

APEX CLEARING
ONE DALLAS CENTER
350 NORTH ST PAUL SUITE 1300
DALLAS, TX 75201

VENKATA SUBBA REDDY KAKUMANI
2561 CORNELIA RD
HERNDON, VA 20171-4448

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
917-725-2448

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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APEX CLEARING ONE DALLAS CENTER 350 NORTH ST PAUL SUITE 1300 DALLAS, TX 75201 Customer Service: 214-765-1009 PAYER'S Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (917) 725-2448	Account 5JN17476	Form 1099 Composite	2021
	VENKATA SUBBA REDDY KAKUMANI 2561 CORNELIA RD HERNDON, VA 20171-4448 RECIPIENT'S ID No: XXX-XX-8601	Statement Date: 01/31/2022	

FATCA filing requirement []

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS	2021 Form 1099-DIV*	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b, 2e)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d, 2f)	0.00	
2b- Unrecaptured Section 1250 gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 Ordinary Dividends	0.00	
2f- Section 897 Capital Gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign tax Paid	0.00	
8- Foreign country or US Possession		
9- Cash Liquidation Distributions	0.00	
10- Noncash Liquidation Distribution	0.00	
11- Exempt-Interest Dividends	0.00	
12- Specified Private Activity Bond Interest Dividends	0.00	

MISCELLANEOUS INFORMATION	2021 Form 1099-MISC*	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	25.78	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

INTEREST INCOME	2021 Form 1099-INT*	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on U.S. Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt Bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2021 Form 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2021 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

APEX CLEARING

Tax Summary

2021

5JN17476

01/31/2022

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	230,420.63	242,673.38	0.00	17,254.30	5,001.55
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	230,420.63	242,673.38	0.00	17,254.30	5,001.55
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY*

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount – Covered Positions	0.00
Acquisition Premium – Covered Positions	0.00
Bond Premium – Covered Positions	0.00
Tax-Exempt OID – Covered Positions	0.00
Tax-Exempt OID – Specified Private Activity Bonds – Covered Positions	0.00
Investment Expenses	0.00

**Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the OID section of this document.*

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a “covered security” for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column “Additional Notes”, cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as “Box 2 – Ordinary.” In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as “Box 3 – Collectibles Box Checked.” Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as “Box 7 – Loss Disallowed Box Checked.” Disposition of a QOF investment is noted in the Additional Notes column as “Box 3 – QOF.”

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)		Gain or Loss(-)	Additional Notes
CALL QQQ 10/29/21 385 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211029C00385000								
10/27/2021	1.00	70.94	10/26/2021	121.13	0.00		-50.19	Sell to Close
10/27/2021	2.00	153.90	10/26/2021	256.08	102.18	D	0.00	Sell to Close
10/27/2021	4.00	283.81	Various	515.26	0.00		-231.45	Sell to Close
Security Totals:		508.65		892.47			-281.64	
PUT NFLX 09/03/21 580 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210903P00580000								
09/03/2021	1.00	94.93	09/03/2021	100.05	0.00		-5.12	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NFLX 08/27/21 550 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210827C00550000							
08/20/2021	1.00	654.93	08/20/2021	629.05	0.00	25.88	Sell to Close
CALL COIN 09/03/21 300 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210903C00300000							
09/03/2021	2.00	0.00	09/02/2021	20.10	0.00	-20.10	Expiration
CALL AAPL 09/24/21 148 APPLE INC CUSIP: Symbol(Box 1a): AAPL210924C00148000							
09/20/2021	1.00	57.93	09/17/2021	143.05	0.00	-85.12	Sell to Close
LUCID GROUP INC COMMON STOCK CUSIP: 549498103 Symbol(Box 1a): LCID							
12/29/2021	10.00	368.98	12/29/2021	366.60	0.00	2.38	Sale
CALL MA 12/31/21 365 MASTERCARD INC CUSIP: Symbol(Box 1a): MA211231C00365000							
11/24/2021	1.00	379.96	11/23/2021	275.02	0.00	104.94	Sell to Close
CALL NVDA 08/06/21 200.63 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00200630							
08/04/2021	1.00	270.93	08/04/2021	256.05	0.00	14.88	Sell to Close
CALL ARKK 12/31/21 120 ARK INNOVATION ETF CUSIP: Symbol(Box 1a): ARKK211231C00120000							
12/03/2021	1.00	31.96	12/03/2021	35.02	0.00	-3.06	Sell to Close
CALL AAPL 09/24/21 150 APPLE INC CUSIP: Symbol(Box 1a): AAPL210924C00150000							
09/17/2021	3.00	149.82	Various	270.15	0.00	-120.33	Sell to Close
CALL PLTR 08/27/21 25 PALANTIR TECHNOLOGIES INC CL A CUSIP: Symbol(Box 1a): PLTR210827C00025000							
08/26/2021	5.00	139.71	08/25/2021	105.27	0.00	34.44	Sell to Close
CALL AAPL 08/20/21 147 APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00147000							
08/16/2021	2.00	789.87	08/16/2021	362.11	0.00	427.76	Sell to Close
RIOT BLOCKCHAIN INC COMMON STOCK CUSIP: 767292105 Symbol(Box 1a): RIOT							
07/26/2021	150.00	5,021.95	Various	4,997.50	0.05 D	24.50	Sale

