	17.59	4 of 13 - Sale ²⁵
	25.000	30.00	12/14/20	12.16	...	17.84	5 of 13 - Sale ²⁵
	30.000	36.00	12/14/20	15.28	...	20.72	6 of 13 - Sale ²⁵
	50.000	59.99	12/14/20	24.44	...	35.55	7 of 13 - Sale ²⁵
	50.000	59.99	12/15/20	24.60	...	35.39	8 of 13 - Sale ²⁵
	100.000	129.99	12/15/20	49.20	...	80.79	9 of 13 - Sale ²⁵
	50.000	41.01	12/17/20	22.85	...	18.16	10 of 13 - Sale ²⁵
	50.000	41.01	12/24/20	23.50	...	17.51	11 of 13 - Sale ²⁵
	50.000	46.01	01/25/21	30.70	...	15.31	12 of 13 - Sale ²⁵
	50.000	46.78	01/25/21	30.70	...	16.08	13 of 13 - Sale ²⁵
01/28/21	700.000	750.76	Various	352.58	...	398.18	Total of 13 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>9 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	52.49	01/25/21	30.70	...	21.79	1 of 9 - Sale ²⁵
	50.000	52.49	01/25/21	29.64	...	22.85	2 of 9 - Sale ²⁵
	100.000	104.99	01/25/21	59.00	...	45.99	3 of 9 - Sale ²⁵
	49.000	51.45	01/26/21	27.01	...	24.44	4 of 9 - Sale ²⁵
	51.000	53.54	01/26/21	28.12	...	25.42	5 of 9 - Sale ²⁵
	50.000	54.50	01/26/21	27.50	...	27.00	6 of 9 - Sale ²⁵
	50.000	55.00	01/26/21	27.50	...	27.50	7 of 9 - Sale ²⁵
	50.000	55.50	01/27/21	24.75	...	30.75	8 of 9 - Sale ²⁵
	50.000	56.00	01/27/21	24.62	...	31.38	9 of 9 - Sale ²⁵
02/01/21	500.000	535.96	Various	278.84	...	257.12	Total of 9 transactions
<i>6 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	52.50	02/04/21	29.25	...	23.25	1 of 6 - Sale ²⁵
	25.000	52.49	02/04/21	28.88	...	23.61	2 of 6 - Sale ²⁵
	50.000	104.99	02/05/21	57.50	...	47.49	3 of 6 - Sale ²⁵
	50.000	104.99	02/05/21	57.00	...	47.99	4 of 6 - Sale ²⁵
	50.000	104.99	02/05/21	56.50	...	48.49	5 of 6 - Sale ²⁵
	50.000	104.99	02/05/21	56.00	...	48.99	6 of 6 - Sale ²⁵
02/10/21	250.000	524.95	Various	285.13	...	239.82	Total of 6 transactions
	Security total:	1,811.67		916.55	...	895.12	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
01/11/21	140.000	148.38	01/07/21	108.85	...	39.53	Sale ²⁵
01/13/21	100.000	109.99	01/07/21	77.75	...	32.24	Sale ²⁵
<i>8 transactions for 01/25/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	5.50	01/07/21	3.88	...	1.62	1 of 8 - Sale ²⁵
	10.000	11.00	01/07/21	7.77	...	3.23	2 of 8 - Sale ²⁵
	10.000	11.00	01/07/21	7.76	...	3.24	3 of 8 - Sale ²⁵
	10.000	11.00	01/07/21	7.71	...	3.29	4 of 8 - Sale ²⁵
	15.000	16.50	01/07/21	11.63	...	4.87	5 of 8 - Sale ²⁵
	50.000	54.99	01/07/21	38.89	...	16.10	6 of 8 - Sale ²⁵
	100.000	111.99	01/12/21	84.60	...	27.39	7 of 8 - Sale ²⁵
	75.000	83.99	01/15/21	67.57	...	16.42	8 of 8 - Sale ²⁵
01/25/21	275.000	305.97	Various	229.81	...	76.16	Total of 8 transactions

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Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	25.000	26.75	01/15/21	22.53	...	4.22	1 of 3 - Sale ²⁵
	50.000	53.49	01/15/21	45.05	...	8.44	2 of 3 - Sale ²⁵
	125.000	133.74	01/28/21	116.25	...	17.49	3 of 3 - Sale ²⁵
02/03/21	200.000	213.98	Various	183.83	...	30.15	Total of 3 transactions
	Security total:	778.32		600.24	...	178.08	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
01/14/21	100.000	68.99	01/14/21	69.00	...	-0.01	Sale ²⁵
UR-ENERGY INC. / CUSIP: 91688R108 / Symbol:							
4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	25.000	23.62	01/20/21	23.52	...	0.10	1 of 4 - Sale ²⁵
	150.000	141.73	01/20/21	141.02	...	0.71	2 of 4 - Sale ²⁵
	25.000	23.62	01/21/21	23.55	...	0.07	3 of 4 - Sale ²⁵
	25.000	23.63	01/21/21	23.08	...	0.55	4 of 4 - Sale ²⁵
01/22/21	225.000	212.60	Various	211.17	...	1.43	Total of 4 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
38 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	1.72	12/21/20	1.25	...	0.47	1 of 38 - Sale ²⁵
	4.188	7.20	12/28/20	11.35	...	-4.15	2 of 38 - Sale ²⁵
	3.350	5.76	12/28/20	9.66	...	-3.90	3 of 38 - Sale ²⁵
	4.188	7.20	12/28/20	10.89	...	-3.69	4 of 38 - Sale ²⁵
	10.000	17.20	12/28/20	20.50	...	-3.30	5 of 38 - Sale ²⁵
