PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-675	52		
[] FATCA filing requirement (see instructions)	Summary Inform	nation		
DIVIDENDS AND DISTRIBUTIONS 2021 1099-D	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	N 2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.01	2- Royalties		0.00
1b- Qualified dividends	0.01	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends	or interest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2021 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00			
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2021 on closed		0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on open contra		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contra	acts-12/31/2021	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transact	tions appear aummarized hal	low and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	* * * * * * * * * * * * * * * * * * * *		ow and are
9- Cash liquidation distributions	0.00	detailed in subsequent sections of this do		
10- Noncash liquidation distributions		s important tax information and is being furn		
11- Exempt-interest dividends (includes line 12)	_{0.00} If you	are required to file a return, a negligence pe		
12- Specified private activity bond interest dividends (AMT)	_{0.00} you if t	his income is taxable and the IRS determine	s that it has not been repor	tea.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	128,126.57	156,437.21	0.00	18,236.23	-10,074.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	128,126.57	156,437.21	0.00	18,236.23	-10,074.41
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	128,126.57	156,437.21	0.00	18,236.23	-10,074.41
Withholding		Amount				
Federal income	tax withheld	0.00				

2021 (continued) 02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.43
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued Treasury interest paid 0.00 Tax-exempt accrued interest paid 0.00 Tax-exempt accrued interest paid (AMT) 0.00 Taxable accrued nonqualified interest paid 0.00 Tax-exempt accrued nonqualified interest paid 0.00	Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT) 0.00 Taxable accrued nonqualified interest paid 0.00	Taxable accrued Treasury interest paid	0.00
Taxable accrued nonqualified interest paid 0.00		0.00
Taxable accrued nonqualified interest paid 0.00 Tax-exempt accrued nonqualified interest paid 0.00		0.00
Tax-exempt accrued nonqualified interest paid 0.00	Taxable accrued nonqualified interest paid	0.00
	Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT) 0.00	Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest 0.00	Nonqualified interest	0.00
Tax-exempt nonqualified interest 0.00		0.00
Tax-exempt nonqualified interest (AMT) 0.00		0.00
Interest shortfall on contingent payment debt 0.00	Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots) 0.00		0.00
Bond premium- Treasury obligations (noncovered lots) 0.00		0.00
Bond premium- Tax-exempt obligations (noncovered lots) 0.00		0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots) 0.00		0.00
Market discount (noncovered lots) 0.00	Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Proceeds from Broker and Barter Exchange Transactions

02/11/2022

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949. Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVM 07/16/2021 CALI	L \$5.00 / CUSIP: / Symbol:						
06/25/21	1.000	4.98	06/24/21	10.00	•••	-5.02	Option sale to close-call ²⁵
AMC 06/25/2021 CALL	\$41.00 / CUSIP: / Symbol:						
06/11/21	1.000	1,389.98	06/10/21	1,055.00		334.98	Option sale to close-call 25
AMC 08/06/2021 PUT \$	25.00 / CUSIP: / Symbol:						
07/02/21	1.000	106.98	06/29/21	79.00		27.98	Option sale to close-put 25
07/06/21	1.000	69.98	07/02/21	105.00	•••	-35.02	Option sale to close-put ²⁵
	Security total:	176.96		184.00	•••	-7.04	
AMC 08/13/2021 PUT \$	23.00 / CUSIP: / Symbol:						
08/13/21	1.000	0.00	08/06/21	29.00		-29.00	Option expiration- long put
AMC 08/27/2021 PUT \$	37.00 / CUSIP: / Symbol:						
08/27/21	1.000	0.00	08/24/21	112.00		-112.00	Option expiration- long put

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02/11/2022

Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

1a- Description of property	y/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41.5.	4.6.4	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	· ,	Additional information
AMC 09/03/2021 CALL \$48	.00 / CUSIP: / Symbol:	. , . , ,	•			. , ,	
08/24/21	1.000	724.98	08/06/21	230.00		494.98	Option sale to close-call ²⁵
AMC 09/10/2021 CALL \$95	.00 / CUSIP: / Symbol:						
09/10/21	1.000	0.00	09/07/21	13.00		-13.00	Option expiration- long call
AMC 09/10/2021 PUT \$30.0	00 / CUSIP: / Symbol:						
09/10/21	1.000	0.00	08/30/21	41.00		-41.00	Option expiration- long put
AMC 09/10/2021 PUT \$38.0	00 / CUSIP: / Symbol:						
09/10/21	1.000	0.00	09/03/21	58.00		-58.00	Option expiration- long put
AMC 09/10/2021 PUT \$42.0	00 / CUSIP: / Symbol:						
09/10/21	1.000	0.00	09/07/21	74.00		-74.00	Option expiration- long put
AMC 09/17/2021 PUT \$45.0	00 / CUSIP: / Symbol:						
09/14/21	1.000	37.98	09/10/21	100.00		-62.02	Option sale to close-put ²⁵
AMC 10/08/2021 CALL \$39	.00 / CUSIP: / Symbol:						
09/22/21	1.000	424.98	09/21/21	405.00		19.98	Option sale to close-call ²⁵
AMC 12/17/2021 PUT \$28.0	00 / CUSIP: / Symbol:						
12/17/21	1.000	0.00	12/17/21	18.00		-18.00	Option expiration- long put
AMC 12/23/2021 PUT \$25.0	00 / CUSIP: / Symbol:						
12/23/21	1.000	0.00	12/23/21	2.00		-2.00	Option expiration- long put
AYRO 09/17/2021 CALL \$7	7.50 / CUSIP: / Symbol:						
09/17/21	1.000	0.00	08/25/21	4.00		-4.00	Option expiration- long call
BNGO 03/19/2021 CALL \$1	2.00 / CUSIP: / Symbol:						
02/09/21	1.000	284.98	01/27/21	360.00		-75.02	Option sale to close-call ²⁵
BNTX 09/17/2021 PUT \$35	0.00 / CUSIP: / Symbol:						
09/17/21	1.000	439.98	09/15/21	580.00		-140.02	Option sale to close-put ²⁵
CLSK 06/18/2021 CALL \$1	5.00 / CUSIP: / Symbol:						
03/19/21	1.000	1,139.98	03/16/21	1,000.00		139.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 06/04/2021 CA	LL \$245.00 / CUSIP: / Syn	nbol:					
06/04/21	1.000	0.00	06/03/21	32.00	•••	-32.00	Option expiration- long call
CRWD 06/04/2021 PU	T \$197.50 / CUSIP: / Syml	ool:					
06/04/21	1.000	0.00	06/03/21	70.00		-70.00	Option expiration- long put
CRWD 06/11/2021 CA	LL \$217.50 / CUSIP: / Syn	nbol:					
	2 transactions fo	r 06/10/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.000	499.99	06/03/21	760.00		-260.01	1 of 2 - Option sale to close-call 25
	1.000	499.99	06/04/21	190.00		309.99	2 of 2 - Option sale to close-call 25
06/10/21	2.000	999.98	Various	950.00		49.98	Total of 2 transactions
OOCU 06/11/2021 PU	T \$230.00 / CUSIP: / Symb	ool:					
06/11/21	1.000	0.00	06/04/21	390.00		-390.00	Option expiration- long put
GME 02/05/2021 PUT	\$10.00 / CUSIP: / Symbol:						
02/03/21	1.000	0.98	02/02/21	0.00		0.98	Short sale closed- put ²⁵ Option written: 02/02/21
HOOD 11/12/2021 CA	LL \$35.00 / CUSIP: / Symb	ool:					
	3 transactions fo	r 11/12/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.000	58.00	11/10/21	55.00		3.00	1 of 3 - Option sale to close-call 25
	1.000	57.99	11/11/21	13.00		44.99	2 of 3 - Option sale to close-call 25
	2.000	115.99	11/11/21	26.00		89.99	3 of 3 - Option sale to close-call 25
11/12/21	4.000	231.98	Various	94.00		137.98	Total of 3 transactions
100D 11/19/2021 CA	LL \$35.00 / CUSIP: / Symb	ool:					
11/12/21	1.000	98.98	11/10/21	94.00		4.98	Option sale to close-call ²⁵
	4 transactions fo	r 11/19/21. Total proceed		ted to the IRS.			
	1.000	0.00	11/16/21	29.00		-29.00	1 of 4 - Option expiration- long ca
	1.000	0.00	11/16/21	29.00		-29.00	2 of 4 - Option expiration- long ca
	2.000	0.00	11/17/21	32.00		-32.00	3 of 4 - Option expiration- long ca
	4.000	0.00	11/18/21	12.00		-12.00	4 of 4 - Option expiration- long ca
11/19/21	8.000	0.00	Various	102.00		-102.00	Total of 4 transactions
	Security total:	98.98		196.00		-97.02	

