

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 455335189	Statement Date: 02/17/2022 Document ID: 3TRR 17G T5PX	2021
	PAYER'S TIN: 47-0533629	RAMBABU KODATI 13085 MORRIS RD UNIT 2106 ALPHARETTA, GA 30004-4152 RECIPIENT'S TIN: XXX-XX-7566	

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 157.99</p> <p>1b- Qualified dividends 157.99</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 1.37</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 5.07</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 111.69</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 -328.32</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 440.01</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,492,613.42	1,498,203.47	0.00	7,485.91	1,895.86
Short	B (basis not reported to the IRS)	6,884.26	6,799.02	0.00	0.00	85.24
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,499,497.68	1,505,002.49	0.00	7,485.91	1,981.10
Long	D (basis reported to the IRS)	4,743.11	9,963.36	0.00	0.00	-5,220.25
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	4,743.11	9,963.36	0.00	0.00	-5,220.25
Undetermined	B or E (basis not reported to the IRS)	6.62	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	6.62	0.00	0.00	0.00	0.00
	Grand total	1,504,247.41	1,514,965.85	0.00	7,485.91	-3,239.15
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 455335189

Summary Information

2021

(continued)

02/17/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	3,023.26
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	6.62
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	281.82
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/17/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AG MTG INVEST TRUST INC 1:3 R/S 7/23/21 001228501 / CUSIP: 001228105 / Symbol:							
02/23/21	250.000	1,070.23	02/22/21	1,084.98	...	-14.75	Sale ²⁶
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
01/27/21	100.000	1,684.95	Various	1,598.03	...	86.92	Total of 3 transactions
01/27/21	100.000	1,101.97	01/27/21	1,650.00	548.03 W	0.00	Sale ²⁶
01/28/21	35.000	511.69	01/28/21	363.13	...	148.56	Sale ²⁶
01/28/21	50.000	528.98	01/28/21	785.62	259.71 W	3.07	Sale ²⁶
03/10/21	100.000	1,159.98	02/09/21	565.00	...	594.98	Sale ²⁶
05/25/21	100.000	1,644.98	01/29/21	1,609.71	...	35.27	Sale ²⁶
	Security total:	6,632.55		6,571.49	807.74 W	868.80	
AMMO INC COM / CUSIP: 00175J107 / Symbol: POWW							
02/11/21	100.000	860.98	02/04/21	798.00	...	62.98	Sale ²⁶
AST SPACEMOBILE INC COM CL A / CUSIP: 00217D100 / Symbol: ASTS							
12/15/21	50.000	398.39	06/30/21	681.00	...	-282.61	Sale ²⁶
ABEONA THERAPEUTICS INC COM / CUSIP: 00289Y107 / Symbol: ABEO							
01/19/21	1,000.000	2,099.83	01/15/21	1,999.90	...	99.93	Sale ²⁶
ABRAXAS PETROLEUM CORP COM / CUSIP: 003830304 / Symbol: AXAS							
02/05/21	1.000	3.58	02/05/21	3.14	...	0.44	Sale ²⁶

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Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA INC COM CL A / CUSIP: 00430K873 / Symbol: ACST							
09/01/21	0.500	1.36	02/12/21	4.28	...	-2.92	Sale ²⁶
ACUITYADS HLDGS INC COM / CUSIP: 00510L106 / Symbol: ATY							
02/19/21	50.000	1,235.33	02/11/21	1,226.91	...	8.42	Sale ²⁶
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
02/02/21	20.000	1,768.57	01/28/21	1,760.00	...	8.57	Sale ²⁶
AFFIRM HLDGS INC COM CL A / CUSIP: 00827B106 / Symbol: AFRM							
09/27/21	20.000	2,567.39	09/23/21	2,530.00	...	37.39	Sale ²⁶
AGENUS INC COM / CUSIP: 00847G705 / Symbol: AGEN							
01/25/21	100.000	353.22	01/21/21	342.00	...	11.22	Sale ²⁶
AIRBNB INC COM CL A / CUSIP: 009066101 / Symbol: ABNB							
09/20/21	20.000	3,284.18	09/17/21	3,378.00	...	-93.82	Sale ²⁶
09/21/21	30.000	5,078.07	09/17/21	5,067.00	...	11.07	Sale ²⁶
	Security total:	8,362.25		8,445.00	...	-82.75	
AKEBIA THERAPEUTICS INC COM / CUSIP: 00972D105 / Symbol: AKBA							
02/08/21	200.000	959.96	02/08/21	929.98	...	29.98	Sale ²⁶
AKERNA CORP COM / CUSIP: 00973W102 / Symbol: KERN							
01/06/21	200.000	859.96	01/06/21	916.00	14.01 W	-42.03	Sale ²⁶
02/09/21	50.000	434.98	01/29/21	323.78	...	111.20	Sale ²⁶
	Security total:	1,294.94		1,239.78	14.01 W	69.17	
ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA							
02/23/21	10.000	2,451.61	02/16/21	2,730.85	...	-279.24	Sale ²⁶
09/17/21	50.000	7,914.95	09/16/21	7,700.00	...	214.95	Sale ²⁶
09/28/21	30.000	4,568.42	09/21/21	4,500.00	...	68.42	Sale ²⁶
12/30/21	30.000	3,509.08	12/29/21	3,413.78	...	95.30	Sale ²⁶
12/30/21	21.000	2,455.93	Various	2,443.49	50.69 W	63.13	Sale ²⁶
	Security total:	20,899.99		20,788.12	50.69 W	162.56	
ALLENA PHARMACEUTICALS INC COM / CUSIP: 018119107 / Symbol: ALNA							
12/21/21	100.000	70.99	01/26/21	249.81	...	-178.82	Sale ²⁶

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Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALLIANCE BIOENERGY PLUS INC N/C 8/27/21 09530Q102 / CUSIP: 01890B106 / Symbol: ALLM							
02/05/21	1,000.000	812.91	02/05/21	823.48	...	-10.57	Sale ²⁶
ALTO INGREDIENTS INC COM / CUSIP: 021513106 / Symbol: ALTO							
12/15/21	100.000	455.00	01/29/21	729.00	...	-274.00	Sale ²⁶
ALTIMMUNE INC COM / CUSIP: 02155H200 / Symbol: ALT							
01/07/21	100.000	1,392.96	12/14/20	1,423.00	30.04 W	0.00	Sale ²⁶
02/05/21	100.000	1,859.94	01/26/21	1,811.54	...	48.40	Sale ²⁶
	Security total:	3,252.90		3,234.54	30.04 W	48.40	
ATERIAN INC COM / CUSIP: 02156U101 / Symbol: ATER							
09/21/21	100.000	1,344.98	09/20/21	1,312.94	...	32.04	Sale ²⁶
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
12/01/21	50.000	814.09	Various	835.00	...	-20.91	Total of 2 transactions
AMERICAN ELECTRIC POWER CO INC COM / CUSIP: 025537101 / Symbol: AEP							
01/29/21	25.000	2,000.21	01/22/21	2,054.23	...	-54.02	Sale ²⁶
AMPIO PHARMACEUTICALS INC COM / CUSIP: 03209T109 / Symbol: AMPE							
02/12/21	500.000	1,205.61	02/10/21	1,154.30	...	51.31	Sale ²⁶
AMPLITECH GROUP INC COM / CUSIP: 03211Q200 / Symbol: AMPG							
02/17/21	30.000	216.75	02/16/21	517.25	...	-300.50	Sale ²⁶
APEX TECHNOLOGY ACQUISITION CO 1:1 EXC 7/2/21 053604104 / CUSIP: 03768F102 / Symbol: APXT							
01/12/21	49.000	779.56	01/07/21	708.05	...	71.51	Sale ²⁶
02/17/21	1.000	14.69	01/07/21	14.45	...	0.24	Sale ²⁶
	Security total:	794.25		722.50	...	71.75	
APPIAN CORPORATION COM CL A / CUSIP: 03782L101 / Symbol: APPN							
06/28/21	50.000	7,399.95	06/28/21	7,275.00	...	124.95	Sale ²⁶
06/29/21	2.000	297.88	06/29/21	290.00	...	7.88	Sale ²⁶
	Security total:	7,697.83		7,565.00	...	132.83	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
07/07/21	30.099	4,309.86	Various	4,289.85	...	20.01	Total of 2 transactions
08/12/21	85.000	12,637.03	Various	12,634.39	...	2.64	Total of 3 transactions
08/16/21	15.000	2,237.62	08/12/21	2,229.60	...	8.02	Sale ²⁶
	Security total:	19,184.51		19,153.84	...	30.67	
APPLIED MATERIALS INC COM / CUSIP: 038222105 / Symbol: AMAT							
01/14/21	20.000	2,126.59	01/14/21	2,099.51	...	27.08	Sale ²⁶
ARBUTUS BIOPHARMA CORP COM / CUSIP: 03879J100 / Symbol: ABUS							
10/04/21	150.000	626.23	02/09/21	742.50	...	-116.27	Sale ²⁶
12/01/21	150.000	861.53	Various	742.50	...	119.03	Total of 2 transactions
	Security total:	1,487.76		1,485.00	...	2.76	
ARCBEST CORP COM / CUSIP: 03937C105 / Symbol: ARCB							
09/16/21	50.000	3,647.48	09/16/21	3,651.48	...	-4.00	Sale ²⁶
09/20/21	50.000	3,578.98	Various	3,651.48	...	-72.50	Total of 2 transactions
	Security total:	7,226.46		7,302.96	...	-76.50	
ARDELYX INC COM / CUSIP: 039697107 / Symbol: ARDX							
12/02/21	500.000	849.94	09/03/21	800.00	...	49.94	Sale ²⁶
ASANA INC COM CL A / CUSIP: 04342Y104 / Symbol: ASAN							
09/14/21	30.000	3,148.48	09/13/21	3,141.00	...	7.48	Sale ²⁶
09/29/21	30.000	3,128.78	Various	3,228.90	...	-100.12	Total of 2 transactions
11/09/21	100.000	14,259.92	11/09/21	14,242.00	...	17.92	Sale ²⁶
	Security total:	20,537.18		20,611.90	...	-74.72	
ASCENT SOLAR TECHNOLOGIES INC 1:5000 R/S 1/31/22 043635606 / CUSIP: 043635507 / Symbol:							
02/10/21	12,500.000	1,069.04	02/08/21	518.20	...	550.84	Sale ²⁶
ASHFORD HOSPITALITY TRUST INC COM USD0.01(POST REV SPLIT) / CUSIP: 044103869 / Symbol: AHT							
08/31/21	100.000	1,546.02	08/27/21	1,492.00	...	54.02	Sale ²⁶
ASSEMBLY BIOSCIENCES INC COM / CUSIP: 045396108 / Symbol: ASMB							
02/03/21	200.000	1,194.17	02/02/21	1,159.98	...	34.19	Sale ²⁶

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AT HOME GROUP INC CSH MGR @ \$37.00/SH 7/23/21 / CUSIP: 04650Y100 / Symbol: HOME							
01/25/21	100.000	2,633.01	Various	2,456.91	...	176.10	Total of 2 transactions
ATEA PHARMACEUTICALS INC COM / CUSIP: 04683R106 / Symbol: AVIR							
08/06/21	100.000	3,077.97	08/06/21	2,876.09	...	201.88	Sale ²⁶
ATLAS CREST INVT CORP 1:1 EXC 9/17/21 03945R102 / CUSIP: 049284102 / Symbol: ACIC							
02/18/21	100.000	1,720.95	02/18/21	1,678.38	...	42.57	Sale ²⁶
ATOSSA THERAPEUTICS INC COM / CUSIP: 04962H506 / Symbol: ATOS							
09/10/21	500.000	1,985.93	09/10/21	1,919.90	...	66.03	Sale ²⁶
ATOMERA INCORPORATED COM / CUSIP: 04965B100 / Symbol: ATOM							
02/01/21	50.000	1,610.29	01/28/21	1,481.42	...	128.87	Sale ²⁶
11/09/21	100.000	3,020.97	11/08/21	2,914.00	...	106.97	Sale ²⁶
	Security total:	4,631.26		4,395.42	...	235.84	
AURINIA PHARMACEUTICALS INC COM / CUSIP: 05156V102 / Symbol: AUPH							
08/27/21	20.000	368.40	01/25/21	420.00	...	-51.60	Sale ²⁶
AVINGER INC COM / CUSIP: 053734604 / Symbol: AVGR							
01/19/21	500.000	845.87	Various	724.95	...	120.92	Total of 2 transactions
BAIDU INC ADR / CUSIP: 056752108 / Symbol: BIDU							
01/12/21	10.000	2,339.95	01/12/21	2,302.50	...	37.45	Sale ²⁶
BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND							
01/14/21	60.000	8,609.80	Various	8,505.00	225.03 W	329.83	Sale ²⁶
01/25/21	10.000	1,609.96	01/25/21	1,724.65	...	-114.69	Sale ²⁶
	Security total:	10,219.76		10,229.65	225.03 W	215.14	
BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC							
01/25/21	45.000	3,649.41	12/28/20	3,082.50	...	566.91	Sale ²⁶
01/26/21	44.000	3,761.91	Various	3,683.86	256.90 W	334.95	Sale ²⁶
12/21/21	90.000	3,404.82	Various	5,732.29	...	-2,327.47	Total of 2 transactions
	Security total:	10,816.14		12,498.65	256.90 W	-1,425.61	

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Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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BIOLINE RX LTD ADR SPONSORED / CUSIP: 09071M205 / Symbol: BLRX							
02/08/21	100.000	397.98	01/28/21	282.89	...	115.09	Sale ²⁶
BIONANO GENOMICS INC COM / CUSIP: 09075F107 / Symbol: BNGO							
01/22/21	99.000	955.32	Various	888.77	27.52 W	94.07	Sale ²⁶
02/12/21	101.000	1,312.14	Various	965.74	...	346.40	Sale ²⁶
	Security total:	2,267.46		1,854.51	27.52 W	440.47	
BIONTECH SE ADR / CUSIP: 09075V102 / Symbol: BNTX							
07/16/21	20.000	4,587.78	07/16/21	4,580.00	...	7.78	Sale ²⁶
07/19/21	20.000	4,641.88	07/19/21	4,638.00	...	3.88	Sale ²⁶
	Security total:	9,229.66		9,218.00	...	11.66	
BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK							
01/26/21	200.000	10,539.75	Various	9,918.84	...	620.91	Total of 2 transactions
02/03/21	200.000	10,479.75	Various	10,280.00	...	199.75	Total of 2 transactions
	Security total:	21,019.50		20,198.84	...	820.66	
BLUE APRON HOLDINGS INC COM CL A / CUSIP: 09523Q200 / Symbol: APRN							
01/07/21	100.000	741.97	01/07/21	760.00	...	-18.03	Sale ²⁶
01/12/21	100.000	765.98	01/07/21	760.00	...	5.98	Sale ²⁶
09/21/21	500.000	2,699.93	09/21/21	2,585.00	...	114.93	Sale ²⁶
09/23/21	500.000	3,094.92	09/22/21	3,005.00	...	89.92	Sale ²⁶
09/28/21	500.000	2,924.93	09/27/21	3,210.00	...	-285.07	Sale ²⁶
	Security total:	10,227.73		10,320.00	...	-92.27	
BLUE BIOFUELS INC COM / CUSIP: 09530Q102 / Symbol: BIOF							
12/27/21	2,000.000	524.31	Various	1,230.42	...	-706.11	Sale ²⁶
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
02/23/21	10.000	2,104.21	12/14/20	2,361.95	...	-257.74	Sale ²⁶
BOQI INTERNATIONAL MEDICAL INC N/C 7/20/21 05552Q103 / CUSIP: 099501108 / Symbol:							
02/10/21	200.000	499.97	10/23/20	495.00	...	4.97	Sale ²⁶
BOXLIGHT CORPORATION COM CL A / CUSIP: 103197109 / Symbol: BOXL							
08/31/21	500.000	1,317.58	08/30/21	1,304.90	...	12.68	Sale ²⁶

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BRAINSTORM CELL THERAPEUTICS COM / CUSIP: 10501E201 / Symbol: BCLI							
02/04/21	200.000	1,405.95	01/28/21	1,339.84	...	66.11	Sale ²⁶
BRIACELL THERAPEUTICS INC COM / CUSIP: 10778Y302 / Symbol: BCTX							
09/09/21	500.000	3,989.22	Various	3,850.00	...	139.22	Total of 2 transactions
BRICKELL BIOTECH INC COM / CUSIP: 10802T105 / Symbol: BBI							
02/08/21	100.000	147.49	02/08/21	137.81	...	9.68	Sale ²⁶
BRUNSWICK CORP COM / CUSIP: 117043109 / Symbol: BC							
08/03/21	20.000	2,098.99	08/02/21	2,100.00	...	-1.01	Sale ²⁶
BUILD A BEAR WORKSHOP INC COM / CUSIP: 120076104 / Symbol: BBW							
02/11/21	500.000	3,125.85	Various	3,100.00	...	25.85	Total of 2 transactions
BUTTERFLY NETWORK INC COM CL A / CUSIP: 124155102 / Symbol: BFLY							
02/17/21	1.000	22.89	12/08/20	16.28	...	6.61	Sale ²⁶
C3.AI INC COM CL A / CUSIP: 12468P104 / Symbol: AI							
02/04/21	40.000	6,319.86	Various	6,158.77	...	161.09	Sale ²⁶
02/08/21	20.000	2,977.73	02/05/21	2,943.97	...	33.76	Sale ²⁶
	Security total:	9,297.59		9,102.74	...	194.85	
CIM COMMERCIAL TRUST CORP COM USD0.001(POST REV SPLT) / CUSIP: 125525584 / Symbol: CMCT							
09/20/21	200.000	1,404.00	Various	1,394.00	...	10.00	Total of 2 transactions
CANOO INC COM CL A / CUSIP: 13803R102 / Symbol: GOEV							
12/21/21	100.000	838.03	02/03/21	1,801.00	...	-962.97	Sale ²⁶
CAPRICOR THERAPEUTICS INC COM / CUSIP: 14070B309 / Symbol: CAPR							
01/19/21	200.000	1,091.96	01/14/21	971.88	...	120.08	Sale ²⁶
CARMAX INC COM / CUSIP: 143130102 / Symbol: KMX							
08/04/21	20.000	2,770.19	08/03/21	2,796.40	...	-26.21	Sale ²⁶
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
02/02/21	110.000	30,345.29	Various	29,908.00	...	437.29	Total of 2 transactions