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/23/2021	5.00	176.28	08/23/2021	182.80	0.00	-6.52	Sale
Security Totals:		5,198.23		5,180.30		17.98	
CALL AAPL 08/20/21 146	APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00146000						
08/11/2021	10.00	1,959.44	Various	1,670.54	0.00	288.90	Sell to Close
CALL ZS 09/17/21 330	ZSCALER INC CUSIP: Symbol(Box 1a): ZS210917C00330000						
09/13/2021	1.00	2.93	09/10/2021	24.05	0.00	-21.12	Sell to Close
CALL AAPL 08/20/21 149	APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00149000						
08/13/2021	1.00	150.93	08/13/2021	130.05	0.00	20.88	Sell to Close
CALL SQ 08/06/21 280	SQUARE INC CUSIP: Symbol(Box 1a): SQ210806C00280000						
08/02/2021	1.00	529.93	08/02/2021	510.05	0.00	19.88	Sell to Close
CALL WMT 10/01/21 144	WALMART INC CUSIP: Symbol(Box 1a): WMT211001C00144000						
09/28/2021	1.00	18.93	09/27/2021	45.05	0.00	-26.12	Sell to Close
CALL QQQ 10/29/21 377	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211029C00377000						
10/19/2021	1.00	259.94	10/19/2021	258.04	0.00	1.90	Sell to Close
CALL AAPL 08/20/21 148	APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00148000						
08/18/2021	2.00	279.87	Various	436.10	0.00	-156.23	Sell to Close
CALL QQQ 12/06/21 390	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211206C00390000						
12/03/2021	1.00	59.96	12/03/2021	162.02	0.00	-102.06	Sell to Close
CALL PINS 09/03/21 55	PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210903C00055000						
08/23/2021	5.00	759.71	08/23/2021	650.27	0.00	109.44	Sell to Close
BLOCK INC	CLASS A COMMON STOCK CUSIP: 852234103 Symbol(Box 1a): SQ						
12/08/2021	1.00	192.80	12/08/2021	192.25	0.00	0.55	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
BLOCK INC CLASS A COMMON STOCK CUSIP: 852234103 Symbol(Box 1a): SQ							
12/16/2021	1.00	172.01	12/08/2021	194.00	0.00	-21.99	Sale
Security Totals:		364.81		386.25		-21.44	
SNAP INC CLASS A COMMON STOCK CUSIP: 83304A106 Symbol(Box 1a): SNAP							
10/07/2021	5.00	382.29	10/07/2021	373.15	0.00	9.14	Sale
10/22/2021	5.00	292.48	10/21/2021	288.30	0.00	4.18	Sale
10/26/2021	1.00	55.27	10/25/2021	55.19	0.00	0.08	Sale
11/30/2021	16.00	780.62	Various	838.78	0.00	-58.16	Sale
Security Totals:		1,510.66		1,555.42		-44.76	
CALL MSFT 09/24/21 305 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210924C00305000							
09/20/2021	1.00	44.93	09/17/2021	181.05	0.00	-136.12	Sell to Close
CALL QCOM 08/20/21 144 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210820C00144000							
08/18/2021	1.00	141.93	08/18/2021	116.05	0.00	25.88	Sell to Close
CALL SNAP 01/21/22 60 SNAP INC CUSIP: Symbol(Box 1a): SNAP220121C00060000							
11/23/2021	1.00	147.96	11/19/2021	167.02	19.06 D	0.00	Sell to Close
12/01/2021	2.00	161.94	Various	268.10	0.00	-106.16	Sell to Close
Security Totals:		309.90		435.12		-106.16	
CALL NFLX 09/03/21 555 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210903C00555000							
08/26/2021	1.00	429.93	08/25/2021	455.05	0.00	-25.12	Sell to Close
CALL CRWD 09/03/21 280 CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210903C00280000							
08/25/2021	2.00	2,011.86	Various	1,515.10	0.00	496.76	Sell to Close
CALL NIO 08/06/21 44 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210806C00044000							
07/30/2021	2.00	401.87	07/30/2021	396.11	0.00	5.76	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SQ 08/13/21 275 SQUARE INC CUSIP: Symbol(Box 1a): SQ210813C00275000							
08/13/2021	1.00	46.93	08/13/2021	40.05	0.00	6.88	Sell to Close
08/13/2021	2.00	73.87	Various	62.10	0.00	11.77	Sell to Close
Security Totals:		120.80		102.15		18.65	
CALL QQQ 12/17/21 400 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211217C00400000							
12/17/2021	3.00	0.00	Various	232.06	0.00	-232.06	Expiration
CALL WYNN 09/10/21 102 WYNN RESORTS LIMITED CUSIP: Symbol(Box 1a): WYNN210910C00102000							
09/03/2021	1.00	78.93	09/03/2021	103.05	0.00	-24.12	Sell to Close
CALL NVAX 08/13/21 222.50 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210813C00222500							
08/04/2021	1.00	1,874.93	08/04/2021	1,745.05	0.00	129.88	Sell to Close
CALL WYNN 09/10/21 101 WYNN RESORTS LIMITED CUSIP: Symbol(Box 1a): WYNN210910C00101000							
09/03/2021	1.00	124.93	09/03/2021	132.05	0.00	-7.12	Sell to Close
CALL BABA 08/27/21 175 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA210827C00175000							
08/24/2021	1.00	344.93	08/24/2021	305.05	0.00	39.88	Sell to Close
CALL AAPL 08/20/21 152.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL210820C00152500							
08/16/2021	1.00	77.93	08/16/2021	86.05	0.00	-8.12	Sell to Close
CALL AAPL 09/24/21 146 APPLE INC CUSIP: Symbol(Box 1a): AAPL210924C00146000							
09/22/2021	1.00	100.93	09/22/2021	88.05	0.00	12.88	Sell to Close
CALL AZN 10/01/21 60 ASTRAZENECA PLC CUSIP: Symbol(Box 1a): AZN211001C00060000							
09/28/2021	2.00	5.88	Various	50.10	0.00	-44.22	Sell to Close
CALL BABA 11/12/21 170 ALIBABA GROUP HOLDING LIMITED CUSIP: Symbol(Box 1a): BABA211112C00170000							
11/11/2021	1.00	149.96	11/11/2021	120.02	0.00	29.94	Sell to Close
PUT QQQ 10/29/21 384 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211029P00384000							
10/28/2021	1.00	201.94	10/28/2021	210.04	0.00	-8.10	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MSFT 08/20/21 295 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210820C00295000							
08/18/2021	1.00	97.93	08/16/2021	180.05	0.00	-82.12	Sell to Close
CALL AFRM 11/19/21 170 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211119C00170000							
11/11/2021	1.00	399.96	11/11/2021	380.02	0.00	19.94	Sell to Close
11/11/2021	1.00	499.96	11/11/2021	250.02	0.00	249.94	Sell to Close
Security Totals:		899.92		630.04		269.88	
CALL UBER 09/10/21 42 UBER TECHNOLOGIES INC CUSIP: Symbol(Box 1a): UBER210910C00042000							
09/07/2021	1.00	18.93	09/03/2021	31.05	0.00	-12.12	Sell to Close
CALL LMND 08/06/21 90 LEMONADE INC CUSIP: Symbol(Box 1a): LMND210806C00090000							
08/02/2021	2.00	833.87	Various	811.10	0.00	22.77	Sell to Close
CALL LULU 09/10/21 470 LULULEMON ATHLETICA INC CUSIP: Symbol(Box 1a): LULU210910C00470000							
09/09/2021	1.00	28.93	09/09/2021	100.05	0.00	-71.12	Sell to Close
CALL PINS 09/24/21 54 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210924C00054000							
09/21/2021	1.00	32.93	09/21/2021	25.05	0.00	7.88	Sell to Close
CALL MSFT 08/27/21 310 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210827C00310000							
08/23/2021	3.00	167.82	08/23/2021	348.16	0.00	-180.34	Sell to Close
CALL IWM 09/03/21 230 ISHARES RUSSELL 2000 ETF CUSIP: Symbol(Box 1a): IWM210903C00230000							
08/30/2021	1.00	42.93	08/30/2021	37.05	0.00	5.88	Sell to Close
CALL QCOM 07/30/21 148 QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM210730C00148000							
07/28/2021	10.00	929.42	Various	1,795.54	390.56 D	-475.56	Sell to Close
07/29/2021	1.00	219.93	07/27/2021	218.16	0.00	1.77	Sell to Close
07/29/2021	1.00	215.93	07/27/2021	218.17	0.00	-2.24	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
07/29/2021	3.00	599.82	07/27/2021	654.50	0.00	-54.68	Sell to Close
Security Totals:		1,965.10		2,886.37		-530.71	
ADVANCED MICRO DEVICES INC COM CUSIP: 007903107 Symbol(Box 1a): AMD							
11/17/2021	2.00	303.46	11/17/2021	301.78	0.00	1.68	Sale
CALL SNAP 07/23/21 63 SNAP INC CUSIP: Symbol(Box 1a): SNAP210723C00063000							
07/20/2021	16.00	5,279.06	Various	3,850.87	0.00	1,428.19	Sell to Close
CALL QQQ 11/05/21 385 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211105C00385000							
10/27/2021	2.00	417.90	Various	429.08	47.09 D	35.91	Sell to Close
10/27/2021	1.00	201.94	10/26/2021	207.13	0.00	-5.19	Sell to Close
Security Totals:		619.84		636.21		30.72	
CALL QQQ 10/15/21 361 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211015C00361000							
10/13/2021	1.00	168.94	10/13/2021	170.04	0.00	-1.10	Sell to Close
CALL CCJ 10/15/21 21.50 CAMECO CORP CUSIP: Symbol(Box 1a): CCJ211015C00021500							
09/30/2021	1.00	112.93	09/28/2021	95.05	0.00	17.88	Sell to Close
CALL QQQ 08/25/21 368 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210825C00368000							
08/19/2021	1.00	154.93	08/19/2021	143.05	0.00	11.88	Sell to Close
08/19/2021	20.00	2,638.88	Various	2,379.07	0.00	259.81	Sell to Close
Security Totals:		2,793.81		2,522.12		271.69	
CALL AMD 08/13/21 115 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00115000							
08/03/2021	2.00	755.87	08/03/2021	620.11	0.00	135.76	Sell to Close
08/05/2021	5.00	1,664.71	Various	1,658.27	92.23 D	98.67	Sell to Close
08/06/2021	2.00	273.87	08/06/2021	678.34	404.47 D	0.00	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/09/2021	2.00	287.87	08/06/2021	676.58	0.00	-388.71	Sell to Close
Security Totals:		2,982.32		3,633.30		-154.28	
CALL SPY 09/22/21 440			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210922C00440000				
09/21/2021	1.00	59.93	09/21/2021	46.05	0.00	13.88	Sell to Close
CALL AAPL 07/16/21 143			APPLE INC CUSIP: Symbol(Box 1a): AAPL210716C00143000				
07/14/2021	23.00	14,605.61	Various	5,339.26	0.00	9,266.35	Sell to Close
PUT AMD 12/17/21 120			ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211217P00120000				
12/14/2021	1.00	13.96	12/14/2021	16.02	2.06 D	0.00	Sell to Close
12/14/2021	1.00	26.96	12/13/2021	26.02	0.00	0.94	Sell to Close
12/15/2021	1.00	11.96	12/14/2021	30.08	0.00	-18.12	Sell to Close
Security Totals:		52.88		72.12		-17.18	
CALL SPY 10/18/21 448			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY211018C00448000				
10/15/2021	1.00	45.94	10/15/2021	41.04	0.00	4.90	Sell to Close
CALL NVDA 08/06/21 202.50			NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00202500				
08/04/2021	2.00	489.87	08/04/2021	474.11	0.00	15.76	Sell to Close
CALL FB 12/31/21 330			META PLATFORMS INC CL A CUSIP: Symbol(Box 1a): FB211231C00330000				
12/02/2021	1.00	579.96	12/02/2021	580.02	0.00	-0.06	Sell to Close
CALL SPY 10/25/21 450			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY211025C00450000				
10/18/2021	1.00	101.94	10/18/2021	56.04	0.00	45.90	Sell to Close
10/18/2021	4.00	463.81	10/18/2021	224.17	0.00	239.64	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
10/18/2021	5.00	559.77	10/15/2021	500.21	0.00	59.56	Sell to Close
Security Totals:		1,125.52		780.42		345.10	
CALL AMD 09/24/21 106			ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210924C00106000				
09/20/2021	1.00	46.93	09/15/2021	171.05	0.00	-124.12	Sell to Close
CALL GOOG 10/29/21 3200			ALPHABET INC CLASS C CUSIP: Symbol(Box 1a): GOOG211029C03200000				
10/27/2021	1.00	449.94	10/27/2021	380.04	0.00	69.90	Sell to Close
CALL MRNA 09/17/21 500			MODERNA INC CUSIP: Symbol(Box 1a): MRNA210917C00500000				
08/31/2021	1.00	113.93	08/27/2021	148.05	0.00	-34.12	Sell to Close
BEYOND MEAT INC			COMMON STOCK CUSIP: 08862E109 Symbol(Box 1a): BYND				
11/26/2021	1.00	75.98	11/17/2021	80.67	4.69 D	0.00	Sale
12/01/2021	1.00	67.94	11/23/2021	79.52	0.00	-11.58	Sale
Security Totals:		143.92		160.19		-11.58	
CALL QQQ 10/18/21 364			INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211018C00364000				
10/13/2021	4.00	391.81	10/12/2021	276.17	0.00	115.64	Sell to Close
10/13/2021	5.00	619.77	Various	405.21	0.00	214.56	Sell to Close
Security Totals:		1,011.58		681.38		330.20	
CALL AMD 09/24/21 111			ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210924C00111000				
09/10/2021	1.00	104.93	09/09/2021	163.05	58.12 D	0.00	Sell to Close
09/13/2021	1.00	66.93	09/10/2021	165.17	0.00	-98.24	Sell to Close
Security Totals:		171.86		328.22		-98.24	
MICROSOFT CORP CUSIP: 594918104 Symbol(Box 1a): MSFT							
12/07/2021	1.00	332.98	11/30/2021	337.39	0.00	-4.41	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL FB 09/03/21 385	FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210903C00385000						
09/01/2021	1.00	152.93	08/31/2021	234.05	0.00	-81.12	Sell to Close
CALL TTD 08/20/21 83.50	TRADE DESK INC CLASS A CUSIP: Symbol(Box 1a): TTD210820C00083500						
08/18/2021	18.00	179.00	Various	926.96	0.00	-747.96	Sell to Close
ROBINHOOD MARKETS INC	CLASS A COMMON STOCK CUSIP: 770700102 Symbol(Box 1a): HOOD						
10/14/2021	5.00	205.48	10/13/2021	202.50	0.00	2.98	Sale
11/17/2021	2.00	65.98	11/17/2021	65.79	0.00	0.19	Sale
Security Totals:		271.46		268.29		3.17	
CALL MRNA 08/27/21 400	MODERNA INC CUSIP: Symbol(Box 1a): MRNA210827C00400000						
08/27/2021	1.00	5.93	08/27/2021	34.05	0.00	-28.12	Sell to Close
CALL QQQ 10/22/21 374	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211022C00374000						
10/18/2021	1.00	99.94	10/15/2021	64.04	0.00	35.90	Sell to Close
CALL CCJ 10/08/21 24	CAMECO CORP CUSIP: Symbol(Box 1a): CCJ211008C00024000						
09/28/2021	2.00	41.88	Various	41.10	7.11 D	7.89	Sell to Close
09/30/2021	2.00	43.88	Various	33.22	0.00	10.66	Sell to Close
Security Totals:		85.76		74.32		18.55	
CALL PENN 09/24/21 74	PENN NATIONAL GAMING INC CUSIP: Symbol(Box 1a): PENN210924C00074000						
09/22/2021	1.00	108.93	09/21/2021	88.05	0.00	20.88	Sell to Close
CALL ARKK 11/12/21 125	ARK INNOVATION ETF CUSIP: Symbol(Box 1a): ARKK211112C00125000						
10/25/2021	1.00	162.94	10/25/2021	114.04	0.00	48.90	Sell to Close
CALL QCOM 10/29/21 137	QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM211029C00137000						
10/22/2021	5.00	214.77	10/21/2021	215.21	0.00	-0.44	Sell to Close
CALL AMD 08/06/21 114	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210806C00114000						
08/02/2021	1.00	82.93	08/02/2021	117.05	0.00	-34.12	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PATH 09/17/21	70	UIPATH INC CLASS A CUSIP: Symbol(Box 1a): PATH210917C00070000					
09/07/2021	1.00	154.93	09/07/2021	178.05	0.00	-23.12	Sell to Close
CALL PLUG 08/06/21	26	PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210806C00026000					
08/05/2021	1.00	85.93	08/05/2021	75.05	0.00	10.88	Sell to Close
CALL AAPL 07/30/21	147	APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00147000					
07/28/2021	10.00	571.42	07/22/2021	3,240.55	0.00	-2,669.13	Sell to Close
CALL AMD 08/06/21	107	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210806C00107000					
07/30/2021	2.00	477.87	Various	473.10	0.00	4.77	Sell to Close
CALL QCOM 10/29/21	135	QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM211029C00135000					
10/19/2021	15.00	1,244.32	Various	720.63	0.00	523.69	Sell to Close
10/20/2021	5.00	319.77	10/20/2021	345.21	25.44 D	0.00	Sell to Close
10/20/2021	5.00	374.77	10/20/2021	310.65	0.00	64.12	Sell to Close
10/21/2021	20.00	1,499.10	Various	1,120.84	0.00	378.26	Sell to Close
10/21/2021	10.00	799.55	10/21/2021	490.43	0.00	309.12	Sell to Close
10/25/2021	35.00	978.44	Various	1,836.47	156.35 D	-701.68	Sell to Close
10/26/2021	2.00	137.90	10/25/2021	142.25	0.00	-4.35	Sell to Close
10/28/2021	2.00	27.90	10/26/2021	152.26	0.00	-124.36	Sell to Close
Security Totals:		5,381.75		5,118.74		444.80	
CALL TSLA 08/06/21	740	TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00740000					
08/02/2021	1.00	954.93	08/02/2021	830.05	0.00	124.88	Sell to Close
CALL SNAP 07/30/21	64	SNAP INC CUSIP: Symbol(Box 1a): SNAP210730C00064000					
07/23/2021	5.00	7,279.68	07/20/2021	1,700.27	0.00	5,579.41	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Description of property | CUSIP | Symbol(Box 1a)

