

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 109965756</b>	Statement Date: 02/11/2022 Document ID: 69D7 16Y 8HVN	2021
	Ramesh Kapalavai 2416 Indian Clover Trl Leander, TX 78641  RECIPIENT'S TIN: XXX-XX-3815		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	58,105.47	62,444.45	0.00	874.80	-3,464.18
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>58,105.47</b>	<b>62,444.45</b>	<b>0.00</b>	<b>874.80</b>	<b>-3,464.18</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>58,105.47</b>	<b>62,444.45</b>	<b>0.00</b>	<b>874.80</b>	<b>-3,464.18</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 109965756

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	06/30/21	56.00	...	-56.00	Option expiration- long call
AAPL 07/23/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	07/19/21	19.00	...	15.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	50.98	07/19/21	19.00	...	31.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/21	2.000	85.96	Various	38.00	...	47.96	Total of 2 transactions
ABEV 03/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	4.000	23.98	02/22/21	40.00	...	-16.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/22/21	20.02	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/21	5.000	29.98	Various	60.02	...	-30.04	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABEV 03/19/2021 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
02/25/21	1.000	4.98	02/22/21	15.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>34.96</b>		<b>75.02</b>	<b>10.02 W</b>	<b>-30.04</b>	
ABNB 07/09/2021 CALL \$160.00 / CUSIP: / Symbol:							
06/29/21	1.000	89.98	06/25/21	220.00	...	-130.02	Option sale to close-call <sup>25</sup>
AHT 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
	2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	3.000	0.00	06/25/21	135.00	...	-135.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/25/21	90.00	...	-90.00	2 of 2 - Option expiration- long call
07/16/21	5.000	0.00	Various	225.00	...	-225.00	Total of 2 transactions
AI 01/21/2022 CALL \$60.00 / CUSIP: / Symbol:							
09/01/21	1.000	679.98	08/09/21	620.00	...	59.98	Option sale to close-call <sup>25</sup>
AI 06/18/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/18/21	1.000	0.00	06/11/21	65.00	...	-65.00	Option expiration- long call
AM 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/19/21	5.000	0.00	02/16/21	40.00	...	-40.00	Option expiration- long call
AMC 02/26/2021 CALL \$13.00 / CUSIP: / Symbol:							
02/24/21	1.000	74.98	02/24/21	67.00	...	7.98	Option sale to close-call <sup>25</sup>
APP 07/16/2021 PUT \$70.00 / CUSIP: / Symbol:							
07/01/21	1.000	269.98	06/30/21	180.00	...	89.98	Option sale to close-put <sup>25</sup>
AZN 03/12/2021 PUT \$46.00 / CUSIP: / Symbol:							
03/12/21	5.000	0.00	03/11/21	20.00	...	-20.00	Option expiration- long put
BA 04/01/2021 CALL \$305.00 / CUSIP: / Symbol:							
03/16/21	2.000	247.98	03/16/21	302.00	...	-54.02	Option sale to close-call <sup>25</sup>
BA 07/09/2021 CALL \$242.50 / CUSIP: / Symbol:							
07/01/21	1.000	285.98	06/30/21	280.00	...	5.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 03/05/2021 CALL \$232.50 / CUSIP: / Symbol:							
03/05/21	1.000	49.98	03/05/21	69.00	...	-19.02	Option sale to close-call <sup>25</sup>
BABA 07/09/2021 CALL \$240.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/29/21	150.00	...	-150.00	Option expiration- long call
BABA 10/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
10/15/21	1.000	0.00	07/06/21	380.00	...	-380.00	Option expiration- long call
BAC 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/09/21	2.000	157.98	07/07/21	120.00	...	37.98	Option sale to close-call <sup>25</sup>
07/16/21	3.000	0.00	07/07/21	180.00	...	-180.00	Option expiration- long call
<b>Security total:</b>		<b>157.98</b>		<b>300.00</b>	...	<b>-142.02</b>	
BAC 08/27/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/27/21	10.000	0.00	08/25/21	10.00	...	-10.00	Option expiration- long call
CCAC 05/21/2021 CALL \$10.00 / CUSIP: / Symbol:							
05/21/21	3.000	0.00	03/11/21	210.00	...	-210.00	Option expiration- long call
CCIV 01/21/2022 CALL \$95.00 / CUSIP: / Symbol:							
03/10/21	2.000	539.98	03/09/21	444.00	...	95.98	Option sale to close-call <sup>25</sup>
CCIV 03/05/2021 CALL \$43.00 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	03/01/21	40.00	...	-40.00	Option expiration- long call
CCIV 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/25/21	1.000	185.98	02/23/21	630.00	...	-444.02	Option sale to close-call <sup>25</sup>
CCIV 07/09/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/24/21	1.000	184.98	06/23/21	149.00	...	35.98	Option sale to close-call <sup>25</sup>
CCIV 07/30/2021 CALL \$28.50 / CUSIP: / Symbol:							
07/01/21	1.000	354.98	06/30/21	245.00	...	109.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	1.000	28.99	07/14/21	85.00	...	-56.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	28.99	07/14/21	85.00	...	-56.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/21	2.000	57.98	Various	170.00	...	-112.02	Total of 2 transactions
CGC 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/04/21	1.000	4.98	11/27/20	76.00	...	-71.02	Option sale to close-call <sup>25</sup>
CLOV 12/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
06/03/21	1.000	64.98	03/05/21	45.00	...	19.98	Option sale to close-call <sup>25</sup>
CLOV 12/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
06/07/21	1.000	104.98	02/25/21	102.00	...	2.98	Option sale to close-call <sup>25</sup>
COIN 07/02/2021 CALL \$250.00 / CUSIP: / Symbol:							
06/29/21	1.000	899.98	06/28/21	100.00	...	799.98	Option sale to close-call <sup>25</sup>
CRM 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	12/03/20	166.00	...	-166.00	Option expiration- long call
CSCO 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	1.000	109.98	02/04/21	86.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	111.98	02/04/21	86.00	...	25.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/05/21	2.000	221.96	Various	172.00	...	49.96	Total of 2 transactions
DKNG 04/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/12/21	300.00	...	-300.00	Option expiration- long call
DKNG 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/01/21	190.00	...	-190.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/01/21	190.00	...	-190.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	380.00	...	-380.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DLTR 07/09/2021 CALL \$99.00 / CUSIP: / Symbol:							
06/30/21	1.000	183.98	06/25/21	110.00	...	73.98	Option sale to close-call <sup>25</sup>
EBAY 07/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
06/10/21	1.000	301.98	04/29/21	73.00	...	228.98	Option sale to close-call <sup>25</sup>
06/25/21	1.000	334.98	04/29/21	73.00	...	261.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>636.96</b>		<b>146.00</b>	...	<b>490.96</b>	
EDIT 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
04/12/21	1.000	14.98	03/10/21	200.00	...	-185.02	Option sale to close-call <sup>25</sup>
EXPE 07/16/2021 CALL \$175.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/25/21	280.00	...	-280.00	Option expiration- long call
F 02/12/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	02/01/21	34.00	...	-34.00	Option expiration- long call
F 04/16/2021 CALL \$16.00 / CUSIP: / Symbol:							
04/16/21	5.000	0.00	03/11/21	70.00	...	-70.00	Option expiration- long call
FSLY 07/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/07/21	1.000	251.98	06/03/21	200.00	...	51.98	Option sale to close-call <sup>25</sup>
FSLY 08/20/2021 CALL \$70.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/30/21	360.00	...	-360.00	Option expiration- long call
FSR 09/10/2021 CALL \$15.00 / CUSIP: / Symbol:							
09/10/21	2.000	0.00	09/01/21	66.00	...	-66.00	Option expiration- long call
GOLD 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	0.00	06/11/21	350.00	...	-350.00	1 of 2 - Option expiration- long call
	5.000	0.00	06/17/21	115.00	...	-115.00	2 of 2 - Option expiration- long call
08/20/21	10.000	0.00	Various	465.00	...	-465.00	Total of 2 transactions
ICLN 04/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/19/21	1.000	749.97	11/05/20	110.00	...	639.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 109965756