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CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA							
02/02/21	20.000	729.98	02/02/21	730.00	0.02 W	0.00	Sale ²⁶
02/22/21	50.000	2,984.93	02/22/21	3,141.00	93.64 W	-62.43	Sale ²⁶
06/02/21	100.000	6,124.46	Various	6,105.66	...	18.80	Sale ²⁶
	Security total:	9,839.37		9,976.66	93.66 W	-43.63	
CELLECTAR BIOSCIENCES INC COM / CUSIP: 15117F500 / Symbol: CLRB							
12/27/21	500.000	347.42	02/19/21	1,354.97	...	-1,007.55	Sale ²⁶
CENTURY COMMUNITIES COM / CUSIP: 156504300 / Symbol: CCS							
08/12/21	100.000	7,259.95	08/12/21	7,230.00	...	29.95	Sale ²⁶
CHARGEPOINT HOLDINGS INC COM CL A / CUSIP: 15961R105 / Symbol: CHPT							
06/15/21	100.000	2,949.97	06/14/21	2,953.99	...	-4.02	Sale ²⁶
CHEWY INC COM CL A / CUSIP: 16679L109 / Symbol: CHWY							
02/12/21	100.000	11,430.75	02/08/21	11,247.00	...	183.75	Sale ²⁶
CHURCHILL CAPITAL CORP IV 1:1 EXC 7/26/21 549498103 / CUSIP: 171439102 / Symbol:							
01/22/21	150.000	3,219.91	Various	2,790.45	...	429.46	Total of 2 transactions
02/01/21	50.000	1,263.57	01/27/21	1,080.44	...	183.13	Sale ²⁶
02/01/21	49.000	1,229.87	Various	1,180.09	17.11 W	66.89	Sale ²⁶
02/02/21	101.000	2,959.22	02/02/21	3,286.90	327.68 W	0.00	Sale ²⁶
02/05/21	50.000	1,691.95	02/02/21	1,630.70	...	61.25	Sale ²⁶
02/10/21	50.000	1,666.47	Various	1,585.23	...	81.24	Total of 2 transactions
02/16/21	50.000	2,628.94	02/16/21	2,567.12	...	61.82	Sale ²⁶
02/16/21	100.000	5,215.16	Various	5,300.00	84.84 W	0.00	Total of 2 transactions
02/17/21	150.000	8,578.79	Various	8,373.78	...	205.01	Total of 2 transactions
02/18/21	100.000	6,219.85	02/18/21	6,420.00	200.15 W	0.00	Sale ²⁶
	Security total:	34,673.73		34,214.71	629.78 W	1,088.80	
CINEDIGM CORPORATION COM / CUSIP: 172406209 / Symbol: CIDM							
02/04/21	800.000	1,520.75	01/27/21	1,118.96	...	401.79	Sale ²⁶
CINEMARK HOLDINGS INC COM / CUSIP: 17243V102 / Symbol: CNK							
12/21/21	100.000	1,728.27	11/08/21	2,194.00	...	-465.73	Sale ²⁶

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(continued)

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CLOVER HEALTH INVESTMENTS CORP COM CL A / CUSIP: 18914F103 / Symbol: CLOV							
02/04/21	50.000	619.49	02/04/21	621.49	2.00 W	0.00	Sale ²⁶
06/08/21	101.000	1,658.40	Various	1,351.49	...	306.91	Sale ²⁶
	Security total:	2,277.89		1,972.98	2.00 W	306.91	
CLOVIS ONCOLOGY INC COM / CUSIP: 189464100 / Symbol: CLVS							
12/23/21	200.000	627.12	02/05/21	1,914.00	...	-1,286.88	Sale ²⁶
CO DIAGNOSTICS INC COM / CUSIP: 189763105 / Symbol: CODX							
02/01/21	300.000	4,086.90	Various	3,960.00	...	126.90	Total of 3 transactions
COMSTOCK MINING INC COM / CUSIP: 205750300 / Symbol: LODE							
02/23/21	200.000	1,184.35	02/17/21	1,174.00	...	10.35	Sale ²⁶
CONTINENTAL RESOURCES INC COM / CUSIP: 212015101 / Symbol: CLR							
05/05/21	100.000	2,948.97	04/29/21	2,874.00	...	74.97	Sale ²⁶
CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR							
01/27/21	100.000	4,500.74	12/22/20	4,348.03	...	152.71	Sale ²⁶
CROWDSTRIKE HOLDINGS INC COM CL A / CUSIP: 22788C105 / Symbol: CRWD							
02/16/21	10.000	2,474.50	02/12/21	2,442.87	...	31.63	Sale ²⁶
02/18/21	10.000	2,472.95	02/16/21	2,470.00	...	2.95	Short sale closed ²⁶
	Security total:	4,947.45		4,912.87	...	34.58	
CYCLO THERAPEUTICS INC COM / CUSIP: 23254X201 / Symbol: CYTH							
01/27/21	100.000	655.98	01/27/21	673.99	18.01 W	0.00	Sale ²⁶
02/03/21	200.000	1,599.94	01/27/21	1,350.99	...	248.95	Sale ²⁶
02/04/21	60.000	839.37	01/21/21	389.22	...	450.15	Sale ²⁶
02/04/21	60.000	749.97	Various	713.80	10.01 W	46.18	Sale ²⁶
	Security total:	3,845.26		3,128.00	28.02 W	745.28	
DIDI GLOBAL INC ADR / CUSIP: 23292E108 / Symbol: DIDI							
07/01/21	99.000	1,576.07	Various	1,560.24	...	15.83	Total of 2 transactions
12/23/21	101.000	571.65	Various	1,115.76	...	-544.11	Sale ²⁶
	Security total:	2,147.72		2,676.00	...	-528.28	

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DBV TECHNOLOGIES ADR SPONSORED / CUSIP: 23306J101 / Symbol: DBVT							
01/15/21	500.000	2,699.89	01/15/21	2,737.90	...	-38.01	Sale ²⁶
DADA NEXUS LTD ADR / CUSIP: 23344D108 / Symbol: DADA							
01/19/21	100.000	4,682.89	01/12/21	4,572.94	...	109.95	Sale ²⁶
DENISON MINES CORPORATION COM / CUSIP: 248356107 / Symbol: DNN							
02/16/21	500.000	699.92	02/11/21	544.45	...	155.47	Sale ²⁶
09/10/21	500.000	744.99	09/07/21	745.00	...	-0.01	Sale ²⁶
	Security total:	1,444.91		1,289.45	...	155.46	
DESKTOP METAL INC COM CL A / CUSIP: 25058X105 / Symbol: DM							
02/02/21	50.000	1,226.97	01/29/21	1,177.45	...	49.52	Sale ²⁶
02/04/21	50.000	1,342.46	01/29/21	1,177.47	...	164.99	Sale ²⁶
	Security total:	2,569.43		2,354.92	...	214.51	
DIGITAL TURBINE INC COM / CUSIP: 25400W102 / Symbol: APPS							
09/27/21	50.000	3,699.97	09/23/21	3,680.00	...	19.97	Sale ²⁶
DOORDASH INC COM CL A / CUSIP: 25809K105 / Symbol: DASH							
05/28/21	25.000	3,849.98	05/28/21	3,830.50	...	19.48	Sale ²⁶
06/07/21	25.000	3,849.98	05/28/21	3,830.50	...	19.48	Sale ²⁶
06/10/21	50.000	7,049.95	06/08/21	6,850.00	...	199.95	Sale ²⁶
	Security total:	14,749.91		14,511.00	...	238.91	
DOUYU INTL HLDGS LTD ADR / CUSIP: 25985W105 / Symbol: DOYU							
08/31/21	500.000	2,109.93	08/31/21	2,050.00	...	59.93	Sale ²⁶
DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG							
01/11/21	49.000	2,626.33	Various	2,523.98	...	102.35	Sale ²⁶
01/12/21	100.000	5,494.87	01/12/21	5,275.00	...	219.87	Sale ²⁶
01/13/21	100.000	5,439.87	01/13/21	5,277.00	...	162.87	Sale ²⁶
01/15/21	50.000	2,688.43	01/15/21	2,638.50	...	49.93	Sale ²⁶
01/20/21	50.000	2,679.93	01/19/21	2,570.00	...	109.93	Sale ²⁶
01/26/21	100.000	5,499.87	Various	5,344.55	26.74 W	182.06	Sale ²⁶

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DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG (cont'd)							
02/01/21	30.000	1,645.46	01/22/21	1,598.67	...	46.79	Sale ²⁶
02/05/21	1.000	64.17	12/09/20	52.77	...	11.40	Sale ²⁶
	Security total:	26,138.93		25,280.47	26.74 W	885.20	
DYNAVAX TECHNOLOGIES CORP COM / CUSIP: 268158201 / Symbol: DVAX							
02/01/21	200.000	1,429.95	01/29/21	1,301.56	...	128.39	Sale ²⁶
EHANG HOLDINGS LIMITED ADR / CUSIP: 26853E102 / Symbol: EH							
02/16/21	100.000	5,699.86	02/16/21	5,496.75	...	203.11	Sale ²⁶
02/16/21	100.000	5,589.87	02/16/21	5,600.00	10.13 W	0.00	Sale ²⁶
02/17/21	900.000	66,875.44	Various	63,315.46	...	3,559.98	Total of 9 transactions
02/17/21	300.000	18,949.54	Various	22,630.00	3,680.46 W	0.00	Total of 4 transactions
12/21/21	87.000	1,342.60	07/15/21	2,697.00	...	-1,354.40	Sale ²⁶
	Security total:	98,457.31		99,739.21	3,690.59 W	2,408.69	
EDISON INTERNATIONAL COM / CUSIP: 281020107 / Symbol: EIX							
12/20/21	1.010	67.27	Various	59.50	...	7.77	Total of 2 transactions
ELECTRAMECCANICA VEHS CORP COM / CUSIP: 284849205 / Symbol: SOLO							
01/12/21	200.000	1,793.94	01/12/21	1,691.00	...	102.94	Sale ²⁶
01/25/21	200.000	1,723.96	01/21/21	1,628.00	...	95.96	Sale ²⁶
	Security total:	3,517.90		3,319.00	...	198.90	
ENPHASE ENERGY INC COM / CUSIP: 29355A107 / Symbol: ENPH							
01/14/21	20.000	4,245.14	01/14/21	4,212.60	...	32.54	Sale ²⁶
EQUINIX INC COM / CUSIP: 29444U700 / Symbol: EQIX							
01/29/21	5.000	3,668.18	Various	3,705.00	...	-36.82	Total of 2 transactions
EQUILLIUM INC COM / CUSIP: 29446K106 / Symbol: EQ							
02/08/21	50.000	473.49	02/08/21	449.50	...	23.99	Sale ²⁶
02/12/21	70.000	734.97	02/12/21	632.10	...	102.87	Sale ²⁶
02/23/21	130.000	1,078.96	Various	1,163.40	...	-84.44	Sale ²⁶
	Security total:	2,287.42		2,245.00	...	42.42	

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

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ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY							
01/19/21	30.000	6,462.25	Various	6,433.31	...	28.94	Total of 2 transactions
EXELA TECHNOLOGIES INC COM / CUSIP: 30162V409 / Symbol: XELA							
06/29/21	6,000.000	12,279.22	Various	12,160.00	...	119.22	Total of 3 transactions
08/03/21	1,000.000	3,129.86	08/03/21	3,239.10	109.24 W	0.00	Sale ²⁶
08/04/21	1,000.000	3,499.86	08/04/21	3,310.00	...	189.86	Sale ²⁶
	Security total:	18,908.94		18,709.10	109.24 W	309.08	
EXPRESS INC COM / CUSIP: 30219E103 / Symbol: EXPR							
01/27/21	100.000	759.97	01/27/21	650.00	...	109.97	Sale ²⁶
01/27/21	100.000	649.98	01/27/21	650.00	0.02 W	0.00	Sale ²⁶
06/02/21	100.000	659.99	01/29/21	655.02	...	4.97	Sale ²⁶
	Security total:	2,069.94		1,955.02	0.02 W	114.94	
FIGS INC COM CL A / CUSIP: 30260D103 / Symbol: FIGS							
09/02/21	100.000	4,699.97	09/02/21	4,488.00	...	211.97	Sale ²⁶
META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
01/28/21	15.000	4,084.41	01/27/21	4,080.00	...	4.41	Sale ²⁶
02/03/21	13.000	3,459.38	Various	3,484.00	...	-24.62	Total of 2 transactions
	Security total:	7,543.79		7,564.00	...	-20.21	
FALCON CAP ACQUISITION CORP 1:1 EXC 7/2/21 81948W104 / CUSIP: 30606L108 / Symbol: FCAC							
02/19/21	100.000	1,180.16	Various	1,178.00	...	2.16	Total of 4 transactions
FARFETCH LTD COM CL A / CUSIP: 30744W107 / Symbol: FTCH							
02/11/21	40.000	2,773.68	02/03/21	2,699.23	...	74.45	Sale ²⁶
FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY							
02/04/21	20.000	2,256.15	01/28/21	2,252.00	...	4.15	Sale ²⁶
FISKER INC COM CL A / CUSIP: 33813J106 / Symbol: FSR							
02/12/21	200.000	3,816.90	Various	3,464.50	...	352.40	Total of 2 transactions
FLOOR & DECOR HOLDINGS INC COM CL A / CUSIP: 339750101 / Symbol: FND							
07/21/21	20.000	2,250.59	07/21/21	2,206.00	...	44.59	Sale ²⁶

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(continued)

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FOLEY TRASIMENE ACQUISITION CO 1:1 EXC 3/31/21 G6964L107 / CUSIP: 344328109 / Symbol:							
02/19/21	50.000	866.58	01/26/21	853.95	...	12.63	Sale ²⁶
FORESIGHT AUTONOMOUS HLDGS LTD ADR SPONSORED / CUSIP: 345523104 / Symbol: FRSX							
01/19/21	100.000	1,010.97	01/19/21	975.00	...	35.97	Sale ²⁶
FORTUNA SILVER MINES INC COM / CUSIP: 349915108 / Symbol: FSM							
07/26/21	200.000	909.98	07/26/21	910.00	...	-0.02	Sale ²⁶
FUELCELL ENERGY INC COM / CUSIP: 35952H601 / Symbol: FCEL							
01/26/21	50.000	1,074.97	01/26/21	944.89	...	130.08	Sale ²⁶
08/09/21	100.000	704.49	08/09/21	681.99	...	22.50	Sale ²⁶
09/14/21	200.000	1,349.97	09/14/21	1,230.00	...	119.97	Sale ²⁶
	Security total:	3,129.43		2,856.88	...	272.55	
FUBOTV INC COM / CUSIP: 35953D104 / Symbol: FUBO							
01/12/21	200.000	6,379.84	Various	6,055.00	...	324.84	Total of 2 transactions
01/21/21	149.000	5,229.86	Various	5,024.72	...	205.14	Total of 2 transactions
01/27/21	50.000	2,672.43	01/26/21	2,300.00	...	372.43	Sale ²⁶
02/01/21	50.000	2,556.07	Various	2,260.00	...	296.07	Total of 2 transactions
12/21/21	101.000	1,664.97	Various	4,933.28	...	-3,268.31	Sale ²⁶
	Security total:	18,503.17		20,573.00	...	-2,069.83	
FUTU HOLDINGS LIMITED ADR / CUSIP: 36118L106 / Symbol: FUTU							
04/14/21	20.000	3,079.98	04/14/21	2,986.00	...	93.98	Sale ²⁶
07/28/21	20.000	2,200.43	07/28/21	2,170.00	...	30.43	Sale ²⁶
	Security total:	5,280.41		5,156.00	...	124.41	
G1 THERAPEUTICS IN COM / CUSIP: 3621LQ109 / Symbol: GTHX							
02/08/21	50.000	1,509.46	02/05/21	1,444.50	...	64.96	Sale ²⁶
GALIANO GOLD INC COM / CUSIP: 36352H100 / Symbol: GAU							
02/10/21	500.000	694.92	02/09/21	687.50	...	7.42	Sale ²⁶

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GAMESTOP CORPORATION COM CL A / CUSIP: 36467W109 / Symbol: GME							
01/28/21	1.000	267.99	01/28/21	218.00	...	49.99	Sale ²⁶
02/24/21	10.000	1,629.99	02/24/21	1,630.00	...	-0.01	Sale ²⁶
03/09/21	1.000	248.02	02/24/21	163.00	...	85.02	Sale ²⁶
	Security total:	2,146.00		2,011.00	...	135.00	
GEOVAX LABS INC COM / CUSIP: 373678507 / Symbol: GOVX							
02/02/21	100.000	515.55	02/01/21	523.99	...	-8.44	Sale ²⁶
GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
09/09/21	100.000	787.99	09/09/21	770.00	...	17.99	Sale ²⁶
GLOBALSTAR INC COM / CUSIP: 378973408 / Symbol: GSAT							
02/09/21	500.000	942.43	Various	545.00	...	397.43	Total of 2 transactions
08/30/21	750.000	1,504.90	Various	1,590.00	...	-85.10	Total of 2 transactions
	Security total:	2,447.33		2,135.00	...	312.33	
GOHEALTH INC COM CL A / CUSIP: 38046W105 / Symbol: GOCO							
02/25/21	100.000	1,502.98	01/11/21	1,522.78	...	-19.80	Sale ²⁶
GOLD STANDARD VENTURES CORP COM / CUSIP: 380738104 / Symbol: GSV							
02/10/21	1,000.000	889.86	01/29/21	678.60	...	211.26	Sale ²⁶
GREEN DOT CORP COM CL A / CUSIP: 39304D102 / Symbol: GDOT							
10/04/21	22.000	1,129.92	Various	1,338.92	...	-209.00	Total of 3 transactions
HQ GLOBAL EDUCATION INC COM / CUSIP: 40431B100 / Symbol: HQGE							
12/27/21	25,000.000	12.57	Various	895.85	...	-883.28	Sale ²⁶
HEPION PHARMACEUTICALS INC COM / CUSIP: 426897104 / Symbol: HEPA							
02/19/21	500.000	1,159.91	02/19/21	1,160.00	...	-0.09	Sale ²⁶
HEXO CORP COM / CUSIP: 428304307 / Symbol: HEXO							
01/14/21	300.000	2,129.91	01/14/21	2,085.00	...	44.91	Sale ²⁶
HISTOGEN INC COM / CUSIP: 43358Y103 / Symbol: HSTO							
02/12/21	1,000.000	1,820.04	02/10/21	1,800.00	...	20.04	Sale ²⁶