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/Cl	JSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X)	Additional information
· · · · · · · · · · · · · · · · · · ·		(G)1055 OF (N)EL	acquireu	Other Dasis	disallowed (W)	also not reported (2)	Additional information
IRNT 09/17/2021 PUT \$11.00 /	•						
09/17/21	1.000	0.00	09/07/21	45.00		-45.00	Option expiration- long put
MP 06/18/2021 CALL \$35.00 / 0	CUSIP: / Symbol:						
	2 transactions for 04	/07/21. Total proceed	ls and cost repo	orted to the IRS.			
	2.000	1,047.98	03/23/21	1,440.00		-392.02	1 of 2 - Option sale to close-call 25
0.4/07/04	2.000	1,047.99	03/30/21	900.00		147.99	2 of 2 - Option sale to close-call ²⁵
04/07/21	4.000	2,095.97	Various	2,340.00		-244.03	Total of 2 transactions
MRNA 09/24/2021 CALL \$435.	00 / CUSIP: / Symbol:						
09/24/21	1.000	0.00	09/24/21	100.00		-100.00	Option expiration- long call
MSTR 04/16/2021 CALL \$690.0	00 / CUSIP: / Symbol:						
04/08/21	1.000	3,299.97	04/07/21	2,090.00		1,209.97	Option sale to close-call ²⁵
MSTR 04/16/2021 CALL \$710.0	00 / CUSIP: / Symbol:						
04/13/21	1.000	4,499.96	04/12/21	2,830.00		1,669.96	Option sale to close-call ²⁵
MSTR 05/07/2021 CALL \$675.0	00 / CUSIP: / Symbol:						
05/07/21	1.000	0.00	04/27/21	4,000.00		-4,000.00	Option expiration- long call
MSTR 06/18/2021 PUT \$560.00	0 / CUSIP: / Symbol:						
06/18/21	1.000	0.00	06/14/21	1,360.00		-1,360.00	Option expiration- long put
MSTR 07/23/2021 CALL \$662.5	50 / CUSIP: / Symbol:						
07/21/21	1.000	89.98	07/15/21	200.00		-110.02	Option sale to close-call ²⁵
MSTR 07/30/2021 PUT \$365.00	0 / CUSIP: / Symbol:						
07/30/21	1.000	0.00	07/22/21	100.00		-100.00	Option expiration- long put
MSTR 08/06/2021 PUT \$720.00	O / CUSIP: / Symbol:						
08/06/21	1.000	0.00	08/06/21	230.00		-230.00	Option expiration- long put
MSTR 08/13/2021 CALL \$647.5	50 / CUSIP: / Symbol:						
07/26/21	1.000	5,999.95	07/19/21	1,090.00		4,909.95	Option sale to close-call ²⁵
MSTR 08/20/2021 PUT \$700.00	O / CUSIP: / Symbol:						
08/20/21	1.000	0.00	08/20/21	220.00		-220.00	Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

02/11/2022

2021 1099-B* OMB No. 1545-0715 (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

la- Description of property	y/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)		Additional information
MSTR 09/03/2021 PUT \$45	50.00 / CUSIP: / Symbol:						
09/03/21	1.000	0.00	08/06/21	450.00		-450.00	Option expiration- long put
MSTR 09/03/2021 PUT \$70	00.00 / CUSIP: / Symbol:						
09/03/21	1.000	59.98	09/03/21	45.00		14.98	Option sale to close-put ²⁵
MSTR 09/10/2021 CALL \$7	770.00 / CUSIP: / Symbol:						
09/10/21	1.000	0.00	09/07/21	140.00		-140.00	Option expiration- long call
MSTR 09/24/2021 CALL \$7	700.00 / CUSIP: / Symbol:						
09/24/21	1.000	0.00	09/20/21	70.00		-70.00	Option expiration- long call
MSTR 09/24/2021 PUT \$48	30.00 / CUSIP: / Symbol:						
09/07/21	1.000	299.98	08/25/21	570.00	•••	-270.02	Option sale to close-put ²⁵
MSTR 12/17/2021 CALL \$5	590.00 / CUSIP: / Symbol:						
12/17/21	1.000	4.98	12/17/21	35.00		-30.02	Option sale to close-call ²⁵
MU 04/16/2021 CALL \$85.0	00 / CUSIP: / Symbol:						
03/29/21	1.000	529.98	03/23/21	460.00		69.98	Option sale to close-call ²⁵
NVAX 09/03/2021 CALL \$2	247.50 / CUSIP: / Symbol:						
09/03/21	1.000	256.98	09/03/21	170.00		86.98	Option sale to close-call ²⁵
NVAX 09/10/2021 CALL \$3	60.00 / CUSIP: / Symbol:						
09/07/21	1.000	51.98	09/07/21	49.00		2.98	Option sale to close-call ²⁵
ORPH 07/16/2021 PUT \$5.	•						
07/01/21	1.000	5.98	06/29/21	15.00		-9.02	Option sale to close-put ²⁵
ORPH 09/17/2021 CALL \$1	•						
08/30/21	1.000	14.98	08/25/21	14.00		0.98	Option sale to close-call ²⁵
ORPH 09/17/2021 CALL \$7	•						
08/30/21	1.000	29.98	08/25/21	29.00		0.98	Option sale to close-call ²⁵
PDD 04/16/2021 CALL \$14	•						
03/19/21	1.000	669.98	03/17/21	914.00		-244.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