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CALL BTBT 08/20/21 20 BIT DIGITAL INC CUSIP: Symbol(Box 1a): BTBT210820C00020000							
08/20/2021	4.00	0.00	Various	165.21	0.00	-165.21	Expiration
CALL ARKK 11/05/21 120 ARK INNOVATION ETF CUSIP: Symbol(Box 1a): ARKK211105C00120000							
10/22/2021	1.00	172.94	10/22/2021	175.04	0.00	-2.10	Sell to Close
CALL SNOW 08/13/21 290 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210813C00290000							
08/10/2021	5.00	349.71	Various	638.27	288.56 D	0.00	Sell to Close
08/11/2021	5.00	149.71	Various	648.83	499.12 D	0.00	Sell to Close
08/11/2021	3.00	92.82	Various	474.82	0.00	-382.00	Sell to Close
08/12/2021	1.00	114.93	08/11/2021	87.29	0.00	27.64	Sell to Close
08/12/2021	1.00	75.93	08/11/2021	87.28	0.00	-11.35	Sell to Close
Security Totals:		783.10		1,936.49		-365.71	
CALL LI 08/13/21 31 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210813C00031000							
08/09/2021	2.00	147.87	08/06/2021	236.11	0.00	-88.24	Sell to Close
CALL XPEV 10/08/21 38 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV211008C00038000							
10/06/2021	3.00	29.85	Various	92.12	0.00	-62.27	Sell to Close
CALL CRWD 08/27/21 290 CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210827C00290000							
08/26/2021	2.00	219.87	08/26/2021	178.11	0.00	41.76	Sell to Close
08/27/2021	1.00	34.93	08/26/2021	90.05	0.00	-55.12	Sell to Close
08/27/2021	1.00	52.93	08/26/2021	90.06	0.00	-37.13	Sell to Close
Security Totals:		307.73		358.22		-50.49	
CALL AAPL 07/30/21 150 APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00150000							
07/28/2021	22.00	350.75	Various	10,013.67	0.00	-9,662.92	Sell to Close
07/28/2021	30.00	478.29	Various	6,931.65	5730.02 D	-723.34	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 07/30/21 150 APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00150000							
07/28/2021	5.00	79.71	07/27/2021	2,380.83	0.00	-2,301.12	Sell to Close
Security Totals:		908.75		19,326.15		-12,687.38	
CALL AMD 11/05/21 130 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211105C00130000							
11/03/2021	1.00	130.94	11/02/2021	142.04	11.1 D	0.00	Sell to Close
11/03/2021	1.00	129.94	11/02/2021	131.14	0.00	-1.20	Sell to Close
Security Totals:		260.88		273.18		-1.20	
CALL LI 08/27/21 30 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210827C00030000							
08/27/2021	1.00	6.93	08/27/2021	33.17	0.00	-26.24	Sell to Close
08/27/2021	1.00	6.93	08/27/2021	21.05	14.12 D	0.00	Sell to Close
Security Totals:		13.86		54.22		-26.24	
CALL MSFT 12/17/21 345 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT211217C00345000							
12/03/2021	1.00	183.96	12/02/2021	165.02	0.00	18.94	Sell to Close
12/03/2021	1.00	184.96	12/02/2021	180.02	0.00	4.94	Sell to Close
12/07/2021	1.00	143.96	12/03/2021	197.08	53.12 D	0.00	Sell to Close
12/07/2021	1.00	143.96	12/03/2021	173.02	29.06 D	0.00	Sell to Close
12/08/2021	1.00	101.96	12/06/2021	108.14	6.18 D	0.00	Sell to Close
12/09/2021	1.00	108.96	12/08/2021	106.20	0.00	2.76	Sell to Close
Security Totals:		867.76		929.48		26.64	

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL CRWD 09/03/21 300 CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210903C00300000							
08/31/2021	1.00	429.93	08/30/2021	505.05	75.12 D	0.00	Sell to Close
08/31/2021	1.00	429.93	08/30/2021	640.29	210.36 D	0.00	Sell to Close
08/31/2021	1.00	386.93	08/31/2021	564.41	0.00	-177.48	Sell to Close
08/31/2021	1.00	424.93	08/30/2021	595.17	170.24 D	0.00	Sell to Close
Security Totals:		1,671.72		2,304.92		-177.48	
CALL AAPL 07/09/21 137 APPLE INC CUSIP: Symbol(Box 1a): AAPL210709C00137000							
07/02/2021	6.00	1,619.65	Various	770.32	0.00	849.33	Sell to Close
CALL FB 08/13/21 362.50 FACEBOOK INC CL A CUSIP: Symbol(Box 1a): FB210813C00362500							
08/12/2021	1.00	124.93	08/12/2021	123.05	0.00	1.88	Sell to Close
***BIT DIGITAL INC ORDINARY SHARES CUSIP: G1144A105 Symbol(Box 1a): BTBT							
08/18/2021	60.00	704.38	Various	858.90	0.00	-154.52	Sale
10/05/2021	1.00	10.58	10/05/2021	10.35	0.00	0.23	Sale
10/13/2021	5.00	56.38	10/13/2021	54.95	0.00	1.43	Sale
Security Totals:		771.34		924.20		-152.86	
CALL NVDA 08/13/21 208.75 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210813C00208750							
08/05/2021	10.00	3,249.43	Various	3,481.53	0.00	-232.10	Sell to Close
CALL QQQ 10/11/21 364 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211011C00364000							
10/08/2021	1.00	78.94	10/08/2021	58.04	0.00	20.90	Sell to Close
CALL AAPL 09/10/21 157.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL210910C00157500							
09/07/2021	1.00	113.93	09/07/2021	109.05	0.00	4.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL COIN 08/13/21 265 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210813C00265000							
08/13/2021	1.00	339.93	08/12/2021	140.05	0.00	199.88	Sell to Close
CALL COIN 09/17/21 300 COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210917C00300000							
09/13/2021	1.00	6.93	09/13/2021	10.05	0.00	-3.12	Sell to Close
CALL HD 09/17/21 335 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210917C00335000							
09/07/2021	1.00	276.93	09/03/2021	224.05	0.00	52.88	Sell to Close
CALL AMD 08/06/21 105 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210806C00105000							
07/29/2021	1.00	274.93	07/29/2021	247.05	0.00	27.88	Sell to Close
07/29/2021	3.00	659.82	07/29/2021	540.16	0.00	119.66	Sell to Close
Security Totals:		934.75		787.21		147.54	
CALL QQQ 10/25/21 374 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211025C00374000							
10/18/2021	5.00	679.77	10/18/2021	405.21	0.00	274.56	Sell to Close
10/18/2021	5.00	649.77	10/15/2021	530.21	0.00	119.56	Sell to Close
Security Totals:		1,329.54		935.42		394.12	
CALL TSLA 08/06/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00730000							
08/02/2021	1.00	1,148.93	08/02/2021	935.05	0.00	213.88	Sell to Close
08/04/2021	2.00	749.87	Various	1,181.22	0.00	-431.35	Sell to Close
08/04/2021	2.00	909.87	Various	921.10	61.11 D	49.88	Sell to Close
Security Totals:		2,808.67		3,037.37		-167.59	
CALL QQQ 10/25/21 373 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211025C00373000							
10/18/2021	2.00	407.90	10/18/2021	400.08	0.00	7.82	Sell to Close
CALL AMC 08/27/21 50 AMC ENTERTAINMENT HOLDINGS INC CUSIP: Symbol(Box 1a): AMC210827C00050000							
08/25/2021	2.00	319.87	Various	594.10	0.00	-274.23	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 08/06/21 144							
APPLE INC CUSIP: Symbol(Box 1a): AAPL210806C00144000							
07/30/2021	2.00	587.87	07/30/2021	548.11	0.00	39.76	Sell to Close
07/30/2021	5.00	1,274.71	07/30/2021	1,255.27	0.00	19.44	Sell to Close
Security Totals:		1,862.58		1,803.38		59.20	
CALL AMD 11/19/21 155							
ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211119C00155000							
11/17/2021	1.00	169.96	11/17/2021	124.02	0.00	45.94	Sell to Close
CALL CCIV 07/30/21 25							
CHURCHILL CAPITAL CORP IV CL A CUSIP: Symbol(Box 1a): CCIV210730C00025000							
07/20/2021	5.00	749.71	07/20/2021	690.27	0.00	59.44	Sell to Close
CALL MSFT 08/06/21 290							
MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210806C00290000							
08/02/2021	1.00	50.93	07/29/2021	115.05	0.00	-64.12	Sell to Close
CALL QCOM 11/19/21 190							
QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM211119C00190000							
11/17/2021	1.00	199.96	11/17/2021	160.02	0.00	39.94	Sell to Close
CALL GOOG 10/29/21 3050							
ALPHABET INC CLASS C CUSIP: Symbol(Box 1a): GOOG211029C03050000							
10/27/2021	1.00	599.94	10/27/2021	550.04	0.00	49.90	Sell to Close
CALL PINS 09/10/21 57.50							
PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210910C00057500							
09/02/2021	1.00	105.93	09/02/2021	108.05	0.00	-2.12	Sell to Close
CALL GOOG 10/29/21 3000							
ALPHABET INC CLASS C CUSIP: Symbol(Box 1a): GOOG211029C03000000							
10/28/2021	1.00	232.94	10/28/2021	670.04	0.00	-437.10	Sell to Close
CALL QCOM 11/05/21 140							
QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM211105C00140000							
10/20/2021	1.00	113.94	10/20/2021	110.04	0.00	3.90	Sell to Close
CALL AMD 12/23/21 145							
ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211223C00145000							
12/15/2021	1.00	239.96	12/13/2021	210.02	0.00	29.94	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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12/15/2021	1.00	269.96	12/14/2021	191.02	0.00	78.94	Sell to Close
Security Totals:		509.92		401.04		108.88	
CALL NVDA 08/13/21 204.38			NVIDIA CORPORATION	ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210813C00204380			
08/03/2021	1.00	419.93	08/03/2021	420.05	0.00	-0.12	Sell to Close
CALL JD 08/27/21 75			JD.COM INC CUSIP: Symbol(Box 1a): JD210827C00075000				
08/24/2021	2.00	237.87	08/24/2021	196.11	0.00	41.76	Sell to Close
CALL MSTR 08/13/21 760			MICROSTRATEGY INC CUSIP: Symbol(Box 1a): MSTR210813C00760000				
08/13/2021	1.00	124.93	08/13/2021	113.05	0.00	11.88	Sell to Close
MARATHON DIGITAL HLDGS INC			COMMON STOCK CUSIP: 565788106 Symbol(Box 1a): MARA				
06/09/2021	2.00	53.98	06/04/2021	48.88	0.00	5.10	Sale
07/26/2021	4.00	115.06	07/26/2021	118.12	0.00	-3.06	Sale
07/26/2021	160.00	4,611.15	Various	4,665.24	1.2 D	-52.89	Sale
07/29/2021	1.00	28.96	07/28/2021	28.45	0.00	0.51	Sale
08/04/2021	1.00	28.59	08/03/2021	27.35	0.00	1.24	Sale
Security Totals:		4,837.74		4,888.04		-49.10	
CALL QCOM 11/19/21 185			QUALCOMM INC CUSIP: Symbol(Box 1a): QCOM211119C00185000				
11/17/2021	1.00	199.96	11/17/2021	200.02	0.00	-0.06	Sell to Close
CALL AAPL 07/09/21 140			APPLE INC CUSIP: Symbol(Box 1a): AAPL210709C00140000				
07/06/2021	8.00	2,327.52	07/06/2021	1,320.44	0.00	1,007.08	Sell to Close
CALL TSLA 12/23/21 1200			TESLA INC CUSIP: Symbol(Box 1a): TSLA211223C01200000				
12/10/2021	1.00	519.96	12/10/2021	470.02	0.00	49.94	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL TDOC 08/06/21 155 TELADOC HEALTH INC CUSIP: Symbol(Box 1a): TDOC210806C00155000							
08/03/2021	1.00	165.93	08/03/2021	123.05	0.00	42.88	Sell to Close
CALL TSLA 09/03/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210903C00750000							
09/01/2021	1.00	196.93	09/01/2021	312.05	0.00	-115.12	Sell to Close
CALL GS 08/13/21 405 GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS210813C00405000							
08/09/2021	1.00	359.93	08/09/2021	410.05	0.00	-50.12	Sell to Close
CALL GDRX 11/19/21 50 GOODRX HOLDINGS INC CL A CUSIP: Symbol(Box 1a): GDRX21119C00050000							
09/29/2021	1.00	101.93	09/28/2021	100.05	0.00	1.88	Sell to Close
CALL NIO 08/06/21 46 NIO INC ADS CUSIP: Symbol(Box 1a): NIO210806C00046000							
08/02/2021	1.00	156.93	08/02/2021	108.05	0.00	48.88	Sell to Close
08/02/2021	20.00	3,158.88	Various	2,423.08	0.00	735.80	Sell to Close
08/03/2021	60.00	2,936.68	Various	3,007.18	91.08 D	20.58	Sell to Close
08/04/2021	10.00	789.44	08/03/2021	591.61	0.00	197.83	Sell to Close
Security Totals:		7,041.93		6,129.92		1,003.09	
PINTEREST INC CLASS A COMMON STOCK CUSIP: 72352L106 Symbol(Box 1a): PINS							
11/30/2021	1.00	41.56	11/29/2021	41.00	0.00	0.56	Sale
CALL AAPL 07/23/21 147 APPLE INC CUSIP: Symbol(Box 1a): AAPL210723C00147000							
07/16/2021	48.00	16,029.18	Various	11,615.63	0.00	4,413.55	Sell to Close
CALL AMD 08/13/21 114 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00114000							
08/09/2021	1.00	167.93	08/06/2021	395.05	0.00	-227.12	Sell to Close
CALL DDOG 11/26/21 200 DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG211126C00200000							
11/05/2021	1.00	339.94	11/05/2021	320.04	0.00	19.90	Sell to Close
CALL DOCU 09/24/21 310 DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210924C00310000							
09/24/2021	3.00	0.00	Various	744.15	0.00	-744.15	Expiration