	3.625	6.23	12/28/20	9.40	...	-3.17	6 of 38 - Sale ²⁵
	3.075	5.29	12/28/20	7.97	...	-2.68	7 of 38 - Sale ²⁵
	2.813	4.84	12/28/20	7.31	...	-2.47	8 of 38 - Sale ²⁵
	10.000	17.20	12/28/20	19.05	...	-1.85	9 of 38 - Sale ²⁵
	1.675	2.88	12/28/20	4.55	...	-1.67	10 of 38 - Sale ²⁵
	1.038	1.78	12/28/20	3.04	...	-1.26	11 of 38 - Sale ²⁵
	1.375	2.36	12/28/20	3.57	...	-1.21	12 of 38 - Sale ²⁵
	3.000	5.16	12/28/20	6.20	...	-1.04	13 of 38 - Sale ²⁵
	0.838	1.44	12/28/20	2.47	...	-1.03	14 of 38 - Sale ²⁵

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Account 880963962

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	0.838	1.44	12/28/20	2.25	...	-0.81	15 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	9.22	...	-0.62	16 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	8.87	...	-0.27	17 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	8.70	...	-0.10	18 of 38 - Sale ²⁵
	1.000	1.72	12/28/20	1.61	...	0.11	19 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	8.43	...	0.17	20 of 38 - Sale ²⁵
	4.000	6.88	12/28/20	6.46	...	0.42	21 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.91	...	0.69	22 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.86	...	0.74	23 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.86	...	0.74	24 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.82	...	0.78	25 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.79	...	0.81	26 of 38 - Sale ²⁵
	5.000	8.60	12/28/20	7.70	...	0.90	27 of 38 - Sale ²⁵
	9.000	15.48	12/28/20	13.68	...	1.80	28 of 38 - Sale ²⁵
	25.000	42.99	12/28/20	37.25	...	5.74	29 of 38 - Sale ²⁵
	50.000	85.99	12/28/20	75.00	...	10.99	30 of 38 - Sale ²⁵
	10.000	17.20	12/29/20	13.50	...	3.70	31 of 38 - Sale ²⁵
	4.000	6.88	12/30/20	5.36	...	1.52	32 of 38 - Sale ²⁵
	5.000	8.60	12/30/20	6.73	...	1.87	33 of 38 - Sale ²⁵
	8.000	13.76	12/30/20	10.72	...	3.04	34 of 38 - Sale ²⁵
	13.000	22.36	12/30/20	17.42	...	4.94	35 of 38 - Sale ²⁵
	15.000	25.80	12/30/20	20.40	...	5.40	36 of 38 - Sale ²⁵
	20.000	34.39	12/30/20	26.60	...	7.79	37 of 38 - Sale ²⁵
	35.000	60.19	01/04/21	45.15	...	15.04	38 of 38 - Sale ²⁵
01/06/21	300.000	515.94	Various	481.50	...	34.44	Total of 38 transactions
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
4 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	0.786	1.10	09/01/20	0.00	...	1.10	1 of 4 - Sale ²⁵
	1.257	1.76	09/01/20	0.00	...	1.76	2 of 4 - Sale ²⁵
	1.362	1.91	09/01/20	0.00	...	1.91	3 of 4 - Sale ²⁵
	1.596	2.23	09/01/20	0.00	...	2.23	4 of 4 - Sale ²⁵
02/01/21	5.000	7.00	Various	0.00	...	7.00	Total of 4 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
<i>11 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	63.73	10/12/20	84.51	...	-20.78	1 of 11 - Sale ²⁵
	10.000	25.50	10/12/20	36.08	...	-10.58	2 of 11 - Sale ²⁵
	10.000	25.50	10/12/20	35.53	...	-10.03	3 of 11 - Sale ²⁵
	10.000	25.49	10/12/20	34.50	...	-9.01	4 of 11 - Sale ²⁵
	5.000	12.75	10/12/20	17.94	...	-5.19	5 of 11 - Sale ²⁵
	5.000	12.75	10/12/20	17.08	...	-4.33	6 of 11 - Sale ²⁵
	5.000	12.75	10/12/20	16.15	...	-3.40	7 of 11 - Sale ²⁵
	3.000	7.65	10/12/20	10.94	...	-3.29	8 of 11 - Sale ²⁵
	2.000	5.10	10/12/20	7.13	...	-2.03	9 of 11 - Sale ²⁵
	5.000	12.75	10/12/20	13.88	...	-1.13	10 of 11 - Sale ²⁵
	5.000	12.75	10/12/20	13.70	...	-0.95	11 of 11 - Sale ²⁵
01/27/21	85.000	216.72	Various	287.44	...	-70.72	Total of 11 transactions
<i>16 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	12.75	10/12/20	19.19	6.44 W	0.00	1 of 16 - Sale ²⁵
	2.000	5.10	10/12/20	7.52	2.42 W	0.00	2 of 16 - Sale ²⁵
	2.000	5.10	10/12/20	7.54	2.44 W	0.00	3 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	18.74	5.99 W	0.00	4 of 16 - Sale ²⁵
	2.000	5.10	10/12/20	8.73	3.63 W	0.00	5 of 16 - Sale ²⁵
	4.000	10.20	10/12/20	17.87	7.67 W	0.00	6 of 16 - Sale ²⁵
	30.000	76.49	10/12/20	138.55	62.06 W	0.00	7 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	22.57	9.82 W	0.00	8 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	22.49	9.74 W	0.00	9 of 16 - Sale ²⁵