02/11/2022

Account 821973690

2021 1099-B* OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of proper	rty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds &	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
disposed	Quantity	6- Reported (G)ross or (N)et	acquired	other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
RBLX 11/12/2021 PUT \$1	05.00 / CUSIP: / Symbol:	, , , , , ,	-		, ,		
	2 transactions for 1	1/12/21. Total proceed	ds and cost repor	rted to the IRS.			
	1.000 1.000	0.00 0.00	11/12/21 11/12/21	58.00 36.00		-58.00 -36.00	1 of 2 - Option expiration- long put 2 of 2 - Option expiration- long put
11/12/21	2.000	0.00	Various	94.00		-94.00	Total of 2 transactions
RH 12/03/2021 CALL \$55	0.00 / CUSIP: / Symbol:						
12/03/21	1.000	0.00	12/03/21	125.00		-125.00	Option expiration- long call
SE 05/28/2021 CALL \$22	5.00 / CUSIP: / Symbol:						
05/14/21	1.000	869.98	05/13/21	460.00	•••	409.98	Option sale to close-call ²⁵
SE 06/11/2021 PUT \$242	.50 / CUSIP: / Symbol:						
05/21/21	1.000	969.98	05/20/21	1,030.00		-60.02	Option sale to close-put ²⁵
SEAC 04/16/2021 CALL \$	2.50 / CUSIP: / Symbol:						
04/16/21	1.000	0.00	04/13/21	5.00		-5.00	Option expiration- long call
SLV 02/19/2021 CALL \$2	9.00 / CUSIP: / Symbol:						
02/19/21	1.000	0.00	02/01/21	213.00		-213.00	Option expiration- long call
SNAP 02/19/2021 CALL \$	375.00 / CUSIP: / Symbol:						
02/09/21	1.000	14.98	02/05/21	42.00		-27.02	Option sale to close-call ²⁵
SNDL 02/05/2021 CALL \$	0.50 / CUSIP: / Symbol:						
	3 transactions for 0	02/01/21. Total proceed	ds and cost repor	rted to the IRS.			
	1.000	54.98	01/29/21	40.00	***	14.98	1 of 3 - Option sale to close-call ²⁵
	2.000	109.98	01/29/21	80.00		29.98	2 of 3 - Option sale to close-call ²⁵
00/04/04	2.000	109.98	01/29/21	80.00		29.98	3 of 3 - Option sale to close-call ²⁵
02/01/21	5.000	274.94	Various	200.00	•••	74.94	Total of 3 transactions
SNDL 03/19/2021 CALL \$	2.50 / CUSIP: / Symbol:						
03/19/21	1.000	0.00	02/25/21	19.00	•••	-19.00	Option expiration- long call
SNDL 07/30/2021 CALL \$	1.00 / CUSIP: / Symbol:						
07/01/21	1.000	9.98	06/29/21	14.00		-4.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 1099-B* OMB No. 1545-0715 **(continued)** 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/16/2021 PUT \$4	423.00 / CUSIP: / Symbol:						
06/16/21	1.000	243.98	06/10/21	188.00		55.98	Option sale to close-put ²⁵
SPY 06/18/2021 PUT \$4	425.00 / CUSIP: / Symbol:						
	2 transactions for 0	06/10/21. Total proceed	ds and cost repor	ted to the IRS.			
06/10/21	1.000 1.000 2.000	<i>524.98</i> <i>524.98</i> 1,049.96	06/10/21 06/10/21 Various	403.00 403.00 806.00	 	121.98 121.98 243.96	1 of 2 - Option sale to close-put ²⁵ 2 of 2 - Option sale to close-put ²⁵ Total of 2 transactions
SPY 09/10/2021 PUT \$4	455.00 / CUSIP: / Symbol:						
09/07/21	1.000	419.98	09/03/21	263.00		156.98	Option sale to close-put ²⁵
SPY 09/15/2021 CALL S	\$445.00 / CUSIP: / Symbol:						
	3 transactions for 0	09/15/21. Total proceed	ds and cost repor	ted to the IRS.			
09/15/21 09/15/21	1.000 1.000 1.000 3.000 1.000	191.99 192.00 191.99 575.98 65.98	09/15/21 09/15/21 09/15/21 Various 09/15/21	75.00 73.02 62.00 210.02 75.00	 9.02 W	116.99 118.98 129.99 365.96 0.00	1 of 3 - Option sale to close-call ²⁵ 2 of 3 - Option sale to close-call ²⁵ 3 of 3 - Option sale to close-call ²⁵ Total of 3 transactions Option sale to close-call ²⁵
	Security total:	641.96		285.02	9.02 W	365.96	
SPY 09/17/2021 CALL S	\$446.00 / CUSIP: / Symbol:						
	2 transactions for 0	09/17/21. Total proceed	ds and cost repor	ted to the IRS.			
09/17/21	5.000 3.000 8.000	4.98 2.99 7.97	<i>09/17/21</i> <i>09/17/21</i> Various	205.00 90.00 295.00	 	-200.02 -87.01 -287.03	1 of 2 - Option sale to close-call ²⁶ 2 of 2 - Option sale to close-call ²⁶ Total of 2 transactions
SPY 09/17/2021 CALL S	\$450.00 / CUSIP: / Symbol:						
09/17/21 SPY 09/17/2021 CALL \$	1.000 \$453.00 / CUSIP: / Symbol:	0.00	09/14/21	52.00		-52.00	Option expiration- long call
09/17/21	1.000	0.00	09/14/21	13.00		-13.00	Option expiration- long call
SPY 09/17/2021 CALL S	\$454.00 / CUSIP: / Symbol:						
09/17/21	1.000	0.00	09/14/21	8.00		-8.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 1099-B* OMB No. 1545-0715 (continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41. D-1-	4- 0-4	1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
	6454.00 / CUSIP: / Symbol:	., ., .,				, , , ,	
09/20/21	1.000	0.00	09/14/21	12.00		-12.00	Option expiration- long call
SPY 09/22/2021 CALL \$	3434.00 / CUSIP: / Symbol:						
09/21/21	1.000	419.98	09/20/21	279.00		140.98	Option sale to close-call ²⁵
SPY 10/18/2021 PUT \$4	143.00 / CUSIP: / Symbol:						
10/18/21	1.000	0.98	10/15/21	57.00		-56.02	Option sale to close-put ²⁵
SPY 11/05/2021 PUT \$4	169.00 / CUSIP: / Symbol:						
11/05/21	1.000	97.98	11/05/21	33.00		64.98	Option sale to close-put ²⁵
SPY 11/05/2021 PUT \$4	70.00 / CUSIP: / Symbol:						
11/05/21	1.000	184.98	11/05/21	58.00		126.98	Option sale to close-put ²⁵
SPY 11/12/2021 PUT \$4	67.00 / CUSIP: / Symbol:						
11/12/21	1.000	61.98	11/12/21	58.00		3.98	Option sale to close-put ²⁵
SPY 11/17/2021 PUT \$4	169.00 / CUSIP: / Symbol:						
11/17/21	1.000	157.98	11/16/21	66.00		91.98	Option sale to close-put ²⁵
SPY 11/19/2021 PUT \$4	169.00 / CUSIP: / Symbol:						
11/19/21	4.000	135.98	11/19/21	100.00		35.98	Option sale to close-put ²⁵
SQ 03/12/2021 CALL \$2	210.00 / CUSIP: / Symbol:						
03/09/21	1.000	1,149.98	03/04/21	1,000.00		149.98	Option sale to close-call ²⁵
SQ 03/12/2021 CALL \$2	20.00 / CUSIP: / Symbol:						
03/09/21	1.000	609.98	03/04/21	900.00		-290.02	Option sale to close-call ²⁵
SQ 04/01/2021 CALL \$2	205.00 / CUSIP: / Symbol:						
03/30/21	1.000	899.98	03/29/21	530.00		369.98	Option sale to close-call ²⁵
SQ 05/21/2021 CALL \$2	205.00 / CUSIP: / Symbol:						
	2 transactions for 05	5/21/21. Total proceed	ds and cost repo	orted to the IRS.			
	1.000	0.00	05/21/21	10.00		-10.00	1 of 2 - Option expiration- long call
05/21/21	<i>1.000</i> 2.000	<i>0.00</i> 0.00	<i>05/21/21</i> Various	<i>10.00</i> 20.00		-10.00 -20.00	2 of 2 - Option expiration- long call Total of 2 transactions
03/21/21	2.000	0.00	various	20.00	•••	-20.00	i otal ol 2 ti alibactions

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Proceeds from Broker and Barter Exchange Transactions