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PFIZER INC CUSIP: 717081103 Symbol(Box 1a): PFE							
12/08/2021	1.00	52.58	12/08/2021	52.44	0.00	0.14	Sale
CALL SQ 12/31/21 220 BLOCK INC CUSIP: Symbol(Box 1a): SQ211231C00220000							
12/08/2021	1.00	199.96	12/07/2021	190.02	0.00	9.94	Sell to Close
CALL TSLA 08/13/21 720 TESLA INC CUSIP: Symbol(Box 1a): TSLA210813C00720000							
08/12/2021	1.00	349.93	08/11/2021	295.05	0.00	54.88	Sell to Close
DIGITALOCEAN HOLDINGS INC COMMON STOCK CUSIP: 25402D102 Symbol(Box 1a): DOCN							
11/03/2021	1.00	94.97	11/03/2021	94.14	0.00	0.83	Sale
CALL AFRM 09/24/21 111 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM210924C00111000							
09/21/2021	1.00	194.93	09/21/2021	240.05	45.12 D	0.00	Sell to Close
09/21/2021	1.00	244.93	09/21/2021	273.17	28.24 D	0.00	Sell to Close
09/22/2021	1.00	154.93	09/22/2021	187.41	0.00	-32.48	Sell to Close
09/22/2021	1.00	165.93	09/22/2021	193.29	27.36 D	0.00	Sell to Close
Security Totals:		760.72		893.92		-32.48	
CALL TTD 07/30/21 87 TRADE DESK INC CLASS A ADJ 10:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TTD210730C00087000							
07/28/2021	2.00	153.87	07/28/2021	230.11	0.00	-76.24	Sell to Close
CALL AMD 12/31/21 150 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211231C00150000							
12/31/2021	1.00	2.96	12/30/2021	207.08	0.00	-204.12	Sell to Close
12/31/2021	1.00	76.96	12/29/2021	219.02	142.06 D	0.00	Sell to Close
Security Totals:		79.92		426.10		-204.12	

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TTD 09/24/21 75 TRADE DESK INC CLASS A CUSIP: Symbol(Box 1a): TTD210924C00075000							
09/20/2021	1.00	12.93	09/20/2021	19.05	0.00	-6.12	Sell to Close
CALL NVDA 10/22/21 230 NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA211022C00230000							
10/21/2021	5.00	124.77	10/21/2021	120.21	0.00	4.56	Sell to Close
CALL NVDA 08/06/21 207.50 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00207500							
08/05/2021	5.00	514.71	Various	739.27	224.56 D	0.00	Sell to Close
08/05/2021	10.00	999.44	Various	1,176.10	291.11 D	114.45	Sell to Close
08/06/2021	10.00	49.44	Various	921.65	0.00	-872.21	Sell to Close
Security Totals:		1,563.59		2,837.02		-757.76	
PUT MA 10/29/21 320 MASTERCARD INC CUSIP: Symbol(Box 1a): MA211029P00320000							
10/28/2021	1.00	27.94	10/28/2021	25.04	0.00	2.90	Sell to Close
CALL FDX 10/29/21 240 FEDEX CORP CUSIP: Symbol(Box 1a): FDX211029C00240000							
10/26/2021	1.00	195.94	10/25/2021	176.04	0.00	19.90	Sell to Close
10/26/2021	1.00	209.94	10/26/2021	216.04	0.00	-6.10	Sell to Close
Security Totals:		405.88		392.08		13.80	
CALL AAPL 07/30/21 152.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL210730C00152500							
07/28/2021	1.00	5.93	07/26/2021	352.16	0.00	-346.23	Sell to Close
07/28/2021	10.00	59.42	Various	1,798.55	172.11 D	-1,567.02	Sell to Close
Security Totals:		65.35		2,150.71		-1,913.25	
PUT AAPL 09/03/21 152.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL210903P00152500							
09/02/2021	1.00	40.93	09/02/2021	52.05	0.00	-11.12	Sell to Close
CALL BNTX 08/27/21 400 BIONTECH SE SPONSORED ADR CUSIP: Symbol(Box 1a): BNTX210827C00400000							
08/24/2021	1.00	299.93	08/24/2021	275.05	0.00	24.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AMD 08/13/21 119 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00119000							
08/04/2021	5.00	3,799.70	08/04/2021	2,300.27	0.00	1,499.43	Sell to Close
CALL AMD 08/13/21 118 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00118000							
08/04/2021	2.00	1,029.87	08/04/2021	782.11	0.00	247.76	Sell to Close
CALL PYPL 10/29/21 270 PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL211029C00270000							
10/21/2021	1.00	56.94	10/20/2021	245.04	188.1 D	0.00	Sell to Close
10/21/2021	1.00	57.94	10/21/2021	289.14	0.00	-231.20	Sell to Close
Security Totals:		114.88		534.18		-231.20	
CALL GS 08/06/21 400 GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS210806C00400000							
08/06/2021	1.00	24.93	08/06/2021	39.05	0.00	-14.12	Sell to Close
CALL MSFT 08/20/21 300 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210820C00300000							
08/18/2021	10.00	109.44	08/16/2021	210.53	10.11 D	-90.98	Sell to Close
08/19/2021	1.00	35.93	08/19/2021	60.16	0.00	-24.23	Sell to Close
Security Totals:		145.37		270.69		-115.21	
CALL IWM 11/19/21 247 ISHARES RUSSELL 2000 ETF CUSIP: Symbol(Box 1a): IWM211119C00247000							
11/09/2021	1.00	138.94	11/08/2021	237.04	98.1 D	0.00	Sell to Close
11/16/2021	1.00	4.96	11/09/2021	237.14	0.00	-232.18	Sell to Close
Security Totals:		143.90		474.18		-232.18	
CALL SNAP 09/17/21 76 SNAP INC CUSIP: Symbol(Box 1a): SNAP210917C00076000							
09/08/2021	1.00	212.93	09/08/2021	208.05	0.00	4.88	Sell to Close
CALL MSFT 08/27/21 305 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210827C00305000							
08/23/2021	1.00	181.93	08/23/2021	240.05	0.00	-58.12	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/23/2021	1.00	184.93	08/23/2021	240.06	0.00	-55.13	Sell to Close
Security Totals:		366.86		480.11		-113.25	
CALL TSLA 08/20/21 700			TESLA INC CUSIP: Symbol(Box 1a): TSLA210820C00700000				
08/17/2021	1.00	217.93	08/17/2021	318.05	0.00	-100.12	Sell to Close
CALL QQQ 10/20/21 365			INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211020C00365000				
10/12/2021	1.00	120.94	10/12/2021	130.04	9.1 D	0.00	Sell to Close
10/13/2021	2.00	307.91	Various	229.18	0.00	78.73	Sell to Close
Security Totals:		428.85		359.22		78.73	
CALL MRNA 08/13/21 415			MODERNA INC CUSIP: Symbol(Box 1a): MRNA210813C00415000				
08/12/2021	1.00	479.93	08/12/2021	456.05	0.00	23.88	Sell to Close
08/12/2021	1.00	609.93	08/12/2021	565.05	0.00	44.88	Sell to Close
Security Totals:		1,089.86		1,021.10		68.76	
CALL MRNA 08/13/21 410			MODERNA INC CUSIP: Symbol(Box 1a): MRNA210813C00410000				
08/12/2021	1.00	464.93	08/12/2021	430.05	0.00	34.88	Sell to Close
CALL AFRM 01/07/22 100			AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM220107C00100000				
12/30/2021	1.00	579.96	12/29/2021	400.02	0.00	179.94	Sell to Close
CALL SNAP 08/20/21 72			SNAP INC CUSIP: Symbol(Box 1a): SNAP210820C00072000				
08/18/2021	1.00	150.93	08/18/2021	128.05	0.00	22.88	Sell to Close
CALL GS 10/08/21 400			GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS211008C00400000				
10/04/2021	1.00	94.94	09/30/2021	90.05	0.00	4.89	Sell to Close
CALL AAPL 09/03/21 150			APPLE INC CUSIP: Symbol(Box 1a): AAPL210903C00150000				
08/26/2021	6.00	773.66	Various	678.32	0.00	95.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL SHOP 12/17/21 1600 SHOPIFY INC CUSIP: Symbol(Box 1a): SHOP211217C01600000							
12/13/2021	1.00	349.96	12/13/2021	320.02	0.00	29.94	Sell to Close
CALL TSLA 08/06/21 720 TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00720000							
08/04/2021	1.00	814.93	08/04/2021	910.05	0.00	-95.12	Sell to Close
CALL AAPL 09/03/21 155 APPLE INC CUSIP: Symbol(Box 1a): AAPL210903C00155000							
09/01/2021	1.00	83.94	09/01/2021	73.05	0.00	10.89	Sell to Close
09/02/2021	1.00	25.93	09/02/2021	33.16	0.00	-7.23	Sell to Close
09/02/2021	1.00	21.93	09/02/2021	24.05	2.12 D	0.00	Sell to Close
09/02/2021	2.00	67.88	Various	62.22	0.11 D	5.77	Sell to Close
09/03/2021	1.00	36.93	09/02/2021	33.05	0.00	3.88	Sell to Close
Security Totals:		236.61		225.53		13.31	
CALL AFRM 11/26/21 140 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211126C00140000							
11/22/2021	1.00	254.96	11/22/2021	255.02	0.00	-0.06	Sell to Close
CALL QQQ 11/22/21 405 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211122C00405000							
11/22/2021	3.00	59.92	Various	109.06	0.00	-49.14	Sell to Close
TELADOC HEALTH INC COMMON STOCK CUSIP: 87918A105 Symbol(Box 1a): TDOC							
08/04/2021	1.00	149.30	08/03/2021	151.41	0.00	-2.11	Sale
CALL LRCX 08/27/21 600 LAM RESEARCH CORP CUSIP: Symbol(Box 1a): LRCX210827C00600000							
08/24/2021	1.00	311.93	08/23/2021	260.05	0.00	51.88	Sell to Close
08/24/2021	2.00	343.87	Various	567.10	223.23 D	0.00	Sell to Close
08/25/2021	2.00	487.87	Various	579.34	0.00	-91.47	Sell to Close
Security Totals:		1,143.67		1,406.49		-39.59	

