

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 234978119	Statement Date: 02/17/2022 Document ID: 2HSB 84S X0K6	2021
	TRINADH VARMA PENUMATCHA 1218 POWDERHORN PL PISCATAWAY, NJ 08854-1487		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-0822		

Summary Information

<input type="checkbox"/> FATCA filing requirement (see instructions)		DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2021 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00				2- Royalties	0.00	
1b- Qualified dividends	0.00				3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00				4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00				8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00						
2d- Collectibles (28%) gain	0.00				SECTION 1256 CONTRACTS	2021 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00				8- Profit or (loss) realized in 2021 on closed contracts	73.01	
2f- Section 897 capital gain	0.00				9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
3- Nondividend distributions	0.00				10- Unrealized profit or (loss) on open contracts-12/31/2021	-61.66	
4- Federal income tax withheld	0.00				11- Aggregate profit or (loss) on contracts	11.35	
5- Section 199A dividends	0.00						
6- Investment expenses	0.00				<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession:	0.00				* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.		
7- Foreign tax paid:	0.00						
9- Cash liquidation distributions	0.00						
10- Noncash liquidation distributions	0.00						
11- Exempt-interest dividends (includes line 12)	0.00						
12- Specified private activity bond interest dividends (AMT)	0.00						

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	22,158.80	23,782.39	0.00	404.32	-1,219.27
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	22,158.80	23,782.39	0.00	404.32	-1,219.27
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	11.67	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	11.67	0.00	0.00	0.00	0.00
	Grand total	22,170.47	23,782.39	0.00	404.32	-1,219.27
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

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Summary Information

2021

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INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.58
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	11.67
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	2.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 234978119

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/17/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LITHIUM AMERICAS CORP COM / CUSIP: 53680Q207 / Symbol: LAC							
10/13/21	100.000	2,511.48	Various	2,047.01	...	464.47	Sale
MARATHON DIGITAL HOLDINGS INC COM / CUSIP: 565788106 / Symbol: MARA							
04/14/21	100.000	4,484.22	Various	3,240.72	...	1,243.50	Total of 2 transactions
MOGO INC COM / CUSIP: 60800C109 / Symbol: MOGO							
04/08/21	10.000	102.00	02/17/21	111.41	...	-9.41	Sale
SOS LIMITED ADR / CUSIP: 83587W106 / Symbol: SOS							
02/26/21	100.000	447.49	Various	861.82	...	-414.33	Sale
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
02/11/21	1.000	60.00	02/11/21	56.50	...	3.50	Sale
AMERICAN AIRLINES GROUP INC AAL Mar 19 2021 26.0 Call / CUSIP: / Symbol: AAL 03/19/21 C 26.000							
03/17/21	1.000	12.34	03/15/21	65.66	...	-53.32	Option sale
AMERICAN AIRLINES GROUP INC AAL Apr 16 2021 26.0 Call / CUSIP: / Symbol: AAL 04/16/21 C 26.000							
03/17/21	1.000	155.34	03/17/21	129.66	...	25.68	Option sale
APPLE INC AAPL Mar 19 2021 127.0 Call / CUSIP: / Symbol: AAPL 03/19/21 C 127.000							
03/17/21	1.000	36.34	03/16/21	101.66	...	-65.32	Option sale