02/11/2022

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of proper	ty/CUSIP/Symbol						
1c- Date		1d- Proceeds &	41.54		1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 06/04/2021 CALL \$200	0.00 / CUSIP: / Symbol:		•			. , ,	
05/20/21	1.000	1,054.98	05/13/21	1,040.00		14.98	Option sale to close-call ²⁵
SQ 06/11/2021 CALL \$200	0.00 / CUSIP: / Symbol:						
05/24/21	1.000	1,429.98	05/21/21	960.00		469.98	Option sale to close-call ²⁵
SQ 12/03/2021 CALL \$182	2.50 / CUSIP: / Symbol:						
12/03/21	1.000	0.00	12/03/21	108.00	•••	-108.00	Option expiration- long call
TRCH 07/16/2021 CALL \$	7.50 / CUSIP: / Symbol:						
06/25/21	1.000	74.98	06/24/21	80.00	•••	-5.02	Option sale to close-call ²⁵
TSLA 06/11/2021 CALL \$6	605.00 / CUSIP: / Symbol:						
06/03/21	1.000	829.98	06/03/21	968.00		-138.02	Option sale to close-call ²⁵
TTD 11/12/2021 PUT \$100	0.00 / CUSIP: / Symbol:						
11/12/21	1.000	44.98	11/12/21	70.00	•••	-25.02	Option sale to close-put ²⁵
TTD 11/19/2021 PUT \$100	0.00 / CUSIP: / Symbol:						
	4 transactions for 1	1/19/21. Total proceed	ds and cost repo	rted to the IRS.			
	1.000	0.00	11/15/21	85.00	•••	-85.00	1 of 4 - Option expiration- long put
	2.000	0.00	11/16/21	50.00		-50.00	2 of 4 - Option expiration- long put
	1.000	0.00	11/16/21	44.00		-44.00	3 of 4 - Option expiration- long put
11/19/21	<i>1.000</i> 5.000	<i>0.00</i> 0.00	<i>11/16/21</i> Various	<i>20.00</i> 199.00	•••	<i>-20.00</i> -199.00	4 of 4 - Option expiration- long put Total of 4 transactions
TWLO 06/18/2021 PUT \$3		0.00	various	199.00		-199.00	Total of 4 transactions
06/18/21	1.000	0.00	06/17/21	250.00		-250.00	Option expiration- long put
UPST 04/16/2021 PUT \$1		0.00	00/17/21	230.00		250.00	Option expiration long put
03/23/21	1.000	1,899.98	03/19/21	2,050.00		-150.02	Option sale to close-put ²⁵
UPST 09/10/2021 PUT \$2		1,099.90	03/19/21	2,030.00	•••	-130.02	Option sale to close-put
•	,		00/07/04				
09/10/21	1.000	0.00	09/07/21	420.00	•••	-420.00	Option expiration- long put
UPST 09/17/2021 PUT \$2							
09/14/21	1.000	44.98	09/07/21	230.00		-185.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or disposed Quantity (G)ross or (N)et acquired other basis of disallowed (W) (G)ross or (N)et acquired other basis of disallowed	
disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information of the position of the posit	
UPST 09/24/2021 PUT \$315.00 / CUSIP: / Symbol: 09/24/21	mation
09/24/21 1.000 0.00 09/22/21 450.00450.00 Option expiration UPST 10/15/2021 PUT \$360.00 / CUSIP: / Symbol: 2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. 2.000 0.00 10/14/21 390.00390.00 1 of 2 - Option expiration of 2 - Option expiration of 2 - Option expiration of 3 - Option ex	mation
UPST 10/15/2021 PUT \$360.00 / CUSIP: / Symbol: 2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. 2.000 0.00 10/14/21 390.00390.00 1 of 2 - Option ex	
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS. 2.000 0.00 10/14/21 390.00390.00 1 of 2 - Option ex	- long put
2.000 0.00 10/14/21 390.00390.00 1 of 2 - Option ex	
F 000 000 40/4F/04 4F0 00 4F0 00 4F0 00 0 - 40 Ontion	
	piration- long put
10/15/21 7.000 0.00 Various 540.00540.00 Total of 2 transaction	tions
UPST 10/15/2021 PUT \$380.00 / CUSIP: / Symbol:	
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.	
1.000 16.98 10/15/21 115.0098.02 1 of 2 - Option sa	
1.000 16.98 10/15/21 115.0098.02 2 of 2 - Option sa	,
10/15/21 2.000 33.96 Various 230.00196.04 Total of 2 transact	tions
UPST 12/03/2021 CALL \$275.00 / CUSIP: / Symbol:	
12/03/21 1.000 0.00 11/19/21 155.00155.00 Option expiration-	- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:	
4 transactions for 02/08/21. Total proceeds and cost reported to the IRS.	
20.000 133.30 01/27/21 277.40144.10 1 of 4 - Sale ²⁵	
12.000 79.97 01/27/21 160.0880.11 2 of 4 - Sale ²⁵	
4.000 26.66 01/27/21 45.2018.54 3 of 4 - Sale 25	
1.000 6.67 01/27/21 11.264.59 4 of 4 - Sale ²⁵	
02/08/21 37.000 246.60 Various 493.94247.34 Total of 4 transac	tions
07/06/21 34.000 1,789.18 07/02/21 1,666.00 123.18 Sale ²⁵	
Security total: 2,035.78 2,159.94124.16	
BEST INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 08653C106 / Symbol:	
03/15/21 90.000 222.34 02/10/21 251.1028.76 Sale ²⁵	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:	
01/26/21 75.000 929.96 01/08/21 309.75 620.21 Sale ²⁵	
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.	
12.000 143.64 01/08/21 48.66 94.98 1 of 2 - Sale ²⁵	

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Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 **(continued)** 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	roperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	CS, INC. COMMON STOCK /	. , . , ,	•			анее негорина (_)	
	25.000	299.25	01/08/21	103.25		196.00	2 of 2 - Sale ²⁵
02/02/21	37.000	442.89 r 05/05/21. Total proceed	Various	151.91		290.98	Total of 2 transactions
	1.000	5.81	05/04/21	5.73		0.08	1 of 2 - Sale ²⁵
	27.000	156.87	05/04/21	156.32	•••	0.55	2 of 2 - Sale ²⁵
05/05/21	28.000	162.68	Various	162.05		0.63	Total of 2 transactions
,0,00,2.	Security total:	1,535.53	Valloud	623.71	•••	911.82	
CINEDIGM CORP., (CLASS A COMMON STOCK	/ CUSIP: 172406209 / S	ymbol:				
	6 transactions for	r 04/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	170.000	255.32	04/01/21	267.78	•••	-12.46	1 of 6 - Sale ²⁵
	9.000	13.52	04/01/21	14.27		-0.75	2 of 6 - Sale ²⁵
	1.000	1.50	04/01/21	1.59		-0.09	3 of 6 - Sale ²⁵
	5.000	7.51	04/08/21	6.65		0.86	4 of 6 - Sale ²⁵
	108.000	162.20	04/08/21	143.64		18.56	5 of 6 - Sale ²⁵
	117.000	175.71	04/08/21	155.61		20.10	6 of 6 - Sale ²⁵
04/26/21	410.000	615.76	Various	589.54		26.22	Total of 6 transactions
04/26/21	1,000.000	1,501.87	04/01/21	1,619.00	117.13 W	0.00	Sale ²⁵
05/06/21	1,000.000	1,240.57	05/04/21	1,356.13		-115.56	Sale ²⁵
	Security total:	3,358.20		3,564.67	117.13 W	-89.34	
IREXION DAILY S	&P 500 BEAR 3X SHARES / 0	CUSIP: 25460E885 / Syr	mbol:				
01/05/21	1.000	4.05	02/28/20	16.16		-12.11	Sale ²⁵
XPRESS, INC. / CL	JSIP: 30219E103 / Symbol:						
01/27/21	25.000	301.25	01/27/21	146.74		154.51	Sale ²⁵
01/29/21	25.000	147.31	01/27/21	146.74		0.57	Sale ²⁵
	Security total:	448.56		293.48		155.08	
OLEY TRASIMENE	ACQUISITION CO RP. II / C	CUSIP: 344328109 / Sym	ibol:				
01/08/21	32.000	477.12	01/04/21	478.40	•••	-1.28	Sale ²⁵
SAMESTOP CORP.	CLASS A / CUSIP: 36467W1	109 / Symbol:					
01/29/21	0.040	12.74	01/27/21	11.36	•••	1.38	Sale ²⁵

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Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
GENERAL ELECTRIC (COMPANY / CUSIP: 36960	04103 / Symbol:					
	3 transactions for	r 01/05/21. Total proceed	ls and cost repo	rted to the IRS.			
	0.999	10.81	02/28/20	10.88		-0.07	1 of 3 - Sale ²⁵
	0.001	0.01	02/28/20	0.01		0.00	2 of 3 - Sale ²⁵
	0.001	0.01	10/27/20	0.01		0.00	3 of 3 - Sale ²⁵
01/05/21	1.001	10.83	Various	10.90		-0.07	Total of 3 transactions
GLOBALSTAR, INC. / C	CUSIP: 378973408 / Symbo	ol:					
01/22/21	6.000	6.54	01/11/21	3.09		3.45	Sale ²⁵
GUARDION HEALTH S	CIENCES, INC. COMMON	N STOCK / CUSIP: 4014	5Q203 / Symbol	:			
02/09/21	100.000	99.14	02/09/21	99.83	0.19 W	-0.50	Sale ²⁵
	4 transactions for	r 02/25/21. Total proceed	ls and cost repo	rted to the IRS.			
	20.000	15.28	02/10/21	22.52		-7.24	1 of 4 - Sale ²⁵
	3.000	2.29	02/10/21	3.38		-1.09	2 of 4 - Sale ²⁵
	1.000	0.76	02/10/21	1.13		-0.37	3 of 4 - Sale ²⁵
	3.000	2.30	02/11/21	3.98		-1.68	4 of 4 - Sale ²⁵
02/25/21	27.000	20.63	Various	31.01		-10.38	Total of 4 transactions
	Security total:	119.77		130.84	0.19 W	-10.88	
IDEANOMICS, INC. CO	MMON STOCK / CUSIP: 4	45166V106 / Symbol:					
	3 transactions for	r 02/09/21. Total proceed	ls and cost repo	rted to the IRS.			
	1.000	5.23	02/05/21	5.00		0.23	1 of 3 - Sale ²⁵
	99.000	522.80	02/05/21	494.89		27.91	2 of 3 - Sale ²⁵
	1.000	5.28	02/09/21	5.25		0.03	3 of 3 - Sale ²⁵
02/09/21	101.000	533.31	Various	505.14		28.17	Total of 3 transactions
	7 transactions for	r 03/17/21. Total proceed	ls and cost repo	rted to the IRS.			
	1.000	3.24	03/04/21	2.81		0.43	1 of 7 - Sale ²⁵
	10.000	32.40	03/04/21	28.10		4.30	2 of 7 - Sale ²⁵
	20.000	64.80	03/04/21	56.10		8.70	3 of 7 - Sale ²⁵
	90.000	291.60	03/04/21	251.83		39.77	4 of 7 - Sale ²⁵
	1.000	3.24	03/05/21	2.81		0.43	5 of 7 - Sale ²⁵
	3.000	9.72	03/05/21	8.48		1.24	6 of 7 - Sale ²⁵
00/47/04	45.000	145.80	03/05/21	128.25		17.55	7 of 7 - Sale ²⁵
03/17/21	170.000	550.80	Various	478.38		72.42	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