	10.000	25.50	10/12/20	45.22	19.72 W	0.00	10 of 16 - Sale ²⁵
	10.000	25.50	10/12/20	40.33	14.83 W	0.00	11 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	20.14	7.39 W	0.00	12 of 16 - Sale ²⁵
	15.000	38.25	10/12/20	59.06	20.81 W	0.00	13 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	18.72	5.97 W	0.00	14 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	18.52	5.77 W	0.00	15 of 16 - Sale ²⁵
	5.000	12.75	10/12/20	18.27	5.52 W	0.00	16 of 16 - Sale ²⁵
01/27/21	115.000	293.24	Various	483.46	190.22 W	0.00	Total of 16 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol: (cont'd)							
12 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	10.000	25.70	10/12/20	29.90	...	-4.20	1 of 12 - Sale ²⁵
	10.000	25.70	10/12/20	29.10	...	-3.40	2 of 12 - Sale ²⁵
	5.000	12.85	10/12/20	14.50	...	-1.65	3 of 12 - Sale ²⁵
	20.000	51.40	10/12/20	52.71	...	-1.31	4 of 12 - Sale ²⁵
	5.000	12.85	10/12/20	13.70	...	-0.85	5 of 12 - Sale ²⁵
	25.000	64.25	10/12/20	64.01	...	0.24	6 of 12 - Sale ²⁵
	5.000	12.85	10/12/20	12.38	...	0.47	7 of 12 - Sale ²⁵
	20.000	51.39	10/12/20	46.40	...	4.99	8 of 12 - Sale ²⁵
	28.000	71.68	10/12/20	64.96	...	6.72	9 of 12 - Sale ²⁵
	36.000	92.16	10/12/20	83.52	...	8.64	10 of 12 - Sale ²⁵
	36.000	92.16	10/12/20	80.96	...	11.20	11 of 12 - Sale ²⁵
	36.000	92.15	10/12/20	78.74	...	13.41	12 of 12 - Sale ²⁵
02/09/21	236.000	605.14	Various	570.88	...	34.26	Total of 12 transactions
37 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	14.000	37.24	10/12/20	30.62	...	6.62	1 of 37 - Sale ²⁵
	19.000	50.54	10/12/20	41.56	...	8.98	2 of 37 - Sale ²⁵
	31.000	82.49	10/12/20	67.80	...	14.69	3 of 37 - Sale ²⁵
	5.000	13.30	10/21/20	10.13	...	3.17	4 of 37 - Sale ²⁵
	15.000	39.90	10/23/20	29.33	...	10.57	5 of 37 - Sale ²⁵
	5.000	13.30	11/02/20	9.38	...	3.92	6 of 37 - Sale ²⁵
	1.000	2.66	11/10/20	1.74	...	0.92	7 of 37 - Sale ²⁵
	2.000	5.32	11/10/20	3.47	...	1.85	8 of 37 - Sale ²⁵
	2.000	5.32	11/10/20	3.44	...	1.88	9 of 37 - Sale ²⁵
	3.000	7.98	11/11/20	5.06	...	2.92	10 of 37 - Sale ²⁵
	2.000	5.32	11/17/20	3.32	...	2.00	11 of 37 - Sale ²⁵
	5.000	13.30	11/18/20	8.44	...	4.86	12 of 37 - Sale ²⁵
	10.000	26.60	11/19/20	16.60	...	10.00	13 of 37 - Sale ²⁵
	7.000	18.62	12/01/20	10.96	...	7.66	14 of 37 - Sale ²⁵
	10.000	26.60	12/01/20	15.08	...	11.52	15 of 37 - Sale ²⁵
	13.000	34.58	12/09/20	19.35	...	15.23	16 of 37 - Sale ²⁵
	20.000	53.19	12/18/20	27.60	...	25.59	17 of 37 - Sale ²⁵
	15.000	39.90	12/24/20	20.10	...	19.80	18 of 37 - Sale ²⁵
	20.000	53.19	12/24/20	26.70	...	26.49	19 of 37 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	10.000	26.60	12/28/20	33.39	...	-6.79	20 of 37 - Sale ²⁵
	4.000	10.64	12/28/20	12.75	...	-2.11	21 of 37 - Sale ²⁵
	1.000	2.66	12/28/20	3.16	...	-0.50	22 of 37 - Sale ²⁵
	1.000	2.66	12/28/20	3.08	...	-0.42	23 of 37 - Sale ²⁵
	5.000	13.30	12/28/20	13.14	...	0.16	24 of 37 - Sale ²⁵
	2.000	5.32	12/28/20	5.12	...	0.20	25 of 37 - Sale ²⁵
	2.000	5.32	12/28/20	5.10	...	0.22	26 of 37 - Sale ²⁵
	5.000	13.30	12/28/20	12.69	...	0.61	27 of 37 - Sale ²⁵
	20.000	53.19	12/29/20	65.17	...	-11.98	28 of 37 - Sale ²⁵
	10.000	26.60	12/29/20	31.52	...	-4.92	29 of 37 - Sale ²⁵
	5.000	13.30	12/29/20	15.72	...	-2.42	30 of 37 - Sale ²⁵
	15.000	39.89	02/11/21	59.36	...	-19.47	31 of 37 - Sale ²⁵
	10.000	26.60	02/11/21	40.53	...	-13.93	32 of 37 - Sale ²⁵
	5.000	13.30	02/11/21	22.59	...	-9.29	33 of 37 - Sale ²⁵
	5.000	13.30	02/11/21	20.24	...	-6.94	34 of 37 - Sale ²⁵
	5.000	13.30	02/11/21	18.82	...	-5.52	35 of 37 - Sale ²⁵
	5.000	13.30	02/11/21	18.62	...	-5.32	36 of 37 - Sale ²⁵
	5.000	13.29	02/11/21	18.37	...	-5.08	37 of 37 - Sale ²⁵
02/12/21	314.000	835.22	Various	750.05	...	85.17	Total of 37 transactions
	3 transactions for 08/24/21. Total proceeds and cost reported to the IRS.						