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HOME DEPOT INC COM / CUSIP: 437076102 / Symbol: HD							
12/28/21	50.000	20,299.39	12/28/21	20,200.00	...	99.39	Sale ²⁶
HOUSTON AMER ENERG COM / CUSIP: 44183U209 / Symbol: HUSA							
02/09/21	720.000	2,059.06	02/09/21	1,951.06	...	108.00	Sale ²⁶
HUDSON TECHNOLOGIES INC COM / CUSIP: 444144109 / Symbol: HDSN							
04/07/21	900.000	1,732.38	01/27/21	1,619.46	...	112.92	Sale ²⁶
HUMANIGEN INC COM / CUSIP: 444863203 / Symbol: HGEN							
01/19/21	99.000	2,029.45	Various	1,827.69	...	201.76	Sale ²⁶
01/22/21	50.000	1,092.47	01/20/21	940.00	...	152.47	Sale ²⁶
02/01/21	51.000	985.30	Various	958.80	...	26.50	Sale ²⁶
	Security total:	4,107.22		3,726.49	...	380.73	
HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
02/11/21	100.000	2,134.94	02/05/21	1,584.00	...	550.94	Sale ²⁶
IMV INC COM / CUSIP: 44974L103 / Symbol: IMV							
02/04/21	500.000	2,139.94	11/11/20	1,995.00	...	144.94	Sale ²⁶
IDEAL POWER INC COM / CUSIP: 451622203 / Symbol: IPWR							
02/17/21	100.000	1,990.95	02/17/21	1,870.99	...	119.96	Sale ²⁶
INDIE SEMICONDUCTOR INC COM / CUSIP: 45569U101 / Symbol: INDI							
11/01/21	50.000	681.05	01/14/21	677.10	...	3.95	Sale ²⁶
INOVIO PHARMACEUTICALS INC COM / CUSIP: 45773H201 / Symbol: INO							
02/01/21	50.000	825.97	01/27/21	650.00	...	175.97	Sale ²⁶
INSPIREMD INC 1:15 R/S 4/27/21 45779A846 / CUSIP: 45779A853 / Symbol:							
02/05/21	1,000.000	819.87	02/03/21	719.00	...	100.87	Sale ²⁶
INTEL CORP COM / CUSIP: 458140100 / Symbol: INTC							
01/14/21	30.000	1,771.51	01/14/21	1,755.00	...	16.51	Sale ²⁶
10/27/21	100.000	4,822.47	10/26/21	4,847.00	...	-24.53	Sale ²⁶
	Security total:	6,593.98		6,602.00	...	-8.02	

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INTELLIA THERAPEUTICS INC COM / CUSIP: 45826J105 / Symbol: NTLA							
04/23/21	30.000	2,364.59	04/15/21	2,350.20	...	14.39	Sale ²⁶
INTERPRIVATE ACQUISITION CORP 1:1 EXC 3/15/21 00835Q103 / CUSIP: 46064A103 / Symbol: IPV							
02/08/21	100.000	2,019.95	02/02/21	1,569.99	...	449.96	Sale ²⁶
ION GEOPHYSICAL CORPORATION COM / CUSIP: 462044207 / Symbol: IO							
09/13/21	1,000.000	1,609.87	09/13/21	1,550.00	...	59.87	Sale ²⁶
IOVANCE BIOTHERAPEUTICS INC COM / CUSIP: 462260100 / Symbol: IOVA							
07/02/21	100.000	2,668.98	06/29/21	2,671.95	...	-2.97	Sale ²⁶
JAGUAR HEALTH INC COM / CUSIP: 47010C607 / Symbol: JAGX							
09/09/21	0.333	0.87	01/22/21	3.27	...	-2.40	Sale ²⁶
JAMF HOLDING CORP COM / CUSIP: 47074L105 / Symbol: JAMF							
01/27/21	100.000	3,687.91	01/26/21	3,597.00	...	90.91	Sale ²⁶
02/02/21	100.000	3,899.91	02/01/21	3,834.00	...	65.91	Sale ²⁶
	Security total:	7,587.82		7,431.00	...	156.82	
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
01/29/21	100.000	5,999.86	01/29/21	5,691.00	...	308.86	Sale ²⁶
02/03/21	50.000	3,255.01	02/03/21	3,211.84	...	43.17	Sale ²⁶
12/21/21	50.000	616.99	02/17/21	2,969.50	...	-2,352.51	Sale ²⁶
	Security total:	9,871.86		11,872.34	...	-2,000.48	
KE HOLDINGS INC ADR / CUSIP: 482497104 / Symbol: BEKE							
01/21/21	30.000	2,279.95	01/21/21	2,095.50	...	184.45	Sale ²⁶
KALVISTA PHARMACEUTICALS INC COM / CUSIP: 483497103 / Symbol: KALV							
02/09/21	150.000	5,599.86	Various	5,595.00	...	4.86	Total of 2 transactions
02/11/21	100.000	3,730.92	02/09/21	3,730.00	...	0.92	Short sale closed ²⁶
	Security total:	9,330.78		9,325.00	...	5.78	
KOSMOS ENERGY LTD (NA) COM / CUSIP: 500688106 / Symbol: KOS							
01/05/21	500.000	1,359.91	01/05/21	1,350.00	...	9.91	Sale ²⁶

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KOSS CORP COM / CUSIP: 500692108 / Symbol: KOSS							
02/24/21	25.000	762.50	02/08/21	472.63	...	289.87	Sale ²⁶
03/10/21	25.000	775.00	02/08/21	472.62	...	302.38	Sale ²⁶
	Security total:	1,537.50		945.25	...	592.25	
LABOR SMART INC COM / CUSIP: 50541A108 / Symbol: LTNC							
02/10/21	50,000.000	372.09	02/10/21	301.95	...	70.14	Sale ²⁶
02/22/21	50,000.000	1,387.07	Various	857.18	...	529.89	Sale ²⁶
02/22/21	90,000.000	2,363.05	02/22/21	2,463.26	100.21 W	0.00	Sale ²⁶
02/26/21	49,999.000	1,752.30	Various	1,230.74	16.63 W	538.19	Total of 2 transactions
03/05/21	25,000.000	690.07	03/02/21	554.45	...	135.62	Sale ²⁶
03/22/21	50,000.000	1,387.09	Various	909.90	...	477.19	Sale ²⁶
06/10/21	1.000	-6.92	02/12/21	0.01	...	-6.93	Zero proceeds / negative sale ²⁶
	Security total:	7,944.75		6,317.49	116.84 W	1,744.10	
LAREDO PETROLEUM INC COM / CUSIP: 516806205 / Symbol: LPI							
01/07/21	99.000	2,409.60	Various	2,374.02	...	35.58	Total of 2 transactions
02/17/21	1.000	37.95	01/06/21	23.98	...	13.97	Sale ²⁶
	Security total:	2,447.55		2,398.00	...	49.55	
LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND							
01/25/21	20.000	3,399.92	01/14/21	3,200.00	...	199.92	Sale ²⁶
02/08/21	20.000	2,959.93	02/05/21	2,880.00	...	79.93	Sale ²⁶
	Security total:	6,359.85		6,080.00	...	279.85	
LIGHTWAVE LOGIC INC COM / CUSIP: 532275104 / Symbol: LWLG							
09/21/21	100.000	1,331.98	09/21/21	1,319.00	...	12.98	Sale ²⁶
LION GROUP HOLDING LTD ADR / CUSIP: 53620U102 / Symbol: LGHL							
01/29/21	100.000	499.98	01/29/21	426.00	...	73.98	Sale ²⁶
LIQUIDIA CORPORATION COM / CUSIP: 53635D202 / Symbol: LQDA							
02/17/21	500.000	1,745.60	02/09/21	1,794.30	...	-48.70	Sale ²⁶
LONGVIEW ACQUISITION CORP 1:1 EXC 2/16/21 124155102 / CUSIP: 543195101 / Symbol: LGVW							
02/01/21	100.000	1,874.95	01/28/21	1,771.00	...	103.95	Sale ²⁶

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCIRA HEALTH INC COM / CUSIP: 54948U105 / Symbol: LHDX							
07/26/21	100.000	887.99	07/26/21	870.00	...	17.99	Sale ²⁶
07/27/21	100.000	831.09	07/26/21	870.00	...	-38.91	Sale ²⁶
	Security total:	1,719.08		1,740.00	...	-20.92	
LL FLOORING HOLDINGS INC COM / CUSIP: 55003T107 / Symbol: LL							
01/21/21	100.000	3,487.83	01/21/21	3,320.00	...	167.83	Sale ²⁶
LUMINAR TECHNOLOGIES INC COM CL A / CUSIP: 550424105 / Symbol: LAZR							
01/25/21	100.000	3,756.91	Various	3,661.00	226.55 W	322.46	Sale ²⁶
02/11/21	100.000	3,629.91	01/29/21	3,576.55	...	53.36	Sale ²⁶
	Security total:	7,386.82		7,237.55	226.55 W	375.82	
MICT INC COM / CUSIP: 55328R109 / Symbol: MICT							
02/22/21	200.000	535.96	02/04/21	532.00	...	3.96	Sale ²⁶
MP MATERIALS CORP COM CL A / CUSIP: 553368101 / Symbol: MP							
02/10/21	150.000	5,798.12	Various	5,515.80	...	282.32	Total of 2 transactions
MANNKIND CORPORATION COM / CUSIP: 56400P706 / Symbol: MNKD							
04/27/21	200.000	968.56	04/26/21	948.00	...	20.56	Sale ²⁶
MARVELL TECHNOLOGY INC COM / CUSIP: 573874104 / Symbol: MRVL							
11/05/21	100.000	7,189.95	11/02/21	7,088.99	...	100.96	Sale ²⁶
MATTERPORT INC COM CL A / CUSIP: 577096100 / Symbol: MTTR							
09/23/21	100.000	2,281.96	Various	2,266.00	...	15.96	Total of 2 transactions
MEREIO BIOPHARMA GROUP PLC ADR / CUSIP: 589492107 / Symbol: MREO							
02/19/21	300.000	1,310.93	02/16/21	1,098.00	...	212.93	Sale ²⁶
MESOBLAST LTD ADR SPONSORED / CUSIP: 590717104 / Symbol: MESO							
02/19/21	50.000	516.73	02/10/21	525.00	...	-8.27	Sale ²⁶
METACRINE INC COM / CUSIP: 59101E103 / Symbol: MTCR							
10/06/21	500.000	1,722.63	09/27/21	1,960.00	...	-237.37	Sale ²⁶

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META MATERIALS INC COM / CUSIP: 59134N104 / Symbol: MMAT							
08/30/21	100.000	531.49	08/27/21	430.00	...	101.49	Sale ²⁶
09/29/21	100.000	625.00	09/29/21	644.00	...	-19.00	Sale ²⁶
	Security total:	1,156.49		1,074.00	...	82.49	
MICROVISION INC WASHINGTON COM / CUSIP: 594960304 / Symbol: MVIS							
02/03/21	200.000	1,841.94	02/03/21	1,769.92	...	72.02	Sale ²⁶
MICRON TECHNOLOGY INC COM / CUSIP: 595112103 / Symbol: MU							
08/04/21	20.000	1,632.99	08/04/21	1,628.60	...	4.39	Sale ²⁶
12/23/21	100.000	9,289.94	12/23/21	9,229.50	...	60.44	Sale ²⁶
	Security total:	10,922.93		10,858.10	...	64.83	
MICROVAST HOLDINGS INC COM / CUSIP: 59516C106 / Symbol: MVST							
08/10/21	100.000	1,524.98	07/06/21	1,490.00	...	34.98	Sale ²⁶
MIDATECH PHARMA PLC ADR SPONSORED / CUSIP: 59564R302 / Symbol: MTP							
02/04/21	100.000	325.99	02/04/21	320.00	...	5.99	Sale ²⁶
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
01/25/21	20.000	2,903.25	Various	2,828.30	...	74.95	Total of 2 transactions
05/26/21	20.000	3,479.98	05/26/21	3,452.53	...	27.45	Sale ²⁶
07/28/21	20.000	6,973.71	Various	6,935.00	...	38.71	Total of 2 transactions
	Security total:	13,356.94		13,215.83	...	141.11	
MOLECULAR DATA INC ADR / CUSIP: 60852L106 / Symbol: MKD							
02/17/21	500.000	1,015.62	02/16/21	979.95	...	35.67	Sale ²⁶
MONEYGRAM INTERNATIONAL INC COM / CUSIP: 60935Y208 / Symbol: MGI							
02/10/21	150.000	1,526.95	02/01/21	1,222.50	...	304.45	Sale ²⁶
MONGODB INC COM CL A / CUSIP: 60937P106 / Symbol: MDB							
06/09/21	20.000	6,602.47	06/09/21	6,518.00	...	84.47	Sale ²⁶
MURPHY OIL CORP COM / CUSIP: 626717102 / Symbol: MUR							
02/17/21	100.000	1,507.18	02/16/21	1,498.96	...	8.22	Sale ²⁶

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NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
02/09/21	50.000	3,043.50	02/09/21	3,089.00	45.50 W	0.00	Sale ²⁶
02/10/21	50.000	3,214.92	01/26/21	3,052.00	...	162.92	Sale ²⁶
	Security total:	6,258.42		6,141.00	45.50 W	162.92	
NATIONAL BEVERAGE CORP COM / CUSIP: 635017106 / Symbol: FIZZ							
09/13/21	100.000	5,534.96	09/13/21	5,434.08	...	100.88	Sale ²⁶
NAUTILUS BIOTECHNOLOGY INC COM / CUSIP: 63909J108 / Symbol: NAUT							
08/16/21	100.000	767.99	08/05/21	876.00	...	-108.01	Sale ²⁶
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE							
08/03/21	100.000	7,932.91	Various	7,928.50	...	4.41	Total of 3 transactions
NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA							
01/27/21	50.000	1,463.21	11/30/20	1,500.00	...	-36.79	Sale ²⁶
NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK							
01/28/21	100.000	517.99	01/27/21	511.99	...	6.00	Sale ²⁶
03/05/21	350.000	1,326.49	Various	1,663.99	...	-337.50	Sale ²⁶
	Security total:	1,844.48		2,175.98	...	-331.50	
NOVAVAX INC COM / CUSIP: 670002401 / Symbol: NVAX							
01/28/21	30.000	4,949.90	Various	4,356.32	...	593.58	Total of 2 transactions
04/27/21	30.000	6,930.99	Various	6,842.64	...	88.35	Total of 2 transactions
08/05/21	40.000	9,359.96	Various	9,089.00	...	270.96	Total of 2 transactions
	Security total:	21,240.85		20,287.96	...	952.89	
NUCANA PLC ADR / CUSIP: 67022C106 / Symbol: NCNA							
08/24/21	500.000	1,094.93	08/23/21	1,095.00	...	-0.07	Sale ²⁶
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
01/04/21	4.000	2,104.12	Various	2,193.58	...	-89.46	Total of 2 transactions
01/11/21	5.000	2,759.94	12/07/20	2,741.98	...	17.96	Sale ²⁶
08/04/21	20.000	4,013.67	08/03/21	3,998.20	...	15.47	Sale ²⁶
	Security total:	8,877.73		8,933.76	...	-56.03	

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NUVALENT INC COM / CUSIP: 670703107 / Symbol: NUVL							
08/25/21	100.000	3,109.97	08/25/21	2,944.40	...	165.57	Sale ²⁶
NURIX THERAPEUTICS INC COM / CUSIP: 67080M103 / Symbol: NRIX							
08/04/21	200.000	6,818.42	Various	6,756.00	...	62.42	Total of 3 transactions
OCEAN POWER TECHNOLOGIES INC COM / CUSIP: 674870506 / Symbol: OPTT							
01/21/21	300.000	1,199.93	01/21/21	1,125.00	...	74.93	Sale ²⁶
OKTA INC COM CL A / CUSIP: 679295105 / Symbol: OKTA							
02/02/21	30.000	8,255.97	02/02/21	8,176.06	...	79.91	Sale ²⁶
ONCONOVA THERAPEUTICS INC COM / CUSIP: 68232V801 / Symbol: ONTX							
05/21/21	33.000	316.47	02/18/21	881.10	...	-564.63	Sale ²⁶
05/24/21	0.333	3.03	02/18/21	8.90	...	-5.87	Sale ²⁶
	Security total:	319.50		890.00	...	-570.50	
ONCTERNAL THERAPEUTICS INC COM / CUSIP: 68236P107 / Symbol: ONCT							
02/02/21	300.000	2,040.57	02/02/21	2,006.94	...	33.63	Sale ²⁶
ONTRAK INC COM / CUSIP: 683373104 / Symbol: OTRK							
03/09/21	100.000	2,864.32	03/08/21	2,675.00	...	189.32	Sale ²⁶
OPENDOOR TECHNOLOGIES INC COM / CUSIP: 683712103 / Symbol: OPEN							
01/20/21	200.000	5,935.85	Various	5,735.50	11.29 W	211.64	Sale ²⁶
02/11/21	50.000	1,901.95	02/11/21	1,842.90	...	59.05	Sale ²⁶
10/14/21	50.000	1,182.23	03/23/21	1,265.00	...	-82.77	Sale ²⁶
	Security total:	9,020.03		8,843.40	11.29 W	187.92	
OPGEN INC COM / CUSIP: 68373L307 / Symbol: OPGN							
01/21/21	250.000	568.47	Various	569.95	...	-1.48	Total of 2 transactions
OPKO HEALTH INC COM / CUSIP: 68375N103 / Symbol: OPK							
06/29/21	500.000	2,026.47	Various	2,009.20	...	17.27	Total of 2 transactions
ORCHARD THERAPEUTICS PLC ADR UNSPONSORED / CUSIP: 68570P101 / Symbol: ORTX							
02/12/21	200.000	1,759.94	02/12/21	1,787.88	...	-27.94	Sale ²⁶