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LI 04/23/2021 CALL \$22.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/13/21	75.00	...	-75.00	Option expiration- long call
LI 05/21/2021 CALL \$22.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	04/15/21	109.00	...	-109.00	Option expiration- long call
LVS 07/16/2021 CALL \$52.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	06/25/21	193.00	...	-193.00	Option expiration- long call
M 07/16/2021 CALL \$22.00 / CUSIP: / Symbol:							
06/09/21	1.000	74.98	06/03/21	49.00	...	25.98	Option sale to close-call <sup>25</sup>
MARA 07/16/2021 CALL \$29.00 / CUSIP: / Symbol:							
06/29/21	1.000	499.98	06/25/21	268.00	...	231.98	Option sale to close-call <sup>25</sup>
NET 02/05/2021 CALL \$90.00 / CUSIP: / Symbol:							
02/05/21	1.000	2.98	01/20/21	240.00	...	-237.02	Option sale to close-call <sup>25</sup>
NIO 04/23/2021 CALL \$38.00 / CUSIP: / Symbol:							
04/21/21	1.000	123.98	04/14/21	123.00	...	0.98	Option sale to close-call <sup>25</sup>
NIO 04/23/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/23/21	1.000	54.98	04/22/21	48.00	...	6.98	Option sale to close-call <sup>25</sup>
NIO 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/14/21	1.000	219.98	04/14/21	297.00	...	-77.02	Option sale to close-call <sup>25</sup>
NOK 03/26/2021 CALL \$4.50 / CUSIP: / Symbol:							
03/26/21	2.000	0.00	03/18/21	14.00	...	-14.00	Option expiration- long call
NOK 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/26/21	1.000	31.98	10/19/20	5.00	...	26.98	Option sale to close-call <sup>25</sup>
		2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.					
	1.000	215.98	10/19/20	5.00	...	210.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	216.98	10/19/20	5.00	...	211.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	432.96	Various	10.00	...	422.96	Total of 2 transactions

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

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NOK 04/16/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	10/19/20	5.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	10/19/20	5.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/21	2.000	13.96	Various	10.00	...	3.96	Total of 2 transactions
	<b>Security total:</b>	<b>478.90</b>		<b>25.00</b>	...	<b>453.90</b>	
OPEN 03/19/2021 CALL \$32.00 / CUSIP: / Symbol:							
02/08/21	1.000	219.98	02/05/21	215.00	...	4.98	Option sale to close-call <sup>25</sup>
PATH 07/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
06/29/21	1.000	284.98	06/25/21	300.00	...	-15.02	Option sale to close-call <sup>25</sup>
PATH 07/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	294.98	06/25/21	160.00	...	134.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.98	06/25/21	160.00	...	179.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/28/21	2.000	634.96	Various	320.00	...	314.96	Total of 2 transactions
PLTR 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/05/21	1.000	505.97	02/03/21	400.00	...	105.97	Option sale to close-call <sup>25</sup>
PLTR 04/23/2021 CALL \$24.50 / CUSIP: / Symbol:							
04/13/21	1.000	122.98	04/12/21	116.00	...	6.98	Option sale to close-call <sup>25</sup>
04/14/21	1.000	221.98	04/12/21	116.00	...	105.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>344.96</b>		<b>232.00</b>	...	<b>112.96</b>	
QS 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	1.000	402.98	02/10/21	175.00	...	227.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	439.98	02/10/21	175.00	...	264.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/12/21	2.000	842.96	Various	350.00	...	492.96	Total of 2 transactions
RKT 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	12/10/20	80.00	...	-80.00	Option expiration- long call