	1.000	1.71	08/16/21	1.69	...	0.02	1 of 3 - Sale ²⁵
	3.000	5.11	08/16/21	4.91	...	0.20	2 of 3 - Sale ²⁵
	1.000	1.71	08/17/21	1.47	...	0.24	3 of 3 - Sale ²⁵
08/24/21	5.000	8.53	Various	8.07	...	0.46	Total of 3 transactions
	Security total:	1,958.85		2,099.90	190.22 W	49.17	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
	4 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	38.000	35.34	01/07/21	14.83	...	20.51	1 of 4 - Sale ²⁵
	100.000	74.09	01/07/21	39.02	...	35.07	2 of 4 - Sale ²⁵
	100.000	75.99	01/07/21	39.02	...	36.97	3 of 4 - Sale ²⁵
	74.000	68.81	01/07/21	28.87	...	39.94	4 of 4 - Sale ²⁵
01/11/21	312.000	254.23	Various	121.74	...	132.49	Total of 4 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
<i>2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	24.000	27.12	01/07/21	9.37	...	17.75	1 of 2 - Sale ²⁵
	88.000	99.43	01/07/21	34.33	...	65.10	2 of 2 - Sale ²⁵
01/12/21	112.000	126.55	Various	43.70	...	82.85	Total of 2 transactions
02/01/21	1.000	1.02	01/07/21	0.39	...	0.63	Sale ²⁵
<i>5 transactions for 02/01/21. Total proceeds and cost reported to the IRS.</i>							
	49.000	49.98	01/12/21	58.80	8.82 W	0.00	1 of 5 - Sale ²⁵
	50.000	52.50	01/12/21	60.00	7.50 W	0.00	2 of 5 - Sale ²⁵
	1.000	1.10	01/12/21	1.20	0.10 W	0.00	3 of 5 - Sale ²⁵
	49.000	53.90	01/12/21	67.62	13.72 W	0.00	4 of 5 - Sale ²⁵
	50.000	55.00	01/12/21	67.50	12.50 W	0.00	5 of 5 - Sale ²⁵
02/01/21	199.000	212.48	Various	255.12	42.64 W	0.00	Total of 5 transactions
<i>3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.13	01/12/21	1.30	0.17 W	0.00	1 of 3 - Sale ²⁵
	12.000	13.56	01/12/21	17.76	4.20 W	0.00	2 of 3 - Sale ²⁵
	37.000	41.81	01/13/21	50.32	8.51 W	0.00	3 of 3 - Sale ²⁵
02/03/21	50.000	56.50	Various	69.38	12.88 W	0.00	Total of 3 transactions
<i>12 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	15.00	01/13/21	14.82	...	0.18	1 of 12 - Sale ²⁵
	1.000	1.38	01/13/21	1.06	...	0.32	2 of 12 - Sale ²⁵
	5.000	6.60	01/13/21	4.72	...	1.88	3 of 12 - Sale ²⁵
	12.000	15.84	01/13/21	13.38	...	2.46	4 of 12 - Sale ²⁵
	12.000	16.56	01/13/21	13.62	...	2.94	5 of 12 - Sale ²⁵
	5.000	7.70	01/13/21	4.62	...	3.08	6 of 12 - Sale ²⁵
	12.000	16.56	01/13/21	11.28	...	5.28	7 of 12 - Sale ²⁵
	25.000	34.50	01/13/21	27.88	...	6.62	8 of 12 - Sale ²⁵
	33.000	43.56	01/13/21	31.85	...	11.71	9 of 12 - Sale ²⁵
	20.000	30.80	01/13/21	18.40	...	12.40	10 of 12 - Sale ²⁵
	25.000	36.00	01/13/21	23.53	...	12.47	11 of 12 - Sale ²⁵
	25.000	36.00	01/13/21	23.39	...	12.61	12 of 12 - Sale ²⁵
02/04/21	187.000	260.50	Various	188.55	...	71.95	Total of 12 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	33.000	41.25	01/13/21	43.89	2.64 W	0.00	1 of 2 - Sale ²⁵
	5.000	6.25	01/13/21	6.55	0.30 W	0.00	2 of 2 - Sale ²⁵
02/04/21	38.000	47.50	Various	50.44	2.94 W	0.00	Total of 2 transactions
24 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	3.000	8.55	01/13/21	2.79	...	5.76	1 of 24 - Sale ²⁵
	5.000	14.25	01/13/21	4.57	...	9.68	2 of 24 - Sale ²⁵
	5.000	14.25	01/13/21	4.56	...	9.69	3 of 24 - Sale ²⁵
	10.000	28.50	01/13/21	9.23	...	19.27	4 of 24 - Sale ²⁵
	10.000	28.50	01/13/21	9.20	...	19.30	5 of 24 - Sale ²⁵
	15.000	42.75	01/13/21	14.14	...	28.61	6 of 24 - Sale ²⁵
	15.000	42.75	01/13/21	13.82	...	28.93	7 of 24 - Sale ²⁵
	15.000	42.75	01/13/21	13.58	...	29.17	8 of 24 - Sale ²⁵
	22.000	62.70	01/13/21	20.49	...	42.21	9 of 24 - Sale ²⁵
	25.000	71.24	01/13/21	23.17	...	48.07	10 of 24 - Sale ²⁵
	25.000	71.25	01/13/21	23.16	...	48.09	11 of 24 - Sale ²⁵
	25.000	71.24	01/13/21	22.80	...	48.44	12 of 24 - Sale ²⁵
	25.000	71.24	01/13/21	22.28	...	48.96	13 of 24 - Sale ²⁵
	25.000	71.24	01/13/21	22.28	...	48.96	14 of 24 - Sale ²⁵