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

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CALL SQ 08/06/21 285 SQUARE INC CUSIP: Symbol(Box 1a): SQ210806C00285000							
08/06/2021	1.00	259.93	08/06/2021	268.05	0.00	-8.12	Sell to Close
CALL TSLA 07/23/21 660 TESLA INC CUSIP: Symbol(Box 1a): TSLA210723C00660000							
07/20/2021	1.00	1,149.93	07/20/2021	665.05	0.00	484.88	Sell to Close
GENERAL ELECTRIC COMPANY COMMON STOCK CUSIP: 369604301 Symbol(Box 1a): GE							
11/16/2021	1.00	103.27	11/16/2021	103.29	0.00	-0.02	Sale
CALL QQQ 12/31/21 400 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211231C00400000							
12/22/2021	1.00	157.96	12/20/2021	413.08	0.00	-255.12	Sell to Close
12/22/2021	1.00	159.96	12/16/2021	470.02	310.06 D	0.00	Sell to Close
Security Totals:		317.92		883.10		-255.12	
CALL TSLA 08/13/21 730 TESLA INC CUSIP: Symbol(Box 1a): TSLA210813C00730000							
08/13/2021	1.00	69.93	08/13/2021	166.16	0.00	-96.23	Sell to Close
08/13/2021	2.00	163.87	Various	533.33	0.00	-369.46	Sell to Close
08/13/2021	3.00	263.82	Various	684.15	420.33 D	0.00	Sell to Close
Security Totals:		497.62		1,383.64		-465.69	
CALL AMD 08/06/21 106 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210806C00106000							
07/29/2021	1.00	222.93	07/29/2021	264.05	0.00	-41.12	Sell to Close
CALL NIO 10/01/21 36 NIO INC ADS CUSIP: Symbol(Box 1a): NIO211001C00036000							
09/27/2021	1.00	82.93	09/27/2021	65.05	0.00	17.88	Sell to Close
09/27/2021	1.00	94.93	09/27/2021	53.05	0.00	41.88	Sell to Close
Security Totals:		177.86		118.10		59.76	
CALL MSFT 07/09/21 275 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210709C00275000							
07/02/2021	10.00	2,919.41	Various	1,312.55	0.00	1,606.86	Sell to Close

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2021 Form 1099-B*

5JN17476

(continued)

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CALL DDOG 09/24/21 150 DATADOG INC CL A CUSIP: Symbol(Box 1a): DDOG210924C00150000							
09/23/2021	1.00	42.93	09/23/2021	57.05	0.00	-14.12	Sell to Close
CALL DIS 08/20/21 185 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS210820C00185000							
08/13/2021	1.00	101.93	08/13/2021	137.05	0.00	-35.12	Sell to Close
CALL MRNA 09/03/21 400 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210903C00400000							
08/30/2021	1.00	232.93	08/27/2021	687.05	454.12 D	0.00	Sell to Close
08/31/2021	1.00	335.93	08/30/2021	697.17	0.00	-361.24	Sell to Close
Security Totals:		568.86		1,384.22		-361.24	
CALL PINS 08/27/21 57.50 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210827C00057500							
08/26/2021	2.00	107.87	08/26/2021	54.11	0.00	53.76	Sell to Close
08/27/2021	1.00	15.93	08/27/2021	24.05	0.00	-8.12	Sell to Close
Security Totals:		123.80		78.16		45.64	
CALL NVAX 08/06/21 222.50 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210806C00222500							
08/04/2021	1.00	1,349.93	08/04/2021	1,570.05	0.00	-220.12	Sell to Close
CALL AMD 09/10/21 111 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210910C00111000							
09/02/2021	1.00	155.93	09/02/2021	145.05	0.00	10.88	Sell to Close
AFFIRM HOLDINGS INC CLASS A COMMON STOCK CUSIP: 00827B106 Symbol(Box 1a): AFRM							
11/11/2021	4.00	627.98	11/11/2021	605.40	0.00	22.58	Sale
11/15/2021	5.00	749.98	11/12/2021	740.00	0.00	9.98	Sale
Security Totals:		1,377.96		1,345.40		32.56	
***CEMEX S A B DE C V SPONSOR ADR REP 10 ORD PRTCP CRTS OF CEMEX S A DE C V CUSIP: 151290889 Symbol(Box 1a): CX							
06/22/2021	1.00	7.80	06/16/2021	7.96	0.00	-0.16	Sale

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2021 Form 1099-B*

5JN17476

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL QQQ 10/18/21 374 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211018C00374000							
10/18/2021	1.00	1.94	10/15/2021	12.04	10.1 D	0.00	Sell to Close
10/18/2021	28.00	26.74	Various	247.38	0.00	-220.64	Sell to Close
10/18/2021	1.00	1.94	10/15/2021	12.04	10.1 D	0.00	Sell to Close
Security Totals:		30.62		271.46		-220.64	
CALL NVDA 08/20/21 205 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210820C00205000							
08/11/2021	1.00	256.93	08/10/2021	455.05	198.12 D	0.00	Sell to Close
08/12/2021	1.00	374.93	08/11/2021	458.17	83.24 D	0.00	Sell to Close
08/18/2021	6.00	593.66	Various	1,139.56	0.00	-545.90	Sell to Close
Security Totals:		1,225.52		2,052.78		-545.90	
CALL BYND 09/17/21 122 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210917C00122000							
09/08/2021	2.00	151.88	Various	289.10	0.00	-137.22	Sell to Close
CALL AAPL 09/17/21 150 APPLE INC ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): AAPL210917C00150000							
09/14/2021	1.00	59.93	09/14/2021	241.28	181.35 D	0.00	Sell to Close
09/14/2021	1.00	172.93	09/13/2021	161.05	0.00	11.88	Sell to Close
09/14/2021	2.00	129.88	Various	320.22	190.34 D	0.00	Sell to Close
09/14/2021	1.00	164.93	09/14/2021	170.05	5.12 D	0.00	Sell to Close
09/15/2021	1.00	53.93	09/15/2021	209.40	155.47 D	0.00	Sell to Close
09/15/2021	1.00	53.93	09/14/2021	157.16	103.23 D	0.00	Sell to Close
09/16/2021	1.00	22.93	09/16/2021	125.28	102.35 D	0.00	Sell to Close
09/17/2021	2.00	1.88	Various	300.92	0.00	-299.04	Sell to Close
Security Totals:		660.34		1,685.36		-287.16	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AFRM 12/03/21 160 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM211203C00160000							
11/15/2021	1.00	659.96	11/15/2021	595.02	0.00	64.94	Sell to Close
PUT MS 11/12/21 96 MORGAN STANLEY CUSIP: Symbol(Box 1a): MS211112P00096000							
11/09/2021	1.00	29.94	11/09/2021	30.04	0.00	-0.10	Sell to Close
CALL LI 08/06/21 31 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210806C00031000							
08/06/2021	1.00	9.93	08/05/2021	63.05	0.00	-53.12	Sell to Close
CALL TSLA 10/29/21 1200 TESLA INC CUSIP: Symbol(Box 1a): TSLA211029C01200000							
10/26/2021	1.00	1,039.94	10/26/2021	1,005.04	0.00	34.90	Sell to Close
10/26/2021	1.00	1,249.94	10/26/2021	1,210.04	0.00	39.90	Sell to Close
10/27/2021	1.00	347.94	10/26/2021	565.04	0.00	-217.10	Sell to Close
Security Totals:		2,637.82		2,780.12		-142.30	
CALL MRNA 08/20/21 415 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210820C00415000							
08/18/2021	1.00	519.93	08/18/2021	424.05	0.00	95.88	Sell to Close
08/18/2021	1.00	354.93	08/18/2021	668.05	0.00	-313.12	Sell to Close
Security Totals:		874.86		1,092.10		-217.24	
CALL HD 09/24/21 335 HOME DEPOT INC CUSIP: Symbol(Box 1a): HD210924C00335000							
09/03/2021	1.00	285.93	09/03/2021	219.05	0.00	66.88	Sell to Close
CALL BYND 11/05/21 95 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND211105C00095000							
10/22/2021	1.00	374.94	10/22/2021	256.04	0.00	118.90	Sell to Close
CALL PINS 08/27/21 56 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210827C00056000							
08/25/2021	2.00	95.87	Various	79.10	0.00	16.77	Sell to Close
08/25/2021	3.00	161.82	Various	141.16	0.00	20.66	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/25/2021	6.00	305.66	Various	286.32	0.00	19.34	Sell to Close
Security Totals:		563.35		506.58		56.77	
CALL AMZN 09/03/21 3490 AMAZON.COM INC CUSIP: Symbol(Box 1a): AMZN210903C03490000							
08/27/2021	1.00	283.93	08/27/2021	312.05	0.00	-28.12	Sell to Close
CALL MSFT 09/10/21 305 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210910C00305000							
09/02/2021	3.00	263.82	09/02/2021	330.16	44.23 D	-22.11	Sell to Close
09/03/2021	2.00	197.88	09/02/2021	238.33	0.00	-40.45	Sell to Close
Security Totals:		461.70		568.49		-62.56	
QUALCOMM INC CUSIP: 747525103 Symbol(Box 1a): QCOM							
11/03/2021	1.00	144.98	11/03/2021	140.99	0.00	3.99	Sale
11/17/2021	1.00	181.73	11/17/2021	183.14	0.00	-1.41	Sale
Security Totals:		326.71		324.13		2.58	
CALL LCID 09/17/21 22 LUCID GROUP INC CUSIP: Symbol(Box 1a): LCID210917C00022000							
09/16/2021	1.00	14.93	09/16/2021	14.05	0.00	0.88	Sell to Close
PUT QQQ 11/01/21 380 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211101P00380000							
10/28/2021	1.00	94.94	10/28/2021	94.04	0.00	0.90	Sell to Close
CALL PINS 08/27/21 57 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210827C00057000							
08/23/2021	5.00	154.71	08/23/2021	130.26	0.00	24.45	Sell to Close
08/23/2021	5.00	139.71	08/23/2021	130.26	0.00	9.45	Sell to Close
Security Totals:		294.42		260.52		33.90	
CALL NVDA 08/06/21 197.50 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00197500							
08/03/2021	4.00	1,339.77	Various	988.22	0.00	351.55	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL QQQ 11/01/21 380 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211101C00380000							
10/19/2021	1.00	145.94	10/19/2021	131.04	0.00	14.90	Sell to Close
CALL PINS 08/27/21 58 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210827C00058000							
08/26/2021	1.00	21.93	08/26/2021	43.05	0.00	-21.12	Sell to Close
CALL CCL 08/27/21 23 CARNIVAL CORP CUSIP: Symbol(Box 1a): CCL210827C00023000							
08/23/2021	5.00	189.71	08/23/2021	185.27	0.00	4.44	Sell to Close
CALL NVDA 08/06/21 198.13 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00198130							
08/03/2021	2.00	567.87	08/03/2021	550.11	0.00	17.76	Sell to Close
CALL BKNG 09/24/21 2540 BOOKING HOLDINGS INC CUSIP: Symbol(Box 1a): BKNG210924C02540000							
09/23/2021	1.00	144.93	09/23/2021	100.05	0.00	44.88	Sell to Close
CALL MSFT 10/08/21 300 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT211008C00300000							
09/28/2021	1.00	63.93	09/28/2021	72.05	0.00	-8.12	Sell to Close
CALL LOW 09/17/21 210 LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210917C00210000							
09/08/2021	1.00	52.93	09/03/2021	148.05	0.00	-95.12	Sell to Close
CALL GS 11/12/21 420 GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS211112C00420000							
10/29/2021	1.00	404.94	10/29/2021	400.04	0.00	4.90	Sell to Close
CALL BA 09/17/21 220 BOEING CO CUSIP: Symbol(Box 1a): BA210917C00220000							
09/08/2021	1.00	211.93	09/07/2021	190.05	0.00	21.88	Sell to Close
CALL IWM 11/19/21 238 ISHARES RUSSELL 2000 ETF CUSIP: Symbol(Box 1a): IWM211119C00238000							
11/02/2021	1.00	228.94	11/02/2021	215.04	0.00	13.90	Sell to Close
CALL TSLA 08/06/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00700000							
07/30/2021	1.00	1,415.93	07/30/2021	1,493.05	77.12 D	0.00	Sell to Close