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OSCAR HEALTH INC COM CL A / CUSIP: 687793109 / Symbol: OSCR							
09/20/21	100.000	1,793.98	09/17/21	1,783.90	...	10.08	Sale ²⁶
OVERSTOCK COM INC DEL COM / CUSIP: 690370101 / Symbol: OSTK							
01/26/21	30.000	2,610.68	Various	2,520.00	...	90.68	Total of 2 transactions
02/05/21	50.000	4,680.89	02/04/21	4,422.39	...	258.50	Sale ²⁶
06/25/21	100.000	9,610.94	06/08/21	9,300.00	...	310.94	Sale ²⁶
	Security total:	16,902.51		16,242.39	...	660.12	
OVID THERAPEUTICS INC COM / CUSIP: 690469101 / Symbol: OVID							
01/26/21	500.000	1,466.00	Various	1,344.79	...	121.21	Total of 2 transactions
02/03/21	300.000	948.00	02/02/21	885.00	...	63.00	Sale ²⁶
	Security total:	2,414.00		2,229.79	...	184.21	
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
01/22/21	50.000	1,499.96	12/08/20	1,402.50	...	97.46	Sale ²⁶
01/27/21	50.000	2,224.94	01/27/21	2,165.62	...	59.32	Sale ²⁶
02/19/21	100.000	2,944.92	02/17/21	2,844.00	...	100.92	Sale ²⁶
	Security total:	6,669.82		6,412.12	...	257.70	
PALISADE BIO INC COM / CUSIP: 696389105 / Symbol: PALI							
09/07/21	500.000	1,435.58	08/31/21	1,414.95	...	20.63	Sale ²⁶
PAPA JOHNS INTERNATIONAL INC COM / CUSIP: 698813102 / Symbol: PZZA							
01/22/21	30.000	2,927.94	01/20/21	2,928.00	...	-0.06	Sale ²⁶
PAYPAL HOLDINGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL							
01/28/21	30.000	7,080.44	Various	6,867.00	...	213.44	Total of 2 transactions
PELTON INTERACTIVE INC COM CL A / CUSIP: 70614W100 / Symbol: PTON							
01/13/21	30.000	4,932.21	01/13/21	4,800.00	...	132.21	Sale ²⁶
01/22/21	30.000	4,792.84	01/22/21	4,759.54	...	33.30	Sale ²⁶
06/24/21	20.000	2,461.99	04/15/21	2,400.00	...	61.99	Sale ²⁶
	Security total:	12,187.04		11,959.54	...	227.50	

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PENN NATIONAL GAMING INC COM / CUSIP: 707569109 / Symbol: PENN							
01/28/21	30.000	3,119.93	01/26/21	3,016.80	...	103.13	Sale ²⁶
02/19/21	20.000	2,425.95	02/08/21	2,399.00	...	26.95	Sale ²⁶
	Security total:	5,545.88		5,415.80	...	130.08	
PERSHING SQUARE TONTINE HLDGS COM CL A / CUSIP: 71531R109 / Symbol: PSTH							
02/08/21	100.000	3,209.92	02/02/21	2,968.66	...	241.26	Sale ²⁶
PETCO HEALTH & WELLNESS CO INC COM / CUSIP: 71601V105 / Symbol: WOOF							
05/11/21	50.000	1,183.99	01/15/21	1,400.00	...	-216.01	Sale ²⁶
PFIZER INC COM / CUSIP: 717081103 / Symbol: PFE							
02/23/21	50.000	1,695.56	12/10/20	2,140.00	10.12 W	-434.32	Sale ²⁶
05/10/21	51.139	2,040.44	Various	2,189.12	...	-148.68	Total of 2 transactions
	Security total:	3,736.00		4,329.12	10.12 W	-583.00	
PIEDMONT LITHIUM LTD 1:1 EXC 5/18/21 72016P105 / CUSIP: 720162106 / Symbol:							
01/06/21	100.000	3,326.40	Various	3,230.00	...	96.40	Total of 2 transactions
01/13/21	100.000	3,619.91	01/08/21	3,500.00	...	119.91	Sale ²⁶
	Security total:	6,946.31		6,730.00	...	216.31	
PINDUODUO INC ADR / CUSIP: 722304102 / Symbol: PDD							
01/20/21	20.000	3,583.52	01/19/21	3,438.00	...	145.52	Sale ²⁶
PING IDENTITY HOLDING CORP COM / CUSIP: 72341T103 / Symbol: PING							
01/21/21	100.000	3,174.92	01/21/21	3,200.00	...	-25.08	Sale ²⁶
PLANET FITNESS INC COM CL A / CUSIP: 72703H101 / Symbol: PLNT							
01/13/21	50.000	4,164.77	01/11/21	4,168.26	...	-3.49	Sale ²⁶
PULTE GROUP INC COM / CUSIP: 745867101 / Symbol: PHM							
01/21/21	100.000	4,764.88	01/21/21	4,730.00	...	34.88	Sale ²⁶
PURPLE INNOVATION INC COM / CUSIP: 74640Y106 / Symbol: PRPL							
01/25/21	100.000	4,040.09	01/25/21	3,885.50	...	154.59	Sale ²⁶
PUXIN LIMITED 1:10 R/S 1/31/22 74704P405 / CUSIP: 74704P108 / Symbol:							
02/19/21	200.000	1,800.01	Various	1,819.88	...	-19.87	Total of 2 transactions

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QUALCOMM INC COM / CUSIP: 747525103 / Symbol: QCOM							
07/14/21	30.000	4,348.78	07/14/21	4,374.00	...	-25.22	Sale ²⁶
QUANTUMSCAPE CORP COM CL A / CUSIP: 74767V109 / Symbol: QS							
02/22/21	120.000	8,363.81	Various	6,714.95	...	1,648.86	Sale ²⁶
12/21/21	25.000	575.75	Various	1,851.86	...	-1,276.11	Sale ²⁶
12/27/21	25.000	602.00	12/30/20	2,609.79	...	-2,007.79	Sale ²⁶
	Security total:	9,541.56		11,176.60	...	-1,635.04	
QUDIAN INC ADR / CUSIP: 747798106 / Symbol: QD							
01/20/21	1,000.000	2,049.93	01/14/21	1,900.00	...	149.93	Sale ²⁶
QUTOUTIAO INC 1:10 R/S 12/10/21 74915J206 / CUSIP: 74915J107 / Symbol:							
01/21/21	100.000	417.98	11/27/20	314.50	...	103.48	Sale ²⁶
RLX TECHNOLOGY INC ADR / CUSIP: 74969N103 / Symbol: RLX							
09/07/21	200.000	1,289.99	Various	1,253.52	...	36.47	Total of 2 transactions
RADIUS HEALTH INC COM / CUSIP: 750469207 / Symbol: RDUS							
09/27/21	100.000	1,281.45	09/24/21	1,267.69	...	13.76	Sale ²⁶
RAYTHEON TECH CORP COM / CUSIP: 75513E101 / Symbol: RTX							
02/04/21	30.000	2,163.02	01/14/21	2,155.65	...	7.37	Sale ²⁶
REEDS INC COM / CUSIP: 758338107 / Symbol: REED							
02/10/21	500.000	584.93	02/03/21	519.30	...	65.63	Sale ²⁶
REMARK HOLDINGS INC COM / CUSIP: 75955K102 / Symbol: MARK							
01/11/21	800.000	1,735.87	Various	1,712.40	...	23.47	Sale ²⁶
RELIQ HEALTH TECHNOLOGIES INC COM / CUSIP: 75955T103 / Symbol: RQHTF							
02/25/21	1,000.000	728.68	02/03/21	531.75	...	196.93	Sale ²⁶
REVOLVE GROUP INC COM CL A / CUSIP: 76156B107 / Symbol: RVLV							
09/22/21	100.000	7,092.94	Various	6,989.00	...	103.94	Total of 2 transactions
SCWORX CORP COM / CUSIP: 78396V109 / Symbol: WORX							
02/16/21	500.000	1,475.61	02/16/21	1,343.90	...	131.71	Sale ²⁶

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(continued)

02/17/2022

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SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
01/29/21	20.000	4,492.30	01/20/21	4,455.28	...	37.02	Sale ²⁶
02/01/21	25.000	5,711.62	11/25/20	6,175.00	...	-463.38	Sale ²⁶
	Security total:	10,203.92		10,630.28	...	-426.36	
SATSUMA PHARMACEUTICALS INC COM / CUSIP: 80405P107 / Symbol: STSA							
02/08/21	500.000	3,349.87	02/04/21	3,245.00	...	104.87	Sale ²⁶
SELECTQUOTE INC COM / CUSIP: 816307300 / Symbol: SLQT							
09/03/21	39.000	457.08	08/31/21	369.33	...	87.75	Sale ²⁶
SELLAS LIFE SCIENCES GROUP INC COM / CUSIP: 81642T209 / Symbol: SLS							
01/07/21	200.000	1,333.95	01/07/21	1,282.00	...	51.95	Sale ²⁶
03/11/21	100.000	970.00	03/09/21	886.00	...	84.00	Sale ²⁶
	Security total:	2,303.95		2,168.00	...	135.95	
SENSEONICS HOLDINGS INC COM / CUSIP: 81727U105 / Symbol: SENS							
01/19/21	500.000	1,594.90	01/19/21	1,425.00	...	169.90	Sale ²⁶
02/08/21	100.000	374.98	02/05/21	310.00	...	64.98	Sale ²⁶
	Security total:	1,969.88		1,735.00	...	234.88	
SERVICENOW INC COM / CUSIP: 81762P102 / Symbol: NOW							
06/11/21	20.000	9,943.95	06/11/21	9,897.30	...	46.65	Sale ²⁶
SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
06/17/21	1.000	1,437.46	06/17/21	1,377.70	...	59.76	Sale ²⁶
12/30/21	5.000	7,121.71	12/30/21	6,980.00	...	141.71	Sale ²⁶
	Security total:	8,559.17		8,357.70	...	201.47	
SIMON PROPERTY GROUP INC COM USD0.0001 / CUSIP: 828806109 / Symbol: SPG							
01/22/21	50.000	4,774.88	01/21/21	4,762.50	...	12.38	Sale ²⁶
SINTX TECHNOLOGIES INC COM / CUSIP: 829392307 / Symbol: SINT							
02/09/21	1,000.000	2,599.82	02/09/21	2,375.00	...	224.82	Sale ²⁶
SKILLZ INC COM / CUSIP: 83067L109 / Symbol: SKLZ							
01/05/21	100.000	2,049.94	12/30/20	1,950.00	...	99.94	Sale ²⁶

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SNAP INC COM CL A / CUSIP: 83304A106 / Symbol: SNAP							
10/26/21	49.000	2,727.32	10/25/21	2,695.00	...	32.32	Sale ²⁶
SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
08/03/21	10.000	2,835.09	08/03/21	2,841.10	...	-6.01	Sale ²⁶
SOS LIMITED ADR / CUSIP: 83587W106 / Symbol: SOS							
01/14/21	500.000	1,309.91	01/12/21	1,200.00	...	109.91	Sale ²⁶
SPHERE 3D CORP NEW COM / CUSIP: 84841L308 / Symbol: ANY							
01/25/21	500.000	1,224.91	01/15/21	1,093.65	...	131.26	Sale ²⁶
SPROUT SOCIAL INC COM CL A / CUSIP: 85209W109 / Symbol: SPT							
04/27/21	30.000	2,077.49	04/27/21	2,040.00	...	37.49	Sale ²⁶
BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
01/28/21	10.000	2,196.95	01/26/21	2,143.00	...	53.95	Sale ²⁶
02/01/21	10.000	2,158.55	01/26/21	2,143.00	...	15.55	Sale ²⁶
	Security total:	4,355.50		4,286.00	...	69.50	
STAR PEAK ENERGY TRANSITION CO 1:1 EXC 4/29/21 85859N102 / CUSIP: 855185104 / Symbol:							
01/12/21	99.000	3,346.10	Various	2,993.75	...	352.35	Total of 2 transactions
02/08/21	76.000	2,735.93	Various	2,478.27	...	257.66	Sale ²⁶
	Security total:	6,082.03		5,472.02	...	610.01	
STEEL DYNAMICS INC COM / CUSIP: 858119100 / Symbol: STLD							
08/10/21	100.000	6,848.96	08/10/21	6,709.00	...	139.96	Sale ²⁶
SUNDIAL GROWERS INC COM / CUSIP: 86730L109 / Symbol: SNDL							
01/28/21	1,500.000	1,408.39	Various	1,349.95	...	58.44	Total of 2 transactions
SUNWORKS INC COM / CUSIP: 86803X204 / Symbol: SUNW							
01/07/21	100.000	779.98	01/07/21	755.00	...	24.98	Sale ²⁶
SUPERIOR INDS INTL COM / CUSIP: 868168105 / Symbol: SUP							
02/12/21	300.000	2,078.94	02/12/21	1,943.67	...	135.27	Sale ²⁶

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TPCO HOLDING CORP COM / CUSIP: 87270T106 / Symbol: GRAMF							
02/03/21	100.000	1,075.02	02/03/21	1,065.95	...	9.07	Sale ²⁶
TAL EDUCATION GROUP ADR / CUSIP: 874080104 / Symbol: TAL							
07/27/21	100.000	463.49	07/27/21	503.00	...	-39.51	Sale ²⁶
TALOS ENERGY INC COM / CUSIP: 87484T108 / Symbol: TALO							
01/12/21	100.000	1,056.97	12/08/20	1,053.50	...	3.47	Sale ²⁶
TECK RESOURCES LIMITED COM CL B / CUSIP: 878742204 / Symbol: TECK							
09/03/21	50.000	1,223.73	09/03/21	1,213.00	...	10.73	Sale ²⁶
TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC							
01/07/21	25.000	5,449.88	12/23/20	5,318.37	...	131.51	Sale ²⁶
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
01/29/21	5.000	4,080.96	01/29/21	4,150.05	...	-69.09	Sale ²⁶
08/06/21	2.000	1,400.49	04/14/21	1,525.10	...	-124.61	Sale ²⁶
08/11/21	1.000	707.42	04/14/21	762.54	...	-55.12	Sale ²⁶
08/30/21	1.000	722.00	04/14/21	762.55	...	-40.55	Sale ²⁶
08/31/21	1.000	729.67	04/14/21	762.55	...	-32.88	Sale ²⁶
09/08/21	2.000	1,493.19	Various	1,525.09	...	-31.90	Total of 2 transactions
09/09/21	13.000	9,836.75	Various	9,913.12	...	-76.37	Total of 2 transactions
	Security total:	18,970.48		19,401.00	...	-430.52	
TETRA TECHNOLOGIES COM / CUSIP: 88162F105 / Symbol: TTI							
04/29/21	200.000	549.98	04/29/21	577.64	...	-27.66	Sale ²⁶
TG THERAPEUTICS INC COM / CUSIP: 88322Q108 / Symbol: TGTX							
12/21/21	100.000	1,786.98	02/05/21	5,650.00	...	-3,863.02	Sale ²⁶
THE9 LTD ADR / CUSIP: 88337K302 / Symbol: NCTY							
01/04/21	120.000	755.96	Various	510.97	...	244.99	Total of 2 transactions
THE TRADE DESK INC COM CL A / CUSIP: 88339J105 / Symbol: TTD							
02/03/21	5.000	4,374.90	02/03/21	4,325.00	...	49.90	Sale ²⁶

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THERMOGENESIS HOLDINGS INC COM / CUSIP: 88362L100 / Symbol: THMO							
02/09/21	500.000	1,849.90	02/09/21	1,797.76	...	52.14	Sale ²⁶
TILRAY BRANDS INC COM / CUSIP: 88688T100 / Symbol: TLRV							
02/02/21	100.000	2,119.94	01/28/21	1,946.89	...	173.05	Sale ²⁶
TOUGHBUILT INDUSTRIES INC COM / CUSIP: 89157G504 / Symbol: TBLT							
12/27/21	100.000	42.10	01/27/21	133.00	...	-90.90	Sale ²⁶
TREVENA INC COM / CUSIP: 89532E109 / Symbol: TRVN							
12/27/21	580.000	388.47	02/10/21	1,762.45	...	-1,373.98	Sale ²⁶
USA TECHNOLOGIES INC N/C 4/19/21 138103106 / CUSIP: 90328S500 / Symbol: USAT							
03/17/21	88.000	1,019.90	02/16/21	994.40	...	25.50	Sale ²⁶
USENERGY CORP COM / CUSIP: 911805307 / Symbol: USEG							
02/08/21	500.000	2,954.87	02/05/21	2,799.95	...	154.92	Sale ²⁶
UNITED STATES STEEL CORP COM / CUSIP: 912909108 / Symbol: X							
02/17/21	100.000	1,839.96	02/16/21	1,712.75	...	127.21	Sale ²⁶
UP FINTECH HOLDING LIMITED ADR / CUSIP: 91531W106 / Symbol: TIGR							
09/10/21	100.000	1,515.98	09/01/21	1,482.00	...	33.98	Sale ²⁶
UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST							
07/12/21	100.000	12,949.92	07/12/21	12,900.00	...	49.92	Sale ²⁶
07/29/21	50.000	6,294.97	07/13/21	6,275.00	...	19.97	Sale ²⁶
08/02/21	50.000	6,274.96	07/30/21	6,025.00	...	249.96	Sale ²⁶
11/24/21	20.000	4,170.98	11/24/21	4,142.60	...	28.38	Sale ²⁶
	Security total:	29,690.83		29,342.60	...	348.23	
UXIN LIMITED ADR SPONSORED / CUSIP: 91818X108 / Symbol: UXIN							
04/01/21	500.000	924.94	02/12/21	760.00	...	164.94	Sale ²⁶
VBI VACCINES INC CDA COM / CUSIP: 91822J103 / Symbol: VBIV							
02/08/21	200.000	831.98	02/01/21	801.50	...	30.48	Sale ²⁶