	25.000	71.25	01/13/21	22.00	...	49.25	15 of 24 - Sale ²⁵
	10.000	28.50	01/14/21	8.72	...	19.78	16 of 24 - Sale ²⁵
	25.000	71.24	01/14/21	21.75	...	49.49	17 of 24 - Sale ²⁵
	10.000	28.50	01/15/21	9.70	...	18.80	18 of 24 - Sale ²⁵
	20.000	57.00	01/15/21	19.58	...	37.42	19 of 24 - Sale ²⁵
	10.000	28.50	01/21/21	10.00	...	18.50	20 of 24 - Sale ²⁵
	9.000	25.65	01/22/21	8.61	...	17.04	21 of 24 - Sale ²⁵
	16.000	45.59	01/22/21	15.31	...	30.28	22 of 24 - Sale ²⁵
	15.000	42.75	01/26/21	14.58	...	28.17	23 of 24 - Sale ²⁵
	10.000	28.50	01/27/21	8.98	...	19.52	24 of 24 - Sale ²⁵
02/11/21	375.000	1,068.69	Various	345.30	...	723.39	Total of 24 transactions
6 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	5.000	12.65	02/12/21	12.65	...	0.00	1 of 6 - Sale ²⁵
	20.000	50.79	02/12/21	50.40	...	0.39	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	10.000	25.30	02/12/21	24.88	...	0.42	3 of 6 - Sale ²⁵
	10.000	25.40	02/12/21	24.80	...	0.60	4 of 6 - Sale ²⁵
	20.000	50.60	02/12/21	48.81	...	1.79	5 of 6 - Sale ²⁵
	25.000	63.25	02/12/21	60.75	...	2.50	6 of 6 - Sale ²⁵
02/16/21	90.000	227.99	Various	222.29	...	5.70	Total of 6 transactions
	16 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	50.000	126.50	02/11/21	132.45	5.95 W	0.00	1 of 16 - Sale ²⁵
	50.000	126.49	02/11/21	130.50	4.01 W	0.00	2 of 16 - Sale ²⁵
	25.000	63.00	02/11/21	66.20	3.20 W	0.00	3 of 16 - Sale ²⁵
	25.000	63.25	02/11/21	66.20	2.95 W	0.00	4 of 16 - Sale ²⁵
	50.000	126.49	02/11/21	130.01	3.52 W	0.00	5 of 16 - Sale ²⁵
	20.000	50.60	02/12/21	54.76	4.16 W	0.00	6 of 16 - Sale ²⁵
	5.000	12.65	02/12/21	13.54	0.89 W	0.00	7 of 16 - Sale ²⁵
	15.000	37.95	02/12/21	40.47	2.52 W	0.00	8 of 16 - Sale ²⁵
	5.000	12.65	02/12/21	13.19	0.54 W	0.00	9 of 16 - Sale ²⁵
	20.000	50.59	02/12/21	53.36	2.77 W	0.00	10 of 16 - Sale ²⁵
	5.000	12.70	02/12/21	13.14	0.44 W	0.00	11 of 16 - Sale ²⁵
	5.000	12.70	02/12/21	13.04	0.34 W	0.00	12 of 16 - Sale ²⁵
	10.000	25.40	02/12/21	26.38	0.98 W	0.00	13 of 16 - Sale ²⁵
	5.000	12.70	02/12/21	12.94	0.24 W	0.00	14 of 16 - Sale ²⁵
	15.000	38.10	02/12/21	39.28	1.18 W	0.00	15 of 16 - Sale ²⁵
	4.000	9.92	02/12/21	10.43	0.51 W	0.00	16 of 16 - Sale ²⁵
02/16/21	309.000	781.69	Various	815.89	34.20 W	0.00	Total of 16 transactions
	8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	5.000	10.70	03/03/21	10.15	...	0.55	1 of 8 - Sale ²⁵
	5.000	10.70	03/03/21	10.15	...	0.55	2 of 8 - Sale ²⁵
	10.000	21.40	03/03/21	20.79	...	0.61	3 of 8 - Sale ²⁵
	10.000	21.40	03/03/21	20.20	...	1.20	4 of 8 - Sale ²⁵
	10.000	21.40	03/04/21	20.00	...	1.40	5 of 8 - Sale ²⁵
	10.000	21.40	03/04/21	18.20	...	3.20	6 of 8 - Sale ²⁵
	10.000	21.40	03/10/21	19.70	...	1.70	7 of 8 - Sale ²⁵
	10.000	21.39	03/10/21	19.60	...	1.79	8 of 8 - Sale ²⁵
03/12/21	70.000	149.79	Various	138.79	...	11.00	Total of 8 transactions

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
8 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	1.000	2.14	02/12/21	2.61	0.47 W	0.00	1 of 8 - Sale ²⁵
	5.000	10.70	03/03/21	11.29	0.59 W	0.00	2 of 8 - Sale ²⁵
	4.000	8.56	03/03/21	9.15	0.59 W	0.00	3 of 8 - Sale ²⁵
	4.000	8.56	03/03/21	8.79	0.23 W	0.00	4 of 8 - Sale ²⁵
	15.000	32.10	03/03/21	33.43	1.33 W	0.00	5 of 8 - Sale ²⁵
	6.000	12.84	03/03/21	13.49	0.65 W	0.00	6 of 8 - Sale ²⁵
	1.000	2.14	03/03/21	2.20	0.06 W	0.00	7 of 8 - Sale ²⁵
	4.000	8.56	03/03/21	9.11	0.55 W	0.00	8 of 8 - Sale ²⁵
03/12/21	40.000	85.60	Various	90.07	4.47 W	0.00	Total of 8 transactions
	Security total:	3,272.54		2,341.66	97.13 W	1,028.01	

BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol:

26 transactions for 01/08/21. Total proceeds and cost reported to the IRS.