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Account 234978119

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC ACB Mar 12 2021 11.0 Call / CUSIP: / Symbol: ACB 03/12/21 C 11.000							
03/12/21	1.000	116.68	03/11/21	0.00	...	116.68	Short sale closed- option
AURORA CANNABIS INC ACB Mar 12 2021 11.5 Call / CUSIP: / Symbol: ACB 03/12/21 C 11.500							
03/11/21	2.000	18.67	03/02/21	217.33	...	-198.66	Option sale
AURORA CANNABIS INC ACB Apr 16 2021 13.0 Call / CUSIP: / Symbol: ACB 04/16/21 C 13.000							
04/07/21	1.000	66.33	04/06/21	0.00	...	66.33	Short sale closed- option
AURORA CANNABIS INC ACB Jun 18 2021 8.0 Call / CUSIP: / Symbol: ACB 06/18/21 C 8.000							
04/16/21	1.000	292.68	04/15/21	0.00	...	292.68	Short sale closed- option
AURORA CANNABIS INC ACB Jun 18 2021 12.0 Call / CUSIP: / Symbol: ACB 06/18/21 C 12.000							
06/18/21	2.000	0.00	03/15/21	511.33	...	-511.33	Option expiration
AMC ENTERTAINMENT HOLDINGS INC AMC Dec 23 2021 55.0 Call / CUSIP: / Symbol: AMC 12/23/21 C 55.000							
12/23/21	2.000	0.00	Various	731.32	...	-731.32	Option expiration
ADVANCED MICRO DEVICES INC AMD May 21 2021 82.5 Call / CUSIP: / Symbol: AMD 05/21/21 C 82.500							
04/15/21	1.000	394.34	04/15/21	340.66	...	53.68	Option sale
ADVANCED MICRO DEVICES INC AMD Jan 21 2022 130.0 Call / CUSIP: / Symbol: AMD 01/21/22 C 130.000							
10/26/21	1.000	816.34	10/25/21	690.66	...	125.68	Option sale
BANK OF AMERICA CORPORATION BAC Apr 16 2021 38.0 Call / CUSIP: / Symbol: BAC 04/16/21 C 38.000							
03/30/21	1.000	190.34	03/19/21	147.66	...	42.68	Option sale
BANK OF AMERICA CORPORATION BAC May 14 2021 42.5 Call / CUSIP: / Symbol: BAC 05/14/21 C 42.500							
05/12/21	1.000	18.34	05/12/21	34.66	...	-16.32	Option sale
BP BP Jan 21 2022 25.0 Call / CUSIP: / Symbol: BP 01/21/22 C 25.000							
10/05/21	1.000	394.34	03/16/21	370.66	...	23.68	Option sale
CANOPY GROWTH CORPORATION CGC Apr 16 2021 45.0 Call / CUSIP: / Symbol: CGC 04/16/21 C 45.000							
03/19/21	1.000	31.68	03/18/21	0.00	...	31.68	Short sale closed- option
CHARGEPOINT HOLDINGS INC CHPT Jun 18 2021 29.0 Call / CUSIP: / Symbol: CHPT 06/18/21 C 29.000							
04/26/21	1.000	249.34	04/16/21	205.66	...	43.68	Option sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEWY INC CHWY May 21 2021 82.0 Call / CUSIP: / Symbol: CHWY 05/21/21 C 82.000							
04/22/21	1.000	419.34	04/22/21	400.66	...	18.68	Option sale
CLOVER HEALTH INVESTMENTS CORP CLOV May 21 2021 9.0 Call / CUSIP: / Symbol: CLOV 05/21/21 C 9.000							
04/16/21	1.000	199.34	04/16/21	65.66	...	133.68	Option sale
CLOVER HEALTH INVESTMENTS CORP CLOV May 21 2021 10.0 Call / CUSIP: / Symbol: CLOV 05/21/21 C 10.000							
04/16/21	2.000	213.68	Various	131.32	...	82.36	Total of 2 transactions
WALT DISNEY COMPANY (THE) DIS Apr 16 2021 195.0 Call / CUSIP: / Symbol: DIS 04/16/21 C 195.000							
04/14/21	1.000	22.34	04/06/21	190.66	...	-168.32	Option sale
DRAFTKINGS INC DKNG Oct 15 2021 80.0 Call / CUSIP: / Symbol: DKNG 10/15/21 C 80.000							
03/18/21	1.000	1,119.33	03/17/21	975.66	...	143.67	Option sale
EXPEDIA GROUP INC EXPE May 21 2021 175.0 Call / CUSIP: / Symbol: EXPE 05/21/21 C 175.000							
04/08/21	1.000	1,019.33	04/07/21	948.66	...	70.67	Option sale
FORD MOTOR CO F Apr 09 2021 13.0 Call / CUSIP: / Symbol: F 04/09/21 C 13.000							
04/07/21	1.000	6.34	03/19/21	64.66	...	-58.32	Option sale
FORD MOTOR CO F Jan 21 2022 10.0 Call / CUSIP: / Symbol: F 01/21/22 C 10.000							
03/12/21	1.000	429.34	03/01/21	330.66	...	98.68	Option sale
FUELCELL ENERGY INC FCEL Apr 16 2021 17.5 Call / CUSIP: / Symbol: FCEL 04/16/21 C 17.500							
04/12/21	1.000	30.33	04/09/21	0.00	...	30.33	Short sale closed- option
FUELCELL ENERGY INC FCEL Apr 16 2021 20.0 Call / CUSIP: / Symbol: FCEL 04/16/21 C 20.000							
03/31/21	1.000	49.68	03/30/21	0.00	...	49.68	Short sale closed- option
FREEPORT-MCMORAN INC FCX Apr 09 2021 35.0 Call / CUSIP: / Symbol: FCX 04/09/21 C 35.000							
04/05/21	1.000	99.34	04/01/21	53.66	...	45.68	Option sale
FISKER INC FSR Jan 21 2022 25.0 Call / CUSIP: / Symbol: FSR 01/21/22 C 25.000							
04/21/21	1.000	277.34	04/20/21	220.66	...	56.68	Option sale
FUBOTV INC FUBO Apr 16 2021 24.0 Call / CUSIP: / Symbol: FUBO 04/16/21 C 24.000							
04/09/21	1.000	164.34	04/09/21	81.66	...	82.68	Option sale