Account 821973690

2021 **1099-B*** OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

c- Date sold or	property/CUSIP/Symbol	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	Additional information
lisposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
DEANOMICS, INC.	. COMMON STOCK / CUSIP:	, ,	,				
	5 transactions fo	or 03/17/21. Total proceed	ls and cost repor	ted to the IRS.			
	100.000	324.00	02/10/21	498.89	174.89 W	0.00	1 of 5 - Sale ²⁵
	4.000	12.96	02/11/21	19.92	6.96 W	0.00	2 of 5 - Sale ²⁵
	100.000	323.99	02/18/21	383.00	59.01 W	0.00	3 of 5 - Sale ²⁵
	67.000	217.07	03/15/21	221.77	4.70 W	0.00	4 of 5 - Sale ²⁵
	47.000	152.28	03/16/21	152.75	0.47 W	0.00	5 of 5 - Sale ²⁵
3/17/21	318.000	1,030.30	Various	1,276.33	246.03 W	0.00	Total of 5 transactions
	7 transactions fo	or 03/22/21. Total proceed	ls and cost repor	ted to the IRS.			
	71.000	227.54	03/18/21	240.35	•••	-12.81	1 of 7 - Sale ²⁵
	29.000	92.94	03/18/21	104.11	•••	-11.17	2 of 7 - Sale ²⁵
	4.000	12.82	03/18/21	18.14		-5.32	3 of 7 - Sale ²⁵
	11.000	35.26	03/18/21	33.00		2.26	4 of 7 - Sale ²⁵
	23.000	73.71	03/18/21	69.00	•••	4.71	5 of 7 - Sale ²⁵
	67.000	214.73	03/18/21	205.70	•••	9.03	6 of 7 - Sale ²⁵
	47.000	150.63	03/18/21	141.47	•••	9.16	7 of 7 - Sale ²⁵
3/22/21	252.000	807.63	Various	811.77	•••	-4.14	Total of 7 transactions
	5 transactions fo	or 03/22/21. Total proceed	ds and cost repor	ted to the IRS.			
	75.000	240.36	03/18/21	340.80	68.30 W	-32.14	1 of 5 - Sale ²⁵
	100.000	320.49	03/18/21	474.89	154.40 W	0.00	2 of 5 - Sale ²⁵
	4.000	12.82	03/18/21	18.96	6.14 W	0.00	3 of 5 - Sale ²⁵
	71.000	227.55	03/18/21	254.90	27.35 W	0.00	4 of 5 - Sale ²⁵
	25.000	80.12	03/18/21	113.60	33.48 W	0.00	5 of 5 - Sale ²⁵
3/22/21	275.000	881.34	Various	1,203.15	289.67 W	-32.14	Total of 5 transactions
	4 transactions fo	or 04/13/21. Total proceed					
	48.000	128.15	03/23/21	205.87		-77.72	1 of 4 - Sale ²⁵
	17.000	45.39	03/23/21	72.92	•••	-27.53	2 of 4 - Sale ²⁵
	8.000	21.36	03/23/21	34.31	•••	-12.95	3 of 4 - Sale ²⁵
	3.000	8.01	03/23/21	12.90		-4.89	4 of 4 - Sale ²⁵
)4/13/21	76.000	202.91	Various	326.00		-123.09	Total of 4 transactions
·	Security total:	4,006.29		4,600.77	535.70 W	-58.78	
IVESCO MODTO	AGE CAPITAL INC. / CUSIP: 4	•		.,	333.10 11	33.10	
		•	00/00/04				0 1 25
06/10/21	100.000	433.99	06/09/21	421.76		12.23	Sale ²⁵

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Account 821973690

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

•	roperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KEMPHARM, INC. C	OMMON STOCK / CUSIP: 48	8445206 / Symbol:	-		•	. , ,	
	2 transactions for	03/16/21. Total proceed	ls and cost repor	ted to the IRS.			
03/16/21	<i>10.000</i> <i>1.000</i> 11.000	136.70 13.67 150.37	03/03/21 03/03/21 Various	170.30 16.24 186.54	 	-33.60 -2.57 -36.17	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
LAIX INC. / CUSIP: 5	50736W105 / Symbol:						
02/08/21	2.000 RKS, INC. COMMO N STOCK	10.10 C / CUSIP: 53261M104 /	02/05/21 Symbol:	15.08		-4.98	Sale ²⁵
01/29/21	1.000	4.55	01/26/21	4.52		0.03	Sale ²⁵
	T GROUP, INC. CO MMON S					0.00	
01/12/21 01/26/21	2.000 10.000 Security total:	52.07 187.50 239.57	01/11/21 01/22/21	45.97 181.70 227.67	 	6.10 5.80 11.90	Sale ²⁵ Sale ²⁵
NRX PHARMACEUT	TICALS, INC. COMM ON STO	CK / CUSIP: 629444100) / Symbol:				
07/19/21	80.000	999.98	07/19/21	890.41		109.57	Sale ²⁵
NOKIA CORPORATI	ON / CUSIP: 654902204 / Syr	mbol:					
01/27/21	25.000 2 transactions for	164.88 02/04/21. Total proceed	01/27/21 Is and cost repor	169.75 ted to the IRS.	2.92 W	-1.95	Sale ²⁵
02/04/21	15.000 5.000 20.000	68.25 22.75 91.00	<i>01/27/21</i> <i>01/27/21</i> Various	100.42 33.95 134.37	 	-32.17 -11.20 -43.37	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
	Security total:	255.88		304.12	2.92 W	-45.32	
	PEUTICS, INC. CO MMON S		•				
02/10/21	3.000	3.51	02/10/21	3.54		-0.03	Sale ²⁵
ORPHAZYME A/S AI	MERICAN DEPOSIT ARY SH		•				
		06/21/21. Total proceed	•				
	2.000 900.000 100.000	12.76 5,742.76 638.08	06/18/21 06/18/21 06/18/21	17.29 7,863.66 882.00	4.53 W 2,120.90 W 243.92 W	0.00 0.00 0.00	1 of 7 - Sale ²⁵ 2 of 7 - Sale ²⁵ 3 of 7 - Sale ²⁵