	10.000	9.40	11/20/20	8.70	...	0.70	1 of 26 - Sale ²⁵
	30.000	28.20	11/20/20	26.40	...	1.80	2 of 26 - Sale ²⁵
	10.000	9.40	11/23/20	8.60	...	0.80	3 of 26 - Sale ²⁵
	25.000	23.50	11/23/20	22.08	...	1.42	4 of 26 - Sale ²⁵
	25.000	23.50	11/23/20	21.64	...	1.86	5 of 26 - Sale ²⁵
	50.000	46.99	11/23/20	42.99	...	4.00	6 of 26 - Sale ²⁵
	100.000	93.99	11/24/20	88.00	...	5.99	7 of 26 - Sale ²⁵
	1.000	0.94	11/30/20	0.94	...	0.00	8 of 26 - Sale ²⁵
	1.000	0.94	11/30/20	0.93	...	0.01	9 of 26 - Sale ²⁵
	2.000	1.88	11/30/20	1.86	...	0.02	10 of 26 - Sale ²⁵
	7.000	6.58	11/30/20	6.56	...	0.02	11 of 26 - Sale ²⁵
	5.000	4.70	11/30/20	4.62	...	0.08	12 of 26 - Sale ²⁵
	13.000	12.22	11/30/20	11.98	...	0.24	13 of 26 - Sale ²⁵
	1.000	0.94	12/02/20	0.89	...	0.05	14 of 26 - Sale ²⁵
	4.000	3.76	12/02/20	3.64	...	0.12	15 of 26 - Sale ²⁵
	6.000	5.64	12/02/20	5.49	...	0.15	16 of 26 - Sale ²⁵
	3.000	2.82	12/02/20	2.63	...	0.19	17 of 26 - Sale ²⁵
	6.000	5.64	12/02/20	5.28	...	0.36	18 of 26 - Sale ²⁵
	8.000	7.52	12/02/20	6.90	...	0.62	19 of 26 - Sale ²⁵
	20.000	18.80	12/02/20	17.80	...	1.00	20 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
	19.000	17.85	12/02/20	16.59	...	1.26	21 of 26 - Sale ²⁵
	6.000	5.64	12/04/20	5.28	...	0.36	22 of 26 - Sale ²⁵
	14.000	13.16	12/04/20	12.46	...	0.70	23 of 26 - Sale ²⁵
	25.000	23.49	12/07/20	21.50	...	1.99	24 of 26 - Sale ²⁵
	50.000	46.99	12/07/20	42.50	...	4.49	25 of 26 - Sale ²⁵
	25.000	23.49	12/21/20	21.82	...	1.67	26 of 26 - Sale ²⁵
01/08/21	466.000	437.98	Various	408.08	...	29.90	Total of 26 transactions
	12 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	1.000	0.94	11/30/20	1.06	0.12 W	0.00	1 of 12 - Sale ²⁵
	1.000	0.94	11/30/20	1.05	0.11 W	0.00	2 of 12 - Sale ²⁵
	3.000	2.82	11/30/20	3.17	0.35 W	0.00	3 of 12 - Sale ²⁵
	5.000	4.70	11/30/20	5.47	0.77 W	0.00	4 of 12 - Sale ²⁵
	2.000	1.88	11/30/20	2.15	0.27 W	0.00	5 of 12 - Sale ²⁵
	2.000	1.88	11/30/20	2.23	0.35 W	0.00	6 of 12 - Sale ²⁵
	2.000	1.88	11/30/20	2.14	0.26 W	0.00	7 of 12 - Sale ²⁵
	6.000	5.64	11/30/20	6.32	0.68 W	0.00	8 of 12 - Sale ²⁵
	1.000	0.94	11/30/20	1.04	0.10 W	0.00	9 of 12 - Sale ²⁵
	2.000	1.88	11/30/20	2.15	0.27 W	0.00	10 of 12 - Sale ²⁵
	5.000	4.70	11/30/20	5.20	0.50 W	0.00	11 of 12 - Sale ²⁵
	4.000	3.76	11/30/20	3.90	0.14 W	0.00	12 of 12 - Sale ²⁵
01/08/21	34.000	31.96	Various	35.88	3.92 W	0.00	Total of 12 transactions
	13 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	2.000	2.07	12/21/20	2.09	...	-0.02	1 of 13 - Sale ²⁵
	1.000	1.03	12/21/20	0.99	...	0.04	2 of 13 - Sale ²⁵
	1.000	1.03	12/21/20	0.98	...	0.05	3 of 13 - Sale ²⁵
	5.000	5.18	12/21/20	5.12	...	0.06	4 of 13 - Sale ²⁵
	2.000	2.07	12/21/20	2.01	...	0.06	5 of 13 - Sale ²⁵
	2.000	2.07	12/21/20	2.01	...	0.06	6 of 13 - Sale ²⁵
	2.000	2.07	12/21/20	2.00	...	0.07	7 of 13 - Sale ²⁵
	1.000	1.04	12/21/20	0.97	...	0.07	8 of 13 - Sale ²⁵
	3.000	3.10	12/21/20	2.96	...	0.14	9 of 13 - Sale ²⁵
	6.000	6.21	12/21/20	5.90	...	0.31	10 of 13 - Sale ²⁵
	5.000	5.17	12/21/20	4.85	...	0.32	11 of 13 - Sale ²⁵
	4.000	4.14	12/21/20	3.62	...	0.52	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
01/11/21	66.000	68.31	12/21/20	57.42	...	10.89	13 of 13 - Sale ²⁵
	100.000	103.49	Various	90.92	...	12.57	Total of 13 transactions
	7 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	100.000	87.49	06/11/21	89.00	...	-1.51	1 of 7 - Sale ²⁵
	20.000	17.50	06/15/21	17.50	...	0.00	2 of 7 - Sale ²⁵