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GEVO INC GEVO Apr 16 2021 12.5 Call / CUSIP: / Symbol: GEVO 04/16/21 C 12.500							
03/19/21	2.000	77.35	03/18/21	0.00	...	77.35	Short sale closed- option
03/31/21	1.000	48.68	03/30/21	0.00	...	48.68	Short sale closed- option
04/07/21	1.000	14.33	04/06/21	0.00	...	14.33	Short sale closed- option
Security total:		140.36		0.00	...	140.36	
GILAT SATELLITE NETWORKS GILT Apr 16 2021 15.0 Call / CUSIP: / Symbol: GILT 04/16/21 C 15.000							
04/05/21	1.000	68.68	04/01/21	0.00	...	68.68	Short sale closed- option
GENIUS BRANDS INTL INC GNUS Apr 16 2021 3.0 Call / CUSIP: / Symbol: GNUS 04/16/21 C 3.000							
04/01/21	1.000	15.68	03/31/21	0.00	...	15.68	Short sale closed- option
GENIUS BRANDS INTL INC GNUS May 21 2021 3.5 Call / CUSIP: / Symbol: GNUS 05/21/21 C 3.500							
05/21/21	1.000	0.00	03/24/21	64.66	...	-64.66	Option expiration
IDEANOMICS INC IDEX Apr 16 2021 4.5 Call / CUSIP: / Symbol: IDEX 04/16/21 C 4.500							
04/05/21	1.000	11.68	04/01/21	0.00	...	11.68	Short sale closed- option
II-VI INC IIVI Oct 15 2021 80.0 Call / CUSIP: / Symbol: IIVI 10/15/21 C 80.000							
04/05/21	1.000	999.33	04/01/21	790.66	...	208.67	Option sale
ITAU UNIBANCO HOLDINGS S.A. ITUB Jun 18 2021 5.0 Call / CUSIP: / Symbol: ITUB 06/18/21 C 5.000							
05/06/21	1.000	30.34	04/14/21	27.66	...	2.68	Option sale
KEURIG DR PEPPER INC KDP May 21 2021 35.0 Call / CUSIP: / Symbol: KDP 05/21/21 C 35.000							
04/15/21	1.000	154.34	03/26/21	130.66	...	23.68	Option sale
LITHIUM AMERICAS CORP LAC May 21 2021 17.5 Call / CUSIP: / Symbol: LAC 05/21/21 C 17.500							
05/12/21	1.000	4.34	03/31/21	150.66	...	-146.32	Option sale
BATH & BODY WORKS INC LB Apr 09 2021 64.0 Call / CUSIP: / Symbol: LB 04/09/21 C 64.000							
04/07/21	1.000	99.34	04/07/21	65.66	...	33.68	Option sale
MARATHON DIGITAL HOLDINGS INC MARA Feb 26 2021 48.0 Call / CUSIP: / Symbol: MARA 02/26/21 C 48.000							
02/22/21	1.000	133.34	02/18/21	575.66	...	-442.32	Option sale
MARATHON DIGITAL HOLDINGS INC MARA Apr 16 2021 43.0 Call / CUSIP: / Symbol: MARA 04/16/21 C 43.000							
03/29/21	1.000	116.68	03/26/21	0.00	...	116.68	Short sale closed- option