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL TSLA 08/06/21 700 TESLA INC CUSIP: Symbol(Box 1a): TSLA210806C00700000							
07/30/2021	1.00	1,054.93	07/30/2021	1,427.17	372.24 D	0.00	Sell to Close
08/02/2021	1.00	2,334.92	07/30/2021	1,513.29	0.00	821.63	Sell to Close
Security Totals:		4,805.78		4,433.51		821.63	
CALL NVAX 10/29/21 180 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX211029C00180000							
10/20/2021	1.00	121.94	10/20/2021	120.04	0.00	1.90	Sell to Close
CALL AMD 08/13/21 113 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210813C00113000							
08/03/2021	3.00	1,118.82	Various	768.16	0.00	350.66	Sell to Close
CALL LI 08/06/21 32 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210806C00032000							
08/05/2021	1.00	23.93	08/05/2021	50.05	0.00	-26.12	Sell to Close
CALL DIDI 08/06/21 10 DIDI GLOBAL INC CUSIP: Symbol(Box 1a): DIDI210806C00010000							
08/02/2021	1.00	65.93	07/29/2021	98.05	0.00	-32.12	Sell to Close
CALL MSFT 07/30/21 285 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210730C00285000							
07/28/2021	13.00	4,094.23	Various	6,583.71	0.00	-2,489.48	Sell to Close
CALL NVDA 09/03/21 227.50 NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA210903C00227500							
09/03/2021	1.00	103.93	09/03/2021	92.05	0.00	11.88	Sell to Close
OPKO HEALTH INC CUSIP: 68375N103 Symbol(Box 1a): OPK							
08/03/2021	1.00	3.54	08/02/2021	0.00	0.00	3.54	Sale
CALL SNOW 08/27/21 270 SNOWFLAKE INC CLASS A CUSIP: Symbol(Box 1a): SNOW210827C00270000							
08/20/2021	1.00	719.93	08/20/2021	690.05	0.00	29.88	Sell to Close
CALL LRCX 09/10/21 600 LAM RESEARCH CORP CUSIP: Symbol(Box 1a): LRCX210910C00600000							
08/20/2021	1.00	579.93	08/20/2021	450.05	0.00	129.88	Sell to Close

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Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL HOOD 08/20/21 57 ROBINHOOD MARKET INC CUSIP: Symbol(Box 1a): HOOD210820C00057000							
08/11/2021	1.00	183.93	08/10/2021	450.05	266.12 D	0.00	Sell to Close
08/12/2021	1.00	127.93	08/11/2021	450.17	0.00	-322.24	Sell to Close
Security Totals:		311.86		900.22		-322.24	
NVIDIA CORP CUSIP: 67066G104 Symbol(Box 1a): NVDA							
11/17/2021	1.00	301.98	11/17/2021	293.00	0.00	8.98	Sale
RIVIAN AUTOMOTIVE INC CLASS A COMMON STOCK CUSIP: 76954A103 Symbol(Box 1a): RIVN							
12/02/2021	1.00	113.84	12/01/2021	123.00	0.00	-9.16	Sale
CALL PINS 09/17/21 55 PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS210917C00055000							
08/23/2021	1.00	228.93	08/20/2021	174.05	0.00	54.88	Sell to Close
CALL DOCU 08/20/21 287.50 DOCUSIGN INC CUSIP: Symbol(Box 1a): DOCU210820C00287500							
08/18/2021	1.00	282.93	08/18/2021	345.05	0.00	-62.12	Sell to Close
CALL NVDA 07/09/21 825 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210709C00825000							
07/09/2021	1.00	0.00	07/06/2021	1,105.05	0.00	-1,105.05	Expiration
CALL MSFT 09/17/21 305 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210917C00305000							
09/03/2021	2.00	409.88	Various	365.10	0.00	44.78	Sell to Close
09/08/2021	7.00	643.61	Various	1,107.36	0.00	-463.75	Sell to Close
Security Totals:		1,053.49		1,472.46		-418.97	
CALL DIDI 08/06/21 9.50 DIDI GLOBAL INC CUSIP: Symbol(Box 1a): DIDI210806C00009500							
07/29/2021	3.00	284.82	07/29/2021	270.16	0.00	14.66	Sell to Close
CALL PLUG 10/01/21 28 PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG211001C00028000							
09/27/2021	1.00	42.93	09/27/2021	25.05	0.00	17.88	Sell to Close

APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL ZM 08/27/21	350						ZOOM VIDEO COMMUNICATIONS INC CUSIP: Symbol(Box 1a): ZM210827C00350000
08/23/2021	1.00	279.93	08/23/2021	254.05	0.00	25.88	Sell to Close
CALL AAPL 08/27/21	148						APPLE INC CUSIP: Symbol(Box 1a): AAPL210827C00148000
08/19/2021	1.00	171.93	08/19/2021	159.05	0.00	12.88	Sell to Close
CROWDSTRIKE HOLDINGS INC							CLASS A COMMON STOCK CUSIP: 22788C105 Symbol(Box 1a): CRWD
11/01/2021	4.00	1,066.58	11/01/2021	1,101.01	0.00	-34.43	Sale
CALL NVAX 10/29/21	200						NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX211029C00200000
10/20/2021	1.00	143.94	10/20/2021	129.04	0.00	14.90	Sell to Close
CALL NVDA 08/27/21	220						NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210827C00220000
08/23/2021	1.00	260.93	08/23/2021	255.05	0.00	5.88	Sell to Close
CALL PINS 10/22/21	80						PINTEREST INC CLASS A CUSIP: Symbol(Box 1a): PINS211022C00080000
10/20/2021	1.00	15.94	10/20/2021	35.04	0.00	-19.10	Sell to Close
CALL QQQ 10/13/21	365						INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211013C00365000
10/11/2021	1.00	91.94	10/11/2021	60.04	0.00	31.90	Sell to Close
10/13/2021	1.00	0.00	10/11/2021	54.04	0.00	-54.04	Expiration
Security Totals:		91.94		114.08		-22.14	
CALL MSFT 09/10/21	300						MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210910C00300000
09/09/2021	3.00	77.82	09/09/2021	111.16	0.00	-33.34	Sell to Close
CALL QQQ 11/01/21	376						INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211101C00376000
10/25/2021	1.00	279.94	10/22/2021	210.04	0.00	69.90	Sell to Close
10/25/2021	1.00	314.94	10/25/2021	231.04	0.00	83.90	Sell to Close
Security Totals:		594.88		441.08		153.80	