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02/11/2022

Robinhood Securities LLC

Account 821973690

Proceeds from Broker and Barter Eychange Transactions

Proceeds from Broker and Barter Exchange Transactions (continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DRPHAZYME A/S A	MERICAN DEPOSIT ARY S	HARES / CUSIP: 687305	102 / Symbol: (c	cont'd)			
	15.000	95.72	06/18/21	133.03	37.31 W	0.00	4 of 7 - Sale ²⁵
	4.000	25.52	06/18/21	35.64	10.12 W	0.00	5 of 7 - Sale ²⁵
	1.000	6.38	06/18/21	8.91	2.53 W	0.00	6 of 7 - Sale ²⁵
	0.607	3.86	06/18/21	6.15	2.29 W	0.00	7 of 7 - Sale ²⁵
06/21/21	1,022.607	6,525.08	Various	8,946.68	2,421.60 W	0.00	Total of 7 transactions
00/2 1/2 1		for 06/24/21. Total procee			_,	0.00	rotal or r traincactions
	1.393	11.98	06/24/21	14.93	2.95 W	0.00	1 of 26 - Sale ²⁵
	898.607	7,727.87	06/24/21	9,710.85	1,982.98 W	0.00	2 of 26 - Sale ²⁵
	0.607	5.16	06/24/21	7.42	2.26 W	0.00	3 of 26 - Sale ²⁵
	1.000	8.50	06/24/21	10.98	2.48 W	0.00	4 of 26 - Sale ²⁵
	1.393	11.84	06/24/21	15.05	3.21 W	0.00	5 of 26 - Sale ²⁵
	1.393	11.84	06/24/21	14.72	2.88 W	0.00	6 of 26 - Sale ²⁵
	4.000	34.00	06/24/21	43.92	9.92 W	0.00	7 of 26 - Sale ²⁵
	15.000	127.50	06/24/21	164.06	36.56 W	0.00	8 of 26 - Sale ²⁵
	100.000	849.98	06/24/21	1,088.92	238.94 W	0.00	9 of 26 - Sale ²⁵
	655.607	5,572.55	06/24/21	6,986.63	1,414.08 W	0.00	10 of 26 - Sale ²⁵
	50.000	424.99	06/24/21	532.84	107.85 W	0.00	11 of 26 - Sale ²⁵
	121.000	1,028.48	06/24/21	1,289.46	260.98 W	0.00	12 of 26 - Sale ²⁵
	0.607	4.97	06/24/21	7.36	2.39 W	0.00	13 of 26 - Sale ²⁵
	<i>14.3</i> 93	118.03	06/24/21	152.67	34.64 W	0.00	14 of 26 - Sale ²⁵
	1.000	8.20	06/24/21	10.88	2.68 W	0.00	15 of 26 - Sale ²⁵
	1.393	11.43	06/24/21	14.91	3.48 W	0.00	16 of 26 - Sale ²⁵
	1.393	11.43	06/24/21	14.58	3.15 W	0.00	17 of 26 - Sale ²⁵
	4.000	32.80	06/24/21	43.52	10.72 W	0.00	18 of 26 - Sale ²⁵
	15.000	123.00	06/24/21	162.56	39.56 W	0.00	19 of 26 - Sale ²⁵
	57.607	472.36	06/24/21	611.01	138.65 W	0.00	20 of 26 - Sale ²⁵
	100.000	819.98	06/24/21	1,078.94	258.96 W	0.00	21 of 26 - Sale ²⁵
	119.607	980.74	06/24/21	1,262.68	281.94 W	0.00	22 of 26 - Sale ²⁵
	135.000	1,106.97	06/24/21	1,425.18	318.21 W	0.00	23 of 26 - Sale ²⁵
	50.000	409.99	06/24/21	527.85	117.86 W	0.00	24 of 26 - Sale ²⁵
	79.000	647.79	06/24/21	833.99	186.20 W	0.00	25 of 26 - Sale ²⁵
	401.000	3,288.13	06/24/21	4,233.32	945.19 W	0.00	26 of 26 - Sale ²⁵
06/24/21	2,830.000	23,850.51	Various	30,259.23	6,408.72 W	0.00	Total of 26 transactions

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Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORPHAZYME A/S AME	RICAN DEPOSIT ARY SI	HARES / CUSIP: 687305	102 / Symbol: (c	ont'd)	· · · · · · · · · · · · · · · · · · ·	. , ,	
	2 transactions fo	or 06/29/21. Total proceed	ds and cost repor	ted to the IRS.			
	57.000	466.82	06/24/21	596.05		-129.23	1 of 2 - Sale ²⁵
	10.000	81.90	06/25/21	101.57	···	-129.23	2 of 2 - Sale ²⁵
06/29/21	67.000	548.72	Various	697.62		-148.90	Total of 2 transactions
00/29/21					•••	-146.90	Total of 2 transactions
		for 06/29/21. Total procee					
	50.000	409.49	06/24/21	522.86	102.03 W	-11.34	1 of 15 - Sale ²⁵
	0.607	4.97	06/24/21	7.30	2.33 W	0.00	2 of 15 - Sale ²⁵
	1.393	11.41	06/24/21	14.77	3.36 W	0.00	3 of 15 - Sale ²⁵
	8.000	65.52	06/24/21	85.52	20.00 W	0.00	4 of 15 - Sale ²⁵
	14.393	117.88	06/24/21	151.23	33.35 W	0.00	5 of 15 - Sale ²⁵
	42.000	343.97	06/24/21	430.79	86.82 W	0.00	6 of 15 - Sale ²⁵
	57.607	471.79	06/24/21	605.26	133.47 W	0.00	7 of 15 - Sale ²⁵
	1.000	8.19	06/24/21	10.78	2.59 W	0.00	8 of 15 - Sale ²⁵
	1.393	11.41	06/24/21	14.44	3.03 W	0.00	9 of 15 - Sale ²⁵
	4.000	32.76	06/24/21	43.12	10.36 W	0.00	10 of 15 - Sale ²⁵
	15.000	122.85	06/24/21	161.06	38.21 W	0.00	11 of 15 - Sale ²⁵
	92.000	<i>753.4</i> 6	06/24/21	983.44	229.98 W	0.00	12 of 15 - Sale ²⁵
	119.607	979.56	06/24/21	1,250.75	271.19 W	0.00	13 of 15 - Sale ²⁵
	135.000	1,105.63	06/24/21	1,411.71	306.08 W	0.00	14 of 15 - Sale ²⁵
	401.000	3,284.12	06/24/21	4,193.29	909.17 W	0.00	15 of 15 - Sale ²⁵
06/29/21	943.000	7,723.01	Various	9,886.32	2,151.97 W	-11.34	Total of 15 transactions
	16 transactions	for 07/01/21. Total procee			,		
	401.000	2,988.71	06/29/21	4,357.77	•••	-1,369.06	1 of 16 - Sale ²⁵
	135.000	1,006.17	06/29/21	1,467.08		-460.91	2 of 16 - Sale ²⁵
	119.607	891.44	06/29/21	1,299.81		-408.37	3 of 16 - Sale ²⁵
	92.000	685.69	06/29/21	1,021.18		-335.49	4 of 16 - Sale ²⁵
	57.607	429.35	06/29/21	628.89		-199.54	5 of 16 - Sale ²⁵
	45.000	335.39	06/29/21	489.03		-153.64	6 of 16 - Sale 25
	42.000	313.03	06/29/21	448.02		-134.99	7 of 16 - Sale ²⁵
	15.000	111.80	06/29/21	167.21		-55.41	8 of 16 - Sale ²⁵
	14.393	107.28	06/29/21	157.13		-49.85	9 of 16 - Sale ²⁵
	12.000	89.43	06/29/21	131.48		-42.05	10 of 16 - Sale ²⁵
	8.000	59.63	06/29/21	88.80		-29.17	11 of 16 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

02/11/2022

Account 821973690

2021 **1099-B*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol: (cont'd) 4.000 06/29/21 -14.95 12 of 16 - Sale 25 29.81 44.76 1.393 10.39 06/29/21 15.34 -4.95 13 of 16 - Sale 25 14 of 16 - Sale 25 1.393 10.39 06/29/21 15.01 -4.621.000 7.45 06/29/21 11.19 -3.7415 of 16 - Sale 25 4.52 06/29/21 -3.03 16 of 16 - Sale 25 0.607 7.55 Total of 16 transactions 07/01/21 950.000 7,080.48 Various 10,350.25 -3,269.77Security total: 45.727.80 60.140.10 10,982.29 W -3,430.01 PANDION THERAPEUTICS INC. COMM ON STOCK / CUSIP: 698340106 / Symbol: Sale 25 02/25/21 1.000 59.79 02/25/21 59.79 0.00 SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol: Sale 25 01/22/21 1.000 3.91 01/19/21 3.60 0.31 SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: 2 transactions for 01/22/21. Total proceeds and cost reported to the IRS. 1 of 2 - Sale 25 3.000 1.90 01/08/21 2.06 -0.162 of 2 - Sale 25 100.000 63.37 01/14/21 66.99 -3.6201/22/21 103.000 65.27 Various 69.05 -3.78Total of 2 transactions 01/22/21 Sale 25 85.000 53.87 01/08/21 59.49 0.60 W -5.02 Sale 25 01/29/21 9.000 7.24 01/27/21 6.72 0.52 6 transactions for 05/07/21. Total proceeds and cost reported to the IRS. 1,000.000 786.67 05/04/21 810.97 -24.30 1 of 6 - Sale 25 2 of 6 - Sale 25 1.000 0.79 05/06/21 0.70 0.09 9.000 7.08 05/06/21 0.75 3 of 6 - Sale 25 6.33 165.000 129.80 05/06/21 116.00 13.80 4 of 6 - Sale 25 5 of 6 - Sale 25 250,000 196.67 05/06/21 180.95 15.72 250,000 196.67 05/06/21 180.85 15.82 6 of 6 - Sale 25 05/07/21 1,675.000 1,317.68 Various 1,295.80 21.88 Total of 6 transactions Security total: 1,444.06 1,431.06 0.60 W 13.60 TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: Sale 25 02/11/21 10.000 23.35 02/10/21 27.90 -4.55