	5.000	4.37	06/15/21	4.24	...	0.13	3 of 7 - Sale ²⁵
	5.000	4.37	06/15/21	4.24	...	0.13	4 of 7 - Sale ²⁵
	5.000	4.38	06/15/21	4.24	...	0.14	5 of 7 - Sale ²⁵
	5.000	4.37	06/15/21	4.23	...	0.14	6 of 7 - Sale ²⁵
	15.000	13.12	06/15/21	12.73	...	0.39	7 of 7 - Sale ²⁵
07/01/21	155.000	135.60	Various	136.18	...	-0.58	Total of 7 transactions
	Security total:	709.03		671.06	3.92 W	41.89	
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
	2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	15.000	10.65	12/22/20	7.67	...	2.98	1 of 2 - Sale ²⁵
01/11/21	25.000	17.75	12/22/20	12.58	...	5.17	2 of 2 - Sale ²⁵
	40.000	28.40	Various	20.25	...	8.15	Total of 2 transactions
	6 transactions for 01/11/21. Total proceeds and cost reported to the IRS.						
	10.000	7.11	12/28/20	8.40	1.29 W	0.00	1 of 6 - Sale ²⁵
	15.000	10.35	12/28/20	12.60	2.25 W	0.00	2 of 6 - Sale ²⁵
	10.000	6.90	12/28/20	9.59	2.69 W	0.00	3 of 6 - Sale ²⁵
	5.000	3.45	12/28/20	4.15	0.70 W	0.00	4 of 6 - Sale ²⁵
	20.000	13.80	12/28/20	16.40	2.60 W	0.00	5 of 6 - Sale ²⁵
	50.000	34.49	12/28/20	35.22	0.73 W	0.00	6 of 6 - Sale ²⁵
01/11/21	110.000	76.10	Various	86.36	10.26 W	0.00	Total of 6 transactions
	18 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	10.000	8.11	12/28/20	8.08	...	0.03	1 of 18 - Sale ²⁵
	15.000	12.16	12/28/20	10.35	...	1.81	2 of 18 - Sale ²⁵
	25.000	20.37	12/28/20	17.00	...	3.37	3 of 18 - Sale ²⁵
	25.000	20.38	12/28/20	16.25	...	4.13	4 of 18 - Sale ²⁵
	50.000	40.74	12/28/20	35.47	...	5.27	5 of 18 - Sale ²⁵
	50.000	40.74	12/28/20	34.17	...	6.57	6 of 18 - Sale ²⁵

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Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol: (cont'd)							
	100.000	81.49	12/28/20	69.83	...	11.66	7 of 18 - Sale ²⁵
	5.000	4.05	12/29/20	3.51	...	0.54	8 of 18 - Sale ²⁵
	20.000	16.22	12/29/20	14.39	...	1.83	9 of 18 - Sale ²⁵
	25.000	20.28	12/29/20	17.25	...	3.03	10 of 18 - Sale ²⁵
	25.000	20.27	12/29/20	17.00	...	3.27	11 of 18 - Sale ²⁵
	25.000	24.25	12/29/20	17.00	...	7.25	12 of 18 - Sale ²⁵
	25.000	24.25	12/29/20	17.00	...	7.25	13 of 18 - Sale ²⁵
	5.000	4.85	01/04/21	3.19	...	1.66	14 of 18 - Sale ²⁵
	5.000	4.85	01/04/21	3.18	...	1.67	15 of 18 - Sale ²⁵
	10.000	9.70	01/04/21	6.36	...	3.34	16 of 18 - Sale ²⁵
	15.000	14.54	01/05/21	9.27	...	5.27	17 of 18 - Sale ²⁵
	15.000	14.55	01/05/21	9.13	...	5.42	18 of 18 - Sale ²⁵
01/13/21	450.000	381.80	Various	308.43	...	73.37	Total of 18 transactions
	4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	10.000	8.15	12/28/20	9.73	1.58 W	0.00	1 of 4 - Sale ²⁵
	5.000	4.07	12/28/20	4.22	0.15 W	0.00	2 of 4 - Sale ²⁵
	15.000	12.22	12/28/20	12.82	0.60 W	0.00	3 of 4 - Sale ²⁵
	20.000	16.30	12/28/20	16.69	0.39 W	0.00	4 of 4 - Sale ²⁵
01/13/21	50.000	40.74	Various	43.46	2.72 W	0.00	Total of 4 transactions
	5 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	5.000	4.35	01/13/21	4.24	...	0.11	1 of 5 - Sale ²⁵
	100.000	86.98	01/13/21	86.50	...	0.48	2 of 5 - Sale ²⁵
	25.000	21.75	01/13/21	21.25	...	0.50	3 of 5 - Sale ²⁵
	50.000	43.49	01/13/21	42.50	...	0.99	4 of 5 - Sale ²⁵
	20.000	17.39	01/15/21	15.64	...	1.75	5 of 5 - Sale ²⁵
01/20/21	200.000	173.96	Various	170.13	...	3.83	Total of 5 transactions
	Security total:	701.00		628.63	12.98 W	85.35	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	7 transactions for 01/05/21. Total proceeds and cost reported to the IRS.						