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UWM HLDGS CORP COM CL A / CUSIP: 91823B109 / Symbol: UWMC							
12/21/21	52.035	332.75	Various	657.20	...	-324.45	Total of 2 transactions
VACCINEX INC COM / CUSIP: 918640103 / Symbol: VCNX							
01/11/21	300.000	788.94	12/08/20	713.97	...	74.97	Sale ²⁶
VAPOTHERM INC COM / CUSIP: 922107107 / Symbol: VAPO							
01/27/21	50.000	1,742.45	01/26/21	1,694.68	...	47.77	Sale ²⁶
VAXART INC COM / CUSIP: 92243A200 / Symbol: VXRT							
01/25/21	100.000	906.16	01/25/21	832.81	...	73.35	Sale ²⁶
01/29/21	200.000	2,050.44	Various	1,989.04	...	61.40	Total of 2 transactions
	Security total:	2,956.60		2,821.85	...	134.75	
VELODYNE LIDAR INC COM / CUSIP: 92259F101 / Symbol: VLDR							
12/21/21	200.000	1,027.11	Various	1,333.98	...	-306.87	Total of 2 transactions
VERACYTE INC COM / CUSIP: 92337F107 / Symbol: VCYT							
08/26/21	50.000	2,226.98	08/26/21	2,175.00	...	51.98	Sale ²⁶
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
02/01/21	50.000	2,609.93	02/01/21	2,423.00	...	186.93	Sale ²⁶
06/14/21	100.000	3,714.98	06/10/21	3,580.00	...	134.98	Sale ²⁶
06/25/21	100.000	4,839.97	06/23/21	4,080.00	...	759.97	Sale ²⁶
	Security total:	11,164.88		10,083.00	...	1,081.88	
VORNADO REALTY TRUST COM SHS OF BEN INT USD0.04 / CUSIP: 929042109 / Symbol: VNO							
01/26/21	50.000	1,987.08	Various	1,895.00	...	92.08	Total of 2 transactions
WALMART INC COM / CUSIP: 931142103 / Symbol: WMT							
01/12/21	30.000	4,475.68	01/12/21	4,461.00	...	14.68	Sale ²⁶
WASHINGTON FEDERAL INC COM / CUSIP: 938824109 / Symbol: WAFD							
02/11/21	50.000	1,495.47	02/02/21	1,405.39	...	90.08	Sale ²⁶

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WAYFAIR INC COM CL A / CUSIP: 94419L101 / Symbol: W							
01/13/21	20.000	5,799.87	01/13/21	5,700.00	...	99.87	Sale ²⁶
02/02/21	10.000	2,847.74	02/02/21	2,837.18	...	10.56	Sale ²⁶
02/04/21	30.000	9,009.70	02/04/21	8,767.80	...	241.90	Sale ²⁶
	Security total:	17,657.31		17,304.98	...	352.33	
WEIDAI LTD 1:5 R/S 1/26/22 94861A207 / CUSIP: 94861A108 / Symbol:							
06/30/21	500.000	1,169.93	02/19/21	1,134.95	...	34.98	Sale ²⁶
WELLTOWER INC COM USD1 / CUSIP: 95040Q104 / Symbol: WELL							
02/12/21	100.000	6,637.82	02/11/21	6,601.47	...	36.35	Sale ²⁶
WESTWATER RESOURCES INC COM / CUSIP: 961684206 / Symbol: WWR							
02/04/21	200.000	1,611.94	02/04/21	1,543.98	...	67.96	Sale ²⁶
WILLIAMS-SONOMA INC COM / CUSIP: 969904101 / Symbol: WSM							
09/23/21	30.000	5,759.97	Various	5,760.00	...	-0.03	Total of 2 transactions
09/24/21	20.000	3,843.18	09/23/21	3,840.00	...	3.18	Sale ²⁶
	Security total:	9,603.15		9,600.00	...	3.15	
WISDOMTREE US EFFICIENT CORE CLOUD COMPUTNG ETF / CUSIP: 97717Y691 / Symbol: WCLD							
02/11/21	1.000	59.81	11/30/20	48.90	...	10.91	Sale ²⁶
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
02/03/21	50.000	1,854.95	02/02/21	1,704.55	...	150.40	Sale ²⁶
02/03/21	50.000	1,805.45	02/03/21	1,805.50	0.05 W	0.00	Sale ²⁶
	Security total:	3,660.40		3,510.05	0.05 W	150.40	
WYNN RESORTS LTD COM / CUSIP: 983134107 / Symbol: WYNN							
02/17/21	10.000	1,216.47	02/16/21	1,208.00	...	8.47	Sale ²⁶
XL FLEET CORP COM CL A / CUSIP: 9837FR100 / Symbol: XL							
01/13/21	49.000	1,115.05	01/12/21	1,009.40	...	105.65	Sale ²⁶
02/09/21	151.000	3,238.86	Various	3,120.60	...	118.26	Sale ²⁶
	Security total:	4,353.91		4,130.00	...	223.91	

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
10/25/21	50.000	2,408.23	02/19/21	2,078.95	...	329.28	Sale ²⁶
11/30/21	20.000	1,048.79	12/01/20	1,110.00	...	-61.21	Sale ²⁶
	Security total:	3,457.02		3,188.95	...	268.07	
XERIS PHARMACEUTICALS INC 1:1 EXC 10/6/21 98422E103 / CUSIP: 98422L107 / Symbol:							
08/11/21	1,000.000	2,649.87	08/09/21	2,410.00	...	239.87	Sale ²⁶
YELLOW CORPORATION COM / CUSIP: 985510106 / Symbol: YELL							
02/10/21	250.000	1,549.94	01/14/21	1,276.64	...	273.30	Sale ²⁶
YEXT INC COM / CUSIP: 98585N106 / Symbol: YEXT							
02/19/21	100.000	1,954.06	11/09/20	1,970.00	...	-15.94	Sale ²⁶
ZSCALER INC COM / CUSIP: 98980G102 / Symbol: ZS							
07/22/21	1.000	237.00	07/22/21	236.29	...	0.71	Sale ²⁶
07/23/21	19.000	4,510.77	07/22/21	4,489.51	...	21.26	Sale ²⁶
	Security total:	4,747.77		4,725.80	...	21.97	
ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM							
02/11/21	10.000	4,417.90	12/01/20	4,400.00	...	17.90	Sale ²⁶
06/14/21	10.000	3,656.58	06/14/21	3,657.99	1.41 W	0.00	Sale ²⁶
06/15/21	10.000	3,628.53	06/14/21	3,657.98	...	-29.45	Sale ²⁶
06/24/21	10.000	3,802.08	06/18/21	3,767.08	...	35.00	Sale ²⁶
	Security total:	15,505.09		15,483.05	1.41 W	23.45	
ZOMEDICA CORP COM / CUSIP: 98980M109 / Symbol: ZOM							
02/04/21	500.000	709.97	01/25/21	550.00	...	159.97	Sale ²⁶
ZYNGA INC COM CL A / CUSIP: 98986T108 / Symbol: ZNGA							
07/01/21	100.000	1,075.98	07/01/21	1,066.80	...	9.18	Sale ²⁶
AMERICAN AIRLINES GROUP INC AAL Jun 18 2021 25.0 Call / CUSIP: / Symbol: AAL 06/18/21 C 25.000							
01/28/21	1.000	229.33	01/28/21	230.66	...	-1.33	Option sale ²⁶
AIRBNB INC ABNB Jan 15 2021 185.0 Call / CUSIP: / Symbol: ABNB 01/15/21 C 185.000							
01/14/21	1.000	308.33	01/14/21	255.66	...	52.67	Option sale ²⁶

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AIRBNB INC ABNB Jul 09 2021	160.0	Call / CUSIP: / Symbol: ABNB 07/09/21	C 160.000				
07/09/21	1.000	0.00	07/01/21	312.66	...	-312.66	Option expiration
AIRBNB INC ABNB Aug 27 2021	165.0	Call / CUSIP: / Symbol: ABNB 08/27/21	C 165.000				
08/27/21	1.000	0.00	08/25/21	115.66	...	-115.66	Option expiration
AIRBNB INC ABNB Aug 27 2021	167.5	Call / CUSIP: / Symbol: ABNB 08/27/21	C 167.500				
08/24/21	1.000	104.34	08/24/21	70.66	...	33.68	Option sale ²⁶
AIRBNB INC ABNB Sep 24 2021	167.5	Call / CUSIP: / Symbol: ABNB 09/24/21	C 167.500				
09/21/21	1.000	204.34	09/21/21	170.66	...	33.68	Option sale ²⁶
AIRBNB INC ABNB Nov 12 2021	210.0	Call / CUSIP: / Symbol: ABNB 11/12/21	C 210.000				
11/09/21	1.000	69.34	11/08/21	275.66	...	-206.32	Option sale ²⁶
ADC THERAPEUTICS SA ADCT Aug 20 2021	25.0	Call / CUSIP: / Symbol: ADCT 08/20/21	C 25.000				
08/09/21	1.000	279.34	08/09/21	300.66	...	-21.32	Option sale ²⁶
AFFIRM HLDGS INC AFRM Oct 15 2021	90.0	Call / CUSIP: / Symbol: AFRM 10/15/21	C 90.000				
08/30/21	1.000	1,299.33	08/25/21	245.66	...	1,053.67	Option sale ²⁶
AFFIRM HLDGS INC AFRM Oct 01 2021	140.0	Call / CUSIP: / Symbol: AFRM 10/01/21	C 140.000				
10/01/21	1.000	0.00	09/27/21	340.66	...	-340.66	Option expiration
AFFIRM HLDGS INC AFRM Nov 12 2021	152.5	Call / CUSIP: / Symbol: AFRM 11/12/21	C 152.500				
11/11/21	1.000	249.34	11/11/21	400.66	...	-151.32	Option sale ²⁶
AFFIRM HLDGS INC AFRM Nov 12 2021	155.0	Call / CUSIP: / Symbol: AFRM 11/12/21	C 155.000				
11/11/21	1.000	409.34	11/11/21	314.66	...	94.68	Option sale ²⁶
AFFIRM HLDGS INC AFRM Nov 26 2021	130.0	Call / CUSIP: / Symbol: AFRM 11/26/21	C 130.000				
11/23/21	1.000	120.34	11/23/21	350.66	...	-230.32	Option sale ²⁶
C3.AI INC AI Feb 19 2021	125.0	Call / CUSIP: / Symbol: AI 02/19/21	C 125.000				
01/22/21	1.000	1,179.31	01/22/21	1,080.66	...	98.65	Option sale ²⁶
ALIGN TECHNOLOGY INC ALGN Oct 29 2021	635.0	Call / CUSIP: / Symbol: ALGN 10/29/21	C 635.000				
10/28/21	2.000	1,048.68	Various	1,086.32	...	-37.64	Total of 2 transactions

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AMC ENTERTAINMENT HOLDINGS INC AMC Aug 27 2021		41.0 Call / CUSIP: / Symbol: AMC 08/27/21 C 41.000					
08/24/21	1.000	399.34	08/24/21	265.66	...	133.68	Option sale ²⁶
AMC ENTERTAINMENT HOLDINGS INC AMC Aug 27 2021		50.0 Call / CUSIP: / Symbol: AMC 08/27/21 C 50.000					
08/24/21	1.000	349.34	08/24/21	460.66	...	-111.32	Option sale ²⁶
AMC ENTERTAINMENT HOLDINGS INC AMC Sep 03 2021		44.0 Call / CUSIP: / Symbol: AMC 09/03/21 C 44.000					
08/30/21	1.000	299.34	08/30/21	265.66	...	33.68	Option sale ²⁶
AMC ENTERTAINMENT HOLDINGS INC AMC Sep 03 2021		53.0 Call / CUSIP: / Symbol: AMC 09/03/21 C 53.000					
09/03/21	1.000	0.00	09/01/21	145.66	...	-145.66	Option expiration
AMC ENTERTAINMENT HOLDINGS INC AMC Aug 27 2021		40.0 Put / CUSIP: / Symbol: AMC 08/27/21 P 40.000					
08/24/21	1.000	234.34	08/24/21	210.66	...	23.68	Option sale ²⁶
ADVANCED MICRO DEVICES INC AMD Oct 01 2021		110.0 Call / CUSIP: / Symbol: AMD 10/01/21 C 110.000					
10/01/21	1.000	0.00	09/10/21	202.66	...	-202.66	Option expiration
AMARIN CORP AMRN Jan 21 2022		4.0 Call / CUSIP: / Symbol: AMRN 01/21/22 C 4.000					
01/14/21	2.000	588.66	12/08/20	409.33	...	179.33	Option sale ²⁶
AMAZON COM INC AMZN Jan 15 2021		3150.0 Call / CUSIP: / Symbol: AMZN 01/15/21 C 3150.000					
01/15/21	1.000	269.33	01/15/21	250.66	...	18.67	Option sale ²⁶
AMAZON COM INC AMZN Mar 19 2021		3350.0 Call / CUSIP: / Symbol: AMZN 03/19/21 C 3350.000					
02/17/21	1.000	9,489.13	02/08/21	11,290.66	...	-1,801.53	Option sale ²⁶
AMAZON COM INC AMZN Mar 19 2021		3370.0 Call / CUSIP: / Symbol: AMZN 03/19/21 C 3370.000					
02/18/21	1.000	1,793.45	02/17/21	0.00	...	1,793.45	Short sale closed- option ²⁶
AMAZON COM INC AMZN Sep 10 2021		3670.0 Call / CUSIP: / Symbol: AMZN 09/10/21 C 3670.000					
09/10/21	1.000	0.00	09/08/21	215.66	...	-215.66	Option expiration
ASANA INC ASAN Sep 17 2021		95.0 Call / CUSIP: / Symbol: ASAN 09/17/21 C 95.000					
09/03/21	1.000	359.34	09/03/21	325.66	...	33.68	Option sale ²⁶

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AVEO PHARMACEUTICALS INC AVEO Apr 16 2021 10.0 Call / CUSIP: / Symbol: AVEO 04/16/21 C 10.000							
03/10/21	2.000	798.67	02/08/21	301.33	...	497.34	Option sale ²⁶
03/11/21	1.000	749.34	02/08/21	150.67	...	598.67	Option sale ²⁶
04/16/21	1.000	0.00	02/08/21	150.66	...	-150.66	Option expiration
	Security total:	1,548.01		602.66	...	945.35	
ALIBABA GROUP HOLDING LTD BABA Jan 15 2021 300.0 Call / CUSIP: / Symbol: BABA 01/15/21 C 300.000							
01/15/21	1.000	0.00	12/08/20	275.66	...	-275.66	Option expiration
ALIBABA GROUP HOLDING LTD BABA Jan 22 2021 250.0 Call / CUSIP: / Symbol: BABA 01/22/21 C 250.000							
01/19/21	1.000	349.33	01/19/21	310.66	...	38.67	Option sale ²⁶
BAIDU INC BIDU Sep 03 2021 160.0 Call / CUSIP: / Symbol: BIDU 09/03/21 C 160.000							
08/31/21	1.000	117.34	08/31/21	90.66	...	26.68	Option sale ²⁶
BIGCOMMERCE HLDGS INC BIGC Dec 17 2021 65.0 Call / CUSIP: / Symbol: BIGC 12/17/21 C 65.000							
12/17/21	1.000	0.00	11/09/21	440.66	...	-440.66	Option expiration
BILIBILI INC BILI Sep 03 2021 86.0 Call / CUSIP: / Symbol: BILI 09/03/21 C 86.000							
09/01/21	1.000	199.34	09/01/21	177.66	...	21.68	Option sale ²⁶
BOOKING HOLDINGS INC BKNG Nov 12 2021 2800.0 Call / CUSIP: / Symbol: BKNG 11/12/21 C 2800.000							
11/08/21	1.000	1,149.33	11/08/21	800.66	...	348.67	Option sale ²⁶
11/08/21	1.000	749.34	11/08/21	800.66	51.32 W	0.00	Option sale ²⁶
11/09/21	1.000	574.34	11/09/21	531.98	...	42.36	Option sale ²⁶
	Security total:	2,473.01		2,133.30	51.32 W	391.03	
BEYOND MEAT INC BYND Feb 12 2021 180.0 Call / CUSIP: / Symbol: BYND 02/12/21 C 180.000							
02/12/21	1.000	74.34	02/12/21	145.66	...	-71.32	Option sale ²⁶
VISION MARINE TECH INC COM / CUSIP: C96657116 / Symbol: VMAR							
12/23/21	125.000	626.30	Various	1,126.00	...	-499.70	Sale ²⁶
LUCID GROUP INC CCIV Jul 02 2021 30.0 Call / CUSIP: / Symbol: CCIV 07/02/21 C 30.000							
07/02/21	1.000	0.00	07/01/21	60.66	...	-60.66	Option expiration
CHEWY INC CHWY Feb 26 2021 118.0 Call / CUSIP: / Symbol: CHWY 02/26/21 C 118.000							
02/26/21	1.000	0.00	02/19/21	272.66	...	-272.66	Option expiration

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CLOVER HEALTH INVESTMENTS CORP CLOV Jun 18 2021 22.0 Call / CUSIP: / Symbol: CLOV 06/18/21 C 22.000							
06/18/21	1.000	0.00	06/09/21	280.66	...	-280.66	Option expiration
CLOVER HEALTH INVESTMENTS CORP CLOV Nov 19 2021 9.0 Call / CUSIP: / Symbol: CLOV 11/19/21 C 9.000							
08/12/21	1.000	227.34	07/23/21	165.66	...	61.68	Option sale ²⁶
COINBASE GLOBAL INC COIN Aug 06 2021 257.5 Call / CUSIP: / Symbol: COIN 08/06/21 C 257.500							
08/05/21	1.000	194.34	08/05/21	310.66	...	-116.32	Option sale ²⁶
COINBASE GLOBAL INC COIN Aug 13 2021 300.0 Call / CUSIP: / Symbol: COIN 08/13/21 C 300.000							
08/09/21	1.000	569.34	08/09/21	550.66	...	18.68	Option sale ²⁶
COINBASE GLOBAL INC COIN Aug 20 2021 315.0 Call / CUSIP: / Symbol: COIN 08/20/21 C 315.000							
08/11/21	1.000	409.34	08/11/21	410.66	...	-1.32	Option sale ²⁶
CARDIFF ONCOLOGY INC CRDF Aug 20 2021 15.0 Call / CUSIP: / Symbol: CRDF 08/20/21 C 15.000							
02/11/21	1.000	469.33	01/22/21	310.67	...	158.66	Option sale ²⁶
08/20/21	11.000	0.00	Various	417.30	...	-417.30	Option expiration
	Security total:	469.33		727.97	...	-258.64	
CORSAIR GAMING INC CRSR Nov 19 2021 32.5 Call / CUSIP: / Symbol: CRSR 11/19/21 C 32.500							
11/19/21	1.000	0.00	07/21/21	300.66	...	-300.66	Option expiration
CROWDSTRIKE HOLDINGS INC CRWD Feb 12 2021 245.0 Call / CUSIP: / Symbol: CRWD 02/12/21 C 245.000							
02/12/21	1.000	123.34	02/12/21	127.66	...	-4.32	Option sale ²⁶
CARVANA CO CVNA Aug 13 2021 400.0 Call / CUSIP: / Symbol: CVNA 08/13/21 C 400.000							
08/13/21	1.000	0.00	08/10/21	70.66	...	-70.66	Option expiration
3 D SYSTEMS INC DDD Nov 05 2021 34.0 Call / CUSIP: / Symbol: DDD 11/05/21 C 34.000							
11/05/21	1.000	0.00	11/04/21	150.66	...	-150.66	Option expiration
DIDI GLOBAL INC DIDI Sep 03 2021 9.0 Call / CUSIP: / Symbol: DIDI 09/03/21 C 9.000							
09/03/21	2.000	46.68	09/01/21	65.32	...	-18.64	Option sale ²⁶
DRAFTKINGS INC DKNG Mar 26 2021 66.0 Call / CUSIP: / Symbol: DKNG 03/26/21 C 66.000							
03/01/21	1.000	559.34	03/01/21	460.66	...	98.68	Option sale ²⁶