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROKU 06/18/2021 CALL \$350.00 / CUSIP: / Symbol:							
06/11/21	1.000	844.98	06/11/21	960.00	115.02 W	0.00	Option sale to close-call <sup>25</sup>
06/14/21	1.000	1,144.98	06/11/21	980.02	...	164.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,989.96</b>		<b>1,940.02</b>	<b>115.02 W</b>	<b>164.96</b>	
ROOT 08/20/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/29/21	125.00	...	-125.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/29/21	125.00	...	-125.00	2 of 2 - Option expiration- long call
08/20/21	2.000	0.00	Various	250.00	...	-250.00	Total of 2 transactions
SNOW 07/23/2021 CALL \$300.00 / CUSIP: / Symbol:							
06/28/21	1.000	84.98	06/24/21	190.00	...	-105.02	Option sale to close-call <sup>25</sup>
SNPR 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/08/21	1.000	349.98	01/25/21	160.00	...	189.98	Option sale to close-call <sup>25</sup>
SNPR 04/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/25/21	1.000	64.98	02/12/21	230.00	...	-165.02	Option sale to close-call <sup>25</sup>
SOFI 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/20/21	1.000	0.00	06/29/21	295.00	...	-295.00	Option expiration- long call
SOLO 03/05/2021 CALL \$8.00 / CUSIP: / Symbol:							
02/25/21	1.000	9.98	02/18/21	90.00	...	-80.02	Option sale to close-call <sup>25</sup>
SOLO 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/12/21	1.000	123.98	02/05/21	175.00	...	-51.02	Option sale to close-call <sup>25</sup>
03/19/21	4.000	0.00	02/05/21	700.00	...	-700.00	Option expiration- long call
	<b>Security total:</b>	<b>123.98</b>		<b>875.00</b>	...	<b>-751.02</b>	
SPLK 01/22/2021 CALL \$200.00 / CUSIP: / Symbol:							
01/19/21	1.000	11.98	12/08/20	140.00	...	-128.02	Option sale to close-call <sup>25</sup>
SPY 03/10/2021 PUT \$370.00 / CUSIP: / Symbol:							
03/08/21	1.000	56.98	03/05/21	93.00	...	-36.02	Option sale to close-put <sup>25</sup>

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Account 109965756

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 03/12/2021 PUT \$390.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	10.000	129.97	03/12/21	1,150.02	...	-1,020.05	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	44.99	03/12/21	195.00	...	-150.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/12/21	13.000	174.96	Various	1,345.02	...	-1,170.06	Total of 2 transactions
03/12/21	12.000	179.97	03/12/21	780.00	500.02 W	-100.01	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>354.93</b>		<b>2,125.02</b>	<b>500.02 W</b>	<b>-1,270.07</b>	
SPY 03/15/2021 PUT \$391.00 / CUSIP: / Symbol:							
03/15/21	1.000	0.98	03/12/21	85.00	...	-84.02	Option sale to close-put <sup>25</sup>
SPY 03/17/2021 CALL \$400.00 / CUSIP: / Symbol:							
03/16/21	1.000	68.98	03/15/21	32.00	...	36.98	Option sale to close-call <sup>25</sup>
SPY 04/14/2021 CALL \$412.00 / CUSIP: / Symbol:							
04/12/21	1.000	145.98	04/09/21	98.00	...	47.98	Option sale to close-call <sup>25</sup>
SPY 04/26/2021 PUT \$412.00 / CUSIP: / Symbol:							
04/26/21	1.000	0.00	04/23/21	25.00	...	-25.00	Option expiration- long put
SPY 07/02/2021 PUT \$427.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/28/21	201.00	...	-201.00	Option expiration- long put
SWN 12/17/2021 CALL \$7.00 / CUSIP: / Symbol:							
12/17/21	4.000	0.00	06/29/21	180.00	...	-180.00	Option expiration- long call
TRP 03/19/2021 PUT \$40.00 / CUSIP: / Symbol:							
01/27/21	1.000	102.98	01/25/21	75.00	...	27.98	Option sale to close-put <sup>25</sup>
TSCO 07/30/2021 CALL \$205.00 / CUSIP: / Symbol:							
07/30/21	1.000	0.00	06/29/21	150.00	...	-150.00	Option expiration- long call
TSLA 06/25/2021 CALL \$650.00 / CUSIP: / Symbol:							
06/23/21	1.000	1,204.98	06/15/21	573.00	...	631.98	Option sale to close-call <sup>25</sup>
TSLA 06/25/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/18/21	1.000	124.98	06/14/21	158.00	...	-33.02	Option sale to close-call <sup>25</sup>