	50.000	18.34	12/24/20	10.10	...	8.24	1 of 7 - Sale ²⁵
	125.000	46.44	12/24/20	25.00	...	21.44	2 of 7 - Sale ²⁵
	275.000	100.89	12/24/20	55.00	...	45.89	3 of 7 - Sale ²⁵
	50.000	18.35	12/28/20	10.50	...	7.85	4 of 7 - Sale ²⁵

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Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	50.000	18.34	12/29/20	10.12	...	8.22	5 of 7 - Sale ²⁵
	50.000	18.35	12/29/20	9.90	...	8.45	6 of 7 - Sale ²⁵
	25.000	9.17	12/30/20	4.88	...	4.29	7 of 7 - Sale ²⁵
01/05/21	625.000	229.88	Various	125.50	...	104.38	Total of 7 transactions
	5 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	50.000	31.05	01/12/21	25.00	...	6.05	1 of 5 - Sale ²⁵
	50.000	31.06	01/12/21	25.00	...	6.06	2 of 5 - Sale ²⁵
	150.000	93.16	01/12/21	75.00	...	18.16	3 of 5 - Sale ²⁵
	50.000	31.05	01/13/21	21.93	...	9.12	4 of 5 - Sale ²⁵
	25.000	15.53	01/15/21	11.30	...	4.23	5 of 5 - Sale ²⁵
01/21/21	325.000	201.85	Various	158.23	...	43.62	Total of 5 transactions
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	100.000	63.05	01/22/21	44.79	...	18.26	1 of 3 - Sale ²⁵
	150.000	94.59	01/22/21	67.19	...	27.40	2 of 3 - Sale ²⁵
	50.000	31.53	01/25/21	20.79	...	10.74	3 of 3 - Sale ²⁵
01/27/21	300.000	189.17	Various	132.77	...	56.40	Total of 3 transactions
	2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	100.000	69.50	08/16/21	51.44	...	18.06	1 of 2 - Sale ²⁵
	15.000	10.42	08/20/21	8.25	...	2.17	2 of 2 - Sale ²⁵
11/03/21	115.000	79.92	Various	59.69	...	20.23	Total of 2 transactions
	Security total:	700.82		476.19	...	224.63	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
	3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	40.000	14.12	01/12/21	12.00	...	2.12	1 of 3 - Sale ²⁵
	110.000	38.82	01/12/21	33.00	...	5.82	2 of 3 - Sale ²⁵
	100.000	35.29	01/12/21	28.00	...	7.29	3 of 3 - Sale ²⁵
01/19/21	250.000	88.23	Various	73.00	...	15.23	Total of 3 transactions
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
	8 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	7.15	10/12/20	16.20	...	-9.05	1 of 8 - Sale ²⁵
	1.000	7.15	10/12/20	15.02	...	-7.87	2 of 8 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol: (cont'd)							
	0.700	5.01	10/12/20	11.07	...	-6.06	3 of 8 - Sale ²⁵
	0.500	3.58	10/12/20	7.64	...	-4.06	4 of 8 - Sale ²⁵
	0.300	2.14	10/12/20	4.56	...	-2.42	5 of 8 - Sale ²⁵
	0.200	1.43	10/12/20	3.02	...	-1.59	6 of 8 - Sale ²⁵
	0.150	1.07	10/12/20	2.44	...	-1.37	7 of 8 - Sale ²⁵
	0.150	1.07	10/12/20	2.41	...	-1.34	8 of 8 - Sale ²⁵
01/22/21	4.000	28.60	Various	62.36	...	-33.76	Total of 8 transactions
Totals :		19,571.87		16,444.65	500.95 W	3,628.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 880963962

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K873 / Symbol: 09/07/21	0.500	1.40	01/11/21	2.76	...	-1.36	Gain or loss
OASIS PETE INC NEW WARRANT 1 1/19/2024 / CUSIP: 674215116 / Symbol: 04/16/21	0.038	0.64	08/27/20	4.50	...	-3.86	Gain or loss
Totals:		2.04		7.26	...	-5.22	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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