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL PYPL 10/22/21	270	PAYPAL HOLDINGS INC CUSIP: Symbol(Box 1a): PYPL211022C00270000					
10/15/2021	1.00	257.94	10/15/2021	220.04	0.00	37.90	Sell to Close
CALL COIN 08/27/21	275	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210827C00275000					
08/20/2021	1.00	279.93	08/20/2021	290.05	0.00	-10.12	Sell to Close
FUBOTV INC	COMMON STOCK CUSIP: 35953D104 Symbol(Box 1a): FUBO						
06/03/2021	1.00	26.38	06/03/2021	26.71	0.33 D	0.00	Sale
06/09/2021	1.00	28.54	06/08/2021	30.25	0.00	-1.71	Sale
Security Totals:		54.92		56.96		-1.71	
CALL AAPL 10/22/21	145	APPLE INC CUSIP: Symbol(Box 1a): AAPL211022C00145000					
10/14/2021	1.00	69.95	10/14/2021	69.04	0.00	0.91	Sell to Close
PUT QQQ 11/01/21	378	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211101P00378000					
10/28/2021	1.00	51.94	10/28/2021	58.04	0.00	-6.10	Sell to Close
CALL GS 10/22/21	405	GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS211022C00405000					
10/15/2021	1.00	309.94	10/15/2021	298.04	0.00	11.90	Sell to Close
CALL MA 12/23/21	360	MASTERCARD INC CUSIP: Symbol(Box 1a): MA211223C00360000					
12/01/2021	1.00	86.96	11/24/2021	430.02	0.00	-343.06	Sell to Close
CALL WYNN 09/03/21	102	WYNN RESORTS LIMITED CUSIP: Symbol(Box 1a): WYNN210903C00102000					
08/27/2021	1.00	182.93	08/26/2021	164.05	0.00	18.88	Sell to Close
CALL QQQ 08/16/21	368	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210816C00368000					
08/12/2021	1.00	116.93	08/11/2021	107.05	0.00	9.88	Sell to Close
CALL MSFT 07/23/21	280	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210723C00280000					
07/20/2021	20.00	4,178.84	Various	2,545.10	0.00	1,633.74	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL IBM 10/22/21	145	INTL BUSINESS MACHINE	CUSIP: Symbol(Box 1a): IBM211022C00145000				
10/21/2021	1.00	1.94	10/20/2021	129.04	0.00	-127.10	Sell to Close
CALL AAPL 09/10/21	155	APPLE INC CUSIP: Symbol(Box 1a): AAPL210910C00155000					
09/09/2021	1.00	133.93	09/08/2021	103.05	0.00	30.88	Sell to Close
CALL AMD 08/06/21	110	ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210806C00110000					
08/02/2021	2.00	481.87	08/02/2021	314.11	0.00	167.76	Sell to Close
PUT QQQ 11/12/21	384	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211112P00384000					
11/01/2021	1.00	299.94	11/01/2021	300.04	0.00	-0.10	Sell to Close
CALL SNAP 10/15/21	80	SNAP INC CUSIP: Symbol(Box 1a): SNAP211015C00080000					
09/30/2021	1.00	84.93	09/29/2021	80.05	0.00	4.88	Sell to Close
10/06/2021	1.00	51.94	10/05/2021	45.04	0.00	6.90	Sell to Close
Security Totals:		136.87		125.09		11.78	
CALL AAPL 10/08/21	145	APPLE INC CUSIP: Symbol(Box 1a): AAPL211008C00145000					
10/05/2021	1.00	22.94	10/04/2021	40.04	17.1 D	0.00	Sell to Close
10/07/2021	2.00	49.90	Various	42.18	0.00	7.72	Sell to Close
Security Totals:		72.84		82.22		7.72	
CALL WYNN 09/24/21	105	WYNN RESORTS LIMITED CUSIP: Symbol(Box 1a): WYNN210924C00105000					
09/14/2021	1.00	20.93	09/14/2021	28.05	0.00	-7.12	Sell to Close
UPSTART HOLDINGS INC		COMMON STOCK CUSIP: 91680M107 Symbol(Box 1a): UPST					
11/17/2021	7.00	1,679.98	Various	1,671.04	11.03 D	19.97	Sale
12/08/2021	1.00	188.98	12/08/2021	186.68	0.00	2.30	Sale
12/17/2021	2.00	273.98	Various	358.51	0.00	-84.53	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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UPSTART HOLDINGS INC COMMON STOCK CUSIP: 91680M107 Symbol(Box 1a): UPST							
12/17/2021	1.00	136.78	12/13/2021	152.84	0.00	-16.06	Sale
Security Totals:		2,279.72		2,369.07		-78.32	
CALL QQQ 09/30/21 362 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210930C00362000							
09/30/2021	1.00	14.93	09/30/2021	17.05	2.12 D	0.00	Sell to Close
09/30/2021	2.00	39.88	Various	27.22	0.00	12.66	Sell to Close
09/30/2021	2.00	39.88	09/30/2021	20.10	0.00	19.78	Sell to Close
Security Totals:		94.69		64.37		32.44	
VINCO VENTURES INC COMMON STOCK CUSIP: 927330100 Symbol(Box 1a): BBIG							
08/30/2021	80.00	696.78	08/30/2021	800.00	0.00	-103.22	Sale
08/30/2021	20.00	133.38	08/30/2021	200.00	0.00	-66.62	Sale
Security Totals:		830.16		1,000.00		-169.84	
WYNN RESORTS LTD CUSIP: 983134107 Symbol(Box 1a): WYNN							
11/09/2021	2.00	186.18	11/09/2021	192.48	0.00	-6.30	Sale
CALL SPY 09/17/21 448 STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210917C00448000							
09/16/2021	1.00	34.93	09/16/2021	34.05	0.00	0.88	Sell to Close
CALL NFLX 11/26/21 720 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX211126C00720000							
11/10/2021	2.00	279.90	Various	537.08	0.00	-257.18	Sell to Close
CALL AMD 09/03/21 110 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD210903C00110000							
08/26/2021	1.00	168.93	08/25/2021	204.05	0.00	-35.12	Sell to Close
08/26/2021	1.00	208.93	08/25/2021	204.06	0.00	4.87	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/26/2021	1.00	207.93	08/25/2021	204.05	0.00	3.88	Sell to Close
Security Totals:		585.79		612.16		-26.37	
CALL FUBO 08/13/21 30 FUBOTV INC CUSIP: Symbol(Box 1a): FUBO210813C00030000							
08/10/2021	2.00	265.87	08/10/2021	236.11	0.00	29.76	Sell to Close
CALL MSFT 07/02/21 270 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210702C00270000							
06/25/2021	5.00	314.71	06/23/2021	520.27	205.56 D	0.00	Sell to Close
06/28/2021	13.00	1,624.25	Various	1,189.27	0.00	434.98	Sell to Close
Security Totals:		1,938.96		1,709.54		434.98	
AMC ENTERTAINMENT HOLDINGS INC CL A COM CUSIP: 00165C104 Symbol(Box 1a): AMC							
06/07/2021	1.00	56.29	06/04/2021	49.48	0.00	6.81	Sale
CALL SQ 08/13/21 285 SQUARE INC CUSIP: Symbol(Box 1a): SQ210813C00285000							
08/09/2021	5.00	1,824.71	Various	2,215.26	475.33 D	84.78	Sell to Close
08/09/2021	3.00	1,364.82	Various	1,480.49	330.22 D	214.55	Sell to Close
08/09/2021	3.00	1,967.81	Various	1,315.38	0.00	652.43	Sell to Close
Security Totals:		5,157.34		5,011.13		951.76	
CALL UPST 08/20/21 230 UPSTART HOLDINGS INC CUSIP: Symbol(Box 1a): UPST210820C00230000							
08/13/2021	1.00	299.93	08/13/2021	313.05	0.00	-13.12	Sell to Close
CALL AMD 10/22/21 111 ADVANCED MICRO DEVICES INC CUSIP: Symbol(Box 1a): AMD211022C00111000							
10/07/2021	1.00	142.94	10/04/2021	75.04	0.00	67.90	Sell to Close
CALL LI 09/03/21 30 LI AUTO INC ADS CUSIP: Symbol(Box 1a): LI210903C00030000							
08/31/2021	1.00	75.93	08/27/2021	141.05	0.00	-65.12	Sell to Close
CALL NFLX 09/24/21 600 NETFLIX INC CUSIP: Symbol(Box 1a): NFLX210924C00600000							
09/24/2021	2.00	15.88	Various	161.10	0.00	-145.22	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL QQQ 10/04/21 365 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211004C00365000							
10/01/2021	1.00	32.94	10/01/2021	29.64	0.00	3.30	Sell to Close
10/01/2021	2.00	70.90	Various	70.09	14.6 D	15.41	Sell to Close
Security Totals:		103.84		99.73		18.71	
CALL NVDA 11/19/21 330 NVIDIA CORPORATION CUSIP: Symbol(Box 1a): NVDA211119C00330000							
11/19/2021	1.00	159.96	11/19/2021	154.02	0.00	5.94	Sell to Close
11/19/2021	1.00	73.96	11/19/2021	168.02	0.00	-94.06	Sell to Close
Security Totals:		233.92		322.04		-88.12	
CALL AAPL 11/12/21 152.50 APPLE INC CUSIP: Symbol(Box 1a): AAPL211112C00152500							
11/04/2021	1.00	139.94	11/04/2021	137.04	0.00	2.90	Sell to Close
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 69608A108 Symbol(Box 1a): PLTR							
10/06/2021	1.00	23.81	10/05/2021	26.22	0.00	-2.41	Sale
CALL RH 01/14/22 600 RH CUSIP: Symbol(Box 1a): RH220114C00600000							
12/31/2021	1.00	209.96	12/30/2021	370.02	0.00	-160.06	Sell to Close
CALL MSFT 09/17/21 302.50 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210917C00302500							
09/16/2021	1.00	143.93	09/16/2021	98.05	0.00	45.88	Sell to Close
09/16/2021	1.00	139.93	09/16/2021	97.05	0.00	42.88	Sell to Close
09/17/2021	1.00	0.00	09/17/2021	78.05	0.00	-78.05	Expiration
Security Totals:		283.86		273.15		10.71	
VERU INC COMMON STOCK CUSIP: 92536C103 Symbol(Box 1a): VERU							
09/07/2021	10.00	94.08	09/02/2021	98.10	0.00	-4.02	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL MS 11/26/21 104 MORGAN STANLEY CUSIP: Symbol(Box 1a): MS211126C00104000							
11/05/2021	1.00	136.94	11/04/2021	82.04	0.00	54.90	Sell to Close
11/16/2021	1.00	51.96	11/08/2021	90.04	0.00	-38.08	Sell to Close
Security Totals:		188.90		172.08		16.82	
CALL MS 11/26/21 105 MORGAN STANLEY CUSIP: Symbol(Box 1a): MS211126C00105000							
11/05/2021	3.00	341.86	Various	245.12	0.00	96.74	Sell to Close
11/05/2021	7.00	573.69	Various	530.29	16.17 D	59.57	Sell to Close
11/08/2021	1.00	100.94	11/05/2021	77.04	0.00	23.90	Sell to Close
11/08/2021	2.00	177.90	11/05/2021	170.25	0.00	7.65	Sell to Close
11/08/2021	2.00	171.90	11/05/2021	154.09	0.00	17.81	Sell to Close
Security Totals:		1,366.29		1,176.79		205.67	
CALL DASH 09/24/21 225 DOORDASH INC CL A CUSIP: Symbol(Box 1a): DASH210924C00225000							
09/22/2021	1.00	120.93	09/22/2021	154.05	0.00	-33.12	Sell to Close
CALL BYND 09/10/21 122 BEYOND MEAT INC CUSIP: Symbol(Box 1a): BYND210910C00122000							
09/03/2021	1.00	35.93	09/03/2021	61.05	0.00	-25.12	Sell to Close
COINBASE GLOBAL INC CLASS A COMMON STOCK CUSIP: 19260Q107 Symbol(Box 1a): COIN							
08/16/2021	5.00	1,282.98	08/13/2021	1,323.90	0.00	-40.92	Sale
CALL NVDA 08/06/21 203.13 NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT CUSIP: Symbol(Box 1a): NVDA210806C00203130							
08/03/2021	3.00	722.82	08/03/2021	717.16	0.00	5.66	Sell to Close
CALL DIDI 07/30/21 9.50 DIDI GLOBAL INC CUSIP: Symbol(Box 1a): DIDI210730C00009500							
07/29/2021	3.00	134.82	07/29/2021	135.16	0.00	-0.34	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

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CALL NVAX 08/13/21	245	NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210813C00245000					
08/10/2021	1.00	1,002.93	08/10/2021	915.05	0.00	87.88	Sell to Close
CALL SPY 09/10/21	452.50	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210910C00452500					
09/07/2021	1.00	142.93	09/07/2021	138.05	0.00	4.88	Sell to Close
CALL MSFT 09/24/21	302.50	MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210924C00302500					
09/09/2021	1.00	353.93	09/09/2021	310.05	0.00	43.88	Sell to Close
09/13/2021	2.00	345.88	Various	700.10	0.00	-354.22	Sell to Close
Security Totals:		699.81		1,010.15		-310.34	
CALL COIN 08/06/21	257.50	COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210806C00257500					
08/05/2021	1.00	219.93	08/05/2021	260.05	0.00	-40.12	Sell to Close
PUT TSLA 09/17/21	630	TESLA INC CUSIP: Symbol(Box 1a): TSLA210917P00630000					
09/14/2021	1.00	42.93	09/14/2021	51.05	0.00	-8.12	Sell to Close
CALL SPY 09/10/21	452	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210910C00452000					
09/07/2021	1.00	161.93	09/07/2021	156.05	0.00	5.88	Sell to Close
CALL ROKU 06/25/21	430	ROKU INC CUSIP: Symbol(Box 1a): ROKU210625C00430000					
06/25/2021	1.00	109.93	06/24/2021	456.05	0.00	-346.12	Sell to Close
CALL LRCX 09/03/21	600	LAM RESEARCH CORP CUSIP: Symbol(Box 1a): LRCX210903C00600000					
08/23/2021	1.00	464.93	08/20/2021	380.05	0.00	84.88	Sell to Close
08/23/2021	1.00	619.93	08/20/2021	370.05	0.00	249.88	Sell to Close
Security Totals:		1,084.86		750.10		334.76	
CALL CEIX 11/19/21	40	CONSOL ENERGY INC CUSIP: Symbol(Box 1a): CEIX211119C00040000					
10/26/2021	1.00	35.94	10/19/2021	70.04	0.00	-34.10	Sell to Close

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2021 Form 1099-B*

5JN17476

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01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL AAPL 06/11/21 126	APPLE INC CUSIP: Symbol(Box 1a): AAPL210611C00126000						
06/11/2021	1.00	92.93	06/10/2021	75.07	0.00	17.86	Sell to Close
PUT CPB 09/03/21 40.50	CAMPBELL SOUP CO CUSIP: Symbol(Box 1a): CPB210903P00040500						
09/03/2021	1.00	0.00	09/01/2021	5.05	0.00	-5.05	Expiration
CALL CRWD 08/27/21 247.50	CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210827C00247500						
08/24/2021	1.00	1,494.93	08/23/2021	305.05	0.00	1,189.88	Sell to Close
CALL NVDA 06/25/21 740	NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210625C00740000						
06/22/2021	1.00	1,914.93	06/21/2021	783.05	0.00	1,131.88	Sell to Close
PUT QQQ 11/29/21 360	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211129P00360000						
11/03/2021	1.00	119.94	11/03/2021	117.04	0.00	2.90	Sell to Close
CALL AAPL 07/16/21 141	APPLE INC CUSIP: Symbol(Box 1a): AAPL210716C00141000						
07/07/2021	6.00	2,429.64	07/06/2021	1,524.33	0.00	905.31	Sell to Close
PUT QQQ 09/03/21 378	INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210903P00378000						
09/02/2021	1.00	76.93	09/02/2021	103.05	26.12 D	0.00	Sell to Close
09/02/2021	1.00	56.93	09/02/2021	103.17	0.00	-46.24	Sell to Close
Security Totals:		133.86		206.22		-46.24	
CALL AAPL 12/23/21 155	APPLE INC CUSIP: Symbol(Box 1a): AAPL211223C00155000						
11/17/2021	1.00	301.96	11/17/2021	315.02	0.00	-13.06	Sell to Close
CALL SPY 10/29/21 450	STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY211029C00450000						
10/19/2021	1.00	325.94	10/19/2021	262.04	0.00	63.90	Sell to Close
IRONNET INC	COMMON STOCK CUSIP: 46323Q105 Symbol(Box 1a): IRNT						
10/27/2021	5.00	78.68	10/27/2021	78.70	0.00	-0.02	Sale