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MARATHON DIGITAL HOLDINGS INC MARA Apr 16 2021		43.5 Call / CUSIP: / Symbol: MARA 04/16/21 C 43.500					
04/06/21	1.000	-916.32	04/05/21	0.00	...	-916.32	Short sale closed- option
MARATHON DIGITAL HOLDINGS INC MARA Apr 16 2021		58.0 Call / CUSIP: / Symbol: MARA 04/16/21 C 58.000					
04/09/21	1.000	24.68	04/08/21	0.00	...	24.68	Short sale closed- option
MARATHON DIGITAL HOLDINGS INC MARA May 21 2021		55.0 Call / CUSIP: / Symbol: MARA 05/21/21 C 55.000					
05/21/21	1.000	0.00	04/01/21	965.66	...	-965.66	Option expiration
MARATHON DIGITAL HOLDINGS INC MARA Mar 05 2021		29.0 Put / CUSIP: / Symbol: MARA 03/05/21 P 29.000					
03/02/21	1.000	37.34	02/26/21	288.66	...	-251.32	Option sale
MARATHON DIGITAL HOLDINGS INC MARA Mar 05 2021		30.0 Put / CUSIP: / Symbol: MARA 03/05/21 P 30.000					
03/03/21	1.000	286.68	03/02/21	0.00	...	286.68	Short sale closed- option
MARATHON PETROLEUM CORP MPC Jun 18 2021		70.0 Call / CUSIP: / Symbol: MPC 06/18/21 C 70.000					
06/18/21	1.000	0.00	04/16/21	40.66	...	-40.66	Option expiration
MICRON TECHNOLOGY INC MU Jul 16 2021		85.0 Call / CUSIP: / Symbol: MU 07/16/21 C 85.000					
04/21/21	1.000	934.34	04/20/21	835.66	...	98.68	Option sale
NORWEGIAN CRUISE LINE HLDGS LT NCLH Jun 18 2021		35.0 Call / CUSIP: / Symbol: NCLH 06/18/21 C 35.000					
06/01/21	1.000	59.34	04/07/21	295.66	...	-236.32	Option sale
06/18/21	1.000	0.00	04/14/21	135.66	...	-135.66	Option expiration
	Security total:	59.34		431.32	...	-371.98	
NIO INC NIO Apr 16 2021		47.0 Call / CUSIP: / Symbol: NIO 04/16/21 C 47.000					
03/31/21	1.000	108.68	03/30/21	0.00	...	108.68	Short sale closed- option
04/12/21	1.000	32.33	04/09/21	0.00	...	32.33	Short sale closed- option
	Security total:	141.01		0.00	...	141.01	
NIO INC NIO Apr 16 2021		60.0 Call / CUSIP: / Symbol: NIO 04/16/21 C 60.000					
03/19/21	1.000	38.68	03/18/21	0.00	...	38.68	Short sale closed- option
NIO INC NIO Jan 21 2022		55.0 Call / CUSIP: / Symbol: NIO 01/21/22 C 55.000					
11/04/21	1.000	162.34	10/26/21	115.66	...	46.68	Option sale

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TD Ameritrade Clearing, Inc.