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02/11/2022

Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

1099-B* OMB No. 1545-0715 (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
•	P: 90184L102 / Symbol:	(0):000 0: (11)01	uoquii ou	Cirio, Buoio	aloanonoa (11)	also not roportou (2)	/taattoriai iiioriiiatioii
	•	50.50	00/00/00	00.50		47.00	0-1-25
01/08/21	1.000	50.53	02/28/20	33.50		17.03	Sale ²⁵
TYME TECHNOLOGIE	S, INC. COMMON STOCK	(/ CUSIP: 90238J103 / S	Symbol:				
	3 transactions fo	or 07/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	100.000	197.99	07/26/21	197.00		0.99	1 of 3 - Sale ²⁵
	200.000	395.97	07/26/21	394.00		1.97	2 of 3 - Sale ²⁵
	209.000	413.79	07/26/21	411.73		2.06	3 of 3 - Sale ²⁵
07/26/21	509.000	1,007.75	Various	1,002.73		5.02	Total of 3 transactions
ZOMEDICA CORP. / C	USIP: 98980M109 / Symbo	ol:					
	•	or 04/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	500.000	497.19	02/02/21	534.95	37.76 W	0.00	1 of 5 - Sale ²⁵
	500.000	497.19	02/02/21	539.45	42.26 W	0.00	2 of 5 - Sale ²⁵
	1.000	0.99	03/04/21	1.82	0.83 W	0.00	3 of 5 - Sale ²⁵
	1.000	0.99	03/04/21	1.81	0.82 W	0.00	4 of 5 - Sale ²⁵
	203.000	201.86	04/13/21	202.72	0.86 W	0.00	5 of 5 - Sale ²⁵
04/26/21	1,205.000	1,198.22	Various	1,280.75	82.53 W	0.00	Total of 5 transactions
05/06/21	250.000	208.72	05/04/21	240.63	31.91 W	0.00	Sale ²⁵
	3 transactions fo	or 05/07/21. Total proceed	ds and cost repor	ted to the IRS.			
	45.000	40.06	05/06/21	37.66		2.40	1 of 3 - Sale ²⁵
	203.000	180.72	05/06/21	170.73		9.99	2 of 3 - Sale ²⁵
	250.000	222.57	05/06/21	209.28		13.29	3 of 3 - Sale ²⁵
05/07/21	498.000	443.35	Various	417.67		25.68	Total of 3 transactions
	5 transactions fo	or 05/07/21. Total proceed	ds and cost repor	ted to the IRS.			
	250.000	222.57	05/04/21	240.63	18.06 W	0.00	1 of 5 - Sale ²⁵
	500.000	445.14	05/04/21	485.76	40.62 W	0.00	2 of 5 - Sale ²⁵
	250.000	222.57	05/06/21	241.10	18.53 W	0.00	3 of 5 - Sale ²⁵
	1.000	0.89	05/06/21	1.67	0.78 W	0.00	4 of 5 - Sale ²⁵
	1.000	0.89	05/06/21	1.66	0.77 W	0.00	5 of 5 - Sale ²⁵
05/07/21	1,002.000	892.06	Various	970.82	78.76 W	0.00	Total of 5 transactions
	8 transactions fo	or 05/11/21. Total proceed	ds and cost repor	ted to the IRS.			
	500.000	403.09	05/07/21	467.07		-63.98	1 of 8 - Sale ²⁵
	250.000	201.54	05/07/21	231.75		-30.21	2 of 8 - Sale ²⁵

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Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

2021 02/11/2022 **1099-B*** OMB No. 1545-0715 (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

a- Description of p	roperty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OMEDICA CORP.	/ CUSIP: 98980M109 / Symbo	ol: (cont'd)	-		, ,	, , ,	
	250.000	201.54	05/07/21	231.29	***	-29.75	3 of 8 - Sale ²⁵
	562.076	453.12	05/07/21	479.40		-26.28	4 of 8 - Sale 25
	1.000	0.81	05/07/21	1.63		-0.82	5 of 8 - Sale ²⁵
	1.000	0.81	05/07/21	1.62		-0.81	6 of 8 - Sale ²⁵
	0.720	0.58	05/07/21	0.61		-0.03	7 of 8 - Sale ²⁵
	0.110	0.09	05/07/21	0.09		0.00	8 of 8 - Sale ²⁵
5/11/21	1,564.906	1,261.58	Various	1,413.46		-151.88	Total of 8 transactions
	Security total:	4,003.93		4,323.33	193.20 W	-126.20	
T DIGITAL, INC. C	ORDINARY SHA RES / CUSII	P: G1144A105 / Symbol:					
	2 transactions fo	or 01/12/21. Total proceed	ds and cost repor	ted to the IRS.			
	20.000	424.20	01/11/21	428.97	4.53 W	-0.24	1 of 2 - Sale ²⁵
	1.000	21.21	01/11/21	21.59	0.38 W	0.00	2 of 2 - Sale ²⁵
1/12/21	21.000	445.41	Various	450.56	4.91 W	-0.24	Total of 2 transactions
	2 transactions fo	or 01/20/21. Total proceed	ls and cost repor	ted to the IRS.			
	9.000	159.49	01/14/21	166.08	···	-6.59	1 of 2 - Sale ²⁵
	1.000	17.72	01/14/21	18.60		-0.88	2 of 2 - Sale ²⁵
1/20/21	10.000	177.21	Various	184.68		-7.47	Total of 2 transactions
1/26/21	10.000	183.30	01/14/21	184.53		-1.23	Sale 25
	Security total:	805.92		819.77	4.91 W	-8.94	
HOME HOUSEHO	OLD SERVICE HOLDI NGS L	IMITED ORDINARY SHA	RES / CUSIP: G	2952X104 / Symbo	ol:		
	2 transactions fo	or 08/06/21. Total proceed	ds and cost repor	ted to the IRS.			
	120.000	660.84	08/03/21	956.28	···	-295.44	1 of 2 - Sale ²⁵
	3.000	16.53	08/03/21	23.94	···	-7.41	2 of 2 - Sale ²⁵
8/06/21	123.000	677.37	Various	980.22		-302.85	Total of 2 transactions
EWEGG COMMER	RCE, INC. COMMON S HARE	ES / CUSIP: G6483G100	/ Symbol:				
	3 transactions fo	or 07/09/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.000	53.05	07/09/21	53.00		0.05	1 of 3 - Sale ²⁵
	17.000	901.78	07/09/21	901.00		0.78	2 of 3 - Sale 25
	32.000	1,697.48	07/09/21	1,696.00		1.48	3 of 3 - Sale 25
07/09/21	50.000	2,652.31	Various	2,650.00		2.31	Total of 3 transactions

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Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

(continued) 02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

1a- Description of	property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POP CULTURE GF	ROUP CO., LTD CLA SS A ORI	DINARY SHARES / CUS	SIP: G71700101	/ Symbol:			
	2 transactions for	r 07/01/21. Total proceed	ds and cost repor	ted to the IRS.			
07/01/21 07/01/21	1.000 100.000 101.000 99.000	63.00 6,799.95 6,862.95 6,236.95 r 07/09/21. Total proceed	07/01/21 07/01/21 Various 07/01/21	63.00 6,373.00 6,436.00 6,237.00	 0.05 W	0.00 426.95 426.95 0.00	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions Sale ²⁵
	58.000	1,103.72	07/01/21	3,256.73	2,153.01 W	0.00	1 of 8 - Sale ²⁵
	10.000	190.30	07/01/21	558.81	368.51 W	0.00	2 of 8 - Sale ²⁵
	21.000	399.62	07/01/21	1,173.91	774.29 W	0.00	3 of 8 - Sale ²⁵
	11.000	209.33	07/01/21	614.90	405.57 W	0.00	4 of 8 - Sale ²⁵
	4.000	76.12	07/02/21	189.20	113.08 W	0.00	5 of 8 - Sale ²⁵
	5.000	95.15	07/06/21	209.85	114.70 W	0.00	6 of 8 - Sale ²⁵
	38.000	722.75	07/06/21	3,005.49	2,282.74 W	0.00	7 of 8 - Sale ²⁵
	2.000	38.04	07/06/21	147.72	109.68 W	0.00	8 of 8 - Sale ²⁵
07/09/21 07/15/21	149.000 20.000 9 transactions for	2,835.03 214.54 r 07/19/21. Total proceed	Various 07/09/21	9,156.61 1,583.44	6,321.58 W 	0.00 -1,368.90	Total of 8 transactions Sale ²⁵
	9 transactions for 18.000	135.95	07/09/21	1,425.10		-1,289.15	1 of 9 - Sale ²⁵
	21.000	158.60	07/09/21	1,175.39		-1,016.79	2 of 9 - Sale ²⁵
	18.000	135.95	07/09/21	1,011.98		-876.03	3 of 9 - Sale ²⁵
	11.000	83.08	07/09/21	615.67		-532.59	4 of 9 - Sale ²⁵
	10.000	75.53	07/09/21	559.51		-483.98	5 of 9 - Sale ²⁵
	40.000	302.10	07/09/21	764.00		-461.90	6 of 9 - Sale ²⁵
	5.000	37.76	07/09/21	210.20		-172.44	7 of 9 - Sale ²⁵
	4.000	30.21	07/09/21	189.48		-159.27	8 of 9 - Sale ²⁵
	2.000	15.11	07/09/21	147.88		-132.77	9 of 9 - Sale ²⁵
07/19/21	129.000 Security total:	974.29 17,123.76	Various	6,099.21 29,512.26	 6,321.63 W	-5,124.92 -6,066.87	Total of 9 transactions