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DRAFTKINGS INC DKNG Sep 03 2021 60.0 Call / CUSIP: / Symbol: DKNG 09/03/21 C 60.000							
08/25/21	1.000	115.34	08/25/21	95.66	...	19.68	Option sale ²⁶
DRAFTKINGS INC DKNG Sep 10 2021 63.5 Call / CUSIP: / Symbol: DKNG 09/10/21 C 63.500							
09/08/21	1.000	164.34	09/07/21	90.66	...	73.68	Option sale ²⁶
DRAFTKINGS INC DKNG Jan 21 2022 100.0 Call / CUSIP: / Symbol: DKNG 01/21/22 C 100.000							
12/21/21	6.000	2.03	Various	1,247.98	...	-1,245.95	Total of 2 transactions
DESKTOP METAL INC DM Jan 21 2022 30.0 Call / CUSIP: / Symbol: DM 01/21/22 C 30.000							
02/05/21	1.000	1,049.32	01/22/21	790.66	...	258.66	Option sale ²⁶
DOCUSIGN INC DOCU Dec 10 2021 160.0 Call / CUSIP: / Symbol: DOCU 12/10/21 C 160.000							
12/03/21	1.000	314.34	12/03/21	410.66	...	-96.32	Option sale ²⁶
EBAY INC EBAY Aug 13 2021 66.0 Put / CUSIP: / Symbol: EBAY 08/13/21 P 66.000							
08/13/21	1.000	0.00	08/11/21	130.66	...	-130.66	Option expiration
ENPHASE ENERGY INC ENPH Feb 19 2021 230.0 Call / CUSIP: / Symbol: ENPH 02/19/21 C 230.000							
02/10/21	1.000	529.33	02/10/21	711.66	...	-182.33	Option sale ²⁶
EXPEDIA GROUP INC EXPE Jan 20 2023 195.0 Call / CUSIP: / Symbol: EXPE 01/20/23 C 195.000							
11/08/21	1.000	2,899.33	06/25/21	2,475.66	...	423.67	Option sale ²⁶
EXTREME NETWORKS EXTR Mar 19 2021 9.0 Call / CUSIP: / Symbol: EXTR 03/19/21 C 9.000							
02/09/21	1.000	184.34	02/01/21	117.66	...	66.68	Option sale ²⁶
FACEBOOK INC FB Jan 15 2021 265.0 Call / CUSIP: / Symbol: FB 01/15/21 C 265.000							
01/15/21	1.000	0.00	01/11/21	270.66	...	-270.66	Option expiration
FEDEX CORP FDX Nov 12 2021 247.5 Call / CUSIP: / Symbol: FDX 11/12/21 C 247.500							
11/09/21	1.000	349.34	11/09/21	370.66	...	-21.32	Option sale ²⁶
FIGS INC FIGS Sep 17 2021 50.0 Call / CUSIP: / Symbol: FIGS 09/17/21 C 50.000							
09/02/21	1.000	164.34	09/02/21	165.66	...	-1.32	Option sale ²⁶
FIRST SOLAR INC FSLR Aug 06 2021 92.5 Call / CUSIP: / Symbol: FSLR 08/06/21 C 92.500							
08/03/21	1.000	104.34	08/03/21	115.66	...	-11.32	Option sale ²⁶

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FASTLY INC FSLY Apr 16 2021 85.0 Call / CUSIP: / Symbol: FSLY 04/16/21 C 85.000							
04/16/21	3.000	0.00	Various	1,001.99	...	-1,001.99	Option expiration
FORTINET INC FTNT Oct 15 2021 340.0 Call / CUSIP: / Symbol: FTNT 10/15/21 C 340.000							
10/15/21	1.000	0.00	09/23/21	132.66	...	-132.66	Option expiration
FUTU HOLDINGS LIMITED FUTU Sep 03 2021 103.0 Call / CUSIP: / Symbol: FUTU 09/03/21 C 103.000							
09/01/21	1.000	139.34	08/31/21	210.66	...	-71.32	Option sale ²⁶
BIT DIGITAL INC COM / CUSIP: G1144A105 / Symbol: BTBT							
01/28/21	50.000	1,014.97	01/14/21	1,043.50	28.53 W	0.00	Sale ²⁶
02/08/21	50.000	1,315.46	01/29/21	1,071.03	...	244.43	Sale ²⁶
02/16/21	80.000	2,155.25	02/12/21	2,035.99	...	119.26	Sale ²⁶
	Security total:	4,485.68		4,150.52	28.53 W	363.69	
BIT BROTHER LTD COM / CUSIP: G1144D109 / Symbol: BTB							
12/27/21	250.000	139.10	02/22/21	1,537.50	...	-1,398.40	Sale ²⁶
BRIDGETOWN HLDGS LTD COM CL A / CUSIP: G1355U113 / Symbol: BTWN							
02/19/21	50.000	854.98	02/03/21	809.00	...	45.98	Sale ²⁶
BRIDGETOWN 2 HOLDINGS LTD COM CL A / CUSIP: G1355V103 / Symbol: BTNB							
02/19/21	50.000	670.51	02/03/21	636.40	...	34.11	Sale ²⁶
BORQS TECHNOLOGIES INC COM / CUSIP: G1466B103 / Symbol: BRQS							
01/08/21	1,500.000	1,979.78	11/24/20	1,934.94	...	44.84	Sale ²⁶
BORR DRILLING LIMITED 1:2 R/S 12/14/21 G1466R173 / CUSIP: G1466R207 / Symbol:							
02/16/21	1,000.000	1,600.25	Various	1,459.20	...	141.05	Total of 2 transactions
CHINA LIBERAL EDUCATION HLDGS COM / CUSIP: G2161Y109 / Symbol: CLEU							
01/15/21	500.000	1,954.40	Various	1,949.95	...	4.45	Total of 2 transactions
FARMMI INC COM / CUSIP: G33277107 / Symbol: FAMI							
02/10/21	400.000	699.97	01/28/21	600.00	...	99.97	Sale ²⁶

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GAN LTD COM / CUSIP: G3728V109 / Symbol: GAN							
01/06/21	99.000	2,247.24	12/31/20	2,079.00	...	168.24	Sale ²⁶
01/11/21	100.000	2,299.94	01/08/21	2,100.00	...	199.94	Sale ²⁶
02/11/21	1.000	29.16	12/31/20	21.00	...	8.16	Sale ²⁶
	Security total:	4,576.34		4,200.00	...	376.34	
EBANG INTERNATIONAL HLDGS INC COM / CUSIP: G3R33A106 / Symbol: EBON							
02/04/21	100.000	601.99	01/29/21	558.98	...	43.01	Sale ²⁶
HAPPINESS DEVELOPMENT GRP COM / CUSIP: G4289N114 / Symbol: HAPP							
02/17/21	1,000.000	2,479.83	02/16/21	2,435.00	...	44.83	Sale ²⁶
APTIV PLC COM / CUSIP: G6095L109 / Symbol: APTV							
02/08/21	30.000	4,501.15	Various	4,400.15	...	101.00	Total of 2 transactions
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH							
07/19/21	100.000	2,355.98	07/15/21	2,400.00	...	-44.02	Short sale closed ²⁶
NOVOCURE LTD COM / CUSIP: G6674U108 / Symbol: NVCR							
11/15/21	29.000	3,378.48	11/11/21	3,245.97	...	132.51	Sale ²⁶
ORIENTAL CULTURE HOLDING LTD COM / CUSIP: G6796W107 / Symbol: OCG							
02/12/21	550.000	3,261.36	02/12/21	3,219.00	...	42.36	Sale ²⁶
SIGNET JEWELERS LTD COM / CUSIP: G81276100 / Symbol: SIG							
01/07/21	50.000	1,734.96	01/07/21	1,681.50	...	53.46	Sale ²⁶
SOCIAL CAP HEDOSOPHIA HLDGS CO COM CL A / CUSIP: G82514103 / Symbol: IPOD							
01/14/21	49.000	827.61	Various	703.15	...	124.46	Total of 2 transactions
02/23/21	51.000	701.22	Various	767.10	65.88 W	0.00	Sale ²⁶
12/03/21	100.000	1,018.98	03/11/21	1,330.87	...	-311.89	Sale ²⁶
	Security total:	2,547.81		2,801.12	65.88 W	-187.43	
SOCIAL CAP HEDOSOPHIA HLDGS CO 1:1 EXC 1/8/21 18914F103 / CUSIP: G8251K107 / Symbol: IPOC							
01/07/21	80.000	1,295.17	01/07/21	1,273.59	...	21.58	Sale ²⁶

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SOCIAL CAP HEDOSOPHIA HLDGS CO COM CL A / CUSIP: G8251L105 / Symbol: IPOF							
01/12/21	49.000	744.77	01/07/21	646.80	...	97.97	Sale ²⁶
02/01/21	100.000	1,505.97	01/26/21	1,399.00	...	106.97	Sale ²⁶
02/17/21	1.000	15.10	01/07/21	13.20	...	1.90	Sale ²⁶
10/06/21	100.000	1,011.98	03/11/21	1,207.78	...	-195.80	Sale ²⁶
	Security total:	3,277.82		3,266.78	...	11.04	
SOCIAL CAP HEDOSOPHIA HLDGS CO 1:1 EXC 6/1/21 83406F102 / CUSIP: G8252P105 / Symbol: IPOE							
01/08/21	50.000	1,069.98	01/07/21	968.49	...	101.49	Sale ²⁶
01/29/21	100.000	2,329.94	01/22/21	2,187.50	...	142.44	Sale ²⁶
02/16/21	200.000	4,879.87	Various	4,714.49	...	165.38	Sale ²⁶
	Security total:	8,279.79		7,870.48	...	409.31	
SVF INVESTMENT CORP UNIT 1 CL A & 1/5 WT EXP / CUSIP: G8601L110 / Symbol: SVFAU							
02/19/21	100.000	1,398.46	01/11/21	1,300.00	...	98.46	Sale ²⁶
SPI ENERGY CO LTD COM / CUSIP: G8651P110 / Symbol: SPI							
01/21/21	149.000	1,743.24	Various	1,731.85	193.57 W	204.96	Sale ²⁶
VIVOPOWER INTERNATIONAL PLC COM / CUSIP: G9376R100 / Symbol: VVPR							
01/19/21	49.000	670.30	01/11/21	515.97	...	154.33	Sale ²⁶
02/17/21	1.000	11.75	01/11/21	10.53	...	1.22	Sale ²⁶
	Security total:	682.05		526.50	...	155.55	
URBAN TEA N/C 6/16/21 G1144D109 / CUSIP: G9396G118 / Symbol:							
02/09/21	200.000	540.41	02/08/21	492.00	...	48.41	Sale ²⁶
02/22/21	250.000	1,562.44	02/22/21	1,537.50	...	24.94	Sale ²⁶
	Security total:	2,102.85		2,029.50	...	73.35	
VPC IMPACT ACQUISITION HLDGS 1:1 EXC 10/18/21 05759B107 / CUSIP: G9441E100 / Symbol: VIH							
02/08/21	50.000	957.26	Various	822.00	...	135.26	Total of 2 transactions
VG ACQUISITION CORP 1:1 EXC 6/17/21 90138Q108 / CUSIP: G9446E105 / Symbol: VGAC							
01/22/21	49.000	705.57	01/14/21	604.17	...	101.40	Sale ²⁶
02/01/21	90.000	1,216.76	01/28/21	1,124.30	...	92.46	Sale ²⁶
02/17/21	1.000	13.94	01/14/21	12.33	...	1.61	Sale ²⁶
	Security total:	1,936.27		1,740.80	...	195.47	

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ZHONGCHAO INC COM CL A / CUSIP: G9897X107 / Symbol: ZCMD							
03/17/21	500.000	1,574.94	Various	1,205.00	...	369.94	Total of 2 transactions
GENERAL ELECTRIC CO GE Jan 21 2022 12.0 Call / CUSIP: / Symbol: GE 01/21/22 C 12.000							
05/27/21	1.000	289.34	03/12/21	230.66	...	58.68	Option sale ²⁶
GAMESTOP CORPORATION GME Aug 27 2021 215.0 Call / CUSIP: / Symbol: GME 08/27/21 C 215.000							
08/24/21	1.000	164.34	08/24/21	123.66	...	40.68	Option sale ²⁶
GAMESTOP CORPORATION GME Aug 27 2021 240.0 Call / CUSIP: / Symbol: GME 08/27/21 C 240.000							
08/24/21	1.000	479.34	08/24/21	319.66	...	159.68	Option sale ²⁶
GAMESTOP CORPORATION GME Sep 03 2021 305.0 Call / CUSIP: / Symbol: GME 09/03/21 C 305.000							
09/03/21	1.000	0.00	09/01/21	210.66	...	-210.66	Option expiration
GAMESTOP CORPORATION GME Sep 03 2021 420.0 Call / CUSIP: / Symbol: GME 09/03/21 C 420.000							
08/27/21	1.000	154.34	08/26/21	345.66	...	-191.32	Option sale ²⁶
GOLDEN NUGGET ONLINE GAMING GNOG Sep 17 2021 20.0 Call / CUSIP: / Symbol: GNOG 09/17/21 C 20.000							
08/16/21	1.000	8.68	08/13/21	0.00	...	8.68	Short sale closed- option ²⁶
ALPHABET INC GOOG Aug 13 2021 2775.0 Call / CUSIP: / Symbol: GOOG 08/13/21 C 2775.000							
08/11/21	2.000	1,908.67	Various	2,122.32	...	-213.65	Total of 2 transactions
ALPHABET INC GOOGL Oct 29 2021 3000.0 Call / CUSIP: / Symbol: GOOGL 10/29/21 C 3000.000							
10/28/21	1.000	319.34	10/28/21	200.66	...	118.68	Option sale ²⁶
GARMIN LTD GRMN Sep 17 2021 180.0 Call / CUSIP: / Symbol: GRMN 09/17/21 C 180.000							
08/24/21	1.000	63.34	08/23/21	87.66	...	-24.32	Option sale ²⁶
MIRION TECHNOLOGIES INC GSAH Mar 19 2021 17.5 Call / CUSIP: / Symbol: GSAH 03/19/21 C 17.500							
03/19/21	1.000	0.00	02/19/21	230.66	...	-230.66	Option expiration
NLS PHARMACEUTICS LTD COM / CUSIP: H57830103 / Symbol: NLSP							
04/29/21	200.000	738.78	04/28/21	749.66	...	-10.88	Sale ²⁶
TRANSOCEAN LIMITED COM / CUSIP: H8817H100 / Symbol: RIG							
02/05/21	650.000	2,295.67	Various	2,289.94	...	5.73	Sale ²⁶

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ROBINHOOD MARKETS INC HOOD Sep 24 2021		44.0 Call / CUSIP: / Symbol: HOOD	09/24/21	C 44.000			
09/22/21	1.000	109.34	09/22/21	80.66	...	28.68	Option sale ²⁶
IDEANOMICS INC IDEX Jan 20 2023		3.5 Call / CUSIP: / Symbol: IDEX	01/20/23	C 3.500			
01/27/21	5.000	1,951.63	01/15/21	1,453.32	...	498.31	Option sale ²⁶
JINKOSOLAR HOLDING CO LTD JKS Feb 19 2021		65.0 Call / CUSIP: / Symbol: JKS	02/19/21	C 65.000			
02/05/21	1.000	363.33	02/04/21	325.66	...	37.67	Option sale ²⁶
NORDSTROM INC JWN Aug 27 2021		38.5 Call / CUSIP: / Symbol: JWN	08/27/21	C 38.500			
08/27/21	1.000	0.00	08/24/21	162.66	...	-162.66	Option expiration
LUCID GROUP INC LCID Oct 29 2021		40.0 Call / CUSIP: / Symbol: LCID	10/29/21	C 40.000			
10/29/21	1.000	267.34	10/29/21	221.66	...	45.68	Option sale ²⁶
LUCID GROUP INC LCID Nov 05 2021		36.0 Call / CUSIP: / Symbol: LCID	11/05/21	C 36.000			
11/04/21	1.000	136.34	11/04/21	158.66	...	-22.32	Option sale ²⁶
LUCID GROUP INC LCID Nov 12 2021		40.0 Call / CUSIP: / Symbol: LCID	11/12/21	C 40.000			
11/05/21	1.000	379.34	11/05/21	250.66	...	128.68	Option sale ²⁶
LUCID GROUP INC LCID Nov 12 2021		45.0 Call / CUSIP: / Symbol: LCID	11/12/21	C 45.000			
11/08/21	1.000	499.34	11/08/21	420.66	...	78.68	Option sale ²⁶
LUCID GROUP INC LCID Nov 12 2021		46.0 Call / CUSIP: / Symbol: LCID	11/12/21	C 46.000			
11/12/21	1.000	35.34	11/09/21	300.66	...	-265.32	Option sale ²⁶
LUCID GROUP INC LCID Nov 26 2021		57.0 Call / CUSIP: / Symbol: LCID	11/26/21	C 57.000			
11/23/21	1.000	149.34	11/22/21	350.66	...	-201.32	Option sale ²⁶
LULULEMON ATHLETICA INC LULU Nov 05 2021		475.0 Call / CUSIP: / Symbol: LULU	11/05/21	C 475.000			
11/04/21	1.000	499.34	11/04/21	260.66	...	238.68	Option sale ²⁶
LAS VEGAS SANDS CORP LVS Oct 15 2021		45.0 Call / CUSIP: / Symbol: LVS	10/15/21	C 45.000			
08/25/21	2.000	408.68	08/09/21	303.32	...	105.36	Option sale ²⁶
CHECK POINT SFTWRE COM / CUSIP: M22465104 / Symbol: CHKP							
07/09/21	20.000	2,478.99	07/09/21	2,452.00	...	26.99	Sale ²⁶