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V 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/11/20	18.00	...	-18.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/11/20	18.00	...	-18.00	2 of 2 - Option expiration- long call
01/15/21	2.000	0.00	Various	36.00	...	-36.00	Total of 2 transactions
WISH 07/02/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/21/21	324.00	...	-324.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/24/21	100.00	...	-100.00	2 of 2 - Option expiration- long call
07/02/21	3.000	0.00	Various	424.00	...	-424.00	Total of 2 transactions
WKHS 07/09/2021 CALL \$15.50 / CUSIP: / Symbol:							
06/23/21	1.000	159.98	06/18/21	169.00	...	-9.02	Option sale to close-call <sup>25</sup>
XLE 07/30/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/30/21	2.000	0.00	07/01/21	330.00	...	-330.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	50.000	141.50	01/15/21	117.50	...	24.00	1 of 2 - Sale <sup>25</sup>
	50.000	152.00	01/15/21	117.50	...	34.50	2 of 2 - Sale <sup>25</sup>
01/19/21	100.000	293.50	Various	235.00	...	58.50	Total of 2 transactions
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
01/14/21	1.000	116.73	01/13/21	99.76	...	16.97	Sale <sup>25</sup>
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol:							
02/18/21	125.000	228.12	02/12/21	250.00	...	-21.88	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/25/21	1.000	263.37	11/16/20	258.25	...	5.12	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
02/22/21	15.000	311.10	02/17/21	268.46	...	42.64	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
02/03/21	200.000	575.96	01/26/21	564.00	...	11.96	Sale <sup>25</sup>
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
02/10/21	30.000	254.08	02/01/21	200.40	...	53.68	Sale <sup>25</sup>
BIRKS GROUP INC / CUSIP: 09088U109 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	125.000	161.38	01/26/21	181.12	...	-19.74	1 of 2 - Sale <sup>25</sup>
	25.000	32.28	01/26/21	36.22	...	-3.94	2 of 2 - Sale <sup>25</sup>
01/28/21	150.000	193.66	Various	217.34	...	-23.68	Total of 2 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
02/25/21	10.000	383.00	02/23/21	376.69	...	6.31	Sale <sup>25</sup>
BLUEBIRD BIO, INC. COMMON STOC K / CUSIP: 09609G100 / Symbol:							
06/30/21	1.000	31.77	06/14/21	35.39	...	-3.62	Sale <sup>25</sup>
09/15/21	4.000	71.24	06/14/21	141.57	...	-70.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>103.01</b>		<b>176.96</b>	...	<b>-73.95</b>	
CF ACQUISITION CORP. IV CLASS A COMMON STOCK / CUSIP: 12520T102 / Symbol:							
03/04/21	25.000	249.50	02/25/21	257.50	...	-8.00	Sale <sup>25</sup>
CF FINANCE ACQUISITION CORP. I II COMMON STOCK / CUSIP: 12529D108 / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	9.000	107.19	01/14/21	119.65	...	-12.46	1 of 2 - Sale <sup>25</sup>
	1.000	11.91	01/14/21	13.30	...	-1.39	2 of 2 - Sale <sup>25</sup>
01/28/21	10.000	119.10	Various	132.95	...	-13.85	Total of 2 transactions
CARLOTZ, INC. CLASS A COMMON S TOCK / CUSIP: 142552108 / Symbol:							
01/28/21	25.000	273.49	01/26/21	285.75	9.81 W	-2.45	Sale <sup>25</sup>
02/18/21	20.000	216.56	01/29/21	218.21	...	-1.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>490.05</b>		<b>503.96</b>	<b>9.81 W</b>	<b>-4.10</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
02/22/21	15.000	399.90	02/17/21	347.55	...	52.35	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CBAK ENERGY TECHNOLOGY, INC. COMMON STOCK / CUSIP: 14986C102 / Symbol:							
01/28/21	20.000	140.00	01/25/21	181.40	...	-41.40	Sale <sup>25</sup>
CHINA AUTOMOTIVE SYSTEMS, INC. COMMON STOCK / CUSIP: 16936R105 / Symbol:							
01/05/21	54.733	358.21	11/30/20	348.09	...	10.12	Sale <sup>25</sup>
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
01/15/21	25.000	481.75	01/11/21	306.75	...	175.00	Sale <sup>25</sup>
	6 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	10.000	291.00	01/11/21	122.60	...	168.40	1 of 6 - Sale <sup>25</sup>
	15.000	436.50	01/11/21	184.05	...	252.45	2 of 6 - Sale <sup>25</sup>
	0.500	14.55	01/28/21	11.30	...	3.25	3 of 6 - Sale <sup>25</sup>
	2.500	72.75	01/28/21	51.12	...	21.63	4 of 6 - Sale <sup>25</sup>
	4.000	116.40	01/28/21	81.40	...	35.00	5 of 6 - Sale <sup>25</sup>
	18.000	523.79	01/28/21	407.70	...	116.09	6 of 6 - Sale <sup>25</sup>
02/25/21	50.000	1,454.99	Various	858.17	...	596.82	Total of 6 transactions
02/25/21	10.000	290.99	02/23/21	355.47	64.48 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	10.000	257.67	02/26/21	360.68	...	-103.01	1 of 5 - Sale <sup>25</sup>
	15.000	386.50	03/03/21	403.20	...	-16.70	2 of 5 - Sale <sup>25</sup>
	10.000	257.67	03/04/21	215.28	...	42.39	3 of 5 - Sale <sup>25</sup>
	1.000	25.77	03/22/21	26.22	...	-0.45	4 of 5 - Sale <sup>25</sup>
	8.000	206.12	04/15/21	173.04	...	33.08	5 of 5 - Sale <sup>25</sup>
06/07/21	44.000	1,133.73	Various	1,178.42	...	-44.69	Total of 5 transactions
	<b>Security total:</b>	<b>3,361.46</b>		<b>2,698.81</b>	<b>64.48 W</b>	<b>727.13</b>	
CHURCHILL CAPITAL CORP II CLASS A COMMON STOCK / CUSIP: 17143G106 / Symbol:							
02/24/21	25.000	252.25	02/22/21	252.22	...	0.03	Sale <sup>25</sup>
CLOVER HEALTH INVESTMENTS, CORP. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
04/29/21	20.000	228.60	01/27/21	310.00	...	-81.40	Sale <sup>25</sup>
06/07/21	100.000	1,140.98	03/02/21	939.00	...	201.98	Sale <sup>25</sup>
	2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.						
	15.000	171.15	01/27/21	232.50	61.35 W	0.00	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 109965756

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
06/07/21	35.000	399.35	02/04/21	443.80	12.70 W	-31.75	2 of 2 - Sale <sup>25</sup>
	50.000	570.50	Various	676.30	74.05 W	-31.75	Total of 2 transactions
	2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	15.000	344.99	06/08/21	330.07	...	14.92	1 of 2 - Sale <sup>25</sup>
	10.000	230.00	06/08/21	191.84	...	38.16	2 of 2 - Sale <sup>25</sup>
06/08/21	25.000	574.99	Various	521.91	...	53.08	Total of 2 transactions
	<b>Security total:</b>	<b>2,515.07</b>		<b>2,447.21</b>	<b>74.05 W</b>	<b>141.91</b>	
COHU, INC. COMMON STOCK / CUSIP: 192576106 / Symbol:							
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	6.000	268.44	02/16/21	302.04	...	-33.60	1 of 2 - Sale <sup>25</sup>
	4.000	178.80	02/16/21	201.36	...	-22.56	2 of 2 - Sale <sup>25</sup>
02/25/21	10.000	447.24	Various	503.40	...	-56.16	Total of 2 transactions
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
01/06/21	25.000	511.48	12/16/20	509.50	...	1.98	Sale <sup>25</sup>
	3 transactions for 06/21/21. Total proceeds and cost reported to the IRS.						
	5.000	58.51	06/14/21	52.85	...	5.66	1 of 3 - Sale <sup>25</sup>
	5.000	64.61	06/14/21	52.85	...	11.76	2 of 3 - Sale <sup>25</sup>
	5.000	68.66	06/14/21	52.85	...	15.81	3 of 3 - Sale <sup>25</sup>
06/21/21	15.000	191.78	Various	158.55	...	33.23	Total of 3 transactions
06/28/21	5.000	73.85	06/14/21	52.85	...	21.00	Sale <sup>25</sup>
09/15/21	15.000	95.55	06/14/21	158.55	...	-63.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>872.66</b>		<b>879.45</b>	...	<b>-6.79</b>	
CONX CORP. CLASS A COMMON STOC K / CUSIP: 212873103 / Symbol:							
02/12/21	35.000	367.50	02/08/21	370.58	...	-3.08	Sale <sup>25</sup>
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
	2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	15.000	114.22	06/14/21	121.65	...	-7.43	1 of 2 - Sale <sup>25</sup>
	10.000	77.85	06/14/21	81.10	...	-3.25	2 of 2 - Sale <sup>25</sup>
06/24/21	25.000	192.07	Various	202.75	...	-10.68	Total of 2 transactions