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02/11/2022

Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

a- Description of p	roperty/CUSIP/Symbol						
lc- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	· ,	Additional information
OCIAL CAPITAL H	EDOSOPHIA HOLD INGS C	ORP. V / CUSIP: G8252F	P105 / Symbol:				
	2 transactions fo	or 01/14/21. Total proceed	ds and cost repor	ted to the IRS.			
	10.000	190.50	01/14/21	194.00		-3.50	1 of 2 - Sale ²⁵
	10.000	190.50	01/14/21	194.00		-3.50	2 of 2 - Sale 25
1/14/21	20.000	381.00	Various	388.00		-7.00	Total of 2 transactions
AKED BRAND GRO	OUP LIMITED ORDI NARY S	SHARES / CUSIP: Q6519	T117 / Symbol:				
	5 transactions fo	or 04/26/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.000	0.72	04/15/21	0.51		0.21	1 of 5 - Sale ²⁵
	4.000	2.86	04/15/21	2.05		0.81	2 of 5 - Sale ²⁵
	20.000	14.30	04/15/21	10.27		4.03	3 of 5 - Sale ²⁵
	50.000	35.74	04/15/21	25.75		9.99	4 of 5 - Sale ²⁵
	900.000	643.38	04/15/21	461.25		182.13	5 of 5 - Sale ²⁵
4/26/21	975.000	697.00	Various	499.83		197.17	Total of 5 transactions
5/06/21	36.000	19.44	05/04/21	21.42	1.98 W	0.00	Sale ²⁵
	8 transactions fo	or 05/11/21. Total proceed	ds and cost repor	ted to the IRS.			
	964.000	<i>5</i> 23. <i>4</i> 3	05/04/21	573.58	···	-50.15	1 of 8 - Sale ²⁵
	214.000	116.19	05/05/21	123.51		-7.32	2 of 8 - Sale ²⁵
	36.000	19.55	05/05/21	22.76		-3.21	3 of 8 - Sale ²⁵
	34.000	18. 4 6	05/05/21	19.52		-1.06	4 of 8 - Sale ²⁵
	2.000	1.09	05/05/21	1.15		-0.06	5 of 8 - Sale ²⁵
	250.000	135.74	05/06/21	135.32		0.42	6 of 8 - Sale ²⁵
	2.000	1.08	05/07/21	1.08		0.00	7 of 8 - Sale ²⁵
	25.000	13.58	05/07/21	13.45		0.13	8 of 8 - Sale ²⁵
5/11/21	1,527.000	829.12	Various	890.37		-61.25	Total of 8 transactions
	Security total:	1,545.56		1,411.62	1.98 W	135.92	
ASTOR MARITIME	INC. COMMON SH ARES /	CUSIP: Y1146L109 / Syr	mbol:				
3/04/21	7.000	5.99	03/04/21	5.97		0.02	Sale ²⁵
	2 transactions fo	or 03/05/21. Total proceed	ls and cost repor	ted to the IRS.			
	168.000	138.16	03/04/21	143.31		-5.15	1 of 2 - Sale ²⁵
	1.000	0.82	03/04/21	0.78		0.04	2 of 2 - Sale ²⁵
03/05/21	169.000	138.98	Various	144.09		-5.11	Total of 2 transactions

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02/11/2022

Robinhood Securities LLC Account 821973690

Proceeds from Broker and Barter Exchange Transactions (continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME IN	NC. COMMON SH ARES /	CUSIP: Y1146L109 / Syr	mbol: (cont'd)				
	9 transactions fo	r 05/11/21. Total proceed	ds and cost repor	ted to the IRS.			
	100.000	42.28	05/06/21	53.55		-11.27	1 of 9 - Sale ²⁵
	66.000	27.90	05/06/21	35.34		-7.44	2 of 9 - Sale ²⁵
	66.000	27.90	05/06/21	35.33		-7.43	3 of 9 - Sale ²⁵
	66.000	27.90	05/06/21	31.96		-4.06	4 of 9 - Sale ²⁵
	66.000	27.91	05/06/21	31.96		-4.05	5 of 9 - Sale ²⁵
	34.000	14.38	05/06/21	18.22	•••	-3.84	6 of 9 - Sale ²⁵
	34.000	14.38	05/06/21	18.22		-3.84	7 of 9 - Sale ²⁵
	34.000	14.37	05/06/21	18.21	•••	-3.84	8 of 9 - Sale ²⁵
	34.000	14.37	05/06/21	18.20	•••	-3.83	9 of 9 - Sale ²⁵
05/11/21	500.000	211.39	Various	260.99		-49.60	Total of 9 transactions
	8 transactions fo	r 05/11/21. Total proceed	ds and cost repor	ted to the IRS.			
	100.000	42.28	05/04/21	52.51	3.48 W	-6.75	1 of 8 - Sale ²⁵
	500.000	211.39	05/04/21	236.99	25.60 W	0.00	2 of 8 - Sale ²⁵
	66.000	27.91	05/04/21	31.28	3.37 W	0.00	3 of 8 - Sale ²⁵
	34.000	14.37	05/04/21	17.86	3.49 W	0.00	4 of 8 - Sale ²⁵
	66.000	27.91	05/04/21	34.66	6.75 W	0.00	5 of 8 - Sale ²⁵
	34.000	14.37	05/04/21	17.86	3.49 W	0.00	6 of 8 - Sale ²⁵
	100.000	42.28	05/04/21	52.52	10.24 W	0.00	7 of 8 - Sale ²⁵
	100.000	42.28	05/04/21	52.52	10.24 W	0.00	8 of 8 - Sale ²⁵
05/11/21	1,000.000	422.79	Various	496.20	66.66 W	-6.75	Total of 8 transactions
	Security total:	779.15		907.25	66.66 W	-61.44	
Totals :		128,126.57		156,437.21	18,236.23 W	-10,074.41	

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Robinhood Securities LLC Detail for Dividends and Distributions 02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GENERAL ELECTRIC COMPANY	369604103		01/25/21	0.01	Qualified dividend	
			_	0.01	Total Dividends & distribution	ons

Detail for Interest Income

02/11/2022

Account 821973690

2021 This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots,

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/26/21	0.03	Interest	
		03/22/21	0.16	Interest	
		03/31/21	0.01	Interest	
		04/30/21	0.18	Interest	
		05/28/21	0.24	Interest	
		06/22/21	0.37	Interest	
		06/25/21	0.18	Interest	
		06/30/21	0.22	Interest	
		11/23/21	0.02	Interest	
		-	1.41	Total Interest	
Interest payment		08/31/21	0.02	Interest	
		•	1.43	Total Interest	

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/11/21	-5.00	Margin interest paid	
		04/12/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/09/21	-5.00	Margin interest paid	
		07/09/21	-5.00	Margin interest paid	
		08/09/21	-5.00	Margin interest paid	
		-	-30.00	Total Margin interest paid	
Margin charge		09/07/21	-5.00	Margin interest paid	
		-	-35.00	Total Margin interest paid	

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Robinhood Securities LLC

2021 End Notes for Account 821973690

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock. the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above. Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This

amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible. Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms

1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the paver has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1 171-2(a)(4)(ii)

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts. "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment

trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Instructions for Recipient