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GAMIDA CELL LTD COM / CUSIP: M47364100 / Symbol: GMDA							
02/09/21	100.000	1,719.95	02/03/21	1,243.98	...	475.97	Sale ²⁶
02/09/21	100.000	1,399.96	02/09/21	1,685.00	285.04 W	0.00	Sale ²⁶
02/11/21	50.000	814.47	02/09/21	810.00	...	4.47	Short sale closed ²⁶
	Security total:	3,934.38		3,738.98	285.04 W	480.44	
JFROG LTD COM / CUSIP: M6191J100 / Symbol: FROG							
01/26/21	100.000	6,969.84	Various	6,771.45	0.15 W	198.54	Sale ²⁶
02/16/21	51.000	3,565.32	Various	3,372.16	...	193.16	Sale ²⁶
	Security total:	10,535.16		10,143.61	0.15 W	391.70	
KAMADA COM / CUSIP: M6240T109 / Symbol: KMDA							
12/15/21	110.000	722.09	02/03/21	848.34	...	-126.25	Sale ²⁶
NANO X IMAGING LTD COM / CUSIP: M70700105 / Symbol: NNOX							
01/21/21	19.000	1,115.97	12/03/20	1,112.45	...	3.52	Sale ²⁶
01/21/21	1.000	58.17	12/03/20	58.55	0.38 W	0.00	Sale ²⁶
02/05/21	50.000	3,530.91	02/04/21	3,300.38	...	230.53	Sale ²⁶
04/05/21	100.000	6,999.95	02/12/21	6,600.00	...	399.95	Sale ²⁶
06/28/21	100.000	3,349.97	06/14/21	2,923.25	...	426.72	Sale ²⁶
07/27/21	50.000	1,340.48	07/15/21	1,484.06	143.58 W	0.00	Sale ²⁶
08/06/21	50.000	1,500.06	07/15/21	1,484.06	...	16.00	Sale ²⁶
08/12/21	100.000	2,854.98	08/11/21	2,803.58	46.09 W	97.49	Sale ²⁶
08/24/21	50.000	1,210.98	08/20/21	1,108.59	...	102.39	Sale ²⁶
	Security total:	21,961.47		20,874.92	190.05 W	1,276.60	
MARATHON DIGITAL HOLDINGS INC MARA Sep 10 2021 46.0 Call / CUSIP: / Symbol: MARA 09/10/21 C 46.000							
09/03/21	1.000	163.34	09/01/21	133.66	...	29.68	Option sale ²⁶
MODERNA INC MRNA Aug 13 2021 420.0 Call / CUSIP: / Symbol: MRNA 08/13/21 C 420.000							
08/13/21	2.000	0.00	08/12/21	605.32	...	-605.32	Option expiration
MODERNA INC MRNA Aug 27 2021 445.0 Call / CUSIP: / Symbol: MRNA 08/27/21 C 445.000							
08/25/21	1.000	149.34	08/25/21	250.66	...	-101.32	Option sale ²⁶
MICROSOFT CORP MSFT Jan 22 2021 227.5 Call / CUSIP: / Symbol: MSFT 01/22/21 C 227.500							
01/22/21	1.000	184.34	01/22/21	85.66	...	98.68	Option sale ²⁶

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MICROSOFT CORP MSFT Jan 29 2021	235.0	Call / CUSIP: / Symbol: MSFT 01/29/21 C 235.000					
01/26/21	1.000	469.33	01/26/21	390.66	...	78.67	Option sale ²⁶
MICROSOFT CORP MSFT Feb 19 2021	215.0	Call / CUSIP: / Symbol: MSFT 02/19/21 C 215.000					
01/19/21	1.000	759.32	01/15/21	680.66	...	78.66	Option sale ²⁶
NEOGENOMICS INC NEO Sep 17 2021	40.0	Call / CUSIP: / Symbol: NEO 09/17/21 C 40.000					
08/12/21	1.000	375.34	08/11/21	275.66	...	99.68	Option sale ²⁶
CLOUDFLARE INC NET Aug 27 2021	127.0	Call / CUSIP: / Symbol: NET 08/27/21 C 127.000					
08/27/21	1.000	0.00	08/24/21	125.66	...	-125.66	Option expiration
NETFLIX INC NFLX Oct 29 2021	675.0	Call / CUSIP: / Symbol: NFLX 10/29/21 C 675.000					
10/28/21	1.000	399.34	10/28/21	350.66	...	48.68	Option sale ²⁶
NIO INC NIO Jan 20 2023	100.0	Call / CUSIP: / Symbol: NIO 01/20/23 C 100.000					
07/01/21	1.000	799.34	04/14/21	475.66	...	323.68	Option sale ²⁶
12/20/21	1.000	118.34	07/08/21	475.66	...	-357.32	Option sale ²⁶
Security total:		917.68		951.32	...	-33.64	
NOKIA OYJ NOK Jan 21 2022	4.0	Call / CUSIP: / Symbol: NOK 01/21/22 C 4.000					
06/02/21	10.000	1,643.33	02/03/21	1,466.64	...	176.69	Option sale ²⁶
NEW RESIDENTIAL INVT CORP NRZ Mar 19 2021	11.0	Call / CUSIP: / Symbol: NRZ 03/19/21 C 11.000					
03/18/21	7.000	254.33	01/28/21	261.64	...	-7.31	Option sale ²⁶
NOVAVAX INC NVAX Aug 13 2021	225.0	Call / CUSIP: / Symbol: NVAX 08/13/21 C 225.000					
08/09/21	1.000	624.34	08/09/21	625.66	1.32 W	0.00	Option sale ²⁶
08/10/21	1.000	799.34	08/09/21	631.98	...	167.36	Option sale ²⁶
Security total:		1,423.68		1,257.64	1.32 W	167.36	
NOVAVAX INC NVAX Aug 13 2021	260.0	Call / CUSIP: / Symbol: NVAX 08/13/21 C 260.000					
08/10/21	1.000	799.34	08/10/21	600.66	...	198.68	Option sale ²⁶
NOVAVAX INC NVAX Aug 13 2021	280.0	Call / CUSIP: / Symbol: NVAX 08/13/21 C 280.000					
08/11/21	1.000	174.34	08/10/21	280.66	...	-106.32	Option sale ²⁶

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NOVAVAX INC NVAX Aug 20 2021 300.0 Call / CUSIP: / Symbol: NVAX 08/20/21 C 300.000							
08/20/21	1.000	0.00	08/11/21	430.66	...	-430.66	Option expiration
NVIDIA CORP NVDA Sep 03 2021 232.5 Call / CUSIP: / Symbol: NVDA 09/03/21 C 232.500							
08/27/21	1.000	164.34	08/27/21	170.66	...	-6.32	Option sale ²⁶
OKTA INC OKTA Feb 05 2021 275.0 Call / CUSIP: / Symbol: OKTA 02/05/21 C 275.000							
02/02/21	1.000	449.33	02/02/21	380.66	...	68.67	Option sale ²⁶
OVERSTOCK COM INC DEL OSTK Feb 12 2021 88.5 Call / CUSIP: / Symbol: OSTK 02/12/21 C 88.500							
02/04/21	1.000	649.33	02/04/21	455.66	...	193.67	Option sale ²⁶
OVERSTOCK COM INC DEL OSTK Feb 12 2021 100.0 Call / CUSIP: / Symbol: OSTK 02/12/21 C 100.000							
02/08/21	1.000	629.33	02/08/21	530.66	...	98.67	Option sale ²⁶
OVERSTOCK COM INC DEL OSTK Feb 19 2021 105.0 Call / CUSIP: / Symbol: OSTK 02/19/21 C 105.000							
02/11/21	1.000	689.32	02/11/21	600.66	...	88.66	Option sale ²⁶
OVERSTOCK COM INC DEL OSTK Feb 19 2021 110.0 Call / CUSIP: / Symbol: OSTK 02/19/21 C 110.000							
02/09/21	1.000	689.32	02/09/21	580.66	...	108.66	Option sale ²⁶
OVERSTOCK COM INC DEL OSTK Mar 19 2021 105.0 Call / CUSIP: / Symbol: OSTK 03/19/21 C 105.000							
01/26/21	1.000	1,019.32	01/26/21	820.66	...	198.66	Option sale ²⁶
PALO ALTO NETWORKS INC PANW Nov 12 2021 530.0 Call / CUSIP: / Symbol: PANW 11/12/21 C 530.000							
11/12/21	1.000	4.34	11/09/21	400.66	...	-396.32	Option sale ²⁶
PENN NATIONAL GAMING INC PENN Sep 03 2021 80.0 Call / CUSIP: / Symbol: PENN 09/03/21 C 80.000							
08/25/21	1.000	274.34	08/25/21	230.66	...	43.68	Option sale ²⁶
PINTEREST INC PINS Feb 05 2021 85.0 Call / CUSIP: / Symbol: PINS 02/05/21 C 85.000							
02/05/21	1.000	32.34	02/05/21	70.66	...	-38.32	Option sale ²⁶
PAYPAL HOLDINGS INC PYPL Sep 17 2021 300.0 Call / CUSIP: / Symbol: PYPL 09/17/21 C 300.000							
09/17/21	1.000	0.00	09/08/21	225.66	...	-225.66	Option expiration

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NAKED BRAND GROUP LTD 1:15 R/S 12/22/21 Q6519V120 / CUSIP: Q6519T117 / Symbol:							
01/27/21	500.000	360.93	01/27/21	270.00	...	90.93	Sale ²⁶
01/28/21	500.000	1,250.43	Various	270.00	...	980.43	Total of 3 transactions
02/11/21	500.000	1,009.92	02/11/21	1,000.00	...	9.92	Sale ²⁶
	Security total:	2,621.28		1,540.00	...	1,081.28	
CENNTRO ELECTRIC GROUP LTD COM / CUSIP: Q6519V120 / Symbol: CENN							
12/22/21	66.000	401.53	02/11/21	1,941.04	...	-1,539.51	Sale ²⁶
QUIDEL CORP QDEL Mar 19 2021 200.0 Call / CUSIP: / Symbol: QDEL 03/19/21 C 200.000							
03/19/21	1.000	0.00	02/19/21	900.66	...	-900.66	Option expiration
QUANTUMSCAPE CORP QS Feb 19 2021 65.0 Call / CUSIP: / Symbol: QS 02/19/21 C 65.000							
02/19/21	1.000	46.34	02/19/21	0.00	...	46.34	Option expiration short position
RH RH Aug 13 2021 697.5 Call / CUSIP: / Symbol: RH 08/13/21 C 697.500							
08/09/21	1.000	1,179.33	08/09/21	1,180.66	...	-1.33	Option sale ²⁶
RH RH Aug 13 2021 750.0 Call / CUSIP: / Symbol: RH 08/13/21 C 750.000							
08/12/21	1.000	207.34	08/12/21	100.66	...	106.68	Option sale ²⁶
REALOGY HLDGS CORP RLG Y Mar 19 2021 17.5 Call / CUSIP: / Symbol: RLG Y 03/19/21 C 17.500							
02/22/21	1.000	189.34	02/02/21	123.66	...	65.68	Option sale ²⁶
ROKU INC ROKU Sep 24 2021 337.5 Call / CUSIP: / Symbol: ROKU 09/24/21 C 337.500							
09/23/21	1.000	499.34	09/21/21	300.66	...	198.68	Option sale ²⁶
ROOT INC ROOT Jul 16 2021 12.5 Call / CUSIP: / Symbol: ROOT 07/16/21 C 12.500							
07/16/21	2.000	0.00	06/29/21	131.33	...	-131.33	Option expiration
CASSAVA SCIENCES INC SAVA Feb 19 2021 25.0 Put / CUSIP: / Symbol: SAVA 02/19/21 P 25.000							
02/19/21	2.000	0.00	02/03/21	141.33	...	-141.33	Option expiration
CASSAVA SCIENCES INC SAVA Aug 13 2021 115.0 Call / CUSIP: / Symbol: SAVA 08/13/21 C 115.000							
08/11/21	1.000	199.34	08/10/21	260.66	...	-61.32	Option sale ²⁶
CASSAVA SCIENCES INC SAVA Aug 20 2021 130.0 Call / CUSIP: / Symbol: SAVA 08/20/21 C 130.000							
08/10/21	1.000	349.34	08/10/21	350.66	...	-1.32	Option sale ²⁶

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SEA LIMITED SE Aug 06 2021 307.5 Call / CUSIP: / Symbol: SE 08/06/21 C 307.500							
08/05/21	1.000	199.34	08/05/21	300.66	...	-101.32	Option sale ²⁶
SEA LIMITED SE Aug 13 2021 315.0 Call / CUSIP: / Symbol: SE 08/13/21 C 315.000							
08/09/21	1.000	374.34	08/09/21	240.66	...	133.68	Option sale ²⁶
SOLAREEDGE TECHNOLOGIES INC SEDG Mar 19 2021 320.0 Call / CUSIP: / Symbol: SEDG 03/19/21 C 320.000							
02/10/21	1.000	4,445.24	02/08/21	3,083.66	...	1,361.58	Option sale ²⁶
SOLAREEDGE TECHNOLOGIES INC SEDG Mar 19 2021 330.0 Call / CUSIP: / Symbol: SEDG 03/19/21 C 330.000							
02/11/21	1.000	-1,248.38	02/10/21	0.00	...	-1,248.38	Short sale closed- option ²⁶
SHOPIFY INC SHOP Aug 27 2021 1655.0 Call / CUSIP: / Symbol: SHOP 08/27/21 C 1655.000							
08/26/21	1.000	59.34	08/25/21	150.66	...	-91.32	Option sale ²⁶
SHOPIFY INC SHOP Sep 03 2021 1580.0 Call / CUSIP: / Symbol: SHOP 09/03/21 C 1580.000							
09/03/21	1.000	159.34	09/03/21	270.66	...	-111.32	Option sale ²⁶
SHOPIFY INC SHOP Oct 29 2021 1500.0 Call / CUSIP: / Symbol: SHOP 10/29/21 C 1500.000							
10/28/21	1.000	499.34	10/28/21	750.66	...	-251.32	Option sale ²⁶
SNAP INC SNAP Aug 13 2021 80.0 Call / CUSIP: / Symbol: SNAP 08/13/21 C 80.000							
08/13/21	1.000	0.00	08/10/21	165.66	...	-165.66	Option expiration
SNOWFLAKE INC SNOW Aug 27 2021 310.0 Call / CUSIP: / Symbol: SNOW 08/27/21 C 310.000							
08/26/21	1.000	164.34	08/26/21	120.66	...	43.68	Option sale ²⁶
SNOWFLAKE INC SNOW Sep 03 2021 310.0 Call / CUSIP: / Symbol: SNOW 09/03/21 C 310.000							
09/02/21	1.000	183.34	09/01/21	285.66	...	-102.32	Option sale ²⁶
SOFI TECHNOLOGIES INC SOFI Jul 16 2021 20.0 Call / CUSIP: / Symbol: SOFI 07/16/21 C 20.000							
07/16/21	1.000	0.00	06/29/21	157.66	...	-157.66	Option expiration
ELECTRAMECCANICA VEHS CORP SOLO Jan 20 2023 7.5 Call / CUSIP: / Symbol: SOLO 01/20/23 C 7.500							
02/04/21	2.000	1,278.64	01/15/21	1,041.33	...	237.31	Option sale ²⁶
12/21/21	5.000	191.69	Various	1,803.32	...	-1,611.63	Option sale ²⁶
Security total:		1,470.33		2,844.65	...	-1,374.32	

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SOS LIMITED SOS Jan 20 2023 3.5 Call / CUSIP: / Symbol: SOS 01/20/23 C 3.500							
12/21/21	1.000	0.34	08/09/21	167.66	...	-167.32	Option sale ²⁶
SPDR S&P500 ETF TRUST SPY Jun 11 2021 423.0 Call / CUSIP: / Symbol: SPY 06/11/21 C 423.000							
06/10/21	1.000	151.34	06/10/21	88.66	...	62.68	Option sale ²⁶
SPDR S&P500 ETF TRUST SPY Aug 18 2021 445.0 Call / CUSIP: / Symbol: SPY 08/18/21 C 445.000							
08/17/21	1.000	52.34	08/17/21	120.66	...	-68.32	Option sale ²⁶
SQUARE INC SQ Feb 05 2021 235.0 Call / CUSIP: / Symbol: SQ 02/05/21 C 235.000							
02/02/21	1.000	294.33	01/29/21	299.66	...	-5.33	Option sale ²⁶
TELLURIAN INC TELL Jan 20 2023 7.0 Call / CUSIP: / Symbol: TELL 01/20/23 C 7.000							
06/01/21	2.000	398.67	03/02/21	281.33	...	117.34	Option sale ²⁶
TG THERAPEUTICS INC TGTX Feb 19 2021 60.0 Call / CUSIP: / Symbol: TGTX 02/19/21 C 60.000							
02/19/21	1.000	46.34	02/19/21	0.00	...	46.34	Option expiration short position
TILRAY INC TLRY Feb 19 2021 20.0 Call / CUSIP: / Symbol: TLRY 02/19/21 C 20.000							
02/03/21	1.000	724.32	01/28/21	300.66	...	423.66	Option sale ²⁶
TRITERRAS INC TRIT Jan 21 2022 7.5 Call / CUSIP: / Symbol: TRIT 01/21/22 C 7.500							
12/21/21	5.000	21.69	01/29/21	1,653.32	...	-1,631.63	Option sale ²⁶
TESLA INC TSLA Jan 15 2021 950.0 Call / CUSIP: / Symbol: TSLA 01/15/21 C 950.000							
01/12/21	2.000	1,263.65	Various	1,081.32	...	182.33	Total of 2 transactions
01/15/21	1.000	0.00	01/13/21	100.66	...	-100.66	Option expiration
	Security total:	1,263.65		1,181.98	...	81.67	
TESLA INC TSLA Jan 29 2021 850.0 Call / CUSIP: / Symbol: TSLA 01/29/21 C 850.000							
01/29/21	1.000	0.00	01/29/21	190.66	...	-190.66	Option expiration
TESLA INC TSLA Aug 27 2021 735.0 Call / CUSIP: / Symbol: TSLA 08/27/21 C 735.000							
08/25/21	1.000	157.34	08/25/21	155.66	...	1.68	Option sale ²⁶
08/27/21	1.000	0.00	08/25/21	121.66	...	-121.66	Option expiration
	Security total:	157.34		277.32	...	-119.98	