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CYCLERION THERAPEUTICS, INC. COMMON STOCK / CUSIP: 23255M105 / Symbol:							
<i>3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.</i>							
	35.000	144.58	06/07/21	119.00	...	25.58	1 of 3 - Sale <sup>25</sup>
	50.000	209.03	06/07/21	170.00	...	39.03	2 of 3 - Sale <sup>25</sup>
	65.000	268.51	06/07/21	219.70	...	48.81	3 of 3 - Sale <sup>25</sup>
06/08/21	150.000	622.12	Various	508.70	...	113.42	Total of 3 transactions
06/09/21	50.000	198.25	06/07/21	170.00	...	28.25	Sale <sup>25</sup>
06/18/21	50.000	187.00	06/07/21	170.00	...	17.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,007.37</b>		<b>848.70</b>	...	<b>158.67</b>	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRESNTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
<i>2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	142.56	06/30/21	149.54	...	-6.98	1 of 2 - Sale <sup>25</sup>
	1.000	15.84	06/30/21	16.61	...	-0.77	2 of 2 - Sale <sup>25</sup>
07/01/21	10.000	158.40	Various	166.15	...	-7.75	Total of 2 transactions
09/15/21	5.000	41.15	06/30/21	83.07	...	-41.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>199.55</b>		<b>249.22</b>	...	<b>-49.67</b>	
DECARBONIZATION PLUS ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: 242797108 / Symbol:							
02/25/21	25.000	301.50	02/08/21	458.70	...	-157.20	Sale <sup>25</sup>
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
<i>2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	120.000	231.59	02/25/21	259.14	...	-27.55	1 of 2 - Sale <sup>25</sup>
	5.000	9.65	02/25/21	10.80	...	-1.15	2 of 2 - Sale <sup>25</sup>
02/25/21	125.000	241.24	Various	269.94	...	-28.70	Total of 2 transactions
ENERGY FOCUS, INC. COMMON STOCK / CUSIP: 29268T409 / Symbol:							
<i>3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.</i>							
	94.000	511.34	06/14/21	594.55	...	-83.21	1 of 3 - Sale <sup>25</sup>
	5.000	27.20	06/14/21	31.62	...	-4.42	2 of 3 - Sale <sup>25</sup>
	1.000	5.44	06/14/21	6.33	...	-0.89	3 of 3 - Sale <sup>25</sup>
06/14/21	100.000	543.98	Various	632.50	...	-88.52	Total of 3 transactions

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Robinhood Securities LLC

Account 109965756

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/25/21	100.000	324.99	02/25/21	346.75	...	-21.76	Sale <sup>25</sup>
FIRSTMARK HORIZON ACQUISITION CORP. / CUSIP: 33765Y101 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	236.39	02/08/21	242.00	...	-5.61	1 of 2 - Sale <sup>25</sup>
	10.000	117.90	02/08/21	121.00	...	-3.10	2 of 2 - Sale <sup>25</sup>
02/22/21	30.000	354.29	Various	363.00	...	-8.71	Total of 2 transactions
FISKER INC. / CUSIP: 33813J106 / Symbol:							
<i>2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	311.20	12/10/20	310.20	...	1.00	1 of 2 - Sale <sup>25</sup>
	10.000	155.60	12/11/20	146.19	...	9.41	2 of 2 - Sale <sup>25</sup>
01/05/21	30.000	466.80	Various	456.39	...	10.41	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
01/28/21	15.000	327.45	01/14/21	254.55	...	72.90	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
01/07/21	25.000	741.36	12/31/20	735.00	...	6.36	Sale <sup>25</sup>
02/12/21	20.000	901.98	01/29/21	862.20	...	39.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,643.34</b>		<b>1,597.20</b>	...	<b>46.14</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
01/19/21	50.000	550.98	01/13/21	362.50	...	188.48	Sale <sup>25</sup>
<i>2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	91.98	01/13/21	50.75	...	41.23	1 of 2 - Sale <sup>25</sup>
	28.000	367.92	01/13/21	203.00	...	164.92	2 of 2 - Sale <sup>25</sup>
01/26/21	35.000	459.90	Various	253.75	...	206.15	Total of 2 transactions
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	167.40	01/13/21	108.75	...	58.65	1 of 2 - Sale <sup>25</sup>
	15.000	167.40	01/20/21	135.53	...	31.87	2 of 2 - Sale <sup>25</sup>
01/28/21	30.000	334.80	Various	244.28	...	90.52	Total of 2 transactions
	<b>Security total:</b>	<b>1,345.68</b>		<b>860.53</b>	...	<b>485.15</b>	