Account 234978119

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORP NVDA May 07 2021 600.0 Call / CUSIP: / Symbol: NVDA 05/07/21 C 600.000							
05/06/21	1.000	16.34	05/05/21	714.98	...	-698.64	Option sale
05/06/21	1.000	21.34	05/05/21	425.66	404.32 W	0.00	Option sale
	Security total:	37.68		1,140.64	404.32 W	-698.64	
PAYCHEX INC PAYX Apr 16 2021 97.5 Call / CUSIP: / Symbol: PAYX 04/16/21 C 97.500							
04/08/21	1.000	39.34	04/07/21	65.66	...	-26.32	Option sale
PALANTIR TECH INC PLTR Mar 12 2021 24.0 Call / CUSIP: / Symbol: PLTR 03/12/21 C 24.000							
03/12/21	1.000	-73.32	03/11/21	0.00	...	-73.32	Short sale closed- option
PALANTIR TECH INC PLTR Mar 12 2021 25.0 Call / CUSIP: / Symbol: PLTR 03/12/21 C 25.000							
03/11/21	2.000	331.68	Various	259.33	...	72.35	Total of 2 transactions
PALANTIR TECH INC PLTR Mar 26 2021 27.0 Call / CUSIP: / Symbol: PLTR 03/26/21 C 27.000							
03/23/21	1.000	33.68	03/22/21	0.00	...	33.68	Short sale closed- option
PALANTIR TECH INC PLTR Apr 16 2021 25.5 Call / CUSIP: / Symbol: PLTR 04/16/21 C 25.500							
04/06/21	1.000	-21.32	04/05/21	0.00	...	-21.32	Short sale closed- option
PALANTIR TECH INC PLTR Jul 16 2021 28.0 Call / CUSIP: / Symbol: PLTR 07/16/21 C 28.000							
07/06/21	1.000	30.68	07/02/21	0.00	...	30.68	Short sale closed- option
PALANTIR TECH INC PLTR Nov 19 2021 29.0 Call / CUSIP: / Symbol: PLTR 11/19/21 C 29.000							
11/10/21	1.000	46.68	11/09/21	0.00	...	46.68	Short sale closed- option
PLUG POWER INC PLUG Apr 23 2021 26.5 Call / CUSIP: / Symbol: PLUG 04/23/21 C 26.500							
04/21/21	1.000	104.34	04/20/21	97.66	...	6.68	Option sale
PLUG POWER INC PLUG Apr 23 2021 30.0 Call / CUSIP: / Symbol: PLUG 04/23/21 C 30.000							
04/14/21	1.000	125.34	04/14/21	200.66	...	-75.32	Option sale
PLUG POWER INC PLUG Jun 18 2021 38.0 Call / CUSIP: / Symbol: PLUG 06/18/21 C 38.000							
03/17/21	1.000	699.34	03/17/21	650.66	...	48.68	Option sale
PLUG POWER INC PLUG Mar 19 2021 45.0 Put / CUSIP: / Symbol: PLUG 03/19/21 P 45.000							
03/17/21	1.000	849.34	02/25/21	449.66	...	399.68	Option sale

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TD Ameritrade Clearing, Inc.

Account 234978119

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER INC PLUG Mar 19 2021 46.0 Put / CUSIP: / Symbol: PLUG 03/19/21 P 46.000							
03/18/21	1.000	-405.32	03/17/21	0.00	...	-405.32	Short sale closed- option
STARBUCKS CORP SBUX May 21 2021 110.0 Call / CUSIP: / Symbol: SBUX 05/21/21 C 110.000							
03/31/21	1.000	499.34	03/19/21	415.66	...	83.68	Option sale
SMART GLOBAL HOLDINGS INC SGH Apr 16 2021 55.0 Call / CUSIP: / Symbol: SGH 04/16/21 C 55.000							
04/07/21	1.000	114.34	04/07/21	75.66	...	38.68	Option sale
SNAP INC SNAP Jun 18 2021 65.0 Call / CUSIP: / Symbol: SNAP 06/18/21 C 65.000							
04/07/21	1.000	519.34	03/31/21	245.66	...	273.68	Option sale
SOS LIMITED SOS Mar 05 2021 10.0 Call / CUSIP: / Symbol: SOS 03/05/21 C 10.000							
03/01/21	1.000	9.68	02/26/21	0.00	...	9.68	Short sale closed- option
SOS LIMITED SOS Mar 19 2021 12.5 Call / CUSIP: / Symbol: SOS 03/19/21 C 12.500							
02/26/21	1.000	24.34	02/18/21	370.66	...	-346.32	Option sale
SOS LIMITED SOS Apr 16 2021 12.5 Call / CUSIP: / Symbol: SOS 04/16/21 C 12.500							
02/26/21	1.000	54.34	02/23/21	130.66	...	-76.32	Option sale
SPDR S&P500 ETF TRUST SPY May 21 2021 423.0 Call / CUSIP: / Symbol: SPY 05/21/21 C 423.000							
04/05/21	1.000	191.34	03/19/21	90.66	...	100.68	Option sale
SPDR S&P500 ETF TRUST SPY May 21 2021 429.0 Call / CUSIP: / Symbol: SPY 05/21/21 C 429.000							
04/13/21	1.000	144.34	03/16/21	110.66	...	33.68	Option sale
SQUARE INC SQ Apr 30 2021 257.5 Call / CUSIP: / Symbol: SQ 04/30/21 C 257.500							
04/29/21	1.000	313.34	04/29/21	248.66	...	64.68	Option sale
SQUARE INC SQ May 14 2021 210.0 Call / CUSIP: / Symbol: SQ 05/14/21 C 210.000							
05/13/21	1.000	379.34	05/13/21	295.66	...	83.68	Option sale
SQUARE INC SQ Jun 11 2021 222.5 Call / CUSIP: / Symbol: SQ 06/11/21 C 222.500							
06/11/21	1.000	47.34	06/11/21	128.66	...	-81.32	Option sale
UNDER ARMOUR INC UA Apr 16 2021 17.5 Call / CUSIP: / Symbol: UA 04/16/21 C 17.500							
03/31/21	1.000	119.34	03/29/21	95.66	...	23.68	Option sale

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TD Ameritrade Clearing, Inc.