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TESLA INC TSLA Aug 27 2021 745.0 Call / CUSIP: / Symbol: TSLA 08/27/21 C 745.000							
08/24/21	1.000	189.34	08/24/21	90.66	...	98.68	Option sale ²⁶
08/25/21	1.000	54.34	08/23/21	165.66	...	-111.32	Option sale ²⁶
	Security total:	243.68		256.32	...	-12.64	
TESLA INC TSLA Sep 03 2021 760.0 Call / CUSIP: / Symbol: TSLA 09/03/21 C 760.000							
08/31/21	1.000	249.34	08/30/21	210.66	...	38.68	Option sale ²⁶
TESLA INC TSLA Oct 01 2021 830.0 Call / CUSIP: / Symbol: TSLA 10/01/21 C 830.000							
09/27/21	1.000	369.34	09/27/21	350.66	...	18.68	Option sale ²⁶
TESLA INC TSLA Oct 22 2021 885.0 Call / CUSIP: / Symbol: TSLA 10/22/21 C 885.000							
10/21/21	1.000	1,729.33	10/21/21	885.98	...	843.35	Option sale ²⁶
10/21/21	1.000	844.34	10/21/21	870.66	26.32 W	0.00	Option sale ²⁶
	Security total:	2,573.67		1,756.64	26.32 W	843.35	
TESLA INC TSLA Oct 29 2021 1150.0 Call / CUSIP: / Symbol: TSLA 10/29/21 C 1150.000							
10/26/21	1.000	1,399.33	10/26/21	495.66	...	903.67	Option sale ²⁶
TESLA INC TSLA Oct 29 2021 1160.0 Call / CUSIP: / Symbol: TSLA 10/29/21 C 1160.000							
10/27/21	1.000	299.34	10/27/21	200.66	...	98.68	Option sale ²⁶
10/28/21	1.000	202.34	10/27/21	590.66	...	-388.32	Option sale ²⁶
	Security total:	501.68		791.32	...	-289.64	
TESLA INC TSLA Oct 29 2021 1170.0 Call / CUSIP: / Symbol: TSLA 10/29/21 C 1170.000							
10/29/21	1.000	0.00	10/28/21	100.66	...	-100.66	Option expiration
TESLA INC TSLA Nov 05 2021 1300.0 Call / CUSIP: / Symbol: TSLA 11/05/21 C 1300.000							
11/02/21	1.000	714.34	11/02/21	615.66	...	98.68	Option sale ²⁶
TESLA INC TSLA Nov 12 2021 1250.0 Call / CUSIP: / Symbol: TSLA 11/12/21 C 1250.000							
11/12/21	3.000	25.01	Various	541.98	...	-516.97	Option sale ²⁶
TESLA INC TSLA Nov 26 2021 1255.0 Call / CUSIP: / Symbol: TSLA 11/26/21 C 1255.000							
11/23/21	2.000	234.68	11/23/21	931.32	...	-696.64	Option sale ²⁶
TWILIO INC TWLO Sep 03 2021 390.0 Call / CUSIP: / Symbol: TWLO 09/03/21 C 390.000							
09/03/21	1.000	0.00	08/30/21	120.66	...	-120.66	Option expiration

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UPSTART HLDGS INC UPST Nov 05 2021 380.0 Call / CUSIP: / Symbol: UPST 11/05/21 C 380.000							
11/05/21	1.000	0.00	11/02/21	300.66	...	-300.66	Option expiration
UPSTART HLDGS INC UPST Nov 12 2021 270.0 Call / CUSIP: / Symbol: UPST 11/12/21 C 270.000							
11/10/21	2.000	848.68	Various	851.32	...	-2.64	Total of 2 transactions
UWM HLDGS CORP UWMC Dec 17 2021 12.5 Call / CUSIP: / Symbol: UWMC 12/17/21 C 12.500							
12/17/21	75.000	0.00	Various	5,659.66	...	-5,659.66	Option expiration
ROYAL CARIBBEAN GROUP COM / CUSIP: V7780T103 / Symbol: RCL							
02/22/21	50.000	4,232.40	02/22/21	4,051.75	...	180.65	Sale ²⁶
WAYFAIR INC W Feb 05 2021 300.0 Call / CUSIP: / Symbol: W 02/05/21 C 300.000							
02/03/21	1.000	234.33	02/03/21	300.66	...	-66.33	Option sale ²⁶
WAYFAIR INC W Feb 19 2021 285.0 Call / CUSIP: / Symbol: W 02/19/21 C 285.000							
02/18/21	1.000	50.34	02/16/21	460.66	...	-410.32	Option sale ²⁶
WAYFAIR INC W Feb 19 2021 312.5 Call / CUSIP: / Symbol: W 02/19/21 C 312.500							
02/11/21	1.000	729.32	02/11/21	630.66	...	98.66	Option sale ²⁶
WAYFAIR INC W Mar 19 2021 290.0 Call / CUSIP: / Symbol: W 03/19/21 C 290.000							
02/11/21	1.000	3,524.26	02/08/21	2,475.66	...	1,048.60	Option sale ²⁶
WAYFAIR INC W Mar 19 2021 300.0 Call / CUSIP: / Symbol: W 03/19/21 C 300.000							
02/12/21	1.000	-951.37	02/11/21	0.00	...	-951.37	Short sale closed- option ²⁶
CONTEXTLOGIC INC WISH Jun 18 2021 12.5 Call / CUSIP: / Symbol: WISH 06/18/21 C 12.500							
06/18/21	1.000	0.00	06/09/21	150.66	...	-150.66	Option expiration
CONTEXTLOGIC INC WISH Jul 16 2021 22.5 Call / CUSIP: / Symbol: WISH 07/16/21 C 22.500							
01/14/21	2.000	1,689.64	Various	1,421.33	...	268.31	Total of 2 transactions
CONTEXTLOGIC INC WISH Jan 21 2022 12.5 Call / CUSIP: / Symbol: WISH 01/21/22 C 12.500							
12/21/21	1.000	0.34	08/13/21	102.66	...	-102.32	Option sale ²⁶
WORKHORSE GROUP INC WKHS Jul 16 2021 20.0 Call / CUSIP: / Symbol: WKHS 07/16/21 C 20.000							
07/16/21	1.000	0.00	06/28/21	157.66	...	-157.66	Option expiration

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP INC WKHS Jan 21 2022 20.0 Call / CUSIP: / Symbol: WKHS 01/21/22 C 20.000							
01/05/21	1.000	1,070.32	12/29/20	930.67	...	139.65	Option sale ²⁶
01/06/21	1.000	1,130.32	12/29/20	930.66	...	199.66	Option sale ²⁶
	Security total:	2,200.64		1,861.33	...	339.31	
WALMART INC WMT Mar 19 2021 145.0 Call / CUSIP: / Symbol: WMT 03/19/21 C 145.000							
02/08/21	4.000	2,013.30	02/05/21	1,774.66	...	238.64	Option sale ²⁶
WALMART INC WMT Mar 19 2021 150.0 Call / CUSIP: / Symbol: WMT 03/19/21 C 150.000							
02/09/21	4.000	-161.35	02/08/21	0.00	...	-161.35	Short sale closed- option ²⁶
UNITED STATES STEEL CORP X Aug 20 2021 25.0 Call / CUSIP: / Symbol: X 08/20/21 C 25.000							
08/10/21	1.000	315.34	06/24/21	215.66	...	99.68	Option sale ²⁶
CASTOR MARITIME INC 1:10 R/S 5/28/21 Y1146L125 / CUSIP: Y1146L109 / Symbol:							
02/10/21	500.000	609.93	01/27/21	362.00	...	247.93	Sale ²⁶
MAXEON SOLAR TECH LTD COM / CUSIP: Y58473102 / Symbol: MAXN							
06/11/21	100.000	1,952.62	06/11/21	1,910.00	...	42.62	Sale ²⁶
SEANERGY MARI HLDG COM / CUSIP: Y73760194 / Symbol: SHIP							
02/10/21	500.000	674.93	01/29/21	619.95	...	54.98	Sale ²⁶
ZOOM VIDEO COMMUNICATIONS INC ZM Jan 15 2021 405.0 Call / CUSIP: / Symbol: ZM 01/15/21 C 405.000							
01/15/21	1.000	334.33	01/15/21	235.66	...	98.67	Option sale ²⁶
ZOOM VIDEO COMMUNICATIONS INC ZM Feb 05 2021 412.5 Call / CUSIP: / Symbol: ZM 02/05/21 C 412.500							
02/05/21	1.000	449.33	02/05/21	240.66	...	208.67	Option sale ²⁶
ZOOM VIDEO COMMUNICATIONS INC ZM Feb 12 2021 450.0 Call / CUSIP: / Symbol: ZM 02/12/21 C 450.000							
02/10/21	1.000	579.33	02/10/21	420.66	...	158.67	Option sale ²⁶
02/10/21	2.000	868.66	Various	1,108.65	239.99 W	0.00	Total of 2 transactions
02/11/21	1.000	359.33	02/11/21	561.32	...	-201.99	Option sale ²⁶
	Security total:	1,807.32		2,090.63	239.99 W	-43.32	
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 19 2021 250.0 Call / CUSIP: / Symbol: ZM 11/19/21 C 250.000							
11/12/21	1.000	619.34	11/12/21	570.66	...	48.68	Option sale ²⁶
Totals :		1,492,613.42		1,498,203.47	7,485.91 W	1,895.86	

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALLIANCE RESOURCE PARTNERS LP SBI / CUSIP: 01877R108 / Symbol: ARLP							
02/23/21	120.000	741.57	02/17/21	760.12	...	-18.55	Sale ²⁶
EVOLVE TRANSITION INFRASTRUCTU COM UNITS REP CL B LTD LIAB / CUSIP: 30053M104 / Symbol: SNMP							
07/21/21	2,000.000	3,199.74	07/21/21	2,960.00	...	239.74	Sale ²⁶
GRAYSCALE LITECOIN TR LTC COM / CUSIP: 38963W104 / Symbol: LTCN							
11/09/21	100.000	2,134.03	Various	1,883.90	...	250.13	Sale ²⁶
PROSHARES TRUST II 1:10 R/S 5/26/21 74347Y839 / CUSIP: 74347W148 / Symbol:							
01/29/21	50.000	729.97	11/13/20	650.00	...	79.97	Sale ²⁶
PROSHARES TRUST II ULTRA VIX SHT TRM FUTR ETF / CUSIP: 74347Y839 / Symbol: UVXY							
12/21/21	5.000	78.95	02/03/21	545.00	...	-466.05	Sale ²⁶
Totals :		6,884.26		6,799.02		85.24	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
12/27/21	100.000	636.49	11/30/20	2,050.98	...	-1,414.49	Sale ²⁶
LI AUTO INC ADR / CUSIP: 50202M102 / Symbol: LI							
12/01/21	50.000	1,756.73	11/27/20	2,022.02	...	-265.29	Sale ²⁶
VELODYNE LIDAR INC COM / CUSIP: 92259F101 / Symbol: VLDR							
12/21/21	100.000	512.15	12/23/20	2,557.11	...	-2,044.96	Sale ²⁶
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
12/02/21	30.000	1,576.86	12/01/20	1,665.00	...	-88.14	Sale ²⁶

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TD Ameritrade Clearing, Inc.

Account 455335189

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/17/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KAIXIN AUTO HOLDINGS COM / CUSIP: G5223X100 / Symbol: KXIN							
12/27/21	200.000	260.88	12/01/20	1,668.25	...	-1,407.37	Sale ²⁶
Totals :		4,743.11		9,963.36		-5,220.25	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GRAYSCALE LITECOIN TR LTC COM / CUSIP: 38963W104 / Symbol: LTCN							
09/30/21	0.000	2.85	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.002057006
10/21/21	0.000	1.86	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.001028340
10/31/21	0.000	1.91	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.001096566
	Security total:	6.62		
Totals :		6.62		

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TD Ameritrade Clearing, Inc.

Account 455335189

Detail for Dividends and Distributions

2021

02/17/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		02/11/21	6.15	Qualified dividend	
			05/13/21	6.61	Qualified dividend	
				12.76	Total Dividends & distributions	
EDISON INTERNATIONAL COM	281020107 EIX		10/31/21	0.66	Qualified dividend	
MOMO INC N/C 8/3/21 423403104	60879B107		04/30/21	64.00	Qualified dividend	
PFIZER INC COM	717081103 PFE		03/05/21	39.00	Qualified dividend	
			06/04/21	19.94	Qualified dividend	
				58.94	Total Dividends & distributions	
UWM HLDGS CORP COM CL A	91823B109 UWMC		04/06/21	5.00	Qualified dividend	
			10/06/21	5.13	Qualified dividend	
				10.13	Total Dividends & distributions	
WASHINGTON FEDERAL INC COM	938824109 WAFD		02/19/21	11.50	Qualified dividend	
				157.99	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

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Section 1256 Contracts - Regulated Futures and Nonequity Options

2021

02/17/2022

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PLAINS ALL AMER PIPELINE LP/PA PAA Jan 21	PAA 01/21/22 C 10.000	02/26/21	10.00	111.69	Realized profit/loss	
		02/26/21	10.00	-328.32	Unrealized profit/loss prior year	
				111.69	Total Realized profit/loss	
				-328.32	Total Unrealized profit/loss prior year	
				111.69	Total Realized profit/loss	
				-328.32	Total Unrealized profit/loss prior year	
				440.01	Total Aggregate profit or (loss) on contracts	

TD Ameritrade Clearing, Inc.

Account 455335189

Detail for Miscellaneous Information

2021

02/17/2022

This section of your tax information statement contains various payments and proceeds you received during the year from rents, royalties, and certain other income types. We also call your attention to the varying thresholds for reporting on Form 1099-MISC. In general, if there is an amount of \$10 or more associated with boxes 2 or 8, it is reportable. All other box amounts must be reported if they are \$600 or more. Thus, it is possible to have a \$12 item reported in Box 2 for Royalties, but an amount of \$500 of Other Income not reported in Box 3., although each item is taxable income to you.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Substitute Payment Gross Up		07/06/21	1.37	Other income	
UWM HLDGS CORP COM CL A	91823B109 UWMC	07/06/21	5.07	Substitute payment	
			6.44	Total Miscellaneous income	

TD Ameritrade Clearing, Inc.

Account 455335189

Fees and Expenses

2021

02/17/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
REORG FEE 00430K402		08/31/21	-38.00	Miscellaneous fee	
REORG FEE 03211Q101		02/17/21	-38.00	Miscellaneous fee	
REORG FEE 47010C409		09/08/21	-38.00	Miscellaneous fee	
REORG FEE 68232V405		05/21/21	-38.00	Miscellaneous fee	
REORG FEE 74347W148		05/26/21	-38.00	Miscellaneous fee	
REORG FEE 828663500		07/16/21	-38.00	Miscellaneous fee	
REORG FEE Q6519T117		12/22/21	-38.00	Miscellaneous fee	
Interest Charged		01/29/21	-352.33	Margin interest paid	
		02/26/21	-320.18	Margin interest paid	
		03/31/21	-227.76	Margin interest paid	
		04/30/21	-223.39	Margin interest paid	
		05/28/21	-266.48	Margin interest paid	
		06/30/21	-256.96	Margin interest paid	
		07/30/21	-262.19	Margin interest paid	
		08/31/21	-274.20	Margin interest paid	
		09/30/21	-247.97	Margin interest paid	
		10/29/21	-196.36	Margin interest paid	
		11/30/21	-213.69	Margin interest paid	
		12/31/21	-181.75	Margin interest paid	
			-3,023.26	Total Margin interest paid	
EHANG HOLDINGS LIMITED ADR	26853E102 EH	12/08/21	-1.74	Depository receipt fee	
GRAYSCALE LITECOIN TR LTC COM	38963W104 LTCN	09/30/21	-2.85	Gross proceeds investment expense	15
		10/21/21	-1.86	Gross proceeds investment expense	15
		10/31/21	-1.91	Gross proceeds investment expense	15
			-6.62	Total Gross proceeds invstmnt exp	
HELLO GROUP INC ADR	423403104 MOMO	11/09/21	-2.08	Depository receipt fee	

TD Ameritrade Clearing, Inc.

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Fees and Expenses

2021

(continued)

02/17/2022

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
JUMIA TECHNOLOGIES AG ADR SPONSORED	48138M105 JMIA	10/08/21	-3.00	Depository receipt fee	
LI AUTO INC ADR	50202M102 LI	01/13/21	-1.00	Depository receipt fee	
		10/08/21	-1.00	Depository receipt fee	
			-2.00	Total Depository receipt fee	
MOMO INC N/C 8/3/21 423403104	60879B107	04/30/21	-2.00	Depository receipt fee	
NIO INC ADR	62914V106 NIO	06/09/21	-2.00	Depository receipt fee	
XPENG INC ADR	98422D105 XPEV	09/09/21	-3.00	Depository receipt fee	
			-15.82	Total Depository receipt fee	
			-266.00	Total Miscellaneous fee	
			-3,023.26	Total Margin interest paid	
			-6.62	Total Gross proceeds investmnt exp	

TD Ameritrade Clearing, Inc.

Account 455335189

Foreign Income and Taxes Summary

2021

02/17/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CJ	MOMO INC N/C 8/3/21 423403104	60879B107	0.00	64.00		0.00	64.00	0.00
Total CAYMAN ISLANDS			0.00	64.00		0.00	64.00	0.00
Grand Total	Total Foreign Source Income*			64.00				
	Qualified Foreign Source Income**			64.00				
	Foreign Tax					0.00		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 26 Tax lot closed is a specified lot.
-

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year.

Include this amount on your 2021 Form 6781.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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