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL NVAX 08/13/21 270 NOVAVAX INC CUSIP: Symbol(Box 1a): NVAX210813C00270000							
08/13/2021	1.00	36.93	08/13/2021	31.05	0.00	5.88	Sell to Close
CALL TSLA 08/13/21 712.50 TESLA INC CUSIP: Symbol(Box 1a): TSLA210813C00712500							
08/06/2021	1.00	1,049.93	08/06/2021	945.05	0.00	104.88	Sell to Close
CALL DIS 12/17/21 180 WALT DISNEY CO CUSIP: Symbol(Box 1a): DIS211217C00180000							
11/16/2021	1.00	46.96	11/16/2021	47.02	0.00	-0.06	Sell to Close
CALL QQQ 10/18/21 368 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211018C00368000							
10/15/2021	1.00	156.94	10/15/2021	147.04	0.00	9.90	Sell to Close
GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106 Symbol(Box 1a): GNW							
07/29/2021	1.00	3.41	06/16/2021	4.22	0.81 D	0.00	Sale
08/03/2021	3.00	10.12	Various	0.81	0.00	9.31	Sale
Security Totals:		13.53		5.03		9.31	
CALL GS 11/26/21 400 GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS211126C00400000							
11/22/2021	1.00	279.96	11/22/2021	240.02	0.00	39.94	Sell to Close
PUT NVDA 07/02/21 750 NVIDIA CORP CUSIP: Symbol(Box 1a): NVDA210702P00750000							
06/23/2021	1.00	1,449.93	06/23/2021	1,418.05	0.00	31.88	Sell to Close
CALL MRNA 08/20/21 400 MODERNA INC CUSIP: Symbol(Box 1a): MRNA210820C00400000							
08/16/2021	1.00	499.93	08/16/2021	303.05	0.00	196.88	Sell to Close
08/20/2021	1.00	79.93	08/19/2021	128.05	48.12 D	0.00	Sell to Close
08/20/2021	2.00	19.87	Various	98.23	0.00	-78.36	Sell to Close
Security Totals:		599.73		529.33		118.52	
CALL MSFT 09/17/21 300 MICROSOFT CORP CUSIP: Symbol(Box 1a): MSFT210917C00300000							
09/09/2021	1.00	299.93	09/08/2021	268.05	0.00	31.88	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
09/10/2021	1.00	199.93	09/10/2021	157.05	0.00	42.88	Sell to Close
Security Totals:		499.86		425.10		74.76	
CALL PLUG 08/13/21 27			PLUG POWER INC CUSIP: Symbol(Box 1a): PLUG210813C00027000				
08/05/2021	1.00	101.93	08/05/2021	98.05	0.00	3.88	Sell to Close
CALL TSLA 09/17/21 770			TESLA INC CUSIP: Symbol(Box 1a): TSLA210917C00770000				
09/14/2021	1.00	250.93	09/14/2021	299.05	0.00	-48.12	Sell to Close
CALL CRWD 08/27/21 275			CROWDSTRIKE HOLDINGS INC CL A CUSIP: Symbol(Box 1a): CRWD210827C00275000				
08/26/2021	1.00	275.93	08/25/2021	268.05	0.00	7.88	Sell to Close
DIGITAL WORLD ACQUISITION CORP CLASS A COMMON STOCK CUSIP: 25400Q105 Symbol(Box 1a): DWAC							
10/28/2021	1.00	68.10	10/28/2021	70.05	0.00	-1.95	Sale
CALL SHOP 10/29/21 1600			SHOPIFY INC CUSIP: Symbol(Box 1a): SHOP211029C01600000				
10/28/2021	1.00	104.94	10/28/2021	105.04	0.1 D	0.00	Sell to Close
10/28/2021	1.00	109.94	10/28/2021	105.14	0.00	4.80	Sell to Close
Security Totals:		214.88		210.18		4.80	
CALL AFRM 09/17/21 130			AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM210917C00130000				
09/13/2021	1.00	129.93	09/13/2021	115.05	0.00	14.88	Sell to Close
CALL AAPL 08/27/21 152.50			APPLE INC CUSIP: Symbol(Box 1a): AAPL210827C00152500				
08/23/2021	1.00	43.93	08/23/2021	44.05	0.00	-0.12	Sell to Close
***CAMECO CORP CUSIP: 13321L108 Symbol(Box 1a): CCJ							
10/11/2021	5.00	107.98	10/08/2021	106.65	0.00	1.33	Sale
CALL COIN 08/20/21 275			COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210820C00275000				
08/18/2021	1.00	19.93	08/17/2021	224.17	0.00	-204.24	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

5JN17476

(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****Description of property | CUSIP | Symbol(Box 1a)**

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
08/18/2021	1.00	19.93	08/16/2021	189.05	169.12 D	0.00	Sell to Close
Security Totals:		39.86		413.22		-204.24	
CALL COIN 08/20/21 270			COINBASE GLOBAL INC CUSIP: Symbol(Box 1a): COIN210820C00270000				
08/16/2021	1.00	369.93	08/16/2021	338.05	0.00	31.88	Sell to Close
CALL GS 11/26/21 420			GOLDMAN SACHS GROUP INC CUSIP: Symbol(Box 1a): GS211126C00420000				
11/04/2021	1.00	429.94	11/04/2021	425.04	0.00	4.90	Sell to Close
CALL QQQ 10/13/21 370			INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ211013C00370000				
10/05/2021	1.00	42.94	10/05/2021	35.04	0.00	7.90	Sell to Close
CALL TSLA 06/18/21 620			TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: Symbol(Box 1a): TSLA210618C00620000				
06/18/2021	1.00	749.93	06/11/2021	1,054.05	0.00	-304.12	Sell to Close
DOCUSIGN INC			COMMON STOCK CUSIP: 256163106 Symbol(Box 1a): DOCU				
10/07/2021	2.00	539.32	10/07/2021	539.71	0.00	-0.39	Sale
CALL TSLA 10/01/21 780			TESLA INC CUSIP: Symbol(Box 1a): TSLA211001C00780000				
10/01/2021	1.00	18.94	10/01/2021	98.04	0.00	-79.10	Sell to Close
PUT SPY 08/06/21 440			STANDARD & POORS DEPOSITORY CUSIP: Symbol(Box 1a): SPY210806P00440000				
08/06/2021	1.00	10.93	08/05/2021	64.05	0.00	-53.12	Sell to Close
CALL TSLA 08/20/21 730			TESLA INC CUSIP: Symbol(Box 1a): TSLA210820C00730000				
08/16/2021	2.00	379.87	Various	1,839.34	1459.47 D	0.00	Sell to Close
08/16/2021	2.00	369.87	Various	1,853.10	1483.23 D	0.00	Sell to Close
08/17/2021	2.00	101.87	Various	1,841.58	0.00	-1,739.71	Sell to Close
Security Totals:		851.61		5,534.02		-1,739.71	
CALL ZS 09/17/21 320			ZSCALER INC CUSIP: Symbol(Box 1a): ZS210917C00320000				
09/14/2021	1.00	3.93	09/10/2021	20.05	0.00	-16.12	Sell to Close

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APEX CLEARING

Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B*

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(continued)

01/31/2022

OMB No. 1545-0715

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Description of property | CUSIP | Symbol(Box 1a)

Date Sold or Disposed (Box 1c)	Quantity	Proceeds (Box 1d)	Date Acquired (Box 1b)	Cost or Other Basis (Box 1e)	Accrued Market Discount (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g)	Gain or Loss(-)	Additional Notes
CALL LOW 09/10/21 205 LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210910C00205000							
09/02/2021	1.00	225.93	09/01/2021	208.05	0.00	17.88	Sell to Close
CALL PATH 12/17/21 55 UIPATH INC CLASS A CUSIP: Symbol(Box 1a): PATH211217C00055000							
12/01/2021	1.00	59.96	11/22/2021	175.02	0.00	-115.06	Sell to Close
DOXIMITY INC CLASS A COMMON STOCK CUSIP: 26622P107 Symbol(Box 1a): DOCS							
10/11/2021	10.00	718.68	10/07/2021	833.30	0.00	-114.62	Sale
CALL AFRM 09/17/21 120 AFFIRM HOLDINGS INC CL A CUSIP: Symbol(Box 1a): AFRM210917C00120000							
09/10/2021	1.00	188.93	09/10/2021	155.05	0.00	33.88	Sell to Close
CALL QQQ 08/13/21 370 INVESCO QQQ TRUST SERIES 1 CUSIP: Symbol(Box 1a): QQQ210813C00370000							
08/11/2021	20.00	1,658.89	Various	1,241.07	0.00	417.82	Sell to Close
CALL TSLA 09/17/21 750 TESLA INC CUSIP: Symbol(Box 1a): TSLA210917C00750000							
09/13/2021	1.00	359.93	09/13/2021	470.05	0.00	-110.12	Sell to Close
CALL LOW 09/03/21 205 LOWES COMPANIES INC CUSIP: Symbol(Box 1a): LOW210903C00205000							
08/25/2021	2.00	595.87	Various	435.10	0.00	160.77	Sell to Close
PUT TSLA 09/17/21 615 TESLA INC CUSIP: Symbol(Box 1a): TSLA210917P00615000							
09/13/2021	1.00	56.93	09/13/2021	78.05	0.00	-21.12	Sell to Close
CALL TSLA 10/08/21 800 TESLA INC CUSIP: Symbol(Box 1a): TSLA211008C00800000							
10/08/2021	1.00	95.94	10/08/2021	127.04	0.00	-31.10	Sell to Close
CALL XPEV 10/01/21 38 XPENG INC ADR CLASS A CUSIP: Symbol(Box 1a): XPEV211001C00038000							
10/01/2021	1.00	0.00	10/01/2021	2.04	0.00	-2.04	Expiration
CALL VRM 08/20/21 42.50 VROOM INC CUSIP: Symbol(Box 1a): VRM210820C00042500							
08/11/2021	1.00	106.93	08/11/2021	100.05	0.00	6.88	Sell to Close
Totals:		230,420.63		242,673.38		5,001.55	

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APEX CLEARING

Miscellaneous Information - Details of Form 1099-MISC

2021

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01/31/2022

This section of the information statement provides payment-level details for royalty distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description | CUSIP | Symbol

Date	Royalties(Box 2)	Other Income(Box 3)	Substitute Payments in Lieu of Dividends or Interest (Box 8)
GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106 Symbol: GNW			
06/16/2021	0.00	4.22	0.00
08/02/2021	0.00	10.05	0.00
Security Totals:	0.00	14.27	0.00
***CEMEX S A B DE C V SPONSOR ADR REP 10 ORD PRTCP CRTS OF CEMEX S A DE C V CUSIP: 151290889 Symbol: CX			
06/16/2021	0.00	7.96	0.00
OPKO HEALTH INC CUSIP: 68375N103 Symbol: OPK			
08/02/2021	0.00	3.55	0.00
Totals:	0.00	25.78	0.00

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 or 1040-SR.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States.

See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 or 104-SR.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251.

Boxes 13-15. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040)(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2020.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2021 in 2022.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040 or Form 1040-SR) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to a fishing boat crew member who is considered by the operator to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A, plus any earnings on current and prior year deferrals.

Box 13. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 14. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Box 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID

obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest

and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that

accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered

security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to

amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has

reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security

acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12–14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.