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Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GIGCAPITAL3, INC. / CUSIP: 37519D107 / Symbol:							
01/28/21	17.000	246.06	01/27/21	253.30	...	-7.24	Sale <sup>25</sup>
GRUPO SUPERVIELLE S.A. / CUSIP: 40054A108 / Symbol:							
02/18/21	100.000	243.99	02/18/21	316.95	...	-72.96	Sale <sup>25</sup>
HOLICITY INC. CLASS A COMMON S TOCK / CUSIP: 435063102 / Symbol:							
02/25/21	15.000	226.80	02/16/21	297.45	70.65 W	0.00	Sale <sup>25</sup>
03/01/21	15.000	230.25	02/26/21	282.45	...	-52.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>457.05</b>		<b>579.90</b>	<b>70.65 W</b>	<b>-52.20</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
02/10/21	25.000	401.63	02/03/21	394.47	...	7.16	Sale <sup>25</sup>
ISHARES GLOBAL CLEAN ENERGY ET F / CUSIP: 464288224 / Symbol:							
	<i>5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	154.45	10/08/20	109.50	...	44.95	1 of 5 - Sale <sup>25</sup>
	5.000	155.36	10/08/20	109.50	...	45.86	2 of 5 - Sale <sup>25</sup>
	15.000	467.61	10/08/20	328.50	...	139.11	3 of 5 - Sale <sup>25</sup>
	25.000	779.36	10/08/20	529.95	...	249.41	4 of 5 - Sale <sup>25</sup>
	10.000	311.74	10/12/20	210.00	...	101.74	5 of 5 - Sale <sup>25</sup>
02/05/21	60.000	1,868.52	Various	1,287.45	...	581.07	Total of 5 transactions
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
02/05/21	15.000	371.55	01/29/21	377.85	...	-6.30	3- Proceeds from collectibles [X] Sale <sup>25</sup>
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
01/28/21	75.000	139.50	01/22/21	163.88	...	-24.38	Sale <sup>25</sup>
LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol:							
03/04/21	15.000	290.77	02/26/21	288.75	...	2.02	Sale <sup>25</sup>
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
02/18/21	30.000	210.60	02/12/21	233.70	...	-23.10	Sale <sup>25</sup>
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
07/28/21	10.000	282.03	07/26/21	281.62	...	0.41	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/11/21	2.000	90.94	02/16/21	120.60	...	-29.66	Sale <sup>25</sup>
06/14/21	3.000	140.51	02/16/21	180.90	...	-40.39	Sale <sup>25</sup>
3 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	2.000	96.44	03/02/21	93.18	...	3.26	1 of 3 - Sale <sup>25</sup>
	3.000	143.85	03/02/21	139.77	...	4.08	2 of 3 - Sale <sup>25</sup>
	5.000	247.10	03/18/21	213.25	...	33.85	3 of 3 - Sale <sup>25</sup>
06/28/21	10.000	487.39	Various	446.20	...	41.19	Total of 3 transactions
	<b>Security total:</b>	<b>718.84</b>		<b>747.70</b>	...	<b>-28.86</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
01/28/21	100.000	467.49	01/19/21	407.50	...	59.99	Sale <sup>25</sup>
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	51.000	202.02	02/08/21	216.50	...	-14.48	1 of 2 - Sale <sup>25</sup>
	49.000	194.10	02/08/21	208.25	...	-14.15	2 of 2 - Sale <sup>25</sup>
02/23/21	100.000	396.12	Various	424.75	...	-28.63	Total of 2 transactions
	<b>Security total:</b>	<b>863.61</b>		<b>832.25</b>	...	<b>31.36</b>	
NORTHERN STAR INVESTMENT CORP. II / CUSIP: 66573W107 / Symbol:							
02/25/21	30.000	309.90	02/23/21	309.30	...	0.60	Sale <sup>25</sup>
THE OLB GROUP, INC. COMMON STOCK / CUSIP: 67086U307 / Symbol:							
01/05/21	50.000	265.50	12/22/20	265.00	...	0.50	Sale <sup>25</sup>
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
01/28/21	500.000	639.92	01/20/21	640.00	0.04 W	-0.04	Sale <sup>25</sup>
02/11/21	25.000	55.80	01/29/21	38.75	...	17.05	Sale <sup>25</sup>
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	75.000	154.50	01/29/21	116.26	...	38.24	1 of 2 - Sale <sup>25</sup>
	150.000	294.00	01/29/21	232.53	...	61.47	2 of 2 - Sale <sup>25</sup>
02/12/21	225.000	448.50	Various	348.79	...	99.71	Total of 2 transactions
	<b>Security total:</b>	<b>1,144.22</b>		<b>1,027.54</b>	<b>0.04 W</b>	<b>116.72</b>	
OCUPHIRE PHARMA, INC. COMMON STOCK / CUSIP: 67577R102 / Symbol:							
09/15/21	50.000	232.86	06/30/21	271.91	...	-39.05	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol:							
03/31/21	10.000	413.00	03/17/21	406.16	...	6.84	Sale <sup>25</sup>
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
01/05/21	100.000	430.49	12/23/20	428.00	...	2.49	Sale <sup>25</sup>
NEXTPLAT CORP COMMON STOCK / CUSIP: 68557F209 / Symbol:							
06/10/21	100.000	603.98	06/10/21	711.85	...	-107.87	Sale <sup>25</sup>
ORGANOGENESIS HOLDINGS INC. CL ASS A COMMON STOCK / CUSIP: 68621F102 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	6.000	62.83	01/20/21	61.95	...	0.88	1 of 2 - Sale <sup>25</sup>
	24.000	251.33	01/20/21	247.80	...	3.53	2 of 2 - Sale <sup>25</sup>
01/25/21	30.000	314.16	Various	309.75	...	4.41	Total of 2 transactions
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
3 transactions for 06/16/21. Total proceeds and cost reported to the IRS.							
	5.000	66.30	06/11/21	65.00	...	1.30	1 of 3 - Sale <sup>25</sup>
	5.000	87.40	06/11/21	65.00	...	22.40	2 of 3 - Sale <sup>25</sup>
	5.000	106.08	06/11/21	65.00	...	41.08	3 of 3 - Sale <sup>25</sup>
06/16/21	15.000	259.78	Various	195.00	...	64.78	Total of 3 transactions
07/16/21	10.000	54.54	06/11/21	130.00	...	-75.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>314.32</b>		<b>325.00</b>	...	<b>-10.68</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	8.000	234.92	02/16/21	243.52	...	-8.60	1 of 2 - Sale <sup>25</sup>
	7.000	205.55	02/16/21	213.08	...	-7.53	2 of 2 - Sale <sup>25</sup>
02/16/21	15.000	440.47	Various	456.60	...	-16.13	Total of 2 transactions
02/16/21	10.000	293.64	02/12/21	315.80	22.16 W	0.00	Sale <sup>25</sup>
02/25/21	10.000	243.60	02/18/21	285.06	...	-41.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>977.71</b>		<b>1,057.46</b>	<b>22.16 W</b>	<b>-57.59</b>	
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
02/18/21	20.000	161.03	02/12/21	167.79	...	-6.76	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PETCO HEALTH AND WELLNESS COMP ANY, INC. CLASS A COMMON STOCK / CUSIP: 71601V105 / Symbol:							
02/12/21	15.000	385.20	01/14/21	437.10	...	-51.90	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>13 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.375	17.07	01/19/21	25.00	...	-7.93	1 of 13 - Sale <sup>25</sup>
	0.408	18.60	01/20/21	25.00	...	-6.40	2 of 13 - Sale <sup>25</sup>
	0.389	17.71	01/21/21	25.00	...	-7.29	3 of 13 - Sale <sup>25</sup>
	0.385	17.52	01/22/21	25.00	...	-7.48	4 of 13 - Sale <sup>25</sup>
	0.250	11.39	01/29/21	20.15	...	-8.76	5 of 13 - Sale <sup>25</sup>
	0.141	6.42	01/29/21	9.02	...	-2.60	6 of 13 - Sale <sup>25</sup>
	0.393	17.90	02/01/21	25.00	...	-7.10	7 of 13 - Sale <sup>25</sup>
	0.395	18.00	02/02/21	25.00	...	-7.00	8 of 13 - Sale <sup>25</sup>
	0.374	17.02	02/03/21	25.00	...	-7.98	9 of 13 - Sale <sup>25</sup>
	0.363	16.52	02/04/21	25.00	...	-8.48	10 of 13 - Sale <sup>25</sup>
	0.376	17.14	02/05/21	25.00	...	-7.86	11 of 13 - Sale <sup>25</sup>
	2.000	91.07	02/22/21	99.66	...	-8.59	12 of 13 - Sale <sup>25</sup>
	0.000	0.02	02/22/21	0.03	...	-0.01	13 of 13 - Sale <sup>25</sup>
02/25/21	5.849	266.38	Various	353.86	...	-87.48	Total of 13 transactions
<i>2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	0.152	6.91	01/15/21	9.44	0.01 W	-2.52	1 of 2 - Sale <sup>25</sup>
	0.250	11.39	01/15/21	15.56	4.17 W	0.00	2 of 2 - Sale <sup>25</sup>
02/25/21	0.402	18.30	Various	25.00	4.18 W	-2.52	Total of 2 transactions
	<b>Security total:</b>	<b>284.68</b>		<b>378.86</b>	<b>4.18 W</b>	<b>-90.00</b>	
POSHMARK, INC. CLASS A COMMON STOCK / CUSIP: 73739W104 / Symbol:							
01/26/21	4.000	296.07	01/19/21	303.76	...	-7.69	Sale <sup>25</sup>
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
02/25/21	25.000	230.88	02/12/21	235.25	4.37 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	25.000	203.00	03/03/21	220.50	...	-17.50	1 of 2 - Sale <sup>25</sup>
	25.000	203.00	03/03/21	216.12	...	-13.12	2 of 2 - Sale <sup>25</sup>
03/10/21	50.000	406.00	Various	436.62	...	-30.62	Total of 2 transactions
	<b>Security total:</b>	<b>636.88</b>		<b>671.87</b>	<b>4.37 W</b>	<b>-30.62</b>	