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VISA INC V Aug 20 2021 230.0 Call / CUSIP: / Symbol: V 08/20/21 C 230.000							
04/14/21	1.000	894.34	04/08/21	845.66	...	48.68	Option sale
VALE S.A. VALE Apr 16 2021 18.5 Call / CUSIP: / Symbol: VALE 04/16/21 C 18.500							
04/08/21	1.000	59.34	04/06/21	52.66	...	6.68	Option sale
Totals :		22,158.80		23,782.39	404.32 W	-1,219.27	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL							
03/31/21	0.000	1.25	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000461133
04/30/21	0.000	1.18	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000393270
05/31/21	0.000	1.27	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000405766
06/30/21	0.000	1.27	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000433420
07/31/21	0.000	1.22	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432626
08/31/21	0.000	1.14	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000427223
09/30/21	0.000	1.06	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000432880
10/31/21	0.000	1.10	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000414059
11/30/21	0.000	1.10	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000434447

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TD Ameritrade Clearing, Inc.

Account 234978119

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL (cont'd)							
12/31/21	0.000	1.08	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000424936
	Security total:	11.67		
Totals :		11.67		

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TD Ameritrade Clearing, Inc.

Account 234978119

Detail for Interest Income

2021

02/17/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	03/31/21	0.04	Interest- money mkt	
		04/30/21	0.04	Interest- money mkt	
		05/31/21	0.06	Interest- money mkt	
		06/30/21	0.06	Interest- money mkt	
		07/31/21	0.06	Interest- money mkt	
		08/31/21	0.06	Interest- money mkt	
		09/30/21	0.05	Interest- money mkt	
		10/31/21	0.07	Interest- money mkt	
		11/30/21	0.07	Interest- money mkt	
		12/31/21	0.07	Interest- money mkt	
			0.58	Total Interest	
			0.58	Total Interest	

TD Ameritrade Clearing, Inc.

Account 234978119

Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

02/17/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Apr 16 2021 25.0	SLV 04/16/21 C 25.000	04/12/21	-1.00	24.33	Realized profit/loss	
ISHARES SILVER TRUST SLV Jul 16 2021 28.0	SLV 07/16/21 C 28.000	06/21/21	-1.00	48.68	Realized profit/loss	
ISHARES SILVER TRUST SLV Jan 21 2022 25.0	SLV 01/21/22 C 25.000	12/31/21	1.00	-61.66	Unrealized profit/loss current year	
				73.01	Total Realized profit/loss	
				-61.66	Total Unrealized profit/loss current year	
				11.35	Total Aggregate profit or (loss) on contracts	

TD Ameritrade Clearing, Inc.

Account 234978119

Fees and Expenses

2021

02/17/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes		
ISHARES SILVER TRUST ISHARES ETF	46428Q109 SLV Note: CL	03/31/21	-1.25	Gross proceeds investment expense	15		
		04/30/21	-1.18	Gross proceeds investment expense	15		
		05/31/21	-1.27	Gross proceeds investment expense	15		
		06/30/21	-1.27	Gross proceeds investment expense	15		
		07/31/21	-1.22	Gross proceeds investment expense	15		
		08/31/21	-1.14	Gross proceeds investment expense	15		
		09/30/21	-1.06	Gross proceeds investment expense	15		
		10/31/21	-1.10	Gross proceeds investment expense	15		
		11/30/21	-1.10	Gross proceeds investment expense	15		
		12/31/21	-1.08	Gross proceeds investment expense	15		
					-11.67	Total Gross proceeds investmnt exp	
		NIO INC ADR	62914V106 NIO	06/09/21	-2.00	Depository receipt fee	
			-2.00	Total Depository receipt fee			
			-11.67	Total Gross proceeds investmnt exp			

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

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