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RESHAPE LIFESCIENCES, INC. COM MON STOCK / CUSIP: 76090R101 / Symbol:							
09/15/21	15.000	45.79	06/28/21	112.50	...	-66.71	Sale <sup>25</sup>
09/27/21	10.000	28.90	06/28/21	75.00	...	-46.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>74.69</b>		<b>187.50</b>	...	<b>-112.81</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
02/01/21	15.000	298.65	01/29/21	308.70	...	-10.05	Sale <sup>25</sup>
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
03/10/21	15.000	1,068.44	03/10/21	996.75	...	71.69	Sale <sup>25</sup>
03/11/21	15.000	1,089.14	03/10/21	1,062.00	...	27.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,157.58</b>		<b>2,058.75</b>	...	<b>98.83</b>	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
01/26/21	20.000	458.00	01/20/21	391.60	...	66.40	Sale <sup>25</sup>
01/27/21	5.000	119.97	01/20/21	97.90	...	22.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>577.97</b>		<b>489.50</b>	...	<b>88.47</b>	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
02/05/21	50.000	68.50	12/09/20	90.50	...	-22.00	Sale <sup>25</sup>
	2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.						
	283.000	458.42	12/09/20	512.23	...	-53.81	1 of 2 - Sale <sup>25</sup>
	67.000	108.53	12/11/20	111.22	...	-2.69	2 of 2 - Sale <sup>25</sup>
02/25/21	350.000	566.95	Various	623.45	...	-56.50	Total of 2 transactions
	<b>Security total:</b>	<b>635.45</b>		<b>713.95</b>	...	<b>-78.50</b>	
SAREPTA THERAPEUTICS, INC. COM MON STOCK (DE) / CUSIP: 803607100 / Symbol:							
09/15/21	5.000	406.23	06/09/21	415.74	...	-9.51	Sale <sup>25</sup>
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
01/28/21	75.000	185.38	01/22/21	166.88	...	18.50	Sale <sup>25</sup>
04/21/21	50.000	102.00	04/14/21	106.00	...	-4.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>287.38</b>		<b>272.88</b>	...	<b>14.50</b>	

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Robinhood Securities LLC

Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
01/15/21	363.000	259.40	01/07/21	248.87	...	10.53	Sale <sup>25</sup>
	5 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	20.000	16.48	01/27/21	15.43	...	1.05	1 of 5 - Sale <sup>25</sup>
	80.000	65.93	01/27/21	62.00	...	3.93	2 of 5 - Sale <sup>25</sup>
	100.000	82.41	01/27/21	77.50	...	4.91	3 of 5 - Sale <sup>25</sup>
	100.000	82.41	01/27/21	77.14	...	5.27	4 of 5 - Sale <sup>25</sup>
	450.000	413.01	01/27/21	348.75	...	64.26	5 of 5 - Sale <sup>25</sup>
01/28/21	750.000	660.24	Various	580.82	...	79.42	Total of 5 transactions
	<b>Security total:</b>	<b>919.64</b>		<b>829.69</b>	...	<b>89.95</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
06/18/21	10.000	59.85	06/15/21	49.48	...	10.37	Sale <sup>25</sup>
	3 transactions for 06/21/21. Total proceeds and cost reported to the IRS.						
	5.000	45.23	06/15/21	24.74	...	20.49	1 of 3 - Sale <sup>25</sup>
	5.000	53.05	06/15/21	24.74	...	28.31	2 of 3 - Sale <sup>25</sup>
	25.000	220.50	06/15/21	123.69	...	96.81	3 of 3 - Sale <sup>25</sup>
06/21/21	35.000	318.78	Various	173.17	...	145.61	Total of 3 transactions
06/24/21	5.000	26.09	06/15/21	24.74	...	1.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>404.72</b>		<b>247.39</b>	...	<b>157.33</b>	
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
06/22/21	75.000	272.99	06/15/21	360.74	...	-87.75	Sale <sup>25</sup>
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
02/25/21	10.000	155.50	02/16/21	216.59	...	-61.09	Sale <sup>25</sup>
VIEW, INC. CLASS A COMMON STOCK / CUSIP: 92671V106 / Symbol:							
03/24/21	20.000	160.20	02/17/21	230.40	...	-70.20	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
02/25/21	5.000	222.98	02/23/21	220.70	...	2.28	Sale <sup>25</sup>
03/01/21	15.000	577.94	02/26/21	568.20	...	9.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>800.92</b>		<b>788.90</b>	...	<b>12.02</b>	

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Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VOLITIONRX LIMITED COMMON STOC K / CUSIP: 928661107 / Symbol:							
02/12/21	50.000	256.10	02/03/21	268.25	...	-12.15	Sale <sup>25</sup>
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
02/05/21	5.000	100.81	01/29/21	94.95	...	5.86	Sale <sup>25</sup>
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.034	0.28	04/28/21	0.25	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.077	0.64	04/28/21	0.55	...	0.09	2 of 3 - Sale <sup>25</sup>
	30.966	256.16	04/28/21	223.20	...	32.96	3 of 3 - Sale <sup>25</sup>
06/03/21	31.077	257.08	Various	224.00	...	33.08	Total of 3 transactions
	<b>Security total:</b>	<b>357.89</b>		<b>318.95</b>	...	<b>38.94</b>	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
02/18/21	10.000	56.90	02/18/21	55.40	...	1.50	Sale <sup>25</sup>
BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	100.000	138.99	03/12/21	151.00	...	-12.01	1 of 3 - Sale <sup>25</sup>
	80.000	111.19	03/12/21	120.80	...	-9.61	2 of 3 - Sale <sup>25</sup>
	20.000	27.80	03/12/21	30.20	...	-2.40	3 of 3 - Sale <sup>25</sup>
03/16/21	200.000	277.98	Various	302.00	...	-24.02	Total of 3 transactions
ORIENTAL CULTURE HOLDING LTD O RDINARY SHARES / CUSIP: G6796W107 / Symbol:							
01/05/21	20.000	103.40	12/30/20	103.40	...	0.00	Sale <sup>25</sup>
TIAN RUIXIANG HOLDINGS LTD CLA SS A ORDINARY SHARES / CUSIP: G8884K102 / Symbol:							
2 transactions for 09/15/21. Total proceeds and cost reported to the IRS.							
	26.000	104.16	06/17/21	191.10	...	-86.94	1 of 2 - Sale <sup>25</sup>
	9.000	36.06	06/17/21	66.15	...	-30.09	2 of 2 - Sale <sup>25</sup>
09/15/21	35.000	140.22	Various	257.25	...	-117.03	Total of 2 transactions
VPC IMPACT ACQUISITION HOLDING S CLASS A ORDINARY SHARES / CUSIP: G9441E100 / Symbol:							
02/25/21	25.000	391.25	02/19/21	486.13	...	-94.88	Sale <sup>25</sup>

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Account 109965756

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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MEIWU TECHNOLOGY COMPANY LIMITED ORDINARY SHARES / CUSIP: G9604C107 / Symbol:							
01/26/21	5.000	123.10	01/25/21	120.56	...	2.54	Sale <sup>25</sup>
01/28/21	2.500	50.03	01/25/21	60.28	...	-10.25	Sale <sup>25</sup>
06/17/21	25.000	169.78	06/10/21	169.00	...	0.78	Sale <sup>25</sup>
06/25/21	15.000	118.52	06/10/21	101.40	...	17.12	Sale <sup>25</sup>
06/29/21	25.000	174.50	06/10/21	169.00	...	5.50	Sale <sup>25</sup>
09/15/21	35.000	156.25	06/10/21	236.60	...	-80.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>792.18</b>		<b>856.84</b>	...	<b>-64.66</b>	
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
<i>2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	384.52	02/08/21	505.95	...	-121.43	1 of 2 - Sale <sup>25</sup>
	3.000	384.52	02/10/21	470.61	...	-86.09	2 of 2 - Sale <sup>25</sup>
02/25/21	6.000	769.04	Various	976.56	...	-207.52	Total of 2 transactions
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
02/25/21	100.000	118.10	02/24/21	130.50	...	-12.40	Sale <sup>25</sup>
<b>Totals :</b>		<b>58,105.47</b>		<b>62,444.45</b>	<b>874.80 W</b>	<b>-3,464.18</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 109965756

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CF FINANCE ACQUISITION CORP. II CLASS A COMMON STOCK	15725Q104	03/09/21	-20.00	0.00	Exchange	
META METALS INC PREFERRED (SERIES A)	59134N203	07/07/21	5.00	0.00	Stock spinoff	
VIEW, INC. CLASS A COMMON STOCK	92671V106	03/09/21	20.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.